

# Sixth Edition MEDICAL PHARMACOLOGY BHARMACOLOGY CHERAPEUTICS

# Derek G. Waller Anthony P. Sampson Andrew W. Hitchings





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#### AL GRAWANY

# MEDICAL PHARMACOLOGY & THERAPEUTICS

#### DEDICATION

To our families

#### AL GRAWANY

# Sixth Edition MEDICAL PHARMACOLOGY BHARMACOLOGY BHERAPEUTICS

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## Preface

The sixth edition of *Medical Pharmacology and Therapeutics* has been revised and updated to build upon the strengths and popular approach of previous editions. Its aim remains to provide a single volume for healthcare professionals and students requiring a sound knowledge of the basic principles of clinical pharmacology combined with the practice of drug prescribing for the management of disease.

*Medical Pharmacology and Therapeutics* relates key information on basic pharmacology to other relevant biomedical sciences in order to underpin the clinical contexts, and each disease-based chapter is then structured to reflect the ways that relevant drugs are used in clinical practice. The chapters covering generic concepts in pharmacology and therapeutics include sections on drug action at a cellular level, pharmacokinetics, pharmacogenetics, drug development, drug toxicity and the principles of prescribing. The sections on clinical management in each disease area have been thoroughly revised and updated in line with best practice and the relevant national guidelines, including on COVID-19.

Each chapter in this sixth edition retains the following key features:

- An up-to-date and succinct explanation of the major pathogenic mechanisms of disease and consequent clinical symptoms and signs, helping the reader to put into context the actions of drugs and the consequences of their therapeutic use.
- A comprehensive review of major drug classes relevant to the disease in question. Basic pharmacology is described with clear identification of the molecular targets, clinical characteristics, important

pharmacokinetic properties and unwanted effects associated with individual drug classes. Example drugs are covered in depth to illustrate the common pharmacological characteristics of their class and to introduce the reader to those drugs currently in the most widespread clinical use.

- A structured approach to the principles of disease management, outlining the core principles of drug choice and planning a therapeutic regimen for many common diseases.
- Drug compendia at the close of each chapter enable any drug encountered by the reader in day-to-day work or study to be placed within its drug class and its key features related to the example drugs covered in the chapter.
- An expanded section of self-assessment questions for learning and revision of the concepts and content in each chapter, including one-best-answer (OBA), extended-matching-item (EMI), true-false and case scenario-based questions.

It is our intention that the sixth edition of this book will encourage readers to develop a deeper understanding of the principles of drug usage that will help them to become safe and effective prescribers, to enable them to evaluate the findings of basic and clinical research, to encourage them to teach and train others in their profession, and to develop the skills of lifelong learning that will enable them to tackle changing healthcare needs and emerging threats.

> DGW APS AWH

## **Drug Dosage and Nomenclature**

#### **DRUG NOMENCLATURE**

In the past, the nonproprietary (generic) names of some drugs have varied from country to country, leading to potential confusion. Progressively, international agreement has been reached to rationalise these variations in names and a single recommended International Nonproprietary Name (INN) given to all drugs. Where the previously given British Approved Name (BAN) and the INN have differed, the INN is now the accepted name and is used throughout this book.

A special case has been made for two medicinal substances: adrenaline (INN: epinephrine) and noradrenaline (INN: norepinephrine). Because of the clinical importance of these substances and the widespread European use and understanding of the terms adrenaline and noradrenaline, manufacturers have been asked to continue to dual-label products adrenaline (epinephrine) and noradrenaline (norepinephrine). In this book, where the use of these agents as administered drugs is being described, dual names are given. In keeping with European convention, however, adrenaline and noradrenaline alone are used when referring to the physiological effects of the naturally occurring substances.

#### **DRUG DOSAGES**

Medical knowledge is constantly changing. As new information becomes available, changes in treatment, procedures, equipment and the use of drugs become necessary. The authors and the publishers have taken care to ensure that the information given in the text is accurate and up to date. However, readers are strongly advised to confirm that the information, especially with regard to drug usage, complies with the latest legislation and standards of practice.

# Principles of Pharmacology and Mechanisms of Drug Action

# 1

#### **Chapter Outline**

Studying Pharmacology 1 Finding Drug Information 2 Receptors and Receptor-Mediated Mechanisms 2 Actions of Drugs at Binding Sites (Receptors) 3 Major Types of Receptors 3 Other Sites of Drug Action 10 Properties of Receptors 11 Properties of Drug Action 14 Dose-Response Relationships 14 Selectivity 15 Potency 15 Efficacy 15 Types of Drug Action 15 Agonists 16 Antagonists 16

Partial Agonists 17 Inverse Agonists 17 Allosteric Modulators 17 Enzyme Inhibitors and Activators 18 Nonspecific Actions 18 Physiological Antagonists 18 Tolerance to Drug Effects 18 Genetic Variation in Drug Responses 18 Summary 20 Self-Assessment 20 Answers 20 Further Reading 21 Examples of Cell Surface Receptor Families and Their Properties 22 Appendix: Student Formulary 27

#### STUDYING PHARMACOLOGY

Drugs are defined as active substances administered to prevent, diagnose or treat disease, to alleviate pain and suffering, or to extend life. Pharmacology is the study of the effects of drugs on biological systems, with medical (or clinical) pharmacology concerned with the drugs that doctors and some other healthcare professionals prescribe for their patients. The prescribing of drugs has a central role in therapeutics and gaining a good knowledge of pharmacology is essential for health professionals to become safe and effective prescribers.

Drugs may be chemically synthesised or purified from natural sources with or without further modification, but their development and clinical use are based on rational evidence of efficacy and safety derived from controlled experiments and randomised clinical trials. Drugs can be contrasted with placebos (*placebo* is Latin for 'I will please'), defined as inactive substances administered as though they are drugs, but which have no therapeutic effects other than pleasing the patient, providing a sense of security and progress. Pharmacology evolved on the principle of studying known quantities of purified, active substances to identify their specific mechanisms of action and to quantify their effects in a reproducible manner, usually compared against a placebo or other control substance. Much of the success of modern medicine is based on pharmacological science and its contribution to the development of safe and effective pharmaceuticals. This book is confined to pharmacology as it relates to human medicine and aims to develop knowledge and understanding of medical pharmacology and its application to therapeutics. The objectives of learning about medical pharmacology and therapeutics are:

- to understand the ways that drugs work to affect human systems, as a basis for safe and effective prescribing;
- to appreciate that pharmacology must be understood in parallel with related biological and medical sciences, including biochemistry, physiology and pathology;
- to develop numerical skills for calculating drug doses and dilutions, and to enable accurate comparison of the relative benefits and risks of different drugs; and
- to comprehend and participate in pharmacological research, advancing the better treatment of patients.

The answer to the frequently asked question 'What do I need to know?' will depend upon the individual requirements of the programme of study and the examinations that will be taken. The depth and type of knowledge required in different areas and topics will vary when progressing through the programme; for example, early in the course it may be important to know whether a drug has a narrow safety margin between its wanted and unwanted effects, and in the later years this may translate into detailed knowledge of how the drug's effects are monitored in clinical use. Personal enthusiasm for medical pharmacology is important and should be driven by the recognition that prescribing medicines is the most common intervention doctors (and increasingly other health professionals) use to improve the health of their patients.

#### FINDING DRUG INFORMATION

Learning about medical pharmacology is best approached using a variety of resources in a range of learning scenarios and preferably in the context of basic science and therapeutics, not from memorising lists of drug names. The following provides a useful structure to organise the types of information that you should aim to encounter:

- the nonproprietary (generic) drug name (not the proprietary or trade name);
- the *class or group* to which the drug belongs;
- the way the drug works (its *mechanism of action*), usually shared to variable extents by other drugs in the same class;
- the main clinical effects of the drug and hence the reasons for using it (its *indications*);
- where it fits into the overall therapeutic pathway for that indication (its *place in therapy*);
- any reasons why the drug should not be used in a particular situation (its *contraindications*);
- whether the drug is a *prescription-only medicine* (PoM) or is available *over-the-counter* (OTC) without prescription;
- how the drug is given (*routes of administration*);
- how its effects are quantified and its doses modified if necessary (therapeutic drug monitoring);
- how the drug is absorbed, distributed, metabolised and excreted (ADME; its *pharmacokinetics*), particularly where these show unusual characteristics;
- the drug's unwanted effects, including any interactions with other drugs or foods;
- whether there are nonpharmacological treatments that are effective alternatives to drug treatment or will complement the effect of the drug.

The *Appendix* at the end of this chapter provides a formulary of core members of each major drug class to give students in the early stages of training a manageable list of the drugs most likely to be encountered in clinical practice. At the end of later chapters, a *Compendium* provides a classified listing and key characteristics of those drugs discussed within the main text of each chapter and also other drugs listed in the corresponding section of the *British National Formulary* (BNF).

The BNF (https://bnf.nice.org.uk) and its equivalent for prescribing in children, the BNFC (https://bnfc. nice.org.uk) contain monographs for all drugs licensed in the UK and they are the key drug references for UK prescribers. They are also available as a mobile device app (iOS and Android) from BNF Publications. Students should become familiar at an early stage with using the BNF for reference. More detailed information on individual drugs (the summaries of product characteristics [SPC]), patient information leaflets (PIL) and contact details for pharmaceutical companies is available from the electronic Medicines Compendium (eMC; www.medicines.org.uk/emc/).

## RECEPTORS AND RECEPTOR-MEDIATED MECHANISMS

Pharmacology describes how the physical interaction of drug molecules with their macromolecular targets ('receptors') modifies biochemical, immunological, physiological and pathological processes to generate desired responses in cells, tissues and organs. Drugs have been designed to interact with many different types of macromolecules that evolved to facilitate endogenous signalling between cells, tissue and organs, or to play key roles in the normal cellular and physiological processes that maintain controlled conditions (homeostasis). Drugs may also target macromolecules produced by pathogens, including viruses and bacteria. Although the term 'receptor' was originally applied in pharmacology to describe any such drug target, more commonly a receptor is now defined in biochemical terms as a molecule on the surface of a cell (or inside it) that receives an external signal and produces some type of cellular response.

The function of such a receptor can be divided typically into three main stages:

- 1. *The generation of a biological signal.* Homeostasis is maintained by communication between cells, tissues and organs to optimise bodily functions and responses to external changes. Communication is usually by signals in the form of chemical messengers, including neurotransmitter molecules, local mediators or endocrine hormones. The signal molecule is termed a *ligand* because it ligates (ties) to the specialised cellular macromolecule. The cellular macromolecule is a *receptor* because it receives the ligand.
- 2. *Cellular recognition sites (receptors).* The signal is recognised by responding cells by its interaction with a site of action, binding site or receptor, which may be in the cell membrane, the cytoplasm or the nucleus. Receptors in the cell membrane react with extracellular ligands that cannot readily cross the cell membrane (such as peptides). Receptors in the cytoplasm often react with lipid-soluble ligands that can cross the cell membrane.
- 3. *Cellular changes.* Interaction of the signal and its site of action in responding cells results in functional changes within the cell that give rise to an appropriate biochemical or physiological response to the original homeostatic stimulus. This response

may be cell division, a change in cellular metabolic activity or the production of substances that are exported from the cell.

Each of these three stages provides important targets for drug action, and this chapter will outline the principles underlying drug action mainly in stages 2 and 3.

## ACTIONS OF DRUGS AT BINDING SITES (RECEPTORS)

For very many drugs, the first step in producing a biological effect is by interaction of the drug with a receptor, either on the cell membrane or inside the cell, and it is this binding that triggers the cellular response. Drugs may be designed to mimic, modify or block the actions of endogenous ligands at that receptor. The classified list of key receptors at the end of this chapter shows that cell-membrane and cytosolic receptors tend to occur in different families (receptor types), reflecting their evolution from common ancestors. Within any one family of receptors, different receptor subtypes have evolved to facilitate increasingly specific signalling and distinct biological effects. As might be expected, different receptor families have different characteristics, but subtypes within each family retain common family traits.

In pharmacology, the perfect drug would be one that binds only to one type or subtype of receptor and consistently produces only the desired biological effect without the unwanted effects that can occur when drugs bind to a related receptor. Although this ideal is impossible to attain, it has proved possible to develop drugs that bind avidly to their target receptor to produce their desired effect and have very much less (but not zero) ability to bind to other receptors, even ones within the same family, which might otherwise produce unwanted effects.

Where a drug binds to one type of receptor in preference to another, it is said to show *selectivity of binding* or *selectivity of drug action*. Selectivity is never absolute but is high with some drugs and lower with others. A drug with a high degree of selectivity is likely to show a greater difference between the dose required for its biological action and the dose that produces unwanted actions at other receptor types. Even a highly selective drug may produce unwanted effects if its target receptors are also found in tissues and organs other than those in which the drug is intended to produce its therapeutic effect.

#### **MAJOR TYPES OF RECEPTORS**

Despite the great structural diversity of drug molecules, most act on the following major types of receptors to bring about their pharmacological effects:

- *Transmembrane ion channels.* These control the passage of ions across membranes and are widely distributed.
- Seven-transmembrane (7TM) (heptahelical) receptors. This
  is a large family of receptors, most of which signal via
  guanine nucleotide-binding proteins (G-proteins).
  Following activation by a ligand, second messenger

substances are formed inside the cell, which can bring about cellular molecular changes, including the opening of transmembrane ion channels.

- *Enzyme-linked transmembrane receptors.* This is a family of transmembrane receptors with an integral or associated enzymic component, such as a kinase or phosphatase. Activation of these enzymes produces changes in cells by phosphorylating or dephosphorylating intracellular proteins, including the receptor itself, thereby altering their activity.
- *Intracellular (nuclear) receptors.* These receptors are found in the nucleus or translocate to the nucleus from the cytosol to modify gene transcription and the expression of specific cellular proteins.

#### **Transmembrane Ion Channels**

Transmembrane ion channels that create pores across phospholipid membranes are ubiquitous and allow the transport of ions into and out of cells. The intracellular concentrations of ions are controlled by a combination of two types of ion channel:

- ion pumps and transporters, which transport specific ions from one side of the membrane to the other in an energy-dependent manner, usually against their concentration gradient;
- ion channels, which open to allow the selective, passive transfer of ions down their concentration gradients. Based on concentration gradients across the cell membrane:
- both Na<sup>+</sup> and Ca<sup>2+</sup> ions will diffuse into the cell if their channels are open, making the electrical potential of the cytosol more positive and causing depolarisation of excitable tissues;
- K<sup>+</sup> ions will diffuse out of the cell, making the electrical potential of the cytosol more negative and inhibiting depolarisation;
- Cl<sup>-</sup> ions will diffuse into the cell, making the cytosol more negative and inhibiting depolarisation.

The two major families of ion channel are the *ligand-gated ion channels* (*LGICs*) and the *voltage-gated ion channels* (*VGICs*; also called *ionotropic receptors*). LGICs are opened by the binding of a ligand, such as the neuro-transmitter acetylcholine, to an extracellular part of the channel. VGICs, in contrast, are opened at particular membrane potentials by voltage-sensing segments of the channel. Both channel types can be targets for drug action. Both LGICs and VGICs can control the movement of a specific ion, but a single type of ion may flow through more than one type of channel, including both LGIC and VGIC types. This evolutionary complexity can be seen in the example of the multiple types of K<sup>+</sup> channel described in Chapter 8 (listed in Table 8.1).

LGICs include nicotinic acetylcholine receptors,  $\gamma$ aminobutyric acid (GABA) receptors, glycine receptors and serotonin (5-hydroxytryptamine) 5-HT<sub>3</sub> receptors. They are typically pentamers, with each subunit comprising four transmembrane helices clustering around a central channel or pore. Each peptide subunit is



Fig. 1.1 The acetylcholine nicotinic receptor, a typical ligandgated transmembrane ion channel. (A) The receptor is constructed from subunits with four transmembrane regions (M1–M4). (B) Five subunits are assembled into the ion channel, which has two sites for acetylcholine (*ACh*) binding, each formed by the extracellular domains of two adjacent subunits. On acetylcholine binding, the central pore undergoes conformational change that allows selective Na<sup>+</sup> ion flow down its concentration gradient into the cell. *C*, Carboxyl terminus; *N*, amino terminus.

orientated so that hydrophilic chains face towards the channel and hydrophobic chains towards the membrane lipid bilayer. Binding of an active ligand to the receptor causes a conformational change in the protein and results in extremely fast opening of the ion channel. The nicotinic acetylcholine receptor is a good example of this type of structure (Fig. 1.1). It requires the binding of two molecules of acetylcholine for channel opening, which lasts only milliseconds because the ligand rapidly dissociates and is then inactivated by acetylcholinesterase. Drugs may modulate LGIC activity by binding directly to the channel, or indirectly by acting on G-protein–coupled receptors (GPCRs; discussed later), with the subsequent intracellular events then affecting the status of the LGIC.

VGICs include Ca<sup>2+</sup>, Na<sup>+</sup> and K<sup>+</sup> channels. The K<sup>+</sup> channels consist of four distinct peptide subunits, each of which has between two and six transmembrane helices; in Ca<sup>2+</sup> and Na<sup>+</sup> channels there are four domains, each with six transmembrane helices, within a single large protein. The pore-forming regions of the transmembrane helices are largely responsible for the selectivity of



**Fig. 1.2 Hypothetical seven-transmembrane (7TM) receptor.** The 7TM receptor is a single polypeptide chain with its amino (N-) terminus outside the cell membrane and its carboxyl (C-) terminus inside the cell. The chain is folded such that it crosses the membrane seven times, with each hydrophobic transmembrane region shown here as a thickened segment. The hydrophilic extracellular loops create a confined three-dimensional environment in which only the appropriate ligand can bind. Other potential ligands may be too large for the site or show much weaker binding characteristics. Selective ligand binding causes conformational change in the three-dimensional form of the receptor, which activates signalling proteins and enzymes associated with the intracellular loops, such as G-proteins and nucleotide cyclases.

the channel for a particular ion. Both Na<sup>+</sup> and K<sup>+</sup> channels are inactivated after opening; this is produced by an intracellular loop of the channel, which blocks the open channel from the intracellular end. The activity of VGICs may thus be modulated by drugs acting directly on the channel, such as local anaesthetics which maintain Na<sup>+</sup> channels in the inactivated site by binding at an intracellular site (see Chapter 18). Drugs may also modulate VGICs indirectly via intracellular signals from other receptors. For example, L-type Ca<sup>2+</sup> channels are inactivated directly by calcium channel blockers, but also indirectly by drugs which reduce intracellular signalling from the  $\beta_1$  subtype of adrenoceptors (see Fig. 5.5).

The ability of highly variable transmembrane subunits to assemble in a number of configurations leads to the existence of many different subtypes of channels for a single ion. For example, there are many different voltage-gated Ca<sup>2+</sup> channels (L, N, P/Q, R and T types).

#### Seven-Transmembrane Receptors

Also known as 7TM receptors, heptahelical receptors and serpentine receptors, this family is an extremely important group, as the human genome has about 750 sequences for 7TM receptors and they are the targets of over 30% of current drugs. The function of over a hundred 7TM receptors is still unknown. The structure of a hypothetical 7TM receptor is shown in Fig. 1.2; the N-terminal region of the polypeptide chain is on the extracellular side of the membrane, and the polypeptide traverses the membrane seven times with helical regions, so that the C-terminus is on the inside of the cell. The extracellular loops provide the receptor site for an appropriate agonist (a natural ligand or a drug), the binding of which alters the three-dimensional conformation of the receptor protein. The intracellular loops are involved in coupling this conformational change to the second messenger system, usually via a heterotrimeric G-protein, giving rise to the term GPCR (G-protein–coupled receptor).

#### The G-protein system

The heterotrimeric G-protein system (Fig. 1.3) consists of  $\alpha$ ,  $\beta$  and  $\gamma$  subunits.

• The  $\alpha$ -subunit. Eighteen different types have been identified, belonging to four families ( $\alpha_{s'}$ ,  $\alpha_i$ ,  $\alpha_q$  and  $\alpha_{12/13}$ ). The  $\alpha$ -subunit is important because it binds guanosine diphosphate (GDP) and guanosine triphosphate (GTP) in its inactive and active states, respectively; it also has GTPase activity, which is involved in terminating its own activity. When an agonist binds to the receptor, GDP (which is normally present on the  $\alpha$ -subunit) is replaced by GTP. The active  $\alpha$ -subunit–GTP dissociates from the  $\beta\gamma$ -subunits and can activate enzymes such as adenylyl cyclase. The  $\alpha$ -subunit–GTP complex is inactivated when the GTP is hydrolysed back to GDP by the GTPase, a process that is accelerated by GTPase-activating proteins (GAP).

 The βγ-complex. There are 5 isoforms of β-subunit and 12 isoforms of γ-subunit that can combine into dimers, the normal function of which is to inhibit the α-subunit when the receptor is unoccupied. When the receptor is occupied by a ligand, the βγ-complex dissociates from the α-subunit and can itself activate cellular enzymes, such as phospholipase C. The α-subunit–GDP and βγ-subunit then recombine with the receptor protein to give the inactive form of the receptor–G-protein complex.

#### Second messenger systems

Second messengers are the key distributors of an external signal, as they are released into the cytosol as a consequence of receptor activation and are responsible for affecting a wide variety of intracellular enzymes, ion channels and transporters. There are two complementary second messenger systems: the cyclic nucleotide system and the phosphatidylinositol system (Fig. 1.4).

**Cyclic nucleotide system.** This system is based on cyclic nucleotides, such as:

 Cyclic adenosine monophosphate (cAMP), which is synthesised from adenosine triphosphate (ATP) by adenylyl cyclase. cAMP induces numerous cellular responses by activating protein kinase A (PKA),



Fig. 1.3 The functioning of G-protein subunits. Ligand (agonist) binding results in replacement of guanosine diphosphate (*GDP*) on the  $\alpha$ -subunit by guanosine triphosphate (*GTP*) and the dissociation of the  $\alpha$ - and  $\beta\gamma$ -subunits, each of which can affect a range of intracellular systems (shown as *E* in the figure) such as second messengers (e.g. adenylyl cyclase and phospholipase C), or other enzymes and ion channels (see Figs. 1.4 and 1.5). Hydrolysis of GTP to GDP inactivates the  $\alpha$ -subunit, which then recombines with the  $\beta\gamma$ -dimer to reform the inactive receptor.



**Fig. 1.4 Second messenger systems.** Stimulation of G-protein–coupled receptors produces intracellular changes by activating or inhibiting cascades of second messengers. Examples are cyclic adenosine monophosphate (*cAMP*), and diacylglycerol (*DAG*) and inositol triphosphate (*IP*<sub>2</sub>) formed from phosphatidylinositol 4,5-bisphosphate (*PIP*<sub>2</sub>). See also Fig. 1.5. *ATP*, Adenosine triphosphate.

which phosphorylates proteins, many of which are enzymes. Phosphorylation can either activate or suppress cell activity.

• Cyclic guanosine monophosphate (cGMP), which is synthesised from GTP by guanylyl cyclase. cGMP exerts most of its actions through protein kinase G, which, when activated by cGMP, phosphorylates target proteins.

There are 10 isoforms of adenylyl cyclase in mammals; these show different tissue distributions and could be important sites of selective drug action in the future. The cyclic nucleotide second messenger (cAMP or cGMP) is inactivated by hydrolysis by phosphodiesterase (PDE) isoenzymes to give AMP or GMP. There are 12 different families of PDE isoenzymes (Table 1.1), some of which are the targets of important drug groups, including selective PDE4 inhibitors used in respiratory disease and PDE5 inhibitors used in erectile dysfunction.

**The phosphatidylinositol system.** The other second messenger system is based on inositol 1,4,5-triphosphate (IP<sub>3</sub>) and diacylglycerol (DAG), which are synthesised from the membrane phospholipid phosphatidylinositol 4,5-bisphosphate (PIP<sub>2</sub>) by phospholipase C (see Fig. 1.4). There is a number of isoenzymes of phospholipase C, which may be activated by the α-subunit–GTP or βγ-subunits of G-proteins. The main function of IP<sub>3</sub> is to mobilise Ca<sup>2+</sup> in cells. With the increase in Ca<sup>2+</sup> brought about by IP<sub>3</sub>, DAG can activate protein kinase C (PKC) and phosphorylate target proteins. IP<sub>3</sub> and DAG are then inactivated and converted back to PIP<sub>2</sub>.

Which second messenger system is activated when a GPCR binds a selective ligand depends

primarily on the nature of the G $\alpha$ -subunit, as illustrated in Fig. 1.5:

- G<sub>s</sub>: Stimulation of adenylyl cyclase (increases cAMP), activation of Ca<sup>2+</sup> channels.
- G<sub>i/o</sub>: Inhibition of adenylyl cyclase (reduces cAMP), inhibition of Ca<sup>2+</sup> channels, activation of K<sup>+</sup> channels.
- G<sub>q/11</sub>: Activation of phospholipase C, leading to DAG and IP<sub>3</sub> signalling.
- G<sub>12/13</sub>: Activation of cytoskeletal and other proteins via the Rho family of GTPases, which influence smooth muscle contraction and proliferation.

The  $\beta\gamma$ -complex also has signalling activity: it can activate phospholipases and modulate some types of K<sup>+</sup> and Ca<sup>2+</sup> channels.

Activation of these second messenger systems by G-protein subunits thus affects many cellular processes such as enzyme activity (either directly or by altering gene transcription), contractile proteins, ion channels (affecting depolarisation of the cell) and cytokine production. The many different isoforms of  $G_{\alpha}$ ,  $G_{\beta}$  and  $G_{\gamma}$  proteins may provide important future targets for selective drugs.

It is increasingly recognised that GPCRs may assemble into dimers of identical 7TM proteins (homodimers) or into heterodimers of different receptor proteins; the functional consequences of GPCR dimerisation and its implications for drug therapy are unclear.

#### Protease-activated receptors

Protease-activated receptors (PARs) are GPCRs stimulated unusually by a 'tethered ligand' located within the N terminus of the receptor itself, rather than by an independent ligand. Proteolysis of the N-terminal sequence by serine proteases such as thrombin, trypsin

ENZYME	MAIN SUBSTRATE	MAIN SITE(S)	EXAMPLES OF INHIBITORS	THERAPEUTIC POTENTIAL
PDE1	cAMP + cGMP	Heart, brain, lung, lymphocytes, vascular smooth muscle	-	Atherosclerosis?
PDE2	cAMP + cGMP	Adrenal gland, brain, heart, lung, liver, platelets, endothelial cells	-	Involved in memory?
PDE3	cAMP + cGMP	Heart, lung, liver, platelets, adipose tissue, inflammatory cells, smooth muscle	Aminophylline Cilostazol Dipyridamole Enoximone Milrinone	Asthma (Chapter 12) Congestive heart failure (Chapter 7) Peripheral vascular disease (Chapter 10)
PDE4	cAMP	Sertoli cells, endothelial cells, kidney, brain, heart, liver, lung, inflammatory cells	Aminophylline Roflumilast	Asthma, COPD (Chapter 12) Inflammation IBD?
PDE5	cGMP	Smooth muscle, endothelium, neurons, lung, platelets	Sildenafil Tadalafil Vardenafil Dipyridamole	Erectile dysfunction (Chapter 16) Pulmonary hypertension (Chapter 6)
PDE6	cGMP	Photoreceptors, pineal gland	Sildenafil (weak)	Undefined
PDE7	cAMP	Skeletal muscle, heart, kidney, brain, pancreas, spinal cord, T-lymphocytes	-	Inflammation (combined with PDE4 inhibitor)? Spinal cord injury?
PDE8	cAMP	Testes, eye, liver, skeletal muscle, heart, kidney, ovary, brain, T-lymphocytes	_	Undefined
PDE9	cGMP	Kidney, liver, lung, brain	_	Undefined
PDE10	cAMP + cGMP	Testes, brain, thyroid	-	Schizophrenia?
PDE11	cAMP + cGMP	Skeletal muscle, prostate, kidney, liver, pituitary and salivary glands, testes	Tadalafil (weak)	Undefined
PDE12	cAMP and oligoadenylates	Many tissue sites	-	Undefined

### Selective inhibitors of some PDE isoenzymes are shown; methylxanthines such as caffeine, theophylline and theobromine are nonselective inhibitors of multiple PDE isoenzymes.

*cAMP*, Cyclic adenosine monophosphate; *cGMP*, cyclic guanosine monophosphate; *COPD*, chronic obstructive pulmonary disease; *IBD*, inflammatory bowel disease, *PDE*, phosphodiesterase.

and tryptase enables the residual tethered ligand to bind to the receptor within the second extracellular loop (Fig. 1.6). To date, four protease-activated receptors (PAR 1–4) have been identified, each with distinct N-terminal cleavage sites and different tethered ligands. The receptors appear to play roles in platelet activation and clotting (see Chapter 11), and in inflammation and tissue repair. Most of the actions of PAR are mediated by  $G_{i}$ ,  $G_{q}$  and  $G_{12/13}$ .

Isoenzymes of phosphodiesterase.

Table 1.1

#### **Enzyme-Linked Transmembrane Receptors**

Enzyme-linked receptors, most notably the receptor tyrosine kinases, are similar to the GPCRs in that they have a ligand-binding domain on the surface of the cell membrane; they traverse the membrane; and they have an intracellular effector region (Fig. 1.7). They differ from GPCRs in their extracellular ligand-binding domain, which is very large to accommodate their polypeptide ligands (including hormones, growth factors and cytokines), and in having only one transmembrane helical region. Importantly, their intracellular action requires a linked enzymic domain, most commonly an integral kinase which activates the receptor itself or other proteins by phosphorylation. Activation of enzyme-linked receptors enables binding and activation of many intracellular signalling proteins, leading to changes in gene transcription and in many cellular functions. There are five families of enzymelinked transmembrane receptors:

 Receptor tyrosine kinase (RTK) family. Ligand binding causes receptor dimerisation and transphosphorylation of tyrosine residues within the receptor itself and sometimes in associated cytoplasmic proteins. Up to 20 classes of RTK include receptors for growth factors, many of which signal via proteins of the mitogen-activated protein (MAP) kinase cascade,



**Fig. 1.5 The intracellular consequences of receptor activation.** The second messengers, cyclic adenosine monophosphate (*cAMP*), cyclic guanosine monophosphate (*cGMP*), diacylglycerol (*DAG*) and inositol 1,4,5-triphosphate (*IP*<sub>3</sub>), produce a number of intracellular changes, either directly or indirectly via actions on protein kinases (which phosphorylate other proteins) or by actions on ion channels. The pathways can be activated or inhibited depending upon the type of receptor and G-protein and the particular ligand stimulating the receptor. The effect of the same second messenger can vary depending upon the biochemical functioning of cells in different tissues.



Fig. 1.6 Protease-activated receptors. These G-protein-coupled receptors are activated by proteases such as thrombin which hydrolyse the extracellular peptide chain to expose a segment that acts as a tethered ligand (shown in red) and activates the receptor. The receptor is inactivated by phosphorylation of the intracellular (C-terminal) part of the receptor protein.

leading to effects on gene transcription, apoptosis and cell division. Several RTKs are the targets of anticancer drugs, including trastuzumab, an inhibitor of HER-2 (human epidermal growth factor receptor-2), used in metastatic breast cancer (see Chapter 52). Tyrosine phosphatase receptor family. These dephosphorylate tyrosines on other transmembrane receptors or cytoplasmic proteins; they are particularly common in immune cells. Ranibizumab is a vascular endothelial growth factor inhibitor, a receptorlinked tyrosine phosphatase, used for the treatment

#### AL GRAWANY



Fig. 1.7 Enzyme-linked transmembrane receptors. This receptor tyrosine kinase has a large extracellular domain, a single transmembrane segment and an integral kinase domain. Ligand binding causes phosphorylation of tyrosine residues on the receptor and on other target proteins, leading to intracellular changes in cell behaviour. Other enzyme-linked receptors have tyrosine phosphatase, serine-threonine kinase or guanylyl cyclase enzymic activity.

of age-related macular degeneration of the retina (see Chapter 50).

- Tyrosine kinase–associated receptor family (or non–receptor tyrosine kinases). These lack integral kinase activity but activate separate kinases associated with the receptor; examples include inflammatory cytokine receptors and signalling via the JAK/Stat pathways to affect inflammatory gene expression. Imatinib is an inhibitor of ABL and other non–receptor tyrosine kinases (nRTK) and is used in treatment of chronic myeloid leukaemia (see Chapter 52).
- *Receptor serine-threonine kinase family.* Activation of these phosphorylates serine and threonine residues in target cytosolic proteins; everolimus is a serine-threonine kinase inhibitor used in renal and pancreatic cancer.

#### Table 1.2Some families of intracellular receptors.

	SUBTYPES
Type 1 (Cytoplasmic)	
Oestrogen receptors	ER (α, β)
Progesterone receptors	PR (A, B)
Androgen receptors	AR (A, B)
Glucocorticoid receptor	GR
Mineralocorticoid receptor	MR
Type 2 (Nuclear)	
Thyroid hormone receptors	TR (α <sub>1,2,3</sub> , β <sub>1,2,3</sub> )
Vitamin D receptor	VDR
Retinoic acid receptors	RAR (α, β, γ)
Retinoid X receptors	RXR (α, β, γ)
Liver X (oxysterol) receptors	LXR (α, β)
Peroxisome proliferator-activated receptors	PPAR ( $\alpha$ , $\beta/\delta$ , $\gamma_{1,2,3}$ )

 Receptor guanylyl cyclase family. Members of this family catalyse the formation of cGMP from GTP via a cytosolic domain; linaclotide is a guanylate cyclase 2C receptor agonist used in irritable bowel syndrome.

#### Intracellular (Nuclear) Receptors

Many hormones act at intracellular receptors to produce long-term changes in cellular activity by altering the genetic expression of enzymes, cytokines or receptor proteins. Such hormones are lipophilic to facilitate their movement across the cell membrane. Examples include the thyroid hormones and the large group of steroid hormones, including glucocorticoids, mineralocorticoids and the sex steroid hormones. Their actions on DNA transcription are mediated by interactions with homo/heterodimeric intracellular receptors (Table 1.2) either located in the cytoplasm but capable of translocating to the nucleus (types 1 and 3) or retained within the nucleus (type 2).

The intracellular receptor typically includes a highly conserved DNA-binding domain with zinc-containing loops and a variable ligand-binding domain (Table 1.3). The sequence of hormone binding and action for type 1 intracellular receptors is shown in Fig. 1.8. Type 1 receptors are typically found in an inactive form in the cytoplasm linked to chaperone proteins such as heatshock proteins (HSPs). Binding of the hormone induces conformational change in the receptor; this causes dissociation of the HSP and reveals a nuclear localisation sequence (or NLS) which enables the hormone-receptor complex to pass through nuclear membrane pores into the nucleus. Via their DNA-binding domain, the active hormone-receptor complexes can interact with hormone response elements (HRE) at numerous sites in the genome. Binding to the HRE usually activates gene transcription, but sometimes it silences gene expression and decreases mRNA synthesis.

Table 1

	receptors.	
SECTION OF Protein	DOMAIN	ROLE
A/B	N-terminal variable domain	Regulates transcriptional activity
С	DNA-binding domain (DBD)	Highly conserved; binds receptor to hormone response element (HRE) in DNA by two zinc-containing regions
D	Hinge region	Enables intracellular translocation to the nucleus
E	Ligand-binding domain (LBD)	Moderately conserved; enables specific ligand binding; contains nuclear localisation sequence (NLS); also binds chaperone proteins
F	C-terminal domain	Highly variable; facilitates homo- or heterodimerisation

The structure of steroid hormone



Fig. 1.8 The activation of intracellular hormone receptors. Steroid hormones (ST) are lipid-soluble compounds which readily cross cell membranes and bind to their intracellular receptors (HR). This binding displaces a chaperone protein called heat-shock protein (HSP90) and the hormone-receptor complex enters the nucleus, where it can increase or decrease gene expression by binding to hormone response elements (HRE) on DNA. Intracellular receptors for many other ligands are activated in the nucleus itself.

Translocation and binding to DNA involves a variety of different chaperone, co-activator and co-repressor proteins, and the system is considerably more complex than indicated in Fig. 1.8. Co-activators are transcriptional cofactors that also bind to the receptor and increase the level of gene induction; an example is histone acetylase, which facilitates transcription by increasing the ease of unravelling of DNA from histone proteins. Co-repressors also bind to the receptor and repress gene activation; an example is histone deacetylase, which prevents further transcription by tightening histone interaction with the DNA.

Type 2 intracellular receptors, such as the thyroid hormone receptors (TR) and the peroxisome proliferator-activated receptor (PPAR) family (see Table 1.2), are found within the nucleus bound to co-repressor proteins, which are liberated by ligand binding without a receptor translocation step from the cytoplasm. PPAR nuclear receptors function as sensors for endogenous fatty acids, including eicosanoids (see Chapter 29), and regulate the expression of genes that influence metabolic events.

Intracellular receptors are the molecular targets of 10% to 15% of marketed drugs, including steroid drugs acting at type 1 receptors and other drugs acting at type 2 receptors. Steroids show selectivity for different type 1 intracellular receptors (ER, PR, AR, GR, MR; see Table 1.2), which determine the spectrum of gene expression that is affected (see Chapters 14, 44, 45 and 46). Steroid effects are also determined by the differential expression of these receptors in different tissues. Intracellular hormone-receptor complexes typically dimerise to bind to their HRE sites on DNA. Steroid receptors form homodimers (e.g. ER-ER), whereas most type 2 receptors form heterodimers, usually with RXR (e.g. RAR-RXR). The thiazolidinedione drugs used in diabetes mellitus and the fibrate class of lipidlowering drugs act on specific members of the PPAR family of type 2 receptors.

#### **OTHER SITES OF DRUG ACTION**

Probably every protein in the human body has the potential to have its structure or activity altered by foreign compounds. Traditionally, all drug targets were described pharmacologically as 'receptors', although many drug targets would not be defined as receptors in biochemical terms; in addition to the receptor types discussed previously, drugs may act at numerous other sites.

- Cell-membrane ion pumps. In contrast to passive diffusion, primary active transport of ions against their concentration gradients occurs via ATP-dependent ion pumps, which may be drug targets. For example,  $Na^+/K^+$ -ATPase in the brain is activated by the anticonvulsant drug phenytoin, whereas in cardiac tissue it is inhibited by digoxin; K<sup>+</sup>/H<sup>+</sup>-ATPase in gastric parietal cells is inhibited by proton pump inhibitors such as omeprazole.
- Transporter (carrier) proteins. Secondary active transport involves carrier proteins, which transport a specific ion or organic molecule across a membrane; the energy for the transport derives not from a coupled ATPase but from the co-transport of another

ENZYME	DRUG CLASS OR USE	EXAMPLES
Acetylcholinesterase (AChE)	AChE inhibitors (Chapter 27)	Neostigmine, edrophonium, organophosphates
Angiotensin-converting enzyme (ACE)	ACE inhibitors (Chapter 6)	Captopril, perindopril, ramipril
Antithrombin (AT)III	Heparin anticoagulants (ATIII enhancers) (Chapter 11)	Enoxaparin, dalteparin
Carbonic anhydrase	Carbonic anhydrase inhibitors (Chapters 14, 50)	Acetazolamide
Coagulation factor Xa	Direct oral inhibitors of Factor Xa (Chapter 11)	Rivaroxaban
Cyclo-oxygenase (COX)-1	Nonsteroidal antiinflammatory drugs (NSAIDs) (Chapter 29)	lbuprofen, indometacin, naproxen
Cyclo-oxygenase (COX)-2	Selective COX-2 inhibitors (Chapter 29)	Celecoxib, etoricoxib
Dihydrofolate reductase	Folate antagonists (Chapters 51, 52)	Trimethoprim, methotrexate
DOPA decarboxylase	Peripheral decarboxylase inhibitors (PDIs) (Chapter 24)	Carbidopa, benserazide
HMG-CoA reductase	Statins (HMG-CoA reductase inhibitors) (Chapter 48)	Atorvastatin, rosuvastatin, simvastatin
Monoamine oxidases (MAOs) A and B	MAO-A and MAO-B inhibitors (Chapters 22, 24)	Moclobemide, selegiline
Phosphodiesterase (PDE) isoenzymes	PDE inhibitors (Chapters 12, 16)	Theophylline, sildenafil (see Table 1.1)
Reverse transcriptase (RT)	Nucleos(t)ide and nonnucleoside RT inhibitors (Chapter 51)	Zidovudine, efavirenz
Ribonucleotide reductase	Ribonucleotide reductase inhibitor (Chapter 52)	Hydroxycarbamide (hydroxyurea)
Thrombin	Direct oral thrombin inhibitors (Chapter 11)	Dabigatran
Viral proteases	HIV/hepatitis protease inhibitors (Chapter 51)	Saquinavir, boceprevir
Vitamin K epoxide reductase	Coumarin anticoagulants (Chapter 11)	Warfarin
Xanthine oxidase	Xanthine oxidase inhibitors (Chapter 31)	Allopurinol

Table 1.4 Examples of enzymes as drug targets.

molecule down its concentration gradient, either in the same direction (symport) or in the opposite direction (antiport). Examples include:

- Na<sup>+</sup>/Cl<sup>-</sup> co-transport in the renal tubule, which is blocked by thiazide diuretics (see Chapter 14);
- the reuptake of neurotransmitters into nerve terminals by a number of transporters selectively blocked by classes of antidepressant drugs (see Chapter 22).
- *Enzymes*. Many drugs act on the intracellular or extracellular enzymes that synthesise or degrade the endogenous ligands for extracellular or intracellular receptors, or which are required for growth of bacterial, viral or tumour cells. Table 1.4 provides examples of drug groups that act on enzyme targets. The PDE isoenzymes that regulate second messenger molecules are important drug targets and are listed in Table 1.1. In addition to being sites of drug action, enzymes are involved in inactivating many drugs, while some drugs are administered as inactive precursors (prodrugs) that are enzymatically activated (see Chapter 2).
- Adhesion molecules. These regulate the cell-surface interactions of immune cells with endothelial and other cells. Natalizumab is a monoclonal antibody directed against the α<sub>4</sub>-integrin component of vascular cell

adhesion molecule (VCAM)-1 and is used to inhibit the autoimmune activity of lymphocytes in relapsingremitting multiple sclerosis (see Chapter 25). Other monoclonal antibody-based therapies are targeted at cellular and humoral proteins, including cytokines and intracellular signalling proteins to suppress inflammatory cell proliferation, activity and recruitment in immune disease.

• Organelles and structural proteins. Examples include some antimicrobials that interfere with the functioning of ribosomal proteins in bacteria, and some types of anticancer drugs that interrupt mitotic cell division by blocking microtubule formation.

The sites of action of some drugs remain unknown or poorly understood. Conversely, many receptors have been discovered for which the natural ligands are not yet recognised; these orphan receptors may represent targets for novel drugs when their pharmacology is better understood.

#### **PROPERTIES OF RECEPTORS**

#### **Receptor Binding**

The binding of endogenous ligands and most drugs to their receptors is normally reversible; consequently, the intensity and duration of the intracellular changes are dependent on repeated ligand-receptor interactions that continue for as long as the ligand molecules remain in the local environment of the receptors. The duration of activity of a reversible drug therefore depends mainly on its distribution and elimination from the body (pharmacokinetics), which typically requires hours or days (see Chapter 2), not on the duration of binding of a drug molecule to its receptor, which may last only a fraction of a second. For a reversible drug, the extent of drug binding to the receptor (receptor occupancy) is proportional to the drug concentration: the higher the concentration, the greater the occupancy. The interaction between a reversible ligand and its receptor does not involve covalent chemical bonds but weaker, reversible forces, such as:

- ionic bonding between ionisable groups in the ligand (e.g. NH<sub>3</sub><sup>+</sup>) and the receptor (e.g. COO<sup>-</sup>);
- hydrogen bonding between amino-, hydroxyl-, ketoand other groups in the ligand and the receptor;
- hydrophobic interactions between lipid-soluble sites in the ligand and receptor; or
- van der Waals forces, which are very weak interatomic attractions.

The receptor protein is not a rigid structure: binding of the ligand alters the conformation and biological properties of the protein, enabling it to trigger intracellular signalling pathways (*induced fit model*). Different ligands may stabilise different conformational states of the same receptor that are distinct from those produced by the endogenous ligand. Rather than simply switching a receptor between inactive and active states, a 'biased' ligand may produce preferential receptor signalling via specific G-protein pathways or by non–G-protein effectors, such as the family of arrestin proteins, leading to different cellular behaviours. Drugs may therefore have functional selectivity to generate different cell responses from the same receptor, in addition to the classical concept of different responses being generated by drugs acting selectively at different receptors.

#### **Receptor Selectivity**

There are numerous possible extracellular and intracellular signals produced in the body, which can affect many different processes. A fundamental property of a useful ligand-receptor interaction is therefore its selec*tivity*, that is, the extent to which the receptor can recognise and respond to the correct signals, represented by one ligand or group of related ligands. Some receptors show high selectivity and bind a single endogenous ligand (e.g. acetylcholine is the only endogenous ligand that binds to N<sub>1</sub> nicotinic receptors (also known as 'ganglion-type' or  $N_G$  receptors; see Chapter 4)), whereas other receptors are less selective and will bind a number of related endogenous ligands (e.g. the  $\beta_1$ adrenoceptors on the heart will bind noradrenaline, adrenaline and to some extent dopamine, all of which are catecholamines).

The ability of receptors to recognise and bind the appropriate ligand depends on the intrinsic characteristics of the chemical structure of the ligand. The formulae of a few ligand families that bind to different receptors are shown in Fig. 1.9. Differences in structure that determine selectivity of action between receptors may be subtle, such as the those illustrated between the structures of testosterone and progesterone, which nevertheless have markedly different hormonal effects on the body due to their receptor selectivity. Receptors are protein chains folded into tertiary and quaternary structures such that the necessary arrangement of specific binding centres is brought together in a small volume – the receptor site (Fig. 1.10). Receptor selectivity occurs because the three-dimensional organisation of the different sites for reversible binding (such as anion and cation sites, lipid centres and hydrogenbonding sites) corresponds better to the three-dimensional structure of the endogenous ligand than to that of other ligands.

There may be a number of subtypes of a receptor, all of which can bind the same ligand but which differ in their ability to recognise particular variants or derivatives of that ligand. The different characteristics of the receptor subtypes therefore allow a drug (or natural ligand) with a particular three-dimensional structure to show selective actions by recognising one receptor preferentially, with fewer unwanted effects from the stimulation or blockade of related receptors. For example,  $\alpha_1$ -,  $\alpha_2$ <sup>-</sup>,  $\beta_1$ <sup>-</sup>,  $\beta_2$ <sup>-</sup> and  $\beta_3$ -adrenoceptors all bind adrenaline, but isoprenaline, a synthetic derivative of adrenaline, binds selectively to the three  $\beta$ -adrenoceptor subtypes rather than the two  $\alpha$ -adrenoceptor subtypes (see Chapter 4). As the adrenoceptor subtypes occur to a different extent in different tissues, and produce different intracellular changes when stimulated or blocked, drugs can be designed that have highly selective and localised actions. The cardioselective β-adrenoceptor antagonists such as bisoprolol are selective blockers of the  $\beta_1$ -adrenoceptor subtype that predominates on cardiac smooth muscle, with much less binding to the  $\beta_2$ -adrenoceptors that predominate on bronchial smooth muscle. Although ligands may have a much higher affinity for one receptor subtype over another, this is never absolute, so the term *selective* receptor binding is preferred over *specific* receptor binding.

Traditionally, receptor subtypes were discovered pharmacologically when a new agonist or antagonist compound was found to alter some but not all of the activities of a currently known receptor class. Developments in molecular biology, including the Human Genome Project, have accelerated the recognition and cloning of new receptors and receptor subtypes, including orphan receptors for which the natural ligands are unknown. These developments are important in guiding identification of new drugs with greater selectivity and fewer unwanted effects. Based on such information it is recognised that there are multiple



Fig. 1.9 Groups of related chemicals that show selectivity for different receptor subtypes in spite of similar structure. (A) Biogenic amines; (B) amino acids; (C) steroids.

types of most receptors, and that there is genetic variation among individuals in the structures, properties and abundance of these receptors, which can lead to differences in drug responses (pharmacogenetic variation; discussed later). Greater understanding of genetic differences underlying human variability in drug



Muscarinic receptor



Fig. 1.10 Receptor ligand-binding sites. The coloured areas are schematic representations of the regions of the adrenoceptor (top) and muscarinic receptor (bottom) responsible for binding their respective catecholamine and acetylcholine ligands. In the muscarinic receptor, cross-sections of the seven transmembrane segments are labelled I–VII. Different segments provide different properties (hydrogen bonding, anionic site, etc.) to make up the active binding site.

responses offers the potential for individualisation of the mode of treatment and selection of the optimal drug and dosage (personalised medicine).

#### **Drug Stereochemistry and Activity**

The three-dimensional spatial organisation of receptors means that the ligand must have the correct configuration to fit the receptor, analogous to fitting a right hand into a right-handed glove. Drugs and other organic molecules show stereoisomerism if they contain four different chemical groups attached to a single carbon atom, or one or more double bonds, with the result that compounds with the same molecular formula can exist in different three-dimensional configurations. If a drug is an equal (racemic) mixture of two stereoisomers, the stereoisomers may show different receptor binding characteristics and biological properties. Most often, one stereoisomer is pharmacologically active while the other is inactive, but in some cases the inactive isomer may be responsible for the unwanted effects of the racemic mixture. Alternatively, the two isomers may be active at different receptor subtypes and have synergistic or even opposing actions. The different isomers may also show different rates of metabolism. As a consequence, there has been a trend for the development of single stereoisomers of drugs for therapeutic use; one of the earliest examples was the use of levodopa, the levo-isomer of dihydroxyphenylalanine (DOPA) in Parkinson's disease (see Chapter 24).

#### **Receptor Numbers**

The number of receptors present in, or on the surface of, a cell is not static. There is usually a high turnover of receptors being formed and removed continuously. Cell-membrane receptor proteins are synthesised in the endoplasmic reticulum and transported to the plasma membrane. Regulation of functional receptor numbers in the membrane occurs both by transport to the membrane (often as homo- or heterodimers) and by removal by internalisation. The number of receptors within the cell membrane may be altered by the drug being used for treatment, with either an increase in receptor number (upregulation) or a decrease (down*regulation*) and a consequent change in the ability of the drug to effect the desired therapeutic response. This change may be an unwanted loss of drug activity contributing to tolerance to the effects of the drug (e.g. opioids; see Chapter 19). As a result, increased doses may be needed to maintain the same activity. Alternatively, the change in receptor number may be an important part of the therapeutic response itself. One example is tricyclic antidepressants (see Chapter 22); these produce an immediate increase in the availability of monoamine neurotransmitters, but the therapeutic response is associated with a subsequent, adaptive downregulation in monoamine receptor numbers occurring over several weeks.

#### **PROPERTIES OF DRUG ACTION**

Drug actions can show a number of important properties:

- dose–response relationship,
- selectivity,
- potency,
- efficacy.

#### **DOSE-RESPONSE RELATIONSHIPS**

Using a purified preparation of a single drug, it is possible to define accurately and reproducibly the relationship between the doses of drug administered (or concentrations applied) and the biological effects (responses) at each dose. The results for an individual drug can be displayed on a dose–response curve. In many biological systems, the typical relationship between an increasing drug dose (or concentration in plasma) and the biological response is a hyperbola, with the response curve rising with a gradually diminishing slope to a plateau, which represents the maximal biological response. Plotting instead the logarithm of the dose (or concentration) against the response (plotted on a linear scale) generates a sigmoid (S-shaped) curve. The sigmoid curve provides a number of advantages for understanding the relationship between drug dose and response: a very wide range of doses can be accommodated easily on the graph, the plateau of maximal response is clearly defined, and the central portion of the curve (between about 15% and 85% of maximum) approximates to a straight line, allowing the collection of fewer data points to delineate the relationship accurately.

Fig. 1.11 shows the relationship between drug dose (on a logarithmic X-axis) and the responses it produces (on a linear Y-axis) at two types of adrenoceptors. In each case, the upward slope of the curve to the right reflects the law that a greater number of reversible molecular interactions of a drug (D) with its receptor (R), due in this case to increasing drug dose, leads to more intracellular signalling by active drug–receptor complexes (DR) and hence a greater response of the cell or tissue (within biological limits). This principle is diametrically opposed to the principle of homeopathy, which argues that serially diluting a drug solution until there are essentially no drug molecules remaining enhances its activity, a belief that is not supported theoretically or experimentally.



Fig. 1.11 Dose-response relationship and receptor selectivity. Each curve shows the responses (expressed as percentage of maximum on a linear vertical axis) produced by a hypothetical  $\beta$ -adrenoceptor agonist drug at a range of doses shown on a logarithmic horizontal axis. Plotting the logarithmic dose allows a wide range of doses to be shown on the same axes and transforms the dose-response relationship from a hyperbolic curve to a sigmoid curve, in which the central portion is close to a straight line. The two curves illustrate the relative selectivity of the same drug for the  $\beta_1$ -adrenoceptor compared with the  $\beta_2$ -adrenoceptor. At most doses the drug produces  $\beta_1$ -adrenoceptor stimulation with less effect on  $\beta_2$ -adrenoceptors. If dose D<sub>1</sub> is 10 times lower than dose D<sub>2</sub>, the selectivity of the drug for the  $\beta_1$ -adrenoceptor is tenfold higher. This selectivity diminishes at the higher end of the log dose-response curve and is completely lost at a dose (D<sub>3</sub>) that produces a maximum response on both  $\beta_1$ - and  $\beta_2$ -adrenoceptors.

#### SELECTIVITY

As drugs may act preferentially on particular receptor types or subtypes, such as  $\beta_1$ - and  $\beta_2$ -adrenoceptors, it is important to be able to quantify the degree of selectivity of a drug. For example, in understanding the therapeutic efficacy and unwanted effects of the bronchodilator drug salbutamol, it is important to recognise that it is approximately 10 times more effective in stimulating the  $\beta_2$ -adrenoceptors in the airway smooth muscle than the  $\beta_1$ -adrenoceptors in the heart.

In pharmacological studies, selectivity is likely to be investigated by measuring the effects of the drug in vitro on different cells or tissues, each expressing only one of the receptors of interest. Comparison of the two log dose-response curves in Fig. 1.11 shows that for any given level of response, smaller doses of the drug being tested are required to stimulate the  $\beta_1$ adrenoceptor compared with those required to stimulate the  $\beta_2$ -adrenoceptor; the drug is therefore said to have selectivity of action at the  $\beta_1$ -adrenoceptor. An example is dobutamine, which is used to selectively stimulate  $\beta_1$ -adrenoceptors on the heart in acute heart failure. The degree of receptor selectivity is given by the ratio of the doses of the drug required to produce a given level of response via each receptor type. It is clear from Fig. 1.11 that the ratio is highly dose-dependent and that the selectivity disappears at extremely high drug doses because the dose then produces the maximal response of which the biological tissue is capable.

#### POTENCY

The potency of a drug in vitro is largely determined by the strength of its binding to the receptor, which is a reflection of the receptor affinity, and by the inherent ability of the drug/receptor complex to elicit downstream signalling events. The more potent a drug, the lower the concentration needed to give a specified response. In Fig. 1.12, drug  $A_1$  is more potent than drug  $A_2$  because it produces a specified level of response at a lower concentration. It is important to recognise that potencies of different drugs are compared using the doses required to produce (or block) the *same response* (often chosen arbitrarily as 50% of the maximal response). The straightline segments of log dose-response curves are usually parallel for drugs that share a common mechanism of action, so the potency ratio is broadly the same at most response values – for example, at 20%, 50% or 80%, but not at 100% response. A drug concentration sufficient to produce half of the greatest response achievable by that drug is described as its  $EC_{50}$  (the effective concentration for 50% of the maximal response). The  $EC_{50}$  (or  $ED_{50}$  if drug *dose* is considered) is a convenient way to compare the potencies of similar drugs: the lower the  $EC_{50}$  (or  $ED_{50}$ ), the more potent the drug.

In vivo, the potency of a drug, defined as the dose of the drug required to produce a desired clinical effect, depends not only on its affinity for the receptor, the receptor number and the efficiency of the stimulus–



Fig. 1.12 Concentration-response curves for agonists in the absence and presence of competitive and noncompetitive antagonists. Responses are plotted at different concentrations of two different full agonists ( $A_1$  being more potent than  $A_2$ ) and also a partial agonist ( $A_3$ ), which is unable to produce a maximal response even at high concentrations. Responses are also shown for the full agonist A2 in the presence of a fixed concentration of a competitive (reversible) antagonist (RA) or a fixed concentration of a noncompetitive (irreversible) antagonist (IA). The competitive antagonist reduces the potency of agonist A2 (the curve is shifted parallel to the right), but high concentrations of A<sub>2</sub> can surmount the effects of the competitive antagonist and produce a maximal response. A noncompetitive antagonist reduces agonist activity either by irreversibly blocking the agonist binding site, or by changing its conformation by binding reversibly or irreversibly at an allosteric site. Unlike competitive antagonists, a noncompetitive antagonist reduces the maximal response even at high agonist concentrations, as shown in the curve  $A_2$  + IA compared with  $A_2$  alone.

response mechanism, but also on pharmacokinetic variables that determine the delivery of the drug to its site of receptor action (see Chapter 2). Therefore the relative potencies of related drugs in vivo may not directly reflect their in vitro receptor-binding properties.

#### **EFFICACY**

The efficacy of a drug is its ability to produce the maximal response possible for a particular biological system and relates to the extent of functional change that can be imparted to the receptor by the drug, based on its affinity for the receptor and its ability to induce receptor signalling (discussed later). Drug efficacy is arguably of greater clinical importance than potency because a greater therapeutic benefit may be obtained with a more efficacious drug, whereas a more potent drug may merely allow a smaller dose to be given for the same clinical benefit. In turn, efficacy and potency need to be balanced against drug toxicity to produce the best balance of benefit and risk for the patient. Drug toxicity and safety are discussed in Chapters 3 and 53.

#### TYPES OF DRUG ACTION

Drugs can be classified by their receptor action as:

- agonists,
- antagonists,
- partial agonists,

- inverse agonists,
- allosteric modulators,
- enzyme inhibitors or activators,
- nonspecific, or
- physiological antagonists.

#### AGONISTS

An agonist, whether a therapeutic drug or an endogenous ligand, binds to the receptor or site of action and changes the conformation of the receptor to its active state, leading to signalling via second messenger pathways. An agonist shows both *affinity* (the strength of binding for the receptor) and *intrinsic activity* (the extent of conformational change imparted to the receptor leading to receptor signalling). Drugs differ in their affinity and intrinsic activity at the same receptor, as well as between different receptors.

Agonists are traditionally divided into two main groups (see Fig. 1.12):

- full agonists (curves A<sub>1</sub> and A<sub>2</sub>), which give an increase in response with an increase in concentration until the maximum possible response is obtained for that system;
- partial agonists (curve A<sub>3</sub>), which also give an increase in response with increase in concentration but cannot produce the maximum possible response in the system.

The reasons for this difference, and also a third group of agonists (inverse agonists), are described as follows.

#### **Affinity and Intrinsic Activity**

The affinity of a drug is related to the aggregate strength of the molecular interactions between the drug and its receptor site of action, which determines the relative rates of drug binding and dissociation. The higher the affinity, the lower the drug concentration required to occupy a given fraction of receptors. Affinity therefore determines the drug concentration necessary to produce a certain response and is directly related to the potency of the drug. In Fig. 1.12, drug A<sub>1</sub> is more potent than drug A<sub>2</sub>, but both can produce a maximal response (they have the same efficacy as they are full agonists).

Intrinsic activity describes the ability of the bound drug to induce the conformational changes in the receptor that induce receptor signalling. Although affinity is a prerequisite for binding to a receptor, a drug may bind with high affinity but have low intrinsic activity. A drug with zero intrinsic activity is an antagonist (as discussed later).

It should be noted that the rate of binding and rate of dissociation of a reversible drug at its receptor are of negligible importance in determining its rate of onset or duration of effect in vivo, because these depend mainly on the rates of delivery of the drug to, and removal from, the target organ; that is, on the overall absorption, distribution and elimination rates of the drug from the body (see Chapter 2).

#### Spare Receptors

Some full agonists that have relatively low intrinsic activity may have to occupy all the available receptors to produce a maximal response. However, many full agonists have sufficient affinity and intrinsic activity that the maximal response can be produced even though many receptors remain unoccupied; that is, there may be *spare receptors* (or a *receptor reserve*). The concept of spare receptors does not imply a distinct pool of permanently redundant receptors, only that a proportion of the receptor population is unoccupied at a particular point in time. Spare receptors may function to enhance the speed of cellular response because an excess of available receptors reduces the distance and therefore the time that a ligand molecule needs to diffuse to find an unoccupied receptor; an example is the excess of acetylcholine nicotinic N<sub>2</sub> receptors (also known as 'muscle-type' or N<sub>M</sub> receptors) that contributes to fast synaptic transmission in the neuromuscular junction (see Chapter 27).

The concept of spare receptors is also helpful when considering changes in receptor numbers during chronic treatment, particularly receptor downregulation. As maximal responses are often produced at drug concentrations that do not attain 100% receptor occupancy, the same maximal response may still be produced when receptor numbers are downregulated, but only with higher percentage occupancy of the reduced number of receptors. If receptors are downregulated still further, the number remaining may be insufficient to generate a maximal response. Receptor downregulation may therefore contribute to a decline in responsiveness to some drugs during chronic treatment (drug tolerance).

#### **ANTAGONISTS**

Pharmacological antagonists (often called 'blockers') reduce the activity of an agonist at the same receptor, and can be contrasted with physiological antagonists (discussed later) that act at another type of receptor or at other sites of action to oppose the physiological response to the agonist. Pharmacological antagonists can be *competitive* (surmountable) or *noncompetitive* (non-surmountable).

A competitive antagonist binds reversibly to the ligand binding site of a receptor, either alone or in competition with a drug agonist or natural ligand. It therefore must have affinity for the ligand binding site (which may be as high as that of any agonist), but it has zero intrinsic activity. It therefore cannot cause the conformational change that converts the receptor to its active state and induces intracellular signalling. The antagonist will, however, competitively impair access of agonist molecules to the ligand binding site and thereby reduce receptor activation. The presence of a competitive antagonist may only be detectable by its impairment of agonist activity, and the extent of antagonism will depend on the relative amounts of agonist and antagonist. For example,  $\beta_1$ -adrenoceptor antagonists lower the heart rate markedly only when

it is already elevated by endogenous agonists such as adrenaline and noradrenaline. The reversible binding of competitive antagonists means that the receptor blockade can be overcome (surmounted) by an increase in the concentration of an agonist. Therefore competitive antagonist drugs move the dose–response curve for an agonist in a parallel fashion to the right but do not alter the maximum possible response at high agonist concentrations (as shown in curve  $A_2$  + RA when compared with  $A_2$  alone in Fig. 1.12).

Noncompetitive antagonists either bind to the receptor irreversibly (covalently) at the ligand binding site, denying access to the agonist, or they change the conformation of the receptor by binding reversibly or irreversibly at another site (allosteric binding), producing conformational changes that impede the ability of the agonist to access its binding site or that block the conformational changes in the receptor needed for intracellular signalling. In either case, the effects of noncompetitive antagonists cannot be negated (surmounted) by competition from the agonist, so they reduce the magnitude of the maximum response that can be produced by any concentration of agonist (as shown by curve  $A_2$  + IA in Fig. 1.12). A noncompetitive antagonist will also cause a rightward shift of the agonist log dose-response curve if there is no reserve of spare receptors.

Like agonists, antagonists exhibit varying degrees of selectivity of action. For example, phenoxybenzamine is an antagonist which blocks the ligand binding site of  $\alpha$ -adrenoceptors, but not that of  $\beta$ -adrenoceptors. Conversely, propranolol is an antagonist of  $\beta$ -adrenoceptors, but not  $\alpha$ -adrenoceptors. Bisoprolol is further selective for the  $\beta_1$ -adrenoceptor subtype and has less blocking action at  $\beta_2$ -adrenoceptors (or  $\alpha$ -adrenoceptors).

#### PARTIAL AGONISTS

An agonist that is unable to produce a maximal response is a partial agonist (e.g. drug  $A_3$  in Fig. 1.12). Even maximal occupancy of all available receptors produces only a submaximal response due to low intrinsic activity of the partial agonist, for example because of incomplete amplification of the receptor signal via the G-proteins. Despite their name, partial agonists can be considered to have both agonist and antagonist properties, depending on the presence and type of other ligands. A partial agonist usually shows weak agonist activity in the absence of another ligand, and such partial agonism can be blocked by an antagonist. But in the presence of a full agonist, a partial agonist will behave as a weak antagonist because it prevents access to the receptor of a molecule with higher intrinsic ability to initiate receptor signalling; this results in a reduced response. Partial agonism is responsible for the therapeutic efficacy of several drugs, including buspirone, buprenorphine, pindolol and salbutamol. These drugs can act as stabilisers of the variable activity of the natural ligand, as they enhance receptor activity when the endogenous ligand levels are low or zero, but block receptor activity when endogenous ligand levels are high.

#### **INVERSE AGONISTS**

The previously provided definitions of agonists, partial agonists and antagonists reflect the classical model of drug-receptor interactions, in which an unoccupied receptor has no signalling activity. It is now recognised that many GPCRs show constitutive signalling independently of an agonist. Inverse agonists were first recognised when some compounds were found to show negative intrinsic activity: they acted alone on unoccupied receptors to produce a change opposite to that caused by an agonist. Inverse agonists shift the receptor equilibrium towards the inactive state, thereby reducing the level of spontaneous receptor activity. An inverse agonist can be distinguished from the typical antagonists discussed previously, which, on their own, bind to the receptor without affecting receptor signalling, as they have zero intrinsic activity ('neutral' or 'silent' antagonists). The action of a neutral antagonist depends on depriving the access of agonists to the receptor; a neutral antagonist can therefore block the effects of either a positive or inverse agonist at a receptor with spontaneous signalling activity.

The role of inverse agonism in the therapeutic effects of drugs remains to be fully elucidated, but a number of drugs exhibit this type of activity (Table 1.5). The same drug may even show a mixed pattern of full or partial agonism, inverse agonism or antagonism at different receptors. Some drugs (e.g. some  $\beta$ -adrenoceptor antagonists) can act as neutral antagonists at a receptor in one tissue and as inverse agonists when the same receptor is expressed in a different tissue, probably due to association of the receptor with different G-proteins.

#### ALLOSTERIC MODULATORS

Allosteric modulation has been described previously in the context of one type of noncompetitive antagonist, which does not compete directly with an agonist for access to the ligand binding site (also called the

Table <b>1.5</b>	Examples activity.	of drugs with inverse agonist	
RECEPTOR		DRUGS	
$\alpha_1$ -Adrenoo	ceptor	Prazosin, terazosin	
$\beta_1$ -Adrenoc	eptor	Metoprolol, carvedilol, propranolol	
Angiotensin II receptor (AT <sub>1</sub> )		Losartan, candesartan, irbesartan	
Cysteinyl-le (CysLT <sub>1</sub> )	eukotriene	Montelukast	
Dopamine	(D <sub>2</sub> )	Haloperidol, clozapine, olanzapine	
Histamine (	(H <sub>1</sub> )	Cetirizine, loratadine	
Histamine (H <sub>2</sub> )		Cimetidine, ranitidine, famotidine	
Muscarinic	(M <sub>1</sub> )	Pirenzepine	
Opioid (µ, N	MOR)	Naloxone, naltrexone	

orthosteric site), but binds to a different (allosteric) site. Allosteric modulation changes receptor activity by altering the conformation of the orthosteric binding site or of sites involved in intracellular signalling. Allosteric modulators can also enhance the binding of the natural ligand or other drugs to the receptor or enhance their propensity to induce receptor signalling. In some cases, an allosteric modulator may not bind to the allosteric site (or only bind poorly) in the absence of the agonist, but its allosteric binding increases when binding of the agonist to the orthosteric site alters receptor conformation. An example of allosteric modulators is the family of benzodiazepine anxiolytic drugs, which allosterically alter the affinity of chloride channels for the neurotransmitter ligand GABA and enhance its inhibitory activity on neurons (see Chapter 20).

#### **ENZYME INHIBITORS AND ACTIVATORS**

The site of action of many drugs is an enzyme, which may be an intracellular or cell-surface enzyme or one found in plasma or other body fluids. Such drugs act reversibly or irreversibly either on the catalytic site or at an allosteric site on the enzyme to modulate its catalytic activity; most often the effect is inhibition. Important examples of enzyme inhibitors are shown in Table 1.4. An example of an enzyme activator is heparin, which enhances the activity of antithrombin III, a protease that regulates the activity of the coagulation pathway.

#### NONSPECIFIC ACTIONS

A few drugs produce their desired therapeutic outcome without interaction with a specific site of action on a protein; for example, the diuretic mannitol exerts an osmotic effect in the lumen of the kidney tubule, which reduces reabsorption of water into the blood (see Chapter 14).

#### PHYSIOLOGICAL ANTAGONISTS

Physiological antagonism is said to occur when a drug has a physiological effect opposing that of an agonist but without binding to the same receptor. The increase in heart rate produced by a  $\beta_1$ -adrenoceptor agonist, an effect which mimics the action of the sympathetic autonomic nervous system, can be blocked pharmacologically with an antagonist at  $\beta_1$ -adrenoceptors or physiologically by a muscarinic receptor agonist, which mimics the opposing (parasympathetic) autonomic nervous system. The site of action of the physiological antagonist may be on a different cell, tissue or organ than that of the agonist.

#### **TOLERANCE TO DRUG EFFECTS**

Tolerance to drug effects is defined as a decrease in response to repeated doses, often necessitating an increase in dosage to maintain an adequate clinical response. Tolerance may occur through pharmacokinetic changes in the concentrations of a drug available at the receptor or through pharmacodynamic changes at the drug receptor. Pharmacokinetic effects are discussed in Chapter 2; some drugs stimulate their own metabolism, so they are eliminated more rapidly on repeated dosing, and lower concentrations of the drug are available to produce a response.

Most clinically important examples of tolerance arise from pharmacodynamic changes in receptor numbers and in concentration–response relationships. Desensitisation is used to describe both long- and short-term changes arising from a decrease in response of the receptor. Desensitisation can occur by a number of mechanisms:

- decreased receptor numbers (downregulation), due to decreased transcriptional expression or receptor internalisation;
- decreased receptor binding affinity;
- decreased G-protein coupling;
- modulation of the downstream response to the initial signal.

GPCRs can show rapid desensitisation (within minutes) during continued activation, which occurs through three mechanisms:

- Homologous desensitisation. The enzymes activated following selective binding of an agonist to its receptor–G-protein complex include G-protein– coupled receptor kinases (GRKs), which interact with the βγ-subunit of the G-protein and inactivate the occupied receptor protein by phosphorylation; a related peptide, arrestin-2, enhances the GRK-mediated desensitisation of the GPCR and may itself activate distinct cell signalling pathways.
- Heterologous desensitisation. Also known as crossdesensitisation, this occurs when an agonist at one receptor causes loss of sensitivity to other agonists. The agonist increases intracellular cAMP which activates PKA or PKC; these phosphorylate the crossdesensitised receptors (whether occupied or not), and members of the arrestin family prevent them from coupling with G-proteins. Other mechanisms of heterologous desensitisation exist.
- *Receptor internalisation*. Internalisation can occur within minutes when constant activation of a GPCR makes the receptor unavailable for further agonist action by uncoupling the G-protein from the receptor. The phosphorylated receptor protein is endocytosed and may undergo intracellular dephosphorylation prior to re-entering the cytoplasmic membrane.

Downstream modulation of the signal may also occur through feedback mechanisms or simply through depletion of some essential cofactor. An example of the latter is the depletion of the thiol (-SH or sulfydryl) groups necessary for the generation of nitric oxide during chronic administration of organic nitrates (see Chapter 5).

#### **GENETIC VARIATION IN DRUG RESPONSES**

Biological characteristics, including responses to drug administration, vary among individuals, and genetic differences can contribute to these interindividual



**Fig. 1.13 Interindividual variation in response.** The graphs show the numbers of individual subjects in a population plotted against their varying levels of response to a single dose of a drug. (A) In the unimodal distribution, most individuals show a middling response and the overall shape is a normal (Gaussian) distribution. Part of this variability may result from polymorphism in multiple genes encoding drug receptors and proteins involved in the drug's absorption and elimination. (B) The bimodal distribution shows discrete responder and nonresponder subgroups, possibly due to a single genetic polymorphism in a drug receptor or drug-metabolising enzyme.

variations. For most drugs, the nature of the response is broadly similar in different individuals, but the magnitude of the response to the same dose can differ markedly, at least partly due to genetic factors. Such variability creates the need to individualise drug dosages for different people.

Drug responses may follow a unimodal (Gaussian) distribution, reflecting the aggregate of many small genetic variations in receptors, enzymes or transporters that respond to or handle the drug (Fig. 1.13A). Genetic variation may also give rise to discrete subpopulations of individuals in which a drug shows distinctly different responses (see Fig. 1.13B), such that some individuals may have no response to a standard dose, whereas others show toxicity. Understanding genetic variation is of increasing importance in drug development (see Chapter 3) because it allows the possibility of genetic screening to optimise drug and dosage selection (personalised or individualised medicine).

*Pharmacogenetics* has been defined as the study of genetic variation that results in differing responses to drugs. Such variation may arise from genetic factors that alter the structure, expression or regulation of drug targets (pharmacodynamic effects) or that change the metabolic fates of drugs in the body, usually by altering proteins involved in their absorption, distribution or elimination (pharmacokinetic effects, discussed in Chapter 2). Pharmacogenetic research has been undertaken for many decades, largely in relation to variability in vivo, and has often used classic genetic techniques such as studies of patterns of inheritance in twins.

*Pharmacogenomics* has been defined as the investigation of variation in DNA and RNA characteristics related to drug response, and the term refers mainly to genome-wide approaches that define the presence of single-nucleotide polymorphisms (SNPs) which affect the activity of the gene product. Molecular biological techniques have predicted more than 3 million SNPs in the human genome. SNPs can be:

- in the upstream regulatory sequence of a coding gene, which can result in increased or decreased expression of the gene product (this product remains identical to the normal or 'wild-type' gene product);
- in the coding region of the gene resulting in a gene product with an altered amino acid sequence (this may have higher activity, although this is unlikely; similar activity; lower activity; or no activity at all, compared with the wild-type protein);
- inactive, because they are in noncoding or nonregulatory regions of the genome, or, if in a coding region, because the base change does not alter the amino acid encoded, due to the redundancy of the genetic code.

There is still a major challenge in defining the functional consequences of the large numbers of identified SNPs (functional genomics), particularly in the context of combinations of genetic variants (haplotypes). Such studies often require very large numbers of subjects to allow comparison of function in multiple, small haplotype subgroups.

Rapid advances in molecular biology have allowed analysis of interindividual differences in the sequences of many genes encoding drug receptors and proteins involved in drug metabolism and transport. Polymorphism in the latter is likely to have the greatest impact on dosage selection (see Chapter 2), whilst polymorphism in drug targets may be more important in determining the optimal drug for a particular condition. For example, genetic variation in angiotensin  $AT_1$  receptors,  $\beta_1$ -adrenoceptors and  $Ca^{2+}$  ion channels may determine the relative effectiveness of angiotensin II receptor antagonists,  $\beta$ -adrenoceptor antagonists ( $\beta$ -blockers) and calcium channel blockers in the treatment of primary hypertension.

In practice, although genetic polymorphism has been reported in many receptor types and these have been a major focus of research in relation to the aetiology of disease, relatively few studies to date have demonstrated a clear influence on drug responses. Common polymorphisms have been identified in the human  $\beta_2$ adrenoceptor gene ADRB2, and certain variants have been associated with differences in receptor downregulation and loss of therapeutic response in people with asthma while using  $\beta_2$ -adrenoceptor agonist inhalers (see Chapter 12). The clinical response in people with asthma to treatment with leukotriene modulator drugs is influenced by genetic polymorphism in enzymes of the leukotriene (5-lipoxygenase) pathway. Variants in the epidermal growth factor receptor (EGFR), an RTK, in non-small-cell lung cancer strongly predict tumour response to EGFR inhibitors such as gefitinib. Such examples support genotyping, particularly of tumour tissue, to target drug treatments to those individuals most likely to respond.

Conversely, pharmacogenetic information may be used to avoid a particular treatment in people likely to experience serious adverse reactions to a specific drug. Variation in human leucocyte antigen (HLA) genes has been associated with adverse skin and liver reactions to several drugs, including abacavir, an antiretroviral drug used in HIV infection.

Compared with pharmacodynamic targets, genetic variation has been more extensively characterised in drug-metabolising enzymes, particularly in cytochrome P450 isoenzymes and others involved in glucuronidation, acetylation and methylation. Gene variations in drug-metabolising enzymes are discussed at the end of Chapter 2. Detailed information on human genotypic variation can be found in the Online Mendelian Inheritance in Man (OMIM) database (www.ncbi.nlm .nih.gov/omim). Therapeutic exploitation of genotypic differences will require specific information about individuals based on detailed genetic testing. Until such genetic information is routinely incorporated in clinical trials, careful monitoring of clinical response will remain the best guide to successful treatment.

#### SUMMARY

The therapeutic benefits of drugs arise from their ability to interact selectively with target receptors, most of which are regulatory molecules involved in the control of cellular and systemic functions by endogenous ligands. Drugs may also cause unwanted effects and judging the balance of benefit and risk is at the heart of safe and effective prescribing. Increasing knowledge of the complexity of receptor pharmacology and improvements in drug selectivity offer the promise of safer drugs in the future, especially when information on genetic variation is more routinely available.

#### SELF-ASSESSMENT

Each chapter includes a self-assessment section with varied question types including True/False questions, One-Best-Answer (OBA) questions, Extended-Matching-Items (EMI) questions and Case-Based questions, followed by the correct answer and an explanation.

#### **TRUE/FALSE QUESTIONS**

- 1. Clinical pharmacology is the study of drugs that doctors use to treat disease.
- Drugs always act at receptors on the external surface of cells.
- 3. Diluting drugs enhances their pharmacological effects.
- 4. Drugs produce permanent biochemical changes in their receptors.
- 5. Plotting the dose of a drug (or its plasma concentration) against drug response usually produces a sigmoid curve.
- 6. The  $EC_{50}$  is the concentration of drug that produces a half-maximal response.
- 7. On a log dose–response plot, the drug with a curve to the right is more potent than a drug with a curve on the left.
- 8. A receptor antagonist is defined as a drug with zero affinity for the receptor.
- 9. A competitive antagonist shifts the log dose– response curve of an agonist to the right, without affecting the maximal response.
- 10. A partial agonist is one that, even at its highest dose, cannot achieve the same maximal response as a full agonist at the same receptor.
- 11. A full agonist achieves a maximal response when all its receptors are occupied.
- 12. Changes in receptor numbers can cause tolerance to drug effects.

#### **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. What type of molecular interaction is distinctive of a drug binding irreversibly to a receptor?
  - A. Covalent bonding
  - B. Ionic bonding
  - C. Hydrogen bonding
  - D. Hydrophobic interaction
  - E. Van der Waals forces

#### ANSWERS

#### **TRUE/FALSE ANSWERS**

1. **True**. Clinical pharmacology also deals with drugs used in disease prevention and diagnosis, and in the alleviation of pain and suffering.

- 2. False. Although many types of receptors are found in cell membranes, including ion channels, GPCRs and tyrosine kinase receptors, other drug targets, including steroid receptors and many enzymes (e.g. cyclo-oxygenase, PDE), are intracellular and others are humoral, such as thrombin in plasma.
- 3. **False**. The relationship between the dose or concentration of a drug and the response may be complex but is typically dependent on the number of interactions between the drug molecules and their molecular target, a consequence of the law of mass action, and so are usually greater at higher drug concentrations, within biological limits.
- 4. **False**. Molecular interactions between most drugs and their receptors are transient, and the conformational changes induced in the receptor are reversible.
- 5. **False**. Plotting drug dose or plasma concentration against response typically produces a hyperbola. A sigmoid (S-shaped) curve is produced by plotting the logarithm of dose or concentration against the response.
- 6. True. The  $EC_{50}$  (or  $ED_{50}$ ) is the concentration (or dose) effective in producing 50% of the maximal response and is a convenient way of comparing drug potencies.
- 7. **False.** A drug with its log dose–response curve to the left is the more potent, as it produces a given level of response at a lower dose.
- 8. **False**. A full ('neutral' or 'silent') antagonist must have affinity to bind to its receptor, but it has zero intrinsic ability to activate the receptor. Partial agonists can also have an antagonist effect in the presence of a full agonist. Receptors with inherent signalling activity, even when unoccupied, can be antagonised by inverse agonists.
- 9. **True**. A fixed dose of a competitive antagonist shifts the log dose–response curve of the agonist to the right in a parallel fashion; it can be surmounted by increasing the dose of agonist, so that the same maximal response can be achieved.
- 10. **True**. A partial agonist has low intrinsic ability to induce conformational change in the receptor, so it does not elicit a maximal response even with full receptor occupancy.
- 11. **False**. Many full agonists are able to elicit a maximal response when less than 100% of receptors are

occupied; the unoccupied receptors are termed 'spare receptors.'

12. **True**. Tolerance may be caused by desensitisation, internalisation or downregulation of receptors, requiring higher drug doses to maintain the same response. Tolerance also often results from enhanced drug elimination that alters the concentrations of drugs available to interact with the receptor.

#### **OBA ANSWER**

 Answer A is correct. Drugs may interact reversibly with their binding sites on a receptor by ionic bonding, hydrogen bonding, hydrophobic bonding and van der Waals forces, but covalent bonds produce irreversible binding.

#### FURTHER READING

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#### Examples of Cell Surface Receptor Families and Their Properties.

This is a reference list of members of important families of GPCRs, LGICs and VGICs, many of which are therapeutic drug targets. Examples of agonists and antagonists are also shown; these include endogenous ligands and some drugs not currently in clinical use. For further information see the relevant sections of Armstrong, J.F., et al. 2019. The IUPHAR/BPS Guide to Pharmacology in 2020: extending immunopharmacology content and introducing the IUPHAR/MMV guide to malaria pharmacology. Nucl. Acids Res. 48 (D1), D1006-D1021. For examples of important intracellular receptors and enzymes targeted by therapeutic drugs, see Tables 1.2 and 1.4.

		PRINCIPAL Transduction	MAJOR BIOLOGICAL		
ТҮРЕ	TYPICAL LOCATION(S)	MECHANISM	ACTIONS	AGONISTS	ANTAGONISTS
G-Protein-Couple	d Receptors (GPCRs)				
Cholinergic <i>Muscarinic</i>					
M <sub>1</sub>	CNS, salivary, gastric; minor role in autonomic ganglia	G <sub>q</sub>	Neurotransmission in CNS, gastric secretion	Nonselective for all M receptors: carbachol	Pirenzepine Nonselective for all M receptors: atropine, ipratropium, oxybutynin, tolterodine
M <sub>2</sub>	Heart, CNS	G <sub>i</sub>	Bradycardia, smooth muscle contraction (Gl tract, airways, bladder)		
M <sub>3</sub>	Smooth muscles, secretory glands, CNS	G <sub>q</sub>	Contraction, secretion		Darifenacin, tiotropium
M <sub>4</sub>	CNS	G <sub>i</sub>	Inhibitory autoreceptor		
M <sub>5</sub>	CNS	G <sub>a</sub>	Unclear		
Adrenergic α-Adrenoceptors					
$\begin{array}{c} \alpha_{1}\left(\alpha_{\text{1A}},\alpha_{\text{1B}},\alpha_{\text{1D}}\right) \end{array}$	CNS, postsynaptic in sympathetic nervous system, human prostate $(\alpha_{1A})$	G <sub>q</sub>	Contraction of arterial smooth muscle, decrease in contractions of gut, contraction of prostate tissue	Phenylephrine, methoxamine, NA ≥ Adr	Prazosin, indoramin (tamsulosin α <sub>1Α</sub> )
$\begin{array}{c} \alpha_{2}\left(\alpha_{\text{2A}},\alpha_{\text{2B}},\right.\\ \alpha_{\text{2C}} \end{array}$	Presynaptic (in both α- and β-adrenergic neurons)	G <sub>i</sub>	Decreased NA release	Clonidine, Adr > NA (oxymetazoline $\alpha_{2A}$ )	Yohimbine
β-Adrenoceptors					
β1	CNS, heart (nodes and myocardium), kidney	G <sub>s</sub>	Increased force and rate of cardiac contraction, renin release	Dobutamine, NA > Adr	Atenolol, metoprololol
β <sub>2</sub>	Bronchial smooth muscle, also widespread	G <sub>s</sub>	Bronchodilation, decrease in contraction of gut, glycogenolysis	Salbutamol, salmeterol, terbutaline, Adr > NA	Butoxamine
β <sub>3</sub>	Adipocytes, bladder	G <sub>s</sub>	Lipolysis, bladder emptying	Adr = NA	-
Cannabinoids					
CB,	CNS (FC, Am, BG, Hi, Cb) – see footnote for key to CNS areas)	G <sub>i/o</sub>	Behaviour, pain, nausea, stimulation of appetite, addiction, depression, hypotension	Tetrahydrocannabinol, anandamide, 2-arachidonylglycerol, nabilone	Rimonabant

Evamnl	es of Cell Surfa	ce Recentor	Families and	l Their Pron	erties_cont'd
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ТҮРЕ	TYPICAL LOCATION(S)	PRINCIPAL TRANSDUCTION MECHANISM	MAJOR BIOLOGICAL	AGONISTS	ANTAGONISTS
CB	Leucocytes,	Gia	Immunity, bone growth	Tetrahydrocannabinol,	AITAGINGTO
2	osteocytes	10		nabilone	
Dopamine					
D <sub>1</sub>	CNS (NA, OT, Pu, St), kidney, heart	G <sub>s</sub>	Vasodilation in kidney	Fenoldopam	Chlorpromazine
D <sub>2</sub>	CNS ( <i>CP</i> , <i>NA</i> , <i>OT</i> , <i>SN</i> ), pituitary gland, chemoreceptor trigger zone, gastrointestinal tract	Gi	Cognition (schizophrenia), prolactin secretion, nigrostriatal control of movement, memory	Cabergoline, pramipexole, ropinirole, rotigotine	Butyrophenones, chlorpromazine, domperidone, metoclopramide, sulpiride
D <sub>3</sub>	CNS (FC, Me, Mi) (limbic system)	G <sub>i</sub>	Cognition, emotion	Cabergoline, pramipexole, ropinirole, rotigotine	Chlorpromazine, sulpiride
D <sub>4</sub>	CNS, heart	Gi	Cognition (schizophrenia)	Cabergoline, ropinirole, rotigotine	Chlorpromazine, clozapine
D <sub>5</sub>	CNS (Hi, Hy)	G <sub>s</sub>	Similar to D <sub>1</sub>		
5-Hydroxytryptar	nine (5-HT, serotonin)				
5-HT <sub>1A</sub>	CNS, blood vessels	Gi	Anxiety, appetite, mood, sleep	Buspirone	
5-HT <sub>1B</sub>	CNS, blood vessels	G <sub>i</sub>	Vasoconstriction, presynaptic inhibition	Sumatriptan, eletriptan, naratriptan, zolmitriptan	
5-HT <sub>1D</sub>	CNS, blood vessels	G <sub>i</sub>	Anxiety, vasoconstriction	Sumatriptan, eletriptan, naratriptan, zolmitriptan	Metergoline
5-HT <sub>1E</sub>	CNS, blood vessels	G <sub>i</sub>			
5-HT <sub>1F</sub>	CNS	G <sub>i</sub>		Sumatriptan, eletriptan	
5-HT <sub>2A</sub>	CNS, GI tract, platelets, smooth muscle	G <sub>q</sub>	Schizophrenia, platelet aggregation, vasodilation/ vasoconstriction	LSD, psilocybin	Ketanserin, atypical antipsychotics, e.g. olanzapine
5-HT <sub>2B</sub>	CNS, GI tract, platelets	G <sub>q</sub>	Contraction, morphogenesis		
5-HT <sub>2C</sub>	CNS, GI tract, platelets	G <sub>q</sub>	Satiety		
5-HT <sub>4</sub>	CNS, myenteric plexus, smooth muscle	G <sub>s</sub>	Anxiety, memory, gut motility	Metoclopramide, renzapride	
5-HT <sub>5a</sub>	CNS	Gi	Anxiety, memory, mood		
5-HT <sub>6</sub>	CNS	G <sub>s</sub>	Anxiety, memory, mood		
5-HT <sub>7</sub>	CNS, GI, blood vessels	G <sub>s</sub>	Anxiety, memory, mood	LSD	
Histamine					
H <sub>1</sub>	CNS, endothelium, smooth muscle	G <sub>q</sub>	Sedation, sleep, vascular permeability, inflammation		Cetirizine, desloratadine
H <sub>2</sub>	CNS, cardiac muscle, stomach	G <sub>s</sub>	Gastric acid secretion	Dimaprit	Cimetidine, ranitidine

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#### Examples of Cell Surface Receptor Families and Their Properties-cont'd.

ТҮРЕ	TYPICAL LOCATION(S)	PRINCIPAL TRANSDUCTION MECHANISM	MAJOR BIOLOGICAL Actions	AGONISTS	ANTAGONISTS
H <sub>3</sub>	CNS (presynaptic), myenteric plexus	G <sub>i</sub>	Appetite, cognition		Thioperamide, pitolisant
H <sub>4</sub>	Eosinophils, basophils, mast cells	G <sub>i</sub>		4-Methylhistamine	(Adriforant)
Gamma-Aminobu	ityric Acid Receptor Type I	B (GABA <sub>b</sub> )			
GABA	Brain neurons, glial cells, spinal motor neurons and interneurons	G <sub>i</sub>	Inhibition of neurotransmission in brain and spinal cord	Baclofen	
Leukotrienes					
BLT <sub>1</sub>	Immune cells	G <sub>i</sub> , G <sub>q</sub>	Leucocyte chemoattraction	LTB <sub>4</sub>	
BLT <sub>2</sub>	Immune cells	G <sub>i</sub>	Leucocyte chemoattraction	LTB <sub>4</sub>	
CysLT <sub>1</sub>	Smooth muscle	G <sub>q</sub>	Allergy, bronchoconstriction, mucus secretion	$LTD_4 > LTC_4$	Montelukast, pranlukast, zafirlukast
CysLT <sub>2</sub>	Smooth muscle	G <sub>q</sub>	Allergy, inflammation	$LTC_4 = LTD_4$	Gemilukast
Peptides					
Angiotensin II					
AT <sub>1</sub>	Blood vessels, adrenal cortex, brain	G <sub>q</sub> /G <sub>o</sub>	Vasoconstriction, salt retention, aldosterone synthesis, increased noradrenergic activity, cardiac hypertrophy		Candesartan, losartan, valsartan
AT <sub>2</sub>	Blood vessels, endothelium, adrenal cortex, brain	G <sub>i/o</sub> , tyrosine and ser/thr phosphatases	Weak vasodilation (endothelial nitric oxide release), fetal development, vascular growth		
Bradykinin					
B <sub>1</sub> (induced)	Widespread (induced by injury, cytokines)	G <sub>q</sub>	Acute inflammation; stimulates nitric oxide synthesis	ACE inhibitors (indirect, by blocking bradykinin breakdown)	
B <sub>2</sub> (constitutive)		G <sub>q</sub>	Chronic inflammation. Most kinin actions (vasodilation, pain)		Icatibant
Endothelin					
ET <sub>A</sub>	Endothelium	G <sub>q</sub>	Vasoconstriction, angiogenesis		Bosentan, ambrisentan
ET <sub>B</sub>	Endothelium	G <sub>q</sub> , G <sub>i</sub>	Indirect vasodilation (nitric oxide release), direct vasoconstriction, natriuresis		Bosentan
Neurokinin					
NK1	Peripheral and central nervous system	G <sub>q</sub>	Nociception, vomiting reflex	Substance P, neurokinins A and B	Aprepitant, fosaprepitant, rolapitant

		Taminoo ana Thon			
ТҮРЕ	TYPICAL LOCATION(S)	PRINCIPAL TRANSDUCTION MECHANISM	MAJOR BIOLOGICAL	AGONISTS	ANTAGONISTS
NK2 / NK3	Peripheral and central nervous system	G <sub>s</sub> , G <sub>q</sub>	Nociception	Neurokinins A and B	ATTACINOTO
<b>Opioids</b> DOP (δ), KOP (κ), MOP (μ),	Brain, spinal cord, peripheral sensory neurons	G <sub>i</sub>	Analgesia, nociception, respiratory depression, sedation	Endogenous opioids, opioid drugs (morphine)	Naloxone, naltrexone
Protease-Activate	d Receptors				
PAR <sub>1</sub> , PAR <sub>2</sub> , PAR <sub>3</sub> , PAR <sub>4</sub>	Platelets, endothelial cells, epithelial cells, myocytes, neurons	G <sub>q</sub> , G <sub>i</sub>	Activated by proteolytic cleavage	Trypsin, thrombin, tryptase	
Vasopressin and (	Dxytocin				
Vasopressin $V_{1a}$	Brain, uterus, blood vessels, platelets	G <sub>q</sub>	Vasoconstriction, platelet aggregation	Desmopressin	Conivaptan, demeclocycline
Vasopressin $V_{\rm 1b}$	Pituitary, brain	G <sub>q</sub>	Modulates ACTH secretion	Desmopressin	Conivaptan, demeclocycline
Vasopressin $V_2$	Kidney	G <sub>s</sub>	Antidiuretic effect on collecting duct and ascending limb of loop of Henle	Desmopressin	Conivaptan demeclocycline, tolvaptan
Oxytocin OXT	Brain, uterus	G <sub>q</sub> , G <sub>i</sub>	Lactation, uterine contraction, CNS actions (mood)	Oxytocin > arg-vasopressin	Atosiban
Purinergic Recept	ors (Purinoceptors)				
Adenosine A <sub>1</sub>	Heart, lung	G <sub>i</sub>	Cardiac depression, vasoconstriction, bronchoconstriction		Methylxanthines
Adenosine A <sub>2A</sub>	Widespread	G <sub>s</sub>	Vasodilation, inhibition of platelet aggregation, bronchodilation	Regadenoson	Methylxanthines
Adenosine A <sub>2B</sub>	Leucocytes	G <sub>s</sub>	Bronchoconstriction		Methylxanthines
Adenosine A <sub>3</sub>	Leucocytes	G <sub>i</sub>	Inflammatory mediator release		Methylxanthines
$\begin{array}{c} \text{Purinergic} \\ \text{P2Y family} \\ (\text{P2Y}_{1}, \text{P2Y}_{2}, \\ \text{P2Y}_{4}, \text{P2Y}_{6}, \\ \text{P2Y}_{11}, \text{P2Y}_{14}) \end{array}$	Widespread	$G_q$ , $G_s$ or $G_i$	Depends upon G-protein coupling	ATP, ADP, UTP, UDP, UDP-glucose	P2Y <sub>12</sub> : clopidogrel, ticlopidine, ticagrelor
Ligand-Gated Ion	Channels (LGICs)				
Nicotinic N <sub>1</sub> (N <sub>G</sub> or 'ganglion- type')	Autonomic ganglia	LGIC (mainly Na+/ K+)	Ganglionic neurotransmission	Carbachol, nicotine	Trimetaphan, mecamylamine
Nicotinic N <sub>2</sub> (N <sub>M</sub> or 'muscle- type')	Neuromuscular junction	LGIC (mainly Na <sup>+</sup> / K <sup>+</sup> )	Skeletal muscle contraction	Nicotine, suxamethonium (depolarising)	Gallamine, vecuronium, atracurium
Nicotinic N <sub>N</sub> ('CNS-types' - various)	Central nervous system	LGIC (mainly Na <sup>+</sup> / K <sup>+</sup> )	Post- and presynaptic excitation	Nicotine, cytisine (partial), varenicline (partial)	Mecamylamine
Serotonin 5-HT <sub>3</sub>	CNS ( <i>AP</i> ), enteric nerves, sensory nerves	Ligand-gated Na <sup>+</sup> / K <sup>+</sup> channel	Emesis		Granisetron, ondansetron, metoclopramide

#### Examples of Cell Surface Receptor Families and Their Properties-cont'd.

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		PRINCIPAL TRANSDUCTION	MAJOR BIOLOGICAL		
ТҮРЕ	TYPICAL LOCATION(S)	MECHANISM	ACTIONS	AGONISTS	ANTAGONISTS
GABA <sub>A</sub>	Brain neurons, spinal motor neurons and interneurons	Ligand-gated Cl- channel (open)	Inhibition of neurotransmission in brain and spinal cord	Muscimol, barbiturates, benzodiazepines, zolpidem	Picrotoxin, flumazenil (benzodiazepine antagonist)
Glycine GlyR	Brain neurons, spinal motor neurons and interneurons	Ligand-gated Cl- channel (open)	Inhibition of neurotransmission in brain and spinal cord	Intravenous anaesthetics, alanine, taurine	Strychnine, caffeine, tropisetron, endo- cannabinoids
lonotropic glutamate (NMDA) receptor	CNS ( <i>BG</i> , <i>CP</i> , sensory pathways)	Ligand-gated Ca <sup>2+</sup> channel (slow)	Synaptic plasticity, excitatory transmitter release; excessive amounts may cause neuronal damage	NMDA	Ketamine, phencyclidine, memantine
lonotropic glutamate (kainate) receptor	CNS (HI)	Ligand-gated Ca <sup>2+</sup> channel (fast)	Synaptic plasticity, transmitter release	Kainate	Topiramate
lonotropic glutamate (AMPA) receptor	CNS (similar to NMDA receptors)	Ligand-gated Ca <sup>2+</sup> channel (fast)	Synaptic plasticity, transmitter release	AMPA	Topiramate
Purinergic P2X family (P2X <sub>1</sub> –P2X <sub>7</sub> )	CNS, autonomic nervous system (P2X <sub>2</sub> ), smooth muscle (P2X <sub>1</sub> ), leucocytes	LGICs (Na⁺, Ca²⁺, K⁺)	Neuronal depolarisation, influx of Na <sup>+</sup> and Ca <sup>2+</sup> , efflux of K <sup>+</sup>	ATP	Suramin
Voltage-Gated Ior	n Channels (VGICs)				
Epithelial sodium channel (ENaC)	Renal tubule, airways, colon	Na⁺ channel, tonically open	Sodium reabsorption in aldosterone- sensitive distal tubule and collecting duct	Expression upregulated by aldosterone	Amiloride, triamterene
L-type calcium channels (Ca <sub>v</sub> 1.1–1.4)	Widespread	Voltage-gated Ca <sup>2+</sup> channels (dihydropyridine- sensitive)	Vascular and cardiac smooth muscle contraction, prolong cardiac action potential		Nifedipine, amlodipine, diltiazem, verapamil
Ryanodine (RyR1, RyR2, RyR3)	Skeletal muscle (RyR1), heart (RyR2), widespread (RyR3)	Ca <sup>2+</sup> channels	Calcium-induced Ca <sup>2+</sup> release (CICR)	Cytosolic Ca <sup>2+</sup> , ATP, ryanodine, caffeine	Dantrolene

#### Examples of Cell Surface Receptor Families and Their Properties-cont'd.

ACE, Angiotensin-converting enzyme; ACTH, adrenocorticotropic hormone (corticotropin); Adr, adrenaline; ADP, adenosine diphosphate; AMPA, α-amino-3-hydroxy-5methyl-4-isoxazole propionic acid; ATP, adenosine triphosphate; CNS, central nervous system; GI, gastrointestinal; LSD, lysergic acid diethylamide; LT, leukotriene; NA, noradrenaline; NMDA, N-methyl p-aspartate; UDP, uridine diphosphate; UTP, uridine triphosphate.

noradrenaline; *NDDA*, N-methyl p-aspartate; *UDP*, uridine diphosphate; *UTP*, uridine tiphosphate; *UTP*, uridine diphosphate; *UTP*, uridin
## Appendix: Student Formulary.

This student formulary used for educational purposes at the University of Southampton Faculty of Medicine is adapted from the formulary described by Maxwell and Walley, 2003 (Br. J. Clin. Pharmacol. 55, 496–503). See also the lists of commonly prescribed drugs in Audi et al. 2018 (Br. J. Clin. Pharmacol. 84, 2562-2571) and the World Health Organisation (WHO) Model List of Essential Medicines at www.who.int/medicines/publications/essentialmedicines/en/

THERAPEUTIC PROBLEM	CORE DRUGS
Gastrointestinal System	
Emergency treatment of poisoning	Adsorbent: activated charcoal Paracetamol antidotes, e.g. acetylcysteine, methionine Opioid antagonist, e.g. naloxone Organophosphate antidote, e.g. pralidoxime
Dyspepsia, GORD and gastric ulcer healing	Antacids, e.g. magnesium salts Compound alginates, e.g. Gaviscon Proton pump inhibitors, e.g. omeprazole, lansoprazole H <sub>2</sub> receptor antagonists, e.g. ranitidine, cimetidine <i>Helicobacter pylori</i> antibiotics: clarithromycin, amoxicillin, metronidazole Motility stimulants, e.g. metoclopramide Others: misoprostol, sucralfate
Inflammatory bowel disease (ulcerative colitis, Crohn's disease)	Corticosteroids, e.g. prednisolone Aminosalicylates, e.g. sulfasalazine, mesalazine Cytokine inhibitors, e.g. infliximab
Antibiotic-associated colitis	Antibiotics for Clostridioides difficile, e.g. metronidazole, vancomycin
Diarrhoea	Oral rehydration therapy Opiate antimotility drugs, e.g. loperamide
Constipation	Bulk-forming laxatives, e.g. ispaghula, methylcellulose Stimulant laxatives, e.g. senna, docusate Osmotic laxatives, e.g. magnesium hydroxide, lactulose
Antispasmodics	Antimuscarinics, e.g. atropine, hyoscine Others: mebeverine
Cardiovascular System	
Hypertension	<ul> <li>β-Adrenoceptor antagonists, e.g. atenolol</li> <li>α-Adrenoceptor antagonists, e.g. doxazosin</li> <li>Centrally acting drugs, e.g. clonidine</li> <li>Angiotensin-converting enzyme (ACE) inhibitors, e.g. captopril, ramipril, perindopril</li> <li>Angiotensin receptor antagonists, e.g. candesartan, losartan</li> <li>Thiazide diuretics, e.g. bendroflumethiazide, indapamide</li> <li>Loop diuretics, e.g. furosemide, bumetanide</li> <li>Potassium-sparing diuretics, e.g. amiloride, spironolactone</li> <li>Compound potassium-sparing diuretic: co-amilofruse</li> <li>Calcium channel blockers, e.g. minoxidil, nicorandil</li> </ul>
Heart failure	β-Adrenoceptor antagonist: bisoprolol Angiotensin-converting enzyme (ACE) inhibitors, e.g. captopril, ramipril, perindopril Positive inotropic drugs: Cardiac glycosides, e.g. digoxin PDE inhibitors, e.g. milrinone
Acute coronary syndrome (angina, myocardial infarction)	Many drugs listed under hypertension plus the following: Inhibitors of platelet aggregation, e.g. aspirin, dipyridamole, clopidogrel, abciximab Thrombolytics, e.g. alteplase, tenecteplase Heparin: unfractionated, LMW heparins (enoxaparin, dalteparin), fondaparinux Direct oral anticoagulants (DOAC), e.g. dabigatran, rivaroxaban Vitamin K antagonists, e.g. warfarin Thrombolytics, e.g. alteplase, tenecteplase
Hyperlipidaemia	Statins, e.g. simvastatin, atorvastatin, pravastatin, rosuvastatin Fibrates, e.g. gemfibrozil, fenofibrate
Arrhythmias	Antiarrhythmic drugs of class I (e.g. lidocaine), class II (β-adrenoceptor antagonists), class II (e.g. amiodarone, sotalol), class IV (calcium channel blockers, e.g. verapamil), others (e.g. adenosine, digoxin)

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THERAPEUTIC PROBLEM	CORE DRUGS
Respiratory System	
Asthma, COPD, respiratory failure	Oxygen $\beta_2$ -Adrenoceptor agonists, e.g. salbutamol, salmeterol Antimuscarinics, e.g. ipratropium, tiotropium Methylxanthines, e.g. theophylline, aminophylline PDE type 4 inhibitor, e.g. roflumilast Leukotriene antagonist: montelukast Antiallergic drugs, e.g. cromoglicate, nedocromil Magnesium sulfate Inhaled corticosteroids, e.g. beclometasone, fluticasone Oral corticosteroid, e.g. prednisolone $\beta_2$ -Agonist/corticosteroid co-formulations, e.g. Seretide
Allergy, anaphylaxis	Antihistamines, e.g. cetirizine, loratadine Adrenaline
Cough suppression	Dextromethorphan, codeine
Central Nervous System	
Insomnia, anxiety	Benzodiazepines, e.g. temazepam, diazepam Z-drugs, e.g. zopiclone, zolpidem Others, e.g. buspirone, propranolol
Schizophrenia, mania	Conventional antipsychotics, e.g. chlorpromazine, haloperidol, flupentixol Atypical antipsychotics, e.g. aripiprazole, clozapine, olanzapine, quetiapine, risperidone Depot preparations, e.g. fluphenazine decanoate Mood stabilisers, e.g. lithium
Depression	Tricyclic antidepressants (TCAs), e.g. amitriptyline Selective serotonin reuptake inhibitors (SSRIs), e.g. citalopram, fluoxetine, sertraline Serotonin-noradrenaline reuptake inhibitors, e.g. venlafaxine Monoamine oxidase-B inhibitors, e.g. moclobernide Others, e.g. mirtazepine
Analgesia	Nonsteroidal antiinflammatory drugs (NSAIDs): see section on musculoskeletal disease Compound analgesics, e.g. co-codamol, co-dydramol Moderately potent opioid analgesics, e.g. tramadol Potent opioid analgesics, e.g. fentanyl, morphine, oxycodone
Nausea and vertigo	Dopamine antagonists, e.g. metoclopramide Serotonin receptor antagonists, e.g. ondansetron Muscarinic receptor antagonists, e.g. hyoscine hydrobromide Others: betahistine
Migraine	<ul> <li>Acute: 5-HT<sub>1</sub> receptor agonists, e.g. sumatriptan, naratriptan, zolmitriptan</li> <li>Prophylaxis: β-adrenoceptor antagonists, e.g. propranolol, antiepileptic drugs, calcitonin gene-related peptide antagonists</li> </ul>
Epilepsy	Sodium channel blockers, e.g. carbamazepine, lamotrigine, phenytoin, zonisamide Benzodiazepines, e.g. diazepam, clonazepam GABA reuptake inhibitors e.g. tiagabine GABA transaminase inhibitor: vigabatrin Other GABA-related drugs e.g. sodium valproate, gabapentin, pregabalin Neuronal calcium channel blocker, e.g. ethosuximide Neuronal potassium channel openers, e.g. retigabine Others, e.g. levetiracetam
Parkinson's disease	Levodopa/DOPA decarboxylase co-formulations, e.g. co-careldopa, co-beneldopa Dopamine receptor agonists, e.g. ropinirole, rotigotine, apomorphine COMT inhibitors, e.g. entacapone MAO-B inhibitors: selegiline, rasagiline Antimuscarinic drugs, e.g. procyclidine Others, e.g. amantadine
Dementia (Alzheimer's)	Anticholinesterases, e.g. donepezil NMDA receptor antagonists, e.g. memantine

THERAPEUTIC PROBLEM	CORE DRUGS
Infectious Diseases	
Community- and hospital-acquired infections	<ul> <li>Drugs acting on bacterial cell walls:</li> <li>Penicillins, e.g. benzylpenicillins, e.g. fluctoxacillin</li> <li>Braid-spectrum penicillins, e.g. amoxicillin, co-amoxiclav</li> <li>Cephalosporins, e.g. cefalexin, cefuroxime, cefotaxime</li> <li>Monobactams, e.g. attreonam</li> <li>Carbapenems, e.g. ertapenem, imipenem</li> <li>Glycopeptides, e.g. vancomycin</li> <li>Others e.g. daptomycin, polymyxins</li> <li>Drugs acting on bacterial DNA:</li> <li>Quinolones e.g. ciprofloxacin, moxifloxacin</li> <li>Metronidazole (for anaerobes &amp; protozoans)</li> <li>Nitrofurantoin</li> <li>Drugs acting on bacterial protein synthesis:</li> <li>Macrolides, e.g. athtromycin, clarithromycin</li> <li>Arninoglycosides, e.g. gentamicin, streptomycin</li> <li>Tetracyclines, e.g. doxycycline, oxytetracycline</li> <li>Others e.g. olipromybenicol, clindamycin, fusidic acid</li> <li>Drugs acting on bacterial metabolism:</li> <li>Sulfonamides, e.g. sulfadiazine, sulfamethoxazole</li> <li>Folate inhibitors, e.g. trimethoprim</li> <li>Drugs used in tuberculosis:</li> <li>Isoniazid, rifampicin, ethambutol, pyrazinamide</li> <li>Antingal drugs:</li> <li>Polyenes, e.g. amphotericin,</li> <li>Imidazoles and triazoles, e.g. clotrimazole, fluconazole</li> <li>Squalene epoxidase inhibitor, e.g. terbinafine</li> <li>Echinocandins, e.g. aspofungin</li> <li>Drugs for HIV Infections:</li> <li>Nucleoside HIV RT inhibitors, e.g. efavirenz</li> <li>HIV protease inhibitors, e.g. rategravir</li> <li>Drugs for HIV Infections:</li> <li>Viral DNA polymerase inhibitors, e.g. aclcolvir, ganciclovir, foscarnet</li> <li>Drugs for hepseviruses and CMV infections:</li> <li>Viral DNA polymerase inhibitors, e.g. acaclovir, ganciclovir, foscarnet</li> <li>Drugs for influenze avirus infections:</li> <li>Nucleoside analogues, e.g. metacavir, tenofovir</li> <li>HCV protease inhibitors, e.g. boceprevir</li> <li>In</li></ul>
Endocrine System	
Diabetes mellitus, thyroid disease and hypothalamo-pituitary hormones	Insulins, e.g. soluble insulin Insulin analogues, e.g. insulin lispro, insulin glargine Biguanide: metformin SGLT-2 inhibitors, e.g. dapagliflozin Sulfonylureas, e.g. gliclazide Meglitinides, e.g. repaglinide, nateglinide DPP4 inhibitors, e.g. saxagliptin GLP agonists, e.g. exenatide Thiazolidinediones, e.g. pioglitazone

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THERAPEUTIC PROBLEM	CORE DRUGS
	AThyroid disease, e.g. levothyroxine, carbimazole ADH mimetics, e.g. desmopressin LHRH, e.g. gonadorelin Human growth hormone, e.g. somatropin
Osteoporosis	Calcium, vitamin D, calcitonin, parathyroid hormone, teriparatide Bisphosphonates, e.g. alendronic acid, risedronate Selective oestrogen receptor modulators (SERM), e.g. clomifene
Genitourinary System	
Urinary retention, benign prostatic hypertrophy and prostate cancer	$\alpha_1$ -Adrenoceptor antagonists, e.g. doxazosin 5 $\alpha$ -Reductase inhibitors, e.g. finasteride Antiandrogens, e.g. flutamide
Urinary frequency/incontinence	Antimuscarinic drugs, e.g. darifenacin, fesoterodine
Erectile dysfunction	PDE5 inhibitors, e.g. sildenafil, tadalafil
Obstetrics and Gynaecology	
Steroidal contraception	Combined hormonal contraceptives (oral, transdermal patch) Progestogen-only contraceptives (oral, subdermal implant) Progestogen-containing intrauterine device Emergency contraception, e.g. levonorgestrel, ulipristal Injectable contraception, e.g. medroxyprogesterone acetate
Dyswmenorrhoea	Combined oral hormonal contraceptives NSAIDs, e.g. mefenamic acid
Menorrhagia	Antifibrinolytic agent, e.g. tranexamic acid Progestogen-containing intrauterine device
Endometriosis	Progestins Gonadorelin analogues, e.g. goserelin Danazol
Induction of labour	Oxytocics, e.g. oxytocin Prostaglandin analogues, e.g. gemeprost
Prevention of pre-term labour (tocolysis)	Calcium channel blockers, e.g. nifedipine β-Adrenoceptor agonists, e.g. terbutaline
Induction of abortion	Oxytocics, mifepristone Antiprogestogen, e.g. mifepristone Prostaglandin analogues, e.g. gemeprost
Postpartum haemorrhage	Oxytocics, ergometrine
Menopause	Oestrogens (with progestins) Others: tibolone, raloxifene
Malignant Disease and Immunosuppres	sion
Cancer and immunosuppression	Alkylating agents, e.g. cyclophosphamide Cytotoxic antibiotics, e.g. doxorubicin Antimetabolites, e.g. methotrexate, fluorouracil Vinca alkaloids, e.g. vinblastine, vincristine Taxanes, e.g. paclitaxel Topoisomerase I and II inhibitors, e.g. irinotecan, etoposide Tyrosine kinase (TK) inhibitors, e.g. imatinib, dasatinib, erlotinib TK receptor inhibitors, e.g. bevacizumab, trastuzumab Other cytotoxic drugs, e.g. crisantaspase, cisplatin Antioestrogens, e.g. tamoxifen, anastrazole Immunosuppressant drugs, e.g. azathioprine, corticosteroids, ciclosporin Immunobiologicals, e.g. rituximab (anti-B-lymphocyte CD20), interferon alfa Others, e.g. asparaginase, procarbazine

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THERAPEUTIC PROBLEM	CORE DRUGS	
Musculoskeletal Disease		
Rheumatoid arthritis	<ul> <li>NSAIDs, e.g. indometacin, diclofenac</li> <li>Corticosteroids, e.g. prednisolone</li> <li>Disease-modifiers, e.g. methotrexate, azathioprine, sulfasalazine, leflunomide, ciclosporin, cyclophosphamide</li> <li>Cytokine (TNFα) inhibitors, e.g. infliximab, etanercept, adalimumab</li> <li>B-cell CD20 inhibitor, e.g. rituximab</li> <li>T-cell CD80/CD86 inhibitor, e.g. abatacept</li> <li>Antimalarial, e.g. hydroxychloroquine</li> </ul>	
Myasthenia gravis	Anticholinesterases, e.g. pyridostigmine	
Spasticity	Skeletal muscle relaxants, e.g. baclofen, dantrolene	
Gout	<i>Acute</i> : NSAIDs, colcichine <i>Chronic</i> : Xanthine oxidase inhibitors (e.g. allopurinol, febuxostat); uricosuric agents (e.g. sulfinpyrazole); recombinant urate oxidase (rasburicase).	
Ophthalmology		
Glaucoma	β-Adrenoceptor antagonists, e.g. timolol Prostaglandin analogues, e.g. latanoprost Sympathomimetics ( $α_2$ -agonists), e.g. brimonidine Carbonic anhydrase inhibitors, e.g. acetazolamide Miotics, e.g. pilocarpine	
Conjunctivitis	Topical antibiotics, e.g. chloramphenicol	
Tear deficiency	Ocular lubricants, e.g. hypromellose	
Others	Mydriatics, e.g. phenylephrine Mydriatics/cycloplegics, e.g. atropine, tropicamide Topical formulations (eye drops) of many drugs, including antiinflammatory corticosteroids (e.g. betamethasone), antivirals and local anaesthetics (e.g. tetracaine)	
Surgery, Anaesthetics and Intensive Care		
Surgery, anaesthetics and intensive care	Many drugs used are listed in other sections, including opioid analgesics, sympathomimetics and antiemetics, plus the following: Intravenous (induction) anaesthetics, e.g. thiopentone, propofol Inhalation (maintenance) anaesthetics, e.g. isoflurane Muscle relaxants, e.g. suxamethonium, atracurium Antimuscarinics, e.g. atropine, glycopyrronium Anticholinesterases, e.g. neostigmine Local anaesthetics, e.g. lidocaine, bupivacaine	

ADH, Antidiuretic hormone; CMV, cytomegalovirus; COMT, catechol-O-methyltransferase; COPD, chronic obstructive pulmonary disease; DPP4, dipeptidyl peptidase 4; GLP, glucagon-like peptide; GORD, gastrooesophageal reflux disease; HER2, human epidermal growth factor receptor 2; LHRH, luteinising hormone-releasing hormone; LMW, low molecular weight; MAO-B, monoamine oxidase B; NMDA, N-methyl p-aspartate; NSAID, nonsteroidal antiinflammatory drug; PDE, phosphodiesterase; RT, reverse transcriptase; SGLT, sodium-glucose co-transporter 2; TNFα, tumour necrosis factor alpha.

# **Pharmacokinetics**

## **Chapter Outline**

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Pharmacokinetics refers to the movement of drugs into, through and out of the body. The nature of the response of an individual to a particular drug depends on the inherent pharmacological properties of the drug at its site of action, but the speed of onset, the intensity and the duration of the response usually depend on parameters such as:

- the rate and extent of uptake of the drug from its site of administration:
- the rate and extent of distribution of the drug to different tissues, including the site of action;
- the rate of elimination of the drug from the body.

Overall, the response to a drug depends upon a combination of the effects of the drug at its site of action in the body, called *pharmacodynamics* (or 'what the drug does to the body') and the way the body influences drug delivery to its site of action, called *pharmacokinetics* (or 'what the body does to the drug'; Fig. 2.1). Both pharmacodynamic and pharmacokinetic aspects are subject to a number of variables, which affect the dose-response relationship. Pharmacodynamic aspects are determined by processes such as drug-receptor interaction and are specific to the class of the drug (see Chapter 1). Pharmacokinetic aspects are determined by general processes such as transfer across membranes, metabolism and elimination from the body, which apply irrespective of the pharmacodynamic properties, but together these determine the dosage regimen (dose and frequency) for drugs in a clinical setting.

The core of pharmacokinetics is based on four processes, sometimes referred to collectively as ADME:

• Absorption—The transfer of the drug from its site of administration to the general circulation.

- Distribution—The transfer of the drug from the general circulation into the different tissues of the body.
- *Metabolism*—The extent to which the drug molecule is chemically modified in the body.
- *Excretion*—The removal of the parent drug and any metabolites from the body; metabolism and excretion together account for drug *elimination*.

This chapter will first describe each of these processes qualitatively in biological terms, and then in terms of the clinical calculations that determine many of the quantitative aspects of drug prescribing.

## THE BIOLOGICAL BASIS OF CLINICAL PHARMACOKINETICS

Most drug structures bear little resemblance to normal dietary constituents such as carbohydrates, fats and proteins, and they are handled in the body by different processes. Drugs that bind to the same receptor as an endogenous ligand rarely resemble the natural ligand sufficiently closely in chemical structure to share the same carrier processes or the same pathways of metabolism. Consequently, the movement of drugs in the tissues is mostly by simple passive diffusion rather than by specific transporters, whereas metabolism is usually by enzymes of low substrate specificity that can handle a wide variety of drug substrates and other xenobiotics (foreign substances).

## **GENERAL CONSIDERATIONS**

#### **Passage Across Membranes**

With the exception of intravenous or intraarterial injections, a drug must cross at least one membrane in its movement from the site of administration into the



Fig. 2.1 Factors determining the response of an individual to a drug.

general circulation. Drugs acting at intracellular sites must also cross the cell membrane to exert an effect. The main mechanisms by which drugs can cross membranes (Fig. 2.2) are:

- passive diffusion through the lipid layer,
- diffusion through pores or ion channels,
- carrier-mediated processes,
- pinocytosis.

## Passive diffusion

To dissolve in body fluids a drug usually needs a degree of aqueous solubility, but to cross a phospholipid bilayer by direct diffusion (see Fig. 2.2), it must have a degree of lipid solubility, such as that shown by ethanol or steroids. All drugs can move passively down a concentration gradient, and when a concentration gradient occurs across a membrane permeable to the drug, then a state of equilibrium will eventually be reached in which equal concentrations of the diffusible form of the drug are present in solution on each side of the membrane. The net rate of diffusion is directly proportional to the concentration gradient across the membrane, and to the area and permeability of the membrane, but inversely proportional to its thickness (Fick's law).

#### Passage through membrane pores or ion channels

Movement through channels occurs down a concentration gradient and is restricted to extremely small watersoluble molecules (<100 Da), such as gases and ions. This is applicable to therapeutic ions such as lithium and also radioactive iodine. Water itself crosses membranes rapidly via a family of aquaporin protein channels found in epithelial membranes in the renal tubule



**Fig. 2.2 The passage of drugs across membranes.** Molecules can cross the membrane by simple passive diffusion through the lipid bilayer or via a channel, or by facilitated diffusion, or by ATP-dependent active transport. *ABC*, ATP-binding cassette superfamily of transport proteins; *D*, drug; *NBD*, nucleotide-binding domain; *SLC*, solute carrier superfamily of transporters; *TMD*, transmembrane domain (see Table 2.1).

and elsewhere. Some aquaporins may also allow the passage of very small, uncharged drug molecules. In the laboratory, transient water-filled pores can be created artificially in phospholipid bilayers by applying a strong external electric field, and this process (electroporation) is used to introduce large or charged molecules, such as DNA, drugs and probes into live cells in suspension.

#### Carrier-mediated processes

Two carrier-mediated processes are of widespread importance in the transmembrane transport of drugs, particularly those with low lipid solubility.

Active transport utilises energy in the form of adenosine triphosphate (ATP) and transports drugs into or out of cells against their concentration gradient. It is performed particularly by a family of nonspecific carriers termed the ATP-binding cassette (ABC) superfamily of membrane transporters (see Fig. 2.2 and Table 2.1). In humans, the ABC active transporter superfamily contains 49 members organised into seven subfamilies (A-G) based on their relative sequence homology. P-glycoprotein (P-gp), also known as multidrug resistance 1 (MDR1) or ABCB1 transporter, transports a wide range of drug substrates, including anticancer drugs, steroids and immunosuppressive agents, from the cytoplasm to the extracellular side of the cell membrane, and therefore acts as an efflux transporter. Inhibition of P-gp by verapamil increases the concentrations of anticancer drugs at their intracellular sites of action (see Chapter 52). ABCB transporter proteins contain two hydrophobic transmembrane domains, which consist of different numbers of membrane-spanning  $\alpha$ -helices (12 in P-gp), and two hydrophilic nucleotide (ATP)binding domains, which bind and hydrolyse intracellular ATP. The transporter is on the apical surface and acts as an efflux pump that transports substrates from the cell into the interstitial fluid, plasma, bile, urine or gut lumen. Examples of other ABC transporters are given in Table 2.1.

**Facilitated transport** of a molecule by a carrier either aids its passive movement down its own concentration gradient, or uses the electrochemical gradient of a cotransported solute to transport the molecule against its own concentration gradient - in neither case is the use of ATP required. The major examples are members of the solute carrier (SLC) superfamily of transporters (see Fig. 2.2 and Table 2.1). The SLC superfamily comprises over 300 types of organic anion transporters (OATs), organic anion-transporting polypeptides (OATPs), organic cation transporters (OCTs), organic cation/carnitine transporters (OCTNs), and members of other transporter families (see Table 2.1). OAT1 to OAT4 are present in various tissues; OAT1 is the classic organic anion transporter in the kidney, which secretes urate and penicillins and is blocked by probenecid (see Chapter 31). Organic cation transporters (OCT1, OCT2 and OCT3) effect facilitated diffusion and can transport cations in both directions across the membrane. Substrates common to all three OCT transporters are serotonin (5-hydroxytryptamine, 5-HT), noradrenaline, histamine and agmatine; although some drugs are substrates for the transporters (see Table 2.1), many basic drugs act as inhibitors of the transporters.

Members of the ABC and SLC transporter families are therefore important in many of the processes by which drugs are absorbed in the gut, distributed into tissues and eliminated in the liver or kidney. Interactions between drugs at the same transporter, or between drugs and the natural substrates of the transporter, may contribute to variation in kinetic parameters for individual drugs between patients and over time. Genetic variation in the expression and functioning of transporters may also contribute to drug toxicity.

#### **Pinocytosis**

This can be regarded as a form of carrier-mediated entry into the cell cytoplasm. Pinocytosis is normally concerned with the uptake of endogenous macromolecules and may be involved in the uptake of recombinant therapeutic proteins; drugs can also be incorporated into a lipid vesicle or liposome for pinocytotic uptake (e.g. amphotericin and doxorubicin; see Chapter 51).

#### **Drug Ionisation and Membrane Diffusion**

Ionisation is a fundamental property of those drugs that are either weak acids, such as aspirin, or weak bases, such as propranolol. The presence of an ionisable group(s) is essential for the mechanism of action of most drugs, because ionic forces represent a key part of many ligand–receptor interactions (see Chapter 1). The extent of ionisation may also influence the extent of absorption of a drug, its distribution into organs such as the brain or adipose tissue, and the mechanism and route of its elimination from the body.

Drugs with ionisable groups exist in equilibrium between charged (ionised) and uncharged (nonionised) forms (Fig. 2.3). The extent of ionisation of a drug depends on the strength of the ionisable group and the pH of the solution. The extent of ionisation is given by the acid dissociation constant,  $K_{a}$ .

$$K_{a} = \frac{[\text{conjugate base}][H^{+}]}{[\text{conjugate acid}]}$$
 (Equation 2.1)

The term *conjugate acid* refers to a form of the drug able to release a proton, such as:

- a nonionised acidic drug (Drug-COOH), or
- an ionised basic drug (Drug–NH<sub>3</sub><sup>+</sup>).
- The *conjugate base* is the corresponding equilibrium form of the drug that has lost a proton, such as:
- an ionised acidic drug (Drug–COO<sup>-</sup>), or
- a nonionised basic drug (Drug–NH<sub>2</sub>).

Table 2.1 Examples of Carrier Molecules Involved in Drug Transport.		
TRANSPORTER	TYPICAL SUBSTRATES	SITES IN THE BODY
ABC Superfamily	ATP-binding cassette superfamily of transport function as active efflux or uptake transporter	ort proteins. All use ATP hydrolysis and s.
MDR1 or P-glycoprotein (ABCB1)	Hydrophobic and cationic (basic) molecules; numerous drugs, including anticancer drugs	Apical surface of membranes of epithelial cells of intestine, liver, kidney, blood– brain barrier, testis, placenta and lungs
MRP1 (ABCC1)	Numerous, including anticancer drugs, glucuronide and glutathione conjugates	Basolateral surface of membranes of most cell types with high levels in lung, testis and kidney and in blood–tissue barriers
MRP2 (ABCC2)	Numerous, including anticancer drugs, glucuronide and glutathione conjugates	Apical surface of membranes; mainly in liver, intestine and kidney tubules
BCRP (ABCG2) Breast cancer resistance protein	Anticancer, antiviral drugs, fluoroquinolones, flavonoids	Apical surface of breast ducts and lobules, small intestine, colon epithelium, liver, placenta, brain barrier and lung
SLC Superfamily	<b>Solute carrier superfamily of transporters.</b> Co organic anion-transporting polypeptides (OAT organic cation/carnitine transporters (OCTNs) not use ATP hydrolysis and most function as	Omprises organic anion transporters (OATs), Ps), organic cation transporters (OCTs), and many other families. Solute carriers do uptake transporters.
OAT1 (SLC22A6)	Anionic drugs, aciclovir, adefovir, NSAIDs, penicillins, diuretics and phase 2 drug metabolites	Kidney (basolateral), brain, placenta, smooth muscle
OAT2 (SLC22A7)	Anionic drugs, aciclovir, salicylate, acetylsalicylate, PGE <sub>2</sub> , dicarboxylates	Kidney (basolateral), liver
OAT3 (SLC22A8)	Similar to OAT1	Kidney (basolateral), liver, brain, smooth muscle, testis
OAT4 (SLC22A11)	Methotrexate, pravastatin, sulfated sex steroids	Kidney (apical), placenta
OATP1B1 (SLCO1B1)	Pravastatin, rosuvastatin	Liver
OATP1B3 (SLCO1B3)	Methotrexate, rosuvastatin	Liver
OCT1 (SLC22A1)	Cationic drugs, serotonin, noradrenaline, histamine, agmatine, aciclovir, ganciclovir, metformin	Mainly in the liver, but also in kidney, small intestine, heart, skeletal muscle and placenta
OCT2 (SLC22A2)	Cationic drugs, serotonin, noradrenaline, histamine, agmatine, amantadine, metformin, cimetidine	Mainly in the kidney, but also in placenta, adrenal gland, neurons and choroid plexus
OCT3 (SLC22A3)	Cationic drugs, serotonin, noradrenaline, histamine, agmatine, metformin	Liver, kidney, intestine, skeletal and smooth muscle, heart, lung, spleen, neurons, placenta and the choroid plexus
OCTN1 (SLC22A4)	Carnitine, acetylcholine	Kidney, intestine
OCTN2 (SLC22A5)	Carnitine, choline	Kidney, skeletal muscle

ABC, ATP-binding cassette; ATP, adenosine triphosphate; MRP, multidrug-resistance-associated protein; NSA/Ds, nonsteroidal antiinflammatory drugs; PGE2, prostaglandin E<sub>2</sub>; SLC, solute carrier.

For further details of ABC and SLC transporters, see Nigam, 2015, and Jetter & Kullak-Ublick, 2020, in the Further Reading section.

The value of  $K_a$  is normally a very small fraction of 1, so it is easier to compare compounds using the negative logarithm of  $K_a$ , which is called  $pK_a$ . For example, a  $K_a$  of 10<sup>-5</sup> becomes  $pK_a$  5, and a  $K_a$  of 10<sup>-10</sup> becomes  $pK_a$  10. Based on the equation given previously, a strong acid (such as an  $-SO_3H$  functional group) that readily donates its H<sup>+</sup> ion will have a relatively high  $K_a$  value (e.g.  $10^{-1}$  or  $10^{-2}$ ) and hence a low  $pK_a$  (i.e. 1 or 2), whereas weakly acidic groups, which donate their H<sup>+</sup> ion less readily, have a  $pK_a$  of 4 to 5. Conversely,

for basic functional groups, the stronger the base, the greater its ability to retain the H<sup>+</sup>, resulting in low  $K_a$  and high  $pK_a$  values. Strongly basic groups therefore have a  $pK_a$  of 10 to 11, while weakly basic groups have a  $pK_a$  of 7 to 8.

Drugs are 50% ionised when the pH of the solution equals the  $pK_a$  of the drug. Acidic drugs (low  $pK_a$  values) are least ionised in acidic solutions (low pH) and most ionised in alkaline solutions (high pH). Conversely, basic drugs (high  $pK_a$  values) are least

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Fig. 2.3 The effect of pH on drug ionisation. Acidic conditions (low pH, high H<sup>+</sup> concentrations) push the equilibrium of acidic drugs towards their nonionised (protonated) form, and basic drugs towards their ionised form. Basic conditions (high pH) have the opposite effect.



Fig. 2.4 Passive diffusion and the factors that affect drug concentrations in equilibrium between nonionised and ionised forms. In this case, the pH is assumed to be the same on each side of the membrane. See Fig. 2.5 for drug partitioning when there is a pH gradient across the membrane.

ionised in alkaline solutions (high pH), and most ionised in acid solutions (low pH). In either case, the ionised form of the molecule can generally be regarded as the water-soluble form and the nonionised form as the lipid-soluble form. The ease with which an ionisable drug can diffuse across a lipid bilayer is determined by the lipid solubility of its nonionised form (Fig. 2.4).

The pH of body fluids is controlled by the buffering capacity of the ionic groups present in endogenous molecules such as phosphate ions and proteins. When the fluids on each side of a membrane have the same pH value, there will be equal concentrations of both the diffusible (nonionised) form and the nondiffusible (ionised) form of the drug on each side of the membrane at equilibrium (see Fig. 2.4).

When the fluids on each side of a membrane are at different pH values, the concentrations of the nonionised form on each side of the membrane at equilibrium will remain equal, as it can diffuse reversibly across the membrane, but the concentrations of the ionised form will be determined by the pH of the solution. This results in pH-dependent differences in total drug concentration on each side of a membrane (pH trapping or



Fig. 2.5 Partitioning of acidic and basic drugs across a pH gradient. Only the nonionised forms (*DH* and *D*) can diffuse across the membrane. In urine (pH 6), the nonionised acidic drug (DH) can be readily reabsorbed into the plasma, where its ionised form (D<sup>-</sup>) becomes concentrated, while the ionised basic drug (D<sup>+</sup>) is trapped within the urine. Alkalinising the urine would reduce reabsorption of the acid drug and enhance that of the basic drug.

partitioning), with the total drug concentration being higher on the side of the membrane on which it is most ionised. This is exemplified by the pH difference between urine (pH 5–7) and plasma (pH 7.4), which can influence renal elimination of drugs (Fig. 2.5). The relatively low pH of the urine forces an acidic drug to become predominantly nonionised, allowing its reabsorption into the plasma, while the higher pH in plasma (7.4) converts the drug to the ionised form, preventing it diffusing back and trapping (partitioning) it in the plasma. The opposite situation prevails with basic drugs, which are enabled to diffuse from the plasma into urine, where they become trapped.

After drug overdose, when the aim is to enhance drug elimination, alkalinisation of the urine (using intravenous sodium bicarbonate) can be used to reduce reabsorption of acidic drugs, such as aspirin, leading to their faster elimination in the urine. Acidification of the urine (with oral ammonium chloride) can enhance ionisation and renal elimination of basic drugs, such as dexamfetamine.

The pH difference between gastric contents (pH 1–2) and plasma (pH 7.4) affects the absorption of many oral drugs. The acidity of stomach contents means that an acidic drug is present largely in its nonionised (protonated) form, allowing it to pass into plasma where its ionised form becomes partitioned. In contrast, basic drugs are highly ionised in the stomach, and absorption is negligible until the stomach empties and the drug can be absorbed from the more alkaline lumen of the duodenum (pH ~8).

Drugs that are fixed in their ionised form at all pH values, such as the quaternary amine compound suxamethonium (see Chapter 27), cross cell membranes extremely slowly or not at all. They are given by injection (because of lack of absorption from the gastrointestinal tract) and have limited effects on the brain (because of lack of entry).

## **ABSORPTION**

Absorption is the process of transfer of the drug from the site of administration into the general or systemic circulation.

## **ABSORPTION FROM THE GUT**

The easiest and most convenient route of administration of medicines is orally, by swallowing tablets, capsules or syrups. The large surface area of the small intestine combined with its high blood flow can give rapid and complete absorption of oral drugs. However, this route presents a number of obstacles for a drug before it reaches the systemic circulation.

#### **Drug Structure**

Drug structure is a major determinant of absorption. Drugs need to be lipid-soluble to be absorbed from the gut. Highly polar acids and bases tend to be absorbed only slowly and incompletely, with much of the unabsorbed dose being voided in the faeces. High polarity may, however, be useful for delivery of the drug to a site of action in the lower bowel (see Chapter 34). The structures of some drugs can make them unstable either at the low pH of the stomach (e.g. benzylpenicillin) or in the presence of digestive enzymes (e.g. insulin). Such compounds must be given parenterally, or possibly by a topical route (e.g. inhalation for insulin).

Drugs that are weak acids or bases undergo pH partitioning between the gut lumen and mucosal cells. Acidic drugs will be least ionised in the stomach lumen, and most absorption would be expected at this site, but absorption in the stomach is limited by its relatively low surface area (compared with the small intestine) and by the presence of a zone of neutral pH on the immediate surface of the gastric mucosal cells (the mucosal bicarbonate layer; see Chapter 33). As

a consequence, the bulk of the absorption of drugs, even weak acids such as aspirin, occurs in the small intestine.

## **Drug Formulation**

A drug cannot be absorbed when it is taken in a tablet or capsule until the vehicle disintegrates and the drug is dissolved in the gastrointestinal contents to form a molecular solution. Most tablets disintegrate and dissolve quickly and completely, and the whole dose rapidly becomes available for absorption. However, some formulations are designed to disintegrate slowly so that the rate of release and dissolution of drug from the formulation determines the rate of absorption. In modified-release (i.e. slow-release) formulations, the drug is either incorporated into a complex matrix from which it diffuses slowly or in a crystallised form that dissolves slowly. Dissolution of a tablet in the stomach can also be prevented by coating it in an acid-insoluble layer, producing entericcoated formulations. This is useful for drugs such as omeprazole (see Chapter 31), which is unstable in an acid environment, and allows delivery of the intact drug to the duodenum.

## **Gastric Emptying**

The rate of gastric emptying determines how soon a drug taken orally is delivered to the small intestine, the major site of absorption. Delay between oral drug ingestion and the drug being detected in the circulation is usually caused by delayed gastric emptying. Drugs that slow gastric emptying (e.g. antimuscarinics) can delay the absorption of other drugs taken at the same time.

Food has complex effects on drug absorption; it slows gastric emptying and delays drug absorption, and it can also bind drugs and reduce the total amount of drug absorbed. Gastric emptying is slowed the most when the food is high in fat or protein content.

#### **First-Pass Metabolism**

Metabolism of drugs can occur before and during their absorption, and this can limit the amount of parent compound that reaches the general circulation. Drugs taken orally must pass four major metabolic barriers before they reach the general circulation. If there is extensive metabolism of a drug at one or more of the sites listed in the sections that follow, only a fraction of the original oral dose reaches the general circulation as the parent compound. This process is known as firstpass metabolism because it occurs at the first passage through the organ.

#### Intestinal lumen

The intestinal lumen contains digestive enzymes secreted by the mucosal cells and pancreas that can split peptide, ester and glycosidic bonds. Intestinal proteases prevent the oral administration of peptide drugs, such as insulin and other products of molecular biological approaches to drug development. In addition, the lower bowel contains large numbers of aerobic and anaerobic bacteria capable of performing a range of metabolic reactions on drugs, especially hydrolysis and reduction.

## Intestinal wall

The walls of the upper intestine are rich in cellular enzymes such as monoamine oxidase (MAO), aromatic L-amino acid decarboxylase, cytochrome P450 isoenzymes (e.g. CYP3A4) and the enzymes responsible for the phase 2 conjugation reactions described later. In addition, the luminal membrane of the intestinal cells (enterocytes) contains efflux transporters such as P-gp (noted previously), which may limit the absorption of a drug by transporting it back into the intestinal lumen. Drug molecules that enter the enterocyte may thus undergo three possible fates: diffusion into the hepatic portal circulation, metabolism within the cell or transportation back into the gut lumen (by P-gp). The substrate specificities of CYP3A4 and P-gp overlap, and for common substrates, their combined actions can prevent most of the oral dose of some drugs reaching the hepatic portal circulation.

## Liver

Blood from the intestine is delivered by the hepatic portal circulation directly to the liver, which is the major site of drug metabolism in the body. Hepatic first-pass metabolism can be avoided by administering the drug to a region of the gut from which the blood does not drain into the hepatic portal vein (e.g. the buccal cavity or rectum); a good example of this is the buccal administration of glyceryl trinitrate (see Chapter 5). Drugs that avoid or survive hepatic first-pass metabolism after administration and enter the systemic circulation may nevertheless undergo repeated cycles of hepatic metabolism on subsequent passes through the liver from the hepatic artery.

#### Lung

Cells of the lungs have high affinities for many basic drugs and are the main site of metabolism for many local hormones via monoamine oxidase or peptidase activity.

## **ABSORPTION FROM OTHER ROUTES**

#### Percutaneous (Transcutaneous) Administration

The human epidermis (especially the stratum corneum) is an effective permeability barrier to water loss and to the transfer of water-soluble compounds. Although lipid-soluble drugs can cross this barrier, the rate and extent of entry are very limited. Consequently, this route is only effective for use with potent, nonirritant drugs such as glyceryl trinitrate (see Chapter 5) or fentanyl (see Chapter 19), or to produce a local effect. The slow and continued absorption from dermal administration (e.g. via adhesive patches) can be used to produce low but relatively constant blood concentrations of some drugs (e.g. nicotine replacement therapy; see Chapter 54).

## Intradermal and Subcutaneous Injection

Intradermal or subcutaneous injection avoids the barrier presented by the stratum corneum, and entry into the general circulation is limited mainly by the rate of blood flow to the site of injection. However, these sites generally only allow the administration of small volumes of drugs and tend to be used mostly for local effects, such as local anaesthesia, or to deliberately limit the rate of drug absorption, such as insulin glargine that precipitates on subcutaneous injection, creating a depot that liberates insulin slowly (see Chapter 40). Subdermal implants are increasingly used for long-term hormonal contraception. The implants are flexible polymer rods or tubes inserted under the skin of the upper arm that slowly release the hormone for up to 3 years, with contraception being reversible by removal of the implant (see Chapter 45).

### Intramuscular Injection

The rate of absorption from an intramuscular injection depends on two variables: the local blood flow and the water solubility of the drug. An increase in either of these factors enhances the rate of removal from the injection site. Absorption of drugs from the injection site can be prolonged intentionally by incorporation of the drug into a lipophilic vehicle, such as flupentixol decanoate (see Chapter 21), creating a depot formulation in a small volume of thin vegetable oil from which the drug is released over days or weeks.

#### Intranasal Administration

The nasal mucosa provides a good surface area for absorption and has low levels of proteases and drugmetabolising enzymes compared with the gastrointestinal tract. As a consequence, the intranasal route is used for the administration of some drugs, such as triptan drugs for migraines (see Chapter 26) and desmopressin (see Chapter 43), as well as drugs designed to produce local effects, such as nasal decongestants and topical corticosteroids (see Chapter 39).

