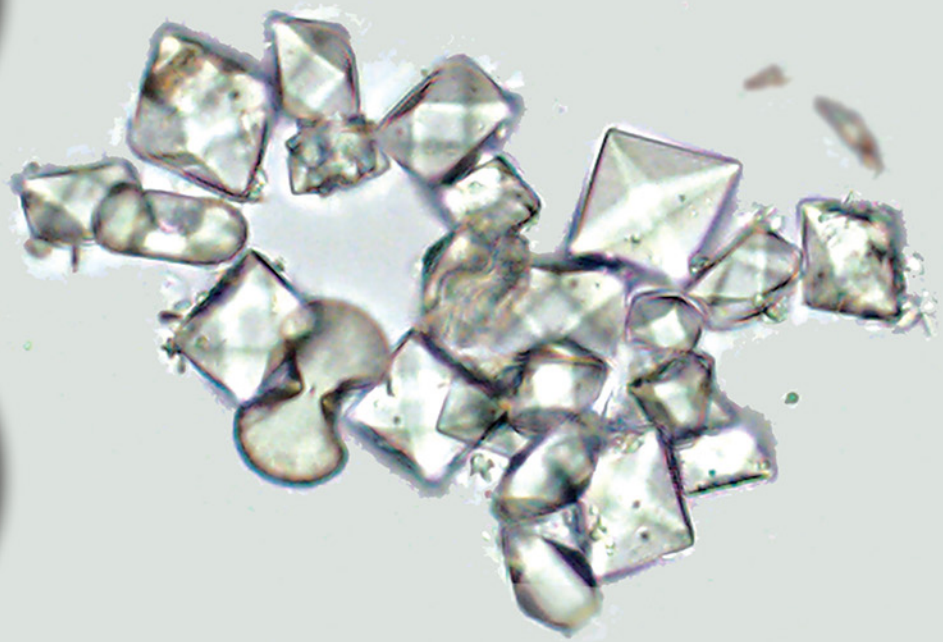
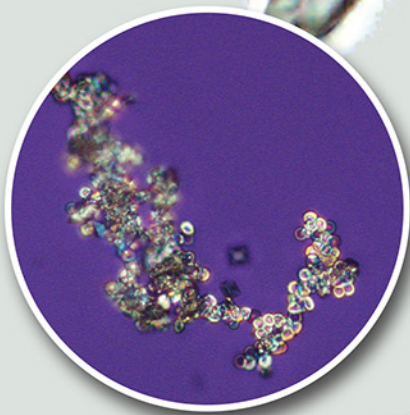
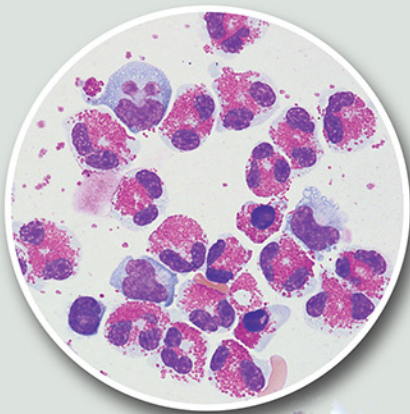


FIFTH EDITION

Fundamentals of

# Urine & Body Fluid Analysis



Nancy A. Brunzel

## QUICK GUIDE TO URINALYSIS FIGURES

CASTS		
Type	Figures	Image Gallery #
Broad	7.37	31
Hyaline	7.35, 7.36, 7.38, 7.42, 7.43, 7.51, 7.59, (7.72, 7.117), (18.12)	33-35 (38)
Granular	7.37, 7.39, 7.45, 7.48, 7.51, 7.52, 7.57	27-32
Cellular:		
RBC	7.46, 7.47	(21), 22-24
WBC	7.49	20, 21
Renal epithelial cell	7.50, 7.58	16-18, (19, 26)
Mixed cell	7.41	15, 21, 22
Waxy	7.12, 7.37, 7.39, 7.40, 7.44, 7.45, 7.59, 18.21	36-40
Fatty	7.53, 7.54	19, 25, 26, 74, 75, 79
Crystalline	7.55, 7.56	

Figure numbers *in parentheses* indicate that the element is present but it is not the predominant element in the image.

CRYSTALS – ALSO SEE TABLE 7.14			
pH*	Crystal	Figures	Image Gallery #
<5.7	Uric acid	7.78A, 7.80, 7.81, 7.82, 7.83, 7.84, 7.85	(51), 61-64
<5.8	2,8-Dihydroxyadenine	7.104	
≤7	Urates, amorphous	7.78	
	Urate, monosodium	7.79	60
	Bilirubin	7.100	43
	Cholesterol	7.105, 7.106, 7.107	48
	Leucine	7.103	
	Tyrosine	7.102	
	Ionic radiographic media	7.108	
	Ampicillin (medication)	7.110	
	Sulfa drugs (medication)	7.112, 7.113	50-51
5-8	Calcium oxalate	(7.56), 7.86, 7.87, 7.88, 7.89, 7.90, 7.91, 7.92	45-47
	Cystine	7.101	49
(6)-8	Phosphate, calcium	7.95, 7.96, 7.97	56-59
	Acyclovir (medication)	7.109	
	Indinavir sulfate (medication)	7.111	52
≥7	Phosphate, amorphous	7.93	
	Phosphate, triple	7.94	53-55
	Carbonate, calcium	(7.96), 7.99	44
	Ammonium biurate	(7.96), 7.98	41-42

\*Approximate pH value or range.

BLOOD CELLS		
Cell Type	Figures	Image Gallery #
Red blood cells	7.13, 7.14, 7.15, (7.16, 7.24, 7.27, 7.28A, 7.33, 7.47, 7.61)	3, 9, 10, 13, 69, 73, 76, 82, (86, 87, 89)
Dysmorphic RBCs	7.14, 7.15, Table 7.5	
White blood cells	7.6, 7.9, 7.13, 7.16, 7.17, 7.18, 7.19, 7.20, 7.22, 7.23, (7.24, 7.26), 7.61, (7.62, 7.63), 7.64	1, 5, 11, 12, 13, (66)
Macrophages	7.23	

EPITHELIAL CELLS		
Cell Type	Figures	Image Gallery #
Squamous	7.4, 7.10, (7.16), 7.25, 7.26, 7.27, 7.67, 7.68	(11), 65-67
Clue cells	7.67, 13.4	65
Transitional	7.28, 7.29	67-71
Renal	7.5, 7.21, 7.31, 7.32, 7.33	71-73, 77
Decoy cells	7.30	

FAT		
Element	Figures	Image Gallery #
Free fat globules	7.11	(74-75, 79)
Fatty casts— See Casts		
Oval fat bodies	7.7, 7.24, 7.34, 7.74, 7.75, (7.105A)	26, 75-80

MICROORGANISMS (ALPHABETICAL ORDER)		
Organism	Figures	Image Gallery #
Bacteria	7.8, 7.61	68, 81-82, (86, 94)
Giardia lamblia	7.70	
Pinworm eggs	7.69	
Schistosoma haematobium	7.71	
Trichomonads	7.65, 7.66, 13.7	83-85
Yeast and/or pseudohyphae	(7.13, 7.16, 7.17), 7.62, 7.63, 7.64	50, 86-89

MISCELLANEOUS ELEMENTS		
Element	Figures	Image Gallery #
Air bubbles	Table 7.15	1-2
Fibers	7.60, 7.116, 7.117, Table 7.15, 18.12	7-8
Fungal spores	Table 7.15	
Glass fragments	—	5
Hemosiderin	7.76	90-91
Mucus	(7.25, 7.35, 7.36, 7.38, 7.42), 7.72, (18.12)	92
Plastic fragments	—	3-4
Pollen grains	7.116, Table 7.1	
Sperm	7.77	(79), 93-94
Starch	7.114, 7.115	4
Talc	7.116	6

Fundamentals of

# **Urine & Body Fluid Analysis**

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Fundamentals of

**FIFTH EDITION**

# **Urine & Body Fluid Analysis**

**Nancy A. Brunzel, MS, MLS(ASCP)<sup>CM</sup>**

University of Minnesota Medical Center,

Minneapolis, Minnesota



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*Content Strategist:* Heather Bays-Petrovic / Kelly Skelton  
*Director, Content Development:* Ellen Wurm-Cutter  
*Senior Content Development Specialist:* Kathleen Nahm  
*Publishing Services Manager:* Deepthi Unni  
*Project Manager:* Aparna Venkatchalam  
*Designer:* Ryan Cook

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# REVIEWERS

**Christine M. Nebocat, DHEd, NYS CLS, MLS(ASCP)CM, CHES®**

Assistant Professor  
Medical Laboratory Science  
Farmingdale State College SUNY  
Farmingdale, New York

**Janis Livingston**

Bachelor of Health Science, (ASCP) MT  
MLT Clinical Education Coordinator  
Medical Laboratory Technology  
Midlands Technical College  
West Columbia, South Carolina

**Margaret S. Mayo**

Faculty  
Medical Laboratory Technology/Allied Health Professions  
Columbus State Community College  
Columbus, Ohio

**Jeanne M. Isabel, EdD, MLS(ASCP)CM SH(ASCP)CM**

Chair and Associate Professor  
School of Health Studies  
Northern Illinois University  
DeKalb, Illinois

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Health Sciences  
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School of Health Sciences  
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Professor  
Diagnostic and Health Sciences  
University of Tennessee Health Science Center  
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**Michele B. Zitzmann, MHS, MLS(ASCP)**

Associate Professor  
Dept. of Clinical Laboratory Science  
Louisiana State University Health Sciences Center  
New Orleans, Louisiana

**Amber G Tuten, MLS(ASCP), DLM(ASCP), M.Ed.**

Former MLS and MLT Program Director  
Allied Health

As stated in the preface of the first edition (1994), “the purpose of this textbook is to present the fundamental principles of urine and body fluid analysis” and “to serve as a straightforward, in-depth teaching and reference text”. As with previous editions, the task of achieving a balance in depth and breadth of content to meet all needs is challenging. I still believe that to gain a true understanding of a subject requires more than the mere memorization of facts. Therefore a guiding principle in the format and writing of this book is to present basic and comprehensive information in a manner that arouses interest, enhances learning, and facilitates understanding and mastery of the content. A foundation knowledge of each body fluid in health is established before pathologic conditions are discussed. This enables readers to build their skills in interpreting and correlating test results with disease states.

The intended audience is practicing laboratory professionals—medical laboratory scientists, medical laboratory technicians—as well as faculty of undergraduate and graduate educational programs in medical laboratory science. In the medical laboratory, this text is useful as a training guide and reference. Note that other healthcare professionals, such as physicians, physician assistants, nurse practitioners, and nurses can also benefit. Although the content can be comprehensive and detailed, educators can easily adapt it to the level desired for their students.

## ORGANIZATION

This, the revised fifth edition, is organized into 4 sections that contain 18 chapters. Throughout the text, content has been updated, figures added, and numerous tables have been revised or added (see sections below for more details). In addition, the five appendices continue to provide reference intervals, tabular summaries, as well as resource methods and materials for all body fluids discussed in an easily accessible location. To facilitate learning and quick access to desired photomicrographs, *Quick Reference Guides* are available on the inside front and back covers.

### Part I: Quality Assessment and Safety

**Chapter 1** provides an overview of quality assessment and safety protocols that are specifically required in medical laboratories in the United States. However, these are key components found in laboratories throughout the world.

### Part II: Urinalysis

**Chapter 2** provides a thorough discussion of urine specimen collection, handling, and preservation, whereas **Chapters 3 and 4** review the anatomy and physiology of the urinary system. Together, these three chapters set the stage for an in-depth discussion of the three components of a complete urinalysis—namely, the physical exam (**Chapter 5**), chemical exam (**Chapter 6**), and microscopic exam of urine

sediment (**Chapter 7**). In contrast with previous editions, the in-depth discussion of *specific gravity* has been localized to a single chapter (**Chapter 5**), with pertinent callouts provided in other chapters when necessary. In **Chapter 6**, the commercial reagent strips as well as the principles, sensitivity, and specificity for each chemical test has been updated. In **Chapter 7**, several new tables with embedded thumbnail images have been added. These include a table for the identification of urine crystals based on shape and pH, a table of urine contaminants, and one specific for urine drug crystals. Each is unique, useful, and serves as a resource for training or reference.

New photomicrographs have been added to the *Urine Sediment Image Gallery*, which is located between **Chapters 7 and 8**. This unique gallery provides alternate views of urine sediment elements to assist in their proper microscopic identification, especially in those specimens that are not ‘picture perfect’. Minor formatting and organization changes were also made to enhance navigation within the image gallery. **Chapter 8** completes this section on the formation and analysis of urine with a discussion of the clinical features of renal and metabolic disorders and their associated urinalysis results.

### Part III: Other Body Fluids

**Chapters 9 through 15** are dedicated to the study of other ‘non-blood’ body fluids that are frequently encountered in the medical laboratory. Each chapter has been updated and describes the physiology, normal composition, and clinical value associated with laboratory analysis of the body fluid. Preanalytic factors in specimen collection and handling are discussed along with the significance of specific tests that provide clinically useful information. Note that laboratory tests routinely performed on one body fluid may not have clinical value when analyzing another body fluid.

In this edition, take note of the following enhancements. **Chapter 9**, *Cerebrospinal Fluid*, is updated with several new figures, expanded discussion of hemorrhagic events and the morphologic transitioning of monocytes to macrophages, and thumbnail images added to several tables. In **Chapter 10**, *Pleural, Peritoneal, and Pericardial Fluids*, discussion of the nucleated cell differential has been enhanced, new figures added, and a table with embedded images created to assist in the microscopic differentiation of monocytes, macrophages and mesothelial cells.

### Part IV: Laboratory Techniques and Tools

The three chapters in this section cover the laboratory techniques, manual and automated, that can be used to analyze urine and other ‘non-blood’ body fluids. **Chapter 16** provides a snapshot of automation currently available for their analysis. Because of the robust and dynamic nature of laboratory instrumentation, the content of this chapter can change

dramatically and quickly outdated. However, the intent is to provide an understanding of the analytic principles used in automated instruments. In this regard, the basic analytic principles for urine chemical (reflectance photometry) analysis have stood the test of time and continue to endure. The arena of automated microscopic analysis of urine is broadening with three alternatives: digital flow microscopy, flow cytometry, and cuvette-based digital microscopy. Future developments in the analysis of urine and body fluids will undoubtedly bring to the marketplace new analyzers and manufacturers.

For a variety of reasons, manual cell counts of body fluids using a hemacytometer persist today. Therefore [Chapter 17](#) and [Appendix D](#) are provided as resources for the preparation of dilutions and the performance of manual body fluid cell counts. Pretreatment solutions and a variety of diluents for body fluids are discussed; step-by-step instructions and calculations for performing manual cell counts are included. This chapter closes with a discussion of cytocentrifugation and the preparation of slides for a nucleated cell (or white blood cell) differential.

Last, but definitely not least is [Chapter 18, Microscopy](#). The importance of familiarity with and the ability to optimize a microscope cannot be overemphasized. These skills are required because the detection and proper identification of microscopic elements are adversely affected when a microscope is not properly adjusted. In many laboratory settings globally, automation isn't available or financially feasible, but microscopes are. [Chapter 18](#) describes various types of microscopy, including their uses and their advantages. Proper microscope handling, care, and important do's and don'ts are included. Step-by-step instructions are provided (1) to properly adjust a brightfield microscope for optimal viewing using Köhler illumination ([Box 18.1](#)) and (2) to convert a brightfield microscope for polarizing microscopy including directions for synovial fluid crystal analysis ([Box 18.3, Fig. 18.18](#)). Tables and photomicrographs are provided of polarizing microscopy, with and without a red wave compensator, as well as variations in birefringence intensity.

## APPENDICES

The five appendices provided complement the chapters. [Appendix A, Reagent Strip Color Charts](#), supplements the chemical examination of urine (see [Chapter 6](#)) by providing figures of manufacturer color charts used to manually determine reagent strip results. These figures are a useful reference and assist in highlighting differences in reagent strip brands, such as physical orientation of strip to chart and variations in result reporting. [Appendix B, Comparison of Reagent Strip Principles, Sensitivity, and Specificity](#), gathers the information for each chemical reaction discussed in [Chapter 6](#) into one location. Here, a table summarizes the test principles employed on reagent strips from three popular brands. Similarly, a tabular comparison is provided of the

sensitivity and specificity of each test. [Appendix C](#) serves as a handy resource and single location for the *Reference Intervals* of the body fluids that are provided in the various chapters. As previously stated, [Appendix D, Body Fluid Diluent and Pretreatment Solutions](#), supplements [Chapter 17](#) (manual hemacytometer counts) by providing detailed instructions for the preparation and use of diluents and pretreatment solutions. Last, [Appendix E](#) provides information for the performance of manual and historic methods of interest. These methods are valuable tests that are no longer routinely performed in some regions, are used only under rare circumstances, or are of historical interest. Note that this section provides detailed information that enables test performance, including specifics for reagent preparation.

This text concludes with two additional sections, the Answer Key and a Glossary. The Answer Key provides the answers and explanations (when necessary) to the end-of-chapter study questions and cases in a convenient, readily accessible location. The glossary includes the key terms that are bolded in each chapter as well as additional clinical and scientific terms that may be new to readers.

## TEXTBOOK FEATURES

Each chapter has the following pedagogical features to enhance mastery of the content:

- *Learning Objectives* at three cognitive levels (Recall, Application, Analysis).
- A *Chapter Outline* that provides an overview and quick content location guide.
- *Key Terms* that are bold in the chapters and defined in the Glossary
- Many *Tables* that capture, summarize, and enhance the content
- Numerous high-quality *Figures* and photomicrographs in full color
- *Study Questions* at the end of each chapter that correlate to the learning objectives. Note that most are in the multiple-choice format used on certification examinations.
- *Case Studies* at the end of pertinent chapters, which assist the reader in applying the content to real-life situations.

## EVOLVE INSTRUCTOR RESOURCES

Downloadable instructor content specific to this text is available on the companion Evolve Resources site (<http://evolve.elsevier.com/Brunzel>). The site includes the following ancillary material for teaching and learning:

- *PowerPoint presentations* for all chapters to aid in lecture development
- *Test Banks* that tie exam questions directly to book content, making exam development easier and faster
- *Image Collection* that includes all illustrations in the book, offering a closer look at hundreds of microscopic slides

# ACKNOWLEDGMENTS

Similar to the African proverb that states: “It takes a village to raise a child”, this book was birthed, developed, and matured because of the immeasurable contributions of colleagues, friends, and students throughout the laboratory community. I may have been the individual putting pen to paper (or rather fingertips to a keyboard), but the content, ideas, great specimens, asked questions, and the myriad of other little things that have made this book what it is, is greater than me. And I thank you all for your support, patience, and feedback.

As with previous editions, I want to especially honor my mentor and friend, Karen Karni, PhD. It was under her tutelage that I became a writer. She discovered and nurtured the author within and helped develop and refine me as an educator. I am truly grateful.

I also want to thank the entire production team at Elsevier for their guidance, expertise, and creativity in developing this new edition.

**Nancy A. Brunzel**



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Fundamentals of

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# Quality Assessment and Safety

## LEARNING OBJECTIVES

*After studying this chapter, the student should be able to:*

1. Define and explain the importance of quality assessment in the laboratory.
2. Identify and explain preanalytical, analytical, and post-analytical components of quality assessment.
3. Differentiate between internal and external quality assessment and discuss how each contributes to an overall quality assessment program.
4. Define and discuss the importance of the following:
  - Critical values
  - Documentation
  - Ethical behavior
  - Preventive maintenance
  - Technical competence
  - Test utilization
  - Turnaround time
5. Discuss the relationship of the Occupational Safety and Health Administration to safety and health in the workplace.
6. Define and give an example of the following terms:
  - Biological hazard
  - Chemical hazard
  - Decontamination
  - Personal protective equipment (PPE)
7. Describe a Standard Precautions policy, and state its purpose.
8. Discuss the three primary routes of transmission of infectious agents and a means of controlling each route in the clinical laboratory.
9. Describe appropriate procedures for the handling, disposal, decontamination, and spill control of biological hazards.
10. Discuss the source of potential chemical and fire hazards encountered in the laboratory and the procedures used to limit employee exposure to them.
11. State the purpose of and the information contained in a material safety data sheet.

## CHAPTER OUTLINE

### Quality Assessment, 2

Quality Assessment: What Is It?, 2  
 Preanalytical Components of Quality Assessment, 2  
 Analytical Components of Quality Assessment, 4  
 Monitoring Analytical Components of Quality Assessment, 6  
 Postanalytical Components of Quality Assessment, 7

### Safety in the Urinalysis Laboratory, 7

Biological Hazards, 8  
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## KEY TERMS<sup>1</sup>

**biological hazard**  
**Chemical Hygiene Plan (CHP)**  
**critical value**  
**decontamination**  
**documentation**  
**external quality assessment**  
**infectious waste disposal policy**  
**Occupational Safety and Health Administration (OSHA)**  
**personal protective equipment (PPE)**

**preventive maintenance**  
**quality assessment (QA)**  
**quality control materials**  
**safety data sheet (SDS)**  
**Standard Precautions**  
**technical competence**  
**test utilization**  
**turnaround time (TAT);**  
**Universal Precautions (UP)**

<sup>1</sup>Definitions are provided in the chapter and glossary

## QUALITY ASSESSMENT

### Quality Assessment: What Is It?

**Quality assessment (QA)** is a system designed to ensure the quality of a laboratory's services (i.e., test results). All laboratory personnel must be aware of the effects that their test results and services have on the diagnosis and treatment of patients. These services must be monitored to ensure that they are appropriate and effective and that they meet established standards for laboratory practice. The Clinical Laboratory Improvement Act enacted by the US Congress in 1988 (CLIA '88) was in direct response to growing concern about the quality of laboratory test results and the need to impose external standards to ensure quality results.<sup>1</sup> Clinical laboratories in the United States must be certified by the Centers for Medicare and Medicaid Services (CMS; cms.gov) or by a private certifying agency (e.g., College of American Pathologists [CAP]) or a CMS-approved state regulatory agency. Certification is an ongoing process to ensure that laboratories are maintaining compliance with federal regulations through periodic onsite inspections. QA provides a mechanism for detecting problems and provides an opportunity to improve services. In reality, all components of health care, including physicians, nurses, clinics, hospitals, and their services are involved in QA; the laboratory is only part of this larger program to ensure quality health care services.

Ensuring the quality of test results was an important part of the clinical laboratory long before CLIA '88; the first external laboratory surveys were developed in the 1940s. These early surveys revealed that not all laboratories reported the same results on identical blood specimens submitted for hematologic and chemical analyses. Since the time of those first surveys, all sections of the clinical laboratory have become involved in ensuring the quality, accuracy, and precision of the laboratory results they generate. The urinalysis laboratory is no exception.

A QA program encompasses all aspects of the urinalysis laboratory. Specimen collection, storage, and handling; instrumentation use and maintenance; reagent quality and preparation; and the laboratorian's knowledge and technical skills must meet specific minimum criteria to ensure the quality of the results generated. To achieve the goals set forth in a QA program, a commitment by all laboratory personnel, including those in administration and management, is necessary. This dedication must be evident in managerial decisions, including the allocation of laboratory space, the purchase of equipment and supplies, and the budget. Without adequate resources, the quality of laboratory services is compromised. Properly educated and experienced laboratory personnel with a high level of evaluative skills are essential to ensure the quality of laboratory results. "Many studies have shown that the standards of specimen collection technique and analytical performance are generally inferior to those obtained by skilled laboratorians."<sup>2</sup> Because of the dynamic environment of clinical laboratory science, it is imperative that laboratorians have access to reference books and opportunities for continuing education to assist them in skill maintenance

and development. Not only do continuing education opportunities provide intellectual stimulation and challenges for laboratorians, they also facilitate the development of quality employees and ensure that the urinalysis laboratory is kept abreast of technological advances.

A QA program for the urinalysis laboratory consists of three principal aspects: (1) preanalytical components—processes that occur before testing; (2) analytical components—aspects that directly affect testing; and (3) postanalytical components—procedures and policies that affect reporting and interpretation of results. Because an error in any component will directly affect the quality of results, each component must be monitored, evaluated, and maintained.

### Preanalytical Components of Quality Assessment

The preanalytical components involve numerous laboratory and ancillary staff and, in many instances, multiple departments. Because of the importance of cost-effective practices in test ordering, the laboratory plays a role in monitoring **test utilization**—that is, avoiding duplicate testing and ensuring test appropriateness whenever possible. Each laboratory is unique, and procedures to intercept and eliminate unnecessary testing must be designed to fit the workflow of each laboratory.

The importance of timely result reporting cannot be overemphasized. A delay in specimen transport and processing directly affects specimen **turnaround time (TAT)**. Keep in mind that the definition of TAT can differ for the laboratorian compared with physicians or nursing personnel. For example, a laboratorian defines TAT as the time from receipt of the specimen in the laboratory to reporting of results to a patient care area or into a data information system. In contrast, physicians view TAT as the time from when they write the order for the test until the result is communicated to them for action. To nursing personnel, TAT is the time that elapses from actual specimen collection until the results are communicated to them. Therefore to monitor and address potential delays that directly involve the laboratory, a consensus definition of TAT and realistic goals for test results must be established. This requires a policy for documenting the times of specimen collection, receipt, and result reporting.

Urine specimen collection techniques differ; they are often controlled by medical personnel outside the laboratory and can have a direct effect on the results obtained (see [Tables 2.1 and 2.2](#)). In addition, numerous physiologic factors can affect the urine specimen obtained (e.g., diet, exercise, hydration, medications), and depending on the test, appropriate patient preparation may be needed. To ensure an appropriate specimen, collection instructions (including special precautions and appropriate labeling) must be well written, as well as distributed to and used by all personnel involved in specimen collection.

Laboratory staff who receive urine specimens must be trained to identify and handle inappropriate or unacceptable specimens. In addition, they must document any problems encountered so that these problems can be addressed and corrected. The procedure the staff should follow involves

(1) ensuring that two unique patient identifiers (e.g., name, date of birth, medical record number) are on the request slip and on the specimen and that they correlate; (2) evaluation of elapsed time between collection and receipt of the specimen in the laboratory; (3) the suitability of specimen preservation, if necessary; and (4) the acceptability of the specimen (e.g., the volume collected, the container used, its cleanliness—any evidence of fecal contamination). If the urine specimen is unacceptable, a procedure must be in place to ensure that the physician or nursing staff is informed of the problem, the problem or discrepancy is documented, and appropriate action is taken. Written guidelines that list the criteria for specimen rejection (Box 1.1), as well as the procedure for

the handling of mislabeled specimens, are required to ensure consistent treatment by all staff (Table 1.1).

The processing of urine specimens within the laboratory is another potential source of preanalytical problems. Specimens for a *routine urinalysis* should be tested within 2 hours of collection (see Chapter 2). If delay in transport to the laboratory or at the reception area is unavoidable, specimens should be refrigerated. Timed urine collections require a written protocol to ensure adequate mixing, volume measurement, recording, aliquoting, and preservation—when specimen testing is to be delayed or the analyte of interest is unstable. With a written procedure for specimen processing in place, all personnel will perform these tasks consistently, thereby eliminating unnecessary variables.

Because of the multitude of variables and personnel involved in urine specimen collection and processing, adequate training and supervision are imperative. Communication to personnel regarding any procedure changes or introduction of new procedures must be consistent. Written procedures must be available and personnel must perform and follow established preventive maintenance schedules. All personnel must have appropriate education regarding the biologic and chemical hazards in the laboratory. Preanalytical components are a dynamic part of the clinical laboratory and require adherence to protocol to ensure meaningful test results. In other words, *quality* testing cannot make up for a substandard specimen.

### BOX 1.1 Criteria for Urine Specimen Rejection

- Insufficient volume of urine for requested test(s)
- Inappropriate specimen type or collection
- Visibly contaminated specimen (e.g., with feces, debris)
- Incorrect urine preservative
- Specimen not properly preserved for transportation delay
- Unlabeled or mislabeled specimen or request form
- Request form incomplete or lacking

TABLE 1.1 Definitions and an Example of Policy for Handling Unlabeled or Mislabeled Specimens

Definitions	
Unlabeled	No patient identification is on the specimen container or tube that contains the specimen. Placing the label on the plastic bag that holds the specimen is inadequate.
Mislabeled	The name or identification number on the specimen label does not agree with that on the test request form.
Policy Features	
Notification	Contact the originating nursing station or clinic and indicate that the specimen must be recollected. Document the name of the individual contacted.
Document	Order the requested test and write CANCEL on the request form with the appropriate reason for the cancellation, e.g., specimen unlabeled or specimen mislabeled, identification questionable. Initiate an incident report and include names, dates, times, and all circumstances.
Specimen	Do not discard the specimen. Process and perform analyses on those specimens that cannot be saved, but do not report any results. Properly store all other specimens. On specimens that cannot be recollected (e.g., cerebrospinal fluid): 1. The patient's physician must: <ul style="list-style-type: none"> <li>• contact the appropriate laboratory supervisor and request approval for tests on the "questionable" specimen</li> <li>• sign documentation of the incident</li> </ul> 2. The individual who obtained the specimen must come to the laboratory to: <ul style="list-style-type: none"> <li>• identify the specimen</li> <li>• properly label the specimen or correctly label the test request form sign documentation of the incident</li> <li>• sign documentation of the incident</li> </ul>
Reporting Results	All labeling and signing of documentation must occur before results are released (except in cases of life-threatening emergencies, such as cardiac arrest, when verbal specimen identification is accepted and documentation is completed later). All reported results must include comments describing the incident. For example, "Specimen was improperly labeled but was approved for testing. The reported value may not be from this patient."
Quality Assessment Report	Forward a copy of the incident to the Quality Assessment committee and to the patient care unit involved (e.g., nursing station, clinic, physician's office).

## Analytical Components of Quality Assessment

Analytical components are those variables that are directly involved in specimen testing. They include reagents and supplies, instrumentation, analytical methods, the monitoring of analytical methods, and the laboratory personnel's technical skills. Because each component is capable of affecting test results, procedures must be developed and followed to ensure consistent and acceptable quality.

### Equipment

All equipment—such as glassware, pipettes, analytical balances, centrifuges, refrigerators, freezers, microscopes, and refractometers—requires routine monitoring to ensure appropriate function, calibration, and adherence to prescribed minimal standards. **Preventive maintenance** schedules to eliminate equipment failure and downtime are also important aspects of QA and should be included in pertinent laboratory procedures. The use of instrument maintenance sheets for **documentation** provides a format to remind staff of daily, monthly, and periodic maintenance as well as to record unexpected failures and their resolution. Because the bench technologist is the first individual to be aware of an instrument failure, troubleshooting and “out-of-control” protocols need to be included in procedures, and historic service and repair documentation should be readily available for reference.

The required frequency of maintenance differs depending on the equipment used; the protocol should meet the minimal standards set forth in guidelines provided by The Joint Commission (TJC) (formerly The Joint Commission on Accreditation of Health Care Organizations [JCAHO]) or the CAP. [Table 1.2](#) lists equipment often present in the urinalysis laboratory along with the frequency and types of performance checks that should be performed. For example, temperature-dependent devices are monitored and recorded daily; refractometers and osmometers may be checked daily or whenever in use. Centrifuges should be cleaned regularly (e.g., weekly, as well as after spills), and the accuracy of their timers and speed (revolutions per minute) should be checked periodically. Automatic pipettes, analytical balances, and fume hoods also require periodic checks, which are determined by the individual laboratory, and the time interval depends on usage. Microscopes require daily cleaning and sometimes adjustments (e.g., illumination, phase ring alignment) to ensure optimal viewing. Microscopes and balances should undergo annual preventive maintenance and cleaning by professional service engineers to avoid potential problems and costly repairs. A current CAP inspection checklist is an excellent resource for developing an individualized procedure for performing periodic checks and routine maintenance on equipment and for providing guidelines on the documentation necessary in the urinalysis laboratory.

**TABLE 1.2 Urinalysis Equipment Performance Checks**

Equipment	Frequency	Checks Performed
Automatic pipettes	Initially and periodically thereafter; varies with usage (e.g., monthly)	Check for accuracy and reproducibility.
Balances, analytical	Periodically (e.g., quarterly)	Check with standard weights (National Bureau of Standards Class S).
	Annually	Service and clean.
Centrifuges	Weekly	Clean rotor, trunnions, and interior with suitable disinfectant.
	Periodically (e.g., annually)	Check revolutions per minute and timer.
	Periodically	Change brushes whenever needed; frequency varies with centrifuge type and usage.
Fume hoods (i.e., biosafety cabinets)	Periodically (e.g., annually)	Airflow.
Microscopes	Daily	Clean and adjust if necessary (e.g., Köhler illumination, phase ring adjustment).
	Annually	Service and clean.
Osmometers	Daily	Determine and record osmolality of control materials.
Reagent strip readers	Daily	Calibrate reflectance meter with standard reagent strip.
	Daily (or periodically)	Clean mechanical parts and optics.
Refractometers	Daily (or when used)	Read and record deionized water (SG 1.000) and at least one standard of known SG. For example, NaCl 3% (SG 1.015), 5% (SG 1.022), 7% (SG 1.035); or sucrose 9% (1.034). Acceptable tolerance: target $\pm$ 0.001.
Temperature-dependent devices (e.g., refrigerators, freezers, water baths, incubators)	Daily (or when used)	Read and record temperature.
Thermometers	Initially and annually thereafter	Check against NIST-certified thermometer.

NIST, National Institute of Standards and Technology; SG, specific gravity.



## Reagents

Reliable analytical results obtained in the urinalysis laboratory require the use of quality reagents. The laboratory must have an adequate supply of distilled water, deionized water, or clinical laboratory reagent water (CLRW), formerly called Type I water. Each urinalysis procedure should specify the type of water required for tasks such as reagent preparation or reconstitution of lyophilized materials. The quality of CLRW requires periodic monitoring for ionic and organic impurities as well as for microbial contamination.<sup>3</sup> In addition, because CLRW absorbs carbon dioxide, thereby losing its resistivity on storage, it should be obtained fresh daily. CLRW quality tolerance limits and the actions to be taken when these limits are exceeded must also be available in a written policy.

Today, manufacturers provide many “ready-to-use” reagents as well as the water required to prepare lyophilized materials. When this is not the case, reagent-grade or analytical reagent-grade reagents should be used to prepare reagent solutions for qualitative or quantitative procedures. Primary standards for quantitative methods must be made from chemicals of the highest grade available. These can be purchased from manufacturers or agencies such as the National Institute of Standards and Technology (NIST) (formerly the National Bureau of Standards [NBS]) or CAP and can be accurately weighed to produce a standard of a known concentration. From these primary standards, secondary standards or calibration solutions can be made. Any solvents used should be of sufficient purity to ensure appropriate reactivity and to prevent interfering side reactions.

A standard laboratory requirement is to check all newly prepared standards and reagents before using them. This is done by analyzing a control material using new and old standards or reagents. If performance of the new standard or reagent is equivalent to performance of the old, it is acceptable and dated as approved for use; if it performs inadequately, it should be discarded and the reagent or standard remade. New lot numbers of commercially prepared reagents (e.g., kit tests, reagent strip tests, tablet tests), as well as different bottles of a current lot number, must be checked against older, proven reagents before they are placed into use. Documentation of reagent checks must be maintained in the urinalysis laboratory. All standards, reagents, reagent strips, and tablets, whether made in the laboratory or commercially obtained, must be dated (1) when prepared or received, (2) when their performance is checked and determined to be acceptable, and (3) when put into use. Ensuring the quality of commercial reagent strips and tablet tests used in the urinalysis laboratory is discussed further in [Chapter 6](#).

## Procedures

Procedures for all tests and tasks performed must be available in the urinalysis laboratory. An excellent resource for creating and standardizing these documents is provided by the Clinical and Laboratory Standards Institute (CLSI)—for example, document QMS02-A6, Quality Management System: development and management of laboratory documents.<sup>4</sup> Each procedure should be comprehensive and include details of proper specimen collection and handling,

test principles, reagent preparation, control materials and acceptance criteria, step-by-step performance, calculations, reporting of results, and references. Because procedures are vital to the test results obtained, they must be maintained and adhered to when each test is performed. Each procedure must include documentation of any procedural changes and must be reviewed regularly—for example, annually. A well-written procedure provides a ready and reliable reference for the veteran technologist, as well as an informational training tool for the novice. The importance of procedures cannot be overemphasized, because uniform performance of testing methods ensures accurate and reproducible results, regardless of changes in personnel.

A routine urinalysis procedure incorporates steps to ensure consistent quality in each of its components. It details each examination—physical, chemical, and microscopic—and includes quality control (QC) checks, acceptable terminology, and tolerances for each parameter. The procedure also provides steps to follow when tolerances are exceeded or results are questionable. Additionally, criteria for the correlation of the physical, chemical, and microscopic examinations, as well as follow-up actions are required if discrepancies are discovered. For instance, if the blood reagent strip test is negative and the microscopic examination reveals increased red blood cells, the specimen should be checked for ascorbic acid or the sediment closely reviewed—the “cells” could possibly be an RBC “look-alike,” such as monohydrate calcium oxalate crystals or yeast. Reference materials such as textbooks, atlases, and charts must be available for convenient consultation.

## Standardization of Technique

The manual microscopic examination of urine requires standardization of technique and adherence to the established procedure by all technologists to enable consistency in results obtained and in their reporting. Preparing urine for manual microscopy requires written step-by-step instructions that detail the volume of urine to use, the centrifuge speed, the time of centrifugation, the sediment concentration, and the volume of sediment examined, as well as the reporting format, terminology, and grading criteria ([Box 1.2](#)).<sup>5</sup> Several standardized microscopic slides (e.g., KOVA [Hycor Biomedical Inc., Garden Grove, CA], Urisystem [Fisher Scientific, Waltham, MA]) are commercially available for manual microscopy, and all are superior to the traditional glass slide and coverslip technique.<sup>6</sup> See [Chapter 7](#) for further discussion of manual microscopy and steps that must be taken when commercial standardized slides are not used.<sup>7</sup>

In contrast, automated microscopy analyzers (see [Chapter 16](#)) require no specimen preparation (i.e., uncentrifuged urine is used), have good accuracy and precision, and are quick and easy to operate, and their performance is easily monitored and documented using **quality control materials**.

## Qualified Personnel

The competence of personnel is an important determinant of the quality of the laboratory result.<sup>5,8</sup> Because many of the procedures performed in the urinalysis laboratory are done

### BOX 1.2 Guidelines for Standardizing Microscopic Urinalysis<sup>5,7</sup>

#### Procedural Factors

1. Volume of urine examined (10, 12, 15 mL)
2. Speed of centrifugation (400 × g, 600 × g)
3. Length of centrifugation (3, 5, 10 minutes)
4. Concentration of sediment (10:1, 12:1, 15:1)
5. Volume of sediment dispensed (6–15 μL)

#### Reporting Factors

1. Each laboratory should publish its own normal values (based on system used and patient population).
2. All personnel must use same terminology.
3. All personnel must report results in standard format.
4. All abnormal results should be flagged for easy reference.

manually, it is very important to monitor **technical competence**. Uniformity of technique by all personnel is necessary and can be achieved through (1) proper training, (2) adherence to established protocols, and (3) performance of QC checks. New technologists should have their technical performance evaluated before they perform routine clinical tests and periodically thereafter to verify ongoing technical competence. Similarly, new procedures introduced into the laboratory must be properly researched, written, and proven before placed into use.

Before reporting results, technologists must be able to evaluate the results obtained, recognize discrepancies or absurdities, and seek answers or make corrections for those encountered. Performing and recording the results obtained, even when they differ from those expected or desired, is paramount. Because test results have a direct effect on patient diagnosis and treatment, the highest level of ethical behavior is required. Documentation of errors or problems and the actions taken to correct them is necessary to (1) ensure communication with staff and supervisory personnel, (2) prevent the problem from recurring, and (3) provide a paper trail of actual circumstances and corrective actions taken as a result. These policies should be viewed as a means of guaranteeing the quality of laboratory results.

Accurate results depend not only on the knowledge and technical competence of the technologist but also on the technologist's integrity in reporting what actually is obtained. Circumstances can arise in laboratory testing that appear to contradict expected test results. When these circumstances are appropriately investigated, legitimate explanations that expand the technologist's scope of experience can be obtained. For example, a patient's test results can differ greatly from those obtained previously. Investigation may reveal that a specimen mix-up occurred or that a drug the patient recently received is now interfering with testing. This highlights the need for good communication among all staff and supervisory personnel, as well as the need for staff meetings to ensure the dissemination of information.

### Monitoring Analytical Components of Quality Assessment

For internal QA of testing methods, QC materials are used to assess and monitor analytical error; that is, the accuracy and precision of a method. QC materials serve to alert the laboratorian of method changes that directly affect the quality of the results obtained. QC materials mimic patient samples in their physical and chemical characteristics, that is, they have the same matrix. They are usually obtained from commercial suppliers but can also be prepared by the laboratory, particularly for low-volume, esoteric tests. QC materials can be purchased with or without assigned expected values for each parameter. Assigned values should be confirmed and adjusted when necessary to reflect the method and conditions of each laboratory.

Numerous urinalysis control materials are commercially available. Some control materials monitor only the status of the qualitative chemical examination of urine using reagent strips, whereas other control materials include microscopic entities that can monitor the microscopic examination and the steps involved in processing urine specimens (e.g., centrifugation). The microscopic elements present vary with the manufacturer. Quantimetrix Corporation (Redondo Beach, CA; Dip&Spin, QuanTscopics) uses stabilized human red blood cells, white blood cells, and crystals, whereas Hycor Biomedical Inc. (Garden Grove, CA; KOVA-Trol) includes stabilized red blood cells, organic particles (mulberry spores) to simulate white blood cells, and crystals. Bio-Rad Laboratories, Inc. (Hercules, CA; Liquichek Urinalysis Control, qUAntify Plus Control) includes stabilized human red blood cells, artificial white blood cells, and cystine crystals.

Another means of monitoring the entire urinalysis procedure as well as technical competence is to select a well-mixed urine specimen and have each technologist or one from each shift of workers perform the procedure. This provides an intralaboratory or in-house QA. Results should be recorded and evaluated independently. When multiple laboratory sites within a facility perform urinalysis testing, personnel at each site can test an aliquot of the same urine specimen and compare results. If commercial control materials with sediment constituents are not used to evaluate the microscopic examination, in-house duplicate testing can be instrumental in detecting subtle changes in the processing procedure, such as alterations in centrifugation speed or time. The time and effort involved in intralaboratory testing are worthwhile because it ensures that each laboratory and all staff are consistently obtaining equivalent results.

Results obtained on control materials, as well as from duplicate specimen testing, are recorded daily in a tabular or graphic format. The tolerance limits for these results must be defined, documented, and readily available in the laboratory. When these tolerances are exceeded, corrective action must be taken and documented.

Whether the urinalysis laboratory performs quantitative urine procedures (e.g., total protein, creatinine) depends on the facility. In some settings, the urinalysis laboratory

performs only the manual quantitative procedures, whereas the chemistry section performs those procedures that are automated. Regardless, a brief discussion of the QC materials used for quantitative urine methods is provided. The value assigned to commercial or homemade QC materials is determined in the laboratory by performing repeated analyses over different days. This enables variables such as personnel, reagents, and supplies to be represented in the data generated. After analyses are complete, QC data are tabulated and control limits determined by using the mean and standard deviation (SD). Initial control (or tolerance) limits can be established using a minimum of 20 determinations; as more data are accumulated, the limits can be revised. Because the error distribution is Gaussian, control limits are chosen such that 95% to 99% of control values will be within tolerance. This corresponds to the mean value  $\pm 2$  SD or  $\pm 3$  SD, respectively. Graphs of the QC values obtained over time are plotted and are known as QC or *Levey-Jennings control charts*. They provide an easy, visual means of identifying changes in accuracy and precision. Changes in accuracy are evidenced by a shift in the mean, whereas changes in precision (random error) are manifested by an increase in scatter or a widening of the distribution of values about the mean (SD).

**External quality assessment** measures (e.g., proficiency surveys) monitor and evaluate a laboratory's performance compared with other facilities. These QA measures may take the form of proficiency testing or participation in programs in which each laboratory uses the same lot of QC materials. The latter is used primarily with quantitative urine methods. Monthly, the results obtained by each laboratory are reported to the manufacturer of the QC material. Within weeks, reports summarizing the analytical methods used and the results obtained by each laboratory are distributed. These reports are useful in detecting small, continuous changes in systematic error in quantitative methods that may not be evident with internal QA procedures.

For a laboratory to be accredited, periodic interlaboratory comparison testing in the form of a proficiency test (PT) is required by CLIA '88.<sup>1</sup> A PT program involves the performance of routine tests on survey samples provided for a fee to participating laboratories. Each laboratory independently performs and submits results to the survey agency (e.g., CAP, Centers for Disease Control and Prevention [CDC]) for assessment and tabulation. Before distribution of the PT samples, the target value of each sample is determined by testing at selected or reference laboratories. Using the reference laboratory target values and results submitted by the participant laboratories, the survey agency prepares extensive reports and charts for each analyte assessed, the method used, and the values obtained. A PT program provides valuable information on laboratory performance and testing methods—individually, by specific method, and as a whole.

Some urinalysis PT surveys include digital images or photomicrographs for the identification of urine sediment components such as casts, epithelial cells, blood cells, and artifacts. These urine sediment images are reviewed and the sediment

component identified by one randomly selected technologist in the laboratory. As with patient samples, the technologist can seek assistance from a lead tech, supervisor, or pathologist, provided that is the laboratory's standard protocol.<sup>9</sup> After submission and receipt of the PT results, group review of the sediment images provides an excellent opportunity to maintain and improve the competence of personnel.

Although QC materials and PT samples help to detect decreased quality in laboratory testing, they do not pinpoint the source of the problem, nor do they solve it. Only with good communication and documentation can analytical problems be pursued and continuing education programs developed. Some problems encountered in the laboratory are approached best by the involvement of laboratorians as a problem-solving team, which can reaffirm their self-worth and enhance their commitment to quality goals.

### Postanalytical Components of Quality Assessment

Urinalysis results can be communicated efficiently and effectively using a standardized reporting format and terminology. The report should include reference ranges and the ability to add informative statements if warranted—for example, “glucose oxidase/reducing substances questionable due to presence of ascorbic acid” or “white blood cell clumps present.” Results should be quantitative (e.g., 100 mg/dL or 10–25 RBCs/HPF [red blood cells per high-power field]) whenever possible. All personnel should use the same (i.e., standardized) terminology for test parameters (e.g., color and clarity terms).

Laboratory procedures should describe in detail the appropriate reporting format and should provide criteria for the reporting of any critical values. **Critical values** are significantly abnormal results that exceed the upper or lower critical limit and are life threatening. These results need to be relayed immediately to the health-care provider for appropriate action. The laboratorian is responsible for recognizing critical values and communicating them in a timely fashion. Each institution must establish its own list of critical values. For example, the list might include as critical the presence of pathologic urine crystals (e.g., cystine, leucine, tyrosine); a strongly positive test for glucose and ketones; and in an infant the presence of a reducing substance, other than glucose or ascorbic acid.

QA measures, whether internal (QC materials) or external (PTs), require documentation and evidence of active review. When acceptable tolerances are exceeded, they must be recorded and corrective action taken. In the clinical laboratory, documentation is crucial because an action that is not documented essentially was not performed. The goal of an effective QA program is to obtain consistently accurate and reproducible results. In achieving this goal, test results will reflect the patient's condition, rather than results modified due to procedural or personnel variations.

### SAFETY IN THE URINALYSIS LABORATORY

For years, the health-care industry has been at the forefront in developing policies and procedures to prevent and control the

spread of infection in all areas of the hospital to ensure patient and employee safety. Because clinical laboratory employees are exposed to numerous workplace hazards in various forms—biological, chemical, electrical, radioactive, compressed gases, fires, and so on—safety policies are an integral part of the laboratory. With passage of the Occupational Health and Safety Act in 1970, formal regulation of safety and health for all employees, regardless of employer, officially began. This law is administered through the US Department of Labor by the **Occupational Safety and Health Administration (OSHA)**. As a result of the law, written manuals that define specific safety policies and procedures for all potential hazards are required in laboratories. Guidelines for developing these written policies and procedures are provided in several CLSI documents.<sup>10–12</sup> An additional requirement of the law is that all employees must document annual review of the safety manual. The next section discusses hazards frequently encountered in the clinical laboratory when working with urine and other body fluids (e.g., feces, amniotic fluid, cerebrospinal fluid), as well as policies and procedures necessary to ensure a safe and healthy working environment.

## Biological Hazards

**Biological hazards** abound in the clinical laboratory. Today, any patient specimen or body substance (e.g., body fluid, fresh tissue, excretions, secretions, sputum, or drainage) is considered infectious, regardless of patient diagnosis. [Table 1.3](#) provides a brief history and key points of safety guidelines and regulations implemented to prevent the transmission of infectious agents in hospitals. In the 1980s, the transmission of disease such as human immunodeficiency virus (HIV), hepatitis B virus (HBV), and hepatitis C virus (HCV) became a major concern for health-care workers. To address the issue, in 1987 the CDC issued practice guidelines known as **Universal Precautions (UP)**. UP were intended to protect health-care workers, primarily from patients with these bloodborne diseases. Under UP, body fluids and secretions that did not contain visible blood were exempt. At this same time, another system of isolation was proposed and refined; this was called *Body Substance Isolation (BSI)*.<sup>13,14</sup> BSI and UP had similar features to prevent the transmission of bloodborne pathogens but differed with regard to handwashing after glove use. UP recommended handwashing after the removal of gloves, whereas BSI indicated that handwashing was not required unless the hands were visibly soiled. Then in 1991, OSHA enacted the Bloodborne Pathogens Standard (BPS) to address occupational exposure of health-care workers to infectious agents, primarily HIV, hepatitis viruses, and retroviruses. BPS requires laboratories to have an exposure control plan that regulates work practices such as handling of needles and sharps, and requires hepatitis B vaccinations, training, and other measures.<sup>15–17</sup>

This became a time of confusion, with hospitals differing in their isolation protocols, as well as in the handling of body fluids and other substances. It was recognized that UP guidelines alone were inadequate because infectious body fluids do not always have or show visible blood. To resolve

this conundrum, the Healthcare Infection Control Practices Advisory Committee (HICPAC) and the CDC issued in 1996 a new two-tier practice guideline known as Standard Precautions and Transmission-Based Precautions.<sup>18,19</sup> **Standard Precautions** are infection prevention practices that are applied to all patients in all health-care settings and that address not only the protection of health-care personnel but also the prevention of patient-to-patient and health-care worker-to-patient transmission (i.e., nosocomial transmission) of infectious agents. It combines the major features of UP and BSI into a single guideline with feasible recommendations to prevent disease transmission. Standard Precautions also dictate that standards or calibrators, QC materials, and proficiency testing materials be handled like all other laboratory specimens.<sup>10</sup> The Transmission-Based Precautions of the guideline apply to specific patients with known or suspected infections or colonization with infectious agents (e.g., vancomycin-resistant enterococcus [VRE]). Three categories of Transmission-Based Precautions in the hospital are described: contact precautions, droplet precautions, and airborne precautions. These additional precautions are used when the potential for disease transmission from these patients or their body fluids is not completely interrupted by using Standard Precautions alone.

It is important to note that Standard Precautions do not affect other necessary types of infection control strategies, such as identification and handling of infectious laboratory specimens or waste during shipment; protocols for disinfection, sterilization, or decontamination; or laundry procedures.<sup>10</sup>

Traditionally, the three routes of infection or disease transmission are (1) inhalation, (2) ingestion, and (3) direct inoculation or skin contact. In the laboratory, aerosols can be created and inhaled when liquids (e.g., body fluids) are poured, pipetted, or spilled. Similarly, centrifugation of samples and removal of tight-fitting caps from specimen containers are potential sources of airborne transmission. Ingestion occurs when infectious agents are taken into the mouth and swallowed, as from eating, drinking, or smoking in the laboratory; mouth pipetting; or hand-to-mouth contact after failure to appropriately wash one's hands. Direct inoculation involves parenteral exposure to the infectious agent as a result of a break in the technologist's skin barrier or contact with the mucous membranes. This includes skin punctures with needles, cuts or scratches from contaminated glassware, and splashes of specimens into the eyes, nose, and mouth. Although it is impossible to eliminate all sources of infectious transmission in the laboratory, the use of protective barriers and the adherence to Standard Precautions minimize transmission.

Under Standard Precautions, *all body fluids, secretions, and excretions* (except sweat) are considered potentially infectious and capable of disease transmission. Key components of Standard Precautions are good hand hygiene and the use of barriers (physical, mechanical, or chemical) between potential sources of an infectious agent and individuals. All personnel must adhere to Standard Precautions; this includes



TABLE 1.3 Selected Evolution History of Isolation Precautions in Hospitals<sup>11,12</sup>

Year	Guideline or Regulation	Key Points
1985–1988	Universal Precautions (UP)	<ul style="list-style-type: none"> <li>Established in response to HIV/AIDS epidemic</li> <li>Initiated the application of blood and body fluid precautions to <i>all</i> patients</li> <li>Exempted some specimens from precautions, namely, urine, feces, nasal secretions, sputum, sweat, tears, and vomitus <i>unless visible blood present</i></li> <li>Included the use of PPE by health-care workers to prevent mucous membrane exposures</li> <li>Recommended handwashing after glove removal</li> <li>Included recommendations for the handling and disposal of needles and other sharps</li> </ul>
1987	Body Substance Isolation (BSI) <sup>13,14</sup>	<ul style="list-style-type: none"> <li>Emphasized the avoidance of contact with potentially infectious, moist body fluids (except sweat), <i>regardless</i> of the presence or absence of blood</li> <li>Similar to UP recommendations for the prevention of bloodborne pathogen transmission</li> <li>Handwashing after glove removal <i>not required</i> unless hands visibly soiled</li> <li>Inadequate provisions to prevent: <ul style="list-style-type: none"> <li>some droplet transmissions</li> <li>direct or indirect contact transmission from dry skin or environmental sources</li> <li>airborne droplet nuclei transmission of infection (e.g., tuberculosis) over long distances</li> </ul> </li> </ul>
1991 <sup>15</sup> (1999, <sup>16</sup> 2001 <sup>17</sup> )	Bloodborne Pathogens Standard <sup>15–17</sup> ; OSHA	<ul style="list-style-type: none"> <li>Aimed at reducing health-care worker exposure to bloodborne pathogens—HIV, hepatitis viruses, and retroviruses—when caring for patients with known infection</li> <li>Requires <i>employer</i> to have an Exposure Control Plan to: <ul style="list-style-type: none"> <li>educate workers</li> <li>provide necessary supplies and other measures (e.g., PPE, hepatitis B vaccination, signs and labels, medical surveillance)</li> </ul> </li> </ul>
1996, <sup>18</sup> 2007 <sup>19</sup>	Standard Precautions and Transmission-Based Precautions; HICPAC/CDC	<ul style="list-style-type: none"> <li>Two-tier approach to prevent disease transmission that emphasizes prevention of nosocomial infection and worker safety</li> <li>Tier 1: Standard Precautions: <ul style="list-style-type: none"> <li>A synthesis of UP, BSI, and 1983 CDC guidelines</li> <li>Applies to all body fluids, secretions, excretions (except sweat), and tissue specimens</li> <li>Applies to human-based standards or calibrators, quality control materials, and proficiency testing materials</li> <li>Applies to nonintact skin and mucous membranes of patient and health-care worker</li> </ul> </li> <li>Tier 2: Transmission-Based Precautions: <ul style="list-style-type: none"> <li>Three categories: airborne, droplet, and contact</li> <li>Used when Standard Precautions alone are insufficient</li> <li>Used for patients with known or suspected infection</li> </ul> </li> <li>Lists specific syndromes that require temporary isolation precautions until a definitive diagnosis is made</li> </ul>

CDC, Centers for Disease Control and Prevention; HICPAC, Healthcare Infection Control Practices Advisory Committee; OSHA, Occupational Safety and Health Administration; PPE, personal protective equipment.

ancillary health-care staff such as custodial and food service employees, as well as health-care volunteers. It is a responsibility of each health-care department to educate, implement, document, and monitor compliance with Standard Precautions. In addition, written safety and infection control policies and procedures must be readily available for reference in the laboratory.

### Personal Protective Equipment

When contact with body fluids or other liquids is anticipated, appropriate **personal protective equipment (PPE)** or barriers must be used. Gloves should be worn when assisting patients in collecting specimens, when receiving and processing specimens, when performing any testing procedure, and when cleaning equipment or work areas.

In addition, they should be worn at all times in the laboratory where countertops, chairs, and other surfaces are exposed to these specimens. If laboratory personnel are directly involved with patients, gloves should be changed and hands washed or sanitized after each patient contact. In the laboratory, gloves are changed when they are visibly soiled or physically damaged. Gloves used in the laboratory should not be worn outside the area. Whenever gloves are removed, or when contact with urine or other body fluids has occurred, hands should be washed with an appropriate antiseptic soap.

Protective laboratory coats must be worn in the laboratory and when necessary must be impermeable to blood and other liquid samples that could be potentially infectious. Lab coats should be changed weekly or more often if soiled. These coats should not be worn outside of or be removed from the laboratory area. If splashing of liquids such as urine, body fluids, or chemicals is anticipated, a moisture-resistant (plastic) apron should be worn over the lab coat.

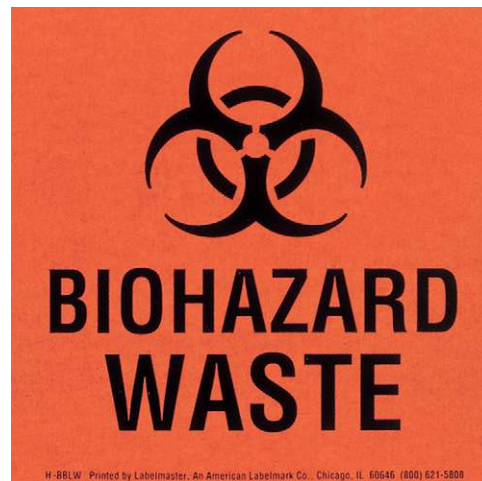
Because processing and performing laboratory procedures on urine and body fluids can often result in sprays, splatters, or aerosols, laboratory employees should wear eyewear, headgear, or masks to protect the eyes, nose, and mouth. Eyeglasses may be sufficient for some situations in the laboratory; however, Plexiglas barriers, safety glasses or goggles, face shields, hood sashes, or particulate respirators may be necessary for protection, depending on the procedure being performed and the substance being handled.

### Specimen Processing

All specimens should be transported to the laboratory in sealed plastic bags, with the request slip placed on the outside of the bag. If the outside of the specimen container is obviously contaminated because of leakage or improper collection technique, the exterior of the container can be cleaned using an appropriate disinfectant before processing, or it should be rejected and a new specimen requested. When removing lids or caps from specimens, the technologist should work behind a protective shield or should cover the specimens with gauze or disposable tissues to prevent sprays and splatters. During centrifugation, specimens should be capped or placed in covered trunnions to prevent aerosols. Centrifuges should not be operated with their tops open or slowed or stopped by hand. If a specimen needs to be aliquoted, transfer pipettes or protective barriers should be used when pouring from the specimen container.

### Disposal of Waste

To protect all laboratory personnel, including custodial staff, adherence to an **infectious waste disposal policy** is necessary. Because all biological specimens and materials exposed to them (e.g., contaminated needles and glassware) are considered infectious, they must be disposed of properly. Disposal requires leakproof, well-constructed receptacles clearly marked with the universal biohazard symbol and available in all laboratory areas (Fig. 1.1). These biohazard containers should not be overfilled. In addition, they should be sealed



**FIG. 1.1** The universal biohazard symbol. (From Rodak BF: *Hematology: clinical principles and applications*, ed 2, Philadelphia, 2002, Saunders).

adequately and enclosed within a clean biohazard bag before removal from the laboratory area by custodial staff.

All biological specimens, except urine, must be sterilized or decontaminated before disposal. Incineration and autoclaving are acceptable, with the latter usually being the most cost-effective. Urine, on the other hand, can be discarded directly down a sink or toilet, with caution taken to avoid splashing. When discarding urine down a sink, personnel should rinse the sink well with water after discarding specimens and at least daily with a 0.5% bleach (sodium hypochlorite) solution.

Contaminated sharps such as needles, broken glass, or transfer pipettes must be placed into puncture-resistant containers for disposal. These containers should not be overfilled. They should be sealed securely and enclosed in a clean infectious waste disposal bag to protect custodial personnel before removal from the laboratory area. Because contaminated sharps are considered infectious, they must be incinerated or autoclaved before disposal.

Noninfectious glass such as empty reagent bottles and nonhazardous waste such as emptied urine containers are considered normal waste and require no special precautions for disposal.

### Decontamination

Several agents are available for the daily **decontamination** of laboratory surfaces and equipment. Bleach or a phenolic disinfectant is used most often in the clinical laboratory. A 0.5% to 0.6% active chlorine bleach solution, prepared by adding 1 part household bleach (8.25% sodium hypochlorite) to 14 parts water, is stable for 1 week. It is important to note that in 2014, manufacturers changed the concentration of household bleach and that it is no longer available as 6% sodium hypochlorite but as 8.25%. Phenolic disinfectants, a combination of phenolic compounds and detergents, are purchased commercially; one makes appropriate dilutions according to the manufacturers' recommendations.

When spills occur, decontaminants are used to neutralize the biological hazard and to facilitate its removal. Because decontaminants are less effective in the presence of large amounts of protein, a body fluid spill should be absorbed first with a solid absorbent powder (e.g., Zorbitrol) or disposable towels. If an absorbent powder is used, the liquid will solidify and can be scooped up and placed into an infectious waste receptacle. If disposable towels are used, allow the spill to be absorbed and pour 0.5% bleach over the towels. Carefully pick up the bleach-soaked towels and transfer them into an infectious waste container. Decontaminate the spill area again using 0.5% bleach, and clean it with a phenolic detergent if desired. All disposable materials used to clean the spill area must be placed in infectious waste receptacles.

### Chemical Hazards

Chemicals are ubiquitous in the clinical laboratory. Many are caustic, toxic, or flammable and must be specially handled to ensure the safety and well-being of laboratory employees. The OSHA rule of January 1990 requires each facility to have a **Chemical Hygiene Plan (CHP)** that defines the safety policies and procedures for all hazardous chemicals used in the laboratory. This plan includes the identification of a chemical hygiene officer; policies for handling, storage, and use of chemicals; the use of protective barriers; criteria for monitoring overexposure to chemicals; and provisions for medical consultations or examinations. Educating personnel about chemical safety policies and procedures is mandatory and requires a documented annual review. By developing and using a comprehensive CHP, chemical hazards are minimized and the laboratory becomes a safe environment in which to work.

The goal of the OSHA hazardous communication rule is to ensure that all employees are aware of potential chemical hazards in their workplace. This employee “right to know” requires chemical manufacturers, importers, and distributors to provide **safety data sheets (SDSs)**, formerly known as material safety data sheets (MSDSs). The OSHA Hazard Communication Standard (29 CFR 1910.1200[g]) was revised in 2012, and the new SDS format is in alignment with the United Nations Global Harmonization System of Classification and Labeling of Chemicals (GHS). SDS sheets are now provided in a user-friendly, specific 16-section format, and [Box 1.3](#) lists the content areas. An SDS for each hazardous chemical used in the laboratory must be readily available for quick reference. To meet this requirement, each laboratory section may either retain copies of SDSs for chemicals frequently used in its area or it may have access to them through a laboratory information system.

The labeling of chemicals is fundamental to a laboratory safety program and is an area of major change in the revised 2012 OSHA standard. Chemical labels ([Fig. 1.2](#)) must now include five specific elements: (1) product identifier (name); (2) a signal word—*danger* or *warning*; (3) a hazard statement; (4) precautionary statements and pictograms ([Fig. 1.3](#)); and (5) supplier identification. When a chemical is removed from its original container, its hazard identity can be lost unless the

#### BOX 1.3 Safety Data Sheet (SDS) Content Areas

Section	Content
1	Identification
2	Hazard Identification
3	Composition/Information on Ingredients
4	First-Aid Measures
5	Firefighting Measures
6	Accidental Release Measures
7	Handling and Storage
8	Exposure Controls/Personal Protection
9	Physical and Chemical Properties
10	Stability and Reactivity
11	Toxicologic Information
12	Ecologic Information (nonmandatory)
13	Disposal Considerations (nonmandatory)
14	Transport Information (nonmandatory)
15	Regulatory Information (nonmandatory)
16	Other Information

laboratory appropriately relabels it. Therefore the labels on all secondary containers of hazardous chemicals and reagents must also include the five GHS elements.

In the United States under federal Department of Transportation (DOT) regulation, all chemicals are also required to have the National Fire Protection Association (NFPA) 704-M Hazard Identification System descriptive warning on their shipping containers. These bright, color-coded labels are divided into quadrants that identify the health (blue), flammability (red), and reactivity (yellow) hazard for each chemical, as well as any special considerations (white) ([Fig. 1.4](#)). The NFPA system also uses numbers from 0 to 4 to classify hazard severity, with 4 representing extremely hazardous. [Table 1.4](#) provides a comparison of OSHA’s HCS label and that of the NFPA.

To limit employee exposure, appropriate usage and handling guidelines for each chemical type must be described in the laboratory safety manual. General rules such as prohibiting pipetting by mouth or the sniffing of chemicals are mandatory. Because the greatest hazard encountered in the clinical laboratory is that caused by the splattering of acids, alkalis, and strong oxidizers, appropriate use of PPE is required. Use of gloves, gowns, goggles, and a fume hood or safety cabinet will reduce the potential for injury. Chemical safety tips include (1) never grasp a reagent bottle by the neck or top, and (2) always add acid to water; *never add water to concentrated acid*. Safety equipment such as an eyewash and shower must be readily available and accessible in case of accidental exposure.

#### Handling Chemical Spills

In the event of a spill, the SDS for the chemical should be consulted to determine the appropriate action to take. Each



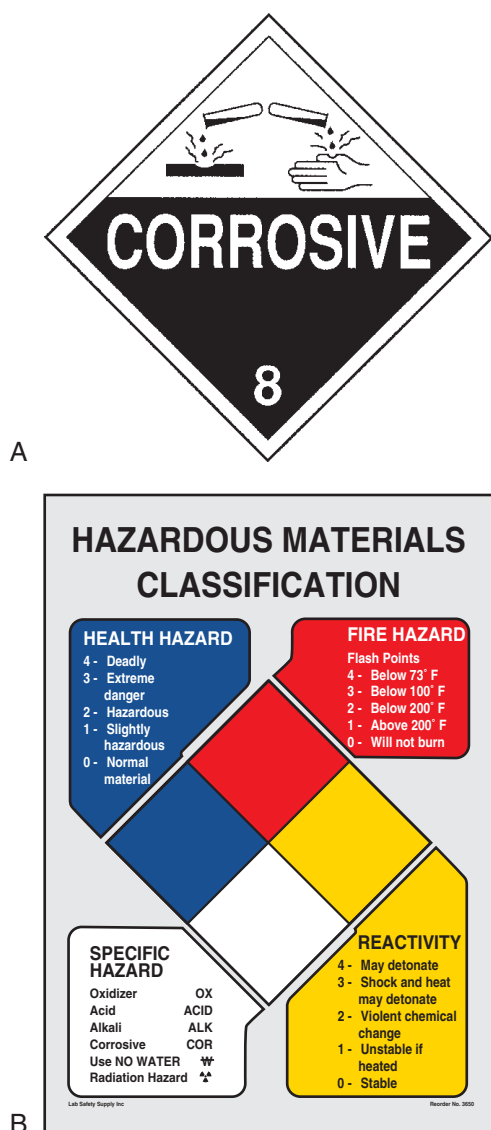
**SAMPLE LABEL**

CODE _____ Product Name _____	}	<b>Product Identifier</b>	<b>Hazard Pictograms</b> 
Company Name _____ Street Address _____ City _____ State _____ Postal Code _____ Country _____ Emergency Phone Number _____	}	<b>Supplier Identification</b>	<b>Signal Word</b> <b>Danger</b>
Keep container tightly closed. Store in a cool, well-ventilated place that is locked. Keep away from heat/sparks/open flame. No smoking. Only use non-sparking tools. Use explosion-proof electrical equipment. Take precautionary measures against static discharge. Ground and bond container and receiving equipment. Do not breathe vapors. Wear protective gloves. Do not eat, drink or smoke when using this product. Wash hands thoroughly after handling. Dispose of in accordance with local, regional, national, international regulations as specified.  <b>In Case of Fire:</b> use dry chemical (BC) or Carbon Dioxide (CO <sub>2</sub> ) fire extinguisher to extinguish.  <b>First Aid</b> If exposed call Poison Center. If on skin (or hair): Take off immediately any contaminated clothing. Rinse skin with water.			Highly flammable liquid and vapor. May cause liver and kidney damage.
			<b>Hazard Statements</b>
<b>Precautionary Statements</b>			<b>Supplemental Information</b>  Directions for Use _____ _____ _____  Fill weight: _____ Lot Number: _____ Gross weight: _____ Fill Date: _____ Expiration Date: _____

**FIG. 1.2** An OSHA Hazard Communication Standard label sample. (From <https://www.osha.gov/Publications/OSHA3492QuickCardLabel.pdf>. Accessed October 9, 2020).

<b>Health Hazard</b>  <ul style="list-style-type: none"> <li>• Carcinogen</li> <li>• Mutagenicity</li> <li>• Reproductive toxicity</li> <li>• Respiratory sensitizer</li> <li>• Target organ toxicity</li> <li>• Aspiration toxicity</li> </ul>	<b>Flame</b>  <ul style="list-style-type: none"> <li>• Flammables</li> <li>• Pyrophorics</li> <li>• Self-heating</li> <li>• Emits flammable gas</li> <li>• Self-reactives</li> <li>• Organic peroxides</li> </ul>	<b>Exclamation Mark</b>  <ul style="list-style-type: none"> <li>• Irritant (skin and eye)</li> <li>• Skin sensitizer</li> <li>• Acute toxicity (harmful)</li> <li>• Narcotic effects</li> <li>• Respiratory tract irritant</li> <li>• Hazardous to ozone layer (non-mandatory)</li> </ul>
<b>Gas Cylinder</b>  <ul style="list-style-type: none"> <li>• Gases under pressure</li> </ul>	<b>Corrosion</b>  <ul style="list-style-type: none"> <li>• Skin corrosion/burns</li> <li>• Eye damage</li> <li>• Corrosive to metals</li> </ul>	<b>Exploding Bomb</b>  <ul style="list-style-type: none"> <li>• Explosives</li> <li>• Self-reactives</li> <li>• Organic pesticides</li> </ul>
<b>Flame Over Circle</b>  <ul style="list-style-type: none"> <li>• Oxidizers</li> </ul>	<b>Environment (Non-mandatory)</b>  <ul style="list-style-type: none"> <li>• Aquatic toxicity</li> </ul>	<b>Skull and Crossbones</b>  <ul style="list-style-type: none"> <li>• Acute toxicity (fatal or toxic)</li> </ul>

**FIG. 1.3** The nine OSHA Hazard Communication Standard pictograms for use on chemical labels. (From <https://www.osha.gov/dsg/hazcom/pictograms/index.html>. Accessed October 9, 2020).



**FIG. 1.4** (A) A label used by the Department of Transportation to indicate hazardous chemicals. (B) The label identification system developed by the National Fire Protection Association. (Courtesy Lab Safety Supply Inc., Janesville, WI).

laboratory should have available a chemical spill kit that includes absorbent, appropriate protective barriers (e.g., gloves, goggles), cleanup pans, absorbent towels or pillows, and disposal bags. Frequently, liquids are contained by absorption using a spill compound (absorbent) such as ground clay or a sodium bicarbonate and sand mixture. The latter is generally appropriate for acid, alkali, or solvent spills. Following absorption, the absorbent is swept up and placed into a bag or a sealed container for appropriate chemical disposal, and the spill area is thoroughly washed.

For emergency treatment of personnel affected by chemical splashes or injuries, clear instructions should be posted in the laboratory. Chemical spills of hazardous substances

must be reported to supervisory personnel and appropriately documented. This permits a review of the circumstances and facilitates changes to prevent recurrence of the incident. Any injury or illness resulting from the spill or exposure also requires documentation and follow-up.

### Disposal of Chemical Waste


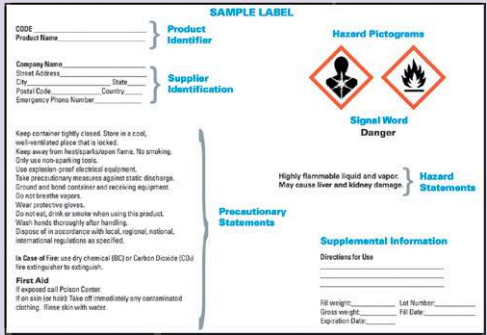
All chemicals must be disposed of properly to ensure safety in the workplace and in the environment in general. Because chemical disposal differs according to the chemical type, the amount to be discarded and local laws, each laboratory must maintain its own policies for disposal. Following performance of laboratory procedures, chemicals often are diluted adequately or neutralized such that disposal in the sewer system is satisfactory. Flushing sinks and drains with copious amounts of water following the disposal of aqueous reagents is a good laboratory practice. Appropriate steps to be followed must be available in a general laboratory policy or in the laboratory procedure that uses the chemical.

### Other Hazards

Organic solvents used in the clinical laboratory represent health and fire hazards. As a result, these flammable substances require special considerations regarding storage, use, and disposal. Appropriately vented storage cabinets are necessary to store solvents; the availability of these cabinets dictates the volume of flammables allowed to be stored on the premises. Because of potentially toxic vapors, adequate ventilation during solvent use, such as in a fume hood, is mandatory. Although small quantities of water-miscible solvents may be disposed of in the sewer system with copious amounts of water, disposal of flammable solvents in this fashion is dangerous. All solvent waste should be recovered following procedures in glass or other appropriate containers. Because not all solvents can be mixed together, a written laboratory protocol listing acceptable solvent combinations is necessary. After collection, each solvent waste container must be marked clearly with the solvent type and the relative amount present and must be properly stored until disposal.

Other potential fire hazards in the laboratory include electrical hazards and hazards from flammable compressed gases. Laboratory personnel should report any discovered deterioration in equipment (e.g., electrical shorts) or its connections (e.g., a frayed cord). If a liquid spill occurs on electrical equipment or its connections, appropriate action must be taken to dry the equipment thoroughly before placing it back into use. Compressed gases must be secured at all times, regardless of their contents or the amount of gas in the tank. Their valve caps should be in place except when in use. A procedure for appropriate transport, handling, and storage of compressed gases is necessary to ensure proper usage. All laboratory personnel must be aware of the location of all fire extinguishers, alarms, and safety equipment; must be instructed in the use of a fire extinguisher; and must be involved in laboratory fire drills, at least annually.

TABLE 1.4 Comparison of the NFPA and OSHA HCS Labels<sup>20</sup>

	NFPA 704	HCS 2012
		
Purpose	Provides basic information for emergency personnel responding to a fire or spill and those planning for emergency response.	Informs a worker about the hazards of chemicals in the workplace under normal conditions of use and foreseeable emergencies.
Number system	0–4 0—least hazardous 4—most hazardous	1–4 1—most severe hazard 4—least severe hazard <ul style="list-style-type: none"> <li>Numbers are used to <i>classify</i> hazards and determine information required on label</li> <li>Hazard category numbers are <i>not</i> required on labels but are required on safety data sheets</li> </ul>
Information provided on label	<ul style="list-style-type: none"> <li>Health—Blue</li> <li>Flammability—Red</li> <li>Instability—Yellow</li> <li>Special Hazards—White OX—Oxidizers W—Water reactives SA—Simple Asphyxiants</li> </ul>	<ul style="list-style-type: none"> <li>Product identifier</li> <li>Signal word</li> <li>Hazard statement(s)</li> <li>Pictogram(s)</li> <li>Precautionary statement(s)</li> <li>Name, address, and phone number of supplier (i.e., responsible party)</li> </ul>
Health hazards on label	Acute (short-term) health hazards <i>only</i> . Chronic (long-term) health effects are not covered.	Acute (short-term) and chronic (long-term) health hazards. <ul style="list-style-type: none"> <li>These hazards are relevant for employees working with chemicals day after day.</li> <li>Includes acute hazards such as eye irritants, simple asphyxiants, and skin corrosives, as well as chronic hazards such as carcinogens.</li> </ul>
Flammability/physical hazards on label	Divides flammability (red section) and instability (yellow section) hazards into two separate numbers on label.	A broad range of physical hazard classes are listed on the label including explosives, flammables, oxidizers, reactives, pyrophorics, combustible dusts, and corrosives.
Website	<a href="http://www.nfpa.org/704">www.nfpa.org/704</a>	<a href="http://www.osha.gov/dsg/hazcom/index.html">www.osha.gov/dsg/hazcom/index.html</a>

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## STUDY QUESTIONS

1. The ultimate goal of a quality assessment (QA) program is to
  - A. maximize the productivity of the laboratory.
  - B. ensure that patient test results are precise.
  - C. ensure appropriate diagnosis and treatment of patients.
  - D. ensure the validity of laboratory results obtained.
2. Which of the following is a preanalytical component of a QA program?
  - A. Quality control
  - B. Turnaround time
  - C. Technical competence
  - D. Preventive maintenance
3. Which of the following is a postanalytical component of a QA program?
  - A. Critical values
  - B. Procedures
  - C. Preventive maintenance
  - D. Test utilization
4. Analytical components of a QA program are procedures and policies that affect the
  - A. technical testing of the specimen.
  - B. collection and processing of the specimen.
  - C. reporting and interpretation of results.
  - D. diagnosis and treatment of the patient.
5. The purpose of quality control materials is to
  - A. monitor instrumentation to eliminate downtime.
  - B. ensure the quality of test results obtained.
  - C. assess the accuracy and precision of a method.
  - D. monitor the technical competence of laboratory staff.
6. Why are written procedures necessary?
  - A. To assist in the ordering of reagents and supplies for a procedure
  - B. To appropriately monitor the accuracy and precision of a procedure
  - C. To ensure that all individuals perform the same task consistently
  - D. To ensure that the appropriate test has been ordered
7. Which of the following is *not* considered to be an analytical component of QA?
  - A. Reagents (e.g., water)
  - B. Glassware (e.g., pipettes)
  - C. Instrumentation (e.g., microscope)
  - D. Specimen preservation (e.g., refrigeration)
8. Which of the following sources should include a protocol for the way to proceed when quality control results exceed acceptable tolerance limits?
  - A. A reference book
  - B. A procedure
  - C. A preventive maintenance manual
  - D. A specimen-processing protocol

9. Technical competence is displayed when a laboratory practitioner
- documents reports in a legible manner.
  - recognizes discrepant test results.
  - independently reduces the time needed to perform a procedure (e.g., by decreasing incubation times).
  - is punctual and timely.
10. Quality control materials should have
- a short expiration date.
  - a matrix similar to patient samples.
  - their values assigned by an external and unbiased commercial manufacturer.
  - the ability to test preanalytical variables.
11. Within one facility, what is the purpose of performing duplicate testing of a specimen by two different laboratories (i.e., in-house duplicates)?
- It provides little information because the results are already known.
  - It saves money by avoiding the need for internal quality control materials.
  - It provides a means of evaluating the precision of a method.
  - It can detect procedural and technical differences among laboratories.
12. Interlaboratory comparison testing as with proficiency surveys provides a means to
- identify critical values for timely reporting to clinicians.
  - ensure that appropriate documentation is being performed.
  - evaluate the technical performance of individual laboratory practitioners.
  - evaluate the performance of a laboratory compared with that of other laboratories.
13. The primary purpose of a Standard Precautions policy in the laboratory is to
- ensure a safe and healthy working environment.
  - identify processes (e.g., autoclaving) to be used to neutralize infectious agents.
  - prevent the exposure and transmission of potentially infectious agents to others.
  - identify patients with hepatitis B virus, human immunodeficiency virus, and other infectious diseases.
14. Which agency is responsible for defining, establishing, and monitoring safety and health hazards in the workplace?
- Occupational Safety and Health Administration
  - Centers for Disease Control and Prevention
  - Chemical Hygiene Agency
  - National Fire Protection Association
15. Match the mode of transmission with the laboratory activity.
- | <b>Laboratory Activity</b>   | <b>Mode of Transmission</b> |
|--|-----------------------------|
| <input type="checkbox"/> A. Not wearing gloves when handling specimens | 1. Inhalation               |
| <input type="checkbox"/> B. Centrifuging uncovered specimens           | 2. Ingestion                |
| <input type="checkbox"/> C. Smoking in the laboratory                  | 3. Direct contact           |
| <input type="checkbox"/> D. Being scratched by a broken beaker         |                             |
| <input type="checkbox"/> E. Having a specimen splashed into the eyes   |                             |
| <input type="checkbox"/> F. Pipetting by mouth                         |                             |
16. Which of the following is *not* considered personal protective equipment?
- Lab coat
  - Disinfectants
  - Goggles
17. Which of the following actions represents a good laboratory practice?
- Washing or sanitizing hands frequently
  - Wearing lab coats outside the laboratory
  - Removing lab coats from the laboratory for laundering at home in 2% bleach
  - Wearing the same gloves to perform venipuncture on two different patients because the patients are in the same room
18. Which of the following is *not* an acceptable disposal practice?
- Discarding urine into a sink
  - Disposing of used, empty urine containers with non-hazardous waste
  - Discarding a used, broken specimen transfer pipette with noninfectious glass waste
  - Discarding blood specimens into a biohazard container
19. Which of the following is *not* part of a Chemical Hygiene Plan?
- To identify and label hazardous chemicals
  - To educate employees about the chemicals they use (e.g., providing material safety data sheets)
  - To provide guidelines for the handling and use of each chemical type
  - To monitor the handling of biological hazards
20. Which of the following information is *not* found on a safety data sheet (SDS)?
- Exposure limits
  - Catalog number
  - Hazardous ingredients
  - Flammability of the chemical



## CASE 1.1

Both a large hospital and its outpatient clinic have a laboratory area for the performance of routine urinalyses. Each laboratory performs daily QA checks on reagents, equipment, and procedures. Because the control material used does not have sediment components, each laboratory sends a completed urinalysis specimen to the other laboratory for testing. After the urinalysis has been performed, results are recorded, compared, and evaluated. The criterion for acceptability is that all parameters must agree within one grade.

**Results**

One day, all results were acceptable except those of the microscopic examination, which follow:

Hospital Laboratory	Clinic Laboratory
RBCs/hpf: 5–10	RBCs/hpf: 25–50
WBCs/hpf: 0–2	WBCs/hpf: 0–2
Casts/lpf: 0–2 hyaline	Casts/lpf: 5–10 hyaline

On investigation, the results from the clinic were found to be correct; the hospital had a problem, which was addressed and remedied immediately.

- Which of the following conditions present in the hospital laboratory could cause the observed findings in this case?
  - The urinalysis centrifuge had its brake left on.
  - The urinalysis centrifuge was set for the wrong speed or time setting.
  - Microscopic examination was performed on an unmixed or inadequately mixed specimen.
  - Microscopic examination was performed using nonoptimized microscope settings for urine sediment viewing (e.g., contrast was not sufficient to view low-refractile components).
  - 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 2 and 4 are correct.
  - 4 is correct.
  - All are correct.
- Which of the following actions could prevent this from happening again?
  - The microscope and centrifuge should be repaired.
  - The laboratory should participate in a proficiency survey.
  - A control material with sediment components should be used daily.
  - All results should be reviewed by the urinalysis supervisor before they are reported.

*hpf*, High-power field; *lpf*, low-power field; *RBC*, red blood cell; *WBC*, white blood cell.

# Urine Specimen Types, Collection, and Preservation

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. State at least three clinical reasons for performing a routine urinalysis.
2. Describe three types of urine specimens, and state at least one diagnostic use for each type.
3. Explain the importance of accurate timing and complete collection of timed urine specimens.
4. Describe the collection technique used to obtain the following specimens:
  - Random void
  - Midstream “clean catch”
  - Catheterized
5. Describe materials and procedures used for proper collection and identification of urine specimens.
6. Identify six reasons for rejecting a urine specimen.
7. State the changes possible in unpreserved urine, and explain the mechanism for each.
8. Discuss urine preservatives, including their advantages, disadvantages, and uses.
9. List and justify at least three tests that assist in determining whether a fluid is urine.
  - Suprapubic aspiration
  - Pediatric collection

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## KEY TERMS<sup>1</sup>

**catheterized specimen**

**first morning specimen**

**midstream “clean catch” specimen**

**random urine specimen**

**suprapubic aspiration**

**timed collection**

**urine preservative**

<sup>1</sup>Definitions are provided in the chapter and glossary

The purposes of performing tests using urine are (1) to aid in the diagnosis of disease; (2) to screen for asymptomatic, congenital, or hereditary disease; (3) to monitor disease progression; and (4) to monitor therapy effectiveness or complications.<sup>1</sup> Many urine tests are available in clinical and commercial laboratories. These tests may be *quantitative*

assays that determine the level of a substance in the urine, such as assays that measure electrolytes, proteins, hormones, and other metabolic substances (e.g., porphyrins). Other urine tests are *qualitative* or screening tests. They are used to detect the presence or increased amount of a substance, such as rapid pregnancy tests, tests to detect microbial DNA and



RNA (e.g., chlamydia, trichomonas), or a routine urinalysis—which provides a real-time “snapshot” of a person’s urinary tract and metabolic status.

The most commonly performed urine test is a routine urinalysis test. It is economical and provides valuable patient health information to healthcare providers. A routine urinalysis evaluates three aspects of the urine: 1) its physical characteristics, 2) its chemical composition, and 3) the microscopic sediment elements (e.g., epithelial cells, blood cells, casts, mucus) suspended in it. See [Chapters 5, 6, and 7](#) for a detailed discussion of these three examinations that comprise a routine urinalysis.

To obtain accurate test results, urine specimen integrity must be maintained. If the urine specimen submitted for testing is inappropriate (e.g., if a random specimen is submitted instead of a timed collection) or if the specimen composition has changed because of improper storage conditions, testing will produce results that do not reflect the patient’s condition. In such situations, the highest quality reagents, equipment, expertise, and personnel cannot compensate for the unacceptable specimen. Therefore written criteria for urine specimen types, instructions for proper collection and preservation, appropriate specimen labeling, and a handling timeline must be available to all personnel involved in urine specimen procurement.

## WHY STUDY URINE?

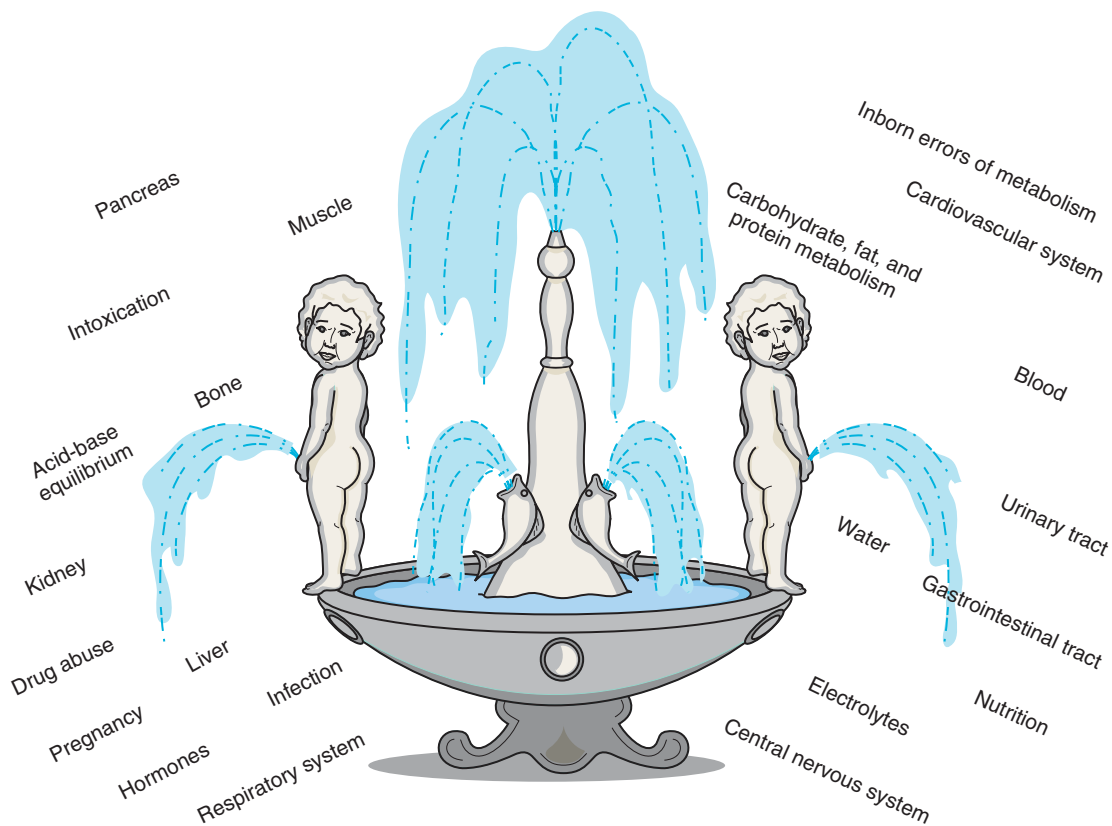
Urine is actually a “fluid biopsy” of the kidneys and can provide a fountain of information about the health of an

individual ([Fig. 2.1](#)). The kidneys are the only organs that can have their functional status evaluated by such a noninvasive means. In addition, because urine is an ultrafiltrate of the plasma, it can be used to evaluate and monitor body homeostasis and many metabolic disease processes.

Usually, urine specimens are readily obtainable, and their collection inconveniences a patient only briefly. Some individuals are uncomfortable discussing body fluids and body functions. Good verbal and written communication with each patient in a sensitive and professional manner can ensure the collection of a quality urine specimen. The ease with which urine specimens are obtained can lead to laxity or neglect in educating the patient and in stressing the importance of a proper collection. Note that if the quality of the urine specimen is compromised, so is the resultant urinalysis.

## SPECIMEN TYPES

The type of specimen selected, the time of collection, and the collection technique are usually determined by the tests to be performed. The three basic types of urine specimens are first morning, random, and timed collections ([Table 2.1](#)). Note that the ideal urine specimen needs to be adequately concentrated to ensure, upon screening, the detection of chemical components and formed elements of interest. These factors also depend on the patient’s state of hydration and the length of time the urine is held in the bladder.



**FIG. 2.1** Urine as a fountain of information. (Modified from Free AH, Free HM: *Urinalysis in clinical laboratory practice*, West Palm Beach, FL, 1975, CRC Press. Copyright CRC Press, Boca Raton, FL.)

TABLE 2.1 Urine Specimen Types

Specimen Type	Description	Uses
Random	<ul style="list-style-type: none"> <li>Urine collected at any time</li> </ul>	<ul style="list-style-type: none"> <li>Routine screening</li> <li>Cytology studies (with prior hydration)</li> <li>Fluid deprivation tests</li> </ul>
First morning	<ul style="list-style-type: none"> <li>First urine voided after sleep (≈6-8 hr)</li> <li>Most concentrated urine</li> </ul>	<ul style="list-style-type: none"> <li>Routine screening; good recovery of cells and casts</li> <li>To confirm postural or orthostatic proteinuria</li> <li>Cytology studies</li> </ul>
Timed	<ul style="list-style-type: none"> <li>Collect all urine during a specific timed interval (e.g., 24-hr, 12-hr, 2-hr)</li> <li>Preservatives and/or refrigeration during collection may be required</li> </ul>	<ul style="list-style-type: none"> <li>Quantitative chemical analysis</li> <li>Clearance tests</li> <li>Cytology studies</li> <li>Evaluation of fistula</li> </ul>

### First Morning Specimen

To collect a **first morning specimen**, the patient voids before going to bed and immediately on rising from sleep collects a urine specimen. Because this urine specimen has been retained in the bladder for approximately 8 hours, the specimen is ideal to test for substances that require concentration or incubation for detection (e.g., nitrites, protein) and to confirm postural or orthostatic proteinuria. Formed elements such as white blood cells, red blood cells, and casts are more stable in these concentrated acidic urine specimens. Because the number of epithelial cells present can be significant, these specimens may be used for cytology studies. The high osmolality of first morning specimens maintains the morphology of cellular components and reduces degeneration of renal casts.<sup>2</sup> However, the high concentration of salts in these specimens can crystallize on cooling to room temperature (e.g., amorphous urates) and interfere with routine processing for cytologic studies. If the cellular morphology in this specimen type is determined to be suboptimal (i.e., signs of degeneration present), a random urine specimen can be collected.

Although the first morning urine is usually the most concentrated and is frequently the specimen of choice, it is not the most convenient to obtain. It requires that the patient pick up a container and instructions at least 1 day before his or her appointment; in addition, the specimen must be preserved if it is not going to be analyzed within 2 hours of collection.

### Random Urine Specimen

For ease and convenience, routine screening is most often performed on **random urine specimens**. Random specimens can be collected at any time, usually during daytime hours and without prior patient preparation. Because excessive fluid intake and strenuous exercise can directly affect urine composition, random urine specimens may not accurately reflect a patient's condition. Despite this, random specimens are usually satisfactory for routine screening and are capable of detecting abnormalities that indicate a disease process.

With prior hydration of the patient, a random clean catch urine specimen is ideal for cytology studies. Hydration consists of instructing the patient to drink 24 to 32 ounces of water each hour for 2 hours before urine collection. Most cytology protocols require collection of these specimens daily for 3 to 5 consecutive days. This increases the number of cells studied, thereby enhancing the detection of abnormality or disease. One method that can be used to increase the cellularity of a urine specimen is to have the patient exercise for 5 minutes by skipping or jumping up and down before specimen collection.

### Timed Collection

Because of circadian or diurnal variation in excretion of many substances and functions (e.g., hormones, proteins, glomerular filtration rate) and the effects of exercise, hydration, and body metabolism on excretion rates, quantitative urine assays often require a **timed collection**. Timed collections, usually 12-hour or 24-hour, eliminate the need to determine when excretion is optimal and allow comparison of excretion patterns from day to day. Timed urine specimens can be divided into two types: those collected for a predetermined length of time (e.g., 2 hours, 12 hours, 24 hours) and those collected during a specific time of day (e.g., 2 PM to 4 PM). For example, a 4-hour or 12-hour specimen for determination of urine albumin, creatinine, and the albumin-to-creatinine ratio can be collected anytime and is an ideal specimen to screen for microalbuminuria. In contrast, a 2-hour collection for determination of urinary urobilinogen is preferably collected from 2 PM to 4 PM—the time when maximal excretion of urobilinogen is known to occur (in most individuals).

Accurate timing and strict adherence to specimen collection directions are essential to ensure valid results from timed collections. For example, if the two first morning specimens are included in a single 24-hour collection, the results will be erroneous because of the additional volume and analyte added. **Box 2.1** summarizes a protocol for the timed collection of a 24-hour specimen. This same protocol is applicable to any timed collection. A rule of thumb is to empty the bladder and discard the urine at the beginning of a timed collection and to collect all urine subsequently passed during the collection period. At the end time of the collection, the patient must empty his or her bladder and include that urine in the timed collection.

Depending on the analyte being measured, a urine preservative may be necessary to ensure its stability throughout the collection. In addition, certain foods and drugs can affect

**BOX 2.1 Timed Urine Collection Protocol**

- Provide patient with written instructions and collection container (with preservative, if required).
- At start time (e.g., 7:00 a.m. on Day 1), patient empties bladder *into toilet*; afterward, all subsequent urine throughout timed interval is collected in the container provided.
- At end time (e.g., 7:00 a.m. on Day 2), patient empties bladder *into collection container*.
- Specimen is transported to laboratory, where urine is mixed well and the volume is measured and recorded.
- A sufficient volume (≈50 mL) is removed for routine testing and possible repeat or additional testing; the remainder is discarded.

the urinary excretion of some analytes. When this influence is known to be significant, the patient needs to be properly instructed to avoid these substances. Written instructions should include the test name, the preservative required, and any special instructions or precautions. The most common errors encountered in quantitative urine tests are related directly to specimen collection or handling, such as loss of specimen (i.e., not collecting all urine excreted in a timed collection), inclusion of two first morning samples, inaccurate total volume measurement, transcription error, and inadequate preservation.

**COLLECTION TECHNIQUES****Routine Void**

A routine voided urine specimen requires no patient preparation and is collected by having the patient urinate into an appropriate container. Normally the patient requires no assistance other than clear instructions. These routine specimens, whether random or first morning, can be used for routine urinalysis. For other collection procedures, the patient may require assistance, depending on the patient's age and physical condition or the technique to be used for collection (Table 2.2).

**Midstream “Clean Catch”**

If the possibility of contamination (e.g., from vaginal discharge) exists, or if a bacterial culture is desired, a **midstream “clean catch” specimen** should be obtained. Collection of these specimens requires additional patient instructions, cleaning supplies, and perhaps assistance for elderly patients or young children. The Clinical and Laboratory Standards Institute (CLSI) provides instruction details for male and female patients in the document titled *Urinalysis: Approved Guideline*.<sup>1</sup>

In brief, before collection of a midstream clean catch specimen, the glans penis of the male or the urethral meatus of the female is thoroughly cleansed and rinsed. After the cleansing procedure, a midstream specimen is obtained when the patient first passes some urine into the toilet and then stops and urinates the midportion into the specimen container. Any remaining urine is passed into the toilet.

**TABLE 2.2 Urine Collection Techniques**

Collection Technique	Description	Use
Routine void	<ul style="list-style-type: none"> <li>• No preparation before collection</li> </ul>	<ul style="list-style-type: none"> <li>• Routine screening</li> </ul>
Midstream clean catch	<ul style="list-style-type: none"> <li>• Genital area cleansed before collection</li> <li>• Patient passes initial urine into toilet, stops and collects urine in container, then empties any remaining urine into toilet</li> </ul>	<ul style="list-style-type: none"> <li>• Bacterial and fungal cultures (sterile container required)</li> <li>• Routine screening</li> <li>• Cytology</li> </ul>
Catheterized, urethral	<ul style="list-style-type: none"> <li>• A catheter is inserted into the bladder via the urethra</li> <li>• Urine flows directly from bladder through catheter into plastic bag</li> </ul>	<ul style="list-style-type: none"> <li>• Bacterial and fungal cultures</li> <li>• Routine screening</li> </ul>
Catheterized, ureteral	<ul style="list-style-type: none"> <li>• A catheter is inserted through the urethra and bladder and into the left and right ureter; urine is collected from each ureter before it reaches the bladder</li> </ul>	<ul style="list-style-type: none"> <li>• To determine and differentiate kidney infections</li> </ul>
Suprapubic aspiration	<ul style="list-style-type: none"> <li>• Using sterile needle and syringe, the abdominal wall is punctured, and urine is directly aspirated from the bladder</li> </ul>	<ul style="list-style-type: none"> <li>• Bacterial and fungal cultures</li> </ul>
Pediatric collection bag	<ul style="list-style-type: none"> <li>• Used with patients unable to urinate voluntarily</li> <li>• Plastic collection bag is adhered to the skin surrounding the genital area</li> <li>• Urine accumulates in plastic bag</li> </ul>	<ul style="list-style-type: none"> <li>• Routine screening</li> <li>• Quantitative assays</li> </ul>

To prevent contamination of the container and specimen, the interior of the container must not come in contact with the patient's hands or perineal area. This midstream technique allows passage of the initial urine that contains any urethral

washings (e.g., normal bacterial flora of the distal urethra) into the toilet and allows collection of a specimen that represents elements and analytes from the bladder, ureters, and kidneys. Because an informed patient can obtain these useful specimens with minimal effort, the midstream clean catch specimen is frequently collected. When done properly, the technique eliminates sources of contamination and provides an excellent specimen for routine urinalysis and urine culture.

### Catheterized Specimen

A routine voided or midstream clean catch specimen is readily obtained by a well-instructed and physically able patient. In contrast, two collection techniques require medical personnel. A **catheterized specimen** is obtained after catheterization of the patient—that is, insertion of a sterile catheter through the urethra into the bladder. Urine flows directly from the bladder through the indwelling catheter and accumulates in a plastic reservoir bag. A urine specimen can be collected at any time from this reservoir. Because urinary tract infections are common in catheterized patients, these urine specimens are often used for bacterial culture. When a single catheterized urine specimen is received for multiple tests (e.g., routine urinalysis, bacterial culture, urine total protein, urine drug tests) and the culture cannot be performed first, steps must be taken to prevent contamination of the urine specimen. This usually involves transferring aliquots of the urine specimen using sterile technique into additional containers for the other tests requested. Any of the specimen types discussed (e.g., random, timed) can be obtained from catheterized patients by following the appropriate collection procedure.

Studies to determine whether one or both kidneys are involved in a disease process can involve collection of urine directly from the ureters. A catheter is inserted up the urethra, through the bladder, and into each individual ureter, where urine is collected. Urine collected from the left ureter and the right ureter is analyzed, and the results are compared.

### Suprapubic Aspiration

Another collection technique, **suprapubic aspiration**, involves collecting urine directly from the bladder by puncturing the abdominal wall and the distended bladder using a needle and syringe. The normally sterile bladder urine is aspirated into the syringe and sent for analysis. This procedure is used principally for bacterial cultures, especially for anaerobic microbes, and in infants, in whom specimen contamination is often unavoidable.

### Pediatric Collections

Newborns, infants, and other pediatric patients pose a challenge in collecting an appropriate urine specimen. Because these patients are unable to urinate voluntarily, commercially available plastic urine collection bags with a hypoallergenic skin adhesive are used. The patient's perineal area is cleansed and dried before the specimen bag is placed onto the skin. The bag is placed over the penis in the male and around the

vagina (excluding the anus) in the female, and the adhesive is firmly attached to the perineum. Once the bag is in place, the patient is checked every 15 minutes to see if an adequate volume of urine has been collected. The urine specimen should be removed as soon as possible after collection, labeled, and transported to the laboratory. Because of the many possible sources of contamination despite the use of sterile bags and technique, urine for bacterial culture may need to be obtained by catheterization or suprapubic aspiration. However, when the patient is prepared appropriately, these bag specimens are usually satisfactory for routine screening and quantitative assays. The use of disposable diapers to collect urine for quantitative assay also has been reported.<sup>3</sup> Note that urine absorbed into a diaper or other absorbent material is not acceptable for the microscopic examination portion of a routine urinalysis (see subsection Reasons for Urine Specimen Rejection).

### Reasons for Urine Specimen Rejection

A urine specimen may be rejected for testing for a variety of reasons (Box 2.2). Each laboratory should have a written protocol that lists each situation and details the steps to follow and the forms to complete to document such specimens when they are encountered. In each instance, the laboratory should keep the urine specimen, notify the appropriate personnel, and request collection of a new specimen. Unlabeled and improperly labeled urine specimens (e.g., name or ID number on container label and order slip do not match) are probably the two most common reasons for specimen rejection. Another reason for specimen rejection is a request for a urine culture when the urine specimen was collected in a nonsterile container, or when midstream clean catch instructions were not provided to the patient for collection.

Some institutions allow urine absorbed into a diaper or other absorbent material (e.g., cotton balls) to be used for chemical analysis; the urine is recovered by expressing it into a specimen cup. However, this urine is not acceptable for bacterial culture or for the physical and microscopic examination portions of a routine urinalysis because epithelial cells, blood cells, and formed elements (e.g., casts, mucus) will be trapped in the absorbent material causing erroneous results. Other causes for rejection include specimens that have not been properly stored and those

#### BOX 2.2 Reasons for Urine Specimen Rejection

- Unlabeled urine specimen container
- Mislabeled urine specimen
  - Names on container label and order slip do not match
  - Identification numbers on container label and slip do not match
- Inappropriate urine collection technique or specimen type for test requested (e.g., urine in diaper)
- Specimen not properly preserved during a time delay or inappropriate urine preservative used
- Visibly contaminated urine (e.g., fecal material, toilet tissue)
- Insufficient volume of urine for test(s) requested



visibly contaminated with fecal material or debris (e.g., toilet tissue).

### Urine Volume Needed for Testing

Routine urinalysis protocols typically require 10 mL to 15 mL of urine, but collection of a larger volume is encouraged to ensure sufficient urine for additional or repeat testing. Smaller volumes of urine (< 12 mL) hinder performance of the microscopic examination when the urinalysis is performed manually and can limit the chemical tests performed. However, if a fully automated urinalysis system (see Chapter 16) is used, a complete urinalysis can be performed with 3 to 5 mL of urine.

With 24-hour urine collections, despite the large volume of urine submitted to the laboratory, only a small amount ( $\approx 1$  mL) of well-mixed urine is actually required for quantitative urine tests (e.g., creatinine, hormones, electrolytes). A portion of the urine collection (20 to 50 mL) is usually retained to ensure sufficient specimen in case repeat or additional testing is required later.

## URINE SPECIMEN STORAGE AND HANDLING

### Containers

Containers for urine specimen collections must be clean, dry, and made of a clear or translucent disposable material such as plastic or glass. They should stand upright, have an opening of at least 4 to 5 cm, and have a capacity of 50 to 100 mL. A lid or cover that is easily placed onto and removed from the container is needed to prevent spillage. Specimens that are transported require a lid with a leakproof seal. Disposable, nonsterile containers are commercially available and economical.

For the collection of specimens for microbial culture, sterile, individually packaged urine containers are available from commercial sources. However, when a urine specimen must be stored for 2 hours or longer before testing, the use of a sterile container is recommended, regardless of the tests ordered, because of changes that can occur in unpreserved urine.

Various large containers are available for the collection of 12-hour and 24-hour urine specimens for quantitative analyses. These containers have a capacity of approximately 3000 mL and have a wide mouth and a leakproof screw cap. Usually made of a brown, opaque plastic, they protect the specimen from ultraviolet and white light, and acid preservatives can be added to them.

Clear, pliable, polyethylene urine collection bags are available for collecting specimens from the pediatric patient. These collection bags can be purchased as nonsterile or sterile. After collection, they are self-sealing for transport to the laboratory. For collection of a 24-hour specimen, some brands provide an exit port or tubing attached to the bag base. This port enables transfer of the urine that has accumulated to another collection container, thereby eliminating the need for multiple collection bags. More important, this exit port avoids repeated patient preparation and reapplication of adhesive to a child's sensitive skin.

### Labeling

All specimen containers must be labeled before or immediately after collection. Because lids are removed, the patient identification label is always placed directly on the container holding the specimen. Under no circumstances should the label appear only on the removable specimen lid. This practice invites specimen mix-ups; once the lid is removed, such a specimen is technically unlabeled.

Labels must have an adhesive that resists moisture and adheres under refrigeration. The patient identification information required on the label may differ among laboratories. However, the following minimal information should be provided on all labels: the patient's full name, a unique identification number, the date and time of collection, the patient's room number (if applicable), and the preservative used, if any.

### Handling and Preservation

#### Changes in Unpreserved Urine

Urine specimens should be delivered to the laboratory immediately after collection. However, this is not always possible; if a delay in specimen transportation is to be 2 hours or longer, precautions must be taken to preserve the integrity of the specimen, protecting it from the effects of light and room temperature changes. A variety of changes can occur in unpreserved urine (Table 2.3). These changes can potentially affect any aspect—physical, chemical, or microscopic examinations—of a urinalysis. Changes in the physical examination result from (1) alteration of the urine solutes to a different form, resulting in a color change; (2) bacterial growth causing an increased odor because of metabolism or proliferation of bacteria; and (3) solute precipitation in the form of amorphous material, which decreases urine clarity. Individual components of the chemical examination (e.g., glucose, pH) can also be affected. Most often these changes result in removal of the chemical entity by various mechanisms, leading to false-negative results. In contrast, urine nitrite and pH increase in unpreserved urine as bacteria proliferate, converting nitrate to nitrite and metabolizing urea to ammonia.

The microscopic changes that can occur result from disintegration of formed elements, particularly in hypotonic and alkaline urine, or from unchecked bacterial growth. In the latter case, it can be difficult to determine whether the large number of bacteria observed in these specimens results from improper storage or from a urinary tract infection.

In summary, changes will occur in unpreserved urine; which changes occur and their magnitude vary and are impossible to predict. Therefore appropriate specimen collection, handling, and storage are necessary to ensure that these potential changes do not occur and that accurate results are obtained.

#### Preservatives

Unfortunately, no single **urine preservative** is available to suit all testing needs (Table 2.4). Hence the preservative used depends on the type of collection, the tests to be performed, and the time delay before testing. The easiest and

TABLE 2.3 Potential Changes in Unpreserved Urine

Component		Observation	Mechanism
Physical changes	Color	Darkens or changes	Oxidation or reduction of solutes (e.g., urobilinogen, bilirubin)
	Clarity	Decreases	Crystal precipitation; bacterial proliferation
	Odor	Ammoniacal, foul smelling	Bacterial conversion of urea to ammonia (and bacterial proliferation)
Chemical changes	pH	Increase	Bacterial conversion of urea to ammonia; loss of CO <sub>2</sub>
	Glucose	Decrease	Consumed by cells and/or bacteria
	Ketones	Decrease	Volatilization and bacterial conversion
	Bilirubin	Decrease	Photo-oxidation to biliverdin by light exposure
	Urobilinogen	Decrease	Oxidation to urobilin
	Nitrite	Increase	Bacterial conversion of dietary nitrates
Microscopic changes	Blood cells	Decrease	Lysis and/or disintegration, especially in dilute and alkaline urine
	Casts	Decrease	Disintegration, especially in dilute and alkaline urine
	Bacteria	Increase	Exponential proliferation of bacteria
	Trichomonads	Decrease	Loss of characteristic motility and death

TABLE 2.4 Urine Preservatives\*

Type	Advantages	Disadvantages	Use
Refrigeration	<ul style="list-style-type: none"> <li>Acceptable for routine urinalysis for 24 hr<sup>4,9</sup></li> <li>Acceptable for urine culture; inhibits bacterial growth for ≈24 hr<sup>5,9</sup></li> <li>Inexpensive</li> </ul>	<ul style="list-style-type: none"> <li>Precipitates solutes in amorphous and/or crystalline forms (i.e., urates, phosphates)</li> </ul>	<ul style="list-style-type: none"> <li>Storage before and after testing</li> </ul>
Commercial transport tubes (see Table 2.5)	<ul style="list-style-type: none"> <li>Acceptable for routine urinalysis; preserves chemical and formed elements in urine at room temperature</li> <li>Boric acid preservative is also acceptable for urine culture<sup>6,7</sup></li> </ul>	<ul style="list-style-type: none"> <li>pH and SG may be altered; varies with tube used</li> <li>Can interfere with chemistry tests (e.g., sodium, potassium, hormone, drug assays)</li> </ul>	<ul style="list-style-type: none"> <li>Urine transport from off-site to laboratory</li> <li>Preserve specimen at room temperature for longer time period; varies with tube used</li> </ul>
Thymol	<ul style="list-style-type: none"> <li>Preserves sediment elements (e.g., casts, cells)</li> <li>Inhibits bacterial and yeast growth</li> </ul>	<ul style="list-style-type: none"> <li>Interferes with protein precipitation tests</li> <li>In high concentration, can precipitate crystals</li> </ul>	<ul style="list-style-type: none"> <li>Sediment preservation</li> </ul>
Formalin	<ul style="list-style-type: none"> <li>Excellent cellular preservative</li> </ul>	<ul style="list-style-type: none"> <li>False-negative reagent strip tests for blood and urobilinogen</li> </ul>	<ul style="list-style-type: none"> <li>Cytology</li> </ul>
Saccomanno's fixative	<ul style="list-style-type: none"> <li>Excellent cellular preservative</li> <li>Commercially available and inexpensive</li> </ul>	<ul style="list-style-type: none"> <li>Potential chemical hazard</li> </ul>	<ul style="list-style-type: none"> <li>Cytology</li> </ul>
Acids (HCl, glacial acetic acid)	<ul style="list-style-type: none"> <li>Inexpensive</li> <li>Stabilizes calcium, phosphorus, steroids, hormones, etc.</li> </ul>	<ul style="list-style-type: none"> <li>Unacceptable for urinalysis testing</li> <li>Potential chemical hazard</li> </ul>	<ul style="list-style-type: none"> <li>For quantitative analysis of urine solutes, such as steroids, hormones, etc.</li> </ul>
Sodium carbonate	<ul style="list-style-type: none"> <li>Inexpensive</li> <li>Stabilizes porphyrins, porphobilinogen, etc.</li> </ul>	<ul style="list-style-type: none"> <li>Unacceptable for urinalysis testing</li> </ul>	<ul style="list-style-type: none"> <li>For quantitative analysis of porphyrins, porphobilinogen, etc.</li> </ul>

\*Time frame of acceptability for urine specimens and the use of preservatives are determined by each laboratory. SG, Specific gravity.

most common form of preservation, refrigeration at 4°C to 6°C, is suitable for the majority of specimens.<sup>4,5</sup> Any urine specimen for microbiological studies should be refrigerated promptly if it cannot be transported directly to the laboratory. Refrigeration prevents bacterial proliferation, and the specimen remains suitable for culture for up to 24 hours.

Although refrigeration is the easiest means of preserving urine specimens, refrigeration of routine urinalysis specimens is not recommended if they will be analyzed within 2 hours.<sup>1</sup> Refrigeration can induce precipitation of amorphous urate and phosphate crystals that can interfere substantially with the microscopic examination. For routine urinalysis specimens



that must be transported long distances, commercial transport tubes with a preservative are available (Table 2.5).<sup>6-9</sup>

### Timed Collections

Timed specimens, particularly 12-hour and 24-hour collections, may require the addition of a chemical preservative to maintain the integrity of the analyte of interest. Regardless of the preservative necessary, urine collections should be kept on ice or refrigerated throughout the duration of the collection.

The collection preservative needed for a particular analyte can differ among laboratories. These variations stem from (1) different test methods; (2) how often the test is performed; and (3) time delays and transportation conditions (e.g., the sample is sent to a reference laboratory). Some laboratories may perform an assay daily in-house and require only refrigeration of the sample during the timed collection. In contrast, a small laboratory may send the assay to a reference facility that requires that a chemical preservative be used during the collection to ensure analyte stability. Each urinalysis laboratory must have in its procedure manual a protocol for the collection of all timed urine specimens. The protocol should include the name of the analyte; a description of the appropriate specimen collection technique; the appropriate preservative required; labeling requirements, including precautions for certain chemical preservatives; the location at which the test is performed; reference ranges; and the expected turnaround time.

Timed urine collections should be transported to the laboratory as soon as possible after completion of the collection. The total volume is determined, the specimen is well mixed to ensure homogeneity, and aliquots are removed for the appropriate tests. At no point during a timed collection can urine be removed or discarded, even if the volume is recorded. This would invalidate the collection because the concentration of the analyte in any removed aliquot cannot be determined and corrected for.

### IS THIS FLUID URINE?

At times it is necessary to verify that the fluid present in a urine container is in fact urine. This may occur in laboratories that perform urine testing for illicit drugs (e.g., amphetamine, cocaine, tetrahydrocannabinol [THC], steroids). In these situations, particularly when the urine collection is not witnessed, the individual may have the opportunity to add a substance to the urine collection (e.g., an adulterated specimen). Another possibility is that the liquid in the container is not urine.

Specific gravity, pH, and temperature can be helpful in identifying urine specimens to which additional liquid has been added. The physiologically possible range for urine pH in a fresh urine specimen is 4.0 to 8.0 and for specific gravity is 1.002 to 1.035. In a normal healthy individual, the temperature of a urine specimen immediately after collection is usually between 32.5°C and 37.5°C. If this range is exceeded and the temperature is lower or higher, the urine has been altered in some way, or the fluid is not urine. Note that urine specific gravity can exceed 1.035 if the patient has had a recent infusion of radiographic contrast media (x-ray dye).

Occasionally, when an amniocentesis is performed, concern may be raised regarding whether the fluid collected is amniotic fluid or urine aspirated from the bladder. Another circumstance that may be encountered is receipt in the laboratory of two specimens from the same patient in identical sterile containers for testing, but the fluid source is not clearly evident on either container. In these varied situations, a few simple and easily performed tests can aid in determining whether the fluid is actually urine.

The single most useful substance that identifies a fluid as urine is its uniquely high creatinine concentration (approximately 50 times that of plasma). Similarly, concentrations of urea, sodium, and chloride are significantly higher in urine

**TABLE 2.5 Commercial Urine Transport Tubes With Preservative**

Product	Tube	Preservative and Additives	Use	Comments
BD VACUTAINER; Plus Plastic Conical UA Preservative Tube (product no. 364992) <a href="http://www.bd.com">www.bd.com</a>	Plastic conical tube; yellow and cherry red marble stopper; 8-mL draw	Chlorhexidine Ethyl paraben Sodium propionate	<ul style="list-style-type: none"> <li>• Urinalysis</li> <li>• Bactericidal; not acceptable for urine culture</li> </ul>	<ul style="list-style-type: none"> <li>• Stabilizes urine for up to 72 hr at room temperature</li> <li>• Conical bottom designed to fit a KovaPettor</li> </ul>
InTac UA System, Therapak Corporation (product no. 94500) <a href="http://www.therapak.com">www.therapak.com</a>	Plastic conical tube; yellow plastic cap; 5-8 mL	Dowicil 200 (a formaldehyde-releasing agent) Mannitol Polyethylene glycol	<ul style="list-style-type: none"> <li>• Urinalysis</li> <li>• Bactericidal; not acceptable for urine culture</li> </ul>	<ul style="list-style-type: none"> <li>• Stabilizes urine for up to 96 hr at room temperature</li> <li>• No change in pH or SG</li> </ul>
BD VACUTAINER; C & S Preservative Tube (product no. 364951) <a href="http://www.bd.com">www.bd.com</a>	Plastic tube; gray stopper; 4-mL draw	Boric acid Sodium formate D-Sorbitol Sodium acetate	<ul style="list-style-type: none"> <li>• Urinalysis</li> <li>• Urine culture and sensitivity</li> <li>• Can be used for urinalysis<sup>7</sup></li> <li>• Bacteriostatic</li> </ul>	<ul style="list-style-type: none"> <li>• Stabilizes urine for up to 48 hr at room temperature<sup>8,9</sup></li> <li>• pH adjusted to 6-7</li> <li>• SG increased by 0.006-0.007<sup>8</sup></li> </ul>

than in other body fluids. Note that in urine from healthy individuals, no protein or glucose is usually present. In contrast, other body fluids such as amniotic fluid or plasma exudates contain glucose and are high in protein.

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## STUDY QUESTIONS

1. Which of the following is the urine specimen of choice for cytology studies?
  - A. First morning specimen
  - B. Random specimen
  - C. Midstream clean catch collection
  - D. Timed collection
2. Which of the following specimens usually eliminates contamination of the urine with entities from the external genitalia and the distal urethra?
  - A. First morning specimen
  - B. Midstream clean catch specimen
  - C. Random specimen
  - D. Four-hour timed collection
3. Substances that show diurnal variation in their urinary excretion pattern are best evaluated using a
  - A. First morning specimen.
  - B. Midstream clean catch specimen.
  - C. Random specimen.
  - D. Timed collection.
4. Which of the following will *not* cause erroneous results in a 24-hour timed urine collection?
  - A. The collection starts and ends in the evening.
  - B. Two first morning specimens are included in the collection.
  - C. Multiple collection containers are not mixed together before specimen testing.
  - D. A portion of the collection is removed before total volume measurement.
5. A 25-year-old woman complains of painful urination and is suspected of having a urinary tract infection. Which of the following specimens should be collected for a routine urinalysis and urine culture?
  - A. First morning specimen
  - B. Timed collection
  - C. Midstream clean catch specimen
  - D. Random specimen
6. Which of the following tests requires a timed urine collection?
  - A. Cytology studies
  - B. Creatinine clearance test
  - C. Routine urinalysis
  - D. Urine bacterial culture
7. An unpreserved urine specimen collected at midnight is kept at room temperature until the morning hospital shift. Which of the following changes will most likely occur?
  - A. Decrease in urine color and clarity
  - B. Decrease in pH and specific gravity
  - C. Decrease in glucose and ketones
  - D. Decrease in bacteria and nitrite
8. A urine specimen containing the substance indicated is kept unpreserved at room temperature for 4 hours. Identify the probable change to that substance.
 

<b>Substance</b>	<b>Change</b>
— Bacteria	A. Decrease
— Bilirubin	B. No change
— Glucose	C. Increase
— Ketones	
— pH	
— Protein	
— Urobilinogen	
9. Which of the following is the most common method used to preserve urine specimens?
  - A. Acid addition
  - B. Thymol addition
  - C. Freezing
  - D. Refrigeration

- 
10. If refrigeration is used to preserve a urine specimen, which of the following may occur?
- A. Cellular or bacterial glycolysis will be enhanced
  - B. Formed elements will be destroyed
  - C. Amorphous crystals may precipitate
  - D. Bacteria will proliferate
11. Which of the following urine preservatives is acceptable for both urinalysis and urine culture?
- A. Boric acid
  - B. Chlorhexidine
  - C. Dowicil 200
  - D. Formalin
12. How much urine is usually required for a 'manually' performed routine urinalysis?
- A. 3 to 5 mL
  - B. 10 to 15 mL
  - C. 20 to 30 mL
  - D. 50 to 100 mL
13. Which of the following substances is higher in urine than in any other body fluid?
- A. Chloride
  - B. Creatinine
  - C. Glucose
  - D. Protein
-

# The Kidney

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. Identify and state the primary functions of the macroscopic structures of the kidney and urinary tract.
2. Diagram the structure and state the function of each portion of the nephron.
3. Describe renal blood circulation and its role in renal function.
4. Discuss the components and the process of glomerular filtration and urine formation, including the anatomic structures, the filtration forces, and the substances involved.
5. Describe the transport mechanisms of tubular reabsorption and tubular secretion, including the substances involved.
6. Describe the three secretory mechanisms that the kidney uses to regulate the acid-base equilibrium of the body.
7. Explain tubular transport capacity ( $T_m$ ), and discuss its relationship to renal threshold.
8. Compare and contrast the countercurrent multiplier mechanism, the countercurrent exchange mechanism, and the urea cycle, and their roles in urine formation and concentration.
9. Briefly summarize the relationship of water reabsorption to antidiuretic hormone and the relationship of sodium reabsorption to renin and aldosterone.

## CHAPTER OUTLINE

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## KEY TERMS<sup>1</sup>

active transport

afferent arteriole

antidiuretic hormone

basement membrane

collecting duct

countercurrent exchange mechanism

countercurrent multiplier mechanism

distal convoluted tubule

efferent arteriole

glomerular filtration barrier

glomerulus (also called *renal corpuscle*)

isosmotic

juxtaglomerular apparatus

kidneys

loop of Henle

maximal tubular reabsorptive capacity

maximal tubular secretory capacity

mesangium

nephron

osmosis

passive transport

peritubular capillaries

podocytes

proximal tubule

renal threshold level

renin

shield of negativity

titratable acids

tubular reabsorption

tubular secretion

urea cycle

vasa recta

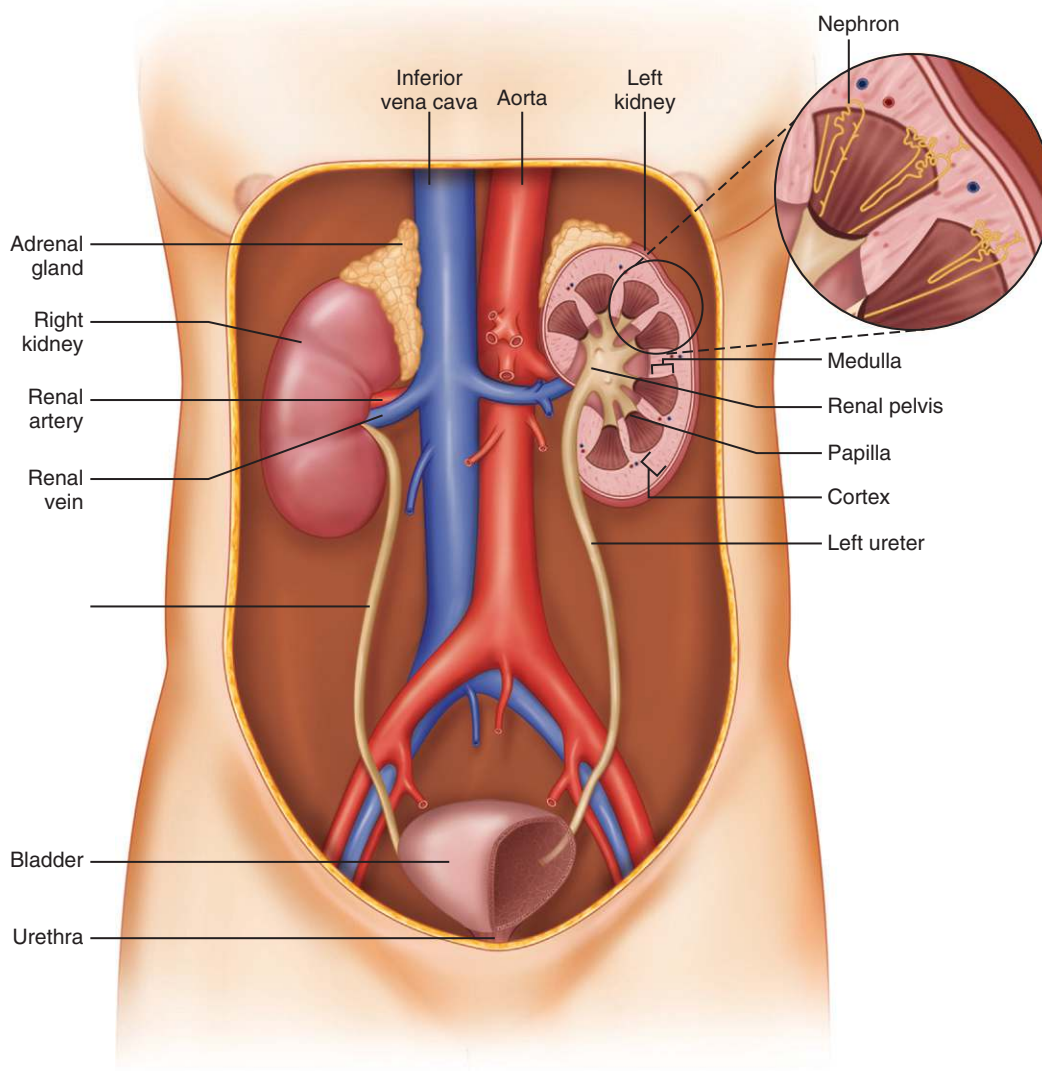
<sup>1</sup>Definitions are provided in the chapter and glossary.

## RENAL ANATOMY

The **kidneys** are bean-shaped and are located on the posterior abdominal wall in the area known as the *retroperitoneum* (Fig. 3.1). An adult human kidney has a mass of approximately 150 g and measures roughly 12.5 cm in length, 6 cm in width, and 2.5 cm in depth. When observed in cross-section, two distinct areas of the kidney are apparent: the cortex and the medulla. The outer cortex layer is approximately 1.4 cm thick and is granular in macroscopic appearance. Because all of the glomeruli are located in the outer cortex, the cortex is the exclusive site of the plasma filtration process. The inner layer, the medulla, consists of renal tissue shaped into pyramids. The apex of each of these pyramids is called a *papilla*; each contains a papillary duct that opens into a cavity called a *calyx*. The normal human kidney consists of about 12 minor calyces, which join together to form two to three major

calyces. Each calyx acts as a funnel to receive urine from the collecting tubules and pass it into the renal pelvis.

The funnel-shaped renal pelvis emerges from the indented region of each kidney and narrows to join with the ureter, a fibromuscular tube that is approximately 25 cm long. One ureter extends down from each kidney and connects to the base of the bladder, a muscular sac that is shaped like a three-sided pyramid. The apex of this “bladder pyramid” is oriented downward and is where the urethra originates and extends to the exterior of the body. To review briefly, urine forms as the plasma ultrafiltrate passes through the renal tubules (nephrons) that reside in the renal cortex and the medulla. Thereafter, the urine is transferred via minor and major calyces to the renal pelvis, where peristaltic activity of smooth muscles moves the urine down the ureters into the bladder. The bladder serves as a holding tank for temporary urine storage until it is voided by urination. When approximately



**FIG. 3.1** A schematic representation of the urinary tract. The relationship of the kidneys to the nephrons and the vascular system is shown.

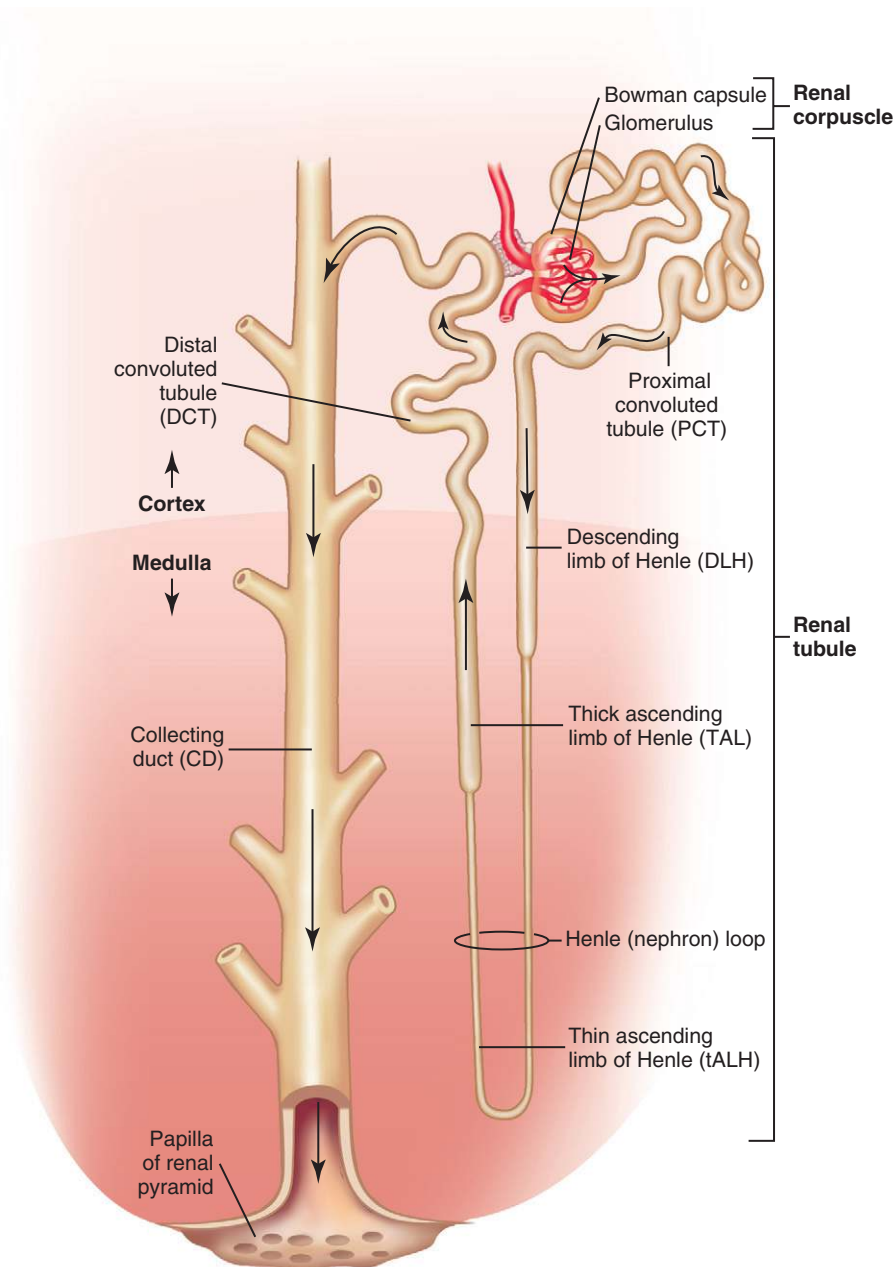
150 mL of urine accumulates, a nerve reflex is initiated. Unless a person overrides the urge to urinate (i.e., the micturition reflex), simultaneous contraction of the bladder muscles and relaxation of the urinary sphincter will result in the passage of urine through the urethra. The urethra, a canal connecting the bladder to the body exterior, is approximately 4 cm long in women and approximately 24 cm long in men.

Note that when an individual is healthy, the composition of urine is not altered appreciably at any point after its introduction into the minor and major calyces. The calyces and subsequent anatomic structures serve only as a conveyance for urine, which is the primary excretory product of the kidneys.

Each kidney contains approximately 1.3 million nephrons, which are the functional units or tubules of the kidney.

A **nephron** is composed of five distinct areas, each playing an important part in the formation and final composition of the urine. Fig. 3.2 shows a nephron, its component parts, and their physical interrelationships. The **glomerulus** consists of a capillary tuft surrounded by a thin epithelial layer of cells known as *Bowman's capsule*. Bowman's capsule is actually the originating end of a renal tubule, and its lumen is referred to as *Bowman's space*. The plasma ultrafiltrate of low-molecular-weight solutes initially collects in Bowman's space because of the hydrostatic pressure difference between the capillary lumen and Bowman's space.

The proximal convoluted tubule begins at the glomerulus and extends from it in a circuitous route through the cortex. Eventually the tubule straightens and turns downward,



**FIG. 3.2** A diagram of a nephron. (Modified from Patton KT, Thibodeau GA: *Anatomy and physiology*, ed 9, St. Louis, 2016, Mosby.)



### BOX 3.1 Outline of the Nephron and Its Components (As Used Throughout This Text)

#### Glomerulus (or Renal Corpuscle)

1. Capillary tuft or glomerulus: often interchanged for entire entity
2. Bowman's capsule

#### Tubules

1. Proximal tubule
  - a. Convoluted portion: pars convoluta
  - b. Straight portion: pars recta (or thick descending limb of the loop of Henle)
2. Loop of Henle
  - a. Thin descending limb
  - b. Thin ascending limb
  - c. Thick ascending limb or straight portion of distal tubule; terminates with macula densa
3. Distal tubule
  - a. Straight portion; terminates with macula densa
  - b. Convoluted portion
4. Collecting tubule (duct)
  - a. Cortical collecting tubules
  - b. Medullary collecting tubules

Modified from Koushanpour E, Kriz W: *Renal physiology*, ed 2, New York, 1986, Springer-Verlag.

entering the medulla to become the **loop of Henle**. The loop of Henle has anatomically distinct areas: thin descending and ascending limbs that include a sharp hairpin turn, and thick descending and ascending limbs that are actually straight portions of the proximal and distal tubules (Box 3.1). Upon reentry into the cortex at the macula densa—adjacent to the glomerulus—the straight distal tubule becomes the distal convoluted tubule. Anatomically up to this point, each nephron is structurally and functionally distinct. After this point, the distal convoluted tubules join to a “shared” collecting tubule or duct that conveys the urine produced in several nephrons through the medulla for a second time. These collecting tubules fuse to become the larger papillary ducts that empty into the calyces of the renal pyramids and finally into the renal pelvis. From the renal pelvis, the urine passes to the bladder to await excretion through the urethra.

## RENAL CIRCULATION

The kidneys require a rich blood supply to execute their primary function of regulating the internal environment of the body. In fact, despite their mass of only 300 g, or 0.5% of the total body mass, the kidneys receive 25% of the total cardiac output. This high degree of perfusion reflects the direct relationship of the kidney's functional ability to its blood supply.

Each kidney is supplied by a single renal artery that originates from the aorta. As the renal artery successively divides, it forms a distinct vascular arrangement unique to and specifically adapted for the functions of the kidney. The kidney is the only human organ in which an arteriole subdivides

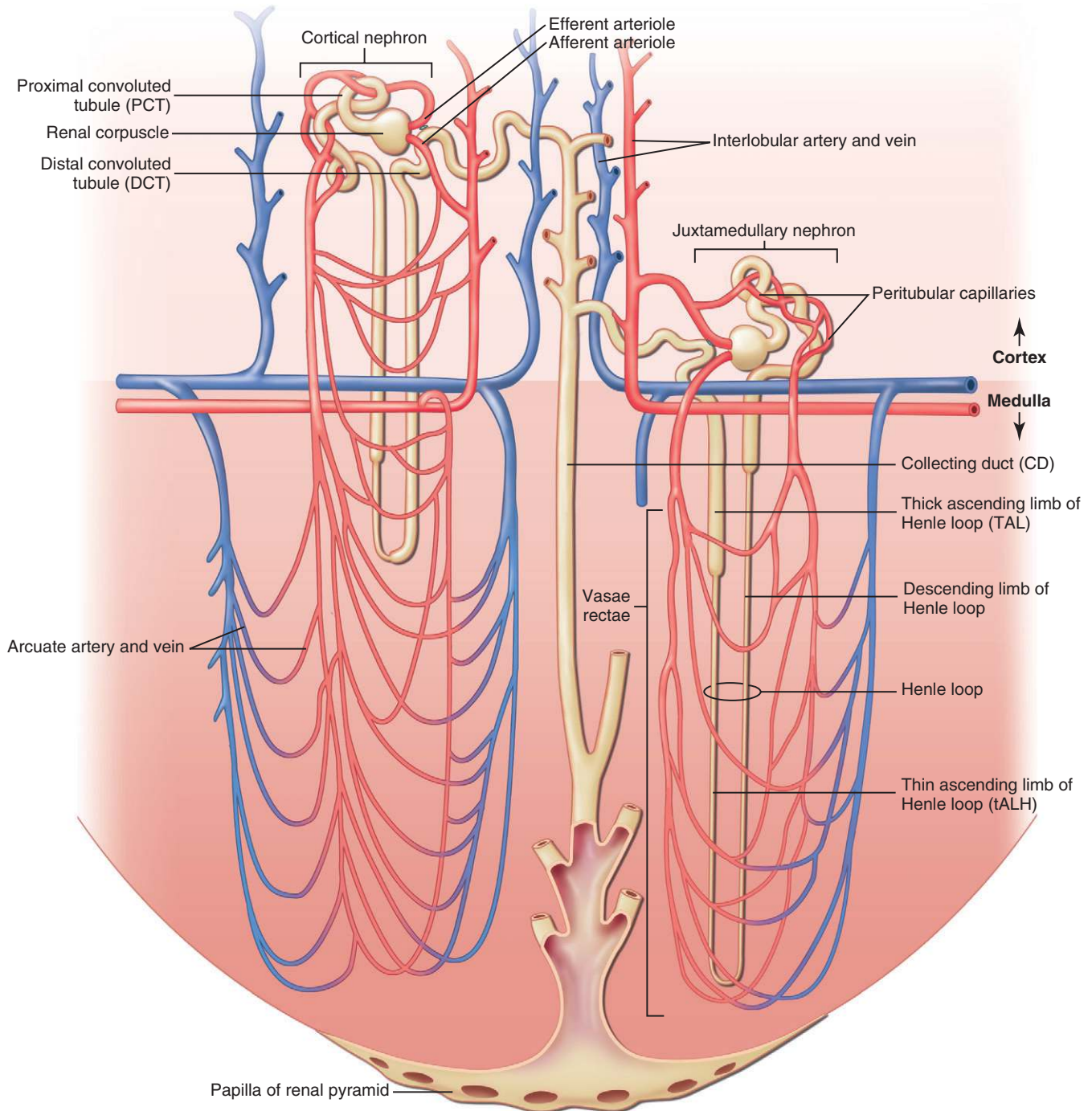
into a capillary bed, becomes an arteriole again, and then for a second time subdivides into a capillary network. In addition, the renal arterioles are primarily end arteries that supply specific areas of renal tissue and do not interconnect.

Therefore disruption in the supply of blood at the afferent arteriole or the glomerulus will dramatically and detrimentally alter the functioning of the associated nephron. Consequently, renal tissue is particularly susceptible to ischemia or infarction. The medulla is especially susceptible because it has no direct arterial blood supply. Should vascular stenosis or an occlusion occur, renal tissue damage will result, and the extent of damage will be dependent on the number and location of the blood vessels involved.

An **afferent arteriole** at the vascular pole supplies blood individually to the glomerulus of each nephron (Fig. 3.3). On entering the glomerulus, the afferent arteriole branches into a capillary tuft, which is related intimately to the epithelial cells of Bowman's capsule. This branching and anastomosing capillary network comes together to become the efferent arteriole as it leaves the glomerulus. Subsequently, the efferent arteriole branches for a second time into a capillary plexus. The type of nephron that the efferent arteriole services determines the vascular arrangement of this second capillary plexus. The outer cortical nephrons have short loops of Henle, and the efferent arteriole branches into a fine capillary plexus—the peritubular capillaries—that encompasses the outer cortical tubules entirely. The mid- and deep juxtamedullary nephrons have long loops of Henle. The efferent arterioles of these nephrons first branch into a peritubular capillary bed, which enmeshes the cortical portions of the tubules, and then divide into a series of long, U-shaped vessels, the **vasa recta**, which descend deep into the renal medulla close to the loops of Henle. The corresponding ascending vasa recta form the beginnings of the venous renal circulation, emerging from deep in the medulla to form venules and drain into the renal veins. The close relationship of the peritubular capillaries and the renal tubules enables the sequential processing and exchange of solutes between the lumen fluid (ultrafiltrate) and the bloodstream throughout the nephron.

The unique vascular arrangement of the renal circulation makes it possible for the kidney to function optimally. The comparatively wide-bore afferent arteriole allows for high hydrostatic pressure at the glomerulus.

This pressure averages 55 mm Hg, approximately half of the mean arterial blood pressure, and is the driving force behind glomerular filtration. All other capillary beds have a narrower lumen, which causes greater resistance to blood flow and consequently low blood pressure within them. The ultrafiltrate itself also affects the resultant filtration force across the glomerular filtration barrier. The plasma ultrafiltrate already in Bowman's space exerts a hydrostatic pressure of 15 mm Hg that opposes filtration. In addition, the ultrafiltrate is low in protein and high in water compared with the plasma in the capillary lumen. Hence water that freely passed the filtration barrier seeks to reenter the plasma from Bowman's space. As a result, an oncotic pressure of 30 mm Hg caused by the higher protein concentration in the plasma opposes glomerular



**FIG. 3.3** The vascular circulation of a cortical and juxtamedullary nephron. (Modified from Patton KT, Thibodeau GA: *Anatomy and physiology*, ed 9, St. Louis, 2016, Mosby.)

filtration as well. The outcome of these three pressure differences is a net filtration pressure of 10 mm Hg, which favors the formation of a plasma ultrafiltrate in Bowman's space (Table 3.1). Note that the filtration barrier expends no energy in forming the plasma ultrafiltrate; rather, the cardiac output provides the glomerular capillary blood pressure that drives plasma ultrafiltration.

The afferent and efferent arterioles exit Bowman's capsule at the vascular pole in proximity to each other. The vascular

**TABLE 3.1 Forces Involved in Glomerular Filtration**

Force	Magnitude
Hydrostatic (blood pressure)	+55 mm Hg
Hydrostatic (ultrafiltrate in Bowman's space)	-15 mm Hg
Oncotic (protein in blood and not in ultrafiltrate)	-30 mm Hg
Net pressure	+10 mm Hg

pole is also the site of the **juxtaglomerular apparatus**. The morphologically distinct structures that compose the juxtaglomerular apparatus are portions of the afferent and efferent arterioles, the extraglomerular mesangial cells (which are continuous with the supporting mesangium of the glomerulus), and the specialized area of the distal convoluted tubule (known as the *macula densa*). Characteristically, large quantities of secretory granules containing the enzyme renin are present in the smooth muscle cells of the afferent arteriole located in the juxtaglomerular apparatus. The juxtaglomerular apparatus, which is essentially a small endocrine organ, is the principal producer of renin in the kidney. **Renin** is an enzyme that when released into the bloodstream in response to decreased blood volume, decreased arterial pressure, sodium depletion, vascular hemorrhage, or increased potassium ultimately forms angiotensin and causes the secretion of aldosterone. Aldosterone secretion stimulates the kidneys to actively retain sodium and passively retain water. As a result, the volume of extracellular fluid expands, the blood pressure increases, and normal potassium levels, as well as normal renal perfusion, are restored. Conversely, an increase in blood volume, an acute increase in blood pressure, or the loss of potassium inhibits renin secretion and enhances sodium excretion (also see Renal Concentrating Mechanism subsection later in this chapter). Because of renin secretion, the juxtaglomerular apparatus and the kidneys play an important role in body fluid homeostasis through their ability to modify blood pressure and fluid balance.

## RENAL PHYSIOLOGY

### Urine Formation

Urine formation is the primary excretory function of the kidneys. Urine formation consists of three processes: plasma filtration at the glomeruli followed by reabsorption and secretion of selective components by the renal tubules. Through these processes, the kidneys play an important role in removal of metabolic waste products, regulation of water and electrolytes (e.g., sodium, chloride), and maintenance of the body's acid-base equilibrium. The kidneys are the true regulators of the body, determining which substances to retain and which to excrete, regardless of what has been ingested or produced.

The kidneys process approximately 180,000 mL (125 mL/min) of filtered plasma each day into a final urine volume of 600 to 1800 mL. The largest component of urine is water. The principal solutes present are urea, chloride, sodium, and potassium, followed by phosphate, sulfate, creatinine, and uric acid. Other substances initially in the ultrafiltrate, such as glucose, bicarbonate, and albumin, are essentially completely reabsorbed by the tubules. Consequently, the urine of normal healthy individuals does not contain these solutes in significant amounts. [Table 3.2](#) presents a comparison of selected solutes initially filtered by the glomerulus and the quantity actually excreted after passage through the nephrons. Because normal urine output is approximately 1200 mL (approximately 1% of the filtered plasma volume), 99%

**TABLE 3.2 Comparison of the Initial Ultrafiltrate and the Final Urine Composition of Selected Solute per Day**

Component	Initial Ultrafiltrate, mmol	Final Urine, mmol	Percent Reabsorbed
Water (1.2 L <sup>a</sup> )	9,500,000.00	67,000.00	99.3
Urea	910.00	400.00	44.0
Chloride	37,000.00	185.00	99.5
Sodium	32,500.00	130.00	99.6
Potassium	986.00	70.00	92.9
Glucose <sup>b</sup>	900.00	0.72	100.0
Albumin	0.02	0.001	95.0

<sup>a</sup>Average 24-hour urine volume; glomerular filtration rate of 125 mL/min.

<sup>b</sup>Represents average glucose values.

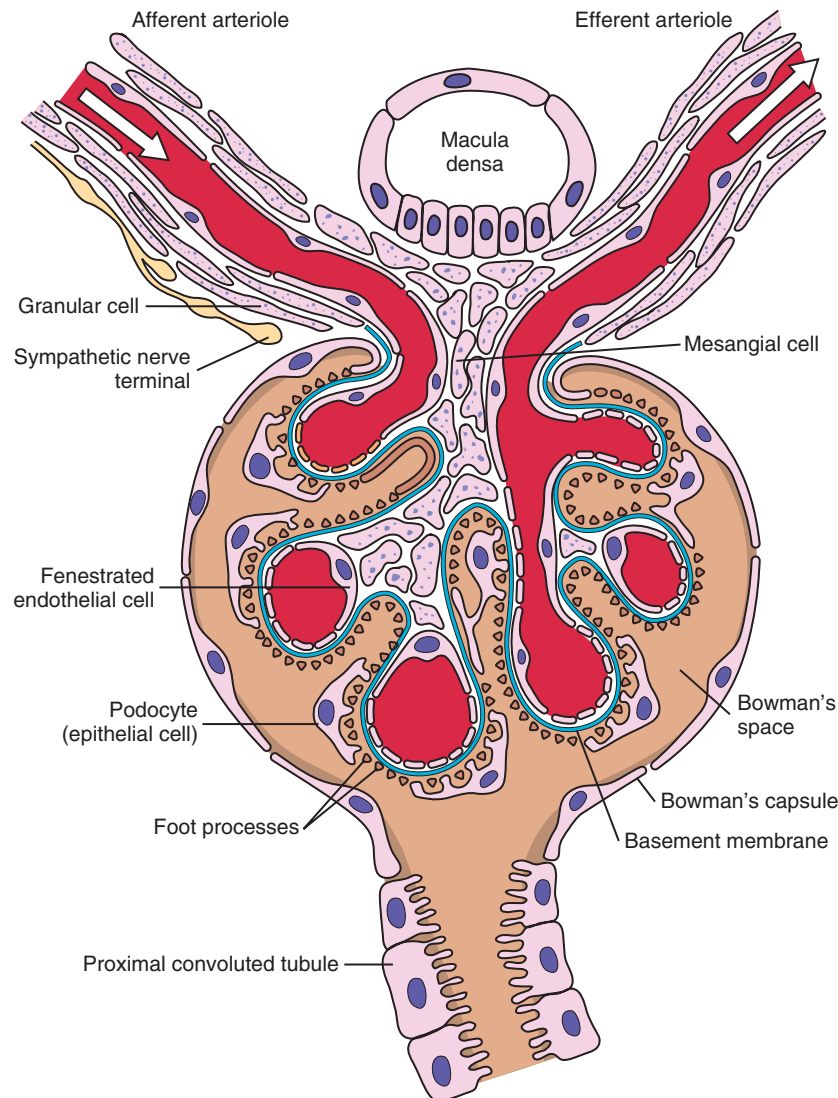
of the ultrafiltrate that initially collects in Bowman's space is actually reabsorbed. In addition, the nephrons of the kidneys extensively and selectively reabsorb and secrete solutes as the ultrafiltrate passes through them.

### Glomerulus

The glomerulus is a tuft of capillaries encircled by and intimately related to Bowman's capsule, the thin epithelium-lined proximal end of a renal tubule. The glomerulus forms a barrier that is specifically designed for plasma ultrafiltration. Although this **glomerular filtration barrier** almost completely excludes proteins larger than albumin (molecular weight, 67,000 daltons), it is extremely permeable to water and low-molecular-weight solutes. From the capillary lumen to Bowman's space, where the plasma filtrate first collects, four structural components are apparent by electron microscopy: the mesangium, consisting of mesangial cells and a matrix; the fenestrated endothelial cells of the capillaries; the podocytes or visceral epithelial cells of Bowman's capsule; and a distinct trilayer basement membrane sandwiched between the podocytes of Bowman's capsule and the capillary endothelial cells, or between the podocytes and the mesangium ([Fig. 3.4](#)). No basement membrane is present between the capillary endothelium and the mesangium; this provides evidence of the role the basement membrane has in ultrafiltration but not in structural anchoring. Knowledge of the structural composition of the glomerulus is important in understanding its function in health and disease.

The **mesangium**, located within the anastomosing lobules of the glomerular tuft, forms the structural core tissue of the glomerulus. The mesangial cells are thought to be of smooth muscle origin, retaining contractility characteristics and a large capability for phagocytosis and pinocytosis, which helps to remove entrapped macromolecules from the filtration barrier. The ability of mesangial cells to contract also suggests a role in regulating glomerular blood flow. The matrix surrounding the mesangial cells is, as mentioned earlier,





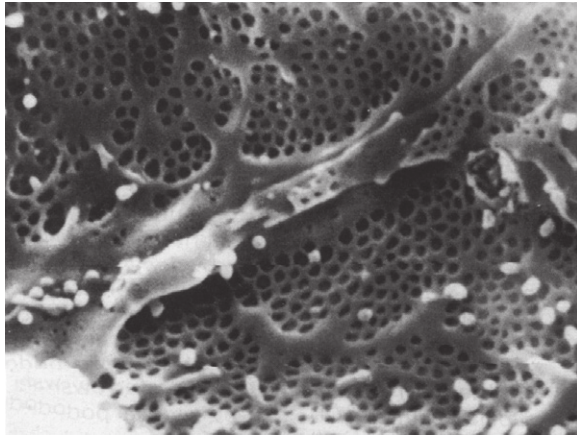
**FIG. 3.4** A schematic overview of a glomerulus. The afferent arteriole enters the glomerulus and the efferent arteriole exits the glomerulus at the vascular pole. Also at the vascular pole, a portion of the thick ascending limb of the distal tubule, the macula densa, is in contact with the glomerular mesangium. Bowman's space is formed from specialized epithelial cells (Bowman's capsule) at the end of a renal tubule. At the urinary pole, Bowman's space becomes the tubular lumen of the proximal tubule. Podocytes are the epithelial cells that cover the glomerular capillaries and derive their name from their characteristic footlike processes. The glomerular capillaries are lined with fenestrated endothelial cells (i.e., epithelium with pores). The basement membrane, which separates the capillary endothelium and the podocytes (the epithelium of Bowman's space), is continuous throughout the glomerulus. The basement membrane is absent between the capillary endothelium and the mesangium. The mesangial cells of the glomerular tuft form the structural core of the glomerulus and are continuous with the extraglomerular mesangial cells located at the vascular pole between the afferent and efferent arterioles. The secretory granules of the granular cells contain large amounts of renin. The afferent arteriole is innervated by sympathetic nerves.

continuous with the extraglomerular mesangium of the juxtaglomerular apparatus.

The capillary endothelial cells of the glomerulus make up the first component of the actual filtration barrier. The endothelium is fenestrated—that is, it has large open pores 50 to 100 nm in diameter. When viewed from the lumen of the capillary, these openings give the endothelium a dotted swiss appearance (Fig. 3.5). In addition, the capillary endothelium possesses a negatively charged coating that repels anionic molecules. The size of the pores and the negative charge of

the endothelium play an important role in solute selectivity during plasma ultrafiltration.

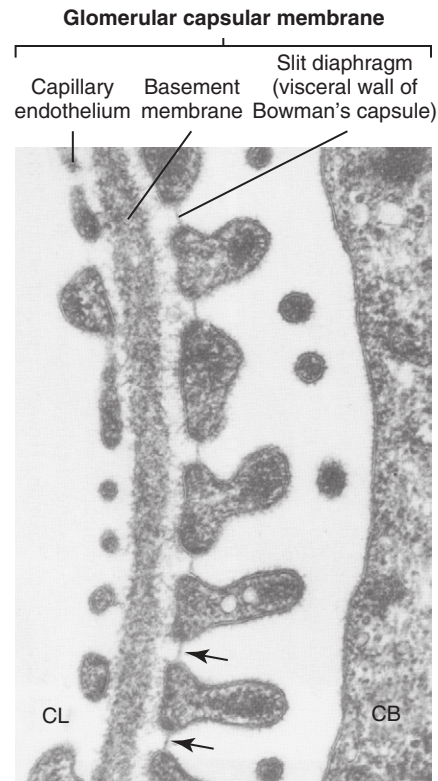
The second component of the filtration barrier is the **basement membrane**, which separates the epithelium of the urinary space from the endothelium of the glomerular capillaries. The basement membrane has three layers: the lamina rara interna lies adjacent to the capillary endothelium, the lamina densa (electron dense by electron micrograph) is located centrally, and the lamina rara externa is adjacent to the epithelium of Bowman's space (Fig. 3.6). The basement



**FIG. 3.5** A scanning electron micrograph of the glomerular capillary endothelium as viewed from the capillary lumen. The openings or fenestrations of the endothelium resemble a dotted swiss pattern. (From Boron WF, Boulpaep EL: *Medical physiology, updated version*, ed 1, Philadelphia, 2005, Saunders.)

membrane consistently courses below the epithelium of Bowman's space and is absent between the capillary endothelium and the supporting mesangium. As mentioned previously, this trilayer structure is not the basement membrane of the glomerular capillaries; rather, it contributes specifically to the permeability characteristics of the filtration barrier. Composed principally of collagenous and noncollagenous proteins, the basement membrane of the filtration barrier is a matrix with hydrated interstices. Nonpolar collagenous components are concentrated in the lamina densa. An important polar noncollagenous component, heparan sulfate (a polyanionic proteoglycan), is located primarily in the outer lamina rara layers, endowing the layers with their strongly anionic character.

On the tubule side of the glomerulus, lining Bowman's space, are the **podocytes**. Attached to the glomerular basement membrane, the podocytes constitute the third component of the filtration barrier. The name *podocyte* means foot cell and relates to their footlike appearance when viewed in cross-section (see Fig. 3.6). The podocytes completely cover the glomerular capillaries with extending fingerlike processes and interdigitate with neighboring podocytes (Fig. 3.7, A and B). However, their processes actually do not touch each other; rather, a consistent space of 20 to 30 nm separates them, forming a snakelike channel that zigzags across the surface of the glomerular capillaries.<sup>1</sup> This snakelike channel is called the *filtration slit* and covers only about 3% of the total glomerular basement membrane area. The slit is lined with a distinct extracellular structure known as the *slit diaphragm*. The substructure of the slit diaphragm consists of regularly arranged subunits with rectangular open spaces about the size of an albumin molecule. Often the slit diaphragm is considered part of the basement membrane, although it is distinctly separate and actually lies on the basement membrane. Podocytes are metabolically active cells. They contain numerous organelles and extensive lysosomal elements that correlate directly

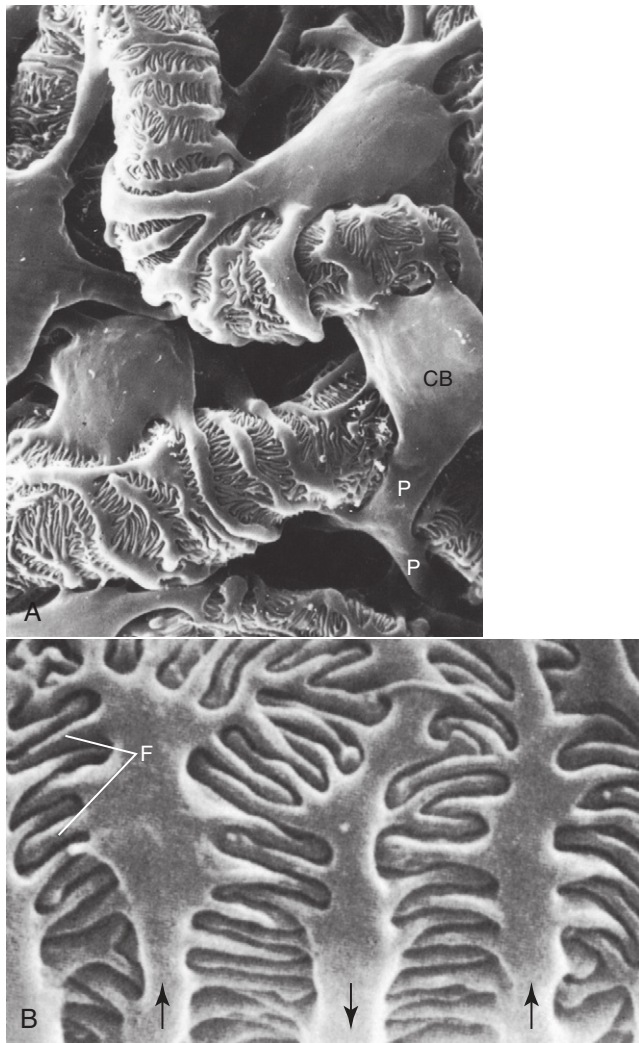


**FIG. 3.6** A transmission electron micrograph of a glomerular filtration barrier. From left to right, capillary lumen (CL), fenestrated capillary endothelium, basement membrane, foot processes of podocytes separated by slit diaphragms, Bowman's space, and portion of an overarching podocyte cell body (CB). The basement membrane consists of three distinct layers: the lamina rara interna (next to the capillary endothelium), the lamina densa, and the lamina rara externa (next to the epithelium or podocytes). The arrows indicate slit diaphragms that lie between the interdigitating foot processes. (From Boron WF, Boulpaep EL: *Medical physiology, updated version*, ed 1, Philadelphia, 2005, Saunders.)

with their extensive phagocytic ability. Macromolecules that are unable to proceed through the slit diaphragm or return to the capillary lumen are rapidly phagocytized by podocytes to prevent occlusion of the filtration barrier. Similar to the capillary endothelium, all surfaces of the podocytes, filtration slits, and slit diaphragms that line the urinary space are covered with a thick, negatively charged coating.

In review, the three distinct structures that compose the glomerular filtration barrier are (1) the capillary endothelium with its large open pores, (2) the trilayer basement membrane, and (3) the filtration diaphragms located between the podocytes (epithelium) of Bowman's space. Each component maintains an anionic charge on its cellular surface or within it, and each component is essential for proper functioning of the filtration barrier.

The selectivity of the filtration barrier is based on the molecular size and charge of the solute. Water and small solutes rapidly pass through the filtration barrier with little or no resistance. In contrast, larger plasma molecules must



**FIG. 3.7** A scanning electron micrograph of podocytes and their interdigitating foot processes on glomerular capillaries as viewed from Bowman's space. (A) Epithelial or podocyte cell body (CB) and podocyte foot processes (P) on glomerular capillaries. (B) An enlargement of interdigitating foot processes (F) of adjacent epithelial cells (podocyte). The arrows indicate primary processes and show the alternating pattern between epithelial cells. (A, From Boron WF, Boulpaep EL: *Medical physiology, updated version*, ed 1, Philadelphia, 2005, Saunders; B, From Koushanpour E, Kriz W: *Renal physiology*, ed 2, New York, 1986, Springer-Verlag; both with permission.)

overcome the negative charge present on the endothelium and must be able to pass through the endothelial pores, which are 50 to 100 nm in diameter.<sup>1</sup> The **shield of negativity** of the endothelium successfully repels most plasma proteins, thereby preventing the filtration barrier from becoming congested with them. However, neutral and cationic molecules readily pass through the filtration barrier if they do not exceed the size restriction imposed by the basement membrane. To penetrate the basement membrane and the slit diaphragm, neutral and cationic molecules must possess an effective molecular radius of less than 4 nm. The successful passage of molecules with diameters larger than 4 nm decreases with

increasing size. However, molecules with diameters greater than 8 nm typically are not capable of glomerular filtration.<sup>2</sup> Albumin has an effective radius of 3.6 nm and a molecular weight of approximately 67,000 daltons.<sup>3</sup> If the shield of negativity that permeates the basement membrane and the filtration slits is not present, albumin would readily pass through the filtration barrier. This is evidenced in glomerular diseases in which loss of the shield of negativity (e.g., lipid nephrosis) or an alteration in the filtration barrier structure (e.g., glomerulonephritis) results in proteinuria and hematuria.

The initial ultrafiltrate present in Bowman's space differs from the plasma in that it lacks the blood cells and plasma proteins larger than albumin (including any protein-bound substances). The normal filtration rate of approximately 125 mL/min depends on body size and is discussed at length in the section on glomerular filtration tests. Any condition that modifies glomerular blood flow, hydrostatic or oncotic pressures across the glomerular filtration barrier, or the structural integrity of the glomerulus will affect the glomerular filtration rate and ultimately the amount of urine produced.

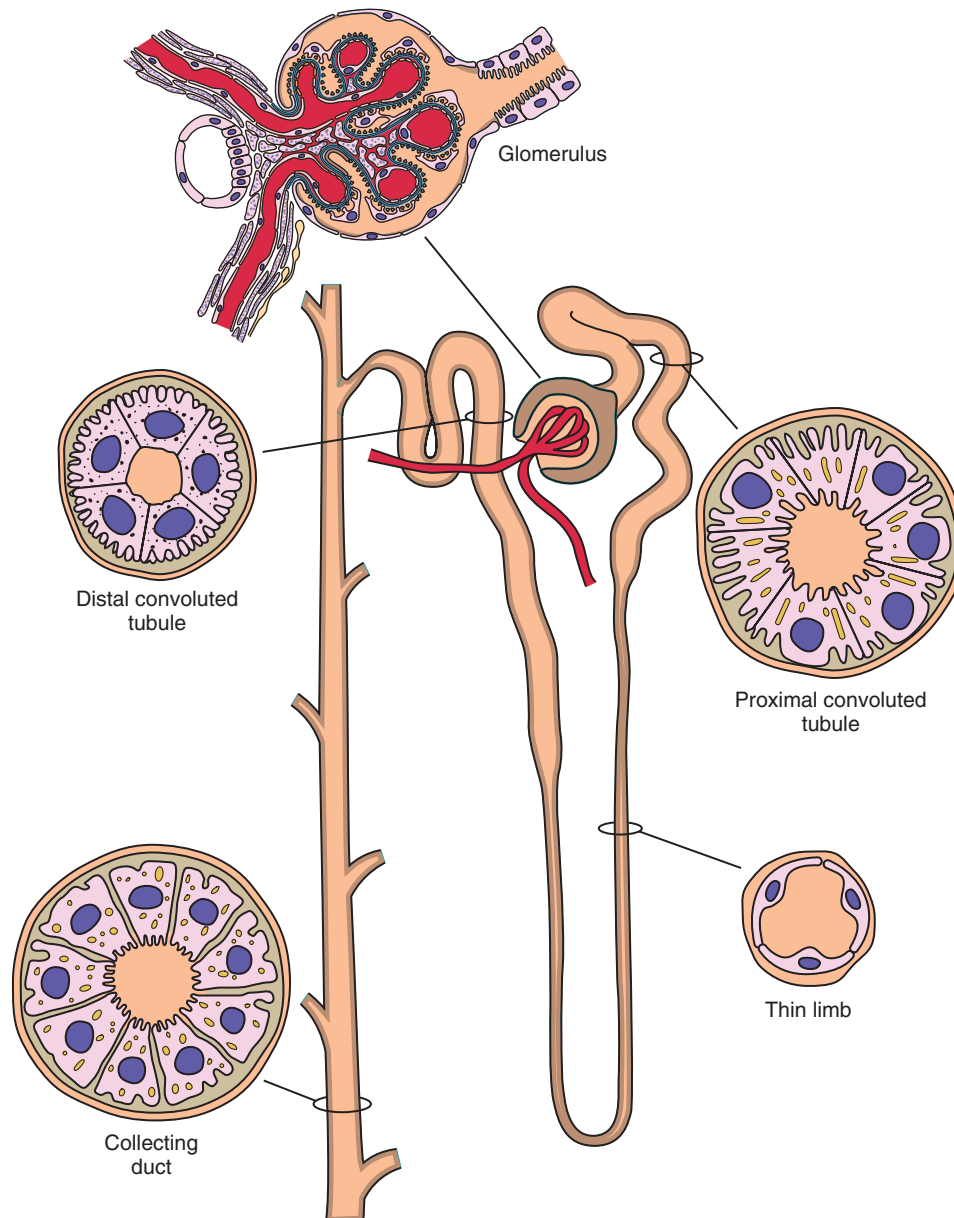
### Tubules

The epithelium that lines the renal tubules changes throughout the five distinct areas of the nephron. Looking at the diverse and specialized epithelial characteristics of each segment aids in understanding the various processes that take place.

Once the glomerular ultrafiltrate has been formed in Bowman's space, hydrostatic pressure alone moves the ultrafiltrate through the remaining tubular portions of the nephrons. Each tubular portion has a distinctively different epithelium, which relates directly to the unique processes that occur there. The first section, the **proximal tubule**, consists of a large convoluted portion (pars convoluta) followed by a straight portion (pars recta). The cells of the proximal tubule are tall and extensively interdigitate with each other (Fig. 3.8). These intercellular interdigitations serve to increase the overall cellular surface area and are characteristic of salt-transporting epithelia. The luminal surfaces of these cells have a brush border because of the abundant number of microvilli present (typical of absorbing epithelia as in the small intestine). These densely packed microvilli, by greatly increasing the luminal surface area, provide a maximal area for filtrate reabsorption. In addition, the proximal tubular cells have numerous mitochondria (evidence of their high metabolic activity) and are abundant in the enzymes necessary for active transport of various solutes.

When the straight portion of the proximal tubule enters the outer medulla to become the thin descending limb of the loop of Henle, the tubular epithelium changes. At this point, the epithelium consists of flat, noninterdigitating cells that are simply organized (see Fig. 3.8). Depending on the length of the loop of Henle, the cellular organization varies. The longest limbs that reach deep into the medulla have increased cellular complexity. Regardless of the length of the limb, the epithelium changes again at the hairpin turn of the loop of





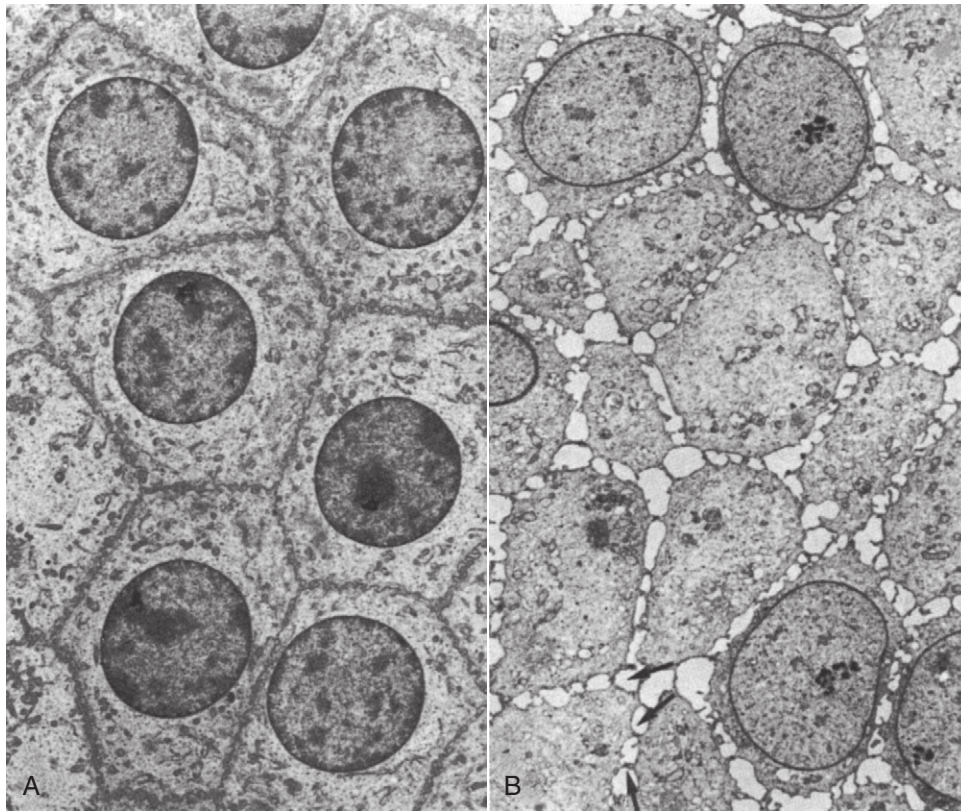
**FIG. 3.8** The general histologic characteristics of the renal tubular epithelium. Representative cross-sections of the various tubular segments roughly indicate their cellular morphology and the relative size of the cells, the tubules, and the tubular lumens.

Henle. These epithelial cells, although remaining flat, extensively interdigitate with one another. The interdigitating epithelium found at the hairpin turn continues throughout the thin ascending limb of the loop of Henle.

The thick ascending limb of the loop of Henle (or the straight portion of the distal tubule) is characterized primarily by tall, interdigitating cells (see Fig. 3.8). As in the proximal tubular epithelium, large numbers of mitochondria reside, and a high level of enzymatic activity is present. In contrast to proximal tubular cells, only the basal two-thirds of distal tubular cells interdigitate. The topmost or luminal portion of these cells has a simple polygonal shape and maintains a smooth border with neighboring cells. After the macula densa or the juxtamedullary apparatus is the **distal**

**convoluted tubule.** The amount of tubular convolution is less than that exhibited by the proximal tubule, and the distal convoluted tubule proceeds to a collecting duct after only two to three loops of convolution.

The **collecting duct** traverses the renal cortex and medulla and is the site of final urine concentration. The epithelium of the collecting ducts primarily consists of polygonal cells with some small, stubby microvilli and no intercellular interdigitations (see Fig. 3.8). These cells have intercellular junctions or spaces between them that span from their luminal surfaces to their bases. In the presence of **antidiuretic hormone** (ADH; also known as *arginine vasopressin*), the spaces between the cells dilate, rendering the epithelium highly permeable to water. In contrast, when ADH is absent, the spaces are joined



**FIG. 3.9** A transmission electron micrograph of cross-sections of the medullary collecting duct epithelium. (A) The intercellular spaces are narrow. (B) The intercellular spaces are dilated. The observed dilation is probably due to the effect of antidiuretic hormone on the epithelium, enabling the passive reabsorption of water. (From Koushanpour E, Kriz W: *Renal physiology*, ed 2, New York, 1986, Springer-Verlag, with permission.)

tightly (Fig. 3.9). As the collecting duct approaches a papillary tip, the epithelium changes again to cells that are taller and more columnar.

### Tubular Function

The fact that only 1% (approximately 1200 mL) of the original plasma ultrafiltrate presented to the renal tubules is excreted as urine is evidence of the large amount of reabsorption that takes place within the renal tubules. In addition to this substantial volume change, the resultant solute makeup of the urine excreted differs dramatically from that of the original ultrafiltrate, underscoring the dynamic processes carried out by the tubules. By virtue of this renal tubular ability to adjust the excretion of water and solutes, the kidney is the most important organ involved in fluid exchanges. The mechanisms effecting these changes—namely, **tubular reabsorption** and **tubular secretion**, are the same; it is the direction of substance movement that differs, with the substance moved from the tubular lumen into the peritubular capillary blood and interstitium (reabsorption), or from the peritubular capillary blood and interstitium into the tubular lumen (secretion).

### Transport

The tubular transport mechanisms (i.e., reabsorption and secretion) are active or passive. **Active transport** is the

movement of a substance across a membrane against a gradient. In other words, the compartments separated by the membrane differ in their chemical or electrical composition. Such transport requires an expenditure of energy, directly or indirectly.

When energy is required to actively transport a substance, as in the exchange of potassium for sodium driven by adenosine triphosphate hydrolysis (the sodium pump), this is called *direct active transport*. When the movement of one substance is coupled with the movement of another substance down a gradient, this is called *indirect active transport* or *cotransport* (e.g., the indirect absorption of glucose with sodium in the proximal tubules).

Active transport occurs across the cell (transcellularly) and involves specific protein-binding sites that span the cell membrane. In active transport, the substance (1) binds to its specific membrane-binding site, (2) is passed through the cell membrane, and (3) is released inside or outside the cell as the binding site undergoes a conformational change.

**Passive transport**, however, requires no energy and is characterized by the movement of a substance along a gradient, or, in other words, from an area of higher concentration to one of lower concentration, as in the movement of urea. This type of transport may be transcellular or paracellular—between cells by way of junctions and intercellular spaces.

Each solute has a specific transport system. Cellular protein-binding sites may be unique for a particular solute, transporting only that solute across the membrane, or they may transport several solutes, often exhibiting preferential transport of one analyte over another. In addition, the mode of transport, whether active or passive, is not always the same and can differ depending on the tubular location. For example, chloride is reabsorbed actively in the ascending loop of Henle but passively in the proximal tubule (Table 3.3).

### Reabsorption

Tubular function is selective, reabsorbing the substances necessary for the maintenance of body homeostasis and function, such as water, salts, glucose, amino acids, and proteins, while excreting waste products such as creatinine and metabolic acids. Table 3.3 summarizes some important ultrafiltrate components according to the location of their tubular reabsorption. The table also indicates the primary mode of transport for each substance. Note that this summary refers to the major overall transport of the substance; in reality, reabsorption of some solutes actually involves several mechanisms. The following section discusses the principal interactions of the major solutes and their transport processes.

**TABLE 3.3 Summary of Tubular Reabsorption of Ultrafiltrate Components**

Location	Mode of Reabsorption	Substance
Proximal tubule (convoluted and straight portions)	Passive	H <sub>2</sub> O, Cl <sup>-</sup> , K <sup>+</sup> , urea
	Active	Na <sup>+</sup> , HCO <sub>3</sub> <sup>-</sup> , glucose, amino acids, proteins, phosphate, sulfate, Mg <sup>2+</sup> , Ca <sup>2+</sup> , uric acid
<b>Loop of Henle</b>		
Thin descending limb	Passive	H <sub>2</sub> O, urea
U-turn and thin ascending limb	Passive	Na <sup>+</sup> , Cl <sup>-</sup> , urea
Thick ascending limb (medullary and cortical)	Passive	Urea
	Active	Na <sup>+</sup> , Cl <sup>-</sup>
Distal tubule (convoluted portion)	Active	<sup>a</sup> Na <sup>+</sup> , Cl <sup>-</sup> , sulfate, uric acid
	Passive	<sup>b</sup> H <sub>2</sub> O
<b>Collecting Tubules</b>		
Cortical	Passive	<sup>b</sup> H <sub>2</sub> O, Cl <sup>-</sup>
	Active	<sup>a</sup> Na <sup>+</sup>
Medullary	Passive	H <sub>2</sub> O, urea

<sup>a</sup>Reabsorption under aldosterone control by the renin-angiotensin-aldosterone system (RAAS).

<sup>b</sup>Reabsorption under the control of antidiuretic hormone.

### Secretion

As with tubular reabsorption, tubular secretion takes place throughout the nephron. The principal roles of the renal secretory process are (1) to eliminate metabolic wastes and those substances not normally present in the plasma and (2) to adjust the acid-base equilibrium of the body. These two functions overlap in that many metabolic byproducts and foreign substances are weak acids and bases, thereby directly affecting the acid-base status of the body. Table 3.4 summarizes some of the important secreted ultrafiltrate solutes and the locations of their tubular secretion.

Most of the substances secreted, other than hydrogen ions, ammonia, and potassium, are weak acids or bases. These weak acids and bases originate from metabolic or exogenous sources. They are (1) substances incompletely metabolized by the body (e.g., thiamine), (2) substances not metabolized at all and secreted unchanged (e.g., radiopaque contrast media and mannitol), or (3) substances not normally present in the plasma (e.g., penicillin, salicylate). Some of these substances simply cannot pass through the glomerular filtration barrier for excretion because they are bound to plasma proteins, primarily albumin (e.g., unconjugated bilirubin, drugs). As a result, their overall size is dramatically increased, and their original molecular charge may be modified. Tubular secretion, however, provides a means for their elimination. As these relatively large and protein-bound substances flow through the peritubular capillaries, they interact with endothelium-binding sites, are transported into renal tubular cells, and are ultimately secreted into the tubular lumen.

### Regulation of Acid-Base Equilibrium

To better understand the role of tubular secretion in the regulation of the body's acid-base equilibrium, basic knowledge of the endogenous production of acids and bases is needed. In health, normal blood pH is alkaline, ranging from 7.35 to 7.45. However, in pathologic disease states, the pH can be as low as 7.00 or as high as 7.80. Blood is alkaline, and its pH is constantly threatened by the endogenous production of acids from normal dietary metabolism. These endogenous acids are formed (1) from the production of carbon dioxide owing to oxidative metabolism of foods that create carbonic acid, (2) from the catabolism of dietary proteins and phospholipids, or (3) from the production of acids in certain pathologic or physiologic conditions, such as acetoacetic acid in uncontrolled diabetes mellitus or lactic acid with exercise.

**TABLE 3.4 Summary of Tubular Secretion of Important Ultrafiltrate Components**

Location	Substance
Proximal tubule	H <sup>+</sup> , NH <sub>3</sub> , weak acids and bases
Loop of Henle	Urea
Distal tubule	H <sup>+</sup> , NH <sub>3</sub> , K <sup>+</sup> , uric acid (some drugs)
Collecting tubule	H <sup>+</sup> , NH <sub>3</sub> , K <sup>+</sup> (some drugs)

Three body systems are involved in maintaining the blood pH at a level compatible with life: (1) the blood-buffer system, which involves hemoglobin, bicarbonate, proteins, and inorganic phosphates; (2) the pulmonary system; and (3) the renal system. Although all three systems work together to maintain homeostasis, the blood-buffer and pulmonary systems are able to respond immediately, although only partially, to pH changes. The renal system, however, despite its comparatively slow response, is capable of completely correcting deviations in blood pH.

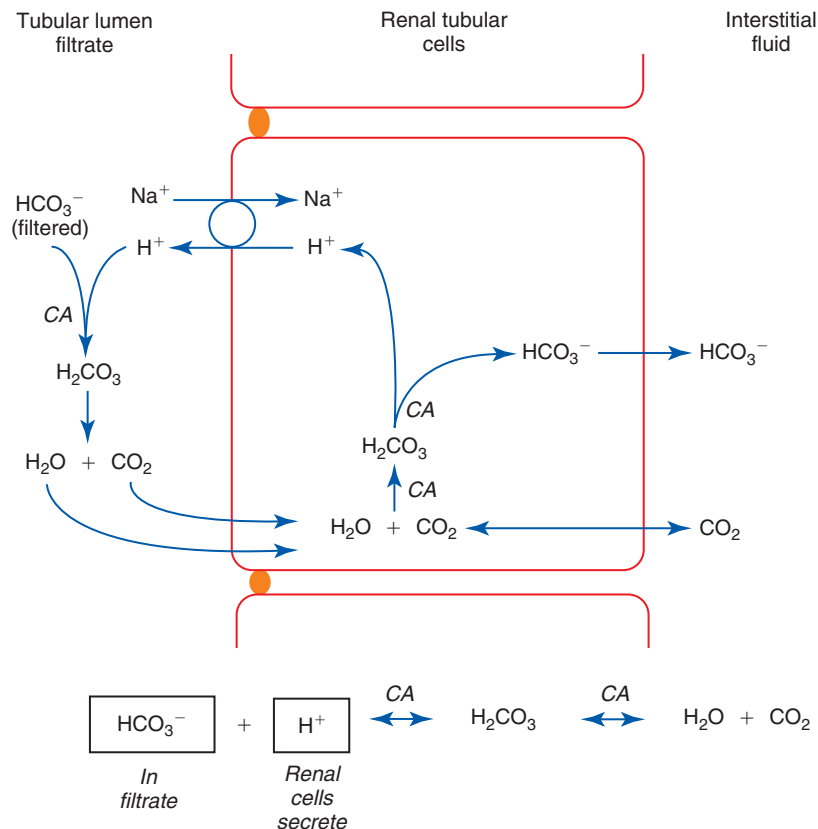
In response to changes in blood pH, the kidneys selectively excrete acid or alkali in the urine. Whereas excess alkali is eliminated by the excretion of sodium salts, such as disodium phosphate and sodium bicarbonate, excess acids are eliminated by the excretion of titratable acids (monosodium phosphate) and ammonium salts (e.g.,  $\text{NH}_4\text{Cl}$ ,  $[\text{NH}_4]_2\text{SO}_4$ ).

Three secretory mechanisms maintain the blood pH, and each relies directly or indirectly on the tubular secretion of  $\text{H}^+$  ions. In acidotic conditions,  $\text{H}^+$  ions (acids) are secreted by renal tubular cells in exchange for sodium and bicarbonate ions (alkali). In alkalotic conditions, tubular secretion of  $\text{H}^+$  ions is minimized, which assists in the elimination of excess alkali from the body.

In the first secretory mechanism,  $\text{H}^+$  ions are secreted into the proximal tubular lumen, directly preventing the loss of bicarbonate, a vital component of the blood-buffer system.

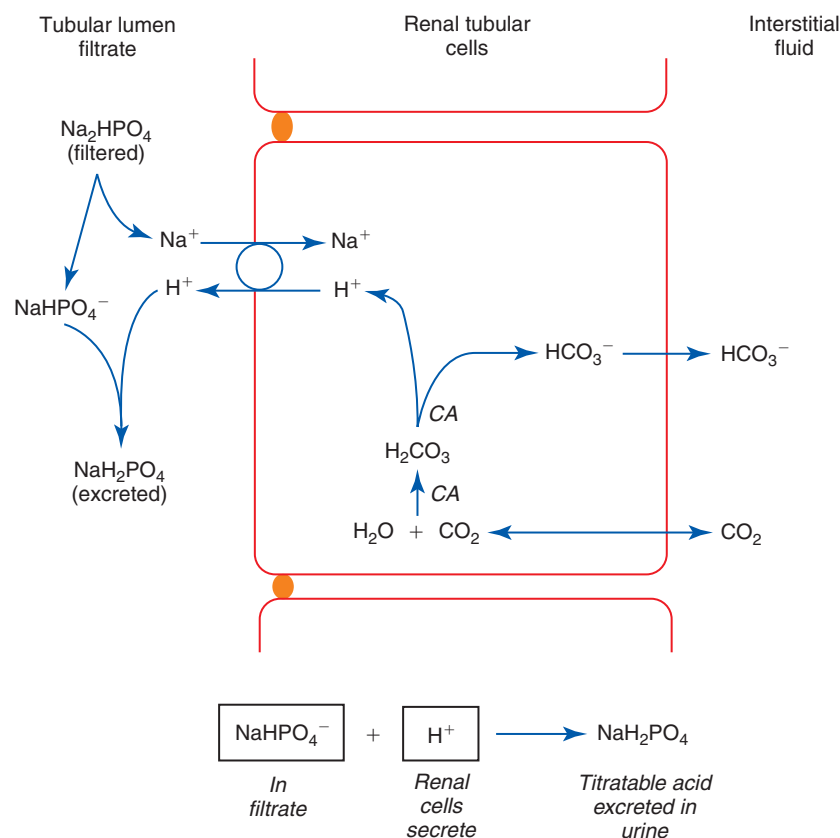
In Fig. 3.10, bicarbonate ions ( $\text{HCO}_3^-$ ), which readily pass the glomerular filtration barrier, react with the secreted  $\text{H}^+$  to form carbonic acid ( $\text{H}_2\text{CO}_3$ ) in the tubular lumen. This carbonic acid, however, is rapidly catalyzed to carbon dioxide and water because of the high concentration of the enzyme carbonic anhydrase present in the brush border of the proximal tubular cells. The carbon dioxide diffuses into the proximal cell, where once again, by the action of intracellular carbonic anhydrase, it is converted to  $\text{HCO}_3^-$  and  $\text{H}^+$ . As a result,  $\text{H}^+$  ions are available once more for tubular secretion and for reabsorption of  $\text{HCO}_3^-$  ions from the tubular lumen. The reabsorbed bicarbonate diffuses into the interstitial fluid and peritubular capillaries, thereby resupplying the blood-buffer system.

The second secretory mechanism, illustrated in Fig. 3.11, depends on the amount of phosphate present in the ultrafiltrate. Phosphoric acids produced by dietary metabolism are converted rapidly in the blood to neutral salts (e.g.,  $\text{Na}_2\text{HPO}_4$ ) and are transported to the kidney. In the tubular lumen of the nephrons, secreted hydrogen ions exchange with the sodium ions, and disodium phosphate ( $\text{Na}_2\text{HPO}_4$ ) becomes monosodium phosphate ( $\text{NaH}_2\text{PO}_4$ ) (Equation 3.1). These monobasic phosphates—specifically combined with hydrogen ions and excreted in the urine—are referred to as **titratable acids**. The name derives from the ability to titrate urine to a pH of 7.4 (pH of normal plasma) using a standard base (e.g.,  $\text{NaOH}$ ) to determine the amount of acid present as a result of these



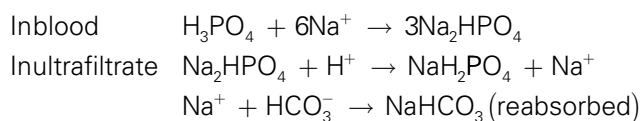
**FIG. 3.10** Hydrogen ion secretion and the mechanism of filtered bicarbonate reabsorption in the proximal tube. CA, Carbonic anhydrase.





**FIG. 3.11** Hydrogen ion secretion and the formation of titratable acids. This is a mechanism of urine acidification in the collecting ducts. CA, Carbonic anhydrase.

monobasic phosphates. Note that hydrogen ions combined with other solutes are not measured by this titration.

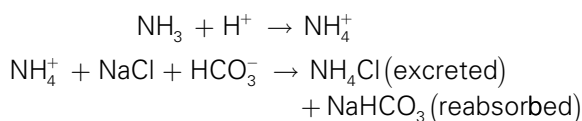


### Equation 3.1

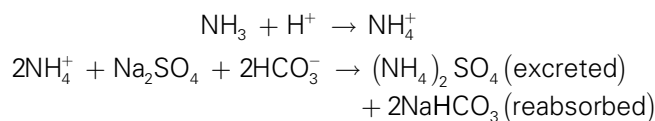
As a direct result of these phosphates in the ultrafiltrate, acid is removed from the body and the urine is acidified; in addition, sodium and bicarbonate ions are returned to the peritubular capillary blood.

The third secretory mechanism for acid removal, as illustrated in Fig. 3.12, depends on ammonia secretion and the subsequent exchange of sodium ions for ammonium ions. Ammonia ( $\text{NH}_3$ ) is produced in the renal tubular cells by the action of the enzyme glutaminase on the substrate glutamine, obtained from the peritubular capillary blood. Because  $\text{NH}_3$  is not ionized, it is lipid soluble and readily diffuses across tubular cell membranes into the tubular lumen. Once in the lumen,  $\text{NH}_3$  rapidly combines with  $\text{H}^+$  ions to form ammonium ions ( $\text{NH}_4^+$ ). These ions, essentially nondiffusible because of their charge, remain in the tubular lumen and may combine with neutral salts such as sodium chloride or sodium sulfate, a metabolic byproduct. Equations 3.2 and 3.3

depict the chemical reactions that take place in the tubular lumen.



### Equation 3.2

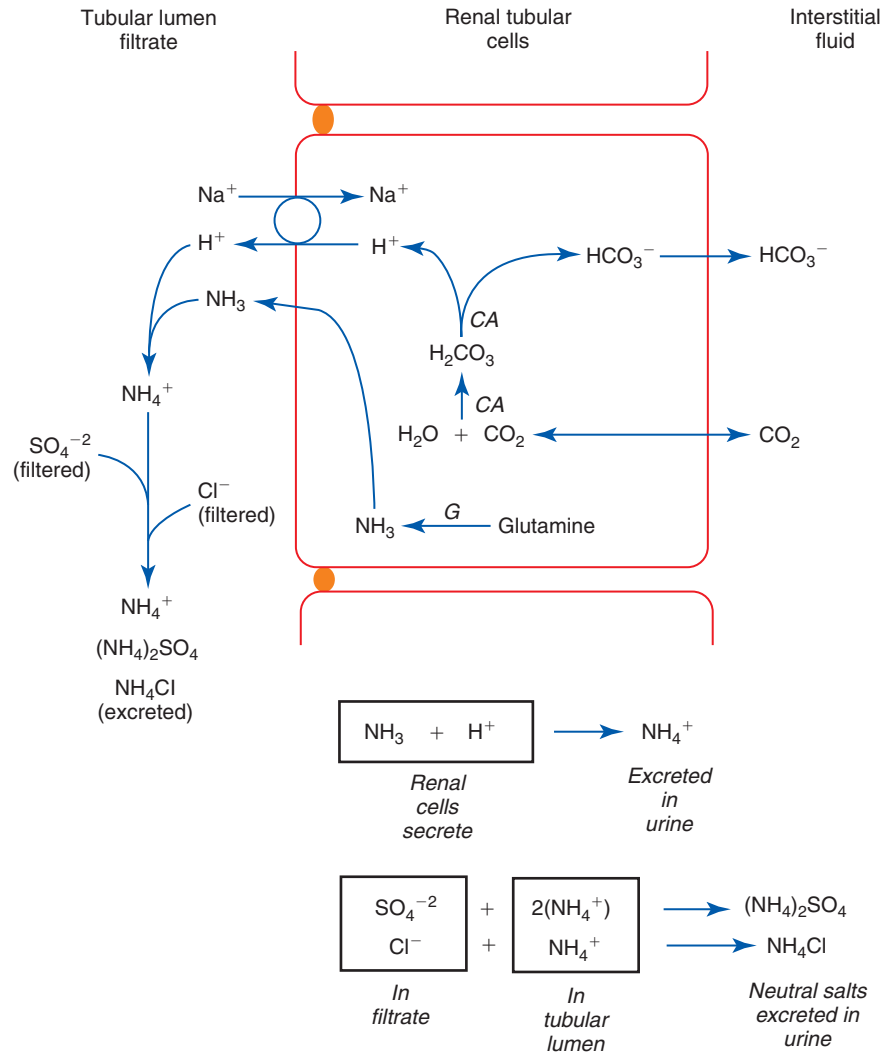


### Equation 3.3

Note that in both reactions, bicarbonate and sodium are replenished and are available for tubular reabsorption.

The ammonia excreted in urine is derived primarily from renal tubular synthesis. Both the proximal and collecting duct cells are responsible for ammonia production, and final urine acidification principally occurs in the collecting ducts. However, the rate of ammonia synthesis is regulated in direct response to the body's acid-base status. For example, the production of ammonia increases in response to acidotic conditions.

In summary, the kidney regulates the acid-base equilibrium of the body using three renal secretory mechanisms:



**FIG. 3.12** Hydrogen ion secretion and the formation of ammonium ions. This is a mechanism of urine acidification in the collecting ducts. CA, Carbonic anhydrase; G, glutaminase.

(1)  $\text{H}^+$  ion secretion to recover bicarbonate; (2)  $\text{H}^+$  ion secretion to yield urine titratable acids (e.g., monosodium phosphate); and (3)  $\text{H}^+$  ion and  $\text{NH}_3$  secretion to yield ammonium salts (e.g., ammonium chloride, ammonium sulfate). By each of these mechanisms, hydrogen ion secretion (i.e., the loss of acid) results in sodium or bicarbonate reabsorption (i.e., the gain of alkali). Therefore the kidney modulates its secretion of hydrogen ions and ammonia according to the dynamic acid-base needs of the body.

### Tubular Transport Capacity

The capacity of the renal tubules for reabsorption and secretion varies depending on the substance involved. For some substances, as the amount of solute presented to the renal tubules increases, the rate of tubular reabsorption also increases until a maximal rate of reabsorption is attained. This maximal reabsorptive rate remains constant despite any further increases in the solute's concentration. Consequently, excess solute that is not reabsorbed appears in the urine. This reabsorptive characteristic of the renal epithelium is known as the **maximal tubular reabsorptive capacity**. Denoted  $T_m$ , it

represents the amount of solute (milligrams) reabsorbed per minute. The  $T_m$  varies depending on the specific solute and on other factors, such as the glomerular filtration rate. Glucose, amino acids, proteins, phosphate, sulfate, and uric acid are a few of the solutes that exhibit a  $T_m$ -limited tubular reabsorptive capacity. These solutes also have a blood concentration, known as the **renal threshold level**, which specifically relates to their  $T_m$ . For example, the  $T_m$  for glucose is approximately 350 mg/min (when corrected to 1.73 m<sup>2</sup> body surface area), which corresponds to a plasma renal threshold level for glucose of 160 to 180 mg/dL. Stated another way, regardless of the amount of glucose present in the renal tubular lumen, a maximum of 350 mg can be reabsorbed per minute. When the plasma glucose level exceeds 160 to 180 mg/dL, the ultrafiltrate glucose concentration is greater than the ability of the tubules to reabsorb ( $T_m$ ), and glucose is excreted in the urine.

Similarly, some solutes secreted by the tubules have a **maximal tubular secretory capacity**, also denoted  $T_m$  (e.g., *p*-aminohippurate, a weak organic acid). The same designation,  $T_m$ , is appropriate because both processes refer to the maximum capacity for the active transport of a substance,



and the direction of movement—whether into or out of the tubular lumen—is immaterial.

In contrast, some solutes are not limited in the amount that can be reabsorbed (e.g., sodium) or secreted (e.g., potassium). For these substances, other factors influence their rate of transport, such as the tubular flow rate, the amount of time the solute is in contact with the renal epithelium, the concentrations of other solutes in the filtrate, the presence of transport inhibitors, and changes in hormone levels (e.g., ADH).

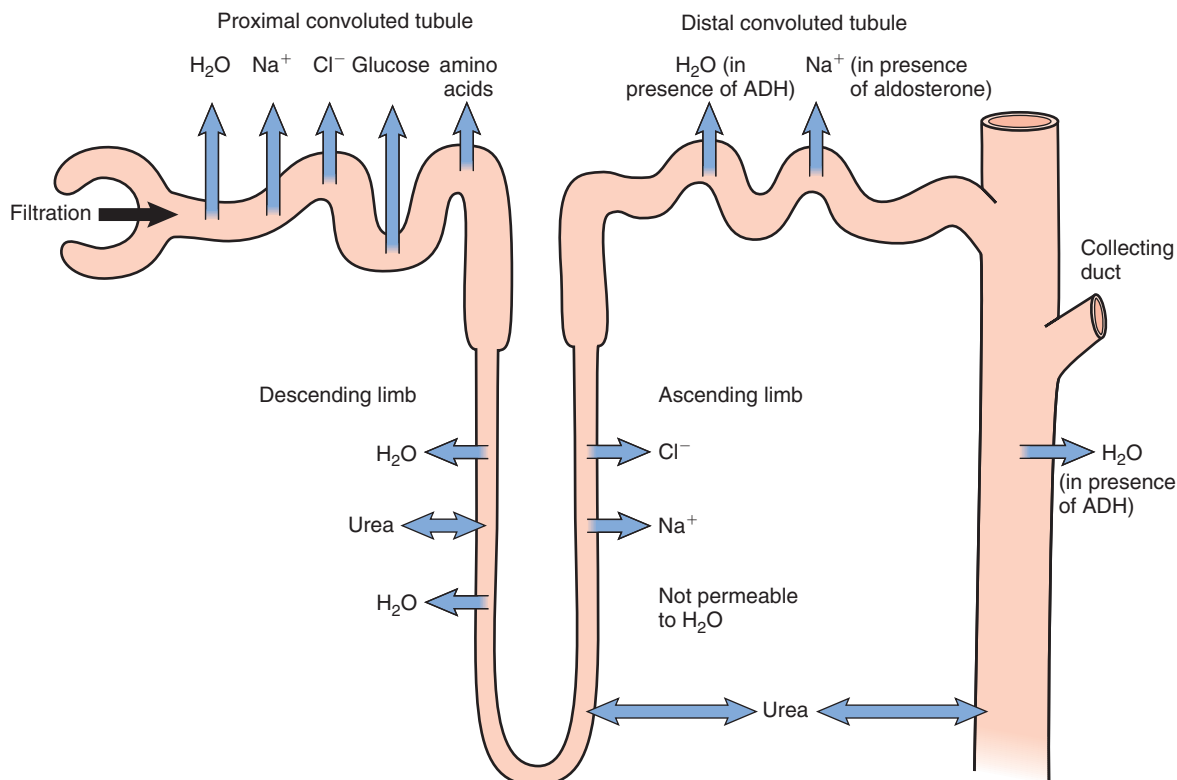
### Proximal Tubular Reabsorption

The proximal tubule reabsorbs more than 66% of the filtered water, sodium, and chloride. In addition, essentially 100% of glucose, amino acids, and proteins is reabsorbed by a cotransport mechanism that is coupled to sodium. Other solutes such as bicarbonate, phosphate, sulfate, magnesium, calcium, and uric acid are also reabsorbed in the proximal tubule (see Table 3.3). Although these reabsorptive processes significantly reduce the volume and concentrations of specific solutes, the fluid exiting the proximal tubule remains osmotically unchanged. In other words, despite substantial reabsorption of solutes and water in the proximal tubule, the absolute number of solute particles (osmoles) present per kilogram of water remains identical to that of the original ultrafiltrate, which is identical to the plasma (if made protein-free) in the peritubular capillaries. The solute particles in this filtrate also differ significantly (e.g., less sodium and more chloride) from those in the original ultrafiltrate.

In summary, as the filtrate leaves the proximal tubule, the fluid volume has been reduced by more than two-thirds; significant reabsorption of salts, glucose, proteins, and other important solutes has taken place actively or passively; and the fluid's osmolality remains unchanged. Osmolality—a measurable physical characteristic of urine used to evaluate the ability of the renal tubules to concentrate urine—is discussed further in Chapter 4.

### Water Reabsorption

Water is reabsorbed throughout the nephron except in the ascending limbs (thin and thick) of the loops of Henle, located in the renal medulla (Fig. 3.13). In the ascending limbs, the tubular epithelium is selectively impermeable to water, despite a large osmotic gradient that exists between the tubular lumen fluid and the medullary interstitium. In all other areas of the nephron, osmosis in synergy with the tubular epithelium is responsible for water reabsorption. The epithelium provides the membrane or barrier retarding the diffusion of water into the interstitium (paracellularly) or into the cells themselves (transcellularly). The anatomic structure of the tubular epithelium—specifically, the characteristics of its intercellular spaces—changes throughout the nephron (as was previously discussed). These epithelial characteristics, regulated by bodily needs and hormonal control, dictate how much water is ultimately reabsorbed by the nephron and where and when this absorption takes place.



**FIG. 3.13** Tubular reabsorption of solutes and water in various segments of the nephron. (From Applegate E: *The anatomy and physiology learning system*, ed 4, Philadelphia, 2011, Saunders.)

**Osmosis** is the movement of water across a membrane from a solution of low osmolality to one of higher osmolality. The cell membrane is semipermeable, allowing some but not all solutes to cross it. An osmolality gradient (i.e., two areas differing in the number of solute particles present per volume of solvent) induces the diffusion of water across the membrane in an attempt to reach an osmotic equilibrium. This passive mechanism is solely responsible for the massive reabsorption of water by the renal tubules. Critical to this process is the high solute concentration or hypertonicity of the renal medulla, which establishes the necessary osmotic gradient.

### Renal Concentrating Mechanism

The only tissue in the body that is hypertonic with respect to normal plasma (i.e., its osmolality is greater than 290 mOsm/kg) is the renal medulla. In medullary tissue, hypertonicity progressively increases; it is lowest at its border with the isosmotic cortex and greatest in the papillary tips of the renal pyramids. This increasing hypertonicity is shared by all components in the medullary interstitium, including tissue cells, interstitial fluid, blood vessels, and blood.

The gradient hypertonicity of the medullary interstitium is established and maintained by two countercurrent mechanisms: (1) an “active” countercurrent multiplier mechanism that occurs in the loops of Henle and (2) a “passive” countercurrent exchange mechanism involving the vasa recta. The loops of Henle and the vasa recta are ideally configured—they are parallel structures that lie close to each other with fluid in the ascending and descending segments flowing in opposite directions, hence the name *countercurrent mechanism*. The ascending limb of the loop of Henle actively reabsorbs sodium and chloride into the medullary interstitium, and this limb is essentially impermeable to water (see Fig. 3.13). As this active process occurs, the interstitium increases in tonicity (i.e., the solute concentration increases) and the lumen fluid decreases in tonicity. At the same time in the descending limb, water readily passes into the interstitium, whereas solutes (with the exception of urea) are not reabsorbed. Consequently, the osmolality of the interstitium and that of the descending limb fluid become equal and greater than the osmolality of the fluid in the ascending limb (Fig. 3.14, A and B). As this process continues, a gradient of hypertonicity develops, with the descending limb fluid and the interstitium becoming progressively more concentrated. Note that the intratubular osmolality difference in the lumen fluid from cortex to medulla is significantly greater than that seen laterally (i.e., at the same level in descending and ascending tubules). Essentially, the osmotic gradient within the tubule from cortex to medulla has been “multiplied” because of countercurrent processes in which sodium and chloride are actively reabsorbed in the ascending limb while water is passively reabsorbed in the descending limb.

Initially, the countercurrent multiplier mechanism appears to have accomplished little because the lumen fluid in the loop of Henle becomes concentrated in the descending limb only to become diluted (by solute removal) in the ascending limb. The net result is a tubular lumen fluid slightly hypotonic

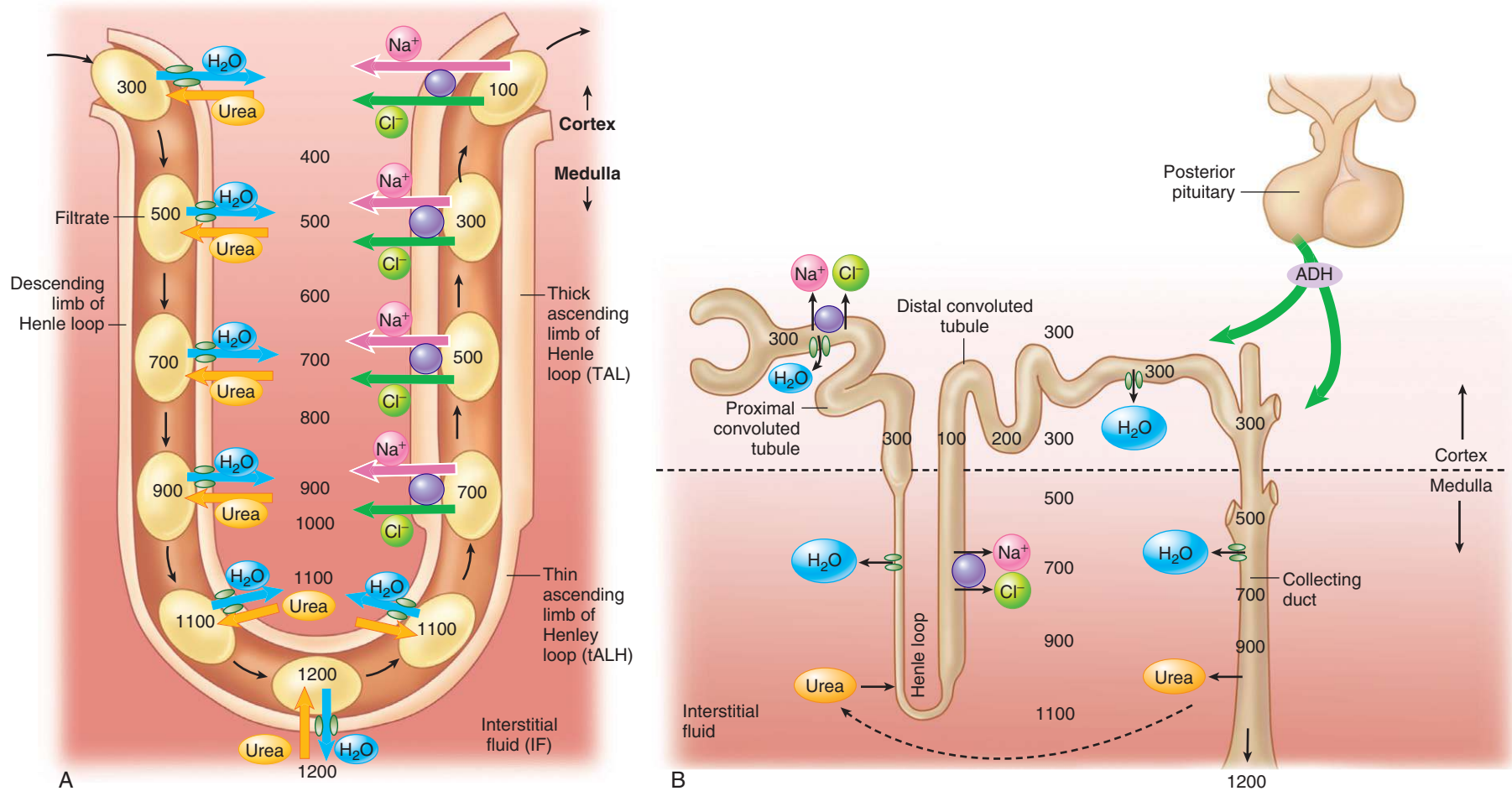
to that originally presented to the loop of Henle (Table 3.5). However, the primary purpose of the countercurrent multiplier mechanism is not to concentrate the lumen fluid but to establish and maintain the gradient hypertonicity in the medullary interstitium. Subsequently, when the lumen fluid enters the collecting tubules, which traverse all areas of the renal cortex and the medulla, the fluid becomes concentrated or diluted to form the final urine.

In contrast, the second countercurrent mechanism is a “passive” exchange process that occurs in the vascular bed deep in the renal medulla. Here, reabsorbed solutes and water in the medullary interstitium are passively moved by diffusion into the vasa recta. Similar to the countercurrent mechanism in the tubular lumens, the blood osmolality is progressively concentrated as it flows toward the papillary tips but progressively diluted when it ascends to the renal cortex. This vascular countercurrent mechanism provides a means to supply nutrients to medullary tissue, as well as to remove reabsorbed water for distribution elsewhere in the body. Consequently, these actions also maintain the hypertonicity of the renal medulla.

Despite the substantial exchanges of solutes and water in the tubules up to this point, the lumen fluid leaving the distal tubules is isosmotic (see Table 3.5). In the collecting tubules (or ducts), the last tubular segment of the nephron, the last osmolality change occurs—the final urine is concentrated, is diluted, or remains unchanged. It is important to note that water is never secreted by the tubules; instead, it is selectively not reabsorbed.

In the collecting tubules, processes involving solute exchange and water reabsorption occur simultaneously and under hormonal control. As the isosmotic lumen fluid enters the cortical collecting tubules, sodium and water reabsorption is hormonally controlled by two distinct and independent processes. The renin-angiotensin-aldosterone system (RAAS) is responsible for sodium reabsorption. As is briefly described in the renal circulation section and depicted in Fig. 3.15, the juxtaglomerular apparatus releases renin into the bloodstream in response to decreased sodium, blood volume, or blood pressure. Angiotensin II forms rapidly, which stimulates the adrenal cortex to secrete the hormone aldosterone. Subsequently, aldosterone activates sodium reabsorption by the distal and cortical collecting tubules.

Water reabsorption in the collecting tubules requires the presence of ADH (arginine vasopressin). ADH is a hormone produced in the hypothalamus and transferred via the neural stalk to the posterior pituitary (neurohypophysis), where it is released into the bloodstream. Vascular baroreceptors located in the heart monitor arterial blood pressure. When an increase in arterial blood pressure occurs, the hypothalamus is signaled, and the release of ADH into the bloodstream is inhibited. This interactive process is called a *negative feedback mechanism* and is outlined in Fig. 3.16. As the plasma level of ADH decreases, the epithelium of collecting tubules changes (see Fig. 3.9) in such a way that movement of water from the lumen fluid into the medullary tissue decreases. Consequently, water is retained in the lumen fluid, resulting

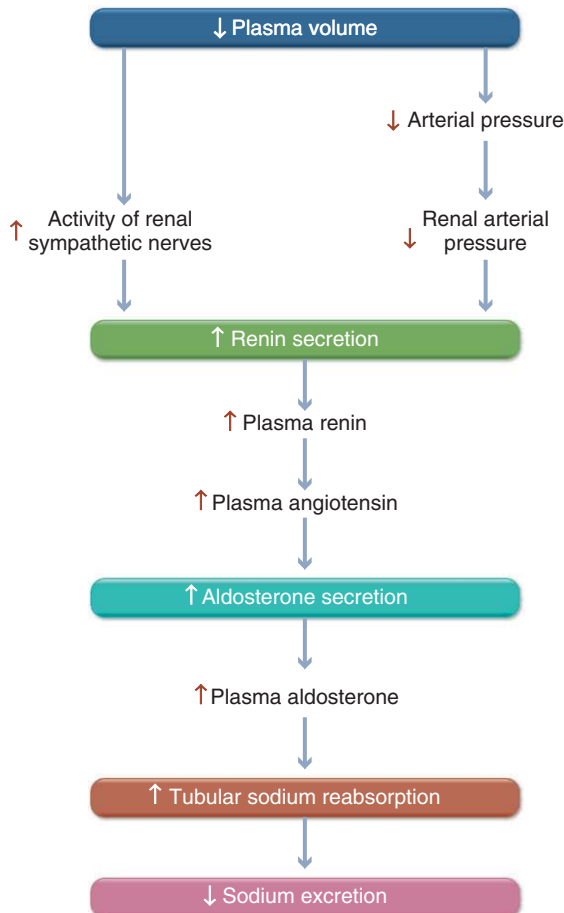


**FIG. 3.14** The countercurrent multiplier mechanism and the urea cycle maintain the hypertonicity of the medulla. (A) In the loop of Henle, note that the fluid leaving the loop is slightly hypo-osmotic (100) compared with the fluid entering the loop (300). Numbers indicate osmolality in milliosmoles per kilogram (mOsm/kg) H<sub>2</sub>O. (B) Countercurrent mechanisms in an entire nephron. As H<sub>2</sub>O leaves the collecting duct (under antidiuretic hormone [ADH] regulation), the solutes become concentrated in the remaining filtrate and osmolality increases. At the same time, a urea concentration gradient causes it to passively diffuse from the collecting duct into the interstitial fluid (IF) of the medulla. Some urea is eventually secreted back into the tubular lumen by the descending limb of the loop of Henle—the urea cycle (dashed line). The hypertonicity of the medulla enables the formation of hypertonic (concentrated) urine, with a maximum urine osmolality of 1200 (to 1400) mOsm/kg (i.e., the same osmolality as the medullary interstitial fluid). Numbers indicate osmolality in milliosmoles per kilogram H<sub>2</sub>O. (From Patton KT, Thibodeau GA: *Anatomy and physiology*, ed 9, St. Louis, 2016, Mosby.)

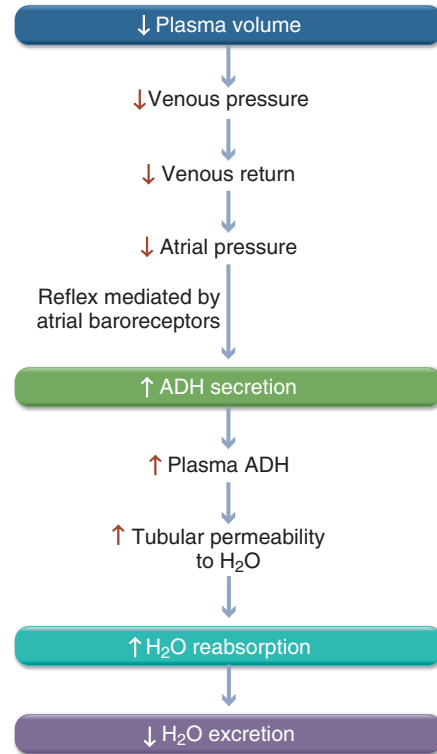
**TABLE 3.5 Tubular Lumen Fluid Osmolality Throughout the Nephron**

Tubule Segment	Osmolality Change
Proximal tubule	Enters: isosmotic Exits: isosmotic
Loop of Henle	Enters: isosmotic
Thin descending limb	Becomes progressively hyperosmotic
U-turn	Maximally hyperosmotic
Thick ascending limb (medullary and cortical)	Becomes progressively hypo-osmotic Exits: slightly hypo-osmotic
Distal tubule (convoluted portion)	Enters: slightly hypo-osmotic Exits: isosmotic
Collecting tubules	Enters: isosmotic Exits: varies, under ADH control; can be hyperosmotic, hypo-osmotic, or isosmotic

<sup>a</sup>Isosmotic: urine osmolality equals the plasma osmolality (i.e., approximately 290 mOsm/kg).  
ADH, Antidiuretic hormone.



**FIG. 3.15** A schematic representation of the renin-angiotensin-aldosterone system (RAAS) and its role in the tubular reabsorption of sodium.



**FIG. 3.16** A schematic representation of the mechanism controlling antidiuretic hormone secretion.

in a dilute (hypotonic or hypo-osmotic) urine. Conversely, when a decrease in blood pressure is sensed by baroreceptors, ADH release is increased. Under ADH stimulation, the collecting tubule epithelium changes and increased water reabsorption occurs as a result of the high medullary osmolality, and a concentrated (hypertonic or hyperosmotic) urine is produced. Note that ADH secretion does not alter sodium and chloride reabsorption.

The osmolality difference between the medullary interstitium and the fluid within the tubules progressively increases as the lumen fluid proceeds to the papillary tips deep in the medulla. It is because of this tremendous gradient that an initial ultrafiltrate osmolality of 290 mOsm/kg in Bowman's space can be concentrated in the final urine to as high as 900 to 1400 mOsm/kg. Note that the final urine excreted is formed in equilibrium with the renal interstitium; hence urine osmolality can never exceed that of the medullary interstitium.

Normally, the countercurrent multiplier mechanism accounts for about 50% of the solutes concentrated in the renal medulla. The other 50% of the solutes are due to the high medullary concentration of urea maintained by a process called the **urea cycle** (see Fig. 3.14). Urea, a byproduct of protein catabolism, freely penetrates the glomerular filtration barrier, passing into the tubules as part of the ultrafiltrate. Despite the fact that urea is a metabolic waste product and the body has no use for it, 40% to 70% of urea is reabsorbed passively into the medullary interstitium. Urea subsequently diffuses along its concentration gradient into the lumen fluid in the loops of Henle. When the lumen fluid enters the cortical

collecting tubules, water is reabsorbed in the presence of ADH, whereas urea is not. Any water reabsorption serves to concentrate further the amount of urea present in the lumen fluid. When the lumen fluid reaches the medullary collecting tubules, water (under ADH influence) and urea readily diffuse into the interstitium. In other words, the cortical epithelium of the collecting tubule is never permeable to urea, whereas the medullary epithelium is readily permeable. Therefore in the medullary collecting tubules, urea diffuses along its concentration gradient from the concentrated lumen fluid into the interstitium until the urea concentration in both of these areas is equal. As a result of this urea equilibrium, (1) the sodium salts in the hypertonic medulla osmotically balance the nonurea solutes in the final urine, and (2) the concentration of urea in the final urine is actually determined by urea itself. Another factor affecting urea concentration in the final urine is the urine flow rate. Slow urine flow rates of less than 2 mL/min cause a larger amount of urea to be reabsorbed, whereas flow rates exceeding 2 mL/min allow a constant but minimal amount of urea reabsorption.

In summary, the hypertonicity of the medullary interstitium results from the countercurrent multiplier mechanism and the urea cycle. The hypertonic medulla established by these two processes provides a massive osmotic force for water reabsorption from the collecting tubules and is regulated by the presence of ADH in response to bodily needs. Despite the fact that solutes such as sodium (under aldosterone control) can be selectively reabsorbed or excreted in the distal and collecting tubules, regulation of water content by the collecting tubules ultimately determines the concentration of the final urine excreted. Stated another way, as isotonic fluid from the distal tubule enters the collecting tubule, solute exchange may occur; however, the total number of solutes (i.e., osmoles) remains constant. It is the volume of water in which these solutes are excreted that varies. Therefore reabsorption of water by the collecting tubules under ADH

control determines whether a concentrated (hypertonic) or a dilute (hypotonic) final urine is produced.

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## STUDY QUESTIONS

1. Beginning with the glomerulus, number the following structures in the order in which the ultrafiltrate travels for processing and excretion in the kidney.
  - \_\_\_ A. Bladder
  - \_\_\_ B. Calyces
  - \_\_\_ C. Collecting tubule
  - \_\_\_ D. Distal tubule
  - \_\_\_ E. Glomerulus
  - \_\_\_ F. Juxtaglomerular apparatus
  - \_\_\_ G. Loop of Henle
  - \_\_\_ H. Proximal tubule
  - \_\_\_ I. Renal pelvis
  - \_\_\_ J. Ureter
  - \_\_\_ K. Urethra
2. How many nephrons are found in the average kidney?
  - A. 13,000
  - B. 130,000
  - C. 1.3 million
  - D. 13 million
3. Ultrafiltration of plasma occurs in glomeruli located in the renal
  - A. cortex.
  - B. medulla.
  - C. pelvis.
  - D. ureter.
4. Which component of the nephron is located exclusively in the renal medulla?
  - A. Collecting tubule
  - B. Distal tubule
  - C. Loop of Henle
  - D. Proximal tubule



5. Which of the following is not a vascular characteristic of the kidney?
  - A. The afferent arteriole has a narrower lumen than the efferent arteriole.
  - B. The arteries are primarily end arteries, supplying specific areas of tissue, and they do not interconnect.
  - C. The arterioles subdivide into a capillary network, rejoin as an arteriole, and subdivide into a second capillary bed.
  - D. The vasa recta vessels deep in the renal medulla form the beginning of the venous renal circulation.
6. Formation of the ultrafiltrate in the glomerulus is driven by the
  - A. hydrostatic blood pressure.
  - B. oncotic pressure of the plasma proteins.
  - C. osmotic pressure of the solutes in the ultrafiltrate.
  - D. pressures exerted by the glomerular filtration barrier.
7. Which of the following is a characteristic of renin, an enzyme secreted by specialized cells of the juxtaglomerular apparatus?
  - A. Renin stimulates the diffusion of urea into the renal interstitium.
  - B. Renin inhibits the reabsorption of sodium and water in the nephron.
  - C. Renin regulates the osmotic reabsorption of water by the collecting tubules.
  - D. Renin causes the formation of angiotensin and the secretion of aldosterone.
8. The glomerular filtration barrier is composed of the
  - A. capillary endothelium, basement membrane, and podocytes.
  - B. mesangium, basement membrane, and shield of negativity.
  - C. capillary endothelium, mesangium, and juxtaglomerular apparatus.
  - D. basement membrane, podocytes, and juxtaglomerular apparatus.
9. The ability of a solute to cross the glomerular filtration barrier is determined by its
  1. molecular size.
  2. molecular radius.
  3. electrical charge.
  4. plasma concentration.
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.
10. The epithelium characterized by a brush border owing to numerous microvilli is found in the
  - A. collecting tubules.
  - B. distal tubules.
  - C. loops of Henle.
  - D. proximal tubules.
11. The kidneys play an important role in the
  1. excretion of waste products.
  2. regulation of water and electrolytes.
  3. maintenance of acid-base equilibrium.
  4. control of blood pressure and fluid balance.
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.
12. What percent of the original ultrafiltrate formed in the urinary space actually is excreted as urine?
  - A. 1%
  - B. 10%
  - C. 25%
  - D. 33%
13. What differentiates tubular reabsorption from tubular secretion in the nephron?
  - A. The direction of movement of the substance being absorbed or secreted is different.
  - B. Reabsorption is an active transport process, whereas secretion is a passive transport process.
  - C. Cell membrane-binding sites are different for the reabsorption and secretion of a solute.
  - D. The location of the epithelium in the nephron determines which process occurs.
14. During tubular transport, the movement of a solute against a gradient
  - A. is called passive transport.
  - B. requires little to no energy.
  - C. involves specific cell membrane-binding sites.
  - D. may occur paracellularly—that is, between cells through intercellular spaces.
15. Substances bound to plasma proteins in the blood can be eliminated in the urine by
  - A. glomerular secretion.
  - B. glomerular filtration.
  - C. tubular secretion.
  - D. tubular reabsorption.
16. Which statement characterizes the ability of the renal system to regulate blood pH?
  - A. The renal system has a slow response with complete correction of the pH to normal.
  - B. The renal system has a fast response with complete correction of the pH to normal.
  - C. The renal system has a slow response with only partial correction of the pH toward normal.
  - D. The renal system has a fast response with only partial correction of the pH toward normal.
17. The kidneys excrete excess alkali (base) in the urine as
  - A. ammonium ions.
  - B. ammonium salts.
  - C. sodium bicarbonate.
  - D. titratable acids.

18. Which of the following substances is secreted into the tubular lumen to eliminate hydrogen ions?
- Ammonia ( $\text{NH}_3$ )
  - Ammonium ions ( $\text{NH}_4^+$ )
  - Disodium phosphate ( $\text{Na}_2\text{HPO}_4$ )
  - Monosodium phosphate ( $\text{NaH}_2\text{PO}_4$ )
19. Urine titratable acids can form when the ultrafiltrate contains
- ammonia.
  - bicarbonate.
  - phosphate.
  - sodium.
20. The renal threshold level for glucose is 160 to 180 mg/dL. This corresponds to the
- rate of glucose reabsorption by the renal tubules.
  - concentration of glucose in the tubular lumen fluid.
  - plasma concentration above which tubular reabsorption of glucose occurs.
  - plasma concentration above which glucose is excreted in the urine.
21. When too much protein is presented to the renal tubules for reabsorption, it is excreted in the urine because
- the renal threshold for protein has not been exceeded.
  - the maximal tubular reabsorptive capacity for protein has been exceeded.
  - protein is not normally present in the ultrafiltrate and cannot be reabsorbed.
  - the glomerular filtration barrier allows only abnormal proteins to pass.
22. More than 66% of filtered water, sodium, and chloride and 100% of filtered glucose, amino acids, and proteins are reabsorbed in the
- collecting tubules.
  - distal tubules.
  - loops of Henle.
  - proximal tubules.
23. Water reabsorption occurs throughout the nephron except in the
- cortical collecting tubules.
  - proximal convoluted tubules.
  - ascending limb of the loops of Henle.
  - descending limb of the loops of Henle.
24. The process solely responsible for water reabsorption throughout the nephron is
- osmosis.
  - the urea cycle.
  - the countercurrent exchange mechanism.
  - the countercurrent multiplier mechanism.
25. Hypertonicity of the renal medulla is maintained by
- the countercurrent multiplier mechanism.
  - the countercurrent exchange mechanism.
  - the urea cycle.
  - osmosis.
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
26. Which of the following is not a feature of the renal countercurrent multiplier mechanism?
- The ascending limb of the loop of Henle is impermeable to water.
  - The descending limb of the loop of Henle passively reabsorbs water.
  - The descending limb of the loop of Henle actively reabsorbs sodium and urea.
  - The fluid in the ascending and descending limbs of the loop of Henle flows in opposite directions.
27. The purpose of the renal countercurrent multiplier mechanism is to
- concentrate the tubular lumen fluid.
  - increase the urinary excretion of urea.
  - preserve the gradient hypertonicity in the medulla.
  - facilitate the reabsorption of sodium and chloride.
28. Which vascular component is involved in the renal countercurrent exchange mechanism?
- Afferent arteriole
  - Efferent arteriole
  - Glomerulus
  - Vasa recta
29. Antidiuretic hormone regulates the reabsorption of
- water in the collecting tubules.
  - sodium in the collecting tubules.
  - sodium in the distal convoluted tubule.
  - water and sodium in the loop of Henle.
30. Which of the following describes the tubular lumen fluid that enters the collecting tubule compared with the tubular lumen fluid in the proximal tubule?
- Hypo-osmotic
  - Isosmotic
  - Hyperosmotic
  - Counterosmotic
31. The final concentration of the urine is determined within the
- collecting ducts.
  - distal convoluted tubules.
  - loops of Henle.
  - proximal convoluted tubules.