## Inhalation

Although the lungs possess the characteristics of a good site for drug absorption (a large surface area and extensive blood flow), inhalation is rarely used to produce systemic effects. The principal reasons for this are the difficulty of delivering nonvolatile drugs to the alveoli and the potential for local toxicity to alveolar membranes. Drug administration by inhalation is therefore largely restricted to:

 volatile compounds, such as general anaesthetics (see Chapter 17);  locally-acting drugs, such as bronchodilators and corticosteroids used in the treatment of airway disease such as asthma and chronic obstructive pulmonary disease (see Chapter 12).

Drugs in the latter group are not volatile and have to be given either as aerosols containing droplets of dissolved drug or as fine particles of the solid drug (dry powder; see Chapter 12). Particles greater than 10  $\mu$ m in diameter settle out in the pharynx and upper airways, which are poor sites for absorption, and the drug then passes back up the airways via ciliary motion and is eventually swallowed. Particles less than 1  $\mu$ m in diameter are not deposited in the airways and are immediately exhaled. Only 4% to 6% of an inhaled dose may be deposited in the small airways, although the percentage of deposited drug may be higher with modern inhaler devices delivering particle sizes closer to the optimum for airways deposition (2–5  $\mu$ m).

#### **Minor Routes**

Drugs may be applied topically to almost any body surface or orifice to produce a local effect. Absorption from the site of administration into the circulation may be important both in limiting the duration of local action and in the production of unwanted systemic actions.

## DISTRIBUTION

Distribution is the process by which the drug is transferred reversibly from the general circulation into the tissues as the concentrations in blood increase, and then returns from the tissues into blood when the blood concentrations decrease. For most lipidsoluble drugs this occurs by passive diffusion across cell membranes (see Fig. 2.2). Once equilibrium is reached, any process that removes the drug from one side of the membrane results in a net movement of drug across the membrane that re-establishes the equilibrium (see Fig. 2.4). Drugs that are less lipidsoluble can penetrate tissues by diffusing through intercellular junctions.

After an intravenous injection of the drug, there is a high initial plasma concentration and the drug may rapidly enter well-perfused tissues such as the brain, liver and lungs (Table 2.2). This may be so rapid that these tissues can be assumed to equilibrate instantaneously with plasma and represent part of the 'central' compartment (described later). However, the drug will continue to enter poorly perfused tissues, and this will lower the plasma concentration. The high concentrations in the rapidly perfused tissues then decrease in parallel with the decreasing plasma concentration, resulting in a net transfer of drug back into the plasma (Fig. 2.6). This redistribution is important for terminating the action of some drugs given as a rapid intravenous injection or bolus. For example, intravenous thiopental produces rapid anaesthesia, but effects in the

Table <b>2.2</b>	Relative Organ Perfusion Rates in a Typical
	Adult at Rest.

ORGAN	PROPORTION OF CARDIAC OUTPUT (%)	BLOOD FLOW (ML/MIN PER 100 g of Tissue)
Well-Perfused Organs		
Lung	100	1000
Adrenals	0.5	200
Kidneys	15	350
Thyroid	1.5	500
Liver	27	110
Heart	4	100
Gastrointestinal tract	15	300
Brain	12	56
Placenta (full term)	—	10–15
Poorly-Perfused Organs		
Skin	5	12
Skeletal muscle (at rest)	12	4
Bone, connective tissue	3	3
Adipose (fat)	4	3



Fig. 2.6 A simplified scheme for the redistribution of drugs between tissues. The initial decrease in plasma concentrations results from uptake into well-perfused tissues, which essentially reaches equilibrium at point *A*. Between points *A* and *B*, the drug continues to enter poorly perfused tissues, resulting in a decrease in the concentrations in both plasma and well-perfused tissues. At point *B* all tissues are in equilibrium. The additional presence of an elimination process would produce a decrease from point *B* (shown as a *dashed line*), which would be parallel in all tissues.

brain are short-lived because continued uptake into muscle and adipose tissue lowers its concentrations in the blood and therefore indirectly in the brain (see Figs. 2.6 and 17.2).

The processes of elimination (such as metabolism and excretion) are of major importance and are discussed in detail in the upcoming sections. Elimination results in a net transfer of the drug from other tissues via the circulation to the organ(s) of elimination (see the dashed lines in Fig. 2.6).

## **REVERSIBLE PROTEIN BINDING**

Many drugs show an affinity for sites on nonreceptor proteins, resulting in reversible binding to form a complex:

Drug + protein  $\rightleftharpoons$  Drug-protein complex

Such binding occurs with plasma proteins, most commonly with albumin, which binds many acidic or steroidal drugs, and  $\alpha_1$ -acid glycoprotein, which binds many basic or neutral drugs (Table 2.3). Drugs may also bind reversibly with proteins in extravascular tissues. The drug-protein binding interaction resembles the drug-receptor interaction as it is rapid, reversible and saturable, and different ligands can compete for the same site. It does not result in a pharmacological effect but lowers the free concentration of the drug available to act at receptors; the amounts of drug remaining available may be only a minute fraction of the total body load. Proteins such as albumin can therefore act as depots, releasing the bound drug relatively rapidly when the free fraction of the drug is distributed to other compartments or eliminated.

Competition for binding to proteins in plasma or inside cells can occur between different drugs (one type of drug interaction; see Chapter 56) and between drugs and endogenous ligands. Relatively few interactions of this type have important clinical consequences, although a highly protein-bound drug such as aspirin can displace other drugs such as warfarin from their binding sites on plasma proteins; the increase in unbound drug concentration can increase the biological activity of the displaced drug. An example of drug interaction with an endogenous ligand is the displacement of bilirubin from albumin by sulfonamide antibiotics, causing an increase in the bilirubin concentration in plasma. In neonates, this can lead to severe encephalopathy (kernicterus).

Table <b>2.3</b>	Examples of Drugs That Undergo Extensive
	Binding to Plasma Proteins.

<b>BOUND TO ALBUMIN</b>	BOUND TO $\alpha_1$ -ACID GLYCOPROTEIN
Dexamethasone	Chlorpromazine
Digitoxin	Erythromycin
Furosemide	Lidocaine
lbuprofen	Methadone
Indometacin	Propranolol
Phenytoin	Quinine
Salicylates	Tricyclic antidepressants
Sulfonamides	
Thiazides	
Tolbutamide	
Warfarin	

## **IRREVERSIBLE PROTEIN BINDING**

Certain drugs, because of chemical reactivity of the parent compound or a metabolite, undergo covalent binding to plasma or tissue components such as proteins or nucleic acids. When the binding is irreversible (e.g. the interaction of some cytotoxic drugs with DNA), this can be considered as equivalent to elimination because the parent drug cannot reenter the circulation. In contrast, some covalent binding may be slowly reversible, such as the dissolution of the disulfide bridges formed by captopril with its target, angiotensin-converting enzyme (ACE), and with plasma proteins (see Chapter 6); the covalently bound drug will not dissociate rapidly in response to a decrease in the concentration of free drug, and such binding represents a slowly equilibrating reservoir of drug.

## DISTRIBUTION TO SPECIFIC TISSUES

Two systems require more detailed consideration of drug distribution: the brain, because of the difficulty of drug entry, and the fetus, because of the potential for drug toxicity.

## Brain

Lipid-soluble drugs readily pass from the blood into the brain, and for such drugs the brain represents a typical well-perfused tissue (see Table 2.2). In contrast, the entry of water-soluble drugs into the brain is much slower than into other well-perfused tissues, giving rise to the concept of a *blood–brain barrier* as a highly selective permeability barrier that separates the blood from the extracellular fluid of the brain. The functional basis of the barrier to water-soluble drugs (Fig. 2.7) is reduced permeability of brain capillaries owing to:

- tight junctions between adjacent endothelial cells (capillaries are composed of an endothelial layer a single cell thick, with no smooth muscle),
- smaller size and lower number of pores in the endothelial cell membranes, and
- the presence of a contiguous surrounding layer of astrocytes.

In addition, efflux transporters in the endothelial cells are an important part of the blood–brain barrier and return drug molecules back into the circulation, thereby preventing their entry into the brain and reducing effects in the central nervous system.

Water-soluble endogenous compounds needed for normal brain functioning, such as carbohydrates and amino acids, enter the brain via specific uptake transporters of the SLC superfamily (see Table 2.1). Some drugs (e.g. levodopa) may enter the brain using these transport processes, and in such cases the rate of transport of the drug will be influenced by the concentrations of competitive endogenous substrates.

There is limited drug-metabolising ability in the brain and drugs leave the brain by diffusion back into plasma, either by active transport processes in the



Fig. 2.7 The blood-brain barrier. The barrier arises from the low number of membrane pores, the tight junctions between adjacent cells and the presence of efflux transporters that remove any drug that enters the endothelial cell. The presence of astrocytes is the stimulus for these changes in endothelial structure and function. Astrocytes are one of the several types of cells found in the central nervous system that make up the glia; they have numerous sheet-like processes and may provide nutrients to neurons.

choroid plexus or by elimination in the cerebrospinal fluid. Organic acid transporters (OAT) of the SLC superfamily (see Table 2.1) are important in removing polar neurotransmitter metabolites from the brain.

## Fetus

Lipid-soluble drugs can readily cross the placenta and enter the fetus. The placental blood flow is low compared with that in the liver, lung and spleen (see Table 2.2); consequently, the fetal drug concentration equilibrates slowly with that in the maternal circulation. Highly polar and/or very large molecules (such as heparin; see Chapter 11) do not readily cross the placenta. The fetal liver has only low levels of drug-metabolising enzymes, so it is mainly the maternal elimination that clears the fetal circulation of drugs.

After delivery, the neonate may show effects from drugs given to the mother close to delivery (such as pethidine for pain control; see Chapter 19). Such effects may be prolonged because the neonate now has to rely on his/her own immature elimination processes (see Chapter 56).

## **ELIMINATION**

Elimination is the removal of a drug's activity from the body by metabolism and excretion. By convention, when a parent drug molecule is transformed into one or more metabolites, it is considered to have been eliminated, even if the metabolites still remain in the body. Excretion occurs when the parent drug molecule is expelled in the body's liquid, solid or gaseous 'waste'. Any drug metabolites will also be excreted.

## METABOLISM

A degree of lipid solubility is a useful property of most drugs as it allows the compound to cross lipid barriers and hence to be given via the oral route. Metabolism is necessary for the elimination of lipid-soluble drugs from the body because it converts a lipid-soluble molecule into water-soluble metabolites capable of rapid elimination in the urine. A lipid-soluble molecule filtered into the kidney tubule would otherwise be reabsorbed from the tubule into the circulation (discussed later).

Metabolism of the drug produces one or more new chemical entities, which may show different biological properties from the parent compound:

- Changes in pharmacological activity. Decreased pharmacological activity is the most common result of drug metabolism, arising from reduced receptor binding due to the changed molecular structure of the metabolite(s). Sometimes metabolites may be more potent than the parent drug; for example, a prodrug is administered as an inactive compound that is converted by metabolism into the active drug.
- *Changes in the nature of pharmacological activity.* The metabolite shows qualitatively different pharmacological or toxicological properties.

Drug metabolism can be divided into two phases (Fig. 2.8). Although many compounds undergo both phases of metabolism, it is possible for a drug to undergo only a phase 1 or a phase 2 reaction, or for a proportion of the drug to be excreted unchanged.

11 0 4



Fig. 2.8 The two phases of drug metabolism. Benzene is shown as a simple example of a lipid-soluble substance undergoing phase 1 and phase 2 metabolism. In phase 1, benzene is oxidised to phenol and in phase 2 the phenol is conjugated with sulfate to produce a highly hydrophilic metabolite-conjugate (phenyl sulfate).

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Table <b>2.4</b>	Cytochrome P450 superfamily.
ISOENZYME	COMMENTS
CYP1A	Important for methylxanthines and paracetamol; induced by smoking
CYP2A	Limited number of substrates; significant interindividual variability
CYP2B	Limited number of substrates
CYP2C	CYP2C9 is an important isoform; CYP2C19 shows genetic polymorphism
CYP2D	Metabolises numerous drugs; CYP2D6 shows genetic polymorphism
CYP2E	Metabolises alcohol
СҮРЗА	Main isoform in liver and intestine; me- tabolises 50%–60% of current drugs
CYP4	Metabolises fatty acids

Human liver contains at least 20 isoenzymes of cytochrome P450. Families CYP1–4 are involved in drug metabolism.

Phase 1 metabolism (often an oxidation, reduction or hydrolysis reaction) is sometimes described as preconjugation, because it produces a molecule that is a suitable substrate for a phase 2 or conjugation reaction. The enzymes involved in these reactions have low substrate specificities and can metabolise a wide range of drug substrates and other xenobiotics.

#### Phase 1

Cytochrome P450 is a superfamily of membrane-bound haemoprotein isoenzymes (Table 2.4). They are present in the smooth endoplasmic reticulum of cells (Fig. 2.9), particularly in the liver, which is the major site of drug oxidation; the amounts in other tissues are low in comparison. The cytochrome P450 families CYP1 to CYP4 are involved in drug metabolism; the specific isoenzymes CYP2C9, CYP2D6 and CYP3A4 are involved in the phase 1 metabolism of approximately 10%, 24% and 55% of drugs, respectively.

Oxidation reactions (Table 2.5) are the most important of the phase 1 reactions and can occur at carbon, nitrogen or sulfur atoms within the drug structure. In most cases, an oxygen atom is retained in the metabolite, although some reactions, such as dealkylation, result in loss of the oxygen atom in a small fragment of the original molecule. Oxidation reactions are catalysed by a diverse group of enzymes, of which the cytochrome P450 system is the most important. The cytochrome P450 isoenzyme binds both the drug and molecular oxygen (Fig. 2.10) and catalyses the transfer of one oxygen atom to the substrate, while the other oxygen atom is reduced to water:

## $RH + O_2 + NADPH + H^+ \rightarrow ROH + H_2O + NADP^+$



**Fig. 2.9 Drug metabolism in the smooth endoplasmic reticulum.** The lipid-soluble drug (*D*) partitions into the lipid bilayer of the endoplasmic reticulum. The cytochrome P450 oxidises the drug to a metabolite (*M*) that is more water-soluble and diffuses out of the lipid layer. The metabolite may undergo a phase 2 (conjugation) reaction catalysed by UDP-glucuronyl transferase (*UDPGT*) in the endoplasmic reticulum to give a glucuronide conjugate (*MGA*) or with sulfate in the cytosol to give a sulfate conjugate (*MS*).

Table <b>2.5</b>	Examples of Oxidation, Reduction and Hydrolytic Reactions.		
Oxidation			
Alkyl group	s $\operatorname{RCH}_3 \to \operatorname{RCH}_2\operatorname{OH} \to \operatorname{RCHO} \to \operatorname{RCOOH}$		
Deaminatio	n $\operatorname{RCH}_2\operatorname{NH}_2 \to \operatorname{RCHO} + \operatorname{NH}_3$		
Amines	$R'-NH-R \rightarrow R'-N(OH)-R$		
Reduction			
Aldehydes	$\text{RCHO} \rightarrow \text{RCH}_2\text{OH}$		
Disulfides	$R-S-S-R' \rightarrow RSH + HSR'$		
Hydrolysis			
Esters	$RCO \cdot OR' \to RCOOH + HOR'$		
Amides	$RCO\cdotNHR'\toRCOH+H_2NR'$		

R, R', Aliphatic groups.

The reaction involves initial binding of the drug substrate to the ferric (Fe<sup>3+</sup>) form of cytochrome P450, followed by reduction (via a specific cytochrome P450 reductase) and then binding of molecular oxygen. Further reduction is followed by molecular rearrangement, with release of the reaction products (drug metabolite and water) and regeneration of ferric cytochrome P450.

Oxidations at nitrogen and sulfur atoms are frequently performed by a second enzyme of the endoplasmic reticulum, the flavin-containing mono-oxygenase, which also requires molecular oxygen and nicotinamide adenine dinucleotide phosphate (NADPH). A number of other enzymes, such as alcohol dehydrogenase, aldehyde oxidase and MAO, may be involved in the oxidation of specific functional groups.

*Reduction reactions* (see Table 2.5) are less common than oxidation reactions but occur at unsaturated carbon atoms and at nitrogen and sulfur centres by the actions of cytochrome P450 and cytochrome P450 reductase (and also by the intestinal microflora).

*Hydrolysis and hydration reactions* (see Table 2.5) involve the addition of water to the drug molecule. In hydrolysis, the molecule is then split by the addition of water. A number of ubiquitous enzymes can hydrolyse ester and amide bonds in drugs. Intestinal bacteria are also important for the hydrolysis of esters and amides, and of drug conjugates eliminated in the bile (discussed later). In hydration reactions, the water molecule is retained in the drug metabolite. Hydration of an epoxide ring by epoxide hydrolase is an important reaction in the metabolism and toxicity of many aromatic drugs (e.g. carbamazepine; see Chapter 23).

### Phase 2

Phase 2 (conjugation) reactions involve the formation of a covalent bond between the drug, or its phase 1 metabolite, and an endogenous substrate. Table 2.6 shows the types of phase 2 reactions, the functional group necessary in the drug molecule and the activated species needed for the reaction. The products of conjugation reactions are usually highly water-soluble and lack biological activity.

The activated endogenous substrate for glucuronide synthesis is uridine-diphosphate glucuronic acid (UDPGA). UDP-glucuronyl transferases in the endoplasmic reticulum close to the cytochrome P450 system (see Fig. 2.9) transfer glucuronate to the drug. Glucuronide conjugation in the gut wall and liver is important in the first-pass metabolism of substrates such as simple phenols.

Sulfate conjugation is performed by a cytosolic enzyme, which utilises high-energy sulfate (3'-phosphoadenosine 5'-phosphosulfate or PAPS) as the ratelimiting endogenous substrate. Saturation of sulfate



Fig. 2.10 The oxidation of substrate (RH) by cytochrome P450. Fe<sup>3+</sup>, the active site of cytochrome P450 in its ferric state; *RH*, drug substrate; *ROH*, oxidised metabolite. Cytochrome  $b_5$  is present in the endoplasmic reticulum and can transfer an electron to cytochrome P450 as part of its redox reactions. *NADPH*, Nicotinamide adenine dinucleotide phosphate.

REACTION	FUNCTIONAL GROUP	ACTIVATED SPECIES	PRODUCTS
Glucuronidation	-OH	Uridine-diphosphate glucuronic acid	Glucuronide conjugates
	-COOH	(UDPGA)	
	-NH <sub>2</sub>		
Sulfation	–OH	3'-Phosphoadenosine-5'-phosphosulfate	–O–SO <sub>3</sub> H
	$-NH_3$	(PAPS)	–NH–SO₃H
Acetylation	-NH <sub>2</sub>	Acetyl-CoA	-NH-COCH <sub>3</sub>
Methylation	–OH	S-Adenosyl methionine	-OCH <sub>3</sub>
	-NH <sub>2</sub>		–NHCH <sub>3</sub>
	-SH		-SCH <sub>3</sub>
Amino acid conjugation	-COOH	Drug-CoA	CO-NH·CHR·COOH
Glutathione conjugation	Various	-	Glutathione conjugates

## Table 2.6 Major Conjugation Reactions.

conjugation contributes to the hepatotoxic consequences of overdose with paracetamol (acetaminophen; see Chapter 53).

Acetylation and methylation reactions often decrease polarity because they block an ionisable functional group (see Table 2.6), but they mask active groups such as amino and catechol moieties. These reactions are primarily involved in inactivation of neurotransmitters such as noradrenaline and local hormones such as histamine.

The conjugation of drug carboxylic acid groups with amino acids is unusual because the drug is converted to a high-energy form (a Coenzyme A derivative) prior to the formation of the conjugate bond by transferase enzymes. Conjugation with the tripeptide glutathione (GSH or  $L-\alpha$ -glutamyl-L-cysteinylglycine) is catalysed by a family of transferases which covalently bind the drug to the thiol group in the cysteine (Fig. 2.11). The substrates are



Fig. 2.11 The formation and further metabolism of glutathione conjugates. There are multiple types of glutathione transferase that detoxify substances by glutathione conjugation.

often reactive drugs or activated metabolites, which are inherently unstable (see Chapter 53), and the reaction can also occur nonenzymatically. The glutathione conjugate then undergoes further metabolic reactions.

Glutathione conjugation is a detoxification process in which glutathione acts as a scavenging agent to protect the cell from toxic damage. Glutathione conjugates and endogenous cysteine conjugates, such as the cysteinyl-leukotriene (LT)  $C_4$ , are transported out of cells by the MRP1 transporter (see Table 2.1).

The complex array of biotransformation reactions typically involved in drug metabolism is illustrated by the anxiolytic drug diazepam (see Chapter 20), which is metabolised to biologically active intermediates before undergoing conjugation with glucuronide (Fig. 2.12).

## Factors Affecting Drug Metabolism: Inducers and Inhibitors

The liver is the main site of drug metabolism; the large surface area of the sinusoids, combined with high levels of enzyme activity in hepatocytes, can result in very rapid drug uptake and metabolism as the blood flows through the liver (see Chapter 56 for normal sinusoid architecture and the effects of liver disease on hepatic drug uptake). Environmental influences, including chemical contaminants and therapeutic drugs, may induce or inhibit the activity of hepatic drug-metabolising enzymes, particularly cytochrome P450 isoenzymes (Table 2.7). This can affect both the bioavailability and the elimination of other drugs undergoing hepatic elimination, a form of drug interaction that will be discussed later.

Inducing agents increase the cellular expression of cytochrome P450 enzymes. This occurs over a period of a few days, during which the inducer interacts with nuclear receptors to increase mRNA transcription of genes coding for cytochrome P450. The increased amounts of the enzyme last for a few days after the removal of the inducing agent and are removed by normal protein turnover. Environmental contaminants such as benzo[*a*]pyrene in cigarette smoke induce CYP1A. Therapeutic drugs can induce members of the CYP2 and CYP3 families. Chronic consumption of alcohol induces CYP2E.



Fig. 2.12 Complex pathways of metabolism in humans. This figure illustrates that a single drug, in this case diazepam, may generate a number of active metabolites before phase 2 conjugation terminates the activity of the parent drug and metabolites.

In contrast, inhibition of cytochrome P450 by drugs occurs by direct reversible competition for the enzyme active site, not a change in enzyme expression, so the timecourse closely follows the absorption and elimination of the inhibitor substance. Examples of inhibitors are the histamine  $H_2$  receptor antagonist cimetidine (see Chapter 33) and components of grapefruit juice (see Table 2.7).

The activity of drug-metabolising enzymes is also dependent on the delivery of their drug substrates by the circulation. The metabolism of many drugs is affected significantly by lower hepatic blood flow in the very young and in the elderly (see Chapter 56). Genetic variation in drug-metabolising enzymes is discussed at the end of this chapter.

## **EXCRETION**

Drugs and their metabolites may be eliminated via circulation by various routes:

- In fluids (urine, bile, sweat, tears, breast milk, etc.). Important for low-molecular-weight polar compounds; urine is the major route, while breast milk is important because of the potential for exposure of the breastfed infant.
- In solids (faeces, hair, etc.). Faecal elimination is most important for high-molecular-weight compounds excreted in bile; the sequestration of drugs into hair is not quantitatively important, but the distribution of a drug along the hair shaft can indicate the history of drug intake during the preceding weeks.

• *In gases (expired air).* Important only for volatile compounds.

## **Excretion via the Urine**

There are three processes involved in the handling of drugs and their metabolites in the kidney, the sum of which determines the total urinary excretion:

Total excretion = glomerular filtration + tubular secretion – reabsorption

#### Glomerular filtration

All molecules less than about 20 kDa in mass undergo filtration under positive hydrostatic pressure through pores of 7- to 8-nm diameter in the glomerular membrane. The glomerular filtrate comprises about 20% of the flow of plasma to the glomeruli, and hence about 20% of all water-soluble, low molecular-weight compounds free in the plasma enter the filtrate on each pass. Blood cells, plasma proteins and protein-bound drugs are not filtered, so the efficiency of glomerular filtration for a drug is influenced by the extent of binding to cells and plasma proteins.

## Reabsorption

The glomerular filtrate contains numerous constituents that the body cannot afford to lose. Most of the water is reabsorbed, and there are specific tubular uptake

ISOENZYME	SUBSTRATES	INHIBITORS	INDUCERS
CYP1A2	Caffeine, clozapine, haloperidol, naproxen, olanzapine, paracetamol, propranolol, theophylline, verapamil, warfarin	Cimetidine, ciprofloxacin, efavirenz, grapefruit juice	Carbamazepine, chargrilled meat, cigarette smoke, rifampicin
CYP2A6	Coumarin, halothane, nicotine	Grapefruit juice, ketocon- azole, tranylcypromine	Dexamethasone, phenobar- bital, rifampicin
CYP2B6	Bupropion, cyclophosphamide, efavirenz, ifosfamide, ketamine, methadone, propofol, selegiline, tramadol	Ticlopidine, voriconazole	Artemisinin, carbamazepine, phenobarbital, phenytoin, rifampicin
CYP2C8	Cerivastatin, montelukast, repaglinide	Gemfibrozil, trimethoprim	Rifampicin
CYP2C9	Celecoxib, clopidogrel, diclofenac, fluox- etine, glibenclamide, glipizide, ibuprofen, losartan, tamoxifen, tolbutamide, venlafax- ine, S-warfarin	Amiodarone, capecitabine, voriconazole	Carbamazepine, nevirapine, phenobarbital, rifampicin, St John's Wort
CYP2C19	Amitryptiline, celecoxib, citalopram, clopido- grel, diazepam, fluvastatin, indometacin, omeprazole, pantoprazole, phenytoin, proguanil, propranolol, venlafaxine, R-warfarin	Esomeprazole, pantoprazole, voriconazole	Carbemazepine, efavirenz, prednisone, rifampicin, ritonavir, St John's Wort
CYP2D6	Amitriptyline, bisoprolol, clonidine, codeine, desipramine, encainide, many SSRIs, metamfetamine, metoprolol, ondansetron, propranolol, risperidone	Bupropion, fluoxetine, parox- etine, rolapitant	Dexamethasone, rifampicin
CYP2E	Ethanol, halothane (and other inhalation anaesthetics), paracetamol	Disulfiram	Ethanol
CYP3A4	Numerous drugs of many different classes, e.g. amlodipine, alfentanil, atorvastatin, carbamazepine, ciclosporin, diazepam, diltiazem, erythromycin, fluconazole, lido- caine, midazolam, nifedipine, saquinavir, sildenafil, tamoxifen, terfenadine	Antivirals (indinavir, nelfinavir, ritonavir), clarithromycin, erythromycin, grape- fruit juice, itraconazole, ketoconazole	Carbamazepine, efavirenz, phenobarbital, phenytoin, pioglitazone, rifampicin, St John's Wort

	nples of Common Substrates. Inhibitors and Inducers of Cvtochrome P450 Isoenzymes.
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SSRIs, Selective serotonin re-uptake inhibitors.

Information in table mainly from Flockhart, D.A., 2007. Drug Interactions: Cytochrome P450 Drug Interaction Table. Indiana University School of Medicine. http:// medicine.iupui.edu/clinpharm/ddis/clinical-table. Prescribing information on drug interactions is available in the British National Formulary.

processes for carbohydrates, amino acids, vitamins and other substances (see Chapter 14). A few drugs also pass from the tubule back into the plasma, as they are substrates for these specific uptake processes. The urine is concentrated on its passage down the renal tubule; as the tubule-to-plasma concentration gradient increases, only the most water-soluble molecules remain in the urine. Because of extensive reabsorption, lipid-soluble drugs are not eliminated via the urine, but are returned to the circulation until they are metabolised to water-soluble products that can be efficiently removed from the body by renal excretion. The pH of urine is usually less than that of plasma; consequently, pH partitioning between urine (pH 5-6) and plasma (pH 7.4) may increase or decrease the tendency of the compound to be reabsorbed (see Fig. 2.5).

## Tubular secretion

The renal tubule also has secretory transporters (see Table 2.1) on both the basolateral and apical membranes for compounds that are acidic (OATs) or basic (organic cation transporters, OCTs). Drugs and their

metabolites, especially the glucuronic acid and sulfate conjugates, may undergo an active carrier-mediated elimination, primarily by OATs but also by multidrugresistance-associated proteins (MRPs). Because tubular secretion rapidly lowers the plasma concentration of unbound drug, there will be a rapid dissociation of any drugs bound to plasma proteins. As a result, even highly protein-bound drugs may be cleared almost completely from the blood in a single passage through the kidney.

## **Excretion Via the Faeces**

Uptake into hepatocytes and subsequent elimination in bile is the principal route of elimination of larger molecules (molecular weight >500 Da). Conjugation with glucuronic acid increases the molecular weight of the substrate by almost 200 Da, so bile is an important route for eliminating glucuronide conjugates. Once the drug or its conjugate has entered the intestinal lumen via the bile (Fig. 2.13), it passes down the gut and may eventually be eliminated in the faeces. However, some drugs or conjugates may be reabsorbed from the



Fig. 2.13 Enterohepatic circulation of drugs. Drug molecules may circulate repeatedly between the bile, gut, portal circulation, liver and general circulation, particularly if the drug conjugate is hydrolysed by the gut flora.

lumen of the gut and reenter the hepatic portal vein. As a result, a drug can be recycled between the gut lumen, hepatic portal vein, liver, bile and back to the gut lumen; this is described as *enterohepatic circulation*. Some of the reabsorbed drug may escape hepatic extraction and proceed into the hepatic vein, maintaining the drug concentrations in the general circulation.

The water-soluble glucuronide conjugates of drugs or of their oxidised metabolites that are excreted into the bile undergo little reabsorption in the upper intestine, but the bacterial flora of the lower intestine may hydrolyse the conjugate, so the original, lipid-soluble drug or its metabolite is liberated and can be reabsorbed and undergo enterohepatic circulation.

# THE MATHEMATICAL BASIS OF CLINICAL PHARMACOKINETICS

The use of mathematics to describe the fate of a drug in the body can be complex and daunting for undergraduates. Nevertheless, a basic knowledge is essential for understanding many aspects of drug handling and the rational prescribing of drugs:

- why oral and intravenous treatments may require different doses,
- the calculation of dosages and dose intervals during chronic therapy,
- why a loading dose may be needed,
- the dosage adjustment that may be necessary in hepatic and renal disease,

• the calculation of dosages for vulnerable patient groups.

Such clinical calculations are a skill tested in the Prescribing Safety Assessment (PSA) for final-year medical students in the UK (see Chapter 55).

## **GENERAL CONSIDERATIONS**

The processes of drug absorption, distribution, metabolism and excretion (ADME) are described in this section in mathematical terms, as it is important to quantify the rate and extent to which the drug undergoes each process.

For nearly all physiological and metabolic processes, the rate of reaction is not uniform but proportional to the amount of substrate (drug) available: this is described as a first-order reaction. Diffusion down a concentration gradient, glomerular filtration and enzymatic hydrolysis are examples of first-order reactions. At higher concentrations, the drug diffuses or is filtered or hydrolysed at a faster rate than at lower concentrations. Protein-mediated reactions, such as metabolism and active transport, are also first order, because if the concentration of the substrate is doubled, then the rate of formation of product is also doubled. However, as the substrate concentration increases, the enzyme or transporter can become saturated with substrate, and the rate of reaction cannot respond to a further increase in concentration. The process then occurs at a fixed maximum rate independent of substrate concentration, and the reaction is described as a zero-order reaction; rare examples are the metabolism of ethanol (see Chapter 54) and phenytoin (see Chapter 23), in which the same amount drug, not the same proportion, is metabolized per unit of time. When the substrate concentration has decreased sufficiently for enzyme sites to become available again, then the reaction will revert to first order.

#### Zero-Order Reactions

If a drug is being processed (absorbed, distributed or eliminated) according to zero-order kinetics, then the change in concentration with time (dC/dt) is a fixed *amount* (mass) of the drug per time, independent of concentration:

$$\frac{\mathrm{d}C}{\mathrm{d}t} = -k \qquad (Equation 2.2)$$

The units of k (the reaction rate constant) are therefore a mass per unit time (e.g. mg/min). A graph of concentration against time will produce a straight line with a slope of -k (Fig. 2.14A).

#### **First-Order Reactions**

In first-order reactions, the change in concentration at any time (dC/dt) is proportional to the *concentration* present at that time:

$$\frac{\mathrm{d}C}{\mathrm{d}t} = -kC \tag{Equation 2.3}$$



**Fig. 2.14 Zero- and first-order kinetics.** (A) The zero-order reaction is a uniform change in concentration over time, representing the same *amount* (mass) of drug being removed per unit of time. (B) The first-order reaction is an exponential curve in which concentrations fall fastest when they are highest; the curve reflects the same *proportion* of drug being removed per unit of time. (C) Plotting the natural logarithm of the concentration (ln*C*) in a first-order reaction against time generates a straight line with slope -k (where *k* is the rate constant) and the intercept gives the concentration at time zero,  $C_0$ .

The rate of change will be high at high drug concentrations but low at low drug concentrations (see Fig. 2.14B), and a graph of concentration against time will produce an exponential decrease. Such a curve can be described by an exponential equation:

$$C = C_0 e^{-kt}$$
 (Equation 2.4)

where *C* is the concentration at time *t* and  $C_0$  is the initial concentration (when time = 0). This equation may be written more conveniently by taking natural logarithms:

$$\ln C = C_0 - kt \qquad (Equation 2.5)$$

and a graph of ln*C* against time will produce a straight line with a slope of -k and an intercept of ln*C*<sub>0</sub> (see Fig. 2.14C).

The units of the rate constant k (1/time, e.g. per hour) may be regarded as the proportional change per unit of time but are difficult to use practically, so the rate of a first-order reaction is usually described in terms of its half-life ( $t_{1/2}$ ), which is the time taken for a concentration to decrease by one-half. In the next halflife, the drug concentration falls by one-half of the remaining concentration, to a quarter of the original concentration, and then to one-eighth in the next halflife, and so on. The half-life is therefore independent of concentration and is a characteristic for a particular first-order process and a particular drug. The intravenous drug shown in Fig. 2.15 has a  $t_{1/2}$  of 1 hour.

The relationship between the half-life and the rate constant is derived by substituting  $C_0 = 2$  and C = 1 into the previous equation, when the time interval *t* will be one half-life  $(t_{1/2})$ , giving:

$$ln 1 = ln 2 - kt_{1/2}$$
  

$$0 = 0.693 - kt_{1/2}$$
 (Equation 2.6)  

$$t_{1/2} = 0.693 / k \text{ or } k = 0.693 / t_{1/2}$$

A half-life can be calculated for any first-order process (e.g. for absorption, distribution or elimination). In practice, the 'half-life' normally reported for a drug is the half-life for its elimination from plasma (the slowest, terminal phase of the plasma concentration–time curve; discussed later).

## **ABSORPTION**

The mathematics of absorption apply to all nonintravenous routes (e.g. oral, inhalation, percutaneous) and are illustrated by absorption from the gut lumen.

## **Rate of Absorption**

The rate of absorption after oral administration is determined by the rate at which the drug is able to pass from the gut lumen into the systemic circulation. Following oral doses of some drugs, particularly lipid-soluble drugs with very rapid absorption, it may be possible to see three distinct phases in the plasma concentration–time curve, which reflect distinct phases of absorption, distribution and elimination (Fig. 2.16A). For most drugs, however, slow absorption masks the distribution phase (see Fig. 2.16B). A number of factors can influence this pattern:

- *Gastric emptying.* Basic drugs undergo negligible absorption from the stomach, so there can be a delay of up to an hour between drug administration and the detection of drug in the general circulation.
- Food. Food in the stomach slows drug absorption and also gastric emptying.
- Decomposition or first-pass metabolism before or during absorption. This will reduce the amount of drug that reaches the general circulation but will not affect the rate of absorption, which is usually determined by lipid solubility.
- Modified-release formulations. If a drug is eliminated rapidly, the plasma concentrations will show rapid fluctuations during regular oral dosing, and to maintain a therapeutic plasma concentration

(Note: 0.693 = ln2)



Fig. 2.15 The elimination half-life of a drug in plasma. Here the drug concentration *C* decreases by 50% every hour (i.e. the half-life is 1 hour).



**Fig. 2.16 Plasma concentration-time profiles after oral administration of drugs with different rates of absorption.** The processes of distribution and elimination start as soon as some of the drug has entered the general circulation. (A) A clear distribution phase is seen if the rate of absorption is so rapid as to be essentially complete before distribution is finished. (B) For most drugs, the rate of absorption is slower and masks the distribution phase.

it may be necessary to take the drug at very frequent intervals, which can reduce adherence to the intended regimen. The frequency with which a drug is taken can be reduced by giving a modifiedrelease formulation that releases drug at a slower rate. The plasma concentration then becomes more dependent on the rate of absorption than the rate of elimination.

## **Extent of Absorption**

Bioavailability (*F*) is defined as the fraction of the administered dose that reaches the systemic circulation as the parent drug (unaltered, not as metabolites). For intravenous administration the bioavailability (*F*) is therefore 1, as 100% of the parent drug enters the general circulation. For oral administration, bioavailability may also be complete (F = 1) or it may be incomplete (F < 1) resulting from:

- incomplete absorption and loss in the faeces because the tablet or capsule failed to disintegrate fully, or because the drug molecules did not fully dissolve, or are adsorbed onto gut contents, or are insufficiently lipid-soluble to be absorbed; or
- first-pass metabolism in the gut lumen, during passage across the gut wall or by the liver before the absorbed drug reaches the systemic circulation.

The bioavailability of a drug has important therapeutic implications because it is the major factor determining the equivalent drug dosage for different routes of administration. For example, if a drug has an oral bioavailability (F) of 0.1, the oral dose needed for therapeutic effectiveness will need to be 10 times higher than the corresponding intravenous dose (F = 1).

The bioavailability is a characteristic of the drug and, providing that absorption and elimination are not saturated, it is independent of the drug dose, meaning that the same proportion of a large dose will be absorbed into the circulation as with a small dose of the same drug. Bioavailability is normally determined by comparison of plasma concentrations measured after oral administration (when the fraction *F* of the parent drug enters the general circulation) with measurements following intravenous administration (when, by definition, F = 1). The amount in the circulation cannot be compared at a single time point, because intravenous and oral dosing show different concentration-time profiles, so instead the total area under the plasma concentration-time curve (AUC) from t = 0 to t = infinity is used, as this reflects the total amount of drug that has entered the general circulation. If the oral and intravenous (IV) doses administered are equal:

$$F = \frac{AUC_{\text{oral}}}{AUC_{\text{IV}}}$$
 (Equation 2.7)

or if different doses are used:

$$F = \frac{AUC_{oral} \times Dose_{IV}}{AUC_{IV} \times Dose_{oral}}$$
 (Equation 2.8)

This calculation assumes that the elimination is first order.

An alternative method to calculate F is to measure the total urinary excretion of the parent drug (Aex) following oral and intravenous administration of identical doses:

$$F = \frac{Aex_{oral}}{Aex_{IV}}$$
 (Equation 2.9)

## DISTRIBUTION

Distribution concerns the rate and extent of movement of the parent drug from the blood into the extravascular tissues after administration, and also its return from the tissues into the blood during elimination.

## **Rate of Distribution**

Because a distinct distribution phase is not usually seen when a drug is taken orally (see Fig. 2.16B), the rate of distribution is normally measured following an intravenous bolus dose. Some intravenous drugs reach equilibrium between blood and tissues very rapidly, and a distinct distribution phase is not apparent. In Fig. 2.17A the slope of the logarithm of plasma concentration against time, therefore, mainly reflects elimination of the drug; this is described as a *one-compartment model*.

Most intravenous drugs, however, take a finite time to distribute into the tissues; the initial distribution out of the plasma, combined with underlying elimination, produces a steep initial slope (slope A–B in Fig. 2.17B), followed by a slower terminal phase (slope B–C) in



Fig. 2.17 Plasma concentration–time curves for the distribution of intravenous drugs into one- and two-compartment models. (A) When distribution of an intravenous drug bolus into tissues is so rapid as to be essentially instantaneous, the slope of the plasma concentration–time curve mainly reflects the rate of elimination (one-compartment model). (B) When distribution is slower, the initial fall in concentration (slope A–B) is due to simultaneous distribution and elimination followed by the terminal elimination phase (two-compartment model; slope B–C). Back-extrapolating to D at time zero allows the contribution of distribution during A–B to be distinguished from the underlying contribution of elimination.

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which distribution has been largely completed and elimination predominates. Back-extrapolation of this terminal elimination phase to time zero gives an initial value (D), which is the theoretical log concentration that would have been obtained if distribution had been instantaneous. The actual rate of distribution can therefore be estimated by the difference between the rapid initial fall in concentration (distribution plus elimination, A–B) and the underlying rate of elimination alone (D–B). In practice, knowing the rate of drug distribution is rarely of clinical importance.

Such a two-compartment model in which the drug in one compartment (e.g. blood) equilibrates more slowly with a second compartment (e.g. poorly perfused tissues; or a fetus) is also shown in Fig. 2.18. The rate of distribution into the second compartment is dependent on the solubility of the drug:

- For *water-soluble drugs*, the rate of distribution depends on the rate of passage across membranes (i.e. the diffusion characteristics of the drug).
- For *lipid-soluble drugs*, the rate of distribution depends on the rate of delivery (the blood flow) to those tissues, such as adipose tissue, that accumulate the drug.



**Fig. 2.18 Schematic diagram of drug distribution.** A drug injected instantly into the circulation ( $V_1$ ) distributed slowly into the tissues ( $V_2$ ). At equilibrium, the drug concentrations are transiently the same in volumes  $V_1$  and  $V_2$ . Distribution into the tissues is then reversed as the drug in the circulation is gradually eliminated.

The plasma concentration–time curves of some drugs show three or more distinct phases, due to distribution of the drug from the central compartment into two or more peripheral compartments at different rates; such *multicompartment models* are of limited clinical importance.

#### **Extent of Distribution**

The *extent* of distribution of a drug from plasma into tissues is more important clinically than the rate, because it determines the total amount of a drug that has to be administered to produce a particular plasma concentration (and therapeutic effect). In humans only the concentration in blood or plasma can be measured easily, so the extent of distribution must be estimated from the amount remaining in blood, or more usually plasma, after completion of distribution.

The parameter that describes the extent of distribution is the *apparent volume of distribution* ( $V_d$ ). In general terms, the concentration of a drug solution is the amount (or dose) of drug dissolved in a volume. Rearranging this gives:

$$V_{\rm d} = {{\rm Total \ amount \ (dose) \ of \ drug \ in \ the \ body}\over {\rm Plasma \ concentration}}$$
(Equation 2.10)

For example, if an intravenous dose of 50 mg of a particular drug is injected, and after an appropriate interval to allow time for distribution to reach equilibrium, the total concentration of the drug in plasma is found to be 1 mg/L, then the apparent volume of distribution ( $V_d$ ) is:

$$V_{\rm d} = {{\rm Total \ amount \ (dose)}\over {\rm Plasma \ concentration}} = {{50 \ {\rm mg}}\over {1 \ {\rm mg} \, / \, {\rm L}}}$$
  
= 50 L

After giving the dose it appears that the drug has been dissolved in 50 L of plasma. However, the plasma volume in adult humans is only about 3 to 4 L, so much of the drug must have left the plasma and entered tissues in order to give the low plasma concentration remaining (1 mg/L).

 $V_{\rm d}$  is a characteristic of a particular drug and is independent of dose. Its clinical usefulness becomes apparent when a physician needs to estimate how much of the drug should be given to a patient to produce a desired plasma concentration. If an initial plasma concentration of 2.5 mg/L of the drug is needed for a clinical effect, this could be produced by giving an intravenous dose of the known  $V_{\rm d}$  multiplied by the desired plasma concentration (50 L × 2.5 mg/L; i.e. a dose of 125 mg).

In practice, in measuring the  $V_d$  value of a drug, it has to be remembered that distribution usually takes time to reach equilibrium, and that during this time elimination is steadily reducing the total amount of drug in the body. In calculating  $V_d$ , therefore, it is usual to extrapolate the log concentration curve back to time zero (as illustrated in Fig. 2.17B) to find the theoretical concentration as if the drug has distributed instantaneously and significant elimination has not yet occurred:

$$V_{\rm d} = \frac{\text{Total amount (dose)}}{\text{Extrapolated plasma concentration at time zero}}$$

(Equation 2.11)

It is important to recognise that apparent  $V_{\rm d}$  may not be a true physiological volume. If the  $V_d$  calculated for a drug is 3 to 4 L, this might indicate that it has been confined within the circulatory volume, whereas a  $V_{\rm d}$ value of about 40 L might mean it has been able to pass into tissues and is distributed uniformly into the total volume of body water, which is about 40 L in adults.  $V_{\rm d}$  is only a theoretical measure based on how much the concentration of drug remaining in the plasma has been diluted by its distribution into extravascular tissues. Although a large  $V_{\rm d}$  may indeed occur when the drug is distributed at uniform concentrations into a large body compartment (such as total body water), the same high  $V_{\rm d}$  may also occur if the drug has been highly bound or sequestered by a tissue component within one or more smaller compartments. For example, binding tightly to tissue proteins in a single organ, or sequestration of a lipophilic drug at high concentrations into adipose (fat) cells, may reduce the plasma drug concentration to the same extent (and produce the same large  $V_{\rm d}$ ). Identifying such effects can be achieved only by measuring drug concentrations in tissues, which is rarely practicable in humans.

The  $V_d$  of a drug is nevertheless an important concept as it indicates the theoretical volume that has to be cleared of the drug indirectly by the organs of elimination, such as the liver and kidneys, which extract the drug directly from the plasma for metabolism and excretion. Together with clearance (the volume of plasma from which the drug can be cleared in a certain time), it determines the overall rate of elimination and therefore the half-life of the drug. In turn, the rate of elimination equals the rate of dosing required to maintain a steady plasma concentration and the half-life determines the duration of action of a single dose and hence the optimal interval between repeated doses of the drug (discussed later).

## ELIMINATION

The rate at which the drug is eliminated is important because it usually determines the duration of response, the time interval between doses and the time to reach equilibrium during repeated dosing.

#### **Rate of Elimination**

The rate of elimination of a drug from the circulation (and its associated plasma half-life) is usually indicated by the terminal slope of the log concentration– time curve (slope B–C in Fig. 2.17B). The elimination half-lives of drugs range from a few minutes to many days (and, in rare cases, weeks).

### The activity of the organ of elimination

The main organs of elimination (the liver and kidneys) can only remove drugs delivered to them via the blood. As long as the elimination process is not saturated (i.e. it remains first order), a constant *proportion* (not a constant amount) of the drug carried in the blood will be removed on each passage through the organ of elimination, whatever the drug concentration in the blood. In effect, this is equivalent to a constant proportion of the blood flow to the organ being cleared of drug. For example, if 10% of the drug carried to the liver by the plasma (at a flow rate of 1000 mL/min) is cleared by uptake and metabolism, this is equivalent to a clearance of 10% of the plasma flow (100 mL/min); if the drug is metabolised more efficiently such that 20% of the drug is cleared, this gives a clearance of 200 mL/min.

Clearance is therefore the *volume* of blood or plasma cleared of drug *per unit time*, not the amount of drug cleared in that time, which will also depend on the drug concentration in the plasma. If the plasma drug concentration is high, there is a greater amount of the drug in the volume that is cleared per unit time, resulting in a greater rate of elimination; if the drug concentration is low, the same clearance will eliminate a smaller amount of the drug per unit time. Overall, the rate of drug elimination from the body is therefore the product of plasma concentration of the drug (Cp) and its plasma clearance (CL), a relationship which can be rearranged to:

$$CL = \frac{Rate of elimination from the body}{Drug concentration in plasma}$$
 (Equation 2.12)

The plasma clearance is a characteristic value for a particular drug (Table 2.8), although it may be altered in renal or hepatic disease (Chapter 56); it is a constant

Table 2.8Pharmacokinetic Parameters of Selected<br/>Drugs (in a 70-kg Adult Male).

DRUG	CLEARANCE (CL), (ML/MIN)	APPARENT VOLUME OF DISTRIBUTION (V <sub>d</sub> ) (L)	HALF- Life ( <i>T</i> <sub>1/2</sub> ) (Hours)
Warfarin	3	8	37
Digitoxin	4	38	161
Diazepam	27	77	43
Valproic acid	76	27	5.6
Digoxin	130	640	39
Ampicillin	270	20	1.3
Amlodipine	333	1470	36
Nifedipine	500	80	1.8
Lidocaine	640	77	1.8
Propranolol	840	270	3.9
Imipramine	1050	1600	18

Half-life ( $t_{1/2}$ ) = 0.693 $V_{\rm d}$ /CL. A long half-life may result from a high  $V_{\rm d}$  (e.g. amlodipine), a low CL (e.g. digoxin), or both.

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for first-order reactions and is independent of dose or concentration. The units of clearance are volume per time, such as mL/min or L/hour.

## Reversible passage of drug from the blood into tissues

The organs of elimination can only act on a drug that is delivered to them via the blood supply, and the amount of drug eliminated depends on its concentration within the volume of plasma being cleared per unit time. By definition, a drug that is distributed at equilibrium into a large apparent volume of distribution has a low plasma concentration; hence the rate of elimination is inversely proportional to apparent  $V_d$ :

Elimination 
$$\propto \frac{1}{V_d}$$
 (Equation 2.13)

The overall rate constant of elimination (k) can therefore be related directly to the volume of plasma cleared per minute (CL) and inversely to the total apparent volume of plasma that has to be cleared ( $V_d$ ):

$$k = \frac{CL}{V_{\rm d}}$$
 (Equation 2.14)

As also (from Eq. 2.6): 
$$k = \frac{0.693}{t_{1/2}}$$
  
Therefore:

$$t_{1/2} = \frac{0.693 \, V_{\rm d}}{\rm CL}$$

The relationship between elimination, volume of distribution and clearance is illustrated in Fig. 2.19. The elimination rate constant (k) or half-life ( $t_{1/2}$ ) are the best indicators of a fall in drug concentration with time, and for most drugs this will be accompanied by a decrease in therapeutic activity.

Clearance is the best measure of the ability of the organs of elimination to remove the drug and determines the average plasma concentrations (and therefore therapeutic activity) at steady state (discussed later). Clearance is usually determined using the AUC extrapolated to infinity after an intravenous dose. Clearance and the AUC of a given dose of drug are inversely related: if clearance was zero, the drug would not be eliminated and its plasma concentration would remain at equilibrium indefinitely (the AUC would be infinitely large). Conversely, if the clearance were infinite, the AUC would be zero as the drug would be eliminated instantly. The ratio of an intravenous drug dose to the AUC (note: not the logarithm of plasma concentration) is therefore a measure of clearance:

$$CL = \frac{Dose}{AUC}$$
 (Equation 2.15)



Fig. 2.19 The relationship between clearance, apparent volume of distribution and overall elimination rate. The drug is eliminated by the clearance process, which removes whatever amount of the drug is present in a fixed volume of plasma, per unit time. With the drug removed, the pure plasma is returned to the reservoir to maintain a constant volume (the apparent volume of distribution,  $V_d$ ). The fluid, therefore, continuously recycles via the clearance process and the concentration of drug within it decreases exponentially.

If an oral drug is used instead, the dose in this equation would be corrected by its bioavailability (i.e.  $F \times \text{Dose}$ ).

The two equations for clearance (Eqs. 2.14 and 2.15) can be combined to derive Eq. 2.16, which is used to calculate  $V_d$  more reliably than the extrapolation method given in Eq. 2.11 and Fig. 2.17B:

$$CL = \frac{Dose}{AUC} = k \cdot V_{d}$$

$$V_{d} = \frac{Dose}{AUC \times k}$$
(Equation 2.16)

The plasma clearance of a drug is the sum of all possible clearance processes (metabolism + renal excretion + biliary excretion + exhalation etc). Measurement of its component processes is only really possible for renal clearance, performed by relating the rate of urinary excretion to the midpoint plasma concentration. Subtracting renal clearance from the total plasma clearance gives a reasonable estimate of metabolic (mainly hepatic) clearance, which cannot be measured directly. Being able to estimate both renal and hepatic clearance values can be useful in predicting the impact of renal or liver disease.

## **Extent of Elimination**

The extent of elimination is of limited value because eventually all the drug will be removed from the body. Measurement of the parent drug and its metabolites in



Fig. 2.20 Constant intravenous infusion (between points *A* and *C*). A steady-state concentration ( $C_{ss}$ ) is reached at point *B* and can be used to calculate clearance if the rate of infusion is known (rate of infusion =  $CL \times C_{ss}$ ). Conversely, knowing a drug's clearance means that a doctor using this equation can calculate the rate of intravenous infusion required to produce a desired  $C_{ss}$  in a patient. Clearance can also be calculated from the total dose infused between *A* and *C* and the area under the total curve (AUC). The negative slope after ending the infusion gives the terminal elimination phase (-k), the rate of which determines the time taken to approach  $C_{ss}$  (approximately four to five half-lives).

urine and faeces can give useful insights into the extent of renal and biliary elimination.

#### **CHRONIC ADMINISTRATION**

Repeated drug doses are used to maintain a constant concentration of the drug in the plasma and presumably at the site of action for a persistent therapeutic effect. In practice, a perfectly stable concentration can only be achieved by maintaining a constant intravenous infusion that has reached a steady-state balance between drug input and drug elimination (Fig. 2.20).

#### **Time to Reach Steady State**

During constant infusion, the time to reach steady state is dependent on the elimination half-life; as a rule of thumb, about 93% to 96% of steady state is approached after four or five times the elimination half-life. A drug with slow elimination takes a long time to reach its steady state, as it will accumulate to high plasma concentrations before its elimination rate rises to match the rate of drug infusion (because the elimination rate depends on plasma concentration; Eq. 2.12). Since the elimination half-life is also dependent on volume of distribution (Eq. 2.14), a high  $V_d$  can also contribute to delay in achieving steady state. It is easy to envisage the slow 'filling' of such a high volume of distribution during regular administration.

#### Plasma Concentration at Steady State

Once steady state has been reached, the plasma and tissues are in equilibrium and the distribution rate and  $V_{\rm d}$  will no longer affect the plasma concentration. The key insight is that a steady-state concentration ( $C_{\rm ss}$ )

is achieved when the rate of elimination equals the rate of infusion. From Eq. 2.12, the rate of elimination equals  $CL \times C_{ss}$ , so at steady state the rate of infusion also equals  $CL \times C_{ss'}$  or:

$$C_{\rm ss} = \frac{\text{Rate of infusion}}{\text{CL}}$$
 (Equation 2.17)

Alternatively, the rate of an intravenous infusion and the  $C_{ss}$  achieved can be used to calculate plasma clearance:

$$CL = \frac{\text{Rate of infusion}}{C_{ss}} \qquad \text{(Equation 2.18)}$$

Clearance and volume of distribution can also be calculated using the AUC between zero and infinity and the terminal slope after cessation of the infusion (see Fig. 2.20).

#### **Oral Administration**

Most chronic administration of drugs is by the oral route, and the rate and extent of absorption affect the shape of the plasma concentration–time curves. Because oral therapy is by intermittent doses, there will be a series of peaks and troughs reflecting the intervals between repeated doses (Fig. 2.21). The rate of absorption will influence the profile, because very rapid absorption will exaggerate fluctuations, whereas slow absorption will dampen down the peaks. As not all the administered dose (*D*) will be absorbed, the rate of dosage during chronic oral therapy is corrected for bioavailability (*F*):

$$\frac{D \times F}{t}$$



Fig. 2.21 Chronic oral therapy (solid line) compared with intravenous infusion (dashed red line) at the same dosage rate. Each oral dose shows rapid absorption and distribution to reach a peak, followed by a slower elimination phase in which concentrations fall to a trough. Successive peaks (or successive troughs) align with the dose interval. Cessation of therapy after any dose would produce the lines shown in blue. The dose rate (*D*/*t*) corrected for oral bioavailability (*F*) equals steady-state plasma concentration ( $C_{ss}$ ) × clearance (CL), so knowing a drug's clearance and bioavailability means a doctor can calculate the dose and the dose interval to produce a desired  $C_{ss}$  in a patient.

where *t* is the *interval* between doses. A dose interval of 24 hours is equivalent to once-daily dosing, whereas an interval of 12 hours is twice-daily dosing, and so forth. When steady state is achieved, the rate of administration required to maintain it is equal to the rate of elimination, which is the clearance (CL) multiplied by the drug concentration ( $C_{ss}$ ) averaged between the peaks and troughs (the dashed line in Fig. 2.21), so:

$$\frac{D \times F}{t} = CL \times C_{ss}$$
 (Equation 2.19)

or rearranging for C<sub>ss</sub>:

$$C_{ss} = \frac{D \times F}{t \times CL}$$

This important equation for maintenance dosing means it is possible to alter plasma  $C_{ss}$  by altering either the dose (*D*) or the dose interval (*t*). For example, the plasma  $C_{ss}$  could be doubled by giving twice the dose at the same intervals, or by giving the same dose twice as frequently. Allowing that bioavailability (*F*) is taken into account for different routes, and that clearance (CL) is usually constant unless there is a change in hepatic or renal function, it can be seen that the plasma  $C_{ss}$  is dependent only on dose-rate.

## Loading Dose

A problem may arise when a rapid onset of effect is required for a drug that has a long half-life; for example, if the half-life of a drug is 24 hours, the steady-state conditions will not be reached until approximately 4 to 5 days after dosing starts (i.e. four to five half-lives later), and if the half-life of another drug is 1 week, reaching its steady state would take about 4 to 5 weeks. Increasing the dose rate (by increasing the dose or shortening the dose interval) would accelerate the rise in plasma concentrations, but if sustained, the higher dose-rate would lead to a higher steady-state concentration being achieved than desired.

This delay between starting treatment and reaching the steady-state therapeutic concentration can be avoided if needed by administering a *loading dose*. This is a high initial dose that 'loads up' the body to shorten the time to achieve steady-state concentrations, after which it can be maintained by the normal dose-rate. The key principle is that the loading dose is the single dose required to produce the desired concentration in the apparent volume of distribution, so:

Loading dose =  $C_{ss} \times V_d$  (Equation 2.20)

The loading dose is equivalent to the total body load of drug that would be achieved more slowly by the chronic dosage regimen (Eq. 2.19).

In cases where  $C_{ss}$  or  $V_d$  are not known, the loading dose can be calculated based on the parameters of the proposed maintenance regimen by replacing  $C_{ss}$  with the rearrangement of Eq. 2.19 and replacing  $V_d$  by Eq. 2.14:

Loading dose = 
$$\frac{D \times F}{t \times CL} \times \frac{CL}{k}$$
  
=  $\frac{D \times F}{t \times k}$  (Equation 2.21)  
=  $\frac{D \times F \times 1.44 \times t_{1/2}}{t}$ 

This last equation shows that the magnitude of the loading dose required (if any) is proportional to the drug half-life. If a drug has such a long half-life that a very large loading dose is required, the loading dose may be given in divided doses over 24 to 36 hours. Otherwise, variations in its distribution to different tissues may cause high local concentrations and toxicity.

Following the loading dose, the steady-state plasma concentration can be sustained indefinitely by the *maintenance* dosage regimen given by Eq. 2.19.

## PHARMACOKINETICS OF BIOLOGICAL DRUGS

The first recombinant protein drug was human insulin, marketed in 1982, and there are now hundreds of biological drugs available, including monoclonal antibodies, cytokines, growth factors and blood products. Such biopharmaceuticals can create special pharmacokinetic problems, mainly due to their protein structures, as follows:

- Absorption. pH-dependent and enzymatic breakdown of proteins in the gastrointestinal tract (>99%) precludes oral administration. Administration of biopharmaceuticals is by parenteral routes (intravenous, subcutaneous, intramuscular), including, occasionally, intranasal and inhaled routes. Bioavailability of protein drugs may be low due to local proteolysis, such as at subcutaneous or intramuscular injection sites. Larger molecules (>30 kDa) cross the capillary endothelium poorly and may enter the systemic circulation by the lymphatic system.
- *Distribution.* Biological drug distribution may be confined to the blood and extracellular tissues. Protein drugs may bind extensively to albumin and other plasma proteins, affecting their distribution and rate of metabolism.
- *Elimination*. Biological drugs are not excreted unchanged but undergo extensive proteolysis in the blood, liver, kidneys and other tissues to small peptides and amino acids, which enter the general pool of amino acids used in endogenous protein synthesis. Degradation depends on molecular weight, charge and the extent of glycosylation. Recombinant drug molecules may be designed to lack common sites of proteolytic cleavage, or they may be coated with polyethylene glycol (pegylated) to improve

solubility and resistance to proteolysis. The elimination kinetics of biopharmaceuticals can be variable and complex; concentrations of monoclonal antibodies in plasma fall initially as they bind tightly to their targets, but their terminal elimination half-life may be as slow as that of endogenous immunoglobulins (14–28 days), enabling long dose intervals.

• *Toxicity and clinical use.* Biopharmaceuticals are often highly species-specific, and their toxicity is usually receptor-dependent or immunogenic in origin. Immunogenicity is reduced in drugs based on human protein sequences, but these are more difficult to develop as they may lack efficacy in animal models. The complex tertiary structure of recombinant proteins makes them more vulnerable to degradation by heat, pH effects and shear forces during manufacture, storage and handling.

## GENETIC VARIATION AND PHARMACOKINETICS

The effects that variation in the genes encoding drug targets can have on drug action are discussed in Chapter 1. Genetic variation may also affect drug responses by altering proteins involved in the absorption, distribution, metabolism and excretion of the drug. The earliest pharmacogenetic studies were on enzymes involved in drug metabolism. N-Acetyltransferase (NAT) was one of the first drug metabolism pathways found to show genetic polymorphism that influenced both the plasma concentrations of a drug (isoniazid) and its therapeutic response. Individuals with low enzyme activity, so-called slow acetylators, had higher blood concentrations and a better response to isoniazid but a greater risk of toxicity than fast acetylators.

Because N-acetylation is a minor pathway of drug metabolism, pharmacogenetics remained of largely academic interest until the late 1970s, when it was found that the cytochrome P450 isoenzyme CYP2D6, which is involved in the phase 1 metabolism of 20% to 25% of all drugs, showed functionally important genetic polymorphism. CYP2D6 activity can be tested phenotypically by monitoring a plasma metabolite of debrisoquine, a CYP2D6 substrate, or the CYP2D6 genotype, identified by genetic tests. Genotyping may be useful in guiding the prescribing of a number of drugs to optimise their efficacy and reduce adverse effects, including tetrabenazine used in Huntington's disease and the oestrogen receptor modulator tamoxifen. Developments in genotyping have allowed the identification of polymorphism in a number of other cytochrome P450 isoenzymes, with consequences for the phase 1 metabolism and elimination of many drugs; examples are listed in Table 2.9. Polymorphism has also been identified in a number of phase 2 metabolic enzymes; notable among these is the \*28 variant in UDP glucuronyl transferase (UGT1A1\*28), which results in impaired metabolism of the topoisomerase inhibitor irinotecan

and greater adverse effects when the drug is used for treatment of colon cancer. Genetic tests for the UGT1A1 allele are available. Another example is thiopurine-Smethyltransferase (TPMT), which detoxifies 6-mercaptopurine used in treating inflammatory bowel disease and leukaemia. Genetic variation in TPMT influences the efficacy and toxicity of mercaptopurine, and individuals can be phenotyped for TMPT activity in blood or genotyped for variant TMPT alleles.

The prevalence of gene variants may differ between ethnic groups; people from the Indian subcontinent have a lower systemic clearance of nifedipine (a CYP3A4 substrate) compared with Europeans, and intolerance to alcohol ingestion associated with polymorphism in alcohol dehydrogenase (ADH) and acetaldehyde dehydrogenase (ALD) is common in people of Chinese and Japanese origin (see Table 2.9).

Polymorphism that affects the metabolism of drugs may influence their efficacy and safety by altering plasma concentrations of the active drug, or by modifying the amounts of active or toxic metabolites. It may also alter the enzymatic conversion of prodrugs into their active metabolites. Polymorphism in transporter molecules may affect the absorption, distribution or elimination of drugs by altering their transport across cell membranes in the gut, liver, renal tubule and other sites. Functional polymorphism is apparent in some ABC transporter proteins, including the MDR1 gene which codes for P-gp, and in OATs in the kidney, although the consequences of these for drug transport are unclear. Genetic polymorphism is likely of greatest clinical significance when the polymorphic protein is in the main or exclusive pathway affecting bioavailability and/or elimination and when the drug has a narrow therapeutic index (see Chapter 53).

The influence of genetic variation must be set against environmental factors, including age, pregnancy, interactions with other drugs (including alcohol and constituents of tobacco) and concurrent conditions including impairment of renal or hepatic function, which may be of greater importance in predicting drug efficacy and unwanted effects (see Chapter 56). A number of commercial tests have been marketed for specific polymorphic alleles in drugmetabolising enzymes, including some of those listed in Table 2.9. There are nevertheless formidable obstacles to the widespread implementation of pharmacogenetic testing, including issues of cost and privacy. In most cases, individual gene variants have limited predictive value, and testing for haplotypes of multiple variants in the same gene or in other genes within the same metabolic pathways may be more predictive, but also more costly and difficult to interpret. A major hurdle to genetic testing is that reliable clinical trial data are not often available in people with diverse genotypes to guide prescribers in making appropriate alterations in drug regimens. Regulatory bodies in the UK, EU and USA (see Chapter 3) are nevertheless

Table 2.9         Pharmacogenetic Differences in Drug-Metabolising Enzymes.			
ENZYME	INCIDENCE OF DEFICIENCY OR SLOW-METABOLISER PHENOTYPE IN WHITE PEOPLE (AND OTHER ETHNIC GROUPS)	TYPICAL SUBSTRATES	CONSEQUENCES OF DEFICIENCY OR SLOW-METABOLISER STATUS
Phase 1 Reactions			
Pseudocholinesterase (butyrylcholinesterase, plasma cholinesterase)	1 in 3000	Suxamethonium (succinylcholine)	Prolonged paralysis and apnoea for up to 3 hours after a dose
Alcohol dehydroge- nase, acetaldehyde dehydrogenase	5%–10% (>50% in Asians)	Ethanol and acetaldehyde	Profound vasodilation on ingestion of alcohol
CYP1A1	About 10%	Polycyclic aromatic hydrocarbons	Increased risk of low birth weight with maternal smoking
CYP2A6	15%	Nicotine, coumarin	Reduced nicotine metabolism
CYP2B6	3%–4% (15%–40% in Asians, 20% in African Americans)	lfosfamide, efavirenz	Increased efavirenz toxicity
CYP2C9	About 10% (3% in Africans)	Tolbutamide, diazepam, warfarin	Increased response if parent drug is active, e.g. increased risk of haemorrhage with warfarin
CYP2C19	3%–6% (13%–23% in Asians, 40%–80% in Polynesians)	Clopidogrel, omeprazole, diazepam	Variable efficacy of diazepam, omeprazole; reduced conversion of clopidogrel to active metabolite
CYP2D6	6%–10% (higher in African populations, <2% in Asians)	Codeine, nortriptyline, tamoxifen, tetrabenazine	Increased response if parent drug is active, but reduced response if oxidation produces the active form, e.g. codeine
Dihydropyrimidine dehy- drogenase (DPD)	2%-8%	Fluorouracil, capecitabine	Enhanced drug response
Phase 2 Reactions			
N-Acetyltransferase	40%–70% (10%–20% in Asians, 80% in Egyptians)	lsoniazid, hydralazine, procainamide	Enhanced drug response and/or toxicity in slow acetylators
UDP glucuronyl transfer- ase (UGT1A1)	10% (1%-4% Asians)	Irinotecan	Enhanced effect (Gilbert's syndrome; increased bilirubin)
Glutathione transferase family	Various	Reactive compounds or metabolites	Increased risk of cancer from environmental carcinogens; therapeutic implications unclear
Thiopurine-S-methyl transferase (TPMT)	Low activity in 10%, absent in 0.3%	Mercaptopurine, azathioprine	Increased risk of toxicity (because the doses normally used are close to toxic)
Catechol-O-methyltrans- ferase	25%	Levodopa	Slightly enhanced drug effect
Transporters			
ABCB1 (P-gp)	A number of SNPs identi- fied in MDR1 gene (incidences vary with ethnic origin)	Digoxin, anticancer drugs, dihydropyridine calcium channel blockers	Possibly higher drug levels with some SNPs, but lower drug levels due to increased activity with other SNPs

P-gp, P-glycoprotein; SNP, single nucleotide polymorphism.

increasingly recommending that genetic data are included in drug information. About 10% of prescription drugs currently include pharmacogenetic data in their product literature, but this does not always translate into changes in prescribing practice. A key challenge for the future will be the fuller implementation of pharmacogenomics into clinical practice.

## **SELF-ASSESSMENT**

## **TRUE/FALSE QUESTIONS**

- 1. Nonionised molecules cross phospholipid membranes more readily than their ionised forms.
- 2. Weak acidic drugs are mostly ionised in acid solutions.

- 3. Weak acidic drugs, such as aspirin, are mostly absorbed in the stomach.
- 4. Basic drugs may bind reversibly to  $\alpha_1$ -acid glycoprotein in the plasma.
- 5. The plasma clearance of a drug usually decreases with increases in the dose prescribed.
- 6. First-pass metabolism may limit the bioavailability of oral drugs.
- 7. Drugs with high first-pass hepatic metabolism also have a high systemic clearance.
- 8. The half-life of many drugs is longer in babies than in children or adults.
- 9. A decrease in renal function affects or al bioavailability.
- 10. Depot injections of drugs have a prolonged halflife because their renal clearance is reduced.
- 11. Nifedipine is eliminated more rapidly in cigarette smokers.
- 12. Chronic treatment with phenobarbital can increase the plasma concentrations of co-administered drugs.
- 13. A loading dose is not necessary for drugs that have short half-lives.
- 14. An obese subject is likely to require higher doses of drugs than a nonobese subject during chronic therapy.
- 15. Alcohol intolerance due to genetic polymorphism is common in some ethnic groups.

## **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. What is the clearance of a drug?
  - A. The volume of drug from which plasma is removed per unit of time.
  - B. The proportion of drug cleared from the plasma per unit of time.

- C. The rate of elimination of drug from the body by the liver and kidneys.
- D. The time it takes to eliminate all of the drug from the body.
- E. The volume of plasma from which all of the drug is removed per unit of time.
- 2. Which statement about cytochrome P450 (CYP) isoenzymes is the most accurate?
  - A. CYP isoenzymes do not catalyse drug conjugation reactions.
  - B. CYP isoenzyme activity is not affected by diet.
  - C. CYP-mediated drug metabolism occurs mainly in the gut wall.
  - D. CYP isoenzyme activity is consistent among individuals.
  - E. CYP isoenzymes are induced by most drugs.
- 3. Identify the most accurate statement concerning apparent volume of distribution  $(V_d)$ .
  - A. The  $V_{d}$  will be high if the drug is highly bound to plasma proteins.
  - B. The  $V_{\rm d}$  can be greater than the total body volume.
  - C. The  $V_{\rm d}$  is proportional to the dose of drug administered.
  - D. The  $V_{d}$  is the sole determinant of the elimination half-life of a drug.
  - E. The  $V_{\rm d}$  is used to calculate the maintenance dosage of a drug.

## **DESCRIPTIVE QUESTION**

Fig. 2.22 shows the changes in plasma levels of two drugs A and B, each given to an adult man as 10-mg doses by the oral and intravenous routes (at time zero) on separate occasions. From the plasma



Fig. 2.22 Plasma concentration-time curves for oral and intravenous doses of drug A (left panel) and drug B (right panel). This figure accompanies the descriptive question in the Self-Assessment section.

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concentration–time curves, compare drugs A and B for the following properties:

- 1. Absorption from the gut
- 2. Oral bioavailability
- 3. Distribution to tissues
- 4. Elimination half-life
- 5. Extent of accumulation with once-daily administration of each drug

## **CASE-BASED QUESTIONS**

## Case 1

Meghan is a 9-year-old girl (weighing 30 kg) admitted to the emergency department with acute asthma. She is to be given aminophylline (a soluble form of theophylline) by slow intravenous injection. She has not had oral theophylline within the previous 24 hours. The target plasma concentration initially is 10 µg/mL (therapeutic range 5–15 µg/mL), but the clearance of theophylline is highly variable. The apparent volume of distribution ( $V_d$ ) of theophylline is 0.5 L/kg, and it comes in an injection solution containing 25 mg/mL of the drug.

- 1. What would be a suitable intravenous loading dose (in mg)? [Note Eq. 2.22: Loading dose = *V*<sub>d</sub> x Css]
- 2. What volume of the injection solution should be administered?
- 3. The British National Formulary for Children (BNFC) advises following the intravenous injection by a continuous intravenous infusion at a rate of 1 mg/kg/hour. A day after starting the infusion, the steady-state plasma theophylline concentration was 5  $\mu$ g/mL. Using Eq. 2.18, estimate the clearance (CL) of theophylline in this child.
- 4. The plasma concentration of 5  $\mu$ g/mL was judged to be inadequate and it was decided to increase the theophylline infusion rate to achieve a plasma concentration of 10  $\mu$ g/mL. What should be the new infusion rate?

## Case 2

Mrs JW (body weight 70 kg) was found to have congestive heart failure and atrial fibrillation. Treatment was started with digoxin, which has an apparent volume of distribution ( $V_d$ ) of 9 L/kg and a half-life ( $t_{1/2}$ ) of 42 hours. The plasma concentrations for therapeutic effectiveness are 0.8–2 ng/mL and toxic effects occur above 2 ng/mL.

- 1. Approximately how long would it take to reach a steady-state concentration in plasma?
- 2. What dose should be given as a loading dose to achieve a plasma level of 0.8 ng/mL?

#### ANSWERS

## **TRUE/FALSE ANSWERS**

1. **True.** Ionisation reduces lipid solubility and improves solubility in water.

- 2. **False.** Weak acids are least ionised in acid solutions and most ionised in basic solutions.
- 3. **False.** Although low pH in the stomach renders aspirin into its nonionised, lipid-soluble form, the low surface area of the stomach wall limits the extent of drug absorption; the bulk of most oral drugs is absorbed across the much larger surface area of the small intestine.
- True. Basic (or neutral) drugs may bind reversibly to α<sub>1</sub>-acid glycoprotein, whereas many acidic drugs bind to albumin.
- False. Provided the elimination processes are not saturated, clearance (like bioavailability and apparent volume of distribution) is independent of dose and is a characteristic of the drug.
- 6. **True.** This statement is true for all 'presystemic' sites of metabolism of the oral dose (e.g. gut lumen, intestinal wall and liver). Low bioavailability may also arise from poor absorption from the gut.
- True. If the liver can clear a high proportion of the drug on first pass, then the drug fraction that survives to enter the general circulation will experience further rapid clearance on subsequent passes through the liver.
- True. Infants under 12 months have relatively low hepatic metabolism and renal excretion, so many drugs are cleared more slowly and have longer half-lives than in older children and adults.
- 9. False. A decrease in renal function could affect systemic clearance, but bioavailability is simply the fraction of the oral dose that reaches the general circulation unaltered, and the kidneys are not part of the route between gut lumen and general circulation.
- 10. **False.** Using a depot injection of a drug prolongs its apparent elimination half-life due to slower, sustained release from the site of injection. Once absorbed into the blood, the circulating drug is handled by the kidneys as normal; the volume of plasma cleared of the drug per unit time (clearance) is unaltered.
- 11. **False.** From Table 2.7, nifedipine is metabolised by CYP3A4, whereas smoking induces CYP1A2, so no interaction is likely to occur.
- 12. **False.** Phenobarbital is a potent inducer of several cytochrome P450 isoenzymes (e.g. CYP2C9, CYP3A4), and this would *reduce* plasma concentrations of co-administered drugs that are substrates for the same CYP isoenzymes.
- 13. **True.** Drugs with short elimination half-lives reach therapeutic concentrations relatively rapidly, and a loading dose is usually unnecessary.
- 14. **False.** A lipid-soluble drug would have a higher apparent  $V_d$  in an obese person due to greater distribution into adipose tissue. It will therefore take longer (or require a larger loading dose) to achieve the desired steady-state plasma concentration, but once this is achieved, the maintenance dose rate will be the same as in a nonobese person because it

depends on systemic clearance, which is unlikely to be different in obesity, and not on apparent  $V_d$  (see Eq. 2.21). There would consequently be no need to modify the maintenance drug dosage because of an increase in  $V_d$  in an obese person, although the total body load of a drug at steady state would be higher.

15. **True.** Genetic variations that alter the activity of alcohol dehydrogenase and acetaldehyde dehydrogenase underlie the alcohol intolerance (facial flushing, etc.) experienced by many people of Chinese and Japanese ancestry.

## **OBA ANSWERS**

- 1. Answer E is the best definition of clearance (CL).
  - A. Incorrect. This statement is meaningless; what is the volume of a drug?
  - B. Incorrect. This is a characteristic of first-order (exponential) kinetics, in which a drug's plasma concentration falls by a fixed proportion per unit of time, but not a definition of clearance.
  - C. Incorrect. Clearance and the rate of elimination are not the same, but they are related: the rate of elimination of a drug at steady-state is proportional to its clearance *and* its plasma concentration (rate of elimination =  $CL \times C_{ss}$ ). Rate of elimination has units of mass of drug per time (e.g. mg/min).
  - D. Incorrect. The time it takes to eliminate a drug from the body is inversely proportional to clearance and is difficult to estimate as elimination is usually exponential. However, after maintenance dosing is stopped, the steady-state plasma concentration of the drug will typically fall by 95% in about four to five half-lives and can then be considered to have become sub-therapeutic.
  - E. **Correct**. Clearance is the volume of plasma from which the drug is eliminated per unit of time by each organ of elimination, so its units are volume per time (e.g. L/hour), and it is independent of dose or plasma concentration.
- 2. Answer A is the most accurate statement.
  - A. **Correct**. CYP enzymes perform many types of reaction (oxidation, reduction, hydrolysis, hydration) during phase 1 drug metabolism, but phase 2 (conjugation) reactions usually require additional enzymes (e.g. UDPGT or glutathione transferases).
  - B. Incorrect. CYP isoenzymes can be inhibited or induced by compounds in dietary items such as grapefruit juice or charred meat.
  - C. Incorrect. The liver has higher expression of CYP isoenzymes than other tissues.
  - D. Incorrect. Pharmacogenetic variation among individuals is particularly marked for CYP2C19 and CYP2D9 isoenzymes.
  - E. Incorrect. Most drugs do not induce (or inhibit) CYP isoenzymes.

- 3. Answer B is the most accurate statement.
  - A. Incorrect. Drugs confined within the circulation (e.g. by plasma protein binding) have a *low* apparent  $V_{d}$ . (The total drug concentration in plasma, both free and bound, is used when calculating  $V_{d}$ . Extensive binding of the drug to proteins in tissues *outside* the circulation could inflate the apparent  $V_{d}$ .)
  - B. **Correct**. An impossibly large apparent  $V_d$  can occur if a drug is sequestered in large amounts in the extravascular compartments for example, tightly bound to cellular proteins in tissues or highly concentrated in adipose tissue.
  - C. Incorrect. Apparent  $V_{d}$  is a characteristic of a particular drug but is independent of dose.
  - D. Incorrect. The half-life is proportional to  $V_{\rm d}$  and inversely proportional to clearance.
  - E. Incorrect.  $V_d$  is used in calculating a loading dose  $(= C_{ss} \times V_d)$  if one is required.

## **DESCRIPTIVE ANSWERS**

- 1. The rate of absorption is determined by the rate of increase after oral dosing. Drug A is absorbed rapidly, whereas drug B takes about 6 hours to reach a peak concentration.
- 2. Bioavailability (*F*) is determined by the ratio of the area under the oral and intravenous curves  $(AUC_{oral}/AUC_{iv})$ . For drug A, bioavailability (*F*) is visibly much less than 1, whereas for drug B the  $AUC_{oral}$  approximately equals the  $AUC_{iv}$ , so *F* is about 1, suggesting it is completely absorbed after oral dosing.
- 3. The rate of distribution is given by the steep initial slope of the *intravenous* drug curve from time zero to the establishment of the slower, terminal elimination phase, which is at about 1 hour for drug A and 3 to 4 hours for drug B, so drug A distributes more rapidly. Extrapolating the gentle slope of the terminal elimination phase back to time zero gives similar intercepts (apparent  $V_d$ ) for both drugs, indicating they have a similar extent of distribution.
- 4. The slope of the elimination phase is greater for drug A than for B, so its elimination rate (*k*) is greater and its half-life is therefore shorter  $(t_{1/2} = 0.693/k)$ . This must be due to a lower clearance (CL) of drug B, as it was shown previously that the volume of distribution is similar for both drugs. This is also apparent from the greater AUC for intravenous drug B, as the doses were the same (10 mg).
- 5. The potential for accumulation depends on the difference between the half-life and the dose interval (once daily = 24 hours). It is clear that after 24 hours nearly all of drug A has been removed from the plasma, but considerable amounts of B remain, so drug B would show significant accumulation.

## **CASE-BASED ANSWERS**

## **Case 1 Answer**

- 1. Note that the apparent  $V_d$  of the ophylline is given as 0.5 L for each kg of the child's bodyweight (30 kg), so this needs to be expressed as a total  $V_d$  in litres (0.5 L/kg × 30 kg = 15 L). The desired plasma concentration (10 µg/mL) should be expressed as 10 mg/L for consistency in units. The loading dose (=  $C_{ss} \times V_d$ ) will then be: 10 mg/L × 15 L, which is 150 mg.
- 2. The volume of a 25 mg/mL solution required for a dose of 150 mg is 150/25 = 6 mL. This is given slowly (over 20 minutes).
- 3. Using Eq. 2.18, the infusion rate (30 mg/hour) divided by the steady-state plasma concentration (5  $\mu$ g/mL, converted to 5 mg/L) gives a theophylline clearance (CL) of 6 L/hour in this child. Eq. 2.20 has more general applicability and could be used in this case bearing in mind that in an intravenous drug the bioavailability (F) equals 1, and D/T represents the infusion rate.
- 4. If the plasma concentration achieved by the maintenance infusion dose (5 µg/mL) now needs to be doubled to 10 µg/mL, then the infusion rate should be doubled from 1 mg/kg/hour to 2 mg/kg/hour. The latter is equivalent to 60 mg/hour in this 30 kg child or about 2.4 mL/hour of the 25 mg/mL solution. The linear relationship that steady-state plasma concentration is directly proportional to the infusion rate (assuming that clearance is unchanged) is shown by Eq. 2.17. In practice, the plasma theophylline concentration would continue to be monitored and the infusion rate titrated up or down accordingly.

## **Case 2 Answer**

1. It takes about four to five times the elimination halflife for a drug to reach approximately 93% to 97% of its steady-state plasma concentration on repeated dosing. For digoxin ( $t_{1/2}$  = 42 hour) this is 168 to 210 hours, or about 7 to 9 days, hence the need for a loading dose.

2. Using Eq. 2.22 and adjusting  $V_d$  (= 9 L/kg) for the patient's body weight (70 kg) gives: Loading dose =  $C_{ss} \times V_d$  = 0.8 µg/L × (9 L/kg × 70 kg) = 504 µg

In practice, a rounded dose of 500 µg would be given and the BNF indicates this could be given as two 250 µg tablets of digoxin.

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# **Drug Discovery, Safety and Efficacy**

## **Chapter Outline**

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Through most of human history most medicines were of botanical or zoological origin and most had dubious therapeutic value. A handful of medicines with potential therapeutic efficacy have been known for centuries, such as preparations of opium used more or less successfully for the treatment of pain and diarrhoea (i.e. dysentery) for thousands of years, but others were often worthless or misused, such as the antimalarial drug quinine being prescribed for fevers of nonmalarial origin.

Early medicines consisting of crude extracts of plants or animal tissues usually contained a mixture of many organic compounds, of which one, more than one or none may have had useful biological applications. The active constituents of some plant-derived preparations are bitter-tasting organic molecules known as alkaloids. For example, opium contains high but variable concentrations of analgesic alkaloids including morphine, codeine and other compounds. Foxglove extracts (which contain cardiac glycosides) were used successfully for the treatment of 'dropsy' (fluid retention); however, there was also considerable toxicity because the plant preparations contained highly variable amounts of the active glycoside, as well as other compounds that may produce harmful effects. Similarly, extracts of white willow bark were sometimes used to ease joint pain and reduce fever, although their active ingredient, salicylic acid, also carries substantial toxicity and the extracts contained a range of unrelated compounds with biological activity, such as flavonoids and plant oestrogens.

During the 19th and 20th centuries there were major advances in inorganic and organic chemistry, allowing the synthesis of wide arrays of small organic molecules to be screened systematically for pharmacological activity, and improved laboratory techniques allowed the generation of libraries of compounds purified from Toxicity Testing 66 Clinical Trials: Phases I–III 67 Self-Assessment 70 Answers 70 Further Reading 70

bacterial, fungal and botanical materials, which were prolific sources of novel molecules with biological activity. A major advance in the safety of plant-derived medicines was the isolation, purification and chemical characterisation of the active components of such medicines (if any), as exemplified by the isolation of morphine from opium by Friedrich Sertuerner around 1805. The use of purified compounds in pharmaceutical research and testing has three main advantages:

- The administration of controlled amounts of the purified active compound removed biological variability in the potency of the crude plant preparation.
- The administration of the active component removed the unwanted and potentially toxic effects of contaminating substances in the crude preparations.
- The identification and isolation of the active component allowed the mechanism of action to be defined, leading to the synthesis and development of chemically related compounds based on the structure of the active component but with greater potency, higher selectivity, fewer unwanted effects, altered duration of action and better bioavailability. For example, chemical modification of salicylic acid by acetylation produced acetylsalicylic acid, first marketed as aspirin in 1899, with greater analgesic and antipyretic activity and lower toxicity than the parent compound. Identifying structure-activity relationships (SAR) remains at the heart of drug development.

Advances in drug development for the treatment of disease are illustrated most dramatically with antimicrobial chemotherapy, which revolutionised the chances of people surviving severe infections such as lobar pneumonia, the mortality from which was 27% in the pre-antimicrobial era, but fell to 8% (and subsequently lower) following the introduction of sulfonamides and then penicillins in the 1930s and 1940s.
Latterly, advances in molecular biology have enabled the development of a growing number of 'biological' drugs (biopharmaceuticals) based on the structures of antibodies, receptors and other human proteins. Meanwhile, the Human Genome Project, and the growth of technologies that allow the systematic study of the entire range of cellular RNAs, proteins, lipids and other small molecules (known respectively as transcriptomics, proteomics, lipidomics and metabolomics), have expanded knowledge of the range of gene products and processes that might present targets for novel drugs. Increasingly, pharmacogenomic research is describing the genetic factors that predict variations in drug efficacy and safety in individual patients, holding out the promise of personalised medicine.

Thus, although drug therapy has natural and humble origins, it is the application of scientific principles, particularly the use of controlled experiments and clinical trials of known quantities of pure compounds to generate reliable knowledge of drug actions, that has given rise to the clinical safety and efficacy of modern medicines. In an age of scientific reason, it is surprising that many people believe that natural medicinal products, sometimes diluted beyond the point of disappearance of the last molecule of the product, offer equivalent therapeutic effectiveness and inherently can carry no risk of unwanted effects.

A major advantage of modern drugs is their ability to act selectively – that is, to affect only certain body systems or processes. For example, a drug that both lowers blood glucose and reduces blood pressure may not be suitable for the treatment of someone with only diabetes mellitus (because of unwanted hypotensive effects) or a person with only hypertension (because of unwanted hypoglycaemic effects), or even of those with both conditions (because different doses may be needed for each effect).

### **DRUG DISCOVERY**

The discovery of a new drug can be achieved in several different ways (Fig. 3.1). For many decades, this has involved subjecting new chemical entities (novel, small-molecule compounds not previously synthesised) to a battery of screening assays designed to detect different types of biological activity. These



Fig. 3.1 Approaches to drug discovery.

include in vitro studies on isolated tissues, as well as in vivo studies of complex and integrated systems, such as animal health and behaviour. Novel compounds for screening may be produced by direct chemical synthesis or isolated from biological sources, then purified and characterised in relevant assays. The process of screening was revolutionised by developments in high-throughput screening (HTS), which takes advantage of laboratory robotics for liquid handling of tiny (microlitre) reaction volumes in microplates containing hundreds or thousands of reaction wells. These are used for biochemical assays or with cell lines expressing cloned target proteins. Active compounds, which may be derived from small-molecule libraries of bacterial or fungal origin, or proteins derived from solidphase peptide synthesis, can then be selected based on interactions with molecules and cells that express a range of possible sites of action, such as G-proteincoupled or nuclear receptors or enzymes important in drug metabolism. HTS methods allow the screening in some cases of hundreds of thousands of microplate wells each week: a positive result ('hit') in more than one HTS assay may define a lead candidate, which is subjected to more labour-intensive and detailed investigation, including preclinical tests in laboratory animals (usually rodents).

A second, but not mutually exclusive, approach involves the synthesis and testing of chemical analogues and modifications of existing pharmaceuticals. Generally, the products of this approach show incremental advances in potency, selectivity and bioavailability. However, additional or even new properties may become evident when the compound is tested in animals or trialled in humans; for example, minor modifications of the sulfanilamide antimicrobial molecule gave rise to the thiazide diuretics and the sulfonylurea hypoglycaemics.

Drug development programmes usually attempt to identify substances to target a particular biological or pathological mechanism. This may entail the purification, adaptation or synthesis of a relevant endogenous substance, or a structural analogue, precursor or antagonist of it. Examples of targeted drug development include levodopa, used in the treatment of Parkinson's disease; the histamine H<sub>2</sub> receptor antagonists; and omeprazole, the first proton pump inhibitor. Logical drug development of this type depends on a detailed understanding of human physiology both in health and disease. Identification of putative drug targets may begin with a bioinformatics approach to mine existing data in scientific publications and patents. Genetic polymorphism associated with human disease may provide clues as to pathological mechanisms. The validation of putative drug targets requires techniques ranging from in vitro biochemical, cell or tissue culture models up to whole animal models. Antisense oligonucleotides can be used to observe the effects of blocking the synthesis of individual proteins in cells and tissues,

and transgenic technology that inserts or deletes specific genes can be used similarly at the whole animal level. Appropriate assays to identify molecules with the required action at the designated target may arise naturally from these models or require the development of specialist assays to which HTS can be applied.

A limiting factor in rational drug design is often the lack of detailed knowledge of the three-dimensional structure of the putative binding site on a specific enzyme, receptor or other protein target, which usually requires complex analyses by X-ray crystallography or nuclear magnetic resonance (NMR) spectroscopy. The modelling of ligand–receptor interactions may also be attempted by homology with the known structures of related proteins. In silico (computer-aided) approaches to the virtual modelling of drug binding to receptor sites (molecular docking studies) have facilitated the development of ligands with high binding affinities and, often, high selectivity.

Advances in molecular biology have led to the increasing use of genomic techniques to identify genes associated with pathological conditions. An example of drug development arising from this approach is the drug imatinib (see Chapter 52) developed to inhibit Bcr-Abl tyrosine kinase, which was implicated in chronic myeloid leukaemia cells by molecular biological methods. Imatinib is a nonprotein organic molecule with a high oral bioavailability. A growing number of new drugs described in many chapters in this book are themselves proteins that directly mimic or otherwise interfere with the activity of the target gene product. They include recombinant antibodies or antibody fragments which block either cell-surface receptors or humoral proteins, and soluble receptors which can neutralise cytokines. Being proteins, such biopharmaceuticals present particular problems of drug delivery to the relevant tissue and to the site of action (which

may be intracellular; see Chapter 2), and also issues related to safety testing (discussed later).

Drug development is a long and expensive process, with estimates of 14 to 16 years in development and a median cost of US \$985 million (approx. GB £755 million) to bring a new drug to market. Much of this cost lies in gaining the preclinical and clinical evidence required for approval of a new drug by regulatory bodies.

#### DRUG APPROVAL

Each year, many thousands of new chemical entities and compounds purified from plant and microbial sources are screened for useful and novel pharmacological activities. Potentially valuable compounds are then subjected to a sequence of in vitro studies, in vivo animal testing and clinical trials in humans, which provide essential information on safety and therapeutic benefits (Fig. 3.2).

All drugs and formulations licensed for sale in the UK have to pass a rigorous evaluation of:

- safety,
- quality, and
- efficacy.

In the European Union (EU), new drugs are approved under a harmonised procedure of drug regulation. The European Medicines Agency (EMA; www .ema.europa.eu/ema) is a decentralised body of the EU responsible for the regulation of medicines within the EU, broadly comparable to the Food and Drug Administration (FDA; www.fda.gov) in the United States. The EMA receives advice from the Committee for Medicinal Products for Human Use (CHMP), which is a body of international experts who evaluate data on the medicine's safety. Other EMA committees are involved in evaluating paediatric medicines, herbal medicines and



Fig. 3.2 The development of a new drug to the point at which a licence is approved. Postmarketing surveillance will continue to add data on safety and efficacy.

advanced therapies such as gene therapy. Under the current EU system, new drugs are evaluated by the CHMP, and national advisory bodies have an opportunity to assess the data before a final CHMP conclusion is reached.

The UK Commission on Human Medicines (CHM) was established in 2005 to replace both the Medicines Commission (MC) and the Committee on Safety of Medicines (CSM), which previously had evaluated medicines regulated in the UK under the Medicines Act (1968). The CHM is one of a number of committees established under the Medicines and Healthcare products Regulatory Agency (MHRA; www.mhra.gov.uk). The CHM provides advice on human medicines to the UK Secretary of State for Health and other government ministers.

The EMA headquarters in London moved to Amsterdam in 2020 during the Brexit transition period and the MHRA has published online guidance on how it will operate as the independent regulator for medicines and medical devices in the UK after the transition ended on 31st December 2020 (www.gov.uk/government/ collections/mhra-post-transition-period-information).

#### SAFETY

Historically, the introduction of some new drugs has been bought at a price of significant toxicity, and regulatory systems have arisen as much to protect patients from drug toxicity as to ensure benefit. In the United States, the FDA was established in 1937, following a dramatic incident in which 76 people died of renal failure after taking an elixir of sulfanilamide containing the solvent diethylene glycol. Similarly, some 25 years later, the occurrence of limb malformations (phocomelia) and cardiac defects in infants born to mothers who had taken thalidomide for the treatment of nausea in the first trimester of pregnancy led to the establishment of the precursor of the CHM in the UK.

Today, major tragedies are avoided by a combination of in vitro studies and animal toxicity tests (preclinical testing) and by careful observation during clinical studies on new drugs (discussed later). The development and continuing refinement of preclinical toxicity testing has increased the likelihood of identifying chemicals with direct organ toxicity. During clinical trials, immunologically mediated effects are likely to be seen at the lower end of the dose ranges that are used in such trials (see Chapter 53).

#### QUALITY

An important function of regulatory bodies is to ensure the consistency of prescribed medicines and their manufacturing processes. Drugs have to comply with defined criteria for purity, and limits are set on the content of any potentially toxic impurities. The stability and, if necessary, sterility of the drug also have to be established. Similarly, licensed formulations must contain a defined and approved amount of the active drug, released at a specified rate. There have been many cases in the past in which a simple change to the manufactured formulation affected tablet disintegration, the release of drug and the therapeutic response. The quality of drugs for human use is defined by the specifications in the European Pharmacopoeia (Ph.Eur.) and the British Pharmacopoeia (BP).

#### **EFFICACY**

All medicines, apart from homeopathic products, must have evidence of efficacy for their licensed indications. Efficacy (i.e. the ability to produce a predefined level of clinical response) can be established only by trials in people with the disease for whom the medicine is intended, and therefore the demonstration of efficacy is a major aim of the later phases of clinical research (see Fig. 3.2).

### ESTABLISHING SAFETY AND EFFICACY

Regulatory bodies such as the CHMP and CHM require supporting data from in vitro studies, animal studies and clinical investigations before a new drug is approved for use in a specific clinical indication. Although there is some overlap, the basic aims and goals are as follows:

- Preclinical studies. Establish the basic pharmacology, pharmacokinetics and toxicological profile of the drug and its metabolites, using animals and in vitro systems.
- Phase I clinical studies. Establish the human pharmacology and pharmacokinetics, together with a simple safety profile.
- *Phase II clinical studies.* Establish the dose–response relationship and develop the dosage protocol for clinical use, together with more extensive safety data.
- *Phase III clinical studies.* Establish the efficacy and safety profile of the drug in people with the proposed disease for which the drug will be indicated.
- *Phase IV (pharmacovigilance or postmarketing surveillance).* Monitoring of adverse events following approval and marketing of the drug, largely performed by healthcare professionals.

# PRECLINICAL STUDIES

Preclinical studies must be carried out before a compound can be administered to humans. These studies investigate three areas:

- *Pharmacological effects*. In vitro effects using isolated cells, tissues or organs; receptor-binding characteristics; in vivo effects in animals and/or animal models of human diseases; prediction of potential therapeutic use;
- *Pharmacokinetics*. Identification of metabolites (as these may be the active form of the compound);

evidence of bioavailability (to assist with the design of both clinical trials and in vivo animal toxicity studies); and establishment of a principal route and rate of elimination;

• *Toxicological effects.* A battery of in vitro and in vivo studies undertaken with the aim of identifying toxicity as early as possible, and before there is extensive in vivo exposure of animals or subsequently humans.

#### **TOXICITY TESTING**

Toxicity testing has two primary goals: identification of hazards and prediction of the likely risk of that hazard occurring in humans receiving therapeutic doses of the new medicine. A wide range of doses is studied: high doses are required to increase the ability to detect hazards, and lower doses are needed to analyse dose– response relationships to predict the risk at doses producing the anticipated therapeutic effect. Toxicity tests include the following:

- Mutagenicity. A variety of in vitro tests using bacterial cells (such as the Ames test) and cell lines from rodents are employed at an early stage to define any damage to DNA or chromosomal structures that may be linked to carcinogenicity or teratogenicity; in vivo studies may be undertaken to investigate the mechanism of genotoxicity.
- Acute toxicity. A single dose is given to animals by the route proposed for human use; this may reveal a likely site for toxicity and is essential in defining the initial dose for human studies. Acute toxicity data, including information on the doses causing lethality, are essential for safe manufacture; the LD<sub>50</sub> (a precise estimate of the dose required to kill 50% of an animal population) has been replaced by simpler and more humane methods that define the dose range associated with acute toxicity.
- *Subacute toxicity.* Repeated doses are given to animals for 14 or 28 days; this will usually reveal the target for toxic effects, and comparison with single-dose data may indicate the potential for accumulation.
- *Chronic toxicity.* Repeated doses are given to animals for up to 6 months; this reveals the target for toxicity (except cancer). The aim is to define dose regimens associated with adverse effects and a 'no observed adverse effect level' (NOAEL; the 'safe' dose).
- *Carcinogenicity.* Repeated doses are given throughout the lifetime of the animal (usually 2 years in a rodent).
- *Reproductive toxicity.* Repeated doses are given to animals from before mating and throughout gestation to assess any effect on fertility, implantation, fetal growth, the production of fetal abnormalities (teratogenicity) or neonatal growth.

The extent of animal toxicity testing required prior to the first administration to humans is related to the proposed duration of human exposure and the population to be treated. All drugs are subjected to an initial in vitro screen for mutagenic potential. If satisfactory, this is followed by acute and subacute studies for up to 14 days of administration to two animal species. Doses studied are usually a low dose sufficient to cause the pharmacological/therapeutic effect, a high dose sufficient to cause target organ toxicity and an intermediate dose, together with a control group of untreated animals. Teratogenicity and reproductive toxicity studies are required if the drug is to be given to women of childbearing age – since the thalidomide tragedy, rabbits have been used for teratogenicity studies because, unlike rodents, they show fetal abnormalities when treated with thalidomide. Carcinogenicity testing is necessary for drugs that may be used for long periods (e.g. longer than 1 year).

An international review of the extent of in vivo animal testing necessary prior to phase I and phase II clinical trials concluded that the duration of animal toxicity tests should be the same as proposed human exposure (Table 3.1). In Japan and the United States, the same advice applies for phase III studies, but the EU recommends more extensive animal toxicity studies to support phase III trials. Dogs are the 'non-rodent species' usually studied.

The use of animals for the establishment of chemical safety is an emotive issue, and there is extensive current research to replace animal studies with in vitro tests based on known mechanisms of toxicity. The UK government published a delivery plan in February 2014 for the replacement, reduction and refinement of the use of animals in research (the '3Rs'). However, toxicology as a predictive science is still in its infancy and at present it is impossible to replicate the complexity of mammalian physiology and biochemistry by in vitro systems. In vivo studies remain essential to investigate interference with either integrative functions or complex homeostatic mechanisms. Carefully controlled safety studies in animals are an essential part of the current procedures adopted to prevent extensive human toxicity, which would inevitably result from the use of untested compounds. Although toxicology

Table 3.1

European Medicines Agency Guidelines for the Length of Animal Toxicity Studies Necessary to Support Phase I and Phase II Studies in Humans.

DURATION OF PROPOSED Phase I or II clinical	MINIMUM RECOMMENDED DURATION OF REPEAT-DOSE ANIMAL TOXICITY STUDIES	
TRIALS	RODENTS	NON-RODENTS
Single dose	2–4 weeks	2 weeks
Up to 2 weeks	2–4 weeks	2 weeks
Up to 1 month	1 month	1 month
Up to 3 months	3 months	3 months
More than 3 months	6 months	9 months

Support of phase III clinical studies may require longer animal toxicity studies than shown here. has failed in the past to prevent some tragedies (as discussed previously), these have led to improvements in methods and current tests provide an effective predictive screen. Nevertheless, there have been examples of approved drugs being withdrawn because of reactions that were not detected in preclinical studies (e.g. the high rate of rhabdomyolysis produced by cerivastatin, which was withdrawn worldwide in 2001). This may be increasingly important in the future because drugs developed using molecular biological methods to act specifically at human proteins may show limited or no activity at the analogous rodent receptors; however, animal studies will still provide a useful screen for nonspecific, non-receptor-mediated effects.

It is important to recognise that not all hazards detected at high doses in experimental animals are of relevance to human health. An important function of expert advisory bodies such as the CHMP is to assess the relevance to human health of effects detected in experimental animals at doses that may be two orders of magnitude (or more) above human exposures. Many drug 'scare stories' in the media are based on a hazard detected at experimental doses in animals much higher than the relevant doses for humans.

#### **CLINICAL TRIALS: PHASES I-III**

The purposes of premarketing clinical studies are:

- to establish that the drug has a useful action in humans,
- to define any toxicity at therapeutic doses in humans, and
- to establish the nature of common (type A) unwanted effects (see Chapter 53).

Subjects in clinical studies give informed consent to participate, and the trials are approved by ethics committees and regulatory agencies. Traditionally, premarketing clinical studies have been subdivided into three phases. Although the distinction between these is blurred, the following classification system provides a useful framework.

### **Phase I Studies**

Phase I is the term used to describe the first trials of a new drug in humans, with typically between 20 and 100 volunteers. A principal aim of these studies is to define basic properties, such as route of administration, pharmacokinetics and tolerability. The studies are usually carried out by the pharmaceutical company, often using a specialised contract research organisation. Subjects taking part in phase I studies are usually healthy volunteers recruited by open advertisement, especially when the compound is of low predicted toxicity and has wide potential use (e.g. an antihistamine). In some cases, people who have the condition in which the drug will be used may be studied, such as cytotoxic agents used for cancer chemotherapy.

The first few administrations are usually in a very small number of subjects (n < 10) who receive an

oral dose that may be as low as one-fiftieth or onehundredth of the minimum required to produce a pharmacological effect in animals (after scaling for differences in body weight). Such a 'microdose' study may be termed a phase 0 trial. The dose may then be built up incrementally in larger subject groups until a pharmacological effect is observed or an unwanted action occurs. During these studies, toxic effects are sought by routine haematology and biochemical investigations of liver and kidney function; other tests, including an electrocardiogram, will be performed as appropriate.

It is also usual to study the disposition, metabolism and main pathways of elimination of the proposed new drug in humans at this stage. Such studies help identify the most suitable dose and route of administration for future clinical studies. Investigations of drug metabolism and pharmacokinetics often necessitate the use of radioactively labelled compounds containing carbon-14 or tritium (<sup>3</sup>H) as part of the drug molecule.

Peptide drugs have to be given intravenously in clinical trials to mimic the route of proposed clinical use. Very low doses are studied in the first instance, especially with peptides that are designed to interact specifically with human homeostatic or signalling systems, because studies in animals may not reveal the full biological activities. Despite these safeguards, TGN 1412, a monoclonal antibody directed against CD28, a co-stimulatory molecule for T-cell receptors, caused multiple organ dysfunction in its first phase I trial in six human volunteers in March 2006. The severe toxicity occurred at a dose 500 times lower than the dose found to be safe in animals, including nonhuman primates.

#### **Phase II Studies**

During phase II studies, the detailed clinical pharmacology of the new compound is determined, typically in groups of 100 to 300 individuals with the intended clinical condition. A principal aim of these studies is to define the relationship between dose and pharmacological/therapeutic response in humans. Evidence of a beneficial effect may emerge during phase II studies, although a placebo control is not always used. Additional studies may be undertaken at this stage in special groups such as elderly people, if it is intended that the drug will be used in that population. Other studies may investigate the mechanism of action, or test for interactions with other drugs. Phase II studies normally define the optimum dosage regimen, and this is then used in large clinical trials to demonstrate the efficacy and safety of the drug.

#### Phase III Studies

Phase III studies are the main clinical trials, usually performed in 300 to 3000 people with the condition that the drug is intended to treat, in multiple centres, in comparison with a placebo that looks (and tastes) the same as the active compound. The allocation to active compound or placebo requires randomisation, which avoids selection bias in the assignment of treatments and facilitates the blinding (masking) of the identity of treatments from the investigators. The advantages and disadvantages of the new compound may also be compared with the best available treatment or the leading drug in the class. It may be ethically impossible to justify the use of a placebo if an effective form of treatment has been established for the condition being studied, as substituting the novel drug for the established treatment in the trial could result in significant risk to those participating in both the placebo and treatment groups. The drug under evaluation may therefore be used in addition to the established treatment and compared with the established treatment plus a placebo.

Clinical trials are of two main types: within-subject and between-subject comparisons (Fig. 3.3). In withinsubject trials, an individual is randomly allocated to commence treatment either with the new compound or with a placebo (or comparator drug) before crossing over to the alternative therapy, usually with a washout period in between. In contrast, between-subject comparisons involve randomisation of subjects to receive only one of two (or more) treatments for the duration of the study. Within-subject comparisons (also called crossover trials) can usually be performed on a smaller number of subjects because the individuals act as their own controls and most non-treatment-related variables are eliminated. However, such studies often require a longer involvement of each individual. Also, there may be carry-over effects from one treatment that affect the apparent efficacy of the second treatment, although statistical analysis should be able to deal with this problem. Studies of this type may be difficult to interpret when there is a pronounced seasonal variation in the severity of a condition, such as Raynaud's phenomenon or hay fever. Crossover studies (see Fig. 3.3) cannot be used if the treatment is curative (e.g. an antimicrobial for treating acute infections).

Between-subject comparisons (also called parallelgroup studies) require roughly twice as many participants as within-subject trials but have the advantage that each subject will usually be studied for a shorter period and carry-over effects are avoided. Although it is not possible to provide a perfect match between subjects entering the two (or more) different treatment groups, this approach to the evaluation of new drugs is preferred by many drug regulatory authorities.





Fig. 3.3 The design of clinical trials. Subjects are randomly allocated to group A or group B.

During Chilical Indis.			
NEW DRUG	MEASUREMENT TECHNIQUES		
ТҮРЕ	OBJECTIVE	<b>SUBJECTIVE</b> <sup>a</sup>	
Antianginal	Exercise tolerance	Fatigue	
	Blood pressure	Frequency of	
	Heart rate	anginal attacks	
	Glyceryl trinitrate use	Pain intensity	
Antiarthritic	Grip strength	Duration of	
		morning stiffness	
	Joint size	_	
	Paracetamol use	Pain intensity	

During Clinical Trials

Examples of Response Measurements

<sup>a</sup>Subjective effects are often scored on a numerical scale, e.g. 0 = no pain at all, 10 = the worst imaginable pain.

Whichever form of comparison is made, measurements of benefits (and adverse effects) are made at regular intervals using a combination of objective and subjective techniques (Table 3.2). Throughout these studies, careful attention is paid to detecting and reporting both unwanted effects (type A reactions) and unpredictable (type B) reactions (see Chapter 53). Rare type B reactions are not usually seen prior to the marketing of a new drug because they may occur only once in every 1000 to 10,000 or more individuals treated with the drug. It is salutary to note that by the time a new medicine is marketed, only 2000 to 3000 people may have taken the drug, often for relatively short periods such as 6 months, amounting to only a few hundred patient-years of drug exposure.

# Postmarketing Surveillance: Phase IV (Pharmacovigilance)

The full spectrum of benefits and risks of medicines may not become clear until after they are marketed. Reasons for this include the low frequency of certain adverse drug reactions, and the tendency to avoid the inclusion of children, older people and women of childbearing age in premarketing clinical trials. Another factor is the widespread use of other medicines in normal clinical practice, which could produce an unexpected interaction with the new drug. Phase IV studies involve postmarketing surveillance of efficacy and adverse reactions, sometimes in clinical indications additional to those licensed. Pharmacovigilance is the identification of risk/benefit issues for authorised medicines arising from their use in clinical practice and includes the effective dissemination of information to optimise the safe and effective use of medicines.

Pharmacovigilance reports across the EU are coordinated by the EudraVigilance network, part of the EMA (www.ema.europa.eu/en). Within the UK, a number of systems of postmarketing surveillance are in use. The most important is the Yellow Card system; it depends on healthcare professionals and members of the public reporting suspected serious adverse reactions to the MHRA, originally using a tear-out card printed in the British National Formulary (BNF), but now usually online (see the Yellow Card website, https://yellowcard.mhra.gov.uk/). In addition to reporting suspected serious adverse effects of established drugs, doctors and others are asked to supply information about unwanted effects of medicines that have been marketed recently ('black triangle' products typically within 5 years of marketing) and those noted in vulnerable groups of those treated. Each year, the MHRA receives some 20,000 yellow card reports, of which about 10% come from members of the public. In return, doctors are supplied regularly with information about current drug-related problems.

A second form of pharmacovigilance involves systematic postmarketing surveillance of recently marketed medicines. This may be organised by the pharmaceutical company responsible for the manufacture of the new drug. Companies also receive information via their sales representatives. The MHRA administers the Clinical Practice Research Datalink (CPRD; www .cprd.com), formed in 2012 from the General Practice Research Database, which collects anonymised, longitudinal patient records from participating UK general practices. It is used in conjunction with the Yellow Card scheme to provide a warning system for approved medicines.

Prescription event monitoring (PEM) provides a further method for detailed study of possible associations provided by pharmacovigilance programmes. This involves:

- identification of a possible health problem associated with an approved medicine;
- identification by the UK Prescription Pricing Authority of individuals for whom a drug of interest has been prescribed; and
- the subsequent distribution of 'green cards' to those individuals' GPs, with a request that they complete all details about the person and events that occurred.

The cards are returned to the Drug Safety Research Unit (DSRU; www.dsru.org), which collates and analyses the data. PEM has the advantage that it does not require doctors to make a judgement concerning a possible link between the prescription of a drug and any medical event that occurs while the person is taking the drug. At first sight, a broken leg may be thought an unlikely drug-related adverse effect, but it could be the result of drug-induced hypotension, ataxia or metabolic bone disease.

Finally, detailed monitoring of adverse reactions to drug therapy takes place in some hospitals. These data contribute further to our overall knowledge.

When assessing efficacy and associated toxicity, combining the data from a number of clinical trials (metaanalysis) can provide an overview of the validity and reproducibility of clinical findings. Metaanalysis is complex, and only well-designed trials should be combined. The Cochrane Library (www.thecochrane library.com) provides a regularly updated collection of evidence-based metaanalyses.

The National Institute for Clinical Excellence was established in 1999 and became the National Institute for Health and Care Excellence (NICE; www.nice .org.uk) in 2005, following its merger with the Health Development Agency. Since 2013, NICE has been a non-departmental public body under the Health and Social Care Act 2012, providing guidance for people using the National Health Service (NHS) in England, with decisions on implementation in Wales, Scotland and Northern Ireland determined by the devolved administrations. It provides advice on the clinical value and cost-effectiveness of new treatments, but also on existing treatments if there is uncertainty about their use. NICE produces guidance on:

- Health technology specific medicines, treatments and procedures;
- Clinical practice how health professionals should treat diseases and conditions;
- Public health preventing illness and health promotion;
- Social care services to help people with daily life at home, in care homes or day centres; and
- Quality standards statements designed to drive quality improvements within a particular area of care.

# SELF-ASSESSMENT

### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. In which phase of drug development is a placebo comparator most likely to be used?
  - A. Phase 0
  - B. Phase I
  - C. Phase II
  - D. Phase III
  - E. Phase IV
- 2. Which is the best description of a phase III crossover trial?
  - A. Randomisation is not required in a crossover trial.
  - B. People with the most severe disease are allocated to receive the active drug first.
  - C. The second treatment period starts as soon as the first treatment period ends.
  - D. Crossover trials are preferred for trials of curative drugs.
  - E. Participants receive two or more treatments in a random sequence.

- Which organisation is directly responsible for advising UK government ministers on human medicines?
   A. CHM
  - B. CPRD
  - C. DSRU
  - D. MHRA
  - E. NICE

# **ANSWERS**

# **OBA ANSWERS**

- 1. **Answer D** is correct. A drug is most likely to be compared with a placebo in large-scale (phase III) trials, although a placebo may also be used in phase II trials. The drug (and placebo) may be added to the existing best treatment or compared with a leading drug for the same condition.
- 2. Answer E is correct. Randomisation is designed to produce treatment groups that match in all important characteristics, including disease severity. In a within-subject (or crossover) trial, randomisation of the sequence of treatments that each subject receives reduces the probability of confounding by an effect of one treatment persisting into the second treatment period; an interval between treatments (washout period) helps ensure this. Crossover trials are generally not used to test curative drugs for acute conditions (e.g. antimicrobials), as the condition may not persist through both treatment periods.
- 3. **Answer A** is correct. The CHM (Commission on Human Medicines) advises UK government ministers on the safety, efficacy and quality of medicinal products.

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# AL GRAWANY

# Neurotransmission and the Peripheral Autonomic Nervous System

# **Chapter Outline**

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Synthesis of Acetylcholine 79 Storage of Acetylcholine 80 Release of Acetylcholine 80 Metabolism and Inactivation of Released Acetylcholine 80 Cholinergic Receptors 81 Other Transmitters in the Peripheral Nervous System 82 Amines 82 Amino Acids 84 Peptides 86 Purines 86 Self-Assessment 86 Answers 87 Further Reading 88

# THE CENTRAL AND PERIPHERAL NERVOUS SYSTEMS

There are two principal neuronal control systems in the body. Functionally they are highly integrated and should be considered holistically, but for clarity they are introduced separately:

- The *central nervous system* (CNS) comprises neuronal networks of the brain, brainstem and spinal cord (see Section 5). The CNS integrates, processes and responds to sensory messages. It receives sensory information from all parts of the body, including visceral sensory afferent nerves (e.g. from viscera, smooth muscle and cardiac muscle) and somatic sensory afferents (e.g. from skeletal muscle). It then responds by sending instructions via the autonomic efferent nerves of the sympathetic and parasympathetic systems (e.g. to glands, smooth muscle and cardiac muscle) and via somatic motor efferents to skeletal muscle.
- The *peripheral nervous systems* interconnect the CNS to the organs of the body; these include:
  - the *somatic* (voluntary) nervous system, which innervates skeletal muscle (see Chapter 27).
  - the *autonomic* (automatic or involuntary) nervous system, which comprises the sympathetic and parasympathetic nervous systems, and also includes the nervous system of the gut (enteric system). The autonomic nervous system is the focus of this chapter.

# PRINCIPLES OF NEUROTRANSMISSION

Action potentials (APs) passing along neuronal axons signal to other neurons or nonneuronal cells (e.g. smooth muscle cells). Signals are transferred by the release of chemical neurotransmitters from the presynaptic endings of the neuron, which diffuse across the synaptic cleft and stimulate the receiving (postsynaptic) cells via receptor proteins (Fig. 4.1). The binding of the transmitter to the receptors may instruct the receiving cells to increase or reduce their activity.

Neurotransmitters can be either synthesised within the presynaptic axon terminal (e.g. noradrenaline) or transported from the cell body to the synaptic region (e.g. peptides). The neurotransmitter is taken up from the cytosol by specific vesicular transporters within the nerve ending and stored within membrane vesicles. The release of the neurotransmitter can be 'fine-tuned' by axo-axonic connections and by presynaptic receptors (discussed later). A generalised scheme for neurotransmission is as follows (see Fig. 4.1):

- 1. The cell body (or soma) responds to an appropriate stimulus by generating an AP.
- The AP is conducted along the axon by the opening of voltage-gated Na<sup>+</sup> channels and the influx of Na<sup>+</sup>; when the AP reaches the presynaptic nerve terminal, it results in an influx of Ca<sup>2+</sup> through voltagedependent channels.
- 3. Ca<sup>2+</sup>-dependent processes result in fusion of neurotransmitter-containing vesicles with the presynaptic



Fig. 4.1 Principles of neurotransmission at a synapse. Basic pathways of synthesis, storage, release, action and inactivation of a typical neurotransmitter are shown, as described in the text. At many synapses, co-transmission of different neurotransmitters occurs.

membrane and the release of stored neurotransmitter into the synaptic cleft.

- 4. The released neurotransmitter binds to the appropriate receptors in the postsynaptic membranes and generates biochemical changes in the recipient cells; these may be functional changes (e.g. smooth muscle contraction) or excitation or inhibition of another neuron (e.g. transmission of the AP to postsynaptic nerve fibres).
- 5. The released neurotransmitter may also stimulate autoreceptors in the presynaptic membranes and thereby modulate the further release of the neurotransmitter.
- 6. The transmitter is degraded by enzymes or taken back into the presynaptic neuron for reuse.

Neurons may release a single transmitter, but often more than one transmitter is released. There are many examples of *co-transmission*, which are described later in this book.

# PRESYNAPTIC RECEPTORS AND MODULATION OF TRANSMITTER RELEASE

An important characteristic of neurons is the presence of presynaptic receptors (see Fig. 4.1 and Table 4.1). Presynaptic receptors may increase or, more typically, decrease the release of the neurotransmitter, and are described as facilitatory and inhibitory respectively. Inhibition of transmitter release is usually achieved by limiting Ca<sup>2+</sup> entry through voltage-gated ion channels into the neuron. There are two functional categories of presynaptic receptors:

- autoreceptors respond to neurotransmitter released from the neurons upon which the receptor sits, and
- heteroceptors respond to neurotransmitters released from other neurons, usually by axo-axonal synapses (see Fig. 4.1).

The first recognition of a clinically important presynaptic receptor came with the discovery that the

Table 4.1 The control of nationities helease by resynaptic neceptor mechanisms.			
NEUROTRANSMITTER	PRESYNAPTIC RECEPTORS INHIBITING RELEASE	PRESYNAPTIC RECEPTORS Facilitating release	
ACh	M <sub>2</sub> , α <sub>2</sub> , D <sub>2</sub> /D <sub>3</sub> , 5-HT <sub>3</sub>	N <sub>1</sub> , NMDA	
Dopamine	D <sub>2</sub> /D <sub>3</sub> , M <sub>2</sub>	N <sub>1</sub> , NMDA	
GABA	GABA <sub>b</sub>	—	
Histamine	H <sub>3</sub>	—	
5-HT	5-HT <sub>1D</sub> , α <sub>2</sub>	5-HT <sub>3</sub>	
Noradrenaline	$\alpha_2$ , $H_3$ , $M_2$ , $D_2$ , opioid	$\beta_2$ , N <sub>1</sub> , angiotensin II	

5-HT, Serotonin (5-hydroxytryptamine), ACh, acetylcholine; GABA, γ-aminobutyric acid; NMDA, N-methyl-d-aspartate.

Table 4.1 The Control of Transmitter Palagas by Progynantic Pagenter Machaniama

# Box 4.1 Targets for Drug Action Within the Autonomic Nervous System.

- Muscarinic receptors at postganglionic nerve endings in the parasympathetic nervous system (M<sub>1</sub>, M<sub>2</sub> and M<sub>3</sub> receptor subtypes).
- Adrenoceptors in the sympathetic nervous system ( $\alpha_1$ -,  $\alpha_2$ -,  $\beta_1$ -,  $\beta_2$  and  $\beta_3$ -adrenoceptor subtypes).
- Presynaptic receptors in the parasympathetic and sympathetic nervous systems.
- Modification of the synthesis, storage, release and inactivation of acetylcholine and noradrenaline.

antihypertensive agent clonidine lowers blood pressure via stimulation of presynaptic  $\alpha_2$ -adrenoceptors, with subsequent inhibition of the release of the vasoconstrictor noradrenaline. Presynaptic receptors (see Table 4.1) are increasingly recognised as playing important roles in the clinical effects produced by many drugs.

# THE PERIPHERAL AUTONOMIC NERVOUS SYSTEM

The peripheral autonomic nervous system is an important site for the action of many drugs because:

- it either controls or contributes to the control of the functioning of nearly all of the major organ systems of the body;
- its dysfunction is present in many diseases, and can also occur as an unwanted effect of drug treatment;
- it utilises two major different neurotransmitters and a number of receptor subtypes; these provide a variety of sites for drug action (Box 4.1), which allows modification of particular body functions with some degree of selectivity.

The peripheral autonomic nervous system is subdivided into two main branches (Fig. 4.2, Box 4.2):

- the *parasympathetic nervous system*, which utilises acetylcholine (ACh) as the final transmitter at muscarinic receptors on the cells being stimulated (called the innervated or effector cells or organs);
- the *sympathetic nervous system*, which utilises noradrenaline as the transmitter at adrenoceptors on most, but not all, effector organs. The release of adrenaline and noradrenaline from the adrenal medulla

# Box 4.2 Organisation of the Autonomic Nervous System (see also Figs. 4.2 and 4.7).

- ACh and NA are the principal neurotransmitters in the autonomic nervous system, but other substances also have neurotransmitter roles.
- The neurotransmitters are released into synapses in response to depolarisation and Ca<sup>2+</sup> influx caused by an action potential.
- Parasympathetic and sympathetic efferent nerves from the spinal cord synapse at intermediate ganglia, where the transmitter is ACh acting on nicotinic  $\rm N_1$  ( $\rm N_G$ ) receptors to elicit action potentials in the postganglionic fibres.
- Stimulation of the sympathetic nervous system has a widespread effect in the body because of paravertebral chain connections between efferent pathways, whereas the parasympathetic nervous system is more organ-specific.
- Parasympathetic postganglionic fibres release ACh that acts at muscarinic receptors on the end-organs.
- Sympathetic postganglionic fibres release NA that acts on α- and β-adrenoceptors on the end-organs, except for sympathetic cholinergic innervation of sweat glands and hair follicles.
- Adrenaline and NA are synthesised and released in the adrenal medulla in response to sympathetic stimulation and enhance the effects of local NA release.

ACh, Acetylcholine; NA, noradrenaline.

into the blood during sympathetic stimulation is also an important and integral part of the sympathetic response.

Anatomically, in both branches of the autonomic nervous system, the efferent neurons innervating effector organs are linked to neurons in the CNS via ganglia, but the distribution and neuronal interconnections differ between the two branches (see Fig. 4.2):

• The parasympathetic efferents give more discrete innervation of organs; the ganglia are close to the innervated organs and they therefore have long preganglionic fibres and short postganglionic fibres; there are few or no interconnections between ganglia, so that innervated organs can be controlled independently. The parasympathetic nervous system is often described as promoting 'rest and digest' processes.



Fig. 4.2 Organisation of the parasympathetic and sympathetic autonomic nervous systems. Activation of the sympathetic nervous system leads to widespread neuronal release of noradrenaline, supplemented by release into the circulation of adrenaline (and some noradrenaline) from the adrenal medulla; these act at  $\alpha$ - and  $\beta$ -adrenoceptors (see Table 4.2). Stimulation of the parasympathetic nervous system produces more localised effects at particular organs, mediated by acetylcholine acting at muscarinic receptors (see Table 4.3). Some tissues, such as airways, have sparse sympathetic innervations and sympathetic effects are mainly a result of circulating adrenaline. Sympathetic innervation of sweat glands is mediated, unusually, by acetylcholine. The ganglia innervating some organs are not part of the paravertebral chain but grouped together to form the coeliac, superior mesenteric or inferior mesenteric ganglia; the transmitter at all ganglia is acetylcholine acting at nicotinic N<sub>1</sub> (ganglion-type, N<sub>G</sub>) receptors. *A*, Adrenaline; *ACh*, acetylcholine; *GI*, gastrointestinal; *NA*, noradrenaline.

The sympathetic efferents, in contrast, are clas-• sically described as controlling the coordinated 'fight-or-flight' response and they affect many body systems simultaneously. Many of the ganglia are in the paravertebral sympathetic ganglion chain that lies close along each side of the spinal column, so these nerves have short preganglionic fibres and long postganglionic fibres. All neurons in the sympathetic nervous system can be activated simultaneously because of numerous neuronal interconnections within the paravertebral chain; also, axons passing through the chain without synapsing can interconnect with ganglia such as the inferior mesenteric ganglion and then diversify to innervate several organs (see Fig. 4.2).

Many organs are innervated by both the sympathetic and parasympathetic nervous systems, which act in concert and may have opposite effects on the organ function. Physiological functions therefore often require inverse coordination of sympathetic and parasympathetic activities; for example, urination is brought about by a decreased sympathetic activity on the sphincter muscle and increased parasympathetic activity on the bladder wall (detrusor) muscle (see urinary bladder, Tables 4.2 and 4.3, and Chapter 15). Other organs, however, may be innervated by only one autonomic branch, such as the lens of the eye, which has only parasympathetic innervation.

The concept of opposing actions, although imperfect, can be useful in remembering the effects that each branch

TISSUE	EFFECT	MAIN RECEPTOR TYPE	
Heart rate	Increase	$\beta_1$ ( $\beta_2$ in heart disease)	
Contractility	Increase	$\beta_1$ ( $\beta_2$ in heart disease)	
Atrioventricular conduction	Increase	β <sub>1</sub>	
Blood vessels in skin/gut	Constriction	α,	
Blood vessels in skeletal muscle	Dilation <sup>a</sup>	β <sub>2</sub>	
Bronchial smooth muscle	Dilation <sup>a</sup>	β <sub>2</sub>	
Gastrointestinal motility	Relaxation	α <sub>1</sub> , β <sub>2</sub>	
Gastrointestinal sphincter tone	Contraction	α,	
Uterine smooth muscle	Contraction	α,	
	Relaxation	$\beta_2$	
Bladder detrusor	Relaxation	β <sub>2</sub> , β <sub>3</sub>	
Bladder sphincter	Constriction	α,	
Penis	Ejaculation	α,	
Pilomotor muscles	Constriction	$\alpha_1$	
Sweat glands	Secretion	Muscarinic	
Pupil (radial muscle)	Contraction dilates pupil	$\alpha_1$	
Hepatic glycogenolysis	Increase	β2, α	
Skeletal muscle glycogenolysis	Increase <sup>a</sup>	β <sub>2</sub>	
Fat cell lipolysis	Increase <sup>a</sup>	β <sub>1</sub> , α, β <sub>3</sub>	
Pancreas insulin secretion	Decrease	α <sub>2</sub>	
Platelets	Aggregation	α <sub>2</sub>	
Presynaptic nerve terminal (noradrenergic)	Inhibition of NA release	α <sub>2</sub>	
	Increased NA release	$\beta_2$	
Presynaptic nerve terminal (muscarinic)	Inhibition of ACh release	α2	
Kidney (juxtaglomerular) renin release	Increase	β1	

 Table 4.2
 Effects of Sympathetic Nervous System Activity Via Adrenoceptor Subtypes in Major Tissues.

<sup>a</sup>Respond to circulating adrenaline; little noradrenergic innervation. *ACh*, Acetylcholine; *NA*, noradrenaline.

# Table 4.3 Effect of Stimulation of Parasympathetic Nerves (Via Muscarinic M<sub>1</sub>–M<sub>3</sub> Receptor Subtypes) in Major Tissues.<sup>a</sup>

TISSUE	EFFECT	MAIN RECEPTOR TYPE
Heart rate	Decrease	M <sub>2</sub>
Contractility of atria	Decrease	M <sub>2</sub>
Atrioventricular conduction velocity	Decrease	M <sub>2</sub>
Vascular smooth muscle	Constriction	M <sub>3</sub>
Vascular endothelium	Nitric oxide release (vasodilator)	M <sub>3</sub> , M <sub>1</sub>
Bronchial smooth muscle	Constriction	M <sub>3</sub> , M <sub>2</sub>
Gut motility	Contraction, relaxation	M <sub>3</sub> , M <sub>2</sub>
Gut sphincter tone	Increased	M <sub>3</sub>
Gut secretions	Increased	M <sub>3</sub> , M <sub>1</sub>
Bladder detrusor	Contraction	M <sub>3</sub>
Bladder sphincter	Relaxation	M <sub>3</sub>
Penis	Erection	M <sub>3</sub>
Eye pupil circular muscle	Contraction (miosis)	M <sub>3</sub>
Eye: Ciliary muscle	Contraction (lens accommodates for near vision)	M <sub>3</sub>
Pancreatic insulin secretion	Increased	M <sub>1</sub> , M <sub>3</sub>
Salivary glands	Secretion	M <sub>3</sub> , M <sub>1</sub>
Emesis	Increased	M <sub>3</sub>

<sup>a</sup>Muscarinic  $M_1$  and  $M_3$  receptors are typically excitatory on smooth muscle and glandular tissues.  $M_2$  receptors are typically inhibitory, notably in the heart, and also as inhibitory autoreceptors in many tissues.  $M_4$  and  $M_5$  receptor subtypes occur predominantly in the central nervous system.

of the autonomic nervous system has on organ function and hence the effects of drugs that modulate sympathetic and parasympathetic activity. Tables 4.2 and 4.3 show the effects that stimulation of the sympathetic or parasympathetic nervous systems have on major tissues and the primary receptors that are involved. Under resting conditions, the predominant drive to many organs is from the parasympathetic nervous system, with the sympathetic nervous system producing more transient but highly coordinated responses.

# THE SYMPATHETIC NERVOUS SYSTEM AND NORADRENERGIC TRANSMISSION

Noradrenaline and adrenaline are members of the catecholamine family of amine transmitters (Fig. 4.3A). Both the catechol ring and the amino group are important for receptor binding. Noradrenaline and adrenaline stimulate adrenoceptors, and their effects at these receptors are described as noradrenergic and adrenergic, respectively.

The approved European names of noradrenaline and adrenaline when formulated as medicines are *norepinephrine* and *epinephrine*, respectively; however, when their physiological actions are being described, the terms noradrenaline and adrenaline are retained. Most preparations in Europe are dual-labelled with both terms, but in the USA the terms epinephrine and norepinephrine are used for both therapeutic and physiological descriptions.

# SYNTHESIS AND STORAGE OF CATECHOLAMINES: NORADRENALINE, ADRENALINE AND DOPAMINE

Catecholamine neurotransmitters are synthesised from phenylalanine or tyrosine, which are aromatic amino acids derived from the diet (see Fig. 4.3B). The sequence of synthesis of adrenaline (via dopamine and noradrenaline) is shown in Fig. 4.3B. The oxidation of tyrosine to levodopa by tyrosine hydroxylase, which occurs within the neuron, commits the molecule to become a neurotransmitter. This step is subject to negative feedback by the catecholamines that are subsequently produced, thereby regulating supply. The conversion of levodopa to dopamine is catalysed by a cytosolic enzyme, aromatic L-amino acid decarboxylase, also known as dihydroxyphenylalanine (DOPA) decarboxylase. The amine product, dopamine, is taken up into vesicles by a specific transporter termed the vesicular monoamine transporter 2 (VMAT2). In neurons that use dopamine as their primary transmitter, this is the end of the synthetic pathway. Dopamine is a neurotransmitter in important pathways in the CNS and also in some parts of the peripheral nervous system (see Chapters 7, 21 and 24).

The vesicles in noradrenergic neurons contain dopamine  $\beta$ -hydroxylase, largely within the vesicle membrane, and this enzyme generates noradrenaline.

Noradrenaline and its precursor dopamine are stored in the vesicles complexed with adenosine triphosphate (ATP) and proteoglycans.

The chromaffin cells of the adrenal medulla contain an additional cytosolic enzyme (phenylethanolamine N-methyltransferase), which converts noradrenaline to adrenaline by the addition of a methyl group (see Fig. 4.3B). Adrenaline is then transferred by vesicular monoamine transporter 1 (VMAT1) into chromaffin cell granules, where it is stored ready for release.

### NORADRENALINE RELEASE

Release in response to a nerve impulse occurs following Ca<sup>2+</sup> influx and Ca<sup>2+</sup>-mediated fusion of the noradrenaline-containing vesicle with the cytoplasmic membrane.

Noradrenaline in the presynaptic neuron may also be released by so-called indirectly acting sympathomimetic amines, which are low-molecular-weight basic compounds, including food constituents (such as tyramine), therapeutic drugs (such as ephedrine) and some drugs of abuse (such as amfetamine and metamfetamine). These compounds are taken into the presynaptic cytosol, where they interact with vesicular monoamine transporters to cause displacement of noradrenaline from the vesicles into the cytosol and thence into the synaptic cleft, leading to biological effects at postsynaptic adrenoceptors.

# UPTAKE AND METABOLISM OF RELEASED NORADRENALINE

The principal mechanism for the removal of noradrenaline from the synapse is uptake (approximately 70%–90%) into the presynaptic neuron via a specific high-affinity carrier called uptake 1 or the norepinephrine transporter (NET); it also takes up dopamine but not adrenaline (Fig. 4.4). Both noradrenaline and dopamine can then be transferred from the cytosol into the vesicles by VMAT2. Some of the noradrenaline remaining in the synapse is taken up into nonneuronal tissues by a low-affinity carrier called uptake 2 (or the extraneuronal monoamine transporter), which can also transport adrenaline. The rest of the remaining noradrenaline and the majority of any adrenaline released into the circulation as a cotransmitter is metabolised. Separate transporters exist for reuptake of serotonin (5-hydroxytryptamine, 5-HT) and dopamine from their respective neurons, termed the serotonin transporter (SERT) and dopamine transporter (DAT), respectively. Therapeutic agents that block NET, SERT, DAT or permutations of these transporters increase the amount of monoamine neurotransmitters in the synaptic cleft and are used in treating depression (see Chapter 22). The uptake of noradrenaline by NET is also blocked by cocaine and amfetamine.

There are two main enzymes involved in the initial steps in the catabolism of noradrenaline: monoamine oxidase (MAO) and catechol-O-methyltransferase (COMT).





Fig. 4.3 The structure of the main physiological catecholamines (A) and their synthesis from amino acid precursors (B). The catecholamine synthetic pathway is described in the text.

### **Monoamine Oxidase**

MAO is present on the surface of the mitochondria of the presynaptic neuron, where it oxidises free cytoplasmic noradrenaline, and also in many other sites, such as the gastrointestinal (GI) epithelium and liver. There are two main MAO isoenzymes, MAO-A and MAO-B, which differ in their organ distribution and substrate affinities. MAO-A has greater affinity for noradrenaline and serotonin, whilst both isoenzymes have affinity for dopamine, adrenaline and tyramine (Table 4.4). Oxidative removal of the amino group by MAO is the major pathway of catabolism of noradrenaline and



Fig. 4.4 A noradrenergic nerve terminal (varicosity) showing the synthesis and sites of action of noradrenaline and adrenaline. Dashed lines show how the actions of the catecholamines are curtailed. *COMT*, Catechol-O-methyl-transferase; *MAO*, monoamine oxidase; *NA*, noradrenaline; *NET*, norepinephrine transporter (uptake 1).

			EXAMPLES OF DRUG INHIBITORS	
ISOENZYME	LOCATION IN HUMAN TISSUES <sup>a</sup>	MAIN SUBSTRATES	IRREVERSIBLE	REVERSIBLE
MAO-A	Brain (cerebral cortex), lung, pancreas, placenta, small intestine	Serotonin, noradrenaline		Moclobemide
MAO-B	Brain (striatum), platelets, lymphocytes	Phenylethylamine	Selegiline, rasagiline	Safinamide
MAO-A and MAO-B	Aorta, brain, colon, heart, kidney, liver, skeletal muscle	Adrenaline, dopamine, tyramine	lsocarboxazid, phenel- zine, tranylcypromine	

<sup>a</sup>Both isoenzymes are present in most tissues listed, with differential expression in some tissues as shown. *MAO*, Monoamine oxidase.

other amine neurotransmitters. Loss of the amino group prevents binding to the postsynaptic receptor and therefore inactivates the transmitter. Metabolism of noradrenaline by MAO and COMT results in the formation of vanillylmandelic acid, which is the main urinary metabolite. The use of inhibitors of MAO-A and/or MAO-B isoenzymes in depression and Parkinson's disease is discussed in Chapters 22 and 24.

Monoamine Oxidase and Its Inhibitors.

### Catechol-O-Methyltransferase

Table **4.4** 

COMT occurs only at low levels in noradrenergic neurons, but is present in many other tissues, including the brain, GI tract, liver and spleen. It occurs in a soluble form and a membrane-bound form but both catalyse the transfer of a methyl group onto the catecholamine aromatic ring, which prevents binding to the postsynaptic receptor. COMT is a minor route of inactivation of both dopamine and noradrenaline. Inhibitors of COMT such as entacapone are used as an adjunct to levodopa therapy for Parkinson's disease (see Chapter 24).

# SYMPATHETIC NERVOUS SYSTEM RECEPTORS

All autonomic ganglia utilise ACh as a neurotransmitter, acting predominantly on nicotinic type 1 ( $N_1$ ) receptors (also known as 'ganglion-type' nicotinic receptors) to elicit an AP in the postganglionic axon. In the sympathetic nervous system, the receptors at most, but not all, postganglionic nerve endings are adrenoceptors.

Based on the effects of a number of agonists and antagonists, adrenoceptors are divided into two types,  $\alpha$  and  $\beta$ , and further into  $\alpha$ -subtypes ( $\alpha_1$  and  $\alpha_2$ ) and  $\beta$ -subtypes ( $\beta_1$ ,  $\beta_2$  and  $\beta_3$ ; see Table 4.2 and the drug receptor list at the end of Chapter 1). The endogenous catecholamines, noradrenaline and adrenaline, show modestly different affinities for the adrenoceptor subtypes:

- $\alpha_1$ : noradrenaline  $\geq$  adrenaline
- $\alpha_2$ : adrenaline  $\geq$  noradrenaline
- $\beta_1$ : noradrenaline  $\geq$  adrenaline
- $\beta_2$ : adrenaline > noradrenaline
- $\beta_3$ : adrenaline = noradrenaline

Selective stimulation or blockade of individual adrenoceptor subtypes forms the basis of significant areas of pharmacology and therapeutics and is discussed in later chapters. It is further understood that there are multiple forms of the  $\alpha_1$ - and  $\alpha_2$ -adrenoceptor subtypes (namely  $\alpha_{1A}$ ,  $\alpha_{1B}$  and  $\alpha_{1D}$ , and

 $\alpha_{2A}$ ,  $\alpha_{2B}$  and  $\alpha_{2C}$ ), and these are also discussed where clinically relevant.

# THE PARASYMPATHETIC NERVOUS SYSTEM AND CHOLINERGIC TRANSMISSION

# SYNTHESIS OF ACETYLCHOLINE

ACh is synthesised within the cytosol of the cholinergic neuron from choline and acetyl-CoA (Fig. 4.5). Choline is a highly polar, quaternary amino compound that is also present in phosphatidylcholine; it is obtained largely from the diet. Because of its fixed positive charge, it does not readily cross cell membranes; there are specific transporters to allow uptake into the presynaptic neuron (see Fig. 4.5) and from the GI tract



Fig. 4.5 The mechanisms involved in the synthesis, release and inactivation of acetylcholine. The actions of agonists and antagonists of muscarinic and nicotinic  $N_2$  (or  $N_M$ ) receptors and inhibitors of acetylcholinesterase are shown with the relevant chapters dealing with their pharmacology. *AcCoA*, Acetyl-CoA; *ACh*, acetylcholine; *CAT*, choline acetyltransferase.

and across the blood-brain barrier (see Chapter 2). Acetylation of choline to form ACh is catalysed by choline acetyltransferase. The rate of synthesis of ACh is closely controlled and related to ACh turnover, so that rapid release of ACh stores is associated with enhanced synthesis.

#### STORAGE OF ACETYLCHOLINE

Cytosolic ACh is taken up into membrane vesicles by a specific transmembrane transporter (the vesicular ACh transporter, VAChT) and stored in the vesicles in association with ATP and acidic proteoglycans. Each vesicle contains 1000 to 50,000 ACh molecules, and neuromuscular junctions (see Chapter 27) contain about 300,000 vesicles.

# **RELEASE OF ACETYLCHOLINE**

Release of ACh occurs by Ca<sup>2+</sup>-mediated fusion of the vesicle membrane with the cytoplasmic membrane and exocytosis (see Fig. 4.5). This process can be inhibited by botulinus toxin from *Clostridium botulinum* bacteria and stimulated by latrotoxin from the black widow spider (*Latrodectus* spp.). The number of vesicles released depends on the site of the synapse, with between 30 and 300 vesicles undergoing exocytosis, releasing from 30,000 to over 3 million ACh molecules into the synaptic cleft. Neurons within the CNS are

more sensitive to ACh release and require fewer ACh molecules to be released to stimulate a recipient axon compared with the neuromuscular junction, which requires millions of ACh molecules for skeletal muscle contractility to occur.

# METABOLISM AND INACTIVATION OF RELEASED ACETYLCHOLINE

Both presynaptic and postsynaptic membranes are rich in acetylcholinesterase (AChE); hence the released ACh is hydrolysed very rapidly (usually <1 ms) to give choline and acetate. This rapid hydrolysis, and the rapid equilibration between ACh bound to the receptor and free in the synapse, means that the 'receptor phase' of the transmission process only lasts for 1 to 2 ms (the postsynaptic changes may be more prolonged, as discussed later).

AChE is an important target for drug action and also for the toxic effects of some chemicals; the active site of the esterase has two critical features involved in the metabolism of ACh (Fig. 4.6):

- an anionic site, which forms an ionic bond with the quaternary nitrogen of the choline part of ACh;
- a hydrolytic site, which contains a serine moiety; the hydroxyl group of the serine accepts the acetyl group from ACh and very rapidly transfers it to water to complete the hydrolysis reaction.



Fig. 4.6 The mechanism of hydrolysis of acetylcholine by acetylcholinesterase. The choline moiety of acetylcholine binds to the anionic site of the acetylcholinesterase active site, allowing transfer of the acetyl group to the serine hydroxyl moiety at the enzyme's hydrolytic site, with subsequent release of choline and acetate.

# AL GRAWANY

Inhibition of AChE will prevent the breakdown of ACh and lead to prolonged receptor occupancy, the consequences of which depend on the nature of the receptor and the innervated cell/tissue. AChE inhibitors can be divided into three types:

- AChE inhibitors that bind *reversibly* to the anionic site (e.g. edrophonium; see Chapter 28).
- AChE inhibitors that *carbamylate* the serine group. These bind to the anionic site and transfer a carbamoyl group (instead of an acetyl group) to the serine hydroxyl group at the enzyme hydrolytic site. The carbamoyl group is hydrolysed more slowly from the serine than an acetyl group, resulting in prolonged and profound (but slowly reversible) inhibition of the enzyme; examples include neostigmine and pyridostigmine, which are used for reversal of neuromuscular block (see Chapter 27) and in the treatment of myasthenia gravis (see Chapter 28). Reversible AChE inhibitors such as donepezil and rivastigmine are used in the treatment of Alzheimer's disease (see Chapter 9).
- AChE inhibitors that *phosphorylate* the serine group. Inhibitors such as the organophosphates react with the serine hydroxyl group at the hydrolytic site (with or without binding to the anionic site) to produce a phosphorylated enzyme, which is resistant to hydrolysis. These inhibitors therefore cause inhibition, which is irreversible (or very slowly and partially reversible). Such changes in enzyme activity are of limited clinical use, although ecothiopate irreversibly phosphorylates AChE and is used in ophthalmology. Irreversible AChE inhibition may also be encountered clinically in people suffering accidental poisoning with organophosphate compounds, such as some agricultural pesticides (e.g. malathion), or even after exposure to some nerve gases used for chemical warfare (e.g. novichok agents). The active serine hydroxyl group of AChE may be reactivated soon after organophosphate exposure by administration of pralidoxime (2-PAM), although its efficacy as an antidote is contentious. A few hours after organophosphate exposure, the phosphorylated enzyme undergoes changes known as ageing, and pralidoxime cannot then reactivate the enzyme.

Unlike many other neurotransmitters, there is no reuptake process for ACh, but after it is hydrolysed into choline and acetate by AChE, there is a specific reuptake of choline into the presynaptic neuron. Choline is a limited resource and reuptake allows its reincorporation into ACh, whereas no reuptake occurs for acetate because it is readily available from intermediary metabolism. Presynaptic uptake of choline can be inhibited by structural analogues, such as hemicholinium, but such drugs are not useful clinically because of the widespread and nonspecific consequences of impairment of ACh uptake, synthesis and release.

#### CHOLINERGIC RECEPTORS

The cholinergic receptors can be divided into nicotinic receptor types, all of which are coupled to cation channels, and muscarinic receptor types, which are G-protein–coupled receptors (GPCRs; see the drug receptor table at the end of Chapter 1). The receptors were originally named after nitrogen-containing basic compounds (alkaloids) present in plants (*nicotine*) or fungi (*muscarine*) that act as selective agonists at these receptors. Fig. 4.5 outlines the effects of agonists and antagonists at muscarinic and nicotinic receptors, and also of AChE inhibitors, listing key chapters covering the clinical relevance of these drugs.

Various subtypes of neuronal nicotinic receptors  $(N_N)$  are found in the CNS, where they are often presynaptic and modulate release of neurotransmitters such as dopamine. In the autonomic nervous system, two nicotinic receptor types are distinguished:  $N_1$  (also known as 'ganglion-type' or  $N_G$  receptors) and  $N_2$  receptors (also known as 'muscle-type' or  $N_M$  receptors).

#### Nicotinic N<sub>1</sub> (or N<sub>G</sub>) Receptors

Nicotinic  $N_1$  receptors occur on the postsynaptic membranes of all ganglia of both the sympathetic and parasympathetic nervous systems and are activated by ACh released from preganglionic fibres.  $N_1$  receptors are also responsible for the cholinergic stimulation of adrenaline release from adrenal glands.

#### Nicotinic N<sub>2</sub> (or N<sub>M</sub>) Receptors

Nicotinic  $N_2$  receptors occur at the junction between the somatic motor nerves and skeletal muscles (the neuromuscular junction; see Chapter 27).

All nicotinic receptors are ligand-gated ion channels of five subunits (see Fig. 1.1), with disulfide cross-linking between adjacent subunits; there are different types of subunits, classified into  $\alpha$ ,  $\beta$ ,  $\gamma$ ,  $\delta$  and  $\varepsilon$  subfamilies, and different permutations of subunits give rise to the different nicotinic receptor types and subtypes in the CNS, autonomic ganglia and neuromuscular junction. The differences between nicotinic N<sub>1</sub> and N<sub>2</sub> receptors in their agonist/antagonist binding characteristics are clinically very important because they allow neuromuscular blockade (paralysis) without major effects on the autonomic nervous system.

#### **Muscarinic Receptors**

These are GPCRs widely distributed in the CNS and in pre- and postganglionic fibre/effector organ junctions of the parasympathetic branch of the autonomic nervous system. They are also present on most sweat glands (other than the palms of the hands), which are, however, innervated by the *sympathetic* branch of the autonomic nervous system. Table 4.3 shows the effect of stimulation of the muscarinic (M) receptors in major tissues and the principal muscarinic receptor subtype that is involved. There are five subtypes of muscarinic receptor (M<sub>1</sub>–M<sub>5</sub>; see the drug receptor table at the end



Fig. 4.7 Schematic diagram of the distribution of the main neurotransmitters and receptors of the somatic, parasympathetic and sympathetic nervous systems. *ACh*, Acetylcholine; *Adr*, adrenaline; *M*, muscarinic receptor;  $N_{1}$ ,  $N_{2}$ , nicotinic receptors; *NA*, noradrenaline; *NMJ*, neuromuscular junction;  $\alpha$ ,  $\beta$ , adrenoceptors.

of Chapter 1). All five subtypes are found in the CNS; the distribution and functions of  $M_1$ ,  $M_2$  and  $M_3$  receptors have also been well characterised at parasympathetic effector sites (see Table 4.3).  $M_2$  receptors are particularly associated with inhibition of cardiac activity, and  $M_3$  receptors with stimulating smooth muscle and glandular tissues.

It should be appreciated that AChE inhibitors increase the concentrations of ACh at all cholinergic sites and therefore produce a diverse array of nicotinic and muscarinic receptor effects. For example, when an AChE inhibitor is used to overcome reversible neuro-muscular blockade (see Chapter 27), it also increases ACh-mediated effects produced via the parasympathetic nervous system, such as on the GI tract and heart. These unwanted effects of ACh can be blocked by co-administration of an antimuscarinic agent (see the drug receptor list at the end of Chapter 1).

The distribution of the key neurotransmitters and receptors of the somatic and autonomic nervous systems is summarised in Fig. 4.7, which should be understood in association with the activities of the sympathetic and parasympathetic nervous systems on specific tissues shown in Tables 4.2 and 4.3.

# OTHER TRANSMITTERS IN THE PERIPHERAL NERVOUS SYSTEM

In addition to ACh and noradrenaline, other transmitters have roles in neurotransmission and functions in the peripheral nervous system. Many of these are also of considerable importance in the CNS. The different transmitters are dealt with in the chapters that describe their clinical importance, and include:

- amines (e.g. dopamine, histamine, serotonin),
- amino acids (e.g. glutamate, glycine, γ-aminobutyric acid (GABA)),
- peptides (e.g. opioids, substance P),
- purines (e.g. adenosine, ATP).

Nitric oxide (NO), calcitonin gene-related peptide (CGRP), vasoactive intestinal peptide (VIP), neuropeptide Y (NPY), ghrelin and others are described later in this book.

#### AMINES

#### Dopamine

Dopamine is an important neurotransmitter in both the CNS and the periphery, and subsequent chapters cover its actions (see Chapters 7, 21, 24 and 32).

#### Synthesis and storage of dopamine

The synthesis and storage of dopamine have been described previously in the section on noradrenaline.

#### Release of dopamine

Nerve stimulation causes the release of preformed dopamine present in vesicles (see the section on noradrenaline). Dopaminergic neurons are not important in the clinical responses to indirectly acting sympathomimetics, although certain behavioural responses to amfetamines are linked to dopamine D<sub>2</sub> receptor activity. The antiviral drug amantadine, which is of some value in Parkinson's disease, causes release of dopamine among other actions (see Chapter 24).

#### Removal of activity of released dopamine

Dopamine is removed by similar mechanisms to those described previously for noradrenaline, with reuptake by the DAT representing the major pathway.

#### Dopamine receptors

There are five main types of dopamine receptors and relatively selective therapeutic agents are available for some of these (see the drug receptor list at the end of Chapter 1). Dopamine receptors are grouped into those that increase cyclic adenosine monophosphate (cAMP) ( $D_1$  and  $D_5$ ) and those that decrease cAMP ( $D_2$ ,  $D_3$  and  $D_4$ ). The  $D_4$  receptor shows polymorphic expression. Dopamine receptor subtypes  $D_2$  and  $D_4$  are associated with schizophrenia, and relatively selective antagonists of each are valuable antipsychotic drugs (see Chapter 21).

#### Serotonin (5-Hydroxytryptamine)

Serotonin (or 5-HT; Fig. 4.8A) is a neurotransmitter in the CNS and periphery that has properties similar to the catecholamines.

#### Synthesis of serotonin

Serotonin is synthesised from the amino acid tryptophan by two reactions similar to those involved in the conversion of tyrosine to dopamine. The first reaction is catalysed by tryptophan hydroxylase and forms 5-hydroxytryptophan; this is the rate-limiting step and only found in serotonin-producing cells. Conversion to serotonin is catalysed by aromatic L-amino acid decarboxylase (see the previous discussion of noradrenaline synthesis).

Serotonin is present in the diet but undergoes essentially complete first-pass metabolism by MAO-A in the gut wall and liver. Serotonin is not synthesised by blood platelets, but they accumulate high concentrations of serotonin from the circulation which can be released when platelets aggregate and during migraine episodes.

#### Storage of serotonin

The site of storage of more than 90% of the serotonin in the body is the enterochromaffin cells of the GI tract. Platelets accumulate serotonin and neurons utilising serotonin are widely distributed in the brain. In presynaptic neurons, serotonin is stored in vesicles as a complex with ATP, and there is an active uptake process which transfers cytoplasmic serotonin into the storage vesicle.

#### Release of serotonin

The release of serotonin vesicles is by  $Ca^{2+}$ -mediated exocytosis. A rise in intraluminal pressure in the GI tract stimulates the release of serotonin from the



Fig. 4.8 Diverse structures of important amine and amino acid neurotransmitters. (A) 5-HT (serotonin); (B) histamine; (C)  $\gamma$ -aminobutyric acid (GABA); (D) glutamate; (E) glycine.

chromaffin cells. Release of serotonin from chromaffin cells contributes to nausea following cancer chemotherapy with cytotoxic drugs by stimulation of the chemoreceptor trigger zone (see Chapter 32) and of sensory receptors within the GI tract. There is a significant release of platelet serotonin in migraine (see Chapter 26).

#### Metabolism and removal of serotonin activity

The principal mechanism of inactivation of released serotonin is via its reuptake into the presynaptic nerve by the SERT, which shows a high affinity for serotonin and is distinct from the NET. Dual inhibitors of serotonin and noradrenaline reuptake (SNRIs) and selective serotonin reuptake inhibitors (SSRIs) are important antidepressants (see Chapter 22). Serotonin reuptake is also carried out by a low-affinity plasma membrane monoamine transporter (PMAT), which is not inhibited by SSRIs and also transports noradrenaline and dopamine.

Metabolism of serotonin within the neuron is by MAO, which generates the excretory product 5-hydroxyindoleacetic acid (5-HIAA). There is a considerable turnover of serotonin in the chromaffin and nerve cells, and 5-HIAA is a normal constituent of human urine.

#### Serotonin receptors

There is a large family of serotonin receptors (see the drug receptor list at the end of Chapter 1), with 14 different G-protein-coupled seven-transmembrane (7TM) receptors and one ligand-gated ion channel  $(5-HT_3)$  so far identified. These are divided into seven classes  $(5-HT_1 \text{ to } 5-HT_7)$  on the basis of their structural and functional characteristics. Not all of the subtypes of receptors have recognised physiological roles. Receptors in the 5-HT<sub>1</sub> and 5-HT<sub>5</sub> groups inhibit adenylyl cyclase, whereas those in the 5-HT<sub>2</sub> group activate phospholipase C. Receptors in the 5-HT<sub>4</sub>, 5-HT<sub>6</sub> and 5-HT<sub>7</sub> groups stimulate adenylyl cyclase, whereas 5-HT<sub>3</sub> receptors are ligand-gated ion channels that depolarize the cell membrane. Identification of receptor subtype functions and development of selective inhibitors or stimulants have facilitated progress in the treatment of diseases including depression (see Chapter 22) and migraine (see Chapter 26).

#### **Histamine**

Histamine (see Fig. 4.8B) is an important transmitter both in the CNS and in the periphery, as well as being an allergic mediator released from mast cells and basophils.

#### Synthesis of histamine

The amino acid histidine is decarboxylated to histamine by histidine decarboxylase. In addition to the synthesis and storage of histamine by mast cells and basophils, there is continual synthesis, release and metabolic inactivation by growing tissues and in wound healing.

#### Storage of histamine

Most attention has focused on the storage of histamine in mediator-releasing cells such as mast cells and basophils (see Chapter 12). In these cells, it is present in granules associated with heparin. The presence of histidine decarboxylase and the synthesis of histamine in neurons in the CNS are highly associated with the tuberomammillary nucleus (TMN) in the hypothalamus, from where histaminergic neurons project to many parts of the brain. Histamine is synthesized in axonal varicosities in these neurons, then transported into vesicles by VMAT2 for storage. Histamine plays a role in wakefulness, memory, appetite and many other functions.

# Release of histamine

The release of histamine from mast cell and basophil granules has been studied extensively in relation to allergic reactions (see Chapters 12 and 39). In chromaffin cells in the gut and enterochromaffin-like (ECL) cells in the gastric mucosa, histamine is synthesised rapidly when required (see Chapter 33). In the hypothalamus, the release of histamine from neuronal vesicles is triggered by action potentials and the amount of histamine released shows a circadian rhythm aligned with sleep-wake cycles.

#### Removal of histamine activity

Histamine is rapidly inactivated by oxidation to imidazole acetic acid. Histamine is not a substrate for MAO, and the oxidation is catalysed by diamine oxidase (or histaminase). A second, minor route of metabolism is methylation by histamine-N-methyltransferase, particularly in the CNS, and the product is then a substrate for MAO. Histamine is also eliminated as an N-acetyl conjugate.

#### Histamine receptors

There are four GPCRs for histamine ( $H_1$  to  $H_4$ ; see the drug receptor list at the end of Chapter 1), and an intracellular receptor H(ic) is also postulated.  $H_1$  receptors have been studied extensively in relation to inflammation and allergy (see Chapters 12 and 39). Histaminergic neurons in the hypothalamus and their projecting pathways promote wakefulness via  $H_1$  receptors and sedation is a serious problem with those  $H_1$  receptor antagonists able to cross the blood–brain barrier (see Chapter 2). Later generations of  $H_1$  antihistamines produce less sedation.  $H_1$  receptors are also involved in emesis (see Chapter 32).

The discovery of  $H_2$  receptors affecting the release of gastric acid led to the development of important  $H_2$ -selective antagonists that reduce acid secretion and contribute to the treatment of dyspepsia and to ulcer healing (see Chapter 33).  $H_2$  receptors are also present in the brain and are probably responsible for the confusional state associated with the use of the  $H_2$  receptor antagonist cimetidine.  $H_3$  receptors are found in the CNS and other sites, where they may act as inhibitory autoreceptors and heteroceptors regulating histamine storage.  $H_4$  receptors are localised mainly to leucocytes, but their functions are poorly understood.

#### AMINO ACIDS

#### γ-Aminobutyric Acid

GABA is an important inhibitory neurotransmitter responsible for about 40% of all inhibitory activity in the CNS (see Fig. 4.8C).

#### Synthesis and storage of $\gamma$ -aminobutyric acid

GABA is formed by the decarboxylation of glutamate by glutamate decarboxylase in GABAergic neurons. GABA is stored in membrane vesicles in the brain and in interneurons in the spinal cord (particularly laminae II and III).

#### Release of $\gamma$ -aminobutyric acid

GABA is released by Ca<sup>2+</sup>-mediated exocytosis. Cotransmitters such as glycine, metenkephalin and neuropeptide Y are stored in GABA vesicles and released with GABA.

#### Removal of $\gamma$ -aminobutyric acid activity

Uptake by the GABA transporter (GAT) family of transporters is the principal mechanism for the removal of GABA from the synaptic cleft. The antiepileptic drug tiagabine acts as an inhibitor of GABA uptake by GABA transporter-1 (GAT-1) (see Chapter 23).

GABA is metabolised by transamination with  $\alpha$ -ketoglutarate, which forms the corresponding aldehyde (succinic semialdehyde) and amino acid (glutamic acid). The antiepileptic drug vigabatrin may inhibit GABA transamination.

#### γ-Aminobutyric acid receptors

There are two main types of GABA receptor, with different mechanisms of action (see the drug receptor list at the end of Chapter 1). Stimulation of either type of receptor produces hyperpolarisation of the cell membrane, with GABA<sub>A</sub> causing rapid inhibition and GABA<sub>B</sub> producing a slower and more prolonged response. The GABA<sub>A</sub> receptor comprises a number of subunits. There are multiple forms of each subunit and numerous possible combinations (see Fig. 20.1); consequently, the GABA<sub>A</sub> receptor should be regarded as a family of receptors. Hyperpolarisation following GABA<sub>A</sub> receptor stimulation results from the opening of Cl<sup>-</sup> channels and influx of Cl<sup>-</sup> ions. GABA<sub>B</sub> receptors are G-protein-linked receptors that hyperpolarise the cell indirectly by closing Ca<sup>2+</sup> channels and opening K<sup>+</sup> channels. A subtype of GABA<sub>A</sub> receptor (GABA<sub>A</sub>- $\rho$ ) is found in the retina, where its significance remains unclear. Both GABA<sub>A</sub> and GABA<sub>B</sub> receptors are found presynaptically and inhibit neurotransmitter release by hyperpolarising the cell (by opening Cl<sup>-</sup> or K<sup>+</sup> channels) and reducing release of the vesicles of the innervating cell (by closing Ca<sup>2+</sup> channels). Many important drugs act by altering GABA synthesis or breakdown, or by enhancing GABA activity at its receptors, including drugs used for anxiety (see Chapter 20) and epilepsy (see Chapter 23).

#### Glutamate

Glutamate (see Fig. 4.8D) is an important excitatory amino acid neurotransmitter with wide-reaching actions in physiological and pathological conditions. The functions of glutamate are described in later chapters. Aspartate is similar to glutamate and acts at the same receptors. Administration of glutamate or aspartate causes CNS excitation, tachycardia, nausea and headache, and convulsions at very high doses. Hyperactivity at glutamate receptors has been proposed as a factor in the generation of epilepsy (see Chapter 23).

### Synthesis and storage of glutamate

Glutamate (glutamic acid) is found in most cells and is widely distributed within the CNS. Glutamate is stored in presynaptic vesicles in the neurons.

#### Release of glutamate

Exocytosis of vesicles is mediated via the influx of Ca<sup>2+</sup> into the presynaptic nerve terminal, as occurs for other neurotransmitters. Some antiepileptic drugs (e.g. lamotrigine and valproate; see Chapter 23) inhibit glutamate release.

#### Removal of glutamate activity

The action of glutamate (or aspartate) in the synapse is terminated by excitatory amino acid transporters (EAATs), which take them up into the neuron and surrounding glial cells.

#### Glutamate receptors

There are two major types of glutamate receptor, the ionotropic family, comprising AMPA ( $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazole propionic acid) receptors, kainate receptors and NMDA (*N*-methylp-aspartate) receptors, and the metabotropic glutamate receptor (mGluR) family, which have a range of biological actions (see the drug receptor list at the end of Chapter 1). The glutamate NMDA receptor antagonist memantine is used in treating Alzheimer's disease (see Chapter 9), and the AMPA receptor antagonist perampanel is used in epilepsy (see Chapter 23).

#### Glycine

Glycine (see Fig. 4.8E) is a widely available amino acid that acts as an inhibitory neurotransmitter. It is released in response to nerve stimulation and acts in the spine, lower brainstem and retina.

#### Synthesis and storage of glycine

Glycine is present in all cells and is accumulated within vesicles by neurons.

#### Release of glycine

Vesicle release accompanies an action potential as described previously for other neurotransmitters. The tetanus toxin prevents glycine release and the decrease in glycine-mediated inhibition results in reflex hyperexcitability.

#### Removal of glycine activity

Released glycine undergoes cellular uptake via the high-affinity glycine transporters GLYT-1 and GLYT-2 in glial and neuronal cells.

#### Glycine receptors

Glycine receptors (GlyR) are ligand-gated  $Cl^-$  channels similar in structure to  $GABA_A$  channels; they are present mainly on interneurons in the spinal cord.

Strychnine produces convulsions through the blockade of GlyR. Glycine is important for the activity of NMDA receptors (see the drug receptor table at the end of Chapter 1).

#### Imidazoline receptor ligands

Studies of the centrally acting  $\alpha_2$ -adrenoceptor agonists clonidine, moxonidine and rilmenidine showed that their antihypertensive effects could not be interpreted wholly by actions on the  $\alpha_2$ -adrenoceptor. These imidazoline compounds are thought to act at least partly at imidazoline (I)-binding sites, of which there are three main types (I<sub>1</sub>, I<sub>2</sub>, I<sub>3</sub>), with the I<sub>1</sub> site mediating the sympatho-inhibitory actions on blood pressure in the brainstem. The putative natural ligand for I receptors, agmatine, is a decarboxylated derivative of arginine; it also binds to  $\alpha_2$ -adrenoceptors and activates nitric oxide synthase, but its role in disease is unclear.

#### PEPTIDES

The importance of peptides as neurotransmitters has been highlighted in recent years, largely because of the development of sensitive immunohistochemical probes, which have enabled their detection and measurement in tissues. Unlike other classes of neurotransmitter, peptides are synthesised in the cell body as precursors, which are then transported along the axon to the site of storage. There are specific receptors for different peptides (see the drug receptor table at the end of Chapter 1). An AP causes the release of the peptide from its precursor; inactivation is probably via hydrolysis by a local peptidase.

Peptide neurotransmitters are often found stored in the same nerve endings as other transmitters (described previously) and undergo simultaneous release (co-transmission).

Peptides do not cross the blood–brain barrier readily. A major problem for exploiting the increasing knowledge of the importance of peptides is devising ways to deliver the novel products derived from molecular biology to the sites within the brain where they can have an effect.

Substance *P* is released from C-fibres (see Chapter 19) by a Ca<sup>2+</sup>-linked mechanism and is an important neurotransmitter for sensory afferents in the dorsal horn. It is also present in the substantia nigra associated with dopaminergic neurons and may be involved in the control of movement.

*Opioid* peptides are a range of endogenous peptides that are the natural ligands for opioid receptors; opioid receptors were recognised in the brain and GI tract for many years before the natural ligands were identified. These are discussed in Chapter 19.

A number of other peptides are detectable in the CNS particularly in the hypothalamus and/or pituitary gland (e.g. neurotensin, oxytocin, somatostatin, vasopressin; see Chapters 43 and 45) or in the GI tract (e.g. cholecystokinin and vasoactive intestinal peptide).

# PURINES

Adenosine and guanosine are endogenous purines and exist in the body in the free form, attached as nucleosides to ribose or deoxyribose, or as mono-, bior triphosphorylated nucleotides. Purines within cells are usually incorporated into nucleotides, which are involved in the energetics of biochemical processes (e.g. ATP), act as intracellular signals (e.g. cAMP and cGMP; see Chapter 1) and are involved in the synthesis of RNA and DNA. ATP is present in the presynaptic vesicles of some other neurotransmitters and is released along with the primary neurotransmitter, after which it may act on postsynaptic receptors (cotransmission). Extracellular ATP is rapidly hydrolysed via adenosine diphosphate (ADP) to adenosine. Adenosine itself is very rapidly metabolised and inactivated.

There is a family of purine receptors that show individual selectivity for different purines and give different responses (see the drug receptor list at the end of Chapter 1). G-protein–coupled purinergic receptors (P2Y) are specific for the adenosine and uridine phosphates, and ADP causes platelet aggregation via P2Y<sub>12</sub>-type receptors. This effect of ADP can be inhibited with clopidogrel and ticagrelor, which have important antiaggregatory actions (see Chapter 11). Ligand-gated P2X receptors for ATP are widely distributed in the brain. The adenosine receptors  $A_1$ – $A_3$ , formerly called P1 receptors, show very high selectivity for adenosine itself. Adenosine is used therapeutically to terminate supraventricular tachycardia.

# SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- The sympathetic division of the autonomic nervous system utilises adrenaline as its primary transmitter substance.
- 2. The parasympathetic and sympathetic nervous systems have opposite effects in every organ.
- 3. Sympathetic nervous stimulation to the gut inhibits gut motility and sphincter tone.
- 4. Acetylcholine is metabolised by plasma cholinesterase in the synaptic cleft.
- 5. Dopamine and noradrenaline are synthesised from levodopa.
- 6. Dopamine is a transmitter in the peripheral autonomic nervous system.
- 7. Tyramine is metabolised by both isoenzymes of neurotransmitter oxidase (MAO-A and MAO-B).
- 8. Both  $\alpha_1$  and  $\alpha_2$ -adrenoceptor antagonists can be used to lower blood pressure.
- 9. Botulism is caused by poisoning with a bacterial toxin.

- 10. Botulinum toxin enhances acetylcholine release from all cholinergic neurons.
- 11. There are two subtypes of  $\beta$ -adrenoceptor.
- 12. Drugs that alter GABA activity are important in treating epilepsy.
- 13. The actions of synaptic serotonin and noradrenaline are curtailed mainly by metabolism by MAO and COMT.
- 14. The synaptic uptake of noradrenaline and serotonin can be inhibited selectively.
- 15. The vagal cranial nerve to the eye decreases pupil size.
- 16. Blockade of H<sub>1</sub> histamine receptors reduces gastric acid secretion.
- 17. Glutamate and glycine are inhibitory amino acid transmitters.
- 18. Substance P is a transmitter in the dorsal horn of the spinal cord.

# **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which neurotransmitter is an amino acid?
  - A. Adenosine
  - B. Adrenaline
  - C. Dopamine
  - D. Glycine
  - E. Histamine
- 2. What is the *most accurate* statement about neurotransmission?
  - A. Peptide neurotransmitters are synthesised in the presynaptic nerve terminal.
  - B. Monoamine transmitters are taken up into the presynaptic neuron by passive diffusion.
  - C. Acetylcholine release is modified by receptors on the presynaptic membrane.
  - D. Each postganglionic sympathetic neuron releases a single neurotransmitter.
  - E. K<sup>+</sup> influx following an AP triggers the fusion of vesicles with the presynaptic membrane.

# **ANSWERS**

### **TRUE/FALSE ANSWERS**

- 1. **False.** Noradrenaline is the main transmitter substance at postganglionic nerve endings. Adrenaline is released only from the adrenal medulla, whilst acetylcholine is the transmitter in sympathetic ganglia and in sympathetically innervated sweat glands and hair follicles.
- 2. False. Although the two autonomic systems have broadly opposing actions on many organs, other organs may be controlled by only one system (e.g. the lens of the eye). The kinetics of the two systems also vary, with the parasympathetic nervous system finetuning organ activity at rest, whereas the sympathetic nervous system produces rapid coordinated responses of many organs in a physiological emergency.
- 3. **False.** Sympathetic nervous stimulation releases noradrenaline and inhibits gut motility but increases the tone of the sphincters.

- 4. **False.** Within the synaptic cleft, acetylcholine is mainly broken down by acetylcholinesterase.
- 5. **True**. Levodopa is converted into dopamine by DOPA decarboxylase and then to noradrenaline by dopamine β-hydroxylase.
- 6. **True.** Dopamine is predominantly an important transmitter in the CNS but also in some peripheral sites (e.g. the renal vascular smooth muscle).
- 7. **True.** This is important, as selective inhibitors of MAO-A used in the treatment of depression leave MAO-B unaffected, so it remains available to metabolise tyramine in food, thereby avoiding the 'cheese reaction'.
- 8. **False.** Antagonism of  $\alpha_1$ -adrenoceptors on peripheral resistance vessels causes relaxation and lowers blood pressure, but presynaptic  $\alpha_2$ -adrenoceptors are autoreceptors that reduce noradrenaline release; blockade of these autoreceptors would increase noradrenaline release and tend to raise vascular resistance.
- 9. **True.** Botulinum toxin from the anaerobic bacterium *C. botulinum* can cause fatal poisoning.
- 10. **False.** Botulinum toxin inhibits acetylcholine release and causes skeletal muscle paralysis; it can be used locally where there is muscle spasm or excessive sweating.
- 11. **False.** A third type, the  $\beta_3$ -adrenoceptor, is found in adipocytes, the heart, colon, bladder and some other tissues, but is less widespread than the  $\beta_1$ and  $\beta_2$ -adrenoceptors.
- 12. **True.** As well as drugs that act at GABA<sub>A</sub> receptors, such as benzodiazepines, others that alter GABA uptake or breakdown are of growing importance in epilepsy treatment.
- 13. **False.** The actions of serotonin and noradrenaline are curtailed mainly by reuptake into the presynaptic neuron by their respective transporters, SERT and NET.
- 14. **True.** The SERT and NET uptake transporters can be inhibited by SSRIs and other selective antidepressant drugs.
- 15. **True.** Vagal (parasympathetic) stimulation causes constriction of the pupil (miosis) and also accommodates the lens for near vision.
- 16. **False.** Gastric acid secretion is promoted by histamine released from enterochromaffin-like (ECL) cells acting at  $H_2$  receptors and is reduced by  $H_2$ antihistamines such as ranitidine.
- 17. **False.** Glycine is an inhibitory transmitter, but glutamate is excitatory.
- 18. **True.** Substance P in the dorsal horn is an important transmitter in sensory afferents.

# **OBA ANSWERS**

1. **Answer D** is correct. Glycine is a simple amino acid and an inhibitory neurotransmitter. Adenosine (answer A) is a purine; adrenaline (B) and dopamine (C) are catecholamines, and histamine (E) is

an amine derived from an amino acid by decarboxylation (removal of the acid group).

- 2. Answer C is correct.
  - A. Incorrect. Peptide neurotransmitters are synthesised in the cell body and transported to the postganglionic nerve ending.
  - B. Incorrect. Active transporters such as NET, SERT and DAT transfer monoamine neurotransmitters back into the presynaptic neuron.
  - C. **Correct.** On parasympathetic nerve endings, stimulation of presynaptic  $N_1$  autoreceptors increases acetylcholine release, whereas stimulation of presynaptic  $M_2$  receptors decreases acetylcholine release.
  - D. Incorrect. Co-transmission is common, such as noradrenaline and vasoactive intestinal polypeptide (VIP) released from sympathetic nerve endings to the gut.
  - E. Incorrect. Neurotransmitter release after an AP is associated with an influx of Ca<sup>2+</sup> ions.

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# **Ischaemic Heart Disease**

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### **MYOCARDIAL PERFUSION**

The coronary arteries receive about 5% of the cardiac output at rest and the myocardium extracts about 75% of the oxygen from the perfusing blood. When metabolic demand from the myocardium increases (e.g. with exercise), there is little additional oxygen available to be extracted from the perfusing blood and coronary artery blood flow must increase to supply the necessary oxygen. Up to a three- to fourfold rise in coronary blood flow is achieved at maximum exercise. Myocardial perfusion occurs largely during diastole, when the muscle of the heart is relaxed and not compressing the intramyocardial vessels. Therefore, unlike other organs that are perfused during systole, myocardial perfusion is reliant on diastolic blood pressure.

Myocardial ischaemia arises when blood flow to the cardiac muscle is inadequate to meet its metabolic needs. Ischaemia due to reduced blood flow in the coronary circulation is usually a result of atheromatous plaques in the large epicardial coronary arteries (Fig. 5.1). Atheromatous plaques most often form in areas where linear blood flow is disturbed, such as bends in the vessel or near branching vessels. Less commonly, blood flow is reduced despite structurally normal epicardial coronary arteries and normal cardiac output. In this situation it arises either from abnormal regulation of the microvascular circulation within the myocardium, from intense vasoconstriction of an epicardial artery (coronary vasospasm) or from coronary Management of Stable Angina 100 Management of Acute Coronary Syndromes 101 Early Management of Non-ST Elevation Acute Coronary Syndromes (NSTE-ACS) 101 Early Management of ST-Segment Elevation Myocardial Infarction 102 Secondary Prophylaxis After Myocardial Infarction 102 Self-Assessment 103 Answers 104 Further Reading 106 Compendium of Drugs Used to Treat Ischaemic Heart Disease 106

artery embolism. Other causes of inadequate coronary perfusion include aortic stenosis and hypertrophic cardiomyopathy. In critical illness, such as sepsis, myocardial ischaemia may arise in the absence of significant coronary artery disease, due to an overall reduction in cardiac output in the context of increased myocardial oxygen demand (so-called 'demand ischaemia').

The major risk factors for atheromatous coronary artery disease (similar to atheroma in other parts of the vascular tree) are male sex, smoking, hypertension, hypercholesterolaemia and diabetes mellitus. The effects of these risk factors are additive, and when several are present, coronary atheroma occurs more extensively and at a younger age.

Atheromatous plaques initially enlarge by stretching the medial smooth muscle (vascular remodelling) and do not begin to narrow the lumen of the vessel until 40% to 50% of the circumference of the vessel is diseased. Even when luminal narrowing is present, there is initially sufficient coronary blood flow reserve so that symptoms only arise in most people when about 75% of the cross-sectional area of the vessel lumen is occluded.

Coronary artery atheroma can diffusely involve a long segment of the vessel, but plaques are often confined to a small segment of the artery. Localised plaques frequently involve only part of the circumference of the arterial wall, leaving the rest free of significant disease and still able to respond to vasoconstrictor and vasodilator influences. At the site of an atheromatous plaque



Fig. 5.1 Aspects of inflammatory processes that contribute to coronary heart disease. Many factors contribute to coronary heart disease. Many factors contribute to coronary heart disease: vascular endothelium is damaged and activated by haemodynamic stress; platelets adhere and promote leucocyte infiltration and thrombus formation; low-density lipoprotein (*LDL*) is oxidised and taken up via scavenger receptors into monocyte-macrophages, subsequently forming foam cells. Dysfunctional expression of a host of cytokines, lipid mediators, free radicals and proteases exacerbates inflammation, endothelial damage, atheroma formation, plaque rupture and thrombus formation. These processes are influenced by risk factors such as smoking, heredity, hypercholesterolaemia, hypertension, obesity, diabetes, age and gender. *IFN-* $\gamma$ , Interferon- $\gamma$ ; *IL-10*, interleukin-10; *MMPs*, matrix metalloproteases; *TGF-* $\beta$ , tumour growth factor  $\beta$ ; *Th*, T-helper cell; *VCAM-1*, vascular cell adhesion molecule 1.

there is turbulent blood flow. The consequent changes in shear stress at the endothelial surface impair endothelial function and reduce local generation of vasodilator substances such as nitric oxide (NO; see the discussion of organic nitrates that follows). Diseased segments of a coronary artery are therefore particularly prone to vasospasm, which produces dynamic flow limitation superimposed on the fixed atheromatous narrowing. Well-developed collateral coronary arteries are found in up to one-third of people. When these open, they can deliver blood to ischaemic tissues by bypassing the atheromatous narrowing or by retrograde filling of the distal part of the diseased vessel from another part of the coronary circulation. This improves perfusion distal to the diseased segment of the artery.

There are two morphological types of atheromatous plaque. Some have a lipid-rich core with a substantial infiltration of inflammatory cells and a thin fibrous cap. Such plaques are relatively unstable ('vulnerable' plaques) and are more prone to plaque disruption by ulceration or rupture of the cap, leading to thrombus formation (discussed later). Other plaques have a fibrotic core with a thick fibrous cap. These plaques are more stable and resistant to erosion. Both stable and unstable plaques can coexist in the coronary circulation.

# CLINICAL MANIFESTATIONS OF MYOCARDIAL ISCHAEMIA

# **STABLE ANGINA PECTORIS**

Reversible myocardial ischaemia is the consequence of an imbalance between oxygen supply and oxygen demand in a part of the myocardium (Fig. 5.2) due to an inability to increase local coronary blood flow sufficiently to meet the metabolic demands. Angina pectoris is pain arising from heart muscle after it switches to anaerobic metabolism and is a symptom of reversible myocardial ischaemia. Stable angina is relatively predictable ischaemic chest pain that is most frequently experienced on exertion or with emotional stress and is rapidly relieved by rest. Reversible myocardial ischaemia can also present with shortness of breath (due to diastolic stiffening of the left ventricle, when a reduced cellular energy supply impairs the uptake of Ca<sup>2+</sup> by the sarcoplasmic reticulum; see also heart failure with preserved ejection fraction (Chapter 7)), or it can occur without symptoms (silent ischaemia). Vasospasm at the site of an atheromatous plaque accentuates the reduction in flow produced by a fixed atheromatous obstruction, and when it is present, angina occurs at a lower myocardial workload. Angina will often be worse if there is coexisting anaemia, uncontrolled hypertension, tachyarrhythmias, or thyrotoxicosis, all of which increase myocardial oxygen demand.



Fig. 5.2 Factors increasing myocardial oxygen demand and decreasing myocardial oxygen supply in angina. Antianginal drugs act at many different sites to reduce myocardial oxygen demand and increase oxygen supply.

People with stable angina have an increased risk of subsequent myocardial infarction or sudden cardiac death due to rupture of an unstable atheromatous plaque (discussed later). On average the annual rate of such events is between 1.2% and 4%. Rates are higher if there is comorbid diabetes mellitus or peripheral vascular disease.

# ACUTE CORONARY SYNDROMES (UNSTABLE ANGINA, MYOCARDIAL INFARCTION AND SUDDEN CARDIAC DEATH)

Acute coronary syndrome (ACS) usually arises from disruption of an unstable atheromatous plaque (vulnerable plaque) in a coronary artery. Plaque disruption can be precipitated by sudden stresses on the cap produced by pulsatile blood flow across the plaque, by elastic recoil of the vessel in diastole or by vasospasm. As a consequence of these stresses the thin cap over the unstable plaque fissures or ulcerates, leading to plaque rupture and exposure of the core of the plaque to circulating blood. Plaque rupture initiates platelet adhesion and then aggregation (see Chapter 11), followed by thrombus formation and local vasospasm. These processes result in a sudden reduction in blood flow. Platelet-thrombin microemboli can break off from the thrombus and become lodged in small arterioles downstream from the thrombus, contributing to ischaemia.

#### **Unstable Angina**

Unstable angina occurs if there is incomplete occlusion of the coronary artery following plaque rupture, but with critical reduction in blood flow so that oxygen supply is either inadequate at rest or with a minimal increase in oxygen demand. Angina may then occur at rest or with very little exertion. Unstable angina is distinguished pathologically from other acute coronary syndromes because perfusion of the ischaemic tissue remains sufficient to prevent necrosis of myocytes, so serum markers of myocardial damage do not increase. Unlike myocardial infarction, symptoms of unstable angina are usually relieved by glyceryl trinitrate (GTN) (discussed later) or resolve spontaneously within 30 minutes.

Following an episode of unstable angina, the thrombus may become incorporated into the ruptured plaque so that after healing, the plaque is substantially larger, leading to greater long-term luminal narrowing.

#### Myocardial Infarction and Sudden Cardiac Death

Myocardial infarction most commonly arises from complete coronary artery occlusion following disruption of an unstable atheromatous plaque. Under the fourth Universal Definition of Myocardial Infarction, this is a type 1 myocardial infarction (Box 5.1). Occlusion often occurs at the site of an atheromatous lesion that previously was only producing minor or moderate stenosis of the artery, and, in the majority of cases,

# Box 5.1 Types of Myocardial Infarction (MI).

Type 1: spontaneous MI related to ischaemia caused by a primary coronary event

- Type 2: MI secondary to ischaemia resulting from an imbalance between oxygen supply and demand (such as with coronary artery spasm, tachyarrhythmia, anaemia or hypotension)
- Type 3: sudden death from cardiac disease with symptoms of myocardial ischaemia accompanied by new ST elevation or left bundle branch block or verified coronary thrombus at angiography and/or autopsy Type 4: MI associated with percutaneous coronary
  - intervention

Type 5: MI associated with coronary artery by-pass grafting

it did not cause symptoms prior to disruption. Muscle necrosis begins if the occlusion lasts for longer than 20 to 30 minutes.

Myocardial infarction is usually associated with intense, prolonged chest pain and sympathetic nervous stimulation which increases cardiac work. However, about 15% of myocardial infarctions do not present with pain, and may go unrecognised (silent infarction). The diagnosis of acute myocardial infarction requires a rise in the plasma concentrations of sensitive biochemical markers (biomarkers), such as cardiac-specific myoglobin or troponin, which are released from necrotic myocytes. Cell death begins in the subendocardial muscle, which is furthest from the epicardial blood supply (the endocardium receives its oxygen from the ventricular cavity), and unless perfusion is restored, it progressively extends across the full thickness of the myocardium (transmurally) over the next few hours. Activation of endogenous fibrinolysis (see Chapter 11) and the presence of a good collateral circulation are factors that favour reperfusion of the ischaemic area and naturally limit the size of the infarct. If early reperfusion occurs, the damage is usually confined to the subendocardial myocardium.

A full-thickness (or transmural) myocardial infarction usually produces characteristic changes on the electrocardiograph (ECG), with early ST-segment elevation and eventually pathological Q waves. This presentation is referred to as an ST-elevation myocardial infarction (STEMI). A subendocardial infarction often presents without diagnostic ECG changes. In these cases, the ECG may show ST-segment depression or T-wave inversion, or even be normal. The resulting infarction is classified as a non–ST-elevation myocardial infarction (NSTEMI), because of the absence of the characteristic ST-segment changes usually found with more extensive myocardial damage.

Myocardial infarction principally affects the left ventricular muscle, and the amount of muscle lost and the resulting effect on left ventricular function correlate well with both early and late survival. Infarction of the anterior muscle of the left ventricle (usually resulting from an occlusion in the left coronary artery system) causes greater myocardial loss than does inferior infarction of the ventricle (usually from right coronary artery occlusion). The amount of muscle loss also determines the extent of left ventricular remodelling (a geometrical change in the left ventricle that begins with healing of the infarct), which determines the risk of subsequent heart failure.

In addition to arising from an ACS, myocardial infarction can result less commonly from other pathological mechanisms. Five types of myocardial infarction are now recognised, of which the most common remains type 1 (see Box 5.1). Myocardial infarction due to increased demand in the absence of unstable coronary artery disease (type 2 myocardial infarction), for example in hypotension due to sepsis, is commonly seen in patients with severe acute illness.

Sudden cardiac death results when fatal ventricular arrhythmias arise from ischaemic tissue, or from ventricular rupture. It is a presentation associated with typical myocardial infarction, but death occurs before a raised serum biomarker can be detected (type 3 myocardial infarction).

#### DRUGS FOR TREATING ANGINA

Drug treatment for angina is directed either:

- to reduce oxygen demand by decreasing cardiac work, and/or
- to increase oxygen supply by improving coronary blood flow.

Drugs can be taken to relieve the ischaemia rapidly during an acute attack of angina or as regular prophylaxis to reduce the risk of subsequent episodes. Several classes of drug are used to treat angina.

#### **ORGANIC NITRATES**

Examples

glyceryl trinitrate, isosorbide mononitrate

#### Mechanism of Action and Effects

The organic nitrates relax vascular smooth muscle by mimicking the vasodilator effects of endogenous nitric oxide (NO) generated by NO synthase in vascular endothelial cells. The organic nitrates undergo denitrification to nitrites by mitochondrial aldehyde dehydrogenase, with thiol (sulfhydryl) groups acting as a reducing cofactor, to generate nitrosothiols and NO, which in turn stimulate guanylate cyclase to generate the intracellular second messenger cGMP (Fig. 5.3). Cyclic GMP activates protein kinase G, which reduces the availability of intracellular Ca<sup>2+</sup> to the contractile mechanism of vascular smooth muscle, causing relaxation and vasodilation. Vasodilation is produced in three main vascular beds:

• Venous capacitance vessels. Dilation leads to peripheral pooling of blood and reduced venous

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**Fig. 5.3** Actions of endogenous nitric oxide and exogenous nitrates. Endogenous nitric oxide (*NO*) generated by nitric oxide synthase in endothelial cells activates guanylyl cyclase to synthesise cyclic guanosine monophosphate (*cGMP*). Activation of protein kinase G by cGMP decreases Ca<sup>2+</sup> influx into the cell, increases Ca<sup>2+</sup> storage in the sarcoplasmic reticulum (*SR*), and increases myosin light-chain dephosphorylation, leading to vascular smooth muscle relaxation. Organic nitrates and nicorandil act as exogenous donors of nitrosothiols and NO to produce vasodilation.

return to the heart. This lowers left ventricular filling pressure (preload), decreases ventricular wall tension and therefore reduces myocardial oxygen demand. Venous dilation is a major contributor to the relief of angina and is produced at moderate plasma nitrate concentrations. However, tolerance to this action occurs rapidly with sustained high plasma nitrate concentrations.

- Arterial resistance vessels. Dilation leads to reduced resistance to left ventricular emptying (afterload). This lowers blood pressure, decreases cardiac work and contributes to a reduced myocardial oxygen demand. Arterial dilation occurs at higher plasma nitrate concentrations than venodilation, but tolerance arises less readily during long-term treatment.
- **Coronary arteries.** Nitrates have little effect on total coronary blood flow in angina; indeed, total flow may be reduced because of a decrease in perfusion pressure. However, blood flow through collateral vessels may be increased, and nitrates also relieve coronary artery vasospasm. Therefore, blood supply may be improved to ischaemic areas of the myocardium. Coronary artery dilation occurs at low plasma nitrate concentrations, and tolerance is slow to develop.

#### **Pharmacokinetics**

Glyceryl trinitrate (GTN) is the most widely used organic nitrate. It is well absorbed from the gut but undergoes extensive first-pass metabolism in the liver to inactive metabolites. To increase its bioavailability, GTN is given by one of three routes that avoid first-pass metabolism:

- **Sublingual.** When GTN is delivered under the tongue, it is absorbed rapidly across the buccal mucosa. GTN has a very short half-life (<5 minutes), which limits the duration of action to approximately 30 minutes. GTN tablets lose their potency with prolonged storage and a metered-dose aerosol spray is a more stable and convenient method of sublingual delivery.
- **Transdermal.** GTN is absorbed well through the skin and is usually delivered from an adhesive patch via a rate-limiting membrane or matrix. Steady release of the drug maintains a stable blood concentration for at least 24 hours after application of the patch.
- **Intravenous.** When given by intravenous infusion, the short duration of action of GTN is an advantage for dose titration.

Isosorbide 5-mononitrate does not undergo firstpass metabolism. It has a half-life of 3 to 7 hours and modified-release formulations are often used to prolong the duration of action.

#### **Unwanted Effects**

- Venodilation can produce postural hypotension, dizziness, syncope and reflex tachycardia. Tachycardia can be reduced by concurrent use of a β-adrenoceptor antagonist.
- Arterial dilation causes throbbing headaches and flushing, but tolerance to these effects is common during treatment with long-acting nitrates.

- Tolerance to the therapeutic effects of nitrates develops rapidly if there is a sustained high plasma nitrate concentration. Tolerance is therefore a particular problem with delivery of GTN via transdermal patches or with long-acting nitrates. The cause is incompletely understood, but important mechanisms may be increased degradation of NO by oxygen free radicals, inactivation of mitochondrial aldehyde dehydrogenase, or depletion of thiol groups in the target cells. Reflex activation of the sympathetic nervous system and the reninangiotensin system in response to hypotension may also counteract the vasodilator actions of the nitrates. Tolerance can be avoided by a 'nitrate-low' period of several hours in each 24 hours. This is preferable to a 'nitrate-free' period, which carries a risk of rebound angina. A nitrate-low period is achieved by asymmetric dosing with conventional formulations of isosorbide mononitrate (e.g. twice daily, at 8 a.m. and 1 p.m.) or by using a once-daily formulation that allows plasma nitrate concentrations to fall overnight. Transdermal GTN patches should remain on the skin for only 12 to 14 hours to ensure a nitrate-free period of 10 to 12 hours (e.g. overnight) to prevent tolerance.
- Drug interactions are most troublesome with phosphodiesterase inhibitors, such as sildenafil, used in the treatment of erectile dysfunction. These reduce cGMP metabolism by phosphodiesterase type V (see Chapter 16) and coadministration can result in marked hypotension.

### BETA-ADRENOCEPTOR ANTAGONISTS (β-BLOCKERS)

Examples

atenolol, bisoprolol, carvedilol, metoprolol, nebivolol, propranolol

#### Mechanism of Action and Effects in Angina

All  $\beta$ -adrenoceptor antagonists (often referred to simply as  $\beta$ -blockers) act as competitive antagonists of catecholamines at  $\beta$ -adrenoceptors. They achieve their therapeutic effect in angina by blockade of the cardiac  $\beta_1$ -adrenoceptor with reduced generation of intracellular cAMP. As a result, they:

- Decrease heart rate by inhibition of the cardiac *I<sub>f</sub>* pacemaker current in the sinoatrial node (see Chapter 8). This is most marked during exercise, when the rate of rise in heart rate is blunted;
- Reduce the force of cardiac contraction (see Chapter 7). Blood pressure therefore falls as a result of reduced cardiac output (a consequence of both the decreased heart rate and force of myocardial contraction). The overall effect is to reduce myocardial oxygen demand. The slower heart rate also lengthens diastole and gives more time for coronary

perfusion, which effectively improves myocardial oxygen supply.

Some  $\beta$ -adrenoceptor antagonists have additional properties, which might reduce the incidence of unwanted effects or enhance their blood pressure-low-ering actions. These include:

- **Cardioselectivity.** Some  $\beta$ -adrenoceptor antagonists (e.g. atenolol, bisoprolol and metoprolol) are selective antagonists at the  $\beta_1$ -adrenoceptor. They are usually called 'cardioselective'  $\beta$ -adrenoceptor antagonists as the most important site of action on  $\beta_1$ -adrenoceptors is the heart. Other  $\beta$ -adrenoceptor antagonists (e.g. propranolol) have equal or greater antagonist activity at  $\beta_2$ -adrenoceptors; these drugs are referred to as 'nonselective'  $\beta$ -adrenoceptor antagonists. The cardioselectivity of all  $\beta$ -adrenoceptor antagonists is dose-related, with progressively more  $\beta_2$ -adrenoceptor blockade at higher doses.
- Partial agonist activity (PAA) or intrinsic sympathomimetic activity (ISA). Some  $\beta$ -adrenoceptor antagonists are partial agonists at either  $\beta_1$ - or  $\beta_2$ -adrenoceptors. For example, pindolol is a  $\beta_1$ adrenoceptor antagonist that also has weak agonist activity at  $\beta_2$ -adrenoceptors which produces vasodilation in some vascular beds (see Fig. 6.6). Drugs with PAA at the  $\beta_1$ -adrenoceptor have less inhibitory effect on heart rate and force of contraction, and may be less effective than full antagonists in the treatment of severe angina. However, their PAA means they are less likely to cause a resting bradycardia.  $\beta$ -Adrenoceptor antagonists with PAA are not widely used.
- **Vasodilator activity.** Pure  $\beta_1$ -adrenoceptor antagonists do not cause vasodilation. In fact, reflex sympathetic nervous system stimulation of  $\alpha_1$ -adrenoceptors in response to the fall in cardiac output produces vasoconstriction. However, some  $\beta$ -adrenoceptor antagonists have additional properties that override this and produce arterial vasodilation. Mechanisms of vasodilation include  $\beta_2$ -adrenoceptor PAA (e.g. pindolol; discussed earlier),  $\alpha_1$ -adrenoceptor antagonist action (e.g. carvedilol, labetalol) or an increase in endothelial NO synthesis (e.g. nebivolol; see Fig. 6.6). Vasodilation has no proven advantage for the treatment of angina, but may be useful when β-adrenoceptor antagonists are given for the treatment of hypertension (see Chapter 6) or heart failure (see Chapter 7).

#### **Pharmacokinetics**

Highly lipophilic  $\beta$ -adrenoceptor antagonists such as propranolol and metoprolol are well absorbed from the gut but undergo extensive first-pass metabolism in the liver, which shows considerable variability among individuals. Plasma drug concentrations are therefore unpredictable from a fixed dose. As reduction in heart rate during exercise is closely related to the plasma concentration of the drug, dose titration of lipophilic  $\beta$ -adrenoceptor antagonists is usually necessary to achieve an optimal clinical response. Lipophilic  $\beta$ -adrenoceptor antagonists generally have short halflives and are often used in modified-release formulations to prolong their duration of action.

Hydrophilic  $\beta$ -adrenoceptor antagonists such as atenolol are incompletely absorbed from the gut and are eliminated unchanged in the urine. The dose range to maintain effective plasma concentrations is narrower than for those drugs that undergo metabolism. The half-lives of hydrophilic  $\beta$ -adrenoceptor antagonists are usually longer than those of lipophilic drugs.

Bisoprolol is eliminated almost equally by metabolism and renal excretion.

#### **Unwanted Effects**

- Arising from blockade of  $\beta_1$ -adrenoceptors. Excessive bradycardia occasionally occurs with  $\beta$ -adrenoceptor antagonists and they should be used with caution or avoided in the presence of advanced atrioventricular conduction defect (heart block). Drugs with PAA at  $\beta_1$ -adrenoceptors produce less resting bradycardia. A reduction in cardiac output can impair blood supply to peripheral tissues, which can be detrimental in critical leg ischaemia or can provoke Raynaud's phenomenon (see Chapter 10). A large dose of a  $\beta$ -adrenoceptor antagonist can precipitate acute pulmonary oedema if there is pre-existing poor left ventricular function, when high sympathetic nervous activity is necessary to maintain cardiac output. However, there is a paradox that when used at low doses with gradualdose titration, a  $\beta$ -adrenoceptor antagonist is part of the core therapy of heart failure (see Chapter 7).
- Arising from blockade of  $\beta_2$ -adrenoceptors. Bronchospasm can be precipitated in people with asthma or asthma-COPD overlap syndrome due to antagonist activity at  $\beta_2$ -adrenoceptors in bronchial smooth muscle. Bronchospasm can arise even with cardioselective drugs. Nonselective  $\beta$ -adrenoceptor antagonists can prolong hypoglycaemia, which may be a problem in people with diabetes mellitus treated with insulin (see Chapter 40). Gluconeogenesis, a component of the metabolic response to hypoglycaemia, is dependent upon  $\beta_2$ -adrenoceptor stimulation in the liver.  $\beta$ -Adrenoceptor antagonists also blunt the autonomic response that alerts the person to the onset of hypoglycaemia.
- **Central nervous system effects.** These include sleep disturbance, vivid dreams and hallucinations, fatigue and subtle psychomotor effects (e.g. lack of concentration and sexual dysfunction). All are more common with lipophilic drugs, which readily cross the blood–brain barrier.
- Effects on blood lipid levels. Most β-adrenoceptor antagonists raise the plasma concentration of triglycerides and lower the concentration of

high-density lipoprotein cholesterol (see Chapter 48). These changes are modest but potentially atherogenic. They are most marked with nonselective  $\beta$ -adrenoceptor antagonists, but less so if the drug has PAA.

- Sudden withdrawal syndrome. Upregulation of β-adrenoceptors during long-term treatment with a β-adrenoceptor antagonist makes the heart more sensitive to catecholamines when the drug is withdrawn. Sudden withdrawal may give rise to palpitation due to an increase in heart rate and force of cardiac contraction. β-Adrenoceptor antagonists should be withdrawn gradually in people with ischaemic heart disease, to avoid precipitating an acute coronary syndrome.
- **Drug interactions.** The calcium channel blocker verapamil and, to a lesser extent, diltiazem (discussed later) have potentially hazardous additive effects with  $\beta$ -adrenoceptor antagonists as both reduce the force of cardiac contraction and slow heart rate.

#### **CALCIUM CHANNEL BLOCKERS**

#### Examples

dihydropyridines: amlodipine, nifedipine non-dihydropyridines: diltiazem, verapamil

#### Mechanism of Action and Effects

Calcium is essential for excitation–contraction coupling in muscle cells. The following mechanisms of regulating intracellular free Ca<sup>2+</sup> concentration are important pharmacologically (Figs. 5.4 and 5.5):

- In smooth muscle cells, Ca<sup>2+</sup> enters the cell through transmembrane L-type and T-type voltage-gated ion channels, and also ligand-gated channels, which respond to agonists such as endothelin and noradrenaline (see Figs. 5.4 and 5.5). Activation of ligand-gated channels and consequent Ca<sup>2+</sup> influx depolarises the cell and opens the voltage-gated Ca<sup>2+</sup> channels. The ligand-gated channels in smooth muscle cells allow them to depolarise without an action potential, unlike striated (cardiac and skeletal) muscle cells. As there are no voltage-gated Na<sup>+</sup> channels in smooth muscle, their depolarisation is slow and Ca<sup>2+</sup> dependent. A small amount of Ca<sup>2+</sup> is released from the sarcoplasmic reticulum, but sustained smooth muscle contraction is dependent on external Ca<sup>2+</sup>.
- In cardiac muscle cells, depolarisation of the cell opens L-type Ca<sup>2+</sup> channels allowing a small amount of Ca<sup>2+</sup> into the cell. This results in a large increase in Ca<sup>2+</sup> in the dyadic space between the t-tubule and sarcoplasmic reticulum. The increase in dyadic space Ca<sup>2+</sup> opens the Ca<sup>2+</sup> release channels in the sarcoplasmic reticulum (ryanodine receptors; RyR2), leading to Ca<sup>2+</sup>-induced Ca<sup>2+</sup> release.



**Fig. 5.4** The control of calcium regulation and actions of potassium channel openers in cardiac myocytes and blood vessels. Calcium concentrations in cardiac cells and in vascular smooth muscle are under the influence of a number of different mechanisms. Calcium entry through voltage-gated L-type Ca<sup>2+</sup> channels stimulates ryanodine receptors (*RyR*) in the sarcoplasmic reticulum, releasing stored Ca<sup>2+</sup> (a process known as Ca<sup>2+</sup>-induced calcium release, CICR). Intracellular Ca<sup>2+</sup> is also regulated by exchange with Na<sup>+</sup> via the Na<sup>+</sup>/Ca<sup>2+</sup> exchangers (*NCX*) in the cell membrane. Vascular smooth muscle cells have ATP-sensitive inward rectifier K<sup>+</sup> channels (KIR) which combine with sulfonylurea receptors to form ATP-sensitive K<sup>+</sup> channels (*KATP*). Hyperpolarisation of the cell by drugs which open KATP channels, such as nicorandil, closes voltage-gated L-type Ca<sup>2+</sup> channels and causes muscle relaxation.

 In skeletal muscle cells, sarcoplasmal Ca<sup>2+</sup> is kept low by the action of Ca<sup>2+</sup>-ATPases which sequester it in the sarcoplasmic reticulum. When an action potential depolarises the cell membrane, it opens L-type Ca<sup>2+</sup> channels that are directly bound to the ryanodine receptor (RyR1) in the sarcoplasmic reticulum. RyR1 functions as a Ca<sup>2+</sup> release channel and cell depolarisation releases Ca<sup>2+</sup> from the sarcoplasmic reticulum to be available for muscle contraction (see Figs. 5.4 and 5.5). Unlike smooth muscle L-type Ca<sup>2+</sup> channels, these channels in skeletal muscle do not open to permit Ca<sup>2+</sup> entry into the cell. They activate RyR1 by a conformational change when the cell depolarises, and skeletal muscle will therefore contract without external Ca<sup>2+</sup>.

During muscle relaxation,  $Ca^{2+}$  is removed from the sarcoplasm into the sarcoplasmic reticulum through the action of  $Ca^{2+}$ -ATPases. In smooth and cardiac muscle, it also leaves the cell in exchange for Na<sup>+</sup> via the Na<sup>+</sup>/Ca<sup>2+</sup> exchanger (see Fig. 5.4).

There are at least five different types of transmembrane Ca<sup>2+</sup> channels. Two types of voltage-gated channels are found in myocytes and smooth muscle cells:

- Voltage-gated L-type Ca<sup>2+</sup> channels (long-acting, high-threshold-activated, slowly inactivated) are found in the cell membranes of a large number of excitable cells, including skeletal, cardiac and vascular smooth muscle. In cardiac and smooth muscle cells, Ca<sup>2+</sup> enters the cell through these channels when the cell membrane is depolarised. The skeletal, cardiac and vascular smooth muscle L-type Ca<sup>2+</sup> channels have different subunit structures.
- Voltage-gated T-type Ca<sup>2+</sup> channels (transient, low threshold-activated, fast inactivated) are found in pacemaker cells of the sinoatrial and atrioventricular nodes, and are also present in vascular smooth muscle.

Calcium channel blockers have different chemical structures, but their common action is to reduce Ca<sup>2+</sup> influx through voltage-gated L-type Ca<sup>2+</sup> channels in smooth and cardiac muscle. None of the currently available calcium channel blockers affects T-type channels to any important extent, or influence ligand-gated Ca<sup>2+</sup> channels (see Fig. 5.5).

The different types of calcium channel blockers bind to distinct receptors on the L-type Ca<sup>2+</sup> channel, producing clinically important differences in their actions.



Fig. 5.5 Contraction of the cardiac myocyte by voltage-gated and receptor-operated channels. Depolarisation during the action potential activates the voltage-gated L-type Ca<sup>2+</sup> channels and the influx of Ca<sup>2+</sup> into the cell results in myosin phosphorylation and muscle contraction. It also promotes further Ca<sup>2+</sup> release from the sarcoplasmic reticulum (*SR*) by stimulation of ryanodine receptors (*RyR*). Stimulation of the  $\beta_1$ -adrenoceptors by catecholamines activates adenylyl cyclase, and the generated cAMP binds to subunits of protein kinase A (*PKA*), which phosphorylates the L-type Ca<sup>2+</sup> channels, increasing their opening time and facilitating Ca<sup>2+</sup> entry. The L-type Ca<sup>2+</sup> channels can also be activated by other pathways, such as phospholipase C-dependent signalling triggered by agonism of  $\alpha_1$ -adrenoceptors (not shown). The activity of the voltage-gated L-type Ca<sup>2+</sup> channels can therefore be reduced directly by calcium channel blockers or indirectly by antagonists of  $\beta_1$ -adrenoceptors or other receptors. +, Stimulates activity; –, inhibits activity; *ATP*, adenosine triphosphate; *cAMP*, cyclic adenosine monophosphate.

The receptor for verapamil is intracellular, whereas diltiazem and the dihydropyridines (e.g. nifedipine, amlodipine) have extracellular binding sites; however, the receptor domains for verapamil and diltiazem overlap. Verapamil and diltiazem exhibit frequencydependent receptor binding and gain access to the Ca<sup>2+</sup> channel when it is in the open state. In contrast, the dihydropyridines preferentially bind to the channel in its inactivated state. As more Ca<sup>2+</sup> channels are in the inactive state in relaxed vascular smooth muscle than in cardiac muscle, dihydropyridines selectively bind to Ca<sup>2+</sup> channels in vascular smooth muscle. These receptor binding characteristics account for the relative vascular selectivity of the dihydropyridines and for the antiarrhythmic properties of verapamil and diltiazem (see Chapter 8).

Calcium channel blockers produce a number of effects that are important in the treatment of angina:

• Peripheral arterial dilation. Although all calcium channel blockers are vasodilators, dihydropyridine derivatives such as nifedipine and amlodipine are the most potent and have the greatest vascular selectivity. Arterial dilation reduces peripheral resistance and lowers blood pressure. This reduces the work of the left ventricle and therefore reduces myocardial oxygen demand. Most dihydropyridines have a rapid onset of action. A rapid reduction in blood pressure can lead to reflex sympathetic nervous system activation and tachycardia (Fig. 5.6). Amlodipine or modified-release formulations of short-acting dihydropyridines are more slowly absorbed and

gradually reduce blood pressure with little reflex tachycardia.

- **Coronary artery dilation.** Prevention or relief of coronary vasospasm improves myocardial blood flow.
- Reduced heart rate (negative chronotropic effect). Verapamil and diltiazem (but not the dihydropyridines) slow the rate of firing of the sinoatrial node and slow the conduction of the electrical impulse through the atrioventricular node (see also Chapter 8). Reflex tachycardia does not occur with these drugs, and they also slow the rate of rise in heart rate during exercise.
- Reduced cardiac contractility (negative inotropic effect). Most calcium channel blockers (but particularly verapamil) have some negative inotropic effect. Amlodipine does not impair myocardial contractility.

# **Pharmacokinetics**

Most calcium channel blockers are lipophilic compounds with similar pharmacokinetic properties. They are almost completely absorbed from the gut lumen, but variable first-pass metabolism can limit bioavailability. Their half-lives are mostly in the range of 2 to 12 hours and modified-release formulations are commonly used to prolong their duration of action. In contrast, amlodipine is slowly absorbed and does not undergo first-pass metabolism. It is metabolised, but due to high protein binding it has a very long half-life of about 1 to 2 days. 98



**Fig. 5.6 The major sites of action of antianginal drugs.** The antianginal drugs reduce cardiac work and myocardial oxygen demand either by peripheral vasodilation (which reduces afterload and preload) or by reducing heart rate and/ or myocardial contractility. Myocardial oxygen supply may also be enhanced, either by coronary artery dilation or due to increased diastolic filling time. Nitrates, the dihydropyridine calcium channel blocker nifedipine, and the potassium channel opener nicorandil can cause reflex tachycardia due to a rapid fall in blood pressure. This is not a problem with the non-dihydropyridines diltiazem and verapamil, which concomitantly slow the heart rate. Reflex tachycardia to nifedipine can be minimised with a modified-release formulation, or a more slowly acting dihydropyridine such as amlodipine can be used.

Verapamil can also be given by intravenous injection, usually for the treatment of supraventricular arrhythmias (see Chapter 8).

### **Unwanted Effects**

- Arterial dilation can produce headache, flushing and dizziness, although tolerance often occurs with continued use. Ankle oedema, which is frequently resistant to diuretics, probably arises from increased transcapillary hydrostatic pressure. Tolerance to oedema does not occur. Vasodilatory unwanted effects are most common with the dihydropyridines and least troublesome with verapamil.
- Reduced cardiac contractility can precipitate heart failure in people with pre-existing poor left ventricular function, particularly with verapamil. Amlodipine does not depress cardiac contractility.
- Tachycardia and palpitations can arise with dihydropyridines, especially with immediate-release formulations.
- Bradycardia and heart block can occur with verapamil and diltiazem.
- Altered gut motility can occur. Constipation is most common with verapamil and less so with diltiazem. Amlodipine and other dihydropyridines can

cause heartburn by relaxing the lower oesophageal sphincter.

- Gum hyperplasia.
- Drug interactions: verapamil and diltiazem can slow the heart rate excessively if they are used in combination with other drugs that have similar effects on atrioventricular nodal conduction, such as digoxin (see Chapter 8) or β-adrenoceptor antagonists. The metabolism of many calcium channel blockers can be inhibited or accelerated by drugs that affect the hepatic cytochrome P450 enzymes.

#### **POTASSIUM CHANNEL OPENERS**

Example

nicorandil

# **Mechanism of Action**

There are many different K<sup>+</sup> channels in cell membranes (see Chapter 8, Table 8.1). Of these, the adenosine triphosphate (ATP)-inhibited  $K_{ATP}$  channels are the target for nicorandil (see Fig. 5.4).  $K_{ATP}$  channels are found in many tissues but have a variety of tissue-specific subunit configurations, making targeted drug action on
the channels possible. Nicorandil opens  $K_{ATP}$  channels in vascular smooth muscle cells so that K<sup>+</sup> leaves the cell, increasing the negative potential inside the cell (hyperpolarisation). This means that the cell becomes more difficult to depolarise and the membrane voltagegated L-type Ca<sup>2+</sup> channels are less likely to open (see the previous discussion of calcium channel blockers). As less Ca<sup>2+</sup> is available to the muscle contractile mechanism, vasodilation occurs in peripheral and coronary arteries (see Fig. 5.4). The fall in blood pressure arising from peripheral vasodilation reduces myocardial oxygen demand, and preventing coronary vasospasm can improve myocardial perfusion. The enhanced K<sub>ATP</sub> channel activity may also protect myocardial cells against ischaemic injury.

Nicorandil also has a nitrate moiety and part of its vasodilator action is via generation of NO in vascular smooth muscle (see organic nitrates, noted previously). This may account for the venodilation produced by the drug, which reduces venous return and further reduces myocardial oxygen demand.

#### **Pharmacokinetics**

Nicorandil is eliminated by hepatic metabolism and has a short half-life of 1 hour. However, the tissue effects correlate poorly with the plasma concentration and the biological effect lasts up to 12 hours.

#### **Unwanted Effects**

- Arterial dilation causes headache in 25% to 50% of people, but tolerance usually occurs with continued use. Palpitation (caused by reflex activation of the sympathetic nervous system in response to a fall in blood pressure) and flushing are less common than headache.
- Dizziness.
- Nausea and vomiting.
- Skin, eye and mucosal (oral and anal) ulceration, including gastrointestinal ulcers. Nicorandil should be stopped if ulceration occurs.

#### SPECIFIC SINUS NODE INHIBITORS



#### **Mechanism of Action**

In cardiac pacemaker cells (especially the sinoatrial node), the pacemaker  $I_f$  current is responsible for spontaneous depolarisation (see Chapter 8). This is an inward current of positive ions through HCN channels that carry both Na<sup>+</sup> and K<sup>+</sup>, which are activated by the negative intracellular potential in diastole or by cyclic nucleotides. Ivabradine is a specific inhibitor of the  $I_f$  pacemaker current and its major effect is to slow the rate of firing of the sinus node. The degree of channel inhibition is use-dependent, as ivabradine binds to the

open channel from the internal side of the cell membrane. As a result, the efficacy of ivabradine increases with the frequency of channel opening and is greatest at higher heart rates. Because it acts mainly by slowing the rate of firing of the sinus node, ivabradine is ineffective in atrial fibrillation. Unlike  $\beta$ -adrenoceptor antagonists, ivabradine has no effect on myocardial contractility.

#### **Pharmacokinetics**

Ivabradine undergoes extensive first-pass metabolism in the gut wall and liver to an active metabolite. It has a short half-life of 2 hours.

#### **Unwanted Effects**

- Bradycardia; first-degree heart block. It is recommended that the resting heart rate should not be allowed to fall below 50 beats/minute.
- Ventricular ectopics.
- Headache and dizziness.
- Dose-related ocular symptoms, including phosphenes (flashes of light), stroboscopic effects and blurred vision from inhibition of the *I<sub>t</sub>* in the eye.

#### LATE SODIUM CURRENT INHIBITORS

Example ranolazine

#### Mechanism of Action

Transmembrane Na<sup>+</sup> channels are activated during the initial electrical excitation of myocardial cells, and most are inactivated during the plateau phase of the action potential. However, a small proportion of the Na<sup>+</sup> channels remain open, giving rise to a late Na<sup>+</sup> current. In hypoxic tissues this current is increased and the consequent rise in intracellular Na<sup>+</sup> concentration activates the reverse mode of the  $Na^+/Ca^{2+}$  exchanger in the cell membrane, leading to removal of Na<sup>+</sup> from the cell. This promotes intracellular Ca2+ accumulation and increased diastolic myocardial tension (see Fig. 5.4). Ranolazine attenuates the late transcellular Na+ current in ischaemic myocardial cells and reduces Ca<sup>2+</sup> accumulation. There are two potentially beneficial consequences of this effect: the lower wall tension in the ventricles reduces myocardial oxygen demand and it will also reduce compression of small intramyocardial coronary vessels, thus improving myocardial perfusion in diastole.

#### **Pharmacokinetics**

Ranolazine is extensively metabolised in the liver. It has a short elimination half-life of about 2 hours, and a modified-release formulation is used.

#### Unwanted Effects

- Nausea, dyspepsia and constipation.
- Headache, dizziness and lethargy.

• Prolongation of the QT interval on the ECG (see Chapter 8), with the potential to provoke cardiac arrhythmias if used with other drugs that have the same effect.

## MANAGEMENT OF STABLE ANGINA

The principal aims of treatment for stable angina are to relieve symptoms and to improve prognosis. Angina has a pronounced circadian rhythm and occurs most frequently in the hours after waking, so a drug given for prevention of symptoms should ideally be effective at this time. There is no evidence that control of symptoms will affect either survival or the risk of a subsequent myocardial infarction. Improvement in prognosis is achieved mainly by interventions that do not directly affect symptoms.

There are several important principles of management of stable angina:

- Lifestyle changes. Stopping smoking reduces the progression of coronary atheroma and reduces the risk of developing an acute coronary syndrome by up to 50%. It also reduces coronary vasospasm and may improve symptoms. Weight loss in obese people will also reduce symptoms by reducing cardiac work. Regular exercise improves fitness and attenuates the rise in heart rate on exercise, which will increase exercise duration before the onset of angina.
- Reduction of high blood pressure and control of diabetes mellitus will reduce progression of atheroma and lowering blood pressure also reduces myocardial work.
- Treatment of exacerbating factors for angina, such as anaemia, arrhythmias or thyrotoxicosis.
- Sublingual GTN remains the treatment of choice for an acute anginal attack. It relieves symptoms within minutes but gives only short-lived protection (20– 30 minutes). GTN can also be taken immediately before an activity that is likely to produce angina.
- Antiischaemic therapy: prophylactic antianginal drugs should be used unless anginal attacks are very infrequent. A rise in heart rate is one of the main precipitating factors for angina, and a drug that lowers heart rate such as a  $\beta$ -adrenoceptor antagonist, or a rate-limiting calcium channel blocker (verapamil or diltiazem), is first-line treatment. Ivabradine can be used if other heart rate-limiting drugs are not tolerated. Nitrates are less suitable as first-line prophylactic agents because of the risk of tolerance. If symptoms are not controlled by optimal doses of a single drug, then a combination of a  $\beta$ -adrenoceptor antagonist with a calcium channel blocker (but not verapamil) is recommended. Third-line treatments for intolerance to β-adrenoceptor antagonists or calcium channel blockers include a long-acting nitrate, ivabradine, nicorandil or ranolazine. Ranolazine can be helpful when symptomatic hypotension precludes the use of other drugs.

Symptoms, and their response to treatment, are a poor guide to the severity of coronary artery disease; quantifying the amount of ischaemic myocardium with noninvasive myocardial perfusion imaging is a more accurate predictor. A large area of ischaemic myocardium, or failure to control symptoms with two prophylactic drugs in adequate dosages, should lead to consideration of coronary angiography, with a view to revascularisation. 'Triple antianginal drug therapy' (e.g.  $\beta$ -adrenoceptor antagonist, calcium channel blocker and a long-acting nitrate) has not been shown convincingly to be better for symptom control than two agents, but such combinations may provide symptomatic benefit and should be considered if coronary revascularisation is not possible or not wanted.

- Antiplatelet therapy: low-dose aspirin reduces the risk of myocardial infarction by up to 35%. Clopidogrel is an alternative if aspirin is not tolerated, but the combination does not have any additive benefit in stable coronary artery disease (see Chapter 11).
- Lipid-lowering therapy: lowering the plasma lowdensity lipoprotein (LDL) cholesterol to less than 1.8 mmol/L by diet and a statin (see Chapter 48) reduces the risk of nonfatal myocardial infarction, cardiac death and the need for a coronary artery revascularisation procedure by about 25%.
- Angiotensin-converting enzyme (ACE) inhibitors such as ramipril (see Chapter 6) have no antianginal action but reduce the risk of myocardial infarction and death by about 15%. They are especially useful if there are comorbidities such as hypertension, diabetes mellitus, heart failure or left ventricular dysfunction.
- Revascularisation: percutaneous coronary intervention (PCI) consists of angioplasty and almost always insertion of a stent to maintain vessel patency. PCI improves symptoms, but only reduces subsequent cardiovascular death or myocardial infarction if there is a large area of ischaemic myocardium. Insertion of a coronary artery stent is followed by combination antiplatelet therapy with low-dose aspirin and clopidogrel to minimise stent thrombosis, which carries a high risk of myocardial infarction or death. Short-term use of a glycoprotein IIb/IIIa antagonist such as tirofiban or eptifibatide (see Chapter 11) at the time of angioplasty further improves outcome for high-risk procedures, and particularly in the presence of diabetes mellitus. Restenosis after angioplasty is due to intimal hyperplasia and smooth muscle proliferation encroaching on the lumen of the vessel, and usually occurs within 6 months of the procedure. Angioplasty without stenting is followed by a restenosis rate of about 40% at 6 months, which can be reduced to about 20% by the use of a bare-metal stent. Drugeluting stents, which are coated with a polymer matrix containing an antiproliferative drug such as everolimus or zotarolimus (see Chapter 38), reduce

the risk of restenosis at 6 months to about 5%. The rate of late stent thrombosis is less than 1% at 6 years for the latest generation of drug-eluting stents. The combination of aspirin and clopidogrel is used for 1 to 6 months after insertion of a stent for stable angina, after which the clopidogrel can be stopped. The optimal duration of dual antiplatelet therapy is determined by the type of stent.

 Coronary artery bypass grafting (CABG) improves long-term prognosis compared with medical treatment in people with a left mainstem coronary artery stenosis, and in those with 'triple vessel disease' (significant stenoses of the left anterior descending, left circumflex and right coronary arteries) who have impaired left ventricular function. In less severe disease it is used for symptom relief when angioplasty is not possible.

#### MANAGEMENT OF ACUTE CORONARY SYNDROMES

## EARLY MANAGEMENT OF NON-ST ELEVATION ACUTE CORONARY SYNDROMES (NSTE-ACS)

Acute coronary syndromes require urgent treatment even if there is no ECG evidence of myocardial infarction at presentation. Unstable angina, if left untreated, progresses in about 10% of cases to myocardial infarction or death. Initial management of an acute coronary syndrome is determined by the ECG. In the absence of ST-segment elevation on the ECG, management is based on the assumption that a myocardial infarction has occurred, until the result of a sensitive marker of myocardial damage such as plasma troponin I or T is obtained. A rise in one of these markers will differentiate NSTEMI from unstable angina. If there is no evidence of myocardial damage and the ECG does not show ischaemic changes, then the risk of subsequent myocardial infarction or sudden death is lower. It is recommended that a risk scoring system (such as the GRACE risk score) is used to predict 6-month and 3-year mortality. For all people with NSTE-ACS, routine coronary angiography with a view to revascularisation is recommended if the 6-month mortality is predicted to be greater than 3%.

 Initial treatment is with sublingual GTN, which may reduce pain by relief of coronary artery vasospasm at the site of the arterial occlusion and increase coronary blood flow. Analgesia with an intravenous opioid such as morphine (see Chapter 19), together with an antiemetic, is used to treat pain that does not settle with three doses of sublingual GTN. Intramuscular injection of morphine should be avoided, as a low cardiac output and poor tissue perfusion often delay absorption. Intravenous GTN infusion can be used for refractory or recurrent ischaemia. Supplementary oxygen may be required if the arterial oxygen saturation is below 94% with the aim of maintaining it between 94% and 98% unless there is a risk of type 2 respiratory failure. If there is no hypoxaemia, oxygen should be avoided because it may increase myocardial damage.

- Antiplatelet therapy: loading doses of aspirin and clopidogrel (see Chapter 11) should be given. Ticagrelor reduces the rate of adverse vascular events more than clopidogrel, with no excess bleeding, but the reversible action on platelets means that missed doses can reduce the protection. Prasugrel can be used instead of clopidogrel if an invasive strategy with potential PCI is contemplated; it also produces a greater reduction in adverse vascular events, but at the expense of more bleeding. If an NSTEMI is confirmed, then dual antiplatelet therapy with clopidogrel (or prasugrel, or ticagrelor) and lowdose aspirin is continued for 1 year, unless the predicted 6-month risk of mortality is less than 1.5%. Dual antiplatelet therapy reduces the risk of subsequent myocardial infarction by a further 20% compared with aspirin alone. After a year, the clopidogrel, prasugrel or ticagrelor is stopped (or earlier if bleeding risk is high), but aspirin is continued indefinitely.
- Anticoagulant therapy: full anticoagulation, usually with fondaparinux (see Chapter 11), is initially used together with dual antiplatelet therapy. Fondaparinux has largely replaced low-molecularweight heparin (which carries a higher risk of bleeding), unless coronary angiography is planned within 24 hours of admission. The risk of further myocardial infarction or death within 14 days is reduced by about 60% using combined treatment with antiplatelet therapy and an anticoagulant. If early PCI is carried out, low-molecular-weight heparin combined with a glycoprotein IIb/IIIa antagonist such as tirofiban or eptifibatide (see Chapter 11) can be used. There is a higher risk of bleeding but fewer catheterrelated thromboses compared with fondaparinux. There is evidence of benefit from combining an oral anticoagulant with dual antiplatelet therapy for up to 13 months after the acute phase of ACS, but the increased risk of bleeding means that this is not widely used.
- Antiischaemic therapy: a β-adrenoceptor antagonist is the first-choice antianginal treatment and can reduce ischaemic events after presentation with unstable angina. A heart rate-limiting calcium channel blocker, such as verapamil or diltiazem, can be used if a β-adrenoceptor antagonist is contraindicated or not tolerated. If further treatment is needed, then a dihydropyridine calcium channel blocker such as nifedipine, or nicorandil, or a nitrate can be used with a β-adrenoceptor antagonist. Apart from β-adrenoceptor antagonists, antianginal drugs do not improve prognosis in unstable angina.
- Revascularisation: in the acute phase of an NSTEMI, early angiography followed by PCI or CABG will reduce the risk of reinfarction or death by about

40%. If there is no evidence of myocardial infarction using serum biomarkers (unstable angina), then angiography should be undertaken with a view to revascularisation if symptoms do not settle with conservative treatment or if the predicted 6-month mortality is greater than 3%.

## EARLY MANAGEMENT OF ST-SEGMENT ELEVATION MYOCARDIAL INFARCTION

The presence of ST-segment elevation on the ECG usually heralds a more extensive myocardial infarction (STEMI). Early opening of the occluded artery to reperfuse the myocardium limits the extent of myocardial damage and improves long-term outcomes. Reperfusion should be considered if there is characteristic ST-segment elevation in two or more contiguous leads or left bundle branch block on the ECG and a good history of acute myocardial infarction. In the latter situation, an acute myocardial infarction cannot be easily diagnosed from the ECG, but mortality is high. The greatest reduction in mortality is achieved in people at highest risk of death (i.e. anterior infarcts rather than inferior), older people (>65 years of age) and those with a presenting systolic blood pressure below 100 mm Hg. Reperfusion therapy significantly reduces mortality if undertaken within 12 hours of the onset of pain, but the survival advantage is greater the earlier treatment is undertaken.

- Analgesia and oxygen may be given as described previously for unstable angina/NSTEMI. An intravenous β-adrenoceptor antagonist can be given to reduce cardiac work, especially if there is hypertension, but should be avoided if there are signs of heart failure.
- Revascularisation: 'Primary' PCI (coronary angioplasty, with insertion of a drug-eluting stent) to open the infarct-related artery is the treatment of choice for reperfusion in STEMI if angiography can be started within 120 minutes of presentation. There is a greater reduction in mortality than using thrombolytic therapy to achieve reperfusion. If the delay to primary PCI is likely to be more than 120 minutes, thrombolysis is recommended. 'Rescue' PCI can then be considered if there has been failure to reperfuse the infarct-related vessel. Anticoagulation with low-molecular-weight or unfractionated heparin (see Chapter 11) is given at the time of primary PCI, in addition to dual oral antiplatelet therapy (aspirin with clopidogrel, prasugrel or ticagrelor). Glycoprotein 11b/111a inhibitors are not routinely recommended but can be used if there is a high thrombus burden. A combination of aspirin with an oral ADP receptor antagonist (either prasugrel, ticagrelor or clopidogrel; see Chapter 11) should be continued for at least 12 months after a STEMI, especially if a drug-eluting stent has been inserted.
- Thrombolytic therapy: natural fibrinolysis can be enhanced by an intravenous fibrinolytic (thrombolytic)

## Box **5.2** Complications After Myocardial Infarction.

Heart failure
Cardiogenic shock
Cardiac rupture
Free wall rupture
Ventricular septal defect
Arrhythmias
Ventricular fibrillation
Ventricular tachycardia
Supraventricular tachycardias
Sinus bradycardia and heart block
Pericarditis
Intracardiac thrombus

drug (see Chapter 11) to rapidly reperfuse the occluded artery and limit the size of the infarct. Treatment with thrombolytic therapy is now limited mainly to people who cannot be managed by primary PCI within 2 hours of the time a fibrinolytic drug could be given. The preferred agents are alteplase (recombinant tissue plasminogen activator, rt-PA) or a synthetic rt-PA analogue such as tenecteplase. Streptokinase is rarely used for thrombolytic therapy as it has a lower success rate for opening occluded arteries and produces symptomatic hypotension during about 10% of administrations. Alteplase and related compounds are relatively short acting, and subsequent anticoagulation reduces the risk of reocclusion of the artery. Fondaparinux (see Chapter 11) for 8 days after fibrinolysis reduces mortality and reinfarction by up to 25% more than heparin.

In addition to the management discussed previously, complications of myocardial infarction may require specific treatment (Box 5.2). These complications are less common since the introduction of primary PCI and are most often seen in the first few days after the acute event.

## SECONDARY PROPHYLAXIS AFTER MYOCARDIAL INFARCTION

The management of unstable angina after the acute episode is similar to that of stable angina. Secondary prophylaxis after myocardial infarction to reduce late mortality requires a broad-based approach. The following interventions produce independent benefits:

- Stopping smoking reduces mortality after a myocardial infarction by up to 50%.
- A Mediterranean diet reduces mortality after myocardial infarction. The addition of supplementary omega-3 fatty acids is not recommended.
- Rehabilitation programmes which include exercise reduce mortality by up to 25% and improve psychological recovery. Weight loss should be encouraged in obese people.
- Antiplatelet therapy: low-dose aspirin combined with clopidogrel, prasugrel or ticagrelor (see Chapter 11)

inhibits platelet aggregation and reduces mortality in the first few weeks when started within 24 hours of the onset of pain. Overall mortality is reduced by at least 25%. The combination is effective following both STEMI and NSTEMI. Dual antiplatelet treatment is continued for up to a year (depending on predicted event rates and bleeding risk), then lowdose aspirin alone is continued long-term.

- Antiischaemic therapy: a β-adrenoceptor antagonist started orally within 24 hours after STEMI or NSTEMI reduces both death and reinfarction by about 25%. The mechanism is unknown. The greatest benefit is seen in those at highest risk (e.g. following anterior infarction) and in those who have had serious post-infarct arrhythmias, post-infarct angina or heart failure. Treatment should be continued for at least 12 months, or indefinitely if there is left ventricular dysfunction. Heart failure should be stabilised before a  $\beta$ -adrenoceptor antagonist is given (see Chapter 7). Verapamil and diltiazem produce a small reduction in reinfarction, but do not reduce mortality. They may be detrimental if there have been symptoms or signs of heart failure. These drugs should be considered as an option only for those at high risk who cannot tolerate a  $\beta$ -adrenoceptor antagonist and who do not have significant left ventricular dysfunction. Dihydropyridine calcium channel blockers have no effect on prognosis after myocardial infarction.
- An ACE inhibitor, such as ramipril (see Chapter 6), started within 24 hours of a STEMI or NSTEMI is of greatest benefit if there is clinical or radiological evidence of heart failure, with a reduction in mortality of about 25% over the subsequent year. There is a smaller survival advantage if there is significant left ventricular dysfunction after the infarction (an ejection fraction of 40% or less) without clinical evidence of heart failure, with a 20% reduction in mortality over 3 to 5 years. ACE inhibitors reduce mortality when there is wellpreserved left ventricular function, although the absolute risk reduction is smaller. ACE inhibitors also reduce nonfatal reinfarction by an unknown mechanism. The benefits of an ACE inhibitor are greatest with high doses. Angiotensin receptor antagonists (see Chapter 6) have similar efficacy to ACE inhibitors following myocardial infarction and should be considered if an ACE inhibitor is poorly tolerated.
- Lipid-lowering therapy: a 'high intensity' statin, such as high dose atorvastatin, should be started as soon as possible after the event and continued indefinitely. The target is an LDL cholesterol less than 1.8 mmol/L, or at least 50% reduction if the baseline LDL cholesterol is 3.5 mmol/L or less.
- Anticoagulant therapy: long-term anticoagulation with warfarin or rivaroxaban (see Chapter 11) reduces mortality and reinfarction to a similar extent

to low-dose aspirin. In combination with aspirin, they produce an additional reduction in both fatal and nonfatal events, but with an increased risk of bleeding.

## SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. The increased oxygen demand produced by a rise in cardiac workload is met by an increase in coronary blood flow.
- 2. Nitric oxide (NO) causes vasodilation by increasing cAMP in vascular smooth muscle cells.
- 3. In angina, glyceryl trinitrate (GTN) increases total coronary blood flow.
- 4. Topical absorption of GTN from a transdermal patch avoids first-pass metabolism.
- 5. GTN can be taken safely with a β-adrenoceptor antagonist.
- All β-adrenoceptor antagonists have vasodilator activity.
- 7. Resting bradycardia is less likely with a  $\beta$ -adrenoceptor antagonist with PAA.
- 8. The antianginal action of amlodipine arises from its negative chronotropic and inotropic effects on the heart.
- 9. Enhancing the efflux of K<sup>+</sup> ions hyperpolarises vascular smooth muscle cells.
- 10. Ivabradine slows the heart rate and reduces myocardial contractility.
- 11. Percutaneous coronary intervention (PCI) reduces mortality after a STEMI more than thrombolytic therapy.
- 12. Drug-eluting stents reduce the risk of restenosis after angioplasty.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Identify the *least* accurate statement about angina and myocardial infarction.
  - A. Isosorbide mononitrate does not undergo firstpass metabolism.
  - B. Verapamil can reduce arterial blood pressure without causing reflex tachycardia.
  - C. Antiplatelet drugs such as tirofiban can reduce the risk of myocardial infarction in high-risk individuals with unstable angina.
  - D. Cholesterol reduction is of little benefit in reducing the risk of recurrence of myocardial infarction.
  - E. Nifedipine does not improve prognosis after myocardial infarction.
- 2. Which change to the treatment regimen would be most likely to reduce the risk of tolerance developing to isosorbide mononitrate?
  - A. Switch to its longer-acting precursor, isosorbide dinitrate.
  - B. Give GTN in addition by the sublingual route when necessary.

- C. Switch to a continuously applied transdermal GTN patch.
- D. Schedule doses so that there is a period of low plasma concentration of isosorbide mononitrate each day.
- E. Use isosorbide mononitrate together with a  $\beta$ -adrenoceptor antagonist.
- 3. Which drug combination is most likely to have an *adverse* effect on cardiac function in a person with angina?
  - A. GTN with atenolol.
  - B. Verapamil with atenolol.
  - C. Amlodipine with atenolol.
  - D. GTN with nicorandil.
  - E. GTN with low-dose aspirin.

## **CASE-BASED QUESTIONS**

Mr T.K., a 65-year-old man who works as a landscape gardener, has been having episodes of chest pain that he likened to indigestion. They were brought on by moderately strenuous exercise and relieved by rest but not by antacids. The symptoms had been present for approximately 1 year, but recently the frequency and intensity of the pains had become worse and were now occurring several times a week. He is hypertensive and his serum cholesterol is 6.6 mmol/L. He smokes 40 cigarettes per day and is overweight. He drinks about 10 units of alcohol a week. He had a good exercise tolerance during a diagnostic exercise test, but his ECG showed anterolateral ST-segment depression at peak exercise. There is no evidence of heart failure. A diagnosis of angina is made and medical treatment started.

Six months later, despite continuing medication, Mr T.K. awoke with severe chest pains and dyspnoea that was not relieved by GTN. An ECG showed an acute STEMI.

- A. How could his acute attacks of angina be treated?
- B. The frequency of his attacks requires prophylactic treatment. What options are available to reduce the frequency of anginal attacks?
- C. What other drugs could be useful to improve his prognosis?
- D. Would lifestyle changes help Mr T.K.?
- E. In unstable angina, which drug treatments would likely reduce the progression of the episodes to myocardial infarction or sudden death?
- F. What is the likely cause of the myocardial infarction?
- G. Why is it important to give reperfusion therapy as quickly as possible?
- H.Mr T.K. is given the fibrinolytic agent alteplase (recombinant tissue plasminogen activator, rt-PA) because of fears that he would get an allergic response to streptokinase. Is this justified?
- I. He is given 150 mg aspirin orally after an initial loading dose. What is the mechanism of action of low-dose aspirin, and would it have any added benefit if thrombolytic therapy is also given?

- J. Consideration is given to giving fondaparinux to Mr T.K., but this is considered unnecessary because he had been given rt-PA. Is this decision correct?
- K. Following his myocardial infarction, long-term prophylaxis was considered. Which of the following drugs would have been likely to be of benefit: an ACE inhibitor, low-dose aspirin, a β-adrenoceptor antagonist, diltiazem, verapamil or warfarin?

## **ANSWERS**

#### TRUE/FALSE ANSWERS

- 1. **True.** Increased coronary blood flow meets the increased myocardial oxygen demand, as the proportion of oxygen removed from the coronary artery blood is high (75%) even at rest.
- 2. **False.** NO vasodilates by increasing synthesis of cGMP, which reduces intracellular Ca<sup>2+</sup>. Organic nitrates and other NO donors act by the same pathway.
- 3. **False.** Although GTN can reduce coronary vasospasm or dilate collateral blood vessels, it does not increase total coronary blood flow. Its main actions are peripheral venodilation, which reduces venous return (preload), and reduced peripheral resistance (afterload), both of which reduce cardiac work and oxygen demand.
- 4. **True.** GTN taken orally undergoes extensive firstpass metabolism due to delivery to the liver in the hepatic portal circulation; this is avoided by transdermal or sublingual administration, from which the drug gains direct access to the systemic circulation.
- 5. **True.** The main antianginal action of  $\beta$ -adrenoceptor antagonists is to reduce myocardial oxygen demand by reducing heart rate and contractility. They thus reduce the reflex tachycardia that may occur with GTN and other nitrates.
- 6. **False.** Blockade of  $\beta_1$ -adrenoceptors produces reflex vasoconstriction, but some  $\beta$ -adrenoceptor antagonists produce peripheral vasodilation by partial agonism at  $\beta_2$ -adrenoceptors (e.g. pindolol), by  $\alpha_1$ -adrenoceptor blockade (e.g. carvedilol) or by activation of NO synthesis (e.g. nebivolol).
- 7. **True.** Drugs with partial agonist (intrinsic sympathomimetic) activity at  $\beta_1$ -adrenoceptors produce less bradycardia at rest, but may be less effective than full antagonists in reducing heart rate on exertion.
- 8. **False.** Dihydropyridine calcium channel blockers mainly reduce myocardial oxygen demand by reducing peripheral arterial resistance and venous return; they do not reduce heart rate and amlodipine does not reduce myocardial contractility.
- True. Potassium channel openers such as nicorandil hyperpolarise vascular myocytes by enhancing K<sup>+</sup> efflux; this closes voltage-gated L-type Ca<sup>2+</sup> channels, leading to smooth muscle relaxation.

- 10. **False.** Ivabradine slows the heart by a direct action at the sinoatrial node without effect on myocardial contractility, unlike  $\beta$ -adrenoceptor antagonists.
- 11. **True.** PCI with stent insertion within 120 minutes of a STEMI is preferred to thrombolytic therapy and can also be used when thrombolysis has failed.
- 12. **True.** Stents coated with polymers that elute an antiproliferative drug (e.g. everolimus) can reduce restenosis compared with bare-metal stents.

## **OBA ANSWERS**

- 1. **Answer D** is the least accurate statement.
  - A. Correct. Isosorbide mononitrate can therefore give a more predictable response of greater duration than the dinitrate.
  - B. Correct. Unlike the dihydropyridines, verapamil also acts on the heart and reflex tachycardia does not occur.
  - C. Correct. Platelet inhibition with tirofiban, an intravenous glycoprotein IIb/IIIa antagonist, reduces the risk of myocardial infarction or death in those at high risk (see also Chapter 11). These agents are most effective after an NSTEMI.
  - D. Incorrect. Cholesterol reduction to less than 4.0 mmol/L should be attempted and statins reduce reinfarction and cardiac death by 25% to 30% (see Chapter 48).
  - E. Correct. Dihydropyridine calcium channel blockers do not improve prognosis after myocardial infarction. Verapamil and diltiazem produce a small reduction in reinfarction, but do not reduce mortality and may be detrimental if there are signs of heart failure.
- 2. **Answer D** is correct. The only way to reduce tolerance is to allow daily periods with low plasma concentrations of organic nitrate. Tolerance will develop to all the organic nitrates independent of the route of administration if plasma concentrations remain high continuously; answers A–C would therefore increase the risk of tolerance. A  $\beta$ -adrenoceptor antagonist (answer E) will reduce reflex tachycardia but not the development of tolerance.

## 3. Answer B is correct.

- A. Incorrect. Atenolol prevents reflex tachycardia caused by the nitrate.
- B. **Correct**. Verapamil and atenolol both have a negative inotropic effect and this could be a problem, particularly if there are signs of heart failure. They also have a negative chronotropic effect and the combination can cause severe bradycardia and heart block.
- C. Incorrect, although amlodipine and atenolol might cause excessive hypotension.
- D. Incorrect. Nicorandil does not have a direct effect on the heart.
- E. Incorrect. Aspirin will reduce the risk of myocardial infarction.

## CASE-BASED ANSWERS

- A. Sublingual GTN is the first-choice drug for rapid relief of Mr T.K.'s acute anginal attacks, although protection is only short-lived.
- B. For prophylaxis, a  $\beta$ -adrenoceptor antagonist is often the treatment of first choice, or a calcium channel blocker if a  $\beta$ -adrenoceptor antagonist is contraindicated. If symptoms are not well controlled with either agent alone, a combination of both drugs, or the addition of a long-acting nitrate could be used, but the benefit of triple therapy is not convincing. Dual therapy with atenolol and diltiazem could significantly decrease the number of anginal attacks compared with either drug alone, and will also lower blood pressure and heart rate, which are precipitating factors for angina. The combination should be carefully monitored, however, because of the risk of compounding bradycardia or heart failure.
- C. Additional therapy to improve prognosis includes low-dose aspirin or a statin drug to lower plasma cholesterol, both of which have been shown to reduce the risk of subsequent myocardial infarction.
- D. Smoking, lack of exercise and obesity are all risk factors for coronary heart disease. T.K. is exposed to these increased risks and should address them by lifestyle changes.
- E. The most consistent evidence is for combined use of aspirin with a  $\beta$ -adrenoceptor antagonist.
- F. The most likely cause is coronary artery occlusion at the site of a ruptured atheromatous plaque, causing myocardial necrosis.
- G. The benefit of reperfusion therapy is strongly dependent upon the delay between symptoms and administration. The benefit is greatest within 6 hours from the onset of pain, but there is good evidence for benefit until at least 12 hours. If angiography can be started within 2 hours of presentation, PCI (coronary angioplasty with stent) produces a greater reduction in mortality than thrombolytic therapy. PCI may also be used for rescue if fibrinolysis fails.
- H. Allergic reactions to streptokinase are extremely rare. Mr T.K. had not had a previous myocardial infarction and had not previously been given streptokinase, so would be unlikely to have high titres of streptokinase-neutralising antibodies. It would therefore be safe to give him streptokinase unless he had severe symptomatic hypotension, but alteplase or an rt-PA derivative is usually preferred.
- I. Low doses of aspirin selectively reduce production of the platelet-aggregating agent thromboxane A<sub>2</sub> by platelets, while having less effect on the production of the antiaggregating agent prostacyclin (prostaglandin I<sub>2</sub>) from endothelial cells. Aspirin (combined with clopidogrel or ticagrelor) and fibrinolytic therapy have an additive benefit for treating acute myocardial infarction, reducing subsequent reinfarction or death.

- J. The decision is incorrect. Giving fondaparinux (or heparin) is necessary to prevent reocclusion of the artery, as rt-PA is short-acting.
- K. ACE inhibitors (or angiotensin II receptor antagonists), low-dose aspirin,  $\beta$ -adrenoceptor antagonists and warfarin all reduce mortality and the risk of reinfarction. The  $\beta$ -adrenoceptor antagonist will need to be given under close observation, as it carries a risk of worsening heart failure. Verapamil and diltiazem do not reduce mortality and may be detrimental in people who have signs of heart failure. Warfarin (or rivaroxaban) reduces reinfarction and mortality to a similar extent as low-dose aspirin, and additional reductions are seen in combination with low-dose aspirin, but with a greater risk of bleeding.

#### **FURTHER READING**

#### **STABLE ANGINA**

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#### **ACUTE CORONARY SYNDROMES**

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#### Compendium of Drugs Used to Treat Ischaemic Heart Disease.

DRUG	CHARACTERISTICS
Nitrates	
Used for treatment of angina a	and in the management of heart failure.
Glyceryl trinitrate	Given sublingually, buccally (as aerosol spray), topically (transdermal patch) or as an intravenous infusion. Very short half-life (<5 min).
Isosorbide dinitrate	Short-lived prodrug of isosorbide mononitrate. Given sublingually, orally (as normal or modified-release tablets), or by intravenous infusion.
lsosorbide mononitrate	Given orally for prophylaxis of angina, and in congestive heart failure; also available in modified- release formulations.
<b>Beta-Adrenoceptor Antagonists</b>	
Beta-adrenoceptor antagonis hypertension, chronic heart f Some have partial agonist a indicated. Water-soluble dru antagonists are listed here i	ts are used in a wide variety of indications in addition to ischaemic heart disease, including allure and arrhythmias, and also in anxiety, glaucoma, migraine prophylaxis and phaeochromocytoma. activity (PAA) or other properties such as NO donation. All are given orally unless otherwise ugs are less likely to enter the brain. For completeness, all oral or parenteral β-adrenoceptor irrespective of their licensed clinical uses.
Acebutolol	β1-Adrenoceptor-selective. Used for angina, hypertension (see Chapter 6), and arrhythmia (Chapter 8). Given orally.
Atenolol	β <sub>1</sub> -Adrenoceptor-selective. Water-soluble; long-acting drug. Given orally, or by intravenous injection or infusion. Combination formulations available with nifedipine, and with chlortalidone or other diuretics (see Chapter 14).
Betaxolol	$\beta_1$ -Adrenoceptor-selective. Given topically for glaucoma (see Chapter 50).
Bisoprolol fumarate	β1-Adrenoceptor-selective (but cardioselectivity less than atenolol). Long-acting drug. Given orally for hypertension and as an adjunct in heart failure.
Carvedilol	Nonselective $(\beta_1/\beta_2)$ adrenoceptor antagonist; also vasodilates due to $\alpha_1$ -adrenoceptor antagonism. Given orally for hypertension, angina and as an adjunct in heart failure.
Celiprolol	$\beta_1$ -Adrenoceptor-selective; also vasodilates due to partial $\beta_2$ -adrenoceptor agonism and weak $\alpha_1$ -adrenoceptor antagonism. Water-soluble; long-acting drug. Given orally for hypertension.
Esmolol	β1-Adrenoceptor-selective. Available only for intravenous use, for termination of supraventricular arrhythmias. Very short half-life (9 min).
Labetalol	$\beta_1$ -Adrenoceptor-selective; also vasodilates due to $\alpha_1$ -adrenoceptor antagonism. Given orally for hypertension, or by intravenous injection or infusion for hypertensive emergencies or for controlled hypotension during surgery.
Metoprolol	β <sub>1</sub> -Adrenoceptor-selective (but cardioselectivity less than atenolol). Used for hypertension, angina, arrhythmia and migraine. Given orally or by intravenous injection or infusion.

## Compendium of Drugs Used to Treat Ischaemic Heart Disease—cont'd.

DRUG	CHARACTERISTICS
Nadolol	Nonselective ( $\beta_1/\beta_2$ ) adrenoceptor antagonist. Water-soluble; long-acting drug. Given orally for hypertension, angina, arrhythmia and migraine.
Nebivolol	β <sub>1</sub> -Adrenoceptor-selective; also vasodilates by generation of NO. Given orally for hypertension and as adjunct in chronic heart failure.
Pindolol	Nonselective ( $\beta_1/\beta_2$ ) adrenoceptor antagonist; also vasodilates due to partial $\beta_2$ -adrenoceptor agonist activity. Used orally. Also available in combination with clopamide (diuretic).
Propranolol	Nonselective (β <sub>1</sub> /β <sub>2</sub> ) adrenoceptor antagonist and lipid-soluble. Used for hypertension, angina, arrhythmias, hypertrophic cardiomyopathy, anxiety, thyrotoxicosis, essential tremor, migraine prophylaxis, prevention of variceal bleeding. Given orally or by intravenous injection.
Sotalol	Nonselective (β <sub>1</sub> /β <sub>2</sub> ) adrenoceptor and K <sup>+</sup> channel antagonist. Water-soluble. Used mainly as Class III antiarrhythmic drug (see Chapter 8). Given orally.
Timolol	Nonselective ( $\beta_1/\beta_2$ ) adrenoceptor antagonist; given orally, alone or in combination formulations with diuretics (amiloride and/or a thiazide), for hypertension. Also used in glaucoma topically alone, or in combination with an $\alpha_2$ -adrenoceptor antagonist, a carbonic anhydrase inhibitor or a prostaglandin $F_{2\alpha}$ agonist (see Chapter 50).
Calcium Channel Blockers	
Indications include angina, hyp Chapters 6, 8, 9 and 10). A	pertension, Raynaud's phenomenon, arrhythmias and subarachnoid haemorrhage (see Il are given orally unless stated otherwise.
Dihydropyridines	
Dihydropyridines have less eff have no antiarrhythmic activ blood pressure and reflex ta	ect on the myocardium than verapamil and have little or no detrimental effect in heart failure. They vity. Given orally unless otherwise stated. Modified-release formulations reduce fluctuations in achycardia and are preferred for the treatment of angina and hypertension.

Amlodipine	Similar to nifedipine, but longer duration of action and used once daily; no negative inotropic action. Given orally. Also available in formulations with valsartan, or with olmesartan, or with olmesartan and hydrochlorothiazide.
Felodipine	Similar to nifedipine, but longer duration of action and used once daily. Given orally. Also available in formulation with ramipril.
Lacidipine	Given orally, only in hypertension.
Lercanidipine	Given orally, only in hypertension. Long duration of action (24 hours).
Nicardipine	Similar to nifedipine, but probably even less effect on myocardial contractility. Modified-release formulation also available. Given orally for angina and mild-moderate hypertension and intravenously in hypertensive emergencies.
Nifedipine	Dilates peripheral and coronary arteries with little effect on myocardium and no antiarrhythmic activity. Given orally. Modified-release formulations available; liquid capsule has rapid onset of action. Also available in combination with atenolol.
Nimodipine	Increased selectivity for cerebral arteries. Used to prevent ischaemic neurological deficits following subarachnoid haemorrhage. Given orally or by intravenous infusion.
Nondihydropyridines	
In contrast to dihydropyridines arrhythmias but are less effe	, these calcium channel blockers are also used for treatment and prevention of supraventricular ective for Raynaud's phenomenon. Modified-release formulations are available.
Diltiazem	Reduces heart rate and has some negative inotropic effect. Modified-release formulations available. Given orally in angina and hypertension; should be avoided in heart failure.
Verapamil	Reduces heart rate with marked negative inotropic effect. Modified-release formulations available. Used in angina, hypertension and arrhythmia; should be avoided in heart failure. Given orally or by slow intravenous injection.
Potassium Channel Activators	
Nicorandil	Vasodilates by hyperpolarising vascular smooth muscle; also acts as a nitrate (NO donor). Given orally for angina.
Specific Sinus Node Inhibitor	
Ivabradine	Used for angina, and with other drugs for chronic heart failure. Given orally.
Late Sodium Current Inhibitor	
Ranolazine	Used as adjunctive treatment for patients inadequately controlled by, or intolerant to, other antianginal drugs. Given orally

Chapter Outline

# Systemic and Pulmonary Hypertension

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## SYSTEMIC HYPERTENSION

The cause of systemic hypertension in the majority of people is unknown (essential hypertension), with a complex interplay between genetic and environmental influences. Abnormal regulation of the physiological mechanisms that normally control arterial blood pressure (BP) may be an important factor. A small number of people with hypertension have an identifiable underlying cause (secondary hypertension).

## CIRCULATORY REFLEXES AND THE CONTROL OF SYSTEMIC BLOOD PRESSURE

Systemic BP is determined by the cardiac output (CO) and total peripheral resistance (TPR).

$$BP = CO \times TPR$$

BP is maintained within fairly narrow limits due to modulation by a series of physiological reflexes. These are triggered by both acute and chronic changes in BP, and function as both short-term and long-term control mechanisms. Important regulatory systems responsible for these actions include:

- the autonomic nervous system,
- the renin-angiotensin-aldosterone system, •
- local chemical mediators at the vascular endo-• thelium.

The autonomic nervous system regulates arterial BP in several ways.

In the heart, the sympathetic nervous system acts mainly through  $\beta_1$ -adrenoceptors to increase myocardial contractility and heart rate, generating a greater CO and increasing BP (see Chapter 4). The parasympathetic nervous system acts through muscarinic receptors to reduce the heart rate and therefore decreases CO and BP.

- In arterial resistance vessels, sympathetic nervous stimulation produces arteriolar vasoconstriction through stimulation of postsynaptic  $\alpha_1$ -adrenoceptors. Arteriolar vasoconstriction raises BP, but also increases the afterload on the heart. CO is maintained by an increase in cardiac contractility via  $\beta_1$ -adrenoceptors.
- In venous capacitance vessels, sympathetic stimulation of postsynaptic  $\alpha_1$ -adrenoceptors produces venous constriction. This increases venous return to the heart (preload), raises CO and increases BP (see Chapter 7).

The autonomic nervous system is normally responsible for short-term modulation of BP. Change in systemic BP is detected by baroreceptors (stretch receptors) in the aorta and carotid arteries. When BP rises, stretch of the baroreceptors increases afferent nerve impulses to the nucleus tractus solitarius (NTS), a coordinating area in the medulla which controls the autonomic outflow to the cardiovascular system (Fig. 6.1). The increase in afferent impulses inhibits sympathetic nervous system activity via the rostral ventrolateral medulla (RVLM), and increases parasympathetic nervous system activity via the vagal nucleus (VN), returning the BP to normal. The opposite occurs when BP falls.

A slower compensatory mechanism for a reduction in BP is initiated by the release of renin from the juxtaglomerular apparatus of the kidney (Fig. 6.2). The major stimuli leading to renin release are reduced renal perfusion pressure, decreased Na<sup>+</sup> in the distal renal tubule and  $\beta_1$ -adrenoceptor stimulation. Renin is a protease that acts on circulating renin substrate (angiotensinogen) to release the decapeptide angiotensin I. This is further cleaved by angiotensin-converting enzyme (ACE) to the octapeptide angiotensin II. There are several additional enzymatic pathways for generating angiotensin II that do not involve ACE (see Fig. 6.6).

Angiotensin II acts on various tissues via specific angiotensin  $AT_1$  and  $AT_2$  receptors (see Fig. 6.2). Its action at the  $AT_1$  receptor produces vasoconstriction, and also enhances sympathetic nervous tone by facilitating presynaptic neuronal release of noradrenaline (NA) and through stimulation of central sympathetic outflow. Angiotensin II has a number of additional properties which promote salt and water retention (Fig. 6.3), one of the most important being the release of aldosterone



Fig. 6.1 The role of baroreceptors in regulating blood pressure. Increased blood pressure (BP) increases neural discharge from the baroreceptors (stretch receptors) in the carotid sinus and aortic arch, resulting in a compensatory inhibition in sympathetic outflow from the rostral ventrolateral medulla (*RVLM*) and an increase in the parasympathetic outflow from the cardioinhibitory vagal nucleus (*VN*). Both effects act to lower BP, which is also influenced by control from higher centres acting at the nucleus of the tractus solitarius (*NTS*). See Fig. 6.7 for sites of action of centrally acting antihypertensive drugs. +, Stimulation; –, inhibition.

from the adrenal cortex. Aldosterone acts at the distal renal tubule to conserve salt and water at the expense of K<sup>+</sup> loss (see Chapter 14). Therefore angiotensin II and aldosterone raise BP by a combination of vasoconstriction and increasing circulating blood volume. Angiotensin II has additional actions at the  $AT_2$  receptor that oppose some of those at the  $AT_1$  receptor (see Fig. 6.2).

The integration of the fast-responding sympathetic nervous system and the slower-responding renin–angiotensin–aldosterone system in response to a fall in BP is shown in Fig. 6.3.

Additional mechanisms involved in controlling vascular tone and blood volume include circulating or local endothelial hormones and metabolites, such as natriuretic peptides, antidiuretic hormone, prostaglandins, bradykinin, nitric oxide (NO), endothelin and adenosine.

#### AETIOLOGY AND PATHOGENESIS OF SYSTEMIC HYPERTENSION

There is no absolute cut-off between normal and high BP. BP in all populations is normally distributed with a slight skew because of a small number of individuals with very high BP. The risk of cardiovascular events attributable to BP is also a continuous variable, increasing as BP rises. Defining a point above which BP is 'high' is therefore arbitrary, but it is usually set at values greater than 140/90 mm Hg. Using this definition, hypertension is a common condition found in 20% to 30% of the population of the developed world, with the prevalence increasing with age.

Hypertension is usually characterised by increased peripheral arterial resistance, which arises from arteriolar smooth muscle constriction and muscle cell hypertrophy, leaving a smaller vessel lumen and an increase in the wall-to-lumen ratio (vascular remodelling). CO is often normal in younger people with hypertension but is usually reduced in the elderly.



**Fig. 6.2 Formation and actions of angiotensin II.** Angiotensin II acts on angiotensin type 1 ( $AT_1$ ) and type 2 ( $AT_2$ ) receptors. Current therapeutic drugs act predominantly to block  $AT_1$  receptors. The number of  $AT_2$  receptors is low relative to that of  $AT_1$  receptors but increases in pathological conditions. *ACE*, Angiotensin converting enzyme; *ADH*, antidiuretic hormone; *BK*, bradykinin; *NO*, nitric oxide; *ROS*, reactive oxygen species.



Fig. 6.3 The control of blood pressure via the sympathetic and renin–angiotensin–aldosterone systems. A fall in cardiac output or blood pressure (BP; yellow box) produces relatively rapid responses mediated by increased sympathetic activity and slower responses mediated by renin–angiotensin–aldosterone mechanisms. The outcomes are increased cardiac stimulation, increased arterial constriction and increased venous return, restoring BP. AT., Angiotensin II type 1 receptor.

The cause of the inappropriately raised peripheral resistance is unknown in about 95% of people with hypertension, who are said to have 'essential hypertension'. Essential hypertension probably has a polygenic inheritance, leading to several clinical subtypes with different underlying pathogenic mechanisms. Environmental influences and factors such as diet, level of exercise, obesity and alcohol intake all interact with the genetic predisposition to determine the final level of BP. There is evidence that reduced renal Na<sup>+</sup> excretion plays a central role in the pathogenesis of essential hypertension, and the kidney requires a higher-thannormal BP to maintain a normal extracellular fluid volume. However, the functional disturbance in essential hypertension is much more widespread than the kidney, with cell membrane abnormalities found in many organs.

Isolated systolic hypertension (systolic BP > 160 mm Hg, diastolic BP < 90 mm Hg), usually found in older people, is the consequence of stiffening of large 'conductance' arteries. These vessels normally expand to accommodate the blood expelled from the heart in systole, which slows the pulse wave and increases the time taken for it to reach the peripheral resistance vessels. Blood cannot pass through these resistance vessels as quickly as it can through conductance vessels, and therefore, the pulse wave is reflected back from the peripheral vessels. In the healthy circulation, this reflected wave arrives in diastole, and supports the central diastolic BP and therefore coronary artery perfusion. If the compliance of the large conductance arteries is reduced, then the pulse wave reaches the peripheral vessels early and is reflected back in systole. This increases systolic BP and reduces diastolic

Table <b>6.1</b>	Principal Causes of Secondary Hypertension.
	CAUSES
Renal	Renal artery stenosis, glomerulonephritis, interstitial nephritis, arteritis, polycystic disease, chronic pyelonephritis
Endocrine	Conn's syndrome (aldosterone excess), Cushing's syndrome (glucocorticoid excess), phaeochromocytoma (catechol- amine excess), acromegaly
Pregnancy	Preeclampsia and eclampsia
Drugs	Oestrogen, corticosteroids, NSAIDs, ciclosporin

NSAIDs, Nonsteroidal antiinflammatory drugs.

pressure. In isolated systolic hypertension, coronary artery perfusion can be impaired, whereas cardiac work is increased.

A secondary underlying cause of high BP, which often has a renal or endocrine origin, can be identified in about 5% of people with hypertension (Table 6.1).

### **CONSEQUENCES OF HYPERTENSION**

Hypertension does not usually cause symptoms but produces progressive structural changes in the heart and circulation. The principal clinical complications of hypertension are ischaemic heart disease (especially in middle-aged Europeans and Americans), and cerebrovascular disease (especially in Asians and older people) which usually presents as thromboembolic stroke or, less commonly, as cerebral haemorrhage (see Chapter 9). Ischaemic complications of hypertension

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Fig. 6.4 Complications of hypertension. Hypertension causes vascular lesions and damage throughout the body.

are more likely to occur if it is accompanied by hypercholesterolaemia, diabetes mellitus or smoking.

The underlying reasons for the complications of hypertension include accelerated formation of atheromatous plaques in many parts of the arterial circulation and development of microaneurysms on intracerebral blood vessels. Sustained hypertension predisposes to left ventricular muscle hypertrophy (LVH). LVH is an independent risk factor for the complications of hypertension, particularly ischaemic heart disease (as the muscle outgrows its blood supply), heart failure with preserved ejection fraction (see Chapter 7) and arrhythmias leading to sudden death (see Chapter 8). The cardiovascular changes and consequent clinical complications of hypertension are often referred to as 'target organ damage'. The underlying vascular lesions that occur in hypertension and their resulting complications are shown in Fig. 6.4.

Sometimes BP is raised only when the measurement is taken by a doctor or, to a lesser extent, by a nurse. This phenomenon is termed *white coat* or *office* hypertension and appears to carry little short-term risk of complications, but can eventually lead to sustained hypertension. Hypertension should therefore be confirmed by ambulatory 24 hours BP monitoring or home BP monitoring, unless target organ damage gives a clear indication that treatment is necessary. White coat hypertension often persists despite drug treatment, which can then result in quite troublesome *hypotension* away from the surgery or clinic. There is also a phenomenon of 'masked' hypertension, when BP is normal in the clinic but high at home. This appears to carry a similar risk of complications as sustained hypertension.

Accelerated or malignant hypertension is an infrequently encountered condition produced by very high BP or a rapid rise in BP. It is characterised pathologically by arterial fibrinoid necrosis. Accelerated hypertension is identified clinically by the presence in the retina of flame-shaped haemorrhages, hard exudates and 'cotton wool' spots; malignant hypertension is present when there is also papilloedema, which can lead to visual disturbance. If untreated, accelerated or malignant hypertension usually leads to death from renal failure, heart failure or stroke within 5 years.

### ANTIHYPERTENSIVE DRUGS

Not surprisingly, because the cause of hypertension is unclear, treatment cannot be directed precisely at the underlying mechanism(s). Most antihypertensive drugs are vasodilators, and they often modulate the natural hormonal or neuronal mechanisms responsible for BP regulation. Less commonly, a hypotensive action is partially achieved by reducing CO. The principal classes of antihypertensive drugs and their sites of action are shown in Table 6.2 and Fig. 6.5.

## DRUGS AFFECTING THE RENIN-ANGIOTENSIN SYSTEM

## Angiotensin-converting enzyme (ACE) inhibitors

## Examples

enalapril, lisinopril, ramipril

# Table 6.2Sites of Action of the Principal Classes of<br/>Drugs Used for Systemic Hypertension.

SITES OF ACTION	DRUGS
Hormonal	ACE inhibitors
control (renin– angiotensin	Angiotensin II receptor (AT <sub>1</sub> ) antagonists
system)	Direct renin inhibitors
Vasodilation	Diuretics
by other mechanisms	Calcium channel blockers
	Potassium channel openers
	Hydralazine and nitrovasodilators
Sympathetic	β-Adrenoceptor antagonists
nervous system	$\alpha_1$ -Adrenoceptor antagonists
	Selective imidazoline I, receptor agonists
	Centrally acting $\alpha_2$ -adrenoceptor agonists

ACE, Angiotensin-converting enzyme; AT, angiotensin II type 1 receptor.

#### Mechanisms of action and uses

ACE inhibitors lower BP by several mechanisms (Figs. 6.2, 6.5 and 6.6).

- Inhibition of tissue ACE in the vascular wall is central to the hypotensive effect of these drugs (see Fig. 6.6). The reduced tissue concentration of angiotensin II leads to arterial dilation and, to a lesser extent, venous dilation. Angiotensin II production is not completely inhibited owing to alternative pathways for its generation by proteases, including chymase and chymotrypsin-like angiotensin II-generating enzyme (CAGE). During ACE inhibition, the activity in these pathways is enhanced due to stimulation of renin release by the fall in BP.
- Competitive inhibition of plasma ACE reduces generation of circulating angiotensin II and consequently reduces the release of aldosterone (see Fig. 6.6).
- Reduction in angiotensin II-mediated potentiation of the sympathetic nervous system (see Figs. 6.2 and 6.3), which also prevents reflex tachycardia.
- Angiotensin II is implicated in the development of arterial remodelling and LVH in hypertension. ACE inhibitors are highly effective in producing regression of LVH and may have a similar action on the arterial wall, reducing peripheral resistance.
- ACE also degrades other peptides, including the vasodilator bradykinin (see Fig. 6.6). ACE inhibitors



Fig. 6.5 The main classes of drugs for systemic hypertension and their sites of action. ACE, Angiotensin converting enzyme;  $AT_{\eta}$ , angiotensin II type 1 receptor.



**Fig. 6.6** The biological actions of angiotensin II and bradykinin and drugs that modify these actions. Angiotensin II causes vasoconstriction by stimulating angiotensin type 1 ( $AT_{,1}$ ) receptors in the blood vessels and causes Na<sup>+</sup> retention by stimulating AT<sub>1</sub> receptors in the adrenal cortex, which results in aldosterone release. Bradykinin causes vasodilation by acting on vascular smooth muscle cells and on endothelial cells. Angiotensin-converting enzyme (*ACE*) inhibitors block angiotensin II formation from angiotensin I, although alternative, non–ACE-dependent protease pathways remain that can result in some angiotensin II formation. ACE inhibitors (*ACEI*) also reduce the breakdown of bradykinin, contributing to their vasodilator effects. Angiotensin II receptor antagonists block AT<sub>1</sub> receptors in blood vessels and the adrenal cortex. Aliskiren directly inhibits the actions of renin on angiotensinogen.

## Box 6.1 Clinical Uses of Angiotensin Converting Enzyme (ACE) Inhibitors and Angiotensin II Receptor Blockers.

Treatment of hypertension (this chapter) Treatment of heart failure (see Chapter 7) Secondary prevention after myocardial infarction (see Chapter 5)

Diabetic nephropathy (see Chapter 40 and this chapter)

increase bradykinin in the vascular wall and this may contribute to their hypotensive actions.

There are many clinical uses of ACE inhibitors other than hypertension; these are listed in Box 6.1.

#### Pharmacokinetics

Many ACE inhibitors are given orally as prodrugs because the active forms are polar and poorly absorbed from the gut. The prodrugs are converted in the gut or liver to the active agent; for example, ramipril is converted to the active compound ramiprilat. In contrast, lisinopril is absorbed adequately as an active molecule. Most ACE inhibitors are excreted by the kidney with half-lives ranging from about 1 to 5 hours (ramiprilat) to about 30 to 35 hours (enalaprilat).

#### Unwanted effects

• Persistent dry cough that is not dose-related occurs in 10% to 30% of people who take ACE inhibitors. It is more common in women and can develop after many months of treatment. It may be caused by accumulation in the lungs of irritant kinins that are normally metabolised by ACE.

- Postural hypotension, which is rare unless there is salt and water depletion (e.g. as a result of therapy with diuretics). Profound hypotension can occur in such individuals, particularly after the first dose. This is rarely a problem in the treatment of hypertension but can be in the treatment of severe heart failure (see Chapter 7).
- Renal impairment, especially in those with severe bilateral renal artery stenosis who rely on angiotensin-mediated efferent glomerular arterial vasoconstriction to maintain glomerular perfusion pressure.
- Disturbance of taste (which may be permanent), nausea, vomiting, dyspepsia or bowel disturbance.
- Rashes.
- Angioedema, which is more frequent in Black people.

#### Angiotensin II Receptor Antagonists

Examples

candesartan, losartan, valsartan

#### Mechanism of action and uses

The angiotensin II receptor antagonists are selective for the  $AT_1$  receptor subtype, which is found in the heart, blood vessels, kidney, adrenal cortex, lungs and brain. They have less effect at the  $AT_2$  receptor subtype, the function of which remains unclear (see Fig. 6.2). Actions of angiotensin II via the  $AT_1$  receptor that are inhibited by these drugs include vasoconstriction, aldosterone release with salt and water retention, sympathetic nervous system stimulation, and cell growth and proliferation. Angiotensin II receptor antagonists lower BP mainly by arterial vasodilation. In contrast to ACE inhibitors, kinin degradation is unaffected by angiotensin II receptor antagonists, and inhibition of the effects of angiotensin II is more complete (see Fig. 6.6). There are many clinical uses of angiotensin II receptor antagonists other than hypertension; these are listed in Box 6.1.

#### Pharmacokinetics

Losartan is partially converted to an active metabolite, which is responsible for most of the pharmacological effects and has a longer half-life (6 hours) than the parent drug (2 hours). Losartan and its active metabolite are eliminated by the kidneys and in bile. Candesartan is given as a prodrug that is activated in the liver. It is eliminated by the kidneys and in bile and has a halflife of 10 hours. Valsartan is eliminated unchanged in the bile and has a half-life of 8 hours.

## **Unwanted effects**

Drugs in this class are usually well tolerated. Their major advantages over ACE inhibitors are the low incidence of cough, and that angioedema is rare. Unwanted effects include:

- Headache, dizziness.
- Arthralgia or myalgia.
- Fatigue.

## **Direct Renin Inhibitors**



#### Mechanism of action

Aliskiren is a selective renin inhibitor with low affinity for other proteases. It binds competitively to the active site of the enzyme and inhibits the generation of angiotensin I (see Fig. 6.6). Vasodilation is achieved by reduced angiotensin II synthesis, without the compensatory increase in plasma renin activity that occurs with an ACE inhibitor or angiotensin II receptor antagonist. Aliskiren is not widely used for the treatment of hypertension because of concerns over increased vascular events when it is combined with an ACE inhibitor or an angiotensin II receptor antagonist, especially in people with type 2 diabetes mellitus or those with renal impairment.

#### **Pharmacokinetics**

Aliskiren is metabolised in the liver and has a very long half-life of 40 hours.

## Unwanted effects

- Diarrhoea.
- Cough.
- Renal function may deteriorate when aliskiren is combined with an ACE inhibitor or an angiotensin II receptor antagonist, and especially in people with diabetes mellitus and preexisting renal impairment.
- Combination treatment with an ACE inhibitor or an angiotensin II receptor antagonist in people with diabetes mellitus may increase the risk of stroke.

#### Vasodilators Diuretics

## Examples

thiazide and thiazide-type diuretics: bendroflumethiazide, chlortalidone, hydrochlorothiazide, indapamide loop diuretic: furosemide potassium-sparing diuretic: spironolactone

Thiazide or thiazide-type diuretics are most frequently used to lower BP, but loop and potassium-sparing diuretics are used in some situations.

#### Mechanism of action in hypertension

Full details of the sites and mechanisms of action of diuretics on the kidney and their unwanted effects are considered in Chapter 14. Actions involved in lowering BP include the following:

- An initial hypotensive effect is produced by intravascular salt and water depletion. However, compensatory mechanisms such as activation of the renin–angiotensin–aldosterone system largely restore plasma and extracellular fluid volumes (see Fig. 6.3), unless salt and water retention was a major contributory factor in the aetiology of the hypertension (e.g. in advanced chronic kidney disease or fluid retention as a consequence of other antihypertensive treatment).
- Direct arterial dilation is responsible for the longerterm reduction in BP. The mechanism of vasodilation is not well understood. It may result from reduced Ca<sup>2+</sup> entry into the smooth muscle of the arteriolar resistance vessel walls, perhaps as a consequence of intracellular Na<sup>+</sup> depletion.

#### Thiazide and thiazide-type diuretics

These drugs produce their maximum BP-lowering effect at doses lower than those required for significant diuretic activity. This is an advantage as most unwanted effects are dose-related. They become less effective at low glomerular filtration rates.

#### Loop diuretics

Loop diuretics are usually less effective than thiazides in the treatment of essential hypertension. Despite having a more powerful diuretic action, their duration of action is too short. However, hypertension with advanced chronic kidney disease or hypertension resistant to multiple drug treatment are more likely to be associated with fluid retention and often respond better to a loop diuretic than to a thiazide.

#### Potassium-sparing diuretics

Spironolactone, a specific aldosterone antagonist, is particularly effective for hypertension caused by primary hyperaldosteronism. It is also very effective in the treatment of resistant hypertension. Amiloride and triamterene, which directly block distal renal tubule Na<sup>+</sup> channels (see Chapter 14), are less effective than thiazides in essential hypertension.

#### **Calcium Channel Blockers**

Examples

amlodipine, diltiazem, nifedipine, verapamil

The calcium channel blockers lower BP principally by arterial vasodilation. For clinical uses, see Box 6.2. For further details, see Chapter 5.

#### **Potassium Channel Openers**



#### Mechanism of action

Vascular smooth muscle possesses ATP-sensitive K<sup>+</sup> channels (K<sub>ATP</sub>) that are responsible for repolarisation of the cell (see also Chapter 8). Minoxidil opens K<sub>ATP</sub> channels, causing an efflux of K<sup>+</sup> which hyperpolarises the cell and leads to closure of voltage-gated Ca<sup>2+</sup> channels and muscle relaxation (see also Chapter 5). Minoxidil is one of the most powerful peripheral arterial dilators.

#### **Pharmacokinetics**

Minoxidil is mainly metabolised in the liver and has a short half-life (3–4 hours).

#### Unwanted effects

- Arterial vasodilation produces flushing and headache.
- The reflex sympathetic nervous system response to vasodilation causes tachycardia and palpitation (which can be blunted by concurrent use of an ACE inhibitor, angiotensin II receptor antagonist or β-adrenoceptor antagonist).
- Salt and water retention occurs through stimulation of the renin-angiotensin-aldosterone system

## Box 6.2 Clinical Uses of Calcium Channel Blockers.

Treatment of hypertension (this chapter) Prophylaxis of angina (see Chapter 5) Treatment of Raynaud's phenomenon (see Chapter 10) Prevention and treatment of supraventricular arrhythmias (see Chapter 8) Subarachnoid haemorrhage (see Chapter 9) (see Fig. 6.2). Along with increased transcapillary pressure from vasodilation, this can produce peripheral oedema, which can be reduced by the concurrent use of diuretics.

• Hirsutism. Minoxidil is therefore rarely used for treatment of women. Topical minoxidil is used as a treatment for male pattern baldness.

#### Hydralazine

Hydralazine is rarely used for long-term treatment of hypertension but is an important treatment for hypertension in late pregnancy (preeclampsia) as it maintains uterine blood flow.

#### Mechanism of action

The mechanism of action of hydralazine is uncertain, but it is linked to the production of nitric oxide by arteriolar vascular endothelium, leading to activation of guanylyl cyclase and the intracellular production of cGMP. This will produce smooth muscle relaxation by mechanisms similar to those of organic nitrates (see Fig. 5.3).

#### **Pharmacokinetics**

Hydralazine undergoes extensive first-pass metabolism in the gut wall and liver, principally by N-acetylation. Genetically determined slow acetylators (see Table 2.9) are more sensitive to clinical doses of hydralazine and more susceptible to some of the unwanted effects.

#### Unwanted effects

- Arterial vasodilation with reflex sympathetic activation produces tachycardia, flushing, hypotension and fluid retention.
- Headache, dizziness.
- A systemic lupus erythematosus (SLE)-like syndrome, which usually occurs after several months of treatment, is dose-related (and unusual at low doses of hydralazine) and more common in slow acetylators. It resembles the naturally occurring disease but does not produce renal or cerebral damage and is slowly reversed if treatment is stopped. A positive antinuclear antibody is found in many individuals who develop the syndrome.

## Nitrovasodilators

sodium nitroprusside

Because it must be given intravenously, the use of nitroprusside is limited to the short-term management of hypertensive emergency.

#### Mechanism of action

Nitroprusside is a nitrovasodilator; it reacts with oxyhaemoglobin in erythrocytes to produce methaemoglobin, cyanide and nitric oxide (NO). The NO gives the drug a mechanism of action similar to that of



Fig. 6.7 Sites of action of the  $\beta$ -adrenoceptor antagonists relevant to their use as antihypertensive agents. (A) In the heart, the  $\beta_1$ -adrenoceptor antagonist drugs reduce stimulation of the  $\beta_1$ -adrenoceptors by noradrenaline (*NA*) and circulating adrenaline (*ADR*). Presynaptic stimulation of  $\alpha_2$ -adrenoceptors, which inhibits noradrenaline release, still functions normally. (B) In the kidney,  $\beta_1$ -adrenoceptor blockade reduces the activity of the renin–angiotensin system. (C) Some selective  $\beta_1$ -adrenoceptor antagonists have hybrid activity: pindolol and celiprolol have intrinsic sympathomimetic activity, acting as partial agonists at  $\beta_2$ -adrenoceptors in skeletal muscle blood vessels, leading to vasodilation and reduced peripheral resistance. As partial agonists, these drugs reduce heart rate and cardiac output less than full antagonists. Nebivolol may dilate blood vessels more generally by releasing nitric oxide (*NO*). Carvedilol and labetalol also have  $\alpha_1$ -adrenoceptor antagonist activity, reducing peripheral resistance.

organic nitrates (see Chapter 5), producing dilation of arterioles and veins.

#### **Pharmacokinetics**

Nitroprusside is given by intravenous infusion and its duration of action is less than 5 minutes. The cyanide by-product is liberated from erythrocytes and reduces aerobic metabolism in tissues by inhibiting mitochondrial cytochrome oxidase; free cyanide is converted in the liver to less toxic thiocyanate, but thiocyanate accumulates with prolonged infusion. Therefore treatment with nitroprusside is usually limited to a maximum of 3 days.

## Unwanted effects

- Headache, dizziness.
- Nausea, retching, abdominal pain.
- Thiocyanate accumulation causes tachycardia, sweating, hyperventilation, arrhythmias and metabolic acidosis from inhibition of aerobic metabolism in cells.

## Drugs Acting on the Sympathetic Nervous System Beta-adrenoceptor antagonists



**Mechanism of action in hypertension**. Beta-adrenoceptor antagonists (often referred to as  $\beta$ -blockers) reduce BP in several ways (Fig. 6.7). Selective  $\beta_1$ adrenoceptor antagonists are as effective as nonselective drugs, indicating that  $\beta_2$ -adrenoceptor blockade makes little contribution. The more important actions for reducing BP are:

- Reduction of heart rate and myocardial contractility, which decreases CO.
- Antagonist action at renal juxtaglomerular  $\beta_1$ adrenoceptors, which reduces renin secretion and therefore generation of angiotensin II and aldosterone. This produces arterial vasodilation and reduces plasma volume.
- Some β-adrenoceptor antagonists have a hybrid action (such as nebivolol and labetalol; see Fig. 6.7C, and especially Chapter 5) which produces direct peripheral arterial vasodilation. Pure β-adrenoceptor antagonists such as atenolol and bisoprolol do not directly produce vasodilation.
- Blockade of presynaptic β-adrenoceptors in sympathetic neurons supplying arteriolar resistance vessels reduces the release of NA and thus attenuates reflex arterial vasoconstriction. The clinical importance of this effect is uncertain.

## Box 6.3 Clinical Uses of β-Adrenoceptor Antagonists.

Treatment of hypertension (this chapter) Prophylaxis of angina (see Chapter 5) Secondary prevention after myocardial infarction (see Chapter 5) Treatment of heart failure (see Chapter 7) Prevention and treatment of arrhythmias (see Chapter 8) Control of symptoms in thyrotoxicosis (see Chapter 41) Alleviation of symptoms in anxiety (see Chapter 20) Prophylaxis of migraine (see Chapter 26) Topical treatment of glaucoma (see Chapter 50)

Beta-adrenoceptor antagonists are no longer widely used for management of hypertension as they are less effective than many other drug classes for reducing complications of hypertension. For further details about  $\beta$ -adrenoceptor antagonists, see Chapter 5 and Box 6.3.

#### Alpha-adrenoceptor antagonists (*a*-blockers)

#### Examples

selective  $\alpha_1$ -adrenoceptor antagonists: doxazosin, prazosin nonselective  $\alpha$ -adrenoceptor antagonists: phenoxybenzamine (irreversible), phentolamine (reversible)

**Mechanisms of action and uses.** Alpha-adrenoceptor antagonists (often referred to as  $\alpha$ -blockers) lower BP by blockade of postsynaptic  $\alpha_1$ -adrenoceptors, leading to:

- dilation of arteriolar resistance vessels, which lowers peripheral resistance;
- dilation of venous capacitance vessels, which reduces venous return and therefore CO.

A fall in BP is detected by arterial baroreceptors, which initiate an increase in sympathetic discharge from the medulla that will normally cause a reflex tachycardia (see Figs. 6.1 and 6.3). However, NA released from cardiac sympathetic nerve terminals also stimulates inhibitory  $\alpha_2$ -adrenoceptors on the presynaptic sympathetic neuron (see Fig. 6.7A). Selective  $\alpha_1$ -adrenoceptor antagonists do not block the presynaptic  $\alpha_2$ -adrenoceptors on sympathetic nerve terminals, and therefore the sympathetic stimulation of the heart is attenuated and reflex tachycardia is unusual. By contrast, nonselective  $\alpha$ -adrenoceptor antagonists block both postsynaptic  $\alpha_1$ adrenoceptors and presynaptic  $\alpha_2$ -adrenoceptors, and their use is accompanied by a marked reflex tachycardia. Nonselective  $\alpha$ -adrenoceptor antagonists are rarely used in clinical practice except for the perioperative management of phaeochromocytoma.

Alpha-adrenoceptor antagonists produce a potentially beneficial effect on plasma lipids by increasing high-density lipoprotein (HDL) cholesterol and reducing triglycerides (see Chapter 48). Whether this has any relevance for the prevention of atheroma in individuals with hypertension is uncertain. Selective  $\alpha_1$ -adrenoceptor antagonists are also used to treat the symptoms of bladder outlet obstruction (see Chapter 15).

**Pharmacokinetics.** Selective  $\alpha_1$ -adrenoceptor antagonists undergo extensive first-pass hepatic metabolism. The compounds differ principally in their half-lives and, therefore, duration of action; for example, prazosin has a half-life of 3 hours, whereas doxazosin has a half-life of 9 to 12 hours. The nonselective drug phentolamine is given intravenously and has a short half-life (1.5 hours); phenoxybenzamine has a longer half-life (24 hours) and can be given orally.

#### Unwanted effects

- Postural hypotension caused by venous pooling; this can be particularly troublesome following the first dose, which should therefore generally be smaller than subsequent doses.
- Lethargy, headache, dizziness.
- Nausea.
- Rhinitis.
- Urinary frequency or incontinence.
- Palpitation from reflex cardiac stimulation with nonselective drugs.

#### Centrally acting antihypertensive drugs

Centrally acting antihypertensives are not widely used due to their unwanted effect profiles. However, methyldopa is still used during pregnancy as it has little or no teratogenic effects.