# Renal Function and Assessment

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. Discuss renal tubular mechanisms that alter solute concentration and urine volume; use terms to describe volume variations.
2. State the solute composition of normal urine.
3. Differentiate between solute number and solute mass, and describe their effect on osmolality and specific gravity measurements.
4. Discuss the effects that diet, disease, and some exogenous substances (e.g., x-ray contrast media) have on measurements of urine concentration.
5. State the principle of the following osmometry methods:
  - Freezing point depression
  - Vapor pressure depression
6. Describe a protocol and one purpose for each of the following procedures:
  - Fluid deprivation test
  - Osmolar clearance determination
  - Free-water clearance determination
7. Calculate osmolar clearance and free-water clearance results using data provided.
8. Compare and contrast the creatinine clearance test and the inulin clearance test for assessment of glomerular filtration.
9. Describe a protocol for a creatinine clearance test and discuss factors that can influence the results obtained.
10. Calculate creatinine clearance and estimated glomerular filtration rate (eGFR) results using data provided.
11. Describe the p-aminohippurate clearance test for assessment of renal plasma flow.
12. Discuss briefly the relationship of renal tubular secretory function to the urinary excretion of acids.
13. Describe the oral ammonium chloride test for the assessment of tubular function.

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KEY TERMS<sup>1</sup>anuria (also called *anuresis*)

colligative property

creatinine clearance test

diuresis

free-water clearance (also called *solute-free water clearance*)

freezing point osmometer

glomerular filtration rate

isosthenuria

nocturia

oliguria

osmolality

osmolar clearance

polydipsia

polyuria

renal blood flow

renal clearance

renal plasma flow

specific gravity

vapor pressure osmometer

<sup>1</sup>Definitions are provided in the chapter and glossary.

## URINE COMPOSITION

The volume and solute composition of urine can vary greatly depending on an individual's diet, physical activity, and health. Because of these variables, normal (i.e., reference) urine values for each organic and inorganic component are difficult to establish. Urine is an ultrafiltrate of plasma with selected solutes reabsorbed, other solutes secreted, and the final water volume determined by the body's state of hydration. When an individual is healthy, the final urine contains the solutes the body does not need, diluted in the amount of water the body does not need. Excreted urine is normally 94% water and 6% solutes. The ability of the kidneys to alter the excretion of water and solutes (as discussed in [Chapter 3](#)) makes it the principal organ for regulating body fluids and their composition.

## URINE VOLUME

The total daily volume of urine excreted reflects the quantity of solutes ingested, the amount of fluid intake, and the activity of antidiuretic hormone (ADH) on urine excretion, as discussed in [Chapter 3](#). However, when renal and metabolic diseases are present, urine volume can decrease to zero output or can increase to as much as 15 times normal. Typically, urine volume varies from 600 to 1800 mL/day, with less than 400 mL excreted at night. When an individual excretes more than 500 mL of urine at night, the condition is termed **nocturia**. Although nocturia is a classic feature of renal disease, it also occurs with conditions characterized by reduced bladder capacity (e.g., pregnancy, bladder stones, prostate enlargement) or simply from excessive fluid intake at night.

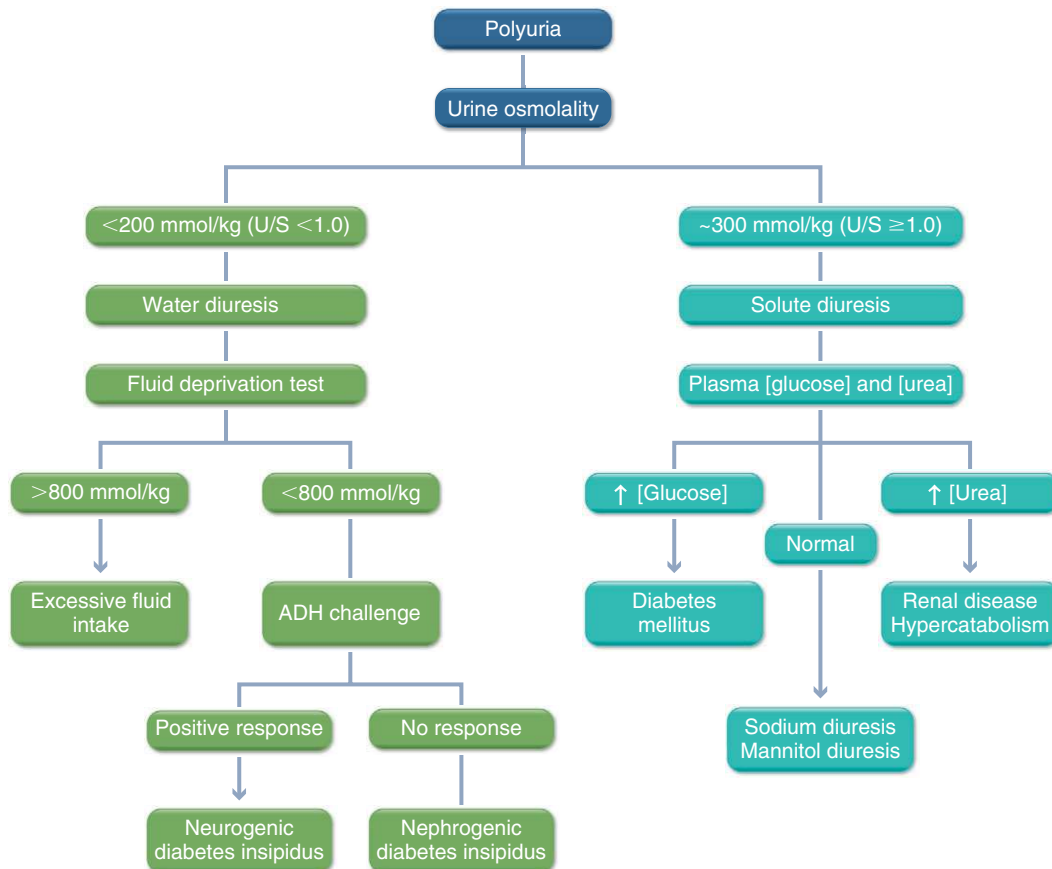
A person's diet, health, and exercise directly affect daily urine volume. An average daily load of solutes is 600 to 700 mOsm, which requires the kidneys to produce at least 500 mL of urine to eliminate them. The kidneys maintain a balance between fluid intake and urine excretion, but the control is one-sided. Any excess fluid ingested but not needed will be excreted as urine; however, the kidneys have a limited ability to compensate for lack of adequate fluid intake.

As the quantity of metabolic solutes that need elimination from the body increases, so does the volume of water required to excrete them. If the body lacks adequate hydration, these solutes accumulate in the body despite the best efforts of the kidneys to eliminate them.

Any increase in urine excretion (>1800 mL/day) is termed **diuresis**. However, when excretion is excessive and the volume exceeds 3 L in a day (>3 L/day), it is called **polyuria**. The causes of polyuria can be divided diagnostically into two types: (1) conditions with water diuresis (urine osmolality <200 mOsm/kg) and (2) conditions with solute diuresis (urine osmolality  $\geq$ 300 mOsm/kg).<sup>1</sup> [Fig. 4.1](#) provides a flow-chart for evaluating polyuria. Conditions characterized by water diuresis have a common link—ADH. With these disorders, ADH secretion is inadequate, or its action on the renal receptors is ineffective or inhibited. In contrast, conditions characterized by solute diuresis have no common feature but most often involve the solutes: glucose, urea, or sodium.

Diabetes mellitus and diabetes insipidus derive the name *diabetes* from the Greek word *diabainein*, which means “to pass through or siphon” and refers to the copious amount of urine produced by individuals with these disorders. Despite being entirely different conditions, both are characterized by intense thirst (polydipsia) and the excretion of large volumes of urine (polyuria). Diabetes mellitus, a disorder of carbohydrate metabolism, results from inadequate secretion or utilization of insulin, resulting in the excretion of glucose in urine (i.e., solute diuresis). Diabetes insipidus is a disorder characterized by decreased production or function of ADH, which results in an inability to control the excretion of water in urine (i.e., water diuresis). [Table 4.1](#) summarizes conditions of water and solute diuresis that can result in polyuria.

**Oliguria** is a decrease in urine excretion (<400 mL/day) that can be caused by simple water deprivation or from dehydration due to excessive sweating, diarrhea, or vomiting. Essentially, any condition that decreases the blood supply to the kidneys can cause oliguria and eventually anuria if not corrected. Oliguric urines have an elevated specific gravity (SG;  $\approx$ 1.030) because the kidneys maximally excrete solutes into the decreased water available, forming a concentrated



**FIG. 4.1** A flowchart for the evaluation of polyuria. ADH, Antidiuretic hormone; U/S, urine-to-serum osmolality ratio. (Redrawn from Walmsley RN, White GH: *A guide to diagnostic clinical chemistry*, Melbourne, 1983, Blackwell Science.)

urine. Oliguria can occur in conditions characterized by edema or conditions where plasma protein is lost and water shifts from the intravascular (bloodstream) to the extravascular (tissue) compartment. Oliguria also develops with various renal diseases, ranging from urinary tract obstruction to end-stage renal disease. Note that the decreased urine volume excreted does not allow the elimination of a normal daily solute load and, if prolonged, death can occur.

**Anuria** is the complete lack of urine excretion. It is fatal if not immediately addressed because of the accumulation of toxic metabolic byproducts in the body. Anuria usually develops gradually after an initial presentation of oliguria but can occur suddenly (e.g., acute decrease in renal perfusion). Basically, any condition or disease—chronic or acute—that destroys functioning renal tissue can result in anuria. Principal among these are conditions that decrease the blood supply to renal tissue causing ischemia, such as hypotension, hemorrhage, shock, and heart failure. Toxic chemicals and nephrotoxic antibiotics can induce acute tubular necrosis, leading to loss of functional renal tissue and anuria (or oliguria). In addition, hemolytic transfusion reactions as well as urinary tract obstructions can result in anuria.

In conclusion, urine volume measurements are not performed routinely. Although this information can serve as a valuable diagnostic aid, urine volume is usually determined with timed urine collections (e.g., every 24 h, 12 h) that are

subsequently used to calculate the concentration of specific urine solutes or assess renal function (e.g., the glomerular filtration rate, GFR). The terms *polyuria*, *oliguria*, and *anuria* are usually assigned on the basis of a patient's health history and clinical observation, and are not based on timed urine collections. [Table 4.1](#) lists urine volume terms, definitions, and possible causes.

## SOLUTE ELIMINATION

Besides its role in selectively conserving electrolytes and water, renal excretion is the primary mode for elimination of soluble metabolic waste (e.g., organic acids and bases) and exogenous substances (e.g., radiographic contrast media and drugs). Carbon dioxide and water, the normal byproducts of carbohydrate and triglyceride metabolism, do not require renal excretion. In contrast, the metabolism of proteins and nucleic acids yields soluble substances such as urea, creatinine, uric acid, and other inorganic solutes that can only be eliminated from the body in the urine. Of these substances exclusively excreted by the kidneys, urea and creatinine in particular provide a means of monitoring and evaluating renal function, specifically glomerular filtration. In addition, because of their characteristically high concentrations in urine, creatinine and urea provide a means of positively distinguishing urine from other body fluids.



**TABLE 4.1 Urine Volume, Terms, and Clinical Correlations**

Urine Volume and Associated Terms	Clinical Correlation
600–1800 mL/day (normal)	Urine osmolality: 275–900 mOsm/kg; varies with diet, hydration, and exercise
>1800 mL/day (diuresis); when >3000 mL/day (polyuria)	<p><i>Solute</i> diuresis: urine osmolality 300 ± 50 mOsm/kg</p> <ul style="list-style-type: none"> <li>• Glucose <ul style="list-style-type: none"> <li>• Diabetes mellitus</li> </ul> </li> <li>• Sodium <ul style="list-style-type: none"> <li>• Increased intake</li> <li>• Diuretic therapy</li> <li>• Renal salt-losing disorders</li> </ul> </li> <li>• Urea <ul style="list-style-type: none"> <li>• Hypercatabolic states</li> <li>• Chronic renal failure</li> <li>• Post-obstructive nephropathy</li> <li>• Post-acute tubular necrosis</li> </ul> </li> </ul> <p><i>Water</i> diuresis: urine osmolality ≤200 mOsm/kg</p> <ul style="list-style-type: none"> <li>• ADH secretion decreased or inhibited <ul style="list-style-type: none"> <li>• Excessive fluid intake (physiologic) <ul style="list-style-type: none"> <li>– IV administration</li> <li>– Compulsive water intake</li> </ul> </li> <li>• Neurogenic diabetes insipidus</li> <li>• Alcohol ingestion</li> </ul> </li> <li>• Ineffective response by kidneys to ADH—nephrogenic <ul style="list-style-type: none"> <li>• Congenital nephrogenic diabetes insipidus</li> <li>• Acquired nephrogenic diabetes insipidus <ul style="list-style-type: none"> <li>– Renal diseases</li> <li>– Drugs—lithium</li> </ul> </li> </ul> </li> </ul>
<400 mL/day (oliguria)	<p>Decreased renal blood flow</p> <ul style="list-style-type: none"> <li>• Dehydration, water deprivation</li> <li>• Shock, hypotension</li> </ul> <p>Renal disease</p> <ul style="list-style-type: none"> <li>• Urinary tract obstruction</li> <li>• Renal tubular dysfunction</li> <li>• End-stage renal disease</li> <li>• Nephrotic syndrome</li> </ul> <p>Edema</p>
No urine excreted (anuria)	<p>Acute renal failure</p> <ul style="list-style-type: none"> <li>• Ischemic causes—shock (severe hypotension), heart failure, hemorrhage</li> <li>• Nephrotoxic causes—drugs, toxic agents</li> </ul> <p>Urinary tract obstruction</p> <p>Hemolytic transfusion reactions</p>

ADH, Antidiuretic hormone.

**TABLE 4.2 Composition of Selected Components in an Average 24-Hour Urine Collection**

Component	Average Amount, mmol	Average Mass, mg
Water (1.2L <sup>a</sup> )	67,000.00	1,200,000.0
Urea	400.00	24,000.0
Chloride	185.00	6570.0
Sodium	130.00	2990.0
Potassium	70.00	2730.0
NH <sub>4</sub>	40.00	720.0
Inorganic PO <sub>4</sub>	30.00	2850.0
Inorganic SO <sub>4</sub>	20.00	1920.0
Creatinine	11.80	1335.0
Uric acid	3.00	505.0
Glucose	0.72	130.0
Albumin	0.001	90.0

<sup>a</sup>Average 24-hour urine volume with a glomerular filtration rate of 125 mL/min.

Table 4.2 compares the amounts and masses of the principal urine components. Note that the amount of a component in millimoles relates to the number of particles present, whereas the mass indicates the “weight” of the component. For example, the number of inorganic phosphate molecules present is less than that of potassium molecules; however, the mass of inorganic phosphate present is actually greater than that of potassium. The importance of making this distinction between the number of solute particles present and the mass of particles present relates directly to osmolality and SG measurements, respectively. These measurements are used to assess the quantity of solutes present in urine, which reflects the ability of the kidneys to produce a concentrated urine.

## URINE CONCENTRATION AND MEASUREMENT

Urine concentration is an expression of the amount of solutes (i.e., molecules in solution) relative to the volume of water they reside in. The concentration of urine will vary with an individual’s diet, exercise, and health as the amount and type of solutes as well as the volume of water available for their excretion will differ. Concentration is a physical characteristic of urine that can be measured and expressed by parameters such as osmolality and SG.

### Osmolality

**Osmolality** is the concentration of a solution expressed in osmoles of solute particles per kilogram of solvent. An *osmole* (Osm) is defined as the amount of a substance that dissociates to produce 1 mole of particles ( $6.023 \times 10^{23}$  particles, Avogadro’s number) in a solution. Because the osmolality of biological fluids (urine, serum) is very low, the term *milliosmole* (mOsm) is the unit of choice. A mOsm is 1 millimole

(mmol) of particles “in solution.” Because osmolality is directly related to particle number, a solute that dissociates in solution will produce a higher osmolality than a solute that does not dissociate. For example, glucose (MW 180) in solution does not dissociate; hence 1 mmol of glucose (180 mg) produces 1 mmol of particles, or 1 mOsm. In contrast, sodium chloride (NaCl) in solution dissociates into two particles: Na<sup>+</sup> ions and Cl<sup>-</sup> ions; hence 1 mmol (58 mg) of NaCl produces 2 mmol of particles in solution, or 2 mOsm. Note that the molecular weight of the solute does *not* play a role in osmolality. Despite the larger molecular weight of glucose (MW 180), the osmolality of a molar-equivalent sodium chloride (MW 58) solution will be approximately double that of the glucose solution. In urine, the solvent is water and the solute particles are those molecules that remain in the final ultrafiltrate after passage through the nephrons.

The initial ultrafiltrate in Bowman’s space has almost the same solute composition as the plasma. It only lacks albumin and other high-molecular-weight solutes in the bloodstream that could not pass through the glomerular filtration barrier. Note that the amount of these large solutes in the ultrafiltrate are very small in number compared with the total number of solutes that freely pass the barrier (see Table 4.2). Therefore, in Bowman’s space, the osmolality of the initial filtrate is the *same* as that of the plasma ( $\approx 300$  mOsm) and is termed *isosmotic*. As discussed in Chapter 3, the solute composition (concentration and type) in the filtrate changes continuously throughout its passage through the tubules of the nephron. In contrast, the osmolality remains unchanged (isosmotic at  $\approx 300$  mOsm) until the filtrate reaches the thin descending limb of the loop of Henle (Fig. 4.2). Here, the countercurrent multiplier mechanism causes the filtrate in the loops of Henle to become progressively hyperosmotic in the descending limb and then hypo-osmotic in the ascending limb. When the filtrate enters the distal tubules, it is slightly hypo-osmotic ( $\approx 100$  mOsm).

The final osmolality of urine is determined in the distal tubules and collecting ducts. The surrounding medullary interstitium has a hypertonic concentration gradient that facilitates the reabsorption of water when ADH is present. In the distal tubules with ADH present, the filtrate again becomes isosmotic ( $\approx 300$  mOsm), matching the hypertonicity of the cortical interstitium (see Fig. 4.2). As the filtrate passes through the collecting tubules, the osmolality of the filtrate can continue to increase (as water is absorbed) until it matches that of the surrounding hypertonic medullary interstitium, provided ADH is present. Note that the maximum urine osmolality possible—1200 to 1400 mOsm/kg—is determined by the osmolality of the medullary interstitium. The collecting tubules do not exchange solutes but can *passively* reabsorb water until an osmotic equilibrium is attained.

The osmolality of a random urine specimen can be as low as 50 mOsm/kg or as high as 1400 mOsm/kg. Under normal circumstances, urine osmolality ranges from one to three times (275–900 mOsm/kg) that of serum (275–300 mOsm/kg). The urine-to-serum osmolality (U/S) ratio is a good indicator of the ability of the kidneys to concentrate the urine.

In normal individuals with an average fluid intake, the U/S ratio is between 1.0 and 3.0.

It is important to note that in the bloodstream, the plasma osmolality remains relatively constant. In contrast, urine osmolality can vary greatly as the kidneys excrete unwanted solutes in the volume of water that the body does not need. With a typical American diet, 100 to 1200 mOsm of solutes require elimination each day. Other diets higher in salt and protein require a larger volume of water to excrete the increased solute load. Some disorders (e.g., diabetes mellitus) can produce as much as 5000 mOsm/day of solutes for elimination. Consequently, a large volume of water is required to excrete them in the urine. Because the kidneys have no direct means of replacing excessive water loss, adequate fluid intake is mandatory. These individuals experience intense thirst, known as **polydipsia**. It is only with an increased water intake that these individuals are able to excrete the excessive load of solutes.

### Osmolality Methods

Osmolality is determined by measuring a **colligative property** of the sample. Colligative properties of a solution depend only on the number of solute particles present. Particle size and ionic charge have no effect; only the number of particles present as ions or as undissociated molecules in the solution affects colligative properties. The four colligative properties are (1) depression of freezing point, (2) depression of vapor pressure, (3) elevation in osmotic pressure, and (4) elevation of boiling point. These properties are interrelated, and the value of one can be used to calculate each of the others. In the clinical laboratory, freezing point depression osmometry predominates for several reasons. This method can be used to detect the presence of volatile solutes (e.g., ethanol, methanol, ethylene glycol) and results are accurate even with lipemic serum samples.<sup>2</sup> Table 4.3 summarizes osmolality methods and their limitations.

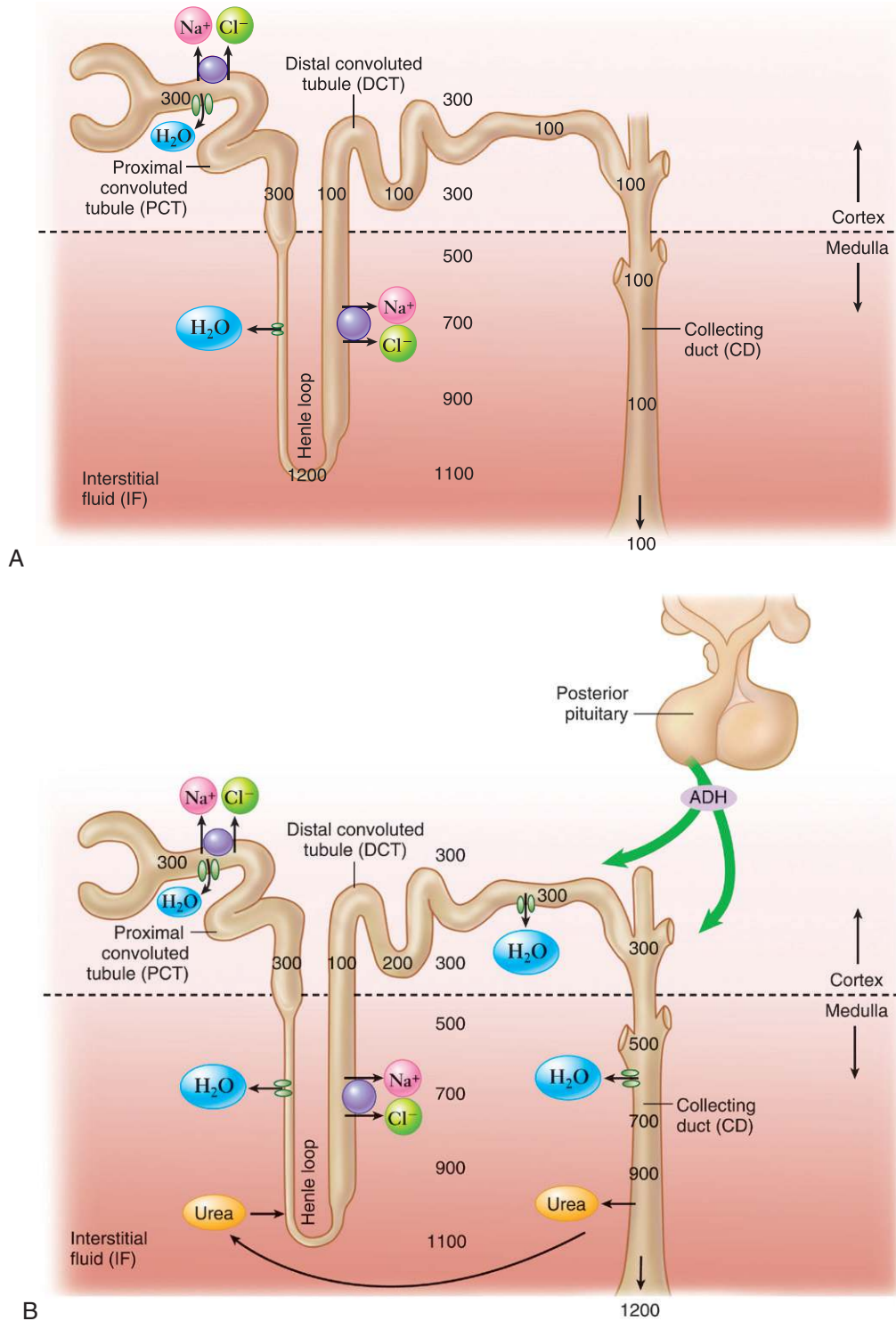
### Freezing Point Osmometry

A contemporary **freezing point osmometer** consists of four principal components: (1) a mechanism to supercool the sample slowly to about  $-7^{\circ}\text{C}$ , (2) a thermistor to monitor the temperature of the sample, (3) a means to initiate freezing (or “seeding”) of the sample, and (4) a direct readout display.

**TABLE 4.3 Osmolality Methods and Limitations**

Method	Limitations
Freezing point depression	No limitations; all solutes contribute equally to result obtained; time-consuming compared with SG methods
Vapor pressure depression	Does not detect volatile solutes (e.g., ethanol, methanol, ethylene glycol); time-consuming compared with SG methods

SG, Specific gravity.



**FIG. 4.2** (A) Production of hypotonic urine. Hypotonic urine is produced by a nephron by the mechanism shown here. The isotonic (300 mOsm) tubule fluid that enters the Henle loop becomes hypotonic (100 mOsm) by the time it enters the distal convoluted tubule. The tubule fluid remains hypotonic as it passes through remaining portions of the nephron, where the walls of the distal tubule and collecting duct are impermeable to  $\text{H}_2\text{O}$ ,  $\text{Na}^+$ , and  $\text{Cl}^-$ . Values are expressed in milliosmoles. (B) Production of hypertonic urine. Hypertonic urine can be formed when antidiuretic hormone (ADH) is present. ADH, a posterior pituitary hormone, enables water reabsorption by the distal tubule and collecting duct. Thus, hypotonic (100 mOsm) tubule fluid leaving the Henle loop can equilibrate first with the isotonic (300 mOsm) interstitial fluid (IF) of the cortex, then with the increasingly hypertonic (400 to 1200 mOsm) IF of the medulla. As  $\text{H}_2\text{O}$  leaves the collecting duct by osmosis, the filtrate becomes more concentrated with the solutes left behind. The concentration gradient causes urea to diffuse into the IF, where some of it is eventually picked up by tubule fluid in the descending limb of the Henle loop (*long arrow*). This countercurrent movement of urea helps maintain a high solute concentration in the medulla. Values are expressed in milliosmoles. (From Patton KT, Thibodeau GA: *Anatomy and physiology*, ed 9, St. Louis, 2016, Mosby.)

The specimen, urine or serum, in a sample chamber is placed into the osmometer. The instrument begins the cooling sequence depicted in Fig. 4.3. The initial supercooling process (segment AB, Fig. 4.3) proceeds slowly to prevent premature freezing of the sample. As the sample temperature approaches  $-7^{\circ}\text{C}$ , freezing of the sample is induced (seeded) by the instrument (point B). As ice crystals form, the heat of fusion released to the sample (segment BC) is detected by a thermistor. The sample temperature increases until an equilibrium between the solid (ice) and liquid phases is reached, which by definition is the freezing point (segment CD). This temperature plateau is maintained for approximately 1 minute or longer before it again decreases (segment DE). Using the freezing point obtained, the instrument calculates and then displays the osmolality of the sample using the proportionality formula given in Equation 4.1.

$$\frac{1000 \text{ mOsm particles}}{-1.86^{\circ}\text{C}} = \frac{x \text{ mOsm particles}}{\text{measured freezing point of sample}}$$

Equation 4.1

Measurement of freezing point depression is based on the fact that pure water freezes at  $0^{\circ}\text{C}$ , and that adding 1 mole (1000 mOsm) of solute particles to 1 kg pure water causes the freezing point to decrease by  $1.86^{\circ}\text{C}$ . This relationship is constant and enables the use of a simple proportionality formula. For example, assume that the thermistor probe measures the freezing point of a urine specimen as  $-1.20^{\circ}\text{C}$ . By inserting this value into the equation and solving for  $x$ , the osmolality of the urine sample is found to be 645.2 mOsm/kg. To achieve the precision of  $\pm 2$  mOsm/kg, as is seen with freezing point osmometers, accurate temperature measurements are crucial. The thermistor obtains these temperature measurements accurately and rapidly.

For osmolality results to be read directly from the instrument readout, the microprocessor of the osmometer must be calibrated using sodium chloride standard solutions of known osmolality. These sodium chloride solutions are available commercially in concentrations ranging from 50 to 2000 mOsm or are prepared by the laboratory. After calibration, the osmometer measures the freezing point, converts it to the corresponding osmolality value, and displays it on the direct readout.

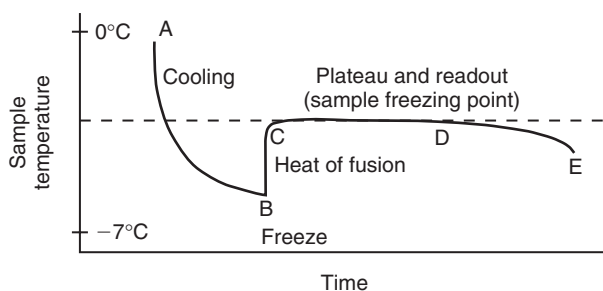


FIG. 4.3 A time-temperature curve during freezing point depression osmometry.

The sample size necessary for osmolality determinations varies from 20  $\mu\text{L}$  to 2.0 mL, depending on the osmometer used. A problem occasionally encountered with freezing point osmometry is premature freezing, which can be caused by particulate matter in the sample that prevents proper supercooling. This is usually overcome by simply repeating the determination.

### Vapor Pressure Osmometry

Another instrument that can be used to determine osmolality is the **vapor pressure osmometer**. This instrument indirectly measures the decrease in vapor pressure caused by solutes in a sample. The smaller sample size (7  $\mu\text{L}$ ) is advantageous; however, because of its inability to detect volatile solutes, vapor pressure osmometers are usually not used in clinical laboratories. See Table 4.3 for a summary of osmolality methods and their limitations.

In conclusion, osmolality and SG are expressions of urine concentration. Heavy molecules such as glucose, protein, and radiographic media significantly affect SG measurements but do not affect osmolality measurements because the amount of these substances is insignificant compared with the total number of other solutes present. Because all solutes contribute equally to osmolality regardless of their molecular size, osmolality is considered a better and more accurate assessment of solute concentration in serum and urine.

### Specific Gravity

**Specific gravity** (SG) is another expression of solute concentration. It relates the density of urine to the density of an equal volume of pure water. Because SG is a ratio comparing the mass of the solutes present in urine to pure water, urine SG measurements are always greater than 1.000.

The initial ultrafiltrate (i.e., protein-free plasma) that forms in Bowman's space has an SG of 1.010. As the filtrate passes through the tubules, the SG changes as solute exchange and water absorption occur. Urine SG values indicate whether the plasma ultrafiltrate was concentrated ( $\text{SG} > 1.010$ ) or diluted ( $\text{SG} < 1.010$ ) during its passage through the nephrons. Normally, urine SG values range from 1.002 to 1.035. Urine specimens with an SG less than 1.010 are termed *hyposthenuric*, whereas those with an SG greater than 1.010 are termed *hypersthenuric*. Note that these are simply descriptive terms regarding urine solute concentration.

Occasionally, urine specimens will have an extremely high SG value ( $\geq 1.050$ ) by refractometry that appears to exceed values that are *physiologically* possible ( $\approx 1.040$ ). This can occur when a high-molecular-weight substance, such as radiopaque contrast media (x-ray dye) or mannitol is present in the urine specimen. These exogenous substances are infused into patients and excreted in the urine. The high SG values obtained do not suggest a disorder or disease process; rather they indicate interference with the refractometry SG method. If the reagent strip SG method is used, the SG will reside within the physiologic range. Similarly, if the osmolality is determined on these urine specimens, the results will not be abnormal because osmolality values are affected only by the "number" of solutes present. In these specimens, the number of high-molecular-weight solutes is too few compared with the total number of other solutes in



the urine (e.g., sodium, urea). In other words, the mass of these solutes present is significant and affects the urine SG by refractometry, but their number is too small to significantly affect the urine osmolality. These urine specimens are considered contaminated and unacceptable for analysis. A new specimen should be collected after a suitable time has passed (~8 hours for imaging agents) to allow for complete elimination of the imaging agent or other exogenous substance.

### Osmolality Versus Specific Gravity

Because density depends on two variables—the number of solutes present and their relative mass—the relationship of SG to osmolality is close but not linear (see Fig. 4.4). This relationship is relatively constant in health. However, with some conditions this relationship is nonexistent, as high-molecular-weight solutes such as glucose, urea, or proteins are being excreted in the urine. Table 4.4 shows SG values of water with NaCl, urea, and glucose added. Each solute addition represents essentially the *same number of particles* added to the solution—approximately  $5.2 \times 10^{23}$  particles. In each solution, despite the presence of the *same number* of particles, the values for “true” density and SG by refractive index differ, demonstrating the effect of solute mass. In other words, the presence of large-molecular-weight solutes (e.g., glucose, protein, radiographic media) will dramatically increase urine density more so than an equivalent number of small-molecular-weight solutes such as sodium or chloride ions. Note that this effect is not observed with the reagent strip SG method, which detects only ionized solutes.

In summary, osmolality and SG are physical properties used to assess urine concentration. Osmolality measurements detect only the number of solutes present, regardless of solute type or mass. In contrast, SG determined by refractometry reflects the collective number and mass of solutes in the urine, whereas the reagent strip SG method detects only ionic solutes. See Chapter 5 for a detailed discussion of the SG methods most commonly used when performing a routine urinalysis.

## ASSESSMENT OF RENAL CONCENTRATING ABILITY/TUBULAR REABSORPTIVE FUNCTION

### Osmolality and Specific Gravity

Osmolality or SG measurements can be used to demonstrate that the renal tubules are able to conserve water—a tubular reabsorptive function—and produce concentrated urine.

A urine specimen is considered “concentrated” when the osmolality is greater than 800 mOsm/kg or the SG is greater than 1.025.

Although SG determinations are easier and require less time to perform, osmolality determinations are preferred when evaluating renal concentrating ability. Osmolality measurements are considered a more accurate assessment because, as previously discussed, each solute particle contributes equally to the osmolality value. In contrast, SG measurements are a density comparison that is affected more by some solutes than others. Recall from Table 4.2 that the three most prevalent urine solutes are urea, chloride, and sodium. Chloride and sodium are reabsorbed *selectively* throughout the nephrons by active and passive tubular transport mechanisms. Therefore, monitoring the concentration of chloride and sodium in urine reveals the kidney’s ability to process and concentrate the ultrafiltrate. In contrast, urea is not an accurate indicator of the kidney’s ability to concentrate urine because it is only passively processed in the nephrons (i.e., urea cycle), and the magnitude of this exchange varies owing to several factors (e.g., tubular flow rate).

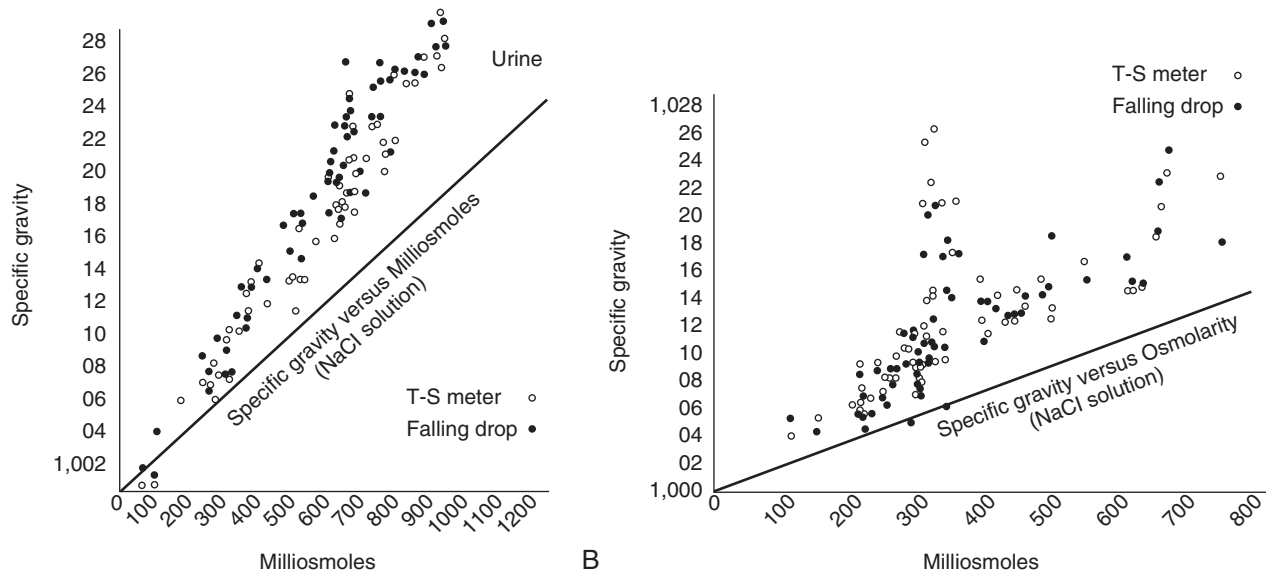
Another reason that osmolality determinations are better than SG determinations for assessing the concentrating ability of the kidneys is that small quantities of high-molecular-weight solutes (e.g., glucose, protein) will affect SG measurements but do not affect osmolality measurements. Glucose and protein are solutes that are actively and essentially completely reabsorbed in the proximal tubules. It is important to note that their presence in urine indicates a disease process, not a change in kidney function (i.e., concentrating ability). In contrast to SG measurements, the osmolality value of urine is not affected by the presence of glucose and protein. In such urine, the density (SG) is significantly increased because of the high mass of glucose and protein molecules, but the actual increase in particle numbers is small compared with the total solutes present (Fig. 4.4). Another advantage of osmolality measurements is that results increase or decrease in direct proportion to the solute number, regardless of the solute type.

With some chronic renal diseases, the renal tubules are no longer able to selectively reabsorb and secrete solutes and water from the ultrafiltrate as it passes through the nephron, and the solute concentration remains unchanged. Consequently, regardless of the patient’s hydration status, the urine osmolality and SG are the same as that of the initial ultrafiltrate in Bowman’s space—namely, the urine has a fixed SG of 1.010 and an osmolality of approximately 300 mOsm/kg

TABLE 4.4 Comparison of Specific Gravities of Different Solutions

Solution	SOLUTE CHARACTERISTIC		SPECIFIC GRAVITY		
	Number of Solute Particles Added	Amount of Solute, mol/L	“True” Density	Refractive Index	Ionic (Reagent Strip)
Water	0.0	0.0	1.000	1.000	1.000
Water and NaCl	$5.2 \times 10^{23}$	0.43	1.017	1.012	1.005
Water and urea	$5.2 \times 10^{23}$	0.86	1.021	1.020	1.000
Water and glucose	$5.2 \times 10^{23}$	0.86	1.056	>1.050	1.000





**FIG. 4.4** A comparison of urine specific gravity and urine osmolality. Specific gravity measurements were determined by a direct method (falling drop) and an indirect method (refractometry). The straight lines represent the specific gravity and osmolality results obtained with solutions of varying sodium chloride concentrations. (A) A comparison of urines obtained from healthy medical students. (B) A comparison of urines obtained from patients on renal service. (From Holmes JH: *Workshop on urinalysis and renal function studies*, Chicago, 1962, American Society of Clinical Pathologists. Used with permission.)

(i.e., the same as protein-free plasma). **Isosthenuria** is the term used to describe an unchanging SG (or osmolality) regardless of hydration. It implies significant renal tubular dysfunction, is a feature of end-stage renal disease, and is also associated with polyuria and *nocturia*—excessive urination at night.

In summary, SG and osmolality are nonspecific tests used to determine the concentration of urine. They can only suggest or support a suspected decrease in renal function. The underlying problem—whether it is renal disease, diabetes insipidus, overhydration, or the effect of diuretic therapy—cannot be discerned using these tests.

### Fluid Deprivation Tests

Polyuria due to water diuresis can result from excessive water intake or from disorders involving ADH (vasopressin) see [Table 4.1](#). When ADH production or secretion is defective, this indicates *neurogenic* diabetes insipidus. When the problem is lack of renal response to ADH, it is called *nephrogenic* diabetes insipidus. To differentiate the cause of water diuresis, a fluid deprivation test can be performed.

A fluid deprivation test evaluates the ability of renal tubular cells to selectively absorb and secrete solutes. In other words, it assesses the renal concentrating ability of the kidneys. During this test, water consumption by the patient is restricted and the concentration of the urine is evaluated at timed intervals. In a typical protocol, the patient eats a normal evening meal, and then from 6 PM until 8 AM the next day he or she drinks no water or other fluids. At 8 AM, a urine specimen is collected and the osmolality determined. If the urine osmolality is greater than 800 mOsm/kg, the renal concentrating ability of the kidneys is considered normal and the test is ended.

If the osmolality is less than 800 mOsm/kg, fluid deprivation continues. At 10 AM, both serum and urine specimens are collected for osmolality determinations. If the urine osmolality is greater than 800 mOsm/kg or the U/S ratio is greater than 3.0, normal renal concentrating ability is demonstrated and the test is ended. If neither condition is met, ADH (vasopressin) is administered subcutaneously, and at 2 PM and 6 PM serum and urine specimens are collected for osmolality testing. Note that regardless of a patient's response, the test is terminated at 6 PM (i.e., after 24 hours). A positive response to ADH administration is a urine osmolality greater than 800 mOsm/kg or a U/S ratio of 3.0 or greater. These results indicate that the patient's kidneys can respond to ADH but that inadequate ADH is produced by the patient (i.e., a neurogenic problem). In contrast, a negative response to ADH indicates a nephrogenic problem—the renal receptors for ADH are dysfunctional (see [Fig. 4.1](#)).

Other tests that assess renal concentrating ability use urine SG measurements. In the Fishberg concentration test, the patient undergoes the same fluid deprivation regimen as previously described. At each timed interval, a urine specimen is collected and the SG determined. If the urine SG becomes 1.025 or greater, renal concentrating ability is normal and the test ends.

Differing from the tests already discussed, Mosenthal's test allows patients to maintain their normal diet and fluid intake and requires a special 24-hour urine collection. The 24-hour collection is unique in that it is collected as two separate 12-hour urine collections—a 12-hour day portion and a 12-hour night portion. The volume and SG of each 12-hour urine collection are determined. A normal Mosenthal's test is indicated by a daytime urine volume that exceeds the

nighttime volume and by a nighttime urine SG that is 1.020 or greater.

### Osmolar and Free-Water Clearance

Just as simultaneous measurement of serum and urine osmolality can aid a clinician in the differential diagnoses of disease (e.g., neurogenic diabetes insipidus versus nephrogenic diabetes insipidus), determining the quantity of water and solutes not reabsorbed by the kidneys has diagnostic value. This is done by measuring the renal clearance of “solutes” and comparing it with the renal clearance of “solute-free” water. To do so requires the osmolality from a timed urine collection and a corresponding serum specimen. The ratio of urine osmolality to serum osmolality multiplied by the timed urine volume gives the **osmolar clearance**, designated  $C_{Osm}$ :

$$C_{Osm} \text{ (mL plasma per minute)} = \frac{U_{Osm} \text{ (mOsm/kg)}}{S_{Osm} \text{ (mOsm/kg)}} \times V \text{ (mL/min)} \quad \text{Equation 4.2}$$

where  $U$  is the urine osmolality,  $V$  is the volume of urine excreted per minute, and  $S_{Osm}$  is the serum osmolality. The osmolar clearance ( $C_{Osm}$ ) is the volume of plasma water (in mL) cleared by the kidneys each minute. Fasting osmolar clearance values normally vary from 2 to 3 mL/min and do not depend on urine flow.<sup>3</sup>

The total volume ( $V$ ) of urine excreted by the kidneys actually consists of two separate volumes: osmolar clearance water ( $C_{Osm}$ ) and solute-free water ( $C_{H_2O}$ ).

$$V \text{ (mL/min)} = C_{Osm} \text{ (mL/min)} + C_{H_2O} \text{ (mL/min)} \quad \text{Equation 4.3}$$

The osmolar clearance water ( $C_{Osm}$ ) is the volume of water required to eliminate the solutes from the plasma, whereas the solute-free water ( $C_{H_2O}$ ) is additional water that exceeds bodily needs, is retained in the tubules, and is eliminated in the urine.

From Equation 4.2, it is evident that for urine to be isotonic with the plasma ( $U_{Osm} = S_{Osm}$ ), the total urine volume ( $V$ ) must equal the osmolar clearance volume ( $V = C_{Osm}$ ). When this occurs, the solute-free water clearance ( $C_{H_2O}$ ) is zero. The solute-free or **free-water clearance** ( $C_{H_2O}$ ) can be determined by rearrangement.

$$C_{H_2O} \text{ (mL/min)} = V \text{ (mL/min)} - C_{Osm} \text{ (mL/min)} \quad \text{Equation 4.4}$$

When urine is dilute because of water diuresis, the  $U_{Osm}$  is less than the  $S_{Osm}$  and the total urine volume ( $V$ ) cleared is greater than the osmolar clearance water ( $C_{Osm}$ ). Consequently, the solute-free water clearance ( $C_{H_2O}$ ) is a positive number, indicating that excess water is eliminated and the urine is hypo-osmotic or hypotonic. When the  $U_{Osm}$  is greater than the  $S_{Osm}$ , as occurs with dehydration, the total

urine volume ( $V$ ) cleared is less than the osmolar clearance water, and the solute-free water clearance is a negative number. A negative free-water clearance indicates that the kidneys are reabsorbing water and producing urine that is hyperosmotic or hypertonic.

It is important to keep in mind that the solute principally responsible for the osmolality of urine is urea, whereas in serum it is primarily due to sodium and chloride ions. Therefore, the applicability of osmolar and free-water clearances is limited to indicating urine solute concentration and volume. These clearances have no value in determining the cause of polyuria or diuresis.<sup>4</sup>

In summary, to maintain the body's overall fluid homeostasis, the kidneys manipulate the amount of water excreted. A required amount of water is needed to eliminate unwanted solutes, and any additional water can be eliminated or reabsorbed. The ultimate goal of the kidneys—to maintain a normal plasma osmolality—is achieved by the selective elimination and reabsorption of solutes and water.

## ASSESSMENT OF GLOMERULAR FILTRATION

### Renal Clearance

For the kidneys to remove metabolic waste and selectively reabsorb solutes and water, they require adequate **renal plasma flow** (RPF) through the glomeruli. The RPF determines the amount of plasma ultrafiltrate processed by the nephrons of the kidneys. The volume of renal plasma filtered by the glomeruli directly affects the volume and composition of the urine excreted. The portion of the plasma that does not pass the glomerular filtration barriers flows into the peritubular capillaries, where the renal tubules actively and selectively remove some plasma solutes for excretion. When a solute is filtered but is not secreted or absorbed by the nephrons, its concentration in the urine can be correlated with the renal processing of a volume of plasma. Referred to as **renal clearance**, this is the volume of plasma in milliliters that is completely cleared of a substance per unit of time.

Because renal disease is often a slow process and significant amounts of renal tissue can become nonfunctional before detection of disease, renal clearance tests provide a rapid and relatively easy way to assess a patient's renal status. By measuring the concentration of a substance in the plasma and in a timed urine specimen, the ability of the kidneys to remove the substance from the blood can be determined. This capacity is the renal clearance ( $C$ ) and is determined as follows:

$$C \text{ (mL/min)} = \frac{U \text{ (mg/dL)} \times V \text{ (mL/min)}}{P \text{ (mg/dL)}} \quad \text{Equation 4.5}$$

$V$  is the volume of urine excreted in the timed collection (mL/min),  $U$  is the urine concentration of the substance (e.g., mg/dL) in the timed collection,  $P$  is the plasma (or serum) concentration of the substance (e.g., mg/dL) collected during

the timed interval, and C is the renal clearance of the substance (mL/min). Note that the units for the urine and plasma concentrations *must be the same* to cancel each other out in the renal clearance equation. A 24-hour timed collection is preferred for most substances and is mandatory for those analytes or physiologic functions that demonstrate a diurnal variation.

### Clearance Tests

A clearance test can aid in the evaluation of glomerular filtration, tubular reabsorption, and tubular secretion and in determining renal blood flow. Evaluating each of these renal functions requires the use of substances with known and strictly limited modes of renal excretion. For example, substances that are removed exclusively by glomerular filtration (e.g., inulin) can be used to determine the **glomerular filtration rate** (GFR), whereas substances removed solely by renal tubular secretion (e.g., *p*-aminohippurate, phenolsulfonphthalein) are used to assess the ability of the nephrons to process solutes.

In the clinical laboratory, most clearance tests are performed to evaluate GFR. Hypothetically, any substance that (1) maintains a constant plasma level, (2) is excreted solely by glomerular filtration, and (3) is not reabsorbed or secreted by the tubules can be used to determine GFR. However, the search for the ideal substance for GFR measurement remains an ongoing challenge.

Clearance tests can be performed using endogenous (e.g., urea, creatinine) or exogenous substances. A variety of exogenous agents have been evaluated in the quest for a safe, simple, and accurate method to measure GFR. These exogenous substances can be divided into two categories: those with a radioisotope label ( $^{125}\text{I}$ -iothalamate,  $^{125}\text{I}$ -diatrizoate,  $^{51}\text{Cr}$ -EDTA [chromium ethylenediaminetetraacetic acid], and  $^{99}\text{Tc}$ -DTPA [technetium diethylenetriaminepentaacetic acid]) and those without (inulin, iohexol, and iohalamate). Newer approaches using exogenous agents have eliminated the need for a continuous intravenous infusion, replacing it with a single (bolus) intravenous injection or a subcutaneous injection. Whereas exogenous substances provide a more accurate measurement of GFR, these clearance tests are more labor intensive, expensive, and inconvenient for the patient. When the GFR is determined using a clearance test, it is often referred to as the *measured GFR*, or *mGFR*. In contrast, when the GFR is “estimated” using an equation and one or more biomarkers (e.g., creatinine, cystatin C), the acronym *eGFR* is used.

### Inulin Clearance

The inulin clearance test, although rarely performed for clinical purposes, remains the reference method for GFR determination. Inulin, an exogenous nontoxic fructopolysaccharide (molecular weight, 5200), is not absorbed by the gastrointestinal tract and must be administered intravenously before and throughout the performance of this test. Inulin is not modified by the body and readily passes the glomerular filtration barriers, where it is neither reabsorbed

nor secreted by the renal tubules. Although inulin is an ideal substance for determining GFR, performing an inulin clearance test is not practical for routine or periodic GFR measurements.

### Creatinine Clearance

To eliminate the disadvantages inherent with exogenous agents, an endogenous substance with a renal clearance that approximates that of inulin has been sought. Urea and creatinine, because of their large urinary concentrations and ease of measurement, have been evaluated extensively. Urea, however, is reabsorbed by the tubules, and its concentration is directly affected by the urine flow rate. In addition, diet affects plasma urea levels. As a result, urea clearances are imprecise and inaccurate, and are rarely performed. In contrast, creatinine is not reabsorbed by the renal tubules, nor is it affected by the urine flow rate, and plasma levels are not altered by a normal diet. Hence, the **creatinine clearance test** is the most commonly used clearance test for routine assessment of GFR. Because the most accurate creatinine clearance is obtained using a 24-hour urine collection, this test is inconvenient for patients. In recent years, studies have demonstrated the value and clinical usefulness of calculating an “estimated” GFR (*eGFR*) to detect and monitor kidney disease.<sup>4</sup>

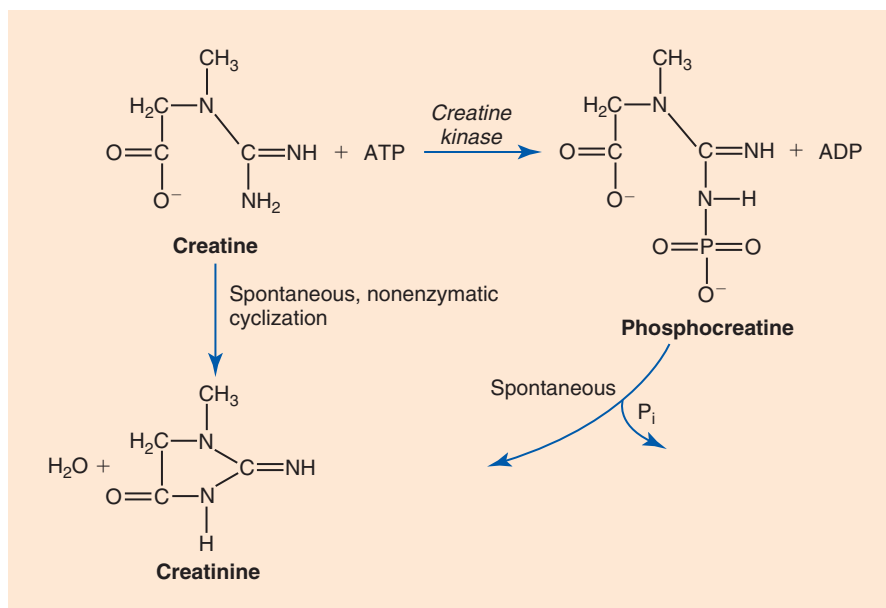
Since 1938, it has been known that the creatinine clearance closely approximates that of inulin.<sup>5</sup> Creatinine is a byproduct of muscle metabolism, formed from creatine and phosphocreatine (Fig. 4.5). It is produced at a steady rate, resulting in a constant plasma concentration and a constant urine excretion rate. Because creatinine production depends directly on muscle mass, production varies with the patient’s gender, physical activity, and age: Males and muscular athletes (male and female) produce more creatinine than do nonathletic females, children, or the elderly. Because of this dependence on individual muscle mass, creatinine clearance values are normalized to the external body surface area of an average individual: 1.73 m<sup>2</sup>. In the calculation for the normalized creatinine clearance (C) (Equation 4.6), the factor 1.73 m<sup>2</sup>/SA denotes the body surface area of the average individual divided by the calculated body surface area of the patient.

$$C \text{ (mL/min)} = \frac{U \times V}{P} \times \frac{1.73 \text{ m}^2}{\text{SA}} \quad \text{Equation 4.6}$$

where U is the urine concentration of creatinine, V is the volume of urine excreted in milliliters per minute, P is the plasma concentration of creatinine, and SA is the patient’s body surface area.

The body surface area of an individual is determined by using the patient’s height and weight. It can be obtained from a nomogram<sup>6</sup> or can be calculated using the following equation:

$$\log \text{SA} = (0.425 \times \log W) + (0.725 \times \log H) - 2.144 \quad \text{Equation 4.7}$$



**FIG. 4.5** The formation of creatinine from creatine and phosphocreatine. *ADP*, Adenosine diphosphate; *ATP*, adenosine triphosphate.

where SA is the body surface area in square meters, W is the patient's weight (mass) in kilograms, and H is the patient's height in centimeters.

Normalization of the creatinine clearance test enables the comparison of clearance results (i.e., GFR) with those of other individuals, regardless of the patient's body surface area. Table 4.5 lists typical reference intervals for serum creatinine and creatinine clearance tests based on age and gender.

**Advantages and Disadvantages.** The measurement of creatinine in urine and serum (or plasma) is rapidly and easily performed; in addition, the precision and reliability of each measurement method are known and well documented.

**TABLE 4.5** Variation in Reference Intervals for Serum Creatinine and Creatinine Clearance According to Age and Gender<sup>a</sup>

	Age, years	Serum Creatinine, mg/dL	Serum Creatinine, mg/L	Creatinine Clearance, mL/min/1.73 m <sup>2</sup>
Males	10	0.5–0.8	5–8	60–130
	20	0.8–1.3	8–13	80–135
	40	0.9–1.4	9–14	75–130
	60	1.0–1.45	10–14.5	45–100
	80	0.7–1.4	7–14	30–80
Females	10	0.5–0.8	5–8	60–130
	20	0.7–1.1	7–11	70–120
	40	0.8–1.2	8–12	60–110
	60	0.8–1.25	8–12.5	45–95
	80	0.8–1.3	8–13	30–80

<sup>a</sup>The factor 1.73 m<sup>2</sup> normalizes clearance for average body surface area.

From Lente FV: "Creatinine" in analytes. *Clin Chem News* 16:8, 1990.

Despite its advantages, the creatinine clearance test has several shortcomings that must be addressed to ensure the correct interpretation of results. In reality, a small amount of creatinine is secreted by the renal tubules ( $\approx 7\text{--}10\%$ ).<sup>7</sup> This secretion results in an elevated urine excretion concentration (U in Equation 4.6), which would result in an overestimation of the GFR. This increase is offset, however, when the popular, nonspecific alkaline picrate method (Jaffe reaction) is used for creatinine measurement. Because of the reaction of plasma noncreatinine chromogens by this method, the plasma creatinine result (P in Equation 4.6) is also overestimated. Fortunately, these two factors offset each other, and the creatinine clearance correlates well with the inulin clearance. When more specific creatinine methods (e.g., enzymatic) are used, these factors do not offset each other. In the latter case, the measured plasma creatinine is not overestimated, but the urine creatinine is overestimated because of the tubular secretion. As a result, the creatinine clearance (C in Equation 4.6) is overestimated and the clearance results do not compare as well with the inulin clearance.

Patients with diminished renal function (i.e., their plasma creatinine concentration is increased) can be difficult to evaluate using the creatinine clearance. In these patients, the renal tubular secretion of creatinine (a process limited by maximal tubular secretory capacity) is increased because of the elevated plasma concentration; this results in an increased concentration in the urine (U in Equation 4.6), and again an erroneous GFR is obtained. Other factors known to interfere with the renal secretion of creatinine are exogenous agents such as salicylate, trimethoprim, and cimetidine. These drugs inhibit the tubular secretion of creatinine, thereby lowering the urine creatinine concentration and causing a falsely low creatinine clearance or GFR.

In summary, several factors affect the tubular secretion of creatinine, and the method used for creatinine quantitation



can directly affect the creatinine clearance result obtained. Despite these shortcomings, the creatinine clearance continues to provide healthcare providers with a useful measurement of the GFR. Because creatinine methods have been extensively researched and have proven reliability, the periodic performance of a creatinine clearance test provides valuable diagnostic and prognostic information about a patient's ongoing renal status.

**Importance of Time Interval.** To perform a creatinine clearance test, a timed urine specimen must be obtained. The time interval over which the urine collection takes place must be provided with the specimen. Owing to diurnal variation in the GFR, a 24-hour urine collection is considered the specimen of choice for creatinine clearance determinations. The plasma specimen for the clearance determination can be obtained any time during the 24-hour period. Shorter collection intervals (e.g., 12- or 2-hour intervals) may be used, especially with patients requiring repeated GFR determinations to monitor their renal status. In these cases, the patient must be kept well hydrated throughout the test, the urine collection should be performed at the same time of day for comparison purposes, and the plasma sample is usually obtained midway through the collection. Because creatinine clearance results can vary by as much as 15% to 20% within a single individual, 24-hour collections are preferable.<sup>7</sup> Collections taken over a shorter time interval can increase this variability even more. See [Chapter 2](#) for more detailed information regarding the collection of timed urine specimens.

The importance of the urine collection and its timing cannot be overemphasized. By using the time interval and the total volume of urine collected, the amount of urine excreted in milliliters per minute is calculated for insertion into the clearance equation. From [Equation 4.6](#), it is evident that the volume of urine (V) and its creatinine concentration (U) directly affect the magnitude of clearance results.

It is important to look at some factors that can affect the parameters of the clearance equation. Improper storage of the urine specimen with subsequent bacterial proliferation can result in creatinine breakdown to creatine (because of pH changes) or degradation of creatinine by bacterial creatinases. Either situation leads to a falsely decreased urine creatinine value (U). However, if the urine volume (V) used for the clearance determination is incorrect, the clearance results are also invalid. Practically speaking, it is almost impossible to evaluate the acceptability of information (urine volume, collection interval) submitted with a specimen for a creatinine clearance. However, evaluating individual plasma and urine creatinine results and determining what they indicate about renal status and the clearance equation can alert the laboratorian to inconsistencies in the information provided. The example provided in [Box 4.1](#) highlights the importance of (1) evaluating the individual plasma and urine creatinine results used in the clearance equation, and (2) being aware of potential preanalytical problems that can occur, such as incomplete specimen collection and inaccurate recording of information (e.g., urine volume).

### BOX 4.1 Creatinine Clearance

#### Example

The laboratory received a 24-hour urine collection from a 26-year-old male (6'4" or body surface area = 2.34 m<sup>2</sup>; 230 lb), and the total urine collection volume measured 800 mL. After creatinine determinations were performed by the alkaline picrate method, clearance results were calculated:

Plasma creatinine (P):	1.2 mg/dL
Urine creatinine (U):	150 mg/dL
Urine volume (V):	800 mL/24 hours

$$C = \frac{U \times V}{P}$$

$$= \frac{(150 \text{ mg/dL}) \times (800 \text{ mL} / 24 \text{ hr} \times 1 \text{ hr} / 60 \text{ min})}{1.2 \text{ mg/dL}}$$

$$C = 69 \text{ mL/min} \left( \begin{array}{l} \text{abnormally low clearance result} \\ \text{despite a normal plasma level} \end{array} \right)$$

$$C_{\text{corrected}} = 69 \text{ mL/min} \times \frac{1.73 \text{ m}^2}{2.34 \text{ m}^2}$$

$$C_{\text{corrected}} = 51 \text{ mL/min}$$

#### Discussion

From these data, the normalized creatinine clearance is extremely low compared with a reference range of 80 to 125 mL/min/1.73 m<sup>2</sup>. Even correction for the patient's height and weight does not bring the glomerular filtration rate closer to "normal." This abnormally low glomerular filtration rate is unusual because the patient's plasma creatinine is normal (reference range: 0.8–1.3 mg/dL). All specimen identifications were checked, and the creatinine determinations were repeated, with the same results obtained. The plasma creatinine value agreed with previous determinations on this patient.

The best explanation points to a problem with the urine collection. Most likely, (1) some urine was lost or discarded during the 24-hour collection, (2) the time interval for the collection is incorrect, or (3) the specimen was preserved improperly and creatinine has degraded.

Despite its disadvantages, the creatinine clearance test remains an important diagnostic tool to evaluate renal function, specifically glomerular filtration. Pathologic conditions that cause alterations in the glomerular filtration barrier or changes in renal blood flow to glomeruli will be reflected in the GFR. For example, if a decreased amount of blood plasma is presented to the glomeruli for processing, the GFR decreases. Note that the kidney does not adjust the GFR; rather, the afferent and efferent arterioles modify blood flow to the glomeruli in response to changes in renal hemodynamics. Consequently, a constant blood flow maintains a constant GFR. Because of this close relationship between the GFR and renal blood flow, the creatinine clearance test provides valuable information about renal function.



## Alternate Approaches to Assessing Glomerular Filtration Rate

### Estimated GFR (eGFR)

It is recommended that laboratories calculate the eGFR whenever a serum creatinine test is performed on patients aged 18 years and older. The eGFR is a simple and effective tool that can be used to assist healthcare providers in detecting chronic kidney disease, particularly in high-risk individuals, such as those with diabetes, hypertension, cardiovascular disease, or a family history of kidney disease. The GFR can be estimated using a calculation based on the serum creatinine level and the patient's age, gender, and ethnicity. The recommended formula was developed and validated by the Modification of Diet in Renal Disease (MDRD) study.<sup>4,8</sup> The equation varies depending on the method used to measure serum creatinine. Equation 4.8 shows the original MDRD equation, which is used when the creatinine method is not calibrated to an isotope dilution mass spectrometry (IDMS) reference method.

$$\begin{aligned} \text{GFR (mL/min / 1.73 m}^2) &= 186 \times (S_{\text{cr}})^{-1.154} \\ &\times (\text{Age in years})^{-0.203} \\ &\times (0.742 \text{ if female}) \\ &\times (1.212 \text{ if African American}) \end{aligned}$$

#### Equation 4.8 Original

Equation 4.9 is the equation used when the creatinine method is calibrated to an IDMS reference method.

$$\begin{aligned} \text{GFR (mL / min / 1.73 m}^2) &= 175 \times (S_{\text{cr}})^{-1.154} \\ &\times (\text{Age in years})^{-0.203} \\ &\times (0.742 \text{ if female}) \\ &\times (1.212 \text{ if African American}) \end{aligned}$$

#### Equation 4.9 IDMS–traceable

Studies comparing the MDRD equation with others such as the Cockcroft-Gault, and with 24-hour creatinine clearance results, have demonstrated its validity and usefulness.<sup>4,5,7–9</sup> Recently, concerns have been raised about the inclusion of race as a factor in these equations because the initial study populations were predominantly white. The use of these equations with race as a parameter may overestimate the GFR in black individuals, which can lead to under-diagnosis of chronic kidney disease. The quest is ongoing to create a ‘race-free’ equation that is more accurate and precise over a wide range of GFR. (ref.<sup>10,11</sup>)

When the MDRD equation is used, the most accurate values are obtained when the eGFR is less than or equal to 60 mL/min/1.73 m<sup>2</sup> body surface area. For the most current equations and additional discussion of eGFR measurements, a clinical chemistry text should be consulted.

### Cystatin C and $\beta_2$ -Microglobulin

In renal disease, the remaining functional nephrons of the kidneys compensate for their decreasing numbers by increasing their functional capacity. Consequently, loss of 50% to 60% of the nephrons must occur before creatinine results indicate a significant decrease in GFR and reduced renal function. This “creatinine blind” phase has led to the search for better biomarkers of renal function and to the evaluation of low-molecular-weight proteins such as cystatin C and  $\beta_2$ -microglobulin. Neither of these proteins is the ideal marker of renal function in all patient populations; however, studies have indicated that in certain clinical situations, they can be more sensitive and specific biomarkers of renal function (i.e., GFR) than creatinine.

Cystatin C is a low-molecular-weight protein (13,000 daltons) that has potential as a biomarker for long-term monitoring of renal function. It is a cysteine proteinase inhibitor produced by all nucleated cells at a constant rate. Like  $\beta_2$ -microglobulin, cystatin C readily passes the glomeruli, is reabsorbed by the proximal tubular cells, and is catabolized; hence cystatin C does not return to the blood circulation. Serum levels of cystatin C are essentially constant and independent of age, gender, and muscle mass. As the GFR decreases, levels of cystatin C in the blood increase. Several studies have suggested that cystatin C analysis provides equivalent and in some patient populations enhanced detection of adverse early changes in GFR compared with a serum creatinine or creatinine clearance test.<sup>12,13</sup> However, disadvantages of cystatin C include its higher analysis costs and possibly high intraindividual variation.

$\beta_2$ -Microglobulin is a single polypeptide chain with a molecular weight of 11,800 daltons. As the  $\beta$ -chain of human leukocyte antigens,  $\beta_2$ -microglobulin resides on the surface of all nucleated cells. Therefore, its concentration in plasma and in all body fluids is essentially constant. In health,  $\beta_2$ -microglobulin readily passes the glomeruli and is reabsorbed completely (99.9%) by the proximal tubular cells, where it is catabolized. Therefore, with normal renal function the concentration of  $\beta_2$ -microglobulin in plasma remains constant, and its excretion in urine is low (i.e., 0.03 to 0.37 mg/day).

$\beta_2$ -Microglobulin is a better marker of reduced renal tubular function than that of glomerular function (i.e., GFR). When tubular reabsorptive function is reduced or lost, the  $\beta_2$ -microglobulin concentration in serum remains essentially normal, whereas its concentration in urine significantly increases. Simultaneous measurements of serum and urine  $\beta_2$ -microglobulin levels can accurately indicate this alteration in renal processing and are clinically useful in (1) identifying allograft rejection in kidney transplant recipients and (2) differentiating glomerular and tubular renal diseases.

Increased plasma concentrations of  $\beta_2$ -microglobulin accompany a reduction in GFR. Unfortunately,  $\beta_2$ -microglobulin is also elevated in numerous disorders associated with increased cell turnover such as inflammatory conditions, autoimmune disorders, viral infections, amyloidosis, and multiple myeloma. In addition, therapies such as hemodialysis and corticosteroid medications cause an increase in the serum  $\beta_2$ -microglobulin concentration.

A technically related disadvantage of  $\beta_2$ -microglobulin is its susceptibility to degradation in acidic environments. Although this is not a problem with serum specimens, degradation is an issue when urine specimens are collected. Precautions must be taken when collecting and processing urine specimens for  $\beta_2$ -microglobulin analysis to ensure that the urine is alkalinized during collection, or that patients are medicated in such a way that the excreted urine is alkaline. In summary,  $\beta_2$ -microglobulin can be a useful marker of renal tubular function, whereas its use as a marker of GFR is limited.

The need for long-term monitoring of renal function is important for a variety of patient groups. These include individuals with known renal disease, as well as those who have the potential to develop renal disease, such as individuals with diabetes, hypertension, or autoimmune disease, and those taking nephrotoxic medications (e.g., chemotherapeutic agents, transplant antirejection drugs, certain antibiotics). Because early detection of adverse changes in renal function enables early intervention, the quest for a better biomarker than creatinine continues. The ultimate role that cystatin C and  $\beta_2$ -microglobulin levels will play in long-term monitoring of renal function remains to be elucidated.

### Screening for Albuminuria

Proteinuria of glomerular origin appears early in the course of diabetic nephropathy. Therefore monitoring of urine albumin excretion in individuals with diabetes mellitus aids in the detection and treatment of early nephropathy. Albuminuria is also a marker of increased cardiovascular morbidity and mortality in diabetic individuals. Early detection of low levels of increased urine albumin excretion (microalbuminuria) signals the need for additional screening for possible vascular disease and aggressive intervention to reduce cardiovascular risk factors.<sup>14</sup>

Although the exact mechanism of this proteinuria is not understood clearly, the increased glomerular permeability results from changes in the glomerular filtration barrier. The single most important factor associated with the development of this glomerular proteinuria is hyperglycemia. Because glucose is capable of nonenzymatic binding with various proteins, it apparently combines with proteins of the glomerular filtration barrier, causing glomerular permeability changes and stimulating the growth of the mesangial matrix.

Glomerular changes are evidenced by a urine albumin excretion that exceeds 30 mg/day (or 20  $\mu$ g/min). Screening for microalbuminuria can be performed using a random, timed, or 24-hour urine collection. Whereas a 24-hour urine collection provides accurate information and allows simultaneous determination of creatinine clearance, it is not the easiest specimen to collect. In contrast, a random urine specimen, which is readily obtainable, can provide accurate albumin excretion information. To use a random urine specimen, the amount of albumin present must be normalized against the creatinine concentration; hence the albumin-to-creatinine

ratio is determined. Daily excretion of albumin can be highly variable; therefore at least two of three urine collections analyzed during a 3- to 6-month period should demonstrate increased albumin concentrations before an individual is identified as having microalbuminuria. The definition of microalbuminuria using a random urine specimen is excretion of greater than 30 mg of albumin per gram of creatinine (>30 mg albumin/g creatinine).<sup>14</sup> See Chapter 6 for a discussion of several sensitive tests that are used to rapidly and economically screen urine specimens for low-level increases in urine albumin.

## ASSESSMENT OF RENAL BLOOD FLOW AND TUBULAR SECRETORY FUNCTION

### Determination of Renal Plasma Flow and Renal Blood Flow

Normal renal function depends on adequate **renal blood flow** (RBF). If blood flow to the kidneys changes for any reason, glomerular filtration and the ability of the nephrons to reabsorb and secrete solutes and water also change. The glomeruli produce an ultrafiltrate from the plasma portion of the circulating blood. Note that the portion of blood plasma that is not filtered proceeds into the peritubular capillaries and is processed by the tubules. Not all the blood that flows into the kidney is processed. In fact, approximately 8% of the RBF never comes into contact with functional renal tissue.<sup>15</sup> RPF is different from RBF. RPF and RBF are related to each other as described in Equation 4.10, in which Hct denotes the hematocrit<sup>3</sup>:

$$\text{RBF} = \frac{\text{RPF}}{1 - \text{Hct}} \quad \text{Equation 4.10}$$

The ideal clearance substance used to measure RPF and subsequently RBF must (1) reside exclusively in the plasma portion of the blood, (2) be removed from the plasma primarily by renal tubular secretion, and (3) be removed completely from the plasma in its first pass through the kidney, resulting in essentially zero concentration in the venous renal blood. When a substance is completely removed from the plasma after passage through the glomeruli and the peritubular capillaries, the actual plasma flow in milliliters per minute can be determined using the traditional clearance equation (see Equation 4.5). Note that to evaluate the secretory function of the renal tubules, the RPF must be normal. Conversely, to evaluate RPF, the tubular secretory function must be normal.

Clearance tests using *p*-aminohippurate and phenolsulfonphthalein assess renal tubular secretory function. Both substances are secreted actively by the renal tubules; however, phenolsulfonphthalein is not completely removed as it passes through the kidney and is unsatisfactory for assessing the RPF. Although the RPF can be determined by using the *p*-aminohippurate clearance test, other techniques using radioactive substances (e.g., <sup>131</sup>I-orthoiodohippuran) have

also been used. Measurements of secretory function and RPF are not routinely performed because they require intravenous infusion of an exogenous substance.

The most common test used to measure RPF is the *p*-aminohippurate clearance test. *p*-Aminohippurate is an exogenous, nontoxic, weak organic acid that is secreted almost exclusively by the proximal tubules (a small amount is filtered through the glomerulus). At certain plasma levels, *p*-aminohippurate is secreted completely during its first pass through the kidneys. Therefore, the *p*-aminohippurate clearance test provides not only an excellent indicator of renal tubular secretory function but also a means of determining the RPF and the RBF when normal secretory function is known. Although the *p*-aminohippurate clearance test is the reference method for measurement of the RPF, current methods used for analysis of *p*-aminohippurate in urine and plasma are difficult and time-consuming. Because *p*-aminohippurate is an exogenous substance, it must be infused—another drawback to its routine clinical use.

A normal RPF as determined by the *p*-aminohippurate clearance test ranges from 600 to 700 mL/min. Assuming an average normal hematocrit of 0.42 (42%) and by using Equation 4.10, normal values for the RBF range from approximately 1000 to 1200 mL/min. By using this information and a normal resting cardiac output of approximately 6 L/min, one can calculate that the kidneys receive approximately 16% to 20% of the total cardiac output. This measurement does not account for the 8% of the RBF that never contacts functioning renal tissue. Adding in the 8%, the kidneys receive approximately 25% of the total cardiac output.

### Assessment of Tubular Secretory Function for Acid Removal

As described in Chapter 3, the renal tubules actively secrete acids in response to changes in the acid-base equilibrium of the body. The metabolism of proteins and phospholipids results in the formation of sulfuric and phosphoric acids. These acids are neutralized rapidly by bicarbonate into CO<sub>2</sub> and the neutral salts Na<sub>2</sub>SO<sub>4</sub> and Na<sub>2</sub>HPO<sub>4</sub>. The CO<sub>2</sub> is excreted by the lungs, whereas the neutral salts are passed into the ultrafiltrate at the kidneys. As the renal tubules secrete ammonia and hydrogen ions, the neutral salts are further modified into ammonium salt (e.g., [NH<sub>4</sub>]<sub>2</sub>SO<sub>4</sub>) or titratable acid (i.e., NaH<sub>2</sub>PO<sub>4</sub>) for excretion. Thus, evaluation of the renal tubular ability to produce an acid urine involves the measurement of ammonium salts and titratable acids. Normally, 50 to 100 mmol of acid is excreted in the urine each day.<sup>16</sup>

### Measurement of Titratable Acid Versus Urinary Ammonia

In urine, the amount of acid (H<sup>+</sup>) combined with ammonia is normally twice that excreted as titratable acid. Titratable acid formation is limited by the concentration of phosphate ions in the ultrafiltrate. Because the plasma concentration of phosphate ions is normally small, the ultrafiltrate

concentration is also small. However, ammonia is produced and secreted by the renal tubules in direct response to the need to eliminate acid from the body. Ammonia production is not limited, and healthy renal tubules increase production to remove additional amounts of metabolic acids. For example, in patients experiencing diabetic ketoacidosis, ammonium salt excretion can reach as much as 400 mmol/day, whereas their titratable acid excretion increases to only 100 to 200 mmol/day. In contrast, diseased tubules lose the ability to produce and secrete ammonia, as well as to secrete hydrogen ions. In these cases, the quantity of total acids excreted daily may decrease to 3 to 35 mmol/day. At the same time, the amount of ammonium salts excreted compared with titratable acids may also decrease to the point where more acid is excreted as free titratable acid than is combined with ammonia.

Because the kidneys play a crucial role in maintaining a normal acid-base balance, systemic acidosis occurs if the tubular secretory function is compromised. In fact, renal failure usually is associated with acidosis. Therefore valuable information regarding tubular secretory function can be obtained by measuring the total hydrogen ion excretion in urine (titratable acid and ammonium salts).

### Oral Ammonium Chloride Test

The oral ammonium chloride test involves the oral administration of ammonium chloride, which metabolizes to urea and HCl. To adjust for the increased acid, the kidneys excrete increased quantities of titratable acid and ammonium salts, and the urine becomes more acidic. Plasma bicarbonate measurements are made before and midway through the test to monitor the depletion of the body's bicarbonate pool. If the initial plasma bicarbonate is low (<20 mmol/L), the test should not be performed. An initial morning 2-hour urine specimen is collected before the test. If the pH of the specimen is below 5.3, acid excretion is normal and the test need not be performed. During the test, urine is collected every 2 hours for 8 to 10 hours. Each 2-hour collection is measured for pH, titratable acid, and ammonium excretion.

Normal individuals are able to reduce the urine pH to below 5.3, with a total hydrogen ion excretion (titratable acid plus ammonium salts) greater than 60 mmol/min. The titratable acid and ammonium salt excretion should exceed 25 mmol per minute. At the same time, the plasma bicarbonate level should fall to below 26 mmol/L. Failure to excrete an acidic urine after this challenge test supports a diagnosis of renal tubular acidosis, a condition characterized by defective tubular hydrogen ion secretion, defective tubular ammonia production, or defective bicarbonate reabsorption in the proximal tubules. Regardless of the specific defect involved, patients with renal tubular acidosis excrete alkaline urine despite systemic acidosis.

Measurement of titratable acids is performed by titrating a well-mixed aliquot of urine with 0.1 N NaOH to an endpoint pH of 7.4 using a pH meter. This endpoint corresponds to a blood pH of 7.4 and a urine pH of 4.4.<sup>17</sup> Subsequently,

the ammonium concentration is calculated as the difference between the total acidity of the urine and the acids present as titratable acids.

In conclusion, although measurements of localized functions of the nephron are possible, they are not performed routinely in a clinical setting. Instead, the most common and practical urine tests used to evaluate renal function routinely are the creatinine clearance test for assessment of GFR, a urine osmolality determination for tubular concentrating ability, and urine protein electrophoresis to evaluate glomerular permeability to plasma proteins. In addition, plasma creatinine levels and eGFR calculations provide ongoing indications of compromised or changing renal function.

## A ROUTINE URINALYSIS

A routine urinalysis test is the most frequently performed urine test in the clinical laboratory. It is a screening test that predominates for a variety of reasons. It can be performed in a short period of time, it is economical, and it provides information regarding a patient's urinary system as well as some metabolic functions (e.g., bilirubin and glucose metabolism). When a routine urinalysis is performed either manually or by automated urine analyzers, three properties of the urine are evaluated: 1) its physical characteristics, 2) its chemical composition, and 3) the microscopic sediment elements (e.g., epithelial cells, blood cells, casts, mucus) present in the urine. [Chapters 5, 6, and 7](#) provide detailed discussions of these three examinations that comprise a routine urinalysis.

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## STUDY QUESTIONS

- The daily volume of urine excreted normally ranges from
  - 100 to 600 mL/day.
  - 100 to 1800 mL/day.
  - 600 to 1800 mL/day.
  - 1000 to 3000 mL/day.
- Another name for excessive thirst is
  - polydipsia.
  - polyuria.
  - hydrophilia.
  - hydrostasis.
- The excretion of large volumes of urine (>3 L/day) is called
  - glucosuria.
  - hyperuria.
  - polydipsia.
  - polyuria.
- When the body is dehydrated, the kidneys
  - excrete excess solutes in a constant volume of urine.
  - excrete solutes in as small a volume of urine as possible.
  - decrease the quantity of solutes excreted and decrease the urine volume.
  - increase the quantity of solutes excreted while holding the urine volume constant.
- The excretion of less than 400 mL of urine per day is called
  - anuria.
  - hypouria.
  - nocturia.
  - oliguria.
- All of the following conditions may produce nocturia *except*
  - anuria.
  - pregnancy.
  - chronic renal disease.
  - fluid intake at night.
- Which of the following will not influence the volume of urine produced?
  - Diarrhea
  - Exercise
  - Alcohol ingestion
  - Carbohydrate ingestion
- Which of the following solutes are present in the largest molar amounts in urine?
  - Urea, chloride, and sodium
  - Urea, creatinine, and sodium
  - Creatinine, uric acid, and ammonium
  - Urea, uric acid, and ammonium
- Renal excretion is not involved in the elimination of
  - electrolytes and water.
  - normal byproducts of fat metabolism.
  - soluble metabolic wastes (e.g., urea, creatinine).
  - exogenous substances (e.g., drugs, x-ray contrast media).
- The concentration of which substances provides the best means of distinguishing urine from other body fluids?
  - Creatinine and urea
  - Glucose and protein
  - Uric acid and ammonia
  - Water and electrolytes
- What is the definition of the *osmolality* of a solution?
  - The density of solute particles per liter of solvent
  - The mass of solute particles per kilogram of solvent
  - The number of solute particles per kilogram of solvent
  - The weight of solute particles per liter of solvent
- The osmolality of a solution containing 1.0 mole of urea is equal to that of a solution containing
  - 1.0 mole of HCl.
  - 1.0 mole of  $\text{H}_2\text{PO}_4^-$ .
  - 0.5 mole of NaCl.
  - 0.5 mole of glucose.
- The maximum osmolality that urine can achieve is determined by the
  - quantity of solutes ingested in the diet.
  - presence of antidiuretic hormone in the collecting tubules.
  - osmolality of the medullary interstitium.
  - osmolality of fluid entering the collecting tubules.
- Serum osmolality remains relatively constant, whereas the urine osmolality ranges from
  - one-third to one-half that of serum.
  - one-third to equal that of serum.
  - one to three times that of serum.
  - three to five times that of serum.
- Osmolality is a measure of solute
  - density.
  - mass.
  - number.
  - weight.
- Which of the following solutes, if added to pure water, affects the specific gravity of the resultant solution more than it affects its osmolality?
  - Sodium
  - Chloride
  - Potassium
  - Glucose
- Occasionally the specific gravity of a urine specimen exceeds that physiologically possible (i.e., >1.040). Which of the following substances when found in urine could account for such a high value?
  - Creatinine
  - Glucose
  - Mannitol
  - Protein



18. In a patient with chronic renal disease, in whom the kidneys can no longer adjust urine concentration, the urine specific gravity would be
- 1.000.
  - 1.010.
  - 1.020.
  - 1.030.
19. Which of the following features is *not* a colligative property?
- Boiling point elevation
  - Freezing point depression
  - Osmotic pressure depression
  - Vapor pressure depression
20. An advantage of freezing point osmometry over vapor pressure osmometry is its
- increased turnaround time.
  - use of a smaller volume of sample.
  - ability to detect volatile substances.
  - decreased interference from plasma lipids.
21. Osmolality measurements are considered to be a more accurate assessment of solute concentration in body fluids than are specific gravity measurements because
- all solutes contribute equally.
  - heavy molecules do not interfere.
  - they are not temperature dependent.
  - they are less time-consuming to perform.
22. The freezing point of a urine specimen is determined to be  $-0.90^{\circ}\text{C}$ . What is the osmolality of the specimen?
- 161 mOsm/kg
  - 484 mOsm/kg
  - 597 mOsm/kg
  - 645 mOsm/kg
23. The ultrafiltrate in the urinary space of the glomerulus has a specific gravity of
- 1.005 and a lower osmolality than the blood plasma.
  - 1.010 and the same osmolality as the blood plasma.
  - 1.015 and a higher osmolality than the blood plasma.
  - 1.035 and a higher osmolality than the blood plasma.
24. Which renal function is assessed using specific gravity and osmolality measurements?
- Concentrating ability
  - Glomerular filtration ability
  - Tubular excretion ability
  - Tubular secretion ability
25. A fluid deprivation test is used to
- determine renal plasma flow.
  - investigate the cause of oliguria.
  - assess renal concentrating ability.
  - measure the glomerular filtration rate.
26. A fluid deprivation test involves the measurement of serum and urine
- density.
  - osmolality.
  - specific gravity.
  - volume.
27. The volume of plasma cleared per minute in excess of that required for solute elimination is called the
- creatinine clearance.
  - free-water clearance.
  - osmolar clearance.
  - renal clearance.
28. A free-water clearance value of  $-1.2$  would be expected from a patient experiencing
- polyuria.
  - dehydration.
  - water diuresis.
  - excessive fluid intake.
29. Calculate the osmolar and free-water clearances using the following patient data.
- Serum osmolality: 305 mOsm/kg  
Urine osmolality: 250 mOsm/kg  
Urine volume: 300 mL/2 hours
- Is this individual excreting more water than is necessary for solute removal? Yes/No
  - Is the osmolar clearance “normal” (i.e., 2.0 to 3.0 mL/min)? Yes/No
  - From the free-water clearance result obtained, is the urine hypo-osmotic or hyperosmotic?
30. Which of the following is an endogenous substance used to measure glomerular filtration rate?
- Urea
  - Inulin
  - Creatinine
  - p*-aminohippurate
31. Renal clearance is defined as the volume of
- urine cleared of a substance per minute.
  - plasma cleared of a substance in a time interval.
  - plasma flowing through the kidney per minute.
  - plasma containing the same amount of substance in 1 mL of urine.
32. Creatinine is a good substance to use for a renal clearance test because it
- is exogenous.
  - is reabsorbed.
  - is affected by fluid intake.
  - has a constant plasma concentration.
33. Which of the following groups would be expected to have the greatest 24-hour excretion of creatinine?
- Infants
  - Children
  - Women
  - Men
34. Creatinine clearance results are “normalized” using an individual’s body surface area to account for variations in the individual’s
- age.
  - sex.
  - dietary intake.
  - muscle mass.

35. The following data are obtained from a 60-year-old female who is 4'8" tall and weighs 88 lb:  
 Plasma creatinine: 1.2 mg/dL  
 Urine creatinine: 500 mg/L  
 Urine volume: 1440 mL/24 hours
- Calculate the creatinine clearance.
  - Calculate the normalized creatinine clearance. (Determine the body surface area using [Equation 4.7](#).)
  - Are these results normal for this patient? (Use reference intervals provided in [Table 4.5](#).)
36. A 24-hour urine collection is preferred for determination of creatinine clearance because of diurnal variation in the
- glomerular filtration rate.
  - plasma creatinine.
  - creatinine excretion.
  - urine excretion.
37. Which of the following situations results in an erroneous creatinine clearance measurement?
- A 24-hour urine collection from an individual on a vegetarian diet
  - A 24-hour urine collection maintained at room temperature throughout the collection
  - A plasma sample drawn at the beginning instead of during the 24-hour urine collection
  - Creatinine determinations made using the nonspecific alkaline picrate method (Jaffe reaction)
38. A 45-year-old female African-American had her serum creatinine determined using a creatinine method that is *not* calibrated to an IDMS reference method. Her serum creatinine was 1.5 mg/dL; what is her eGFR using the appropriate MDRD equation?
- 40 mL/min/1.73 m<sup>2</sup>
  - 48 mL/min/1.73 m<sup>2</sup>
  - 51 mL/min/1.73 m<sup>2</sup>
  - 54 mL/min/1.73 m<sup>2</sup>
39. The glomerular filtration rate is controlled by
- the renal blood flow.
  - the renal plasma flow.
  - the countercurrent mechanism.
  - hormones (e.g., aldosterone, antidiuretic hormone).
40. For measurement of renal plasma flow, *p*-aminohippurate is an ideal substance to use because it
- is easily measured in urine and plasma.
  - is endogenous and does not require an infusion.
  - is secreted completely in its first pass through the kidneys.
  - maintains a constant plasma concentration throughout the test.
41. What percentage of the total cardiac output is received by the kidneys?
- 8%
  - 15%
  - 25%
  - 33%
42. Measuring the quantity of hydrogen ion excreted as titratable acids and ammonium salts in urine provides a measure of
- tubular secretory function.
  - tubular reabsorptive function.
  - glomerular filtration ability.
  - renal concentrating ability.
43. The oral ammonium chloride test evaluates the ability of the tubules to secrete
- ammonium and chloride.
  - phosphate and sodium.
  - bicarbonate and chloride.
  - ammonia and hydrogen.

### CASE 4.1

A 52-year-old woman with a 25-year history of type 1 diabetes mellitus submits a 24-hour urine collection for testing. A blood sample was also collected when she brought the urine specimen to the laboratory. The following information and results are obtained:

#### Patient Information

Height: 5'5" (165 cm)  
 Weight: 160 lb (72.7 kg)

Results	Reference Intervals	
Creatinine, serum	2.3 mg/dL	0.8–1.3 mg/dL
Urine volume, 24-hour	1000 mL	600–1800 mL/day
Creatinine, urine	190 mg/dL	Varies with hydration
Albumin, urine	9.5 mg/dL	Varies with hydration

- Calculate this patient's body surface area using [Equation 4.7](#).
- Calculate the normalized creatinine clearance result using the data provided.
- Calculate the albumin excretion in milligrams per day (mg/day).
- Calculate the albumin excretion in micrograms per minute ( $\mu$ g/min).
- Calculate the urine albumin-to-creatinine ratio in micrograms albumin per milligram of creatinine ( $\mu$ g albumin/mg creatinine).

## CASE 4.2

A 24-year-old man who had previously sustained a severe head injury in a car accident is seen by his physician. He complains of polydipsia and polyuria. Neurogenic diabetes insipidus is suspected, and tests are performed to rule out compulsive water ingestion. The following routine urinalysis is obtained.

## Results

Physical Examination	Chemical Examination
Color: colorless	SG: 1.005
Clarity: clear	pH: 6.0
Odor: —	Blood: negative
	Protein: negative
	LE: negative
	Nitrite: negative
	Glucose: negative
	Ketones: negative
	Bilirubin: negative
	Urobilinogen: normal
	Ascorbic acid: —

LE, Leukocyte esterase; SG, specific gravity.

- Explain briefly the cause of polyuria in patients with diabetes insipidus.
  - Without fluid restrictions, this patient's urine osmolality most likely is
    - less than 200 mOsm/kg.
    - greater than 200 mOsm/kg.
  - This patient's polyuria should be classified as
    - oncotic diuresis.
    - psychosomatic diuresis.
    - solute diuresis.
    - water diuresis.
  - In patients with neurogenic diabetes insipidus, if antidiuretic hormone is given intravenously, the urine osmolality should
    - remain unchanged.
    - decrease.
    - increase.
  - Which of the following tests should be used to evaluate this patient?
    - Free-water clearance test
    - Fluid deprivation test
    - Glucose tolerance test
    - Osmolar clearance test
- Indicate whether each of the following statements is true (T) or false (F):
- Patients with diabetes insipidus often have glucose present in the urine.
  - Patients with diabetes insipidus often have a high urine specific gravity.
  - Patients with diabetes insipidus often have urinary ketones present because of an inability to use the glucose present in the blood.
  - Diabetes is a general term referring to disorders characterized by copious production and excretion of urine.

# Routine Urinalysis—the Physical Examination

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. State the importance of using established terminology for describing urine color and clarity.
2. Discuss the origin of the following pigments and their effects on urine color:
  - Bilirubin
  - Urobilin
  - Urochrome
  - Uroerythrin
3. List appropriate color terms and the substances that can produce the colors and identify those substances that indicate a pathologic process.
4. List appropriate clarity terms, their definitions, and the substances that can cause clarity changes, and identify those substances that indicate a pathologic process.
5. Describe the effects that increased amounts of protein and bilirubin can have on urine foam.
6. Discuss the cause of normal urine odor, identify conditions that change this urine characteristic, and list any odors associated with each condition.
7. Compare and contrast the following specific gravity methods used for determining urine concentration:
  - Refractometry
  - Reagent strip method

## CHAPTER OUTLINE

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## KEY TERMS <sup>1</sup>

clarity (also called *turbidity*)  
 density  
 ionic specific gravity ( $SG_{\text{ionic}}$ )  
 refractive index

refractometry  
 urobilin  
 urochrome  
 uroerythrin

<sup>1</sup> Definitions are provided in the chapter and glossary.

The study of urine is the oldest clinical laboratory test still performed. Historically, only the physical characteristics of urine were evaluated—color, clarity, odor, and taste. The latter characteristic—taste—has not been performed for centuries because of chemical methods that can be used to assess the “sweetness” of urine. The physical characteristics of urine continue to play an important part in a routine urinalysis. The presence of disease processes and abnormal urine components can be evident during the initial physical examination of urine.

## COLOR

Urine color, which is normally various shades of yellow, can range from colorless to amber to orange, red, green, blue, brown, or even black. These color variations can indicate the presence of a disease process, a metabolic abnormality, or an ingested food or drug. However, color variations can simply result from excessive physical activity or stress. It is important to note that a change in urine color is often the initial or only reason why an individual seeks medical attention.

The characteristic yellow color of normal urine is principally due to the pigment **urochrome**.<sup>1</sup> A product of endogenous metabolism, urochrome is a lipid-soluble pigment that is present in plasma and excreted in urine. Patients in chronic renal failure, with decreased excretion of urochrome, may exhibit a characteristic yellow pigmentation of their skin caused by deposition of urochrome in their subcutaneous fat. Because urochrome production and excretion are constant, the intensity of the color of urine provides a crude indicator of urine concentration and the hydration state of the body. A concentrated urine is dark yellow, whereas a dilute urine is pale yellow or colorless. Urochrome, similar to other lipid-soluble pigments, darkens on exposure to light.<sup>2</sup> This characteristic darkening is often observed in urine specimens that are improperly stored. Small amounts of **urobilin** (an orange-brown pigment) and **uroerythrin** (a pink pigment) also contribute to urine color. Urobilin and uroerythrin are normal urine constituents; uroerythrin is most evident when it deposits on urate crystals, producing a precipitate often described as brick dust.

The terminology used to describe urine color often differs among laboratories. Regardless of the terminology used, an established list of terms should be available and used by all personnel in the laboratory. These terms should reduce ambiguity in color interpretation and improve consistency in the reporting of urine colors.<sup>3</sup> Terms such as *straw* and *beer brown* should be replaced with *light yellow* and *amber*. The term *bloody* should be avoided. Although *bloody* is descriptive, it is not a color; *red* or *pink* would be more appropriate. [Table 5.1](#) lists some appropriate terms to describe the color of urine and the substances that can cause these colors.

An abnormal urine, that is, one that reflects a pathologic process, may not have an abnormal color, whereas a normally colored urine may contain significant pathologic elements. For example, a normal yellow or colorless urine can actually contain large amounts of glucose or porphobilinogen. In contrast, a red urine, often an indicator of the presence of blood, can result from the ingestion of beets by genetically disposed individuals. Nevertheless, urine color is valuable in the preliminary assessment of a urine specimen.

Many substances are capable of modifying the normal color of urine. The same substance can impart a different color to urine depending on (1) the amount of the substance present; (2) the urine pH; and (3) the structural form of the substance, which can change over time. Red blood cells provide an excellent example. In fresh acidic urine, red blood cells can be present despite a typical yellow-colored urine, or the urine color may appear pink or red. The color of the urine varies with the quantity of red blood cells present. As red blood cells disintegrate, hemoglobin is released and oxidizes to methemoglobin, which causes the urine color to become brown or even black. Alkaline urine with red blood cells present is often red-brown in color. In such specimens, disintegration of cellular components is enhanced by the alkaline pH, and hemoglobin oxidation is promoted. When glomerular or tubular damage of nephrons occurs, blood enters the urinary tract and the hemoglobin becomes oxidized before it collects in the bladder. In this case the urine

appears brownish rather than the typical red color that is associated with the presence of blood.

A fresh brown urine can indicate the presence of blood, hemoglobin, or myoglobin. Distinguishing among these substances is difficult, particularly between hemoglobin and myoglobin, because all three produce a positive chemical test for blood. Red blood cells are confirmed by microscopic examination, whereas the discrimination between hemoglobin and myoglobin requires additional urine chemical testing and possibly an evaluation of the blood plasma. [Chapter 6](#) provides further discussion on the differentiation of hemoglobin and myoglobin in urine.

Bilirubin is another substance that can contribute to urine color. It is a byproduct of hemoglobin catabolism and has a characteristic yellow color. When present in sufficient amounts in urine or plasma, bilirubin imparts a distinctive amber coloration. However, upon standing or improper storage, bilirubin oxidizes to biliverdin, causing the urine to take on a greenish hue. Bilirubin is also susceptible to photo-oxidation by artificial light or sunlight; therefore, specimens must be stored properly to avoid degradation of this component. This photosensitivity is temperature dependent; optimal specimen stability is obtained by storing the specimens at low temperatures in the dark.

Some substances are colorless and normally do not contribute to urine color. However, upon standing or improper storage, they convert to colored compounds. Urobilinogen, a normal constituent in urine, is colorless, whereas its oxidation product urobilin is orange-brown. Porphobilinogen, a colorless and chemically similar (tetrapyrroles) substance, is a solute found in the urine of patients with abnormal porphyrin metabolism (heme synthesis). Porphobilin, the oxidation product of porphobilinogen, can impart a pink color to urine. As a result, urine that contains these substances can change color over time; this may alert the laboratorian to its presence and the need for additional testing. However, these color changes are often subtle and take hours to develop.

A multitude of urine colors results from ingested substances, and often the colors have no clinical significance. Highly pigmented foods such as fresh beets, breath fresheners containing chlorophyll, candy dyes, and vitamins A and B can impart distinctive colors to urine. Included in this group of ingested substances are numerous medications, some of which are used specifically to treat urinary tract infection. Other medications are present because they are eliminated from the body in the urine. [Table 5.2](#) lists commonly encountered drugs and the colors they impart to the urine. It is worth noting that phenazopyridine, a urinary analgesic used in the treatment of urinary tract infections and often encountered in the clinical laboratory, imparts a distinctive yellow-orange coloration (similar to orange soda pop) with a thick consistency to the urine. This drug-produced color frequently interferes with the color interpretation of chemical reagent strip tests; alternative chemical testing methods (e.g., tablet tests, chemistry tests) must be used with these urine specimens.



TABLE 5.1 Urine Color Terms and Common Causes<sup>a</sup>

Color		Substance	Comments and Clinical Correlation
Colorless to pale yellow		Dilute urine	Fluid ingestion; polyuria due to diabetes mellitus or diabetes insipidus
Yellow		Normal urine	Due to the normal pigment, urochrome (as well as uroerythrin and urobilin)
Dark yellow to amber		Concentrated urine, excessive urobilin	Limited fluid intake—dehydration, strenuous exercise, first morning specimen, fever; excessive conversion of urobilinogen to urobilin with time
		Bilirubin	If shaken, foam is yellow
Dark yellow-green		Biliverdin	Greenish coloration due to bilirubin that oxidized to biliverdin upon standing or improper storage
Orange	Foods	Carotene	High consumption of vegetables and fruits that contain carotene
	Drugs	Phenazopyridine (Pyridium, Azo-Gantrisin)	Medication—urinary analgesic; bright color at acid pH
		Warfarin (Coumadin)	Medication—anticoagulant
		Rifampin	Medication—tuberculosis treatment
Bright yellow	Foods	Riboflavin	Multivitamins, B-complex vitamins
Yellow-brown	Drugs	Nitrofurantoin	Medication—antibiotic
Pink	Blood	Hemoglobin, red blood cells (RBCs)	Blood in urine from urinary tract or from contamination (e.g., menstrual bleeding)
	Inherited	Porphobilin	Oxidized porphobilinogen (colorless); caused by improper handling and storage of urine specimens; associated with acute intermittent porphyria (a rare genetic disorder)
Red	Blood	RBCs	Intact RBCs observed microscopically; urine cloudy
		Hemoglobin	Urine clear, if no intact RBCs present (e.g., intravascular hemolysis); hemolysis evident in plasma/serum
	Foods	Beet ingestion	In acidic urine of genetically disposed individuals; alkaline urine is yellow
	Drugs	Senna	Over-the-counter laxatives (e.g., Ex-Lax)
Red-purple	Inherited	Porphyryns	Excessive oxidation of colorless porphyrinogens and porphobilinogen to colored compounds (rare conditions); caused by improper handling and storage of these specimens
Brown		Myoglobin	Rhabdomyolysis—urine clear; plasma/serum normal yellow appearance
	Blood	Methemoglobin	Oxidized hemoglobin
	Drugs	Metronidazole (Flagyl)	Medication—treatment for trichomoniasis, <i>Giardia</i> , amebiasis; darkens the urine
Dark brown to black		Melanin	Oxidized melanogen (colorless); develops upon standing and associated with malignant melanoma
	Inherited	Homogentisic acid	Color develops upon standing in alkaline urine; associated with alkaptonuria (a genetic metabolic disorder)
Blue or green	Infection	<i>Pseudomonas</i>	Urinary tract infection with <i>Pseudomonas</i>
		Indican	Infection of the small intestine
	Dyes	Methylene blue (dye) containing	Urinary analgesics (e.g., TracTabs, Urised, Uro blue, Mictasol blue); excessive use of mouthwashes
		Drugs	Chlorophyll containing
		Amitriptyline (Elavil)	Medication—antidepressant
		Indomethacin (Indocin)	Medication—nonsteroidal antiinflammatory drug (NSAID)

<sup>a</sup> Note that numerous medications, foods, and dyes can cause changes in urine color. This list is limited and focuses on those most commonly encountered in the clinical laboratory.

Pathologic conditions can be indicated by the presence of certain analytes and components that color the urine. Substances such as melanin, homogentisic acid, indican, porphyrins, hemoglobin, and myoglobin or components such as red blood cells provide evidence of a pathologic

process. In each case, urine suspected of containing these components requires additional chemical testing and investigation. Many of these substances are discussed individually along with the metabolic diseases that produce them in [Chapters 6 and 8](#).

**TABLE 5.2 Urine Color Changes With Some Commonly Used Drugs**

Drug	Color
Alcohol, ethyl	Pale yellow or colorless (diuresis)
Amitriptyline (Elavil)	Blue-green
Antraquinone laxatives (senna, cascara)	Reddish, alkaline; yellow-brown, acid
Chlorzoxazone (Paraflex) (muscle relaxant)	Red
Deferoxamine mesylate (Desferal) (chelates iron)	Red
Ethoxazene (Serenium) (urinary analgesic)	Orange, red
Fluorescein sodium (given intravenously)	Yellow
Furazolidone (Furoxone) (Tricofuron) (an antibacterial, antiprotozoal nitrofurantoin)	Brown
Indigo carmine dye (renal function, cystoscopy)	Blue
Iron sorbitol (Jectofer) (possibly other iron compounds forming iron sulfide in urine)	Brown on standing
Levodopa (L-dopa) (for parkinsonism)	Red then brown, alkaline
Mepacrine (Atabrine) (antimalarial) (intestinal worms, <i>Giardia</i> )	Yellow
Methocarbamol (Robaxin) (muscle relaxant)	Green-brown
Methyldopa (Aldomet) (antihypertensive)	Darkens; if oxidizing agents present, red to brown
Methylene blue (used to delineate fistulas)	Blue, blue-green
Metronidazole (Flagyl) (for <i>Trichomonas</i> infection, amebiasis, <i>Giardia</i> )	Darkens, reddish brown
Nitrofurantoin (Furadantin) (antibacterial)	Brown-yellow
Phenazopyridine (Pyridium) (urinary analgesic), also compounded with sulfonamides (Azo-Gantrisin)	Orange-red, acid pH
Phenindione (Hedulin) (anticoagulant) (important to distinguish from hematuria)	Orange, alkaline; color disappears on acidifying
Phenol poisoning	Brown; oxidized to quinones (green)
Phenolphthalein (purgative)	Red-purple, alkaline pH
Phenolsulfonphthalein (PSP, also bromsulphthalein [BSP])	Pink-red, alkaline pH
Rifampin (Rifadin, Rimactane) (tuberculosis therapy)	Bright orange-red
Riboflavin (multivitamins)	Bright yellow
Sulfasalazine (Azulfidine) (for ulcerative colitis)	Orange-yellow, alkaline pH

From Ben-Ezra J, McPherson RA: Basic examination of urine. In McPherson RA, Pincus MR, editors: *Clinical diagnosis and management by laboratory methods*, ed 22, Philadelphia, 2011, Saunders.

**BOX 5.1 Recommendations for the Evaluation of Urine Physical Characteristics**

- Use a well-mixed specimen.
- View through a clear container—plastic or glass.
- View against a white background.
- Evaluate a consistent depth or volume of the specimen.
- View using room lighting that is adequate and consistent.

However, contaminants—substances not produced in the urinary tract—can also color the urine; these include fecal material, menstrual blood, and hemorrhoidal blood.

In summary, the color of urine is actually a combination of the colors imparted by each constituent present. To evaluate urine color consistently, the criteria outlined in [Box 5.1](#) are necessary. Without attention to these details and to the use of established terminology, consistent reporting of urine color is not possible.

**FOAM**

If a normal urine specimen is shaken or agitated sufficiently, a white foam can be forced to develop at its surface that readily dissipates on standing. The characteristics of urine foam—namely, its color, ease of formation, and the amount produced—are modified by the presence of protein and bilirubin.

Moderate to large amounts of protein (albumin) in urine cause a stable white foam to be produced when the urine is poured or agitated ([Fig. 5.1](#)). Similar to egg albumin, the foam that develops is thick and long lasting. In addition, a larger volume of foam is easily produced by agitation of this urine compared with urine in which protein is not present.

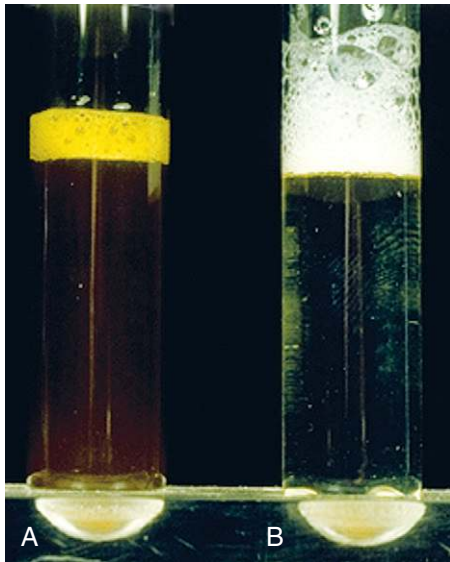
When bilirubin is present in sufficient amounts, the foam if present will be characteristically yellow (see [Fig. 5.1](#)). This coloration may be noticed when the urine is being processed and the physical characteristics recorded. Although not definitive, this distinctive yellow coloration of the foam provides preliminary evidence for the presence of bilirubin.

Most substances that intensify or change the color of urine do not alter the color or characteristics of the urine foam. In other words, despite significant changes in urine color, the foam, if forced to form by agitation, remains white and readily dissipates.

Foam characteristics noted in the physical examination are not reported in a routine urinalysis; instead, they serve as preliminary and supportive evidence for the presence of bilirubin and abnormal amounts of protein in the urine. These suspected substances must be detected and confirmed during the chemical examination before either substance is reported.

**CLARITY**

**Clarity**, along with color, describes the overall visual appearance of a urine specimen. It is assessed at the same time as



**FIG. 5.1** Urine Foam. **A**, Urine foam has distinct color due to the high bilirubin concentration in the urine specimen. **B**, Large amount of urine foam due to a high concentration of protein, specifically albumin, in the urine specimen.

urine color and refers to the transparency of the specimen. Often called turbidity, clarity describes the cloudiness of the urine caused by suspended particulate matter that scatters light. The criteria outlined in [Box 5.1](#) for assessing urine color also apply when evaluating urine clarity. An established list of descriptive terms for clarity used by all laboratory personnel ensures consistency in reporting and eliminates ambiguity. [Table 5.3](#) defines common clarity terminology and provides a list of substances that produce these characteristics.

In health, a freshly voided “clean catch” urine specimen is usually clear. If precautions are not taken, particularly with female patients, to eliminate potential contamination from the skin or from vaginal secretions, a normal specimen can appear cloudy. Likewise, if a specimen is handled improperly after collection, bacterial growth can cause the specimen to become cloudy.

Precipitation of solutes dissolved in urine, most commonly amorphous urates and phosphates, can cause a normal urine specimen to appear cloudy. Amorphous phosphates and carbonates produce a white or beige precipitate and are present only in alkaline urine. In acidic urine, a pinkish precipitate (“brick dust”) results from the deposition of uroerythrin on amorphous urate and uric acid crystals. Indirectly, the color of the precipitate indicates whether a urine pH is acid (pink) or alkaline (white, beige) ([Fig. 5.2](#)).

On close inspection of the particulate matter in urine, a specific component may be evident. Most often noted are red blood cells and small blood clots. Similarly, the excretion of fat or lymph, although rare, should be suspected in urine that appears opalescent or milky. Urine clarity provides a rapid quality check for microscopic examination—that is, a cloudy urine specimen should have significant numbers of components present when viewed microscopically.

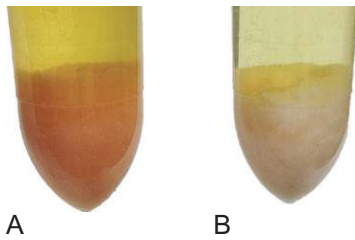
**TABLE 5.3** Clarity Terms

Term	Definition	Possible Causes
Clear	No (or rare) visible particles; transparent	All solutes present are soluble. <i>Note:</i> The possibility of an abnormal solute such as glucose, proteins (albumin, hemoglobin, myoglobin), or bilirubin is not ruled out.
Hazy or slightly cloudy	Visible particles present; newsprint can be read when viewed through urine tube	Clarity varies with the substance and the amount present: <ul style="list-style-type: none"> <li>• Blood cells—red blood cells (RBCs), white blood cells (WBCs)</li> <li>• Contaminants: powders, talc, creams, lotions, feces, etc.</li> </ul>
Cloudy	Significant particulate matter; newsprint is blurred or difficult to read when viewed through urine tube	<ul style="list-style-type: none"> <li>• Crystals of normal or abnormal solutes</li> <li>• Epithelial cells</li> <li>• Fat (lipids, chyle)</li> <li>• Microbes—bacteria, yeast, trichomonads</li> <li>• Contaminants: powders, talc, creams, lotions, feces, etc.</li> </ul>
Turbid	Newsprint cannot be seen when viewed through urine tube	<ul style="list-style-type: none"> <li>• Mucus, mucin, pus</li> <li>• Radiographic contrast media</li> <li>• Semen, spermatozoa, prostatic fluid</li> <li>• Contaminants (e.g., powders, talc, creams, lotions, feces)</li> </ul>

Modified from Schweitzer SC, Schumann JL, Schumann GB: *Quality assurance guidelines for the urinalysis laboratory*. J Med Technol 3:11, 1986.

Substances that reduce urine clarity can be categorized as pathologic or nonpathologic ([Box 5.2](#)). Principally, those substances considered nonpathologic are contaminants or normal urine components. Spermatozoa and prostatic fluid are considered to be urine contaminants because they are not derived from the urinary tract; rather, they use it as a conveyance. Radiographic contrast media present in the urine after an x-ray procedure are iatrogenic and are not indicative of disease. The presence of many squamous epithelial cells or fecal material usually indicates improper collection of the urine specimen. Pathologic conditions, however, such as a fistula between the bladder and the colon, can also result in the presence of fecal material in urine, which causes a persistent urinary tract infection.

Pathologic substances in urine indicate (1) deterioration of the barrier normally separating the urinary tract from the blood, (2) a disease process, or (3) a metabolic dysfunction. For example, the presence of red blood cells in urine



**FIG. 5.2** (A) Amorphous urates in acid urine; note the pink color similar to “brick dust.” (B) Amorphous phosphates in alkaline urine; note the whitish or beige color.

### BOX 5.2 Classification of Substances Causing Reduction in Urine Clarity

#### Pathologic

- RBCs
- WBCs
- Bacteria (fresh urine)
- Yeast
- Trichomonads
- Renal epithelial cells
- Fat (lipids, chyle)
- Abnormal crystals
- Semen, spermatozoa, prostatic fluid<sup>a</sup>
- Feces (fistula)<sup>a</sup>
- Calculi
- Pus

#### Nonpathologic

- Normal solute crystals (e.g., urates, phosphates, calcium oxalate)
- Squamous epithelial cells
- Mucus, mucin
- Radiographic contrast media
- Semen, spermatozoa, prostatic fluid<sup>a</sup>
- Contaminants: feces,<sup>a</sup> powders, talc, creams, lotions

<sup>a</sup>Indicates that the substance could be nonpathologic or pathologic depending on the cause of its presence in the urine.

indicates damage to the urinary tract. At times the site of injury can be localized, as with the presence of dysmorphic red blood cells, which are highly indicative of glomerular damage, or with the presence of red blood cells in casts, which indicate glomerular or tubular origin. White blood cells in urine indicate an inflammatory process somewhere in the urinary system. Although bacteria are the most common cause of urinary tract infection, other agents can produce inflammation without bacteriuria (see [Chapter 8](#)). In fresh urine, the presence of bacteria, white blood cells, and casts indicates an infection of the upper urinary tract (e.g., renal pelvis, interstitium), whereas the presence of bacteria and white blood cells *without pathologic casts* implies a lower urinary tract infection (e.g., bladder, urethra). In contrast, yeast and trichomonads, although agents of infection, commonly originate from a vaginal infection and often are contaminants when present in the urine specimen of

a female. Regardless of their origin, these organisms are routinely reported when observed during the microscopic examination of a urine specimen.

In summary, clear urine is not necessarily normal. Abnormal amounts of glucose, protein, lysed red blood cells, or white blood cells can be present in a clear, negative-appearing urine. Note that these components are detectable by a chemical examination. However, a freshly voided cloudy urine requires further investigation to determine the substance causing the turbidity.

## ODOR

Historically, urine odor led to the research and discovery of the metabolic disease phenylketonuria. Currently, urine odors, unless remarkably strong or distinctive, are not documented or investigated as part of a routine urinalysis. Because urine contains many organic and inorganic substances (byproducts of metabolism), normal urine has a characteristic aromatic odor that is typically faint and unremarkable. However, if normal urine is allowed to stand at room temperature and “age,” it can become particularly odorous and ammoniacal because of the conversion of urea to ammonia by bacteria. Normally, urine in the urinary tract is sterile. When it passes out of the body via the urethra, it can easily become contaminated with normal bacterial flora from the skin surface. In an improperly stored urine specimen, these contaminating organisms can proliferate. Because of this, urine odor may indicate that a specimen is old and unsuitable for testing because of the many changes that occur in unpreserved urine (see [Table 2.3](#)). However, a patient with a urinary tract infection can produce an ammonia-smelling urine owing to bacterial metabolism that is occurring within the urinary tract. The distinguishing factor is that in the latter case, the urine smells distinctly ammoniacal even when it has been freshly voided. Severe urinary tract infection can cause a strongly pungent or fetid aroma from pus, protein decay, and bacteria. Before proceeding with testing, it is imperative to determine that urines with strong odors are fresh specimens and that they have been properly stored.

Ingestion of certain foods or drugs can cause urine to have a noticeably different odor. Foods such as asparagus and garlic or intravenous medications containing phenolic derivatives can result in urine with an unusual or distinct aroma. Several metabolic disorders may cause urine to have an unusual odor ([Table 5.4](#)). For example, conditions of increased fat metabolism with formation and excretion of aromatic ketone bodies produce a sweet- or fruity-smelling urine. Of these conditions, the most common disorder is diabetes mellitus, in which glucose present in the blood cannot be used and body fat is metabolized to compensate. [Table 5.4](#) lists numerous amino acid disorders that produce noticeably odd urine odors. Patients with these disorders exhibit clinical signs of metabolic dysfunction, and their diagnoses do not rely on the detection of urine odor (see [Chapter 8](#)). Various urine tests play an important role in the differential diagnosis of these metabolic disorders.



TABLE 5.4 Causes of Urine Odors

Odor	Cause
Aromatic, faintly	Normal urine
Ammoniacal	“Old” urine—improperly stored
Pungent, fetid	Urinary tract infection
Sweet, fruity	Ketone production due to: <ul style="list-style-type: none"> <li>• Diabetes mellitus</li> <li>• Starvation, dieting, malnutrition</li> <li>• Strenuous exercise</li> <li>• Vomiting, diarrhea</li> </ul>
Unusual odor:	Associated amino acid disorder:
Mousy, barny	Phenylketonuria
Maple syrup	Maple syrup urine disease
Rancid	Tyrosinemia
Rotting/old fish	Trimethylaminuria
Cabbage, hops	Methionine malabsorption
Sweaty feet	Isovaleric and glutaric acidemias
Distinctive	Ingested substances: asparagus, garlic, onions
Mentho-like	Phenol-containing medications
Bleach	Adulteration of the specimen or container contamination

On occasion, a urine specimen can smell strongly of bleach or other cleaning agents. Sometimes the agent was added to the urine specimen intentionally (i.e., the specimen was adulterated) to interfere with testing, particularly when a urine specimen was collected for detection of prescription or illicit drugs. However, when a household container is used to collect a urine specimen, the cleaning agent may be present by accident (i.e., the container was contaminated before collection). Regardless of the cause of contamination, the specimen is not acceptable for urinalysis.

## TASTE

Although historically (circa 1674) urine was tasted to detect the presence of urinary sugars, urine is no longer tasted. The terms *mellitus*, meaning “sweet,” and *insipidus*, meaning “tasteless,” were assigned to the disease diabetes because of the taste of the urine produced by these two different diseases. The word diabetes comes from the Greek word *diabainein*, which means “to pass through or siphon” and refers to the excessive amount of urine excreted by these individuals. Despite this similarity, the causes of these disorders are entirely different.

## VOLUME

Although the amount or volume of urine excreted per day is a physical characteristic of urine, urine specimens are *not* routinely assessed for volume alone. See [Chapter 4](#), Volume subsection for a detailed discussion of: (1) the renal tubular mechanisms involved in water absorption and solute exchange, which ultimately determine urine concentration and the volume excreted, (2) the association between clinical

conditions and the volume of urine excreted, and (3) the terminology used to describe volume variations.

## CONCENTRATION

Another physical characteristic of urine is concentration—that is, the quantity of solutes present in the volume of water excreted. As discussed in [Chapter 4](#), urine is normally 94% water and 6% solutes; the amount and type of solutes excreted vary with the patient’s diet, physical activity, and health. A dilute urine has fewer solute particles present per volume of water, whereas a concentrated urine has more solute particles present per volume of water. As previously mentioned, color provides a crude indicator of urine concentration. Dilute urine contains fewer of the solutes that impart color and therefore is light yellow or even colorless. Similarly, a concentrated normal urine is dark yellow because of an increase in pigmented solutes without a corresponding increase in the water volume of the urine.

In a routine urinalysis test, urine concentration is easily and quickly determined using specific gravity (SG) measurement. The two most commonly used methods are refractometry and reagent strips. Note that when a more accurate assessment of urine concentration is needed, the urine osmolality is determined. See [Chapter 4](#) for a discussion of renal mechanisms that modify and concentrate the urine as well as a comparison of osmolality and SG measurements when assessing urine concentration and renal tubular function.

### Specific Gravity

Specific gravity is an expression of urine concentration in terms of **density** (i.e., the mass of solutes present per volume of solution). It is a ratio of urine density to the density of an equal volume of pure water under specific conditions. As a density measurement, the number of solutes in the urine, as well as their molecular size, affects SG. [Equation 5.1](#) shows that as the density of urine approaches the density of pure water, the SG approaches unity (1.000).

$$SG = \frac{\text{Density of urine}}{\text{Density of equal volume of pure water}}$$

Equation 5.1

The greater the urine density, the larger the SG value. It is physiologically impossible for the body to excrete pure water (1.000), and the lowest urine SG obtainable is approximately 1.002. Conversely, the maximum SG that urine can attain is a value equal to that of the hyperosmotic renal medulla, which is approximately 1.040.

In clinical laboratories, *indirect* measurements of urine SG such as refractometry and the reagent strip chemical method are used. It is important to be aware of the differences between these two indirect SG methods. For example, uncharged large-molecular-weight solutes are not detected by the reagent strip method, whereas these solutes are measured when using refractometry. This failure of the reagent strip method to detect uncharged large-molecular-weight solutes



such as glucose, protein, and radiographic media has clinical value. It enables assessment of the ‘concentrating ability’ of the kidneys despite the presence of these large-molecular-weight solutes. As discussed in [Chapter 4](#), renal concentrating ability predominantly involves the exchange of countless numbers of low-molecular-weight ionic solutes (i.e., electrolytes) and *not* the exchange of uncharged large-molecular-weight solutes (e.g., glucose, protein).

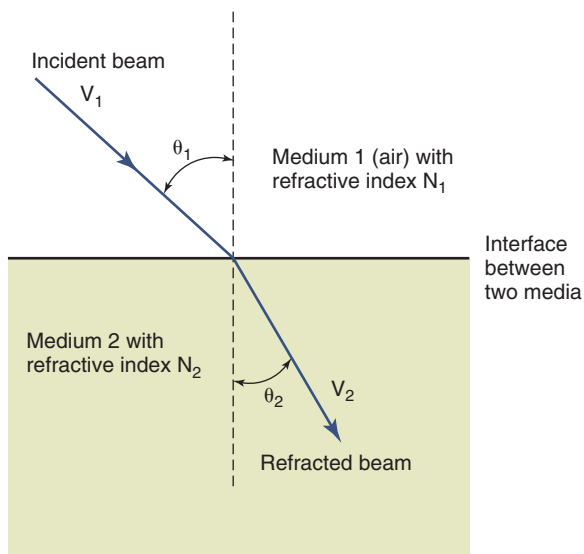
After the intravenous administration of a large-molecular-weight solute such as radiographic media or mannitol, a urine specimen should not be obtained until after a suitable time (~8 hours) has passed to allow for complete elimination of the infused agent.

### Refractometry

**Refractometry**, an indirect measure of SG, is based on the refractive index of light. When light passes from air into a solution at an angle, the direction of the light beam is refracted and its speed is decreased ([Fig. 5.3](#)). The ratio of light refraction in the two differing media is called the **refractive index**. The refractive index ( $n$ ) of the solution can be expressed mathematically using the velocity of the incident and refracted light beams or their respective angles as follows:

$$\frac{n_2}{n_1} = \frac{V_1}{V_2} \text{ or } \frac{n_2}{n_1} = \frac{\sin\theta_1}{\sin\theta_2} \quad \text{Equation 5.2}$$

In [Equation 5.2](#),  $n_1$  is the refractive index of air, which by convention equals 1.0;  $n_2$  is the refractive index of the solution being measured;  $V_1$  is the velocity of light in air;  $V_2$  is the velocity of light in the solution;  $\sin\theta_1$  is the angle of the incident beam of light; and  $\sin\theta_2$  is the angle of the refracted beam of light. Although the velocity or the angles of refraction can be used to determine the refractive index, measurement of angles is the principle routinely used by refractometers.



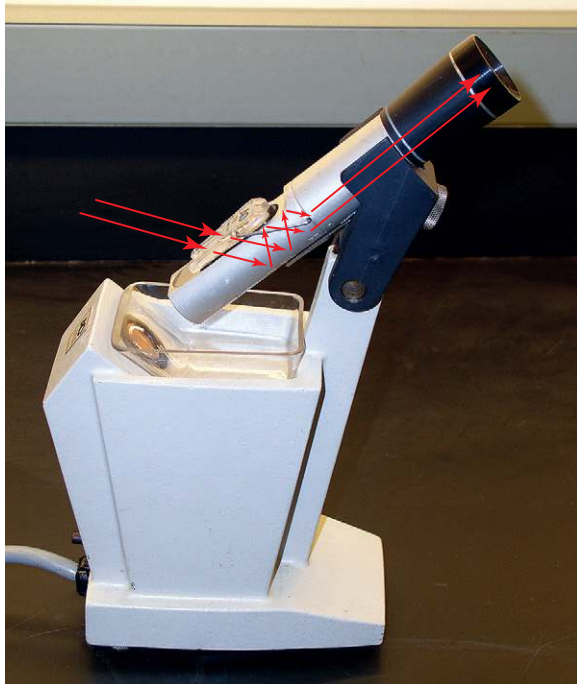
**FIG. 5.3** A schematic diagram illustrates the refraction (or bending) of light as it passes from one medium to another of differing density. The velocity of the light beam also changes.

Three factors affect the refractive index of a solution: (1) the wavelength of light used, (2) the temperature of the solution, and (3) the concentration of the solution. The temperature and the concentration of a solution affect its refractive index because they produce changes in the density of the solution. This direct relationship to solution density allows use of the refractive index to measure SG. Stated another way, as the temperature changes or the quantity of solutes in a solution changes so does its density, hence the refractive index changes. Refractometry measures all the solutes in a solution, including any glucose and protein present.

Routinely, white light provides the radiant light beam used in refractometers. Isolation of a monochromatic light beam from polychromatic white light is managed by the design of the refractometer. Within the refractometer, a prism, a liquid compensator, and the chamber cover work together to direct a single wavelength of light onto the calibrated scale. Refractive index measurements can differ depending on the wavelength used. Refractometers using a wavelength of 589 nm are most common. Many automated urine analyzers employ refractometers to determine SG (see [Chapter 16](#)) and manual refractometers ([Fig. 5.4](#)) are widely used in laboratories without automation.

The calibration of a refractometer is checked daily, or whenever it is in use. Calibration assessment is easy and involves determining the SG of distilled water, which has an SG of 1.000. After the SG of distilled water is confirmed, one or two additional solutions are analyzed to ensure calibration across the range of possible urine SG values ([Table 5.5](#)). SG calibrators are typically sodium chloride or sucrose solutions of known concentration; they are available commercially or can be prepared by the laboratory. In addition, urine controls at low, medium, and high SG values should be routinely analyzed and results recorded. Should the refractometer require calibration adjustment, a set-screw is available on the body of the instrument. However, before any adjustments are made, the glass surface and the cover plate of the refractometer should be thoroughly cleaned and all calibration solutions rechecked.

Use of refractometry to measure urine SG has several advantages, most notably the small sample required and the ability to automatically make temperature compensations for specimens between 15°C and 38°C. One to two drops of urine placed between the cover plate and the prism cover glass rapidly equilibrate in temperature with the instrument. Within the refractometer is a liquid reservoir with a refractive index that varies with temperature. As the refracted light beam from the sample passes through this reservoir, the light beam is corrected to the value that would be obtained at a temperature of 20°C. The refracted light beam makes several passes through the measuring prism before the lens focuses it onto the calibrated scale. In the viewing field, a distinct edge between light and dark areas is evident. This boundary is the point at which the SG value is read from the scale ([Fig. 5.5](#)). The scale is calibrated for urine testing at the factory. Similarly, refractometers are available that have a second calibrated scale in the viewing field for determination of serum or plasma protein concentration based on the refractive index.



**FIG. 5.4** A manual refractometer with the pathway of light superimposed.

**TABLE 5.5 Calibration Solutions for Refractometry**

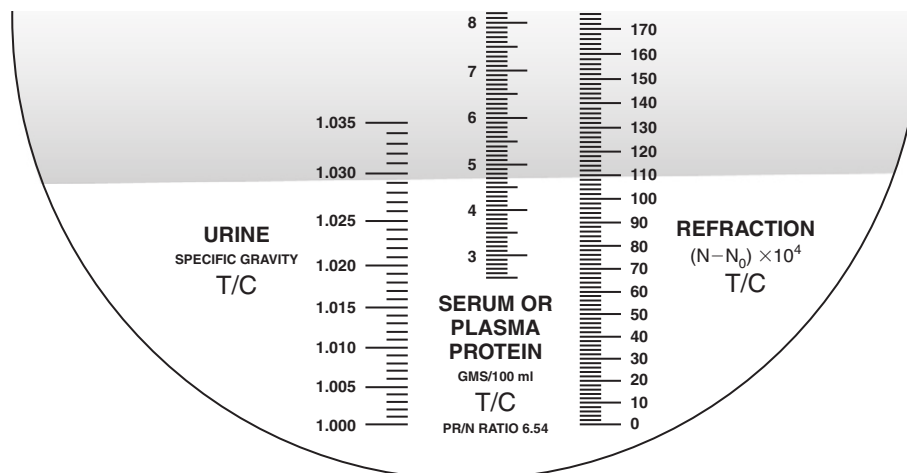
SG	Solution
1.000	Water, distilled
1.015	NaCl, 0.513 mol/L (3% w/v)
1.022	NaCl, 0.856 mol/L (5% w/v)
1.034	Sucrose, 0.263 mol/L (9% w/v)

In summary, refractometry compares the velocity or angle of refraction of light in a solution versus that of light in air. In a solution, as the number of solutes increases, the velocity of light decreases and the angle of light refraction decreases. Refractometers automatically compensate for temperatures ranging from 15°C to 38°C. Many manual refractometers are calibrated for urine SG as well as serum protein determinations, and they require a small sample volume.

Again, it is important to note that refractometer results are increased by the presence of high-molecular-weight solutes such as glucose, protein, mannitol, or radiographic media. It is known that when using refractometry, urine SG is increased by 0.003 for each gram per deciliter (g/dL) of protein present and increased by 0.002 for each g/dL of glucose.<sup>4</sup> When protein and glucose are present in urine, clinical laboratories rarely make corrections to refractometer results determined manually; in contrast, urine analyzers that use refractometry automatically make these corrections. Note that radiographic contrast media and mannitol in urine will cause very high SG results that are erroneous and physiologically impossible (e.g., 1.050 or higher). Such extreme SG results require investigation before reporting.

### Reagent Strip Method

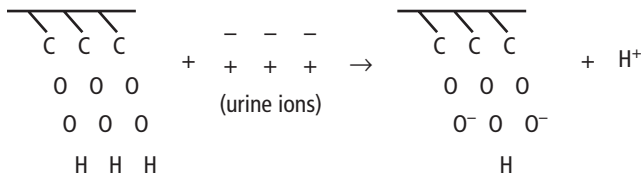
The reagent strip SG method is an indirect colorimetric estimation of urine density based on the quantity of ionic or charged solutes ( $\text{Na}^+$ ,  $\text{Cl}^-$ ,  $\text{K}^+$ ,  $\text{NH}_4^+$ ) present. Note that non-ionic solutes are not measured. Hence this method determines the **ionic specific gravity** ( $\text{SG}_{\text{ionic}}$ ). In health, the ability of the kidneys to selectively reabsorb and secrete ionic solutes and water determines the concentration (density) of the urine excreted. In contrast, excretion of nonionic solutes such as urea, glucose, protein, or radiographic media does not reflect the status of this renal function. Glucose in urine usually indicates a metabolic disorder (diabetes mellitus),



**FIG. 5.5** A schematic representation of the viewing field and scale in the refractometer. (Courtesy Leica, Inc., Buffalo, NY. Reprinted with permission).

whereas protein often indicates a renal condition such as a change in the glomerular filtration barrier (glomerulonephritis, nephrotic syndrome). No other SG method is able to eliminate the effects of nonionic large-molecular-weight solutes on SG results. Therefore reagent strip SG results are uniquely valuable in assessing the ability of the kidneys to handle water and ionic solutes when glucose or protein is also present in the urine. In summary, although reagent strip SG results may not indicate the true density of urine when nonionic solutes are present, they do reflect the renal concentrating ability to selectively handle ionic solutes and water. Note, however, that reagent strip SG measurements are affected by urine pH, with the most accurate results obtained when the urine pH is 7.0 to 7.5.<sup>4</sup> Acid urine causes falsely increased SG results, whereas more alkaline urine causes falsely decreased results. When reagent strips are read by a reagent strip reader, the SG reading is automatically corrected by the instrument.

This chemical SG method consists of a reagent test pad adhered to an inert plastic strip. The test pad is impregnated with a polyelectrolyte, a pH indicator, and is maintained at an alkaline pH. When the strip is immersed in urine, the  $pK_a$  (which is the negative logarithm of the ionization constant of an acid) of the polyelectrolyte decreases proportionately to the ionic concentration of the specimen. As the pH of the test pad decreases, the bromothymol blue indicator changes color from dark blue-green ( $SG_{\text{ionic}} 1.000$ ) to yellow-green ( $SG_{\text{ionic}} 1.030$ ). Stated another way, as the number of ions present in the urine is increased, more protons are released from the polyelectrolyte, resulting in a decrease in the test pad pH and a color change in the indicator (Equation 5.3).



Equation 5.3

This indirect SG method does not relay the total solute concentration because nonionic substances, regardless of their molecular size, go undetected. The total SG ( $SG_T$ ) of urine includes all solutes—ionic and nonionic—when present (Equation 5.4).

$$SG_T = SG_{\text{ionic}} + SG_{\text{nonionic}} \quad \text{Equation 5.4}$$

When a person is healthy, the quantity of nonionic solutes in urine relative to ionic solutes is insignificant. Therefore the  $SG_{\text{ionic}}$  is essentially equal to the  $SG_T$  (Equation 5.5). This provides the basis for the efficacy of the reagent strip method for SG determinations.

$$\text{When } SG_{\text{nonionic}} \ll \ll SG_{\text{ionic}}, \text{ then } SG_T = SG_{\text{ionic}}$$

Equation 5.5

In summary, the  $SG_{\text{ionic}}$  determined by the reagent strip method is a rapid and useful tool for evaluating the ionic

TABLE 5.6 Specific Gravity Methods: Principle and Limitations

Method	Principle	Limitations
Reagent strip	$pK_a$ change of a polyelectrolyte; indirect measure of density	Measures only ionic (charged) solutes; nonionic solutes (e.g., glucose, protein) are not detected
Refractometry	Based on refractive index of solution; indirect measure of density	Results affected by molecular size and structure; solutes of large mass (e.g., protein, glucose, mannitol, radiographic contrast media) contribute more to value than small mass solutes (e.g., sodium, chloride); when high concentrations of protein and glucose are present, urine analyzers autocorrect; manual calculations can be made when using manual refractometers

concentration of urine. However, nonionic solutes are not detected by this method, regardless of their molecular weight.

Table 5.6 summarizes the principle and limitations of the SG methods discussed. Note that the clinical significance of SG, associated descriptive terms, and correlation with disease are discussed in Chapter 4.

### Specific Gravity Result Discrepancies Between Reagent Strip and Refractometry

Because different methods can be used to determine SG, it is imperative that the limitations of each method are known to ensure proper interpretation of results. Similarly, the range of SG values that are physiologically possible must be recognized (i.e., 1.002 to 1.040). When urine produces an abnormally high SG value ( $> 1.040$ ) by refractometry but a normal SG result by reagent strip, radiographic contrast media or another large-molecular-weight solute (e.g., mannitol) should be suspected. Note that because the kidneys cannot produce urine with an SG greater than 1.040, when observed the excretion of an iatrogenic substance is indicated. A discrepancy (i.e., a difference  $> 0.005$ ) between these SG methods alerts the laboratorian to the presence of a nonionic solute that is detected by refractometry but not by the reagent strip method. In these cases, the reagent strip method provides a more accurate assessment of the ability of the kidney to concentrate the urine. After intravenous administration

of radiographic media, approximately 8 hours is needed for complete elimination of the imaging agent.

In summary, the presence of (1) large quantities of glucose or protein, or (2) small amounts of mannitol or radiographic media can falsely increase the SG result by refractometry. In contrast, these solutes are not detected when using an ionic reagent strip SG method.

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## STUDY QUESTIONS

1. The color of normal urine is due to the pigment
  - A. bilirubin.
  - B. urobilin.
  - C. uroerythrin.
  - D. urochrome.
2. A single substance can impart different colors to urine depending on the
  1. amount of the substance present.
  2. storage conditions of the urine.
  3. pH of the urine.
  4. structural form of the substance.
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.
3. Which of the following urine characteristics provides the best rough indicator of urine concentration and body hydration?
  - A. Color
  - B. Clarity
  - C. Foam
  - D. Volume
4. Which of the following pigments deposits on urate and uric acid crystals to form a precipitate described as “brick dust”?
  - A. Bilirubin
  - B. Urobilin
  - C. Uroerythrin
  - D. Urochrome

5. Match the colors to the urine pigment/substance. Note that more than one color can be selected for a pigment/substance.

### Urine Pigment/ Substance

- A. Bilirubin
- B. Biliverdin
- C. Hemoglobin
- D. Myoglobin
- E. Porphobilinogen
- F. Urobilin
- G. Urobilinogen
- H. Urochrome
- I. Uroerythrin

### Color of Pigment/ Substance

- 1. Colorless
- 2. Yellow
- 3. Orange
- 4. Red
- 5. Pink
- 6. Purple
- 7. Brown
- 8. Green

6. Which of the following criteria should one use to consistently evaluate urine color and clarity?
  1. Mix all specimens well.
  2. Use the same depth or volume of a specimen.
  3. Evaluate the specimens at the same temperature.
  4. View the specimens against a dark background with good lighting.
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.
7. Select the urine specimen that does not indicate the possible presence of blood or hemoglobin.
  - A. Clear, red urine
  - B. Cloudy, brown urine
  - C. Clear, brown urine
  - D. Cloudy, amber urine

8. A urine that produces a large amount of white foam when mixed should be suspected to contain increased amounts of
- bilirubin.
  - protein.
  - urobilin.
  - urobilinogen.
9. Which of the following substances can change the color of a urine and its foam?
- Bilirubin
  - Hemoglobin
  - Myoglobin
  - Urobilin
10. The clarity of a well-mixed urine specimen that has visible particulate matter and through which newsprint can be seen but not read should be described as
- cloudy.
  - flocculated.
  - slightly cloudy.
  - turbid.
11. Classify each substance that can be present in urine as indicating a (1) pathologic or (2) nonpathologic condition.
- Bacteria (fresh urine)
  - Bacteria (old urine)
  - Fat
  - Powder
  - Radiographic contrast media
  - Red blood cells
  - Renal epithelial cells
  - Spermatozoa
  - Squamous epithelial cells
  - Urate crystals
  - White blood cells
  - Yeast
12. Which of the following urine specimens is considered normal?
- A freshly voided urine that is brown and clear
  - A freshly voided urine that is yellow and cloudy
  - A clear yellow urine specimen that changes color upon standing
  - A clear yellow urine specimen that becomes cloudy upon refrigeration
13. A white or beige precipitate in a “normal” alkaline urine most likely is caused by
- amorphous phosphates.
  - amorphous urates.
  - uric acid crystals.
  - radiographic contrast media.
14. Match the urine odor to the condition or substance that can cause it. You may select more than one odor for a condition.
- | Condition/Substance                                      | Urine Odor          |
|--|---------------------|
| <input type="checkbox"/> A. Diabetes mellitus            | 1. Ammonia-like     |
| <input type="checkbox"/> B. Normal urine                 | 2. Bleach           |
| <input type="checkbox"/> C. Old, improperly stored urine | 3. Faintly aromatic |
| <input type="checkbox"/> D. Specimen adulteration        | 4. Pungent, fetid   |
| <input type="checkbox"/> E. Starvation                   | 5. Sweet, fruity    |
| <input type="checkbox"/> F. Urinary tract infection      |                     |
15. Which of the following specific gravity values is physiologically impossible?
- 1.000
  - 1.010
  - 1.020
  - 1.030
16. The refractive index of a solution is affected by the
- wavelength of light used.
  - size and number of the solutes present.
  - concentration of the solution.
  - temperature of the solution.
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
17. Advantages of the refractometry method for specific gravity measurement include:
- It uses a small amount of sample.
  - It is fast and easy to perform.
  - It automatically compensates for temperature.
  - It measures only ionic solutes.
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
18. The principle of the reagent strip method for measuring specific gravity is based on
- the pKa of a polyelectrolyte decreasing in proportion to the ionic concentration of the specimen.
  - the pH of a polyelectrolyte decreasing in proportion to the ionic concentration of the specimen.
  - the pKa of a polyelectrolyte increasing in proportion to the ionic concentration of the specimen.
  - the pH of a polyelectrolyte increasing in proportion to the ionic concentration of the specimen.



19. Ionic specific gravity ( $SG_{\text{ionic}}$ ) measurements obtained using reagent strips provide useful clinical information because
- A. all of the urinary solutes present are measured.
  - B. the quantity of nonionic solutes in urine relative to ionic solutes is significant.
  - C. excretion of nonionic solutes (e.g., urea, glucose, protein) does not reflect renal dysfunction.
  - D. the ability of the kidneys to concentrate urine is reflected in the reabsorption and secretion of ionic solutes.
20. Which of the following solutes is measured when using the reagent strip specific gravity method?
- A. Albumin
  - B. Glucose
  - C. Sodium
  - D. Radiographic media

### CASE 5.1

A routine urinalysis on a urine specimen collected from a hospitalized patient revealed a specific gravity greater than 1.050 with the use of refractometry.

1. The best explanation for this specific gravity result is that the urine specimen
  - A. is old and has deteriorated.
  - B. contains radiographic contrast media.
  - C. is concentrated because the patient is ill and dehydrated.
  - D. contains abnormally high levels of sodium and other electrolytes because the patient is taking diuretics.
2. Which of the following actions should be taken?
  - A. Report the urinalysis results; no further action is needed.
  - B. Report the urinalysis results and suggest that the patient be instructed to increase fluid intake.
  - C. Contact the patient care unit to determine whether the patient is taking a diuretic; if so, report the urinalysis results.
  - D. Do not report the urinalysis results; request that a urine specimen be recollected after several hours.

### CASE 5.2

A prenatal examination including a routine urinalysis is performed on a 28-year-old female. Her physical examination is unremarkable. When asked about her health, she states that it is generally good, except for several urinary tract infections in the past. In fact, she thinks she might be getting one now and has been taking an over-the-counter product that lessens her discomfort. The following urinalysis results are obtained:

Color: bright orange (like soda pop)

Clarity: clear

1. Which of the following statements best explains the orange color of the urine?
  - A. She has a liver disorder, and bilirubin is present in the urine.
  - B. The urine is concentrated, which can be confirmed by the urine specific gravity.
  - C. She has recently eaten fresh beets and is genetically disposed to produce this abnormally colored urine.
  - D. The over-the-counter product contains phenazopyridine, which imparts this characteristic color to urine.
2. The urine specimen was placed in a refrigerator while the laboratory determined whether the physician wanted a microscopic examination performed (i.e., the request slip was not appropriately completed). When the specimen was later removed to prepare an aliquot for microscopic analysis, the specimen was still orange but was now cloudy. Which of the following statements best explains this increase in urine turbidity?
  - A. The delay in analysis has allowed bacteria to proliferate.
  - B. Squamous epithelial cells in the urine have degenerated.
  - C. Because of the temperature change, normal urine solutes have precipitated.
  - D. The specimen was contaminated with vaginal secretions and yeast has propagated.

# Routine Urinalysis—the Chemical Examination

## LEARNING OBJECTIVES

*After studying this chapter, the student should be able to:*

1. State the proper care and storage of commercial reagent strip and tablet tests and cite at least three potential causes of their deterioration.
2. Describe quality control procedures for commercial reagent strip and tablet tests.
3. Discuss appropriate specimen and testing techniques used with commercial reagent strip and tablet tests.
4. State the chemical principle used on reagent strips for measurement of the following:
  - Specific gravity
  - pH
5. Summarize the clinical significance of the following substances when present in urine and describe the chemical principles used on reagent strips to measure them:
  - Protein
  - Blood
  - Leukocyte esterase
  - Nitrite
  - Glucose
  - Ketones
  - Bilirubin
  - Urobilinogen
  - Ascorbic acid
6. Compare and contrast the sensitivity, specificity, and potential interferences of each commercial reagent strip and tablet test.
7. Compare and contrast the mechanisms for and the clinical significance of the following types of proteinurias:
  - Overflow proteinuria
  - Glomerular proteinuria
  - Postural proteinuria
  - Tubular proteinuria
  - Postrenal proteinuria
8. Discuss the clinical features of the nephrotic syndrome and Fanconi's syndrome, including the specific renal dysfunctions involved.
9. Compare and contrast the chemical principle, sensitivity, and specificity of the following tests for the detection of proteins in the urine:
  - Reagent strip protein test
  - Sensitive albumin tests (i.e., microalbumin)
10. Differentiate between hematuria and hemoglobinuria.
11. Discuss the clinical significance of myoglobin. Compare and contrast myoglobinuria and hemoglobinuria.
12. Discuss the limitations of leukocyte esterase and nitrite reagent strip tests for the detection of leukocyturia and bacteriuria.
13. Describe two physiologic mechanisms that result in glucosuria.
14. Compare and contrast the glucose reagent strip test and the copper reduction test for the measurements of sugars in urine.
15. Describe three conditions that result in ketonuria.
16. Briefly explain the metabolic pathway that results in ketone formation, state the relative concentrations of the three ketones formed, and discuss the reagent strip and tablet tests used to detect them.
17. Summarize the formation of bilirubin and urobilinogen, discuss their clinical significance, and describe three physiologic mechanisms that result in altered bilirubin metabolism.
18. Describe two chemical principles used by reagent strip tests to detect urine urobilinogen and compare their sensitivity, specificity, and limitations.
19. Compare and contrast the principle, sensitivity, specificity, and limitations of the following methods for detection of bilirubin in urine:
  - Physical examination
  - Reagent strip test
  - Tablet test
20. State the importance of ascorbic acid detection in urine, and describe methods used to detect ascorbic acid.
21. Identify reagent strip tests that are affected adversely by ascorbic acid, and explain the mechanism of interference.
22. Describe the role of reflex testing in urinalysis and discuss the correlation between results obtained in the chemical examination and what they imply for the microscopic examination.

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**Reflex Testing and Result Correlation, 116****References, 117****Study Questions, 117**KEY TERMS<sup>1</sup>**albuminuria****ascorbic acid (also called *vitamin C*)****ascorbic acid interference****bacteriuria****bilirubin****Ehrlich's reaction****Fanconi's syndrome****glomerular proteinuria****glucosuria; glycosuria****hematuria; heme moiety****hemoglobinuria****hemosiderin****jaundice****ketonuria****leukocyturia****myoglobinuria****nephrotic syndrome****overflow proteinuria****postrenal proteinuria****postural (orthostatic) proteinuria****protein error of indicators****proteinuria****pseudoperoxidase activity****pyuria****reflex testing****renal proteinuria****tubular proteinuria****urinary tract infection (UTI)****urobilinogen**<sup>1</sup> Definitions are provided in the chapter and glossary.

## REAGENT STRIPS

Commercial reagent strips are routinely used for chemical analysis of urine. Reagent strips enable rapid screening of urine specimens for pH, protein, glucose, ketones, blood, bilirubin, urobilinogen, nitrite, and leukocyte esterase. In addition, specific gravity and ascorbic acid can be determined by reagent strip, depending on the brand of strip used. Four commonly used brands of commercial reagent strips are Multistix (Siemens Healthcare Diagnostics Inc., Deerfield, IL), Chemstrips (Roche Diagnostics, Indianapolis, IN), and Aution Sticks (Arkray Inc., Kyoto, Japan). Most commercial reagent strips are available with single or multiple test pads on a reagent strip, which allows flexibility in test selection and cost containment.

A reagent strip is an inert plastic strip onto which reagent-impregnated test pads are bonded (Fig. 6.1). Chemical reactions take place after the strip is wetted with urine. Each reaction results in a color change that can be assessed visually or mechanically. By comparing the color change observed with the color chart supplied by the strip



**FIG. 6.1** A commercial reagent strip or dipstick consists of reagent-impregnated test pads that are fixed to an inert plastic strip. After the strip has been appropriately wetted in a urine sample, chemical reactions cause the reaction pads to change color. At the appropriate “read time,” results are determined by comparing the color of each reaction pad with the appropriate analyte on the color chart. (From Young AP, Proctor DB: *Kinn's the medical assistant: an applied learning approach*, ed 11, St. Louis, 2011, Saunders).

manufacturer, qualitative results for each reaction are determined. See [Appendix A](#) for samples of these color charts and the reporting formats provided by manufacturers on reagent strip containers. Depending on the test, results are reported (1) in concentration (milligrams per deciliter); (2) as small, moderate, or large; (3) using the plus system (1+, 2+, 3+, 4+); or (4) as positive, negative, or normal. The specific gravity and the pH are exceptions; these results are *estimated* in their respective units. Manufacturers do not use the same reporting terminology. For example, Multistix strips report glucose values less than 100 mg/dL as negative, whereas Chemstrips report these glucose results as normal. These minor inconsistencies among products can be confusing. Therefore, laboratorians must be aware of the reporting format, the chemical principles involved, and the specificity and sensitivity of each test included on the reagent strips used in their laboratory.

The chemical principles used on reagent strips are basically the same, with some manufacturers differing only in the determination of urobilinogen. See [Appendix B](#) for a tabular summary and comparison of reagent strip reaction principles, sensitivity, and specificity, which are also discussed throughout this chapter.

Reagent strips are available with a single test pad (e.g., Albustix, a single-protein test pad) or with a variety of test pad combinations. These combinations vary from 2 to 10 test pads per reagent strip and enable health-care providers to selectively screen urine specimens for only those constituents that interest them (e.g., Chemstrip 2 LN and Multistix 2 have only two pads: leukocyte esterase and nitrite tests). Some manufacturers also include a pad to account for urine color when automated reagent strip readers (i.e., reflectance photometers) are used.

Because reducing agents such as ascorbic acid have the potential to adversely affect several reagent strip test results, it is important that these and other potential interferences are detected or eliminated. In this regard, Chemstrip reagent strips use an iodate overlay on the blood test pad to eliminate ascorbic acid interference. The presence of interferences must be known to enable alternative testing when possible or to appropriately modify the results to be reported. Common interferences encountered in the chemical examination of urine and the effects these interferences have on urinalysis results are discussed for each reagent strip test in this chapter.

### Care and Storage

Reagent strips, which are sometimes called *dipsticks*, are examples of state-of-the-art technology. Before the development of the first dry chemical dipstick test for glucose in the 1950s, all chemical tests on urine were performed individually in test tubes. Reagent strips have significantly (1) reduced the time required for testing, (2) reduced costs (e.g., reagents, personnel), (3) enhanced test sensitivity and specificity, and (4) decreased the amount of urine required for testing.

To ensure the integrity of reagent strips, their proper storage is essential, and the manufacturer's directions must

be followed. Each manufacturer provides a comprehensive product insert that outlines the chemical principles, reagents, storage, use, sensitivity, specificity, and limitations of its reagent strips. All reagent strips must be protected from moisture, chemicals, heat, and light. Any strips showing evidence of deterioration, contamination, or improper storage should be discarded. Tight-fitting lids, along with desiccants or drying agents within the product container, help eliminate test pad deterioration due to moisture. Fumes from volatile chemicals, acids, and bases can adversely affect the test pads and should be avoided. All reagent strip containers protect the reagent strips from ultraviolet rays and sunlight; however, the containers themselves must be protected to prevent fading of the color chart located on the label of the container. Reagent strips should be stored in their original containers at temperatures below 30°C (86°F); they are stable until the expiration date indicated on the label. To ensure accurate test results, all reagent strips—whether from a newly opened container or from one that has been opened for several months—must be periodically tested using appropriate control materials.

### Quality Control Testing

Quality control testing of reagent strips not only ensures that the reagent strips are functioning properly, but also confirms the acceptable performance and technique of the laboratorian using them. Multiconstituent controls at two distinct levels (e.g., negative and positive) for each reaction must be used to check the reactivity of reagent strips. New containers or lot numbers of reagent strips must be checked “at a frequency defined by the laboratory, related to workload, suggested by the manufacturer, and in conformity with any applicable regulations.”<sup>1</sup>

Commercial or laboratory-prepared materials can serve as acceptable negative controls. Similarly, positive controls can be purchased commercially or prepared by the laboratory. Because of the time and care involved in making a multiconstituent control material that tests each parameter on the reagent strip, most laboratories purchase control materials. When control materials are tested, acceptable test performance is defined by each laboratory. Regardless of the control material used, care must be taken to ensure that analyte values are within the critical detection levels for each parameter. For example, a protein concentration of 1 g/dL would be inappropriate as a control material because it far exceeds the desired critical detection level of 10 to 15 mg/dL.

An additional quality check on chemical and microscopic examinations, as well as on the laboratorian, involves aliquoting a well-mixed urine specimen from the daily workload and having a different laboratory (interlaboratory) or a technologist on each shift (intralaboratory) analyze the specimen. Interlaboratory duplicate testing checks the entire urinalysis procedure and detects innocuous changes when manual urinalyses are performed, such as variations in the speed of centrifugation and in centrifuge brake usage. Intralaboratory duplicate testing can also be used to evaluate the technical competency of laboratorians.

## TABLET AND CHEMICAL TESTS

### Care and Storage

Commercial tablet tests (e.g., Ictotest, Clinitest, Acetest [all from Siemens Healthcare Diagnostics Inc.]) must be handled and stored according to the inserts provided by the manufacturers. These products are susceptible to deterioration from exposure to light, heat, and moisture. Therefore they should be visually inspected before each use and discarded if any of the following changes have occurred: tablet discolored, contamination or spoilage evident, incorrect storage, or past the expiration date. Note that the stability of the reaction tablets can decrease after opening because of repeated exposure to atmospheric moisture. To ensure tablet integrity, an appropriate quality control program must be employed.

Chemical tests such as the sulfosalicylic acid (SSA) precipitation test, the Hoesch test, and the Watson-Schwartz test require appropriately made and tested reagents. When new reagents are prepared, they should be tested in parallel with current “in-use” reagents to ensure equivalent performance. Chemical tests must also be checked according to the laboratory’s quality control program to ensure the reliability and reproducibility of test results obtained.

### Quality Control Testing

As with reagent strips, tablet or chemical tests performed in the urinalysis laboratory must have quality control materials run to ensure the integrity of the reagents and the technique used in testing. Some commercial controls for reagent strips can also be used to check the integrity of Clinitest, Ictotest, and Acetest tablets. In addition, lyophilized chemistry controls or laboratory-made control materials can be used. For example, a chemistry albumin standard at an appropriate concentration (approximately 30 to 100 mg/dL) serves as a satisfactory control for performance of the SSA protein precipitation test.

Positive and negative quality control materials must be analyzed according to the frequency established in the laboratory’s policy. New tablets and reagents should be checked before they are placed into use and periodically thereafter.

## CHEMICAL TESTING TECHNIQUE

### Reagent Strips

Although reagent strips are easy to use, proper technique is imperative to ensure accurate results. [Box 6.1](#) summarizes an appropriate manual reagent strip testing technique. The manufacturer’s instructions provided with the reagent strips and tablet tests must be followed to obtain accurate results. Note that instructions vary among different manufacturers and that failure to read reaction results at the correct time could cause the reporting of erroneous results. For example, the reactions on Multistix strips must be read at the specific time indicated, which varies from 30 seconds to 2 minutes. In contrast, all reactions on Chemstrip brand strips are stabilized and can be read at 2 minutes.

### BOX 6.1 Appropriate Manual Reagent Strip Testing Technique

Room conditions: Good lighting, preferably fluorescent; avoid direct sunlight

Urine specimen: At room temperature

Technique:

1. Using *uncentrifuged* urine, mix specimen well.
2. Dip reagent strip *briefly* into urine to wet all reaction pads and start timing device.
3. Remove excess urine by drawing edge of strip against rim of container or by blotting strip edge on absorbent paper.
4. At the appropriate times, read results of each reaction pad using the color chart on the container.
5. Discard strip into biohazard waste.

A fresh, well-mixed, uncentrifuged specimen is used for testing. If the specimen is maintained at room temperature, it must be tested within 2 hours after collection to avoid erroneous results caused by changes that can occur in unpreserved urine<sup>1</sup> (see [Chapter 2, Table 2.3](#)). If the urine specimen has been refrigerated, it should be allowed to warm up to room temperature before testing with reagent strips to avoid erroneous results. The specimen can be tested in the original collection container or after an aliquot is poured into a labeled centrifuge tube. The reagent strip should be *briefly* dipped into the urine specimen, wetting all test pads. Excess urine should be drained from the strip by drawing the edge of the strip along the rim of the container or by placing the strip edge on an absorbent paper. Inadequate removal of excess urine from the strip can cause contamination of one test pad with the reagents from another, whereas prolonged dipping of the strip causes the chemicals to leach from the test pad into the urine. Both of these actions can produce erroneous test results.

When reagent strips are read, the time required before full color development varies with the test parameter. To obtain reproducible and reliable results, the timing instructions provided by the manufacturer must be followed. Timing intervals can differ among reagent strips from the same manufacturer and among different manufacturers of the same test. For example, when a Multistix strip is used, the ketone test pad is read at 40 seconds; however, when Ketostix strips are used, the test area is read at 15 seconds. Some reagent strips have the flexibility of reading all test pads, except leukocytes, at any time between 60 and 120 seconds (e.g., Chemstrips), whereas others require the exact timing of each test pad for semiquantitated results (e.g., Multistix strips).

Visual interpretation of color varies slightly among individuals; therefore reagent strips should be read in a well-lit area with the strip held close to the color chart. The strip must be properly oriented to the chart before results are determined. Because of similar color changes by several of the test pads, improper orientation of the strip to the color chart is a potential source of error. (See [Appendix A, Reagent Strip Color Charts](#).) Note that color changes appearing only along the edge of a reaction pad or after 2 minutes are diagnostically insignificant



and should be disregarded. When reagent strips are read by automated instruments, the timing intervals are set by the factory. The advantage of automated instruments in reading reagent strips is their consistency in timing and color interpretation regardless of room lighting or testing personnel. Some instruments, however, are unable to identify and compensate for urine that is highly pigmented owing to medications. This can lead to false-positive reagent strip test results because the true color reaction is masked by the pigment present. Laboratorians should identify highly pigmented urine specimens and manually test them using reagent strips or alternative methods. The sensitivity and specificity of three brands of commercial reagent strips are discussed throughout this chapter and are summarized in tabular form in [Appendix B](#).

### Tablet and Chemical Tests

With each tablet test, the manufacturer's directions must be followed exactly to ensure reproducible and reliable results. All chemical tests, such as the SSA precipitation test for protein, must be performed according to established written laboratory procedures. The laboratorian should know the sensitivity, specificity, and potential interferences for each test. Chemical and tablet tests are generally performed (1) to confirm results already obtained by reagent strip testing, (2) as an alternative method for highly pigmented urine, (3) because they are more sensitive for the substance of interest than the reagent strip test (e.g., Ictotest tablets), or (4) because the specificity of the test differs from that of the reagent strip test (e.g., Clinitest, SSA protein test).

## CHEMICAL TESTS

### Specific Gravity

Despite being a physical characteristic of urine, specific gravity is often determined during the chemical examination using commercial reagent strips. Provided in [Table 6.1](#) is a listing of specific gravity values and associated conditions. For in-depth discussion of the clinical significance and renal tubular functions reflected by specific gravity as well as osmolality measurements, see [Chapter 4](#), subsections Specific Gravity and Assessment of Renal Concentrating Ability.

Methods available for measuring specific gravity differ in their ability to detect and measure solutes. Therefore it is important that health-care providers are informed of the test method used—its principle, sensitivity, specificity, and limitations. See [Chapter 5](#) and [Table 5.6](#) for a detailed discussion and comparison of the specific gravity methods: refractometry and reagent strip method.

#### Principle

The reagent strip specific gravity test does not measure the total solute content but only those solutes that are ionic. Keep in mind that only ionic solutes are involved in the renal concentrating and secreting ability of the kidneys and therefore this test has diagnostic value (see [Chapter 4](#)). [Table 6.2](#)

**TABLE 6.1 Clinical Significance of Urine Specific Gravity Results**

Specific Gravity	Indication or Cause
1.000	Physiologically impossible—the same as pure water; suspect adulteration of urine specimen
1.001–1.009	Dilute urine; associated with increased water intake or water diuresis (e.g., diuretics, inadequate secretion/action of ADH)
1.010–1.025	Indicates average solute and water intake and excretion
1.025–1.035 (1.040 maximum)	Concentrated urine; associated with dehydration, fluid restriction, profuse sweating, osmotic diuresis
>1.040	Physiologically impossible; indicates presence of iatrogenic substance (e.g., radiographic contrast media, mannitol)

ADH, Antidiuretic hormone, also known as *arginine vasopressin* (AVP).

**TABLE 6.2 Specific Gravity by Reagent Strip**

Principle	Ionic solutes present in the urine cause protons to be released from a polyelectrolyte. As protons are released, the pH decreases and produces a color change of the bromthymol blue indicator from blue-green to yellow-green.  Chemstrip and Multistix reagent strips only Polyelectrolyte: see <a href="#">Appendix B, Table B.1</a>
Sensitivity	Chemstrip: 1.000–1.030 Multistix: 1.000–1.030
Specificity	Detects only ionic solutes; provides “estimate” in 0.005 increments <i>Falsely low:</i> <ul style="list-style-type: none"> <li>• Chemstrip: Glucose and urea &gt;1 g/dL</li> <li>• Multistix: pH <math>\geq</math>6.5; add 0.005</li> </ul> <i>Falsely high:</i> <ul style="list-style-type: none"> <li>• Protein approximately equal to 100–500 mg/dL</li> <li>• Ketoacidosis</li> </ul>

provides a summary of the specific gravity reaction principle, sensitivity, and specificity using selected reagent strip brands.

### pH

#### Clinical Significance

The kidneys play a major role in regulating the acid–base balance of the body, as discussed in [Chapter 3](#). The renal system, the pulmonary system, and blood buffers provide the means for maintaining homeostasis at a pH compatible

with life. Normal daily metabolism generates endogenous acids and bases; in response, the kidneys selectively excrete acid or alkali. Normally, the urine pH varies from 4.5 to 8.0. The average individual excretes slightly acidic urine of pH 5.0 to 6.0 because endogenous acid production predominates. However, during and after a meal the urine produced is less acidic. This observation is known as the *alkaline tide*.

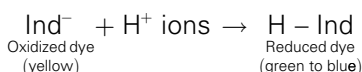
Urine pH can affect the stability of formed elements in urine. An alkaline pH enhances lysis of cells and degradation of the matrix of casts. Because pH values greater than 8.0 and less than 4.5 are physiologically impossible, they require investigation when obtained. The three most common reasons for a urine pH greater than 8.0 are (1) a urine specimen that was improperly preserved and stored, resulting in the proliferation of urease-producing bacteria and resultant increased pH; (2) an adulterated specimen (i.e., an alkaline agent was added to the urine after collection); and (3) the patient was given a highly alkaline substance (e.g., medication, therapeutic agent) that was subsequently excreted by the kidneys. In the latter situation, efforts should be made to ensure adequate hydration of the patient to prevent in vivo precipitation of normal urine solutes (e.g., ammonium biurate crystals), which can cause renal tubular damage.

Because the kidneys constantly maintain the acid–base balance of the body, ingestion of acids or alkali or any condition that produces acids or alkali directly affects the urine pH. Table 6.3 lists urine pH values and common causes associated with them. This ability of the kidneys to manipulate urine pH has many applications. An acid urine prevents stone formation by alkaline-precipitating solutes (e.g., calcium carbonate, calcium phosphate) and inhibits the development of UTI. An alkaline urine prevents the precipitation of and enhances the excretion of various drugs (e.g., sulfonamides, streptomycin, salicylate) and prevents stone formation from calcium oxalate, uric acid, and cystine crystals.

The urine pH provides valuable information for assessing and managing disease and for determining the suitability of a specimen for chemical testing. Correlation of urine pH with a patient's condition aids in the diagnosis of disease (e.g., production of an alkaline urine despite a metabolic acidosis is characteristic of renal tubular acidosis). Individuals with a history of stone formation can monitor their urine pH and use this information to modify their diets if necessary. Highly alkaline urine of pH 8.0 to 9.0 can also interfere with chemical testing, particularly in protein determination.

## Methods

**Reagent strip tests.** Commercial reagent strips, regardless of the manufacturer, are based on a double indicator system that produces varying color changes with pH (Equation 6.1). The indicator combinations produce color changes from yellow or orange (pH 5.0) to green (pH 7.0) to blue (pH 9.0). See Appendix B, Table B.1 for specific indicators used by different manufacturers.



Equation 6.1

TABLE 6.3 Clinical Correlation of Urine pH Values

pH	Indication or Cause
<4.5	Physiologically impossible; suspect adulteration of urine specimen
4.5–6.9	<b>Acid urine;</b> associated with <ul style="list-style-type: none"> <li>• Diet: high protein, cranberry ingestion</li> <li>• Sleep</li> <li>• Metabolic acidosis (e.g., ketoacidosis, starvation, severe diarrhea, uremia, poisons—ethylene glycol, methanol)</li> <li>• Respiratory acidosis (e.g., emphysema, chronic lung disease)</li> <li>• Urinary system disorders: UTI with acid-producing bacteria (<i>Escherichia coli</i>), chronic renal failure, uremia</li> <li>• Medications used to induce: ammonium chloride, ascorbic acid, methionine, mandelic acid</li> </ul>
7.0–7.9	<b>Alkaline urine;</b> associated with <ul style="list-style-type: none"> <li>• Diet: vegetarian, citrus fruits, low carbohydrate</li> <li>• Metabolic alkalosis (e.g., vomiting, gastric lavage)</li> <li>• Respiratory alkalosis (e.g., hyperventilation)</li> <li>• Urinary system disorders: UTI with urease-producing bacteria (<i>Proteus sp.</i>, <i>Pseudomonas sp.</i>), renal tubular acidosis</li> <li>• Medications used to induce: sodium bicarbonate, potassium citrate, acetazolamide</li> </ul>
>8.0	Physiologically impossible; indicates <ul style="list-style-type: none"> <li>• Presence of an iatrogenic alkaline substance (intravenous medication or agent)</li> <li>• Improperly stored urine specimen</li> <li>• Contamination with an alkaline chemical (preservative)</li> </ul>

UTI, Urinary tract infection.

The range provided on the strips is from pH 5.0 to pH 9.0 in 0.5- or 1.0-pH increments, depending on the manufacturer. No interferences with test results are known, and the results are not affected by protein concentration. However, erroneous results can occur from pH changes caused by (1) improper storage of the specimen with bacterial proliferation (a falsely increased pH); (2) contamination of the specimen container before collection (a falsely increased or decreased pH depending on the agent); or (3) improper reagent strip technique, causing the acid buffer from the protein test pad to contaminate the pH test area (a falsely decreased pH). See Table 6.4 for a summary of the pH principle, sensitivity, and specificity on selected reagent strip brands.

**pH meter.** Although the accuracy provided by a pH meter is not usually necessary, a pH meter is an alternative method for determining the urine pH. Various pH meters are available; the manufacturer's operating instructions supplied with the instrument must be followed to ensure proper use of the pH meter and valid results. Nevertheless, the components involved in and the principle behind all pH meters are basically the same.

TABLE 6.4 pH by Reagent Strip

Principle	Double-pH indicator system. Indicator combinations are used to give distinct color changes (pH 5.0–9.0) Indicators: see Appendix B, Table B.1
Sensitivity	Aution: 5.0–9.0, in 0.5-pH increments Chemstrip: 5.0–9.0, in 1.0-pH increments Multistix: 5.0–8.5, in 0.5-pH increments
Specificity	pH; hydrogen ion concentration No interferences known; unaffected by protein concentration

A pH meter consists of a silver–silver chloride indicator electrode with a pH-sensitive glass membrane connected by a salt bridge to a reference electrode (usually a calomel electrode, Hg–Hg<sub>2</sub>Cl<sub>2</sub>). When the indicator electrode is placed in urine, a difference in H<sup>+</sup> activity develops across the glass membrane. This difference causes a change in the potential difference between the indicator and the reference electrodes. This voltage difference is registered by a voltmeter and is converted to a pH reading. Because pH measurement is temperature dependent and pH decreases with increasing temperature, it is necessary that the pH measurement be adjusted for the temperature of the urine during measurement. Most pH meters perform this temperature compensation automatically.

A pH meter is calibrated with the use of two or three commercially available standard buffer solutions. Accurate pH measurements require that the pH meter be calibrated using at least two different standards in the pH range of the test solution and that adjustment for the temperature of the test solution be made manually or automatically. In addition, the pH-sensitive glass electrode must be clean and maintained to prevent protein buildup or bacterial growth.

**pH test papers.** Various indicator papers with different pH ranges and sensitivities are commercially available. The indicator papers do not add impurities to the urine. In use, they produce sharp color changes for comparison with a supplied color chart of pH values.

## Protein

### Clinical Significance

Normal urine contains up to 150 mg (1 to 14 mg/dL) of protein each day. This protein originates from the ultrafiltration of plasma and from the urinary tract itself. Proteins of low molecular weight ([MW] <40,000) readily pass through the glomerular filtration barriers and are reabsorbed. Because of their low plasma concentration, only small quantities of these proteins appear in the urine. In contrast, albumin, a moderate-molecular-weight protein, has a high plasma concentration. This fact, combined with its ability (although limited) to pass through the filtration barriers, accounts for the small amount of albumin present in normal urine. Actually, less than 0.1% of plasma albumin enters the

ultrafiltrate, and 95% to 99% of all filtered protein is reabsorbed. High-molecular-weight proteins (>90,000) are unable to penetrate a healthy glomerular filtration barrier. The end result is that the proteins in normal urine consist of about one-third albumin and two-thirds globulins. Among proteins that originate from the urinary tract itself, three are of particular interest: (1) uromodulin (also known as Tamm-Horsfall protein), which is a mucoprotein synthesized by the distal tubular cells and involved in cast formation; (2) urokinase, which is a fibrinolytic enzyme secreted by tubular cells; and (3) secretory immunoglobulin A, which is synthesized by renal tubular epithelial cells.<sup>2</sup>

The presence of an increased amount of protein in urine, termed **proteinuria**, is often the first indicator of renal disease. For most patients with proteinuria (prerenal and renal), the protein present at an increased concentration is albumin, although to varying degrees. Protein reabsorption by the renal tubules is a nonselective, competitive, and threshold-limited ( $T_m$ ) process. Basically, when an increased amount of protein is presented to the tubules for reabsorption, the tubules randomly reabsorb the protein in a rate-limited process. As the quantities of proteins other than albumin increase and compete for tubular reabsorption, the amount of albumin excreted in the urine also increases. Proteinuria results from (1) an increase in the quantity of plasma proteins that are filtered, or (2) filtering of the normal quantity of proteins but with a reduction in the reabsorptive ability of the renal tubules. Early detection of proteinuria (i.e., albumin) aids in identification, treatment, and prevention of renal disease. However, protein excretion is not an exclusive feature of renal disorders, and other conditions can also present with proteinuria.

Proteinuria can be classified into four categories: prerenal or overflow proteinuria, glomerular proteinuria, tubular proteinuria, and postrenal proteinuria. This differentiation is based on a combination of protein origination and renal dysfunction; together, they determine the types and sizes of proteins observed in the urine (Table 6.5).

**Overflow proteinuria** results from increased quantities of plasma proteins in the blood readily passing through the glomerular filtration barriers into the urine. As soon as the level of plasma proteins returns to normal, the proteinuria resolves. Conditions that result in this increased urine excretion of low-molecular-weight plasma proteins include septicemia, with spilling of acute-phase reactant proteins; hemoglobinuria, after a hemolytic episode; and myoglobinuria, which follows muscle injury. Immunoglobulin paraproteins ( $\kappa$  and  $\lambda$  monoclonal light chains) are also low-molecular-weight proteins that are abnormally produced in multiple myeloma and macroglobulinemia. These light chain diseases account for approximately 12% of monoclonal gammopathies. Historically, the presence of immunoglobulin light chains, also known as *Bence Jones proteins*, was identified in urine by their unique solubility as related to temperature. An aliquot of the urine specimen would be heated, and if the urine coagulated at 40°C to 60°C and redissolved at 100°C, this indicated the presence of immunoglobulin light chains, that is, Bence

TABLE 6.5 Classification of Proteinuria

Proteinuria Classification	Proteinuria Description	Proteins Present	Causes
Prerenal	Overflow proteinuria: an increase in <i>plasma</i> low MW proteins leads to increased excretion in urine	Normal proteins: <ul style="list-style-type: none"> <li>• Myoglobin</li> <li>• Hemoglobin</li> <li>• Acute-phase reactants</li> </ul> Abnormal proteins: <ul style="list-style-type: none"> <li>• Ig light chains (Bence Jones protein)</li> </ul>	Muscle injury Intravascular hemolysis Infection Inflammation  Multiple myeloma
Renal	Glomerular proteinuria: <i>GFB is defective</i> , allowing plasma proteins to enter ultrafiltrate	<i>Selective</i> : Increase in albumin and moderate MW plasma proteins or <i>Nonselective</i> : Increase in all proteins, including high MW plasma proteins	Primary glomerular diseases: <ul style="list-style-type: none"> <li>• Glomerulonephritis</li> <li>• Glomerulosclerosis</li> <li>• Minimal change disease</li> </ul> Glomerular <i>damage</i> due to: <ul style="list-style-type: none"> <li>• Poststreptococcal glomerulonephritis</li> <li>• Diabetes mellitus</li> <li>• Lupus erythematosus</li> <li>• Amyloidosis</li> <li>• Sickle cell anemia</li> <li>• Transplant rejection</li> <li>• Infectious disease (malaria, hepatitis B, bacterial endocarditis)</li> <li>• Preeclampsia</li> <li>• Cancers (leukemia, lymphoma)</li> <li>• Drugs (penicillamine, lithium) and toxins (heavy metals)</li> </ul> Transitory glomerular <i>changes</i> : <ul style="list-style-type: none"> <li>• Strenuous exercise</li> <li>• Fever, dehydration</li> <li>• Hypertension</li> <li>• Postural (orthostatic) proteinuria</li> <li>• Postpartum period</li> <li>• Extreme cold exposure</li> </ul>
	Tubular proteinuria: <i>defective tubular reabsorption</i> of protein	Increase in the low MW proteins <i>normally present</i> in the ultrafiltrate, including albumin	Acute/chronic pyelonephritis Interstitial nephritis Renal tubular acidosis Renal tuberculosis Fanconi's syndrome Systemic diseases—sarcoidosis, lupus erythematosus, cystinosis, galactosemia, Wilson's disease Hemoglobinuria—hemolytic disorders Myoglobinuria—muscle injury Drugs (aminoglycosides, sulfonamides, penicillins, cephalosporins) Toxins and poisons (heavy metals) Transplant rejection Strenuous exercise
Postrenal	Urine includes proteins produced by the urinary tract or the urine is contaminated with proteins during excretion	Pus Menstrual blood Hemorrhoidal blood Vaginal secretions Prostatic secretions	Inflammation Malignancy Injury/trauma Contamination during urination

*GFB*, Glomerular filtration barrier; *Ig*, immunoglobulin; *MW*, molecular weight.



Jones protein. Today, immunoassays and electrophoretic techniques are available to specifically identify and quantitate these light chain proteins.

Renal proteinuria can present with a glomerular pattern, a tubular pattern, or a mixed pattern. Disease can cause changes to glomerular filtration barriers such that increased quantities of plasma proteins are allowed to pass with the ultrafiltrate. In *glomerular proteinuria*, the tubular capacity for protein reabsorption ( $T_m$ ) is exceeded, and an increased amount of protein is excreted in the urine. As stated in [Chapter 3](#), albumin would readily pass through the glomerular filtration barriers if not for its negative charge, which allows only a small amount of albumin to pass. Consequently, any disorder that alters the negativity of glomerular filtration barriers will (1) enable an increased amount of albumin to freely pass, and (2) allow other moderate-molecular-weight proteins of similar charge to pass, such as  $\alpha_1$ -antitrypsin,  $\alpha_1$ -acid glycoprotein, and transferrin ([Box 6.2](#)). The glomeruli are considered to be *selective* if they are able to retard the passage of high-molecular-weight proteins ( $>90,000$ ) and *nonselective* if discrimination is lost and high-molecular-weight proteins are allowed into the ultrafiltrate.

**Glomerular proteinuria** occurs in primary glomerular diseases or disorders that cause glomerular damage. It is the most common type of proteinuria encountered and is the most serious clinically. The proteinuria is usually heavy, exceeding 2.5 g/day of total protein, and can be as much as 20 g/day. Some of the conditions that can result in glomerular proteinuria are listed in [Table 6.5](#). Glomerular proteinuria can develop into a clinical condition termed **nephrotic syndrome**. This syndrome is characterized by proteinuria exceeding approximately 3.5 g/day, hypoalbuminemia, hyperlipidemia, lipiduria, and generalized edema. Nephrotic syndrome is a complication of numerous disorders and is discussed more fully in [Chapter 8](#).

The detection of what seem to be minor increases in urine albumin excretion has particular merit in patients with diabetes, hypertension, or peripheral vascular disease. Although the exact mechanism of proteinuria is not clearly understood in each of these disorders, increased glomerular permeability appears to result from changes in glomerular filtration barriers. With diabetic individuals, the most important factor associated with the development of glomerular proteinuria is hyperglycemia. Because glucose is capable of nonenzymatic binding with various proteins, it apparently combines with proteins of the glomerular filtration barriers, causing glomerular permeability changes and stimulating growth of

the mesangial matrix. In health, urine albumin excretion is less than 30 mg/day; when glomerular changes occur in a diabetic individual, urine albumin excretion increases to 30 to 300 mg/day. Because rigorous treatment in the early stages of disease can reverse these changes, chemical methods for the detection of low levels of albumin play an important role. See “[Sensitive Albumin Tests](#)” later in this chapter, [Table 6.8](#), and [Chapter 4](#), subsection Screening for Albuminuria.

Several conditions, termed *functional proteinurias*, induce a mild glomerular or mixed pattern of proteinuria in the absence of renal disease. Changes in glomerular blood flow (e.g., renal vasoconstriction) and enhanced glomerular permeability appear to be the primary mechanisms involved. Strenuous exercise, fever, extreme cold exposure, emotional distress, congestive heart failure, and dehydration are associated with this type of proteinuria. The amount of protein excreted is usually less than 1 g/day. Functional proteinurias are transitory and resolve with time and supportive treatment.

**Postural (orthostatic) proteinuria** is considered to be a functional proteinuria. This condition is characterized by the urinary excretion of protein only when the individual is in an upright (orthostatic) position. A first morning urine specimen is normal in protein content, whereas specimens collected during the day contain elevated quantities of protein. It is theorized that when the patient is in the upright position, increased renal venous pressure causes renal congestion and glomerular changes. Although this condition is considered to be benign, persistent proteinuria may develop, and evidence of glomerular abnormalities has been found by renal biopsy in a few patients.<sup>3</sup> Urine protein excretion in postural proteinuria is usually less than 1.5 g/day. Individuals suspected of having postural proteinuria collect two urine specimens: a first morning specimen and a second specimen collected after the patient has been in an upright position for several hours. If the first specimen is negative for protein and the second is positive, a tentative diagnosis of postural proteinuria can be made. These individuals should be monitored every 6 months and reevaluated as necessary.

Proteinuria that occurs during pregnancy is usually transient and sometimes is associated with delivery, toxemia, or renal infection. A wide range in the amount of protein excreted has been noted. Protein excretion associated with preeclamptic toxemia approaches 3 g/day, whereas minor increases up to 300 mg/day occur with normal pregnancy.

**Tubular proteinuria** occurs when normal tubular reabsorptive function is altered or impaired. When either occurs, plasma proteins that normally are reabsorbed—such as  $\beta_2$ -microglobulin, retinol-binding protein,  $\alpha_2$ -microglobulin, or lysozyme—will be increased in the urine. The urine total protein concentration is usually less than 2.5 g/day, with low-molecular-weight proteins predominating ([Box 6.3](#)). Although albumin is found in increased amounts, it does not approach the level found in glomerular proteinuria. In light of this, chemical testing methods that detect predominantly albumin (e.g., reagent strip tests) are limited in their ability to detect increased urine protein.

### BOX 6.2 Principal Proteins in Glomerular Proteinuria

Albumin  
Transferrin  
 $\alpha_1$ -Antitrypsin  
 $\alpha_1$ -Acid glycoprotein



**BOX 6.3 Principal Proteins in Tubular Proteinuria**

Albumin  
 $\beta_2$ -Microglobulin  
 Retinol-binding protein  
 $\alpha_2$ -Microglobulin  
 $\alpha_1$ -Microglobulin  
 Lysozyme

When tubular proteinuria is suspected, a quantitative urine total protein method should be employed, or a protein precipitation method sensitive to all proteins can be used for screening (e.g., SSA precipitation test). Tubular proteinuria, originally discovered in workers exposed to cadmium dust (a heavy metal), can result from a variety of disorders (see Table 6.5). It can occur alone or with glomerular proteinuria, as in chronic renal disease or renal failure, in which case the urine proteins excreted result in a mixed pattern.

A condition particularly characterized by proximal tubular dysfunction is **Fanconi's syndrome**. This syndrome has the following distinctive urine findings: aminoaciduria, proteinuria, glycosuria, and phosphaturia. Associated with inherited and acquired diseases, this syndrome of altered tubular transport mechanisms retains normal glomerular function. Heavy metal poisoning and the hereditary disease cystinosis are common causes of Fanconi's syndrome.

**Postrenal proteinuria** can result from an inflammatory process anywhere in the urinary tract—in the renal pelvis, ureters, bladder (cystitis), prostate, urethra, or external genitalia. Another cause can be the leakage of blood proteins into the urinary tract as a result of injury and hemorrhage. In addition, contamination of urine with vaginal secretions or seminal fluid can result in a positive protein test or proteinuria.

In summary, an increase in urine protein results from (1) increased plasma proteins overflowing into the urine (pre-renal); (2) renal changes—glomerular, tubular, or both; or (3) inflammation and postrenal sources. Table 6.6 compares various proteins present in normal urine with urine characteristic of glomerular and tubular renal disease. Note the relative quantity of total protein present, the sizes of proteins that predominate, and the differences in the percentage of protein reabsorbed.

**Methods**

Historically, qualitative or semiquantitative screening tests for urine protein relied on protein precipitation techniques. Proteins denature upon exposure to extremes of pH or temperature, and the most visible evidence of this is a decrease in solubility. For years, the SSA protein precipitation test was used but is replaced today by the rapid and economical reagent strip test for protein.

Positive urine protein results should be evaluated and correlated with urine specific gravity results. Large volumes of urine (polyuria) can produce a negative protein reaction despite significant proteinuria because the protein present is

**TABLE 6.6 Characterization of Renal Proteinuria**

	Normal	Glomerular Disease	Tubular Disease
Total protein, g/day	<0.15	>2.5	<2.5
Albumin, mg/day	50	>500	<500
$\beta_2$ -Microglobulin, mg/day	0.150	0.150	20
Tubular reabsorption of filtered proteins, %	95	3	50

Modified from Waller KV, Ward MW, Mahan JD, et al: *Current concepts in proteinuria*. Clin Chem 35:755–765, 1989.

being excessively diluted. Likewise, a trace amount of protein present in dilute urine indicates greater pathology compared with a trace amount in concentrated urine. Note that an abnormally high specific gravity (>1.040) is a strong indicator that radiographic contrast media is present. It can be excreted in the urine for up to 3 days after a radiographic procedure.

Once the presence of an increased amount of urine protein has been established, accurate methods are available to differentiate and quantify the proteins. Electrophoresis, nephelometry, turbidimetry, and radial immunodiffusion methods are used and are discussed at length in clinical chemistry textbooks. Despite the qualitative or semiquantitative nature of the protein tests discussed in this chapter, they remain vital tools in the detection and monitoring of diseases that cause proteinuria.

**Sulfosalicylic acid precipitation test.** The sulfosalicylic acid (SSA) protein precipitation test detects all proteins in urine—albumin and globulins. This test is not frequently performed today because it is nonspecific and time-consuming. In addition, false-positive SSA precipitation results can be obtained when x-ray contrast media and certain drugs (e.g., penicillins) are present in high concentrations. If this occurs, additional testing is required before protein results can be reported. For more discussion and details on the performance of the SSA precipitation test, see Appendix E, Manual and Historic Methods of Interest. Note also that when needed today, automated chemistry analyzers have sensitive and specific assays for the quantitative determination of urine total protein.

**Reagent strip tests.** Commercial reagent strips available for routine protein screening use the same principle, originally described by Sorenson in 1909 and termed the **protein error of indicators**. When the pH is held constant by a buffer, certain indicator dyes release hydrogen ions as a result of the presence of proteins (anions), causing a color change. The reaction pad is impregnated with a buffer that maintains the test area at pH 3.0. If protein is present, it acts as a hydrogen receptor, accepting hydrogen ions from the pH indicator and thereby causing a color change (Equation 6.2).

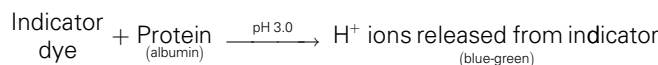
**Equation 6.2**

TABLE 6.7 Protein by Reagent Strip

Principle	Protein error of indicators. When the pH is held constant by a buffer (pH 3.0), indicator dyes release H <sup>+</sup> ions because of the protein present. Color change ranges from yellow to blue-green. Indicator used: derivatives of tetrabromophenol blue
Sensitivity	Aution: 10 mg/dL Chemstrip: 6.0 mg/dL Multistix: 15–30 mg/dL
Specificity	More sensitive to albumin than globulins, hemoglobin, myoglobin, immunoglobulin light chains, mucoproteins, or others <i>False-positive</i> results: <ul style="list-style-type: none"> <li>Highly buffered or alkaline urine (pH ≥9), such as alkaline drugs, improperly preserved specimen, contamination with quaternary ammonium compounds</li> <li>Highly colored substances that mask results, such as drugs (phenazopyridine), beet ingestion</li> </ul> <i>False-negative</i> results: <ul style="list-style-type: none"> <li>Presence of proteins other than albumin</li> <li>Highly colored substances that mask results, such as drugs (phenazopyridine, nitrofurantoin), beet ingestion</li> </ul>

The intensity of the color change is directly related to the amount of protein present. Protein reagent strip results are reported as concentrations in milligrams per deciliter (mg/dL) by matching the resultant reaction pad color with the color chart provided on the reagent strip container. Table 6.7 summarizes the protein reaction principle, sensitivity, and specificity of selected reagent strip brands.

This method is more sensitive to albumin than to any other protein, and negative results will occur despite the presence of other proteins. Note that globulins, myoglobin, hemoglobin, immunoglobulin light chains (Bence Jones proteins), and mucoproteins are usually not detected by the reagent strip test because the concentrations of these proteins are usually insufficient to cause a color change. For example, when the reagent strip blood result is *less than* large (i.e., trace, small, or moderate), the concentration of hemoglobin is not high enough to contribute to the protein result.

Extremely alkaline (pH ≥9.0) or highly buffered urine can overwhelm the buffering capacity of the reaction pad to produce false-positive results. As with protein precipitation methods, adjusting the urine with acid to approximately pH 5.0 and retesting using the reagent strip test will produce an accurate protein result.

Tubular reabsorption of protein is a nonselective, competitive process. When an increased amount of protein is presented to the tubules for reabsorption, the tubules randomly reabsorb protein through a rate-limited process. As a result, the amount of albumin in urine increases as other proteins,

which are normally not present, compete for reabsorption. Hence the reagent strip detection of albumin is often capable of detecting most instances of proteinuria.

**Sensitive albumin tests.** Identification and management of patients at risk for kidney disease are enhanced greatly by the detection and monitoring of urine albumin excretion. Routine reagent strip methods are unable to detect the low levels of albumin excretion (10 to 20 mg/L or 1 to 2 mg/dL) that are clinically significant; hence sensitive albumin screening tests were developed. Periodic monitoring of urine for low-level albumin excretion greatly benefits individuals with diabetes, hypertension, or peripheral vascular disease. These patients have been shown to develop low-level **albuminuria** before nephropathy. Studies have demonstrated that early intervention to reduce hyperglycemia in diabetic patients or to normalize blood pressure in hypertensive people can reduce progression to clinical nephropathy.<sup>4-6</sup>

Several commercial reagent strip methods are available to screen urine for low-level increases in albumin; they include the OSOM ImmunoDip urine albumin test (Sekisui Diagnostics Corporation, Framingham, MA), the Micral Test (Roche Diagnostics), the Multistix PRO reagent strip test, and the CLINITEK Microalbumin reagent strip test (Siemens Healthcare Diagnostics Inc.) (Table 6.8).

The ImmunoDip test is an immunochemical-based reagent strip reaction housed in a hard plastic case or stick. The test stick is placed into the urine specimen such that the vent hole located near one end is completely immersed. The unique design of the plastic housing controls the rate of urine flow over the reagent strip and the amount of urine that participates in the reaction. Another benefit of this unique housing is that once the test is completed, the result remains fixed and can be read up to 8 hours after testing without deterioration. Urine enters the vent hole of the test stick and albumin, if present, binds to monoclonal antibodies that are coupled to blue latex particles on the test pad. The degree of saturation of the latex particles with urine albumin determines the location to which the albumin–antibody–latex particle complex ultimately migrates by capillary action. Unsaturated complexes bind to the lower band or zone on the reagent strip, whereas saturated complexes migrate to the top zone.<sup>7</sup> Comparing the intensity of the two blue bands provides semiquantitative albumin results. A lower band that is darker than the top band indicates an albumin concentration less than 12 mg/L (1.2 mg/dL); bands of equal intensity indicate an albumin level of 12 to 18 mg/L (1.2 to 1.8 mg/dL); and a top band darker than the lower band indicates an albumin concentration of 20 mg/L (2.0 mg/dL) or greater.

The Micral Test uses gold-labeled monoclonal antibodies in its immunochemical reagent strip test. In this reaction, albumin in the urine binds with a soluble antibody–enzyme conjugate that is impregnated on the reaction pad. Only the albumin–conjugate immunocomplex, moving by capillary action, passes into the reaction zone of the strip, because an intermediate zone immobilizes any excess, unbound conjugate. Once in the reaction zone, the enzyme ( $\beta$ -galactosidase) bound to the antibody reacts with the substrate (chlorophenol

TABLE 6.8 Sensitive Albumin (Microalbumin) Tests

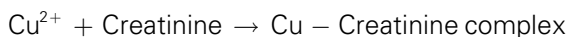
Test	Principle	Detection Limit <sup>a</sup>	Reaction Time	Specificity
Immunodip <sup>7</sup>	Immunochemical; albumin binds antibody-coated blue latex particles; saturated and unsaturated albumin–antibody complexes are isolated and differentiated by migration on strip; intensity comparison of two blue bands determines albumin concentration.	12–18 mg/L (1.2–1.8 mg/dL)	3 minutes; color stable 8 hours	No interferences known
Micral Test strips <sup>8,9</sup>	Immunochemical; albumin binds gold-labeled antibody–enzyme conjugate; albumin-bound complex migrates to detection pad, where bound enzyme converts substrate on pad to red chromophore; color intensity increases with albumin concentration.	15–20 mg/L (1.5–2.0 mg/dL)	1 minute; color stable ≤5 minutes	<i>False-positive results:</i> <ul style="list-style-type: none"> <li>• Oxytetracycline</li> <li>• Strong oxidizing agents (e.g., soaps, detergents)</li> </ul> <i>False-negative results:</i> <ul style="list-style-type: none"> <li>• Urine specimen temperature &lt;10°C (&lt;50 °F)</li> </ul>
CLINITEK Microalbumin test strips <sup>10,11,13</sup>	<i>Albumin test</i> Dye binding; at a constant pH, albumin causes a sulfonephthalein dye impregnated in the pad to change color.  <i>Note:</i> Strips can only be read instrumentally; manufacturer permits no visual interpretation.	20–40 mg/L (2.0–4.0 mg/dL)	≈2 minutes	<i>Albumin and creatinine tests</i> <i>False-positive results:</i> <ul style="list-style-type: none"> <li>• Hemoglobin or myoglobin (≥5 mg/dL)</li> <li>• Highly colored substances mask results, such as drugs (phenazopyridine, nitrofurantoin), beet ingestion</li> </ul>
Creatinine	<i>Creatinine test</i> Creatinine reacts with copper to form a complex that possesses peroxidase activity; peroxide on the pad is reduced, tetramethylbenzidine is oxidized, and a chromogen produces a color change; creatinine concentration is determined by comparing the color of the reaction pad to color blocks provided on the container.	100 mg/L (10.0 mg/dL)		<ul style="list-style-type: none"> <li>• Strong oxidizing agents (e.g., soaps, detergents)</li> <li>• Cimetidine (creatinine only)</li> </ul>
Multistix PRO test strips <sup>11,13</sup>	<i>Albumin test</i> Same principle as CLINITEK Microalbumin test strips; however, detection limit differs. Results can be interpreted visually (or instrumentally).	80–150 mg/L (8.0–15.0 mg/dL)	≈1 minute	Same as CLINITEK Microalbumin test strips
Protein-Low	Visual interpretation: Protein: low (albumin) (albumin) concentration is determined by comparing color of the reaction pad to color blocks provided on the container.			
Creatinine	<i>Creatinine test</i> Same principle as CLINITEK Microalbumin test strips.  Results can be interpreted visually (or instrumentally).  Visual interpretation: Creatinine concentration is determined by comparing color of the reaction pad to color blocks provided on the container.	100 mg/L (10.0 mg/dL)		

<sup>a</sup>Sensitive albumin tests typically report protein concentrations in mg/L. Concentrations in mg/dL are provided to enable rapid comparison with reagent strip and sulfosalicylic acid (SSA) methods.

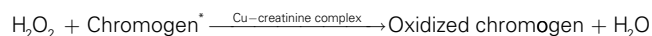
red galactoside) in the reaction zone to produce a red dye.<sup>8,9</sup> Timing and technique are crucial; therefore, the manufacturer's instructions must be followed to ensure accurate test results. The Micral Test method is capable of detecting as little as 15 to 20 mg/L (1.5 to 2 mg/dL) of human albumin in urine. A color chart to determine albumin results from 0 to 100 mg/L (0 to 10 mg/dL) is provided on the reagent strip container.

The CLINITEK Microalbumin reagent strip and Multistix PRO reagent strip (Siemens Healthcare Diagnostics Inc.) tests use a dye-binding method to determine low levels of urine albumin.<sup>10,11</sup> A high-affinity sulfonephthalein dye impregnated in the pad changes color when it binds albumin. A buffer also impregnated on the reaction pad maintains a constant pH to ensure optimal protein discrimination and reactivity for albumin.<sup>12</sup> The development of any blue color is due to the presence of albumin, and color changes on the reaction pad range from pale green to aqua blue. The CLINITEK Microalbumin reagent strip test, which must be read instrumentally, is able to detect albumin concentrations of 20 to 40 mg/L (2–4 mg/dL). In contrast, Multistix PRO reagent strips can be read visually or instrumentally, and the lowest level of protein detection is approximately 150 mg/L (15 mg/dL). Although these strips use essentially the identical chemical reaction, their sensitivity and the manufacturer-recommended reporting terminology differ, that is, milligrams per liter (mg/L) of albumin for the CLINITEK Microalbumin reagent strip test and milligrams per deciliter (mg/dL) of protein—low for the Multistix PRO reagent strip test.

Both reagent strips also include a reaction pad that determines semiquantitatively the urine creatinine concentration. Creatinine in the urine reacts with copper sulfate impregnated in the pad to form a copper–creatinine complex that possesses peroxidase activity.<sup>13</sup> The reaction pad also is impregnated with a chromogen (tetramethylbenzidine) and a peroxide. Once the copper–creatinine complex is formed, it causes the peroxide to be reduced and the chromogen to oxidize, producing a color change on the reaction pad from orange to green (Equations 6.3 and 6.4). Note the similarity of this indicator reaction to that universally used in reagent strip tests for blood (see Equation 6.5).



Equation 6.3



Equation 6.4

\*Tetramethylbenzidine.

The inclusion of 4-hydroxy-2-methylquinoline in the reaction pad reduces interference from ascorbic acid and hemoglobin to greater than 22 mg/dL and 5 mg/dL, respectively.<sup>13</sup> Assessment of albumin (protein) and creatinine on these reagent strips enables the estimation of an albumin-to-creatinine (A/C) or protein-to-creatinine ratio. For the Multistix PRO reagent strips, a table is provided to determine whether the protein-to-creatinine ratio is normal or

abnormal. In contrast, the CLINITEK Microalbumin reagent strips, which are read instrumentally, report an estimate of the A/C ratio numerically as “less than 30 mg/g” (normal), “30 to 300 mg/g” (abnormal), or “greater than 300 mg/g” (abnormal). Conversion of results to SI units (i.e., milligrams of albumin per millimoles of creatinine) is also an option.

Note that not all positive sensitive albumin tests provide evidence of abnormality because extremely low levels of urine albumin, that is, concentrations less than 20 mg/L (<2.0 mg/dL), are considered normal. Low-level transient increases in albumin can occur with strenuous exercise, dehydration, and acute illness with fever. Keep in mind that overhydration (dilute urine) can mask increased urine albumin excretion. In these situations, determination of an A/C or protein-to-creatinine ratio reduces the numbers of false-positive and false-negative test results. Simultaneous urine creatinine measurement, as with CLINITEK Microalbumin and Multistix PRO reagent strips, accounts for these hydration effects and results in useful estimates of the A/C ratio or the protein-to-creatinine ratio.<sup>14</sup> For example, concentrated urine that contains a small amount of protein can be correctly identified as “normal,” whereas a dilute urine specimen with a small amount of protein can be correctly identified as “abnormal.”

In summary, periodic monitoring for low-level urine albumin excretion should be performed for individuals at increased risk for developing kidney disease, such as those with hypertension or diabetes (type 1 or type 2). Early identification of kidney changes enables early intervention. Before a diagnosis of microalbuminuria is made, a positive screening test should be confirmed with a quantitative protein assay.

## Blood

### Clinical Significance

As discussed in Chapter 5, blood in urine can result in various presentations of color or may not be visually evident. Historically, color and clarity or microscopic viewing was used to detect the presence of blood in urine. Chemical methods now provide a rapid and sensitive means of detecting the presence of blood. Blood can enter the urinary tract anywhere from the glomeruli to the urethra or can be a contaminant in the urine as a result of the collection procedure used. Lysis of red blood cells (RBCs) with the release of hemoglobin is enhanced in alkaline or dilute urine (e.g., SG ≤1.010). Without current chemical methods, the presence of free hemoglobin in urine would go undetected. True hemoglobinuria—free hemoglobin from plasma passing the glomerular filtration barriers into the ultrafiltrate—is uncommon. Most often, intact RBCs enter the urinary tract and then undergo lysis to varying degrees. **Hematuria** is the term used to describe an abnormal quantity of RBCs in the urine, whereas **hemoglobinuria** indicates the urinary presence of hemoglobin.

Even small increases in the quantity of RBCs in urine are diagnostically significant. The chemical methods used detect the **heme moiety**—the tetrapyrrole ring (protoporphyrin IX) of a hemoglobin molecule with its centrally bound iron (Fe<sup>+2</sup>)



atom. Note, however, that substances other than hemoglobin also contain a heme moiety such as myoglobin and cytochromes. Of particular interest is myoglobin (MW 17,000), an intracellular protein of muscle that will be increased in the bloodstream when muscle tissue is damaged by trauma or disease. Because of its small size, myoglobin readily passes the glomerular filtration barriers and is excreted in the urine. As a result, a positive chemical test for blood is nonspecific, indicating the presence of hemoglobin, RBCs, or myoglobin. Correlation with the urine microscopic results, the appearance of the patient's plasma, and the results of plasma chemical tests, as well as the patient's clinical presentation and history, may be necessary to determine which substances are present.

**Hematuria and hemoglobinuria.** A feature that helps distinguish between hematuria and hemoglobinuria is urine clarity. Hematuria is often evident by a cloudy or smoky urine specimen, whereas with true hemoglobinuria the urine is clear. Urine colors for both are similar, and color variations range from normal yellow to pink, red, or brown depending on the amount of blood or hemoglobin present. In addition, urine pH can affect the appearance of these specimens. For example, an alkaline pH promotes RBC lysis and hemoglobin oxidation.

Numerous diseases of the kidneys or urinary tract, trauma, drug therapy, or strenuous exercise can result in hematuria and hemoglobinuria (Table 6.9). The detection of hematuria or hemoglobinuria is an early indicator of disease that is not always visually evident and when present always requires further investigation. The amount of blood in a urine specimen has no correlation with disease severity, nor can the amount of blood alone identify the location of the bleed. In combination with a microscopic examination, however, when RBCs are present in casts, a glomerular or tubular origin is indicated.

As previously stated, true hemoglobinuria is uncommon. Any condition resulting in intravascular hemolysis has the potential for producing hemoglobinuria. However, free hemoglobin in the bloodstream is bound rapidly by plasma haptoglobin. This hemoglobin-haptoglobin complex is too large to pass through the glomerular filtration barriers, so it remains in the plasma and is removed from the circulation by the liver, where it is metabolized. If all available plasma haptoglobin is bound, any additional free hemoglobin readily passes through the glomeruli with the ultrafiltrate. As dissociated dimers (MW  $\approx$ 38,000), hemoglobin is reabsorbed principally by the proximal renal tubules and is catabolized to ferritin. Within the renal cells, ferritin is denatured to form **hemosiderin**, a storage form of iron that is insoluble in aqueous solutions. Hemosiderin usually appears in urine 2 to 3 days after a hemolytic episode and appears as yellow-brown granules (1) within sloughed renal tubular cells, (2) as free-floating granules, or (3) within casts. A Prussian blue staining test (Rous test) performed on a concentrated urinary sediment aids in visualization and identification of hemosiderin (for test details, see Appendix E). The presence of urinary hemosiderin is intermittent and should not be solely relied on to confirm a hemolytic episode or a chronic hemolytic

**TABLE 6.9 Clinical Significance of Positive Blood Reaction**

Finding	Possible Cause
Hematuria	Kidney and urinary tract disease: <ul style="list-style-type: none"> <li>• Glomerulonephritides</li> <li>• Pyelonephritis</li> <li>• Cystitis (bladder infection)</li> <li>• Renal calculi (stones)</li> <li>• Tumors (benign and cancerous)</li> </ul> Trauma, appendicitis Hypertension Strenuous exercise, normal exercise, smoking Medications (cyclophosphamide, anticoagulants) and chemical toxicity
Hemoglobinuria	Intravascular hemolysis—transfusion reactions, hemolytic anemia, paroxysmal nocturnal hemoglobinuria Extensive burns Infections: malaria, <i>Clostridium perfringens</i> , syphilis, mycoplasma Chemical toxicity: copper, nitrites, nitrates Exertional hemolysis: marching, karate, long-distance running
Myoglobinuria	Muscle trauma: crushing injuries, surgery, contact sports Muscle ischemia: carbon monoxide poisoning, alcohol-induced, or after illicit drug use Muscle infections (myositis): viral, bacterial Myopathy due to medications Seizures/convulsions Toxins: snake venoms, spider bites

condition. Table 6.10 compares urine and plasma values of analytes that can be used to monitor chronic and acute hemolytic episodes.

**Myoglobinuria.** Myoglobin is a monomeric heme-containing protein involved in the transport of oxygen in muscles. Skeletal or cardiac muscle damage caused by a crushing injury, vigorous physical exercise, or ischemia causes the release of myoglobin into the blood. Because of its small molecular size (MW 17,000), myoglobin readily passes the glomerular filtration barrier. Its adverse renal effects are related to catabolism of the heme moiety and formation of free radicals during this process. Nontraumatic disorders such as alcohol overdose, toxin ingestion, and certain metabolic disorders can result in **myoglobinuria** (see Table 6.9). In fact, nontraumatic myoglobinuria with acute renal failure is common in patients with an alcohol overdose or a history of cocaine or heroin addiction.<sup>15</sup> Myoglobinuria may be obvious based on the patient's medical history and presenting



**TABLE 6.10 Comparisons of Selected Urine and Plasma Components in Mild and Severe Hemolytic Episodes**

Test	Normal Values	INTRAVASCULAR HEMOLYSIS	
		Mild (chronic)	Severe (acute)
Urine	Bilirubin, conjugated	Absent	Absent
	Bilirubin, unconjugated	Absent	Absent
	Urobilinogen	Normal ( $\leq 1.0$ mg/dL)	Normal to increased
	Blood (hemoglobin)	Absent	Present
	Hemosiderin	Absent	Present
Plasma	Bilirubin, conjugated	Up to 0.2 mg/dL	Normal
	Bilirubin, unconjugated	0.8–1.0 mg/dL	Increased
	Haptoglobin	83–267 mg/dL	Decreased
	Free hemoglobin	1.0–5.0 mg/dL	Normal

symptoms, such as a crushing injury; however, nontraumatic rhabdomyolysis (muscle damage) has vague symptoms (nausea; weakness; swollen, tender muscles) and chemical analysis is often required for diagnosis.

#### **Differentiation of hemoglobinuria and myoglobinuria.**

Myoglobin appears to be more toxic to renal tubules than hemoglobin. The reason for this is unclear but may be related to their difference in glomerular clearance and to other factors such as hydration, hypotension, and aciduria. Differentiating between hemoglobinuria and myoglobinuria can be difficult but is important (1) for diagnosis, (2) for predicting a patient's risk for acute renal failure, and (3) for treatment.

Visual inspection of urine and plasma can help distinguish between hemoglobinuria and myoglobinuria, but these gross observations are of limited value. Urine colors can be similar—hemoglobinuria causes a red or brown urine, whereas myoglobinuria causes a pink, red, or brown urine. Hemoglobin is not cleared as rapidly from the plasma as myoglobin; therefore with hemoglobinuria, the plasma often shows various degrees of hemolysis. In contrast, myoglobin is rapidly cleared by glomerular filtration and the plasma appears normal.

Historically, the differentiation of hemoglobin and myoglobin in clinical laboratories has relied on the ammonium sulfate precipitation method. This method was based on the different solubility characteristics of hemoglobin and myoglobin when saturated with 80% ammonium sulfate. At this salt concentration, hemoglobin precipitates out of solution, whereas myoglobin remains soluble in the supernatant. Only red or brown urine is tested, and an assessment is made by observing whether the urine color precipitates out of or remains in the supernate after 80% saturation with ammonium sulfate. Because of reliance on visual observation, this method does not detect low levels of hemoglobinuria ( $<30$  mg/dL). At these low levels, false-negative results for hemoglobin would be reported because no visible precipitation is observed.<sup>15</sup> With the availability of sensitive and specific immunoassays and high-performance liquid chromatography methods, the ammonium sulfate precipitation method for differentiation is no longer clinically useful.

The following approach to differentiating between hemoglobin and myoglobin is similar to a protocol recommended by Shihabi, Hamilton, and Hopkins in 1989 for development of a “rhabdomyolysis/hemolysis profile.”<sup>15</sup> Normally, myoglobin excretion is less than 0.04 mg/dL; however, during extreme exercise, it can increase to 40 times the normal rate without adverse renal effects. Only urine myoglobin concentrations exceeding 1.5 mg/dL are associated with a patient's risk of developing acute renal failure. Because available blood reagent strip tests are sensitive and capable of detecting approximately 0.04 mg/dL of myoglobin, urine from patients suspected of having rhabdomyolysis should be diluted 1:40 before testing for myoglobin. If the blood reagent strip test is negative when testing the diluted urine sample, no significant rhabdomyolytic process is occurring. However, if the test is positive, the creatine kinase level should be determined using the patient's plasma. A rhabdomyolytic process will cause the plasma creatine kinase concentration to exceed the normal upper reference limit by 40 times or greater. This will occur because of the high concentration of creatine kinase in muscle cells. If the diagnosis of hemoglobinuria versus myoglobinuria is still questionable, test the patient's plasma for myoglobin. Rhabdomyolysis will cause a markedly elevated plasma myoglobin level. [Table 6.11](#) compares laboratory findings that aid in the differential diagnosis of hemoglobinuria and myoglobinuria.

#### **Method**

[Table 6.12](#) summarizes the principle, sensitivity, and specificity of the blood reaction pad on selected reagent strip brands. Despite various manufacturers, reagent strip tests for blood detection are based on the same chemical principle: the **pseudoperoxidase activity** of the heme moiety. The reagent pad is impregnated with the chromogen tetramethylbenzidine and a peroxide. Through pseudoperoxidase activity of the heme moiety, peroxide is reduced and the chromogen becomes oxidized, producing a color change on the reaction pad ([Equation 6.5](#)). Depending on the reagent strip manufacturer, intact RBCs may be lysed on the reaction pad; this causes the release of hemoglobin and the development of a mottled or dotted pattern.

**TABLE 6.11 Differentiation of Hemoglobinuria and Myoglobinuria**

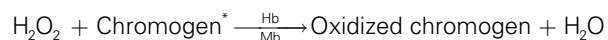
Parameter	Hemoglobinuria	Myoglobinuria
Urine color	Pink, red, brown	Pink, red, brown
Blood reagent strip test	Positive	Positive
Serum color	Pink to red (hemolysis)	Pale yellow (normal)
Serum chemistry tests		
Haptoglobin	Decreased to absent	Normal
Myoglobin	Normal	Increased
Free hemoglobin	Increased	Normal
Creatine kinase (CK)	Increased, but <10 times upper reference limit	Increased, but >10 times upper reference limit

**TABLE 6.12 Blood by Reagent Strip**

Principle	Pseudoperoxidase activity of the heme moiety The chromogen reacts with a peroxide in the presence of hemoglobin or myoglobin to become oxidized and produce a color change Chromogen used: tetramethylbenzidine
Sensitivity	Aution: 0.03 mg/dL Hgb (10 RBCs/ $\mu\text{L}$ <sup>a</sup> ) Chemstrip: 0.02–0.03 mg/dL Hgb (5–10 RBCs/ $\mu\text{L}$ <sup>a</sup> ) Multistix: 0.02–0.06 mg/dL Hgb (6–20 RBCs/ $\mu\text{L}$ <sup>a</sup> )
Specificity	Equally specific for hemoglobin and myoglobin Aution: Does <i>not</i> detect intact RBCs Chemstrip and Multistix: Intact RBCs are lysed on reagent pad enhancing blood detection <i>False-positive</i> results: <ul style="list-style-type: none"> <li>• Menstrual contamination</li> <li>• Peroxidases (e.g., microbial)</li> <li>• Strong oxidizing agents (e.g., hypochlorite in detergents)</li> </ul> <i>False-negative or decreased</i> results: <ul style="list-style-type: none"> <li>• Aution: intact cells are <u>not</u> detected (i.e., insufficient free hemoglobin)</li> <li>• Ascorbic acid: <ul style="list-style-type: none"> <li>– Aution (<math>\geq 25</math> mg/dL)</li> <li>– Multistix (<math>\geq 9</math> mg/dL)</li> </ul> </li> <li>• High specific gravity</li> <li>• Captopril (Multistix)</li> <li>• Formalin</li> <li>• High nitrite (&gt;10 mg/dL)</li> </ul>

<sup>a</sup>See Chapter 7, Box 7.2, for conversion of “cells per high-power field (HPF)” to “cells per microliter ( $\mu\text{L}$ ).” Note that the number of cells seen per HPF will vary with the protocol used to prepare the urine sediment (i.e., sediment concentration) and the optics of the microscope, which determines the size of the field of view (Chapter 7, subsection Standardization of Sediment Preparation).

RBC, Red blood cell.

**Equation 6.5**

\*Tetramethylbenzidine. *Hb*, Hemoglobin; *Mb*, myoglobin.

Color charts are provided on the labels of reagent strip containers for visual assessment of the reaction pads. A homogeneous color change results from hemoglobin, whereas a mottled pattern can occur when intact RBCs are lysed and their hemoglobin is released. Test results can be reported as negative, trace, small, moderate, or large, or the plus (1+, 2+, 3+) system can be used.

Because intact RBCs are not “dissolved” in urine, they can settle out or can be removed from the urine by centrifugation. Therefore, it is important that urine specimens are well mixed and tested for blood *before* centrifugation. In contrast, hemoglobin is dissolved in the urine and will not settle out. In other words, hemoglobin is detectable in the urine before centrifugation and in the supernatant afterward. To detect “intact” RBCs, a lysing agent is needed on the reaction pad to cause release of hemoglobin from the cells. Note that some reagent strip brands do *not* include a lysing agent (e.g., Aution sticks 9 EB, ARKRAY, Inc., Kyoto, Japan). When using these strips, the presence of increased numbers of “intact” RBCs will be missed if a microscopic examination is not performed because only hemoglobin is detected.

Because proteins other than hemoglobin, such as myoglobin, contain the heme moiety, blood reagent strip tests can detect their presence. All reagent strips, regardless of their manufacturer, are equally specific for hemoglobin and myoglobin. Other heme-containing substances, such as mitochondrial cytochromes, are present in quantities too small to be detected. Table 6.12 relates the sensitivity for hemoglobin to that for RBCs. The assumption is that approximately 30 picograms of hemoglobin is contained in each RBC; therefore 10 lysed RBCs are equivalent to approximately 0.03 mg/dL hemoglobin.<sup>16</sup>

Blood reagent strips are one of several reagent strip chemistry tests susceptible to **ascorbic acid interference**. Whenever RBCs are observed in microscopic examination of the urine sediment, but the chemical examination is negative for blood, **ascorbic acid (also called vitamin C)** should be suspected. Ascorbic acid is a strong reducing substance that reacts directly with peroxide ( $\text{H}_2\text{O}_2$ ) impregnated on the blood reagent pad and removes it from the intended reaction, thereby preventing oxidation of the chromogen. As a result, false-negative or falsely low reagent strip results for blood can be obtained from specimens that contain ascorbic acid. Chemstrip reagent strips have successfully eliminated this interference through the use of an “iodate scavenger pad.” On Chemstrip reagent strips, a proprietary iodate-impregnated mesh overlies the blood reagent pad and oxidizes any ascorbic acid before it can interfere in the chemical reaction. Excretion and detection of ascorbic acid in urine, as well as a summary of the reagent strip tests affected by ascorbic acid, are discussed later in this chapter. Note that the potential for

ascorbic acid to cause a false-negative blood reagent strip test emphasizes the need to include a microscopic examination whenever screening for hematuria.<sup>17</sup>

False-positive results for urinary blood can be obtained when menstrual or hemorrhoidal blood contaminates the urine. Other causes include strong oxidizing agents such as sodium hypochlorite or hydrogen peroxide that directly oxidize the chromogen or microbial peroxidases produced by certain bacterial strains (e.g., *Escherichia coli*) that can catalyze the reaction in the absence of the intended pseudoperoxidase, hemoglobin. Refer to Table 6.12 and the manufacturer's insert for other substances that can affect blood reagent strip results.

## Leukocyte Esterase

### Clinical Significance

Normally, a few white blood cells (leukocytes) are present in urine: 0 to 8 per high-power field or approximately 10 white blood cells per microliter. The number of white blood cells per microliter varies slightly depending on the standardized procedure used to prepare the sediment for microscopic examination. Increased numbers of leukocytes in urine indicate inflammation, which can be present anywhere in the urinary system—from the kidneys to the lower urinary tract (bladder, urethra). The presence of approximately 20 or more white blood cells per microliter is a good indication of a pathologic process. Increased numbers of white blood cells are found more often in urine from women than from men, in part because of the greater incidence of UTI in women, but also because of the increased potential for a woman's urine to be contaminated with vaginal secretions.

Before the development of reagent strip tests that detect leukocyte esterase, the presence of white blood cells was determined solely by microscopic examination of urine sediment. The chemical detection of leukocyte esterase provides a means to determine the presence of white blood cells even when they are no longer viable or visible. Remember, white blood cells are particularly susceptible to lysis in hypotonic and alkaline urine, as well as from bacteriuria, high storage temperatures, and centrifugation. Therefore the presence of significant numbers of white blood cells or a large quantity of leukocyte esterase in urine may indicate an inflammatory process within the urinary tract or, in urine from women, could indicate contamination with vaginal secretions.

Increased numbers of white blood cells in urine can be present with or without **bacteriuria** (bacteria in the urine) (see Table 6.13). However, the most commonly encountered cause of **leukocyturia** is a bacterial infection involving the kidneys (pyelonephritis) or the lower urinary tract, such as cystitis or urethritis. In these conditions, leukocyturia is usually accompanied by bacteriuria of varying degrees. In contrast, kidney and UTIs involving trichomonads, mycoses (e.g., yeast), chlamydia, mycoplasmas, viruses, or tuberculosis cause leukocyturia or **pyuria** without bacteriuria.

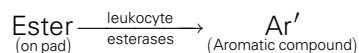
**TABLE 6.13 Diagnostic Utility of Positive Leukocyte Esterase Reaction**

Condition	Possible Cause
Infection in kidneys or urinary tract	Bacteria Nonbacterial: yeast, <i>Trichomonas vaginalis</i> , <i>Chlamydia trachomatis</i>
Inflammation in kidneys or urinary tract	Acute interstitial nephritis Trauma

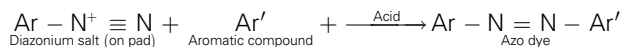
### Methods

Reagent strip tests detect leukocyte esterases that are found in the azurophilic granules of granulocytic leukocytes. These granules are present in the cytoplasm of all granulocytes (neutrophils, eosinophils, and basophils), monocytes, and macrophages. Therefore the reagent strip method does not detect lymphocytes. Several advantages of the leukocyte esterase screening test are its ability (1) to detect the presence of intact and lysed white blood cells and (2) to serve as a screening test for white blood cells that is independent of procedural variations for sediment preparation. Table 6.14 summarizes the principle, sensitivity, and specificity of the leukocyte esterase reaction on selected reagent strip brands.

All reagent strip tests for leukocyte esterase detection are based on the action of the leukocyte esterase to cleave an ester, impregnated in the reagent pad, to form an aromatic compound. Immediately after hydrolysis of the ester, an azo coupling reaction takes place between the aromatic compound produced and a diazonium salt provided on the test pad. The end result is an azo dye with a color change of the reagent pad from beige to violet (Equations 6.6 and 6.7).



**Equation 6.6**



**Equation 6.7**

This screening test for leukocyte esterase initially detects about 10 to 25 white blood cells per microliter. However, note that a negative result does not rule out the presence of increased numbers of white blood cells; it only indicates that the amount of leukocyte esterase present is insufficient to produce a positive test. This can occur despite increased numbers of white blood cells when (1) the white blood cells present are lymphocytes or (2) the urine is significantly dilute (hypotonic). Results for this chemical test often are reported as negative or positive. The quantitative evaluation of white blood cells in urine sediment is part of the microscopic examination; however, lysis of these cells may have occurred. Therefore this reagent strip test provides a means to identify urine specimens that require further evaluation because of increased quantities of leukocyte esterases (i.e., increased numbers of granulocytic leukocytes).

**TABLE 6.14 Leukocyte Esterase by Reagent Strip**

Principle	Action of leukocyte esterases to cleave an ester and form an aromatic compound is followed by an azo coupling reaction of the aromatic amine formed with a diazonium salt on the reagent pad. The azo dye produced causes a color change from beige to violet.  Ester used: see <a href="#">Appendix B, Table B.1</a>
Sensitivity	Aution: ~25 WBCs/ $\mu$ L Chemstrip: ~10 WBCs/ $\mu$ L Multistix: ~11–36 WBCs/ $\mu$ L (or ~5–15 WBCs/hpf) <sup>a</sup>
Specificity	Detects only granulocytic leukocytes <i>False-positive</i> results: <ul style="list-style-type: none"> <li>Highly colored substances that mask results, such as drugs (phenazopyridine), beet ingestion</li> <li>Vaginal contamination of urine</li> <li>Formalin</li> </ul> <i>False-negative</i> results <sup>b</sup> : <ul style="list-style-type: none"> <li>Lymphocytes are not detected</li> <li>Increased glucose (&gt;3g/dL) or protein (&gt;500mg/dL)</li> <li>High specific gravity</li> <li>Strong oxidizing agents (soaps, detergents)</li> <li>Drugs such as gentamicin, cephalosporins, tetracycline</li> </ul>

<sup>a</sup>See [Chapter 7, Box 7.2](#), for conversion of “cells per high-power field (HPF)” to “cells per microliter ( $\mu$ L).” Note that the number of cells seen per HPF will vary with the protocol used to prepare the urine sediment (i.e., sediment concentration) and the optics of the microscope, which determines the size of the field of view ([Chapter 7](#), subsection Standardization of Sediment Preparation).

<sup>b</sup>Note that oxalic acid does *not* affect leukocyte esterase results because oxalic acid does not exist in urine that has a pH greater than 4.5; it dissociates. At the pH of human urine, it is present exclusively as its salt—oxalate.  
WBC, White blood cell.

False-positive results for leukocyte esterase are most often obtained on urine specimens contaminated with vaginal secretions. Other potential sources of false-positive results are drugs or foodstuffs that color the urine red or pink in an acid medium. These substances (e.g., phenazopyridine, nitrofurantoin, beets) mask the reagent pad so that its color resembles that of a positive reaction.

Substances that can reduce the sensitivity of the leukocyte esterase reaction and cause false-negative results include increased protein (500mg/dL), increased glucose ( $\geq$ 3g/dL), and high specific gravity. Antibiotics, such as gentamicin or cephalosporin, and strong oxidizing agents (which interfere with reaction pH) can also produce false-negative results.

Note that oxalic acid does not interfere with the leukocyte esterase reaction when testing urine without an acid preservative. Because human urine always has a pH greater than 4.5,

oxalic acid cannot exist but dissociates and is present exclusively as its salt—oxalate. However, if acidifying agents are added to a urine specimen after collection to reduce the pH to 4.4 or lower, oxalate can be converted (reduced) to oxalic acid. If this acidified urine is tested, a falsely low or negative result for leukocyte esterase could be obtained.

## Nitrite

### Clinical Significance

Routine screening for urine nitrite provides an important tool to identify UTI. A **urinary tract infection** (UTI) can involve the bladder (cystitis), the renal pelvis and tubules (pyelonephritis), or both. Two pathways for the development of UTI are possible: (1) the movement of bacteria up the urethra into the bladder (ascending infection) and (2) the movement of bacteria from the bloodstream into the kidneys and urinary tract. Ascending infections represent the more prevalent type of UTI. The microorganisms involved are usually gram-negative bacilli that are normal flora of the intestinal tract. The most common infecting microorganism is *Escherichia coli*, followed by *Proteus* species, *Enterobacter* species, and *Klebsiella* species. UTI occurs eight times more often in females than in males. In addition, catheterized individuals, regardless of gender, have a high incidence of infection. Various factors involved in the incidence of UTI are discussed in [Chapter 8](#), subsection Tubulointerstitial Disease and Urinary Tract Infections.

Normally, the bladder and the urine are sterile. This sterility is maintained by the constant flushing action when urine is voided. A UTI can begin as a result of urinary obstruction (e.g., tumor), bladder dysfunction, or urine stasis. Once bacteria have established a bladder infection (cystitis), ascension to the kidneys is possible but is not inevitable. UTIs can be asymptomatic (asymptomatic bacteriuria), particularly in the elderly, and the nitrite test provides a means of identifying these patients. With early intervention, the spread of infection to the kidneys and the potential to develop renal complications can be prevented.

Screening urine for nitrite and leukocyte esterase provides a means of identifying patients with bacteriuria. Normally, nitrates are consumed in the diet (e.g., in green vegetables) and are excreted in the urine without nitrite formation. When nitrate-reducing bacteria are infecting the urinary tract and adequate bladder retention time is allowed, these bacteria convert dietary nitrate to nitrite. However, not all bacteria contain the enzyme (nitrate reductase) necessary to reduce dietary nitrates to nitrite. Some bacteria commonly involved in UTIs that do not reduce nitrate include gram-positive *Staphylococcus saprophyticus* and *Enterococcus* spp. Factors that affect nitrite formation and detection include the following: (1) The infecting microbe must be a nitrate reducer, (2) adequate time (a minimum of 4 hours) must be allowed between voids for bacterial conversion of nitrate to nitrite, and (3) adequate dietary nitrate must be consumed and available for conversion. In addition, nitrite detection can be reduced by subsequent conversion by bacteria of nitrite to nitrogen or by antibiotic therapy that inhibits bacterial

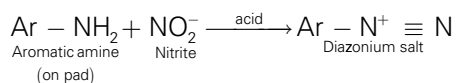


conversion of nitrate to nitrite. To appropriately screen for nitrite, the urine specimen of choice is a first morning void or a specimen collected after the urine has been retained in the bladder for at least 4 hours. This latter requirement can be difficult because frequent micturition is a common presenting symptom of UTI.

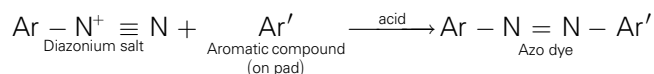
The screening test for urine nitrite does not replace a traditional urine culture, which can also specifically identify and quantify the bacteria present. The nitrite test simply provides a rapid, indirect means of identifying the presence of nitrate-reducing bacteria in urine at minimal expense. In doing so, the test also helps identify patients with asymptomatic bacteriuria that might otherwise go undiagnosed. It is also important to note that ascorbic acid in urine can interfere with the nitrite test, causing false-negative results (see Ascorbic Acid section later in this chapter). See Table 6.15 for diagnostic uses of the nitrite test alone or in combination with the leukocyte esterase.

### Methods

Reagent strip tests for nitrite are based on the same principle: the diazotization reaction of nitrite with an aromatic amine to form a diazonium salt, followed by an azo coupling reaction (Equations 6.8 and 6.9). The aromatic amine for the first reaction and the aromatic compound for the second reaction are impregnated in the reagent pad. The azo dye produced from these reactions causes a color change from white to pink.