#### Selective imidazoline receptor agonists

Jul .	Example			
mox	onidine			

**Mechanism of action.** Imidazoline  $I_1$  receptors are important for the regulation of sympathetic drive (Fig. 6.8). These receptors are concentrated in the rostral ventrolateral medulla (RVLM), a part of the brainstem involved in sympathetic control of BP (see Fig. 6.1). Increased neuronal activity in the RVLM, either through baroreceptor stimulation or by direct stimulation of  $I_1$ receptors by moxonidine, will decrease sympathetic outflow, which results in a fall in BP with no reflex tachycardia. Unlike other centrally acting drugs (clonidine and methyldopa, discussed later), moxonidine has a low affinity for presynaptic  $\alpha_2$ -adrenoceptors.

**Pharmacokinetics.** Moxonidine has a short half-life (2–3 hours) but a prolonged duration of action, which may reflect its high affinity for I, receptors.

#### Unwanted effects

- Dry mouth.
- Nausea.
- Fatigue, headache, dizziness.

#### Centrally acting $\alpha_{s}$ -adrenoceptor agonists

clonidine, methyldopa



**Fig. 6.8 Mechanisms of centrally acting antihypertensive drugs.** These drugs act on the same medullary centres that respond to raised blood pressure (BP; see Fig. 6.1). Methylnoradrenaline and clonidine stimulate  $\alpha_2$ -adrenoceptors in the nucleus of the tractus solitarius (*NTS*). Moxonidine, and possibly also clonidine, act on imidazoline I<sub>1</sub> receptors in the rostral ventrolateral medulla (*RVLM*).  $\alpha_2$ ,  $\alpha_2$ -Adrenoceptors;  $I_1$ , I<sub>1</sub>-imidazoline receptors; *VN*, vagal nucleus.

Unwanted effects limit the use of centrally acting  $\alpha_2$ adrenoceptor agonists, although methyldopa is a drug of choice in the treatment of hypertension in pregnancy (described later).

**Mechanisms of action.** The  $\alpha_2$ -adrenoceptor agonists act at presynaptic inhibitory autoreceptors in the central nervous system (CNS) to reduce sympathetic nervous outflow and increase vagal outflow from the medulla (see Fig. 6.8). This produces both peripheral arterial and venous dilation.

Methyldopa is a prodrug that is metabolised in the nerve terminal as a 'false substrate' in the biosynthetic pathway for NA to produce  $\alpha$ -methyl NA, a potent  $\alpha_2$ -adrenoceptor agonist. Clonidine is a directacting  $\alpha_2$ -adrenoceptor agonist that is also an agonist at imidazoline I<sub>1</sub> receptors (discussed previously; see Fig. 6.8). Clonidine has some peripheral postsynaptic  $\alpha_1$ -adrenoceptor agonist activity. This produces direct peripheral vasoconstriction which initially offsets some of the central BP-lowering effect.

**Pharmacokinetics.** Methyldopa undergoes dosedependent first-pass metabolism. It is eliminated by hepatic metabolism and has a half-life of 1 to 2 hours. Clonidine is excreted by the kidneys and has a half-life of about 24 hours.

Unwanted effects

- Sympathetic blockade: failure of ejaculation, and postural or exertional hypotension (unusual with clonidine, owing to its direct peripheral action).
- Unopposed parasympathetic action: diarrhoea.
- Dry mouth.
- CNS effects: sedation and drowsiness occur in up to 50% of people who take methyldopa; depression is occasionally seen.

- Fluid retention with peripheral oedema.
- Methyldopa induces a reversible positive Coombs's test in 20% of people, resulting from production of IgG to red cell membrane constituents; however, haemolytic anaemia is rare.
- Sudden withdrawal of clonidine can produce severe rebound hypertension with tachycardia, sweating and anxiety.

#### TREATMENT OF SYSTEMIC HYPERTENSION

Morbidity and premature deaths associated with untreated hypertension are considerable (see Fig. 6.4) and increase with advancing age. Treatment of older people with hypertension therefore prevents more events in the short-term than treating a similar number of younger people. However, early treatment will prevent vascular damage occurring in the younger individuals with hypertension – an important consideration because the vascular changes are not completely reversible once established.

Stage 1 hypertension is a clinic/office BP between 140/90 mm Hg and 159/99 mm Hg. Daytime ambulatory BP or home BP measurements will give lower values, and the equivalent blood pressures by these methods are 135/95 to 149/94 mm Hg. Stage 2 hypertension is a daytime ambulatory or home BP average of 150/95 mm Hg or higher. In the absence of target organ damage, hypertension should be confirmed by ambulatory or home blood pressure monitoring before treatment.

Treatment of stage 1 hypertension is recommended if there is evidence of target organ damage, the person has diabetes mellitus, or the 10-year risk of cardiovascular disease is calculated as 10% or greater. If these other factors are not present, drug treatment is often not recommended until stage 2 hypertension. However, in those under 60 years old, the cardiovascular risk calculation often underestimates lifetime risk, and a discussion about drug treatment of stage 1 hypertension may be appropriate. Treating isolated systolic hypertension (clinic systolic >160 mm Hg, diastolic <90 mm Hg) in the elderly gives similar benefits to the treatment of diastolic hypertension in this age group.

Asymptomatic severe hypertension (clinic BP 180/120 mm Hg or higher) requires same day full assessment if there are retinal changes suggesting accelerated or malignant hypertension. Same day assessment is also recommended if there is new onset confusion, chest pain, signs of heart failure or acute kidney injury. Severe hypertension without these symptoms or signs should prompt rapid investigation for target organ damage, and if present, treatment should be started without waiting for ambulatory blood pressure monitoring.

UK guidelines recommend that the optimal target BP in uncomplicated hypertension for people under the age of 80 years is a clinic systolic BP below 140 mm Hg and a diastolic pressure below 90 mm Hg (equivalent to a home BP below 135/85 mm Hg). In those aged over 80 years, a clinic target of 150/90 mm Hg is recommended. Some international guidelines recommend that when there is target organ damage or diabetes mellitus, a BP of 130/80 mm Hg or lower should be the goal, but there is little outcome evidence to support this. In the UK, 30% of treated hypertensives do not reach target blood pressures. Although the target blood pressure should always be the goal, any BP reduction will reduce the risk of complications. There is no recommended lower limit for BP reduction, except in people with significant coronary artery disease. In this situation, lowering the diastolic BP below 70 mm Hg may reduce coronary artery perfusion and increase the risk of myocardial infarction.

It is rarely possible to correct the underlying cause of hypertension. Lifestyle modifications such as weight loss, restriction of alcohol and salt intake, and increasing exercise may be enough to lower the BP satisfactorily in some individuals with mild hypertension. In people with more severe hypertension, these measures can produce a substantial reduction in BP but rarely restore it to normal values. Drug treatment is usually started if BP remains higher than the levels discussed previously despite nonpharmacological approaches.

#### **Drug Regimens in Hypertension**

Lowering BP by a very modest amount with drugs (even if the target levels described previously are not achieved) produces a substantial ( $\approx$ 40%) reduction in the risk of stroke, as well as reducing the risk of heart failure by 50% and reducing the incidence of chronic kidney disease. Drug treatment also reduces the risk of coronary artery disease in the elderly by about 25%. Evidence for a reduction in heart disease in the young is less convincing, but this may reflect the short duration of the trials (up to 5 years). In people with LVH, regression of left ventricular mass during treatment of hypertension will reduce cardiovascular events by 60% compared with those in whom left ventricular mass is unchanged.

Treatment regimens that are based on diuretics, calcium channel blockers, ACE inhibitors or angiotensin II receptor antagonists have generally shown equal efficacy in reducing vascular events. In contrast  $\beta$ -adrenoceptor antagonists are less effective at preventing the complications of hypertension and are no longer recommended as first-line therapy. Treatment of hypertension should follow a 'stepped care' approach. A single drug will achieve good BP control in about one-third of people with hypertension. If the initial choice of drug fails to produce a sufficient reduction in BP, then the first drug should be continued and a second drug should be added.

The British Hypertension Society (BHS) and the National Institute of Health and Care Excellence (NICE) have endorsed a protocol for combining BP-lowering drugs, which is based on their mode of action (see *Further Reading* later). The underlying principle is that younger people (under 55 years) with hypertension are more likely to have high plasma renin concentrations, and therefore a drug that suppresses the renin–angiotensin–aldosterone system is most likely to be effective when used alone. An ACE inhibitor, or an angiotensin II receptor antagonist are the drugs of choice. Conversely, older people or Black people at any age with hypertension are more likely to have 'low renin' hypertension, and a calcium channel blocker is more likely to produce a substantial reduction in BP. At step 2, the original drug is continued and a second drug added. Optimal third-step therapy is the combination of an ACE inhibitor or angiotensin II receptor blocker with a calcium channel blocker and a thiazide or thiazide-like diuretic. These recommendations are based on the probability of achieving optimal BP control and the evidence that the achieved BP is most important for improving outcome, rather than the means by which it was achieved.

Both thiazide diuretics and  $\beta$ -adrenoceptor antagonists increase the risk of developing new-onset diabetes mellitus, particularly when used together. This combination is not recommended for those who are at increased risk of glucose intolerance, such as people who are obese, those with a strong family history of type 2 diabetes mellitus, Black people or people of South Asian origin who have a higher risk of developing diabetes mellitus. In contrast, both ACE inhibitors and angiotensin II receptor antagonists reduce the risk of developing diabetes mellitus.

It is now recommended antihypertensive drugs are taken in the evening rather than in the morning. Taking medications in the evening is associated with a lower risk of cardiovascular events, as well as improved renal function and significantly lower LDL cholesterol. The mechanism may be reduction of night-time hypertension and blunting of the surge in blood pressure that occurs on waking.

## Resistant and refractory hypertension

If three drugs, as recommended at step 3 in the UK hypertension treatment guidelines, and taken in adequate dosage, are insufficient to control the BP, then the person is said to have 'resistant' hypertension. There are several possible causes of apparently resistant hypertension. These include:

- poor adherence to prescribed therapy, which is the most common reason (see Chapter 55);
- 'white coat' hypertension, which responds poorly to drug treatment;
- secondary hypertension, most often caused by renal artery stenosis or primary hyperaldosteronism;

- concurrent use of drugs that raise BP, such as a nonsteroidal antiinflammatory drug or a glucocorticoid or excessive alcohol consumption;
- obstructive sleep apnoea;
- intravascular volume expansion, due to antihypertensive drug therapy or chronic kidney disease.

A volume overload state is a common reason for resistance and spironolactone added to a thiazide diuretic may be the optimal choice as the fourth drug unless serum potassium is greater than 4.5 mmol/L. An  $\alpha$ -adrenoceptor antagonist or  $\beta$ -adrenoceptor antagonist are options if the serum potassium is raised. Minoxidil is a particularly powerful hypotensive agent and is an option for men, but excess hair growth limits its use for women. In a few individuals, treatment with five or more drugs may be necessary.

Failure to respond to intensive treatment with five drugs is called refractory hypertension. If poor adherence to treatment is not the cause, then increased sympathetic nervous system drive may be a major factor sustaining the BP.

## Additional treatment to reduce risk of vascular complications

Control of hypertension should be seen as part of a strategy to tackle all factors that increase the risk of cardiovascular disease. Smoking cessation is important. A statin is recommended for primary prevention of cardiovascular disease in people with hypertension and a predicted risk of cardiovascular disease greater than 10% in the subsequent 10 years, or in those with diabetes mellitus who have a greatly increased risk (see Chapter 48).

#### Hypertension in Special Groups

There may be reasons for selecting particular classes of drugs rather than following the standard algorithm, particularly if there are comorbid conditions that need treatment (Table 6.3).

## Accelerated or malignant hypertension and hypertensive emergency

Immediate treatment is important for people with hypertension who have retinal haemorrhages and exudates or papilloedema ('hypertensive urgency'), or who have hypertensive encephalopathy, including posterior reversible encephalopathy syndrome (PRES) ('hypertensive emergency'). Rapid BP reduction is potentially dangerous in severe hypertension because autoregulation of cerebral blood flow is reset at a higher level than normal. A sudden fall in perfusion pressure can lead to a profound drop in cerebral blood flow and ischaemic cerebral damage. Oral amlodipine is the most widely recommended treatment, which gradually reduces the BP over 24 hours or more.

Intravenous drugs should usually be avoided unless there are complications such as encephalopathy, acute heart failure or acute coronary syndrome which necessitate very rapid BP reduction (hypertensive emergency). In that situation, drugs such as labetalol or nitroprusside can be used.

#### Renal artery stenosis

If hypertension is caused by renal artery stenosis, because of the high plasma renin concentration ACE inhibitors or angiotensin II receptor antagonists usually produce an excellent reduction in BP. However, they can lead to deterioration in renal function, especially if there are bilateral stenoses. Renal artery angioplasty with insertion of a stent is recommended when the stenosis is caused by fibromuscular dysplasia. In atherosclerotic renovascular disease, angioplasty is not usually recommended for either preservation of renal function or BP control, as cholesterol embolisation to small arteries in the kidney during angioplasty may cause permanent deterioration of renal function.

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	DIURETIC	β-ADRENOCEPTOR Antagonist	ANGIOTENSIN- Converting Enzyme inhibitor	CALCIUM CHANNEL Blocker	α <sub>1</sub> -ADRENOCEPTOR Antagonist
Angina	+/-	+	+/-	+	+/-
After myocardial infarction	+/-	+	+	-	+/-
Congestive heart failure	+	+	+	-	+/-
Diabetes mellitus (with or without nephropathy)	+/-	+/	+	+/	+/
Raynaud's phenomenon	+/-	-	+	+	+
Gout	-	+/-	+/-	+/-	+/-
Prostatism	-	+/-	+/-	+/-	+
Supraventricular arrhythmias	+/-	+	+/-	+ (diltiazem or verapamil only)	+/-
Migraine	+/-	+	+/-	+/-	+/-

+, Treatment of choice; +/-, no obvious advantage/not preferred; -, usually contraindicated.

#### Diabetic nephropathy

Although older people and Black people respond less well to ACE inhibitors or angiotensin II receptor antagonists as first-line therapy, they are preferred as first-line therapy in all people with hypertension if there is diabetes mellitus because of the renal protection they give. ACE inhibitors and angiotensin II receptor antagonists are more effective than other classes of antihypertensive drug in both prevention and treatment of diabetic nephropathy. In particular, they reduce progression from microalbuminuria to overt nephropathy. The benefit from these classes of antihypertensive drugs is probably not simply due to BP reduction. They produce afferent glomerular artery vasodilation by inhibiting the generation or action of angiotensin II and therefore reduce glomerular perfusion pressure. Other complications of hypertension in people with diabetes mellitus are prevented equally well by other antihypertensive drugs.

#### Phaeochromocytoma

Phaeochromocytoma is a catecholamine-secreting tumour, often arising from the adrenal gland. NA-secreting tumours most often lead to sustained hypertension, through vasoconstriction mediated by  $\alpha_1$ -adrenoceptor stimulation. Treatment should be started with a nonselective  $\alpha$ -adrenoceptor antagonist (usually phenoxybenzamine) to prevent excessive vasoconstriction, followed by a  $\beta$ -adrenoceptor antagonist to block the arrhythmogenic effects of the catecholamines on the heart. Definitive treatment, whenever possible, is by surgical removal of the tumour.

#### Primary hyperaldosteronism

This can be caused by bilateral adrenal hyperplasia or, less commonly, by an adrenal adenoma (Conn's syndrome). The drug treatment of choice is spironolactone to directly block the effects of aldosterone at its renal tubular receptor. If there is an adenoma, surgical excision should be considered.

#### Pregnancy

There are three issues specific to pregnancy:

• **Preexisting chronic hypertension.** The risk of hypertension to mother and fetus is probably not great until the clinic systolic BP reaches 140 mm Hg or the diastolic BP reaches 90 mm Hg. Treatment of BP at lower levels carries a risk of impairment of fetal growth. Many antihypertensive drugs should be avoided if possible in early pregnancy because they are teratogenic, or their potential for teratogenicity is not known (see Chapter 56). The drug with the best safety record for preexisting hypertension in women who wish to become pregnant is labetalol, but nifedipine or, as a third-line drug, methyl-dopa can be considered.

In the second trimester the risk of fetal malformations is lower, but thiazide diuretics and pure  $\beta$ -adrenoceptor antagonists are usually avoided because they may retard fetal growth by reducing placental blood flow. ACE inhibitors or angiotensin II receptor antagonists can cause oligohydramnios (reduced amniotic fluid production), renal failure and hypotension in the fetus, or intrauterine death. They should be avoided, and stopped if the woman becomes pregnant while taking them.

The target clinic blood pressure in pregnancy should be less than 135/85 mm Hg. Low-dose aspirin should also be considered from 12 weeks' gestation to reduce the risk of preterm births.

- Gestational hypertension. This is hypertension arising after 20 weeks of pregnancy, but without significant proteinuria. Clinic blood pressure should be reduced to less than 135/85 mm Hg using the same drug choices as for chronic hypertension.
- Preeclampsia. This usually occurs after 20 weeks of gestation. It presents as hypertension with oedema and proteinuria or hyperuricaemia in women whose BP had previously been normal. If this condition is untreated, there is a risk to the mother of convulsions, cerebral haemorrhage, abruptio placentae, pulmonary oedema and renal failure, and a risk to the fetus of severe growth retardation or even death. A clinic blood pressure sustained above 140/90 mm Hg should be treated with drugs, aiming for a clinic blood pressure less than 135/85 mm Hg. Once the diagnosis is established, bed rest is supplemented by antihypertensive drugs, as described previously for preexisting hypertension in pregnancy. Labetalol given by intravenous infusion is favoured in severe preeclampsia.

## PULMONARY ARTERIAL HYPERTENSION

Pulmonary hypertension is most commonly secondary to chronic obstructive pulmonary disease and some other lung disorders, where it arises as a result of destructive changes affecting the structure of the vascular bed. It also occurs with multiple small pulmonary emboli, which silt up the peripheral pulmonary arteries and increase vascular resistance, as well as a variety of less common disorders. However, some people develop increased pulmonary arterial vascular resistance for unknown reasons (idiopathic pulmonary arterial hypertension, IPAH), which has distinctive pathological findings of either formation of plexiform vascular lesions or thrombotic arteriopathy. The most common presenting complaint in IPAH is shortness of breath, although fatigue, chest pain, syncope, peripheral oedema and palpitation also frequently occur. The sustained increase in pulmonary vascular resistance leads to progressive right heart failure and premature death.

## DRUGS FOR TREATING IDIOPATHIC PULMONARY HYPERTENSION

#### **Endothelin Receptor Antagonists**

Examples

ambrisentan, bosentan, macitentan

#### Mechanism of action

In IPAH the production of endothelin-1 is increased in the pulmonary arteries. Endothelin-1 is a powerful vasoconstrictor and smooth muscle mitogen which exerts its effects via two receptors,  $ET_A$  and  $ET_B$ .  $ET_A$ receptors on vascular smooth muscle cells primarily mediate vasoconstriction and cell proliferation, whilst a smaller population of  $ET_B$  receptors on endothelial cells mediates vasodilation via nitric oxide release.  $ET_B$ receptors are also responsible for clearance of endothelin from the circulation. Bosentan is an antagonist at both endothelin  $ET_A$  and  $ET_B$  receptors, whereas ambrisentan and macitentan are selective for  $ET_A$ receptors.

#### **Pharmacokinetics**

Ambrisentan and bosentan are metabolised in the liver and have half-lives of 13 to 16 hours and 5 hours, respectively. Macitentan is metabolised to an active derivative which has a half-life of 48 hours.

#### Unwanted effects

- Gastrointestinal disturbances, including diarrhoea and gastroesophageal reflux.
- Vasodilator effects, including headache, flushing, hypotension, palpitation, oedema and syncope.
- Drug interactions: bosentan inhibits the metabolism of warfarin by CYP2C9, with the risk of excessive anticoagulation. Combination of macitentan with strong inducers of CYP3A4, such as rifampicin, St. John's wort, carbamazepine, and phenytoin, should be avoided.

## Prostaglandins

# epoprostenol, iloprost

Epoprostenol is the synthetic version of naturally occurring prostacyclin (prostaglandin  $I_2$ , PGI<sub>2</sub>) and iloprost is a prostacyclin analogue. Prostacyclin is a vasodilator that also inhibits platelet aggregation (see Chapter 11), and both effects may be useful in the management of IPAH. Iloprost is given by inhalation, but its short duration of action requires use every 2 to 3 hours and it is associated with a high incidence of flushing, headache, jaw pain and cough. Epoprostenol must be given by continuous intravenous infusion, so it is only used when other treatments are ineffective.

#### Selective Prostacyclin Receptor Agonist

Example

selexipag

#### Mechanism of action

Selexipag is not a prostanoid analogue, but is a specific agonist of prostacyclin IP receptors which dilates pulmonary arteries.

#### **Pharmacokinetics**

Selexipag is taken orally and rapidly absorbed. It is hydrolysed in the gut wall and liver to an active metabolite, which is responsible for most of its pharmacological activity. The active metabolite is further metabolised in the liver and has a half-life of 6 to 13 hours.

#### Unwanted effects

- Anorexia, nausea, vomiting, abdominal pain, diarrhoea.
- Vasodilator effects, including headache, flushing, hypotension.
- Nasopharyngitis.
- Myalgia, arthralgia, jaw pain.
- Skin reactions.

## **Phosphodiesterase-5 Inhibitors**

## Examples

## sildenafil, tadalafil

Cyclic GMP production in the pulmonary vasculature may be a protective mechanism against IPAH. Oral phosphodiesterase (PDE) type 5 inhibitors that inhibit breakdown of cGMP, such as sildenafil and tadalafil, reduce pulmonary artery pressure. Further details about these drugs can be found in Chapter 16.

#### **Guanylate Cyclase Stimulator**

	100	Example			
riociguat	riocig	guat			

#### Mechanism of action

Stimulation of soluble guanylate cyclase by riociguat mimics the action of nitric oxide by generating cGMP, which vasodilates the pulmonary arteries.

#### Pharmacokinetics

Riociguat is metabolised in the liver and has a half-life of about 12 hours.

#### Unwanted effects

- Epistaxis, haemoptysis.
- Vasodilator effects, including headache, hypotension, dizziness, oedema.

 Nausea, vomiting, dyspepsia, abdominal pain, constipation, diarrhoea.

## MANAGEMENT OF PULMONARY ARTERIAL HYPERTENSION

Secondary pulmonary hypertension in chronic lung disease is most effectively managed by alleviating hypoxaemia when possible, using bronchodilators or long-term domiciliary oxygen therapy. There is no specific drug therapy. Chronic pulmonary embolic disease is treated by lifelong anticoagulation.

IPAH can be treated with drugs that reduce pulmonary vascular resistance. About 25% of people with IPAH maintain a vasoactive pulmonary vascular bed (defined as a 20% decrease in pulmonary vascular resistance on acute challenge with a vasodilator). In this situation, treatment with a calcium channel blocker such as nifedipine will improve both symptoms and survival. However, most people with IPAH show little evidence of vascular reactivity, and in this situation calcium channel blockers usually produce excessive systemic hypotension before useful pulmonary vasodilation is achieved. For such individuals, treatment is considered with an endothelin antagonist, inhaled prostacyclin, a PDE5 inhibitor or riociguat. All these drugs can improve symptoms and quality of life but have not been shown to improve survival. Combination therapy using two or three drugs from more than one class (such as an endothelin receptor antagonist with a PDE5 inhibitor) is more effective for reducing pulmonary artery pressure than single agents, and may reduce both morbidity and mortality. Riociguat should not be used with PDE5 inhibitors because of the risk of excessive hypotension. Diuretics may be helpful to alleviate right ventricular volume overload.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Stretch of baroreceptors increases the afferent impulses to the vasomotor centre, resulting in a rise in blood pressure.
- 2. Nifedipine lowers blood pressure principally by arterial vasodilation.
- Moxonidine stimulates imidazoline receptors in the medulla.
- 4. Propranolol lowers blood pressure by peripheral vasodilation.
- 5. Thiazide diuretics reduce Na<sup>+</sup> and water reabsorption in the distal convoluted tubule.
- 6. Thiazide diuretics are the drugs of choice for treating pregnancy-related hypertension.
- 7. The potassium-sparing diuretics amiloride and spironolactone have the same mechanism of action in the renal tubule.
- 8. Selective blockade of  $\alpha_1$ -adrenoceptors by prazosin increases noradrenaline release.

- 9. ACE inhibitors prevent the conversion of angiotensinogen to angiotensin I.
- 10. ACE inhibitors prevent the breakdown of bradykinin.
- 11. Minoxidil blocks K<sup>+</sup> channels in smooth muscle cell membranes.
- 12. Nitroprusside can be infused for up to 3 months.
- 13. PDE type 5 inhibitors are used in idiopathic pulmonary arterial hypertension.
- 14. Ambrisentan selectively blocks pulmonary vasoconstriction mediated by endothelin ET<sub>4</sub> receptors.
- 15. Riociguat causes vasodilation by stimulating adenylyl cyclase.
- 16. Selexipag, used in idiopathic pulmonary arterial hypertension, is a prostagandin analogue.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. What is the likely cause of isolated systolic hypertension, defined as systolic blood pressure >160 mm Hg but diastolic BP <90 mm Hg?
  - A. Arterial fibrinoid necrosis due to a hypersensitivity reaction.
  - B. Excessive venous return to the heart.
  - C. Reduced compliance of the large conductance arteries.
  - D. The 'white coat' or 'office hypertension' effect.
  - E. Ventricular hypertrophy and enhanced ejection fraction.
- 2. Identify the *least* accurate statement about antihypertensive drugs.
  - A. Thiazide diuretics increase the risk of diabetes mellitus.
  - B. β-Adrenoceptor antagonists are first-line therapy for hypertension.
  - C. Blood pressure is not always lowered adequately by a single drug.
  - D. Angiotensin II receptor antagonists selectively block angiotensin AT<sub>1</sub> receptors.
  - E. Nifedipine is more likely to cause reflex tachycardia than verapamil.

#### **EXTENDED-MATCHING-ITEM QUESTION**

Choose which drug class A–D would be a good choice for initial treatment of the people newly diagnosed with hypertension in scenarios 1.1–1.3 provided. An answer may be used more than once.

- A. An ACE inhibitor or angiotensin II receptor antagonist.
- B. A nonselective  $\beta$ -adrenoceptor antagonist.
- C. A calcium channel blocker.
- D. A thiazide diuretic.
- 1.1 An obese man of Black African family origin aged 75 years with a BP of 150/100 mm Hg and no evidence of target organ damage.
- 1.2 A Caucasian female aged 40 years with type 1 diabetes mellitus and a BP of 150/100 mm Hg.
- 1.3 A woman 24 weeks pregnant with preexisting chronic hypertension and a BP of 150/ 100 mm Hg.

## **CASE-BASED QUESTION**

Mr A.T., a 60-year-old man with type 2 diabetes mellitus, smokes 20 cigarettes a day. His plasma lipid levels are normal and there is no proteinuria. His ECG is normal. His height is 1.70 m and his weight is 95.5 kg. He has no evidence of fluid retention or heart failure. Following treatment with a calcium channel blocker, his BP has reduced from 175/110 mm Hg but he remains hypertensive (155/95 mm Hg), and he then has a non-ST elevation myocardial infarction. What changes in his therapy would you consider?

## **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **False.** Baroreceptor impulses to the vasomotor centre reduce sympathetic outflow, enhance vagal outflow and lower BP.
- 2. **True.** Calcium channel blockers act by opening L-type voltage-gated Ca<sup>2+</sup> channels, and nifedipine, a dihydropyridine, is relatively selective for these channels in arterial smooth muscle. The nondihydropyridines verapamil and diltiazem have additional cardiodepressant properties.
- 3. **True.** Moxonidine selectively stimulates imidazoline I<sub>1</sub> receptors in the rostral ventrolateral medulla (RVLM); this decreases sympathetic outflow and reduces BP.
- 4. **False.** Propranolol lowers BP by reducing CO and by reducing renin production, but only  $\beta_1$ -adrenoceptor antagonists with partial agonist activity at  $\beta_2$ -adrenoceptors (such as pindolol) or those drugs with other hybrid properties (such as nebivolol that generates NO) produce direct peripheral vasodilation.
- 5. **True.** All diuretics reduce Na<sup>+</sup> and water reabsorption in the renal tubule; thiazides act at the Na<sup>+</sup>/Cl<sup>-</sup> co-transporter (NCC) in the distal convoluted tubule.
- 6. **False.** Thiazide diuretics cause fetal growth retardation by reducing plasma volume and placental blood flow; the centrally acting drug methyldopa, the calcium channel blocker nifedipine and the vasodilating betablocker labetalol are most often used in pregnancy.
- 7. **False.** Spironolactone competes with aldosterone for the mineralocorticoid receptor (MR), blocking its stimulation of the Na<sup>+</sup>/K<sup>+</sup>-ATPase pump and expression of the epithelial Na<sup>+</sup> channel (ENaC); this reduces uptake of Na<sup>+</sup> and loss of K<sup>+</sup> from the tubule. Amiloride, however, directly blocks ENaC (see Chapter 14).
- 8. **False.** Prazosin and related drugs dilate blood vessels by selective blockade of  $\alpha_1$ -adrenoceptors; they do not block the presynaptic  $\alpha_2$ -adrenoceptors, and stimulation of these receptors to limit further NA release can still take place.
- 9. False. Angiotensinogen is converted to angiotensin I by renin. ACE effects the subsequent conversion of angiotensin I to angiotensin II.

- 10. **True.** ACE inhibitors reduce the breakdown of bradykinin, a potent vasodilator, and this action may contribute to their antihypertensive effects, but also to the persistent cough that occurs in some people taking them.
- 11. **False.** Minoxidil is an ATP-sensitive potassium channel (K<sub>ATP</sub>) opener; it causes an efflux of K<sup>+</sup> ions resulting in hyperpolarisation of arterial smooth muscle cells and vasodilation.
- 12. **False.** Nitroprusside is converted to cyanide and then to thiocyanate. The toxicity of these metabolites limits its use to 3 days for emergency management of some hypertensive states.
- 13. **True.** IPAH is often associated with poor vascular reactivity, but vasodilation may be achieved by endothelin antagonists, PDE type 5 inhibitors, prostacyclin or riociguat.
- 14. **True.** Ambrisentan (and macitentan) selectively block vasoconstriction mediated by  $ET_A$  receptors; endothelial  $ET_B$  receptors which mediate vasodilation via NO are relatively unaffected.
- 15. **False**. Riociguat stimulates guanylyl cyclase to synthesise cyclic guanosine monophosphate (cGMP), mimicking the vasodilator action of nitric oxide (NO).
- 16. **False**. Selexipag is not a prostaglandin analogue, but the parent drug (and a highly-active metabolite) selectively activate prostacyclin IP receptors and cause vasodilation.

## **OBA ANSWERS**

- 1. **Answer C** is the best answer. Reduced compliance (stiffening) of the large arteries, particularly in older people, is the likely cause of isolated systolic hypertension. The reason is that when the conductance arteries are less compliant, the systolic pressure wave is reflected back from the peripheral resistance vessels early, during systole, enhancing systolic BP; in the healthy heart the pressure wave is slowed by compliant conductance arteries and is reflected back more slowly, during diastole, supporting the diastolic BP.
- 2. Answer B is the least accurate.
  - A. True. Thiazides increase the risk of new-onset diabetes, particularly when combined with β-adrenoceptor antagonists.
  - B. **False.** β-Adrenoceptor antagonists are relegated in the BHS guidelines, as they are less effective in reducing the risk of myocardial infarction and stroke than other antihypertensive drugs.
  - C. True. Satisfactory lowering of BP is achieved with a single drug in only 30%–40% of people with hypertension.
  - D. True. Angiotensin II receptor antagonists block the vasoconstrictor and aldosterone secretory actions of angiotensin II at AT<sub>1</sub> receptors; AT<sub>2</sub> receptors are involved in vascular growth and are less affected by these drugs.

E. True. Nifedipine selectively dilates arterioles and may cause reflex tachycardia; this is unlikely with verapamil, which has negative chronotropic activity.

#### **EXTENDED-MATCHING-ITEM ANSWERS**

- 1.1 **Answer C** (a calcium channel blocker) would be a good choice in this 75-year-old Black African man. The elderly and Black people usually have low plasma renin concentrations, so an ACE inhibitor or angiotensin II receptor antagonist would be less effective. A thiazide diuretic or a  $\beta$ -adrenoceptor antagonist might increase the risk of diabetes mellitus because of his obesity and ethnic background.
- 1.2 **Answer A** (an ACE inhibitor or, if poorly tolerated, an angiotensin II receptor antagonist) would be a good choice in a 40-year-old White female. A thiazide diuretic or a  $\beta$ -adrenoceptor antagonist may exacerbate the diabetes mellitus.
- 1.3 **Answer C** (a calcium channel blocker) would be an appropriate first choice in a pregnant woman with preexisting hypertension. All the other drugs can cause unwanted effects on the fetus.

## **CASE-BASED ANSWER**

Mr A.T.'s BP has not reached the target level (office 140/90 mm Hg or home 135/85 mm Hg). His BP may be reduced further by introducing an ACE inhibitor, which improve survival after a myocardial infarction, especially when there is left ventricular impairment.

ACE inhibitors also protect the kidney in diabetic nephropathy and could be considered in this situation. The addition of a  $\beta$ -adrenoceptor antagonist could also be considered for additional long-term prognostic benefit, particularly if there is left ventricular impairment.

## **FURTHER READING**

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## Compendium of Drugs Used to Treat Hypertension.

DRUG	CHARACTERISTICS
β-Adrenoceptor Antagonists	
All β-adrenoceptor antagonis	sts except esmolol and sotalol are used for systemic hypertension; see Chapter 5 for individual drugs.
$\alpha$ -Adrenoceptor Antagonists	
Selective $\alpha_1$ -adrenoceptor ant	agonists
Doxazosin	Used for hypertension and for benign prostatic hyperplasia (see Chapter 15). Given orally.
Indoramin	Used for hypertension and benign prostatic hyperplasia. Given orally.
Prazosin	Used for hypertension, congestive heart failure, Raynaud's phenomenon and benign prostatic hyperplasia. Given orally.
Terazosin	Used for hypertension and benign prostatic hyperplasia. Given orally.
Nonselective $\alpha$ -adrenoceptor	antagonists
Used in phaeochromocyton	na only.
Phenoxybenzamine	Used for hypertensive episodes associated with phaeochromocytoma. Given orally or by intravenous infusion.
Phentolamine	Used for diagnosis and hypertensive episodes in phaeochromocytoma. Given by intravenous injection.
<b>Centrally Acting Antihypertens</b>	sive Drugs
Clonidine	Selective $\alpha_2$ -adrenoceptor agonist. Used for hypertension, migraine and menopausal flushing. Given orally or by slow intravenous injection.

## Compendium of Drugs Used to Treat Hypertension—cont'd.

DRUG	CHARACTERISTICS
Methyldopa	Selective $\alpha_2$ -adrenoceptor agonist. Used particularly for hypertension in pregnancy. Given orally.
Moxonidine	Selective imidazoline I, receptor agonist. Used for resistant hypertension. Given orally.
Guanethidine	Blocks release and depletes stores of noradrenaline in adrenergic nerves. Used only for hypertensive crisis but other drugs usually preferred. Given by intramuscular injection.
Angiotensin Converting Enzym	ne (ACE) Inhibitors
ACE inhibitors are used for l given orally; many are pro calcium channel blocker (	hypertension, heart failure, prophylaxis of ischaemic heart disease and diabetic nephropathy. All are odrugs activated by metabolism in liver or gut. Some are available in combination formulations with a see Chapter 5) or a diuretic (Chapter 14).
Captopril	Parent drug is active.
Enalapril maleate	Prodrug converted to enalaprilat. Also available combined with hydrochlorothiazide.
Fosinopril sodium	Prodrug converted to fosinoprilat.
Imidapril hydrochloride	Prodrug converted to imidaprilat.
Lisinopril	Parent drug is active. Also available combined with hydrochlorothiazide.
Perindopril erbumine and perindopril arginine	Prodrugs converted to perindoprilat. Perindopril arginine also available in combination with indapamide.
Quinapril	Prodrug converted in liver to quinaprilat. Also available combined with hydrochlorothiazide.
Ramipril	Prodrug converted to ramiprilat. Also available in combination with felodipine.
Trandolapril	Prodrug converted to trandolaprilat.
Angiotensin II Receptor (AT $_1$ ) A	Antagonists
Used for hypertension, hear are available in combinati such as hydrochlorothiazi if renal function is poor or	t failure, prophylaxis after myocardial infarction and diabetic nephropathy. All are given orally. Some on formulations with a calcium channel blocker such as amlodipine (see <u>Chapter 5</u> ) or a diuretic ide (see <u>Chapter 14</u> ). Angiotensin II blockers should not be used together with aliskiren (see below) in people with diabetes mellitus.
Azilsartan	Given as prodrug (azilsartan medoxemil). Used for essential hypertension.
Candesartan	Given as prodrug (candesartan cilexitil).
Eprosartan	Parent drug is active.
Irbesartan	Also available combined with hydrochlorothiazide.
Losartan (potassium)	Also available combined with hydrochlorothiazide.
Olmesartan	Given as prodrug (olmesartan medoxomil). Also available combined with amlodipine, or with hydrochlorothiazide, or with amlodipine and hydrochlorothiazide.
Telmisartan	Also available combined with hydrochlorothiazide.
Valsartan	Also available in combination with amlodipine or with hydrochlorothiazide, or with sacubitril (a neprilysin inhibitor; see Chapter 7).
Direct Renin Inhibitor	
Aliskiren	Nonpeptide inhibitor of renin. Given orally.
Diuretics	
Diuretics are commonly use	d to treat hypertension; see Chapter 14 for individual drugs.
Calcium Channel Blockers	
All calcium channel blockers	s (except nimodipine) are used for treatment of hypertension; see Chapter 5 for individual drugs.
Potassium Channel Opener	
Minoxidil	Used with $\alpha_2$ -adrenoceptor antagonist and a diuretic for severe hypertension resistant to other drugs. Given orally.
Hydralazine and Nitrovasodila	tors
Drugs used under special c	ircumstances.
Diazoxide	Given in hypertensive emergencies by intravenous bolus injection.
Hydralazine	Used as an adjunct for moderate or severe hypertension, for heart failure and for hypertensive crisis. Given orally, by slow intravenous injection or by intravenous infusion.
Sodium nitroprusside	Used for hypertensive crisis, for controlled hypotension in anaesthesia and for acute heart failure. Given intravenously. Very short half-life (<5 min).
Endothelin Receptor Antagonia	sts
Block vasoconstrictor activit	ty of endothelin; used for pulmonary arterial hypertension
Ambrisentan	Selective antagonist of endothelin ET, receptors. Given orally.

	Compendium	of Drugs	Used to	Treat Hypertension-	-cont'd.
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DRUG	CHARACTERISTICS		
Bosentan	Nonselective antagonist of endothelin ET <sub>A</sub> and ET <sub>B</sub> receptors. Given orally.		
Macitentan	Noncompetitive antagonist of endothelin $ET_A/ET_B$ receptors, selective for $ET_A$ subtype. Given orally.		
Prostaglandins			
Vasodilator and antiplatelet	drugs; used for pulmonary arterial hypertension.		
Epoprostenol	Natural prostacyclin (PGI <sub>2</sub> ). Used when other drugs are ineffective. Given by continuous intravenous infusion. Very short half-life (<3 min). See also Chapter 11.		
lloprost	Synthetic prostacyclin analogue. Given by nebuliser.		
Selective Prostacyclin Receptor Antagonist			
Selexipag	Active metabolite is mainly responsible for selective agonism of prostacyclin IP receptors, causing vasodilation. Used for pulmonary arterial hypertension. Given orally.		
Phosphodiesterase 5 Inhibitor	S		
Vasodilate by reducing brea of PDE5 inhibitors in erec	kdown of cGMP by phosphodiesterase 5 (PDE5); used for pulmonary arterial hypertension. For use tile dysfunction, see Chapter 16.		
Sildenafil	Given orally or intravenously.		
Tadalafil	Given orally or intravenously.		
Guanylate Cyclase Stimulator			
Riociguat	Vasodilates by increasing synthesis of cGMP by guanylate cyclase. Used for pulmonary arterial hypertension. Given orally.		

ACE, Angiotensin-converting enzyme; cGMP, cyclic guanosine monophosphate;  $PGI_{2'}$  prostaglandin I<sub>2</sub> (prostacyclin).

# **Heart Failure and Shock**

## **Chapter Outline**

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There is no universally accepted definition of heart failure. The heart failure syndrome is usually said to exist when there is inadequate oxygen delivery to peripheral tissues, either at rest or during exercise, due to dysfunction of the heart or when adequate oxygen delivery can be maintained only with an elevated left ventricular filling pressure. It is a clinical syndrome with characteristic signs and symptoms (oedema, breathlessness and fatigue) that has many underlying causes.

## **MAINTENANCE OF CARDIAC OUTPUT**

There are four major determinants of cardiac output:

- preload: this is governed by the left ventricular enddiastolic volume, which in turn is related to left ventricular filling pressure and therefore to venous return of blood to the heart,
- heart rate,
- myocardial contractility,
- afterload: the systolic wall tension generated in the left ventricle to overcome aortic pressure, which reflects the resistance to ventricular emptying within both the heart (during isovolumic contraction when the mitral valve is closed and before the aortic valve opens) and in the peripheral arterial bed.

The output from both the right and left sides of the heart is normally balanced. In the healthy heart, cardiac output is mainly regulated by changes in heart rate and preload. Heart rate is largely modulated by the autonomic nervous system, with sympathetic nervous stimulation increasing the heart rate and parasympathetic stimulation via the vagus nerve slowing the rate.

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The relationship between preload and stroke volume (the amount of blood ejected from the ventricle during systole with each contraction) is shown in Fig. 7.1. The degree of stretch of the ventricular muscle (preload) determines the force of cardiac contraction (the Frank–Starling phenomenon). The curve describing this relationship is governed by intrinsic myocardial contractility: thus, the curve is shifted upwards and to the left when contractility is augmented, for example, by sympathetic nervous stimulation. If myocardial contractility is normal, the range of left ventricular filling pressures lie on the steep part of the curve, making stroke volume very sensitive to small changes in preload.

The relationship between afterload and stroke volume is shown in Fig. 7.2. Afterload is determined largely by peripheral arterial resistance but also by the size of the ventricle. Enlargement of the left ventricular cavity (e.g. as a result of increased venous return or preload) increases wall tension, and the heart must generate greater pressure both to initiate and to maintain contraction. Preload and afterload are therefore closely interrelated. A rise in afterload will cause a fall in stroke volume, but the consequent sympathetic stimulation of healthy ventricular muscle will increase myocardial contractility and restore stroke volume.

## PATHOPHYSIOLOGY OF HEART FAILURE

Heart failure has several underlying causes (Box 7.1). Occasionally it arises suddenly (acute heart failure), such as after acute myocardial infarction (due to substantial muscle damage) or acute mitral regurgitation



Fig. 7.1 The Frank–Starling relationship between preload (left ventricular filling pressure) and stroke volume in healthy and failing hearts. In the severely failing heart, increases in filling pressure and heart rate are insufficient to restore cardiac output; thus pulmonary congestion will occur.



Fig. 7.2 The relationship between afterload (outflow resistance) and stroke volume in the presence of normal and reduced myocardial contractility. Sympathetic stimulation maintains the stroke volume of the normal heart (but not of the failing heart) against an increasing afterload.

from rupture of the chordae tendineae of the mitral valve or from papillary muscle dysfunction. More commonly the onset is gradual (chronic heart failure) and arises from progressive loss of myocardial function or a slow degenerative change in valve function. Acute deterioration can occur in chronic heart failure, termed 'acute decompensated heart failure' (see also later).

The underlying problem in heart failure is reduced cardiac output and therefore low blood pressure, but the syndrome of heart failure arises largely from compensatory neurohormonal responses to low blood pressure and reduced renal perfusion. These are principally due to activation of the sympathetic nervous system and the renin–angiotensin–aldosterone system as well as increased release of antidiuretic hormone

Coronary artery disease
Hypertension
Myocardial disease: cardiomyopathies, myocarditis
Valvular heart disease
Constrictive pericarditis
Congenital: atrial septal defect, ventricular septal defect, aortic
coarctation
Infiltrative: amyloid, sarcoid, iron
latrogenic: $\beta$ -adrenoceptor antagonists (high doses),
antiarrhythmics, calcium channel blockers, some
cytotoxic anticancer drugs, alcohol, irradiation
Arrhythmias, especially incessant tachyarrhythmias

(Fig. 7.3). The consequences of these compensatory mechanisms are vasoconstriction of both arteries and veins and excessive salt and water retention by the kidneys. Although these are the normal physiological responses to reduced blood pressure, in the setting of a failing heart they have limited benefit.

In the failing ventricle, the Frank–Starling curve is shifted downwards and to the right (failing-ventricle curve, see Fig. 7.1) and the maximum achievable stroke volume is reduced. The curve is also flatter, indicating that stroke volume has become less responsive to changes in preload. This shift in the curve is due to the inability of the ventricular muscle to respond to a rise in preload by increasing the force of contraction. Activation of the compensatory mechanisms in response to the fall in cardiac output leads to retention of salt and water, which expands plasma volume, and venoconstriction, which enhances venous return to the heart. These factors increase the filling pressure of the left ventricle in an attempt to restore the resting stroke volume. The heart rate will also increase, which will raise cardiac output despite a lower stroke volume. However, a persistently high level of sympathetic nervous system tone results in downregulation of cardiac  $\beta_1$ -adrenoceptors and therefore less ability to rely on increased heart rate to maintain cardiac output. If these responses are successful in restoring a normal resting cardiac output, the heart failure is said to be compensated. However, the cardiac output may be unable to rise further to meet the needs of the body during exertion. Decompensation occurs when the combination of the increases in preload and heart rate fail to restore a normal resting cardiac output (see Fig. 7.3).

Peripheral arterial resistance (afterload) will also rise as a result of the neurohormonal compensatory mechanisms (see Fig. 7.2). The failing ventricle cannot meet this demand with an increase in myocardial contractility, so stroke volume will fall (see Fig. 7.2), leading to further cardiac decompensation.

In response to the rise in atrial and ventricular filling pressures, A-type natriuretic peptide (ANP) and B-type natriuretic peptide (BNP) are released from the heart. These peptides promote natriuresis and vasodilation but are less effective in the presence of neurohormonal



Fig. 7.3 Neurohormonal consequences of heart failure. In the mildly impaired heart, a fall in cardiac output results in a cascade of compensatory events (green arrows), including sympathetic stimulation of heart rate and contractility, constriction of arteries and veins, and activation of the renin–angiotensin–aldosterone system; overall these compensatory mechanisms restore cardiac output. If cardiac function is significantly impaired (red arrows), an increased preload cannot restore an adequate stroke volume (decompensation) (see Fig. 7.1); the increased afterload will put additional strain on the failing heart and further decrease cardiac output (see Fig. 7.2). In chronic heart failure these effects are compounded by cardiac remodelling and downregulation of cardiac  $\beta$ ,-adrenoceptors.

activation. The natriuretic peptides are degraded by neprilysin, a neutral endopeptidase, which has several other substrates including angiotensin II, bradykinin, endothelin and vasopressin.

In most cases of heart failure, the impairment of function initially affects the left ventricle. As the central blood volume is increased in an attempt to raise the left ventricular stroke volume, the hydrostatic pressure in the pulmonary veins will rise. When this hydrostatic pressure exceeds the plasma colloid oncotic pressure that holds fluid in the blood vessel, fluid leaves the capillaries. It moves into the interstitium of the alveoli and then into the alveolar spaces, producing pulmonary oedema (see Fig. 7.2). Raised pulmonary venous pressure will also lead to a rise in pulmonary arterial pressure which eventually compromises right ventricular output and leads to right heart failure (producing biventricular failure, or congestive cardiac failure). When the right ventricle fails, increased hydrostatic pressure in the systemic veins results in oedema in the peripheral and splanchnic tissues.

The most common type of heart failure is associated with impaired systolic contraction of the left ventricle and a reduced ejection fraction (the proportion of blood in the ventricle that is expelled during systole; normally 50%–70%) which falls below 40%. This is referred to as heart failure with reduced ejection

fraction (HFrEF), which has several causes. Following myocyte loss, such as occurs with myocardial infarction or dilated cardiomyopathies, adaptive changes take place in the surviving myocytes and extracellular matrix – a process known as remodelling. This is driven by several factors, including the local effects of catecholamines, angiotensin II, aldosterone, and proinflammatory cytokines. Remodelling eventually produces a more globular, and less efficient left ventricle. In aortic or mitral valve regurgitation, heart failure arises because the left ventricle must dilate to accommodate the normal forward stroke volume and also the regurgitant volume (the volume leaking back into the left ventricle or left atrium, respectively). Eventually, the left ventricle dilates to the point where contractility is reduced and it is unable to maintain an effective stroke volume. This effect is reflected in the flattening of the Frank-Starling curve as the ventricular enddiastolic pressure rises.

Heart failure can also arise from impaired diastolic relaxation when contractile function in systole is normal but ventricular filling is reduced. Stroke volume is reduced but ejection fraction is normal (>50%). This is known as heart failure with preserved ejection fraction (HFpEF). If the left ventricle fails to relax adequately, it will not accommodate the venous return, leading to back pressure into the pulmonary vein with pulmonary venous congestion and a low cardiac output. This will activate the same compensatory neurohormonal responses found in HFrEF. HFpEF characteristically occurs in older people in association with left ventricular hypertrophy, but it also contributes to heart failure in ischaemic left ventricular dysfunction (see Chapter 5) and aortic stenosis.

A third category, heart failure with mid-range ejection fraction (HFmrEF; ejection fraction 40%–49%), has been described to cover the range of ejection fractions in the intermediate range.

Symptoms in heart failure are caused by a reduced cardiac output ('forward failure') or venous congestion ('backward failure'). The most common complaints are breathlessness from increased pulmonary venous pressure and fatigue resulting from the reduced cardiac output and impaired skeletal muscle perfusion. In response to the reduced perfusion, biochemical changes also occur in skeletal muscle, making it less efficient. Other symptoms, such as the discomfort of peripheral oedema and anorexia due to bowel congestion, are attributable to a high systemic venous pressure. Increased stimulation of  $\beta$ -adrenoceptors in the heart as a consequence of the neurohormonal compensation can lead to life-threatening ventricular arrhythmias.

#### ACUTE LEFT VENTRICULAR FAILURE

Acute left ventricular failure usually results from a sudden inability of the heart to maintain an adequate cardiac output and blood pressure. It can follow acute myocardial infarction or acute mitral or aortic valvular regurgitation or arise from the onset of a brady- or tachyarrhythmia if there is preexisting poor left ventricular function. The sudden fall in cardiac output leads to reflex arterial and venous constriction (see Fig. 7.3). There is a rapid rise in filling pressure of the left ventricle as a result of increased venous return. If the heart is unable to expel the extra blood, the hydrostatic pressure in the pulmonary veins rises until it exceeds the plasma oncotic pressure and produces acute pulmonary oedema. The principal symptom is breathlessness, usually at rest, with orthopnoea or paroxysmal nocturnal dyspnoea.

#### **CHRONIC HEART FAILURE**

Myocardial damage from ischaemic heart disease is the most common cause of chronic heart failure, but potentially correctable causes – such as valvular lesions, as well as treatable exacerbating factors such as anaemia or arrhythmias – may be identified. In most people with heart failure there are signs of both right and left ventricular failure (biventricular or congestive heart failure). Chronic heart failure has a high mortality from progressive heart failure or ventricular arrhythmias. People with HFrEF who have symptoms only on exertion have a 2-year mortality risk of about 20%, whereas if there are symptoms at rest, the 1-year mortality is 80%. In HFrEF the degree of left ventricular dysfunction is a guide to prognosis.

HFpEF has about half the mortality rate when compared with HFrEF, and the mortality associated with HFmrEF is intermediate between the other two categories.

### **ROLE OF CALCIUM IN CARDIAC CONTRACTION**

In cardiac muscle cells, depolarisation of the cell opens L-type Ca<sup>2+</sup> channels allowing a small amount of Ca<sup>2+</sup> into the cell. This is insufficient to initiate muscle contraction but results in a large increase in Ca<sup>2+</sup> in the space between the t-tubule and sarcoplasmic reticulum. The increase in Ca<sup>2+</sup> opens the Ca<sup>2+</sup> release channels in the sarcoplasmic reticulum (ryanodine receptors; RyR2) so that Ca<sup>2+</sup> travels down its concentration gradient into the cytosol and is available to the contractile elements. This process is known as Ca<sup>2+</sup>induced Ca<sup>2+</sup> release.

Muscle fibres comprise thick filaments containing myosin molecules and thin filaments containing actin molecules. Associated with the actin molecules are tropomyosin molecules with attached troponin regulatory proteins. Calcium binds to troponin C, which in turn weakens the binding of troponin I to actin and allows the tropomyosin molecule to move and uncover the myosin binding site on the actin molecule. This initiates contraction. The amount of free Ca<sup>2+</sup> in the cytosol will determine the number of actin-myosin cross-bridges and therefore the force of contraction.

## SHOCK

#### PATHOPHYSIOLOGY OF SHOCK

Shock is an acute, life-threatening condition in which tissue perfusion is insufficient to meet cellular oxygen demands, leading to tissue hypoxia. Usually this is due to circulatory failure, manifesting with hypotension. There are four principal types of shock:

- Hypovolaemic shock.
- Cardiogenic shock.
- Distributive shock.
- Obstructive shock.

Hypovolaemic shock is usually due to severe blood loss. Obstructive shock usually arises from pulmonary emboli, pneumothorax, haemothorax or cardiac tamponade. The treatment of these types of shock is by management of the underlying cause.

#### **Cardiogenic Shock**

The syndrome of cardiogenic shock arises when the systolic function of the left ventricle is acutely impaired. This may be due to a disease of the myocardial tissue (e.g. myocardial infarction), an arrhythmia (e.g. sustained ventricular tachycardia) or an intracardiac mechanical lesion (e.g. acute mitral valve regurgitation due to papillary muscle rupture). Cardiogenic shock following acute myocardial infarction usually indicates loss of at least 40% of the left ventricular myocardium. The clinical hallmarks are a low systolic blood pressure (usually <90 mm Hg), with a reduced cardiac output and an elevated left ventricular filling pressure. The mortality of cardiogenic shock, even with intensive treatment, is in excess of 70%.

#### **Distributive (Vasodilatory) Shock**

Distributive shock is characterised by vasodilation that is so profound that it impairs circulation of blood through the vascular system. It is the most common form of shock and is the process that underlies septic shock (see also Chapter 51), anaphylactic shock (Chapter 39) and neurogenic shock. The pathophysiology varies by aetiology. Histamine and other products of mast cell degranulation are the mediators of vasodilation in anaphylactic shock. Neurogenic shock in high spinal cord injury is due to interruption of sympathetic nervous system pathways, which exit the central nervous system via the thoracolumbar cord (see Chapter 4). Septic shock is due to a dysregulated host response to infection, one part of which is vascular endothelial dysfunction. This results in diffuse vasodilation throughout the microcirculation, and fluid loss from the intravascular compartment due to increased endothelial permeability.

## INOTROPIC AND SYMPATHOMIMETIC DRUGS FOR THE TREATMENT OF HEART FAILURE AND SHOCK

Myocardial contractility can be improved by increasing the amount of intracellular Ca<sup>2+</sup> available to interact with contractile proteins or by increasing the sensitivity of the myofibrils to Ca<sup>2+</sup>. Only drugs that increase myocardial intracellular Ca<sup>2+</sup> are established in clinical use; they work indirectly on Ca<sup>2+</sup> availability by one of two distinct mechanisms:

- an action on the cell membrane Na<sup>+</sup>/K<sup>+</sup>-ATPase pump (e.g. cardiac glycosides),
- by increasing intracellular cyclic adenosine monophosphate (cAMP) (e.g. inotropic sympathomimetics, phosphodiesterase inhibitors).

### **CARDIAC GLYCOSIDES**

#### Example

digoxin

## Mechanism of Action and Effects Effect on myocardial contractility

Cardiac glycosides are compounds with a steroid nucleus that were originally isolated from a species of foxglove (*Digitalis purpura*). Digoxin is the drug most widely used in clinical practice.

The intracellular  $Ca^{2+}$  concentration in the myocardium is maintained indirectly by the activity of the energy-dependent  $Na^+$  pump ( $Na^+/K^+$ -ATPase) in the myocyte membrane. This pump establishes and maintains the Na<sup>+</sup> and K<sup>+</sup> gradients across the cell (Fig. 7.4), producing low intracellular Na<sup>+</sup> and high intracellular K<sup>+</sup> concentrations. There is a separate passive transmembrane exchange of Na<sup>+</sup> and Ca<sup>2+</sup> driven by the Na<sup>+</sup> concentration gradient, with Na<sup>+</sup> entering the cell while Ca<sup>2+</sup> is translocated out. The activity of this exchange mechanism is dependent on the intracellular Na<sup>+</sup> concentration.

Cardiac glycosides partially inhibit the Na<sup>+</sup>/K<sup>+</sup>-ATPase, which increases the intracellular Na<sup>+</sup> concentration. This lowers the concentration gradient for Na<sup>+</sup> across the cell membrane, which in turn reduces the Na<sup>+</sup>/Ca<sup>2+</sup> exchange, so that Ca<sup>2+</sup> is retained in the cell. The excess intracellular Ca<sup>2+</sup> is stored in the sarcoplasmic reticulum during diastole and released during cell membrane excitation, leading to enhanced myocardial contraction.

## Effects on cardiac action potential and intracardiac conduction

Digoxin can be arrhythmogenic but also has actions that are useful for treating certain arrhythmias.

Direct actions of digoxin on the heart can provoke arrhythmias by increasing myocardial cell excitability (see Chapter 8) as follows:

- Reduction of the resting cell membrane potential. The cell membrane Na<sup>+</sup>/K<sup>+</sup>-ATPase pump extrudes three Na<sup>+</sup> ions out of the cell for every two K<sup>+</sup> ions that enter, which increases the negative intracellular electrical potential and hyperpolarises the cell, making it less excitable (see Chapter 8). Inhibition of the Na<sup>+</sup>/K<sup>+</sup>-ATPase membrane pump by digoxin causes the cell membrane potential to become less negative and closer to the threshold potential for depolarisation. Action potentials, and therefore arrhythmias are therefore more readily initiated.
- Triggering of spontaneous release of Ca<sup>2+</sup> from the sarcoplasmic reticulum. This leads to transient depolarisation of the cell immediately following an action potential ('delayed afterdepolarisation'), which can initiate arrhythmias (see Chapter 8).

Digoxin also has useful indirect actions on the heart that arise from stimulation of the central vagal nucleus and enable it to be used for treating arrhythmias (see Chapter 8). The vagal effects on the heart are as follows:

- decreased automaticity of the sinoatrial node which slightly slows the heart rate in sinus rhythm. This is not a clinically important action,
- increased refractory period of the atrioventricular node, which slows impulse transmission to the ventricles and is useful in the management of the fast ventricular rates resulting from atrial flutter and fibrillation (see Chapter 8).

#### **Pharmacokinetics**

Digoxin is eliminated by the kidneys via glomerular filtration and active tubular secretion. The half-life of digoxin is long (about 1.5 days) and is increased if renal function is impaired; the dose must be reduced



Fig. 7.4 The action of digoxin on the cardiac myocyte. Digoxin increases intracellular Na<sup>+</sup> by inhibiting the Na<sup>+</sup>/K<sup>+</sup>-ATPase. This reduces the Na<sup>+</sup> gradient for the passive export of Ca<sup>2+</sup>, resulting in increased intracellular Ca<sup>2+</sup> and enhanced contractile responses.

in the presence of renal impairment to avoid toxicity (see later). If an early onset of action is required, oral loading doses should be given over the first 24 or 36 hours (see Chapter 2). If a rapid response is essential, digoxin can be given by slow intravenous infusion.

#### **Unwanted Effects**

Digitalis glycosides have a narrow therapeutic index and toxicity is mostly dose-related.

- Gastrointestinal disturbances: anorexia, nausea and vomiting (largely a central effect at the chemoreceptor trigger zone), and diarrhoea.
- Neurological disturbances: fatigue, malaise, confusion, vertigo, coloured vision (especially yellow halos around lights, possibly from inhibition of Na<sup>+</sup>/K<sup>+</sup>-ATPase in the cones of the retina).
- Distinctive changes on the electrocardiogram (ECG): this includes nonspecific T-wave changes and sagging of the S-T segment with an upright T-wave ('reverse tick'), often referred to as the 'digoxin effect'. These ECG effects do not indicate toxicity but can be mistaken for myocardial ischaemia.
- Consequences of intracellular Ca<sup>2+</sup> overload: increased excitability of the atrioventricular node and Purkinje fibres produces atrial or nodal ectopic beats, atrial or nodal tachycardia, ventricular ectopic beats, or (less commonly) ventricular tachycardia.
- Consequences of increased vagal activity: excessive atrioventricular nodal block ('heart block') can occur. When associated with increased atrial excitability, this produces atrial tachycardia with

atrioventricular nodal block, a rhythm characteristic of digitalis toxicity.

• Gynaecomastia: during long-term treatment, the steroid structure allows digitalis glycosides to stimulate oestrogen receptors in breast tissue.

#### Exacerbating Factors for Cardiac Glycoside Toxicity

- Hypokalaemia: reduced extracellular K<sup>+</sup> concentration increases the effects of cardiac glycosides on the Na<sup>+</sup>/K<sup>+</sup>-ATPase pump. Care must be taken if potassium-losing diuretics such as furosemide (see Chapter 14) are used with cardiac glycosides.
- Renal impairment: reduces the excretion of digoxin.
- Hypoxaemia: this sensitises the heart to cardiac glycoside-induced arrhythmias.
- Hypothyroidism: the renal elimination of digoxin is decreased because of a reduced glomerular filtration rate.
- Drugs that displace digoxin from tissue binding sites and interfere with its renal excretion: these include verapamil (see Chapter 5) and quinidine (see Chapter 8), which can double the plasma concentration of digoxin. Amiodarone (see Chapter 8) produces a less marked effect.

#### **Treatment of Cardiac Glycoside Toxicity**

Cardiac glycoside toxicity can be treated by:

- withholding further doses of cardiac glycoside,
- using K<sup>+</sup> supplementation (see Chapter 14) for hypokalaemia. This is usually given orally but should be given by slow intravenous infusion if there are dangerous arrhythmias,

- using atropine (see Chapter 8) for sinus bradycardia or atrioventricular block (with temporary transvenous pacing for marked bradycardia unresponsive to atropine),
- digoxin-specific antibody fragments for serious digoxin toxicity (see Chapter 53).

#### SYMPATHOMIMETICS

## Examples

selective  $\alpha_1$ -adrenoceptor agonists: phenylephrine (pure  $\alpha_1$  agonist), noradrenaline (norepinephrine) (predominant  $\alpha_1$  agonist)

- selective  $\beta_1$ -adrenoceptor agonist: dobutamine
- selective  $\beta_2$ -adrenoceptor agonist and dopamine receptor agonist: dopexamine
- nonselective  $\beta$ -adrenoceptor and  $\alpha$ -adrenoceptor agonist: adrenaline (epinephrine)

nonselective  $\beta\text{-adrenoceptor}, \ \alpha\text{-adrenoceptor}, \ and \ dopamine$  mine receptor agonist: dopamine

## **Mechanisms of Action and Effects**

The mechanisms of action of the inotropic sympathomimetic drugs are also considered in Chapter 4. It is the  $\beta$ -adrenoceptor agonist action of these drugs that produces positive inotropic effects, and the  $\alpha_1$ adrenoceptors agonist action that produces vasoconstrictor (vasopressor) effects.

Dobutamine, a synthetic dopamine analogue, is a selective  $\beta_1$ -adrenoceptor agonist that produces a powerful positive inotropic response, with relatively less increase in heart rate than isoprenaline and little direct effect on vascular tone, even at high concentrations. Dopexamine has agonist activity at  $\beta_2$ -adrenoceptors, which increases heart rate and produces vasodilation. It has less activity at  $\beta_1$ -adrenoceptors, giving a weak direct positive inotropic effect. It also acts on peripheral dopamine receptors and produces some increase in renal blood flow, but unlike dopamine, it does not cause peripheral vasoconstriction with high doses.

Dopamine has dose-related actions at several receptors.

- At low doses, dopamine selectively stimulates peripheral dopamine receptors, which are structurally distinct from those in the central nervous system. This produces renal arterial vasodilation and diuresis (via D<sub>1</sub> receptors) and peripheral arterial vasodilation (via D<sub>2</sub> presynaptic receptors, which inhibit noradrenaline release from sympathetic nerves).
- At moderate doses, dopamine produces nonselective  $\beta$ -adrenoceptor stimulation which results in tachycardia and a positive inotropic action (Fig. 7.5). Tachycardia is more marked than with dobutamine because of stimulation of both cardiac  $\beta_1$ - and  $\beta_2$ -adrenoceptors and the reflex response to  $\beta_2$ -adrenoceptor–mediated peripheral arterial dilation.
- At high doses, α<sub>1</sub>-adrenoceptor stimulation by dopamine produces peripheral vasoconstriction, which also affects the renal arteries and overcomes D<sub>1</sub>-receptor–mediated renal vasodilation.



Fig. 7.5 Mechanisms by which sympathomimetics and phosphodiesterase inhibitors exert their positive inotropic effects. AMP, Adenosine monophosphate; ATP, adenosine triphosphate; cAMP, cyclic adenosine monophosphate.
The doses of dopamine that produce these different effects differ widely among individuals. Unfortunately, there is no dose that can be relied upon to act selectively at dopamine receptors without stimulating adrenoceptors.

Noradrenaline (norepinephrine) and adrenaline (epinephrine) are mixed  $\alpha$ - and  $\beta$ -adrenoceptor agonists. The dominant effect of noradrenaline is marked vasoconstriction through its actions on  $\alpha_1$ -adrenoceptors. This is accompanied by a modest increase in cardiac output due to weaker  $\beta_1$ -adrenoceptor agonism. It is widely used in the management of septic shock, in which vasodilation is central to the pathophysiology. Adrenaline is also an  $\alpha_1$ -adrenoceptor agonist, but in addition, it has potent activity at the  $\beta_1$ -adrenoceptor. It therefore increases blood pressure through vasoconstriction, and cardiac output through both inotropic and chronotropic effects. It is used in the management of anaphylaxis and cardiac arrest, but marked tachycardia and arrhythmogenicity limit its value in other forms of shock. Phenylephrine is a pure  $\alpha_1$ adrenoceptor agonist that produces vasoconstriction only; it is occasionally used to treat hypotension caused by vasodilation (for example, in general anaesthesia). Isoprenaline is a nonselective  $\beta$ -adrenoceptor agonist that is now available in the UK only on special order. It is not used clinically for its positive inotropic actions because of a risk of arrhythmias. It is sometimes used to increase heart rate in the emergency treatment of bradycardias through its action on both  $\beta_1$ - and  $\beta_2$ adrenoceptors (see Chapter 8).

#### **Pharmacokinetics**

All positive inotropic and vasoconstrictor sympathomimetics are administered by intravenous infusion because of their very short half-lives (<12 minutes). Vasoconstrictor sympathomimetics are usually given via a central venous catheter to avoid the risks of local vasoconstriction and tissue necrosis associated with peripheral extravasation. The exception to intravenous use is intramuscular injection of adrenaline for treatment of anaphylaxis. Metabolic inactivation of sympathomimetics is by the same monoamine oxidase (MAO) and catechol-O-methyltransferase (COMT) pathways as for noradrenaline (see Chapter 4). Desensitisation and downregulation of cardiac  $\beta$ -adrenoceptors (see Chapter 1) rapidly reduce the positive inotropic response to sustained infusions over 48 to 72 hours.

#### **Unwanted Effects**

- Excessive cardiac stimulation, with tachycardia, palpitations and arrhythmias.
- Nausea and vomiting.
- Chest pain, dyspnoea.
- Headache.
- Extravasation (inadvertent infiltration into tissue around the vascular access device, due to misplace-

ment or migration) of drugs with vasoconstricting effect can cause local tissue necrosis.

# **PHOSPHODIESTERASE INHIBITORS**

Examples

enoximone, milrinone

#### Mechanism of Action and Effects

Milrinone and enoximone are specific inhibitors of the type 3 isoenzyme of phosphodiesterase (PDE3) found in cardiac and smooth muscle. The inotropic action of these drugs on the heart is due to an increase in intracellular cAMP with increased mobilisation of intracellular Ca<sup>2+</sup> (see Fig. 7.5). The increase in myocardial cAMP also enhances the reuptake of Ca<sup>2+</sup> by the sarcoplasmic reticulum in diastole. This improves diastolic relaxation in addition to augmenting systolic contractility.

Unlike  $\beta$ -adrenoceptor agonists, the activity of phosphodiesterase inhibitors is not limited by desensitisation of cell surface receptors because they act at a site beyond the receptor. Because they have complementary sites of action, phosphodiesterase inhibitors and  $\beta$ -adrenoceptor agonists will have additive effects on the heart. PDE3 inhibition in vascular smooth muscle produces peripheral arterial vasodilation.

#### **Pharmacokinetics**

Phosphodiesterase inhibitors are given by intravenous infusion. Milrinone is eliminated by the kidneys and enoximone by hepatic metabolism. They have elimination half-lives of about 1 hour.

#### **Unwanted Effects**

- These are mainly a consequence of excessive cardiac stimulation and include ectopic beats and both ventricular and supraventricular arrhythmias.
- Nausea, vomiting, diarrhoea (milrinone).
- Headache.
- Long-term oral use increases mortality in heart failure. Oral use has therefore been abandoned.

# ANGIOTENSIN RECEPTOR NEPRILYSIN INHIBITORS

Example

sacubitril valsartan

#### **Mechanism of Action and Effects**

Sacubitril is an inhibitor of neprilysin. Previous attempts to treat heart failure with a neprilysin inhibitor were unsuccessful, possibly because the inhibition of angiotensin II breakdown antagonises the effects of increased natriuretic peptides. Combining a neprilysin inhibitor with an angiotensin-converting enzyme (ACE) inhibitor increased the risk of angioedema from dual inhibition of the breakdown of bradykinin. The combination of sacubitril with an angiotensin II receptor antagonist was therefore a logical next step, as only one of the enzymes responsible for breakdown of bradykinin is inhibited. The addition of sacubitril to valsartan produces greater vasodilation and natriuresis than either drug alone.

#### **Pharmacokinetics**

Sacubitril valsartan is a molecular combination of the two drugs that dissociates into the individual component molecules after absorption. Sacubitril is a prodrug that is converted to sacubitrilat by esterases. Sacubitrilat is eliminated by the kidneys and has a half-life of 12 hours.

#### **Unwanted Effects**

These are similar to those of an angiotensin II receptor antagonist but with a greater risk of hypotension.

# **MANAGEMENT OF HEART FAILURE**

#### **ACUTE LEFT VENTRICULAR FAILURE**

The immediate aim of pharmacological treatment in acute left ventricular failure is to reduce excessive venous return to the heart. Treatment includes the following steps:

- Oxygen in high concentration via a facemask.
- Intravenous injection of a loop diuretic such as furosemide (see Chapter 14), which initially produces venodilation and peripheral venous pooling. Symptoms are therefore improved even before the onset of a diuresis that reduces plasma volume and further decreases preload.
- Noninvasive ventilatory support can be useful if there is severe breathlessness with acidaemia.
- Sublingual glyceryl trinitrate (GTN) (see Chapter 5), which dilates venous capacitance vessels, is not recommended as a first-line treatment but can be useful if there is co-existing hypertension or myocardial ischaemia.

Whenever possible, a potentially correctable precipitating or exacerbating cause of acute left ventricular failure should be treated; for example, arrhythmias, anaemia, thyrotoxicosis, acute mitral regurgitation or critical aortic stenosis. However, there is often underlying impairment of left ventricular systolic function, when management as for chronic heart failure is subsequently necessary.

# CHRONIC HEART FAILURE WITH REDUCED EJECTION FRACTION

Much of the treatment of chronic heart failure is directed towards counteracting the compensatory mechanisms for the reduced cardiac output and low blood pressure generated by a failing heart – that is, arterial and venous vasoconstriction and fluid retention. When there is a reduced ejection fraction (HFrEF), a further desirable action is to reduce or reverse the shape change (remodelling) that occurs in the failing ventricle and makes contraction less efficient. Overall, treatment of HFrEF has two main aims: symptom relief and improved prognosis.

#### Nonpharmacological Treatment

A number of lifestyle changes can be helpful.

- Weight reduction should be encouraged for an obese person; this improves exercise tolerance.
- Bed rest may be appropriate to rest the heart during acute episodes of fluid retention.
- Modest dietary salt restriction is desirable (severe salt restriction is unnecessary).
- Fluid restriction is rarely required unless profound hyponatraemia accompanies severe oedema. In this situation, diuretics may be ineffective until the plasma Na<sup>+</sup> concentration is corrected.
- If possible, drugs that exacerbate heart failure by producing myocardial depression (e.g. most calcium channel blockers) or by promoting fluid retention (e.g. nonsteroidal antiinflammatory drugs) should be withdrawn. Beta-adrenoceptor antagonists can cause myocardial depression but should not be stopped (see later), although a high dose may have to be reduced. Alcohol intake should be moderate at most as alcohol depresses myocardial contractility and can be arrhythmogenic.
- A graded exercise programme for people with stable heart failure can improve symptoms.

#### **Diuretics**

Diuretics remain the mainstay of treatment for chronic heart failure with fluid retention and are very effective for relief of symptoms (see Chapter 14). A loop diuretic (usually furosemide), taken once daily in the morning, is typically used. There is no evidence that the use of a loop or thiazide diuretic alters prognosis in heart failure. Hypokalaemia is unusual when loop diuretics are used in chronic heart failure, especially as an ACE inhibitor or angiotensin II receptor antagonist is usually taken concurrently (see later). Nevertheless, the use of a potassium-sparing diuretic is advisable if the plasma K<sup>+</sup> falls below 3.5 mmol/L, especially if digoxin or antiarrhythmic therapy is used concurrently (because of an increased risk of generating cardiac rhythm disturbances). Spironolactone is the preferred potassium-sparing diuretic as it is also a standard treatment in severe heart failure. Spironolactone reduces mortality in HFrEF by 25% if a low dose is added to maximal therapy with other drugs. Eplerenone has a similar benefit for heart failure following acute myocardial infarction. In more severe heart failure, the fluid retention may not respond to usual doses of a loop diuretic. Strategies for the management of diuretic-resistant fluid retention are considered in Chapter 14.

# Angiotensin-Converting Enzyme Inhibitors and Angiotensin II Receptor Antagonists

An ACE inhibitor (see Chapter 6) is now considered to be essential in the treatment of HFrEF and is usually started at the same time as a diuretic. By reducing angiotensin II synthesis, ACE inhibitors produce arterial and venous dilation, which improves cardiac function by decreasing ventricular end-diastolic volume and increasing cardiac output (see Figs. 7.1 and 7.2). ACE inhibitors usually improve breathlessness and fatigue and increase exercise tolerance. Despite early haemodynamic changes, the full symptomatic response is often delayed for 4 to 6 weeks after the start of treatment. A further benefit of ACE inhibitors is improved survival, which may be due to a reversal of the remodelling of the left ventricle. High doses of an ACE inhibitor are more effective than low doses and reduce mortality by 20% to 25% in HFrEF.

ACE inhibitors promote K<sup>+</sup> retention by the kidney. The combination of an ACE inhibitor with spironolactone is used to improve prognosis in severe heart failure but carries a small additive risk of hyperkalaemia.

If an ACE inhibitor is not tolerated, usually because of cough, an angiotensin II receptor antagonist (see Chapter 6) can be substituted. These have similar efficacy to ACE inhibitors.

#### **Beta-Adrenoceptor Antagonists**

Beta-adrenoceptor antagonists (see Chapter 5) are highly effective for the treatment of HFrEF, usually after the condition has been stabilised with an ACE inhibitor (or an angiotensin II receptor antagonist) and a diuretic. When introduced gradually, after starting with a low dose to avoid acute deterioration from the negative inotropic effects, they improve both symptoms and survival. The survival advantage is additive to that produced by an ACE inhibitor, with a further reduction of 30% to 35% in mortality at all classes of severity of heart failure. Possible explanations for the benefit of  $\beta$ -adrenoceptor antagonists are numerous (Box 7.2), but a reversal of cardiac remodelling is probably particularly important. Unless there are contraindications, which do not include chronic obstructive pulmonary disease (COPD), all people who have HFrEF should be considered for treatment with a  $\beta$ -adrenoceptor antagonist once they are clinically stable. There remains some doubt about the value of a  $\beta$ -adrenoceptor

# Box 7.2 Possible Beneficial Effects of $\beta$ -Adrenoceptor Antagonists in Heart Failure.

Reduced workload of ischaemic myocardium Restoration of cardiac excitation–contraction coupling and improved intracellular Ca<sup>2+</sup> handling Reduced cardiac hypertrophy and fibrosis Reduced myocyte apoptosis Antiarrhythmic effects antagonist in people who are in atrial fibrillation, in whom the benefit may be less than for those in sinus rhythm. The  $\beta$ -adrenoceptor antagonists licensed for use in heart failure in the UK are bisoprolol, carvedilol and nebivolol, although there are also data to show the efficacy of a modified-release formulation of metoprolol.

#### Sacubitril Valsartan

Compared with an ACE inhibitor, the use of the angiotensin II receptor antagonist valsartan combined with the neprilysin inhibitor sacubitril reduces hospitalisation as well as mortality by up to 20%. Sacubitril valsartan is recommended to replace an ACE inhibitor or an angiotensin II antagonist (used in combination with a  $\beta$ -adrenoceptor antagonist) if there are persistent symptoms and the left ventricular ejection fraction is less than 35%.

#### Ivabradine

The sinus node inhibitor ivabradine (see Chapter 5) is advocated for those with a left ventricular ejection fraction less than 35% who have a heart rate above 75 beats/minute despite use of the maximum tolerated dose of a  $\beta$ -adrenoceptor antagonist. Ivabradine reduces hospital admissions but has no effect on mortality.

#### Digoxin

Digoxin is widely used to control heart rate when heart failure is associated with atrial fibrillation and a rapid ventricular rate. The use of digoxin for heart failure associated with sinus rhythm has been more controversial, but its positive inotropic effect can be useful as a supplement to standard therapy when there is severe left ventricular systolic dysfunction and persistent symptoms despite standard therapy. Digoxin improves symptoms and the need for hospitalisation; survival may be improved if the serum digoxin concentration is kept low.

### **Other Vasodilators**

Treatment with a combination of hydralazine (see Chapter 6) and isosorbide dinitrate or mononitrate (see Chapter 5) in addition to a diuretic and digoxin provides balanced arterial and venous dilation. This combination improves exercise tolerance in heart failure but produces only a modest reduction in mortality, although there may be greater benefits in Black people. The combination can be tried for people who cannot tolerate an ACE inhibitor or angiotensin II receptor antagonist (see Chapter 6).

# Cardiac Resynchronisation Therapy and Implantable Cardioverter Defibrillators

Cardiac resynchronisation therapy (CRT), or biventricular electrical pacing, is helpful in severe heart failure when the ECG shows bundle branch block and the ventricles display marked dyssynchronous contraction on echocardiography. The pacing of both ventricles simultaneously restores synchronous contraction and improves cardiac output.

About half of all people with heart failure die suddenly of ventricular arrhythmias; an implantable cardioverter defibrillator (ICD) can improve prognosis when there is severe left ventricular impairment and a propensity to ventricular arrhythmias. Combined cardiac resynchronisation–defibrillator devices (CRT-Ds) are also available.

Antiarrhythmic drugs do not improve survival in heart failure.

# HEART FAILURE WITH PRESERVED EJECTION FRACTION

The optimal management of HFpEF and HFmrEF is unclear. Most of the interventions that improve prognosis in HFrEF have little impact on survival when ejection fraction is preserved; therefore, treatment is mainly directed at symptom relief using the drugs discussed previously (with the exception of positive inotropic agents such as digoxin).

# **MANAGEMENT OF SHOCK**

#### **CARDIOGENIC SHOCK**

The immediate aim of treatment is resuscitation while looking for a remediable cause. If the underlying cause is ischaemic heart disease, then early coronary revascularisation is crucial to increase the probability of survival. Supportive measures include the following:

- Supplemental oxygen to achieve normal oxygen saturations.
- Correction of any acid–base imbalance (especially acidosis) and electrolyte abnormalities (particularly hypokalaemia).
- Correction of any cardiac rhythm disturbance (see Chapter 8).
- Ensuring adequate left ventricular filling pressure. This can be low after right ventricular infarction despite a high central venous pressure (right ventricular filling pressure). If intravenous volume and vascular tone is adequate but tissue perfusion remains impaired, dobutamine is usually the inotropic drug of choice.
- Phosphodiesterase inhibitors are sometimes given to improve myocardial contractility and produce peripheral vasodilation. They are usually reserved for those in whom maximum tolerated doses of dobutamine produce insufficient effect. Phosphodiesterase inhibitors can be combined with noradrenaline (norepinephrine) to offset the vasodilator effect.
- Vasodilators can be given to 'offload' the heart once an adequate blood pressure has been established. This strategy is particularly helpful if there is significant mitral regurgitation, as reduced resistance

to left ventricular emptying will diminish the regurgitant volume. Either glyceryl trinitrate (see Chapter 5) or nitroprusside (see Chapter 6) is used.

# **DISTRIBUTIVE (VASODILATORY) SHOCK**

In anaphylaxis, rapid intramuscular administration of adrenaline is potentially life-saving (see Chapter 39). In other forms of distributive shock, such as septic shock (see Chapter 51), intravenous infusion of isotonic fluid, to restore effective circulating volume in the dilated vascular system, is the cornerstone of initial supportive management. Where this, together with treatment of the underlying cause, is insufficient to restore adequate tissue perfusion, it may be augmented by infusion of a vasoconstrictor (vasopressor). This generally requires placement of a central venous catheter and invasive haemodynamic monitoring in a critical care environment. Noradrenaline (norepinephrine) is usually the firstchoice vasoconstrictor, and vasopressin (see Chapter 43) may be added if the response to this is inadequate. Vasopressin is a direct-acting vasoconstrictor that also increases vascular sensitivity to noradrenaline.

# SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. When blood pressure falls, sympathetic outflow increases because of an increase in the sensory input from the baroreceptors in the carotid sinus.
- 2. In the healthy heart, a rise in afterload increases myocardial contractility.
- 3. Breathlessness and fatigue are common symptoms of heart failure.
- 4. Pulmonary oedema occurs when the hydrostatic pressure in the pulmonary veins is less than the plasma osmotic pressure.
- 5. In severe heart failure, the body's attempts to compensate for the cardiac dysfunction are detrimental.
- 6. Digoxin is the mainstay of the treatment of heart failure.
- 7. Digoxin inhibits the Na<sup>+</sup>/K<sup>+</sup>-ATPase pump on the cardiac myocyte membrane.
- Hypokalaemia reduces the effect of digoxin on the Na<sup>+</sup>/K<sup>+</sup>-ATPase pump.
- 9. Digoxin inhibits the vagus nerve, thus decreasing the refractory period of the atrioventricular node.
- 10. Dobutamine produces peripheral vasodilation by its effect on  $\beta_2$ -adrenoceptors.
- 11. Sustained infusion of dobutamine desensitises the receptor response.
- 12. Milrinone is given intravenously to improve tissue perfusion in cardiogenic shock.
- 13. Angiotensin-converting enzyme (ACE) inhibitors should not be given together with K<sup>+</sup>-sparing diuretics.
- 14. ACE inhibitors can cause cough by reducing the synthesis of bradykinin.

- 15. Survival in chronic heart failure is improved by β-adrenoceptor antagonists.
- 16. Hydralazine is a vasodilator with a predominant effect on veins.
- 17. Natriuretic peptides are synthesised by neprilysin.
- 18. Sacubitril valsartan is no more effective than an ACE inhibitor in heart failure with reduced ejection fraction.

### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which cardiovascular action of dopamine is mediated by D<sub>2</sub> receptors?
  - A. Diuresis
  - B. Peripheral arterial vasodilation
  - C. Positive inotropy
  - D. Renal arterial vasodilation
  - E. Tachycardia
- 2. Which enzyme is a neutral endopeptidase?
  - A. Angiotensin-converting enzyme
  - B. Catechol-O-methyltransferase
  - C. Monoamine oxidase
  - D. Neprilysin
  - E. Phosphodiesterase

# **CASE-BASED QUESTIONS**

Mr D.Y. is 78 years of age and had a large anterior myocardial infarction 3 years ago. Echocardiography revealed significant left ventricular systolic dysfunction with an ejection fraction of 30%. He presents with several symptoms, including fatigue, decreased exercise ability, shortness of breath, and peripheral oedema. Examination shows cardiomegaly, a raised jugular venous pressure, and crackles in the lungs. An ECG shows that he is in sinus rhythm.

- 1. What are the choices of diuretic open to you in treating Mr D.Y.?
- 2. Potassium loss produced by diuretics may lead to hypokalaemia. What is an effective way of reducing urinary K<sup>+</sup> loss?
- 3. Mr D.Y. was started on an ACE inhibitor. What precautionary measures should be taken in starting this new medication?
- 4. Could long-term oral digoxin be used as part of the treatment for Mr D.Y.?
- 5. Could the use of a β-adrenoceptor antagonist make Mr D.Y.'s condition worse?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **False.** A falling blood pressure reduces the baroreceptor reflex input to the vasomotor centre, resulting in increased sympathetic outflow.
- 2. **True.** A rise in afterload decreases stroke volume initially, but the consequent sympathetic stimulation increases contractility in the healthy heart and restores the stroke volume. In the failing heart, contractility increases less readily and stroke volume falls.

- 3. **True.** The breathlessness is caused by increased pulmonary venous pressure, leading to pulmonary oedema; fatigue is caused by reduced cardiac output and impaired perfusion of skeletal muscle.
- 4. **False.** Oedema occurs when the net hydrostatic pressure, which moves fluid out of the vessel, is greater than the plasma osmotic pressure, which moves interstitial fluid into the vessel.
- 5. **True.** The fall in cardiac output activates the sympathetic nervous system and the renin–angiotensin–aldosterone system; these changes are appropriate to restore blood pressure in the event of haemorrhage but are unhelpful in severe heart failure as they increase preload, afterload and heart rate, hence increasing the workload of the failing heart.
- 6. **False.** Digoxin may be of benefit, but the mainstay of treatment is a diuretic such as furosemide and an ACE inhibitor (or angiotensin II receptor antagonist). If diuretics are given concurrently with digoxin, a potassium-sparing diuretic such as spironolactone may also be required to prevent hypokalaemia; hypokalaemia increases the risk of digoxin-induced rhythm disturbances.
- True. By blocking the Na<sup>+</sup>/K<sup>+</sup>-ATPase pump, cellular export of Na<sup>+</sup> is reduced and intracellular Na<sup>+</sup> concentrations rise. The reduced Na<sup>+</sup> concentration gradient across the cell membrane reduces the linked export of Ca<sup>2+</sup> ions. Increased intracellular Ca<sup>2+</sup> concentrations enhance contractility.
- 8. **False.** Potassium ions and digoxin compete for the pump; hypokalaemia can therefore increase the activity and proarrhythmic risk of digoxin.
- 9. **False.** As well as its direct effects on the heart, digoxin increases vagal outflow from the vasomotor centre. This increases the refractory period of the atrioventricular node and is the reason why digoxin is useful in some arrhythmias, such as atrial fibrillation.
- 10. **False.** Dobutamine is a selective  $\beta_1$ -adrenoceptor agonist and does not produce peripheral vaso-dilation.
- 11. **True.** Stimulation of  $\beta_1$ -adrenoceptors by dobutamine results in cAMP synthesis and increased cardiac contractility. Prolonged stimulation (48–72 hours) desensitises the receptors; this does not occur with phosphodiesterase type 3 inhibitors, such as milrinone, which bypass the receptor and raise levels of cAMP by blocking its breakdown.
- 12. **True.** Milrinone inhibits the breakdown of intracellular cAMP by phosphodiesterase type 3 in cardiac and vascular smooth muscle, resulting in an inotropic effect and peripheral vasodilation; it is used to treat cardiogenic shock not responding to full doses of dobutamine.

- 13. False. The combination of an ACE inhibitor and spironolactone provides additive clinical benefit, but care must be taken to avoid dangerous hyper-kalaemia, with regular monitoring of the plasma K<sup>+</sup> concentration. This is because ACE inhibitors reduce aldosterone-dependent reabsorption of Na<sup>+</sup> in the collecting duct. Because Na<sup>+</sup> reabsorption at this site occurs in exchange for K<sup>+</sup> efflux, ACE inhibitors may increase K<sup>+</sup> retention, particularly in combination with potassium-sparing diuretics.
- 14. **False.** ACE inhibitors reduce the breakdown of bradykinin by ACE (which is also known as kininase II); increased levels of bradykinin and other kinins in the lungs are thought to be responsible for the cough seen in up to 30% of people taking ACE inhibitors. An alternative strategy is to use an angiotensin II receptor antagonist.
- 15. **True.** ACE inhibitors,  $\beta_1$ -adrenoceptor antagonists and spironolactone improve survival in chronic heart failure, possibly by reducing the cardiac remodelling effects of catecholamines, angiotensin II and aldosterone, respectively.
- 16. **False.** Hydralazine is predominantly an arterial vasodilator, whereas isosorbide mononitrate mainly acts as a venodilator; their combined use may be effective in chronic heart failure in people intolerant of ACE inhibitors or angiotensin II receptor antagonists.
- 17. **False.** Neprilysin degrades A- and B-type natriuretic peptides and also other vasoactive peptides including angiotensin II, bradykinin, endothelin and vasopressin.
- 18. **False.** Sacubitril valsartan is more effective in reducing morbidity and mortality than an ACE inhibitor.

# **OBA ANSWERS**

- 1. **Answer B** is correct. Peripheral arterial vasodilation is produced by low concentrations of dopamine acting at presynaptic  $D_2$  receptors, thus reducing the release of noradrenaline; however, high concentrations of dopamine acting at  $\alpha_1$ -adrenoceptors cause peripheral arterial vasoconstriction. Diuresis (answer A) and renal arterial vasodilation (answer D) are produced by low doses of dopamine acting at  $D_1$  receptors. Moderate doses of dopamine produce positive inotropic effects (answer C) by acting nonselectively at myocardial  $\beta$ -adrenoceptors and by acting at  $\beta_2$ -adrenoceptors to cause peripheral vasodilation, leading to reflex tachycardia (answer E).
- 2. **Answer D** is correct. Neprilysin is a neutral endopeptidase that breaks down A-type natriuretic peptide (ANP), B-type natriuretic peptide (BNP) and other peptides including angiotensin II, bradykinin, endothelin and vasopressin (ADH). Angiotensin-converting enzyme (ACE; answer A)

is a zinc metalloprotease that synthesises angiotensin II from its precursor angiotensin I. Monoamine oxidase (MAO; answer C) and catechol-O-methyltransferase (COMT; answer B) break down catecholamines such as adrenaline, whilst the substrates of phosphodiesterases (PDE; answer E) are cyclic nucleotides such as cAMP and cGMP, not peptides.

# CASE-BASED ANSWERS

- 1. The treatment of first choice for fluid retention in chronic heart failure is a diuretic. For mild symptoms, a thiazide diuretic may be adequate, but in most people a loop diuretic such as furosemide is used. The loss of renal function in the elderly and reduced renal perfusion in heart failure means that thiazide diuretics are less effective in older people with this condition, such as Mr D.Y.
- 2. Hypokalaemia is arrhythmogenic and should be avoided in people with heart failure, particularly those taking digoxin. Urinary K<sup>+</sup> loss caused by a loop diuretic or thiazide can be reduced by combination with a K<sup>+</sup>-sparing diuretic such as amiloride or spironolactone. Amiloride directly blocks epithelial Na<sup>+</sup>/K<sup>+</sup> exchange in the collecting duct. In severe heart failure, spironolactone, which competes with aldosterone for the mineralocorticoid receptor, also improves prognosis, possibly by blocking aldosterone-dependent cardiac remodelling.
- 3. ACE inhibitors reduce preload and afterload by reducing the production of angiotensin II, a powerful vasoconstrictor, and reducing blood volume (via reduced aldosterone production). They also slow the progression of heart failure and improve survival. There is a small risk of severe hypotension following the first dose; omission of the diuretic immediately prior to this may be helpful.
- 4. The place of digoxin is well established in heart failure associated with atrial fibrillation and a rapid ventricular rate, but the benefit of a low dose of digoxin combined with a diuretic and an ACE inhibitor is now established in heart failure with severe left ventricular systolic dysfunction and sinus rhythm.
- 5. When used injudiciously at high dosages,  $\beta$ -adrenoceptor antagonists may worsen heart failure by reducing cardiac output; however, low doses are beneficial (see Box 7.2) if the patient's condition has been stabilised with diuretics and an ACE inhibitor.

# **FURTHER READING**

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# Compendium of Drugs Used to Treat Heart Failure.

DRUG	CHARACTERISTICS
Cardiac Glycoside	
Increase intracellular Ca2	+ by inhibiting Na+/K+-ATPase in myocyte membrane.
Digoxin	Used in heart failure and for supraventricular arrhythmias (particularly atrial fibrillation, see Chapter 8). Given orally, or by intravenous infusion for rapid effect.
Inotropic Sympathomimetic	CS
β-Adrenoceptor agonists short half-lives, so all a	, some with additional actions; rapidly metabolised by local MAO and COMT pathways, giving very re given by intravenous infusion.
Dobutamine	Selective β <sub>1</sub> -adrenoceptor agonist; inotropic action with little effect on heart rate or vascular tone. Used after myocardial infarction, cardiac surgery, cardiomyopathies, septic shock, and cardiogenic shock.
Dopamine	Nonselective $\beta$ -adrenoceptor agonist; increases cardiac contractility and heart rate; agonism of peripheral dopamine receptors increases renal perfusion, offset by $\alpha_1$ -adrenoceptor-mediated vasoconstriction at high doses. Used for cardiogenic shock in exacerbations of chronic heart failure and in heart failure associated with cardiac surgery.
Dopexamine	Selective $\beta_2$ -adrenoceptor agonist; increases cardiac contractility and rate; agonism of renal dopamine receptors increases renal perfusion. Used for inotropic effect after myocardial infarction, cardiac surgery, cardiomyopathies, septic shock, and cardiogenic shock.
Isoprenaline	Nonselective β-adrenoceptor agonist. Used in emergency treatment of bradycardia. Available in the UK only from 'special order' manufacturers.
Phosphodiesterase Inhibito	ors and the second s
Inhibit phosphodiesterase	e (PDE) type 3 in myocytes.
Enoximone	Used for congestive heart failure where cardiac output is reduced and filling pressure increased. Given by intravenous infusion.
Milrinone	Used for short-term treatment of severe congestive heart failure and acute heart failure. Given by intravenous infusion.
Angiotensin Receptor Nepr	ilysin Inhibitor (ARNI)
Sacubitril valsartan	Conjugate of sacubitril with the angiotensin II receptor antagonist valsartan; sacubitril is a prodrug of sacubitrilat, which inhibits the breakdown of A- and B-type natriuretic peptides by neprilysin. Valsartan is an angiotensin II receptor antagonist (see Chapter 6). Used for symptomatic chronic heart failure with reduced ejection fraction. Given orally.

MAO, Monoamine oxidase; COMT, catechol-O-methyltransferase.

# **Cardiac Arrhythmias**

# **Chapter Outline**

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# **BASIC CARDIAC ELECTROPHYSIOLOGY**

Action potentials in myocardial cells and the highly regulated cardiac contractions that result are a product of transmembrane ion currents generated by the movement of ions through membrane channels (see Chapter 1). A variety of specific channels exist for transmembrane Na<sup>+</sup>, Ca<sup>2+</sup> and K<sup>+</sup> transport in the myocardium (Figs. 8.1 and 8.2). These channels cycle through three states: resting, open or closed (inactive and refractory). Whether the channels are open to allow ion flow or closed is determined by the electrical potential across the cell membrane; therefore they are called *volt*age-gated ion channels. The direction in which ions move is dependent on the type of channel, the concentration gradient of the ions and the transmembrane electrical potential (see Figs. 8.1 and 8.2). Channels may 'rectify' which means that they pass current more readily in one direction across a membrane than the other way. For example, inward rectifying channels preferentially conduct current into the cell. As a result of activity in some of these ion channels, the resting electrical potential inside a cardiac cell is approximately -70 to -80 mV compared with the extracellular environment. Depolarisation of myocardial cells generates an action potential that passes from one cell to the next.

The sinoatrial (SA) node, atrioventricular (AV) node, bundle of His and Purkinje system are part of the *specialised conducting system* of the heart. This system is responsible for initiating a depolarising impulse and ensures that it is conducted rapidly and synchronously

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through the ventricular myocardium. In addition, the AV node slows impulse conduction. This allows time for the completion of mechanical events in the atrium and therefore enables ventricular filling before ventricular contraction begins. Once the impulse is passed from these specialised cells to cardiac myocytes, depolarisation initiates myocyte contraction and also triggers depolarisation in adjacent myocytes. This ensures conduction of the impulse progressively throughout the myocardium.

The action potentials in cells of the SA and AV nodes, Purkinje fibres and ventricular muscle vary substantially in their characteristics, which reflect differences in the ion channels that are activated during depolarisation and repolarisation (see Figs. 8.1, 8.2 and 8.5). Action potentials in cardiac cells can be divided into four phases (see Figs. 8.1A and 8.2), although phases 1 and 2 are not clearly evident in the SA and AV nodes (see Fig. 8.1A).

# PACEMAKER ACTIVITY OF CELLS IN THE SPECIALISED CONDUCTING SYSTEM

The myocardial cells of the specialised conducting system (SA node, AV node, bundle of His and Purkinje system) are distinguished electrophysiologically from cardiac myocytes by their intrinsic ability to depolarise spontaneously in phase 4 of the action potential and independently generate a propagated action potential. These cells are said to possess *automaticity* and are termed *pacemaker cells*. In contrast, cardiac myocytes have a stable resting potential and do not



show phase 4 spontaneous depolarisation (compare Fig. 8.1A and C).

The primary pacemaker that drives normal repetitive cardiac contractions is the SA node. The cells of the SA node have the fastest rate of spontaneous depolarisation, producing a normal sinus rhythm in the heart of 60 to 100 propagated impulses (and therefore ventricular contractions) per minute at rest. The secondary pacemaker, the AV node, depolarises more slowly and can only generate 40 to 60 impulses per minute, whereas the tertiary pacemakers (the bundle of His, its branches and the Purkinje fibres) can fire between 20 and 40 times per minute. The secondary and tertiary pacemakers will therefore only be utilised if there is a failure of pacemakers that have a faster rate of spontaneous depolarisation.

### CONTROL OF DEPOLARISATION IN PACEMAKER AND NONPACEMAKER CELLS

The negative internal resting membrane potential in myocardial cells is maintained by high K<sup>+</sup> permeability of resting cell membranes through inward rectifying voltage- and ligand-gated K<sup>+</sup> channels, which



remove K<sup>+</sup> from the cell. These channels close when the cell depolarises. As previously discussed, progressive spontaneous depolarisation in phase 4 of the action potential distinguishes pacemaker cells from other cells in the heart. This depolarisation of pacemaker cells results from influx of positive ions (Na<sup>+</sup> and K<sup>+</sup>) into the cell through HCN (hyperpolarisation-activated cyclic nucleotide-gated) channels that generate the cardiac pacemaker current  $I_f$  (the 'funny current') (see Figs 8.1A and 8.2). The pacemaker current is unusual because it is generated by mixed-ion transport through a single channel, is activated by the negative intracellular potential in diastole, and has slow activation and deactivation rates. Nonpacemaker cells have a minimal or no  $I_f$ .

The intrinsic rate of firing of a pacemaker cell depends on four factors:

- the resting intracellular electrical potential,
- the resting electrical potential at which the *I*<sub>f</sub> is activated,
- the rate of spontaneous depolarisation by the  $I_{\rm f}$ ,
- the threshold intracellular electrical potential for initiating full depolarisation.



Fig. 8.2 A schematic representation of the influx and efflux of Na<sup>+</sup>, Ca<sup>2+</sup> and K<sup>+</sup> in Purkinje fibres. (A) Action potential, (B) ionic currents underlying the action potential. This figure should be examined in conjunction with Table 8.1 and other explanations given in the text. A downward inflection represents an influx of the ion and an upward inflection, an efflux. (Modified and reproduced with permission from Tamargo J, Caballero R, Gomez R, et al., 2004. Pharmacology of cardiac potassium channels. Cardiovasc. Res. 62, 9–33).

Activation of the  $I_t$  in the SA node is modulated by the autonomic nervous system via intracellular cyclic adenosine monophosphate (cAMP). Stimulation of  $\beta_1$ adrenoceptors generates cAMP which shifts the intracellular electrical potential required for activation of the channel to a less negative voltage difference, which increases the probability of initiating depolarisation. It also increases the rate of diastolic depolarisation. By contrast, vagal stimulation via muscarinic M<sub>2</sub> receptors inhibits cAMP production so that activation of the channel only occurs at a more negative intracellular voltage.

During initial depolarisation, T-type Ca<sup>2+</sup> channels open to enhance depolarisation. Full depolarisation of the SA or AV node occurs when, as a result of the  $I_t$ , the internal membrane potential reaches a threshold potential that opens voltage-gated L-type Ca<sup>2+</sup> channels so that greater Ca<sup>2+</sup> influx into the cell occurs (phase 0) (see Fig. 8.1A;  $I_{CaL}$  in Fig. 8.2). As phase 0 of the action potential in the SA and AV nodes is Ca<sup>2+</sup>-dependent, the rate of depolarisation is slower than in Purkinje fibres or myocytes where this phase is Na<sup>+</sup>-dependent. The slow influx of Ca<sup>2+</sup> that generates phase 0 in the AV node is the reason for slow conduction of the depolarising impulse through the AV node.

The SA node is the dominant cardiac pacemaker because even in the absence of sympathetic stimulation, activation of the  $I_f$  current in the SA node occurs at a less negative intracellular potential and has a faster intrinsic rate of depolarisation than in the AV node or Purkinje fibres. Spontaneous diastolic depolarisation in the SA node is therefore initiated earlier after repolarisation and the threshold potential for full depolarisation of the cell (phase 0) is reached sooner.

Phase 0 of the action potential in Purkinje fibres and atrial and ventricular myocytes is initiated by a rapid influx of Na<sup>+</sup> through voltage-gated Na<sup>+</sup> ion channels (fast Na<sup>+</sup> channels) (see Fig. 8.1B;  $I_{Na}$  in Fig. 8.2). In cells other than the triggering pacemaker, the depolarising current in phase 0 is initiated by propagation of the depolarising wave between cells. The change of intracellular potential in myocytes as a consequence of the Na<sup>+</sup> influx opens the L-type Ca<sup>2+</sup> channels that initiate muscle contraction. At the end of phase 0, the intracellular voltage potential briefly becomes positive, at which point a voltage-triggered 'gate' closes and inactivates the depolarising Na<sup>+</sup> or Ca<sup>2+</sup> channels (see Fig. 8.1A and B).

# CONTROL OF CELL REPOLARISATION IN PACEMAKER AND NONPACEMAKER CELLS

Once depolarised, cardiac cells undergo repolarisation to return the membrane potential to its resting level. This creates the conditions for the next action potential to be initiated (see Figs. 8.1 and 8.2). In both pacemaker and nonpacemaker cells, repolarisation (phase 3) is achieved by the opening of cell membrane K<sup>+</sup> rectifier channels (see Table 8.1 for explanation). In the Purkinje system and nonpacemaker cardiac cells, initial repolarisation through the  $I_{to}$  current (phase 1) is interrupted by influx of Ca<sup>2+</sup> through L-type channels (phase 2, the plateau phase;  $I_{CaL}$  in Fig. 8.2), which balances K<sup>+</sup> efflux (see Fig. 8.1B, phase 2). This plateau is essential for excitation–contraction coupling. Eventually, the K<sup>+</sup> current dominates in these cells through activation of  $I_{Kr}$ ,  $I_{Ks}$  and  $I_{K1}$  currents (phase 3) and the cell returns to a negative intracellular resting potential. Repolarisation is also helped by Ca<sup>2+</sup> extrusion from the cell through the Na<sup>+</sup>/Ca<sup>2+</sup> ion exchanger and by Ca<sup>2+</sup> uptake by the sarcoplasmic reticulum.

In the resting phase between action potentials, Na<sup>+</sup> and K<sup>+</sup> transmembrane concentration gradients are restored by an energy-dependent ion exchange pump (Na<sup>+</sup>/K<sup>+</sup>-ATPase; see Fig. 7.4), and the low intracellular Ca<sup>2+</sup> concentration is restored by the cell membrane Na<sup>+</sup>/Ca<sup>2+</sup> ion exchanger and Ca<sup>2+</sup> uptake into the sarcoplasmic reticulum.

During the period between phase 0 and the end of phase 2 of the action potential, the myocardial cell is refractory to any further depolarisation (the *absolute refractory period*, RP; see Fig. 8.1B). This is because the depolarising channels are held in the inactivated state

	-	
TYPE OF GATING	EXAMPLES OF DISTRIBUTION	COMMENT
$K_{v}$ Voltage-Gated Channel Fam	nily	
K <sub>v</sub> channels carrying delayed inward rectifying currents	Widely distributed, including brain, heart, pancreas	Multiple subtypes of K <sup>+</sup> channels are involved in delayed inward rectification and are responsible for slow ( $l_{Ks}$ ), rapid ( $l_{Kr}$ ) and ultrarapid ( $l_{Kur}$ ) K <sup>+</sup> currents involved in repolarisation in phases 2 and 3 in the heart. Inhibited by some class I and class III antiarrhythmics (e.g. amiodarone and sotalol)
K <sub>v</sub> channel carrying transient outward rectifying (/ <sub>κτο</sub> ) current		A genetically distinct member of the $K_v$ family of channels. Responsible for the $l_{to1}$ transient current in phase 1. Activated by adenosine; inhibited by quinidine, amiodarone
K <sub>ir</sub> Family		
Inward rectifying	Heart, muscle, brain, pancreas	Inward rectifying, rapidly inactivates cardiac Na <sup>+</sup> channels; sets resting membrane potential ( $I_{\kappa_1}$ , $I_{\kappa_r}$ ). Inhibited by amiodarone
Ligand-Gated Channels		
ATP-sensitive channels (K <sub>ATP</sub> )	Heart, muscle, pancreas, mitochondria	Composed of coexpressed K <sub>ir</sub> and sulfonylurea subunits with varied configurations in different tissues. Provide a weak inward rectifying current. Opened by minoxidil and nicorandil and by ischaemia; inhibited by ATP and sulfonylureas
Acetylcholine-sensitive channel (K <sub>ACh</sub> )	SA node, AV node and atria	This is G-protein–linked in the SA node, atria and AV node and is a member of the $K_{ir}$ family, resulting in an inward rectifying ( $K_{ir}$ ) current. Opened by adenosine; inhibited by atropine and disopyramide
Two-pore channel ( $K_{2P}$ )	Heart, brain, pancreas	Weak inward rectifying current; modulates resting membrane potential. Opened by arachidonic acid
Calcium-activated (K <sub>ca</sub> family)		Members of $K_{ir}$ family of channels
Large conductance channel (B <sub>Kca</sub> )	Heart, brain, pancreas	Possible roles in neuroprotection, erectile dysfunction and other disorders
Intermediate conductance channel (/ <sub>Kca</sub> )	T-lymphocytes, smooth muscle, brain, heart	Opened by hydralazine

 Table 8.1
 Selected Examples of K<sup>+</sup> Channels and Associated Currents.

This table should be studied in conjunction with Fig. 8.2. Potassium channels are diverse in structure and behaviour. Each channel consists of four membrane-spanning subunits, with each subunit consisting of two to six linked membrane segments which make up the water-filled pore. This allows many different configurations of K<sup>+</sup> channels, many of which have particular physiological roles. Channels with subunit variations are associated with different types of current which are involved in repolarisation at different phases of the cardiac action potential. The channels can be open or closed depending on the voltage across the cell or the presence of a selective ligand. Rectifying current: an inward rectifying current means that, under conditions of equivalent but opposing electrochemical potentials, these channels pass more current inwards than outward rectifying current is similar but in an outward direction.

ATP, Adenosine triphosphate; AV, atrioventricular; SA, sinoatrial.

until a sufficiently negative potential is restored inside the cell. During phase 3, a large depolarising stimulus can open sufficient Na<sup>+</sup> or Ca<sup>2+</sup> channels (many of which will have returned to the resting state) to overcome the K<sup>+</sup> efflux and initiate a further action potential. This part of the action potential is the *relative refractory period* (RRP; see Fig. 8.1B).

The sum of the electrical activity generated by the individual action potentials that pass from one cell to another through the heart can be recorded as the surface electrocardiogram (ECG) (Fig. 8.3).

# **MECHANISMS OF TACHYARRHYTHMOGENESIS**

Arrhythmias are disorders of rate and rhythm of the heart, which can arise as the result of either abnormal impulse generation (abnormal focal activity) or abnormal impulse conduction (re-entry). Tachyarrhythmias (tachycardias) are a subset of arrhythmias in which there is an abnormally rapid ventricular rate (>100 beats per minute). There are three mechanisms of tachyarrhythmogenesis:

Enhanced automaticity. Dominant ectopic pacemakers (pacemakers other than the SA node) can arise when pacemaker cells in the specialised conducting tissue develop a more rapid phase 4 depolarisation than that of the SA node. Ectopic pacemakers can also arise when rapid spontaneous phase 4 depolarisation develops in myocytes that usually have a stable phase 4. The enhanced automaticity can arise from a negative shift in the threshold potential or a positive shift in the maximum diastolic repolarisation associated with an increased rate of diastolic depolarisation. Ischaemia or other changes in the microcellular environment can create conditions that allow a nonpacemaker myocardial cell to express an  $I_{\rm f}$  current and become a pacemaker. Increased automaticity can produce various supraventricular and ventricular tachyarrhythmias (VAs).



**Fig. 8.3** The waveform for cardiac events seen on a surface electrocardiogram. The P wave represents the spread of depolarisation through the atria and the QRS complex is the spread through the ventricles. The T wave represents repolarisation of the ventricle. The P–R interval is the time of conductance from atrium to ventricles, and the QRS time is the time the ventricles are activated. The duration of the ventricular action potential is given by the Q–T interval.

• Triggered activity. A cell can develop transient rapid depolarising activity during or following repolarisation ('afterdepolarisations'), which will initiate an action potential if they reach the threshold potential of the cell. Afterdepolarisations are said to be 'early' if they occur during phase 3 repolarisation (RRP in Fig. 8.1B), or 'delayed' if they occur during phase 4. Early afterdepolarisations (EADs) occur when the

repolarising K<sup>+</sup> current is decreased, or there is an increased late Na<sup>+</sup> current or Ca<sup>2+</sup> current. Delayed afterdepolarisations (DADs) are due to intracellular Ca<sup>2+</sup> overload and follow spontaneous Ca<sup>2+</sup> release from the sarcoplasmic reticulum during prolonged repolarisation. This activates the cell membrane  $3Na^{+}/Ca^{2+}$  exchange transporter and produces a net depolarising current. Triggered activity is a relatively uncommon mechanism of arrhythmogenesis but can underlie a variety of atrial and ventricular tachyarrhythmias. EADs are responsible for the proarrhythmic activity of class Ia and III antiarrhythmic agents and are also more common in pathophysiological states such as heart failure and ventricular hypertrophy. DADs are provoked by digitalis glycosides and also promoted by ischaemia, hypokalaemia, hypercalcaemia and increased circulating catecholamines.

Re-entry. This is the cause of most clinically impor-• tant arrhythmias. It is frequently initiated when a depolarising impulse, which is often a premature (ectopic) beat from elsewhere in the heart, arrives at a part of the myocardium that is still in its refractory period. A refractory part of the myocardium is usually created by a fast conducting pathway that has a slow recovery from depolarisation. If the impulse can access an adjacent part of the myocardium, it can bypass the refractory tissue. When this alternative pathway has a slower rate of conduction but a fast recovery rate, the impulse may subsequently arrive at the distal part of the refractory tissue when this tissue has had sufficient time to repolarise. The impulse can then be conducted retrogradely through this previously refractory tissue (Fig. 8.4).



Fig. 8.4 Conduction in normal and damaged cardiac tissue. (A) In normal tissue, conduction is carefully ordered. When an action potential has been generated, the cells cannot be immediately reactivated because of refractoriness of the myocardial cells. If conducted impulses meet, they die out. (B) If an area of damage or dysfunction is present, impulses are conducted abnormally. If an action potential arrives at the area of damaged tissue and it is fully refractory, the impulse is blocked and an arrhythmia will not develop. (C) If an action potential arrives at a damaged area and is capable of being excited and conducting in a retrograde direction, a perpetuating abnormal re-entry circuit may be set up.

When the retrograde conduction is complete and if there has been sufficient time for the alternative pathway to repolarise, a self-perpetuating circuit of electrical activity will be initiated (a re-entry circuit or 'circus' movement of the impulse). Once established, the re-entry circuit acts as a pacemaker that initiates impulses which are then propagated through the heart.

Functional re-entry circuits can be localised within a small area of myocardium that has been damaged by pathology such as fibrosis or ischaemia (micro re-entry circuits). This underlies many arrhythmias such as atrial or ventricular fibrillation. The myocardium can also support large anatomical re-entry circuits (macro re-entry circuits). These circuits arise over a larger area of myocardium and cause arrhythmias such as atrial or ventricular flutter or AV nodal re-entry tachycardia. Macro re-entry circuits are also found when there is a congenital accessory pathway that connects the atria and ventricles, which provides an alternative pathway to the AV node for conducting electrical activity between the atria and ventricles. The re-entry circuit between the atria and ventricles includes both the accessory pathway and the AV node (AV re-entry). An example of this type of macro re-entry circuit is Wolff–Parkinson–White syndrome.

 Other mechanisms of re-entry have been identified that do not depend on 'circus' movement of an impulse. These include reflection of an impulse that is confronted with an area of depressed excitability, and phase 2 re-entry, in which an action potential is propagated from a site with longer action potential duration to one with shorter action potential duration producing re-excitation.

# MECHANISMS OF ACTION AND CLASSIFICATION OF ANTIARRHYTHMIC DRUGS

A widely used classification of antiarrhythmic drugs (the Vaughan-Williams classification) is based on their effects on the action potential (Fig. 8.5). This classification has many flaws and does not take account of the multiple actions possessed by some drugs or the fact that the effects of drugs on diseased myocardium may differ from those on healthy myocardium. However, there is no widely accepted alternative classification.



**Fig. 8.5** Effects of different classes of antiarrhythmic drugs on the cardiac action potential in ventricular cells (panels A, B, C and E) and the atrioventricular (AV) node (panels D and F). (A) *Class la drugs* block fast Na<sup>+</sup> channels in phase 0 with moderate potency and some K<sup>+</sup> channels; repolarisation is prolonged. (B) *Class Ib drugs* weakly block fast Na<sup>+</sup> channels in phase 0 only in abnormal tissue, with little effect on K<sup>+</sup> channels. (C) *Class Ic drugs* potently block fast Na<sup>+</sup> channels and weakly block Ca<sup>2+</sup> channels and some K<sup>+</sup> channels. (D) *Class II drugs* reduce phase 4 and phase 0 depolarisation in AV and sinoatrial (SA) nodes; repolarisation in the AV node is prolonged. (E) *Class III drugs* block some K<sup>+</sup> channels, inhibiting repolarisation and prolonging the action potential. (F) *Class IV drugs* block L-type Ca<sup>2+</sup> channels, slowing phase 0 and phase 4 depolarisation particularly in the AV node, with less effect in the SA node; repolarisation is prolonged.

#### VAUGHAN-WILLIAMS CLASSIFICATION

The Vaughan-Williams classification recognises four classes of drug (Table 8.2).

#### Class I

All class I drugs inhibit fast Na+ channels and slow the rate of rise of phase 0; therefore they reduce the rate of the myocardial cell's depolarisation and slow action potential conduction in atria, ventricles and specialised conducting tissue. They also increase the excitation threshold of the cell. Class 1 drugs are often called *membrane stabilisers*. They readily penetrate the phospholipid

CLASS	EXAMPLES	SUPRAVENTRICULAR TACHYARRHYTHMIAS	VENTRICULAR TACHYARRHYTHMIAS
la	Disopyramide (procainamide)	+	+
lb	Lidocaine	-	+ (especially after myocardial infarction)
lc	Flecainide, propafenone	+	+
П	β-Adrenoceptor antagonists	+	+ (especially after myocardial infarction)
Ш	Amiodarone, sotalol	+	+
IV	Calcium channel blockers	+	-

 Table 8.2
 Principal Indications for Antiarrhythmic Drugs.

bilayers of the cell membrane, where they concentrate in the hydrophobic core and bind to hydrophobic amino acids in the Na<sup>+</sup> channel (see also local anaesthetics; see Chapter 18). Class I drugs are subdivided according to their effects on the duration of the action potential.

- Class Ia drugs, such as disopyramide, produce moderate Na<sup>+</sup> channel blockade with intermediate dissociation kinetics. In addition, they block some K<sup>+</sup> channels (see Table 8.1, and Figs. 8.1 and 8.5A), which slows repolarisation and therefore prolongs the duration of the action potential. *They are effective* for the treatment of both supraventricular and ventricular tachyarrhythmias (see Table 8.2).
- Class Ib drugs, such as lidocaine, produce weak Na<sup>+</sup> channel blockade with rapid dissociation kinetics mainly in abnormal tissue (such as ischaemic, partially depolarised myocardium) with little effect in healthy tissue. They do not block K<sup>+</sup> channels and have either no effect on repolarisation or may shorten it in healthy tissue (see Fig. 8.5B). They are effective only for the treatment of ventricular tachyarrhythmias.
- Class Ic drugs, such as flecainide, produce marked Na<sup>+</sup> channel blockade with slow dissociation kinetics. They produce weak blockade of some K<sup>+</sup> channels (see Table 8.1), and also block intracellular ryanodine receptor (RyR2) Ca<sup>2+</sup> channels and reduce Ca<sup>2+</sup> release from the sarcoplasmic reticulum. There is minimal effect on repolarisation (see Fig. 8.5C). *They are effective for the treatment of both supraventricular and ventricular tachyarrhythmias.*

The different effects of the class I subgroups result from their diverse ion-channel binding characteristics. During the time course of the action potential, access of the drug to its binding site is intermittent and dependent on the state of the channel. Class Ib drugs (such as lidocaine) show marked use-dependence. They associate more rapidly with Na<sup>+</sup> channels during depolarisation and rapidly dissociate from the channel when it returns to the resting state. This means they are more effective when there are repetitive depolarisations and they can block premature impulses. Cells in ischaemic myocardium are more likely to be depolarised, and ischaemia mainly affects the ventricles, which explains the selectivity of class Ib drugs for ventricular tachyarrhythmias in ischaemic heart disease. Class Ic drugs (such as flecainide) also show use-dependent binding but dissociate more slowly from their binding sites in the Na<sup>+</sup> channel and therefore produce prolonged blockade. This results in a more widespread reduction in cellular excitability. Class Ia drugs (such as disopyramide) have binding characteristics between those of the other two subgroups.

#### Class II

The class II drugs are the  $\beta$ -adrenoceptor antagonists ( $\beta$ -blockers), which block the actions of catecholamines on HCN pacemaker channels (see Fig. 8.5D). Beta-adrenoceptor antagonists reduce the rate of spontaneous depolarisation of SA and AV nodal tissue, which slows

the heart rate in sinus rhythm and reduces conduction through the AV node. They can also inhibit ectopic pacemakers that have developed dominant automaticity. *Beta-adrenoceptor antagonists are effective for the treatment of both supraventricular and ventricular tachyarrhythmias, particularly if these are catecholamine-dependent.* 

### Class III

Class III drugs such as amiodarone prolong the duration of the action potential by inhibiting some K<sup>+</sup> channels involved in repolarisation, thus increasing the absolute RP of the cell (see Table 8.1 and Fig. 8.5E). *They are effective for the treatment of both supraventricular and ventricular tachyarrhythmias.* 

#### Class IV

The class IV drugs are calcium channel blockers that selectively block the L-type Ca<sup>2+</sup> channel. They slow conduction of the action potential, particularly in the AV node. They have a lesser effect on the rate of depolarisation at the SA node (see Fig. 8.5F). *They are effective for the treatment of supraventricular tachyarrhythmias. They are ineffective and potentially harmful in ventricular tachyarrhythmias, except in very rare situations.* 

#### Unclassified Drugs

Five drugs used in the treatment of rhythm disturbances do not fit into the Vaughan-Williams classification: cardiac glycosides, adenosine, magnesium sulfate, atropine sulfate and glycopyrronium bromide.

# PROARRHYTHMIC ACTIVITY OF ANTIARRHYTHMIC DRUGS

Many antiarrhythmic drugs have the potential to precipitate serious arrhythmias, such as incessant ventricular tachycardia (VT). Several of them (particularly class Ia agents and sotalol) prolong the Q–T interval on the ECG (see Fig. 8.3). This predisposes to a polymorphic VT known as torsade de pointes, which has a characteristic twisting QRS axis on the ECG and can degenerate into ventricular fibrillation. Drug-induced ventricular rhythm disturbances are particularly refractory to treatment.

There are probably multiple mechanisms of druginduced arrhythmogenesis. Several risk factors have been identified, including the following:

- Excessive slowing of cardiac impulse conduction, such as occurs with marked blockade of Na<sup>+</sup> channels.
- Excessive prolongation of the action potential, especially if this is due to blockade of the  $I_{\rm Kr}$  repolarising current (see Table 8.1 and Fig. 8.2). Prolonged repolarisation may cause early after-depolarisations (see previous discussion) or a selective effect of the drugs on some cells in the myocardium can lead to differential rates of repolarisation that predispose to re-entry circuits.
- Mutations in genes coding for channels that regulate Na<sup>+</sup>, K<sup>+</sup> and Ca<sup>2+</sup> transmembrane ion flows exist

in 5% to 10% of people and are probably subclinical variants of the congenital long QT syndrome. A common mutation involves the human ether-ago-go-related gene (hERG) that encodes a subunit of the  $I_{\rm Kr}$  channel. Individuals with this mutation are more susceptible to torsade de pointes when exposed to a drug that prolongs the Q–T interval.

- Structural heart disease, especially ischaemic heart disease, with greater slowing of conduction in diseased myocardium.
- Hypokalaemia.
- Increased circulating catecholamines.
- Female sex: about 70% of those who develop torsade de pointes are women.

# **CLASS IA DRUGS**



Disopyramide is no longer widely used in the UK because of its unwanted effects.

# Pharmacokinetics

Disopyramide is absorbed orally and metabolised in the liver to a compound with less antiarrhythmic activity but greater antimuscarinic activity. Its half-life is 4 to 10 hours.

# **Unwanted effects**

- Gastrointestinal disturbances.
- Powerful negative inotropic effect; disopyramide should be avoided in people with left ventricular dysfunction.
- Proarrhythmic effects (see earlier).
- Antimuscarinic effects (see Chapter 4), especially urinary retention, dry mouth and blurred vision.

# **CLASS IB DRUGS**

	Example		
lidoc	aine		
11000			

# Pharmacokinetics

Extensive first-pass metabolism to a potentially toxic metabolite means that oral administration of lidocaine is not practicable. It is given intravenously, initially as a loading dose by bolus injection followed by an infusion. Lidocaine is extensively metabolised in the liver to compounds with little antiarrhythmic activity, although they can cause seizures. The half-life of lidocaine is 2 hours.Unwanted effects

- Central nervous system (CNS) toxicity: muscle twitching, seizures, respiratory depression, dizziness, drowsiness, paraesthesia.
- Negative inotropic effect.
- Bradycardia.

# CLASS IC DRUGS

# Examples

flecainide, propafenone

# Flecainide

### **Pharmacokinetics**

Flecainide is absorbed orally, but an intravenous formulation is also available for rapid onset of action. Flecainide has a half-life of 14 hours.

# Unwanted effects

- Oedema.
- Fever.
- Dyspnoea.
- CNS toxicity dizziness, fatigue, visual disturbances.
- Negative inotropic effect.
- Proarrhythmic effects, possibly more marked after recent myocardial infarction, when flecainide may increase mortality.

# Propafenone

Propafenone has weak  $\beta$ -adrenoceptor antagonist activity in addition to its class Ic action.

# **Pharmacokinetics**

Propafenone undergoes extensive first-pass metabolism by cytochrome P450-mediated oxidation, which is saturable, so the half-life is dose-dependent. Elimination is much slower in people with CYP2D6 genetic polymorphism.

# Unwanted effects

- Gastrointestinal disturbances: nausea, vomiting, diarrhoea, bitter taste.
- CNS toxicity dizziness, anxiety, confusion, ataxia, headache, insomnia, seizures.
- Negative inotropic effect, producing hypotension.
- Weak β-adrenoceptor antagonist activity which can cause bronchoconstriction in people with asthma.
- Proarrhythmic effects.

# **CLASS II DRUGS**

# **Beta-Adrenoceptor Antagonists**

# Examples

atenolol, bisoprolol, esmolol, metoprolol, propranolol

Antagonist activity at cardiac  $\beta_1$ -adrenoceptors is responsible for the antiarrhythmic effects of this class. The most widely used oral agents for the treatment of rhythm disturbances are atenolol, metoprolol, bisoprolol and propranolol, but all  $\beta$ -adrenoceptor antagonists have antiarrhythmic activity. Beta-adrenoceptor antagonists are discussed in more detail in Chapters 5 and 6.

Esmolol is an ultra-short-acting  $\beta_1$ -adrenoceptorselective agent used exclusively for the treatment of arrhythmias. It is used where rapid offset of effect is advantageous, such as during anaesthesia or critical illness.

#### **Pharmacokinetics**

The half-life of esmolol is very short (about 9 minutes), as it is metabolised rapidly by esterases after uptake by erythrocytes. It therefore needs to be given by intravenous infusion.

# **CLASS III DRUGS**

### Examples

amiodarone, dronedarone, sotalol

#### Amiodarone

Amiodarone is a drug with multiple antiarrhythmic actions. It has class III actions by blocking several types of K<sup>+</sup> channels, an action that shows use-dependence (see class 1 antiarrhythmics). However, amiodarone also has a class Ib-like action on Na<sup>+</sup> channels as well as noncompetitive  $\beta$ -adrenoceptor antagonist (class II) activity and calcium channel-blocking (class IV) actions. The antiarrhythmic effects produced early after intravenous infusion are believed to be due to  $\beta$ -adrenoceptor antagonist activity, as the class III effect is delayed.

#### **Pharmacokinetics**

Amiodarone can be used orally or by intravenous infusion for a more rapid onset of action. It has a large volume of distribution as a result of extensive uptake in adipose tissue. Both amiodarone and its major hepatic metabolite have extremely long half-lives (50–60 days), so a prolonged loading dose regimen is used for both routes of administration.

# **Unwanted effects**

- Gastrointestinal disturbances for example, constipation and nausea, occurring most often during the loading period.
- Reversible corneal microdeposits develop in almost all people and can cause dazzling by lights when driving at night.
- Amiodarone has high iodine content and a structural relationship to thyroid hormone. In iodine-sufficient areas (such as the UK), inhibition of intracellular thyroxine (T4) transport and 5'-deiodinase by amiodarone reduces the conversion of T4 to active triiodothyronine (T3) (see Chapter 41). This produces hypothyroidism in about 10% of those taking the drug. Hypothyroidism can be treated by levothyroxine replacement without stopping amiodarone (see Chapter 41). In contrast, in people who are iodinedeficient, amiodarone can produce a destructive thyroiditis with release of preformed thyroid hormone, leading to thyrotoxicosis in up to 10% of those taking it. Amiodarone-induced thyrotoxicosis is often resistant to treatment. Thyroid function should be checked every 6 months during treatment.

- Photosensitive skin rashes are common and the use of wide-spectrum sunscreen is recommended. Slategrey skin discoloration can also occur.
- Peripheral neuropathy or myopathy.
- Hepatitis and cirrhosis occur rarely.
- Progressive pneumonitis and lung fibrosis are rare but serious effects of long-term treatment.
- Proarrhythmic effects.
- Drug interactions: the plasma concentrations of warfarin (see Chapter 11) and digoxin (see Chapter 7) are increased by amiodarone, with consequent potentiation of their effects. Amiodarone inhibits the metabolism of warfarin. It displaces digoxin from tissue stores and inhibits its renal excretion, both of which actions increase the risk of digoxin toxicity.

Unlike most antiarrhythmic drugs, amiodarone does not have negative inotropic effects.

# Sotalol

Sotalol is a nonselective  $\beta$ -adrenoceptor antagonist (see Chapter 5) with additional class III antiarrhythmic properties. It selectively blocks the  $I_{Kr}$  current (which is particularly involved in phase 2 and 3 repolarisation) and shows reverse use-dependence (higher receptor binding when the channel is closed), so that sotalol is most effective during slow rates of cell depolarisation (bradycardia). Sotalol is a racemic mixture; the L-isomer has both  $\beta$ -adrenoceptor antagonist and class III activity, whereas the D-isomer has only class III activity. The class III activity gives sotalol a greater proarrhythmic potential than other  $\beta$ -adrenoceptor antagonists (see earlier). Sotalol is used in selected cases of atrial fibrillation and ventricular tachycardia, and is not used for the other indications for β-adrenoceptor antagonists.

#### **Pharmacokinetics**

Sotalol is excreted unchanged by the kidneys. Its halflife is 7 to 18 hours.

#### Unwanted effects

These are discussed in Chapter 5. Sotalol also has proarrhythmic activity (see earlier).

# **CLASS IV DRUGS**

### Examples

#### diltiazem, verapamil

# **Calcium Channel Blockers**

Verapamil and diltiazem (see Chapter 5), but not the dihydropyridine calcium channel blockers such as amlodipine, have antiarrhythmic activity. Verapamil can be given intravenously for a rapid effect but should not be given together with a  $\beta$ -adrenoceptor antagonist because of summation of myocardial depression and AV nodal conduction block.

Details of calcium channel blockers can be found in Chapter 5.

# OTHER DRUGS FOR CARDIAC RHYTHM DISTURBANCES

Those drugs used for the management of rhythm disturbances that do not fit into the Vaughan-Williams classification are considered here.

#### **Cardiac Glycosides**

Cardiac glycosides (usually digoxin) are not strictly antiarrhythmic, but they are useful for controlling ventricular rate in atrial flutter and atrial fibrillation by reducing conduction through the AV node. Cardiac glycosides are discussed in Chapter 7.

#### Adenosine

#### Mechanism of action and effects

Adenosine is a purine nucleoside with electrophysiological actions mediated by the A<sub>1</sub> subtype of specific G-protein-coupled adenosine receptors. These receptors activate inward rectifier K<sub>ACh</sub> channels, enhancing the flow of K<sup>+</sup> out of myocardial cells and hyperpolarising the resting cell (see Table 8.1). In addition, adenosine antagonises the stimulatory effects of noradrenaline on Ca<sup>2+</sup> currents. These actions combine to stabilise the myocardial cell transmembrane ion fluxes. Adenosine has potent effects on the SA node, producing sinus bradycardia. It also slows impulse conduction through the AV node but has no effect on conduction in the ventricles. Consequently, its only therapeutic effects are in supraventricular tachyarrhythmias, particularly those caused by AV nodal re-entry mechanisms. It may still be useful diagnostically in other tachyarrhythmias, as the transient suppression of ventricular depolarisation may reveal additional information about the nature of the rhythm disturbance.

An additional action of adenosine at  $A_2$  adenosine receptors reduces  $Ca^{2+}$  uptake in vascular smooth muscle and produces vasodilation. In the coronary circulation, preferential dilation of healthy arteries produces coronary blood flow 'steal', which reduces blood flow in stenosed arteries. This receptor action enables adenosine to be used as a pharmacological stress to induce myocardial ischaemia in people with coronary artery disease, which can then be assessed by imaging the myocardium with radionuclide scanning, magnetic resonance imaging or echocardiography.

# Pharmacokinetics

Adenosine is given by rapid bolus intravenous injection. The effect is terminated by uptake into erythrocytes and endothelial cells, followed by metabolism to inosine and hypoxanthine. Adenosine has a half-life of less than 10 seconds and its duration of action is less than 1 minute.

#### Unwanted effects

Unwanted effects are common and occur in about 25% of those given adenosine, but they last less than 1 minute. They include the following.

- Bradycardia and AV block.
- Malaise, facial flushing, headache, chest pain or tightness, bronchospasm; adenosine should be avoided in people with asthma.
- Drug interactions dipyridamole (see Chapter 11) potentiates the effects of adenosine, whereas methylxanthines such as aminophylline (see Chapter 12) inhibit its action.

#### Atropine Sulfate and Glycopyrronium Bromide

Atropine sulfate (see Chapter 4) and glycopyrronium bromide are antimuscarinic drugs given by intravenous bolus injection. They reduce the inhibitory effect of the vagus nerve on the heart. Blockade of muscarinic  $M_2$  receptors increases the rate of firing of the SA node and increases conduction through the AV node. This is due to inhibition of inward rectifying K<sub>ACh</sub> channels, which prevents hyperpolarisation of the cell membrane (see Table 8.1) and enhanced activation of pacemaker HCN channels through increased availability of cAMP. Atropine is used specifically for the treatment of sinus bradycardia and atrioventricular block. It is metabolised in the liver and has a half-life of 2 to 5 hours. Glycopyrronium is similar to atropine except that it does not cross the blood–brain barrier. It is mainly used for bradycardias arising during anaesthesia.

# **Magnesium Sulfate**

Intravenous magnesium sulfate is used to control the ventricular arrhythmia torsade de pointes and digitalis-induced ventricular arrhythmias. The mechanism is not well understood, but  $Mg^{2+}$  regulates the movement of other cations through ion channels. Relevant actions may include reduction of transmembrane Na<sup>+</sup> efflux, inhibition of the  $I_{Kr}$  delayed rectifier current and block of transmembrane Ca<sup>2+</sup> currents through L-type Ca<sup>2+</sup> channels. Flushing is the main unwanted effect.

# DRUG TREATMENT OF ARRHYTHMIAS

Arrhythmias can be asymptomatic or can produce a variety of consequences that range from mild symptoms to a life-threatening reduction of cardiac output. The probability of developing symptoms depends on several factors, the most important of which are the heart rate arising from the abnormal rhythm and the presence of underlying heart disease. The range of consequences of rhythm disturbances includes:

- awareness of palpitation,
- dizziness,
- syncope,
- precipitation of angina or heart failure,
- sudden death.

Treatment may not be necessary for brief self-terminating arrhythmias with minimal symptoms; reassurance may be all that is required. For some arrhythmias it may be possible to remove or treat an underlying cause, such as an electrolyte disturbance.

The choice of treatment depends on the situation. With many tachyarrhythmias, sinus rhythm should be restored if possible. Direct current (DC) cardioversion is used to achieve this in severe, life-threatening, or drugresistant arrhythmias. Drug therapy is used if there is less need for an immediate effect or to control the ventricular rate if the abnormal rhythm cannot be terminated. Ablation of an arrhythmogenic focus or pathway is increasingly used to prevent arrhythmia. This is carried out after intracardiac electrophysiological studies, using a diagnostic cardiac catheter, followed by delivery of energy in the form of heat (radiofrequency ablation) or cold (cryoablation) to destroy the tissue responsible for generating the arrhythmia. Long-term drug treatment for bradyarrhythmia is not possible; an implanted pacemaker may be necessary.

#### SUPRAVENTRICULAR TACHYARRHYTHMIAS

#### **Atrial Premature Beats**

Atrial premature beats are very common and usually benign, but sometimes they arise as a consequence of digoxin toxicity; frequent multifocal atrial ectopics can also result from organic heart disease. Other than treatment of an underlying cause, specific drug therapy is rarely needed. Some people are disturbed by a postectopic pause followed by a more forceful beat when sinus rhythm recommences. If treatment is required, a  $\beta$ -adrenoceptor antagonist or a calcium channel blocker such as verapamil or diltiazem can be used to suppress the ectopics.

#### **Focal Atrial Tachycardia**

Focal atrial tachycardia (AT) is an infrequent rhythm disturbance usually arising from a small automatic focus that produces an atrial rate of 150 to 250 beats/ min. There is often an associated AV conduction block at faster heart rates as the AV node will still be in its refractory period when some of the impulses reach it. This prevents all of the atrial impulses reaching the ventricle and results in a slower ventricular rate compared to the atrial rate. AT is not usually associated with significant cardiac disease but can be a manifestation of digitalis toxicity. If drug therapy is necessary, an AV nodal blocking agent such as a  $\beta$ -adrenoceptor antagonist or calcium channel blocker (verapamil or diltiazem) will control the ventricular rate but rarely restores sinus rhythm. Sinus rhythm can be achieved with a class Ic antiarrhythmic agent such as flecainide, but this must be given with an AV nodal blocking drug. Flecainide alone increases the risk of 1:1 AV nodal conduction and a paradoxical increase in the ventricular rate if the atrial rate slows sufficiently, but sinus rhythm is not restored. Ablation of the initiating focus may also be considered if symptoms are refractory to drug treatment.

A less common form of AT is multifocal AT arising from several ectopic foci, usually in people with severe pulmonary disease. If treatment is needed, calcium channel blockers are usually used for ventricular rate control.

#### Atrial Flutter

Atrial flutter is a macro re-entrant tachycardia usually arising in the right atrium, which is uncommon under the age of 40 years. About half of those who present with atrial flutter will develop atrial fibrillation over the subsequent 5 years, whatever treatment is chosen. Underlying causes include recent cardiac surgery, cor pulmonale and congenital heart disease, but it can arise for no obvious reason.

In atrial flutter, the atrial rate is usually 250 to 300 beats/min and the impulses are conducted to the ventricles with 2:1 or greater degrees of AV block. Flutter waves may be obvious on the ECG or appear if the ventricular rate is slowed by transiently increasing the degree of AV block using vagal stimulation (such as carotid sinus massage) or the administration of adenosine.

About 50% of episodes of atrial flutter will spontaneously revert to sinus rhythm within 24 hours. Drug therapy is relatively unsuccessful for restoring sinus rhythm, and DC cardioversion (synchronised to discharge on the R wave of the ECG) or rapid 'overdrive' electrical pacing to capture the ventricle followed by a gradual reduction in the paced rate may be required. Amiodarone may convert to sinus rhythm and can also be used to prevent recurrence of paroxysmal atrial flutter. Class Ic agents such as flecainide or propafenone should be avoided as the atrial rate could slow with 1:1 AV conduction, causing an unacceptably high ventricular rate (see also AT, discussed earlier).

Control of the ventricular rate in atrial flutter can be achieved with a  $\beta$ -adrenoceptor antagonist, but treatment is often less successful than for atrial fibrillation. For this reason, highly symptomatic people and younger people with no structural heart disease are often treated with ablation of the re-entrant pathway. Atrial flutter has a similar risk of systemic embolism as atrial fibrillation (see later). Anticoagulant prophylaxis against thromboembolism should be given, as for atrial fibrillation.

#### **Atrial Fibrillation**

Apart from ectopic beats, atrial fibrillation (AF) is the most common rhythm disturbance encountered in clinical practice. It has a variety of underlying causes (Box 8.1), some of which may be treatable. In younger people, AF often occurs without any obvious underlying cause; it is then called 'lone' AF. The arrhythmia usually arises from atrial fibrosis that creates electrical dissociation between muscle bundles and generates multiple micro re-entry circuits in the atria. Intracellular changes involving depolarising K<sup>+</sup> and Ca<sup>2+</sup>

### Box 8.1 Causes of Atrial Fibrillation.

STRUCTURAL HEART DISEASE
Hypertension
Coronary heart disease
Valvular heart disease (especially mitral)
Cardiomyopathies
Cardiac surgery
Congenital heart disease (especially atrial septal defect)
OTHER CAUSES
Major infections
Thyrotoxicosis, myxoedema
Alcohol intoxication
Systemic illness (e.g. amyloid, sarcoidosis)

currents in the atria also shorten the atrial refractory period. These lead to electrophysiological changes in myocytes in the pulmonary veins, which initiate or perpetuate the re-entry circuits. The combination of cellular, structural and electrical remodelling is responsible for persistence and chronicity of AF.

The ventricular rate will depend on AV nodal function, so that when the AV node conducts well, AF produces a rapid but irregular ventricular rate. AF predisposes to left atrial thrombus formation that begins in the left atrial appendage and can lead to systemic emboli, which most commonly cause stroke. Clinically, four forms of AF are recognised: paroxysmal AF (intermittent self-limiting episodes (usually lasting <24 hours, but always <7 days)); persistent AF (present for more than 7 days but less than 1 year); long-persistent AF (lasting >1 year but with the intention of restoring sinus rhythm); and permanent AF (present for >1 year after unsuccessful attempts to maintain sinus rhythm or if a decision has been made not to attempt this).

Management of AF has three components, often described as an ABC strategy: Avoid stroke, Better symptom control and Cardiovascular and comorbidity risk reduction (which is not considered further).