Equation 6.8



Equation 6.9

TABLE 6.15 Diagnostic Utility of Nitrite<sup>a</sup> Reaction

Use	Findings
Screening for UTI	<ul style="list-style-type: none"> <li>Positive test indicates possible UTI</li> <li>Bladder—cystitis</li> <li>Kidney—pyelonephritis</li> <li>Urethra—urethritis</li> <li>In combination with the leukocyte esterase (LE) test, identifies urine specimens that should proceed to urine culture</li> </ul>
Monitor treatment effectiveness	Repeat testing after antibiotic therapy to screen for ongoing presence of <i>nitrate-reducing bacteria</i>

<sup>a</sup>Organisms that do not reduce nitrate to nitrite are not detected, such as non-nitrate-reducing bacteria, yeast, trichomonads, and *Chlamydia*.

UTI, Urinary tract infection.

Results for nitrite are reported as negative or positive. Any degree of pink color is considered to be a positive result; however, no correlation exists between a positive result and the quantity of bacteria present. In fact, a negative test does not rule out the possibility of bacteriuria because of the factors previously discussed. The sensitivity of the reagent strip test is such that the presence of approximately  $1 \times 10^5$  organisms or more produces a positive result in most cases. Table 6.16 summarizes the nitrite reaction principle, sensitivity, and specificity of selected reagent strip brands.

Substances that color the urine red or pink in an acid medium (e.g., phenazopyridine, beets) can cause false-positive nitrite results. The color induced from these substances masks the reagent pad and interferes with visual interpretation. In these cases, microscopic examination of urine sediment or a urine culture is the only means of identifying bacteriuria. Improper handling and storage of urine specimens can cause bacterial proliferation and *in vitro* nitrite formation. These unacceptable specimens could produce positive nitrite results when in fact no *in vivo* bacteriuria exists.

High concentrations of ascorbic acid in urine can cause false-negative nitrite results. Ascorbic acid directly reacts with the diazonium salt produced in the diazotization reaction (see Equation 6.8) to form a colorless end product. Consequently, the azo coupling reaction cannot occur and the reaction pad remains negative despite the presence of adequate nitrite. Any factor that inhibits nitrite formation can also cause false-negative results, despite bacteriuria with nitrate-reducing bacteria.

In conclusion, nitrite reagent strips provide a rapid, economical means of detecting significant bacteriuria caused by

TABLE 6.16 Nitrite by Reagent Strip

Principle	<p>Diazotization reaction of nitrite with an aromatic amine to produce a diazonium salt is followed by an azo coupling reaction of this diazonium salt with an aromatic compound on the reagent pad. The azo dye produced causes a color change from white to pink.</p> <p>Amine and Aromatic compound used: see Appendix B, Table B.1</p>
Sensitivity	<p>Aution: 0.08 mg/dL nitrite ion</p> <p>Chemstrip: 0.05 mg/dL nitrite ion</p> <p>Multistix: 0.06–0.1 mg/dL nitrite ion</p>
Specificity	<p><i>False-positive</i> results:</p> <ul style="list-style-type: none"> <li>Highly colored substances that mask results, such as drugs (phenazopyridine), beet ingestion</li> <li>Improper storage with bacterial proliferation</li> </ul> <p><i>False-negative</i> results:</p> <ul style="list-style-type: none"> <li>Ascorbic acid (<math>\geq 25</math> mg/dL) interference</li> <li>Various factors that inhibit or prevent nitrite formation despite bacteriuria</li> </ul>



nitrate-reducing bacteria. The test is a screening test only and is limited by various factors, including microorganism characteristics, dietary factors, bladder retention time, and specimen storage. Despite these disadvantages, the test remains an important part of a routine urinalysis.

## Glucose

### Clinical Significance

The presence of glucose in urine is termed **glucosuria**. When nonglucose sugars (e.g., fructose, sucrose, lactose) or a combination of sugars are present, the nonspecific term **glycosuria** is used. Normally, all glucose that passes through the glomerular filtration barrier into the ultrafiltrate is actively reabsorbed by the proximal renal tubules. However, tubular reabsorption of glucose is a threshold-limited process with a maximum reabsorptive capacity ( $T_m$ ) averaging about 350 mg/min. The  $T_m$  for glucose differs with gender and body surface area, ranging from 250 to 360 mg/min in females and from 295 to 455 mg/min in males. When the level of glucose in the blood exceeds its renal threshold level of approximately 160 to 180 mg/dL, the ultrafiltrate concentration of glucose exceeds the reabsorptive ability of the tubules, and glucosuria occurs.

Glucosuria is caused by (1) a prerenal condition (hyperglycemia) or (2) a renal condition (defective tubular absorption). Diabetes mellitus is the most common disease that causes hyperglycemia and glucosuria. This disease is characterized by ineffective glucose utilization caused by inadequate insulin secretion or abnormal insulin action. As a result, patients with undiagnosed or inadequately controlled diabetes have blood glucose concentrations that exceed the renal threshold level, and glucosuria occurs. The clinical presentation of diabetes mellitus varies; often individuals are asymptomatic and initial detection results from a routine blood or urine test. Because diabetes mellitus is a leading cause of death in the United States and because early intervention and treatment can prevent or delay the many long-term complications of this disease, routine screening of urine for glucose is an important part of a urinalysis.

Conditions other than diabetes mellitus can cause hyperglycemia with glucosuria. These conditions include various hormonal disorders, liver disease, pancreatic disease, central nervous system damage, and drugs (Table 6.17). The causes, presentation, and treatments of these diseases differ; the common link is inadequate utilization of glucose, which causes glucosuria. Therefore when a patient has glucosuria, further evaluation of the blood and urine is required to identify the specific disease process.

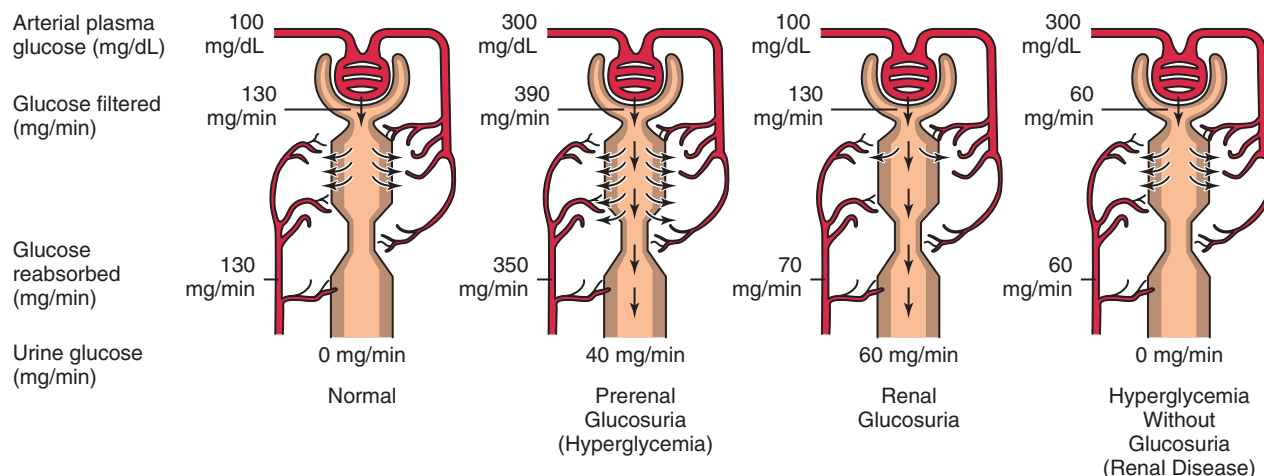
It is possible for an individual to have hyperglycemia without glucosuria. Glucose freely passes through the glomerular filtration barriers; however, if these barriers are compromised because of disease, the glomerular filtration rate can be decreased. In these cases, hyperglycemia can be present, but because of a decreased glomerular filtration rate, only limited amounts of glucose are able to pass into the ultrafiltrate. The tubules are able to reabsorb all the glucose presented to them, and glucosuria is not present. Any disease that decreases

**TABLE 6.17 Presentations of Glucosuria and Associated Disorders**

Findings	Disorders
Prerenal: Hyperglycemia with glucosuria	Diabetes mellitus Hormonal disorders <ul style="list-style-type: none"> <li>• Increased thyroid hormone—hyperthyroidism</li> <li>• Increased growth hormone—acromegaly</li> <li>• Increased epinephrine and glucocorticoids—stress, anxiety, and Cushing's disease</li> </ul> Liver disease Pancreatic disease Cerebrovascular accident (stroke) Drugs—thiazides, corticosteroids, oral contraceptives Pregnancy
Renal: Defective tubular reabsorption; glucosuria with normal plasma glucose	Fanconi's syndrome End-stage renal disease Cystinosis Heavy metal poisoning Genetic disorders Pregnancy

the glomerular filtration rate, such as renal arteriosclerosis or low cardiac output, can result in hyperglycemia without glucosuria. Fig. 6.2 summarizes glucosuria mechanisms and their relationship to hyperglycemia and renal tubular function.

Sugars other than glucose can appear in the urine, although, like glucose, they are normally not present. Various circumstances can cause the urinary excretion of other sugars (glycosuria) such as galactose, fructose, lactose, maltose, or pentose. The most significant of these is the excretion of galactose because it signifies a disease (galactosemia) that has severe and irreversible consequences. Galactosemia is an inherited disorder characterized by an inability to metabolize galactose to glucose. The genetic defect varies, but all forms involve the reduction or absence of an enzyme required for galactose metabolism. Galactose 1-phosphate uridyl transferase (GALT) is the enzyme involved in the most common, classical form (see Chapter 8 for additional discussion of galactosemias). Lactose is a disaccharide of D-galactose and D-glucose. It is present in milk and is the principal dietary source of galactose. Infants born with an enzyme deficiency to metabolize galactose must be recognized at birth so that milk can be eliminated from their diet. If this deficiency goes undetected, the increased concentration of galactose in the infant's blood causes the formation of toxic intermediate products of metabolism (e.g., galactonate, galactitol), which cause irreversible brain damage. With classical galactosemia (GALT), galactonate accumulates and the infant fails to thrive, vomits, and has diarrhea, hepatomegaly, and jaundice.



**FIG. 6.2** A schematic diagram comparing the filtration and reabsorption of glucose by proximal tubular cells normally and in conditions of hyperglycemia and renal tubular disease.

With rarer enzyme deficiencies galactitol is formed, which causes cataract formation in newborns. If these conditions are recognized early, galactose can be eliminated from the diet, resulting in normal growth and development. Detection of galactose and other reducing sugars in urine relies on tests for reducing substances. Because galactosemia has serious complications and is life threatening, blood screening (heel-stick) of newborn infants for GALT is mandated in the United States. In addition, it is a common practice for laboratories to routinely screen the urine of children younger than 2 years for reducing substances.

Lactose may be found in the urine of pregnant women or of premature infants. Rarely, urine may contain fructose as a result of excessive fruit or honey ingestion; pentoses (xylose and arabinose) from excessive fruit ingestion (e.g., plums, cherries) or from a rare genetic defect; or maltose and glucose together in some diabetic patients. Of the many sugars that can be present in urine, only glucose and galactose signify pathologic conditions.

The diagnostic utility of urine glucose testing is summarized in Table 6.18. Because sugars are not normally excreted in the urine, a routine urinalysis should include a screening test for them. The sugar most commonly encountered is glucose; however, in children younger than 2 years, it is important to test for any reducing sugar. Note that other reducing substances can also be present in the urine (e.g., homogentisic acid, ascorbic acid, salicylates). Consequently, urine specimens that produce a positive reduction test require further evaluation to identify the reductant present (see **Copper Reduction Tests** later in this chapter).

### Methods

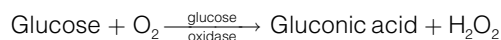
**Reagent strip tests.** Urine screening for glucose dates back to the Babylonians and the Egyptians, who tasted urine to detect the presence of urinary sugars. Now urine glucose screening by a reagent strip test is a cost-effective, noninvasive means of identifying individuals with glucosuria. The glucose reagent strip was the first “dip and read” reagent strip

**TABLE 6.18** Diagnostic Utility of Urine Glucose Testing

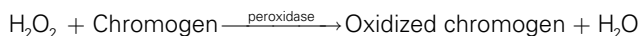
Test	Reason
Glucose Reagent Strip test	Screen for diabetes mellitus
Combination of glucose Reagent Strip test and Clinitest	Screen for inherited metabolic diseases that involve <i>reducing</i> sugars <ul style="list-style-type: none"> <li>Galactose<sup>a</sup>: screen urine from children &lt;2 years of age</li> <li>Lactose, fructose, maltose, pentose</li> </ul>

<sup>a</sup>Blood testing of newborn infants for “classical” galactosemia (GALT) is mandated by law in the United States.

developed by Miles, Inc., in 1950. Glucose reagent strip tests use a double sequential enzyme reaction and detect *only* glucose (Equations 6.10 and 6.11). Glucose oxidase impregnated on the reaction pad rapidly catalyzes the oxidation of glucose to form hydrogen peroxide and gluconic acid. The hydrogen peroxide formed oxidizes the chromogen on the pad in the presence of peroxidase. The color change observed depends on the chromogen used in the reaction, which varies with the brand of reagent strips.



**Equation 6.10**



**Equation 6.11**

Results are reported as negative (or normal), and positive tests are assessed quantitatively in concentration units of milligrams per deciliter (mg/dL) or grams per deciliter (g/dL). Because virtually all glucose is reabsorbed by the renal tubules, a urine concentration of less than 20 mg/dL is considered normal, and the sensitivity of glucose reagent strips is

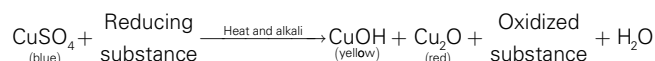
adjusted to avoid detection of these small amounts of glucose. Table 6.19 summarizes the glucose reaction principle, sensitivity, and specificity of selected reagent strip brands. Specific gravity and temperature can modify the sensitivity of the reagent strip for glucose. As the urine specific gravity increases or the temperature of the urine decreases (e.g., refrigeration), the sensitivity of the reagent strip to glucose is decreased. Similarly, high concentrations of urine ketones—that is, ketonuria ( $\geq 40$  mg/dL)—can reduce the sensitivity of the reagent strip to low glucose concentrations (75 to 125 mg/dL). However, ketonuria occurs when glucose is inadequate (starvation) or when the glucose that is present cannot be properly metabolized (diabetes). In the former situation, no glucose is excreted in the urine, and in the latter case, the amount of glucose present in the urine is usually very large. In other words, the possibility of significant ketonuria with low-level glucosuria is rarely encountered.

False-positive reagent strip tests for glucose can be caused by strong oxidizing agents (e.g., sodium hypochlorite) or contaminating peroxides that directly interact with the chromogen. However, false-negative results are encountered more often. Ascorbic acid in concentrations of 50 mg/dL or more directly reduces the hydrogen peroxide produced in the first reaction. Consequently, the chromogen is not oxidized and a false-negative result can be obtained. Routine ascorbic acid detection performed simultaneously with glucose screening enables detection of false-negative results.<sup>18</sup> The difference between no glucose and low levels of glucose in urine is clinically significant. Therefore, alternate testing using quantitative glucose methods that are not affected by the presence of

ascorbic acid may be necessary, as in prenatal management. Improper storage of urine specimens should be avoided because bacterial glycolysis can cause false-negative results.

**Copper reduction tests.** In the 19th century, the copper reduction ability of some sugars in an alkaline medium was discovered, and the term *reducing sugar* was coined. Glucose, fructose, galactose, lactose, maltose, and pentose are a few of the reducing sugars; common table sugar, sucrose, is not a reducing sugar. The reducing ability of a sugar is determined by the presence of the reducing group ( $\text{>CO}$ ), which is present in all monosaccharides. In some disaccharides, the reducing group is used in its formation (a glycosidic linkage), and the resultant sugar is nonreducing (e.g., sucrose). As a medical student, Stanley Benedict modified the original, time-consuming copper reduction test into a practical liquid test.<sup>19</sup> A tablet version of Benedict's test is the Clinitest reagent tablet (Siemens Healthcare Diagnostics Inc.), which is used in clinical laboratories to detect reducing substances.

Copper reduction tests are based on the ability of reducing substances to convert cupric sulfate to cuprous oxide, which results in a color change from blue to green to orange. Clinitest tablets contain all the reagents necessary for this reaction: anhydrous copper sulfate, sodium hydroxide, citric acid, and sodium bicarbonate. A mixture of approximately 0.25 mL of urine (5 drops) and 0.50 mL of water (10 drops) is prepared in a test tube, and one reagent tablet is added. The tube is allowed to stand undisturbed. During this period, the mixture bubbles as citric acid and sodium bicarbonate react to form carbon dioxide ( $\text{CO}_2$ ). This gas blankets the reaction mixture and prevents room air from participating in the chemical reaction. At the same time, this chemical reaction is promoted by heat generated from the interaction of sodium hydroxide and water. Fifteen seconds *after* the bubbling reaction stops, the tube is shaken, and the color of the mixture is compared with a color chart provided (Fig. 6.3). Equation 6.12 depicts the reaction.

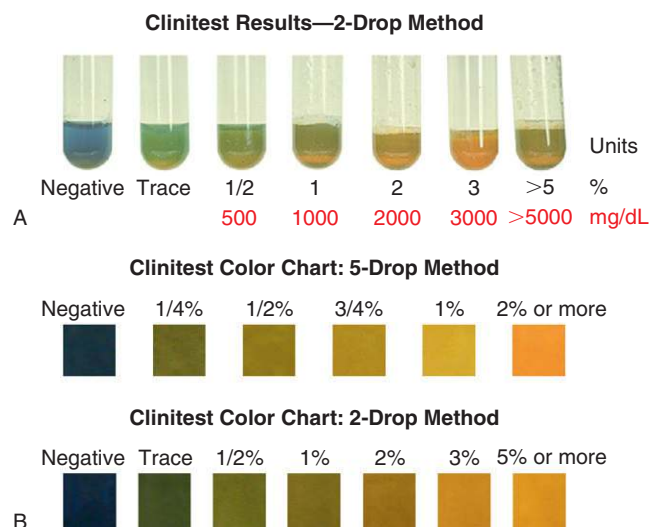


**Equation 6.12**

Clinitest results must be evaluated immediately, and any color change that occurs after 15 seconds is ignored. When the color change is not read at 15 seconds after bubbling ceases, falsely low results may be reported if the pass-through phenomenon took place. The pass-through phenomenon occurs in the presence of high concentrations of reducing substances and is evidenced by the color of the mixture “passing through” all colors possible. In other words, it becomes orange (the highest concentration) but then proceeds to change back to green-brown (a low concentration). The mechanism for this phenomenon is the reoxidation of the resultant cuprous oxide to cupric oxide and other cupric complexes (green). Reoxidation can occur in the presence of high concentrations of reducing substances or after exposure of the reaction mixture to room air after the protective  $\text{CO}_2$  gas blanket disperses. To observe this phenomenon within the 15-second reaction

**TABLE 6.19 Glucose by Reagent Strip**

Principle	Double sequential enzyme reaction. Glucose oxidase on reagent pad catalyzes the oxidation of glucose to form hydrogen peroxide. The hydrogen peroxide formed in the first reaction oxidizes a chromogen on the reagent pad. The second reaction is catalyzed by a peroxidase provided on the pad. The color change differs with the chromogen used. Chromogen used: see Appendix B, Table B.1
Sensitivity	Aution: 30 mg/dL Chemstrip: 40 mg/dL Multistix: 75–125 mg/dL
Specificity	Specific for glucose Affected by high specific gravity and low temperatures <i>False-positive</i> results: <ul style="list-style-type: none"> <li>• Strong oxidizing agents, such as bleach</li> <li>• Peroxide contaminants</li> </ul> <i>False-negative</i> results: <ul style="list-style-type: none"> <li>• Ascorbic acid (<math>\geq 50</math> mg/dL)</li> <li>• Improperly stored specimens (i.e., glycolysis)</li> </ul>



**FIG. 6.3** (A) A series of glucose standards analyzed using the Clinitest 2-drop method. Note that the tube with greater than 5000 mg/dL glucose has demonstrated the “pass-through” effect (i.e., after reaction, the mixture returns to a greenish color). (B) Clinitest color charts. Note the subtle differences between the 5-drop and 2-drop color charts. It is essential that reaction mixtures be compared with the proper color chart to obtain accurate results. *Do not use these color charts for diagnostic testing.*

period, very high glucose concentrations must be present. If the reaction mixture is not observed during testing and the 15-second time period is exceeded, the color observed (after the pass through) may no longer indicate the high glucose concentration, and falsely low results may be reported. Note that adherence to the manufacturer’s procedural directions will avoid this potential technical error.

An alternate approach to quantifying high glucose concentrations is to perform the Clinitest method using 2 drops of urine instead of the usual 5 drops. Whereas the 5-drop method can detect 250 mg/dL (0.25 g/dL) glucose, the 2-drop method requires a minimum of 350 mg/dL (0.35 g/dL) to obtain a positive result. An advantage of the 2-drop method is that it enables glucose quantitation up to 5 g/dL and reduces the possibility of the pass-through effect. Semiquantitative results in grams per deciliter are obtained by comparison with the appropriate color chart, with different charts provided for the 5-drop and 2-drop methods.

Copper reduction tests are nonspecific, and reducing substances in urine, other than sugars, can produce positive results when present in significant amounts. [Box 6.4](#) provides a partial listing of these reducing substances. Although creatinine, ketone bodies, and uric acid are reducing substances, the amounts present in urine, even in extreme cases, are usually insufficient to produce a positive Clinitest result. Ascorbic acid is the most commonly encountered agent that produces positive copper reduction tests in the absence of glucose. It can also cause a discrepancy between the results obtained using the glucose reagent strip test and the Clinitest method. Radiographic contrast media can cause false-negative or

### BOX 6.4 Reducing Substances in Urine That Can Cause Positive Copper Reduction Test

#### Sugars

- Monosaccharides
  - Glucose
  - Fructose
- Disaccharides
  - Galactose
  - Lactose
  - Maltose
- Pentoses
  - Arabinose
  - Ribose

#### Ascorbic Acid

- Vitamins or fruits
- Drug preparations (e.g., intravenous tetracycline)

#### Drugs and Their Metabolites

- Salicylates
- Penicillin
- Cephalosporins
- Nalidixic acid
- Sulfonamides

#### Cysteine

#### Homogentisic acid

decreased results. Urine of low specific gravity appears to increase the sensitivity of the Clinitest method slightly, whereas sulfonamide metabolites or methapyrilene compounds interfere with the reaction, causing decreased results.

As previously mentioned, the excretion of glucose or galactose is pathologically significant. Therefore urine from children younger than 2 years should be tested with a copper reduction test to screen for reducing substances that are formed as a result of galactosemia. When a reducing sugar other than glucose is determined to be present and galactosemia is suspected, blood tests are used to definitively identify classical (GALT) and other variant forms of galactosemia.

**Comparison of the Clinitest method and glucose reagent strip tests.** Because glucose reagent strip tests have a lower detection limit than the Clinitest method, it is possible to obtain a negative Clinitest result and a positive glucose reagent strip test on a urine specimen. These results would indicate a low concentration of glucose (approximately 40 to 200 mg/dL). If, however, the Clinitest result is positive and the glucose reagent strip result is negative, a reducing substance other than glucose is present. In either case, it is assumed that all reagents and strips are functioning properly. Outdated reagent strips or tablets, as well as contaminating agents, could produce similar result combinations ([Table 6.20](#)). Because Clinitest tablets are hygroscopic—they take up and retain water—protecting them from moisture, light, and heat is imperative. These tablets should be visually inspected before use to ensure their integrity.



**TABLE 6.20 Comparison of the Glucose Reagent Strip Test and the Clinitest Tablet Test**

Glucose Reagent Strip Test	Clinitest Tablet Test	Possible Causes of Test Discrepancy
Positive	Negative	<p>Low concentration of glucose; reagent strip more sensitive than Clinitest</p> <p>False-positive reagent strip because of contaminants (e.g., oxidizing agents, peroxidases)</p> <p>False-negative Clinitest due to presence of radiographic contrast media</p> <p>Defective Clinitest tablets (e.g., outdated)</p>
Negative	Positive	<p>Non-glucose-reducing substance present (see Box 6.4)</p> <p>Reagent strip interference (e.g., high specific gravity, low urine temperature)</p> <p>Reagent strips defective (e.g., outdated, improperly stored)</p>

## Ketones

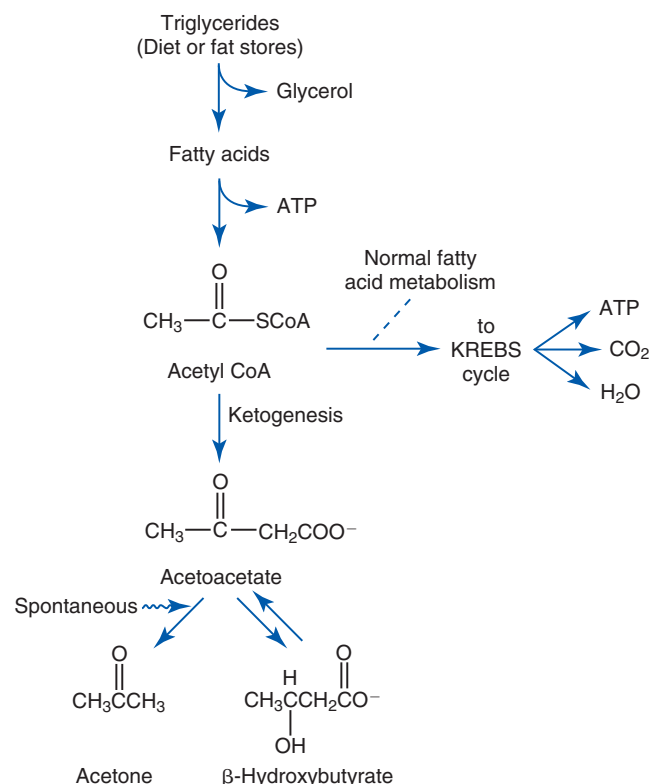
### Formation

The terms *ketones* and *ketone bodies* identify three intermediate products of fatty acid metabolism: acetoacetate,  $\beta$ -hydroxybutyrate, and acetone (Fig. 6.4). Normally, the end products of fatty acid metabolism are carbon dioxide and water, and no measurable ketones are produced. However, when carbohydrate availability is limited, the liver must oxidize fatty acids as its main metabolic substrate. As a result, large amounts of acetyl coenzyme A are formed, and the Krebs cycle becomes overwhelmed. To handle the increased acetyl coenzyme A load, the liver mitochondria begin active ketogenesis. Large quantities of ketones are released into the bloodstream (ketonemia) and provide energy to the brain, heart, skeletal muscles, and kidneys.

The amount of each ketone body in the blood varies with the severity of the condition. However, the average distribution of ketones in serum and urine is 78%  $\beta$ -hydroxybutyrate, 20% acetoacetate, and 2% acetone. When the blood ketone concentration exceeds 70 mg/dL (the renal threshold level), ketones are excreted in the urine.<sup>20</sup> This condition is termed **ketonuria**. Because acetone is also eliminated by the lungs, the breath of patients with ketonemia has a distinctive acetone or fruity odor.

### Clinical Significance

Normally when carbohydrates are available, ketone synthesis is inhibited, blood ketone levels are 3 mg/dL or less, and



**FIG. 6.4** The formation of ketones from fatty acid metabolism. *ATP*, Adenosine triphosphate; *CoA*, coenzyme A; *SCoA*, succinyl coenzyme A.

### BOX 6.5 Causes of Ketonuria

#### Inability to utilize available carbohydrates

Diabetes mellitus

#### Insufficient carbohydrate consumption

Starvation  
Diet regimens  
Alcoholism  
Severe exercise  
Cold exposure  
Acute febrile illnesses in children

#### Loss of carbohydrates

Frequent vomiting (e.g., pregnancy, illness)  
Defective renal reabsorption (e.g., Fanconi's syndrome)  
Digestive disturbances

urine ketone excretion is about 20 mg/day. Any condition that causes increased fat metabolism can result in ketonemia and ketonuria. These conditions can be divided into one of three categories: (1) an inability to use carbohydrates, (2) inadequate carbohydrate intake, or (3) loss of carbohydrates. Box 6.5 provides a list of conditions that can result in significant ketone formation with subsequent ketonemia and ketonuria.

Regardless of the initiating condition, the sequence of ketone formation is the same. By far the most common



clinical presentation is seen in patients with uncontrolled diabetes mellitus. In these patients the body is unable to use carbohydrates and fat metabolism increases dramatically. As a result, ketoacids (ketones) accumulate in the patient's plasma, causing the plasma pH and bicarbonate to decrease. To eliminate these ketones and the large amount of glucose present, substantial amounts of body water are excreted (diuresis). Without replenishment or intervention, large quantities of electrolytes are lost in the urine, and a chemical imbalance results in acidosis and potentially diabetic coma. This condition is characteristically preceded by polyphagia, polydipsia, polyuria, and complaints of fatigue, nausea, and vomiting.

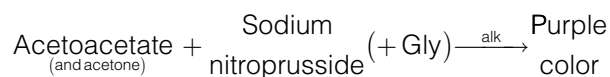
The detection of ketones in urine can serve as a valuable monitoring and management tool for patients with type 1 diabetes mellitus. Ketonuria is an early indicator of insulin deficiency, and ketoacidosis can develop slowly and progressively as a result of repeated insufficient insulin doses. In contrast, people with type 2 diabetes mellitus rarely develop ketoacidosis.<sup>21</sup> Chapter 8 further discusses the classification of diabetes and the metabolic derangements encountered.

### Methods

As depicted in Fig. 6.4, the first ketone body formed by the liver cells is acetoacetate. A large portion of this acetoacetate is enzymatically reduced to  $\beta$ -hydroxybutyrate, and a minute portion is converted to acetone and carbon dioxide. None of the clinical laboratory tests for ketones detects and measures all three ketones. Although  $\beta$ -hydroxybutyrate is the ketone body of greatest average concentration during ketogenesis, methods for its detection in urine are not available. In contrast, inexpensive and rapid methods that detect acetoacetate and acetone based on the nitroprusside reaction (Legal's test or Rothera's test) are commonplace. These nitroprusside reaction-based tests are 15 to 20 times more sensitive to acetoacetate than they are to acetone, and they do not react with  $\beta$ -hydroxybutyrate.<sup>22</sup>

Before the development of the nitroprusside test, the ferric chloride test (Gerhardt's test, 1865) was used to detect ketones. Unfortunately, many other substances, most notably salicylates, also produce positive results. The search for a more specific and sensitive method for ketone detection resulted in the nitroprusside test, which was originally developed by Legal in 1883 and was later modified by Rothera in 1908. Although the test is no longer performed routinely in clinical laboratories, Rothera's tube test is more sensitive to acetoacetate (1 to 5 mg/dL) and acetone (10 to 25 mg/dL) than the current test modification available on reagent strips or tablet tests.

**Reagent strip tests.** The ketone reagent strip tests are based on the nitroprusside reaction with sodium nitroprusside (nitroferricyanide) impregnated in the reagent pad. In an alkaline medium, acetoacetate reacts with nitroprusside to produce a color change from beige to purple.  $\beta$ -Hydroxybutyrate is not detected by reagent strip tests; however, Chemstrip reagent strips include glycine in the reagent pad, which enables detection of acetone in addition to acetoacetate (Equation 6.13).



Equation 6.13

Gly, Glycine.

Ketone results can be reported in a variety of ways: by qualitatively using the plus system (negative, 1+, 2+, 3+); as negative, small, moderate, or large; or semiquantitatively, as a concentration in milligrams per deciliter (negative, 5, 15, 40, 80, 160 mg/dL). All reagent strip tests are sensitive at 5 to 10 mg/dL of acetoacetate, with Chemstrip reagent strips also detecting acetone concentrations of 50 to 70 mg/dL. Table 6.21 summarizes the ketone reaction principle, sensitivity, and specificity of selected reagent strip brands.

Several agents can produce a false-positive reagent strip test for ketones. Most notable are compounds that contain free sulfhydryl groups. These include 2-mercaptoethane sulfonic acid (MESNA), a rescue drug used in the treatment of cancers; captopril, an antihypertensive drug; *N*-acetylcysteine, a treatment for acetaminophen overdose; *D*-penicillamine; and cystine, an amino acid. Because of the increasingly widespread use of these and other agents containing free sulfhydryl groups, it is imperative that laboratorians are aware of these potential interferences and that they review and confirm positive ketone results. In 1989 less than 1% of participating laboratories correctly reported a negative result for ketones on a College of American Pathologists urine survey specimen that contained only a free sulfhydryl drug.<sup>23</sup>

TABLE 6.21 Ketone by Reagent Strip

Principle	Legal's test—nitroprusside reaction. Acetoacetic acid in an alkaline medium reacts with nitroferricyanide to produce a color change from beige to purple. The Chemstrip reagent strips include glycine in the reaction pad, which enables the detection of acetone; Aution and Multistix strips do not.
Sensitivity	Aution: 5.0 mg/dL acetoacetate Chemstrip: 9.0 mg/dL acetoacetate; 70 mg/dL acetone Multistix: 5.0–10 mg/dL acetoacetate
Specificity	Does not detect $\beta$ -hydroxybutyrate <i>False-positive</i> results: <ul style="list-style-type: none"> <li>• Compounds containing free sulfhydryl groups, such as MESNA, captopril, <i>N</i>-acetylcysteine</li> <li>• Highly pigmented urines</li> <li>• Atypical colors with phenylketones and phthaleins</li> <li>• Large quantities of levodopa metabolites</li> </ul> <i>False-negative</i> results: <ul style="list-style-type: none"> <li>• Improper storage, resulting in volatilization and bacterial breakdown</li> </ul>

MESNA, 2-Mercaptoethane sulfonic acid.

A rapid and easy means of detecting a false-positive reaction caused by a free sulfhydryl-containing drug when performing the reagent strip test or the tablet test (Acetest, to be discussed) is possible. In high concentrations, these drugs cause the ketone reaction pad to become immediately positive; however, by the appropriate read time, the color fades dramatically or disappears. In contrast, when *true ketones* are present, the purple color of the reaction pad or tablet intensifies. Because automated reagent strip readers read the strips at shorter intervals than when the strips are read visually, many false-positive results can be obtained. With lower drug concentrations, fading of the strip or tablet color is not always evident, in which case a drop of glacial acetic acid can be added to the reaction pad or to the reagent tablet. If the purple color fades or disappears, *true ketones* are not present. If these drugs are known or are determined to be present (e.g., diabetic patient taking captopril), the detection of ketones by these methods is compromised.

Highly pigmented urine can produce false-positive reagent strip and tablet tests. In addition, positive results or atypical colors can occur when levodopa metabolites, phenylketones, or phthaleins (e.g., bromsulphthalein, phenolsulfonphthalein dyes) are present. These substances react with the alkali in the test medium to produce color.<sup>3</sup>

The primary reason for false-negative ketone tests is improper specimen collection and handling. Because of rapid volatilization of acetone at room temperature and the breakdown of acetoacetate by bacteria, urine specimens should be tested immediately or refrigerated. False-negative tests can also occur as the result of deterioration of the nitroprusside reagent in the reaction pads or tablets from exposure to moisture, heat, or light.

**Nitroprusside tablet test for ketones (Acetest).** A tablet test for the detection of ketones in urine is the Acetest (Siemens Healthcare Diagnostics Inc.). The Acetest uses the same nitroprusside reaction as the ketone reagent strip test and has a detection limit of 5 mg/dL acetoacetate in urine. The tablets contain glycine, which enables the reaction of acetone and lactose, which acts as a color enhancer. An advantage of this tablet test is the flexibility of specimen type: urine, serum, plasma, or whole blood. One drop of a specimen is placed directly on the tablet, and after the appropriate timed interval, the tablet color is compared with a color chart provided (Fig. 6.5). Positive results are evidenced by a purple color and are reported as negative, small, moderate, or large. Any pink, tan, or yellow coloration should be ignored.

False-positive and false-negative results can occur for the same reasons as were described in the section on reagent strip tests for ketones. Therefore, regardless of the nitroprusside method used, specimen and reagent integrity and the laboratorian's knowledge of potential interferences are essential for obtaining accurate results.

## Bilirubin and Urobilinogen

### Formation

**Bilirubin** is an intensely orange-yellow pigment that when present in significant amounts causes a characteristic



**FIG. 6.5** (A) A positive Acetest for ketones. (B) An Acetest color chart. Do not use this color chart for diagnostic testing.

coloration of plasma and urine. The principal source of bilirubin (85%) is hemoglobin released daily from the breakdown of senescent RBCs in the reticuloendothelial system. Other normal sources of bilirubin are destroyed RBC precursors in the bone marrow and other heme-containing proteins such as myoglobin or cytochromes.

After the heme moiety has been released in the peripheral tissues, it undergoes catabolism to form bilirubin. The iron is bound by transferrin and is returned to the iron stores of the liver and bone marrow; the protein is returned to the amino acid pool for reuse; and the  $\alpha$ -carbon from the protoporphyrin ring is expired by the lungs as carbon monoxide. This reaction sequence results in the formation of the tetrapyrrole biliverdin, which is rapidly and enzymatically reduced to bilirubin. The conversion of heme to bilirubin requires about 2 to 3 hours.<sup>24</sup> Fig. 6.6 provides a schematic representation of heme catabolism to form bilirubin.

Bilirubin released into the bloodstream from the peripheral tissues is water insoluble and becomes reversibly bound to albumin. This association enhances its solubility and prevents bilirubin from crossing cell membranes into tissues where it can be toxic. While bound to albumin, bilirubin is too large and is unable to cross the glomerular filtration barriers to be excreted in urine. When the blood passes through the liver sinusoids, hepatocytes rapidly remove bilirubin from albumin in a carrier-mediated active transport process. Once within hepatocytes, bilirubin is rapidly conjugated with glucuronic acid to produce water-soluble bilirubin monoglucuronide and diglucuronide (collectively termed *conjugated bilirubin*). Normally, all the conjugated bilirubin formed is transported against a concentration gradient into the bile duct and ultimately into the small intestine. Should conjugated bilirubin reenter the bloodstream because of hepatocellular disease, it easily and rapidly passes the glomerular filtration barriers of the kidneys and is excreted in the urine.

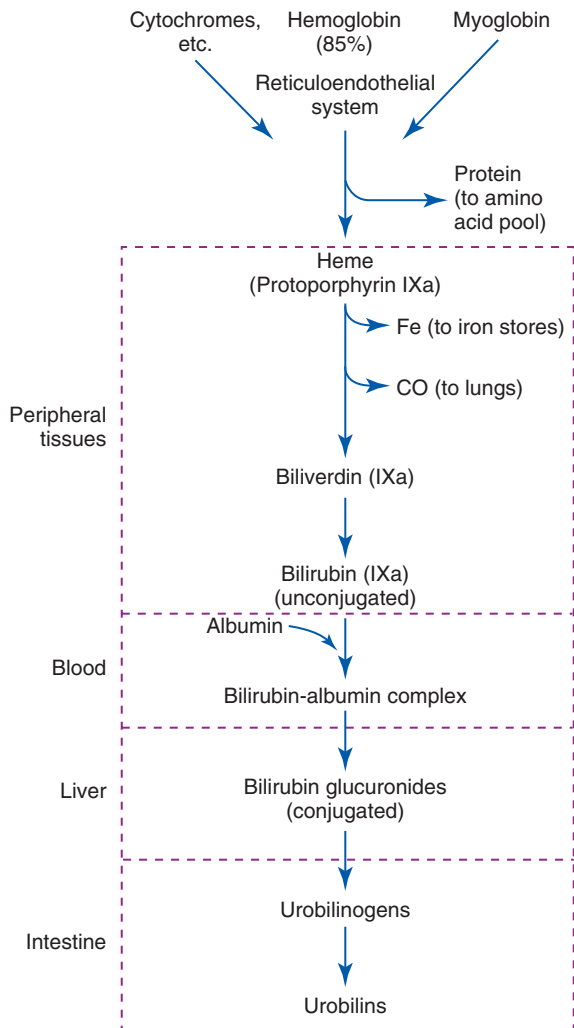


FIG. 6.6 A schematic diagram of hemoglobin catabolism.

Once in the intestinal tract, conjugated bilirubin is deconjugated and then reduced by anaerobic intestinal bacteria to form the colorless tetrapyrrole urobilinogen. A portion of the urobilinogen formed is subsequently reduced to stercobilinogen. Normally, about 20% of the urobilinogen is reabsorbed and reenters the liver via the hepatic portal circulation; in contrast, stercobilinogen cannot be reabsorbed. Of the reabsorbed urobilinogen, most is reexcreted by the liver into the bile; however, 2% to 5% of the urobilinogen normally remains in the bloodstream. At the kidneys plasma urobilinogen readily passes the glomerular filtration barriers and is excreted in the urine (1 mg/dL or less). Spontaneous oxidation of urobilinogen and stercobilinogen in the large intestine results in the formation of urobilin and stercobilin. These compounds are orange-brown and account for the characteristic color of feces. Similarly, oxidation of the urobilinogen in urine to urobilin can contribute to the color observed in a urine specimen.

### Clinical Significance

Disturbances in any aspect of bilirubin formation, hepatic uptake, metabolism, storage, or excretion are possible in a variety of diseases. Depending on the dysfunction, unconjugated

bilirubin, conjugated bilirubin, or both may be produced in abnormally increased amounts, resulting in hyperbilirubinemia and possibly *bilirubinuria*.

In healthy individuals, only trace amounts of bilirubin (0.02 mg/dL) are excreted, and its presence is normally undetectable by routine testing methods. Therefore any detectable amount of bilirubin is considered to be significant and requires further clinical investigation. Its presence indicates the disruption of or an increase in hemoglobin catabolism. An increase in plasma bilirubin and its appearance in urine are early indicators of liver disease and can occur before any other clinical symptoms. Bilirubinemia and bilirubinuria are detectable long before the development of **jaundice**—the yellowish pigmentation of skin, sclera, tissues, and body fluids caused by bilirubin. Jaundice appears when plasma bilirubin concentrations reach 2 to 3 mg/dL (approximately two to three times normal).

Three principal mechanisms of altered bilirubin metabolism occur. They can result in an increase in urine bilirubin, urine urobilinogen, or both, as well as changes in fecal color (Table 6.22 and Fig. 6.7). The first mechanism is prehepatic, indicating that the abnormality occurs before the handling of bilirubin by the liver. In other words, liver function is normal and the dysfunction is an overproduction of bilirubin from heme. This overproduction occurs in hemolytic conditions, such as hemolytic anemia, sickle cell disease, hereditary spherocytosis, and transfusion reactions, or in ineffective erythropoietic diseases such as thalassemia or pernicious anemia. In each of these conditions, large amounts of heme are catabolized into unconjugated bilirubin in the peripheral tissues. Because the bilirubin is unconjugated and is bound to albumin, it cannot be excreted in the urine. As a result, large amounts of bilirubin are presented to the liver for conjugation and excretion into the bile. The liver has a large capacity for bilirubin conjugation; most unconjugated bilirubin is removed in its first pass through the liver. Because a larger amount of bilirubin is excreted into the intestine, a larger amount of urobilinogen is formed; thus an increased amount of urobilinogen is reabsorbed into the enterohepatic circulation. As a result, the urine remains negative for bilirubin, but the urobilinogen concentration increases to above its normal value of 1 mg/dL or less.

The second mechanism of altered bilirubin metabolism is hepatic in origin and results from hepatocellular disease or disorders. Liver conditions affect the ability of the hepatocytes to perform the tasks of bilirubin uptake, conjugation, and excretion. The severity of the disorder and therefore the amount of bilirubin or urobilinogen present in the urine vary. Depending on the extent of hepatocellular damage, conjugated bilirubin can leak directly back into the systemic circulation from damaged hepatocytes. Because this bilirubin is conjugated, it readily passes through the glomerular filtration barriers and is excreted in the urine. Hepatocellular damage also affects the reexcretion of intestinally reabsorbed urobilinogen. Because less urobilinogen is removed from the portal circulation by a diseased liver, more urobilinogen reaches the kidneys. The urine urobilinogen concentration can be increased or can remain normal, depending on the extent of hepatocellular damage.

TABLE 6.22 Diagnostic Utility of Urine Bilirubin, Urobilinogen, and Fecal Color

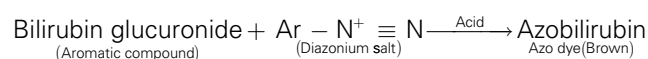
Jaundice Classification	Conditions	Urine	Fecal Color
Prehepatic (increased heme degradation)	Hemolytic disorders <ul style="list-style-type: none"> <li>• Transfusion reactions</li> <li>• Sickle cell disease</li> <li>• Hereditary spherocytosis</li> <li>• Hemolytic disease of newborn</li> </ul> Ineffective erythropoiesis <ul style="list-style-type: none"> <li>• Thalassemia</li> <li>• Pernicious anemia</li> </ul>	Bilirubin: Negative Urobilinogen: ↑	Normal
Hepatic (hepatocellular disorder)	Hepatitis Cirrhosis Genetic disorders	Bilirubin: Positive Urobilinogen: Normal to ↑	Normal
Posthepatic (obstruction)	Gallstones Tumors (carcinoma) Fibrosis	Bilirubin: Positive Urobilinogen: ↓ to absent	Pale, chalky, “acholic”

The third mechanism of altered bilirubin metabolism involves posthepatic obstruction of the bile duct or biliary system. In these conditions, the liver functions normally; however, conjugated bilirubin that is transported to the biliary system is unable to pass into the intestine because of an obstruction. In these cases, conjugated bilirubin accumulates in the liver and eventually overflows or backs up into the systemic circulation. The kidneys rapidly clear the conjugated bilirubin, and bilirubinuria occurs. At the same time, little or no bilirubin is passing into the intestine. Consequently, no urobilinogen is formed and none is available for intestinal reabsorption. Because of this, the feces become characteristically acholic (pale white or tan) because the customary bile pigments (i.e., stercobilin and urobilin) are severely decreased or absent.

### Bilirubin Methods

**Physical examination.** Because of the characteristic pigmentation of bilirubin, its presence is often suspected in distinctly dark yellow-brown or amber urine specimens. These specimens are sometimes described as “beer brown.” If these urines are agitated or shaken, the foam that forms has the characteristic yellow color that indicates the presence of bilirubin (see Fig. 5.1). Note that clinically significant increases in urine bilirubin can be small and may not appreciably alter urine color; therefore a chemical test for bilirubin should be included in all routine urinalyses.

**Reagent strip tests for bilirubin.** Reagent strip tests for bilirubin are based on the coupling reaction of a diazonium salt, impregnated in the reagent pad, with bilirubin in an acid medium to form an azo dye: azobilirubin. This azo coupling reaction produces a color change from light tan to beige or pink (Equation 6.14).

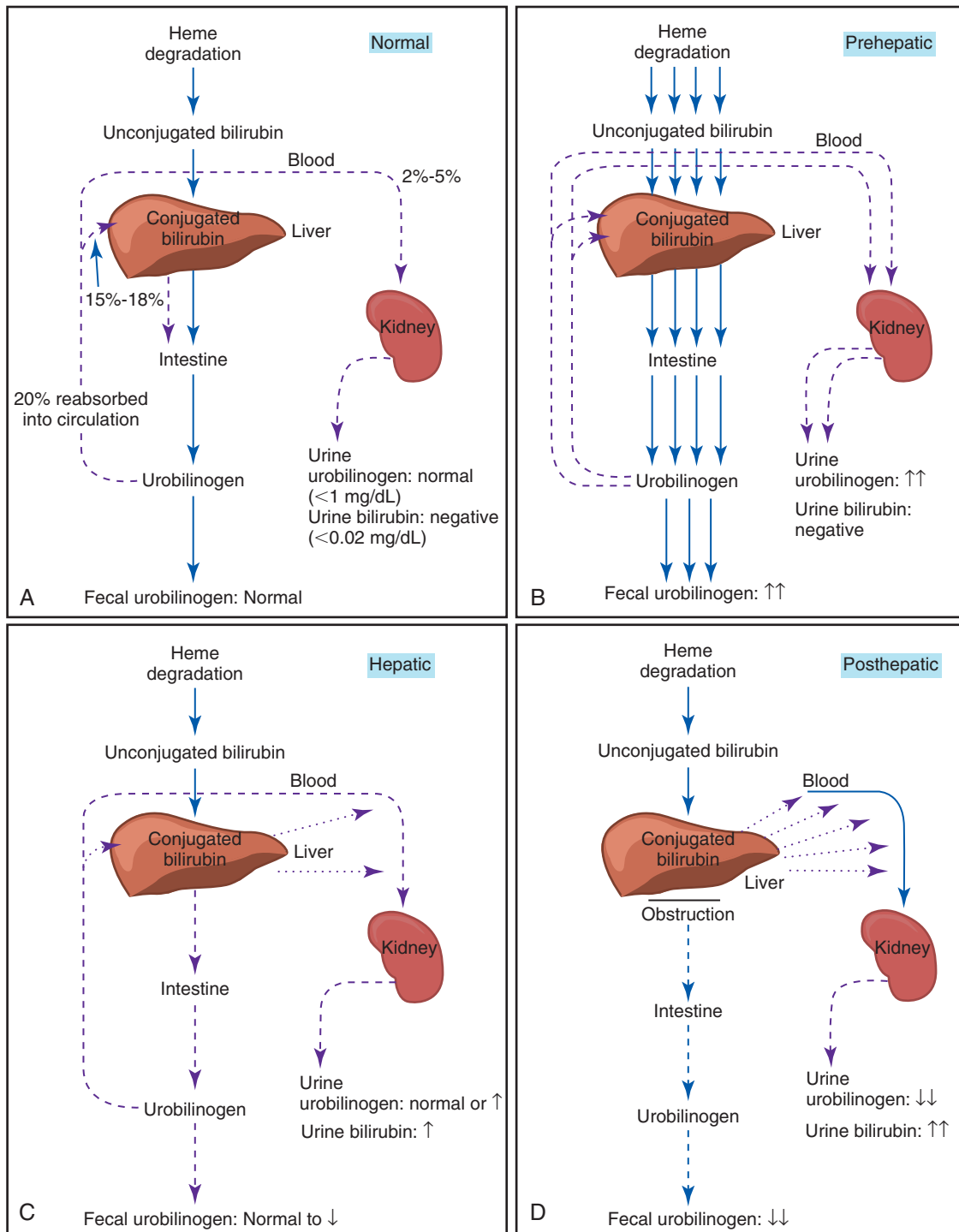


Equation 6.14

Results are reported as negative, small, moderate, or large, or when the plus system is used, as negative, 1+, 2+, or 3+. The lower limit of detection for reagent strip tests is approximately 0.5 mg/dL of conjugated bilirubin. Note that a 25-fold increase in urine bilirubin excretion is necessary before bilirubin is detected by this method. Various drugs that color the urine red in an acid medium, such as phenazopyridine, can cause false-positive reactions. Other drugs, such as large quantities of chlorpromazine metabolites, can react directly with the diazonium salt to produce a false-positive test. Table 6.23 summarizes the bilirubin reaction principle, sensitivity, and specificity of selected reagent strips brands.

False-negative results are caused by ascorbic acid ( $\geq 25$  mg/dL); it reacts directly with the diazonium salt to form a colorless end product, in which case bilirubin is unable to participate in the azo coupling reaction. Increased nitrite concentrations that result from UTIs can interfere through the same mechanism described for ascorbic acid. Because bilirubin is unstable, improper specimen storage can cause false-negative bilirubin tests. When exposed to artificial light or sunlight, bilirubin rapidly photo-oxidizes to biliverdin or hydrolyzes to free bilirubin. Because neither of these compounds reacts in the bilirubin reagent strip test, once this bilirubin conversion has taken place, false-negative results will be obtained. The light sensitivity of bilirubin is temperature dependent; it is enhanced at room temperature and is retarded at low or refrigerator temperatures.

**Diazo tablet test for bilirubin (Ictotest method).** A tablet test for the detection of bilirubin in urine is the Ictotest method (Siemens Healthcare Diagnostics Inc.). This tablet test is based on the same azo coupling reaction of bilirubin with a diazonium salt on which reagent strips are based. A notable difference is its significantly lower detection limit. Concentrations of bilirubin as low as 0.05 to 0.1 mg/dL can be detected. This represents approximately fourfold greater sensitivity to bilirubin than is seen with reagent strip tests. Because of this sensitivity difference, it is not unusual for a urine specimen



**FIG. 6.7** Bilirubin metabolism and alterations in normal metabolism caused by disease. (A) Normal bilirubin metabolism. (B) Prehepatic alteration of bilirubin metabolism. (C) Hepatic alteration of bilirubin metabolism. (D) Posthepatic alteration of bilirubin metabolism.

to produce a positive Ictotest result despite a negative reagent strip test result. When specific requests are made for the determination of urine bilirubin or when bilirubin is suspected from the physical examination but the reagent strip result is negative, the Ictotest method should be performed.

The Ictotest method is quick and easy to perform. Urine (10 drops) is added to a special absorbent pad, and an Ictotest

tablet is placed atop the urine premoistened pad. Two drops of water are added to the tablet and allowed to flow onto the pad. After 30 seconds the tablet is removed and the absorbent pad is observed for the development of any purple or blue coloration, which indicates a positive test (Fig. 6.8). Any other colors, such as red or pink, are considered a negative test result for bilirubin. Because the chemical principles of the



TABLE 6.23 Bilirubin by Reagent Strip

Principle	Azo coupling reaction of bilirubin with a diazonium salt in an acid medium to form an azo dye. Color changes from light tan to beige or light pink are observed. Diazonium salt used; see Appendix B, Table B.1
Sensitivity	Aution: 0.5 mg/dL conjugated bilirubin Chemstrip: 0.5 mg/dL conjugated bilirubin Multistix: 0.4–0.8 mg/dL conjugated bilirubin
Specificity	<i>False-positive</i> results: <ul style="list-style-type: none"> <li>• Drug-induced color changes, such as phenazopyridine, indican-indoxyl sulfate</li> <li>• Large quantities of chlorpromazine metabolites</li> </ul> <i>False-negative</i> results: <ul style="list-style-type: none"> <li>• Ascorbic acid (<math>\geq 25</math> mg/dL)</li> <li>• High nitrite concentrations</li> <li>• Improper storage or light exposure, which oxidizes or hydrolyzes bilirubin to nonreactive biliverdin and free bilirubin</li> </ul>

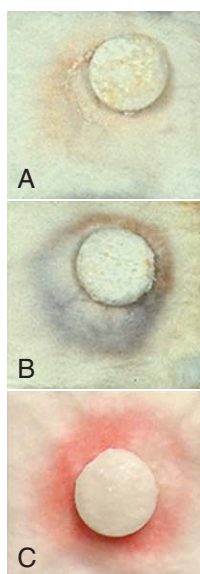


FIG. 6.8 (A) A negative Ictotest. (B) A positive Ictotest for bilirubin. (C) A negative Ictotest showing an atypical color.

Ictotest method and the reagent strip tests are similar, the tablet test is subject to the same interferences that have been seen with reagent strip tests.

### Urobilinogen Methods

**Urobilinogen** is normally present in urine in concentrations of 1 mg/dL or less ( $\approx 1$  Ehrlich unit) or 0.5 to 2.5 mg/day. Although qualitative and quantitative procedures are available for urobilinogen detection, qualitative urine screening tests predominate. Because urobilinogen excretion is enhanced in alkaline urine, the specimen of choice for quantifying or monitoring is a 2-hour collection after the midday meal (i.e., 2 PM to 4 PM). This collection correlates with the

typical “alkaline tide” (alkaline pH) observed in the urine after meals. Quantitative urobilinogen procedures are rarely performed.

Urobilinogen is labile in acid urine and easily photo-oxidizes to urobilin. Because urobilin is nonreactive in these tests, urine collection and handling procedures must be followed to ensure the integrity of the specimen. Urine specimens should be fresh or appropriately preserved and at room temperature during testing (see Chapter 2).

**Classic Ehrlich’s reaction (historical).** Before the development of reagent strip tests, **Ehrlich’s reaction** was used to qualitatively screen for urobilinogen. This test is based on the reaction of urobilinogen with *p*-dimethylamino-benzaldehyde (Ehrlich’s reagent) in an acid medium to produce a characteristic magenta or red chromophore. Numerous substances react with Ehrlich’s reagent to produce a red color and are often collectively termed *Ehrlich’s reactive substances* (Box 6.6). The test is performed by mixing 1 part Ehrlich’s reagent with 10 parts urine in a tube and observing the mixture for any pink color after a 5-minute incubation (Equation 6.15).



### Equation 6.15

Serial dilutions (e.g., 1:10, 1:20, 1:30) of urine can be made and semiquantitative results determined. Any perceptible pink is considered a positive test result; normal urobilinogen concentrations can be positive up to the 1:20 dilution. This tube test is no longer performed for urobilinogen screening because it is time-consuming, nonspecific, and costly. However, various modifications (e.g., Watson-Schwartz test, Hoesch test) can be used to screen for urine porphobilinogen, which is discussed in Chapter 8, subsection Porphyrins.

### Reagent strip tests for urobilinogen

**Multistix reagent strips.** The principles for urobilinogen reagent strip tests, including their sensitivity and specificity, differ depending on the brand used and are summarized in Table 6.24. Multistix reagent strips are based on the classic Ehrlich’s reaction. The reagent pad is impregnated with Ehrlich’s reagent (*p*-dimethylaminobenzaldehyde), a color

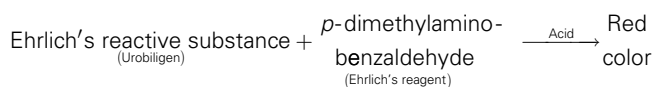
### BOX 6.6 Some Ehrlich’s Reactive Substances Found in Urine

Urobilinogen  
Porphobilinogen  
Indican  
5-Hydroxyindoleacetic acid  
Drugs  
Methyldopa  
Chlorpromazine  
Phenazopyridine  
Sulfonamides  
*p*-Aminosalicylic acid  
*p*-Aminobenzoic acid (procaine metabolite)

TABLE 6.24 Urobilinogen by Reagent Strip

Principle	<p><i>Aution and Chemstrip strips:</i> azo coupling reaction of urobilinogen with a diazonium salt in an acid medium to form an azo dye. Color changes from light pink to dark pink are observed.</p> <p>Diazonium salt used: see Appendix B, Table B.1</p> <p><i>Multistix strips:</i> modified Ehrlich's reaction. Urobilinogen present reacts with Ehrlich's reagent (<i>p</i>-dimethylaminobenzaldehyde) to form a red compound. Color changes from light orange-pink to dark pink are observed.</p>
Sensitivity	<p>Aution: 2.0 mg/dL urobilinogen</p> <p>Chemstrip: 0.4 mg/dL urobilinogen</p> <p>Multistix: 0.2 mg/dL urobilinogen</p>
Specificity	<p>The total absence of urobilinogen cannot be determined. Reactivity increases with temperature, optimum 22°C to 26°C</p> <p><i>False-positive results:</i></p> <ul style="list-style-type: none"> <li>• <i>Multistix strips:</i> <ul style="list-style-type: none"> <li>– Any other Ehrlich's reactive substance</li> <li>– Atypical colors caused by sulfonamides, <i>p</i>-aminobenzoic acid, <i>p</i>-aminosalicylic acid</li> <li>– Substances that induce color mask results, such as drugs (phenazopyridine), beet ingestion</li> </ul> </li> <li>• <i>Chemstrip and Aution strips:</i> <ul style="list-style-type: none"> <li>– Highly colored substances that mask results, such as drugs</li> </ul> </li> </ul> <p><i>False-negative results:</i></p> <ul style="list-style-type: none"> <li>• Formalin (&gt;200 mg/dL), a urine preservative</li> <li>• Improper storage, resulting in oxidation to urobilin</li> </ul>

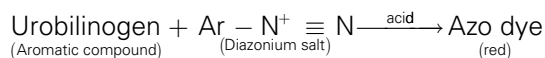
enhancer, and an acid buffer. As urobilinogen reacts with Ehrlich's reagent to form a red chromophore, the reagent pad changes from light pink to dark pink (Equation 6.16, Ehrlich's Reaction).



Equation 6.16

False-positive results because of the presence of other Ehrlich's reactive substances are possible. However, this reaction can vary, and this test should not be used to screen for other Ehrlich's-reactive substances such as porphobilinogen.<sup>25</sup> Another problem arises when the reaction pad is masked by a drug-induced or atypical color (e.g., phenazopyridine, red beets, azo dyes). These colors interfere with visual interpretation of the test. Formalin, a urine preservative, and high concentrations of nitrites inhibit or interfere with the reaction. The reactivity of the reaction pad increases with temperature; therefore urine specimens should be at room temperature or warmer when tested.

**Chemstrip reagent strips.** Chemstrip reagent strip tests for urobilinogen are based on an azo coupling reaction. A diazonium salt impregnated in the reagent pad reacts with urobilinogen in the acid medium of the reaction pad (Equation 6.17). The azo dye produced causes a color change from white to pink.



Equation 6.17

Unlike Ehrlich's reaction, this reagent strip test is specific for urobilinogen. Pigmented substances that mask the reagent pad (e.g., phenazopyridine, red beets, azo dyes) can interfere with color interpretation and can cause false-positive results. False-negative results can occur from nitrites (>5 mg/dL), from formalin, or from improper storage of the urine specimen.

Regardless of the reagent strip used, urobilinogen results of 1 mg/dL or less are reported as such and are considered normal. Increased urobilinogen values are reported semiquantitatively in milligrams per deciliter (1 mg/dL is approximately equivalent to 1 Ehrlich unit). All reagent strip tests detect normal levels of urobilinogen in urine; see Table 6.24 for their individual sensitivity limits. Note, however, that the absence of urobilinogen cannot be determined. In other words, these tests cannot clearly or reliably indicate a decrease in or the absence of urine urobilinogen. The absence of urobilinogen formation is best reflected by fecal analysis (acholic stool) or by blood test profiles that include bilirubin analysis.

In summary, urobilinogen results can vary depending on the brand of reagent strips used. Urine specimens that contain significant quantities of other Ehrlich's reactive substances can give higher values using a nonspecific reagent strip test (Multistix) than would be obtained using a specific reagent strip test (Chemstrip).

## Ascorbic Acid

### Clinical Significance

**Ascorbic acid (also called vitamin C)** is present in a typical, balanced diet but is also ingested as a preservative in foods and beverages or as a dietary supplement. Ascorbic acid is a water-soluble vitamin, which means that it does not require fat for absorption and that any excess vitamin in the body is immediately excreted in the urine as ascorbic acid or its principal metabolite, oxalate. Of the oxalates present in normal urine, approximately 50% are derived from ascorbic acid metabolism. Ascorbic acid functions as an enzyme cofactor in connective tissue proteins. Humans cannot synthesize vitamin C, therefore dietary intake is essential. Major dietary sources include citrus fruits, juices, and vegetables (tomatoes, green peppers, cabbage, and leafy greens). In an extensive study of 4379 urine specimens, 22.8% of routine urine specimens tested positive for ascorbic acid.<sup>18</sup> The mean urine concentration was 37.2 mg/dL (2120 μmol/L), with values ranging from 7.1 to 339.5 mg/dL (405–19,350 mol/L). Daily supplementation with vitamin C is a common practice

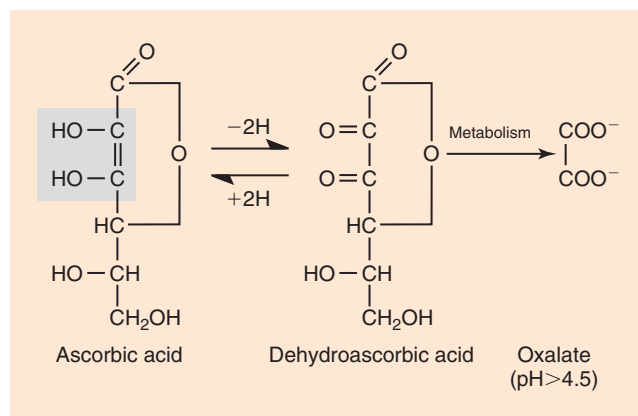
that can significantly increase the amount of ascorbic acid excreted in urine. With a typical Western diet *without* supplementation, urine excretion of ascorbic acid ranges from 2 to 10 mg/dL.<sup>17</sup> However, with vitamin C supplementation (e.g., oral vitamins, fruit and juice ingestion), the urinary excretion of ascorbic acid can increase significantly (e.g., 200 mg/dL or greater).<sup>17,18</sup>

It is important to note that the presence of ascorbic acid in urine can potentially alter urinalysis results for blood, bilirubin, glucose, and nitrite, causing falsely decreased or even negative results.<sup>26</sup> The resultant interference varies with the concentration the analyte of interest and that of ascorbic acid. When low levels of blood (Hgb  $\leq$  0.1 mg/dL), glucose ( $\leq$  100 mg/dL), nitrite (0.10 mg/dL), or bilirubin (1.8 mg/dL) are present, even low levels of ascorbic acid (9–20 mg/dL) can cause erroneously low or negative results.<sup>18,26</sup> Historically, manufacturers provided useful information in their product inserts regarding the effects of ascorbic acid on reagent strip results, for example, “Ascorbic acid concentrations of 5 mg/dL or greater may cause false negatives at the trace level.” However, today in product inserts the actual ascorbic acid concentrations that can have an effect have been replaced with vague statements, such as “Levels of ascorbic acid normally found in urine do not interfere,” “Large amount of ascorbic acid interferes” or simply no acknowledgement at all. Consequently, it is the responsibility of each laboratory to determine the ascorbic acid effect on the reagent strips they use and make health-care providers aware of this potential effect. For the reasons already stated and the studies in the literature, product inserts provided by each reagent strip manufacturer do not tell the full story.<sup>18,26</sup>

The blood reaction pad is unique in that ascorbic acid interference should be suspected when the blood test is negative but the microscopic examination reveals increased numbers of RBCs. Note when RBCs  $\geq$  50/high-power field (HPF), interference from ascorbic acid is no longer an issue.<sup>18</sup> In contrast, falsely negative or decreased results due to ascorbic acid interference with the glucose, bilirubin, and nitrite reactions will go undetected because there is no microscopic (or visual) component associated with these tests. To significantly reduce ascorbic acid interference, Chemstrip brand strips overlay their blood and glucose pads with an iodate scavenger mesh. However, this same protection is *not* provided for their bilirubin and nitrite pads. An alternate approach is to use a urinalysis test strip that includes an ascorbic acid test (e.g., URISPEC 11-Way, Henry Schein Inc., Melville, NY). When using these strips, the presence of ascorbic acid is known and a comment can be appended to the urinalysis results, highlighting to health-care providers the impact of ascorbic acid on the blood, glucose, bilirubin, and nitrite results.

### Mechanisms of Interference

Ascorbic acid is a strong reducing substance because of its ene-diol group (Fig. 6.9). As a hydrogen donor, ascorbic acid readily oxidizes to dehydroascorbic acid, a colorless compound. Reagent strip tests that use hydrogen peroxide or a diazonium salt are subject to ascorbic acid interference.



**FIG. 6.9** Ascorbic acid. The highlighted ene-diol group of ascorbic acid is responsible for its strong reducing ability (i.e., as a hydrogen donor). Normally, the principal metabolite of ascorbic acid—oxalate—accounts for approximately 50% of the urinary oxalate excreted daily.

**TABLE 6.25 False-Negative or Decreased Reagent Strip Results Due to Ascorbic Acid Interference**

TEST		
Test Affected	Ascorbic Acid Concentration Needed <sup>a</sup>	Mode of Interference
Blood <sup>b</sup>	$\geq$ 9–25 mg/dL ( $\geq$ 90–25 mg/L)	Reacts with H <sub>2</sub> O <sub>2</sub> on reagent pad
Bilirubin	$\geq$ 25 mg/dL ( $\geq$ 250 mg/L)	Reacts with diazonium salt on reagent pad
Nitrite	$\geq$ 25 mg/dL ( $\geq$ 250 mg/L)	Reacts with diazonium salt produced by first reaction
Glucose <sup>b</sup>	$\geq$ 50 mg/dL ( $\geq$ 500 mg/L)	Reacts with H <sub>2</sub> O <sub>2</sub> produced by first reaction

<sup>a</sup>Minimum consensus values obtained from the literature or product insert. Note that the concentration of ascorbic acid that causes interference depends on the analyte concentration in the urine and the reagent strip used.

<sup>b</sup>Note that Chemstrip reagent strip tests for blood and glucose are significantly *resistant* to high ascorbic acid concentration.

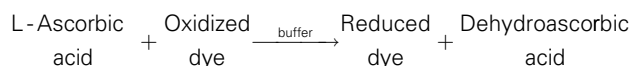
Whether these compounds are impregnated in the reaction pad or are produced by a first reaction, they are removed by ascorbic acid, which prevents the intended reaction. As a result, colorless dehydroascorbic acid is produced, no positive color change is observed, and a false-negative or a falsely low result is obtained. Reagent strip tests for blood, bilirubin, glucose, and nitrite are vulnerable to ascorbic acid interference (Table 6.25). However, because of reagent strip variations, some brands are more susceptible than others to this interference (see the specific test sections for additional discussion and Appendix B).

The presence of ascorbic acid in urine is suspected most often when microscopic examination reveals increased

numbers of RBCs but the reagent strip test for blood is negative. The effect of ascorbic acid on decreasing glucose results by reagent strip is not obvious and may be suspected only in extreme cases when ketones are positive and glucose negative. Note that ascorbic acid interference in bilirubin and nitrite tests will not be evident unless a test for ascorbic acid is performed. Ascorbic acid remains a source of false-negative or decreased chemical test results when using most reagent strips.

### Method

Reagent strips are available that include an ascorbic acid test pad—for example, URISPEC 11-Way urinalysis test strips (Henry Schein Inc.). The reaction principle is based on the action of ascorbic acid to reduce a dye impregnated in the reagent pad. The reduced dye causes a distinct color change from blue to orange (Equation 6.18).



Equation 6.18

These reagent strip tests have a sensitivity of 10 mg/dL (100 mg/L). False-positive results can be obtained when free sulfhydryl drugs (e.g., MESNA, captopril, *N*-acetylcysteine) are also present in the urine. Note that these false-positive results may be identified only when the ketone test is also falsely positive or when the medication history is provided.

Results can be reported semiquantitatively by comparing the color of the reaction pad with the color chart provided or simply as positive or negative for ascorbic acid. Table 6.26 summarizes the reaction principle, sensitivity, and specificity for the URISPEC 11-Way reagent strips.

## REFLEX TESTING AND RESULT CORRELATION

In some laboratories, the microscopic examination may not be performed unless specifically requested by the health-care provider or when results from the physical and chemical examinations trigger its performance. Results that trigger **reflex testing** vary among laboratories based on their patient populations, reagent strips in use, and instrumentation. Table 6.27

TABLE 6.26 Ascorbic Acid by Reagent Strip

Principle	Ascorbic acid reduces a dye impregnated in the reagent pad, causing a color change from blue to orange. Dye: 2,6-dichlorophenolindophenol
Sensitivity	URISPEC 11-Way: 10 mg/dL (100 mg/L)
Specificity	<i>False-positive</i> results: <ul style="list-style-type: none"> <li>Free sulfhydryl drugs (e.g., MESNA, captopril, <i>N</i>-acetylcysteine)</li> </ul>

MESNA, 2-Mercaptoethane sulfonic acid.

TABLE 6.27 Findings That Can Initiate Reflex Testing

Parameter	“Possible” Components Present Microscopically
Color: abnormal	Red, brown, pink: suggests RBCs (hematuria)
Clarity: not clear	To identify elements causing turbidity; could be normal or pathologic
Protein	Casts, fat
Blood	RBCs (WBCs)
Leukocyte esterase	WBCs (bacteria)
Nitrite	Bacteria (WBCs)
SG >1.035	Determine if iatrogenic substance (e.g., drugs, x-ray contrast media) is causing high result

RBC, Red blood cell; SG, specific gravity; WBC, white blood cell.

TABLE 6.28 Correlations Between Chemical and Microscopic Examinations

Chemical Result	“Possible” Microscopic Findings
SG >1.040 (by refractometry)	Suspect excretion of high-molecular-weight solute: x-ray dye crystals (acid pH) or other unusual crystal
Acid (pH <7.0)	Crystals of <i>normal</i> solutes: calcium oxalate, amorphous urates, urates (Na, K, Mg, Ca), uric acid (pH ≤5.5) <i>Pathologic</i> crystals (pH ≤6.5): bilirubin, cholesterol, cystine, hemosiderin, leucine, tyrosine, drugs (ampicillin, sulfamethoxazole, acyclovir), x-ray contrast media
Neutral (pH 7.0)	Calcium oxalate, urates (Na, K, Mg, Ca), most alkaline crystals (cystine)
Alkaline (pH >7.0)	Calcium oxalate, amorphous phosphates, calcium phosphates, triple phosphate, calcium carbonate, ammonium biurate (cystine)
Blood positive (urine not clear)	RBCs; RBC casts, blood casts, hemosiderin (myoglobin)
Blood positive (urine clear)	Hemoglobin (from lysed RBCs), myoglobin
Leukocyte esterase positive	WBCs intact or lysed; WBC casts
Nitrite positive	Bacteria: quantity variable
Protein positive	Increased casts; fat as globules—free-floating, in casts, and in oval fat bodies, particularly when protein ≥300 mg/dL or 3+; sperm (seminal fluid contamination)

RBC, Red blood cell; SG, specific gravity; WBC, white blood cell.

provides a selected listing of parameters and the microscopic components associated with each.

As previously stated, it is important to ensure that results obtained from physical, chemical, and microscopic examinations correlate, especially when urinalysis testing is performed



**TABLE 6.29 Typical Reference Intervals for Chemical Examination of Urine<sup>a</sup>**

Component	Result
Bilirubin	Negative
Glucose	Negative
Ketones	Negative
Leukocyte esterase	Negative
Nitrite	Negative
pH	4.5 to 8.0
Protein	Negative
Urobilinogen	≤1 mg/dL

<sup>a</sup>Using random urine specimens; see Appendix C for reference intervals of a “complete” urinalysis—physical, chemical, and microscopic examinations.

manually. The potential for specimen mix-up is primarily due to the centrifugation required for preparation of the urine sediment for microscopic review. Table 6.28 lists chemical examination results and possible findings in the microscopic examination that correlate. When results do not correlate among physical, chemical, and microscopic examinations, action must be taken to resolve discrepancies before patient results are reported. Table 6.29 provides typical reference intervals for the chemical examination of random urine specimens, whereas Appendix C lists values for a “complete” urinalysis.

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## STUDY QUESTIONS

- To preserve the integrity of reagent strips, it is necessary that they are
  - humidified adequately.
  - stored in a refrigerator.
  - stored in a tightly capped container.
  - protected from the dark.
- Which of the following is not a source of erroneous results when reagent strips are used?
  - Testing a refrigerated urine specimen
  - Timing using a clock without a second hand
  - Allowing excess urine to remain on the reagent strip
  - Dipping the reagent strip briefly into the urine specimen



3. Which of the following is not checked by quality control materials?
- The technical skills of the personnel performing the test
  - The integrity of the specimen, that is, that the specimen was collected and stored properly
  - The test protocol, that is, that the procedure was performed according to written guidelines
  - The functioning of the equipment used—for example, the refractometer and the reagent strip readers
4. Quality control materials used to assess the performance of reagent strips and tablet tests must
- be purchased from a commercial manufacturer.
  - yield the same results regardless of the commercial brand used.
  - contain chemical constituents at realistic and critical detection levels.
  - include constituents to assess the chemical and microscopic examinations.
5. Using quality control materials, one should check reagent strip performance
- at least once daily.
  - when a new bottle of strips or tablets is opened.
  - when a new lot number of strips or tablets is placed into use.
  - once each shift by each laboratorian performing urinalysis testing.
    - 1, 2, and 3 are correct.
    - 1 and 3 are correct.
    - 4 is correct.
    - All are correct.
6. Select the primary reason why tablet (e.g., Ictotest) and chemical tests (e.g., sulfosalicylic acid precipitation test) generally are performed.
- They confirm results suspected about the specimen.
  - They are alternative testing methods for highly concentrated urines.
  - Their specificity differs from that of the reagent strip test.
  - They are more sensitive to the chemical constituents in urine.
7. Urine pH normally ranges from
- 4.0 to 9.0.
  - 4.5 to 7.0.
  - 4.5 to 8.0.
  - 5.0 to 6.0.
8. Urine pH can be modified by all of the following except
- diet.
  - increased ingestion of water.
  - ingestion of medications.
  - urinary tract infections.
9. Commercial reagent strips use which of the following reactions to determine urine pH?
- Azo coupling or diazotization reaction
  - Double indicator combinations
  - Double sequential enzyme reaction
  - Proton release from a polyelectrolyte
10. All of the following can result in inaccurate urine pH measurements *except*
- large amounts of protein present in the urine.
  - double-dipping of the reagent strip into the specimen.
  - maintaining the specimen at room temperature for 4 hours.
  - allowing excess urine to remain on the reagent strip during the timing interval.
11. Normally, daily urine protein excretion does not exceed
- 150 mg/day.
  - 500 mg/day.
  - 1.5 g/day.
  - 2.5 g/day.
12. Which of the following proteins originates in the urinary tract?
- Albumin
  - Bence Jones protein
  - $\beta_2$ -Microglobulin
  - Uromodulin
13. Match each type of proteinuria with its description.
- | Description   | Type of Proteinuria       |
|---|---------------------------|
| — A. Defective protein reabsorption in the nephrons                     | 1. Overflow proteinuria   |
| — B. Increased urine albumin and mid- to high-molecular-weight proteins | 2. Glomerular proteinuria |
| — C. Increase in low-molecular-weight proteins in urine                 | 3. Tubular proteinuria    |
| — D. Immunoglobulin light chains in the urine                           | 4. Postrenal proteinuria  |
| — E. Proteins originating from a bladder tumor                          |                           |
| — F. Protein excreted only in an orthostatic position                   |                           |
| — G. Hemoglobinuria and myoglobinuria                                   |                           |
| — H. Nephrotic syndrome   |                           |
| — I. Fanconi's syndrome   |                           |
14. Which of the following statements about Bence Jones protein is correct?
- The protein consists of  $\kappa$  and  $\lambda$  light chains.
  - The protein is often found in the urine of patients with multiple sclerosis.
  - The protein precipitates when urine is heated to 100°C and redissolves when cooled to 60°C.
  - The protein can produce a positive reagent strip protein test.
15. Which of the following statements best describes the chemical principle of the protein reagent strip test?
- The protein reacts with an immunocomplex on the pad, which results in a color change.
  - The protein causes a pH change on the reagent strip pad, which results in a color change.
  - The protein accepts hydrogen ions from the indicator dye, which results in a color change.
  - The protein causes protons to be released from a polyelectrolyte, which results in a color change.

16. Which of the following aids in the differentiation of hemoglobinuria and hematuria?
- Urine pH
  - Urine color
  - Leukocyte esterase test
  - Microscopic examination
17. Select the correct statement(s).
- Myoglobin and hemoglobin are reabsorbed readily by renal tubular cells.
  - Hemosiderin, a soluble storage form of iron, is found in aqueous solutions.
  - When haptoglobin is saturated, free hemoglobin passes through the glomerular filtration barrier.
  - Hemosiderin is found in the urine during a hemolytic episode.
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
18. Which statement about hemoglobin and myoglobin is true?
- They are heme-containing proteins involved in oxygen transport.
  - Their presence is suspected when urine and serum appear red.
  - Their presence in serum is associated with high creatine kinase values.
  - They precipitate out of solution when the urine is 80% saturated with ammonium sulfate.
19. On the reagent strip test for blood, any heme moiety (e.g., hemoglobin, myoglobin) present in urine catalyzes
- oxidation of the chromogen and hydrogen peroxide.
  - reduction of the chromogen in the presence of hydrogen peroxide.
  - reduction of the pseudoperoxidase while the chromogen undergoes a color change.
  - oxidation of the chromogen while hydrogen peroxide is reduced.
20. Which of the following blood cells will not be detected by the leukocyte esterase pad because it lacks esterases?
- Eosinophils
  - Lymphocytes
  - Monocytes
  - Neutrophils
21. Microscopic examination of a urine sediment revealed an average of 2 to 5 white blood cells per high-power field, whereas the leukocyte esterase test by reagent strip was negative. Which of the following statements best accounts for this discrepancy?
- The urine is contaminated with vaginal fluid.
  - Many white blood cells are lysed, and their esterase has been inactivated.
  - Ascorbic acid is interfering with the reaction on the reagent strip.
  - The amount of esterase present is below the sensitivity of the reagent strip test.
22. Which of the following statements describes the chemical principle involved in the leukocyte esterase pad of commercial reagent strips?
- Leukocyte esterase reacts with a diazonium salt on the reagent pad to form an azo dye.
  - An ester and a diazonium salt combine to form an azo dye in the presence of leukocyte esterase.
  - An aromatic compound on the reagent pad combines with leukocyte esterase to form an azo dye.
  - Leukocyte esterase hydrolyzes an ester on the reagent pad; then an azo coupling reaction results in the formation of an azo dye.
23. Which of the following conditions most likely accounts for a negative nitrite result on the reagent strip despite the presence of large quantities of bacteria?
- The bacteria present did not have enough time to convert nitrate to nitrite.
  - The bacteria present are not capable of converting nitrate to nitrite.
  - The patient is not ingesting adequate amounts of nitrate in the diet.
  - The urine is dilute and the level of nitrite present is below the sensitivity of the test.
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
24. The chemical principle of the nitrite reagent pad is based on the
- pseudoperoxidase activity of nitrite.
  - diazotization of nitrite followed by an azo coupling reaction.
  - azo coupling action of nitrite with a diazonium salt to form an azo dye.
  - hydrolysis of an ester by nitrite combined with an azo coupling reaction.
25. Which of the following substances or actions can produce false-positive nitrite results?
- Ascorbic acid
  - Vaginal contamination
  - Strong reducing agents
  - Improper specimen storage
26. A urine specimen is tested for glucose by a reagent strip and by the Clinitest method. The reagent strip result is 100 mg/dL, and the Clinitest result is 500 mg/dL. Which of the following statements would best account for this discrepancy?
- The Clinitest tablets have expired or were stored improperly.
  - A large amount of ascorbic acid is present in the specimen.
  - A strong oxidizing agent (e.g., bleach) is contaminating the specimen.
  - The reagent strip is exhibiting the pass-through phenomenon, which results in a falsely low value.

27. Which of the following substances if present in the urine results in a negative Clinitest?
- Fructose
  - Lactose
  - Galactose
  - Sucrose
28. The glucose reagent strip test is more sensitive and specific for glucose than the Clinitest method because it detects
- other reducing substances and higher concentrations of glucose.
  - no other substances and higher concentrations of glucose.
  - other reducing substances and lower concentrations of glucose.
  - no other substances and lower concentrations of glucose.
29. Which of the following statements about glucose is false?
- Glucose readily passes the glomerular filtration barrier.
  - Glucose is reabsorbed passively in the proximal tubule.
  - Glucosuria occurs when plasma glucose levels exceed 160 to 180 mg/dL.
  - High plasma glucose concentrations are associated with damage to the glomerular filtration barrier.
30. The pass-through phenomenon observed with the Clinitest method when large amounts of glucose are present in the urine is due to
- “caramelization” of the sugar present.
  - reduction of copper sulfate to green-brown cupric complexes.
  - depletion of the substrate, that is, not enough copper sulfate is present initially.
  - reoxidation of the cuprous oxide formed to cupric oxide and other cupric complexes.
31. The glucose specificity of the double sequential enzyme reaction used on reagent strip tests is due to the use of
- gluconic acid.
  - glucose oxidase.
  - hydrogen peroxide.
  - peroxidase.
32. Which of the following ketones is not detected by the reagent strip or tablet test?
- Acetone
  - Acetoacetate
  - Acetone and acetoacetate
  - $\beta$ -Hydroxybutyrate
33. Which of the following can cause false-positive ketone results?
- A large amount of ascorbic acid in urine
  - Improper storage of the urine specimen
  - Drugs containing free sulfhydryl groups
  - A large amount of glucose (glucosuria)
34. Which of the following will not cause ketonemia and ketonuria?
- An inability to use carbohydrates
  - Inadequate intake of carbohydrates
  - Increased metabolism of carbohydrates
  - Excessive loss of carbohydrates
35. The ketone reagent strip and tablet tests are based on the reactivity of ketones with
- ferric chloride.
  - ferric nitrate.
  - nitroglycerin.
  - nitroprusside.
36. Which of the following statements about bilirubin is true?
- Conjugated bilirubin is water insoluble.
  - Bilirubin is a degradation product of heme catabolism.
  - Unconjugated bilirubin readily passes through the glomerular filtration barrier.
  - The liver conjugates bilirubin with albumin to form conjugated bilirubin.
37. The bilirubin reagent strip and tablet tests are based on
- Ehrlich’s aldehyde reaction.
  - the oxidation of bilirubin to biliverdin.
  - the reduction of bilirubin to azobilirubin.
  - the coupling of bilirubin with a diazonium salt.
38. Which of the following are characteristic urine findings from a patient with hemolytic jaundice?
- A positive test for bilirubin and an increased amount of urobilinogen
  - A positive test for bilirubin and a decreased amount of urobilinogen
  - A negative test for bilirubin and an increased amount of urobilinogen
  - A negative test for bilirubin and a decreased amount of urobilinogen
39. Which of the following results shows characteristic urine findings from a patient with an obstruction of the bile duct?
- A positive test for bilirubin and an increased amount of urobilinogen
  - A positive test for bilirubin and a decreased amount of urobilinogen
  - A negative test for bilirubin and an increased amount of urobilinogen
  - A negative test for bilirubin and a decreased amount of urobilinogen
40. Which of the following conditions can result in false-positive bilirubin results?
- Elevated concentrations of nitrite
  - Improper storage of the specimen
  - Ingestion of ascorbic acid
  - Ingestion of certain medications
41. Urobilinogen is formed from the
- conjugation of bilirubin in the liver.
  - reduction of conjugated bilirubin in bile.
  - reduction of bilirubin by intestinal bacteria.
  - oxidation of urobilin by anaerobic intestinal bacteria.

42. Which of the following statements about urobilinogen is true?
- Urobilinogen is not normally present in urine.
  - Urobilinogen excretion usually is decreased after a meal.
  - Urobilinogen excretion is an indicator of renal function.
  - Urobilinogen is labile and readily photo-oxidizes to urobilin.
43. The classic Ehrlich's reaction is based on the reaction of urobilinogen with
- diazotized dichloroaniline.
  - p*-aminobenzoic acid.
  - p*-dichlorobenzene diazonium salt.
  - p*-dimethylaminobenzaldehyde.
44. Which of the available chemical principles is most specific for the detection of urobilinogen?
- Ictotest
  - Ehrlich's reaction
  - Azo coupling reaction
  - Double sequential enzyme reaction
45. Which of the following reagent strip tests can be affected by ascorbic acid, resulting in falsely low or false-negative results?
- Blood
  - Bilirubin
  - Glucose
  - Nitrite
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
46. Which of the following best describes the mechanism of ascorbic acid interference?
- Ascorbic acid inhibits oxidation of the chromogen.
  - Ascorbic acid inactivates a reactant, promoting color development.
  - Ascorbic acid removes a reactant from the intended reaction sequence.
  - Ascorbic acid interacts with the reactants, producing a color that masks the results.

## CASE 6.1

A 45-year-old woman with type 1 diabetes mellitus is admitted to the hospital and has been given a preliminary diagnosis of nephrotic syndrome. She has not been feeling well for the past week and has bilateral pitting edema in her lower limbs. Her admission urinalysis results follow.

## Results

Physical Examination	Chemical Examination
Color: colorless	SG: 1.010
Clarity: clear	pH: 5
Odor: —	Blood: small
Large amount of white foam noted	Protein: 500 mg/dL
	LE: negative
	Nitrite: negative
	Glucose: 250 mg/dL
	Ketones: negative
	Bilirubin: negative
	Urobilinogen: normal

- Circle any abnormal or discrepant urinalysis findings.
- Which substance most likely accounts for the large amount of white foam observed?
  - Fat
  - Protein
  - Glucose
  - Blood/hemoglobin
- Explain the most likely reason for the presence of increased red blood cells in this patient's urine.
- Is the hemoglobin present (blood reaction: small) contributing to the protein test result? Explain.
- If this patient has nephrotic syndrome, the proteinuria in this patient should be classified as
  - glomerular proteinuria.
  - tubular proteinuria.
  - overflow proteinuria.
  - postrenal proteinuria.
- In progressive renal disease, when solute discrimination by the glomerular filtration barrier is lost, monitoring which protein is most useful in identifying these glomerular changes?
- Why is glucose present in the urine of this patient? Explain briefly.

LE, Leukocyte esterase.

## CASE 6.2

An 82-year-old woman was admitted to the hospital with back and left rib pain. Radiographic examination revealed lytic lesions of the lumbar vertebrae and ribs, and sheets of plasma cells were present on bone marrow biopsy. A diagnosis of multiple myeloma is made. The result of a 24-hour urine total protein was 535 mg/24 h (reference range: <150 mg/24 h). Her admission urinalysis results follow.

## Results

Physical Examination	Chemical Examination
Color: yellow	SG: 1.020
Clarity: slightly cloudy	pH: 5.5
Odor: —	Blood: negative
	Protein: trace
	LE: negative
	Nitrite: negative
	Glucose: negative
	Ketone: negative
	Bilirubin: negative
	Urobilinogen: normal

- Circle any abnormal or discrepant urinalysis findings.
- Explain the most probable cause for the discrepancy between the reagent pad test for protein and the urine total protein result.
- Which protein(s) is most likely responsible for the proteinuria in this patient?
  - Albumin
  - Globulins
  - Hemoglobin
  - Uromodulin
- The proteinuria in this patient would be classified as
  - glomerular proteinuria.
  - tubular proteinuria.
  - overflow proteinuria.
  - postrenal proteinuria.

LE, Leukocyte esterase.

## CASE 6.3

A 51-year-old woman is admitted to the hospital for a vaginal hysterectomy. During surgery, she is placed in Simon's position (exaggerated lithotomy position) for 6 hours because of surgical complications. She receives 2 units of packed red blood cells after surgery. Twenty-four hours after surgery, a routine urinalysis, hemoglobin, hematocrit, and various chemistry tests are performed. The chemistry and urinalysis results follow.

## Serum Chemistry Results

Test Result	Reference Range
Creatine kinase: 5800 U/L	10–130 U/L
Myoglobin: 400 U/L	<120 U/L
Haptoglobin: 175 mg/dL	83–267 mg/dL

## Urine Results

Physical Examination	Chemical Examination
Color: brown	SG: 1.015
Clarity: clear	pH: 5.5
Odor: —	Blood: large
	Protein: trace
	LE: negative
	Nitrite: negative
	Glucose: negative
	Ketones: negative
	Bilirubin: negative
	Urobilinogen: normal

- Circle any abnormal or discrepant urinalysis findings.
- In this specimen, which substance most likely is causing the urine to appear brown?
  - Bilirubin
  - Hemoglobin
  - Myoglobin
  - A drug the patient is taking
- Which of the following substances is causing the blood reaction to be large?
  - Ascorbic acid
  - Bilirubin
  - Hemoglobin
  - Myoglobin
- What protein is responsible for the trace strip result? Explain.
- Suggest a cause for the myoglobinuria.

LE, Leukocyte esterase.



## CASE 6.4

A 26-year-old man is seen by his physician and reports sudden weight loss, polydipsia, and polyuria. A routine urinalysis and plasma glucose level are obtained. The patient was fasting before blood collection.

**Chemistry Results**

Plasma glucose: 230 mg/dL (reference ranges: fasting  $\leq 110$  mg/dL; diabetic  $\geq 126$  mg/dL)

**Results**

Physical Examination	Chemical Examination	Confirmatory Tests
Color: colorless	SG: 1.010	Refractometer: 1.029
Clarity: clear	pH: 5.5	
Odor: sweet (subtle)	Blood: negative	
	Protein: negative	
	LE: negative	
	Nitrite: negative	
	Glucose: >2000	Clinitest (2-drop): $\geq 5000$
	Ketones: small	Clinitest (5-drop): >2000 <sup>a</sup>
	Bilirubin: negative	
	Urobilinogen: normal	

- Circle any abnormal or discrepant urinalysis findings.
- Explain the pass-through effect exhibited by the Clinitest method in this patient.
- What is the concern about observing the pass-through effect?
- Is this patient showing any signs of renal damage or dysfunction? Yes No
- Select the diagnosis that best accounts for the glucosuria observed in this patient.
  - Normal; glucose renal threshold exceeded
  - Type 1 diabetes mellitus
  - Type 2 diabetes mellitus
  - Impaired glucose tolerance
- Explain why the reagent strip ketone test is positive.
- Explain the two different specific gravity results obtained. Which result most accurately reflects the ability of the kidneys to concentrate renal solutes (i.e., renal concentrating ability)?

<sup>a</sup>The pass-through effect was noted during performance of this test. LE, Leukocyte esterase.

## CASE 6.5

A 36-year-old man sees his doctor and reports fatigue, nausea, and concern about a yellowish discoloration in the sclera of his eyes. Physical examination reveals a tender liver. The following urinalysis results are obtained.

**Results**

Physical Examination	Chemical Examination	Confirmatory Tests
Color: amber	SG: 1.015	
Clarity: slightly cloudy	pH: 6.5	
Odor: —	Blood: negative	
Yellow coloration of foam noted	Protein: trace	
	LE: negative	
	Nitrite: negative	
	Glucose: negative	
	Ketones: negative	
	Bilirubin: negative	Ictotest: positive
	Urobilinogen: normal	

- Circle any abnormal or discrepant urinalysis findings.
- What substance most likely accounts for the urine color and foam color observations?
- Why is the reagent strip bilirubin test negative, whereas the Ictotest is positive?
- Should the bilirubin on this urine be reported as negative or positive?
- Explain the physiologic process that accounts for the bilirubin in this urine.
- What form of bilirubin is present in this urine: unconjugated or conjugated?
- Why is the urobilinogen normal and not increased?

LE, Leukocyte esterase.

# Routine Urinalysis—the Microscopic Exam of Urine Sediment

## LEARNING OBJECTIVES

*After studying this chapter, the student should be able to:*

1. Discuss the importance of standardizing the microscopic examination of urine and describe how this standardization is achieved in the clinical laboratory.
2. Describe microscopic and staining techniques used to enhance visualization of the formed elements in urinary sediment.
3. Describe the microscopic appearance and clinical significance of erythrocytes and leukocytes in urine and correlate their presence with the physical and chemical examination of urine.
4. Describe the microscopic characteristics and location of each type of epithelium found in the urinary tract, that is, squamous, transitional, and renal tubular epithelium (proximal, distal, and collecting duct).
5. Summarize briefly the clinical significance of increased sloughing of the urinary tract epithelium.
6. Describe the formation, composition, and clinical significance of urinary cast formation.
7. State the categories into which casts are classified, discuss the clinical circumstances that result in the formation of each cast type, and correlate the presence of casts with the physical and chemical examination of urine.
8. Describe the development of urinary crystals, including at least three factors that influence their formation.
9. Describe the characteristic form of each major type of urinary crystal; categorize each crystal type as being found in acid, neutral, or alkaline urine; and discuss the clinical significance of each crystal type.
10. Identify the following formed elements found in urine sediment, and discuss their clinical significance:
  - Bacteria
  - Clue cells
  - Fat
  - Fecal contaminants
  - Fibers
  - Hemosiderin
  - Mucus threads
  - Parasites
  - Spermatozoa
  - Starch
  - Trichomonads
  - Yeast

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KEY TERMS<sup>1</sup>

casts  
 clue cells  
 collecting duct cells  
 crystals  
 cytocentrifugation  
 crystalluria  
 distal convoluted tubular cells  
 iatrogenic  
 KOH preparation

lipiduria  
 Maltese cross pattern  
 oval fat bodies  
 proximal convoluted tubular cells  
 Prussian blue reaction (also called the Rous test)  
 squamous epithelial cells  
 Tamm-Horsfall protein  
 transitional (urothelial) epithelial cells  
 uromodulin

<sup>1</sup>Definitions are provided in the chapter and glossary.

The standardized quantitative microscopic examination of urine sediment made its clinical laboratory debut in 1926. At that time, Thomas Addis developed a procedure to quantify formed elements in a 12-hour overnight urine collection. The purpose of this test, the Addis count, was to follow the progress of renal diseases, particularly acute glomerulonephritis. Increased numbers of red blood cells (RBCs), white blood cells (WBCs), or **casts** in the urine indicated disease progression. A disease process was indicated when one or more of the following cell changes occurred: The number of RBCs exceeded 500,000; the number of WBCs exceeded 2 million; or the number of casts exceeded 5000. Because the Addis count was time-consuming and chemical methods are currently available to monitor the progression of renal disease, it is no longer routinely performed despite its ability to accurately detect changes in the excretion of urinary formed elements. However, microscopic examination of urine sediment continues to play an important role in the initial diagnosis as well as in monitoring renal disease.

## STANDARDIZATION OF SEDIMENT PREPARATION

Ensuring the accuracy and precision of the urine microscopic examination requires standardization. This demands that established laboratory protocols for manually preparing the urine sediment, including using the same supplies, step sequences, timing intervals, and equipment, are adhered to by all personnel. Box 7.1 lists various factors that must be established and followed to obtain standardization in the microscopic examination. Note that all personnel must follow all testing aspects consistently to ensure comparable urinalysis results.

### BOX 7.1 Factors That Require Standardization in the Microscopic Examination

- Urine volume used (e.g., 10 mL, 12 mL, 15 mL)
- Speed of centrifugation (400 or 450 × g)
- Time of centrifugation (5 minutes)
- Concentration of sediment prepared (e.g., 10:1, 12:1, 15:1, 30:1)
- Volume of sediment examined—determined by commercial slides used and microscope optical properties (i.e., ocular field number)
- Result reporting—format, terminology, reference intervals, magnification used for assessment

## Commercial Systems

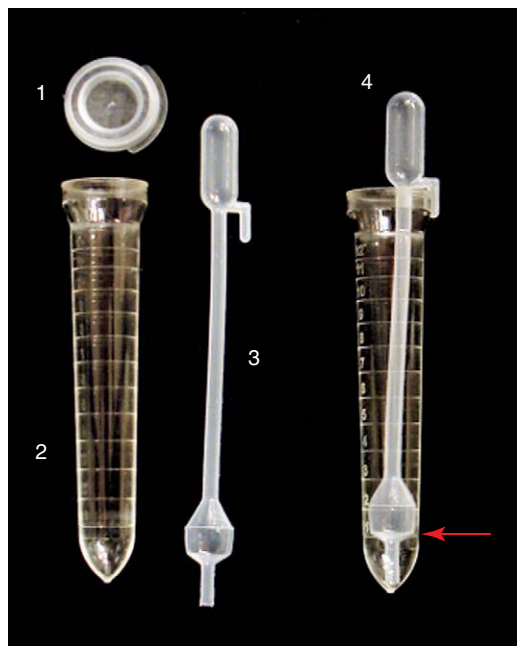
To achieve consistency, several commercial urinalysis systems are available (Table 7.1). Each system seeks to consistently (1) produce the same concentration of urine or sediment volume; (2) present the same volume of sediment for microscopic examination; and (3) control microscopic variables such as the volume of sediment viewed and the optical properties of the slides. All of these systems surpass the outdated practice of using a drop of urine on a glass slide and covering it with a coverslip. In addition, commercial slides are cost competitive, easy to adapt to, and necessary to ensure reproducible and accurate results.

Commercial systems feature disposable plastic centrifuge tubes with gradations for consistent urine volume

TABLE 7.1 Comparison of Selected Standardized Urinalysis Systems

Features	Count-10 System (Myers-Stevens Group)	KOVA System (Hycor Scientific)	UriSystem Features (Fisher HealthCare)
Initial volume of urine used	12 mL	12 mL	12 mL
Final urine volume with sediment	0.8 mL	1.0 mL	0.4 mL
Sediment concentration	15:1	12:1	30:1
Volume of sediment used	6 μL	6 μL	14 μL
Area for viewing	36 mm <sup>2</sup>	32 mm <sup>2</sup>	90 mm <sup>2</sup>
Number of 100× fields <sup>a</sup>	11	10	28
Number of 400× fields <sup>a</sup>	183	163	459
Coverslip type	Acrylic	Acrylic	Acrylic
Number of specimens per slide	10	4, 10	10

<sup>a</sup>Calculated using a “field of view” diameter for high power (×400) of 0.5 mm and for low power (×100) of 2 mm. The number of fields possible is equal to the area for viewing divided by the area per low- or high-power field. Note that the field of view diameter is determined by the lens systems of the microscope.



**FIG. 7.1** A commercial urine sediment preparation system. The KOVA System consists of a KOVA tube (2), a KOVA Petter (3), and a KOVA cap (1). The clear plastic centrifuge tube is filled to the appropriate graduation mark with well-mixed urine and is capped. After centrifugation, the specially designed KOVA Petter is gently slid into the tube, and the end is firmly seated into the base (4). The bulb-like end fits snugly, such that all but 1 mL of urine can be easily decanted (*red arrow*). The retained supernatant urine is used to resuspend the sediment for the microscopic examination.

measurement (Fig. 7.1). The tubes are clear, allowing for assessment of urine color and clarity, and conical, which facilitates sediment concentration during centrifugation. The centrifuge tubes of each commercial system are unique. The UriSystem tube (Fisher HealthCare, Houston, TX) is designed such that after centrifugation, it can be decanted with a quick, smooth motion and consistently retains 0.4 mL of urine for sediment resuspension. The KOVA System (Hycor Biomedical, Garden Grove, CA) uses a specially designed pipette (KOVA Petter) that snugly fits the diameter and shape of the tube to retain 1 mL of urine during decanting. The Count-10 System (V-Tech, Inc., Lake Mary, FL) offers several options to retain 0.8 mL for sediment resuspension. Each commercial system provides tight-fitting plastic caps for the tubes to prevent spillage and aerosol formation during centrifugation.

A laboratory need not purchase all aspects of a commercial system to obtain a standardized urine sediment for microscopic analysis. In fact, laboratories have considerable flexibility and can blend the systems. For example, a laboratory could choose to use KOVA System tubes to prepare the urine sediment but UriSystem slides or the RS2005 Urine Sediment Workstation (DiaSys Ltd., New York, NY), a semiautomated slide system, to view the sediment. Regardless of the system or combination of products used when preparing and performing the microscopic examination of urine sediment, the imperative is that all personnel adhere to established protocols to ensure that accurate and reproducible results are obtained.

## Specimen Volume

A concentrated urine sediment is usually prepared for the microscopic examination. To ensure that a representative sampling of the formed elements in the portion is removed, the urine specimen must be well mixed. The concentrated sediment can be prepared using a variety of initial urine volumes. Frequently, the initial volume of urine is 12 mL with a 12 to 1 concentration (12:1) of sediment prepared for microscopic viewing. However, initial urine volumes ranging from 3 to 15 mL can be used.

Testing using alternate volumes when insufficient specimen is available can be achieved in two ways. One approach is to prepare the same sediment concentration by decreasing the volume of supernatant urine used to resuspend the sediment. For example, suppose the procedure details a 12:1 concentrated sediment (i.e., 12 mL initial urine with the sediment resuspended in 1 mL supernatant urine). When only 6 mL initial urine is available, the procedure is followed but the urine sediment would be resuspended in 0.50 mL—*one half the volume used with 12 mL initial urine*—to obtain a 12:1 concentration.

A second approach is to reduce the initial volume of urine used and multiply all numeric counts by the appropriate factor. For example, assume as previously that the procedure details a 12:1 concentration of the sediment. When only 6 mL is used, the procedure is followed and all numeric counts from the microscopic exam of the sediment are multiplied by two.

When insufficient urine is available for testing (e.g., <3 mL), well-mixed urine may be examined *unconcentrated* and an appropriate comment appended to the report. This comment will enable healthcare providers to evaluate the results appropriately. Note that the laboratory reference ranges for a routine microscopic exam will not apply to this *unconcentrated* sample.

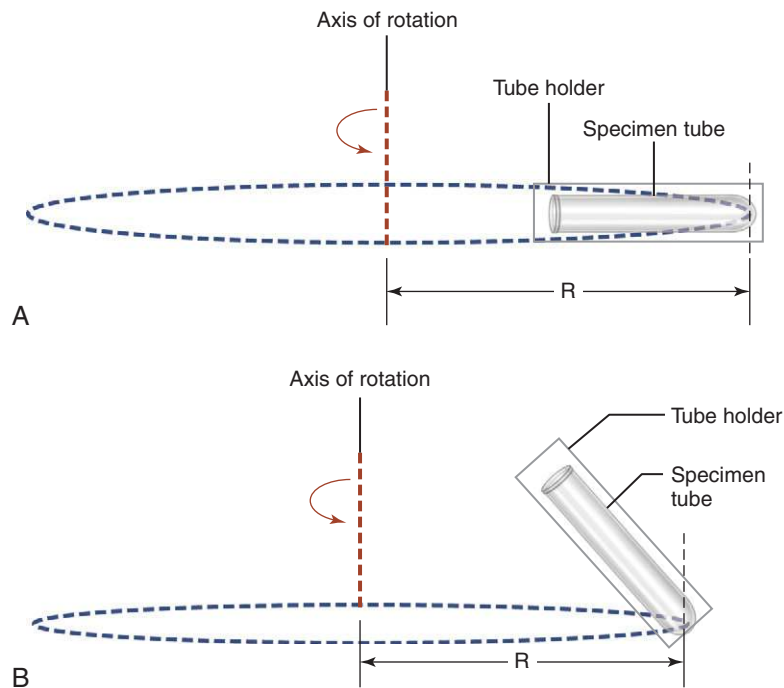
Whenever the actual volume used to prepare the sediment for the microscopic examination is less than that routinely required, a notation should accompany the specimen report. The decision to accept specimens with volumes less than 12 mL for urinalysis, as well as the protocol used for testing, is determined by each individual laboratory.

## Centrifugation

After well-mixed urine is poured into a centrifuge tube, it is covered and centrifuged at 400 to 450 g for 5 minutes. This centrifugation speed allows for optimal sediment concentration without damaging fragile formed elements such as cellular casts. All personnel must adhere to this 5-minute centrifugation time with all specimens to ensure uniformity. Note that the speed is given in relative centrifugal force (RCF, g) because this term is not dependent on the centrifuge used. In contrast, the speed in revolutions per minute (RPM) required to obtain 400 to 450 g varies with each centrifuge and is directly dependent on the rotor size. For example, one centrifuge may obtain 450 × g at 1200 RPM, whereas another may require 1500 RPM to obtain this same g-force. The RPM necessary to achieve 400 to 450 g can be determined from a nomogram or by using Equation 7.1.

$$\text{RCF}(g) = 1.118 \times 10^{-5} \times \text{radius}(\text{cm}) \times \text{RPM}^2$$

**Equation 7.1**



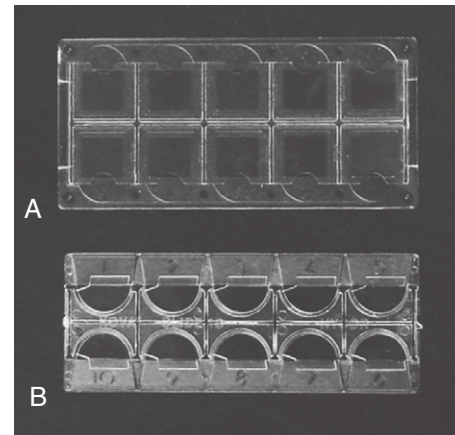
**FIG. 7.2** The rotor radius ( $R$ ) is the distance measured from the rotor's axis of rotation to the bottom of the specimen tube at its greatest horizontal distance from the rotor axis. (A) The radius when a horizontal rotor is used. (B) The radius when a fixed-angle rotor is used.

In this equation, the radius in centimeters refers to the distance from the center of the rotor to the outermost point of the cup, tube, or trunnion when the rotor is in motion (Fig. 7.2).

It is important that the centrifuge brake is not used because this will cause the sediment to resuspend, resulting in erroneously decreased numbers of formed elements in the concentrated sediment. In many laboratories, multiple personnel use centrifuges to perform numerous and varied procedures. If all centrifuge settings, including the brake, are not checked before use, the resultant urine sediments can show dramatic variations in their formed elements because of processing differences in speed, time, or braking. Using control materials for the microscopic examination or performing interlaboratory duplicate testing is valuable in its ability to detect these important changes in sediment preparation.

### Sediment Concentration

After centrifugation, the covered urine specimens should be carefully removed and the sediments concentrated using the established protocol. Standardized commercial systems accomplish this task through consistent retention of a specific volume of urine. Note that different brands of centrifuge tubes and pipettes should not be intermixed. This can cause variation in the volume of urine retained, which will change the concentration of the sediment. Table 7.1 shows how commercial systems vary in the sediment concentration produced, ranging from a 12:1 to a 30:1 concentration. Manual techniques traditionally strive toward a 12:1 concentration, in which 12 mL initial urine was used; therefore supernatant urine is removed by decanting or using a pipette until 1 mL of urine is retained. Then, a pipette is used to gently resuspend



**FIG. 7.3** Commercial microscope slides. (A) A 10-position UriSystem slide with integrated coverslips. (B) A plastic 10-chamber KOVA Glasstic slide.

the sediment. Note that too vigorous agitation of the sediment can cause fragile and brittle formed elements, such as RBC casts and waxy casts, to break into pieces.

### Volume of Sediment Viewed

A standardized slide should be used for the microscopic examination of urine sediment to ensure that the same volume of sediment is presented for viewing each time. Commercial standardized slides are made of molded plastic and have a built-in coverslip or provide a glass coverslip for use (Fig. 7.3). With a disposable transfer pipette, urine sediment is presented to a chamber, which fills by capillary action.



This technique facilitates uniform distribution of the formed elements throughout the viewing area of the slide.

Glass microscope slides and coverslips are not recommended because they do not yield standardized, reproducible results.<sup>1</sup> If glass slides are used, the laboratorian should always pipette an exact amount (e.g., 15  $\mu$ L) of the resuspended sediment onto the glass slide using a calibrated pipette. The volume of sediment dispensed is determined by each laboratory and depends on the size of the coverslip used. The urine sediment volume must fill the entire area beneath the coverslip without excess. Bubbles and uneven distribution of the sediment components can result when the coverslip is applied (e.g., heavier components such as casts are pushed or concentrate near the coverslip edges). If the microscopic examination reveals that the distribution of formed elements is uneven, a new suspension of the sediment should be prepared for viewing. Because all commercial systems have proved superior to the “drop on a slide” method, this technique should not be used for the microscopic examination of urine.<sup>2</sup>

### Reporting Formats

In a manual microscopic examination, urine components are assessed or enumerated using at least 10 low-power (lpf) or 10 high-power fields (hpf). The quantity of some components (e.g., mucus, **crystals**, bacteria) is *qualitatively* assessed per field of view (FOV) in descriptive or numeric terms. Table 7.2 lists commonly used terms and typical descriptions. Each laboratory determines which terms are used, as well as the definition for each term. Other sediment components (RBCs, WBC, casts) are *enumerated* as a range of formed elements present (e.g., 0–2, 3–5, 6–10). Note that although a component may be reported using low-power magnification, high-power magnification may be needed to specifically identify or categorize it; for example, to identify the cell type in a cellular cast. In this case the cells were determined to be RBCs, and the quantity of cellular casts present is reported as the average number viewed using low power (e.g., 3–5 RBC casts/lpf).

When a microscopic examination is performed, the volume of sediment viewed in each microscopic FOV is determined by two factors: the optical lenses of the microscope and the standardized slide system used. The ocular field number of

the microscope and the objective lens determine the area of the FOV (see Chapter 18). The larger the FOV, the greater is the number of components that may be visible. To obtain reproducible results when manual microscopic examinations are performed, the same microscope must be used, or when multiple microscopes are available, the diameters of their FOVs (i.e., ocular field numbers) must be identical.

These viewing factors and sediment preparation protocols account for the differences observed in reference ranges for microscopic formed elements. They also prevent comparison of the microscopic results obtained in laboratories using different microscopes and commercial slides. However, if each laboratory would relate sediment elements as the “number present per volume of urine” instead of per low- or high-power field, interlaboratory result comparisons would be possible and comparisons between manual and automated microscopy systems (e.g., iQ200 [Iris Urinalysis-Beckman Coulter, Inc., Brea, CA]; UF-1000i [bioMerieux Inc., Durham, NC]) would be facilitated.

To convert the number of formed elements observed per low- or high-power field to the number present per milliliter of urine tested, a few calculations are necessary (Box 7.2). First, the area of the FOV for the low- and high-power fields must be determined. This calculation uses the diameter for the FOV, which is determined by the ocular field number of the microscope and the formula for the area of a circle (area =  $\pi r^2$ ). Because a standardized commercial microscope slide provides the same volume of sediment in a known viewing area (see Table 7.1) and the area viewed in each microscopic field is known, the “field conversion factors” remain constant. Once the field conversion factors for a particular microscope and the standardized microscope slide system used have been established, determining the number of formed elements per milliliter of urine requires a single multiplication step. Box 7.2 outlines these calculations and includes an example.

### ENHANCING URINE SEDIMENT VISUALIZATION

When using brightfield microscopy, it can be difficult to see urine sediment components (e.g., mucus, hyaline casts) that have a similar refractive index to that of urine. Because their refractive indexes are similar, there is insufficient contrast to enable optimal viewing. Staining changes the refractive index of formed elements and increases their visibility. Another approach is to change the type of microscopy, which can also facilitate visualization of low-refractility components or can be used to confirm the identity of suspected substances such as fat. Hyaline casts, mucus threads, and bacteria are difficult to see under brightfield microscopy; the use of stains or phase microscopy enhances their visualization. These techniques facilitate observation of the fine detail necessary for specific identification (e.g., distinguishing a WBC from a renal tubular cell). They also help to differentiate look-alike entities, such as monohydrate calcium oxalate crystals, which can resemble RBCs, and can be used to distinguish between mucus threads and hyaline casts. Table 7.3 summarizes the visualization techniques discussed in this chapter.

**TABLE 7.2 Qualitative Terms and Descriptions for Fields of View**

Term	Term	Description
Rare	1 +	Present but hard to find
Few	1 +	One (or more) present in <i>almost</i> every FOV
Moderate	2 +	Easy to find; number present in FOV varies; “more than few, less than many”
Many	3 +	Prominent; large number present in all FOVs
Packed	4 +	FOV is crowded by or overwhelmed with the elements

FOV, Field of view.

### BOX 7.2 Conversion of the Number of Formed Elements Present in a Microscopic Field to the Number of Formed Elements Present in a Volume of Urine

1. Calculate the areas of the low-power (LPF) and high-power (HPF) fields of view for your microscope using the formula:

$$\text{Area} = \pi r^2.$$

For example:

Diameter of a HPF = 0.5 mm (ocular field number 20)

Radius of a HPF = 0.25 mm

Area of a HPF = 0.196 mm<sup>2</sup>

Calculate the maximum number of low-power and high-power fields possible using your microscope and the standardized microscope slides (see manufacturer's information or Table 7.1) in use as follows:

$$\frac{\text{Total coverslip area for viewing}}{\text{Area per HPF (or LPF)}} = \text{Number of view fields possible}$$

For example, using a KOVA slide and the microscopic lenses given in step 1,

$$\frac{32 \text{ mm}^2}{0.196 \text{ mm}^2} = 163 \text{ fields of view possible using high power}$$

Calculate the field conversion factor, which is the number of microscope fields per milliliter of urine tested, as follows:

$$\frac{\text{Number of view fields possible}}{\text{Volume of sediment viewed (mL)}} \times \frac{\text{Concentration factor}}{\text{Concentration factor}} = \frac{\text{Number of view fields}}{1 \text{ mL of urine tested}}$$

For example, using the KOVA system specimen preparation and a KOVA slide,

$$\frac{163 \text{ HPFs possible}}{0.006 \text{ mL sediment} \times 12} = \frac{\approx 2260 \text{ HPFs}}{\text{mL urine}}$$

= Field Conversion Factor

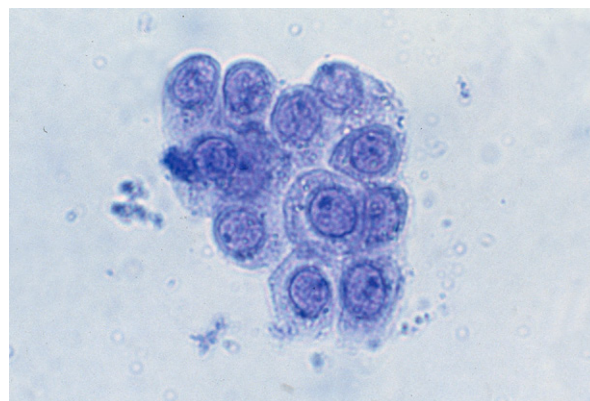
Convert the number of formed elements observed per HPF (or LPF) to the number present per milliliter of urine by multiplying the number observed per view field by the appropriate field conversion factor.

For example, 2 red blood cells (RBCs) are observed per HPF. Therefore,

$$\frac{2 \text{ RBCs}}{\text{HPF}} \times \frac{2260 \text{ HPFs}}{\text{mL urine}} = \frac{4520 \text{ RBCs}}{\text{mL urine}}$$



**FIG. 7.4** Two squamous epithelial cells stained with Sedi-Stain (modified Sternheimer-Malbin stain). A white blood cell and red blood cell are also present. Brightfield,  $\times 100$ .



**FIG. 7.5** Fragment of renal collecting duct epithelial cells stained with 0.5% toluidine blue. Brightfield,  $\times 400$ .

## Staining Techniques

### Supravital Stains

Numerous stains have been used to enhance the visualization of urine sediment. Each laboratory should have a stain available because stains are inexpensive and can significantly assist in the identification of some urine sediment components. The most commonly used stain is a supravital stain consisting of crystal-violet and safranin, also known as the *Sternheimer-Malbin stain* (Fig. 7.4). This stain enhances formed element identification by enabling more detailed viewing of internal structures, particularly of WBCs, epithelial cells, and casts. Other formed elements (e.g., RBCs, mucus) stain characteristically, and their descriptions are noted on the package inserts provided with commercially prepared stains. Stabilized

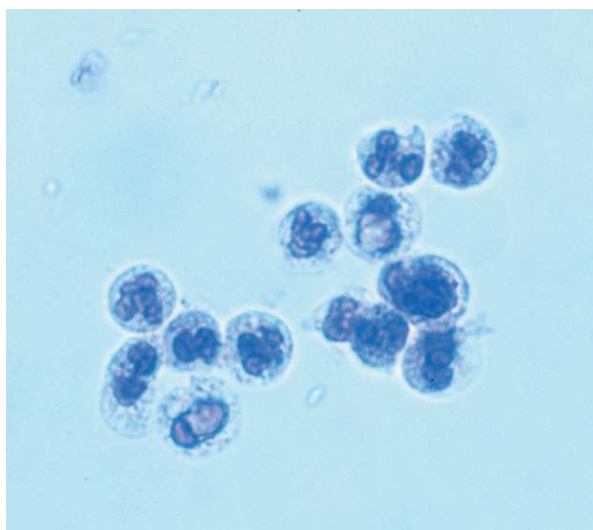
modifications of Sternheimer-Malbin stain are available commercially (e.g., Sedi-Stain, Becton, Dickinson and Company, Franklin Lakes, NJ), or it can be prepared by the laboratory if desired.<sup>3</sup> One disadvantage of its use is that in strongly alkaline urines, this stain can precipitate, which obstructs the visualization of sediment components.

Another good supravital stain for urine sediment is a 0.5% solution of toluidine blue (Figs. 7.5 and 7.6). The stain is a metachromatic dye that stains various cell components differently; hence, the differentiation between the nucleus and the cytoplasm becomes more apparent. The toluidine blue stain enhances the specific identification of cells and aids in distinguishing cells of similar size, such as leukocytes from renal **collecting duct cells**.

TABLE 7.3 Visualization Techniques to Aid in the Microscopic Examination of Urine Sediment

Technique	Features										
<b>Staining Techniques</b>											
Sternheimer-Malbin	<ul style="list-style-type: none"> <li>• A supravital stain that characteristically stains cellular structures and other formed elements</li> <li>• Enables detailed viewing and differentiation of cells, cast inclusions, and low-refractile elements (e.g., hyaline casts, mucus)</li> </ul>										
0.5% toluidine blue	<ul style="list-style-type: none"> <li>• A metachromatic stain that enhances the nuclear detail of cells</li> <li>• Aids in differentiating WBCs and renal tubular epithelial cells</li> </ul>										
2% acetic acid	<ul style="list-style-type: none"> <li>• Accentuates the nuclei of leukocytes and epithelial cells</li> <li>• Lyses RBCs</li> </ul>										
Fat stains: Sudan III, oil red O	<ul style="list-style-type: none"> <li>• Stains triglyceride (neutral fat) droplets a characteristic orange (Sudan III) or red (oil red O) color</li> <li>• Used to confirm the presence of fat in urine</li> </ul>										
Gram stain	<ul style="list-style-type: none"> <li>• Identifies and classifies bacteria as Gram negative or Gram positive</li> <li>• Aids in the identification of bacterial and fungal casts</li> </ul>										
Prussian blue reaction	<ul style="list-style-type: none"> <li>• Identifies hemosiderin, which can be free floating, in epithelial cells, or in casts</li> </ul>										
Hansel stain	<ul style="list-style-type: none"> <li>• Aids in the identification of eosinophils</li> </ul>										
<b>Microscopic Techniques</b>											
Phase contrast microscopy	<ul style="list-style-type: none"> <li>• Enhances the imaging of translucent or low-refractile formed elements</li> </ul>										
Interference contrast microscopy	<ul style="list-style-type: none"> <li>• Enhances the imaging of formed elements by producing three-dimensional images</li> </ul>										
Polarizing microscopy	<ul style="list-style-type: none"> <li>• Used to confirm the presence of cholesterol droplets by their characteristic <i>Maltese cross</i> pattern</li> <li>• Aids in the identification of crystals</li> </ul>										
	<ul style="list-style-type: none"> <li>• Assists in differentiating “look-alike” components</li> </ul> <table border="0"> <tr> <td><i>Do NOT polarize light:</i></td> <td><i>DO polarize light:</i></td> </tr> <tr> <td>RBCs</td> <td>Monohydrate calcium oxalate crystals; other crystals</td> </tr> <tr> <td>Casts, mucus</td> <td>Fibers (clothing, diapers), plastic fragments</td> </tr> <tr> <td>Bacteria</td> <td>Amorphous crystals (urates, strongly; phosphates, very weakly [or negative])</td> </tr> <tr> <td>Cells, cellular debris (membrane phospholipids)</td> <td>Cholesterol droplets, starch granules</td> </tr> </table>	<i>Do NOT polarize light:</i>	<i>DO polarize light:</i>	RBCs	Monohydrate calcium oxalate crystals; other crystals	Casts, mucus	Fibers (clothing, diapers), plastic fragments	Bacteria	Amorphous crystals (urates, strongly; phosphates, very weakly [or negative])	Cells, cellular debris (membrane phospholipids)	Cholesterol droplets, starch granules
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RBC, Red blood cell; WBC, white blood cell.



**FIG. 7.6** White blood cells (neutrophils) stained with 0.5% toluidine blue. Brightfield,  $\times 400$ .

### Acetic Acid

Although acetic acid is not actually a stain, it can be helpful in identifying WBCs. WBCs can appear small, especially in hypertonic urine, with their nuclei and granulation not



**FIG. 7.7** Oval fat body stained with Sudan III stain. Note the characteristic orange-red coloration of neutral fat (triglyceride) droplets. Brightfield,  $\times 400$ .

readily apparent. By adding 1 to 2 drops of a 2% solution of acetic acid to a few drops of urine sediment, the nuclear pattern of WBCs and epithelial cells is accentuated, whereas RBCs are lysed.



### Fat or Lipid Stains

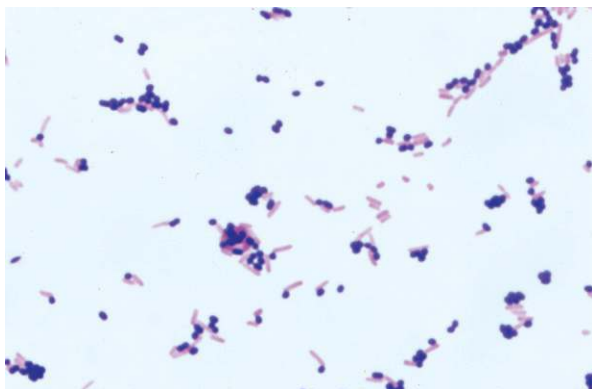
Sudan III or oil red O is often used to confirm the presence of neutral fat or triglyceride suspected during the microscopic examination (Fig. 7.7). These lipids stain orange or red and may be found (1) free floating as droplets or globules; (2) within renal cells or macrophages, aptly termed **oval fat bodies**; or (3) within the matrix of casts as droplets or oval fat bodies. An important note is that only neutral fats (e.g., triglycerides) stain. In contrast, cholesterol and cholesterol esters do not stain and must be confirmed by polarizing microscopy. The distinction between triglyceride and cholesterol is primarily academic because the implications for renal disease are the same regardless of the identity of the fat. In other words, changes have occurred in the glomeruli such that triglycerides and cholesterol from the bloodstream are now passing the glomerular filtration barriers with the plasma ultrafiltrate. The urinalysis laboratory can use a fat stain or polarizing microscopy to confirm the presence of fat; the confirmation method selected is usually determined by cost, personnel preference, and convenience.

### Gram Stain

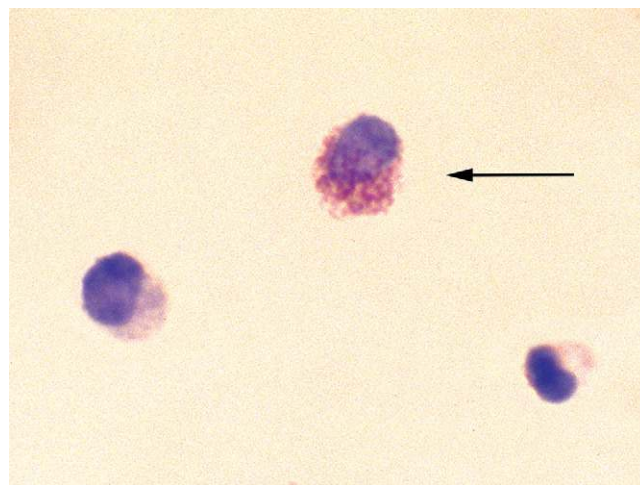
Although Gram stain is used primarily in the microbiology laboratory, it may at times be used in the urinalysis laboratory. Gram stain provides a means of positively identifying bacteria in the urine and differentiating them as Gram negative or Gram positive (Fig. 7.8). To perform a Gram stain, a dry preparation of the urine sediment is made on a microscope slide by smearing and air drying or by cytocentrifugation. As in the microbiology laboratory, the slide is heat fixed and then stained. Gram-negative bacteria appear pink, whereas Gram-positive bacteria appear dark purple. Because these slides can be viewed using a high-power oil immersion ( $\times 100$ ) objective, additional characterization of the bacteria (e.g., cocci, rods) could be made, but this is rarely done by the urinalysis laboratory.

### Prussian Blue Reaction

To facilitate the visualization of hemosiderin, free floating or in epithelial cells and casts, the **Prussian blue reaction**, also known as the *Rous test*, is used. First described by Rous in 1918 to identify urinary siderosis, the Prussian blue reaction stains the iron of hemosiderin granules a characteristic blue.<sup>4</sup> See “Hemosiderin” later in this chapter for more discussion of this reaction and its use.



**FIG. 7.8** Bacteria. Gram stain of Gram-negative rods and Gram-positive cocci. Brightfield,  $\times 1000$ .



**FIG. 7.9** Eosinophil (arrow) in urine stained with Hansel stain. Cytospin,  $\times 400$ .

### Hansel Stain

Hansel stain (methylene blue and eosin-Y in methanol) is used in the urinalysis laboratory specifically to identify eosinophils in the urine (Fig. 7.9). Whereas Wright's stain or Giemsa stain also distinguishes eosinophils, Hansel stain is preferred.<sup>5</sup> Urine eosinophils can be present in a variety of renal or urinary tract disorders, such as urinary tract infections (UTIs), acute tubular necrosis, glomerulonephritis, and acute interstitial nephritis (AIN).

### Microscopy Techniques

Identification of urine sediment components is dependent on (1) the ability of the microscopist and (2) the microscope used to perform the analysis. In the United States brightfield microscopy predominates despite its inherent difficulty in detecting and identifying low-refractile entities, such as hyaline casts, ghost RBCs, and bacteria. Therefore phase contrast microscopy and the availability of supravital stains are strongly recommended in the urinalysis testing area.<sup>6</sup> Even the most adept microscopists are restricted in their ability to identify entities when limited by inadequate equipment and supplies. A brief overview of microscopy techniques used in urinalysis testing is introduced here. See Chapter 18 for a detailed discussion of the microscope, the role of each component part, and steps for proper adjustment, as well as principles, advantages, and applications for various types of microscopy.

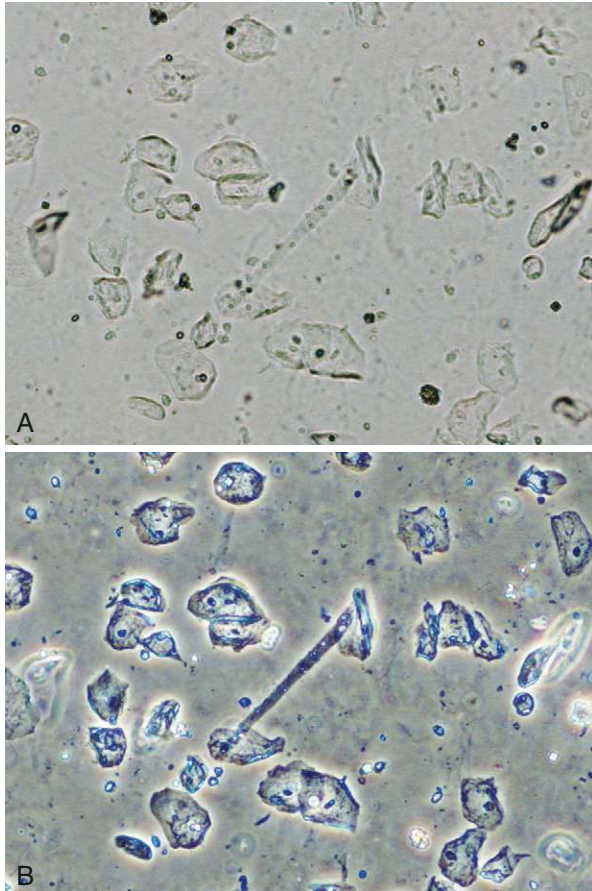
### Phase Contrast Microscopy

Phase contrast microscopy is the preferred technique for microscopic examination of urine sediment because it enables (1) evaluation of RBC morphology and (2) detailed visualization and identification of difficult-to-view (translucent or low-refractile) formed elements such as hyaline casts, RBC ghost cells, and bacteria (Fig. 7.10). An added advantage is that microscopic examinations are generally faster to perform because of the enhanced visualization. See Chapter 18 for a detailed discussion of phase contrast microscopy and how variations in the refractive index of formed elements are

converted into variations in contrast, thereby revealing low-refractile components.

### Polarizing Microscopy

In the urinalysis laboratory, polarizing microscopy is often used to confirm the presence of fat, specifically cholesterol.



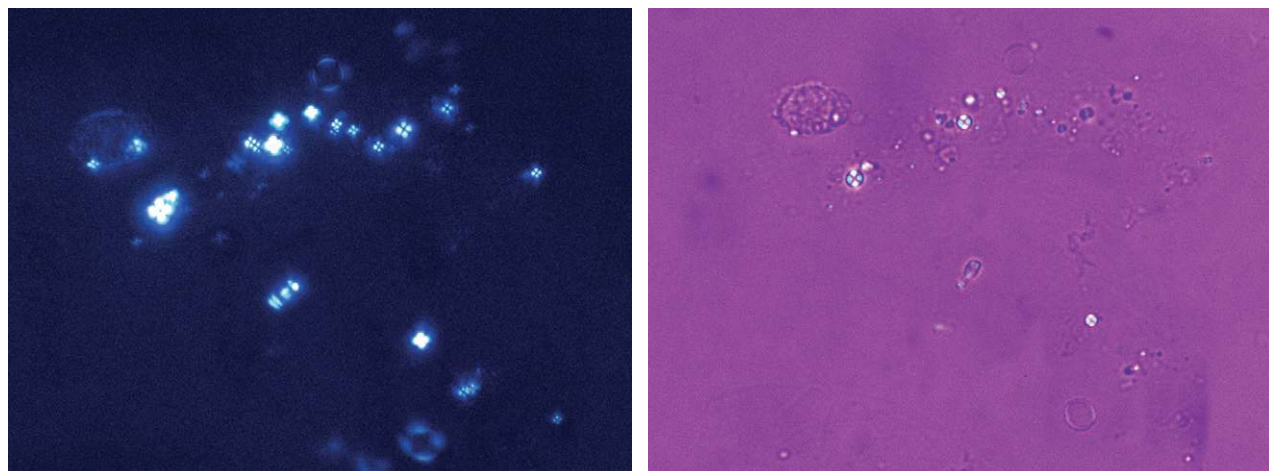
**FIG. 7.10** Squamous epithelial cells and a hyaline cast. (A) Brightfield,  $\times 100$ . (B) Phase contrast,  $\times 100$ . Note the increased detail of low-refractile elements (hyaline cast, mucus in background) revealed with phase contrast microscopy.

Cholesterol droplets are birefringent (i.e., they refract light in two directions) and, similar to their counterpart triglycerides, they can be found as free-floating droplets or in cells (oval fat bodies) and casts. In droplet form—within cells, free floating, or in casts—cholesterol produces a characteristic **Maltese cross pattern** with polarized light (Fig. 7.11A). These droplets appear as orbs against a black background divided into four quadrants forming a bright Maltese-style cross. When a first-order red compensator plate is used, the background becomes red to violet and opposing quadrants in the orbs are yellow or blue, depending on their orientation to the light (Fig. 7.11B). Note that starch granules and some drug crystals show a similar pattern, which is called a *pseudo-Maltese cross* because the four quadrants produced are variable in size (see Chapter 18, Table 18.1). Other neutral fats, such as fatty acids and triglycerides, cannot be identified using polarizing microscopy because they are not optically active—light passes through them unchanged. For triglyceride or neutral fat identification, see the section “Fat or Lipid Stains” earlier in this chapter.

Polarizing microscopy can also assist in differentiating urine sediment components that may look alike (see Table 7.3). RBCs can be distinguished from monohydrate calcium oxalate crystals, casts or mucus from fibers, and amorphous material from coccoid bacteria. See Chapter 18 for additional information, as well as a procedure for converting a brightfield scope for polarizing microscopy (Box 18.3).

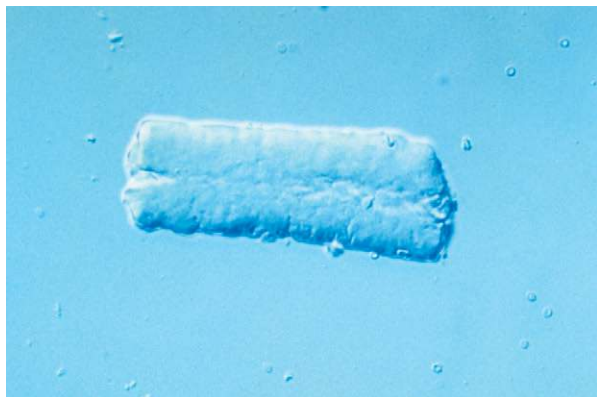
### Interference Contrast Microscopy

Chapter 18 discusses two types of interference microscopy. Differential interference contrast (Nomarski) microscopy and modulation contrast (Hoffman) microscopy provide detailed three-dimensional images of high contrast and resolution (Fig. 7.12). Although their use is suited ideally for microscopic examination of the formed elements found in urine sediment, the increased cost often cannot be justified by the traditional urinalysis laboratory. With experience, however, these microscopic techniques are easy to use and less time-consuming than brightfield microscopy because of the enhanced imaging. In addition, once a brightfield microscope



**FIG. 7.11** (A) Cholesterol droplets displaying their characteristic Maltese cross pattern using polarizing microscopy,  $\times 400$ . (B) Polarizing microscopy with a first-order red compensator,  $\times 400$ .





**FIG. 7.12** Three-dimensional image of a waxy cast using differential interference contrast (Nomarski) microscopy,  $\times 100$ .

has been modified for modulation contrast microscopy, it can easily be used for brightfield, polarizing, and other techniques by simply removing the specialized slit aperture from the light path.

## CYTOCENTRIFUGATION AND CYTODIAGNOSTIC URINALYSIS

### Cytocentrifugation

**Cytocentrifugation** is a technique used to produce permanent microscope slides of urine sediment and body fluids (see Chapter 17). Because a monolayer of sediment components is desired, an initial microscopic examination is required to determine the amount or volume of urine sediment to use when preparing the slide. After this step, the appropriate amount of concentrated urine sediment is added to a specially designed cartridge fitted with a microscope slide that is placed in a cytocentrifuge (e.g., Shandon Cytospin, Thermo Shandon, Pittsburgh, PA). After cytocentrifugation, a dry circular monolayer of sediment components remains on the slide. The slide is fixed permanently using an appropriate fixative and is stained. For cytologic studies, Papanicolaou's stain is preferred; however, if Papanicolaou's stain is not available, or if time is a factor, Wright's stain can be used. The end result is a monolayer of the urine sediment components with their structural details greatly enhanced by staining. This enables the quantitation and differentiation of WBCs and epithelial cells in the urine sediment. If desired, these slides can also be viewed using high-power oil immersion objectives and can be retained permanently in the laboratory for later reference or review.

### Cyodiagnostic Urinalysis

In 1926, Thomas Addis established the value of identifying increased numbers of urine cellular elements as evidence of disease progression. Today, the ability to perform urine differential cell counts enables identification of and discrimination between renal disease and urinary tract disorders. Although a cyodiagnostic urinalysis should not be performed on all urine specimens, it can play an important

role in the early detection of renal allograft rejection and in the differential diagnosis of renal disease. Cyodiagnostic urinalysis involves making a 10:1 concentration of a first morning urine specimen, followed by cyto centrifugation of the urine sediment and Papanicolaou's staining.<sup>7</sup> Although cyodiagnostic urinalysis requires more time to perform, it is uniquely valuable in the identification of blood cell types, cellular fragments, epithelial cells (atypical and neoplastic), cellular inclusions (viral and nonviral), and cellular casts.

## FORMED ELEMENTS IN URINE SEDIMENT

A wide range of formed elements can be encountered in the microscopic examination of urine sediment. These formed components can originate from throughout the urinary tract—from the glomerulus to the urethra—or can result from contamination (e.g., menstrual blood, spermatozoa, fibers, starch granules). Many components, such as blood cells and epithelial cells, are cellular; others are chemical precipitates, such as the variety of crystalline and amorphous material that can be present in the sediment. **Casts**—cylindrical bodies with a glycoprotein matrix—form in the lumen of the renal tubules and are flushed out with the urine. Opportunistic microorganisms such as bacteria, yeast, and trichomonads can also be encountered in urine sediment. Not all of these formed elements indicate an abnormal or pathologic process. However, the presence of large numbers of “abnormal” components is diagnostically significant.

Identifying and enumerating the components found in urine sediment provide a means of monitoring disease progression or resolution. Determining the point at which the amount of each element present indicates a pathologic process requires familiarity with the expected normal or reference interval for each component (Table 7.4). (See Appendix C for reference intervals of all parameters in a complete

**TABLE 7.4 Reference Intervals for Microscopic Examination<sup>a</sup>**

Component	Number	Magnification
Red blood cells	0–3	Per HPF
White blood cells	0–8	Per HPF
Casts	0–2 hyaline (or finely granular <sup>b</sup> )	Per LPF
Epithelial cells:		
Squamous	Few	Per LPF
Transitional	Few	Per HPF
Renal	Few (0–1)	Per HPF
Bacteria and yeast	Negative	Per HPF
Abnormal crystals	None	Per LPF

<sup>a</sup>Using the UriSystem. Values vary with concentration of urine sediment, microscope slide technique, and microscope optical properties. See Appendix C for reference intervals for a “complete” urinalysis.

<sup>b</sup>After physical exercise, cast numbers increase and include finely granular casts (1991, Haber).

HPF, High-power field ( $\times 400$ ); LPF, low-power field ( $\times 100$ ).

urinalysis.) Normally, a few RBCs, WBCs, epithelial cells, and hyaline casts are observed in the urine sediment from normal, healthy individuals. Their actual number varies depending on the sediment preparation protocol and the standardized slide system used for the microscopic examination.<sup>8</sup> Because changes occur in unpreserved urine, factors such as the type of urine collection and how the specimen has been stored also affect the formed elements observed during microscopic examination.

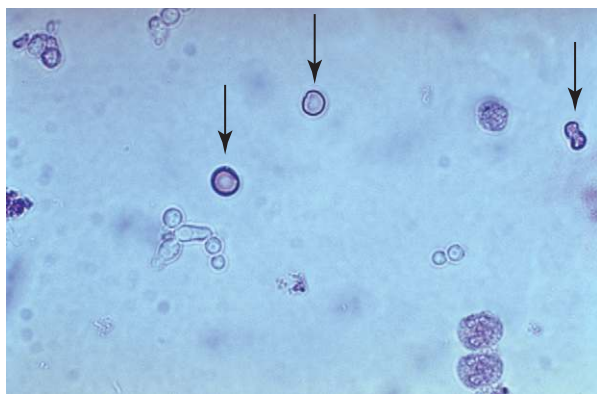
This section discusses in detail the variety of formed elements possible in urine sediment and presents the origin of each component and its clinical significance, possible variations in shape and composition, and techniques used to facilitate differential identification. A wide range of additional images of urine sediment components can be found in the Urine Sediment Image Gallery at the end of this chapter.

## Blood Cells

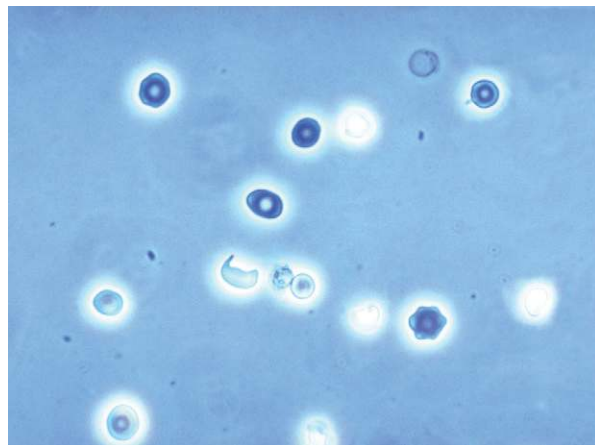
### Red Blood Cells (Erythrocytes)

The name *erythrocyte* is derived from the Greek word *erythros*, meaning “red,” and the suffix *-cyte*, meaning “cell.” Hence these cells are more frequently called *red blood cells* (RBCs), and this term will be used predominantly throughout this text. RBCs were one of the first cells recognized and described after the discovery of the microscope.

**Microscopic Appearance.** Because of their small size—approximately 8  $\mu\text{m}$  in diameter and 3  $\mu\text{m}$  in depth—RBCs in urine are viewed and enumerated using high-power magnification. RBCs have no nucleus; they normally appear as smooth biconcave disks, and they are moderately refractile. When suspended in urine sediment, RBCs can be viewed from any angle. When viewed from the side, they have an hourglass shape; when viewed from above, they appear as disks with a central pallor (Fig. 7.13). The size or diameter of RBCs is affected by urine concentration (i.e., osmolality, specific gravity). In hypertonic urine, their diameter can be as small as  $\sim 3 \mu\text{m}$  and in hypotonic urine as large as 11.8  $\mu\text{m}$ .<sup>9</sup>



**FIG. 7.13** Three red blood cells: Two viewed from above appear as biconcave disks, and one viewed from the side appears hourglass-shaped (arrows). Also present are budding yeast and several white blood cells. Brightfield, Sedi-Stain,  $\times 400$ .



**FIG. 7.14** Dysmorphic (schizocyte, crenated/echinocyte) and normal forms of red blood cells. A single ghost red blood cell is located at top of view. Phase contrast,  $\times 400$ .

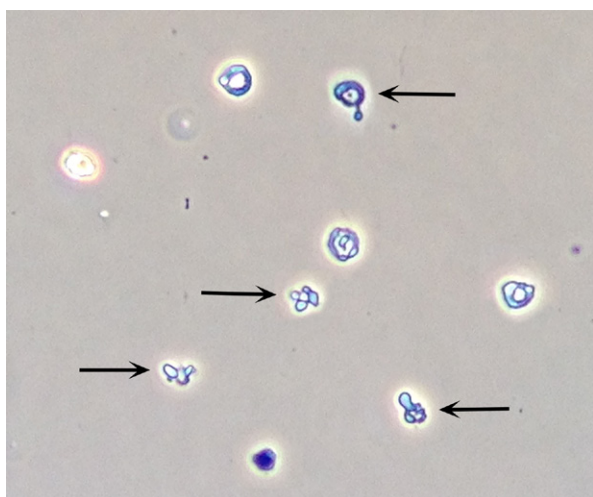
Dysmorphic or distorted forms of RBCs can also be present in urine (Fig. 7.14). At times, these forms are present with normal RBCs in the urine of healthy individuals. Some dysmorphic forms occur because of the urine’s concentration (i.e., osmolality).<sup>10</sup> The most common dysmorphic form is crenated erythrocytes (i.e., echinocytes or burr cells). When RBCs are present in *hypertonic urine* (osmolality  $> 500 \text{ mOsm/L}$ ), they become smaller as intracellular water is lost by osmosis, which causes them to become crenated. As they crenate, erythrocytes lose their biconcave disk shape and become spheres covered with evenly spaced spicules or crenations. Because of these reversible membrane changes, the surface of crenated cells appears rough or sometimes grainy, depending on the microscope adjustments, compared with normal erythrocytes. In *hypotonic urine* (osmolality  $< 180 \text{ mOsm/L}$ ), erythrocytes swell and will eventually release their hemoglobin to become “ghost” cells, which are cells with intact cell membranes but no hemoglobin. These empty cells, outlined by their membranes, appear as colorless empty circles. Because their hemoglobin has been lost, ghost cells are difficult to see using brightfield microscopy; however, they are readily visible with phase contrast or interference contrast microscopy (Fig. 7.14). Note that alkaline urine promotes RBC lysis and disintegration, which results in ghost cells and erythrocyte remnants.

A variety of dysmorphic erythrocyte forms can be present in a single urine sediment.<sup>10</sup> These forms include acanthocytes, schizocytes, stomatocytes, target cells, and teardrop cells (Table 7.5). Some of these forms are reversible and induced by the physical characteristics of the urine as it flows through the nephron (i.e., changes in osmolality, pH and uric acid concentration). However, the presence of acanthocytes (i.e., RBCs in a donut form with one or more protruding cytoplasmic blebs) in urine is particularly noteworthy (Fig. 7.15). The conversion of RBCs into acanthocytes is *not* induced by changes in osmolality or pH. Rather, the physical forces undergone by RBCs as they pass through the glomerular filtration barrier (i.e., basement membrane) disrupt and permanently alter their cell membranes. Dysmorphic RBCs tend to be smaller, and often

TABLE 7.5 Forms of Red Blood Cells in Urine

Category	FORM NAME		Phase Microscopy Example	Form Description
	Common Name	Bessis Nomenclature <sup>a</sup>		
Isomorphic	Normal cell	Discocyte		Biconcave disk form of normal size
	Burr cell	Echinocyte or crenated cell		Cell with evenly spaced projections or spicules over cell surface; this “reversible” shape change progresses from a “crenated” disk to a “crenated” sphere. <sup>10</sup>
	Ghost cell	Ghost cell		Cell with thin membrane and without hemoglobin
Dysmorphic	Acanthocyte or G1 cell	Acanthocyte		Cell in a ring form (donut shape) with one or more cytoplasmic blebs (i.e., vesicle-shaped protrusions or bulges)
	Target cell	Codocyte		Bull's-eye appearance; can be bell- or cup-shaped
	Schistocyte	Schizocyte		Cell fragment often with two or three pointed ends; size and shape vary
	Stomatocyte	Stomatocyte		Cell with central pallor that appears slit-like; this shape change is “reversible.” <sup>10</sup>

<sup>a</sup>Bessis M: Red cell shapes. An illustrated classification and its rationale. *Nouvelle Revue Française d'Hématologie* 12:721–746, 1972.



**FIG. 7.15** Acanthocytes (arrows), red blood cells with one or more blebs protruding from a ring form. Phase contrast,  $\times 400$ .

RBC fragments and other dysmorphic forms are present with acanthocytes. Studies indicate that when 5% or more of the RBCs in urine sediment are acanthocytes, it is an indicator of hematuria due to a glomerular disorder.<sup>10–12</sup> Rarely observed are sickle cells, which have been seen in the urine sediment of patients with sickle cell disease. Using phase contrast or interference contrast microscopy enhances the ability to evaluate RBC morphology and is recommended.

Normally, RBCs are found in the urine of healthy individuals and do not exceed 0 to 3 per high-power field or 3 to 12 per microliter of urine sediment.<sup>13</sup> Semiquantitation is made by observing 10 representative high-power fields and averaging the number of erythrocytes seen in each. Although RBCs are nonmotile, they are capable of passing through pores only 0.5  $\mu\text{m}$  (500 nm) in diameter.<sup>14</sup> In addition, during inflammation, RBCs can be transported out of capillaries by the same mechanism as inert, insoluble substances.<sup>12</sup> All RBCs



in urine originate from the vascular system. The integrity of the normal vascular barrier in the kidneys or the urinary tract can be damaged by injury or disease, causing leakage of RBCs into any part of the urinary tract. Increased numbers of RBCs along with red RBC casts indicate renal bleeding, either glomerular or tubular. These urines also have significant proteinuria. When an increased number of RBCs is present without casts or proteinuria, the bleed is occurring below the kidney or may be caused by contamination (e.g., menstrual, hemorrhoidal).

#### Correlation With Physical and Chemical Examinations.

RBCs observed during microscopic examination should be correlated with physical and chemical examinations (Table 7.6). Macroscopically, the urine sediment may indicate the presence of RBCs when the sediment button is characteristically red in color. Sometimes specimens have a positive chemical test for blood, but the microscopic examination reveals no RBCs. This can be explained by the fact that RBCs readily lyse and disintegrate in hypotonic or alkaline urine; such lysis can also occur within the urinary tract before urine collection. As a result, urine specimens can be encountered that contain only hemoglobin from RBCs that are no longer intact or microscopically visible. However, it is important to note that other substances, such as myoglobin, microbial peroxidases, and strong oxidizing agents, can cause

a positive blood chemical test (see Chapter 6). Note that these reactions are considered “false-positive” reactions because RBCs or blood is not present.

In specimens in which RBCs are present microscopically but the chemical screen for blood is negative, ascorbic acid interference should be suspected. If ascorbic acid is ruled out, it is possible that the formed elements observed are not RBCs but a “look-alike” component such as yeast or monohydrate calcium oxalate crystals. In these cases, their identity should be confirmed by an alternative technique such as staining or using polarizing microscopy.

Even though hemoglobin is a protein, in most cases of hematuria it does not contribute to the protein result obtained by the chemical reagent strip. Hemoglobin must be present in the urine in an amount exceeding 10 mg/dL before it is detected by routine protein reagent strip tests. In other words, when the chemical reagent strip test for blood reads less than large (3+), hemoglobin is not causing or contributing to the protein result; when the blood result is greater than or equal to large (3+), hemoglobin may be contributing to the protein reagent strip test result.

**Look-Alikes.** Other components in urine sediment such as yeast, monohydrate calcium oxalate crystals, small oil droplets, or air bubbles can resemble RBCs. Even WBCs can be difficult to distinguish from crenated RBCs in a hypertonic urine specimen. In the latter case, using acetic acid or toluidine blue stain can be advantageous because these solutions make it easier to see the nuclei of WBCs. The techniques described earlier in this chapter are useful for differentiation of these formed elements. A Sternheimer-Malbin stain characteristically colors RBCs, whereas neither yeast nor calcium oxalate crystals stain. Polarizing microscopy can identify calcium oxalate crystals or 2% acetic acid can be added, which lyses RBCs but does not eliminate yeast or calcium oxalate crystals.

Yeast varies in size, tends to be spherical or ovoid rather than biconcave, and often exhibits budding. Each of these characteristics helps to differentiate yeast from RBCs.

Small droplets or globules of oils, lotions, or ointments that were washed into the urine during collection can contaminate the urine sediment. They can be distinguished from RBCs by their variation in size, uniformity in appearance, and high refractivity. Although these characteristics are usually evident to an experienced microscopist, they may not be obvious to a novice. Notably, these droplets are numerous, yet the chemical test for blood is negative.

**Clinical Significance.** Numerous conditions can result in hematuria. Table 6.9 categorizes them into kidney and urinary tract disorders (e.g., glomerulonephritis, pyelonephritis, cystitis, calculi, tumors); nonrenal disorders such as hypertension; appendicitis; trauma; strenuous exercise; and drugs. However, it is interesting to note that smoking, as well as normal exercise, has also been associated with hematuria.<sup>15</sup> Anticoagulant drugs and drugs that induce a toxic reaction, such as sulfonamides, can also cause increased numbers of RBCs in the urine sediment. There-

**TABLE 7.6 Red Blood Cells: Microscopic Features and Correlations**

Microscopic features	<ul style="list-style-type: none"> <li>• Typical form—smooth, biconcave disks; no nucleus</li> <li>• Size: 6–8 <math>\mu\text{m}</math> in diameter; range possible 3–12 <math>\mu\text{m}^9</math></li> <li>• Crenated forms—in concentrated urine (high SG)</li> <li>• Ghost cells—in dilute urine (low SG)</li> <li>• Dysmorphic forms and cell fragments (see Table 7.5)</li> </ul>
Look-alike elements	<ul style="list-style-type: none"> <li>• Monohydrate calcium oxalate (whewellite) crystals</li> <li>• Yeast cells</li> <li>• Contaminants: oil, lotion, ointment, salve, creams</li> </ul>
Correlation with physical and chemical examinations	<ul style="list-style-type: none"> <li>• Urine color—note that a normal-appearing urine can still have increased RBCs present</li> <li>• Blood reaction—<i>can be negative if:</i> <ul style="list-style-type: none"> <li>• entity is a “look-alike”</li> <li>• using a reagent strip brand without a lysing agent on pad and only intact RBCs present</li> <li>• ascorbic acid interference—degree of interference varies with reagent strip brand</li> </ul> </li> </ul>

RBC, Red blood cell; SG, specific gravity.

fore any condition that results in inflammation or that compromises the integrity of the vascular system throughout the urinary tract can result in hematuria. Keep in mind that specimens contaminated with blood from vaginal secretions or hemorrhoidal blood can falsely imply hematuria. Table 7.6 summarizes the microscopic features of RBCs and the expected correlation between physical and chemical examinations when RBCs are present.

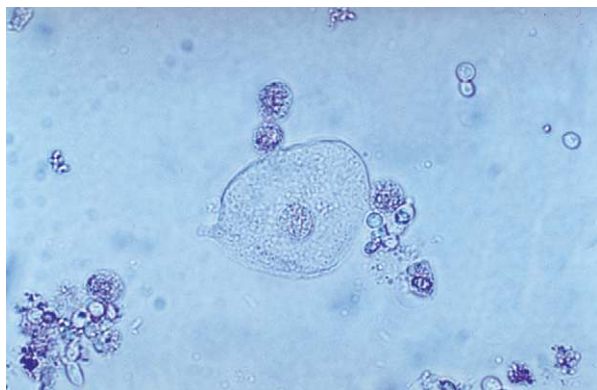
### White Blood Cells (Leukocytes)

*Leukocyte* is a collective term that refers to any type of WBC. In health, the distribution of WBCs in the urine essentially mirrors that of peripheral blood. The five types of cells that can be present are neutrophils, lymphocytes, eosinophils, basophils, and monocytes (macrophages). Because neutrophils predominate in the peripheral blood, they are the WBC most often observed in urine; however, with some renal conditions, other leukocytes may predominate in the urine. For example, with hypersensitivity to an offending drug, eosinophils may increase, whereas in renal allograft rejection, lymphocytes predominate.

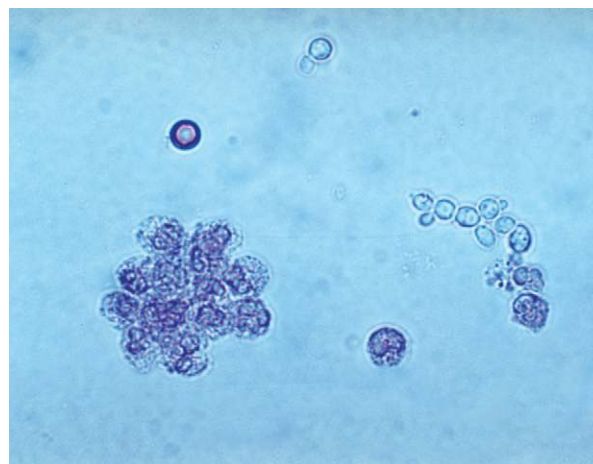
#### Neutrophils

**Microscopic Appearance.** Neutrophils are the most common granulocytic leukocytes present in urine. They measure approximately 14  $\mu\text{m}$  in diameter but can range from 10 to 20  $\mu\text{m}$ , depending on the tonicity of the urine. They are larger than erythrocytes and can be similar in size to the small epithelial cells that line the collecting ducts of nephrons. Neutrophils are spherical cells with characteristic cytoplasmic granules and lobed or segmented nuclei (Fig. 7.16). Unstained, neutrophils have a grayish hue and appear grainy. Neutrophils may occur singly or aggregated in clumps; clumping, which often occurs in acute inflammatory conditions, makes their enumeration difficult (Fig. 7.17).

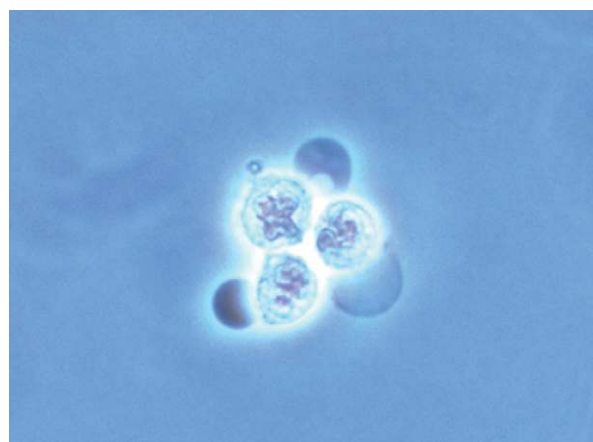
In fresh urine specimens, the characteristic features of neutrophils are often readily apparent by brightfield microscopy; however, as neutrophils age and begin to disintegrate, their lobed nuclei fuse and they can resemble a mononuclear cell. These changes can make neutrophils difficult to distinguish



**FIG. 7.16** Several white blood cells with characteristic cytoplasmic granules and lobed nuclei surrounding a squamous epithelial cell. Budding yeast cells are also present. Brightfield, Sedi-Stain,  $\times 400$ .



**FIG. 7.17** A clump of white blood cells. One red blood cell and budding yeast are also present. Brightfield, Sedi-Stain,  $\times 400$ .

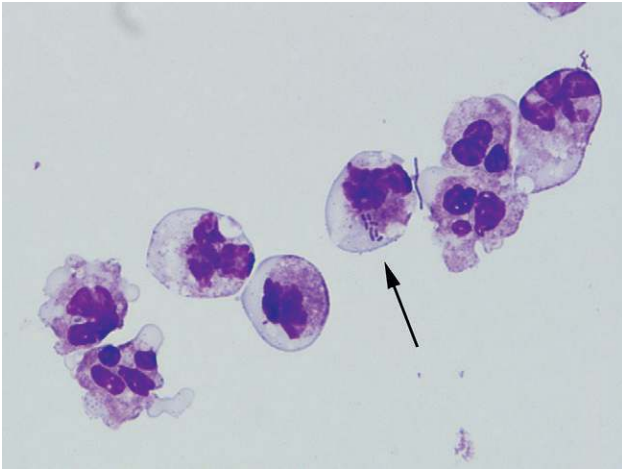


**FIG. 7.18** Degenerating white blood cells with the formation of blebs. Phase contrast,  $\times 400$ .

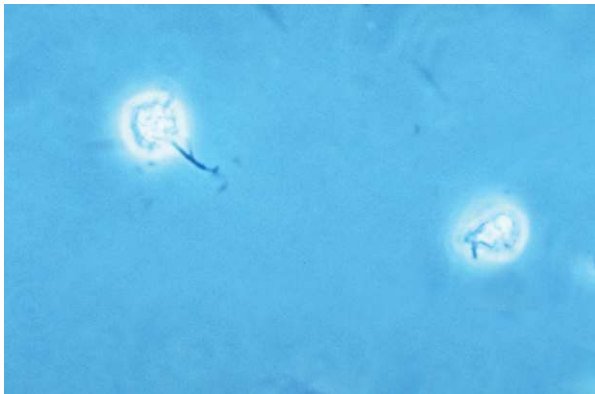
from renal tubular collecting duct cells. *Hypotonic* urine causes WBCs to swell and become spherical balls that lyse as rapidly as 50% in 2 to 3 hours at room temperature. In these large swollen cells, Brownian movement of the refractile cytoplasmic granules is often evident, giving the descriptive name “glitter cells” to these edemic leukocytes. In *hypertonic* urine, leukocytes become smaller as water is lost osmotically from the cells, but they do not crenate.

In addition to fusion of lobed nuclei (neutrophils), further evidence of cellular disintegration is seen in the formation of cytoplasmic blebs (Fig. 7.18). These blebs develop at the cell periphery on their outer membrane; they appear to be empty or may contain a few small granules. As these changes continue, the blebs can detach and become free floating in the urine or can remain within the cell, pushing the cytoplasm to one side and giving rise to large pale intracellular areas. Cytoplasmic blebs and vacuoles may also be observed with bacteriuria; the bacteria may be intracellular, extracellular, or both (Figs. 7.19 and 7.61).





**FIG. 7.19** Cytospin of urine sediment. Several white blood cells with cytoplasmic vacuoles and blebs in urine. Note that intracellular (arrow) and extracellular bacteria are present. Wright stained,  $\times 1000$ .



**FIG. 7.20** Formation of myelin filaments in disintegrating white blood cells. Phase contrast,  $\times 400$ .

Another degenerative change is the development of numerous finger-like or worm-like projections protruding from the cell surface (Fig. 7.20). These long filaments, termed *myelin forms*, result from the breakdown of the cell membrane. As WBCs die, additional vacuolization, rupturing, or pseudopod formation may be observed.

Normally, leukocytes are present in the urine of healthy individuals. When manual microscopic examinations are conducted, semiquantitation is performed by observing 10 representative high-power fields and determining the average number of WBCs present in each field. Note that reference ranges depend on the protocol used. Typically in health, 0 to 8 WBCs are present per high-power field, or approximately 10 WBCs per microliter of urine sediment using a standardized microscope slide. Any clumping of WBCs evident during the microscopic examination should be included in the report because leukocyte enumeration is directly affected. The presence of WBCs in urine is not surprising because they are a normal component in secretions of the male and female genital tracts. Because WBCs are motile, they are

capable of entering the urinary tract at any point. In response to an inflammatory process, WBCs are attracted to the area by chemotaxis and move amoeboid-like through tissues by the formation of pseudopods. Although WBCs are generally spherical within the bloodstream and in urine, the cytoplasm and the nucleus of leukocytes can readily deform; this enables them to leave the peritubular capillaries of the kidneys and migrate through renal tissue (interstitium).

When using an *automated* microscopic analyzer that evaluates urine as it moves through a flowcell (e.g., flow cytometry, digital flow microscopy), the shape of WBCs may be affected—they may not appear spherical but elongated and amoeboid-like. To investigate this physical change, a cytospin slide of the urine sediment can be prepared and stained with Wright stain. One finding in a subset of samples found the presence of numerous vacuoles in WBCs, which may account for the atypical shape when the cells are moving through a flowcell system; that is, the cells are unable to maintain their typical spherical form (see Fig. 7.61B).

When microscopic examination reveals WBC casts, this finding provides diagnostic evidence of an upper UTI. Similarly, cellular casts (i.e., cell identity cannot be determined) and coarsely granular casts (which result from cell degradation) may also support a diagnosis of an upper UTI. In these cases, the protein reagent strip test should be positive. In contrast, with lower UTIs (those localized below the kidney, such as in the bladder), microscopic examination would reveal increased WBCs but without cellular casts; if protein is present, it is usually at a low level.

#### Correlation with Physical and Chemical Examinations.

When WBCs are present in the urine in increased numbers, the urine may be cloudy. Depending on the extent of the infection, the urine may have a strong, foul odor. A macroscopic examination of the sediment button may show a large amount of gray-white material: the concentrated leukocytes. Because leukocytes readily lyse in urine, discrepancies can occur between the number of cells seen microscopically and the leukocyte esterase (LE) screening test. A positive LE test, despite few or no WBCs present microscopically, can occur due to WBC lysis and disintegration. Also, different populations of WBCs have varying quantities of cytoplasmic granules and therefore differing amounts of LE. In fact, lymphocytes have no LE. When increased numbers of WBCs are present in urine, but the LE test is negative, the microscopist must ensure that the cells are granulocytic leukocytes and that the reagent strips are functioning properly. Although the LE screening test usually detects 10 to 25 WBCs per microliter, the amount of esterase present may be insufficient to produce a positive response. Note that owing to hydration, hypotonic urine could cause the LE to be diluted such that it is below the detection limit of the LE reaction. Table 7.7 summarizes the microscopic features of WBCs and the expected correlation between physical and chemical examinations when WBCs are present.

**Look-Alikes.** As mentioned earlier, some renal tubular epithelial cells and at times even RBCs can be difficult to

**TABLE 7.7 White Blood Cells: Microscopic Features and Correlations**

Microscopic features	<p><i>Neutrophils</i></p> <ul style="list-style-type: none"> <li>• Spherical cells, 12–16 <math>\mu\text{m}</math> in diameter</li> <li>• Granular cytoplasm</li> <li>• Lobed nuclei</li> <li>• Glitter cells—dilute urine (low SG)</li> </ul> <p><i>Lymphocytes</i></p> <ul style="list-style-type: none"> <li>• Spherical cells, 6–9 <math>\mu\text{m}</math> in diameter</li> <li>• Mononuclear</li> </ul> <p><i>Monocytes and macrophages</i></p> <ul style="list-style-type: none"> <li>• Spherical cells, 20–25 <math>\mu\text{m}</math> in diameter</li> <li>• Granular cytoplasm</li> <li>• Mononuclear</li> <li>• Cytoplasm often vacuolated with ingested debris</li> </ul>
Look-alike elements	<ul style="list-style-type: none"> <li>• Renal tubular epithelial cells (collecting duct cells)</li> <li>• Dead trichomonads</li> <li>• Crenated RBCs</li> </ul>
Correlation with physical and chemical examinations	<ul style="list-style-type: none"> <li>• Leukocyte esterase reaction—can be negative despite increased WBCs owing to excess hydration or when the WBCs are lymphocytes</li> <li>• Negative nitrite reaction: suggestive of inflammation or nonbacterial infection</li> <li>• Positive nitrite reaction: suggests bacterial infection</li> </ul>

RBC, Red blood cell; SG, specific gravity; WBC, white blood cell.



**FIG. 7.21** Two renal collecting duct cells. Their polygonal shape and nuclear detail distinguish them from leukocytes. Brightfield,  $\times 400$ .

distinguish from leukocytes. A 2% acetic acid solution or, better yet, a 0.5% toluidine blue stain helps reveal the nuclear details of the cells present, which in turn enables proper cell identification. The large, dense nuclei of collecting duct cells and their polygonal shape (Fig. 7.21) help to distinguish them from spherical WBCs that have characteristic cytoplasmic granulation (see Figs. 7.16 and 7.17). Staining with

Sternheimer-Malbin stain or toluidine blue can enhance cellular details for specific identification.

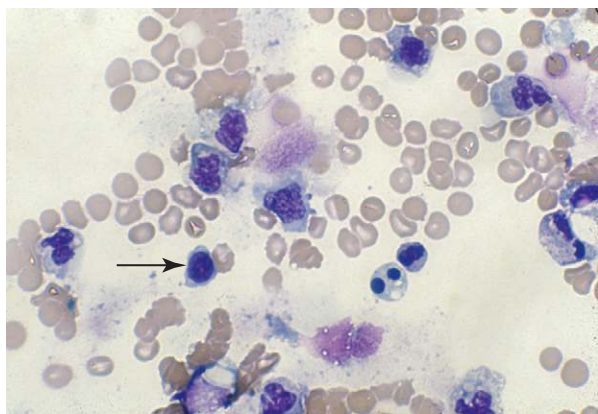
**Clinical Significance.** An increased number of WBCs in urine is termed *leukocyturia*. Inflammatory conditions of the urinary tract and almost all renal diseases show increased numbers of WBCs, particularly neutrophils, in the urine. Note that both bacterial and nonbacterial causes of inflammation can result in leukocyturia. Bacterial infections include pyelonephritis, cystitis, urethritis, and prostatitis; nonbacterial infections include nephritis, glomerulonephritis, chlamydia, mycoplasmosis, tuberculosis, trichomonads, and mycoses. The latter two organisms, trichomonads and mycoses, often appear in urine from women as contaminants from vaginal secretions. Although they can infect the urinary tract, infection is rare. In contrast, when these organisms are present in the urine from a male, a UTI is implied.

**Eosinophils.** In a routine microscopic examination of unstained urine sediment, the discrimination of eosinophils from neutrophils is impossible despite their bilobed nuclei and slightly larger size. When specifically requested, urine specimens for eosinophil detection should be cytocentrifuged and stained using Hansel stain. This stain is considered superior to Wright's stain in detecting eosinophils in urine (see Fig. 7.9).

Historically, urine eosinophils were associated with AIN. However more robust studies with biopsy-proven diagnoses have shown that urine eosinophil counts are too insensitive and nonspecific to either confirm or exclude such a diagnosis.<sup>16,17</sup> In other words, urine eosinophils should *not* be used as a biomarker for AIN because other causes of acute kidney injury can also present with increased urine eosinophils. These disorders include pyelonephritis, acute tubular necrosis, atheroembolic renal disease, and glomerulonephritis.

Regardless of presentation (i.e., with or without urine eosinophils), untreated AIN can lead to permanent renal damage. When AIN is suspected due to hypersensitivity to an offending drug (e.g.,  $\beta$ -lactam antibiotics, proton pump inhibitors, nonsteroidal anti-inflammatory drugs), the offending drug should be discontinued and kidney function monitored for improvement. Currently, steroid therapy for AIN has proven beneficial in restoring kidney function and reducing the risk for progression to chronic kidney disease.

**Lymphocytes.** Although lymphocytes are normally present in the urine, these leukocytes are usually not recognized because of their small numbers. When supravital stains are used or a cytodagnostic urinalysis using Wright's or Papanicolaou's stain is performed, lymphocytes are more readily apparent and identifiable (Fig. 7.22). Most prevalent in the urine are small lymphocytes, approximately 6 to 9  $\mu\text{m}$  in diameter. They have a single, round to slightly oval nucleus and scant clear cytoplasm that usually extends out from one side of the cell. Lymphocytes are present in inflammatory conditions such as acute pyelonephritis; however, because neutrophils predominate, lymphocytes often are not recognized. In



**FIG. 7.22** Lymphocyte (arrow) in a cytospin of urine sediment; Wright stain. Brightfield,  $\times 400$ .

contrast, lymphocytes predominate in urine from patients experiencing renal transplant rejection. Because lymphocytes do not contain LEs, they will not produce a positive LE test regardless of the number of lymphocytes present.

**Monocytes and Macrophages (Histiocytes).** Monocytes and macrophages can be observed in urine sediment. They are actively phagocytic cells that are capable of phagocytizing bacteria, viruses, antigen-antibody complexes, RBCs, and organic and inorganic substances (e.g., fat, hemosiderin). The primary functions of these cells are (1) to defend against microorganisms, (2) to remove dead or dying cells and cellular debris, and (3) to interact immunologically with lymphoid cells. Renal tubulointerstitial diseases resulting from infections or immune reactions draw monocytes and macrophages to the site of inflammation by chemotaxis, that is, their movement from the bloodstream into renal tissue occurs in response to a chemoattractant stimulus.

Monocytes range in diameter from 20 to 40  $\mu\text{m}$ . They have a single large nucleus that is round to oval and often indented. The cytoplasm can be abundant and contains azurophilic granules. Because monocytes are actively phagocytic cells, large vacuoles often containing debris or organisms within them can be observed (Fig. 7.23).

Macrophages are derived from monocytes; when they reside in interstitial tissues, they are often called *histiocytes*.

Although macrophages average 30 to 40  $\mu\text{m}$  in diameter, they can be as small as 10  $\mu\text{m}$  or as large as 100  $\mu\text{m}$  in diameter. When they are small, their oval nuclei and azurophilic granules make them difficult to distinguish from neutrophils. Because macrophages are transformed from monocytes, they usually have irregular, kidney-shaped nuclei and abundant cytoplasm. They are actively phagocytic, so their cytoplasm is often vacuolated. Because of their variable size and appearance, macrophages can be difficult to identify in an unstained urine sediment.

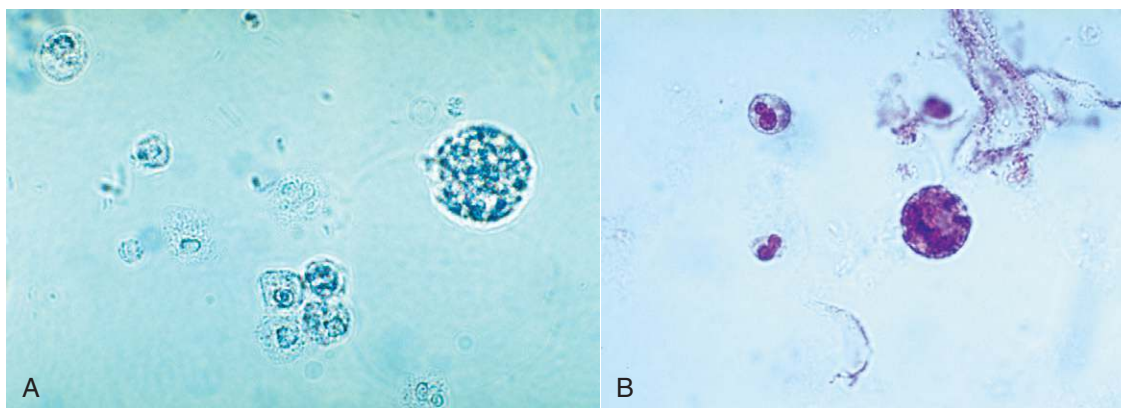
Monocytes and macrophages are identified more easily by using supravital stains on the urine sediment or by making a cytocentrifuged preparation followed by Wright's or Papanicolaou's stain. In addition, because monocytes and macrophages contain azurophilic granules, they can be detected by the chemical screening test for LE if they are present in sufficient numbers.

During microscopic examination of an unstained urine sediment, monocytes can be misidentified as renal tubular cells. They are of similar size, and both are mononucleated. However, monocytes or macrophages are spherical in urine, whereas renal tubular epithelial cells have dense nuclei and tend to be polygonal with one or more flat edges.

When monocytes or macrophages have ingested lipoproteins and fat, these globular inclusions are distinctly refractile (Fig. 7.24). Called *oval fat bodies*, these cells are impossible to distinguish from renal tubular cells that can also absorb fat. The microscopist can use polarizing microscopy or fat stains to confirm the identity of the lipid inclusions.

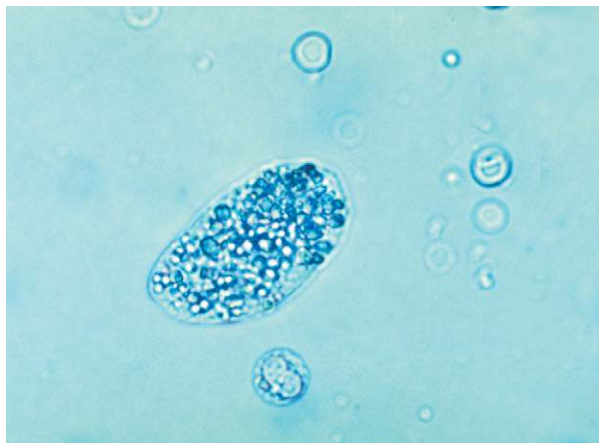
### Epithelial Cells

Various types of epithelial cells are seen in urine sediment. Some epithelial cells result from normal cell turnover of aging cells, whereas others represent epithelial damage and sloughing caused by inflammatory processes or renal disease. Familiarity with the type of epithelium present in each portion of a nephron and in the urinary tract (e.g., urethra, bladder, ureters) facilitates identification of cells in urine sediment. In addition, the presence of large numbers of some cell types can indicate an improperly collected specimen, whereas increased numbers of others indicate a severe pathologic process. Whenever epithelial cells with abnormal characteristics are



**FIG. 7.23** Macrophages and several other white blood cells. (A) Brightfield,  $\times 400$ . (B) Brightfield, Sedi-Stain,  $\times 400$ .





**FIG. 7.24** Oval fat body. A cell with numerous highly refractile fat droplets and other inclusions. Brightfield,  $\times 400$ .

observed, such as unusual size, shape, inclusions, or nuclear chromatin pattern, additional cytologic studies are necessary. These cells may indicate neoplasia in the genitourinary tract or can result from treatments, such as chemotherapy or radiation.

Basically three types of epithelial cells are observed in urine sediment: squamous, transitional (urothelial), and renal tubular epithelial cells (Table 7.8). By far the most common epithelial cells encountered are **squamous epithelial cells**. Renal epithelial cells are those from the nephrons of the kidney. They consist of several distinctively different cell types, with each originating from a specific part of the nephron (i.e., collecting duct cells, **proximal convoluted tubular cells**, **distal convoluted tubular cells**). The type of cell encountered depends on the location of the disease process that is causing the epithelium to be injured and sloughed. Although identification of some epithelial cells can be difficult in wet preparations, techniques are available to facilitate proper cell identification. Each laboratory should have a policy that addresses urine sediments with unusual or abnormal cellularity, such as atypical cells or cellular fragments. This policy may simply involve forwarding the specimen to the cytology department for analysis or performing a cytodiagnostic urinalysis. Because both the presence of certain types of epithelial cells and the number of epithelial cells present can be clinically significant, it is important that the microscopist use any techniques available to ensure the proper identification and reporting of epithelial cells.

During the microscopic examination, squamous epithelial cells are easily observed using low-power magnification because of their large size. In contrast, transitional and renal epithelial cells are better assessed using high-power magnification. After epithelial cells are observed in 10 representative FOVs at the appropriate magnification, the report should indicate each type of epithelial cell encountered. The report format may use descriptive terms such as *few*, *moderate*, or *many* per FOV or may be numeric such as 5 to 10 cells per FOV.

### Squamous Epithelial Cells

Squamous epithelial cells are the most common and the largest epithelial cells found in the urine (Figs. 7.25 and 7.26). These cells line the entire urethra in the female but only the distal



**FIG. 7.25** Squamous epithelial cells: one large clump and several individual cells. Note their large, thin, irregularly angled (or flagstone-shaped) appearance, centrally located nuclei, and stippled cytoplasm (stippling is from keratohyalin granules, which increases with cellular degeneration). A few ribbon-like mucus threads are also present. Phase contrast,  $\times 100$ .

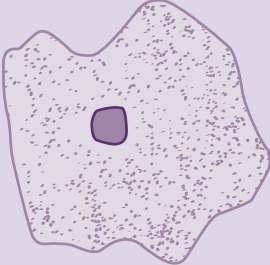
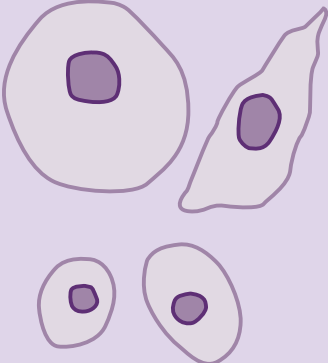
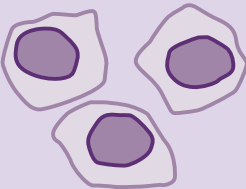



portion of the urethra in the male. Routinely, the superficial layers of the squamous epithelium are desquamated and replaced by new, underlying epithelium. In women, large numbers of squamous epithelial cells in the urine sediment often indicate vaginal or perineal contamination; similarly in uncircumcised men, large numbers suggest specimen contamination. Squamous epithelial cells are large (40–60  $\mu\text{m}$ ), thin, flagstone-shaped (i.e., irregularly angled) cells with distinct edges that may be present in clumps. They have a small, condensed, centrally located nucleus about 8 to 14  $\mu\text{m}$  (i.e., the size of an RBC or WBC) or they can be anucleated. Their large amount of cytoplasm is often stippled with fine granulation (keratohyalin granules), which increases as the cells degenerate. Squamous epithelial cells can be observed in unusual conformations because their edges can fold over or curl while they are suspended in urine, making a full or partial tubular form (Fig. 7.27).

Squamous cells, which are easily identified using low-power magnification, are the only epithelial cells evaluated using this magnification. Squamous epithelial cells in urine specimens rarely have diagnostic significance and usually indicate that the specimen was not a midstream clean catch.

### Transitional (Urothelial) Epithelial Cells

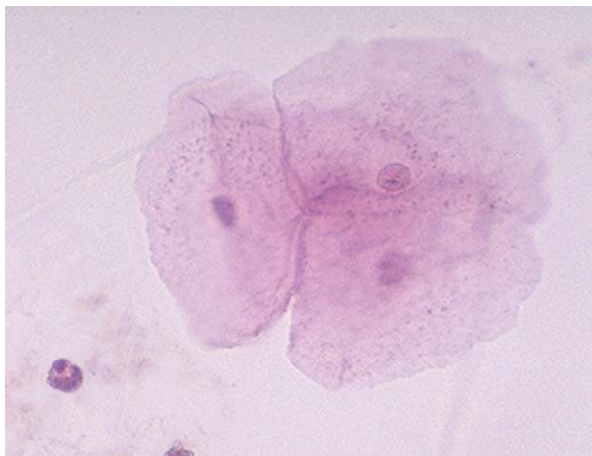
The renal calyces, renal pelvis, ureters, and bladder are lined with several layers of transitional epithelium. In the male, this type of epithelium also lines the urethra except for the distal portion, whereas in the female, transitional epithelium ceases at the base of the bladder. **Transitional (urothelial) epithelial cells** vary considerably in size and shape (Figs. 7.28 and 7.29). This variation relates primarily to the layers of transitional epithelium in the bladder. The cells in the uppermost or superficial layer are large (30–40  $\mu\text{m}$ ) and usually round or pear-shaped. Cells from the intermediate layers or from the trigone region of the bladder are elongated, caudate (i.e., with a cytoplasmic tail), or club-like, and their nucleus is usually off-center (see Fig. 7.28B, and 7.29A). Those from the deep basal layer are smaller (15–30  $\mu\text{m}$ ) and tend to be rectangular.

TABLE 7.8 Epithelial Cells: Microscopic Features and Clinical Significance

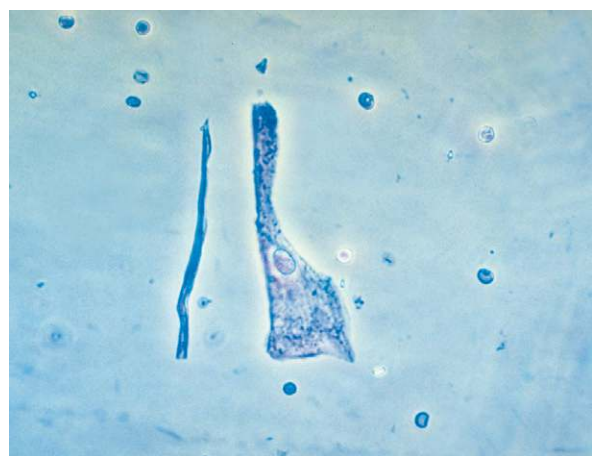
Cell Type	Site	Relative Size and Diameter	Morphology	Clinical Significance
Squamous	Females: line entire urethra Males: distal portion of urethra only	40–60 $\mu$ m 	<ul style="list-style-type: none"> <li>Shape: thin, irregularly angled (or flagstone-shaped) with distinct cell borders</li> <li>Abundant cytoplasm; cytoplasmic granulation increases as cell ages</li> <li>Nucleus: <math>\approx</math>8–14 <math>\mu</math>m,<sup>a</sup> centrally located; can be anucleated or multinucleated</li> </ul>	<ul style="list-style-type: none"> <li>Increased numbers due to poor collection technique (i.e., not a clean catch)</li> </ul>
Transitional	Bladder Ureters Renal pelvis Males: majority of urethra	15–40 $\mu$ m 	<ul style="list-style-type: none"> <li>Shape varies with site: Superficial cells: round or pear-shaped Intermediate layer: club-like, caudate (with tail), elongated Basal layer: small, rectangular (or columnar-like)</li> <li>Moderate amount of cytoplasm</li> <li>Distinct cell borders that appear “firm”</li> <li>Nucleus: <math>\approx</math>8–14 <math>\mu</math>m,<sup>a</sup> round or oval, centrally located in oval/round cells; off-center in elongated cells</li> </ul>	<ul style="list-style-type: none"> <li>Increased numbers with infection or inflammation of bladder, ureters, renal pelvis, or male urethra</li> <li>cell clusters or sheets can occur after catheterization or instrumentation of urinary tract (e.g., cystoscopy)</li> </ul>
Renal	Collecting duct cells	Small ducts: 12–20 $\mu$ m 	Small duct cells <ul style="list-style-type: none"> <li>Shape: polygonal or cuboidal (<i>Hint: Look for a flat edge</i><sup>b</sup>)</li> <li>Nucleus: large, covers 60%–70% of cell</li> </ul>	<ul style="list-style-type: none"> <li>Increased numbers with ischemic events: Shock, Anoxia, Sepsis</li> </ul>
		Large ducts: 6–10 $\mu$ m 	Large duct cells <ul style="list-style-type: none"> <li>Shape: columnar</li> <li>Nucleus: <math>\approx</math>6–8 <math>\mu</math>m, off-center</li> </ul>	<ul style="list-style-type: none"> <li>Trauma</li> </ul>
	Convuluted tubular cells	Distal tubular cells: 14–25 $\mu$ m 	<i>Distal tubular cells</i> <ul style="list-style-type: none"> <li>Shape: oval to round</li> <li>Cytoplasm: grainy</li> <li>Nucleus: small, round, central, or off-center</li> </ul>	<ul style="list-style-type: none"> <li>Increased numbers with toxic events: Heavy metals, Hemoglobinuria, myoglobinuria</li> <li>Poisons</li> </ul>
		Proximal tubular cells: 20–60 $\mu$ m 	<i>Proximal tubular cells</i> <ul style="list-style-type: none"> <li>Shape: large, oblong or cigar-shaped with indistinct cell membrane (<i>Note: Resemble granular casts with single inclusion</i>)</li> <li>Cytoplasm: grainy</li> <li>Nucleus: usually off-center; can be multinucleated</li> </ul>	<ul style="list-style-type: none"> <li>Drugs</li> </ul>

<sup>a</sup>Approximately the size of a red blood cell or a white blood cell.<sup>b</sup>Over time, cells in urine absorb water to become swollen, and the flat edge may not be as noticeable.





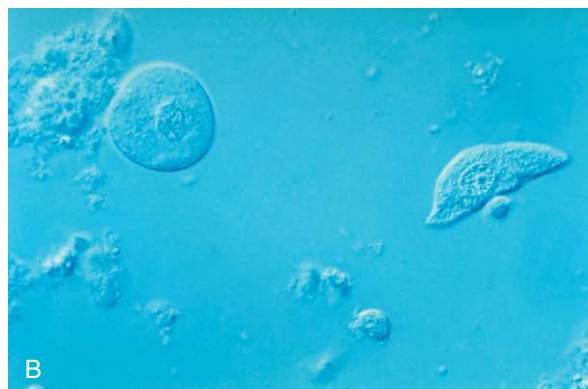
**FIG. 7.26** Three squamous epithelial cells and a white blood cell stained with Sedi-Stain. Note the fine keratohyalin granules in the cytoplasm and that the nucleus is often similar in size to a white blood cell. Brightfield,  $\times 200$ .



**FIG. 7.27** Two squamous epithelial cells. The cell on the left is presenting a side view, demonstrating how flat these cells are. The upper edge of the cell on the right is curled, producing an unusual form. Phase contrast,  $\times 200$ .

A few transitional epithelial cells can be present in the urine sediment from normal, healthy individuals and represent routine sloughing of old epithelium. The most prevalent form of transitional cells is the superficial type: round or pear-shaped, with a dense oval to round nucleus and abundant cytoplasm (see Fig. 7.28A). The nucleus is about the size of a RBC or WBC, and the peripheral borders of the nucleus and cell membrane are distinctly outlined.

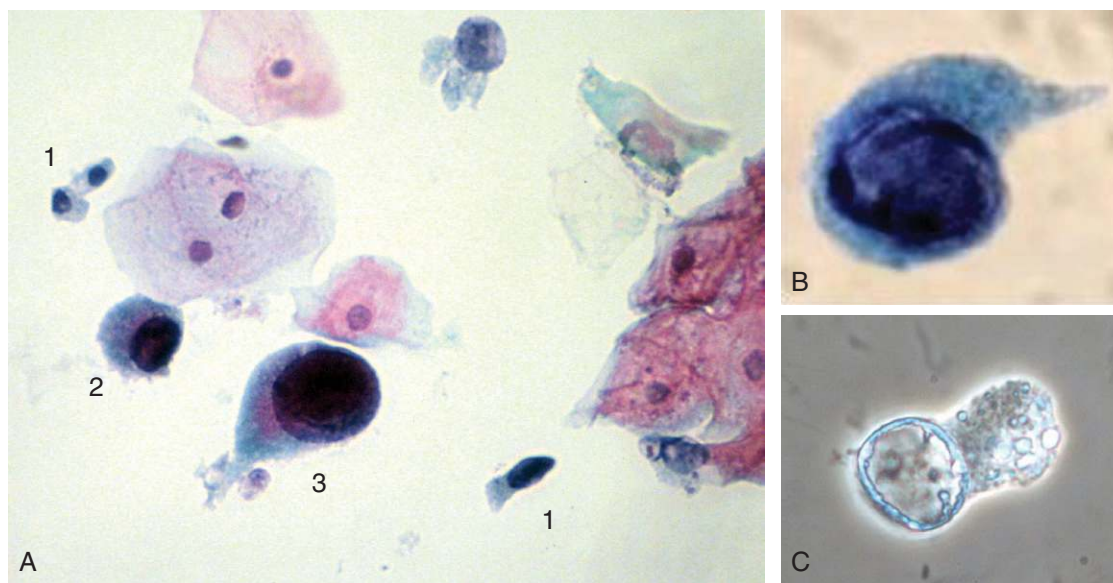
With UTI or inflammation, the epithelium can be irritated and increased numbers of transitional epithelial cells sloughed in the urine. At times, fragments or sheets of transitional epithelium are observed after bladder instrumentation, such as catheterization or cystoscopy (see Fig. 7.29B). However, when clusters of cells appear without these procedures or the cell nuclei are large with nuclear irregularities, they could indicate a pathologic process that requires further investigation, such as transitional cell carcinoma (i.e., bladder cancer).



**FIG. 7.28** (A) A single squamous epithelial cell, a fragment of five transitional (urothelial) epithelial cells, and several red blood cells. Brightfield,  $\times 200$ . (B) Two transitional (urothelial) epithelial cells—one round, one caudate. Interference contrast,  $\times 400$ .



**FIG. 7.29** (A) Transitional epithelial cells. Brightfield,  $\times 400$ . (B) Three fragments of transitional epithelium and several individual transitional cells in first urine collected after catheterization. Brightfield,  $\times 100$ .



**FIG. 7.30** Atypical transitional cell, malignant cell, or decoy cell? The answer requires an expert in urine sediment microscopy or a cytologist. (A) Urine sediment with squamous epithelial cells, normal deep transitional cells (1), a superficial transitional epithelial cell (2), and an atypical epithelial cell that resembles but is not a decoy cell (3). Together, these findings suggest a urologic disorder, not BKV activation. Papanicolaou stain, brightfield,  $\times 100$ . (B) A “comet-like” decoy cell, which is a transitional or renal epithelial cell infected with polyomavirus BK (BKV). Note the nuclear enlargement and abnormal nuclear chromatin pattern. Papanicolaou stain, brightfield,  $\times 400$ . (C) A decoy cell under phase contrast microscopy. Note the enlarged and ground glass-like appearance of the nucleus and the cytoplasmic vesicles of varying size. Papanicolaou stain, phase contrast,  $\times 400$ . (B, From Fogazzi GB: *The urinary sediment*, ed 3, Milan, Italy, 2010, Elsevier Srl. C, Courtesy Giovanni B. Fogazzi.)

### Decoy Cells

Decoy cells are transitional or renal tubular epithelial cells that are infected with polyomavirus of the BK virus (BKV) strain. The name *decoy* originated because of the resemblance and potential misidentification of these cells in urine as malignant cells. These infected epithelial cells have enlarged nuclei with large homogeneous, intranuclear basophilic inclusions (i.e., hyperchromatic nuclei) (Fig. 7.30). In other types of decoy cells, an intranuclear halo resembling cytomegalovirus (CMV) infection can be present or the cells can be multinucleated. Common nuclear features of BKV-infected cells include: (1) nuclear enlargement (basophilic, homogeneous, ground glass-like intranuclear inclusions) with displacement of the nucleus to the cell periphery—making it appear as if the nucleus was “trying to escape from” the cell (i.e., “comet-like” cells); (2) chromatin clumping along the nuclear membrane (i.e., margination); (3) abnormal chromatin patterns—coarse granules of variable size, shape, and irregular arrangement; and (4) the presence of cytoplasmic vesicles.<sup>9</sup> Note that laboratories should have a protocol for actions to be taken when unusual or abnormal epithelial cells are encountered in urine sediment. Differentiation of epithelial cells as atypical, malignant, or decoy cells requires an experienced microscopist or cytologist.<sup>18</sup> To assist in identification, additional procedures are performed such as preparing cytopspins of the urine sediment followed by Papanicolaou staining.

BKV primarily colonizes the superficial transitional epithelium of the lower urinary tract—bladder, ureters, and

renal pelves—where it is asymptomatic, does not last long, and does not affect kidney function. However in kidney transplant patients receiving immunosuppressive medications, BKV can reactivate. If the infection spreads into the collecting ducts of the nephrons, it can cause a condition known as BKV nephropathy (BKVN). The infected renal tubular cells become damaged and lyse, causing inflammation and the release of viral particles into the interstitium of the kidney and the bloodstream.

In kidney transplant patients, decoy cells are associated with transplant rejection. However, their negative predictive value (>99%) for kidney transplant rejection is even stronger, such that when decoy cells are absent, it is unlikely that the patient is rejecting the transplanted organ.

### Renal Tubular Epithelial Cells

As described in Chapter 3, each portion of a nephron or renal tubule is lined with a single layer of a characteristic epithelium. A few renal tubular cells can appear in urine from normal, healthy individuals and represent routine replacement of aging or old epithelium. However, the presence of more than 15 renal tubular cells in 10 high-power fields suggests intrinsic renal disease.<sup>19</sup> Newborn infants have more renal tubular cells in their urine than do older children or adults.

In the microscopic examination of urine, two categories of renal epithelial cells can be present: convoluted tubular cells and collecting duct cells. Often, these are not distinguished but are enumerated and reported collectively as “renal epithelial cells.”

**Convolved Renal Tubular Cells.** Because the cytoplasm of convoluted tubular cells is coarsely granular, their nuclei are not readily visible when phase contrast microscopy is used, and these cells can resemble granular casts. Using brightfield microscopy and staining the urine sediment greatly enhance visualization of the nuclei and correct identification of these cells. Cyto centrifugation followed by Papanicolaou's staining of the urine sediment can be used to specifically identify these cells.

Differentiating between proximal convoluted tubular cells and distal convoluted tubular cells is difficult and is based primarily on size and shape. Usually differentiation between proximal and distal convoluted tubular cells is not necessary, and these cells are collectively reported as “convoluted” renal tubular cells.

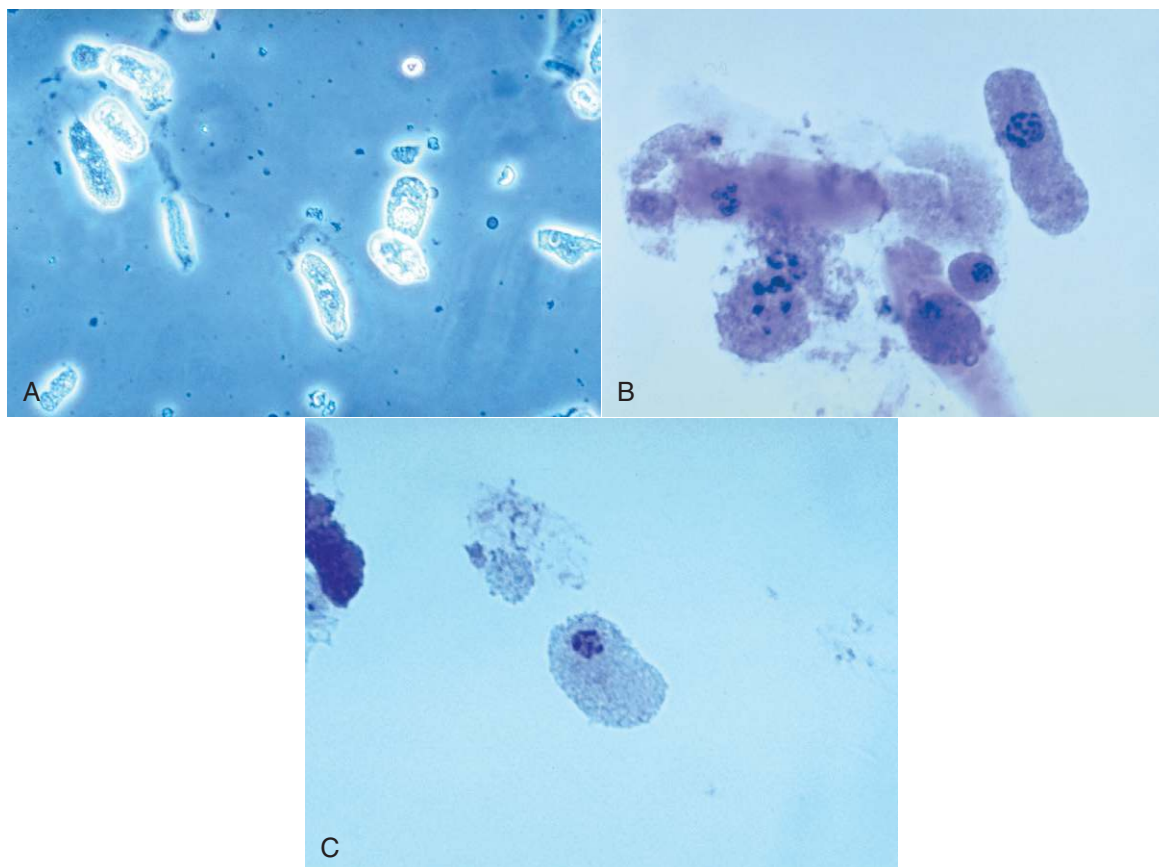
**Proximal Convoluted Tubular Cells.** These are large cells (20–60  $\mu\text{m}$  in diameter or length) with granular cytoplasm. They are oblong or cigar-shaped (Fig. 7.31)—a characteristic that makes them resemble granular casts. They have a nucleus with a dense chromatin pattern that is usually eccentric, and they can be multinucleated.

**Distal Convoluted Tubular Cells.** These round to oval cells measuring approximately 14 to 25  $\mu\text{m}$  in diameter are smaller than cells of the proximal tubule (Fig. 7.31B). They have a small, dense nucleus that is usually eccentric and they have a granular cytoplasm, much like that of proximal tubular cells.

Proximal and distal convoluted tubular cells are found in the urine as a result of acute ischemic or toxic renal tubular disease (e.g., acute tubular necrosis) from heavy metals or drug (aminoglycosides) toxicity (see Chapter 8, section “Acute Tubular Necrosis”).

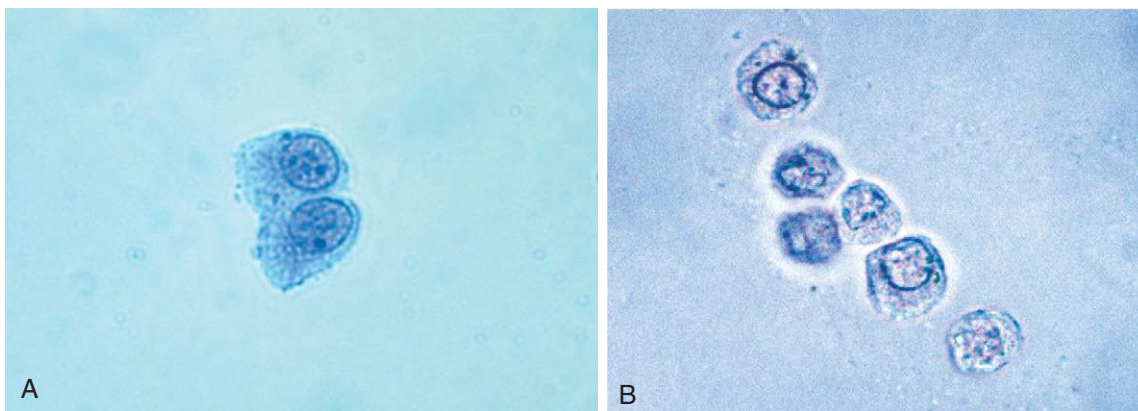
**Collecting Duct Cells.** Collecting duct cells range from 12 to 20  $\mu\text{m}$  in diameter and are cuboidal, polygonal, or columnar (Fig. 7.32). They are rarely round or spherical. Therefore always look for a corner or a flat edge on the cell by which to identify it. Macrophages or monocytes are round or spherical and may be misidentified as collecting duct cells. Collecting duct cells have a single large, moderately dense nucleus that takes up approximately two-thirds of its relatively smooth cytoplasm. The collecting ducts become wider as they approach the renal calyces, and their epithelial cells become larger and more columnar (Fig. 7.33 and Urine Sediment Image Gallery, Fig. 73). Increased numbers of collecting duct cells accompany all types of renal diseases, including nephritis, acute tubular necrosis, kidney transplant rejection, and salicylate poisoning.

In contrast to proximal and distal convoluted tubular cells, collecting duct cells can be observed as fragments of undisturbed tubular epithelium (Fig. 7.33; see Fig. 7.5). To be identified as a fragment, at least three cells must be sloughed

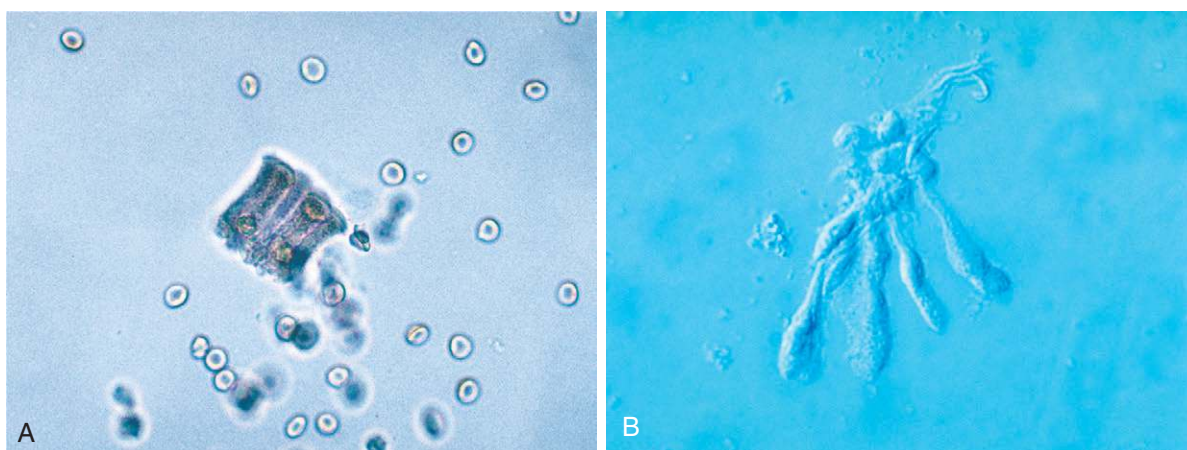


**FIG. 7.31** Convoluted tubular epithelial cells. (A) Numerous proximal convoluted tubular cells. Note the similarity in shape to granular casts and that the nuclei are not readily apparent in many cells. Phase contrast,  $\times 200$ . (B) Sediment stained with 0.5% toluidine blue. A large cast-like proximal tubular cell and a smaller round distal tubular cell are present with two hyaline casts and other debris. Brightfield,  $\times 400$ . (C) A single proximal tubular cell stained with 0.5% toluidine blue. Note the indistinct cell margins, granular cytoplasm, and small eccentric nucleus. Brightfield,  $\times 400$ .





**FIG. 7.32** Renal collecting duct epithelial cells. (A) Two cells with an intact edge and eccentric nuclei. Brightfield, toluidine blue stain,  $\times 400$ . (B) Six renal tubular epithelial cells. Based on their cuboidal shape and nucleus-to-cytoplasm ratio, these cells are from a small collecting duct. Brightfield,  $\times 400$ .



**FIG. 7.33** (A) Fragment of renal epithelial cells from a large collecting duct. Brightfield,  $\times 400$ . (B) Fragment of renal collecting duct epithelial cells in "spindle" form, indicative of regeneration of the tubular epithelium after injury. Interference contrast,  $\times 400$ .

together with a bordering edge intact. Their presence reveals severe tubular injury and damage to the epithelial basement membrane. Collecting duct fragments are found after trauma, shock, or sepsis and indicate ischemic necrosis of the tubular epithelium. In addition to these renal cell fragments, pathologic casts (e.g., granular, waxy, renal tubular cell) and increased numbers of blood cells are usually present.

**Renal Tubular Cells with Absorbed Fat.** Renal tubular cells that are engorged with absorbed fat from the tubular lumen are called *oval fat bodies* (Fig. 7.34). These cells may have many large, highly refractile droplets, or they can have only a small number of apparently glistening granules. Because oval fat bodies often indicate glomerular dysfunction and renal tubular cell death, they are always accompanied by an increased amount of urine protein and cast formation. Oval fat bodies are positively identified using polarizing microscopy or fat stains such as Sudan III or oil red O (see Fig. 7.7). (For continued discussion on fat identification in urine, see the section "Fat" later in this chapter.)

### Other Epithelial Cells

**Bladder Diversion.** In some individuals, whether due to congenital anomalies or disease, the bladder must be removed



**FIG. 7.34** Oval fat body. Note the size variation of the fat droplets. Compare with Fig. 7.7, oval fat bodies stained with Sudan III. Brightfield,  $\times 400$ .

(cystectomy), bypassed, or replaced. There are basically three surgical options for bladder diversion and urine elimination. An *ileal conduit* (urostomy) procedure uses a piece of small intestine or colon to create a channel that carries urine to an

opening on the abdomen (stoma), where it is collected in an external drainable pouch. When a *continent urinary diversion* is performed, an internal pouch is made from intestine and it is connected to the abdominal surface at an opening called a *stoma*. Urine is drained from the internal pouch by passing a catheter through the stoma about every 3 to 4 hours. Last, in an *orthotopic neobladder* procedure a new bladder is made using intestine, and it is placed in the same location as the original bladder. The ureters and urethra are attached to this new bladder. Urine drains from the ureters into the neobladder and is eliminated via the urethra.

Note that these three procedures use small intestine or colon to reconstruct a channel or pouch for urine conveyance or storage. Consequently, the urine excreted will now contain cellular and other elements that originate from the intestinal mucosa. Typically the urine contains increased amounts of mucus, WBCs, and epithelium from the intestinal lining, including goblet cells, as well as increased amounts of degenerated cells and debris. Bacteria are often present in the urine, as the presence of intestinal epithelium appears to promote asymptomatic bacterial colonization of this tissue area. Therefore in individuals with a bladder diversion, a diagnosis of an infection of the urinary tract is more complicated. Healthcare providers rely on patient symptoms and other parameters instead of a urinalysis test or urine culture.

## Casts

### Formation and General Characteristics

Unique to the kidney, urinary casts are formed in the distal and collecting tubules with a core matrix of **uromodulin** (formerly known as **Tamm-Horsfall protein**). This glycoprotein is secreted by the renal tubular cells of the thick ascending limb of the loop of Henle (i.e., the straight portion of the distal tubules) and by the distal convoluted tubules.<sup>20,21</sup> As the contents of the tubular lumen become concentrated, uromodulin forms fibrils that attach it to the lumen cells, holding it temporarily in place while it enmeshes into its matrix many substances that are present. Any urinary component, whether chemical or a formed element, can be found incorporated into a cast. Eventually, the formed cast detaches from the tubular epithelial cells and is flushed through the remaining portions of the nephron with the lumen fluid.

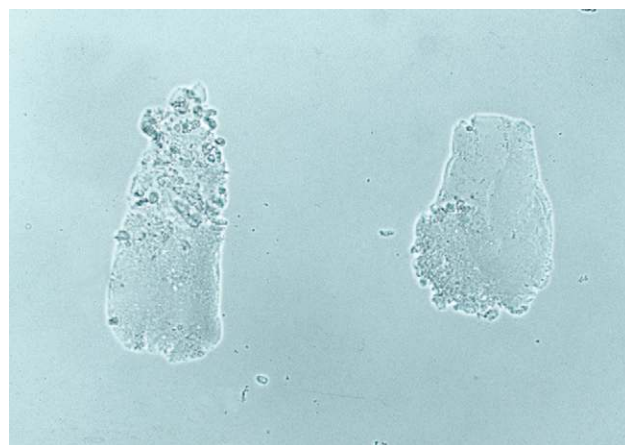
Because casts are formed within the tubules, they are cylindrical and microscopically always appear thicker in the middle than along their edges (Fig. 7.35). They have essentially parallel sides with ends that can be rounded or straight (abrupt). The shape and size of urinary casts can vary greatly depending on the diameter and shape of the tubule in which they form. The narrower the tubular lumen, the narrower is the resulting cast. Sometimes casts are well formed at one end but are tapered or have a tail at the other end (Fig. 7.36). It is postulated that these casts, sometimes referred to as *cylindroids*, result because of insufficient time for complete cast formation. They can be hyaline or have inclusions of granules, cells, or fat. Because they are casts and have the same clinical significance, cylindroids should be enumerated in the same categories as fully formed casts. When



**FIG. 7.35** Three hyaline casts and several mucus threads. Phase contrast,  $\times 100$ .



**FIG. 7.36** Three hyaline casts. The cast with a tapered end is frequently called a *cylindroid*. Phase contrast,  $\times 100$ .

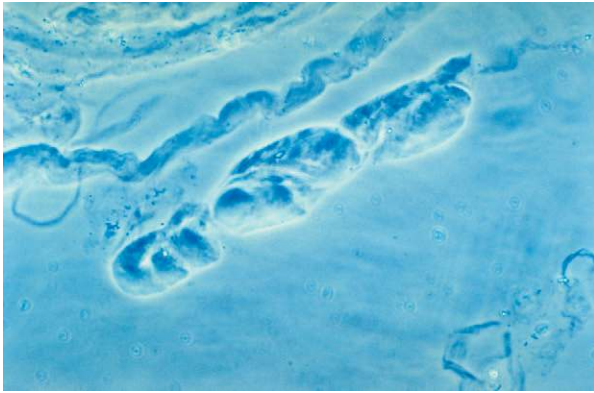


**FIG. 7.37** Two broad casts: one waxy (*right*), one transitioning from granular to waxy (*left*). Brightfield,  $\times 100$ .

wide or broad casts are observed, they indicate cast formation in extremely dilated tubules or in a wide collecting duct (Fig. 7.37). Because a single collecting duct serves numerous nephrons, cast formation within them indicates pronounced urine stasis and renal disease.

Casts can be short and stubby, long and thin, or any combination. They may be straight, curved, or convoluted. A cast becomes convoluted when after formation and release from a tubule, it encounters a tubular obstruction, such as another





**FIG. 7.38** Convoluted hyaline cast that initially formed in a tubule but was later released and compressed in a tubule of larger diameter as seen here. Phase contrast,  $\times 200$ .



**FIG. 7.39** Coarsely granular cast in transition to becoming a waxy cast. Brightfield,  $\times 100$ .

cast being formed. The first (narrower) cast becomes compressed to form a cast that appears convoluted (Fig. 7.38). Because casts can be retained in the tubule for varying lengths of time, the substances enmeshed in their matrices can disintegrate. In addition, the cast matrix itself can undergo changes that become apparent microscopically, for example, transition from a granular to a waxy cast (see Figs. 7.37 and 7.39). Some casts are fragile and are easily broken into chunks if the urine sediment is mixed too vigorously during resuspension (Fig. 7.40). Also note that hypotonic and alkaline urine promotes the disintegration of casts in the urine sediment.

Numerous factors, such as an acid pH, increased solute concentration, urine stasis, and increased plasma proteins (particularly albumin), enhance cast formation.<sup>20</sup> In an acidic environment, gelation of protein and the precipitation of solutes are enhanced. Because acidification and concentration of urine occur in the distal and collecting tubules, these tubules are the sites of most cast formation. Urinary stasis can occur because of obstruction from disease processes or congenital abnormalities. This stasis promotes the accumulation and concentration of ultrafiltrate components, hence cast formation. In conditions that cause increased quantities of plasma proteins (e.g., albumin, globulins, hemoglobin, myoglobin) in the



**FIG. 7.40** One intact finely granular/waxy cast and two broken pieces of a cast. Brightfield,  $\times 100$ .



**FIG. 7.41** A low-power field of view revealing casts of various types: cellular, granular, and mixed. Brightfield, Sedi-Stain,  $\times 100$ .

lumen ultrafiltrate, cast formation is enhanced greatly. These proteins become incorporated into the uromodulin protein matrix, along with any cells and cellular or granular debris that happen to be present.

### Clinical Significance

A few hyaline or finely granular casts may be present in the urine sediment from normal, healthy individuals. Casts reflect the status of the renal tubules; therefore, with renal disease, increased numbers of casts are found in urine sediment (Fig. 7.41). The number of casts reflects the extent of tubular involvement and the severity of disease. Both the types of casts and their numbers provide valuable information to healthcare providers. Two exceptions are notable. After strenuous exercise such as marathon running, increased numbers of casts can be found in the urine of normal individuals (athletic pseudonephritis); their presence does not indicate renal disease. These casts are linked to the increased albuminuria resulting from exercise-induced glomerular permeability changes. The urine sediment may show as many as 30 to 50 hyaline or finely granular casts per low-power field but returns to normal (showing no proteinuria or casts) within 24 to 48 hours. Increased numbers of casts have also been associated with some diuretic therapies.<sup>20</sup>

**BOX 7.3 Classification of Urinary Casts**

## Homogeneous matrix

- Hyaline
- Waxy

## Cellular inclusions

- Red blood cells
- White blood cells
- Renal tubular epithelial cells
- Mixed cells
- Bacteria

## Other inclusions

- Granular
- Fat droplets—cholesterol, triglycerides
- Hemosiderin granules
- Crystals

## Pigmented

- Bilirubin
- Hemoglobin
- Myoglobin

## Size

- Broad

The importance of a patient history including the diagnosis and a list of medications cannot be overemphasized. A patient history provides information that can support or account for the numbers and types of formed elements observed. Note that physical exercise and emotional stress can affect the number of formed elements observed in the urine sediment. Increased excretion of casts is thought to be caused at least in part by increased secretion of uromodulin by renal tubular cells. A careful patient history can prevent misdiagnosis or overdiagnosis of renal dysfunction.

**Classification of Casts**

Cast are classified microscopically on the basis of the composition of their matrix and the types of substances or cells enmeshed within them (Box 7.3). Because any substance can be incorporated into a cast, Box 7.3 could be expanded to include all possible inclusions; those that are listed represent the most commonly encountered casts. One should keep in mind that casts can contain more than one formed element or can be of two matrix types. Mixed cellular casts often are reported as such with a description of the entities involved—for example, cellular cast: leukocytes and renal tubular cells. When a cast of two matrix types (half granular and half waxy) is encountered, the cast is identified using the term that has the greatest clinical significance. In this example, the cast should be enumerated and reported as a waxy cast. Table 7.9 lists the characteristic features, chemical examination, and other correlations that are associated with each cast type.

**Homogeneous Matrix Composition.**

**Hyaline Casts.** Hyaline casts, composed primarily of a homogeneous uromodulin protein matrix, are the most commonly observed casts in the urine sediment (Fig. 7.42). This protein matrix gives hyaline casts a low refractive index that is similar to that of urine and makes them difficult to see



**FIG. 7.42** Hyaline casts using brightfield microscopy. Three hyaline casts and mucus threads are present. Because of the low refractive index of hyaline casts, repeat fine adjustments (up and down) of the microscope focus assist in their visualization. Compare with hyaline cast under phase contrast microscopy in Fig. 7.43. Brightfield,  $\times 200$ .



**FIG. 7.43** Hyaline cast using phase contrast microscopy, which easily reveals hyaline casts, as well as their fibrillar protein matrix and fine granulation when present. Phase contrast,  $\times 400$ .

using brightfield microscopy. These casts appear colorless in unstained urine sediment, with rounded ends and in various shapes and sizes. When phase contrast or interference contrast microscopy is used, their fibrillar protein matrix is more apparent and often includes some fine granulation (Fig. 7.43).

In healthy individuals, two or fewer hyaline casts per low-power field is considered normal. Increased numbers of hyaline casts can be found after extreme physiologic conditions such as strenuous exercise, dehydration, fever, or emotional stress. They also accompany pathologic casts in renal disease and in cases of congestive heart failure.

If brightfield microscopy is used, staining the sediment greatly enhances the visualization of hyaline casts and helps differentiate them from mucus threads that may also be present. Hyaline casts become pink with Sternheimer-Malbin stain, and their edges are more clearly defined. With phase contrast or interference contrast microscopy, hyaline casts are readily identified by the homogeneity of their matrix and by

TABLE 7.9 Casts: Microscopic Features and Correlations

Cast Type	Microscopic Features	Chemical Examination Correlation	Correlations
Hyaline	<ul style="list-style-type: none"> <li>Colorless, fibrillar matrix</li> <li>Low refractive index</li> </ul>		<ul style="list-style-type: none"> <li>Most commonly observed</li> <li>Increased numbers with strenuous exercise, stress, dehydration, fever</li> <li>Accompany other pathologic casts in urine sediment</li> </ul>
Granular	Finely granular casts <ul style="list-style-type: none"> <li>Small granules dispersed throughout matrix, giving it a sandpaper appearance</li> <li>Granules from renal cell metabolic byproducts</li> </ul>	<ul style="list-style-type: none"> <li>Protein +/-</li> <li>Blood +/-</li> </ul>	<ul style="list-style-type: none"> <li>Accompany strenuous exercise, stress, dehydration, fever</li> </ul>
	Coarsely granular casts <ul style="list-style-type: none"> <li>Large, coarse granules within matrix</li> <li>Granules primarily from degenerating cells, trapped cellular debris, and metabolic byproducts</li> </ul>	<ul style="list-style-type: none"> <li>Protein +</li> <li>Blood +/-</li> </ul>	<ul style="list-style-type: none"> <li>Not associated with any specific disease</li> <li>Accompany other pathologic casts in urine sediment</li> </ul>
Cellular	RBC casts <ul style="list-style-type: none"> <li>Intact RBCs within matrix</li> </ul>	<ul style="list-style-type: none"> <li>Blood +</li> <li>Protein +</li> </ul>	<ul style="list-style-type: none"> <li>Associated with glomerular diseases (e.g., glomerulonephritis, nephritis)</li> <li>A rare cast may be observed after contact sports (i.e., athletic pseudonephritis)</li> </ul>
	WBC casts <ul style="list-style-type: none"> <li>Intact WBCs within matrix</li> </ul>	<ul style="list-style-type: none"> <li>Protein +</li> <li>LE +</li> <li>Blood +/-</li> <li>Nitrite +/-</li> </ul>	<ul style="list-style-type: none"> <li>Associated with infectious diseases (e.g., bacterial or viral pyelonephritis) and inflammatory disorders (e.g., interstitial nephritis, lupus erythematosus, glomerulonephritis)</li> </ul>
	RTE cell casts <ul style="list-style-type: none"> <li>RTE cells within matrix</li> </ul>	<ul style="list-style-type: none"> <li>Protein +</li> <li>Blood +/-</li> </ul>	<ul style="list-style-type: none"> <li>Most often associated with acute tubular necrosis but present in all types of renal diseases</li> </ul>
Waxy	<ul style="list-style-type: none"> <li>Ground glass appearance</li> <li>High refractive index (i.e., easy to see using brightfield microscopy)</li> <li>Homogeneous matrix</li> <li>Cracks or fissures from margins or along length of cast often present</li> </ul>	<ul style="list-style-type: none"> <li>Protein +</li> <li>Blood +/-</li> </ul>	<ul style="list-style-type: none"> <li>Associated with nephrotic syndrome and chronic renal disease (glomerulonephritis, pyelonephritis), transplant rejection, and malignant hypertension</li> </ul>
Fatty	<ul style="list-style-type: none"> <li>Fat droplets or OFBs within matrix</li> <li>Highly refractile fat droplets have yellowish to green sheen (brightfield microscopy)</li> <li>Cholesterol droplets form Maltese cross under polarizing microscopy; triglycerides stain orange or red using Sudan III or Oil Red O, respectively</li> </ul>	<ul style="list-style-type: none"> <li>Protein +</li> <li>Blood +/-</li> </ul>	<ul style="list-style-type: none"> <li>Associated with nephrotic syndrome, diabetic nephropathy</li> <li>May be present with acute tubular necrosis and crush injuries</li> </ul>
Other	Bacterial casts <ul style="list-style-type: none"> <li>Bacteria within matrix</li> </ul>	<ul style="list-style-type: none"> <li>Protein +</li> <li>LE +</li> <li>Blood +/-</li> <li>Nitrite +/-</li> </ul>	<ul style="list-style-type: none"> <li>Associated with bacterial pyelonephritis</li> </ul>
	Crystal casts <ul style="list-style-type: none"> <li>Crystals within matrix</li> </ul>	<ul style="list-style-type: none"> <li>Protein +</li> <li>Blood +/-</li> </ul>	<ul style="list-style-type: none"> <li>Associated with renal calculi (kidney stone) formation or drug precipitation due to insufficient hydration</li> </ul>
	Fungal casts <ul style="list-style-type: none"> <li>Yeast within matrix</li> </ul>	<ul style="list-style-type: none"> <li>Protein +</li> <li>LE +</li> <li>Blood +/-</li> </ul>	<ul style="list-style-type: none"> <li>Associated with fungal pyelonephritis</li> </ul>
Cast look-alikes	Mucus threads <ul style="list-style-type: none"> <li>Ribbon-like with twists and folds</li> <li>Ends are serrated or irregular</li> <li>Low refractive index</li> </ul>		<ul style="list-style-type: none"> <li>Increased with infection or inflammation of urinary tract</li> </ul>

LE, Leukocyte esterase; OFB, oval fat body; RBC, red blood cell; RTE, renal tubular epithelial; WBC, white blood cell.



their characteristic shape. Occasionally, a hyaline cast may have a single epithelial or blood cell within its matrix. These casts, as well as cylindroids, are enumerated as hyaline casts and have no diagnostic significance.