#### Avoiding stroke

 Avoiding stroke is achieved by reduction of thromboembolism by long-term anticoagulation (see Chapter 11). Anticoagulation with warfarin is the treatment of choice in AF associated with moderate to severe mitral stenosis or mechanical prosthetic heart valves which have a high risk of embolism and where the safety and efficacy of other anticoagulants is not established. In other causes of AF, the risk of embolism is related to the number of associated risk factors which can be assessed by the CHA<sub>2</sub>DS<sub>2</sub>–Vasc score (Table 8.3). Most people with AF, whether sustained or paroxysmal, should take an anticoagulant for thromboprophylaxis. Warfarin, maintaining the international normalised ratio (INR) between 2 and 3 (see Chapter 11), reduces the risk of thromboembolism by about two-thirds.

Table <b>8.3</b>	Risk of Stroke Fibrillation.	vasc Score <sup>a</sup> for Assessing in Nonrheumatic Atrial
SCORE S	ANNUAL RISK OF Stroke (%)	RECOMMENDED Thromboprophylaxis
0	0	None
1	1.3	None if only point for being female; consider warfarin or NOAC if male
2	2.2	NOAC or warfarin
3	3.2	NOAC or warfarin
4	4.0	NOAC or warfarin
5	6.7	NOAC or warfarin
6	9.8	NOAC or warfarin
7	9.6	NOAC or warfarin
8	6.7	NOAC or warfarin
9	15.2	NOAC or warfarin

<sup>a</sup>The CHA<sub>2</sub>DS<sub>2</sub>-Vasc score is a summation of the following component scores: congestive heart failure, 1; hypertension, 1; age >75 years, 2; diabetes mellitus, 1; prior stroke or transient ischaemic attack, 2; age 64–74 years, 1; vascular disease (prior myocardial infarction, peripheral arterial disease, aortic plaque), 1; age 64–74, 1; female sex, 1.

NOAC, Novel oral anticoagulant.

Direct-acting oral anticoagulants (DOACs) have similar efficacy to warfarin in non-valvular AF. These include dabigatran, apixaban, edoxaban and rivaroxaban (see Chapter 11) and are increasingly preferred to warfarin because they pose a lower risk of intracranial bleeding and there is no need for monitoring. Bleeding risk should be assessed using the ORBIT-AF score (Table 8.4). A high score identifies those at higher risk of major bleeding and encourages targeted action to reduce the risk, such as control of hypertension, addressing alcohol excess or reviewing NSAID use. Oral anticoagulation has little advantage in those at very low risk, when the increased risk of bleeding outweighs the small benefit. Even after restoration of sinus rhythm in paroxysmal or persistent AF, people at high risk of thromboembolic events should continue to take thromboprophylaxis because the risk of stroke does not decrease. This may reflect the high risk of recurrence (often asymptomatic) of AF.

 Aspirin is ineffective for thromboprophylaxis and should not be used for this purpose. Even dual antiplatelet therapy with aspirin and clopidogrel is inferior to anticoagulation for preventing emboli but carries a similar bleeding risk. For those unable to take an anticoagulant, occlusion of the left atrial appendage with a mechanical device can be considered.

#### Better symptom control

- Identify and treat an underlying cause whenever possible.
- Rhythm control: to restore or maintain sinus rhythm in paroxysmal or persistent AF (Box 8.2) may be

Table 8.4 The ORBIT-AF score for assessing annual major bleeding risk in people with atrial fibrillation.

<b>RISK FACTOR</b>	SCORE	
0 Older age: ≥75 years old		1 point
<ul> <li>R Reduced haemoglobin/reduced haematocrit/anaemia:</li> <li>Hb: &lt;130 g/L males; &lt;120 g/L females</li> <li>Hct: &lt;40% Males; &lt;30% females or history of anaemia</li> </ul>		2 points
B Bleeding h	history	2 points
I Insufficient	renal function: eGFR <60 mL/min	1 point
T Treatment	with anti-platelet agents	1 point
INTERPRETAT	ION	
Score	Bleeds per 100 person-years	Bleed risk category
0	1.7	Low
1	2.3	
2 2.9		
3 4.7		Medium
4 6.8		High
5 9.0		
6	12.3	
7	14.9	

The score is the sum of points from the risk factors listed.

desirable in younger people or those who tolerate the rhythm disturbance poorly. In these individuals, symptoms and exercise tolerance are usually improved when they are in sinus rhythm, but the risk of stroke is not removed (see later). There is less justification for restoring sinus rhythm in older people who tolerate the rhythm well because there is no reduction in the risk of thromboembolic events (see later) and their quality of life may not improve. Restoration of sinus rhythm is usually possible in lone AF or when a precipitating factor has been treated. It can be achieved with drugs (pharmacological or chemical cardioversion), especially if the rhythm disturbance is of recent onset. There is a 40% to 80% success rate if the arrhythmia is of less than 7 days' duration. Pharmacological cardioversion is most rapidly achieved (within a few hours)

# Box 8.2 Factors Predicting a High Probability of Successful Restoration of Sinus Rhythm in People With Atrial Fibrillation.

Short duration of atrial fibrillation (<1 year) Younger age (<50 years) Absence of underlying heart disease Normal left ventricular function Little or no enlargement of the left atrium Withdrawal or treatment of a precipitating factor (e.g. thyrotoxicosis, alcohol) by using a single oral dose of a class Ic drug such as flecainide or propafenone. For infrequent episodes of paroxysmal AF, a 'pill in the pocket' strategy can be considered, with advice to take a single dose of flecainide, propafenone or dronedarone at the onset of the arrhythmia. These drugs should be avoided if there is underlying structural heart disease, when intravenous amiodarone is preferred although this takes longer to restore sinus rhythm. Digoxin is ineffective for restoring or for maintaining sinus rhythm in paroxysmal AF and should be avoided. QRS-synchronised DC cardioversion is usually required for a more prolonged duration AF. Recurrence of AF is most frequent during the first 3 to 6 months after restoration of sinus rhythm. Amiodarone for 4 weeks before and up to 12 months after cardioversion increases the chance of maintaining sinus rhythm, and maintains sinus rhythm in about 75% of people at 1 year, compared to less than 40% maintenance without prophylaxis. Ablation therapy to isolate a pulmonary vein trigger area via a cardiac catheter is becoming increasingly used for younger people with paroxysmal or persistent AF, especially if symptoms are problematic.

Rate control: control of a rapid ventricular response is the goal in persistent or permanent AF. For ventricular rate control both at rest and on exercise, a drug that slows AV nodal conduction - such as a β-adrenoceptor antagonist, verapamil, or diltiazem - should be used. Rate control at rest can be achieved with digoxin, but a rapid heart rate often still occurs during exercise (see Chapter 7), so it is only used alone for sedentary people. A  $\beta$ -adrenoceptor antagonist, verapamil, diltiazem, or amiodarone can be used together with digoxin if rate control is difficult to achieve. Sotalol has no additional benefit in sustained AF and should be avoided because of its greater proarrhythmic activity compared with that of other  $\beta$ -adrenoceptor antagonists. Dronedarone is not used for rate control due to evidence of increased mortality. Most people gain relief from symptoms if the resting heart rate is less than 110 beats per minute. If drug combinations do not provide satisfactory rate control, AV nodal ablation with insertion of a pacemaker can be considered.

#### Junctional (Nodal) Tachycardias

Junctional tachycardias usually arise from a re-entry circuit and are often initiated by an ectopic beat. A macro re-entry circuit can form within the AV node if there are two functional intranodal pathways with different recovery times (AV nodal re-entrant tachycardia or AVNRT). Such circuits account for 60% of supraventricular tachycardias (SVTs) excluding AF/ flutter and are not usually associated with structural cardiac disease. A second form of junctional rhythm is a macro re-entry circuit which involves an accessory pathway connecting the atria and ventricles, as in Wolff–Parkinson–White syndrome (AV re-entrant tachycardia or AVRT). AVRT accounts for 30% of SVTs excluding AF/flutter.

Most junctional tachycardias produce narrow QRS complexes on the ECG, including AVRT with antegrade conduction through the AV node ('orthodromic' conduction AVRT). However, AVRT with antegrade conduction via the accessory pathway and retrograde conduction through the AV node ('antidromic' conduction AVRT) is a broad QRS complex tachycardia.

Termination of an acute attack of nodal tachycardia can often be achieved with vagotonic manoeuvres such as carotid sinus massage or by a bolus injection of adenosine. Intravenous verapamil is as effective as adenosine, but  $\beta$ -adrenoceptor antagonists are less effective for termination of junctional tachycardias. Antidromic AVRT should not be treated with drugs that block conduction at the AV node, as this can facilitate propagation of a disorganised rhythm through the accessory pathway. Flecainide is preferred in this situation. DC cardioversion is an option if there is haemodynamic instability.

Ablation of the re-entry circuit via a cardiac catheter is curative and increasingly used for both AVNRTs and AVRTs in preference to drugs for prophylaxis. If drug therapy is chosen for prophylaxis, then verapamil or a  $\beta$ -adrenoceptor antagonist can be used.

# Immediate Management of Narrow-Complex Tachycardia of Uncertain Origin

If the tachycardia is very fast and the rhythm is regular, it is often not possible to determine from the ECG whether a narrow-complex tachycardia has an atrial or nodal origin. If vagotonic manoeuvres are unsuccessful and the person is haemodynamically stable, intravenous adenosine should be given. This often converts a junctional tachycardia to sinus rhythm or can slow the ventricular rate sufficiently to identify the origin of the rhythm on an ECG (e.g. revealing flutter waves). If there is a history of severe asthma, intravenous verapamil may be preferred. DC cardioversion should be considered if there is haemodynamic instability.

#### **VENTRICULAR TACHYARRHYTHMIAS**

#### **Ventricular Ectopic Beats**

Ventricular ectopic beats often occur in healthy individuals or in association with a variety of cardiac disorders such as ischaemic heart disease and heart failure. They are often asymptomatic. Frequent ventricular ectopic beats after myocardial infarction predict a poorer longterm outcome; however, suppressing such ectopics with class I antiarrhythmic drugs increases mortality and should be avoided. In contrast,  $\beta$ -adrenoceptor antagonists after myocardial infarction may suppress the ectopic beats and reduce the risk of sudden death (see Chapter 5). A  $\beta$ -adrenoceptor antagonist can also suppress ventricular ectopic beats induced by stress or anxiety. In the absence of ischaemic heart disease, symptomatic ventricular ectopic beats that do not respond to a  $\beta$ -adrenoceptor antagonist can be suppressed by a class I drug such as flecainide. Ablation is an option for multiple ventricular ectopic beats that disturb the person's well-being and are not controlled by drugs.

#### Ventricular Tachycardia

VT presents with broad QRS complexes on the ECG (broad-complex tachycardia). Although broad complexes can arise with SVTs (when there is bundle branch block or antidromic AVRT; see earlier), broad-complex tachycardia is usually treated on the assumption that it is VT. VT is often associated with serious underlying heart disease, such as ischaemic heart disease or heart failure, and is more common following myocardial infarction. It can be either sustained or non-sustained. Sustained VT can be associated with a minimal or absent cardiac output ('pulseless' VT) when it is treated in the same way as VF (see later). Polymorphic or incessant VTs can arise as a complication of antiarrhythmic drug therapy (see earlier) and with other drugs that prolong the Q–T interval on the ECG.

For acute sustained VT, amiodarone is usually recommended, although it is relatively ineffective. Termination may require emergency DC cardioversion for haemodynamic deterioration. Long-term management with amiodarone can prevent recurrence, but an implantable cardioverter defibrillator (ICD) is often used to avoid sudden cardiac death. Amiodarone or a  $\beta$ -adrenoceptor antagonist can be used with an ICD to suppress episodes of VT and reduce the frequency of shocks from the ICD. Sustained VT is often associated with a poor long-term outlook in ischaemic heart disease, and coronary revascularisation with or without an ICD is often appropriate. During and after the acute phase of myocardial infarction, a  $\beta$ -adrenoceptor antagonist is the treatment of choice to suppress non-sustained VTs.

Polymorphic or incessant VTs do not respond well to conventional treatments. Withdrawal of a precipitant drug, correction of electrolyte imbalance, and intravenous magnesium sulfate are the therapies of choice. Temporary transvenous overpacing at a rate of 90 to 110 beats/minute may prevent recurrence. In the congenital form of long QT syndrome, a  $\beta$ -adrenoceptor antagonist is the mainstay of treatment.

#### Ventricular Fibrillation

VF is a potentially lethal arrhythmia that constitutes one form of 'cardiac arrest'. An algorithm for the management of cardiac arrest is regularly updated by the Resuscitation Council (UK) and is shown in Fig. 8.6. The important principles of prolonged resuscitation are the maintenance of adequate cardiac output by external chest compression and oxygenation of the blood by artificial inflation of the lungs while attempting to restore sinus rhythm. VF is the commonest arrhythmia in cardiac arrest and it should be assumed to be present unless an ECG is available to show otherwise. VF should be treated with immediate DC cardioversion. Intravenous

# Adult advanced life support



**Fig. 8.6 An algorithm for the management of cardiac arrest.** *CPR*, Cardiopulmonary resuscitation; *ECG*, electrocardiogram; *PaCO*<sub>2</sub>, partial pressure of carbon dioxide; *PEA*, pulseless electrical activity; *SpO*<sub>2</sub>, oxygen saturation; *VF*, ventricular fibrillation; *VT*, ventricular tachycardia. (Modified from the Resuscitation Council [UK] 2021 guidelines for advanced life support. https://www.resus.org.uk/sites/default/files/2021-04/Adult%20Advanced%20Life%20Support%20Algorithm%202021.pdf.)

adrenaline (epinephrine; see Chapter 4) may be given to vasoconstrict the peripheries and thus maintain pressure in the central arteries perfusing the heart and brain. For recurrent VF, an ICD is usually required.

# BRADYCARDIAS

#### Sinus Bradycardia

Treatment with atropine may be necessary if sinus bradycardia is causing symptoms (e.g. after myocardial infarction or an overdose of a  $\beta$ -adrenoceptor antagonist). Glycopyrronium is used in anaesthetic practice as a premedication to prevent vagally mediated bradycardia produced by suxamethonium, halothane or propofol.

Sinus node disease is a condition most often seen in the elderly and presents with sinus bradycardia and intermittent sinus arrest. Drugs have no role in treatment, and the condition is managed with an implantable pacemaker.

#### Atrioventricular (AV) Block ('Heart Block')

AV block can be congenital or can be caused by a variety of heart diseases. When it occurs after myocardial infarction, it is usually temporary if the infarct is inferior but is often permanent after anterior infarction. Firstdegree heart block (prolongation of the P–R interval on the ECG but with all P waves conducted to the ventricles) or Wenckebach (Mobitz type 1) second-degree AV block (progressive P–R prolongation until there is a non-conducted P wave) rarely require treatment.

Mobitz type 2 second-degree AV block (with regular non-conducted P waves without preceding P-R prolongation) and third-degree (or complete) AV block (when all P waves are non-conducted and there is a slow escape rhythm arising from tissues below the AV node) should be treated. If complete AV block arises suddenly, loss of consciousness (Stokes–Adams attack) or death can occur. If the onset of heart block is acute, atropine should be given intravenously to increase AV conduction. Alternatively, an intravenous infusion of the nonselective β-adrenoceptor agonist isoprenaline can be used (see Chapter 7). However, external or temporary transvenous electrical cardiac pacing is usually required in an emergency. If the AV block is permanent, the implantation of a permanent electrical cardiac pacemaker is almost always necessary.

#### SELF-ASSESSMENT

# **TRUE/FALSE QUESTIONS**

- 1. The SA node and the AV node have pacemaker activity.
- 2. Pacemaker cells in the SA node discharge at a higher frequency than those in other parts of the heart.
- 3. Spontaneous or pacemaker depolarisation during diastole results solely from the influx of Na<sup>+</sup>.
- 4. The influx of Na<sup>+</sup> during phase 0 lasts for only milliseconds.
- 5. Cells are unable to generate further action potentials during phases 0, 1 and 2 of the action potential.
- 6. Reducing the gradient of the slope of phase 4 will slow the normal pacemaker rate.
- 7. Sympathetic and vagal stimulation reduce the slope of phase 4 depolarisation and slow the pace-maker rate.
- 8. Healthy nonpacemaker cells remain quiescent if not excited by an impulse arising from other regions in the heart.
- 9. Flecainide blocks Na<sup>+</sup> channels.
- 10. Beta-adrenoceptor antagonist drugs are useful in stress-induced tachycardias.
- 11. The antiarrhythmic action of amiodarone depends only on blockade of K<sup>+</sup> channels.
- 12. Amiodarone reaches steady-state concentrations after several months of treatment.
- 13. Adenosine is effective in the treatment of VT.
- 14. Verapamil affects both the plateau phase 2 and phase 4 of the action potential cycle.

15. Combining verapamil and a β-adrenoceptor antagonist may cause AV nodal conduction block.

#### **ONE-BEST-ANSWER (OBA) QUESTION**

- Which change in the flow of ions into or out of cardiac myocytes would most likely prevent arrhythmias?
   A. Increased influx of Na<sup>+</sup> during phase 0 of the
  - action potential.
  - B. Increased influx of Ca<sup>2+</sup> during phase 4 of the action potential.
  - C. Decreased influx of Na<sup>+</sup> during phase 0 of the action potential.
  - D. Increased influx of Ca<sup>2+</sup> during phase 2 of the action potential.
  - E. Decreased efflux of K<sup>+</sup> in phase 3 of the action potential.

#### **CASE-BASED QUESTIONS**

Mr G.H., aged 48 years, consulted his GP complaining of palpitations and was found to have an irregular pulse with a rate of 120 beats/minute. He had been experiencing shortness of breath and faintness for the previous 6 hours. The symptoms started after a drinking binge 36 hours earlier. Examination, blood tests (including thyroid function tests), ECG and a chest radiograph showed no coexisting heart disease, diabetes or hypertension. The ECG confirmed AF.

- 1. What are the options available for treating Mr G.H.?
- 2. Before any treatment could be instituted, Mr G.H. spontaneously reverted to sinus rhythm. He was well for a year but then returned to his GP with a 3-day history of palpitations, breathlessness, chest pain and dizziness. Examination and an ECG again showed AF. He was referred to a cardiologist and echocar-diography showed no evidence of structural cardiac disease. Electrical DC cardioversion was carried out and the rhythm reverted to sinus rhythm. Over the next 5 years, episodes of AF occurred with increasing frequency, and eventually sinus rhythm could not be restored with a variety of antiarrhythmic drugs or by DC conversion. What prophylactic treatment should be considered at the time of DC cardioversion? What drug treatments may be useful after DC cardioversion?

# ANSWERS

# **TRUE/FALSE ANSWERS**

- 1. **True.** The SA and AV nodes, the bundle of His and the Purkinje system are pacemaker cells and form the specialised conducting system of the heart.
- 2. **True.** Pacemaker cells in the SA node therefore initiate cardiac rhythm.
- False. Slow spontaneous depolarisation in pacemaker cells results from an inward flow of Na<sup>+</sup> and K<sup>+</sup> ions (the funny current, *I<sub>f</sub>*).
- 4. **True**. The fast Na<sup>+</sup> channels for influx close at the end of phase 1.

- 5. **True.** However, during phase 3, the cells are only relatively refractory to further depolarising stimuli and a sufficient stimulus can fire an action potential during this phase.
- 6. **True.** The slope in phase 4 controls the normal pacemaker rate as it determines the time taken to reach the threshold potential.
- 7. **False.** Vagal stimulation reduces the slope of phase 4 and slows the rate of firing, but sympathetic stimulation increases the slope and hence also the firing rate.
- 8. **True.** However, if the intracellular Ca<sup>2+</sup> concentration rises abnormally (e.g. under the influence of cardiac glycosides or catecholamines), this can exchange with Na<sup>+</sup> passing inwards, causing membrane depolarisations called *afterdepolarisations* or triggered activity.
- 9. **True.** All class I antiarrhythmics such as flecainide (class Ic) block fast Na<sup>+</sup> channels and slow the rate of rise of phase 0, therefore reducing myocardial excitability.
- 10. **True.** Beta-adrenoceptor antagonists reduce the pacemaker depolarisation rate by inhibiting the sympathetic stimulation of the cAMP-dependent funny current ( $I_{t}$ ) in the SA and AV nodes.
- False. Like other class III agents, amiodarone blocks several types of K<sup>+</sup> channels but also has a class Ib-like action on Na<sup>+</sup> channels, class II activity (noncompetitive β-adrenoceptor antagonism), and class IV activity (calcium channel blockade).
- 12. **True.** The accumulation of amiodarone to steady state after about 6 months is due to its lipophilicity, resulting in a high apparent volume of distribution and very long half-life (50–60 days).
- 13. False. Adenosine has no beneficial effect on VT. Its main effect involves enhancing K<sup>+</sup> conductance and inhibiting Ca<sup>2+</sup> influx, resulting in reduced AV nodal conduction and an increase in the AV nodal refractory period. Adenosine is useful in supraventricular tachyarrhythmias, particularly when caused by AV nodal re-entry mechanisms; it has high efficacy and a very short duration of action.
- 14. **True.** L-type Ca<sup>2+</sup> channels are involved in the phase 2 plateau, while both T- and L-type channels contribute to depolarisation in phase 0 and the funny current in phase 4. Verapamil acts both to slow the rate of pacemaker depolarisation and reduce the plateau phase, thus shortening the action potential. These effects make verapamil useful in SVTs but not in VT.
- 15. **True.** Verapamil is a highly negatively inotropic calcium channel blocker which reduces cardiac output. It also slows the heart rate and impairs AV conduction, so it may cause AV nodal block or heart failure when used with a  $\beta$ -adrenoceptor antagonist.

# **OBA ANSWER**

1. **Answer C** is correct because decreased Na<sup>+</sup> inflow during phase 0 slows the rate of depolarisation and is one of the mechanisms by which class I antiarrhythmics exert their therapeutic actions. Answers A and B are incorrect as they would increase depolarisation rate in phase 4 and the rate of firing of the SA and AV nodes. Answers D and E are incorrect as they would shorten action potential duration, thus increasing the likelihood of arrhythmias.

# **CASE-BASED ANSWERS**

- 1. The aim at this stage is to restore and maintain sinus rhythm in Mr G.H., who appears to have no structural heart disease. As the arrhythmia is of short duration, pharmacological cardioversion may be successful. This could be achieved by flecainide, propafenone or amiodarone. Amiodarone is usually reserved for people with significant cardiac dysfunction or AF refractory to other agents. Flecainide and propafenone should be avoided in people with significant cardiac dysfunction or concomitant ischaemic heart disease. However, they are probably suitable for this man. Digoxin, calcium channel blockers and β-adrenoceptor antagonists are ineffective for terminating AF. Synchronised DC cardioversion is successful in up to 90% of people with AF who have no structural heart disease or heart failure, who are below 50 years of age, and whose duration of AF is less than 1 year. It could be considered if drugs are unsuccessful. About 50% of the time, recent-onset AF (of less than 48 hours in duration) spontaneously converts to sinus rhythm. In Mr G.H., the AF could have been brought on by excessive alcohol use (the so-called 'holiday heart'). If he moderates his alcohol intake, prophylaxis would not be necessary after a single attack.
- 2. Anticoagulation with warfarin or a DOAC is essential for at least 3 to 4 weeks before and 4 weeks after a DC cardioversion to minimise the risk of a systemic embolus. For prophylaxis against recurrence, antifibrillatory drugs are usually given for at least 3 to 6 months following DC cardioversion as this is the period of highest risk of recurrence. Digoxin, verapamil and β-adrenoceptor antagonists are not effective for prophylaxis. After 5 years of recurrence of AF, sinus rhythm cannot be restored. The aim in Mr G.H. is therefore to control the ventricular rate. Digoxin suppresses AV nodal conduction and can reduce the ventricular response rate. This is mediated through potentiation of vagal effects on the heart and is less effective during exercise; therefore, a β-adrenoceptor antagonist or calcium channel blocker (such as verapamil) is preferred. However, β-adrenoceptor antagonists in high doses and verapamil are negatively inotropic; if there is significant cardiac dysfunction or heart failure, they are contraindicated. The positive inotropic action of digoxin might be helpful if there

is coexisting left ventricular impairment. The major long-term consequence of AF is the risk of thromboembolism, which is greatest in those over 75 years of age. For Mr G.H., no thromboprophylaxis is required as he is at a relatively low risk of stroke because of his age and lack of any coexisting hypertension, diabetes mellitus, or significant left ventricular impairment.

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Compendium of Drugs Used to Treat Cardiac Arrhythmias.				
DRUG	CHARACTERISTICS			
Drugs are listed by their main effect on the cardiac action potential according to the Vaughan-Williams classification, although some antiarrhythmic drugs have multiple sites and mechanisms of action. The types of arrhythmias commonly treated are AF, AT, SVT, VF and VT.				
Class I Drugs: Soc	lium Channel Blockers ('Membrane Stabilisers')			
Disopyramide	Class la drug. Used for SVT, VF and VT but rarely in the UK. Given orally or by slow intravenous injection (over at least 5 min) or by intravenous infusion.			
Flecainide	Class Ic drug. Used for AF, SVT. Given orally or by slow intravenous injection (over 10–30 min) or intravenous infusion (for resistant VT).			
Lidocaine	Class Ib drug. Used for VT in haemodynamically stable patients and for VF and pulseless VT in cardiac arrest refractory to defibrillation. Given by intravenous injection or intravenous infusion.			
Mexelitine	Class 1b drug. Available in the UK only from special-order manufacturers or specialist importers; used for life- threatening VT. Given orally or intravenously.			
Procainamide	Class la drug. Available in the UK only from special-order manufacturers or specialist importers; used for VT. Given by slow intravenous injection or intravenous infusion.			
Propafenone	Class Ic drug; also has some β-adrenoceptor antagonist (class II) activity. Used for VT and some SVTs. Given orally.			
Class II Drugs: β-	Adrenoceptor Antagonists			
β-Adrenoceptor Chapter 5. The pranolol. See	antagonists are used in a wide variety of indications and are listed in the drug compendium at the end of $ ho$ -adrenoceptor antagonists most commonly used in arrhythmia are atenolol, bisoprolol, esmolol and pro- also sotalol in class III below.			
Class III Drugs: Potassium Channel Blockers				
Amiodarone	Blocks potassium channels but also has class Ib, class II and class IV actions. Used for paroxysmal SVT, VT, AF and VF, with treatment usually initiated in hospital or under specialist supervision. Given orally or by intravenous injection (over 3 min) for VF.			
Dronedarone	Multichannel blocker; related to amiodarone but has less complicated dosing due to shorter half-life. Used for maintenance of sinus rhythm after cardioversion in those who are clinically stable with paroxysmal or persistent AF when alternative treatments are unsuitable. Given orally.			
Sotalol	Blocks potassium channels but is also a nonselective β-adrenoceptor antagonist (class II). Used for life- threatening VT and prophylaxis of SVT and AF. Given orally.			
Class IV Drugs: Calcium Channel Blockers				
Dihydropyridine	calcium channel blockers (see Chapter 5) have no antiarrhythmic activity.			
Diltiazem	Non-dihydropyridine calcium channel blocker. Not licensed for arrhythmia in the UK. Given orally.			
Verapamil	Non-dihydropyridine calcium channel blocker. Used for SVT. Given orally or by intravenous injection.			
Other Drugs				
Adenosine	Purine nucleoside. Used as the treatment of choice for terminating paroxysmal SVT. Given intravenously.			
Atropine	Muscarinic receptor antagonist. Used for bradycardia, especially if complicated by hypotension. Given intravenously.			
Digoxin	Cardiac glycoside (see Chapter 7). Used for AF. Given orally or intravenously.			
Magnesium sulfate	Magnesium salt. Used for emergency treatment of <i>serious arrhythmias</i> , especially in presence of hypokalae- mia, hypomagnesaemia or for torsade de pointes. Given intravenously over 10–15 min.			

AF, Atrial fibrillation; AT, atrial tachycardia; SVT, supraventricular tachycardia; VF, ventricular fibrillation; VT, ventricular tachycardia.

# **Cerebrovascular Disease and Dementia**

# **Chapter Outline**

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# **STROKE**

# AETIOLOGY

Strokes are a major cause of morbidity and mortality, particularly in older people. They present as transient or permanent neurological disturbances caused by ischaemic infarction or haemorrhagic disruption of neuronal pathways in the brain. Almost all strokes result from arterial occlusion or rupture. Fewer than 1% of strokes arise from venous occlusion (cerebral venous sinus or cortical vein thrombosis).

# Ischaemic Strokes and Transient Ischaemic Attacks

Ischaemic strokes and transient ischaemic attacks (TIAs) account for about 85% of cerebrovascular events. Focal occlusion can take place in arteries within the brain (intracranial arterial occlusion) or in those leading to the brain (extracranial cervical artery occlusion). Cerebral infarction can result from arterial thrombosis occluding the vessel, occlusive arterial disease or small vessel disease. Alternatively, it arises from emboli travelling to the intracranial arteries, typically from an unstable atheromatous plaque in an internal carotid artery (see also Chapter 5) or from the heart (cardioembolism, particularly common in association with atrial fibrillation). The mechanism underlying ischaemic stroke is important for selecting the most appropriate treatment for secondary prevention but is not relevant to the choice of acute treatment.

Following occlusion of a cerebral artery, the extent and duration of the resulting functional deficit are very variable. TIAs arise from small cerebral arterial emboli that briefly interrupt blood flow but disperse Vascular Dementia 165 Dementia with Lewy Bodies 166 Self-Assessment 166 Answers 167 Further Reading 167 Compendium of Drugs Used to Treat Cerebrovascular Disease and Dementia 168

rapidly. They produce short-lived neurological signs and symptoms but leave no functional deficit 24 hours later. A completed stroke results from more prolonged cerebral ischaemia, which produces cerebral infarction. The neurological disturbance in completed stroke persists for more than 24 hours and frequently there is some permanent loss of function. Following a TIA there is a high risk of a completed stroke in the subsequent 7 days and a 30% risk of a completed stroke in the subsequent 5 years. However, the individual risk varies widely according to the features at presentation.

# Haemorrhagic Strokes

Primary intracerebral haemorrhage is responsible for up to 15% of strokes. It often arises from rupture of microaneurysms on intracerebral arteries, usually caused by hypertension. Less commonly, a haemorrhagic stroke arises from an intracerebral arteriovenous malformation or secondary to a subarachnoid haemorrhage. Haemorrhagic strokes frequently leave a permanent functional deficit.

# PREVENTION AND TREATMENT OF STROKE

Considerations in the prevention and treatment of stroke are:

- primary prevention of a first event,
- acute reperfusion therapy for ischaemic stroke,
- prevention of recurrence of stroke or of other cardiovascular events,
- rehabilitation after the stroke.

About one-third of strokes are recurrent. The recurrence rate for ischaemic stroke is about 3% to 7% per year for individuals who are in sinus rhythm and up to 12% per year for those in atrial fibrillation.

#### Primary Prevention of Ischaemic Stroke

- Blood pressure reduction. Hypertension is the single most powerful predictor of both ischaemic and haemorrhagic stroke, with a linear relationship between blood pressure and stroke risk. Pooled trial results indicate that a reduction in systolic blood pressure of 10 mm Hg or diastolic blood pressure by 5 mm Hg reduces the risk of stroke by about 40% (see Chapter 6). For isolated systolic hypertension, a similar reduction in risk has been shown after an average reduction of 11 mm Hg in systolic blood pressure.
- **Smoking cessation.** Smoking nearly doubles the risk of ischaemic stroke, but the excess risk almost disappears 2 to 4 years after smoking cessation. This is probably due to slower progression of arterial atherothrombotic disease.
- Inhibition of blood coagulation in atrial fibrillation. Oral anticoagulation (see Chapters 8 and 11) in people with atrial fibrillation reduces the risk of a first ischaemic stroke by 70%. Warfarin at a dosage giving an international normalised ratio (INR) of 2 to 3, or one of the direct-acting oral anticoagulants (e.g. apixaban, dabigatran, edoxaban or rivaroxaban) can be used. Warfarin reduces the risk of stroke following myocardial infarction if there is an intracardiac clot associated with an akinetic area of the left ventricular wall. Low-dose aspirin does *not* prevent a first ischaemic stroke when taken by healthy individuals, whether they are in sinus rhythm or atrial fibrillation.
- Cholesterol reduction. Reduction of raised plasma cholesterol with a statin (see Chapter 48) produces a 25% reduction in the risk of a first stroke over 5 years, although much of the evidence for this effect derives from trials in people who already have clinical evidence of vascular disease or have diabetes mellitus. Intensive reduction in serum cholesterol has additional benefit over low-dose statins.
- **Carotid endarterectomy or stenting.** This is sometimes recommended for asymptomatic carotid artery disease when the stenosis exceeds 60%, but the annual risk of an ischaemic stroke is low in this situation.
- Glycaemic control in diabetes mellitus. People with diabetes mellitus have a higher risk of stroke and at a younger age than those without, with a higher risk the longer the duration of the condition. Glycaemic control alone may not be sufficient to reduce the risk, but combined with intensive blood pressure control and cholesterol reduction the risk can be reduced.

#### Acute Reperfusion Therapy for Ischaemic Stroke

Immediate treatment of disabling acute ischaemic stroke should be considered. Whether the stroke is disabling is determined from the severity of the neurological deficit and the person's activity prior to the stroke. Acute treatment is carried out to restore perfusion to ischaemic tissue either using a fibrinolytic drug, by endovascular intervention or a combination of the two. Exclusion of intracranial haemorrhage with a CT scan is essential before considering reperfusion therapy.

Thrombolytic therapy with intravenous recombinant tissue plasminogen activator (rt-PA, alteplase; see Chapter 11) can reduce the long-term neurological deficit after an ischaemic stroke. There are also data indicating that tenecteplase is at least as effective, with greater ease of use. If treatment is started within 4.5 hours of the onset of symptoms, thrombolysis reduces the risk of death or dependency at 3 months, with greater benefit the earlier that treatment is given. Overall, 7% more people who are given a fibrinolytic drug after an ischaemic stroke will have no or minimal disability 3 months later and an additional one-third will have improved function. About 6% of people who are treated will have a symptomatic intracranial haemorrhage after thrombolysis, with a higher risk in those with a blood pressure above 185/110 mm Hg (blood pressure should be reduced before treatment), or when treatment is delayed. Bleeding can outweigh the benefit from neuronal salvage. Indications and usual contraindications for thrombolytic therapy in acute stroke are shown in Box 9.1. Anticoagulants or antiplatelet drugs should not be given for 24 hours after thrombolysis.

Endovascular recanalization using microcatheters for mechanical thrombectomy with a stent retriever or suction of the thrombus is now the treatment of choice for ischaemic stroke due to large anterior circulation vessel occlusion. It is essential to image the cerebral arterial circulation before attempting treatment, but the treatment window for improved outcomes is longer than for thrombolysis with benefit up to 24 hours after the onset of stroke if imaging confirms potential to salvage tissue. This allows treatment of late-presenting stroke as well as wake-up stroke when the time of onset is not known. Endovascular treatment is sometimes augmented by an intraarterial fibrinolytic drug applied directly to the site of the thrombus.

# Secondary Prevention of Recurrent Ischaemic Stroke

Many treatments are similar to those used for primary prevention of ischaemic stroke (see earlier).

• **Blood pressure reduction.** Lowering blood pressure after a stroke will reduce the risk of recurrence by 30% to 40%. Blood pressure should not be reduced in the first few days after a stroke (unless it is very high and thrombolysis is considered; see earlier) because of concern that cerebral perfusion pressure may fall too much if the normal cerebral arterial autoregulation has been disturbed by the

# Box 9.1 Usual Indications and Contraindications for Thrombolysis in Acute Ischaemic Stroke.

INDICATIONS FOR THROMBOLYSIS	POTENTIAL CONTRAINDICATIONS TO THROMBOLYSIS
<ul> <li>Clinical diagnosis of ischaemic stroke causing measurable neurological deficit for at least 30 min</li> <li>Onset of symptoms less than 4.5 h before beginning treatment</li> <li>The person and family understand the potential risks and benefits of therapy</li> <li>Intracranial haemorrhage excluded by CT or MR scan of the head</li> </ul>	<ul> <li>Gastrointestinal or genitourinary haemorrhage in previous 21 days</li> <li>Active or recent bleeding at a non-compressible site e.g. gastrointestinal, intracranial, traumatic or surgical bleeding</li> <li>History of previous intracranial haemorrhage within 3 months</li> <li>Prior ischaemic stroke in past 3 months</li> <li>Systolic blood pressure above 185 mm Hg or diastolic blood pressure above 110 mm Hg</li> <li>Systemic malignancy</li> <li>Intracranial malignant neoplasm</li> <li>Intracranial arterial dissection</li> <li>Suspected aortic dissection</li> <li>Taking an oral anticoagulant (and if so, INR greater than 1.7)</li> <li>Heparin within 48 h unless the activated partial thromboplastin time is ≤15 seconds</li> <li>Platelet count of less than 100 × 10° L</li> <li>Blood glucose less than 2.0 mmol/L or greater than 22 mmol/L</li> </ul>

CT, Computed tomography; INR, international normalised ratio; MR, magnetic resonance.

stroke. The target for blood pressure treatment for longer-term management is 130/80 mm Hg.

- Inhibition of platelet aggregation. Low-dose aspirin with dipyridamole can be used following a TIA for people who are in sinus rhythm. The combination reduces the risk of a subsequent nonfatal stroke by about 35% and is more effective than aspirin alone. This combination is recommended for up to 2 years after a TIA, when the risk of recurrent stroke is highest, after which aspirin is usually used alone. Clopidogrel is often used as an alternative to aspirin with dipyridamole based on evidence from completed ischaemic stroke. Following acute ischaemic stroke, aspirin should be given alone for 2 weeks. After this, clopidogrel alone (see Chapter 11) is the preferred treatment. The combination of aspirin and clopidogrel is no more effective than aspirin alone for long-term prevention (unlike the case in acute coronary syndromes; see Chapter 5) and increases the risk of serious bleeding. Anticoagulants have no role in preventing recurrent stroke in people who are in sinus rhythm.
- Inhibition of blood clotting. After a first stroke in people with atrial fibrillation, oral anticoagulation reduces the risk of a further stroke by two-thirds. Antiplatelet drugs have no protective effect in atrial fibrillation (see Chapter 11).
- **Cholesterol reduction.** Cholesterol reduction with a statin is effective in the secondary prevention of non-cardioembolic ischaemic stroke, reducing recurrent stroke by 15% over 5 years. However, the

greatest advantage of cholesterol reduction in this situation lies in the prevention of ischaemic cardiac events. Coronary artery disease often coexists with atheromatous cerebrovascular disease and stroke survivors have a 17% risk of a myocardial infarction or vascular death in the subsequent 5 years.

Carotid endarterectomy or stenting. This reduces the risk of recurrent stroke if there have already been transient focal neurological symptoms in the cerebral territory supplied by a diseased carotid artery. If the stenosis is 70% or greater of the vessel diameter (but without near total occlusion), then endarterectomy reduces the risk of recurrent stroke by about two-thirds over the subsequent 2 years (although there is a perioperative risk of stroke or death of 3%–5%). There is no benefit from surgery if the stenosis is less than 50%, and only marginal benefit if the stenosis is between 50% and 69%. Surgery should be carried out within 2 weeks of the initial ischaemic event, when the risk of recurrence is highest. Intervention carries no benefit after this time.

#### **Primary Prevention of Haemorrhagic Stroke**

• **Blood pressure reduction**. Treatment of raised blood pressure is the only means of reducing the risk of a first cerebral haemorrhage.

#### Acute Treatment of Haemorrhagic Stroke

• **Blood pressure reduction.** Systolic blood pressure should be gradually reduced to a target of 130 to 140 mm Hg over 1 to 2 hours.

- **Correction of haemostatic abnormalities.** This may involve reversal of therapeutic anticoagulation and temporary cessation of antiplatelet drugs.
- **Neurosurgery.** Decompression of raised intracranial pressure from haematoma by craniotomy may be life-saving for rapid deterioration due to brainstem compression. Acute hydrocephalus should be treated by temporary catheter drainage.

# Secondary Prevention of Recurrent Haemorrhagic Stroke

- **Blood pressure reduction.** Lowering blood pressure after a haemorrhagic stroke will reduce the risk of recurrence by up to 40%. The reduction in risk from treating hypertension is greater than for ischaemic stroke, and even lowering a 'normal' blood pressure may be effective.
- Vascular protection. For most people, the risk of a subsequent ischaemic stroke is just as great as recurrence of haemorrhagic stroke. Attention should be given to factors that increase the risk of ischaemic strokes as previously described. Restarting antiplatelet or anticoagulant treatments that were being used for primary or secondary prevention of ischaemic stroke or cardiac disease is controversial. However, the current evidence is that restarting treatment has greater benefit in preventing ischaemic events compared to the risk of recurrent cerebral haemorrhage, unless scanning shows multiple cerebral microbleeds.

#### SUBARACHNOID HAEMORRHAGE

About 85% of subarachnoid haemorrhages are caused by rupture of a saccular (or berry) aneurysm on an intracranial artery, usually on or close to the circle of Willis. These aneurysms are acquired during life and the cause is unknown. Of the remainder, 10% are nonaneurysmal perimesencephalic haemorrhages which carry a more favourable prognosis, and 5% have a variety of causes including trauma and cerebral venous thrombosis. There is a genetically linked risk in first-degree relatives of people with aneurysmal subarachnoid haemorrhage. Other risk factors for the development of aneurysms include smoking, hypertension and excess alcohol intake. Of these, hypertension is the most important modifiable factor that predicts rupture. The peak incidence of subarachnoid haemorrhage is between the ages of 50 and 60 years.

Sudden onset of a severe occipital headache (thunderclap headache) is the most common presenting symptom (70% of cases). Up to 40% of people have a warning headache in the hours or days before the haemorrhage; this predicts a higher risk of rebleeding. Other symptoms are present in 50% of people with subarachnoid haemorrhage and include nausea, vomiting, focal neurological signs, progressive confusion and loss of consciousness. Rebleeding is a significant cause of disability and death and most often occurs within 72 hours of the first event. However, a more common cause of permanent neurological disability or later death is delayed cerebral ischaemia, which develops in about 25% of cases usually 3 to 14 days after the initial haemorrhage. The mechanism is poorly understood but probably involves vasospasm, impaired autoregulation of blood flow, microthrombosis, cortical spreading ischaemia and immune responses. Delayed cerebral ischaemia presents with confusion, decreased consciousness, and new focal neurological deficits.

#### DRUGS FOR SUBARACHNOID HAEMORRHAGE

#### Nimodipine

Nimodipine is a dihydropyridine L-type calcium channel blocker (see Chapter 5) which is an arterial vasodilator with some selectivity for cerebral arteries. It reduces the risk of vasospasm following subarachnoid haemorrhage, but probably produces most of its benefits by protecting ischaemic neurons from Ca<sup>2+</sup> overload. There is a theoretical risk that cerebral arterial vasodilation may facilitate further bleeding, but this does not appear to be a problem in practice.

#### Pharmacokinetics

Oral nimodipine is completely absorbed but undergoes extensive first-pass metabolism (approximately 90%) in the gut wall and liver. It has a half-life of 8 to 9 hours and is eliminated by metabolism in the liver. It can also be given by intravenous infusion.

#### Unwanted effects

These are mainly caused by arterial dilation and include:

- hypotension, which can have a detrimental effect on cerebral perfusion,
- headache, flushing, sweating,
- thrombocytopenia (uncommon).

# MANAGEMENT OF SUBARACHNOID HAEMORRHAGE

Initial treatment of subarachnoid haemorrhage aims to reduce ischaemic cerebral damage. Nimodipine is the only drug that has been shown to reduce the development of delayed cerebral ischaemia. It should be started within 96 hours of the initial bleed and given orally (intravenously in the acute stage if oral use is not possible) for 21 days. Intravenous fluids are given if necessary to maintain euvolaemia and avoid hypotension. The optimum blood pressure in the early period after the haemorrhage is not known. In those who present with significant hypertension, blood pressure should be lowered modestly prior to aneurysm repair to minimise the risk of rebleeding. Seizures occur in 25% of people with subarachnoid haemorrhage, and are treated by short-term use of anticonvulsants.

An important element in the management of subarachnoid haemorrhage is surgical. Either endovascular coil occlusion of the aneurysm or neurosurgical clipping of the neck of the aneurysm that produced the bleeding will reduce the risk of rebleeding. In the last 20 years, early surgical intervention combined with medical therapy has reduced mortality from subarachnoid haemorrhage from 50% to about 25%.

# DEMENTIA

Dementia usually begins with forgetfulness and is characterised by disorientation in unfamiliar surroundings, variable mood, restlessness and poor sleep. Deterioration in social behaviour with self-neglect often follows and may be accompanied by personality change including loss of inhibition. Most dementia results from Alzheimer's disease, cerebrovascular disease (multiinfarct dementia), or a combination of the two, but there are other causes (Box 9.2). Memory impairment in dementia is associated with bilateral hippocampal damage.

### **ALZHEIMER'S DISEASE**

Alzheimer's disease is the commonest cause of dementia in people over the age of 65 years. About 10% of people over the age of 65 and about 30% of those over the age of 85 have some signs of Alzheimer's disease. The onset of symptoms is gradual, with progressive deterioration, unlike the case with vascular dementia. Alzheimer's disease is a neurodegenerative disorder that begins pathologically 20 to 30 years before the clinical onset. If there is doubt about the diagnosis on clinical grounds, typical abnormalities can be shown on FDG-PET (fluorodeoxyglucose-positron emission tomography)-CT scanning.

The cause of Alzheimer's disease is unknown, but there are several factors associated with the disease:

 Amyloid protein. Amyloid β is deposited in the medial temporal lobe and cerebral cortex of people with Alzheimer's disease as senile plaques. The initiating factor may be an imbalance between

# Box **9.2** Causes of Dementia.

TREATABLE CAUSES OF Dementia	IRREVERSIBLE AND PARTIALLY TREATABLE CAUSES OF DEMENTIA
Hypothyroidism	Vascular dementia
Neurosyphilis	Alzheimer's disease
Vitamin B <sub>1</sub> deficiency	Lewy body-type dementia
Normal-pressure	Parkinson's disease dementia
hydrocephalus	Progressive supranuclear palsy
Frontal lobe tumours	Multiple-system atrophy
Cerebral vasculitis	
Cerebral hypoperfusion	

production and reduced clearance of amyloid  $\beta$  in the brain, leading to toxic effects on neuronal synapses. Deposition of amyloid  $\beta$  may be the driver for excessive phosphorylation of *tau* protein (found in axons, where it promotes microtubule assembly and vesicle transport), which then aggregates into the neurofibrillary tangles characteristic of Alzheimer's disease.

- Genetic predisposition. This accounts for about 70% of the risk. Mutations of the apolipoprotein E ε4 allele (APOE ε4, essential for amyloid β clearance) confer a higher risk of late-onset Alzheimer's disease, whereas mutations in genes coding for amyloid β precursor protein and presenilin 1 and 2 explain the rare familial version of the disease.
- Glutamate excitotoxicity. Amyloid β deposits may promote neuronal damage by increasing the neuronal release of glutamate. This acts at *N*-methyl-D-aspartate (NMDA) receptors to generate glutamate-induced excitotoxicity of cholinergic neurons. Hyperactivity of glutamatergic neurons is a common finding in Alzheimer's disease.
- Oxidative stress. There is evidence of excessive free radical production in Alzheimer's disease, possibly through NMDA receptor activation. Increased oxidative stress may contribute to the condition by reducing amyloid β clearance, and promoting metabolic derangement and apoptosis in neurons.
- Loss of cholinergic neurotransmission. There is a marked loss of acetylcholine neurotransmitter synthesis and release in the cerebral cortex and the hippocampus, particularly affecting the areas involved in cognition and in memory. This may be connected to glutamate-induced excitotoxicity. There is also loss of nicotinic and muscarinic receptors, possibly as a consequence of interaction of amyloid  $\beta$  with cholinergic receptors.

# Drugs for Alzheimer's Disease Anticholinesterases

donepezil, galantamine, rivastigmine

**Mechanisms of action and effects.** The basis of the cholinergic hypothesis of Alzheimer's disease is that loss of cholinergic neurons in the basal forebrain nuclei results in abnormal function at cholinergic terminals in the hippocampus and neocortex, which are involved in memory and cognition. Anticholinesterases increase cholinergic transmission in the brain by inhibiting acetylcholinesterase (AChE) in the synaptic cleft (see Chapter 4).

• Donepezil is a reversible AChE inhibitor with a high degree of selectivity for the central nervous system.

- Galantamine is a reversible AChE inhibitor that also has agonist activity at presynaptic nicotinic receptors by allosterically enhancing the receptor response to acetylcholine.
- Rivastigmine is a slowly reversible AChE inhibitor with selectivity for the CNS, but it also inhibits pseudocholinesterase (butyrylcholinesterase), which is present in tissues and plasma.

**Pharmacokinetics.** Donepezil and galantamine are metabolised in the liver; galantamine has a half-life of 5 to 7 hours, whilst the half-life of donepezil is very long, at 70 to 80 hours. Rivastigmine is rapidly inactivated by cholinesterase-mediated hydrolysis and has a short half-life of 1 to 2 hours. It can also be delivered by the transdermal route using a sustained release patch that is changed daily.

#### **Unwanted effects**

- Anorexia, nausea and vomiting, diarrhoea, abdominal pain.
- Drowsiness, hallucination, agitation, dizziness, insomnia, headache, depression.
- Rash, pruritus.
- Bradycardia.

#### N-methyl-p-aspartate receptor antagonists

Example memantine

**Mechanism of action and effects.** Memantine is a derivative of the antiviral drug amantadine (see Chapter 24) and is a noncompetitive antagonist at glutamate NMDA receptors. This may prevent glutamate-induced excitotoxicity by limiting long-lasting influx of  $Ca^{2+}$  into neurons but without interfering with the actions of glutamate, which are involved in memory and learning. Memantine is also a 5-HT<sub>3</sub> receptor antagonist and a noncompetitive nicotinic receptor antagonist, but the significance of these actions for the treatment of dementia is unknown. Memantine can be used together with an anticholinesterase.

**Pharmacokinetics.** Memantine is excreted unchanged by the kidneys. It has a very long half-life of 60 to 80 hours.

#### **Unwanted effects**

- Constipation.
- Headache, dizziness, drowsiness, impaired balance.
- Hypertension.
- Dyspnoea.

#### Treatment of Alzheimer's Disease

Group cognitive stimulation, group reminiscence and cognitive rehabilitation therapies should be offered to a person with dementia. Coordinated care with appropriate support for the person and any carers is important.

Drug treatment for Alzheimer's disease with an anticholinesterase is recommended for people with mild to moderate dementia. The diagnosis of Alzheimer's disease should first be confirmed by a health professional with suitable expertise.

Current treatments for Alzheimer's disease do not alter progression of the underlying pathology. Because the pathological changes are apparent many years before symptoms arise, intervention before neurodegenerative disease is established might be beneficial. This will become possible only with the introduction of markers for early diagnosis, and extensive research has so far failed to find an effective intervention that prevents the onset of significant symptoms. At the time of writing, the most promising potential treatment is a monoclonal antibody, aducanumab. This binds to amyloid  $\beta$  aggregates, especially in brain parenchyma, and reduces the size of senile plaques. The end result appears to be a slowing of cognitive decline in people with established Alzheimer's disease.

All anticholinesterases produce a similar modest improvement in symptoms and a delay in the decline of cognitive function and memory in up to two-thirds of those who are treated. Efficacy should be assessed by questioning the person treated or a carer after 2 to 4 months of treatment at the maximum tolerated dose. Treatment should not be stopped on the basis of disease severity alone. Anticholinesterases delay the decline in mental function by about 3 to 6 months, but it is not arrested. Rapid progression resumes when the drugs are stopped, but there may be limited benefit from restarting treatment if it is longer than a month after withdrawal. Anticholinesterases produce some improvement in other functional measures and behaviour, which also affect the quality of life.

Memantine produces moderate improvement in cognition and a reduction in functional decline; it is usually well tolerated. However, it may be ineffective in the early stages of Alzheimer's disease. It is recommended for use in moderate Alzheimer's disease when an anticholinesterase is poorly tolerated, or as monotherapy for severe Alzheimer's disease. The combination of an anticholinesterase with memantine can produce additive benefits in severe dementia.

Neuropsychiatric complications of Alzheimer's disease, such as depression and severe aggression, may require treatment. However, the value of antidepressant therapy is uncertain. Antipsychotic drugs can produce significant unwanted effects with only modest benefit and should be avoided unless the person is at risk of harming themselves or others or is experiencing distressing agitation, hallucinations or delusions.

#### **VASCULAR DEMENTIA**

Cerebrovascular disease is a particularly common cause of dementia in people above 85 years of age; overall, it is the second most frequent cause of dementia. The deterioration in mental function is produced by multiple cerebral infarcts (multiinfarct dementia), particularly if they affect the white matter. The risk of dementia is increased ninefold in people with stroke. In some of these, dementia may be produced by specific, strategically located infarcts, especially in the angular gyrus of the inferior parietal lobule. In contrast to Alzheimer's disease, the initial presentation is usually more acute and cognitive decline has a stepwise course arising from recurrent cerebrovascular events.

#### **Treatment of Vascular Dementia**

- Prophylaxis against cerebral emboli with aspirin or warfarin, depending on the heart rhythm (see prevention of stroke, discussed previously), is often recommended. However, there is no evidence that aspirin is of benefit in preventing deterioration of vascular dementia in people who are in sinus rhythm.
- Control of hypertension (see Chapter 6). Calcium channel blockers reduce the risk of vascular dementia, but it is likely to be an effect related to blood pressure reduction rather than a more specific effect of this class of drug.
- Anticholinesterases or memantine may produce some improvement in function in those suspected of having comorbid Alzheimer's disease or dementia with Lewy bodies.
- Immunosuppressant drugs (see Chapter 38) can be used in the rare cases caused by cerebral vasculitis.

# DEMENTIA WITH LEWY BODIES

This form of dementia presents with fluctuating cognition and profound variations in attention and alertness. Recurrent complex visual hallucinations are characteristic, along with REM sleep behaviour disorder with dream enactment behaviour. Features of parkinsonism are also common later in the disease process, usually at least a year after the onset of dementia. The appearances on scanning the brain are different from the pattern in Alzheimer's disease.

Anticholinesterases or memantine improve cognition or prevent further deterioration in dementia with Lewy bodies. Antipsychotics should be avoided as they carry a risk of serious sensitivity reactions and increased mortality. Levodopa (see Chapter 24) may improve parkinsonian features, but the response is often less than that in Parkinson's disease.

# SELF-ASSESSMENT

### **TRUE/FALSE QUESTIONS**

- 1. Aspirin reduces the risk of a first stroke in healthy individuals.
- 2. Aspirin cannot prevent a first stroke in people with persistent atrial fibrillation.
- 3. Approximately 85% of all strokes have a haemorrhagic aetiology.
- 4. If thrombolysis with rt-PA (alteplase) is given in acute stroke, antiplatelet and anticoagulant therapies should not be given concurrently.

- 5. Anticoagulation with warfarin and antiplatelet therapy with aspirin are equal first-choice drugs for the secondary prevention of recurrent ischaemic strokes in the presence of sinus rhythm.
- 6. Cerebral ischaemia depolarises neurons and causes the release of large amounts of glutamate.
- 7. Donepezil is an acetylcholinesterase inhibitor with selectivity for the CNS.
- 8. Nimodipine reduces the risk of vasospasm following subarachnoid haemorrhage.
- 9. The NMDA receptor antagonist memantine reduces neurotoxicity caused by the excitatory transmitter glutamate.
- 10. Cerebral emboli arising from the heart are invariably caused by atrial fibrillation.

# **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Smoking doubles the risk of ischaemic stroke, but after how many years does the excess risk almost disappear following smoking cessation?
  - A. Within 2 years.
  - B. About 2–4 years.
  - C. About 4–8 years.
  - D. About 8–15 years.
  - E. The excess risk never disappears.
- 2. What proportion of people over 85 years of age show signs of Alzheimer's disease?
  - A. About 10%
  - B. About 20%
  - C. About 30%
  - D. About 40%
  - E. About 50%
- 3. Choose the most accurate statement about Alzheimer's disease.
  - A. Alzheimer's disease is associated with reduced cholinergic and glutamatergic neurotransmission.
  - B. Group reminiscence is of little value in Alzheimer's disease.
  - C. Memantine is a muscarinic receptor agonist.
  - D. Anticholinesterases should not be co-prescribed with memantine.
  - E. Unlike donepezil, rivastigmine inhibits both acetylcholinesterase and butyrylcholinesterase.

#### **CASE-BASED QUESTIONS**

A 70-year-old man has a blood pressure of 190/110 mm Hg despite intensive antihypertensive drug treatment. He was admitted to hospital 6 hours after the acute onset of unilateral weakness and sensory loss. At the time of admission to hospital, most of the neurological signs had resolved. He had no headache or vomiting and remained conscious and in sinus rhythm. Following clinical examination and a computed tomography (CT) brain scan, this episode was diagnosed as a TIA.

- 1. Should thrombolysis be given?
- 2. What other therapies should be instituted immediately?

3. What secondary prevention strategy should be employed?

#### **ANSWERS**

# TRUE/FALSE ANSWERS

- 1. **False.** Aspirin does not reduce the risk of a first stroke in healthy individuals in sinus rhythm.
- 2. **False.** Aspirin reduces the risk of a first embolic stroke in atrial fibrillation by about 25% but is less effective than warfarin.
- 3. **False.** About 85% of strokes have ischaemic aetiology; up to 15% are caused by intracerebral haemorrhage.
- 4. **True.** The immediate risk of intracranial haemorrhage with alteplase could be compounded by simultaneous use of antiplatelet or anticoagulant agents. These should be considered later when the effect of the fibrinolytic has waned.
- 5. **False.** In people in sinus rhythm, aspirin alone (or together with dipyridamole) reduces the risk of stroke; warfarin is no more effective and poses a greater risk of major bleeding.
- 6. **True.** The excitatory transmitter glutamate can cause a substantial rise in intracellular Ca<sup>2+</sup>, causing Ca<sup>2+</sup> overload and cell death by the generation of free radicals.
- 7. **True.** Anticholinesterase drugs enhance cholinergic activity in the hippocampus and neocortex, improving memory and cognition.
- 8. **True.** By blocking L-type calcium channels in the CNS, nimodipine reduces vasospasm and also protects ischaemic neurons from Ca<sup>2+</sup> overload.
- 9. **True.** Memantine blocks NMDA (glutamate) receptors and reduces the glutamate-induced excitotoxicity of cholinergic neurons.
- 10. **False.** Cerebral emboli arising from the heart can also be caused by infected or damaged prosthetic valves or following damage to the myocardium.

#### **OBA ANSWERS**

- 1. **Answer B** is correct. The excess risk of smoking for ischaemic stroke almost disappears within 2 to 4 years of smoking cessation. See Chapter 54 for nicotine replacement therapy (NRT) and other drugs used to aid smoking cessation.
- 2. **Answer C** is correct. About 30% of people over 85 years of age show signs of Alzheimer's disease.
- 3. **Answer E** is correct.
  - A. Incorrect. Alzheimer's disease is associated with reduced cholinergic transmission and increased glutamatergic transmission.
  - B. Incorrect. Group reminiscence, group cognitive stimulation and group cognitive rehabilitation should be offered.

- C. Incorrect. Memantine is a glutamate NMDA receptor antagonist.
- D. Incorrect. Anticholinesterases and memantine can be usefully co-prescribed.
- E. **Correct**. Rivastigmine inhibits both acetylcholinesterase and pseudocholinesterase (butyrylcholinesterase), but it is unclear whether its additional inhibition of the latter provides extra clinical benefit.

#### **CASE-BASED ANSWERS**

- 1. Thrombolysis is inappropriate in this 70-year-old man. His blood pressure is high and a considerable time (>4.5 hours) has passed since the onset of symptoms. The rapid resolution of signs indicates a TIA, for which thrombolysis is not given. Although thrombolysis has been shown in some trials to be useful in the treatment of stroke, safe and effective use is determined by a rigid set of criteria as there is a significant risk of intracranial haemorrhage.
- 2. The patient's blood pressure must be brought under control. Reduction in blood pressure has a major effect on the prevention of a recurrent stroke. He should also be given the antiplatelet drugs aspirin and dipyridamole, or clopidogrel alone.
- 3. Antiplatelet therapy should be continued. Dipyridamole has additional benefit when given together with aspirin. Cholesterol reduction with a statin is effective in the secondary prevention of ischaemic stroke. It would be worth treating this man with a statin even if his cholesterol were not raised. An important reason for cholesterol reduction is the prevention of ischaemic cardiac events as coronary artery disease often coexists with atheromatous cerebrovascular disease.

#### FURTHER READING

#### STROKE

- Boehme, A.K., Esenwa, C., Elkind, M.S.V., 2017. Stroke risk factors, genetics, and prevention. Circ. Res. 120, 472–495.
- Cordonnier, C., Demchuk, A., Ziai, W., Anderson, C.S., 2018. Intracerebral haemorrhage: current approaches to management. Lancet 392, 1257–1268.
- Zerna, C., Thomalla, G., Campbell, B.C.V., et al., 2018. Current practice and future directions in the diagnosis and acute treatment of ischaemic stroke. Lancet 392, 1247–1256.

#### SUBARACHNOID HAEMORRHAGE

Macdonald, R.L., Schweizer, T.A., 2018. Spontaneous subarachnoid haemorrhage. Lancet 389, 655–666.

#### DEMENTIA

- Briggs, R., Kennelly, S.P., O'Neill, D., 2016. Drug treatment in Alzheimer's disease. Clin. Med 16, 247–253.
- Scheltens, P., Blennow, J., Breteler, M.M.B., et al., 2016. Alzheimer's disease. Lancet 388, 505–517.

DRUG	CHARACTERISTICS
Anticholinesterase (AChE) Dru	ugs
Donepezil	AChE inhibitor. Used for mild to moderate dementia in Alzheimer's disease. Given orally. Long half- life (70–80 h).
Galantamine	AChE inhibitor and nicotinic receptor agonist. Used for mild to moderate dementia in Alzheimer's disease. Given orally.
Rivastigmine	Inhibitor of AChE and pseudocholinesterase (butyrylcholinesterase). Used for mild to moderate dementia in Alzheimer's disease; also used in Parkinson's disease. Given orally or by transcutaneous patch.
Other Drugs	
Antiplatelet drugs (aspirin, clopidogrel, dipyridamole)	See Chapter 11.
Memantine	Glutamate NMDA receptor antagonist; significance of additional actions at 5-HT <sub>3</sub> , nicotinic and dopamine D <sub>2</sub> receptors is unclear. Used for moderate to severe dementia in Alzheimer's disease. Given orally. Long half-life (60–80 h).
Nimodipine	Dihydropyridine calcium channel blocker (see Chapter 5) selective for cerebral arteries. Use is confined to the prevention and treatment of ischaemic neurological deficits following aneurysmal subarachnoid haemorrhage. Given orally or by intravenous infusion.

# Compendium of Drugs Used to Treat Cerebrovascular Disease and Dementia.

NMDA, N-methyl-D-aspartate.

# **Peripheral Artery Disease**

# **Chapter Outline**

Atheromatous Peripheral Artery Disease169Symptoms of Peripheral Artery Disease169Drugs for Peripheral Artery Disease169Management of Intermittent Claudication170Acute and Critical Limb Ischaemia170Management of Acute and Critical LimbIschaemiaIschaemia171

# ATHEROMATOUS PERIPHERAL ARTERY DISEASE

Atherothrombotic disease is by far the most important cause of peripheral artery disease. Disease in peripheral arteries principally affects the aorta and the renal and lower limb arteries. The risk factors for its development are similar to those for coronary artery and cerebrovascular disease (see Chapters 5 and 9). The strongest associations of peripheral artery disease are with increasing age, smoking and diabetes mellitus, and to a lesser extent with a raised systolic blood pressure, a raised plasma low-density lipoprotein (LDL) cholesterol and chronic kidney disease. Not surprisingly, symptomatic ischaemic heart disease and cerebrovascular disease coexist in up to 60% of people with peripheral artery disease and are responsible for about 70% of their excess mortality.

# SYMPTOMS OF PERIPHERAL ARTERY DISEASE

Symptoms usually arise as a consequence of atherosclerotic stenosis of a lower limb artery involving more than 50% of the diameter of an arterial lumen. Hypoxia of skeletal muscle occurs when blood flow through the diseased artery fails to increase sufficiently to meet the increased metabolic demand of the muscle during exercise. This produces intermittent claudication, which is ischaemic pain in the muscles of the limb arising from metabolic changes that accompany the switch to anaerobic metabolism. The severity of reduction in blood flow to the limb does not correlate well with symptoms, which may be determined by ischaemia– reperfusion injury and altered oxidative metabolism in the poorly perfused muscle.

Intermittent claudication is precipitated by exercise and relieved by rest. Depending on whether the vascular narrowing is in a distal or proximal lower limb artery, pain can be experienced in the calf, thigh or buttock. The presence of a collateral arterial circulation Raynaud's Phenomenon 171 Management of Raynaud's Phenomenon 172 Self-Assessment 172 Answers 173 Further Reading 174 Compendium of Drugs Used to Treat Peripheral Artery Disease 174

(see Chapter 5) will reduce the severity of the symptoms and influence the long-term outcome. In threequarters of people with peripheral artery disease, the symptoms stabilise or improve within a few months of presentation. The remaining 25% experience steady progression, but fewer than 5% of symptomatic individuals will develop critical ischaemia, which causes pain at rest and ultimately distal gangrene (described later).

# DRUGS FOR PERIPHERAL ARTERY DISEASE

### Naftidrofuryl Oxalate Mechanism of action and effects

Naftidrofuryl oxalate activates the mitochondrial enzyme succinic dehydrogenase, promoting the production of high-energy adenosine triphosphate (ATP) in ischaemic tissue. It is also a 5-hydroxytryptamine type 2 (5-HT<sub>2</sub>) receptor antagonist, which may cause arterial vasodilation and reduce platelet aggregation. These actions could improve blood flow to ischaemic tissues and tissue nutrition.

#### **Pharmacokinetics**

Naftidrofuryl is given orally and metabolised in the liver. It has a half-life of 3 to 4 hours.

#### Unwanted effects

- Nausea, vomiting, epigastric pain.
- Rash.
- Hepatitis is a rare but potentially serious complication.

# Cilostazol

#### Mechanism of action

Cilostazol is a reversible inhibitor of the phosphodiesterase type 3 (PDE3) enzyme and therefore reduces breakdown of cAMP (see Table 1.1). PDE3 is present in vascular smooth muscle cells and platelets, and its inhibition causes vasodilation and inhibits platelet activation and aggregation. Other potential actions of cilostasol include inhibition of cellular adenosine reuptake, which promotes vasodilation, increasing plasma high-density lipoprotein (HDL) cholesterol, and inhibition of cell proliferation in vascular smooth muscle. Cilostazol is no longer recommended for the treatment of claudication in peripheral artery disease.

#### **Pharmacokinetics**

Cilostazol undergoes hepatic metabolism via cytochrome P450 to two metabolites with antiplatelet activity, one of which is more active than cilostazol. Cilostazol has a half-life of about 12 hours.

#### **Unwanted effects**

- Decreased appetite, nausea, abdominal discomfort, diarrhoea.
- Headache.
- Palpitation and tachycardia.
- Other PDE3 inhibitors such as milrinone have been shown to increase mortality in people with heart failure (see Chapter 7); cilostazol does not appear to increase the risk of life-threatening arrhythmias, but is contraindicated in people with heart failure, cardiac arrhythmias and ischaemic heart disease.
- Increased risk of bleeding when combined with aspirin and clopidogrel.
- Blood dyscrasias.
- Drug interactions: the dose of cilostazol should be reduced with concomitant use of drugs that inhibit cytochrome P450 isoenzymes 3A4 (such as clarithromycin, itraconazole) or 2C19 (such as erythromycin, omeprazole).

# MANAGEMENT OF INTERMITTENT CLAUDICATION

Most people with peripheral artery disease are asymptomatic, probably because many become sedentary to avoid symptoms.

# Nonpharmacological Treatment

- Stopping smoking slows the progression of peripheral atherosclerosis and may improve walking distance by improving blood oxygen transport. It will also reduce the risk of coronary and cerebrovascular events and is therefore a cornerstone of long-term management. The chance of survival 5 years from diagnosis is halved in those who continue to smoke.
- Regular supervised exercise past the usual claudication distance can improve maximum walking distance by 150% over 8 to 12 weeks. Walking for more than 30 minutes three times a week to moderate discomfort is most effective.

#### **Pharmacological Treatment**

Much of the treatment of peripheral artery disease is aimed at reducing the risk of myocardial infarction and stroke, which are the main reasons for excess mortality.

- Low-dose aspirin inhibits platelet aggregation and reduces cardiac and cerebrovascular events (see Chapter 11).
- Intensive management of hypertension reduces progression of atheroma. Conventional antihypertensive therapy is used, with ramipril widely endorsed as first-line treatment (see Chapter 6). Treatment of hypertension does not affect symptoms of intermittent claudication. Although β-adrenoceptor antagonists could theoretically exacerbate intermittent claudication by reducing cardiac output and impairing vasodilation of arteries supplying skeletal muscle (see Chapter 5), there is little evidence of a deleterious effect, except when there is critical limb ischaemia.
- Lowering serum LDL cholesterol with a statin (see Chapter 48) can stabilise or regress atherosclerotic plaques. It is unclear whether this improves walking distance, but there may be a lower risk of amputation. The main benefit of lowering cholesterol may be reduced morbidity and mortality from coexistent ischaemic heart disease and stroke (see Chapter 5).
- Controlling HbA1c in people with diabetes mellitus reduces the risk of microvascular complications but has little effect on the risk of amputation.
- Naftidrofuryl oxalate improves maximum walking distance by up to 60%, but this is often insufficient to make a difference to quality of life. A trial of treatment may be justified for those who remain restricted by the disease after 6 to 12 months of conservative treatment, and for whom surgical intervention is inappropriate or has failed. Withdrawal is advised after 3 to 6 months of treatment to assess whether spontaneous improvement has occurred.
- Cilostazol can improve maximum walking distance by up to 25% over 3 to 6 months of treatment, but the impact of this on quality of life is often minimal and it is no longer recommended.

#### **Surgical Treatment**

Surgical treatment is usually considered if quality of life is significantly impaired by claudication after 3 months of supervised exercise or if tissue integrity is at risk. Percutaneous transluminal angioplasty, often with insertion of a stent, is used particularly for single stenoses above the inguinal ligament while bypass surgery, usually using autologous vein as the conduit, is used for multiple or large occlusions.

# ACUTE AND CRITICAL LIMB ISCHAEMIA

Acute limb ischaemia is usually caused by an arterial embolus. This can arise from an intracardiac thrombus, usually associated with atrial fibrillation (see
Chapter 8) or following a myocardial infarction, or from aortic or internal iliac artery thrombus. Acute limb ischaemia often arises when an embolus occludes a previously healthy artery and it presents with a cluster of symptoms and signs – the "6P's". These are acute onset of severe pain at rest, associated with signs of critically impaired tissue perfusion (pallor, pulselessness, perishing cold, paraesthesia and paralysis).

Critical limb ischaemia results from subtotal occlusion of an artery, and is typically due to partial occlusion of the vessel from thrombus at the site of a flow-limiting stenosis or on a ruptured atherosclerotic plaque ('acute-on-chronic' ischaemia). The symptoms include rest pain in the foot, often worse at night and relieved by hanging the leg out of the bed.

# MANAGEMENT OF ACUTE AND CRITICAL LIMB ISCHAEMIA

Unless treatment of acute or acute-on-chronic critical limb ischaemia is rapid, the person may be left with a chronically ischaemic limb, or occasionally the limb may be lost through gangrene. If the limb is still viable, then a peripheral arterial angiogram should be carried out.

For acute embolic arterial occlusion of an otherwise healthy vessel, embolectomy is the treatment of choice. An acute thrombus or embolus occluding a previously stenotic vessel can be dissolved by intraarterial thrombolysis with recombinant tissue plasminogen activator (rt-PA; alteplase; see Chapter 11). Alteplase is usually infused via a catheter into the thrombus for up to 24 hours, or it can be given as repeated boluses. Reperfusion takes several hours and in about 25% of acute vascular occlusions lysis is not achieved, especially if there is embolic occlusion. The risk of intracerebral haemorrhage is also a concern. Thrombolysis can be complemented by thrombectomy via a catheter to aid clearance. A surgical bypass may be considered if there is no time for thrombolysis or for treatment of chronic limb ischaemia.

# **RAYNAUD'S PHENOMENON**

Raynaud's phenomenon is a profound and exaggerated vasospastic response of blood vessels in the extremities on exposure to cold, change in environmental temperature or during emotional upset. This leads to episodes of ischaemia that most commonly affect the fingers (occasionally also the toes, lips, ear lobes or the nipples). A typical attack initially produces pallor of the affected part (deoxygenation), followed by one or both of cyanosis and then erythema as blood flow returns to the affected area. The hyperaemic phase may be accompanied by pain and paraesthesia. Each attack can last several minutes or up to a few hours. The prevalence of Raynaud's phenomenon in the population is about 5%, with about two-thirds of cases occurring in women. Common symptoms include discomfort, numbness and tingling, with loss of function and pain if the condition is severe.

The majority of cases of Raynaud's phenomenon are idiopathic (primary Raynaud's phenomenon; also called Raynaud's disease). Symptoms usually begin in teenage years or early twenties. The cause of the excessive vascular reactivity is unknown, although there is a genetic predisposition. Vascular function in other tissues is often abnormal in primary Raynaud's phenomenon – for example, in the cerebral arteries (giving an association with migraine), the coronary arteries (producing variant angina) or, more rarely, in the pulmonary arteries (leading to primary pulmonary hypertension). In primary Raynaud's phenomenon, tissue ischaemia is completely reversible, almost always without leaving permanent tissue damage.

In about 10% of cases, Raynaud's phenomenon is secondary to another disorder. This is most commonly systemic sclerosis, but there are many other associated conditions (Box 10.1). Structural damage to arteries is common in secondary Raynaud's phenomenon, and digital ulceration or occasionally gangrene is much more common than in the primary type.

Other disorders of the peripheral circulation should also be considered in the differential diagnosis of Raynaud's phenomenon:

 Acrocyanosis usually affects the hands and produces persistently cold, bluish fingers which are often sweaty or oedematous. The management of this condition is similar to that of Raynaud's phenomenon.

# Box 10.1 Conditions Associated with Raynaud's Phenomenon.

Connective tissue disorders Systemic sclerosis Systemic lupus erythematosus Rheumatoid arthritis Dermatomyositis and polymyositis Obstructive arterial disorders Carpal tunnel syndrome Thoracic outlet syndrome Atherosclerosis Thromboangiitis obliterans Drugs and chemicals Ergotamine Beta-adrenoceptor antagonists Bleomycin, vinblastine, cisplatin Oral contraceptives Vinyl chloride Occupational Vibrating tools Cold environment Blood disorders Polycythaemia Cold agglutinin disease Monoclonal gammopathies Thrombocytosis

- Chilblains are an inflammatory disorder with erythematous lesions on the feet, or less commonly the hands or face, which are precipitated by rapid rewarming after exposure to cold and humidity. The lesions are often painful or itchy. Treatments used for Raynaud's phenomenon may help, with the addition of topical nonsteroidal antiinflammatory agents (see Chapter 29).
- Erythromelalgia is a painful, burning condition often affecting the hands and feet that, unlike Raynaud's phenomenon, is usually provoked by heat. It sometimes responds to treatment with a calcium channel blocker (see Chapter 5) or gabapentin (see Chapter 23).
- Vibration white finger is a patchy digital vasospasm associated with prolonged use of vibrating tools. If drug treatment is necessary, it is similar to that for Raynaud's phenomenon.

# MANAGEMENT OF RAYNAUD'S PHENOMENON

Many people with Raynaud's phenomenon are only mildly inconvenienced by their symptoms and respond to simple measures. Drug treatment is usually reserved for those experiencing pain, impairment of function or trophic changes. Responses to individual treatments are unpredictable, and less satisfactory in secondary Raynaud's phenomenon because of structural changes to the vessel wall.

# Nonpharmacological Treatment

- Minimising changes in ambient temperature with insulating clothing is often enough to reduce the number of attacks. Electrically heated gloves or socks may be useful for more severely affected people.
- Smoking should be strongly discouraged. Nicotine promotes vasospasm and may also reduce the threshold for other provoking factors.
- Aggravating factors should be withdrawn or corrected whenever possible (see Box 10.1). Betaadrenoceptor antagonists (see Chapter 5) produce peripheral circulatory problems sufficient to necessitate stopping treatment in about 3% to 5% of people who take them.
- Surgical sympathectomy is occasionally used for advanced disease.

# **Pharmacological Treatment**

# Arterial vasodilators

- Calcium channel blockers (see Chapter 5): modified-release nifedipine is the drug of first choice for Raynaud's phenomenon, and usually reduces the frequency, duration and intensity of vasospastic episodes. Several other dihydropyridines are probably equally effective, but diltiazem is less effective and verapamil ineffective in this condition.
- Naftidrofuryl oxalate may produce a modest reduction in the severity of attacks.

- Alpha<sub>1</sub>-adrenoceptor antagonists (see Chapter 6): moxisylyte or prazosin are typically used. Moxisylyte does not lower blood pressure to the same degree as other α<sub>1</sub>-adrenoceptor antagonists, but its efficacy is not supported by good evidence.
- Angiotensin II receptor antagonists or angiotensinconverting enzyme (ACE) inhibitors (see Chapter 6).
- Phosphodiesterase type 5 inhibitors, such as sildenafil (see Chapter 16), have been used particularly in secondary Raynaud's phenomenon that is resistant to other vasodilators.
- Bosentan, an endothelin receptor antagonist (see Chapter 6), is effective in severe Raynaud's phenomenon.
- Fluoxetine (see Chapter 22), a selective serotonin reuptake inhibitor (SSRI) antidepressant, may be helpful for people who experience unwanted effects with other vasodilators.
- Calcitonin gene-related peptide (CGRP) is effective for prolonged periods when given by short intravenous infusions over 5 or more consecutive days. It is a neurotransmitter for vasodilator cutaneous sensorimotor nerves in the fingers and toes. CGRP is usually reserved for failure to respond to epoprostenol (described later).

# Drugs acting primarily on blood components

- · Prostaglandins: short intravenous infusions of epoprostenol (prostacyclin, PGI<sub>2</sub>, see Chapter 11) over at least 5 consecutive days produce immediate vasodilation. There is long-term improvement in symptoms and healing of ulcers over a period of 10 to 16 weeks following treatment. Prolonged effects are believed to be caused by reduced platelet aggregation, increased red cell deformability and reduced neutrophil adhesiveness, which together improve blood flow. Epoprostenol is rapidly inactivated in plasma by hydrolysis and has a very short half-life of about 3 minutes. Unwanted effects due to vasodilation include flushing, headache and hypotension, as well as nausea, vomiting, abdominal pain, diarrhoea, anxiety, arrhythmias, chest discomfort and arthralgia. Epoprostenol is usually used for refractory secondary Raynaud's phenomenon.
- Inositol nicotinate (a nicotinic acid derivative) produces a gradual onset of clinical response and only modest improvement in symptoms and is not recommended. Its action may result more from fibrinolysis (reducing plasma viscosity) and reduction in platelet aggregation than from vasodilation.

# SELF-ASSESSMENT

# **TRUE/FALSE QUESTIONS**

1. Diabetes mellitus, hypertension and smoking confer an additive risk of developing peripheral artery disease.

- 2. People with intermittent claudication do not have an increased risk of developing coronary artery disease.
- 3. Statin drugs are indicated in people with symptomatic atherosclerotic peripheral artery disease.
- 4. Epoprostenol mimics the actions of thromboxane A<sub>2</sub>.
- 5. Bosentan is an endothelin receptor antagonist used in Raynaud's phenomenon.
- 6. Verapamil is the calcium channel blocker of choice in the treatment of Raynaud's phenomenon.

# **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. What is the mechanism of action of naftidrofuryl oxalate?
  - A. Naftidrofuryl decreases plasma HDL cholesterol.
  - B. Naftidrofuryl inhibits PDE3 in vascular tissues.
  - C. Naftidrofuryl inhibits succinic dehydrogenase in mitochondria.
  - D. Naftidrofuryl is a 5-hydroxytryptamine type 2 (5-HT<sub>2</sub>) receptor antagonist.
  - E. Naftidrofuryl is an  $\alpha_1$ -adrenoceptor antagonist.

# **CASE-BASED QUESTIONS**

Mr T.H., aged 67 years, has type 1 diabetes mellitus and smokes 20 cigarettes a day. His plasma total cholesterol is raised at 7.2 mmol/L and his blood pressure is 160/110 mm Hg. After walking 50 metres he develops pain in his left calf muscle, which is relieved by rest. He occasionally has rest pain at night. On examination, both popliteal and posterior tibial pulses are absent, and femoropopliteal atherosclerotic disease is diagnosed.

- 1. Comment on the usefulness and drawbacks of the following drugs to treat Mr T.H.'s peripheral vascular disease.
  - A. Propranolol
  - B. Atenolol
  - C. Nifedipine
  - D. A statin
  - E. Low-dose aspirin
  - F. Cilostazol
- 2. What other therapy could be of benefit?
- 3. Should the use of an electric blanket be discouraged?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **True.** The risk factors for peripheral artery disease are similar to those for coronary artery and cerebrovascular disease.
- 2. **False**. There is a two- to fourfold increased risk of developing coronary disease, stroke or heart failure compared with age-matched subjects who do not have intermittent claudication.
- 3. **True.** Lowering serum LDL cholesterol can stabilise or regress atherosclerotic plaques.

- 4. **False**. Epoprostenol is prostacyclin (prostaglandin I<sub>2</sub>), which has actions on vessels and platelets opposite to those of thromboxane A<sub>2</sub>.
- 5. **True.** Bosentan produces vasodilation by blocking the action of endothelin-1 at its ET-A and ET-B receptors; it is used for Raynaud's phenomenon in people with systemic sclerosis.
- 6. **False**. Verapamil is ineffective in the treatment of Raynaud's phenomenon, and the drug of choice is nifedipine.

# **OBA ANSWER**

1. Answer D is correct; naftidrofuryl oxalate is a 5-HT<sub>2</sub> antagonist that causes vasodilation and reduced platelet activity; it also increases ATP in ischaemic tissue by activating succinic dehydrogenase (not by inhibiting it, as in answer C). It has no effect on plasma HDL cholesterol (answer A). Answer B is the mechanism of cilostazol and answer E that of moxisylyte.

# **CASE-BASED ANSWERS**

- 1. The usefulness and drawbacks of drugs A–F in treating Mr T.H. are as follows:
  - A. Beta-adrenoceptor antagonists should probably be avoided in this man. They would not be the drug of choice in the initial treatment of his high blood pressure (see Chapter 6), and by reducing cardiac output and inhibiting vasodilation, they could further reduce blood flow in critical limb ischaemia.
  - B. Cardioselective β-adrenoceptor antagonists such as atenolol do not cause deterioration in walking distance when used without a vasodilator.
  - C. Vasodilators will lower blood pressure but do not improve walking distance. In some people, they may redirect blood from the maximally dilated ischaemic tissues to healthy tissues (vascular steal). This can be particularly troublesome in critical limb ischaemia, or when the cardiac output is also reduced by concurrent use of a β-adrenoceptor antagonist.
  - D. Lowering LDL cholesterol can stabilise atherosclerotic plaques, perhaps reducing the consequences of coexistent heart disease; it is not known whether walking distance or limb survival are improved.
  - E. Low-dose aspirin inhibits platelet aggregation and reduces future cardiac events, which are common in people with peripheral vascular disease.
  - F. Cilostazol is no longer recommended for people with claudication due to peripheral artery disease.
- 2. Intensive management of blood pressure, control of diabetes mellitus and antiplatelet therapy will reduce the risk of cardiac events. An exercise programme can improve walking distance significantly. Smoking is a major contributory factor to impaired walking distance and cardiac events.
- 3. An electric blanket should be discouraged, as excessive warming of limbs may dilate normal arteries, 'stealing' blood from diseased arteries.

# **FURTHER READING**

Morley, R.L., Sharma, A., Horsch, A.D., Hinchcliffe, R.J., 2018. Peripheral artery disease. BMJ 360, j5842. Wigley, F.M., Flavahan, N.A., 2016. Raynaud's phenomenon. N. Engl. J. Med. 375, 556–565.

# Compendium of Drugs Used to Treat Peripheral Artery Disease.

DRUG	CHARACTERISTICS
All given orally unless ot	herwise stated.
Cilostazol	Reversible inhibitor of phosphodiesterase (PDE) type 3 and adenosine reuptake inhibitor in vascular smooth muscle cells, causing vasodilation; also has antiplatelet activity and increases plasma HDL cholesterol. Not recommended in peripheral artery disease.
Epoprostenol	Prostaglandin I <sub>2</sub> (prostacyclin); vasodilator and antiplatelet activity. Freshly reconstituted from dry powder before intravenous infusion. Very short half-life (<3 min).
Inositol nicotinate	Hydrolysed to nicotinic acid (niacin), a vasodilator, but not recommended; also used for hyperlipidaemias.
Moxisylyte	Formerly known as thymoxamine in the UK. Prodrug converted to desacetylmoxisylyte, which blocks $\alpha_1$ -adrenoceptors. Used for the short-term treatment of primary Raynaud's phenomenon.
Naftidrofuryl oxalate	Activates mitochondrial succinic dehydrogenase and is a 5-HT <sub>2</sub> receptor antagonist.
Pentoxifylline	PDE inhibitor; increases erythrocyte flexibility and decreases blood viscosity, possibly by increasing erythrocyte cAMP, but not recommended.

cAMP, Cyclic adenosine monophosphate; HDL, high-density lipoprotein.

# Haemostasis

# 11

# **Chapter Outline**

Platelets and Platelet Aggregation 175 **Blood Coagulation and the Coagulation** Cascade 177 Arterial and Venous Thrombosis 178 Antiplatelet Drugs 178 Cyclooxygenase Inhibitors 178 Phosphodiesterase Inhibitors 179 Adenosine Diphosphate (ADP) Receptor Antagonists 179 Glycoprotein IIb-IIIa Receptor Antagonists 180 Epoprostenol 180 Clinical Uses of Antiplatelet Drugs 180 Anticoagulant Drugs 181 Injectable Anticoagulants 181

Haemostasis is a complex process involving vasoconstriction, platelet aggregation, blood coagulation and the interactions among them. The descriptions of the processes of platelet aggregation and coagulation pathways in this chapter are restricted to essential knowledge required for understanding the actions of pharmacological agents.

# PLATELETS AND PLATELET AGGREGATION

Platelets are critical components of the blood for initiating thrombus formation, although they also have a role in host immunity and the response to inflammation. Platelets are formed from megakaryocytes in the bone marrow where they are extruded as cytoplasmic anucleate fragments. They are metabolically active with an array of surface receptors and adhesion molecules, and granules that contain numerous preformed molecules inherited from the megakaryocytes. They do possess mRNA, so can synthesise a limited range of proteins. Platelets have a lifespan in the circulation of 8 to 10 days, with about 10% to 12% being replaced each day.

Blood flow is laminar, with the highest velocity in the centre of the vessel, creating shear stress which is greatest near the endothelium. Platelets circulate in plasma close to the vessel wall as a result of the slower blood flow in this region. Here they are exposed to high shear force and roll along the endothelial surface. Platelets only adhere to an injured endothelium, following which they are activated and then aggregate. Oral Anticoagulants 182 Clinical Uses of Anticoagulants 184 Venous Thromboembolism 184 Anticoagulation for Arterial Thromboembolism 186 The Fibrinolytic System 186 Fibrinolytic (Thrombolytic) Agents 186 Antifibrinolytic and Haemostatic Agents 187 Clinical Uses of Antifibrinolytic and Haemostatic Agents 188 Self-Assessment 188 Answers 188 Further Reading 189 Compendium of Drugs Used to Affect Haemostasis 190

Intact endothelium produces substances such as prostacyclin and nitric oxide that inhibit platelet activation and is protected by surface expression of the anticoagulants heparin sulfate and thrombomodulin. When the integrity of vascular endothelium is breached, subendothelial collagen and von Willebrand factor (vWF) come into contact with blood. They can then interact with platelet-surface glycoprotein (GP) receptors (integrin receptors, such as the GPIb-V-IX (vWF receptor complex) and GPIa/IIa and GPVI (collagen receptors)), resulting in platelets adhering to the site of injury. This forms a platelet plug that seals the breached endothelial surface (Fig. 11.1).

Platelet adhesion initiates a process known as platelet activation, which is a prerequisite for platelet aggregation and the extension of the platelet plug. Platelet activation is initiated by exposure to agonists, such as exposed collagen and thrombin generated by local coagulation. These activators lead to a reduction in platelet adenylyl cyclase activity which in turn reduces generation of intracellular cyclic adenosine monophosphate (cAMP) and increases intracellular Ca<sup>2+</sup>. The rise in intracellular Ca<sup>2+</sup> activates myosin light-chain kinase (MLCK) which phosphorylates myosin light chains enabling them to interact with actin within the platelet. These myofibrils disrupt the platelet cytoskeleton resulting in the platelet becoming more spherical and developing protuberant pseudopodia. Myofibril contraction may help to stabilise the platelet plug. Platelet shape change initiates a release reaction from platelet granules that expels several mediators, such as platelet



**Fig. 11.1 Platelets and platelet aggregation.** Subendothelial macromolecules such as von Willebrand factor and collagen interact with glycoprotein receptors (*GPVI* and *GPlb*) on platelets, activating them and upregulating GPIIb-Illa receptors, which are crosslinked by fibrinogen, resulting in aggregation. During the initial processes of aggregation, stimulation of the synthesis and release of a number of platelet-derived substances, such as adenosine diphosphate (*ADP*), thromboxane  $A_2(TXA_2)$ , which is synthesised from arachidonic acid (*AA*) by cyclooxygenase-1 (*COX-1*), and other factors (described previously) further promote aggregation by upregulation of GPIIb-Illa receptors. Conversely, prostacyclin (*PGI*<sub>2</sub>) from endothelial cells inhibits platelet activation and upregulation of GPIIb-Illa receptors. The mechanisms of action of antiplatelet drugs that act on these pathways are shown in Fig. 11.2. Platelet activation is also stimulated by thrombin generated from prothrombin by the coagulation cascade (see Fig. 11.3).

factor 4, adrenaline, adenosine diphosphate (ADP) and serotonin, from the platelet. The increase in platelet intracellular Ca<sup>2+</sup> also activates phospholipase A<sub>2</sub>, which liberates arachidonic acid (AA) from membrane phospholipids. AA is converted by cyclooxygenase type 1 (COX-1) in the platelet to thromboxane A<sub>2</sub>, the most potent naturally occurring proaggregating agent, which diffuses from the platelet.

Outside the platelet ADP, thromboxane A<sub>2</sub>, thrombin and collagen all interact with their specific platelet surface receptors and trigger intracellular pathways that express and activate GPIIb-IIIa fibrinogen receptors on the surface of the platelets (see Figs. 11.1 and 11.2). ADP amplifies platelet activation by other agonists. The GPIIb-IIIa receptors on the surface of the platelets are crosslinked by fibrinogen in the plasma, producing irreversible platelet aggregation. The cross-linked fibrinogen catches other circulating platelets which in turn are activated and aggregate, increasing the size of the platelet plug.

The substances released from platelets during activation also facilitate haemostasis by:

- Reducing prostacyclin (prostaglandin I<sub>2</sub>, PGI<sub>2</sub>) synthesis by vascular endothelium. Prostacyclin is a vasodilator and a potent inhibitor of platelet aggregation.
- Inhibiting the action of heparin sulfate produced by vascular endothelium. This enhances activity of the coagulation cascade.

The expression of platelet GPIIb-IIIa surface receptors can be inhibited by an increase in the concentration of cyclic nucleotides (cAMP and cGMP) in the platelet. This is the mechanism by which prostacyclin (PGI<sub>2</sub>) inhibits platelet aggregation (see Figs. 11.1 and 11.2).

Polyunsaturated (omega-3) fatty acids in fish oils are precursors for thromboxane A<sub>3</sub>, which causes less platelet aggregation than thromboxane A<sub>2</sub>; they also increase production of a modified form of prostacyclin (PGI<sub>3</sub>) by vascular endothelium, which has equal antiaggregatory activity to PGI<sub>2</sub>. Therefore a high intake of fish oils may create a state in which platelets are less able to aggregate.



**Fig. 11.2** Sites of action of major antiplatelet drugs. Drugs act directly or indirectly to block activation of platelets and inhibit upregulation of the glycoprotein GPIIb-IIIa receptors, which are necessary for platelet aggregation. Abciximab is a monoclonal antibody, tirofiban a nonpeptide inhibitor and eptifibatide a peptide inhibitor of these glycoprotein receptors. Epoprostenol and dipyridamole inhibit activation of platelets and downregulate the glycoprotein receptors by increasing cyclic adenosine monophosphate (cAMP). Clopidogrel and related drugs inhibit ADP ( $P2Y_{12}$ ) receptors and prevent ADP-induced upregulation of the glycoprotein GPIIb-IIIa receptors. Aspirin inhibits the generation of thromboxane  $A_2(TXA_2)$  by cyclooxygenase-1 (COX-1), which otherwise causes platelet activation and upregulation of GPIIb-IIIa receptors. An, Arachidonic acid; ADP, adenosine diphosphate. For the sites of action of anticoagulant drugs that directly or indirectly inhibit thrombin and/or factor Xa, see Fig. 11.3.

# BLOOD COAGULATION AND THE COAGULATION CASCADE

Both procoagulant and anticoagulant factors regulate haemostasis. The traditional model of activation of the coagulation cascade is divided into extrinsic and intrinsic pathways, although these pathways are integrated in vivo. The factors involved in these cascades amplify the coagulation response and work together to produce a stable thrombus. The processes are dependent on a series of serine proteases together with various cofactors that interact on a phospholipid surface such as platelet membrane or damaged endothelium. The coagulation pathways respond to breaches in endothelial integrity much more slowly than platelet aggregation. The following description of the pathways is simplified to identify the key steps at which drugs can modulate coagulation.

The coagulation pathway is initiated by exposure of blood to the protein tissue factor (TF) which is expressed on the surface of subendothelial fibroblasts and damaged or stimulated monocytes, macrophages and endothelial cells. TF is expressed rapidly within minutes of endothelial disruption and is the cell surface receptor for coagulation factor VIIa. Formation of complexes of TF with factor VIIa in the presence of phospholipids and Ca<sup>2+</sup> results in the conversion of inactive factor X to active Xa, and also activation of factor IX to IXa. Factor Xa combines with factor Va and generates enough thrombin to induce local platelet aggregation. The TF-VIIa complex also activates the coagulation cofactors V and VII to Va and VIIa. This initiation phase is insufficient alone to produce stable thrombus as Xa also promotes rapid inactivation of TF-VIIa by tissue factor pathway inhibitor (TFPI).

The propagation phase of the coagulation pathway relies on the formation of the tenase complex of IXa-VIIIa which also activates factor X to Xa. Factor Xa forms prothrombinase complex with Va, which mediates the hydrolysis of prothrombin to thrombin (factor IIa; Fig. 11.3). Thrombin converts the soluble protein fibrinogen to an insoluble fibrin gel. Thrombin also activates factor XIII, which crosslinks the fibrin and forms a fibrin mesh that traps circulating platelets, leucocytes and red blood cells. It also activates factor XI which in turn enhances activation of factor IX, and promotes platelet aggregation via its



Fig. 11.3 The coagulation cascade and the sites of action of anticoagulants. Roman numerals (e.g. 'XI') indicate the individual clotting factors in the cascade, with 'Xla' indicating the activated form. Contact with subendothelial collagen induces conformational change and activation of factor XII to factor XIIa. This activates high molecular weight kininogen (HK) and plasma prekallikrein (PK), which further activate factor XII in a positive feedback cycle. Factor XIIa in turn activates factor XI, and its activated form factor XIa contributes to the formation of factor IXa. Factor IX is also activated as the consequence of tissue factor (TF) resulting from vascular damage forming a complex with factor VII. Both factor IXa and the complex of factor VIIa with tissue factor (VIIa-TF) both activate factor X. With factor Va as a co-factor, factor Xa converts prothrombin to thrombin, which generates fibrin monomers from its precursor fibrinogen, and these polymerise to form the fibrin mesh in a thrombus. Platelet products, Ca2+ ions and many other regulatory factors are involved at numerous sites in the coagulation cascade, and thrombin from the coagulation cascade acts at its protease-activated receptors to promote platelet aggregation (see Fig 11.1). The main sites of action of representative members of anticoagulant drug classes are shown. Coumarins such as warfarin interfere with the role of vitamin K in the hepatic synthesis of clotting factors VII, IX, X and prothrombin, preventing their subsequent activation in the coagulation cascade. Unfractionated heparin forms a complex with antithrombin III (ATIII) that promotes the inactivation of factors Xa, XIa, XIIa and thrombin. Low-molecular-weight heparins (LMWH) and fondaparinux form a similar complex with ATIII but preferentially inactivate factor Xa. The xaban drugs (e.g. rivaroxaban) and the gatrans (e.g. dabigatran) are direct and selective inhibitors of factor Xa and thrombin respectively.

protease-activated receptors (PAR) (see Chapter 1 and Fig 1.6). Other coagulation factors that participate in clotting in in vitro assays do not appear to be essential to in vivo coagulation.

The actions of thrombin and several other activated coagulation factors (see Fig. 11.3) are inhibited by circulating antithrombin and related anticoagulants. Antithrombin inhibits coagulation factors after forming complexes with heparin-like molecules that are produced by intact endothelial cells, and with heparin released from mast cells. The protein C system (factor XIX) in the presence of protein S also has an anticoagulant effect by neutralising activated coagulation factors. Once sufficient thrombin has been produced to overcome the effect of circulating antithrombin, it is able to initiate coagulation.

Each activated clotting factor is inactivated extremely rapidly so that the coagulation process remains localised at the site of the initiating event. However, aggregates of platelets combined with fibrin thrombi can embolise and occlude more distal parts of the circulation. The coagulation process is balanced by the fibrinolytic mechanism that limits the production of thrombus (see later).

#### ARTERIAL AND VENOUS THROMBOSIS

There are differences in the composition of an arterial or venous thrombus. Arterial thrombosis occurs in the setting of high flow and high shear stress, and platelets play a prominent role in the initiation and growth of the thrombus. In contrast, venous thrombi form in a low-flow, low-shear stress environment. Venous thrombus usually forms initially as a result of stasis in the valve pockets of deep veins and consists mainly of fibrin and red cells with relatively few platelets.

# ANTIPLATELET DRUGS

#### CYCLOOXYGENASE INHIBITORS

7.7	Example		
aspi	rin		

#### **Mechanism of Action on Platelets**

The highly potent platelet-aggregating agent thromboxane  $A_2$  is formed in platelets from arachidonic acid by cyclooxygenase-1 (COX-1). After release from the platelet, thromboxane  $A_2$  acts via thromboxane (TP) receptors on the surface of the platelet to generate the intracellular second messengers inositol triphosphate (IP<sub>3</sub>) and diacylglycerol (DAG). These lead to Ca<sup>2+</sup> release in the cell and the expression and activation of cell surface GPIIb-IIIa receptors (described earlier).

Inhibition of COX-1 by aspirin reduces platelet thromboxane A2 synthesis and inhibits platelet aggregation, but does not eliminate it completely, because other pathways for platelet activation still function (see Figs. 11.1 and 11.2). Aspirin (acetylsalicylic acid) irreversibly inhibits COX-1 by acetylation (see Chapter 29). Since platelets, unlike vascular endothelial cells, lack a nucleus and cannot generate new COX-1 enzyme, their ability to aggregate will be reduced throughout the lifespan of the platelet, while vascular endothelial cells can generate new COX-1 protein and maintain a capacity to synthesise prostacyclin. Other non-steroidal antiinflammatory drugs (NSAIDs) reversibly inhibit COX-1 and have a much weaker antiplatelet action. The antiplatelet action of aspirin occurs at very low doses that produce little analgesic or antiinflammatory effects. Much of the irreversible inactivation of platelet COX-1 occurs as platelets pass through the splanchnic circulation and are exposed locally to aspirin absorbed from the GI tract. At low doses very little aspirin reaches the systemic circulation to acetylate COX-1 in vascular endothelium elsewhere, so vascular endothelial prostacyclin production is less affected. At higher doses, enough aspirin reaches the systemic circulation to inhibit the production of prostacyclin by vascular endothelium, which may offset some of the beneficial effects on platelets. Details of the pharmacology of aspirin can be found in Chapter 29.

#### **PHOSPHODIESTERASE INHIBITORS**



## Mechanism of action

Dipyridamole inhibits the cellular reuptake of adenosine. The increased plasma concentration of adenosine produces vasodilation, and inhibits platelet aggregation by activating cell surface adenosine A<sub>2</sub> receptors. These stimulate intracellular guanylyl cyclase and adenylyl cyclase to synthesise cGMP and cAMP, which inhibit expression of cell surface GPIIb-IIIa receptors (see Fig. 11.2). Dipyridamole also inhibits phosphodiesterase (PDE) types 3 and 5. As PDE degrades intracellular cyclic nucleotides, this also contributes to reduced platelet activation.

#### **Pharmacokinetics**

Dipyridamole is metabolised in the liver and has a half-life of 12 hours. A modified-release formulation is better tolerated than the standard formulation.

#### **Unwanted Effects**

- Diarrhoea, nausea, vomiting.
- Myalgia.
- Dizziness, headache.Flushing, hypotension, tachycardia.
- Hypersensitivity reactions, including rash, urticaria, bronchospasm and angioedema.

# ADENOSINE DIPHOSPHATE (ADP) RECEPTOR ANTAGONISTS

# Examples

cangrelor, clopidogrel, prasugrel, ticagrelor

#### **Mechanism of Action**

ADP activates platelets via two surface receptors,  $P2Y_1$ and  $P2Y_{12}$ . Stimulation of  $P2Y_1$  receptors increases intracellular Ca<sup>2+</sup> and initiates platelet shape change and reversible aggregation. Stimulation of  $P2Y_{12}$  receptors inhibits adenylyl cyclase and reduces generation of the intracellular cyclic nucleotides that inhibit expression and activation of GPIIb-IIIa receptors.

ADP receptor antagonists inhibit platelet aggregation by binding selectively to purinergic  $P2Y_{12}$  receptors (see Fig. 11.2). Clopidogrel and prasugrel are irreversible  $P2Y_{12}$  receptor inhibitors, whilst ticagrelor and cangrelor bind to a different (allosteric) site on the receptor and produce reversible inhibition. There is considerable interindividual variability in the degree of platelet inhibition by clopidogrel, and it has a slow onset of action (about 5 days for full effect) which can be accelerated by using a loading dose. Both prasugrel and ticagrelor are more predictable inhibitors of platelet activation than clopidogrel and have a more rapid onset of action.

#### Pharmacokinetics

Clopidogrel is a prodrug. It is activated by metabolism in the liver by cytochrome P450 isoenzymes, including CYP2C19, to a derivative that has a half-life of 7 hours. Between 2% and 14% of people, who have low CYP2C19 activity, are less able to convert clopidogrel to its active metabolite and have a higher risk of treatment failure. Prasugrel is also a prodrug but is not affected by this pharmacogenetic variability; it is metabolised in the liver to an active product with a half-life of 8 days. Ticagrelor is active as the parent drug and also has an active metabolite. The offset of action of ticagrelor over 3 days is much slower than would be predicted from its short half-life. Cangrelor is only available for intravenous use and is rapidly inactivated by plasma enzymes, with recovery of platelet function within 1 hour of stopping the infusion.

# **Unwanted Effects**

- Bleeding; a greater risk with prasugrel and ticagrelor than clopidogrel.
- Gastrointestinal upset, especially nausea, dyspepsia and diarrhoea with clopidogrel and ticagrelor.
- Dizziness and headache with ticagrelor.
- Dyspnoea with ticagrelor and cangrelor.

# GLYCOPROTEIN IIB-IIIA RECEPTOR ANTAGONISTS

Examples

eptifibatide, tirofiban

# **Mechanism of Action**

Eptifibatide is a synthetic peptide that binds reversibly to the GPIIb-IIIa receptor and blocks the binding of fibrinogen. Tirofiban is a nonpeptide reversible antagonist of the receptor. Both have a short duration of action and need to be given by infusion if an ongoing effect is required. A murine/human chimaeric monoclonal antibody, abciximab, irreversibly targets the same receptor resulting in a long duration of action (about 48 hours), but it is no longer marketed. GPIIb-IIIa antagonist drugs can reduce platelet aggregation by more than 90%.

# **Pharmacokinetics**

The GPIIb-IIIa antagonists are given intravenously, usually as an initial bolus to achieve rapid inhibition of platelets, followed by continuous infusion. Eptifibatide is partially metabolised and partly excreted by the kidneys and has a half-life of about 2.5 hours. Tirofiban is eliminated by the kidneys and has a half-life of 2 hours. Platelet aggregation recovers rapidly after stopping treatment with eptifibatide or tirofiban due to dissociation of the drug from the receptor.

# **Unwanted Effects**

- Bleeding, especially in the elderly and those of low body weight; the risk is reduced if the dose is adjusted for body weight.
- Thrombocytopenia.

# EPOPROSTENOL

#### Mechanism of Action

Epoprostenol (prostacyclin, prostaglandin  $I_2$ ) acts at prostacyclin IP receptors to increase platelet cAMP, which at low concentrations inhibits platelet aggregation and at higher concentrations reduces platelet adhesion. Epoprostenol is also a peripheral arterial vasodilator.

# **Pharmacokinetics**

Epoprostenol is given by intravenous infusion. Unlike most other prostaglandins, it is not significantly metabolised in the lung as it is rapidly metabolised by hydrolysis in plasma and peripheral tissues, giving a very short half-life of about 3 minutes.

# **Unwanted Effects**

These can be reduced by starting the infusion with a low dose and include:

- facial flushing;
- headache;
- hypotension;
- jaw pain, arthralgia;
- gastrointestinal disturbances.

# CLINICAL USES OF ANTIPLATELET DRUGS

Aspirin is often used as the sole antiplatelet drug in a variety of ischaemic vascular conditions. However, there are some situations where clopidogrel is more effective, or where combinations of aspirin and another antiplatelet agent (dual antiplatelet therapy or DAPT) give better outcomes than aspirin alone. A combination of antiplatelet drugs inevitably carries a greater risk of bleeding than a single agent. Despite the unpredictable response of individuals to antiplatelet treatment, platelet function testing is not routinely advocated to assess efficacy because the effect of this strategy on outcomes is uncertain.

The main uses of antiplatelet drugs are listed here.

- Secondary prevention of embolic stroke and transient ischaemic attacks (aspirin, clopidogrel, dipyridamole). Clopidogrel alone is more effective than aspirin alone for the secondary prevention of stroke. Dipyridamole combined with aspirin is better than aspirin alone for prevention of recurrent transient ischaemic attacks, and is equally effective as clopidogrel after stroke. Clopidogrel is usually better tolerated than aspirin and clopidogrel is no more effective than clopidogrel alone, yet has a higher risk of bleeding (see Chapter 9).
- Secondary prevention after acute coronary syndrome (aspirin, clopidogrel, prasugrel, ticagrelor). The combination of aspirin and clopidogrel is better than aspirin alone for reducing further vascular events after myocardial infarction. Prasugrel and ticagrelor can be used in place of clopidogrel (see Chapter 5).
- Reduction of ischaemic complications produced by stent thrombosis following percutaneous coronary intervention (PCI) with stent insertion (aspirin, clopidogrel, prasugrel, ticagrelor, cangrelor, eptifibitide, tirofiban). These complications include nonfatal myocardial infarction, death and the need for emergency surgical revascularisation. Aspirin together with clopidogrel, prasugrel or ticagrelor are given for up to a year (longer for a drug-eluting stent than a bare-metal stent). Tirofiban or eptifibatide can be used at the time of the procedure in people at high risk of complications. Cangrelor is also used at the time of a procedure if an oral P2Y<sub>12</sub> antagonist has not been already used (see Chapter 5).

- Secondary prevention of myocardial infarction in stable angina or peripheral vascular disease (aspirin, clopidogrel). Either aspirin or clopidogrel alone is effective, and there is no evidence to support combination therapy (see Chapters 5 and 10).
- Primary prevention of ischaemic heart disease (aspirin). This is a controversial area, with the risk of serious haemorrhage offsetting much of the potential benefit. Use of aspirin should be confined to people at very high risk of developing cardiovascular disease.
- Anticoagulation in extracorporeal circulations e.g. cardiopulmonary bypass and renal haemodialysis (epoprostenol).
- Symptom relief in Raynaud's phenomenon (epoprostenol; see Chapter 10).
- Dipyridamole is used as a pharmacological stress for the coronary circulation to detect myocardial ischaemia in people who are unable to exercise. This is related to its ability to block the cellular uptake of adenosine. In the heart, adenosine acts on specific receptors in the small resistance coronary arteries to produce vasodilation. Dipyridamole can divert blood away from myocardium supplied by stenosed coronary arteries by preferentially dilating healthy vascular beds (vascular steal).

# **ANTICOAGULANT DRUGS**

Anticoagulation can be achieved with either injectable or oral drug therapy. Direct-acting (non–vitamin K-dependent) oral anticoagulants are increasingly replacing coumarins such as warfarin for long-term oral therapy. Because of their rapid onset of action, directacting oral anticoagulants are also frequently used in acute thrombosis in place of the long-established use of heparin followed by warfarin to initiate anticoagulation.

# INJECTABLE ANTICOAGULANTS

# **Heparins**

# Examples

unfractionated heparin low molecular weight heparins: dalteparin, enoxaparin, tinzaparin, fondaparinux

Heparins are a family of highly sulfated acidic mucopolysaccharides (glycosaminoglycans) that are found in mast cells, basophils and vascular endothelium. Heparins have a variable molecular weight of between 3000 and 30 000 Da according to the numbers of polysaccharide subunits.

#### Mechanism of action and effects

Heparin is available as an unfractionated preparation, or as low-molecular-weight heparins (LMWHs), which consist of the heparin subfractions that have molecular weights of less than 7000 Da. Unfractionated heparin forms a reversible complex with and alters the conformation of antithrombin III. This complex can then inactivate thrombin and factors IXa, Xa, XIa and XIIa (see Fig. 11.3). LMWH produces LMWH–antithrombin complexes that have a more selective anticoagulant action, mainly inhibiting factor Xa (see Fig. 11.3).

Additional actions of heparins are as follows:

- promotion of TFPI release from the vascular wall, which contributes to the antithrombotic effects of heparin. TFPI inhibits the formation of factor Xa.
- inhibition of platelet aggregation through binding to platelet factor 4 (mainly unfractionated heparin).
- activation of lipoprotein lipase, which in addition to promoting lipolysis, also reduces platelet adhesiveness.

# **Pharmacokinetics**

Heparins are inactive orally and are given intravenously or by subcutaneous injection. They have a rapid onset of action. Heparins do not cross the placenta or enter breast milk. The two principal forms of heparin have different pharmacokinetic properties.

**Unfractionated heparin.** This is extracted from porcine intestinal mucosa or bovine lung and consists of an average of 45 polysaccharide units. It has dosedependent (saturable) pharmacokinetics, giving a very short half-life of about 30 minutes at low doses, increasing to 2 to 3 hours at higher doses. Variable binding to plasma proteins contributes to interindividual variation in the dose required to achieve target levels of anticoagulation. Most unfractionated heparin is metabolised in endothelial cells after binding to cell surface receptors. Unfractionated heparin is usually given by continuous intravenous infusion for full anticoagulation. Low doses are used by subcutaneous injection for prophylaxis against venous thrombosis, although bioavailability by this route is only about 30%.

**Low-molecular-weight heparins.** LMWHs have an average of 15 polysaccharide units. They are almost completely absorbed after subcutaneous administration and only need to be given once or twice daily by subcutaneous injection for full anticoagulation. LMWHs have a low affinity for plasma protein binding sites and for endothelial cell heparin receptors. They have two routes of elimination: a rapid, saturable liver uptake and slower renal excretion. The different LMWHs have half-lives in the range of 2 to 6 hours. When the dose of an LMWH is based on body weight, it produces a more predictable anticoagulant effect compared with unfractionated heparin.

# Control of heparin therapy

The therapeutic index for heparin is low. The degree of anticoagulation with unfractionated heparin is usually monitored with the activated partial thromboplastin time (APTT; a global test of the activity of the intrinsic coagulation pathway which is dependent on a cascade involving factors XII, XI, IX, X, V and thrombin), which should be prolonged by 1.5 to 2.0 times the control value for full anticoagulation. Monitoring is not required when low-dose unfractionated heparin is used subcutaneously for prophylaxis. The anticoagulant effect of LMWHs can be monitored by the extent of inhibition of factor Xa, but this is not carried out routinely as their effect is much more predictable than that of unfractionated heparin.

# **Unwanted effects**

- Haemorrhage is the most common complication. The risk is greater in the elderly, especially if there is a history of heavy alcohol intake. The effect of unfractionated heparin can be rapidly reversed by intravenous injection of protamine sulfate, a basic peptide which binds strongly to the acidic heparin components. Protamine binds poorly to LMWHs and only partially reverses their action.
- Osteoporosis is a rare complication which can occur when heparin is given for several weeks; heparin binds to osteoblasts and inhibits their activity. The risk is less with LMWHs.
- Heparin-induced thrombocytopenia (HIT) usually occurs 5 to 15 days after starting intravenous heparin. It affects about 2% of those treated and arises from the development of antibodies to the heparin-platelet factor 4 complex. This causes platelet activation, aggregation and intravascular thrombosis. LMWHs are much less likely to cause HIT as they have much less affinity for platelet factor 4. Argatroban or danaparoid (see the drug compendium at the end of this chapter) can be used if continued parenteral anticoagulation is necessary.
- Hyperkalaemia by inhibition of aldosterone secretion. This is most likely to occur after at least 7 days of treatment.
- Hypersensitivity reactions.

# Fondaparinux

# Mechanism of action

Fondaparinux is a synthetic pentasaccharide, almost identical to the natural pentasaccharide sequence of heparin, that binds irreversibly to antithrombin III. It selectively enhances the innate ability of antithrombin III to inhibit factor Xa, but does not inactivate thrombin. Fondaparinux has no effect on platelets.

# Pharmacokinetics

Fondaparinux is given by subcutaneous injection or intravenous infusion. It is predictably absorbed from an injection site and has a long half-life (18 hours).

# Unwanted effects

- Haemorrhage.
- Anaemia, thrombocytopenia.
- Oedema.
- Gastrointestinal upset.

# **ORAL ANTICOAGULANTS**

## Vitamin K Antagonists

Examples

warfarin, acenocoumarol (especially in mainland Europe)

# Mechanism of action

Hepatic synthesis of clotting factors II (prothrombin), VII, IX and X requires gamma-carboxylation of glutamate residues within the clotting factor by gammaglutamyl carboxylase. An essential cofactor for gamma-carboxylation is vitamin K, which is generated by the intestinal flora. During the carboxylation reaction, the reduced form of vitamin K (hydroquinone) is oxidised by gamma-glutamyl carboxylase to vitamin K epoxide, which is then restored to the active hydroquinone form by vitamin K epoxide reductase (VKOR). Vitamin K antagonists exert their anticoagulant action by inhibiting VKOR, preventing the regeneration of active vitamin K hydroquinone and inhibiting gammacarboxylation of the vitamin K-dependent clotting factors (see Fig. 11.3). There is a delay in the onset of the anticoagulant effect due to the presence of previously synthesised clotting factors in the circulation; these must be cleared and replaced by the noncarboxylated forms which cannot be activated in the coagulation cascade.

## Pharmacokinetics

Warfarin is eliminated by cytochrome P450-mediated hepatic metabolism (CYP2C9) and has a long half-life of 1 to 2 days. Functional CYP2C9 polymorphisms contribute to considerable interindividual variability in warfarin sensitivity. However, the plasma concentration of warfarin does not correlate directly with the clinical effect of the drug, which is determined by the balance between the rates of synthesis and degradation of clotting factors. The maximum effect of an individual dose of warfarin is reflected in the blood coagulation time some 24 to 48 hours later. On stopping treatment, the duration of anticoagulant action is determined largely by the time required to synthesise new clotting factors, which is approximately 2 to 4 days.

#### Monitoring of oral vitamin K antagonist therapy

Factor VII is the clotting factor that is most sensitive to vitamin K deficiency as it has the shortest half-life of the vitamin K-sensitive clotting factors. A test of the extrinsic coagulation pathway (which is dependent on a cascade involving factors VII, X, V and thrombin) – the pro-thrombin time – is therefore used as a measure of effectiveness. The degree of prolongation of the prothrombin time is standardised by comparison with control plasma from a single source, with the result referred to as the international normalised ratio (INR). Therapeutic INR ranges differ according to the condition being treated:

• An INR of 2 to 2.5 is used for prophylaxis of deep vein thrombosis (thromboprophylaxis).

- An INR of 2 to 3 is used for thromboprophylaxis in hip surgery and fractured femur operations, for treatment of deep vein thrombosis and pulmonary embolism, and for prevention of thromboembolism in atrial fibrillation.
- An INR of 3 to 4.5 is used for prevention of recurrent deep vein thrombosis and for preventing thrombosis on mechanical prosthetic heart valves.

The percentage of time in therapeutic range (TTR) for the INR is often used to determine the effectiveness of long-term anticoagulation with vitamin K antagonists. A TTR greater than 65% is considered acceptable. Persistently lower values despite counselling on adherence and correction of interfering factors suggest that alternative oral anticoagulants should be considered where appropriate.

# Unwanted effects

Warfarin is an important example of a drug with a narrow therapeutic index and a significant risk of unwanted effects, as well as drug interactions.

- Major haemorrhage. An immediate coagulant effect is achieved by giving an intravenous injection of four-factor prothrombin complex concentrate (PCC, containing factors II, VII, IX, X as well as protein C and protein S) or, less effectively, an infusion of fresh frozen plasma. The most effective antidote to warfarin is phytomenadione (vitamin K<sub>1</sub>), but used alone its onset of effect is too slow in major bleeding. Therefore the two treatments are combined, with PCC used to provide rapid reversal of warfarin, and intravenous phytomenadione adding durability to this effect. After giving a large dose of phytomenadione, it can be difficult to restore therapeutic anticoagulation with warfarin for up to 3 weeks.
- Minor haemorrhage and asymptomatic overwarfarinisation. The INR can be raised above the therapeutic range without overt bleeding. If the INR is between 5 and 8, then withholding one or two doses of warfarin is sufficient, followed by a dose reduction. If the INR is greater than 8.0 but there is no bleeding or only minor bleeding, then a smaller dose of phytomenadione can be given intravenously or orally with less disturbance of subsequent anticoagulation.
- Alopecia, skin necrosis and hypersensitivity reactions occur rarely.
- Warfarin crosses the placenta and can have undesirable effects on the fetus. It is teratogenic and should be avoided whenever possible in the first trimester of pregnancy. It should not be used in the last trimester, as it increases the risk of intracranial haemorrhage in the baby during delivery.
- Drug interactions are particularly important. The anticoagulant effect of warfarin may be increased by broad-spectrum antibacterial agents that suppress the production of vitamin K by gut bacteria. Drugs such as amiodarone (see Chapter 8) and cimetidine

(see Chapter 33) inhibit CYP2C9-mediated metabolism of warfarin and enhance its effects. Drugs that induce CYP2C9 – for example, phenytoin (see Chapter 23) and alcohol (see Chapter 54) – reduce the effect of warfarin by increasing its elimination. Foods rich in vitamin K, such as some green vegetables, also reduce the effect of warfarin.

# Direct-Acting (Non–Vitamin K-Dependent) Oral Anticoagulants

Direct factor Xa inhibitors

Examples

apixaban, edoxaban, rivaroxaban

**Mechanism of action.** Apixaban, edoxaban and rivaroxaban are orally active factor Xa inhibitors that bind reversibly to the active site of factor Xa. They inhibit both free factor Xa and factor Xa that is bound to the prothrombinase complex. Unlike warfarin, they produce a rapid onset of predictable anticoagulation. These drugs prolong the prothrombin time, but this is only useful to detect the presence of an anticoagulant effect, not the extent. The APTT is often normal.

**Pharmacokinetics.** Apixaban, edoxaban and rivaroxaban are partially metabolised in the liver and partially excreted by the kidneys. Their half-lives are around 10 to 12 hours.

# Unwanted effects

- Nausea, and less often other gastrointestinal upset.
- Haemorrhage. The risk of bleeding is less than with warfarin, especially intracerebral haemorrhage, but there is a higher risk of gastrointestinal bleeding. If bleeding occurs, the short half-life means that stopping treatment may be all that is required. The direct antidote for life-threatening or uncontrolled bleeding is intravenous andexanet alfa, a recombinant modified form of human factor Xa that acts as a decoy receptor for factor Xa inhibitors. Although effective, it is not licenced for reversing the effect of edoxaban. Serious bleeding can also be reduced with intravenous prothrombin complex concentrates or recombinant factor VIIa.
- Drug interactions: rivaroxaban is a substrate for P-glycoprotein (P-gp), and its excretion is reduced by drugs that inhibit P-gp, such as ketoconazole.

# Direct thrombin inhibitors

Example

#### dabigatran etexilate

**Mechanism of action.** Dabigatran is a selective direct competitive thrombin inhibitor that binds to and inhibits both circulating and thrombus-bound thrombin (factor IIa). It produces a rapid onset of predictable anticoagulation. It prolongs the APTT, but this is only useful to detect the presence of an anticoagulant effect, not the extent. The prothrombin time is often normal.

**Pharmacokinetics.** Dabigatran etexilate is a prodrug that undergoes first-pass metabolism to its active derivative dabigatran. The active metabolite has a short half-life of about 40 minutes.

**Unwanted effects** 

- Nausea, dyspepsia, diarrhoea, abdominal pain.
- Haemorrhage, but with a lower risk than warfarin at equally effective doses. Idarucizumab is a monoclonal antibody fragment that is a specific antidote for dabigatran and rapidly reverses its anticoagulant effect. It is reserved for serious haemorrhage caused by dabigatran.

# CLINICAL USES OF ANTICOAGULANTS

The direct acting oral anticoagulants (DOACs) have a rapid onset of action, and in the case of rivaroxaban and apixaban, there is trial evidence that initial anticoagulation with heparin is not needed for immediate anticoagulation. Compared with warfarin, they have fewer drug interactions and do not need monitoring of their anticoagulant effect, which is predictable from standard doses. It is important to remember that the INR is unreliable as a guide to the extent of anticoagulation when DOACs are taken. In most situations where warfarin is used, DOACs have similar or greater efficacy compared to warfarin, with a lower risk of intracranial bleeding. Therefore, there is increasing use of drugs such as apixaban, edoxaban, rivaroxaban and dabigatran in place of warfarin.

Rapid anticoagulation has for many years been achieved with LMWH or unfractionated heparin, with warfarin started simultaneously for long-term anticoagulation. The heparin is stopped when the INR reaches the desired therapeutic range. However, the rapid onset of action of DOACs has led to increasing use in place of heparin and warfarin in acute venous thrombosis.

Anticoagulants are not usually taken together with antiplatelet drugs, but there are situations where the combination may have additional benefit, such as secondary prevention of ischaemic heart disease in someone with atrial fibrillation. The main risk of combining anticoagulant and antiplatelet therapy is the much higher probability of bleeding. The annual incidence of significant bleeding with aspirin or warfarin is about 4%. During triple therapy with aspirin, clopidogrel and warfarin, this increases to almost 16%.

#### VENOUS THROMBOEMBOLISM

Deep vein thrombosis usually arises following stasis of blood in the deep venous circulation, although some episodes arise from excessive coagulability of blood. The most common sites are leg and pelvic veins, sometimes extending into the inferior vena cava. Less

# Table 11.1Risk of Thromboembolism in PeopleAdmitted to Hospital.

Risk	Procedure
Low	Minor surgery, no other risk factor Major surgery, age <40 years, no other risk factors Minor trauma or illness
Moderate	<ul> <li>Major surgery, age ≥40 years or other risk factor</li> <li>Heart failure, recent myocardial infarction, malignancy, inflammatory bowel disease</li> <li>Major trauma or burns</li> <li>Minor surgery, trauma or illness in patient with previous deep vein thrombosis or pulmonary embolism</li> </ul>
High	Fracture or major orthopaedic surgery of pelvis, hips or lower limb Major pelvic or abdominal surgery for cancer Major surgery, trauma or illness in patient with previous deep vein thrombosis or pulmonary embolism Lower limb paralysis Major lower limb amputation

commonly, thrombus forms in veins in the arm, usually due to compression of venous outflow. Many episodes of deep vein thrombosis occur in hospital, particularly in people over 40 years of age following major illness, trauma or surgery. Factors predisposing to venous thromboembolism in a hospital setting (Table 11.1) include prolonged immobility and a variety of coexisting medical conditions such as cancer. Spontaneous venous thromboembolism can occur after long journeys, such as by road or air, and in various inherited or acquired disorders of the coagulation system (such as protein C or protein S deficiency, factor V Leiden and antiphospholipid syndrome). Use of the combined oral hormonal contraceptive, especially in older women who smoke (see Chapter 45), also increases the risk.

After an initial spontaneous deep vein thrombosis, the risk of recurrence is about 25% after 4 years, but is much lower after postoperative thrombosis. Following a deep vein thrombosis, chronic postphlebitic syndrome can develop, with pain, swelling and ulceration of the affected leg.

Pulmonary embolism is a major cause of morbidity and death. Most serious pulmonary emboli arise from deep vein thrombosis in the lower limb, particularly if the thrombus extends to the larger veins above the calf. Acute pulmonary embolism can present with a variety of symptoms. Massive pulmonary emboli produce shock or sustained hypotension, whereas smaller emboli can present with chest pain, dyspnoea or haemoptysis. Massive pulmonary emboli causing haemodynamic instability are fatal in about 60% of cases if untreated. Mortality is much lower if the person is haemodynamically stable. Pulmonary embolism has been estimated to be responsible for up to 10% of all deaths in hospital. Chronic pulmonary embolic disease can lead to pulmonary arterial hypertension with progressive dyspnoea (see Chapter 6).

#### **Prevention of Deep Vein Thrombosis**

In people who are relatively immobile in hospital, the most appropriate method to prevent deep vein thrombosis will depend on the degree of risk.

#### Mechanical methods

These are used for people who are at moderate risk of thromboembolism and include graduated elastic compression stockings and intermittent pneumatic compression devices to improve venous flow and limit stasis in venous valve pockets. They can also be used to supplement pharmacological prophylaxis in people at high risk.

# Low-dose subcutaneous heparin

This is the treatment of choice for many people in a hospital setting who are at high or moderate risk of thromboembolism. Low-dose heparin reduces both initiation and extension of fibrin-rich thrombi at doses that have little effect on measurements of blood coagulation; laboratory monitoring is therefore unnecessary. Low-dose unfractionated heparin reduces deep venous thrombosis and fatal pulmonary emboli by about two-thirds with minimal risk of serious bleeding, although minor bleeding is increased. LMWHs or fondaparinux are more effective than unfractionated heparin for those at highest risk.

#### Oral anticoagulants

Low-dose dabigatran, apixaban and rivaroxaban are at least as effective as LMWHs for thromboprophylaxis in people undergoing hip and knee orthopaedic surgery. Bleeding rates are similar to LMWH. Prophylaxis should be started before surgery. Although a metaanalysis of several studies suggests that low-dose aspirin reduces the development of deep venous thrombosis, it is less effective than heparin.

# **Treatment of Established Venous** Thromboembolism

The goals of treatment for deep vein thrombosis are to prevent pulmonary emboli and to restore patency of the occluded vessel, with preservation of the function of venous valves. In about 50% of people with deep venous thrombosis, the vein will recanalise within 3 months if appropriately treated. There is no evidence that use of compression stockings after a deep venous thrombosis of the leg reduces the incidence of postphlebitic syndrome.

#### Therapeutic anticoagulation

This is the treatment of choice for deep vein thrombosis and for most pulmonary emboli. The DOACs rivaroxaban and apixaban can be given alone, achieving full anticoagulation within 5 to 17 hours. When warfarin,

#### Suggested Duration of Anticoagulant Table 1

			-
ŀ	.2	Therapy for Venous	Thromboembolism.

RISK OF		
RECURRENCE	CLINICAL SETTING	DURATION
Low	Temporary risk factors for thromboembolism Unprovoked distal deep vein thrombosis	3 months
Intermediate	Continuing medical risk factors for thromboembolism First unprovoked proximal deep vein thrombosis	Indefinite with annual review
High	Recurrent thromboembolism Inherited thrombophilic tendency Cancer-associated venous thromboembolism	Indefinite

dabigatran or edoxaban are used for long-term anticoagulation, subcutaneous LMWH or fondaparinux are used for initial treatment. Dabigatran and edoxaban have a rapid onset of action but there are no studies to show that they can be used safely without heparin or fondaparinux. LMWH and fondaparinux have a rapid onset of effect and are preferred to unfractionated heparin because of their more predictable action. Unfractionated heparin is used for people with significant renal impairment when LMWH and fondaparinux are contraindicated. Subcutaneous anticoagulation is usually given for 5 days, with concurrent initiation of treatment with warfarin or followed by dabigatran or edoxaban. If warfarin is used, the subcutaneous anticoagulant should only be stopped once warfarin has produced adequate anticoagulation (i.e. the INR is within the therapeutic range, as described previously). When deep vein thrombosis occurs in someone with cancer, there is a high risk of both bleeding and recurrence during treatment with warfarin. In this situation, prolonged treatment with LMWH (6 months, or lifelong if cancer remission is not achieved) is usually advocated. The optimal duration of anticoagulant therapy is based on expert opinion: suggested periods are shown in Table 11.2.

## Surgical venous thrombectomy

Percutaneous mechanical thrombectomy (fragmentation and removal of the thrombus) may be required for massive iliofemoral thrombosis if it threatens the viability of the limb. Rarely, pulmonary embolectomy is carried out for large pulmonary emboli.

#### **Thrombolysis**

Pharmacological thrombolysis (discussed later) has no advantage over warfarin in uncomplicated deep venous thrombosis, except for some acute proximal

deep vein thromboses. When appropriate, the fibrinolytic drug is directly infused into the affected vessel. Thrombolysis is used to disintegrate massive pulmonary emboli (either intravenously or by infusion directly into the pulmonary artery) and reduces mortality in haemodynamically unstable patients.

# ANTICOAGULATION FOR ARTERIAL THROMBOEMBOLISM

Warfarin is used long term for the prevention of thrombosis on prosthetic heart valves. DOACs are ineffective for preventing thrombus on mechanical heart valves and should never be used.

Atrial fibrillation and mural thrombus in the left ventricle following a myocardial infarction predispose to arterial embolism and are indications for anticoagulation. Dabigatran, apixaban, edoxaban and rivaroxaban are at least as effective as warfarin for prevention of thromboembolism in nonvalvular atrial fibrillation and have a lower risk of serious intracranial haemorrhage (see Chapter 8). Atrial fibrillation associated with rheumatic valvular disease is treated with warfarin rather than a DOAC.

When combined with dual antiplatelet therapy, apixaban reduces the composite endpoint of mortality, reinfarction and ischaemic stroke after an acute coronary syndrome, but with the risk of more bleeding (see Chapter 5).

# THE FIBRINOLYTIC SYSTEM

Fibrinolysis is the physiological mechanism for dissolving the fibrin meshwork in a thrombus. The process is initiated by tissue plasminogen activator (t-PA) released from damaged vessels, which activates plasminogen, a circulating  $\alpha$ 2-globulin, to generate the fibrinolytic serum protease plasmin (Fig. 11.4). Excessive fibrinolysis is prevented by plasminogen activator inhibitor 1 which rapidly inactivates circulating t-PA and also by inhibition of plasmin by  $\alpha$ 2-antiplasmin (plasmin inhibitor). t-PA binds to fibrin locally at the site of release and converts fibrin-bound plasminogen to plasmin. Plasmin splits both fibrinogen and fibrin into degradation products, including fragments X and Y, with lysis of the thrombus. At the site of a thrombus, fragment X inhibits thrombin and fragment Y inhibits fibrin polymerization. Thrombolytic therapy is achieved by using a plasminogen activator in such large quantities that the inhibitory controls are overwhelmed.

#### FIBRINOLYTIC (THROMBOLYTIC) AGENTS

# 🔨 Examples

alteplase (recombinant tissue-type plasminogen activator, rt-PA), streptokinase, tenecteplase



**Fig. 11.4 The fibrinolytic system.** The fibrinolytic system is linked closely to platelet function and the coagulation cascade. When a clot is formed via the prothrombotic system, activation of plasminogen to the fibrinolytic enzyme plasmin is initiated by several tissue plasminogen activators, thus lysing the clot. The drugs promoting fibrinolysis act as plasminogen activators (alteplase and analogues) or bind to plasminogen (streptokinase), promoting plasmin activity. The anti-fibrinolytic drug tranexamic acid inhibits plasminogen activation. *rt-PA*, Recombinant tissue-type plasminogen activator.

#### **Mechanism of Action**

Fibrinolytic drugs enhance fibrinolysis by substituting for the naturally occurring tissue plasminogen activator (t-PA). They bind to and activate plasminogen to plasmin, which degrades fibrin thrombi. Alteplase is a genetically engineered copy of the naturally occurring t-PA that binds directly to fibrinogen and fibrin. It has a wide range of clinical uses. Tenecteplase is a genetically engineered modified form of t-PA with increased fibrin specificity, less sensitivity to plasminogen activator inhibitors and a longer duration of action than alteplase. Tenecteplase is only licensed for treatment of myocardial infarction.

Streptokinase is obtained from haemolytic streptococci and is inactive until it forms a complex with circulating plasminogen; the resultant streptokinase– plasminogen activator complex substitutes for t-PA in the fibrinolytic cascade, causing plasminogen activation. Streptokinase is now rarely used due to the availability of better-tolerated alternatives.

The effectiveness of any fibrinolytic agent is greatest with fresh thrombus and if a large surface area of thrombus is exposed to the drug.

#### **Pharmacokinetics**

All fibrinolytic agents are given intravenously or intraarterially. Alteplase and related compounds are metabolised in the liver. They have a more rapid onset of action than streptokinase and the reperfusion of occluded vessels is faster. Infusions of alteplase are given over 1 to 3 hours, depending on the condition being treated. Tenecteplase is given as a single bolus. Because of a short duration of action, when alteplase or its derivatives have been used to lyse coronary artery thrombus, subsequent anticoagulation with heparin for 48 hours is necessary to reduce the risk of reocclusion.

The streptokinase–plasminogen activator complex is degraded enzymatically in the circulation. After the use of streptokinase, or following a streptococcal infection, neutralising antibodies can form and persist for several years. These antibodies combine with streptokinase and clear it from the plasma before it forms an active complex, and substantially reduce its effectiveness. For this reason, repeat use of streptokinase is not recommended. Streptokinase is usually given as a short (1 hour) infusion for the treatment of coronary artery occlusion, although longer infusions are usual for peripheral arterial occlusions or pulmonary embolism. The long duration of action means that anticoagulation is not necessary after streptokinase has been given.

# **Unwanted Effects**

- Nausea and vomiting.
- Haemorrhage is usually minor, but serious bleeding (e.g. intracerebral haemorrhage) occurs in about 1% of those treated. Bleeding can be stopped by the

antifibrinolytic drug tranexamic acid (discussed later) or by transfusion of fresh frozen plasma.

- Hypotension. This is dose-related and more common with streptokinase. It may be caused by enzymatic release of the vasodilator bradykinin from its circulating precursor. If the infusion of the fibrinolytic is stopped for a brief period, the blood pressure usually recovers rapidly and treatment can be continued.
- Allergic reactions. These are rare but occur more frequently with streptokinase as a consequence of its bacterial origin.

#### **Clinical Uses of Fibrinolytic Agents**

Fibrinolytic agents are used to treat the following:

- acute myocardial infarction (although this use has declined with the greater availability of primary coronary intervention (PCI); see Chapter 5);
- ischaemic stroke (alteplase only; see Chapter 9);
- pulmonary embolism or deep venous thrombosis, in a minority of cases (described previously);
- peripheral arterial thromboembolism with acute limb ischaemia (see Chapter 10);
- central venous catheters occluded by thrombus (alteplase, which is particularly useful to restore patency of 'long lines' inserted for intravenous nutrition or administration of cytotoxic drugs).

# ANTIFIBRINOLYTIC AND HAEMOSTATIC AGENTS Antifibrinolytic Agents

Example

tranexamic acid

#### Mechanisms of action

Tranexamic acid is a lysine analogue that competitively binds to the lysine binding site on plasminogen and inhibits its activation, so that fibrinolysis is inhibited. The theoretical risk of creating a thrombotic tendency does not appear to be a clinical problem.

#### **Pharmacokinetics**

Tranexamic acid is a synthetic amino acid that can be given orally or intravenously. It is eliminated by the kidneys and has a short half-life (1–2 hours).

#### Unwanted effects

Nausea, vomiting, diarrhoea.

#### Desmopressin

Desmopressin (see Chapter 43) briefly increases the plasma concentrations of clotting factor VIII and von Willebrand factor in blood vessel walls. Activated factor VIII accelerates the process of fibrin formation, and von Willebrand factor enhances platelet adhesion to subendothelial tissue.

# CLINICAL USES OF ANTIFIBRINOLYTIC AND HAEMOSTATIC AGENTS

The use of haemostatic agents is limited, but includes the following:

- Tranexamic acid is used to reduce bleeding after surgery (e.g. prostatectomy or bladder surgery) or after dental extraction in individuals with haemophilia. In major trauma, early administration of tranexamic acid (within 3 hours) reduces mortality. It also improves outcome in mild-to-moderate, but not severe, traumatic brain injury.
- Desmopressin is used in mild congenital bleeding disorders such as haemophilia A or von Willebrand's disease; it is given to reduce spontaneous or traumatic bleeding, or as a prophylactic before surgery.
- Tranexamic acid is used for the treatment of menorrhagia and epistaxis, or for bleeding following an overdose of a fibrinolytic drug.
- Tranexamic acid is used for the treatment of hereditary angioedema.

# **SELF-ASSESSMENT**

# **TRUE/FALSE QUESTIONS**

- 1. Unfractionated heparin and low-molecular-weight heparins (LMWH) directly inhibit thrombin in the coagulation cascade.
- 2. Heparin can be used to prevent clotting of blood collected in laboratory test tubes.
- 3. Once administered, the action of heparin cannot be reversed.
- 4. Fondaparinux is a pentapeptide activator of antithrombin III.
- 5. Warfarin readily crosses the placenta.
- 6. Warfarin prevents the synthesis of vitamin K-dependent clotting factors.
- 7. The activity of warfarin is inhibited by broad-spectrum antibacterial agents.
- 8. Clopidogrel has its antithrombotic action by enhancing the action of ADP on platelets.
- 9. Aspirin is a reversible inhibitor of cyclooxygenase type 1 (COX-1).
- 10. Aspirin inhibits platelet aggregation at doses below those needed for an antiinflammatory effect.
- 11. Thrombolytic infusions of recombinant tissue-type plasminogen activator (rt-PA; alteplase) for myocardial infarction are usually given over the course of 1 hour, whereas streptokinase is given for 3 to 24 hours.
- 12. Tenecteplase is a modified form of t-PA with a longer half-life than alteplase.
- 13. Apixaban is a direct inhibitor of factor Xa.
- 14. Dabigatran inhibits thrombin in the plasma and within the thrombus.
- 15. Tranexamic acid enhances plasminogen activation.

# **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Identify the most accurate statement about anticoagulant drugs.
  - A. INR needs to be regularly monitored in people treated with heparin.
  - B. Heparin is the drug of choice if an oral anticoagulant is required for rapid anticoagulant activity before surgery.
  - C. Dosage adjustment of warfarin is required if a person is prescribed concomitant treatment with the H<sub>2</sub> receptor antagonist cimetidine.
  - D. In overdose, the effects of warfarin cannot be reversed.
  - E. During treatment with a broad-spectrum antibacterial, the anticoagulant effects of enoxaparin may be inhibited.

# **CASE-BASED QUESTIONS**

A 51-year-old obese female is treated with oestrogen replacement therapy for 18 months because of perimenopausal symptoms. She is scheduled for a hip replacement.

- 1. Is prophylactic preoperative anticoagulant therapy necessary for this woman?
- 2. Should thromboprophylaxis be started before surgery?
- 3. Should a heparin or warfarin be chosen for prophylaxis, and what routes of administration are appropriate?
- 4. The hip replacement was carried out successfully and the woman was discharged from hospital after 5 days, although heparin therapy was continued for a further 5 days. Why is therapy continued for this extended period, and what out-of-hospital therapeutic prophylaxis could be considered?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **False.** Heparins first form a complex with antithrombin III; the complex then inactivates thrombin and other clotting factors, including factors IXa, Xa and XIa. Complexes of LMWH with antithrombin have a more selective action on factor Xa.
- 2. **True.** The complexing of heparin with antithrombin III in plasma means it can anticoagulate blood in vitro.
- 3. **False.** The action of unfractionated heparin (but not LMWH) can be reversed by the strongly basic protein protamine, which rapidly binds to it, forming an inactive complex.
- 4. **False.** All heparins are mucopolysaccharides, not polypeptides. Fondaparinux is similar in structure to the pentasaccharide sequence within heparin that binds to antithrombin III.

- 5. **True.** Warfarin can cause fetal abnormalities and, unless essential, should not be given in early or late pregnancy.
- 6. **True.** Warfarin inhibits vitamin K epoxide reductase (VKOR); this reduces the regeneration of the active (hydroquinone) form of vitamin K required for gamma-carboxylation of clotting factors II (prothrombin), VII, IX and X in the liver.
- 7. **False.** Vitamin K is produced by gut bacteria, and their elimination by broad-spectrum antibacterials reduces vitamin K formation. This further impairs the synthesis of vitamin K-dependent clotting factors and *enhances* the anticoagulant activity of warfarin.
- 8. **False.** Clopidogrel and related drugs prevent the platelet aggregatory action of ADP by blocking its purinergic (P2Y<sub>12</sub>) receptors.
- 9. **False.** Aspirin (acetylsalicylic acid) irreversibly inhibits COX-1 by acetylating its active site. At low doses, this produces a selective antiplatelet action, as the platelet is nonnucleated and unable to replace the COX-1 enzyme by gene transcription and protein synthesis.
- 10. **True.** Thromboxane A<sub>2</sub> (TXA2) required for platelet aggregation is synthesised by COX-1, whereas prostaglandins are synthesised during inflammation predominantly by induced cyclooxygenase type 2 (COX-2). Aspirin is 160 times more active at inhibiting COX-1 than COX-2, so it has no antiinflammatory effect at the low doses required to inhibit TXA<sub>2</sub> synthesis.
- 11. **False.** Streptokinase is usually infused for 1 hour and alteplase for 3 hours. Streptokinase has a longer half-life (1 hour, alteplase 0.5 hour), permitting a shorter infusion time.
- 12. **True.** Alteplase is identical to the naturally occurring t-PA, whereas tenecteplase has been modified for greater fibrin specificity and a longer duration of action.
- 13. **True.** Apixaban, edoxaban and rivaroxaban are orally active direct inhibitors of factor Xa.
- 14. **True.** Unlike heparin, which only inhibits plasma thrombin (via antithrombin III), dabigatran directly inhibits both free thrombin and thrombusbound thrombin.
- 15. **False.** Tranexamic acid is an antifibrinolytic agent that inhibits plasminogen activation, reducing fibrin degradation and the risk of bleeding.

# **OBA ANSWER**

- 1. **Answer C** is the accurate statement.
  - A. Incorrect. Regular INR monitoring is required in people taking warfarin but not heparin, when the activated partial thromboplastin time (APTT) is used. Monitoring is not required when LMWHs are used subcutaneously.
  - B. Incorrect. Heparin is inactive orally and must be given by intravenous or subcutaneous routes.

- C. **Correct.** Warfarin is metabolised by the liver cytochrome P450 CYP2C9, and cimetidine inhibits this isoenzyme. A reduction in warfarin dose may be required, or the replacement of cimetidine with another  $H_2$  antihistamine or a proton pump inhibitor without an interaction with warfarin.
- D. Incorrect. The effects of warfarin can be reversed with vitamin  $K_1$ .
- E. Incorrect. Broad-spectrum antibacterials can suppress the production of vitamin K by gut bacteria and increase the activity of warfarin, but would not affect the actions of heparin.

# **CASE-BASED ANSWERS**

- 1. Anticoagulant therapy is necessary. Postoperative venous thromboembolism occurs in 40% to 50% of people who undergo hip replacement, and fatal pulmonary embolism in 1% to 5%, if prophylactic anticoagulant therapy is not given. This woman is also at increased risk because of obesity.
- 2. This is controversial. Initiating prophylaxis postoperatively allows more effective haemostatic control during and immediately after surgery and does not reduce the effectiveness of treatment.
- 3. Heparins are active given intravenously or subcutaneously and their onset of action is rapid, whereas warfarin takes several days for full effectiveness but can be given orally. Heparin would therefore be chosen if started pre- or postoperatively.
- 4. The woman is obese, a risk factor for postoperative venous thrombosis. Daily self-administered subcutaneous prophylaxis with an LMWH or fondaparinux could be used. These drugs have more predictable anticoagulant activity, longer durations of action and a lower risk of producing thrombocytopenia than unfractionated heparin. Low doses of dabigatran, apixaban or rivaroxaban started before surgery are as effective as LMWHs for thromboprophylaxis in people undergoing hip and knee orthopaedic surgery.

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The CRASH-3 Trial Collaborators, 2019. Effects of tranexamic acid on death, disability, vascular occlusive events and other morbidities in patients with acute traumatic brain injury (CRASH-3): a randomised, placebo-controlled trial. Lancet 394, 1713–1723.

Antiplatelt Drugs         Inhibit platelet activation and aggregation by a range of mechanisms.           Acetylates cyclooxygenase-1 (COX-1), leaving interversibly inactivated COX-1 and salicylic acid. Low dose used for the secondary prevention of thrombotic cerebrovascular or cardiovascular disease. Given orally.           Cangrelor         Reversible antagonist of platelet adenosine diphosphate (ADP; P2Y,) receptors. Used with asptin to prevent thrombosis during percutaneous cornery intervention [P0], when other oral ADP antagonists are not suitable (specialist use). Given intravenously. Very short harl-life (2-4 min).           Clopidogrel         Prodrug of interversible ADP (P2Y,) receptor antagonist. Used for the prevention of ischaemic events in people with ahistory of symptomatic ischaemic disease. Given orally.           Dipyridamole         Phosphodiestrase 3 and 5 inhibitor; also enhances antiplatelet and vascolilator activity of adenosine. Used as an adjunct to oral anticoagulants in people with posthetic heart valves and for the secondary prevention of ischaemic streke. Given orally, or by intravenous injection (for diagnostic purposes). Also available in combination with asphrin.           Epoprostenol         Prostacyclin (prostaglandin ].); platelet antiaggregatory and potent vascolilator actions via prostacyclin (in relighting).           Epilibatide         Cyclic hexapeptide: inhibits glycoprotein lib-lita receptor. Used as an adjunct to heparin and aspirin in high-risk people with unstable anging (specialist use only). Given intravenously.           Pradrug of inversible antagonist of platelet ADP (P2Y,) receptors. Uses inimar to clopidogrel, but more rapid onset and greater inhibitin of platelet aggregation. Given orally. <th>DRUG</th> <th>CHARACTERISTICS</th>	DRUG	CHARACTERISTICS			
Inhibit platelet activation and aggregation by a range of mechanisms.           Aspirin (acetylsalicylic         Acetylates cyclooxygenase-1 (COX-1), leaving inversibly inactivated COX-1 and salicylic acid.           acid)         Low dose used for the secondary prevention of thrombotic cerebrovascular or carciovascular disease. Given orally.           Cangrelor         Reversible antaconist of platelet adenosine diphosphate (ADP: P2Y) receptors. Used with aspirin to prevent thrombosis during percutaneous coronary intervention (PCI) when other oral ADP antagonist. Used correliable ADP (P2Y) receptor antagonist. Used for the prevention of ischaemic events in people with a history of symptomatic ischaemic disease. Given orally.           Clopidogrel         Prodrug of inversible ADP (P2Y) receptor antagonist. Used for the prevention of ischaemic events in people with a history of symptomatic ischaemic disease. Given orally.           Dipyridamole         Phosphodiesterase 3 and 5 inhibitor; also enhances antiplatelet and vascollator activity of adenosine. Used as an adjuuct to oral anticoagulants in people with prosthetic heart valves and for the secondary prevention of ischaemic stroke. Given orally. or by intravenous injection (for diagnostic purposes). Also available in combination with hegarin during reneal dialysis; also used with oral anticoagulants for resistant primary pulmonary hypertension. Given intravenously.           Epotrostenol         Prostacyclin (prostagiandin L); platelet ADP (P2Y) receptors. Used in combination with agrin in high-risk people with unstable angina (specialist use only). Given intravenously.           Prasugrel         Prodrug of inveversible ADP (P2Y) receptors. Used as an adjuuct to heparin and aspi	Antiplatelet Drugs				
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TinzaparinLMWH. Uses are similar to dalteparin.	Tinzaparin	LMWH. Uses are similar to dalteparin.			

# Compendium of Drugs Used to Affect Haemostasis—cont'd.

DRUG	CHARACTERISTICS		
Direct-Acting Anticoagulants			
Vitamin K Antagonists			
Inhibit reduction of vitamin is the drug of choice and heparins if immediate act	K epoxide required for hepatic synthesis of clotting factors II (prothrombin), VII, IX and X. Warfarin the others are seldom required. Slow onset of action (48–72 h) necessitates combination with ion is required.		
Acenocoumarol	Coumarin anticoagulant. Uses as for warfarin.		
Phenindione	Synthetic anticoagulant. Uses as for warfarin.		
Warfarin	Coumarin anticoagulant. Used for treatment of deep vein thrombosis and pulmonary embolism, and for prophylaxis of embolism in rheumatic heart disease, atrial fibrillation and after insertion of prosthetic heart valves. Slow offset of action due to long half-life (up to 50 h).		
Direct Factor Xa Inhibitors			
Apixaban	Used for treatment and prevention of thromboembolism, particularly after hip and knee replacement surgery. And exanet alfa is used for reversal of anticoagulant effect.		
Edoxaban	Used for treatment and prevention of recurrent venous thromboembolism.		
Rivaroxaban	Used for treatment and prevention of thromboembolism, particularly after hip and knee replacement surgery. And exanet alfa is used for reversal of anticoagulant effect.		
Direct Thrombin Inhibitors			
Argatroban monohydrate	Nonpeptide inhibitor of thrombin. Used for anticoagulation in people with heparin-induced thrombocytopenia type II. Given intravenously.		
Bivalirudin	Peptide related to hirudin (found in saliva of medicinal leeches). Used with aspirin and clopidogrel for people undergoing percutaneous coronary intervention, and in unstable angina and acute myocardial infarction. Given intravenously.		
Dabigatran etexilate	Prodrug of dabigatran, a nonpeptide inhibitor acting on both free and clot-bound thrombin (factor IIa). Used for treatment and prophylaxis of thromboembolic events, particularly in orthopaedic surgery. Idarucizumab is used for rapid emergency reversal of dabigatran.		
Fibrinolytic (Thrombolytic) Ag	ents		
Activate plasminogen to pla and have short half-lives.	asmin. Used in the treatment of myocardial infarction; all are macromolecules given intravenously		
Alteplase	Recombinant tissue-type plasminogen activator. Given by intravenous injection or infusion.		
Reteplase	Recombinant plasminogen activator. Given by intravenous injection over not more than 2 min.		
Streptokinase	Streptococcal plasminogen activator. Also used for life-threatening venous thrombosis and pulmonary embolism. Given by intravenous infusion.		
Tenecteplase	Modified tissue-type plasminogen activator; higher selectivity than alteplase for fibrin. Given by intravenous injection over 10 s.		
Urokinase	Human urokinase purified from urine. Used for deep vein thrombosis and pulmonary embolism; also used for clearing occluded catheters and cannulas.		
Antifibrinolytic Drugs and Hae	emostatic Agents		
Desmopressin	Analogue of vasopressin (antidiuretic hormone, ADH). Used in haemophilia A and von Willebrand's disease. Given intravenously, subcutaneously or intranasally.		
Etamsylate	Reduces capillary bleeding, probably by affecting platelet adhesion. Given orally, but no longer recommended.		
Tranexamic acid	Used in hereditary angioedema, epistaxis and after excessive thrombolytic dosage. Given orally or by slow intravenous injection.		

# Asthma and Chronic Obstructive Pulmonary Disease

# **Chapter Outline**

12

Asthma 192 Chronic Obstructive Pulmonary Disease 194 Drugs for Asthma and Chronic Obstructive Pulmonary Disease 196 Drug Delivery to the Lung 196 Symptom-Relieving Drugs for Airflow Obstruction (Bronchodilators; 'Relievers') 197 Antiinflammatory Drugs for Airways Obstruction ('Preventers') 200 Management of Asthma 203 Acute Asthma 203 Prophylaxis of Chronic Asthma 204 Aspirin-Intolerant Asthma 204 Management of Chronic Obstructive Pulmonary Disease 204 Self-Assessment 205 Answers 206 Further Reading 208 Compendium of Drugs Used in Asthma or COPD 208

Asthma and chronic obstructive pulmonary disease (COPD) show several similarities in their clinical features but have some distinct pathophysiological – including immunological – differences. Both are inflammatory disorders of the airways. Clinically, they both present with airflow obstruction, defined as a ratio of forced expiratory volume in 1 second (FEV<sub>1</sub>) to forced vital capacity (FVC) of less than 0.7. The differentiation is further complicated by the recognition of an asthma-COPD overlap syndrome (ACOS).

- Asthma is a heterogeneous disease, usually characterised by chronic airway inflammation. It is defined by the history of respiratory symptoms such as wheezing, shortness of breath, chest tightness and cough that vary over time and in intensity, together with variable expiratory airflow limitation.
- COPD is characterised by persistent airflow limitation that is usually progressive and associated with enhanced chronic inflammatory responses in the airways and the lungs to noxious particles and gases. Exacerbations and comorbidities contribute to the overall severity of COPD in individual people.
- ACOS is characterised by persistent airflow limitation with several features usually associated with asthma, and several features usually associated with COPD. ACOS is therefore identified by the features that it shares with both asthma and COPD.

# ASTHMA

The characteristic feature of asthma is reversible airflow obstruction. Asthma is often associated with an atopic disposition, and exposure to allergens or other environmental air pollutants may then result in expression of the condition. However, more severe asthma and adult-onset asthma is often nonallergic and accounts for 10% to 30% of cases.

The most common symptoms of asthma are chest tightness, wheezing, breathlessness and cough, although cough may be the only symptom in younger people, often occurring at night. Airflow obstruction in asthma typically shows marked variability over time and greater than 15% improvement in response to any inhaled bronchodilator (discussed later). The symptoms are due to a combination of smooth muscle constriction in the airway and bronchial inflammation.

The pathogenesis of asthma is complex and our knowledge is incomplete (Figs. 12.1 and 12.2). Immune dysfunction leads to airway inflammation in asthma and may result from impaired regulation and imbalance between different T-lymphocyte subsets and epithelial and airway dendritic cells. Inflammation of the bronchial mucosa is prominent, with infiltration of activated T-helper 2 lymphocytes, eosinophils and mast cells. These inflammatory cells release several powerful mediators that promote hyperplasia of bronchial smooth muscle, increased smooth muscle reactivity,



Fig. 12.1 Some aspects of the early- and late-phase responses to inhaled allergen challenge in people with allergic asthma. Allergen crosslinking of the IgE overexpressed on mast cells of atopic individuals, and nonimmunogenic stimuli in more severe nonatopic asthma, can degranulate mast cells, resulting in the release of preformed mediators such as histamine from mast cell granules, and *de novo* synthesis of lipid mediators such as cysteinyl-leukotrienes ( $LTC_4$ ,  $LTD_4$ ) and prostaglandin (*PG*)  $D_2$ , which directly produce early-phase bronchoconstriction and initiate the acute inflammatory response. These mediators, together with inflammatory cytokines and chemokines, attract and activate cells including eosinophils, monocytes and T lymphocytes that are responsible for further inflammatory mediator production and persistent chronic inflammation. *IgE*, Immunoglobulin E.

oedema of the bronchial wall, deposition of subepithelial collagen and increased airway secretions. Dysregulation of numerous inflammatory mediators appears to be involved in asthma, including cysteinyl-leukotrienes, histamine, proteases and a variety of cytokines and chemokines. However, unlike COPD, there is little evidence of an increase in reactive oxygen species.

Fig. 12.1 shows how exposure of atopic individuals to a relevant allergen (such as pollen or the faeces of house-dust mite) crosslinks immunoglobulin E (IgE) bound to mast cell membrane receptors and causes mast cell degranulation. Degranulation and the subsequent pathological processes can also occur in hypersensitive nonatopic asthmatics with normal levels of IgE, when it is triggered by other factors such as upper respiratory tract infections, particularly with human rhinovirus. Degranulation of mast cells produces immediate bronchoconstriction (early phase) due to the synthesis and release of a number of spasmogens, of which the most potent are the cysteinyl-leukotrienes  $LTC_4$  and  $LTD_4$  (see Fig. 12.1). Chemotactic mediators are also synthesised and released, including prostaglandin (PG)D<sub>2</sub>, leukotriene  $E_{4}$ , interleukins (IL-3, IL-4, IL-5, IL-6, IL-8 and IL-13), and chemokines such

as eotaxin, monocyte chemoattractant proteins (MCP) and RANTES (regulated on activation, normal T-cell expressed and secreted). These promote an influx of inflammatory cells which, 4 to 6 hours later, may result in a delayed bronchoconstrictor response (late phase) and the commencement of a cascade of other pathological events in the airways. The persistent release of spasmogens and inflammatory mediators by these infiltrating cells can leave the bronchi hyperreactive to various irritants for several weeks. The inflammatory mediators produce mucosal oedema, which narrows the airways, and also stimulate smooth muscle contraction leading to bronchoconstriction. Excessive production of mucus can cause further airways obstruction by plugging the bronchiolar lumen.

In *mild to moderate* asthma, both large and small airways are involved in the inflammatory process, but the degree of submucosal fibrosis and mucus secretion is modest, with no parenchymal destruction.

In *severe* asthma, there is evidence of additional, greater infiltration of neutrophils or eosinophils, tissue destruction and airways remodelling, with progressive thickening and loss of elastic recoil, especially in the small airways.



**Fig. 12.2 T-cells and asthma.** In allergic asthma there are complex and still poorly understood imbalances in the immune system. These include alterations in the functioning of several T-cell subsets and additional dysregulation in epithelial cells, fibroblasts and airway dendritic cells. In mild to moderate allergic asthma, the T-helper cell type 2 (*Th2*) response is amplified, and Th2 cytokines including interleukins (*IL-*) 4, 5 and 13 contribute to many of the pathophysiological features of asthma. In severe asthma, there is an additional pathological role for T-helper type 1 (*Th1*) cytokines and neutrophils. *GM-CSF*, Granulocyte–macrophage colony stimulating factor; *IFN*, interferon; *IgE*, immunoglobulin E; *IL*, interleukin; *MCP*, monocyte chemoattractant proteins; *TNF*, tumour necrosis factor.

# CHRONIC OBSTRUCTIVE PULMONARY DISEASE

About 95% of people with COPD in the developed world are, or have been, cigarette smokers. There is wide variability in the rate of decline in pulmonary function in persistent smokers, with about 10% to 20% showing an accelerated decline that may reflect a genetic susceptibility. Less common causes of COPD are exposure to air pollution (including biomass fuels, which are a common cause of COPD in the developing world) and inherited  $\alpha_1$ -antiprotease ( $\alpha_1$ -antitrypsin) deficiency (in about 1 in 3000 individuals in the UK).

COPD is a symptom complex characterised by persistent airflow obstruction, with most people showing limited reversibility in response to a bronchodilator; however, up to 60% of people with COPD do show bronchial hyperresponsiveness and considerable bronchodilator-induced reversibility of the airflow obstruction. They have a mixed inflammatory pattern in the airways, which is now recognised as the asthma-COPD overlap syndrome (ACOS).

The airflow obstruction in COPD is usually slowly progressive. It results from a combination of decreased

bronchial luminal diameter affecting small airways that is produced by wall thickening, intraluminal mucus and changes in the fluid lining, together with dynamic airways collapse due to emphysema (discussed later). It is often accompanied by chronic bronchitis (production of mucoid sputum for at least 3 months of the year in each of two consecutive years).

The most frequent symptoms of COPD are gradually progressive breathlessness and cough. The cough is often productive and usually worse in the morning, but its severity is unrelated to the degree of airflow obstruction. Repeated respiratory infections are common and often associated with exacerbations of the airflow obstruction and symptomatic deterioration.

In COPD there is an inflammatory process that particularly affects the peripheral airways. The predominant infiltrating cells are neutrophils and macrophages, but ongoing damage to the lung even after the trigger is removed is probably due to CD8<sup>+</sup> T-lymphocytemediated inflammation (Fig. 12.3). There is increased oxidative stress due to reactive oxygen species (ROS) which are involved in the inflammatory process and produce oxidative stress in cells. Exogenous ROS are



Fig. 12.3 Pathophysiological factors in chronic obstructive pulmonary disease. Irritants and particles in cigarette smoke trigger mucus production and activation of macrophages, which release chemoattractants including leukotriene (*LT*) B<sub>4</sub>, interleukin (*IL*)-8 and chemokines that recruit neutrophil leucocytes to the airways. Neutrophils release elastase, which breaks down elastin, and other factors that cause tissue damage and promote chronic inflammation. A small number of people (about 1 in 3000) are particularly susceptible to the development of COPD due to variability in inflammatory or protective gene products, including  $\alpha_1$ -antitrypsin, which normally inhibits neutrophil elastase. Chronic alterations in the function of neutrophils, macrophages and subsets of T-cells result in parenchymal damage, loss of elastic recoil and episodes of infection. *COPD*, Chronic obstructive pulmonary disease; *TNFa*, tumour necrosis factor alpha.

derived from cigarette smoke and other pollutants and endogenous ROS are released from neutrophils and inflammatory macrophages. The airway inflammation produces a marked fibrotic reaction with parenchymal destruction and excessive bronchial mucus secretion. Corresponding histological changes include an increase in goblet cells in the bronchial mucosa and an increase in muscle mass in the bronchial wall, accompanied by interstitial fibrosis. Apoptosis of endothelial and alveolar cells reduces the ability of the lung to repair itself in response to sustained injury from the inhaled pollutants.

Emphysema is a pathological description, defined as enlargement of airways distal to the terminal bronchioles owing to destructive changes that may involve the entire acinus (panacinar) or the central part of the acinus (centriacinar). Lung parenchymal destruction is largely mediated by tissue proteases and cathepsins that are released by neutrophils and macrophages. Generation of excessive amounts of ROS inhibits the antiproteases that normally protect the lung against such attack. This explains the susceptibility of people with inherited  $\alpha_1$ -antiprotease deficiency to emphysema. Tissue destruction leads to a loss of lung recoil on expiration, which is a major factor in reduced expiratory airflow. Emphysema is probably the dominant factor in severe COPD.

# DRUGS FOR ASTHMA AND CHRONIC OBSTRUCTIVE PULMONARY DISEASE

# DRUG DELIVERY TO THE LUNG

For the treatment of airways disease, direct delivery of drug to the lung by inhalation allows the use of smaller doses than with systemic use and therefore reduces the incidence of unwanted systemic effects (Table 12.1). It also allows rapid onset of action of 'rescue' medication. The drug is usually delivered directly to the airways in an aerosol form or a dry powder. The size of the inhaled particle is an important factor that determines whether it will reach the airways and where in the airways it will be deposited. The optimal particle size is 2 to 5 µm. Particles larger than 10 µm impact on the upper airways and will be swallowed. Particles smaller than 1 µm are not deposited in the lower respiratory tract and will reach the alveoli where they will be absorbed into the blood or exhaled. Other factors that influence particle deposition include the pattern of inhalation, the properties of the carrier, and the type and severity of the lung disease. There are several methods for the delivery of inhaled drugs.

# **Pressurised Metered-Dose Inhaler**

This is a common device for delivery of bronchodilator and antiinflammatory drugs used in the treatment of asthma and COPD. The propellant in the device is a pressurised hydrofluoroalkane (HFA) and activation delivers a measured dose of aerosol via an atomisation nozzle. One variant of this type of inhaler (soft-mist inhaler) generates two fine jets of liquid that converge and release a soft mist when they collide, with 60% to 80% of the particles at optimal size. A pressurised metered-dose inhaler (pMDI) should be shaken before use. Optimal delivery of the drug requires:

- adequate inspiratory airflow rate;
- gentle, slow, long inspiration preceded by full expiration;
- a breath hold of at least 5 seconds.

Manually activated pMDIs depend on coordination of the timing of dose release and inspiration. About one-third of users find this coordination difficult, and even if it is optimal, up to 70% to 90% of the aerosol may be deposited in the oropharynx and swallowed. The need for coordination is avoided if a breath-actuated pMDI is used. However, breath actuation requires air to be drawn through the mouthpiece at a flow rate of at least 30 L/min and people who have severe airflow obstruction cannot achieve this.

## Pressurised Metered-Dose Inhaler with a Spacer

A spacer device (a plastic reservoir) can be attached to the pMDI to act as a chamber from which the suspended aerosol particles can be inhaled. The use of a spacer removes the need to coordinate aerosol activation and inspiration. A spacer can be large volume, which retains more of the aerosol, or small volume, which is more convenient but in which the aerosol impacts to a greater extent on the wall of the spacer. The spacer can be designed as a holding chamber by incorporating a one-way valve that retains the aerosol in the chamber for longer. A spacer is essential for young children, and for very young children a holding chamber can be attached to a facemask. The inhaler is activated into the spacer, and tidal breathing

	AEROSOL	ORAL
Ideal pharmacokinetics	Slow absorption from the lung surface and rapid systemic clearance	Good oral absorption and slow systemic clearance
Dose	Low dose delivered rapidly to target, with low systemic drug concentrations	High systemic dose necessary, which achieves appropriate concentration in the lung more slowly than by inhaled route
Incidence of unwanted effects	Usually low	High (but depends on drug)
Distribution in the lung	Rapid, but may be reduced in severe disease	Slow, but unaffected by airway disease
Adherence	Good with bronchodilators, but may be poor with prophylactic use of antiinflammatory drugs	Good
Ease of administration	May be difficult for small children and infirm people <sup>a</sup>	Good
Effectiveness	Good in mild to moderate disease	Good even in severe disease

 Table 12.1
 Comparison of Aerosol and Oral Routes of Administration for Respiratory Disease.

<sup>a</sup>May be improved by spacer devices. Nebulisers can be used for severe exacerbations.

through the mouthpiece delivers the drug. Inhalation of the contents of the spacer should be completed within 10 seconds of inhaler activation. The spacer allows evaporation of propellant and may create more droplets of the correct size to deposit in the airways. It also reduces drug deposition in the oropharynx, due to reduced particle velocity. Electrostatic charge on the plastic wall can attract particles and reduce drug delivery, so nonelectrostatic materials are preferred. The spacer device should be washed in mild detergent once a month and air-dried to minimise the electrostatic charge. The addition of a spacer makes a metered-dose inhaler system less portable and may reduce adherence to treatment. Breath-actuated metered-dose inhaler devices cannot be used with a spacer.

# **Dry-Powder Inhaler**

Dry-powder inhalers produce drug-containing particles of optimal size for deposition. Inspiration through the device generates turbulence, which disperses the particles in the inspired air. Devices either use a singledose capsule that is loaded in the device and pierced, or the source is a bulk powder with the device metering the dose and a counter showing how many doses remain. Some devices produce an audible click to indicate successful activation. Optimal drug delivery requires:

- a deep, forceful, long inhalation over at least 5 seconds;
- a breath hold for at least 10 seconds.

#### **Nebulisers**

Nebulisers are devices used with a facemask or mouthpiece to deliver the drug from a reservoir solution. There are two types:

- Jet nebulisers use compressed air or oxygen passing through a narrow orifice at 6 to 8 L/min to suck drug solution from a reservoir into a feed tube (Venturi principle). There are fine ligaments in this tube and the impact of the solution on these ligaments generates droplets. Baffles trap the larger droplets.
- Ultrasonic nebulisers use a piezoelectric crystal vibrating at high frequency to create the aerosol, and do not require gas flow. The vibrations are transmitted through a buffer to the drug solution and form a fountain of liquid in the nebulisation chamber. Ultrasonic nebulisers produce a more uniform particle size than jet nebulisers, but are less widely used due to greater cost.

Up to 10 times the amount of drug is required in a nebuliser to produce the same degree of bronchodilation achieved by a metered-dose inhaler. Drug delivery is more efficient via a mouthpiece than via a mask from which more particles can be deposited in the nasal passages.

# SYMPTOM-RELIEVING DRUGS FOR AIRFLOW OBSTRUCTION (BRONCHODILATORS; 'RELIEVERS')

## β<sub>2</sub>-Adrenoceptor Agonists

# 🔍 Examples

short-acting: salbutamol, terbutaline long-acting: formoterol, salmeterol ultra-long-acting: indacaterol, olodaterol

#### Mechanism of action and effects

 $\beta_2$ -Adrenoceptors are widely distributed in the lung and the receptor density is higher in bronchial smooth muscle than in other cell types such as epithelial cells, endothelial cells or mast cells. Stimulation of these receptors by an agonist stabilises the receptor in its active configuration, resulting in increased generation of cyclic adenosine monophosphate (cAMP) by adenylyl cyclase and activation of protein kinase A (PKA). This phosphorylates myosin light chain proteins that are central to the regulation of smooth muscle tone.  $\beta_2$ -Adrenoceptor agonists also reduce Ca<sup>2+</sup> entry into muscle cells and activate K<sup>+</sup> channels that hyperpolarise the smooth muscle cell. Major beneficial actions of a  $\beta_2$ -adrenoceptor agonist are:

- bronchodilation due to relaxation of bronchial smooth muscle cells;
- inhibition of mediator release from mast cells and infiltrating leucocytes;
- enhanced airway mucociliary clearance.

However, in addition to their beneficial effects on the airway, frequent use of a  $\beta_2$ -adrenoceptor agonist in asthma can enhance harmful Th2 inflammatory pathways and will downregulate  $\beta_2$ -adrenoceptors. Monotherapy with a long-acting  $\beta_2$ -adrenoceptor agonist (LABA) is associated with worse asthma control and more frequent exacerbations. LABAs should therefore not be used without an inhaled corticosteroid.

There is synergy between the actions of inhaled corticosteroids and inhaled  $\beta_2$ -adrenoceptor agonists, with the latter enhancing the gene-transcription effects of corticosteroids, and corticosteroids increasing  $\beta_2$ -adrenoceptor gene transcription and enhancing coupling of the receptor to adenylyl cyclase.

Some  $\beta_2$ -adrenoceptor agonists such as salbutamol, terbutaline and salmeterol have about 60% partial agonist activity at the receptor (low-efficacy agonists), whereas formoterol, indacaterol and olodaterol have full agonist activity (high-efficacy agonists). The relevance of these differences to treatment outcomes and unwanted effects is unclear.

#### **Pharmacokinetics**

The selectivity of  $\beta_2$ -adrenoceptor agonists for the  $\beta_2$ -adrenoceptor subtype is dose-dependent. Inhalation of drug aids selectivity because it delivers small doses to the airways and minimises systemic exposure

of  $\beta$ -adrenoceptors outside the lungs (see Table 12.1). The dose–response relationship for bronchodilation is log-linear, and a 10-fold increase in dose is required to double the bronchodilator response.

Short-acting  $\beta_2$ -adrenoceptor agonists such as salbutamol have a rapid onset of action, often within 5 minutes, and produce bronchodilation for up to about 6 hours. Their duration of action is far longer than the natural adrenoceptor agonists such as adrenaline because they are not substrates for the norepinephrine uptake transporter (NET) on the presynaptic neuron or for catechol-O-methyltransferase (COMT), which metabolises catecholamines outside adrenergic neurons.

Salmeterol and formoterol have a longer duration of action (up to 12 hours) because they are more lipophilic than short-acting agents and bind to the lipid of the cell membrane. Salmeterol has a slower onset of action than short-acting agents, but the onset with formoterol is rapid. Indacaterol and olodaterol have both rapid onset and ultralong duration of action (up to 24 hours), allowing once-daily dosing.

Salbutamol and terbutaline can also be given orally (as conventional or modified-release formulations), by subcutaneous or intramuscular injection, or by intravenous infusion. Much larger doses are required to deliver an adequate amount of drug to the lungs by any of these routes, compared with inhaled doses. This reduces the selectivity for  $\beta_2$ -adrenoceptors, and systemic unwanted effects can be troublesome.

# **Unwanted effects**

- Fine skeletal muscle tremor from stimulation of β<sub>2</sub>-adrenoceptors.
- Tachycardia and arrhythmias result from both β<sub>1</sub>and β<sub>2</sub>-adrenoceptor stimulation in the heart when high doses of inhaled drug are used, or after oral or parenteral administration.
- Hypokalaemia with high doses, due to promotion of cellular uptake of K<sup>+</sup> by a cAMP-dependent action of β<sub>2</sub>-adrenoceptor agonists on the Na<sup>+</sup>/K<sup>+</sup> pump. Nebulised salbutamol is used as a treatment for hyperkalaemia. Hypomagnesaemia and hyperglycaemia can also occur. These effects do not persist during long-term use.
- Paradoxical bronchospasm has been reported with inhalation, usually when given for the first time or with a new canister.
- Headache.
- Nervous tension.
- Tolerance to the bronchodilator effects with prolonged use of β<sub>2</sub>-adrenoceptor agonists is modest, but desensitisation and downregulation of β<sub>2</sub>-adrenoceptors does occur. The process of receptor desensitisation appears to be more rapid for mast cells than for bronchial smooth muscle, and the ability to prevent exercise-induced bronchoconstriction is more affected than the symptom relief that these drugs produce.

• Regular use of high doses of short-acting or inhaled long-acting  $\beta_2$ -adrenoceptor agonists has been linked with increased mortality in people with asthma, although a causal relationship remains controversial. One possibility is that they precipitate serious cardiac arrhythmias when combined with hypoxaemia during severe asthma exacerbations. It is also possible that their use might allow people to tolerate initial exposure to larger doses of allergens or irritants, which then produce an enhanced late asthmatic response.

## **Antimuscarinics**

# Examples

aclidinium, glycopyrronium, ipratropium, tiotropium, umeclidinium

## Mechanism of action and effects

The main type of muscarinic receptor in the airways is the  $M_3$  receptor, which is involved in direct bronchoconstriction, glandular mucus secretion and mucociliary clearance from the bronchi.  $M_3$  receptor stimulation activates phospholipase C with subsequent formation of inositol triphosphate (IP<sub>3</sub>) and diacylglycerol (DAG), which are key events in the signalling pathway that increases intracellular Ca<sup>2+</sup> (see Chapter 1 and Fig. 1.5).

Ipratropium binds nonselectively to all five subtypes of muscarinic receptor ( $M_1$  to  $M_5$ ). The recommended dose is determined by unwanted effects and is well below the dose that produces maximal bronchodilation. By contrast, tiotropium and other long-acting muscarinic antagonists (LAMA) are functionally selective for the  $M_3$  receptor due to a higher affinity for binding to the receptor or due to lower reversibility of binding at  $M_3$  than at other muscarinic receptors.

The main benefit of muscarinic antagonists is in COPD. They are of less value for bronchodilation in acute mild to moderate asthma, but ipratropium has a place when added to a  $\beta_2$ -adrenoceptor agonist in severe exacerbations of asthma.

#### Pharmacokinetics

The antimuscarinic drugs are derived from atropine but are N-quaternary cations that are poorly absorbed orally and do not cross the blood–brain barrier. They are given exclusively by inhalation as a powder or aerosol or via a nebuliser. They have a slower onset of action (30–60 minutes) than salbutamol (5–10 minutes), probably due to slow absorption from the surface of the airways. The duration of action of antimuscarinics is related to the rate of removal locally from the airways, not the half-life of elimination from the circulation. LAMAs such as tiotropium and umeclidinium are active for 24 hours or more, allowing once-daily dosing. Aclidinium does not have such a long duration of action and is more effective when taken twice daily.

# Unwanted effects

Direct delivery of antimuscarinic drugs to the lung is the main reason for the relative lack of unwanted systemic effects.

- Dry mouth is the most common unwanted effect.
- Nausea, constipation.
- Headache.
- Cough.
- Tiotropium can cause urinary retention in men with prostatism.
- Exacerbation of angle-closure glaucoma (see Chapter 50).

## **Methylxanthines**

**Examples** 

aminophylline, theophylline

#### Mechanism of action and effects

Methylxanthines are a group of naturally occurring substances found in coffee, tea, chocolate and related foodstuffs. Naturally occurring theophylline (1,3-dimethylxanthine) and its ester derivative aminophylline are the only compounds in clinical use. They are chemically similar to caffeine. Methylxanthines have vasodilatory, antiinflammatory and immunomodulatory actions. The potential effects of methylxanthines are numerous, and some are of uncertain importance.

- Inhibition of phosphodiesterase (PDE), which degrades cyclic nucleotide second messengers, may partly explain the actions of methylxanthines. Theophylline preferentially inhibits the isoenzymes PDE3 (which degrades cAMP and cyclic guanosine monophosphate (cGMP)) and PDE4 (which degrades cAMP). PDE3 is found in bronchial smooth muscle and PDE4 in several inflammatory cell types, including mast cells. The rise in intracellular cAMP in bronchial smooth muscle stimulates large-conductance voltage-gated, Ca<sup>2+</sup>-activated K<sup>+</sup> channels ( $BK_{Ca}$ ) in the cell membrane, leading to cell hyperpolarisation and muscle relaxation. However, theophylline only produces bronchodilation at relatively high plasma concentrations, and drugs that are more effective PDE inhibitors (such as dipyridamole) do not bronchodilate. Prolonging the duration of action of cyclic nucleotides may potentiate the action of  $\beta_2$ -adrenoceptor agonists and produce a synergistic dilator effect on bronchial smooth muscle. PDE inhibition also stimulates ciliary beat frequency in the airways and enhances water transport across the airway epithelium, which increases mucociliary clearance. Theophylline increases the force and rate of contraction of cardiac muscle by increasing cAMP (see Chapter 7), and also causes arterial vasodilation by inhibiting the breakdown of cGMP.
- Adenosine receptor antagonism may be relevant to some of the clinical effects of methylxanthines (see

also the monograph on adenosine in Chapter 8). Adenosine releases histamine and leukotrienes from mast cells, which results in the constriction of hyperresponsive airways in individuals with asthma. Theophylline is a potent antagonist at adenosine  $A_1$ ,  $A_2$  and  $A_3$  receptors (see Chapter 1 table of receptors) and may reduce bronchoconstriction by this mechanism. Adenosine receptor antagonism is responsible for central nervous system (CNS) stimulation, which improves mental performance and alertness, has positive inotropic and chronotropic effects on the heart, and in the kidney reduces tubular Na<sup>+</sup> reabsorption, which leads to natriuresis and diuresis.

- Activation of histone deacetylases (HDAC): core histones form part of the structure of nuclear chromatin and their acetylation activates gene transcription, whereas their deacetylation suppresses gene transcription, including transcription of proinflammatory genes. Theophylline at low concentrations activates HDAC in nuclear extracts, indicating an action independent of adenosine and other surface receptors, and also increases HDAC activity in bronchial biopsies from people with asthma. Antiinflammatory effects of theophylline occur at drug plasma concentrations similar to those that produce clinical benefit. The action of theophylline on HDAC may potentiate the antiinflammatory effects of corticosteroids (see Chapter 44).
- Increased diaphragmatic contractility and reduced fatigue have been reported at lower plasma theophylline concentrations than those required for bronchodilation. This may improve lung ventilation.

#### Pharmacokinetics

The extent of absorption of theophylline from the gut is unpredictable with considerable interindividual variation. This, and the short but highly variable plasma half-life, has resulted in the widespread use of modified-release formulations. Theophylline has a narrow therapeutic index and, as different formulations vary in their release characteristics, they are not readily interchangeable. Theophylline is metabolised in the liver by cytochrome P450 (mainly CYP1A2), giving the potential for drug interactions. Aminophylline is a more water-soluble ester prodrug, which is hydrolysed rapidly after absorption from the gut to theophylline and ethylenediamine. Aminophylline can also be given by intravenous infusion. Measurement of blood theophylline concentrations is valuable as a guide to effective dosing.

#### Unwanted effects

Most are dose-related and can arise within the accepted therapeutic plasma concentration range.

• Gastrointestinal upset, including nausea, vomiting (PDE4 inhibition in the vomiting centre) and diarrhoea.

- CNS stimulation, including insomnia, irritability, dizziness, headache (PDE3 inhibition) and occasionally seizures at high plasma concentrations (adenosine receptor antagonism).
- Hypotension from peripheral vasodilation (PDE3 inhibition). In contrast, cerebral arteries are constricted by methylxanthines (adenosine receptor antagonism).
- Cardiac stimulation produces various arrhythmias.
- Hypokalaemia can occur acutely, especially after intravenous injection, which also promotes cardiac arrhythmias.
- Tolerance to the beneficial effects of methylxanthines can occur.
- Drug interactions can be troublesome, due to the narrow therapeutic index of theophylline. Hepatic CYP1A2 enzyme inhibitors such as ciprofloxacin, erythromycin, clarithromycin, fluconazole and ketoconazole (see Chapter 51 and Table 2.7) can precipitate theophylline toxicity.

# **Magnesium Sulfate**

## Mechanism of action and effects

Intravenous magnesium sulfate can be given for the treatment of severe asthma in adults if life-threatening features are present. Magnesium bronchodilates by blocking  $Ca^{2+}$  channels in smooth muscle cell membranes, therefore reducing  $Ca^{2+}$  influx into the cell.

# **Pharmacokinetics**

Magnesium sulfate is given by slow intravenous infusion and it is widely distributed.  $Mg^{2+}$  ions are excreted by the kidney with a half-life of 4 hours.

# Unwanted effects

- Atrioventricular block.
- Enhancement of neuromuscular blockade by neuromuscular blocking agents.
- Diarrhoea.
- Potentiates the hypotensive effects of calcium channel blockers.

# ANTIINFLAMMATORY DRUGS FOR AIRWAYS OBSTRUCTION ('PREVENTERS')

# Corticosteroids



beclometasone dipropionate, budesonide, fluticasone propionate, hydrocortisone, mometasone, prednisolone

#### Mechanism of action and effects

Corticosteroids with powerful glucocorticoid activity suppress inflammation and the immune response, but they are not direct bronchodilators. Inhaled corticosteroids are the cornerstone of management of chronic asthma, and systemic corticosteroids are pivotal in the treatment of acute severe asthma. In COPD, inhaled corticosteroids reduce exacerbations and rate of symptom progression but have no effect on lung function and mortality. Systemic corticosteroids are modestly effective in severe exacerbations of COPD.

Intracellular events involved in the antiinflammatory action of corticosteroids are described in Chapters 38 and 44. The inhibition of transcription of genes coding for the cytokines involved in inflammation is particularly important in asthma. Higher glucocorticoid concentrations also activate antiinflammatory genes and genes linked to glucocorticoid unwanted effects. Following a delay of 6 to 12 hours, corticosteroids reduce airway responsiveness to several bronchoconstrictor mediators and with chronic therapy, they inhibit both the early and late reactions to allergen.

Antiinflammatory effects of corticosteroids in asthma include:

- Reduced airway oedema and leucocyte recruitment by induction of tight junctions in vascular endothelial cells.
- Reduced activation of inflammatory cells (including macrophages, T-lymphocytes, eosinophils and airway epithelial cells), with reduced expression of inflammatory cytokines, chemokines, adhesion molecules and inflammatory enzymes (see Chapter 38). In allergic disease, suppression of Th2 cells and their cytokines (type 2 inflammation) is particularly important.
- Reduced recruitment of inflammatory cells to the airways (particularly eosinophils, but also T-lymphocytes, mast cells and others) through reduced production of chemotactic mediators and adhesion molecules and reduced cell survival (enhanced apoptosis).
- Decreased local generation of inflammatory prostaglandins and leukotrienes due to inhibition of phospholipase A<sub>2</sub> by annexin 1 (lipocortin), which reduces mucosal oedema (see also Chapter 29).
- β<sub>2</sub>-Adrenoceptor upregulation and better coupling to adenylyl cyclase, which restores responsiveness to β<sub>2</sub>-adrenoceptor agonists.
- Enhanced activity of the M<sub>2</sub> autoreceptors on acetylcholine nerve endings inhibits acetylcholine release and relieves vagally mediated bronchoconstriction.
- Suppression of the excess epithelial cell shedding and goblet cell hyperplasia found in the bronchial epithelium in asthma.

Inhaled corticosteroids produce some improvement in asthmatic symptoms after 24 hours and a maximum response after 1 to 2 weeks. Reduction in airway responsiveness to allergens and irritants occurs gradually over several months, but many of the chronic structural changes in the airways in asthma are not affected by corticosteroids.

Although highly effective, corticosteroids are a blunt instrument with significant potential unwanted effects (see later and Chapter 44). Much effort has recently been directed at the development of agents that target the asthma inflammatory response more precisely (see later) in the hope that reliance on systemic corticosteroids in people with severe disease can be minimised.

#### Pharmacokinetics

Whenever possible, corticosteroids are given by inhalation of an aerosol or dry powder to minimise systemic unwanted effects, but they can be used intravenously or orally in severe asthma. Desirable properties of an inhaled corticosteroid (ICS) include a low rate of absorption across mucosal surfaces (such as the lung, but also the gut for the swallowed fraction of the drug) and rapid inactivation once absorbed. Beclometasone dipropionate fulfils the former criterion, but it is only slowly inactivated once it reaches the systemic circulation. Inhaled budesonide (which is inactivated by extensive first-pass metabolism in the liver following oral absorption) or fluticasone (which is very poorly absorbed from the gut) may be preferred if high doses of inhaled drug are needed, or for the treatment of children in whom the systemic effects can be more problematic.

## Unwanted effects

The unwanted effects of oral and parenteral corticosteroids are described in Chapter 44. Inhaled corticosteroids only have systemic actions when given in high doses. The amount of the drug that is swallowed can be minimised by using a large-volume spacer (described previously); large aerosol particles, which would otherwise be deposited on the oropharyngeal mucosa, are trapped in the spacer, and only the smaller particles are inhaled.

There are some specific problems with inhaled corticosteroids:

- Dysphonia (hoarseness), caused by drug deposition on vocal cords and myopathy of laryngeal muscles, occurs in up to one-third of those using inhaled corticosteroids. This may be less troublesome with breath-actuated delivery because the method of inspiration leads to protection of the vocal cords by the false cords.
- Oral candidiasis can occur but can be prevented by using a spacer device or by gargling with water after use of the inhaler.
- Prolonged use of high doses of inhaled corticosteroid has been associated with systemic unwanted effects. These include adrenal suppression, osteoporosis and reduced growth velocity in children. In older people with COPD, there is an increased risk of pneumonia.

#### Leukotriene Receptor Antagonist



montelukast

# Mechanisms of action and effects

Montelukast is a leukotriene receptor antagonist (LTRA) or leukotriene modifier taken orally that blocks the bronchoconstriction induced by locally released cysteinyl-leukotrienes (LTC<sub>4</sub>, LTD<sub>4</sub> and LTE<sub>4</sub>; see Fig. 29.1). Cysteinyl-leukotrienes are released from various cells including activated mast cells and eosinophils in response to several airway insults, and their synthesis is increased by many mediators, such as cytokines. Cysteinyl-leukotrienes also contribute to airway oedema, enhanced secretion of mucus and airway eosinophilia (see Fig. 12.1).

Montelukast blocks the cysteinyl-leukotriene (CysLT<sub>1</sub>) receptors on bronchial smooth muscle and elsewhere. It reduces both the early and late bronchoconstrictor responses to inhaled allergen and may be most useful in mild and moderate asthma, exerciseinduced bronchoconstriction and hypersensitivity reactions provoked by nonsteroidal antiinflammatory drugs (NSAIDs; see Chapter 29). The effects are additive to those of inhaled corticosteroid.

# **Pharmacokinetics**

Montelukast is well absorbed from the gut and metabolised in the liver. The half-life of montelukast is 3– 5 hours.

# Unwanted effects

- Headache.
- Gastrointestinal upset.
- Rarely, neuropsychiatric effects, including sleep disturbance and irritability.

# Phosphodiesterase Type 4 Inhibitor

Example

roflumilast

# Mechanism of action and effects

Phosphodiesterase type 4 (PDE4) is the main isoenzyme present in cells involved in the inflammatory process in COPD. PDE4 degrades the intracellular second messenger cAMP and inhibition of this enzyme with roflumilast has several antiinflammatory actions:

- Decreased cytokine and chemokine release from neutrophils, eosinophils, macrophages and T-lymphocytes.
- Decreased expression of adhesion molecules on T-lymphocytes and other inflammatory cells. Along with the reduced chemokine release, this results in less accumulation of these cells in the airway.
- Decreased apoptosis of airway cells, which may assist in sputum clearance.

Roflumilast is highly selective for most isoforms of PDE4 found in inflammatory leucocytes but has little action in tissues that express other PDE isoenzymes. In people with COPD with chronic bronchitis (prominent cough and sputum production) who have frequent exacerbations, roflumilast improves lung function and reduces exacerbation frequency.

# **Pharmacokinetics**

Roflumilast is well absorbed from the gut and is metabolised by the liver. It has a long but variable halflife with an average of about 17 hours.

# **Unwanted effects**

PDE4 has several isoforms that are found in the gut, adipose tissue and neurons, and inhibition of these is responsible for most unwanted effects with roflumilast, which often resolve with continued use:

- nausea, anorexia, abdominal pain, diarrhoea;
- weight loss;
- headache, insomnia.

# Antibodies Targeting Type 2 Inflammatory Cytokines and IgE

# Examples

omalizumab (targets IgE), benralizumab (targets IL-5 receptors), mepolizumab, reslizumab (both target IL-5), dupilumab (targets IL-4/IL-13 signalling)

# Mechanism of action

The T-helper cell type 2 (Th2) inflammatory response in asthma involves the cytokines IL-4, IL-5 and IL-13 and is characterised by high levels of antibodies (particularly IgE) and eosinophilia.

Omalizumab is a recombinant humanised IgG1k monoclonal antibody that binds selectively to IgE to form complexes, which are removed from the circulation by the reticuloendothelial system and endothelial cells. This leads to a reduction in IgE receptor expression and reduced mediator release from mast cells and basophils. Treatment with omalizumab gradually reduces airway inflammation in asthma, with a peak response after 12 to 16 weeks. It reduces exacerbation frequency by about 25%. Omalizumab is used for the treatment of persistent severe allergic IgE-mediated asthma in adults and children over 12 years that cannot be controlled with high-dose inhaled corticosteroids, long-acting  $\beta_2$ -adrenoceptor agonists and other standard therapies for asthma.

Agents targeting the type 2 cytokines IL-4, IL-5 and IL-13 are indicated in eosinophilic asthma, a subtype characterised by an elevated blood eosinophil count (over 300 cells/microlitre), frequent exacerbations, and often a need for chronic systemic corticosteroid treatment. Benralizumab is a humanised monoclonal antibody that specifically binds to the  $\alpha$  subunit of the IL-5 receptor, which is expressed on eosinophils and basophils. This induces antibody-dependent, cell-mediated cytotoxicity, and rapidly and almost completely depletes eosinophils. Mepolizumab and reslizumab

are anti–IL-5 antibody products that neutralise IL-5 and prevent it binding with its receptor; they indirectly deplete eosinophils.

Dupilumab is a human recombinant monoclonal antibody directed against the  $\alpha$  subunit of the IL-4 receptor, interfering with both IL-4 and IL-13 signal-ling involved in IgE production and eosinophilia.

Compared to placebo, the agents targeting type 2 inflammatory cytokine pathways reduce corticosteroid use in eosinophilic asthma and exacerbation frequency (by about 50%). The drugs have not been compared directly against one another but are probably similarly effective.

# Pharmacokinetics

Omalizumab, benralizumab and mepolizumab are given by subcutaneous injection. Reslizumab is given intravenously. They are all metabolised by widely distributed proteolytic enzymes and have long half-lives, allowing dosing at intervals between 2 and 8 weeks.

#### Unwanted effects

These drugs are generally safe and well tolerated, but occasionally cause injection site reactions and mild systemic symptoms (headache, cold-like symptoms). Anaphylaxis has been reported rarely (<1%) and also Churg-Strauss syndrome (with dupilimab).

# **Macrolide Antibiotics**

Example

#### azithromycin

Macrolide antibiotics are considered in detail in Chapter 51. In addition to their antimicrobial effects, macrolides may have an antiinflammatory effect of relevance in airways disease. Experience is greatest with azithromycin. In people with COPD who have frequent severe exacerbations despite optimal treatment, prophylactic use of azithromycin reduces exacerbation frequency. It may also reduce exacerbation frequency in people with asthma who have ongoing symptoms despite good adherence to high-dose inhaled corticosteroids. In prescribing long-term antibiotics, the risk of promoting resistance must be carefully considered.

Azithromycin has a long half-life (about 70 hours), allowing doses to be taken three times per week.

# Cromones

Examples

sodium cromoglicate, nedocromil sodium

The cromones are used to prevent asthma attacks, but they are usually less effective than inhaled corticosteroids and only about one-third of people benefit from treatment. Cromones have no bronchodilator activity and are of no use in acute attacks of asthma. The major use of cromones is as prophylactic agents in the treatment of mild to moderate antigen-, pollutantand exercise-induced asthma. They are also used as nasal inhalants to treat seasonal allergic rhinitis (see Chapter 39) and in ophthalmic solutions to treat allergic conjunctivitis (see Chapter 50).

# Mechanisms of action and effects

- Mast cell stabilisation. Sodium cromoglicate was originally introduced as a mast cell stabiliser. It enhances the phosphorylation of a protein that normally forms a substrate for intracellular protein kinase C, and interferes with the signal transduction for inflammatory mediator release. This action may protect against immediate bronchoconstriction induced by allergens, exercise or cold air.
- Inhibition of sensory C-fibre neurons by antagonism of the effects of the tachykinins, substance P and neurokinin B, which are involved in generation of sensory stimuli. This is probably responsible for protection against bronchoconstriction produced by irritants such as sulfur dioxide.
- Inhibition of accumulation of eosinophils in the lungs, and reduced activation of eosinophils, neutrophils and macrophages in inflamed lung tissue. These actions may be important in preventing the late-phase response to allergen and the development of bronchial hyper-reactivity.
- Inhibition of B-cell switching to IgE production probably also contributes to the long-term effects.

A single dose of either nedocromil sodium or sodium cromoglicate will prevent the early-phase bronchoconstrictor response to allergen, but treatment for 1 to 2 months may be necessary to block the latephase reaction.

# Pharmacokinetics

Both sodium cromoglicate and nedocromil sodium are highly ionised and poorly absorbed across biological membranes. They are therefore largely retained at the site of action on bronchial mucosa after inhalation as a powder or from a metered-dose aerosol inhaler. Swallowed drug is voided in the faeces.

# **Unwanted effects**

- Cough, wheeze and throat irritation may be provoked transiently following inhalation.
- Headache.
- Nausea, vomiting, dyspepsia and abdominal pain with nedocromil sodium.

# **MANAGEMENT OF ASTHMA**

Treatment of asthma has two aims:

- relief of symptoms;
- reduction of airway inflammation.

# ACUTE ASTHMA

Mild infrequent attacks of asthma can often be controlled by occasional use of a short-acting inhaled  $\beta_2$ adrenoceptor agonist. Antimuscarinic agents are less effective unless asthma coexists with COPD (ACOS; see later). More severe attacks of asthma require prompt treatment with bronchodilators and systemic corticosteroids, often in hospital. The features of severe and life-threatening acute asthma are shown in Table 12.2.

Treatment of severe acute asthma should include:

- supplemental oxygen to achieve an oxygen saturation (SpO<sub>2</sub>) of 94% to 98%;
- inhaled short-acting β<sub>2</sub>-adrenoceptor agonist such as salbutamol, preferably via an oxygen-driven nebuliser, or via a metered-dose inhaler with a largevolume spacer if a nebuliser is not available;
- high-dose oral prednisolone or initial intravenous hydrocortisone followed by oral prednisolone.

If response to treatment is poor after 15 to 30 minutes, or if there are life-threatening features, additional treatment should be given:

- inhaled ipratropium via an oxygen-driven nebuliser. If response is poor after a further 15 to 30 minutes, then consider:
- intravenous aminophylline,
- intravenous magnesium sulfate,
- invasive ventilation in life-threatening asthma with a deteriorating trajectory.

# Table 12.2Signs of Severe and Life-Threatening<br/>Acute Asthma.

SEVERE ACUTE ASTHMA <sup>a</sup>	LIFE-THREATENING ACUTE Asthma
Any one of the following:	Any one of the following in a person with severe acute asthma:
PEF 33%–50% of predicted or previous best	PEF <33% of predicted or previous best
Pulse ≥110 beats/min	Arterial oxygen saturation (SpO <sub>2</sub> ) <92%
Respiratory rate ≥25/min	Partial arterial pressure of oxygen (PaO <sub>2</sub> ) <8 kPa
Inability to complete a sentence in one breath	Normal <sup>b</sup> partial arterial pres- sure of carbon dioxide (PaCO <sub>2</sub> ) 4.6–6.0 kPa
	Arrhythmia or hypotension
	Exhaustion, altered con- sciousness level, poor respiratory effort
	Silent chest
	Cyanosis

<sup>a</sup>Moderate acute asthma is defined as worsening symptoms with PEF 50%– 75% of predicted or previous best, but no features of severe acute asthma. <sup>b</sup>Raised PaCO<sub>2</sub>, or a requirement for mechanical ventilation with raised inflation pressures, or both, is a sign of near-fatal acute asthma. *PEF*, Peak expiratory flow. After recovery from a severe asthma attack, prednisolone should be continued for at least 5 days or until there are no residual symptoms, especially at night, and the peak expiratory flow is at least 80% of the person's previous best. High doses of prednisolone can be stopped abruptly if used for 3 weeks or less, but should be reduced gradually if they have been used for a longer period (see Chapter 44).

# **PROPHYLAXIS OF CHRONIC ASTHMA**

An initial attempt should be made to identify and exclude precipitating factors – for example, allergens, occupational precipitants, NSAIDs (described later) and  $\beta$ -adrenoceptor antagonists (including eye drops; see Chapter 5). Long-term treatment is guided by a stepwise treatment plan. Inhaled medication is the mainstay of treatment, and it is central to successful management that an appropriate inhaler device is selected after careful assessment of the person's preferences and abilities.

- **Step 1. Intermittent reliever therapy.** An inhaled short-acting  $\beta_2$ -adrenoceptor agonist, such as salbutamol, taken as required. For those who are intolerant to this treatment, inhaled ipratropium and oral theophylline are alternative options, but there is a higher risk of unwanted effects with the latter. Step 2 treatment should be considered if more than two doses of short-acting  $\beta_2$ -adrenoceptor agonist are required in a week, there are night-time symptoms at least once a week or if there has been an exacerbation of asthma in the previous 2 years.
- **Step 2. Regular inhaled preventer therapy.** For adults, a regular low-dose inhaled corticosteroid such as beclometasone is used in addition to step 1 therapy. If control is inadequate, adherence and inhaler technique should be checked before progressing beyond this step.
- Step 3. Initial add-on therapy. If symptoms in an adult are not controlled by a low-dose inhaled corticosteroid, addition of a long-acting  $\beta_2$ -adrenoceptor agonist such as salmeterol or formoterol is usually more effective than increasing the dose of corticosteroid. An inhaled short-acting  $\beta_2$ -adrenoceptor agonist can still be used as required. If there is no response to the longacting  $\beta_2$ -adrenoceptor agonist, it should be stopped.
- **Step 4. Additional controller therapies.** Options at this stage include increasing the corticosteroid dose or adding montelukast, an LTRA.
- Step 5. Specialist therapies. Under specialist direction, alternative treatments for severe disease include high-dose inhaled corticosteroids, continuous oral corticosteroids, biologic therapies targeting type 2 inflammation or IgE, chronic macrolide antibiotic therapy, and methylxanthines.

# **ASPIRIN-INTOLERANT ASTHMA**

About 5% to 15% of people with asthma experience acute exacerbations when they take aspirin or other NSAIDs in a laboratory setting (see Chapter 29), and

the syndrome may be poorly recognised clinically. Individuals with the full clinical syndrome of aspirinintolerant asthma (or aspirin-exacerbated respiratory disease; AERD) usually have an eosinophilic rhinosinusitis and nasal polyposis in addition to asthma. The condition may be initiated by priming of the respiratory mucosa by an immune reaction to a viral infection or other insult, which chronically upregulates the cysteinyl-leukotriene biosynthetic pathway. The production of bronchoconstrictor leukotrienes nevertheless remains under partial inhibitory control of prostaglandin (PG)E<sub>2</sub>.

Aspirin is an irreversible cyclooxygenase-1 (COX-1) and COX-2 inhibitor with selective action on COX-1. COX inhibition reduces PGE<sub>2</sub> synthesis, which removes its inhibition of leukotriene synthesis, provoking acute bronchospasm (see Fig. 29.1). Other NSAIDs that inhibit COX-1 also induce bronchoconstriction, but the selective COX-2 inhibitors very rarely provoke asthma. In sensitive individuals, asthma symptoms begin within 3 hours of ingesting aspirin, accompanied by profuse rhinorrhoea, conjunctival injection and sometimes flushing or urticaria. Airway inflammation can persist for many weeks after an aspirin challenge.

A cysteinyl-leukotriene receptor antagonist (LTRA) produces symptom relief in some people with aspirinintolerant asthma. Treatment of acute aspirin-intolerant asthma is the same as for any other episode. Sometimes long-term use of an oral corticosteroid is the only way to control persistent symptoms; in such cases, desensitisation to aspirin should be attempted. Nasal polypectomy may be necessary to control rhinosinusitis.

# MANAGEMENT OF CHRONIC OBSTRUCTIVE PULMONARY DISEASE

There are two goals in the treatment of COPD: to minimise symptoms (including a reduction in acute exacerbations) and to preserve lung function.

- Cessation of smoking (see Chapter 54) is the only effective way to alter the natural history of COPD. Smoking cessation slows the rate of decline in lung function to that naturally seen with ageing, although any loss of lung function due to smoking cannot be restored. Occupational exposure to inhaled pollutants should also be minimised.
- **Pneumococcal and annual influenza vaccination.** These can reduce infective exacerbations in people with COPD.
- Inhaled treatments. The principles are similar to those for asthma, although the limited reversibility of the airway obstruction means that the benefit is less marked, except during an acute exacerbation of symptoms. Some improvement in symptoms and functional capacity can occur without changes in standard lung function tests, and the main benefit is improved lung emptying during expiration, with reduced hyperinflation at rest. Short-acting

bronchodilators, such as salbutamol, are used for initial treatment of breathlessness and exercise limitation. A long-acting muscarinic antagonist (LAMA), such as tiotropium, combined with a long-acting  $\beta_2$ -adrenoceptor agonist (LABA), such as salmeterol, is recommended for people with COPD who have symptoms despite using a shortacting bronchodilator. Inhaled corticosteroids (ICS) are reserved for people with frequent exacerbations (two or more per year) or features of asthma-COPD overlap syndrome (ACOS). These features may include a previous history of asthma or atopy, substantial variation in peak expiratory flow rate or  $FEV_1$ , or an elevated blood eosinophil count. In this context, ICS reduce the number and severity of exacerbations and produce some symptomatic benefit for people with more severe disease. However, ICS are also associated with an increased risk of pneumonia.

- Systemic treatments. An oral corticosteroid is modestly effective in acute exacerbations of COPD and should be given alongside inhaled bronchodilators. A 5-day course of prednisolone (40 mg daily or equivalent) is as effective as a 10-day course. Antibiotics (see Chapter 51) are given if there is evidence of bacterial infection (purulent sputum or severe exacerbation). For the management of chronic symptoms and frequent exacerbations that are not adequately treated by inhaled triple therapy (LAMA, LABA and ICS), phosphodiesterase type 4 inhibitors, longterm macrolide antibiotics and methylxanthines may be used under specialist direction.
- Mucolytic agents. For people with chronic productive cough, erdosteine or carbocisteine (see Chapter 13) can be given orally to reduce sputum viscosity.
- **Oxygen therapy.** Controlled supplemental oxygen therapy should be given in severe acute exacerbations of COPD, targeting an oxygen saturation  $(SpO_2)$  level of 88% to 92% if the person has chronic hypercaphic respiratory failure. Exposure to a high inspired oxygen concentration in these people may disturb their finely-balanced ventilatory state, leading to accumulation of CO<sub>2</sub>, respiratory acidosis, and reduced level of consciousness. The mechanisms underlying this are complex, involving ventilationperfusion mismatching and reduction of the hypoxic ventilatory drive. To avoid this, supplemental oxygen should be started at a low level (e.g. 28%) and carefully titrated to achieve the SpO<sub>2</sub> target. Longterm domiciliary oxygen treatment, usually from an oxygen concentrator which removes nitrogen from air and delivers via nasal cannulae, improves symptoms and survival in COPD with respiratory failure (with an arterial oxygen tension less than 7.3 kPa, or less than 8.0 kPa if accompanied by features of right ventricular failure). This should only be considered if respiratory failure persists for 3 to 4 weeks despite optimal drug therapy and without

a clinical exacerbation. Those in the household must be warned of the risk of fire if people smoke when receiving oxygen therapy. To improve survival in COPD with respiratory failure, oxygen must be used for at least 15 hours per day.

- Ventilatory support. This may be required during exacerbations. Noninvasive ventilation with a tight-fitting face mask or hood is preferred. It is indicated in exacerbations complicated by respiratory acidosis.
- **Pulmonary rehabilitation.** This improves exercise capacity and reduces the sensation of breathlessness, and can substantially improve morale.

# SELF-ASSESSMENT

#### TRUE/FALSE QUESTIONS

- 1. Asthma is defined as irreversible airflow obstruction resulting from chronic airway inflammation.
- 2. Th2 lymphocytes in the airway mucosa are important in eosinophilic allergic asthma.
- 3. The  $\beta_2$ -adrenoceptor agonists are effective in preventing exercise-induced asthma.
- 4. The  $\beta_2$ -adrenoceptor agonists have no effect on mucus clearance.
- 5. Tolerance to  $\beta_2$ -adrenoceptor agonists can occur.
- 6. The mechanisms of action of theophylline are unclear.
- 7. The plasma concentration of theophylline is increased by simultaneous administration of erythromycin or ciprofloxacin.
- 8. Methylxanthines cause drowsiness.
- 9. An unwanted effect of theophylline is stimulation of the heart.
- 10. Ipratropium is more effective than salbutamol in asthma for preventing bronchospasm following a challenge with an allergen.
- 11. Tiotropium is a selective antagonist of muscarinic M<sub>2</sub> receptors.
- 12. Ipratropium causes bradycardia.
- 13. Aclidinium is poorly absorbed from the bronchi into the systemic circulation.
- 14. Leukotriene C<sub>4</sub> is an important bronchodilator released from eosinophils.
- 15. Cysteinyl-leukotrienes are important in the precipitation of asthma in people who are intolerant to NSAIDs.
- 16. Montelukast inhibits 5-lipoxygenase that converts arachidonic acid to leukotrienes.
- 17. Montelukast is only given prophylactically in asthma.
- 18. Glucocorticoids reduce eosinophil recruitment to the bronchial mucosa.
- 19. Roflumilast is a nonselective inhibitor of PDE isoenzymes.
- 20. Omalizumab binds to IgE receptors on mast cell membranes, preventing binding of allergen.

# **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. What is the most likely unwanted effect of high-dose salbutamol?
  - A. Bradycardia
  - B. Hypokalaemia
  - C. Hypoglycaemia
  - D. Mydriasis
  - E. Hypermagnesaemia
- 2. What is the mechanism of action of corticosteroids in asthma therapy?
  - A. They reduce airway inflammation by inhibiting eosinophil apoptosis.
  - B. They reduce airway narrowing by relaxing bronchial smooth muscle.
  - C. They reduce release of mast cell mediators by blocking allergen–IgE interaction.
  - D. They downregulate  $\beta$ 2-adrenoceptors on bronchial smooth muscle.
  - E. They reduce oedema by inducing endothelial tight junctions.
- 3. Which monoclonal antibody therapy for severe eosinophilic asthma targets interleukin-4 receptors?
  - A. Benralizumab
  - B. Dupilumab
  - C. Mepolizumab
  - D. Omalizumab
  - E. Reslizumab

# **EXTENDED-MATCHING-ITEM QUESTION**

Match each question statement (1–5) to the most appropriate answer option (A–G).

- A. Theophylline
- B. Celecoxib
- C. Prostaglandin D<sub>2</sub>
- D. Salbutamol
- E. Montelukast
- F. Indometacin
- G. Interleukin-5
- 1. It increases the synthesis of cAMP.
- 2. It decreases the breakdown of cAMP.
- 3. It results in an increase in leukotriene synthesis in susceptible people with asthma.
- 4. It inhibits NSAID-induced bronchoconstriction.
- 5. It causes bronchoconstriction.

# **CASE-BASED QUESTIONS**

Which option (A–H) is the most appropriate for addon treatment to the current medication prescribed in each case scenario (1–5)?

- A. Tiotropium
- B. Ciprofloxacin
- C. Salmeterol
- D. A spacer device
- E. Modified-release theophylline
- F. Intravenous magnesium sulfate
- G. Oral prednisolone
- H. Modified-release theophylline

- **Case 1.** A 25-year-old woman was admitted to the emergency department with an acute exacerbation of asthma. Her peak expiratory flow was 150 L/min. Her pulse rate was 145 beats/min, her respiratory rate was 30 per minute, her respiration was shallow and she was confused. She was treated with 60% oxygen, nebulised salbutamol, nebulised ipratropium, intravenous aminophylline and intravenous hydrocortisone. Arterial blood gases on admission, breathing air, showed PaO<sub>2</sub> 8.4 kPa, PaCO<sub>2</sub> 7.2 kPa and pH 7.29. There was little clinical improvement, and she was transferred to the intensive care unit.
- **Case 2.** A 64-year-old man had mild asthma that was well controlled, taking salbutamol up to twice a week and inhaled beclometasone twice daily. He complained of soreness of the mouth and hoarseness and was advised about oral hygiene.
- **Case 3.** A 67-year-old man had COPD with a chronic productive cough, breathlessness and exercise limitation. The cough and sputum production had not recently changed. He had stopped smoking 3 months previously because of his dyspnoea. Prior to that time, he had smoked 20 cigarettes a day for 50 years. He had no other significant medical illnesses. His FEV<sub>1</sub> was 1.34 L (about 45% of predicted). He was taking salbutamol and salmeterol, and a trial of inhaled beclometasone 3 months previously had provided no benefit and it had been stopped.
- **Case 4.** A 60-year-old woman attended the emergency department with increasing shortness of breath and production of green–yellow sputum and fever over the previous four days. She was known to have COPD.
- **Case 5.** A 30-year-old man had mild-moderate asthma and allergic rhinitis. He was taking inhaled beclometasone twice daily and using his salbutamol inhaler several times a week. Recently he had been waking most nights with a persistent cough. He was a non-smoker and had no other medical history.

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **False.** Airflow obstruction in asthma is mostly reversible, either spontaneously or as a result of treatment.
- 2. **True.** Th2 lymphocyte infiltration generates cytokines and chemokines that promote activation, recruitment and survival of eosinophils and other leucocytes, and increase the expression of IgE receptors on mast cells and basophils.
- 3. **True.** Salbutamol is effective if taken before exercise, but the longer-acting  $\beta_2$ -adrenoceptor agonists are slower in onset. Cromoglicate taken prophylactically may also be effective.
- 4. False.  $\beta_2$ -Adrenoceptor agonists increase ciliary action and mucus clearance.
- 5. **True.** Tolerance to  $\beta_2$ -adrenoceptor agonists may occur due to downregulation of their target receptor, an effect counteracted by use of corticosteroids.
- 6. **True.** Methylxanthines may bronchodilate by inhibiting PDE or blocking adenosine receptors in airway smooth muscle, whereas inhibition of histone deacetylation may account for reported anti-inflammatory effects at low doses.
- 7. **True.** Erythromycin and ciprofloxacin inhibit liver cytochrome P450 enzymes, resulting in slower metabolism of theophylline.
- 8. **False.** Methylxanthines present in tea and coffee beverages increase alertness and can cause irritability and headache.
- 9. **True.** All methylxanthines have positive inotropic and chronotropic activity and a narrow therapeutic index, with other unwanted effects including nausea.
- 10. **False.** Ipratropium is less effective as a bronchodilator in asthma but can be used as add-on therapy, whereas long-acting muscarinic antagonists (LAMA) are central to the management of COPD.
- 11. **False.** Tiotropium and other LAMA are selective antagonists of  $M_3$  receptors in airway smooth muscle, with less antagonism of inhibitory  $M_2$  autoreceptors found on parasympathetic nerves than ipratropium.
- 12. **False.** Ipratropium can cause a modest tachycardia owing to blockade of muscarinic receptors in the heart.
- 13. **True.** Aclidinium and other antimuscarinics have quaternary ammonium structures so are only poorly absorbed, minimising unwanted systemic effects.
- 14. **False.** Leukotriene  $C_4$  (and its extracellular metabolite  $LTD_4$ ) released by mast cells and eosinophils are potent bronchoconstrictors; they also increase mucus secretion, oedema and eosinophilia in the airway.
- 15. **True.** In susceptible individuals, NSAIDs that inhibit COX-1 may cause acute bronchospasm either by shunting arachidonic acid from the COX pathway to the leukotriene pathway or, more probably, by liberating the leukotriene pathway from partial inhibition by COX-1-derived prostaglandin  $E_{2}$ .
- 16. **False.** Montelukast is an antagonist of the cysteinylleukotriene receptor type 1 (CysLT<sub>1</sub>), not an inhibitor of leukotriene synthesis by 5-lipoxygenase.
- 17. **True.** Montelukast is taken once daily for prophylaxis of asthma; it may also benefit allergic rhinitis, but is not effective for treatment of acute severe asthma or COPD.
- 18. **True.** Glucocorticoids act at the transcriptional level to inhibit numerous steps in the inflammatory pathways involved in the pathogenesis of asthma, including the proliferation, recruitment,

activation and survival of eosinophils and other leucocytes.

- 19. **False.** Roflumilast is a highly selective inhibitor of PDE4, which is found in inflammatory cells.
- 20. **False.** Omalizumab binds to circulating and tissue IgE, leading to its clearance by endothelial cells. This results in a reduction in the numbers of IgE receptors on mast cells and other cells.

# **OBA ANSWERS**

- 1. **Answer B** is correct. Salbutamol is a  $\beta_2$ -adrenoceptor agonist that can produce hypokalaemia by increasing cellular uptake of K<sup>+</sup>. At high doses it may cause tachycardia not bradycardia (answer A), hyperglycaemia not hypoglycaemia (answer C) and hypomagnesaemia not hypermagnesaemia (answer E), whereas mydriasis (answer D) is not likely with a  $\beta$ -adrenoceptor agonist, as adrenergic control of pupil diameter is mediated by  $\alpha$ -adrenoceptors.
- 2. Answer E is correct. Corticosteroids induce endothelial tight junctions, which reduces vascular permeability and leucocyte migration into tissue. They reduce airway inflammation by *promoting* eosinophil apoptosis (answer A). They are *not* bronchodilators (answer B) and do not block allergen–IgE interaction (answer C). They may also *upregulate*  $\beta_2$ -adrenoceptors on bronchial smooth muscle (answer D).
- 3. **Answer B** is correct. Dupilumab targets the IL-4 receptor to block IL-4/IL-13 signalling. Benralizumab (answer A) targets the IL-5 receptor, whereas mepolizumab (answer C) and reslizumab (answer E) neutralise IL-5 itself and omalizumab (answer D) targets IgE.

# **EXTENDED-MATCHING-ITEM ANSWERS**

- 1. **Answer D** is correct. Salbutamol acts selectively on the  $\beta_2$ -adrenoceptors in airways, activating adenylyl cyclase and increasing cAMP.
- 2. **Answer A** is correct. Theophylline inhibits the breakdown of cAMP by PDE.
- 3. **Answer F** is correct. Indometacin (and other NSAIDs that inhibit COX-1) can induce bronchoconstriction in aspirin-intolerant asthma. Celecoxib, a selective COX-2 inhibitor, is unlikely to cause this reaction but should still be used with care.
- 4. **Answer** E is correct. Montelukast is a selective antagonist of  $CysLT_1$  receptors for the bronchoconstrictor cysteinyl-leukotrienes ( $LTC_4/D_4/E_4$ ), synthesis of which is triggered by nonselective NSAIDs.
- 5. **Answer C** is correct. Prostaglandin  $D_2$  is a minor bronchoconstrictor released by mast cells.

# CASE-BASED ANSWERS

**Case 1. Answer F** is correct. This woman is being treated according to the British Thoracic Society guidelines; an appropriate add-on medication for use in this life-threatening situation is intravenous magnesium sulfate.

- **Case 2**. **Answer D** is correct. This man should be advised to use a spacer with all inhaled drugs. This improves the effectiveness of the medication and will reduce deposition of corticosteroid in the mouth and oropharynx, reducing the occurrence of fungal growth and hoarseness.
- **Case 3. Answer A** is correct. He has continued symptoms despite smoking cessation, salbutamol (a short-acting bronchodilator) and salmeterol (a long-acting beta agonist, LABA). Combination treatment with a LABA and a long-acting muscarinic antagonist (LAMA), such as tiotropium, is recommended at this stage. Inhaled corticosteroids are of benefit in some people with COPD, but are also associated with an increased risk of pneumonia.
- **Case 4**. **Answer B** is correct. This woman has an infection-related exacerbation of her COPD and should be treated with an appropriate antibacterial drug.
- **Case 5. Answer C** is correct. Approximately 80% of severe asthmatic attacks occur between midnight and 8 a.m. Salbutamol is a short-acting beta-agonist (SABA), providing relief for only 2 to 6 hours. A longer-acting drug (LABA) such as salmeterol or formoterol, which provide bronchodilation for 12 hours or longer, should be considered for his nocturnal symptoms. The LABA should not be used for relief of acute asthma episodes or without a concurrent inhaled corticosteroid.

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#### Compendium of Drugs Used in Asthma or COPD.

DRUG	CHARACTERISTICS
$\beta_2$ -Adrenoceptor Agonists	
Bronchodilators, most co exacerbations; long-ac reversible airways obst	ommonly given by inhalation. Short-acting beta-agonists (SABA) are used for acute asthma cting drugs (LABA), some with ultralong durations of action, are used for chronic management of truction in asthma and COPD.
Bambuterol	Prodrug slowly converted to terbutaline in plasma. Used rarely for asthma; not recommended for children or in pregnancy. Given orally.
Ephedrine	Direct- and indirect-acting nonselective sympathomimetic; converted to norephedrine. Given orally, but not recommended.
Formoterol	LABA. Given by inhalation for management of chronic asthma in people using an inhaled corticosteroid. Available in combination with beclometasone, fluticasone, or budesonide, or with beclometasone and glycopyrronium, or with aclidinium.
Indacaterol	Ultra-long-acting (half-life 40–52 h). Used for maintenance treatment of COPD. Given by inhalation. Also available combined with glycopyrronium.
Olodaterol	Ultra-long-acting. Used for maintenance treatment of COPD. Also available combined with tiotropium.
Salbutamol	SABA. Given by inhalation, orally, intravenously or subcutaneously. Also available combined with ipratropium.

# Compendium of Drugs Used in Asthma or COPD—cont'd.

DRUG	CHARACTERISTICS
Salmeterol	LABA. Given by inhalation for management of chronic asthma only in people using an inhaled corticosteroid. Also available in combination with fluticasone.
Terbutaline	SABA. Given by inhalation, orally, intravenously or subcutaneously.
Vilanterol	Ultra-long-acting. Given by inhalation. Available <i>only</i> in combination with fluticasone, or with umeclidinium, or with both fluticasone and umeclidinium.
Antimuscarinics	
Muscarinic antagonists r airway. Long-acting m	educe mucus secretion and bronchoconstriction. Given by inhaler; little drug is absorbed from the uscarinic antagonists (LAMA) are selective for $M_3$ receptors, allowing higher doses.
Aclidinium bromide	Long-acting muscarinic antagonist (LAMA). Used for maintenance treatment of COPD. Also available combined with formoterol.
Glycopyrronium	LAMA, used for maintenance treatment of COPD. Also available combined with indacaterol, or with formoterol and beclometasone.
Ipratropium bromide	Nonselective, short-acting muscarinic antagonist (SAMA). Used by inhaler for short-term relief in asthma and COPD, and intranasally in rhinitis. Also available combined with salbutamol.
Tiotropium	LAMA, used for COPD in adults, and with inhaled corticosteroids and long-acting β <sub>2</sub> -agonist (LABA) bronchodilators in poorly controlled asthma. Also available combined with olodaterol. Very long half-life (5–6 days).
Umeclidinium	LAMA, used for maintenance treatment of people with COPD. Also available in combination with vilanterol, or with vilanterol and fluticasone.
Methylxanthines	
Reduce bronchoconstric	tion by nonselective inhibition of PDE and adenosine receptor antagonism.
Aminophylline	Mixture of theophylline and ethylenediamine improves solubility. Given orally, or by intravenous injection for acute severe asthma.
Theophylline	Given orally, usually as a modified-release preparation, for asthma prophylaxis.
Magnesium Sulfate	
Magnesium sulfate	Smooth muscle relaxant. Given intravenously in life-threatening acute asthma in adults.
Corticosteroids	
Given by inhalation for ch hydrocortisone given c	nronic asthma and COPD. See Chapter 44 for other corticosteroids such as prednisolone and brally or intravenously in acute asthma.
Beclometasone dipropionate	Converted to highly active monopropionate. Inhaled using aerosol or powder formulation; also available in combination with formoterol, or with formoterol and glycopyrronium.
Budesonide	Inhaled using aerosol or powder formulation. Also available in combination with formoterol.
Ciclesonide	Prodrug converted by intracellular esterases to its active metabolite. Inhaled using powder formulation.
Fluticasone propionate	Inhaled using aerosol or powder formulation; any swallowed dose undergoes 100% first-pass hepatic metabolism. Also available combined with salmeterol, or with formoterol, or with vilanterol and umeclidinium, and as a nasal spray with azelastine for allergic rhinitis.
Mometasone furoate	Inhaled using powder formulation (not recommended for children under 12 years) and intranasally for rhinitis.
Leukotriene Receptor Anta	gonist
Bronchodilator and antiir	nflammatory drug used prophylactically in chronic asthma.
Montelukast	Leukotriene D <sub>4</sub> receptor (CysLT <sub>1</sub> ) antagonist. Given orally at bedtime.
Phosphodiesterase Type 4	Inhibitor
Roflumilast	Selective PDE4 inhibitor used in bronchitic exacerbations of severe COPD. Given orally.
Antibodies Targeting Type	2 Inflammatory Cytokines or IgE
Used as add-on therapy treated before use.	in severe eosinophilic asthma poorly-controlled by other medications. Helminthic infections should be
Benralizumab	Humanised monoclonal antibody targeted against IL-5 receptor subunit. Given subcutaneously at 4-week intervals then at 8-week intervals, for severe eosinophilic asthma uncontrolled by high- dose inhaled corticosteroids and long-acting beta-2 agonists.
Dupilumab	Recombinant human anti–IL-4/IL-13 receptor monoclonal antibody. Given subcutaneously at 2-week intervals for severe asthma with type 2 inflammation.

# Compendium of Drugs Used in Asthma or COPD-cont'd.

DRUG	CHARACTERISTICS
Mepolizumab	Humanised anti-IL-5 monoclonal antibody. Given subcutaneously at 4-week intervals, for severe refractory eosinophilic asthma.
Omalizumab	Recombinant monoclonal antibody; binds to human IgE and prevents activation of IgE receptors on mast cells and other cells. Given by subcutaneous injection every 2–4 weeks in severe, uncontrolled allergic asthma.
Reslizumab	Humanised anti–IL-5 monoclonal antibody. Given by intravenous infusion for severe refractory eosinophilic asthma in adults.
Macrolide Antibiotic (see C	hapter 51)
Azithromycin	Unlicensed prophylactic use. Given orally. Reduces exacerbation frequency in COPD, and possibly in asthma unresponsive to high-dose inhaled corticosteroids. Long duration of action.
Cromones	
Antiallergic drugs ('mast d	cell stabilisers'). Given by inhaler; minimal absorption from lung.
Nedocromil sodium	Used for asthma prophylaxis.
Sodium cromoglicate	Used for asthma prophylaxis.

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COPD, Chronic obstructive pulmonary disease; IgE, immunoglobulin E; IL, interleukin; PDE, phosphodiesterase.

# AL GRAWANY

# Respiratory Disorders: Cough, Respiratory Stimulants, Cystic Fibrosis, Idiopathic Pulmonary Fibrosis and Neonatal Respiratory Distress Syndrome

# 13

# **Chapter Outline**

#### Cough 211

Drugs for Treatment of Cough 212 Management of Cough 212 Respiratory Stimulants (Analeptic Drugs) 213 Cystic Fibrosis 213 CFTR Modulators 213 Treatment of Lung Disease 214 Treatment of Malabsorption 214

# COUGH

Cough is a protective mechanism that removes excessive mucus, abnormal substances such as fluid or pus, or inhaled foreign material from the upper airways. Epithelial ciliary action and bronchial peristalsis initially move foreign material from smaller airways to the main bronchi and the bifurcation of the trachea, from where it can be more easily expelled. A cough is initiated by a short inspiration, followed by brief closure of the glottis. Forced expiration against the closed glottis raises intrathoracic pressure, and sudden opening of the glottis expels air at a rate up to 85% of the speed of sound, which is sufficient to dislodge secretions and debris from the airway surface. The high flow rates produce vibration of upper airway structures and the typical sound of coughing. Coughing is under both voluntary and involuntary control.

The cough reflex is initiated by irritant receptors located at the epithelial surface of the airway mucosa, which can be activated by either chemical or mechanical stimuli. Rapidly adapting pulmonary stretch receptors that respond mainly to mechanical stimuli may be of primary importance in producing the cough reflex. Receptors responsible for cough are mainly found on the pharynx, trachea and where the trachea branches into the main bronchi, although they are also present in lesser numbers in other parts of the upper airway and external ear. Peptides such as bradykinin produced following viral infection or allergen challenge probably sensitise the sensory arm of the cough reflex.

Afferent fibres from the cough receptors travel via the vagus and superior laryngeal nerves to a loose medullary 'cough network'. Neuronal pathways connect this network to the respiratory pattern generator, from where efferent fibres travel in the vagus nerve to the glottis and pharynx and in various somatic nerves to respiratory muscles to initiate the cough. Projections Idiopathic Pulmonary Fibrosis 214 Neonatal Respiratory Distress Syndrome 215 Self-Assessment 215 Answers 216 Further Reading 216 Compendium of Drugs Used to Treat Cough and Other Respiratory Disorders 216

from the cerebral cortex to the medulla can also initiate cough in response to a sensation of airway irritation (voluntary cough) and also modulate the cough reflex.

Numerous mediators are involved in the cough reflex pathways in the medulla. Opioid receptors have an inhibitory action on the cough reflex, but do not appear to be involved in voluntary cough. The complexity of these pathways is illustrated by the number of mediators and antagonists that can experimentally initiate or inhibit cough.

Coughing has several diverse causes (Box 13.1). A cough is considered useful if it aids clearing excess secretions or inhaled foreign matter from the airway, but an unproductive cough has no useful function. An effective cough that can clear the airway depends on the ability to generate high airflow and the presence of loose secretions. An ineffective cough may result from respiratory muscle weakness, or when the mucus on the airway wall is thick and adhesive.

There are three clinical categories of cough:

- acute cough, lasting less than 3 weeks;
- subacute cough, lasting 3 to 8 weeks;
- chronic cough, lasting more than 8 weeks.

Acute cough is most often caused by acute viral upper respiratory tract infection (the common cold), and most subacute cough also follows an initial viral infection. Chronic productive cough is usually related to smoking or bronchiectasis. A new, persistent cough with no obvious cause may be due to lung cancer. The most common causes of a chronic nonproductive cough in nonsmokers are upper airway cough syndrome (also called postnasal drip syndrome), asthma and gastro-oesophageal reflux disease. Persistent dry cough occurs in 10% to 30% of people who take an angiotensin-converting enzyme (ACE) inhibitor (see Chapter 6). In some cases, no cause can be found for cough reflex hypersensitivity.

# Box 13.1 Common Causes of Cough.

Acute respiratory infection
Upper respiratory tract infection
Pneumonia, including aspiration
Chronic respiratory infection
Cystic fibrosis
Bronchiectasis
Postnasal drip (upper airway cough syndrome)
Airway disease
Asthma
Chronic obstructive pulmonary disease
Parenchymal lung disease
Interstitial fibrosis
Irritants
Cigarette smoke
Inhaled foreign body
Bronchopulmonary malignancy
Drug-induced
Angiotensin-converting enzyme (ACE) inhibitors
Inhaled drugs

#### DRUGS FOR TREATMENT OF COUGH

#### **Antitussives (Cough Suppressants)**

Cough suppressants fall into three classes.

#### Centrally acting drugs (opioids)

Opioids increase the threshold for stimulation of neurons in the medullary cough network and probably modulate a gating mechanism in the brain analogous to that identified for pain reception. They are most effective for cough arising from the lower airways. Weak opioid analgesics (see Chapter 19) are most commonly used, especially codeine. Morphine is used for cough in terminal conditions. Dextromethorphan is an antitussive that is structurally related to opioids, but is a glutamate *N*-methyl-D-aspartate (NMDA) receptor antagonist with no analgesic or sedative action.

## Peripherally acting drugs

Local anaesthetics such as lidocaine (see Chapter 18) are used as an oropharyngeal spray to reduce the cough and gag reflexes during bronchoscopy. Antihistamines (see Chapter 39) reduce postnasal drip from allergic rhinitis, which can stimulate cough, but they have no direct antitussive activity. Nevertheless, sedative antihistamines such as diphenhydramine are commonly used in compound cough preparations on sale direct to the public.

#### Locally acting drugs

Demulcents line the surface of the upper airway above the larynx, reducing local irritation. Many cough lozenges and the syrup in simple linctus act by this mechanism.

#### Expectorants

*Expectorants* such as guaifenesin and squill are often included in compound cough preparations (sometimes together with a cough suppressant!), with the intention

of making cough more effective by increasing the volume of mucus secretion. There is no evidence that they have any clinical value.

#### **Mucolytics**

*Mucolytics* such as erdosteine and carbocisteine reduce the viscosity of bronchial secretions by breaking disulfide crosslinks between mucin monomers, but there is no evidence that they improve the ability to expectorate sputum. Taken orally, mucolytics may reduce the frequency of exacerbations in chronic obstructive pulmonary disease and bronchiectasis, but this is probably more related to their antioxidant properties in scavenging reactive oxygen species (ROS).

*Dornase alfa* (recombinant human deoxyribonuclease I, or rhDNase I) is an enzyme that digests extracellular DNA. In cystic fibrosis, there is almost no mucin in the airway secretions, which largely comprises a polymeric network of DNA from degraded inflammatory cells and F-actin. Dornase alfa is given to people with cystic fibrosis by inhalation using a jet nebuliser and reduces sputum viscosity and adhesiveness. Unwanted effects such as transient pharyngitis and hoarseness can occur.

*Hypertonic sodium chloride* (typically in a concentration of 5%–7%, given by nebuliser) reduces sputum viscosity by increasing Na<sup>+</sup> concentration in the airway surface liquid, which draws water into the airway. In addition, it disrupts ionic bonds in the mucus gel, which may reduce cross-linking and entanglement. It is used in conditions associated with impaired airway clearance, including cystic fibrosis, bronchiectasis, and in critically ill people receiving invasive mechanical ventilation.

#### MANAGEMENT OF COUGH

An acute cough should be treated only if it is unproductive or excessive. A self-limiting nonproductive acute cough, such as that caused by a viral illness, can be suppressed by simple linctus or a weak opioid given for a maximum of 5 days. Sedative antihistamines in compound cough preparations should not be given to children under the age of 6 years. Codeine should be avoided in children under the age of 12 years as it is variably metabolised to morphine and rapid metabolisers may develop unpredictable excessive respiratory depression.

In chronic cough, treatment should be directed at the underlying cause. Specific treatment for coughvariant asthma, postnasal drip and gastro-oesophageal reflux will often resolve cough associated with these conditions. Cough is a common unwanted effect of ACE inhibitors (see Chapter 6). It sometimes appears only a few hours after starting treatment, but can first arise after several months of treatment. A trial of substituting the ACE inhibitor for an angiotensin receptor blocker should always be undertaken before pursuing alternative treatments or invasive investigations. Chronic nonproductive cough in terminal lung cancer can be treated with a powerful opioid such as morphine. If an underlying cause for a chronic cough is not found, symptomatic therapy is frequently ineffective.

### **RESPIRATORY STIMULANTS (ANALEPTIC DRUGS)**

*Doxapram* stimulates the medullary respiratory centre both by a direct action and by peripheral stimulation of the carotid body. It increases respiratory drive and arousal, and increases both rate and depth of ventilation. Given by intravenous injection, its action is very brief owing to rapid metabolism by the liver, and a continuous infusion is needed for a more prolonged effect. Restlessness, muscle twitching and vomiting are common unwanted effects. Seizures can occur due to generalised stimulation of the central nervous system. With noninvasive ventilation now being widely available, and with its clear benefits and well-established place in therapy, there is little role for doxapram in the management of hypercapnoeic respiratory failure.

Acetazolamide is a carbonic anhydrase inhibitor that stimulates the respiratory centre by creating a mild metabolic acidosis. It is used in the prevention and management of acute altitude sickness (see also Chapter 14).

# **CYSTIC FIBROSIS**

Cystic fibrosis is an autosomal recessive disorder caused by a single gene mutation on the long arm of chromosome 7. This gene encodes the cystic fibrosis transmembrane conductance regulator (CFTR), a cAMP-regulated Cl<sup>-</sup> and HCO<sub>3</sub><sup>-</sup> channel in the apical membranes of many epithelial cells. The channel requires adenosine triphosphate to open it, and then permits passive movement of ions out of the cell along electrochemical gradients. In the airway epithelium, CFTR also inhibits nearby Na<sup>+</sup> channels. If the CFTR gene is faulty, then the function of the transporter is impaired or absent and Cl- transport is defective in epithelial cells in many organs, including the respiratory, hepatobiliary, gastrointestinal and reproductive tracts, and the pancreas. As a result of the defective ion flows, secretions become thicker. This causes obstruction in (and destruction of) exocrine glandular ducts, and in the lungs, it clogs respiratory cilia with mucus. There are six classes of CFTR gene mutations, grouped according to their known or putative effects on the CFTR protein, and around 2000 individual gene mutations have been found. The various classes of mutation confer different severities of disease. Other genes also modify the effects of the CFTR gene and contribute to the phenotypic variability of the condition.

The major cause of morbidity and mortality in cystic fibrosis is lung disease. The airway epithelium normally protects against infection and toxins by providing a mechanical barrier, participating in the innate immune system and initiating the adaptive immune response. The mucus secreted onto the respiratory epithelium in healthy lungs has an inner, low viscosity layer to permit free ciliary motion and a thicker outer layer that traps pathogens and particles. In cystic fibrosis, the periciliary liquid layer of the mucus is defective, which makes it more viscous and renders the cilia ineffective. The resulting stasis permits accumulation of mucus, debris and pathogens, and creates an environment for bacterial colonisation. The consequence is persistent endobronchial bacterial infection and a chronic inflammatory response in the airway, punctuated by recurrent episodes of acute infection. Together, these lead to progressive bronchiectasis and chronic airflow obstruction.

The other most common and disabling clinical consequence of cystic fibrosis, affecting about 90% of those with the condition, is pancreatic exocrine insufficiency. Viscous secretions obstruct the pancreatic ductules and prevent pancreatic enzymes from reaching the gut, leading to fat malabsorption. About 30% also develop pancreatic fibrosis and replacement of pancreatic tissue with fat that produces endocrine insufficiency and type 1 diabetes mellitus. Less commonly, people with cystic fibrosis develop meconium ileus in infancy or obstructive biliary tract disease in adult life.

Death in 90% of people with cystic fibrosis is due to progressive lung disease, but median life expectancy has risen steadily to about 41 years due to improved treatment.

# **CFTR MODULATORS**

Examples

elexacaftor, ivacaftor, lumacaftor, tezacaftor

#### **Mechanism of Action and Uses**

Treatment of cystic fibrosis in early years would ideally be directed at improving the function of the CFTR channel. Ivacaftor is a CFTR potentiator. It increases the time that the activated CFTR channel remains open, enhancing chloride ion transport in those with class III (defective channel regulation) gene mutations (about 5% of those with cystic fibrosis). It improves lung function, reduces exacerbations of lung disease and promotes weight gain. CFTR correctors, such as lumacaftor and tezacaftor, increase trafficking of CFTR protein to the cell surface. Although these might be expected to be beneficial in people with defects of CFTR trafficking (class II, including the most common F508del mutation), they are not effective because the mutant CFTR is also poorly functional. Using a corrector and potentiator together may have a synergistic effect in both increasing CFTR trafficking to the cell surface and potentiating its function. The combination of tezacaftor and ivacaftor has been shown to reduce the frequency of exacerbations of lung disease and improve lung function and quality of life in people who are homozygous for the F508del

mutation. It appears to be modestly effective in people who are heterozygous for the F508del mutation (where the second CFTR mutation does not respond to CFTR modulators). The addition of the next-generation corrector elexacaftor (i.e. elexacaftor-tezacaftor-ivacaftor triple therapy) may be more efficacious, but elexacaftor is not licensed in the UK at the time of writing. Recent National Institute for Health and Care Excellence recommendations limiting the use of CFTR correctors mean that the place of these drugs in cystic fibrosis management in the UK remains to be defined.

#### **Pharmacokinetics**

The CFTR modulators tezacaftor and ivacaftor are taken orally. They are metabolised in the liver by cytochrome P450 (CYP)3A4/5 to active metabolites. The parent drug and its metabolites are excreted primarily in faeces. They have long half-lives of 15 to 30 hours that permit once-daily dosing. Lumacaftor is not metabolised and mostly eliminated unchanged in faeces. It is a strong inducer of CYP3A4 and thus interacts with the other CFTR modulators (and other drugs) when given in combination.

#### **Unwanted effects**

The CFTR modulators are generally well tolerated. Headache, diarrhoea and upper respiratory tract infection are the most common unwanted effects. The combination of lumacaftor–ivacaftor is associated with increased respiratory adverse events in a subset of people, and drug–drug interactions caused by strong CYP3A4 induction.

#### TREATMENT OF LUNG DISEASE

Use of a CFTR modulator should be considered in people with responsive CFTR genotypes. Beyond this, much of the treatment for cystic fibrosis remains supportive, including physiotherapy. Nebulised hypertonic sodium chloride improves mucociliary clearance and reduces the frequency of infective exacerbations. It can sometimes produce bronchospasm, which can be prevented by prior use of an inhaled  $\beta_2$ -adrenoceptor agonist such as salbutamol (see Chapter 12). Airway obstruction is treated in the same way as chronic obstructive pulmonary disease (see Chapter 12).

DNA released from dying neutrophils in the airways contributes to the increased sputum viscosity in cystic fibrosis. Inhaled dornase alfa improves lung function in the short- to medium-term (although long-term benefits are much less certain) and results in fewer exacerbations of lung disease. Improved lung function should be measurable after 2 weeks in responders. Hypertonic sodium chloride is an alternative option to improve sputum clearance, the action of which may be complementary to the effect of dornase alfa. A short-acting bronchodilator such as salbutamol (see Chapter 12) should be administered immediately before hypertonic sodium chloride to mitigate bronchospasm.

Prevention of infection and cross-infection (especially from other people with cystic fibrosis and during hospital admission) is a key element in the management of cystic fibrosis. Rapid and intensive treatment of clinical infection slows the decline in lung function and is the main reason for the prolongation of life expectancy in recent years. The most common lung pathogens in the very young person with cystic fibrosis are Staphy*lococcus aureus* (50%), *Haemophilus influenzae* (30%) and Pseudomonas aeruginosa (20%). In the first three years of life, prophylactic antistaphylococcal therapy with flucloxacillin is usually given to reduce exacerbations of lung disease (see Chapter 51). By adolescence, P. aeruginosa becomes the predominant pathogen. Colonisation with *P. aeruginosa* can be prevented or reduced by regular treatment with inhaled nebulised tobramycin or nebulised colistimethate sodium combined with oral ciprofloxacin. Exacerbations of infection require oral or intravenous antimicrobials (see Chapter 51).

Because inflammation is a major component of the airway disease, several antiinflammatory therapies have been studied. Oral corticosteroids reduce the rate of decline in lung function and reduce the frequency of infections, but unwanted effects preclude their long-term use. Inhaled corticosteroids do not improve lung function unless there is associated airway hyper-reactivity.

#### TREATMENT OF MALABSORPTION

Nutritional supplements are important because of the frequency of fat malabsorption and impaired absorption of fat-soluble vitamins. Pancreatic enzyme supplements (Pancreatin) are used from the diagnosis of pancreatic insufficiency. Pancreatin contains protease, lipase and amylase, enzymes which are inactivated by gastric acid and by heat. Supplements should be taken with food (but not mixed with very hot food) and either with gastric acid suppression therapy (e.g. given 1 hour after a histamine H<sub>2</sub> receptor antagonist such as ranitidine; see Chapter 33) or as enteric-coated formulations to protect them from gastric acid. Pancreatin preparations in clinical use are all of porcine origin. Dosage is adjusted according to the size, number and consistency of stools. Unwanted effects include irritation of the mouth and perianal skin, nausea, vomiting and abdominal discomfort. Some higher-strength formulations of pancreatin should be avoided in children under 15 years of age with cystic fibrosis because they have been associated with the formation of large bowel strictures.

Pancreatin is also used for pancreatic exocrine insufficiency following pancreatectomy, gastrectomy or chronic pancreatitis.

# **IDIOPATHIC PULMONARY FIBROSIS**

Pulmonary fibrosis is a form of interstitial lung disease. It has a characteristic histological finding of usual interstitial pneumonia (fibrotic foci surrounded by normal lung tissue), which may result from aberrant wound healing following repetitive alveolar cell epithelial injury. Median survival after diagnosis varies from 2 to 3.5 years, with a slow progressive decline in respiratory function.

There are no curative therapies. Pirfenidone has antiinflammatory and antifibrotic actions, and when used in mild to moderate disease, slows progression of the fibrosis. It may act by inhibition of transforming growth factor- $\beta$  and altering collagen synthesis. Gastrointestinal unwanted effects and photosensitive rash are the most common problems encountered with treatment. Nintendanib is an orally administered tyrosine kinase inhibitor that targets growth factor receptors that are involved in the fibrotic process (see Chapter 52). It slows the progression of fibrosis and may reduce acute exacerbations. The most common unwanted effects are diarrhoea, nausea and vomiting, abdominal pain, decreased appetite and weight loss.

Acute exacerbations of symptoms are treated with a corticosteroid. When there is hypoxaemia at rest or desaturation with physical activity, supplemental oxygen therapy can improve symptoms and quality of life. Lung transplantation may be considered.

# NEONATAL RESPIRATORY DISTRESS SYNDROME

Pulmonary surfactant is responsible for reducing surface tension at the air-liquid interface in the alveoli, preventing lung collapse at resting lung pressures. Surfactant is a macromolecular complex largely composed of phospholipids (80%-85%), mainly phosphatidylcholine of which dipalmitoylphosphatidylcholine is the major surface-active component, in addition to neutral lipids (5%-10%) and surfactant-specific proteins A–D (5%–10%). The phospholipid forms a monolayer at the air-liquid interface which displaces water and reduces the force of attraction between the water molecules that promotes collapse of alveoli at endexpiration. This stabilises the alveoli by reducing the deflating force during expiration. Surfactant proteins B and C are hydrophobic and involved in spreading of the surfactant layer at the air-liquid interface. The hydrophilic surfactant proteins A and D are involved in surfactant metabolism and in host defence by enhancing phagocytosis of pathogens.

Surfactant is synthesised by type II alveolar epithelial cells and is normally present in substantial amounts in the lungs at full-term delivery. However, preterm infants (especially those born at or before 28 weeks' gestation) have immature lungs which may produce too little surfactant. These infants develop difficulty breathing within minutes or hours of delivery, a condition called neonatal respiratory distress syndrome.

Mortality is high in neonatal respiratory distress syndrome. In women at risk of preterm delivery, a corticosteroid such as betamethasone (see Chapter 44) given to the mother at least 12 hours, and preferably 48 hours, before delivery can enhance pulmonary maturity in the neonate which may prevent neonatal respiratory distress syndrome.

Surfactant is given as soon as possible after delivery to infants with neonatal respiratory distress syndrome, or to those considered to be at high risk of developing it. Surfactant combined with noninvasive ventilation reduces the risk of death by 40%. Treatment also reduces the risk of pneumothorax and of subsequent chronic lung disease. Delivery of surfactant was originally via an endotracheal tube, but techniques that avoid intubation such as aerosols or delivery via a thin plastic endotracheal catheter are becoming more common.

There are two natural therapeutic surfactants: beractant (bovine lung extract) and poractant alfa (porcine lung phospholipid fraction). Synthetic compounds have been developed with peptides that mimic the natural surfactant proteins, but these appear to be less effective. Therapeutic use of pulmonary surfactants has been associated with intrapulmonary haemorrhage in neonates.

Pulmonary surfactant is also useful in neonates with meconium aspiration syndrome and in acute neonatal respiratory distress due to group B streptococcal sepsis. There is some evidence of improved outcomes when pulmonary surfactant is given to children with acute respiratory distress syndrome due to acute lung injury.

# SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Postviral cough can last for 3 to 6 weeks.
- 2. Angiotensin II receptor antagonists frequently cause cough.
- 3. Many compound cough preparations sold overthe-counter contain sedating antihistamines.
- 4. Dextromethorphan is a synthetic opioid with cough suppressant, sedative and analgesic activity.
- 5. There is little evidence that any preparation can specifically facilitate expectoration.
- 6. Pulmonary surfactant increases surface tension in the alveoli.
- 7. Therapeutic surfactant is identical to natural human surfactant.
- 8. Doxapram is a widely used treatment for type 2 respiratory failure.
- 9. The mucolytic carbocisteine acts by inhibiting the production of mucus.
- 10. Dornase alfa breaks down extracellular DNA in sputum.
- 11. Ivacaftor improves CFTR function in over 90% of people with cystic fibrosis.
- 12. Pirfenidone is used in idiopathic pulmonary fibrosis (IPF).

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **True.** Treatment of postviral cough should include increased humidity of inspired air and cough suppressants; other drugs are of little value.
- 2. False. Angiotensin II receptor antagonists do not cause cough. However, inhibitors of ACE, which reduce the formation of angiotensin II, also prevent the breakdown of bradykinin and this causes cough in up to 30% of people.
- 3. **True.** Diphenhydramine and chlorphenamine are common constituents of over-the-counter cough mixtures.
- 4. **False.** Dextromethorphan has the same coughsuppressant potency as codeine but does not share its sedative or analgesic effects; dextromethorphan may act by blocking *N*-methyl-D-aspartate (NMDA) receptors.
- 5. **True.** Expectorants may nevertheless serve a useful placebo function.
- 6. **False.** Surfactant has a detergent-like action to lower surface tension, enabling the alveoli to expand and retain an expanded shape.
- 7. **False.** Therapeutic surfactants such as beractant and poractant alfa are animal products lacking the two hydrophilic surfactant proteins, SP-A and SP-D.
- 8. **False.** Doxapram is rarely used. Noninvasive ventilation is preferred in most cases of acute type 2 respiratory failure. Occasionally, doxapram is used to treat transient hypoventilation during emergence from general anaesthesia. It stimulates the respiratory centre and the carotid chemoreceptors.
- 9. **True.** Mucolytics such as erdosteine and carbocisteine reduce mucus viscosity by breaking the disulphide cross-bridges that maintain the polymeric gel-like structure of mucus, but scavenging of oxidants may also be important.

- 10. **True.** Dornase alpha is a recombinant deoxyribonuclease that digests DNA released by dying neutrophils in sputum; it is given by nebuliser to reduce viscosity of lung secretions in cystic fibrosis.
- 11. **False.** Ivacaftor improves CFTR function only in the 4% to 5% of people with cystic fibrosis with the G551D mutation.
- 12. **True.** Pirfenidone has antiinflammatory and antifibrotic activity in IPF.

# **FURTHER READING**

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# Compendium of Drugs Used to Treat Cough and Other Respiratory Disorders.

· · ·	
DRUG	CHARACTERISTICS
Cough Suppressants	
Cough suppressants lis antihistamines (see C	ted here are opioid derivatives (see Chapter 19), usually given orally as a linctus. Sedating Chapter 39) are also sometimes given for cough suppression.
Codeine	Use is associated with constipation.
Dextromethorphan	Fewer unwanted effects than codeine.
Methadone	Used mainly in palliative care for the distressing cough of terminal lung cancer (but less than other opioids).
Morphine	Used in palliative care for the distressing cough of terminal lung cancer.
Pholcodine	Fewer unwanted effects than codeine. Mild sedative activity. Not recommended in children under 6 years.

DRUG	CHARACTERISTICS
Mucolytics	
Carbocisteine	Reduces mucus viscosity and inhibits goblet cell hyperplasia; used in COPD and bronchiectasis, given orally.
Dornase alfa	Recombinant human deoxyribonuclease preparation used for cystic fibrosis, given by nebuliser. Not detectable in blood, but sputum activity persists for at least 6 hours.
Erdosteine	Reduces mucus viscosity by breaking cross-links in mucus glycoproteins. Used in COPD and bronchiectasis; given orally as a prodrug.
Respiratory Stimulant	
Doxapram	Stimulates carotid chemoreceptors; used only under expert supervision for short-term treatment of ventilatory failure, given by intravenous injection (over 30 s) or by continuous intravenous infusion.
CFTR Modulators	
CFTR potentiators enha with ivacaftor may ind	ance CI <sup>-</sup> transport in patients with class II mutations in the CFTR gene; CFTR correctors in combination crease trafficking of CFTR protein to the cell surface.
Elexacaftor	Next-generation CFTR corrector (not currently listed in BNF, Nov 2020).
Ivacaftor	CFTR potentiator; given orally. Used in cystic fibrosis associated with the G551D mutation.
Lumacaftor	CFTR corrector; available only in combination with ivacaftor; given orally. Developed for those aged 12 years and older who are homozygous for the F508del mutation in the CFTR gene. Not currently recommended for cystic fibrosis (CF) in UK.
Tezacaftor	CFTR corrector; available only in combination with ivacaftor; given orally. Developed for those aged 12 years and older who are homozygous for the F508del mutation or a number of other CFTR mutations). Not currently recommended for CF in Scotland.
Pancreatic Enzyme Supp	lement
Pancreatin	Mixture of porcine lipase, amylase and protease enzymes that break down fat, starch and proteins. Used for pancreatic insufficiency in CF; given orally with food and an H <sub>2</sub> receptor antagonist to suppress gastric acid.
Antifibrotics	
Pirfenidone	Antiinflammatory and antifibrotic drug; reduces fibroblast proliferation and collagen deposition. Given orally for mild-to-moderate idiopathic pulmonary fibrosis in adults.
Nintendanib	Tyrosine kinase inhibitor (see Chapter 52); blocks multiple growth factor receptors involved in fibrosis. Given orally for idiopathic pulmonary fibrosis in adults.
Pulmonary Surfactants	
Used for respiratory dis	stress in preterm infants.
Beractant	Bovine surfactant lipids, given by endotracheal tube; alveoli are stabilised against collapse by reduction of surface tension, without systemic absorption of the surfactant.
Poractant alfa	Porcine surfactant lipids; see beractant.

# Compendium of Drugs Used to Treat Cough and Other Respiratory Disorders-cont'd.

CFTR, Cystic fibrosis transmembrane conductance regulator; COPD, chronic obstructive pulmonary disease.

# 14 Diuretics

# **Chapter Outline**

# Functions of the Kidney 218

The Kidney and Maintenance of Salt and Water Balance 218

# Diuretic Drugs 221

Loop Diuretics 221 Thiazide and Related Diuretics 222 Potassium-Sparing Diuretics 223 Carbonic Anhydrase Inhibitors 224 Osmotic Diuretics 224 Management of Diuretic-Induced Hypokalaemia 224 Major Uses of Diuretics 225 Self-Assessment 226 Answers 227 Further Reading 228 Compendium of Diuretic Drugs 228

Almost all diuretics act on the kidney to increase the tubular concentration and elimination of Na<sup>+</sup> ions (natriuresis), with a concurrent excretion of water. Loss of water with the Na<sup>+</sup> ions depletes intravascular volume.

# FUNCTIONS OF THE KIDNEY

The kidney has several important functions:

- regulation of plasma fluid volume, electrolyte concentrations and osmolarity;
- regulation of acid–base balance;
- elimination of waste products (mainly nitrogencontaining compounds);
- conservation of essential nutrients, such as glucose and amino acids;
- production and secretion of hormones;
- gluconeogenesis.

A basic knowledge of the mechanisms of electrolyte and fluid handling by the kidney is essential for understanding the uses and unwanted effects of diuretics. The following account is simplified to emphasise the functions relevant to the actions of diuretics.

# THE KIDNEY AND MAINTENANCE OF SALT AND WATER BALANCE

Each day the renal glomeruli of a healthy adult filter about 140 to 170 L of fluid from plasma, along with ions and small molecules. This is about 20% of the volume of plasma that enters the glomerular capillaries. As the urine output is only 2 to 3 L/day, it is clear that most of the filtered fluid and solutes are reabsorbed from the tubule into the blood. Different regions of the tubule and collecting duct vary in their capacity to reabsorb water and solutes (Figs. 14.1 and 14.2).

#### The Proximal Convoluted Tubule

In the proximal convoluted tubule, up to 65% of the filtered Na<sup>+</sup> is reabsorbed together with an equivalent (iso-osmotic) amount of water. Therefore, on leaving the proximal tubule, the tubular fluid still has the same osmolality as plasma. Reabsorption of ions from the proximal tubule into the renal tubular cells is passive (see Fig. 14.1, site 1). The activity of the  $Na^+/K^+$ -ATPase pump on the basolateral surface of the tubular cell (transporting three Na<sup>+</sup> ions out of the tubular cell in exchange for two K<sup>+</sup> ions) helps establish the electrochemical gradient for passive Na<sup>+</sup> reabsorption from the tubular lumen into the tubule cell. Water reabsorption occurs via transmembrane aquaporin (AQP) 1 and 7 channels. The passage of water through these channels from the lumen of the proximal renal tubule is driven by the osmotic gradient across the tubular cells, driven by the high osmotic pressure in peritubular capillaries following filtration of protein free plasma fluid at the glomerulus. The extent of the proximal tubular reabsorption of Na<sup>+</sup> and water is also influenced by various neural and hormonal influences, such as the sympathetic nervous system, angiotensin II, endothelin and dopamine. Most of the filtered K<sup>+</sup> and Cl<sup>-</sup> ions are also reabsorbed by the proximal tubule.

The proximal tubule has organic anion transporters (OATs) that secrete organic anions into the tubular lumen (see Fig. 14.1, site 1; see also Table 2.1) and other transporters that reabsorb water-soluble essential nutrients, such as water-soluble vitamins, glucose and amino acids,



Fig. 14.1 Transport mechanisms for solutes in the kidney. In all segments of the renal tubule, there is active transport of Na<sup>+</sup> out of and K<sup>+</sup> into the cell across the basolateral membrane using Na<sup>+</sup>/K<sup>+</sup>-ATPase proton pumps. This sets up electrochemical gradients for the transport of other ions. In the proximal tubule (sites 1 and 2), considerable amounts of Na\*, glucose and amino acids are taken up from the lumen, along with water which crosses via aquaporin (AQP) channels. The principal function of the organic anion transporters (OATs) at site 1 is the elimination of metabolites of ingested xenobiotics (see Chapter 2). Transport by OATs also enables diuretic drugs such as furosemide and bendroflumethiazide to gain access to their sites of action on apical membranes in the tubule. Hydrogen ions are excreted in exchange for Na\* uptake and this, in part, depends upon the activity of carbonic anhydrase (site 2). In the ascending limb of the loop of Henle (site 3), the luminal membrane has a Na+/K+/2CI- co-transporter (NKCC2) but is impermeable to water. In the proximal part of the distal tubule (cortical diluting segment; site 4) Na<sup>+</sup> and Cl<sup>-</sup> ions are reabsorbed by the Na<sup>+</sup>/Cl<sup>-</sup> cotransporter (NCC), but water is not reabsorbed. Ca<sup>2+</sup> also exchanges with three Na<sup>+</sup> ions at the basolateral border at this site. In the distal part of the distal tubule and collecting duct (site 5), Na+ is reabsorbed from the lumen via an epithelial Na<sup>+</sup> channel (ENaC), in exchange for loss of K<sup>+</sup> into the lumen. The expression and activity of ENaC and the basolateral Na\*/K\*-ATPase pump are regulated by aldosterone acting via mineralocorticoid receptors (MR). Water is reabsorbed in the collecting duct under the influence of antidiuretic hormone (ADH, vasopressin), acting through vasopressin receptors in the basolateral membrane.

from the lumen. The OATs are important for the transport of many drugs and their metabolites from the blood into the tubule. Bicarbonate is also reabsorbed from the proximal tubule by a mechanism dependent on the enzymatic activity of carbonic anhydrase (see Fig. 14.1, site 2).

#### The Loop of Henle

The descending limb of the loop of Henle has AQP channels and is permeable to water, but is impermeable to Na<sup>+</sup>. The interstitial fluid surrounding the loop

of Henle is hypertonic as a result of ion transport in the thick portion of the ascending limb of the loop of Henle (discussed later). Water therefore passes from the tubule into the interstitium of the renal medulla along a concentration gradient. Approximately 10% to 15% of the total filtered water is absorbed at this site. As a result, tubular fluid reaching the ascending limb of the loop of Henle is hypertonic.

The thick portion of the ascending limb of the loop of Henle is relatively impermeable to water but



Fig. 14.2 Sites of action of diuretics. For location of these sites in the tubule, see Fig. 14.1. Osmotic diuretics increase osmotic pressure through the tubule, reducing electrolyte reabsorption across the luminal membrane. Other drugs gain access to their sites of action after secretion into the tubule by the organic anion transporters (OATs) in the proximal tubule. Acetazolamide inhibits carbonic anhydrase (site 2) and is a weak self-limiting diuretic, now largely used for other conditions such as glaucoma. Loop diuretics such as furosemide block the luminal Na+/K+/2CI- co-transporter (NKCC2) and inhibit up to 20% to 25% of filtered Na+ reabsorption (site 3). The thiazide diuretics inhibit the luminal Na<sup>+</sup>/Cl<sup>-</sup> co-transporter (NCC; site 4) and reduce reabsorption of 3% to 5% of filtered Na<sup>+</sup>. The aldosterone antagonists spironolactone and eplerenone compete with aldosterone for the mineralocorticoid receptor (MR), blocking the induction by aldosterone of the expression and activity of the epithelial Na+ channel (ENaC) and the basolateral Na<sup>+</sup>/K<sup>+</sup>-ATPase pump (site 5). Amiloride and triamterene act directly on ENaC to block Na+ reabsorption. Potassium-sparing diuretics inhibit the reuptake of less than 2% of filtered Na+.

has an active Na<sup>+</sup>/K<sup>+</sup>/2Cl<sup>-</sup> co-transporter complex (NKCC2) in the luminal (apical) membrane (see Fig. 14.1, site 3). Na<sup>+</sup> is transported from the tubular cells to the interstitium by the Na<sup>+</sup>/K<sup>+</sup>-ATPase pump in the basolateral membrane. This creates a low intracellular Na<sup>+</sup> concentration in the tubular cells and generates the Na<sup>+</sup> ion gradient that drives the luminal NKCC2 co-transporter. The thick portion of the ascending limb of the loop of Henle can reabsorb up to 25% of the Na<sup>+</sup> filtered at the glomerulus. K<sup>+</sup> that is carried from the tubule into the cells by the NKCC2 co-transporter is recycled back into the tubular lumen, which ensures that there is always enough tubular K<sup>+</sup> to continue to favour Na<sup>+</sup> reabsorption. K<sup>+</sup> recycling creates a lumen-positive transepithelial voltage gradient, which drives a paracellular ionic current that is responsible for Ca<sup>2+</sup> and Mg<sup>2+</sup> reabsorption. Fluid leaving the thick portion of the ascending limb of the loop of Henle and entering the distal tubule is hypotonic with respect to plasma.

The reabsorption of Na<sup>+</sup>, but not water, by the thick portion of the ascending limb of the loop of Henle establishes the hypertonicity of the medullary interstitium, which is maintained in part because the medulla has a very low blood flow. This hypertonicity generates the corticomedullary concentration gradient that drives water reabsorption from the descending limb of the loop of Henle. The interstitial hypertonicity in the renal medulla is also responsible for an osmotic gradient across the collecting ducts, which permits the formation of hypertonic urine (discussed later).

#### The Distal Convoluted Tubule

The hypotonic filtrate leaving the loop of Henle passes into the distal convoluted tubule, which is impermeable to water but has a luminal thiazide-sensitive Na<sup>+</sup>/Cl<sup>-</sup> co-transporter (NCC; see Fig. 14.1, site 4). The driving force for this co-transporter is again generated by the Na<sup>+</sup>/K<sup>+</sup>-ATPase pump in the basolateral membrane. About 10% to 15% of the filtered Na<sup>+</sup> load can be reabsorbed at this site. The rich blood supply to this region allows rapid diffusion of the reabsorbed ions into the plasma and prevents the interstitium from becoming hypertonic. Reabsorption of Ca<sup>2+</sup> is also regulated at this site under the influence of parathyroid hormone and calcitriol (see Chapter 42). The rate of Ca<sup>2+</sup> transport is inversely related to that of Na<sup>+</sup> transport.

#### The Connecting Tubule and the Collecting Ducts

The tubular fluid has become yet more hypoosmolar with respect to plasma while in the distal convoluted tubule, and is delivered to the connecting tubule and then to the collecting ducts. The connecting tubule has properties intermediate between the distal convoluted tubule and the collecting ducts. There are two main cell types in this region, the principal cells and the intercalated cells.

The principal cells predominate in the cortical portion of the collecting duct. They reabsorb Na<sup>+</sup> through a highly specific amiloride-sensitive epithelial Na<sup>+</sup> channel (ENaC) accompanied by obligatory K<sup>+</sup> loss into the urine (see Fig. 14.1, site 5). Aldosterone acts in the principal cells at cytosolic mineralocorticoid receptors (MRs), inducing transcription of gene-encoding components of ENaC and the basolateral Na<sup>+</sup>/K<sup>+</sup>-ATPase pump. Aldosterone therefore increases reabsorption of Na<sup>+</sup> from the tubule, with the concomitant loss of K<sup>+</sup> into the lumen. The natriuretic peptides, atrial natriuretic peptide (ANP) and brain natriuretic peptide (BNP), decrease Na<sup>+</sup> reabsorption at this site by receptor-mediated phosphorylation of ENaC. Overall, only about 3% to 5% of filtered Na<sup>+</sup> is reabsorbed at the distal part of the distal tubule. The distal renal tubule is the primary site in the kidney responsible for maintenance of K<sup>+</sup> homeostasis.

The principal cell is also the site of action of vasopressin (antidiuretic hormone, ADH; see Chapter 43). Vasopressin is secreted by the posterior pituitary gland, binds to receptors on principal cells, and increases the expression of luminal AQP 2 channels. This increases the permeability of the cell to water. In the absence of vasopressin, the collecting duct is impermeable to water and the tubular fluid remains hypoosmolar with respect to plasma. In the presence of vasopressin, water reabsorption is increased down the osmotic gradient established by the thick ascending limb of the loop of Henle into the hypertonic medullary interstitium. This concentrates the tubular fluid as it passes through the collecting duct.

Intercalated cells are more prominent in the medullary collecting duct (not illustrated in Figs. 14.1 and 14.2). They express H<sup>+</sup>-ATPases that help regulate acid–base balance by secreting or reabsorbing H<sup>+</sup> and  $HCO_3^-$ .

#### Autoregulation of Glomerular Perfusion by Tubulo-Glomerular Feedback And Hormonal Control

There are additional regulatory mechanisms that control overall function of the nephrons. The first is autoregulation of glomerular perfusion pressure in response to changes in blood pressure through a myogenic reflex that constricts the afferent arteriole when blood pressure increases. The second is tubulo-glomerular feedback, in which the macula densa senses the concentration of NaCl in the loop of Henle. When the NaCl concentration rises due to a rise in glomerular filtration rate, the macula densa secretes ATP, which binds to purinergic receptors on afferent arteriole smooth muscle to produce vasoconstriction. Tubuloglomerular feedback is also linked to secretion of renin from the juxtaglomerular apparatus. This enhances the release of aldosterone from the adrenal cortex and increases Na<sup>+</sup> reabsorption at the connecting tubule and cortical segment of the collecting duct.

# **DIURETIC DRUGS**

LOOP DIURETICS

🔍 Examples

bumetanide, furosemide

#### **Mechanism of Action and Effects**

Loop diuretics are powerful natriuretic drugs which can inhibit reabsorption of up to 20% to 25% of the Na<sup>+</sup> that appears in the glomerular filtrate. They are secreted into the proximal kidney tubule by the tubular OATs and act at the luminal surface of cells in the thick ascending limb of the loop of Henle. The extent of the natriuresis and diuresis is dependent on the rate of delivery of the drug to the renal tubule via this secretory mechanism. Once these transporters have been saturated, increasing the dose of the diuretic further will not enhance its effect. Loop diuretics bind to the Na<sup>+</sup>/K<sup>+</sup>/2Cl<sup>-</sup> co-transporter complex (NKCC2) at the luminal border of the thick ascending limb of the loop of Henle and inhibit Clreabsorption. This diminishes the electrochemical gradient across the cell and reduces Na<sup>+</sup> reabsorption from the tubular fluid (see Fig. 14.2, site 3). The Na<sup>+</sup> concentration in the tubular fluid leaving the loop of Henle is therefore increased. By reducing Na<sup>+</sup> reabsorption, loop diuretics impair the creation of the corticomedullary ionic concentration gradient. This reduces the ability of the kidney to generate concentrated urine in the collecting duct and enhances water loss in urine.

The dose–response curves of loop diuretics are steep, but the oral doses required for maximal inhibition of Na<sup>+</sup> reabsorption show wide interindividual variation. Because they have a short duration of action, there is partial compensation for the natriuresis by subsequent rebound Na<sup>+</sup> uptake from the tubular fluid after their tubular action is complete. Loop diuretics remain effective even in advanced renal failure, but larger doses are necessary to deliver an effective concentration of drug to the remaining renal tubules, as the reduced tubular secretion allows more time for metabolism of the drug in the liver.

When injected intravenously, furosemide releases vasodilator prostaglandins such as prostacyclin into the circulation and produces a short-lived venodilation. Pooling of blood in these capacitance vessels reduces central blood volume, which can be useful in the treatment of acute left ventricular failure (see Chapter 7). Loop diuretics also produce arterial vasodilation. However, because of their short duration of action they are not widely used to treat hypertension, except in renal failure or when there is plasma volume expansion (such as in drug-resistant hypertension), when their diuretic action can be useful.

#### Pharmacokinetics

Furosemide is incompletely and erratically absorbed from the gut, with considerable inter- and intraindividual (day to day) variation. Bumetanide is more completely and reliably absorbed. Furosemide and bumetanide can also be given intravenously. Natriuresis and diuresis begin about 30 minutes after an oral dose and last up to 6 hours; intravenous injection produces a more rapid effect, with an onset of diuresis within minutes. Loop diuretics are partially metabolised in the liver. They are highly protein bound in plasma and little drug is filtered at the glomerulus. In renal failure, there is reduced delivery of drug to the tubular fluid as other retained substrates compete with the diuretic for the remaining OATs.

#### **Unwanted Effects**

- Excessive Na<sup>+</sup> and water loss can cause intravascular volume depletion, hypotension and renal impairment.
- Hypokalaemia is dose-related, but is usually less marked than with thiazide diuretics, which have a longer duration of action. The reasons for hypokalaemia include:
  - Increased delivery of Na<sup>+</sup> to the distal convoluted tubule, where Na<sup>+</sup> reabsorption is then enhanced. This creates a negative ionic gradient from tubular cells to the tubular lumen that promotes K<sup>+</sup> diffusion into the tubular lumen.
  - Diuretic-induced hypovolaemia and increased tubular Cl<sup>-</sup> concentration stimulate release of renin and therefore aldosterone. Aldosterone further enhances Na<sup>+</sup> reabsorption in the distal tubule at the expense of increased K<sup>+</sup> excretion.
  - Obligatory urinary loss of Cl<sup>-</sup> with the increased K<sup>+</sup> loss creates a mild metabolic alkalosis in the plasma. To counteract the alkalosis, H<sup>+</sup> is shifted out of cells in exchange for intracellular accumulation of K<sup>+</sup>, which exacerbates the hypokalaemia.
  - The consequences and treatment of hypokalaemia produced by loop diuretics are considered later.
- Hyponatraemia, due to loss of Na<sup>+</sup> in excess of water, is uncommon with loop diuretics. Because they reduce the osmotic gradient in the renal medulla, the collecting ducts become less responsive to ADH. This limits the extent to which compensatory ADH secretion is able to produce relative water retention.
- Hypomagnesaemia. Paracellular diffusion of filtered Mg<sup>2+</sup> in the thick ascending limb of the loop of Henle is impaired by loop diuretics, which inhibit the electrical gradient necessary for Mg<sup>2+</sup> reabsorption. Hypomagnesaemia predisposes to cardiac arrhythmias. Hypokalaemia often cannot be corrected unless co-existent hypomagnesaemia has been treated.
- Increased urinary Ca<sup>2+</sup> excretion from inhibition of paracellular reabsorption of Ca<sup>2+</sup> at the thick ascending limb of the loop of Henle. It does not produce hypocalcaemia, but this action can be helpful in the management of hypercalcaemia (see Chapter 42).

- Hyperuricaemia arises from reduced glomerular filtration of uric acid following the reduction of plasma volume. There may be an additional reduction of proximal tubular uric acid secretion as a result of competition between uric acid and the diuretic for OATs. Clinical gout is unusual and much less common with loop diuretics than with thiazide diuretics.
- Incontinence can result from the rapid increase in urine volume. In older males with prostatic hypertrophy, retention of urine can occur.
- Ototoxicity. Deafness can result from cochlear toxicity, or tinnitus and vertigo from vestibular toxicity, especially when renal failure reduces the rate of drug excretion or when very large doses of a loop diuretic are used. Ototoxicity is more common with furosemide than bumetanide and is usually reversible.

#### THIAZIDE AND RELATED DIURETICS

#### Examples

bendroflumethiazide, chlortalidone, hydrochlorothiazide, indapamide

#### Mechanisms of Action and Effects

Thiazides and related diuretics have a lower efficacy than loop diuretics, achieving a maximum natriuresis of about 3% to 5% of the filtered Na<sup>+</sup> load, and have shallow dose-response curves. Thiazides (such as bendroflumethiazide and hydrochlorothiazide) are structurally related to sulfonamides. Several structurally unrelated thiazide-like drugs, such as chlortalidone and indapamide, share the same site of action and are considered to be thiazides in the following discussion. All these drugs are highly protein-bound, and therefore little drug is filtered at the glomerulus and they are secreted by OATs into the proximal tubule. All these drugs act at the luminal surface of the distal convoluted tubule and inhibit the NCC (see Fig. 14.2, site 4); this inhibits transport of Na<sup>+</sup> and Cl<sup>-</sup> into the tubular cell. The concentration of Na<sup>+</sup> in fluid leaving the distal convoluted tubule is therefore increased.

Thiazides produce arterial vasodilation during long-term use, which appears to be the basis of their hypotensive effect (see Chapter 6), but the mechanism of vasodilation is unclear. It may involve an action on the arterial wall as the vasodilator action occurs at lower dosages than required for significant diuresis. However, an indirect vasodilator effect arising from salt depletion may be important as thiazides do not lower blood pressure in end-stage renal disease.

#### **Pharmacokinetics**

Thiazide and related diuretics are fairly well absorbed from the gut and most are metabolised in the liver. The onset of diuresis is slow, but they have a longer duration of action than loop diuretics, which varies among the drugs; for example, bendroflumethiazide produces a natriuresis for up to 6 to 12 hours and chlortalidone for 48 to 72 hours. Thiazide and related diuretics are less effective when the glomerular filtration rate is below 20 mL/min.

#### **Unwanted Effects**

- Hypokalaemia. This is due to greater delivery of Cl<sup>-</sup> to the connecting tubule, eliciting a release of renin and therefore aldosterone. A reduced intracellular Ca<sup>2+</sup> concentration (discussed later) also activates epithelial Na<sup>+</sup> channels (ENaC) in the distal convoluted tubule, which promotes K<sup>+</sup> secretion into the tubule. Hypokalaemia is more frequent with thiazides than with loop diuretics, partly due to their longer duration of action. The greatest reduction in plasma K<sup>+</sup> usually occurs within 2 weeks of starting treatment. The management of thiazide-related hypokalaemia is considered later.
- Hyponatraemia. This is more common with thiazide diuretics than with loop diuretics because thiazides impair urine-diluting ability but, unlike loop diuretics, do not impair concentrating ability. Prolonged block of Na<sup>+</sup>/Cl<sup>-</sup> co-transport in the distal convoluted tubule (a cortical diluting site where water cannot be reabsorbed) and vasopressin release in response to reduced plasma volume impairs free water clearance. The combination of a thiazide with amiloride (discussed later) is particularly associated with dilutional hyponatraemia. Hyponatraemia can present with lethargy, impaired consciousness, and eventually coma and seizures. It usually occurs within 2 weeks of starting treatment, but can be delayed. Rapid recurrence of hyponatraemia with reexposure to thiazides suggests a genetic predisposition in some individuals.
- Hyperuricaemia (see the section on loop diuretics). Gout occurs infrequently and is less common in women.
- Decreased urinary Ca<sup>2+</sup> excretion, in contrast to increased excretion with loop diuretics. Reduced Na<sup>+</sup> concentration in the tubular cell activates the Na<sup>+</sup>/Ca<sup>2+</sup> antiporter in the basolateral membrane of the distal convoluted tubule. This exchanger uses the electrochemical gradient for Na<sup>+</sup> created by Na<sup>+</sup>/K<sup>+</sup>-ATPase across the cell membrane to transport Ca<sup>2+</sup> from the cell into the interstitium, which then promotes Ca<sup>2+</sup> reabsorption from the tubular lumen. Hypercalcaemia does not usually occur, unless there is another underlying disturbance of Ca<sup>2+</sup> metabolism, such as hyperparathyroidism. The reduction in urinary Ca<sup>2+</sup> loss is useful for the prevention of renal stones resulting from hypercalciuria.
- Glucose intolerance. This is dose-related, with a progressive increase in plasma glucose over several months. The major cause is prolonged hypokalaemia and the consequent reduced intracellular K<sup>+</sup> concentration which inhibits insulin release and impairs tissue uptake of glucose in response to insulin. A direct

action of thiazides producing hyperpolarisation of islet cell membranes and inhibiting insulin release may also contribute. The glucose intolerance usually reverses over several months if the thiazide is stopped.

- Hyperlipidaemia. There is a dose-related increase in low-density lipoprotein cholesterol and triglycerides. The long-term effects (>1 year) are small unless high doses of thiazides are used.
- Erectile dysfunction. This is reported by up to 10% of middle-aged men with hypertension who are treated with high doses of thiazides (see Chapter 16).
- Nocturia and urinary frequency can result from prolonged diuresis.

#### POTASSIUM-SPARING DIURETICS

#### Examples

amiloride, eplerenone, spironolactone, triamterene

#### Mechanism of Action and Effects

Drugs in this class produce a diuresis while preventing urinary K<sup>+</sup> loss. The maximum natriuresis achieved by potassium-sparing diuretics is small (usually 1%-2% of filtered Na<sup>+</sup>). All potassium-sparing diuretics act at the late distal convoluted tubule and cortical collecting duct. Spironolactone (and its active metabolite canrenone) and eplerenone are the only diuretics that do not act at the luminal membrane of the tubular cells. They compete with aldosterone for the cytoplasmic mineralocorticoid receptor in the distal convoluted tubular cells and block transcriptional upregulation of ENaC and the basolateral  $Na^+/K^+$ -ATPase pump. They therefore antagonise the effects of aldosterone on Na<sup>+</sup> reabsorption and K<sup>+</sup> excretion (see Fig. 14.2, site 5). Spironolactone and eplerenone only work in the presence of aldosterone, and their natriuretic effect is enhanced in primary and secondary hyperaldosteronism.

Amiloride and triamterene have a different mechanism of action: they directly block ENaC at the luminal surface of the renal tubule (see Fig. 14.2). Their action is independent of the presence of aldosterone.

With potassium-sparing diuretics, Na<sup>+</sup> and water loss is accompanied by preservation of K<sup>+</sup>, because the reduced Na<sup>+</sup> reabsorption limits ATP-dependent Na<sup>+</sup> exchange with K<sup>+</sup> at the basolateral membrane (see Fig. 14.2, site 5). When used together with a thiazide or a loop diuretic, potassium-sparing diuretics reduce or eliminate the excess urinary K<sup>+</sup> loss.

#### **Pharmacokinetics**

All potassium-sparing diuretics are taken orally. Spironolactone is metabolised in the wall of the gut and the liver to canrenone, which has a longer half-life and is probably responsible for most of the diuretic effect. Eplerenone is metabolised predominantly in the liver and has a half-life of 3 to 5 hours. The onset of action of spironolactone and eplerenone is slow, starting after 1 day and becoming maximal by 3 to 4 days, largely a consequence of their transcriptional mechanism of action.

Triamterene is extensively metabolised in the liver, and tubular secretion of the sulfate ester metabolite is responsible for the diuretic action. Amiloride is secreted unchanged into the proximal renal tubule. The onset of action of both drugs is rapid.

#### **Unwanted Effects**

- Hyperkalaemia. This is more common in the presence of preexisting renal disease, in the elderly and during combination treatment with angiotensin-converting enzyme (ACE) inhibitors or angiotensin II receptor antagonists (see Chapter 6). Retention of Mg<sup>2+</sup> also occurs, in contrast to the loss of Mg<sup>2+</sup> with thiazides and loop diuretics.
- Hyponatraemia. This is more common with thiazide/ amiloride combinations.
- Spironolactone has an antiandrogenic effect, a consequence of its ability to bind to androgen receptors and prevent their interaction with dihydrotestoster-one. This can cause gynaecomastia and impotence in males, and menstrual irregularities in women. The antiandrogenic effect is sometimes used in women to treat hirsutism (such as in polycystic ovary syndrome), male-pattern hair loss and acne. Eplerenone has greater aldosterone receptor selectivity and does not have antiandrogenic actions.
- Gastrointestinal disturbances.

#### **CARBONIC ANHYDRASE INHIBITORS**

Example

acetazolamide

#### **Mechanism of Action and Uses**

Acetazolamide inhibits carbonic anhydrase, an enzyme which is responsible for the small amount of active Na<sup>+</sup> reabsorption in the proximal tubule in exchange for H<sup>+</sup> secretion into the tubule (see Fig. 14.2, site 2). Acetazolamide increases  $HCO_3^-$ , Na<sup>+</sup> and K<sup>+</sup> loss into tubular fluid, producing alkaline urine. H<sup>+</sup> is retained in plasma, producing a mild acidosis. However, the fall in plasma  $HCO_3^-$  concentration stimulates carbonic anhydrase activity, which rapidly leads to tolerance to the diuretic action of acetazolamide. The diuretic action of acetazolamide has a role in prevention and treatment of altitude sickness (discussed later) and in glaucoma (see Chapter 50).

#### **Pharmacokinetics**

Acetazolamide is secreted into the proximal renal tubule by organic anion transporters (OATs) and works at the luminal surface of the proximal tubule. It is eliminated unchanged in the urine.

#### **Unwanted Effects**

- Nausea, vomiting, anorexia, taste disturbance.
- Paraesthesia, dizziness, fatigue, irritability, ataxia, depression.
- Hypokalaemia (see the discussion on loop diuretics for mechanisms).

#### **OSMOTIC DIURETIC**

7	Example		
man	nnitol		

#### Mechanism of Action and Uses

Mannitol is a polyol (sugar alcohol) with a low molecular weight that is filtered at the glomerulus but not reabsorbed from the renal tubule. It exerts osmotic activity within the proximal convoluted tubule and the descending limb of the loop of Henle, which limits passive tubular reabsorption of water. Water loss produced by mannitol is accompanied by a variable natriuresis.

Mannitol can be used in the management of raised intracranial pressure. Initially, the osmotic action of mannitol produces an expansion of plasma and extracellular fluid volume, reducing blood viscosity and improving cerebral blood flow. Mannitol does not readily cross the blood–brain barrier, and therefore, provided the blood–brain barrier is largely intact, it establishes a concentration gradient between plasma and brain tissue. The resulting flow of water from brain into plasma reduces brain volume and intracranial pressure. Subsequently, the osmotic diuresis causes volume contraction and hypernatraemia, which also promotes dehydration of brain tissue.

#### **Pharmacokinetics**

Mannitol is given by intravenous infusion and is excreted unchanged at the glomerulus. It has a halflife of 2 hours, which is substantially increased in renal impairment.

#### **Unwanted Effects**

- Initial expansion of plasma volume can precipitate oedema and heart failure (particularly in the context of significant renal impairment, when it should be avoided).
- Subsequently, hypovolaemia, hypotension and hypernatraemia can occur due to osmotic diuresis.
- Urinary K<sup>+</sup> loss can lead to hypokalaemia (see the discussion on loop diuretics).

# MANAGEMENT OF DIURETIC-INDUCED HYPOKALAEMIA

A modest reduction in plasma K<sup>+</sup> concentration is common during treatment with loop or thiazide diuretics. Marked hypokalaemia (below 3.0 mmol/L) predisposes to cardiac rhythm disturbances (including ventricular arrhythmias), particularly in the presence of acute myocardial ischaemia, during treatment with digoxin (see Chapter 7), or with antiarrhythmic agents that prolong the Q–T interval on the electrocardiogram (see Chapter 8). It may also precipitate encephalopathy in people with liver failure. The risk of hypokalaemia is greatest with:

- thiazide diuretics rather than loop diuretics, because of their longer duration of action;
- low oral intake of K<sup>+</sup>;
- high doses of diuretic;
- hyperaldosteronism, for example in hepatic cirrhosis or nephrotic syndrome.