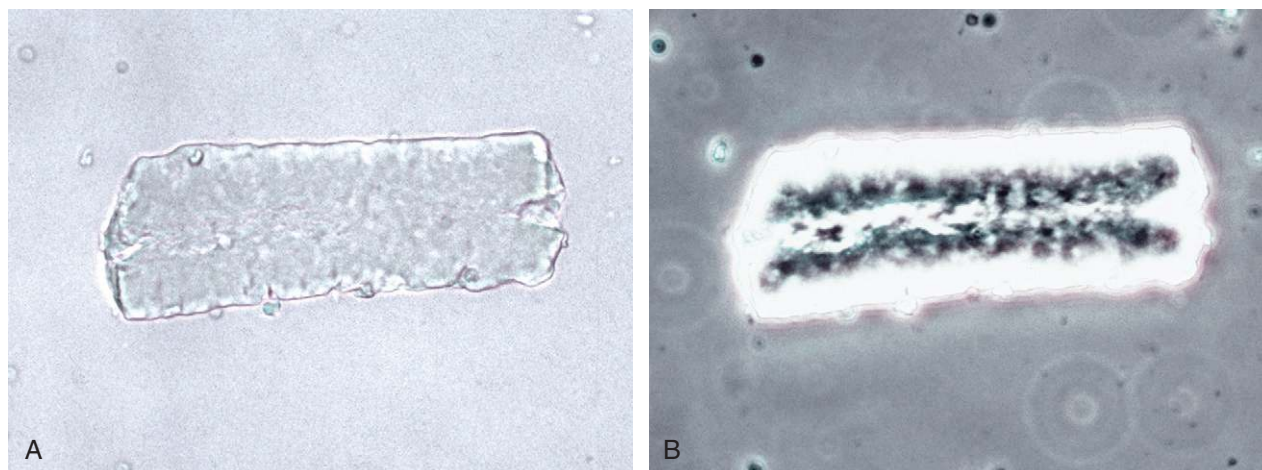
**Waxy Casts.** Named as such because of their waxy appearance, these casts have a high refractive index and are readily visible using brightfield microscopy. Waxy casts appear homogeneous, with their edges well defined, and often have sharp, blunt, or uneven ends. Cracks or fissures from their lateral margins or along their axes are often present and are characteristic of these casts (Fig. 7.44). In unstained urine sediment they are colorless, gray, or yellow; with Sternheimer-Malbin stain they become darker pink than hyaline casts and have a diffuse, ground glass appearance.

Waxy casts indicate prolonged stasis and tubular obstruction. They are believed to represent an advanced stage of other casts (e.g., hyaline, granular, cellular) that are transformed during urinary stasis, taking as long as 48 hours or more to form (Fig. 7.45). They are often broad, indicating their formation

in dilated tubules or collecting ducts. Waxy casts are found most frequently in patients with chronic renal failure; hence they are frequently referred to as *renal failure casts*. They are also encountered in patients with acute renal disease (e.g., acute glomerulonephritis, nephrotic syndrome) or malignant hypertension and during renal allograft rejection.

#### Cellular Inclusion Casts.

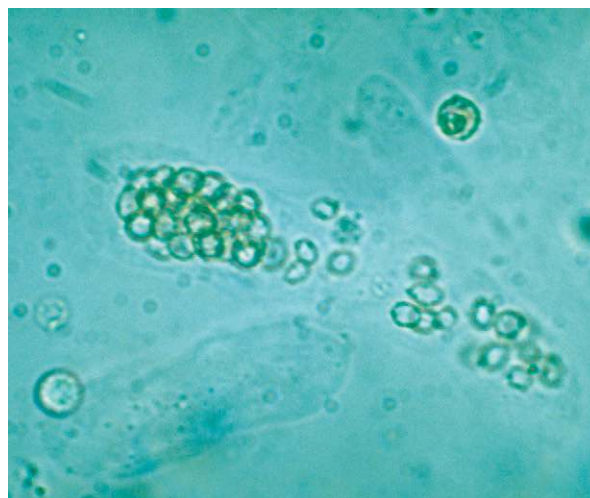
**Red Blood Cell Casts.** The microscopic appearance of RBC casts varies. Some casts are packed with RBCs; others may present principally as a hyaline cast with several clearly defined RBCs embedded within its matrix (Figs. 7.46 and 7.47). In either case, the RBCs must be unmistakably identified in at least a portion of the cast before it can be called an RBC cast. In unstained urine sediments, erythrocytes within the cast matrix cause them to be characteristically yellow or red-brown. The latter color indicates degeneration of the erythrocytes with hemoglobin oxidation. In Sternheimer-Malbin-stained sediments, intact RBCs may appear colorless or lavender in a pink homogeneous matrix.



**FIG. 7.44** Waxy cast. (A) Brightfield,  $\times 100$ . (B) Phase contrast,  $\times 100$ . See Fig. 7.12 for an interference contrast image of this same cast,  $\times 100$ .

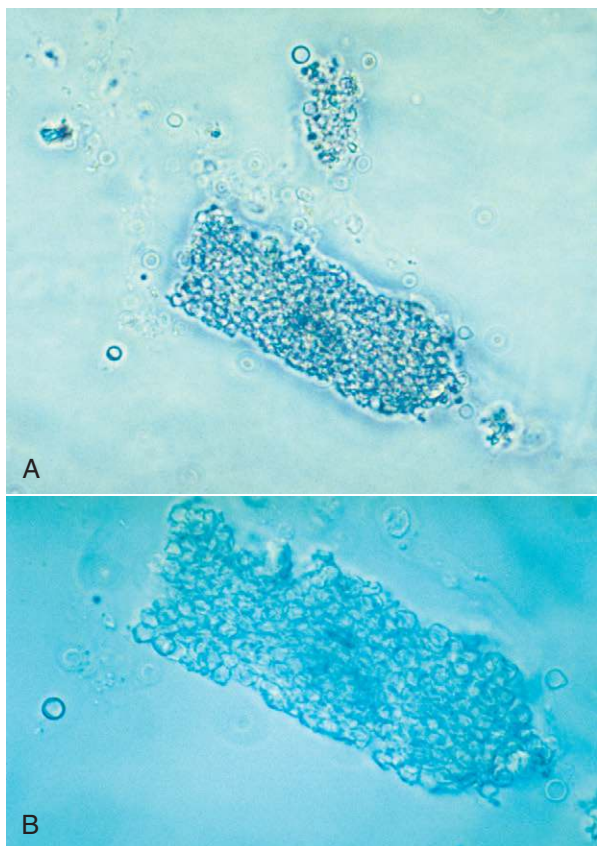


**FIG. 7.45** Cast, part granular and part waxy. Note the difference in cast diameter at one end compared with the other. This indicates initial cast formation in a narrow tubular lumen followed by stasis in a tubule with a wider lumen and further cast formation. Brightfield, Sedi-Stain,  $\times 200$ .



**FIG. 7.46** Red blood cell cast. Red blood cells are embedded in the cast matrix. This cast is flanked by two hyaline casts, and two white blood cells are also visible. Brightfield,  $\times 400$ .





**FIG. 7.47** Red blood cell cast. This cast is packed with intact red blood cells. (A) Brightfield,  $\times 200$ . (B) Interference contrast,  $\times 400$ .



**FIG. 7.48** A pigmented granular cast or blood cast. The granules and pigmentation originate from hemoglobin and red blood cell degeneration. Also called a blood cast or muddy brown cast. Brightfield,  $\times 200$ .

If urine stasis is sufficient, erythrocyte casts degenerate into pigmented, granular casts called *blood casts* or *muddy brown casts* (Fig. 7.48). These red to golden-brown granular casts contain no distinct RBCs in their matrix because the cells have lysed and undergone degeneration. This process can also occur *in vitro* when the urine specimen is old and

improperly stored. RBC casts are fragile, and overly vigorous resuspension of the urine sediment can result in breakage of the casts into pieces. Microscopically, chunks of casts would be present and may be difficult to identify.

Phase contrast and interference contrast microscopy aid in identification of RBC casts by enhancing the detail of cells trapped within the cast matrix. Because free-floating RBCs are also present, the optical sectioning ability of these techniques enables better visualization to ensure that cells are actually within the cast matrix and are not simply superimposed on its surface.

RBC casts are diagnostic of intrinsic renal disease. The RBCs are most often of glomerular origin (i.e., passage across glomerular filtration barriers as in glomerulonephritis) but can result from tubular damage (i.e., blood leakage into the tubules, as with AIN). When RBCs are able to pass into the tubular ultrafiltrate, so are plasma proteins; therefore varying degrees of proteinuria are present (see Table 7.9). The detection and monitoring of RBC casts in urine sediment provide a means of evaluating a patient's response to treatment. Occasionally, an RBC cast may be observed in the urine of a healthy individual. This finding usually is noted after strenuous exercise (i.e., athletic pseudonephritis), particularly after participation in contact sports such as football, basketball, or boxing. As with other urine findings associated with this condition, the urine sediment returns to normal within 24 to 48 hours.

**White Blood Cell Casts.** WBC casts consist of leukocytes embedded in a hyaline cast matrix (Fig. 7.49). Because of the refractility of the cells within them, leukocyte casts are readily apparent and identifiable with brightfield microscopy. When the characteristic multilobed nuclei and granular cytoplasm of these cells are readily apparent, these casts are easy to identify. However, when these characteristics are not evident because of cellular degeneration, the use of supravital stains or contrast microscopy is necessary to differentiate them from renal epithelial cells. The presence of increased numbers of WBCs, free floating or in clumps, would suggest strongly that the cells within these casts are leukocytes.



**FIG. 7.49** White blood cell cast. Brightfield,  $\times 400$ .

The presence of WBC casts indicates renal inflammation or infection and requires further clinical investigation. The origin of the WBCs, glomerular or tubular, can be difficult to determine. If glomerular (e.g., glomerulonephritis), RBC casts will also be present and in greater numbers than WBC casts. With tubular diseases (e.g., pyelonephritis), leukocytes migrating into the tubular lumen from the interstitium are enmeshed in the cast matrix. In these cases, bacteriuria and varying degrees of proteinuria and hematuria usually accompany the WBC casts (see Table 7.9). Renal infections from agents other than bacteria (e.g., CMV) are possible and must be considered when bacteriuria is not present and negative bacterial cultures are obtained.

**Renal Tubular Cell Casts.** Renal tubular cells can become enmeshed in the uromodulin matrix of casts; these casts are nonspecific markers of tubular injury. They have a high refractive index and are readily visible on brightfield microscopy. When the characteristic large central nuclei and shape of these cells are apparent, these casts are easily identified (Figs. 7.50 and 7.58). However, as renal tubular cells become damaged, they undergo degenerative changes that can make specific identification of these casts difficult. Individual renal tubular cells may be found randomly arranged within a cast, or they may appear aligned as fragments of the tubular lining removed intact from the tubule. These latter casts indicate that a portion of a nephron has been severely damaged, with the tubular basement membrane stripped of its epithelium.

Because the size of some renal tubular cells is similar to that of leukocytes, degenerating renal tubular cells in casts may need enhanced visualization to be differentiated and specifically identified. Supravital stains or microscopy techniques such as phase contrast or interference contrast microscopy can be used. The presence of degenerating tubular cell casts in urine sediment indicates intrinsic renal tubular disease. Proteinuria and often granular casts accompany renal tubular cell casts. See Urine Sediment Image Gallery for additional images of cellular casts.

**Mixed Cell Casts.** It is common to find casts that have incorporated within their matrix multiple cell types, such as renal epithelial cells and leukocytes or erythrocytes and leukocytes. Any combination is possible. These casts are often



**FIG. 7.50** Renal tubular cell cast. Brightfield,  $\times 200$ .

enumerated and reported as cellular casts, with their composition provided in the report.

**Bacterial Casts.** Because visualizing these small organisms within the cast matrix is difficult, bacterial casts are rarely identified as such. Bacterial casts are diagnostic of pyelonephritis. Because these casts usually include leukocytes, they are often reported as leukocyte casts. They are actually mixed casts. With the use of brightfield microscopy and a stain (supravital or Gram), careful scrutiny of the cast matrix between leukocytes can often reveal embedded bacteria. Contrast interference microscopy allows even better visualization of bacteria within casts because of its optical sectioning ability. Occasionally, casts that consist of bacteria without leukocytes incorporated in the protein matrix have been observed.

#### **Casts with Inclusions.**

**Granular Casts.** Granular casts come in a variety of granular textures. They range from small, fine granules dispersed throughout the cast matrix to large, coarse granules (Figs. 7.51 and 7.52). They are composed primarily of uromodulin protein, and cast



**FIG. 7.51** Two casts: one hyaline, one coarsely granular. Brightfield,  $\times 200$ .



**FIG. 7.52** Finely granular and coarsely granular casts. Pigmentation from hemoglobin degradation; sometimes called blood casts or muddy brown casts. Brightfield,  $\times 200$ .



granulation is not clinically significant. Easily viewed with bright-field microscopy because of their high refractive index, granular casts often appear colorless to shades of yellow. Granular casts can appear in all shapes and sizes, and broad granular casts are considered to be an indicator of poor prognosis.

Several mechanisms account for the granular casts observed in the urine sediment. The granules in finely granular casts have been identified as byproducts of protein metabolism, in part lysosomal, that are excreted by renal tubular epithelial cells<sup>22</sup>—this accounts for the appearance of granular casts in the urine of normal, healthy individuals. A variation of this mechanism is believed to account for the finding of some casts with large, coarse granulation, particularly when no accompanying cellular casts are present. In these cases, as tubular cells degenerate, their intracellular components are released into the tubular lumen and become enmeshed in a cast. Other coarsely granular casts result from the degeneration of cellular casts. These casts often contain identifiable cellular remnants. In patients with intrinsic renal disease, these coarsely granular casts are usually accompanied by cellular casts. Further degeneration of granular casts into waxy casts can occur during urine stasis (see Figs. 7.39 and 7.45).

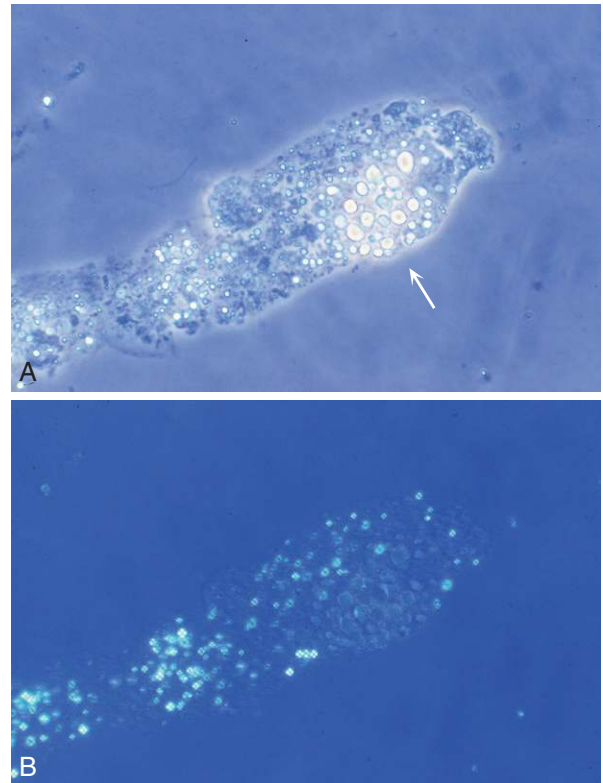
Urine sediment from normal, healthy individuals may have an occasional finely granular cast. These casts are not as common as hyaline casts, but their numbers can increase after exercise. Patients with various types of renal diseases can have varying quantities of coarse and finely granular casts.

**Fatty Casts.** Fatty casts contain fine fat droplets, oval fat bodies, or both, and their matrix can be hyaline or granular. Within the cast, fat droplets can vary in size and are highly refractile (Figs. 7.53 and 7.54). Oval fat bodies in casts are identified by their intact cellular membranes. Because oval fat bodies often indicate renal tubular cell death, the presence of oval fat bodies in fatty casts indicates a significant renal pathologic condition. Cells other than oval fat bodies may also be present within the fatty cast matrix.

In unstained urine sediment examined by brightfield microscopy, lipid droplets may appear light yellow or darker, depending on microscope adjustments. If fat stains such



**FIG. 7.53** A fatty cast. Note the droplets and their characteristic refractility. Brightfield,  $\times 400$ .



**FIG. 7.54** Fatty cast. Note the high refractility of the fat droplet inclusions in the matrix of the cast. (A) Phase contrast,  $\times 400$ . (B) Polarizing microscopy,  $\times 400$ . The cluster of large, highly refractile fat droplets apparent in A (arrow) does not exhibit a Maltese-like cross pattern in B, which identifies them as neutral fat (triglycerides); those droplets with a Maltese-like cross pattern are cholesterol.

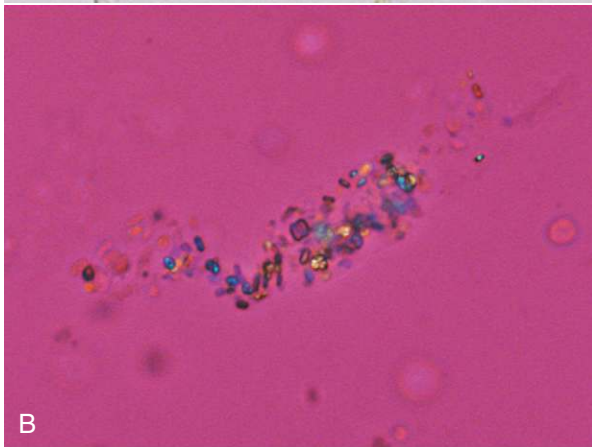
as Sudan III or oil red O are used, triglyceride (neutral fat) droplets within casts stain characteristically orange or red (see Fig. 7.7 and Urine Sediment Image Gallery, Fig. 26), whereas cholesterol and cholesterol esters do not. In contrast, polarized microscopy can identify cholesterol and cholesterol esters by their characteristic birefringence; these droplets form a Maltese cross pattern (see Figs. 7.11 and 7.54B.). Note that lipids do not take up Sternheimer-Malbin stain, although the protein matrix of the cast does.

Fatty casts are accompanied by significant proteinuria and may be found in numerous renal diseases, particularly nephrotic syndrome (see Table 7.9). In addition, a severe crush injury with disruption of body fat can result in the presence of fatty casts in the urine sediment.

**Other Inclusion Casts.** Because during cast formation any substance present in the tubular lumen can be incorporated into the uromodulin matrix, hemosiderin granules and crystals have been found in casts. As crystals can aggregate along mucus threads to simulate a cast, it is important that the hyaline matrix is observed and that it actually encases the crystals. Crystal casts are not common; those encountered are usually composed of calcium oxalate or sulfonamide crystals (Figs. 7.55 and 7.56). The presence of crystal casts indicates crystal precipitation within the tubules, which can damage



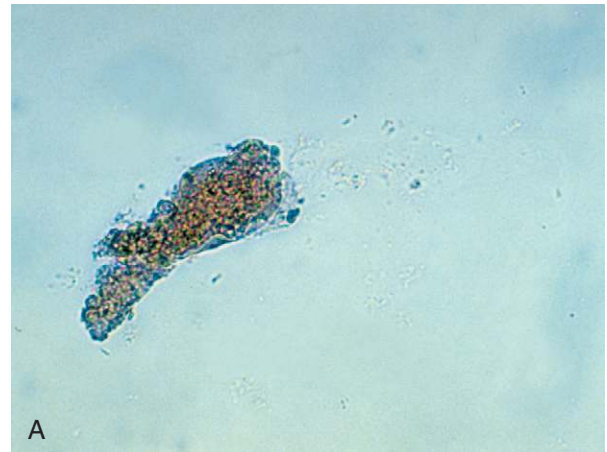
**FIG. 7.55** Cast with sulfamethoxazole crystal inclusions. Brightfield,  $\times 200$ .



**FIG. 7.56** Cast with monohydrate calcium oxalate crystal inclusions. (A) Brightfield,  $\times 400$ . (B) Polarizing microscopy with first-order red compensator,  $\times 400$ .

tubular epithelium as well as cause tubular obstruction. As a result, varying amounts of hematuria usually accompany crystalline casts in the urine sediment.

**Pigmented Casts.** Pigmented casts, usually of a hyaline matrix with distinct coloration, are characterized by incorporation of the pigment within the casts (see Figs. 7.52 and 7.57). Hemoglobin, myoglobin, or bilirubin (bile) casts can be encountered in the urine sediment. Hemoglobin casts appear yellow to brown and are accompanied by hematuria. Because myoglobin casts are similar in appearance to hemoglobin casts,



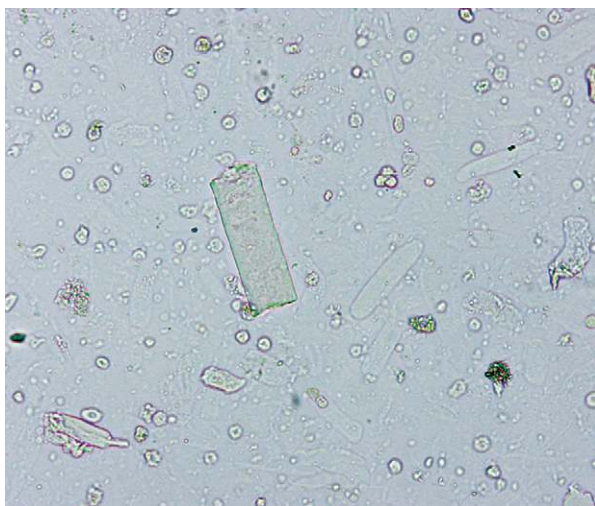
**FIG. 7.57** Pigmented granular cast; also known as a “cylindroid” because one end is not fully formed. (A) Brightfield,  $\times 200$ . (B) Phase contrast,  $\times 200$ . Note the enhanced visualization of low-refractile components such as the hyaline matrix portion of the cast as well as the mucus in the background when using phase contrast microscopy.



**FIG. 7.58** Bilirubin-stained renal tubular epithelial cell cast. Brightfield,  $\times 200$ .

differentiation requires a patient history with a possible diagnosis of rhabdomyolysis or confirmation that myoglobin is present. Bilirubin characteristically colors all urine sediment constituents, including casts, yellow- or golden-brown (Fig. 7.58). In contrast, urobilin, a pigment that can impart an orange-brown





**FIG. 7.59** Broad waxy cast and numerous hyaline casts. Note how readily visible the waxy cast (high refractility) is in comparison to the hyaline casts (low refractility). Brightfield,  $\times 200$ .

color to urine, does not color the formed elements of the sediment. Highly pigmented drugs, such as phenazopyridine, can also characteristically color sediment elements.

**Size.** Broad casts indicate cast formation in dilated tubules or in the large collecting ducts (Fig. 7.59). Because several nephrons empty into a single collecting duct, cast formation here indicates significant urinary stasis due to obstruction or disease. The presence of many broad casts in urine sediment indicates a poor prognosis. Broad casts may be of any type; however, when a significant amount of urinary stasis is involved, they principally present as granular or waxy casts (see Fig. 7.37). In chronic renal diseases in which nephrons have sustained previous damage, broad hyaline casts may be encountered. These casts form as a result of continued proteinuria and other factors that enhance their formation.

### Correlation With Physical and Chemical Examinations

When significant numbers of pathologic casts (i.e., those associated with disease) are identified in urine sediment, correlation with the physical and chemical examinations must be made (see Table 7.9). Increased numbers of abnormal casts should be accompanied by proteinuria, although the degree of proteinuria can vary. In contrast, proteinuria can occur without cast formation. If RBC casts are identified, the chemical test for blood should be positive, or its negativity accounted for before these casts are reported. Leukocyte casts may or may not be associated with a positive LE test, depending on the types and numbers of leukocytes present. Leukocyte casts often are accompanied by bacteriuria, the most common causative agent of UTI. In these cases, the nitrite test may also be positive. Bile-pigmented casts should be accompanied by a positive chemical test for bilirubin; similarly, hemoglobin- or myoglobin-pigmented casts should be accompanied by a positive chemical test for blood.

### Look-Alikes

For the novice microscopist, several formed elements in urine sediment can be confused with casts. Mucus threads can be



**FIG. 7.60** Absorbent fibers from diapers or hygiene products. (A) This fiber resembles a waxy cast but its true identity is revealed by its refractility and shape—the edges are wavy, it appears rippled with thinner areas in the middle and thicker ones at the edges, and it has fraying at one end. (B) Fiber demonstrating strong birefringence with polarizing microscopy,  $\times 200$ . Note that casts do *not* polarize light; however, entrapped elements such as crystals or cholesterol droplets can polarize light (see Figs. 7.53 and 7.55).

misidentified as hyaline casts (see Fig. 7.35). Although mucus threads have a similar low refractive index, they are ribbon-like and their ends are not rounded but are serrated. They are irregular, whereas hyaline casts are more formed.

Various fibers, such as cotton threads or absorbent fibers from diapers and other hygiene products, can resemble waxy casts (Fig. 7.60). Several distinguishing characteristics allow for their differentiation. Fibers tend to be flatter in the middle and thicker at their margins, whereas casts are cylindrical and thicker in the center. In addition, fibers are more refractile than casts. Under polarizing microscopy, fibers polarize light, whereas casts do not (see Fig. 7.56B, noting the appearance of the “cast matrix”). Finally, fibers can contaminate the urine at any time, whereas casts, particularly waxy casts, must be accompanied by proteinuria. Other entities, such as squamous epithelial cells folded into a tubular shape or scratches on the coverslip surface, may be misidentified as casts. With practice, proper identification of these components is not difficult.

TABLE 7.10 Microorganisms in Urine Sediment

Organism	Characteristic Features	UA Correlations
Bacteria	Bacilli (rods) or cocci (spheres) Single organisms, in chains, or in groups (e.g., diplococci, tetrads)	WBCs increased; WBC clumps and macrophages with severe infection LE +/- Nitrite +/-
Yeast	Ovoid, colorless, refractile cells No nucleus Characteristic budding forms Pseudohyphae may be present	WBCs increased LE +/-
Trichomonads	Pear-shaped organisms Average length $\approx 15\mu\text{m}$ (5–30 $\mu\text{m}$ ) 4 anterior flagella, 1 posterior axostyle, undulating membrane Identify based on characteristic flitting or jerky motion	WBCs increased, WBC clumps present LE +/-
Other parasites	<i>Enterobius vermicularis</i> (pinworm) Football-shaped <sup>a</sup> or ovoid eggs 50–60 $\mu\text{m}$ long by 20–30 $\mu\text{m}$ wide Transparent cell wall, larva visible inside	None; fecal contaminant
	<i>Giardia lamblia</i> Ovoid eggs 8–12 $\mu\text{m}$ long Smooth, well-defined cell wall	None; fecal contaminant
	<i>Schistosoma haematobium</i> Football-shaped <sup>a</sup> or ovoid eggs with a spike at one end	Blood positive RBCs increased
	Thick, transparent cell wall; larva visible inside	

<sup>a</sup>Shape of the American football.

LE, Leukocyte esterase; WBC, white blood cell; RBC, red blood cell.

Crystals such as amorphous urates and phosphates can aggregate together or along a mucus thread to simulate a cast. With polarizing microscopy, their birefringence identifies them as crystalline entities, and the lack of a distinct matrix differentiates them from a true cast.

### Microorganisms in Urine Sediment

In health, the urinary tract is sterile; no microorganisms are present. Consequently, the presence of bacteria, yeast, trichomonads, or parasites in urine indicates an infection or that contamination occurred during the collection process. Table 7.10 lists characteristic microscopic features and urinalysis findings associated with commonly observed microorganisms as well as some less common.

#### Bacteria

Observing bacteria in the urine sediment requires high-power magnification (Fig. 7.61A), and they are easier to observe using phase contrast microscopy compared with brightfield microscopy. The most commonly encountered bacteria in urine are rod-shaped (bacilli), but coccoid forms can also be present. These microorganisms can vary in size from long, thin rods to short, plump rods. They may appear singly or in chains, depending on the species present. In wet preparations, their motility often distinguishes bacteria from amorphous substances that may be present. Because the skin,

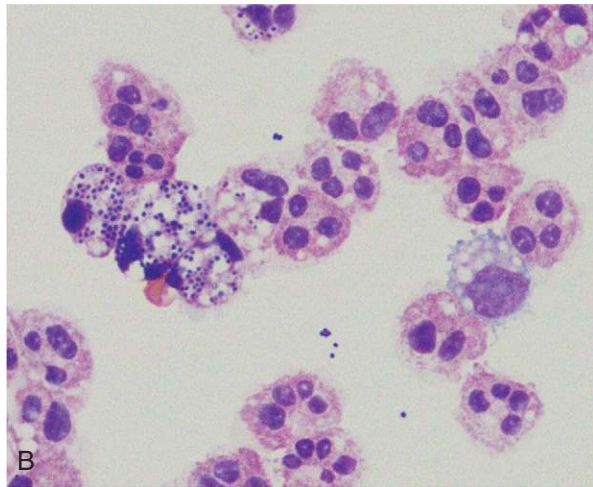
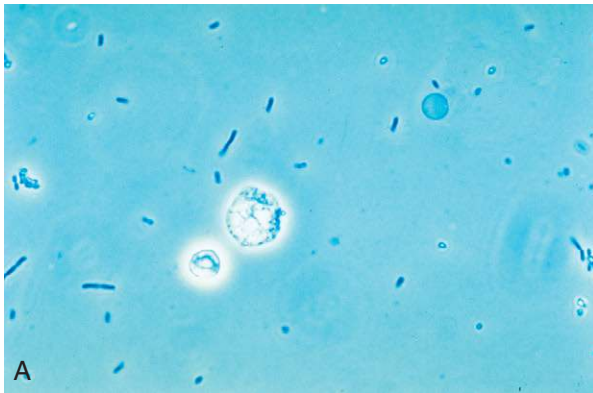
vagina, and gastrointestinal tract normally contain bacteria, the presence of bacteria in urine often reflects contamination from these sources.

Bacteria are reported as few, moderate, or many per high-power field. Because urine from normal, healthy individuals is sterile, the presence of bacteria in the urine sediment implies a UTI or urine contamination. Bacteria most often ascend the urethra to cause a UTI. They can also be present because of a fistula—a narrow pathway—between the urinary tract and the bowel. It is important to note that contaminating bacteria rapidly multiply in improperly stored urine. Therefore the presence of bacteria has clinical significance only if the urine specimen has been properly collected and stored.

For urine sediment in which identification of bacteria is difficult, a cytospin preparation followed by Gram staining could be performed (Fig. 7.61B), although time-consuming. During UTI, bacteriuria is usually accompanied by leukocytes in the urine sediment. When significant bacteriuria is present without leukocytes, the specimen collection and handling should be investigated.

#### Yeast

Yeasts are colorless ovoid cells that can closely resemble RBCs (Fig. 7.62). More refractile than erythrocytes, yeasts often have characteristic budding forms and pseudohyphae (Fig. 7.63). Yeasts can vary in size, and some species are very



**FIG. 7.61** (A) Urine sediment with bacteria (rods), two red blood cells (one is a ghost cell), and a white blood cell (WBC). Phase contrast,  $\times 400$ . (B) Cytospin of urine sediment with numerous WBCs and bacteria. Wright stain, brightfield,  $\times 400$ . Note the numerous vacuoles in these WBCs. These WBCs appeared elongated or amoeboid-like when analyzed by a 'flowcell-based' automated microscopy system, i.e., the iQ200 microscopy analyzer.



**FIG. 7.62** Budding yeast and pseudohyphae. White blood cells are also present singly and in a clump. Brightfield, Sedi-Stain,  $\times 400$ .



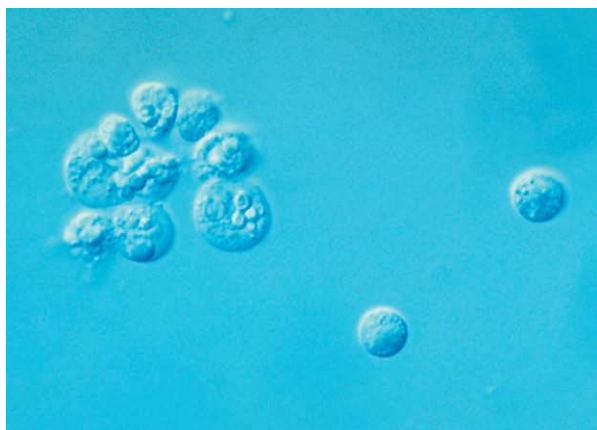
**FIG. 7.63** (A) Budding yeast, pseudohyphae, white blood cells, and bacteria. Brightfield,  $\times 400$ . (B) Pseudohyphae development by yeast. Interference contrast,  $\times 400$ .

large (10–12  $\mu\text{m}$ ). Yeasts do not dissolve in acid and usually do not stain with supravital stains; these two characteristics can aid in differentiating them from erythrocytes. In addition, yeasts are resistant to KOH—place a drop of urine sediment on a microscope slide, add a drop of KOH and a coverslip, and review microscopically for yeast elements.

In women, yeast in the urine sediment most often indicates contamination of the urine with vaginal secretions. However, because yeasts are ubiquitous—present in the air and on skin—their presence could indicate contamination from these sources. Although infrequent, primary UTIs resulting from yeasts are possible; hence healthcare providers must correlate the finding of yeast with the patient's clinical picture to determine whether an actual infection, vaginal or urethral, is present. Certain situations, such as pregnancy, use of oral contraceptives, and diabetes mellitus, promote the development of vaginal yeast infection.

The most commonly encountered yeast in urine sediment is *Candida albicans*. The characteristic budding and the development of pseudohyphae make *C. albicans* readily identifiable as yeast. Another species found less frequently is *C. glabrata*, formerly called *Torulopsis glabrata*. This species does not form pseudohyphae, and these yeast cells





**FIG. 7.64** White blood cells with intracellular yeast. Interference contrast,  $\times 400$ .

may be found phagocytized within WBCs (Fig. 7.64). In immunosuppressed patients, systemic *Candida* infections are common, and yeasts have a predilection for the kidneys. Note that during the microscopic examination, only the presence of yeast can be determined; identification of the species present requires fungal culture.

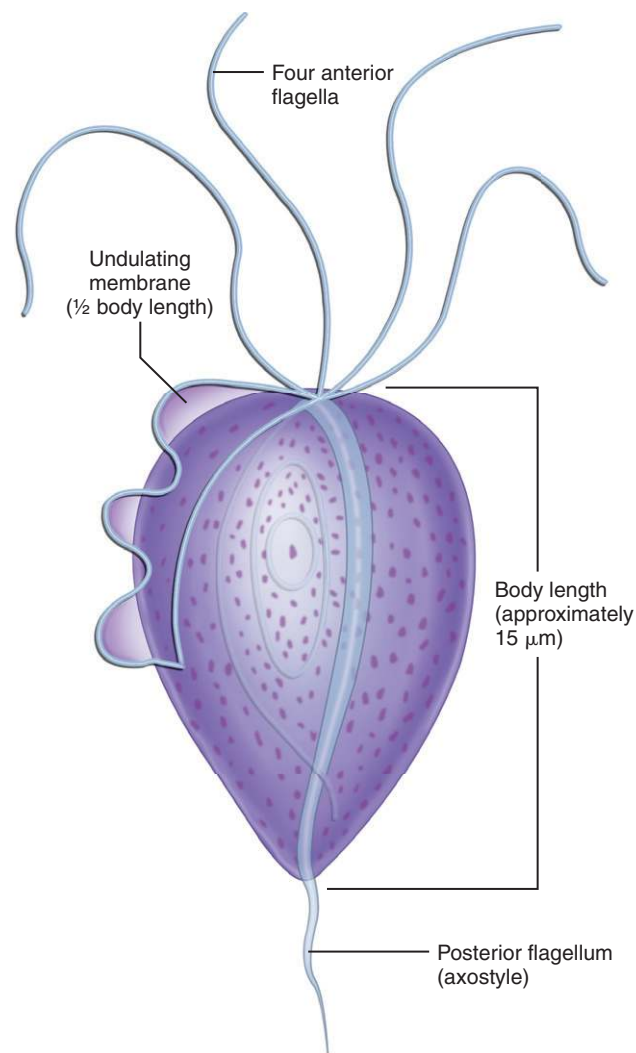
A **KOH preparation** is often used to detect yeast, hyphae, and other fungal cells in vaginal secretions. See Chapter 13.

### **Trichomonas vaginalis**

Trichomonads, protozoan flagellates, can be observed in the urine sediment. Trichomonads appear as turnip-shaped flagellates whose unicellular bodies average  $15\ \mu\text{m}$  in length, although organisms as small as  $5\ \mu\text{m}$  and as large as  $30\ \mu\text{m}$  are possible. They have four anterior flagella, a single posterior axostyle, and an undulating membrane that extends halfway down the body of the organism (Fig. 7.65). The beating flagella propel the organism while the undulating membrane rotates it. The result is a characteristic flitting or jerky motility in wet preparations. Because of their similarity in size to both leukocytes and renal tubular cells, this motility is critical for their identification (Fig. 7.66 and Urine Sediment Image Gallery Figs. 83–85).

*Trichomonas vaginalis* is the most common cause of parasitic gynecologic infection in female patients (see Chapter 13). Transmitted sexually, trichomonads most frequently represent an infection of the vagina and/or urethra, and their presence in the urine often indicates contamination with vaginal secretions. In male patients, *Trichomonas* infections of the urethra are usually asymptomatic. In either case, when observed in urine sediment, trichomonads are not quantitated but are simply reported as present.

Urine is not an optimal medium for trichomonads. Because their characteristic motility provides the best means of positively identifying them, a fresh urine specimen is needed. Once in urine, trichomonads proceed to die. First, they lose their motility; later, their undulating membrane ceases, and eventually they ball up to resemble WBCs or renal tubular epithelial cells. With the loss of motility or movement of the undulating membrane, differentiation of trichomonads from other cells in the sediment can be impossible. Supravital stains



**FIG. 7.65** Schematic diagram of *Trichomonas vaginalis*.



**FIG. 7.66** A trichomonad in urine sediment. Because of their rapid flitting motion, only one of the flagella is visible in this view (arrow). Mucus, white blood cells, and other trichomonads are present but are not in focus at this focal plane. Phase contrast,  $\times 400$ .

do not enhance trichomonad identification whether the organisms are dead or alive; in fact, unless the stain concentration is tightly controlled it will kill them. Phase contrast microscopy



and interference contrast microscopy permit enhanced imaging and visualization of the flagella and undulating membranes of trichomonads; however, their characteristic movement is still required to specifically identify them.

### Clue Cells and *Gardnerella vaginalis*

Squamous epithelial cells from the vaginal mucosa with large numbers of bacteria adhering to them are called **clue cells**; they can be present in urine specimens contaminated with vaginal secretions. These characteristic cells are indicative of bacterial vaginosis (BV), a synergistic infection most often involving *Gardnerella vaginalis* and an anaerobe, usually *Mobiluncus* spp. (e.g., *Mobiluncus curtisii*). A Gram stain can clearly reveal the bacterial flora adhering to these epithelial cells (Fig. 7.67).

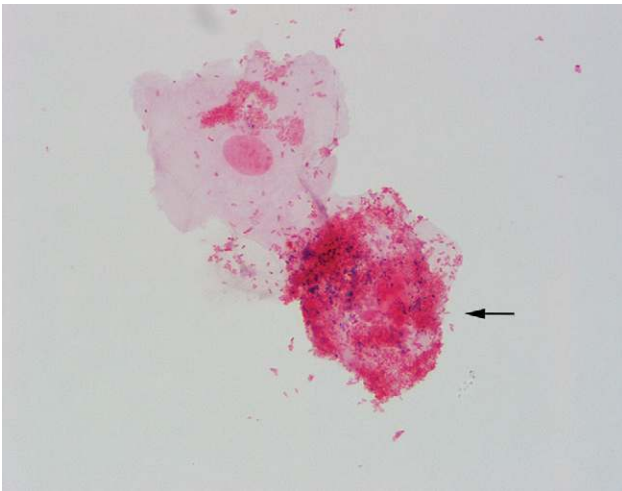
When there is a disruption in the normal vaginal flora (lactobacilli) with subsequent proliferation of the usually minor, endogenous bacterial species, a foul-smelling vaginal

discharge and the sloughing of clue cells will occur. Clue cells appear soft and finely granular with indistinct cell borders caused by the numerous bacteria adhering to them; hence they are often described as bearded or as having shaggy edges (Fig. 7.68). In these bacteria-laden cells, the nucleus may not be visible. To be considered a clue cell, the bacteria should cover at least 75% of the cell surface and the bacterial organisms *must* extend beyond the cell's cytoplasmic borders.<sup>23,24</sup> Be aware that with an inexperienced microscopist, normal intracellular keratohyalin granulation, which is more evident using phase contrast microscopy, could be misidentified as bacteria adhering to squamous epithelial cells. However, keratohyalin granules are variable in size and are usually larger and more refractile than bacteria (Fig. 7.68B). When a health-care provider suspects BV, a pelvic examination is performed and a vaginal secretions specimen is collected for evaluation (see Chapter 13).

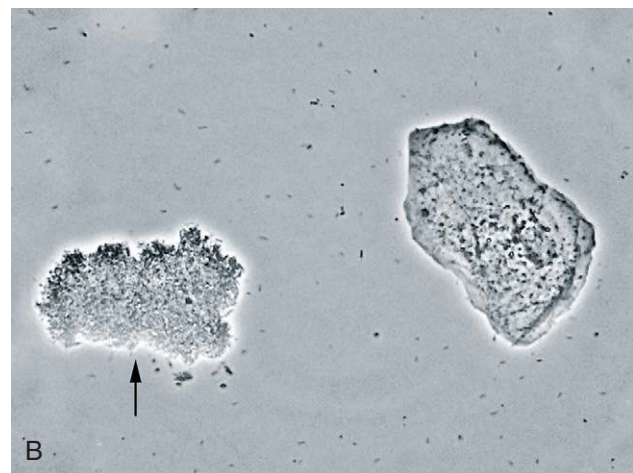
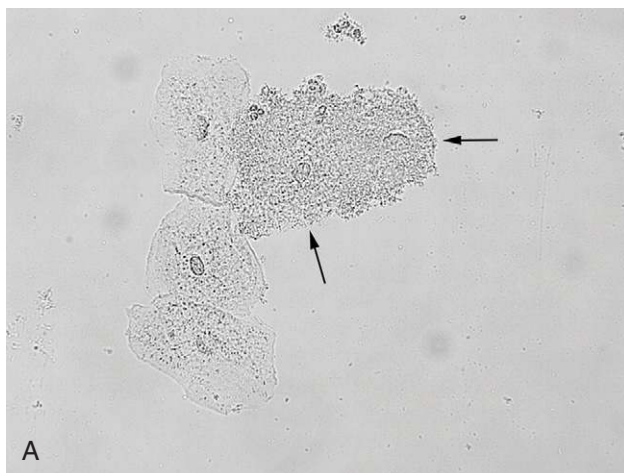
### Parasites

Several parasites, in addition to trichomonads and yeast, can be observed in the urine sediment. The eggs, or ova, of *Enterobius vermicularis* (pinworm) can be found in urine from school-aged children; however, individuals of any age can be infected. The adult female pinworm lays eggs in the area around the rectum; this causes itching. Consequently, the eggs can be present in urine sediment if the specimen is contaminated during collection. Pinworm eggs are characteristically American football-shaped, with one side appearing flatter. They are large, transparent cells (50–60  $\mu\text{m}$  long; 20–30  $\mu\text{m}$  wide), and the developing larvae can be seen inside (Fig. 7.69).

Cysts of *Giardia lamblia* (also known as *Giardia intestinalis* or *Giardia duodenalis*) may be observed in urine sediment as the result of fecal contamination from infected individuals. Giardiasis is most often acquired by drinking contaminated water—from inadequate sanitation of city water supplies or from contaminated freshwater lakes and streams. *Giardia* organisms have also contaminated recreational water sources



**FIG. 7.67** Two squamous epithelial cells stained using Gram stain. One clue cell (arrow); note the numerous Gram-negative bacteria adhering to and covering ~75% of the cell's surface.



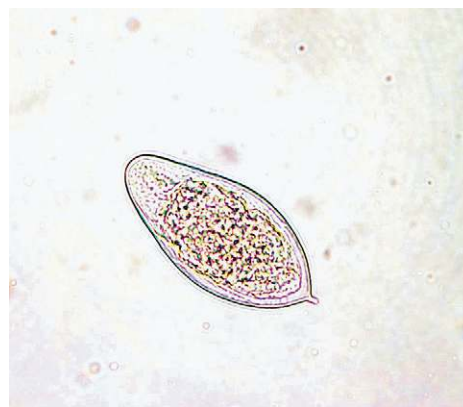
**FIG. 7.68** (A) Five squamous epithelial cells—two are clue cells (arrows). Brightfield,  $\times 200$ . (B) Two squamous epithelial cells—one clue cell (arrow). Phase contrast,  $\times 200$ .

such as swimming pools, hot tubs, and water parks. The cysts are small ovoid cells usually 11 to 14  $\mu\text{m}$  in length, but can range from 8 to 19  $\mu\text{m}$ ; they have smooth, well-defined cell walls (Fig. 7.70). When viewing using brightfield microscopy and high-power ( $\times 400$ ) magnification, the cytoplasm appears to be filled with nuclear material, but distinct nuclei (up to four) usually are not apparent without specific staining.

Finally, the eggs of the blood fluke *Schistosoma haematobium* can be present in urine sediment. Schistosomiasis is endemic in Africa and the Middle East, and is acquired upon exposure to water where infected snails live (e.g., by fishermen, swimmers, workers in irrigation canals). Infections are most often diagnosed when the eggs are found in urine sediment or in biopsies of the bladder, rectum, or vaginal wall. *Schistosoma* eggs are distinctively large (100–170  $\mu\text{m}$  long and 40–70  $\mu\text{m}$  wide) and shaped like an American football with a spike or spine at one end (Fig. 7.71). Be aware that the terminal spine can be very small and is not always evident; therefore, numerous ova should be carefully viewed. Their cell wall is thick but transparent, revealing the larva that fills the interior. Hematuria is often present as well.



**FIG. 7.69** An *Enterobius vermicularis* egg, unstained wet mount. Note its oval shape with a slightly flattened side and the developing larva within. (From Mahon CR, Lehman DC, Manuselis G: *Textbook of diagnostic microbiology*, ed 5, St. Louis, 2015, Saunders.)



**FIG. 7.71** A *Schistosoma haematobium* egg, unstained wet mount. Note the terminal spine on this large, American football-shaped egg. (From Mahon CR, Lehman DC, Manuselis G: *Textbook of diagnostic microbiology*, ed 5, St. Louis, 2015, Saunders.)

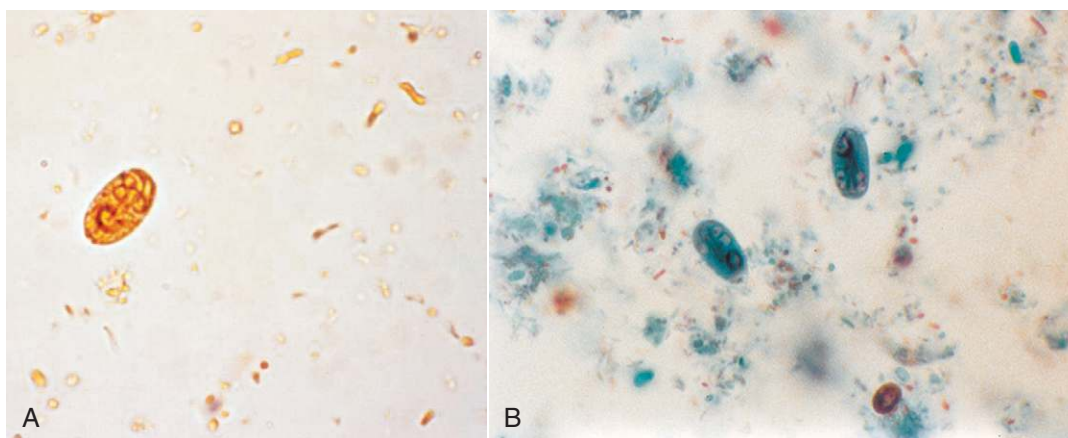
## Miscellaneous Formed Elements

### Mucus

Mucus, a fibrillar protein, commonly appears in urine sediment and has no clinical significance. In unstained urine sediment with brightfield microscopy, mucus can be difficult to observe because of its low refractive index. However, when phase contrast or interference contrast microscopy is used, mucus threads are readily identifiable by their delicate, ribbon-like strands and irregular or serrated ends. Mucus strands appear wavy and can take various forms as they surround other sediment elements. They can be present as distinct strands or as a clumped mass (Fig. 7.72).

Because some mucus has been shown immunohistochemically to contain uromodulin (formerly Tamm-Horsfall protein) and because this protein is produced solely by the renal tubular epithelium, some mucus found in urine is derived at least partially from the renal tubules.<sup>22</sup> The genitourinary tract, particularly the vaginal epithelium, is also a source of the mucus frequently observed in urine sediments from women.

Mucus threads can be misidentified as hyaline casts because of their similar low refractive index and fibrillar



**FIG. 7.70** Cysts of *Giardia lamblia*. (A) A single *G. lamblia* cyst, unstained. (B) Two *G. lamblia* cysts, trichrome stained (A, From Goldman L, Ausiello DA: *Cecil medicine*, ed 23, Philadelphia, 2008, Saunders. B, From Mahon CR, Lehman DC, Manuselis G: *Textbook of diagnostic microbiology*, ed 5, St. Louis, 2015, Saunders.)



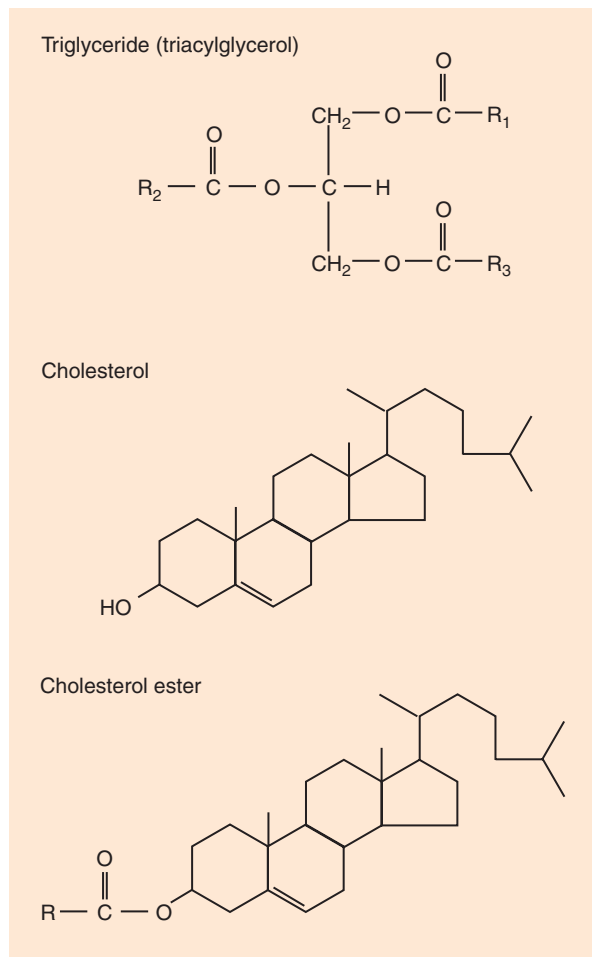
**FIG. 7.72** Mucus. (A) Several mucus threads and two hyaline casts. Phase contrast,  $\times 100$ . (B) A mass of mucus surrounding a fiber (contaminant). Brightfield,  $\times 400$ .

protein structure. The cylindrical form of casts and their rounded ends aid in their differentiation from mucus.

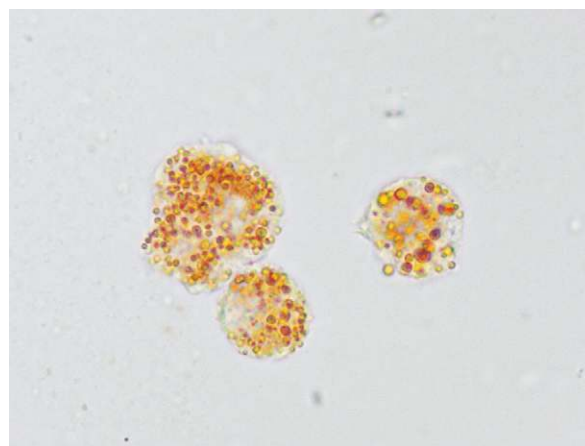
### Fat

Fats or lipids are found in urine sediment in three forms: as free-floating fat droplets or globules, within oval fat bodies (cells with fat droplets), or within a cast matrix as fat droplets or entrapped oval fat bodies. During the microscopic examination, a distinguishing feature of lipids is their high refractility. When using brightfield microscopy, these highly refractive droplets, whether triglyceride or cholesterol, are spherical, vary in size, and, depending on microscope adjustment, can appear colorless to yellow-green or even brownish (see Figs. 7.24 and 7.34).

The type of fat present can vary; often both triglyceride and cholesterol can be demonstrated (Fig. 7.73). Triglyceride, a *neutral fat*, is composed of a glycerol backbone with three fatty acids esterified to it. Adding a Sudan III or an oil red O stain to the urine sediment causes triglyceride droplets to become characteristically orange or red (Fig. 7.74). In contrast, cholesterol and cholesterol esters do not stain but are identified by their characteristic birefringence with polarizing microscopy. Cholesterol droplets produce a distinctive Maltese cross pattern—that is, an orb that appears divided into four quadrants by a bright Maltese-style cross (Fig. 7.75). The quadrants can be symmetric or equal, which



**FIG. 7.73** Chemical structures of triglyceride (triacylglycerol or neutral fat), cholesterol, and cholesterol esters.

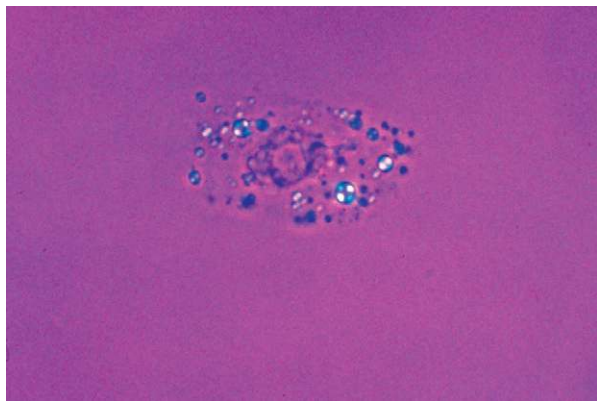


**FIG. 7.74** Three oval fat bodies stained with Sudan III stain. Note the characteristic orange-red staining of neutral fat (triglyceride) droplets. Brightfield,  $\times 400$ .

is usually observed with free floating droplets. Asymmetric or unequal quadrants are typically seen when multiple fat droplets are clustered together.

In urine specimens that contain significant amounts of fat, cholesterol crystals may form as the urine cools or with storage at refrigerator temperature. See the section titled





**FIG. 7.75** Cholesterol droplets demonstrating the characteristic Maltese cross pattern. Polarizing microscopy with first-order red compensator,  $\times 400$ .

“Crystals” later in this chapter for more discussion of the unique features of cholesterol crystals.

**Lipiduria** is always clinically significant, although its presence does not pinpoint a specific diagnosis. Lipiduria is present with a variety of renal diseases and may occur after severe crush injuries. It is a characteristic feature of the nephrotic syndrome, along with severe proteinuria, hypoproteinemia, hyperlipidemia, and edema. Because the nephrotic syndrome can occur with other kidney diseases, as well as with metabolic diseases such as diabetes mellitus, lipids are often encountered in the urine sediment from these patients. In preeclampsia, fat is often present and can persist for several weeks after delivery. Extreme physical exercise (e.g., marathon racing) can also cause fat to appear in the urine sediment.<sup>20</sup> Identifying the presence of lipids in urine sediment and monitoring the level of lipiduria aid health care providers in determining whether a disease process is progressing or resolving.

Lipids most often enter the urine because of adverse changes in the glomerular filtration barriers, which allow the plasma lipids to pass. If large lipid molecules are able to cross into Bowman’s space, so can plasma proteins, most notably albumin. Therefore, lipiduria is always accompanied by some degree of proteinuria. Note, however, that the level of proteinuria in a random urine specimen can be disguised by hydration. In other words, a low reagent strip protein test can be caused by the large amount of water excreted (dilute urine). Because fat is uncommon and easy to overlook, a good laboratory practice is to specifically screen for fat when protein excretion is high (e.g., 300–500 mg/dL or greater). When entities are present in the urine sediment that resemble free fat droplets, oval fat bodies, or fatty casts, their identity can be verified by using either polarizing microscopy (for cholesterol) or fat stains (for neutral fats). Polarizing microscopy is preferred because it is less labor intensive and more economical. Note that detection of either type of fat (cholesterol or neutral fat) is sufficient for confirmation. The amount of fat in the sediment is graded qualitatively (see Table 7.2) for each fat-laden entity present—free-floating droplets, oval fat bodies, and fatty casts.

It is also important to note that urine from men with prostatitis could be contaminated with prostatic fluid.

During prostatitis, prostatic fluid contains many WBCs and macrophages, as well as oval fat bodies (fat-laden macrophages) and free-floating fat droplets.<sup>25</sup> Note that urine contaminated with this type of prostatic fluid could resemble the nephrotic syndrome—lipiduria and proteinuria—and may require investigation. Contamination of urine with normal prostatic fluid may be suspected when numerous fine secretory granules are present, giving the urine a grainy appearance microscopically. These secretory granules vary in size, from fine granules to about half the size of an RBC. In elderly men, prostatic fluid may contain free-floating fat droplets. Therefore, when the finding of urinary fat is unexpected in the urine from a male, it could be the result of prostatic fluid contamination.

Because other entities in urine sediment can resemble fat, it is important to distinguish these look-alike substances. Starch granules form a pseudo-Maltese pattern with polarizing microscopy; however, to an experienced microscopist, they are easily distinguished from fat droplets using brightfield microscopy. Starch granules are highly refractile, tend to have a central dimple, and are not spherical (see Fig. 7.114). The variation in size demonstrated by fat droplets aids in differentiating them from RBCs. In addition, RBCs do not stain with fat dyes and are not birefringent. When a modified Sternheimer-Malbin stain is used, lipids retain their high refractility and yellow-green to gold color, whether free floating, held intracellularly (oval fat bodies), or enmeshed within a cast matrix.

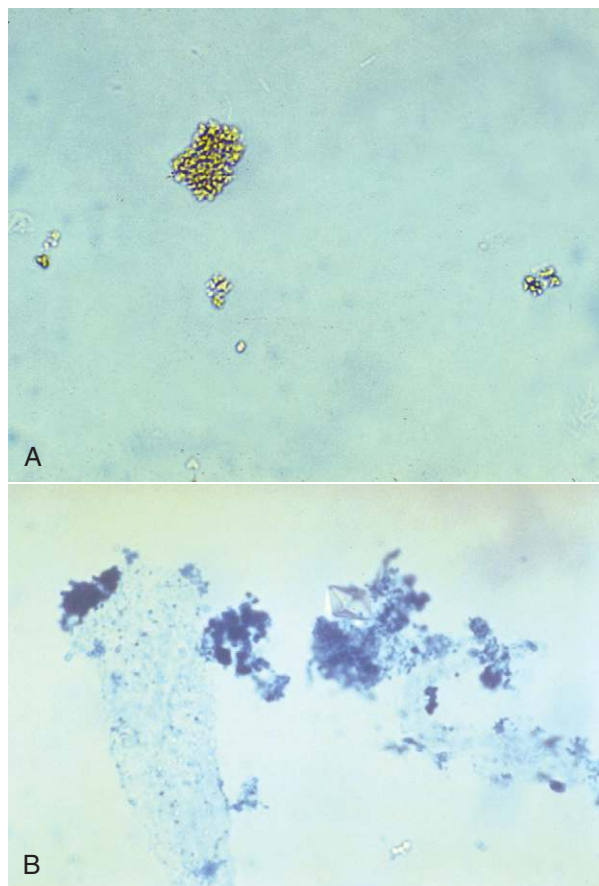
Oils and fats from lubricants, ointments, creams, and lotions can also contaminate urine. They may be introduced during specimen collection from vaginal creams, topical ointments, or catheter lubricants. In the laboratory, immersion oil left on an objective lens can contaminate urine sediment during the microscopic examination. Regardless of the source, these contaminating lipids are often indicated by the lack of associated abnormalities (proteinuria, fatty casts, oval fat bodies) and are identified by (1) their presence only as free-floating droplets, (2) homogeneity, (3) lack of structure, and (4) size (often droplets coalesce to become unusually large).

### Hemosiderin

Hemosiderin is a form of iron that results from ferritin denaturation. These insoluble granules can become large enough to be observed microscopically in urine sediment, especially after they have been stained to a Prussian blue color. Unstained hemosiderin granules appear as coarse yellow-brown granules and are difficult to distinguish from amorphous crystalline material in sediment (Fig. 7.76A).

Hemosiderin granules can be found in urine sediment 2 to 4 days after a severe hemolytic episode (e.g., transfusion reaction, paroxysmal nocturnal hemoglobinuria). In these cases, plasma haptoglobin is saturated with hemoglobin, and any remaining free hemoglobin is able to pass through the glomerular filtration barrier and is absorbed by the renal tubular epithelium. The tubular cells metabolize the hemoglobin to ferritin and subsequently denature it to form hemosiderin. Note that hemoglobin is toxic to cells, and as these cells degenerate, hemosiderin granules appear in the





**FIG. 7.76** (A) Hemosiderin granules floating free in urine sediment. Brightfield,  $\times 400$ . (B) Hemosiderin granules after staining with Prussian blue. Brightfield,  $\times 400$ .

urine. Hemosiderin granules can be present free floating or within macrophages, casts, or tubular epithelial cells.

The Prussian blue reaction is used to identify hemosiderin in urine sediment and in tissues. Initially, a concentrated urine sediment is examined for the presence of coarse yellow-brown hemosiderin granules, free floating or within casts or tubular epithelial cells. The urine sediment is treated at room temperature with potassium ferricyanide-HCl. After treatment, the sediment is reexamined for the presence of coarse Prussian blue-colored granules. The blue color results from the staining of iron in the hemosiderin granules (Fig. 7.76B). For procedural details and performance of the Rous test, see Appendix E, “Manual and Historic Methods of Interest.”

### Sperm

Sperm cells or spermatozoa may be present in urine sediment from males and females. They have oval heads approximately 3 to 5  $\mu\text{m}$  long and thin, thread-like tails about 40 to 60  $\mu\text{m}$  long (Fig. 7.77). A variety of forms may be encountered, and at times sperm may be found in clumps of mucus in the sediment. See Chapter 12 for additional discussion of sperm morphology.

Because urine is not a viable medium for sperm, the presence of motile sperm indicates ejaculation or recent intercourse. In urine from women, sperm are usually considered a vaginal contaminant. It is important to report



**FIG. 7.77** Spermatozoa in urine sediment. One typical and two atypical forms. Phase contrast,  $\times 400$ .

the presence of sperm in urine from females because it could potentially identify sexual abuse in underage vulnerable individuals and those with intellectual or developmental disabilities. This information enables the healthcare provider to appropriately intervene if necessary.

In urine from men, sperm can be present owing to nocturnal emissions, from normal or retrograde ejaculation. Sperm in urine sediment have no clinical significance and are simply reported as present.

### Crystals

**Crystals** result from the precipitation of urine solutes out of solution. They are not normally present in freshly voided urine but form as urine cools to room or refrigerator temperature (depending on storage). When crystals are present in freshly voided urine, they indicate formation in vivo and are always clinically significant. Regardless of the crystal type, crystal formation within the nephrons can cause significant tubular damage. However, most crystals form in the urine after it has been excreted and are not clinically significant. When they are present in large numbers, they can be distracting and can make visualization of important formed elements difficult during the microscopic examination. Some crystals are significant and may indicate a metabolic or pathologic process; therefore it is important that they are correctly identified and reported. Crystals are identified on the basis of their microscopic appearance and the pH at which they are present. The urine pH provides key information to positively identify several look-alike crystals (e.g., amorphous urates from phosphates, ammonium biurate from sulfonamethoxazole).

When the identity of crystals cannot be determined by visual characteristics (e.g., shape, pH, color, birefringence), solubility, and patient history, a sample can be sent to a laboratory capable of performing Fourier transform infrared (FTIR) spectroscopy. This sophisticated technique is able to conclusively determine the chemical composition of crystals.

### Contributing Factors

Several factors influence crystal formation, including (1) the concentration of the solute in the urine, (2) the urine pH, and (3) the flow of urine through the tubules. As the glomerular ultrafiltrate passes through the tubules, solutes within the lumen fluid are concentrated. If an increased amount of a solute is present because of dehydration, dietary excess, or medications, the ultrafiltrate can become supersaturated. This can result in precipitation of the solute into its characteristic crystalline form. Because solutes differ in their solubility, this characteristic provides a means of identifying and differentiating them. For example, inorganic salts such as oxalate, phosphate, calcium, ammonium, and magnesium are less soluble in neutral or alkaline urine (pH >6). As a result, when the urine pH becomes neutral or alkaline, these solutes can precipitate out in their crystalline form. In contrast, organic solutes such as uric acid, bilirubin, and cystine are less soluble in acidic conditions and can form crystals in acidic urine (pH <6). Most clinically significant crystals (e.g., cystine, tyrosine, leucine) are found in acidic urine, including many drugs associated with **crystalluria**.

Crystal formation, similar to cast formation, is enhanced by slow urine flow through the renal tubules as well as by pH. Flow reduction allows time for maximum concentration of solutes in the ultrafiltrate, while small pH changes can cause the solute to crystallize. For example, at a pH of 5.0, uric acid will crystallize at a concentration of about 2 mmol/L, whereas at a pH of 5.9 to 6.0 a concentration of  $\geq 4$  mmol/L is required for crystallization.<sup>26</sup> In other words, as the specific gravity of the ultrafiltrate increases, the possibility of crystal formation also increases. In summary, when the pH and solute concentration become optimal, crystals can form.

Although these factors account for crystal formation within the renal tubules, they are also involved in the development of crystals during urine storage. The solute concentration, the pH, the time allowed for formation, as well as temperature play a role in crystal formation. When these conditions are optimized, the chemicals in urine can exceed their solubility and precipitate in their uniquely characteristic crystalline or amorphous forms.

The following section discusses normal and abnormal crystals and loosely categorizes them according to the pH at which they typically form. Crystals are routinely reported as few, moderate, or many under high-power magnification. The characteristics and clinical significance of crystals formed from normal urine solutes are provided in Table 7.11, those from abnormal solutes in Table 7.12, and those from drugs in Table 7.13. Because the feature most notable when viewing crystals microscopically is shape, Table 7.16 located at the end of this chapter arranges crystals into categories based on shape to enhance rapid identification.

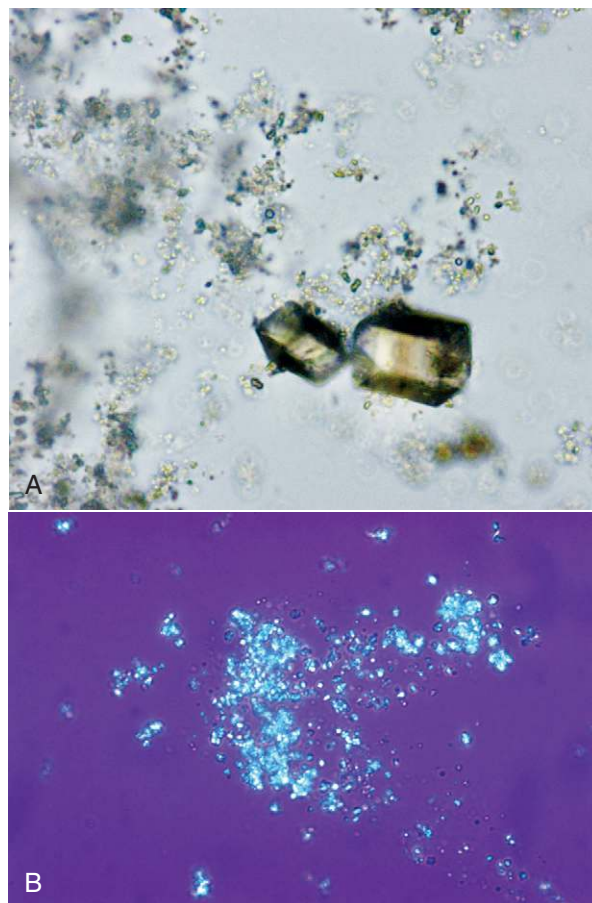
### Acidic Urine

**Amorphous Urates.** When the urine pH is between 5.7 and 7.0, uric acid exists in its ionized form as a urate salt. Some of these urate salts (sodium, potassium, magnesium, and calcium) can precipitate in amorphous form, that is,

*without* distinct shapes or form. Microscopically, these precipitates appear as small, yellow-brown granules (Fig. 7.78), much like sand, and they can interfere with the visualization of other formed elements present in the urine sediment. Because refrigeration enhances precipitation, performance of the microscopic examination on fresh urine specimens often avoids the formation of amorphous urates. The urinary pigment uroerythrin readily deposits on the surfaces of urate crystals, imparting to them a characteristic pink-orange color. This coloration is apparent macroscopically during the physical examination. Often referred to as “brick dust,” urate crystals indicate that the urine is acidic.

Amorphous urates are present in acidic (or neutral) urine specimens. They can be identified by their solubility in alkali or their dissolution when heated to approximately 60°C. If concentrated acetic acid is added and time allowed, amorphous urates will convert to uric acid crystals. Amorphous urates have no clinical significance and are distinguished from amorphous phosphates on the basis of urine pH, their macroscopic appearance, and their solubility characteristics.

**Monosodium Urate.** Monosodium urate crystals, a distinct form of a uric acid salt, appear as colorless to light yellow slender, pencil-like prisms (Fig. 7.79). They may be present singly or in small clusters, and their ends are not pointed. In this author’s experience, they appear frequently in urine from



**FIG. 7.78** Amorphous urates. (A) Two uric acid crystals are also present. Brightfield,  $\times 400$ . (B) Polarizing microscopy,  $\times 400$ .

TABLE 7.11 Crystals of Normal Urine Solutes Arranged According to pH

Solute	pH	Color	Microscopic Appearance	Solubility	Birefringence	Comments and Clinical Significance
Uric acid	<6 (6–6.5)	Colorless to yellow to golden-brown	Pleomorphic; often flat, diamond, or rhombic; cubic and barrel forms; atypical—hexagon, elongated hexagons; can layer and form rosettes; color varies with thickness	<ul style="list-style-type: none"> <li>Alkali</li> <li>Dissolve at <math>\approx 60^{\circ}\text{C}</math></li> </ul>	S, P	Increased excretion after chemotherapy and gout
Amorphous urates (Ca, Mg, Na, K)	<6	Colorless to yellow-brown	Amorphous granules (sand-like)	<ul style="list-style-type: none"> <li>Alkali</li> <li>Dissolve at <math>\approx 60^{\circ}\text{C}</math></li> </ul>	S	Common; macroscopic appearance—orange-pink precipitate (“brick dust”); converts to uric acid with glacial acetic acid addition
Monosodium urates	<6	Colorless to light yellow	Slender, pencil-like prisms with blunt ends; often in small clusters of 2–3 crystals	<ul style="list-style-type: none"> <li>Alkali</li> <li>Dissolve at <math>\approx 60^{\circ}\text{C}</math></li> </ul>	S	Uncommon in adults; frequently seen in urine from infants
Hippuric acid	<6	Colorless	Elongated hexagons	<ul style="list-style-type: none"> <li>Alcohol</li> <li>Ether</li> </ul>	S	Rare
Calcium oxalate	<6–7.5	Colorless	Dihydrate; bi-pyramidal prisms (8-sided, envelope form) or dodecahedral (12-sided form)	<ul style="list-style-type: none"> <li>HCl</li> <li>NaOH</li> <li><math>\text{HNO}_3</math></li> </ul>	W	Common
			Monohydrate: oval forms, dumbbell (sideview); elongated hexagons; elongated diamond/rhomboid (spindles)		S	Small oval forms resemble RBCs; Elongated hexagons or elongated diamonds predominate following ethylene glycol ingestion
Calcium phosphate	>6	Colorless	Dibasic ( $\text{Ca} [\text{HPO}_4]_2$ ): thin slat-like prisms in rosette or stellar form; single prisms have one tapered end; long, thin needles (atypical)	<ul style="list-style-type: none"> <li>HCl</li> <li>Acetic acid</li> </ul>	W	Common
			Monobasic ( $\text{Ca} [\text{H}_2\text{PO}_4]_2$ ): irregular, granular-appearing sheets or plates		N	Uncommon
Amorphous phosphate (Ca, Mg)	>6	Colorless	Amorphous granules (sand-like)	<ul style="list-style-type: none"> <li>Acetic acid</li> </ul>	N	Common; macroscopic appearance—white to beige precipitate
Calcium carbonate	>6	Colorless	Tiny granular spheres in pairs (dumbbells) or tetrads	<ul style="list-style-type: none"> <li>Acetic acid, produces <math>\text{CO}_2</math> gas (effervescence)</li> </ul>	S	Uncommon
Triple phosphate ( $\text{NH}_4^+$ , Mg, $\text{PO}_4^-$ )	>6	Colorless	Prisms with three to six sides (“coffin lids”); trapezoids, elongated prisms or chunks. Atypical—flat, ‘X-shaped’ (dissolving prisms) or feathery forms	<ul style="list-style-type: none"> <li>HCl</li> <li>Acetic acid</li> </ul>	W to S	Common ‘X-shaped’ form induced by ammonia
Ammonium biurate	>7.5 (6–7.5)	Dark yellow-brown	Spheres or globules with striations or irregular creases; with or without spicules (“thorny apple”)	<ul style="list-style-type: none"> <li>HCl</li> <li>Acetic acid</li> <li>Dissolve at <math>\approx 60^{\circ}\text{C}</math></li> </ul>	S	Rare in fresh urine; iatrogenic <sup>a</sup> alkalization can induce; common in old urine; converts to uric acid with glacial acetic acid addition – Resemble sulfamethoxazole

M, Moderate; P, polychromatic; pMC, forms pseudo-Maltese cross pattern; S, strong; SG, specific gravity; W, weak.





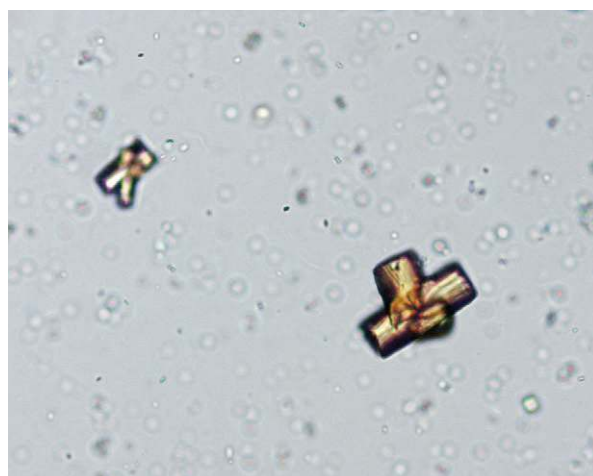
**FIG. 7.79** Monosodium urate crystals. Brightfield,  $\times 400$ .



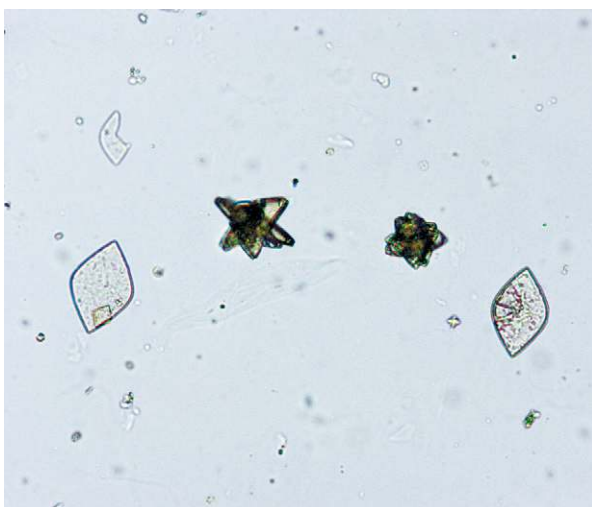
**FIG. 7.82** Uric acid crystals. Less common barrel forms. Brightfield,  $\times 200$ .



**FIG. 7.80** Uric acid crystals (diamond-shaped) and a few calcium oxalate crystals. Note the darker coloration as the crystals layer and thicken. Brightfield,  $\times 200$ .



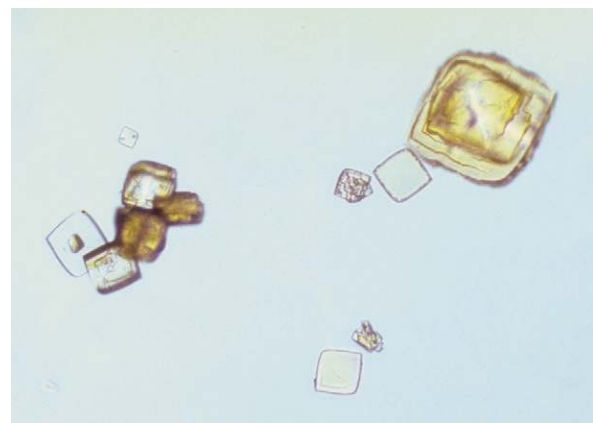
**FIG. 7.83** Uric acid crystals. Barrel form. Brightfield,  $\times 200$ .



**FIG. 7.81** Uric acid crystals. Single and cluster forms. Brightfield,  $\times 200$ .

infants. Monosodium urate crystals can be present when the urine pH is acid and dissolve at  $60^{\circ}\text{C}$ . They have no clinical significance and usually are reported as “urate crystals.”

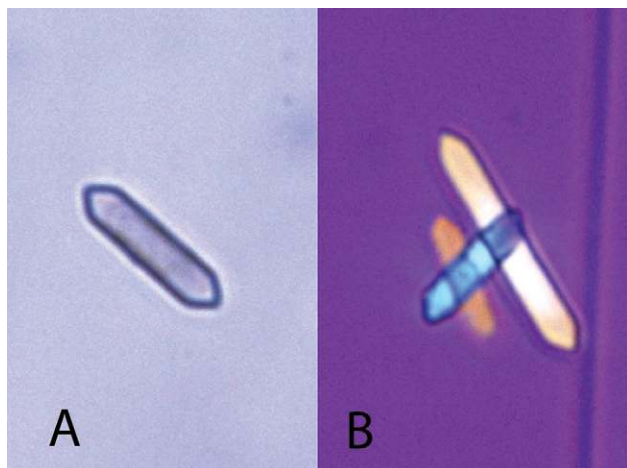
**Uric Acid.** Uric acid crystals occur in many forms; the most common are rhombic or diamond shapes (Figs. 7.80



**FIG. 7.84** Uric acid crystals. These crystals can layer or laminate on top of one another. Brightfield,  $\times 100$ .

and 7.81). However, the crystals may appear as cubes, barrels, or bands and may cluster together to form rosettes (Figs. 7.82 and 7.83); they often show layers or laminations on their surfaces (Fig. 7.84). Although they present most often in various forms with four sides, they occasionally have six sides (hexagons), which may require differentiation from colorless





**FIG. 7.85** Uncommon, elongated hexagon form of uric acid crystals, verified by Fourier transform infrared. (A) Brightfield,  $\times 400$ . (B) Polarizing microscopy with first-order red compensator,  $\times 400$ . (Courtesy of Michel Daudon, Service d'Explorations Fonctionnelles, Hôpital Tenon in Paris, France.)

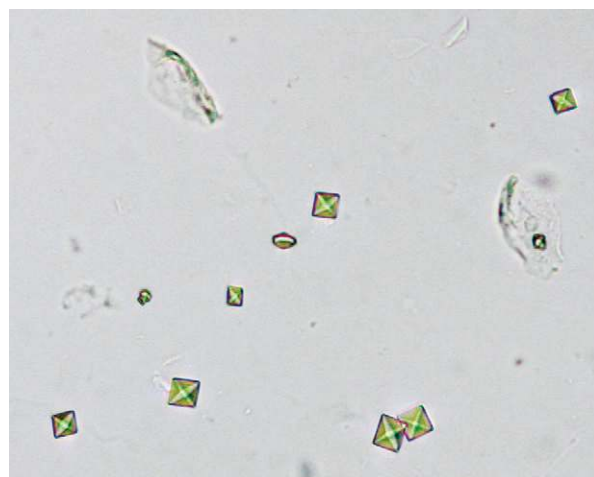
cystine crystals. When these hexagons are elongated (Fig. 7.85), they must be differentiated from a similar atypical form of calcium oxalate monohydrate crystals, which can be done using color, pH, and solubility characteristics (see Table 7.11).

Uric acid crystals are yellow to golden-brown, and the intensity of their color varies directly with the thickness of the crystal. As a result, crystals may appear colorless when they are thin or when the urine is low in uroerythrin (a urine pigment). With the use of polarizing microscopy, uric acid crystals exhibit strong birefringence and produce a variety of interference colors.

Uric acid crystals are usually present when the urine pH is less than 5.7. However, when the urine concentration of uric acid is high ( $\geq 4$  mmol/L), crystals can form at pH 6.0–6.5. Above pH 5.7, uric acid is usually in its ionized form as a urate and will form urate salts (e.g., amorphous urates, sodium urate). Note that uric acid crystals are 17 times less soluble than urate salt crystals; therefore, when urine with uric acid crystals is adjusted to an alkaline pH, the crystals readily dissolve. Similarly, if urine with urate salt crystals is acidified adequately, uric acid crystals form and an interesting feature is that the shape produced is unpredictable.

Uric acid is a normal urine solute that originates from the catabolism of purine nucleosides (adenosine and guanosine from RNA and DNA). Hence uric acid crystals can appear in urine from healthy individuals. Increased amounts of urinary uric acid can be present after the administration of cytotoxic drugs (e.g., chemotherapeutic agents) and with gout. With these conditions, if the urine pH is appropriately acid, large numbers of uric acid crystals can be present.

**Calcium Oxalate.** The most common shape of calcium oxalate crystals is the dihydrate form (also known as *Weddellite* form). It is an eight-sided bi-pyramidal structure (Fig. 7.86) that consists of two square-based pyramids joined at their bases. When viewed from one end, they appear as



**FIG. 7.86** Calcium oxalate dihydrate crystals (*Weddellite*). Common bi-pyramidal (eight-sided) or 'envelope' forms. Brightfield,  $\times 200$ .

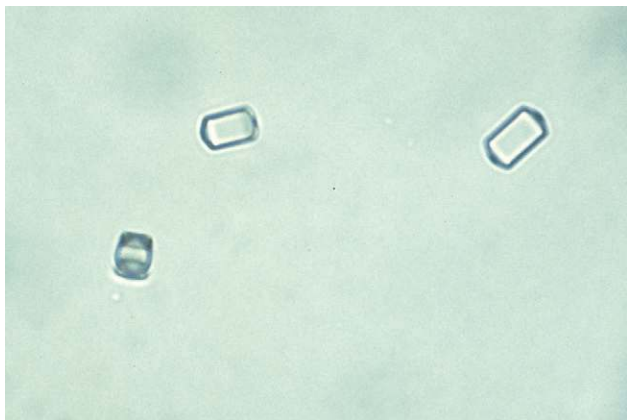
squares scribed with lines that intersect in the center; hence they are sometimes called 'envelope' crystals. A form of dihydrate crystal that is less common is the 12-faced dodecahedral form (Fig. 7.87).

In contrast, the most common form of calcium oxalate monohydrate (*Whewellite* form) crystals is ovoid or dumb-bell-shaped when viewed from the side (Figs. 7.88 and 7.89). These crystals can vary in size, and when small they resemble RBCs but are more refractile. Under polarizing microscopy, monohydrate crystals demonstrate strong birefringence (see Fig. 7.90). Another monohydrate form are atypical ovals that appear flatter (Fig. 7.91). Note that it is common to see calcium oxalate crystals in several forms in a single urine specimen, that is, both monohydrate and dihydrate forms (see Figs. 7.88 and 7.90).

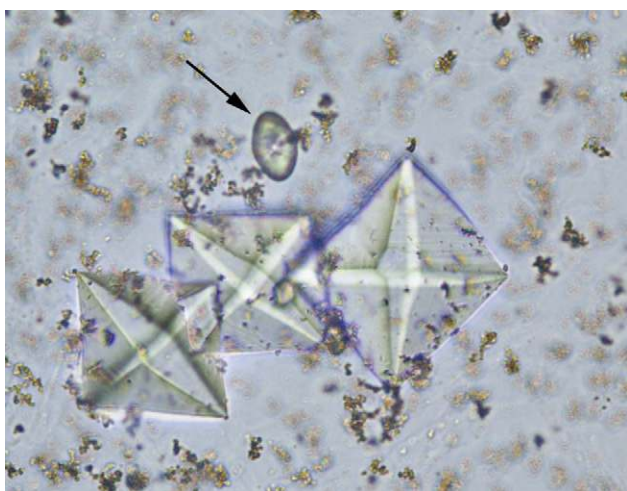
Two additional forms of monohydrate crystals are associated with the ingestion of ethylene glycol (antifreeze), which is an oxalate precursor. One type is the spindle, rhomboid, or elongated diamond form (Fig. 7.92A); the other form is that of elongated (thin) hexagons, which may develop buds or layers from its surface (Fig. 7.92B)<sup>26,27</sup>. These crystals can appear singly, in clumps, or arranged as rosettes. Note that it can be a challenge to differentiate this elongated hexagon form of calcium oxalate from similar-looking uric acid crystals (compare Fig. 7.92B with Fig. 7.85). When solubility characteristics and birefringence are unable to determine identity, FTIR microspectrometry can be used to reveal a crystals composition.

Calcium oxalate crystals are colorless and can vary significantly in size. Usually the crystals are small and require high-power magnification for identification. On occasion, the crystals may be large enough to be identified under low-power magnification. Calcium oxalate crystals may cluster together and can stick to mucus threads. When this occurs, they may be mistaken for crystal casts by an inexperienced microscopist.

Calcium oxalate crystals are the most frequently observed crystals in human urine, in part because they can form in



**FIG. 7.87** Calcium oxalate dihydrate crystals (*Weddellite*). Three uncommon dodecahedral (12-sided) crystals. Brightfield,  $\times 400$ .

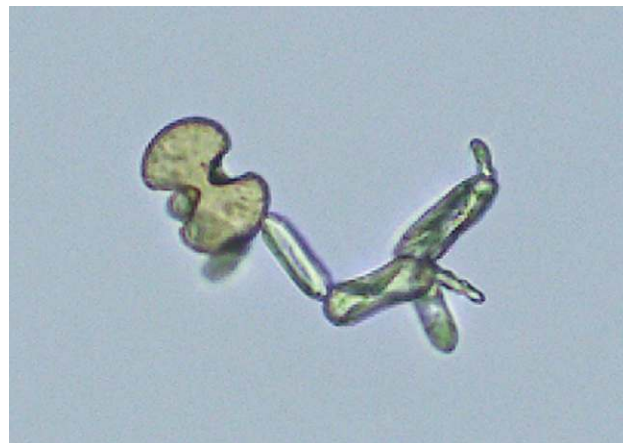


**FIG. 7.88** Calcium oxalate crystals. Three dihydrate bi-pyramidal forms and one monohydrate ovoid form (arrow) amongst amorphous urates. Brightfield,  $\times 400$ .

urine of any pH. Calcium and oxalate are solutes normally found in the urine of healthy individuals. Approximately 50% of the oxalate typically present in urine is derived from ascorbic acid (vitamin C), an oxalate precursor (see Fig. 6.9) or from oxalic acid. Foodstuffs high in oxalic acid or ascorbic acid include vegetables (rhubarb, tomatoes, asparagus, spinach) and citrus fruits. Beverages that are high in oxalic acid include cocoa, tea, coffee, and chocolate. Lastly, ingestion of ethylene glycol (accidental or intentional) is metabolized to oxalic acid (oxalate) for excretion.

As urine forms in the renal tubules, oxalate ions in the ultrafiltrate associate with calcium ions to become calcium oxalate. When the calcium to oxalate ratio in the urine is high, it favors formation of dihydrate crystals.<sup>28</sup> With optimal conditions (i.e., solute concentrations, pH, temperature), calcium oxalate precipitates in a crystalline form, which may also be observed with other crystals, predominantly urates or uric acid.

**Hippuric Acid.** Hippuric acid (*N*-benzoylglycine) crystals in urine are extremely rare and have no clinical significance.



**FIG. 7.89** Monohydrate calcium oxalate crystals (*Whewellite*). Two dumbbell or hourglass forms, which are the 'side view' of ovoid crystals. Possibly some small, elongated hexagon forms as well. Bilirubin staining of some crystals is apparent. Brightfield,  $\times 400$ .

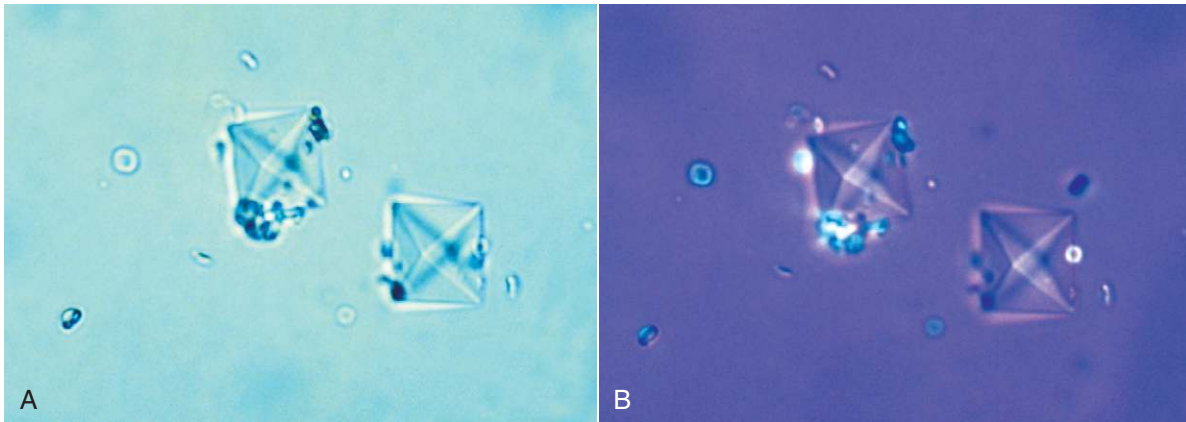
Hippuric acid is a normal solute in urine, reflecting the dietary intake of phenolic compounds, such as fruits, vegetables, coffee, tea, and wine. Dietary phenols are metabolized to benzoic acid, which is then converted to hippuric acid and is excreted in the urine. Note that benzoic acid itself is also used as a food additive, preservative, as well as in making artificial flavors. Despite high urine concentrations reported in the literature, no scholarly articles or studies report the finding of hippuric acid crystals.

Crystals reported as hippuric acid are colorless with the shape of an elongated hexagon similar to atypical forms of calcium oxalate monohydrate and uric acid (see Figs. 7.85 and 7.92). Hippuric acid crystals have historically been associated with 'glue sniffing' or inhalant abuse (i.e., the inhaling of toluene-based substances such as glues, paints, or solvents). However, a literature review does not support this association. Despite urinary excretion of very high levels of hippuric acid (e.g., 257 mmol/L), crystalluria is not reported.<sup>29</sup> In fact, glue sniffers primarily develop distal renal tubular acidosis *without* any associated crystalluria. Note that in cases of glue sniffers with renal calculi formation, the stone composition is reported to be predominantly calcium oxalate or calcium phosphate.<sup>30,31</sup> Consequently, this author suspects that crystals identified historically as hippuric acid, were actually the elongated hexagon form of monohydrate calcium oxalate. Today, crystal composition can be confirmed using FTIR spectroscopy, which in the days when hippuric acid crystals were reported, this technology was not available or not used. In conclusion, the association of inhalant abuse (glue sniffing) with the formation of hippuric acid crystals is unsubstantiated and should not be propagated. Note that further studies are needed to confirm or refute this view.

### Alkaline Urine

**Amorphous Phosphate.** Amorphous phosphates are found in alkaline and neutral (pH >6) urine and are microscopically indistinguishable from amorphous urates. This noncrystalline form of phosphates resembles fine, colorless

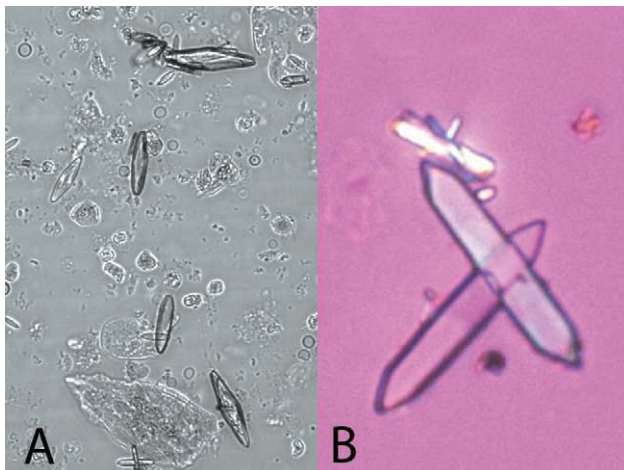




**FIG. 7.90** Calcium oxalate crystals. Small ovoid monohydrate (whewellite) crystals that resemble erythrocytes, and two large typical envelope forms of dihydrate (weddelite) crystals. (A) Brightfield,  $\times 400$ . (B) Polarizing microscopy,  $\times 400$ . The strong birefringence of the small ovoid monohydrate crystals helps distinguish them from erythrocytes. Note that the dihydrate crystals are only weakly birefringent.



**FIG. 7.91** Calcium oxalate crystals. Atypical ovoid form that appear flatter. Brightfield,  $\times 400$ .



**FIG. 7.92** Monohydrate calcium oxalate crystals (*Whewellite*), verified by Fourier transform infrared spectroscopy. (A) Elongated diamond or spindle shape. Brightfield microscopy,  $\times 400$ . (B) Elongated hexagon forms. Polarizing microscopy with first-order red compensator,  $\times 400$ . (Courtesy of Michel Daudon, Service d'Explorations Fonctionnelles, Hôpital Tenon in Paris, France.)

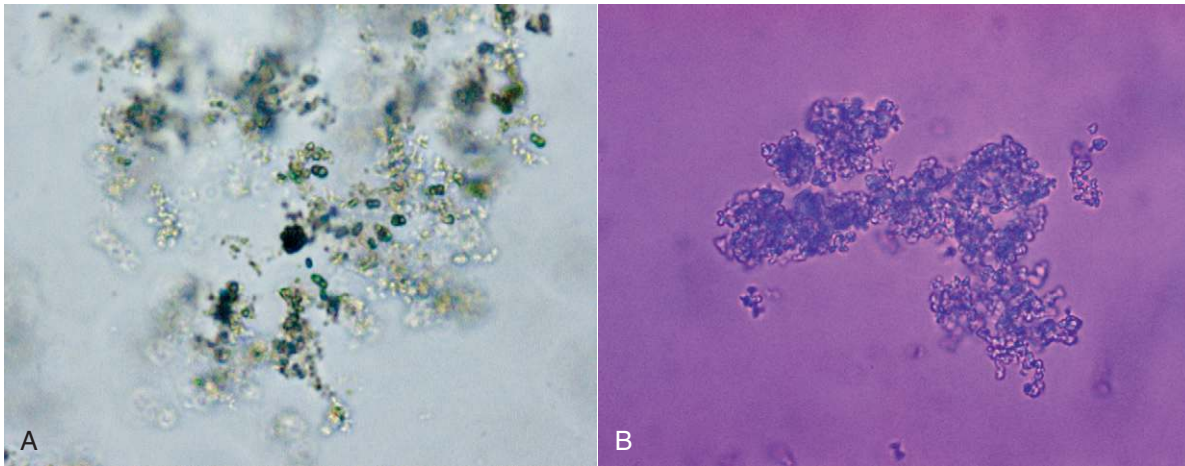
grains of sand in the sediment (Fig. 7.93). Amorphous phosphates are differentiated from amorphous urates on the basis of urine pH, their solubility characteristics, and, to a lesser degree, their macroscopic appearance. Large quantities of amorphous phosphates cause a urine specimen to appear cloudy; the precipitate is white or gray, in contrast to the pink-orange color of amorphous urates. Unlike urates, amorphous phosphates are soluble in acid and do not dissolve when heated to approximately  $60^{\circ}\text{C}$ .

Similar to amorphous urates, amorphous phosphates have no clinical significance and can make the microscopic examination difficult when a large quantity is present. Because refrigeration enhances their deposition, specimens maintained at room temperature and analyzed within 2 hours of collection minimize amorphous phosphate formation.

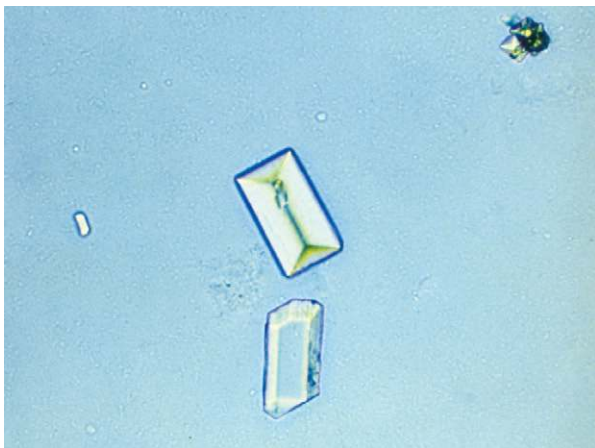
**Triple Phosphate.** Triple phosphate ( $\text{NH}_4\text{MgPO}_4$ , ammonium magnesium phosphate) crystals are colorless and appear in several different forms. The most common and characteristic forms are three- to six-sided prisms with oblique terminal surfaces, the latter described as “coffin lids” (Fig. 7.94). Not all crystals are perfectly formed, and their size can vary greatly. Other common shapes include trapezoids, elongated prisms (see Urine Sediment Image Gallery, Fig. 53), and an atypical fern or feathery form. With prolonged storage these crystals can dissolve, taking on a X-shape (see Urine Sediment Image Gallery, Figs. 54 and 55). Under polarizing microscopy, triple phosphate crystals range from weakly to strongly birefringent.

Among the crystals observed in alkaline urine, triple phosphate crystals are common. Note that they can also be present in neutral urine ( $\text{pH} > 6$ ). Because ammonium magnesium phosphate is a normal urine solute, triple phosphate crystals can be present in urine from healthy individuals. Triple phosphate crystals have little clinical significance but have been associated with UTIs characterized by an alkaline pH and have been implicated in the formation of renal calculi.

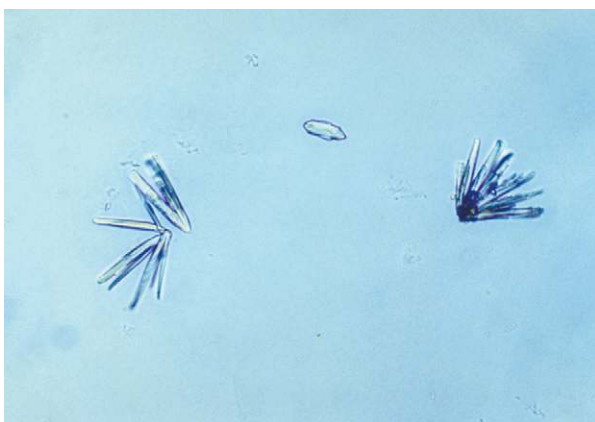
**Calcium Phosphate.** Calcium phosphate is present in urine as dibasic calcium phosphate (i.e.,  $\text{CaHPO}_4$ , calcium monohydrogen phosphate) and as monobasic calcium phosphate (i.e.,  $\text{Ca}[\text{H}_2\text{PO}_4]_2$ , calcium biphosphate). These similar yet



**FIG. 7.93** Amorphous phosphates. Note the lack of birefringence under polarizing microscopy. (A) Brightfield microscopy,  $\times 400$ . (B) Polarizing microscopy with first-order red compensator,  $\times 400$ .



**FIG. 7.94** Triple phosphate crystals. Typical “coffin lid” form. Brightfield,  $\times 100$ .



**FIG. 7.95** Calcium phosphate crystals. Prisms are arranged singly and in rosette forms. Brightfield,  $\times 100$ .

different compounds precipitate out of solution in distinctly different crystalline shapes. Dibasic calcium phosphate crystals, sometimes called *stellar phosphates*, appear as colorless, slat-like prisms arranged in small groupings or in a rosette pattern (Fig. 7.95). Each prism has one tapered or pointed end, with the other end squared off. Another, less common,



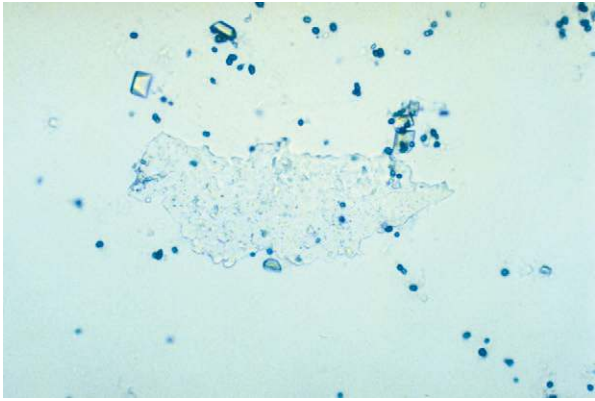
**FIG. 7.96** Calcium phosphate crystals. Uncommon slender needles arranged in bundles or sheaves. Other crystals present in background include ammonium biurate, and calcium carbonate. Brightfield,  $\times 400$ .

form of dibasic calcium phosphate crystals are thin, long needles arranged in bundles or sheaves (Fig. 7.96). In contrast, monobasic calcium phosphate crystals usually appear microscopically as irregular granular sheets (Fig. 7.97) or flat plates that can be large and may even be noticed floating on the top of a urine specimen. These colorless crystalline sheets can resemble large degenerating squamous epithelial cells.

Calcium phosphate crystals are classified as alkaline crystals because they are present in neutral or alkaline urine ( $\text{pH} > 6$ ). The calcium phosphate prism forms (dibasic) are weakly birefringent with polarizing microscopy, whereas calcium phosphate plates (monobasic) are not birefringent. Calcium phosphate crystals are common and have no clinical significance.

**Ammonium Biurate.** Ammonium biurate crystals appear as yellow-brown spheres with striations or irregular creases on the surface (Fig. 7.98). Irregular projections or spicules (thorns) can also be present, giving these crystals a “thorny apple” appearance. They are predominantly seen in alkaline urine ( $\text{pH} > 7.5$ ), but can form in neutral urine ( $\text{pH} > 6$ ).





**FIG. 7.97** Calcium phosphate sheet or plate. Brightfield,  $\times 100$ .



**FIG. 7.98** Ammonium biurate crystals. Spheres and a “thorny apple” form. Brightfield,  $\times 200$ .

Ammonium biurate is a normal urine solute and crystals occur most frequently in urine specimens that have undergone prolonged storage. However, when they precipitate out of solution in fresh urine specimens (e.g., after iatrogenically induced alkalinization) they are clinically significant, because in vivo precipitation can cause renal tubular damage. Their presence most often indicates inadequate hydration of the patient. Therefore when ammonium biurate crystals are encountered in a urine specimen, investigation is required to determine whether (1) the integrity of the urine specimen has been compromised (improper storage) or (2) in vivo formation is taking place.

Ammonium biurate crystals are strongly birefringent and dissolve in acid or on heating to approximately  $60^{\circ}\text{C}$ . Similar to other urate salts (amorphous urates, acid urates), ammonium biurate crystals can be converted to uric acid crystals with the addition of concentrated hydrochloric or acetic acid.

An important note is that ammonium biurate crystals can resemble some forms of sulfonamide drug crystals. One differentiates between them on the basis of urine pH, a sulfonamide confirmatory test, and the solubility characteristics of the crystals.

**Calcium Carbonate.** Calcium carbonate crystals appear as tiny, colorless granular crystals (Fig. 7.99A). Slightly larger than amorphous material, these crystals sometimes are misidentified as bacteria because of their size and occasional rod

shape. Under polarizing microscopy, they are strongly birefringent, which aids in differentiating them from bacteria that are not birefringent. Calcium carbonate crystals are usually found in pairs, giving them a dumbbell shape, or as tetrads. They may also be encountered as aggregate masses that are difficult to distinguish from amorphous material (Fig. 7.99B).

Present primarily in alkaline urine ( $\text{pH} > 6$ ), calcium carbonate crystals are not found frequently in the urine sediment. Although they have no clinical significance, one can identify calcium carbonate crystals positively through the production of carbon dioxide gas (effervescence) with the addition of acetic acid to the sediment.

### Crystals of Metabolic Origin

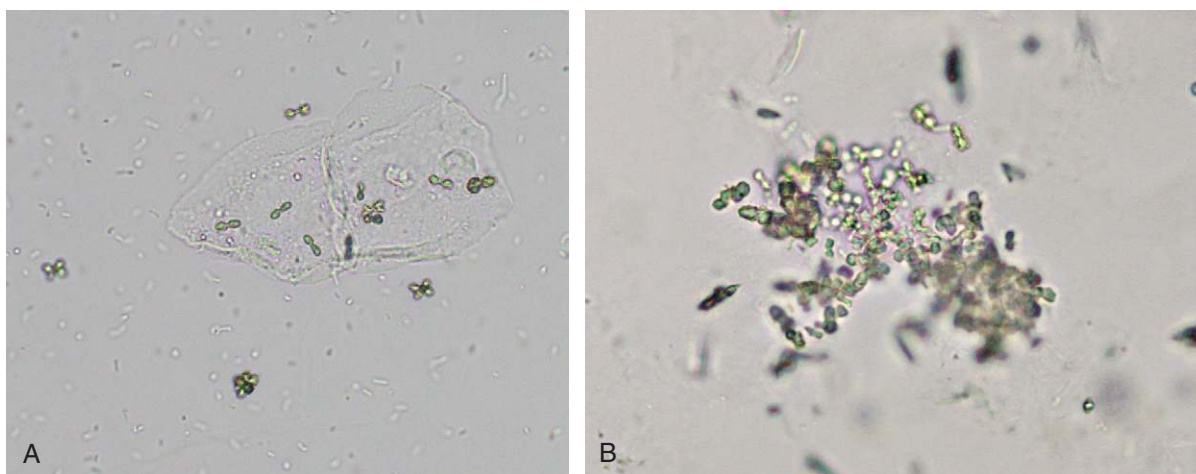
**Bilirubin.** The amount of bilirubin in urine can be so great that on refrigeration, its solubility is exceeded and bilirubin crystals precipitate out of solution (Fig. 7.100). Bilirubin crystals usually appear as small clusters of fine needles ( $20\text{--}30\ \mu\text{m}$  in diameter), but granules and plates have been reported. Always characteristically golden yellow, these crystals indicate the presence of large amounts of bilirubin in the urine. Bilirubin crystals are confirmed by correlation with the chemical examination—that is, the crystals can be present only if the chemical screen for bilirubin is positive.

Bilirubin crystals only form in an acidic urine. They dissolve when alkali or strong acids are added. They are classified as abnormal crystals because bilirubinuria indicates a metabolic disease process. However, because these crystals form in the urine after excretion and cooling (i.e., storage), they are not frequently observed and they are not usually reported.

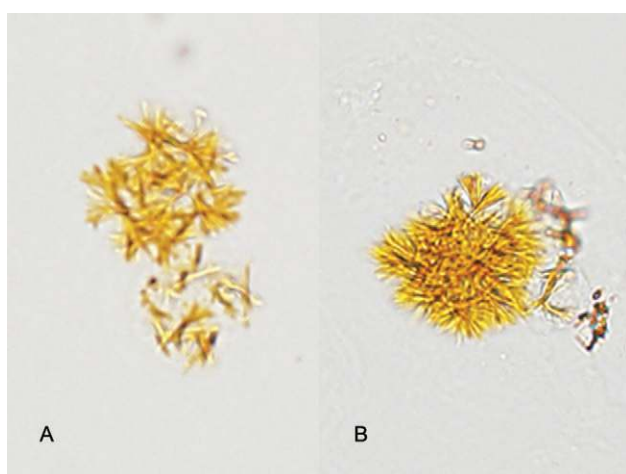
**Cystine.** Cystine crystals appear as colorless, hexagonal plates with sides that are not always even (Fig. 7.101). These clear, refractile crystals are often laminated or layered and tend to clump.

Cystine crystals are clinically significant and indicate disease—that is, hereditary cystinosis or cystinuria. These crystals tend to deposit within the tubules as calculi, resulting in renal damage; therefore, proper identification is important. Thin, hexagonal uric acid crystals can resemble cystine; therefore, a confirmatory test should be performed before cystine crystals are reported. The chemical confirmatory test for cystine is based on the cyanide-nitroprusside reaction. Sodium cyanide reduces cystine to cysteine, and the free sulfhydryl groups subsequently react with nitroprusside to form a characteristic red-purple color. For procedural details and performance, see Appendix E, “Manual and Historic Methods of Interest.”

Cystine crystals are present primarily in acid urine ( $\text{pH} < 6$ ) but can be present in urine up to a pH of  $\sim 8.3$  ( $\text{pK}_a$  of cystine). In vivo, the solubility of cystine rises exponentially with urine pH (i.e., it is almost four times more soluble at pH 8 than at pH 5).<sup>29</sup> They dissolve in alkali or hydrochloric acid ( $\text{pH} < 2$ ) and are weakly to moderately birefringent depending on the thickness or layering of the crystals. In summary, when cystine excretion is excessive and crystals form in the urine, it is abnormal and indicates a disease process.



**FIG. 7.99** Calcium carbonate. (A) Numerous single crystals. Brightfield,  $\times 400$ . (B) Aggregate of calcium carbonate crystals. Brightfield,  $\times 400$ .



**FIG. 7.100** Bilirubin crystals. (A) Single needles and small bundles or clusters. (B) One large “sphere with spicules.” Brightfield,  $\times 400$ .

**Tyrosine and Leucine.** Tyrosine crystals appear as fine, delicate needles that are colorless or yellow (Fig. 7.102). They frequently aggregate to form clusters, bundles, or sheaves but may also appear singly or in small groups.

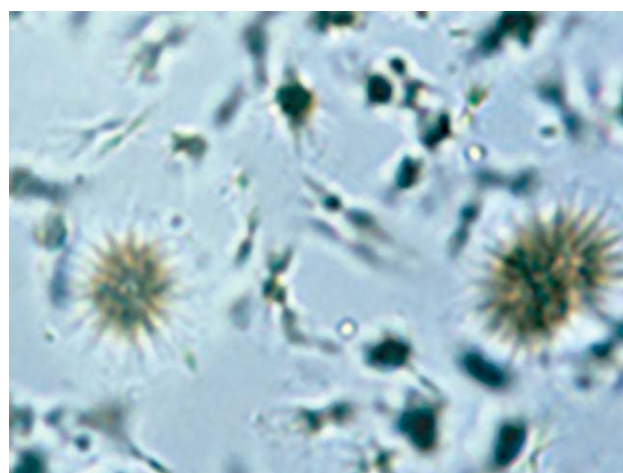
Leucine crystals are highly refractile, yellow to brown spheres. They have concentric circles on their surface and may also have radial striations (Fig. 7.103). They are birefringent under polarized microscopy, producing a pseudo-Maltese cross pattern (i.e., pattern with unequal or asymmetric quadrants, see Chapter 18, Table 18.1).

Tyrosine and leucine crystals form in acid urine (pH  $< 6$ ) and dissolve in alkali. They are rarely seen today because of the rapid turnaround time for a urinalysis and because they require refrigeration to force them out of solution. Of the two, tyrosine crystals are found more often because it is less soluble than leucine. Sometimes leucine crystals can be forced out of solution by the addition of alcohol to tyrosine-containing urines.

These amino acid crystals are abnormal and are rarely seen. They may be present in the urine of patients with overflow aminoacidurias—rare inherited metabolic disorders. In



**FIG. 7.101** Cystine crystals. Numerous crystals, some showing lamination or layering (*center and bottom right*); others aggregating into a rosette-like form (*top left*). Brightfield,  $\times 400$ .



**FIG. 7.102** Two tyrosine needle balls or spheres among many single needles or small bunches. Brightfield,  $\times 400$ .

these conditions, the concentration of tyrosine and leucine in the blood is high (aminoacidemia), resulting in increased renal excretion. Although rare, these crystals have also been observed in the urine of patients with severe liver disease.

TABLE 7.12 Abnormal<sup>a</sup> Crystals of Metabolic and Iatrogenic Origin Arranged According to pH

Solute	pH	Color	Microscopic Appearance	Solubility	Birefringence	Comments and Clinical Significance
Bilirubin	<6	Yellow-brown; highly pigmented	Small balls of fine golden needles or granules that form clusters	<ul style="list-style-type: none"> <li>Alkali</li> <li>Glacial acetic acid</li> </ul>	N to W	Rare; crystals induced by storage at 2–8°C; liver disease or obstruction
Leucine	<6	Dark yellow to brown	Spheres with radial striations and concentric circles	<ul style="list-style-type: none"> <li>Alkali</li> </ul>	S, pMC	Rare; crystals induced by storage at 2–8°C; liver disease; confirm by amino acid analysis
Tyrosine	<6	Colorless to yellow	Fine, delicate needles; singly or in clusters, bundles, or sheaves	<ul style="list-style-type: none"> <li>NaOH</li> <li>NH<sub>4</sub>OH</li> <li>HCl</li> </ul>	S	Rare; crystals induced by storage at 2–8°C; liver disease; confirm by amino acid analysis
Cholesterol	<6 (6–7.5)	Colorless	Flat plates (parallelograms) <u>with</u> notched corners; may layer	<ul style="list-style-type: none"> <li>Chloroform</li> <li>Ether</li> </ul>	W	Rare; crystals induced by storage at 2–8°C; indicates lipiduria, accompanies proteinuria and other forms of urinary fat – Resemble ionic radiographic crystals (Note media not used in USA)
Cystine	<6 (6–7.5)	Colorless	Hexagonal plates, often layer	<ul style="list-style-type: none"> <li>NaOH</li> <li>HCl</li> </ul>	W to M	Rare; metabolic disorder (cystinosis or cystinuria); confirm by nitroprusside test
2,8-Dihydroxyadenine	Any	Dark yellow to brown	Spherical crystals with dark centers and radiating rays		S, pMC	Rare; autosomal recessive metabolic disorder Resemble leucine crystals
'Ionic' radiographic media (iatrogenic <sup>b</sup> )	<6	Colorless	Flat, elongated plates (parallelograms); usually <u>without</u> notched corner		S, P	Following radiographic procedures; causes high SG (>1.040) – Resemble cholesterol crystals

<sup>a</sup>Note that any 'abnormal' crystal should be confirmed by chemical test or clinical history before reporting; if unable to confirm, consult with healthcare provider.

<sup>b</sup>An iatrogenic solute is one not native to the human body; it originates from a treatment (e.g., imaging procedures, medications).  
M, Moderate; P, polychromatic; pMC, forms pseudo-Maltese cross pattern; S, strong; SG, specific gravity; W, weak.

Be aware that there are other substances that can form crystals that look similar to those formed by tyrosine or leucine. For example, leucine look-alikes include some medication crystals and 2,8-dihydroxyadenine (DHA) crystals (Fig. 7.104), which are present in urine from individuals with the rare inborn error of metabolism known as *adenine phosphoribosyltransferase (APRT) deficiency*.<sup>33</sup> Most identified cases of APRT have been in Japan but cases have also been identified in Iceland and France.<sup>33</sup>

Tyrosine look-alikes include the slender needle crystals of medications such as indinavir, acyclovir, amoxicillin, and ampicillin. Therefore, it is important to review patient diagnosis, history, and medications and to confirm crystals as

tyrosine or leucine before they are reported. Techniques available to specifically identify them include chromatographic methods, FTIR and ultraviolet spectrometry.

**Cholesterol.** Cholesterol crystals appear as clear, thin parallelogram-shaped plates (Fig. 7.105). Their shape and size can vary, they are often layered, and they may have notched corners (Figs. 7.106 and 7.107). Under polarizing microscopy, cholesterol crystals are only weakly birefringent and colorless. When a red compensator plate is used, their birefringence is weak and monochromatic (Fig. 7.106). Cholesterol crystals are predominantly in acid urine (pH <6) but can be present in neutral urine (pH 6–7.5). Because of their organic composition, they are soluble in chloroform and ether.

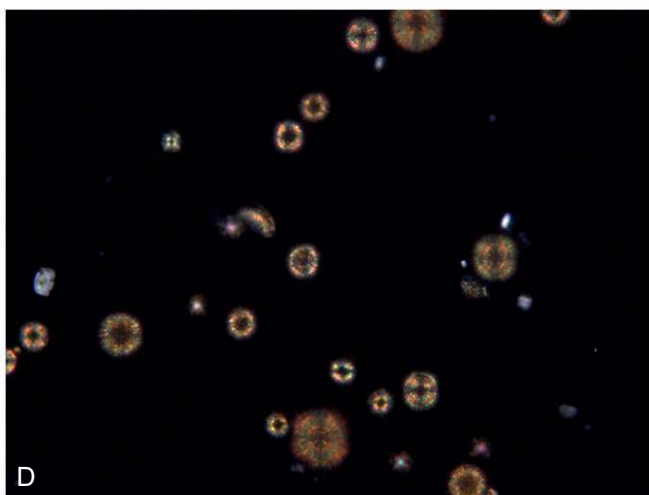
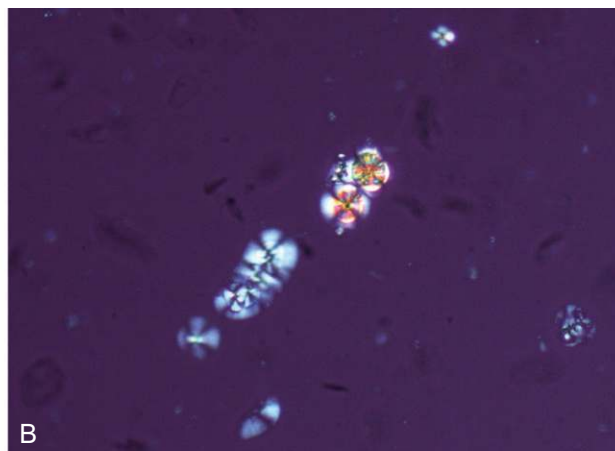




**FIG. 7.103** A leucine crystal. Note its yellow-brown color and concentric rings. Brightfield,  $\times 400$ . Under polarizing microscopy, leucine crystals produce a pseudo-Maltese cross pattern (see Chapter 18, Table 18.1). (From Fogazzi GB: *The urinary sediment*, ed 3, Milan, Italy, 2010, Elsevier Srl.)

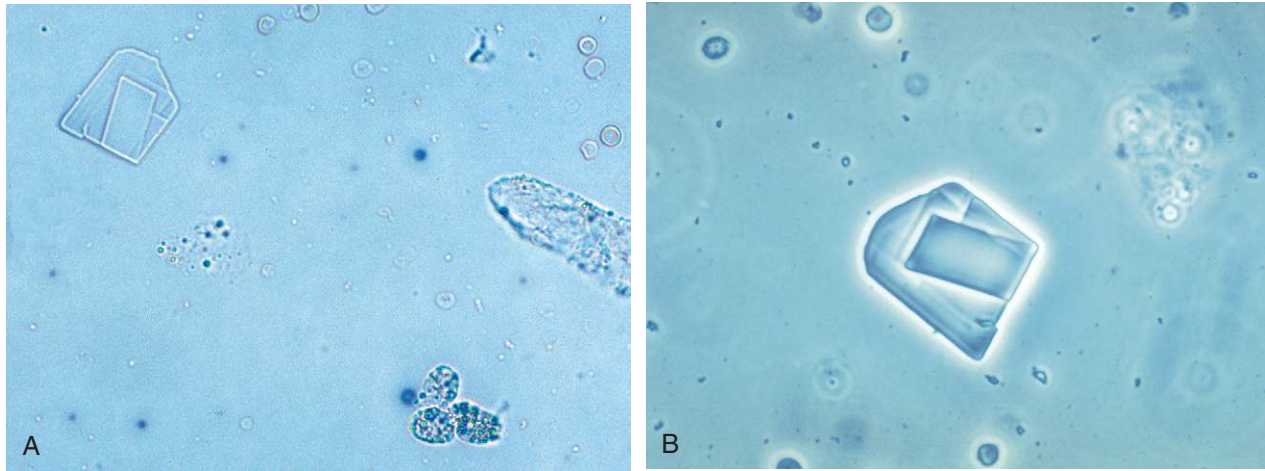
Cholesterol crystal formation occurs *in vitro*, that is, the crystals are induced out of solution by the cooling of urine after collection and storage. Therefore in some institutions, these crystals are not reported. Instead, lipiduria or chyluria is documented in the urinalysis report by the enumeration and reporting of oval fat bodies, free-floating fat, and fatty casts.

When observed in urine sediment, cholesterol crystals indicate large amounts of cholesterol in the urine and that ideal conditions have promoted supersaturation and its precipitation. Note that cholesterol is a large molecule; if it is able to cross the glomerular filtration barrier to be present in urine so will protein—particularly albumin because of its high plasma concentration. Consequently, when cholesterol is suspected in urine, review the chemical result for protein. It should be positive; the amount present is variable (trace to large) depending on hydration but is most often high ( $>300$  mg/dL). Other evidence of lipids, such as free-floating fat droplets, fatty casts, or oval fat bodies should also accompany these crystals. Upon urine cooling or storage, cholesterol crystals protruding from oval fat bodies or fatty casts can be observed (see Fig. 7.107).

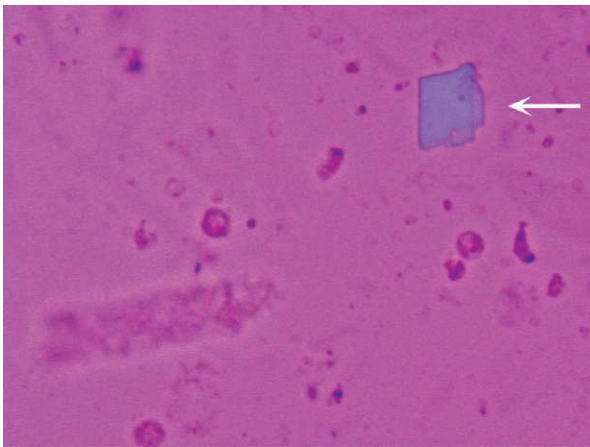


**FIG. 7.104** Other crystals can look similar to leucine. (A) Unusual and unknown crystals in urine from a female patient participating in a clinical trial of a new medication. Specific identification of these crystals was not possible. All blood chemistry tests, including liver function tests, were within normal range; urine pH 6.0 with specific gravity 1.038 by refractometry. Brightfield,  $\times 100$ . Note the many squamous epithelial cells and a clue cell (arrow). (B) Unknown crystals; same field of view as A. Polarizing microscopy,  $\times 100$ . C, Numerous 2,8-dihydroxyadenine crystals. Brightfield,  $\times 400$ . D, Numerous 2,8-dihydroxyadenine crystals; same field of view as C. (C and D, Courtesy of Runoldsdottir H, Palsson R, and Edvardsson V, APRT Deficiency Research Program, Landspítali, The National University Hospital in Reykjavik, Iceland.)

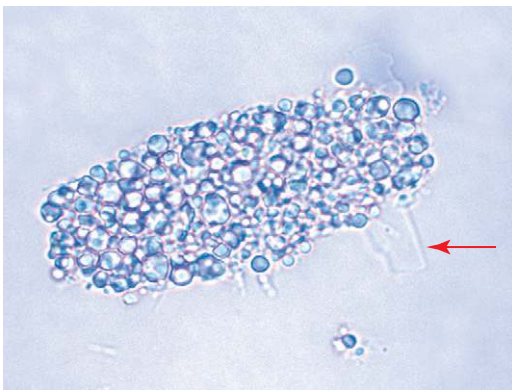




**FIG. 7.105** (A) View of urine sediment with a cholesterol crystal, free-floating fat, and oval fat bodies. Brightfield,  $\times 200$ . (B) Cholesterol crystal. Phase contrast,  $\times 400$ .



**FIG. 7.106** Cholesterol crystals show weak birefringence under polarizing microscopy. Note that birefringence will increase with crystal thickness. Polarizing microscopy with first-order red compensator,  $\times 400$ . Compare with the strong birefringence of ionic radiographic media as seen in Fig. 7.107.



**FIG. 7.107** A fatty cast loaded with fat. With the passage of time and cooling (refrigerator storage), a cholesterol crystal with a notched corner (*arrow*) has formed from the fat (cholesterol) within this cast.

Cholesterol crystals can be seen with the nephrotic syndrome, polycystic kidney disease, lipoid nephrosis, and in conditions resulting in chyluria—the rupture of lymphatic vessels into the renal tubules due to tumors (neoplasms) or parasites (filariasis).

One substance that forms crystals similar to cholesterol is ionic radiographic media (ionic x-ray dye). Although this media is no longer used in the United States, it may be used elsewhere and is discussed briefly in the next section, “Crystals of Iatrogenic Origin.”

### Crystals of Iatrogenic Origin

**Iatrogenic** substances are those that are not native to the human body. In other words, the substance is taken into the body by ingestion, absorption through skin or mucus membranes, inhalation, or by intravenous administration. In a clinical setting, the source of iatrogenic substances found in urine can be categorized into two sources: 1) imaging procedures or 2) drugs. In the following discussion, ionic radiographic media crystals are reviewed and summarized in Table 7.12 with abnormal crystals. Drugs associated with **crystalluria** are discussed briefly and their characteristics summarized in Table 7.13.

**Radiographic Contrast Media.** In x-ray procedures, such as computed tomography (CT or CAT) scans, angiography, and pyelograms, radiographic contrast media are used. This media is removed from the body by the kidneys and excreted in the urine. Radiographic contrast media are derivatives of triiodobenzene; the iodine provides the opacity needed for visualization by x-ray. These media can be divided into two categories: ionic and nonionic agents. The nonionic media, such as iodixanol (Visipaque), iohexal (Omnipaque), and iopamidol (Isovue), are preferred because they do not precipitate *in vivo* or *in vitro* (in excreted urine) and therefore do not affect the microscopic examination of urine sediment. In contrast, the ionic media are water soluble, dissociating into anions and cations that can precipitate in a crystalline form in the urinary tract or excreted urine. Ionic radiographic media are water-soluble derivatives of triiodobenzene (anion) with either meglumine (cation) or

TABLE 7.13 Drugs Associated with Crystalluria

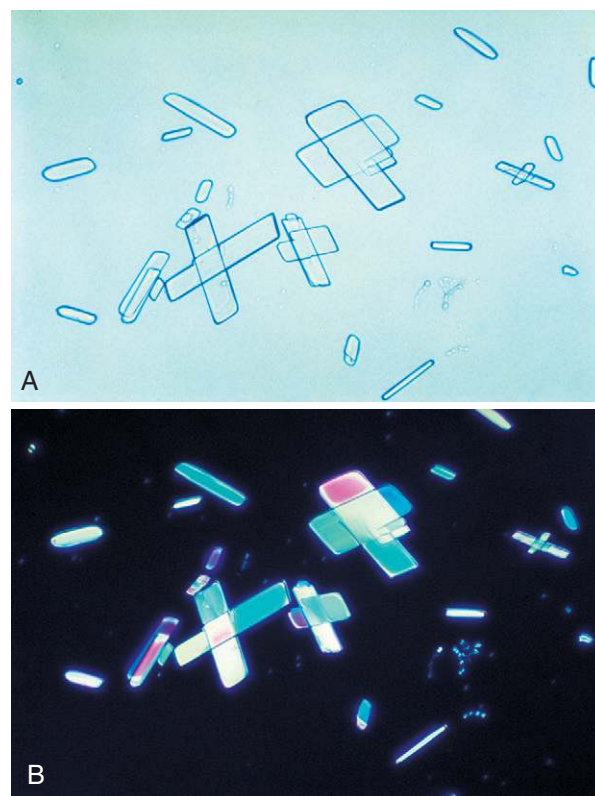
Crystal Appearance	Color	Drug	pH	Comments
Hexagons	Colorless	<b>Primidone</b>	<6	<i>Rare</i> ; resemble cystine crystals
'Sheaves of wheat' or 'shell' (fan) forms with radial striations	Yellow-brown	<b>Sulfadiazine</b>		Confirm using diazo reaction test
Spheres or globules with dimples or irregular creases		<b>Sulfamethoxazole</b>		Confirm using diazo reaction test; resemble ammonium biurate crystals
Spheres	Brown	<b>Triamterene</b>		Resemble ammonium biurate crystals; but form pseudo-Maltese cross pattern
Needles; singly or arranged in broom-like bunches, crosses, bundles, fans, or sheaves	Colorless; when aggregated gray to brown	<b>Ampicillin</b> <b>Amoxicillin</b> <b>Acyclovir</b> <b>Indinavir</b>	>6	<i>Rare</i> <i>Rare</i>

sodium (cation) or a mixture of these two cations. They are known by numerous product names such as diatrizoate (Hypaque, Renografin, Cystografin), metrizoate (Isopaque), and iothalamate (Conray). Note that in the United States, these ionic agents are no longer commercially available because of side effects and the high incidence of contrast-induced nephropathy due to in vivo crystal formation.

When 'ionic' radiographic media is used and urine is collected for a urinalysis before sufficient time has passed after a radiographic procedure, the media can precipitate out of solution. The media crystals form in acid urine as layered parallelogram-shaped crystals that are morphologically similar to and need to be differentiated from cholesterol crystals (compare Figs. 7.105 and 7.108A). Media crystals usually do *not* have notched corners, which can be seen on cholesterol crystals (see Figs. 7.106 and 7.107). Features that aid in specifically identifying media crystals include: (1) their strong polychromatic birefringence with polarizing microscopy (compare Figs. 7.106 and 7.108B); (2) the high urine specific gravity (by density measurements), which usually exceeds physiologically possible values (i.e., >1.040); (3) the large number of crystals usually present; and (4) the lack of significant proteinuria and lipiduria.

Clearance of radiographic media from the body is highly variable due to the dosage given and the patient's renal function. The greatest excretion occurs during the first 24 hours, but excretion can persist as late as 3 days.<sup>34</sup> If a sulfosalicylic acid precipitation test for protein is performed, it will produce a false-positive result because the media precipitates upon acid addition (see Appendix E for details). Whether urine specimens with ionic radiographic media present are accepted for urinalysis testing varies among institutions. See Table 7.12 for a summary of ionic radiographic media crystal characteristics.

**Drug Crystals.** Most drugs and their metabolites are eliminated from the body by the kidneys. As urine forms within the nephrons, high concentrations of these agents (prescribed or overdose) combined with an optimal pH can cause their



**FIG. 7.108** Ionic radiographic media excreted in urine. The crystals appear as parallelogram-shaped plates. Compare with cholesterol crystals, see Figs. 7.104 and 7.105. (A) Brightfield,  $\times 100$ . (B) Polarizing microscopy,  $\times 100$ .

precipitation out of solution. Additional factors that promote drug crystalluria include dehydration as well as hypoalbuminemia, which increases the level of unbound drug in the bloodstream. Note that unbound drug in the plasma can freely pass the glomerular filtration barrier.

Remember, solute crystallization is a balancing act between solute concentration and pH. However, crystal formation can



occur at a less optimal pH when the concentration of the solute is very high. It is also important to note that some drugs (naftidrofuryl oxalate [a vasodilator], orlistat [oral inhibitor of lipase]) as well as some iatrogenic substances (ethylene glycol, toluene inhalants) can cause a crystalluria—not of the drug itself but of its principal metabolite, oxalate. In other words, when calcium oxalate crystalluria (usually of monohydrate forms) is observed, it may be directly linked to the metabolism of a drug.

Proper identification and reporting of drug crystals are important because if crystals are forming *in vivo* (i.e., in the renal tubules), they can cause kidney damage, that is, crystal-induced acute kidney injury. When unusual crystals are encountered in urine sediment, the healthcare provider should be contacted to obtain a list of the patient's medications. Treatments to prevent *in vivo* crystal formation and its adverse effects include: increasing hydration, infusion of pH-adjusting agents, or cessation of the offending drug.

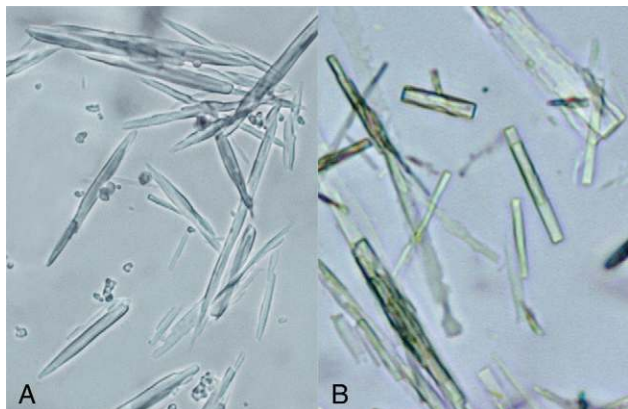
Table 7.13 provides a summary of drug crystals discussed in this section. This table is not organized by drug name but by crystal appearance, with pH also aiding in differentiation. It is important to note that all of these drug crystals are strongly birefringent when using polarizing microscopy and different drugs can form similar-looking crystals. Therefore, before reporting the presence of a drug crystal in urine it must be established what drug(s) the individual is either taking or has

had access to. A good guideline is that whenever a very large number of unusual crystals are observed in urine sediment, suspect a drug crystal. Table 7.16 can also be helpful when attempting to identify urine crystals.

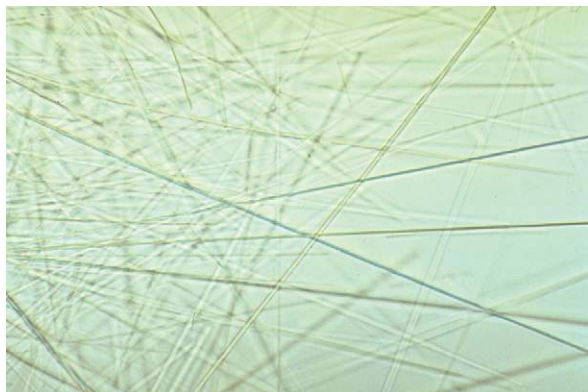
**Acyclovir.** Acyclovir is an antiviral drug. When a patient is dehydrated or when the drug is given as an intravenous bolus, acyclovir crystals may form in neutral to alkaline urine (pH >6). Acyclovir crystals are colorless and needle-shaped with pointed or blunt ends (Fig. 7.109). They can appear singly or in bunches and are strongly birefringent when using polarizing microscopy.

**Ampicillin and Amoxicillin.** Ampicillin and amoxicillin are two  $\beta$ -lactam antibiotics of the penicillin family that can form urine crystals. These antibiotics are used to treat or prevent many different types of bacterial infections. Both drugs form crystals in acid (pH <6) urine and appear as colorless, long, thin needles or prisms (Fig. 7.110). The individual needles may aggregate into small groupings or, with refrigeration, into large clusters. When observed in urine, the crystals most often indicate large doses of the drug with inadequate hydration.

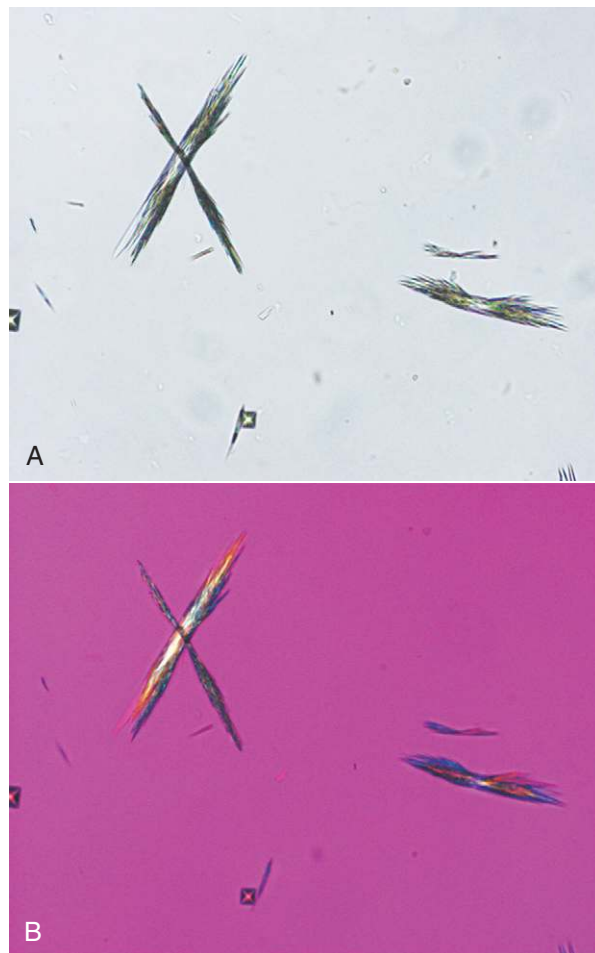
**Indinavir.** Indinavir sulfate (Crixivan) is an HIV-1 protease inhibitor. Its slender needle crystals often aggregate together into bunches, broom-like bundles, rosettes, or cross forms (Fig. 7.111). The crystals are strongly birefringent when observed under polarizing microscopy. Indinavir crystals are



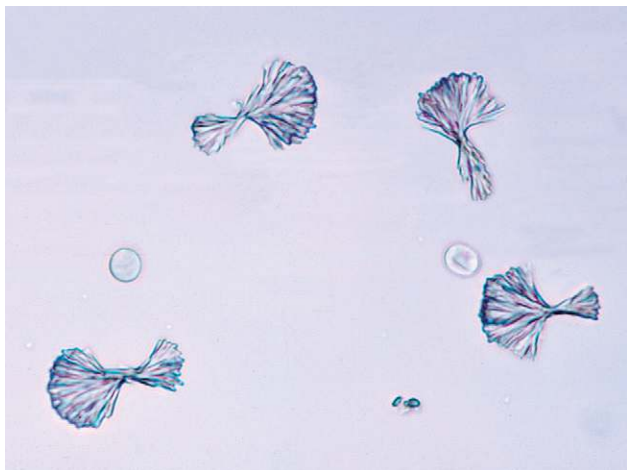
**FIG. 7.109** Acyclovir crystals. (A) Crystals with pointed ends. Brightfield,  $\times 400$ . (B) Crystals with blunt ends. Brightfield,  $\times 400$ .



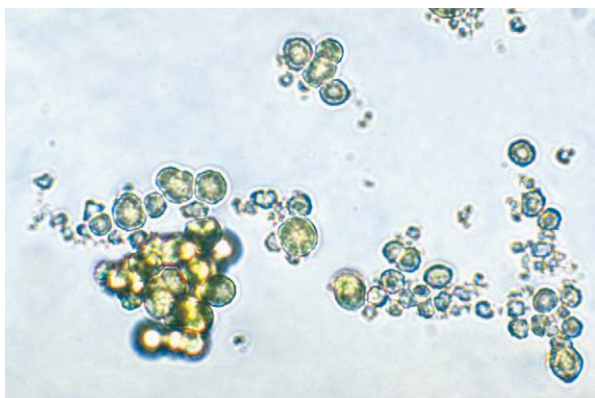
**FIG. 7.110** Ampicillin crystals. Brightfield,  $\times 400$ . (Courtesy Patrick C. Ward.)



**FIG. 7.111** Indinavir sulfate crystals. (A) Brightfield,  $\times 200$ . (B) Polarizing microscopy with first-order red compensator,  $\times 200$ .



**FIG. 7.112** Sulfadiazine crystals and two red blood cells. Brightfield,  $\times 400$ .



**FIG. 7.113** Sulfamethoxazole (Bactrim) crystals. Brightfield,  $\times 400$ .

most often observed in neutral to alkaline ( $\text{pH} > 6$ ) urine.

**Sulfonamides.** Sulfonamide drug crystals appear in acid urine ( $\text{pH} < 6$ ). They can take various forms depending on the particular drug prescribed. Historically, sulfonamide preparations were relatively insoluble and resulted in kidney damage caused by crystal formation within the renal tubules. Currently, these drugs have been modified and their solubility is no longer a problem. As a result, sulfonamide crystals are not found as often in urine sediment and renal damage caused by them is uncommon.

*Sulfadiazine* is a short-acting sulfonamide often used to treat *Toxoplasma* encephalitis in patients with AIDS. Its crystals are yellow-brown and shaped like sheaves of wheat (Fig. 7.112) or in shell (fan) forms (see Urine Sediment Image Gallery, Fig. 50). Both forms have radial striations.

*Sulfamethoxazole* (e.g., Bactrim, Septra) is a combination antibiotic used to treat a variety of infections (e.g., ear, urinary tract, bronchitis). Its crystals are more commonly encountered and they appear as yellow-brown spheres or globules with dimples or irregular creases (Fig. 7.113). In shape and color, sulfamethoxazole crystals can closely resemble ammonium biurate crystals but can be differentiated from them on the basis of  $\text{pH}$ , solubility, and the sulfonamide confirmatory test.

Note that a list of the patient's current and past medications is of value in confirming the identity of these urine crystals.

Sulfadiazine and sulfamethoxazole crystals are present in acid ( $\text{pH} < 6$ ) urine. They are highly refractile under bright-field microscopy and strongly birefringent under polarizing microscopy, and should be confirmed chemically before they are reported. The rapid chemical test to confirm the presence of a sulfonamide is based on the diazotization of sulfanilamide followed by an azo coupling reaction. See Appendix E, "Manual and Historic Methods of Interest," for procedural details and performance.

**Primidone and Triamterene.** *Primidone* is an anticonvulsant medication and it can form colorless hexagonal crystals that look like cystine crystals. *Triamterene*, a diuretic, can form urine crystals that are brown and look like ammonium biurate.

Both of these drug crystals are strongly birefringent when using polarizing microscopy. This characteristic helps distinguish primidone (strong birefringence) from cystine (weak birefringence). Regarding triamterene crystals, they form a pseudo-Maltese cross pattern under polarizing microscopy, which distinguishes them from ammonium biurate crystals that look similar but do not produce a cross pattern.

### Crystal Summary

Although crystals are frequently encountered in the urine sediment, their presence often has minimal diagnostic or health implications. At other times crystal identification can provide crucial clues to a patient's condition or renal status, even to the point of helping save a life. For example, identifying and reporting many calcium oxalate crystals in urine from a comatose acidotic individual in the emergency department could guide clinicians to suspect ethylene glycol ingestion and timely lifesaving treatment.

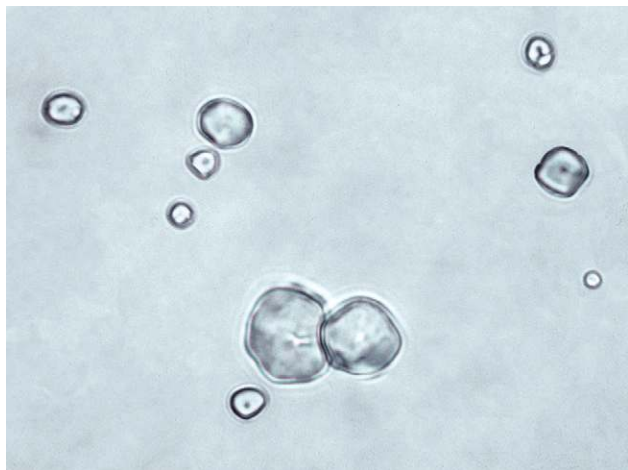
Because crystals are dynamic—undergoing formation (growing) and at times, dissolution—a single image does not capture the various stages and changes that can occur. In addition, crystals of different composition can have similar shapes. To facilitate correct identification, Table 7.16 (at the end of the chapter) categorizes crystals by shape. Also, additional images of crystals are provided in the *Urine Sediment Image Gallery* that immediately follows this chapter.

Note that the use of polarizing microscopy can be instrumental when identifying crystals (i.e., type and degree of birefringence) or when simply trying to determine whether an entity is crystalline (e.g.,  $\text{CaOx}$ ) or organic (e.g., RBC), see Fig. 7.90. Therefore, Chapter 18 provides a discussion of polarizing microscopy, directions for its use, as well as tables and pictures to enhance understanding of birefringence (Tables 18.1 and 18.2).

### Contaminants

A variety of substances observed in urine sediment are considered contaminants and they can originate from either the patient, the laboratory, or the environment. See Table 7.14 for a list of commonly encountered contaminants, a description of their microscopic appearance with representative images, possible sources, and additional information that





**FIG. 7.114** Starch granules. Brightfield,  $\times 400$ .

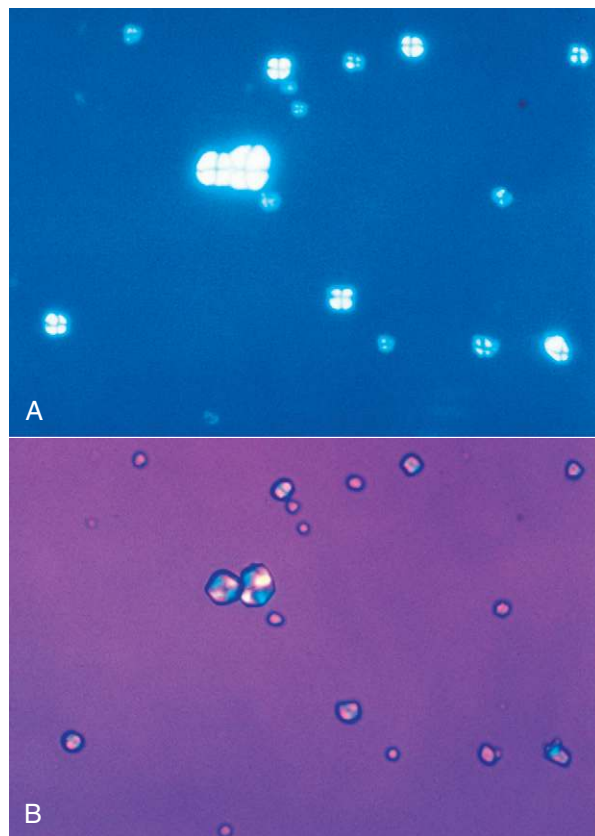
can aid in correctly identifying them. Note that it is equally imperative that a microscopist is able to identify contaminants and artifacts that should be disregarded as it is that they can properly identify elements of clinical importance. Note that additional images of contaminants can also be found in the Urine Sediment Image Gallery immediately follows this chapter.

#### From the Laboratory

It does not matter whether plastic commercial slides or glass microscope slides and coverslips are used to perform the microscopic exam, both can contribute a contaminant to the urine sediment. *Glass shards* are sharp, jagged prisms that are very refractile and easy to see (see Urine Sediment Image Gallery, Fig. 5). They are also strongly birefringent under polarizing microscopy. *Plastic fragments* from commercial slides are irregular-shaped and translucent (see Urine Sediment Image Gallery, Figs. 3 and 4). If you want to see these contaminants without any urine components, simply plate clean water using your slide supplies and review it microscopically. *Air bubbles* are usually introduced under the coverslip when plating a sample for microscopic review or may result when a specimen has been shaken before plating (see Urine Sediment Image Gallery, Fig 1 and 2).

#### From the Patient

**Starch and Talc.** Starch and talc particles originate from a variety of sources and can be encountered microscopically in the urine sediment. Prior to 2009, the interior of all protective gloves worn by healthcare workers were dusted with starch or talc to make them easier to wear. However, this use is now banned for surgical gloves and manufacturing practices have been changed to compensate for the lack of a powder. Today, with the widespread use of powderless gloves, starch and talc are not seen as often in urine sediment. Starch can still contaminate the urine from other sources, such as body powders or from clothing that has been treated with laundry starch. Sources of talc or talcum powder include body powders (e.g., baby powder), makeup, and antiperspirants.



**FIG. 7.115** Starch granules. (A) Demonstration of a pseudo-Maltese cross pattern using polarizing microscopy,  $\times 400$ . Note that the four quadrants are unequal in size; hence the “pseudo” Maltese cross pattern. (B) Polarizing microscopy with first-order red compensator,  $\times 400$ .


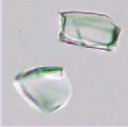

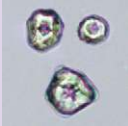





Starch has unique visual characteristics that make it easy to identify. Starch granules can vary greatly in size and usually have a centrally located dimple (Fig. 7.114). They are colorless, irregular polygonal particles with scalloped or faceted edges. Under polarizing microscopy, starch granules are strongly birefringent and exhibit a pseudo-Maltese cross pattern (i.e., unequal quadrants), the edges of which are not distinct nor perfectly round (Fig. 7.115). Starch granules are readily differentiated from cholesterol droplets because of distinct visual differences using brightfield microscopy. For a side-by-side comparison, see Table 18.1 in Chapter 18.

Talc particles also vary in size but appear as irregular, jagged pieces. They are colorless and may appear layered like shale (Fig. 7.116 and Urine Sediment Image Gallery, Fig. 6).

Because starch granules and talc particles are urine contaminants, they are not reported. Excessive quantities could interfere with the examination, however, and may necessitate the collection of a new specimen.

**Fibers.** Numerous types of fibers, such as hair, cotton, fabric threads, and absorbent fibers from diapers and hygiene products often appear in urine sediment. They are considered contaminants and are disregarded during the microscopic examination. It is important to ensure their proper identification by the novice microscopist. Because of the variety of

TABLE 7.14 Contaminants in Urine

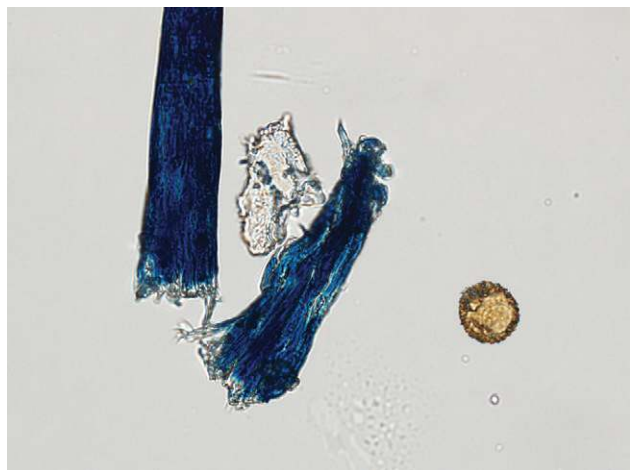
Substance	Microscopic Appearance <sup>a</sup>	Source & Comments
Air bubbles	Black rings; circular; thick or thin edges	 <ul style="list-style-type: none"> <li>• Introduced when mixing or plating sample; air trapped or floating</li> <li>• Variable sizes; appearance varies with microscope condenser adjustment</li> </ul>
Glass shards	Shards or jagged prisms	 <ul style="list-style-type: none"> <li>• Glass slides and cover slips</li> <li>• Strong birefringence</li> </ul>
Plastic fragments	Translucent, irregular shapes	 <ul style="list-style-type: none"> <li>• Plastic debris from commercial microscope slides</li> </ul>
Starch granules	'Dimpled' irregular or polygonal particles; variable size	 <ul style="list-style-type: none"> <li>• Body powders, laundry starch</li> <li>• Colorless; strong birefringence; forms pseudo-Maltese cross pattern</li> </ul>
Talc or talcum	Irregular shapes often with jagged edges; often appear layered	 <ul style="list-style-type: none"> <li>• Body powders, makeup, antiperspirants</li> <li>• Strong birefringence</li> </ul>
Fibers	Cylindrical or flat; short or long; smooth, wrinkled, twisted, frayed, or pitted; colored	 <ul style="list-style-type: none"> <li>• Diapers, hygiene products, clothing, gauze dressings, hair</li> <li>• Birefringent, degree varies with type</li> <li>• Note: short, flat fibers can resemble waxy casts, which are <u>not</u> birefringent</li> </ul>
Cream, lotion, detergent, or lubricants	Varies with product—floating spheres, globules, and irregular forms	 <ul style="list-style-type: none"> <li>• From use on external genitalia</li> <li>• Some cylindrical globs may resemble waxy casts</li> </ul>
Pollen grains, fungal spores <sup>a</sup>	<p><i>Pollen grains</i> (A, B, C): size varies, yellow color (often), thick walled; spherical, ovoid, or triangular shape; with smooth, textured or spiny surface</p> <p><i>Fungal spores</i> (D, E, F): thick walled, chambered; oval, tadpole-shaped, round</p>	 <ul style="list-style-type: none"> <li>• Carried by air currents or off of clothing; seasonal contamination</li> <li>• Distinguish from parasite ova or crystals (e.g., ammonium biurate, sulfamethoxazole)</li> </ul>
Fecal matter	Numerous particles of various size and shape; meat fibers—finely striated fibers (cast-like in shape); plant fibers; unusual cells (intestinal epithelium)	 <ul style="list-style-type: none"> <li>• Fecal contamination; most often occurs during urine collection</li> <li>• May indicate fistula between bowel and urinary tract</li> </ul>

<sup>a</sup>Note that images within and between categories are *not* on the same size scale.

sources, fibers have a variety of appearances. They can be long or short, wide or thin, smooth, wrinkled, twisted or frayed. One common feature is their strong birefringence under polarizing microscopy.

Fibers from clothing, gauze dressings, or hair are usually easy to recognize using brightfield microscopy because of

their strong refractility in comparison to casts (Figs. 7.116 and 7.117). Similar but more challenging are fibers from diapers or hygiene products because they can resemble urinary casts. Diaper fibers tend to be flat with distinct edges and appear thicker at their margins, sometimes with wrinkles; in contrast, urinary casts are thicker in the middle and do



**FIG. 7.116** A contaminant trifecta—blue cloth fiber, piece of talc, and a single golden pollen grain. Brightfield,  $\times 600$ .

not have wrinkles (see Fig. 7.60 and Urine Sediment Image Gallery, Fig. 7). The strong birefringence of fibers also differentiates them from matrix of casts, which are not birefringent (compare Fig. 7.60 [fiber] to Figs. 7.54B and 7.56B [cast matrix]).

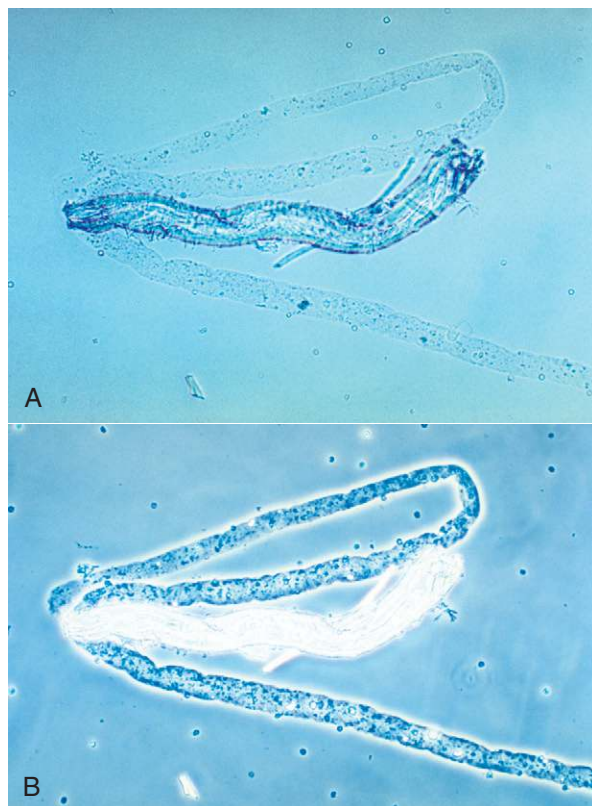
**Creams and Lotions.** Any cream, lotion, detergent, or lubricant used in or around the external genitalia could potentially be washed into a urine specimen during collection. Depending on the product, the microscopic appearance will vary. The product may appear as round droplets of variable size suspended in the urine sediment, similar to many free-floating fat droplets; however, these droplets are numerous, dull, and translucent in appearance. Creams can appear as globules or irregular masses (see Table 7.14); sometimes a mass may appear cylindrical-shaped and need to be distinguished from a waxy cast.

**Fecal Matter.** Fecal material contaminates urine primarily by two modes: through improper collection technique and through an abnormal connection or fistula between the urinary tract and the bowel. Specimens received from infants and from patients who are extremely ill or physically compromised are most likely to be contaminated with fecal material because of the difficulty involved in performing the urine collection. With the assistance of a healthcare worker for ill or physically compromised patients or the use of collection bags for infants, urine specimens can be obtained without contamination. In contrast, a fistula continually channels fecal material into the urinary tract and this contamination cannot be eliminated. In such cases, the patient has a persistent UTI from the constant influx of normal bacterial flora from the intestine into the urinary tract; food remnants can also be present in the urine sediment.

Fecal contamination of urine specimens is often not grossly apparent. Microscopic examination of the urine sediment can reveal the abnormal presence of partially digested vegetable cells and muscle fibers from ingested foods.

### From the Environment

**Pollen Grains and Fungal Spores.** Pollen grains and fungal spores are occasionally seen in urine sediment. They are



**FIG. 7.117** Hyaline cast and a fiber. Note the difference in form and refractivity. (A) Brightfield,  $\times 100$ . (B) Phase contrast,  $\times 100$ .

introduced into the urine specimen from air currents or from the surfaces of clothing during or after collection. Pollen grains vary in shape and size but they are usually large, thick-walled, and yellow in color (see Fig. 7.116 and Table 7.14). Their shape and texture vary with the plant species. Pollen can be spherical, ovoid, or triangular with smooth, textured, or spiny surfaces. Similarly, fungal spores are large, thick-walled elements, often with chambers. They are usually round, oval, or tadpole-shaped with smooth outer surfaces.

## CORRELATION OF URINE SEDIMENT FINDINGS WITH DISEASE

Most urine sediment findings are not unique for a specific disorder; rather, they indicate a process (e.g., infection, inflammation) or functional change (e.g., glomerular changes, tubular dysfunction, obstruction) that is occurring in the kidneys or urinary tract. Other entities can point to a hereditary disease (cystine crystals) or an iatrogenic agent (drug crystals). A challenge for healthcare providers can be to determine the cause of the disorder and its location within the urinary tract. Consequently, it is the entire urinalysis—the physical, chemical, and microscopic examinations—that best enables healthcare providers to detect and monitor disease in the urinary tract. When serial specimens (daily or weekly) are obtained from a patient, the urinalysis test provides an economical means to monitor the progression or resolution of a disorder.

Table 7.15 links the urine sediment findings discussed in this chapter to selected disorders of the urinary tract that are discussed in Chapter 8. In health, the urine sediment may

**TABLE 7.15 Urine Sediment Findings With Selected Disorders (See Table 7.2 for alternate interpretations of the plus system scale used here.)**

Disease	BLOOD CELLS		EPITHELIAL CELLS		PATHOLOGIC CASTS <sup>a</sup>			MISCELLANEOUS				
	RBC	WBC	TE	RTE	RBC <sup>b</sup>	WBC	RTE Cell	Coarsely Granular	Waxy	Fat <sup>c</sup>	Bacteria <sup>d</sup>	Other
<b>Infection or inflammation</b>												
Lower UTI	++	+++	++								+	
Cystitis or urethritis (bacterial)												
Upper UTI	+	+++		+		++	+	++	+/-		+/-	Macrophages with severe infection
Acute pyelonephritis (bacterial)												
Acute interstitial nephritis (drug-induced)	++	+++		++		+	++	++	+/-			Eosinophils may be present
<b>Glomerular disease</b>												
Acute glomerulonephritis (AGN)	+++	+		+	+++	+	+	++				Dysmorphic RBCs
Chronic glomerulonephritis	+	+		+	+/-		++	++	++			Broad casts
Nephrotic syndrome	+			+			+/-	+	+	+++		
<b>Tubular disease</b>												
Acute tubular necrosis (ATN)	++	++		+++		+/-	++	++	++	(+/-)		Proximal RTE cells with toxic ATN Collecting duct RTE cells with ischemic ATN Epithelial fragments
Renal transplant rejection	++	++		+++		+/-	++	++	++	(+/-)		Decoy cells present Lymphocytosis in sediment is notable
Urinary diversions		++									(+/-) <sup>e</sup>	Mucus Bowel epithelium Goblet cells Debris and cellular degeneration

RBC, red blood cell; TE, transitional epithelial; UTI, urinary tract infection; WBC, white blood cell.

<sup>a</sup>Note that hyaline casts, which are not considered pathologic, are often present in increased numbers in the listed conditions.

<sup>b</sup>Includes blood and hemoglobin casts.

<sup>c</sup>Includes fatty casts, oval fat bodies (OFBs), and free-floating fat droplets.

<sup>d</sup>Quantity of bacteria observed can vary from few to many; does not reflect severity of bacterial infection.

<sup>e</sup>Varies with type of diversion.

have a few epithelial cells, a rare RBC, and few WBCs (see Table 7.4). A few hyaline casts or an occasional finely granular cast may also be observed, but because the urinary tract is sterile, microorganisms such as bacteria and yeast should not be present. Note that hyaline casts are not listed in this table because they are not considered pathologic casts (i.e., indicative of disease). However, increased numbers of hyaline casts can be observed in the urine sediment with each of these disorders. Some urine sediment findings point specifically to a disease process (e.g., presence of bacteria and WBCs indicates

an infection), whereas other findings identify the location of a disease process (e.g., WBC casts, RBC casts indicate a process occurring in the kidneys, where casts are formed). See Chapter 8 for further discussion of renal and metabolic diseases, including their clinical features, pathogenesis, and urinalysis results associated with each.

To assist in crystal identification, Table 7.16 categorizes crystals solely based on shape. The order of appearance in each category is by prevalence, and the font color indicates the predominant pH in which the crystals form..



TABLE 7.16 Crystal Identification by Shape<sup>d</sup>

LEGEND: Red text = Acid pH, Blue text = Alkaline pH, Black text = Acid/Alkaline pH



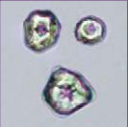

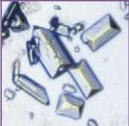
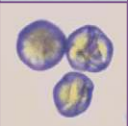


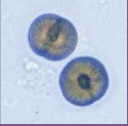

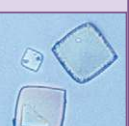





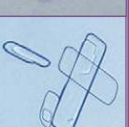



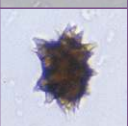



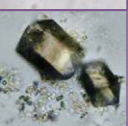


<p><b>DUMBBELLS (HOURLASS)</b></p> <ul style="list-style-type: none"> <li>Calcium oxalate monohydrate (side view)</li> </ul> 		<p><b>GEOMETRIC PRISMS, DIAMONDS, SPINDLES</b></p> <ul style="list-style-type: none"> <li>Uric acid</li> </ul> 		<p><b>POLYHEDRONS (IRREGULAR GLOBULES)</b></p> <ul style="list-style-type: none"> <li>Starch granules often 'dimpled'</li> </ul> 	
<ul style="list-style-type: none"> <li>Calcium carbonate (very small)</li> </ul> 		<ul style="list-style-type: none"> <li>Triple phosphate</li> </ul> 		<ul style="list-style-type: none"> <li>Sulfamethoxazole (drug)</li> </ul> 	
<p><b>OVALS</b></p> <ul style="list-style-type: none"> <li>Calcium oxalate monohydrate (front view)</li> </ul> <p>variable size; can resemble RBCs</p> 		<ul style="list-style-type: none"> <li>Calcium oxalate monohydrate<sup>a</sup></li> </ul> 		<p><b>SPHERES, BALLS, AND GLOBULES</b></p> <ul style="list-style-type: none"> <li>Ammonium biurate (no thorns)</li> </ul> 	
		<p><b>PARALLELOGRAMS</b></p> <ul style="list-style-type: none"> <li>Uric acid</li> </ul> 		<ul style="list-style-type: none"> <li>Leucine<sup>b</sup></li> </ul> 	
		<ul style="list-style-type: none"> <li>Cholesterol</li> </ul> 		<ul style="list-style-type: none"> <li>2,8 DHA<sup>c</sup></li> </ul> 	
<p><b>BI-PYRAMIDAL (8-SIDED)</b></p> <ul style="list-style-type: none"> <li>Calcium oxalate dihydrate</li> </ul> 		<ul style="list-style-type: none"> <li>Ionic x-ray media</li> </ul> 		<p><b>SPHERES WITH SPICULES</b></p> <ul style="list-style-type: none"> <li>Ammonium biurate (thorny apples)</li> </ul> 	
		<p><b>HEXAGONS (6-SIDED)</b></p> <ul style="list-style-type: none"> <li>Cystine</li> </ul> 		<ul style="list-style-type: none"> <li>Bilirubin</li> </ul> 	
<p><b>CUBE-LIKE</b></p> <ul style="list-style-type: none"> <li>Calcium oxalate dihydrate (12-sided; dodecahedral)</li> </ul> 		<ul style="list-style-type: none"> <li>Uric acid<sup>b</sup></li> </ul> 		<ul style="list-style-type: none"> <li>Tyrosine</li> </ul> 	
<ul style="list-style-type: none"> <li>Uric acid</li> </ul> 		<ul style="list-style-type: none"> <li>Calcium oxalate monohydrate<sup>a</sup></li> </ul> 			

TABLE 7.16 Crystal Identification by Shape—cont'd

AMORPHOUS GRANULES	
<ul style="list-style-type: none"> <li>• <i>Urates</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Phosphates</i></li> </ul>	

IRREGULAR PLATES	
<ul style="list-style-type: none"> <li>• <i>Calcium phosphate (sheet)</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Talc often layers</i></li> </ul>	

PRISMS—TAPERED, BLUNT AND STICK-LIKE	
<ul style="list-style-type: none"> <li>• <i>Calcium phosphate tapered; singly, small clusters or rosettes</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Monosodium urate pencil-like; small clusters</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Acyclovir</i></li> </ul>	

NEEDLES (SINGLY, BUNCHES, OR ROSETTES)	
<ul style="list-style-type: none"> <li>• <i>Calcium phosphate (atypical form)</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Bilirubin</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Tyrosine</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Drugs—needles</i> <ul style="list-style-type: none"> <li>– <i>Ampicillin</i></li> <li>– <i>Amoxicillin</i></li> <li>– <i>Acyclovir</i></li> <li>– <i>Indinavir</i></li> </ul> </li> </ul>	

SHEAVES, FANS, OR BROOMS	
<ul style="list-style-type: none"> <li>• <i>Indinavir</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Sulfadiazine</i></li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Drugs—needles can assemble into</i></li> </ul>	

UNUSUAL SHAPES	
<ul style="list-style-type: none"> <li>• <i>Uric acid (pleomorphic)</i> Note color—yellow to brown</li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Triple phosphate (dissolving)</i> X-shape or fern-like forms</li> </ul>	
<ul style="list-style-type: none"> <li>• <i>Many crystals in an unusual form (think drug crystal)</i></li> </ul>	

<sup>a</sup>Courtesy Michel Daudon, Laboratoire des Lithiasis, APHP, Hopital Tenon, Paris, France.

<sup>b</sup>From Fogazzi GB: *The urinary sediment*, ed 3, Milan, Italy, 2010, Elsevier Srl.

<sup>c</sup>Courtesy Hrafnhildur Runolfsson, Runolfur Palsson, and V. Edvardsson, APRT Deficiency Research Program, Landspítali, The National University Hospital in Reykjavik, Iceland.

<sup>d</sup>Crystals in each section are listed in order of prevalence, i.e., the most frequently seen are listed first.

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## STUDY QUESTIONS

- Which of the following are not standardized when commercial systems are used for the processing and microscopic examination of urine sediment?
  - Microscopic variables, such as the number of focal planes
  - The concentration and volume of the urine sediment prepared
  - The volume of the urine sediment dispensed for microscopic viewing
  - Identification and enumeration of formed elements in the urine sediment
- When urine sediment is viewed, stains and various microscopic techniques are used to
  - enhance the observation of fine detail.
  - confirm the identity of suspected components.
  - differentiate formed elements that look alike.
  - facilitate the visualization of low-refractile components.
  - 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.

3. The microscopic identification of hemosiderin is enhanced when the urine sediment is stained with
- Gram stain.
  - Hansel stain.
  - Prussian blue stain.
  - Sudan III stain.
4. When the laboratorian performs the microscopic examination of urine sediment, which of the following are enumerated using low-power magnification?
- Bacteria
  - Casts
  - Red blood cells
  - Renal tubular cells
5. A urine sediment could have which of the following formed elements and still be considered “normal”?
- Two or fewer hyaline casts
  - Five to 10 red blood cells
  - A few bacteria
  - A few yeast cells
6. Which of the following statements about red blood cells in urine is true?
- Red blood cells crenate in hypotonic urine.
  - Red blood cell remnants are called “ghost cells.”
  - Alkaline and hypotonic urine promotes red blood cell disintegration.
  - Dysmorphic red blood cells often are associated with renal tubular disease.
7. Hemoglobin is a protein and will
- does not react in the protein reagent strip test.
  - interfere with the protein reagent strip test, producing erroneous results.
  - always contribute to the protein reagent strip result, regardless of the amount of hemoglobin present.
  - contribute to the protein reagent strip result only when large concentrations of hemoglobin are present.
8. Which urinary sediment component(s) when observed microscopically can resemble red blood cells?
- Yeasts
  - Air bubbles
  - Oil droplets
  - Calcium oxalate monohydrate crystals
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
9. Which of the following is not a characteristic of neutrophils found in the urine sediment?
- They are approximately 10 to 14  $\mu\text{m}$  in diameter.
  - They form “ghost cells” in hypotonic urine.
  - They shrink in hypertonic urine but do not crenate.
  - As they disintegrate, vacuoles and blebs form and their nuclei fuse.
10. How do increased numbers of leukocytes usually get into the urine?
- Through a renal bleed
  - By passive movement through pores in the vascular epithelium
  - By active ameboid movement through tissues and epithelium
  - Through damage to the integrity of the normal vascular barrier
11. Which statement regarding lymphocytes found in urine sediment is correct?
- They are not normally present in the urine.
  - They produce a positive leukocyte esterase test.
  - Their number is increased in patients with drug hypersensitivity.
  - Their number is increased in patients experiencing kidney transplant rejection.
12. Which of the following urinary tract structures is not lined with transitional epithelium?
- Bladder
  - Nephrons
  - Renal pelvis
  - Ureters
13. Match the number of the epithelial cell type with its characteristic feature. Only one type is correct for each feature.
- | <b>Characteristic Feature</b>  | <b>Epithelial Cell Type</b>                                |
|--|--|
| ___ A. Large and irregularly angled (flagstone); can be anucleated         | 1. Collecting tubular cell                                 |
| ___ B. Oblong or cigar-shaped; small eccentric nucleus                     | 2. Distal tubular cell                                     |
| ___ C. Polygonal; large nucleus  | 3. Proximal tubular cell                                   |
| ___ D. Oval to round; small nucleus that is centered or slightly eccentric | 4. Squamous epithelial cell centered or slightly eccentric |
| ___ E. Round, pear-shaped, or columnar with a small oval to round nucleus  | 5. Transitional epithelial cell                            |
14. Which of the following can be observed in the urine sediment as an intact fragment or sheet of cells?
- Collecting tubular epithelium
  - Distal tubular epithelium
  - Transitional epithelium
  - Proximal tubular epithelium
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.



15. Urinary casts are formed in
- the distal and collecting tubules.
  - the distal tubules and the loops of Henle.
  - the proximal and distal tubules.
  - the proximal tubules and the loops of Henle.
16. Urinary casts are formed with a core matrix of
- albumin.
  - Bence Jones protein.
  - transferrin.
  - uromodulin.
17. Which of the following does not contribute to the size, shape, or length of a urinary cast?
- The concentration of protein in the core matrix of the cast
  - The configuration of the tubule in which the cast is formed
  - The diameter of the tubular lumen in which the cast is formed
  - The duration of time the cast is allowed to form in the tubule
18. All of the following enhance urinary cast formation except
- an alkaline pH.
  - urinary stasis.
  - an increase in the solute concentration of the ultrafiltrate.
  - an increase in the quantity of plasma proteins in the ultrafiltrate.
19. When the laboratorian is using brightfield microscopy, a urinary cast that appears homogeneous with well-defined edges, blunt ends, and cracks is most likely a
- fatty cast.
  - granular cast.
  - hyaline cast.
  - waxy cast.
20. All of the following can be found incorporated into a cast matrix except
- bacteria.
  - crystals.
  - transitional epithelial cells.
  - white blood cells.
21. Which of the following urinary casts are diagnostic of glomerular or renal tubular damage?
- Bacterial casts
  - Red blood cell casts
  - Renal tubular cell casts
  - White blood cell casts
22. Which of the following characteristics best differentiates waxy casts from fibers that may contaminate urine sediment?
- Waxy casts do not polarize light; fibers do.
  - Waxy casts are more refractile than fibers.
  - Waxy casts have rounded ends; fibers do not.
  - Waxy casts are thicker at their margins; fibers are thicker in the middle.
23. Which of the following does not affect the formation of urinary crystals within nephrons?
- The pH of the ultrafiltrate
  - The diameter of the tubular lumen
  - The flow of urine through the tubules
  - The concentration of solutes in the ultrafiltrate
24. The formation of urinary crystals is associated with a specific urine pH. Match the urine pH that best facilitates crystalline formation with the crystal type.
- | <b>Crystal Type</b>                              | <b>Urine pH</b> |
|--|-----------------|
| <input type="checkbox"/> A. Ammonium biurate     | 1. <6           |
| <input type="checkbox"/> B. Amorphous urates     | 2. Any pH       |
| <input type="checkbox"/> C. Amorphous phosphates | 3. >6           |
| <input type="checkbox"/> D. Calcium oxalate      |                 |
| <input type="checkbox"/> E. Cholesterol          |                 |
| <input type="checkbox"/> F. Cystine              |                 |
| <input type="checkbox"/> G. Indinavir            |                 |
| <input type="checkbox"/> H. Sulfamethoxazole     |                 |
| <input type="checkbox"/> I. Triple phosphate     |                 |
| <input type="checkbox"/> J. Tyrosine             |                 |
| <input type="checkbox"/> K. Uric acid            |                 |
25. Match the crystal composition with the microscopic description that best characterizes it.
- | <b>Microscopic Description</b>  | <b>Crystal Composition</b> |
|---|----------------------------|
| <input type="checkbox"/> A. Colorless "coffin lid" form                                     | 1. Ammonium biurate        |
| <input type="checkbox"/> B. Colorless hexagonal plates                                      | 2. Amorphous urates        |
| <input type="checkbox"/> C. Colorless "envelope" form                                       | 3. Amorphous phosphates    |
| <input type="checkbox"/> D. Colorless parallelogram-shaped plates with notched corners      | 4. Calcium oxalate         |
| <input type="checkbox"/> E. Yellow-brown "thorny apple" form                                | 5. Cholesterol             |
| <input type="checkbox"/> F. Colorless to yellow; diamond-shaped or rhombic; can form layers | 6. Cystine                 |
| <input type="checkbox"/> G. Yellow-brown sheaves of wheat                                   | 7. Sulfadiazine            |
|   | 8. Triple phosphate        |
|   | 9. Uric acid               |
26. Which of the following crystals, when found in the urine sediment, most likely indicates an abnormal metabolic condition?
- Bilirubin
  - Sulfonamides
  - Triple phosphate
  - Uric acid

27. During the microscopic examination of a urine sediment, cystine crystals are found. The laboratorian should perform which of the following before reporting the presence of these crystals?
1. Perform a confirmatory chemical test
  2. Ensure that the urine specimen has an acid pH
  3. Assess the number of crystals per high-power field
  4. Check the current medications that the patient is taking
- A. 1, 2, and 3 are correct.  
B. 1 and 3 are correct.  
C. 4 is correct.  
D. All are correct.
28. When using brightfield microscopy, mucus threads can be difficult to differentiate from
- cloth fibers.
  - hyaline casts.
  - pigmented casts.
  - waxy casts.
29. Which of the following is *not* a distinguishing characteristic of yeast in the urine sediment?
- Motility
  - Budding forms
  - Hyphae formation
  - Colorless ovoid forms
30. Fat can be found in the urine sediment in all of the following forms *except*
- within casts.
  - within cells.
  - as free-floating droplets.
  - within hemosiderin granules.
31. Which of the following statements regarding the characteristics of urinary fat is true?
- Cholesterol droplets stain with Sudan III stain.
  - Triglyceride (neutral fat) stains with oil red O stain.
  - Cholesterol droplets do not form a Maltese cross pattern under polarized light.
  - Triglycerides (neutral fat) are anisotropic and form a Maltese cross pattern under polarized light.
32. Which of the following statements regarding the microscopic examination of urine sediment is false?
- If large numbers of leukocytes are present microscopically, then bacteria are present.
  - If urinary fat is present microscopically, then the chemical test for protein should be positive.
  - If large numbers of casts are present microscopically, then the chemical test for protein should be positive.
  - If large numbers of red blood cells are present microscopically, then the chemical test for blood should be positive.
33. The following are initial results obtained during a routine urinalysis. Which results should be investigated further?
- Negative protein; 2 to 5 waxy casts
  - Cloudy brown urine; 2 to 5 red blood cells
  - Urine pH 7.5; ammonium biurate crystals
  - Clear, colorless urine; specific gravity 1.010
34. The following are initial results obtained during a routine urinalysis. Which results should be investigated further?
- Negative protein; 0 to 2 hyaline casts
  - Urine pH 6.0; calcium oxalate crystals
  - Cloudy yellow urine; specific gravity 1.050
  - Amber urine with yellow foam; negative bilirubin by reagent strip; positive Ictotest
35. Which of the following when found in the urine sediment from a female patient is not considered a vaginal contaminant?
- Fat
  - Clue cells
  - Spermatozoa
  - Trichomonads
- LEGEND: Red text = Acid pH, Blue text = Alkaline pH, Black text = Acid/Alkaline pH; ordered by prevalence.

## CASE 7.1

A 22-year-old woman is seen in the emergency department. She complains of a painful burning sensation (dysuria) when urinating. She also states that she feels as if she has “to go” all the time. A midstream clean catch urine specimen is collected for a routine urinalysis and culture.

## Results

## Physical

## Examination

Color: yellow  
Clarity: cloudy  
Odor: —

## Chemical Examination

SG: 1.015  
pH: 6.0  
Blood: trace  
Protein: trace  
LE: negative  
Nitrite: negative  
Glucose: negative  
Ketones: negative  
Bilirubin: negative  
Urobilinogen: normal

## Microscopic Examination

RBC/hpf: 0–2  
WBC/hpf: 10–25  
Casts/hpf: 2–5 hyaline  
Epithelials: few SE cells/lpf; moderate TE cells/hpf  
Bacteria: moderate/hpf

- List any abnormal or discrepant urinalysis findings.
- Based on the patient’s symptoms and the urinalysis results, select the most probable diagnosis:
  - Normal urinalysis
  - Urinary tract infection
  - Acute glomerulonephritis
  - Nephrotic syndrome
- Assume that no patient information is available and that the number of squamous epithelial cells observed microscopically was “many.” Would your suspected diagnosis change?
- State three reasons why the nitrite test can be negative despite bacteriuria.
- State two reasons why the leukocyte esterase test can be negative despite increased numbers of white blood cells in the urine sediment.
- Suggest a cause for the increased number of transitional epithelial cells observed in the urine sediment.

*hpf*, High-power field; *LE*, leukocyte esterase; *lpf*, low-power field; *RBC*, red blood cell; *SE*, squamous epithelial; *SG*, specific gravity; *TE*, transitional epithelial; *WBC*, white blood cell.

## CASE 7.2

A 36-year-old man with a history of diabetes mellitus is admitted to the hospital with the following complaints: decreased frequency of urination, constant “bloated” feeling, weight gain, puffy eyes in the morning, and scrotal swelling. Mild edema of the ankles, abdomen, and eyes is also noted. Routine chemistry tests reveal hypoalbuminemia and hyperlipidemia (↑ triglycerides and ↑ cholesterol). Urinalysis results follow.

- List any abnormal or discrepant urinalysis findings.
- Based on the patient’s symptoms and urinalysis results, select the most probable diagnosis:
  - Acute pyelonephritis
  - Nephrotic syndrome
  - Acute glomerulonephritis
  - Lipiduria of unknown cause
- The proteinuria in this patient should be classified as
  - glomerular proteinuria.
  - tubular proteinuria.
  - overflow proteinuria.
  - postrenal proteinuria.
- What substance is responsible for the large amount of white foam observed?
  - Fat
  - Protein
  - Glucose
  - Casts
- Explain the physiologic processes responsible for the edema exhibited in this patient.
- In progressive renal disease with loss of glomerular filtering ability, which plasma protein is usually lost first? Explain why.
- Explain the physiologic process responsible for the glucose present in this patient’s urine.
- Why is the ketone test not positive?

## Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: yellow	SG: 1.015	RBC/hpf: 2–5
Clarity: slightly cloudy	pH: 5.0	WBC/hpf: 0–2
Odor: —	Blood: small	Casts/lpf: 2–5 hyaline; 0–2 fatty; 0–2 waxy
Large amount of white foam noted.	Protein: 2000 mg/dL	Epithelials: few TE cells/hpf; few OFBs/hpf
	LE: negative	
	Nitrite: negative	
	Glucose: 100 mg/dL	
	Ketones: negative	
	Bilirubin: negative	
	Urobilinogen: normal	

*hpf*, High-power field; *LE*, leukocyte esterase; *lpf*, low-power field; *OFBs*, oval fat bodies; *RBC*, red blood cell; *TE*, transitional epithelial; *WBC*, white blood cell.



## CASE 7.3

A 30-year-old man is admitted to the hospital with headache, anorexia, and passing red-colored urine. Examination reveals mild edema of the eyes and mild hypertension. Medical history reveals that the patient's daughter had strep throat about a month ago and was treated successfully. Subsequently, he developed a sore throat that lasted a few days but did not seek treatment. Urinalysis results follow.

1. List any abnormal or discrepant urinalysis findings.
2. What is the most likely process by which red blood cells are getting into this patient's urine?
3. At this level of hematuria, is the blood that is present most likely contributing to the reagent strip protein result?
  - A. Yes
  - B. No
4. This patient is determined to have acute glomerulonephritis. Based on this diagnosis, the proteinuria in this patient would be classified as
  - A. glomerular proteinuria.
  - B. tubular proteinuria.
  - C. overflow proteinuria.
  - D. postrenal proteinuria.
5. Of the microscopic findings, which sediment entity specifically indicates adverse glomerular changes and the presence of a renal disorder?

## Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: red	SG: 1.010	RBC/hpf: 25–50
Clarity: cloudy	pH: 5.5	WBC/hpf: 0–2
Odor: —	Blood: moderate	Casts/lpf: 2–5 hyaline; 0–2 RBCs; 0–2 granular
	Protein: 300 mg/dL	Epithelials: few TE cells/hpf
	LE: negative	Bacteria: negative
	Nitrite: negative	
	Glucose: negative	
	Ketones: negative	
	Bilirubin: negative	
	Urobilinogen: normal	

*hpf*, High-power field; *lpf*, low-power field; *RBC*, red blood cell; *TE*, transitional epithelial; *WBC*, white blood cell.

## CASE 7.4

An obese 58-year-old woman is seen by her physician. Her chief complaint is perineal itching and soreness. When giving her health history, she also complains of being thirsty “all the time” and of urinating more frequently. On pelvic examination, a white discharge is noted. A urine specimen is collected for a routine urinalysis.

1. List any abnormal or discrepant urinalysis findings.
2. What is the most likely cause of this patient's vaginitis?
3. What is the most likely origin or source of the white blood cells in this urine?
4. Which two microscopic findings suggest that this urine is not a midstream clean catch specimen?
5. Is this patient showing signs/symptoms of any urinary tract disorder or dysfunction? Yes No
6. Explain the physiologic processes responsible for ketonuria.

## Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: yellow	SG: 1.015	RBC/hpf: 0–2
Clarity: cloudy	pH: 6.0	WBC/hpf: 10–25; clumps
Odor: —	Blood: moderate	Casts/lpf: 0–2 hyaline
	Protein: trace	Epithelials: many SE cells/lpf
	LE: positive	Bacteria: negative
	Nitrite: negative	Yeast/hpf: moderate
	Glucose: 500 mg/dL	Crystals/hpf: few urates
	Ketones: trace	
	Bilirubin: negative	
	Urobilinogen: normal	

*hpf*, High-power field; *LE*, leukocyte esterase; *lpf*, low-power field; *RBC*, red blood cell; *SE*, squamous epithelial; *WBC*, white blood cell.

## CASE 7.5

A 48-year-old woman is admitted to a hospital for an emergency appendectomy. Because of bleeding complications, she receives a unit of packed red blood cells after surgery. Two hours later, she develops fever, chills, and nausea. Two days after surgery, a routine urinalysis and hemosiderin test (Rous test) are performed, and the following results are obtained.

1. List any abnormal or discrepant urinalysis findings.
2. What is hemosiderin?
3. Explain how hemosiderin gets into the urine sediment.
4. What substance most likely is causing the brown color observed in this urine?
5. Explain the physiologic mechanism that leads to increased urobilinogen in this patient's urine.
6. Because of the intravascular hemolytic episode experienced by this patient, her serum bilirubin is significantly increased. Why is her urine bilirubin still normal?

## Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: brown	SG: 1.015	RBC/hpf: 0–2
Clarity: slightly cloudy	pH: 5.0	WBC/hpf: 0–2
Odor: —	Blood: large	Casts/lpf: 5–10 granular
	Protein: trace	Epithelials: few TE cells/hpf; few RTE cells/hpf; few SE cells/hpf
	LE: negative	Crystals/hpf: few amorphous urates
	Nitrite: negative	Hemosiderin test: positive
	Glucose: negative	
	Ketones: negative	
	Bilirubin: negative	
	Urobilinogen: 4 mg/dL	

*hpf*, High-power field; *LE*, leukocyte esterase; *lpf*, low-power field; *RBC*, red blood cell; *RTE*, renal tubular epithelial; *SE*, squamous epithelial; *TE*, transitional epithelial; *WBC*, white blood cell.

## CASE 7.6

A 19-year-old female college athlete visits the campus health clinic for a mandatory sports physical. She claims no health problems and is taking no medications. A clean catch urine specimen is collected for a routine urinalysis, and the following results are obtained.

The technologist performing the microscopic examination checks the pH of the urine sediment and rechecks that of the specimen in the urine cup. The following results are obtained:

Urine sediment: pH 5.0

Urine in specimen cup: pH 7.0

1. List any abnormal or discrepant urinalysis findings.
2. Suggest a cause for the discrepancies observed.

## Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: yellow	SG: 1.020	RBC/hpf: 50–100
Clarity: clear	pH: 7.0	WBC/hpf: 25–50; clumps
Odor: —	Blood: trace	Casts/lpf: 5–10; cellular
	Protein: trace	Epithelials: few RTE cells/hpf; few SE cells/lpf
	LE: negative	Crystals/hpf: few uric acid
	Nitrite: negative	
	Glucose: negative	
	Ketones: negative	
	Bilirubin: negative	
	Urobilinogen: normal	
	Ascorbic acid: negative	

*hpf*, High-power field; *lpf*, low-power field; *RBC*, red blood cell; *RTE*, renal tubular epithelial; *SE*, squamous epithelial; *WBC*, white blood cell.

## CASE 7.7


A 37-year-old man was found unconscious outside a homeless shelter. From the individuals that called the first responders, it was reported that he abuses alcohol. He was taken to the local emergency room and found to be in severe metabolic acidosis with signs of renal failure—hyperkalemia, high plasma creatinine, and low urine output. The patient was catheterized and the urine was immediately sent for a STAT drug screen and a urinalysis. A blood sample was also sent STAT to the local University lab for ethylene glycol detection and quantitation (if present). The results for the urine drug screen (opiates, cocaine, THC, PCP, barbiturates, amphetamines), which included ethanol and methanol, were negative. The urinalysis results follow:

1. List any abnormal or discrepant urinalysis findings. Review the image provided of the crystals in the urine sediment; see Table 7.16 to aid in identification by shape and pH.
2. A portion of the urine sediment was divided into three small tubes to check for crystal solubility and effect of heat (see Tables 7.11 and 7.12). The results are as follows:

Treatment	Result
HCl addition:	Crystals soluble
Alcohol addition:	Crystals insoluble (i.e., still present)
Heating to 60 °C:	Crystals insoluble (i.e., still present)

3. On the basis of these results and visual appearance, what is the most likely identity of these crystals?
  - A. Cystine
  - B. Hippuric acid
  - C. Calcium oxalate, dihydrate
  - D. Calcium oxalate, monohydrate
  - E. Uric acid, atypical form
4. Suggest a cause for the increased numbers of transitional epithelial cells observed in the urine sediment.
5. Suggest a cause for the increased RBCs present.
6. Explain the physiologic process responsible for these crystals in this patient's urine.

## Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: yellow	SG: 1.010	RBC/hpf: 25–50
Clarity: cloudy	pH: 6.0	WBC/hpf: 2–5
Odor: —	Blood: small	Casts: negative
	Protein: 300	Epithelials: moderate TE cells/hpf
	LE: negative	Crystals: many?/hpf (see image)
	Nitrite: negative	
	Glucose: negative	
	Ketones: negative	
	Bilirubin: negative	
	Urobilinogen: normal	

hpf, High-power field; LE, leukocyte esterase; RBC, red blood cell; TE, transitional epithelial; WBC, white blood cell.

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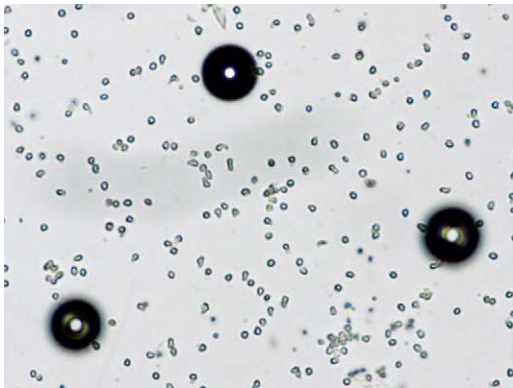
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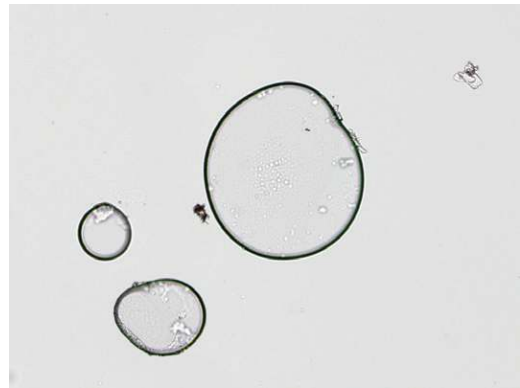
Mucus, 213

Sperm, 214

## ARTIFACTS/CONTAMINANTS



**FIG. 1** Three air bubbles trapped beneath a coverslip observed using low-power (100 $\times$ ) magnification. Numerous white blood cells (WBCs) are also present. Appearance varies with condenser and aperture positions of microscope. Brightfield.

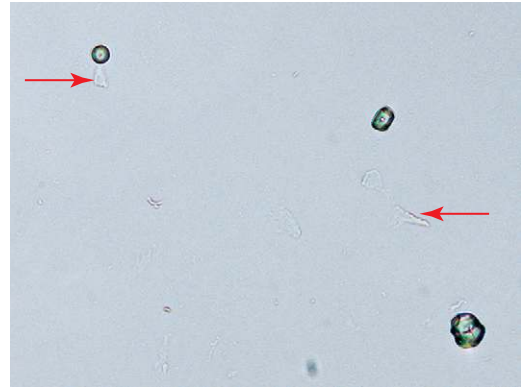


**FIG. 2** Three air bubbles trapped beneath the coverslip. Compare with Fig.1; adjustment of the microscope's condenser and aperture can change the appearance of air bubbles. Brightfield.

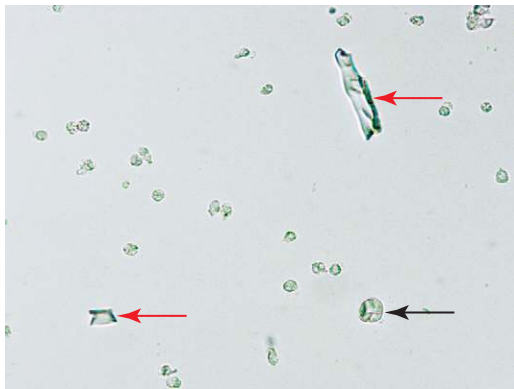




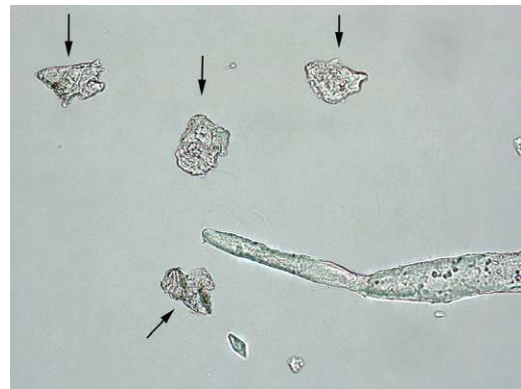
**FIG. 3** When plastic commercial standardized slides are used, fragments of plastic (*red arrows*) can be present in the sediment. Red blood cells, yeasts, and pseudohyphae are also present.



**FIG. 4** Three starch granules, all highly refractile, with slightly differing appearances, yet each has a centrally located dimple. Fragments of plastic (*red arrows*) are also present.



**FIG. 5** A starch granule (*black arrow*) demonstrating a characteristic dimple. When glass slides and coverslips are used, glass fragments (*red arrows*) can be present. Numerous white blood cells are also present.



**FIG. 6** Talc fragments (*arrows*)—note layering, refractility, and irregular edges. Also a single fiber—its refractility and shape helps distinguish it from a cast. Brightfield.



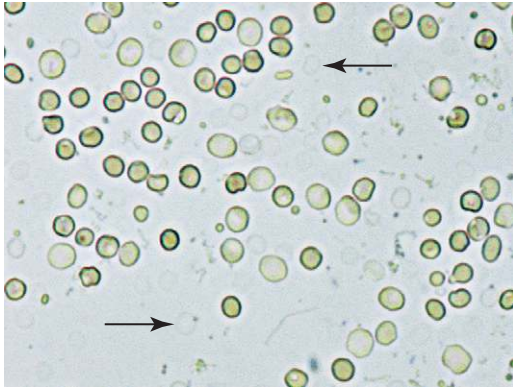
**FIG. 7** An absorbent fiber (diaper or hygiene product). Note its flat appearance with perforations and its strong refractility. Brightfield.



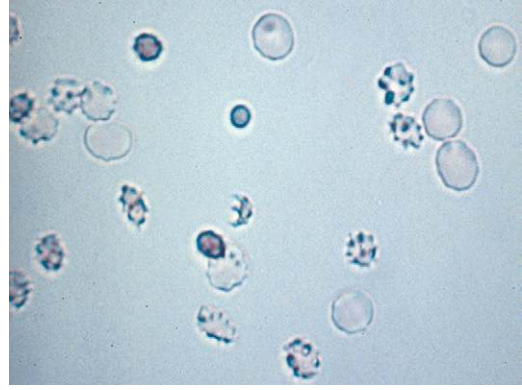
**FIG. 8** A clothing fiber. Its strong refractility, frayed ends, and flatness aid in its proper identification. Brightfield.

## BLOOD CELLS

### Red Blood Cells



**FIG. 9** Numerous intact and ghost red blood cells (RBCs) (*arrows*). Intact RBCs have a characteristic appearance caused by the hemoglobin within them. In contrast, ghost RBCs have lost their hemoglobin but retain an intact cell membrane. This urine was hypotonic (dilute; low specific gravity). Many of the RBCs appear swollen and rounded because of the diffusion of fluid into the cells.

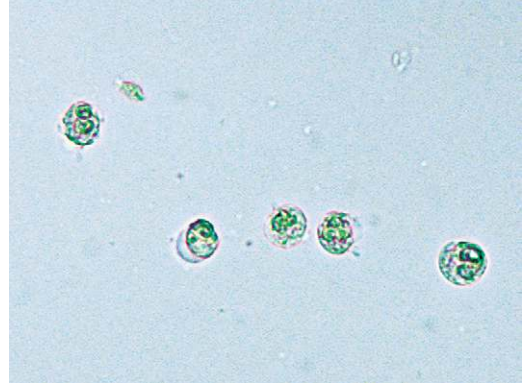


**FIG. 10** Red blood cells (RBCs) in hypertonic urine (concentrated; high specific gravity). Many of the cells in this field of view have lost their typical biconcave shape and become echinocytes (i.e., crenated). This happens when fluid moves out of the cell in an attempt to achieve balance with the tonicity of the environment. Consequently, the cell membrane shrinks, forming folds or projections, a process that is reversible. Near the center is a single schizocyte form—fragmented RBCs with three pointed extremities. Brightfield.

### White Blood Cells



**FIG. 11** White blood cells and a single squamous epithelial cell. Note the size similarity between the squamous cell nucleus and the diameter of the white blood cells. Brightfield.

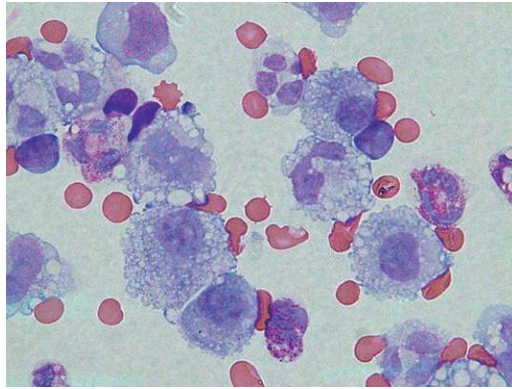


**FIG. 12** Five white blood cells. Note that the lobed nuclei in several of these neutrophils are readily apparent; whereas in degenerating cells, the nucleus has become mononuclear. Brightfield.



**FIG. 13** (A) Three white blood cells, a single red blood cell, and a squamous epithelial cell. Note the size similarity between the squamous cell nucleus and the diameter of the white blood cells. Brightfield. (B) Numerous white blood cells and two red blood cells (just left of center). Many of the white blood cells show evidence of degeneration. Brightfield.





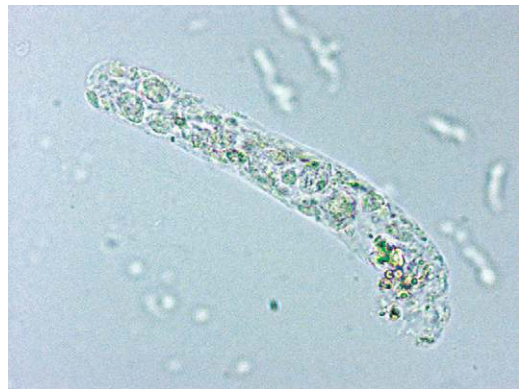
**FIG. 14** Many macrophages as well as other white blood cells in urine sediment. Cytospin preparation, Wright stained, Brightfield.

## CASTS

### Cellular Casts



**FIG. 15** A mixed cellular cast. Brightfield.



**FIG. 16** Renal tubular epithelial cell cast with one end broken or incompletely formed.



**FIG. 17** Renal tubular epithelial cell cast. Note the cuboidal shape of the entrapped cells. Also, the nuclei become more apparent when adjusting the fine focus up and down during the microscopic examination.



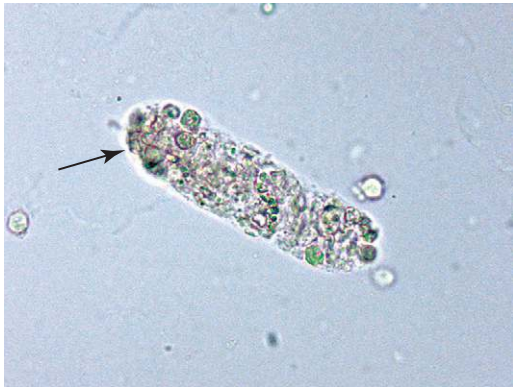
**FIG. 18** A renal tubular cell cast and several free-floating renal tubular cells in a Sternheimer-Malbin stained sediment. A highly refractile glass fragment is present in the center of this field of view.



**FIG. 19** A cast with oval fat bodies (i.e., renal tubular cells that contain fat). In this Sternheimer-Malbin stained sediment, the fat droplets take on a yellow or greenish appearance.



**FIG. 20** A white blood cell cast. Note the spherical or round shape of entrapped cells.



**FIG. 21** A mixed cell cast. This cast contains both white blood cells and red blood cells (*arrow*).



**FIG. 22** A mixed cell cast, predominantly red blood cells.

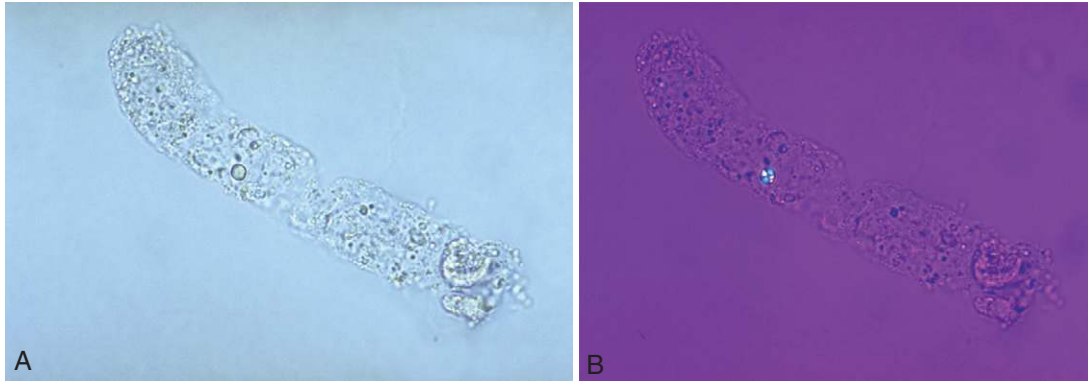


**FIG. 23** A red blood cell cast. Red blood cells are dispersed in the hyaline matrix of this cast.

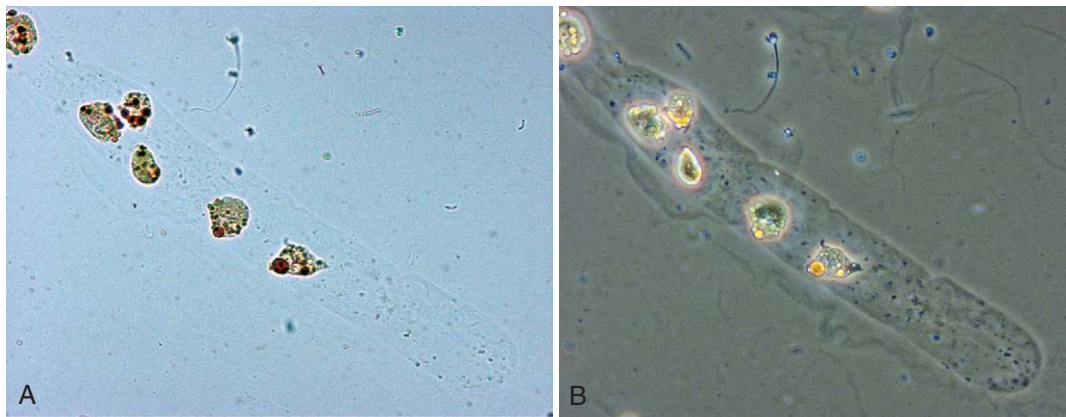


**FIG. 24** A red blood cell cast packed with red blood cells.





**FIG. 25** A fatty cast with fat droplets of varying size within the cast matrix; a fat droplet the size of a red blood cell is most notable mid-cast. Note the deteriorating renal epithelial cell within the cast at its end (*lower right*). (A) Brightfield. (B) Polarizing microscopy with first-order red compensator. Note that the fat droplet mid-cast demonstrates a Maltese-like cross pattern indicating that it is a cholesterol droplet.



**FIG. 26** Oval fat bodies in a hyaline matrix (i.e., a fatty cast). (A) Using Sudan III stain, the fat in the oval fat bodies has taken on the characteristic terra-cotta or red-orange color, identifying it as neutral fat (triglycerides). Brightfield. (B) Using phase contrast microscopy, the hyaline matrix of the cast is easy to see.

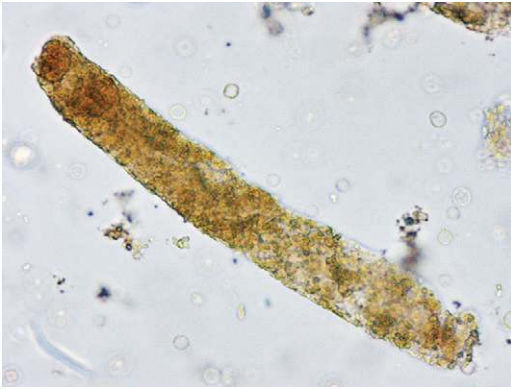
## Granular Casts



**FIG. 27** Granular cast with several disintegrating renal tubular cells embedded. One cell now appears as a large "coarse" granule that is colored by methemoglobin. Brightfield.



**FIG. 28** Granular cast. Brightfield.



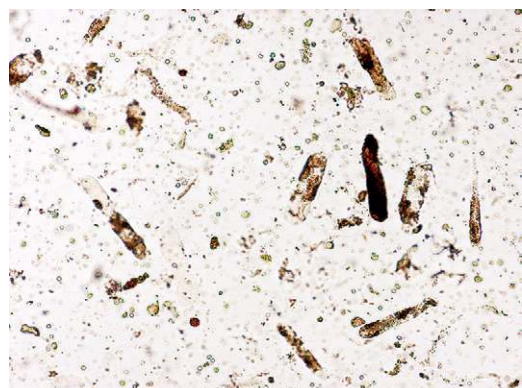
**FIG. 29** Coarsely granular (and pigmented) cast. The color originates from hemoglobin (now methemoglobin) and the granulation from RBC degeneration. These casts are sometimes called blood casts or “muddy brown” casts. Note the two large coarse granules embedded at the upper end (*left*) of the cast, which are likely renal tubular cells that have disintegrated and become stained by methemoglobin. Brightfield.



**FIG. 30** Cast transitioning from cellular to granular to waxy. The intense brown color suggests that pigmentation is derived from hemoglobin (i.e., methemoglobin). This sediment also contains numerous red blood cells and red blood cell casts. Brightfield.

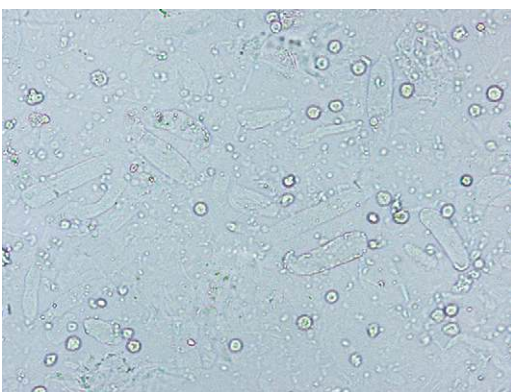


**FIG. 31** Granular casts. A broad cast indicative of formation in a large collecting duct or in dilated tubules indicates significant renal pathology. The granules most likely originated from red blood cells and coloration from hemoglobin or methemoglobin. Hence, these casts are sometimes referred to as blood casts. Brightfield.



**FIG. 32** A low-power (100 x) field of view of urine sediment containing numerous casts: hyaline, granular, red blood cell, and cellular. Brightfield.

## Hyaline Casts



**FIG. 33** A low-power (100 x) field of view of urine sediment containing numerous hyaline casts. Because their refractive index is similar to that of urine, they can be difficult to observe using bright-field microscopy. Focusing up and down during the microscopic examination aids in the detection of hyaline casts because they are often more apparent when slightly out of focus. Brightfield.



**FIG. 34** Hyaline cast. Brightfield.





**FIG. 35** A U-shaped hyaline cast, two white blood cells, and five dihydrate calcium oxalate (weddellite) crystals. Brightfield.

### Waxy Casts



**FIG. 36** A low-power (100 x) field of view of urine sediment containing numerous casts, particularly hyaline and waxy (three predominate). Brightfield.



**FIG. 37** A long, broad waxy cast predominates in this field of view. Also present are other waxy and hyaline casts, as well as renal tubular cells and oval fat bodies. Brightfield.



**FIG. 38** A single "broad" waxy cast and two hyaline casts. Note the difference in refractivity between these two types of casts. In this image, the hyaline casts are actually out of focus, which makes them easier to see. Brightfield.



**FIG. 39** A waxy cast (*left*) lying almost vertical and two red blood cell casts (*right*) lying horizontally. Brightfield.



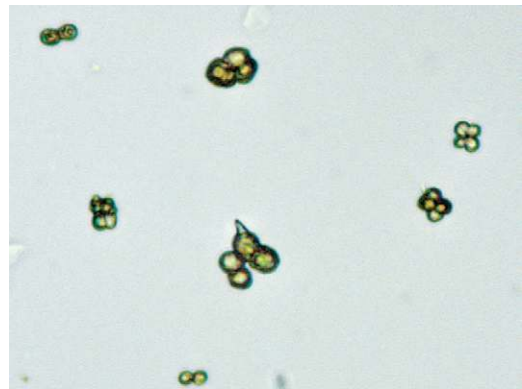
**FIG. 40** Two waxy casts. One typical in width; one broad and transitioning from granular to waxy. Note the ground-glass appearance and blunt ends, which are characteristic of waxy casts. Brightfield.

## CRYSTALS

### Ammonium Biurate Crystals

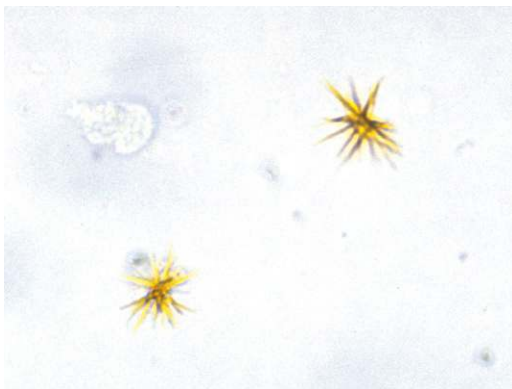


**FIG. 41** Two ammonium biurate crystals with spicules—“thorny apple” forms. Brightfield.



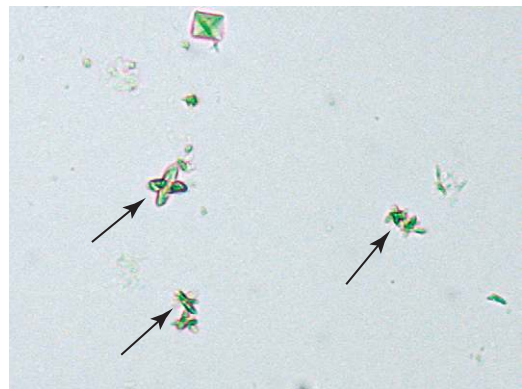
**FIG. 42** Ammonium biurate crystals. Note the characteristic yellow to brown color. One crystal (*at center*) beginning to form a spicule or thorn. Brightfield.

### Bilirubin Crystals



**FIG. 43** Bilirubin crystals—fine needles of characteristic golden yellow color. Bilirubin crystals form *in vitro* upon refrigeration and storage.

### Calcium Carbonate Crystals



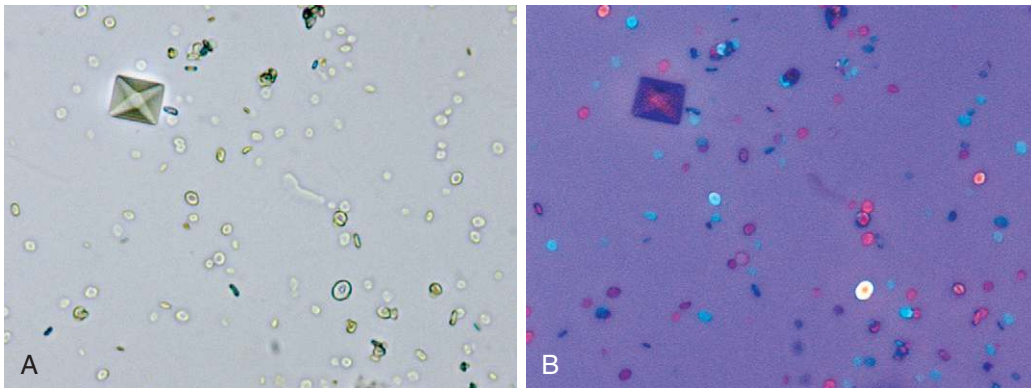
**FIG. 44** Calcium carbonate crystals (*arrows*) and a single dihydrate calcium oxalate crystal.



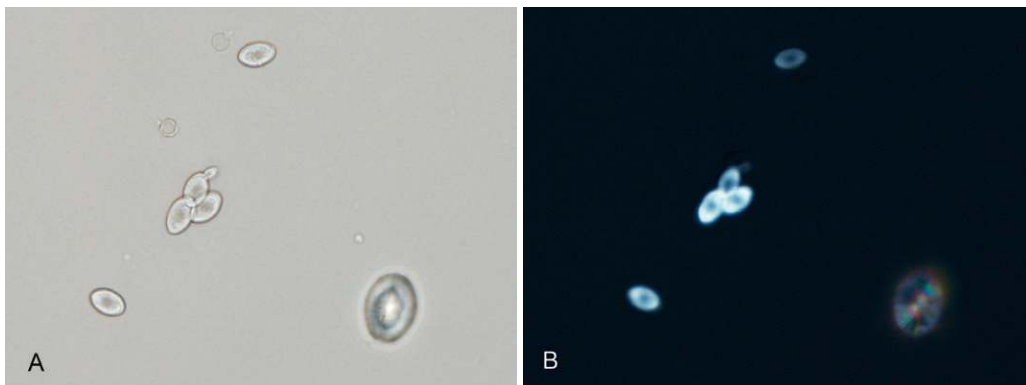
## Calcium Oxalate Crystals



**FIG. 45** A single dihydrate calcium oxalate (weddellite) crystal (*bi-pyramidal, right of center*) and numerous monohydrate calcium oxalate (whewellite) crystals—ovoid and dumbbell (side view of ovoid form) shapes. Brightfield.



**FIG. 46** (A) A single dihydrate calcium oxalate (weddellite) crystal and numerous monohydrate calcium oxalate (whewellite) crystals that look similar to red blood cells. (B) Same field of view using polarizing microscopy. Rule of thumb: Crystals can polarize light; red blood cells do not.



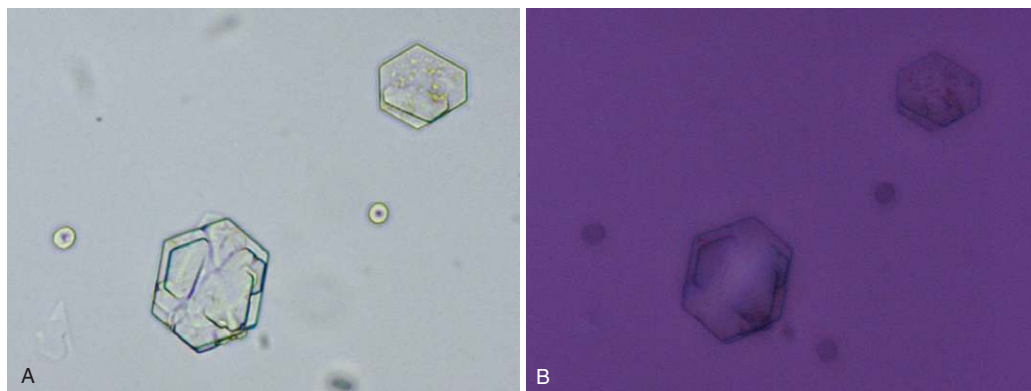
**FIG. 47** Calcium oxalate monohydrate (whewellite) crystals, atypical form. (A) Crystals have a yeast-like shape. Brightfield. (B) Polarizing microscopy identifies these structures as crystals, not yeast. Note the stronger birefringence in the “yeast-like” forms compared with the single, ovoid (red blood cell-like) form (*at bottom right*).

## Cholesterol Crystals



**FIG. 48** Cholesterol crystal (*arrow*). Brightfield.

## Cystine Crystals



**FIG. 49** Cystine crystals with layers or lamination. Red blood cells also present. (A) Brightfield. (B) Polarizing microscopy. Note the very weak birefringence of the largest crystal.

## Drug Crystals



**FIG. 50** A "sulfa" crystal, specifically sulfadiazine, surrounded by many yeast cells. Brightfield.



**FIG. 51** Numerous sulfamethoxazole (Bactrim) crystals surrounding a single barrel-shaped uric acid crystal. Note the yellow-brown color and the similar shape of the many sulfamethoxazole crystals to those of ammonium biurate (Fig. 42). Urine pH aids in differentiating these two crystals. Brightfield.

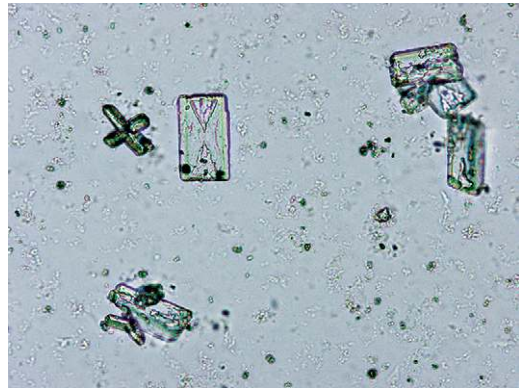


**FIG. 52** Indinavir (Crixivan); insoluble at pH >6.0. These crystals can be present as individual needles or prisms, which can aggregate into a variety of bundle forms—wing-like bundles, shocks of wheat, and X-shaped forms. Amorphous phosphates are also present. Brightfield.

## Phosphate Crystals



**FIG. 53** Triple phosphate crystals (geometric prism forms) and numerous amorphous phosphates. Brightfield.



**FIG. 54** Dissolving triple phosphate crystals and numerous amorphous phosphates.



**FIG. 55** Two atypical X-shaped triple phosphate crystals and a single rosette (stellate) calcium phosphate crystal (*upper right*).



**FIG. 56** Calcium phosphate crystals—prisms with tapered ends; dihydrate calcium oxalate crystals also present. Brightfield.



**FIG. 57** A calcium phosphate sheet. Brightfield.



**FIG. 58** Calcium phosphate crystals. Unusual flat, platelike form that layers. Brightfield.



**FIG. 59** Calcium phosphate crystals. Uncommon slender "needles." Brightfield.

## Urate Crystals



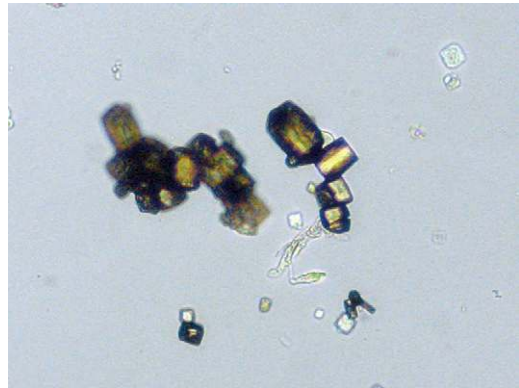
**FIG. 60** Monosodium urate crystals.



## Uric Acid Crystals



**FIG. 61** Uric acid crystals in the common diamond shape.



**FIG. 62** Uric acid crystals, barrel or cube forms.

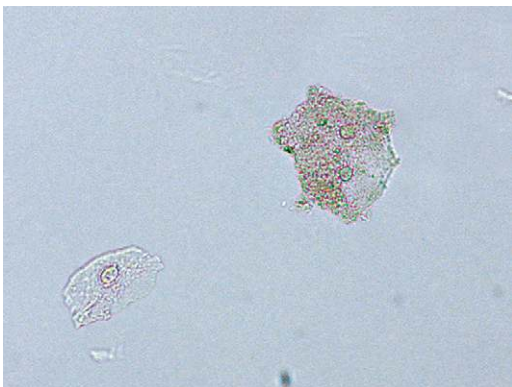


**FIG. 63** A chunk of a uric acid crystal; note the characteristic color. Also present are a squamous epithelial cell and two dihydrate calcium oxalate crystals.



**FIG. 64** A single uric acid crystal in an unusual band form and numerous calcium oxalate crystals (mono- and dihydrate forms).

## EPITHELIAL CELLS



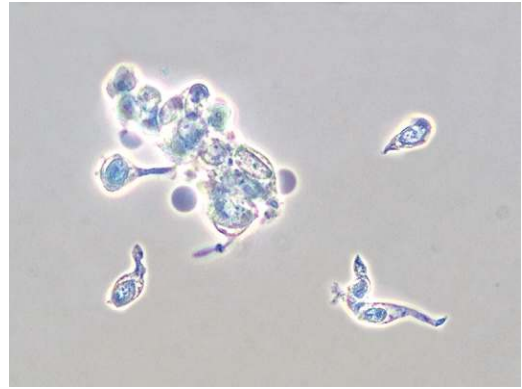
**FIG. 65** Two squamous epithelial cells covered with bacteria, known as *clue cells*, and a single typical or "normal" squamous epithelial cell (*lower left*). In urine that has been contaminated with vaginal secretions, clue cells may be observed. Brightfield.



**FIG. 66** Three squamous epithelial cells and a single white blood cell. Note the keratohyalin granules evident in the cytoplasm of squamous cells and the similarity in size between the white blood cell and the nuclei of these epithelial cells. Brightfield.



**FIG. 67** A squamous epithelial cell (*lower left cell*) and a transitional epithelial cell (*upper right cell*). Note the similarity in the size and central location of their nuclei. It is the amount of cytoplasm that differs, resulting in different nucleus-to-cytoplasm ratios. Several large rod-shaped bacteria are also present.



**FIG. 68** A clump of transitional epithelial cells and several individual caudate or club-like transitional epithelial cells. This urine was collected after catheterization and the cells were most likely dislodged during the catheterization process. Note the cytoplasmic blebs forming from some cells of the clump as they degenerate. Phase contrast microscopy.



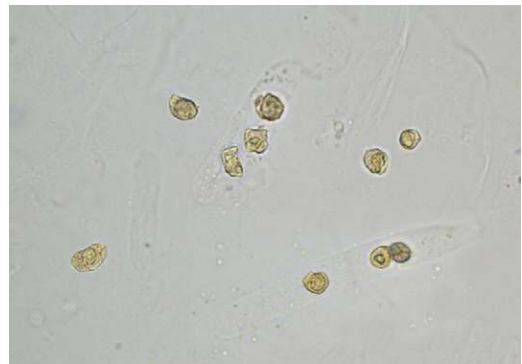
**FIG. 69** A fragment of transitional epithelial cells and numerous red blood cells. Note the variation in shape of the transitional epithelial cells—round to caudate.



**FIG. 70** Transitional epithelial cell or squamous epithelial cell? Reasoning could be used to justify classification into either category. Cells lining the urinary system convert from squamous to transitional (urothelial) epithelium. This cell most likely originated from this area of transition.



**FIG. 71** A transitional epithelial cell (*left*) and two typical cuboidal renal tubular (collecting duct) cells (*center and right*). The center cell is degenerating. Brightfield.



**FIG. 72** Bilirubin-stained renal tubular epithelial cells. Four cells are free-floating in the urine and two epithelial cell casts—each with three renal tubular cells embedded in their hyaline matrix. Note that renal tubular cells are small; these cells actually measured 11 to 18  $\mu\text{m}$  in diameter. Brightfield.



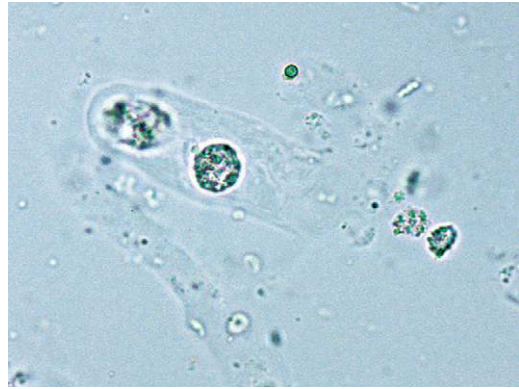


**FIG. 73** A single renal tubular cell (*arrow*) from a large collecting duct. Note the columnar shape and that the size of the nucleus is similar to that of red blood cells, which are also present. Brightfield.

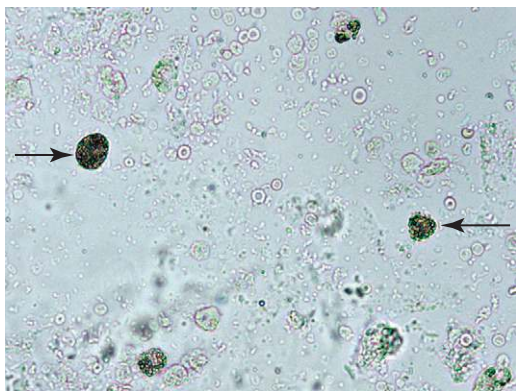
## FAT DROPLETS AND OVAL FAT BODIES



**FIG. 74** Several free fat droplets and a fatty cast. Note refractility, variation in size, and greenish hue of the fat droplets. Brightfield.



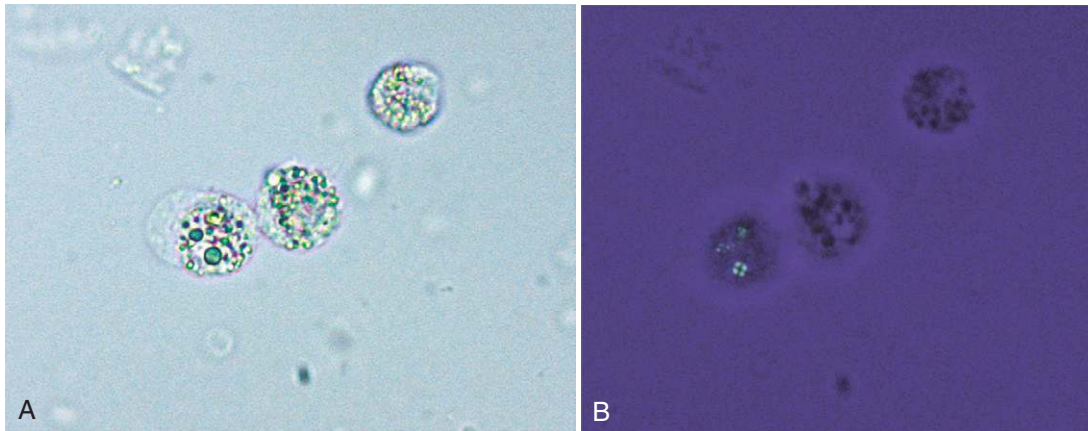
**FIG. 75** An oval fat body in the hyaline matrix of a cast. Also present in this field of view are another free-floating oval fat body, a fat droplet, and a hyaline cast. Note the similarity in size and shape of the fat droplet to a red blood cell. Brightfield.