The addition of a potassium-sparing diuretic will prevent and treat diuretic-induced hypokalaemia, but it is unnecessary to routinely prescribe a potassiumsparing diuretic with a thiazide or loop diuretic. A pragmatic approach is to use combination treatment for those at high risk for hypokalaemia, or those who develop significant hypokalaemia during regular diuretic treatment.

Oral K<sup>+</sup> supplements should not be given to prevent hypokalaemia but can be used to correct hypokalaemia. They are less effective than a potassium-sparing diuretic unless used in large quantities (>30 mmol daily), which often cause gastric irritation. Modifiedrelease tablets and effervescent formulations of K<sup>+</sup> are available to improve tolerability. Oral K<sup>+</sup> supplements should not be used together with potassium-sparing diuretics.

Intravenous K<sup>+</sup> replacement is rarely needed unless there is severe K<sup>+</sup> depletion. Rapid intravenous administration of K<sup>+</sup> can produce potentially lethal hyperkalaemia (provoking asystole), and a maximum infusion rate of 10 mmol/hour is recommended for K<sup>+</sup> replacement. Continuous ECG monitoring and hourly measurement of the plasma K<sup>+</sup> concentration is advisable if a high infusion rate is necessary. Hypomagnesaemia often coexists with diuretic-induced hypokalaemia and it is usually impossible to correct the hypokalaemia unless the serum Mg<sup>2+</sup> is corrected first with either intravenous magnesium sulfate or an oral preparation such as magnesium-L-aspartate.

#### **MAJOR USES OF DIURETICS**

Diuretics can be used to treat a number of conditions.

#### Diuretics for Treatment of Oedema in Heart Failure, Nephrotic Syndrome and Hepatic Cirrhosis

Oedema in heart failure is discussed in Chapter 7. Spironolactone and eplerenone play an important role in heart failure as they are the only diuretics that improve prognosis.

For nephrotic syndrome a loop diuretic is usually used. Modest doses of a loop diuretic provide a near-maximal response if renal function is normal, but large doses are sometimes necessary if there is renal impairment. Once the glomerular filtration rate (GFR) falls below 10 mL/min, then the effect of a loop diuretic is minimal.

Spironolactone is the diuretic of choice in ascites associated with liver disease, due to the marked secondary hyperaldosteronism. It is also important to avoid hypokalaemia, which can precipitate hepatic encephalopathy. A loop diuretic can be added to a high dose of spironolactone if the loss of fluid is inadequate (see Chapter 36).

#### Management of oedema resistant to diuretics

Resistance to loop diuretics can result from several mechanisms:

- reduced GFR due to low perfusion or abnormal glomerular haemodynamics;
- postdiuretic effect with avid proximal tubular reabsorption of Na<sup>+</sup> after the effect of the diuretic has worn off;
- 'braking phenomenon' due to upregulation of the Na<sup>+</sup>/K<sup>+</sup>/2Cl<sup>-</sup> co-transporters in the loop of Henle;
- rebound Na<sup>+</sup> retention in the distal renal tubule due to renin-aldosterone system activation;
- tolerance with long-term diuretic use due to hypertrophy of epithelial cells of the cortical collecting duct, which increases Na<sup>+</sup> reabsorption at this site.
- There are various strategies that can be considered if fluid retention is resistant to oral furosemide:
- Salt restriction and avoidance of salt-retaining drugs, such as nonsteroidal antiinflammatory drugs (NSAIDS; see Chapter 29). Water restriction may be necessary if there is dilutional hyponatraemia as raising the serum Na<sup>+</sup> concentration improves diuretic responsiveness.
- Divided oral doses of a loop diuretic can be used to give more prolonged drug delivery to the kidney. This also reduces postdiuretic rebound Na<sup>+</sup> retention.
- Oral bumetanide can be used rather than furosemide because of its more consistent oral absorption.
- A loop diuretic can be given by continuous intravenous infusion to cause sustained natriuresis and diuresis. Slow intravenous infusion of higher drug doses will also help avoid ototoxicity.
- The addition of a thiazide or related diuretic to a loop diuretic. Sequential inhibition of tubular Na<sup>+</sup> reabsorption can produce a dramatic diuresis and natriuresis. However, hyponatraemia, hypokalaemia, hypovolaemia and renal impairment are all more frequent with such combinations.
- Secondary hyperaldosteronism can develop with high doses of a loop diuretic, and the addition of spironolactone can be particularly useful.

#### **Diuretics for Treatment of Hypertension**

Low doses of a thiazide or related diuretic are usually used for treatment of hypertension for their vasodilator action, rather than the diuretic effect. Spironolactone can be added for treatment of resistant hypertension. A loop diuretic is used instead of a thiazide diuretic for drug-resistant hypertension as there is often expansion of plasma volume. Loop diuretics are also more effective than thiazides when there is renal impairment (especially a GFR below 20 mL/min). See also Chapter 6.

# Diuretic Use in Hypercalciuria with Renal Stone Formation

Thiazides reduce urinary  $Ca^{2+}$  excretion and this can be exploited to prevent recurrence of  $Ca^{2+}$ -containing kidney stones (usually calcium oxalate). Increased urinary  $Ca^{2+}$  excretion often occurs when the serum  $Ca^{2+}$  is normal (idiopathic hypercalciuria) and reflects abnormally high  $Ca^{2+}$  absorption from the gut. Thiazide diuretics are effective in conjunction with a low-Na<sup>+</sup> and low-protein diet for preventing further stone formation. High dietary Na<sup>+</sup> enhances  $Ca^{2+}$  excretion in urine because less  $Ca^{2+}$  is reabsorbed in the proximal convoluted tubule.

#### **Carbonic Anhydrase Inhibitors for Glaucoma**

Acetazolamide and other topical carbonic anhydrase inhibitors are used to reduce intraocular pressure in glaucoma (see <u>Chapter 50</u>). Tolerance does not occur to this effect, unlike the diuretic action.

#### Acetazolamide for Altitude Sickness

An unlicensed use for acetazolamide is the prevention and treatment of the symptoms of altitude sickness. This occurs when a person rapidly ascends to altitude before the body has acclimatised to the low inspired oxygen concentration. It produces headache, nausea, dizziness and fatigue. Symptoms are initially worse at night, with periodic breathing leading to disturbed sleep. Slow ascent to altitude is the most important way to prevent acute mountain sickness, and symptoms generally subside within 2 to 4 days. Acetazolamide works by inducing a mild metabolic acidosis that drives ventilation and should be taken for 2 days before ascending to altitude. It is continued for 3 days once the highest altitude is reached.

#### Mannitol for Acute Brain Injury

Osmotherapy with mannitol is used as a temporising measure in severe traumatic brain injury to reduce intracranial hypertension. Hypertonic sodium chloride is an alternative. There is no evidence that prophylactic osmotherapy is beneficial, or that it exerts any effect beyond the acute control of intracranial pressure.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. A fall in plasma K<sup>+</sup> concentration can affect cardiac muscle function.
- 2. The main renal site of K<sup>+</sup> loss in the urine is from the proximal convoluted tubule.

- 3. The Na<sup>+</sup>/K<sup>+</sup>-ATPase pump is only found on the basolateral membrane of the loop of Henle.
- 4. The thick ascending limb of the loop of Henle is impermeable to water.
- 5. The osmotic diuretic mannitol is poorly reabsorbed from the renal tubule.
- 6. Mannitol should not be given in heart failure.
- 7. The carbonic anhydrase inhibitor acetazolamide is used in the treatment of glaucoma.
- 8. All thiazide diuretics are shorter acting than loop diuretics.
- 9. Thiazide diuretics act by inhibiting Na<sup>+</sup>/Cl<sup>-</sup> cotransport in the basolateral membrane.
- 10. Thiazide diuretics increase urinary Ca<sup>2+</sup> excretion.
- 11. Thiazide diuretics may exacerbate diabetes mellitus.
- 12. Spironolactone and amiloride act by the same mechanism to reduce K<sup>+</sup> loss.
- 13. Potassium-sparing diuretics and ACE inhibitors can cause a harmful interaction.
- 14. Thiazide or loop diuretics should not be given together with potassium-sparing diuretics.
- 15. NSAIDs reduce the response to diuretics.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. What is the mechanism of action of triamterene? A. Antagonism of a cytosolic receptor.
  - B. Blockade of a  $Na^+/K^+/2Cl^-$  co-transporter.
  - C. Blockade of a selective Na<sup>+</sup> channel.
  - D. Blockade of a  $Na^+/Cl^-$  symporter.
  - E. Reduction in  $HCO_3^-$  reabsorption.
- 2. Which is the most accurate statement about loop diuretics?
  - A. They are useful in the treatment of acute pulmonary oedema.
  - B. They should not be taken together with thiazides.
  - C. They do not produce hypokalaemia.
  - D. They increase the hypertonicity of the interstitium in the medullary region.
  - E. They reduce the risk of ototoxicity with aminoglycoside antibacterial drugs.

#### **EXTENDED-MATCHING-ITEM QUESTION**

Choose the *most likely* option (A–F) related to each of the case scenarios 1–3 below.

- A. Raised serum K<sup>+</sup> concentration.
- B. Lowered serum K<sup>+</sup> concentration.
- C. Increased natriuresis.
- D. Reduced natriuresis.
- E. Raised plasma glucose.
- F. Lowered plasma glucose.
- **Case 1.** A 58-year-old woman was taken to the emergency department with dyspnoea and bradycardia (40 beats/min). She previously had a myocardial infarction and coronary stenting. She was taking the diuretics bendroflumethiazide and eplerenone, and recently had her dose of the ACE inhibitor lisinopril increased.
- **Case 2.** A 48-year-old man has been treated for 4 years with the thiazide-like diuretic chlortalidone for his

hypertension and was seeking medical advice about his increased tiredness and lethargy.

**Case 3.** A 55-year-old man with congestive heart failure was treated with digoxin and lisinopril. Furosemide was added because of oedema and he subsequently complained of palpitations. He was admitted to hospital, and the electrocardiogram showed atrial tachycardia.

# **ANSWERS**

### **TRUE/FALSE ANSWERS**

- 1. **True.** Hypokalaemia can also affect brain and skeletal muscle function.
- 2. **False.** Much of the filtered K<sup>+</sup> is *reabsorbed* in the proximal tubule and loop of Henle, and its loss into the urine occurs mainly in the collecting ducts.
- 3. **False.** The basolateral Na<sup>+</sup>/K<sup>+</sup>-ATPase pump is present throughout the renal tubule.
- 4. **True.** Impermeability to water, and the NKCC2 transporter which co-transports Na<sup>+</sup>, K<sup>+</sup> and Cl<sup>-</sup> ions from the lumen into the tubular cell in the thick ascending limb, together generate the hyper-osmotic interstitium important in concentrating urine in the collecting duct.
- 5. **True.** The osmotic diuretic mannitol is retained within the tubule where its osmotic activity reduces passive reabsorption of water in the proximal tubule and descending limb of the loop of Henle.
- 6. **True.** By extracting water from intracellular compartments and expanding extracellular and intravascular fluid volumes, mannitol can precipitate congestive heart failure and pulmonary oedema.
- 7. **True.** Acetazolamide reduces the formation of aqueous humour.
- 8. **False.** Some thiazide diuretics such as chlortalidone can produce a diuresis for 48 to 72 hours, whereas the action of most loop diuretics is relatively short-lived.
- 9. **False.** Thiazide diuretics act from within the renal tubular lumen on the thiazide-sensitive NCC on the luminal (apical) membrane.
- 10. **False.** Thiazide diuretics do not increase urinary Ca<sup>2+</sup> excretion, unlike the loop diuretics.
- 11. **True.** Thiazide diuretics may exacerbate diabetes mellitus, probably through hypokalaemia reducing insulin release from pancreatic β-cells.
- 12. **False.** Amiloride blocks the tubular epithelial Na<sup>+</sup> channel (ENaC) directly, whereas spironolactone competes with aldosterone at its mineralocorticoid receptor (MR), thus reducing the transcriptional expression of ENaC. The reduced Na<sup>+</sup> reabsorption produced by either drug in the collecting duct reduces the loss of K<sup>+</sup> into the urine.
- 13. **True.** ACE inhibitors, by reducing angiotensininduced aldosterone secretion, will reduce K<sup>+</sup> excretion and hence increase plasma K<sup>+</sup> concentration, particularly when combined with potassiumsparing diuretics.

- 14. **False.** Thiazides and loop diuretics increase Na<sup>+</sup> concentrations in the tubular fluid reaching the collecting duct; the excessive loss of K<sup>+</sup> that results can be reduced by combining the thiazide or loop diuretic with a potassium-sparing diuretic.
- 15. **True.** NSAIDs inhibit prostaglandin E synthesis in the kidney and this reduces renal blood flow, leading to reduced natriuretic responses to thiazide and loop diuretics.

#### **OBA ANSWERS**

- Answer C is correct. Triamterene is a potassiumsparing diuretic that directly blocks a selective Na<sup>+</sup> channel (ENaC) on the luminal membrane of tubule cells in the collecting duct. Answer A is the mechanism of action of aldosterone (mineralocorticoid) receptor antagonists such as spironolactone. Answers B, D and E are the mechanisms of action of loop diuretics, thiazide-related drugs and carbonic anhydrase inhibitors, respectively.
- 2. Answer A is the most accurate.
  - A. **Correct**. Loop diuretics are widely used in the control of oedema in heart failure for the elimination of excessive salt and water load. The direct venodilator activity of furosemide after intravenous injection reduces central blood volume.
  - B. Incorrect. A thiazide diuretic can be added to a loop diuretic to act sequentially at different sites in the nephron, thus producing a marked natriuresis and diuresis.
  - C. Incorrect. Delivery of greater concentrations of Na<sup>+</sup> to the collecting ducts increases the exchange for K<sup>+</sup> at that site, thus increasing K<sup>+</sup> loss.
  - D. Incorrect. By inhibiting the Na<sup>+</sup>/K<sup>+</sup>/2Cl<sup>-</sup> cotransporter (NKCC2), the medullary interstitial hypertonicity falls and this reduces the reabsorption of water in the collecting ducts (in the presence of ADH).
  - E. Incorrect. Loop diuretics alone can cause ototoxicity (especially at high doses or in renal impairment), and also when taken with other ototoxic drugs such as aminoglycosides.

#### **EXTENDED-MATCHING-ITEM ANSWERS**

- **Case 1. Answer A**. The combination of a potassiumsparing diuretic (eplerenone) and an ACE inhibitor (lisinopril) may have reduced renal K<sup>+</sup> excretion, causing hyperkalaemia, and this may have been the cause of the profound bradycardia. Bradycardia is a feature of severe hyperkalaemia, which requires emergency treatment.
- **Case 2. Answer E**. Thiazide-like diuretics can worsen insulin resistance, resulting in an increased plasma glucose concentration within weeks. In the longer term, there is a small increase in the risk of diabetes mellitus.
- **Case 3. Answer B**. The loop diuretic may cause hypokalaemia. This enhances the toxicity of digoxin, resulting in arrhythmias.

# **FURTHER READING**

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#### Compendium of Diuretic Drugs.

DRUG	CHARACTERISTICS
Carbonic Anhydrase Inhibitor	
Acetazolamide	Little clinical value as a diuretic due to rapid tolerance; used orally in glaucoma (see Chapter 50) and in altitude sickness.
Osmotic Diuretic	
Mannitol	Given by rapid intravenous infusion in cerebral oedema; not used in heart failure or renal failure due to expansion of extracellular volume
Loop Diuretics	
Used for heart failure and oedema,	and oliguria due to renal failure.
Bumetanide	Given orally or by intravenous or intramuscular injection.
Furosemide	Given orally or by intravenous or intramuscular injection.
Torasemide	Given orally. Known as torsemide in the USA; little used in the UK.
Thiazide and Related Diuretics	
Given orally; used for heart failure, o	pedema and, in lower doses, hypertension.
Bendroflumethiazide	Complete absorption from gut; 30% excreted in urine unchanged.
Chlortalidone	Thiazide-like diuretic with long half-life (50–90 h)
Hydrochlorothiazide	Now used in the UK only in combined formulations with an ACE inhibitor, an angiotensin II receptor antagonist, or with other antihypertensive drugs (see BNF), but available as a single formulation in continental Europe.
Indapamide	Thiazide-like diuretic.
Metolazone	Thiazide-like diuretic; effective even in advanced renal failure.
Xipamide	Thiazide-like diuretic.
Potassium-Sparing Diuretics	
Given orally; used for prevention of c	liuretic-induced hypokalaemia, hyperaldosteronism and ascites associated with liver cirrhosis.
Amiloride	Potassium-sparing diuretic used in combination with thiazides and loop diuretics to conserve K <sup>+</sup> .
Eplerenone	Aldosterone antagonist with greater selectivity than spironolactone for mineralocorticoid receptor; reduced antiandrogenic effects; used in heart failure.
Spironolactone	Aldosterone antagonist used in primary hyperaldosteronism (Conn's syndrome), liver cirrhosis (ascites), acne, female hirsutism. Active metabolite (canrenone).
Triamterene	Potassium-sparing diuretic used in combination with thiazides and loop diuretics to conserve K <sup>+</sup> .

ACE, Angiotensin-converting enzyme; BNF, British National Formulary.

# **Disorders of Micturition**

# **Chapter Outline**

Pathophysiology of Micturition 229 Disorders of Micturition 230 Overactive Bladder and Urge Urinary Incontinence 230 Drugs for Treatment of Overactive Bladder 230 Management of Overactive Bladder 231 Hypotonic Bladder 231 Urethral Sphincter Incompetence 231 Lower Urinary Tract Symptoms in Men and Benign Prostate Enlargement 232 Drugs for Benign Prostate Enlargement 232 Treatment of Lower Urinary Tract Symptoms with Benign Prostate Enlargement 233 Self-Assessment 233 Answers 234 Further Reading 234 Compendium of Drugs Used to Treat Disorders of Micturition 234

# PATHOPHYSIOLOGY OF MICTURITION

The urinary bladder is surrounded by a smooth muscle called the detrusor muscle, which relaxes to allow bladder filling up to 500 to 600 mL. The detrusor contracts in response to stimulation by the parasympathetic nervous system (via muscarinic  $M_3$  receptors), which empties the urine contained in the bladder into the urethra. Sympathetic nervous system tone inhibits contraction of the detrusor (via  $\beta_2$ - and  $\beta_3$ -adrenoceptors). There is a smaller muscle, the trigone, between the ureteric orifices and bladder neck. The trigone is particularly sensitive to stretch and has an important role in the reflex that initiates bladder emptying.

The urethra has several mechanisms that aid continence and prevent the involuntary leakage of urine. The submucosal layer of the urethra is a highly vascular tissue that creates a 'washer' effect when compressed. The urethra is surrounded by an external urethral sphincter of skeletal muscle that is innervated by the pudendal nerve and is under voluntary control. Additional smooth muscle bundles pass either side of the urethra to form the internal urethral sphincter, which is constricted by sympathetic nervous stimulation (via  $\alpha_{1A}$ - and to a lesser extent  $\alpha_{1B}$ -adrenoceptor subtypes). Pelvic floor muscles also support and compress the urethra when abdominal pressure increases, particularly in women. The internal and external urethral sphincters combine with the pelvic floor muscles to constrict the urethra, which prevents bladder emptying and maintains continence by keeping the urethral closure pressure above the pressure in the bladder (Fig. 15.1). The coordination of bladder filling, maintaining continence and bladder emptying is a complex process.

During bladder filling (storage phase), sympathetic nervous system stimulation relaxes the detrusor muscle and contracts the smooth muscle of the internal urethral sphincter. Voluntary stimulation of the striated muscle of the external urethral sphincter, aided by pelvic muscle control, contributes to maintenance of continence. The urge to micturate occurs in adults at a bladder volume of 200 to 300 mL when the signals from stretch receptors in the bladder reach a frequency to initiate reflex bladder contraction. Higher centres can then inhibit the micturition reflex.

Bladder emptying (voiding phase) is initiated by myogenic stretch receptor activity produced by distention of the trigone and by sensory signals from the urothelium (the epithelial cell lining of the bladder). Release of adenosine triphosphate (ATP) from the urothelium stimulates P2X purinoceptors which, together with other modulators of bladder receptor activity, initiate sensory impulses in the afferent nerves. Conscious sensations of bladder fullness are processed by the cerebral cortex, which then sends signals to the micturition centre when it is appropriate to empty the bladder. The pontine micturition centre initiates activity in efferent motor pathways to the detrusor muscle, producing bladder contraction and voiding. At the same time, stimulation of muscarinic M<sub>2</sub> receptors on presynaptic nerve terminals opposes the effects of sympathetic activity on the bladder. Non-cholinergic-mediated efferent impulses (ATP neurotransmission acting via P2X purinoceptors) also contribute to bladder contraction and this component becomes more prominent in overactive bladders. Contraction of the detrusor is coordinated with inhibition of the tonic control of the urethral sphincters, thus



Fig. 15.1 Aspects of the bladder/prostate structures and the innervation involved in the micturition reflex. Bladder filling provides neuronal signals to the micturition centre via sensory input from purinoceptors on neurons in the urothelium. To accommodate filling and continence, sympathetic stimulation both relaxes the smooth muscle of the bladder via  $\beta_2$ - and  $\beta_3$ -adrenoceptors and stimulates sphincter mechanisms through  $\alpha_1$ -adrenoceptor subtypes. Somatic control of the external sphincter also aids continence. Voluntary urination involves parasympathetic stimulation of bladder smooth muscle through  $M_3$  and  $M_2$  muscarinic receptor subtypes (*M*), and inhibition of the sympathetic and somatic outflow. Aspects of bladder control may involve other less understood transmitter substances. For example,  $\gamma$ -aminobutyric acid (GABA) interneurons inhibit bladder contraction. *ATP*, Adenosine triphosphate; *P2X*, purinergic receptors.

relaxing the bladder outflow tract. Bladder emptying may be augmented by contraction of the diaphragm and abdominal muscles.

# **DISORDERS OF MICTURITION**

Disorders of micturition can arise from a disturbance of bladder function or from abnormalities affecting the bladder outflow tract and urethra. They produce a constellation of symptoms known as lower urinary tract symptoms (LUTS; see Fig. 15.1). When someone presents with LUTS, it is important to consider reversible contributory factors such as urinary tract infection, use of diuretics (which increase urinary flow rates), use of  $\alpha_1$ -adrenoceptor antagonists for treatment of hypertension (which affect bladder neck function), and stool impaction (which inhibits sacral parasympathetic neurotransmission).

Urinary incontinence is the involuntary leakage of urine. This affects up to 50% of women older than 20 years and becomes more common with increasing age in both women and men. There are four main types of urinary incontinence:

- Urge urinary incontinence or overactive bladder.
- Stress urinary incontinence due to urethral sphincter incompetence.
- Mixed urinary incontinence (both stress and urge urinary incontinence).
- Overflow incontinence with continuous urine leakage. This results from hypotonic bladder or bladder outlet obstruction, both of which produce urinary retention.

# OVERACTIVE BLADDER AND URGE URINARY INCONTINENCE

Detrusor instability produces uncontrolled bladder contractions during normal filling. 'Overactive bladder' is defined as urinary urgency (a sudden compelling desire to urinate) with or without urge urinary incontinence (the associated involuntary leakage of urine). Other symptoms can include urinary frequency (the need to urinate many times) and nocturia (the need to urinate at night). Most cases of overactive bladder in women are idiopathic but probably have a neurogenic component, whereas in men, bladder outflow obstruction is the most common initiating factor (discussed later). Upper motor neuron lesions, such as those produced by stroke, spinal cord injuries or multiple sclerosis, can also produce an overactive bladder.

# DRUGS FOR TREATMENT OF OVERACTIVE BLADDER

Increased understanding of the neural pathways involved in initiating micturition is opening up new avenues for drug therapy to augment the relatively ineffective treatments currently available.

# **Muscarinic Receptor Antagonists**

Examples

darifenacin, fesoterodine, oxybutynin, solifenacin, tolterodine, trospium

Oxybutynin is a selective antagonist of  $M_1$  and  $M_3$  receptors and has additional weak muscle-relaxant properties through calcium channel blockade and local anaesthetic activity. It is rapidly absorbed from the gut and metabolised in the liver to an active metabolite. Oxybutynin has a short half-life (1–3 hours) and use of standard formulations can result in large fluctuations in plasma drug concentrations and increase the severity of unwanted effects. Modified-release and transdermal formulations prolong the duration of action. Oxybutynin is lipophilic and crosses the blood–brain barrier, where it can produce sedation, insomnia, confusion and cognitive problems by  $M_1$  receptor blockade.  $M_3$  receptor blockade in salivary glands causes dry mouth.

Tolterodine, fesoterodine and trospium are nonselective muscarinic receptor antagonists with no additional properties. They are less lipophilic than oxybutynin and do not readily cross the blood-brain barrier, producing fewer cognitive unwanted effects. Both tolterodine and trospium have short half-lives. Tolterodine is better tolerated in a modified-release formulation.

Darifenacin and solifenacin are more selective antagonists of  $M_3$  receptors and also have fewer central nervous system actions than oxybutynin.

#### β<sub>3</sub>-Adrenoceptor Agonist

Example mirabegron

Stimulation of  $\beta_3$ -adrenoceptors in the bladder trigone by mirabegron flattens and lengthens the bladder base, which facilitates urine storage. Mirabegron reduces symptoms of urinary frequency and urgency with efficacy similar to that of muscarinic receptor antagonists. The main adverse effects are an increase in blood pressure and heart rate, and mirabegron is contraindicated in people with severe hypertension.

#### MANAGEMENT OF OVERACTIVE BLADDER

First-line approaches to management include reduction in excessive fluid intake, weight loss, smoking cessation and behavioural training that includes pelvic floor muscle rehabilitation and suppression of urge. If this is not sufficient, then a muscarinic receptor antagonist is usually used. Transdermal delivery should be considered if oral treatment is poorly tolerated. Oxybutynin should not be given to frail older people with cognitive impairment. The need for continued use of muscarinic receptor antagonists should be reviewed after 6 months. Mirabegron is an option for those who fail to respond to muscarinic receptor antagonists, or who cannot tolerate them.

Oral desmopressin, a synthetic vasopressin (ADH) analogue (see Chapter 43), is sometimes helpful to reduce nocturia in overactive bladder syndrome. The

nasal spray formulation is no longer licensed for this indication because of the risk of water intoxication.

Intravesical botulinum toxin type A (see Chapter 27) can be considered if conservative treatment is ineffective, but intermittent bladder catheterisation may be needed for urinary retention. Options for intractable symptoms include percutaneous sacral nerve stimulation or surgery.

# HYPOTONIC BLADDER

Hypotonic bladder is often a result of lower motor neuron lesions or can arise from bladder distension following chronic urinary retention. Hypotonic bladder leads to incomplete bladder emptying, with urinary retention and overflow incontinence. Muscarinic receptor antagonists discussed previously and drugs with antimuscarinic properties (such as tricyclic antidepressants; see Chapter 22) make the symptoms worse.

Treatment depends on the cause.

- Chronic urinary retention is often caused by bladder outlet obstruction. If renal function is impaired, it should initially be managed by bladder catheterisation. Correction of the underlying cause is the mainstay of treatment if a long-term urinary catheter is to be avoided.
- Neurogenic problems usually require long-term bladder catheterisation.

# **URETHRAL SPHINCTER INCOMPETENCE**

Urethral sphincter incompetence produces the clinical syndrome of stress urinary incontinence (urine leakage with effort, exertion, sneezing or coughing). Urethral hypermobility is the usual pathology, and the most common cause in women is loss of collagenous support in the pelvic floor or perineum resulting from trauma or hormonal changes. Internal urethral sphincter dysfunction is less common, arising from pelvic trauma, childbirth, radiation or following prostatectomy in males. Drugs such as  $\alpha_1$ -adrenoceptor antagonists can make stress incontinence worse.

Pelvic floor muscle training for at least 8 to 12 weeks is the recommended treatment. Minimal access surgical sling procedures or colposuspension in women to provide urethral support are among the surgical options.

Drug therapy is limited and only recommended if surgical treatment is not suitable. In postmenopausal women, topical oestrogen (see Chapter 45) may be helpful. Oestrogen stimulates urethral mucosal proliferation and enhances the internal urethral sphincter response to neural stimulation. Duloxetine is a serotonin and noradrenaline reuptake inhibitor (SNRI; see Chapter 22) that augments sympathetic nervous system activity. It relaxes the detrusor and enhances

Box <b>15.1</b>	Lower Urinary	y Tract Sy	mptoms (	(LUTS)
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VOIDING	POST-MICTURITION	STORAGE
Symptoms	Symptoms	Symptoms
Hesitancy Slow stream Intermittent stream Straining to pass urine Splitting or spraying of stream Terminal dribbling	Feeling of incomplete bladder emptying Urinary retention Post-micturition dribble	Urgency Frequency Nocturia Urgency incontinence

external urethral sphincter activity by increasing efferent impulses in the motor neurons of the pudendal nerve when the bladder is placed under stress. Duloxetine significantly reduces the frequency of incontinence episodes in about half of those treated. It is recommended for people who are averse to surgery or who are poor candidates for surgery.

# LOWER URINARY TRACT SYMPTOMS IN MEN AND BENIGN PROSTATE ENLARGEMENT

LUTS is a broad term that refers to any combination of symptoms arising from the lower urinary tract, including urgency, frequency, hesitancy (trouble initiating urination), poor flow and terminal dribbling. Most LUTS in men are caused by prostatic hypertrophy; there are a large number of other possible causes including urethral stricture, prostate cancer and overactive bladder syndrome. Benign prostate enlargement (also known as benign prostate enlargement (also known as benign prostate symptoms, voiding symptoms and postmicturition symptoms (Box 15.1). The storage symptoms result from functional changes in the detrusor. Isolated storage symptoms in men are usually due to overactive bladder.

Symptoms of benign prostate enlargement are present in more than 25% of men older than 60 years, and up to 70% of men older than 70 years. Left untreated, spontaneous improvement occurs or symptoms remain stable in up to half of those affected. Acute urinary retention occurs at a rate of 1% to 2% per year. Scoring systems can reliably quantify the extent to which symptoms affect the quality of life and therefore guide treatment.

#### DRUGS FOR BENIGN PROSTATE ENLARGEMENT

#### Alpha<sub>1</sub>-Adrenoceptor Antagonists



Selective  $\alpha_1$ -adrenoceptor antagonists such as doxazosin inhibit contraction of hypertrophied smooth muscle in prostatic tissue and also the internal ure-thral sphincter, without affecting the detrusor. Relaxation of these muscles improves both urine flow rate and symptoms of benign prostate enlargement. Tamsulosin and alfuzosin have modest selectivity for the  $\alpha_{1A}$ -adrenoceptor subtype in the smooth muscle of the prostate, but they can still cause postural hypotension by an effect on arterial smooth muscle. More information about  $\alpha_1$ -adrenoceptor antagonists is found in Chapter 6.

### **5**α-Reductase Inhibitors

Examples

dutasteride, finasteride

Inhibition of  $5\alpha$ -reductase reduces the enzymatic conversion of testosterone to dihydrotestosterone (DHT) in prostatic cells but does not affect circulating testosterone levels. DHT is involved in prostate growth, and inhibition of its production can reduce prostate volume by up to 30%. There are two isoenzymes of  $5\alpha$ -reductase, both found in the prostate. Finasteride only inhibits the type 2 isoenzyme, whereas dutasteride inhibits both the types 1 and 2 isoenzymes. Despite the different patterns of isoenzyme inhibition, the efficacy of these drugs is similar.

#### **Pharmacokinetics**

Both finasteride and dutasteride are well absorbed after oral administration and eliminated by hepatic metabolism. Finasteride has a half-life of about 6 hours, whereas the half-life of dutasteride is extremely long, at about 4 weeks.

#### Unwanted effects

- Reduced libido.
- Erectile impotence or decreased ejaculation.
- Breast tenderness or enlargement.
- Reduction of the plasma concentration of prostatespecific antigen by an average of 50%, which should be considered when screening for prostate cancer.

# Plant Extracts

# Examples

saw palmetto plant extracts, β-sitosterol plant extract

Plant extracts are available over the counter but their composition is not standardised. There is little convincing evidence that they are effective for symptoms of LUTS in benign prostate enlargement. It is uncertain how they might work, but they may reduce the synthesis of DHT or inhibit expression of prostatic growth factors. Plant extracts are well tolerated, with unwanted effects mainly confined to gastrointestinal upset.

# TREATMENT OF LOWER URINARY TRACT SYMPTOMS WITH BENIGN PROSTATE ENLARGEMENT

Many symptomatic individuals do not require or want treatment, and a policy of 'watchful waiting' will be appropriate.

Selective  $\alpha_1$ -adrenoceptor antagonists are the firstchoice drugs for improving symptoms and urinary flow rates. Symptoms improve within a few days, but maximum benefit may take several weeks. About two-thirds of those treated will respond, but selective  $\alpha_1$ -adrenoceptor antagonists do not reduce the risk of urinary retention or the subsequent need for surgery for prostatic enlargement.

 $5\alpha$ -Reductase inhibitors usually take 6 months to improve LUTS, but the improvements are maintained. These drugs may be more effective with larger-volume prostates as they reduce prostatic volume by about 25%. Unlike  $\alpha_1$ -adrenoceptor antagonists,  $5\alpha$ -reductase inhibitors reduce both the risk of urinary retention and the need for surgery.

Additional symptomatic benefit can be obtained from combining an  $\alpha_1$ -adrenoceptor antagonist with finasteride or dutasteride. If storage symptoms persist despite use of an  $\alpha_1$ -adrenoceptor antagonist, then a muscarinic receptor antagonist can be added. Tadalafil, a phosphodiesterase inhibitor used for erectile dysfunction (see Chapter 16), also marginally improves symptoms of LUTS and increases urinary flow rate in men with benign prostate enlargement. However, there does not appear to be additional benefit to adding tadalafil to an  $\alpha$ -blocker or  $5\alpha$ -reductase inhibitor. It may be an option for those with mild or moderate LUTS together with erectile dysfunction.

Surgical treatment is usually required for severe LUTS or for complications of prostatic enlargement (Box 15.2). Transurethral resection of the prostate improves symptoms in 70% to 90% of those treated. Long-term sequelae of this procedure include impotence (5%–10%), retrograde ejaculation (80%–90%) and incontinence (<5%). Several less invasive procedures are now available, but they may be less successful for relieving symptoms and do not reduce the risk of

# Box 15.2 Indications for Surgery in Patients with Benign Prostate Enlargement.

Acute retention of urine Chronic retention of urine Recurrent urinary tract infection Bladder stones Renal impairment owing to benign prostatic hypertrophy Large bladder diverticula Severe symptoms long-term consequences, although they produce fewer immediate postoperative complications.

# SELF-ASSESSMENT

### **TRUE/FALSE QUESTIONS**

- 1. Urinary bladder function is controlled by both voluntary and involuntary nervous pathways.
- 2. The antimuscarinic drug darifenacin causes urinary frequency and urge incontinence.
- 3. The antidepressant drug duloxetine can be used to treat stress incontinence.
- 4. Blockade of  $\alpha_1$ -adrenoceptors in the bladder neck smooth muscle improves urine flow rates.
- 5. Finasteride reduces prostate volume by blocking testosterone receptors.

#### **EXTENDED-MATCHING-ITEM QUESTIONS**

Choose the *most appropriate* pharmacological option A–E for each of the case scenarios (1–3) provided below.

- A. Tamsulosin
- B. Finasteride
- C. Duloxetine
- D. Amitriptyline
- E. Oxybutynin
- 1. A 50-year-old man with a 2-year history of difficulty in urinating and hesitancy was found to have benign prostate enlargement with a significantly enlarged prostate. He was given a 1-month trial of treatment with an  $\alpha_1$ -adrenoceptor antagonist, which did not improve his symptoms. He did not, at this stage, want to undergo surgery. What pharmacological treatment might be of benefit?
- 2. A 30-year-old woman with normal bladder function complained of difficulty in urination after being prescribed new medication for neuropathic pain. She was found to have urinary retention. What class of medicine could cause this effect?
- 3. A 60-year-old woman had severe urge incontinence. She urinated 16 to 20 times a day and had leakage two to three times a day and at night. What treatment could she be given?

#### **CASE-BASED QUESTION**

A 65-year-old man developed progressive urinary problems over a 5-year period. He had difficulty passing urine and was getting up three times in the night to pass urine. A rectal examination by his general practitioner (GP) showed an enlarged prostate. Ultrasound, flow tests and a normal prostate-specific antigen measurement suggested benign prostate enlargement.

- 1. What pharmacological approaches to the treatment of benign prostate enlargement could be considered?
- 2. What are the unwanted effects of these treatments?
- 3. What are the possible outcomes of not giving treatment?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **True.** Bladder function is controlled by involuntary parasympathetic and sympathetic innervation of the detrusor and sphincter muscles, and by voluntary control via the somatic nervous system.
- 2. False. Darifenacin blocks muscarinic receptors with some selectivity for the M<sub>3</sub> subtype, inhibiting the parasympathetic effects on the detrusor muscle. It is used for treatment of overactive bladder.
- 3. **True**. Duloxetine inhibits the reuptake of serotonin and noradrenaline and increases the contractility of the urethral sphincters.
- 4. **True.** Selective antagonism by tamsulosin of the  $\alpha_{1A}$ -adrenoceptor subtype in bladder smooth muscle may reduce unwanted vasodilator effects.
- 5. **False.** Finasteride and dutasteride block the conversion of testosterone to DHT by inhibiting  $5\alpha$ -reductase in the prostate.

# **EXTENDED-MATCHING-ITEM ANSWERS**

- 1. Answer **B**. Finasteride inhibits the conversion of testosterone to DHT, which is a promoter of prostatic cell growth. A reduction of up to 30% in prostate size can be obtained. Symptomatic benefit may be increased if finasteride and an  $\alpha_1$ -adrenoceptor antagonist are given together.
- 2. Answer **D**. The tricyclic antidepressant amitriptyline is also an antagonist at muscarinic receptors and may inhibit the micturition reflex.
- 3. Answer **C**. Duloxetine could be tried if the condition were caused by sphincter incompetence. Duloxetine increases the levels of noradrenaline and serotonin in the synapse and the activity of the motor neurons in the pudendal nerve. Pelvic floor exercises should also be suggested, as drug therapy is of limited benefit.

# **CASE-BASED ANSWERS**

- 1. Drugs may be used in mild disease and while awaiting a transurethral resection of the prostate. Selective  $\alpha_1$ -adrenoceptor antagonists increase urine flow to a limited extent but also decrease urgency, frequency and hesitancy. The 5 $\alpha$ -reductase inhibitor finasteride slowly reduces prostate size.
- 2.  $\alpha_1$ -Adrenoceptor antagonists can cause postural hypotension, especially with the first dose. They cause dizziness and can interact with other drugs to lower blood pressure. Finasteride can reduce libido and cause impotence.
- 3. The outcome is variable; symptoms may not worsen appreciably for many years, but moderate symptoms can lead to a poor quality of life. Complications include urinary retention, incontinence and renal insufficiency owing to hydronephrosis.

# **FURTHER READING**

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# Compendium of Drugs Used to Treat Disorders of Micturition.

DRUG	CHARACTERISTICS
Drugs for Urinary Retention	
All drugs taken orally.	
$lpha_1$ -Adrenoceptor Antagonists	
Relax internal sphincter smooth musc	le to improve urinary flow; may cause hypotension. See Chapter 6 for more information.
Alfuzosin	Similar to doxazosin but selectivity for $\alpha_{1A}$ -adrenoceptors in the urinary tract; may reduce vasodilator effects at $\alpha_{1B}$ - and $\alpha_{1D}$ -adrenoceptors.
Doxazosin	May cause postural hypotension, especially with first dose.
Indoramin	Similar to doxazosin.
Prazosin	Similar to doxazosin.
Tamsulosin	Relatively selective for $\alpha_{1A}$ -adrenoceptors in the urinary tract. Also available in combination with solifenacin (muscarinic $M_3$ antagonist).
Terazosin	Similar to doxazosin.

# Compendium of Drugs Used to Treat Disorders of Micturition-cont'd.

DRUG	CHARACTERISTICS
Parasympathomimetic	
Bethanechol	Muscarinic agonist increases bladder detrusor activity; largely superseded by bladder catheterisation.
$5\alpha$ -Reductase Inhibitors	
Used as alternative to $\alpha_1$ -adrenocepto	or antagonists, particularly in men with benign prostate enlargement.
Dutasteride	Similar profile to finasteride but blocks 5α-reductase types 1 and 2. Very long half-life (3–5 weeks), requiring about 6 months to reach steady state. Also available in combination with tamsulosin.
Finasteride	Reduces prostate growth by blocking synthesis of dihydrotestosterone by $5\alpha$ -reductase type 2.
Drugs for Urinary Frequency, Enuresis an	d Incontinence
All drugs taken orally.	
Muscarinic Receptor Antagonists	
Nonselective drugs given as extended are selective for muscarinic recepto	l-release or transdermal formulations may have fewer antimuscarinic effects. Newer drugs r subtypes.
Darifenacin	Selective $\mathrm{M}_{\scriptscriptstyle 3}$ receptor antagonist used for urinary frequency, urgency and incontinence.
Flavoxate	Nonselective muscarinic antagonist; fewer unwanted effects than oxybutynin, but also weaker clinical action
Fesoterodine	Nonselective muscarinic antagonist used for urinary frequency, urgency and incontinence; prodrug converted to an active metabolite of tolterodine.
Oxybutynin	Nonselective muscarinic antagonist used for urinary frequency, urgency and incontinence, and for nocturnal enuresis in children. Antimuscarinic side-effects at M <sub>1</sub> receptors in central nervous system limit the use of higher doses.
Propantheline	Quaternary amino compound; rarely used.
Propiverine	Nonselective muscarinic antagonist used for urinary frequency, urgency and incontinence.
Solifenacin	Selective $M_3$ receptor antagonist used for urinary frequency, urgency and incontinence. Also available in combination with tamsulosin ( $\alpha_{1A}$ -adrenoceptor antagonist).
Tolterodine	Selective M <sub>2</sub> /M <sub>3</sub> muscarinic antagonist used for urinary frequency, urgency and incontinence, and for nocturnal enuresis in children.
Trospium	Nonselective muscarinic antagonist used for urinary frequency, urgency and incontinence.
Serotonin and Noradrenaline Reuptake In	hibitor
Duloxetine	Used for moderate to severe stress incontinence in women.
$\beta_3$ -Adrenoceptor Agonist	
Mirabegron	Used for overactive bladder syndrome in adults.

# **Erectile Dysfunction**

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# **PHYSIOLOGY OF ERECTION**

Achieving and maintaining an erection is a spinal reflex that involves a complex series of interactions among the central nervous system (CNS), the autonomic nervous system and local mediators. Mechanical stimulation produces erection with little input from the CNS. The CNS can initiate erection in the absence of direct mechanical stimulation, when psychological, visual, olfactory, auditory and tactile stimuli are all important. Nocturnal erections mainly occur during rapid eye movement sleep, and are initiated by brainstem activation. The primary innervation that initiates penile erection is the parasympathetic nervous system through the sacral plexus. There are several components involved in achieving full penile erection:

- Parasympathetic stimulation (via release of acetylcholine) relaxes smooth muscle in the trabecular arteries supplying the vascular erectile tissues of the penis, the corpora cavernosa and to a lesser extent the corpus spongiosum. These tissues run the length of the penis and contain sinusoids that fill with blood (Fig. 16.1).
- Pressure rises within the corpora cavernosa as they become engorged and the sinusoids expand. The penis elongates and widens (tumescence).
- The rise in pressure in the sinusoids compresses the venous plexus and reduces venous outflow, thus enhancing the erection (the corporeal veno-occlusive mechanism).
- The pudendal nerve (carrying parasympathetic innervation) stimulates the ischiocavernosus and bulbospongiosus muscles at the base of the penis. This further compresses the veins of the corpora cavernosa against the tunica albuginea, reduces venous outflow from the penis and maintains full erection. Muscle fatigue eventually permits venous outflow and detumescence. Basal tone in the sympathetic

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nervous system produces vasoconstriction in the penile arteries and inhibits erection.

Many locally produced mediators are involved in achieving and maintaining an erection. Nitric oxide (NO) is crucial for the smooth muscle relaxation in penile arteries. It is synthesised by blood vessel endothelial cells and released in response to parasympathetic stimulation, and also generated from nonadrenergic noncholinergic nerves in the corpora cavernosa. NO generates intracellular cyclic guanosine monophosphate (cGMP), which activates protein kinase G and causes vasodilation. Cyclic GMP is degraded to GMP in the vascular smooth muscle by phosphodiesterase type 5 (PDE5; see Table 1.1), which terminates its effects. Inhibition of PDE5 is a primary target for the pharmacological treatment of erectile dysfunction (discussed later).

Other vasodilators are involved in penile vascular smooth muscle relaxation, including vasoactive intestinal peptide (VIP), calcitonin gene-related peptide (CGRP) and prostaglandin  $E_1$ , but their precise roles are less understood. Numerous CNS facilitatory mediators have been identified, including dopamine, acetyl-choline and a variety of peptides. These are involved in the psychological preparedness that is essential for an erection to occur.

# **ERECTILE DYSFUNCTION**

Erectile dysfunction is defined as the consistent inability to achieve or sustain an erection of sufficient rigidity for sexual intercourse. It is a common problem, affecting up to 50% of adult men, with up to 10% over the age of 40 years having complete erectile dysfunction. Any disease process that affects penile neural supply, arterial inflow or venous outflow can produce erectile dysfunction. There is a physical cause in about 80% of cases (Box 16.1), but a psychological component often coexists. Psychogenic erectile dysfunction is more common in younger men. Drugs are



**Fig. 16.1 Cross-section of the penis, showing structures involved in erection.** This diagram shows only part of the rich nervous and vascular filling and drainage system in the penis. The left-hand side shows the situation in the flaccid penis, and the right-hand side shows the erect penis. The rising pressure during erection limits the venous outflow, thus maintaining the erection. The penis contains three cylinders of erectile tissue: two corpora cavernosa, and the corpus spongiosum, which contains the urethra. The cylinders of erectile tissue are divided into spaces known as sinusoids or lacunae, which are lined by vascular epithelium. The walls of these spaces are made up of thick bundles of smooth muscle cells within a framework of fibroblasts, collagen and elastin (trabeculae). The erectile tissues are supplied with blood from the cavernosal and helicine arteries, which drain into the sinusoidal spaces. Blood is drained from the sinusoidal spaces through emissary veins. The venules join together to form larger veins that drain into the deep dorsal vein or other veins at different parts of the penis. Arterial and sinusoid dilation is important for erection, while swelling is limited by the inelastic tunica albuginea.

# Box 16.1 Common Causes of Erectile Dysfunction.

Diabetes mellitus Vascular disease Prostate surgery Drugs and substance abuse (see Table 16.1) Testosterone deficiency Neurological disease (e.g. multiple sclerosis, Alzheimer's disease, epilepsy) Spinal cord injury Psychological factors (20% as a primary cause; more commonly secondary to physical problems)

an important cause of erectile dysfunction, particularly many antihypertensive, psychotropic and 'recreational' drugs (including alcohol), which account for up to 25% of cases. Drugs can also affect libido and therefore arousal, or inhibit ejaculation in those who achieve an erection (Table 16.1).

# **DRUGS FOR ERECTILE DYSFUNCTION**

# **ORAL PHOSPHODIESTERASE INHIBITORS**

Examples

avanafil, sildenafil, tadalafil, vardenafil

#### **Mechanism of Action and Uses**

Avanafil, sildenafil, tadalafil and vardenafil are orally active analogues of cGMP that selectively inhibit PDE5 and inhibit degradation of cGMP generated by NO release in penile tissue. PDE5 is also found in lower concentrations in other vascular and visceral smooth muscles, and in skeletal muscle and platelets.

Sexual stimulation to initiate release of NO is essential for these drugs to produce an erection, and the drug will then prolong the vasodilator effect of NO on penile arterial smooth muscle. If an appropriate dose of the drug is used, about 60% of men with erectile dysfunction will achieve erections sufficient to permit intercourse. The response is often better if precipitating factors are also treated, such as depression or excess alcohol consumption.

Sildenafil and tadalafil are also used to treat pulmonary hypertension (see Chapter 6).

# **Pharmacokinetics**

The median time to onset of action is 30 to 45 minutes. The absorption of avanafil, sildenafil and vardenafil is delayed by a fatty meal, whereas the absorption of tadalafil is unaffected by food. All are eliminated by hepatic metabolism, mediated primarily by CYP3A4. Avanafil, sildenafil and vardenafil have durations of action from about 6 hours up to 8 or 12 hours. The elimination of tadalafil is slower and its duration of action is up to about 24 to 36 hours; therefore the timing of sexual activity in relation to drug intake is less relevant with this drug.

#### **Unwanted Effects**

- Dyspepsia, nausea, vomiting.
- Hypotension, dizziness, flushing, headache and nasal congestion from systemic vasodilation.

# Table 16.1 Drugs That Commonly Cause Male Sexual Dysfunction.

	LOSS OF LIBIDO	ERECTILE DYSFUNCTION	EJACULATORY DYSFUNCTION
Antihypertensives			
Beta-adrenoceptor antagonists		+	
Alpha-adrenoceptor antagonists			+
Methyldopa	+	+	+
Thiazide diuretics		+	
Psychotropic Drugs			
Phenothiazines	+	+	+
Benzodiazepines	+	+	+
Tricyclic antidepressants	+	+	
Selective serotonin reuptake inhibitors	+	+	
Other Prescription Drugs			
Spironolactone	+		
Digoxin		+	
Cimetidine/ranitidine	+	+	
Metoclopramide	+	+	
Carbamazepine	+	+	
Recreational Drugs			
Alcohol		+	+
Marijuana		+	
Cocaine	+	+	
Amphetamines	+	+	+
Anabolic steroids	+	+	

• Myalgia, back pain.

- PDE6 (involved in phototransduction in the eye) is inhibited by high doses of sildenafil, but less so by avanafil, tadalafil or vardenafil. PDE6 inhibition can cause visual disturbance (enhanced perception of bright lights, or a 'blue halo' effect) and raised intraocular pressure. Ischaemic optic neuropathy can cause sudden visual impairment.
- Priapism, a painful and sustained erection, is a rare consequence of PDE5 inhibitor use.
- Drug interactions: Oral PDE5 inhibitors should not be used together with nitrates or nicorandil (see Chapter 5), because of a synergistic effect on vascular NO with exaggerated vasodilator effects. Several antiviral drugs, such as saquinavir (see Table 2.7 and Chapter 51), inhibit the CYP3A4 isoenzyme that metabolises oral PDE5 inhibitors and can potentiate their effects.

# **ALPROSTADIL**

Alprostadil is a synthetic prostaglandin  $E_1$  analogue. It vasodilates by acting on smooth muscle cell surface receptors to increase intracellular cyclic adenosine monophosphate (cAMP), which in turn reduces the intracellular Ca<sup>2+</sup> concentration. Intracavernosal injection of alprostadil can enhance erections if penile arterial flow is normal, such as occurs with neurogenic and psychogenic impotence. It should not be used if the

person has bleeding tendencies and may be problematic if there is poor manual dexterity or morbid obesity. The injection is made into the side of the penis directly into the corpus cavernosum. Local pain after injection is a common unwanted effect reported by one-third of users and can be reduced by the addition of a local anaesthetic such as procaine (see Chapter 18) to the injected fluid. Rapid local metabolism of alprostadil minimises unwanted systemic effects, but headache and dizziness can occur. Priapism is the most worrying complication which may require aspiration and lavage of the corpora cavernosa.

Alprostadil is also available as a cream for application to the tip of the penis (formulated to enhance dermal penetration) or for intraurethral application using a small pellet. These formulations are less effective than the injection. In responders, an erection develops within 30 minutes of local application of alprostadil and lasts for 1 to 2 hours. Alprostadil has uterine-stimulant activity, and when the cream or pellet is used, a condom is recommended if the partner is pregnant.

# MANAGEMENT OF ERECTILE DYSFUNCTION

Initial management of erectile dysfunction involves assessment and treatment of any underlying psychological cause or physical disease or, if possible, withdrawal of a causative drug. A psychogenic cause is most common in younger men and should be suspected if nocturnal and early morning erections are maintained, or an erection can be achieved through masturbation. Causes and treatment options for persistent organic erectile dysfunction include:

- Lifestyle changes such as exercise, stopping smoking and weight loss which can be effective for mild dysfunction.
- Testosterone-replacement therapy for hypogonadism (see Chapter 46), an uncommon cause of impotence.
- Hyperprolactinaemia impairs erection and is most commonly caused by drug therapy (e.g. with phenothiazines); it can be improved by oral dopamine agonists (see Chapter 43) if the cause cannot be treated.
- Herbal remedies are widely promoted but lack good-quality evidence to support their use. They include *Panax ginseng* (stimulates NO release), *Butea superba* (action unknown) and yohimbine (CNS presynaptic  $\alpha_2$ -adrenoceptor agonist).
- An oral PDE5 inhibitor. Alprostadil is used as a second-line treatment if a PDE5 inhibitor is contraindicated or poorly tolerated.
- Mechanical aids, such as the vacuum constriction device, are usually advised for older people who do not respond to pharmacological treatment and do not wish to have surgery.
- Penile implants using a malleable or inflatable prosthesis.

# PREMATURE EJACULATION

Premature ejaculation is orgasm with expulsion of semen following minimal penile stimulation. It is usually defined as ejaculation that occurs less than 1 minute after the onset of stimulation or vaginal penetration, and can produce significant psychological distress.

Ejaculation begins with emission of fluid from the ampullary vas deferens, seminal vesicles and prostate into the urethra under control of the sympathetic nervous system. Expulsion of the resulting semen from the urethra is due to a spinal reflex that produces rhythmic urethral contractions through pudendal nerve stimulation of the bulbospongiosus muscle.

Dapoxetine is a short-acting selective serotonin reuptake inhibitor (SSRI) that prolongs intravaginal latency time from less than a minute to an average of over 3 minutes. The mechanism of action is unclear but may involve increased serotonin in the brainstem, modulating pudendal nerve activity via descending pathways. Dapoxetine is taken 'on demand' 1 to 3 hours before sexual activity. The most common adverse effects are dizziness, headache and nausea.

# SELF-ASSESSMENT

# **TRUE/FALSE QUESTIONS**

- 1. Sildenafil should not be taken by men already taking nitrates.
- 2. Sexual stimulation is a prerequisite for sildenafil to cause an erection.
- 3. Phosphodiesterase 5 (PDE5) is only found in the vasculature in the penis.
- 4. Increased parasympathetic outflow to the penis causes a failure of erection.
- 5. Erections caused by injected drugs such as alprostadil are not easy to control.
- 6. Dapoxetine is a PDE5 inhibitor used for erectile dysfunction.
- 7. Diabetes can cause erectile dysfunction.
- 8. The durations of action of PDE5 inhibitors used for erectile dysfunction are similar.
- 9. PDE5 inhibitors inhibit the breakdown of cAMP.
- 10. Alprostadil reduces prostaglandin synthesis by inhibiting cyclooxygenase-1 (COX-1).

# **ONE-BEST-ANSWER (OBA) QUESTIONS**

- Which class of drugs used in hypertension is associated with erectile dysfunction and/or loss of libido?
   A. ACE inhibitors
  - B. Angiotensin II receptor antagonists
  - C. β-Adrenoceptor antagonists
  - D. Calcium channel blockers
  - E. Potassium-sparing diuretics
- 2. Which statement concerning drug action and erectile dysfunction is the *least accurate*?
  - A. Alcohol can cause erectile and ejaculatory difficulties.
  - B. Cimetidine can exacerbate the potential for sildenafil to cause headache.
  - C. Nicorandil is safe when taken together with tadalafil.
  - D. Amitriptyline can cause impotence.
  - E. Sildenafil prevents the breakdown of cGMP.

# **CASE-BASED QUESTIONS**

Mr J.A., aged 56 years, presented with erectile dysfunction of gradual onset over the last 2 to 3 years. He has type 2 diabetes mellitus and was hypertensive with a blood pressure of 160/105 mm Hg. He was being treated with enalapril and bendroflumethiazide. Mr J.A. also reported chest pain on strenuous exertion that was relieved by rest. He has a family history of coronary artery disease, smokes 30 cigarettes a day and drinks 4 pints of beer each night. Investigation showed that he had hypercholesterolaemia and there were signs of peripheral arterial disease. Tests for liver function and testosterone were normal and no organic reason for the dysfunction was found. Mr J.A. also has recurrent heartburn, for which he is taking over-the-counter cimetidine on most days. It was decided not to prescribe a pharmacological agent for his erectile dysfunction at this stage, but a number of suggestions and recommendations were made. After 3 months, during which time Mr J.A. followed the advice he was given, his blood pressure was near normal limits and his cholesterol was lower. He was still regularly taking cimetidine. However, his erectile dysfunction persisted. Following discussions it was decided that Mr J.A. should try sildenafil.

- 1. Which of these factors could contribute to his erectile dysfunction, and what recommendations would you suggest?
- 2. From his history, what precautions should be taken in prescribing sildenafil and what advice should Mr J.A. be given?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **True.** Nitrates result in increased nitric oxide (NO) production and this elevates cGMP. Sildenafil also elevates cGMP by blocking its breakdown and the combination with nitrates can lead to additive unwanted effects particularly hypotension.
- 2. **True.** Sildenafil and other PDE5 inhibitors prolong the vasodilator action of NO produced as a result of sexual stimulation.
- 3. **False.** PDE5 is found in some other blood vessels and tissues, which can result in unwanted effects when sildenafil is given, such as a 'blue halo' effect caused by inhibition of PDE6 in the retina.
- 4. **False.** Parasympathetic stimulation enhances erection, and drugs known to inhibit the parasympathetic outflow (e.g. tricyclic antidepressants) can cause erectile failure.
- 5. **True.** Painful priapism with erections lasting many hours can occur with intracavernosal drugs.
- 6. **False.** Dapoxetine is a short-acting SSRI used for premature ejaculation.
- 7. **True.** Diabetes mellitus probably causes erectile problems through vascular dysfunction.
- 8. **False.** Tadalafil has a much longer biological halflife than sildenafil, allowing it to be taken up to 36 hours before sexual activity.
- 9. **False.** Sildenafil inhibits the breakdown of cGMP, not cAMP.
- 10. **False.** Alprostadil is a synthetic prostaglandin  $E_1$  analogue, which vasodilates by increasing cAMP.

# **OBA ANSWERS**

- 1. **Answer C** is correct. β-Adrenoceptor antagonists used in resistant hypertension and other cardiovascular conditions are associated with erectile dysfunction.
- 2. **Answer C** is the *least* accurate.
  - A. True. Alcohol use is a recognised cause of erectile and ejaculatory dysfunction.
  - B. True. Cimetidine inhibits the isoenzyme CYP3A4 that metabolises sildenafil, enhancing its vasodilator effects, including headache.

- C. **False**. Nicorandil is a K<sup>+</sup> channel opener (see Chapter 5) that also has a nitrate structure; this increases cGMP formation and would add to the effects of tadalafil, with the potential for increased unwanted effects.
- D. True. Amitriptyline has antimuscarinic actions that could decrease blood vessel dilation in the penis, thereby inhibiting erection.
- E. True. Blocking the breakdown of cGMP is the main mechanism of action of sildenafil, leading to vasodilation.

# **CASE-BASED ANSWERS**

- 1. The contribution of psychological factors to Mr J.A.'s erectile dysfunction needs to be assessed and dealt with if they are present. Smoking and alcohol consumption may also contribute and Mr J.A. should be helped to manage these. Because of the evidence of peripheral arterial disease and coronary artery disease, which are known to be associated with erectile dysfunction, it would be advisable to be more intensive in treating his high blood pressure and reducing his cholesterol levels. Although this is unlikely to restore erectile function, it may improve his well-being and have a psychological benefit. His ACE inhibitor is an appropriate antihypertensive drug as he has type 2 diabetes mellitus, but thiazide diuretics such as bendroflumethiazide can contribute to erectile problems (see Table 16.1). Mr J.A.'s thiazide could be replaced with a calcium channel blocker, which have not been shown to contribute to erectile dysfunction.
- 2. Cimetidine is an inhibitor of hepatic CYP3A4 (see Table 2.7) that metabolises sildenafil, so the initial dose of sildenafil should be reduced or Mr J.A. could use ranitidine, which does not inhibit CYP3A4. However, all  $H_2$  receptor blockers are associated with erectile dysfunction and loss of libido, so a proton pump inhibitor might be preferable for his heartburn. Studies of sildenafil in patients with a history of cardiovascular disease have shown that the simultaneous use of nitrates is an absolute contraindication. Mr J.A. should be told about the dangers of drug interactions and possible unwanted effects.

# FURTHER READING

- European Association of Urology, 2014. Guidelines on male sexual dysfunction: erectile dysfunction and premature ejaculation. https://uroweb.org/wp-content/uploads/EAU-Guidelines-Male-Sexual-Dysfunction-2016-3.pdf.
- Gur, S., Kadowitz, P.J., Sikka, S.C., 2016. Current therapies for premature ejaculation. Drug Discov. Today 21, 1147–1154.
- Muneer, A., Kalsi, J., Nazareth, I., et al., 2014. Erectile dysfunction. BMJ 348, g129.
- Shamloul, R., Ghanem, H., 2013. Erectile dysfunction. Lancet 381, 153–165.

# Compendium of Drugs Used to Treat Erectile Dysfunction and Premature Ejaculation.

DRUG	CHARACTERISTICS		
Drugs Used for Erectile Dysfunction			
All drugs for erectile dysfunction should be used w	ith caution in people with cardiovascular disease.		
Phosphodiesterase Type 5 Inhibitors			
All the PDE5 inhibitors are given orally for erectile dysfunction. The time to onset of drug action is typically 30–60 minutes and the duration of action is typically 4–8 hours unless otherwise stated.			
Avanafil	Rapid onset of action (15–30 min).		
Sildenafil	Also used for pulmonary arterial hypertension (see Chapter 6).		
Tadalafil	Long duration of action means tadalafil can be taken up to 24 h before sexual activity. Also used for pulmonary arterial hypertension (see Chapter 6).		
Vardenafil	Usually taken within 30–60 minutes before sexual activity.		
Other Drugs Used for Erectile Dysfunction			
Alprostadil	Prostaglandin E <sub>1</sub> analogue. Can cause priapism. Given by intracavernosal injection, dermal cream or urethral application. Very short half-life (30 s).		
Aviptadil with phentolamine	Aviptadil (a vasoactive intestinal polypeptide) is a smooth muscle relaxant and phentolamine is an $\alpha_1$ -adrenoceptor antagonist. Given by intracavernosal injection.		
Drug for Premature Ejaculation			
Dapoxetine	Selective serotonin reuptake inhibitor; may cause postural hypotension. Given orally 1–3 h before sexual activity.		

17

# **General Anaesthetics**

#### **Chapter Outline**

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Intravenous Anaesthetics 244 Intravenous Opioids 246 Inhalational Anaesthetics 247 Self-Assessment 249 Answers 249 Further Reading 251 Compendium of General Anaesthetic Drugs 251

General anaesthetics act in the brain to induce reversible unconsciousness and amnesia. Other drugs are given to provide analgesia and, where necessary, skeletal muscle relaxation. This allows surgical or other painful procedures to be undertaken without the person being aware. General anaesthesia was introduced into clinical practice in the 19th century with the inhalation of vapours such as diethyl ether and chloroform. Major drawbacks with such compounds included the time taken to cause loss of consciousness, slow recovery, unpleasant taste, irritant properties and their potential to explode. Cardiac and hepatic toxicity also limited the usefulness of chloroform.

The ideal general anaesthetic would possess the properties shown in Box 17.1, but no single anaesthetic agent has all of these. Therefore, to produce general anaesthesia, it is usual to administer a combination of several drugs which contribute in different degrees to sedation, analgesia and muscle relaxation, an approach known as 'balanced anaesthesia' (Table 17.1). Full general anaesthesia not only produces loss of consciousness but also depresses brainstem reflexes with loss of spontaneous respiration and depression of heart rate and blood pressure, a state that is comparable to coma.

General anaesthesia for surgical procedures involves several steps, although not all are essential for successful anaesthesia:

- premedication,
- induction,
- muscle relaxation and endotracheal intubation,
- maintenance of anaesthesia,
- analgesia,
- reversal.

Premedication with a benzodiazepine such as diazepam or midazolam (see Chapter 20) is offered in selected cases to attenuate preoperative anxiety.

However, benzodiazepines can cause paradoxical agitation, so wherever possible the anxiety should be managed by nonpharmacological means.

Induction of general anaesthesia is usually achieved with a bolus of intravenous anaesthetic. Less commonly, but of value in children and adults with needle phobia, anaesthesia may be induced with an inhaled anaesthetic (gaseous induction). With this approach, in adults several stages of general anaesthesia are observed during induction (Table 17.2).

General anaesthesia is maintained with an infusion of intravenous anaesthetic, or with an inhaled anaesthetic introduced into the breathing circuit.

Full general anaesthesia produces depression of spontaneous respiration and blood pressure, requiring mechanical ventilation and perhaps circulatory support. Some short procedures do not need full general anaesthesia and can be carried out under sedation produced by an anaesthetic, with preserved respiratory and cardiovascular function.

A primary goal of successful anaesthesia is to avoid awareness during surgery ('anaesthetic awareness'). The adequacy of general anaesthesia in this regard is assessed by monitoring the heart rate, blood pressure and other physiological functions. For example, it can be inferred that the level of anaesthesia is inadequate and that pain is being experienced if the heart rate rises, or the person develops perspiration, tearing (lacrimation), return of muscle tone, movement, or pupillary dilatation. This may be augmented by electroencephalographic (EEG) measurement of cerebral activity. Proprietary devices have been developed to simplify the application of EEG in routine anaesthesia, by distilling the complex EEG waveforms into a numerical parameter that relates to the depth of anaesthesia (e.g. bispectral index). The extent to which this augments clinical
judgement and reduces anaesthetic awareness remains a matter of debate.

In some forms of surgery, particularly abdominal and thoracic surgery, skeletal muscle tone can hinder surgical access and manipulation. Full skeletal muscle paralysis is produced in these cases by giving neuromuscular blocking drugs (see Chapter 27), in which case mechanical ventilation is also essential.

Analgesia can be provided by an intravenous opioid for systemic analgesia, or by a local anaesthetic

# Box 17.1 Properties of an Ideal Inhalational Anaesthetic.

Nonflammable and nonexplosive when mixed with air, oxygen or nitrous oxide Minimal interactions with other drugs

- Lack of unwanted effects on respiratory, cardiovascular and other systems
- High lipid solubility, providing potency and allowing use of high inspired oxygen concentration
- Inherent stability at room temperature in contact with soda lime (used in anaesthesia to absorb CO<sub>2</sub>), metals and plastic

Low blood solubility, allowing rapid induction and rapid emergence from anaesthesia, and rapid adjustment of the depth of anaesthesia

No hangover effects

Nontoxic, nonirritant to the airways, and no unpleasant taste or odour

Analgesic activity

Inexpensive; long shelf life; safe for operating theatre staff

(see Chapter 18) to provide regional analgesia, such as administration into the epidural space (epidural analgesia) or infiltration around peripheral nerves.

At the end of an operation, resumption of consciousness (reversal of anaesthesia) occurs when intravenous anaesthetics are redistributed or metabolised, or when inhalational anaesthetics are redistributed or exhaled. Residual neuromuscular blockade by competitive blocking agents may need reversal. Traditionally, this is achieved with an anticholinesterase such as neostigmine (see Chapter 27). Sugammadex is a selective relaxantbinding agent, which forms a complex with steroidal competitive neuromuscular blocking drugs and prevents them interacting with the nicotinic N<sub>2</sub> receptor. It produces more rapid and reliable reversal of rocuronium.

Attentiveness, and therefore the ability to drive safely, may be impaired for up to 24 hours after general anaesthesia.

# MECHANISMS OF ACTION OF GENERAL ANAESTHETICS

General anaesthesia can be produced by compounds of widely differing chemical structure: simple gases such as nitrous oxide, volatile liquids such as sevoflurane and nonvolatile solids such as propofol (Fig. 17.1).

The strong relationship between lipid solubility and the potency of general anaesthetic drugs led to the Meyer–Overton hypothesis that they act by incorporation into neuronal cell membranes and disruption of their function. However, this does not account

## Table 17.1The Concept of 'Balanced Anaesthesia'.

	SEDATION	ANALGESIA	MUSCLE RELAXATION
Drugs exerting a major effect	Intravenous anaesthetics Inhalational anaesthetics Premedicant benzodiazepines	Opioids Local anaesthetics	Neuromuscular blocking drugs (see Chapter 27)
Drugs exerting a minor effect	Opioids Nitrous oxide	Nitrous oxide	Inhalational anaesthetics

Drugs are used in combination to produce the appropriate balance of sedation, analgesia and muscle relaxation while minimising unwanted effects; at particular doses and concentrations, each contributes minor or major effects to achieve this balance. Excessive or inadequate doses of any one agent could disturb the balance.

## Table 17.2The Stages of Anaesthesia.

STAGE	DESCRIPTION	EFFECTS PRODUCED
1	Analgesia	Analgesia without amnesia or loss of touch sensation; disorientation, but consciousness retained
II	Excitation	Excitation and delirium with struggling; coughing and vomiting may occur; respiration rapid and irregular; frequent eye movements with increased pupil diameter; amnesia
III	Surgical anaesthesia	Loss of consciousness; subdivided into four levels or planes of increasing depth:
		Plane I: Decrease in eye movements and some pupillary constriction
		Plane II: Loss of corneal reflex; increased tear secretion
		Plane III: Increasing loss of laryngeal reflex; pupils dilated and light reflex lost
		Plane IV: Progressive decrease in thoracic breathing and general muscle tone
IV	Medullary depression	Loss of spontaneous respiration and progressive depression of cardiovascular reflexes; requires respiratory and circulatory support



Fig. 17.1 (A–C) Examples of general anaesthetics of different chemical natures.

for many of the properties of general anaesthetics; for example, the differing anaesthetic potencies of the stereoisomers of some anaesthetic agents suggest stereospecific interaction with target receptors. The general relationship between lipid solubility and anaesthetic potency is important for determining pharmacokinetic access to the brain, but there is increasing evidence for selective interactions of general anaesthetic drug molecules with lipophilic binding sites on the intramembrane portions of the ligand-gated ion channels that control neuronal activity in the central nervous system (CNS) (Table 17.3). This may enhance the activity of inhibitory receptors, particularly GABA<sub>A</sub> receptors for  $\gamma$ -aminobutyric acid (GABA), and members of a family of two-pore domain potassium leak channels (K<sub>2P</sub>) that regulate the membrane resting potential. Other agents such as ketamine may act by inhibiting excitatory receptors, particularly N-methyl-D-aspartate (NMDA) receptors for glutamate and glycine, and nicotinic cholinergic receptors involved in wakefulness and arousal. These receptors are found in the cortex, thalamus, striatum and the brainstem.

The initial effect of a general anaesthetic is to decrease spontaneous neuronal firing in the cerebral cortex, which slows cortical oscillatory activity (rhythmic, coordinated spontaneous firing). Anaesthetics reduce thalamic activity due to decreased excitatory cortico-thalamic feedback to the thalamus, which in turn may trigger a 'thalamic consciousness switch'. Effects on connections between the basal ganglia and cortex also appear to be important in the mechanism of general anaesthesia. Numerous other complex neuronal pathways are involved in the maintenance of unconsciousness, and the effect of anaesthetics on functional integration among neuronal circuits is the subject of intensive research. The many types of intravenous and inhalational anaesthetic agents differ in the profile of their interactions with specific receptors,

Table <b>17.3</b>	Possible Sites of Action of Inhalation and
	Intravenous General Anaesthetics

al Anaesthetics.

DRUG GROUP	PROPERTIES OF GROUP	RECEPTOR AND Channel targets
Etomidate, propofol, thiopental	Potent amnesics Potent sedatives Weak muscle relaxants	Enhance activity at GABA <sub>A</sub> receptors
Nitrous oxide, ketamine	Potent analgesics Weak sedatives Weak muscle relaxants	Inhibit glutamate NMDA receptors Inhibit ACh nicotinic receptors Open two-pore domain K <sup>+</sup> channels
Sevoflurane, isoflurane, desflurane	Potent amnesics Potent sedatives Dose- dependent relaxation of skeletal muscle	Enhance activity at GABA <sub>A</sub> receptors Enhance activity at glycine receptors Inhibit glutamate NMDA receptors Inhibit ACh nicotinic receptors Open two-pore K <sup>+</sup> channels

ACh, Acetylcholine; GABA, γ-aminobutyric acid; NMDA, N-methyl-D-aspartate. For further information see Solt K, Forman SA., 2007, in the Further Reading section.

and they target different regions of the brain, which probably explains the differences in their capacities to produce unconsciousness, amnesia, analgesia and muscle relaxation.

The various stages of anaesthesia (see Table 17.2) probably arise as a result of the progressive effects of anaesthetic agents on different neurons. A rapid action on small neurons in the dorsal horn of the spinal cord (nociceptive impulses; see Chapter 19) and inhibitory cells in the brain (see the discussion on the effects of alcohol in Chapter 54) explains the early analgesic and excitation phases. By contrast, neurons of the medullary integration centres are less sensitive.

### DRUGS USED IN ANAESTHESIA

General anaesthetics are usually grouped according to their route of administration, which is typically intravenous or inhalational.

## INTRAVENOUS ANAESTHETICS

Examples

propofol, thiopental, ketamine, etomidate

Intravenous anaesthetics can be given by slow intravenous injection for rapid induction of anaesthesia and

DRUG	ТҮРЕ	SPEED OF	RECOVERY	HANGOVER EFFECT	ANALGESIC	COMMENT
Thiopental	Barbiturate	Rapid	Slow	Yes	No	Causes tissue necrosis if extravasation at the site of injection; cannot be given by continuous infusion due to accumulation
Propofol	Phenol	Rapid	Rapid	Low	No	Does not accumulate; continuous infusion can be used for total intravenous anaesthesia or for sedation of adults in intensive care
Etomidate	Imidazole	Rapid	Fairly rapid	Low	No	Not infused continuously, because repeated doses suppress adrenocortical function
Ketamine	Cyclohex- anone	Slower	Slower	No	Yes	Can be given by continuous infusion; produces analgesia that outlasts anaesthesia; usually used for children

 Table 17.4
 Properties of Some Common Intravenous Anaesthetics.

then replaced by inhalational anaesthetics for longerterm maintenance of anaesthesia. Both propofol and ketamine, but not etomidate or thiopental, can also be given by continuous infusion without inhalational anaesthesia for short operations (total intravenous anaesthesia) or for prolonged sedation. Ketamine has several distinctive properties, detailed later, which restrict its suitability to selected cases. Notably, in contrast to most other anaesthetic agents, it has sympathomimetic effects that may be advantageous in those with haemodynamic instability (e.g. emergency intubation in trauma) or bronchospasm (e.g. acute severe asthma). Some properties of commonly used intravenous anaesthetics are shown in Table 17.4.

#### **Pharmacokinetics**

In general, when given by injection or as a short infusion (up to a few hours), the clinical effects of intravenous anaesthetic agents are terminated by redistribution of drug from the central nervous system to muscle and adipose tissue. After prolonged infusion (e.g. in critical illness), however, adipose tissue becomes saturated and offset of effect depends on the rate of systemic clearance, counterbalanced by the rate at which the drug leaches from other tissue. This complex interplay of factors gives rise to a variable rate of clearance from the central compartment, termed the context-sensitive half-time (where 'context' refers to the duration of infusion). A useful guiding principle is that the longer the duration of infusion, the longer it will take for the drug to be cleared.

Thiopental is a thiobarbiturate that has a very rapid onset of action (within 30 seconds), owing to its high lipid solubility and ease of passage across the blood– brain barrier. The duration of action after a bolus dose is very short (about 2–5 minutes) and blood concentrations fall rapidly, initially because of distribution into lean muscle tissue (because of its high blood flow). With continuous infusion, distribution occurs more slowly into adipose tissue, which has poor blood flow



**Fig. 17.2** The amounts of thiopental in blood, brain (and other rapidly equilibrating tissues), muscle and adipose tissue (and other slowly equilibrating tissues) after an intravenous infusion over 10 seconds. Note: The time axis is not linear. The continued uptake into muscle between 1 and 30 minutes lowers the concentrations in the blood and in all rapidly equilibrating tissues, including the brain, hence terminating its anaesthetic action by distribution within minutes.

(Fig. 17.2). With thiopental, total intravenous anaesthesia is not practicable, as during a lengthy procedure the brain and blood and slowly equilibrating tissues would reach equilibrium. Recovery from anaesthesia on cessation of anaesthetic administration would then depend on the elimination half-life of thiopental (3–8 hours due to hepatic metabolism), not the distribution half-life (about 3 minutes). Therefore, following induction of anaesthesia with thiopental, an inhalational agent is used for maintenance of anaesthesia.

Propofol is a lipophilic agent that is insoluble in water. It is formulated as an oil-in-water emulsion which can cause pain during injection. It has a slightly slower onset of action (about 30 seconds) compared with thiopental, but its duration of action is similarly limited by redistribution (distribution half-life 2–8 minutes) after a bolus dose. It can be given as an infusion for total intravenous anaesthesia (TIVA), often with an opioid such as remifentanil for analgesia, or for sedation in intensive care, when its duration of action is determined by hepatic clearance (half-life 4–7 hours). If infused for less than 8 hours, propofol returns to the circulation from fatty tissue at a sufficiently slow rate that blood concentrations do not increase dramatically, so its context-specific half-time remains short. Therefore propofol is particularly useful for day surgery, because of the absence of hangover effects.

Ketamine can be given by intramuscular injection or intravenously by bolus injection or infusion. When used for induction or for total intravenous anaesthesia, the anaesthetic action is terminated largely by redistribution (distribution half-life about 15 minutes). With prolonged infusion the duration of action becomes dependent on hepatic metabolism (half-life 2–4 hours). Ketamine produces dissociative anaesthesia in which the person appears conscious, with eye opening, swallowing and muscle contraction, but is unresponsive to pain.

Etomidate is highly lipid-soluble and has a rapid onset of action after intravenous injection. Its action is terminated by rapid hydrolysis by plasma esterases and hepatic microsomal enzymes, so that the duration of action is about 6 to 10 minutes with minimal hangover. It is not used to maintain anaesthesia, because prolonged infusion can suppress adrenocortical function.

## **Unwanted Effects**

- Central nervous system (CNS): General depression of the CNS can produce respiratory and cardiovascular depression. Slow release of thiopental distributed into tissues may result in some sedation for up to 24 hours after use. Ketamine has minimal effects on ventilatory drive. Hallucinations and vivid dreams are common during recovery from ketamine in adults (emergence reactions, which can be minimised by coadministration of a benzodiazepine), but are less frequent in children.
- Skeletal muscles: Extraneous muscle movement is common with etomidate, and to a lesser degree with propofol. They can be reduced by a benzodiazepine or opioid analgesic given before induction. Ketamine increases muscle tone and can cause laryngospasm.
- **Cardiovascular system:** Thiopental and propofol depress the heart, producing bradycardia and reducing blood pressure. Etomidate has little effect on the heart or systemic vascular resistance. By contrast, ketamine more often produces tachycardia and an increase in blood pressure through sympathetic nervous system stimulation.

- **Nausea and vomiting** during recovery are experienced by up to 40% of people but rarely persist for more than 24 hours. Propofol has an antiemetic action.
- **Pain on injection** with etomidate and propofol. This can be reduced by injecting into a large vein, by giving an opioid analgesic just before induction, or giving intravenous lidocaine with propofol. Thiopental is an alkaline solution that is irritant; if it extravasates outside the vein, it can cause tissue necrosis.
- When propofol is used for prolonged sedation, for example in critical illness, the dosage should be limited to a maximum of 4 mg/kg per hour to reduce the risk of 'propofol infusion syndrome', which includes metabolic acidosis, cardiac dysfunction and rhabdomyolysis.

#### INTRAVENOUS OPIOIDS

#### Examples

#### fentanyl, alfentanil, remifentanil

Rapid-onset, short-acting intravenous opioids are usually given at induction for intraoperative analgesia, which reduces the dose requirement for anaesthetic agents. They can also be used for sedation and respiratory depression during assisted ventilation in intensive care. In high doses, opioids stimulate the vagus nerve and produce bradycardia; this can be helpful to reduce the tachycardia and hypertension produced by sympathetic nervous system activation during surgery. Details of the mechanism of action of opioids can be found in Chapter 19.

#### **Pharmacokinetics**

After intravenous injection, fentanyl has a rapid onset of action, within 4 to 6 minutes. After a single dose, the drug is distributed rapidly into skeletal muscle and then into adipose tissue (half-life about 15 minutes), and its duration of action is about 30 to 60 minutes. The effect can be maintained by repeated injections or infusion, but with prolonged use, tissue stores are saturated and fentanyl then has a long duration of action determined by its hepatic metabolism (half-life about 4 hours). After repeated injections, respiratory depression may become apparent during recovery from an anaesthetic.

Alfentanil has a more rapid onset (1–3 min) than fentanyl, and a shorter duration of action (5–10 min). Like fentanyl, after a single dose, its effect is terminated by distribution into highly-perfused tissues. It is eliminated by hepatic metabolism (half-life about 1.5 hours).

Remifentanil is an opioid ester that has a very rapid onset (1–2 min) and a very short half-life (about 5 min) due to metabolism by tissue and plasma esterases. Continuous intravenous infusion is necessary for sustained effect. Because tissue stores do not accumulate,

COMPOUND	BLOOD:GAS PARTITION COEFFICIENT	INDUCTION TIME	OIL:GAS PARTITION COEFFICIENT	MAC (%)	METABOLISM (%)
Nitrous oxide	0.5	Fast	1.4	>100	0
Isoflurane	1.4	Medium	91	1.12	0.2
Sevoflurane	0.6	Fast	53	2.1	≈5

The blood:gas partition coefficient correlates closely with the time to induction when the drug is used as the sole anaesthetic. The oil:gas partition correlates with the potency of the anaesthetic, which correlates inversely with the minimal alveolar concentration (MAC) necessary for surgical anaesthesia. Nitrous oxide cannot produce anaesthesia alone, so it has a theoretical MAC of >100%. Metabolism is the percentage of drug eliminated as urinary metabolites, with the remainder mainly eliminated unchanged by exhalation.

its duration of action is not affected by the duration of infusion.

Table 17.5 Inhalational Anaesthetics.

## **Unwanted Effects**

- **Muscle rigidity.** This particularly affects the chest wall and jaw, and can be prevented during surgery with neuromuscular blocking drugs (see Chapter 27). Myoclonus and rigidity can persist after recovery and can be reversed with the opioid antagonist naloxone (see Chapter 19).
- **Respiratory depression.** This may be profound and means that assisted ventilation is invariably necessary during surgery when large doses have been used.
- Nausea and vomiting. This can be reduced with an antiemetic drug (see Chapter 32).

#### INHALATIONAL ANAESTHETICS

#### Examples

desflurane, isoflurane, sevoflurane, nitrous oxide

Inhalational anaesthetics are either volatile liquids, which must be vaporised before administration, or gases. All inhalational anaesthetics must be given together with adequate oxygen to avoid hypoxia during anaesthesia.

Sevoflurane is a volatile liquid anaesthetic that can be used for both induction and maintenance of anaesthesia in children, as it has a pleasant smell and is nonirritant. It is used in adults for maintenance of anaesthesia following induction with an intravenous anaesthetic. Recovery is rapid after sevoflurane, and therefore early postoperative analgesia may be necessary. Other volatile liquid anaesthetics such as desflurane and isoflurane irritate mucous membranes, which makes them less suitable for induction. These agents also give a slower recovery at the end of a procedure.

Nitrous oxide is a gaseous anaesthetic that is not sufficiently potent to be used alone (Table 17.5), but it has the advantage of producing analgesia (unlike the other inhalational anaesthetics). It is most often used in combination with other inhalational anaesthetics and reduces the required dose of the other agent.



**Fig. 17.3 Equilibration of inhalational general anaesthetics between air, blood and brain.** The concentration ratio between blood and air at equilibrium is estimated from in vivo studies of the blood:gas partition coefficient and correlates with the induction time of the drug (see Table 17.5). The concentrations in brain and blood at equilibrium reflect the different affinities of the two body compartments for general anaesthetics, with a brain to blood ratio of 1–3 to 1 for all commonly used anaesthetics. The concentration in the inspired air required to give the necessary concentration in brain membranes (minimum alveolar concentration, MAC) correlates inversely with the blood:gas partition coefficient, which is an indication of the potency of the compound.

Nitrous oxide can only be used as the sole inhalational agent when combined with an intravenous opioid and a neuromuscular blocking drug (see Chapter 27), but there is a risk of awareness during surgery. Nitrous oxide is also used alone as an analgesic, in subanaesthetic doses (50% nitrous oxide mixed with 50% oxygen).

## **Pharmacokinetics**

The amount and duration of administration of inhaled anaesthetic required to give a sufficient concentration of the drug in the CNS for general anaesthesia will depend on the relationships shown in Fig. 17.3 and Table 17.5. The key factors are the alveolar concentration of the drug and its uptake from the lungs. Alveolar concentration is affected by three factors:

- The inspired concentration of the drug, which affects the rate of increase of alveolar concentration towards the inspired concentration and contributes to the speed of onset of anaesthesia.
- Alveolar ventilation (rate and depth of inspiration), which directly determines replacement of the drug in the alveoli after its removal by the pulmonary circulation. This is most important if an inhaled agent is used for induction, but less significant

once equilibrium has been established between the inhaled concentration and that in the brain.

• Functional residual capacity of the lungs. If this is large, then the alveolar concentration of the drug will be diluted and the onset of action will be slower. The rate of induction of anaesthesia with an inhaled drug is more rapid in children as they have higher alveolar ventilation relative to functional residual capacity.

Drug uptake from the lungs into the blood is then determined by three factors:

- The blood-gas partition coefficient, which indicates the relative solubilities of the drug in blood and air. A high solubility in blood, and therefore in all rapidly equilibrating body tissues, means that more of the agent will need to be administered before its partial pressure in the blood reaches that in the inspired air. The blood-gas partition coefficient therefore correlates with the time to induction of anaesthesia (see Table 17.5).
- The cardiac output, which determines pulmonary alveolar blood flow. A high cardiac output removes anaesthetic more quickly from the alveoli and speeds equilibrium with tissues.
- Alveolar-venous partial pressure gradient and tissue uptake. Tissue uptake will depend on blood flow, the difference in partial pressure of the drug in the blood and tissue, and the blood-tissue solubility coefficient of the drug (which determines the affinity of the tissue for the drug). The brain has a high perfusion, allowing rapid equilibration.

These factors determine the relatively slow onset of action of inhalational anaesthetics. As most volatile inhalational anaesthetics (except sevoflurane) are also irritant or unpleasant, they are typically used for maintenance after anaesthesia is induced by an intravenous drug. The anaesthetic potency of inhalation anaesthetics used in maintenance is defined by the minimum alveolar concentration (MAC) of the agent necessary to prevent movement in response to a noxious surgical stimulus in 50% of people. The MAC is therefore the equivalent of the  $ED_{50}$  (the 50% effective dose) for other drugs (see Chapter 1); it is inversely proportional to the lipid solubility (oil:gas partition coefficient) of the drug and its potency, meaning that a potent inhalational anaesthetic agent has a low MAC (see Table 17.5).

The major route of elimination of most inhalational anaesthetics is via the airways in expired air, with some also undergoing hepatic metabolism as a minor route. Factors including ventilation rate and the blood-gas partition coefficient determine the time taken to eliminate the anaesthetic by exhalation. Sevoflurane has a short recovery time due to its low blood-gas partition coefficient (see Table 17.5). The recovery time may also depend on the duration of inhalation, which determines the extent to which the drug has entered slowly equilibrating tissues. Removal of the drug from these tissues is also slow, which can maintain the plasma concentration of the drug and delay recovery. During recovery, the depth of anaesthesia reverses through the stages discussed previously (see Table 17.2) to consciousness; a rapid recovery which minimises stage II of anaesthesia is beneficial.

## **Unwanted Effects**

A number of unwanted effects are common to most inhalational anaesthetics; however, each agent also has a unique profile of additional unwanted effects.

- **Cardiovascular system.** Isoflurane, desflurane and sevoflurane reduce blood pressure mainly by arterial vasodilation. They also depress myocardial contractility and predispose to bradycardia by interfering with transmembrane Ca<sup>2+</sup> flux, with a resultant decrease in cardiac output. Nitrous oxide has less depressant effect on the heart and circulation, and its use in combination with other agents that depress the heart may permit reduction in their dosage. Inhalational anaesthetics often increase cerebral blood flow, which can exacerbate elevated intracranial pressure. Arrhythmias occasionally occur with volatile liquid anaesthetics.
- **Respiratory system.** All anaesthetic agents depress the response of the respiratory centre in the medulla to hypercapnoea and hypoxia. They also decrease tidal volume and increase respiratory rate. Desflurane and isoflurane irritate mucous membranes and can cause coughing, apnoea and laryngospasm if used for induction. Sevoflurane has a bronchodilator action.
- Liver. All inhaled anaesthetics decrease liver blood flow, but in contrast to previous generations of halogenated anaesthetics (such as halothane), hepatotoxicity is rare with modern inhalational anaesthetics.
- Uterus. Relaxation of the uterus may increase the risk of haemorrhage when anaesthesia is used in labour. Nitrous oxide has less effect on uterine muscle than the volatile liquid anaesthetics.
- **Skeletal muscle.** Most agents produce some muscle relaxation, which enhances the activity of neuro-muscular blocking drugs (see Chapter 27).
- **Chemoreceptor trigger zone.** Inhalational anaesthetics trigger postoperative nausea and vomiting. This is most pronounced with nitrous oxide.
- **Postoperative shivering.** This occurs in up to 65% of those recovering from general anaesthesia. The aetiology is unclear.
- Malignant hyperthermia. This is a rare but potentially fatal complication of volatile liquid anaesthetics. It is genetically determined, and results from a defect in the ryanodine receptor (RyR1) that regulates release of Ca<sup>2+</sup> from the sarcoplasmic reticulum in skeletal muscle cells (see Chapter 5, Fig. 5.4). A sudden increase in intracellular Ca<sup>2+</sup> provoked by an anaesthetic produces tachycardia, unstable blood pressure, hypercapnoea, fever and hyperventilation, followed by hyperkalaemia and metabolic acidosis.

Muscle rigidity may occur. Treatment is with dantrolene, a RyR1 receptor antagonist (see Chapter 24).

## SELF-ASSESSMENT

## **TRUE/FALSE QUESTIONS**

- 1. General anaesthetics modify neuronal function without interacting with specific membrane proteins.
- 2. Inhalational anaesthetics are gases at room temperature.
- 3. The risk of hangover effects with inhalational anaesthetics increases if the operation is long.
- 4. The minimum alveolar concentration (MAC) of an inhalational anaesthetic required to produce surgical anaesthesia correlates inversely with its oil:gas partition coefficient.
- 5. Nitrous oxide administered alone at a concentration of 50% in inspired air reaches the MAC necessary for surgical anaesthesia.
- 6. Nitrous oxide may be given with oxygen and an inhalational anaesthetic agent to produce effective surgical anaesthesia.
- 7. Remifentanil used for analgesia can produce persistent respiratory depression postoperatively.
- 8. The short duration of action of thiopental is due to its distribution into richly perfused tissues such as muscles.
- 9. The elimination half-life of thiopental is similar to its distribution half-life.
- 10. Propofol can be given alone by continuous intravenous infusion to maintain anaesthesia.
- 11. Accidental injection of thiopental into an artery can have serious consequences.
- 12. Ketamine is a sedative but without analgesic action.
- 13. With modern anaesthetics, the classic stages of anaesthesia induction are rarely seen.
- 14. Most inhalational anaesthetics have a depressant effect on the cardiovascular system.
- 15. Sevoflurane has the advantage of a fast onset of action and rapid elimination.
- 16. Total intravenous anaesthesia (TIVA) can be achieved with propofol and remifentanil.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. What is an undesirable property of an inhalation anaesthetic?
  - A. Analgesic action
  - B. High MAC (minimal alveolar concentration)
  - C. Low blood:gas partition coefficient
  - D. Nonflammability
  - E. Stability at room temperature
- 2. Identify the most accurate statement concerning general anaesthetic drugs.
  - A. The medullary respiratory centre is particularly sensitive to the depressant action of general anaesthetics.

- B. The major route of elimination of most inhalational anaesthetics is via the liver.
- C. An antiemetic such as ondansetron is often given as part of general anaesthesia.
- D. The intravenous opioid alfentanil should not be administered together with sevoflurane.

## CASE-BASED QUESTION

Atropine is a commonly administered preoperative agent.

A 40-year-old woman is scheduled for a surgery for acute appendicitis. She has not had a previous operation and is otherwise healthy, with normal cardiovascular and respiratory function. No premedication was given. (Refer to Chapter 27 for information on neuromuscular junction blockers.)

- 1. Why is the muscarinic antagonist atropine now little used as preanaesthetic medication in adults?
- 2. Do the muscarinic receptor antagonists atropine and hyoscine have the same properties?

The woman was intubated after the administration of propofol, alfentanil and suxamethonium (succinylcholine).

3. Why has the routine use of suxamethonium to facilitate endotracheal intubation been reduced?

Following intubation, pancuronium was given and she was ventilated with nitrous oxide, sevoflurane and oxygen. The operation took 40 minutes.

4. Is pancuronium the most suitable choice of muscle relaxant? What alternatives are available?

After the operation, she did not breathe spontaneously despite the administration of neostigmine and glycopyrronium.

- 5. What are the possible reasons for the apnoea, and how could they be treated?
- 6. Would mivacurium have been a preferable neuromuscular blocking drug in this person?
- 7. What is the reason for administering glycopyrronium with neostigmine at the end of the operation and is there a simpler alternative?

## ANSWERS

## **TRUE/FALSE ANSWERS**

- 1. **False.** General anaesthetics act at lipophilic intramembrane binding sites on a number of excitatory and inhibitory ion channels (see Table 17.3).
- 2. **False.** Most inhalational anaesthetics are volatile liquids at room temperature and must be vaporised for inhalation.
- 3. **True.** In prolonged anaesthesia, lipid-soluble agents such as thiopental may accumulate in body fat stores and be slowly released after the operation; this does not occur with a rapidly metabolised drug such as etomidate.
- 4. **True.** A high oil:gas ratio indicates high lipid solubility and anaesthetic potency, meaning that only a

low alveolar concentration of anaesthetic (MAC) is required to produce anaesthesia.

- 5. False. Even at concentrations higher than 50%, nitrous oxide is not potent enough to produce effective surgical anaesthesia on its own. NO can be used with an opioid and a neuromuscular blocker to produce anaesthesia, but the risk of 'anaesthetic awareness' is high. Entonox (50% NO, 50% oxygen) is used as an analgesic.
- 6. **True.** Nitrous oxide (60%–70%) provides additional analgesic activity in combination with a low concentration of inhalational anaesthetic (and oxygen).
- 7. **False.** Remiferitanil is an opioid with very rapid metabolism by esterases in blood and tissues and this minimises the risk of postoperative respiratory depression.
- 8. **True.** Rapid redistribution of thiopental from the CNS terminates its anaesthetic action.
- 9. **False.** The half-life of thiopental redistribution is about 3 minutes, but its elimination from the body is much slower (half-life 3–8 hours), partially accounting for the hangover effect seen with this drug.
- 10. **True.** Propofol can be given by continuous infusion for maintenance anaesthesia, such as in intensive care units, because it is extensively distributed into fatty tissues and its rate of hepatic metabolism is sufficient to maintain low plasma concentrations.
- 11. **True.** Inadvertent extravascular or intraarterial injection of thiopental can be damaging due to its alkaline pH (approximately 9–10).
- 12. **False.** Ketamine does have analgesic action, unlike other available intravenous anaesthetics. It can also produce transient psychotic effects including nightmares and hallucinations.
- 13. **True.** The classic stages of anaesthetic induction were originally described following the use of slower-acting anaesthetics than those used today.
- 14. **True.** Most are negatively inotropic; they depress myocardial function by interfering with Ca<sup>2+</sup> fluxes.
- 15. **True.** Sevoflurane has a rapid onset of action and is more rapidly eliminated than isoflurane.
- 16. **True.** TIVA can be achieved by target-controlled infusion (TCI) of propofol and remifentanil and is useful in people at risk of malignant hyperthermia or other unwanted effects with volatile inhalational anaesthetics.

## **OBA ANSWERS**

- 1. **Answer B** (high MAC) is an undesirable property of an inhalational anaesthetic as it would indicate a drug with low lipid solubility and low anaesthetic potency.
- 2. **Answer C** is correct, as an antiemetic such as cyclizine or ondansetron is often used to reduce

the risk of vomiting caused by general anaesthetics and opioid analgesics. Medullary neurons are relatively *in*sensitive to anaesthetics (answer A). Inhalation anaesthetics are eliminated mainly by exhalation, not hepatic metabolism (answer B). Fentanyl is often used as an analgesic together with inhalational anaesthetics (answer D). With modern anaesthetic practice, atropine is seldom needed to reduce bronchial and salivary secretions (answer E).

## CASE-BASED ANSWERS

- 1. Atropine (and hyoscine) block muscarinic receptors, reducing bronchial and salivary secretions, but modern anaesthetics have less irritant effect, thus reducing this problem. Muscarinic antagonists can reduce the bradycardia caused by some inhalation anaesthetics and by suxamethonium.
- 2. Atropine can cause CNS excitation, whereas hyoscine causes sedation and has antiemetic properties.
- 3. Relatively minor but frequent complications occur with suxamethonium, including bradycardia, postoperative myalgia, transient hyperkalaemia, and raised intraocular, intracranial and intragastric pressures. A rare but potentially fatal complication is malignant hyperthermia. Other rapid-onset neuromuscular blocking drugs, such as rocuronium, are increasingly used in preference to suxamethonium in emergency anaesthesia. If necessary, the effect of rocuronium can be reversed rapidly with sugammadex.
- 4. Pancuronium is not the best choice of neuromuscular blocker as it can cause tachycardia and hypertension and is long-acting (see Chapter 27). An alternative would be rocuronium, which has a rapid onset and short duration of action and a low risk of cardiovascular effects.
- 5. There are at least three possible reasons for the postoperative apnoea: (a) She could be experiencing opioid-induced respiratory depression, which could be reversed by the opioid antagonist naloxone. (b) The dose of neostigmine given may have been insufficient to reverse the competitive blockade induced by long-acting pancuronium. (c) She could have a genetic deficiency of plasma cholinesterase (butyrylcholinesterase), found in about 1 in 3000 individuals, which would normally metabolise suxamethonium rapidly. Administration of neostigmine would exacerbate the respiratory depression. Fresh frozen plasma (containing pseudocholinesterase) could be given.
- 6. Although mivacurium is a short-acting muscle relaxant (see Chapter 27), it is metabolised by pseudocholinesterase and its effect would be greatly prolonged in a person with a genetic deficiency of this enzyme. Rocuronium would be preferable.
- 7. Neostigmine inhibits acetylcholinesterase, increasing ACh concentrations at cholinergic synapses.

It partially or fully reverses the actions of competitive blockers of neuromuscular junction  $N_2$ receptors, but also enhances cholinergic activity at muscarinic receptors, causing bradycardia and bronchoconstriction. Glycopyrronium is a selective muscarinic receptor antagonist used to prevent these muscarinic effects. If rocuronium (or vecuronium) had been used for neuromuscular block, it could be reversed simply with the chelating agent sugammadex.

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## Compendium of General Anaesthetic Drugs.

DRUG	CHARACTERISTICS		
Intravenous Anaesthetics			
General anaesthe Anaesthesia is	sia is typically induced with an intravenous agent, followed by intubation and neuromuscular blockade. maintained by intravenous infusion or by an inhalational anaesthetic. Short-acting opioids may also be used.		
Etomidate	Used for rapid induction (<30 s) without hangover. Not used for maintenance anaesthesia because it suppresses adrenocortical function on continuous dosage. Causes less hypotension than either propofol or thiopental but may cause involuntary movements, minimised by an opioid analgesic or short-acting benzodiazepine. Its action is terminated by rapid metabolism in the blood and liver.		
Ketamine	Dissociative anaesthetic used (rarely) for paediatric and emergency anaesthesia for short procedures. Analgesic activity at subanaesthetic doses. Transient psychotic effects (hallucinations, nightmares) may occur, minimised by benzodiazepines such as midazolam (see Chapter 20). Slower onset than other induction agents (2–5 min); action is terminated by rapid distribution. Given by intravenous injection or infusion or by intramuscular injection.		
Propofol	Most widely used intravenous anaesthetic, particularly for day surgery; can be used for rapid induction (<30 s) or for maintenance (total intravenous anaesthesia). Rapid recovery with little hangover. Duration of action is terminated by redistribution, with low blood concentrations being maintained by slow return from fatty tissues.		
Thiopental sodium	Rapid induction (<30 s) and recovery but may cause sedation up to 24 h. May cause cardiovascular and respiratory depression. Action is terminated by rapid distribution; on repeated dosing, slow hepatic metabolism can cause accumulation. Reconstituted solution is highly alkaline and may cause tissue necrosis if extravasation occurs.		
Intravenous Opioid	S		
Provide analgesia	and enhance anaesthesia.		
Alfentanil	Used especially during short procedures and for outpatient surgery; respiratory depression may persist after the end of the procedure if repeated doses are given.		
Fentanyl	Dose-dependent rate of elimination may cause respiratory depression to persist after the end of the procedure if repeated doses are given.		
Remifentanil	Given intraoperatively as an intravenous infusion. Very rapid elimination in blood and tissues minimises postoperative respiratory depression.		
Inhalational Anaes	thetics		
Volatile liquid age oxygen-enriche	nts inhaled via a specially calibrated vaporiser in a nitrous oxide/oxygen mixture, or oxygen, or ed air, typically for the maintenance of general anaesthesia.		
Desflurane	Not recommended for induction in children. Irritant, causing cough, laryngospasm and increased secretions. Eliminated by exhalation; very rapid recovery (minutes).		
Isoflurane	Preferred inhalational anaesthetic for obstetric use. May decrease peripheral vascular resistance. Muscle relaxation potentiates effects of neuromuscular blocker drugs. Eliminated mainly by exhalation.		
Methoxyflurane	Only used for moderate-severe pain following trauma; given by hand-held inhaler device.		
Nitrous oxide (NO)	Inhaled gas (not a volatile liquid) used for maintenance of general anaesthesia and analgesia. Low potency precludes use as a sole anaesthetic agent. Rapid recovery owing to low potency and low tissue affinity. Eliminated rapidly by exhalation. For analgesia without loss of consciousness, 50% NO / 50% oxygen ( <i>Entonox</i> ) is used.		
Sevoflurane	Potent maintenance anaesthetic with rapid recovery. Nonirritant so can also be used for inhalational induction. Eliminated rapidly by exhalation.		

Various other drugs such as local anaesthetics (see Chapter 18), analgesics (see Chapters 19 and 29), anxiolytics (see Chapter 20), neuromuscular blockers (see Chapter 27) and antiemetics (see Chapter 32) may be used in the perioperative period.

## **Chapter Outline**

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Local anaesthetics are drugs that reversibly block the transmission of pain stimuli locally at their site of administration.

## Examples

bupivacaine, lidocaine, ropivacaine, chloroprocaine

## PHARMACOLOGY

## **MECHANISM OF ACTION**

Local anaesthetics produce a reversible blockade of nerve conduction by inhibiting the influx of Na<sup>+</sup> across neuronal cell membranes, which prevents depolarisation of the cell. At rest, the neuronal cell membrane has only limited permeability to Na<sup>+</sup>, but about 50 to 70 times greater permeability to K<sup>+</sup> because of the large number of channels that are open for passive transport of K<sup>+</sup> out of the cell. The maintenance of a negative internal resting membrane electrical potential is largely determined by the K<sup>+</sup> gradient across the cell membrane. Conduction of a nerve action potential results from the opening of voltage-dependent Na<sup>+</sup> channels and rapid influx of Na<sup>+</sup> to depolarise the cell (Fig. 18.1). Na<sup>+</sup> channels cycle between three states:

- Resting, when the channel is closed but able to open in response to a change in transmembrane potential.
- Open, when the channel opens in response to an action potential and allows the rapid influx of Na<sup>+</sup> ions through to the cytoplasm and a rapid change in membrane voltage.

• Inactivated due to a conformational change at the cytoplasmic end of the channel that occurs very soon after the action potential has passed. During this stage the channel is resistant to depolarising influences, but sensitivity returns when the membrane potential is restored to the resting level by return of Na<sup>+</sup> ions to the exterior of the cell.

There is considerable redundancy in membrane Na<sup>+</sup> channels; as a consequence, nerve conduction can continue even when 90% of the channels are inactivated.

Local anaesthetics block the voltage-dependent Na<sup>+</sup> channels that depolarise the cell. They bind to the Na<sup>+</sup> channel at a site on the inner surface of the membrane and hold them in their inactivated state. They progressively interrupt Na<sup>+</sup> channel-mediated depolarisation until impulse conduction fails. The probability that propagation of a nerve impulse will fail at a particular segment of the nerve is related to:

- the local concentration of the anaesthetic drug,
- the size of the nerve fibre,
- whether the nerve is myelinated,
- the length of the nerve exposed to the drug.

Nerve transmission is blocked in smaller-diameter rapid-firing fibres before that in larger fibres (Table 18.1). The myelinated A $\delta$  and small nonmyelinated C fibres that transmit pain (nociceptive fibres) are blocked first, followed by larger sensory fibres (carrying pressure and proprioception) and finally somatic motor fibres. Therefore pain pathways are most rapidly and intensely blocked by local anaesthetics (see Table 18.1) and also show the longest duration of local anaesthetic effect. In myelinated nerves, the drug penetrates at the nodes of Ranvier and must block at

#### SENSITIVITY TO LOCAL **FIBRE TYPE** SITE OR FUNCTION **MYELINATION** DIAMETER (µM) ANAESTHESIA **A FIBRES** Alpha Motor Yes 12-20 + Muscle sense Beta Motor (muscle spindle) Yes 5-12 + Touch, proprioception Gamma Motor (muscle spindle) Yes 3-6 ++Delta Pain, temperature, crude Yes 2-5 +++ touch, pressure **B FIBRES** Preganglionic autonomic Yes 1 - 3+++ **C FIBRES** Pain, temperature, touch, No 0.4-1.2 +++ pressure, itch Postganglionic autonomic No 0.3-1.3 +++

Nerve Fibres and their Responsiveness to Local Anaesthetics.



Table **18.1** 

Fig. 18.1 Site and mechanism of action of local anaesthetics. Local anaesthetics are weak bases and exist in an equilibrium between ionised (LA + H<sup>+</sup>) and nonionised (LA) forms. The nonionised form is lipid-soluble and crosses the axonal membrane, but only the ionised form produced inside the cell binds to the intracellular end of the open Na<sup>+</sup> channel; this holds it in an inactivated state and prevents further action potentials.

least three consecutive nodes to produce a conduction block. Unmyelinated nerves must be blocked over a sufficient length and around the full circumference of the nerve.



Fig. 18.2 General structure of local anaesthetics. All local anaesthetic structures contain a lipophilic (aromatic) centre and a hydrophilic (polar) centre linked by an amide (peptide) or ester bond. Local anaesthetics with an ester bond are susceptible to rapid hydrolysis at the injection site and in the plasma. The length of the intermediate bonding chain is optimal between three and seven atoms for local anaesthetic activity.

### **Structural Requirements of Local Anaesthetics**

All local anaesthetics have a lipid-soluble hydrophobic aromatic ring structure that is connected to a hydrophilic amine group either by an ester intermediate linkage or an amide (peptide) linkage (Fig. 18.2). The length of the intermediate bonding chain is critical for local anaesthetic activity and is optimal between three and seven atoms. The action of local anaesthetics results mainly from binding of the ionised form of the drug to a site on the inside (cytoplasmic opening) of the Na<sup>+</sup> channel. The drug must therefore diffuse through the cell membrane to access the site of action, which is made possible by the lipophilic aromatic group. The potency of a local anaesthetic is directly related to its lipid solubility.

### Time to onset of action

The  $pK_a$  of the drug determines the extent of ionisation at physiological pH and the speed of onset of the conduction block. All local anaesthetics are weak bases and will be relatively more ionised (as quaternary amines) at a pH below their ionisation constant  $(pK_{a})$ , which for most local anaesthetics is between 7.7 and 9.1. Local anaesthetics are most watersoluble in their ionised form, and to ensure they are stable in solution, injectable preparations are formulated as hydrochloride salts with a pH of 5.0 to 6.0. The ionised form of the drug is unable to cross lipid membranes and must be converted to the base (nonionised) form (a tertiary amine), which is more lipid-soluble. The time of onset of local anaesthesia is closely related to the amount of drug converted to the lipid-soluble form at physiological pH (7.4), which is in turn related to the  $pK_a$  of the drug. A drug with a high  $pK_a$  is more ionised at physiological pH, and the speed of onset of anaesthesia is slower. Alkalinisation of the injected solution by adding bicarbonate immediately before injection increases the proportion of the drug in its nonionised lipid-soluble form and increases the rate of absorption of the anaesthetic into the nerve, which will accelerate the onset of action. Care must be taken not to give too much alkali, which will cause the local anaesthetic to precipitate. The addition of alkali is most useful for infiltration anaesthesia (discussed later) and for the blocking of small nerves.

### **Receptor binding**

A local anaesthetic must be in its ionised form to bind to its receptor within the Na<sup>+</sup> channel. Therefore, after passing across the cell membrane in the nonionised form, the anaesthetic must be converted to the ionised form in the cytosol. Drugs with a higher pK<sub>a</sub> will re-ionise to a greater extent within the cell (pH 7.4) and produce more effective blockade. Local anaesthetics bind to the receptor preferentially when the channel is in either its open (activated) state or when it is inactivated (see Fig. 18.1) but not when it is closed (resting). For this reason, the effectiveness of most local anaesthetics is dependent on the frequency of firing of the neuron (use dependency), and a faster onset of local anaesthesia occurs in rapidly firing neurons. Once the local anaesthetic has bound to the channel, the influx of Na<sup>+</sup> is blocked and the channel remains in the inactivated state and resistant to further depolarisation. The local anaesthetic dissociates from the binding site when the

membrane potential returns to its resting level and the channel is in the *resting* state.

#### **Duration of Action**

Compounds with high protein-binding affinity stay at the site of action for longer and have a long duration of action. Bupivacaine has a high binding affinity (95% protein bound) and a long duration of action.

The duration of action of any local anaesthetic can be extended considerably by co-administration with a vasoconstrictor to reduce the rate of diffusion away from the site of action. Local anaesthetics are available in formulations combined with vasoconstrictors such as adrenaline (epinephrine), phenylephrine or felypressin (a vasopressin analogue).

#### **Binding to Other Receptors**

Local anaesthetics bind to other ion channels and cell receptors. Binding to presynaptic  $Ca^{2+}$  channels,  $K^+$  channels, tachykinin type 1 receptors, glutamate, bradykinin  $B_2$  and acetylcholine receptors may be involved in reducing nociceptive neurotransmission, the production of spinal anaesthesia and possibly some of the toxic effects of these drugs.

#### PHARMACOKINETICS

As seen previously, the speed of onset of local anaesthetic action is largely determined by the physicochemical properties of the drug molecule. The duration of action of local anaesthetics is dependent on the degree of receptor binding and on their rate of removal from the site of administration, rather than their systemic elimination by metabolism. Most local anaesthetics produce vasodilation at the site of injection, which will enhance their removal.

Once the local anaesthetic has diffused away from the site of administration, it enters the general circulation and undergoes metabolism. Most local anaesthetics have an intermediate amide bond and are eliminated mainly by hydrolysis in the liver with a half-life between 1 and 3 hours. However, the plasma half-lives of anaesthetics such as tetracaine, which have an ester bond, are 3 minutes or less as they are rapidly hydrolysed by plasma esterases.

## **UNWANTED EFFECTS**

#### Local Effects

These occur at the site of administration and include irritation and inflammation. Local anaesthetics should not be injected into inflamed or infected tissues. Local ischaemia can occur if local anaesthetics are co-administered with a vasoconstrictor; therefore this should be avoided in the extremities, including the digits. Tissue damage with necrosis can follow inappropriate administration (e.g. accidental intraarterial administration or spinal administration of an epidural dose).

## **Systemic Effects**

These are related to the anaesthetic action, and usually result from excessive plasma concentrations that affect other excitable membranes such as the heart (see the antiarrhythmic action of lidocaine in Chapter 8). After regional anaesthesia, the maximum plasma drug concentration occurs within 30 minutes, requiring close observation for toxic effects during this period. Toxic plasma concentrations of drugs are more likely after accidental intravenous injection, or rapid absorption from highly vascular sites or from inflamed tissues. Severe toxicity can be treated with intravenous lipid emulsions, which partition the drug away from receptors within tissues, as an adjunct to resuscitation. Particular care should be taken to avoid inadvertent intravenous administration of a preparation containing adrenaline, which can cause hypertension, tachycardia, arrhythmias and myocardial ischaemia.

- Central nervous system (CNS) effects. High concentrations of local anaesthetics can produce lightheadedness, paraesthesia, dizziness, nausea and vomiting, progressing to sedation and loss of consciousness. Severe toxicity produces convulsions and respiratory arrest. CNS toxicity tends to occur before cardiovascular toxicity, but this is not always the case and varies between agents (bupivacaine being more likely to cause cardiovascular toxicity before neurotoxicity).
- Cardiovascular effects. High plasma concentra-• tions of local anaesthetic can cause tachycardia and arrhythmias. Serious arrhythmia is a particular problem with bupivacaine, particularly the R(+)-isomer, and is caused by its avid tissue binding in the heart. As a result of its high lipid solubility, high protein binding, and greater affinity for resting and inactive Na<sup>+</sup> channels, bupivacaine blocks the normal cardiac conducting system and predisposes to ventricular re-entrant pathways and intractable ventricular arrhythmias. Severe toxicity from bupivacaine is also associated with cardiovascular collapse from systemic vasodilation and a negative inotropic effect. Levobupivacaine and ropivacaine have about the same local anaesthetic potency as bupivacaine, but less potential to produce cardiac effects, having less effect on cardiac Na<sup>+</sup> channels. In individuals with severe hypertension or unstable cardiac rhythm, the use of adrenaline with a local anaesthetic may be hazardous.
- Allergy. True allergy is rare but can occur with ester agents due to their metabolism to *p*-aminobenzoic acid (PABA). For this reason, amide bond local anaesthetics are more commonly used.

## **TECHNIQUES OF ADMINISTRATION**

The extent of local anaesthesia depends largely on the technique of administration.

## SURFACE ADMINISTRATION

High concentrations (up to 10%) of local anaesthetic drugs in an oily vehicle can slowly penetrate the skin or mucous membranes to give a localised area of anaesthesia. Lidocaine can be applied as a cream to an area before a minor skin procedure or venepuncture. Benzocaine is a relatively weak local anaesthetic that is included in some throat pastilles to produce anaesthesia of mucous membranes.

## INFILTRATION ANAESTHESIA

A localised injection of an aqueous solution of local anaesthetic, sometimes with a vasoconstrictor, produces a local field of anaesthesia. The anaesthetic effect produced is more efficient than surface anaesthesia but requires a relatively large dose. Smaller volumes can be used for field-block anaesthesia, involving subcutaneous injection close to nerves around the area to be anaesthetised. This technique is used extensively in dentistry.

## PERIPHERAL NERVE BLOCK ANAESTHESIA

Injection of an aqueous solution around a nerve trunk produces a field of anaesthesia distal to the site of injection (regional anaesthesia). It can be used as the sole anaesthesia for selected surgical procedures or to reduce postoperative pain after general anaesthesia. The use of ultrasound-guided techniques has improved the placement of the anaesthetic and expanded the use of peripheral nerve blocks. Examples are axillary, supraclavicular, scalene, subclavian and sciatic and femoral nerve blocks. Concurrent infiltration of an opioid such as buprenorphine can almost double the duration of analgesia, but also increases the risk of postprocedural nausea and vomiting. Peripheral nerve block can also be used to produce temporary sympathetic nerve block, such as at the stellate ganglion (cervicothoracic sympathetic block) or lumbar sympathectomy.

## **EPIDURAL ANAESTHESIA**

Injection or slow infusion of an aqueous solution of local anaesthetic via a catheter adjacent to the spinal column, but outside the dura mater, produces anaesthesia both above and below the site of injection after 15 to 30 minutes. The extent of anaesthesia depends on the volume of the drug administered and the rate of delivery. It is unaffected by the position of the person receiving the injection. Epidural anaesthesia is used extensively in childbirth for pain relief (using a local anaesthetic together with an opioid) and for surgical anaesthesia, either alone or in combination with a general anaesthetic. The catheter can also be used for postoperative analgesia using a local anaesthetic alone or combined with an opioid. The concentration of local anaesthetic used for epidural anaesthesia is the same as that for spinal anaesthesia, but the volume (10–20 mL), and therefore the dose, is greater to ensure homogeneous spread to nerve roots. For this reason, systemic unwanted effects are more frequent with epidural than with spinal anaesthesia. Sympathetic fibres are particularly sensitive to local anaesthetics (see Table 18.1), which can lead to hypotension. Hypotension is particularly a problem during pregnancy, probably related to the concurrent effects of high progesterone concentrations. Backache is a frequent postprocedural complication with both epidural and spinal anaesthesia.

## SPINAL ANAESTHESIA

Spinal anaesthesia is an option for surgical procedures below the level of the umbilicus. It involves injection of an aqueous solution (1.5–2.5 mL) of local anaesthetic alone or together with an opioid into the lumbar subarachnoid space, usually between the third and fourth lumbar vertebrae. The spread of anaesthetic within the subarachnoid space depends on the density of the solution (a solution in 10% glucose is more dense than cerebrospinal fluid) and the posture of the person during the first 10 to 15 minutes while the solution flows up or down the subarachnoid space. Anaesthesia is produced after about 5 minutes.

Bupivacaine is a common choice for spinal anaesthesia, and is suitable for surgical procedures lasting up to 2 hours. For shorter procedures, lasting less than 40 minutes, the short-acting ester-type local anaesthetic chloroprocaine is an option. Because recovery is more rapid, and less frequently complicated by motor block or urinary retention, it is suitable for use in day surgery.

Spinal and epidural anaesthesia can be used together (combined spinal-epidural anaesthesia).

## **INTRAVENOUS REGIONAL ANAESTHESIA**

This involves injection of a dilute solution of local anaesthetic into a limb after application of a tourniquet (Bier's block). It is used for manipulation of fractures and minor, short surgical procedures in the hand or forearm. Arterial blood flow must not be occluded for more than 20 minutes.

## SELF-ASSESSMENT

## **TRUE/FALSE QUESTIONS**

- 1. The block produced by local anaesthetics is more rapid and complete when the nerve is actively firing.
- 2. Local anaesthetics have no systemic unwanted effects.
- 3. Local anaesthetics block smaller myelinated axons more effectively than larger myelinated axons.
- 4. The  $\alpha_1$ -adrenoceptor antagonist prazosin is added to local anaesthetics to extend their duration of activity.
- 5. Local anaesthetics in their nonionised form penetrate the axon more readily than in their ionised form.

- 6. Ropivacaine is a long-acting local anaesthetic.
- 7. Bupivacaine has a high potential to produce cardiac arrhythmia.
- 8. The risk of systemic effects is greater with spinal anaesthesia than with epidural anaesthesia.

## **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Identify the most accurate statement about local anaesthetics.
  - A. Raising the pH of a local anaesthetic solution will increase the speed of onset of anaesthesia.
  - B. Liver metabolism is the primary mechanism in terminating local anaesthetic action.
  - C. The effectiveness of a local anaesthetic is not altered by local tissue pH.
  - D. Direct effects on the blood vessel diameter of most commonly used local anaesthetics prolong their duration of action.
  - E. Adrenaline (epinephrine) is given with a local anaesthetic injection in digits and appendages to increase the duration of anaesthesia.

## EXTENDED-MATCHING-ITEM QUESTION

Choose the most appropriate drug from the answer options A–F for each situation 1–3 below.

- A. Chloroprocaine
- B. Adrenaline (epinephrine)
- C. Salbutamol
- D. Tetracaine
- E. Lidocaine
- F. Benzocaine
- 1. An agent that would extend the duration and potency of a local anaesthetic.
- 2. An agent that could be applied topically to produce anaesthesia of the conjunctiva and would not cause vasoconstriction.
- 3. An agent that could be administered intravenously in the treatment of ventricular arrhythmias.

## **ANSWERS**

## **TRUE/FALSE ANSWERS**

- 1. **True.** This is because most local anaesthetics gain better access to binding sites in Na<sup>+</sup> channels that are in the open state ('use dependency').
- 2. **False.** If absorbed, systemic high doses of local anaesthetics can produce cardiovascular collapse and CNS depression.
- True. For example, Aδ axons (2–5 µm diameter) are blocked more readily than motor fibres (12–20 µm diameter).
- 4. **False.** Prazosin is a vasodilator and would increase removal of the local anaesthetic from its injection site; a vasoconstrictor like adrenaline (epinephrine) is necessary.
- 5. **True.** The nonionised form is lipophilic and better able to cross the axon membrane.

- 6. **True.** Ropivacaine is a long-acting local anaesthetic (2–4 hours) similar to bupivacaine but is less arrhythmogenic.
- 7. **True.** The arrhythmogenic potential of racemic bupivacaine is a result of its high lipid solubility, high protein binding, and greater affinity for resting and inactive Na<sup>+</sup> channels. Its L-isomer (levobupivacaine) may have lower arrhythmogenic potential.
- 8. **False.** The greater dose of local anaesthetic required for epidural anaesthesia carries a greater risk of unwanted systemic effects.

## **OBA ANSWER**

Answer A is correct.

- A. Most local anaesthetics are weak bases ( $pK_a$  7–9). Raising the pH increases the amount of the nonionised form and therefore enhances lipid solubility and membrane penetration.
- B. Local anaesthetic action is terminated mainly by uptake from tissues into the systemic circulation, not by hepatic metabolism.
- C. Altered local pH could change the ratio of the ionised and nonionised forms of the local anaesthetic, affecting its potential to penetrate membranes (when nonionised) and block Na<sup>+</sup> channels (as the ionised form).
- D. Local anaesthetics dilate blood vessels, hastening their removal from the site of injection and shortening their duration of action.

E. Adrenaline (epinephrine) should not be given with a local anaesthetic for injection in digits and appendages because of the risk of ischaemic necrosis.

## **EXTENDED-MATCHING-ITEM ANSWERS**

- 1. **Answer B**. Adrenaline (epinephrine) causes vasoconstriction and the administered local anaesthetic resides at its site of injection for a longer period.
- 2. **Answer D**. Tetracaine is poorly absorbed and is used topically for conjunctival anaesthesia.
- 3. **Answer E**. Lidocaine can be given intravenously for the treatment of ventricular arrhythmias (see Chapter 8).

## FURTHER READING

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- Kirksey, M.A., Haskins, S.C., Cheng, J., et al., 2015. Local anaesthetic peripheral nerve block adjuvants for the prolongation of analgesia: a systematic qualitative review. PLoS ONE 10 (9), e0137312.
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## Compendium of Local Anaesthetic Drugs.

DRUG **CHARACTERISTICS** Local anaesthetics are usually formulated as hydrochloride salts to aid solubility and are administered parenterally. They are often combined with a vasoconstrictor (adrenaline, phenylephrine or felypressin) to limit their distribution away from the injection site. Articaine Used in dentistry. Benzocaine Used in throat lozenges and haemorrhoid preparations; minimal oral absorption. **Bupivacaine** Used for local infiltration anaesthesia, peripheral nerve block, epidural block and sympathetic block. More cardiotoxic than lidocaine. Slow onset (15-30 min) and long duration of action (3-9 h). Chloroprocaine Used for spinal anaesthesia in short surgical procedures. Cinchocaine Used in haemorrhoid preparations. Cocaine Profound CNS effects limit clinical uses. Very rapid onset of action. Levobupivacaine An isomer of bupivacaine. Similar to parent drug but fewer unwanted effects. Lidocaine Used for local infiltration anaesthesia, intravenous regional anaesthesia, nerve blocks and dental anaesthesia; also used topically. For use in ventricular tachycardias, see Chapter 8. Mepivacaine Used in dentistry. Oxybuprocaine Used in the eye (see Chapter 50). Prilocaine Used for local infiltration anaesthesia, intravenous anaesthesia, nerve blocks and dental anaesthesia; may cause methaemoglobinaemia (especially in infants). Used in the eye (see Chapter 50). Proxymetacaine Similar to bupivacaine but less cardiotoxic. Used for epidural, major nerve block and field block Ropivacaine anaesthesia. Mostly used topically. Poorly absorbed and rapidly hydrolysed by pseudocholinesterase (3 min). Tetracaine

CNS, Central nervous system.

# 19

# Opioid Analgesics and the Management of Pain

## **Chapter Outline**

## Pain and Pain Perception 258 Analgesic Drugs 259 Nonsteroidal Antiinflammatory Drugs 259 Opioids 260 Nonopioid, Non-NSAID Analgesics 260 Opioid Analgesics 260 Nonopioid, Non-NSAID Agents Used for Analgesia 265

## PAIN AND PAIN PERCEPTION

Pain is a complex phenomenon that involves the person's awareness of, and response to, a noxious stimulus. Pain is highly subjective to the individual, and psychological factors will determine the extent to which a person experiences suffering or distress (Fig. 19.1). Pain can be acute, lasting only until the initiating stimulus resolves; this is also called *nociceptive pain*. Pain can also become protracted and chronic, with ongoing tissue damage producing chronic nociceptive pain. However, chronic pain may outlast the original trigger and become intractable as a result of persistent pathological change in the way that the nociceptive (pain-carrying) neuronal pathways function. This is called *neuropathic pain*.

Nociceptive pain is a defensive response to a variety of stimuli (e.g. mechanical, thermal or chemical) that activate nociceptor sensory units responsive to highthreshold noxious stimuli on nerve endings. It is defensive, as it induces behaviour that avoids exacerbation of the pain and allows a damaged part of the body to be protected while it heals. Painful stimuli are transmitted to the central nervous system (CNS) by two types of peripheral nerve fibre. Fast nerve fibres connect through the neospinothalamic pathways, respond to mechanical and thermal stimuli and carry pain that is appreciated as sharp and localised. Slow nerve fibres connect through the paleospinothalamic pathways, respond to chemical stimuli and produce poorly localised aching, throbbing or burning sensations (Fig. 19.2).

Neuropathic pain results from neuronal activity that persists beyond the time expected for healing of the injury. Examples include phantom limb pain following amputation, and shingles causing pain well beyond the healing of the vesicles. Neuropathic pain can be spontaneous (stimulus-independent), where it is usually described by the sufferer as shooting or 

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lancinating sensations, electric shock-like pain or an abnormal unpleasant sensation (dysaesthesia). Alternatively, it can be an exaggerated response to a painful stimulus (hyperalgesia) or a painful response to a trivial stimulus (allodynia). Some pain states have mixed nociceptive and neuropathic elements – for example, mechanical spinal pain with local nerve damage such as radiculopathy or myelopathy.

The pathophysiological and molecular explanations for nociceptive and neuropathic pain and the endogenous responses that modulate pain are complex. In brief, the genesis of nociceptive pain results from initial stimulation of nociceptors on afferent sensory neurons by thermal, mechanical or chemical stimuli (Fig. 19.3). Nociceptors carry many surface receptors that modulate neuronal sensitivity to stimulation, such as those for γ-aminobutyric acid (GABA), opioids, bradykinin, histamine, serotonin (5-hydroxytryptamine, 5-HT) and capsaicin, as well as mechanosensitive ion channels (responsive to touch, pressure, etc.). The particular type of painful stimulus may determine which receptors or ion channels are activated. Activation of the ion channels or receptors results in an influx of Na<sup>+</sup>/Ca<sup>2+</sup> sufficient to generate action potentials in the nerve (see Fig. 19.3). Pain-conducting neurons are generally electrically silent and transmit impulses only when activated. In response to tissue damage or a sufficient mechanical stimulus, nociceptors are activated locally and sensitise the area to painful stimuli.

Pain is conducted by two types of axon,  $A\delta$  fibre axons (rapidly conducting) and C fibre axons (slowly conducting), that enter both the dorsal and ventral horns of the spinal cord and link to ascending pathways. The afferent impulse is transmitted across synapses in the spinal cord and in the projections of the ascending pathways to the thalamus, and from there to the reticular formation and the cortex. Neurotransmitters



Fig. 19.1 Pain transmission and psychological suffering.

in these central pathways include excitatory substances such as glutamate, tachykinins (neurokinins and substance P) and calcitonin gene-related peptide (CGRP). Synaptic transmission responsible for nociceptive pain can be reduced by local inhibitory neurons, mediated by GABA. There are also important descending modulatory pathways arising from the locus coeruleus (LC), periaqueductal grey matter (PAG) and nucleus raphe magnus (NRM) that inhibit ascending pain pathways, with a further host of neurotransmitters including endogenous opioids, noradrenaline (acting on  $\alpha_2$ -adrenoceptors) and serotonin. Activation of these systems inhibits neuronal  $Na^{+}/Ca^{2+}$  influx and enhances K<sup>+</sup> efflux, which produces hyperpolarisation of neurons in the pain pathways. This prevents the generation of nociceptive action potentials (Figs. 19.4 and 19.5). The endogenous cannabinoids (anandamide and 2-arachidonyl glycerol) may also have modulatory functions in the descending pathways.

Persistent activation of pain pathways generates intracellular protein synthesis through stimulation of the proto-oncogene c-Fos and various inducible transcription factors in CNS neurons. These factors are responsible for the many changes in neuronal structure and the neuronal proliferation that occur in paintransmitting pathways with chronic pain.

In neuropathic and other chronic pain states, the following mechanisms may be important:

- Sensitisation of afferent inputs: This may include recruitment and activation of silent nociceptors and a lower threshold for generation of action potentials in the spinal cord (see Figs. 19.3 and 19.5). Central sensitisation is also important with excessive neuro-transmitter release. Abnormal voltage-gated Na<sup>+</sup> channel expression may also be important in both peripheral and central sensitisation.
- Dysfunctional descending pain-modulatory and painfacilitatory pathways: The functioning of the descending modulatory pathway (see Fig. 19.4) may be impaired by persistent nociceptive pain. Overactivity of endogenous pain-facilitatory pathways that run in tracts from the medulla to the spinal dorsal horn then maintain neuropathic pain.
- Loss of inhibitory neurons and generation of new synapses that act as nociceptive neurons (neuronal plasticity): In neuropathic pain, Aβ nerve fibres that



**Fig. 19.2 Ascending pathways of pain perception.** Ascending pathways are activated following stimulation of afferent sensory nociceptive nerve terminals. Thermal and mechanical influences and the release of mediators (bradykinin, serotonin, prostaglandins) can stimulate and sensitise the sensory nerve terminals of pain fibres, resulting in increased cation influx, depolarisation and generation of action potentials (see Fig. 19.3). Onward afferent transmission of ascending nerve impulses at the synapses in the dorsal horn involves transmitters such as substance P, glutamate and calcitonin gene-related peptide (CGRP; see Fig. 19.5). Hyperexcitability of pain fibres can also be promoted by other mediators. Prolonged activation of the nociceptive pathways can produce pathophysiological and phenotypic changes, resulting in neuropathic pain that persists when the original pathological cause of the pain has resolved, and generation of nociceptive signals can occur at low levels of axonal stimulation.

normally transmit tactile stimuli can undergo phenotypic change and take on the properties of a nociceptive neuron.

## ANALGESIC DRUGS

Nonsteroidal antiinflammatory drugs (NSAIDs) (see Chapter 29) and opioids are the major classes of painrelieving (analgesic) drugs. They act at different points in the pain-transmitting pathways to influence the production and recognition of pain (see Figs. 19.3 and 19.4).

## NONSTEROIDAL ANTIINFLAMMATORY DRUGS

NSAIDs block the peripheral generation of nociceptive impulses. Prostaglandins increase Na<sup>+</sup>/Ca<sup>2+</sup> influx into nociceptors and sensitise them to thermal, mechanical



**Fig. 19.3 Mediators involved in the genesis and modulation of pain.** Numerous mediators can stimulate or sensitise primary sensory neurons (nociceptors), leading to activation of nociceptive fibres. Tissue injury and other noxious stimuli such as heat or extremes of pH can stimulate the release of substances that promote pain, such as bradykinin (*BK*) and serotonin (5-hydroxytryptamine, 5-*HT*). Prostaglandin  $E_2$  (*PGE<sub>2</sub>*) acting at EP receptors sensitises the nerve endings to the actions of nociceptive mediators, including BK and 5-HT. Adenosine triphosphate (*ATP*) and histamine (*HIS*) also have nociceptive actions, acting in poorly defined ways. Heat and H<sup>+</sup> ions stimulate the transient receptor potential vanilloid 1 (*TRPV<sub>1</sub>*) receptor, producing pain. The TRPV<sub>1</sub> receptor is also sensitised by many other mediators. Capsaicin and other TRPV<sub>1</sub> receptor stimulants desensitise the receptor on persistent stimulation, resulting in an analgesic effect. Nonsteroidal antiinflammatory drugs (*NSAIDs*) inhibit the production of PGE<sub>2</sub>. The overall effect of nociceptive stimuli is to depolarise the neuron, setting up action potentials in the fibres to the dorsal horn and pain-perceiving areas of the brain. Substance P (*SP*), calcitonin gene-related peptide (*CGRP*), and other factors may also be involved in nociception. *GLU*, Glutamate; *NGF*, nerve growth factor; *PKA*, protein kinase A; *PKC*, protein kinase C; *TNFa*, tumour necrosis factor  $\alpha$ .

or chemical stimuli. NSAIDs inhibit the production of prostaglandins by the cyclooxygenase type 1 and type 2 (COX-1, COX-2) isoenzymes and thereby reduce the sensitivity of sensory nociceptive nerve endings to agents released by injured tissue that initiate pain, such as bradykinin and substance P. NSAIDs may also inhibit pain pathways in the CNS. Paracetamol, although not usually considered to be an NSAID, may inhibit prostaglandins in the spinal cord. These drugs are considered fully in Chapter 29.

## **OPIOIDS**

Opioids produce their effects via specific receptors that are closely associated with the neuronal pathways that transmit pain from the periphery to the CNS. They act on the spinal cord and limbic system and stimulate the long descending inhibitory pathways from the midbrain to the dorsal horn.

## NONOPIOID, NON-NSAID ANALGESICS

A variety of drugs developed for other purposes are used for their analgesic actions when NSAIDs and opioids are less effective, such as in neuropathic pain. Examples are shown in Table 19.1.

#### **OPIOID ANALGESICS**

## Examples

buprenorphine, codeine, diamorphine (heroin), dihydrocodeine, fentanyl, methadone, morphine, oxycodone, tramadol



Fig. 19.4 Transmitters and receptors for pain perception and control. The afferent nociceptive pathways are subject to inhibitory control. Opioids act at opioid receptor-rich sites in the periaqueductal grey matter (*PAG*), the nucleus raphe magnus (*NRM*) and other midbrain sites to stimulate descending inhibitory fibres that reduce nociceptive transmission in the dorsal horn. Descending noradrenergic pathways from the locus coeruleus (*LC*) are also involved. Opioids also act at a local level in the dorsal horn (see Fig. 19.5). Inhibitory modulation of nociceptive transmission via local nerve networks also results from actions of other agents (see Fig. 19.5). *5-HT*, 5-Hydroxytryptamine (serotonin); *GABA*,  $\gamma$ -aminobutyric acid; *NA*, noradrenaline; *NSAID*, nonsteroidal antiinflammatory drug.

Opioid is a term used for both naturally occurring and synthetic molecules that produce their effects by an agonist action at opioid receptors. The terms opiate analgesic (specifically, a drug derived from the juice of the opium poppy, *Papaver somniferum*) and narcotic (which means 'stupor-inducing') are no longer preferred.

#### **Mechanism of Action**

The brain produces several endogenous opioid peptide neurotransmitters derived from pro-opiomelanocortin (POMC) or pre-proenkephalin A (PPE); most contain the amino acid sequence Tyr-Gly-Gly-Phe as the message domain and all act via specific opioid receptors. Among these endogenous opioids are:

 enkephalins, two pentapeptides containing the core amino acid sequence linked to either leucine



Fig. 19.5 Neurotransmitter substances involved in the genesis and modulation of pain. Inhibitory modulation of nociception can occur via activation of the descending regulatory pathways and also by actions of local interneurons. 5-HT, 5-Hydroxytryptamine (serotonin); *CGRP*, calcitonin gene-related peptide; *GABA*,  $\gamma$ -aminobutyric acid; *SP*, substance P.

(leu-enkephalin) or methionine (met-enkephalin, also known as opioid growth factor, OGF);

- dynorphins, a family containing dynorphin A and B, each comprising 13 amino acids with the Tyr-Gly-Gly-Phe sequence at the N-terminal, plus a truncated form of dynorphin A (dynorphin A<sub>1-8</sub>) and a polypeptide containing the peptide sequences of both dynorphins (known as 'big dynorphin');
- endorphins α, β and γ, peptides with 16, 31 and 17 amino acids respectively, each with the Tyr-Gly-Gly-Phe sequence at the N-terminal;
- endomorphins 1 and 2, that have Tyr-Pro-Phe and Tyr-Pro-Trp message domain sequences.

Three major types of classical opioid receptor have been identified, which mediate distinct effects (Box 19.1):

- μ (*mu*) opioid receptors (MOP),
- κ (kappa) opioid receptors (KOP),
- δ (*delta*) opioid receptors (DOP).

Endorphins and endomorphins act at  $\mu$  receptors, enkephalins act at  $\mu$  and  $\delta$  receptors, and dynorphins act at  $\kappa$  receptors. There is a distinctive regional distribution of opioid peptides and their receptors in the CNS, with high concentrations in the pituitary, limbic system and spinal cord. These regions also contain high concentrations of various enkephalinases, which rapidly hydrolyse endogenous opioids. The physiological effects produced by the different opioid receptors are due to their specific neuronal distributions.

A fourth member of the opioid receptor family is the nociceptin receptor (NOP), named after its specific agonist nociceptin, a 17 amino acid endogenous peptide. The NOP receptor does not bind the major families of opioid peptides or morphine-derived analgesics, but is widely distributed in the brain. Through its NOP receptors, nociceptin has antianalgesic activity

DRUG	MECHANISM
The mechanisms of pain control by thes analgesic activity.	e classes of drugs are imperfectly understood, and some are selective in their areas of
Anticonvulsants: carbamazepine, lamotrigine	Membrane stabilisation by Na <sup>+</sup> channel blockade; may reduce glutamate release. See Chapter 23.
Anticonvulsants: gabapentin, pregabalin	Blockade of $\alpha_2 \delta$ subunit of N-type voltage-gated Ca <sup>2+</sup> channel (VGCC). See Chapter 23.
Baclofen	GABA <sub>B</sub> receptor agonism; attenuation of glutamate-related synaptic transmission. See Chapter 24.
Bisphosphonates	Inhibition of bone resorption. See Chapter 42.
Cannabinoids	Stimulation of cannabinoid (CB) receptors. See Chapter 32.
Capsaicin	Substance P depletion; stimulation of vanilloid (TRPV <sub>1</sub> ) receptor, followed by desensitization.
Clonidine	$\alpha_2$ -Adrenoceptor agonism. See Chapter 6.
Glucocorticoids	Antiinflammatory activity. See Chapter 44.
Ketamine, dextromethorphan	Glutamate (NMDA) receptor antagonism. See Chapter 17.
Local anaesthetics	Neuronal Na <sup>+</sup> channel blockade. See Chapter 18.
Nefopam hydrochloride	Monoamine (NA, 5-HT, DA) uptake inhibition; reduces glutamate signalling.
Tricyclic antidepressants (imipramine, amitriptyline)	Increased monoamine neurotransmitter availability. See Chapter 22.
Ziconotide	Intrathecal blockade of N-type voltage-gated Ca <sup>2+</sup> channels (VGCC); reduces release of nociceptive transmitters (CGRP, glutamate, substance P).

#### Table 19.1 Mechanisms of Some Nonopioid, Non-NSAID Drugs Used as Adjuncts in the Control of Pain.

CGRP, Calcitonin gene-related peptide; GABA, y-aminobutyric acid; DA, dopamine; 5-HT, 5-hydroxytryptamine (serotonin); NA, noradrenaline; NMDA, N-methyl-b-aspartate; TRPV,, transient receptor potential vanilloid-1 receptor.

- μ (mu) Receptors (MOP) Analgesia (supraspinal  $\mu_1$ , spinal  $\mu_2$ ) Respiratory (µ<sub>2</sub>) Euphoria Miosis Physical dependence Reward Sedation Inhibition of gastrointestinal motility к (kappa) Receptors (KOP) Analgesia (spinal, peripheral) Sedation Miosis
- Dysphoria δ (delta) Receptors (DOP) Analgesia (spinal) Respiratory depression Inhibition of gastrointestinal motility Mood and emotional responses Nociceptin Receptors (NOP) Modulation of analgesic activity?

that may modulate the analgesic activity of endogenous opioids acting at the classic opioid receptors.