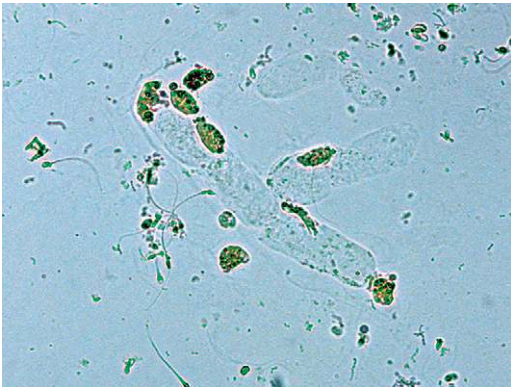
**FIG. 76** Two oval fat bodies (*arrows*) loaded with fat, hence their intense refractility. Numerous red blood cells, amorphous material, and debris are also present. Brightfield.



**FIG. 77** Two oval fat bodies and several renal tubular cells. Brightfield.



**FIG. 78** Three oval fat bodies. (A) Note that fat droplets within cells often vary in size, are highly refractile, and may have a greenish sheen (depends on microscope adjustments). Brightfield. (B) Same field as A using polarizing microscopy. Note the characteristic Maltese-style cross of some droplets in the oval fat body on the left, which indicates that these droplets are cholesterol. The non-birefringent fat droplets are neutral fat (triglyceride).



**FIG. 79** Several oval fat bodies enmeshed within casts and free in the urine sediment. Bacteria and spermatozoa are also present. Brightfield.



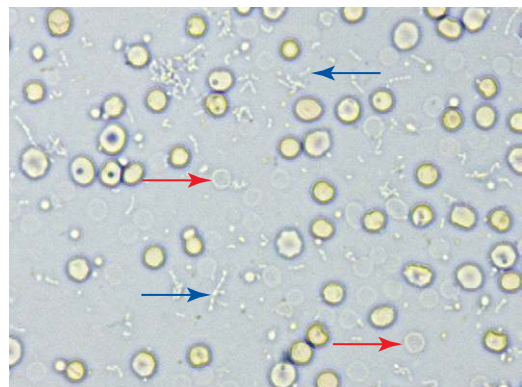
**FIG. 80** An oval fat body engorged with neutral fat (triglycerides) stained using Sudan III. Brightfield.

## MICROORGANISMS

### Bacteria



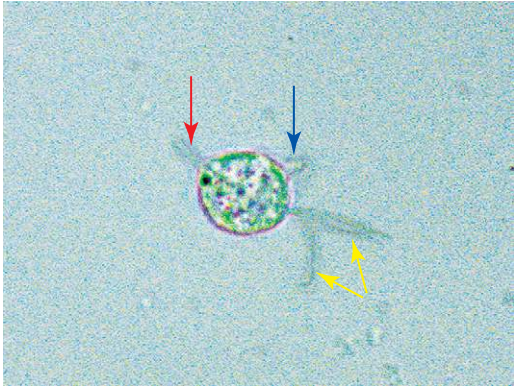
**FIG. 81** Numerous rod-shaped bacteria and a single dihydrate calcium oxalate crystal. Brightfield.



**FIG. 82** Numerous bacteria, singly and in chains, with several indicated by *blue arrows*. Many red blood cells, both normal and ghost forms (*red arrows*), are present. Brightfield.



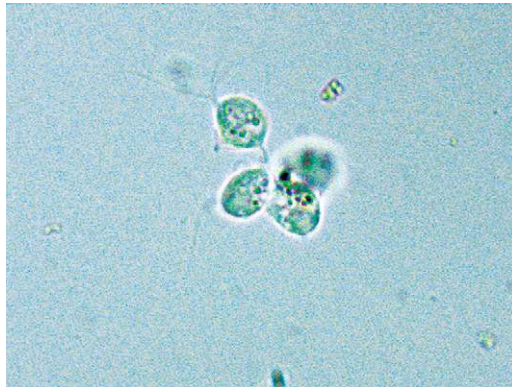
## Trichomonads



**FIG. 83** A trichomonad. Their characteristic rapid flitting motion results from their undulating membrane (*blue arrow*), anterior flagella (*two indicated by yellow arrows*), and axostyle (*red arrow*). Because of their size and granular appearance, non-motile (or dead) trichomonads may be misidentified as white blood cells. Brightfield.

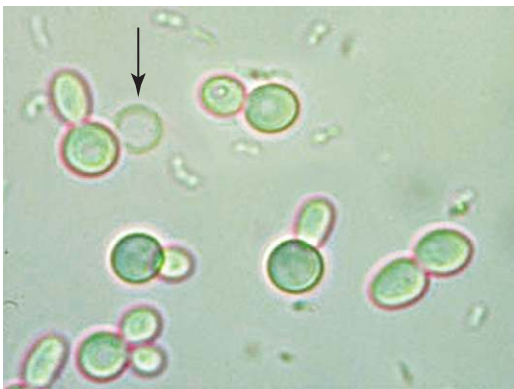


**FIG. 84** Two trichomonads. Brightfield.



**FIG. 85** A cluster of four trichomonads. It is common to observe trichomonads clustered together along with white blood cell (WBC) clumps in urine sediment. Brightfield.

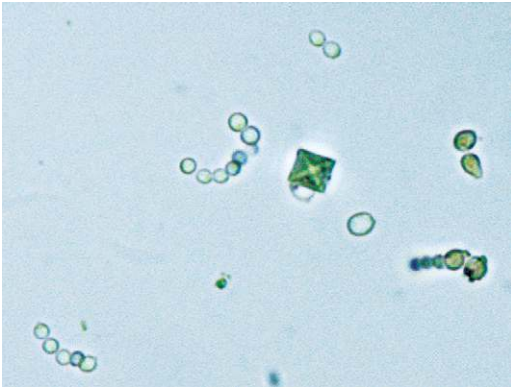
## Yeast



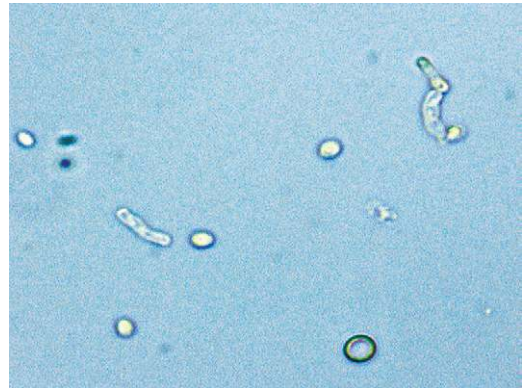
**FIG. 86** Several budding yeast (blastospores), bacteria, and a single ghost red blood cell (*arrow*). Note the refractility and sheen of the yeast, which is made most evident by focusing up and down during the microscopic examination. Brightfield.



**FIG. 87** A branch of pseudohyphae (*Candida* spp.) and a red blood cell demonstrating typical pink-red coloration. Several ovoid yeasts are present in a different focal plane. Brightfield.



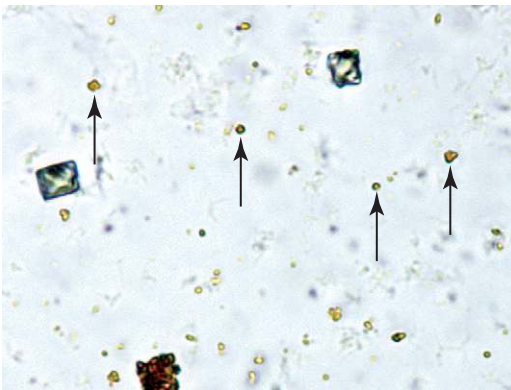
**FIG. 88** Yeast cells and blastoconidia (budding yeast). These yeast cells appear more round than oval, highlighting the fact that different species of yeast will appear differently. A single dihydrate calcium oxalate crystal is also present. Brightfield.



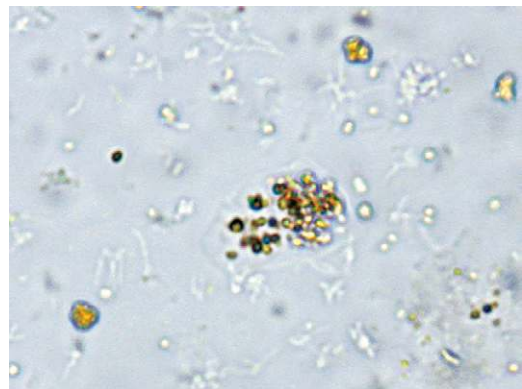
**FIG. 89** Early germ tube formation and several yeast cells. A single red blood cell is also present. Brightfield.

## MISCELLANEOUS FORMED ELEMENTS

### Hemosiderin



**FIG. 90** Hemosiderin granules in urine sediment appear yellow-brown. Numerous granules as well as a clump are present in this field of view. Four granules are identified by the *arrows*. Two dissolving dihydrate calcium oxalate crystals are also present. Brightfield.



**FIG. 91** Hemosiderin granules in the hyaline matrix of a cast (i.e., a hemosiderin cast). Brightfield.

### Mucus



**FIG. 92** A cluster of mucus threads. Because the refractive index of mucus is similar to that of urine, it can be difficult to observe using brightfield microscopy. Focusing up and down during the microscopic examination aids in the detection of mucus because it is often more apparent when slightly out of focus. A couple of squamous epithelial cells and other elements, on a different focal plane, are also present. Brightfield.

**Sperm**

**FIG. 93** A cluster of sperm trapped in mucus. Brightfield.



**FIG. 94** Sperm and bacteria in urine sediment. Note that several abnormal spermatozoa forms are present. Brightfield.



## Renal and Metabolic Disease

### LEARNING OBJECTIVES

*After studying this chapter, the student should be able to:*

1. Discuss the pathogenesis of glomerular damage and describe four morphologic changes that occur in glomeruli.
2. Describe the clinical features associated with glomerular disease and discuss factors that affect the degree to which they are present.
3. Describe briefly the morphologic appearances of the glomeruli, the mechanisms of glomerular damage, and the clinical presentations of the following glomerular diseases:
  - Acute glomerulonephritis
  - Chronic glomerulonephritis
  - Rapidly progressive glomerulonephritis
  - Focal proliferative glomerulonephritis
  - Focal segmental glomerulosclerosis
  - IgA nephropathy
  - Membranoproliferative glomerulonephritis
  - Membranous glomerulonephritis
  - Minimal change disease
4. Describe the pathologic mechanisms of glomerular damage in the following systemic diseases:
  - Systemic lupus erythematosus
  - Diabetes mellitus
  - Amyloidosis
5. State at least five clinical features that characterize nephrotic syndrome and identify diseases that are associated with this syndrome.
6. Differentiate between ischemic and toxic acute tubular necrosis and discuss the clinical presentation and urinalysis findings associated with this disease.
7. Describe the renal dysfunction and clinical features of the following renal tubular disorders:
  - Cystinosis
  - Cystinuria
  - Fanconi's syndrome
  - Renal glucosuria
  - Renal phosphaturia
  - Renal tubular acidosis
8. Compare and contrast the causes, clinical features, and typical urinalysis findings in the following tubulointerstitial diseases and urinary tract infections:
  - Acute and chronic pyelonephritis
  - Acute interstitial nephritis
  - Lower urinary tract infections
  - Yeast infections
9. Describe briefly the effects of vascular disease on renal function.
10. Compare and contrast the causes and clinical features of acute kidney injury and chronic kidney disease.
11. Summarize the pathogenesis of calculus formation. Discuss four factors that influence the formation of urinary tract calculi, and briefly review current treatment options.
12. Describe briefly the physiologic mechanisms, clinical features, and roles of the urinalysis laboratory in the diagnosis of the following amino acid disorders:
  - Cystinuria and cystinosis
  - Homogentisic acid (alkaptonuria)
  - Maple syrup urine disease
  - Phenylketonuria
  - Tyrosinuria and melanuria
13. Describe briefly the physiologic mechanisms, clinical features, and typical urinalysis findings in the following carbohydrate disorders:
  - Glucosuria
  - Diabetes mellitus
  - Galactosuria
14. Describe briefly the physiologic mechanisms, clinical features, and typical urinalysis findings in the following metabolic disorders:
  - Diabetes insipidus
  - Porphyrrias
15. Discuss the formation of porphobilinogen and its clinical significance.



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## KEY TERMS<sup>1</sup>

acute interstitial nephritis

acute poststreptococcal glomerulonephritis

acute pyelonephritis

acute kidney injury (AKI)

acute tubular necrosis (ATN)

alkaptonuria

aminoaciduria

amyloidosis

calculi (also called *stones*)

chronic glomerulonephritis

chronic pyelonephritis

chronic kidney disease (CKD)

cystinosis

cystinuria

diabetes insipidus

diabetes mellitus

Fanconi's syndrome

focal proliferative glomerulonephritis

focal segmental glomerulosclerosis

galactosuria

glomerulonephritides (plural) or glomerulonephritis (singular)

IgA nephropathy

maple syrup urine disease (MSUD)

melanuria

membranoproliferative glomerulonephritis

membranous glomerulonephritis

minimal change disease

nephritic syndrome

nephrotic syndrome

phenylketonuria

porphobilinogen

porphyria

rapidly progressive glomerulonephritis (RPGN)

renal phosphaturia

renal tubular acidosis

rhabdomyolysis

systemic lupus erythematosus

tyrosinuria

yeast infection

<sup>1</sup>Definitions are provided in the chapter and glossary.

For centuries, the study of urine has been used to obtain information about the health status of the body. From the time of Hippocrates (5th century BCE) to the present, the diagnosis of renal diseases and many metabolic diseases has been aided by the performance of a routine urinalysis. Because the onset of disease can be asymptomatic, a urinalysis may often detect abnormalities before a patient exhibits any clinical manifestations. In addition, urinalysis provides a means of monitoring disease progression and the effectiveness of treatments. This chapter discusses the clinical features of renal and metabolic diseases and the typical urinalysis results associated with them. Because extensive coverage of these diseases is beyond the scope of this text, the reader should see the bibliography for additional resources.

## RENAL DISEASES

Diseases of the kidney are often classified into four types based on the anatomic component initially affected: glomeruli, tubules, interstitium, or vasculature. Initially, renal

disease may affect only one anatomic component; however, with disease progression, other components are involved because of their close structural and functional interdependence. Susceptibility to disease varies with each structural component. Glomerular diseases most often are immune-mediated, whereas tubular and interstitial diseases result from infectious or toxic substances. In contrast, vascular diseases cause a reduction in renal perfusion that subsequently induces both anatomic and functional changes in the kidney.

### Glomerular Disease

Diseases that damage glomeruli are varied and include immunologic, metabolic, and hereditary disorders (Box 8.1). Systemic disorders are technically *secondary* glomerular diseases because they initially and principally involve other organs; the glomeruli become involved as a consequence as the systemic disease progresses. In contrast, primary glomerular diseases specifically affect the kidney, which is often

**BOX 8.1 Glomerular Diseases****Primary Glomerular Diseases**

- Acute glomerulonephritis
  - Poststreptococcal
  - Nonpoststreptococcal
- Crescentic glomerulonephritis
- Membranous glomerulonephritis
- Minimal change disease (lipoid nephrosis)
- Focal glomerulosclerosis
- Membranoproliferative glomerulonephritis
- IgA nephropathy
- Focal glomerulonephritis
- Chronic glomerulonephritis

**Secondary Glomerular Diseases****Systemic Diseases**

- Diabetes mellitus
- Systemic lupus erythematosus
- Amyloidosis
- Vasculitis, such as polyarteritis nodosa
- Bacterial endocarditis

**Hereditary Disorders**

- Alport's syndrome
- Fabry's disease

the only organ involved. *Primary* glomerular disorders, collectively called **glomerulonephritides**, consist of several different types of **glomerulonephritis**.

**Morphologic Changes in the Glomerulus**

Four distinct morphologic changes of glomeruli are recognized: cellular proliferation, leukocytic infiltration, glomerular basement membrane thickening, and hyalinization with sclerosis. One or more of these changes accompany each type of glomerulonephritis and form the basis for characterizing glomerular diseases.

In the glomerular tuft, cellular proliferation is characterized by increased numbers of endothelial cells (capillary endothelium), mesangial cells, and epithelial cells (podocytes). This proliferation may be segmental, involving only a part of each glomerulus. At the same time, proliferation can be focal, involving only a certain number of glomeruli, or diffuse, involving all glomeruli.

Drawn by a local chemotactic response, leukocytes, particularly neutrophils and macrophages, can readily infiltrate glomeruli. Present in some types of acute glomerulonephritides, leukocyte infiltration may also be accompanied by cellular proliferation.

Glomerular basement membrane thickening includes any process that results in enlargement of the basement membrane. Most commonly, thickening results from the deposition of precipitated proteins (e.g., immune complexes, fibrin) on either side of or within the basement membrane. However, in diabetic glomerulosclerosis, the basement membrane thickens without evidence of deposition of any material.

Hyalinization of glomeruli is characterized by the accumulation of a homogeneous, eosinophilic extracellular material in the glomeruli. As this amorphous substance accumulates, glomeruli lose their structural detail and become sclerotic. Various glomerular diseases lead to these irreversible changes.

**Pathogenesis of Glomerular Damage**

The primary mode of glomerular injury results from immune-mediated processes. Circulating antigen–antibody complexes and complexes that result from antigen–antibody reactions that occur within the glomerulus (i.e., *in situ*) play a role in glomerular damage.

Circulating immune complexes are created in response to endogenous (e.g., tumor antigens, thyroglobulin) or exogenous (e.g., viruses, parasites) antigens. These circulating immune complexes become trapped within the glomeruli. The antibodies associated with them have no specificity for the glomeruli; rather they are present in the glomeruli because of glomerular hemodynamic characteristics and physicochemical factors (e.g., molecular charge, shape, size). The result is that the immune complexes become entrapped in the glomeruli and bind complement, which subsequently causes glomerular injury.

A second immune mechanism involves antibodies that react directly with glomerular tissue antigens (e.g., anti-glomerular basement membrane disease) or with nonglomerular antigens that currently reside in the glomeruli. These latter nonglomerular antigens can originate from a variety of sources, such as drugs and infectious agents (i.e., viral, bacterial, parasitic). Because immune complexes, immunoglobulins, and complement retain reactive sites even after their deposition, their presence in the glomeruli actually induces further immune complexation.

Glomerular injury does not result from the immune complexes but rather from the chemical mediators and toxic substances that they induce. Complement, neutrophils, monocytes, platelets, and other factors at the site produce proteases, oxygen-derived free radicals, and arachidonic acid metabolites. These substances, along with others, stimulate a local inflammatory response that further induces glomerular tissue damage. The coagulation system also plays a role, with fibrin frequently present in these diseased glomeruli. Fibrinogen that has leaked into Bowman's space also induces cellular proliferation.

**Clinical Features of Glomerular Diseases**

Glomerular damage produces characteristic clinical features or a syndrome—a group of symptoms or findings that occur together. These nephritic syndromes occur with primary glomerular diseases, as well as in patients with glomerular injury due to a systemic disease (see [Box 8.1](#)). It becomes the clinician's task to differentiate among these conditions, identify the specific disease processes, and determine appropriate treatment.

The features that characterize glomerular damage (i.e., nephritic syndrome) include hematuria, proteinuria, oliguria, azotemia, edema, and hypertension ([Table 8.1](#)). The severity of each feature and the combination present vary depending on the number of glomeruli involved, the mechanism of

injury, and the rapidity of disease onset. A classic example of a condition characterized by all the features of a **nephritic syndrome** is acute poststreptococcal glomerulonephritis. In contrast, some forms of glomerulonephritis are asymptomatic and are detected only when routine screening reveals microscopic hematuria or subnephrotic proteinuria (e.g., membranoproliferative glomerulonephritis [MPGN], **focal proliferative glomerulonephritis**). Another syndrome that is a frequent manifestation of glomerular diseases is **nephrotic syndrome** (see next section). Glomerular diseases that manifest nephritic or nephrotic syndrome have the potential to ultimately develop into chronic kidney disease (CKD). When this occurs, only 15% to 20% of the functioning ability of the kidney remains. [Table 8.2](#) presents selected glomerular diseases along with a summary of their typical urinalysis results.

### Nephrotic Syndrome

**Nephrotic syndrome** is a group of clinical features that occur simultaneously. Representing increased permeability of the glomeruli to the passage of plasma proteins, most notably albumin, nephrotic syndrome is characterized by heavy proteinuria (3.5 g/day or more). Additional features include hypoproteinemia, hyperlipidemia, lipiduria, and generalized edema. Plasma albumin levels are usually less than 3 g/dL

because liver synthesis is unable to compensate for the large amounts of protein being excreted in the urine. Albumin is the predominant protein lost because of its high plasma concentration. However, proteins of equal or smaller size, such as immunoglobulins, low-molecular-weight complement components, and anticoagulant cofactors, are also excreted in increased amounts. As a result, patients with nephrotic syndrome are more susceptible to infections and thrombotic complications.

Hyperlipidemia in nephrotic syndrome is caused by increased plasma levels of triglycerides, cholesterol, phospholipids, and very-low-density lipoproteins. Whereas the exact mechanisms causing hyperlipidemia are still unknown, it is caused at least in part by increased synthesis of these lipids by the liver and is compounded by a decrease in their catabolism. Because of increased glomerular permeability, these lipids are able to cross the glomerular filtration barrier and appear in the urine. They may be present as free-floating fat globules, found within renal epithelial cells or macrophages (i.e., oval fat bodies), or encased in casts.

The generalized edema present with nephrotic syndrome is characteristically soft and pitting (i.e., when the flesh is depressed, an indentation remains). Development of edema is due primarily to decreased excretion of sodium.<sup>1</sup> Whereas the exact mechanism is not clearly understood, it is due in part to increased reabsorption of sodium and water by the distal tubules. Loss of protein and its associated oncotic pressure from the blood plasma also results in the movement of fluid into interstitial tissues; however, its role is minor compared to that of sodium in the development of edema in these patients. Edema is usually apparent around the eyes (periorbital) and in the legs, but in severe cases, patients also develop pleural effusions and ascites.

Along with heavy proteinuria and lipiduria in these patients, urine microscopic examination often shows a mild microscopic hematuria. In addition, pathologic casts such as fatty, waxy, and renal tubular casts are often present (see [Table 8.2](#)).

**TABLE 8.1 Syndromes That Indicate Glomerular Injury**

Syndrome	Clinical Features
Asymptomatic hematuria or proteinuria	Variable hematuria, subnephrotic proteinuria
Acute nephritic syndrome	Hematuria, proteinuria, oliguria, azotemia, edema, hypertension
Nephrotic syndrome	Proteinuria (>3 g/day), lipiduria, hypoproteinemia, hyperlipidemia, edema

**TABLE 8.2 Typical Urinalysis Findings With Selected Glomerular Diseases**

Disease	Physical and Chemical Examination	Microscopic Examination
Acute glomerulonephritis	Protein: mild (<1.0 g/day), can reach nephrotic level (>3–3.5 g/day) Blood: positive (degree variable)	↑ RBCs, often dysmorphic ↑ WBCs ↑ Renal tubular epithelial cells ↑ Casts: RBC, hemoglobin casts (pathognomonic), granular; occasional WBC and renal cell casts
Chronic glomerulonephritis	Protein: heavy (>2.5 g/day) Blood: positive (usually small) Specific gravity: low and fixed	↑ RBCs ↑ WBCs ↑ Casts: all types, particularly granular, waxy, broad ↑ Renal epithelial cells
Nephrotic syndrome	Protein: severe (>3.5 g/day) Blood: positive (usually small)	Lipiduria: oval fat bodies, free fat globules ↑ Casts: all types, particularly fatty, waxy, renal cell casts ↑ Renal epithelial cells ↑ RBCs

↑, Increased; RBC, red blood cell; WBC, white blood cell.

Nephrotic syndrome occurs in patients with minimal change disease (MCD; lipid nephrosis), membranous glomerulonephritis (MGN), focal segmental glomerulosclerosis, and MPGN. These glomerular diseases account for about 90% of all nephrotic syndrome cases in children and about 75% of those in adults. Systemic diseases that can present with nephrotic syndrome include diabetes mellitus, systemic lupus erythematosus (SLE), amyloidosis, malignant neoplasms, and infection, as well as renal responses to nephrotoxic agents (e.g., drugs, poisons).

### Types of Glomerulonephritides

Each glomerulonephritis can be classified on the basis of its characteristic anatomic alterations to the glomeruli. These morphologic and immunologic changes are apparent in renal biopsy specimens by light microscopy or with the use of special stains (e.g., immunofluorescent stain) and other microscopy techniques (e.g., fluorescent microscopy, electron microscopy). The different types of glomerulonephritides are not disease specific. For example, a patient recovering from an infection can have glomerulonephritis of the crescentic type, typical acute glomerulonephritis (AGN), or MCD. In addition, although initial presentation may be of one type, the disease can progress into that of another. A classic example is the eventual development of chronic glomerulonephritis in 90% of patients with crescentic glomerulonephritis or RPGN.

Table 8.3 summarizes the predominant forms of primary glomerulonephritides discussed in this section.

**Acute glomerulonephritis.** One cause of AGN is streptococcal infection, and it is specifically known as **acute poststreptococcal glomerulonephritis**. It is a common glomerular disease that occurs 1 to 2 weeks after a streptococcal infection of the throat or skin. Although the disease appears most often in children, AGN can affect individuals at any age. Only certain strains of group A  $\beta$ -hemolytic streptococci—those with M protein in their cell walls—induce this nephritis. The time delay between streptococcal infection and the clinical presentation of AGN actually correlates with the time required for antibody formation.

Morphologically, all glomeruli show cellular proliferation of the mesangium and endothelium, as well as leukocytic infiltration. Swelling of the interstitium caused by edema and inflammation obstructs capillaries and tubules. As a result, fibrin forms in the capillary lumina and red blood cell casts form in the tubules. In addition, deposits of immune complexes, complement, and fibrin can be shown in the mesangium and along the basement membrane with the use of special staining and microscopy techniques.

Typically, the onset of AGN is sudden and includes fever, malaise, nausea, oliguria, hematuria, and proteinuria. Edema may be present, often around the eyes (periorbital), knees, or ankles, and hypertension is usually mild to moderate.

**TABLE 8.3 Summary of Predominant Forms of Primary Glomerulonephritis**

Disease	Typical Outlook Solution	Pathogenesis	Glomerular Changes
Acute glomerulonephritis (AGN), poststreptococcal	Acute nephritic syndrome	Antibody mediated	Cellular proliferation (diffuse); leukocytic infiltration; interstitial swelling
Rapidly progressive glomerulonephritis (RPGN)	Acute nephritic syndrome	Antibody mediated; often anti-GBM	Cellular proliferation to form characteristic “crescents”; leukocytic infiltration; fibrin deposition; GBM disruptions
Membranous glomerulonephritis (MGN)	Nephrotic syndrome	Antibody mediated	Basement membrane thickening because of immunoglobulin (Ig) and complement (C) deposits; loss of foot processes (diffuse)
Minimal change disease (MCD)	Nephrotic syndrome	T-cell immunity dysfunction; loss of glomerular polyanions	Loss of foot processes
Focal segmental glomerulosclerosis (FSGS)	Proteinuria variable; subnephrotic to nephrotic	Unknown; possibly a circulating systemic factor; can reoccur after kidney transplant	Sclerotic glomeruli with hyaline and lipid deposits (focal and segmental); diffuse loss of foot processes; focal IgM and C3 deposits
Membranoproliferative glomerulonephritis (MPGN)	Depends on type: nephrotic syndrome or hematuria or proteinuria	Immune complex or complement activation	Cellular proliferation (mesangium); leukocytic infiltration; IgG and complement deposits
IgA nephropathy	Recurrent hematuria and proteinuria	IgA mediated; complement activation	Deposition of IgA in mesangium; variable cellular proliferation
Chronic glomerulonephritis	Chronic renal failure	Variable	Hyalinized glomeruli

GBM, Glomerular basement membrane; Ig, immunoglobulin.



Because this disease is immune-mediated, any blood or urine cultures for infectious agents are negative. Blood tests reveal an elevated antistreptolysin O titer, a decrease in serum complement, and the presence of cryoglobulins. In addition, the creatinine clearance is decreased and the ratio of blood urea nitrogen to creatinine is increased. Serum albumin levels can be normal; however, if large amounts of protein are lost in the urine, these levels are decreased.

More than 95% of children who develop acute post-streptococcal glomerulonephritis recover spontaneously or with minimal therapy. In contrast, only about 60% of adults recover rapidly; the remaining affected adults recover more slowly, with a subset ultimately developing chronic glomerulonephritis.

Although rare, AGN caused by nonstreptococcal agents has been reported. It has been associated with other bacteria (e.g., pneumococci), viruses (e.g., mumps, hepatitis B), and parasitic infection (e.g., malaria). Note that the clinical features of AGN are the same, regardless of which agent is causing the immune complex formation that induces the disorder.

**Rapidly progressive glomerulonephritis.** Rapidly progressive glomerulonephritis (RPGN) is also termed *crescentic glomerulonephritis*. It is characterized by cellular proliferation in Bowman's space to form crescents, from which its initial name was derived. These cellular crescents within the glomerular tuft cause pressure changes and can even occlude the entrance to the proximal tubule. Infiltration with leukocytes and fibrin deposition within these crescents are also characteristic of this type of glomerulonephritis. As a result of these degenerative glomerular changes, characteristic wrinkling and disruptions in the glomerular basement membrane are evident by electron microscopy.

RPGN develops (1) after an infection; (2) as a result of a systemic disease, such as SLE or vasculitis; or (3) idiopathically (usually after a flulike episode). Hematuria is present, and the level of proteinuria varies. Edema or hypertension may or may not be present. Although an antibody to the basement membrane can be demonstrated in most patients, others may show few or no immune deposits in the glomeruli. This fact supports the theory of multiple pathways leading to severe glomerular damage. Regardless of therapy, 90% of patients with RPGN eventually develop chronic glomerulonephritis and require long-term renal dialysis or kidney transplantation.

**Membranous glomerulonephritis.** The deposition of immunoglobulins and complement along the epithelial (podocytes) side of the basement membrane characterizes **membranous glomerulonephritis** (MGN). With time, the basement membrane thickens, enclosing the embedded immune deposits and causing loss of the foot processes. Eventually, thickening of the basement membrane severely reduces the capillary lumen, causing glomerular hyalinization and sclerosis. No cellular proliferation or leukocytic infiltration is evident.

MGN is the major cause of nephrotic syndrome in adults. Complement activation (specifically the action of C5b-9, the membrane attack complex of complement) is responsible

for the glomerular damage that results in leakage of large amounts of protein into the renal tubules.

In approximately 85% of patients, MGN is idiopathic. In the remaining patients, MGN is associated with immune-mediated disease. The antigens implicated can be exogenous (*Treponema*) or endogenous (thyroglobulin, DNA), and many antigens remain unknown. MGN frequently occurs secondary to other conditions, such as SLE, diabetes mellitus, or thyroiditis, or after exposure to metals (e.g., gold, mercury) or drugs (e.g., penicillamine).

The typical clinical presentation of MGN is sudden onset of nephrotic syndrome. Hematuria and mild hypertension may be present. The clinical course varies, with no resolution of proteinuria in up to 90% of patients. Although this may take many years, eventually 50% of patients with MGN progress to chronic glomerulonephritis. Only 10% to 30% of patients with MGN show complete or partial recovery.

**Minimal change disease.** Minimal change disease (MCD) is characterized by glomeruli that look normal by light microscopy; however, electron microscopy reveals the loss of podocyte foot processes. These foot processes are replaced by a simplified structure and their cytoplasm shows vacuolization. No leukocyte infiltration or cellular proliferation is present.

Despite the absence of any immunoglobulin or complement deposits, MCD is believed to be immunologically based, involving a dysfunction of T-cell immunity. Various factors support this theory; the most notable factor is the onset of MCD after infection or immunization and its rapid response to corticosteroid therapy. T-cell dysfunction causes loss of the glomerular "shield of negativity" or polyanions (e.g., heparan sulfate proteoglycan). Remember, albumin can pass readily through the glomerular filtration barrier if the negative charge is removed; hence MCD is characterized by nephrotic syndrome (i.e., massive proteinuria).

In children, MCD is responsible for most cases of nephrotic syndrome. Usually, no hypertension or hematuria is associated with it. Clinically, differentiation of MCD from MGN is based on the dramatic response of MCD to corticosteroid therapy. Although patients may become steroid dependent to keep the disease in check, the prognosis for recovery is excellent for children and adults.

**Focal segmental glomerulosclerosis.** Focal segmental glomerulosclerosis (FSGS) is characterized by sclerosis of glomeruli. The process is both focal (occurring in some glomeruli) and segmental (affecting a specific area of the glomerulus). Morphologically, sclerotic glomeruli show hyaline and lipid deposition, collapsed basement membranes, and proliferation of the mesangium. FSGS is characterized most by diffuse damage to the glomerular epithelium (podocytes). Although not readily apparent by light microscopy, electron microscopy reveals the diffuse loss of foot processes in both sclerotic and nonsclerotic glomeruli. Glomerular hyalinization and sclerosis result from the mesangial response to the accumulation of plasma proteins and fibrin deposits. In addition, immunoglobulin (Ig)M and C3 are evident by immunofluorescence in these sclerotic areas.

FSGS can occur (1) as a primary glomerular disease; (2) in association with another glomerular disease, such as IgA nephropathy; or (3) secondary to other disorders. Heroin abuse, acquired immunodeficiency syndrome (AIDS), reflux nephropathy, and analgesic abuse nephropathy are some conditions that can precede FSGS.

Proteinuria is a predominant feature of FSGS. In 10% to 15% of patients, it initially presents as nephrotic syndrome. The remaining patients exhibit moderate to heavy proteinuria. Hematuria, reduced glomerular filtration rate (GFR), and hypertension can also be present. Patients with FSGS usually have little or no response to corticosteroid therapy, which helps differentiate this disorder from MCD. Many patients develop chronic glomerulonephritis at variable rates. An interesting note is that FSGS can recur after renal transplantation (25–50%), sometimes within days, suggesting a circulating systemic factor as the causative agent.

**Membranoproliferative glomerulonephritis.** **Membranoproliferative glomerulonephritis** (MPGN) is characterized by cellular proliferation, particularly of the mesangium, along with leukocyte infiltration and thickening of the glomerular basement membrane. As a result of the increased numbers of mesangial cells, the glomeruli take on a microscopically visible lobular appearance. Ultrastructural characteristics subdivide MPGN into two types, types I and II.

Most cases of MPGN are immune-mediated, with the formation of immune complexes in the glomeruli or the deposition of complement in the glomeruli followed by its activation.

MPGN is a slow, progressive disease, with 50% of patients eventually developing CKD. MPGN has a varied presentation pattern, with some patients showing only hematuria or subnephrotic proteinuria (less than 3–3.5 g/day), whereas it accounts for 5% to 10% of patients who present with nephrotic syndrome. MPGN is similar to FSGS in that it also has an unusually high incidence of recurrence after renal transplant.

**IgA nephropathy.** The deposition of IgA in the glomerular mesangium characterizes **IgA nephropathy**, one of the most prevalent types of glomerulonephritides worldwide. However, IgA deposits are detectable only with the use of special stains and microscopy techniques (e.g., immunofluorescence). Apparently, circulating IgA complexes or aggregates become trapped and engulfed by mesangial cells. Aggregated IgA is known to be capable of activating the alternative complement pathway, resulting in glomerular damage. Morphologically, the glomerular lesions are varied. Some may appear normal, whereas others may show evidence of focal or diffuse cellular proliferation.

A common finding is recurrent hematuria in a range from gross to microscopic amounts. Proteinuria is usually present, varying in degree from mild to severe. IgA nephropathy often occurs 1 to 2 days after a mucosal infection of the respiratory, gastrointestinal (GI), or urinary tract from infectious agents (e.g., bacteria, viruses) that stimulate mucosal IgA synthesis. As a result, serum IgA levels are frequently elevated, and circulating IgA immune complexes are present in these patients.

IgA nephropathy primarily affects children and young adults. The disease is slow and progressive, eventually causing

CKD in 50% of patients. When disease onset occurs in old age or is associated with severe proteinuria and hypertension, renal failure develops more quickly.

**Chronic glomerulonephritis.** In time, numerous glomerular diseases result in the development of **chronic glomerulonephritis**. Morphologically, the glomeruli become hyalinized, appearing as acellular eosinophilic masses. In addition, the renal tubules are atrophied, fibrosis is evident in the renal interstitium, and lymphocytic infiltration may be present.

About 80% of patients who develop chronic glomerulonephritis have previously had some form of glomerulonephritis (Table 8.4). The remaining 20% of cases represent forms of glomerulonephritis that were unrecognized or subclinical in their presentation.

The development of chronic glomerulonephritis is slow and silent, taking many years to progress. Some patients may present only with edema, which leads to the discovery of an underlying renal disease. Occasionally, hypertension and cerebral or cardiovascular conditions manifest first clinically. Other clinical findings associated with chronic glomerulonephritis include proteinuria, hypertension, and azotemia. Death resulting from uremia and pathologic changes in other organs (e.g., uremic pericarditis, uremic gastroenteritis) occurs if patients are not maintained on dialysis or do not undergo renal transplantation.

### Systemic Diseases and Glomerular Damage

**Systemic lupus erythematosus** (SLE), a systemic autoimmune disorder, presents with a constellation of lesions and clinical manifestations. Almost all patients with SLE show some type of kidney involvement. The pathogenesis of glomerular damage involves the deposition of immune complexes (specifically anti-DNA complexes) and complement activation. Five morphologic patterns of lupus nephritis are recognized; however, none of them is diagnostic or unique to SLE. In other words, patients with SLE may exhibit any of the clinical glomerular syndromes (see Table 8.1): recurrent hematuria, acute nephritic syndrome, or nephrotic syndrome. An important note is that CKD is a leading cause of death in these patients.

**TABLE 8.4 Focal Segmental Glomerulosclerosis Diseases Resulting in Chronic Glomerulonephritis**

Disease	Approximate Percentage
Rapidly progressive glomerulonephritis (RPGN)	90%
Focal segmental glomerulosclerosis (FSGS)	50–80%
Membranous glomerulonephritis (MGN)	50%
Membranoproliferative glomerulonephritis (MPGN)	50%
IgA nephropathy	30–50%
Poststreptococcal glomerulonephritis	1–2%

Ig, Immunoglobulin.

**Diabetes mellitus** is another systemic disorder that frequently results in kidney disease. The most common renal conditions in diabetic patients present as a glomerular syndrome. However, other renal diseases occur and include vascular lesions of the renal arterioles, which are associated with hypertension, as well as enhanced susceptibility to pyelonephritis and papillary necrosis. Consequently, renal disease is a major cause of death in the diabetic population.

Thickening of the glomerular basement membrane is evident by electron microscopy in all diabetic patients. Proteinuria eventually develops in up to 55% of diabetic patients and can range from subnephrotic to nephrotic levels. Within 10 to 20 years of disease onset, pronounced cellular proliferation of the glomerular mesangium eventually results in glomerulosclerosis (Fig. 8.1). CKD usually develops within 4 to 5 years after the onset of persistent proteinuria and requires long-term renal dialysis or transplantation.

The development of diabetic glomerulosclerosis occurs more often with type 1 diabetes mellitus than with type 2. The Diabetes Control and Complications Trial demonstrated that blood glucose control significantly influences the development of microvascular complications in subjects with type 1 diabetes.<sup>2</sup> A similar correlation was demonstrated in individuals with type 2 diabetes during the United Kingdom Prospective Diabetes Study.<sup>3</sup> Therefore the same or similar underlying mechanisms of disease probably apply, and any improvement in blood glucose control can prevent the development and progression of diabetic nephropathy.

**Amyloidosis** is a group of systemic diseases that involve many organs; it is characterized by the deposition of amyloid, a pathologic proteinaceous substance, among cells in numerous tissues and organs. Amyloid is made up of about 90% fibril protein and 10% glycoprotein. Microscopically in tissue,

amyloid initially appears as an eosinophilic hyaline substance. It is differentiated from hyaline (e.g., collagen, fibrin) by Congo red staining, which imparts amyloid with a characteristic apple-green birefringence using polarizing microscopy.

The deposition of amyloid within the glomeruli eventually destroys them. As a result, patients with amyloidosis present clinically with heavy proteinuria or nephrotic syndrome. With continual destruction of glomeruli over time, renal failure and uremia develop.

## Tubular Disease

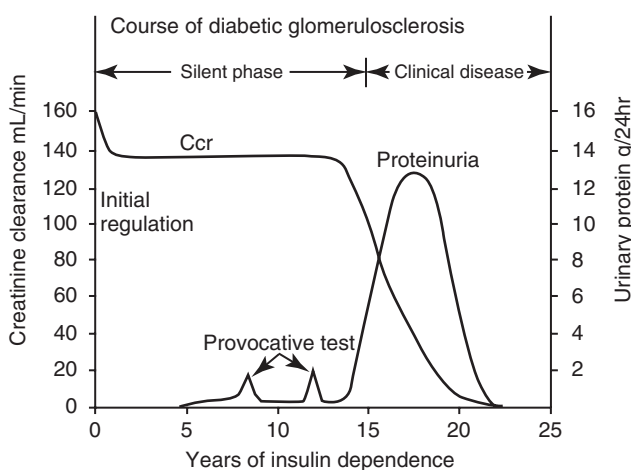
### Acute Tubular Necrosis

**Acute tubular necrosis (ATN)** is characterized by the destruction of renal tubular epithelial cells, and the causes vary. It can be classified into two distinct types: ischemic ATN and toxic ATN. Ischemic ATN follows a hypotensive event (e.g., shock) that results in decreased perfusion of the kidneys followed by renal tissue ischemia. In contrast, toxic ATN results from exposure to nephrotoxic agents that have been ingested, injected, absorbed, or inhaled. The tubular damage that results from either type of ATN can be reversed once the initiating event or agent has been identified and addressed. An interesting note is that approximately 50% of all cases of ATN result from surgical procedures.<sup>4</sup>

The three principal causes of *ischemic ATN* are sepsis, shock, and trauma. However, any obstruction to renal blood flow or occlusion of renal arteries or arterioles can result in the hypoperfusion of renal tissue and ischemia. Examples of sepsis and shock include extensive bacterial infections and severe burns; examples of trauma include crush injuries and numerous surgical procedures.

*Toxic ATN* is caused by a variety of agents that can be separated into two categories: endogenous and exogenous nephrotoxins. The tubular necrosis induced by these nephrotoxins can cause oliguria and Acute Kidney Injury (AKI). Endogenous nephrotoxins are normal solutes or substances that become toxic when their concentration in the bloodstream is excessive. They are primarily hemoglobin and myoglobin, and to a lesser degree uric acid and immunoglobulin light chains. Renal injury is due to a combination of factors including volume depletion, renal vasoconstriction (ischemia), direct heme-protein-mediated cytotoxicity, and cast formation.<sup>5,6</sup> Myoglobin and hemoglobin are two heme-containing proteins that are known to be toxic to renal tubules. Myoglobinuria results from **rhabdomyolysis**—the breakdown or destruction of skeletal muscle cells. It can occur as the result of traumatic muscle injury (e.g., crush injuries, surgery) or after nontraumatic muscle damage that occurs with excessive immobilization (due to intoxication or seizure), ischemia, inflammatory myopathies, heat stroke, or drugs. In contrast, hemoglobinuria follows severe hemolytic events in which haptoglobin—the plasma protein that normally binds free hemoglobin to prevent its loss in the urine—has been depleted, and free hemoglobin readily passes the glomerular filtration barrier into the tubules.

Exogenous nephrotoxins—substances ingested or absorbed—include numerous therapeutic agents (aminoglycosides,



**FIG. 8.1** A composite drawing showing the course of diabetic nephropathy. Exercise and other stress cause intermittent proteinuria before a sustained protein leak, which may lead to nephrotic syndrome. Initial regulation indicates initiation of insulin therapy. (With permission from Friedman EA, Shieh SD: Clinical management of diabetic nephropathy. In Friedman EA, L'Esperance FA, editors: *Diabetic renal-retinal syndrome*, New York, 1980, Grune-Stratton).

cephalosporins, amphotericin B, indinavir, acyclovir, foscarnet), anesthetics (enflurane, methoxyflurane), radiographic contrast media, chemotherapeutic drugs (cyclosporine), recreational drugs (heroin, cocaine), and industrial chemicals such as heavy metals (mercury, lead), organic solvents (carbon tetrachloride, ethylene glycol), and other poisons (mushrooms, pesticides).

Morphologically, ischemic ATN affects short segments (i.e., focal) of the tubules in random areas throughout the nephron, from the medullary segments of the proximal tubules and ascending loops of Henle to the collecting tubules. The tubular basement membrane is often disrupted (i.e., tubulorrhexis) as a result of complete necrosis of the tubular cells; consequently, the renal interstitium is exposed to the tubular lumen. As a result, renal cell fragments are sloughed into the urine. These cell fragments consist of three or more tubular cells shed intact and usually originate in the collecting duct (see Figs. 7.5 and 7.33). In contrast, toxic ATN causes tubular necrosis primarily in the proximal tubules and usually does not involve their basement membranes. Convoluted renal tubular epithelial cells are found in the urine sediment; the presence of these distinctively large proximal tubular epithelial cells indicates toxic ATN (see Fig. 7.31). In addition to tubular cell death, nephrotoxins in high concentrations often cause renal vasoconstriction. Because of this, patients may have characteristics associated with ischemic ATN. Both types of ATN show cast formation within the distal convoluted and collecting tubules. Compared to toxic ATN, however, ischemic ATN shows an increased number and variety of casts in the urine sediment, including granular, renal tubular cell, waxy, and broad casts.

The clinical presentation of ATN often is divided into three phases: onset, renal failure, and recovery. The onset of ATN may be abrupt after a hypotensive episode or deceptively subtle in a previously healthy individual after exposure to a toxin or administration of a nephrotoxic drug. This variable presentation develops into a renal failure phase with azotemia, hyperkalemia, and metabolic acidosis. At this time, approximately 50% of patients have a reduction in urine output to less than 400 mL/day (oliguria). The recovery phase is indicated by a steady increase in urine output; levels may reach 3 L/day. This diuretic state is exhibited by oliguric and nonoliguric patients and is explained best by the return to normal GFR before full recovery of the damaged tubular epithelium. This increased diuresis results in the loss of large amounts of water, sodium, and potassium until tubular function returns and the azotemia resolves. It takes about 6 months for full renal tubular function and concentrating ability to return.

### Tubular Dysfunction

Renal tubular dysfunction may result from a primary renal disease or may be induced secondarily. The dysfunction may involve a single pathway with only one solute type affected or may involve multiple pathways, thereby affecting a variety of tubular functions. Tables 8.5 and 8.6 summarize proximal and distal tubular dysfunctions and associated disorders. Isolated areas of the nephrons (e.g., the proximal tubules) can be affected, while the other regions retain essentially normal

**TABLE 8.5 Proximal Tubular Dysfunctions**

Dysfunction	Disease
<b>Single Defect in Proximal Tubular Function</b>	
Impaired ability to reabsorb glucose	Renal glucosuria
Impaired ability to reabsorb specific amino acids	Cystinuria (cystine and dibasic amino acids) Hartnup disease (monoamino-monocarboxylic amino acids)
Impaired ability to reabsorb sodium	Barter's syndrome
Impaired ability to reabsorb bicarbonate	Renal tubular acidosis type II
Impaired ability to reabsorb calcium	Idiopathic hypercalciuria
Excessive reabsorption of calcium	Hypocalciuric familial hypercalcemia
Excessive reabsorption of sodium	Gordon's syndrome
Excessive reabsorption of phosphate	Pseudohypoparathyroidism
<b>Multiple Defects in Proximal Tubular Function</b>	
Inherited diseases	Cystinosis Tyrosinemia Wilson's disease Galactosemia Hereditary fructose intolerance Glycogen storage disease
Metabolic diseases	Bone diseases, such as osteomalacia, primary hyperparathyroidism, vitamin D–dependent rickets
Renal diseases	Amyloidosis Nephrotic syndrome Transplant rejection Renal vascular injury
<b>Toxin Induced</b>	Heavy metals, such as lead, mercury, cadmium Drugs, such as aminoglycosides, cephalosporins, mercaptopurine, expired tetracycline

**TABLE 8.6 Distal Tubular Dysfunctions**

Dysfunction	Disease
Impaired ability to reabsorb phosphate	Familial hypophosphatemia (vitamin D–resistant rickets)
Impaired ability to reabsorb calcium	Idiopathic hypercalciuria
Impaired ability to acidify urine	Renal tubular acidosis types I and IV
Impaired ability to retain sodium	Renal salt-losing disorders
Impaired ability to concentrate urine	Nephrogenic diabetes
Excessive reabsorption of sodium	Liddle's syndrome



TABLE 8.7 Typical Urinalysis Findings With Selected Tubular Diseases

Disease	Physical and Chemical Examination	Microscopic Examination
Acute tubular necrosis	Protein: mild (<1 g/day) Blood: positive Specific gravity: low	↑ RBCs ↑ WBCs ↑ Renal epithelial cells, including renal cell fragments; proximal tubular cells in toxic ATN; collecting tubular cells in ischemic ATN ↑ Casts: renal cell, granular, waxy, and broad
Cystinuria and cystinosis	Blood: positive (usually small) Cystinosis <i>only</i> : Protein: mild (<1 g/day)	↑ RBCs Cystine crystals
Renal tubular acidosis <sup>a</sup>	pH >5.5	Unremarkable
Fanconi's syndrome	Protein: moderate (<2.5 g/day) Glucose: positive; amount variable	Unremarkable

<sup>a</sup>Patients with renal tubular acidosis can develop Fanconi's syndrome.

↑, Increased; ATN, acute tubular necrosis; RBCs, red blood cells; WBCs, white blood cells.

function. Because renal tubular disorders do not affect glomerular function, the GFR is usually normal. This section discusses commonly encountered tubular dysfunctions, and Table 8.7 outlines the typical urinalysis findings associated with them.

**Fanconi's syndrome.** The term **Fanconi's syndrome** is used to characterize any condition that presents with a generalized loss of proximal tubular function. As a consequence of this dysfunction, amino acids, glucose, water, phosphorus, potassium, and calcium are not reabsorbed from the ultrafiltrate and are excreted in the urine. A spectrum of disorders, including inherited diseases (e.g., cystinosis), toxin exposure (e.g., lead), metabolic bone diseases (e.g., rickets), and renal diseases (e.g., amyloidosis), can present with this syndrome.

**Cystinosis and cystinuria.** Both cystinosis and cystinuria are inherited autosomal recessive disorders that cause renal tubular dysfunction and urinary excretion of the amino acid cystine. These disorders are distinctively different in the gene involved, their clinical presentations, and the physiologic mechanisms responsible for cystine in the urine. For additional discussion, see subsection Amino Acid Disorders later in this chapter.

**Renal glucosuria.** Glucosuria can result from a lowered maximal tubular reabsorptive capacity ( $T_m$ ) for glucose. Normally, the  $T_m$  for glucose is approximately 350 mg/min by the proximal tubules. Renal glucosuria is a benign inherited condition that results in excretion of glucose in the urine despite normal blood glucose levels. In these patients, glucosuria is caused by a reduction in the glucose  $T_m$ .

As discussed in Chapter 6, glucosuria also occurs with pre-renal conditions (e.g., diabetes mellitus) and intrinsic renal disease (i.e., defective tubular absorption) (see Table 6.17).

**Renal phosphaturia.** **Renal phosphaturia** is an uncommon hereditary disorder characterized by an inability of the distal tubules to reabsorb inorganic phosphorus. The tubular defect appears to be twofold: a hypersensitivity of the distal tubules to the parathyroid hormone that causes increased phosphate excretion and a decreased proximal tubular

response to lowered plasma phosphate levels. Because of low plasma phosphate levels, bone growth and mineralization are decreased.

Patients with renal phosphaturia may be asymptomatic or can exhibit signs of severe deficiency such as osteomalacia or rickets and growth retardation. Inherited as a dominant sex-linked characteristic, this disorder is often termed *familial hypophosphatemia* or *vitamin D-resistant rickets*.

**Renal tubular acidosis.** **Renal tubular acidosis** (RTA) is characterized by the inability of the tubules to secrete adequate hydrogen ions despite a normal GFR. Consequently, despite being in acidosis, these patients are unable to produce an acid urine (i.e., urine pH <5.3). RTA can be inherited as an autosomal dominant trait, with partial or complete expression, or it can occur secondary to a variety of diseases.

Several forms of RTA (types I, II, III, and IV) are identified based on their renal tubular defect(s). In type I RTA, the tubular dysfunction appears to be twofold: an inability to maintain the normal hydrogen ion gradient and an inability to increase tubular ammonia secretion to compensate. The defect in maintaining the hydrogen ion gradient results from a tubular secretory defect or from increased back-diffusion of hydrogen ions into the distal tubular cells. Regardless, patients with RTA become acidotic, and their bodies compensate by removing calcium carbonate from bone to buffer the retained acids. Consequently, these patients develop osteomalacia and hypercalcemia. The resultant hypercalciuria can cause the precipitation of calcium salts in the tubules and renal parenchyma (nephrocalcinosis).

Type II RTA is characterized by decreased proximal tubular reabsorption of bicarbonate. As a result, an increased amount of bicarbonate remains for distal tubular reabsorption. To compensate, most of the hydrogen ions that the distal tubule secretes are used to retain bicarbonate and are not eliminated in the urine. Consequently, hydrogen ion excretion decreases and urine pH increases. This type of RTA rarely occurs without additional abnormalities of the proximal tubule (e.g., Fanconi's syndrome).

Patients with type III RTA express characteristics of type I and type II RTA. Type IV RTA is characterized by an impaired ability to exchange sodium for potassium and hydrogen in the distal tubule.

Numerous conditions can give rise to acquired RTA. Approximately 30% of patients with acquired RTA type I have an autoimmune disorder that has an associated hypergammaglobulinemia such as biliary cirrhosis or thyroid disease. Drugs, nephrotoxins, and kidney transplant rejection can result in the development of RTA, as can inborn errors of metabolism such as Wilson's disease or cystinosis.

Individualized treatment for RTA consists of reducing acidemia by oral administration of alkaline salts (e.g., sodium bicarbonate) and potassium. This serves to raise the plasma pH toward normal and to replace lost potassium (primarily in RTA types I and II). Other clinical problems such as the development of renal calculi (stones) and upper urinary tract infection (UTI) may require additional treatment regimens.

### Tubulointerstitial Disease and Urinary Tract Infections

Because of their close structural and functional relationships, a disease process affecting the renal interstitium inevitably involves the tubules, leading to tubulointerstitial disease. Numerous conditions or factors are capable of causing a tubulointerstitial disease process, and the pathogenic mechanism for each can differ (Box 8.2). Tubulointerstitial disease and lower UTI can be intimately involved because the latter represents the principal mechanism leading to the development of

acute pyelonephritis. Table 8.8 outlines typical routine urinalysis findings in selected UTIs and tubulointerstitial diseases.

### Urinary Tract Infections

UTIs can involve the upper or lower urinary tract. A lower UTI can involve the urethra (urethritis), the bladder (cystitis), or both, whereas an upper UTI can involve the renal pelvis alone (pyelitis) or can include the interstitium (pyelonephritis).

#### BOX 8.2 Causes of Tubulointerstitial Diseases

- Infection
  - Acute pyelonephritis
  - Chronic pyelonephritis
- Toxins
  - Drugs
    - Acute interstitial nephritis
    - Analgesic nephritis
  - Heavy metal poisonings, such as lead
- Metabolic disease
  - Urate nephropathy
  - Nephrocalcinosis
- Vascular diseases
- Irradiation
  - Radiation nephritis
- Neoplasms
  - Multiple myeloma
- Transplant rejection

**TABLE 8.8 Typical Urinalysis Findings in Selected Urinary Tract Infections and Tubulointerstitial Diseases**

Disease	Physical and Chemical Examination	Microscopic Examination
<b>Lower Urinary Tract Infection</b>		
Cystitis	Protein: small (<0.5 g/day) Blood: + (usually small) Leukocyte esterase: ±; usually + Nitrite: ±; usually +	↑ WBCs ↑ Bacteria: variable, small to large numbers ↑ RBCs ↑ Transitional epithelial cells
<b>Tubulointerstitial Disease (Upper Urinary Tract Infection)</b>		
Acute pyelonephritis	Protein: mild (<1 g/day) Blood: + (usually small) Leukocyte esterase: ±; usually + Nitrite: ±; usually + Specific gravity: normal to low	↑ WBCs, often in clumps; macrophages ↑ Bacteria: variable, small to large numbers ↑ Casts: WBC (pathognomonic), granular, renal cell, waxy ↑ RBCs ↑ Renal epithelial cells
Chronic pyelonephritis	Protein: moderate (<2.5 g/day) Leukocyte esterase: ± Specific gravity: low	↑ WBCs, macrophages ↑ Casts: granular, waxy, broad; few WBCs and renal cells
Acute interstitial nephritis	Protein: mild (≈1 g/day) Blood: + (degree variable) Leukocyte esterase: ±; usually +	↑ WBCs, macrophages; differential reveals increased eosinophils ↑ RBCs ↑ Casts: leukocyte (eosinophil) cast, granular, hyaline, renal cell ↑ Renal epithelial cells (Crystals—drug crystals possible if drug is inducing the disease)

±, Either positive or negative; +, positive; ↑, increased; RBC, red blood cell; WBC, white blood cell.

UTIs are common and affect females approximately 10 times more often than males. This predisposition in females is due to several factors: a short urethra with close proximity to the vagina and rectum; hormones that enhance bacterial adherence to mucosa; the absence of prostatic fluid and its antibacterial action; and the “milking” of bacteria up the urethra during sexual intercourse.

Normally, urine and the urinary tract are sterile, except for the normal bacterial flora at the extreme outermost (distal) portion of the urethra. Continual flushing of the urethra during voiding of urine normally prevents the movement of bacteria into sterile portions of the urinary tract. In spite of this, UTIs are caused most often by bacteria from the GI tract (fecal flora) and are considered endogenous infections (i.e., infecting agent originates from “within the body”). In other words, because of various factors, intestinal bacteria get introduced into the urinary tract, where they proliferate and cause an infection. Approximately 85% of all UTIs are caused by the gram-negative rods present in normal feces. The most common pathogen is *Escherichia coli*; however, *Proteus*, *Klebsiella*, *Enterobacter*, and *Pseudomonas* are other gram-negative rods that are often encountered. *Streptococcus fecalis* (enterococci) and *Staphylococcus aureus* are gram-positive organisms that have also been implicated in UTIs. It is worth noting, however, that essentially any bacterial or fungal agent can cause a UTI.

Lower UTIs are often characterized by pain or burning on urination (dysuria) and the frequent urge to urinate even when the bladder has just been emptied (i.e., urgency). Other symptoms that may or may not be present include low-grade fever and pressure or cramping in the lower abdomen. It is important to note that in the elderly, a common initial and only sign of a UTI is mental confusion or distress.

With a lower UTI, routine urinalysis reveals leukocyturia and bacteriuria. Of particular note is the absence of pathologic casts; this differentiates a lower UTI from one of the upper urinary tract in which casts are present. A quantitative urine culture can be used to establish the diagnosis and to identify the causative agent, with a finding of  $1 \times 10^5$  bacterial colonies per milliliter indicating infection. Minimal hematuria and proteinuria can also be present. Owing to irritation and inflammation of the bladder epithelium, increased numbers of transitional epithelial cells may also be sloughed and noted microscopically (see [Table 8.8](#)).

Symptoms of a UTI usually disappear within 2 days after initiation of antibiotic treatment. The urinary analgesic phenazopyridine (Pyridium) may also be prescribed to relieve dysuria and urgency; note that this drug is not an antibiotic. Phenazopyridine will distinctly change the color of the urine to a characteristically bright orange.

The clinical presentation and findings in an upper UTI (e.g., acute pyelonephritis) are discussed in the next section.

### Acute Pyelonephritis

**Acute pyelonephritis** is a bacterial infection that involves the renal tubules, interstitium, and renal pelvis. Two different mechanisms can lead to a kidney infection: (1) movement

of bacteria from the lower urinary tract to the kidneys or (2) localization of bacteria from the bloodstream in the kidneys (hematogenous infection). The most common cause is an ascending UTI from gram-negative organisms that are normal intestinal flora. Usually if bacteria reach the bladder, they are prevented from ascending the ureters to the kidneys by the continual flow of urine into the bladder and by other antibacterial mechanisms. However, when bladder emptying is incomplete (e.g., obstruction, dysfunction), a few bacteria can exponentially proliferate in the residual urine in the bladder. When these bacteria move up the ureters to the kidneys, an upper UTI or pyelonephritis can be established.

Acute pyelonephritis is associated with predisposing conditions that enhance the proliferation and movement of bacteria to the kidneys. One of these conditions is vesicoureteral reflux (VUR), which causes the abnormal flow of urine up into the ureters. VUR is most commonly diagnosed in infancy or childhood and is associated with a congenital, inherited anatomic variation at the junction of the ureter and bladder (e.g., the valve), which allows the backward flow of urine up the ureters. VUR can also occur after bladder surgery or other abdominal surgery. Other conditions that lead to upper UTIs include catheterization, urinary tract obstruction, sepsis (blood infection), pregnancy, diabetes mellitus, and immunosuppressive conditions. After bacteria reach the kidney, they multiply predominantly in the interstitium, causing an acute inflammation. The inflammation eventually involves the tubules, which become necrotic, and bacterial toxins along with leukocytic enzymes cause abscesses to form. Large numbers of neutrophils accumulate in these tubules in an attempt to prevent further spread of the infection. Note that the glomeruli are rarely involved in these infections.

Clinically, acute pyelonephritis has a sudden onset characterized by flank (side), back, or groin pain; dysuria; and a frequent urge to urinate (urgency), which includes nocturia. Patients may also present with a high fever, chills, nausea, headache, and generalized malaise. As with a lower UTI, elderly individuals frequently have mental confusion or distress, and this may be the only symptom noted.

Urinalysis will reveal bacteria and large numbers of leukocytes (leukocyturia) and other inflammatory cells (e.g., macrophages) that derive from the inflammatory infiltrate in the kidney. The presence of leukocyte casts, as well as other casts (e.g., granular, renal tubular cell, broad), is pathognomonic of renal involvement (i.e., an upper UTI). Minimal to mild proteinuria and hematuria are present, and the urine specific gravity is usually low (see [Table 8.8](#)).

Acute pyelonephritis lasts 1 to 2 weeks and is most often benign. With appropriate antibiotic therapy, symptoms may take a week or longer to disappear. If predisposing conditions are not resolved, ongoing or chronic infection can eventually lead to permanent renal damage.

### Chronic Pyelonephritis

**Chronic pyelonephritis** develops when persistent inflammation of renal tissue causes permanent scarring that involves the renal calyces and pelvis. Many diseases can cause chronic

tubulointerstitial disease; however, most do not involve the renal calyces and pelvis. The most common causes of chronic pyelonephritis are reflux nephropathies, such as VUR and intrarenal reflux, and chronic urinary tract obstruction. VUR was discussed previously (see subsection Acute Pyelonephritis). Intrarenal reflux occurs within the renal pelvis because of anatomic variations of the renal papillae; these structural abnormalities cause urine to move backward (up the collecting ducts) and into the renal cortex instead of down the ureters into the bladder.

When bacteria-laden urine is sent to the renal interstitium by reflux nephropathies or obstruction, an upper UTI develops. If infection and inflammation are ongoing or *chronic*, fibrosis and scarring of the renal tissue occur. Over time, the renal calyces become dilated and deformed; this is a characteristic feature of chronic pyelonephritis.

The onset of reflux nephropathies is usually subtle and is often detected by routine urinalysis or after a patient develops hypertension and renal failure. Urinalysis reveals increased leukocytes and proteinuria; bacteria may or may not be present. Whereas casts are present in early stages, they are usually absent in later chronic stages. Polyuria and nocturia develop as tubular function is lost; hence the urine specific gravity is low. With disease progression and the development of hypertension, renal blood flow and the GFR are affected. Approximately 10% to 15% of patients with chronic pyelonephritis develop CKD (end-stage renal disease) and require dialysis.

### Acute Interstitial Nephritis

Any immune response in the interstitium of the kidney can cause **acute interstitial nephritis** (AIN). Although various drugs and conditions can be involved, the most common cause is acute allograft rejection of a transplanted kidney.<sup>6</sup> Among medications, antibiotics, particularly methicillin, cephalosporins, sulfonamides, rifampin, and ciprofloxacin, are most notably associated with AIN. Other medications associated with AIN include nonsteroidal antiinflammatory drugs (NSAIDs) such as ibuprofen; antiepileptic agents (phenytoin, carbamazepine); and allopurinol. Conditions such as leukemia, lymphoma, sarcoidosis, bacterial infection (e.g., *Escherichia coli*, *Staphylococcus*, *Streptococcus*, tuberculosis), and viral infection (e.g., cytomegalovirus, Epstein-Barr virus) can also cause AIN.

Whether a medication, a microorganism, or tissue, these agents induce a cell-mediated immune response that causes damage to the interstitium and the renal tubular epithelium. The renal interstitium becomes edemic and infiltrated with white blood cells (WBCs), particularly lymphocytes, macrophages, eosinophils, and neutrophils. Although varying degrees of renal tubular necrosis may occur, it is interesting to note that the glomeruli and renal blood vessels usually are not involved and retain normal functioning ability.

With AIN, routine urinalysis will reveal hematuria, mild proteinuria, and leukocyturia without bacteria (i.e., sterile leukocyturia). A differential analysis of the urine WBCs will reveal increased numbers of eosinophils (eosinophiluria).

WBC or eosinophil casts may also be present in the urine sediment.

With antibiotic-related AIN, typical symptoms include fever, skin rash (25% of individuals), and eosinophilia. Other cases of AIN (e.g., NSAIDs, systemic conditions) do not present with these symptoms. The development of AIN usually begins 3 to 21 days after exposure to the offending agent. With medications, discontinuation of the offending drug can result in full recovery of renal function; however, irreversible damage can occur, especially in elderly individuals.

### Yeast Infections

The urinary tracts of men and women are susceptible to **yeast infection**, although such infections occur more commonly in the vagina. *Candida* species (e.g., *Candida albicans*) are normal flora in the GI tract and vagina, and their proliferation is kept in check by the normal bacterial flora in these areas. When the bacterial flora is adversely disrupted by antibiotics or pH changes, yeasts proliferate. Urine and blood catheters provide a mode of inoculating yeasts into the urinary tract or bloodstream. *Candida* has a predilection for renal tissue and can cause an upper or lower UTI. Yeast infections can be particularly severe and difficult to manage in immunocompromised patients.

### Vascular Disease

Because kidney function is directly dependent on receiving 25% of the cardiac output, any disruption in the blood supply will affect renal function. Likewise, any changes in the vasculature of the kidney directly affect the close interrelationship and interdependence of the blood vessels with the renal interstitium and tubules. Therefore disorders that alter the blood vessels or the blood supply to the kidney can cause renal disease. Atherosclerosis of intrarenal arteries causes a reduction in renal blood flow, whereas hypertension, polyarteritis nodosa, eclampsia, diabetes, and amyloidosis often cause significant changes in the renal arterioles and glomerular capillaries such that severe and fatal renal ischemia can result. Hypertension is a frequent finding in many kidney disorders when the role of the kidneys in blood pressure control is compromised by disease.

### Acute Kidney Injury

**Acute kidney injury (AKI)** is characterized clinically by a sudden decrease in the GFR, azotemia, and oliguria (i.e., urine output less than 400 mL). Although the nephrons are “functionally” abnormal, no histologic abnormality is usually present. Often the initial oliguria leads to anuria, and, despite the fact that AKI is usually temporary and reversible, it has a high mortality rate.

The mechanisms that cause AKI can be classified as prerenal, renal, and postrenal. Approximately 25% of AKI cases are prerenal and result from a decrease in renal blood flow. Any event that reduces the mean arterial blood pressure in the afferent arterioles to below 80 mm Hg causes a reduction in the GFR. The most common initiator is a decreased cardiac output, which causes a decrease in renal perfusion.



If this condition lasts long enough, tissue ischemia results. In fact, ischemic ATN is the most common cause of AKI. Other conditions that cause a sudden reduction in blood volume and that are associated with this type of AKI include hemorrhages, burns, and surgical procedures, as well as acute diarrhea and vomiting. In response to decreased blood pressure, the kidneys increase sodium and water reabsorption in an attempt to restore normal blood volume and perfusion. If this process is unsuccessful, ischemic renal injury can occur, and the development of a renal-type AKI is superimposed on the initiating prerenal cause.

The urine sediment in prerenal AKI is not distinctive. In contrast, urine electrolytes provide information that aids in identifying this disease process. The urine sodium concentration is low because an increased amount of sodium is being reabsorbed. Despite this, the urine osmolality is usually greater than the serum osmolality, and the ratio of blood urea nitrogen to creatinine is significantly increased.

Renal causes of AKI account for approximately 65% of cases. Characterized by renal damage, AKI can result from any glomerular, tubular, or vascular disease process. Most patients (99%) present clinically with ATN. As ATN progresses, renal tubular destruction leads to loss of water and electrolytes (e.g., sodium, potassium). The increased urinary excretion of sodium in renal AKI contrasts with the decreased urine sodium excretion from prerenal causes of AKI and aids in their differentiation.

Postrenal causes of AKI include obstructions in urine flow and account for approximately 10% of patients with AKI. Mechanical obstruction within the kidney can result from various sources such as crystalline deposition (e.g., drugs, amino acids, solutes) and neoplasms. The obstruction causes an increase in hydrostatic pressure within the tubules and Bowman's space. As a result, normal filtration pressures across the glomerular filtration barrier are disrupted and the GFR is decreased. Eventually, the tubules become damaged and renal function is lost.

Regardless of the cause of AKI, the urinalysis findings are not characteristically diagnostic. However, careful examination is important to aid in diagnosing the underlying cause (e.g., ATN, obstruction, myoglobinuria, nephrotoxin). The clinical course of AKI varies greatly; patients who survive usually regain normal renal function. Monitoring of the patient's fluids and electrolytes is crucial during the course of AKI, with dialysis often needed to control the azotemia. If a patient becomes overhydrated, edema and heart failure can result. The high mortality of AKI is due principally to concomitant infection or potassium intoxication.

### Chronic Kidney Disease

Progressive loss of kidney function caused by an irreversible and intrinsic renal disease characterizes **chronic kidney disease (CKD)**, which may also be called chronic renal failure. With CKD, the GFR slowly but continuously decreases. Note that the decreasing GFR becomes clinically recognizable only after 80% to 85% of normal renal function has been lost (i.e., GFR  $\approx$  15–20 mL/min). Hence the course of CKD is often

described as “slow and silent.” Early in the course of CKD, the remaining healthy nephrons hypertrophy to compensate for those destroyed and in doing so are able to maintain the “appearance” of normal renal function.

Numerous diseases result in CKD, with the glomerulonephropathies accounting for 50% to 60% of cases. Diabetic nephropathy, chronic pyelonephritis, hypertension, collagen vascular diseases (e.g., SLE), and congenital abnormalities are some other causes.

Clinically, CKD presents with azotemia, acid-base imbalance, water and electrolyte imbalance, and abnormal calcium and phosphorus metabolism. Periodic measurement of the GFR or estimated GFR (eGFR) assists the clinician in monitoring CKD. Other clinical features include anemia, bleeding tendencies, hypertension, weight loss, nausea, and vomiting. Eventually, CKD progresses to an advanced renal disease often termed *end-stage renal disease (ESRD)* or *end-stage kidneys*. When patients reach this stage, they require dialysis or renal transplantation to survive.

Urinalysis findings associated with end-stage renal disease include a fixed specific gravity (isosthenuria, at 1.010), significant proteinuria, minimal to moderate hematuria, and the presence of all types of casts, particularly waxy and broad casts.

### Calculi

#### Pathogenesis

**Calculi (also called stones)** are aggregates of solid chemicals (mineral salts) interlaid with a matrix of proteins and lipids. They form within the body and may be found in any secreting gland, including the pancreas, gallbladder, salivary gland, lacrimal gland, and urinary tract. This discussion focuses on calculi, or “stones,” of the urinary tract. They are found primarily in the renal calyces, pelvis, ureter, or bladder.

Only about 0.1% of the population develops renal calculi, and approximately 75% of these calculi contain calcium (Table 8.9). Calculi are rarely composed of a single chemical component; rather they are mixtures that most often include calcium, oxalate, or both. Magnesium ammonium phosphate (triple phosphate), phosphate, uric acid, and cystine are also frequently involved. The organic matrix of calculi incorporates a variety of proteins such as Tamm-Horsfall protein (uromodulin), albumin, prothrombin fragments, and

TABLE 8.9 Renal Calculi Composition

Chemical Component	Approximate Frequency
Calcium	75%
with oxalate	35%
with phosphate	15%
with others	25%
Magnesium ammonium phosphate	15%
Uric acid	6%
Cystine	2%
All others	<1%

other urine proteins. Similarly, lipids of the matrix include cholesterol, triglycerides, phospholipids, and gangliosides.<sup>7</sup>

Underlying metabolic or endocrine disorders, as well as infections and isohydria (fixed urinary pH), can cause or enhance calculus formation. When the urine becomes supersaturated with chemical salts and the pH is optimal, renal calculi begin to form. Stone formation may or may not be associated with a concomitant increase of these solutes in the blood. For example, about 25% of individuals who develop calcium stones do not have hypercalcemia or hypercalciuria; similarly, more than 50% of patients with uric acid stones do not have hyperuricemia or hyperuricosuria. However, patients with hypercalciuria, hyperoxaluria, and hyperuricemia have an increased risk of developing renal calculi. These conditions occur when renal tubular reabsorption mechanisms are dysfunctional, allowing increased urinary excretion of a chemical salt, or when intestinal absorption is increased.

It is postulated that calculus formation is affected by the absence of natural inhibitors. The molecules identified have demonstrated inhibition to one or more of the steps that lead to stone formation: crystal aggregation, crystal growth, nucleation, or adherence to renal epithelium.<sup>8</sup> Together, as a mixture, these molecules may be responsible for preventing calculus formation; however, their definitive roles have yet to be elucidated. Inhibitors identified and studied include nephrocalcin, osteopontin (uropontin), citrate, Tamm-Horsfall protein (uromodulin), chondroitin sulfate, calgranulin, bikunin, prothrombin F1 fragment, heparan sulfate, pyrophosphate, and CD59.<sup>8,9</sup>

### Factors Influencing Calculus Formation

Essentially four factors influence renal calculus formation:

1. Supersaturation of chemical salts in urine.
2. Optimal urinary pH.
3. Urinary stasis.
4. Nucleation or initial crystal formation.

Increases in the concentration of urinary solutes can result from external causes, endocrine disorders, or metabolic conditions. External causes include dehydration, in which the urinary solutes are excreted in as small an amount of water as possible to conserve body water. Increased urine concentrations of particular solutes can occur owing to dietary excesses or increased intestinal absorption, as in patients with inflammatory bowel disease. Medications, particularly cytotoxic drugs, can also result in increases of urinary solutes. For example, chemotherapeutic agents destroy cells and cause an increase in nucleic acid breakdown. As a result, the uric acid concentration in the blood and urine is increased. Because uric acid is seven times less soluble than urate salts, it readily precipitates out of solution if the urine is acidified sufficiently by the distal tubules. Endocrine disorders such as hyperparathyroidism cause reabsorption of calcium from bone and subsequently hypercalcemia and hypercalciuria. Metabolic conditions such as gout (hyperuricemia), inborn errors of metabolism (e.g., cystinuria), or primary oxaluria can also produce urines supersaturated with chemical salts.

Changes in urinary pH play an important role in calculus formation. With the proper pH, even high concentrations of chemicals can remain soluble. Calculus formation is enhanced when a patient loses the normal “acid-alkaline tide” of the body and experiences isohydruria (i.e., a constant and unchanging urinary pH). The inorganic salts—calcium, magnesium, ammonium, phosphate, and oxalate—are less soluble in neutral or alkaline urine. In contrast, organic salts such as uric acid, cystine, and bilirubin are less soluble in acidic urine. RTA, which is associated with many renal disorders and was discussed earlier in this chapter, is also linked to calculus formation. With RTA, the patient’s tubules are unable to acidify the urine (i.e., excrete hydrogen ions), and to compensate, increased amounts of calcium are excreted in the urine. As a result, patients with RTA have an increased chance of forming renal calculi.

Patients with UTIs caused by urea-splitting organisms such as *Proteus*, *Pseudomonas*, and enterococci produce alkaline urine owing to bacterial conversion of urea to ammonia. As a result, these individuals form magnesium ammonium phosphate stones, also known as *struvite* stones. These stones are usually large, causing bleeding (hematuria), obstruction, and infection without stone passage.<sup>9</sup> When stones in the renal pelvis become so large that they extend into two or more calyces, they are called *staghorn* stones. This name describes their branching shape, which matches the renal pelvis in which they are formed. Almost without exception, staghorn stones are associated with an upper UTI.

Urinary stasis (e.g., malformations) enhances renal calculus formation by increasing the chances of supersaturation and precipitation. Nucleation and attachment occur on renal epithelium, other cell surfaces, cellular debris, bacteria, and denatured or aggregated proteins. Once crystal deposition has begun (nucleation), it is only a matter of time until crystal growth results in a clinically symptomatic stone.

The formation of renal stones is most often discovered when the urinary tract becomes obstructed or the stones produce ulceration and bleeding. Small stones can pass from the renal pelvis into the ureters, where they can cause obstruction and produce intense pain, often referred to as *renal colic*. This pain is intense, beginning in the kidney region and radiating forward and downward toward the abdomen, genitalia, or legs. Patients often experience nausea, vomiting, sweating, and a frequent urge to urinate. Large stones unable to pass into the ureter (or urethra) remain in the renal pelvis (or bladder) and are revealed because of the trauma they produce, which usually occurs as hematuria.

### Prevention and Treatment

Increasing fluid intake to produce a dilute urine and modifying the diet to eliminate excesses in certain solutes are the two primary approaches to preventing future calculus formation. Oral medications are commonly used to lower urine calcium excretion. Most frequently used are thiazide diuretics, which reduce calcium excretion by increasing calcium reabsorption by proximal tubular cells. Oral phosphate medications, as well as citrate therapy, may be used to reduce urinary calcium

excretion or to bind calcium, respectively. Medications can also be used to convert a solute to a more soluble form. For example, D-penicillamine reduces cystine formation through the competitive production of a soluble salt, whereas allopurinol reduces uric acid formation by altering purine metabolism to form hypoxanthine instead.

Eliminating the causative agent, such as urea-splitting bacteria or an offending drug, may also prevent stone formation. In these cases, administering an appropriate antibiotic long term or discontinuing drug administration (e.g., indinavir, guaifenesin) may be needed.

Once a stone has formed, several techniques are available for its destruction or removal. Extracorporeal shockwave lithotripsy (ESWL)—the use of sound waves to break up the stone *in vivo*—is often used. If a stone is located in the lower third of a ureter or in the bladder, cystoscopy can be used to remove the stone or to place a stent for drainage. If ESWL is unsuccessful, percutaneous nephrolithotomy (PCNL) is performed. Today, surgical procedures (surgical ureterolithotomy) are rarely necessary or performed.

## SCREENING FOR METABOLIC DISEASES

Because urine is an ultrafiltrate of the blood plasma, water-soluble solutes produced by the body are eliminated in the urine. When produced in excess, their presence in urine can be detected. In the past, a routine urinalysis provided important information regarding metabolic diseases. In fact, years ago many metabolic disorders were initially detected because a peculiar urine odor or unusual urine color was noted, investigated, and described. Hence urine testing became a means for the detection and monitoring of metabolic disorders. These disorders of carbohydrate, fat, and protein metabolism vary and are characterized by increased excretion of a normal urine solute or by the appearance in urine of a substance that is not normally present. Because some metabolic diseases are linked to lifelong detrimental effects, such as intellectual disabilities or nervous system degeneration, and even death, early detection is paramount.

Historically, the laboratory used a variety of simple qualitative urine “screening” tests that provided evidence of metabolic disease; see [Table 8.10](#) and [Appendix E](#) for details of selected tests. Currently, these urine “screening” tests are rarely performed because (1) the tests are nonspecific and insensitive, (2) disease prevalence is low with few test requests, (3) a large amount of time is needed to maintain the reagents and the quality assurance program, and most important, (4) better detection methods are now available.

Today, blood samples collected by skin puncture are used to detect inherited disorders, and testing is mandated by law or rule on all newborns in the United States. Currently, newborn screening programs in all states perform a recommended screening panel for 35 inherited conditions. In addition, screening for 26 additional inherited disorders is also performed by many states.<sup>10</sup> These screening panels include hemoglobinopathies and endocrine disorders, as well as metabolic and other disorders ([Table 8.11](#)). Because of this

**TABLE 8.10 Qualitative Urine Tests Used to Screen for Metabolic Disorders**

Test	Disorder
Ferric chloride test	Alkaptonuria (homogentisic acid) MSUD Melanoma (melanin) PKU
Ammoniacal silver nitrite	Alkaptonuria (homogentisic acid)
Benedict's test	Alkaptonuria (homogentisic acid)
Nitrosonaphthol test	Tyrosinuria
Hoesch test	Porphyria (porphobilinogen)
Watson-Schwartz test	Porphyria (porphobilinogen)

*MSUD*, Maple syrup urine disease; *PKU*, phenylketonuria.

variety of conditions, the analytical methods used are varied. Initial screening techniques are sensitive methods, with confirmatory tests being equally sensitive but often with greater specificity. Tandem mass spectrometry (MS/MS) is the analytical detection method used to screen for the substances produced in many metabolic disorders, whereas screening for hemoglobinopathies includes isoelectric focusing, high-performance liquid chromatography, electrophoretic methods, as well as DNA- and immunologic-based assays. Screening for endocrine disorders employs proven analytical methods to detect and quantify hormones and other disorder-associated analytes.<sup>11</sup>

This section discusses selected metabolic disorders that have historically been detected or diagnosed with urine testing.

## Amino Acid Disorders

The liver and kidneys are actively involved in the metabolism of amino acids. They interconvert amino acids by transamination and degrade them by deamination. The latter results in ammonium ions, which are used to form urea. Urea is subsequently eliminated from the body by the kidneys. Normally, amino acids readily pass the glomerular filtration barrier and are reabsorbed by the proximal tubule. This reabsorption, an active transport mechanism, is threshold limited and is facilitated by membrane-bound carriers.

The three types of **aminoacidurias**, differentiated by their causes, include overflow, no-threshold, and renal aminoacidurias. Overflow aminoaciduria is caused by an increase in the plasma levels of the amino acid(s) such that the renal threshold for amino acid reabsorption is exceeded, and additional amino acids are excreted in the urine. The second type, no-threshold aminoaciduria, also has an overflow mechanism. The difference is that amino acids in this type normally are not reabsorbed by the tubules; any increase in the blood produces an increased quantity in the urine. The third type, renal aminoaciduria, occurs when the plasma levels of amino acids are normal, but because of a tubular defect (congenital or acquired), they are not reabsorbed and appear in the urine in increased amounts.

TABLE 8.11 National Newborn Screening Tests in United States<sup>10</sup>

RECOMMENDED UNIFORM SCREENING PANEL (RUSP)									
35 Core Conditions	Disorder Type				26 Secondary Conditions	Disorder Type			
	M	E	H	O		M	H	O	
Propionic acidemia	X				Methylmalonic acidemia with homocystinuria	X			
Methylmalonic acidemia (mutase deficiency)	X				Malonic acidemia	X			
Methylmalonic acidemia (cobalamin disorders)	X				Isobutyrylglycinuria (IBG)	X			
Isovaleric acidemia	X				2-Methylbutyrylglycinuria	X			
3-Methylcrotonyl-CoA carboxylase deficiency	X				3-Methylglutaconic aciduria	X			
3-Hydroxy 3-methylglutaric aciduria	X				2-Methyl-3-hydroxy butyric aciduria	X			
Holocarboxylase synthase deficiency	X				Short-chain acyl-CoA dehydrogenase deficiency	X			
β-ketothiolase deficiency	X				Medium/short-chain L-3-hydroxy acyl-CoA dehydrogenase deficiency	X			
Glutaric acidemia type I	X				Glutaric acidemia type II	X			
Carnitine uptake or transfer defect	X				Medium-chain ketoacyl-CoA thiolase deficiency	X			
Medium-chain acyl-CoA dehydrogenase deficiency	X				Dienoyl-CoA reductase deficiency	X			
Very long-chain acyl-CoA dehydrogenase deficiency	X				Carnitine palmitoyltransferase I deficiency	X			
Long-chain L-3-hydroxyacyl-CoA dehydrogenase deficiency	X				Carnitine palmitoyltransferase II deficiency	X			
Trifunctional protein deficiency	X				Carnitine acylcarnitine translocase deficiency	X			
Argininosuccinate aciduria	X				Argininemia	X			
Citrullinemia type I	X				Citrullinemia type II	X			
Maple syrup urine disease	X				Hypermethioninemia	X			
Homocystinuria	X				Benign hyperphenylalaninemia	X			
Phenylketonuria, classic	X				Defects of bipterin cofactor biosynthesis	X			
Tyrosinemia type 1	X				Defects of bipterin cofactor regeneration	X			
Primary congenital hypothyroidism		X			Tyrosinemia type II	X			
Congenital adrenal hyperplasia		X			Tyrosinemia type III	X			
S,S (Sickle cell anemia)			X		Various other hemoglobinopathies		X		
S,β-Thalassemia			X		Galactoepimerase deficiency				X
S,C disease			X		Galactokinase deficiency				X
Biotinidase deficiency				X	T-cell related lymphocyte deficiencies				X
Critical congenital heart disease				X					
Cystic fibrosis				X					
Classic galactosemia (transferase deficiency)				X					
Glycogen storage disease type III (Pompe)				X					
Hearing loss screening				X					
Severe combined immune deficiency				X					
Mucopolysaccharidosis type I				X					
X-linked adrenoleukodystrophy				X					
Spinal muscular atrophy due to deletion of exon 7 in SMN1				X					

M, Metabolic disorders; E, endocrine disorders; H, hemoglobin disorders; O, other disorders.



Aminoacidurias can occur as a primary or a secondary disease. The primary diseases are also known as *inborn errors of metabolism* and result from an inherited defect. Two types of defects are possible: (1) an enzyme may be defective (or deficient) in the specific amino acid metabolic pathway, or (2) tubular reabsorptive dysfunction may occur. Secondary aminoacidurias are induced, most notably by severe liver disease or through generalized renal tubular dysfunction (e.g., Fanconi's syndrome).

### Cystinosis

**Cystinosis** is an inherited (autosomal recessive) lysosomal storage disease that results in the intracellular deposition of cystine in the lysosomes of cells throughout the body, particularly the kidneys, eyes, bone marrow, and spleen. The accumulated cystine crystallizes within cells, causing damage and disrupting cellular functions.

Three distinct types of cystinoses are caused by mutations in the same gene but differ in disease severity, age of onset, or clinical presentation.<sup>12</sup> *Nephropathic cystinosis* is the most common and severe form. Accumulated cystine crystallizes within the proximal tubular cells of the nephrons, causing generalized proximal tubular dysfunction and the development of Fanconi's syndrome. Eventually, the distal tubules become involved, and patients are unable to concentrate or acidify their urine. The disease is evident during the first year of life with patients also showing growth retardation, rickets, polyuria, polydipsia, dehydration, and acidosis. By 2 years of age, cystine crystals may be present in the cornea of the eye, causing increased light sensitivity. Without treatment—renal dialysis or kidney transplant—patients die by the age of 10 years owing to extensive kidney damage.

A second and rare form known as *intermediate cystinosis* has the same clinical features as nephropathic cystinosis; however, the onset of symptoms occurs in adolescence, and the disorder has a slower rate of progression. These individuals develop kidney failure by their late twenties or early thirties. Another rare form is *ocular cystinosis*. Individuals with this form manifest only ocular impairment caused by cystine deposition in the cornea. They do not develop renal or other adverse effects.

### Cystinuria

**Cystinuria** is a disorder characterized by the urinary excretion of large amounts of cystine and the dibasic amino acids—arginine, lysine, and ornithine. It is an inherited autosomal recessive disorder in which the nephrons (i.e., proximal tubular cells) are unable to reabsorb these amino acids. Similarly, these patients have defective intestinal absorption of the same amino acids. Because cystine has a pKa of 8.3, this disorder often becomes evident when cystine crystals appear in urine with a pH  $\leq 8$ . Note that dibasic amino acids are also in the urine but are soluble regardless of urine pH. Hence, they remain undetectable unless amino acid analysis is performed.

Because of its low solubility, *in vivo* cystine precipitation and renal calculus formation can occur in these patients. Clinical symptoms include hematuria and sudden severe

abdominal or low back pain. To prevent cystine stone formation, patients need to alkalinize their urine (diet modification) and stay well hydrated, particularly at night, when the urine becomes the most concentrated and acidic. Treatment to prevent the formation of cystine calculi involves the oral administration of D-penicillamine. This drug diverts cystine metabolism to form penicillamine-cysteine-disulfide, which is highly soluble in urine regardless of pH. However, D-penicillamine is expensive and has several undesirable side effects, including fever, rash, proteinuria, and the possibility of developing nephrotic syndrome.

### Maple Syrup Urine Disease

**Maple syrup urine disease (MSUD)** is a rare autosomal recessive inherited disease characterized by the accumulation of branched chain amino acids—leucine, isoleucine, and valine and their corresponding  $\alpha$ -ketoacids—in blood, cerebrospinal fluid (CSF), and urine. These acids accumulate because of a deficiency in the enzyme complex (branched chain  $\alpha$ -ketoacid dehydrogenase [BCKD]) responsible for their oxidative decarboxylation to acyl coenzyme A derivatives (fatty acids). In the United States neonatal screening and prenatal screening are routinely performed to detect this inherited disorder.

Leucine, isoleucine, and valine are present in numerous foods. They predominate in protein-rich foods, particularly milk, meat, and eggs, but are also present in lower amounts in flour, cereal, and some fruits and vegetables. Although infants with MSUD appear normal at birth, symptoms begin to appear within the first few weeks of life. Eventually an acute ketoacidosis develops, along with vomiting, seizures, and lethargy. If not diagnosed and treated appropriately, brain damage and mental retardation occur; without treatment, death occurs in a few months. The high excretion of ketoacids in the urine is responsible for the distinctive maple syrup or caramelized sugar odor associated with this disease. Although assessment of urine odor is not routinely performed with urinalysis, it is customary to notify clinicians of unusual and distinctive findings. Diagnosis of MSUD is made after amino acid analysis of plasma, urine, or CSF using ion exchange chromatography.

Treatment of MSUD consists of dietary restriction of foods that contain branched chain amino acids, as well as the intake of a special formula that provides the nutrients and protein needed without the offending amino acids. Thiamine supplementation has also shown beneficial effects. To monitor and adjust treatment (i.e., diet and special formula composition), the levels of branched chain amino acids in the blood are periodically tested. Note that with prompt and lifelong treatment, patients have the potential to lead lives with typical growth and development patterns. Despite treatment, however, some children have episodes of metabolic crises that can result in mental retardation or spasticity.

### Phenylketonuria

Inherited as an autosomal recessive disease, **phenylketonuria (PKU)** is characterized by increased urinary excretion of phenylpyruvic acid (a ketone) and its metabolites. Normally,

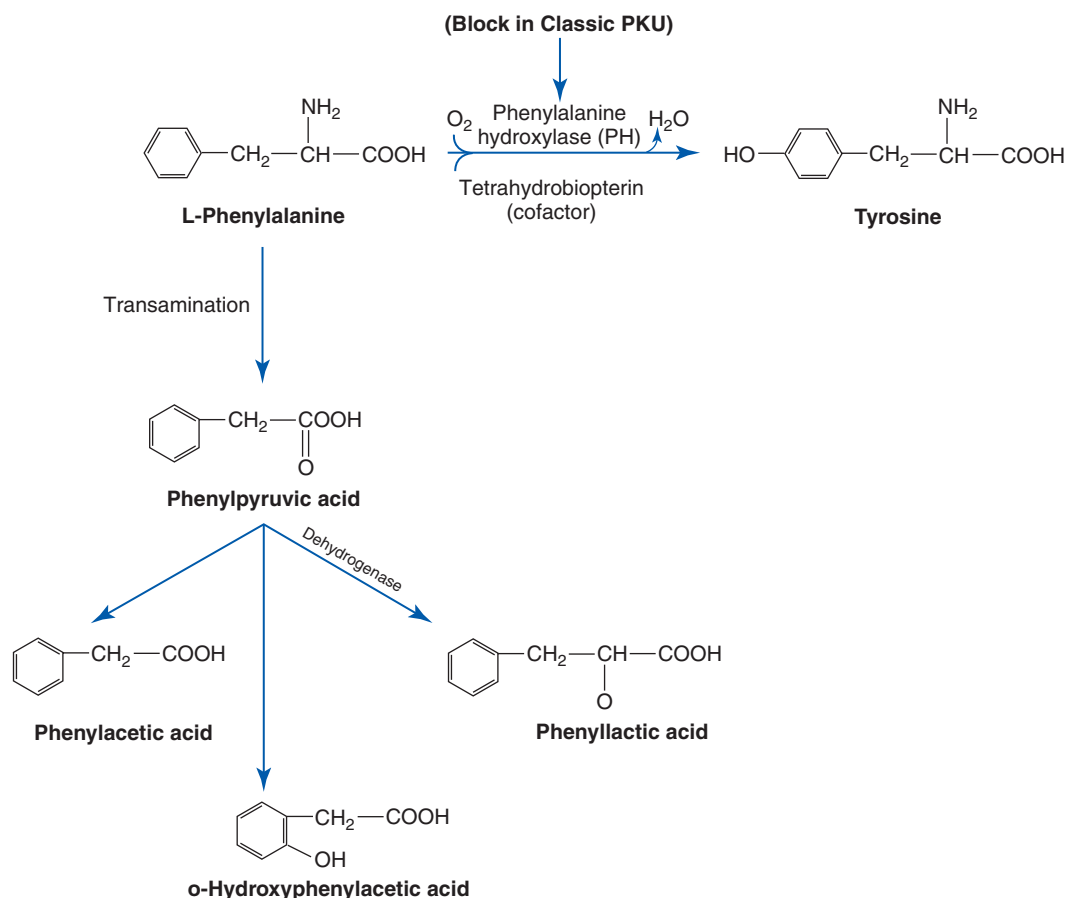


FIG. 8.2 Major and minor pathways of phenylalanine metabolism.

phenylalanine is converted to tyrosine by the major metabolic pathway depicted in Fig. 8.2. However, in classic PKU, the enzyme phenylalanine hydroxylase is deficient or defective, and the minor metabolic pathway that produces phenylketones is stimulated. Other forms of PKU can result when a defect or decrease occurs in the enzyme cofactor tetrahydrobiopterin.

Without detection and treatment, PKU results in severe mental retardation. As with other aminoacidurias, affected children appear normal at birth. Nonspecific initial symptoms include delayed development and feeding difficulties such as severe vomiting. Within the infant's first 2 to 3 weeks of life, high plasma levels of phenylalanine cause brain injury, with maximum detrimental effects achieved by 9 months of age.

The urine, sweat, and breath of PKU patients have a characteristic mousy or musty odor, which is caused by the phenylacetic acid in these fluids. Another feature of PKU is decreased skin pigmentation, such that individuals have often noticeably lighter skin, hair, and eyes than siblings without the disease. This occurs because phenylalanine competitively inhibits the enzyme tyrosinase, which decreases the production of melanin from tyrosine (Fig. 8.3).

Screening of all newborns for PKU is mandated in the United States. Blood testing is used because urinary excretion of phenylpyruvic acid does not occur until phenylalanine has accumulated substantially in the plasma, which

takes 2 or more weeks. In other words, if urine detection methods were used to screen 2-week-old infants, the detrimental and irreversible effects of PKU would have already taken place.

Treatment for PKU consists of dietary modification to eliminate phenylalanine from the diet. Intellectual disabilities can be avoided completely with early diagnosis and treatment; however, even late detection (e.g., 4–6 months old) can often avoid further mental deterioration if adherence to a strict diet is maintained. Attention-deficit hyperactivity disorder (ADHD) is common in patients who do not strictly adhere to a low-phenylalanine diet. Routine testing of patients' plasma is used to monitor compliance with dietary restrictions.

### Alkaptonuria

**Alkaptonuria** is a rare, autosomal recessive disease characterized by the excretion of large amounts of homogentisic acid (HGA), a substance not normally present in urine. This disease is called *alkaptonuria* because of the unusual darkening of the urine when alkali is added. In vivo, HGA is normally oxidized to maleylacetoacetic acid by the enzyme homogentisic acid oxidase (see Fig. 8.3). When this liver enzyme is deficient or absent, HGA is unable to proceed down the remaining steps of its normal metabolic pathway. As a result, HGA accumulates in the cells and body fluids and is excreted in the urine. HGA polymerizes and binds to collagen in

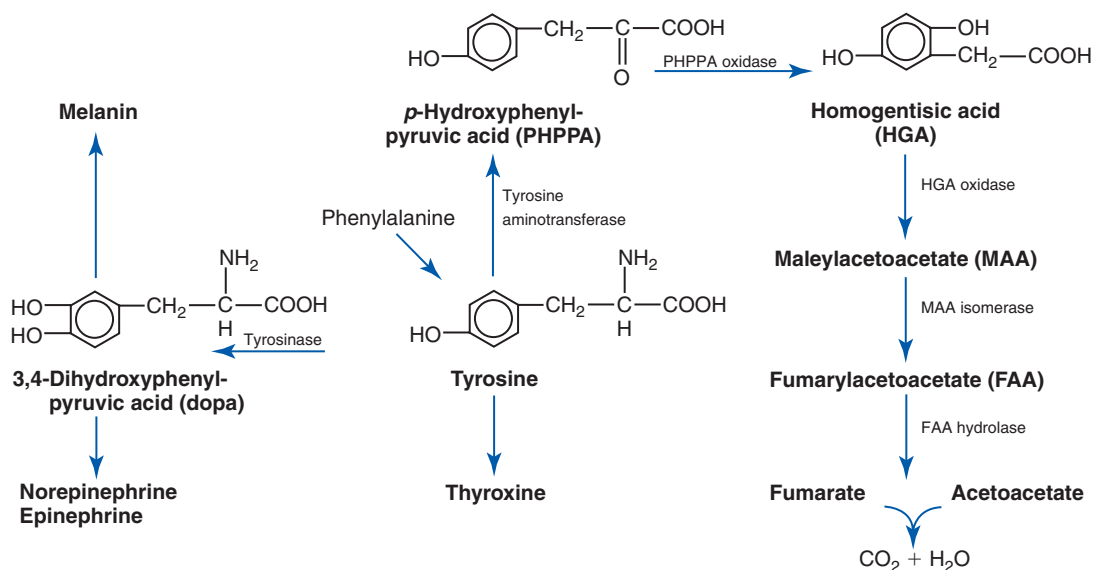


FIG. 8.3 Pathways of tyrosine metabolism.

cartilage and other connective tissues to cause an abnormal dark blue or black tissue pigmentation and the development of degenerative arthritis. Alkaptonuria is not usually diagnosed until middle age (30–40 years old), when arthritis of the spine and large joints develops and pigmentation in the ears (ochronosis) becomes apparent.

When urine with HGA is exposed to air and sunlight or is alkalinized, it darkens and a fine black precipitate forms. Note that this urine darkening is not unique and requires further investigation and differentiation from other substances that can cause similar changes such as melanin, indican (indoxyl sulfate), and gentisic acid (a salicylate metabolite). Historically, when infants wore cloth diapers that were laundered using strong alkaline soap, the presence of a black pigment on the diaper was suggestive of HGA and provided clinicians with a diagnostic clue. Today with the use of plastic diapers, excretion of HGA usually imparts a pink color to the diaper liner. Consequently, the urinalysis laboratory no longer plays a role in the detection of alkaptonuria unless nonspecific screening tests are available (see Table 8.10). Definitive identification and quantification of HGA in urine are done using chromatographic methods such as gas chromatography–mass spectrometry (GC-MS) or liquid chromatography–tandem mass spectrometry (LC-MS/MS).

Treatment for alkaptonuria is limited. High doses of vitamin C have been shown to be beneficial and may retard pigment production and the development of arthritis.

### Tyrosinuria

Tyrosine is the metabolic precursor of several compounds—melanin, thyroxine, and catecholamines (epinephrine, norepinephrine). Consequently, a defect in one pathway will affect the production of one compound but not another (see Fig. 8.3). The predominant tyrosine metabolic pathway leads to the formation of HGA and the ultimate end products of carbon dioxide and water.

The presence of an increased amount of tyrosine in the urine, known as **tyrosinuria**, occurs when plasma levels are abnormally high. The high amount of tyrosine readily passes from the bloodstream through the glomeruli and into the renal tubules, where the amount present overwhelms the reabsorptive capacity of the proximal tubular cells. Several causes of tyrosinemia (high plasma tyrosine) are known, but the most frequently encountered is a transient condition in newborns. Other causes include severe liver disease and a rare inherited disorder known as *hereditary tyrosinemia*.

*Transient neonatal tyrosinemia* is due to the immature liver of infants and inadequate liver enzymes to metabolize tyrosine. As the liver matures, normal enzyme levels are established and the tyrosinemia resolves within 4 to 8 weeks. A similar mechanism is responsible for the tyrosinemia associated with *severe liver disease*—namely, insufficient enzyme synthesis due to diseased and nonfunctioning hepatocytes.

Two rare inherited tyrosinemias (called type I and type II) have been identified. Type I, also known as *tyrosinosis*, is caused by a defect in the enzyme fumaryl acetoacetate hydrolase (FAH). Type II tyrosinemia is caused by a defect in the enzyme tyrosine aminotransferase. Findings associated with these inherited disorders include high levels of tyrosine in blood and urine, liver damage, renal disease characterized by generalized aminoaciduria, and death within the first decade of life.

Detection and quantification of tyrosine in urine, plasma, or CSF is performed using ion exchange chromatography. Although it is theoretically possible when performing a urinalysis to detect increased tyrosine by observing tyrosine crystals in the urine sediment, they are rarely observed. This most likely occurs because of the prompt processing and testing of urinalysis specimens today, often without refrigeration. Note that solute crystallization is enhanced by refrigeration and time; if urine specimens with high concentrations of tyrosine are stored in a refrigerator for a prolonged period of time, tyrosine crystals may precipitate out of solution.

## Melanuria

Melanin is produced from tyrosine by melanocytes and is the pigment responsible for the color of hair, skin, and eyes. When inherited defects result in defective melanin production, hypomelanosis or albinism results. Increased production of melanin and its colorless precursors (e.g., 5,6-dihydroxyindole) will cause an increase in the urinary excretion of melanin, or **melanuria**. This occurs with malignant neoplasms of melanocytes (i.e., melanoma) in the skin, mucous membranes, or retina. When melanin and its precursors are present in urine, the urine color darkens with exposure to air or sunlight. The degree of darkening varies with melanin concentration and exposure time; in extreme cases, urine can turn black. Note that other substances can cause a similar color change (e.g., HGA), and further investigation is required to specifically identify the substance involved.

## Carbohydrate Disorders

### Glucose and Diabetes Mellitus

Diabetes mellitus is not a single disorder but a group of disorders that affect the metabolism of carbohydrate, fat, and protein. It is characterized by chronic hyperglycemia and glucosuria, both of which provide evidence of the impaired ability to utilize glucose. Diabetes mellitus develops when defects in (1) insulin production, (2) insulin action, or (3) both are present.

Diabetes mellitus is classified as type 1 or type 2 (Table 8.12). Type 1 diabetes was previously called *insulin-dependent diabetes mellitus* or *juvenile-onset diabetes* and accounts for 5% to 10% of all diagnosed cases. Type 1 diabetes mellitus can occur at any age; however, most individuals have symptoms before 40 years of age. Type 1 diabetes mellitus develops when the immune system destroys the pancreatic

$\beta$ -cells responsible for the production of insulin and often presents suddenly as an acute illness with ketoacidosis. Other classic symptoms include polyuria, polydipsia, ketonuria, and rapid weight loss. These individuals require insulin by injection or an insulin pump to maintain normal blood glucose levels. Known risk factors for the development of type 1 diabetes mellitus include autoimmune disorders, genetic inheritance, and environmental factors.

Type 2 diabetes mellitus was previously called *non-insulin-dependent diabetes mellitus* or *adult-onset diabetes*. It usually presents after 40 years of age and accounts for 90% to 95% of all diagnosed cases. Although obesity is common and is often associated with type 2 diabetes mellitus, it is not found in all type 2 patients. Type 2 diabetes mellitus progresses slowly and is often initially detected during a routine wellness screening or during testing for other clinical concerns. In the early stages, the peripheral tissues are “insulin resistant”—unable to use insulin properly. With time, the pancreas gradually loses its ability to produce sufficient insulin to overcome the insulin resistance, and hyperglycemia develops. Many individuals with type 2 diabetes do not require exogenous insulin and are able to control their blood glucose by following a careful diet, increasing their physical activity (e.g., walking 2.5 hours per week), and taking oral medications.

Diabetic individuals can develop numerous complications involving the eyes (retinopathy), nerves (neuropathy), blood vessels (angiopathy), and kidneys (nephropathy). Two studies have provided landmark results regarding the treatment of diabetes and the development of complications: they are the Diabetes Control and Complications Trial for type 1 diabetic people and the United Kingdom Prospective Diabetes Study for those with type 2 diabetes.<sup>2,3</sup> These research studies found that improved blood glucose control benefits all diabetic individuals and reduces the risk for developing microvascular complications (eye, kidney, or nerve disease). In other studies involving diabetic complications, close control of blood pressure reduced the development of cardiovascular disease (heart disease and stroke) and microvascular disease.

Currently, diabetes is the leading cause of blindness among working-age persons, of end-stage renal disease, and of non-traumatic limb amputations.<sup>13</sup> Diabetes increases the risks for cardiac, cerebral, and peripheral vascular disease. Table 8.12 summarizes clinical features associated with type 1 and type 2 diabetes mellitus.

Polydipsia and polyuria are two classic symptoms associated with inadequately controlled diabetes mellitus. When this occurs, the urine appears dilute (pale yellow or colorless), but it has a high specific gravity because of the large amount of glucose present. Glucosuria indicates that the reabsorptive capacity of the tubules for glucose has been exceeded. At the same time, the body turns to fat metabolism for its energy needs, which results in the formation of ketones that will also be excreted and detected in the urine.

### Galactosemia

Normally, the monosaccharide galactose is rapidly metabolized to glucose, and minimal amounts are present in the

**TABLE 8.12 Characteristics of Type 1 and Type 2 Diabetes Mellitus**

Type	Clinical Features
Type 1 diabetes mellitus	<ul style="list-style-type: none"> <li>Presents before 40 years of age</li> <li>Onset usually sudden, acute</li> <li>Inadequate production of insulin</li> <li>Patient requires insulin injections</li> <li>Patient tends to develop ketoacidosis</li> <li>Patient tends to develop complications:               <ul style="list-style-type: none"> <li>Retinopathy</li> <li>Neuropathy</li> <li>Nephropathy</li> <li>Angiopathy (microvasculature and macrovasculature)</li> </ul> </li> </ul>
Type 2 diabetes mellitus	<ul style="list-style-type: none"> <li>Presents after 40 years of age</li> <li>Onset slow and insidious</li> <li>Insulin levels variable</li> <li>Dietary regimens, exercise, and oral medications aid in control of hyperglycemia</li> <li>Obesity common</li> <li>Angiopathy of macrovasculature common</li> </ul>



blood or urine. Lactose, a disaccharide composed of glucose and galactose, is the principal dietary source. Galactosemia with **galactosuria** is associated most often with three rare inherited autosomal recessive disorders that cause an enzyme in the galactose metabolic pathway to be defective or deficient. Because of the enzyme defect, galactose and galactose 1-phosphate accumulate in the blood and are metabolized by alternate pathways to galactitol or galactonate. Galactose 1-phosphate, galactitol, and galactonate are the compounds responsible for the clinical manifestations of these diseases.

The three enzymes responsible for galactosemia are galactose 1-phosphate uridylyltransferase (GALT), galactokinase (GALK), and uridine diphosphate galactose-4-epimerase (GALE). Type I galactosemia (or GALT deficiency or defect) is the classic and most common form of galactosemia. In this disorder, galactonate is the primary end product of the abnormal metabolism, although galactitol is also abnormally increased. Soon after lactose ingestion, infants with GALT deficiency present with failure to thrive (delayed growth and development), vomiting, jaundice (liver involvement), and diarrhea. Hepatomegaly is a common finding, and the liver damage can progress to cirrhosis. Other complications can include sepsis and shock. Cataracts (due to galactitol) may be present, although this finding is most often associated with type II galactosemia.

The predominant clinical feature of type II galactosemia due to GALK deficiency is cataracts. They are present shortly after birth and result from the persistent high level of galactitol in the bloodstream. Type III galactosemia due to GALE deficiency is extremely rare and is most common in the Japanese population. Clinical symptoms of type III vary from mild to severe depending on the degree of GALE deficiency and include cataracts, failure to thrive, and liver and kidney disease.

With GALT deficiency, if milk feedings are continued, edema, ascites, splenomegaly, and bleeding can lead rapidly to death. With GALK and GALE deficiencies, the patient can be asymptomatic. In untreated galactosemia patients, long-term consequences include severe mental retardation, delayed language development, and ovarian failure in females. Even with early intervention and dietary restrictions, developmental delay (reduced IQ) remains a consequence of galactosemia.

Prenatal detection of galactosemia can be done by using cultured amniotic cells or chorionic villus samples or by quantification of galactitol in amniotic fluid. Many states (and countries) mandate blood screening of infants for type I galactosemia or GALT deficiency. Note that false-positive and false-negative results are possible. Infants or children with cataracts should also be tested. Urine testing is a rapid and economical means of screening for abnormally increased amounts of galactose. It is a standard laboratory practice used to routinely screen for reducing substances in urine specimens from children younger than 2 years old. If a reducing substance is present and glucose and ascorbic acid are not, then additional testing is needed to identify the reducing substance present. Disease is confirmed using enzymatic assays,

chromatography, and molecular methods on blood or cultured fibroblasts.

## Diabetes Insipidus

**Diabetes insipidus** (DI) derives its name *diabetes* from the Greek word *diabainein*, which means “to pass through or siphon” and refers to the copious amounts of urine (polyuria) that this disorder produces. The term *insipidus* refers to the bland taste of the urine produced. In contrast, the term *mellitus* means sweet and is used to describe the disorder characterized by polyuria with glucose in the urine (i.e., diabetes mellitus).

DI can be separated into two types based on the defect responsible: neurogenic and nephrogenic. In health, antidiuretic hormone (ADH), also known as *vasopressin*, is synthesized in the hypothalamus but stored and released from the posterior pituitary. Neurogenic DI occurs when synthesis and release of ADH are reduced. In contrast, nephrogenic DI presents with normal synthesis and release of ADH but with defective renal tubular response to ADH. With both types of DI, water is not reabsorbed by the renal tubules (regardless of bodily needs), causing polyuria and polydipsia. DI can be induced by compulsive water drinking or by the use of certain drugs (e.g., lithium, demeclocycline).

The copious amount of urine produced by DI patients appears dilute and has a low specific gravity, unlike that of diabetes mellitus patients. DI patients are unable to produce a concentrated urine even when fluids are restricted. Note that dehydration can be life threatening because the plasma can rapidly become hypertonic and patients can go into shock. As long as DI patients are able to replace the large amount of water being excreted, they remain healthy with a normal plasma osmolality (tonicity).

## Porphyrias

Porphyrin precursors (**porphobilinogen**,  $\delta$ -aminolevulinic acid [ALA]) and porphyrins (uroporphyrin, coproporphyrin, protoporphyrin) are intermediate compounds that form during the production of heme (Fig. 8.4). This synthesis occurs in all mammalian cells, although the major sites of production are the bone marrow and liver. Disorders associated with the accumulation of porphyrin precursors or porphyrins can be inherited or acquired and are collectively termed **porphyrias** (Table 8.13).

During heme synthesis, porphobilinogen, a porphyrin precursor, is formed when two molecules of ALA condense in a reaction catalyzed by ALA dehydratase. Subsequently, four molecules of porphobilinogen condense to form the first porphyrinogen—uroporphyrinogen (UPG). All “porphyrinogens” can spontaneously and irreversibly oxidize to form their respective porphyrins. The porphyrins differ in the organic groups that are bound to the eight peripheral positions of the four-pyrrole ring structure (Fig. 8.5). Note that once formed, a porphyrin cannot reenter the heme synthetic pathway; it has no biologic function and is excreted.

The rate-limiting step in heme synthesis is the first reaction catalyzed by ALA synthase and is subject to end-product inhibition by heme. In other words, when sufficient heme is

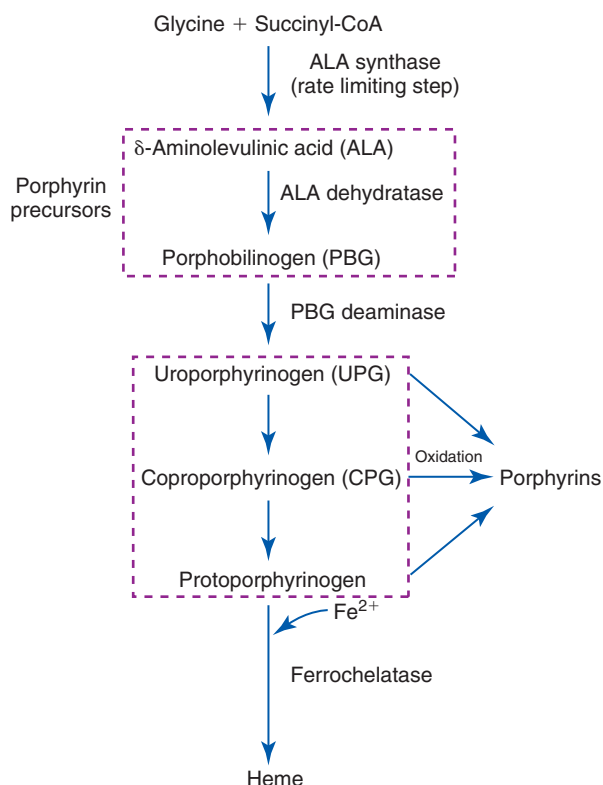


FIG. 8.4 Schematic diagram of heme synthesis.

present, this pathway is retarded or inhibited such that only trace amounts of the porphyrin precursors are formed—ALA (<1.4 mg/dL) and porphobilinogen (<0.4 mg/dL). However, when sufficient heme is lacking, the pathway is stimulated to increase its formation. In disorders in which an enzyme required in this synthetic pathway is absent, decreased, defective, or inhibited, the compound immediately preceding the action of that enzyme accumulates. For example, in acute intermittent porphyria (AIP), the enzyme porphobilinogen deaminase (also known as UPG I synthase) is deficient, causing porphobilinogen and ALA to accumulate. Note that because heme is not formed to inhibit synthesis, the pathway is stimulated even more, causing further overproduction of the porphyrin precursors.

The porphyrin precursors (porphobilinogen, ALA) and the porphyrinogens (uroporphyrinogen, coproporphyrinogen, protoporphyrinogen) are colorless, nonfluorescent compounds. In contrast, their oxidative forms (uroporphyrin, coproporphyrin, protoporphyrin) are dark red or purple and intensely fluorescent. Porphobilin, the oxidative form of porphobilinogen, is red. As a result, a urine specimen that contains a large amount of porphobilinogen can take on a reddish appearance over time because of the photo-oxidation of porphobilinogen (colorless) to porphobilin (red). This process takes significant time, and with the rapid handling and processing of urine specimens in laboratories, this subtle color change is rarely observed. In addition, numerous substances can cause urine to appear reddish (see Chapter 5, Table 5.1 and Table 5.2). Therefore when a red-tinged urine tests negative for the presence of blood, and when diet (e.g., beets)

TABLE 8.13 Classification of Porphyrrias

Disorder	Enzyme Deficient	Inheritance
<b>INHERITED</b>		
ALAD-deficiency porphyria (ADP)	δ-aminolevulinic dehydratase (ALAD)	Autosomal recessive
Acute intermittent porphyria (AIP)	Porphobilinogen deaminase (PBGD), formerly called <i>uroporphyrinogen I synthase</i>	Autosomal dominant
Congenital erythropoietic porphyria (CEP)	Uroporphyrinogen III cosynthase	Autosomal recessive
Erythropoietic protoporphyria (EPP)	Ferrochelatase	Autosomal dominant
Hereditary coproporphyrinemia (HCP)	Coproporphyrinogen oxidase	Autosomal dominant
Hepatoerythropoietic porphyria (HEP)	Uroporphyrinogen decarboxylase (UROD)	Autosomal recessive
Variagate porphyria (VP)	Protoporphyrinogen oxidase	Autosomal dominant
<b>ACQUIRED</b>		
Porphyria cutanea tarda (PCT)	Uroporphyrinogen decarboxylase (UROD)	Most often acquired autosomal dominant in “familial PCT”
Coproporphyrinuria	Caused by lead poisoning, tyrosinemia, alcoholism, drugs (e.g., sedatives, hypnotics)	None
Protoporphyrinemia	Caused by lead poisoning, iron-deficiency anemias	None

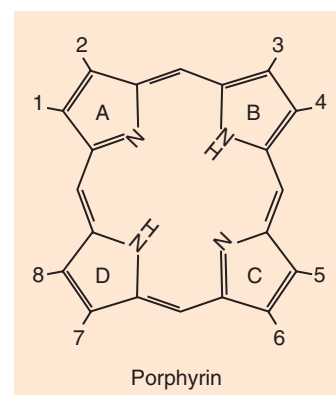


FIG. 8.5 The basic structure of porphyrins.

TABLE 8.14 Summary of Porphyria Characteristics

Clinical Presentation	Disorder	Onset	PORPHYRINS INCREASED		
			Urine	Blood	Fecal
Neurologic symptoms	ALAD-deficiency porphyria (ADP)	Acute	ALA	ZPP	
	Acute intermittent porphyria (AIP)	Acute	ALA, PBG, UP		
Neurologic and cutaneous symptoms <sup>a</sup>	Hereditary coproporphyrin (HCP)	Acute	ALA, PBG, CP		CP
	Variegate porphyria (VP)	Acute	ALA, PBG, CP		CP, PP
Cutaneous symptoms (photosensitivity)	Congenital erythropoietic porphyria (CEP)	Chronic	UP, CP	EP, ZPP	P
	Hepatoerythropoietic porphyria (HEP)	Chronic	UP, CP	EP, ZPP	P
	Erythropoietic protoporphyria (EPP)	Chronic		EP	PP
	Porphyria cutanea tarda (PCT)	Chronic	UP, 7C-P		

<sup>a</sup> Note that only some patients develop cutaneous symptoms.

ALA,  $\delta$ -Aminolevulinic acid; CP, coproporphyrin; EP, erythrocyte porphyrin; P, porphyrins; PBG, porphobilinogen; PP, protoporphyria; UP, uroporphyrin; ZPP, zinc protoporphyrin; 7C-P, 7-carboxyl porphyrin.

and medications have been ruled out, porphyria should be considered.

Porphyrias can be classified as hepatic or erythropoietic based on the site of the metabolic abnormality; however, classification based on clinical presentation is often more practical and useful (Table 8.14). The porphyrin precursors and porphyrins differ with respect to their polarity, which affects their solubility in body fluids. Porphobilinogen and ALA—porphyrin precursors—appear principally in urine because they are rapidly removed from the blood by the kidneys (i.e., low renal threshold). Similarly, uroporphyrin is excreted almost exclusively in the urine; coproporphyrin, being of intermediate solubility, is excreted in both urine and feces; and protoporphyria, the least water soluble, is excreted only in feces. Note that the body fluid analyzed to diagnose and monitor porphyrias—blood, feces, or urine—depends on the heme pathway defect and the resultant compound that accumulates.

All porphyrias are rare, with porphyria cutanea tarda (PCT) being the most common type found in North America. All show autosomal dominant inheritance with the exception of congenital erythropoietic porphyria (CEP) and  $\delta$ -aminolevulinic acid dehydratase (ALAD)-deficiency porphyria, two of the rarest forms of porphyria. Acquired or induced disorders are generally classified into one of two types: those that result in the accumulation and excretion of coproporphyrin in the urine, and those that result in the accumulation of protoporphyria in the blood and its excretion in feces. Chronic lead poisoning can cause the development of both features, whereas other disorders, such as iron-deficiency anemia or tyrosinemia, are associated with only one of these features. Induced porphyria-like disorders also produce excesses of other porphyrins or porphyrin precursors to varying degrees.

Clinically, the porphyrias manifest themselves differently. Disorders that result in the accumulation of porphyrin precursors—porphobilinogen or ALA—present with primarily neurologic symptoms because these compounds are neurotoxins. In contrast, when porphyrins are the major accumulation products, photosensitivity is the distinguishing clinical feature. This occurs when the porphyrins absorb light, causing

the formation of toxic free radicals; these cause the cutaneous lesions (e.g., extensive blistering or bullous lesions) or a burning sensation with an inflammatory skin reaction.

The porphyrias that present primarily with neurologic symptoms can be difficult to diagnose unless a family history provides a clue. For example, the symptoms associated with AIP are similar to those with GI or mental health problems. In an acute presentation, patients with AIP often have abdominal pain, nausea, constipation, depression, muscle weakness, hypertension, and tachycardia, as well as a variety of neuropsychiatric problems such as hysteria, psychosis, or seizures. In these patients, acute porphyria episodes can be initiated simply by the ingestion of drugs, particularly barbiturates and oral contraceptives. Other precipitating agents include alcohol consumption, stress, hormonal changes, starvation, and infection. Some of these same factors, most notably a history of excessive alcohol ingestion, can also “induce” chronic porphyrias.

In all cases of porphyria, acute or chronic, treatment consists of identifying and removing any precipitating factors. In acute porphyrias, supportive measures include maintaining fluid and electrolyte balance and using analgesics to relieve pain. Patients with AIP benefit from intravenous infusion of hematin, which inhibits the activity of ALA synthase: the rate-limiting step in heme synthesis. Patients with a porphyria characterized by photosensitivity must avoid direct sunlight and use barrier skin lotions that provide protection from the ultraviolet rays of the sun.

Laboratory diagnosis of porphyria is based on determining the quantity and type of porphyrin precursors or porphyrins in the blood, urine, and feces. Today, testing is predominantly performed in specialty laboratories using ion exchange chromatography, high-performance liquid chromatography, and spectrometry. Measurement of specific heme pathway enzyme activities, using fluorometry and enzymatic methods, may also be required. *Qualitative* chemical tests to detect the presence of porphobilinogen in urine—Hoesch test, Watson-Schwartz test—are rarely performed today, but they may be useful when other resources are limited or unavailable (see Appendix E, Manual and Historic Methods of Interest).

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## STUDY QUESTIONS

- Which of the following statements about renal diseases is true?
  - Glomerular renal diseases are usually immune-mediated.
  - Vascular disorders induce renal disease by increasing renal perfusion.
  - All structural components of the kidney are equally susceptible to disease.
  - Tubulointerstitial renal diseases usually result from antibody–antigen and complement interactions.
- In glomerular diseases, morphologic changes in the glomeruli include all of the following except
  - cellular proliferation.
  - erythrocyte congestion.
  - leukocyte infiltration.
  - glomerular basement membrane thickening.
- When all renal glomeruli are affected by a morphologic change, this change is described as
  - diffuse.
  - focal.
  - differentiated.
  - segmental.
- In glomerular renal disease, glomerular damage results from
  - deposition of infectious agents.
  - a decrease in glomerular perfusion.
  - changes in glomerular hemodynamics.
  - toxic substances induced by immune complex formation.
- Clinical features that are characteristic of glomerular damage include all of the following *except*
  - edema.
  - hematuria.
  - proteinuria.
  - polyuria.
- Which of the following disorders frequently occurs after a bacterial infection of the skin or throat?
  - Acute glomerulonephritis
  - Chronic glomerulonephritis
  - Membranous glomerulonephritis
  - Rapidly progressive glomerulonephritis
- Which of the following disorders is characterized by cellular proliferation into Bowman's space to form cellular "crescents"?
  - Chronic glomerulonephritis
  - Membranous glomerulonephritis
  - Minimal change disease
  - Rapidly progressive glomerulonephritis
- Which of the following disorders is the major cause of nephrotic syndrome in adults?
  - IgA nephropathy
  - Membranoproliferative glomerulonephritis
  - Membranous glomerulonephritis
  - Rapidly progressive glomerulonephritis
- Which of the following glomerular diseases is the major cause of nephrotic syndrome in children?
  - IgA nephropathy
  - Minimal change disease
  - Membranous glomerulonephritis
  - Rapidly progressive glomerulonephritis
- Which of the following statements regarding IgA nephropathy is true?
  - It often follows a mucosal infection.
  - It is associated with nephrotic syndrome.
  - It is characterized by leukocyte infiltration of the glomeruli.
  - It often occurs secondary to systemic lupus erythematosus.



11. Eighty percent of patients who develop chronic glomerulonephritis previously had some type of glomerular disease. Which of the following disorders is implicated most frequently in the development of chronic glomerulonephritis?
  - A. IgA nephropathy
  - B. Membranous glomerulonephritis
  - C. Poststreptococcal glomerulonephritis
  - D. Rapidly progressive glomerulonephritis
12. Chronic renal failure often develops in each of the following diseases *except*
  - A. amyloidosis.
  - B. diabetes mellitus.
  - C. diabetes insipidus.
  - D. systemic lupus erythematosus.
13. Which of the following features characterize(s) nephrotic syndrome?
  1. Proteinuria
  2. Edema
  3. Hypoalbuminemia
  4. Hyperlipidemia
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.
14. When a patient has nephrotic syndrome, microscopic examination of the urine sediment often reveals
  - A. granular casts.
  - B. leukocyte casts.
  - C. red blood cell casts.
  - D. waxy casts.
15. Which of the following has not been associated with acute tubular necrosis?
  - A. Antibiotics
  - B. Galactosuria
  - C. Hemoglobinuria
  - D. Surgical procedures
16. Which formed element in urine sediment is characteristic of toxic acute tubular necrosis and aids in its differentiation from ischemic acute tubular necrosis?
  - A. Collecting tubular cells
  - B. Granular casts
  - C. Proximal tubular cells
  - D. Waxy casts
17. Which of the following disorders is characterized by the urinary excretion of large amounts of arginine, cystine, lysine, and ornithine?
  - A. Cystinosis
  - B. Cystinuria
  - C. Lysinuria
  - D. Tyrosinuria
18. Generalized loss of proximal tubular function is a characteristic of
  - A. Fanconi's syndrome.
  - B. nephrotic syndrome.
  - C. renal glucosuria.
  - D. renal tubular acidosis.
19. Which of the following changes is not associated with renal tubular acidosis?
  - A. Decreased glomerular filtration rate
  - B. Decreased renal tubular secretion of hydrogen ions
  - C. Decreased proximal tubular reabsorption of bicarbonate
  - D. Increased back-diffusion of hydrogen ions in the distal tubules
20. Which of the following disorders is considered a lower urinary tract infection?
  - A. Cystitis
  - B. Glomerulonephritis
  - C. Pyelitis
  - D. Pyelonephritis
21. Most urinary tract infections are caused by
  - A. yeast, such as *Candida* spp.
  - B. gram-negative rods.
  - C. gram-positive rods.
  - D. gram-positive cocci.
22. Which of the following formed elements when present in urine sediment is most indicative of an upper urinary tract infection?
  - A. Bacteria
  - B. Casts
  - C. Erythrocytes
  - D. Leukocytes
23. The most common cause of chronic pyelonephritis is
  - A. cystitis.
  - B. bacterial sepsis.
  - C. drug-induced nephropathies.
  - D. reflux nephropathies.
24. Eosinophiluria, fever, and skin rash are characteristic clinical features of
  - A. acute pyelonephritis.
  - B. acute interstitial nephritis.
  - C. acute glomerulonephritis.
  - D. chronic glomerulonephritis.
25. Cessation of the administration of a drug is the fastest and most effective treatment for
  - A. acute pyelonephritis.
  - B. acute interstitial nephritis.
  - C. acute glomerulonephritis.
  - D. chronic glomerulonephritis.
26. Yeast is considered part of the normal flora in each of the following locations *except* in the
  - A. gastrointestinal tract.
  - B. oral cavity.
  - C. urinary tract.
  - D. vagina.
27. Acute kidney injury (AKI) can be caused by all of the following except
  - A. hemorrhage.
  - B. acute tubular necrosis.
  - C. acute pyelonephritis.
  - D. urinary tract obstruction.

28. Which of the following statements about chronic kidney disease (CKD) is true?
- It can be reversed by appropriate treatment regimens.
  - It eventually progresses to end-stage renal disease.
  - It is monitored by periodic determinations of renal blood flow.
  - Its onset involves a sudden decrease in the glomerular filtration rate.
29. Isosthenuria, significant proteinuria, and numerous casts of all types describe the urinalysis findings from a patient with
- acute kidney injury.
  - acute tubular necrosis.
  - chronic kidney disease.
  - renal tubular acidosis.
30. Approximately 75% of the renal calculi that form in patients contain
- calcium.
  - cystine.
  - oxalate.
  - uric acid.
31. The formation of renal calculi is *enhanced* by
- an increase in urine flow.
  - the natural “acid-alkaline tide” of the body.
  - increases in protein in the urine ultrafiltrate.
  - increases in chemical salts in the urine ultrafiltrate.
32. An overflow mechanism is responsible for the aminoaciduria present in
- cystinosis.
  - cystinuria.
  - tyrosinuria.
  - phenylketonuria.
33. Which of the following hereditary diseases results in the accumulation and excretion of large amounts of homogentisic acid?
- Alkaptonuria
  - Melanuria
  - Phenylketonuria
  - Tyrosinuria
34. Which of the following substances oxidizes with exposure to air, causing the urine to turn brown or black?
- Melanin
  - Porphyrin
  - Tyrosine
  - Urobilinogen
35. Which of the following diseases is related to tyrosine production or metabolism?
- Tyrosinuria
  - Melanuria
  - Phenylketonuria
  - Alkaptonuria
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
36. Which of the following diseases can result in severe mental retardation if not detected and treated in the infant?
- Phenylketonuria
  - Maple syrup urine disease
  - Galactosuria
  - Alkaptonuria
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
37. Which of the following is a characteristic feature of type 2 diabetes mellitus?
- Daily insulin injections are necessary.
  - Onset of the disease is usually sudden.
  - There is a strong tendency to develop ketoacidosis.
  - The disease usually presents after 40 years of age.
38. Which of the following abnormalities is not a clinical feature of an infant with galactosuria?
- Cataract formation
  - Liver dysfunction
  - Mental retardation
  - Polyuria
39. Galactose is produced in the normal metabolism of
- fructose.
  - glucose.
  - lactose.
  - sucrose.
40. Which of the following features is not a characteristic of diabetes insipidus?
- Polyuria
  - Polydipsia
  - Increased production of antidiuretic hormone
  - Urine with a low specific gravity
41. Which of the following statements about porphobilinogen is true?
- Porphobilinogen is red and fluoresces.
  - Normally, only trace amounts of porphobilinogen are formed.
  - Porphobilinogen is an intermediate product in bilirubin formation.
  - Porphobilinogen production is the rate-limiting step in heme synthesis.
42. Porphyrin is characterized by the body's attempt to
- increase heme degradation.
  - increase heme formation.
  - decrease globin synthesis.
  - decrease iron catabolism.
43. Which of the following statements regarding porphyrin and porphyrin precursors is true?
- Porphyria can be inherited or induced.
  - Porphyrin precursors are neurotoxins.
  - Porphyryns can be dark red or purple.
  - Porphyrin precursor accumulation causes skin photosensitivity.
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.

## CASE 8.1

A 30-year-old woman is seen by her physician. She has a temperature of 101°F and reports nausea and headache, with flank (below ribs and above iliac crest) tenderness and pain. When asked, she states that urination is sometimes painful, that she must urinate much more frequently than usual, and that she has a sensation of urgency. A random, midstream clean catch urine specimen is collected for a routine urinalysis and culture.

## Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: yellow	SG: 1.010	RBC/hpf: 0–2
Clarity: cloudy	pH: 6.5	WBC/hpf: 25–50
Odor: —	Blood: trace	Casts/lpf: 0–2 granular;
	Protein: 30mg/dL	2–5 WBC
	SSA: 1+	Epithelials: few SE cells/hpf
	LE: positive	Crystals/hpf: few CaOx
	Nitrite: positive	Bacteria/hpf: moderate
	Glucose: negative	
	Ketones: negative	
	Bilirubin: negative	
	Urobilinogen: normal	
	Ascorbic acid: negative	

- List any abnormal or discrepant urinalysis findings.
- Select the most probable diagnosis.
  - Normal urinalysis
  - Yeast infection
  - Upper urinary tract infection (upper UTI)
  - Lower urinary tract infection (lower UTI)
- Which single microscopic finding is most helpful in differentiating an upper UTI from a lower UTI?
  - Red blood cells
  - White blood cells
  - Casts
  - Bacteria
  - Epithelial cells
- Another name for this condition is
  - urethritis.
  - acute cystitis.
  - acute pyelonephritis.
  - acute interstitial nephritis.
- State two physiologic mechanisms that can lead to the development of this condition.
- Suggest a reason for the trace blood result yet a normal number of red blood cells (0–2 per hpf) observed microscopically.
- At this patient's level of hematuria, is the blood that is present most likely contributing to the reagent strip protein result of 30mg/dL? Explain briefly.

CaOx, Calcium oxalate; hpf, high-power field; LE, leukocyte esterase; lpf, low-power field; RBC, red blood cell; SE, squamous epithelial; SG, specific gravity; SSA, sulfosalicylic acid precipitation test; WBC, white blood cell.

## CASE 8.2

A 58-year-old male is seen in the emergency department and reports intermittent severe pain that radiates from his right side to his abdomen and groin area (renal colic). He has a frequent need to urinate with little or no urine output. Other complaints include a cold that he has been self-treating with over-the-counter medications and vitamin supplements for longer than a week.

## Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: pink	SG: >1.030	RBC/hpf: 10–25
Clarity: slightly cloudy	Refractometry: 1.035	WBC/hpf: 5–10
Odor: —	pH: 5.5	Casts/lpf: 0–2 hyaline
	Blood: negative	Epithelials: few TE cells/hpf
	Protein: trace	Crystals/hpf: many CaOx
	SSA: trace	Bacteria/hpf: few
	LE: negative	
	Nitrite: positive	
	Glucose: negative	
	Ketones: negative	
	Bilirubin: negative	
	Urobilinogen: normal	

- List any abnormal or discrepant urinalysis findings.
- For each discrepancy, list a test that could be performed to confirm or deny the cause for the discrepancy.
- Based on the information provided, which of the following is the most probable cause of this patient's condition?
  - Renal calculi
  - Urinary tract infection
  - Acute glomerulonephritis
  - Drug-induced acute interstitial nephritis
- State at least three factors that could influence the development of this patient's condition.

CaOx, Calcium oxalate; hpf, high-power field; LE, leukocyte esterase; lpf, low-power field; RBC, red blood cell; SE, squamous epithelial; SG, specific gravity; SSA, sulfosalicylic acid precipitation test; TE, transitional epithelial; WBC, white blood cell.

**CASE 8.3**

A 14-day-old baby girl is admitted to the hospital with lethargy, diarrhea, vomiting, and difficulty in feeding. Physical examination reveals jaundice, an enlarged liver, and neurologic abnormalities (e.g., increased muscular tonus). No blood group incompatibility is found. She has lost 1.8lb since birth. The infant is fitted with a collection bag to obtain a urine specimen. The collection takes place over several hours, and the baby's urine is sent to the laboratory for routine urinalysis.

**Results**

<b>Physical Examination</b>	<b>Chemical Examination</b>	<b>Microscopic Examination</b>
Color: amber	SG: 1.025	RBC/hpf: 0–2
Clarity: cloudy	pH: 8.0	WBC/hpf: 0–2
Odor: —;	Blood: negative	Casts/lpf: 0–2 hyaline;
yellow foam	Protein: trace	0–2 granular
noted	SSA: 1+	Epithelials: few SE cells/lpf
	LE: negative	Crystals/hpf: moderate
	Nitrite: negative	triple
	Glucose: negative	phosphate
	Clinitest: 1000mg/dL	Bacteria/hpf: few
	Ketones: negative	
	Bilirubin: positive	
	Ictotest: positive	
	Urobilinogen: normal	

1. List any abnormal or discrepant urinalysis findings.
2. Which results may have been modified by the specimen collection conditions?
3. What substance is most likely causing the yellow coloration of the foam?
4. What is the most likely explanation for the discrepancy in the glucose screening results?
5. What is a possible diagnosis for this patient? How could this diagnosis be confirmed?
6. Does this patient have a urinary tract infection? Why or why not?

*hpf*, High-power field; *LE*, leukocyte esterase; *lpf*, low-power field; *RBC*, red blood cell; *SE*, squamous epithelial; *SG*, specific gravity; *SSA*, sulfosalicylic acid precipitation test; *WBC*, white blood cell.



## CASE 8.4

A 6-year-old boy is brought to the hospital emergency department by his mother. This morning after his bath, she noticed that his scrotum appeared swollen. In addition, for the past several days her son has been tired—that is, definitely not his active self—and has been complaining of a headache. Physical examination is unremarkable except for mild peripheral edema of the eyelids, scrotum, and lower limbs. No skin rash or fever is present. During the examination, the boy reveals that he “doesn’t need to go potty much anymore.” The previous week, the boy had a routine wellness physical and received a booster of the diphtheria-pertussis-tetanus vaccine. The following blood tests and routine urinalysis were obtained:

## Urinalysis Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: dark yellow	SG: 1.030 pH: 6.0	RBC/hpf: 0–2 WBC/hpf: 0–2
Clarity: clear	Blood: negative	Casts/lpf: 0–2 hyaline
Odor: —	Protein: 500 mg/dL	Epithelials: none seen
White foam that does not dissipate was noted.	SSA: 3+ LE: negative Nitrite: negative Glucose: negative Ketones: negative Bilirubin: negative Urobilinogen: normal	Crystals/hpf: few amorphous urates Bacteria: none seen

## Blood Results

## Reference Range

Sodium: 136 mmol/L	136–145 mmol/L
Potassium: 4.2 mmol/L	3.5–5.0 mmol/L
Glucose: 92 mg/dL	70–105 mg/dL
Urea nitrogen: 25 mg/dL	11–23 mg/dL
Creatinine: 0.8 mg/dL	0.6–1.2 mg/dL
Total protein: 4.8 g/dL	6.0–8.0 g/dL
Albumin: 1.3 g/dL	3.5–5.5 g/dL
Cholesterol: 282 mg/dL	<200 mg/dL
Triglyceride: 255 mg/dL	<150 mg/dL

- List any abnormal or discrepant urinalysis findings.
- What urinary substance is responsible for the white foam noted during the urinalysis?
- If the glomerular filtration barrier loses its “shield of negativity,” which plasma protein is usually lost first? Explain your selection.
- Explain the physiologic processes responsible for the edema exhibited in this patient.
- The proteinuria in this patient should be classified as
  - glomerular proteinuria.
  - tubular proteinuria.
  - overflow proteinuria.
  - postrenal proteinuria.
- Based on the patient’s symptoms (edema) and laboratory results (proteinuria, hypoalbuminemia, hyperlipidemia), select the most probable disorder.
  - Acute glomerulonephritis
  - Acute pyelonephritis
  - Acute kidney injury
  - Nephrotic syndrome

By exclusion, it was determined that this patient had minimal change disease that presented as (see answer to Question 6), and he was treated promptly with corticosteroids (i.e., oral prednisone). In 8 days, his urine output increased significantly, and his urine protein result decreased to 30 mg/dL. A routine urinalysis another 24 hours later was negative for urine protein.

*hpf*, High-power field; *LE*, leukocyte esterase; *lpf*, low-power field; *RBC*, red blood cell; *SG*, specific gravity; *SSA*, sulfosalicylic acid precipitation test; *WBC*, white blood cell.

## CASE 8.5

Two days previous, a 26-year-old woman saw her primary care physician and it was determined that she had a urinary tract infection. A conventional 10-day regimen of ampicillin was prescribed. Today, she returns to the clinic with a fever and urticarial rash on her chest, back, face, and hands. The following routine urinalysis is obtained:

## Urinalysis Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: dark yellow	SG: 1.015	RBC/hpf: 5–10
Clarity: cloudy	pH: 6.5	WBC/hpf: 10–25
Odor: —	Blood: small	Casts/lpf: 0–2 WBC;
	Protein: 100mg/dL	0–2 RTE;
	LE: positive (1+)	2–5 granular
	Nitrite: negative	Epithelials: moderate
	Glucose: negative	RTE cells/hpf;
	Ketones: negative	few TE cells/hpf;
	Bilirubin: negative	few SE cells/lpf
	Urobilinogen: normal	Crystals/hpf: few urates
		Bacteria/hpf: few

- List any abnormal or discrepant urinalysis findings.
- A cytospin preparation of the urine sediment is performed and stained using Hansel stain. A differential white cell count of the sediment reveals 12% eosinophils. Based on this finding and the urinalysis results, the most likely diagnosis is
  - acute glomerulonephritis.
  - acute interstitial nephritis.
  - acute pyelonephritis.
  - nephrotic syndrome.
- The proteinuria in this patient should be classified as
  - glomerular proteinuria.
  - tubular proteinuria.
  - overflow proteinuria.
  - postrenal proteinuria.
- Which microscopic findings indicate that the inflammatory process is in the kidneys?
- State three reasons why the nitrite test is negative despite the presence of bacteria in the urine sediment.

*hpf*, High-power field; *LE*, leukocyte esterase; *lpf*, low-power field; *RBC*, red blood cell; *RTE*, renal tubular epithelial; *SE*, squamous epithelial; *SG*, specific gravity; *TE*, transitional epithelial; *WBC*, white blood cell.

## CASE 8.6

A 43-year-old woman with a 15-year history of systemic lupus erythematosus is transferred to a university hospital because of significant deterioration of renal function. The following routine urinalysis is obtained on admission:

## Urinalysis Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: brown	SG: 1.010	RBC/hpf: 25–50;
Clarity: cloudy	pH: 7.0	dysmorphic
Odor: —	Blood: large	forms present
	Protein: 100mg/dL	WBC/hpf: 5–10
	LE: negative	Casts/lpf: 0–2 RBC;
	Nitrite: negative	5–10 granular;
	Glucose: negative	0–2 hyaline
	Ketones: negative	Epithelials: few TE cells/hpf
	Bilirubin: negative	Crystals/hpf: few CaOx
	Urobilinogen: normal	Bacteria: none seen

- List any abnormal or discrepant urinalysis findings.
- What substance most likely accounts for the brown color of this urine?
- State two reasons to explain why the leukocyte esterase test is negative despite increased numbers of white blood cells in the urine sediment.
- At this patient's level of hematuria, is the blood that is present contributing to the reagent strip protein result? Explain briefly.
- List the microscopic finding(s) that indicate whether hematuria/hemorrhage is occurring in the nephrons.
- The proteinuria in this patient should be classified as
  - glomerular proteinuria.
  - tubular proteinuria.
  - overflow proteinuria.
  - postrenal proteinuria.
- Based on the information provided and the results obtained, the most likely diagnosis is
  - acute glomerulonephritis.
  - acute interstitial nephritis.
  - acute pyelonephritis.
  - nephrotic syndrome.
- Briefly describe a physiologic mechanism to account for the development of this patient's condition (as cited in Question 7).

*CaOx*, Calcium oxalate; *hpf*, high-power field; *LE*, leukocyte esterase; *lpf*, low-power field; *RBC*, red blood cell; *SG*, specific gravity; *TE*, transitional epithelial; *WBC*, white blood cell.

## CASE 8.7

A 23-year-old woman is seen in the emergency room with acute abdominal pain, nausea, and hypertension. She had a previous admission 1 year ago for intestinal problems and neurologic symptoms (depression). At that time, gastrointestinal and neurologic examinations were negative. She recently started taking oral contraceptives and states that she is taking no other medications. In addition, she has a family history of acute intermittent porphyria. Routine hematologic and chemistry tests are ordered, and all results are normal. A routine urinalysis is performed.

## Results

Physical Examination	Chemical Examination	Confirmatory Tests
Color: yellow	SG: 1.015	
Clarity: clear	pH: 5.0	
Odor: —	Blood: negative	
	Protein: negative	
	LE: negative	
	Nitrite: negative	
	Glucose: negative	
	Ketones: negative	
	Bilirubin: negative	
	Urobilinogen: normal	
		Hoesch test: positive

1. Circle any abnormal or discrepant urinalysis findings.
2. For academic reasons, the urine specimen was refrigerated and examined the next day for any change in color. The yellow urine now had a pink hue; however, it was not prominent. What substance is most likely causing the pink hue now observed in the urine?
3. See [Appendix E](#). If the results of the Hoesch test were questionable or if reagents were not available, what test could be performed to confirm the presence of this substance?
4. Explain the physiologic process that results in the appearance of this substance in the urine.
5. What is this patient's most likely diagnosis?
6. In addition to the substance observed, this patient would most likely have increased levels of
  - A. blood porphyrins.
  - B. fecal porphyrins.
  - C. urinary coproporphyrin.
  - D. urinary  $\delta$ -aminolevulinic acid.
7. Are any reagent strip tests capable of detecting the substance identified in Question 2 in urine?

LE, Leukocyte esterase; SG, specific gravity.

# Cerebrospinal Fluid Analysis

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

- Describe the formation of cerebrospinal fluid (CSF) and state at least three functions that the CSF performs.
- Describe the procedure for lumbar puncture and the proper collection technique for CSF.
- Discuss the importance of timely processing and testing of CSF and state at least three adverse effects of time delay on CSF specimens.
- State the physical characteristics of normal CSF and discuss how each characteristic can be modified in disease states.
- Discuss the clinical importance of the microscopic examination of CSF.
- Compare and contrast the concentrations of the following constituents of CSF in health and in disease states:
  - Albumin
  - Glucose
  - Immunoglobulin G
  - Lactate
  - Total protein
- Briefly describe protein electrophoretic patterns of CSF and the abnormal presence of oligoclonal banding.
- Calculate the CSF/serum albumin index and the CSF/immunoglobulin G index and state the clinical importance of each index.
- Discuss the proper microbiological examination of CSF and its importance in the diagnosis of infectious diseases of the central nervous system.
- Briefly explain the role of CSF immunologic tests in the diagnosis of meningitis.

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## KEY TERMS<sup>1</sup>

blood-brain barrier

cerebrospinal fluid

choroid plexus

intrathecal

meninges

meningitis

oligoclonal bands

pleocytosis

stat

subarachnoid space

ventricles

xanthochromia

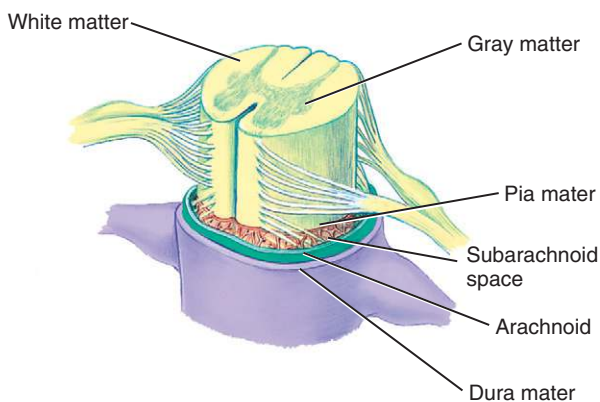
<sup>1</sup>Definitions are provided in the chapter and glossary.



## PHYSIOLOGY AND COMPOSITION

**Cerebrospinal fluid (CSF)** bathes the brain and spinal cord. CSF is produced primarily (70%) from secretions into the four **ventricles** of the brain by the highly vascular **choroid plexus** (vascular fringe-like folds in the pia mater). The ependymal cells that line the brain and spinal cord also play a minor role in the production of CSF. The formation of CSF can be described as a selective secretion from plasma, not as an ultrafiltrate. This is evidenced by higher CSF concentrations of some solutes (e.g., sodium, chloride, magnesium) and lower CSF concentrations of other solutes (e.g., potassium, total calcium) compared to plasma. If simple ultrafiltration were responsible for CSF production, these solute concentration differences would not exist.

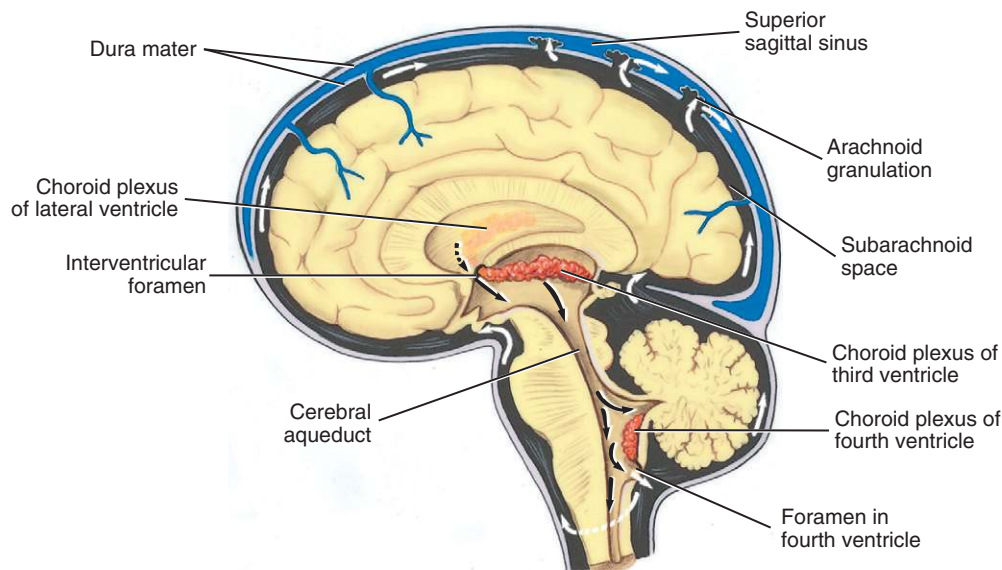
The brain and spinal cord are surrounded by three membranes, collectively termed the **meninges**. The tough



**FIG. 9.1** A schematic representation of the spinal cord and the meninges that surround it. (From Applegate E: *The anatomy and physiology learning system*, ed 4, Philadelphia, 2011, Saunders.)

outermost membrane, the **dura mater**, is next to the bone. The **arachnoid** (also called *arachnoidea*), or middle layer, derives its name from its visual resemblance to a spider web. The innermost membrane, the **pia mater**, adheres to the surface of the neural tissues (Fig. 9.1). Cerebrospinal fluid flows in the space between the arachnoidea mater and the pia mater, called the **subarachnoid space**, where it bathes and protects the delicate tissues of the central nervous system (CNS). From its initial formation in the ventricles, the CSF circulates to the brainstem and spinal cord, principally through pressure changes caused by postural, respiratory, and circulatory pressures (Fig. 9.2). The CSF eventually flows in the subarachnoid space to the top outer surface of the brain, where projections of the arachnoid membrane called *arachnoid granulations* are present. These projections have small, one-way valvelike structures that allow the CSF to enter the bloodstream of the large veins of the head. Cerebrospinal fluid formation, circulation, and reabsorption into the blood make up a dynamic process that constantly turns over about 20 mL each hour.<sup>1</sup> If the flow path between production and reabsorption of CSF into the blood is obstructed for any reason, CSF accumulates, producing hydrocephalus; intracranial pressure can increase, causing brain damage, intellectual and developmental disabilities, or death if left untreated. Normally, the total volume of CSF in an adult ranges from 85 to 150 mL. The volume in neonates is significantly smaller, ranging from 10 to 60 mL.

The CSF protects and supports the brain and spinal cord and provides a medium for the transport and exchange of nutrients and metabolic wastes. The capillary endothelium in contact with CSF enables the transfer of substances from the blood into the CSF and vice versa. This capillary endothelium differs from the endothelium in other tissues by the presence of tight junctions between adjacent endothelial cells. These tight junctions significantly reduce the extracellular passage of substances from the blood plasma into the CSF. In other



**FIG. 9.2** A schematic representation of the brain and spinal cord, including the circulation of the cerebrospinal fluid. (From Applegate E: *The anatomy and physiology learning system*, ed 4, Philadelphia, 2011, Saunders.)

words, all substances that enter or leave the CSF must pass through the membranes and cytoplasm of the capillary endothelial cells. This modulating interface between the blood and the CSF is called the **blood-brain barrier** and accounts for the observed concentration differences of electrolytes, proteins, and other solutes. An example of the selectivity and effectiveness of this blood-brain barrier is the failure of some antibiotics (e.g., penicillins), given intravenously, to enter the CSF, although these antibiotics freely penetrate all other tissues of the body.

In healthy individuals, the chemical composition of CSF is closely regulated and includes low-molecular-weight proteins. Changes in the chemical composition or in the cellular components can aid in the diagnosis of disease. Protein, glucose, and lactate are routinely measured in CSF. Although numerous other parameters (e.g., sodium, potassium, chloride, magnesium, pH,  $PCO_2$ , enzymes) have been evaluated for clinical use, they have yet to prove their diagnostic value. In addition to chemical analysis, CSF is routinely cultured for microbial organisms, examined microscopically to evaluate

**TABLE 9.1 Cerebrospinal Fluid Reference Intervals<sup>a</sup>**

<b>Physical Examination</b>			
Color	Colorless		
Clarity	Clear		
<b>Chemical Examination</b>			
<b>Component</b>	<b>Conventional Units</b>	<b>Conversion Factor</b>	<b>SI Units</b>
Electrolytes			
Calcium	2.0–2.8 mEq/L	0.5	1.00–1.40 mmol/L
Chloride	115–130 mEq/L	1	115–130 mmol/L
Lactate	10–22 mg/dL	0.111	1.1–2.4 mmol/L
Magnesium	2.4–3.0 mEq/L	0.5	1.2–1.5 mmol/L
Potassium	2.6–3.0 mEq/L	1	2.6–3.0 mmol/L
Sodium	135–150 mEq/L	1	135–150 mmol/L
Glucose	50–80 mg/dL	0.5551	2.8–4.4 mmol/L
Total protein	15–45 mg/dL	10	150–450 mg/L
Albumin	10–30 mg/dL	10	100–300 mg/L
IgG	1–4 mg/dL	10	10–40 mg/L
<b>Protein Electrophoresis</b>	<b>Percent of Total Protein</b>		
Transthyretin (prealbumin)	2–7%		
Albumin	56–76%		
$\alpha_1$ -Globulin	2–7%		
$\alpha_2$ -Globulin	4–12%		
$\beta$ -Globulin	8–18%		
$\gamma$ -Globulin	3–12%		
<b>Microscopic Examination</b>			
<b>Component</b>	<b>Conventional Units</b>	<b>Conversion Factor</b>	<b>SI Units</b>
Neonates (<1 year old)	0–30 cells/ $\mu$ L	$10^6$	0–30 $\times 10^6$ cells/L
1–4 years old	0–20 cells/ $\mu$ L	$10^6$	0–20 $\times 10^6$ cells/L
5–18 years old	0–10 cells/ $\mu$ L	$10^6$	0–10 $\times 10^6$ cells/L
Adults (>18 years old)	0–5 cells/ $\mu$ L	$10^6$	0–5 $\times 10^6$ cells/L
<b>Differential Cell Count</b>	<b>Percent of Total Count</b>		
Neonates			
Lymphocytes	5–35%		
Monocytes	50–90%		
Neutrophils	0–8%		
Adults			
Lymphocytes	40–80%		
Monocytes	15–45%		
Neutrophils	0–6%		

<sup>a</sup>For cerebrospinal fluid specimens obtained by lumbar puncture.

the cellular components, and tested for the presence of specific antigens. These cytologic, microbiological, and immunologic studies can provide valuable diagnostic information. For CSF reference intervals, see [Table 9.1](#) or [Appendix C](#).

## SPECIMEN COLLECTION

Cerebrospinal fluid specimens are collected specifically for the diagnosis or treatment of disease ([Box 9.1](#)). Although the lumbar puncture principally used to obtain CSF specimens is fairly routine, it involves significant patient discomfort and can cause complications. Therefore once a CSF specimen has been collected, it is imperative that it is properly labeled and handled at the bedside and in the laboratory.

Usually a physician performs a lumbar puncture in the third or fourth lumbar interspace (or lower) in adults or in the fourth or fifth interspace in children ([Fig. 9.3](#)). The puncture site selection can vary if an infection is present at the preferred site. A locally infected site must be avoided to prevent introduction of the infection into the CNS. The lumbar puncture procedure is performed aseptically after thorough cleansing of the patient's skin and the application of a local anesthetic. The spinal needle is advanced into the lumbar interspace, and often a "pop" is heard on penetration of the dura mater.

### BOX 9.1 Indications and Contraindications for Lumbar Puncture and Cerebrospinal Fluid Examination

#### Indications

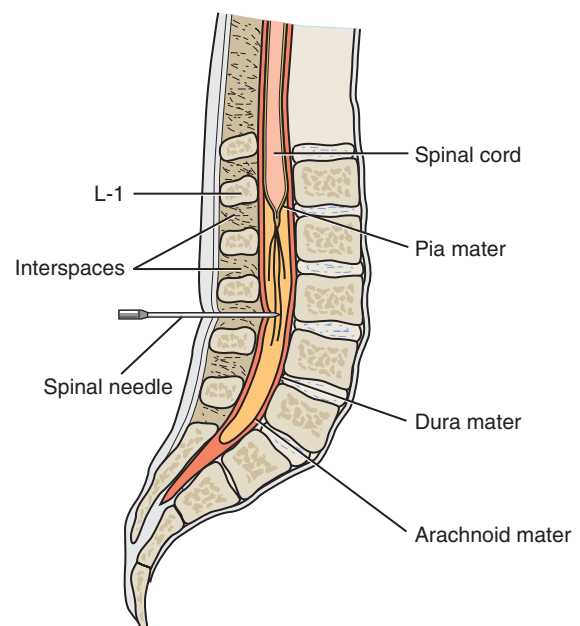
- Infections
  - Meningitis
  - Encephalitis
  - Brain abscess
- Hemorrhage
  - Subarachnoid
  - Intracerebral
- Neurologic disease
  - Multiple sclerosis
  - Guillain-Barré syndrome
- Malignancy
  - Leukemia
  - Lymphoma
  - Metastatic carcinoma
- Tumor
  - Brain
  - Spinal cord
- Treatments
  - Chemotherapy
  - Anesthetics
  - Radiographic contrast media
  - Antibiotic therapy

#### Contraindications

- Infections
- Septicemia
- Systemic infection
- Localized lumbar infection

Immediately after the dura mater has been entered and before any CSF has been removed, the physician takes the initial or "opening" pressure of the CSF using a manometer that attaches to the spinal needle. Normal CSF pressures for an adult in a lateral recumbent position range from 50 to 180 mm Hg, with slightly higher pressures obtained from individuals in a sitting position. If the pressure is in the normal range, up to 20 mL of CSF (approximately 15% of the estimated total CSF volume) can be removed safely. If the CSF pressure is less than or greater than normal, only 1 to 2 mL should be removed. Because the total volume of CSF is significantly smaller in infants and children, proportionately smaller volumes are collected from them. After the CSF has been removed and before the spinal needle has been withdrawn, the physician takes the "closing" CSF pressure, which should be 10 to 30 mm Hg less than the opening pressure. Both CSF pressure values and the amount of CSF removed are recorded in the patient's chart.

As CSF is collected, it is dispensed into three (or more) sequentially labeled sterile collection tubes. The first tube is used for chemical and immunologic testing, because any minimal blood contamination resulting from vessel injury during the initial tap normally does not affect these results. The second tube is used for microbial testing, and the third tube is reserved for the microscopic examination of cellular components (i.e., red and white blood cell counts and cytologic studies). If only a small amount of CSF is obtained and a single collection tube must be used, the ordering physician prioritizes the tests desired. With these low-volume specimens, it is imperative that a portion of the specimen is maintained sterile when microbiology tests are ordered. This can be achieved by having the microbiology laboratory receive and process the specimen first, or, by using sterile technique,



**FIG. 9.3** A schematic representation of a lumbar puncture procedure.

**TABLE 9.2 Cerebrospinal Fluid Specimen Handling and Storage Temperature**

Tube #1	Chemical, immunology, serology	Frozen (–15 to –30°C)
Tube #2	Microbiological studies	Room temperature (19–26°C)
Tube #3	Cell counts and cytology studies	Refrigerated (2–8°C)

a sufficient volume of the specimen is transferred to another container for cell counts and any chemical or immunologic tests requested.

The examination and testing of CSF should take place as soon as possible after collection. Therefore in most institutions, tests ordered on CSF specimens are considered **stat**. Delay in testing can cause inaccurate results, such as falsely low cell counts caused by the lysis of white blood cells or falsely high lactate levels caused by glycolysis. In addition, the recovery of viable microbial organisms is jeopardized. When delay is unavoidable, each CSF collection tube must be stored at the temperature that best ensures recovery of the constituents of interest (Table 9.2). Any CSF remaining after the initial tests have been performed can be frozen and saved for possible future chemical or immunologic studies.

## PHYSICAL EXAMINATION

Normal CSF is clear and colorless, with a viscosity similar to that of water. Increased viscosity, although rare, can occur as a result of metastatic, mucin-secreting adenocarcinomas. Abnormally increased amounts of fibrinogen in CSF caused by a compromised blood-brain barrier can result in clot formation. Fine, delicate clots can form a thin film or pellicle on the surface of CSF after it has been stored at refrigerator temperatures for 12 or more hours. Most often, clot formation is associated with a traumatic puncture procedure, in which blood and plasma proteins contaminated the CSF. Rarely, no blood is present in the CSF, and clots form as a result of elevated CSF protein levels with conditions such as Froin's syndrome or suppurative or tuberculous meningitis, or as a result of subarachnoid obstruction. Despite the various possibilities for clot formation, clots rarely are encountered, even in patients with pathologic conditions. If present, however, clot formation must be noted and reported.

Because a CSF specimen is collected into three or more collection tubes and all tubes may not be sent to the same laboratory area, the testing personnel must examine and individually assess each tube for clarity, color, and volume. The clarity or turbidity of CSF depends on its cellularity. **Pleocytosis**, an increase in the number of cells in CSF, causes the CSF to appear cloudy to varying degrees. A cloudy CSF specimen is associated with a white blood cell count greater than 200 cells/ $\mu\text{L}$  or a red blood cell count exceeding 400 cells/ $\mu\text{L}$ . Similarly, microorganisms or an increased protein content can produce cloudy CSF specimens. Cerebrospinal fluid clarity can be graded

**BOX 9.2 Causes of Xanthochromia in Cerebrospinal Fluid**

- Hemorrhage, subarachnoid or intracerebral
- Hyperbilirubinemia
- Hypercarotenemia
- Meningeal melanoma
- Normal neonate<sup>a</sup>
- Protein concentration exceeding 150mg/dL
- Previous traumatic tap

<sup>a</sup>Xanthochromia in neonates results from a combination of increased bilirubin and increased protein due to immaturity in the blood-brain barrier.

**TABLE 9.3 Features that Aid in Differentiating Traumatic Tap from Hemorrhage**

Traumatic Tap	Hemorrhage
Amount of blood decreases or clears progressively from first to last collection tube	Amount of blood the same in all collection tubes
Streaking of blood in CSF during collection	Blood evenly dispersed during collection
CSF may clot	CSF does not clot due to defibrination in vivo
Usually no xanthochromia	Xanthochromia present
No hemosiderin present	Presence of hemosiderin-laden macrophages (siderophage)

CSF, Cerebrospinal fluid.

semiquantitatively from 0 (clear) to 4+ (newsprint cannot be read through the fluid) using standardized criteria to ensure consistency in reporting. Occasionally, the CSF may appear oily because of the presence of radiographic contrast media.

Although normal CSF is colorless, in disease states it often appears xanthochromic. Although **xanthochromia** literally means a yellow discoloration, this term is applied to a spectrum of CSF discolorations, including pink, orange, and yellow. A pink supernatant after centrifugation results from oxyhemoglobin, a yellow supernatant results from bilirubin, an orange supernatant results from a combination of these, and a brownish supernatant results from methemoglobin formation. High concentrations of other substances, such as carotene, and protein in concentrations greater than 150mg/dL can cause xanthochromic CSF specimens, as can conditions such as meningeal melanoma or collection of the CSF 2 to 5 days after a traumatic tap (Box 9.2).

## Hemorrhage or Traumatic Tap

Gross blood in CSF is visually apparent, and determining its source requires differentiation between a traumatic puncture procedure and a subarachnoid or intracerebral hemorrhage. Several observations can aid in making this differentiation (Table 9.3). First, a traumatic tap results in the greatest amount of blood collected in the first specimen tube. Hence a visual assessment or a comparison of the RBC count between tube



1 and tube 3 (or 4) will show a significant difference (decrease). In contrast, a hemorrhage results in a homogeneous distribution of RBCs throughout all collection tubes.

Second, after centrifugation of CSF, when the supernate is colorless, it points to a traumatic tap. In contrast, a xanthochromic supernate indicates a previous hemorrhage. It takes 1 to 2 hours for RBC lysis to occur in CSF and for the formation of the compounds that produce xanthochromia.<sup>2</sup> The lysis of RBCs observed in CSF is not osmotically induced because plasma and CSF are osmotically equivalent; rather, it is speculated that the lack of sufficient CSF proteins and lipids needed to stabilize RBC membranes causes the lysis. Because lysis can occur in vivo or in vitro, timely processing and testing of CSF specimens are necessary. Once RBC lysis has occurred in CSF, xanthochromia can be evident as early as 2 hours after the hemorrhage and persist for as long as 4 weeks.<sup>3</sup>

Lastly, when the microscopic examination of CSF reveals macrophages with phagocytosed RBCs (erythrophages), it indicates either a hemorrhage or a previous traumatic tap. As hemoglobin from RBCs is processed within macrophages, they become siderophages (i.e., cells with intracellular hemosiderin granules), and hematin crystals may be present. See subsection Macrophages later in this chapter for additional discussion and a timeline of the cellular findings in CSF following a hemorrhage or traumatic tap.

## MICROSCOPIC EXAMINATION

The CSF of adults normally contains a small number of white blood cells (WBCs), specifically, lymphocytes and monocytes at 0 to 5 cells/ $\mu\text{L}$ . Similarly low numbers of WBCs (0–10 cells/ $\mu\text{L}$ ) are expected in children, whereas healthy neonates can have up to 30 WBCs/ $\mu\text{L}$ , with monocytes predominating.

In contrast, RBCs are not normally present in CSF. When present, RBCs most often represent CSF contamination with peripheral blood during the lumbar puncture procedure. Rarely, RBCs are present because of a recent (within 1 or 2 hours) subarachnoid or cerebral hemorrhage.

Cell counts on CSF must be performed as soon as possible to ensure valid results. At room temperature, 40% of the WBCs in CSF will lyse in 2 hours.<sup>4</sup> If the specimen is refrigerated, WBC lysis can be reduced significantly to approximately 15%, but not completely prevented. Similarly, RBCs do not demonstrate significant lysis at 4°C; therefore the CSF collection tube for cell counts should be refrigerated if the count must be delayed for any reason.

Depending on the testing institution, different approaches to CSF cell counts are possible. Some laboratories do not perform a total cell count; instead, they perform individual RBC and WBC counts. The sum of these two counts is equivalent to a total cell count. Other laboratories perform a total cell count and a WBC count; the difference between these counts is the RBC count.

### Total Cell Count

Despite being labor intensive and technically challenging with low precision, cell counts on CSF are often performed

manually. Chapter 17 describes a manual procedure for performing CSF and other cell counts using a hemacytometer, and Appendix D provides details for preparing the various diluents that can be used. Commercial control materials are available to monitor the technical performance of personnel performing manual hemacytometer cell counts. However, it is possible to prepare “in-house” simulated CSF specimens using the method developed by Lofsness and Jensen.<sup>5</sup> These simulated specimens can be used (1) as quality control samples, (2) as samples for training, or (3) for competency assessment of laboratory personnel.

Total cell counts on CSF are usually made using well-mixed, undiluted CSF. Because of the low viscosity and protein content of CSF, cells settle within 1 minute after filling the hemacytometer chambers. When counting clear CSF, the standard of practice is to count all nine large squares on both sides of the hemacytometer. When the number of cells in the nine squares exceeds 200 or is crowded or overlapping, the CSF should be diluted with isotonic saline. Dilutions vary according to the concentrations of cells present. Sometimes an initial dilution may be selected based on the visual appearance of the fluid, ranging from a 1:10 dilution for a slightly cloudy specimen to a 1:10,000 dilution for bloody specimens.

Automation of CSF cell counts significantly increases analytical precision and reduces turnaround time. However, because the number of cells in CSF is normally low (<5 cells/ $\mu\text{L}$  in adults or <30 cells/ $\mu\text{L}$  in children), many electronic impedance-based cell counters cannot be used because the instrument’s background count produces values higher than these “normal” cell counts. This is an issue, especially when most of the CSF samples actually tested in the laboratory have low cell counts that reside in the normal range.

Some automated systems currently available for CSF cell counting are the ADVIA 120 and ADVIA 2120 hematology analyzers (Siemens Healthcare Diagnostics, Deerfield, IL), Sysmex XE-5000 hematology analyzers (Sysmex Corporation, Mundelein, IL), and the Iris iQ200 with Body Fluids Module (Beckman Coulter Inc., Brea, CA). Studies using these analyzers indicate agreement with the manual hemacytometer method, and the best correlations are obtained at high cell counts.<sup>6–8</sup> The ADVIA and Sysmex analyzers enumerate and differentiate cells using flow cytometry, whereas the iQ200 enumerates and differentiates RBCs and nucleated cells using flow cell digital imaging. Note that automated systems are not used to differentiate nucleated cells or to identify pathologic cell types such as neoplastic cells, siderophages, and lipophages. (See Chapter 16 for additional discussion of automated body fluid analysis.)

### Red Blood Cell (Erythrocyte) Count

RBC counts provide little diagnostically useful information. They can be performed to aid in the differentiation of a recent hemorrhage from a traumatic puncture procedure, as previously discussed. Another application of the RBC count is to correct the WBC count and total protein determinations obtained from a CSF specimen known to be contaminated with peripheral blood. These calculated “corrections” have limited accuracy, usually overcorrect the counts, assume that

all of the RBCs present result from contamination, and have little clinical use. Therefore this chapter does not describe these corrections in detail; readers are referred to the bibliography for additional information.

As with the total cell count, well-mixed, undiluted CSF is used for the RBC count unless the number of cells present requires dilution because of overlapping and crowding of cells. Because the differentiation between small lymphocytes and crenated erythrocytes can be difficult in unstained wet preparations, some laboratories eliminate this count, replacing it with the difference obtained between the total cell count and the WBC count.

## White Blood Cell (Leukocyte) Count

Increased WBC counts in CSF are associated with diseases of the CNS and a variety of other conditions (Table 9.4). The WBC count can vary significantly depending on the causative agent. Often the highest WBC counts (greater than 50,000 cells/ $\mu\text{L}$ ) in CSF occur with bacterial meningitis. However, the same condition can show no pleocytosis in some patients.<sup>9</sup>

To enhance the visualization of white blood cell nuclei and to eliminate any RBCs present, the CSF can be treated with *glacial* acetic acid, and 3 to 5 minutes is allowed for RBC lysis before the hemacytometer chambers are filled. For details, see Chapter 17, Box 17.1. With the WBC nuclei more readily apparent, the WBCs are counted and may be classified as mononuclear or polymorphonuclear cells. Note that classification of WBCs during this count, known as a *chamber differential*, has poor precision and is unsatisfactory for reporting; however, it provides a preliminary indication of the cell types present. When WBC numbers are such that a dilution is required for accurate counting, an acetic acid and stain mixture (e.g., Turk's solution) can be used as the diluent; this solution enhances visualization and facilitates classification of the WBCs (see Chapter 17 and Appendix D).

## Nucleated Cell Differential

### Slide Preparation

The differential cell count provides useful diagnostic information. Normally, lymphocytes and monocytes predominate in the CSF, with the percentages of each differing for adults and neonates (Table 9.5). A normal range for children 2 months old to 18 years old has yet to be established because of limited data. Before cytocentrifuge techniques were used, any neutrophils present were considered abnormal. Currently, with the increased cell recovery obtained using cytopspin preparations, neutrophil counts of less than 10% are considered normal.<sup>10</sup>

Performing a differential count of the nucleated cells in CSF requires the laboratorian to (1) concentrate the cells, (2) prepare a smear of the concentrate on a microscope slide, and (3) stain the slide preparation with Wright's stain. The preferred and most widely used technique is cytocentrifugation and it is rapid, technically simple to perform, and produces slides with good cellular recovery. Note that some cell distortion can occur. The artifacts associated with cytocentrifugation are well known and listed in Chapter 17, Box 17.4.

For additional discussion of slide preparation, see Chapter 17, subsection Body Fluid Analysis: Manual Hemacytometer Counts and Differential Slide Preparation.

### Slide Examination

When a nucleated cell differential is performed, the entire slide is scanned using a low-power objective (10 $\times$ ). This scan provides an overview of the cellularity of the specimen as well as aids in detecting abnormalities that can be few in number yet apparent at this magnification, such as malignant cell clumps or other significant findings (Fig. 9.4). Note that the low-power examination can be done before or after the nucleated cell differential is performed.

A nucleated cell differential is performed using a high-power oil immersion objective (i.e., 50 $\times$  or 100 $\times$ ). The cell types that can be present in CSF include: granulocytes (i.e., neutrophils, eosinophils, basophils), lymphocytes, plasma cells, mononuclear phagocytes (i.e., monocytes, macrophages), and malignant cells. Note that *all* nucleated cells are counted. See Table 9.4 for conditions associated with specific cell types.

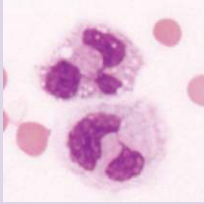
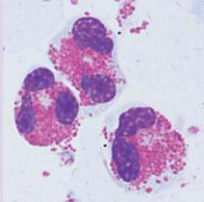
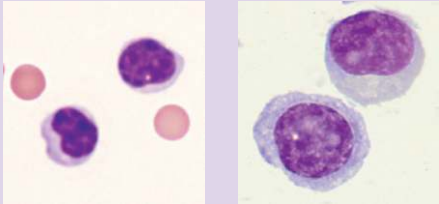
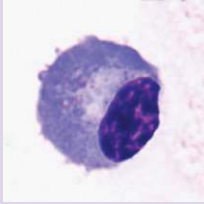
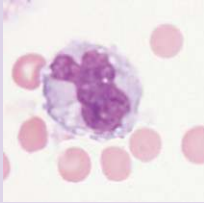
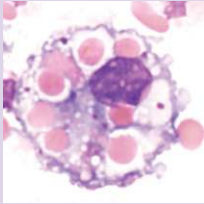
### Nucleated Cell Types in Pleocytosis

**Neutrophils.** Neutrophilic pleocytosis occurs in early viral, fungal (Fig. 9.5, A), tubercular, and parasitic infections, as well as with noninfectious conditions. With bacterial meningitis, as many as 90% of the WBCs present in the CSF can be neutrophils (Fig. 9.5, B). In contrast, only a small percentage of neutrophils ( $\approx 10\%$ ) may be present with other infectious agents. Noninfectious conditions associated with an increased number of neutrophils in the CSF include subarachnoid or intracerebral hemorrhage, repeated lumbar punctures, and **intrathecal** administration of drugs or radiographic contrast media.

**Eosinophils.** Few eosinophils are seen in normal CSF, and small increases are not considered clinically significant. Eosinophil pleocytosis (10% or greater) is associated with various parasitic and fungal infections, and can also result from an allergic reaction to malfunctioning intracranial shunts or to the intrathecal injection of foreign substances such as radiographic contrast media or medications (Fig. 9.6). A form of meningitis that results in eosinophil pleocytosis has also been described; when a causative agent or pathogen is not identified, the term used is *idiopathic eosinophilic meningitis*.<sup>11</sup>

**Lymphocytes.** An increased number of lymphocytes in the CSF is associated with viral, tuberculous, fungal, and syphilitic meningitis. Although initially these conditions may show a mixture of cells (i.e., neutrophils, lymphocytes, monocytes, and plasma cells), in later stages of disease lymphocytes predominate (Figs. 9.7 and 9.8). Lymphocytes in CSF can become activated by stimuli and transform in the same way as those in peripheral blood. As a result, a variety of lymphoid cells can be present at one time in the CSF. They can range in size from small (7–12  $\mu\text{m}$ ) round to oval cells to large cells (12–18  $\mu\text{m}$ ), with the change in size primarily

TABLE 9.4 Cell Types and Causes of Cerebrospinal Fluid Pleocytosis

Predominant Cell Type	Infectious Causes	Noninfectious Causes
Neutrophils 	Meningitis <ul style="list-style-type: none"> <li>Bacterial</li> <li>Early viral, tuberculous, fungal</li> <li>Amebic encephalomyelitis</li> </ul> Cerebral abscess	Hemorrhage <ul style="list-style-type: none"> <li>Subarachnoid</li> <li>Intracerebral</li> </ul> Central nervous system infarct Tumor Repeated lumbar puncture Intrathecal treatment (e.g., drugs, myelography)
Eosinophils 	Parasitic infestations Fungal infections <ul style="list-style-type: none"> <li><i>Coccidioides immitis</i></li> </ul> Idiopathic eosinophilic meningitis	Allergic reaction to <ul style="list-style-type: none"> <li>Intracranial shunts</li> <li>Radiographic contrast media</li> <li>Intrathecal medications</li> </ul> Lymphoma, leukemia Contamination with blood
Lymphocytes 	Meningitis <ul style="list-style-type: none"> <li>Viral</li> <li>Tuberculous</li> <li>Fungal</li> <li>Syphilitic</li> </ul> HIV infection and AIDS Partially treated bacterial meningitis Parasitic infestations	Multiple sclerosis Guillain-Barré syndrome Lymphoma Drug abuse
Plasma cells 	Same disorders associated with increased lymphocytes, particularly tuberculous and syphilitic meningitis	Multiple sclerosis Guillain-Barré syndrome
Monocytes 	Meningitis <ul style="list-style-type: none"> <li>Tuberculous</li> <li>Fungal</li> </ul>	Tumors
Macrophages 	Tuberculous meningitis Fungal meningitis	Response to RBCs or lipids in CSF resulting from: <ul style="list-style-type: none"> <li>Hemorrhage</li> <li>Brain abscess, contusion, infarction</li> <li>Blood contamination after lumbar puncture</li> </ul> Treatments <ul style="list-style-type: none"> <li>Intrathecal medications</li> <li>Radiographic contrast media</li> <li>Brain irradiation</li> </ul>
<b>Malignant cells</b>		
<ul style="list-style-type: none"> <li>Blasts</li> </ul>		Leukemia Lymphoma
<ul style="list-style-type: none"> <li>Tumor cells</li> </ul>		Central nervous system tumors (medulloblastoma) Metastatic tumors (e.g., lung, breast, gastrointestinal tract, melanoma)

AIDS, Acquired immunodeficiency syndrome; CSF, cerebrospinal fluid; HIV, human immunodeficiency virus; RBC, red blood cell.



due to increased amounts of cytoplasm. Transformed lymphocytes, known as reactive lymphocytes, can be even larger, ranging from 10 to 30  $\mu\text{m}$  in diameter and their cytoplasm can be pale to dark blue. Reactive lymphocytes are pleomorphic, can contain azurophilic granules, and their cytoplasm is easily indented by surrounding cells.

In cases of viral meningitis, all lymphocyte types are usually present in varying numbers, including reactive as well as plasmacytoid lymphocytes. Lymphocyte differentiation can be difficult and has limited clinical utility. Therefore, all lymphocytes in the nucleated cell differential are enumerated in a

single category. Table 9.4 lists other conditions demonstrating CSF-lymphocytic pleocytosis.

**Plasma cells.** In health, plasma cells are not in CSF; therefore when present, they should always be noted. Lymphocytes transform under various stimuli to eventually become a mature plasma cell. See Chapter 10, Figs. 10.7 and 10.10, and for additional discussion about plasma cells see Chapter 10, subsection Lymphocytes and Plasma cells.

Plasma cells can be seen in acute viral and chronic inflammatory conditions—many of the same conditions that result in lymphocytic pleocytosis. Note that in some cases of multiple sclerosis, the presence of plasma cells may be the only CSF abnormality.

**Monocytes.** The number of monocytes in CSF can be increased, but monocytes rarely predominate (Fig. 9.9). Usually an increase in the number of monocytes occurs in a mixed pleocytosis pattern with other cell types (i.e., lymphocytes, neutrophils, plasma cells). A mixed pattern is seen in cases of tuberculous or fungal meningitis, chronic bacterial meningitis, or rupture of a cerebral abscess.

**Macrophages.** Macrophages in CSF originate from monocytes and possibly from stem cells located in the reticuloendothelial tissue of the arachnoid and pia mater. Although macrophages are not present in normal CSF, they are frequently

Age	Lymphocytes	Monocytes	Neutrophils
Neonates (0–2 months)	5–35%	50–90%	0–8%
Children (2 months to 18 years)	Not yet established	Not yet established	Not yet established
Adults (>18 years)	40–80%	15–45%	0–6%

\*Data apply to cerebrospinal fluid differential counts using a cytopsin preparation technique.

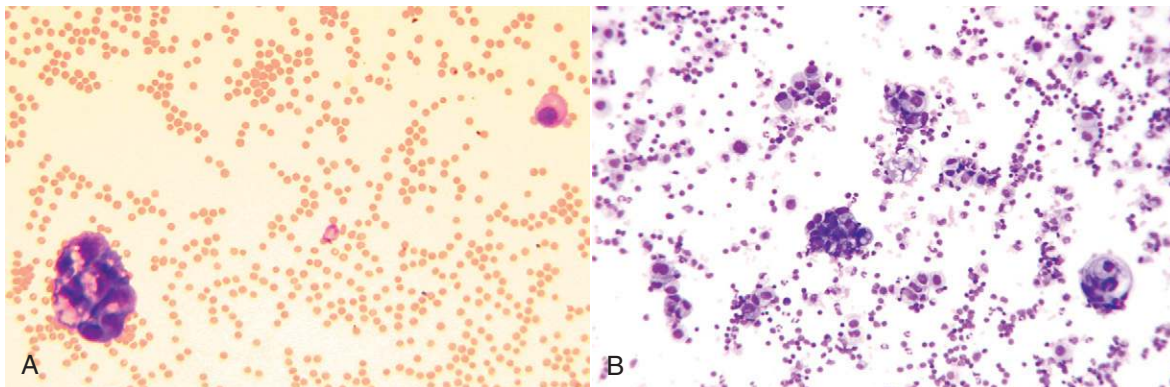


FIG. 9.4 Low-power fields of view of cerebrospinal fluid with tumor cell clumps. (A) Rare tumor clump with numerous red blood cells. (B) Numerous cells with rare tumor clump. (Courtesy Charlotte Janita.)

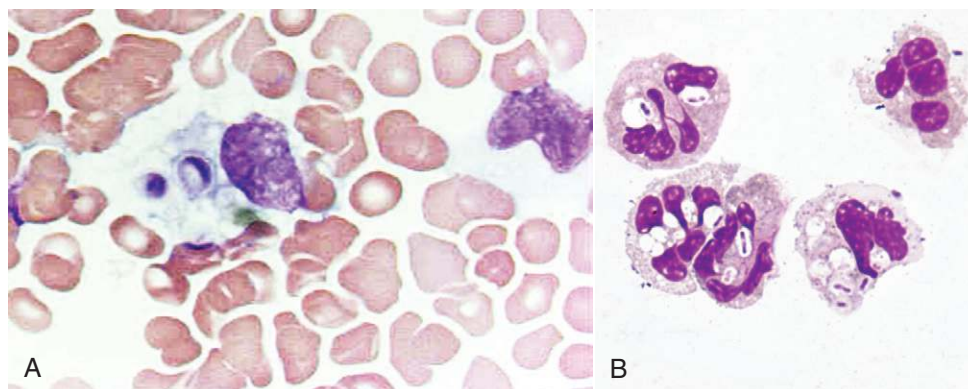
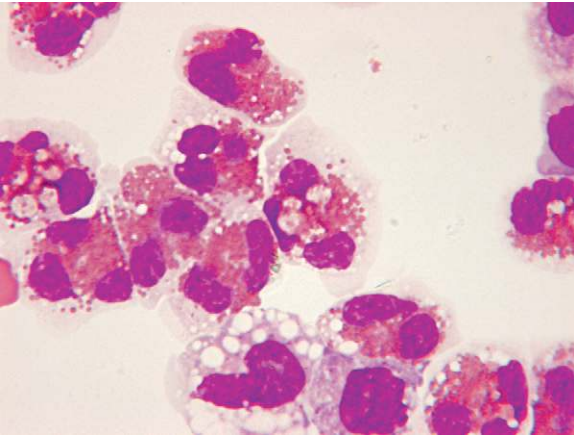
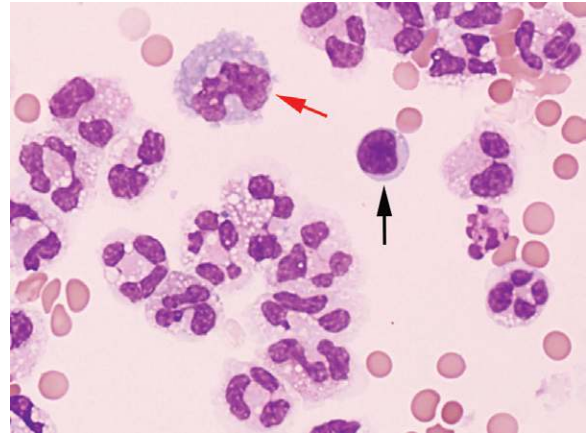


FIG. 9.5 (A) Macrophage with intracellular yeast (cerebrospinal fluid [CSF],  $\times 1000$ ). (B) Bacteria engulfed by neutrophils (CSF,  $\times 1000$ ). (A, Courtesy Charlotte Janita. B, From Carr JH, Rodak BF: *Clinical hematology atlas*, ed 3, St. Louis, 2008, Saunders.)

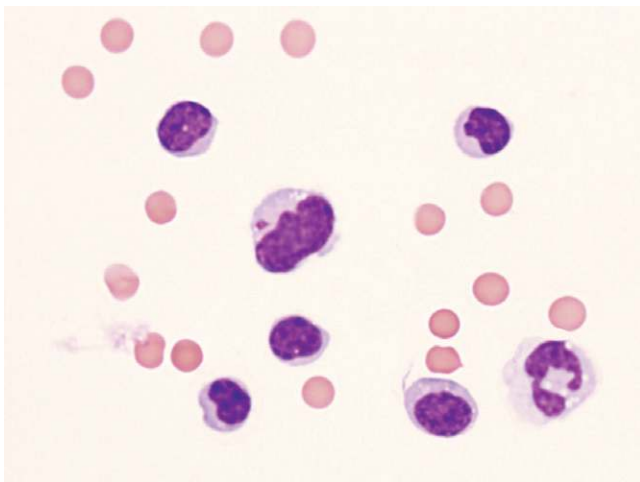




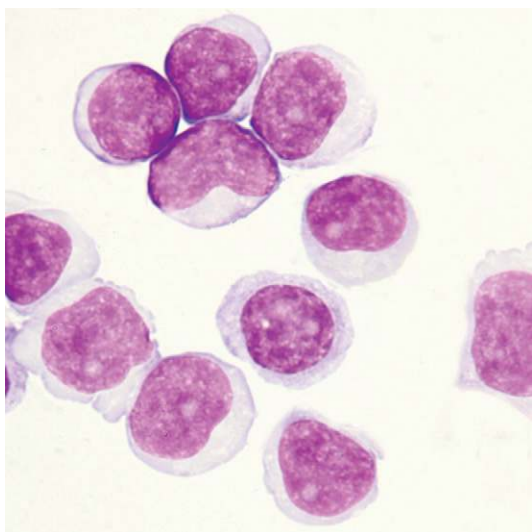
**FIG. 9.6** Eosinophilia in cerebrospinal fluid. (Courtesy Charlotte Janita.)



**FIG. 9.9** A monocyte (red arrow), a small lymphocyte (black arrow), many neutrophils and red blood cells. Cerebrospinal fluid, Wright stain,  $\times 1000$ .



**FIG. 9.7** Five lymphocytes with a monocyte (center), neutrophil (bottom right), and several red blood cells. Cerebrospinal fluid, Wright stain,  $\times 1000$ .



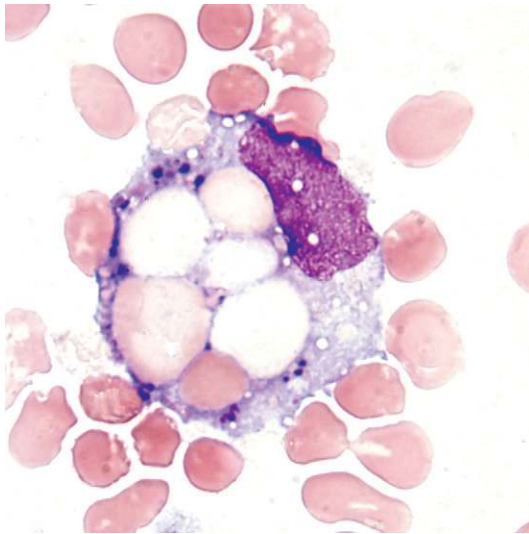
**FIG. 9.8** Reactive lymphocytes (cerebrospinal fluid [CSF],  $\times 1000$ ). (From Carr JH, Rodak BF: *Clinical hematology atlas*, ed 3, St. Louis, 2008, Saunders.)

found after hemorrhage and various other conditions because of their active phagocytic ability. CNS procedures such as myelography and pneumoencephalography can stimulate an increase in monocytes and macrophages in the CSF that can persist for 2–3 weeks after the procedure. Macrophages are capable of phagocytizing other cells, such as RBCs and WBCs, and substances, such as lipids, pigments, and microorganisms.

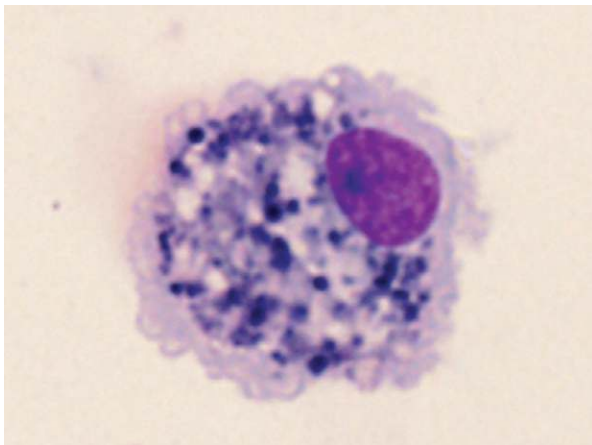
After a subarachnoid or cerebral hemorrhage, recently phagocytosed RBCs are readily apparent in macrophages, which are then called *erythrophages* (Fig. 9.10). The engulfed RBCs rapidly lose their pigmentation to form vacuoles in the cytoplasm of these large cells. Two to four days following a hemorrhage, macrophages with intracellular hemosiderin (i.e., brown- to black-pigmented granules) derived from hemoglobin degradation appear. These cells are called *siderophages* and can persist in the CSF for 2 to 7 weeks.<sup>12</sup> Siderophages are apparent on a Wright-stained cytopsin prepared slide (Fig. 9.11) but, if desired, visualization can be enhanced using an iron stain.

Another finding associated with a previous hemorrhage is the appearance of hematin crystals. These yellow-brown rhomboid crystals can be observed in the CSF approximately 2 weeks after a hemorrhage (Fig. 9.12). They can reside within macrophages (intracellular) or appear free floating (extracellular) in the fluid. The presence of hematin crystals indicates that blood (hemoglobin) was catabolized in the subarachnoid space of the brain or spinal cord approximately 2 weeks prior. See Box 9.3 for additional discussion about hematin and a similar but different compound called hematoidin.

Note that the presence of a small number of erythrophages does not always indicate a hemorrhage. When a second lumbar puncture is performed within 8 to 12 hours of a previous puncture, peripheral blood that entered the CSF during the initial procedure can be responsible for the erythrophagocytic activity observed. However, when siderophages or hematin crystals are also present, it indicates that a hemorrhage occurred in the CNS. See Table 9.6 for a summary of when different types of macrophages appear and how long they persist in the CSF following a hemorrhage.

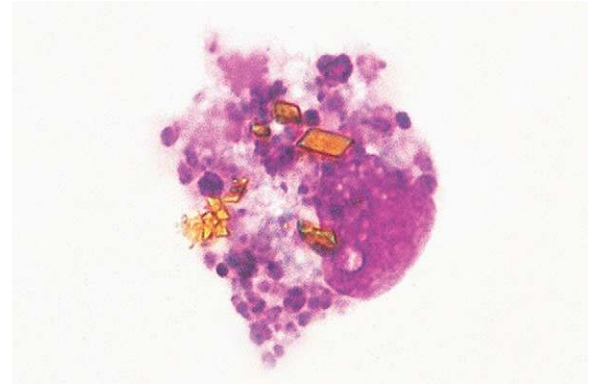


**FIG. 9.10** Macrophage with engulfed (intracellular) red blood cells; can also be called an *erythrophage*. (From Carr JH, Rodak BF: *Clinical hematology atlas*, ed 3, St. Louis, 2008, Saunders.)



**FIG. 9.11** A *siderophage* or macrophage with hemosiderin granules that appear blue-black in its cytoplasm. Cerebrospinal fluid, Wright stain,  $\times 1000$ .

*Neutrophages* and *lipophages* are two names used to describe macrophages based on other cytoplasmic inclusions. The phagocytosis of neutrophils occurs late in inflammatory conditions and produces neutrophages. These phagocytic cells are removing neutrophils (that have been battling the disease process) as well as other cellular debris in recovery and tissue repair. Note that monocytes and other macrophages have also been observed as intracellular inclusions within macrophages. Lipophages are macrophages with vacuoles of phagocytized lipids (Fig. 9.13). The vacuoles in these cells are small and uniform in contrast to the large vacuoles from RBCs in erythrophages. Lipophages can be present in the CSF as a result of trauma, abscess, infarction, chemotherapy, or irradiation of the CNS. As with other cytoplasmic inclusions, the nucleus in these lipid-laden macrophages is often pushed to one side.



**FIG. 9.12** Siderophage with intracellular hematin crystals. Cerebrospinal fluid, Wright stain  $\times 1000$ . (From Rodak BF, Fritsma GA, Doig K: *Hematology: clinical principles and applications*, ed 3, St. Louis, 2007, Saunders.)

### BOX 9.3 Hematin or Hematoidin?

Hematin and hematoidin are *different* porphyrin-based compounds but their formation and differentiation are not clearly described in the literature. Crystals of hematin and hematoidin are visually identical (i.e., golden rhomboid crystals) and their presence in body fluids or tissues carries the same clinical significance—they indicate that a hemorrhage or bleed has occurred in the associated body fluid (e.g., cerebrospinal fluid, synovial fluid) or tissue in which they are observed.

Note that after a hemorrhage or bleed (i.e., red blood cells [RBCs] have leaked from the vascular system), three chemically different compounds are produced from the degradation of hemoglobin. They are hemosiderin, hematin, and hematoidin. Hemosiderin appears as granules, it is produced within the cytoplasm of cells (e.g., siderophages, renal tubular cells—see Chapter 6), stains with Prussian blue, and appears 2 to 4 days after the hemorrhage. In contrast, hematin and hematoidin appear as crystals that can be intra- or extracellular, do not stain with Prussian blue, and form approximately 2 weeks after an initial bleed.

Hematoidin crystals are found in *tissues* where the hemoglobin from RBCs has undergone degradation (e.g., hematomas, trauma).<sup>13–15</sup> Hematoidin has characteristics similar to bilirubin and produces a positive diazo reaction using the “historical” indirect van den Bergh test. In contrast, hematin crystals found in *body fluids* give a negative diazo reaction with this test. It is also interesting that hematin crystallization occurs with heme catabolism by malarial parasites residing within RBCs in the bloodstream.<sup>15,16</sup>

**TABLE 9.6** Appearance and Duration of Findings in Cerebrospinal Fluid following Hemorrhage<sup>3,12</sup>

Finding	Appearance	Duration
Macrophages	~2 hours	Up to 2 months
Erythrophages	~8 hours	Up to 1 week
Siderophages	~2–4 days	Up to 8 weeks
Macrophages with intracellular hematin crystals; or extracellular hematin crystals	~2 weeks	Up to 2 months



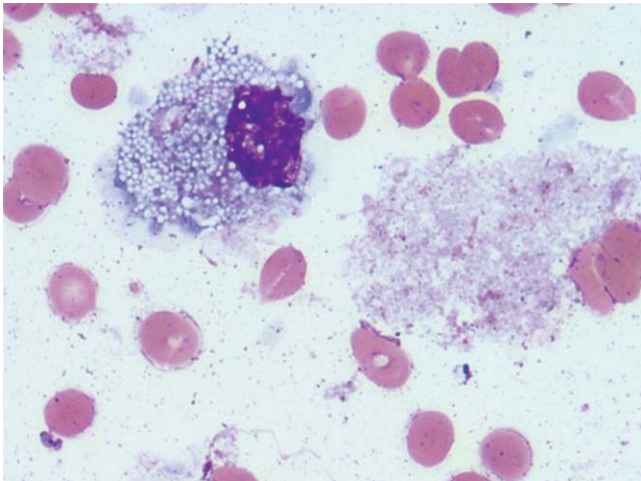
**Other cells and brain tissue.** At times, ependymal and choroid plexus cells are observed in CSF. These cells are collectively known as *ventricular lining cells*. Microscopically using a Wright-stained cytospin preparation of CSF, it is very difficult to differentiate between ependymal cells (cells lining the ventricles; the ependyma) and cells from the choroid plexus. Irregardless, these ventricular lining cells are often present as cell clumps or sheets of various sizes, but can also appear singly (Fig. 9.14). These cells range from 20 to 40  $\mu\text{m}$  in diameter and have round to oval nuclei. Their cytoplasm is moderate to abundant and at their cytoplasmic borders, vacuoles or cilia (ependymal cells) may be present.

Ventricular lining cells are frequently seen in patients with ventricular or cisternal taps and shunts. In these cases, their presence is not clinically significant. However, they can also be present due to brain trauma, surgery, infarction, or following brain imaging procedures. Therefore it is important to be familiar with the cytologic characteristics of ventricular lining cells so that they can be identified and differentiated

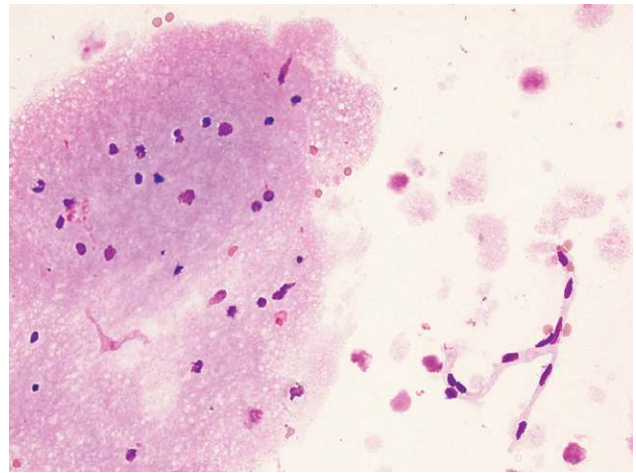
from malignant cells or artifactual clusters of lymphocytes or monocytes.

Other cells such as squamous epithelial cells, chondrocytes (cartilage cells), cells originating from bone marrow contamination (e.g., nucleated RBCs), spindle-shaped cells, neurons, and astrocytes can also be observed in CSF. Likewise, brain tissue and capillaries can be seen in CSF collections. Although uncommon, these elements are typically observed following intercranial surgery, cerebral hemorrhage, CNS trauma, or in patients with a ventricular shunt. Using a Wright-stained cytospin preparation, brain tissue appears pink to basophilic with a finely granular matrix. The brain tissue can be acellular or it may have randomly dispersed nuclei that show no evidence of cytoplasm (Fig. 9.15).

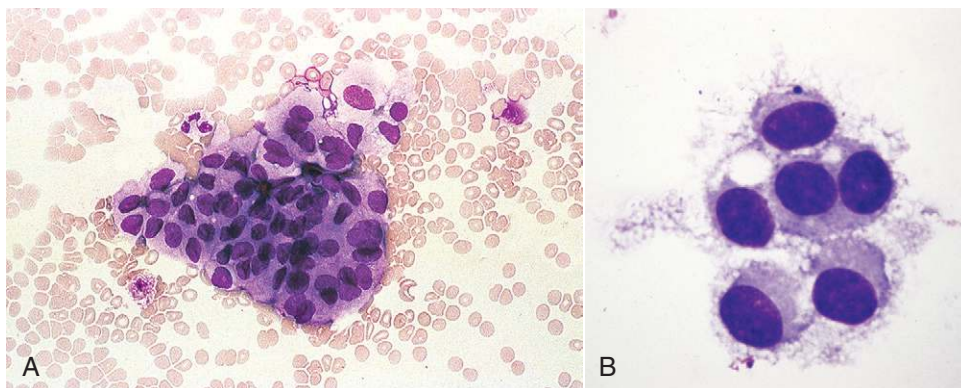
**Malignant cells.** Malignant cells in the CSF originate from a primary CNS tumor (e.g., medulloblastoma) or from metastasis. Most commonly seen are metastatic tumor cells from melanoma and from cancers of the lung, breast, or gastrointestinal tract. Leukemia, particularly acute lymphoblastic leukemia and acute myeloblastic leukemia, as well as



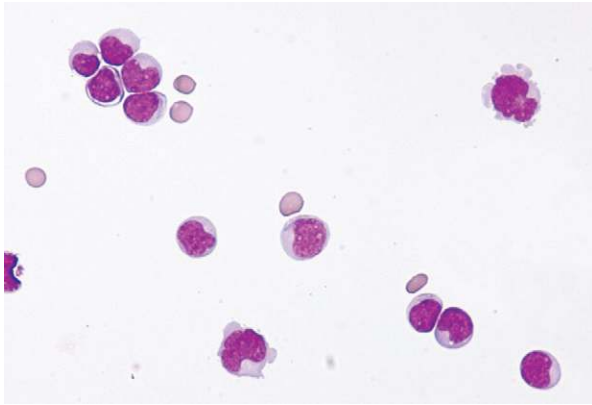
**FIG. 9.13** A lipophage or lipid-laden macrophage, red blood cells, and debris. Note the small phagocytic vacuoles of the lipophage compared with the larger vacuoles of an erythrocyte; see Fig. 9.10. Cerebrospinal fluid, Wright stain,  $\times 1000$ .



**FIG. 9.15** Brain tissue with randomly dispersed nuclei and a capillary remnant (bottom right). Also present are red blood cells and small pieces of acellular brain tissue. Cerebrospinal fluid, Wright stain,  $\times 1000$ .



**FIG. 9.14** Clumps of ependymal or choroid plexus cells. (A) Cerebrospinal fluid (CSF),  $\times 200$ . (B) CSF,  $\times 500$ . (A, From Rodak BF, Fritsma GA, Doig K: *Hematology: clinical principles and applications*, ed 3, St. Louis, 2007, Saunders. B, Courtesy Charlotte Janita.)



**FIG. 9.16** Lymphoblasts in cerebrospinal fluid (lymphoma).

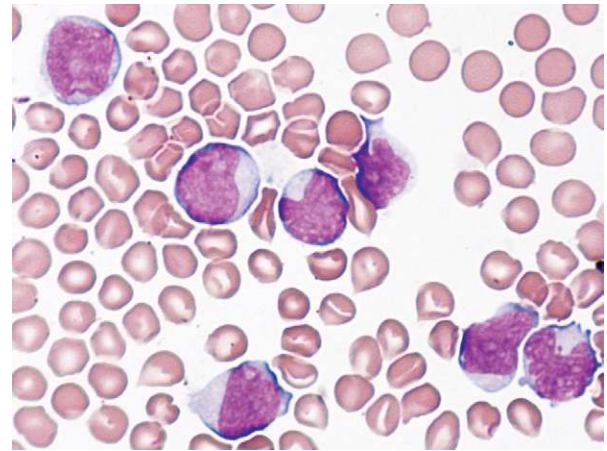
lymphoma, can also result in the presence of malignant cells in the CSF. In patients with lymphoma and acute lymphoblastic leukemia with meningeal infiltration, increased numbers of lymphoblasts are present (Fig. 9.16). The leukemic and lymphoma lymphoblasts are characteristically uniform in size, shape, and appearance, in contrast to transformed reactive lymphocytes in lymphoid-stimulating conditions that show a significant variation in the types of cells present. The actual number of lymphoblasts present is not of diagnostic importance; even small numbers are clinically significant. Similarly, in patients with acute myeloblastic leukemia, readily identifiable and uniform myeloblasts are seen (Fig. 9.17).

Because drugs used in chemotherapy do not pass the blood-brain barrier, malignant cells that enter the CNS can proliferate unchecked in the CSF. As a result, most patients with acute lymphoblastic leukemia ( $\approx 80\%$ ) and acute myeloblastic leukemia ( $\approx 60\%$ ) develop CNS involvement at some stage during the disease.<sup>17</sup>

In CSF, malignant tumor cells can appear singly or as cell clumps. When clumps of cells are present, it is important to positively identify and differentiate malignant cells from clumps of normal choroid plexus cells and from ependymal cells that line the ventricles. These normal cells of the CNS closely resemble malignant cells in size, shape, and appearance, but they have no clinical significance. In contrast, malignant cells are always of diagnostic importance. Therefore, always consult a pathologist or experienced cytologist whenever suspicious cells are present. See [Chapter 10, Box 10.2](#) for a listing of morphologic features associated with malignant cells.

## CHEMICAL EXAMINATION

Although numerous chemical constituents of CSF have been evaluated and studied, few have established clinical usefulness. Historically, although numerous electrolytes and acid-base indicators—such as chloride, calcium, magnesium, pH, and  $\text{PCO}_2$ —were analyzed, these analytes now have little clinical value. Instead, assays of glucose, lactate, and various proteins in the CSF predominate, providing substantive diagnostic information. This chapter does not discuss those chemical tests with limited clinical use, such as glutamine



**FIG. 9.17** Myeloblasts in cerebrospinal fluid (acute myelogenous leukemia).

quantitation, which reflects CSF ammonia levels and can aid in the diagnosis of hepatic encephalopathy resulting from Reye's syndrome, viral hepatitis, or cirrhosis, and it does not discuss lactate dehydrogenase activity with isoenzyme analysis, which can aid in the differential diagnosis of various CNS disorders.

## Protein

The bulk of CSF protein (more than 80%) is derived from the transport of plasma proteins (via pinocytosis) through the capillary endothelium in the choroid plexus and meninges; the rest of the protein results from intrathecal synthesis.<sup>18</sup> Because of this transport process of proteins, normally only low-molecular-weight proteins are present in the CSF. Electrophoresis, after concentrating CSF (80–100 times), normally reveals only the presence of transthyretin (TTR; previously called *prealbumin*), albumin, and transferrin. Trace amounts of immunoglobulin G (IgG), a high-molecular-weight protein (molecular weight 160,000), can also be demonstrated electrophoretically in some normal CSF specimens.

## Total Protein

The total amount of protein in the CSF varies with the age of the individual and the site from which the CSF is obtained. The protein content of CSF obtained from the lumbar region is greater than that obtained from the cisterna or ventricles. In general, CSF total protein concentrations ranging from 15 to 45 mg/dL (150–450 mg/L) are considered normal, although infants and adults older than 40 years often have higher protein concentrations.

The CSF total protein is most commonly determined to assess the integrity of the blood-brain barrier and to indicate pathologic conditions of the CNS. Increased CSF total protein can result from one of four different mechanisms: (1) CSF contamination with peripheral blood during the puncture procedure; (2) altered capillary endothelial exchange (change in the blood-brain barrier); (3) decreased reabsorption into the venous blood; or (4) increased synthesis in the CNS. Because of the high concentration of



proteins in the blood plasma compared to CSF ( $\approx 1000:1$ ), a traumatic tap can result in significant false elevation of the CSF total protein. Formulas to correct for the contribution of plasma protein to CSF after a traumatic tap use the RBC count obtained from the same collection tube. As mentioned earlier, however, these RBC-based formulas overestimate the correction, are rough estimates at best, and are not clinically useful.

Changes in the permeability of the blood-brain barrier and decreased reabsorption at the arachnoid villi occur with numerous disorders, such as bacterial, viral, and other forms of meningitis; cerebral infarction; hemorrhage; endocrine disorders; and trauma. Obstruction to the flow of CSF caused by tumors, disk herniation, or abscess prevents the normal circulation of fluid, which enhances water reabsorption in the spinal cord and results in increased CSF protein. Last, the infiltration of the CNS with immunocompetent cells that synthesize immunoglobulins can also result in an increased total protein determination (e.g., in multiple sclerosis, neurosyphilis).

Decreased CSF total protein can result from (1) increased reabsorption through the arachnoid villi because of increased intracranial pressure or (2) loss of fluid because of trauma (e.g., a dural tear) or invasive procedures (e.g., pneumoencephalography).

Several methods are available to determine CSF total protein. Test selection is dictated by the limited sample volume and the need for sensitivity because CSF protein concentrations are normally low (15–45 mg/dL). Turbidimetric procedures based on the precipitation of protein are often used. For a comprehensive discussion of the methods available, including the advantages and disadvantages of each, the reader should consult a textbook in clinical chemistry.

### Albumin and Immunoglobulin G

Because albumin is not synthesized in the CNS, all albumin present in the CSF results from passage across the blood-brain barrier, assuming no contamination occurs during the puncture procedure. Therefore albumin can be used as a reference protein to monitor the permeability of the blood-brain barrier. Permeability is evaluated by determining the CSF/serum albumin index, which is the ratio of the CSF albumin concentration to the serum albumin concentration (Equation 9.1). Note that the concentration units differ: albumin in the CSF is reported in milligrams per deciliter, whereas serum albumin is reported in grams per deciliter. A CSF/serum albumin index less than 9 is considered normal. Index values between 9.0 and 14.0 represent minimal impairment of the blood-brain barrier, index values between 15 and 100 represent moderate to severe impairment of the barrier, and index values that exceed 100 indicate a complete breakdown of the barrier.<sup>18</sup>

$$\text{CSF/serum albumin index} = \frac{\text{Albumin}_{\text{CSF}} \text{ (mg/dL)}}{\text{Albumin}_{\text{serum}} \text{ (g/dL)}}$$

Equation 9.1

In contrast to albumin, IgG is a high-molecular-weight protein that is normally present in minute amounts (approximately 1 mg/dL) in the CSF. In some pathologic conditions, increased CSF IgG can result from increased production within the CNS or from increased transport from the blood plasma. To specifically identify those conditions characterized by increased intrathecal synthesis, albumin is used as a reference protein, and the following formula is used to determine the CSF IgG index:

$$\text{CSF IgG index} = \frac{\text{IgG}_{\text{CSF}} \text{ (mg/dL)}}{\text{IgG}_{\text{serum}} \text{ (g/dL)}} \times \frac{\text{Albumin}_{\text{serum}} \text{ (g/dL)}}{\text{Albumin}_{\text{CSF}} \text{ (mg/dL)}}$$

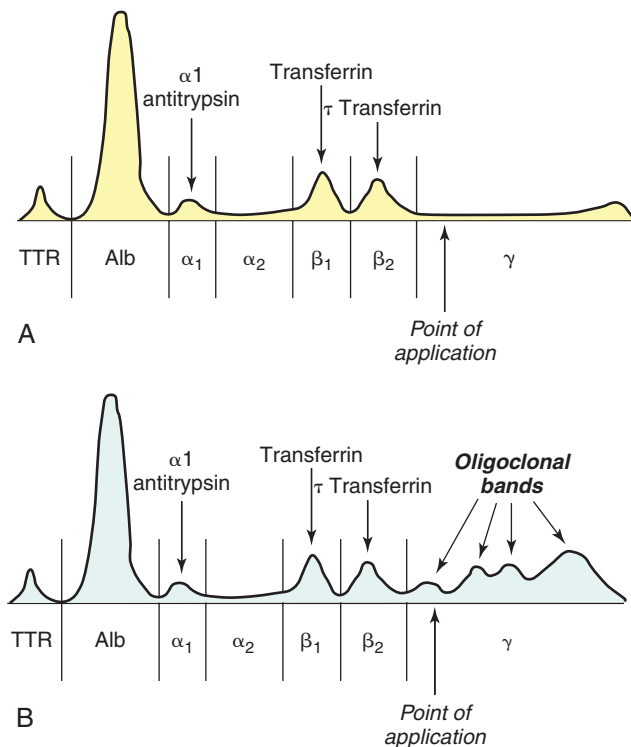
Equation 9.2

As with albumin, the concentration units of IgG differ with specimen type. Because this calculation depends on determinations of the albumin and IgG concentrations, any analytical error is magnified. Therefore it is imperative to use accurate and precise quantitative immunochemical methods (e.g., nephelometry) to determine the albumin and IgG concentrations. A typical reference interval for the IgG index is 0.30 to 0.70 (this range varies with the technical methods used and the patient population). Values greater than this range are associated with increased intrathecal production of IgG, whereas values less than this range indicate a compromised blood-brain barrier. Because about 90% of patients with multiple sclerosis have an IgG index greater than 0.70, this index is diagnostically sensitive for this disease. However, other inflammatory disorders of the CNS cause increased IgG synthesis, which limits the specificity of the index for multiple sclerosis. Regardless, the CSF IgG index is a diagnostically useful tool and is frequently used.

### Protein Electrophoresis

Protein electrophoresis reveals the composition and distribution of proteins in the CSF. An abnormal distribution of proteins can be present in the CSF (see Table 9.1) despite a normal total protein content. Because of its low protein content, the CSF must be concentrated 80- to 100-fold before electrophoresis. This is most often done using commercial concentrating devices.

Four protein bands predominate in a normal CSF pattern: TTR, albumin, and two distinct transferrin bands (Fig. 9.18, A). In addition, faint bands of  $\alpha_1$ -antitrypsin and IgG may be present. The second transferrin band, also known as  $\tau$  (tau) transferrin, migrates in the  $\beta_2$  region and is a sialic acid-deficient form of transferrin synthesized almost exclusively in the CNS. Because it is a protein unique to the CSF, when a CSF electrophoretic pattern is compared to a serum electrophoretic pattern from the same individual,  $\tau$  transferrin will not be present in the serum pattern. Normally, serum, nasal fluid, middle ear fluid, saliva, and sputum do not contain  $\tau$  transferrin. Therefore the presence of  $\tau$  transferrin in a fluid or discharge positively identifies it as CSF, which assists in the diagnosis of CSF rhinorrhea or otorrhea (i.e., the discharge of CSF through the nose or ears, respectively).<sup>19</sup>



**FIG. 9.18** Cerebrospinal fluid protein patterns using high-resolution electrophoresis. (A) A “normal” cerebrospinal fluid protein pattern. In the  $\beta_2$  region, the presence of  $\tau$  transferrin, a protein unique to cerebrospinal fluid, is noteworthy. (B) An “abnormal” cerebrospinal fluid protein pattern demonstrating the presence of oligoclonal bands in the  $\gamma$  region. These bands will not be present on electrophoresis of the patient’s serum. TTR, Transthyretin (previously called *prealbumin*).

Electrophoresis of CSF is performed primarily to detect **oligoclonal bands** in the  $\gamma$  region (see Fig. 9.16, B). Oligoclonal banding can vary significantly from a few faint discrete bands to many intense bands. Their presence in the CSF and concomitant absence in serum is highly indicative of multiple sclerosis. Because IgG can pass the blood-brain barrier, simultaneous electrophoretic analysis of serum and the CSF is necessary. Some lymphoproliferative disorders also produce oligoclonal banding, but the bands are present in both serum and the CSF. In these cases, if only the CSF is analyzed, an inaccurate diagnosis could be made. Among patients with multiple sclerosis, 90% demonstrate CSF oligoclonal bands at some time in the course of their disease. Although these bands aid in the diagnosis of multiple sclerosis, their presence or intensity does not correlate with a particular stage of disease, nor can they be used to predict disease progression. In addition, other CNS disorders, such as subacute sclerosing panencephalitis, neurosyphilis, bacterial and viral meningitis, and acute necrotizing encephalitis, can also demonstrate CSF oligoclonal banding. As a result, CSF oligoclonal banding alone cannot be considered pathognomonic for multiple sclerosis. Instead, a protocol consisting of laboratory tests and a clinical assessment of neurologic dysfunction is used to diagnose multiple sclerosis.

### Myelin Basic Protein

Myelin, a primarily lipid substance (70%), surrounds the axons of nerves and is necessary for proper nerve conduction. The remaining 30% of myelin is made up of proteins, one of which is myelin basic protein. With multiple sclerosis and other demyelinating diseases, the myelin sheaths undergo degradation and release myelin basic protein into the CSF, where it can be detected using sensitive immunoassays. Detection of myelin basic protein is not specific for multiple sclerosis, and the protein is present only during acute exacerbation of the disease. Myelin basic protein determinations, therefore, are used primarily to follow the course of disease or to identify those individuals with multiple sclerosis who do not show oligoclonal banding ( $\approx 10\%$ ).

### Glucose

The CSF glucose concentration is in a dynamic equilibrium with glucose in the blood plasma. Two mechanisms account for glucose in the CSF: (1) active transport by endothelial cells, and (2) simple diffusion along a concentration gradient that exists between the blood plasma and the CSF. Because of the time involved for these processes to occur, a CSF glucose value reflects the plasma glucose concentration 30 to 90 minutes preceding collection of the fluid. Accurately interpreting CSF glucose values requires a plasma glucose drawn 0 to 60 minutes preceding the lumbar puncture, preferably a fasting level. Normally, CSF glucose ranges from 50 to 80 mg/dL (2.75–4.40 mmol/L), which is approximately 60% to 70% of the plasma concentration. If a CSF/plasma glucose ratio is calculated, normal values average 0.6.

Increased CSF glucose levels are found after hyperglycemia and traumatic puncture procedures (because of peripheral blood contamination) but have no diagnostic significance. In contrast, low CSF glucose values ( $< 40$  mg/dL) are associated with numerous conditions such as hypoglycemic states, meningitis, and infiltration of the meninges with metastatic or primary tumor. More than 50% of meningitis cases have a low CSF glucose level. The mechanism for the low CSF glucose level observed is twofold: decreased or defective transport across the blood-brain barrier and increased glycolysis within the CNS.

### Lactate

Lactate is normally present in the CSF at concentrations ranging from 10 to 22 mg/dL (1.1–2.4 mmol/L), and its CSF concentration is essentially unrelated to that of blood plasma. Increased CSF lactate levels result from anaerobic metabolism within the CNS because of tissue hypoxia or decreased oxygenation of the brain. Any condition that impairs the blood supply or the transport of oxygen to the CNS results in increased CSF lactate levels. Numerous conditions that produce high CSF lactate levels include low arterial  $P_{O_2}$ , cerebral infarction, cerebral arteriosclerosis, intracranial hemorrhage, hydrocephalus, traumatic brain injury, cerebral edema, and meningitis.

Determination of CSF lactate can assist in differentiating meningitis caused by bacterial, fungal, or tuberculous agents

from viral meningitis. In viral meningitis, the lactate level rarely exceeds 25 to 30 mg/dL; in contrast, other forms of meningitis usually produce CSF lactate levels greater than 35 mg/dL. It is interesting to note that increased CSF lactate levels are closely associated with low CSF glucose levels and that together these parameters may be a better diagnostic indicator of bacterial meningitis than either parameter alone.

## MICROBIOLOGICAL EXAMINATION

The microbiology laboratory plays a key role in the diagnosis and selection of treatment for meningitis. If a limited volume of CSF is obtained, most often microbiological studies take precedence over all other studies. With identification of the causative agent responsible for meningitis, appropriate antibiotic therapy can begin. Gram staining and other microscopic techniques may reveal the causative agent, whereas a CSF culture can assist in diagnosis but more often confirms it. Similarly, using immunologic tests that detect microbial antigens in the CSF greatly aids in the diagnosis of meningitis.

Usually the second CSF collection tube obtained from a puncture procedure is sent to the microbiology laboratory. This tube or any subsequent tube is preferred because it is less likely than the first tube to contain microbial organisms from the puncture site. The CSF for microbial studies must be maintained at room temperature and should be processed immediately to ensure the recovery of viable organisms. Centrifugation of CSF at  $1500 \times g$  for 15 minutes produces a sediment from which smears and cultures are prepared.<sup>20</sup>

### Microscopic Examination of Cerebrospinal Fluid Smears

Because the microscopic examination of concentrated CSF sediment can provide a rapid presumptive diagnosis of meningitis in 60% to 80% of cases, it is imperative that a skilled microbiologist perform the examination (Fig. 9.19). Routine or cyto-centrifuged smears can be prepared, with the latter technique concentrating any organisms present into a well-defined area on

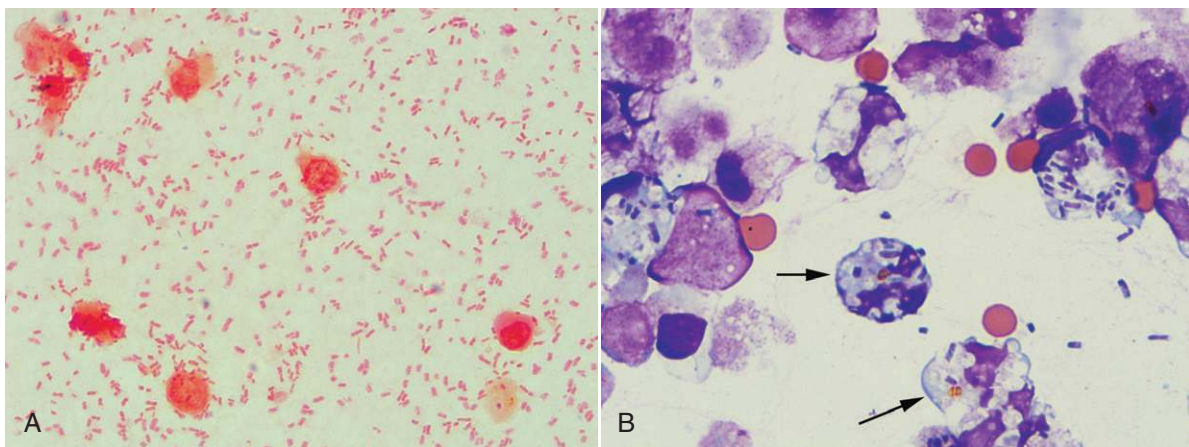
the slide, facilitating microscopic examination. Gram-stained smears can be difficult to interpret. False-negative results can occur because of the presence of only a small number of organisms. However, precipitated dye and debris, as well as contaminating organisms from reagents and supplies, can lead to false-positive Gram stain results. Although other stains, such as acridine orange, a fluorescent stain, are being evaluated for their sensitivity, the Gram stain remains the most commonly used stain to identify microorganisms in CSF.

If tuberculous meningitis is suspected, the CSF smear is stained with an acid-fast stain. In suspected cases of fungal meningitis, CSF smears are often evaluated by using Gram stain and by putting together an India ink preparation for *Cryptococcus neoformans*. Because microscopic identification can be insensitive (requires the presence of numerous organisms), immunologic tests are frequently used to assist in the diagnosis of various types of meningitis.

Primary amebic meningoencephalitis (PAM) caused by the amoeba *Naegleria fowleri* is a rare but deadly disease. Diagnosis can be made from the microscopic identification of amoeboid trophozoites in a cyto-centrifuged CSF smear stained with Wright's stain. The amoebas are 15 to 35  $\mu\text{m}$  in diameter with a sky-blue cytoplasm and a distinct finely granular, violet nucleus (Fig. 9.20). It is also possible during the microscopic examination of a wet mount for *motile* trophozoites to be observed. It is important to note that trophozoites can significantly degenerate in vivo and in vitro.<sup>21</sup> Hence analysis using a polymerase chain reaction (PCR) assay may be needed. *N. fowleri* inhabit warm freshwater areas and can infect children and young adults who play or relax in these waters. For additional images of this rare amoeba in cytospin preparation, see <http://www.cdc.gov/parasites/naegleria/naegleria-fowleri-images.html>.

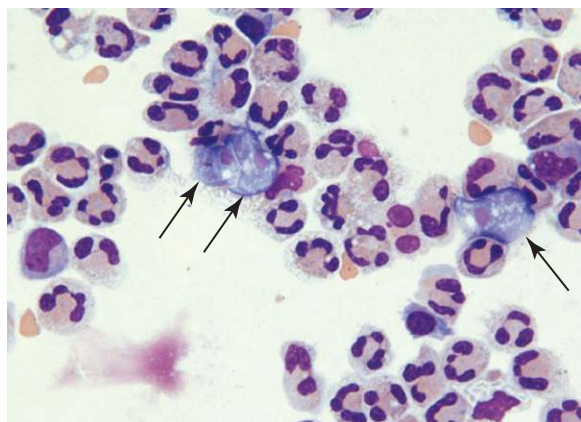
### Culture

The most common causes of meningitis are *Haemophilus influenzae*, *Neisseria meningitidis*, and *Streptococcus pneumoniae*; however, numerous other bacteria, fungi, parasites,



**FIG. 9.19** Cerebrospinal fluid from patient with bacterial meningitis. (A) Gram stain of an unconcentrated smear showing many gram-negative rods. (B) Wright-stained cytospin preparation that reveals bacteria, both intracellular and extracellular, as well as marked cellular degeneration. Hematin crystals are present in the *arrowed* macrophages.





**FIG. 9.20** Three *Naegleria fowleri* trophozoites (arrows) among neutrophils in a cytospin preparation of cerebrospinal fluid; day 1 of admission. Wright's Giemsa stain. (From Mackowiak PA: Ten-year-old with fever, headache, and neck stiffness. *Clin Infect Dis* 55(12):1677, 2012.)

and viruses can be causative agents. Aerobic culturing of CSF enables the isolation of common types of bacteria in 80% to 90% of cases. However, if antibiotic therapy precedes CSF collection, recovery of bacterial isolates from the specimen can be significantly reduced. In suspected cases of tuberculous meningitis, the chance of positive culture increases with repeat CSF cultures. In cases of suspected meningitis, blood cultures should also be performed. These cultures are positive in 40% to 60% of patients with suspected meningitis and often provide the only clue as to the causative agent.<sup>17</sup>

## IMMUNOLOGIC METHODS

Several immunologic assays are currently available to detect the presence of microbial antigens in the CSF (and in serum). The various techniques used include coagglutination, latex agglutination, immunoassay, and counterimmunoelectrophoresis. In these assays, the reagent containing polyclonal antibodies is combined with the CSF; if the microbial antigen is present, a positive test result is obtained. As monoclonal antibodies are developed, the sensitivity and specificity of these assays will improve.

Currently, immunologic tests can be used to detect several bacterial and fungal organisms that cause meningitis. The latex slide agglutination test for *Cryptococcus* antigen is widely used because of its high sensitivity (60% to 99%) and specificity (80% to 99%). In addition, this test serves as a good prognostic indicator, with increasing titers suggesting spread of the disease and decreasing titers associated with response to treatment. Similarly, immunologic assays for *Coccidioides immitis*, *Mycobacterium tuberculosis*, *Haemophilus influenzae*, *Neisseria meningitidis*, *Streptococcus pneumoniae*, and group B streptococci are available. Although these assays generally are rapid and easy to perform, they do not have equivalent diagnostic value. Sensitivity and specificity vary with each assay, and false-positive nonspecific reactions and false-negative reactions can occur. Consequently, CSF Gram stain and

culture remain the standard for the diagnosis of bacterial and fungal meningitis.

At times, to assist in the diagnosis of neurosyphilis, a request for a venereal disease research laboratory (VDRL) test on CSF may be received. Although the VDRL-CSF test is highly specific for syphilis, it should not be used as a "screening" test because it yields a high percentage of false negatives (i.e., low sensitivity). In other words, a nonreactive result does not rule out neurosyphilis. Therefore the VDRL-CSF should be performed only when the patient's serum fluorescent treponemal antibody absorbed test (FTA-ABS) result is reactive (positive).

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## STUDY QUESTIONS

1. Cerebrospinal fluid (CSF) is produced primarily from
  - A. secretions by the choroid plexus.
  - B. diffusion from plasma into the central nervous system.
  - C. ultrafiltration of plasma in the ventricles of the brain.
  - D. excretions from ependymal cells lining the brain and spinal cord.
2. Cerebrospinal fluid is found between the
  - A. arachnoid and dura mater.
  - B. arachnoid and pia mater.
  - C. pia mater and dura mater.
  - D. pia mater and choroid plexus.
3. Which of the following statements regarding CSF is true?
  - A. Cerebrospinal fluid is constantly produced.
  - B. Cerebrospinal fluid is reabsorbed into the blood at the choroid plexus.
  - C. Cerebrospinal fluid is essentially composed of diluted plasma.
  - D. Cerebrospinal fluid circulates through the brain and spinal cord because of active and passive diffusion processes.
4. Which of the following substances does not normally pass through the blood-brain barrier?
  - A.  $\text{Po}_2$
  - B. Albumin
  - C. Glucose
  - D. Fibrinogen
5. During a lumbar puncture procedure, the first collection tube of CSF removed should be used for
  - A. chemistry tests.
  - B. cytologic studies.
  - C. hematologic tests.
  - D. microbiological studies.
6. Which of the following is not an analytical concern when the processing and testing of CSF are delayed?
  - A. The viability of microorganisms
  - B. The lability of the immunoglobulins
  - C. The lysis of leukocytes and erythrocytes
  - D. Alterations in the chemical composition
7. *Pleocytosis* is a term used to describe
  - A. an increased number of cells in the CSF.
  - B. a pink, orange, or yellow CSF specimen.
  - C. an increased protein content in the CSF caused by cellular lysis.
  - D. inflammation and sloughing of cells from the choroid plexus.
8. All of the following can cause xanthochromia in the CSF except
  - A. high concentrations of protein.
  - B. high concentrations of bilirubin.
  - C. increased numbers of leukocytes.
  - D. erythrocytes from a traumatic tap.
9. In the CSF, which of the following findings indicates a traumatic puncture?
  - A. The presence of erythrophagocytic cells in the CSF
  - B. Hemosiderin granules within macrophages in the CSF sediment
  - C. An uneven distribution of blood in the CSF collection tubes
  - D. A xanthochromic supernatant after CSF centrifugation
10. How many leukocytes are normally present in the CSF obtained from an adult?
  - A. 0 to 5 cells/ $\mu\text{L}$
  - B. 0 to 10 cells/ $\mu\text{L}$
  - C. 0 to 20 cells/ $\mu\text{L}$
  - D. 0 to 30 cells/ $\mu\text{L}$
11. Which of the following cells can be present in small numbers in normal CSF?
  - A. Erythrocytes
  - B. Lymphocytes
  - C. Macrophages
  - D. Plasma cells
12. Which of the following cell types predominate in the CSF during a classic case of bacterial meningitis?
  - A. Lymphocytes
  - B. Macrophages
  - C. Monocytes
  - D. Neutrophils
13. Which of the following cell types predominate in the CSF during a classic case of viral meningitis?
  - A. Lymphocytes
  - B. Macrophages
  - C. Monocytes
  - D. Neutrophils
14. When choroid plexus cells and ependymal cells are present in the CSF, they
  - A. are often clinically significant.
  - B. represent the demyelination of nerve tissue.
  - C. can closely resemble clusters of malignant cells.
  - D. indicate breakdown of the blood-brain barrier.

15. All of the following proteins are normally present in the CSF except
- albumin.
  - fibrinogen.
  - transthyretin.
  - transferrin.
16. Which of the following events does not result in an increased CSF total protein?
- A traumatic puncture procedure
  - Alterations in the blood-brain barrier
  - Trauma to the central nervous system, resulting in fluid loss
  - Decreased reabsorption of CSF into the peripheral blood
17. Which of the following proteins in the CSF is used to monitor the integrity of the blood-brain barrier?
- Albumin
  - Transthyretin
  - Transferrin
  - Immunoglobulin G
18. An immunoglobulin G index greater than 0.70 indicates
- intrathecal synthesis of immunoglobulin G.
  - a compromised blood-brain barrier.
  - active demyelination of neural proteins.
  - increased transport of immunoglobulin G from plasma into the CSF.
19. An unknown fluid can be positively identified as CSF by determining the
- lactate concentration.
  - albumin concentration.
  - presence of oligoclonal banding on electrophoresis.
  - presence of carbohydrate-deficient transferrin on electrophoresis.
20. Which of the following statements about oligoclonal bands is false?
- In the CSF, these bands indicate increased intrathecal concentrations of immunoglobulin G.
  - The bands usually correlate with the stage of disease and can be used to predict disease progression.
  - The bands are often present in the CSF and serum of individuals with a lymphoproliferative disease.
  - The bands are often present in the CSF but not in the serum of individuals with multiple sclerosis.
21. Which of the following statements about CSF glucose is false?
- Increased CSF glucose values are diagnostically significant.
  - Glucose enters the CSF by active transport and simple diffusion.
  - Decreased CSF glucose values reflect a defective blood-brain barrier and increased glycolysis.
  - CSF glucose values reflect the plasma glucose concentration 30 to 90 minutes preceding collection.
22. Normal CSF lactate levels (less than 25 mg/dL) are commonly found in patients with
- bacterial meningitis.
  - fungal meningitis.
  - tuberculous meningitis.
  - viral meningitis.
23. Which of the following procedures frequently provides a rapid presumptive diagnosis of bacterial meningitis?
- A blood culture
  - A CSF culture
  - A CSF gram stain
  - Immunologic tests on CSF for microbial antigens
24. India ink preparations and microbial antigen tests on the CSF can aid in the diagnosis of
- bacterial meningitis.
  - fungal meningitis.
  - tuberculous meningitis.
  - viral meningitis.

## CASE 9.1

A 4-year-old girl is brought to the emergency room by her parents. She is lethargic, reports that her head hurts, and shows signs of stiffness in her neck. Her mother states that she has had “a temperature” for the past 2 days; her current temperature is determined to be 104°C. She is admitted to the hospital, where blood is drawn and a lumbar puncture performed. Cerebrospinal fluid and pertinent blood chemistry results follow.

Blood Chemistry Results	Reference Interval
Glucose, fasting: 90 mg/dL	<110 mg/dL

## Cerebrospinal Fluid Results

Physical Examination	Microscopic Examination	Chemical Examination
Color: colorless	Leukocyte count: 7300 cells/ $\mu$ L	Total protein: 130 mg/dL
Clarity: cloudy (3 +)	Differential count:	Glucose: 32 mg/dL
Gram stain: results pending	<i>Monocytes</i> : 7%	Lactate: 33 mg/dL
	<i>Lymphocytes</i> : 6%	
	<i>Neutrophils</i> : 87%	

- List any abnormal results.
- Calculate the CSF/plasma glucose ratio.
- These results are most consistent with a preliminary diagnosis of
  - viral meningitis.
  - bacterial meningitis.
  - Guillain-Barré syndrome.
  - acute lymphocytic leukemia.
- Does the CSF lactate value assist in determining a diagnosis for this patient?
- Situation: If Gram stain results are negative (i.e., no organisms seen), would you change the diagnosis selected in Question 3? Why or why not?
- Briefly explain the physiologic mechanisms that account for the CSF total protein and glucose values.

## CASE 9.2

A 39-year-old woman noticed numbness in her left leg and difficulty walking approximately 3 months ago. Since that time, the numbness seems to come and go, along with episodes of dizziness. More recently, she has experienced numbness on the right side of her face and “blurred” vision in her right eye that comes and goes. She gets tired easily and often feels unsteady while upright and walking. She is admitted to the hospital for tests. Cerebrospinal fluid and pertinent blood chemistry results follow.

Blood Chemistry Results	Reference Interval
Glucose, fasting: 85 mg/dL	<110 mg/dL
Albumin: 4.6 g/dL	3.5–5.0 g/dL
Immunoglobulin G: 1.4 g/dL	0.65–1.50 g/dL

## Cerebrospinal Fluid Results

Physical Examination	Microscopic Examination	Chemical Examination
Color: colorless	Leukocyte count: 3 cells/ $\mu$ L	Total protein: 45 mg/dL
Clarity: clear	Differential count:	Albumin: 28 mg/dL
Gram stain: no organisms seen	<i>Monocytes</i> : 24%	IgG: 12.4 mg/dL
	<i>Lymphocytes</i> : 75%	Glucose: 72 mg/dL
	<i>Neutrophils</i> : 1%	Lactate: 18 mg/dL

- List any abnormal results.
- Calculate the CSF/serum albumin index as follows:
 
$$\text{CSF/serum albumin index} = \frac{\text{Albumin}_{\text{CSF}} (\text{mg/dL})}{\text{Albumin}_{\text{serum}} (\text{g/dL})}$$
 (reference interval: < 9.0)
- Why is the CSF/serum albumin index a good indicator of the integrity of the blood-brain barrier?
- Calculate the CSF IgG index as follows:
 
$$\text{CSF IgG index} = \frac{\text{IgG}_{\text{CSF}} (\text{mg/dL})}{\text{IgG}_{\text{serum}} (\text{g/dL})} \times \frac{\text{Albumin}_{\text{serum}} (\text{g/dL})}{\text{Albumin}_{\text{CSF}} (\text{mg/dL})}$$
 (reference interval: 0.30 – 0.70)
- Suggest a diagnosis that is consistent with the results obtained and the patient history.
- Based on the diagnosis chosen, state two additional chemical tests that could be performed to confirm this diagnosis, and indicate the results expected.

# Pleural, Pericardial, and Peritoneal Fluid Analysis

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. Describe the function of serous membranes as they relate to the formation and absorption of serous fluid.
2. Describe four pathologic changes that lead to the formation of an effusion.
3. Discuss appropriate collection requirements for serous fluid specimens.
4. Classify a serous fluid effusion as a transudate or an exudate based on the examination of its physical, microscopic, and chemical characteristics.
5. Compare and contrast chylous and pseudochylous effusions.
6. Correlate the microscopic examination and differential cell count of serous fluid analyses with diseases that affect the serous membranes.
7. Correlate the concentrations of selected chemical constituents of serous fluids with various disease states.
8. Discuss the microbiological examination of serous fluids and its importance in the diagnosis of infectious diseases.

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## KEY TERMS<sup>1</sup>

ascites

chyle

chylous effusion

effusion

exudate

mesothelial cells

paracentesis

pseudochylous effusion

serous fluid

transudate

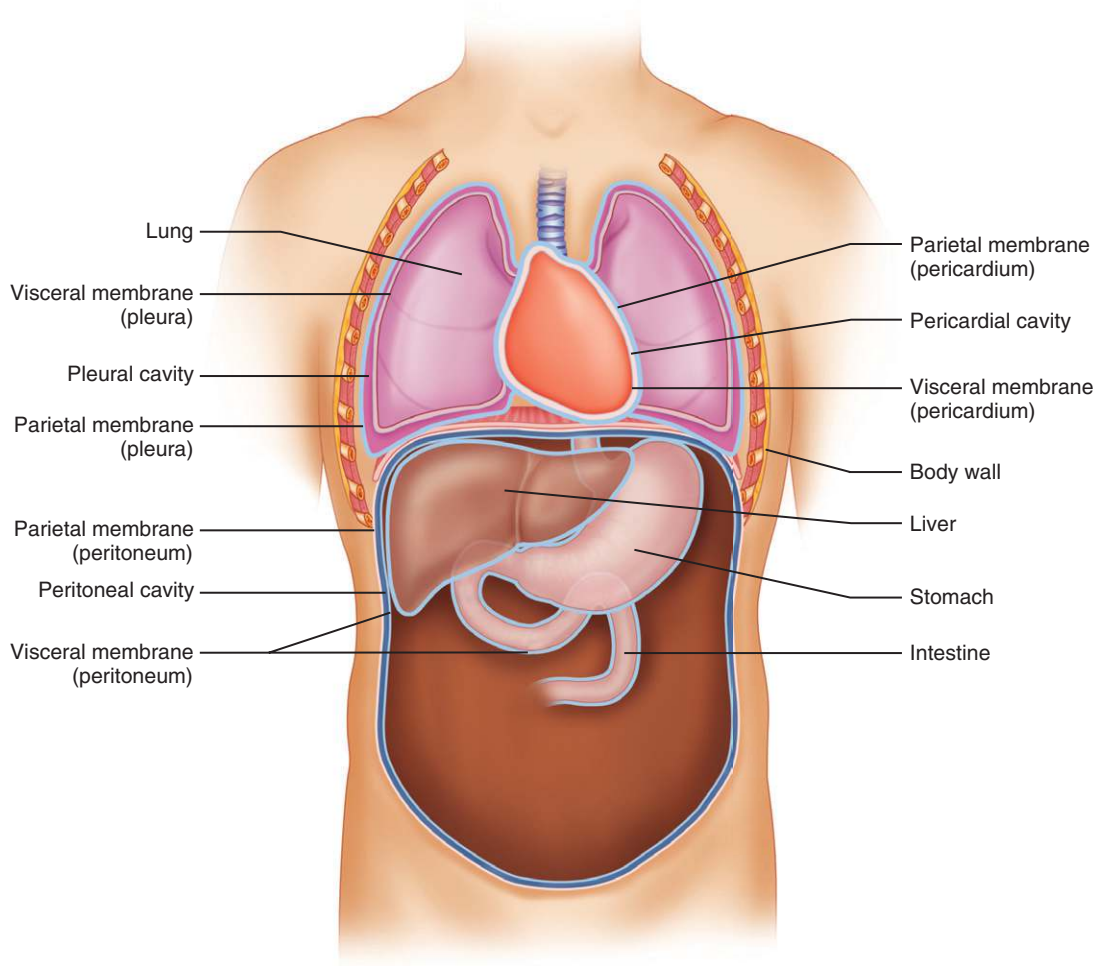
<sup>1</sup>Definitions are provided in the chapter and glossary.

## PHYSIOLOGY AND COMPOSITION

The lungs, heart, and abdominal organs are surrounded by a thin, continuous serous membrane, as well as by the internal surfaces of the body cavity wall. A space or cavity filled with fluid lies between the membrane that covers the organ (visceral membrane) and the membrane that lines the body wall (parietal membrane) (Fig. 10.1). Each cavity is separate and

is named for the organ or organs it encloses. The lungs are individually surrounded by a pleural cavity, the heart by the pericardial cavity, and the abdominal organs by the peritoneal cavity. The serous membranes that line these cavities consist of a thin layer of connective tissue covered by a single layer of flat **mesothelial cells**. Within the membrane is an intricate network of capillary and lymphatic vessels. Each membrane is attached firmly to the body wall and the organ it surrounds;





**FIG. 10.1** Parietal and visceral membranes of the pleural, pericardial, and peritoneal cavities. Parietal membranes line the body wall, whereas visceral membranes enclose organs. The two membranes are actually one continuous membrane. The space between opposing surfaces is identified as the body cavity (i.e., pleural cavity, pericardial cavity, peritoneal cavity).

however, the opposing surfaces of the membrane—despite close contact—are not attached to each other. Instead, the space between the opposing surfaces (i.e., between the visceral and parietal membranes) is filled with a small amount of fluid that serves as a lubricant between the membranes, which permits free movement of the enclosed organ. The cavity fluid is created and maintained through plasma ultrafiltration in the parietal membrane and absorption by the visceral membrane. The name **serous fluid** is a general term used to describe fluids that are an ultrafiltrate of plasma and therefore have a composition similar to that of serum.

The process of fluid formation and absorption in the pleural, pericardial, and peritoneal cavities is dynamic. Fluid formation is controlled simultaneously by four factors: (1) permeability of the capillaries in the parietal membrane; (2) hydrostatic pressure in these capillaries; (3) oncotic pressure (or colloid osmotic pressure) produced by the presence of plasma proteins within the capillaries; and (4) absorption of fluid by the lymphatic system (**Box 10.1**). Hydrostatic pressure (i.e., blood pressure) forces a plasma ultrafiltrate to form in

the cavity; at the same time, plasma proteins in the capillaries produce a force (oncotic pressure) that opposes this filtration.

The permeability of the capillary endothelium regulates the rate of ultrafiltrate formation and its protein composition. For example, increased permeability of the endothelium will cause increased movement of protein from the blood into the cavity fluid. When this occurs, the now protein-rich fluid in the cavity further enhances the movement of more fluid into the cavity. Such an accumulation of fluid in a body cavity is termed an **effusion** and indicates an abnormal or pathologic process. The lymphatic system, or the fourth component in cavity fluid formation, plays a primary role in removing fluid from a cavity by absorption. However, if the lymphatic vessels become obstructed or impaired, they cannot adequately remove the additional fluid, resulting in an effusion. Other mechanisms can cause effusions, and they may occur with a variety of primary and secondary diseases, including conditions that cause a decrease in hydrostatic blood pressure (e.g., congestive heart failure, shock) and those characterized by a decrease in oncotic pressure (i.e., disorders characterized by hypoproteinemia).

**BOX 10.1 Forces Involved in Normal Pleural Fluid Formation and Absorption****Forces Favoring Fluid Formation**

Hydrostatic pressure (in systemic capillary)	+ 30 mm Hg
Oncotic pressure (in systemic capillary)	– 26 mm Hg
Intrapleural pressure	+ 5 mm Hg
Net pressure favoring fluid formation in pleural cavity	+ 9 mm Hg

**Forces Favoring Fluid Absorption**

Hydrostatic pressure (in pulmonary capillary)	– 11 mm Hg
Oncotic pressure (in pulmonary capillary)	+ 26 mm Hg
Intrapleural pressure	– 5 mm Hg
Net pressure favoring fluid absorption out of pleural cavity	+ 10 mm Hg

A pleural, pericardial, or peritoneal effusion is diagnosed by a physical examination of the patient and on the basis of radiographic, ultrasound, or echocardiographic imaging studies. The collection and clinical testing of pleural, pericardial, and peritoneal fluids play an important role in determining the type of effusion present and in identifying its cause.

**SPECIMEN COLLECTION**

The term **paracentesis** refers to the percutaneous puncture of a body cavity for the aspiration of fluid. Other anatomically descriptive terms denote fluid collection from specific body cavities. *Thoracentesis*, for example, refers to the surgical puncture of the chest wall into the pleural cavity to collect pleural fluid, *pericardiocentesis* into the pericardial cavity, and *peritoneocentesis* (or abdominal paracentesis) into the

peritoneal cavity. The term **ascites** refers to an effusion specifically in the peritoneal cavity, and *ascitic fluid* is simply another name for peritoneal fluid.

Collection of effusions from a body cavity is an invasive surgical procedure performed by a physician using sterile technique. Unlike cerebrospinal fluid and synovial fluid collections, serous fluid collections from effusions in the pleural, pericardial, and peritoneal cavities often yield large volumes of fluid. Consequently, the amount of fluid obtained often exceeds that needed for diagnostic testing. Note that at times, additional or repeat puncture procedures are necessary to remove a recurring effusion from a cavity for therapeutic purposes, such as when the effusion is compressing or inhibiting the movement of vital organs.

Before serous fluid is collected from a body cavity, the laboratory should be consulted to ensure that appropriate collection containers are used and suitable volumes are obtained (Table 10.1). In microbiological studies, the percentage of positive cultures obtained increases when a larger volume of specimen (10 to 20 mL) is used or when a concentrated sediment from a centrifuged specimen (50 mL or more) is used to inoculate cultures.

Normally, serous fluids do not contain blood or fibrinogen, but a traumatic puncture procedure, a hemorrhagic effusion, or an active bleed (e.g., from a ruptured blood vessel) can result in serous fluid that appears bloody and clots spontaneously. Therefore to prevent clot formation, which entraps cells and microorganisms, sterile tubes coated with an anticoagulant such as sodium heparin or liquid ethylenediaminetetraacetic acid (EDTA) are used to collect fluid specimens for the microscopic examination and microbiological studies. In contrast, serous fluid for chemical testing is placed into a nonanticoagulant tube (red top), which will allow clot formation when fibrinogen or blood is present. Serous fluids should be maintained at room temperature and transported to the laboratory as soon as possible after collection to eliminate

**TABLE 10.1 Suggested Serous Fluid Specimen Requirements**

Physical Examination	Volume	Acceptable Containers
Color and clarity		Recorded at bedside by physician and noted on test request form
<b>Microscopic Examination</b>		
Cell counts, differential	5–8 mL	EDTA
Cytology study (PAP stain, cell block)	50 mL recommended; 15–100 mL <sup>a</sup>	Plain tube/container, sodium heparin, EDTA
<b>Chemical Examination</b>		
Glucose	3–5 mL	Plain tube, sodium fluoride
Protein, lactate dehydrogenase, amylase, triglyceride, cholesterol, others	5–10 mL	Plain tube, sodium heparin
pH (pleural fluid)	1–3 mL	Heparinized syringe; anaerobically maintained
<b>Microbiological Studies</b>		
Gram stain, bacterial culture	10–20 mL <sup>b</sup>	Sterile container; SPS, none, sodium heparin
Acid-fast stain and culture	15–50 mL <sup>b</sup>	Sterile container; SPS, none, sodium heparin

EDTA, Ethylenediaminetetraacetic acid; PAP, Papanicolaou; SPS, sodium polyanetholsulfonate.

<sup>a</sup>No upper limit to the amount of fluid that can be submitted; large volumes of fluid enhance the recovery of cellular elements.

<sup>b</sup>Large fluid volumes may facilitate the recovery of viable microbial organisms.

TABLE 10.2 Differentiation of Transudates and Exudates

Parameter	Transudates	Exudates
Causes	Increased hydrostatic pressure Decreased oncotic pressure	Increased capillary permeability Decreased lymphatic absorption
<b>Physical Examination</b>		
Clarity	Clear	Cloudy
Color	Pale yellow	Variable (yellow, greenish, pink, red)
Clots spontaneously	No	Variable; often yes
<b>Microscopic Examination</b>		
WBC count	<1000 cells/ $\mu$ L (pleural) <300 cells/ $\mu$ L (peritoneal)	Variable, usually >1000 cells/ $\mu$ L (pleural) >500 cells/ $\mu$ L (peritoneal)
Differential count	Mononuclear cells predominate	Early, neutrophils predominate; late, mononuclear cells
<b>Chemical Examination</b>		
Bilirubin ratio (fluid-to-serum)	$\leq 0.6$	$> 0.6$
Glucose	Equal to serum level	Less than or equal to serum level
TP concentration	<50% of serum	>50% of serum
TP ratio (fluid-to-serum)	$\leq 0.5$	$> 0.5$
LD activity	<60% of serum	$> 60\%$ of serum
LD ratio (fluid-to-serum)	$\leq 0.6$	$> 0.6$
Cholesterol ratio (fluid-to-serum)	$\leq 0.3$	$> 0.3$

LD, Lactate dehydrogenase; TP, total protein; WBC, white blood cell.

potential chemical changes, cellular degradation, and bacterial proliferation. Note that refrigeration (4–8°C) adversely affects the viability of microorganisms and should not be used for serous fluid specimens. However, serous fluid samples intended for cytology examination are an exception and can be refrigerated at 4°C when storage is necessary.

A blood sample must be collected shortly before or after the paracentesis procedure to enable comparison studies of the chemical composition of the effusion with that of the patient's plasma. These studies enable classification of the effusion (transudate or exudate, chylous or pseudo-chylous), which assists in diagnosis and treatment. Note that for chemical analysis, the same type of specimen collection tube (non-anticoagulant, sodium heparin) should be used for both the fluid specimen and the blood collection (serum or plasma). In addition, specimen transport and handling conditions should be the same to eliminate result variations due to these potential differences.

## TRANSUDATES AND EXUDATES

An effusion, particularly in the pleural or peritoneal cavity, is classified as a **transudate** or an **exudate**. This classification is based on several criteria, including appearance, leukocyte count, and total protein, lactate dehydrogenase, glucose, and bilirubin concentrations; however, because of the overlap among categories, no single parameter differentiates a

transudate from an exudate in all patients.<sup>1</sup> Table 10.2 lists parameters and the values associated with transudates and exudates.

Classifying an effusion as a transudate or exudate is important because this information assists the physician in identifying its cause. Transudates primarily result from a systemic disease that causes an increase in hydrostatic pressure or a decrease in plasma oncotic pressure in the parietal membrane capillaries. These changes are noninflammatory and are frequently associated with congestive heart failure, hepatic cirrhosis, and nephrotic syndrome (i.e., hypoproteinemia). Once an effusion has been identified as a transudate, further laboratory testing usually is not necessary.

In contrast, exudates result from inflammatory processes that increase the permeability of the capillary endothelium in the parietal membrane or decrease the absorption of fluid by the lymphatic system. Numerous disease processes such as infections, neoplasms, systemic disorders, trauma, and inflammatory conditions may cause exudates. Additional laboratory testing is required with exudates, such as microbiological studies to identify pathologic organisms or cytologic studies to evaluate suspected malignant neoplasms.

Table 10.3 summarizes various causes of pleural, pericardial, and peritoneal effusions. Unlike pleural and peritoneal effusions, pericardial effusions usually are not classified as a transudate or an exudate. Most often, pericardial effusions

TABLE 10.3 Serous Effusions: Types, Mechanism of Formation, and Associated Conditions

Effusion	Type	Mechanism of Formation	Conditions
Pleural and peritoneal	Transudates	Decreased hydrostatic pressure	Congestive heart failure Hepatic cirrhosis
		Decreased oncotic pressure	Nephrotic syndrome
Pleural and peritoneal	Exudates	Increased capillary permeability	Infection (e.g., bacterial, tuberculous, viral, fungal) Tumors/neoplasms Pleural: lung and metastatic cancers Peritoneal: hepatic and metastatic cancers Systemic disease (e.g., rheumatoid arthritis, systemic lupus erythematosus) Gastrointestinal disease (e.g., pancreatitis)
		Decreased lymphatic absorption	Tumors/neoplasms (e.g., lymphoma, metastasis) Trauma or surgery
Pericardial	Not categorized as transudate or exudate	Increased capillary permeability due to changes in parietal membrane	Infections (e.g., bacterial, tuberculous, viral, fungal) Cardiovascular disease (e.g., myocardial infarction, aneurysms) Tumors/neoplasms (e.g., metastatic cancers) Hemorrhage Systemic disease (e.g., rheumatoid arthritis, systemic lupus erythematosus)

result from pathologic changes of the parietal membrane (e.g., because of infection or damage) that cause an increase in capillary permeability; hence the majority of pericardial effusions could be considered exudates.

## PHYSICAL EXAMINATION

Reference values for the characteristics of normal serous fluid in the pleural, pericardial, and peritoneal cavities are not available because in healthy individuals, the fluid volume in these cavities is small and the fluid is not normally collected. Only effusions are routinely collected and categorized as a transudate or an exudate (see Table 10.2). Transudates are usually clear fluids, pale yellow to yellow, that have a viscosity similar to that of serum. Because transudates do not contain fibrinogen, they do not spontaneously clot. In contrast, exudates are usually cloudy; vary from yellow, green, or pink to red; and may have a shimmer or sheen to them. Because exudates often contain fibrinogen, they can form clots, thus requiring an anticoagulant (e.g., EDTA, sodium heparin) in the collection tube. The physical appearance of an effusion usually is recorded on the patient's chart by the physician after paracentesis and should be transcribed onto all test request forms. If this information is not provided, the laboratory performing the microscopic examination should document the physical characteristics of the fluid.

A cloudy paracentesis fluid most often indicates the presence of large numbers of leukocytes, other cells, chyle, lipids, or a combination of these substances. In pleural or peritoneal fluid, a characteristic *milky appearance* that persists after centrifugation usually indicates the presence of **chyle** (i.e., an emulsion of lymph and chylomicrons) in the effusion. A

**chylous effusion** is caused by obstruction of or damage to the lymphatic system. In the pleural cavity, this can be caused by tumors, often lymphoma, or by damage to the thoracic duct due to trauma or accidental damage during surgery. Chylous effusions in the peritoneal cavity result from obstruction to lymphatic fluid drainage, which can occur with hepatic cirrhosis and portal vein thrombosis. Note that chronic effusions (as seen with rheumatoid arthritis, tuberculosis, and myxedema) can have a similar appearance, owing to the breakdown of cellular components; they also have a characteristically high cholesterol content. Consequently because of their visual similarity, chronic effusions are often called **pseudo-chylous effusions** and are differentiated from true chylous effusions by their lipid composition (i.e., triglycerides, chylomicron content). In a chylous effusion, lipoprotein analysis will show an elevated triglyceride level (i.e., greater than 110 mg/dL) and chylomicrons present, whereas a pseudo-chylous effusion has a low triglyceride level (less than 50 mg/dL) and no chylomicrons present. Table 10.4 summarizes the characteristics that assist in differentiating chylous and pseudo-chylous effusions.

Blood can be present in transudates and exudates because of a traumatic paracentesis procedure. As with other body fluids (e.g., cerebrospinal fluid, synovial fluid), the origin of the blood is determined by the distribution of blood during paracentesis. If the amount of blood decreases during the collection and small clots form, a traumatic tap is suspected. If the blood is homogeneously distributed in the fluid and the fluid does not clot (indicating that the fluid has undergone fibrinolytic changes in the body cavity—a process that takes several hours), the patient has a hemorrhagic effusion.



TABLE 10.4 Differentiation of Chylous and Pseudochylous Effusions

Parameter	Chylous Effusion	Pseudochylous Effusion
<b>Physical Examination</b>	Milky	Milky
<b>Chemical Examination</b>		
Chylomicrons	Present	Absent
Triglycerides	>110 mg/dL (1.2 mmol/L)	<110 mg/dL (1.2 mmol/L)
Cholesterol	Usually <200 mg/dL (5.2 mmol/L)	Usually >200 mg/dL (5.2 mmol/L)
<b>Microscopic Findings</b>	Lymphocytes	Variety of cell types Lipid-laden macrophages Cholesterol crystals <sup>a</sup>
<b>Conditions</b>	Pleural effusions due to <ul style="list-style-type: none"> <li>• Trauma or surgery (caused damage to thoracic duct)</li> <li>• Obstruction of lymphatic system: tumors (lymphomas), fibrosis</li> <li>• Peritoneal effusions due to</li> <li>• Hepatic cirrhosis</li> <li>• Portal vein thrombosis</li> </ul>	Chronic diseases such as <ul style="list-style-type: none"> <li>• Tuberculosis</li> <li>• Rheumatoid arthritis</li> <li>• Collagen vascular disease</li> </ul>

<sup>a</sup>Presence confirms or establishes fluid as pseudochylous effusion.

## MICROSCOPIC EXAMINATION

The microscopic examination of pleural, pericardial, and peritoneal fluids may include a total cell count of erythrocytes (red blood cells, RBCs) and leukocytes (white blood cells, WBCs), a nucleated cell differential count, cytology studies, and, at times, identification of crystals. Note that in contrast to cerebrospinal fluid, which is always present in the central nervous system regardless of health status, serous fluids (i.e., pleural, pericardial, peritoneal fluids) are normally not present in a sufficient amount in body cavities to be analyzed. In other words, their presence indicates a pathologic process; hence, there are no “normal” reference values for serous fluids.

As with other body fluids, cloudy effusions must be diluted for cell counting using normal saline or another suitable diluent. (See [Appendix D](#) for acceptable diluents and their preparation.) Acetic acid diluents are avoided because they cause cells to clump, which prevents accurate cell counting. Cell counts can be performed manually using a hemacytometer or an automated analyzer. For details, see [Chapters 16](#) and [17](#).

In the microbiology laboratory, a Gram stain is performed on serous fluids when requested to aid in the microscopic identification of microbes (see subsection [Microbiological Examination](#)).

### Total Cell Counts

Total RBC and WBC counts have little differential diagnostic value in the analysis of pleural, pericardial, and peritoneal fluids. No single value for a WBC count can be used reliably to differentiate transudates from exudates; hence these counts have limited clinical use. However, WBC counts in transudates are usually less than 1000 cells/ $\mu$ L, whereas those in exudates generally exceed 1000 cells/ $\mu$ L.

With pericardial fluid, a WBC count of greater than 1000 cells/ $\mu$ L is suggestive of pericarditis, whereas an RBC count or

hematocrit of the fluid can assist in identifying a hemorrhagic effusion. With pleural fluid, RBC counts can also be used to identify hemorrhagic effusions. However, high RBC counts (greater than 10,000 cells/ $\mu$ L) are frequently associated with neoplasms or trauma of the pleura. With peritoneal fluid, a WBC count exceeding 500 cells/ $\mu$ L with a predominance of neutrophils (greater than 50%) suggests bacterial peritonitis. However, the volume of peritoneal fluid (or ascites) can change significantly because of extracellular fluid shifts, and these fluid shifts can significantly change the cell count obtained. Hence a wide range of WBC counts can be encountered in peritoneal effusions throughout the course of a disease.

## Differential Cell Count

### Microscope Slide Preparation

A cytocentrifuged-prepared smear of a body fluid is most often used to perform a differential cell count. Cytocentrifugation is easy and fast, and enables good cell recovery in a concentrated area of the microscope slide. Despite minimal cell distortion, some recognizable artifacts associated with cytocentrifugation are well known and they are listed in [Box 17.4](#). For additional details on slide preparation, dilutions, and diluents, see [Chapter 17](#), subsection “[Body Fluid Analysis: Manual Hemacytometer Counts and Differential Slide Preparation](#).”

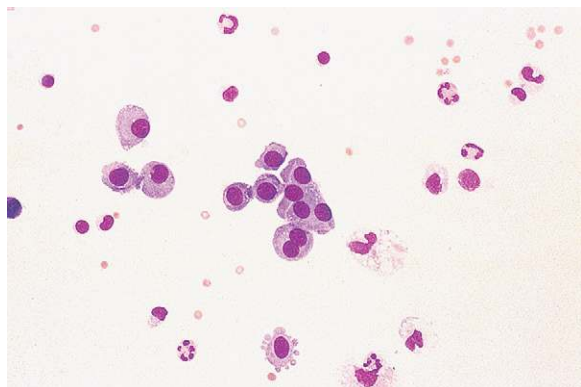
### Low-Power Examination

Each cytocentrifuged smear should be scanned in its entirety using a low-power objective (10  $\times$ ) to detect abnormalities that may be few in number yet apparent at this magnification, such as malignant cell clumps or other significant findings (see [Fig. 9.4](#)). In addition, this overview gives the microscopist a glimpse of the fluid’s composition, that is, its cellularity, density, and cell distribution on the slide ([Fig. 10.2](#)). Note that it does not matter whether this evaluation is done before or after the nucleated cell differential.

### Nucleated Cell Differential

A differential nucleated cell count is performed using a high-power oil immersion objective (i.e., 50 × or 100 ×). The cell types that can be present in pleural, pericardial, and peritoneal fluids include granulocytes (i.e., neutrophils, eosinophils, basophils), lymphocytes, plasma cells, mononuclear phagocytes (i.e., monocytes, macrophages), mesothelial cells (lining cells of serous membranes), and malignant cells. Note that *all* nucleated cells are counted, including mesothelial cells and malignant cells.

**Monocytes, macrophages, and mesothelial cells.** It is important to note that both monocytes and mesothelial cells can transform into macrophages. During this process, the visual appearance of intermediate “transitioning” cells can be very difficult to specifically identify (Figs. 10.3 and 10.4). Fortunately, because there is usually no clinical value in differentiating these three cell types (monocytes, macrophages, and mesothelial cells), they are often counted together in a single group or category.<sup>2</sup> Despite this, when performing the nucleated cell count, some institutions choose to identify and report these cell types in two categories: monocytes/macrophages



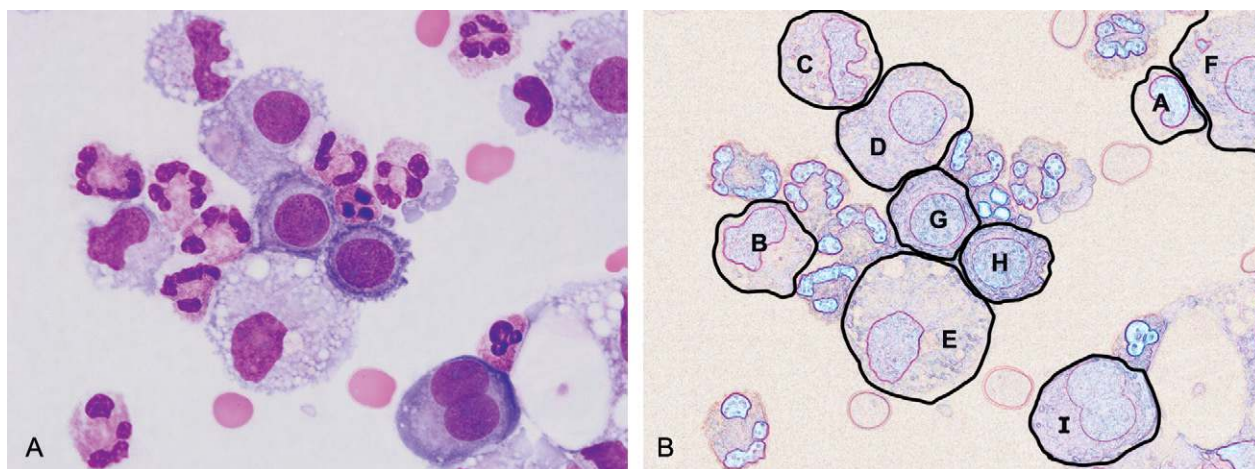
**FIG. 10.2** Overview of a peritoneal (ascites) fluid. Mesothelial cells, macrophages, neutrophils, and lymphocytes are apparent. Cytocentrifuged smear, Wright's stain, ×200. (From Rodak BF, Fritsma GA, Doig K: *Hematology: clinical principles and applications*, ed 3, St. Louis, 2007, Saunders.)

and mesothelial cells. Table 10.5 is provided as a guide for differentiating monocytes, macrophages, and mesothelial cells.

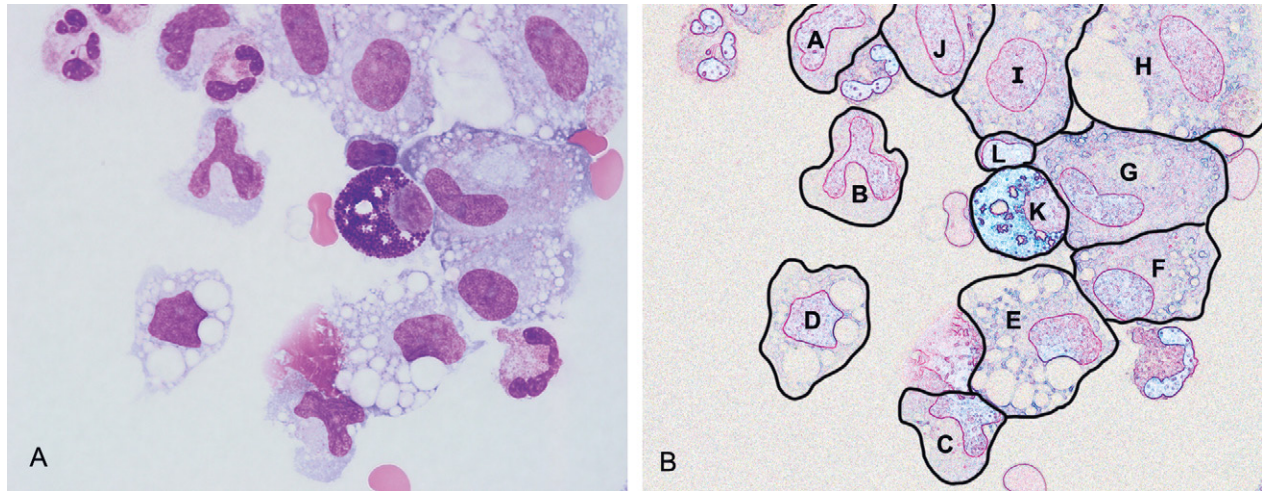
*Monocytes* move into body fluids from the bloodstream in response to inflammation and infection. These round or slightly irregular-shaped mononuclear cells are typically 12 to 20 μm in diameter (Fig. 10.3). The smooth-bordered nucleus is kidney bean or horseshoe-shaped and it can have delicate, brain-like folds. The nuclear chromatin appears loose with a lacy or streaked (i.e., raked, combed) appearance. The cytoplasm of monocytes is pale blue-gray and azurophilic granules may be present, although few in number. Monocytes can demonstrate blebs or pseudopods on their cytoplasmic borders. They may also have a few vacuoles or phagocytic remnants, but they do not form cell clumps.

In serous body fluids, *macrophages* derive from either monocytes or mesothelial cells. They appear in a variety of irregular shapes (i.e., pleomorphic) and their size is highly variable, ranging from 12 to 85 μm in diameter (Figs. 10.3 and 10.4). Their oval or round nucleus is eccentric with a smooth border; it can also be lobular or bean-shaped. The nuclear chromatin can vary from fine to dense and may be coarsely clumped. The pale blue-gray cytoplasm often appears cloudy or foamy and azure granules can be present. These actively phagocytic cells have numerous vacuoles of varying sizes, and their cytoplasmic borders often have blebs or pseudopods present. At times during cell transformation to a macrophage, it is difficult to distinguish whether the cell is a monocyte or a macrophage, in which case they are identified and enumerated in a “monocyte-macrophage” category.

Macrophages can also be descriptively named based on the type of inclusions within them (as discussed in Chapter 9, section Cerebrospinal Fluid). *Erythrophages* (macrophages with RBC inclusions) and *siderophages* (macrophages with hemosiderin inclusions) are associated with hemorrhage into a body cavity (see Figs. 9.10–9.12). When numerous neutrophils are present in a body fluid, macrophages can ingest them. These *neutrophages* will contain one or several neutrophils in various stages of degeneration and are associated with acute inflammation. Although *lipophages* (macrophages with numerous small, clear, lipid-containing vacuoles) may be seen

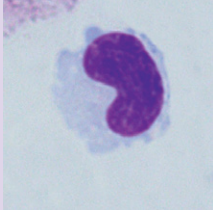
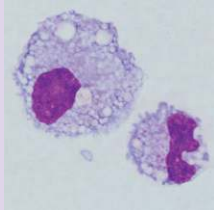
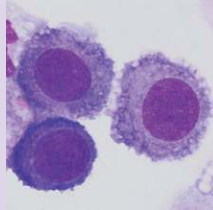


**FIG. 10.3** (A) Peritoneal (ascites) fluid. Cytocentrifuged smear, Wright's stain, ×1000. (B) Cell identification: monocyte (A), monocyte/macrophage (B, C), macrophage (D, E, F), mesothelial cell (G, H, I). Note that mesothelial cell “I” is binucleated.



**FIG. 10.4** Peritoneal (ascites) fluid. (A) Cytocentrifuged smear, Wright's stain,  $\times 1000$ . (B) Cell identification: monocyte-macrophage (A, B, C), macrophage (D–J), mast cell (K), and lymphocyte (L).

**TABLE 10.5** Guide for Differentiation of Monocytes, Macrophages, and Mesothelial Cells<sup>2-4</sup>

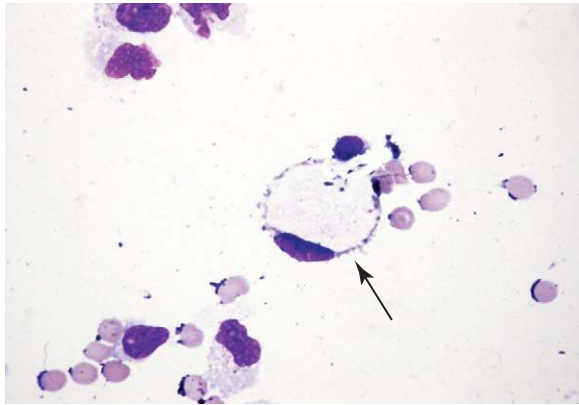
Feature	Monocytes	Macrophages	Mesothelial Cells
			
Size	12–20 $\mu\text{m}$	15–85 $\mu\text{m}$	12–30 $\mu\text{m}$
Cell Shape	Round to irregular	Pleomorphic; irregular	Round to oval
N:C ratio	3:1	1:3	1:1 or less
<b>Nucleus:</b>			
Shape	Horseshoe-shaped, bean-shaped, or delicately folded; brain-like folds	Oval, round, lobular, or bean-shaped; eccentric	Oval to round; nucleoli can be prominent; can be multinucleated
Outline	Smooth	Smooth	Smooth; well-defined membrane
Chromatin	Loose or lacy with streaked appearance; can have small clumps	Fine to dense and coarsely clumped	Evenly distributed; can be fine to dense
<b>Cytoplasm:</b>			
Appearance	Pale blue-gray; can have few azurophilic granules	Pale blue-gray; often foamy or cloudy; can have azure granules	Light to darkly basophilic; may show perinuclear halo; may have grainy texture
Phagocytic remnants present	Sometimes	Almost always	Rare
Vacuoles	None to few	Often many; variable sizes	Few, usually peripheral
Border	Irregular; can have small blebs or pseudopods	Often with blebs or pseudopods	Ruffled, fringed or lacey edges; can have blebs or pseudopods
Cell clumps or clusters	Not seen	Rare	Often present

in pleural and pericardial fluids, they are more often seen in the cerebrospinal fluid (see Fig. 9.13) and synovial fluids.

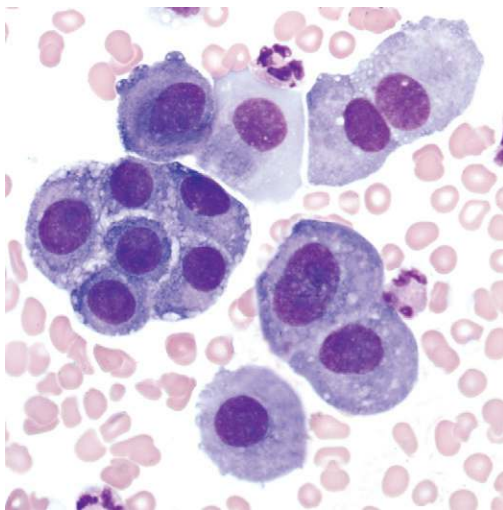
In a macrophage, several vacuoles can merge together to form a single large vacuole. When this occurs, the nucleus becomes pressed against the cell membrane and because of

their appearance, these cells are sometimes called “signet ring” macrophages (Fig. 10.5). However, it is important that these macrophages are not confused with signet ring carcinoma, an adenocarcinoma of glandular epithelial cells most often of the stomach. Therefore, to reduce confusion for clinicians, the





**FIG. 10.5** Pleural fluid. A “signet ring” macrophage and red blood cells. Cytocentrifuged smear, Wright’s stain,  $\times 400$ . (Courtesy Charlotte Janita.)

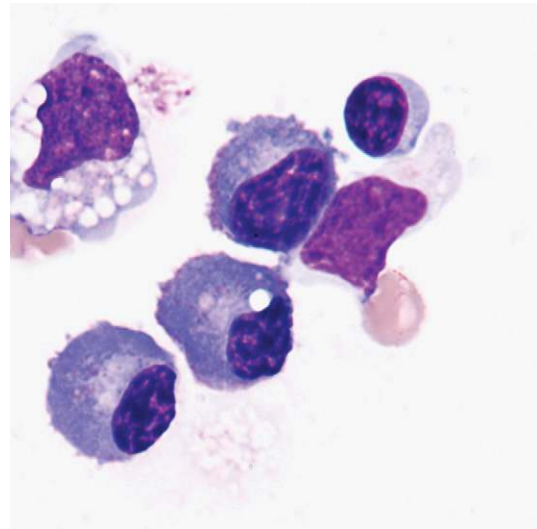


**FIG. 10.6** Pleural fluid. Wright’s stain,  $\times 500$ . Mesothelial cells, singly and in small clumps. Note the “windows” between cells in the larger cluster. (From Carr JH, Rodak BF: *Clinical hematology atlas*, ed 3, St. Louis, 2008, Saunders.)

term *signet ring* should not be used when reporting macrophages in serous fluids.

A detailed discussion of the formation of these various macrophages is provided in [Chapter 9](#), section Macrophages. Note that because macrophages are counted collectively in a monocyte/macrophage/mesothelial cell category, some institutions append a comment to the report that indicates the descriptive type of macrophages present, for example, “many erythrophages present, rare siderophage present.”

*Mesothelial cells* are the lining cells of serous membranes and are routinely sloughed off. Hence, they are often seen in effusions. These cells are 20 to 50  $\mu\text{m}$  in diameter, with light gray to deep blue staining cytoplasm that can appear grainy ([Fig. 10.6](#)). Their nuclei may be eccentric with smooth, regular borders and they can be multinucleated (see [Fig. 10.3](#)). The nuclear chromatin is evenly distributed and can be fine or dense; one to three nucleoli may be present. Because



**FIG. 10.7** Three plasma cells in pleural fluid ( $\times 1000$ ). Other nucleated cells present are: a small lymphocyte, a reactive lymphocyte, and a macrophage (*upper left edge*). (From Carr JH, Rodak BF: *Clinical hematology atlas*, ed 3, St. Louis, 2008, Saunders.)

mesothelial cells can show a perinuclear halo, they sometimes resemble plasma cells ([Fig. 10.7](#)), which can also be in pleural and peritoneal fluids.

Mesothelial cells have ruffled or fringed cytoplasmic borders that can form blebs or pseudopods (see [Fig. 10.3](#)). They can have a few small vacuoles which, when present, are usually located at the cell’s periphery. In serous body fluids, mesothelial cells appear singly and as cell clumps. Therefore, it is imperative that when cell clumps are present they are correctly identified and distinguished from malignant cells. Note that as mesothelial cells transform into macrophages, their cytoplasm becomes less basophilic and more vacuolated, giving it a foamy appearance.

**Granulocytes.** Conditions associated with an increase in granulocytes, lymphocytes, and plasma cells in serous fluids are varied and briefly listed in [Table 10.6](#). Neutrophils, when present in large numbers, suggest an infection but they could originate from blood contamination. Infections include peritonitis, pericarditis, bacterial pneumonia, and fungal infections. Although rare, lupus erythematosus (LE) cells (i.e., neutrophils with a phagocytized homogeneous nucleus) may be present in fluids from patients with systemic lupus erythematosus, a chronic autoimmune disorder ([Fig. 10.8](#)).

Eosinophilia, when the eosinophil count is greater than 10%, can accompany a variety of conditions, such as parasitic infections, foreign body response (e.g., shunts, chest tubes, peritoneal dialysis), allergies, air in the body cavity (e.g., pneumothorax), leukemias, lymphoma, and others ([Fig. 10.9](#)).

Basophils and mast cells can look similar but are actually derived from different cell precursors. A mast cell is usually larger than a basophil and its nucleus is round compared with the segmented nucleus of a basophil. Both cells have numerous blue-black cytoplasmic granules that can fill the cell such

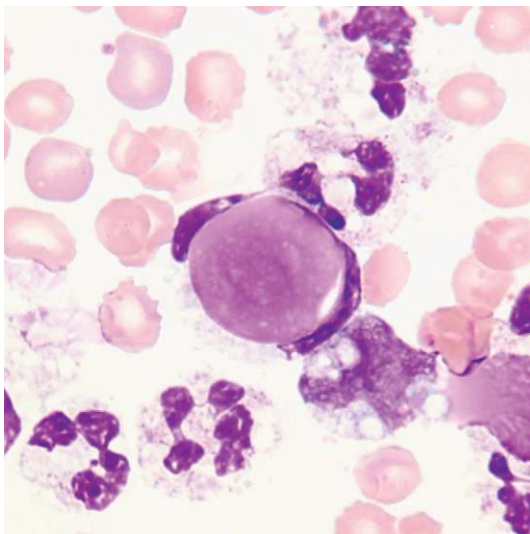


TABLE 10.6 Cell Types and Associated Conditions in Serous Fluids

Neutrophils	Eosinophils	Basophils/ Mast Cells	Lymphocytes	Plasma Cells
Bacterial infection	Parasitic infection	Inflammatory conditions	Viral infections <sup>a</sup>	Chronic infections
Fungal infection	Foreign body response	Foreign body response	Chronic inflammatory conditions <sup>b</sup>	Chronic inflammatory conditions <sup>b</sup>
Blood contamination	Air in body cavity (e.g., pneumothorax)		Congestive heart failure	Adenocarcinoma
	Allergies		Cirrhosis	
	Asthma		Nephrotic syndrome	
	Hypersensitivity		Malignancy	
	Leukemia/lymphoma		Trauma or obstruction to lymph	

<sup>a</sup>Viral infections include infectious mononucleosis, viral hepatitis, viral pneumonia, cytomegalovirus infection, etc.

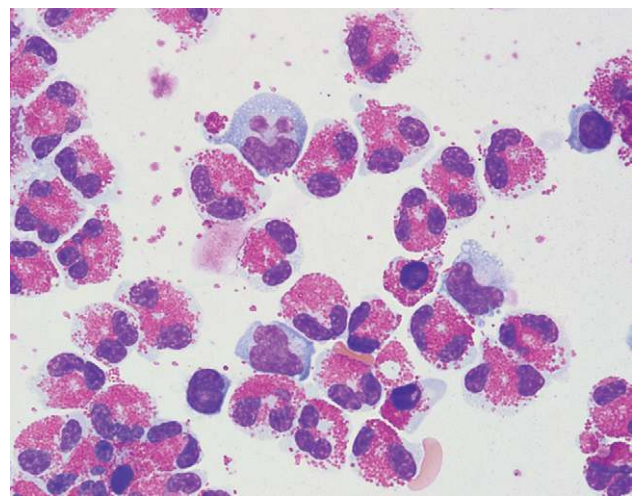
<sup>b</sup>Chronic inflammatory conditions include tuberculosis, rheumatoid arthritis, collagen vascular disease, etc.



**FIG. 10.8** Pleural fluid. A lupus erythematosus cell. Note the engulfed homogeneous mass that pushes the nucleus of the neutrophil to its periphery. Additional neutrophils, red blood cells, and a macrophage also present. Cyto centrifuged smear, Wright's stain,  $\times 1000$ . (From Carr JH, Rodak BF: *Clinical hematology atlas*, ed 3, St. Louis, 2008, Saunders.)

that it is difficult to see the nucleus. There is a greater density of these granules in a mast cell compared with a basophil. The peritoneal fluid in Fig. 10.4 shows a mast cell based on its round, eccentric nucleus. It is unusual to see basophils or mast cells in serous fluids. However, they often accompany eosinophilia (e.g., parasitic infections), are associated with a foreign body response (e.g., peritoneal dialysis), and may be encountered in other inflammatory conditions.

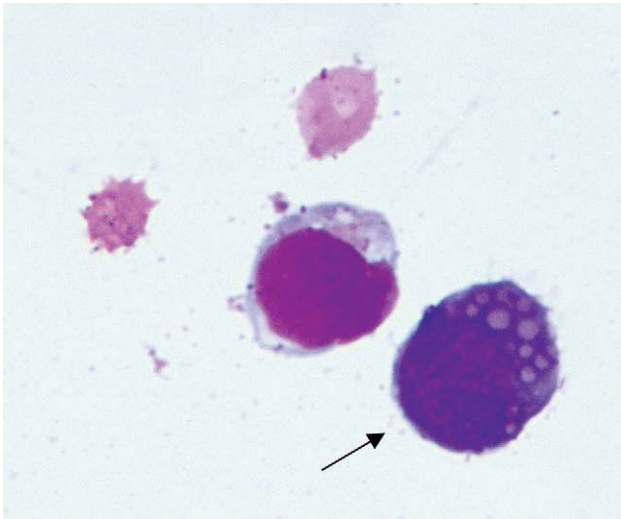
**Lymphocytes and plasma cells.** Lymphocytes can be seen in all body fluids. They often vary both in the number present and in their size. Note that lymphocytes transform in response to various stimuli. Consequently, a spectrum of different types of lymphocytes can be encountered in a single body fluid. Small lymphocytes (7–12  $\mu\text{m}$ ) have a small



**FIG. 10.9** Peritoneal dialysate. Numerous eosinophils; also present are small lymphocytes (2) and monocyte-macrophages (3). Cyto centrifuged smear, Wright's stain,  $\times 1000$ .

to moderate amount of light blue cytoplasm; a round to oval nucleus; and condensed, dark-staining chromatin (Figs. 10.2, 10.4, 10.7, and 10.9). A few small azurophilic granules may be present in the cytoplasm. In comparison, large lymphocytes are 12 to 18  $\mu\text{m}$  in diameter, primarily due to a larger amount of cytoplasm. Reactive lymphocytes are usually the largest lymphocytes, typically 10 to 30  $\mu\text{m}$  in diameter, with moderate to abundant cytoplasm. The cytoplasm can be pale to dark blue, and in some cells the cytoplasm stains darker blue at the periphery with a light area or zone near the nucleus, resembling a plasma cell. The nucleus of reactive lymphocytes is larger and the nuclear chromatin less basophilic compared with smaller lymphocytes, and several nucleoli may be prominent. Reactive lymphocytes accompany other inflammatory cells and are frequently observed molding around RBCs and other cells in the cyto centrifuged smear.

Note that lymphocytes also transform under various stimuli to form mature plasma cells. As this process occurs,



**FIG. 10.10** A “Mott” cell (arrow), that is, a plasma cell with vacuoles containing immunoglobulin. Also present are a lymphocyte and red blood cells. Cytocentrifuged smear, Wright’s stain,  $\times 1000$ .

various intermediate cell types are formed: reactive lymphocytes, plasmacytoid lymphocytes, and immunoblasts. When mature, a plasma cell is 8 to 20  $\mu\text{m}$  in diameter and has an eccentric round or oval nucleus with no nucleoli and coarsely clumped chromatin. Sometimes the chromatin takes on a “clock-face” pattern. Plasma cells can be binucleated and rarely multinucleated. Their moderate to abundant cytoplasm has no granulation and stains moderate to dark blue with a light area or clear zone called a “hof” next to the nucleus (Fig. 10.7). Vacuoles may or may not be present.

In response to infections, inflammation, or malignancy, plasma cells can produce antibodies. These plasma cells, also called “Mott” cells, have vacuoles that contain immunoglobulin proteins. Their cytoplasm is usually dark blue with a tinge of pink (Fig. 10.10).

**Malignant cells.** Malignant cells are common in effusions from patients with neoplastic disease. However, the number of malignant cells found in body fluids can vary dramatically, which highlights the importance of the low-power examination of an entire cytocentrifuged smear to search for any rare malignant cells or cell clumps.

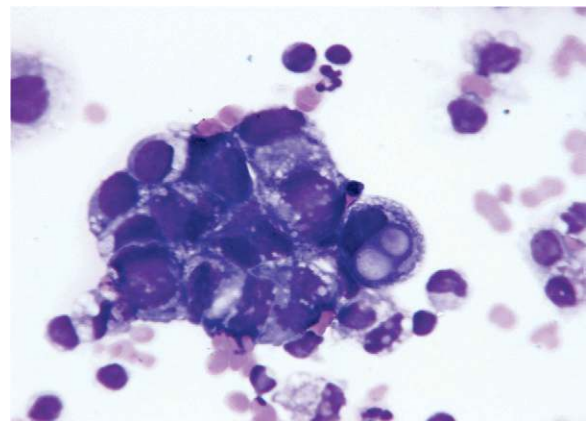
The morphology of malignant cells can be divided into two types: *monomorphic* (i.e., cells that show no variation in morphology; all cells look alike) and *pleomorphic* (numerous variations in cellular morphology). A variety of morphologic features are associated with malignant cells, and the most common are listed in Box 10.2. At times, the changes observed can be obvious, with cells looking extremely bizarre and atypical; other times, the changes may not be as recognizable. Malignant characteristics include a high nucleus-to-cytoplasmic (N:C) ratio and changes in the nucleus size, shape, and appearance. Nucleoli are atypically large and irregular in shape, and there are changes in the chromatin density and distribution. Cells can be oddly multinucleated, with the various nuclei differing in size and shape within a

### BOX 10.2 Cellular Features Associated with Malignancy

- Nuclear-to-cytoplasmic ratio (N:C) is high
- Nuclear shape is irregular; may have folds or projections
- Nuclear membrane/border is indistinct or jagged
- Nuclear chromatin is unevenly distributed with prominent parachromatin spaces
- Nucleoli are large, irregularly shaped; often increased in number
- Multinucleated with nuclei of different sizes and shapes
- Prominent cytoplasmic granules
- Nuclear molding
- Cell clumps or clusters

single cell. Nuclear molding, where the nucleus of one cell indents the nucleus of another cell, can produce unusual cell clusters that are usually detectable using low-power magnification. Lastly, a feature of malignancy that may be observed is cytoplasmic granules, that is, the cells have large clumps of prominent granules that are normally not present. It can be very difficult to discern between normal and malignant cells; therefore always consult a pathologist whenever suspicious cells are observed in a body fluid. This professional interaction provides an opportunity for learning and team building in the laboratory. For example, reactive and malignant mesothelial cells can be very difficult to differentiate in cases of malignant mesothelioma.

Most malignant effusions are caused by metastatic adenocarcinomas (Fig. 10.11). However, any of the blood cancers, leukemias, or lymphomas can infiltrate body cavities to cause an effusion. In these cases, the use of immunocytochemistry and flow cytometry is valuable in determining an accurate diagnosis. In summary, proper identification of malignant cells in body fluids by a skilled professional (e.g., pathologist, cytologist) is crucial and is performed when a smear is forwarded for review or when a cytologic examination is specifically requested.



**FIG. 10.11** Peritoneal (ascites) fluid. Wright’s stain,  $\times 400$ . Adenocarcinoma in a cytocentrifuged smear. Note the numerous features in Box 10.2 that apply to this prominent cell clump. (Courtesy Charlotte Janita.)

### Clinical Value of the Nucleated Cell Differential

The clinical value of a nucleated cell differential varies with the origin of the paracentesis fluid. In *pleural fluid*, neutrophils predominate in about 90% of effusions caused by acute inflammation (i.e., exudates). Lymphocytes predominate in 90% of effusions caused by tuberculosis, neoplasms, and systemic diseases. Similarly, in *peritoneal fluid*, neutrophils predominate (greater than 25%) in most exudates, suggesting bacterial infection. In peritoneal transudates and in exudates caused by decreased lymphatic absorption (e.g., tuberculosis, neoplasms, lymphatic obstruction), lymphocytes predominate. *Pericardial fluid* differential counts are often not performed because a variety of conditions (e.g., bacterial and viral pericarditis, postmyocardial infarction) can produce the same cell differential; hence, a pericardial fluid differential count provides little diagnostic information.

### Cytologic Examination

When malignant disease is suspected, large volumes (10–200 mL) of the pleural, pericardial, or peritoneal effusion should be submitted for cytologic examination. The fluid should be concentrated to increase the yield of cells, and a cell block and cytocentrifuged smears can be prepared. Cytologic examination is an important, sensitive, and specific procedure in the diagnosis of primary and metastatic neoplasms and is performed by a cytologist or a pathologist.

## CHEMICAL EXAMINATION

The chemistry tests selected to evaluate pleural, pericardial, and peritoneal fluids assist the physician in establishing or confirming a diagnosis for the cause of an effusion. Once a diagnosis has been established, appropriate treatment can be initiated, and further testing usually is not required. A specific diagnosis based on laboratory findings from serous fluids is limited to (1) malignancy, when malignant cells are recovered and identified; (2) systemic lupus erythematosus, when characteristic lupus erythematosus cells are found during the microscopic examination; and (3) infectious disease, when microorganisms (e.g., bacteria, fungi) are identified by Gram stain or culture. Several disease processes can occur simultaneously, each contributing to the development of an effusion. Therefore chemistry tests initially classify the effusion as a transudate or an exudate. Transudates usually require no further chemical analysis, whereas exudates are tested further to identify their causative agents or cause. A systematic approach in serous fluid testing greatly facilitates the diagnostic process.

### Total Protein and Lactate Dehydrogenase Ratios

No single test can identify specifically the disease process causing effusions in the pleural, pericardial, and peritoneal cavities. Historically, transudates and exudates were classified by the total protein (TP) content or specific gravity of the fluid alone. Because of the significant overlap noted when these criteria were used (i.e., exudates with protein content or

specific gravity values that were equivalent to those of transudates and vice versa), a better discriminator was needed. Useful tests for classifying a serous fluid as a transudate or an exudate are simultaneous determinations of the serum and serous fluid TP concentration and lactate dehydrogenase (LD) activity. From these values, the fluid-to-serum TP ratio and the fluid-to-serum LD ratio can be determined as follows:

$$\text{TP ratio} = \frac{\text{TP}_{\text{fluid}}}{\text{TP}_{\text{serum}}} \quad \text{Equation 10.1}$$

$$\text{LD ratio} = \frac{\text{LD}_{\text{fluid}}}{\text{LD}_{\text{serum}}} \quad \text{Equation 10.2}$$

These ratios together provide a reliable means to distinguish a transudate from an exudate. If the TP ratio is less than 0.5 and the LD ratio is less than 0.6, the fluid is classified as a transudate. In contrast, exudates are those fluids with a TP ratio greater than 0.5, a LD ratio greater than 0.6, or both.

### Glucose

Once a fluid has been classified as an exudate, several chemical tests can be used for further evaluation. The tests selected and their usefulness vary with the origin of the fluid. The simultaneous measurement of serum and serous fluid glucose concentrations has limited value. If the serous fluid glucose is less than 60 mg/dL or if the glucose difference between serum and fluid is greater than 30 mg/dL, an exudative process is identified. Only low fluid glucose levels are clinically significant, and a variety of disease processes are associated with them, particularly rheumatoid arthritis. Other conditions such as bacterial infection, tuberculosis, and malignant neoplasm may also present with decreased fluid glucose levels; however, a normal serous fluid glucose value does not rule out these disorders.

### Amylase

The determination of simultaneous serum and fluid amylase levels, particularly in pleural and peritoneal fluids, is clinically useful and has become routine in many laboratories. A serous fluid amylase value that exceeds the established upper limit of normal (for serum specimens) or is 1.5 to 2 times the serum value is considered abnormally increased.<sup>5</sup> These high fluid amylase levels most often occur in effusions caused by pancreatitis, esophageal rupture (salivary amylase), gastrointestinal perforation, and metastatic disease.

### Lipids (Triglyceride and Cholesterol)

Because identification of a *chylous effusion* is clinically significant, determining the triglyceride level of a fluid is an important adjunct when evaluating serous fluids. The milky appearance of an effusion does not identify it specifically as a chylous effusion because pseudo-chylous effusions can have a similar appearance. Therefore fluid triglyceride levels are used as an additional determining factor. A serous fluid triglyceride value that is greater than 110 mg/dL (1.2 mmol/L) indicates



a chylous effusion, whereas a triglyceride value of less than 50 mg/dL rules it out. If the triglyceride level is between 50 and 110 mg/dL, lipoprotein electrophoresis can be performed; the presence of chylomicrons identifies a chylous effusion, whereas the absence of chylomicrons indicates a pseudo-chylous effusion. Chylous effusions are associated with obstruction or damage to the lymphatic system, which can occur with neoplastic disease (e.g., lymphoma), trauma, tuberculosis, and surgical procedures. Pseudo-chylous effusions are most often encountered with chronic inflammatory conditions (e.g., rheumatoid arthritis). Note that the presence of cholesterol crystals in a serous fluid is diagnostic of a pseudo-chylous effusion.<sup>6</sup>

The cholesterol level of pleural fluid can be useful for differentiating between a chylous and a pseudo-chylous effusion. A fluid-to-serum cholesterol ratio of greater than 1.0 indicates a pseudo-chylous effusion. The cholesterol ratio can also be helpful in identifying a pleural effusion as a transudate or exudate when other chemical results (TP ratio, LD ratio) are equivocal. In these cases, a fluid-to-serum cholesterol ratio of greater than 0.3 indicates an exudate.<sup>6,7</sup>

## pH

With pleural fluid, an abnormally low pH value can help identify patients with parapneumonic effusions (i.e., exudates caused by pneumonia or lung abscess) that require aggressive treatment. Parapneumonic effusions can involve the parietal and visceral membranes, produce pus, and loculate in the pleural cavity. Studies show that if the pleural fluid pH is less than 7.30, despite appropriate antibiotic therapy, the placement of drainage tubes is necessary for resolution of the effusion. In contrast, if the pleural fluid pH exceeds 7.30, the effusion completely resolves after antibiotic treatment alone. An important note is that the collection of pleural fluid specimens for pH measurement requires the same rigorous sampling protocol as the collection of arterial blood gas specimens (i.e., an anaerobic sampling technique using a heparinized syringe, placing the specimen on ice, and immediately transporting it to the laboratory for analysis).

Pericardial and peritoneal fluid pH measurements currently have no clearly established clinical value.

## Carcinoembryonic Antigen

The measurement of carcinoembryonic antigen (CEA), a tumor marker, is useful in evaluating pleural and peritoneal effusions from patients who have a previous history or are currently suspected of having a carcinoembryonic antigen-producing tumor. When a CEA measurement is combined with a fluid cytologic examination, the identification of malignant effusions is significantly increased.

## MICROBIOLOGICAL EXAMINATION

### Staining Techniques

Microbiological examination includes the preparation of smears using a concentrated or cytocentrifuged specimen

for immediate identification of microorganisms. Depending on the suspected diagnosis, this may include Gram stain, an acid-fast stain, and other staining techniques. The sensitivity of these techniques depends on two factors: (1) the appropriate collection, processing, and handling of the fluid specimen, and (2) the technical competence of the microscopist reading the smears. If either aspect is substandard, optimal results will not be obtained. In fluid specimens that have been allowed to clot, microorganisms can be caught in the clot matrix and obstructed from view; similarly, contamination of the specimen during its collection or delays in handling and processing can yield false-positive results from in vitro bacterial proliferation. Because of the potential presence of stain precipitates, cellular components, and other debris, smears must be evaluated by appropriately trained and experienced laboratorians. Under the best conditions, a Gram stain is positive in about 30% to 50% of bacterial effusions, whereas acid-fast stains are positive in only 10% to 30% of tuberculous effusions.

## Culture

As with smear preparations, the larger the volume of pleural, pericardial, or peritoneal fluid used or the more concentrated the inoculum used, the greater the chances of obtaining a positive culture. Both aerobic and anaerobic cultures should be performed. The sensitivity of a positive culture varies with the origin of the fluid and the organism present. Positive bacterial cultures are obtained in approximately 80% of all bacterial effusions. In contrast, peritoneal tuberculous (or mycobacterial) effusions culture positive in 50% to 70% of cases, pericardial effusions culture positive in about 50% of cases, and pleural tuberculous effusions culture positive in only about 30% of cases.

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## STUDY QUESTIONS

- Which of the following statements about serous fluid-filled body cavities is true?
  - A parietal membrane is attached firmly to the body cavity wall.
  - Serous fluid acts as a lubricant between opposing membranes.
  - A serous membrane is composed of a single layer of flat mesothelial cells.
  - The visceral and parietal membranes of an organ are actually a single continuous membrane.
  - 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
- Which of the following mechanisms is responsible for the formation of serous fluid in body cavities?
  - Ultrafiltration of circulating blood plasma
  - Selective absorption of fluid from the lymphatic system
  - Diuresis of solutes and water across a concentration gradient
  - Active secretion by mesothelial cells that line the serous membranes
- Which of the following conditions enhances the formation of serous fluid in a body cavity?
  - Increased lymphatic absorption
  - Increased capillary permeability
  - Increased plasma oncotic pressure
  - Decreased capillary hydrostatic pressure
- The pathologic accumulation of fluid in a body cavity is called
  - an abscess.
  - an effusion.
  - pleocytosis.
  - paracentesis.
- Paracentesis and serous fluid testing are performed to
  - remove serous fluids that may be compressing a vital organ.
  - determine the pathologic cause of an effusion.
  - identify an effusion as a transudate or an exudate.
  - prevent volume depletion caused by the accumulation of fluid in body cavities.
  - 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
- Thoracentesis refers specifically to the removal of fluid from the
  - abdominal cavity.
  - pericardial cavity.
  - peritoneal cavity.
  - pleural cavity.
- Which of the following tubes could be used for a bacterial culture of serous fluid?
  - EDTA
  - Sodium citrate
  - Sodium fluoride
  - Sodium heparin
- Serous fluid for bacterial culture should be stored at
  - 20°C.
  - 2°C to 8°C.
  - 20°C to 24°C.
  - 36°C to 38°C.
- Which of the following parameters best identifies a fluid as a transudate or an exudate?
  - Color and clarity
  - Leukocyte and differential counts
  - Total protein and specific gravity measurements
  - Total protein ratio and lactate dehydrogenase ratio
- Chylous and pseudo-chylous effusions are differentiated by their
  - physical examinations.
  - cholesterol concentrations.
  - triglyceride concentrations.
  - leukocyte and differential counts.
- Which of the following conditions is most often associated with the formation of a transudate?
  - Pancreatitis
  - Surgical procedures
  - Congestive heart failure
  - Metastatic neoplasm
- Match the type of serous effusion most often associated with each pathologic condition.
 

Pathologic Condition	Type of Serous Effusion
___ A. Neoplasms	1. Exudate
___ B. Hepatic cirrhosis	2. Transudate
___ C. Infection	
___ D. Rheumatoid arthritis	
___ E. Trauma	
___ F. Nephrotic syndrome	

13. Which of the following features is not a characteristic of malignant cells?
- Irregular nuclear membrane
  - Uneven nuclear chromatin distribution
  - Less than normal nucleus-to-cytoplasm ratio
  - Multiple prominent nucleoli with irregular borders
14. Which of the following laboratory findings on an effusion does not indicate a specific diagnosis?
- Lupus erythematosus cells found during the microscopic examination
  - A serous fluid glucose concentration less than 60 mg/dL
  - Microorganisms identified by Gram or acid-fast stain
  - Malignant cells identified during the microscopic or cytologic examination
15. An abnormally low fluid pH value is useful when evaluating conditions associated with
- pleural effusions.
  - pleural and pericardial effusions.
  - pericardial and peritoneal effusions.
  - pleural, pericardial, and peritoneal effusions.
16. A pleural or peritoneal fluid amylase level two times higher than the serum amylase level can be found in effusions resulting from
- pancreatitis.
  - hepatic cirrhosis.
  - rheumatoid arthritis.
  - lymphatic obstruction.
17. A glucose concentration difference greater than 30 mg/dL between the serum and an effusion is associated with
- pancreatitis.
  - hepatic cirrhosis.
  - rheumatoid arthritis.
  - lymphatic obstruction.
18. Which of the following actions can adversely affect the chances of obtaining a positive stain or culture when performing microbiological studies on infectious serous fluid?
- Using a large volume of serous fluid for the inoculum
  - Storing serous fluid specimens at refrigerator temperatures
  - Using an anticoagulant in the serous fluid collection container
  - Concentrating the serous fluid before preparing smears for staining

## CASE 10.1

A 51-year-old man with a history of tuberculosis is found to have a unilateral pleural effusion. A pleural fluid specimen is obtained by thoracentesis and is sent to the laboratory for evaluation.

Blood Chemistry Results		Physical Examination	Pleural Fluid Results	
	Reference Interval		Microscopic Examination	Chemical Examination
Total protein: 7.0 g/dL	6.0–8.3 mg/dL	Color: yellow	Leukocyte count: 1100 cells/ $\mu$ L	Total protein: 4.2 g/dL
LD <sup>a</sup> : 520 U/L	275–645 U/L	Clarity: cloudy	Differential count:	LD <sup>a</sup> : 345 U/L
Glucose, fasting: 75 mg/dL	$\leq$ 110 mg/dL	Clots present: no	Mononuclear: 93%	Glucose: 55 mg/dL
			Neutrophils: 3%	
			Gram stain: no organisms seen; leukocytes present	

- Calculate the fluid-to-serum total protein ratio.
- Calculate the fluid-to-serum lactate dehydrogenase ratio.
- Classify this pleural fluid specimen as a transudate or an exudate, and state two physiologic mechanisms that can cause this type of effusion.

<sup>a</sup>Lactate dehydrogenase.

## CASE 10.2

A 48-year-old woman has ascites and pleural effusion. Blood is drawn and a peritoneal fluid specimen is obtained by paracentesis and sent to the laboratory for evaluation.

Blood Chemistry Results		Peritoneal Fluid Results		
	Reference Interval	Physical Examination	Microscopic Examination	Chemical Examination
Total protein: 6.5 g/dL	6.0–8.3 mg/dL	Color: yellow	Leukocyte count: 8 cells/ $\mu$ L	Total protein: 2.9 g/dL
LD <sup>b</sup> : 300 U/L	275–645 U/L	Clarity: clear	Cytologic examination: no malignant cells seen	LD <sup>b</sup> : 125 U/L
Glucose, fasting: 82 mg/dL	$\leq$ 110 mg/dL	Clots present: no	Gram stain: no organisms seen	Glucose: 67 mg/dL
Liver function tests <sup>a</sup> : normal				

1. Calculate the fluid-to-serum total protein ratio.
2. Calculate the fluid-to-serum lactate dehydrogenase ratio.
3. Classify this peritoneal fluid specimen as a transudate or an exudate, and state two physiologic mechanisms that can cause this type of effusion.

<sup>a</sup>Alanine aminotransferase, aspartate aminotransferase,  $\gamma$ -glutamyltransferase, alkaline phosphatase, and bilirubin.

<sup>b</sup>Lactate dehydrogenase.

# Synovial Fluid Analysis

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. Describe the formation and function of synovial fluid.
2. Summarize the four principal classifications of joint disease.
3. Classify synovial fluid as normal, noninflammatory, inflammatory, septic, or hemorrhagic using various laboratory results.
4. Discuss appropriate tubes for the collection and distribution of synovial fluid specimens; discuss the importance of timely specimen processing and testing.
5. State physical characteristics of normal synovial fluid and discuss how each characteristic is modified in disease states.
6. Correlate the cells and crystals observed during microscopic examination of synovial fluid with various joint diseases.
7. Compare and contrast concentrations of selected chemical constituents of synovial fluid from healthy joints with that from diseased joints.
8. Discuss the microbiological examination of synovial fluid and its importance in the diagnosis of infectious joint disease.

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## KEY TERMS<sup>1</sup>

arthritis

arthrocentesis

hyaluronate

synovial fluid

synoviocytes

<sup>1</sup>Definitions are provided in the chapter and glossary.

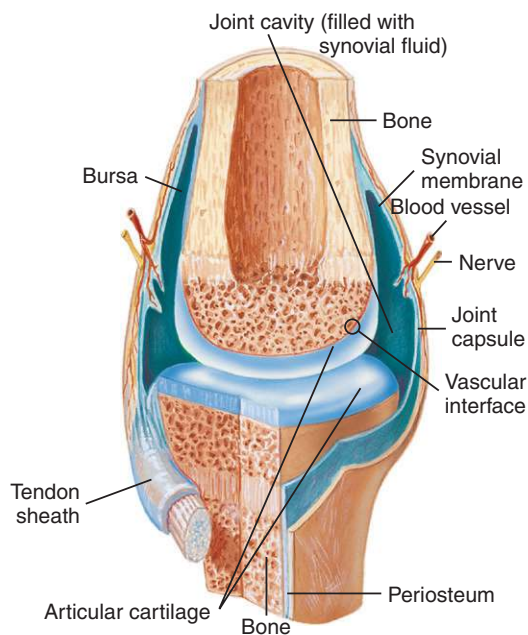
## PHYSIOLOGY AND COMPOSITION

In areas of the skeleton where friction could develop, such as the joints, bursae, and tendon sheaths, viscous synovial fluid is present. Within articulated diarthrodial joints (e.g., the knee), the ends of apposing bones are covered with articular cartilage,

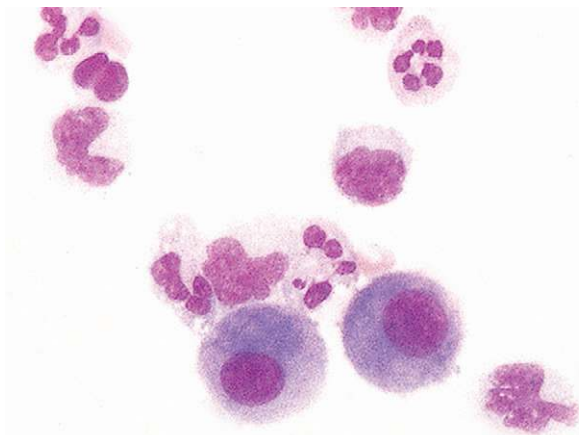
the joint space is lined by a synovial membrane (except in weight-bearing areas), and synovial fluid bathes and lubricates the joint (Fig. 11.1). The surface of the synovial membrane surrounding the joint consists of numerous microvilli with a layer, one to three cells deep, of synovial cells called synoviocytes (Fig. 11.2). Two types of synoviocytes are present



in the synovial membrane. The most prevalent type is actively phagocytic and synthesizes degradative enzymes (e.g., collagenases). The second type of synoviocyte synthesizes hyaluronate, a mucopolysaccharide linked with approximately 2% protein. The synoviocytes are loosely organized in the synovial membrane and differ from cells in other lining membranes in that they have no basement membrane, and adjacent synovial cells are not joined with desmosomes. Beneath the synoviocytes is a thin layer of loose connective tissue containing a vast network of blood vessels, lymphatics, and nerves. Variable numbers of mononuclear cells are also found in this connective tissue layer.



**FIG. 11.1** A schematic representation of the knee: a diarthrodial joint. From Lewis SL, Bucher L, Heitkemper MM, et al: *Medical-surgical nursing*, ed 9, St. Louis, 2014, Mosby.



**FIG. 11.2** Synoviocytes in synovial fluid,  $\times 400$ . Note their morphologic similarity to mesothelial cells in serous fluids. From Rodak BF, Fritsma GA, Doig K: *Hematology: clinical principles and applications*, ed 3, St. Louis, 2007, Saunders.

**TABLE 11.1 Synovial Fluid Reference Intervals<sup>a</sup>**

Physical Examination	
Total volume	0.1–3.5 mL
Color	Pale yellow
Clarity	Clear
Viscosity	High; forms “strings” 4–6 cm long
Spontaneous clot formation	No
Microscopic Examination	
Erythrocyte count	<2000 cells/ $\mu$ L
Leukocyte count	<200 cells/ $\mu$ L
Differential cell count	
Monocytes and macrophages	$\approx 60\%$
Lymphocytes	$\approx 30\%$
Neutrophils	$\approx 10\%$
Crystals	None present
Chemical Examination	
Glucose	Equivalent to plasma values <sup>b</sup>
Glucose: P-SF difference	<10 mg/dL <sup>b</sup>
Uric acid	Equivalent to plasma values <sup>b</sup>
Total protein	1–3 g/dL
Lactate	9–33 mg/dL <sup>c</sup>
Hyaluronate	0.3–0.4 g/dL

<sup>a</sup>Values for fluid obtained from a knee joint.

<sup>b</sup>Synovial fluid values are equivalent to blood plasma values if obtained from a fasting patient.

<sup>c</sup>Normal lactate values are assumed to be similar to those in blood and cerebrospinal fluid; actual reference intervals have yet to be established.

Synovial fluid is formed by the ultrafiltration of plasma across the synovial membrane and from secretions by synoviocytes. The resultant viscous fluid serves as a lubricant for the joint and is the sole nutrient source for the metabolically active articular cartilage, which lacks blood vessels, lymphatics, and nerves. The composition of synovial fluid is unique in that its glucose and uric acid concentrations are equivalent to that of the blood plasma; however its total protein and immunoglobulin concentrations vary from one-fourth to one-half that of the plasma. [Table 11.1](#) (and [Appendix C](#)) lists reference values for various characteristics and constituents of normal synovial fluid obtained from a knee joint.

## CLASSIFICATION OF JOINT DISORDERS

**Arthritis** and other joint diseases are common, and laboratory analysis of synovial fluid assists in the diagnosis and classification of these conditions. When synovial fluid is removed from a joint space, laboratory examination enables classification of the disease process into one of four principal

TABLE 11.2 Classification of Synovial Fluid Based on Laboratory Examination

Test	Normal	Group I Noninflammatory	Group II Inflammatory	Group III Septic	Group IV Hemorrhagic
Volume, mL	<3.5	>3.5	>3.5	>3.5	>3.5
Color	Pale yellow	Yellow	Yellow-white	Yellow-green	Red-brown
Viscosity	High	High	Low	Low	Decreased
WBC count, cells/ $\mu$ L	<200	<3000	2000–100,000 <sup>12</sup>	10,000–>100,000 <sup>12</sup>	>5000 <sup>12</sup>
Neutrophils	<25%	<25%	>50%	>75%	>25%
Glucose concentration	Approximately equal to plasma level	Approximately equal to plasma level	Less than plasma level	Less than plasma level	Approximately equal to plasma level
Glucose: P – SF <sup>a</sup> difference	$\leq 10$ mg/dL <sup>12</sup> ( $\leq 0.55$ mmol/L)	<20 mg/dL (< 1.11 mmol/L)	>20 mg/dL (range, 0–80) <sup>6</sup> (> 1.11 mmol/L)	>40 mg/dL (range, 20–100) <sup>6</sup> (> 2.22 mmol/L)	<20 mg/dL (< 1.11 mmol/L)
Culture	Negative	Negative	Negative	Positive	Negative
Associated diseases	—	Osteoarthritis Osteochondritis Osteochondromatosis Traumatic arthritis Neuroarthropathy	Crystal synovitis <sup>b</sup> (gout, pseudogout) Rheumatoid arthritis <sup>c</sup> Reactive arthritis <sup>d</sup> Systemic lupus erythematosus <sup>e</sup>	Bacterial infection Fungal infection Mycobacterial infection	Trauma Blood disease (e.g., hemophilia, sickle cell disease) Tumor Joint prosthesis

<sup>a</sup>The plasma–synovial fluid difference in glucose concentration when specimens are obtained simultaneously.

<sup>b</sup>With chronic or subsiding conditions, crystal synovitis may present as group I.

<sup>c</sup>Early stages of rheumatoid arthritis may present as group I.

<sup>d</sup>Previously known as Reiter's syndrome.<sup>11</sup>

<sup>e</sup>Systemic lupus erythematosus may also present as group I.

categories: noninflammatory, inflammatory, septic, or hemorrhagic. These general classifications aid in differential diagnosis of joint disease and are summarized in Table 11.2. An important note is that (1) these categories partially overlap, (2) several conditions can occur in the joint at the same time, and (3) variations in test results can occur depending on the stage of the disease process. Consequently, these classifications are used only as a guide for the clinician in the evaluation and diagnosis of joint disease. In contrast to the tentative diagnoses possible on the basis of laboratory findings, a definitive diagnosis is possible when microorganisms are identified (septic arthritis) or crystals are present (crystal synovitis) in the synovial fluid.

## SPECIMEN COLLECTION

Synovial fluid is removed by **arthrocentesis**, which is the percutaneous aspiration of fluid from a joint using aseptic technique. Disposable, sterile needles and syringes are used most often to prevent birefringent contaminants associated with the cleaning and resterilization of reusable supplies. If possible, patients should be fasting a minimum of 4 to 6 hours to allow for the equilibration of chemical constituents between plasma and synovial fluid. A blood sample is collected at approximately the same time as the arthrocentesis procedure

is performed when determination of the plasma–synovial fluid difference in glucose is requested.

The volume of synovial fluid in a joint varies with the size of the joint cavity and is normally small—about 0.1 to 3.5 mL.<sup>1</sup> Consequently, arthrocentesis of a joint when an effusion (or fluid buildup) is not present can result in a “dry tap”—a small yield of synovial fluid. Sometimes synovial fluid is present only in the aspiration needle; this requires that the contents of the needle be expressed into an appropriate small-volume container or, at times, directly into culture media. Alternatively, the clinician may insert the needle into a sterile cork and transport the entire syringe to the laboratory for processing. However, this practice represents a significant potential biohazard and should be avoided. Note that specimens should not be rejected because of a low volume of fluid. Diagnostic crystals, cell counts with differentials, and microbial growth are possible from a few drops of synovial fluid.

Synovial fluid handling and volume requirements are summarized in Table 11.3. It is recommended by the Clinical Laboratory Standards Institute (CLSI) that the first portion of fluid obtained (tube #1) be placed into a plain red-top tube (no anticoagulant) for chemical and immunologic evaluation. The next portion (tube #2) is collected in an anticoagulant tube for microscopic examinations, and the last portion (tube #3) is placed in a sterile anticoagulant tube for microbiological

TABLE 11.3 Synovial Fluid Analysis and Specimen Requirements

Collection Tube Order	Test	Volume	Tube Type
All tubes	<b>Physical examination</b> Color, clarity, viscosity	≈1 mL	
#1	<b>Chemical examination</b> Lactate, lipids (cholesterol, triglycerides), protein, uric acid	1–3 mL	No anticoagulant (red top)
	Glucose	1–3 mL	No anticoagulant (red top) or sodium fluoride (gray top)
#2	<b>Microscopic examination</b> Total cell count	2–5 mL	Liquid EDTA or sodium heparin <sup>a</sup>
	Differential cell count		
	Crystal identification	5–50 mL <sup>b</sup>	Sodium heparin <sup>a</sup>
	Cytologic studies (e.g., malignant cells)		
#3	<b>Microbiological studies</b> Culture	3–10 mL <sup>c</sup>	Sterile tube; no anticoagulant (red top), sodium heparin, <sup>a</sup> or sodium polyanethole sulfonate (yellow top)

EDTA, Ethylenediaminetetraacetic acid.

<sup>a</sup>Sodium heparin concentration at 25 U/mL synovial fluid.

<sup>b</sup>No upper limit to the amount of fluid that can be submitted; large volumes of fluid increase the recovery of cellular elements.

<sup>c</sup>Large fluid volumes may increase the recovery of viable microbial organisms.

studies. Because the volume of synovial fluid present can vary significantly, the amount collected and distributed into each collection tube will also vary.

Note that using larger volumes of synovial fluid for cultures can enhance the recovery of microbial organisms; similarly, greater volumes of fluid will increase the numbers of cells recovered for cytologic evaluation. For cell counts and crystal identification, the best anticoagulant for synovial fluid is “liquid” ethylenediaminetetraacetic acid (EDTA) or sodium heparin at approximately 25 units (U) per milliliter of synovial fluid. These anticoagulants prevent clotting when fibrinogen is present but do not form crystals. Oxalate, lithium heparin, and powdered EDTA must be avoided because they can produce crystalline structures that resemble monosodium urate crystals, thereby interfering with the microscopic examination for *in vivo* crystals.

For microbial studies, synovial fluid placed in a sterile tube without anticoagulant or with sodium polyanetholsulfonate (SPS, yellow-top tube) is acceptable.<sup>2</sup> Note that the concentration of SPS must not exceed 0.025% (wt/vol) because some *Neisseria* spp. and certain anaerobes are inhibited by higher concentrations.<sup>3</sup> For anaerobic culture, fluid can be placed into an anaerobic transport vial or tube. Because synovial fluid specimens are often sent to different laboratories for testing, the total volume of fluid removed should be recorded in the patient’s chart and on specimen test request forms at the time of fluid collection.

Synovial fluid should be transported and analyzed at room temperature. As with other body fluids, it should be processed and tested as soon as possible after collection. If processing is delayed, several adverse changes can occur: (1) Cells in the

synovial fluid can alter the chemical composition, (2) detection of microbial organisms can be jeopardized, and (3) blood cells (white blood cells [WBCs], red blood cells [RBCs]) can lyse. Note that refrigeration adversely affects the viability of microorganisms and could erroneously induce *in vitro* crystalline precipitation.

## PHYSICAL EXAMINATION

### Color

The hematology laboratory often performs the physical and microscopic examinations of synovial fluid. Physical examination includes visual assessment for color, clarity, and viscosity. Normally, synovial fluid appears pale yellow or colorless and is clear. Color variations of red and brown are associated with trauma during the arthrocentesis and with disorders that disrupt the synovial membrane, allowing blood to enter the joint cavity, such as joint fracture, tumor, and traumatic arthritis. A traumatic procedure is indicated when the amount of blood in the fluid decreases as collection continues, or when a streak of blood is noticed in the fluid. With some joint disorders, particularly infections, synovial fluid can appear greenish or purulent; with other conditions (e.g., tuberculous arthritis, systemic lupus erythematosus), synovial fluid can appear milky.

### Clarity

Numerous substances can modify the clarity of synovial fluid; these substances include WBCs, RBCs, synoviocytes, crystals, fat droplets, fibrin, cellular debris, rice bodies, and ochronotic shards. The specific entity or entities causing the observed

turbidity are usually identified by microscopic examination. Some substances are evident upon gross visual examination of synovial fluid. Rice bodies are white, free-floating particles made up of collagen covered by fibrinous tissue.<sup>4</sup> They resemble polished, shiny grains of rice and can vary greatly in size. Although they may be seen in many arthritic conditions, they are observed most commonly with rheumatoid arthritis. Dark, pepper-like particles called ochronotic shards can be present in synovial fluid from individuals with ochronotic arthropathy, a consequence of alkaptonuria and ochronosis.<sup>5</sup> These pepper-like particles are pieces of pigmented cartilage that has eroded and broken loose into the fluid.

### Viscosity

Synovial fluid has high viscosity compared to water because of its high concentration of the mucoprotein **hyaluronate**. This high-molecular-weight polymer of repeating disaccharide units is secreted by synoviocytes and serves as a joint lubricant. During inflammatory conditions, hyaluronate can be depolymerized by the action of the enzyme hyaluronidase, which is present in neutrophils, as well as by some bacteria (e.g., *Staphylococcus aureus*, *Streptococcus pyogenes*, *Clostridium perfringens*). In addition, some disease processes inhibit the production and secretion of hyaluronate by synoviocytes.

Synovial fluid viscosity can be assessed at the bedside by observing the fluid as it is expelled from the collection syringe. A drop of normal synovial fluid forms a string at least 4 cm long before breaking. The viscosity of the fluid is considered abnormally low when the string breaks earlier or forms discrete water-like droplets. Because viscosity measurements have little diagnostic or clinical value and are not reliable, they are rarely performed.

### Clot Formation

Spontaneous clot formation in synovial fluid indicates the abnormal presence of fibrinogen. Because of its high molecular weight (340,000), fibrinogen cannot pass through a normal or healthy synovial membrane. Pathologic processes that damage the synovial membrane or a traumatic arthrocentesis with blood contamination can cause fibrinogen to be present in synovial fluid, which will result in clot formation. Therefore a portion of a synovial fluid collection should always be anticoagulated using liquid EDTA or sodium heparin to prevent potential fibrin clots that interfere with the microscopic examination.

## MICROSCOPIC EXAMINATION

To eliminate the potential for clot formation and reduce the viscosity of synovial fluid, before analysis a well-mixed portion is transferred to another tube and a few grains of hyaluronidase are added. This enzyme will eliminate hyaluronate, thereby preventing clot formation, reducing viscosity, and enhancing an even distribution of cells in the counting chambers of the hemacytometer. Alternatively when the fluid requires dilution because it is cloudy or turbid, a

hyaluronidase buffer solution can be used as the diluent.<sup>6</sup> Note that if the synovial fluid has not been “pretreated” with hyaluronidase, an acetic acid diluent cannot be used because it will cause hyaluronate to form a mucin clot and cells to clump, which interfere with the microscopic examination.

A manual microscopic examination is performed using a hemacytometer and well-mixed synovial fluid, either undiluted or diluted. See [Chapter 17](#) for procedural details for performing manual cell counts and [Appendix D](#) for optional diluents when needed. Analysis should occur as soon as possible to avoid potential changes in cell counts (lysis) and crystal formation.

### Total Cell Count

Normally, the number of RBCs in synovial fluid is fewer than 2000 RBCs/ $\mu$ L. Some RBCs originate from the procedure itself; those resulting from hemorrhagic effusions are usually obvious from their large numbers and the initial red-brown appearance of the fluid. When the number of RBCs present is excessive and they must be eliminated to allow performance of an accurate WBC and differential count, hypotonic saline (0.3%) is used as the diluent because it will selectively lyse the RBCs while retaining the WBCs.

WBCs are normally present in synovial fluid at cell counts lower than 200 WBCs/ $\mu$ L. Although WBC counts greater than 2000 cells/ $\mu$ L are typically associated with bacterial arthritis, leukocytosis can occur with other conditions, such as acute gouty arthritis and rheumatoid arthritis. Although a total WBC count has limited value in identifying a specific disease process, when abnormal, it is providing clinically valuable information.

### Differential Cell Count

Synovial fluid can be concentrated by several techniques; however, cytocentrifugation preserves cellular morphology better than routine centrifugation procedures.<sup>7</sup> Normally, about 60% of synovial fluid’s nucleated cells are monocytes or macrophages, approximately 30% are lymphocytes, and approximately 10% are neutrophils.<sup>8</sup> Nucleated cell differentials have limited clinical value because they can vary in a particular disease as well as with the stage of the disease.

A nucleated cell differential with more than 80% neutrophils is associated with bacterial arthritis and urate gout, regardless of the total cell count. An increase in the lymphocyte percentage often occurs in the early stages of rheumatoid arthritis, whereas neutrophils predominate in later stages. An increased eosinophil count (greater than 2%) has been associated with a variety of disorders, including rheumatic fever, parasitic infestations, and metastatic carcinoma, and often follows procedures such as arthrography and radiation therapy.

The nucleated cell types that can be present in synovial fluid include granulocytes (i.e., neutrophils, eosinophils, basophils/mast cells), lymphocytes, plasma cells, mononuclear phagocytes (i.e., monocytes, macrophages), synoviocytes (lining cells of synovial membrane), and, although rare, malignant cells. Note that *all* nucleated cells are counted in



**TABLE 11.4 Synovial Fluid Crystal Identification, Microscopic Characteristics, and Associated Clinical Conditions**

Crystal	Microscopic Characteristics <sup>a</sup>	Clinical Conditions
Monosodium urate monohydrate	Fine, needle-like, with pointed ends; strong negative birefringence	Urate arthritis (gouty arthritis)
Calcium pyrophosphate dihydrate	Rod-like or rhombic; weak positive birefringence	Pseudogout (i.e., chondrocalcinosis)
Cholesterol	Flat, parallelogram-shaped plates, with notched corners; negative birefringence; intensity varies with crystal thickness	Chronic arthritic conditions (e.g., rheumatoid arthritis), monoarthritis
Hydroxyapatite	Requires electron microscopy for visualization; not birefringent	Apatite-associated arthropathies
Corticosteroid	Irregular with jagged or serrated edges; broken pieces; varies with corticosteroid used	Indicates previous intraarticular injection
Calcium oxalate	Calcium oxalate dihydrate ( <i>Weddellite</i> ) and monohydrate ( <i>Whewellite</i> )	Oxalate gout in long-term renal dialysis patients or those with primary hyperoxaluria (rare, inherited disorder)
Hematin	Yellow-brown (golden) rhomboid crystals under brightfield microscopy	Indicates a previous hemorrhage in the joint

<sup>a</sup>Characteristics of typical crystalline forms; however, other crystalline forms can also be present.

the differential, including synoviocytes, the lining cells of the synovial membrane.

Synoviocytes can be difficult to differentiate from monocytes and they closely resemble mesothelial cells that are found in pleural and peritoneal fluids (compare Fig. 11.2 with Fig 10.6). As with mesothelial cells in serous fluid, the presence of synoviocytes in synovial fluid is expected and does not have any diagnostic value. Many institutions group synoviocytes, monocytes, and macrophages in a single category without differentiating between them.

Some nucleated cells are associated with a specific disease process, such as LE cells with lupus erythematosus, cells with hemosiderin inclusions following a hemorrhagic process, multinucleated cartilaginous cells in patients with osteoarthritis, and malignant cells in patients with metastatic tumors.

### Crystal Identification

One of the most important laboratory tests routinely performed on synovial fluid is microscopic examination for crystals. Identification of some crystals is pathognomonic of a specific joint disease, thereby enabling a rapid definitive diagnosis (Table 11.4). Because temperature and pH changes affect crystal formation and solubility, synovial fluid specimens should be maintained at room temperature and examined as soon as possible after collection. Time delays before microscopic examination can result in a decrease in WBCs (lysis) and in phagocytosis of crystals by WBCs during storage. Note that pretreatment with hyaluronidase has no effect on crystals if present.

When crystals are present in synovial fluid, they are typically of a single type. However, although uncommon, both monosodium urate and calcium pyrophosphate dihydrate (CPPD) crystals have been found. Note that clinically

significant crystals will not have irregular, jagged edges or appear uneven or broken. In these cases, artifacts or corticosteroid crystals should be suspected.

### Microscope Slide Preparations

Synovial fluid is viewed microscopically using a cytocentrifuged (or cytopspin) slide preparation or a wet preparation. Several advantages are associated with the use of cytopspin slides. First, cytocentrifugation concentrates the fluid components in a small area on a slide; this increases the sensitivity of the recovery and the detection of small numbers of crystals. Second, they are permanent slides that can be retained and used for review, training, and competency assessment. Lastly, cytopspin slides can be viewed stained or unstained using polarizing microscopy because the appearance and birefringence of crystals are identical to those observed in wet preparations. The only disadvantage is the initial cost of a cytocentrifuge (see discussion of cytocentrifugation in Chapter 17). Be aware when staining prepared slides using a technique that involves a “methanol fixative” step, such as with Wright-Giemsa procedures, as monosodium urate and cholesterol crystals can dissolve during processing.<sup>9</sup> Therefore, synovial fluid should be viewed using both a wet mount and a stained smear to determine the presence of crystals.

When a manual “wet” preparation is made, a drop of synovial fluid is placed onto an alcohol-cleaned microscope slide and a coverslip is applied. The specimen should just fill the area beneath the coverslip; too much specimen will cause the coverslip to float, increasing the number of focal planes and possibly hampering the viewing of important components.

Thorough examination of synovial fluid preparations by a skilled microscopist is imperative to ensure visualization and proper identification of synovial fluid crystals. Such

examination is necessary because (1) the number of crystals present can vary significantly with disease (i.e., only a few small crystals may be present); (2) the differentiation of crystals is difficult because different types of crystals closely resemble each other; (3) free crystals can become enmeshed in fibrin or debris and can easily be overlooked; and (4) numerous artifacts are birefringent and must be appropriately identified. In addition, synovial fluid findings in infectious arthritis and crystal synovitis can be similar, which makes microscopic examination for crystals an important tool in differentiating these conditions.

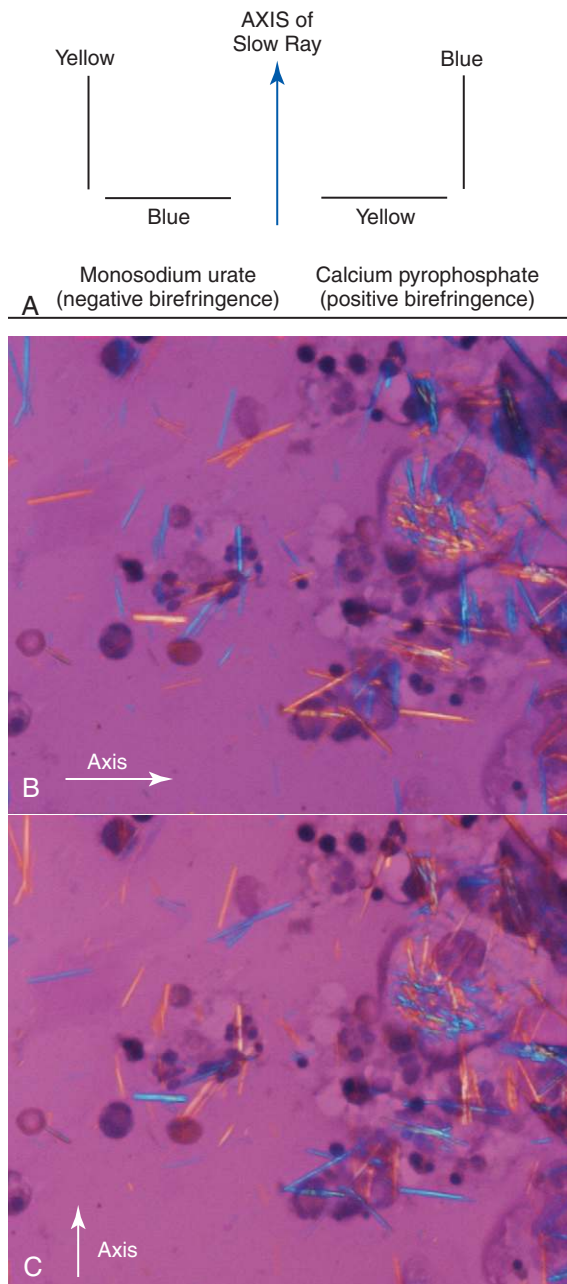
Slide preparations for crystal identification are viewed microscopically using direct and compensated polarizing microscopy. Under polarizing microscopy, birefringent substances appear as bright objects against a black background, and the intensity of their birefringence varies with the substance. For example, monosodium urate crystals are bright and easy to visualize compared to CPPD crystals. Compensated polarizing microscopy (using a red compensator plate) enables the identification and differentiation of *positive* and *negative* birefringent substances based on the color produced when the crystals are oriented parallel and perpendicular to the axis of the red compensator (Fig. 11.3A). See Chapter 18 for additional discussion of polarizing microscopy and for examples of different types of birefringence and intensities, see Tables 18.1 and 18.2.

### Monosodium Urate Crystals

Monosodium urate (MSU) crystals in synovial fluid indicate gouty arthritis. Present intracellularly in leukocytes during acute stages of the disease, these needle-like crystals with pointed ends can distend the cytoplasm of WBCs. Free-floating crystals enmeshed in fibrin can also be present. Under polarizing microscopy, monosodium urate crystals are strongly birefringent, appearing bright against a black background. When a red compensator or full-wave plate is used, these negatively birefringent crystals appear yellow when their longitudinal axes are parallel to the axis of the red compensator plate (Fig. 11.3B) and blue when their longitudinal axes are perpendicular to it (Fig. 11.3C). This characteristic enables the differentiation of MSU crystals from other similarly appearing crystals (e.g., EDTA crystals, betamethasone acetate crystals).

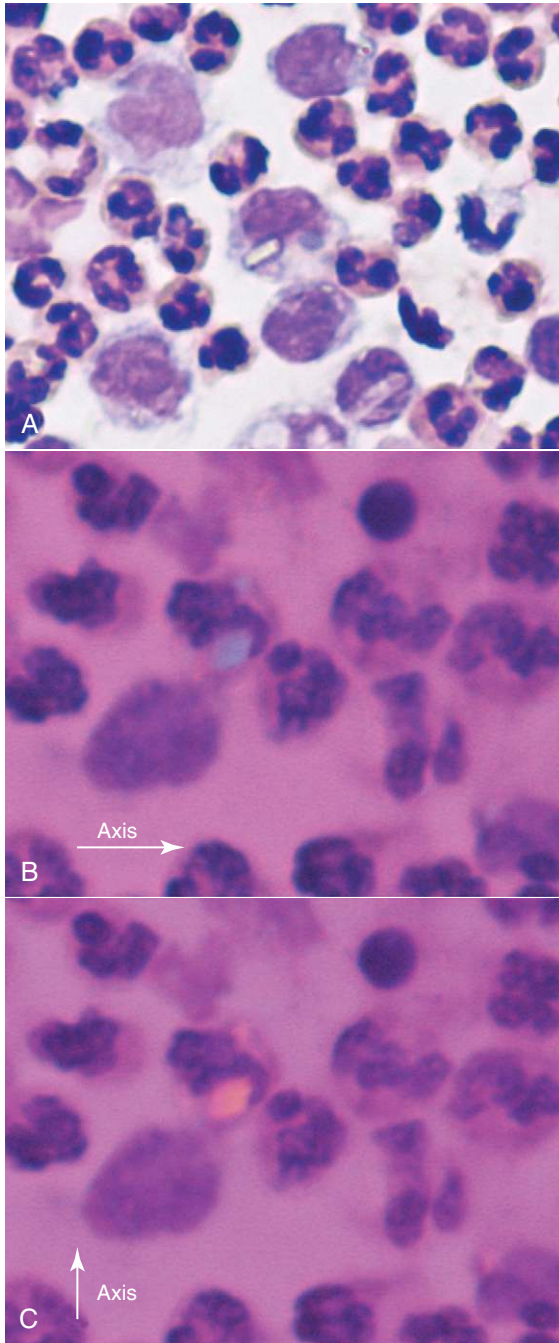
### Calcium Pyrophosphate Dihydrate Crystals

A group of diseases is associated with CPPD crystals in synovial fluid. These conditions, often referred to as *pseudogout* or *chondrocalcinosis*, are associated with the calcification of articular cartilages and include degenerative arthritis and arthritides accompanying metabolic diseases (e.g., hypothyroidism, hyperparathyroidism, diabetes mellitus). Several characteristics enable the differentiation of CPPD crystals from MSU crystals. Calcium pyrophosphate dihydrate crystals are smaller and blunter, rod-like, or rhomboid (Fig. 11.4A). With compensated polarizing microscopy, CPPD crystals display weak positive



**FIG. 11.3** **A**, A diagrammatic representation of monosodium urate and calcium pyrophosphate crystals when viewed using polarizing microscopy with a red compensator. The axis indicated is that of the compensator. **B**, Monosodium urate crystals in joint fluid. The crystals with their longitudinal axis parallel to the red compensator plate axis as indicated in the lower left corner are yellow. **C**, With the axis of the red compensator plate perpendicular to the longitudinal axis, the same monosodium urate crystals are blue (polarizing microscopy).

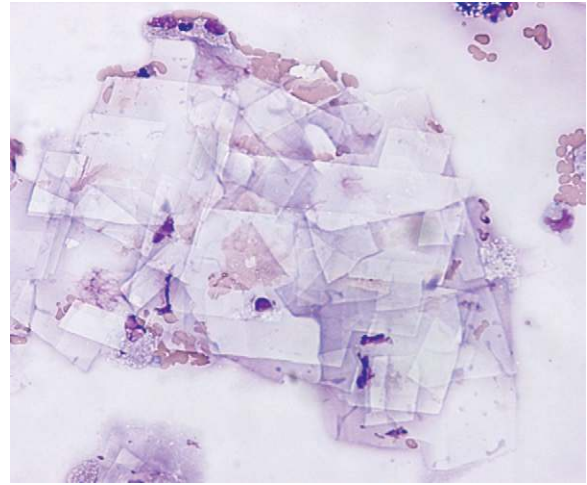
birefringence with their color opposite to that observed for MSU crystals. CPPD crystals are blue when their longitudinal axes are parallel to the red compensator plate and yellow when their longitudinal axes are perpendicular (Fig. 11.4B and C).



**FIG. 11.4** **A**, Calcium pyrophosphate dihydrate crystal in joint fluid; brightfield microscopy. **B**, Calcium pyrophosphate dihydrate crystal appears blue; its axis is parallel to that of the red compensator plate (polarizing microscopy). **C**, Calcium pyrophosphate dihydrate crystal appears yellow; its axis is perpendicular to the axis of the red compensator plate (polarizing microscopy).

### Cholesterol Crystals

Note that cholesterol crystals are best identified using a wet prep or an “unstained” cytopsin slide because Wright-Giemsa staining can cause cholesterol crystals to dissolve. Cholesterol crystals usually appear as flat, rectangular plates with notched corners (Fig. 11.5). However, rhomboid or needle-like forms that resemble MSU and CPPD crystals have also been



**FIG. 11.5** Cholesterol crystals in joint fluid; brightfield microscopy.

observed in synovial fluid. Under polarizing microscopy, the birefringence of cholesterol crystals varies with the thickness of the crystal. Associated with chronic inflammatory conditions (e.g., rheumatoid arthritis), cholesterol crystals are considered nonspecific and frequently occur in chronic effusions found in other body cavities.

Cholesterol globules or droplets can also be observed in synovial fluid. When using polarizing microscopy, cholesterol droplets will show *positive* birefringence, in contrast to *negative* birefringence seen with MSU spherules. Note that starch granules also demonstrate positive birefringence (Fig. 11.6C), but they can be distinguished from cholesterol by their morphology when observed using brightfield microscopy.

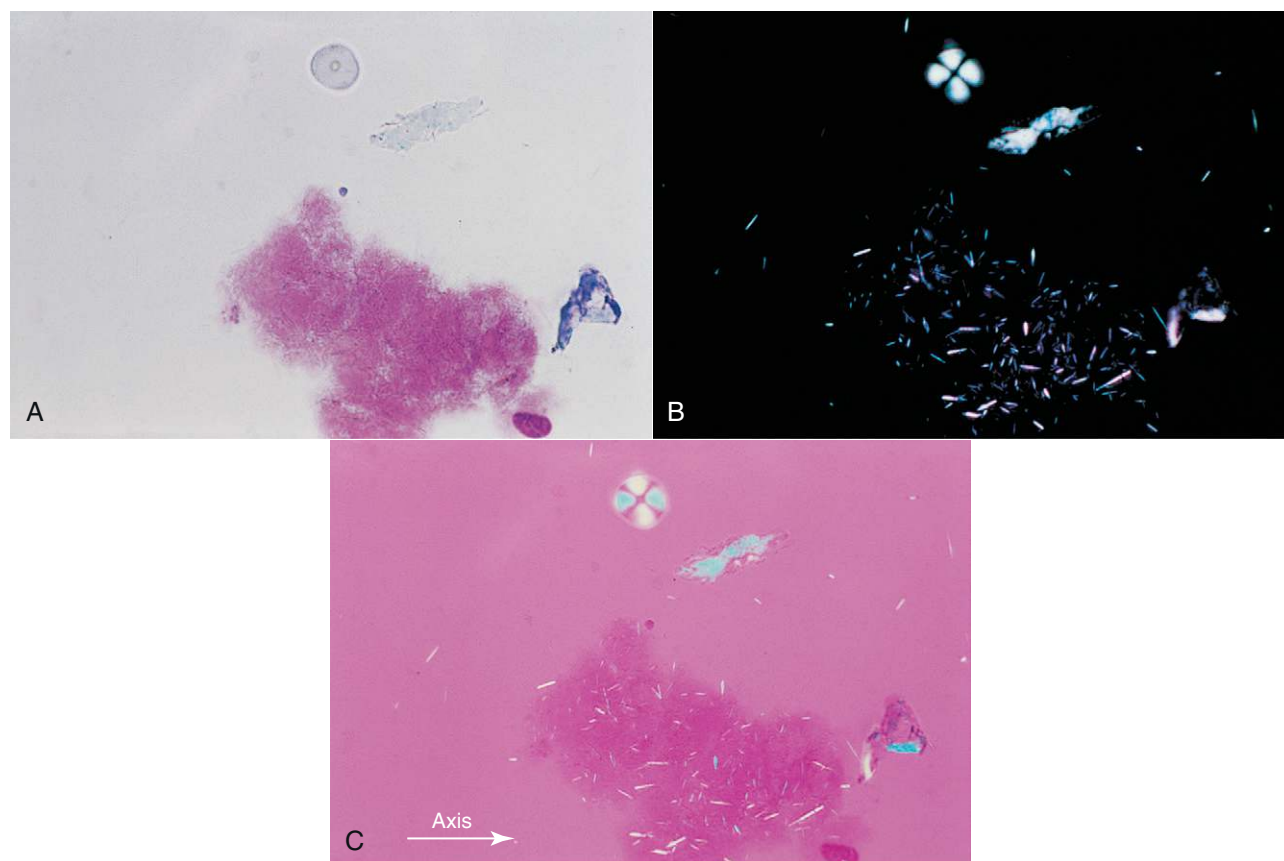
### Hydroxyapatite Crystals

Hydroxyapatite crystals are present intracellularly in WBCs and require electron microscopy for visualization. They are tiny, needle-like crystals and are not birefringent. Hydroxyapatite crystals are associated with conditions characterized by calcific deposition and are collectively termed *apatite-associated arthropathies*. Apatite is the principal component of bone and is also present in cartilage. In crystalline form, hydroxyapatite crystals can induce an acute inflammatory reaction similar to that caused by monosodium urate and CPPD crystals.<sup>10</sup>

### Corticosteroid Crystals

Because corticosteroid crystals can be found in synovial fluid for months after intraarticular injection, the laboratory must be informed of any injections when requested to do a synovial fluid microscopic examination. The crystals are often irregular, having jagged or serrated edges or appearing as broken pieces of variable sizes. Depending on the drug preparation used, corticosteroid crystals can closely resemble monosodium urate or CPPD crystals but yield conflicting results based on their birefringence (Fig. 11.7). In fact, laboratories can use betamethasone acetate (Celestone, Soluspan) as a microscopic control material because its crystals closely resemble MSU





**FIG. 11.6** Synovial fluid with mass of hyaluronate, small monosodium urate (MSU) crystals, starch granule, and fibers. Cytocentrifuged preparation, Wright's stain,  $\times 400$ . **A**, Brightfield microscopy; starch granule and fiber. Note that no crystals are evident in the pink mass. **B**, Polarizing microscopy; presence of MSU crystals is evident, fibers have strong birefringence, and the starch granule shows a typical Maltese cross pattern. **C**, Compensated polarizing microscopy; needle-shaped crystals with their long axis parallel to the red compensator plate are yellow, which indicates that the crystals have negative birefringence and are MSU. Note that the starch granule has positive birefringence (i.e., quadrants parallel to axis of compensator are blue). The fibers show both negative and positive birefringence. From Ringsrud KM, Linne JJ: *Urinalysis and body fluids: a color text and atlas*, St. Louis, 1995, Mosby.

morphologically and exhibit similar negative birefringence. Corticosteroid crystals have no clinical significance other than indicating previous injection of the drug into the joint.

### Calcium Oxalate Crystals

Calcium oxalate dihydrate (*Weddellite*) and calcium oxalate monohydrate (*Whewellite*) crystals can be present in synovial fluid and are associated with two conditions: (1) patients that have been undergoing renal dialysis for a long time and (2) patients with primary hyperoxaluria—a rare, inherited liver disorder that results in increased production of oxalate in the body.

Calcium oxalate dihydrate (*Weddellite*) crystals have a characteristic eight-faced bipyramidal structure whereas calcium oxalate monohydrate (*Whewellite*) crystals are ovoid or dumbbell-shaped (hourglass) (Fig. 11.8A).

### Hematin Crystals

Hematin crystals are readily apparent using brightfield microscopy and are distinctively golden yellow-brown,

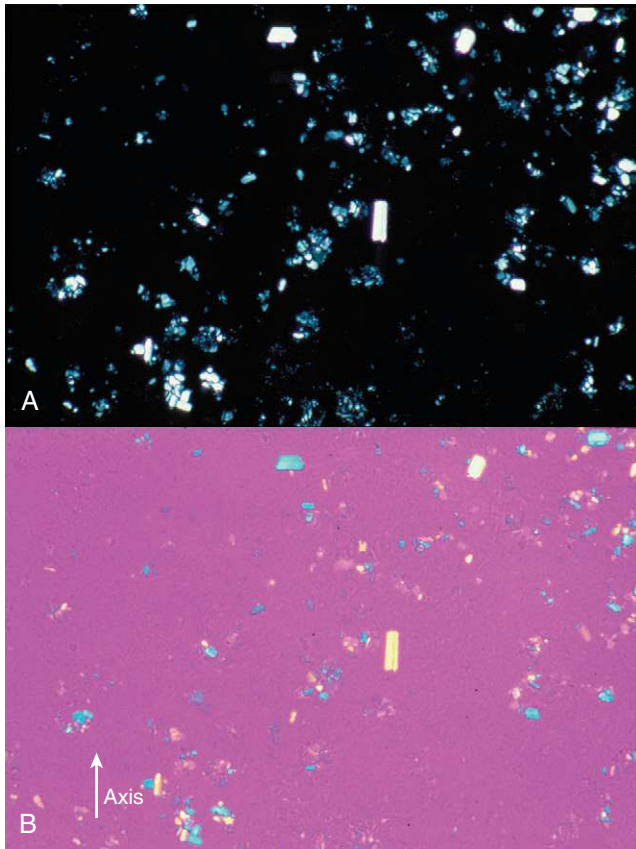
rhomboid-shaped crystals. Their presence indicates that a hemorrhagic event (i.e., a bleed) previously occurred in the joint. When significant blood is released into synovial fluid, the RBCs are taken up by monocyte/macrophages and their hemoglobin is catabolized. This process of hemoglobin degradation and eventual formation of hematin crystals takes about 2 weeks.

Because of hematin's rhomboid shape, these crystals look similar to CPPD crystals. Note, however, that the distinct yellow color of hematin crystals under brightfield microscopy should readily differentiate them (Fig. 11.8B).

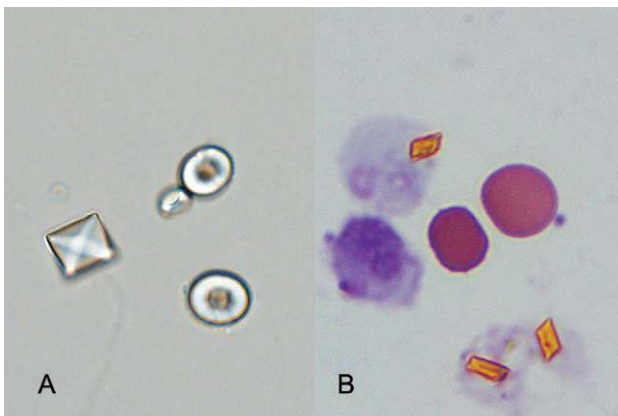
### Artifacts

Numerous artifacts in synovial fluid show birefringence using polarizing microscopy and differentiating artifacts from crystals is necessary (see Fig. 11.6). Birefringent artifacts include anticoagulant crystals, starch granules from examination gloves (see Table 18.1), cartilage and prosthesis fragments, collagen fibers, fibrin, and dust particles. An





**FIG. 11.7** Synovial fluid with corticosteroid drug (triamcinolone diacetate [Aristocort]) crystals present. Note their conflicting morphology (suggests calcium pyrophosphate dihydrate [CPPD]) and strong negative birefringence (suggests monosodium urate [MSU]). Wet preparation, unstained; polarizing microscopy,  $\times 400$ . **A**, Many strongly birefringent drug crystals that morphologically resemble CPPD using polarizing microscopy. **B**, Drug crystals with their long axes parallel to the axis of the red compensator plate are yellow, suggesting MSU crystals. From Ringsrud KM, Linne JJ: *Urinalysis and body fluids: a color text and atlas*, St. Louis, 1995, Mosby.



**FIG. 11.8** **A**, A single calcium oxalate dihydrate (*Wheddellite*) crystal and several calcium oxalate monohydrate (*Whewellite*) crystals; brightfield microscopy. **B**, Three hematin crystals. Note their distinctive golden yellow-brown color; also present are RBCs and WBCs. Brightfield microscopy.

experienced microscopist is able to differentiate artifacts based on their irregular or indistinct morphologic appearance compared to crystals. Note that anticoagulant-associated crystals (e.g., calcium oxalate, powdered EDTA) can also be phagocytosed by WBCs after collection and storage. Therefore only sodium heparin or liquid EDTA, which do not form crystals, should be used as an anticoagulant for synovial fluid specimens.

## CHEMICAL EXAMINATION

Although numerous chemical constituents in synovial fluid can be analyzed, few analytes provide diagnostically useful information. Regardless of joint disease, some analytes such as uric acid maintain the same concentration in synovial fluid as in blood plasma, and they are often monitored using blood plasma measurements. In contrast, joint diseases can cause other analytes (e.g., glucose) to differ in synovial fluid concentration compared to blood plasma. In these conditions, determination of the plasma–synovial fluid difference can aid in identification and differentiation of the joint disease. Currently, lipid (cholesterol, triglycerides) and enzyme analysis of synovial fluid has limited clinical value in rare cases of joint disorders, thus these chemical tests are rarely performed on synovial fluid.

### Glucose

To evaluate synovial fluid glucose concentrations, a blood sample must be drawn at the same time that the arthrocentesis is performed. In a healthy *fasting* patient, the glucose concentrations in the blood and in synovial fluid are equivalent. In other words, the plasma–synovial fluid glucose difference is equal to or less than 10 mg/dL (0.55 mmol/L) (see [Table 11.2](#)). In contrast, because of the time required in vivo for this dynamic equilibrium to occur, the plasma–synovial fluid glucose difference observed in a *nonfasting* patient can be greater than 10 mg/dL (greater than 0.55 mmol/L). Another guideline for a *nonfasting* patient is that the synovial fluid glucose is considered significantly low when the glucose concentration is less than half that of the plasma glucose value.

With various joint diseases, the concentration of glucose in synovial fluid is decreased, which causes an increased plasma–synovial fluid glucose difference. Typically, in non-inflammatory and hemorrhagic joint disorders, the plasma–synovial fluid difference is less than 20 mg/dL (<1.11 mmol/L). When the difference is greater than 20 mg/dL (>1.11 mmol/L) or greater than 40 mg/dL (>2.22 mmol/L), it is suggestive of inflammatory or septic conditions, respectively (see [Table 11.2](#)).

Synovial fluid specimens for glucose quantitation should be assayed within 1 hour of collection,<sup>2</sup> or, when quantitation will be delayed, a portion of the specimen should be placed into a sodium fluoride anticoagulant tube (gray top). These precautions will eliminate falsely low glucose values due to the glycolytic activity of WBCs that may be present in the fluid.

## Total Protein

Normally, the total protein concentration of synovial fluid is approximately one-third the protein concentration of blood plasma. An increased amount of protein in the synovial fluid results from changes in the permeability of the synovial membrane or from increased synthesis within the joint. A variety of joint diseases (e.g., rheumatoid arthritis, crystal synovitis, septic arthritis) routinely have increased protein levels. However, determination of the synovial fluid protein content does not assist in the differential diagnosis of joint disease or in its treatment. Rather, increased total protein in synovial fluid indicates only the presence of an inflammatory process in the joint. Consequently, synovial fluid protein determinations are not performed routinely.

## Uric Acid

The uric acid concentration in synovial fluid is equivalent to that in blood plasma; hence an increased plasma uric acid level, along with patient symptoms, usually enables the clinician to establish a diagnosis of gout. Gout is frequently diagnosed by the presence of MSU crystals in the synovial fluid. In cases in which MSU crystals are not observed microscopically, plasma or synovial fluid uric acid levels can be particularly valuable. It is worth noting that some individuals with gout do not have an increased plasma uric acid level. Therefore uric acid concentration alone cannot be used to diagnose gout.

## Lactate

Increased synovial fluid lactate concentrations are believed to result from anaerobic glycolysis in the synovium. With severe inflammatory conditions comes an increased demand for energy, and tissue hypoxia can occur. Although the lactate level in synovial fluid can be determined easily, its clinical use remains uncertain. Some conditions of the joint, particularly septic arthritis, have been associated with significantly increased synovial fluid lactate levels. In contrast, gonococcal arthritis presents with normal or low lactate levels. Despite numerous studies, the clinical value of routine lactate quantitation in synovial fluid has yet to be established.

## MICROBIOLOGICAL EXAMINATION

### Gram Stain

To aid in the differential diagnosis of joint disease, the routine examination of synovial fluid includes a Gram stain, preferably a cytocentrifuged smear, and routine aerobic and anaerobic cultures. When Gram stains are positive, they provide immediately useful clinical and diagnostic information. Most infectious agents in synovial fluid are bacteria that originate from the bloodstream (bacteremia); other infective agents in synovial fluid include fungi, viruses, and mycobacteria. The sensitivity of the Gram stain depends on the organism

involved; approximately 75% of patients with staphylococcal infection (Gram-positive cocci), 50% of patients with Gram-negative organisms, and 40% of patients with gonococcal infection (Gram-negative cocci) are identified as positive by Gram stain.

*Staphylococcus aureus* is the most frequent causative organism of septic arthritis, with the incidence of methicillin-resistant *S. aureus* increasing. The second most common organisms are *Streptococcus* spp.—*Streptococcus pyogenes*, *Streptococcus pneumoniae*, and *Streptococcus agalactiae* (in infants < 2 months).<sup>13</sup> In more than 20% of septic arthritis cases, Gram-negative cocci are involved, with *Neisseria gonorrhoeae* and *Neisseria meningitidis* predominating.<sup>13</sup> Other bacteria involved in infectious arthritis include Gram-negative bacilli such as *Haemophilus influenzae* (pediatric population), *Escherichia coli*, *Proteus mirabilis*, *Klebsiella*, and *Enterobacter*.

### Culture and Molecular Methods

Whether or not the Gram stain is positive, all synovial fluid specimens should be cultured. In most cases of bacterial or septic arthritis, the synovial fluid culture is positive. Recovery of microbial organisms requires careful and rapid processing of a fresh synovial fluid specimen. Specimens should be maintained at room temperature and cultures set up as soon as possible, within 24 hours of collection. Special culture media considerations are required if fungal, mycobacterial, and anaerobic organisms are suspected, hence consultation between the clinician and the microbiology laboratory is important.

Molecular methods using the polymerase chain reaction (PCR) are currently used to identify difficult-to-detect microorganisms, such as *Borrelia burgdorferi*, which causes Lyme arthritis, and *Mycobacterium tuberculosis*, which can cause osteoarticular tuberculosis.

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## STUDY QUESTIONS

1. Which of the following tasks is a function of synovial fluid?
  1. Providing lubrication for a joint
  2. Assisting in the structural support of a joint
  3. Transporting nutrients to articular cartilage
  4. Synthesizing hyaluronate and degradative enzymes
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.
2. Which of the following statements is a characteristic of normal synovial fluid?
  - A. Synovial fluid is viscous.
  - B. Synovial fluid is slightly turbid.
  - C. Synovial fluid is dark yellow.
  - D. Synovial fluid forms small clots on standing.
3. Which of the following components is not normally present in synovial fluid?
  - A. Fibrinogen
  - B. Neutrophils
  - C. Protein
  - D. Uric acid
4. Which of the following substances will not increase the turbidity of synovial fluid?
  - A. Fat
  - B. Crystals
  - C. Hyaluronate
  - D. White blood cells
5. Abnormally decreased viscosity in synovial fluid results from
  - A. mucin degradation by leukocytic lysosomes.
  - B. overproduction of synovial fluid by synoviocytes.
  - C. autoimmune response of synoviocytes in joint disease.
  - D. depolymerization of hyaluronate by neutrophilic enzymes.
6. A synovial fluid specimen is received in the laboratory 2 hours after collection. Which of the following changes to the fluid will most likely have taken place?
  - A. The specimen will have clotted.
  - B. The uric acid concentration will have decreased.
  - C. Crystals may have precipitated or dissolved.
  - D. The lactate concentration will have decreased because of anaerobic glycolysis.
7. Which of the following anticoagulants *does not* have the potential to precipitate out in crystalline form when used for synovial fluid specimens?
  - A. Sodium citrate
  - B. Sodium heparin
  - C. Lithium heparin
  - D. Potassium oxalate
8. A synovial fluid specimen has a high cell count and requires dilution to be counted. Which of the following diluents should be used?
  - A. Normal saline
  - B. Dilute acetic acid (2%)
  - C. Dilute methanol (1%)
  - D. Phosphate buffer solution (0.050 mol/L)
9. Which of the following results from synovial fluid analysis indicates a joint disease process?
  - A. A few synoviocytes present in the fluid
  - B. A white blood cell count lower than 200 cells/ $\mu$ L
  - C. A red blood cell count lower than 2000 cells/ $\mu$ L
  - D. A differential count showing greater than 25% neutrophils
10. Differentiation of synovial fluid crystals, based on their birefringence, is achieved using
  - A. transmission electron microscopy.
  - B. phase-contrast microscopy.
  - C. direct polarizing microscopy.
  - D. compensated polarizing microscopy.

11. The microscopic examination of synovial fluid for crystals can be difficult because
1. numerous artifacts are also birefringent.
  2. few crystals may be present.
  3. free-floating crystals can become enmeshed or hidden in fibrin.
  4. different crystals can closely resemble each other morphologically.
- A. 1, 2, and 3 are correct.  
B. 1 and 3 are correct.  
C. 4 is correct.  
D. All are correct.
12. Which of the following crystals characteristically occurs in patients with gout?
- A. Cholesterol crystals  
B. Hydroxyapatite crystals  
C. Monosodium urate crystals  
D. Calcium pyrophosphate dihydrate crystals
13. In synovial fluid, which of the following crystals is not birefringent?
- A. Cholesterol crystals  
B. Hydroxyapatite crystals  
C. Monosodium urate crystals  
D. Calcium pyrophosphate dihydrate crystals
14. Assuming that a patient is fasting, which of the following analytes is normally present in the synovial fluid in essentially the same concentration as in the blood plasma?
1. Glucose
  2. Lactate
  3. Uric acid
  4. Protein
- A. 1, 2, and 3 are correct.  
B. 1 and 3 are correct.  
C. 4 is correct.  
D. All are correct.
15. Which of the following findings provides a definitive diagnosis of a specific joint condition?
- A. Staphylococcal bacteria identified by Gram stain  
B. Corticosteroid crystals identified during the microscopic examination  
C. A plasma–synovial fluid glucose difference exceeding 20 mg/dL  
D. Greater than 25 white blood cells/ $\mu$ L observed during the microscopic examination
16. Analysis of a synovial fluid specimen reveals the following:
- Cloudy, yellow-green fluid of low viscosity
  - Total leukocyte count of 98,000 cells/ $\mu$ L
  - Plasma–synovial fluid glucose difference of 47 mg/dL
- Based on the information provided and [Table 11.2](#), this specimen most likely would be classified as
- A. noninflammatory.  
B. inflammatory.  
C. septic.  
D. hemorrhagic.
17. An analysis of a synovial fluid specimen reveals the following:
- Yellow fluid of high viscosity
  - Total leukocyte count of 300 cells/ $\mu$ L
  - Plasma–synovial fluid glucose difference of 17 mg/dL
- Based on the information provided and [Table 11.2](#), this specimen would most likely be classified as
- A. noninflammatory.  
B. inflammatory.  
C. septic.  
D. hemorrhagic.
-



## CASE 11.1

A 51-year-old man has painful swelling in both knees. He is hospitalized and an arthrocentesis is scheduled for the next morning. In the morning, a fasting blood sample is drawn for routine chemistry tests. Synovial fluid is aspirated from the right knee and submitted to the laboratory.

**Blood Chemistry Results**

	Reference Interval
Glucose, fasting: 85 mg/dL	≤ 110 mg/dL
Uric acid: 12.7 mg/dL	2.6–8.0 mg/dL

**Synovial Fluid Results**

Physical Examination	Microscopic Examination	Chemical Examination
Color: yellow	WBC count: 43,000 cells/ $\mu$ L	Glucose: 55 mg/dL
Clarity: cloudy	Differential count:	Uric acid: 12.4 mg/dL
Viscosity: decreased	Monocytes: 24%	Total protein: 4.0 g/dL
	Lymphocytes: 13%	Lactate: 19 mg/dL
	Neutrophils: 63%	
	Crystals: many intracellular needle-shaped crystals; negative birefringence	
	Gram stain: no organisms seen; many leukocytes present	

- List any abnormal results.
- Calculate the plasma–synovial fluid glucose difference.
- Based on the results obtained, this synovial fluid specimen should be classified as
  - normal.
  - noninflammatory (group I).
  - inflammatory (group II).
  - septic (group III).
  - hemorrhagic (group IV).
- What is the most likely identity of the crystals observed in the synovial fluid?
  - Cholesterol
  - Corticosteroid
  - Hydroxyapatite
  - Calcium pyrophosphate dihydrate
  - Monosodium urate
- These results are most consistent with a diagnosis of
  - gouty arthritis.
  - pseudogout.
  - rheumatoid arthritis.
  - bacterial infection.
  - traumatic arthritis, with previous corticosteroid injection.
- If no crystals were observed in the microscopic examination, would you change the diagnosis? Why or why not?

## CASE 11.2

A 37-year-old woman has persistent and painful swelling in her left knee several days after arthroscopic repair of a torn ligament (i.e., medial meniscus). A fasting blood sample is drawn for routine chemistry tests. Arthrocentesis is performed, and the synovial fluid is submitted to the laboratory.

**Blood Chemistry Results**

	Reference Interval
Glucose, fasting: 79 mg/dL	≤ 110 mg/dL
Uric acid: 6.2 mg/dL	2.6–8.0 mg/dL

**Synovial Fluid Results**

Physical Examination	Microscopic Examination	Chemical Examination
Color: yellow	WBC count: 97,000 cells/ $\mu$ L	Glucose: 35 mg/dL
Clarity: cloudy	Differential count:	Uric acid: 5.9 mg/dL
Viscosity: decreased	Monocytes: 13%	Total protein: 5.3 g/dL
	Lymphocytes: 5%	Lactate: 35 mg/dL
	Neutrophils: 82%	
	Crystals: none present	
	Gram stain: Gram-positive cocci present; many leukocytes present	

- List any abnormal results.
- Calculate the plasma–synovial fluid glucose difference.
- Based on the results obtained, this synovial fluid specimen should be classified as
  - normal.
  - noninflammatory (group I).
  - inflammatory (group II).
  - septic (group III).
  - hemorrhagic (group IV).
- These results are most consistent with a diagnosis of
  - gouty arthritis.
  - pseudogout.
  - rheumatoid arthritis.
  - bacterial infection.
  - traumatic arthritis, with previous corticosteroid injection.

## CASE 11.3

A 25-year-old professional football player is in an automobile accident. His recovery is complete except for persistent swelling in his left knee. This same knee had been a chronic problem before the accident, and he had received a corticosteroid intraarticular injection 6 months earlier. An arthrocentesis is scheduled, along with the collection of a fasting blood sample for routine chemistry tests. The blood and synovial fluid are submitted to the laboratory.

## Blood Chemistry Results

	Reference Interval
Glucose, fasting: 95 mg/dL	≤110 mg/dL
Uric acid: 5.7 mg/dL	2.6–8.0 mg/dL

## Synovial Fluid Results

Physical Examination	Microscopic Examination	Chemical Examination
Color: yellow	WBC count: 950 cells/μL	Glucose: 80 mg/dL
Clarity: slightly cloudy	Differential count: Monocytes: 65%	Uric acid: 5.7 mg/dL
Viscosity: normal	Lymphocytes: 17%	Total protein: 5.5 g/dL
	Neutrophils: 18%	Lactate: 21 mg/dL
	Crystals: few needle-shaped crystals; negative birefringence	
	Gram stain: no organisms seen; few leukocytes present	

- List any abnormal results.
- Calculate the plasma–synovial fluid glucose difference.
- Based on the results obtained, this synovial fluid specimen should be classified as
  - normal.
  - noninflammatory (group I).
  - inflammatory (group II).
  - septic (group III).
  - hemorrhagic (group IV).
- Based on the results obtained, what is the most likely identity of the crystals observed in the synovial fluid?
  - Cholesterol
  - Corticosteroid
  - Hydroxyapatite
  - Calcium pyrophosphate dihydrate
  - Monosodium urate
- These results are most consistent with a diagnosis of
  - gouty arthritis.
  - pseudogout.
  - rheumatoid arthritis.
  - bacterial infection.
  - traumatic arthritis, with previous corticosteroid injection.

# Seminal Fluid Analysis

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. Discuss the composition of seminal fluid and briefly describe the function of each of the following structures in seminal fluid formation:
  - Epididymis
  - Interstitial cells of Leydig
  - Prostate gland
  - Seminal vesicles
  - Seminiferous tubules
2. Outline the maturation of sperm (spermatozoa) and identify the morphologic structures in which each maturation phase occurs.
3. Summarize the collection of seminal fluid for analysis, including the importance of timing and recovery of the complete specimen.
4. Describe the performance of the physical examination (appearance, volume, and viscosity) of seminal fluid and the results expected from a normal specimen.
5. Describe the procedures used to evaluate the following characteristics of sperm in seminal fluid, state the normal range for each parameter, and relate each function to male fertility:
  - Agglutination
  - Concentration
  - Morphology
  - Motility
  - Viability
6. Identify and describe the morphologic appearance of normal and abnormal forms of spermatozoa.
7. Discuss the origin and clinical significance of cells other than sperm in the seminal fluid.
8. Discuss briefly the role of quantifying the following biochemical substances in seminal fluid and identify the structure evaluated by each substance:
  - Acid phosphatase
  - Citric acid
  - Fructose
  - pH
  - Zinc

## CHAPTER OUTLINE

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## KEY TERMS<sup>1</sup>

epididymis

interstitial cells of Leydig

liquefaction

prostate gland

seminal fluid (also called *semen*)

seminal vesicles

seminiferous tubules

sperm (also called *spermatozoa*)

vasectomy

viscosity

<sup>1</sup>Definitions are provided in the chapter and glossary.

**Seminal fluid**, or semen, is a complex body fluid used to transport **sperm** or spermatozoa. It is analyzed routinely to evaluate infertility and to follow up after a **vasectomy** to ensure its effectiveness. Other reasons for analysis include the evaluation of semen quality for donation purposes and forensic applications (e.g., DNA analysis, detection of semen). Familiarity with the male reproductive tract and its various functions facilitates understanding of the physical, microscopic, and biochemical abnormalities that can occur in semen.

## PHYSIOLOGY

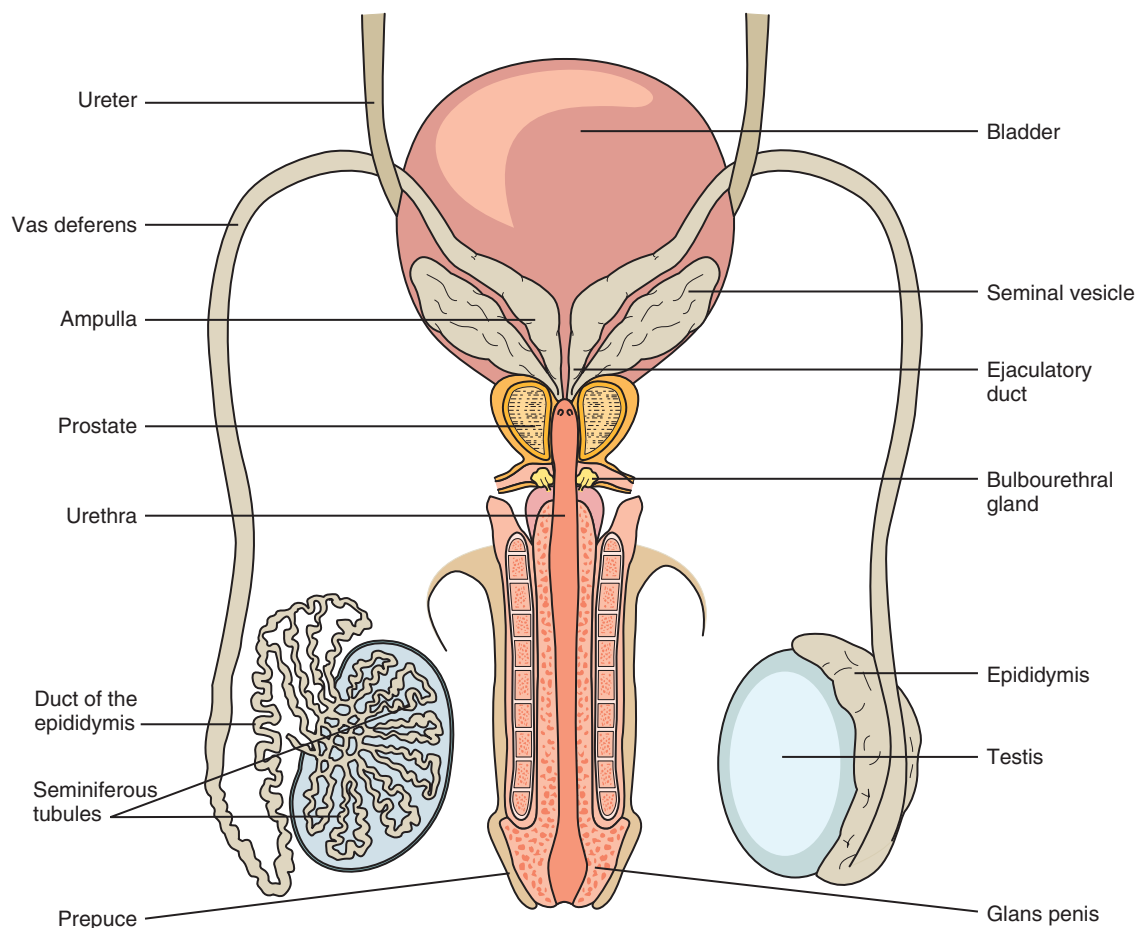
Semen is composed primarily of secretions from the testes, epididymis, seminal vesicles, and prostate gland, with a small amount derived from the bulbourethral glands. The biochemical composition of semen is complex. Although the specific functions of some components (e.g., fructose) are known, the functions of others (e.g., prostaglandins) remain uncertain. The testes are paired glands suspended in the scrotum and located outside the body (Fig. 12.1). Their external location allows for the lower organ temperature necessary for sperm formation.

The testes perform both an exocrine function by the secretion of sperm and an endocrine function by the secretion of

testosterone. These two functions are interdependent and are regulated by two pituitary hormones: follicle-stimulating hormone and luteinizing hormone. The cells responsible for these two functions are distinctly different. Sperm production is regulated by Sertoli cells in the **seminiferous tubules**, whereas production and secretion of the male sex hormone, testosterone, is the responsibility of the **interstitial cells of Leydig**, which are located in the *interstitium* of the testes, between the seminiferous tubules.

Sertoli cells of the seminiferous tubular epithelium have several functions. Because of their tight interconnections, they essentially form a barrier that separates the epithelium into two distinct compartments: the basal compartment (i.e., germ cell layer) and the adluminal compartment (i.e., epithelium nearest the tubular lumen). As this barrier, or gatekeeper, they limit the movement of chemical substances from the blood into the tubular lumen—playing a role in supplying nutrients, hormones, and other substances necessary for normal spermatogenesis. They also control the movement of spermatocytes from the germ cell layer into the adluminal compartment. Last, they continuously produce a fluid that carries the newly produced immotile sperm into the lumen of the seminiferous tubules and on to the epididymis.

The *epithelium* of the numerous coiled seminiferous tubules consists of Sertoli and germ cells. The undifferentiated germ



**FIG. 12.1** A schematic diagram of the male reproductive tract.



cells (spermatogonia) continuously undergo mitotic division to produce more germ cells. At the same time, some of them move slowly toward the tubular lumen, changing in size and undergoing meiotic (reduction) division until they form spermatids. Fig. 12.2 depicts spermatogenesis in the seminiferous tubular epithelium with all stages of spermatogenesis depicted. Spermatogonia (germ cells) evolve into spermatocytes and then spermatids. With nuclear modification and cellular restructuring, spermatids ultimately differentiate into immotile sperm.

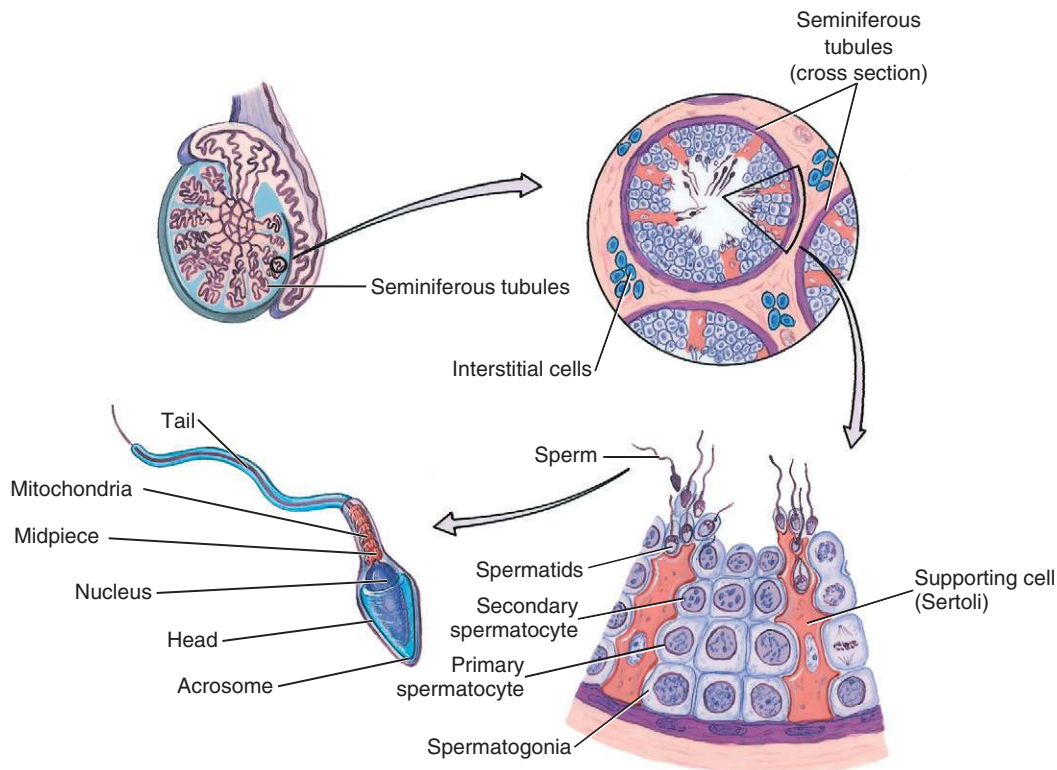
When Sertoli cells release sperm into the lumen of the seminiferous tubules, they are nonmotile and still immature. Luminal fluid from Sertoli cells carries the sperm into the tubular network of the **epididymis**, where they undergo final maturation and become motile. The epididymis also adds carnitine and acetylcarnitine to the lumen fluid. Although the exact function of these chemicals remains to be elucidated, abnormal levels of them have been associated with infertility. Other functions of the epididymis include the concentration of sperm by the absorption of lumen fluid and their storage until ejaculation. After a vasectomy, the epididymis is the site of leukocyte infiltration and phagocytization of accumulated sperm.

The epididymis ultimately forms a single duct that joins the vas deferens. The vas deferens is a thick-walled muscular tube that transports sperm from the epididymis to the ejaculatory duct, and the dilated end of the vas deferens is located inferior to the bladder. Secretions from the seminal vesicles

are added at the ejaculatory duct. Both ejaculatory ducts then pass through the prostate gland and empty into the prostatic urethra along with secretions from the prostate. All structures preceding the prostate gland are paired (e.g., two ejaculatory ducts, two seminal vesicles, two testes).

The **seminal vesicles** and the **prostate gland** are considered accessory glands of the male reproductive system and are testosterone dependent. They produce and store fluids that provide the principal transport medium for sperm. Seminal vesicle fluid accounts for approximately 70% of the ejaculate and is high in flavin. Flavin imparts the characteristic gray or opalescent appearance to semen and is responsible for its green-white fluorescence under ultraviolet light.<sup>1</sup> Another characteristic of seminal vesicle fluid is its high concentration of fructose, believed to serve as a nutrient for spermatozoa. The various proteins secreted by the seminal vesicles play a role in coagulation of the ejaculate, whereas the function of prostaglandins remains under investigation. (Prostaglandins were originally thought to be a prostatic gland secretion, hence their misnaming.)

Prostatic fluid secretions account for approximately 25% of the ejaculate volume. The principal components of this milky, slightly acidic fluid are citric acid; enzymes, particularly acid phosphatase and proteolytic enzymes; proteins; and zinc. Semen is unique in its high concentration of the enzyme acid phosphatase, hence acid phosphatase activity can be used to positively identify the presence of this body fluid. Proteins and some enzymes in prostatic secretions play a role in coagulation of the



**FIG. 12.2** A schematic diagram of spermatogenesis from germ cells in the seminiferous tubules. (From Applegate E: *The anatomy and physiology learning system*, ed 4, Philadelphia, 2011, Saunders.)

ejaculate, whereas the proteolytic enzymes are responsible for its liquefaction. Zinc is primarily added to semen by the prostate gland; however, the testes and sperm also contribute zinc. Semen zinc levels can be used to evaluate prostate function; a decreased level is associated with prostate gland disorders.

In summary, semen is a highly complex transport medium for sperm. The paired seminal vesicles and the single prostate gland are the major fluid contributors to semen. Sperm produced by the testes are matured and concentrated in the epididymis and make up only a small percentage of an ejaculate. Dilution of sperm by the relatively large volume of seminal fluid at ejaculation enhances sperm motility. Without adequate dilution, sperm motility is significantly reduced. The entire process of spermatogenesis and maturation (i.e., from primary spermatocyte to mature motile spermatozoon) takes approximately 90 days.

## SPECIMEN COLLECTION

Because sperm concentration in normal seminal fluid can vary significantly, two or more samples should be analyzed to evaluate male fertility. Specimen collections should take place within a 3-month period and at least 7 days apart. Sexual abstinence for at least 2 days (48 hours), but not exceeding 7 days, should precede the collection. The patient collects the specimen through masturbation, and the entire ejaculate is collected in a clean, wide-mouth sterile plastic or glass container. Although some plastic containers are toxic to spermatozoa, others are not. Sterile urine specimen or similar containers are often satisfactory, but the laboratory must evaluate them before their use.<sup>2</sup> The collection container should be kept at room temperature or warmed (to approximately body temperature) before the collection to avoid the possibility of cold shock to the sperm. The container can be warmed easily by holding it next to the patient's body or under the arm for several minutes before the collection. This technique can also be used to control the temperature of specimens being transported in cold climates. Specimen containers and request forms must be labeled with the patient's name, the period of sexual abstinence, and the date and time of specimen collection. The time of actual specimen collection is crucial in evaluating liquefaction and sperm motility.

During specimen collection, lubricants and ordinary condoms should not be used because they have spermicidal properties. For patients unable to collect a specimen through masturbation, special nonspermicidal (e.g., Silastic) condoms can be provided for specimen collection.

The collection of seminal fluid requires sensitivity and professionalism. Written and verbal instructions should be provided to the patient, as well as a comfortable and private room near the laboratory. If the specimen is to be collected elsewhere and delivered to the laboratory, clearly written instructions regarding specimen transport conditions must be provided. Specimens must be received in the laboratory within 1 hour after the collection, and they must be protected from extreme temperatures, that is, maintained at 20°C to 40°C.<sup>3</sup> If these criteria are not met, the specimen will not be satisfactory for sperm function tests and an abnormally low sperm motility can result.

Because the ejaculate differs in its composition, only complete collections are acceptable for analysis. Patient instructions must state this clearly, and patients should be asked whether any portion of the specimen was not collected. When a portion of the initial ejaculate is not collected, the sperm concentration will be falsely decreased and, owing to a reduction in prostate secretions, the pH is falsely increased and the coagulum will fail to liquefy. Conversely, when the last portion of an ejaculate is missing (primarily seminal vesicle fluid), the semen volume will be decreased, the sperm concentration falsely increased, the pH falsely decreased, and a coagulum will not form.

As with all body fluids, seminal fluid represents a potential biohazard and must be handled accordingly. Because seminal fluid can contain infectious agents such as hepatitis virus, human immunodeficiency virus, herpes virus, and others, all personnel must adhere to standard precautions (see Chapter 1) when handling these specimens.

## PHYSICAL EXAMINATION

### Appearance

Normal semen is gray-white and opalescent in appearance. A brown or red hue may indicate the presence of blood, whereas yellow coloration has been associated with certain drugs. If large numbers of leukocytes are present, the semen appears more turbid with less translucence. When the specimen appears almost clear, the sperm concentration is usually low. Mucus clumps or strands can be present. Semen has a distinctive odor that is sometimes described as musty. Although infections in the male reproductive tract can modify this odor, a change is rarely noted or reported. Table 12.1 (and Appendix C) summarizes the semen characteristics (physical, microscopic, and chemical parameters) associated with fertility.

Semen is a homogeneous, viscous fluid that immediately coagulates after ejaculation to form a coagulum. Within 30 minutes, the coagulum liquefies (becomes watery). The actual time of specimen collection must be known to evaluate **liquefaction**. Although liquefaction can take longer, any delay beyond 60 minutes is considered abnormal and must be noted. Because complete liquefaction is necessary to perform analysis, semen specimens that do not liquefy completely can be chemically treated (see Appendix D, "Semen Pretreatment Solution"). After normal liquefaction, undissolved gel-like granules or particles can be present in the specimen, with a small amount considered normal.

### Volume

The physical and microscopic analyses of seminal fluid should take place immediately after liquefaction or within 1 hour after collection (for specimens collected away from the laboratory). Specimen volume is measured to one decimal place (0.1 mL) using a sterile serologic pipette (5.0 mL or 10.0 mL). If a semen culture for bacteria is requested, the volume measurement should be performed first using sterile technique. Normally, a complete ejaculate collection recovers 2 to 5 mL of seminal fluid. Volumes less than and greater than this range are considered abnormal and have been associated with infertility.

TABLE 12.1 Semen Characteristics Associated With Fertility

Parameter	Reference Interval <sup>a</sup>	Lower Reference Limit <sup>b</sup>
<b>Physical Examination</b>		
Appearance	Gray-white, opalescent, opaque	
Volume	2-5 mL	1.5 mL (1.4-1.7)
Viscosity/liquefaction	Discrete droplets (watery) within 60 minutes	
<b>Microscopic Examination</b>		
Motility	50% or more with moderate to rapid linear (forward) progression	40% (38-42)
Concentration	20 to 250 × 10 <sup>6</sup> sperm per mL	15 × 10 <sup>6</sup> sperm per mL
Morphology	14% or more have normal morphology	4% normal forms
Vitality	75% or more are alive	58% (55-63)
Leukocytes	Less than 1 × 10 <sup>6</sup> per mL	
<b>Chemical Examination</b>		
pH	7.2-7.8	≥7.2
Acid phosphatase (total)	≥200 U per ejaculate at 37°C ( <i>p</i> -nitrophenylphosphate)	
Citric acid (total)	≥52 μmol per ejaculate	
Fructose (total)	≥13 μmol per ejaculate	≥13 μmol per ejaculate
Zinc (total)	≥2.4 μmol per ejaculate	≥2.4 μmol per ejaculate

<sup>a</sup>Based on the strict criteria evaluation recommended by the World Health Organization WHO (1999) for assessing sperm morphology for fertility purposes.

<sup>b</sup>The one-sided 5th centile lower reference limit recommended by the WHO (2010) for assessing semen characteristics.

## Viscosity

After complete liquefaction, the **viscosity** of the semen is evaluated using a Pasteur pipette and observing the droplets that form when the fluid is allowed to fall by gravity. A normal specimen is watery and forms into discrete droplets. Abnormal viscosity or fluid thickness is indicated by the formation of a string or thread greater than 2 cm in length.<sup>3</sup> A high content of mucus can increase the viscosity. Other conditions associated with increased viscosity include the production of antisperm antibodies and oligoasthenospermia (i.e., decreased concentration and motility of sperm).<sup>4-7</sup>

Grading viscosity varies among laboratories. Numeric terms can be used, with 0 indicating a normal, watery (i.e., forms discrete drops) specimen and 4 indicating a specimen with gel-like consistency.<sup>8</sup> An alternate reporting format uses descriptive terms, such as *normal*, *slightly viscous* (thick), *moderately viscous*, and *extremely viscous* (unable to be aspirated into the pipette).

## MICROSCOPIC EXAMINATION

As in other laboratory areas, standardization of procedures and techniques is necessary to enhance the precision and reproducibility of semen analysis. Once achieved, this standardization enables intralaboratory and interlaboratory comparisons of data. Appropriate quality control measures must also be in place whenever applicable. The World Health Organization (WHO) publication *WHO Laboratory Manual for the Examination and Processing of Human Semen* is an excellent and necessary reference for any laboratory performing semen analysis.<sup>3</sup> Microscopic examination includes the determination of sperm motility, concentration, morphology,

and viability; the concentration of other cells present; and the presence of sperm agglutination. Some laboratories use a single stain for the evaluation of several parameters, such as eosin-nigrosin stain for sperm vitality, morphology, and the identification of other cells, whereas others use different stains that specifically enhance each parameter to aid in the identification and evaluation of sperm and other cells.

## Motility

Motility is one of the most important characteristics of sperm because immotile sperm, even in high concentrations, are unable to reach and fertilize an ovum. Traditionally, the evaluation of sperm motility has been assessed subjectively by experienced technologists. Today, computerized systems that use electro-optical techniques or videography have been developed for semen evaluation. This advanced technology enables objective evaluation of sperm motility and morphology; however, the cost of the equipment precludes many laboratories from acquiring it.

Without an automated system, sperm motility is evaluated subjectively and semiquantitatively using phase-contrast microscopy (brightfield microscopy can also be used with appropriate condenser adjustments). After complete liquefaction, the semen sample is mixed well to ensure homogeneity. A consistent volume of each specimen is evaluated by pipetting a fixed volume (e.g., 10 or 20 μL) of semen onto a microscope slide using a calibrated positive-displacement pipette. The sample is covered with a coverslip of predetermined size (e.g., 18 × 18 mm), and the slide is allowed to settle for about 1 minute before evaluation. To enhance the accuracy and precision of results, wet mounts of each sample should be prepared and evaluated in duplicate.

**TABLE 12.2 Sperm Motility Grading Criteria**

0	Immotile
1	Motile, without forward progression
2	Motile, with slow nonlinear or meandering progression
3	Motile, with moderate linear (forward) progression
4	Motile, with strong linear (forward) progression

Because sperm motility is affected adversely by temperature, some laboratories control the temperature of the microscope slide at 37°C using an air curtain incubator.<sup>8</sup> Others perform the analysis at room temperature (i.e., 22 ± 2°C).

Initially, each wet mount is screened to ensure uniformity in sperm movement throughout the preparation. Next, sperm motility is graded subjectively from 0 to 4 under ×200 (or ×400) magnification. Table 12.2 shows typical grading criteria used to evaluate sperm motility. Some laboratories use a manual cell counter and evaluate the motility characteristics in 100 sperm, whereas others grade the sperm encountered in 6 to 10 high-power fields (×400).

The speed and forward progression of each sperm are evaluated. In normal semen evaluated within 60 minutes of collection, 50% or more of the sperm will show moderate to strong linear or forward progression. The practice in some laboratories of reassessing sperm motility at additional time intervals serves no purpose and has no clinical significance. Physiologically or in vivo, sperm leave the seminal fluid within minutes after ejaculation and enter the cervical mucus. Therefore motility on a microscope slide at later time intervals is irrelevant.

### Concentration and Sperm Count

For fertility purposes, the actual number of sperm is not as important as other characteristics. This fact is supported by studies of fertile men despite low sperm counts (less than 1 million per mL).<sup>9</sup> The concentration of sperm in an ejaculate is considered normal when 20 million to 250 million per mL of sperm are present; values less than or greater than this range are considered abnormal and are associated with infertility. The variation in the sperm concentration within a single individual can be significant and depends partially on the period of sexual abstinence but can also be affected by viral infection and stress. For these reasons, multiple semen specimens should be evaluated to reliably assess the quantity and quality of an individual's sperm.

Manually, the concentration of sperm is determined by using a hemacytometer after preparing an appropriate dilution of the semen. Frequently, a 1:20 dilution is prepared. If during initial microscopic examination the sperm concentration is noted to be exceptionally high or low, a new dilution can be prepared and mounted. All dilutions should be made using a calibrated positive-displacement pipette to deliver the semen quantitatively to a premeasured amount of diluent (see Appendix D for diluents). Note that a hematology white blood cell (WBC) pipette is not accurate for use with seminal

fluid because of its viscosity and should not be used.<sup>3</sup> After the hemacytometer is filled with the well-mixed dilution of semen, it is placed in a humidifying chamber and allowed to settle for 3 to 5 minutes before counting. The type of hemacytometer, the specimen dilution used, and the areas counted determine the conversion factor necessary to obtain the concentration of sperm in millions per mL (see Chapter 17 for procedural details).

Several alternative manual counting methods have been developed, such as the Makler chamber (Sefi Medical Instruments, Ltd., Haifa, Israel), Cell VU chambers (Fertility Technology Inc., Murphy, NC), Horwell (Horwell Ltd., London, UK), Microcell slides (Vitrolife Inc., San Diego, CA), and Leja counting chambers (Leja Products B.V., The Netherlands). Studies vary in their outcomes—some supporting the manual hemacytometer method as the method of choice for sperm counting, with other studies finding better accuracy and precision using an alternative counting chamber.<sup>4,5,10</sup> Regardless of the method used, the dilution of the semen is always a potential source for error and requires the utmost attention to ensure an accurate and reproducible technique. The counting of motile sperm and high sperm concentrations have also been identified as two sources of error. Therefore the WHO states that the “validity of these alternative counting chambers must be established by checking chamber dimensions, comparing results with the improved Neubauer haemocytometer method, and obtaining satisfactory performance as shown by an external quality control program.”<sup>3</sup>

In contrast to sperm concentration (sperm per milliliter), the *sperm count* is the total number of sperm present in the entire ejaculate. This value, often requested by clinicians, is calculated by multiplying the sperm concentration (sperm/mL) by the total volume of the ejaculate.

$$\begin{aligned} \text{Sperm count} &= \text{Sperm concentration (sperm/mL)} \\ &\times \text{Volume of ejaculate (mL)} \end{aligned}$$

**Equation 12.1**

### Postvasectomy Sperm Counts

After a vasectomy, the sperm count in semen ideally should be zero—no sperm present (azoospermia)—within 12 weeks after the procedure. However, studies have shown that *nonmotile* sperm can be present for as long as 21 months post vasectomy regardless of the number of ejaculations. It is postulated that the persistence or reappearance of *nonmotile* sperm in semen collections results from the release of *nonviable* residual sperm in the seminal vesicles and the abdominal portion of the vas deferens. Studies have further demonstrated that despite the presence of low numbers (<1 × 10<sup>6</sup>) of *nonmotile* sperm post vasectomy, these individuals have a very low risk of causing pregnancy (i.e., comparable with azoospermic men).<sup>11</sup>

In clinical practice, most men (≈66%) demonstrate azoospermia within 12 weeks, regardless of the number of ejaculations. Note that the most important feature is not the number of sperm present post vasectomy but the status of their motility. The presence of even a single “motile”



spermatozoon is evidence of an unsuccessful vasectomy (i.e., recanalization of the vas deferens has occurred), whereas low numbers of “immotile” sperm can persist for months in some men ( $\approx 33\%$ ).<sup>11</sup>

## Morphology

Sperm morphology, like motility, is routinely assessed subjectively, hence this qualitative determination is subject to intralaboratory and interlaboratory variations. To minimize these variations, standardized procedures and grading criteria must be established by each laboratory and adhered to by all laboratorians. Because the technical ability to identify and classify various morphologic forms requires experience, new staff members must be trained appropriately and their initial work reviewed to ensure accuracy and consistency in reporting. Sperm morphology is complicated by the wide variation in abnormal forms that can be encountered, and an inexperienced observer can easily miss subtle abnormalities in sperm. The computerized systems used to assess sperm motility can also evaluate sperm morphology.

Sperm morphometry—measurement of the sperm head length, width, circumference, and area—enables the generation of objective data. To be considered normal, sperm must meet strict criteria regarding their size and shape, which can be determined by computerized systems or manually using a microscope with a calibrated ocular micrometer.

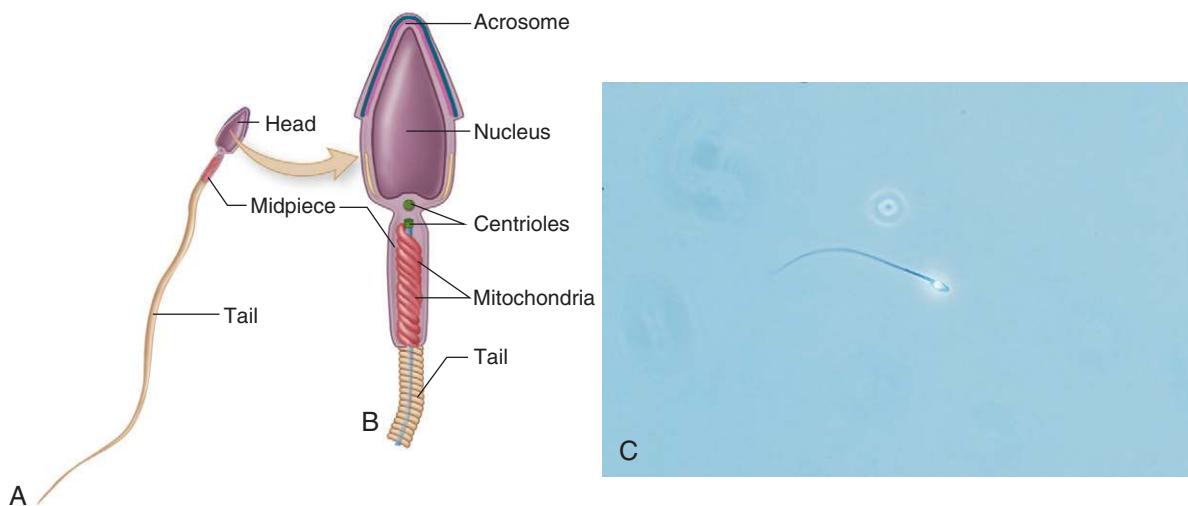
Human sperm have three distinct areas: head, midpiece, and tail. When viewed from the side, sperm appear to be arrowhead-shaped (Fig. 12.3). When viewed from the top, normal human sperm have oval heads that are 2.5 to 3.5  $\mu\text{m}$  in width and 4.0 to 5.0  $\mu\text{m}$  in length. Only sperm lying flat should be evaluated and their head length-to-width ratio should be 1.5 to 1.75. Spermatozoa with values outside these ranges are considered abnormal, and studies have shown statistically significant differences in the head length-to-width ratios of sperm from ejaculates of fertile and infertile men.<sup>6</sup>

The midpiece, located immediately behind the head, is 6 to 7.5  $\mu\text{m}$  long and is thicker than the tail but not greater than

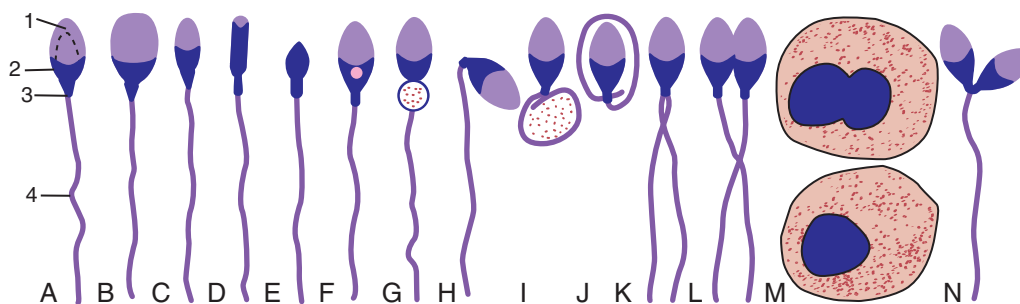
1  $\mu\text{m}$  in width. The tail should be slender, uncoiled, and at least 45  $\mu\text{m}$  long. When a “basic” morphology evaluation is performed, each spermatozoon (single sperm cell) is identified simply as normal or abnormal with the percent of normal forms reported. If a “complete” morphology evaluation is performed, then each spermatozoon is classified using five categories: normal, head defects, midpiece defects, tail defects, and cytoplasmic droplet present. Cytoplasmic droplets are usually located in the midpiece region and are considered abnormal if this region is greater than one-third the area of a normal sperm head. The head can contain vacuoles, but they are not considered abnormal unless they occupy more than 20% of the head. Note that a single spermatozoon can have multiple defects, and each defect is documented. Figure 12.4 depicts a normal spermatozoon and a variety of abnormal forms.

To manually evaluate sperm morphology, smears of fresh semen are made, air dried, and stained. The smears can be made similar to those for traditional blood smears by placing a drop (10–15  $\mu\text{L}$ ) of semen near one end of a clean microscope slide. Using the edge of another slide, the drop is allowed to spread along the edge of the second slide, and then the edge of the second slide is moved forward, dragging the semen sample across the surface of the first slide and producing a smear. An alternate technique involves placing the second slide over the first and allowing the semen to spread between them. Once spreading is complete, the slides are pulled apart and allowed to air dry. Staining enhances the visualization of sperm morphology and enables the identification and differentiation of WBCs, epithelial cells of the urethra, and immature spermatogenic cells (i.e., spermatids, spermatocytes, and spermatogonia). Giemsa, Wright’s, and Papanicolaou stains are frequently used. These stains differ with respect to complexity and turnaround time, hence laboratories select the stain that best suits their needs and resources.

Using oil immersion ( $\times 1000$ ) and an area of the slide where sperm are evenly distributed, 200 sperm are classified. Note that morphologically abnormal sperm are found in all semen specimens. Abnormalities may involve all or only one region



**FIG. 12.3** Spermatozoon, or sperm. **A**, A schematic of a mature sperm. **B**, An enlarged view of the head and midpiece. **C**, A photomicrograph of a single sperm using phase-contrast microscopy,  $\times 400$ . (A and B, From Patton KT, Thibodeau GA: *Anatomy and physiology*, ed 9, St. Louis, 2016, Mosby.)



**FIG. 12.4** Sperm morphology. **A**, Normal spermatozoon: 1, acrosome; 2, postacrosomal cap; 3, midpiece; 4, tail. **B**, Large head. **C**, Tapered head. **D**, Tapered head with acrosome deficiency. **E**, Acrosomal deficiency. **F**, Head vacuole. **G**, Midpiece defect—cytoplasmic droplet. **H**, Bent midpiece. **I** and **J**, Coiled tails. **K**, Double tail. **L**, Pairing phenomenon. **M**, Sperm precursors (spermatids). **N**, Double-headed (bicephalic) sperm.

of the spermatozoon and can affect its size or shape, or both. In addition, numerous sperm variations are found within a single ejaculate. Although some morphologic abnormalities have been associated with particular disorders (e.g., tapered heads with varicocele), most abnormalities are nonspecific.

The reference range associated with normalcy varies with the criteria and the rigor used to evaluate sperm morphology. In some laboratories, a normal sperm morphology of 50% or greater is considered “normal.” However, when strict evaluation criteria are used for fertility purposes as in studies of fertile and subfertile individuals, the number of sperm with normal morphology is significantly lower. In these studies, normal sperm morphology of less than 5% is a strong predictor of infertility, whereas fertility is associated with normal sperm morphology values of 12% to 15% or greater.<sup>12</sup> Between fertile and subfertile individuals, wide overlap exists in the percentage of sperm with normal morphology. Other variables, particularly sperm concentration and progressive motility, combined with sperm morphology provide the greatest predictive value in assessing male fertility.

### Automated Semen Analysis Systems

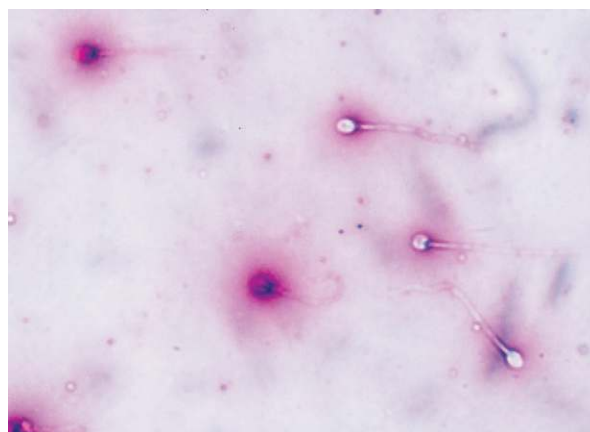
Several automated systems for the analysis of semen are available, and studies comparing their performance with the conventional manual method have demonstrated acceptable agreement.<sup>13,14</sup> Two systems are the SQA-V GOLD analyzer (Medical Electronic Systems Ltd., Caesarea Industrial Park, Israel) and the CEROS computer-aided sperm analysis (CASA) systems (Hamilton Thorne, Beverly, MA). These analyzers measure sperm concentration, total sperm number (sperm count), total motility, progressive motility, nonprogressive motility, normal morphology, motile sperm concentration, and progressively motile sperm concentration. The SQA-V analyzer is a fully automated system that determines semen parameters using electro-optical signals generated by moving spermatozoa and proprietary computer algorithms, as well as spectrophotometry. In contrast, CASA systems require operator interaction to determine a variety of settings, and these systems are image-based—rapid, successive frames of microscopic images are captured and processed by proprietary computer software to detect motile and immotile spermatozoa.

Another difference in automated systems is the amount of semen sample required for analysis. The SQA-V requires 0.5 mL, whereas CASA systems use volumes of 10 to 50  $\mu$ L. It has been suggested that the larger volume used by the SQA-V is most likely responsible for its improved precision compared with the CASA systems.<sup>13</sup>

Significant amounts of debris or a high WBC concentration in the semen sample can potentially interfere with accurate analysis by automated analyzers. However, if these features are determined to be present before analysis—by visualizing the sample microscopically—analyzer adjustments can be made to compensate. Despite these issues and the inability to assess abnormal sperm morphology compared with manual methods, automated semen analyzers provide standardization, faster turnaround times, better precision, and reduced potential for human error, as well as automated data recording.

### Vitality

Vital staining of a fresh semen smear enables rapid differentiation of live and dead sperm. Because dead sperm have damaged plasma membranes, these cells take up stain; living sperm do not (Fig. 12.5). When a large percentage of immotile



**FIG. 12.5** Sperm vitality using eosin-nigrosin (Blom's) stain. White sperm were alive (membrane intact); pink-stained sperm were dead (membrane damaged and permeable). Brightfield microscopy,  $\times 400$ .

sperm are observed, this evaluation determines whether the sperm are immotile because they are dead or because of a structural abnormality (e.g., defective tail).

Eosin alone or an eosin-nigrosin (a modification of Blom's technique) combination is frequently used to determine sperm vitality. Using brightfield or phase-contrast microscopy and  $\times 1000$  (or  $\times 400$ ) magnification, 100 sperm on a stained smear are evaluated. The percentage of dead sperm cells should not exceed the percentage of immotile sperm. In other words, if 65% of the sperm in a semen specimen are dead, the motility cannot exceed 35%. Hence the vitality evaluation provides a convenient quality or cross-check of the motility evaluation. In fresh normal semen, 50% or more of the sperm are alive.

### Cells Other Than Spermatozoa

An ejaculate is a complex mixture biochemically and cellularly. Ejaculates normally contain cells other than sperm, such as urethral epithelial cells, WBCs, and immature spermatogenic cells (i.e., spermatids, spermatocytes, and spermatogonia), as well as particulate matter and cellular debris. The spermatogenic cells can be difficult to differentiate from WBCs because of size and nuclear pattern similarities. A peroxidase stain can aid in this evaluation because neutrophils are peroxidase-positive cells, whereas lymphocytes and spermatogenic cells are peroxidase-negative cells. However, owing to the carcinogenicity of the chemicals used in many peroxidase stains and the special handling required, Wright's stain may be preferred.

The presence of greater than 1 million WBCs per milliliter of ejaculate indicates an inflammatory process, most often involving the male accessory glands (e.g., seminal vesicle, prostate). However, a normal WBC count does not rule out infection. Note that the concentrations of WBC and spermatogenic cells can be determined after the sperm count using the same hemacytometer preparation (see Chapter 17). When the concentrations of these cells exceed 1 million per milliliter, a stained smear (e.g., Wright's stain, peroxidase stain) of the fresh ejaculate is evaluated. Using this smear, the numbers of WBCs and immature spermatogenic cells are counted in the same fields used to count 100 mature sperm. With the sperm count (S) and by using the following equation, the concentration (C) of these cell types (N) is determined (Eq. 12.2)<sup>3</sup>:

$$C = \frac{N \times S}{100} \quad \text{Equation 12.2}$$

Immature spermatogenic cells are present in the semen when they are exfoliated prematurely from the germinal epithelium of the seminiferous tubules. Distinguishing between an increase in WBCs and an increase in immature spermatogenic cells is necessary to evaluate infection and infertility.

Red blood cells normally are not present in seminal fluid. If their presence is apparent during various aspects of the microscopic evaluation, it should be reported. Similarly, the finding of bacteria in semen should be reported. Bacteria do not normally reside in the male reproductive tract. However, collection of semen by masturbation makes bacterial contamination difficult to avoid.

### Agglutination

Agglutination, the sticking together of *motile* sperm, is evident by microscopic examination of a wet preparation. Although some clumping of immotile sperm may occur in normal semen specimens, the observation of a distinct head-to-head, head-to-tail, or tail-to-tail orientation of sperm is associated with the presence of sperm-agglutinating antibodies. Clumping of sperm with other entities, such as mucus and other cell types, is not identified as agglutination. The extent of true agglutination is often graded as "few," "moderate," or "many." Even a small amount of true agglutination is significant and indicates the need for further evaluation.

Immunoglobulin G and immunoglobulin A antibodies bound to sperm have been identified and correlated with reduced fertility. This is known as immunologic infertility where the man or the woman produce antisperm antibodies and the individual producing them can be identified. When the man is the source, the antibodies are present on the surface of the sperm before intercourse; when the woman is producing them, the sperm are coated with antibodies after they enter the cervical mucus.

Macroscopic and microscopic tests are available to detect and determine the immunoglobulin class of sperm antibodies ([Ig]G, IgA).<sup>3</sup> Both tests produce comparable results, but the mixed agglutination reaction (MAR) test is rapid ( $\approx 3$  minutes) and easy to perform, whereas the immunobead test is time-consuming ( $\approx 45$  minutes), technically more complicated, and more expensive. The cutoff values for these tests vary among laboratories. The WHO defines agglutination as clinically significant (abnormal) when antisperm antibodies coat 50% or more of the spermatozoa, whereas other institutions use lower cutoffs (e.g., 20%, 10%).<sup>15</sup>

## CHEMICAL EXAMINATION

### pH

The pH of fresh normal semen is alkaline and ranges from 7.2 to 7.8. Fresh specimens with a pH less than 7.2 can be obtained from individuals with abnormalities of the epididymis, the vas deferens, or the seminal vesicles. In contrast, fresh specimens exceeding pH 7.8 suggest an infection in the male reproductive tract. Specimens not tested within 1 hour of collection can show changes in the pH for several reasons. An increase in pH can occur because of loss of carbon dioxide; conversely, a decrease in pH can occur because of the accumulation of lactic acid, particularly in specimens with a high sperm count.<sup>2</sup>

Despite the limited usefulness of a seminal fluid pH, the measurement is easy to determine and is usually included in a seminal fluid analysis. Commercial pH paper strips with a range from 4.0 to 10.0 should be used and results recorded to the nearest 0.1 pH unit. Appropriate quality control solutions should be used to ensure the accuracy of the pH strips.

## Fructose

The determination of fructose in semen is a commonly performed chemical test. Because fructose is produced and secreted by the seminal vesicles, its presence in semen reflects the secretory function of this gland and the functional integrity of the ejaculatory ducts and vas deferens. The fructose level is most often determined when the sperm count reveals azoospermia (i.e., no sperm). Obstruction of the ejaculatory ducts or abnormalities of the seminal vesicles or vas deferens can cause low fructose levels and **azoospermia**.

Normally, semen fructose levels are equal to or greater than 13  $\mu\text{mol}$  per ejaculate. Several quantitative, spectrophotometric procedures are available for fructose determinations. A rapid and easy *qualitative* tube test based on the development of an orange-red color in the presence of fructose can also be performed.<sup>2</sup> With this test, failure of the specimen to develop an orange-red color indicates the absence of fructose. Although this technique is qualitative, relies on the visual assessment of color, and lacks sensitivity to decreased fructose levels, its ease of performance and rapid turnaround time make it a useful tool.

## Other Biochemical Markers

Quantitative determinations of zinc and citric acid levels in semen can be used to evaluate the secretory function of the prostate gland. The usefulness of zinc and citric acid measurements as markers of biochemical function is ongoing; clinicians are attempting to establish correlations with disease processes (e.g., low zinc levels with prostatitis). Quantitation of zinc can be performed by spectrophotometric or atomic absorption spectroscopy techniques. In normal semen, the total zinc concentration is equal to or greater than 2.4 mmol per ejaculate.

Citric acid, the major anion in semen, can be quantitated using spectrophotometric methods.<sup>1</sup> Decreased levels indicate dysfunction of the prostate gland. The total citric acid concentration in normal semen is equal to or greater than 52 mmol per ejaculate.

Acid phosphatase activity is a useful marker to assess the secretory function of the prostate gland. Normally, seminal fluid contains 200 units of enzyme activity or more per ejaculate, whereas other body fluids contain insignificant amounts. Because of this uniquely high concentration, prostatic acid phosphatase measurements are often used to determine whether semen is present in vaginal fluid specimens obtained from women after an alleged rape or sexual assault. Even washings of the skin or stained clothing can reveal significant levels of prostatic acid phosphatase, which positively identifies the presence of semen.

Other biochemical substances are being investigated in an attempt to identify and establish specific markers for male reproductive tract abnormalities. For example, L-carnitine and  $\alpha$ -glucosidase are being evaluated as indicators of epididymal function, whereas specific lactate dehydrogenase isoenzymes of sperm are being examined for their clinical use in the evaluation of male fertility.

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## STUDY QUESTIONS

- Seminal fluid analysis is routinely performed to evaluate which of the following?
  - Prostate cancer
  - Postvasectomy status
  - Penile implant status
  - Premature ejaculation
- Which of the following structures contribute(s) secretions to semen?
  - Epididymis
  - Prostate gland
  - Seminal vesicles
  - Seminiferous tubules
  - 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
- Which of the following structures performs an endocrine and an exocrine function?
  - Testes
  - Epididymis
  - Prostate gland
  - Seminal vesicles
- The primary function of semen is to
  - nourish the spermatozoa.
  - coagulate the ejaculate.
  - transport the spermatozoa.
  - stimulate sperm maturation.
- Match the number of the structure to the feature that best describes it. Only one structure is correct for each feature.
- Which of the following is a requirement when collecting semen specimens?
  - The patient should abstain from sexual intercourse for at least 2 days after the collection.
  - Only complete collections of the entire ejaculate are acceptable for analysis.
  - A single semen specimen is sufficient for the evaluation of male fertility.
  - Semen specimens must be evaluated within 3 hours after collection.
- Which of the following conditions adversely affects the quality of a semen specimen?
  - The use of silastic condoms
  - The time of day the collection is obtained
  - The collection of the specimen in a glass container
  - The storage of the specimen at refrigerator temperatures
- Which of the following statements regarding semen is true?
  - Semen usually coagulates within 30 minutes after ejaculation.
  - For semen to liquefy before 60 minutes is abnormal.
  - After liquefaction, the viscosity of normal semen is similar to that of water.
  - After liquefaction, the presence of particulate matter is highly indicative of a bacterial infection.
- Which of the following statements regarding the manual evaluation of sperm motility is *not* true?
  - Sperm motility most often is graded subjectively.
  - Sperm motility is affected adversely by temperature.
  - Sperm motility assesses speed and forward progression.
  - Sperm motility should be evaluated initially and at 2 hours after collection.
- Which of the following statements regarding sperm concentration is true?
  - Sperm concentration within a single individual is usually constant.
  - Sperm concentration depends solely on the period of abstinence.
  - In a normal ejaculate, sperm concentration ranges from 20 million to 250 million per mL.
  - For fertility purposes, sperm concentration is more important than sperm motility.

### Descriptive Feature

- \_\_\_ A. Produces and secretes
- \_\_\_ B. Site of spermatogenesis
- \_\_\_ C. Concentrates and stores sperm
- \_\_\_ D. Secretes fluid rich in zinc
- \_\_\_ E. Secretes fluid high in fructose
- \_\_\_ F. Transports sperm to the ejaculatory duct

### Structure

- Bulbourethral testosterone gland
- Ejaculatory duct
- Epididymis
- Interstitial cells of Leydig
- Prostate gland
- Seminal vesicles
- Seminiferous tubules
- Vas deferens

11. Which of the following statements regarding sperm morphology is true?
- Sperm morphology is usually evaluated using a peroxidase stain.
  - Stained smears of fresh semen can be used to evaluate sperm morphology.
  - Sperm morphology is evaluated using  $\times 400$  (high-power) magnification.
  - Normal semen contains at least 80% sperm with normal morphology.
12. Which of the following parameters directly relates to and provides a check of the sperm motility evaluation?
- Agglutination evaluation
  - Concentration determination
  - Morphology assessment
  - Vitality assessment
13. Microscopically, immature spermatogenic cells are often difficult to distinguish from
- bacteria.
  - erythrocytes.
  - leukocytes.
  - epithelial cells.
14. A semen pH greater than 7.8 is associated with
- premature ejaculation.
  - obstruction of the vas deferens.
  - abnormal seminal vesicle function.
  - infection of the male reproductive tract.
15. Fructose in semen assists in the evaluation of which of the following?
- The secretory function of the seminal vesicles
  - The functional integrity of the epididymis
  - The functional integrity of the vas deferens
  - The secretory function of the prostate gland
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
16. Which of the following substances can be used to evaluate the secretory function of the prostate gland?
- Carnitine
  - Fructose
  - pH
  - Zinc
17. The concentration of which of the following substances can be used to positively identify a fluid as seminal fluid?
- Acid phosphatase
  - Citric acid
  - Fructose
  - Zinc

**CASE 12.1**

A 36-year-old man and his 32-year-old wife are undergoing an evaluation for infertility. A semen specimen is collected at home and is brought to the laboratory for routine testing.

**Semen Analysis**

Physical Examination	Microscopic Examination
Color: gray	Motility: 70%
Volume: 4.5 mL	Concentration: $15 \times 10^6$ sperm/mL
Liquefaction: 50 minutes	Morphology: 70% normal
Viscosity: 0 (watery)	Vitality: 60%
	Leukocytes: $0.8 \times 10^6$ cells/mL

- List any abnormal or discrepant results.
- Do any of the results obtained suggest improper specimen collection or laboratory error?
- Are any of the results obtained associated with male infertility?
- Based on these results, what chemical test should be performed to evaluate the functional integrity of the seminal vesicles and ejaculatory ducts?

**CASE 12.2**

A semen specimen is collected by a 45-year-old man for evaluation of a vasectomy performed 12 weeks earlier.

**Semen Analysis**

Physical Examination	Microscopic Examination
Color: white	Motility: 50%
Volume: 3 mL	Concentration: $1 \times 10^6$ sperm/mL
Liquefaction: 40 minutes	Morphology: 80% normal
Viscosity: 0 (watery)	Vitality: 60%
	Leukocytes: $2 \times 10^6$ cells/mL
	Other: moderate bacteria

- List any abnormal or discrepant results.
- Do any of the results obtained suggest improper specimen collection or laboratory error?
- After an appropriate time interval, how many sperm should be present in seminal fluid after a successful vasectomy?

# Analysis of Vaginal Secretions

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. Discuss the collection and proper handling of vaginal secretion specimens.
2. Describe the performance of each of the following tests and discuss the clinically significant entities:
  - Wet mount examination
  - Amine test
  - KOH preparation and examination
3. Discuss vaginal secretion results associated with health, including the pH and microscopic entities.
4. Compare and contrast the causes, clinical features, typical vaginal secretion results, and treatments in the following conditions:
  - Bacterial vaginosis
  - Candidiasis
  - Trichomoniasis
  - Atrophic vaginitis

## CHAPTER OUTLINE

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## KEY TERMS<sup>1</sup>

**bacterial vaginosis**

**bactericidal**

**cervicovaginal secretions**

**clue cells**

**dyspareunia**

**dysuria**

**KOH preparation**

**vaginal fornix**

**vaginal pool**

**vaginitis**

**vulvovaginitis**

<sup>1</sup>Definitions are provided in the chapter and glossary.

The most common gynecologic complaints encountered by health care providers are vaginal discharge, vaginal discomfort, and vaginal odor. The three major causes for these symptoms are bacterial vaginosis, candidiasis, and trichomoniasis. Whereas the causative agent for each of these conditions is distinctly different, the clinical presentations can be nonspecific and similar (Table 13.1). Because treatment can differ significantly, determining the causative agent before initiating

therapy is important, and in some cases, treating sexual partners is also necessary to avoid reinfection.

These conditions are usually differentiated using a sample of vaginal secretions and a few direct microscopy tests: wet mount examination, amine or “whiff” test, KOH examination, and Gram stain. Even though these microscopy tests are simple and easy to perform, the accuracy of the results obtained depends directly on the skill and expertise of the

TABLE 13.1 Vaginal Secretion Findings and Associated Conditions

	Healthy/ Normal <sup>a</sup>	Candidiasis	Bacterial Vaginosis	Trichomoniasis	Atrophic Vaginitis
Patient complaints	—	Vulvovaginal itching and soreness, discharge, “external” dysuria, <sup>b</sup> dyspareunia	Malodorous discharge	Vulvovaginal soreness, malodorous discharge, “external” dysuria, <sup>b</sup> dyspareunia	Vaginal dryness, dyspareunia
Discharge characteristics	—	White, curd-like	Foul-smelling, thin, gray, homogeneous; adherent to mucosa	Copious, yellow-green, frothy; may be foul-smelling, adherent to mucosa	—
pH	3.8–4.5	3.8–4.5	>4.5	5.0–6.0	5.0–7.0
<b>Direct Wet Mount Microscopy findings</b>					
Bacteria	Large rods <sup>c</sup> predominate	Large rods <sup>c</sup> predominate	Increase in Gram-variable coccobacilli; rare to absent large rods <sup>c</sup>	Mixed bacterial flora	Decreased large rods, <sup>c</sup> increase in Gram-positive cocci and Gram-negative rods
WBCs	Rare to 2 +	3 + to 4 +	Rare	2 + to 4 +	3 + to 4 +
Other		Budding yeast, pseudohyphae	Clue cells	Motile trichomonads (60%)	RBCs: 1 + or greater; parabasal cells present
KOH microscopic examination	Negative	Budding yeast, pseudohyphae	Negative	Negative	Negative
Amine or “whiff” test	Negative	Negative	Positive	Positive, often	Negative
Miscellaneous	—	If microscopy negative, use culture or DNA probe analysis	If results inconclusive, use DNA probe analysis; culture of no value	If microscopy negative, use culture or DNA probe analysis	

<sup>a</sup>Values from healthy nonmenstruating women.

<sup>b</sup>External dysuria is pain experienced during urination owing to the passage of urine over inflamed tissue.

<sup>c</sup>These large (Gram-positive) rods are lactobacilli, the predominant microbe in vaginal secretions of healthy individuals.

KOH, 10% potassium hydroxide; RBC, red blood cell; WBC, white blood cell.

microscopist. This fact should not be minimized. If personnel with the necessary technical skills and expertise are unavailable, testing should be referred to a laboratory with qualified personnel.

The Clinical Laboratory Improvement Act has classified the wet mount examination and the KOH examination of vaginal secretions as provider-performed microscopy tests. The act also states that when nonlaboratory personnel (i.e., clinical practitioners such as physicians, physician assistants, nurse practitioners, and nurses) perform these tests, the designated laboratory director is responsible for ensuring the accuracy and reliability of the testing performed. Timely and accurate testing of vaginal fluid specimens can identify offending organisms that cause vaginal discharge and discomfort, enabling health care providers to immediately diagnose and treat common causes of vaginitis/vaginosis.

## SPECIMEN COLLECTION AND HANDLING

Appropriate collection and handling of vaginal secretion specimens optimizes the recovery and detection of microor-

ganisms and other cellular elements. Based on the presence, absence, or combination of elements observed microscopically, the cause of **vaginitis** or **vulvovaginitis** can be determined.

A health care provider collects vaginal secretions during a pelvic examination. A nonlubricated speculum, moistened only with warm water, is used to provide access to the vaginal fornices. Speculum lubricants are avoided because they often contain antimicrobial agents. The specimen collection device is usually a sterile, polyester-tipped (e.g., Dacron) swab on a plastic shaft or, alternatively, a sterile wire loop. Selection of the sampling device is important because cotton has been toxic to *Neisseria gonorrhoeae*, whereas wooden shafts have been toxic to *Chlamydia trachomatis*.<sup>1</sup> The health care provider uses one or more swabs or a collection loop to obtain vaginal secretions from the posterior **vaginal fornix** and the **vaginal pool**.

After collection, the labeled specimen should be transported to the laboratory as soon as possible in a biohazard bag accompanied by a requisition slip. In addition to the standard patient identification information on the request slip, an appropriate medical history should be provided, such as the



patient's menstrual status, exposure to sexually transmitted diseases, and use of vaginal lubricants, creams, and douches.

Tests on vaginal secretion specimens should be performed as soon as possible. If a delay in transport or analysis is unavoidable, these specimens should be kept at room temperature. Refrigeration adversely affects the recovery of *N. gonorrhoeae* and the detection of the trophozoite *Trichomonas vaginalis*, whose identification depends on observing its characteristic “flitting” or jerky motility. However, for detection of *C. trachomatis* or viruses (e.g., herpes simplex virus), refrigeration is preferred to prevent overgrowth of the normal bacterial flora.

When a health care provider examines the vaginal secretions immediately after collection (i.e., provider-performed microscopy testing), the swab is often placed directly into 0.5 mL to 1.0 mL of sterile physiologic saline (0.9% NaCl) and the microscopic examinations are performed. An alternate approach is to place a small drop of sterile physiologic saline onto a microscope slide into which the swab of vaginal secretions is directly rolled for microscopic viewing.

## pH

The pH of vaginal secretions must be determined at the time of specimen collection by the healthcare provider. Commercial pH paper can be placed directly on the lateral wall of the vagina for about 1 minute to absorb the secretions. Subsequently, the color change of the pH paper is compared with a color chart to determine the pH value. Alternatively, a swab is used to collect vaginal secretions and the liquid sample is immediately expressed onto pH paper. Regardless of which technique is used, it is important to avoid contact with any cervical discharge because of its high alkaline pH. Note that once a sampling swab has been placed into saline (pH ~7), the pH value has been altered by the saline and can no longer be determined using that swab.

Vaginal secretions should have a pH in the range of 3.8 to 4.5. The predominant bacteria in a healthy vagina are lactobacilli, and it is lactic acid, their major metabolic end product, that maintains this normally acidic pH. Studies have demonstrated that some lactobacilli also produce hydrogen peroxide, which further enhances the healthy acidic environment of the vagina. In part, the **bactericidal** qualities of hydrogen peroxide prevent overgrowth of some indigenous microbes, such as *Gardnerella vaginalis*. In fact, the reduction or absence of hydrogen peroxide-producing lactobacilli is associated with bacterial vaginosis.<sup>2,3</sup>

The determination of the vaginal pH is a useful diagnostic tool because it assists in the differential diagnosis of vaginitis (see Table 13.1). A pH greater than 4.5 is associated with bacterial vaginosis, trichomoniasis, and atrophic vaginitis. A pH less than 4.5 is normal, but can also be present with candidiasis.

## MICROSCOPIC EXAMINATIONS

Microscopic examinations should be performed as soon as possible on vaginal secretion specimens, particularly for detection of *T. vaginalis*, for which identification depends solely on observing actively motile organisms. The vaginal

swab is placed into a tube containing approximately 1.0 mL of sterile physiologic saline (0.9% NaCl) and is agitated or twirled for a few seconds to release the secretions from the swab.

Two microscope slides are typically prepared using the vaginal swab. One slide is used for a direct wet mount examination and the second slide for a 10% **KOH preparation** and the amine or “whiff” test. Depending on the laboratory protocol, a third slide may also be prepared for Gram stain. Each slide is prepared from the saline suspension made using the vaginal swab. A drop of this suspension is placed onto a clean, labeled microscope slide by using a disposable transfer pipette or, alternatively, by pressing and rolling the moistened swab on the microscope slide to express liquid onto the slide. The wet mount and KOH slides, as well as the slide for Gram stain (if requested), are usually prepared at the same time. The YouTube video titled *Examination of Vaginal Wet Preps* created by the Seattle STD/HIV Prevention Training Center is available online and provides an excellent detailed overview of performing the microscopic examination.<sup>4</sup>

## Wet Mount Examinations

When preparing the direct wet mount slide, a coverslip is placed on the drop of saline-suspended specimen, taking care not to trap air bubbles. This slide is examined using bright-field or phase-contrast microscopy at low-power ( $\times 100$ ) and high-power ( $\times 400$ ) magnifications. Low-power magnification is used to assess the overall distribution of the specimen components and to evaluate epithelial cells, such as the number and type, and whether clumping is present. Subsequently, high-power magnification is used to quantify the elements using criteria similar to those listed in Table 13.2.<sup>5,6</sup> The following elements are typically identified and reported when observed in wet mount preparations of vaginal secretions: red blood cells, white blood cells, yeast, hyphae/pseudohyphae, trichomonads, clue cells, and squamous epithelial cells. In some institutions, wet preps are performed in the microbiology department, in which case predominant bacterial morphotypes may also be reported.

## Blood Cells

In health, white blood cells are present in vaginal secretions, and their numbers range from a few observed in an entire preparation to several cells observed in every high-power

**TABLE 13.2 Quantification Criteria for Microscopic Examinations**

Results	Number of Cells or Organisms	Viewing Area
Rare	<10	Per slide
Occasional	<1	Per 10 hpfs
1+ (Few)	<1	Per hpf
2+ (Few)	1–5	Per hpf
3+ (Mod)	6–30	Per hpf
4+ (Many)	>30	Per hpf

hpf, High-power field.

field of view. This variation is often associated with a woman's menstrual cycle, with increased white blood cells present during ovulation and menses.<sup>7</sup> In contrast, red blood cells usually are not present unless the specimen was collected around or during menstruation. This highlights the need for a current patient history to accompany the results of each vaginal fluid specimen.

### Bacterial Flora

The vagina has a complex bacterial flora with the characteristically large rods of lactobacilli accounting for 50% to 90% of the microbes in a healthy vagina.<sup>8</sup> These morphologically distinct, large, nonmotile, Gram-positive rods produce lactic acid as their major metabolic waste product, which is principally responsible for the acidic (pH 3.8–4.5) environment of a healthy vagina (Fig. 13.1). In addition, a subset of these lactobacilli produce hydrogen peroxide, which helps maintain balance in the vaginal flora by preventing the proliferation of other bacteria, in particular, *G. vaginalis* and *Prevotella bivia*. Any decrease in the number of lactobacilli relative to the number of squamous epithelial cells present in the preparation is an indication of an imbalance in the microbial flora. Whereas small numbers of other bacterial morphotypes may be observed in normal vaginal secretions, the presence of increased numbers or a preponderance of them is considered abnormal. These morphotypes include small, nonmotile, Gram-variable coccobacilli (e.g., *G. vaginalis*); thin, curved, Gram-variable, motile rods (e.g., *Mobiluncus* spp.); Gram-positive cocci (e.g., *Peptostreptococcus* spp., staphylococci, and streptococci); Gram-negative cocci (e.g., *Enterococcus* spp.); and Gram-negative rods (e.g., *Prevotella* spp., *Porphyromonas* spp., *Bacteroides* spp., coliforms).

### Yeast

An occasional yeast or blastoconidium can be present in normal vaginal secretions. Because of the visual similarity of yeast and red blood cells, the KOH preparation, which lyses red blood cells, is useful in distinguishing these two entities. Yeast cells are typically 10  $\mu\text{m}$  to 12  $\mu\text{m}$  in diameter and are Gram positive. Increased numbers (1 + or greater) of yeast or the presence of hyphae or pseudohyphae is considered abnormal and indicates candidiasis (i.e., a fungal or yeast infection) (Fig. 13.2).

### Epithelial Cells

The vagina is a thick-walled fibromuscular tube lined with stratified squamous epithelium. Therefore when the vaginal mucosa is swabbed during the collection of vaginal secretions, a significant number of squamous epithelial cells are recovered. These cells predominate in wet mounts from a healthy vagina and are identified easily by their large (30–60  $\mu\text{m}$ ), thin, flat, flagstone-shaped appearance. They have a small, centrally located nucleus and a large amount of cytoplasm, which becomes finely granulated as the cell ages (Fig. 13.3). This intracellular keratohyalin granulation caused by cell degeneration is distinctly different from and must not be confused with the shaggy appearance of **clue cells**, which



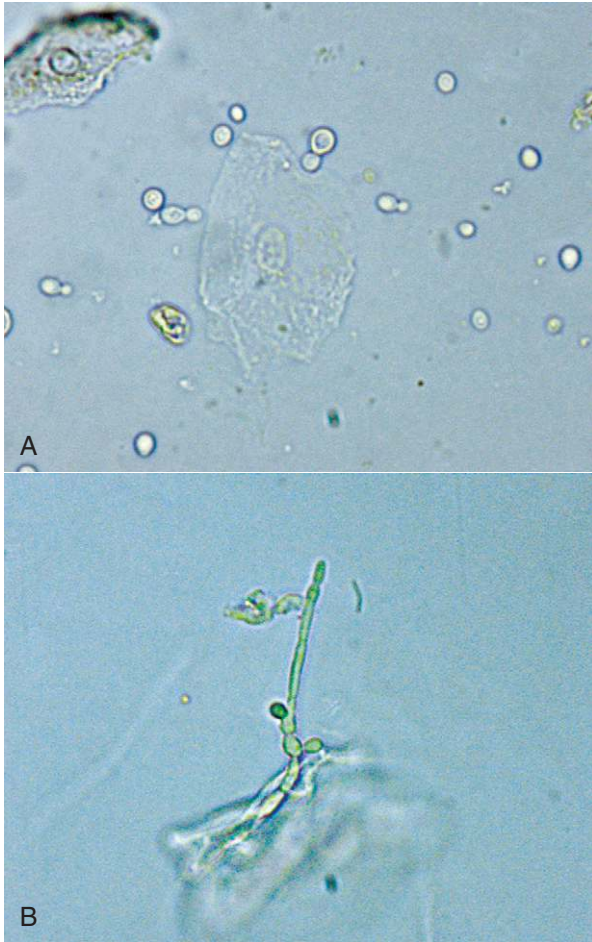
**FIG. 13.1** Large rods characteristic of *Lactobacillus* spp. surrounding a typical squamous epithelial cell from a healthy vagina.

are formed when numerous small bacteria adhere to the membranes of epithelial cells (Fig. 13.4; also see Fig. 7.68). Clue cells, a diagnostic indicator of **bacterial vaginosis**, appear soft and finely stippled with indistinct cellular borders because of the numerous bacteria adhering to them. In these bacteria-laden cells with shaggy-appearing edges, the nuclei may not be visible. To be considered a clue cell, the bacteria do not need to cover the entire cell; however, bacteria should cover at least 75% of the cell surface and the bacterial organisms *must* extend beyond the cell's cytoplasmic borders.<sup>4,6</sup> Sometimes these cells are described as “bearded.” The skill and expertise of the microscopist prevent the intracellular keratohyalin granulation of normal degenerating squamous cells from being misidentified as adherent bacteria of clue cells. Two microscopic characteristics facilitate this differentiation: (1) keratohyalin granules vary in size, and (2) they are usually larger and more refractile than bacteria. Note that epithelial cells covered with numerous large lactobacilli rods are *not* clue cells.

Parabasal cells reside below the surface or luminal squamous epithelium of the vaginal mucosa. Therefore no or at most a few parabasal cells are present in normal vaginal secretions. However, increased numbers may be found during menstruation or in specimens from postmenopausal women. These cells are 15 to 40  $\mu\text{m}$  in diameter and are oval to round with distinct cytoplasmic borders (Fig. 13.5). In size and shape, these cells closely resemble transitional epithelial cells of the urinary system; however, their nucleus-to-cytoplasm ratio is smaller—that is, 1:1 to 1:2. Increased numbers of parabasal cells are usually observed in vaginal secretions from women with atrophic vaginitis and desquamative inflammatory vaginitis.

Basal cells, as their name denotes, are derived from the basal layer of the vaginal stratified epithelium (i.e., they rest on the basal lamina). These cells are similar in size to white blood cells, are 10  $\mu\text{m}$  to 16  $\mu\text{m}$  in diameter, and have a

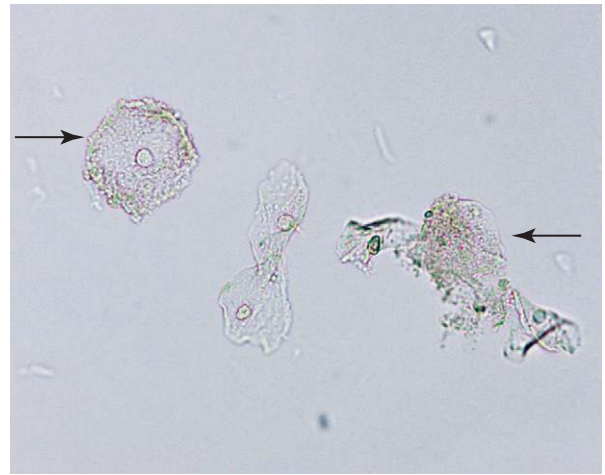




**FIG. 13.2** Yeast and pseudohyphae in the wet mount of a vaginal secretion specimen. **A**, Budding yeast (blastocoonidia) and two squamous epithelial cells. **B**, Pseudohyphae.



**FIG. 13.3** Several squamous epithelial cells from a healthy vagina. Keratohyalin granulation is most pronounced in the centrally located cell. Numerous large rods characteristic of *Lactobacillus* spp. are also present.



**FIG. 13.4** Two clue cells (arrows) and several normal squamous epithelial cells in the wet mount of a vaginal secretion specimen.



**FIG. 13.5** A single parabasal cell surrounded by numerous squamous epithelial cells.

nucleus-to-cytoplasm ratio of 1:2. The presence of basal cells in the wet mount is abnormal. These cells are usually accompanied by numerous white blood cells in vaginal secretion specimens from women with desquamative inflammatory vaginitis.

### Trichomonads

Trichomonads are flagellated protozoans that infect and cause inflammation of the vaginal epithelium. They are typically pear shaped or turnip shaped, and their unicellular bodies average 15  $\mu\text{m}$  in length. However, their size can vary from 5  $\mu\text{m}$  to 30  $\mu\text{m}$  in length and their shape from spherical to rectangular or sausage-like. *Trichomonas vaginalis* thrive in an oxygen-free (anaerobic) environment and have optimal growth and metabolic function at a pH of 6.0.<sup>9</sup>

Regardless of their size or shape, trichomonads are readily identified by their characteristic flitting or jerky motion. This motion results from four anterior flagella and an undulating membrane that extends halfway down its body. The flagella

provide propulsion while the constant wave-like motion of the undulating membrane imparts a rotary motion to the organism (Figs. 13.6 and 13.7). A single posterior axostyle is also present and is believed to play a role in the attachment of the organism to the vaginal mucosa and hence is a potential cause of the tissue damage associated with trichomoniasis. Nonmotile or dead trichomonads are essentially impossible to identify because they closely resemble white blood cells. As trichomonads die, they first lose their motility; later, the undulating membrane ceases. Finally, they “ball up” to look just like white blood cells. Stains are toxic to trichomonads, hence staining wet mounts does not aid in their identification. Trichomonads are not hardy organisms, and once removed from the vaginal mucosa they quickly die. Therefore when trichomoniasis is suspected, a wet mount of vaginal secretions should be prepared and examined as soon as possible after collection.

### KOH Preparation and Amine Test

The KOH slide is prepared and the amine test is performed by adding 1 drop of 10% KOH directly onto the drop of vaginal secretion suspension on the microscope slide. Immediately,

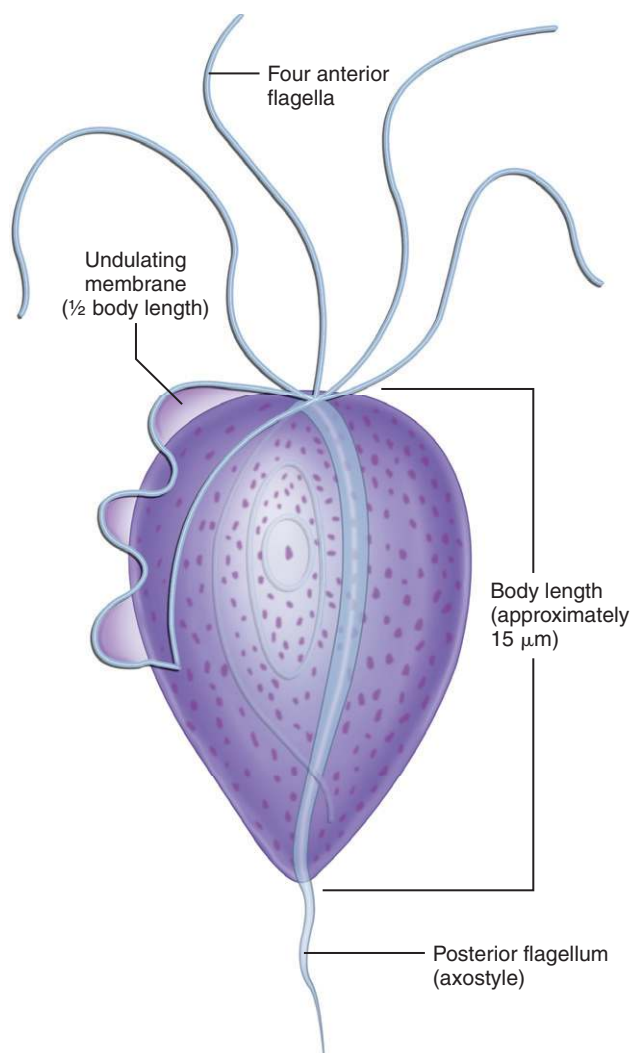


FIG. 13.6 Schematic diagram of *T. vaginalis*.



FIG. 13.7 Two trichomonads. Visible on the upper organism are three of the four anterior flagella (upper arrow), a portion of the undulating membrane (lower arrow), and the posterior axostyle.

the slide is checked for the release of a “fishy” odor, hence this test is often referred to as the “whiff” test. The distinctive pungent and foul-smelling odor is *trimethylamine*, a volatilization product of polyamines that results from the pH change caused by the addition of KOH. During bacterial vaginosis, the microbial flora of the vagina is significantly altered, and the proliferation of microbes that produce polyamines increases. Development of a vaginal discharge (a transudate) and increased exfoliation of epithelial cells are directly related to this increase in polyamine production.<sup>10</sup> Results of the amine or “whiff” test are reported as positive or negative.

Next, a coverslip is placed on the suspension in preparation for the microscopic examination. This slide is typically prepared at the same time as the direct wet mount, but it is set aside to allow the KOH to dissolve epithelial and blood cells in the specimen. If immediate microscopic viewing is necessary, digestion of the cellular elements can be enhanced by gently heating the slide (e.g., using a slide warmer). Digestion of the cellular elements greatly enhances visualization of any fungal elements present. Low-power ( $\times 100$ ) magnification is used to screen the preparation, and high-power ( $\times 400$ ) magnification is used to identify and enumerate any fungal elements, such as blastoconidia (yeast cells) and pseudohyphae. Although the KOH preparation provides limited information, it remains a valuable aid in detecting and identifying fungal elements, and in revealing the presence of increased polyamines by virtue of the amine or “whiff” test.

## CLINICAL CORRELATIONS

### Bacterial Vaginosis

The most common cause of vaginal infection in women is bacterial vaginosis, which results not from an exogenous pathogen but from an alteration in the normal indigenous bacterial flora of the vagina. Most often in bacterial vaginosis,



the lactobacilli present in health are replaced by an overgrowth of *G. vaginalis* and a facultative anaerobe, such as *Mobiluncus* species (e.g., *M. curtisii* or *M. mulieris*). The acidic environment of a healthy vagina, established and maintained by the lactic acid production of lactobacilli, normally limits the proliferation of *G. vaginalis* and other anaerobic bacteria. In addition, some strains of lactobacilli produce hydrogen peroxide, which has bactericidal qualities that prevent the overgrowth of some indigenous microbes.<sup>3</sup> Whereas *G. vaginalis* and *Mobiluncus* species are the most frequently implicated microbes, the overgrowth of other anaerobes has been associated with bacterial vaginosis. Other anaerobes include *Prevotella* species, *Peptostreptococcus* species, *Porphyromonas* species, *Propionibacterium* species, *Bacteroides* species, and *Mycoplasma hominis*.<sup>11,12</sup>

Studies of bacterial vaginosis complications have shown increased risk in pregnant women for premature labor and delivery and low-birth-weight infants.<sup>13</sup> In addition, untreated bacterial vaginosis is known to progress to endometritis and pelvic inflammatory disease. Therefore the detection and treatment of bacterial vaginosis are clinically important.

Women with bacterial vaginosis are often asymptomatic, with their only complaint being a malodorous discharge, which is particularly noticeable after intercourse. This unique observation results when the alkaline seminal fluid (pH 7.2–7.8) changes the vaginal pH, which leads to volatilization of the polyamines present to *trimethylamine*—the cause of the foul-smelling odor. The vaginal discharge is gray or off-white, thin, and homogeneous. Noticeably absent is vulvovaginal pruritus, soreness, and **dyspareunia**, and the diagnosis of bacterial vaginosis often involves ruling out or eliminating candidiasis or trichomoniasis as the cause.

The single most reliable indicator of bacterial vaginosis is the presence of clue cells in the wet mount examination of vaginal secretions<sup>2</sup> (see Fig. 13.4). Typically, the presence of at least one clue cell in each of 10 fields, or identification of one out of five squamous cells as clue cells, is considered “positive” for clue cells. In bacterial vaginosis, the wet mount also reveals that the lactobacilli present in health are rare or absent. Most often they are replaced by the small, Gram-variable coccobacilli of *G. vaginalis* and the thin, curved or comma-shaped, Gram-variable, motile rods of the anaerobe *Mobiluncus* species. The amine test is characteristically positive, and the KOH preparation examination negative (see Table 13.1). Another notable feature is that white blood cells in the vaginal secretions are rare. This lack of an increase in white blood cells suggests that the microbial organisms involved do not invade the subepithelial tissue. Hence the condition is called *vaginosis* instead of *vaginitis*. The consistency and reliability of these findings have culminated in the classic diagnostic criteria for bacterial vaginosis, which requires the presence of at least three of the following four features: (1) clue cells, (2) a positive amine test, (3) a vaginal pH greater than 4.5, and (4) a homogeneous vaginal discharge.<sup>14</sup>

If wet mount and amine tests are inconclusive or are not available, DNA probe analysis for *G. vaginalis* can be clinically valuable. Although these tests are comparatively expensive,

they are accurate and clinically sensitive and specific for *G. vaginalis*. Culturing vaginal secretions is of no value in establishing a diagnosis of bacterial vaginosis because 50% to 60% of healthy asymptomatic women have a positive culture for *G. vaginalis*. In addition, no threshold has been established to identify clinically significant increases in *G. vaginalis* in vaginal secretions.

The most successful treatment of bacterial vaginosis is orally administered metronidazole. In approximately 30% of cases, bacterial vaginosis recurs most likely because of failure to reestablish the normal microbial balance dominated by lactobacilli in the vagina. One new approach to treatment and recolonization of the vagina is the use of *Lactobacillus*-containing vaginal suppositories. Concurrent treatment of sexual partners is not needed or recommended.

## Candidiasis

Vulvovaginal candidiasis is the second most common cause of vaginitis in women. Most adult women have experienced at least one episode of vaginal candidiasis, and many have had several infections. Candidiasis occurs in celibate and sexually active women and decreases with age, being less common in postmenopausal women.

*Candida albicans* is responsible for most (80%–92%) cases of vulvovaginal candidiasis.<sup>10</sup> However, other *Candida* species, particularly *Candida (Torulopsis) glabrata*, are appearing with increasing frequency, and it is postulated that this is happening because of an increase in self-diagnosis and treatment with over-the-counter antimycotic agents.<sup>15</sup>

*Candida albicans* and other *Candida* species are part of the normal vaginal flora. Their overproliferation results when a disruption in the vaginal environment (pH) or a change in the bacterial flora occurs. Most episodes of candidiasis occur in women with no known risk or predisposing factors. Two potential initiators of candidiasis are treatment with broad-spectrum antibiotics and oral contraceptive use; however, not all women share this susceptibility. Other clinical conditions that predispose an individual to develop candidiasis include pregnancy, uncontrolled diabetes mellitus, immunosuppression, and human immunodeficiency virus infection. Regardless, candidiasis is a common infection in women and is usually evident by vulvovaginal itching and soreness, external **dysuria**, and a white, curd-like discharge (see Table 13.1).

With candidiasis, the pH of vaginal secretions remains normal (pH 3.8–4.5). Typically, a wet mount examination of vaginal secretions reveals an increase in white blood cells and the presence of budding yeast (blastoconidia) and/or pseudohyphae (see Fig. 13.2). Depending on the species present, yeast buds can vary in size and shape and whether or not pseudohyphae form. The squamous epithelial cells present are often clumped, and lactobacilli are the predominant bacterial morphotype. The amine test is negative, but the KOH preparation examination also reveals budding yeast and/or pseudohyphae. If a wet mount and KOH preparation are not available, or if they produce negative results despite clinical symptoms, a culture or DNA probe analysis for *Candida* species can be performed. Although these two tests are more

costly and time consuming, they are reliable and have greater clinical sensitivity and specificity.

Topical antimycotic agents from the family of imidazole derivatives predominate, such as miconazole, clotrimazole, butoconazole, and terconazole. Oral agents appear to be equally effective and include fluconazole, ketoconazole, and itraconazole. Recurrent candidiasis, defined as four or more episodes a year, is a problem for a minority of women and may require long-term (e.g., 6 months) antimycotic suppression therapy. The treatment of sexual partners is not indicated or advised.

### Trichomoniasis

Of parasitic gynecologic infections, trichomoniasis caused by *T. vaginalis* is among the most common and affects millions of women worldwide. The disease is sexually transmitted, with human beings as its only known host. In women, trichomonads primarily reside in the vaginal mucosa; in men, they infect the urogenital tract. Infection in women can range from an asymptomatic carrier state to a severe, inflammatory condition. Recurrence is common if a woman's sexual partner is not treated simultaneously owing to the fact that approximately 35% of asymptomatic male partners are positive for *T. vaginalis* when tested.<sup>10</sup> Although nonvenereal (nonsexual) transmissions of *T. vaginalis* have occurred, particularly in older women, the mechanism for such infections has not been elucidated clearly.<sup>16,17</sup>

Trichomoniasis in men is usually asymptomatic or presents as urethritis. In the latter cases, usually when tests for *N. gonorrhoeae* and *C. trachomatis* are negative, cultures or DNA probe analysis for *T. vaginalis* is performed, revealing the parasitic infection. Hence untreated male sexual partners can be the source of initial trichomoniasis infection or reinfection if they are not identified and treated with their partners.

In pregnant women, trichomoniasis is a risk factor for preterm rupture of membranes (ROM) and for premature labor and delivery.<sup>13</sup> Studies have shown that the transmission of human immunodeficiency virus is facilitated in women with trichomoniasis.<sup>18</sup> Hence to reduce these risks in women, the detection and appropriate treatment of trichomoniasis are of paramount importance.

Although approximately 50% of women are asymptomatic, the remaining women complain of a copious, frothy, often malodorous discharge that is yellow to greenish (see [Table 13.1](#)). They usually experience soreness of the vulva, external dysuria, and dyspareunia. A pelvic examination often reveals vaginal inflammation, and visually the exocervix is often described as strawberry-like because of numerous punctate hemorrhages.

Of available methods for diagnosing trichomoniasis, the most rapid and economical is a direct wet mount examination of vaginal secretions. However, studies have revealed that motile trichomonads are observed only in 50% to 70% of culture-confirmed cases.<sup>19</sup> An important note is that the skill and expertise of the microscopist directly affect the results of

a wet mount microscopic examination. Hence this and other specimen-related factors could be a source of the variation observed in the detection of motile trichomonads.

If a wet mount examination is negative for motile trichomonads yet trichomoniasis is strongly suspected, a culture or DNA probe test should be performed. Both of these tests provide equivalent or greater sensitivity and specificity for the detection of *T. vaginalis* but may be less desirable because of the additional time and cost required.<sup>10,20</sup>

A characteristic and useful feature of *T. vaginalis* infection is an elevation in the pH of the vaginal secretions (i.e., pH 5.0–6.0). Wet mount examination also reveals numerous white blood cells, often clumped, and a mixed bacterial flora with lactobacilli is usually present but in reduced numbers. In addition, the KOH preparation often produces a positive amine test.

Treatment for *T. vaginalis* infection in women and men consists of metronidazole (or tinidazole). Oral therapy is preferred because it ensures that all potential sites (vagina, urethra, periurethral glands, prostate, and epididymis) that may harbor the organism are treated. Simultaneous treatment of sexual partners is necessary to prevent reinfection. Rarely, treatment failures have been observed because of *T. vaginalis* strains resistant to metronidazole. In such cases, tinidazole or paromomycin has been used successfully.

### Atrophic Vaginitis

In perimenopausal and postmenopausal women, the vaginal epithelium changes because of the reduction in estrogen production. These changes include thinning of the vaginal epithelium and decreased glycogen production. As glycogen production in the vagina decreases, so does the presence of lactobacilli and their metabolic byproduct lactic acid. These changes can lead to the development of atrophic vaginitis, with mild to moderate conditions being asymptomatic. However, on rare occasions, these changes induce significant alterations in the vaginal microflora with overgrowth of nonacidophilic bacteria (e.g., Gram-positive cocci, Gram-negative rods) and the disappearance of lactobacilli (see [Table 13.1](#)).

In the rare severe cases of atrophic vaginitis, women complain of vaginal dryness, vaginal soreness, dyspareunia, and spotting. A pelvic examination reveals a thin, diffusely red vaginal mucosa with little to no vaginal folding.

Vaginal secretions are characteristically alkaline, with the pH usually greater than 5.0. A wet mount examination reveals numerous white blood cells and a small number of red blood cells. In addition to the usual squamous epithelial cells, parabasal and to a lesser extent basal cells may be present. The characteristic large rods of lactobacilli are decreased, with numerous cocci (Gram-positive) and coliforms (Gram-negative rods) predominating. The KOH preparation and amine tests are negative.

Treatment of atrophic vaginitis is easy and involves the replacement of estrogen. Localized replacement using topical vaginal ointments can be used, but recurrence often necessitates the use of a systemic estrogen regimen such as oral or transcutaneous (the patch) replacement.

## PREGNANCY-ASSOCIATED TESTS

### Fetal Fibronectin

Fibronectin is a complex and multifunctional glycoprotein found on cell surfaces throughout the body, as well as in plasma and amniotic fluid. It is involved in numerous important functions including cell-to-cell adhesion, cell-to-basement membrane attachment, clot stabilization, embryogenesis, nerve regeneration, fibroblast migration, macrophage function, and the binding of pathogens (bacteria, fungi, viruses, and protozoa) to cells. In pregnant women, a unique isoform of fibronectin, known as fetal fibronectin (fFN), is produced, and it can be specifically identified using FDC-6 monoclonal antibodies.<sup>21</sup> This glycoprotein resides exclusively in the extracellular matrix at the junction of the placenta and the uterine wall. When labor begins, cellular adhesion is disrupted and the concentration of fFN increases in the cervicovaginal secretions. Hence, an fFN test performed using cervicovaginal secretions aids in identifying pregnant woman at risk of preterm delivery, with preterm delivery defined by the American College of Obstetrics and Gynecology (ACOG) as delivery before the 37th week of gestation.

During the first 24 weeks of pregnancy, fFN is present and is associated with normal fetal and placental development. However, in a normal pregnancy fFN decreases between 24 and 35 weeks and should not be detectable in these secretions. Pregnant women with more than 50 ng/mL (50 µg/L) of fFN in their cervicovaginal secretions at 24 to 35 weeks' gestation have a higher risk for preterm delivery, whereas those with values below this cutoff are at decreased risk.

Risk of preterm labor and delivery is associated with the following symptoms: (1) regular uterine contractions, (2) low back pain, (3) low abdominal cramping, (4) vaginal bleeding, and (5) increased vaginal discharge.<sup>23</sup> In women with symptoms of preterm labor, fFN testing should be performed between 24 and 35 weeks' gestation. Studies of multiple fFN tests during pregnancy reveal patterns of positive and negative results and the risk of preterm delivery.<sup>22</sup> When the fFN is consistently positive, the likelihood of preterm delivery is high. However, many women have a single positive fFN test that subsequently becomes negative. When a positive fFN result is followed by a negative fFN result, the risk of spontaneous preterm birth does not disappear; rather, two consecutive negative test results are needed before the risk returns to baseline (i.e., the same risk as that of a normal pregnancy).<sup>23</sup> Note that routine screening of asymptomatic women is not beneficial and not recommended by ACOG.<sup>24</sup>

### Specimen Collection

Collection should occur before digital examination or collection of other specimens such as swabs for microbial culture because manipulation of the cervix may cause false-positive results. **Cervicovaginal secretions** are collected during a

speculum examination using a sterile Dacron polyester swab provided in an fFN collection kit by the manufacturer. The health care provider allows the swab to absorb secretions from the posterior fornix of the vagina or ectocervical region of the external cervical os. After collection, the health care provider (1) places the swab directly into a tube that contains extraction buffer, (2) firmly seals the tube with the cap, and (3) forwards the tube with the swab to the laboratory for testing. During collection, care must be taken to avoid contamination of the swab with lubricants, disinfectants, soaps, or lotions, and because semen interference is unknown, specimens should not be collected less than 24 hours after intercourse.

### fFN Test

The Rapid fFN Cassette test (Hologic, Sunnyvale, CA) is a lateral-flow, solid-phase immunochromatographic assay. In the laboratory, the specimen collection tube that contains extraction buffer and the sample swab are well mixed. The swab is removed from the tube and discarded. Next, 200 µL of the buffer specimen is dispensed into the sample well of an fFN test cassette. The sample flows by capillary action through the cassette, and after a 20-minute reaction time, the test results are determined by a TLI<sub>IQ</sub> analyzer. The analyzer is calibrated with each lot of cassettes, and an external control device (TLI<sub>IQ</sub> QCette) is used daily to verify performance.

The detection antibody is a murine monoclonal anti-fetal fibronectin (FDC-6) antibody conjugated to blue microspheres. It is provided in excess and becomes free floating upon sample addition. After the sample is applied to the cassette, fFN in the sample binds to this free-flowing antibody conjugate (blue). In the test zone, goat polyclonal antihuman fFN antibody is immobilized and captures the fetal fibronectin conjugate complexes that have formed. As the sample continues to flow to the control zone, any unbound antibody conjugate (murine anti-fFN) binds to immobilized goat polyclonal antimouse IgG antibody.<sup>25</sup> The conjugate bound in the test and control zones produces lines that are detected and their intensity measured by the TLI<sub>IQ</sub> analyzer; results cannot be determined without the analyzer (i.e., visually).

Results of the Rapid fFN Cassette test are qualitative and reported as positive or negative. A positive result is obtained when the test line intensity using the patient sample is greater than or equal to the calibration value specific for each lot of cassettes and negative when the test line intensity is less than the calibration value. Note that an acceptable control line must always be present for a valid test because it indicates functioning antibodies and proper flow dynamics. Positive results indicate fFN present in the secretions at a concentration greater than 50 ng/mL (50 µg/L). In symptomatic women tested between 24 and 35 weeks' gestation, a positive test indicates an increased risk of delivery in 7 to 14 days or less from the sample collection.<sup>25</sup>

## Placental Alpha Microglobulin-1

Placental alpha microglobulin-1 (PAMG-1) is a placental glycoprotein that is a good marker for premature rupture of the amnion in pregnant women (i.e., premature rupture of membranes [PROM]). PAMG-1 is present at a high concentration (2000–25,000 ng/mL) in amniotic fluid, at a low concentration in the bloodstream of pregnant women (5–25 ng/mL), and at an extremely low concentration (0.05–2 ng/mL) in cervicovaginal secretions when the amnion is intact.<sup>26</sup> Therefore detection of this glycoprotein in the cervicovaginal secretions of pregnant women at an increased concentration is consistent with ROM.

PROM is defined as the spontaneous rupture of the amnion or fetal membranes before the onset of uterine contractions. It is a common diagnostic challenge for health care providers when pregnant women report signs, symptoms, or complaints suggestive of PROM. Detection of PROM is crucial because it is associated with preterm births, as well as the potential development of serious complications, such as chorioamnionitis and neonatal sepsis.<sup>26</sup> Conversely, because a false-positive diagnosis of PROM can lead to unnecessary and costly interventions (e.g., hospitalization, antibiotic administration, corticosteroid administration), a reliable and specific method for detecting PROM is needed. Historically, PROM was determined by a clinical assessment of (1) amniotic fluid “pooling” in the posterior fornix of the vagina, (2) a nitrazine pH test (based on the alkaline pH of amniotic fluid compared to vaginal pH), and (3) a “fern” test (based on crystallization of amniotic fluid on a glass slide upon drying). Today, a rapid commercial test is available that accurately detects PAMG-1 in vaginal secretions.

### Specimen Collection

The collection of vaginal secretions for PROM does not require a speculum examination, and sampling should be done *before* performing a digital examination. A sterile polyester sample swab is inserted 2 to 3 inches (5–7 cm) deep into the vagina and allowed to absorb secretions for 1 minute. Note that during collection, care must be taken to avoid contamination of the swab with any lubricants, disinfectants, soaps, or lotions. The swab is withdrawn and immediately placed into a vial that contains a solvent; it is rotated for 1 minute to elute the vaginal secretions. The swab is removed from the vial and properly discarded, whereas the vial is transported to the laboratory for testing.

### PAMG-1 Test

The AmniSure ROM Test (AmniSure International LLC, Boston, MA) is a lateral flow immunochromatographic test that employs two monoclonal antibodies to detect PAMG-1 at the low cutoff level of 5 ng/mL.<sup>27</sup> Note that this level is significantly higher than the background concentration that is typically present in cervicovaginal secretions with intact membranes. In the laboratory, the “white” end of an AmniSure ROM Test strip is immersed into the sample vial with solvent and eluted vaginal secretions. The solvent flows by capillary action up the test strip, and results are determined within

10 minutes by visually examining the strip for the control line and a test line.

During testing, solvent flows up the test strip carrying PAMG-1 (eluted from the sample swab, if present) and simultaneously mobilizing gold-labeled anti-PAMG-1 monoclonal antibodies (mouse). The free-floating gold-labeled antibody specifically binds to PAMG-1 to form a “labeled” conjugate. This “labeled” conjugate flows into the test region, where it becomes bound to a different mouse monoclonal antibody, which is immobilized on the strip. This immobilized gold-labeled conjugate produces a visible red/pink line in the “test” region. As the solvent flows farther up the strip, the remaining free-floating gold-labeled antibody (mouse) is bound by rabbit antimouse antibody that is immobilized on the strip, producing the visible red/pink control line.

Results of the AmniSure ROM test are qualitative and reported as positive or negative. A positive result is obtained when a red/pink line is visible in the test area before or at 10 minutes. It is important to note that a faint or uneven line is still a positive result. When no line is visible in the test area after 10 minutes, the test is negative. An acceptable control line must always be present for a valid test. Positive results indicate PAMG-1 present in the vaginal secretions at a concentration (>5 ng/mL) that is consistent with ROM.

The presence of large amounts of blood can cause false-positive test results.<sup>23</sup> False-negative results can be obtained if the specimen was collected more than 12 hours after a rupture that is obstructed by the fetus or that has resealed.<sup>27</sup>

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## STUDY QUESTIONS

1. Which of the following devices should be used to collect a sample of vaginal secretions?
  - A. Cervical brush on a Teflon shaft
  - B. Cotton-tipped swab on a wooden shaft
  - C. Polyester-tipped swab on a plastic shaft
  - D. Wool-tipped swab on a wooden shaft
2. Which of the following organisms is adversely affected if a vaginal secretion specimen is refrigerated?
  - A. *Chlamydia trachomatis*
  - B. *Candida albicans*
  - C. *Gardnerella vaginalis*
  - D. *Trichomonas vaginalis*
3. Which range of pH values is associated with secretions from a healthy vagina?
  - A. 3.8 to 4.5
  - B. 4.5 to 5.8
  - C. 5.8 to 6.5
  - D. 7.0 to 7.4
4. Which of the following elements is considered abnormal when present in vaginal secretions?
  - A. Bacteria
  - B. Pseudohyphae
  - C. Yeast
  - D. White blood cells
5. Which of the following organisms and substances is responsible for the normal pH of the vagina?
  - A. *Gardnerella vaginalis* and its metabolic byproduct succinic acid
  - B. *Lactobacilli* spp. and their metabolic byproduct lactic acid
  - C. *Mobiluncus* spp. and their metabolic byproduct acetic acid
  - D. *Prevotella* spp. and their metabolic byproduct phenylacetic acid
6. Which of the following statements best describes a clue cell?
  - A. Degenerating squamous epithelial cells with distinctive keratohyalin granulation
  - B. Budding yeast (e.g., blastoconidia) with small coccobacilli adhering to their surfaces
  - C. Squamous epithelial cells with numerous bacteria adhering to their outer cell membranes
  - D. White blood cells with numerous bacteria completely covering them such that they appear as floating spherical orbs of bacteria
7. Which of the following vaginal secretion results correlate with health?
  - A. pH 3.9; white blood cells, 3 +
  - B. pH 4.2; white blood cells, 1 +
  - C. pH 4.8; white blood cells, rare
  - D. pH 5.5; white blood cells, 2 +

8. Which of the following statements best describes the microbial flora of a healthy vagina?
- Large Gram-positive rods predominate.
  - Large Gram-positive cocci predominate.
  - Small Gram-negative rods predominate.
  - Small Gram-variable coccobacilli predominate.
9. Which of the following tests is most helpful in differentiating red blood cells from yeast in vaginal secretions?
- pH
  - Amine test
  - Wet mount examination
  - KOH preparation and examination
10. Which of the following vaginal secretion findings is most diagnostic for bacterial vaginosis?
- pH 5.0
  - Clue cells
  - Pseudohyphae
  - Parabasal cells
11. Which of the following substances is responsible for the foul, fishy odor obtained when the “whiff” test is performed on vaginal secretions?
- Lactic acid
  - Polyamine
  - Trimethylamine
  - Hydrogen peroxide
12. Select the condition that correlates best with the following vaginal secretion results:
- pH: 5.9  
Amine test: positive  
KOH examination: negative  
Wet mount examination: bacteria, mixed bacterial flora  
White blood cells: 4+
- Normal, indicating a healthy vagina
  - Bacterial vaginosis
  - Candidiasis
  - Trichomoniasis
13. Select the condition that correlates best with the following vaginal secretion results:
- pH: 4.6  
Amine test: negative  
KOH examination: negative  
Wet mount examination: bacteria, large rods predominate  
White blood cells: 1+
- Normal, indicating a healthy vagina
  - Bacterial vaginosis
  - Candidiasis
  - Trichomoniasis
14. Which of the following proteins is used as a marker of rupture of membranes in pregnant women?
- Fetal fibrinogen
  - Fetal fibronectin
  - Alpha-1 microglobulin
  - Placental alpha microglobulin-1
15. Scenario: A pregnant woman of 30 weeks' gestation complains of low back pain and occasional abdominal cramping. Which of the following tests is indicated and why?
- Fetal fibronectin test to determine whether membranes are ruptured
  - Fetal fibronectin test to determine whether at risk of preterm delivery
  - Placental alpha microglobulin-1 test to determine whether at risk of preterm delivery
  - Placental alpha microglobulin-1 test to determine whether membranes are ruptured

## CASE 13.1

A 49-year-old perimenopausal female is seen by her gynecologist for a routine annual Pap smear. Before the examination, the health care provider asked if she had any concerns, to which the patient stated that she has been noticing a foul vaginal odor, particularly after intercourse with her husband. A sample of her vaginal secretions was collected, and the following results were obtained.

**Vaginal Secretion Results****Wet Mount Examination**

pH: 5.0

Bacteria: rare large rods,  
few small rods,  
many coccobacilli

WBCs: rare

Other: clue cells present

**KOH Preparation and Examination**

Amine test: positive

KOH examination: negative

1. Based on the patient information and the vaginal fluid results provided, what is the most likely diagnosis?
2. Discuss the formation of the substance responsible for the foul odor described by this patient.
3. Explain why the foul odor is more noticeable after unprotected intercourse.
4. Briefly describe the development of this disorder in a typical woman.
5. When performing vaginal secretion analysis, what is the single most diagnostic finding associated with this condition?
6. Why are so few white blood cells present in this condition?

WBC, White blood cell.

# Amniotic Fluid Analysis

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. Discuss amniotic fluid formation and the interactive role the fetus has in the composition of the amniotic fluid.
2. State at least four indications for performing an amniocentesis and the stage in pregnancy best suited for each analysis.
3. Identify at least four sources of error in amniotic fluid testing caused by inappropriate specimen handling or chemical contamination.
4. Differentiate amniotic fluid from urine.
5. Compare and contrast the following tests for fetal pulmonary maturity:
  - Lecithin/sphingomyelin ratio
  - Phosphatidylglycerol
  - Foam stability index
  - Lamellar body count
6. Describe the analysis of bilirubin in the amniotic fluid ( $\Delta A_{450}$ ) and the relationship of this value to fetal status and the need for medical intervention.

## CHAPTER OUTLINE

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## KEY TERMS<sup>1</sup>

**erythroblastosis fetalis**

**isoimmune disease**

**lamellar bodies**

**meconium**

**oligohydramnios**

**polyhydramnios (also called *hydramnios*)**

<sup>1</sup>Definitions are provided in the chapter and glossary.

With the use of ultrasound, amniocentesis is now a common and relatively safe obstetric procedure. Advancements in technology have provided new technical methods and clinical applications for amniotic fluid analysis. The study of amniotic fluid is performed primarily for three reasons: (1) to enable antenatal diagnosis of genetic and congenital disorders early in fetal gestation (15–18 weeks), (2) to assess fetal pulmonary maturity later in the pregnancy (32–42 weeks), and (3) to estimate and monitor the degree of fetal anemia caused by isoimmunization or infection.

By far the most frequently performed tests on amniotic fluid in the clinical laboratory are used to assess fetal lung maturity (FLM) and fetal anemia resulting from an

**isoimmune disease**, which are discussed in this chapter. The specialized laboratory techniques required to detect numerous and varied genetic and metabolic disorders using amniotic fluid are beyond the scope and intent of this text and therefore are not discussed.

## PHYSIOLOGY AND COMPOSITION

### Function

Amniotic fluid is the liquid medium that bathes a fetus throughout its gestation (Fig. 14.1). The amnion, a membrane composed of a single layer of cuboidal epithelial cells,



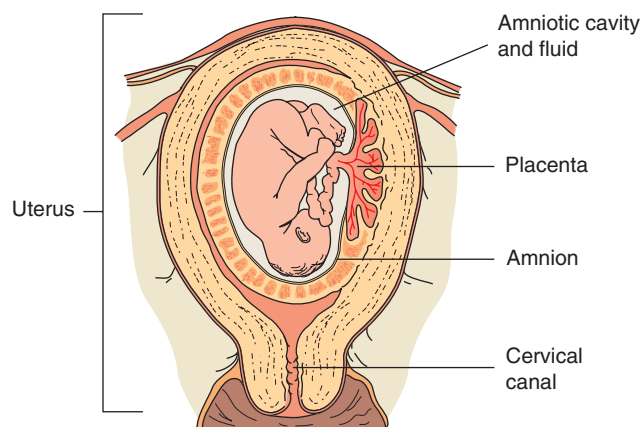


FIG. 14.1 Schematic diagram of a fetus in utero.

surrounds the fetus and is filled with this fluid. Amniotic fluid protects the fetus while enabling fetal movement and plays an important role in numerous biochemical processes. Fetal cells and many biochemical compounds, such as electrolytes, nitrogenous compounds, proteins, enzymes, lipids, and hormones, are present in the amniotic fluid. Although studies have investigated many substances as potential biochemical markers of disease, few substances (e.g., phospholipids) have demonstrated reliable clinical utility and value.

### Formation

The dynamics of amniotic fluid formation and its composition change throughout fetal gestation. Initially, amniotic fluid is produced by the amnion and the placenta, and its composition is similar to that of a dialysate of plasma. However, as gestation progresses, the fetus plays more of an active role in the composition of the fluid. Water and solutes are exchanged between the fetus and its surrounding medium through several mechanisms: (1) intestinal absorption after fetal swallowing of amniotic fluid; (2) capillary exchange in the pulmonary system as the alveoli of the fetal lungs are bathed with amniotic fluid; and (3) fetal urination. Early in gestation (before keratinization of the skin), a transudate passes through the skin of the fetus and makes a small contribution to the amniotic fluid volume. Because of fetal respiration in utero, the fetal pulmonary surfactants produced by alveolar cells of the fetal lungs mix with and can be evaluated using amniotic fluid.

In the later stages of pregnancy, fetal swallowing and urination play a major role in the volume and composition of the amniotic fluid. The fetus swallows amniotic fluid, removing water and electrolytes, and replaces them through urination with metabolic byproducts such as urea, creatinine, and uric acid. At the same time, a similar exchange occurs between the amniotic fluid and the maternal plasma. The maternal plasma removes metabolic waste products and replenishes them with water, nutrients, and electrolytes. This ongoing, dynamic equilibrium results in complete exchange of the amniotic fluid volume every 2 to 3 hours.<sup>1</sup> The presence of a neural tube defect causes fetal cerebrospinal fluid to also contribute substances to the amniotic fluid. In such cases, alpha-fetoprotein and acetylcholinesterase are two biochemical markers used to identify these defects.

### Volume

The volume of amniotic fluid increases steadily throughout pregnancy, from approximately 25 to 50 mL at 12 weeks' gestation to a volume of 800 to 1200 mL at 37 weeks' gestation.<sup>2</sup> Abnormally increased amounts of amniotic fluid (>1200 mL), termed **polyhydramnios**, are associated with decreased fetal swallowing and often indicate congenital fetal malformations. Abnormally decreased amounts of amniotic fluid (<800 mL), termed **oligohydramnios**, occur with congenital malformations and other conditions, such as premature rupture of the amniotic membranes.

## SPECIMEN COLLECTION

### Timing of and Indications for Amniocentesis

Amniotic fluid is collected transabdominally or vaginally with simultaneous ultrasonic examination. Use of real-time ultrasonography allows the clinician to identify a maternal tapping site that will yield amniotic fluid and at the same time avoid injury to the fetus or the placenta. Transabdominal amniocentesis is preferred because vaginal amniocentesis is associated with an increased risk of infection, can result in contamination of the fluid with vaginal cells and bacteria, and can adversely affect results obtained using FLM tests.

Typically, amniocentesis is performed after 14 weeks' gestation; however, the purpose of performing the procedure dictates when it is done (Table 14.1). For example, an amniocentesis to detect neural tube defects or genetic abnormalities is usually performed at 15 to 18 weeks' gestation. This allows sufficient time for the performance of chromosomal and biochemical studies, which may include culturing of fetal cells, as well as time for consideration of pregnancy termination if the fetus is determined to be abnormal.

Amniocentesis later in pregnancy is primarily used to assess the pulmonary and overall health status of the fetus.

TABLE 14.1 Indications for Amniocentesis

When to Perform Amniocentesis	Indications
14–18 weeks	<ul style="list-style-type: none"> <li>Mother <math>\geq 35</math> years</li> <li>Parent has known chromosomal abnormality</li> <li>Previous child with chromosomal abnormality</li> <li>Previous child with neural tube defect</li> <li>Parent is carrier of a metabolic disorder</li> <li>Elevated maternal alpha-fetoprotein (suspect neural tube defect)</li> </ul>
20–42 weeks	<ul style="list-style-type: none"> <li>Assessment of fetal distress due to               <ul style="list-style-type: none"> <li>• Blood group incompatibility (e.g., Rh)</li> <li>• Infection</li> </ul> </li> <li>Assessment of fetal lung maturity</li> </ul>

Rh, Rhesus.

Tests can determine the maturity of the fetal pulmonary system by analyzing surfactants in the amniotic fluid. If results indicate an immature fetal pulmonary system, elective delivery can be postponed and corticosteroids (betamethasone) that promote lung development can be given, or other attempts can be made to suppress premature labor. In late pregnancy, amniocentesis may be performed to assess fetal status owing to toxemia, diabetes mellitus, or isoimmunization by Rhesus (Rh) factor. At times, these conditions necessitate early termination of a pregnancy and the delivery of a premature infant.

### Collection and Specimen Containers

Using aseptic technique, a physician pierces the abdominal and uterine walls with a long, sterile needle and aspirates approximately 10 to 20 mL of amniotic fluid into several sterile syringes. A series of numbered syringes (usually two or three) are used to prevent contamination of the entire collection with blood that can be encountered initially. The blood can result from piercing a blood vessel in the abdominal wall, uterus, placenta, umbilical cord, or fetus. Ideally, the amniotic fluid shows no evidence of blood.

Immediately after its collection, the amniotic fluid should be carefully transferred into sterile plastic containers for transport to the laboratory. Glass containers should be avoided because cells will adhere to glass. Amber-colored containers or aluminum foil should be used to protect the fluid from light; this prevents photo-oxidation of bilirubin, if present. When cytogenetic or microbial studies are to be performed, amniotic fluid must be processed aseptically.

### Specimen Transport, Storage, and Handling

Transportation of amniotic fluid specimens to the laboratory should occur as soon as possible to ensure the preservation of cellular and biochemical constituents. Note that storage temperature and handling (e.g., centrifugation) will vary with the tests requested and the protocols used by the laboratory performing the test.

Specimens for cell culture, chromosomal studies, and microbial or viral culture must be maintained at body or room temperature. For FLM testing, amniotic fluid should be analyzed as soon as possible; if testing will be delayed, it should be refrigerated at 2 to 8°C.<sup>3</sup> When bilirubin analysis is requested, the specimen must be protected from light at the bedside by wrapping the collection tube in foil or by using an amber-colored container. Specimens for bilirubin testing can be refrigerated or frozen, depending on the requirements of the testing laboratory.

Depending on the FLM tests performed, amniotic fluid may (L/S ratio) or may not (lamellar body count [LBC]) be centrifuged. Note that the speed and duration of centrifugation will alter the composition of the amniotic fluid supernatant and pellet significantly. Low centrifuge speeds are used to recover fetal cells from amniotic fluid for cell culture. For spectrophotometric assays (e.g., bilirubin), a high speed is used to maximally clear the supernatant of particulates and turbidity. Another approach used to remove residual turbidity is to filter the amniotic fluid; however, this can significantly reduce the amount of sample available for testing.

### Differentiation From Urine

At times it may be necessary to determine whether the fluid collected is amniotic fluid or whether it is urine aspirated from the bladder. Physical examination alone cannot distinguish between these fluids because they can have the same appearance. However, their chemical compositions are distinctly different for several analytes. Amniotic fluid contains glucose and a significant amount of protein (approximately 2–8 g/L), and, until late pregnancy, the creatinine concentration is similar to that of normal plasma. In contrast, urine has essentially no protein or glucose and contains characteristically high concentrations of urea and creatinine (50–100 times those of plasma). Therefore these chemical constituents can be used to positively determine the identity of the fluid collected. For example, if a reagent strip test were used, positive results for glucose and protein would identify the fluid as amniotic fluid. However, because diabetes and renal disease can cause protein and glucose to be present in urine, the creatinine or urea concentration of the fluids should also be determined.

It should be noted that in late pregnancy (37 weeks' gestation or longer), the use of creatinine levels to distinguish between urine and amniotic fluid is more challenging. Fetal renal function has begun and contributes creatinine to the amniotic fluid. At this stage, the creatinine concentration in amniotic fluid can be two to three times that of normal plasma or up to 3.9 mg/dL (345 μmol/L). If a creatinine value greater than 4 mg/dL (354 μmol/L) is obtained, this indicates that the fluid is either urine or amniotic fluid contaminated with urine.

## PHYSICAL EXAMINATION

### Color

The physical examination of amniotic fluid should take place immediately after its receipt in the laboratory. This examination consists of a visual assessment of the color and turbidity of the fluid. Normally, amniotic fluid is colorless or pale yellow. Distinctive yellow or amber coloration is associated with the presence of bilirubin; a green color indicates the presence of meconium. **Meconium** is a gelatinous or mucus-like material that forms in the fetal intestine as a result of swallowed amniotic fluid and fetal intestinal secretions. Biliverdin is responsible for its dark green color. Normally, full-term infants do not have a bowel movement in utero but excrete meconium as their first bowel movement after birth. However, fetal distress can cause premature release of meconium into the amniotic fluid.

Blood contamination causes amniotic fluid to appear anywhere from pale pink to red. If blood is present in an amniotic fluid sample, the specimen should be centrifuged immediately to remove any intact red blood cells before hemolysis occurs. Hemolysis causes the formation of oxyhemoglobin, which can interfere with several biochemical tests.

### Turbidity

All amniotic fluid is turbid to some degree depending on the stage of pregnancy. Early in pregnancy, little particulate matter

is present and the fluid is not very turbid. As pregnancy progresses, increased amounts of fetal cells, hair, and vernix are sloughed and remain suspended in the amniotic fluid. Two techniques that can be used to remove the particulate matter causing the turbidity are centrifugation and filtration.

## CHEMICAL EXAMINATION

### Tests to Determine Fetal Lung Maturity

When premature delivery is anticipated or desired because of fetal distress or other complications, ensuring that the fetus will be viable outside the mother's uterus is important. To assess fetal maturity and potential viability, tests that evaluate the functional status of the fetal lungs predominate because the pulmonary system is one of the last organ systems to mature. Note that FLM testing on amniotic fluid when gestation is less than 32 weeks is not performed because all FLM test results will indicate immaturity.<sup>4</sup>

Respiratory distress syndrome (RDS) is the most common cause of death in the newborn and is a primary concern when a preterm delivery is imminent. RDS results from insufficient production of surfactant at the alveolar surfaces in the newborn's lungs. Normally, alveolar epithelial cells of the lungs (type II pneumocytes) produce and secrete phospholipids (90%) and proteins (10%) in the form of **lamellar bodies**.<sup>5</sup> These lamellar bodies release their "surface active" compounds, also known as *surfactants*, into the alveolar air space. Surfactants act in two ways: they alter the surface tension of the alveoli, preventing their collapse during expiration, and they reduce the amount of pressure needed to reopen them during inspiration. Gluck and associates discovered the correlation between FLM and the concentrations of specific phospholipids in amniotic fluid.<sup>6</sup> More recently, it has been recognized that the probability of developing RDS is best determined by using two factors: the results of FLM tests and the gestational age of the fetus at the time of testing.<sup>4</sup> From several studies, a table has been developed to guide clinicians in making individualized risk-benefit decisions for preterm delivery using gestational age and the FLM value.<sup>7</sup>

The American College of Obstetrics and Gynecology (ACOG) recommends a sequential or "cascade" approach to FLM testing. With this approach, a "mature" result using any of the common FLM tests is strongly predictive for the absence of RDS.<sup>8</sup> In other words, a series of FLM tests can be performed until a mature result is obtained or all testing options have been used (Table 14.2). A rapid test, such as a LBC, should be performed first. In late pregnancy (> 35 weeks' gestation), the rapid immunochemical test used to detect phosphatidylglycerol (PG) can be used. Additional testing is required when initial test results (1) are indeterminate, (2) are at the cutoff value, or (3) indicate immaturity. The cutoff values for FLM tests have been selected to reduce the risk of delivering infants with immature lungs. However, a "mature" result from an FLM test does not completely eliminate the possibility of RDS. In other words, the predictive value of a

negative test (i.e., a mature result) is high (95% to 100%) for all available FLM tests; however, the predictive value of a positive test (i.e., an immature result and the presence of RDS) is low (23–61%) and varies with the FLM test used.<sup>4</sup>

The availability of FLM tests and the protocol used vary among laboratories. Before 2012, the most frequently performed FLM test was the fluorescence polarization test, which measured the surfactant/albumin ratio in amniotic fluid. This method is no longer available because the manufacturer discontinued the instrument platform and reagents. In addition, over the past decade the volume of FLM testing in laboratories has decreased, and surveys indicate the most common reason is that obstetricians feel the FLM test is not needed for patient care.<sup>9</sup> Of the FLM tests, the most costly, as well as the most labor and expertise intensive, are the reference thin-layer chromatography (TLC) assays that detect phospholipids (lecithin, sphingomyelin, PG) in amniotic fluid. In comparison, PG by agglutination and LBCs using a hematology analyzer are relatively easy to perform. However, PG by agglutination is only applicable at gestations of 35 weeks or longer, and for LBCs, each laboratory must perform its own amniotic fluid validation study using its hematology analyzer.

### Lecithin/Sphingomyelin Ratio

In fetal lungs, phospholipids are required to decrease the surface tension within the alveoli, and in doing so, prevent alveolar collapse and enable gas exchange. Lecithin is the major pulmonary surfactant that performs this function. Sphingomyelin, a phospholipid found in numerous cell membranes, is also present, but its functional role has yet to be established. Studies of phospholipid concentrations in amniotic fluid have revealed that until approximately 33 weeks' gestation, the fetal pulmonary system produces lecithin and sphingomyelin in relatively equal concentrations. However, at 34 to 36 weeks' gestation, the concentration of lecithin significantly increases, whereas that of sphingomyelin remains relatively constant or decreases (Fig. 14.2). These observations led to the calculation of the lecithin/sphingomyelin (L/S) ratio, which has become clinically valuable in evaluating FLM or fetal pulmonary status.

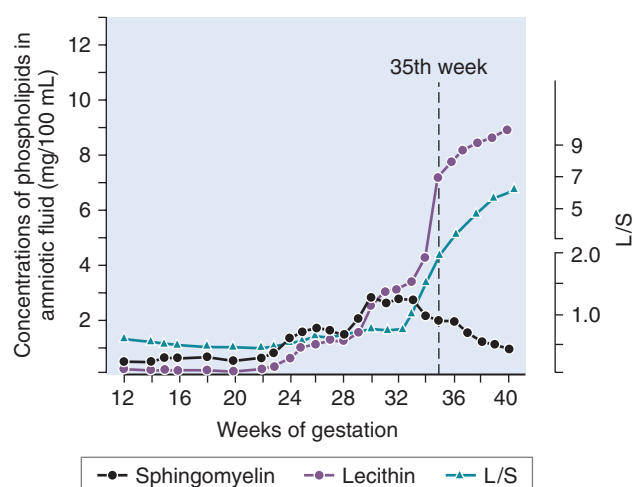
An L/S ratio of less than 2.0 is associated with immaturity of the fetal pulmonary system, whereas one equal to or greater than 2.0 indicates maturity. These values are determined using TLC to quantify the relative concentrations of each phospholipid. Numerous variations of the original TLC procedure exist. For example, when the acetone precipitation step is eliminated, slightly higher values are obtained. Therefore assessment of FLM requires a comparison of the L/S ratio obtained with the reference criteria established by the laboratory performing the test.

The presence of blood in amniotic fluid can decrease a mature result, whereas it can increase an immature result.<sup>4</sup> Consequently, an L/S ratio that indicates maturity despite using a bloody amniotic fluid specimen has clinical value. However, the reverse (i.e., an immature result on a bloody specimen) does not have value. Meconium-contaminated

TABLE 14.2 Fetal Lung Maturity Tests

Test	Principle	Effects of Blood and Meconium	Advantages	Disadvantages
Lamellar body counts (LBC)	Automated cell counter (Hematology analyzers) enumerates lamellar bodies in amniotic fluid using the platelet channel	<ul style="list-style-type: none"> <li>Blood falsely decreases count</li> <li>Meconium causes minimal increase in counts<sup>3,4</sup></li> </ul>	<ul style="list-style-type: none"> <li>Simple, rapid, reliable</li> <li>Inexpensive—uses available instrumentation</li> </ul>	No consensus on a cutoff value that predicts RDS <sup>3</sup>
Lecithin/sphingomyelin (L/S) ratio	Thin-layer chromatography	<ul style="list-style-type: none"> <li>Blood <i>falsely lowers</i> ratio</li> <li>Meconium <i>falsely increases</i> ratio</li> </ul>	Reference method	<ul style="list-style-type: none"> <li>Technically difficult, labor-intensive</li> <li>Time-consuming</li> <li>Expensive</li> </ul>
Phosphatidylglycerol (PG)	Agglutination test: agglutination of PG using polyclonal anti-PG antibodies; qualitative results	None	<ul style="list-style-type: none"> <li>Simple, rapid, reliable</li> <li>Can use vaginal pool collections</li> </ul>	<ul style="list-style-type: none"> <li>Applicable only at <math>\geq 35</math> weeks' gestation</li> <li>High "false" immaturity rate</li> </ul>
	Thin-layer chromatography	None	Reference method	<ul style="list-style-type: none"> <li>Technically difficult and labor-intensive</li> <li>Time-consuming</li> <li>Expensive</li> </ul>
Foam stability index (FSI)	Amniotic fluid shaken vigorously with varying concentrations of ethanol: index is the highest concentration with a stable layer of bubbles at meniscus	<ul style="list-style-type: none"> <li>Blood and meconium cause <i>falsely increased</i> results</li> </ul>	Simple, rapid	<ul style="list-style-type: none"> <li>Rarely performed</li> <li>Reproducibility is technically challenging</li> <li>Requires clean glassware and absolute ethanol</li> </ul>

RDS, Respiratory distress syndrome.



**FIG. 14.2** Changes in the concentrations of lecithin and sphingomyelin and changes in the lecithin/sphingomyelin ratio during normal pregnancy. (Adapted from Gluck L, Kulovich MV: Lecithin/sphingomyelin ratios in amniotic fluid in normal and abnormal pregnancies, *Am J Obstet Gynecol* 115:539, 1973.)

amniotic fluid should not be used. Although lecithin and sphingomyelin are not present in meconium, the L/S ratio obtained when meconium-contaminated specimens are used is unreliable and must be interpreted with caution, if performed.

The L/S ratio is a better predictor of the maturity of fetal lungs than of immaturity. In other words, some infants (2–5%) with an L/S ratio of greater than 2.0 still develop RDS, despite having a “mature” L/S ratio. In contrast, 30% to 40% of infants with an L/S ratio between 1.5 and 2.0 do not develop RDS and are falsely identified by the L/S ratio as having an “immature” pulmonary system.

### Phosphatidylglycerol

Phosphatidylglycerol (PG), a phospholipid that enhances the spread of surfactants across the alveolar surface, normally is not detectable in amniotic fluid until 35 weeks' gestation. PG can be measured using similar TLC procedures as for L/S ratios or by an agglutination slide test—the Amniostat-FLM test (Irvine Scientific, Santa Ana, CA). This immunochemical test uses polyclonal anti-PG antibodies to agglutinate the microscopic PG-containing lamellar bodies in amniotic fluid into macroscopically visible agglutinates or clusters.



Results are reported as negative (immature) or as low positive (mature) or high positive (mature) based on the size of agglutinates and the degree of background clearance. The agglutination test is simple to perform and takes a minimal amount of time (<30 minutes) compared with the more labor-intensive TLC procedure.

Even though PG detection tests are specific for PG, they produce a high number of false-negative results (i.e., identifying fluids as immature when in fact there is maturity). Therefore, a positive PG slide test is clinically valuable, indicating pulmonary maturity, whereas a negative test provides no useful information. PG detection tests are reliable and have a distinct advantage in that results are not affected by the presence of blood or meconium. In addition, test results obtained using vaginal pool collections of amniotic fluid are valid.<sup>4</sup> One disadvantage of PG tests is that they cannot be used until late pregnancy (>35 weeks' gestation).

### Lamellar Body Counts

The pulmonary surfactants are stored in lamellar bodies. Under electron microscopy, they have a characteristic layered or onion-like appearance, hence their name. The secretion of lamellar bodies into the alveolar lumen begins at 20 to 24 weeks' gestation. By the third trimester, they are present in the amniotic fluid at concentrations ranging from 50,000 to 200,000 per microliter.

LBCs can be rapidly and reliably obtained using the platelet channel of an automated hematology cell counter. Amniotic fluid contaminated with blood should not be used. Depending on the amount of blood present, it can cause an increased LBC resulting from the presence of blood platelets, or a decreased LBC from trapping of lamellar bodies in the clot matrix.<sup>3,4</sup> The presence of meconium will cause a falsely increased LBC.<sup>3</sup> Likewise, specimens with mucus should not be tested for lamellar bodies because the mucus falsely increases the count and can also cause interference in the cell counter (e.g., plugging).<sup>3</sup> Several advantages of LBC include (1) the small sample volume needed ( $\approx 0.5$  mL), (2) the short turnaround time, and (3) the low cost. Studies comparing LBC with the L/S ratio and the PG test have shown LBC to be a reliable screening test to assess FLM.<sup>10-12</sup>

Because the LBC can vary with the laboratory's instrumentation and protocol, a consensus statement on the performance of LBC has been published, and the analytic steps are summarized in [Box 14.1](#).<sup>3,13</sup> Note that laboratories must establish and validate their analyzers and set their own cutoffs for maturity. Results are reported as the number of lamellar bodies per microliter. In the consensus protocol, when uncentrifuged samples are used, LBCs greater than 50,000/ $\mu$ L are considered mature and those less than 15,000/ $\mu$ L are considered immature.<sup>13</sup> When the LBC value is below the FLM cutoff for the laboratory, additional FLM testing using alternate methods is necessary.<sup>14</sup>

### Foam Stability Index

The foam stability index (FSI), or "shake test," is based on the physical or functional characteristics that surfactants impart

#### BOX 14.1 Consensus Protocol for Determining Lamellar Body Count<sup>3</sup>

1. Mix the amniotic fluid sample by inverting the capped sample container five times.
2. Transfer the fluid to a clear test tube to allow for visual inspection.
3. Visually inspect the specimen. Fluids containing obvious mucus, whole blood, or meconium should not be processed for a lamellar body count (LBC).
4. Cap the tube and mix the sample by gentle inversion or by placing the tube on a tube rocker for 2 minutes.
5. Flush the platelet channel; analyze the instrument's diluent buffer until a background count deemed acceptable by the laboratory is obtained in two consecutive analyses.
6. Process the specimen through the cell counter and record the platelet channel as the LBC.

to amniotic fluid. In other words, if adequate surfactants are present in the amniotic fluid, a foam can be produced by shaking the fluid vigorously with ethanol and the bubbles remain stable at the air-liquid interface in the tube. Although this indirect assessment of surfactant concentration is rapid and considered easy to perform, it is not recommended for several reasons: (1) it has poor reproducibility because of the subjective evaluation of results, (2) contamination of fluid with blood and meconium adversely affects results, and (3) maintaining absolute ethanol is a challenge because opened bottles readily absorb water from the atmosphere (hygroscopic).<sup>15</sup>

Determining the FSI involves mixing equal volumes of amniotic fluid with differing volumes of absolute ethanol, followed by vigorous shaking. The concentration of ethanol in each tube is crucial and represents the possible index values, ranging from 0.43 to 0.55. The highest concentration of ethanol with a stable foam present is the FSI for that specimen. A stable foam is one in which the bubbles remain around the entire meniscus of the tube for 15 minutes after shaking. An FSI of 0.47 or greater correlates with FLM.

A significant disadvantage of the FSI is the inaccurate results obtained when blood or meconium contaminates the amniotic fluid. These substances cause a falsely high or "mature" index value, when in fact the amount of functional pulmonary surfactant present is inadequate. Therefore a "mature" FSI (i.e., 0.47 or greater) obtained on a contaminated specimen is of no clinical value; in contrast, an "immature" index on a contaminated specimen is clinically useful, indicating inadequate pulmonary surfactant.

### Test to Detect Blood Type Incompatibility Amniotic Fluid Bilirubin (or $\Delta A_{450}$ Determination)

Normally throughout fetal gestation, the bilirubin concentration in amniotic fluid is low and essentially undetectable ( $\approx 10$  to 30 mg/dL; 0.17 to 0.51  $\mu$ mol/L). During normal red blood cell (RBC) destruction in the fetus, the unconjugated bilirubin produced is removed rapidly by the placenta into

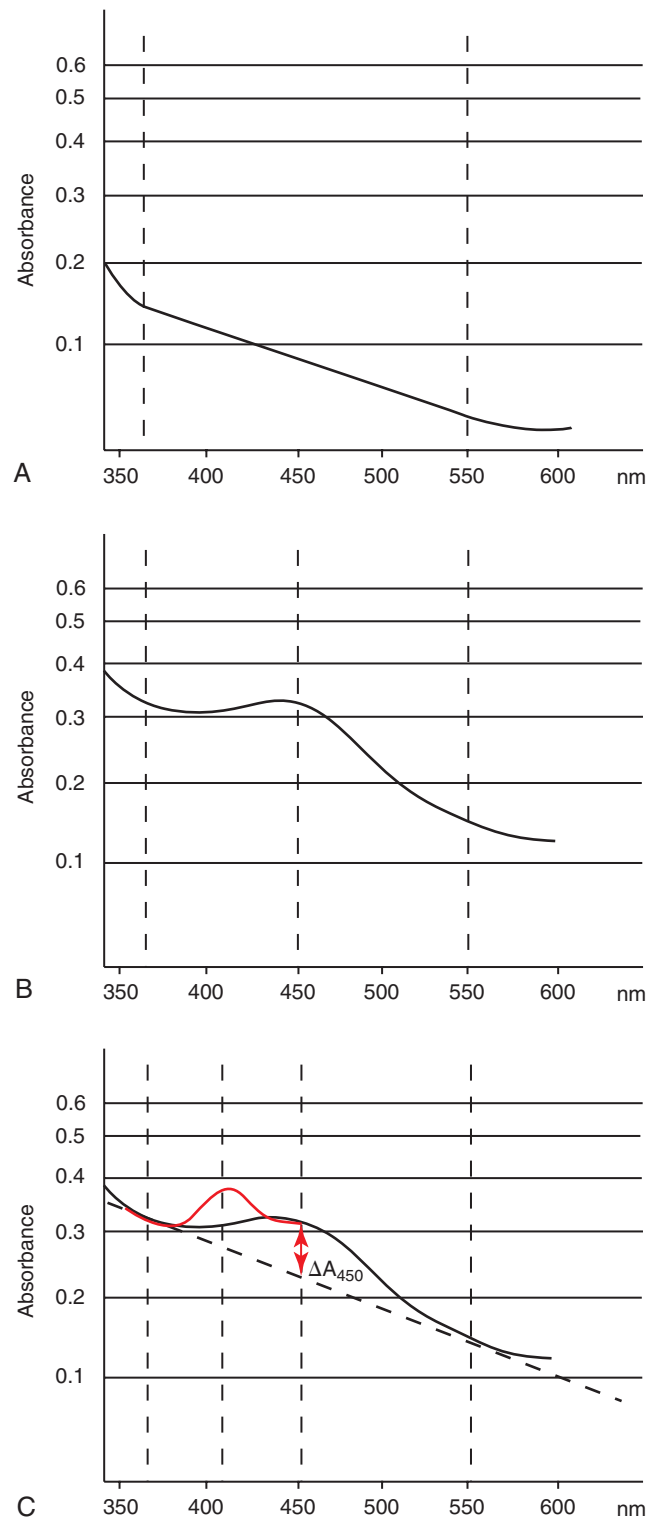
the maternal circulation. Because the fetus has an immature liver, when a hemolytic disease process causes increased and persistent hemolysis of fetal erythrocytes, the production of unconjugated bilirubin increases significantly. As a result, an increased amount of unconjugated bilirubin enters the amniotic fluid through a mechanism that remains unclear, and its presence is detectable spectrophotometrically.

Hemolytic disease of the newborn, or **erythroblastosis fetalis**, occurs when maternal antibodies cross the placenta into the fetal circulation and destroy large numbers of fetal RBCs. This isoimmune disease can involve any blood group antigen and indicates that, at some point during the current pregnancy or a previous one, the maternal circulation was exposed to fetal blood cells and developed an antibody against them. The most commonly encountered fetal hemolytic disease results from sensitization of a Rh-negative mother to the Rh<sub>0</sub>(D) antigen. It can be prevented by the administration of Rh<sub>0</sub>(D) immune globulin (RhoGAM) to Rh-negative mothers, typically at 28 weeks' gestation and within 72 hours after childbirth. The immune globulin prevents the mother's immune system from becoming sensitized to fetal Rh antigen.

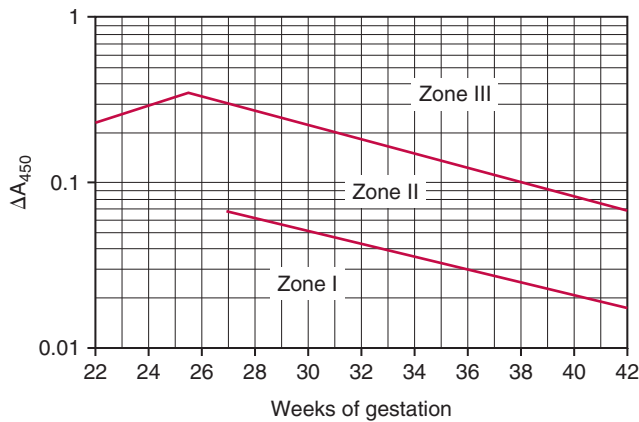
The amount of bilirubin in amniotic fluid is directly related to the severity of hemolysis, and serial bilirubin measurements are used to monitor the condition. When normal amniotic fluid is scanned spectrophotometrically from 350 to 580 nm, the spectral curve obtained is essentially a straight line that gradually decreases in absorbance between 365 and 550 nm (Fig. 14.3A). Bilirubin has maximum absorbance at 450 nm. Therefore, as the concentration of bilirubin in amniotic fluid increases, the absorbance of the spectral curve at 450 nm also increases proportionately (Fig. 14.3B). The change in absorbance at 450 nm,  $\Delta A_{450}$ , is obtained by drawing a straight baseline for the spectral curve between 365 and 550 nm and calculating the difference in absorbance at 450 nm (Fig. 14.3C).

From numerous studies performed in the 1950s and 1960s, a relationship between the  $\Delta A_{450}$  of amniotic fluid and the severity of hemolytic disease was established and resulted in the Liley chart.<sup>16</sup> This chart is a semilogarithmic plot of  $\Delta A_{450}$  values versus the fetal gestational age ( $\geq 27$  weeks) and is divided into three zones that represent the severity of hemolytic disease the fetus is experiencing in utero (Fig. 14.4). In 1993 the Queenan chart was developed from data obtained between 14 and 40 weeks' gestation and is divided into four diagnostic zones (Fig. 14.5).<sup>17</sup> Note that the gestational age must be known to evaluate  $\Delta A_{450}$  values, and regardless of the chart used, values in each zone decrease as the fetal gestational age increases. When the gestational age is less than 27 weeks, the Queenan chart should be used. When the gestational age is 27 weeks or greater, the Queenan or Liley chart can be used. A study in 2006 has suggested a slightly higher diagnostic accuracy for the Queenan chart regardless of gestational age.<sup>18</sup>

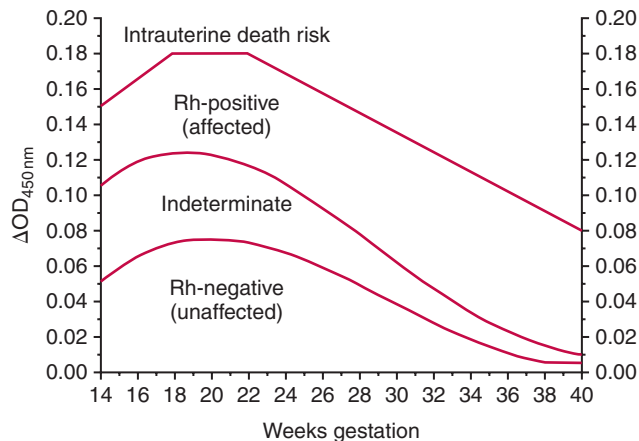
Using the Liley chart,  $\Delta A_{450}$  values that fall into zone I are considered normal, representing a minimally affected fetus. Zone II, the middle zone, indicates moderate hemolysis.



**FIG. 14.3** The determination of  $A_{450}$  in amniotic fluid. **A**, Normal amniotic fluid. **B**, Amniotic fluid with a bilirubin peak at 450 nm. **C**, Amniotic fluid with a bilirubin peak at 450 nm and contaminated with oxyhemoglobin, which peaks at approximately 410 nm. The dashed line indicates the baseline drawn between the linear portions of the curve (i.e., between 365 nm and 550 nm). The red line indicates oxyhemoglobin absorbance.



**FIG. 14.4** Liley's three-zone chart (with modification) for the interpretation of amniotic fluid  $A_{450}$  values; it is applicable primarily in pregnancies between 27 and 42 weeks' gestation. (Modified from Liley AW: *Liquor Amnii Analysis in the Management of the Pregnancy Complicated by Rhesus Sensitization*. *Am J Obstet Gynecol.* 82;1359–1370. 1961.)



**FIG. 14.5** The Queenan chart for the interpretation of amniotic fluid  $A_{450}$  values; it is applicable in pregnancies between 14 and 40 weeks' gestation. From Queenan JT, Tomai TP, Ural SH, King JC. Deviation in Amniotic Fluid Optical Density at a Wavelength of 450 nm in Rh-immunized Pregnancies from 14 to 40 Weeks' Gestation: A Proposal for Clinical Management. *Am J Obstet Gynecol.* 168;1370–1376. 1993.

However, if serial determinations indicate that values are in zone II and are increasing, then marked hemolysis is taking place. Values in the uppermost region, zone III, indicate that the fetus is experiencing severe hemolysis and will die without intervention.

Using the Queenan chart,  $\Delta A_{450}$  values in the lowest zone indicate either an unaffected or mildly affected fetus. The indeterminate and affected zones indicate increasing severity of fetal anemia, and the uppermost zone indicates severe hemolytic disease and a high risk for mortality.<sup>15</sup>

When an immunologic incompatibility between the fetus and the mother is suspected, amniocentesis is initially performed and repeated at periodic intervals thereafter. A decreasing  $\Delta A_{450}$  in subsequent determinations is a good

prognostic sign, whereas equivalent or increasing values indicate worsening of fetal health status.

Amniotic fluid specimens contaminated with blood are generally not acceptable because of interference caused by oxyhemoglobin absorbance peaks at approximately 410 nm and 540 nm (see Fig. 14.3C). However, if bloody specimens are processed immediately and the blood is removed before significant hemolysis has taken place, the spectral curve obtained may not be significantly affected. The magnitude of oxyhemoglobin contamination can be determined by calculating the differences in absorbance at 410 nm. If significant overlap of the oxyhemoglobin and bilirubin absorbance curves is evident, the  $\Delta A_{450}$  results are not valid. Similarly, meconium-contaminated amniotic fluid specimens are not acceptable for  $\Delta A_{450}$  measurements because meconium absorbs maximally between 350 nm and 400 nm. When blood and meconium contaminate the fluid, falsely low  $\Delta A_{450}$  results are obtained because these substances interfere when drawing the spectral baseline. A preanalytic source of error in bilirubin detection occurs when the amniotic fluid is not protected from light immediately after collection and throughout transport and processing. Loss of bilirubin caused by light exposure will also cause falsely low  $\Delta A_{450}$  values.

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## STUDY QUESTIONS

1. Which of the following is *not* a function of the amniotic fluid surrounding a developing fetus?
  - A. Amniotic fluid provides protection for the fetus.
  - B. Amniotic fluid enables fetal movement.
  - C. Amniotic fluid is a medium for oxygen exchange.
  - D. Amniotic fluid is a source of water and solute exchange.
2. Amniocentesis is usually performed at 15 to 18 weeks' gestation to determine which of the following conditions?
  - A. Fetal distress
  - B. Fetal maturity
  - C. Genetic disorders
  - D. Infections in the amniotic fluid
3. Through which of the following mechanism(s) does solute and water exchange occur between the fetus and the amniotic fluid?
  1. Fetal swallowing of the amniotic fluid
  2. Transudation across the fetal skin
  3. Fetal urination into the amniotic fluid
  4. Respiration of amniotic fluid into the fetal pulmonary system
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.
4. Select the term used to describe a decreased volume of amniotic fluid present in the amniotic sac.
  - A. Anhydramnios
  - B. Hydramnios
  - C. Oligohydramnios
  - D. Polyhydramnios
5. Amniotic fluid specimens are immediately protected from light to preserve which of the following substances?
  - A. Bilirubin
  - B. Fetal cells
  - C. Meconium
  - D. Phospholipids
6. Which of the following substances, when present in amniotic fluid, is affected adversely by refrigeration?
  - A. Bilirubin
  - B. Fetal cells
  - C. Protein
  - D. Phospholipids
7. When processing amniotic fluid, high centrifugation speeds are used to clear the fluid of turbidity for
  - A. bilirubin analysis.
  - B. culturing of fetal cells.
  - C. meconium detection.
  - D. phospholipid analysis.
8. Analysis for which of the following substances can aid in the differentiation of amniotic fluid from urine?
  1. Urea
  2. Glucose
  3. Creatinine
  4. Protein
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.
9. Which of the following statements about amniotic fluid is true?
  - A. Amniotic fluid is normally clear and colorless.
  - B. Normally amniotic fluid contains fetal hair, cells, and vernix.
  - C. Amniotic fluid and urine can be differentiated by a physical examination of the fluid.
  - D. When contaminated with meconium, amniotic fluid takes on a yellow or amber coloration.
10. Which of the following is *not* a test to evaluate the surfactants present in the fetal pulmonary system?
  - A.  $\Delta A_{450}$
  - B. Lecithin/sphingomyelin ratio
  - C. Phosphatidylglycerol detection
  - D. Foam stability index
11. Which of the following test results would indicate fetal lung immaturity?
  1. A lecithin/sphingomyelin ratio of less than 2.0
  2. A lecithin/sphingomyelin ratio of more than 2.0
  3. A lecithin/sphingomyelin ratio of more than 2.0, with phosphatidylglycerol absent
  4. A lecithin/sphingomyelin ratio of less than 2.0, with phosphatidylglycerol present
  - A. 1, 2, and 3 are correct.
  - B. 1 and 3 are correct.
  - C. 4 is correct.
  - D. All are correct.



12. Which of the following conditions can cause erythroblastosis fetalis?
- Immaturity of the fetal liver
  - Decreased amounts of amniotic fluid
  - Inadequate fetal pulmonary surfactants
  - Maternal immunization by fetal antigens
13. A  $\Delta A_{450}$  value that falls into zone III on the Liley chart indicates that the fetus is experiencing
- no hemolysis.
  - mild hemolysis.
  - moderate hemolysis.
  - severe hemolysis.
14. The  $\Delta A_{450}$  value is determined using amniotic fluid from a mother at 20 weeks' gestation. Which chart(s) should be used to assess this value and the status of the fetus?
- Liley chart
  - Queenan chart
  - Either chart can be used.
  - More information is needed.

### CASE 14.1

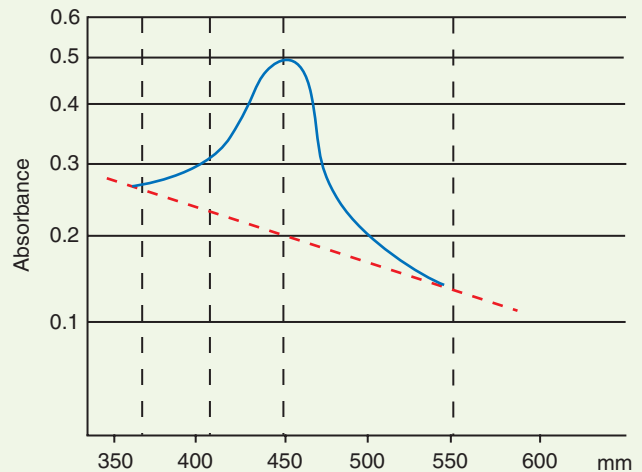
A 32-year-old pregnant woman is seen by an obstetrician for the first time during her third pregnancy. She thinks she is around 33 weeks' gestation. She is from a Third World country and 3 months ago relocated to the United States with her husband and family. A patient history reveals that she has two children—a boy, 7 years old, and a girl, 5 years old. Both births were normal and uncomplicated; however, she states that her daughter had become yellow shortly after birth and that she was given a blood transfusion.

Routine prenatal blood work is performed. The mother is determined to be type O Rh-negative, and an antibody screen reveals the presence of an anti-Rh<sub>0</sub>(D). Her antibody titer is positive to a 1:32 dilution. Her husband is determined to be type A Rh-positive. To assess and monitor the severity of the suspected hemolytic process taking place, weekly amniocenteses are scheduled.

#### Amniotic Fluid Results

33 Weeks' Gestation	34 Weeks' Gestation	35 Weeks' Gestation
$\Delta A_{450}$ : 0.200	$\Delta A_{450}$ : 0.245	Lecithin: 4.7 mg/dL
L/S ratio: 1.1	L/S ratio: 1.5	Sphingomyelin: 2.3 mg/dL
PG: absent	PG: absent	PG: present

Spectrophotometer scan for  $\Delta A_{450}$  determination at 35 weeks' gestation



- Calculate the  $\Delta A_{450}$  for the amniotic fluid specimen obtained at 35 weeks' gestation using the spectrophotometer scan provided.
- Using the Liley chart in Fig. 14.4, determine the zone in which the  $\Delta A_{450}$  value falls at 35 weeks.
- Describe the clinical implications that accompany a result in this zone.
- Using the values for lecithin and sphingomyelin provided at 35 weeks, calculate the lecithin/sphingomyelin ratio.
- Based on the fetal lung maturity tests performed each week, state whether the fetal lungs are mature or immature.

L/S ratio, Lecithin/sphingomyelin ratio; PG, phosphatidylglycerol.

## Fecal Analysis

### LEARNING OBJECTIVES

*After studying this chapter, the student should be able to:*

- Describe the composition and formation of normal feces.
- Describe the effect of abnormal intestinal water reabsorption on the consistency of feces.
- Explain the three physiologic mechanisms that cause diarrhea.
- Differentiate between secretory and osmotic diarrhea using the fecal osmolality.
- Identify at least three causes of secretory and osmotic diarrhea.
- Compare and contrast the mechanisms of maldigestion and malabsorption and the relationship of each to diarrhea.
- Differentiate inflammatory from noninflammatory acute diarrhea based on symptoms, diarrheal mechanisms, and fecal laboratory tests.
- Identify pathogens associated with acute diarrhea and their mode of transmission.
- Categorize diseases associated with chronic diarrhea as inflammatory or noninflammatory, and state the predominant diarrheal mechanism.
- Differentiate between steatorrhea and diarrhea, and discuss the physiologic conditions that result in steatorrhea.
- Describe the following types of fecal collections and give an example of a test requiring each type:
  - A random stool collection, with and without dietary restrictions
  - A 3-day fecal collection, with and without dietary restrictions
- Describe the macroscopic characteristics of normal feces.
- List the major causes of abnormal fecal color, consistency, and odor.
- State the primary purpose for the detection of fecal neutrophils.
- Discuss the qualitative assessment of fecal fat using a microscopic examination and the clinical utility of quantitative fecal fat tests.
- List at least five causes of blood in feces and state the importance of fecal occult blood detection.
- Discuss the advantages and disadvantages of the different indicators used on commercial slide tests for fecal occult blood.
- Compare and contrast the following methods for the detection of fecal blood:
  - Slide tests
  - Quantitative chemical tests
  - Immunologic assays
  - Radiometric assays
- Describe the chemical principle used for screening feces or vomitus for fetal hemoglobin.
- Discuss the effect that disaccharidase deficiency has on fecal characteristics and formation.
- State two methods for the qualitative detection of abnormal quantities of fecal carbohydrates.
- State the purpose and describe the principle of the xylose absorption test.

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Examination of feces provides important information that aids in the differential diagnosis of various gastrointestinal (GI) tract disorders, which range from maldigestion and malabsorption to bleeding or infestation by bacteria, viruses, or parasites. Hepatic and biliary conditions that result in decreased bile secretion, as well as pancreatic diseases that cause insufficient digestive enzymes, are also identifiable by fecal analysis. By far the test that currently is most commonly performed on feces is the chemical test for occult, or hidden, blood. Occult blood is recognized as the earliest and most frequent initial symptom of colorectal cancer. Fecal blood testing is recommended to be performed routinely on all individuals 50 years of age and older. Bleeding anywhere in the GI tract, from the mouth to the anus, can result in a positive fecal blood test: additional follow-up testing is required, however, to identify the specific cause of the bleeding. Fecal analysis is also valuable for determining the presence of increased fecal lipids (steatorrhea) and in the differential diagnosis of diarrhea. This chapter discusses the macroscopic, microscopic, and chemical examinations of feces often performed in the laboratory. For fecal reference intervals, see [Appendix C](#).

**FECAL FORMATION**

Normally, about 100 to 200 g of fecal material is passed each day. The feces consist of undigested foodstuffs (e.g., cellulose), sloughed intestinal epithelium, intestinal bacteria, GI secretions (e.g., digestive enzymes), bile pigments, electrolytes, and water. Because of the slow movement of fecal material in the large intestine, it normally takes 18 to 24 hours for the contents presented to it by the small intestine to be excreted as feces.

The function of the small intestine includes the digestion and absorption of foodstuffs, whereas the principal function of the large intestine is the absorption of water, sodium, and chloride. Approximately 9000 mL of fluid enters the GI tract from food, water, saliva, gastric secretions, bile, pancreatic secretions, and small intestinal secretions. Only 500 to 1500 mL actually enters the large intestine each day, however, with a final excretion of only about 100 mL of fluid in normal feces. Because the large intestine has a limited ability to absorb liquid (up to about 4000 mL), a volume of fluid presented to

it that exceeds this capacity causes watery stools (diarrhea). Similarly, if water absorption is inhibited, or if inadequate time is allowed for the absorption process, diarrhea results. In contrast, stationary bowel contents (or decreased intestinal motility) permit increased water absorption, resulting in **constipation**. Fecal specimens from constipated individuals are typically small, hard, often spherical masses (scybalae) that are often difficult and painful to pass.

Fermentation by intestinal bacteria in the large intestine results in the production of intestinal gas or flatus and is normally produced at a rate of about 400 to 700 mL/day. Some carbohydrates are not digested completely by intestinal enzymes (e.g., brown beans) and are readily metabolized by intestinal bacteria to produce large amounts of gas. Increased gas production and its incorporation into the feces can result in foamy and floating stools. Although these stools can be normal, they are often produced by patients with lactose intolerance and steatorrhea.

**DIARRHEA**

**Diarrhea** is defined as an increase in the volume, liquidity, and frequency of bowel movements compared to an individual's normal bowel movement pattern. The mechanisms that cause diarrhea can be classified into three types: secretory, osmotic, and intestinal hypermotility ([Table 15.1](#)). Note that diarrhea can result from one or a combination of these mechanisms. With secretory and osmotic diarrhea, the presence of an unabsorbed solute draws and retains water in the intestinal lumen. The origin of this osmotically active solute differs. Secretory diarrhea results from increased intestinal secretion of a solute, whereas osmotic diarrhea results from the ingestion of an osmotically active solute (e.g., lactose).

Differentiating these two mechanisms requires a determination of the fecal osmolality, fecal sodium, and fecal potassium levels. Using the fecal sodium and potassium results, a "calculated" fecal osmolality is determined using [Equation 15.1](#).

$$\text{Calculated fecal osmolality} = 2 \times (\text{Na}^+_{\text{fecal}} + \text{K}^+_{\text{fecal}})$$

**Equation 15.1**

TABLE 15.1 Mechanisms of Diarrhea

Type	Mechanism
Secretory diarrhea	Increased solute secretions by the intestine cause increased fluid volume sent to the large intestine; the resultant fluid volume exceeds the absorptive capacity of the large intestine.
Osmotic diarrhea	Increased quantities of osmotically active solutes remain in the intestinal lumen, causing additional secretions of water and electrolytes into the lumen; the resultant fluid volume exceeds the absorptive capacity of the large intestine.
Intestinal hypermotility	An increase in intestinal motility decreases the time allowed for the intestinal absorptive processes.

If the osmotic gap (i.e., difference between the measured and calculated fecal osmolality) exceeds 20 mOsm/kg, the patient is experiencing osmotic diarrhea. If measured and calculated fecal osmolalities agree within 10 to 20 mOsm/kg, the patient is experiencing secretory diarrhea.

Secretory diarrhea is characteristic of infestation with various enterotoxin-producing organisms. These microbes release substances that stimulate electrolyte-rich intestinal secretions. Similarly, damage to intestinal mucosal caused by drugs or disease can also cause secretory diarrhea.

Osmotic diarrhea accompanies conditions characterized by maldigestion or malabsorption. **Maldigestion**, the inability to convert foodstuffs into readily absorbable substances, most often results from various pancreatic and hepatic diseases. With these disorders, the pancreatic digestive enzymes or bile salts needed for fat emulsification and lipase activation are deficient or lacking. The absence of other digestive enzymes, such as disaccharidases (e.g., lactase) in the small intestine, can also result in maldigestion. In contrast, intestinal **malabsorption** is characterized by normal digestive ability but inadequate intestinal absorption of the already processed foodstuffs. Some parasitic infestations, mucosal diseases (e.g., celiac disease [sprue], tropical sprue, ulcerative colitis), hereditary diseases (e.g., disaccharidase deficiencies), surgical procedures, and drugs can cause malabsorption and osmotic diarrhea. In summary, maldigestion and malabsorption present an abnormally increased quantity of foodstuffs to the large intestine. These osmotically active substances (i.e., the foodstuffs) cause the retention of large quantities of water and electrolytes in the intestinal lumen and the excretion of a watery stool or diarrhea.

Intestinal hypermotility results in diarrhea when the transit time for intestinal contents is too short to allow normal intestinal absorption to occur. Normally, intestinal motility is stimulated by intestinal distention. Foodstuffs that are bulky, such as dietary fiber, produce a natural laxative effect because of the intestinal distention they cause. Intestinal motility can also be altered by chemicals, nerves, hormones, and emotions. Laxatives (e.g., castor oil) and parasympathetic nerve activity

increase intestinal motility, whereas sympathetic nerve activity decreases intestinal motility. During secretory and osmotic diarrhea, the increased lumen fluid causes intestinal distention, thereby increasing intestinal motility and compounding the diarrheal condition.

When severe, diarrhea decreases the blood volume (hypovolemia) and disrupts the acid-base balance of the body. The large fluid loss and accompanying electrolyte depletion (particularly sodium, bicarbonate, and potassium) can result in metabolic acidosis.

### Acute Diarrhea

Acute diarrhea has a sudden onset and usually resolves in less than 1 to 2 weeks; however, it may persist for up to 4 weeks. The majority of cases of acute diarrheas are resulting from toxin ingestion or infections with a variety of pathogens—bacteria, viruses, or parasites. The remaining cases are caused by medications which can be osmotically active, stimulate intestinal secretions, or damage intestinal epithelium and cause inflammation and malabsorption or maldigestion.

The clinical presentation of acute diarrhea aids the health care provider in determining the cause and the treatment course to take. Based on a thorough patient history, symptoms, and a few fecal tests, diarrhea can be identified as non-inflammatory or inflammatory. Table 15.2 provides an overview of acute diarrheas, including symptoms, pathogens, diarrheal mechanisms involved, and laboratory tests that can assist in differentiation. It is important to note that despite testing, 20% to 40% of acute infections remain undiagnosed.<sup>1</sup> Because an in-depth discussion of these infections is beyond the intent and scope of this text, for more information a microbiology textbook or journal should be consulted.

### Chronic Diarrhea

Chronic diarrhea lasts for more than 4 weeks and often 8 weeks or longer. It can be classified as inflammatory or non-inflammatory and further characterized by the volume and appearance of the stool, see Table 15.3. Chronic bloody diarrhea is often caused by inflammatory bowel disease (IBD), such as ulcerative colitis or Crohn's disease, whereas other inflammatory conditions such as celiac disease (celiac sprue), tropical sprue, and microscopic colitis typically present with chronic watery diarrhea.

Noninflammatory conditions that cause chronic diarrhea can be subcategorized by the effect of fasting on the diarrhea. When diarrhea ceases upon fasting, it suggests that malabsorption or maldigestion is the issue. This presentation is seen with various pancreatic diseases such as chronic pancreatitis and pancreatic cancer, or when there is intolerance for carbohydrates. When a carbohydrate, such as lactose, fructose, or sorbitol, cannot be metabolized and absorbed, these osmotically active solutes are retained in the intestinal tract and cause diarrhea. This occurs with various disaccharidase deficiencies, of which the most common is lactose intolerance resulting from lack of the enzyme lactase. Bacterial overgrowth in the GI tract impairs absorption of solutes by the intestinal mucosa, whereas irritable bowel syndrome (IBS) is associated with increased intestinal motility and secretion.



TABLE 15.2 An Overview of Acute Diarrhea: Noninflammatory and Inflammatory<sup>1</sup>

Duration		<2–4 weeks
<b>Noninflammatory Acute Diarrhea</b>		
Symptoms		Large volume of stool; nausea and vomiting (varies with pathogen)
Diarrheal mechanisms		Predominantly malabsorption (osmotic) and secretory diarrhea; intestinal hypermotility
Laboratory tests		Fecal microscopic WBCs—negative Fecal lactoferrin—negative FOBT—negative
<b>Pathogens</b>		<b>Epidemiology</b>
Preformed toxins	“Food poisoning”	<i>Staphylococcus aureus</i> —dairy, meats, cream pies, mayonnaise-containing foods <i>Clostridium perfringens</i> —rewarmed meats <i>Clostridium botulinum</i> —canned fruits and vegetables
Viruses	Norovirus	Water; food; person-to-person contact Winter outbreaks
	Rotavirus	Person-to-person contact; predominantly infants (day-care centers) or elderly
Bacteria	<i>Escherichia coli</i> (toxigenic)	Cholera-like toxin; foreign travel
	<i>Vibrio cholerae</i>	Contaminated water; seafood, shellfish
Parasites	<i>Giardia lamblia</i>	Contaminated water (drinking, swimming); foreign travel; animal-to-person contact
	<i>Cryptosporidium</i>	Contaminated water (drinking, swimming); foreign travel; animal-to-person contact Can cause <i>chronic diarrhea</i> if immunosuppressed
	<i>Cyclospora</i>	Contaminated produce, fresh berries
Medications	Antibiotics Bile acid sequestrants Chemotherapy agents	Can become <i>chronic diarrhea</i> if agent not discontinued
<b>Inflammatory Acute Diarrhea</b>		
Symptoms		Normal to small volume of stool, bloody; lower abdominal cramps; <b>tenesmus</b> ; fever
Diarrheal mechanisms		Predominantly secretory diarrhea (due to colonic invasion); intestinal hypermotility
Laboratory tests		Fecal microscopic WBCs—positive or negative Fecal lactoferrin—positive (usually) FOBT—positive (usually)
<b>Pathogens</b>		<b>Epidemiology</b>
Bacteria	<i>Campylobacter</i>	Food such as undercooked poultry, unpasteurized milk; animal-to-person contact (puppies, kittens); foreign travel (Asia)
	<i>Salmonella</i> sp.	Food such as poultry, eggs, milk, sprouts, seafood, shellfish; person-to-person contact; animal-to-person contact; foreign travel ( <i>S. typhi</i> —Asia)
	<i>Shigella</i>	Food such as poultry, seafood, shellfish; person-to-person contact; animal-to-person contact; foreign travel
	<i>Clostridium difficile</i>	Hospitalization, antibiotics, chemotherapy, day-care centers
	Enterohemorrhagic <i>Escherichia coli</i> (EHEC) <sup>a</sup>	Food such as raw or undercooked ground meat, unpasteurized milk, fecal-contaminated produce (sprouts, leafy green vegetables); person-to-person contact; animal-to-person contact (petting zoos) Produces verotoxins, also known as Shiga-like toxin
	<i>Vibrio parahaemolyticus</i> , <i>Vibrio vulnificus</i>	Food such as raw or undercooked seafood
Parasites	<i>Entamoeba histolytica</i>	Contaminated water (drinking, swimming); foreign travel (rare in the United States); person-to-person contact
	Tapeworms	Raw or undercooked beef, pork, seafood
Medications	Gold therapy	Causes intestinal inflammation Can become <i>chronic diarrhea</i> if agent not discontinued

<sup>a</sup>*E. coli* O157:H7 is the most important enterohemorrhagic *E. coli* (EHEC) serotype.

FOBT, Fecal occult blood test.

TABLE 15.3 Chronic Diarrhea in Selected Diseases<sup>1</sup>

	Fecal Features	Disease	Diarrheal Mechanism	
Inflammatory	Bloody: Fecal WBCs—positive Lactoferrin—positive FOBT—positive	Ulcerative colitis	Osmotic (malabsorption)	
		Bloody or watery: Fecal WBCs—positive or negative Lactoferrin—positive FOBT—positive	Crohn's disease	Osmotic (malabsorption)
			Food allergy (cow's milk, soy protein)	Osmotic (malabsorption); predominantly infants
	Watery	Radiation enteritis	Osmotic (malabsorption)	
		Celiac disease	Osmotic (malabsorption) diarrhea decreases with fasting; increased fecal fat	
		Tropical sprue	Osmotic (malabsorption); diarrhea decreases with fasting	
		Microscopic colitis	Combined: osmotic (malabsorption) and secretory	
Noninflammatory	Normal to small volume (<1 L/day); diarrhea usually ceases with fasting	Pancreatic disease: chronic pancreatitis, pancreatic cancer	Osmotic (malabsorption); increased fecal fat	
		Lactose intolerance (lactase deficiency), sorbitol intolerance, fructose intolerance	Osmotic (malabsorption)	
		Bacterial overgrowth	Osmotic (malabsorption); increased fecal fat	
		Irritable bowel syndrome	Secretory and intestinal hypermotility	
	Large volume (1–3 L/day or greater); diarrhea persists with fasting	Hormones and hormone-secreting tumors (e.g., vasoactive intestinal peptide, prostaglandin, gastrinoma, thyroid medullary cancer)	Secretory; often nocturnal diarrhea	
		Laxative abuse	Secretory; often nocturnal diarrhea	

FOBT, Fecal occult blood test; WBCs, white blood cells.

When diarrhea persists despite fasting, the mechanism is secretory. The volume of feces excreted daily is significantly increased (2–3 times more), and patients complain that they need to get up during the night to defecate. Various hormones and hormone-secreting tumors are causes, as well as the abuse of laxatives.

### Steatorrhea

Diarrhea characterized by a fat content that exceeds 7 g per day is called **steatorrhea** and is a common feature of patients with malabsorption syndromes. This fat originates from several sources: the diet, GI secretions, bacterial byproducts of metabolism, and sloughed intestinal epithelium. Note that the amount of dietary fat ingested has a minor effect on the total quantity of fecal lipids excreted, in addition, the types of lipid (fatty acid salts, neutral fat) excreted can vary significantly

from the dietary fat ingested.<sup>2</sup> In health, the fat content of fecal material can reach 6 g daily.

Steatorrhea fecal specimens are characteristically pale, greasy, bulky, spongy, or pasty and are extremely foul smelling. They vary in fluidity and may float or be foamy because of the presence of large amounts of gas within them. This latter feature is not particularly significant because normal stools may also contain gas.

Differentiation of steatorrhea from diarrhea is clinically important. Although macroscopic examination of the feces can be highly suggestive of steatorrhea, some diarrheal conditions can make differentiation difficult. Therefore, to diagnose steatorrhea, a fecal fat determination is performed (see section “Chemical Examination”). Any condition that alters fat digestion or fat absorption will present with steatorrhea (Table 15.4).

TABLE 15.4 Causes of Steatorrhea

Type	Cause
Maldigestion	Decreased pancreatic enzymes Pancreatitis Cystic fibrosis Pancreatic cancer Zollinger-Ellison syndrome Ileal resection
	Decreased bile acid micelle formation Hepatocellular disease (severe) Bile duct obstruction; biliary cirrhosis Bile acid deconjugation caused by stasis (e.g., strictures, blind loop syndrome, diabetic visceral neuropathy)
Malabsorption	Damaged intestinal mucosa Celiac disease Tropical sprue
	Biochemical defect: abetalipoproteinemia
	Lymphatic obstruction Lymphoma
	Whipple's disease

Conditions producing steatorrhea can occur simultaneously with diarrhea. For appropriate patient management to begin, the cause of the diarrhea, steatorrhea, or both must be identified. Usually, this is achieved by following an algorithm similar to that in Fig. 15.1. Because a definitive diagnosis may not be readily apparent, a good patient history is invaluable. The patient history can provide information that directly relates to the cause of the patient's condition (e.g., diet, environment, recent exposure or contacts). For example, following the algorithm in Fig. 15.1 to a negative stool culture rules out specific bacteria but does not exclude parasites, viruses, or other inflammatory conditions. A good patient history can reveal significant information, such as a visit to a foreign country, exposure to a contaminated water source, ingestion of herbs that can be cathartic, or recent intake of fresh oysters.

## SPECIMEN COLLECTION

### Patient Education

Unlike urination, individuals have limited control in the timing of their fecal excretion. In addition, collecting fecal specimens is considered highly undesirable by most individuals,

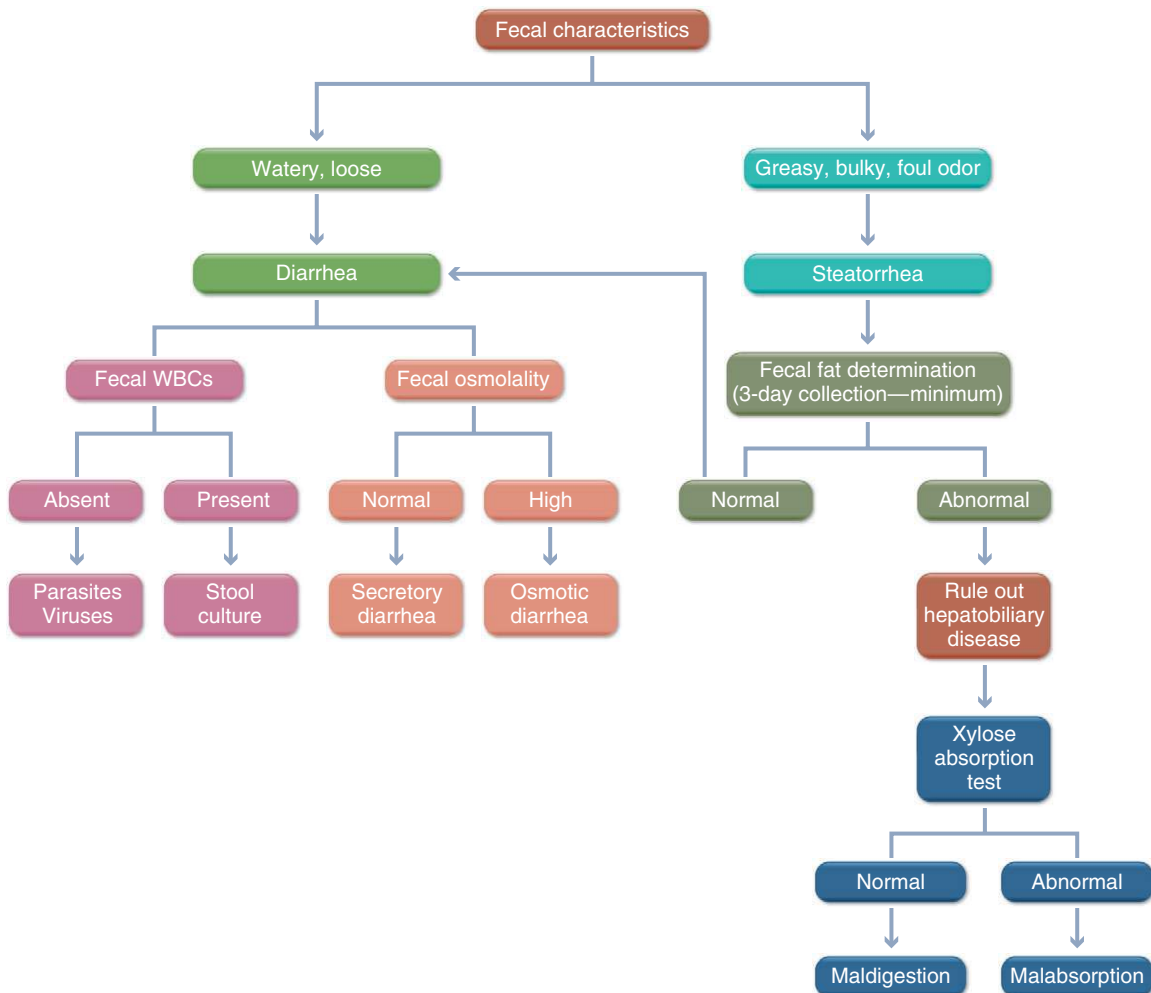


FIG. 15.1 An algorithm to aid in the evaluation of diarrhea and steatorrhea. WBCs, White blood cells.

and it is postulated to be at least partially responsible for the high noncompliance rate (50%–90%) in collecting fecal specimens for occult blood testing observed in studies of colorectal cancer.<sup>3</sup> In light of these facts, patient education regarding the importance of testing and proper collection of fecal specimens is of utmost importance. Verbal and written instructions should be provided to patients, along with an appropriate specimen container. Note that providing written instructions in the languages of the local ethnic communities will also aid in decreasing the unease of patients with language backgrounds other than English.

### Specimen Containers

Fecal specimen containers vary depending on the amount of specimen to be collected. Essentially any clean, nonbreakable container that is sealable and leakproof is acceptable. For specimen collections over multiple days, large containers such as paint cans frequently are used. Single, random collections can be placed in routine urine collection cups or other suitable containers. For some tests, the entire stool is not required for analysis, and the patient must be instructed regarding the portion of the stool to sample or transport to the laboratory. Some commercial fecal collection kits are available for the recovery of feces after they are passed into the toilet onto a sheet of floating tissue paper. These kits have greatly facilitated fecal collection by patients. After sampling a portion of the feces, the patient can flush the remainder.

### Type and Amount Collected

The type and amount of specimen collected vary with the test to be performed. Fecal analysis for occult blood, white blood cells, or qualitative fecal fat requires only a small amount of a randomly collected specimen. In contrast, quantitative tests for the daily fecal excretion of any substance usually require a 2- or 3-day fecal collection. Multiple-day collections are necessary because the daily excretion of feces does not correlate well with the amount of food ingested by the patient in the same 24-hour period. In addition, to ensure an optimum fecal specimen, dietary restrictions may be necessary before the collection (e.g., in tests for occult blood and quantitative fecal fat).

### Contaminants to Avoid

Contamination of the fecal specimen with urine, toilet tissue, or toilet water must be avoided. The detection of protozoa can be adversely affected by contaminating urine, and the strong cleaning or deodorizing agents used in toilets can interfere with chemical testing. Patients must also be instructed to avoid (1) contaminating the exterior of collection containers, and (2) applying too much sample to a collection device or slide.

### Gas Formation

Fecal specimens produce gas because of bacterial fermentation *in vivo* and *in vitro*. Therefore closed containers of fecal specimens should be covered with a disposable tissue or towel and slowly opened. This covering retards spattering of fecal matter should gas buildup cause the sudden release of fecal contents when the container is opened.

## MACROSCOPIC EXAMINATION

### Color

The macroscopic examination of feces involves visual assessment of color, consistency, and form. Other notable substances within the feces include mucus and undigested matter. The normal brown color of feces results from bile pigments. When conjugated bilirubin is secreted as bile into the small intestine, it is hydrolyzed back to its unconjugated form. Intestinal anaerobic bacteria subsequently reduce it to the three colorless tetrapyrroles collectively called the *urobilinogens*: stercobilinogen, mesobilinogen, and urobilinogen. These urobilinogens spontaneously oxidize in the intestine to produce the **urobilins**—stercobilin, mesobilin, and urobilin—which are orange-brown and impart color to the feces. With conditions in which bile secretion into the small intestine is inhibited partially or completely, the color of the feces changes. Pale or clay-colored stools, also termed **acholic stools**, are characteristic of these posthepatic obstructions. Be aware that similarly colored fecal specimens resulting from barium sulfate contamination can be obtained after a diagnostic procedure to evaluate GI function (e.g., barium enema). Unusual fecal colors can also be encountered after the ingestion of certain foodstuffs or medications, or as a result of the presence of blood. [Table 15.5](#) summarizes macroscopic characteristics of feces, and [Table 15.6](#) provides reference intervals for various tests performed.

### Consistency and Form

The consistency of feces ranges from loose and watery stools (diarrhea) to small, hard masses (constipation). Normal feces are usually formed masses; soft stools indicate an increase in fecal water content. The latter can be normal, can be related to laxatives, or can accompany GI disorders. A patient history helps the health care provider determine whether the patient has noticed a change in the consistency of his stools. Feces may be bulky because of undigested foodstuffs or increased gas in the stool. Undigested substances such as seed casings, vegetable skins, or proglottids from intestinal parasites may also be apparent. Normal stools are formed, cylindrical masses; in contrast, the excretion of long, ribbonlike stools may indicate intestinal obstruction or lumen narrowing as a result of strictures.

### Mucus

Mucus, a translucent gelatinous substance, is not present in normal feces. When present, mucus can vary dramatically from a small amount to the massive quantities associated with a villous adenoma (a tumor of the colon). Mucus has been associated with benign conditions, such as straining during bowel movements or constipation, and with GI diseases such as colitis, intestinal tuberculosis, ulcerative diverticulitis, bacillary dysentery, neoplasms, and rectal inflammation.

### Odor

The normal odor of feces results from the metabolic byproducts of the intestinal bacterial flora. If the normal flora is disrupted or the foodstuffs presented to the flora change



TABLE 15.5 Fecal Macroscopic Characteristics

	Characteristic	Cause
Color	Clay-colored or gray, pale yellow, or white	Posthepatic obstruction Barium (ingestion or enema)
	Red	Blood (from lower GI tract) Beets Food dyes Drugs (e.g., BSP dye, rifampin)
	Brown	Normal
	Black	Blood (from upper GI tract) Iron therapy Charcoal ingestion Bismuth (e.g., medications, suppositories)
	Green	Green vegetables (e.g., spinach) Biliverdin (during antibiotic therapy)
Consistency	Formed	Normal
	Hard	Constipation (i.e., scybalum)
	Soft	Increased fecal water
	Watery	Diarrhea, steatorrhea
Form	Cylindrical	Normal
	Narrow, ribbon-like	Bowel obstruction Intestinal narrowing (e.g., strictures)
	Small, round	Constipation
	Bulky	Steatorrhea
Other	Foamy, floating	Increased gas incorporated into feces
	Greasy, spongy	Steatorrhea
	Mucus	Constipation, straining Disease (e.g., colitis, villous adenoma)

BSP, Bromsulphalein; GI, gastrointestinal.

TABLE 15.6 Fecal Reference Intervals

Physical Examination	
Color	Brown
Consistency	Firm, formed
Form	Tubular, cylindrical
Chemical Examination	
Total fat, quantitative (72-hour specimen)	<6g/day and <20% of stool
Osmolality	285–430 mOsm/kg H <sub>2</sub> O
Potassium	30–140 mEq/L
Sodium	40–110 mEq/L
Microscopic Examination	
Fat, Qualitative Assessment	
Neutral fat	Few globules present per high-power field
Total fat	<100 fat globules (diameter ≤4 μm) per high-power field
Leukocytes (qualitative)	None present
Meat and vegetable fibers (qualitative)	Few

dramatically, a change in fecal odor may be noticed. For example, steatorrhea results in distinctively foul-smelling feces because of the bacterial breakdown of undigested lipids.

## MICROSCOPIC EXAMINATION

Microscopic examination of the feces is performed on a portion of a stool suspension and can aid in differentiating the cause of diarrhea or in screening for steatorrhea. Microscopically, white blood cells and undigested foodstuffs such as fats, meat fibers, and vegetable fibers can be identified. Although these examinations are only qualitative, they are easy to perform and can provide diagnostically useful information.

### Fecal White Blood Cells

The presence of fecal white blood cells (specifically neutrophils) or pus (an exudate containing neutrophils) aids in the differential diagnosis of diarrhea. Generally, when the intestinal wall is infected or inflamed, fecal neutrophils are present in an inflammatory exudate. In contrast, if the mucosal wall is not compromised, fecal neutrophils are not present. [Box 15.1](#) lists disorders in which a microscopic examination for fecal neutrophils aid in the differential diagnosis of diarrhea.

### BOX 15.1 Disease Differentiation Based on the Presence of Fecal White Blood Cells (WBCs)

Neutrophils Present	Lymphocytes Present	WBCs Absent
Ulcerative colitis	Celiac disease	Amebic colitis
Crohn's disease	Tropical sprue	Viral gastroenteritis
Bacillary dysentery	Microscopic colitis	Malabsorption
Pseudomembranous colitis, <i>Clostridium difficile</i> -associated		
Ulcerative diverticulitis		
Intestinal tuberculosis		
Abscesses or fistulas		

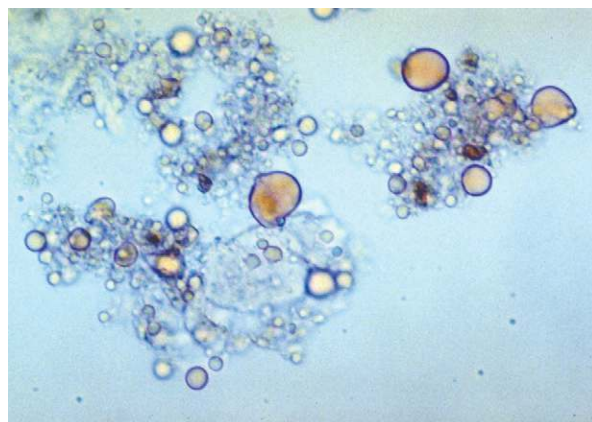
Normally, neutrophils are not present in feces, hence the presence of even a small number (one to three per high-power field) indicates an invasive and inflammatory condition. In contrast, noninflammatory diarrheal conditions do not have neutrophils in their feces. Celiac sprue and microscopic colitis are associated with mononuclear white blood cells in the feces. To identify white blood cells in feces, the specimens need to be fresh, and wet preparations are stained using Wright's or methylene blue stain. The microscopic examination for neutrophils requires a skilled microscopist to specifically identify and differentiate neutrophils from other cells present.

A simple, more sensitive and specific alternative to microscopic examination involves the use of immuno-based tests (e.g., LEUKO EZ VUE test, TECHLAB, Inc., Blacksburg, VA) that detect elevated amounts of lactoferrin in feces. Lactoferrin is a protein that is present in activated neutrophils and resistant to degradation in feces. An increased level of lactoferrin in feces is a marker of neutrophils and an indicator of intestinal inflammation. Results are available in 10 minutes and are reported as positive or negative.

### Qualitative Fecal Fat

The presence of an increased amount of fat in feces can be indicated macroscopically and confirmed microscopically and chemically. Steatorrhea (fecal fat excretion that exceeds 7 g/day) is a common feature of maldigestion or malabsorption. Although a qualitative assessment for fecal fat can be performed microscopically, quantitative determinations of fecal fat are used to definitively diagnose steatorrhea.

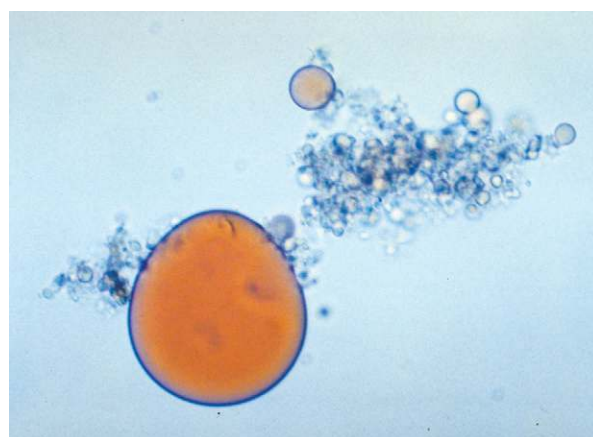
A simple two-slide qualitative procedure can be used to detect increased fat in feces. This assessment relies on the characteristic orange to red coloration of neutral fat (triglycerides) when a fecal suspension is stained with Sudan III, Sudan IV, or oil red O stain. In this procedure, neutral fats are detected when several drops of ethanol (95%) are added to a suspension of feces on a microscope slide. Next, stain is added, a coverslip is applied, and the wet preparation is observed microscopically for the presence of characteristically staining



**FIG. 15.2** Numerous globules of neutral fat stained with Sudan III. The orange-red coloration is characteristic. Fat present in fecal suspension during qualitative fecal fat microscopic examination. Brightfield microscopy,  $\times 200$ .

fat globules (Fig. 15.2). In health, feces contain fewer than 60 globules of neutral fat per high-power field.

On a second slide, another aliquot of the fecal suspension is acidified with acetic acid and heated. This slide provides an estimation of the total fecal fat content: neutral fats plus fatty acids and fatty acid salts (soaps). Acidification hydrolyzes soaps to their respective fatty acids, and heating causes the fatty acids to absorb the stain. Because fatty acids and their salts (soaps) are present in normal feces, an increased number of orange-red-staining fat globules are observed on the second slide compared to the first. The number of fat globules present and their size (diameter) are important. Normally, fewer than 100 globules per high-power field are observed, and they should not exceed 4  $\mu\text{m}$  in diameter (about half the size of a red blood cell).<sup>4</sup> Increased numbers of globules, as well as extremely large globules (i.e., 40–80  $\mu\text{m}$ ), are common with steatorrhea (Fig. 15.3). Maldigestion can often be differentiated from malabsorption by evaluating the results obtained from the two slides. A normal amount of fecal neutral fat (on the first slide) compared to an increased amount



**FIG. 15.3** Large globule of neutral fat stained with Sudan III. The orange-red coloration is characteristic. Brightfield microscopy,  $\times 200$ .



**FIG. 15.4** Meat fiber (note striations on fiber) present in fecal suspension during qualitative fecal fat microscopic examination. Brightfield microscopy,  $\times 400$ .

of total fat (on the second slide) indicates intestinal malabsorption. In other words, the increased fat present consists of primarily fatty acids and soaps that were not absorbed by the small intestine. In contrast, an increased amount of neutral fat on the first slide suggests maldigestion.

### Meat Fibers

Undigested foodstuffs, such as meat and vegetable fibers, can be identified microscopically in feces. Meat fibers are rectangular and have characteristic cross-striations (Fig. 15.4). Often identification and qualitative assessment of the amount of meat fibers present are included with a qualitative fecal fat examination. During screening of the first fecal fat slide for neutral fat globules, the presence of meat fibers is estimated. An alternative approach is to apply a few drops of a fecal suspension to a slide and stain it with a solution of eosin in 10% alcohol. Increased numbers of fecal meat fibers (creatorrhea) correlate with impaired digestion and the rapid transit of intestinal contents.

## CHEMICAL EXAMINATION

### Fecal Blood

Bleeding anywhere in the GI tract from the mouth (bleeding gums) to the anus (hemorrhoids) can result in detectable blood in the feces. Because fecal blood is a common and early symptom of colorectal cancer, annual screening is recommended by the American Cancer Society on all individuals older than 50 years of age. Of all GI tract cancers, more than 50% are colorectal, and early detection with treatment is directly related to a good prognosis.<sup>5</sup> In addition to cancer, bleeding gums, esophageal varices, ulcers, hemorrhoids, inflammatory conditions, and various drugs that irritate the intestinal mucosa (e.g., aspirin, iron supplements) can cause blood in the feces. When present in large amounts, fecal blood can be macroscopically apparent. With bleeding in the lower GI tract, bright red blood can coat the surface of the stools; in

contrast, bleeding in the upper GI tract often causes the stool to appear darker or mahogany colored. The excretion of dark or black stools resulting from the presence of large amounts of fecal blood (50–100 mL/day) is called **melena**. The dark fecal coloration is caused by the degradation of hemoglobin (heme oxidation) by intestinal and bacterial enzymes.

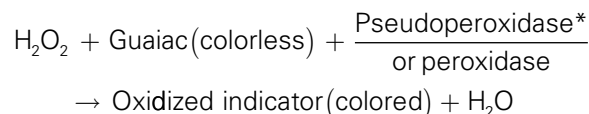
In health, less than 2.5 mL of blood is lost each day in the feces (approximately 2 mg of hemoglobin per gram of stool). Any increase in fecal blood is significant and requires further investigation to discover its source. A small amount of blood in feces is often not visually apparent and is referred to as **occult blood**.

Other complicating factors related to the detection of fecal occult blood are that (1) bleeding in the GI tract is usually intermittent and (2) patients are resistant when it comes to collecting a fecal sample. Note that if bleeding is not occurring at the time of sample collection, the test can be negative regardless of which test is used. To maximize the detection of fecal blood and the correct identification of individuals who need follow-up testing (e.g., colonoscopy), sample collection must be easy to enhance patient compliance, and the occult blood test used must be sensitive and specific.

The two methods that predominate to detect fecal occult blood are guaiac-based tests and immunochemical tests. These tests are primarily used to screen for colorectal cancers that cause bleeding in the lower GI tract (i.e., colon). A third test based on fluorescence is less common and is primarily used to detect an upper GI bleed. Table 15.7 provides a summary of fecal occult blood tests.

### Guaiac-Based Fecal Occult Blood Tests

Guaiac-based fecal occult blood tests (gFOBTs) are based on the pseudoperoxidase activity of the heme moiety of hemoglobin. In the presence of an indicator and hydrogen peroxide, the heme moiety catalyzes oxidation of the indicator, which results in a color change (Equation 15.2).



### Equation 15.2

\*Possible pseudoperoxidases and peroxidases: hemoglobin, myoglobin, bacterial peroxidases, and fruit and vegetable peroxidases.

Because any substance with peroxidase or pseudoperoxidase activity can catalyze the reaction to produce positive results, the less sensitive indicator guaiac is specifically used for fecal testing. Dietary restrictions are necessary to avoid (1) the pseudoperoxidase activity of myoglobin and hemoglobin in meats and fish, and (2) the natural peroxidases from ingested fruits and vegetables (Box 15.2). Although the sensitivity of these tests has been adjusted to account for the normal amount of fecal blood and for peroxidases from intestinal bacteria, false-positives can still occur.

A typical gFOBT consists of guaiac-impregnated paper that is enclosed in a rigid cardboard holder or slide. When

TABLE 15.7 Fecal Occult Blood Tests (FOBT)

Test	Principle	Advantages	Disadvantages
Guaiac-based (gFOBT)	Based on the pseudoperoxidase activity of heme to oxidize colorless guaiac in the presence of hydrogen peroxide to form a blue color	<ol style="list-style-type: none"> <li>1. Inexpensive</li> <li>2. Test fast and easy to perform</li> <li>3. Extensively studied</li> </ol>	<ol style="list-style-type: none"> <li>1. Dietary restrictions required</li> <li>2. Medication restrictions required</li> <li>3. False-negative owing to vitamin C intake or hemoglobin degradation</li> <li>4. Manual method only</li> </ol>
Immunochemical-based (iFOBT)	Antibody/antigen: Labeled antihuman antibodies to the globin portion of <i>undegraded</i> human hemoglobin bind; hemoglobin-antibody complexes are detected visually (manual) or photometrically (automated)	<ol style="list-style-type: none"> <li>1. No dietary or medication restrictions</li> <li>2. High specificity; detects <i>only</i> undegraded human hemoglobin</li> <li>3. Test fast and easy to perform</li> <li>4. Some iFOBTs are automated</li> </ol>	<ol style="list-style-type: none"> <li>1. False-negative owing to hemoglobin degradation</li> <li>2. Higher cost compared to gFOBT</li> <li>3. Upper GI bleed<sup>a</sup> not detected<sup>b</sup></li> </ol>
Porphyrin-based	Fluorescence quantitation of heme-derived porphyrins	<ol style="list-style-type: none"> <li>1. No dietary restrictions from fruits or vegetables</li> <li>2. Quantitative assessment of fecal blood; not affected by hemoglobin degradation</li> </ol>	<ol style="list-style-type: none"> <li>1. Test is labor-intensive and time-consuming</li> <li>2. False-positive owing to ingestion of red meat (nonhuman heme)</li> </ol>

<sup>a</sup>Upper gastrointestinal bleeds include those in the esophagus and stomach.

### BOX 15.2 Ingested Substances Associated With Erroneous Guaiac-Based Fecal Occult Blood Tests

#### False-Positive Results

- Red or rare cooked meats and fish
- Vegetables,<sup>a</sup> such as turnips, broccoli, cauliflower, horseradish
- Fruits,<sup>a</sup> such as cantaloupe, bananas, pears, plums
- Drugs, such as aspirin and other gastrointestinal irritants

#### False-Negative Results

- Ascorbic acid

<sup>a</sup>Adequate cooking can destroy the peroxidase activity of vegetables and fruits.

using these slide-based tests, the collection of three fecal samples is standard practice to maximize test sensitivity. Patients are instructed to sample several portions of a single stool specimen or, ideally, to collect fecal material from stool samples on three different days. The patient opens the “front” of the slide, applies fecal material to the exposed paper using an applicator stick, closes the slide, and returns (or mails) the slide to the laboratory for testing. In the laboratory, the “back” of the slide is opened to reveal the guaiac-impregnated paper *behind* the fecal specimen, and developer (hydrogen peroxide) is applied. If hemoglobin or any other pseudoperoxidase or peroxidase is present in adequate amounts, the indicator is oxidized, causing the development of a blue color on the paper (Fig. 15.5). The intensity of the color is proportional to the amount of enzymatic activity present. An internal positive and negative control area ensures that the test performed properly.

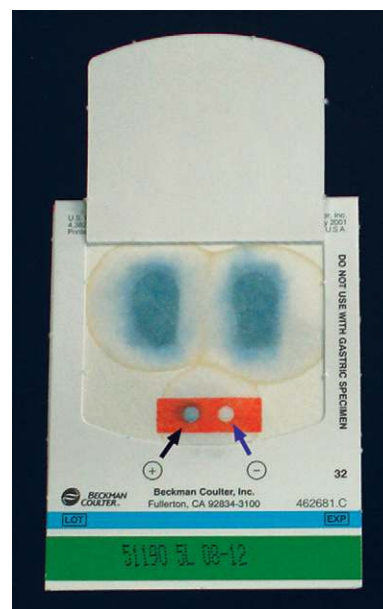


FIG. 15.5 Positive guaiac-based fecal occult blood test.

Numerous factors can interfere with a gFOBT. Improper specimen collection includes the application of too much or not enough fecal material or the use of feces that has been contaminated with toilet water. Specimen contamination with menstrual blood or hemorrhoidal blood is another source of interference. Medications can also interfere. Drugs such as salicylates (aspirin), nonsteroidal antiinflammatory drugs (NSAIDs), iron supplements, warfarin, and antiplatelet agents can cause upper GI tract bleeding, which can result in a positive test. In contrast, antacids and ascorbic acid (vitamin C) can interfere with the chemical reaction on the test slide



and have the potential to cause false-negative results. False-negative results can also be produced when (1) the peroxide developer is expired, (2) slides are defective (e.g., expired), or (3) the fecal specimen or prepared slide is stored for an extended period before testing (e.g., >6 days).

When hemoglobin is degraded, it loses its pseudoperoxidase activity and is no longer detectable by a gFOBT. Note that heme degradation can occur (1) within the intestinal tract, (2) during storage of the fecal specimen, or (3) after it has been applied to the gFOBT slide. Studies have also shown that false-positive results are obtained if fecal specimens on the slides are hydrated with water before testing.<sup>6</sup> Therefore the American Cancer Society recommends that slides be tested within 6 days of collection and *not* be rehydrated before testing. Last, some studies have revealed poor patient compliance with the dietary restrictions and collection of multiple fecal samples.

### Immunochemical Fecal Occult Blood Tests

Immunochemical tests for fecal occult blood use polyclonal antihuman antibodies directed against the globin portion of undegraded human hemoglobin. These methods are highly specific and do not have interference from dietary foodstuffs or medications that adversely affect a gFOBT. Because digestive and bacterial enzymes degrade hemoglobin as it passes through the GI tract, blood from an upper GI bleed (esophageal, gastric) is not detected by immunochemical fecal occult blood tests (iFOBTs).<sup>7</sup> Hence these tests are more specific for bleeding in the lower GI tract (i.e., cecum, colon, and rectum).

Numerous iFOBTs are available worldwide, and the collection devices for iFOBTs vary with the manufacturer. For example, a collection card similar to that of a gFOBT is used by the Hemoccult ICT test (Beckman Coulter Inc., Fullerton, CA), whereas a small collection bottle with an enclosed sample probe is used by the OC FIT-Chek test (occult fecal immunochemical test; Polymedco, Inc., Cortlandt Manor, NY). Patients apply fecal material to the collection devices—to the sample area of the card (Hemoccult ICT) or by scraping the surface of the stool until feces covers the grooved portion of the sample probe (OC FIT). The collection devices are closed and returned to the laboratory for testing.

In the laboratory, testing is performed either manually or by using a fully automated system. In iFOBTs, antihuman hemoglobin antibodies bind to undegraded hemoglobin in the sample, and the means for detecting the presence of hemoglobin-antibody complexes vary. Detection may be automated and photometric (OC FIT) or may be manual and visual—observing the presence of the test and control “lines” (Hemoccult ICT).<sup>8</sup>

A distinct advantage of iFOBTs is that no diet or medication restrictions are needed. Therefore patients can immediately begin the specimen collection phase instead of waiting days until they change their diet or medication routine. It is believed that being able to immediately collect the fecal sample after seeing their physician enhances patient compliance. A disadvantage of the iFOBT is its increased cost

compared to the gFOBT. Hence despite the greater specificity (fewer false-positive tests) of iFOBT tests for GI bleeding, gFOBT currently predominates in colorectal cancer screening protocols.

### Porphyrin-Based Fecal Occult Blood Test

The detection and quantitation of fecal blood can be done using the HemoQuant test (SmithKline Diagnostics, Sunnyvale, CA). This test is based on the chemical conversion of heme to intensely fluorescent porphyrins. The test enables detection and quantitation of the total amount of hemoglobin in feces—the portion that exists as intact hemoglobin, as well as the portion that has been converted to porphyrins in the intestine. Note that with upper GI bleeds or when specimen storage is prolonged, the major fraction of fecal hemoglobin in a specimen can be in the form of heme-derived porphyrins. Because HemoQuant measures only heme and heme-derived porphyrins, it is not affected by many of the factors that interfere with other FOBTs. However, hemoglobin from nonhuman sources such as red meats can cause a false-positive result. The HemoQuant test is more expensive, time-consuming, and labor-intensive compared to other FOBTs. Currently, it is primarily performed by reference laboratories and has specialized and limited clinical utility.

### Fetal Hemoglobin in Feces (Apt Test)

The presence of blood in the stool, emesis, or gastric aspirate from a newborn infant requires investigation. This blood may have come from the GI tract of the neonate or could be maternal blood that was ingested during delivery. Differentiation between these two sources is crucial. A qualitative assessment of the blood source can be done and is based on the alkaline resistance of fetal hemoglobin. This test is also known as the *Apt test*, after its developer, L. Apt.<sup>9</sup>

The specimen must contain fresh “red” blood, such as a fresh, bloody stool specimen or a soiled, bloody diaper. Black, tarry stools are not acceptable because they indicate that hemoglobin degradation to hematin has occurred. With the Apt test, a suspension of the specimen (e.g., feces, emesis, gastric aspirate) is made by using water and is centrifuged to clear the pink supernatant of particulate matter. Five-milliliter aliquots of the resultant pink supernatant are transferred into two tubes. One tube is used as a “reference” to evaluate color changes in the second, or “alkaline,” tube. To the “alkaline” tube, 1 mL of sodium hydroxide (0.25 mol/L) is added, the tube is mixed, and the color of the liquid is observed for at least 2 minutes. If the original pink color changes to yellow or brown within 2 minutes, the hemoglobin present in the specimen is maternal hemoglobin (Hb A). If the pink color remains, the hemoglobin present is fetal hemoglobin (Hb F). Note that control specimens should be analyzed each time a specimen is tested. Positive controls can be made by using infant peripheral or cord blood, and negative controls can be prepared using an adult blood sample.

## Quantitative Fecal Fat

A quantitative determination of fecal fat is the definitive test for steatorrhea. Although this chemical test confirms that abnormal quantities of dietary lipids are being eliminated, it does not identify the cause of the increased excretion. For 3 days before specimen collection, as well as during the collection period, patients must limit their fat intake to 100 to 150 grams of fat per day. In addition, they must not use laxatives, synthetic fat substitutes (e.g., Olestra), or fat-blocking nutritional supplements. It is important that during collection, patients do not contaminate the specimen with mineral oils, lubricants, or creams, which can cause false-positive results.

During specimen collection, the patient collects all feces excreted for 2 to 3 days in large, preweighed collection containers (e.g., paint cans). In the laboratory, the entire fecal collection is weighed and homogenized (e.g., using a mechanical shaker). A portion of the homogenized fecal specimen is removed for chemical analysis of the lipid content by gravimetry, titrimetry, or nuclear magnetic resonance spectroscopy. Gravimetric and titrimetric methods use solvent extraction to remove the lipids from the fecal sample. In the titrimetric method, neutral fats and soaps are converted to fatty acids before extraction.<sup>10</sup> The resultant solution of fatty acids is extracted and titrated with sodium hydroxide. Because the titrimetric method is unable to recover medium-chain fatty acids completely, it measures approximately 80% of the total fecal lipid content. In contrast, the gravimetric method extracts and quantifies all fecal lipids present. In the nuclear magnetic resonance method, the fecal sample is first microwave-dried and then is analyzed by hydrogen nuclear magnetic resonance spectroscopy (<sup>1</sup>H NMR). This method is fast and accurate, and produces results comparable to those attained by the gravimetric reference method.<sup>11</sup>

Fecal fat content is reported as grams of fat excreted per day, with a normal adult excreting 2 to 7 g/day. If the fecal fat excretion is borderline, or if a standard fat diet (100–150 g/day) is not used (e.g., with small children), determining the coefficient or percentage of fat retention is helpful. To determine this parameter, careful recording of dietary intake is required and Equation 15.3 is used.

$$\text{Percent fat retention} = \frac{\text{Dietary fat} - \text{Fecal fat}}{\text{Dietary fat}} \times 100$$

### Equation 15.3

Normally, children (3 years old and older) and adults absorb at least 95% of the dietary fat ingested. Values less than 95% indicate steatorrhea in these individuals.

## Fecal Carbohydrates

When the enzymes (disaccharidases) necessary for conversion of disaccharides into monosaccharides in the small intestine are insufficient or lacking, the disaccharides are not absorbed and pass into the large intestine. Because these unhydrolyzed disaccharides are osmotically active, they cause

large amounts of water to be retained in the intestinal lumen, resulting in an osmotic diarrhea.

Hereditary **disaccharidase deficiency** is uncommon but should be considered and ruled out in infants who have diarrhea and fail to gain weight. Secondary disaccharidase deficiency caused by disease (e.g., celiac disease, tropical sprue) or drug effects (e.g., oral neomycin, kanamycin) is an acquired condition, usually affects more than one disaccharide, and is only temporary. Lactose intolerance in adults is common, particularly in African and Asian populations. These individuals were able to digest lactose adequately as children but progressively developed an inability to do so as adults. Consequently, for these individuals, the ingestion of lactose results in bloating, flatulence, and explosive diarrhea. These clinical manifestations of disaccharidase deficiency result from intestinal bacteria actively fermenting lactose in the intestinal lumen. This fermentation results in the production of large amounts of intestinal gas and diarrheal stools with a characteristically decreased pH (of approximately 5.0–6.0). Normally, feces are alkaline (pH greater than 7.0) because of pancreatic and other intestinal secretions. A rapid qualitative fecal pH can be obtained by testing the supernatant of a diarrheal stool using pH paper. Diarrheal stools can also be screened for the presence of carbohydrates (or reducing sugars) using the Clinitest tablet test (Siemens Healthcare Diagnostics Inc., Deerfield, IL), as discussed in Chapter 6. Although the Clinitest is not advocated for use on fecal specimens by the manufacturer (i.e., US Food and Drug Administration approval has not been requested), its use in detecting fecal reducing substances is wide and is documented in the literature.<sup>12</sup> To perform the Clinitest on feces, a 1:3 dilution of the supernatant from a diarrheal stool is used. Fecal excretion of reducing substances greater than 250 mg/dL is considered to be abnormal. A positive Clinitest indicates the presence of a reducing substance but does not identify the substance being excreted. Note that this method does not detect sucrose because it is not a reducing sugar. To quantitate or specifically identify the sugar(s) present in fecal material, chromatographic or specific chemical methods must be used.

The most diagnostic test for determining an intestinal enzyme deficiency (e.g., lactase deficiency) involves specific histochemical examination of the intestinal epithelium. A more convenient approach is to perform an oral tolerance test using specific sugars (e.g., lactose, sucrose). An oral tolerance test involves the ingestion of a measured dose of a specific disaccharide (e.g., lactose, sucrose) by the patient. If the patient has adequate amounts of the appropriate intestinal disaccharidase (e.g., lactase), the disaccharide (e.g., lactose) is hydrolyzed to its corresponding monosaccharides (e.g., glucose and galactose), which are absorbed into the patient's bloodstream. An increase in blood glucose greater than 30 mg/dL above the patient's fasting glucose level indicates adequate enzyme activity (e.g., lactase); an increase less than 20 mg/dL above the patient's fasting glucose level indicates deficiency of the enzyme.

The presence of carbohydrates in feces can also occur as a result of inadequate intestinal absorption. To differentiate

carbohydrate malabsorption from carbohydrate maldigestion, a xylose absorption test is performed. Xylose is a pentose that does not depend on liver or pancreatic function for digestion and is readily absorbed in the small intestine. Normally, xylose is not present at significant levels in the blood, and the body does not metabolize it. In addition, xylose readily passes through the glomerular filtration barrier and is excreted in the urine. The xylose absorption test involves the patient's ingestion of a dose of xylose, followed by the collection of a 2-hour blood sample and a 5-hour urine specimen. The concentration of xylose is measured in the blood and urine. Depending on the size of the initial oral dose, at least 16% to 24% of the ingested dose of xylose is normally excreted by adults.

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## STUDY QUESTIONS

1. Which of the following substances is not a component of normal feces?
  - A. Bacteria
  - B. Blood
  - C. Electrolytes
  - D. Water
2. All of the following actions can result in watery or diarrheal stools *except*
  - A. decreased intestinal motility.
  - B. inhibition of water reabsorption.
  - C. inadequate time allowed for water reabsorption.
  - D. an excessive volume of fluid presented for reabsorption.
3. Lactose intolerance caused by the lack of sufficient lactase primarily presents with
  - A. steatorrhea.
  - B. osmotic diarrhea.
  - C. secretory diarrhea.
  - D. intestinal hypermotility.
4. Which of the following tests assists most in the differentiation of secretory and osmotic diarrhea?
  - A. Fecal fat
  - B. Fecal carbohydrates
  - C. Fecal occult blood
  - D. Fecal osmolality
5. The inability to convert dietary foodstuffs into readily absorbable substances is called intestinal
  - A. inadequacy.
  - B. hypermotility.
  - C. malabsorption.
  - D. maldigestion.
6. Intestinal motility is stimulated by each of the following *except*
  - A. castor oil.
  - B. dietary fiber.
  - C. intestinal distention.
  - D. sympathetic nerve activity.
7. Which of the following conditions is characterized by the excretion of greasy, pale, foul-smelling feces?
  - A. Steatorrhea
  - B. Osmotic diarrhea
  - C. Secretory diarrhea
  - D. Intestinal hypermotility
8. The daily amount of fat excreted in the feces is normally less than
  - A. 0.7 g.
  - B. 7.0 g.
  - C. 70 g.
  - D. 700 g.

9. Which of the following tests is used to diagnose steatorrhea?
- Fecal fat
  - Fecal carbohydrates
  - Fecal occult blood
  - Fecal osmolality
10. Which of the following statements about feces is *true*?
- The normal color of feces is primarily due to urobilinogens.
  - The amount of feces produced in 24 hours correlates poorly with food intake.
  - The normal odor of feces is usually due to metabolic byproducts of intestinal protozoa.
  - The consistency of feces is primarily determined by the amount of fluid intake.
11. Fecal specimens may be tested for each of the following *except*
- fat.
  - blood.
  - bilirubin.
  - carbohydrates.
12. Which of the following substances is responsible for the characteristic color of normal feces?
- Bilirubin
  - Hemoglobin
  - Urobilins
  - Urobilinogens
13. Which of the following statements about fecal tests is true?
- A fecal fat determination identifies the cause of steatorrhea.
  - A fecal leukocyte determination aids in differentiating the cause of diarrhea.
  - A fecal Clinitest identifies the enzyme deficiency that prevents sugar digestion.
  - A fecal blood screen aids in differentiating bacterial from parasitic infestations.
14. Which of the following types of fat readily stain with Sudan III or Oil Red O stain?
- Fatty acids
  - Cholesterol
  - Soaps (fatty acid salts)
  - Neutral fats (triglycerides)
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
15. Which of the following types of fat require acidification and heat before they stain with Sudan III or Oil Red O stain?
- Fatty acids
  - Cholesterol
  - Soaps (fatty acid salts)
  - Neutral fats (triglycerides)
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
16. With the two-slide qualitative fecal fat determination, the first slide produces a normal amount of staining fat present, whereas the second slide, after acid addition and heat, produces an abnormally increased amount of fat. These results indicate
- malabsorption.
  - maldigestion.
  - parasitic infestation.
  - disaccharidase deficiency.
17. Mass screening in adults for fecal occult blood is performed primarily to detect
- ulcers.
  - hemorrhoids.
  - colorectal cancer.
  - esophageal varices.
18. Which of the following dietary substances can cause a false-negative guaiac-based fecal occult blood slide test?
- Fish
  - Red meat
  - Ascorbic acid
  - Fruits and vegetables
19. Which of the following actions can cause a false-positive guaiac-based fecal occult blood slide test?
- Rehydration of the specimen on the slide before testing
  - Degradation of hemoglobin to porphyrin
  - Storage of fecal specimens before testing
  - Storage of slides with the specimen already applied
20. Select the true statement about fecal occult blood tests (FOBTs)?
- Guaiac-based FOBTs are more specific than immunochemical-based FOBTs.
  - Guaiac-based FOBTs are more expensive than immunochemical-based FOBTs.
  - Dietary restrictions are not required when immunochemical-based FOBTs are used.
  - Hemoglobin from nonhuman sources (e.g., red meat) can cause false-positive results when immunochemical-based FOBTs are used.
21. Which of the following conditions can result in the excretion of small amounts of occult blood in the feces?
- Hemorrhoids
  - Bleeding gums
  - Peptic ulcers
  - Intake of iron supplements
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.



22. Which of the following statements regarding the test for fetal hemoglobin in feces (the Apt test) is *true*?
- Any adult hemoglobin present should resist alkali treatment.
  - The Apt test is used to differentiate various hemoglobinopathies in the newborn.
  - Hemoglobin degraded to hematin usually produces a positive test result.
  - A pink color after alkali treatment indicates the presence of fetal hemoglobin.
23. Which of the following are clinical manifestations of a disaccharidase deficiency?
- A positive fecal Clinitest
  - Constipation and gas
  - A fecal pH of 5.0
  - A positive fecal occult blood test
- 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
24. Which of the following tests can differentiate inadequate carbohydrate metabolism from inadequate carbohydrate absorption?
- Fecal Clinitest
  - Xylose absorption test
  - Oral carbohydrate tolerance tests
  - Carbohydrate thin-layer chromatography

### CASE 15.1

A 45-year-old traveling salesman sees his physician and reports diarrhea, weight loss, and back pain for the past month. Physical examination reveals yellowing of the sclera of the eyes (jaundice) but no hepatomegaly or splenomegaly. Further tests support a diagnosis of pancreatic cancer. The results of a routine urinalysis, 72-hour stool collection for fecal fat, stool for *Salmonella/Shigella* culture and ova and parasites, and xylose absorption follow.

#### Urinalysis Results

Physical Examination	Chemical Examination	Microscopic Examination
Color: amber	SG: 1.015	RBC/hpf: 0–2 cells
Clarity: slightly cloudy	pH: 5.5	WBC/hpf: 0–2 cells
Odor: —	Blood: negative	Casts/lpf: 0–2 hyaline;
Yellow foam noted.	Protein: negative	2–5 granular
	LE: negative	Epithelials: few TE/hpf
	Nitrite: negative	
	Glucose: negative	
	Ketones: negative	
	Bilirubin: large	
	Ictotest: positive	
	Urobilinogen: normal	

Fecal Macroscopic Examination	Fecal Microscopic Examination	Fecal Chemical Examination
Color: pale, clay-colored (acholic)	Leukocytes: absent	Fat: 10 g/day
Consistency: watery, greasy		
Form: bulky		

#### Microbiological Examination

Stool cultures: negative for *Salmonella*, *Shigella*, *Campylobacter*, enteropathogenic *Escherichia coli*, and *Yersinia*.  
Ova and parasites: negative for ova, cysts, and parasites.

#### Blood Chemistry Results

Xylose absorption test: normal

- List any abnormal results.
- This patient's condition should be classified as
  - oncotic diuresis.
  - osmotic diarrhea.
  - secretory diarrhea.
  - intestinal hypermotility.
- What is the term used for an increased amount of fat in the feces?
- The most likely mechanism responsible for this patient's diarrhea is
  - malabsorption.
  - maldigestion.
  - malexcretion.
  - malsecretion.
- Explain the physiologic mechanisms responsible for the increased fat and acholic stools excreted by this patient.
- Why is this patient's urine urobilinogen result normal and not decreased?

## CASE 15.2

A 23-year-old woman sees her physician and reports headache, nausea, fever, and diarrhea for the past week. She first experienced the diarrhea shortly after a summer picnic. She currently has five to six bowel movements each day. The stool does not appear bloody. A stool specimen is collected and the following test results obtained:

Fecal Macroscopic Examination	Fecal Microscopic Examination	Fecal Chemical Examination
Color: brown Consistency: watery	Leukocytes: present	Sodium: 65 mmol/L Potassium: 98 mmol/L Osmolality: 340 mOsm/kg

**Microbiological Examination of Stool**

Culture: *Salmonella* sp. present.

Ova and parasites: negative for ova, cysts, and parasites.

- List any abnormal results.
- Determine the “calculated” fecal osmolality using the formula:  $\text{Osmolality} = 2 \times (\text{Na}^+_{\text{fecal}} + \text{K}^+_{\text{fecal}})$ .
- Based on the difference between observed and calculated osmolality, this patient’s condition would be classified as
  - oncotic diuresis.
  - osmotic diarrhea.
  - secretory diarrhea.
  - intestinal hypermotility.

## CASE 15.3

A 60-year-old woman is seen by her physician for a routine annual examination. Her only complaints are a lack of stamina and that she tires easily. Routine urinalysis and hematology tests are performed. She is sent home with instructions and supplies to collect three different fecal specimens for the detection of occult blood.

**Urinalysis: normal**

Hematologic Test Results	Reference Range	Fecal Occult Blood Test
Hemoglobin: 9.8 g/dL Hematocrit: 36%	Female: 12–16.0 g/dL Female: 38%–47%	Specimen #1: positive Specimen #2: positive Specimen #3: positive

- List any abnormal results.
- Ingestion of which of the following substances can cause a false-positive guaiac-based fecal occult blood test?
  - Fish
  - Bananas
  - Cauliflower
  - Vitamin C
  - 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
- List at least two compounds other than hemoglobin that contain the heme moiety.
- Which of the following conditions could account for the occult blood results obtained?
  - Ulcers
  - Bleeding gums
  - Hemorrhoids
  - Colorectal cancer
  - 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.
- Which of the following tests could assist in differentiating an upper GI bleed from a lower GI bleed?
  - Apt test
  - Guaiaac-based fecal occult blood test
  - Immunochemical-based fecal occult blood test
  - Porphyrin-based fecal occult blood test
- In this case the limited information and data suggest
  - melena.
  - creatorrhea.
  - gastrointestinal bleeding.
  - pancreatic cancer.

# Automation of Urine and Body Fluid Analysis

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. Describe the principle of reflectance photometry.
2. Discuss and differentiate between semiautomated and fully automated urine chemistry analyzers.
3. State advantages gained by performing automated urine sediment analysis.
4. Compare and contrast the three technologies used to perform fully automated urine microscopy analysis—digital flow morphology, flow cytometry, and digital microscopy.
5. Discuss the advantages and disadvantages of current automated body fluid analyzers.

## CHAPTER OUTLINE

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- Urine Chemistry Analyzers, 350
- Automated Microscopy Analyzers, 352
- Fully Automated Urinalysis Systems, 356

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## KEY TERMS<sup>1</sup>

fully automated urinalysis  
reflectance photometry

semiautomated  
semiautomated urinalysis

<sup>1</sup>Definitions are provided in the chapter and glossary.

## AUTOMATION OF URINALYSIS

A goal of the urinalysis laboratory is to maximize productivity and testing quality while keeping costs and turnaround time at a minimum. The first reagent strip tests to determine the chemical composition of urine were developed in the 1950s in an effort to achieve these goals. Since that time, reagent strips have streamlined the chemical examination, significantly reducing the time required and increasing the number of specimens that can be analyzed in a given time period. Efforts next focused on ensuring consistency in reagent strip reading (e.g., color interpretation, timing), reducing the amount of specimen handling, and increasing specimen throughput. These efforts have resulted in the development of instruments that assess reagent strip results and automate evaluation of the physical characteristics of urine. In the early 1980s, automation of the microscopic examination was achieved by the development of a urine microscopy analyzer (i.e., Yellow Iris). Today, automated urine chemistry analyzers and urine microscopy analyzers are available that can be used as standalone instruments or linked together to enable a fully automated urinalysis system.

As with all technology, new analyzers and methods are constantly being developed and modified. The combinations of analyzers or urinalysis workstations available through the collaboration of manufacturers are dynamic and change with time. Note that despite our global economy, instruments that are available in Europe or Asia may not be available in the United States, and vice versa. The instruments presented in this chapter are limited to those most commonly encountered in US laboratories and one available outside the United States. Although manufacturers use the same technology for their urine chemical analyzers, the approach used for automated microscopy varies among three principles—digital flow morphology, flow cytometry, and digital microscopy using a cuvette or cell.

### Urine Chemistry Analyzers

Semiautomation of the chemical examination of urine was developed to standardize the interpretation of reagent strip results. Consistent, unbiased, and accurate color interpretation was the goal when urine chemistry analyzers were

developed. All reagent strip reading instruments, regardless of manufacturer, use reflectance photometry to interpret the color formed on each test pad. These **semiautomated** instruments require the user to properly dip the reagent strip and place it onto a platform. After this is done, the instrument automatically performs the remaining steps in the analysis: reading the reaction pads at the appropriate read time and moving the strip to a waste container.

Some manufacturers include a color compensation pad on their reagent strips. The purpose of this pad is to assess urine color and use it when interpreting the colors that develop on each reaction pad. In other words, the instrument modifies test results by essentially subtracting the contribution of urine color from the color change obtained on the test reaction pads. Note that this is possible only when reagent strip results are interpreted using an automated instrument. Consequently, depending on the intended use—manual or automated—reagent strips with or without a color compensation pad are available.

### Principle of Reflectance Photometry

**Reflectance photometry** quantifies the intensity of the colored product produced on the reagent strip reaction pads. When light strikes a matte or unpolished surface (e.g., a reagent strip), some light is absorbed and the remaining light is scattered or reflected in all directions. The scattered light is known as *diffuse reflectance*. In reflectance photometers, the incident light is usually of one or more wavelengths, whereas only reflected light of a single, specific wavelength is detected. These photometers are calibrated using reflectance standards such as magnesium carbonate or barium sulfate that “completely” reflect all incident light. Because the potential colors that develop on each reaction pad dictate the wavelengths of light needed for reflectance measurements, each reflectance photometer must have a way of selecting the appropriate wavelength for each test pad. To obtain the desired wavelength, reflectance photometers use (1) polychromatic light and a series of filters to isolate specific wavelengths or (2) a series of monochromatic light sources (e.g., light-emitting diodes [LEDs]).

Reflectance measurements are performed at specific wavelengths and are expressed as percent reflectance (% R). The % R is the ratio of the test pad reflectance ( $R_t$ ) compared to the calibration reflectance ( $R_c$ ), multiplied by the percent reflectivity of the calibration reference, which is usually 100%.

$$\%R = \frac{R_t}{R_c} \times 100 \quad \text{Equation 16.1}$$

The relationship between concentration and reflectance is not linear. Therefore a microprocessor is needed to apply complex algorithms that convert the relationship to a linear one and to obtain a semiquantitative analyte value for each reaction pad on the test strip.

### Semiautomated Chemistry Analyzers

The term **semiautomated urinalysis** indicates that an analyzer is used to interpret the commercial reagent strip results



**FIG. 16.1** A typical semiautomated urine chemistry analyzer, the Diascreen 50. (Image courtesy Arkray Inc.)

of urine when the chemical examination is performed. The term *semiautomated* indicates that the user performs the remaining steps of the urinalysis—physical examination of color and clarity, as well as the microscopic examination, if performed.

Numerous reagent strip manufacturers are located worldwide, and many market a reflectance photometer for use with their specific reagent strips. An example of a semiautomated instrument is shown in Fig. 16.1. All instruments are user friendly and have various display and audio prompts to aid in their operation. Most semiautomated systems require the user to (1) press a button to ready the analyzer for analysis, (2) properly dip the reagent strip into a suitable urine sample, (3) blot the strip to remove excess urine, and (4) place the strip onto an intake platform. A microprocessor controls the remaining aspects of testing: it mechanically moves the strip through the instrument. At the appropriate timed interval, reflectance readings are taken and adjusted for urine color (when a color compensation pad is included on the reagent strip). Results are stored by the microprocessor and, last, the strip is automatically moved to a waste container or manually discarded by the operator.

Patient identifiers, user identification, and the physical parameters of the urine can be manually entered into the analyzer; a barcode reader can be used to identify specimens. Typically, results print out, are stored within the analyzer, or can be transmitted to a laboratory information system (LIS). The quantity of patient and daily quality control results that can be stored on-board varies with the analyzer. Table 16.1 lists some basic features of semiautomated urine chemistry analyzers. Daily maintenance consists primarily of cleaning the transport platform and areas in contact with the reagent strips and emptying the waste container of used reagent strips.



**TABLE 16.1 Typical Features of Semiautomated Urine Chemistry Analyzers**

Tests performed	Blood, leukocyte esterase, nitrite, protein, glucose, ketone, bilirubin, urobilinogen, pH, specific gravity
Measurement principle	Intensity of color on reagent strip pads measured by reflectance photometry; automatic adjustments made for urine color
Sample handling	User <i>manually</i> dips and places strip onto instrument platform
Sample ID entry	User manually enters, uses a barcode reader, or downloads from LIS
Results	Printout and on-board data storage; can interface to LIS Color and clarity can be <i>manually</i> entered for report and printout
Daily maintenance	Clean reagent strip platform; empty used reagent strip container

LIS, Laboratory information system.

### Fully Automated Chemistry Analyzers

When using a *fully automated* urine chemistry analyzer, the user simply places labeled tubes of urine into a sample rack or carousel. Testing is initiated by pressing a button on the instrument display or automatically with placement of a sample rack. From this point on, the instrument controls movement of the specimen rack, identifies each sample, mixes it, aspirates urine using a sample probe, and dispenses it onto a reagent strip. At the appropriate read time, each reaction is read using the appropriate wavelengths of light for that specific test.

Fully automated urine chemistry analyzers can also determine the physical characteristics of urine—color, clarity, and specific gravity (SG)—however, the methods used vary. To perform urine color assessment, some manufacturers include an additional pad on the reagent strip to determine urine color by reflectance photometry; others use spectrophotometry at multiple wavelengths to assign color. Light transmittance or light scatter is used to determine urine clarity. Despite the universal availability of an SG reagent strip test, most fully automated chemistry analyzers use refractive index because of its greater accuracy. A microprocessor collates all results—color, clarity, SG, and chemistry tests—which are subsequently sent to data storage and can be printed on a report form or sent electronically to an LIS.

### Automated Microscopy Analyzers

Automated urine particle analyzers assist in decreasing labor costs and increasing productivity in the urinalysis laboratory. They eliminate observer-associated variation, reduce the need for manual microscopy review, and offer results similar to those of manual microscopy.<sup>1</sup> Because uncentrifuged urine is used, the time spent in handling and preparing concentrated

urine sediment for manual microscopy is eliminated; this also reduces exposure to potential biohazards. Other benefits include increased standardization of the microscopic examination, which enhances the accuracy and reproducibility (precision) of results. Second, because these analyzers are usually interfaced to an LIS, manual data entry is decreased, which reduces the potential for transcription errors. Last, significant data storage is available in some instruments such that urinalysis results can be archived and retrieved later for consultations, continuing education, competency assessments, or training purposes (e.g., iQ200 analyzer).

Manufacturers use one of three technologies to perform automated urine microscopic analysis: (1) digital flow morphology, (2) flow cytometry, or (3) digital microscopy using a cuvette or cell.<sup>1</sup> These technologies are available on instruments worldwide.

Iris Diagnostics-Beckman Coulter, Inc. (Brea, CA) was the pioneer in urine microscopic instrumentation, introducing the Yellow Iris in the 1980s; its most recent instrument is the Iris iQ200 microscopy analyzer. This analyzer is based on *digital flow morphology* (also called *flowcell digital imaging*) followed by urine particle recognition using proprietary neural network software. The iQ200 uses patented technologies to capture and automatically classify digital images of urine particles as they pass through a flowcell.

Urine microscopy analyzers of the second type use *flow cytometry* to identify and categorize the particles in urine. The UF-5000 analyzer is the latest version manufactured by Sysmex Corporation (Mundelein, IL), whereas the AUTION HYBRID is manufactured by Arkray, Inc. (Kyoto, Japan). In these flow cytometers, urine particles are identified and categorized based on forward scatter, fluorescence, and adaptive cluster analysis.<sup>2,3</sup>

The third technology used to perform automated urine microscopic analysis is *automated digital microscopy* that scans for urine particles on the single focal plane of a cuvette or cell. These analyzers take digital images of entire microscopic fields of view (FOVs) of urine components. The UriSed 2 analyzer manufactured by 77 Elektronika (Budapest, Hungary) uses proprietary software that identifies and classifies the urine sediment components. In contrast is the UD-10, a digital imaging device manufactured by Sysmex Corporation (Mundelein, IL) that is used *solely combined* with a Sysmex UF 5000 and requires an operator to assign particle classifications.

### Digital Flow Morphology

The iQ200 Microscopy Analyzer can be purchased as a stand-alone instrument. It is an automated system that performs the microscopic examination of urine, as well as cell counts on body fluids (see Automation of Body Fluid Analysis section, later in this chapter).

Before the aspiration of 1 mL of urine, the analyzer mixes the sample. The aspirated urine is immediately sandwiched within a special fluid called *lamina* (iQ Lamina, Iris Diagnostics) that flows through a proprietary flowcell. The lamina and the flowcell are key to hydrodynamically

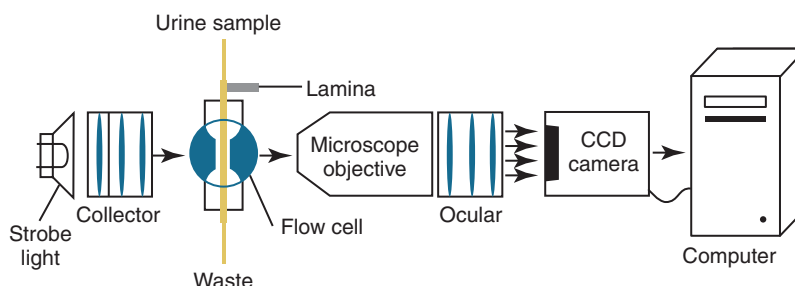


FIG. 16.2 Diagram of the iQ200 digital flow capture process. (Image courtesy Iris Diagnostics.)

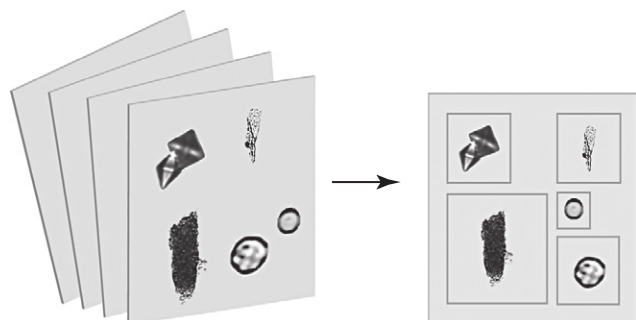


FIG. 16.3 Auto-Particle Recognition (APR) process. (Image courtesy Iris Diagnostics.)

orienting the particles in the urine. The flow path is at a specific depth of focus that enables precise microscopic viewing. The FOV of the microscope is coupled to a digital video camera, and stroboscopic illumination freezes the particles in motion as they stream past, which ensures blur-free

imaging. With each sample, the camera captures 500 frames, digitizes them, and sends them to a computer for processing (Fig. 16.2). Note that the individual particles within each of the 500 frames are isolated as separate images, and the Auto-Particle Recognition (APR; Iris Diagnostics) software classifies each image (Fig. 16.3).

The APR software is a highly trained neural network that uses size, shape, contrast, and texture to automatically classify each image into one of 12 categories (Table 16.2). Next, the APR software calculates the concentration of each particle present. The results obtained for each sample are compared to user-defined auto-release criteria, and if the criteria are met, results can be sent to the LIS. If the criteria are not met, or if the option to auto-release reports to the LIS is not used by the laboratory, the results are stored and the user can review them at any time on the computer monitor.

Using the computer monitor, the user can review results, visually assess the particles present, and subclassify them into the 26 additional categories, as listed in Table 16.2.

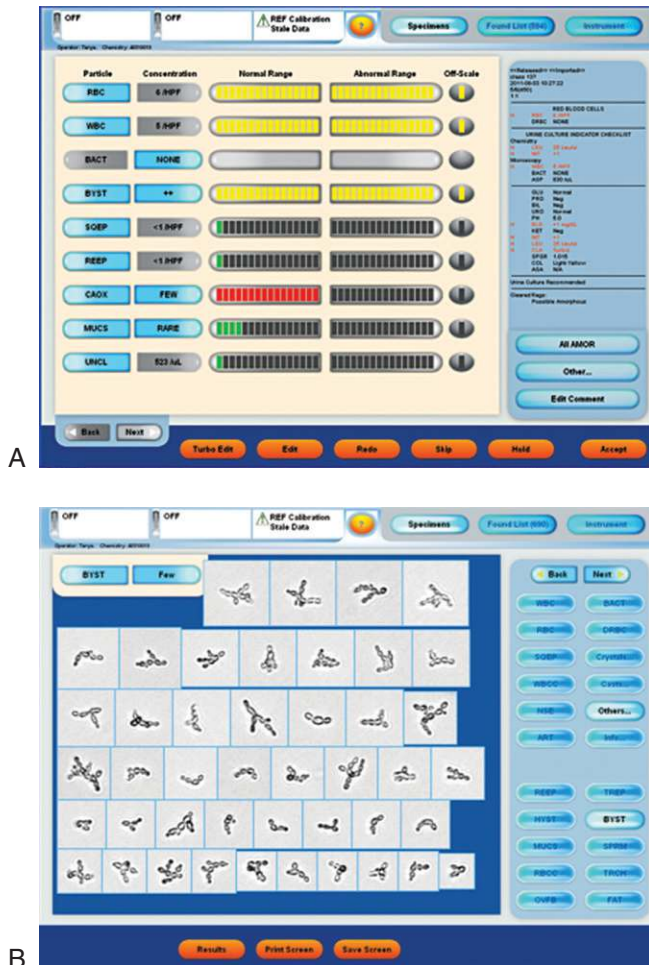
TABLE 16.2 iQ200 Autoclassification and Subclassification Categories for Urine Sediment Particles

	Blood Cells	Crystals	Casts	Epithelial Cells	Yeast	Others
Autoclassified by analyser	RBCs WBCs WBC clumps	Unclassified crystals <sup>a</sup>	Hyaline Unclassified casts <sup>a</sup>	Squamous Nonsquamous <sup>b</sup>	Budding yeast	Bacteria Mucus Sperm
Subclassified by user	RBC clumps	Amorphous Calcium carbonate Calcium oxalate Calcium phosphate Triple phosphate Uric acid Cystine Tyrosine Leucine Unclassified crystals	Granular Cellular Waxy Broad RBCs WBCs Epithelial cells Fatty Unclassified casts	Transitional Renal	Yeast with pseudohyphae	Trichomonads Fat Oval fat bodies

<sup>a</sup>Unclassified crystals and casts can be reported as such, or user can specifically subclassify by type.

<sup>b</sup>Nonsquamous epithelial cells can be reported as such, or user can specifically subclassify as transitional or renal.

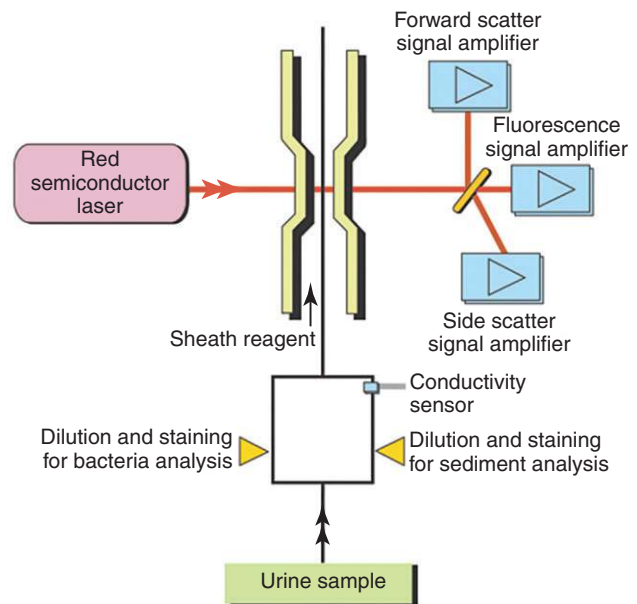
RBCs, Red blood cells; WBCs, white blood cells.



**FIG. 16.4** Displays of iQ200 urinalysis results. (A) On-screen review of iQ200 results. The results for this sample did not auto-release because the amount of some microscopic elements resided in the “Particle Verification Range” set by the user. These results appear “yellow” and require review as established by this laboratory. Results that appear “green” are in the normal range, and those that appear “red” are considered abnormal but do not need verification (as established by the user-defined criteria). When no yellow results are present, results can be automatically released without review or verification. (B) On-screen display of automatically classified images of budding yeast (BYST). (Image courtesy Iris Diagnostics.)

Subclassification is used to indicate the specific types of crystals, casts, and nonsquamous epithelial cells present, as well as to identify pseudohyphae, trichomonads, or fat (Fig. 16.4). Additional free text comments can be added to reports as needed, such as “Ascorbic acid positive” or “Presence of fat confirmed.”

The average time required for an experienced user to review urinalysis results from a single urine sample is approximately 30 seconds. However, laboratories can select their own auto-release criteria. When urinalysis results do not exceed these criteria, the results do not require review and are automatically sent to the LIS. Results that exceed user-defined values



**FIG. 16.5** Diagram of urine particle analysis in the Sysmex UF-1000i. (Image courtesy Sysmex Corporation, Mundelein, IL.)

are available at any time for the user to review, subclassify, and forward to the LIS. Therefore the number of reports that actually require user review will vary with the auto-release criteria selected by the laboratory and with its patient population.

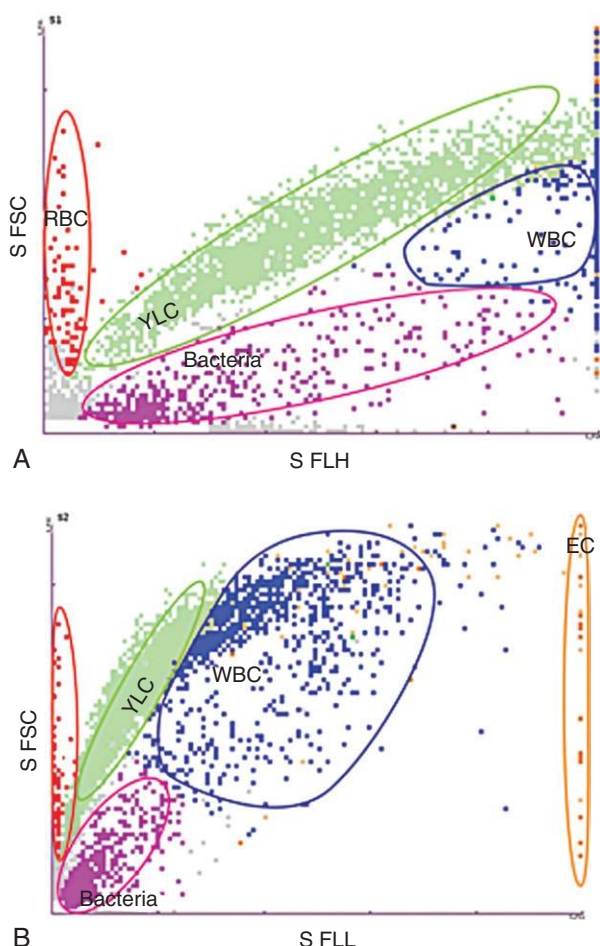
### Flow Cytometry

The Sysmex UF-5000, UF-1000i, and the Arkray AUTION HYBRID are instruments that use flow cytometry to categorize particles in urine on the basis of their size, shape, volume, and staining characteristics.<sup>2,3</sup> Both systems use polymethine dyes and a separate channel for bacterial analysis, which improves detection of bacteria.

For automated particle analysis, the analyzers require a sample volume of 4 to 5 mL; however, if the instruments are used in the manual mode, only about 1 mL of urine is required for microscopic analysis. After aspiration into the analyzer, the urine sample is divided into two channels because the diluent, the staining time, and the staining temperature for sediment analysis differ from those used for bacterial analysis. Urine particles differ from those used for bacterial analysis. Urine particles are oriented into a single file by flowcell and laminar flow dynamics. As each channel passes through the flowcell, it is analyzed by a single red semiconductor laser ( $\lambda 635$  nm), and particles in the urine are categorized on the basis of (1) forward scatter, (2) fluorescence staining characteristics, (3) impedance signals, (4) adaptive cluster analysis, and (5) side scatter, which is specific for detection of bacteria (Fig. 16.5).

As with all flow cytometry systems, results are displayed as scattergrams (Fig. 16.6). The UF-5000 and AUTION HYBRID are able to report particles as red blood cells (RBCs), white blood cells (WBCs), epithelial cells (squamous), hyaline casts, or bacteria (Table 16.3). Note that these analyzers “flag” specimens when it detects the presence of the following particles: casts (other than hyaline), crystals, yeast-like cells, mucus,





**FIG. 16.6** Sysmex UF-1000i urine particle results. (A) Scattergram of forward scatter (S\_FSC) versus fluorescent light intensity–high sensitivity (S\_FLH). (B) Scattergram of forward scatter (S\_FSC) versus fluorescent light intensity–low sensitivity (S\_FLL). EC, Epithelial cells; RBC, red blood cell; WBC, white blood cell; YLC, yeast-like cell. (Images courtesy Sysmex Corporation, Mundelein, IL.)

**TABLE 16.3 UF-5000 and UF-1000i Particle Detection Categories**

Particles Enumerated	Flagged Particles
RBCs	Nonhyaline (pathologic) casts <sup>a</sup>
WBCs	Crystals <sup>a</sup>
Epithelial cells	Small round cells <sup>a</sup>
Hyaline casts	Yeast
Bacteria	Mucus
	Sperm

<sup>a</sup>Manual microscopic examination by user or analysis by UD-10 is required to specifically identify and categorize ‘flagged’ particles. RBCs, Red blood cells; WBCs, white blood cells.

small round cells (i.e., transitional or renal cells), or sperm. Determining the specific identity of elements in “flagged” specimens requires a manual microscopic review of the urine by the user or, in the case of the UF-5000, it can be linked with a Sysmex UD-10 analyzer (see “Digital Microscopy” section

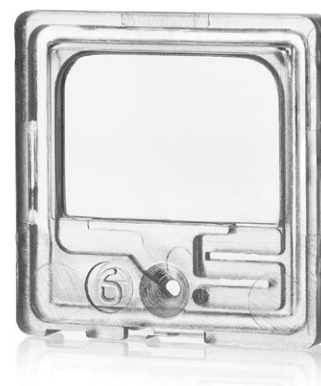
for details). In other words, to classify nonhyaline casts (granular, cellular, RBC, WBC, crystalline), identify crystals (e.g., calcium oxalate, uric acid, cystine), identify yeast, and categorize the particles identified as small round cells as transitional cells, renal cells, or another small particle, additional microscopic examination is required.

It is important to note that studies using flow cytometry have identified an issue with false-positive results for RBCs caused by crystals, yeast, and sperm, or during analysis of urine samples transported in preservative tubes, such as BD Vacutainer Plus UA Preservative tubes (BD, Franklin Lakes, NJ), BD C&S Preservative tubes, and Greiner Stabilur Preservative tubes (Greiner Bio-One N.A., Inc., Monroe, NC).<sup>1,3-6</sup> Large quantities of amorphous precipitates in refrigerated urine specimens can also present a challenge. This is no longer an issue for the Sysmex UF-5000 when it is combined with a UD-10 analyzer.

Results from the UF-5000 and UD-10 instruments can be electronically linked to those from a urine chemistry analyzer to obtain an integrated urinalysis report. The AUTION HYBRID is unique in that it is an *integrated* analyzer, that is, the chemistry and microscopy analyzers are contained within a single unit; therefore chemistry and microscopic result reporting is also integrated. With either system, user-defined criteria can be adjusted to reduce the review rate of specimens and increase productivity. When analyzers are interfaced to an LIS, results can be compared to user-defined auto-release criteria and reported, or they can be held for review and follow-up.

### Digital Microscopy

The UriSed 2 analyzer (77 Elektronika, Budapest, Hungary) is a cuvette-based technology (CBM) that is essentially automating a *traditional* manual microscopy process. Urine samples (2 mL, minimum volume) are poured into tubes that can be barcoded for identification and placed into a sample rack. The analyzer moves the sample racks and each specimen tube into position for sampling. A sample probe mixes the urine sample and then aspirates and dispenses 200  $\mu$ L of urine into a proprietary cuvette (Fig. 16.7). Note that the probe is washed after each sampling, which eliminates the potential



**FIG. 16.7** Cuvette used by the UriSed 2 automated urine sediment analyzer. (Image courtesy 77 Elektronika Kft.)



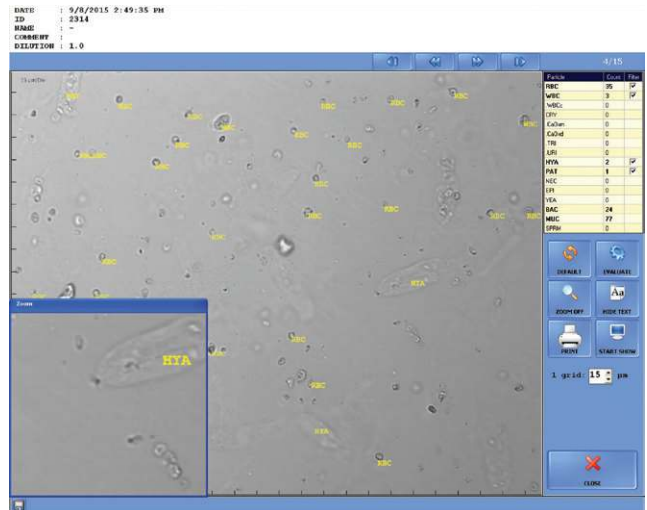
ID	Name	WBC	RBC	Crystals	Casts
8102015 2 27 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 2 28 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 2 29 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 00 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 01 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 02 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 03 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 04 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 05 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 06 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 07 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 08 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 09 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 10 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 11 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 12 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 13 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 14 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 15 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 16 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 17 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 18 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 19 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 20 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 21 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 22 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 23 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 24 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 25 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 26 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 27 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 28 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 29 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 30 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 31 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 32 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 33 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 34 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 35 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 36 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 37 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 38 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 39 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 40 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 41 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 42 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 43 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 44 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 45 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 46 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 47 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 48 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 49 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 50 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 51 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 52 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 53 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 54 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 55 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 56 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 57 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 58 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 59 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00
8102015 3 60 24 PM 0000	NA 15/15 NA	0.00	0.00	0.00	0.00

**FIG. 16.8** Computer display of tabular sediment results using the UriSed 2. The quantitative concentration values and semi-quantitative results determined from the digital images are available for user review. (Image courtesy 77 Elektronika Kft.)

for carry-over between specimens.<sup>7</sup> The loaded cuvette is automatically inserted into a unique on-board mini-centrifuge that centrifuges the sample at 260 g for 10 seconds. This centrifugation step moves all the urine particles into a single focal plane on the bottom of the cuvette. Next, the cuvette is pushed onto the microscopic platform where a focusing procedure is performed. The analyzer takes digital images of 15 brightfield, high power-like FOVs that correspond to approximately 10 manual microscopy fields. Using these grayscale, high-resolution digital images, the Auto Image Evaluation Module (AIEM; 77 Elektronika Kft.) software automatically locates, identifies, labels, and classifies the urine particles.

The computer screen displays specimen results in a tabular form—quantitative concentration values and semi-quantitative categories (Fig. 16.8). In addition, the 15 FOV images can be accessed and displayed individually at any time. Note that the user establishes the number of images to be taken (5, 10, 15, 20 images), with 15 being the standard protocol. User-defined criteria can be applied to allow *automatic* release of results to an LIS or to hold samples for user review and follow-up. Last, the used cuvette is dropped into a waste bin.

The AIEM automatically classifies identified particles into 15 categories, and 28 additional categories are available for manual subclassification or addition by the user (Table 16.4). Because the digital images contain the whole FOV, the context or environment of the entire urine sediment is observable (i.e., no content is cropped). Hence reviewing the images resembles performing a manual microscopic evaluation. When each *square-shaped* FOV is viewed, the urine particles that were recognized are labeled on the image. The computer has digital zooming capability, which enables a closer look at any specific particle or area of an image (Fig. 16.9). Users can easily edit (correct, subclassify) or add additional findings, if



**FIG. 16.9** Computer display of a single image taken during sediment analysis using the UriSed 2 analyzer; typically 15 high-power-like images are taken. All particles recognized and enumerated by the Auto Image Evaluation Module (AIEM) are labeled on the image. Note that digital zooming enables a closer look at specific components or an area of the FOV. (Image courtesy 77 Elektronika Kft.)

desired. The computer database stores all images and statistical data, which can be later referenced, used for training, or used for continuing education purposes.

Another device that employs digital microscopy is the UD-10 analyzer manufactured by Sysmex Corporation (Mundelein, IL). It cannot be used alone but must be combined with a Sysmex UF-5000 analyzer. Its purpose is to specifically identify those pathological elements “flagged” by the UF-5000 flow cytometer. After UF-5000 analysis, uncentrifuged urine is aspirated by the UD-10 and introduced into an imaging “cell.” The urine components are allowed time to settle into a single focal plane on the bottom of the “cell,” after which a camera captures microscopic images of whole FOVs. An information processing unit (IPU) subsequently isolates and categorizes all individual particles captured into eight classes based on size. Last, an operator must review the images and manually classify the particles.

### Fully Automated Urinalysis Systems

It is an ongoing challenge to maintain a current listing of the variety of **fully automated urinalysis** systems that are available worldwide, and to create a comprehensive list exceeds the scope of this text. Note that fully automated urinalysis systems are dynamic and changing as manufacturers develop new instruments as well as change corporate collaborations. For example, in Table 16.5, the two European fully automated urinalysis systems that are listed use *digital imaging* technology in their microscopy analyzers (i.e., Urilyzer Sed, Cobas u701). However, the actual manufacturer of the urine microscopy analyzers is the same, 77 Elektronika (Budapest, Hungary). Hence, the same UriSed 2 technology is used in both of these automated urinalysis systems. In other words, by corporate

**TABLE 16.4 UriSed 2 Autoclassification and Subclassification Categories for Urine Sediment Particles**

	Blood Cells	Crystals	Casts	Epithelial Cells	Yeast	Others
Autoclassified by analyser	Red blood cells (RBC) White blood cells (WBC) White blood cell clumps (WBCc)	Crystals <sup>a</sup> (CRY) Calcium oxalate monohydrate (CaOxm) Calcium oxalate dihydrate (CaOxd) Triple phosphate (TRI) Uric acid (URI)	Hyaline (HYA) Pathologic <sup>a</sup> (PAT)	Squamous (EPI) Nonsquamous <sup>b</sup> (NEC)	Yeast (YEA)	Bacteria (BAC) Mucus (MUC) Sperm (SPRM)
Subclassified or added by user	Isomorphic RBC (RBCi) Dysmorphic RBC (RBCd) Acanthocyte RBC (RBC-G1) Other RBC (RBC-Oth)	Amorphous phosphates (P-AMO) Amorphous urates (U-AMO) Atypical crystals (ATY) Calcium oxalate (CaOx) Calcium phosphate (CaPh) Cystine (CYS) Leucine (Leu) Tyrosine (TYR)	Hyaline granular (C-HGR) Granular (C-GRA) RBC (C-RBC) WBC (C-WBC) Mixed (C-MIX) Nonsquamous epithelial cell (C-NEC) Fatty (C-FAT) Waxy (C-WAX) Cast with crystals (C-CRY) Cast with microorganisms (C-MIC)	Superficial transitional (s-TRA) Deep transitional (d-TRA) Renal (REC)		Fat globules • Lipid droplets—neutral fat (LDR) • Cholesterol (CHOL) Oval fat bodies (REN-L) <i>Trichomonas vaginalis</i> (TRV) <i>Schistosoma haematobium</i> (SCH) Artifacts (ART)

<sup>a</sup>Crystals (CRY) and pathologic casts (PAT) can be reported as such, or the user can specifically subclassify by type.

<sup>b</sup>Nonsquamous epithelial cells (NEC) can be reported as such, or the user can specifically subclassify as transitional or renal.

RBCs, Red blood cells; WBCs, white blood cells.

**TABLE 16.5 A Selection of Fully Automated Urinalysis Systems**

Manufacturer	Arkray Inc.	Beckman Coulter Inc.	Roche Diagnostics	Siemens Healthcare Diagnostics Inc.	Sysmex America	Analyticon Biotechnologies AG
Instrument name	AUTION HYBRID <sup>a</sup>	iQ Workcell <sup>b</sup>	Cobas 6500 <sup>f</sup>	CLINITEK AUWi Pro <sup>c</sup>	UN-Series <sup>d</sup>	Urilyzer Flex <sup>e</sup>
Chemistry analyzer	AU-4050 <sup>a</sup>	AU-4030 <sup>a</sup>	Cobas u601 <sup>f</sup>	CLINITEK Novus <sup>c</sup>	CLINITEK Novus <sup>c</sup>	Urilyzer Auto <sup>e</sup>
Microscopy analyzer	AU-4050 <sup>a</sup>	Iris iQ200 <sup>b</sup>	Cobas u701 <sup>g</sup>	UF-1000i <sup>d</sup>	UF-5000 <sup>d</sup> and UD-10 <sup>d</sup>	Urilyzer Sed <sup>e</sup>
Microscopy technology	Flow cytometry	Digital flow morphology	Digital imaging	Flow cytometry	Flow cytometry and digital imaging	Digital imaging

<sup>a</sup>Manufactured by Arkray Inc., Kyoto, Japan.

<sup>b</sup>Manufactured by Iris Urinalysis-Beckman Coulter, Inc., Brea, CA.

<sup>c</sup>Manufactured by Siemens Healthcare Diagnostics Inc., Deerfield, IL.

<sup>d</sup>Manufactured by Sysmex Corporation, Mundelein, IL.

<sup>e</sup>Manufactured by Analyticon Biotechnologies AG, Lichtenfels, Germany; currently not available in USA.

<sup>f</sup>Manufactured by Roche Diagnostics, Indianapolis, IN.

<sup>g</sup>Manufactured by 77 Elektronika, Budapest, Hungary.

agreement the UriSed 2 microscopy analyzer is part of several fully automated urinalysis systems in Europe and Asia. As evident in the USA section of Table 16.5, numerous other corporate agreements and combinations of urine chemistry and microscopy analyzers are available from manufacturers.

Fully automated urinalysis systems automatically identify and process each barcoded sample tube according to the tests requested in the LIS. These systems are flexible, economical, efficient, and user friendly. Urine specimens can undergo physical and chemistry testing only, microscopy testing only, or both (i.e., complete urinalysis). After analysis, a computer system integrates the physical, chemical, and microscopic results to create a urinalysis report that can be sent to an LIS and printed.

## AUTOMATION OF BODY FLUID ANALYSIS

Analysis of body fluids by manual hemacytometer methods is an ongoing challenge in clinical laboratories because these analyses are time-consuming to perform, require skilled personnel, show high interoperator variability, and are plagued by low precision (reproducibility). In contrast, automation offers better precision and turnaround times. However, body fluids with low cell counts (<30 cells/ $\mu$ L) present a challenge for automated systems. Despite this issue, automated analyzers could be used as a first step in triaging specimens (i.e., identifying those with low cell counts that require a manual hemacytometer count).

Note that despite the advantages of better precision, reduced interoperator variation, and shorter turnaround time, some issues with automated analyzers remain. For example, these analyzers cannot identify malignant cells; therefore any fluid that could potentially have malignant cells present should have a manual WBC differential performed using a stained cytospin preparation. Three analyzers that have been designed to perform body fluid cell counts are listed in Table 16.6. Note that it is the manufacturer's responsibility to have an intended use statement that clearly defines which body fluids have been approved by a regulatory agency for testing.<sup>8</sup> Similarly, it is the laboratory's responsibility to define the lower limits for cell counting and to clearly state when fluids must be analyzed by an alternate method (e.g., hemacytometer count).<sup>8</sup>

### Body Fluid Cell Counts Using Hematology Analyzers

Many hematology analyzers have been used to perform body fluid cell counts, but although they improve precision and turnaround time, problems have been encountered. Some of these problems occur because the matrix of body fluids differs from that of whole blood. Other problems are due to interference by large cells (mesothelial cells, macrophages, tumor cells) or noncellular particulate matter that can be present in body fluids. Last, most hematology analyzers based on impedance technology have high background counts that prevent or hinder accuracy in detecting low cell counts in body fluids

**TABLE 16.6 Selected Automated Body Fluid Analyzers**

Analyzer	Body Fluids (FDA approved, USA)
ADVIA 2120i with Body Fluid Software	CSF
	Pleural
	Peritoneal
	Peritoneal dialysate
iQ200 using Body Fluid Module	Serous fluids
	CSF
	Pericardial
	Peritoneal
	Peritoneal dialysate
	Peritoneal lavage
	Pleural
Sysmex XN-10 using Body Fluid mode	Serous fluids
	Synovial
	CSF
	Pleural
	Pericardial
	Peritoneal
Peritoneal dialysate	
Serous fluids	
Synovial	

CSF, Cerebrospinal fluid.

(e.g., cerebrospinal fluid [CSF]). Precleaning the instrument may be required before body fluid analysis can be performed. One modification made for analyzing body fluids is that the duration of the cell count has been increased. This results in a higher number of cells counted and a concomitant increase in precision. Two hematology analyzers have been specifically modified to enhance body fluid cell counts: the ADVIA 2120i (Siemens Healthcare Diagnostics Inc., Deerfield, IL) and the Sysmex XN-10 (Sysmex Corporation, Mundelein, IL).

Before CSF is analyzed using the ADVIA 2120i, the CSF sample must be pretreated for a minimum of 4 minutes using a special CSF reagent. This reagent fixes and converts RBCs into spheres.<sup>9</sup> For body fluid applications, the analyzer uses the basophil/lobularity channel, the peroxidase channel, and the RBC/platelet channel to determine the total nucleated cell count (TNC), the WBC count, and the RBC count, respectively.<sup>10</sup> Numeric data and scattergram results are provided. Because this analyzer is newly approved for the analysis of pleural and peritoneal fluids and peritoneal dialysates, its efficacy and statistical performance remain to be elucidated.

On the Sysmex XN-10, a dedicated body fluids mode is used, and all body fluids can be directly analyzed without dilution or pretreatment (enzyme digestion). A special software algorithm is used to count RBCs on the basis of sheath flow impedance, and because cell counting has been extended, precision is enhanced.<sup>9</sup> WBCs are counted using side scatter and fluorescence intensity after their nuclear DNA/RNA is stained with specific dyes. In addition to RBC and total WBC

counts, a *partial* WBC differential is provided—mononuclear cells (MNCs; lymphocytes and monocytes) and polymorphonuclear cells (PMNs; neutrophils, eosinophils, basophils). Macrophages and mesothelial cells are counted but are excluded from the cell counts.

Body fluid analysis using the Sysmex XN-10 has some limitations. It is imperative that experienced users visually inspect the differential scatterplots of body fluids to (1) detect non-cellular particulate matter (e.g., bacteria, cryptococcus) and (2) identify possible interference from large cells. In addition, when the WBC count is below  $10 \times 10^6$  cells/L, differentiation between PMNs and MNCs should not be done.<sup>11</sup>

### Body Fluid Cell Counts Using iQ200

The method of cell measurement used by the iQ200 differs from that used on hematology analyzers. The iQ200, an automated microscopy analyzer primarily used to analyze cells and other particles in urine, can also be used to perform body fluid cell counts (see Table 16.6). However, its use requires the purchase of the Body Fluid software module. In contrast to hematology analyzers, body fluid analysis on the iQ200 can be performed at any time without prior cleaning or preparation of the instrument.

When the iQ200 is used, body fluids are diluted on the basis of the fluid type (e.g., CSF, serous) and its appearance (e.g., clear, bloody). Two dilutions are made in tubes: one using Iris diluent, the other using Iris RBC lysing reagent. Note that these tubes are labeled with *dilution-specific* barcodes, which enable automatic calculation of cell counts by the instrument based on the dilution prepared. The labeled tubes are placed in a sample rack and onto the instrument for analysis. The total cell count is determined using the dilution prepared with iQ diluent (unlysed), whereas the nucleated cell count is determined using the dilution prepared with the lysing agent. The difference between these two values (Total cell count – Nucleated cell count) is the RBC count.

When the iQ200 is used, the same digital flowcell imaging technology used for urine applies to body fluid analysis (see “iQ Microscopy Analyzer”). Numeric results and digital cell images are displayed for verification and manual editing, if desired.

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## STUDY QUESTIONS

1. When semiautomated urine chemistry analyzers are used, the color that develops on the reaction pads is measured by
  - A. spectrophotometry.
  - B. reflectance photometry.
  - C. fluorescence photometry.
  - D. comparing reaction pads with a color chart.
2. What is the purpose of the color compensation pad on reagent strips?
  - A. To compensate for the effect of specific gravity on urine color
  - B. To calibrate the instrument for color assessment of reaction pads
  - C. To account for the contribution of urine color to the colors on the reaction pads
  - D. To detect substances (e.g., phenazopyridine) that mask color development on the reaction pads



3. Select the *true* statement regarding reflectance photometry.
    - A. The amount of light that is absorbed is detected and measured.
    - B. The same wavelength of light is used to evaluate all reaction pads.
    - C. The intensity of light reflected from a polished surface is quantified.
    - D. The relationship between reflectance and concentration is not linear.
  4. Select the *true* statement regarding semiautomated urine chemistry analyzers.
    - A. Results cannot be automatically transmitted to a laboratory information system.
    - B. Specific gravity is usually determined by refractive index.
    - C. Urine color and clarity are manually determined and entered into the analyzer.
    - D. Well-mixed uncentrifuged urine is placed onto the intake platform for analysis.
  5. The benefits of performing automated urine microscopy include all of the following, *except* it
    - A. increases precision of microscopy results.
    - B. decreases exposure to urine, a potential biohazard.
    - C. increases the time required for the microscopic examination.
    - D. decreases manual entry and potential transcription errors.
  6. Which of the following statements about the iQ200 microscopy analyzer is *true*?
    - A. Particle analysis is performed using flow cytometry.
    - B. Urine particles are automatically classified into 12 categories.
    - C. Concentrated urine sediments must be prepared before analysis by the analyzer.
    - D. It cannot be used as a standalone instrument (i.e., it must be attached to a urine chemistry analyzer for use).
  7. Which of the following statements about the UF-5000 and UF-1000i urine particle analyzers is *true*?
    - A. A separate channel is used to detect bacteria.
    - B. Digital images of each urine particle are available for review and archival storage.
    - C. The analyzers can specifically identify pathologic casts and renal epithelial cells.
    - D. Impedance technology is the primary method by which these analyzers detect and categorize particles.
  8. Which of the following statements is *true* about automated instruments used to perform body fluid analysis?
    - A. They can perform five-part white blood cell (WBC) differentials.
    - B. They can detect and enumerate large numbers of red blood cells.
    - C. They can detect and specifically identify malignant cells.
    - D. They can perform accurate and precise counting of low WBC numbers (<20 cells/ $\mu$ L).
-

# Body Fluid Analysis: Manual Hemacytometer Counts and Differential Slide Preparation

## LEARNING OBJECTIVES

After studying this chapter, the student should be able to:

1. State four factors that adversely affect manual cell counts performed using a hemacytometer.
2. Discuss advantages and disadvantages of each diluent used to perform body fluid cell counts.
3. Discuss the challenges associated with cell counting of viscous fluids—for example, synovial fluid, semen—including pretreatment options and their effects if any on cell counts.
4. Describe step by-step how to perform a manual cell count using a hemacytometer.
5. Calculate the cell count in a body fluid when provided with the necessary information.
6. Explain cytocentrifugation and its use in preparing slides of body fluid for differential analysis, including the equipment needed, advantages, and disadvantages.

## CHAPTER OUTLINE

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## USING A HEMACYTOMETER

Manual methods using a hemacytometer are often used to perform cell counts on body fluids such as cerebrospinal fluid (CSF), synovial fluid, pleural fluid, pericardial fluid, and peritoneal fluid, as well as peritoneal dialysates, bronchoalveolar lavages, and semen. In health, the numbers of red blood cells (RBCs) and white blood cells (WBCs) in these body fluids are low, and other cells or cellular debris can be present. As discussed in [Chapter 16](#), automated cell counting analyzers can produce erroneous results when the cell count is low. It is the responsibility of each laboratory to define its lower limit for cell counts (RBCs and WBCs) and to have a protocol for performing manual cell counts using a hemacytometer when cell counts are below the laboratory-defined lower limit.<sup>1</sup>

Highly viscous body fluids (e.g., synovial fluid) and fluids that fail to appropriately liquefy (e.g., semen) require pretreatment before cell counting by manual or automated methods. Note that cell counts using a clotted body fluid are inaccurate. Because it may not be possible to obtain another body fluid specimen, every effort is made to work with the health-care provider to provide valid, useful information. This may include performing a cell count and including on the report

a statement such as, “Specimen clotted; cell counts must be interpreted with caution.”

Manual cell counts using a hemacytometer are time-consuming, require advanced technical skills, have poor precision (reproducibility), and are subject to numerous errors as a result of the multiple steps involved. Therefore it is imperative that well-trained and technically proficient laboratorians perform them and that appropriate materials are used to verify the achievement of quality goals.

### Diluents and Dilutions

The visual appearance of the body fluid aids in determining whether a dilution should be made for cell counting and what dilution should be prepared. Body fluids that are clear do not require a dilution, and the fluid can be loaded directly onto a hemacytometer. Fluids that are visibly cloudy or bloody must be diluted to obtain accurate cell counts. [Table 17.1](#) is provided as a guide to dilution selection based on visual appearance. When diluents that do not lyse RBCs are used, a higher dilution may be necessary. Body fluids that are visibly clear indicate a low cell count and are evaluated undiluted; sometimes cell counts in hazy or slightly cloudy fluids can also be performed on the undiluted fluid. To enhance visualization

**TABLE 17.1 Body Fluid Dilution Guidelines for Cell Counts Based on Visual Appearance**

Fluid Appearance	WBC Count	RBC Count
Clear	Undiluted	Undiluted
Hazy (slightly cloudy)	1:2 <sup>a</sup> dilution	Undiluted
Blood-tinged	1:2 <sup>a</sup> dilution	Undiluted
Cloudy	1:20 dilution	Undiluted
Bloody	1:2 <sup>a</sup> or 1:20 dilution	1:200 dilution

<sup>a</sup>Using a diluent that lyses RBCs.

RBC, Red blood cell; WBC, white blood cell.

### BOX 17.1 Enhancing Visualization of White Blood Cells When Analyzing Clear Fluids

1. Rinse the inside of a disposable glass Pasteur pipette with glacial acetic acid, allow it to drain completely, and wipe off the outside and end of the pipette carefully with gauze. *CAUTION: Glacial acetic acid is caustic. Wear personal protective equipment.*
2. Place this prerinsed pipette into the *well-mixed* body fluid and allow the pipette to fill by capillary action until fluid fills approximately 1 inch of its length.
3. Seal the open end of the pipette with a gloved finger and remove the pipette from the body fluid.
4. Mix the fluid sample with the acid in the pipette by holding the pipette in a horizontal position and removing the finger from the top. Rotate the pipette carefully for 10 to 20 seconds. Be careful not to allow fluid to drip out of either end of the pipette.
5. Mount the fluid into both chambers of a hemacytometer. Allow 3 to 5 minutes for the cells to settle and the red blood cells to lyse.

of WBCs in fluids other than synovial fluid, the fluid can be “exposed” to glacial acetic acid (Box 17.1). Blood-tinged or bloody fluids can be diluted using diluents that (1) lyse RBCs and (2) enhance visualization of nucleated cells.

Often isotonic, particle-free commercial diluents used by the laboratory’s hematology analyzer can be used to dilute body fluids (e.g., Cellpack, Sysmex Corporation, Kobe, Japan). Laboratory-prepared isotonic solutions such as normal saline (0.85%) can also be used for RBC counts, whereas dilute acetic acid solutions are often used for the nucleated cell and WBC counts. Acetic acid in the diluent performs two functions: It lyses any RBCs present and it enhances the nuclei of WBCs. Table 17.2 summarizes diluents commonly used for body fluids when WBC and RBC counts are performed. Appendix D provides details on the preparation of these diluents.

The diluent used depends on the body fluid being evaluated. Note that synovial fluid cannot be diluted using weak acid diluents, such as acetic acid. Because of the high hyaluronic acid and protein content of synovial fluid, acetic acid will cause a mucin clot (i.e., the coprecipitation of hyaluronic acid and protein), which interferes with accurate

**TABLE 17.2 Diluents for Body Fluid Blood Cell Counts<sup>a</sup>**

Diluent	Cell Counts	Comments
Commercial isotonic diluents	WBC count RBC count	Diluent used in hematology analyzers for cell counting
Isotonic saline (0.85%)	WBC count RBC count	Also known as “normal” saline
Hypotonic saline (0.30%)	WBC count	<ul style="list-style-type: none"> <li>• Lyses RBCs</li> </ul>
Dilute acetic acid (3.0%)	WBC count	<ul style="list-style-type: none"> <li>• Lyses RBCs</li> <li>• <i>Do not use</i> with synovial fluids; causes mucin clot and cell clumping</li> </ul>
Turk’s solution	WBC count	<ul style="list-style-type: none"> <li>• Lyses RBCs</li> <li>• <i>Do not use</i> with synovial fluids; causes mucin clot and cell clumping</li> </ul>
Hyaluronidase (0.1 g/L) buffer solution	WBC count RBC count	<ul style="list-style-type: none"> <li>• Prevents mucin clot formation in synovial fluids</li> <li>• Stain enhances nucleated cell identification</li> </ul>

<sup>a</sup>See Appendix D for diluent preparation.

RBC, Red blood cell; WBC, white blood cell.

cell counting. Instead, synovial fluid is diluted using a commercial isotonic diluent, normal saline (0.85%), hypotonic saline (0.30%), or a hyaluronidase buffer solution. A hyaluronidase buffer solution prevents mucin clots, and when toluidine blue stain is included, it aids in the visualization and identification of cellular elements. When WBC counts are performed on synovial fluid and hypotonic saline is used as the diluent, any RBCs present are lysed and mucin formation is not initiated.

To obtain accurate cell counts, dilutions of body fluids must be made using a quantitative technique. Calibrated automatic pipettes (e.g., Pipetman, Eppendorf, Drummond, MLA) are used to prepare these dilutions manually; commercial diluting systems (e.g., Unopettes) are not available in most locations. Note that when viscous fluids, such as synovial fluid and semen, are pipetted, a positive displacement pipette must be used because an air displacement pipette cannot accurately dispense these viscous fluids. In contrast, CSF, pleural, pericardial, and peritoneal fluids and pretreated synovial fluid can be diluted using either an air or a positive displacement pipette.

### Pretreatment and Dilution of Synovial Fluid Specimens

When synovial fluid is evaluated without pretreatment, the viscosity of the fluid can cause an uneven distribution of

cells in the hemacytometer. Also when preparing dilutions of untreated synovial fluid, a positive displacement pipette is required to accurately prepare dilutions of the fluid. An alternative is to pretreat synovial fluid using the enzyme hyaluronidase. This enzyme eliminates the fluids' viscosity by depolymerizing hyaluronic acid, which will also prevent mucin clot formation. Two pretreatment approaches using hyaluronidase are provided in [Appendix D](#). Basically, hyaluronidase is added to an aliquot of synovial fluid, which is mixed well. To enhance depolymerization the sample can be briefly incubated at 37°C. Note that pretreatment with hyaluronidase does not affect crystals that can be present in synovial fluid. Additionally, some excessively viscous synovial fluids may need to be *pretreated* with hyaluronidase despite the use of a hyaluronidase buffer solution as the diluent.

If pretreated synovial fluid is clear, it can be evaluated undiluted for cell counts. When a dilution is needed, a diluent that will not cause a mucin clot is required, such as a commercial or laboratory-made isotonic diluent, hypotonic saline, or a 0.1-g/L hyaluronidase buffer solution (see [Appendix D](#) for diluent preparation).

### Semen Dilution and Pretreatment of Specimens

As with synovial fluid specimens, semen is viscous even after liquefaction, and positive displacement pipettes are required to accurately prepare dilutions.<sup>2</sup> Often, the diluent used to dilute semen for sperm counts is a solution of sodium bicarbonate, formalin (a fixative), and, optionally, a stain—trypan blue or gentian violet (see [Appendix D](#) for diluent preparation). Including a stain enhances visualization, which assists in differentiating among sperm, immature sperm (spermatids, spermatocytes), and WBCs—primarily neutrophils, monocytes, and macrophages.

Semen specimens that fail to liquefy adequately after 60 minutes require treatment before sperm count, sperm motility assessment, and chemical testing can be performed. One treatment approach involves diluting the seminal fluid using an isotonic medium followed by mechanical mixing—repeated aspiration and dispensing of the mixture using a pipette. Equal parts of semen and a medium such as Dulbecco's phosphate-buffered saline can be used.<sup>2</sup> An alternate approach consists of digestion using the proteolytic enzyme bromelain. Semen is diluted 1:2 using this enzyme solution (i.e., 1 part semen+1 part bromelain solution). Note that any dilutions of the sample must be accounted for when the sperm concentration is calculated.

The effects that these treatments have on sperm function, morphology, or the biochemistry of the seminal plasma are not known.<sup>2</sup> Therefore when a semen specimen is specially treated for testing, this must be documented on the report. Note that any laboratory analyzing semen for any purpose other than postvasectomy analysis should have available the *WHO Laboratory Manual for the Examination and Processing of Human Semen*.<sup>2</sup> This comprehensive and indispensable text is a vital resource for all aspects of testing when semen analysis is performed.

### Hemacytometer Cell Counts

The total nucleated cell or WBC count is an important count that is requested on almost all body fluids. In contrast, little clinical value is derived from an RBC count other than to identify a traumatic puncture procedure, so it may not be performed, particularly when counts are done manually. [Box 17.2](#) summarizes a protocol for a manual cell count using an “improved” Neubauer hemacytometer ([Fig. 17.1](#)).

Note that the dimensions are not the same in different types of counting chambers. For example, the depth in a Neubauer chamber is 0.1 mm whereas in a Fuch-Rosenthal chamber the depth is 0.2 mm. Using the correct dimensions is crucial because the resultant calculations (i.e., cell count) are dependent on them.

Before filling a hemacytometer chamber with undiluted fluid or preparing a dilution, the specimen must be adequately mixed to evenly disperse cells. Body fluid specimens can be mixed for 2 to 5 minutes on an automated rocking mixer or by inverting the tube 10 to 15 times by hand.<sup>1</sup> Note that synovial fluid specimens should be mixed for 5 to 10 minutes. When using nondisposable hemacytometers, they should be cleaned before use by flooding with 70% alcohol and wiping dry with lens paper. This cleans the chambers and removes dust, which can interfere with placement of the coverslip.

The number of squares counted in each chamber of the hemacytometer depends on the total number of cells present. Accuracy in cell counts is directly related to cell numbers; the more cells counted, the better the accuracy. Therefore dilutions should be avoided if possible. When necessary, a minimum volume of 50 µL should be used to avoid pipetting errors associated with smaller volumes.<sup>2</sup> Dilutions should not be excessive and should result in an adequate number of cells for counting. Ideally, a minimum of 100 cells should be counted, but this is not feasible in many body fluids (e.g., CSF). To compensate for fluids with extremely low cell counts, additional squares of the hemacytometer grid can be counted. With fluids that have a high cell count, fewer squares can be counted. Note that regardless of the variation used, calculations must be properly adjusted for the volume of body fluid actually counted.

To ensure detection of potential errors during the preparation of body fluids for manual cell counts, dilutions should be performed and analyzed in duplicate. In other words, two separate dilutions of the body fluid are prepared and loaded into a hemacytometer—each dilution is loaded into one chamber. The cell counts obtained for each chamber are compared and must agree with the criteria established by the laboratory, usually 20% or less. If the counts between chambers are unacceptable (exceed 20%), the body fluid dilutions and counts must be repeated. Note that counting the same chamber twice or comparing two different chambers filled using the same dilution is not true replication and will not detect errors in pipetting, dilution, and mixing.

### Calculations

When using a hemacytometer, regardless of the number of squares counted or the dilution used, the number of cells per microliter (or cubic millimeter) of fluid is determined using the following formula.



### BOX 17.2 Manual Cell Count Using a Neubauer Hemacytometer

- Using a disposable pipette, fill both sides of a standard or disposable "improved" Neubauer hemacytometer (Fig. 17.1) with well-mixed undiluted or appropriately diluted body fluid.
- Allow the chamber to remain undisturbed for 3 to 5 minutes for the cells to settle (and red blood cells to lyse, depending on the diluent used).
- Examine the hemacytometer chambers for an even distribution of cells without overlap or clumping. If overlapping or clumping is present, the specimen needs to be recharged. Mix the specimen well or possibly prepare a dilution of the fluid. Clean the hemacytometer or use a new disposable hemacytometer and fill the chambers; examine for even distribution.
- Cerebrospinal fluid, synovial, pleural, pericardial, and peritoneal fluids
  - If *less than* an estimated 200 cells are present in all 9 squares<sup>1</sup>:
    - Count cells in all 9 large squares in both chambers of the hemacytometer.
    - Area counted:  $9 \times 1 \text{ mm}^2 = 9 \text{ mm}^2$  on each side =  $18 \text{ mm}^2$ .
  - If *more than* an estimated 200 cells are present in all 9 squares<sup>1</sup>:
    - Count cells in the 4 large corner squares (the "W" squares) in both chambers of the hemacytometer. See the "W" squares in Fig. 17.1.
    - Area counted:  $4 \times 1 \text{ mm}^2 = 4 \text{ mm}^2$  on each side =  $8 \text{ mm}^2$ .
  - If *more than* an estimated 200 cells are present in 1 large square<sup>1</sup>:
    - Count cells in five red blood cell squares (i.e., the 4 corner squares and the center square within the central large square on both sides of the hemacytometer). See the "R" squares in Fig. 17.1.
    - Area counted:  $5 \times 0.04 \text{ mm}^2 = 0.20 \text{ mm}^2$  on each side =  $0.40 \text{ mm}^2$ .
- Semen
  - Spermatozoa concentration
    - Count sperm present in five red blood cell squares (i.e., the four corner squares and the center square within the central large square on both sides of the hemacytometer). See the "R" squares in Fig. 17.1. (An alternate approach is to count two large "W" squares.)
    - Area counted:  $5 \times 0.04 \text{ mm}^2 = 0.20 \text{ mm}^2$  on each side =  $0.40 \text{ mm}^2$ .
  - Round cell count
    - For the "round cell" (germ cells and white blood cells) count, count the round cells in the four large corner squares and the center large square (the "W" squares) in both chambers of the hemacytometer (see Fig. 17.1).
    - Area counted:  $5 \times 1 \text{ mm}^2 = 5 \text{ mm}^2$  on each side =  $10 \text{ mm}^2$ .
- The number of cells counted in each chamber of the hemacytometer must agree within a percentage or absolute cell number. If counts from both sides do not agree, the cell count procedure *must be repeated*. Note that each laboratory establishes the acceptable precision criteria required between counts on each side of the hemacytometer (e.g., the number of cells counted in each chamber must agree within 20% or  $\pm 8$  cells, whichever is greater).

$$\# \text{ cells per } \mu\text{L} (\text{mm}^3) = \frac{\# \text{ cells counted (A)} \times \text{dilution factor (B)}}{[\text{Area counted} (\text{mm}^2) \times \text{depth} (0.1 \text{ mm})] (\text{C})}$$

#### Equation 17.1

There are two approaches to using this formula, and both will produce the same result. One approach is to count both chambers of the hemacytometer, compare the counts to ensure that precision criteria are met, average the count (if acceptable), and then use the formula to calculate the number of cells per microliter. An alternate approach is to count each chamber, compare the counts to ensure that precision criteria are met, and if acceptable, determine the sum of both chambers and use the formula to calculate the number of cells per microliter; see the example provided in Box 17.3.

Depending on the approach used, (A) is either (1) the average number of cells counted in a chamber (Option 1, Box 17.3) or the sum of the number of cells counted in both chambers (Option 2, Box 17.3) of the hemacytometer. This value (A) is multiplied by the dilution factor (B), which accounts for any dilution made of the fluid. If the fluid is analyzed without diluting, this factor is 1. The cells were distributed in the actual volume of fluid evaluated (C). This volume ( $\text{mm}^3 = \mu\text{L}$ ) is determined by multiplying the area counted ( $\text{mm}^2$ ) by the depth between the coverslip and the chamber, which is

standardized at 0.1 mm. Disposable hemacytometers have a fixed (immovable) coverslip, which maintains the depth of 0.1 mm. However, when using a nondisposable hemacytometer with a removable coverslip, if the chamber is overfilled or underfilled, the depth is not the assumed value of 0.1 mm, which will cause erroneous results. The concentration of cells per microliter can easily be converted to the cell number per liter of fluid as follows.

$$\# \text{ cells}/\mu\text{L} (\text{mm}^3) \times 10^6 \mu\text{L}/\text{L} = \# \times 10^6 \text{ cells}/\text{L}$$

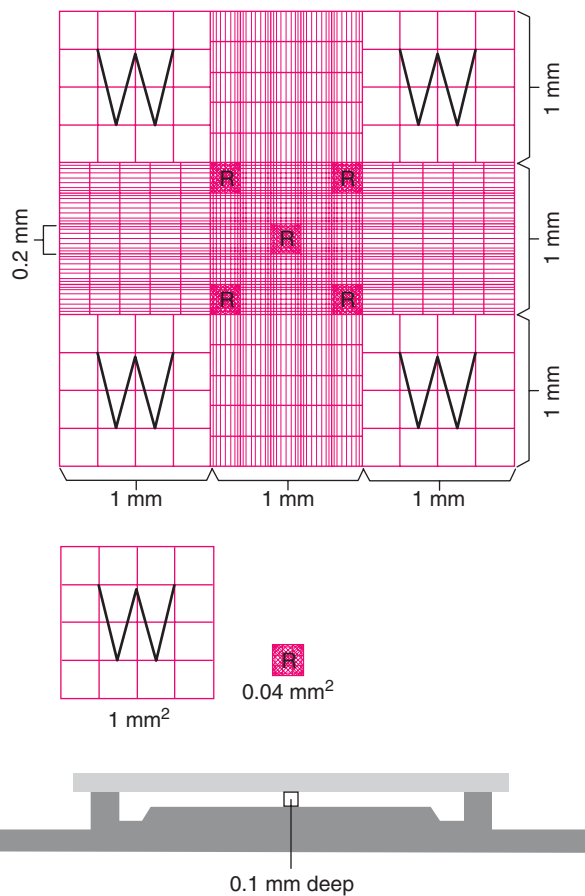
#### Equation 17.2

### Hemacytometer Calculation Examples

Following are some examples using a Neubauer hemacytometer to determine cell counts of body fluids using undiluted and diluted fluid. The calculations used follow Option 2 described in Box 17.3. Note that an additional dilution factor is required when a fluid is "pretreated" before dilution for cell counting, which can occur with synovial fluid or semen (see Example C).

#### Example A: Using Undiluted Body Fluid

A well-mixed *undiluted* CSF specimen is loaded on a hemacytometer, and the WBCs in five large squares (4 "W" + Center) are counted in each chamber (i.e.,  $5 \text{ mm}^2$ ). The following results are obtained.



**FIG. 17.1** *Top*, View of a hemacytometer chamber with an “improved” Neubauer etched grid or rulings. *Middle*, A single “W” square with an area of 1 mm<sup>2</sup> is represented; it is called a “W” square because of its historic use in the enumerating of white blood cells. A single “R” square with an area of 0.04 mm<sup>2</sup>; it is known as an “R” square because of its historic use in the enumerating of red blood cells. *Bottom*, Side view of a hemacytometer chamber demonstrating how a glass coverslip rests on ridges of a hemacytometer and how when properly filled, the volume of liquid in the chamber has a fixed depth of 0.1 mm. Note that disposable hemacytometers have a fixed (immovable) coverslip, therefore the depth can only be 0.1 mm.

	Chamber 1	Chamber 2
Cells counted (A):	15	18
Area each chamber:	5 mm <sup>2</sup>	5 mm <sup>2</sup>
Total volume counted (C):	10 mm <sup>2</sup> × 0.1 mm = 1.0 mm <sup>3</sup> (μL)	
Dilution factor (B):	1	
Precision assessment:	Count difference = 18 – 15 = 3 cells	
20% of 18 = (3.6) 4 cells		
$\frac{(A \times B)}{C} = \text{cells}/\mu\text{L}$	$\frac{(15 + 18) \times 1^a}{(1.0)} = \text{WBCs}/\mu\text{L}$	
	= 33 WBCs/μL	
Unit Conversion:	33 WBCs/μL × 10 <sup>6</sup> μL/L	
	= 33 × 10 <sup>6</sup> WBCs/L	

<sup>a</sup>Pretreatment dilution factor.

**BOX 17.3 Two Approaches to Calculating Hemacytometer Counts**

Scenario: A body fluid is analyzed *undiluted*, and 5 large white blood cell squares in the 2 chambers, A and B, of a hemacytometer are counted. To be acceptable the counts must agree within 20% of the highest count or ±8 cells, whichever is greater.

Chamber A count: 135 cells  
Chamber B count: 153 cells

The difference (153–135) is 18 cells. The acceptable difference for this determination is 20% of 153, which is 31 (30.6) cells. The difference obtained (18) is less than 31, so the counts are acceptable.<sup>a</sup>

**Option 1**

Determine “average” of both chamber count:  
(135 + 153)/2 = 144 cells

Use formula:  

$$\text{Cells}/\mu\text{L} = \frac{(A \times B)}{C}$$

$$\text{Cells}/\mu\text{L} = \frac{144 \times 1^a}{(5 \times 0.1)}$$

$$= 288$$

**Option 2**

Determine “sum” of both chambers:  
135 + 153 = 288 cells

Use formula:  

$$\text{Cells}/\mu\text{L} = \frac{(A \times B)}{C}$$

$$\text{Cells}/\mu\text{L} = \frac{288 \times 1^a}{(10 \times 0.1)}$$

$$= 288$$

<sup>a</sup>No dilution was made so factor (B) is 1.

Note that the counts from both chambers agree within precision criteria of less than or equal to 20%, which indicates that the fluid was well mixed and equivalently dispensed in both chambers. The difference in cell number between side 1 and side 2 (18 – 15) is 3 cells, which is less than 20% (3.6 cells = 18 × 0.20) and acceptable.

**Example B: Using Diluted Body Fluid**

A well-mixed, hazy-appearing synovial fluid specimen is diluted 1:2 *in duplicate* using hypotonic saline. Both dilutions are loaded on a hemacytometer—one dilution on each side. The WBCs in five large squares (4 “W”+Center) are counted in each chamber (i.e., 5 mm<sup>2</sup>). The following results are obtained.

	Chamber 1	Chamber 2
Cells counted (A):	58	44
Area each chamber:	5 mm <sup>2</sup>	5 mm <sup>2</sup>
Total volume counted (C):	10 mm <sup>2</sup> × 0.1 mm = 1.0 mm <sup>3</sup> (μL)	
Dilution factor (B):	2	
Precision assessment:	Count difference = 58 – 44 = 14 cells	
20% of 58 = (11.6) 12 cells		
Precision unacceptable; repeat dilutions and cell counts		

Note that the counts from both chambers *do not agree* within 20%. The difference in cell number between side 1 and side 2 (58 – 44) is 14 cells, which exceeds 20% (11.6 cells = 58 × 0.20), which indicates that the steps used to prepare both dilutions (mixing, pipetting, and diluting) were not equivalent. The dilutions and the cell counts should be repeated.

### Example C: Sperm Count Using Diluted Semen

A semen specimen was pretreated 1:2 using a bromelain enzyme preparation to get it to liquefy. For the sperm count, the fluid is diluted 1:20, *in duplicate*. Both dilutions are loaded on a hemacytometer—one dilution on each side. The five “R” squares in the large central square (i.e., four small corner+center squares) of the hemacytometer are counted in each chamber (i.e., 0.2 mm<sup>2</sup>). The following results are obtained. Note that sperm counts are reported as the number of sperm per milliliter (mL), which requires the use of a different unit conversion factor.

	Chamber 1	Chamber 2
Cells counted (A):	37	42
Area each chamber:	0.2 mm <sup>2</sup>	0.2 mm <sup>2</sup>
Total volume counted (C):	0.4 mm <sup>2</sup> × 0.1 mm = 0.04 mm <sup>3</sup> (μL)	
Dilution factor (B):	20	
Precision assessment:	Count difference = 42 – 37 = 5 sperm	
20% of 42 = (8.4) 8 cells		
$\frac{(A \times B)}{C} \times D^a = \text{cells}/\mu\text{L}$	$\frac{(37 + 42)}{(0.04)} \times 20 \times 2 = \text{sperm}/\mu\text{L}$ = 79,000 sperm/μL	
Unit Conversion:	79,000 sperm/μL × 10 <sup>3</sup> μL/mL = 79 × 10 <sup>6</sup> sperm/mL	

<sup>a</sup>Pretreatment dilution factor.

The dilution factor (D) accounts for the dilution made when the fluid was pretreated to get it to liquefy using the bromelain enzyme solution. In this example, the semen counts from both chambers agree within 20%. The difference in sperm number between side 1 and side 2 (42 – 37) is 5 sperm. This value is less than 20% (8.4 sperm = 42 × 0.20), which indicates that the steps used to prepare both dilutions (mixing, pipetting, and diluting) were equivalent.

### PREPARATION OF SLIDES FOR DIFFERENTIAL

Slides should be prepared as soon as possible after fluid collection. Delays will result in loss of morphologic cellular detail, as well as antigenic reactivity.<sup>3</sup> When preparing slides of body fluids for the WBC differential, cyto centrifugation is the preferred technique.<sup>1</sup> Cyto centrifugation optimizes cell recovery, concentrates the cells in a limited area on the microscope slide, and creates a monolayer that optimizes microscopic viewing. In addition, this method is fast and easy to perform. Note that wedge smears (push smears) should not be used because of their inferior ability to preserve intact cells.<sup>1</sup>

Several other techniques can be used to concentrate body fluids. The easiest and least expensive technique is simple centrifugation of the body fluid, but cell recovery varies, and cells can become damaged and distorted at high-speed centrifugation. Although sedimentation methods preserve cellular morphology, cell recovery is not good. Filtration techniques

using commercial filters (e.g., from manufacturers Millipore Corp., Nucleopore, and Gelman Instrument Co.) also have excellent cellular recovery (≈90%). These techniques are time-consuming, and after the cells have been concentrated a suitable smear must be prepared, which requires significant technical skill; hence, cyto centrifugation predominates in laboratories for preparing smears from body fluids.

Before slide preparation, body fluid specimens must be gently mixed. Only fresh body fluid specimens should be used to prepare cyto centrifuge slides. If there has been a significant delay since collection (i.e., longer than 4 hours for CSF), erroneous differential counts can occur because of cellular degeneration.<sup>1</sup>

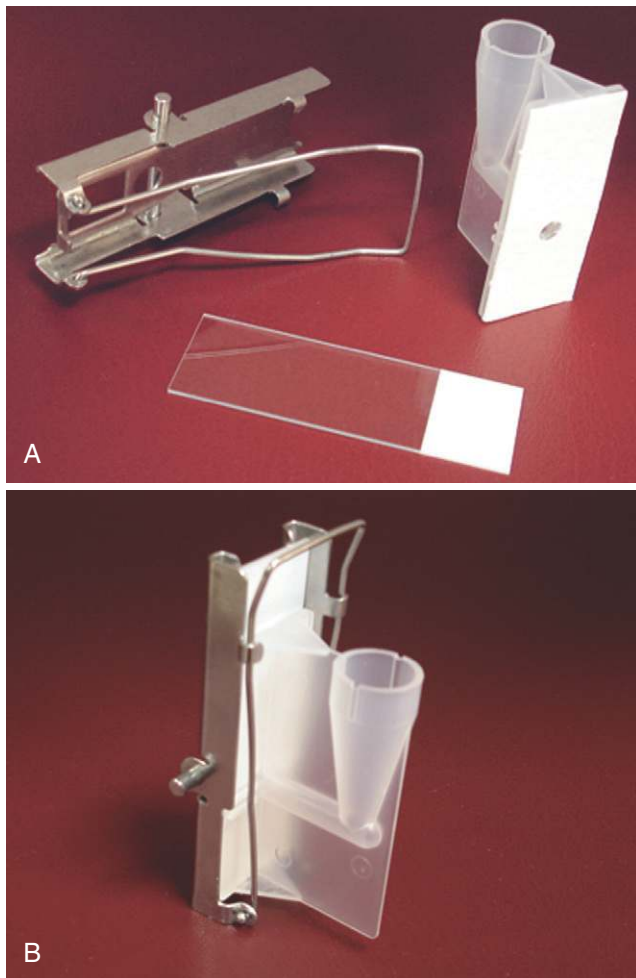
### Cyto centrifugation

Numerous cyto centrifuges are commercially available and require the use of specially designed assemblies for each sample (Fig. 17.2). An assembly consists of a microscope slide, filter paper with a circular opening, and a chamber that holds the fluid specimen (Fig. 17.3).

When the body fluid is clear, usually 5 drops (≈0.25 mL) of body fluid is added directly to the chamber. However, depending on the nucleated cell count, as few as 2 drops or as many as 10 may be used. Table 17.3 provides a guideline based on the nucleated cell count for the volume of body fluid to use when preparing a slide by cyto centrifugation. When a dilution is needed, normal saline is most often used; however, some laboratories use a diluent that lyses RBCs for bloody samples (e.g., hypotonic saline). Appropriate dilutions will reduce distortions associated with the overcrowding of cells and ensure a monolayer of cells for viewing. Note that laboratories must establish their own dilution protocols because the appropriate dilution depends on the amount of sample used, as well as the duration and speed of cyto centrifugation.



**FIG. 17.2** Thermo-Scientific Cytospin 4 cyto centrifuge. (Thermo Fisher Scientific Inc., Waltham, MA.)

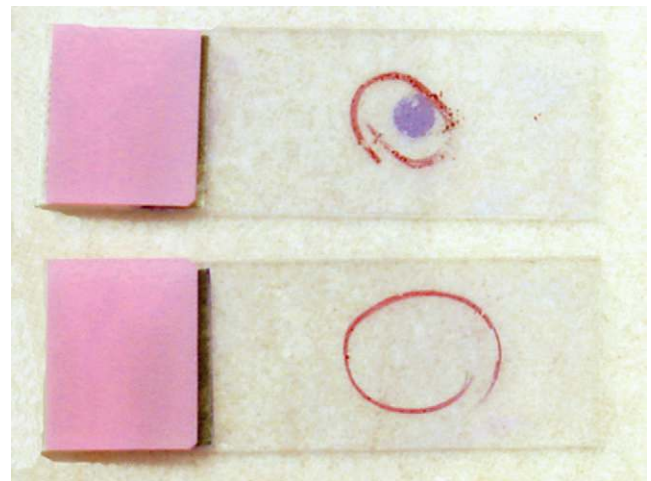


**FIG. 17.3** **A**, The components of an assembly for the Cytospin 4 cytocentrifuge consist of a stainless steel holder (Cytoclip), a chamber with attached filter card (Cytofunnel), and a microscope slide. Note that the opening in the filter paper is the site where sample flows from the chamber to the glass slide. **B**, Assembly ready for addition of body fluid, which is then capped and placed onto the rotor of the cytocentrifuge.

**TABLE 17.3** Guideline for Body Fluid Volume When Preparing Slide by Cytocentrifugation

Nucleated Cell Count, cells/ $\mu$ L	Drops of Body Fluid
0–100	10
100–500	5–6
500–1000	3–4
>1000	2

Each assembly is placed onto the rotor of the cytocentrifuge, and when the instrument is activated, centrifugal force pulls the body fluid from the sample chamber to the microscope slide. The cells adhere to the glass slide while the liquid is absorbed by the surrounding absorbent filter paper. During centrifugation the cells concentrate as a monolayer in the open circular area of the filter to form a “cell button” on the microscope slide. The centrifugal force is low (e.g., 600–800  $\times$  g)



**FIG. 17.4** Cytocentrifuge-prepared slides of two body fluids stained using Wright stain. The upper slide shows a visually evident cell button in the area circled by a wax pencil. In contrast, the cell button is not macroscopically evident on the lower slide. On this slide, the wax pencil circle greatly aids the microscopist in locating the proper area of the slide for viewing.

#### BOX 17.4 Distortions Associated With Cytocentrifugation

- Cells at center of cell button often smaller and have denser nuclear chromatin
- Formation of irregular cytoplasmic borders, e.g., lymphocytes with cytoplasmic tailing or streaking
- Prominence of nucleoli in lymphocytes
- Nuclear distortions, such as clefting or lobulation or holes in nuclei
- Nuclear lobes of polymorphonuclear neutrophils localized at cell periphery
- Cytoplasmic vacuoles and/or granules localized at cell periphery
- Formation of lymphocyte cell clusters; pseudorosettes

(From Kjeldsberg CR, Knight JA: Laboratory methods. In *Body fluids*, ed 3, Chicago, 1993, American Society of Clinical Pathology Press.)

to minimize cell distortion; the time is long enough to ensure adequate drying of the cell button. Microscope slides specifically designed for cytocentrifugation are available, and they have a white circular ring that surrounds the cell button area. This assists the microscopist when the body fluid sample contains few cells that are not visually apparent macroscopically on the slide. Another option is to use a wax pencil to mark the backside of the glass microscope slide, indicating the region of the cell button (Fig. 17.4).

During cytocentrifugation, some cells are lost to the filter paper, but this loss is not selective (i.e., all cells are equally affected); consequently, the remaining cell distribution in the cell button is accurate and representative. Several predictable cellular distortions that may be observed are listed in Box 17.4. Most are associated with high cell counts, the cytocentrifugation process (speed and time), or a time delay when



an older specimen is used (i.e., not fresh).<sup>3</sup> To reduce these artifacts, laboratories should determine the optimal speed and time of cytocentrifugation for their instrument, use fresh specimens, and prepare appropriate dilutions of fluids with high cell counts.

For specimens that have a low protein content (e.g., CSF), adding a drop of 22% albumin to the sample chamber before adding the body fluid enhances adherence of cells to the glass slide and reduces cell distortion (smudging) or disintegration.<sup>1,3</sup>

### Slide Preparation and Review

Slide preparations are stained using Wright or Wright-Giemsa stain performed manually or automatically using a slide stainer. The hand-drawn or premarked circle on the microscope slide indicates the location of the cell button (see Fig. 17.4).

Adjust the microscope to low-power ( $\times 100$ ) magnification and thoroughly scan the entire cell button looking for cell clumps, which are characteristic of malignancies. Note that malignant cells can be present in low numbers, and even a

single malignant cell is clinically significant. However, remember that not all cell clumps are composed of malignant cells.

Using oil immersion magnification (e.g.,  $\times 50$  or  $\times 1000$ ), the nucleated cell or WBC differential is performed using any representative area of the cell button. A systematic approach to viewing should be used (similar to that used with blood smears) to prevent erroneous repeat counting of the same cells. Ideally, 100 to 300 cells should be evaluated.

### REFERENCES

1. Clinical and Laboratory Standards Institute (CLSI) CLSI Document H56-A *Body Fluid Analysis for Cellular Composition: Approved Guideline*. Wayne, PA: CLSI; 2006.
2. World Health Organization *WHO Laboratory Manual for the Examination and Processing of Human Semen*. 5th ed. Geneva, Switzerland: World Health Organization; 2010.
3. Kjeldsberg CR, Knight JA. *Laboratory methods. Body Fluids*. 3rd ed. Chicago: American Society of Clinical Pathologists Press; 1993.

### STUDY QUESTIONS

1. Which of the following statements is *not* associated with the performance of cell counts using a manual hemacytometer?
  - A. The procedure is time-consuming.
  - B. Quantitative pipetting is required.
  - C. Body fluids with low cell counts cannot be analyzed.
  - D. High variability in results is obtained between laboratorians.
2. Which of the following diluents will cause synovial fluid to form a mucin clot?
  - A. Hyaluronidase buffer solution
  - B. Hypotonic saline
  - C. Isotonic saline
  - D. Turk's solution
3. Which of the following diluents should be used when an RBC count is requested?
  - A. Dilute acetic acid
  - B. Hypotonic saline
  - C. Isotonic saline
  - D. Turk's solution
4. An air displacement pipette cannot accurately dispense
  - A. cerebrospinal fluid.
  - B. pleural fluid.
  - C. peritoneal fluid.
  - D. synovial fluid.
5. Which of the following actions will adversely affect the cell count obtained using a hemacytometer?
  - A. Counting six "W" squares instead of the usual five
  - B. Preparing a dilution of semen using an air displacement pipette
  - C. Mixing the fluid for 3 minutes before loading it onto the hemacytometer
  - D. Making three dilutions but using only two to load the hemacytometer
6. In the pretreatment of a synovial fluid with hyaluronidase, a 1:10 dilution is made, after which a WBC count is performed using a 1:20 dilution of this fluid. The WBCs in the four large corner squares ("W") and the center square are counted in each chamber (i.e., 5 mm<sup>2</sup>). Both sides of the hemacytometer were evaluated with 37 cells and 43 cells counted in chamber 1 and chamber 2, respectively. What is the average cell count that should be reported?
  - A. 160 WBCs/ $\mu$ L
  - B. 1600 WBCs/ $\mu$ L
  - C. 16,000 WBCs/ $\mu$ L
  - D. 160,000 WBCs/ $\mu$ L
7. A WBC count is performed using a 1:2 dilution of CSF, and the four large corner squares ("W") and the center square are counted in each chamber (i.e., 5 mm<sup>2</sup>). Both sides of the hemacytometer were evaluated with 31 cells and 23 cells counted in chamber 1 and chamber 2, respectively. What should be done next?
  - A. Clean hemacytometer and repeat counts.
  - B. Recount both sides of the hemacytometer.
  - C. Calculate the average WBC count and report.
  - D. Reload the same dilutions onto a clean hemacytometer and repeat the counts.
8. Distortions observed on cytocentrifuge slide preparations have been associated with
  - A. viscous fluids.
  - B. high cell counts.
  - C. use of fresh body fluid specimens.
  - D. fluids that have a high protein concentration.

## Microscopy

**LEARNING OBJECTIVES**

After studying this chapter, the student should be able to:

1. Identify and explain the functions of the following components of a microscope:
  - Aperture diaphragm
  - Condenser
  - Eyepiece (ocular)
  - Field diaphragm
  - Mechanical stage
  - Objective
2. Describe Köhler illumination and the microscope adjustment procedure used to ensure optimal specimen imaging.
3. Describe the daily care and preventive maintenance routines for microscopes.
4. Compare and contrast the principles of the following types of microscopy:
  - Brightfield
  - Phase-contrast
  - Polarizing
  - Interference contrast
  - Darkfield
  - Fluorescence
5. List an advantage of and an application for each type of microscopy discussed.

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**KEY TERMS<sup>1</sup>**

**aperture diaphragm**

**birefringent (also called *doubly refractile*)**

**brightfield microscopy**

**chromatic aberration**

**condenser**

**darkfield microscopy**

**eyepiece (also called *ocular*)**

**field diaphragm**

**field number**

**field of view**

**fluorescence microscopy**

**interference contrast microscopy**

**Köhler illumination**

**mechanical stage**

**numerical aperture**

**objective**

**parcentered**

**parfocal**

**phase-contrast microscopy**

**polarizing microscopy**

**resolution**

**spherical aberration**

<sup>1</sup>Definitions are provided in the chapter and glossary.

A high-quality brightfield microscope is required for the microscopic examination of urine and other body fluids. One must give considerable care to its selection because its use is an integral part of laboratory work, and microscopes with quality objective lenses are costly. Because some brightfield microscopes can be modified to allow several types of microscopy from a single instrument—brightfield, phase-contrast, polarization—good planning ensures selection of the most appropriate instrument. Whereas acquiring a suitable microscope is of utmost importance, appropriate training on its use and proper maintenance and cleaning of the microscope are crucial to ensure maximization of its potential. The user must be familiar with each microscope component and its function, as well as with proper microscope adjustment and alignment procedures.

## BRIGHTFIELD MICROSCOPE

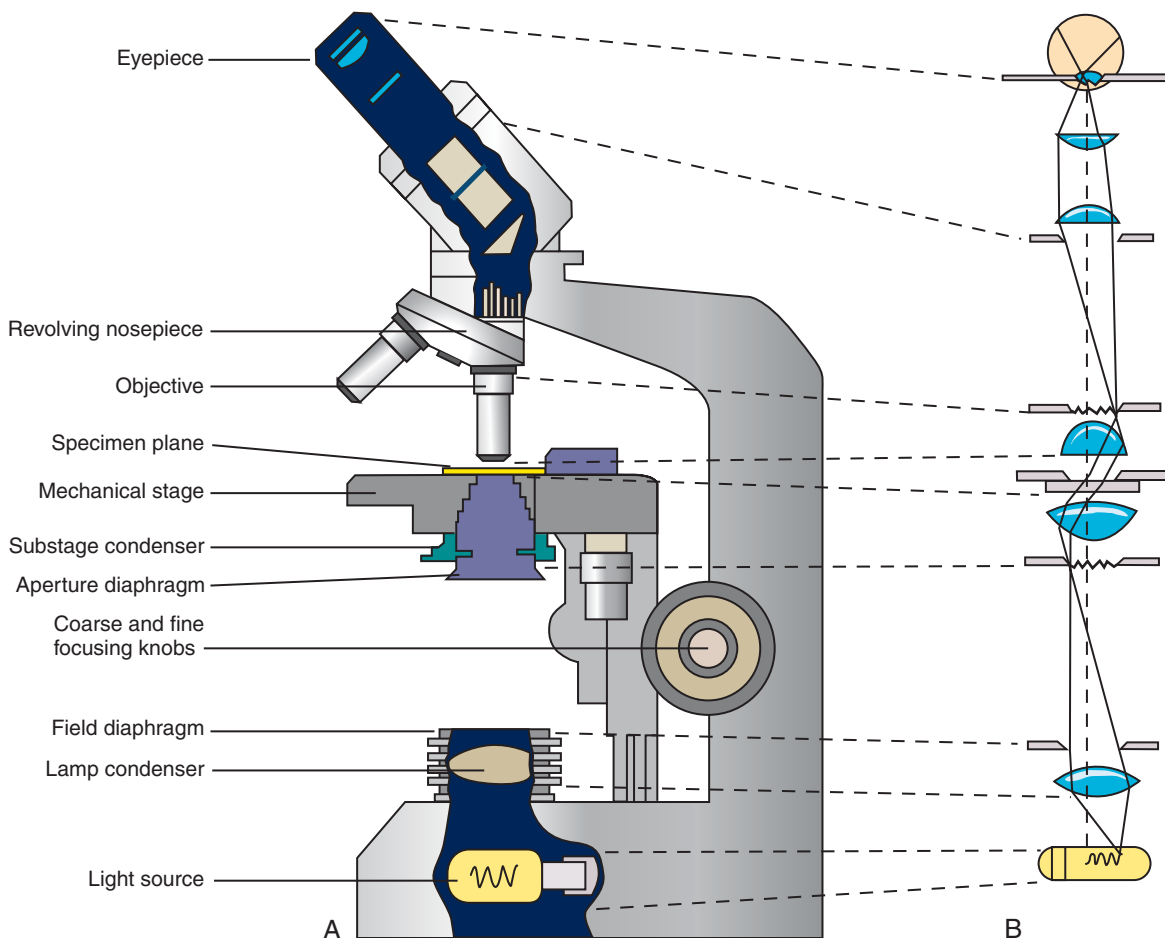
A brightfield microscope (Fig. 18.1) produces a magnified specimen image that appears dark against a brighter background. A simple brightfield microscope consisting of only one lens is known as a *magnifying glass*. In the clinical laboratory, however, compound brightfield microscopes

predominate and consist of two lens systems. The first lens system, located closest to the specimen, is the objective mounted in the nosepiece. The objective produces the primary image magnification and directs this image to the second lens system, the eyepiece, or ocular.

The eyepiece further magnifies the image received from the objective lens. Total magnification of a specimen is the product of these lens systems—that is, multiplication of the objective lens magnification by the eyepiece lens magnification. For example, a  $\times 10$  objective with a  $\times 10$  eyepiece produces a  $\times 100$  magnification. In other words, the viewed image is 100 times larger than its actual size.

The eyepiece also determines the diameter of the field of view (FOV) observed. This diameter is established by a round baffle or ridge inside the eyepiece and is indicated by the field number assigned to the eyepiece. Field numbers that predominate in clinical laboratory microscopes typically range from 18 to 26. This number indicates the diameter of the FOV, in millimeters (mm), when a  $\times 1$  objective is used. To determine the diameter of any FOV the following equation is used:

$$\text{FOV} = \frac{\text{Field number}}{M} \quad \text{Equation 18.1}$$



**FIG. 18.1** (A) A schematic representation of a brightfield microscope and its components. (B) Path of illumination using Köhler illumination.

where  $M$  is the magnification of the objective and any additional optics. (Note: This sum does not include the eyepiece magnification.)

For example, an eyepiece with a field number of 18 has a diameter of 18 mm when a  $\times 1$  objective is used, a diameter of 1.8 mm when a  $\times 10$  objective is used, and a diameter of 0.18 mm when a  $\times 100$  objective is used. Some manufacturers engrave the field number on the eyepiece along with the eyepiece magnification. For those that do not, a small ruler can be placed on the stage to measure the diameter, or the manufacturer can be contacted. As the field number increases, so does the cost of the eyepiece. Before about 1990, microscopes used in the clinical laboratory had eyepieces with a field number of 18. Most microscopes purchased after that time have field numbers of 20 or 22.

In areas of the laboratory where results are reported as the number of elements observed per FOV (e.g., urinalysis, number per high-power or low-power field), performing a microscopic examination on the same or equivalent microscopes is crucial. If this is not done, results depend on the microscope used and can be significantly different even with evaluation of the same specimen. In other words, two microscopes with the same objective magnification and the same eyepiece magnification (e.g.,  $10\times$ ) but with different field numbers will have different diameters for their FOVs. Therefore standardizing microscopic examinations reported as the number of elements observed per FOV requires that microscopes with the same eyepiece field number are used.

The purpose of the microscope lens system (i.e., eyepiece and objective) is to magnify an object sufficiently for viewing with maximum resolution. Resolution, or resolving power ( $R$ ), describes the ability of the lens system to reveal fine detail. Stated another way, resolution is the smallest distance between two points or lines at which they are distinguished as two separate entities.  $R$  depends on the wavelength ( $\lambda$ ) of light used and the numerical aperture (NA) of the objective lens, according to Eq. 18.2.

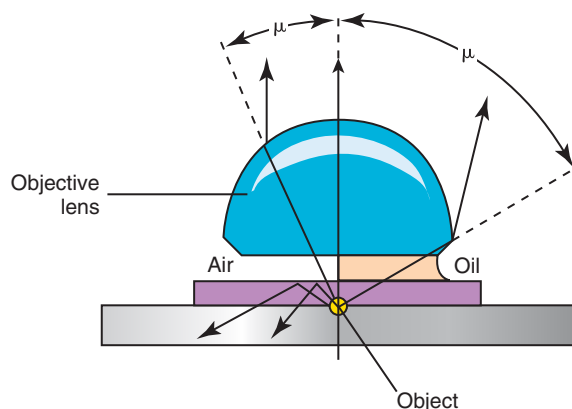
$$R = \frac{0.612 \times \lambda}{NA} \quad \text{Equation 18.2}$$

where  $R$  is the resolving power or the resolvable distance in microns,  $\lambda$  is the wavelength of light, and NA is the numerical aperture of the objective.

Because the light source on a microscope remains constant, as the NA of the objective lens increases, the resolution distance decreases. In other words, one can distinguish a smaller distance between two distinct points.

A **numerical aperture** is a designation engraved on objective lenses and condensers that indicates the  $R$  of each specific lens. The NA is derived mathematically from the refractive index ( $n$ ) of the optical medium (e.g., air has an  $n$  value of 1.0) and the angle of light made by the lens ( $\mu$ ) (i.e., the aperture angle).

$$NA = n \times \sin \mu \quad \text{Equation 18.3}$$



**FIG. 18.2** Drawing depicting changes in numerical aperture. Note the increase in light angle ( $\mu$ ) attained and therefore in the numerical aperture when immersion oil is used.

The NA of a lens can be increased by changing the refractive index of the optical medium or by increasing the aperture angle. For example, immersion oil has a greater refractive index ( $n = 1.515$ ) than air, and it increases the magnitude of the aperture angle (Fig. 18.2). As a result, use of immersion oil effects a greater NA (e.g.,  $\times 100$ , NA = 1.2) than is possible with high-power dry lenses. An increase in NA equates with greater magnification and resolution.

As previously discussed, the ability of a lens to resolve two points increases as the NA increases. However, to achieve the maximal resolution of a microscope, the NA of the microscope condenser must be equal to or slightly greater than the NA of the objective lens used. This requirement is necessary to ensure adequate illumination to the objective lens and can be understood better by reviewing the dynamics involved. Illumination light from the light source is presented to the condenser. The condenser lens system, along with the aperture diaphragm, is adjustable and serves to converge the illumination light into a cone-shaped focus on the specimen for viewing. If the condenser NA is less than the objective NA, the condenser presents inadequate illumination light to the objective lens, and one cannot attain maximal resolution. In contrast, objective lenses with NAs less than the condenser NA are optimal on the microscope. This can be accomplished by making routine condenser and diaphragm adjustments that effectively reduce the condenser NA to match the objective NA (see “Microscope Adjustment Procedure” later in this chapter). Condenser height adjustments serve to focus the light specifically on the specimen plane, thus achieving maximal resolution. Optimal **field diaphragm** adjustments diminish stray light, thereby increasing image definition and contrast. Adjustment of the aperture diaphragm to approximately 75% of the NA of the objective is necessary to achieve increased image contrast, increased focal depth, and a flatter FOV.

The body or frame of the microscope serves to hold its four basic components in place: (1) the optical tube with its lenses (eyepieces and objectives), (2) a stage on which the specimen is placed for viewing, (3) a condenser to focus light onto the

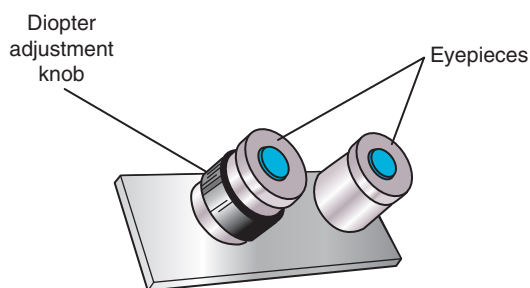


specimen, and (4) an illumination source. Each component and its unique features are discussed next.

## Eyepiece

Whereas some microscopes have only one eyepiece (monocular), those used in most clinical laboratories have two eyepieces (binocular). However, when using a monocular microscope, always view with both eyes open to reduce eyestrain. Initially this may be difficult, but with practice the image seen by the unused eye will be suppressed. With a binocular microscope, adjustments to the oculars are necessary to ensure optimal viewing. The interpupillary distance of the eyepiece tubes is adjusted by simply sliding them together or apart. Because vision in both eyes is usually not the same, each individual eyepiece is adjustable to compensate, using the diopter adjustment. To adjust the eyepieces, first view the image using only the right eye and eyepiece. Look at a specific spot on the specimen, and bring it into sharp focus using the fine adjustment knob. Next, close the right eye, and while looking with the left eye through the left eyepiece, rotate the diopter adjustment ring on this eyepiece until the same spot on the specimen is also in sharp focus (Fig. 18.3). Each technologist must make the interpupillary and diopter adjustments to suit his or her eyes. To eliminate eyestrain or tired eyes when performing microscopic work, always look through the microscope with eyes relaxed, and continually focus and refocus the microscope as needed using the fine adjustment control.

Eyeglass wearers should consider keeping their glasses on when performing microscopic work. Rubber guards are available that fit over the eyepieces and prevent scratching of the eyeglasses. People with only spherical corrections (nearsighted or farsighted) can work at the microscope without their glasses. Focus adjustments of the microscope compensate for these visual defects. However, those with an astigmatism that requires a toric lens for correction should do microscopic work while wearing their eyeglasses, because the microscope cannot compensate for this. To determine whether eyeglasses have any toric correction in them, hold the glasses in front of some lettering at arm's length. The eyeglass will magnify or reduce the lettering. Now, rotate the glasses 45 degrees. If the lettering changes in length and width, the glasses contain toric correction and should be worn when one uses the microscope; if the lettering does not change, the



**FIG. 18.3** Schematic representation of a binocular eyepiece shows the location of the diopter adjustment ring.

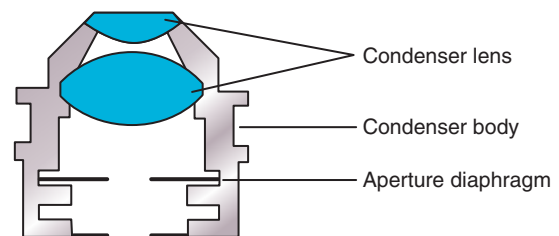
eyeglasses have only spherical correction and do not need to be worn for microscopic work.

## Mechanical Stage

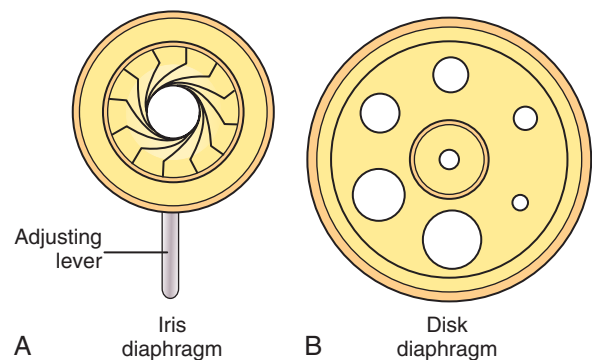
The microscope **mechanical stage** is designed to hold firmly in place the slide to be examined. The stage has conveniently located adjustment knobs to move the slide front to back and side to side. When viewing the slide, the user views the image upside down. Moving the slide in one direction causes the image to move in the opposite direction. Some stages have a vernier scale on a horizontal and a vertical edge to facilitate relocation of a particular FOV. By recording the horizontal and vertical vernier scale values, the slide can be removed and at a later time placed back onto the stage and the identical FOV can be found and reexamined.

## Condenser

The **condenser**, located beneath the mechanical stage, consists of two lenses (Fig. 18.4). The purpose of the condenser is to evenly distribute and optimally focus light from the illumination source onto the specimen. This is achieved by adjusting the condenser up or down using the condenser adjustment knob. The correct position of the condenser is always at its uppermost stop; it is slightly lowered only with **Köhler illumination**. The **aperture diaphragm**, located at the base of the condenser, regulates the beam of light presented to the specimen. The aperture diaphragm is usually an iris diaphragm made up of thin metal leaves that can be adjusted to form an opening of various diameters. Some microscopes use a disk diaphragm, consisting of a movable disk with openings of various sizes, for placement in front of the condenser (Fig. 18.5).



**FIG. 18.4** Schematic diagram of a condenser and an aperture diaphragm located beneath the mechanical stage of the microscope.



**FIG. 18.5** Two types of aperture diaphragms. (A) An iris diaphragm. (B) A disk diaphragm.

The purpose of the aperture diaphragm is to control the angle of the illumination light presented to the specimen and the objective lens. When the user properly adjusts the aperture diaphragm, he or she achieves maximal resolution, contrast, and definition of the specimen. One of the most common mistakes is to use the aperture diaphragm to reduce the brightness of the image field; in so doing, resolution is decreased. Instead, the user should decrease the light source intensity or place a neutral density filter over the source.

## Illumination System

Microscopes today usually have a built-in illumination system. The light source is a tungsten or tungsten-halogen lamp located in the microscope base. These lamps often are manufactured specifically to ensure alignment of the lamp filament when a bulb requires changing. Dual controls are usually available: one to turn the microscope on, and another to

adjust the intensity of the light. One should adjust the illumination intensity at the light source by turning down the lamp intensity or by placing neutral density filters over the source. Neutral density filters do not change the color of the light but reduce its intensity. The filters are marked to indicate the reduction made; for example, a neutral density of 25 allows 75% of the light to pass. Some microscopes come with a day-light blue filter that makes the light slightly bluish. This color has been found to be restful to the eyes and is desirable for prolonged microscopic viewing.

Most clinical microscopes have a field diaphragm located at the light exit of the illumination source. The purpose of this diaphragm is to control the diameter of the light beam that strikes the specimen. The diaphragm is an iris type that when properly adjusted is just slightly larger than the FOV and serves to reduce stray light. See [Box 18.1](#) for a procedure used to properly adjust a microscope for optimal viewing.

### BOX 18.1 Binocular Microscope Adjustment Procedure With Köhler Illumination

#### Preparing the Microscope

1. Turn on the light source. Adjust the intensity to a comfortable level.
2. Position the low-power ( $\times 10$ ) objective in place by rotating the nosepiece.
3. Place a specimen slide on the mechanical stage. Be sure the slide is seated firmly in the slide holder. Position the specimen on the slide directly beneath the objective using the mechanical stage adjustment knobs.

#### Interpupillary Adjustment

4. Looking through the eyepieces with both eyes, adjust the interpupillary distance until perfect binocular vision is obtained (i.e., left and right images are fused together). Using coarse and fine adjustment knobs, bring the specimen into focus.

#### Diopter Adjustment

5. While closing the left eye, look through the right eyepiece with the right eye and bring the specimen into sharp focus using the fine adjustment knob.
6. Now, using only the left eye, bring the image into sharp focus by rotating the diopter adjustment ring located on the left eyepiece. (Do not use the adjustment knobs.)

#### Condenser Adjustment

(Note: If at any point during adjustment the light intensity is very bright and uncomfortable, decrease the lamp voltage or insert a neutral density filter.)

#### Condenser Height and Centration

1. Close the field diaphragm.
2. Using the condenser height adjustment knob, bring the edges of the diaphragm into sharp focus.
3. Center the condenser, if necessary, using the condenser centration knobs (see [Figure A](#)).

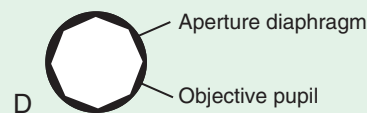
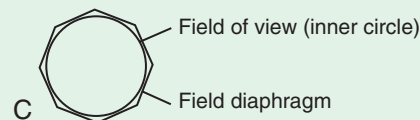
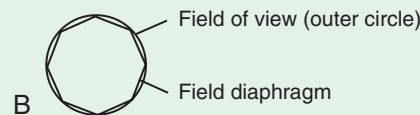
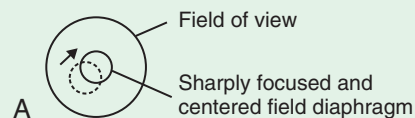
#### Field Diaphragm Adjustment

4. Open the field diaphragm to just inside the edges of the field of view to confirm adequate centration (see [Fig. B](#)). Recenter if necessary.

5. Now, open the field diaphragm until it is slightly larger than the field of view (see [Fig. C](#)).

#### Condenser Aperture Diaphragm Adjustment

6. Depending on the microscope, perform one of the following:
  - a. On microscopes with a numerical scale on the condenser, open the diaphragm to 70% or 80% of the objective NA (e.g., for 80% of  $\times 40$  NA 0.65, adjust condenser aperture to 0.52).
  - b. Alternatively, remove one eyepiece from the observation tube. While looking down the tube at the back of the objective, adjust the diaphragm until 70% to 80% of the field is visible (approximately 25% less than fully opened). See [Fig. D](#).
7. Note that each time an objective is changed, both field and aperture diaphragms should be readjusted



## Objectives

The **objectives** are the most important optical components of the microscope because they produce the primary image magnification. The objectives are located on a rotatable nosepiece; only one objective is used at a time. Objectives are easily changed by simple rotation of the nosepiece; however, each objective has a different *working distance*, that is, the distance between the objective and the coverslip on the slide. This working distance decreases as the magnification of the objective used increases; for example, a  $\times 10$  objective has a working distance of 7.2 mm, whereas a  $\times 40$  objective has only 0.6-mm clearance. Therefore to prevent damage to the objective or to the slide that is being observed, care must be taken when changing or focusing objectives.

A microscope has coarse and fine focus adjustment knobs. The user can focus the microscope by moving the mechanical stage holding the specimen up and down. Coarse focusing adjustments are made first, followed by any necessary fine adjustments.

Various engravings found on the objective indicate its magnification power, the NA, the optical tube length required, the coverglass thickness to be used, and the lens type (if not an achromat). Most often, the uppermost or largest number inscribed on the objective is the magnification power. After this number is the NA, inscribed on the same line or just beneath it (Fig. 18.6). As already discussed, the objective produces the primary magnification of the specimen, and the NA mathematically expresses the R of the objective. Most objectives, designed for use with air between the lens and the specimen, are called *dry objectives*. In contrast, some objectives require immersion oil to achieve their designated NA. These objectives are inscribed with the term *oil* or *oel*.

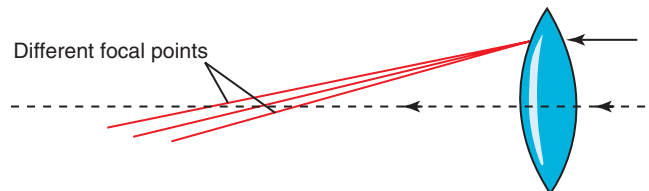


**FIG. 18.6** Engravings on this objective indicate that it is a plan achromat lens (SPlan); the initial magnification is  $\times 40$ ; the numerical aperture is 0.70; the objective is designed for a microscope with an optical tube length of 160 mm; and the coverslip thickness should be  $0.17 \pm 0.01$  mm.

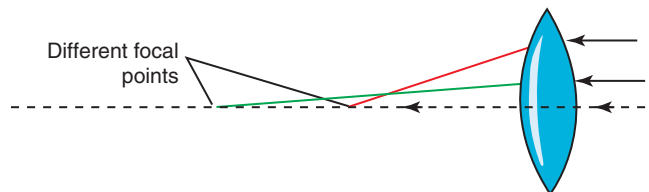
The optical tube length—the distance between the eyepiece and the objective in use—can differ depending on the microscope. If the microscope has a fixed optical tube length (usually 160 mm), the objectives used should have “160” engraved on them (see Fig. 18.6). On some microscopes, one can change the tube length when placing devices such as a polarizer or a Nomarski prism between the objective and the eyepiece. Use of these devices requires objectives designed for infinity correction or lenses corrected to maintain the tube length of 160 mm optically. Objectives designed for infinity correction have an infinity symbol ( $\infty$ ) engraved on them.

Some objectives are designed to be used with a coverglass. If a coverglass is required, its thickness is engraved on the lens after the optical tube length (e.g., 160/0.17). Objectives that do not use a coverglass are designated with a dash (e.g.,  $\infty/-$  or 160/-). A third type of objective is designed to be used with or without a coverglass. These objectives have no inscription for coverglass thickness; rather, they have a correction collar on them with which to adjust and fine focus the lens appropriately for either application.

Objectives are corrected for two types of aberrations: chromatic and spherical. **Chromatic aberration** occurs because different wavelengths of light bend at different angles after passing through a lens (Fig. 18.7). This results in a specimen image with undesired color fringes. Objectives corrected to bring the red and blue components of white light to the same focus are called *achromats* and may not have a designation engraved on them. Objectives that bring red, blue, and green light to a common focus are termed *apochromats* and are identified by the inscription “apo.” **Spherical aberration** occurs when light rays pass through different parts of the lens and therefore are not brought to the same focus (Fig. 18.8). As a result, the specimen image appears blurred and cannot be focused sharply. Objectives are corrected to bring all light entering the lens, regardless of whether the light is at the center or the periphery, to the same central focus. Achromat



**FIG. 18.7** An illustration of chromatic aberration. Each wavelength of light is bent to a different focal point after passing through an uncorrected lens.



**FIG. 18.8** An illustration of spherical aberration. Each light ray is bent toward a different focal point, depending on where the ray enters an uncorrected lens.

objectives are corrected spherically for green light, whereas apochromats are corrected spherically for green and blue light.

Other abbreviations may be engraved on the objective to indicate specific lens types. For example, “Plan” indicates that the lens is a plan achromat, achromatically corrected and designed for a flat FOV over the entire area viewed. “Ph” indicates that the objective lens is for phase-contrast microscopy. Regardless of the manufacturer, the same basic information is engraved on all objective lenses, with only the format varying slightly. To ensure a compatible system, use of objectives and eyepieces designed by the same manufacturer that designed the microscope is advisable.

Two final features of objective lenses need to be discussed. The first characteristic is termed **parcentered** and relates to the ability of objective lenses to retain the same central FOV when the user switches from one objective to another. In other words, when an objective is changed to one of higher magnification for a closer look, the object does not move from the center of the FOV. The second feature, termed **parfocal**, refers to the ability of objectives to remain in focus regardless of the objective used. This allows initial focusing at low power; changing to other magnifications requires only minimal fine focus adjustment. The parcentered and parfocal features of objectives today are taken for granted, whereas in the past, each objective required individual centering and focusing.

When using a microscope, adjustments must be made with each objective to produce optimal viewing. These adjustments strive to equate the NA of the objective lens in use (e.g.,  $\times 10$ , NA 0.25) with the condenser NA (NA 0.9), thereby achieving maximal magnification and resolution. On current microscopes that use Köhler illumination, once the condenser height adjustment is made, it remains unchanged regardless of the objective used. The user lowers the effective NA of the condenser by decreasing the light the condenser receives (i.e., closing the field diaphragm) and by adjusting the aperture diaphragm for the objective. On microscopes with which Köhler illumination is not possible, use of low-power objectives may require (1) reducing the illumination source light, if possible; (2) slightly lowering (by approximately 1.0 mm) the condenser from its uppermost position; or (3) minimally closing the aperture diaphragm. An adjustment error that users frequently make is to lower the condenser too much, resulting in loss of resolution as contrast is increased.

When high-power dry objectives are used (e.g.,  $\times 40$ , NA 0.65), the NA is closer to that of the condenser (NA 0.9). Therefore the condenser NA needs less reduction to achieve maximal viewing. Because going from a low-power to a high-power objective means changing from a low NA to a higher NA, more illumination is required. Microscopes using Köhler illumination require only field and aperture diaphragm adjustments with each objective change. When high-power objectives are used on a microscope without Köhler illumination, the user should put the condenser all the way up and close the aperture diaphragm just enough to attain effective contrast. Never use the condenser or the aperture diaphragm

to reduce image brightness; rather, decrease the illumination intensity or use neutral density filters.

## OCULAR FIELD NUMBER

The eyepieces or oculars together with the objective lenses perform two important functions. They determine (1) the diameter of the **field of view** (FOV) and (2) the total magnification of a specimen. The diameter of the FOV is determined by the round baffle or ridge inside each ocular, and its numerical value is known as the ocular **field number**. Before 1990, most laboratory microscopes had an ocular field number of 18, which means that the diameter of the FOV when a  $\times 1$  objective is used is 18 mm (or 1.8 mm with a  $\times 10$  objective). In other words, if a metric ruler were placed on the stage and a  $\times 1$  objective used, the diameter of the circle of view observed when looking through the eyepieces would measure 18 mm. Typically, the higher the field number, the more expensive the microscope. Areas of high microscope use, such as hematology and pathology laboratories, may be able to justify the expense of microscopes with even higher ocular field numbers of 22 to 26 or larger.

When multiple microscopes are used in the laboratory for urine sediment examination, it is of paramount importance that their FOVs are the same, because clinically significant sediment components are reported as the number present per low-power field or per high-power field. The larger the ocular field number, the larger the FOV, and the greater the number of components that may be observed. Note that two microscopes with equivalent magnifying power (e.g.,  $\times 100$  and  $\times 400$ ) can have FOVs that differ! In other words, the magnification of the oculars on both microscopes is the same, but their field numbers are not. Unfortunately, most microscope manufacturers do not engrave the field number on the oculars, and if needed, it must be obtained from the original purchase information by measuring the diameter using a ruler and a  $\times 1$  objective, or by contacting the manufacturer.

## MICROSCOPE ADJUSTMENT PROCEDURE

Clinical microscopes today primarily use Köhler illumination. With this type of illumination, the light source image (light filament) is focused onto the front focal plane of the substage condenser at the aperture diaphragm by a lamp condenser, located just in front of the light source. The substage condenser then focuses this image onto the back of the objective in use (see Fig. 18.1). As a result, this illumination system produces bright, uniform illumination at the specimen plane even when a coil filament light source is used. Proper use of this illuminating system is just as important as selection of a microscope and its objectives. To use a microscope with Köhler illumination, the microscopist must know how to set up and optimally adjust the condenser and the field and aperture diaphragms. Manufacturers supply instructions with the microscope that are clear and easy to follow. In addition, online interactive tutorials are available that demonstrate the improved optical performance of a microscope when adjusted



to achieve Köhler illumination.<sup>1</sup> **Box 18.1** gives a basic procedure for adjustment of a typical binocular microscope with a Köhler illumination system. Whereas initially these steps may feel cumbersome, with use they become routine. When using other types of microscopy, additional adjustment procedures may be necessary to ensure optimal viewing. For example, phase-contrast microscopy requires that the phase rings be checked and aligned, if necessary.

Each day, before setting up and adjusting the microscope, the user should check it to ensure that it is clean. The microscopist should look for dust or dirt on the illumination source port, the filters, and the upper condenser lens. The user should check the eyepiece and the objectives, especially any oil immersion objective, to be sure that they are clean and free of oil and fingerprints. By routinely inspecting the microscope and optical surfaces before adjustment, valuable time can be saved in microscope setup and in troubleshooting problems. In laboratories in which the entire staff uses the same microscope, inspection before use helps identify people who need to be reminded of proper microscope care and maintenance.

## CARE AND PREVENTIVE MAINTENANCE

The microscope is a precision instrument. Therefore ensuring long-term mechanical and optical performance requires care, including routine cleaning and maintenance. Dust is probably the greatest cause of harm to the mechanical and optical components of a microscope. Dust settles in mechanical tracks and on lenses. Although dust can be removed from lenses by cleaning, the less the lenses are cleaned, the better. To remove dust, dirt, or other particulate matter, the microscopist should use a grease-free brush (camel hair) or an air syringe (e.g., an infant's ear syringe). If compressed air is used, the air should be filtered (e.g., with cotton wool) to remove any contaminating residues or moisture. Using a microscope dust cover when the instrument is not in use or placing it in a storage cabinet eliminates dust buildup.

On microscopes, all mechanical parts are lubricated with special long-lasting lubricants. Therefore the user should never use grease or oils to lubricate the microscope. When mechanical parts are dirty, cleaning and regreasing should be performed by the manufacturer or by a professional service representative.

In climates in which the relative humidity is consistently greater than 60%, precautions must be taken to prevent fungal growth on optical surfaces. In these areas, a dust cover or a storage cabinet may reduce ventilation and enhance fungal growth. Therefore microscopes may require storage with a desiccant or in an area with controlled temperature and air circulation. In addition to high humidity, microscopes should be protected from direct sunlight and high temperatures.

When handling the microscope—for example, when removing it from a storage cupboard or when changing work areas—the user must always carry it firmly, using both hands, and must avoid abrupt movements. The counter on which the microscope is placed should be vibration free. This eliminates undesired movement in the FOV when viewing wet

preparations, as well as the detrimental effects that long-term vibration can have on precision equipment.

All optical surfaces must be clean to provide crisp, brilliant images. Because the nosepiece is rotated by hand, the objectives are constantly in danger of becoming smeared with skin oils. The user should avoid all handling of optical surfaces with the fingers. Should a lens need cleaning, the user should follow the manufacturer's suggested cleaning protocol. Optical lenses are easily scratched; therefore one must remove all particulate matter from the lens before cleaning. Some residues may be removed simply by breathing on the lens surface and polishing with lens paper. Others may require a commercial lens cleaner. The microscopist should never use gauze, facial tissue, or lint-free tissue to clean optical surfaces. After using oil immersion objective lenses, the microscopist should remove the oil carefully using a dry lens paper and should repeat this procedure using a lens paper moistened with lens cleaner. The microscopist must store oil immersion objectives dry, because oil left on the lens surface can impair its optical performance. Whereas some manufacturers suggest the use of xylene to clean oil immersion lenses, this practice is not recommended for several reasons. If residual xylene is left on the objective, it destroys the adhesive that holds the lens in place. In addition, xylene fumes are toxic and should be avoided.

The eyepiece is particularly susceptible to becoming dirty, especially when the user wears mascara. Therefore people should avoid wearing mascara when performing microscopy. If an eyepiece is removed for cleaning, care must be taken to prevent dust from entering the microscope tube and settling on the back lens of the objective.

When the specimen image shows a visual aberration and a dirty lens is suspected, the following procedure can help identify which lens needs attention. Specks appearing in the FOV are most often noted on the eyepiece or the coverglass. The user should rotate the eyepiece; if the speck moves, the eyepiece lens requires cleaning. If the speck moves when the slide position is changed, the coverglass is dirty. If the objective lens is dirty, the speck will not be present when a different objective is used. Often the image is blurred or hazy (i.e., decreased sharpness or contrast) when an objective lens is dirty, as with a fingerprint.

Replacement of the light source is easy to perform when the manufacturer's directions are followed. Use only replacement lamps designated by the manufacturer to ensure compatibility and proper light source alignment. Any other repair that requires microscope disassembly should be performed only by a professional service representative. As with other instrumentation, microscope cleaning, maintenance, and problems should be documented. In addition, service to clean, lubricate, and align components should be performed annually by the manufacturer or a professional service representative.

**Box 18.2** lists the dos and don'ts of good microscope care. As with any precision instrument, the microscope will give long-lasting and optimal performance if it is maintained and cared for properly.

**BOX 18.2 Microscope Dos and Don'ts****Dos**

- Always use lens paper on optical surfaces.
- Always use a commercial lens cleaner to clean optical surfaces.
- Protect the microscope from dust when not in use. Avoid temperature extremes and direct sunlight.
- Document all cleaning and maintenance; have microscope professionally serviced annually.

**Don'ts**

- Never use gauze, facial tissue, or lint-free tissue on lens surfaces.
- Never touch optical surfaces with fingers or hands.
- Never wipe off dust or particulate matter; remove using suitable brush or air syringe.
- Never wear mascara while performing microscopy.
- Never clean the back lens of the objectives.
- Never use grease or oil on mechanical parts.
- Never disassemble the microscope for repair; call a service representative.
- Never leave microscope tubes without eyepieces; insert dust plugs as necessary.

**TYPES OF MICROSCOPY**

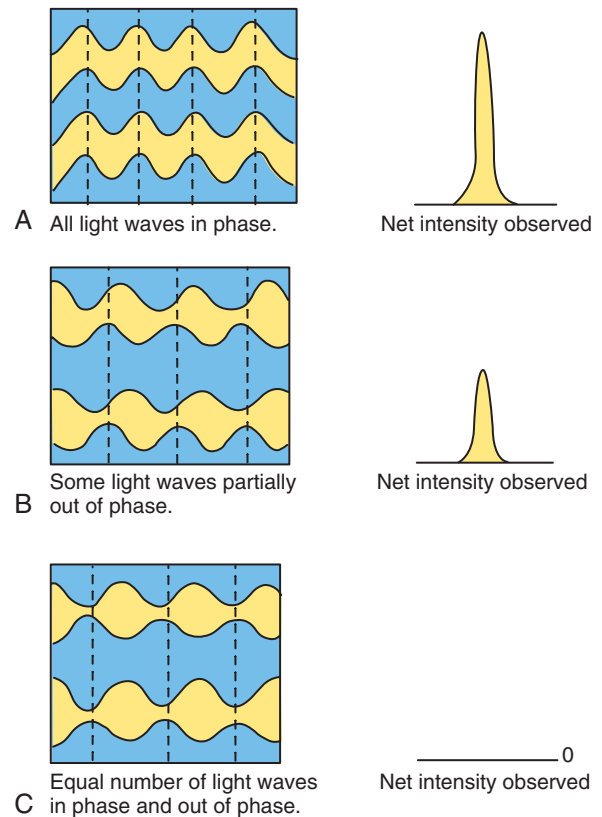
All types of microscopy (with the exception of electron microscopy) use the same basic magnification principles used in the compound brightfield microscope. Different types of microscopy—phase-contrast, polarizing, interference contrast, darkfield, and fluorescence—are achieved by changing the illumination system or the character of the light presented to the specimen. In research and in the clinical laboratory, some of these techniques are being used for new applications. As their usage grows, the different types of microscopy become increasingly commonplace.

**Brightfield Microscopy**

Brightfield **microscopy** is the oldest and most common type of illumination system used on microscopes. The name refers to the dark appearance of the specimen image against a brighter background. This remains the principal type of microscopy used in the clinical laboratory. Historically, room light was used as the illumination source. A major disadvantage of this procedure was uneven and variable illumination of the FOV. Now with Köhler illumination, the light is focused not at the specimen plane but at the condenser aperture diaphragm. This allows bright, even illumination of the specimen field despite the use of a coil filament lamp.

**Phase-Contrast Microscopy**

Often in the clinical laboratory, a microscopist encounters components with a low refractive index (e.g., hyaline casts in urine) that are difficult to view without staining. With **phase-contrast microscopy**, variations in refractive index are converted into variations in light intensity or contrast. This permits detailed viewing of low-refractile components and



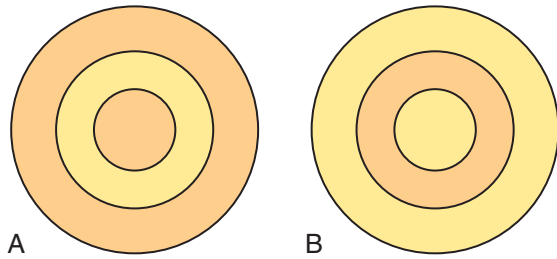
**FIG. 18.9** The effect of the phase of light waves on the light intensity observed. (A) All light waves are in phase and light intensity is maximal. (B) Some light waves are slower or are partially out of phase, resulting in a decrease in the light intensity observed. (C) Equal numbers of light waves are in phase and out of phase. As a result, the net intensity observed is zero (i.e., the light waves cancel each other out).

of living cells (e.g., trichomonads). In equivalently detailed imaging performed with other techniques, cells are no longer living because normal fixation and staining has killed them.

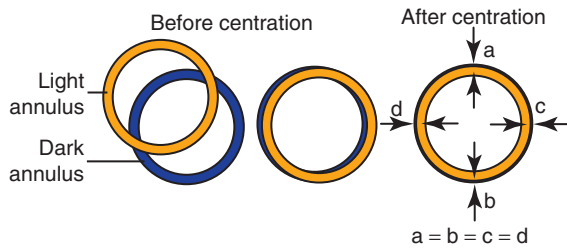
Briefly, phase microscopy is based on the wave theory of light. If light waves are in “phase,” the intensity of the light observed is the sum of all the individual waves (Fig. 18.9A). If some of the light waves are slowed, as from passing through an object, the light intensity observed will be less (Fig. 18.9B). If some waves are retarded exactly one-half of a wavelength, they will cancel out completely an unaffected light wave, thereby further reducing the light intensity (Fig. 18.9C).

Components retard light to different degrees depending on their unique shape, refractive index, and absorbance properties. The best contrast is achieved when light retardation is one-quarter of a wavelength; however, this retardation is not possible without modification to the brightfield microscope.

Converting a brightfield microscope for phase-contrast microscopy requires changes in the condenser and in the objective. The condenser must be fitted with an annular diaphragm in the condenser itself or below it. As depicted in Fig. 18.10, the annular diaphragm resembles a target and produces a light annulus or ring. Illumination light can pass through only this central clear ring of the diaphragm before



**FIG. 18.10** Schematic representation of (A) an annular diaphragm (placed below the condenser) and (B) the phase-shifting element (placed in the back of the objective).



**FIG. 18.11** Phase ring alignment. A schematic representation of the view at the back of the objective when one is looking down the eyepiece tube with the eyepiece removed. The dark annulus is formed by the phase-shifting element in the objective; the light annulus is formed by the annular diaphragm. Phase ring alignment is obtained by adjusting the light annulus until it is centered and superimposed on the dark annulus.

penetrating the specimen. The objective used must be fitted with a phase-shifting element, also depicted in Fig. 18.10. Note that the phase-shifting element also resembles a target. However, its central ring retards light by one-quarter of a wavelength, producing a dark annulus or ring. The light and dark annuli produced on the back of the objective can be seen by removing an eyepiece. This enables proper alignment of the condenser and objective; that is, the light annulus of the condenser is adjusted until light and dark annuli are concentric and superimposed (Fig. 18.11).

Normally in brightfield microscopy, undiffracted and diffracted light rays are superimposed to produce the magnified image. In phase-contrast microscopy, light is presented to the specimen only from the central light annulus of the annular diaphragm of the condenser. After passing through the specimen, diffracted light enters the clear rings of the phase-shifting element, and all undiffracted light is shifted one-quarter of a wavelength out of phase. These light rays are recombined, producing varying degrees of contrast in the specimen image (Fig. 18.12). Areas of the specimen appear light and dark with halos of various intensities. Thin, flat specimens that produce less haloing are viewed best by this technique. Bright halos produced by the optical gradient of some components can reduce visualization of the detail and dimension of the object. When unstained specimens are evaluated, entities with a low refractive index (e.g., hyaline casts, mucous threads) are more visually apparent than when brightfield microscopy is used.



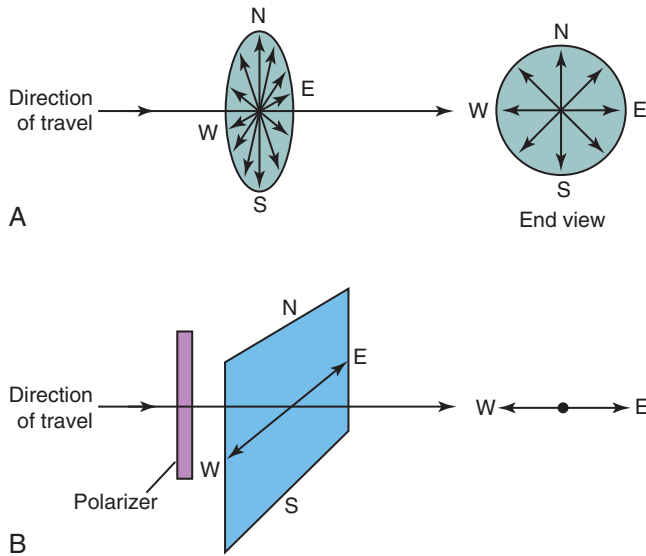
**FIG. 18.12** An example of phase-contrast microscopy. This low-power ( $\times 100$ ) view of urine sediment includes a highly refractile fiber revealed by its brightly haloed image. The hyaline casts and mucous threads are less refractile and have halos of decreased intensity compared with the highly refractile fiber.

## Polarizing Microscopy

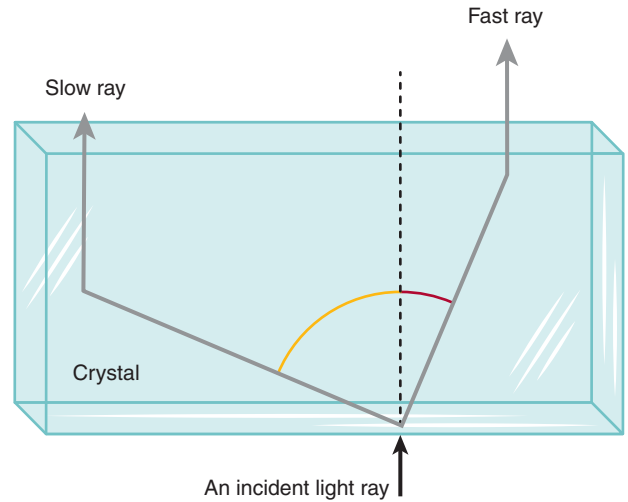
**Polarizing microscopy** has widespread application in the clinical laboratory and in pharmaceuticals, forensics, pathology, geology, and other fields. **Birefringent** materials such as crystals, fibers, bones, or minerals can be identified based on their effects on plane polarized light observed using polarizing microscopy. The term *birefringent* refers to materials that have two optical axes (i.e., biaxial) and that refract plane polarized light into two rays (a slow and a fast ray) moving in perpendicular directions (90 degrees) to each other; hence these substances are sometimes called doubly refractile.

Understanding the principle of polarizing microscopy requires a basic knowledge of polarized light, and this principle is best explained by comparison. Regular or unpolarized light vibrates in every direction perpendicular to its direction of travel; in contrast, polarized light vibrates in only one direction or plane (Fig. 18.13). When polarized light passes through a material (e.g., crystal, fiber) that is birefringent, the light is refracted into two rays moving in perpendicular directions. By convention, the ray that is refracted the most (greater angle of refraction) from the optical axis is called the *slow ray*, whereas the other ray (smaller angle of refraction) is called the *fast ray*. Fig. 18.14 illustrates plane polarized light passing through a birefringent crystal and the slow and fast rays of refracted light produced. Note that variations of slow and fast rays will occur and that the strength or brightness of birefringence is determined by the difference between the slow and fast rays, as well as by the thickness of the material.

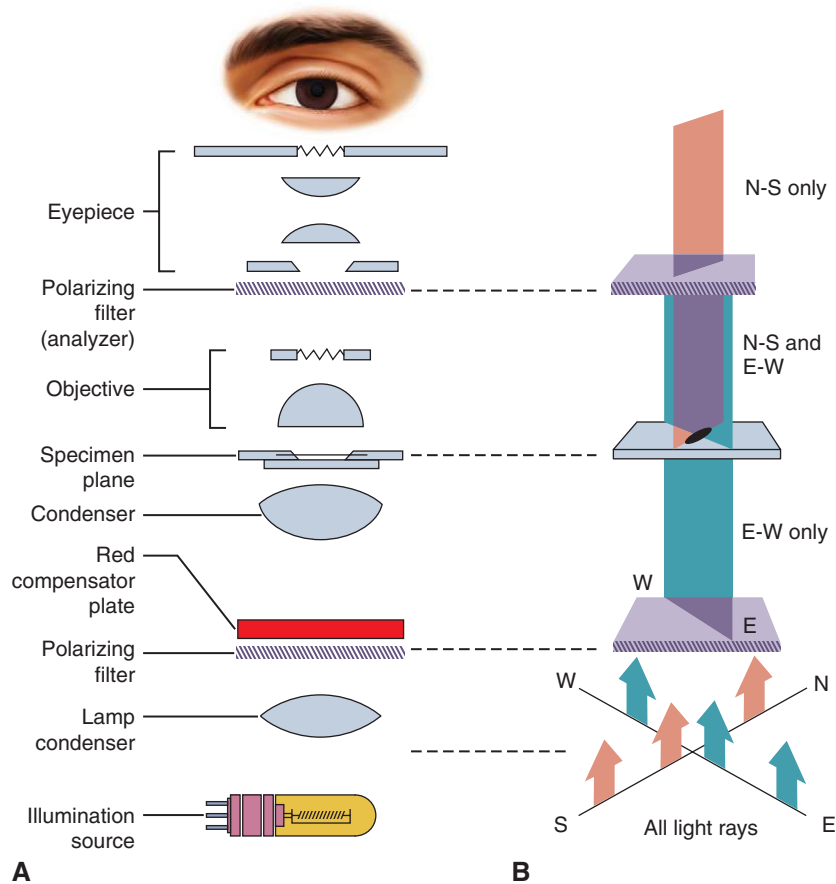
To convert a brightfield microscope to a polarizing one requires two polarizing filters. Fig. 18.15 illustrates these filters and their orientation in a polarizing microscope. One filter, called the *polarizer*, is placed below the condenser, usually directly on the built-in illumination port. It is easy to add and remove as well as to rotate, which changes the “axis” of the polarized light it transmits. The second polarizing filter, called the *analyzer*, is placed between the objective and the



**FIG. 18.13** Comparison of light ray orientation in (A) regular light (vibrating in all directions) and (B) polarized light (vibrating in only one plane).

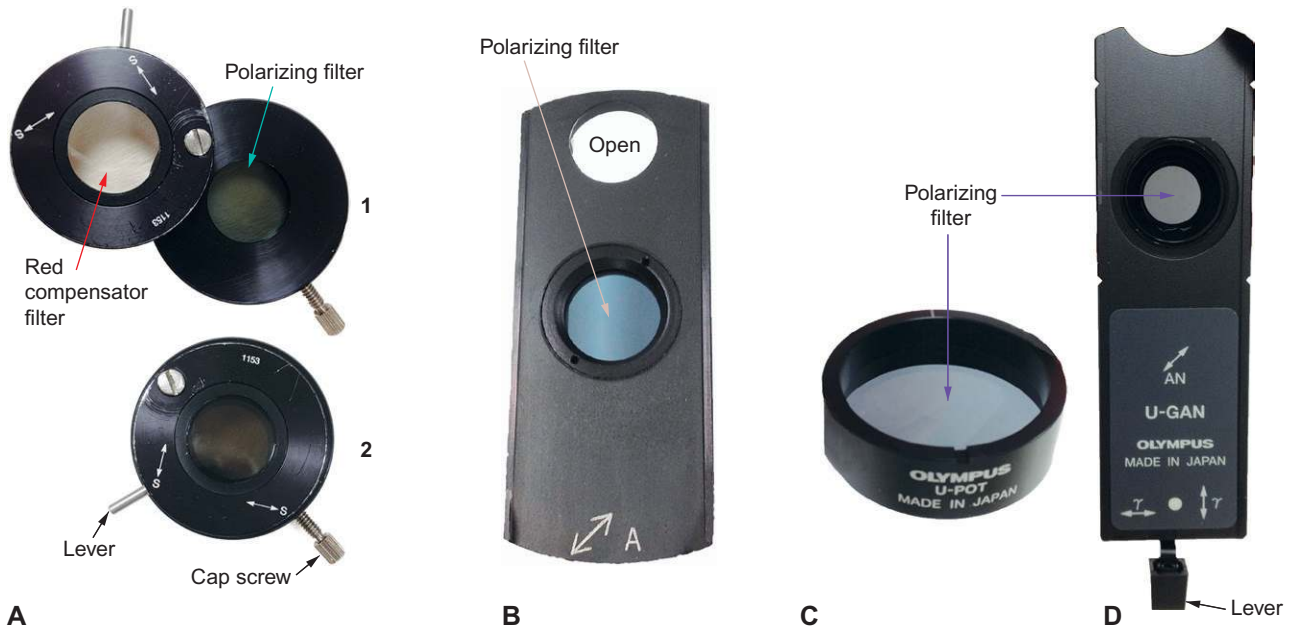


**FIG. 18.14** Light entering a birefringent (biaxial) crystal is refracted into two rays—a slow ray and a fast ray—that move in a perpendicular direction to each other. By convention, the ray refracted the most (greater angle of refraction; yellow) is designated the slow ray, whereas the ray refracted the least (smaller angle of refraction; red) is designated the fast ray.



**FIG. 18.15** (A) Schematic diagram of a polarizing microscope and its components. (B) The change in the polarized light rays caused by a birefringent specimen, that is, rays in the N-S direction are generated. The polarizer and the analyzer are in a crossed position, that is, oriented perpendicular (90 degrees) to each other.





**FIG. 18.16** Two sets of analyzer and polarizer filters (AB, CD) used to adjust a brightfield microscope for compensated polarizing microscopy. They differ primarily in the location of the red wave compensator filter and how it is moved into the light path. Note that the compensator filter simply needs to be positioned between the polarizer and analyzer filters. (A) This polarizer is placed onto a microscope's built-in illumination port and is held in place by the cap screw. The red compensator filter is attached on a swing-style housing with the direction of the slow ray ( $S \leftrightarrow$ ) inscribed on it. When the compensator is out of the light path (A1), the background is black (full extinction); when in the light path (A2), the background is red-violet. The lever position determines the direction of the slow ray ( $S \leftrightarrow$ ), which is inscribed on the housing. Moving the lever of the compensator to its alternate position ( $S \updownarrow$ ) changes the slow ray orientation by 90 degrees. (B) This analyzer (a polarizing filter) is in a simple housing that slides into the microscope above the rotating nosepiece. It can slide into two positions, which enables it to remain in the microscope at all times. One position is open (no filter in light path) for brightfield microscopy; in the alternate position, the analyzer filter is in the light path for polarizing microscopy. The axis of transmission of this polarizing filter is inscribed on the housing ( $A \updownarrow$ ). (C) This polarizer is placed onto the microscope's built-in illumination port but is not immobilized; it moves freely and is rotated to obtain maximum extinction. (D) In this style of analyzer, the polarizing filter and the red compensator filter are incorporated within the same slide unit. The axis of transmission of the analyzer's polarizing filter is inscribed on the housing ( $\updownarrow AN$ ). When the lever is centered (at the dot  $\bullet$ ), the red compensator is not in the light path and the background is black (full extinction). When the lever is to the left or right, the red compensator filter is in the light path (red-violet background). The slow ray direction of the red compensator is indicated on the housing and is changed 90 degrees by moving the lever from left ( $\leftrightarrow Y$ ) to right ( $\updownarrow Y$ ). (Image courtesy of Olympus Corporation.)

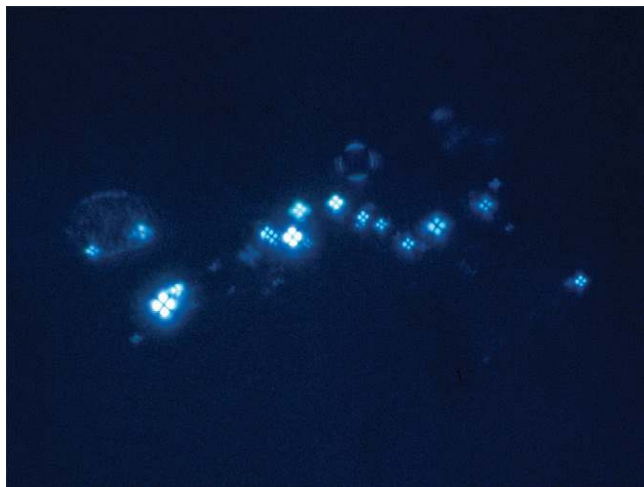
eyepiece, usually in a special "slider" located above the revolving nosepiece. Note that the analyzer's axis of transmission is fixed (inscribed on the analyzer housing) and only allows light vibrating on a specific plane to pass. In Fig. 18.16 are two different housing styles of polarizers and analyzers available from microscope manufacturers. When the axes of the polarizer and analyzer are oriented perpendicular (90 degrees) to each other, they are said to be in a "crossed" position or "crossed pols." In this position "complete extinction" occurs—the light transmitted by the polarizer cannot pass through the analyzer—and the FOV (background) appears black. By rotating the polarizer in either direction from the crossed pols position, it allows some light to be transmitted through the analyzer and results in a FOV with increased brightness. The greater the deviation from the crossed pols position, the brighter the FOV.

To observe birefringent materials, the initial microscopic adjustment is to rotate the polarizer to obtain maximum extinction (i.e., the darkest background). If a birefringent object is present, the incident polarized light will be refracted

by the object into two rays (slow and fast) vibrating at 90 degrees to each other. These refracted rays are able to pass (i.e., are transmitted) through the analyzer to the eyepiece, and the birefringent object appears bright white against a dark background (Fig. 18.17).

Birefringent materials can be classified as positively or negatively birefringent when "compensated" polarizing microscopy is used. This requires using a filter called a *compensator* that selectively retards the transmission of a particular wavelength of light. The compensator can be placed anywhere in the light path between the polarizer and the analyzer. Depending on the microscope manufacturer, it may be provided in a swing-out housing attached to the polarizer or it is part of the analyzer (see Fig. 18.16).

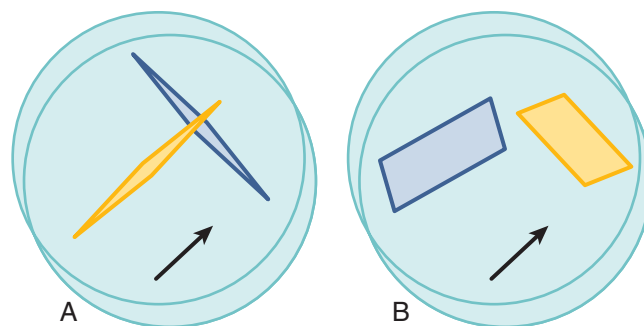
In the clinical laboratory, a first-order red compensator predominates. This *retardation plate*, as it is sometimes called, is made of a thin section of a birefringent retarding material such as selenite (gypsum), quartz, calcite, or mica. When placed in the light path at a 45-degree angle to the polarizer, the compensator retards the transmission of green light



**FIG. 18.17** Cholesterol droplets (free fat) in urine displaying their characteristic Maltese cross pattern using polarizing microscopy. The background is black because the axis of the analyzer and polarizer are oriented perpendicular (90 degrees) to each other (i.e., complete extinction has occurred); they are in the “crossed pols” position.

(550-nm region) such that the green wavelengths cannot pass through the analyzer. As such, the FOV is no longer black but is red-violet, hence the name *red compensator*. In addition to changing the background color, because the red compensator is a birefringent material, it also splits the incoming polarized light into slow and fast rays. An inscription on the compensator indicates the axis (direction of vibration) of the slow rays. This inscription enables orienting a birefringent object of interest either parallel or perpendicular to the slow ray to observe the characteristic birefringence—either positive (blue) or negative (yellow). A two-position lever on the compensator enables changing the axis orientation of the slow ray by 90 degrees. In other words, after aligning an object of interest to be parallel with the slow ray, by simply moving the lever to the alternate position, the slow ray is rotated and becomes perpendicular to the object of interest. The observed color of a birefringent crystal results from the additive (blue) or subtractive (yellow) effect of its refracted light on the polarized light emitted. This optical characteristic provides a means to identify and distinguish birefringent crystals.

By convention in the analysis of crystals, the color of a crystal when its long dimension is parallel to the slow axis of the compensator determines whether it is positively or negatively birefringent (Fig. 18.18). When the long dimension of a birefringent crystal is oriented parallel to the slow axis of the compensator and it appears blue against the red-violet background, it is positively birefringent. If it appears yellow, it is negatively birefringent. With small box-shaped crystals it can be difficult to determine the long dimension of a crystal. In such cases, searching for crystals where the long dimension is more apparent is the only option. Box 18.3 provides a step-by-step procedure for adjusting a brightfield microscope for polarizing microscopy and the identification of some crystals in synovial fluid.



**FIG. 18.18** Illustrations of crystals in a field of view of synovial fluid using compensated polarizing microscopy. The *black arrow* indicates the direction of the compensator’s slow ray. (A) The crystal that is parallel to the direction of the slow ray is yellow; the crystal perpendicular to the slow ray is blue; hence these crystals demonstrate negative birefringence. Based on birefringence and morphology, they would be identified as monosodium urate (MSU) crystals. (B) The crystal that is parallel with the direction of the slow ray is blue; a similar crystal perpendicular to the slow ray is yellow; hence these crystals demonstrate positive birefringence. Based on birefringence and morphology, they would be identified as calcium pyrophosphate dihydrate (CPPD) crystals.

In the clinical laboratory, polarizing microscopy is used primarily for urine and synovial fluid microscopic examinations. During urinalysis, polarizing microscopy is primarily used to confirm the presence of urinary fat, specifically cholesterol by its characteristic Maltese cross pattern (see Table 18.1 and Fig. 18.17).

Be aware that free floating cholesterol droplets often have a cross pattern with equal quadrants. However, when the droplets reside within cells, casts, or are clustered together, the cross pattern may show unequal quadrants.

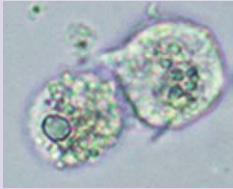
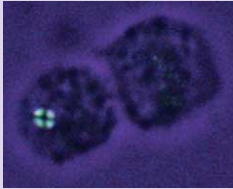
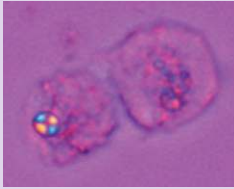

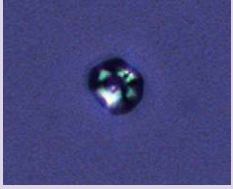
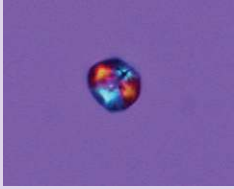
Polarizing microscopy also aids in the identification of urinary crystals, as well as in differentiating elements in urine that can look alike (see Chapter 7, Tables 7.11 and 7.12). For example, red blood cells can be readily distinguished from the look-alike crystals—monohydrate calcium oxalate (Fig. 7.88, 7.90, G 46) and fibers (e.g., diaper fibers) from waxy casts. When analyzing synovial fluid, compensated polarizing microscopy enhances the detection of crystals as well as enables their identification. Monosodium urate crystals associated with gout exhibit negative birefringence, whereas calcium pyrophosphate dihydrate crystals are positively birefringent and are consistent with pseudogout. See Box 18.3 and Chapter 11, “Synovial Fluid Analysis”, for more information regarding crystals and their analysis in synovial fluid. It is important to note that not all crystals are birefringent and that other birefringent substances, such as drugs, dyes, starch (see Table 18.1), and other contaminants can be encountered in urine and other body fluids.

Microscope adjustments, particularly in properly adjusting the light, can adversely affect the ability to detect or evaluate birefringent crystals. Because of the dual polarizing filters (polarizer and analyzer), typically the rheostat dial is turned to a higher level than is used for brightfield microscopy. Fine

## BOX 18.3 Using a Polarizing Microscope

- For optimal viewing, the microscope should first be adjusted for Köhler illumination (Box 18.1), which ensures bright, uniform illumination at the focal plane of the specimen.
- With the specimen slide on the stage, find an object of interest (e.g., fat globule, oval fat body, fatty cast, crystal) and optimally sight in using low or high power; see Table 18.1, Column A.
- Place the polarizer over the light source at the microscope base (A).
- Slide the analyzer into the optical path. Note that some laboratories may store the analyzer when not in use, which requires inserting the analyzer (which is housed in a slide) into a slot above the rotating nosepiece (B).
- Adjust illumination: increase rheostat and adjust the aperture diaphragm (on the condenser, just below the stage). Note that the intensity of birefringent materials is dependent on optimal illumination; too much light or not enough can affect the ability to detect small birefringent objects.
- With the object of interest in focus, look at the field of view and slowly rotate the polarizer until the darkest (blackest) background is obtained. Birefringent objects will appear white against the black background (see Table 18.1, Column B). This step places the analyzer and polarizer in the “cross pols” position (i.e., the axis of the two filters are oriented 90 degrees to each other).
- To determine positive or negative birefringence of crystals:
  - Place a red compensator into the optical path. Depending on the microscope, this may require moving a small lever located directly on the analyzer frame, which slides an internal compensator into place, or simply swinging the compensator, which is part of the polarizer, into position (Fig. 18.16). Birefringent objects will appear yellow or blue against a red-violet background (see Table 18.1, Column C).
  - The red compensator has the direction of the slow ray inscribed on its housing. It also has a lever (see Fig. 18.16) that rotates its optical axis by 90 degrees (i.e., changes the direction of the slow ray).
  - With the lever of the red compensator in the slow ray position, locate a crystal with its long dimension (axis) parallel to the slow ray direction; see Fig. 18.18.
  - If the long axis of a crystal is parallel to the slow ray of the red compensator and the crystal is:
    - YELLOW, then it is negatively birefringent (e.g., monosodium urate crystals; see also Fig. 11.3)
    - BLUE, then it is positively birefringent (e.g., calcium pyrophosphate dihydrate crystals; see also Fig. 11.4)
- Note that if the crystal is perpendicular to the slow ray of red compensator (or the lever of the red compensator is rotated 90 degrees) then the opposite is true—yellow indicates positive birefringence; blue, negative birefringence.

TABLE 18.1 Appearances of Cholesterol and Starch Using Brightfield and Polarizing Microscopy

Microscopy Type	A Brightfield	B Polarizing microscopy (black extinction)	C Compensated polarizing microscopy with red compensator
Cholesterol droplet (in OFB) “true” Maltese cross pattern of lipids—equal quadrants <sup>a</sup>			
Starch granule (a contaminant) “pseudo” Maltese cross— unequal quadrants			

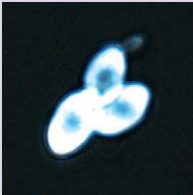

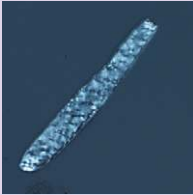
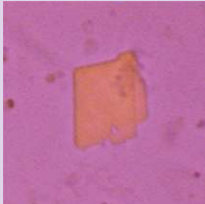
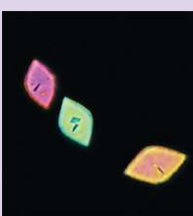
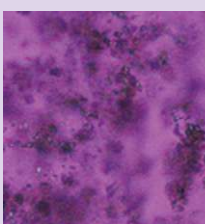
OFB, Oval fat body.

<sup>a</sup>Note that cholesterol droplets may also show a Maltese cross pattern with unequal quadrants. Usually when in clusters or within cells or casts.

adjustments of the aperture diaphragm (see Fig. 18.1) are also required to optimize viewing. Note that weakly birefringent crystals (e.g., calcium pyrophosphate dihydrate) can be difficult to see when the lighting is not optimized. Therefore technical expertise and training are mandatory to ensure

optimized microscope adjustment, as well as proper identification of birefringent objects. See Table 18.2 for examples of different intensities of birefringence; that is, strong, weak, polychromatic, none (or negative) using either black extinction or a red compensator.

TABLE 18.2 Birefringence Intensity

Complete Extinction	With Red Compensator
<ul style="list-style-type: none"> <li>• <i>Strong</i></li> </ul> 	<ul style="list-style-type: none"> <li>• <i>Strong</i></li> </ul> 
<ul style="list-style-type: none"> <li>• <i>Weak</i></li> </ul> 	<ul style="list-style-type: none"> <li>• <i>Weak</i></li> </ul> 
<ul style="list-style-type: none"> <li>• <i>Polychromatic and strong</i></li> </ul> 	<ul style="list-style-type: none"> <li>• <i>None or Negative</i></li> </ul> 

### Interference Contrast Microscopy

Two types of **interference contrast microscopy** are modulation contrast (Hoffman) and differential interference contrast (Nomarski). In interference contrast microscopy, the microscope converts differences in the optical path through the specimen to intensity differences in the specimen image. Both techniques achieve specimen images of high contrast and resolution without halving, superior to those obtained with phase-contrast microscopy. These methods also enable optical sectioning of a specimen because the image at each depth of field level is unaffected by material above or below the plane of focus (Fig. 18.19). Images have a three-dimensional appearance that readily reveals the contour details of a specimen. Interference contrast microscopy is excellent for detailed viewing of unstained specimens. Its superior visualization of all components, including living cells and substances of low refractive indexes, makes it particularly useful for microscopic examinations of wet preparations.

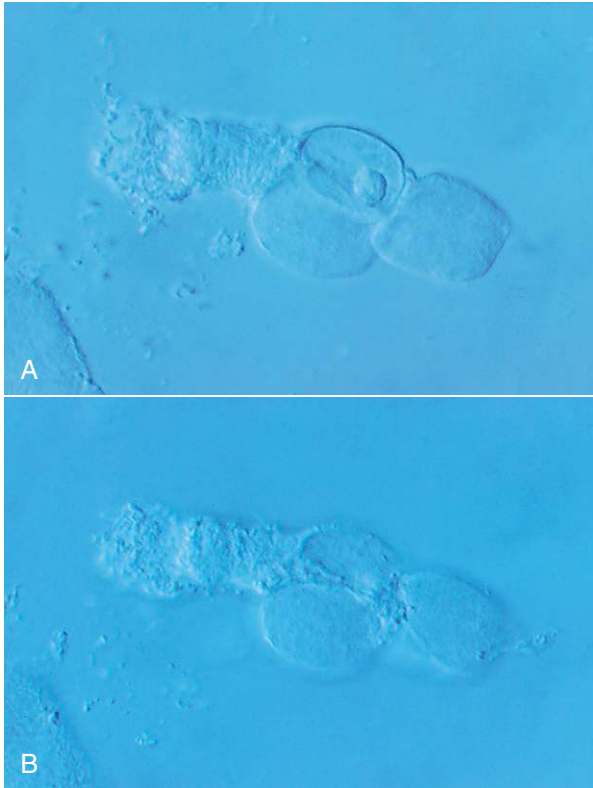
#### Modulation Contrast Microscopy (Hoffman)

Modulation contrast microscopy can be performed on a brightfield microscope with three modifications: (1) a special slit aperture that contains a polarizing filter is placed below the condenser; (2) a polarizer, to control contrast, is placed below this slit aperture; and (3) a special amplitude filter, called a *modulator*, is placed in the back of each objective.

Once the microscope has been adapted for modulation contrast microscopy, simply removing the slit aperture from the light path allows the instrument to be used for brightfield, darkfield, polarizing, or fluorescence techniques.

The basic principle of modulation contrast microscopy is presented schematically in Fig. 18.20. Illumination light enters the first polarizing filter, becomes polarized, and passes to the special slit aperture at the front focal plane of the condenser. This polarizing filter is rotatable, and because the slit aperture is partially covered with a second polarizer, rotating it achieves variations in contrast and spatial coherence (i.e., reduction of scattering effects such as flare and fringes at specimen edges). The light rays proceed through and interact with the specimen. Where they enter the objective lens depends on their interaction (i.e., diffraction) with the specimen. As a result, light rays pass through different parts of the modulator located at the back of the objective. The modulator is divided into three regions of different size and light transmission (i.e., dark region, approximately 1% transmission; gray region, approximately 15% transmission; and bright region, 100% transmission). The modulator determines the intensity gradients of light to dark observed in the three-dimensional image but does not effect a change in light phase. The modulator is also the component from which this technique derives its name. “When light intensity varies above and below an average value, the light is said to be modulated—thus the name *modulation contrast*.”<sup>22</sup>





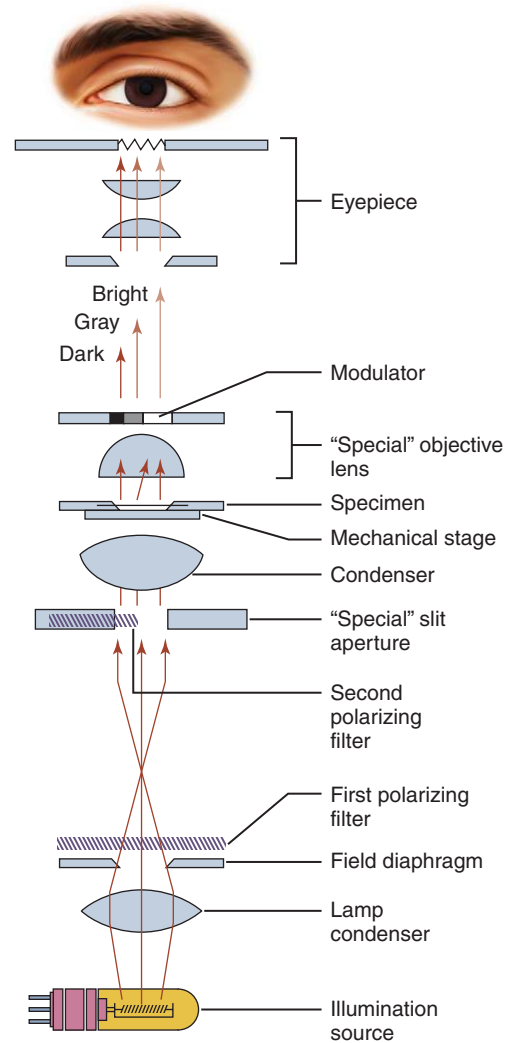
**FIG. 18.19** An example of differential interference contrast (Nomarski) microscopy and its optical sectioning ability. The different plane of focus captured in each photomicrograph allows for greatly detailed imaging of this cellular element in urine.

Microscope modifications made for modulation contrast are located at the same optical planes as in phase-contrast microscopy. The modulator and the phase-shifting element are located at the back of their respective objectives, whereas the special slit aperture and the annular diaphragm (light annulus) are located in the condenser focal plane. Each system also requires alignment of these conjugate planes for optimal specimen imaging. Modulation contrast produces three-dimensional images that reveal contours and details not possible with phase-contrast microscopy. However, for viewing essentially flat, thin specimens, images using phase-contrast microscopy—with which the halo effect is minimal—can be equivalent to those seen with modulation contrast.

Differential interference contrast microscopy can produce specimen images comparable with those seen with modulation contrast. However, because differential interference contrast uses polarization to achieve its three-dimensional image, any birefringent material present in the specimen plane compromises the image. In the latter situation, modulation contrast microscopy will produce an image of superior detail and contrast.

### Differential Interference Contrast Microscopy (Nomarski)

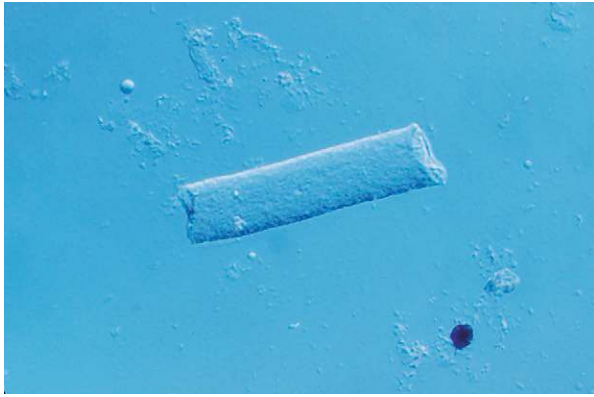
In differential interference contrast microscopy, intensity differences in the specimen image are attained through the use of birefringent crystal prisms (i.e., modified Wollaston prisms)



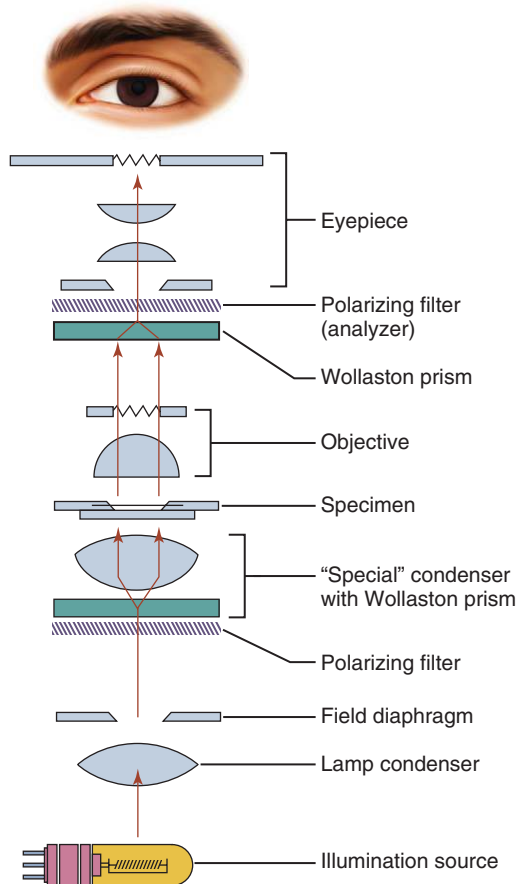
**FIG. 18.20** Schematic representation of a modulation contrast microscope and its components.

as beam splitters. One prism placed before the specimen plane splits the illumination light into two beams, whereas the second prism placed after the objective recombines them. The split beams follow different light paths through the specimen plane. They are rejoined before the eyepiece to produce a three-dimensional image (Fig. 18.21). Images obtained with differential interference contrast microscopy are similar to modulation contrast images and are superior to phase-contrast images because no halo formation occurs. As with modulation contrast, optical sectioning or layer-by-layer imaging of specimens is possible because the depth of focus is small. Another characteristic of differential interference contrast microscopy is that specimens with low or high refractive indexes can produce equally detailed images.

Converting a brightfield microscope for differential interference contrast microscopy requires (1) a polarizer placed between the light source and the condenser, (2) a special condenser containing modified Wollaston prisms for each objective, (3) a Wollaston prism placed between the objective and the eyepiece, and (4) an analyzer (polarizing filter) placed behind



**FIG. 18.21** Example of the three-dimensional image produced by differential interference. This view shows a waxy cast at a magnification of  $\times 200$ .



**FIG. 18.22** Schematic representation of a differential interference contrast (Nomarski) microscope and its components.

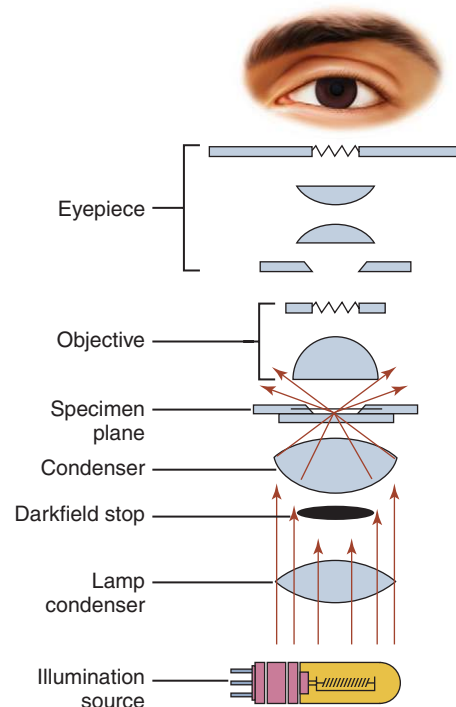
this Wollaston prism and before the eyepiece (Fig. 18.22). Illumination light becomes polarized and enters the special condenser, where it is split into two beams. The two beams traverse slightly different parts of the specimen and are recombined at the prism located after the objective. From the prism, the recombined rays (with directions of vibration

that are perpendicular and do not interfere with each other) enter the analyzer. The analyzer produces the interference image observed by changing the direction of vibration of the recombined rays so that they interfere with each other. In reality, two images are formed, but human eyes are unable to resolve them to produce a double image. As a result, the specimen image observed appears to be in relief, or three-dimensional.

When differential interference contrast microscopy is used, the background FOV can be changed from black or dark gray to various colors (e.g., yellow, blue, magenta) by simply rotating the prism located after the objective; this alters the path differences of the split light waves. In this way, specimen images similar to those obtained using darkfield microscopy can be attained, as can brilliantly colored ones. Gray backgrounds result in the most detailed three-dimensional images.

### Darkfield Microscopy

As the name implies, **darkfield microscopy** produces a bright specimen image against a dark or black background. In the clinical laboratory, this method is used only on unstained specimens and is the preferred technique for identifying spirochetes. To obtain the specimen image, a special condenser directs the illumination source light through the specimen plane only from oblique angles (Fig. 18.23). When light passes through a specimen, the light interacts with the specimen. This interaction, whether refraction, reflection, or diffraction, results in light entering the objective. The resultant image, a shining specimen on a black background, is



**FIG. 18.23** Schematic representation of a darkfield microscope and its components.

enhanced visually by the increased contrast. If no specimen is present, the FOV appears black because no light is entering the objective lens.

Darkfield microscopy is an inexpensive means of obtaining increased contrast to facilitate visualization of specimen details. The technique is beneficial in clarifying edges and boundaries but is not as good as phase-contrast microscopy or interference contrast microscopy in revealing internal structural detail. To convert a brightfield microscope for darkfield microscopy, the condenser must be replaced with a special darkfield condenser. Two types of darkfield condensers are available: one type uses air between it and the specimen slide, as in brightfield microscopy, whereas the second, an immersion darkfield condenser, requires placement of oil between the condenser and the specimen slide. The advantage of the immersion condenser is the ability to use objectives of high NAs (NA values  $>0.65$ ) and therefore to achieve greater magnification and resolution of the specimen.

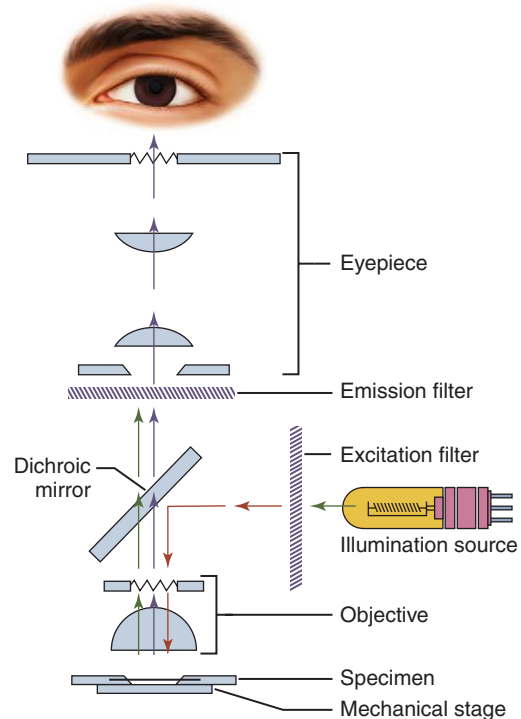
By design, darkfield microscopy requires bright illumination sources because only small amounts of light ever reach the objective lens. In addition, the glass slides used must be meticulously clean, because any particles of dust or dirt present in the specimen plane will shine brightly against the dark background.

## Fluorescence Microscopy

Fluorescence microscopy allows the visualization of fluorescent substances. Light of a selected wavelength is presented to the specimen. If a specific fluorescent substance is present in the specimen, then light is absorbed and emitted at a different, longer wavelength. Any emitted light is transmitted to the eyepiece for viewing. To accomplish this task, two filters are used (Fig. 18.24). The first filter, called the *excitation filter*, selects the wavelength of the excitation light presented to the specimen. The second filter, called the *barrier* or *emission filter*, selects a specific wavelength of emitted light from the specimen. Whereas some biological substances are naturally fluorescent (e.g., vitamin A), most applications of this technique require staining of the specimen with fluorescent dyes called *fluorophores* (e.g., quinacrine).

Each fluorophore has a unique excitation and emission wavelength. Consequently, the filters selected vary with the fluorophore used in the procedure. Historically, excitation and emission filters were made of glass and were not wavelength selective enough for some applications. Today, selective interference filters made from metallic salts are available and greatly increase wavelength specificity.

Two types of illumination systems that differ in the path of the excitation light are available for fluorescence microscopy. A transmitted illumination system is similar to other microscopy systems in which the excitation light is presented to the condenser, focused, and passed through the specimen. In contrast, the excitation light in a reflected illumination system is presented to the specimen from above the specimen via the objective lens. In this case a dichroic mirror reflects excitation light from the illumination source, and the



**FIG. 18.24** Schematic representation of a reflected illumination fluorescence microscope and its components.

objective focuses light onto the specimen (see Fig. 18.24). The dichroic mirror has a dual role: first, to reflect excitation light to the specimen and, second, to allow passage of emitted light to the selective barrier filter.

Reflected fluorescence microscopy is currently the method of choice. Its illumination sources vary from halogen-quartz lamps to mercury or xenon arc lamps. Fluorescence microscopy is sensitive to minute quantities of fluorophores present on antibodies, antigens, viruses, or any other entity with which they become associated. As a result, fluorescence microscopy frequently is used in microbiologic and immunologic procedures in the clinical laboratory.

In summary, numerous microscopic techniques are available, and the method selected depends on various factors. Obviously, specimen type plays an important role in determining the microscopic technique used. Some techniques cannot produce detailed images of unstained living materials or of components with a low refractive index. Other methods require the presence of specific substances, such as a fluorescent dye or a birefringent entity, to produce an image. The ability to produce a three-dimensional image or to section a specimen optically may not be necessary in some applications. Each feature plays an important part in the selection of a microscope to suit the needs of each laboratory: instrumentation cost, amount of technical training required, ability of the instrument to convert to other types of microscopy, and the need for adequate or more enhanced imaging. Table 18.3 lists each of the techniques discussed, compares their capabilities with different specimen types, and provides several general considerations.

TABLE 18.3 Comparisons of Microscopic Capabilities

Features	MICROSCOPY TYPES						
	Brightfield	Phase-Contrast	Modulation Contrast	Differential Interference Contrast	Polarizing	Darkfield	Fluorescence
<b>Unstained Specimens</b>							
Resolution	Poor	Limited by contrast	Excellent	Excellent	Limited by contrast	Fair	NA <sup>a</sup>
Contrast	Poor	Optimal with thin, flat structures <sup>b</sup>	Excellent for most specimens; adjustable	Excellent for most specimens; adjustable	Maximum for birefringent specimens	Good for most specimens	—
Three-dimensional image	No	No	Yes	Yes	No	No	No
Halo	No	Yes, can be excessive	No	No	No	No	No
Optical sectioning	No	Limited by halo	Yes	Yes	No	No	No
<b>Stained Specimens</b>							
Resolution	Optimal	Often reduced	Optimal	Optimal	NA <sup>c</sup>	NA <sup>c</sup>	Adequate <sup>d</sup>
Image enhancement (compared with brightfield)	—	Only for faintly stained objects	At boundaries and gradients	At boundaries and gradients	—	—	Maximal, not visible otherwise
<b>General Considerations</b>							
Detailed imaging of birefringent specimens	Yes	Yes	Yes	Limited	Limited	Yes	No
Technical training required <sup>e</sup>	Minimal	Moderate	Moderate	Moderate	Moderate	Minimal	Minimal
Comparative cost	Low	Medium	Medium	Medium	Medium	Low	High (owing to cost of source)

(Modified from Hoffman Modulation Contrast System, Modulation Optics, Inc., Greenvale, NY.)

<sup>a</sup>Not applicable; technique requires staining with a fluorescent dye or the presence of a naturally occurring fluorophore.

<sup>b</sup>Contrast is optimal in thin sections, where structures are flat and differences in the refractive index are small.

<sup>c</sup>Not applicable; technique used only to observe unstained specimens.

<sup>d</sup>Stained with a fluorescent dye.

<sup>e</sup>Training includes microscope setup, alignment, and adjustment for optimized image.

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## STUDY QUESTIONS

- In a brightfield microscope, which lens produces the primary image magnification?
  - Condenser
  - Eyepiece (ocular)
  - Numerical aperture
  - Objective
- A microscope has a  $\times 10$  magnification eyepiece and a  $\times 100$  objective lens. What is the total magnification of the specimen when viewed using this microscope?
  - $\times 0.1$
  - $\times 10$
  - $\times 100$
  - $\times 1000$
- Select the numerical aperture that has the ability to distinguish the smallest distance between two distinct points, that is, the greatest resolving power (R).
  - 0.25
  - 0.65
  - 0.85
  - 1.25
- The numerical aperture of a lens can be increased by
  - decreasing the angle of light made by the lens.
  - increasing the refractive index of the optical medium.
  - increasing the illumination intensity.
  - decreasing the interpupillary distance.
- Which parameter(s) will increase with an increase in the numerical aperture of an objective lens?
  - Magnification and resolution
  - Field of view and resolution
  - Magnification and field of view
  - Magnification
- Match the microscope component with its primary function.
 

<b>Function</b>	<b>Microscope Component</b>
<input type="checkbox"/> A. Produces primary image magnification	1. Aperture diaphragm
<input type="checkbox"/> B. Produces secondary image magnification	2. Condenser
<input type="checkbox"/> C. Moves the specimen for viewing	3. Eyepiece
<input type="checkbox"/> D. Optimally focuses light onto the specimen	4. Field diaphragm
<input type="checkbox"/> E. Controls the angle of light presented to the specimen	5. Mechanical stage
<input type="checkbox"/> F. Controls the diameter of light rays that strike the specimen	6. Light source
	7. Objective
- Which of the following components should be adjusted to decrease the illumination light or field brightness?
  - Aperture diaphragm
  - Condenser
  - Field diaphragm
  - Light source
- Which lens characteristic is described as the ability to keep a specimen image in focus regardless of which objective lens is used?
  - Parcentered
  - Parfocal
  - Chromatic aberration
  - Spherical aberration
- To achieve maximal image magnification and resolution, the
  - condenser should be in its lowest position.
  - condenser numerical aperture must be equal to or greater than the objective numerical aperture.
  - aperture diaphragm should be used to decrease field brightness.
  - field diaphragm should be opened fully.
- Various inscriptions may be found on an objective lens. Select the objective lens inscription that indicates a numerical aperture of 0.25.
  - SPlan40PL  
0.65  
160/025
  - 25  
0.10  
160/0.17
  - E10  
0.25  
160/0.20
  - DPlan25  
0.10  
25/160
- When a microscope with Köhler illumination is adjusted, the
  - condenser is adjusted up or down until the field diaphragm is focused sharply.
  - field diaphragm is opened until it is slightly smaller than the field of view.
  - illumination intensity is adjusted using the field and aperture diaphragms.
  - aperture diaphragm is opened until 25% of the field is in view.
- Microscope lenses should be cleaned or polished using
  - gauze.
  - facial tissue.
  - lint-free tissues.
  - lens paper.
  - 1, 2, and 3 are correct.
  - 1 and 3 are correct.
  - 4 is correct.
  - All are correct.

13. When viewing a focused specimen in the microscope, the user sees a speck in the field of view. The speck remains in view when the objective is changed and when the specimen is moved. The speck is most likely located on the
- condenser.
  - eyepiece.
  - objective.
  - specimen coverslip.
14. Which type of microscopy converts differences in refractive index into variations in light intensity to obtain the specimen image?
- Brightfield
  - Interference contrast
  - Phase-contrast
  - Polarizing
15. A birefringent substance is one that
- vibrates light in all directions.
  - vibrates light at two different wavelengths.
  - refracts light in two different directions.
  - shifts light one-half wavelength out of phase.
16. Which type of microscopy is able to produce three-dimensional images and perform optical sectioning?
- Brightfield
  - Interference contrast
  - Phase-contrast
  - Polarizing
17. The principle of fluorescence microscopy is based on
- a substance that causes the rotation of polarized light.
  - differences in the optical light path being converted to intensity differences.
  - differences in refractive index being converted into variations in light intensity.
  - the absorption of light and its subsequent emission at a longer wavelength.
18. Converting a brightfield microscope for polarizing microscopy requires
- two polarizing filters—one placed below the condenser and one placed between the objective and the eyepiece.
  - a special condenser, two polarizing filters, and a Wollaston prism between the objective and the eyepiece.
  - an annular diaphragm in the condenser and a phase-shifting element in the objective.
  - a slit aperture below the condenser, a polarizing filter, and a modulator.
19. Which type of microscopy uses a special condenser to direct light onto the specimen from oblique angles only?
- Darkfield
  - Interference contrast
  - Phase-contrast
  - Polarizing
20. Match the type of microscopy with the characteristic.

**Characteristic**

- \_\_\_ A. Is the preferred technique for identifying spirochetes.
- \_\_\_ B. Is used often to visualize antigens, antibodies, and viruses.
- \_\_\_ C. Enables three-dimensional viewing of unstained, low-refractile specimens.
- \_\_\_ D. Is used to identify negative and positive birefringence.
- \_\_\_ E. Produces less haloing with thin, flat specimens.

**Microscopy Type**

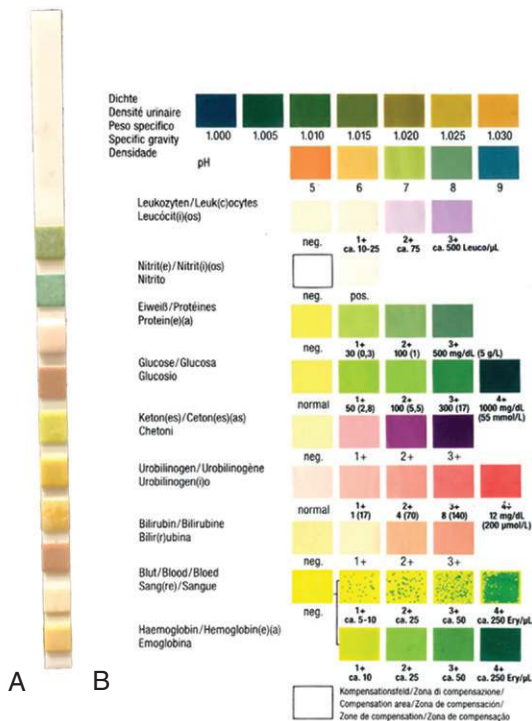
- Brightfield
- Darkfield
- Fluorescence
- Phase-contrast
- Polarizing
- Interference contrast

## Reagent Strip Color Charts

The reagent strip color charts provided in this section should *not* be used to evaluate actual reagent strip results because of color variations that may have occurred in their reproduction. Note that these are only two commonly used reagent strips and that many other brands are available worldwide.

The color charts in Figs. A.1 and A.2 are provided as a convenient reference and show the variation in reporting

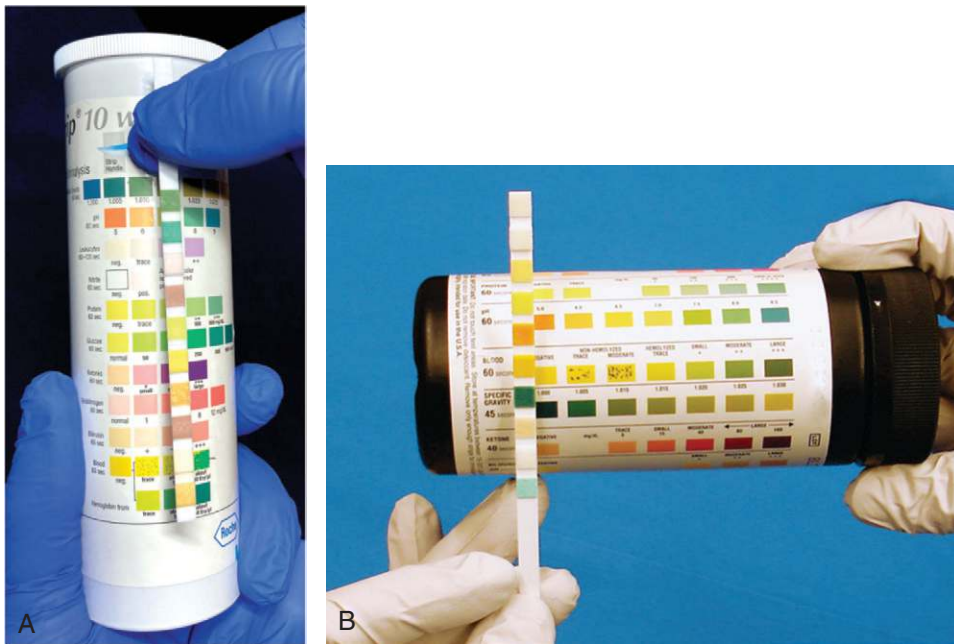
parameters that exist among manufacturers. Proper orientation of the reagent strip to the color chart is critical when reading results. Fig. A.3 illustrates the differences involved in properly orienting reagent strips to the manufacturer's color chart. Details regarding the chemical principles, sensitivity, and specificity for each reagent strip parameter are provided in Appendix B and discussed in Chapter 6, "Routine Urinalysis—the Chemical Examination."



**FIG. A.1** (A) Chemstrip 10SG strip. (B) Chemstrip 10SG color chart. Do not use this color chart for diagnostic testing; use chart provided with product.



**FIG. A.2** (A) Multistix strip. (B) Multistix 10SG color chart. Do not use this color chart for diagnostic testing; use chart provided with product. (With permission from Siemens Healthcare Diagnostics Inc.)



**FIG. A.3** Manufacturers vary in the proper orientation of the reagent strip to the color chart on the container when reading results. (A) Chemstrip 10SG Reagent Strips. (B) Multistix 10SG Reagent Strips.



## Comparison of Reagent Strip Principles, Sensitivity, and Specificity

**TABLE B.1 Summary of Reagent Strip Principles<sup>1,2,3</sup>**

Test	Principle
Specific gravity	<p>Ionic solutes present in the urine cause protons to be released from a polyelectrolyte. As protons are released, the pH decreases and produces a color change of the bromthymol blue indicator from blue-green to yellow-green.</p> <p>Chemstrip and Multistix reagent strips only</p> <p>Polyelectrolyte used:</p> <ul style="list-style-type: none"> <li>• Chemstrip: ethylene glycol-bis tetraacetic acid</li> <li>• Multistix: polymethylvinyl ether/maleic acid</li> </ul>
pH	<p>Double-indicator system. Indicator combinations are used to give distinct color changes at pH 5.0–9.0</p> <p>Indicator combination and color change:</p> <p>Aution: bromocresol green and bromoxylenol blue; color change—yellow to green to blue</p> <p>Chemstrip and Multistix: methyl red and bromthymol blue; color change—orange to green to blue</p>
Blood	<p>Pseudoperoxidase activity of the heme moiety. The chromogen reacts with a peroxide in the presence of hemoglobin or myoglobin to become oxidized and produce a color change.</p> <p>Chromogen used: tetramethylbenzidine</p>
Leukocyte esterase	<p>Action of leukocyte esterases to cleave an ester and form an aromatic compound is followed by an azo coupling reaction of the aromatic amine formed with a diazonium salt on the reagent pad. The azo dye produced causes a color change from beige to violet.</p> <p>Ester used:</p> <ul style="list-style-type: none"> <li>• Aution: 3-(<i>N</i>-toluenesulfonyl-L-alanyloxy) indole</li> <li>• Chemstrip: indoxylcarbonic acid ester</li> <li>• Multistix: derivatized pyrrole amino acid ester</li> </ul>
Nitrite	<p>Diazotization reaction of nitrite with an aromatic amine to produce a diazonium salt is followed by an azo coupling reaction of this diazonium salt with an aromatic compound on the reagent pad. The azo dye produced causes a color change from white to pink.</p> <p>Amine used:</p> <ul style="list-style-type: none"> <li>• Aution and Chemstrip: sulfanilamide</li> <li>• Multistix: <i>p</i>-arsanilic acid</li> </ul> <p>Aromatic compound:</p> <ul style="list-style-type: none"> <li>• Aution: <i>N</i>-1-naphthylethylenediamine dihydrochloride</li> <li>• Chemstrip and Multistix: tetrahydrobenzoquinolinol</li> </ul>
Protein	<p>Protein error of indicators. When the pH is held constant by a buffer (pH 3.0), indicator dyes release H<sup>+</sup> ions because of the protein present. Color change ranges from yellow to blue-green.</p> <p>Indicator used: derivatives of tetrabromophenol blue</p>
Glucose	<p>Double-sequential enzyme reaction. Glucose oxidase on reagent pad catalyzes the oxidation of glucose to form hydrogen peroxide. The hydrogen peroxide formed in the first reaction oxidizes a chromogen on the reagent pad. The second reaction is catalyzed by a peroxidase provided on the pad. The color change differs with the chromogen used.</p> <p>Chromogen used:</p> <ul style="list-style-type: none"> <li>• Aution: quinone imine dye</li> <li>• Chemstrip: tetramethylbenzidine</li> <li>• Multistix: potassium iodide</li> </ul>

(Continued)

TABLE B.1 Summary of Reagent Strip Principles<sup>1,2,3</sup> — cont'd

Test	Principle
Ketones	Legal's test—nitroprusside reaction. Acetoacetic acid in an alkaline medium reacts with nitroferricyanide to produce a color change from beige to purple. The Chemstrip reagent strips include glycine in the reaction pad, which enables the detection of acetone; Aution and Multistix strips do not.
Bilirubin	Azo coupling reaction of bilirubin with a diazonium salt in an acid medium to form an azo dye. Color changes from light tan to beige are observed. Diazonium salt used: <ul style="list-style-type: none"> <li>• Aution: 2-methyl-5-nitroaniline</li> <li>• Chemstrip: 2,6-dichlorobenzene diazonium tetrafluoroborate</li> <li>• Multistix: 2,4-dichloroaniline diazonium salt</li> </ul>
Urobilinogen	Aution and Chemstrip strips: azo coupling reaction of urobilinogen with a diazonium salt in an acid medium to form an azo dye. Color changes from light pink to dark pink are observed. Diazonium salt used: <ul style="list-style-type: none"> <li>• Aution: 3,3-dimethoxy-4,4'-biphenylbis</li> <li>• Chemstrip: 4-methoxybenzene-diazonium-fluoroborate</li> </ul> Multistix strips: modified Ehrlich's reaction. Urobilinogen present reacts with Ehrlich's reagent ( <i>p</i> -dimethylaminobenzaldehyde) to form a red compound. Color changes from light orange-pink to dark pink are observed.
Ascorbic acid	Ascorbic acid reduces a dye impregnated in the reagent pad, causing a color change from blue to orange. Dye: Chem: 2,6-dichlorophenolindophenol

TABLE B.2 Summary of Reagent Strip Sensitivity and Specificity<sup>1,2,3</sup>

Test	Sensitivity	Specificity
Specific gravity	Chemstrip: 1.000–1.030 Multistix: 1.000–1.030	Detects only ionic solutes; provides "estimate" in 0.005 increments  <i>Falsely low</i> <ul style="list-style-type: none"> <li>• Glucose and urea &gt;1 g/dL (Chemstrip)</li> <li>• pH ≥6.5; add 0.005 (Multistix)</li> </ul> <i>Falsely high</i> <ul style="list-style-type: none"> <li>• Protein approximately equal to 100–500 mg/dL</li> <li>• Ketoacidosis</li> </ul>
pH	Aution: 5.0–9.0, in 0.5-pH increments Chemstrip: 5.0–9.0, in 1.0-pH increments Multistix: 5.0–8.5, in 0.5-pH increments	pH; hydrogen ion concentration No interferences known; unaffected by protein concentration
Blood	Aution: 0.03 mg/dL Hgb (10 RBCs/μL <sup>a</sup> ) Chemstrip: 0.02–0.03 mg/dL Hgb (5–10 RBCs/μL <sup>a</sup> ) Multistix: 0.02–0.06 mg/dL Hgb (6–20 RBCs/μL <sup>a</sup> )	Equally specific for hemoglobin and myoglobin Note not all brands detect intact RBCs <sup>b</sup> <i>False-positive</i> results Aution: Does <u>not</u> detect intact RBCs Chemstrip and Multistix: Intact RBCs are lysed on reagent pad enhancing blood detection <i>False-positive</i> results <ul style="list-style-type: none"> <li>• Menstrual contamination</li> <li>• Peroxidases (e.g., microbial)</li> <li>• Strong oxidizing agents (e.g., hypochlorite in detergents)</li> </ul> <i>False-negative</i> or <i>decreased</i> results Aution: when <u>only</u> intact cells present (i.e., insufficient free hemoglobin) <ul style="list-style-type: none"> <li>• Ascorbic acid:                             <ul style="list-style-type: none"> <li>• Aution (≥25 mg/dL)</li> <li>• Multistix (≥9 mg/dL)</li> </ul> </li> <li>• High specific gravity</li> <li>• Captopril (Multistix)</li> <li>• Formalin</li> <li>• High nitrite (&gt;10 mg/dL)</li> </ul>

(Continued)

TABLE B.2 Summary of Reagent Strip Sensitivity and Specificity<sup>1,2,3</sup>—cont'd

Test	Sensitivity	Specificity
Leukocyte esterase	Aution: ~25 WBCs/ $\mu$ L <sup>a</sup> Chemstrip: ~10 WBCs/ $\mu$ L <sup>a</sup> Multistix: ~5–15 WBCs/hpf (~11–36 WBCs/ $\mu$ L) <sup>a</sup>	Detects only granulocytic leukocytes <i>False-positive</i> results <ul style="list-style-type: none"> <li>Highly colored substances that mask results, such as drugs (phenazopyridine), beet ingestion</li> <li>Vaginal contamination of urine</li> <li>Formalin</li> </ul> <i>False-negative</i> results <sup>c</sup> <ul style="list-style-type: none"> <li>Lymphocytes are not detected</li> <li>Increased glucose (&gt;3g/dL) or protein (&gt;500mg/dL)</li> <li>High specific gravity</li> <li>Strong oxidizing agents (soaps, detergents)</li> <li>Drugs such as gentamicin, cephalosporins, tetracycline</li> </ul>
Nitrite	Aution: 0.08 mg/dL nitrite ion Chemstrip: 0.05 mg/dL nitrite ion Multistix: 0.06–0.1 mg/dL nitrite ion	<i>False-positive</i> results <ul style="list-style-type: none"> <li>Highly colored substances that mask results, such as drugs (phenazopyridine), beet ingestion</li> <li>Improper storage with bacterial proliferation</li> </ul> <i>False-negative</i> results <ul style="list-style-type: none"> <li>Ascorbic acid (<math>\geq</math>25mg/dL) interference</li> <li>Various factors that inhibit or prevent nitrite formation despite bacteriuria</li> </ul>
Protein	Aution: 10 mg/dL Chemstrip: 6.0 mg/dL Multistix: 15–30 mg/dL	More sensitive to albumin than globulins, hemoglobin, myoglobin, immunoglobulin light chains, mucoproteins, or others <i>False-positive</i> results <ul style="list-style-type: none"> <li>Highly buffered or alkaline urine (pH <math>\geq</math>9), such as alkaline drugs, improperly preserved specimen, contamination with quaternary ammonium compounds</li> <li>Highly colored substances that mask results, such as drugs (phenazopyridine), beet ingestion</li> </ul> <i>False-negative</i> results <ul style="list-style-type: none"> <li>Presence of proteins other than albumin</li> <li>Highly colored substances that mask results, such as drugs (phenazopyridine, nitrofurantoin), beet ingestion</li> </ul>
Glucose	Aution: 30 mg/dL Chemstrip: 40 mg/dL Multistix: 75–125 mg/dL	Specific for glucose Affected by high specific gravity and low temperatures <i>False-positive</i> results <ul style="list-style-type: none"> <li>Strong oxidizing agents, such as bleach</li> <li>Peroxide contaminants</li> </ul> <i>False-negative</i> results <ul style="list-style-type: none"> <li>Ascorbic acid (<math>\geq</math>50mg/dL)</li> <li>Improperly stored specimens (i.e., glycolysis)</li> </ul>
Ketones	Aution: 5.0 mg/dL ketone (acetoacetate) Chemstrip: 9.0 mg/dL acetoacetate and 70 mg/dL acetone Multistix: 5–10 mg/dL acetoacetate	Does not detect $\beta$ -hydroxybutyrate <i>False-positive</i> results <ul style="list-style-type: none"> <li>Compounds containing free sulfhydryl groups, such as Mesna, captopril, <i>N</i>-acetylcysteine</li> <li>Highly pigmented urines</li> <li>Atypical colors with phenylketones and phthaleins</li> <li>Large quantities of levodopa metabolites</li> </ul> <i>False-negative</i> results <ul style="list-style-type: none"> <li>Improper storage, resulting in volatilization and bacterial breakdown</li> </ul>

(Continued)

TABLE B.2 Summary of Reagent Strip Sensitivity and Specificity<sup>1,2,3</sup>—cont'd

Test	Sensitivity	Specificity
Bilirubin	Aution: 0.5 mg/dL conjugated bilirubin Chemstrip: 0.5 mg/dL conjugated bilirubin Multistix: 0.4–0.8 mg/dL conjugated bilirubin	<i>False-positive</i> results <ul style="list-style-type: none"> <li>• Drug-induced color changes, such as phenazopyridine, indican-indoxyl sulfate</li> <li>• Large quantities of chlorpromazine metabolites</li> </ul> <i>False-negative</i> results <ul style="list-style-type: none"> <li>• Ascorbic acid (<math>\geq 25</math> mg/dL)</li> <li>• High nitrite concentrations</li> <li>• Improper storage or light exposure, which oxidizes or hydrolyzes bilirubin to nonreactive biliverdin and free bilirubin</li> </ul>
Urobilinogen	Aution: 2.0 mg/dL urobilinogen Chemstrip: 0.4 mg/dL urobilinogen Multistix: 0.2 mg/dL urobilinogen	The total absence of urobilinogen cannot be determined. Reactivity increases with temperature, optimum 22°C to 26°C <i>False-positive</i> results <ul style="list-style-type: none"> <li>• Aution and Chemstrip</li> <li>• Highly colored substances that mask results, such as drugs</li> <li>• Multistix <ul style="list-style-type: none"> <li>• Any other Ehrlich's reactive substance</li> <li>• Atypical colors caused by sulfonamides, <i>p</i>-aminobenzoic acid, <i>p</i>-aminosalicylic acid</li> <li>• Substances that induce color mask results, such as drugs (phenazopyridine), beet ingestion</li> </ul> </li> </ul> <i>False-negative</i> results <ul style="list-style-type: none"> <li>• Formalin (&gt;200 mg/dL), a urine preservative</li> <li>• Improper storage, resulting in oxidation to urobilin</li> </ul>
Ascorbic acid	URISPEC 11-way: 10 mg/dL (100 mg/L)	<i>False-positive</i> results <ul style="list-style-type: none"> <li>• Free sulfhydryl drugs (e.g., Mesna, captopril, <i>N</i>-acetylcysteine)</li> </ul>

*hpf*, High-power field; *RBC*, red blood cell; *WBC*, white blood cell.

\*See Chapter 7, Box 7.2 for conversion of “cells per high-power field (hpf)” to “cells per microliter ( $\mu$ L).” Note that the number of cells seen per hpf will vary with the protocol used to prepare the urine sediment (i.e., sediment concentration) and the optics of the microscope, that determine the size of the field of view (see Chapter 7, “Standardization of Sediment Preparation”).

\*Note that some brands of reagent strips do not include a lysing agent on the blood reaction pad. Always review manufacturers' product information.

\*Note that oxalic acid does *not* affect leukocyte esterase results because oxalic acid does not exist in urine with a pH greater than 4.5; it dissociates. At the pH of human urine, it is present exclusively as its salt—oxalate.

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2. Chemstrip 10 with SG product insert, Indianapolis, IN, 2013, Roche Diagnostics.
3. Multistix 10 SG Reagent Strips product insert, Terrytown, NY, July 2017, Siemens Healthcare Diagnostics Inc.



## Reference Intervals

### Urine (Random Specimen) Reference Intervals

#### Physical Examination

<b>Component</b>	<b>Result</b>
Color	Colorless to amber (varies with state of hydration, diet, health)
Clarity	Clear
Specific gravity	1.002–1.035 (physiologically possible 1.002–1.040)
Osmolality	275–900 mOsm/kg H <sub>2</sub> O (physiologically possible 50–1400 mOsm/kg H <sub>2</sub> O)
Volume	600–1800 mL/day (varies with state of hydration, diet, health)

#### Chemical Examination

<b>Component</b>	<b>Result</b>
Bilirubin	Negative
Glucose	Negative
Ketones	Negative
Leukocyte esterase	Negative
Nitrite	Negative
pH	4.5–8.0
Protein	Negative
Urobilinogen	≤1 mg/dL

#### Microscopic Examination<sup>a</sup>

<b>Component</b>	<b>Amount</b>	<b>Magnification</b>
Red blood cells	0–3	Per high-power field
White blood cells	0–8	Per high-power field
Casts	0–2 hyaline or finely granular <sup>b</sup>	Per low-power field
Epithelial cells		
Squamous	Few	Per low-power field
Transitional	Few	Per high-power field
Renal	Few (0–1)	Per high-power field
Bacteria and yeast	Negative	Per high-power field

<sup>a</sup>Using the UriSystem. Note that values vary with the standardization system used (i.e., the concentration of urine sediment, the microscope slide technique, and the optical properties of the microscope).

<sup>b</sup>After physical exercise, cast numbers increase and finely granular casts are included (Haber, 1991).

### Fecal Reference Intervals

#### Physical Examination

Color	Brown
Consistency	Firm, formed
Form	Tubular, cylindrical

#### Chemical Examination

Total fat, quantitative (72-hour specimen)	<6 g/day and <20% of stool
Osmolality	285–430 mOsm/kg H <sub>2</sub> O
Potassium	30–140 mmol/L
Sodium	40–110 mmol/L

#### Microscopic Examination

Fat, qualitative assessment	
Neutral fat	Few globules present per high-power field
Total fat	<100 fat globules (diameter ≤4 microns) per high-power field
Leukocytes (qualitative)	None present
Meat and vegetable fibers (qualitative)	Few

Semen Characteristics Associated with Fertility		
Parameter	Reference Interval <sup>a</sup>	Lower Reference Limit <sup>b</sup>
<b>Physical Examination</b>		
Appearance	Gray-white, opalescent, opaque	
Volume	2–5 mL	1.5 mL (1.4–1.7)
Viscosity/liquefaction	Discrete droplets (watery) within 60 minutes	
<b>Microscopic Examination</b>		
Motility	50% or more with moderate to rapid linear (forward) progression	40% (38%–42%)
Concentration	20 to 250 × 10 <sup>6</sup> sperm per mL	15 × 10 <sup>6</sup> sperm per mL
Morphology	14% or more have normal morphology	4% normal forms
Vitality	75% or more are alive	58% (55%–63%)
Leukocytes	<1 × 10 <sup>6</sup> per mL	
<b>Chemical Examination</b>		
pH	7.2–7.8	≥7.2
Acid phosphatase (total)	≥200 U per ejaculate at 37°C (p-nitrophenylphosphate)	
Citric acid (total)	≥52 μmol per ejaculate	
Fructose (total)	≥13 μmol per ejaculate	≥13 μmol per ejaculate
Zinc (total)	≥2.4 μmol per ejaculate	≥2.4 μmol per ejaculate

<sup>a</sup>Based on the strict criteria evaluation recommended by the World Health Organization (1999) for assessing sperm morphology for fertility purposes.

<sup>b</sup>The one-sided 5th centile lower reference limit recommended by the WHO (2010) for assessing semen characteristics.

Synovial Fluid Reference Intervals <sup>a</sup>	
<b>Physical Examination</b>	
Total volume	0.1–3.5 mL
Color	Pale yellow
Clarity	Clear
Viscosity	High; forms “strings” 4–6 cm long
Spontaneous clot formation	No
<b>Microscopic Examination</b>	
Erythrocyte count	<2000 cells/μL
Leukocyte count	<200 cells/μL
Differential cell count	
Monocytes and macrophages	≈60%
Lymphocytes	≈30%
Neutrophils	≈10%
Crystals	None present
<b>Chemical Examination</b>	
Glucose	Equivalent to plasma values <sup>b</sup>
Glucose: P-SF difference	<10 mg/dL <sup>b</sup>
Uric acid	Equivalent to plasma values <sup>b</sup>
Total protein	1–3 g/dL
Lactate	9–33 mg/dL <sup>c</sup>
Hyaluronate	0.3–0.4 g/dL

<sup>a</sup>Values for fluid obtained from a knee joint.

<sup>b</sup>Synovial fluid values are equivalent to blood plasma values if obtained from a fasting patient.

<sup>c</sup>Normal lactate values are assumed to be similar to those in blood and cerebrospinal fluid; actual reference intervals have yet to be established.

(Data from Kjeldsberg CR, Knight JA: Synovial fluid. In *Body fluids*, ed 2, Chicago, 1986, American Society of Clinical Pathology Press, pp 129–152.)

Cerebrospinal Fluid Reference Intervals<sup>a</sup>

## Physical Examination

<i>Component</i>	<i>Result</i>
Color	Colorless
Clarity	Clear

## Chemical Examination

<i>Component</i>	<i>Conventional Units</i>	<i>Conversion Factor</i>	<i>SI Units</i>
Electrolytes			
Calcium	2.0–2.8 mEq/L	0.5	1.00–1.40 mmol/L
Chloride	115–130 mEq/L	1	115–130 mmol/L
Lactate	10–22 mg/dL	0.111	1.1–2.4 mmol/L
Magnesium	2.4–3.0 mEq/L	0.5	1.2–1.5 mmol/L
Potassium	2.6–3.0 mEq/L	1	2.6–3.0 mmol/L
Sodium	135–150 mEq/L	1	135–150 mmol/L
Glucose	50–80 mg/dL	0.5551	2.8–4.4 mmol/L
Total protein	15–45 mg/dL	10	150–450 mg/L
Albumin	10–30 mg/dL	10	100–300 mg/L
IgG	1–4 mg/dL	10	10–40 mg/L

## Protein Electrophoresis

	<i>Percent of Total Protein</i>
Transthyretin (prealbumin)	2–7%
Albumin	56–76%
α <sub>1</sub> -Globulin	2–7%
α <sub>2</sub> -Globulin	4–12%
β-Globulin	8–18%
γ-Globulin	3–12%

## Microscopic Examination

<i>Component</i>	<i>Conventional Units</i>	<i>Conversion Factor</i>	<i>SI Units</i>
Neonates (<1 year old)	0–30 cells/μL	10 <sup>6</sup>	0–30 × 10 <sup>6</sup> cells/L
1–4 years old	0–20 cells/μL	10 <sup>6</sup>	0–20 × 10 <sup>6</sup> cells/L
5–18 years old	0–10 cells/μL	10 <sup>6</sup>	0–10 × 10 <sup>6</sup> cells/L
Adults (>18 years old)	0–5 cells/μL	10 <sup>6</sup>	0–5 × 10 <sup>6</sup> cells/L

## Differential Cell Count

	<i>Percent of Total Count</i>
Neonates	
Lymphocytes	5–35%
Monocytes	50–90%
Neutrophils	0–8%
Adults	
Lymphocytes	40–80%
Monocytes	15–45%
Neutrophils	0–6%

<sup>a</sup>For cerebrospinal fluid specimens obtained by lumbar puncture.

### Serous Fluids—Pleural, Pericardial, Peritoneal—Reference Intervals

Note that in health serous fluids are normally not present in a sufficient amount in body cavities to be analyzed. Therefore, there are no 'normal' reference values for serous fluids.

#### Serous Fluid<sup>a</sup> Effusion: Differentiation as Transudate or Exudate

Parameter	Transudates	Exudates
<b>Causes</b>	Increased hydrostatic pressure Decreased oncotic pressure	Increased capillary permeability Decreased lymphatic absorption
<b>Physical Examination</b>		
Clarity	Clear	Cloudy
Color	Pale yellow	Variable (yellow, greenish, pink, red)
Clots spontaneously	No	Variable; often yes
<b>Microscopic Examination</b>		
WBC count	<1000 cells/μL (pleural) <300 cells/μL (peritoneal)	Variable, usually >1000 cells/μL (pleural) >500 cells/μL (peritoneal)
Differential count	Mononuclear cells predominate	Early, neutrophils predominate; late, mononuclear cells
<b>Chemical Examination</b>		
Bilirubin ratio (fluid-to-serum)	≤0.6	>0.6
Glucose	Equal to serum level	≤ Serum level
TP concentration	<50% of serum	>50% of serum
TP ratio (fluid-to-serum)	≤0.5	>0.5
LD activity	<60% of serum	>60% of serum
LD ratio (fluid-to-serum)	≤0.6	>0.6
Cholesterol ratio (fluid-to-serum)	≤0.3	>0.3

<sup>a</sup>Serous fluids include pleural, peritoneal, and pericardial fluids.

LD, Lactate dehydrogenase; TP, total protein; WBC, white blood cell.

#### Serous Fluid<sup>a</sup> Effusion: Differentiation as Chylous and Pseudochylous

Parameter	Chylous Effusion	Pseudochylous Effusion
<b>Physical Examination</b>	Milky	Milky
<b>Chemical Examination</b>		
Chylomicrons	Present	Absent
Triglycerides	>110 mg/dL (1.2 mmol/L)	<110 mg/dL (1.2 mmol/L)
Cholesterol	Usually <200 mg/dL (5.2 mmol/L)	Usually >200 mg/dL (5.2 mmol/L)
<b>Microscopic Findings</b>	Lymphocytes	Variety of cell types Lipid-laden macrophages Cholesterol crystals <sup>b</sup>
<b>Conditions</b>	Pleural effusions due to <ul style="list-style-type: none"> <li>Trauma or surgery (caused damage to thoracic duct)</li> <li>Obstruction of lymphatic system: tumors (lymphomas), fibrosis</li> </ul> Peritoneal effusions due to <ul style="list-style-type: none"> <li>Hepatic cirrhosis</li> <li>Portal vein thrombosis</li> </ul>	Chronic diseases such as <ul style="list-style-type: none"> <li>Tuberculosis</li> <li>Rheumatoid arthritis</li> <li>Collagen vascular disease</li> </ul>

<sup>a</sup>Serous fluids include pleural, peritoneal, and pericardial fluids.

<sup>b</sup>Presence confirms or establishes fluid as pseudochylous effusion.



## Body Fluid Diluents and Pretreatment Solutions

When the diluents and pretreatment solutions provided in this section are prepared in the laboratory, appropriate personal protective equipment should be used. [Table D.1](#) summarizes the common uses of these diluents and the limitations associated with some body fluids (e.g., synovial fluid).

### COMMERCIAL ISOTONIC DILUENTS

The commercial diluents used by automated hematology or body fluid analyzers can be used to prepare manual dilutions of body fluids. It is important when obtaining an aliquot for use that precautions are taken so that the primary container (used by the analyzer) is not contaminated.

#### SALINE, ISOTONIC (0.85%) OR "NORMAL SALINE"

1. Into a 100-mL volumetric flask, add 0.85 g NaCl.
2. Add approximately 60 mL Clinical Laboratory Reagent Water (CLRW)<sup>1</sup> and swirl to dissolve.
3. Add CLRW to bring the volume to the calibration mark.
4. Store at room temperature.

#### SALINE, HYPOTONIC (0.30%)

1. Into a 100-mL volumetric flask, add 0.30 g NaCl.
2. Add approximately 60 mL Clinical Laboratory Reagent Water (CLRW)<sup>1</sup> and swirl to dissolve.
3. Add CLRW to bring the volume to the calibration mark.
4. Store at room temperature.

#### DILUTE ACETIC ACID (3.0%)

This diluent lyses red blood cells (RBCs) and stains nucleated cells. As with other diluents that contain acetic acid, clumping of the body fluid will occur when a high level of protein is present. DO NOT use this solution as a diluent for synovial fluids because it will cause a mucin clot.

CAUTION: Acid.

1. Into a 100-mL volumetric flask, add approximately 60 mL Clinical Laboratory Reagent Water (CLRW).<sup>1</sup>
2. Gradually add 3.0 mL glacial acetic acid to the flask.  
(Note: ALWAYS add acid to water.)
3. After acid addition, add CLRW to bring the volume to the calibration mark.
4. Store at room temperature.

#### TURK'S SOLUTION<sup>2</sup>

This diluent lyses red blood cells (RBCs) and stains nucleated cells. As with other diluents that contain acetic acid, clumping of the body fluid will occur when a high level of protein is present. DO NOT use this solution as a diluent for synovial fluids because it will cause a mucin clot.

CAUTION: Acid.

1. To a 100-mL volumetric flask, add approximately 60 mL Clinical Laboratory Reagent Water (CLRW).<sup>1</sup>
2. Gradually add 3.0 mL glacial acetic acid to the flask.  
(Note: ALWAYS add acid to water.)
3. Add 1 mL of 0.5% methylene blue solution (see instructions that follow for making this solution) or 1 mL of 1% gentian violet (aqueous solution).
4. Add CLRW to bring volume in flask to the calibration mark.
5. Store at room temperature.

#### 0.5% Methylene Blue Solution (Used To Prepare Turk's Solution)

CAUTION: Acid.

1. To a 100-mL volumetric flask, add 0.5 g methylene blue.
2. Add approximately 60 mL Clinical Laboratory Reagent Water (CLRW).<sup>1</sup>
3. Add 0.5 mL glacial acetic acid to the flask.  
(Note: ALWAYS add acid to water.)
4. Swirl flask to mix.
5. Add CLRW to bring volume in flask to the calibration mark.
6. Store at room temperature.

## SYNOVIAL FLUID SOLUTIONS

### Hyaluronidase Pretreatment for Synovial Fluid<sup>3</sup>

For the analysis of highly viscous synovial fluids, pretreatment with hyaluronidase may be necessary *before* performing cell counts or chemical and immunologic tests. Several approaches are available.

1. Approach A: Hyaluronidase (EC 3.2.1.35) alone
  - a. Add approximately 400 units of hyaluronidase (powder or liquid) to approximately 1 mL of fluid.
  - b. Mix and incubate at 37°C for 10 minutes.<sup>3</sup> Note that if *liquid* hyaluronidase is used, the volume used must be accounted for in the cell count calculations.

### 2. Approach B: Buffered hyaluronidase<sup>2</sup>

For each milliliter of synovial fluid, add 1 drop of 0.05% buffered hyaluronidase. Mix and incubate at room temperature for 4 minutes.<sup>2</sup>

#### 0.05% Buffered Hyaluronidase

- a. Into a 100-mL volumetric flask, add 50 mg Type 1-S hyaluronidase (EC 3.2.1.35).
  - b. Add 0.912 g potassium phosphate monobasic (FW 136.09).
  - c. Add approximately 60 mL Clinical Laboratory Reagent Water (CLRW)<sup>1</sup> and swirl to dissolve.
  - d. Add CLRW to bring the volume to the calibration mark.
  - e. Store at 2°C to 5°C.
3. Approach C: Add 0.1 mL Type 1-S hyaluronidase (EC 3.2.1.35) to 0.9 mL synovial fluid. Mix well and incubate at 37°C for 4 hours.<sup>2</sup>

### Hyaluronidase (0.1 g/L) Diluent for Cell Counts in Synovial Fluid<sup>2</sup>

Before diluting a synovial fluid, the specimen should be mixed for 5 to 10 minutes.<sup>3</sup> Note that for turbid specimens, the base of the tube should be flicked several times to dislodge cells before mixing. For accurate cell counts, turbid specimens must be thoroughly mixed.

1. To prepare 100 mL of hyaluronidase diluent, prepare 0.067 mol/L phosphate buffer as follows:
  - a. Prepare 250 mL of Solution A: In a 250-mL volumetric flask, dissolve 2.279 g monobasic potassium phosphate (MW 136.09) in Clinical Laboratory Reagent Water (CLRW).<sup>1</sup>
  - b. Store at 2°C to 5°C. Stable for 3 months.
  - c. Prepare 500 mL of Solution B: In a 500-mL volumetric flask, dissolve 4.756 g dibasic sodium phosphate (MW 141.96) in CLRW. Store at 2°C to 5°C. Stable for 3 months.
  - d. Combine 13-mL solution A, 87-mL solution B, and 13-mL absolute methanol. Store at 2°C to 5°C. Stable for 3 months.
2. In a 100-mL volumetric flask, add the following chemicals:
  - a. 10.0 mg hyaluronidase, Type 1-S (EC 3.2.1.35)
  - b. 40.0 mg dextrose
  - c. 8.0 mg toluidine blue O (CAS 92-31-9)
3. Fill flask approximately half full using 0.067 mol/L phosphate buffer. Swirl flask to dissolve chemicals. Bring volume to calibration mark using buffer.
4. Store at 2°C to 5°C. Stable for 3 months. Filter before use if necessary.

TABLE D.1 Common Uses and Limitations of Diluents

Solution	Use	Comments
Commercial isotonic diluents	WBC count RBC count	Diluent used in hematology analyzers for cell counting
Isotonic saline (0.85%)	WBC count RBC count	Also known as "normal" saline
Hypotonic saline (0.30%)	WBC count	<ul style="list-style-type: none"> <li>• Lyses RBCs</li> </ul>
Dilute acetic acid (3.0%)	WBC count	<ul style="list-style-type: none"> <li>• Lyses RBCs</li> <li>• <i>Do not use</i> with synovial fluids; causes mucin clot and cell clumping</li> </ul>
Turk's solution	WBC count	<ul style="list-style-type: none"> <li>• Lyses RBCs</li> <li>• <i>Do not use</i> with synovial fluids; causes mucin clot and cell clumping</li> </ul>
Hyaluronidase pretreatment solutions	Pretreatment of synovial fluid	Reduces viscosity, enabling accurate cell counts and crystal detection/identification
Hyaluronidase (0.1 g/L) diluent	Synovial fluid WBC count	<ul style="list-style-type: none"> <li>• Prevents mucin clot formation in synovial fluids</li> <li>• Stain enhances nucleated cell identification</li> </ul>
Semen pretreatment solutions	Pretreatment of semen	Reduces viscosity of semen that failed to liquefy to enable accurate sperm counts (and cell counts, when performed)
Semen diluent	Sperm count	Prepare with or without stain; stain enhances visualization of sperm

RBC, Red blood cell; WBC, white blood cell.

**Note:** At all times when reagents are prepared, appropriate personal protective equipment (PPE) should be used.

## SEMEN SOLUTIONS

### Semen Pretreatment Solutions

Two treatment solutions are provided that can be used to reduce the viscosity of mucoid semen specimens that fail to liquefy adequately after 60 minutes. The effects of these treatments on sperm motility, sperm morphology, and biochemical tests of seminal plasma are not known. Document use of these solutions, and account for the volume used when performing sperm concentration calculations.

#### A. Dilution With Physiologic Solution

Prepare a 1-to-2 dilution of the semen (one part semen + one part diluent) using Dulbecco's phosphate-buffered saline (pH 7.4). After the dilution is prepared, liquefaction can be enhanced by repeatedly pipetting the mixture.

#### Dulbecco's Phosphate-Buffered Saline (pH 7.4)<sup>4</sup>

1. Into a 1-L volumetric flask, add the following substances:  
Approximately 750 mL Clinical Laboratory Reagent Water (CLRW)<sup>1</sup>  
8.00 g sodium chloride (NaCl)  
1.00 g D-glucose  
0.20 g potassium chloride (KCl)  
0.20 g potassium dihydrogen phosphate (KH<sub>2</sub>PO<sub>4</sub>)  
2.16 g disodium hydrogen phosphate heptahydrate (Na<sub>2</sub>HPO<sub>4</sub>•7H<sub>2</sub>O)  
0.10 g magnesium chloride hexahydrate (MgCl<sub>2</sub>•6H<sub>2</sub>O)
2. In a 10-mL volumetric flask, dissolve 0.132 g of calcium chloride dihydrate (CaCl<sub>2</sub>•2H<sub>2</sub>O).
3. To prevent precipitation, add the calcium chloride dihydrate solution (10 mL) to the 1-L flask *slowly and with stirring*.
4. Bring to within ≈3 mL of calibration mark using CLRW and mix.
5. Adjust to pH 7.4 using 1 mol/L sodium hydroxide (NaOH).
6. After pH adjustment, bring volume to 1-L calibration mark using CLRW.

#### B. Digestion With Bromelain

The proteolytic enzyme bromelain can be used to enzymatically digest and liquefy the semen specimen. Prepare a 1-to-2 dilution of the semen (i.e., one part semen+one part bromelain solution) using bromelain solution (see instructions that follow for making this solution) and mix well. After the dilution is prepared, incubate at 37°C for 10 minutes. Mix well before analysis.

#### Bromelain Solution (10 IU/mL)<sup>4</sup>

1. Into a 100-mL volumetric flask, add 1000 IU of bromelain (EC 3.4.22.32).
2. Add approximately 60 mL Dulbecco's phosphate-buffered saline (for preparation, see previous instructions).
3. Swirl flask to dissolve; then bring volume to calibration mark using buffered saline.

### Semen Diluent for Sperm Counts

1. Into a 100-mL volumetric flask, add the following substances:  
≈60 mL Clinical Laboratory Reagent Water (CLRW)<sup>1</sup>  
5 g sodium bicarbonate  
1 mL 35% (v/v) formalin  
Optional (to enhance visualization of sperm heads): 25 mg Trypan blue or 5 mL saturated gentian violet (>4 mg/mL)<sup>4</sup>
2. Swirl to dissolve.
3. Bring volume to 100 mL calibration mark using CLRW.
4. Store at 4°C. If crystals form, filter before use.

## REFERENCES

1. Clinical and Laboratory Standards Institute (CLSI): *Preparation and testing of reagent water in the clinical laboratory: approved guideline*, CLSI. CLSI Document GP40-A4-AMD, ed 4, Wayne, PA, 2006.
2. Kjeldsberg CR, Knight JA: *Laboratory methods. In Body fluids*. ed 3, Chicago: American Society of Clinical Pathology Press; 1993.
3. Clinical and Laboratory Standards Institute (CLSI): *Body fluid analysis for cellular composition: approved guideline*, CLSI Document H56-A, Wayne, PA, 2007.
4. World Health Organization: *WHO laboratory manual for the examination and processing of human semen*. ed 5, Geneva, Switzerland: World Health Organization; 2010.

## Manual and Historic Methods of Interest

The methods in this section are provided in alphabetical order according to the analyte of interest. When preparing reagents and performing these tests, appropriate personal protective equipment must be used. Note that clinical laboratory reagent water (CLRW) is indicated in each procedure; however, for reagent preparation, distilled or deionized water is also acceptable.

**CYSTINE CONFIRMATORY TEST — NITROPRUSSIDE REACTION<sup>1</sup>****Principle**

Cystine is reduced to cysteine by sodium cyanide. As a result, the free sulfhydryl groups (-SH) of cysteine are available to react with nitroprusside in an ammonium solution to produce a red-purple chromophore.

**Reagents**

Ammonium hydroxide, 10%

Dilute 17 mL concentrated ammonium hydroxide to 100 mL using clinical laboratory reagent water (CLRW). Stable for 1 year at 15 to 30°C.

Sodium cyanide, 5% (w/v)

Dissolve 5 g sodium cyanide in ~20 mL CLRW. Once dissolved, dilute to 100 mL using CLRW. As a preservative, add 200 µL of a 1 to 2 dilution of concentrated ammonium hydroxide. Stable for 1 year at 2 to 8°C.

Sodium nitroprusside, 5% (w/v)

Crush sodium nitroprusside crystals to create a fine powder. Store powder in a tightly covered container. Dissolve 0.5 g of powdered sodium nitroprusside in ~3 mL CLRW. Once dissolved, dilute to 10 mL with CLRW. Stable for 1 week at 2 to 8°C.

**Controls**

Positive control: cystine, 0.5 mg/mL

Negative control: "normal" urine specimen

**Procedure**

1. Analyze controls at the same time as testing the unknown specimen.
2. In a small test tube, add 3 to 4 drops of urine sediment.
3. Add 1 drop 10% ammonium hydroxide.
4. Add 2 drop 3% sodium cyanide.
5. Mix gently and wait 5 minutes.
6. Add 2 to 3 drops 5% sodium nitroprusside.
7. Evaluate for color immediately.
8. Results: The development of a *stable red-purple color* indicates a positive test (i.e., the presence of cystine). Note that with time the color can change to orange-red.

**HEMOSIDERIN — PRUSSIAN BLUE REACTION (ROUST TEST)<sup>2,3</sup>****Principle**

Hemosiderin can be present in urine as yellow-brown granules that can be free floating or within casts, tubular epithelial cells, or macrophages. In this test, the iron of hemosiderin reacts with potassium ferrocyanide to form ferric ferrocyanide or Prussian blue.

**Reagents**

Hydrochloric acid, 1% (v/v)

Slowly add 5 mL concentrated hydrochloric acid to 250 mL of CLRW. Wait briefly, then dilute to 500 mL. Stable for 1 year at 15 to 30°C.

Potassium ferrocyanide, 2% (w/v)

Dissolve 10 g potassium ferrocyanide in ~50 mL CLRW. Once dissolved, dilute to 500 mL using CLRW. Protect from light; store in a brown bottle. Stable for 1 year at 15 to 30°C.

Working potassium ferrocyanide reagent

Immediately before use, combine 15 mL of 2% potassium ferrocyanide with 15 mL of 1% hydrochloric acid.

**Controls**

Positive control: urine from known positive specimen.

Aliquots stable for 1 year; store at -20°C.

Negative control: "normal" urine sediment that contains some cells.

**Procedure**

1. Analyze controls at the same time as testing the unknown specimen.
2. Prepare a concentrated urine sediment (e.g., 12 to 1 concentration) of unknown specimen by centrifuging at 450 × g for 5 minutes; no brake.
3. Remove supernatant and resuspend sediment. Add 10 mL of working potassium ferrocyanide reagent.
4. Allow to stand for 10 minutes.
5. Centrifuge again at 450 × g for 5 minutes; no brake.
6. Discard supernatant.
7. Using brightfield microscopy, examine the sediment using high power (×400) for blue granules free floating or within cells or casts. A cytospin slide of the urine sediment can also be prepared for viewing.
8. If negative (no blue coloration of granules), allow sediment to stand for an additional 30 minutes.
9. Reexamine sediment. If no blue granules after 30 minutes, the test is negative for hemosiderin.
10. Results: Positive test is the presence of blue granules.



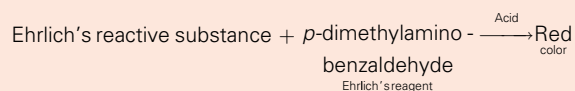
## PORPHOBILINOGEN—HOESCH TEST<sup>4</sup>

### Introduction

The Hoesch test is a colorimetric screening test for porphobilinogen in urine. It is sensitive and specific, as well as rapid and easy to perform. The intensity of color produced relates directly to porphobilinogen concentration; however, quantitation is not performed by this method. The Hoesch test detects porphobilinogen concentrations as low as 2 mg/dL. At times, test interpretation can be difficult because of the development of atypical colors. To resolve questionable results, the Hoesch test can be confirmed using the Watson-Schwartz test.

### Principle

The Hoesch test is basically an “inverse” Ehrlich’s reaction (Equation E.1). “Inverse” in that the ratio of the volume of urine to reagent is reversed. This causes the reaction mixture to be highly acidic, which prevents urobilinogen from reacting, except when present in extremely high concentrations (i.e., >20 mg/dL).



Equation E.1 Ehrlich’s Reaction

A large amount of indoles and some drugs (e.g., phenazopyridine [Pyridium], methyl dopa [Aldomet]) can cause false-positive or questionable results (i.e., atypical colors).

### Reagents

Hydrochloric acid (HCl), 6 mol/L

To 50 mL CLRW, slowly and carefully add 50 mL concentrated hydrochloric acid. **Note:** ALWAYS add acid to water. Stable for 1 year at 15 to 30°C.

Hoesch reagent (modified Ehrlich’s reagent)

Dissolve 2 g *p*-dimethylaminobenzaldehyde in ~20 mL 6 mol/L HCl. Once dissolved, dilute to 100 mL using 6 mol/L HCl. Stable for 1 year at 15 to 30°C.

### Controls

Negative control: Urinalysis commercial control; negative for porphobilinogen.

Positive control: Known positive porphobilinogen urine sample. Store at –70°C (preferred) or –20°C.

### Procedure

1. Analyze controls at the same time as testing the unknown specimen.
2. Label a test tube for each sample.
3. Into each tube, add 2 mL of Hoesch reagent.
4. Add 2 drops of urine (or control) to the appropriate tube.
5. Observe for color immediately.
6. Results: The development of a *deep pink* or *red* color at the interface of the reagent and urine is positive for porphobilinogen (Fig. E.1). If the tube is shaken, the color disperses throughout the mixture.

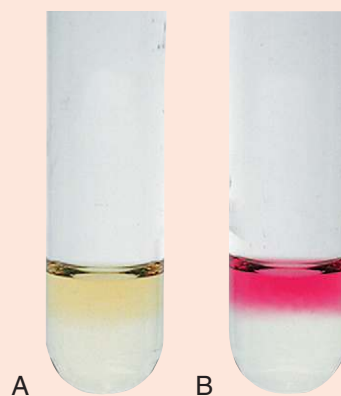


FIG. E.1 The Hoesch test (urine + reagent) before the tube is mixed. **A**, Negative test. **B**, Positive test.

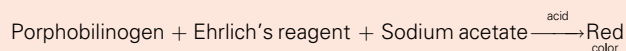
## PORPHOBILINOGEN—WATSON-SCHWARTZ TEST<sup>4</sup>

### Introduction

The Watson-Schwartz test is a modification of the original Ehrlich’s reaction (see Equation E.1) and can be used as a screening test for urine porphobilinogen. Porphobilinogen is an *Ehrlich’s reactive substance* and will form a red chromophore; it is differentiated from other *Ehrlich’s reactive substances* based on its solubility characteristics with respect to pH and solvent type. The Watson-Schwartz test is more sensitive than the Hoesch test and is capable of detecting urine porphobilinogen concentrations greater than 0.6 mg/dL.<sup>4</sup>

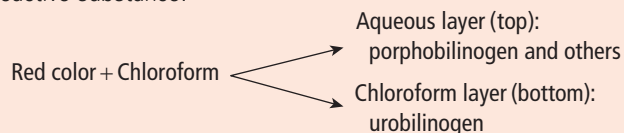
### Principle

The modified Ehrlich’s reaction is performed, and a positive test is indicated by the development of a characteristic red or magenta color (the aldehyde chromophore; Equation E.2).

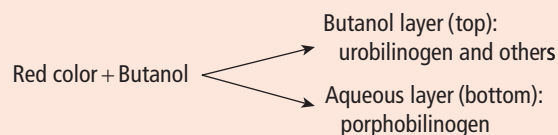


Equation E.2 Modified Ehrlich’s Reaction

If the mixture does not show a red color, or if an orange color develops, the test is negative and no further testing is done. If the mixture develops a red color, an extraction with solvents that differ slightly in polarity is performed (Equations E.3 and E.4). The layer in which the red chromophore resides identifies the substance present as porphobilinogen or other Ehrlich’s reactive substance.



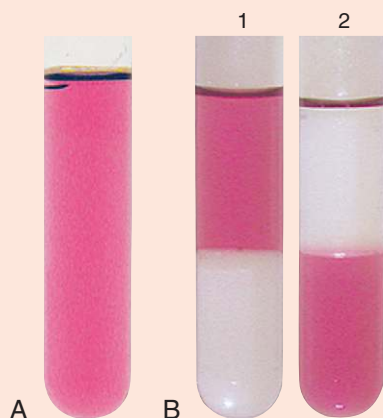
Equation E.3



Equation E.4

## PORPHOBILINOGEN — WATSON-SCHWARTZ TEST<sup>4</sup>—cont'd

An example of the Watson-Schwartz test is provided in Fig. E.2, and Table E.1 provides a summary for result interpretation.



**FIG. E.2** **A**, A positive Ehrlich's reaction, which indicates the presence of an Ehrlich reactive substance in the urine. **B**, A modified Watson-Schwartz test using the same urine: *tube 1* is the chloroform extraction; *tube 2* is the butanol extraction. The test is positive for porphobilinogen.

**TABLE E.1** Watson-Schwartz Test Result Summary

Result	Modified Ehrlich Reaction	Chloroform Layer (bottom)	Butanol Layer (top)
Negative	No pink color <sup>a</sup>	—	—
Urobilinogen positive	Pink	Pink	(Pink) <sup>b</sup>
Porphobilinogen positive	Pink	No color	No color
Positive for <i>other</i> Ehrlich reactive substances	Pink	No color	Pink

<sup>a</sup>The urine mixture is usually colorless or pale yellow; the yellow color from urea varies with urine concentration.

<sup>b</sup>When sequentially extracting, if urobilinogen remains in the aqueous layer due to insufficient chloroform extraction, it will extract into the butanol layer during the second extraction.

### Reagents

Hydrochloric acid (HCl), 6 mol/L

To 50 mL CLRW, slowly and carefully add 50 mL concentrated hydrochloric acid. **Note:** ALWAYS add acid to water. Stable for 1 year at 15 to 30°C.

Ehrlich's reagent (modified Ehrlich's reagent)

Dissolve 0.7 g *p*-dimethylaminobenzaldehyde in 100 mL CLRW. Slowly and carefully add 150 mL of concentrated HCl. Protect from light; store in a brown bottle. Reagent should be colorless or very light yellow; discard if color darkens. Stable for 1 year at 15 to 30°C.

Sodium acetate, saturated

To a bottle of reagent-grade sodium acetate, add CLRW. Shake. For about 1 week, add additional CLRW daily and

shake. **Note:** There should always be a layer of undissolved crystals at the bottom of the bottle.

Chloroform, reagent grade

Butanol, reagent grade

### Controls

Negative control: Urinalysis commercial control; negative for porphobilinogen.

Positive control: Known positive porphobilinogen urine sample. Store at –70°C (preferred) or –20°C.

### Procedure

- Analyze controls at the same time as testing the unknown specimen.
- Label a 16 × 125-mm test tube for each sample (unknown, positive control, negative control).
- Into each tube, add 2 mL of appropriate urine sample.
- Add 2 mL of Ehrlich's reagent to each tube. Mix by inversion.
- To each tube, immediately add 4 mL saturated sodium acetate, and mix by inversion.
- Observe for color development.
  - If no color develops, the test is negative for porphobilinogen and other Ehrlich's reactive substances.
  - If a pink (magenta) or red color develops or color development is uncertain, proceed to the next step.
- Chloroform extraction:* If a pink or red color develops, add 4 mL chloroform to the tube, stopper, and *shake vigorously*. **Note:** Use rubber stoppers or corks; parafilm *cannot* be used—it will disintegrate.
- Allow tube to stand until the chloroform and aqueous layers separate; separation can be hastened by centrifugation.
- Observe visually to determine into which layer(s) the red chromophore is extracted.
  - Red color in the chloroform phase (bottom layer) indicates increased amounts of urobilinogen; test is negative for porphobilinogen, and no further testing is performed.
  - Red color in the aqueous phase (top layer) indicates porphobilinogen or another Ehrlich's reactive substance; proceed to the butanol extraction (next step).
  - Red color in *both* phases indicates a need to reextract the aqueous layer with chloroform to ensure complete extraction of the red chromophore for proper identification.
- Butanol extraction:* Transfer 4 mL of the aqueous phase (upper layer) to a 16 × 125-mm test tube. Add 4 mL butanol, stopper, and *shake vigorously*. **Note:** Use rubber stoppers or corks; parafilm *cannot* be used—it will disintegrate.
- Allow tube to stand until the butanol and aqueous layers separate; separation can be hastened by centrifugation. Note that the aqueous layer is now at the bottom.
- Observe visually to determine into which layer(s) the red chromophore is extracted.
  - Red color in the aqueous phase (bottom layer) indicates porphobilinogen.
  - Red color in the butanol phase (top layer) indicates other Ehrlich's reactive substances, including urobilinogen.
- Note that a *false-positive* Watson-Schwartz test for porphobilinogen can be caused by the following substances: phenazopyridine (Pyridium; an analgesic), methyl dopa (Aldomet; an antihypertensive), and dyes such as methyl red present in benzalkonium chloride (Zephiran; an antiseptic solution).

## PROTEIN—SULFOSALICYLIC ACID PRECIPITATION TEST

### Introduction

Various procedures are available for performance of the sulfosalicylic acid (SSA) test; these methods differ in the volume of centrifuged urine used (3 mL vs. 11 mL) and the concentration of the SSA reagent (3.0% vs. 7.0%). Despite these differences, the final solution concentration (urine plus reagent) is the same: 0.015 g of SSA per milliliter of total solution.

Because particulate matter suspended in urine can interfere with turbidity assessment, the SSA test is performed on clear supernatant urine after centrifugation (see procedure). After room temperature incubation, the tube is inverted and the precipitation reaction is evaluated using room light or an agglutination mirror, depending on the laboratory procedure. The precipitation is typically graded as negative, trace, 1+, 2+, 3+, or 4+ (Fig. E.3 and Table E.2); however, some institutions report concentration values (milligrams per deciliter) that correspond to the standards used or the albumin values obtained when reagent strips are used. Note that the SSA test is sensitive to 5 to 10 mg/dL of protein, regardless of the type of protein present.

Radiographic contrast media can be excreted in the urine for up to 3 days after a radiographic procedure and can produce a *delayed* positive SSA protein precipitation test.<sup>5</sup> If the presence of radiographic contrast media is suspected in a specimen because of an abnormally high specific gravity result, or if lack of correlation is noted between the SSA method and the reagent strip protein test, the SSA precipitate should be viewed microscopically. Drugs (e.g., penicillins) and contrast media form crystalline precipitates, whereas protein precipitates are amorphous. When the SSA result is crystalline, protein results obtained by using the reagent strip can be reported. When the SSA precipitate is amorphous, the discrepancy between the SSA and the reagent strip method is highly indicative of the presence of urinary proteins other than albumin (e.g., globulins, immunoglobulin light chains [Bence Jones protein]), and further investigation (e.g., protein electrophoresis) is required.

Although rare, false-negative or decreased SSA results for protein can be obtained with highly buffered or extremely alkaline (pH  $\geq$  9.0) urine. In these cases, the precipitating reagent (acid) is neutralized, leading to erroneous results. Because urine specimens exceeding pH 8.0 are not physiologically possible and indicate contamination or improper storage, they should not be tested. However, if a highly alkaline urine is acidified to approximately pH 5.0 and retested using SSA, an accurate protein result can be obtained.<sup>6</sup>

Note that the SSA test should not be used to confirm a protein result obtained by reagent strip because it lacks protein specificity, and its sensitivity varies with the proteins present. At times, there can be a discrepancy between protein results obtained using the reagent strip and the SSA test; possible causes are listed in Table E.3.

**TABLE E.2 Sulfosalicylic Acid Precipitation Grading Guideline**

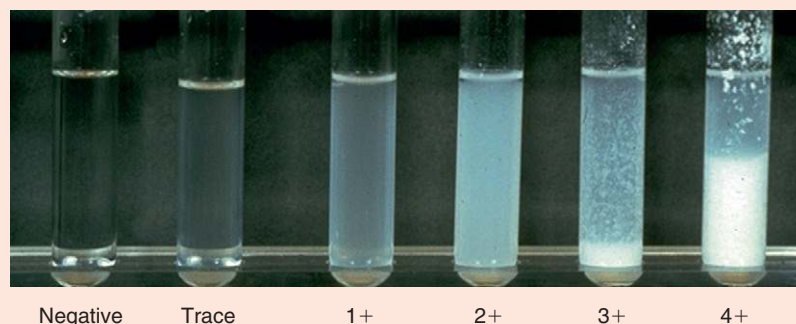
Result	Observations	Appropriate Protein Concentration <sup>a</sup>
Negative	No turbidity or increase in turbidity <ul style="list-style-type: none"> <li>When the tube is viewed from the top, a circle is visible in the bottom of the test tube<sup>b</sup></li> </ul>	<5 mg/dL
Trace	Perceptible turbidity <ul style="list-style-type: none"> <li>When the tube is viewed from the top, a circle is <i>not</i> visible in the test tube bottom</li> <li>Can read newsprint through mixture</li> </ul>	5–20 mg/dL
1+	Distinct turbidity <i>without</i> discrete granulation <ul style="list-style-type: none"> <li><i>Cannot</i> read newsprint through mixture</li> </ul>	30 mg/dL
2+	Turbidity with granulation; <i>no</i> flocculation <sup>c</sup>	100 mg/dL
3+	Turbidity with granulation <i>and</i> flocculation	300 mg/dL
4+	Large clumps of precipitate or a solid mass	$\geq$ 500 mg/dL

<sup>a</sup>This value correlates with the reagent strip result if the only protein present is albumin.

<sup>b</sup>While holding a test tube filled with a clear solution vertically, view the bottom of the tube looking through the solution from the top. A circle formed by the tube bottom is visible. As a solution increases in turbidity, this circle will no longer be evident.

<sup>c</sup>Flocculation is the association of particulates or precipitates to form small clumps or aggregates called *floc*.

SSA Test: Albumin Standards



**FIG. E.3** A series of albumin standards analyzed using the sulfosalicylic acid precipitation test.

## PROTEIN—SULFOSALICYLIC ACID PRECIPITATION TEST<sup>1</sup>—cont'd

**TABLE E.3 Comparison of Reagent Strip and SSA Protein Test Results**

Reagent Strip Result	SSA Result	Possible Explanations
Positive	Negative	<ul style="list-style-type: none"> <li>Highly alkaline or buffered urine <i>with no albumin present</i> <ul style="list-style-type: none"> <li>– False-positive reagent strip test</li> </ul> </li> <li>Highly alkaline or buffered urine <i>with albumin present</i> <ul style="list-style-type: none"> <li>– False-negative SSA test</li> </ul> </li> <li>To differentiate, acidify urine to pH ~ 5.0 and retest</li> </ul>
Negative	Positive <sup>a</sup>	<ul style="list-style-type: none"> <li>Protein other than albumin present</li> <li>Radiographic contrast media present</li> <li>Drugs and/or drug metabolites present</li> </ul>

<sup>a</sup>Examine precipitate microscopically—drugs and radiographic media form crystalline precipitates, whereas protein precipitates are amorphous.

### Principle

Sulfosalicylic acid precipitates all proteins in urine; hence this test detects albumin and globulins, including immunoglobulin light chains (i.e., Bence Jones protein) and glycoproteins.

### Reagents

Sulfosalicylic acid reagent (SSA), 7% (w/v)  
Dilute 70.0 g 5-sulfosalicylic acid dihydrate to 1 L using CLRW. Stable 6 months at 15 to 30°C.

### Controls

Negative control: CLRW.  
Positive control: Dilute 1 mL of a human (albumin) control to 100 mL using 0.85% saline. Stable 2 months at 2 to 8°C.

### Procedure

- Analyze controls at the same time as testing the unknown specimen.
- Pour 12 mL well-mixed urine into a tube. Centrifuge at 450 × *g* for 5 minutes; no brake.
- Carefully transfer 11 mL of supernatant (all but sediment) into a 16 mm × 125 mm glass tube.
- Add 3 mL SSA reagent to the supernatant. **Note:** When smaller volumes of supernatant are used, add 1 part SSA reagent to 4 parts urine supernatant.
- Parafilm tube and mix by inverting the tube *twice*.
- Allow to stand undisturbed for exactly 10 minutes. Then invert *twice*.
- Observe the mixture in the tube. Turbidity and precipitate are graded as described in Table E.2; see Fig. E.3 for examples of each grade.

## SULFONAMIDE CONFIRMATORY TEST—DIAZO REACTION

### Principle

Free amino groups of sulfonamides are diazotized by sodium nitrite. The excess sodium nitrite is destroyed by the addition of ammonium sulfamate. Next, the diazotized sulfanilamide combines with *N*-(1-naphthyl) ethylenediamine dihydrochloride (sulfa dye reagent) to form a red-purple azo dye.

### Reagents

Hydrochloric acid, 0.1 mol/L

Dilute 8.3 mL concentrated hydrochloric acid to 1 L using CLRW. Stable for 1 year at 15 to 30°C.

Sodium nitrite (NaNO<sub>2</sub>), 0.1% (w/v)

Dissolve 0.1 g sodium nitrite in ~20 mL CLRW. Once dissolved, dilute to 100 mL using CLRW. Stable for 2 weeks at 2 to 8°C.

Ammonium sulfamate, 0.5% (w/v)

Dissolve 0.50 g of ammonium sulfamate in ~20 mL CLRW. Once dissolved, dilute to 100 mL with CLRW. Stable for 1 year at 15 to 30°C.

Sulfa dye reagent, 0.1% (w/v)

Dissolve 0.10 g *N*-(1-naphthyl) ethylenediamine dihydrochloride in ~20 mL CLRW. Once dissolved, dilute to 100 mL

using CLRW. Protect from light; store in a brown bottle. Stable for 1 year at 2 to 8°C.

### Controls

Positive control: sulfanilamide, 0.2 mg/mL  
Negative control: unknown urine specimen

### Procedure

- Analyze controls at the same time as testing the unknown specimen.
- In individual small test tubes, add 3 to 4 drops of urine sediment or controls.  
*To each test tube:*
  - Add 2 drops 10% hydrochloric acid; wait 3 seconds.
  - Add 2 drops 0.1% sodium nitrite; wait 30 to 60 seconds.
  - Add 2 drops 0.5% ammonium sulfamate.
  - Add 2 to 3 drops sulfa dye reagent.
  - Evaluate for color immediately.
  - Results: The development of a *red-purple (magenta) color* indicates a positive test (i.e., the presence of sulfonamide).



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# ANSWER KEY

## CHAPTER 1

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1. D
2. B
3. A
4. A
5. C
6. C
7. D
8. B
9. B
10. B
11. D
12. D
13. C
14. A
15. A 3  
B 1  
C 2  
D 3  
E 3  
F 2
16. C
17. A
18. C
19. D
20. B

### Case 1.1

1. E
2. C

## CHAPTER 2

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1. B
2. B
3. D
4. A
5. C
6. B
7. C
8. C  
A  
A  
A  
C  
B  
A
9. D
10. C
11. A

12. B
13. B

## CHAPTER 3

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1. A 10  
B 7  
C 6  
D 5  
E 1  
F 4  
G 3  
H 2  
I 8  
J 9  
K 11
2. C
3. A
4. C
5. A
6. A
7. D
8. A
9. A
10. D
11. D
12. A
13. A
14. C
15. C
16. A
17. C
18. A
19. C
20. D
21. B
22. D
23. C
24. A
25. B
26. C
27. C
28. D
29. A
30. B
31. A

## CHAPTER 4

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1. C
2. A

3. D
4. B
5. D
6. A
7. D
8. A
9. B
10. A
11. C
12. C
13. C
14. C
15. C
16. D
17. C
18. B
19. C
20. C
21. A
22. B
23. B
24. A
25. C
26. B
27. B
28. B
29. A. Yes  
B. Yes  
C. Hypo-osmotic
30. C
31. B
32. D
33. D
34. D
35. A. 42 mL/min (Note that the plasma and urine creatinine results must first be converted to the same units.)  
B. 58 mL/min  
C. Yes
36. A
37. B
38. B
39. A
40. C
41. C
42. A
43. D

### Case 4.1

1. Surface area: 1.80
2. Normalized creatinine clearance: 55 (54.7) mL/min
3. 95 mg/day
4. 66 µg/min
5. 50 µg/mg creatinine

### Case 4.2

1. Because of damage to the hypothalamus or the posterior pituitary, antidiuretic hormone production is partially or

totally deficient. Consequently, tubular reabsorption of water does not occur and polyuria results.

2. A
3. D
4. C
5. B
6. F
7. F
8. F
9. T

## CHAPTER 5

1. D
2. D
3. A
4. C
5. A 2, 3  
B 8  
C 4, 7  
D 7 (4)  
E 6 (4)  
F 3, 7  
G 1  
H 2  
I 5
6. A
7. D
8. B
9. A
10. A
11. A 1  
B 2  
C 1  
D 2  
E 2  
F 1  
G 1  
H 2  
I 2  
J 2  
K 1  
L 1
12. D
13. A
14. A 5  
B 3  
C 1  
D 1, 2  
E 5  
F 1, 4
15. A
16. D
17. A
18. A
19. D
20. C

**Case 5.1**

1. B
2. D

**Case 5.2**

1. D
2. C

**CHAPTER 6**

1. C
2. D
3. B
4. C
5. A
6. C
7. C
8. B
9. B
10. A
11. A
12. D
13. A 3  
B 2  
C 3  
D 1  
E 4  
F 2  
G 1  
H 2  
I 3
14. A
15. C
16. D
17. B
18. A
19. D
20. B
21. D
22. D
23. D
24. B
25. D
26. B
27. D
28. D
29. B
30. D
31. B
32. D
33. C
34. C
35. D
36. B
37. D
38. C

39. B
40. D
41. C
42. D
43. D
44. C
45. D
46. C

**Case 6.1**

1. Abnormal findings:  
Physical examination—large amount of white foam  
Chemical examination—glucose, blood, protein
2. B
3. The changes in the glomerular filtration barrier that are now allowing increased quantities of plasma proteins to pass with the ultrafiltrate into the tubules are also enabling red blood cells to pass. Once in the tubular lumen, red blood cells ultimately are eliminated in the urine.
4. No. To affect the protein reaction pad, large amounts of hemoglobin (>5 mg/dL) are needed, and when this amount of hemoglobin is present, the blood reaction always will read “large.” However, note that it is possible to have a negative protein test with a “large” blood reaction.
5. A
6. Albumin
7. This patient has inadequate insulin, resulting in a blood glucose concentration that exceeds the renal tubular reabsorptive capacity ( $T_m$ )—that is, too much glucose is in the ultrafiltrate to be reabsorbed, and the excess is excreted in the urine.

**Case 6.2**

1. Abnormal findings:  
Physical examination—slightly cloudy  
Chemical examination—protein  
Discrepant results: Protein results by reagent strip and urine total protein result do not agree.
2. Protein(s) other than albumin are present.
3. B
4. C

**Case 6.3**

1. Abnormal urine findings:  
Physical examination—color  
Chemical examination—blood, protein  
Abnormal blood results: creatine kinase and myoglobin
2. C
3. D
4. The trace protein result is from albumin. The amount of protein presented to the tubules for reabsorption is increased owing to myoglobin. Because this reabsorptive process is nonselective, an increased amount of albumin is not reabsorbed and is excreted in the urine.
5. During surgery while patients are under anesthesia and are placed in various positions (e.g., Simon’s position), the blood supply to muscles can be inadvertently reduced,



causing tissue hypoxia. The damaged muscle tissue releases myoglobin into the bloodstream, which is subsequently cleared from the plasma by the kidneys.

### Case 6.4

- Abnormal findings:  
Odor and chemical examination—glucose, ketone  
Discrepant results: Specific gravity by reagent strip and refractometers does not agree.
- Very high glucose concentrations cause the Clinitest reaction rapidly to turn to orange (indicates high concentration) and continue to change color. At the appropriate read time, the reaction mixture best matches the green/blue colors that represent a low glucose concentration.
- If the entire Clinitest reaction is not observed, the pass-through effect would not be seen and a falsely low glucose result may be reported.
- No
- B, based on sudden onset of symptoms and age
- Because of the inability of the body to utilize the carbohydrates (glucose) available, increased fatty acid metabolism is providing energy for bodily functions. This increases the amount of acetyl coenzyme A produced, which overwhelms the capacity of the liver to process it via the Krebs cycle and results in ketogenesis (i.e., ketonemia and ketonuria).
- The refractometer result is detecting the glucose present, whereas the reagent strip result is not. The reagent strip specific gravity more accurately reflects the ability of the kidney to concentrate the urine (a process involving the normal and small ionic solutes usually present in urine) because it is not affected by high-molecular-weight solutes (e.g., glucose), which normally are not present in urine.

### Case 6.5

- Abnormal urine findings:  
Physical examination—color, clarity, yellow foam  
Chemical examination—protein, Ictotest
- Bilirubin
- The most likely reason is that the amount of bilirubin present is too low and is not detected by the reagent strip. The Ictotest has a lower detection limit than the reagent strip test.
- Report the bilirubin as positive.
- Once formed and conjugated by hepatocytes, bilirubin normally is conveyed to the bile ducts for excretion into the intestinal tract via the common bile duct. During inflammatory liver processes (e.g., hepatitis, cirrhosis), conjugated bilirubin can “leak” back into the systemic circulation. In the kidneys, conjugated bilirubin readily passes the glomerular filtration barriers and appears in the urine.
- Conjugated bilirubin, which is small enough to cross the glomerular filtration barrier. Unconjugated bilirubin in the bloodstream is bound to albumin, making it too large to pass a healthy glomerular filtration barrier.
- The urobilinogen is normal because its formation (in the gastrointestinal tract) and processing essentially

are unaffected by this patient’s disorder (i.e., hepatitis). However, in severe cases of liver disease, the urine urobilinogen can be increased. This increase occurs when the liver is no longer functionally capable of removing its usual percentage (15–18%) of urobilinogen from the portal blood (absorbed from the intestine).

## CHAPTER 7

- D
- D
- C
- B
- A
- C
- D
- D
- B
- C
- D
- B
- A 4  
B 3  
C 1  
D 2  
E 5
- B
- A
- D
- A
- A
- D
- C
- B
- A
- B
- A 3  
B 1  
C 3  
D 2  
E 1  
F 1  
G 3  
H 1  
I 3  
J 1  
K 1
- A 8  
B 6  
C 4  
D 5  
E 1  
F 9  
G 7
- A
- A
- B

- 29. A
- 30. D
- 31. B
- 32. A
- 33. A
- 34. C
- 35. A

### Case 7.1

1. Abnormal findings:  
Physical examination—cloudy  
Chemical examination—blood trace, protein trace  
Microscopic examination—WBCs, 10 to 25; bacteria, moderate; TE, moderate  
**Note:** Two to five hyaline casts is not clinically significant.
2. B
3. In a urine specimen from a woman, a large number of squamous epithelial cells often indicate that the specimen is contaminated with cells and constituents from the perineum and vagina, that is, not a clean catch. In this case, the bacteria present most likely represent normal flora from the perineum and are not from the urinary tract.
4. The nitrite test is a screening test for bacteriuria. Three reasons for a negative test despite the presence of bacteria include the following:
  1. Inadequate incubation time in urine for bacterial conversion of nitrate to nitrite
  2. The patient's diet does not include dietary nitrates.
  3. The bacteria present are not nitrate reducers.
5. The leukocyte esterase test is a screening test for granulocytic leukocytes. Two reasons for a negative test despite the presence of white blood cells include the following:
  1. The amount of leukocyte esterase present is below the sensitivity of the test, despite increased numbers of white blood cells.
  2. The white blood cells present are lymphocytes that do not contain leukocyte esterase.
6. Increased sloughing of transitional epithelial cells at the site of infection/inflammation—for example, the bladder

### Case 7.2

1. Abnormal findings:  
Physical examination—large amount of foam present, slightly cloudy  
Chemical examination—glucose, blood, protein  
Microscopic examination—RBCs, fatty casts, waxy casts, oval fat bodies
2. B
3. A
4. B
5. Severe proteinuria results in hypoproteinemia. As blood protein is lost, the intravascular oncotic pressure decreases and fluid moves into the tissues.
6. Albumin. Because of its size (it is small enough to pass the glomerular filtration barrier if the “shield of negativity”—its anionic charge—is removed) and its high plasma

concentration (compared with other plasma proteins), albumin usually is the first protein lost.

7. This patient has inadequate insulin, resulting in a blood glucose concentration that exceeds the renal tubular reabsorptive capacity ( $T_m$ )—that is, too much glucose is in the ultrafiltrate to be reabsorbed, and the excess is excreted in the urine.
8. At this time, the patient has not reverted to significant lipolysis (i.e., increased fatty acid metabolism) to supply the energy needed for bodily functions.

### Case 7.3

1. Abnormal findings:  
Physical examination—color, clarity  
Chemical examination—blood, protein  
Microscopic examination—RBCs, granular casts, RBC casts
2. Normally, red blood cells are too large to pass the glomerular filtration barrier. However, nephrogenic strains of *Streptococcus* form immune complexes, which deposit in the glomerular membrane—acute poststreptococcal glomerulonephritis—causing damage to the glomerular filtration barrier and enabling the passage of red blood cells into the renal tubules.
3. B
4. A
5. Red blood cell casts

### Case 7.4

1. Abnormal findings:  
Physical examination—clarity  
Chemical examination—glucose, ketone, leukocyte esterase  
Microscopic examination—WBCs, yeast, many SEs (indicates that specimen is not a clean catch)
2. Vaginal yeast infection
3. White blood cells are from vaginal fluid that is contaminating the urine.
4. Yeast and many squamous epithelial cells
5. No
6. Because of the inability of the body to utilize the carbohydrates (glucose) available, increased fatty acid metabolism is providing energy for bodily functions. This increases the amount of acetyl coenzyme A produced, which overwhelms the capacity of the liver to process it via the Krebs cycle and results in ketogenesis (i.e., ketonemia and ketonuria). This patient most likely has type 2 diabetes mellitus.

### Case 7.5

1. Abnormal findings:  
Physical examination—color, clarity  
Chemical examination—blood, protein, urobilinogen  
Microscopic examination—increased number of granular casts
2. Hemosiderin is a storage form of iron that results from ferritin denaturation.

- Free hemoglobin passes the filtration barrier and is reabsorbed primarily by the proximal renal tubule (and to a lesser degree by the distal tubules). The tubular cells catabolize the hemoglobin to ferritin and subsequently denature it to form hemosiderin. When these renal cells are sloughed, hemosiderin is found in the urine.
- Two sources are possible: the degradation (oxidation) of hemoglobin and the oxidation of urobilinogen to urobilin
- A hemolytic episode causes the formation and excretion into the intestine of an increased amount of bilirubin. As a result, an increased amount of urobilinogen is formed and is absorbed into the enterohepatic circulation. The increased amount of urobilinogen absorbed is excreted in the urine.
- Intravascular hemolysis results primarily in an increased amount of unconjugated bilirubin in the blood. To be soluble in plasma, unconjugated bilirubin is bound tightly to albumin, which results in a molecular complex too large to pass the glomerular filtration barrier.

### Case 7.6

- Abnormal findings:  
Chemical examination—blood, protein  
Microscopic examination—RBCs, WBCs, cellular casts  
Discrepant results: pH and crystal type do not agree; blood test and RBCs seen; color and clarity versus RBC number and microscopic elements
- The urinalysis was performed manually and the physical and chemical examinations do not correlate with the microscopic examination. The most likely reason is a specimen handling mix-up during processing of the specimen for the microscopic examination—that is, the urine sediment is not from the same urine used for the physical and chemical examinations.

### Case 7.7

- Abnormal findings:  
Physical examination—clarity  
Chemical examination—blood, protein  
Microscopic examination—RBCs, amount of TEs, many crystals
- D
- The increased number of TEs most likely result from the catheterization procedure. The catheter nicked the transitional epithelium of the urethra, thereby releasing cells that sloughed into the urine. This is particularly apparent in the first specimen collected after the procedure.
- Blood can result from 1) tissue damage when there is *in vivo* precipitation of crystals and 2) from the catheterization procedure. Most likely both of these factors contribute to the result.
- Ethylene glycol when ingested is metabolized in the liver to form the end product oxalic acid. Oxalic acid combines with calcium to form calcium oxalate, which is excreted in the urine. Because of the large amount of oxalate produced from this ingestion and an optimal pH, calcium oxalate crystals readily form and are excreted in the urine.

## CHAPTER 8

- A
- B
- A
- D
- D
- A
- D
- C
- B
- B
- A
- D
- C
- D
- D
- B
- C
- B
- A
- A
- B
- B
- D
- B
- B
- B
- C
- C
- A
- A
- D
- A
- D
- D
- D
- C
- C
- A

### Case 8.1

- Abnormal findings:  
Physical examination—cloudy  
Chemical examination—blood, protein/SSA, leukocyte esterase, nitrite  
Microscopic examination—25 to 50 WBCs; 2 to 5 WBC casts; moderate bacteria
- C
- C. Specifically, white blood cell casts, which localize the infection/inflammation to the renal tubules
- C

- Two different mechanisms: movement of bacteria from the lower urinary tract to the kidneys (ascending infection), or bacteria in the blood localizing in the kidneys (hematogenous infection)
- Lysis of red blood cells has occurred; this process is enhanced in hypotonic and alkaline urine.
- No, the reagent strip test is essentially specific for albumin. The amount of blood/hemoglobin present (trace) is insufficient to affect the protein test. If the reagent strip blood test is “large,” then the possibility exists that the blood present is contributing to the protein reagent strip result.

### Case 8.2

- Abnormal findings:  
Physical examination—color, clarity  
Chemical examination—SG, protein, nitrite  
Microscopic examination—RBCs, WBCs, many calcium oxalate crystals  
Discrepant results: reagent strip blood and microscopic examination
- Check for ascorbic acid, which can produce false-negative blood tests by reagent strip (depending on the brand of test strips used).
- A
- Factors that influence renal calculi formation are as follows:
  - Increases in the concentration of chemical salts
  - Changes in urine pH
  - Urinary stasis
  - The presence of a foreign body seed

### Case 8.3

- Abnormal findings:  
Physical examination—color, clarity, yellow foam  
Chemical examination—bilirubin, pH, protein  
Microscopic examination—bacteria  
Discrepant results: The glucose by reagent strip and the Clinitest do not agree.
- Color, clarity, pH, bacteria, and crystals
- Bilirubin
- A reducing substance other than glucose is present.
- Galactosemia. The presence of galactose can be confirmed by carbohydrate thin-layer chromatography. Confirm with cell culture to detect the specific enzyme deficiency.
- No, the few bacteria present are probably a result of the length of time and the type of specimen collection. The negative or normal white blood cells, leukocyte esterase, and nitrite results support this conclusion.

### Case 8.4

- Abnormal findings:  
Physical examination—white foam noted (specific gravity and dark color indicate concentrated urine)  
Chemical examination—protein  
Microscopic examination—none
- Protein

- Albumin. Because of its size (it is small enough to pass the glomerular filtration barrier if the shield of negativity is removed) and its high plasma concentration (compared with other plasma proteins), albumin usually is the first protein lost.
- Severe proteinuria results in hypoproteinemia. As blood protein is lost, the intravascular oncotic pressure decreases and fluid moves into the tissues.
- A
- D. The four characteristic features of nephrotic syndrome are present. Note that minimal change disease is responsible for most cases of nephrotic syndrome in children.

### Case 8.5

- Abnormal findings:  
Physical examination—slightly cloudy  
Chemical examination—blood, protein, leukocyte esterase  
Microscopic examination—RBCs, WBCs, WBC and RTE casts; moderate RTEs
- B
- B. Normal tubular function is altered owing to renal inflammation/allergic reaction taking place in the kidney. Because the drug is eliminated from the body by the kidneys, it becomes concentrated in the kidneys and is the location or site of the allergic response.
- White blood cell casts (and renal tubular epithelial casts) localize the process in the nephrons.
- The nitrite test is a screening test for bacteriuria. Reasons for a negative nitrite test despite the presence of bacteria include the following:
  - Inadequate incubation time in urine for bacteria to convert nitrate to nitrite
  - The patient’s diet does not include dietary nitrates.
  - The bacteria present are not nitrate reducers.

### Case 8.6

- Abnormal findings:  
Physical examination—brown, cloudy  
Chemical examination—blood, protein  
Microscopic examination—RBCs, WBCs, RBC casts, increased numbers of granular casts
- Oxidized hemoglobin—that is, methemoglobin—imparts a brown color to urine.
- The leukocyte esterase test is a screening test for granulocytic leukocytes. Two reasons for a negative test despite the presence of white blood cells include the following:
  - The amount of leukocyte esterase present is below the sensitivity of the test, despite the increased number of white blood cells.
  - The white blood cells present are lymphocytes that do not contain leukocyte esterase.
- One cannot determine conclusively using reagent strips whether blood is contributing to the protein result. The blood result is high enough to indicate that hemoglobin may or may not be contributing to the protein result. A quantitative urine protein test could determine the level of proteinuria present if deemed necessary.



5. Dysmorphic red blood cells and red blood cell casts
6. B
7. A
8. Systemic lupus erythematosus is an autoimmune disorder. Hence a possible scenario for the development of acute glomerulonephritis in this patient is that immune complexes (antibodies against glomerular tissue antigens) have formed in glomeruli, and the associated immune response/mediators are causing glomerular damage.

### Case 8.7

1. Abnormal findings:  
Chemical examination—Hoesch test
2. Porphobilin that has formed from the spontaneous oxidation of porphobilinogen
3. Watson-Schwartz test
4. An enzyme deficiency in the pathway of hemoglobin synthesis causes increased production of heme precursors (i.e.,  $\delta$ -aminolevulinic acid and porphobilinogen), which are water soluble and are excreted in the urine. Porphobilinogen becomes oxidized, causing the color change observed in this urine.
5. Acute intermittent porphyria
6. D
7. No. Neither porphobilinogen nor porphobilin is detectable by any of the commercial reagent strips available.

## CHAPTER 9

1. A
2. B
3. A
4. D
5. A
6. B
7. A
8. C
9. C
10. A
11. B
12. D
13. A
14. C
15. B
16. C
17. A
18. A
19. D
20. B
21. A
22. D
23. C
24. B

### Case 9.1

1. Abnormal cerebrospinal fluid (CSF) findings: cloudy; leukocyte count and differential; total protein; glucose; lactate

2. CSF/glucose ratio: 0.36
3. B
4. Yes, the high lactate level ( $>30$  mg/dL) combined with a low glucose value is associated with bacterial meningitis.
5. No, Gram stain results are not 100% sensitive because of numerous factors.
6. Increased CSF protein: Inflammatory processes involving the meninges impair the reabsorption of protein from the CSF back into the circulating bloodstream.  
Decreased CSF glucose: This occurs because of defective/decreased transport across the blood-brain barrier and increased glycolysis within the central nervous system.

### Case 9.2

1. Abnormal CSF findings: total protein; immunoglobulin G
2. CSF/serum albumin index: 6.1
3. Albumin is not produced intrathecally; therefore increased CSF albumin levels indicate changes to the blood-brain barrier, which allow increased amounts of albumin to pass.
4. CSF IgG index: 1.46
5. Multiple sclerosis
6. (1) Cerebrospinal fluid protein electrophoresis, which reveals oligoclonal banding in approximately 90% of patients with multiple sclerosis, and (2) a positive myelin basic protein test on CSF indicates an active demyelinating process consistent with multiple sclerosis.

## CHAPTER 10

1. D
2. A
3. B
4. B
5. A
6. D
7. D
8. C
9. D
10. C
11. C
12. A 1  
B 2  
C 1  
D 1  
E 1  
F 2
13. C
14. B
15. A
16. A
17. C
18. B

### Case 10.1

1. Fluid-to-serum total protein ratio: 0.60
2. Fluid-to-serum lactate dehydrogenase ratio: 0.66

- Exudates result from inflammatory processes that increase the permeability of the capillary endothelium in the parietal membrane or decrease the absorption of serous fluid by the lymphatic system.

### Case 10.2

- Fluid-to-serum total protein ratio: 0.45
- Fluid-to-serum lactate dehydrogenase ratio: 0.42
- Transudates result from a systemic disorder that causes an increase in hydrostatic pressure (i.e., blood pressure) or a decrease in plasma oncotic pressure in the parietal membrane capillaries.

## CHAPTER 11

- B
- A
- A
- C
- D
- C
- B
- A
- D
- D
- D
- C
- B
- B
- A
- C
- A

### Case 11.1

- Abnormal findings: blood uric acid; synovial fluid clarity; viscosity; leukocyte count and differential; crystals; glucose; total protein
- 30 mg/dL
- C
- E
- A
- No, microscopic examination for crystals is not 100% sensitive and depends on numerous factors. For example, sometimes the synovial fluid is removed from an area of the synovium that does not contain crystals, or only a few crystals are present, which can be missed during the microscopic examination.

### Case 11.2

- Abnormal findings: synovial fluid clarity; viscosity; leukocyte count and differential; glucose; total protein; lactate; Gram stain
- 44 mg/dL
- D
- D

### Case 11.3

- Abnormal findings: synovial fluid clarity; leukocyte count; crystals; glucose; total protein
- 15 mg/dL
- B
- B
- E

## CHAPTER 12

- B
- D
- A
- C
- A 4  
B 7  
C 3  
D 5  
E 6  
F 8
- B
- D
- C
- D
- C
- B
- D
- C
- D
- B
- D
- A

### Case 12.1

- Abnormal seminal fluid findings: low spermatozoal concentration  
Discrepant results: vitality (60%) and motility (70%) contradict each other
- Yes, a laboratory error is suspected because the vitality (60%) and motility (70%) determinations contradict each other.
- Yes, low concentrations of spermatozoa are associated with infertility.
- Fructose level in the seminal fluid; low fructose levels are associated with azoospermia

### Case 12.2

- Abnormal postvasectomy seminal fluid findings: spermatozoa concentration; increased leukocytes; bacteria present
- No, the presence of bacteria with increased leukocytes suggests an infection in the male reproductive tract.
- Approximately 12 weeks after a successful vasectomy, the sperm concentration should be zero.

## CHAPTER 13

1. C
2. D
3. A
4. B
5. B
6. C
7. B
8. A
9. D
10. B
11. C
12. D
13. A
14. D
15. C

### CASE 13.1

1. Bacterial vaginosis
2. Overgrowth of anaerobic bacteria causes increased production of several metabolic byproducts, including polyamines, which volatilize in an alkaline environment to produce the foul-smelling trimethylamine.
3. Seminal fluid has an alkaline pH (7.2–7.8). Therefore after intercourse with ejaculation, the vaginal pH becomes more alkaline and trimethylamine production is enhanced, causing the foul odor to be more pronounced.
4. For unknown and most likely complex reasons, the usually numerous *Lactobacillus* spp. of the vagina are replaced and overrun by other microbes, most often *Gardnerella vaginalis* in synergy with an anaerobe, usually *Mobiluncus* spp.
5. The presence of clue cells in the wet mount examination
6. Bacterial vaginosis results in disruption of the normal bacterial flora of the vagina; however, the squamous epithelium is not invaded, and an inflammatory response is not initiated. Hence the disorder is termed *vaginosis* instead of *vaginitis*.

## CHAPTER 14

1. C
2. C
3. D
4. C
5. A
6. B
7. A
8. D
9. B
10. A
11. B
12. D
13. D
14. B

### Case 14.1

1. At 450 nm:  $0.500 - 0.200 = 0.300$
2. Zone III
3. The fetus is severely affected, and the child should be delivered immediately if the lungs are mature.
4.  $4.7/2.3 = 2.0$
5. 33 weeks' gestation: immature  
34 weeks' gestation: immature  
35 weeks' gestation: immature

## CHAPTER 15

1. B
2. A
3. B
4. D
5. D
6. D
7. A
8. B
9. A
10. B
11. C
12. C
13. B
14. C
15. B
16. A
17. C
18. C
19. A
20. C
21. D
22. D
23. B
24. B

### Case 15.1

1. Urine abnormal findings:  
Physical examination—color, clarity, yellow foam  
Chemical examination—bilirubin, protein, urobilinogen  
Microscopic examination—granular casts  
Fecal abnormal findings: color, consistency, form, fat content
2. B
3. Steatorrhea
4. B
5. An obstruction, most likely of the common bile duct because of pancreatic cancer, is preventing bile and pancreatic enzymes from entering the intestine. Consequently, fat digestion is impaired, resulting in increased fecal fat excretion. The feces becomes pale in color (i.e., acholic) because the amount of bilirubin entering the intestine is decreased; hence the quantities of urobilins (i.e., urobilin, stercobilin, and mesobilin) that give fecal matter its normal color are decreased.

6. The sensitivity of the reagent strip urobilinogen test is limited. These tests are not able to accurately detect the absence of or decreased amounts of urobilinogen.

### Case 15.2

1. Fecal abnormal findings: consistency; leukocytes; *Salmonella* spp. present
2. 326 mOsm/kg
3. C

### Case 15.3

1. Abnormal findings: hemoglobin and hematocrit low; fecal occult blood positive
2. A
3. Myoglobin and cytochromes
4. D
5. D
6. C

## CHAPTER 16

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1. B
2. C
3. D
4. C
5. C
6. B
7. A
8. B

## CHAPTER 17

---

1. C
2. D
3. C
4. D

5. B
6. C
7. D
8. B

## CHAPTER 18

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1. D
2. D
3. D
4. B
5. A
6. A 7  
B 3  
C 5  
D 2  
E 1  
F 4
7. D
8. B
9. B
10. C
11. A
12. C
13. B
14. C
15. C
16. B
17. D
18. A
19. A
20. A 2  
B 3  
C 6  
D 5  
E 4



# GLOSSARY

## A

**acholic stools** Pale, gray, or clay-colored stools.

They occur when production of normal fecal pigments—stercobilin, mesobilin, and urobilin—is partially or completely inhibited.

**active transport** The movement of a substance (e.g., ion or solute) across a cell membrane and against a gradient, requiring the expenditure of energy.

**acute interstitial nephritis** An acute inflammatory process that develops 3 to 21 days after exposure to an immunogenic drug (e.g., sulfonamides, penicillins) and results in injury to the renal tubules and interstitium. The condition is characterized by fever, skin rash, leukocyturia (particularly eosinophiluria), and acute renal failure. Discontinuation of the offending agent can result in full recovery of renal function.

**acute poststreptococcal glomerulonephritis** A type of glomerular inflammation that occurs 1 to 2 weeks after a group A  $\beta$ -hemolytic streptococcal infection. Onset is sudden, and the glomerular damage is immune mediated.

**acute pyelonephritis** An inflammatory process involving the renal tubules, interstitium, and renal pelvis. The condition is caused most often by a bacterial infection and is characterized by the sudden onset of symptoms (i.e., flank pain, dysuria, frequency of micturition, and urinary urgency).

**acute kidney injury (AKI)** A kidney disorder characterized by a sudden decrease in the glomerular filtration rate that results in azotemia and oliguria. It is a consequence of numerous conditions that can be categorized as prerenal (e.g., decrease in renal blood flow), renal (e.g., acute tubular necrosis), or postrenal (e.g., urinary tract obstruction). The disease course varies greatly, and survivors usually regain normal kidney function.

**acute tubular necrosis (ATN)** A group of renal diseases characterized by destruction of the renal tubular epithelium. Acute tubular necrosis is classified into two types based on the initiating event and the epithelium predominantly involved. Ischemic ATN is caused by decreased renal perfusion and results in increased sloughing of primarily renal collecting duct cells. Toxic ATN results from nephrotoxic substances (drugs, chemicals) and is characterized by increased sloughing of primarily convoluted tubular cells.

**afferent arteriole** A small branch of an interlobular renal artery that becomes the capillary tuft within a glomerulus.

**albuminuria** Increased urinary excretion of the protein albumin.

**alkaptonuria** A rare recessively inherited disease caused by a deficiency of the enzyme homogentisic acid oxidase. This disorder is characterized by the excretion of large amounts of homogentisic acid (i.e., alkapton bodies).

**aminoaciduria** The presence of increased quantities of amino acids in the urine.

**amyloidosis** A group of systemic diseases characterized by deposition of amyloid, a proteinaceous substance, between cells in numerous tissues and organs.

**antidiuretic hormone** Also known as *arginine vasopressin*, a hormone produced in the hypothalamus and released from the posterior pituitary that regulates the reabsorption of water by the collecting tubules. Without adequate arginine vasopressin present, water is not reabsorbed.

**anuria (also called anuresis)** The absence or cessation of urine excretion.

**aperture diaphragm** Microscope component that regulates the angle of light presented to the specimen. The diaphragm is located at the base of the condenser and changes the diameter of the opening through which source light rays must pass to enter the condenser.

**arthritis** Inflammation of a joint.

**arthrocentesis** A percutaneous puncture procedure used to remove synovial fluid from joint cavities.

**ascites** The excessive accumulation of serous fluid in the peritoneal cavity.

**ascorbic acid (also called vitamin C)** A water-soluble vitamin that is a strong reducing agent that readily oxidizes to its salt, dehydroascorbate.

**ascorbic acid interference** Inhibition of a chemical reaction by the presence of ascorbic acid. As a strong reducing agent, ascorbic acid readily reacts with diazonium salts or hydrogen peroxide, removing these chemicals from intended reaction sequences. As a result, colorless dehydroascorbate is formed, causing no color change or a reduced color change.

## B

**bacterial vaginosis** See vaginosis, bacterial.

**bactericidal** Capable of killing bacteria.

**bacteriuria** The presence of bacteria in urine.

**basement membrane** A trilayer structure located within the glomerulus along the base of the epithelium (podocytes) of the urinary (Bowman's) space. With the overlying slit diaphragm, the basement membrane is the size-discriminating component of the glomerular filtration barrier, limiting the passage of substances to those with an effective molecular radius less than 4nm. Electron microscopy reveals three distinct layers in the basement membrane: the lamina rara interna (next to the capillary endothelium), the lamina densa (centrally located), and the lamina rara externa (next to the podocytes).

**bilirubin** A yellow-orange pigment resulting from heme catabolism. Bilirubin causes a characteristic discoloration of urine, plasma, and other body fluids when present in the fluid in significant amounts. When exposed to air, bilirubin oxidizes to biliverdin, a green pigment.

**biological hazard** A biological material or an entity contaminated with biological material that is potentially capable of transmitting disease.

**birefringent (also called doubly refractile)**

A property of a material that refracts plane polarized light into two rays (slow and fast) that move in perpendicular directions (90 degrees) to each other. To be birefringent, the material must have two optical axes (biaxial).

**blood-brain barrier** The physiologic interface between the vascular system and cerebrospinal fluid. Changes in the blood-brain barrier can result in changes in the normal chemical and cellular composition of the cerebrospinal fluid.

**brightfield microscopy** Type of microscopy that produces a magnified image that appears dark against a bright or white background.

## C

**calculi (also called stones)** Solid aggregates or concretions of chemicals, usually mineral salts, that form in secreting glands of the body.

**casts** Cylindrical bodies that form in the lumen of the renal tubule. Their core matrix is principally made up of uromodulin (formerly known as *Tamm-Horsfall glycoprotein*), although other plasma proteins can be incorporated. Because casts are formed in the tubular lumen, any chemical or formed element present—such as cells, fat, and bacteria—can be incorporated into its matrix. Casts are enumerated and classified by the types of inclusions present.

**catheterized specimen** A urine specimen obtained using a sterile catheter (a flexible tube) inserted through the urethra and into the bladder. Urine flows directly from the bladder by gravity and collects in a plastic reservoir bag.

**cerebrospinal fluid** The normally clear, colorless fluid present between the arachnoid (or arachnoidea) and the pia mater in the brain and spinal cord. This fluid is formed primarily by selective secretions of plasma by the choroid plexus into the ventricles and to a lesser extent from intrathecal synthesis by ependymal cells.

**cervicovaginal secretions** Obtained from the posterior fornix (recesses) of the vagina or from the area surrounding the cervical opening (i.e., external cervical os).

**Chemical Hygiene Plan (CHP)** An established protocol developed by each facility for the identification, handling, storage, and disposal of all hazardous chemicals. The Occupational Safety and Health Administration established the plan in January 1990 as a mandatory requirement for all facilities that deal with chemical hazards.

**choroid plexus** The highly vascular folds of capillaries, nerves, and ependymal cells in the pia mater. Located in the four ventricles of the brain, the choroid plexus actively synthesizes cerebrospinal fluid.

**chromatic aberration** Unequal refraction of light rays by a lens that occurs because the different wavelengths of light refract or bend at different angles. As a result, the image produced has undesired color fringes.

**chronic glomerulonephritis** A slowly progressive glomerular disease that develops years after other forms of glomerulonephritis. The condition usually leads to irreversible renal failure, requiring renal dialysis or kidney transplantation.

**chronic pyelonephritis** A renal inflammatory process involving the tubules, interstitium, renal calyces, and renal pelves, most often caused by reflux nephropathies that lead to chronic bacterial infections of the upper urinary tract. This chronic inflammation results in fibrosis and scarring of the kidney and eventually loss of renal function.

**chronic kidney disease (CKD)** A kidney disorder characterized by progressive loss of renal function caused by an irreversible, intrinsic renal disease. The glomerular filtration rate decreases progressively. CKD concludes with end-stage renal disease, characterized by isosthenuria, significant proteinuria, variable hematuria, and numerous casts of all types, particularly waxy and broad casts.

**chyle** A milky-appearing emulsion of lymph and chylomicrons (triglycerides) that originates from intestinal lymphatic absorption during digestion.

**chylous effusion** A milky-appearing effusion that persists after centrifugation; its chemical composition includes chylomicrons and an elevated triglyceride level (i.e., >10 mg/dL).

**clarity (also called turbidity)** The transparency of a urine specimen. Clarity varies with the amount of suspended particulate matter in the urine specimen.

**clue cells** Squamous epithelial cells with large numbers of bacteria adhering to them. Clue cells appear soft and finely granular with indistinct or “shaggy” cell borders. To be considered a clue cell, the bacteria should cover at least 75% of the cell surface and the bacterial organisms *must* extend beyond the cell’s cytoplasmic borders. Clue cells are characteristic of bacterial vaginosis, a synergistic infection involving *Gardnerella vaginalis* and anaerobic bacteria.

**collecting duct** The portion of a renal nephron that follows the distal convoluted tubule. Many distal tubules empty into a single collecting duct. The collecting duct traverses the renal cortex and the medulla and is the site of final urine concentration. The collecting ducts terminate at the renal papilla, conveying the urine formed into the renal calyces of the kidney.

**collecting duct cells** Cuboidal or polygonal cells approximately 12 to 20 mm in diameter with a large, centrally located dense nucleus. These cells form the lining of the collecting tubules and become larger and more columnar as they approach the renal calyces.

**colligative property** A characteristic of a solution that depends only on the number

of solute particles present, regardless of the molecular size or charge. The four colligative properties are freezing point depression, vapor pressure depression, osmotic pressure elevation, and boiling point elevation. These properties form the basis of methods and instrumentation used to measure the concentration of solutes in body fluids (e.g., serum, urine, fecal supernates). (See *freezing point osmometer* and *vapor pressure osmometer*.)

**condenser** Microscope component that gathers and focuses the illumination light onto the specimen for viewing. The condenser is a lens system (a single lens or a combination of lenses) that is located beneath the microscope stage.

**constipation** Infrequent and difficult bowel movements, compared to an individual’s normal bowel movement pattern. The fecal material produced consists of hard, small, often spherical masses.

**countercurrent exchange mechanism** A passive exchange by diffusion of reabsorbed solutes and water from the medullary interstitium of the nephron into the blood of its vascular blood supply (i.e., the vasa recta). A requirement of this process is that the flow of blood within the ascending and descending vessels of the U-shaped vasa recta must be in opposite directions, hence the term *countercurrent*. The countercurrent exchange mechanism simultaneously supplies nutrients to the medulla and removes solutes and water reabsorbed into the blood. As a result, the mechanism assists in maintaining medullary hypertonicity.

**countercurrent multiplier mechanism** A process occurring in the loop of Henle of each nephron that establishes and maintains the osmotic gradient within the medullary interstitium. The medullary osmolality gradient ranges from being isosmotic ( $\approx 300$  mOsm/kg) at its border with the cortex to approximately 1400 mOsm/kg at the inner medulla or papilla. A requirement of this process is that the flow of the ultrafiltrate in the descending and ascending limbs must be in opposite directions, hence the name *countercurrent*. In addition, active sodium and chloride reabsorption in the ascending limb combined with passive water reabsorption in the descending limb is an essential component of this process. The countercurrent multiplier mechanism accounts for approximately 50% of the solutes concentrated in the renal medulla.

**creatinine clearance test** A renal clearance test that measures the volume of plasma cleared of creatinine by the kidneys per unit of time. Reported in milliliters per minute, creatinine clearance is determined by the equation  $C=U \times V/P$ , in which U and P are the urine and plasma concentrations of creatinine, respectively, and V is the volume of urine excreted in a timed collection, usually 24 hours.

**critical value** A patient test result representing a life-threatening condition that requires immediate attention and intervention.

**crystals** Entities formed by the solidification of urinary solutes. These urinary solutes can be made of a single element, a compound, or a mixture and are arranged in a regular, repeating pattern throughout the crystalline structure.

**crystalluria** The presence of crystals in urine.

**cystinosis** An autosomal recessive inherited disorder characterized by intracellular deposition of the amino acid cystine in all cells throughout the body. Cystine deposition within renal tubular cells results in renal dysfunction (Fanconi’s syndrome) and eventually in renal failure.

**cystinuria** An autosomal recessive inherited disorder characterized by an inability to reabsorb the amino acids cystine, arginine, lysine, and ornithine in the renal tubules and in the intestine. This results in the loss of cystine and the other dibasic amino acids in the urine, despite normal cellular metabolism of cystine. Because cystine is insoluble at an acid pH, it can precipitate in the renal tubules to cause calculus formation, or the crystals can be observed in urine sediment. Note that all other dibasic amino acids are soluble in an acid pH, and their presence in urine goes undetected unless sensitive amino acid methods are used.

**cytocentrifugation** A specialized centrifuge procedure used to produce a monolayer of the cellular constituents in various body fluids on a microscope slide. The slides are fixed and stained, providing a permanent preparation for cytologic studies.

## D

**darkfield microscopy** Type of microscopy that produces a magnified image that appears brightly illuminated against a dark background. A special condenser presents only oblique light rays to the specimen. The specimen interacts with these rays (e.g., refraction, reflection), causing visualization of the specimen. Darkfield microscopy is used on unstained specimen preparations and is the preferred technique for identification of spirochetes.

**decontamination** A process to remove a potential chemical or biological hazard from an area or entity (e.g., countertop, instrument, materials) and render the area or entity “safe.” One may use various processes in decontamination, such as autoclaving, incineration, chemical neutralization, and disinfecting agents.

**density** An expression of concentration in terms of the mass of solutes present per volume of solution.

**diabetes insipidus** A metabolic disease caused by defective antidiuretic hormone production (neurogenic) or lack of renal tubular response to antidiuretic hormone (nephrogenic). It is characterized by polyuria and polydipsia.

**diabetes mellitus** A metabolic disease characterized by an inability to metabolize glucose, resulting in hyperglycemia, glucosuria,

and alterations in fat and protein metabolism. Diabetes mellitus develops when (1) insulin production, (2) insulin action, or (3) both are defective. The disease is classified as type 1 or type 2, depending on age of onset, initial presentation, and other factors.

**diarrhea** An increase in the volume, liquidity, and frequency of bowel movements compared to the normal bowel movement pattern of an individual.

**disaccharidase deficiency** A lack of sufficient enzymes (disaccharidases) to metabolize disaccharides in the small intestine. These deficiencies can be hereditary or acquired (e.g., resulting from diseases, drug therapy).

**distal convoluted tubular cells** Oval to round cells approximately 14 to 25 mm in diameter with a small, central to slightly eccentric nucleus and a dense chromatin pattern. These cells form the lining of the distal convoluted tubules.

**distal convoluted tubule** The portion of a renal nephron immediately following the loop of Henle. The tubule begins at the juxtaglomerular apparatus with the macula densa, a specialized group of cells located at the vascular pole. The distal tubule is convoluted and after two to three loops becomes the collecting tubule (or duct).

**diuresis** An increase in urine excretion. Various causes of diuresis include increased fluid intake, diuretic therapy, hormonal imbalance, renal dysfunction, and drug ingestion (e.g., alcohol, caffeine).

**documentation** A written record. In the laboratory, documentation includes written policies and procedures, quality control, and maintenance records. Documentation may encompass the recording of any action performed or observed, including verbal correspondence, observations, and corrective actions taken.

**dyspareunia** Pain in the vulva, vagina, or pelvis during or after intercourse. The cause may be disease-related, mechanical, or psychological.

**dysuria** Pain or difficulty experienced with urination. Dysuria most often is associated with infections of the urinary tract (e.g., urethritis, cystitis). Such “internal” dysuria contrasts with “external” dysuria, which is pain experienced during urination because of the passage of urine over inflamed tissues (e.g., vulva, perineum).

## E

**efferent arteriole** The arteriole exiting a glomerulus; the efferent arteriole is formed by rejoining of the anastomosing capillary network within the glomerulus.

**effusion** An accumulation of fluid in a body cavity as a result of a pathologic process.

**Ehrlich's reaction** The development of a red or magenta chromophore as a result of the interaction of a substance (e.g., urobilinogen, porphobilinogen) with *p*-dimethylaminobenzaldehyde (also called *Ehrlich's agent*) in an acid medium.

**epididymis** A long, coiled, tubular structure attached to the upper surface of each testis that is continuous with the vas deferens. The epididymis is the site of final sperm maturation and the development of motility. Sperm are concentrated and stored here until ejaculation.

**erythroblastosis fetalis** A hemolytic disease of the newborn that results from a blood group incompatibility between mother and infant.

**external quality assessment** The use of materials (e.g., specimens, digital images) from an external unbiased source to monitor and determine whether quality goals (i.e., test results) are being achieved. Results are compared to results from other facilities performing the same function. Proficiency surveys are one form of external quality assessment.

**exudate** An effusion in a body cavity caused by increased capillary permeability or decreased lymphatic absorption. An exudate is identified by a fluid-to-serum total protein ratio greater than 0.5, a fluid-to-serum lactate dehydrogenase ratio greater than 0.6, or both.

**eyepiece (also called ocular)** The microscope lens or system of lenses located closest to the viewer's eye. The eyepiece produces the secondary image magnification of the specimen.

## F

**Fanconi's syndrome** A renal disorder characterized by generalized proximal tubular dysfunction resulting in aminoaciduria, proteinuria, glucosuria, and phosphaturia. It is a complication of inherited and acquired diseases.

**field diaphragm** Microscope component that controls the diameter of light beams that strike the specimen and hence reduces stray light. The diaphragm is located at the light exit of the illumination source. With Köhler illumination, the field diaphragm is used to adjust and center the condenser appropriately.

**field number** A number assigned to an eyepiece that indicates the diameter of the field of view, in millimeters, that is observed when using a 1× objective. This diameter is determined by a baffle or a raised ring inside the eyepiece and sometimes is engraved on the eyepiece.

**field of view** The circular field observed through a microscope. The diameter of the field of view varies with the eyepiece field number and the magnifications of the objective in use, plus any additional optics before the eyepiece. The field of view (FOV) is calculated using the following formula: FOV (in millimeters) = field number/M, where M is the sum of all optics magnifications, except that of the eyepiece.

**first morning specimen** The first urine specimen voided after rising from sleep. The night before the collection, the patient voids before going to bed. Usually the first morning specimen has been retained in the bladder for 6 to 8 hours and is ideal to test for substances that may require concentration (e.g., protein) or incubation for detection (e.g., nitrites).

**fluorescence microscopy** Type of microscopy modified for visualization of fluorescent substances. Fluorescence microscopy uses two filters: one to select a specific wavelength of illumination light (excitation filter) that is absorbed by the specimen, and another (barrier filter) to transmit the different, longer wavelength light emitted from the specimen to the eyepiece for viewing. The fluorophore (natural or added) present in the specimen determines the selection of these filters.

**focal proliferative glomerulonephritis** A type of glomerular inflammation characterized by cellular proliferation in a specific part of the glomeruli (segmental) and limited to a specific number of glomeruli (focal).

**focal segmental glomerulosclerosis** A type of glomerular disease characterized by sclerosis of the glomeruli. Not all glomeruli are affected, hence the term *focal*, and of those that are, only certain portions become diseased, hence the term *segmental*.

**free-water clearance (also called solute-free water clearance)** The volume of water cleared by the kidneys per minute in excess of that necessary to remove solutes. Denoted  $C_{H_2O}$  and reported in milliliters per minute, free-water clearance is determined using the equation  $C_{H_2O} = V \times C_{osm}$ .  $V$  is the volume of urine excreted in a timed collection (milliliters per minute), and  $C_{osm}$  is the osmolar clearance (milliliters per minute).

**freezing point osmometer** An instrument that measures osmolality based on the freezing point depression of a solution compared to that of pure water. An osmometer consists of a mechanism to supercool the sample below its freezing point. Subsequently, freezing of the sample is induced, and as ice crystals form, a sensitive thermistor monitors the temperature until an equilibrium is attained between solid and liquid phases. This equilibrium temperature is the freezing point of the sample, from which the osmolality of the sample is determined. One osmole of solutes per kilogram of solvent (1 Osm/kg) depresses the freezing point of water by 1.86°C.

**fully automated urinalysis** Indicates that the entire urinalysis—physical, chemical, and microscopic examinations—is performed by analyzers and results integrated by a computer system to produce a complete urinalysis report. Two analyzers (standing alone or combined in a single housing) are required. A connectivity system moves urine samples (in racks) through the analyzer(s) for testing and lastly to an off-loading area. A urine chemistry analyzer performs the physical and chemical analyses, whereas urine particle analysis is performed by a urine microscopy analyzer.

## G

**galactosuria** The presence of galactose in urine.

**glomerular filtration barrier** The structure within the glomerulus that determines the composition of the plasma ultrafiltrate



formed in the urinary space by regulating the passage of solutes. The glomerular filtration barrier consists of the capillary endothelium, the basement membrane, and the epithelial podocytes, each coated with a “shield of negativity.” Solute selectivity by the barrier is based on the molecular size and electrical charge of the solute.

**glomerular filtration rate** The rate of plasma cleared by the glomeruli per unit of time (milliliters per minute). This rate is determined using clearance tests of substances that are known to be removed exclusively by glomerular filtration and that are not reabsorbed or secreted by the nephrons (e.g., inulin).

**glomerular proteinuria** Increased quantities of protein in urine caused by a compromised or diseased glomerular filtration barrier.

**glomerulonephritides (plural) or glomerulonephritis (singular)** Nephritic conditions characterized by damage to and inflammation of the glomeruli. Causes are varied and include immunologic, metabolic, and hereditary disorders.

**glomerulus (also called renal corpuscle)** A tuft or network of capillaries encircled by and intimately related with the proximal end of a renal tubule (i.e., Bowman’s capsule). The glomerulus is composed of four distinct structural components: the capillary endothelial cells, the epithelial cells (podocytes), the mesangium, and the basement membrane.

**glucosuria** A specific term indicating the presence of glucose in urine.

**glycosuria** A nonspecific term indicating the presence of any sugar (e.g., fructose, sucrose, lactose, galactose) in the urine. Also see glucosuria.

## H

**hematin** One of three porphyrin-based compounds produced from the degradation of hemoglobin approximately 2 weeks after a bleed into a body cavity or fluid. Hematin crystals can be extracellular or intracellular in monocytes/macrophages or neutrophils. These crystals do not stain with Prussian blue and give a negative diazo reaction using the indirect van den Bergh test. Compare with *hematoidin* and *hemosiderin*.

**hematoidin** One of three porphyrin-based compounds produced from the degradation of hemoglobin approximately 2 weeks after a bleed into tissues (e.g., hematoma). It has characteristics similar to bilirubin and produces a positive diazo reaction using the indirect van den Bergh test. These crystals do not stain with Prussian blue. Compare with *hematin* and *hemosiderin*.

**hematuria** The presence of red blood cells in urine.

**heme moiety** A tetrapyrrole ring (protoporphyrin IX) with a single, centrally bound iron atom.

**hemoglobinuria** The presence of hemoglobin in urine.

**hemosiderin** An insoluble form of storage iron and a degradation product of hemoglobin.

Hemoglobin iron is catabolized into ferritin (a major storage form of iron) within renal cells or within macrophages in body fluids (i.e., erythrocytes, siderophages). Ferritin subsequently denatures to form insoluble hemosiderin granules (micelles of ferric hydroxide) that appear in the urine or body fluid 2 to 3 days after a hemolytic episode. When stained with Prussian blue, hemosiderin granules stain dark blue-black. Compare with *hematin* and *hematoidin*.

**hyaluronate** A high-molecular-weight polymer of repeating disaccharide units secreted by synoviocytes into synovial fluid. Hyaluronate is a salt or ester of hyaluronic acid that imparts a high viscosity to the fluid and serves as a joint lubricant.

## I

**iatrogenic** A disease, complication, or ill effect caused by medical examinations or treatments, such as medications, x-ray contrast media, IV therapies, etc.

**IgA nephropathy** A type of glomerular inflammation characterized by the deposition of immunoglobulin A in the glomerular mesangium. The condition often occurs 1 to 2 days after a mucosal infection of the respiratory, gastrointestinal, or urinary tract.

**infectious waste disposal policy** A procedure outlining the equipment, materials, and steps used in the collection, storage, removal, and decontamination of infectious materials and substances.

**interference contrast microscopy** Type of microscopy in which the difference in optical light paths through the specimen is converted into intensity differences in the specimen image. Three-dimensional images of high contrast and resolution are obtained, without haloing. Two types available are modulation contrast (Hoffman) and differential interference contrast (Nomarski).

**interstitial cells of Leydig** Cells located in the interstitial space between the seminiferous tubules of the testes. These cells produce and secrete the hormone testosterone.

**intrahecal** Within the spinal cord or sub-arachnoid space.

**ionic specific gravity** The density of a solution based on ionic solutes only. Nonionizing substances such as urea, glucose, protein, and radiographic contrast media are not detectable using ionic specific gravity measurements (e.g., specific gravity by commercial reagent strips).

**isoimmune disease** A disease in which antibodies are produced as a result of exposure to antigens from another person. An example is hemolytic disease of the newborn, in which a mother creates antibodies against the blood cells of her fetus because of exposure to the blood cells of her current or a previous fetus.

**isosmotic** Term describing a solution or fluid that has the same concentration of osmotically active solutes as the blood plasma.

**isosthenuria** Excretion of urine that has the same specific gravity (and osmolality) as the

plasma. Because the specific gravity of protein-free plasma and the original ultrafiltrate is 1.010, the inability to excrete urine with a higher or lower specific gravity indicates significantly impaired renal tubular function.

## J

**jaundice** Yellowish pigmentation of skin, sclera, body tissues, and body fluids caused by the presence of increased quantities of bilirubin. Jaundice appears when plasma bilirubin concentrations reach approximately 2 to 3 mg/dL, that is, two to three times normal bilirubin levels.

**juxtaglomerular apparatus** A specialized area located at the vascular pole of a nephron. The apparatus is composed of cells from the afferent and efferent arterioles, the macula densa of the distal tubule, and the extraglomerular mesangium. The juxtaglomerular apparatus is actually an endocrine organ and the primary producer of renin.

## K

**ketonuria** The presence of ketones (i.e., acetoacetate, hydroxybutyrate, and acetone) in urine.

**kidneys** The organs of the urinary system that produce urine. Normally, each individual has two kidneys. The primary function of the kidneys is to filter the blood, removing waste products and regulating electrolytes, water, acid-base balance, and blood pressure.

**KOH preparation** A preparation technique used to enhance the viewing of fungal elements. Secretions obtained using a sterile swab are suspended in saline. A drop of this suspension is placed on a microscope slide, followed by a drop of 10% KOH. The slide is warmed and is viewed microscopically. KOH destroys most formed elements with the exception of bacterial and fungal elements.

**Köhler illumination** Type of microscopic illumination in which a lamp condenser (located above the light source) focuses the image of the light source (lamp filament) onto the front focal plane of the substage condenser (where the aperture diaphragm is located). The substage condenser sharply focuses the image of the field diaphragm (located at or slightly in front of the lamp condenser) at the same plane as the focused specimen. As a result, the filament image does not appear in the field of view, and bright, even illumination is obtained. Köhler illumination requires appropriate adjustments of the condenser and of the field and aperture diaphragms.

## L

**lamellar bodies** Cytoplasmic storage granules that contain pulmonary surfactant and are secreted into the alveolar lumen by fetal type II pneumocytes that line the lungs. When electron microscopy is used, these granules have a characteristic layered or onionlike appearance, hence the name “lamellar” bodies. They begin to appear in amniotic fluid at 20 to 24 weeks’ gestation.



**leukocyturia** The presence of leukocytes, that is, white blood cells, in the urine. Compare with *pyuria*.

**lipiduria** The presence of lipids in the urine.

**liquefaction** The physical conversion of seminal fluid from a coagulum to a liquid after ejaculation.

**loop of Henle** The tubular portion of a nephron immediately following and continuous with the proximal tubule. Located in the renal medulla, the loop of Henle is composed of a thin descending limb, a U-shaped segment (also called a *hairpin turn*), and thin and thick ascending limbs. The thick ascending limb of the loop of Henle (sometimes called *the straight portion of the distal tubule*) ends as the tubule enters the vascular pole of the glomerulus.

## M

**malabsorption** Inadequate intestinal absorption of processed foodstuffs despite normal digestive ability.

**maldigestion** An inability to convert foodstuffs in the gastrointestinal tract into readily absorbable substances.

**Maltese cross pattern** A design that appears as an orb divided into four equal quadrants by a bright Maltese-style cross. When polarizing microscopy is used, cholesterol droplets exhibit this characteristic pattern, which aids in their identification. Other substances, such as starch granules, show a similar pattern known as a *pseudo-Maltese cross pattern* because the four quadrants are not of equal size.

**maple syrup urine disease (MSUD)** A rare autosomal recessive inherited defect or deficiency in the enzyme responsible for the oxidation of the branched-chain amino acids leucine, isoleucine, and valine. As a result, these amino acids along with their corresponding  $\alpha$ -keto acids accumulate in the blood, cerebrospinal fluid, and urine. The name derives from the subtle maple syrup odor of the urine from these patients.

**maximal tubular reabsorptive capacity** Denoted  $T_m$ , the maximal rate of reabsorption of a solute by the tubular epithelium per minute (milligrams per minute). Reabsorptive capacity varies with each solute and depends on the glomerular filtration rate.

**maximal tubular secretory capacity** Also denoted  $T_m$ ; the maximal rate of secretion of a solute by the tubular epithelium per minute (milligrams per minute). This rate differs for each solute.

**mechanical stage** Microscope component that holds the microscope slide with the specimen for viewing. The stage is adjustable, front to back and side to side, to enable viewing of the entire specimen.

**meconium** A dark-green gelatinous or mucuslike material representing swallowed amniotic fluid and intestinal secretions excreted by the near-term or full-term infant. The infant normally passes meconium as the first bowel movement shortly after birth.

**melanuria** Increased excretion of melanin in urine.

**melena** Excretion of dark or black stools caused by the presence of large amounts (50 to 100 mL/day) of blood in the feces. The coloration is due to hemoglobin oxidation by intestinal and bacterial enzymes in the gastrointestinal tract.

**membranoproliferative glomerulonephritis** A type of glomerular inflammation characterized by cellular proliferation of the mesangium, with leukocyte infiltration and thickening of the glomerular basement membrane. Immunologically based, the disease is slowly progressive.

**membranous glomerulonephritis** A type of glomerular inflammation characterized by deposition of immunoglobulins and complement along the epithelial side (podocytes) of the basement membrane. The condition is associated with numerous immune-mediated diseases and is the major cause of nephrotic syndrome in adults.

**meninges** The three membranes that surround the brain and spinal cord. The innermost membrane is the pia mater, the outermost membrane is the dura mater, and the centrally located membrane is the arachnoid (or arachnoidea).

**meningitis** Inflammation of the meninges.

**mesangium** The cells that form the structural core tissue of a glomerulus. The mesangium lies between the glomerular capillaries (endothelium) and the podocytes (tubular epithelium). The mesangial cells derive from smooth muscle and have contractility characteristics and the ability to phagocytize and pinocytize.

**mesothelial cells** Flat cells that form a single layer of epithelium that covers the surface of serous membranes (i.e., the pleura, pericardium, and peritoneum).

**midstream clean catch specimen** A urine specimen obtained after thorough cleansing of the glans penis in the male or the urethral meatus in the female. After the cleansing procedure, the patient passes the first portion of the urine into the toilet, stops and collects the midportion in the specimen container, then passes any remaining urine into the toilet. Used for routine urinalysis and urine culture, the specimen is essentially free of contaminants from the genitalia and distal urethra.

**minimal change disease** A type of glomerular inflammation characterized by loss of the podocyte foot processes. An immune-mediated condition that is the major cause of nephrotic syndrome in children.

**myoglobinuria** The presence of myoglobin in urine.

## N

**nephritic syndrome** A group of clinical findings indicative of glomerular damage that include hematuria, proteinuria, azotemia, edema, hypertension, and oliguria. The severity and the combination of features vary with the glomerular disease.

**nephron** The functional unit of the kidney. Each kidney contains approximately 1.3 million

nephrons. A nephron is composed of five distinct areas: the glomerulus, the proximal tubule, the loop of Henle, the distal tubule, and the collecting tubule or duct. Each region of the nephron is specialized and plays a role in the formation and final composition of urine.

**nephrotic syndrome** A collection of clinical findings indicating adverse glomerular changes. It is characterized by proteinuria, hypoalbuminemia, hyperlipidemia, lipiduria, and generalized edema. A nonspecific disorder associated with renal and systemic diseases.

**nocturia** Excessive or increased frequency of urination at night (i.e., the patient excretes >500 mL per night).

**numerical aperture** Number that indicates the resolving power of a lens system. The numerical aperture (NA) is derived mathematically from the refractive index ( $n$ ) of the optical medium (for air,  $n = 1$ ) and the angle of light ( $\mu$ ) made by the lens:  $NA = n \times \sin \mu$ .

## O

**objective** The lens or system of lenses located closest to the specimen. The objective produces the primary image magnification of the specimen.

**occult blood** Small amounts of blood, not visually apparent, in the feces.

**Occupational Safety and Health Administration (OSHA)** Established by Congress in 1970, OSHA is the division of the US Department of Labor that is responsible for defining potential safety and health hazards in the workplace, establishing guidelines to safeguard all workers from these hazards, and monitoring compliance with these guidelines. The intent is to alert, educate, and protect all employees in every environment from potential safety and health hazards.

**oligoclonal bands** Multiple discrete bands in the  $\gamma$  region noted during electrophoresis of plasma or other body fluids (e.g., cerebrospinal fluid).

**oligohydramnios** A decreased amount (<800 mL) of amniotic fluid in the amniotic sac.

**oliguria** A significant decrease in the volume of urine excreted (<400 mL/day).

**osmolality** An expression of concentration in terms of the total number of solute particles present per kilogram of solvent, denoted as osmoles per kilogram  $H_2O$ .

**osmolar clearance** The volume of plasma water cleared by the kidneys each minute that contains the same amount of solutes present in the blood plasma (i.e., the same osmolality). Stated another way, osmolar clearance is the volume of plasma water necessary for the rate of solute elimination. Reported in milliliters per minute, osmolar clearance is determined by the equation  $C = U \times V/P$ , in which  $U$  and  $P$  are the urine and plasma osmolalities, respectively, and  $V$  is the volume of urine excreted in a timed collection, usually 24 hours.

**osmosis** The movement of water across a semipermeable membrane in an attempt

to achieve an osmotic equilibrium between two compartments or solutions of differing osmolality (i.e., an osmotic gradient). This mechanism is passive; that is, it requires no energy.

**oval fat bodies** Renal tubular epithelial cells or macrophages with inclusions of fat or lipids. Often these cells are engorged such that specific cellular identification is impossible.

**overflow proteinuria** An increased amount of protein in urine caused by increased quantities of plasma proteins passing through a healthy glomerular filtration barrier.

## P

**paracentesis** A percutaneous puncture procedure used to remove fluid from a body cavity such as from the pleural, pericardial, or peritoneal cavity.

**parcentered** Term describing objective lenses that retain the same field of view when the user switches from one objective to another of a differing magnification.

**parfocal** Term describing objective lenses that remain in focus when the user switches from one objective to another of a differing magnification.

**passive transport** The movement of a substance (e.g., ion, solute) across a cell membrane along a gradient (e.g., concentration, charge). Passive transport does not require energy.

**peritubular capillaries** The network of capillaries (or plexus) that forms from the efferent arteriole and surrounds the tubules of the nephron in the renal cortex.

**personal protective equipment (PPE)** Items used to eliminate exposure of the body to potentially infectious agents. These barriers include protective gowns, gloves, eye and face protectors, biosafety cabinets (fume hoods), and splash shields.

**phase-contrast microscopy** Type of microscopy in which variations in the specimen's refractive index are converted into variations in light intensity or contrast. Areas of the specimen appear light to dark with halos of varying intensity related to the thickness of the component. Thin, flat components produce less halting and the best detailed images. Phase-contrast microscopy is ideal for viewing low-refractile elements and living cells.

**phenylketonuria** An autosomal recessive inherited enzyme defect or deficiency characterized by an inability to convert phenylalanine to tyrosine. Consequently, phenylalanine is converted to phenylketones, which are excreted in the urine.

**pleocytosis** The presence of a greater-than-normal number of cells in cerebrospinal fluid.

**podocytes** The epithelial cells that line the urinary (Bowman's) space of the glomerulus. These cells completely cover the glomerular capillaries with large fingerlike processes that interdigitate to form a filtration slit. The term *pod*, which is Greek for "foot," relates to the footlike appearance of the podocyte when viewed in cross section. Collectively, the

podocytes constitute the glomerular epithelium that forms Bowman's capsule.

**polarizing microscopy** Type of microscopy that illuminates the specimen with polarized light. Polarizing microscopy is used to identify and classify birefringent substances (i.e., substances that refract light in two directions) that shine brilliantly against a dark background.

**polydipsia** Intense and excessive thirst.

**polyhydramnios (also called *hydramnios*)** An abnormally increased amount (>200 mL) of amniotic fluid in the amniotic sac. Polyhydramnios is often associated with malformations of the fetal central nervous system (i.e., neural tube defects) or gastrointestinal tract.

**polyuria** The excretion of large volumes of urine (>3 L/day).

**porphobilinogen** An intermediate compound, a porphyrin precursor, formed in the production of heme.

**porphyria** The increased production of porphyrin precursors or porphyrins.

**postrenal proteinuria** An increased amount of protein in urine resulting from a disease process that adds protein to urine after its formation by renal nephrons.

**postural (orthostatic) proteinuria** Increased protein excretion in urine only when an individual is in an upright (orthostatic) position.

**preventive maintenance** The performance of specific tasks in a timely fashion to eliminate equipment failure. These tasks vary with the instrument and include cleaning procedures, inspection of components, and component replacement when necessary.

**prostate gland** A lobular gland surrounding the male urethra immediately after it exits the bladder. The prostate is an accessory gland of the male reproductive system and is testosterone dependent. It produces a mildly acidic secretion rich in citric acid, enzymes, proteins, and zinc that is added to ejaculates.

**protein error of indicators** A phenomenon characterized by several pH indicators. These pH indicators undergo a color change in the presence of protein despite a constant pH. Described originally by Sorenson in 1909, the protein error of indicators serves as the basis of the protein screening tests used on reagent strips.

**proteinuria** The presence of an increased amount of protein in urine.

**proximal convoluted tubular cells** Large (approximately 20 to 60  $\mu$ m in diameter) oblong or cigar-shaped cells with a small, often eccentric, nucleus (or they can be multinucleated) and a dense chromatin pattern; these cells form the lining of the proximal tubules.

**proximal tubule** The tubular part of a nephron immediately following the glomerulus. The proximal tubule has a convoluted portion and a straight portion, the latter becoming the loop of Henle after entering the renal medulla.

**Prussian blue reaction (also called *Rous test*)** A chemical reaction used to identify

the presence of iron. Iron-containing granules such as hemosiderin stain a characteristic blue color when mixed with a freshly prepared solution of potassium ferricyanide-HCl.

**pseudochylous effusion** An effusion that appears milky but does not contain chylomicrons and has a low (<50 mg/dL) triglyceride content.

**pseudoperoxidase activity** The action of heme-containing compounds (e.g., hemoglobin, myoglobin) to mimic true peroxidases by catalyzing the oxidation of some substrates in the presence of hydrogen peroxide.

**pyuria** The presence of pus, a protein-rich fluid that contains white blood cells and cellular debris, in urine. Compare with *leukocyturia*.

## Q

**quality assessment (QA)** An established protocol of policies and procedures for all laboratory actions performed to ensure the quality of services (i.e., test results) rendered.

**quality control materials** Materials used to assess and monitor the accuracy and precision (i.e., analytic error) of a method.

## R

**random urine specimen** A urine specimen collected at any time, day or night, without prior patient preparation.

**rapidly progressive glomerulonephritis (RPGN; also called *crested glomerulonephritis*)** A type of glomerular inflammation characterized by cellular proliferation into Bowman's space to form "crescents." Numerous disease processes, including systemic lupus erythematosus, vasculitis, and infection, can lead to its development.

**reflectance photometry** The detection and quantification of light intensity that scatters from a surface.

**reflex testing** A laboratory test automatically performed (and charged for) due to the results obtained on an initially ordered test.

**refractive index** The ratio of light refraction in two differing media ( $n$ ). The refractive index is expressed mathematically using light velocity ( $V$ ) or the angle of refraction ( $\sin \theta$ ) in the two media, as  $n_2/n_1 = V_1/V_2$  or  $n_2/n_1 = \sin \theta_1/\sin \theta_2$ . The refractive index is affected by the wavelength of light used, the temperature of the solution, and the concentration of the solution.

**refractometry** An indirect measurement of specific gravity based on the refractive index of light.

**renal blood flow** The volume of blood that passes through the renal vasculature per unit of time. Renal blood flow normally ranges from 1000 to 1200 mL/min.

**renal clearance** The volume of plasma cleared of a substance by the kidneys per unit of time. Reported in milliliters per minute, renal clearance is determined by the equation  $C = U \times V / P$ , in which  $U$  and  $P$  are the urine and plasma concentrations of the substance, respectively, and  $V$  is the volume of urine

excreted in a timed collection, usually 24 hours. The most common renal clearance test is the creatinine clearance test.

**renal phosphaturia** A rare hereditary disease characterized by an inability of the distal tubules to reabsorb inorganic phosphorus.

**renal plasma flow** The volume of plasma that passes through the renal vasculature per unit of time. Renal plasma flow normally ranges from 600 to 700 mL/min.

**renal proteinuria** Increased quantities of protein in urine as a result of impaired renal function.

**renal threshold level** The plasma concentration of a solute above which the amount of solute present in the ultrafiltrate exceeds the maximal tubular reabsorptive capacity. Once the renal threshold level has been reached, increased amounts of solute are excreted (i.e., lost) in the urine.

**renal tubular acidosis** A renal disorder characterized by an inability of renal tubules to secrete adequate hydrogen ions. Four types are recognized, and they can be inherited or acquired. Patients are unable to produce an acidic urine, regardless of their acid-base status.

**renin** A proteolytic enzyme produced and stored by the cells of the juxtaglomerular apparatus of the renal nephrons. Secretion of renin results in the formation of angiotensin and the secretion of aldosterone; thus renin plays an important role in controlling blood pressure and fluid balance.

**resolution** Ability of a lens to distinguish two points or objects as separate. The resolving power (R) of a microscope depends on the wavelength of light used ( $\lambda$ ) and the numerical aperture of the objective lens. The greater the resolving power, the smaller the distance distinguished between two separate points.

**rhabdomyolysis** The breakdown or destruction of skeletal muscle cells.

## S

**safety data sheet (SDS)** A written document provided by the manufacturer or distributor of a chemical substance listing information about the characteristics of that chemical. An SDS includes the identity and hazardous ingredients of the chemical, its physical and chemical properties (including reactivity), any physical or health hazards, and precautions for safe handling, storage, and disposal of the chemical.

**semiautomated** Indicates that some steps are performed manually and others are automated.

**semiautomated urinalysis** A urinalysis that is partially automated using a reflectance photometry-based analyzer to interpret commercial reagent strip results (i.e., chemical examination). The urine's physical parameters—color and clarity—and the urine microscopic examination results (if performed) are obtained manually.

**seminal fluid (also called semen)** A complex body fluid that transports sperm.

Seminal fluid is composed of secretions from the testes, epididymis, seminal vesicles, and prostate gland.

**seminal vesicles** Paired glands that secrete a slightly alkaline fluid, rich in fructose, into the ejaculatory duct. Most of the fluid in the ejaculate originates in the seminal vesicles.

**seminiferous tubules** Numerous coiled tubules located in the testes. The seminiferous tubules are collectively the site of spermatogenesis. Immature and immotile sperm are released into the seminiferous tubular lumen and are carried by its secretions to the epididymis for maturation.

**serous fluid** A fluid that has a composition similar to that of serum.

**shield of negativity** A term that describes the impediment produced by negatively charged components (e.g., proteoglycans) of the glomerular filtration barrier. Present on both sides of and throughout the filtration barrier, these negatively charged components effectively limit the filtration of negatively charged substances from the blood (e.g., albumin) into the urinary space.

**specific gravity** Measure of the concentration of a solution based on its density. The density of the solution is compared to the density of an equal volume of water at the same temperature. Specific gravity measurements are affected by solute number and solute mass.

**sperm (also called spermatozoa)** Male reproductive cells.

**spherical aberration** Unequal refraction of light rays when they pass through different portions of a lens such that the light rays are not brought to the same focus. As a result, the image produced is blurred or fuzzy and cannot be brought into sharp focus.

**squamous epithelial cells** Large (approximately 40–60 mm in diameter), thin, flagstone-shaped cells with a small, condensed, centrally located nucleus (or they can be anucleated) that form the lining of the urethra in the female and the distal urethra in the male.

**Standard Precautions** One tier of the *Guidelines for Isolation Precautions* from the Healthcare Infection Control Practices Advisory Committee (HICPAC) and the Centers for Disease Control and Prevention (CDC) that describes procedures to prevent transmission of infectious agents when obtaining, handling, storing, or disposing of all blood, body fluid, or body substances, regardless of patient identity or patient health status. All body fluids including secretions and excretions should be treated as potentially infectious.

**stat** An abbreviation for the Latin word *statim*, which means “immediately.”

**steatorrhea** The excretion of greater than 7 g/day of fat in the feces.

**subarachnoid space** The space between the arachnoid (or arachnoidea) and the pia mater.

**suprapubic aspiration** A technique used to collect urine directly from the bladder by puncturing the abdominal wall and distended

bladder using a sterile needle and syringe.

Aspiration is used primarily to obtain sterile specimens for bacterial cultures from infants and occasionally from adults.

**synovial fluid** Fluid within joint cavities.

Synovial fluid is formed by ultrafiltration of plasma across the synovial membrane and by secretions from synoviocytes.

**synoviocytes** Cells of the synovial membrane. Two types of synoviocytes differ on the basis of their physiologic roles. The predominant type is actively phagocytic and synthesizes degradative enzymes; the second type synthesizes and secretes hyaluronate.

**systemic lupus erythematosus** An autoimmune disorder that affects numerous organ systems and is characterized by autoantibodies. The disease is chronic and frequently insidious, often causes fever, and involves varied neurologic, hematologic, and immunologic abnormalities. Renal involvement, as well as pleuritis and pericarditis, is common. The clinical presentation varies greatly and is associated with a constellation of symptoms such as joint pain, skin lesions, leukopenia, hypergammaglobulinemia, antinuclear antibodies, and LE cells (i.e., neutrophils with a phagocytized homogeneous nucleus).

## T

**Tamm-Horsfall protein** See uromodulin.

**technical competence** The ability of an individual to perform a skilled task correctly. Technical competence also includes the ability to evaluate results, such as recognizing discrepancies and absurdities.

**tenesmus** The feeling or urge to pass stool even though the colon is already empty. It can lead to straining with little or no stool produced. It is often associated with pain and cramping, and it can be constant or intermittent.

**test utilization** The frequency with which a test is performed on a single individual and how it is used to evaluate a disease process. Repeat testing of an individual is costly and may not provide additional or useful information. Sometimes a different test may provide more diagnostically useful information.

**timed collection** A urine specimen collected throughout a specific timed interval. The patient voids at the beginning of the collection and discards this urine and then collects all subsequent urine. At the end of the time interval, the patient voids and includes this urine in the collection. This technique is used primarily for quantitative urine assays because it allows comparison of excretion patterns from day to day; the most common are 12-hour and 24-hour collections.

**titratable acids** A term that represents  $H^+$  ions (acid) excreted in the urine as monobasic phosphate (e.g.,  $NaH_2PO_4$ ). Urinary excretion of these acids results in the elimination of  $H^+$  ions and the reabsorption of sodium and bicarbonate. The titration of urine to a pH of 7.4 (normal plasma pH) using a standard base (e.g., NaOH) will quantitate the number of  $H^+$  ions excreted in this form—hence the name *titratable acids*.

**transitional (urothelial) epithelial**

**cells** Round or pear-shaped cells with an oval to round nucleus and abundant cytoplasm. These cells form the lining of the renal calyces, renal pelvis, ureters, and bladder. They vary considerably in size, ranging from 20 to 40  $\mu\text{m}$  in diameter, depending on their location in the three principal layers of this epithelium—that is, the superficial layer, the intermediate layers, and the basal layer.

**transudate** An effusion in a body cavity caused by increased hydrostatic pressure (i.e., blood pressure) or decreased plasma oncotic pressure. A transudate is identified by a fluid-to-serum total protein ratio of less than 0.5 and a fluid-to-serum lactate dehydrogenase ratio of less than 0.6.

**tubular proteinuria** Increased quantities of protein in urine caused by impaired or altered renal tubular function.

**tubular reabsorption** The movement of substances (by active or passive transport) from the tubular ultrafiltrate into the peritubular blood or the interstitium by the renal tubular cells.

**tubular secretion** The movement of substances (by active or passive transport) from the peritubular blood or the interstitium into the tubular ultrafiltrate by the renal tubular cells.

**turnaround time (TAT)** To the laboratorian, the time that elapses from receipt of the specimen in the laboratory to the reporting of test results on that specimen. Physicians and nursing personnel assign a broader time frame.

**tyrosinuria** The presence of the amino acid tyrosine in urine.

**U**

**urea cycle** A passive process occurring throughout the nephron that establishes and maintains a high concentration of urea in the renal medulla. This process accounts for approximately 50% of the solutes concentrated in the medulla. With the countercurrent exchange mechanism, the urea cycle helps establish and maintain the high medullary osmotic gradient. Because urea can passively diffuse into the interstitium and back into the lumen fluid, the selectivity of the tubular epithelium in each portion of the nephron plays an integral part in the urea cycling process.

**urinary tract infection** The invasion and proliferation of microorganisms in the kidney or urinary tract.

**urine preservative** A chemical substance or process used to prevent composition changes in a urine specimen (e.g., loss or gain of chemical substances, deterioration of formed elements). The most common form of urine preservation is refrigeration.

**urobilinogen** A colorless tetrapyrrole derived from bilirubin. Urobilinogen is produced in the intestinal tract by the action of anaerobic bacteria and later is reabsorbed partially. Most reabsorbed urobilinogen is reprocessed by the liver and reexcreted in the bile; the rest passes to the kidneys for excretion in the urine. The portion of urobilinogen that is not reabsorbed becomes oxidized to the orange-brown pigment urobilin in the large intestine; this accounts for the characteristic color of feces.

**urobilins** Orange-brown pigments responsible for the characteristic color of feces. Specifically, the pigments are stercobilin, mesobilin, and urobilin, which result from spontaneous intestinal oxidation of the colorless tetrapyrroles: stercobilinogen, mesobilinogen, and urobilinogen.

**urochrome** A lipid-soluble yellow pigment that is produced continuously during endogenous metabolism. Present in plasma and excreted in the urine, urochrome gives urine its characteristic yellow color.

**uroerythrin** A pink (or red) pigment in urine that is thought to derive from melanin metabolism. Uroerythrin deposits on urate crystals to produce a precipitate described as “brick dust.”

**uromodulin** A glycoprotein (formerly known as *Tamm-Horsfall protein*) that is produced and secreted only by renal tubular cells, particularly those of the thick ascending limbs of the loop of Henle and the distal convoluted tubules. Studies have demonstrated that uromodulin plays a role in the following functions in the kidney: water impermeability of the tubules where it is expressed, defense against infectious agents (e.g., bacteria), and inhibition of calcium salt aggregation.

**V**

**vaginal fornix** The arched recesses of the vaginal mucosa that surround the cervix. Of the four recesses, the posterior fornix is deeper than the anterior and lateral (right and left) fornices.

**vaginal pool** The mucus and cells present in the posterior fornix of the vagina when a female is in a supine position.

**vaginitis** Inflammation of the vagina.

**vaginosis, bacterial** Inflammation of the vagina and upper genital tract due to an alteration in the normal bacterial flora such that the number of lactobacilli are decreased. It is most often caused by an overgrowth of *Gardnerella vaginalis* in association with anaerobes such as *Mobiluncus* species.

**vapor pressure osmometer** An instrument that measures osmolality based on the vapor pressure depression of a solution compared to that of pure water. The dew point of the air in a closed chamber containing a small amount of a sample is measured and compared to that obtained using pure water. A calibrated microprocessor converts the change observed in the dew point into osmolality, which is read directly from the instrument readout.

**vasa recta** The vascular network of long, U-shaped capillaries that forms from the peritubular capillaries and surrounds the loops of Henle in the renal medulla.

**vasectomy** A procedure in which both vas deferens are surgically severed and at least one end of each is sealed. It is a male sterilization procedure because it prevents sperm from becoming part of the ejaculate.

**ventricles** The four fluid-filled cavities in the brain lined with ependymal cells. The choroid plexus is located in the ventricles.

**viscosity** A measure of fluid flow or its resistance to flow. Low-viscosity fluids (e.g., water) flow freely and form discrete droplets when expelled drop by drop from a pipette. In contrast, high-viscosity fluids (e.g., corn syrup) flow less freely and do not form discrete droplets; rather, they form threads or strings when expelled from a pipette. Seminal fluid viscosity varies after ejaculation and must be evaluated within 60 minutes of collection.

**vulva** The outer area of the female genitals. It includes the vaginal opening, labia majora, labia minora, and clitoris.

**vulvovaginitis** Inflammation of the vulva and vagina or of the vulvovaginal glands (i.e., Bartholin's glands).

**X**

**xanthochromia** The pink, orange, or yellowish discoloration of supernatant cerebrospinal fluid after centrifugation.

**Y**

**yeast infection** An inflammatory condition that results from the proliferation of a fungus, most commonly *Candida* species.



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