Opioid receptors are found on the presynaptic and postsynaptic membranes of neurons in CNS pain pathways and also in the peripheral nervous system. The postsynaptic actions inhibit neuronal depolarisation, and the presynaptic actions inhibit neurotransmitter release. All opioid receptors are coupled to inhibitory G-proteins  $(G_1/G_0)$  and receptor activation has many intracellular consequences:

- inhibition of adenylyl cyclase with reduced intracellular generation of cyclic adenosine monophosphate (cAMP), which reduces neurotransmitter release;
- inhibition of voltage-gated N-type Ca<sup>2+</sup> channels in target neurons, which reduces neurotransmitter release:
- activation of voltage-gated inwardly rectifying K<sup>+</sup> channels, which hyperpolarises the target cells, making them less responsive to depolarising impulses;
- phosphorylation of extracellular signal-regulated kinase (ERK) and other mitogen-activated protein (MAP) kinases, which may have actions on neural cell growth and proliferation;
- phosphorylation, desensitisation and internalisation of the opioid receptors, the extent of which varies among receptor ligands and may underlie the development of opioid drug tolerance.

Morphine and synthetic opioid analgesics produce their analgesic effects by acting as agonists at opioid receptors in the CNS. The analgesic action of opioids is the result of a complex series of neuronal interactions:

 In the nucleus raphe magnus of the brain, µ-receptor stimulation decreases activity in inhibitory GABAergic neurons that project to descending inhibitory serotonergic neurons in the brainstem. These neurons in turn connect presynaptically with afferent nociceptive fibres in the dorsal horn of the spinal cord. Inhibition of the GABA-ergic neurons permits increased firing of the descending inhibitory serotonergic neurons. Analgesia is produced by inhibition of the release of the pain pathway mediators, substance P, glutamate and calcitonin gene-related peptide from the afferent nociceptive neurons (see Fig. 19.5).

- Activation of κ-receptors antagonises the analgesia produced by μ-receptor stimulation, but there is a direct spinal analgesic effect from unopposed κ-receptor activation.
- Opioid receptors are also present on peripheral nociceptive neurons, and a κ-receptor agonist reduces their sensitivity to pain stimuli, particularly in inflamed tissues.
- Nonneuronal κ-receptors suppress the inflammatory response, and are found on endothelial cells, T-lymphocytes and macrophages.

Opioid drugs show receptor selectivity and can have agonist, partial agonist or antagonist properties at various opioid receptor types (see the drug compendium at the end of the chapter). Opioid analgesics can be classified by their activity at opioid receptors:

- Full agonists: These act principally at μ-receptors and include morphine, diamorphine, fentanyl, pethidine, codeine and dihydrocodeine. They also have weak agonist activity at δ- and κ-receptors.
- Mixed agonist–antagonist: Pentazocine has agonist effects at the κ-receptor (and, to a lesser extent, the δ-receptor) and is a weak μ-receptor antagonist.
- Mixed partial agonist–antagonist: Buprenorphine is a potent partial agonist at the μ-receptor and has antagonist activity at κ-receptors. The latter action will enhance the analgesic action produced via the μ-receptors.

Some opioids have additional actions at nonopioid receptors or other cellular targets: meptazinol is a µ-receptor agonist with muscarinic receptor agonist activity, and tramadol and tapentadol are µ-receptor agonists that also inhibit neuronal noradrenaline and serotonin uptake. Noradrenaline reuptake inhibition contributes to their analgesic actions, because aminemediated neurotransmission potentiates descending inhibitory pain pathways (see Fig. 19.4). Serotonin reuptake inhibition may make a minor contribution to analgesic effect, but it also increases the risk of serotonin syndrome in susceptible individuals. Methadone is a racemic mix of *d*- and *l*-isomers. The *d*-isomer is an antagonist at glutamate N-methyl-D-aspartate (NMDA) receptors, an action which can also inhibit pain transmission (see Fig. 19.5).

Loperamide is an opioid  $\mu$ -receptor agonist that does not cross the blood–brain barrier; it is used for its effects in the gut to provide symptomatic relief of diarrhoea (see Chapter 35). Opioid receptor antagonists such as naloxone have no analgesic actions and are used in the treatment of opioid toxicity (see Chapter 53).

#### Effects and Clinical Uses

#### Effects on the central nervous system

**Analgesia.** The analgesia produced by opioids is most effective for acute or chronic nociceptive pain, but can be helpful for some types of neuropathic pain. In addition to the antinociceptive effect, opioids alter the perception of pain, making it less unpleasant. This supraspinal effect, possibly at the limbic system, is less marked with some opioids such as pentazocine. Opioid analgesics have no antiinflammatory effect. In fact, morphine can cause release of the inflammatory mediator histamine, which produces peripheral vasodilation and hypotension.

Full  $\mu$ -receptor agonists are the most powerful opioid analgesics (see earlier and the compendium for details of full and partial agonists). However, some full  $\mu$ -receptor agonists (e.g. codeine) have a low affinity for  $\mu$ -receptors and have a limited analgesic effect. There is growing evidence that the antagonist action of methadone at NMDA receptors can produce effective analgesia in people who have become tolerant to high doses of morphine (discussed later). Short-acting opioids such as alfentanil and remifentanil are used intravenously to provide analgesia during general anaesthesia (see Chapter 17).

The ceiling analgesic effect of a  $\mu$ -receptor partial agonist is lower than that of a full agonist. If a person receiving high doses of a potent full  $\mu$ -receptor agonist is given a  $\mu$ -receptor partial agonist (e.g. buprenorphine) or a  $\mu$ -receptor antagonist (e.g. pentazocine), then full agonist molecules will be displaced from receptor sites by the less effective partial agonist or antagonist molecules. The degree of analgesia may then be reduced and in dependent individuals, withdrawal symptoms can be produced (discussed later).

**Euphoria and dysphoria.** The use of morphine is often associated with an elevated sense of well-being (euphoria, mediated by  $\mu$ -receptors), an action that contributes considerably to its analgesic efficacy. Agonist activity at  $\kappa$ -receptors produces the opposite effect (dysphoria); the degree of euphoria produced will therefore depend on the receptor-binding characteristics of the drug.

**Respiratory depression.** Opioids reduce the sensitivity of the respiratory centre to stimulation by carbon dioxide at doses that produce analgesia. Respiratory depression is a common cause of death in opioid toxicity. Occasionally, the effect on respiratory rate can be of clinical benefit; for example, intravenous morphine relieves the dyspnoea associated with acute pulmonary oedema, and morphine is used orally or by subcutaneous infusion for the treatment of breathlessness in palliative care. Meptazinol and tramadol are claimed to cause less respiratory depression than other opioids.

**Suppression of the cough centre.** Opioids possess an antitussive action. Compounds such as codeine and dextromethorphan are effective for cough suppression (see Chapter 13), despite having relatively weak, or no, analgesic effects.

**Vomiting.** Opioids stimulate the chemoreceptor trigger zone, and morphine causes vomiting in up to 30% of people. Tolerance to the nausea and vomiting can occur with repeated doses. Powerful opioids are usually given with an antiemetic (see Chapter 32), particularly when used for acute pain.

**Miosis.** Opioids stimulate the third cranial nerve nucleus, producing pupillary constriction. Pinpoint pupils, together with coma and slow respiration, are signs of opioid toxicity (see Chapter 53).

**Endocrine effects.** Opioids inhibit the hypothalamicpituitary–adrenal axis, leading to a progressive decline in plasma cortisol levels (see Chapter 44). They also increase prolactin release and decrease luteinising hormone release, which leads to testosterone deficiency in men and a reduction in oestrogen synthesis in women (see Chapter 45). Long-term opioid treatment is therefore associated with osteoporosis and an increased risk of fractures. Men can benefit from testosterone replacement during long-term opioid use (see Chapter 46).

#### Peripheral effects

Gastrointestinal tract. Opioids produce a general increase in resting tone of the gut wall and sphincters. These effects arise from stimulation of both  $\mu$ - and  $\kappa$ -receptors on neuronal plexuses in the gut wall. An increase in biliary pressure caused by opioid-induced spasm at the sphincter of Oddi can exacerbate biliary colic. In the stomach, a decrease in motility and pyloric tone contributes to anorexia, nausea and vomiting. In the small and large intestines, there is increased segmenting activity and decreased propulsive activity, which is associated with constipation. Up to 80% of people who take regular opioids will need a laxative. Methylnaltrexone, a specific antagonist of peripheral opioid receptors, is used to treat opioid-induced constipation during palliative care when conventional laxatives are inadequate. The effects of opioids on gastrointestinal motility make them useful in the treatment of diarrhoea (see Chapter 35). Pethidine and tramadol have less effect on the gastrointestinal tract than equianalgesic doses of morphine.

**Cardiovascular system.** Opioids have little effect on the heart or circulation, except at high doses that can depress the medullary vasomotor centre. Hypotension can occur with parenteral use of morphine, probably because of peripheral histamine release and vasodilation.

**Other systems.** Opioids have minor effects on other systems. For example, there is an increase in the tone of the bladder wall and sphincter, which can lead to urinary retention. There is increasing evidence that the long-term use of opioids suppresses immune function by inhibiting the development, differentiation and

activity of many types of immune cells that express opioid receptors, and this is associated with increased risk of infection.

#### Tolerance and dependence

Tolerance and dependence during continuous opioid administration result from changes in the functioning of opioid receptors. Adaptive changes mean that more of the drug is necessary to produce the same effect (tolerance), and withdrawal of the drug produces adverse physiological effects until the compensatory changes are reset (dependence).

Tolerance to opioids occurs in two ways. Associative (learned) tolerance has a major psychological component. Nonassociative (adaptive) tolerance involves downregulation of opioid receptor signalling due to desensitisation, including uncoupling of the receptors from G-proteins, or receptor internalisation within endocytotic vesicles. These processes are induced to varying extents by different opioid receptor agonists. Other mechanisms of tolerance include increased firing of neurons in the noradrenergic pathways of the locus coeruleus (an area of the brain involved in the physiological response to stress, which is rich in inhibitory opioid receptors), activation of the reward pathway in the brain (see Chapter 54) and increased activity at NMDA receptors for excitatory glutamate-mediated neurotransmission in spinal and supraspinal circuits. Tolerance to the analgesic, euphoric and emetic actions and to respiratory depression develops rapidly during regular opioid administration, but there is less tolerance to constipation or miosis. A high degree of cross-tolerance is shown by many opioids: individuals who develop tolerance to one opioid are often (but not invariably) tolerant to another. Opioid-induced NMDA receptor activation can also produce abnormal pain sensitivity at spinal cord dorsal horn cells. This sensitisation process can be confused with tolerance and lead to opioid dose escalation. Methadone may be useful in this situation (discussed previously).

Dependence manifests itself as a withdrawal syndrome, which can be precipitated when individuals who have taken the drug for a long period of time have their intake stopped or are given an opioid antagonist or partial agonist (see Chapter 54). This is most often a problem for people who abuse the drug but can occur from long-term intake of a prescribed opioid.

During the first 12 hours after opioid withdrawal, effects such as nervousness, sweating and craving are largely psychological, because they can be alleviated by the administration of a placebo. Following this period, the effects of physiological dependence manifest themselves; for example, dilated pupils, anorexia, weakness, depression, insomnia, gastrointestinal and skeletal muscle cramps, increased respiratory rate, pyrexia, piloerection with goose pimples, and diarrhoea. The time course for the development and resolution of these symptoms varies among the opioids. In the case of morphine, the maximum severity of withdrawal effects occurs quickly (after about 1–2 days) and subsides rapidly (about 5-10 days), and the intensity of the symptoms is often intolerable.

In contrast to morphine, withdrawal from methadone produces delayed and far less intense withdrawal effects (peak severity at almost 1 week and symptoms persist for about 3 weeks), because it has a very long half-life. Therefore morphine- or heroin-dependent individuals are often transferred from their drug of abuse to methadone prior to withdrawal (opioid-substitution therapy). Methadone also produces less euphoria than morphine or heroin. After a period of treatment with methadone, the methadone dosage is gradually reduced and the person undergoes a more tolerable withdrawal. Buprenorphine is used as an alternative to methadone as it also gives a low intensity of withdrawal symptoms. It can be taken for 6 days in a rapid detoxification programme, or as long-term maintenance for reducing relapse in people who are addicted to opioids, because its partial agonist activity blocks the 'high' from illicit opioid use.

Rapid in-hospital tapering of opioids over 2 weeks has an early 80% withdrawal success rate. On an outpatient basis, slow tapering over 6 months is more successful than rapid withdrawal, but there is only a 40% success rate. Long-term buprenorphine therapy combined with high-intensity psychosocial group therapy has achieved up to 75% withdrawal rates after 1 year. Detoxification from opioids can be helped by the presynaptic  $\alpha_2$ -adrenoceptor agonists clonidine or lofexidine (a clonidine analogue with fewer unwanted effects). These inhibit the excessive sympathetic nervous system activity associated with opioid withdrawal, such as lacrimation, rhinorrhoea, muscle pain, joint pain and gastrointestinal symptoms. However, lethargy, insomnia and restlessness persist.

Naltrexone is a  $\mu$ - and  $\kappa$ -receptor antagonist and a weak agonist at the  $\delta$ -receptor. It blocks the effects of opioid agonists and is used for prevention of relapse in people who were dependent on opioids, and who have withdrawn for at least 7 to 10 days.

#### **Pharmacokinetics**

Most opioids are available for oral use. Buprenorphine is formulated for sublingual absorption, and fentanyl as a sublingual or buccal tablet or as a nasal spray for rapid pain relief. Some opioids, such as morphine, buprenorphine, meptazinol, methadone, oxycodone and tramadol, can be given by intravenous or intramuscular injection. Morphine and oxycodone can also be given by subcutaneous infusion in palliative care. Diamorphine is more potent than morphine, and delivery of effective doses by subcutaneous infusion requires smaller fluid volumes, which can be useful for emaciated people. Morphine is available as suppositories. Fentanyl and buprenorphine can be delivered transdermally via self-adhesive patches for prolonged analgesia.

Some opioids such as morphine have a low and variable absorption across the gut wall, and the dose should be much smaller when given parenterally to achieve equivalent analgesia and minimise unwanted effects. Opioids are eliminated by hepatic metabolism. A metabolite of morphine, morphine 6-glucuronide, has two- to fourfold greater analgesic activity than the parent compound; it is excreted by the kidney and the dose of morphine must therefore be reduced in renal failure. Diamorphine is an acetylated morphine derivative converted to morphine by hydrolysis in plasma and in most tissues, including the brain. Codeine is a prodrug metabolised by CYP2D6 to several active metabolites. Codeine 6-glucuronide is responsible for most of the analgesic activity, but about 5% is converted to morphine. CYP2D6 shows genetic polymorphism, and about 10% of people have low CYP2D6 activity and a reduced analgesic response to codeine.

Most opioid analgesics have short half-lives in the range of 1 to 6 hours. For long-term pain control, morphine and some other powerful opioids are often given as a modified-release formulation to prolong the duration of action. Fentanyl has a short half-life, but when given via a transdermal delivery patch, pain relief lasts 12 to 24 hours due to slow drug delivery. In addition, the effect persists for several hours after removing the patch, owing to build-up of a subcutaneous drug reservoir at the site of application. Care is necessary both to maintain analgesia and to avoid unwanted opioid effects if the dose of fentanyl is increased or fentanyl patches are replaced by another opioid.

#### **Unwanted Effects**

The unwanted effects of opioids are caused by their actions on those opioid receptors that are not the primary site for therapeutic benefit. For example, respiratory depression and constipation are unwanted effects when an opioid is used as an analgesic, but the same effects are therapeutically beneficial in the treatment of breathlessness or diarrhoea. Tolerance and dependence can also be regarded as unwanted problems associated with long-term use.

## NONOPIOID, NON-NSAID AGENTS USED FOR ANALGESIA

A diverse group of drugs that are not conventional analgesics are used for pain control in circumstances where opioids and NSAIDs are ineffective (see Table 19.1). These are dealt with individually in the next section, and the detailed pharmacology of the drugs is given in the chapters describing their main clinical uses.

## PAIN MANAGEMENT

The approach to management should be tailored to the needs of the person, and the origin, severity and time course of the condition causing their pain. Pharmacological treatment options should be considered

alongside nonpharmacological options. Where analgesic drugs are used, the concurrent use of analgesics that act at different sites in pain pathways (multimodal analgesia) often improves the effectiveness of treatment. Topical, local and regional anaesthesia are valuable alternatives to systemic analgesia in selected cases. The initiation of any analgesic drug should always be followed by frequent reassessment of benefits, risks and unwanted effects.

## **ACUTE PAIN**

Acute pain, due to injury, surgery or an acute medical condition, is often accompanied by anxiety that contributes to the unpleasant experience. It can be helpful to provide reassurance that the intensity of pain does not correlate well with the severity of injury. Depending on the cause of pain, nonpharmacological options, such as splinting of fractures, should be employed. Local or regional anaesthesia (see Chapter 18) should be considered if the site of pain is amenable to treatment with these techniques (e.g. serratus anterior plane block for rib fractures).

Where pharmacological treatment is necessary, acute pain usually responds to analgesic drugs such as paracetamol, NSAIDs and opioids. Before starting treatment, the severity of pain should be assessed, and this should guide the choice of analgesic drugs. Severe acute pain requires a strong opioid, such as morphine, together with paracetamol and, if appropriate, an NSAID. The oral route is preferred wherever possible, but if unavailable (e.g. perioperatively), or if rapid effective relief is required, opioids and paracetamol may be administered intravenously. Intramuscular injection should generally be avoided, because severe pain is often accompanied by sympathetic nervous system stimulation, which produces peripheral vasoconstriction and thereby delays drug absorption. Mild pain may be treated with paracetamol and/or an NSAID. A weak opioid, such as codeine, may be added in moderate pain. If the cause of acute pain involves neuronal damage, a neuropathic pain medication, such as pregabalin or gabapentin (see Chapter 23) may be considered. However, unwanted effects (e.g. drowsiness) are common, and only a minority of people derive significant benefit.

Analgesics should usually be taken regularly, with an 'as required' option available to allow for variation in pain intensity ('breakthrough pain'). The severity of pain, and the benefits and risks of the analgesic drugs, should be reassessed frequently, and titrated to achieve adequate pain relief. The aim should be to reduce the intensity of pharmacological analgesia over time.

The person should be involved in setting appropriate treatment goals in a shared decision-making framework. Striving to abolish pain completely is often unsuccessful and may be associated with excessive analgesic use. In contrast, 'no worse than mild pain' is a more realistic target, which most people consider acceptable.

## **CHRONIC PAIN**

Chronic pain is usually defined as pain lasting for at least 3 months, or at least until acute tissue pathology that initiated the pain would have been expected to heal. It can be a result of persistent nociceptive stimulation or it can have a neuropathic origin. There are numerous psychosocial contributors to the development of chronic pain as well as physical pathology, and therefore management of chronic pain is more effective if facilitated by multidisciplinary pain teams. Chronic pain often responds poorly to analgesics, and these may form only a small part of treatment. Management should therefore commence with nonpharmacological treatments, elements of which include:

- education about the mechanisms of persistent pain, seeking to reduce the association between pain and fears of illness or harm;
- psychological therapies such as cognitive behavioural therapy;
- physical therapies such as exercise and stretching;
- complementary and alternative therapies, such as relaxation therapy, meditation and biofeedback;
- physical methods such as acupuncture, or transcutaneous electrical nerve stimulation (TENS), which activates spinal inhibitory neurons by acting as a counterirritant;
- interventional therapies such as injection of corticosteroids into the epidural space or joints, and nerve blocks with local anaesthetic (see Chapter 18) or by radiofrequency ablation;
- surgery for neoplastic, structural or ischaemic disorders.

Where systemic pharmacological treatments are judged to be appropriate, a multimodal approach should be adopted. Many forms of chronic non-cancer pain, such as fibromyalgia, osteoarthritis or low-back pain, respond better to adjuvant analgesics such as tricyclic antidepressants or anticonvulsant drugs (see the section on neuropathic pain later in the chapter) than to conventional analgesics. Combinations of both adjuvant and conventional analgesics are often used.

If conventional analgesia is considered appropriate (especially for nociceptive pain), then a stepped approach, starting with nonopioids, is reasonable. NSAIDs are the mainstay of therapy and may be used topically if the pain is localised and superficial. The evidence for efficacy of powerful opioids in non-cancer pain is limited, and the risk of unwanted effects and dependence are considerable. Opioids should be avoided unless other nonpharmacological and nonopioid options have failed to provide adequate relief. They should be used at the lowest effective dose, for the shortest possible duration, and titrated to the benefit obtained. If a person is unable to tolerate a particular opioid, then it is worth trying an alternative opioid, which may be better tolerated. The factors that predict intolerance to a particular opioid are poorly understood, although intolerance to morphine may be associated with a mutation in the multidrug resistance 1 transporter protein (see Table 2.1). Oxycodone can be effective as an alternative to morphine, and there is also evidence that the response to methadone can persist when other  $\mu$ -receptor agonists are ineffective. After long-term use, the dose of opioid should be gradually tapered to avoid withdrawal effects.

## **NEUROPATHIC PAIN**

Neuropathic pain, such as trigeminal neuralgia, postherpetic neuralgia and phantom limb pain after an amputation, often responds less well to conventional analgesia than nociceptive pain. Although the mechanisms of neuropathic pain are now better understood, there is still little agreement on how to use this knowledge to direct treatment. As with all forms of chronic pain, a multidisciplinary approach that considers the psychosocial contributors to pain and promotes appropriate physical activity is often necessary.

First-line treatment is usually with an anticonvulsant that binds to voltage-gated Ca<sup>2+</sup> channels (such as gabapentin or pregabalin; see Chapter 23), or an antidepressant (such as amitriptyline or duloxetine; see Chapter 22) that increases synaptic noradrenaline concentration in the descending spinal inhibitory pathways. Choice is often dictated by tolerability and supplementary effects. Pregabalin has broad suitability as a first-line agent, particularly when the pain syndrome is accompanied by anxiety. Amitriptyline may be preferred where there is pronounced nocturnal pain that is interfering with sleep.

Stimulus-independent neuropathic pain (such as dysaesthesia) may have underlying central potentiating mechanisms and can respond to an opioid. However, although opioids may be helpful for acute management of neuropathic pain, there is little evidence for efficacy when used for more than 3 months. In this situation, the risk of dependence usually outweighs the benefits. Other pharmacological treatments that are sometimes considered include baclofen (see Chapter 24) and the NMDA receptor antagonist ketamine (see Chapter 17), which modulate spinal transmission of the pain signal.

Stimulus-evoked neuropathic pain (such as allodynia and hyperalgesia) may respond to topical treatment if it is localised. Lidocaine cream or medicated plasters can be effective for conditions such as mechanical allodynia in postherpetic neuralgia through its local anaesthetic actions (see Chapter 18). Alternatively, capsaicin, a derivative of red chilli peppers that stimulates C fibres in the afferent nociceptive pathway, can be applied topically as a counterirritant. This releases substance P, which stimulates the transient receptor potential vanilloid 1 (TRPV<sub>1</sub>) receptor and initially provokes hyperalgesia by promoting depolarisation and action potential generation. Subsequent depletion of substance P then blocks nerve function (see Fig. 19.3). The use of cannabinoids (the active components of cannabis; see Chapter 54) is sometimes advocated for relief of hyperalgesia in conditions such as multiple sclerosis. Stimulation of cannabinoid receptors produces an antinociceptive action and inhibits pain transmission in the spinal cord.

Trigeminal neuralgia is distinct from other forms of neuropathic pain. It responds well to carbamazepine or oxcarbazepine (see Chapter 23), which can reduce the frequency and intensity of attacks. There is less evidence for the efficacy of other drugs, and surgical decompression of the nerve may be required.

## SELF-ASSESSMENT

## **TRUE/FALSE QUESTIONS**

- 1. Opioids inhibit pain transmission in the dorsal horn of the spinal cord.
- 2. Opioids can cause euphoria or dysphoria.
- Tolerance develops consistently to all the biological effects of the opioids.
- 4. Morphine can cause vasodilation at injection sites.
- 5. Methadone has a rapid onset of action and a short half-life.
- 6. The main indication for remiferitanil is for treatment of chronic intractable pain.
- 7. Naloxone is a short-acting opioid.
- 8. Drugs that inhibit the reuptake of noradrenaline can be effective analgesics in neuropathic pain.
- Anticonvulsants should not be used in the treatment of neuropathic pain.
- 10. Buprenorphine is a partial agonist at μ-opioid receptors.
- 11. Pentazocine can precipitate withdrawal symptoms in morphine addicts.
- 12. Pethidine (meperidine) is used extensively in obstetrics because of its short half-life.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which endogenous opioids act predominantly at κ opioid receptors (KOP)?
  - A. Dynorphins
  - **B.** Endorphins
  - C. Endomorphins
  - D. Enkephalins
  - E. Nociceptin
- Identify the most appropriate statement about opioid analgesics.
  - A. In older people, tolerance rapidly develops to the constipating effects of morphine.
  - B. An opioid analgesic is the drug of choice for phantom limb pain following a below-knee amputation after a road traffic accident.
  - C. The analgesic potencies of codeine and dihydrocodeine are similar to that of morphine.

- D. Tolerance does not develop to the miotic effect of opioids.
- E. Fentanyl can be used for opioid withdrawal and maintenance of the chronically relapsing heroin addict.
- 3. Choose the most accurate statement about opioid drugs.
  - A. Heroin (diamorphine) is too toxic for clinical use.
  - B. Morphine suppresses pain by reducing histamine release in inflamed tissues.
  - C. Fentanyl can be administered transdermally.
  - D. Naloxone is used to treat opioid addiction.
  - E. Morphine is acid-labile and must be given parenterally.

## **CASE-BASED QUESTIONS**

Mr. J.H., aged 60 years, was admitted to a hospice. He had previously had a left nephrectomy for renal cell carcinoma and now has intense metastatic bone pain in his ankles, right iliac crest and left upper arm. He was also having periods of dyspnoea. Prior to admission, his medication was co-codamol three times daily and diclofenac (150 mg) at night. He was also taking cimetidine (400 mg) twice daily. His pain was not well controlled on admission. After a week of assessment and optimisation of drug therapy, Mr. J.H.'s treatment comprised the following drugs:

Morphine, modified-release	160 mg	Twice daily
Morphine, oral solution	50 mg	When required
Diclofenac, slow release	150 mg	At night
Dexamethasone	2 mg	Three times daily
Metoclopramide	10 mg	Three times daily
Lansoprazole	30 mg	Once daily
Docusate sodium	100 mg	Three times daily
Temazepam	20 mg	At night

- 1. Was Mr. J.H. taking an opioid analgesic before admission to the hospice?
- 2. How does morphine exert its pharmacological action as an analgesic?
- 3. Why was oral morphine solution (an immediaterelease form) made available in addition to the modified-release formulation?
- 4. Was the dependence potential of morphine likely to present a problem in this man?
- 5. What alternative opioids might you consider as an immediate replacement for morphine?
- 6. How does diclofenac control inflammation, and why was it useful in this man?
- 7. What was the rationale for the use of dexamethasone?
- 8. Metoclopramide is an antiemetic. Why do you think that this man was likely to experience nausea and possibly vomiting?

- 9. How does metoclopramide act to alleviate nausea, and what other antiemetic drugs could be used?
- 10. Why might gastric or duodenal ulceration be a problem in this man?
- 11. Why was cimetidine given before admission, and why was it replaced with lansoprazole?
- 12. Why was constipation likely to be a problem in this man?
- 13. What is the mechanism of action of docusate sodium, and what alternative laxative agents could have been used?
- 14. Why was temazepam given, and what other aspects of Mr J.H.'s care should be considered?

## **ANSWERS**

## **TRUE/FALSE ANSWERS**

- 1. **True.** Opioids act at opioid receptors to block transmitter release and postsynaptic transmission in the dorsal horn. They also act at opioid receptors at multiple sites in the brain.
- 2. True. In people who have pain, opioid analgesia is often associated with well-being (mediated by  $\mu$ -receptors), whereas in pain-free people, dysphoria can occur ( $\kappa$ -receptors).
- 3. **False.** Much less tolerance to miosis and constipation develops than to the other effects of opioids such as analgesia and respiratory depression.
- 4. **True.** Morphine is an alkaloid which in parenteral doses may release histamine from mast cells, leading to itch, vasodilation and hypotension.
- 5. **False.** Methadone has good oral absorption and less potential to cause euphoria, so it is used for controlled withdrawal in people with opioid dependence. Its *long* half-life means that withdrawal symptoms are more gradual and less intense than with morphine or heroin.
- 6. **False.** Remifentanil (and alfentanil) are used parenterally as adjuncts for anaesthesia.
- False. Naloxone is a short-acting opioid receptor antagonist, blocking μ-, κ- and δ-receptors. It is used in opioid overdose, although severe withdrawal symptoms can occur in people addicted to opioids following naloxone administration.
- 8. **True.** Tricyclic antidepressants can be effective for the treatment of neuropathic pain. They may act by enhancing monoamine levels in the descending inhibitory pathways that control the pain gate mechanism. Some analgesics such as tramadol have dual activity as opioids and monoamine reuptake inhibitors.
- False. Anticonvulsants such as carbamazepine and lamotrigine can be of use in the treatment of neuropathic pain, probably by stabilising neuronal membranes and inhibiting release of excitatory neurotransmitters, whilst gabapentin and pregabalin increase levels of the inhibitory transmitter γ-aminobutyric acid (GABA).

- 10. **True.** The partial agonism of buprenorphine at μ-opioid receptors is associated with lower abuse potential than morphine.
- 11. **True.** A partial agonist (such as pentazocine) can reduce the effects of a full agonist (such as morphine) at the same receptor.
- 12. **False.** Pethidine was traditionally thought to have less suppressive effect on the uterine muscles than morphine, and to carry a reduced risk of respiratory depression in the neonate, but it has a toxic metabolite (norpethidine) that can accumulate in people with impaired renal or hepatic function. In addition, it can interfere with monoamine uptake and carries a risk of serotonin syndrome. It has been largely superseded in obstetrics by diamorphine and other semi-synthetic opioids.

## **OBA ANSWERS**

- 1. Answer A is correct. Dynorphins (answer A) act predominantly at  $\kappa$  opioid receptors (KOP). Endorphins (answer B) and endomorphins (answer C) are agonists predominantly at  $\mu$  receptors (MOP), whereas enkephalins have actions at  $\mu$  receptors (MOP) and  $\delta$  receptors (DOP). Nociceptin acts specifically at its own receptor (NOP).
- 2. Answer D is correct.
  - A. Incorrect. Constipation is a problem with longterm morphine treatment, particularly in older and bed-bound people, and laxatives are often required.
  - B. Incorrect. Chronic pain is usually less responsive to opioids, and nonopioid treatments (e.g. anticonvulsants) may be required for neuropathic pain (Table 19.1).
  - C. Incorrect. Codeine and dihydrocodeine are less potent analgesics than morphine.
  - D. **Correct**. Miosis is one of the signs of opioid abuse.
  - E. Incorrect. Fentanyl is not suitable. Methadone or buprenorphine can be used as a substitute for heroin in the detoxification process.

## 3. Answer C is correct

- A. Incorrect. Heroin for medical use is of pharmaceutical-grade purity, not contaminated as often occurs with illicitly obtained heroin. Although its potency and dependence potential can limit its clinical use, it can be effective for severe pain in terminal cancer and in acute myocardial infarction.
- B. Incorrect. Analgesia by morphine and other opioids occurs by central actions on opioid receptors, not by effects on inflammatory mediator release in peripheral tissues. Unlike most opioids, however, morphine can trigger histamine release from mast cells, leading to peripheral vasodilation and hypotension.
- C. **Correct**. Fentanyl can be administered by a transdermal patch due to its high potency and lipid solubility.

- D. Incorrect. The rapid-acting opioid antagonist naloxone can be used in opioid overdose but triggers severe withdrawal effects in opioid-addicted individuals. However, formerly opioid-dependent persons (at least 7–10 days after opioid withdrawal) can be treated with a longer-acting opioid antagonist, naltrexone, to reduce relapse.
- E. Incorrect. Morphine can be administered by parenteral routes, but can also be given orally, although its oral bioavailability is relatively low and inconsistent.

## **CASE-BASED ANSWERS**

- 1. The simple analgesic paracetamol was given in a compound formulation with the opioid analgesic codeine (co-codamol) before Mr. J.H.'s admission to the hospice. A nonsteroidal antiinflammatory drug (NSAID) analgesic (diclofenac) was also given. Although this regimen conformed to the principle of combining analgesics from different classes (multimodal analgesia), codeine lacks the efficacy of morphine and failed to provide adequate pain control before admission.
- Morphine acts mainly at opioid µ-receptors at spinal and supraspinal sites to produce analgesia, euphoria, sedation, respiratory depression, dependence and inhibition of gastrointestinal motility.
- 3. Oral morphine solution is used to control breakthrough exacerbations of pain on a patient-initiated basis. Repeated use of oral morphine solution should signal a reassessment of the dose of the long-acting morphine. When the person is unable to take oral medication because of weakness or vomiting, rectal or continuous subcutaneous infusion may be required. Normally, 80% of people require less than 200 mg morphine per day to control severe pain. With terminally ill people who have persistent severe pain, the dose is gradually increased over a period of 1–2 weeks until appropriate control is achieved. Rarely, the maximum dose may be as high as 2–3 g per day. Unwanted effects can occur, so close monitoring is needed when an opioid is initiated or its dosage is altered.
- 4. For reasons that are not easily explained, opioid dependence seldom occurs in people with a high degree of pain. Possible reasons include high levels of endogenous opioids or catecholamines.
- 5. Diamorphine can be used instead of morphine. It is more potent but no more efficacious. Its major advantage is its high solubility, which reduces the volume of intramuscular injections or continuous subcutaneous infusion, if these are required. Infrequently, an unusual response to morphine may require its replacement by other opioids. Fentanyl delivered via a transdermal patch has fewer unwanted effects, and fentanyl nasal spray can be used for breakthrough pain.
- 6. Diclofenac is an aspirin-like NSAID often used in the treatment of arthritic conditions (see Chapter 29).

Unlike opioids, it has both analgesic and antiinflammatory actions due to its inhibition of the synthesis of prostaglandins by cyclooxygenase isoenzymes. The pain from bone metastases is compounded by local inflammation. Prostaglandins sensitise nociceptors to pain stimuli and inflammatory swelling triggers pain due to increased pressure. Inflammation is reduced by diclofenac, thereby reducing the requirement for morphine.

- 7. Dexamethasone is a potent glucocorticoid (see Chapter 44), reducing inflammation and swelling at metastatic sites.
- 8. Nausea is an unwanted effect of morphine and other opioids, occurring particularly during the first week of use, but tolerance to the nausea induced by morphine occurs. Nausea may also be a consequence of the cancer itself, or of related complications such as hypercalcaemia, or of cytotoxic chemotherapy or radiotherapy.
- 9. Metoclopramide is a dopamine antagonist that acts in the chemoreceptor trigger zone (CTZ) to reduce nausea caused by opioid analgesics, chemotherapy and radiotherapy. It also has prokinetic activity on the gut that reduces the risk of nausea and vomiting. Other dopamine antagonists such as prochlorperazine can also be used (see Chapter 32).
- 10. Gastric and/or duodenal inflammation (which may cause considerable discomfort) or even ulceration may occur with prolonged use of diclofenac and a corticosteroid, due to inhibition of the synthesis of protective prostaglandins in the gut wall.
- 11. Cimetidine is an H<sub>2</sub> antihistamine that reduces histamine-mediated gastric acid secretion by the parietal cells (see Chapter 33) and was being used twice-daily by Mr J.H. to reduce the risk of NSAID-induced gastric or duodenal ulceration. Cimetidine inhibits the enzymes that convert codeine into morphine and may reduce its analgesic effect. After admission, cimetidine was replaced with the proton pump inhibitor lansoprazole, which is more effective in blocking acid secretion and can be given once daily (see Chapter 33).
- 12. Constipation is a feature of opioid therapy. Tolerance does not develop to opioid-induced constipation.

Peristalsis is reduced, whilst the tone of the intestinal muscle is increased.

- 13. Docusate sodium has some faecal-softening properties and is a stimulant of intestinal smooth muscle, which restores peristalsis. In practice, terminally ill people are often given danthron, in combination with either docusate sodium (co-danthrusate) or poloxamer (co-danthramer). Danthron is a stimulant drug and stool softener, but its irritant properties and carcinogenic potential restrict its general use. The alternatives in use include senna preparations (stimulants) and magnesium sulfate (a bulk purgative). Laxatives are discussed in Chapter 35.
- 14. Temazepam is a short-acting benzodiazepine anxiolytic sedative used to aid sleeping (see Chapter 20). Pain control must also take note of the psychological and social condition of the patient. At all times, if pain control is inadequate, adjuvant treatments such as other classes of drugs (see Table 19.1) and nonpharmacological approaches such as transcutaneous electrical nerve stimulation (TENS) and behavioural modification (relaxation techniques, hypnosis) should be considered.

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## Compendium of Opioids and Related Drugs.

DRUG	CHARACTERISTICS
Opioids Used Primarily for Analgesia	
Alfentanil	Highly potent, short-acting, selective µ-receptor agonist. Given intravenously for intraoperative analgesia (see Chapter 17); respiratory depression may persist after the end of the procedure if repeated doses are given.
Buprenorphine	<ul> <li>Partial μ-receptor agonist and κ-receptor antagonist. Highly potent analgesic but moderate dependence potential. Can precipitate withdrawal in individuals dependent on other opioids, and action only partly reversed by naloxone (opioid antagonist). Longer acting than morphine for analgesia, but nausea may limit its tolerability. Given sublingually, orally, by slow intravenous or intramuscular injection, or as transdermal patches. Also available in combination with naloxone for treatment of opioid dependence; naloxone is not absorbed orally but reduces opioid effects if the tablet is crushed and injected intravenously.</li> </ul>
Codeine phosphate	Selective µ-receptor agonist. Low potency and low dependence potential. Produces little respiratory depression. Given orally for mild to moderate pain or by intramuscular injection; also used when antitussive and antidiarrhoeal actions required. Increased response and toxicity risk in CYP2D6 rapid metabolisers. Also available in combination with paracetamol (as co-codamol) or with aspirin.
Dextropropoxyphene	Selective µ-receptor agonist; given only in combination with paracetamol (as co-proxamol), but unlicensed use in UK due to toxicity in overdose.
Diamorphine (heroin)	Highly potent µ-receptor agonist; the acetylated prodrug readily crosses the blood–brain barrier. Clinical uses restricted because of high tolerance and abuse potential. Given intravenously for the pain of terminal cancer, and for acute severe pain (e.g. myocardial infarction); also given by intramuscular or subcutaneous injection.
Dihydrocodeine	Potency and profile similar to codeine. Given orally or by intramuscular or subcutaneous injection for moderate pain. Also available in combination with paracetamol (as co-dydramol).
Dipipanone	Moderately potent analgesic; less sedating than morphine. Only available in UK in combination with cyclizine (an H <sub>1</sub> antihistamine antiemetic), so used only for acute pain. Given orally.
Fentanyl	Selective µ-receptor agonist; more potent than morphine. Used as transdermal patch for chronic intractable pain, or as buccal film or lozenge for breakthrough pain. Used as intravenous adjunct in anaesthesia and assisted ventilation (see Chapter 17).
Hydromorphone	Selective µ-receptor agonist; similar to morphine in most properties. Given orally for severe pain in cancer.
Meptazinol	Selective agonist for µ <sub>1</sub> -receptors. Less potent than morphine; low dependence potential and possibly a reduced risk of respiratory depression. Given orally or by intramuscular or slow intravenous injection for moderate-severe pain, including postoperative pain and renal colic. Short duration of action.
Methadone	Selective µ-receptor agonist; also a monoamine reuptake inhibitor and glutamate NMDA receptor antagonist. Potency similar to morphine, but less sedating. Slow onset and long duration of action support major use for withdrawal from morphine/ heroin dependence. Given orally or by subcutaneous or intramuscular injection for severe pain or cough.
Morphine	Strong $\mu$ -receptor agonist, weak $\kappa$ - and $\delta$ -receptor agonist. The standard potent opioid against which other opioids are compared. Given orally, rectally, or by subcutaneous, intramuscular or slow intravenous injection.
Oxycodone	Agonist of $\mu$ - and $\kappa$ -receptors; similar pharmacological profile to morphine. Used for severe or postoperative pain, in patient-controlled analgesia (PCA), and in palliative care as an alternative in people who cannot tolerate morphine. Given orally or by subcutaneous or intravenous injection. Also available in oral combination with naloxone, which is not active orally but antagonises opioid effects of oxycodone if the tablet is crushed and injected intravenously.

Continued

## Compendium of Opioids and Related Drugs-cont'd.

DRUG	CHARACTERISTICS		
Papaveretum	Rarely used combination formulation of morphine, papaverine and codeine; not to be confused with papaverine alone.		
Pentazocine	κ-Receptor agonist and weak or partial antagonist at μ- and δ-receptors; will provoke a withdrawal syndrome in a morphine-dependent person. May cause hallucinations and not recommended. Given orally or intravenously; may cause irritation given subcutaneously.		
Pethidine (meperidine)	Selective µ-receptor agonist; less potent than morphine. Produces rapid analgesia; not useful for antitussive or antidiarrhoeal effects. Traditionally used in labour but largely superseded in obstetrics by other opioids. Antimuscarinic activity may cause tachycardia, and interference with monoamine uptake may cause serotonin syndrome. A long-lived active metabolite (norpethidine) is toxic and may accumulate in renal or hepatic insufficiency. Given orally or by subcutaneous or slow intravenous injection.		
Remifentanil	Potent, selective µ-receptor agonist. Only used for intraoperative analgesia. Given intravenously; very rapid local metabolism.		
Tapentadol	Selective µ-receptor agonist and noradrenaline reuptake inhibitor. Moderate analgesic potency. Given orally for moderate to severe pain.		
Tramadol	Weak µ-receptor agonist and inhibitor of monoamine reuptake; opioid analgesia is enhanced by increased serotonergic and noradrenergic pathway activity. Less respiratory depression, constipation and addiction potential than most opioids but psychiatric reactions may occur. Given orally or by intramuscular or intravenous injection. Also available in combination with paracetamol (simple analgesic) or with dexketoprofen (an NSAID).		
Opioid Antagonists			
Methylnaltrexone	Peripheral opioid receptor antagonist; does not cross the blood–brain barrier. Reduces opioid-induced constipation without affecting central actions (including analgesia) or inducing withdrawal; used as adjunct to laxatives in palliative care. Given by subcutaneous injection.		
Naldemedine	Peripheral opioid receptor antagonist; used for opioid-induced constipation. Given orally.		
Nalmefene	Used in chronic treatment of alcohol dependence. Given orally.		
Naloxegol	Peripheral opioid receptor antagonist; used for opioid-induced constipation. Given orally.		
Naloxone	Opioid receptor antagonist used to treat opioid overdose (see Chapters 53 and 54) and to reverse opioid-induced respiratory depression in anaesthesia. Given by intravenous, intranasal or intramuscular routes; short duration of action.		
Naltrexone	Opioid receptor antagonist. Given orally to prevent relapse in formerly opioid-dependent patients. Also used for treatment of alcohol dependence (see Chapter 54). Longer duration of action than naloxone.		
Opioids Used Primarily for Nonanalgesic Effects			
Dextromethorphan	Only opioid activity is antitussive action; also antagonist to NMDA receptors. Given orally.		
Diphenoxylate	Opioid agonist used in acute diarrhoea (see Chapter 35); may exert its effects locally on smooth muscle rather than via opioid receptors. Given orally.		
Loperamide	Only opioid characteristic is an antidiarrhoeal action (see Chapter 35); tolerance does not develop. Given orally.		
Related Drugs or Actions			
Lofexidine	$\alpha_2$ -Adrenoceptor antagonist. Used as an adjunct in opioid withdrawal. Given orally.		

# Anxiety, Obsessive-Compulsive Disorder and Insomnia

20

## **Chapter Outline**

Anxiety Disorders 273 Biological Basis of Anxiety Disorders 273 Anxiolytic Drugs 274 Management of Anxiety Disorders 275 Obsessive-Compulsive Disorder 276 Management of Obsessive-Compulsive Disorder 276 Insomnia 276 Sleep Patterns 277

There is considerable overlap in the pharmacology of drugs that have anxiolytic (anxiety-relieving), sedative (moderating excitement and calming) and hypnotic (sleep-inducing) properties. Compounds with sedative properties at low doses often have hypnotic effects at higher doses, whereas sedative drugs may have anxiolytic properties when used at doses that are too low to produce sedation. Buspirone is one compound that has anxiolytic properties but does not sedate.

## **ANXIETY DISORDERS**

## **BIOLOGICAL BASIS OF ANXIETY DISORDERS**

Anxiety disorders are among the most common psychiatric syndromes and affect 15% of the general population at some time during their life. The clinical manifestations of anxiety are both psychological and physical. Anxiety is only pathological when it is inappropriate to the degree of stress to which the individual is exposed. There are several anxiety syndromes, of which the most common are generalised anxiety disorder (GAD), panic disorder and phobic disorder. GAD is often misdiagnosed as depression, but the two may co-exist as mixed anxiety and depression. Many anxiety syndromes present early in life and tend to become chronic if untreated. They are often associated with substance abuse.

Symptoms vary among the anxiety disorders, but usually include apprehension, worry, fear and nervousness. Increased sympathetic nervous system activity frequently accompanies these feelings, causing trembling, sweating, palpitation, dizziness and epigastric discomfort. Sleep is often disturbed, with difficulty getting to sleep being a common feature. Physical symptoms can be prominent (somatisation) and disabling; they are Hypnotic Drugs 277 Management of Insomnia 277 Self-Assessment 278 Answers 279 Further Reading 280 Compendium of Anxiolytic, Sedative and Hypnotic Drugs 280

sometimes difficult to interpret because anxiety can coexist with an underlying chronic physical condition.

It is now thought that GAD and major depression may share a genetic basis, with susceptibility conferred by polymorphisms in regions such as the serotonin transporter gene-linked polymorphic region. Expression of anxiety as the predominant feature is determined by environmental factors such as low socioeconomic status, childhood maltreatment and internalising problems. Dysfunction of neurotransmission in the limbic region of the brain underlies the genesis of anxiety. The amygdala is a central part of the system that processes a fear stimulus and selects a response based on previous experience. Responses are then implemented through the locus coeruleus (autonomic and neuroendocrine responses), the nucleus paragigantocellularis in the brainstem (autonomic responses) and through the hypothalamus. Structural changes in the neural pathways from the amygdala to the cortex have been identified in anxiety disorders, which may underlie hyperactive sensory processing of threat stimuli. In addition, the cognitive control mechanisms that terminate the emotional response to the sensory cues are deficient.

Many abnormalities of neurotransmission have been implicated in anxiety syndromes, but it is unclear whether these are causative or secondary changes. They include:

- Increased noradrenergic neurotransmission.
- Deficient inhibition by γ-aminobutyric acid (GABA) interneurons.
- Increased excitatory glutamatergic neurotransmission at *N*-methyl-D-aspartate (NMDA) receptors.
- Supersensitivity of receptors for peptide neurotransmitters such as cholecystokinin and neuropeptide Y.
- Increased serotonergic (5-hydroxytryptamine, 5-HT) neurotransmission.

There is increasing evidence for the central role of brain-derived neurotrophic factor (BDNF) in modulating neural plasticity in anxiety states (see Fig. 22.2). BDNF is regulated by most of the neurotransmitters implicated in the genesis of anxiety states, and downregulation of BDNF is found in anxiety states.

In some anxiety syndromes there is excess secretion of corticotropin-releasing hormone (CRH), but a low plasma cortisol concentration and upregulation of glucocorticoid receptors. CRH is a neurotransmitter in the limbic system and upregulation may occur from early adverse experiences, leading to conditioning of those with a genetic predisposition to anxiety disorder in later life.

## **ANXIOLYTIC DRUGS**

This section considers drugs primarily developed to treat anxiety (anxiolytics), although management of anxiety disorders involves many other drug classes, such as antidepressants.

## **Benzodiazepines**



In addition to their anxiolytic effect, benzodiazepines have several other properties that are clinically useful. This section also considers benzodiazepines that are not used primarily for treatment of anxiety.

#### Mechanism of action and effects

Benzodiazepines act by potentiating the actions of GABA, the primary inhibitory neurotransmitter in the central nervous system (CNS). They act at an allosteric regulatory site closely linked to the GABA<sub>A</sub> receptor, which mediates fast inhibitory synaptic neurotransmission (Fig. 20.1). Activation of GABA receptors increases the influx of Cl<sup>-</sup> into the neuron, hyperpolarises the cell membrane and decreases cell excitability. Binding of a benzodiazepine to subunits of the receptor induces a conformational change in the GABA receptor that enhances its affinity for the neurotransmitter. Benzodiazepines have no direct action on ion flow and only enhance GABA-mediated opening of the ion channel.

The increase in inhibitory neurotransmission produced by benzodiazepines has the following potentially useful effects:

- Sedation from reduced sensory input to the reticular activating system.
- Onset of sleep at high drug concentrations.
- Anterograde amnesia.
- Anxiolysis from actions on the limbic system and hypothalamus.
- Anticonvulsant activity (see Chapter 23).
- Reduction of skeletal muscle tone (see Chapter 24).



Fig. 20.1 The GABA<sub>A</sub> receptor. The GABA<sub>A</sub> receptor is a ligand-gated chloride ion channel and consists of five transmembrane subunits configured from the 19 possible subunits that have been identified; thus many configurations of the GABA receptor exist, which vary in their sensitivity to benzodiazepines. A common configuration comprises two  $\alpha_1$ , two  $\beta_2$ , and one  $\gamma_2$  subunit. Binding of GABA to the receptor at the interfaces of the  $\alpha_1$  and  $\beta_2$  subunits mediates opening of the Cl<sup>-</sup> channel and an influx of Cl<sup>-</sup> ions, resulting in hyperpolarisation of the cell. This action is enhanced by drugs stimulating allosteric regulatory sites on the GABA receptor, distinct from the GABA-binding site. Diazepam, lorazepam and other 'classic' benzodiazepines (BDZ) bind at the interface of the  $\alpha_1$  and  $\gamma_2$  subunits. Compounds such as zolpidem bind with high affinity for the  $\alpha_1$ -subunits and also enhance Cl<sup>-</sup> ion influx. The intravenous anaesthetics propofol and etomidate bind to  $\beta_2$ - and  $\beta_3$ -subunits (see Chapter 17).

The GABA<sub>A</sub> receptor has  $\alpha$ ,  $\beta$  and  $\gamma$  subunits arranged in a group of five (usually two  $\alpha$ , two  $\beta$  and one  $\gamma$ ) around a central pore (see Fig. 20.1). There are many subtypes of each subunit and therefore many receptor configurations that have different regional distributions in the brain. Benzodiazepines bind at the interface between an  $\alpha$  and  $\gamma$  subunit. GABA<sub>A</sub> receptors that have an  $\alpha_1$  or  $\alpha_5$  subunit are responsible for the sedative and amnesic properties of benzodiazepines, whilst both  $\alpha_2$  and  $\alpha_3$  subunits appear to be involved in the anxiolytic and muscle-relaxant effects. Anticonvulsant activity is conferred by several  $\alpha$  subunits. The minority of GABA receptors with only  $\alpha_4$  or  $\alpha_6$  subunits do not bind benzodiazepines. The potential for selective action of benzodiazepines through preferential binding to specific subunits has not yet been realised.

#### Pharmacokinetics

The pharmacokinetics of individual benzodiazepines determines their major clinical uses.

Benzodiazepines used as hypnotics for inducing sleep (e.g. temazepam) are rapidly absorbed from the gut. This produces a fast onset of sedation, followed by sleep. A short duration of action is also desirable to minimise 'hangover' sedation in the morning. This is achieved by using drugs that are metabolised to inactive derivatives.

The anxiolytic properties of benzodiazepines are best exploited by using a compound with a long duration of action. Smaller doses can then be used to minimise sedation, and the rebound in anxiety symptoms that can occur between doses of a short-acting drug is avoided. Diazepam and other long-acting benzodiazepines are metabolised in the liver to active compounds (see Fig. 2.12) that are relatively slowly eliminated from the body. Regular dosing with long-acting benzodiazepines results in accumulation of the active metabolites with prolonged action. Long-acting benzodiazepines such as clobazam and clonazepam are used in the prophylaxis of epilepsy (see Chapter 23). Diazepam, oxazepam or chlordiazepoxide are used to reduce symptoms during withdrawal from alcohol dependence (see Chapter 54).

Diazepam, lorazepam and midazolam can be given by intravenous injection. They are used to provide rapid conscious sedation preoperatively or before procedures such as endoscopy or for emergency treatment of generalised seizures and status epilepticus (see Chapter 23). Buccal midazolam or rectal diazepam are also used for control of seizures if the intravenous route is not available.

#### Unwanted effects

- Drowsiness, which may cause problems with driving or operating machinery.
- Lightheadedness.
- Confusion, especially in the elderly.
- Paradoxical increase in aggression.
- Amnesia.
- Ataxia.
- Muscle weakness.
- · Potentiation of the sedative effects of other CNSdepressant drugs, such as alcohol. In overdose, such combinations can lead to severe respiratory depression. Flumazenil is a competitive antagonist of benzodiazepines and can be used in acute overdose to reverse respiratory depression (see Chapter 53).
- Tolerance to the therapeutic effects of benzodiazepines is common. Rebound insomnia on withdrawal can perpetuate benzodiazepine use.
- Dependence with physical and psychological withdrawal symptoms occurs during long-term treatment. The risk is highest in people with personality disorders or a previous history of dependence on alcohol or drugs, and it is more likely to occur if high doses of benzodiazepines are used. Restricting use to a maximum of 4 weeks will minimise the risk of dependence. Withdrawal symptoms on stopping long-acting benzodiazepines may be delayed by up to 3 weeks. Anxiety is the most frequent symptom, although insomnia, depression and abnormalities of perception, such as altered sensitivity to noise, light or touch, also occur. More severe reactions such as psychosis or convulsions are rare. Some withdrawal symptoms may resemble those for which the drug

was originally prescribed, encouraging continued use. Gradual withdrawal of a benzodiazepine over 4 to 8 weeks is desirable after long-term use, although complete withdrawal may take up to a year. Lorazepam is a potent benzodiazepine with inactive metabolites. This drug can prove particularly difficult to stop because of the intensity of withdrawal symptoms that begin soon after cessation of treatment. Substitution with diazepam, which has active metabolites with long half-lives, may be helpful before withdrawal is attempted. There are no proven treatments for reducing symptoms associated with benzodiazepine withdrawal. Beta-adrenoceptor antagonists (see Chapter 5) are sometimes helpful, or antidepressants (see Chapter 22) if there are depressive symptoms.

## Azapirones

	Example	
buspire	one	

### Mechanism of action and effects

The mechanism of anxiolytic action of buspirone is unclear. Buspirone is a partial agonist at presynaptic 5-HT<sub>1A</sub> receptors, producing negative feedback to inhibit serotonin release. It is also a partial agonist at  $\alpha_1$ -adrenoceptors and is an antagonist at dopamine D<sub>2</sub> receptors at clinical doses used in anxiety. It has no effect on GABA receptors. Initial exacerbation of anxiety may occur with buspirone, and the onset of the anxiolytic action is slow, beginning after 2 weeks and reaching a maximum effect at approximately 4 weeks. The mechanism of action may involve gradual changes in neural plasticity (enhancement of neural performance or changes in neural connections; see Chapter 22). Buspirone has no sedative action and is ineffective for panic attacks.

#### **Pharmacokinetics**

Buspirone undergoes extensive first-pass metabolism in the liver. The half-life is short (2–4 hours).

#### Unwanted effects

- Nausea.
- Dizziness, lightheadedness and headache.
- Nervousness.

Neither tolerance nor dependence has been reported.

#### MANAGEMENT OF ANXIETY DISORDERS

If substance misuse is identified, it should be treated first and this may improve symptoms, whereas comorbid depression may require an antidepressant. Mild symptoms of anxiety often respond to counselling or psychotherapy, such as relaxation response training,

cognitive behavioural therapy or mindfulness, without drug therapy.

GAD with symptoms that cause marked functional impairment often requires long-term drug treatment, and there is considerable evidence that antidepressants (see Chapter 22) are useful in this situation. Selective serotonin reuptake inhibitors (SSRIs) such as sertraline are the treatment of choice, or a serotonin and noradrenaline reuptake inhibitor (SNRI) such as venlafaxine if an SSRI is ineffective. Antidepressants can initially exacerbate anxiety, and a benzodiazepine may be necessary for the first 2 to 3 weeks of treatment to prevent this. The optimal duration of antidepressant treatment in GAD is usually 1 year. Pregabalin, which increases inhibitory neurotransmission, is an effective alternative to antidepressants and has a rapid onset of action (see Chapter 23). Buspirone may be as effective as an antidepressant, but 2 to 4 weeks of treatment are necessary to see a response.

Benzodiazepines can be considered as a short-term measure for anxiety to treat crises because they have a rapid onset of action over 15 to 60 minutes. However, the potential for dependence should limit their use to a maximum of 4 weeks, and the dose should be gradually reduced after the first 2 weeks. Buspirone has similar efficacy to benzodiazepines, but the slow onset of action makes it less versatile for managing short-term anxiety. In addition, anxiety that responds well to benzodiazepines often responds less well to buspirone, possibly due to a relative lack of effect of buspirone on somatic symptoms. Somatic symptoms of anxiety (e.g. tremor, palpitations) that are produced by overactivity in the sympathetic nervous system are often helped by a nonselective  $\beta$ -adrenoceptor antagonist such as propranolol (see Chapter 5).

Social anxiety disorder is usually treated with SSRIs (escitalopram or sertraline), with SNRIs as a second-line option (see Chapter 22). Where neither is sufficiently effective, a monoamine oxidase inhibitor (MAOI, e.g. phenelzine or moclobemide) may be offered.

Phobic disorders usually need a different approach and cognitive behavioural therapy is often more effective than drugs. Panic disorder is usually treated with tricyclic antidepressants or SSRIs, with MAOIs reserved for those who do not respond.

### **OBSESSIVE-COMPULSIVE DISORDER**

Obsessive-compulsive disorder (OCD) is a common condition that presents with repetitive, timeconsuming obsessions and compulsions. Obsessions are unwanted intrusive thoughts, doubts or urges that are inconsistent with the person's values. Compulsions are repetitive behaviours that the person feels must be performed in response to the obsession. The neurobiology of OCD is not well understood, and it appears to be different from anxiety disorders. There is a genetic predisposition to OCD which interacts with psychological stressors to trigger the condition.

The neurobiology of OCD involves abnormalities in the frontal cortico-striatal-thalamic-cortical circuit. OCD results from a failure of the striatal portion of the basal ganglia to 'filter' excessive cortical impulses, which permits excitatory activity in the thalamus to reach the frontal cortex. This presents a barrier to removal of irrelevant worries from becoming the centre of attention. Within this circuit, reduced inhibitory neurotransmission in GABA-ergic neurons may allow unopposed excitatory glutamatergic neurotransmission.

## MANAGEMENT OF OBSESSIVE-COMPULSIVE DISORDER

Psychological therapies are important, with cognitive behavioural therapy to enable the person to tolerate anxiety. Treatment can be augmented with an SSRI (see Chapter 22), but higher doses than those used for depression are often required. An antipsychotic drug (see Chapter 21) or clomipramine (see Chapter 22) may be used as a second-line pharmacological option.

## INSOMNIA

Defining insomnia is complicated by the considerable variability in the normal pattern of sleep. Most healthy adults sleep between 7 and 9 hours per night, but much shorter or even longer periods can be normal. Insomnia is considered to be present if there is repeated inability to initiate or maintain sleep, despite adequate opportunity and time for sleep. There are three major categories of insomnia, defined by duration of symptoms (Table 20.1).

Insomnia symptom patterns include sleep-onset insomnia (difficulty falling asleep, more common in younger people), frequent nocturnal awakening (difficulty maintaining sleep, more common in older people), early morning awakening (with difficulty getting back to sleep) and difficulty functioning in the daytime due to perceived poor sleep. Obstructive sleep apnoea is a common cause of nocturnal awakening (as well as daytime sleepiness), affecting up to 10% of people who report insomnia.

The reticular formation in the midbrain, medulla and pons is responsible for maintaining wakefulness.

Table 20.1 Types of Insomnia.

TYPE OF INSOMNIA	DURATION	LIKELY CAUSES
Transient	2–3 days	Acute situational or environ- mental stress (e.g. jet lag, shift work)
Short-term	<3 weeks	Ongoing personal stress
Long-term	>3 weeks	Psychiatric illness, behavioural reasons, medical reasons



Fig. 20.2 Typical sleep pattern in a young adult. Phases of rapideye-movement (REM) sleep, associated with dreaming, alternate with recuperative phases of deeper, non-REM sleep.

Activity in the reticular formation is dependent on sensory input through collateral connections from the main sensory pathways. Neurotransmitter systems involved in the regulation of sleep are complex. Cortical arousal is regulated by noradrenergic pathways from the locus coeruleus, cholinergic ascending tracts from brainstem nuclei, histaminergic neurons from the tuberomammillary nucleus and serotonergic neurons from the raphe nuclei. Hypocretins are important neuropeptide transmitters in the lateral hypothalamus that promote wakefulness (see also the discussion of narcolepsy in Chapter 22). Sleep is induced by release of GABA, melatonin and galanin (a predominantly inhibitory neuropeptide) from the anterior hypothalamus, which together inhibit the arousal neurons.

## **SLEEP PATTERNS**

The two main types of sleep pattern are rapid-eyemovement (REM) sleep and non-rapid-eye-movement (non-REM) sleep, which occur in cycles (Fig. 20.2). Non-REM sleep includes light sleep (stages 1 and 2) and deep sleep (stages 3 and 4), with two-thirds of sleep usually spent in stages 2 to 4. The deeper stages of sleep are the recuperative phase, whereas most dreaming occurs during the REM sleep periods. Increasing age is associated with more nocturnal awakening and longer periods of REM sleep.

## HYPNOTIC DRUGS

#### Benzodiazepines

Benzodiazepines have dose-related hypnotic effects. See the previous discussion for details.

## Non-Benzodiazepine Hypnotics that Modulate the GABA<sub>A</sub> Receptor



#### Mechanism of action and effects

Zolpidem and zopiclone (the so-called 'Z' drugs) belong to chemical classes other than the benzodiazepines but interact in a similar manner with the postsynaptic GABA<sub>A</sub> receptor on neuronal membranes. They bind to regulatory binding sites on the receptor that are close to, but distinct from, the benzodiazepinebinding site (see Fig. 20.1). Like benzodiazepines, they increase GABA-mediated Cl<sup>-</sup> influx into the cell, which inhibits neurotransmission. Zolpidem and zopiclone bind to the  $\alpha_1$ -subunit in the GABA<sub>A</sub> receptor, and zopiclone additionally binds to the  $\alpha_2$ -subunit. Although zopiclone also possesses anxiolytic and anticonvulsant activity, its short duration of action makes it unsuitable for these indications.

#### Pharmacokinetics

The 'Z drugs' are rapidly absorbed from the gut and metabolised in the liver. They have short half-lives (1–6 hours), which makes them well suited to their use as hypnotics.

#### Unwanted effects

- Bitter metallic taste (zopiclone).
- Gastrointestinal disturbances, including nausea and vomiting.
- Incoordination.
- Drowsiness, dizziness, headache and fatigue.
- Depression, confusion, amnesia.
- There is only anecdotal evidence for tolerance.
- Dependence with withdrawal symptoms has been reported.

## MANAGEMENT OF INSOMNIA

Drugs play only a small part in the treatment of insomnia. Explanation of the normal variations in sleep patterns and avoidance of diuretics, drinks containing caffeine, cigarettes or alcohol in the hours before retiring can improve sleep. Eliminating excessive noise or heat in the bedroom, encouraging regular exercise in the day and minimising daytime napping may also be useful. Cognitive behavioural therapy is helpful for persistent insomnia.

Hypnotic drugs are reserved for times when abnormal sleep markedly affects quality of life. The ideal hypnotic would induce good-quality prolonged sleep without disturbance of the normal sleep pattern. It should have a rapid onset of action, with no 'hangover' sedation in the morning, and should not produce tolerance or dependence. Few drugs come close to this ideal profile. Benzodiazepines reduce sleep latency (the time between settling down and falling asleep) and prolong sleep duration. However, they reduce the time spent in REM sleep, with more time spent in stage 2 sleep. The 'Z drugs' produce less disturbance of sleep 'architecture' than benzodiazepines, having less effect on the amount of REM sleep while increasing the duration of deeper (stages 3–4) sleep. Short-acting hypnotics (such as zolpidem) are preferred if there is delayed onset of sleep, and medium-acting drugs (such as temazepam or zopiclone) for those who wake in the middle of the night. Long-acting drugs can also suppress daytime anxiety but carry the risk of hangover sedation the following day.

Hypnotic drugs should be used only for short periods and intermittently if possible as tolerance to hypnotics is common after 2 weeks of continuous use. If a benzodiazepine or a 'Z drug' is used every night for 4 to 6 weeks, then rebound insomnia, caused by mild dependence, frequently occurs when the drug is stopped. Despite this, hypnotic drugs are still widely used.

Of the other hypnotics, chloral derivatives and clomethiazole (see the drug compendium at the end of this chapter) should usually be avoided. Compounds with sedative actions as a part of their therapeutic profile are sometimes used as hypnotics. For example, a sedative antihistamine such as promethazine (see Chapter 39) can be helpful for children with somnambulism (sleepwalking) or night terrors, but daytime sedation and weight gain can be a problem. Sedative tricyclic antidepressants (see Chapter 22), such as amitriptyline, should be considered if there is an underlying depressive illness. If less-sedating antidepressants are used, then short-term concurrent use of a benzodiazepine may be necessary while awaiting the onset of the antidepressant effect.

Melatonin is a natural pineal hormone that regulates circadian rhythms. It can be used to treat insomnia caused by jet lag or shift work and has short-term benefit for primary insomnia with delayed onset of sleep.

## **SELF-ASSESSMENT**

## **TRUE/FALSE QUESTIONS**

- 1. Benzodiazepines with a medium to long duration of action are useful for treating anxiety states.
- 2. Long-term use of benzodiazepines is appropriate in anxiety states.
- 3. Potentiation of the CNS effects of benzodiazepines occurs with concurrent use of alcohol.
- 4. The CNS-depressant effects of benzodiazepines can be reversed with flumazenil.
- 5. Lower doses of benzodiazepines should be used in older people.
- 6. Buspirone is more sedative than temazepam.
- 7. Benzodiazepines used to treat anxiety should be taken for as short a time as possible.
- 8. Benzodiazepines have no effect on sleep patterns as measured by the duration of REM sleep.

### **ONE BEST ANSWER (OBA) QUESTION**

- 1. Choose the most accurate statement concerning anxiolytic and hypnotic drugs:
  - A. Benzodiazepines inhibit the action of GABA at the GABA<sub>A</sub> receptor.

- B. Withdrawal effects may take more than 3 weeks to appear after stopping diazepam.
- C. Zolpidem acts at the benzodiazepine site on the GABA<sub>A</sub> receptor.
- D. Buspirone decreases anxiety within 3 days of starting the drug.
- E. If there is no response to one hypnotic, it is advisable to switch to another.

## EXTENDED-MATCHING-ITEM QUESTIONS

Keeping in mind the equally important roles of psychological and psychiatric help, choose the most appropriate option from A–E for the next pharmacological course of action in the case scenarios 1 and 2 described here.

- A. Gradual tapering of the medication over many months.
- B. Gradual tapering of the medication over several days.
- C. Prescribing another course of the same benzodiazepine.
- D. Considering giving an antidepressant.
- E. Immediate withdrawal of all medications.
- Scenario 1. A 54-year-old woman has a history of anxiety. Seven years earlier she had taken regular lorazepam, and for the last 3 years her doctor had been refilling prescription requests without reassessing the clinical need. There is no indication of depressive illness. The woman now wishes to stop her medication.
- Scenario 2. You have been treating a 25-year-old woman for 1 year. She has been having up to 10 intense panic attacks a month. At any time of day, she suddenly develops a peculiar and very strong feeling of being lightheaded, jumpy and being smothered. Her heart rate increases dramatically and the episodes come on so quickly and severely that she feels she might be dying. She then feels shaky, sweaty and unsteady. Each attack quickly reaches such intensity that she is often unable to continue work and needs to go home. She has been treated with intermittent courses of diazepam for 1 year without improvement.

### **CASE-BASED QUESTIONS**

Mrs. F.L. is a 46-year-old mother of three who is finding it very hard to cope following the sudden death of her husband 3 months ago. She has returned to work but does not sleep properly, experiences episodes of intense anxiety during the day, and feels that she is at risk of losing her job because tiredness and anxiety about her financial difficulties prevent her from concentrating on her work.

- 1. What drug might you prescribe to help Mrs. F.L.'s insomnia, and what factors determine your choice of this drug?
- 2. How does your chosen drug work to reduce insomnia and anxiety?
- 3. What potential unwanted effects and drug interactions should you warn Mrs. F.L. about?
- 4. Mrs. F.L. returns 2 weeks later, saying that she regularly wakes at 4 a.m. and cannot get back to sleep; consider the advantages and disadvantages of changing her drug.
- 5. What are the problems associated with long-term use of benzodiazepines?
- 6. What other options should be considered to help to manage Mrs. F.L.'s problems in the long term?

## **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **True.** Benzodiazepines used in anxiety, such as diazepam, have long-acting metabolites that contribute to the duration of action.
- 2. False. Dependence, tolerance and withdrawal symptoms occur with long-term continuous use of benzodiazepines.
- 3. **True.** Alcohol, older (sedating) H<sub>1</sub> antihistamines and barbiturates can potentiate CNS depression by benzodiazepines.
- 4. **True.** Flumazenil is a competitive benzodiazepine antagonist used in acute benzodiazepine overdose.
- 5. **True.** Hepatic metabolism of benzodiazepines is reduced in older people, who are also more sensitive to their effects.
- 6. **False.** Buspirone has less sedative action than benzodiazepines such as temazepam.
- 7. **True.** Using the lowest possible dose of benzodiazepine for the shortest duration reduces the risk of tolerance and withdrawal effects.
- 8. **False.** Benzodiazepines affect sleep structure, with relative reduction in REM sleep. 'Z drugs' have less effect than benzodiazepines.

#### **OBA ANSWER**

- 1. Answer B is correct.
  - A. Incorrect. Benzodiazepines *potentiate* the entry of Cl<sup>-</sup> through the GABA<sub>A</sub> channel induced by GABA.
  - B. **Correct**. Diazepam has long-lived, active metabolites that accumulate on prolonged use and sustain its activity after stopping the drug.
  - C. Incorrect. 'Z drugs' act at different allosteric regulatory sites on the GABA<sub>A</sub> receptor to benzodiazepines; zolpidem binds to the  $\alpha_1$ -subunit.
  - D. Incorrect. Buspirone may exacerbate anxiety initially, and its anxiolytic action requires at least 2 weeks of treatment, probably due to gradual effects on neuronal plasticity.
  - E. Incorrect. An alternative hypnotic is unlikely to work and switching between hypnotics is not good practice.

## **EXTENDED-MATCHING-ITEM ANSWERS**

**Scenario 1:** Answer **A** is correct. Withdrawal from long courses of benzodiazepines is difficult. She is liable to show withdrawal symptoms or return of the original

complaints that determined the original prescription. Psychological and other forms of counselling may be advisable. Withdrawal should include gradual dosage reduction and anxiety management. Long-term psychological support is equally important for successful outcome, particularly for reducing the incidence and severity of post-withdrawal syndromes.

**Scenario 2:** Answer **D** is correct. Continuing with a benzodiazepine is unlikely to improve matters after a year of treatment. The use of anxiolytics may be masking depression. An option might be to assess for depression and use an SSRI licensed for the treatment of anxiety and panic disorders. General assessment is also recommended to rule out other disorders, and nonpharmacological treatments should be considered.

## **CASE-BASED ANSWERS**

- 1. Mrs. F.L.'s insomnia and anxiety are a response to bereavement and might present fewer long-term problems than chronic 'endogenous' anxiety. The central concept in hypnotic therapy is to use the minimal effective dose for the shortest possible period. A short-acting hypnotic (e.g. temazepam or a 'Z drug') taken at night should help restore her sleep pattern and may improve her daytime tiredness. Their relatively short duration of action should minimise the risk of unwanted effects during the working day. However, if the daytime anxiety also warrants treatment, a long-acting benzodiazepine (e.g. diazepam) given at night may be the drug of choice. Only short or intermittent courses of treatment should be given. The anxiolytic buspirone is not sedative, but it is ineffective against panic attacks.
- 2. Benzodiazepines and 'Z drugs' are GABA<sub>A</sub> agonists that enhance GABA<sub>A</sub>-mediated inhibition of neuronal activity in the brain and spinal cord. They bind to distinct allosteric sites on the GABA<sub>A</sub> receptor to enhance Cl<sup>-</sup> entry and hyperpolarise the neuron.
- 3. Benzodiazepines are relatively free of serious unwanted effects if used correctly and are safe in overdose, but Mrs. F.L. should be advised that they cause sedation and may interfere markedly with driving and operating machinery (worsened by interaction with alcohol, barbiturates and sedative antihistamines). Other unwanted effects include headache, dry mouth, hypotension, anterograde amnesia, skin rashes and blood dyscrasias. 'Z drugs' may cause gastrointestinal disturbances, dizziness, sedation and other unwanted effects.
- 4. Rebound wakefulness may indicate a need for a longacting benzodiazepine such as nitrazepam or diazepam, which may also help reduce Mrs. F.L.'s daytime anxiety and panic attacks. Conversely, daytime sedation may interfere with driving and work, exacerbated by long-acting metabolites of these drugs. An alternative would be to prescribe buspirone; however, this may require 2 weeks for a response.

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- 5. Long-term use of a benzodiazepine is associated with dependence, manifested mainly as a withdrawal reaction, which may include rebound anxiety, tremor, nausea, irritability, anorexia and dysphoria. Together with rapid development of tolerance (especially to hypnotic action), these contraindicate benzodiazepine treatment for more than 2 to 4 weeks.
- 6. In the longer term a course of antidepressants may be indicated. Mrs. F.L.'s recovery from bereavement may be aided by psychological counselling and support from her family and employer.

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	האוסוקווט, טכטמוויט מוש הקרוטוט ברעקט.
DRUG	CHARACTERISTICS
Anxiolytics	
Short-term use; giv	en orally unless other otherwise indicated.
Alprazolam	Benzodiazepine; an allosteric GABA <sub>A</sub> receptor agonist.
Buspirone	Partial agonist at presynaptic 5-HT <sub>1A</sub> receptors, which reduces 5-HT release. No effect on GABA <sub>A</sub> receptors and no sedative action; anxiolytic onset after 2 weeks. See Chapter 22.
Chlordiazepoxide	Long-acting benzodiazepine anxiolytic. Also used as an adjunct in alcohol withdrawal.
Clobazam	Used short-term for anxiety. Also used as adjunct in epilepsy.
Diazepam	Long-acting benzodiazepine anxiolytic. Can be given orally, rectally or by intramuscular or slow intravenous injection. Also used for insomnia, status epilepticus and muscle spasm and in surgical premedication.
Lorazepam	Benzodiazepine anxiolytic; shorter-acting than diazepam. Also used as anticonvulsant and for surgical premedication.
Oxazepam	Benzodiazepine anxiolytic; shorter-acting than diazepam.
Sedatives and Hypno	tics
All given orally and	recommended for short-term use only (unless otherwise indicated).
Chloral hydrate	Chlorinated derivative of ethanol; acts at GABA <sub>A</sub> receptor. Formerly used as a hypnotic in children and older people; now rarely used.
Clomethiazole	Derivative of chloral hydrate; acts at barbiturate site on GABA <sub>A</sub> receptor. Used only for severe insomnia in the elderly (with little hangover) and for acute alcohol withdrawal (not preferred).
Flurazepam	Benzodiazepine hypnotic. Has a long-lived active metabolite; may cause hangover effect.
Loprazolam	Benzodiazepine hypnotic; little or no hangover effect.
Lormetazepam	Benzodiazepine hypnotic; little or no hangover effect.
Melatonin	Pineal hormone. Used for short-term insomnia in adults over 55 years.
Midazolam	Benzodiazepine used primarily in surgical premedication; given by slow intravenous or intramuscular injection.
Nitrazepam	Long-acting benzodiazepine hypnotic; may cause hangover effect.
Promethazine	H1 antihistamine with prolonged sedative and hypnotic effects.
Temazepam	Short-acting benzodiazepine hypnotic; little or no hangover effect. Also used in surgical premedication.
Zolpidem tartrate	Z-drug hypnotic; binds to $\alpha_1$ -subunit of the GABA <sub>A</sub> receptor. Used for up to 4 weeks.
Zopiclone	Z-drug hypnotic; binds to $\alpha_1$ - and $\alpha_2$ -subunits of the GABA <sub>A</sub> receptor. Used for up to 4 weeks.
Drugs that Reverse S	edative Effects of Benzodiazepines
Flumazenil	Competitive antagonist of benzodiazepine binding site; given by intravenous injection or infusion in acute benzodiazepine overdose.

#### Compendium of Anxiolytic, Sedative and Hypnotic Drugs

# Schizophrenia and Bipolar Disorder

## **Chapter Outline**

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## **SCHIZOPHRENIA**

The term *psychosis* indicates a mental state in which the person affected has lost contact with reality. This is usually experienced as hallucinations, delusions, or disruptions in thought processes, often with lack of insight. The most profound primary psychotic condition is schizophrenia, but the diagnosis covers a continuum that extends to the so-called schizoaffective disorders. Organic disease such as metabolic disturbance, toxic substances, or psychoactive drugs can cause psychosis (Box 21.1).

Clinical features of schizophrenia are categorised as positive or negative (Table 21.1), although none are pathognomonic of the disorder. The positive features are disordered thinking, perception, formation of ideas, or sense of self. They include hallucinations (false sensory perceptions) and delusions (false beliefs held with absolute certainty and unexplained by the person's socioeconomic background). The negative features, deficits in normal behaviours, are often the most debilitating in the long term. Cognitive decline is an early finding in schizophrenia and precedes the psychotic symptoms. Memory deficits are accompanied by abnormalities of declarative memory, a type of memory related to the conscious recall of facts and events. This generates false memories with psychotic content.

Schizophrenia presents relatively early in adult life. The onset is usually gradual but can be abrupt. Once established, it has either a relapsing or persistent course.

## **BIOLOGICAL BASIS OF SCHIZOPHRENIA**

Many neurobiological abnormalities have been described in schizophrenia, including disturbances in neuronal numbers and synaptic connections in the Mood-Stabilising Drugs for Bipolar Disorder 286 Lithium 286 Anticonvulsants 287 Management of Bipolar Disorder 287 Self-Assessment 288 Answers 289 Further Reading 290 Compendium of Drugs Used in Schizophrenia and Bipolar Disorder 290

cortical, thalamic and hippocampal areas. These structural changes become more marked as the illness progresses, but the heterogeneous nature of the disease makes it difficult to determine the precise underlying neuropathology. There is a strong genetic component to schizophrenia that remains poorly understood, but rare coding variants in a number of genes associated with neural function and brain development have been implicated. Candidates include genes encoding subunits of glutamate (NMDA and AMPA) receptors, and other proteins including transcription factors and neurotrophins linked to the development of dendrites and synaptic connections in the brain. Environmental triggers that have a further impact on neurodevelopment - such as prenatal exposure to viral infections or obstetric complications – probably lead to the disease presenting only in those with a genetic predisposition.

## Dopamine–Glutamate Interactions and Their Possible Involvement in Schizophrenia

Schizophrenia is believed to involve interconnected abnormalities of glutamatergic and dopaminergic neurotransmission in the brain. The limbic region (amygdala, hippocampus and nucleus accumbens) and prefrontal cortex are involved in cognition, emotional memory and the initiation of behaviour. They are regulated by a complex interplay among their neuronal connections, with dopamine and glutamate as important neurotransmitters.

Most dopaminergic pathways in the central nervous system (CNS) arise from the substantia nigra (among the basal ganglia) and the ventral tegmental area in the midbrain (Fig. 21.1). One major pathway from the substantia nigra projects to  $\gamma$ -aminobutyric acid

## Box 21.1 Psychotic Disorders.

Delusional disorders (includes persecutory, grandiose
and other subtypes) Priof (agute and transient) psychotic disorder
Brief (acute and transient) psychotic disorder
Brief (acute and transient) psychotic disorder
Brief (acute and transient) psychotic disorder
and other subtypes)
and other subtyces)
Delusional disorders (includes persecutory, grandiose
Schizoaffective disorders
Schizophreniform disorder
Schizophrenia

#### Table 21.1 Clinical Features of Schizophrenia.

FEATURES	CHARACTERISTICS
Positive Features	
Hallucinations	Third-person auditory hallucinations (voices talking about the person as 'he' or 'she') Second-person commands (voices talking to the person as 'you') Olfactory, tactile, or visual hallucinations
Delusions	Thought withdrawal (thoughts being taken from the person's mind) Thought insertion (alien thoughts inserted in the person's mind) Thought broadcast (thoughts are known to others) Actions are caused or controlled from outside Bodily sensations are imposed from outside Delusional perception (a sudden fully formed delusion in the wake of a normal perception)
<b>Negative Features</b>	
Loss of interest in Blunted emotions Limited speech	others, initiative or sense of enjoyment

(GABA)-ergic inhibitory interneurons in the corpus striatum through the nigrostriatal pathway, and modulates motor and behavioural function through ongoing projections to the thalamus and cortex (see Chapter 24). Other major dopaminergic pathways connect the ventral tegmental area via the mesolimbic projections to the limbic region (especially the hippocampus). There is growing evidence that increased presynaptic dopamine synthesis and release in the corpus striatum may be the final common pathway for the positive psychotic symptoms. The involvement of dopaminergic abnormalities in cognitive decline is less clear.

Dopamine receptors in the brain belong to two families: the  $D_1$ -like family, which comprises  $D_1$  and  $D_5$  receptors coupled to stimulatory G proteins, and the  $D_2$ -like family, which comprises  $D_2$ ,  $D_3$  and  $D_4$  receptors coupled to inhibitory G proteins. Postsynaptic  $D_1$ -like and  $D_2$ -like receptor subtypes are found in the dopaminergic



Fig. 21.1 Dopamine pathways. Major dopaminergic pathways in the central nervous system.

pathways in the corpus striatum, limbic system, thalamus and hypothalamus. Schizophrenia is characterised by overactivity particularly at  $D_2$ -like receptors.

Excitatory glutamatergic neurons are involved in the regulation of dopaminergic activity in the midbrain. Glutamate NMDA receptors are also involved in brain maturation by influencing synaptic plasticity, which is achieved by changes in the receptor subunits during brain development. Failure of NMDA receptor subunit switching at crucial times for development of schizophrenia ('risk windows' such as birth stress, infection, inflammation, or drug abuse) may result in the loss of important neuronal connections, particularly in the hippocampus. Hypofunction of NMDA receptors may contribute to negative symptoms of schizophrenia and cognitive decline.

Several strands of evidence support the involvement of defective glutamatergic and overactive dopaminergic neurotransmission in the genesis of schizophrenia:

- Amfetamine-induced dopamine release is greater in people with schizophrenia.
- The dopamine concentration in the corpus striatum is higher in people with schizophrenia.
- Blockade of D<sub>2</sub>-like receptors produces antipsychotic effects.
- Increased stimulation of D<sub>2</sub>-like receptors worsens positive symptoms.
- Glutamate NMDA receptor antagonists (ketamine, phencyclidine) produce positive and negative symptoms and cognitive dysfunction similar to those of schizophrenia.

• Autoimmune encephalitis with antibodies to glutamate NMDA receptors can produce a clinical picture indistinguishable from schizophrenia.

A third mechanism that appears to contribute to the development of schizophrenia is low-grade chronic inflammation with activation of microglial cells, the main immunocompetent cells in the brain. This may adversely affect brain development and contribute to the cognitive impairment and negative symptoms of schizophrenia.

It is likely that by the time psychotic symptoms arise, the brain is already 'hard-wired' with irreversible changes in brain maturation and synaptic plasticity. Treatment at this stage may therefore control but not cure the condition.

### **ANTIPSYCHOTIC DRUGS**

## **CLASSIFICATION**

Antipsychotic drugs (also known as neuroleptics or major tranquillisers) have a common mechanism for at least some of their beneficial clinical effects via actions at dopamine  $D_2$  receptors. However, they belong to various chemical classes that differ in their propensity to cause sedation and antimuscarinic or extrapyramidal effects (Table 21.2). Antipsychotics are commonly considered in two groups: the conventional and atypical antipsychotics.

#### CONVENTIONAL (FIRST-GENERATION) ANTIPSYCHOTIC DRUGS

Examples

chlorpromazine, flupentixol, haloperidol, sulpiride

#### Mechanism of Action and Effects

The antipsychotic action of all conventional antipsychotic drugs arises primarily from dopamine  $D_2$ receptor antagonist activity in the mesolimbic pathway of the brain. High affinity for  $D_2$  receptors and slow dissociation from these receptors is a common feature of all conventional antipsychotics. The affinity of the drug for these receptors correlates well with its effective dose. At least 65% of  $D_2$ -receptor occupancy in the mesolimbic system is required for clinical benefit during long-term treatment of psychotic disorders. However, these drugs also have  $D_2$ -receptor antagonist activity in other CNS pathways, and 80% or more  $D_2$ -receptor occupancy in the striatum will produce unwanted extrapyramidal effects (see later).

Some conventional antipsychotics also block serotonin 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptors, actions that may contribute to the suppression of negative symptoms in schizophrenia. Antagonist activity at other receptors, including  $\alpha_1$ -adrenoceptors and histamine H<sub>1</sub> receptors, does not influence their efficacy in psychotic illness but can produce unwanted effects, in which respect they resemble tricyclic antidepressants (see Chapter 22). The severity of these unwanted effects varies considerably among the different drugs.

Clinical improvement with antipsychotic drugs develops slowly, despite an immediate antagonist action at dopamine receptors. There is increasing evidence that they modulate complex intracellular pathways that affect neuroplasticity in areas of the brain known to be involved in psychotic illness.

Clinically useful effects produced by antipsychotic drugs include the following:

 A depressant action on conditioned responses and emotional responsiveness. In psychoses, this is

EXAMPLE	SEDATIVE	ANTIMUSCARINIC	EXTRAPYRAMIDAL	HYPOTENSION
Conventional Antipsychotics				
Chlorpromazine	+++	++	++	+++
Flupentixol	+	+	+++	+
Fluphenazine	++	+	+++	+
Haloperidol	+	+	+++	++
Pimozide	0	+	+++	+
Sulpiride	+	+	+	0
Atypical Antipsychotics				
Aripiprazole	+	0	+	+
Clozapine	++	+	0	+
Lurasidone	+	0	++	0
Olanzapine	++	+	0	+
Quetiapine	++	0	0	+
Risperidone	+	0	+	+

Table 21.2 Unwanted Effects of Selected Antipsychotic Drugs.

+++, High; ++, moderate; +, low; 0, minimal.

particularly helpful for the management of thought disorders, abnormalities of perception, and delusional beliefs.

- A sedative action, which is useful for the treatment of restlessness and confusion. Sensory input into the reticular activating system is reduced by the inhibition of collateral fibres from the lemniscal pathways. Spontaneous activity is preserved but arousal stimuli produce less response.
- An antiemetic effect through the activity of dopamine receptor antagonists at the chemoreceptor trigger zone (CTZ). This is useful in treating vomiting, such as that associated with drugs (e.g. cytotoxics, opioid analgesics) and uraemia. Some antipsychotic drugs are also effective in treating motion sickness through muscarinic receptor antagonism (see Chapter 32).
- The antihistamine effect from histamine H<sub>1</sub>-receptor antagonism can be used for the treatment of allergic reactions (see Chapter 39).

#### **Pharmacokinetics**

Most conventional antipsychotics undergo extensive first-pass metabolism. Elimination is by metabolism in the liver. Several antipsychotic drugs - such as chlorpromazine, haloperidol and zuclopenthixol are metabolised predominantly by the polymorphic enzyme CYP2D6 (see Chapter 2). The plasma concentrations of active drug (including metabolites) can vary up to 10-fold among individuals, dependent on their CYP2D6 genotype. There is a poor relationship between plasma drug concentration and clinical response, although unwanted effects may be greater at higher plasma concentrations. Sulpiride does not undergo first-pass metabolism and is largely eliminated unchanged by the kidney. The half-lives of the conventional antipsychotics vary widely between 6 and 70 hours.

As adherence to treatment is often poor in people with psychotic disorders, depot formulations of conventional antipsychotics have been developed. They are given by intramuscular injection as prodrugs, in which the active compound is esterified to a long-chain fatty acid such as decanoic acid and dissolved in a vegetable oil. The antipsychotic is then slowly released for between 1 and 12 weeks, depending on the formulation. When given as a depot preparation, or as a short-acting formulation by deep intramuscular injection, the doses used are smaller than those for oral treatment owing to the absence of first-pass metabolism. Examples of depot preparations are decanoate esters of flupentixol and fluphenazine (see the drug compendium at the end of the chapter).

#### **Unwanted Effects**

The conventional antipsychotic drugs have different spectrums of unwanted effects (see Table 21.2).

- Extrapyramidal effects are most common with piperazine phenothiazines (such as prochlorperazine), the butyrophenones (such as haloperidol), and with depot preparations. Most arise from D<sub>2</sub>-receptor blockade in the nigrostriatal pathways. Clinical manifestations vary. Acute dystonias (tongue protrusion, torticollis, oculogyric crisis) are most common after the first dose or first few doses in children and young adults. Akathisia (restlessness) usually follows large initial doses, and parkinsonism has a gradual onset over several weeks, usually in adults or the elderly. Extrapyramidal effects occur in more than half of those treated with conventional antipsychotics but are usually reversible if the drug is stopped. With prolonged use (several months to years) and especially in the elderly, tardive dyskinesias or tardive dystonias can develop. These consist of choreoathetoid and repetitive orofacial movements that often persist when the drug is withdrawn. Their aetiology is uncertain: upregulation of D<sub>2</sub> receptors may contribute, but damage to inhibitory GABA-ergic neurons and/or dysfunction in other neurotransmitter pathways is probably involved.
- Drowsiness and cognitive impairment can occur as a result of histamine and dopamine receptor antagonism.
- Galactorrhoea, with gynaecomastia in men and amenorrhoea in women, due to hyperprolactinaemia and reduced gonadotrophin secretion. The risk of osteoporosis is increased. Hyperprolactinaemia results from more than 70% D<sub>2</sub>-receptor occupancy in the hypothalamus. Erectile dysfunction can occur from  $\alpha_1$ -adrenoceptor antagonism and impaired arousal from antimuscarinic actions. Sexual dysfunction is a major cause of non-adherence.
- Antimuscarinic effects: peripheral antimuscarinic actions include dry mouth, constipation, micturition difficulties, blurred vision, and reduced sexual arousal (see Chapter 4); CNS muscarinic receptor blockade predisposes to acute confusional states.
- Postural hypotension, nasal stuffiness, and impaired erection and ejaculation in men due to α<sub>1</sub>adrenoceptor antagonism.
- Hypothermia, as a consequence of depressed hypothalamic function.
- Reduced seizure threshold.
- Hypersensitivity reactions including cholestatic jaundice, skin reactions and bone marrow depression.
- Weight gain, with an increased risk of insulin resistance and glucose intolerance.
- Gastrointestinal disturbances.
- Prolongation of the Q–T interval on the electrocardiogram (ECG), a particular problem with pimozide, predisposes to ventricular arrhythmias (see Chapter 8).
- Neuroleptic malignant syndrome (NMS) is a rare disorder understood to be caused by dopamine receptor

blockade. There may be genetic susceptibility factors, supported by the observation of a polymorphism in the  $D_2$  receptor more commonly in people with the condition. NMS is characterised by high fever, muscle rigidity, autonomic instability with hypertension, urinary incontinence, sweating, and altered consciousness. Immediate withdrawal of the antipsychotic and treatment with dantrolene or a dopamine receptor agonist (see Chapter 24) may be lifesaving. Symptoms can take up to 1 week to subside or longer after a depot preparation. Cautious reintroduction of an antipsychotic may be possible without recurrence, but at least 2 weeks should be allowed after symptoms of the syndrome have resolved.

 Sudden withdrawal after long-term use can produce nausea, vomiting, anorexia, diarrhoea, sweating, myalgia, paraesthesia, insomnia and agitation. These symptoms usually subside within 2 weeks.

### ATYPICAL (SECOND-GENERATION) ANTIPSYCHOTIC DRUGS

#### 📈 Examples

aripiprazole, clozapine, olanzapine, risperidone

#### **Mechanism of Action and Effects**

The antipsychotic action of atypical antipsychotic drugs, like that of conventional antipsychotics, arises in part from blockade of CNS dopamine  $D_2$  receptors in mesolimbic pathways. However, the atypical antipsychotic drugs have a lower affinity for  $D_2$  receptors and transient receptor occupancy, and they are therefore less likely than conventional antipsychotics to produce extrapyramidal movement disorders at usual doses. Antagonist activity at serotonin 5-HT<sub>2</sub> receptors may contribute to their antipsychotic action, particularly in improving negative features such as apathy and blunted emotions.

Atypical antipsychotic drugs have different patterns of activity at a variety of receptors:

- Aripiprazole has partial agonist activity at D<sub>2</sub> receptors, which limits the degree of receptor antagonism. It also has weak partial agonist activity at 5-HT<sub>1A</sub> receptors but is an antagonist at 5-HT<sub>2A</sub> receptors.
- Clozapine is a relatively weak D<sub>2</sub>-receptor antagonist with selective cortical receptor occupancy and shows greater antagonist activity at D<sub>1</sub> receptors. It has greater antagonist activity at serotonin 5-HT<sub>2A</sub> receptors, α<sub>1</sub>-adrenoceptors and muscarinic receptors.
- Olanzapine has a similar profile to clozapine, with additional antagonist activity at histamine H<sub>1</sub> receptors.
- Quetiapine has moderate affinity for  $D_1$  and  $D_2$  receptors and is an antagonist at 5-HT<sub>2A</sub> and 5-HT<sub>2C</sub> receptors,  $\alpha_1$ -adrenoceptors and histamine H<sub>1</sub> receptors.

Risperidone has relatively high affinity for D<sub>2</sub> receptors. It also has antagonist activity at several 5-HT<sub>2</sub> receptor subtypes, α<sub>1</sub>-adrenoceptors and histamine H<sub>1</sub> receptors.

Adherence to treatment with atypical antipsychotics is greater than for conventional antipsychotics, probably as a result of less marked unwanted effects, which may explain their apparently greater efficacy. Clozapine, however, is uniquely superior to all other drugs for the treatment of refractory schizophrenia.

#### **Pharmacokinetics**

Atypical antipsychotics undergo extensive first-pass metabolism to inactive metabolites. The half-lives of the atypical antipsychotics vary widely from 6 to 60 hours. Some atypical antipsychotics can be given intramuscularly in a depot formulation, such as olanzapine embonate.

#### **Unwanted Effects**

The atypical antipsychotic drugs show some differences from conventional antipsychotics in their unwanted effects (see Table 21.2).

- Extrapyramidal effects are less frequent with atypical antipsychotics except at high dosages, when the risk is similar to that of conventional antipsychotics.
- Drowsiness and cognitive impairment are less marked than with conventional antipsychotics. Risperidone can cause insomnia and agitation.
- Galactorrhoea and sexual dysfunction are less common with most atypical antipsychotics except risperidone.
- Antimuscarinic effects are uncommon with atypical antipsychotics.
- Postural hypotension, especially during initial dose titration with clozapine and quetiapine.
- Reduced seizure threshold with clozapine.
- Clozapine is associated with serious adverse effects notably including agranulocytosis (1%–2% risk) and rare but potentially fatal myocarditis (up to 0.1%); regular blood counts and cardiac monitoring (electrocardiogram and/or serum troponin concentration) are mandatory during treatment with this drug.
- Increased appetite with weight gain.
- Hyperglycaemia is more common than with conventional antipsychotics.
- Hyperlipidaemia.
- Neuroleptic malignant syndrome is rare.
- Sudden withdrawal syndrome.

#### MANAGEMENT OF SCHIZOPHRENIA

Acute psychotic symptoms such as hallucinations and delusions can be controlled relatively rapidly with an antipsychotic drug. However, reductions in thought disturbance, withdrawal, and apathy are delayed and clinical improvement is gradual over several weeks of treatment. With the exception of clozapine, antipsychotics are similarly efficacious, so choice is determined primarily by tolerability profiles. Atypical antipsychotics should be considered in preference to conventional antipsychotics under the following circumstances:

- when choosing first-line treatment for newly diagnosed schizophrenia,
- if there are unacceptable unwanted effects with a conventional antipsychotic,
- during an acute schizophrenic episode when discussion with the affected person is not possible.

Treatment for schizophrenia is not curative and long-term maintenance therapy is usually required to prevent relapse. The optimal duration of this treatment is determined by the number of acute episodes and is usually at least 2 to 5 years. Intermittent treatment that is given only for relapses is associated with a higher overall relapse rate of 50% to 80%, compared with 25% to 40% in those taking prophylactic therapy. The relapse rate is lower and relief of negative symptoms better with atypical antipsychotics compared with conventional antipsychotics, possibly owing to better adherence. Adherence to maintenance treatment is often poor in schizophrenia and can be improved by use of depot injections that are given every 2 to 4 weeks. Continuous antipsychotic treatment provides relief of symptoms for more than 70% of people with schizophrenia, but resistance to conventional antipsychotics is particularly common if negative symptoms predominate.

There is limited evidence to support the concurrent use of a selective serotonin reuptake inhibitor (SSRI; see Chapter 22) together with an atypical antipsychotic drug for those whose negative symptoms do not respond to the antipsychotic drug alone. Clozapine is the only antipsychotic drug that is effective in treatment resistance (incomplete recovery), but close monitoring is required because of the risk of agranulocytosis. Clozapine should always be considered if symptoms have failed to respond to two antipsychotic drugs, at least one of which should be an atypical drug, each given for 6 to 8 weeks. Between 30% and 50% of those who are resistant to other treatments will respond to clozapine.

Various psychological treatments to improve social skills are important as an adjunct to drug treatment and should be provided, along with social support.

## MANIA AND BIPOLAR DISORDER

Mania is a disorder of elevated mood that can occur alone (unipolar mania) or more usually interspersed with episodes of depression (bipolar affective disorder). Mild mania is termed hypomania. Sometimes the fluctuations of mood are less marked, in which case the disorder is termed cyclothymia.

The onset of mania can be gradual or sudden, most often between the ages of 15 and 25 years, and varies in

severity from mild elation, increased drive and sociability, to grandiose ideas, marked overactivity, overspending and socially embarrassing behaviour. Mania and bipolar disorder have (and share) a stronger genetic component than any other grouping of major psychiatric disorders.

#### **BIOLOGICAL BASIS OF BIPOLAR DISORDER**

The biological basis of bipolar disorder is less well understood than that for unipolar depression. Susceptibility genes have been identified, many of which are shared with those for schizophrenia, but the environmental stressors resulting in the expression of bipolar disorder are poorly understood. The dysregulation of neuronal function is probably triggered by altered expression of critical neuronal proteins, determined by the genetic predisposition. In bipolar disorder, there is increased CNS monoamine neurotransmitter activity (particularly serotonin and dopamine) and reduced acetylcholine and GABA neurotransmission. These may all be important in orchestrating changes in neuronal number and function within the prefrontal cortex, visual association cortex and limbic circuitry.

The changes in neurotransmitter regulation produce functional disruptions in target neurons. Reduced neuronal levels of brain-derived neurotrophic factor (BDNF) and other neurotrophins may be important in the genesis of bipolar disorder (see also depression, Chapter 22). Dysregulation of neurotrophic pathways may also produce the neuroplastic changes (especially synaptic plasticity) and neuronal cell loss that are features of bipolar disorder.

## MOOD-STABILISING DRUGS FOR BIPOLAR DISORDER

#### LITHIUM

#### **Mechanism of Action**

The mechanism of action of lithium is not well understood, but it has multiple effects in the CNS:

 Complex effects on the generation of intracellular second messengers in cortical neuronal pathways. Lithium attenuates the function of G<sub>s</sub> proteins coupled to adenylyl cyclase but increases basal adenylyl cyclase activity, with consequent effects on cyclic adenosine monophosphate (cAMP) synthesis. Lithium also inhibits intracellular inositol monophosphatase and therefore interferes with substrate generation for second messengers involved in phosphoinositide pathway signalling. These actions affect several monoaminergic and cholinergic systems in the CNS. The overall action of lithium may be to stabilise intracellular signalling by enhancing basal activity but decreasing maximum activity, thereby ameliorating the repeated cycles of upregulation and

downregulation of dopamine, glutamate and GABA receptors in bipolar disorder.

- Suppression of proapoptotic genes and increased expression of antiapoptotic genes, with consequent neuroprotection. Lithium inhibits the multifunctional enzyme glucose synthase kinase-3 (GSK-3), a regulator of many signal transduction pathways involved in neuronal apoptosis. Inhibition by lithium of the activity of the proapoptotic enzyme caspase-3 and enhanced activity of the antiapoptotic membrane protein BCL-2 also confers neuroprotection.
- Increased neurogenesis in the hippocampus; this may be one consequence of the complex changes in intracellular signalling, perhaps through enhanced synthesis of neuroprotective growth factors, including BDNF.

#### **Pharmacokinetics**

Lithium is given as a salt (e.g. carbonate, citrate), which is rapidly absorbed from the gut. To avoid high peak plasma concentrations, which are associated with unwanted effects, modified-release formulations are normally used. Lithium is widely distributed in the body but enters the brain slowly. It is selectively concentrated in bone and the thyroid gland. Excretion is by glomerular filtration, with 80% reabsorbed in the proximal tubule by the same mechanism as Na<sup>+</sup>. Unlike Na<sup>+</sup>, however, lithium is not reabsorbed from more distal parts of the kidney. When the body is depleted of salt and water, for example by vomiting or diarrhoea, then enhanced reabsorption of Na<sup>+</sup> in the proximal tubule is accompanied by enhanced lithium reabsorption, which can produce acute toxicity. Lithium has a long half-life of about 24 hours. It has a narrow therapeutic index and regular monitoring of serum concentrations is mandatory – at least every 3 months during long-term treatment. The serum concentration should be measured 12 hours after dosing so that the absorption and distribution phases are completed, with the aim of maintaining a therapeutic plasma lithium concentration between 0.4 and 1.0 mmol/L.

#### **Unwanted Effects**

- Nausea and diarrhoea can occur even at low plasma concentrations.
- CNS effects, including tremor, giddiness, ataxia, dysarthria, and mild cognitive and memory impairment.
- Hypothyroidism can be caused by interference with thyroxine synthesis during long-term treatment. Thyroid function should be monitored every 6 months.
- Reduced responsiveness of the distal renal tubule to vasopressin (antidiuretic hormone, ADH) can produce a reversible nephrogenic diabetes insipidus with polyuria and consequent polydipsia.

- Overdosage usually produces symptoms when the serum lithium concentration rises above 1.5 mmol/L. Severe toxicity (serum lithium concentration above 2.0 mmol/L) can lead to coma, convulsions, and profound hypotension with oliguria.
- Drug interactions: The prolonged action of thiazides (see Chapter 14) means that they are the class of diuretic drug most likely to reduce lithium excretion by producing intravascular volume depletion (see pharmacokinetics, discussed previously). Angiotensin-converting enzyme inhibitors, angiotensin II receptor antagonists (see Chapter 6) and some nonsteroidal antiinflammatory drugs (see Chapter 29) also reduce the renal excretion of lithium. The risk of extrapyramidal effects may be increased when lithium is prescribed concurrently with antipsychotic drugs.

## ANTICONVULSANTS

#### Examples

carbamazepine, lamotrigine, sodium valproate

#### Mechanism of Action in Bipolar Disorder

Anticonvulsant (antiepileptic) drugs are discussed in Chapter 23. The mode of action of the anticonvulsants carbamazepine, lamotrigine and sodium valproate in mania may be related to the facilitation of GABA-ergic inhibitory neurotransmission and consequent modulation of excitatory glutamatergic neurons. Like lithium, anticonvulsants affect cAMP-mediated intracellular events and inhibit the phosphoinositide signalling pathway. They also inhibit histone deacetylase, which modulates gene expression, activates neuroprotective antiapoptotic genes, and stimulates hippocampal neurogenesis. Valproate is contraindicated in pregnancy and should be avoided in girls and women of childbearing potential unless there is no alternative and a pregnancy prevention programme is in place.

#### MANAGEMENT OF BIPOLAR DISORDER

Mania and hypomania are usually treated initially with an antipsychotic drug, such as olanzapine, quetiapine or risperidone. If control cannot be achieved with these, lithium may be offered. If lithium is also ineffective, valproate (with or without an antipsychotic) may be considered.

First-line treatment of depression in bipolar disorder in people not taking lithium is usually with quetiapine or a combination of olanzapine and fluoxetine (an SSRI). The response to antidepressant therapy is less satisfactory than with unipolar depression and there is a risk of provoking a switch to mania, although this is less likely with an SSRI than with a tricyclic antidepressant (see Chapter 22). Lamotrigine is an alternative first-line option, which can also be considered as a second-line option if response to first-line treatment is inadequate. It is not associated with a risk of precipitating mania.

For people already taking lithium who develop moderate or severe depression, the dose should initially be titrated to achieve optimal plasma concentrations. If this is insufficient, or if the dose is already maximal, additional agents can be added as described previously.

Optimal duration of treatment after a first episode of mania is unclear. However, if a person with bipolar disorder has had at least two episodes of either mania or depression in 5 years, prophylactic therapy is recommended for at least 5 years. Lithium is the first-line long-term pharmacological treatment for prophylaxis of both mania and depression in bipolar disorder (although the full prophylactic effect may not be apparent for 6-12 months), with olanzapine, valproate and quetiapine as alternatives. Antipsychotics other than quetiapine are more effective at preventing mania, whereas lamotrigine is more effective at preventing depression. Combination treatment may be required for maintenance, using lithium with valproate or an antipsychotic with valproate. If a decision is made to discontinue treatment, gradual withdrawal is recommended to reduce the risk of relapse, especially of mania.

Electroconvulsive therapy (ECT) is used for refractory episodes of both mania and depression and has a much more rapid action than drug therapy. Psychological treatments are an important adjunct to drug therapy in bipolar disorder, as is the case for schizophrenia.

## **SELF-ASSESSMENT**

## **TRUE/FALSE QUESTIONS**

- 1. It may take several weeks for the full beneficial effects of antipsychotics to be seen.
- 2. The 'positive' features of schizophrenia are more readily controlled than negative features.
- 3. There is a close correlation between plasma levels of chlorpromazine and its antipsychotic effect.
- 4. Antipsychotics are effective in treating about 70% of people with schizophrenia.
- 5. Some antipsychotic drugs can be given as a depot preparation injected at yearly intervals.
- 6. The atypical antipsychotic drugs have relatively low affinity for dopamine D<sub>2</sub> receptors.
- 7. The atypical antipsychotic clozapine has greater antimuscarinic activity than chlorpromazine.
- 8. Clozapine causes agranulocytosis.
- 9. The atypical antipsychotic drugs have relatively few effects on the extrapyramidal system.
- 10. Atypical antipsychotic drugs generally cause anorexia and weight loss.
- 11. Lithium interferes with thyroxine synthesis.
- 12. Lithium is reabsorbed through the distal convoluted tubule in the kidney.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which drug is an atypical (second-generation) antipsychotic drug?
  - A. Fluphenazine
  - B. Levomepromazine
  - C. Pimozide
  - D. Olanzapine
  - E. Zuclopenthixol
- 2. Identify the most accurate statement about antipsychotic drugs.
  - A. Adherence to atypical antipsychotic drugs is generally worse than to conventional antipsychotics.
  - B. Depot antipsychotic injections reduce the risk of extrapyramidal effects.
  - C. Dopamine receptor agonists may be lifesaving in neuroleptic malignant syndrome.
  - D. Haloperidol causes nausea.
  - E. Clozapine causes little sedation.

### **EXTENDED-MATCHING-ITEM QUESTIONS**

Choose a mechanism from A to E most likely to underlie each of the drug actions from 1 to 5 below:

- A. Antagonism of dopamine receptors in the substantia nigra
- B. Antagonism of muscarinic receptors
- C. Antagonism of  $\alpha_1$ -adrenoceptors
- D. Antagonism of serotonin 5-HT<sub>2</sub> receptors
- E. Antagonism of histamine receptors
- 1. Antipsychotic activity
- 2. Postural hypotension
- 3. Sedation
- 4. Constipation
- 5. Extrapyramidal movement disorders

## **CASE-BASED QUESTIONS**

Jake Smith, 20 years of age, lives with his parents. He has a diagnosis of schizophrenia, initially characterised by delusions of reference that he was being written about on social media and that the government was using Facebook to monitor his thoughts. He also experienced 'running commentary' auditory hallucinations that only served to confirm his beliefs. He was suspicious of others and felt highly anxious, which affected his sleep. He was first prescribed olanzapine, which was effective in treating his delusions and anxiety. However, he became sedated and put on over 15 kg in weight. Jake again became a little more concerned about being monitored by the government, which prompted his parents to tell his nurse that they thought he was not taking his medication. In response to this, Jake's psychiatrist changed his medication to aripiprazole. Jake was pleased that he was more alert and lost 4 kg quickly. Unfortunately, his mental health deteriorated and he became reclusive in his room and self-neglecting, which led to an admission to hospital. He was suspicious of everyone and non-adherent with

oral medication. After a few days, he was given intramuscular haloperidol for rapid tranquillisation, which was the beginning of his recovery.

- 1. What neural pathways are affected in schizophrenia?
- 2. Why are atypical antipsychotic drugs so called?
- 3. Discuss the use of olanzapine in Jake's treatment.
- 4. What is unusual about the pharmacology of aripiprazole?
- 5. What type of antipsychotic is haloperidol and why does it cause movement disorders?
- 6. What measures could be taken to improve Jake's adherence with treatment?

## **ANSWERS**

### **TRUE/FALSE ANSWERS**

- 1. **True.** Acute psychotic symptoms may respond relatively rapidly but further gradual improvement is seen over several weeks.
- 2. **True**. Negative symptoms are more difficult to treat but may respond better to atypical antipsychotics, at least in part owing to better adherence with treatment.
- 3. **False.** The plasma concentrations of chlorpromazine and some other antipsychotics are variable and do not correlate well with their clinical effects, although unwanted effects are more apparent at higher plasma concentrations.
- 4. **True.** Schizophrenia is well controlled in about 70% of people taking continuous antipsychotic drug therapy.
- 5. **False.** Depot injections are usually given at 1- to 12-week intervals, depending on the drug dose and formulation.
- True. The atypical antipsychotics have lower affinity for D<sub>2</sub> receptors and more transient D<sub>2</sub>-receptor occupancy than conventional antipsychotics, but may show more pronounced antagonism of serotonin 5-HT receptors, especially 5HT<sub>2A</sub>.
- 7. **False.** The atypical antipsychotics such as clozapine have less antimuscarinic activity than conventional antipsychotics.
- 8. **True.** The 1% to 2% risk of agranulocytosis with clozapine necessitates regular blood monitoring.
- 9. **True.** The atypical antipsychotics produce fewer unwanted extrapyramidal effects at normal doses than the conventional drugs, probably because of their lower occupancy of dopamine D<sub>2</sub> receptors in the nigrostriatal pathway.
- 10. **False.** Weight gain due to increased appetite is often seen with atypical antipsychotics such as clozapine and olanzapine.
- 11. **True.** Hypothyroidism can occur with the use of lithium; thyroid function should be monitored.
- 12. **False.** Lithium is reabsorbed through the proximal convoluted tubule, at the same site where Na<sup>+</sup> is absorbed.

### **OBA ANSWERS**

- 1. **Answer D** is correct. Olanzapine (answer D) is an atypical antipsychotic drug, whereas fluphenazine (answer A), levomepromazine (answer B), pimozide (answer C) and zuclopenthixol (answer E) are conventional (first-generation) antipsychotic drugs.
- 2. Answer C is correct.
  - A. Incorrect. Adherence is higher with atypical drugs, probably as a result of fewer unwanted effects.
  - B. Incorrect. The risk of extrapyramidal effects is increased with depot injections.
  - C. **Correct.** Dopamine receptor agonists reverse  $D_2$  receptor blockade in neuroleptic malignant syndrome.
  - D. Incorrect. Antipsychotics do not cause nausea and some are used in the treatment of nausea and vomiting.
  - E. Incorrect. Clozapine has a sedative action.

## **EXTENDED-MATCHING-ITEM ANSWERS**

- 1. Answer **D** is correct. Serotonin 5-HT<sub>2</sub> receptor antagonism is most likely to contribute to antipsychotic activity, particularly the negative symptoms such as social withdrawal.
- 2. Answer **C** is correct. Blockade of  $\alpha_1$ -adrenoceptors on blood vessels can cause postural hypotension.
- 3. Answer **E** is correct. Blockade of histamine H<sub>1</sub> receptors in the CNS causes sedation.
- 4. Answer **B** is correct. Antimuscarinic effects include constipation, dry mouth, blurred vision and problems with micturition.
- 5. Answer **A** is correct. Antagonism of nigrostriatal pathway dopamine  $D_2$  receptors produces extrapy-ramidal disorders of movement.

## **CASE-BASED ANSWERS**

- 1. Schizophrenia is linked to overactivity of dopaminergic pathways in the corpus striatum and limbic system, associated with defective glutamatergic activity and with underlying abnormalities in neural development and connectivity. Florid schizoid symptoms may be mimicked by dopamine receptor agonism (e.g. amphetamines) and by glutamate NMDA antagonists (e.g. ketamine). Antipsychotic activity correlates most closely with antagonism at dopamine D<sub>2</sub> receptors, which improves positive symptoms (e.g. delusions), and with antagonism at serotonin receptors (such as 5-HT<sub>2A</sub> receptors), which is associated with improvement in negative symptoms (e.g. low mood, apathy, social withdrawal).
- Atypical antipsychotics are so-called because they produce few extrapyramidal movement disorders compared with the conventional antipsychotics. This may be because they produce lower dopamine D<sub>2</sub>-receptor occupancy in the nigrostriatal pathway.

Atypical drugs also tend to be more effective in treating negative symptoms of schizophrenia, possibly by antagonism at 5-HT<sub>2</sub> receptors.

- 3. Olanzapine is a commonly used atypical antipsychotic that is thought to act principally as a 5-HT<sub>2A</sub> antagonist. There is good evidence that olanzapine and the other atypical drugs clozapine and risperidone are more effective than conventional antipsychotics, but olanzapine is noted for causing weight gain, as seen in Jake. It also blocks histamine H<sub>1</sub> receptors, and this may explain Jake's sedation.
- 4. Aripiprazole is another atypical antipsychotic used in schizophrenia, but it is also effective in bipolar disorder and as an adjunct in unipolar depression. Apart from blocking 5-HT<sub>2</sub> receptors, aripiprazole is a partial agonist at dopamine D<sub>2</sub> receptors; this may limit unwanted effects on movement and also reduce the risk of hyperprolactinaemia compared with other antipsychotics that are dopamine D<sub>2</sub> antagonists.
- 5. Haloperidol is a first-generation antipsychotic (a butyrophenone) and a highly selective dopamine D<sub>2</sub> receptor antagonist; it thus carries a high risk of movement disorders, but it was effective in treating Jake's acute deterioration. It is now more common to use an atypical antipsychotic for rapid relief of symptoms.
- 6. Jake's poor adherence with his antipsychotic medication could be addressed with a long-acting depot preparation. Support from the person's family and physician is also important in maintaining adherence. A principal cause of poor adherence is the

unwanted effects of antipsychotic drugs, which vary widely from drug to drug and from person to person, so adherence may be improved by changing the antipsychotic drug.

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## Compendium of Drugs Used in Schizophrenia and Bipolar Disorder.

DRUG	CHARACTERISTICS
<b>Conventional (First-G</b>	eneration) Antipsychotics
Conventional antips dopamine D <sub>2</sub> rece	ychotics include phenothiazine drugs and other structural families but act predominantly by antagonism of eptors in the mesolimbic system. Some conventional drugs are also used as antiemetics (see Chapter 32).
Benperidol	Butyrophenone antipsychotic. Main indication is control of deviant antisocial sexual behaviour (but efficacy uncertain). Given orally.
Chlorpromazine	Phenothiazine. Marked sedative effect. Used in schizophrenia, mania and extreme anxiety. Oral, rectal suppository and deep intramuscular injection formulations available. Also used as an antiemetic in palliative care.
Flupentixol	Thioxanthene. Given orally or as a depot formulation (as the decanoate ester).
Fluphenazine	Phenothiazine. Given only by depot injection (as the decanoate ester).
Haloperidol	Butyrophenone. Pronounced extrapyramidal effects. Given orally, and by subcutaneous or intramuscular injection, or as a depot formulation (as the decanoate ester).
Levomepromazine	Phenothiazine. Given orally or by subcutaneous or intravenous injection or infusion, or intramuscular injection. Also used for pain relief and as an antiemetic in palliative care.
Loxapine	Related to clozapine but classified as a first-generation antipsychotic; does not cause agranulocytosis. Antagonist at dopamine $D_2$ , serotonin 5-HT <sub>2A</sub> , histamine H <sub>1</sub> , $\alpha$ -adrenergic and muscarinic receptors. Used for rapid control of mild-to-moderate agitation in schizophrenia and bipolar disorder. Available in UK only as a powder for inhalation (specialist use).

## Compendium of Drugs Used in Schizophrenia and Bipolar Disorder—cont'd.

DRUG	CHARACTERISTICS			
Pericyazine	Phenothiazine. Given orally.			
Pimozide	Diphenylbutylpiperadine. ECG monitoring for Q–T prolongation is mandatory before first use. Given orally.			
Prochlorperazine	Phenothiazine. Given orally, or by intramuscular injection. Also used as an antiemetic.			
Promazine	Phenothiazine. Metabolite of chlorpromazine with little antipsychotic activity; used as a sedative. Given orally.			
Sulpiride	Substituted benzamide. Used for schizophrenia with predominantly positive features. Given orally.			
Trifluoperazine	Phenothiazine. Also used as an antiemetic. Given orally.			
Zuclopenthixol	Thioxanthene. Given orally, or by injection (as the acetate).			
Atypical (Second-Ger	neration) Antipsychotics			
Atypical antipsychotics act in part as dopamine receptor antagonists but have fewer effects on movement than conventional drugs, and in part as antagonists of serotonin (5-HT <sub>2</sub> ) receptors, which may be associated with improvement of negative features of schizophrenia.				
Amisulpride	$D_2$ and $D_3$ antagonist. Associated with prolongation of the Q–T interval. Given orally.			
Aripiprazole	Partial agonist at D <sub>2</sub> and 5-HT <sub>1A</sub> receptors and antagonist at 5-HT <sub>2A</sub> receptors. Given orally or by intramuscular injection.			
Asenapine	Antagonist at D <sub>2</sub> and 5-HT <sub>2A</sub> receptors. Used mainly for manic episodes in bipolar disorder. Given sublingually.			
Cariprazine	Partial agonist at D <sub>2</sub> -like receptors and antagonist at 5-HT <sub>2A</sub> , 5-HT <sub>2B</sub> and histamine H <sub>1</sub> receptors. Given orally.			
Clozapine	Antagonist of D <sub>1</sub> , D <sub>2</sub> (weak), D <sub>4</sub> , 5-HT <sub>2A</sub> , 5-HT <sub>2C</sub> , α <sub>1</sub> -adrenoceptors and muscarinic receptors. Highly effective antipsychotic but can cause weight gain and sedation. Risk of agranulocytosis (1%); regular white cell count monitoring is mandatory. Also used for psychosis in Parkinson's disease. Given orally.			
Lurasidone	Antagonist of D <sub>2</sub> , 5-HT <sub>2A</sub> , 5-HT <sub>7</sub> and $\alpha_2$ -adrenoceptors, and partial agonist of 5-HT <sub>1A</sub> receptors. Lowest risk of Q–T interval prolongation in class. Given orally.			
Olanzapine	Antagonist at D <sub>1</sub> , D <sub>2</sub> , D <sub>4</sub> , 5-HT <sub>2</sub> , 5-HT <sub>3</sub> and histamine H <sub>1</sub> receptors. May cause weight gain. Given orally or as a depot formulation (as the embonate).			
Paliperidone	Metabolite of risperidone. Antagonist at $D_2$ and 5-HT <sub>2</sub> receptors. Given orally or as a depot formulation.			
Quetiapine	Antagonist at D <sub>2</sub> , 5-HT <sub>2A</sub> , 5-HT <sub>2C</sub> , $\alpha_1$ -adrenergic and histamine H <sub>1</sub> receptors. Given orally.			
Risperidone	Antagonist at D <sub>2</sub> , D <sub>4</sub> , 5-HT <sub>1</sub> , 5-HT <sub>2</sub> , α <sub>1</sub> -adrenergic and histamine H <sub>1</sub> receptors. Given orally or by intramuscular depot injection. Up to 10% of people are poor metabolisers of risperidone but no dose adjustment is required.			
Mood-Stabilising Drugs				
For anticonvulsant of	drugs used in bipolar disorder, see Chapter 23.			
Lithium (as the carbonate or citrate)	Used in treatment and prophylaxis of mania and bipolar disorder. Given orally (usually in a modified-release formulation).			

# Depression, Attention Deficit Hyperactivity Disorder and Narcolepsy

## **Chapter Outline**

#### Depression 292

Biological Basis of Depression 292 Antidepressant Drug Action 294 Antidepressant Drugs 295 Management of Depression 300 Attention Deficit Hyperactivity Disorder and Narcolepsy 301 Attention Deficit Hyperactivity Disorder 301 Narcolepsy 301 Drugs for Attention Deficit Hyperactivity Disorder and Narcolepsy 301 Management of Attention Deficit Hyperactivity Disorder 302 Management of Narcolepsy 302 Self-Assessment 302 Answers 303 Further Reading 305 Compendium of Drugs Used to Treat Depression, ADHD and Narcolepsy 305

## DEPRESSION

Depression is characterised by diverse psychological and physical symptoms. The key symptoms are low mood, loss of interests or pleasure in things that are normally pleasurable, and fatigue or low energy. In the ICD-10 classification system, at least one of these symptoms needs to have been present on most days for at least 2 weeks. Depending on the number of key symptoms present, an additional one to three symptoms from the following list must be also present to bring the total to at least four: loss of confidence or self-esteem; unreasonable, excessive or inappropriate feelings of self-reproach or guilt; recurrent thoughts of death or suicide, or any suicidal behaviour; poor concentration; psychomotor agitation or retardation; sleep disturbance; or abnormal appetite with weight disturbance. Depressive symptoms that fall short of the formal diagnostic criteria may still be distressing and disabling, and warrant intervention. The existence of mixed anxiety-depression disorder is now also well recognised.

Depression is an episodic illness that has a lifetime prevalence in about 15% of the population and recurs in almost three-quarters of people who experience an episode. In about 25% of people with depression, the condition may become chronic, with symptoms lasting for more than 2 years, whereas up to 40% of people report reduced psychosocial functioning even after recovering from a depressive episode. As the number of depressive episodes increases, the threshold for precipitation of a further episode by life stresses appears to decrease, a process referred to as 'kindling'. Both a genetic predisposition and the effects of adverse events in early life may determine whether a person is susceptible to depressive illness in later life.

## **BIOLOGICAL BASIS OF DEPRESSION**

The cause of depression is unknown and there may not be a single mechanism. The neurotrophic hypothesis postulates that abnormalities in neurotrophic pathways involving brain-derived neurotrophic factor (BDNF) and other neurotrophins cause changes in connectivity between key structures in the brain involved in the regulation of mood and the stress response. These include limbic structures (amygdala, hippocampus, and nucleus accumbens) and the prefrontal cortex. It has been suggested that the disruption of limbic connections with the prefrontal cortex impairs the normal feedback from the cortex that regulates limbic activity. Depression is thought to involve a negative emotional bias (cognitive distortion) that may lead the person to persistently and spontaneously recall negative thoughts about the self, about the world or environment, and about the future (the 'cognitive triad'). This negative information is processed in the amygdala, which is hyperactive in people with depression. Challenging such patterns of negative thought underlies the practice of cognitive behavioural therapy (CBT).

In people who are genetically predisposed to depression, stress can initiate remodelling of the hippocampal circuits involved in regulating mood, cognition and behaviour. High plasma concentrations of the stress peptide corticotropin-releasing hormone (CRH, also known as corticotropin-releasing factor) and the stress hormone cortisol are found in more severe depressive disorders, which can lead to impaired neuroplasticity. The monoamine theory, which has underpinned the treatment of depression for many years, is complementary to the neurotrophic hypothesis. Depression is associated with reduced monoaminergic neurotransmission in the CNS, possibly as a result of high monoamine oxidase (MAO) type A activity. This affects both serotonergic and noradrenergic pathways, which are closely involved in the regulation of mood. However, the central importance accorded to monoamines in the genesis of depression has been questioned.

#### **Corticotropin-Releasing Hormone in Depression**

In many people with depression, there is hypersecretion of the 'stressor' peptide CRH (see Chapter 43), which has detrimental effects on neural synaptic plasticity and neurogenesis and promotes neuronal excitotoxicity. CRH depresses serotonergic neurotransmission and is also a neurotransmitter that orchestrates CNS control of many behavioural, endocrine, autonomic and immunological responses. Many of these circuits involve glutamatergic neurotransmission via the excitatory *N*-methyl-D-aspartate (NMDA) receptor. Depressed neurotransmission in these pathways provides a potential target for the treatment of depression. CRH also increases cortisol secretion, which contributes to the loss of neuroplasticity.

## Serotonergic and Noradrenergic Pathways in Depression

Most serotonergic neurons are found in the raphe nuclei of the midbrain, from where they project to the hippocampus in the limbic system and to the cerebral cortex. Presynaptic  $\alpha_2$ -adrenoceptors and serotonin 5-HT<sub>1</sub> autoreceptors (not shown in Fig. 22.1) inhibit serotonin release. Somatodendritic  $\alpha_1$ - and  $\beta$ -adrenoceptor autoreceptors on the neuronal cell bodies of the raphe nuclei also regulate firing in serotonergic neurons. Postsynaptic 5-HT<sub>2</sub> receptors mediate the effects of serotonin; they are widely distributed in the cerebral cortex, especially the prefrontal cortex. A schematic diagram of these mechanisms is shown in Fig. 22.1.

In contrast, most noradrenergic neurons arise in the locus coeruleus and the lateral tegmental areas of the brainstem. The locus coeruleus and the raphe nuclei have many reciprocal neural projections; therefore their pathways are interdependent. For example, noradrenergic neurotransmission stimulates serotonergic neurons by activating somatodendritic  $\alpha_1$ adrenoceptors, but it also inhibits serotonin synthesis and release through presynaptic  $\alpha_2$ -adrenoceptors (see Fig. 22.1).

Serotonergic pathways in the CNS are believed to be mainly involved in regulating mood, whereas noradrenergic pathways are involved in stress systems, drive and energy status. These monoaminergic circuits in the brain are closely integrated. Simplistically, it has been hypothesised that the following biological



Fig. 22.1 The actions of antidepressant drugs on CNS serotonergic and adrenergic functioning. The primary action of many antidepressants in current clinical use (TCA, SSRI, SNRI, NRI) is to enhance the availability of serotonin (5-HT, 5-hydroxytryptamine) and/or noradrenaline (NA) in the synaptic cleft. The majority of released serotonin and noradrenaline is rapidly removed from the synapse by reuptake transporters (yellow circles). Antidepressants vary in their abilities to inhibit the reuptake of serotonin or noradrenaline, thus they enhance the synaptic concentrations of these transmitters. Stimulation of presynaptic  $\alpha_2$ -adrenoceptors reduces monoamine release; mirtazapine, by blocking these presynaptic autoreceptors, increases noradrenaline and serotonin release and transmission. Antidepressants may also block postsynaptic serotonin 5-HT<sub>2</sub> receptors, which are upregulated in depression. Monoamine oxidase (MAO) inhibitors (MAOIs) reduce the breakdown of monoamine transmitters within the presynaptic cell. βAR, β-adrenoceptor; CNS, central nervous system; NRI, noradrenaline reuptake inhibitor; SNRI, serotonin and noradrenaline reuptake inhibitor; SSRI, selective serotonin reuptake inhibitor; TCA, tricyclic antidepressant.

changes in the monoamine system are important in depression:

- low levels of monoamine neurotransmitters,
- upregulation of postsynaptic monoamine receptors,
- upregulation of the inhibitory presynaptic and somatodendritic autoreceptors that control mono-amine release.

Genetic studies provide some limited support for associations between the risk of depression or suicidal behaviour and variants of the uptake transporters that regulate concentrations of serotonin and noradrenaline in the synaptic cleft. Other postulated candidates include the genes encoding serotonin (5-HT<sub>2</sub>) receptors and tyrosine hydroxylase (a key enzyme in catecholamine synthesis; see Chapter 4), as well as that for BDNF (see next section). Overall, the monoamine theory is not sufficient to explain the molecular basis of depression, but the clinical response to drugs that increase monoamine neurotransmission supports the concept.

## Regulation of Brain-Derived Neurotrophic Factor (BDNF) in Depression

Most antidepressant drugs increase the CNS's monoamine concentrations rapidly, but the clinical benefit of antidepressant therapy is delayed. This suggests that more gradual adaptive changes occur as a result of increased monoaminergic neurotransmission.

There is evidence for a central role of BDNF in the genesis of depression. Regulation of BDNF by monoamines is shown in Fig. 22.2. BDNF expression is reduced when monoamine neurotransmission is impaired, but it is also reduced in conditions of stress with elevated serum cortisol. Decreased expression of BDNF has adverse effects on neuronal plasticity and may be a major factor in the loss of neuronal circuitry and hippocampal atrophy. There is some evidence that successful antidepressant treatment is associated with increased BDNF expression and a restoration of hippocampal function and neuroendocrine regulation.

## ANTIDEPRESSANT DRUG ACTION

Most of the antidepressant drugs currently used clinically target the mechanisms involved in the control of monoamine neurotransmitter turnover or monoamine receptor function. There seems to be little difference in efficacy between drugs that act predominantly on serotonergic or noradrenergic pathways, although they differ in their unwanted effect profiles. The ways in which major antidepressants work to modify monoamine turnover and function are shown in Fig. 22.1.

Long-term treatment with antidepressants promotes both the structural and functional integrity of the neural circuits that regulate mood. The postulated mechanisms by which they achieve this are complex:

 Enhanced CNS monoamine levels. The initial action of most drugs used in the treatment of depression is to enhance neurotransmission by CNS monoamines, particularly serotonin but also noradrenaline and dopamine. Increased noradrenergic activity further enhances serotonergic neurotransmission by stimulating somatodendritic α<sub>1</sub>-adrenoceptors on serotonergic neurons. However, although antidepressants rapidly increase synaptic monoamine levels, clinical improvement is delayed. In part this may be because of a slow reduction in the number of upregulated somatodendritic and presynaptic 5-HT<sub>1</sub> inhibitory autoreceptors in depressed people (see earlier), which is necessary before activity increases in sero-tonergic pathways.

• *Effects on postsynaptic monoamine receptor expression and signalling*. During treatment with antidepressants, there is a gradual increase in responsiveness to serotonin in the prefrontal cortex. There is considerable evidence that antidepressants reverse the changes in intracellular signalling that are found in depression (see Fig. 22.2). Antidepressants enhance the response to monoamine receptor stimulation, which increases the expression of BDNF and its receptor. This stimulates differentiation of progenitor cells into neurons and increases neuronal survival.



Fig. 22.2 The regulation of neuronal growth and plasticity by monoamines and brain-derived neurotrophic factor (BDNF). Adequate levels of monoamines and BDNF are considered necessary for normal neuronal growth and plasticity. An increase in cyclic adenosine monophosphate (cAMP) can result from noradrenaline (NA) acting on  $\beta$ -adrenoceptor ( $\beta AR$ ) subtypes and an increase in diacylglycerol (DAG) from serotonin acting on 5-HT<sub>2</sub> type receptors. Other serotonin receptor subtypes and  $\alpha_1$ -adrenoceptors may also contribute (not shown). This cascade may be dysfunctional in depressed individuals, including reduced synthesis of the monoamine transmitters, genetic polymorphism affecting the function or expression of monoamine receptors, and anomalies in the intracellular signalling pathways. These may result in reduced BDNF activity, leading to neuronal atrophy and cell death in the hippocampus and cortex. CAM kinase, Calmodulin-dependent protein kinase; CREB-P, cAMP response element-binding protein; IP<sub>3</sub>, inositol triphosphate; PI, phosphatidylinositol; PKC, protein kinase C; PLC, phospholipase C.

- *Regulation of CRH production*. During long-term treatment with antidepressants, there is normalisation of overexpressed CRH secretion. This may be related to upregulation of CNS glucocorticoid receptors, with feedback inhibition of CRH.
- Antagonism of NMDA receptors. Antidepressant drugs may bind to a site in NMDA receptor-associated ion channels in the hippocampus and cerebral cortex and protect cells against stress-induced 'glutamate excitotoxicity'. The NMDA receptor antagonist esketamine (the S-isomer of ketamine, see Chapter 17) is now licensed in the UK for specialist use in major depression.

## ANTIDEPRESSANT DRUGS

#### **Tricyclic Antidepressant Drugs**



Tricyclic antidepressants (TCAs), once the mainstay of the treatment of depression, are now largely superseded by selective serotonin reuptake inhibitors (SSRIs) and other antidepressant drug families.

## Mechanism of action

TCAs inhibit the reuptake of monoamine neurotransmitters into the presynaptic neuron by competitive inhibition of monoamine transporter (MAT) proteins, particularly the noradrenaline transporter (NET) and the serotonin transporter (SERT) (see Fig. 22.1). Some drugs show little monoamine selectivity, whereas other compounds are more selective for inhibiting the reuptake of one monoamine (Table 22.1). However, the degree of monoamine selectivity has not been shown to influence efficacy. The subsequent effects on the CNS are described in the preceding text.

Many of the unwanted effects of TCAs arise from an antagonist action at several postsynaptic receptors (e.g.  $\alpha_1$ -adrenoceptors, histamine H<sub>1</sub> receptors and muscarinic receptors) (see Table 22.1), which does not contribute to their antidepressant action.

#### **Pharmacokinetics**

All TCAs undergo extensive first-pass metabolism in the liver, forming active metabolites that are partially responsible for the variable effective half-lives of these drugs (8–90 hours). There is considerable interindividual variability in the first-pass metabolism of most TCAs, leading to up to 40-fold differences in plasma concentrations of the parent drug. Dose titration is

 Table 22.1
 Comparative Properties of Some Commonly Used Antidepressant Drugs.

	UPTAKE INHIBITION	MUSCARINIC Receptor Blockade	α <sub>1</sub> -ADREN- OCEPTOR BLOCKADE	HISTAMINE H <sub>1</sub> Receptor Blockade	SEDATION	
Tricyclic Antidepressants						
Amitriptyline	Serotonin = NA	+++	+++	+++	+++	
Clomipramine	Serotonin > NA	+	++	+	+	
Imipramine	Serotonin = NA	++	++	+++	++	
Lofepramine	NA ≫ serotonin	+	+	+	+/-	
Selective Serotonin Reuptake Inhibitors (SSRI)						
Citalopram	Serotonin ≫ NA	0	+	+	0	
Escitalopram	Serotonin ≫ NA	0	+	+	0	
Fluoxetine	Serotonin ≫ NA	0	0	0	0	
Paroxetine	Serotonin > NA	++	+	+	0	
Sertraline	Serotonin ≫ NA	0	++	0	0	
Serotonin and Noradrenaline Reuptake Inhibitors (SNRI)						
Duloxetine	Serotonin $\geq$ NA	Low	Low	Low	Low	
Venlafaxine	Serotonin > NA	0	0	0	0	
Noradrenaline Reuptake Inhibitor (NRI)						
Reboxetine	NA ≫ serotonin	Low	Low	Low	0	
Presynaptic $\alpha_2$ -Adrenoceptor Antagonist						
Mirtazapine	0	+	+	++++	+	
Serotonin Receptor Antagonist						
Trazodone	Weak serotonin	Low	+	+	+	

Drugs are listed under their conventional groupings but many have mixed or uncertain mechanisms of action; comparisons between drugs are approximate only. Other antidepressant drugs, including MAO inhibitors, are listed in the drug compendium. The unwanted effect profiles of antidepressant drugs partly reflect differential blockade of muscarinic receptors (e.g. dry mouth, tachycardia, urinary retention),  $\alpha_1$ -adrenoceptors (e.g. postural hypotension), and histamine H<sub>1</sub> receptors (e.g. sedation). *NA*, Noradrenaline.

usually necessary to optimise the therapeutic response; this should be gradual over 1 to 2 weeks to minimise unwanted dose-related effects.

## Unwanted effects

- Sedation, resulting from histamine  $H_1$  receptor and  $\alpha_1$ -adrenoceptor blockade (see Chapter 39). Amitriptyline is particularly sedative. Sedation can help restore sleep patterns in depression (using a larger dose of the sedative drug at night) but can be troublesome or even dangerous during the day.
- Antimuscarinic effects (see Chapter 4): dry mouth is a frequent occurrence; constipation, urinary retention, impotence and visual disturbances are less common. Tolerance to these effects can occur and gradual increases in dose may reduce their incidence.
- Excessive sweating and tremor.
- Postural hypotension produced by peripheral α<sub>1</sub>adrenoceptor blockade (see Chapter 4) can be particularly troublesome in older people, although tolerance can occur.
- Epileptogenic effects in overdose; at toxic concentrations TCAs lower the convulsive threshold and seizures can be provoked even when there is no previous clinical history.
- Cardiotoxicity in overdose: most TCA drugs depress myocardial contractility. They can produce tachycardia and severe arrhythmias when taken in overdose, owing to both antimuscarinic effects and excessive noradrenergic stimulation. Lofepramine is less cardiotoxic than other drugs in this class.
- Weight gain: appetite stimulation is common, probably due to histamine H<sub>1</sub> receptor blockade.
- Hyponatraemia from inappropriate antidiuretic hormone (ADH; vasopressin) secretion, leading to drowsiness, confusion and convulsions.
- Sexual dysfunction with reduced interest in sex, erectile dysfunction in men and diminished arousal in women, and difficulty attaining orgasm.
- Sudden withdrawal syndrome: during long-term treatment, doses should be gradually reduced over 4 weeks to avoid agitation, headache, malaise, sweating and gastrointestinal upset, which can accompany sudden withdrawal of a TCA.

## **Drug interactions**

Several important drug interactions are recognised. TCAs potentiate the central depressant activity of many drugs, including alcohol. Interactions can result from giving a TCA together with an MAO inhibitor such as moclobemide, or with an SSRI such as fluox-etine or fluvoxamine (see later), or with lithium (see Chapter 21).

The risk of serious arrhythmias is increased when TCAs are taken with drugs that prolong the Q–T interval on the electrocardiogram (see Chapter 8). Such

drugs include the class III antiarrhythmic sotalol and all class I antiarrhythmics.

## Selective Serotonin Reuptake Inhibitors (SSRI) and Related Antidepressants

Examples

citalopram, fluoxetine, paroxetine, sertraline

### Mechanism of action

Unlike the TCAs, the SSRIs reduce the neuronal reuptake of serotonin by its presynaptic transporter protein (SERT) but have little or no effect on noradrenaline reuptake (see Table 22.1). They have a more favourable profile of unwanted effects than TCAs because of their low affinity for postsynaptic  $\alpha_1$ -adrenoceptors, histamine H<sub>1</sub> receptors and muscarinic receptors. Paroxetine is unusual among SSRIs in binding to muscarinic M<sub>3</sub> receptors, found in the brain, salivary glands and smooth muscle.

The proposed mechanism of action of SSRIs is as follows:

- increased synaptic serotonin concentration as a result of reduced neuronal uptake,
- downregulation of postsynaptic 5-HT<sub>2</sub> receptors resulting from the prolonged increase in synaptic serotonin concentration,
- downregulation of the 5-HT<sub>1</sub> somatodendritic and axon terminal presynaptic inhibitory autoreceptors on serotonergic neurons.

The other cellular consequences of SSRI regulation of neurotransmitters are discussed in the preceding text.

#### Pharmacokinetics

SSRIs are metabolised in the liver. Paroxetine has a long half-life (10–20 hours), which is greater in poor metabolisers of CYP2D6 substrates (30–50 hours). Citalopram, fluoxetine and sertraline have very long half-lives (23–75 hours). The active metabolite of fluoxetine has a half-life of 6 days, and the resulting very long duration of action can be a disadvantage if an interacting drug is started subsequently.

#### Unwanted effects

In contrast to the TCAs, SSRIs (other than paroxetine) have few antimuscarinic effects; they cause little sedation or weight gain and are not cardiotoxic in overdose. However, they have a range of unwanted effects.

- Nausea (frequent), dyspepsia, abdominal pain, or diarrhoea (less frequent).
- Insomnia, anxiety, and agitation.
- Anorexia with weight loss.
- Rashes.
- Hyponatraemia due to inappropriate secretion of ADH leading to drowsiness, confusion and convulsions is more frequent than with TCAs.

- Dry mouth and constipation with paroxetine.
- Sexual dysfunction with reduced interest in sex, erectile dysfunction in men and diminished arousal in women, and difficulty attaining orgasm. This affects up to three-quarters of people taking SSRIs.
- Increased risk of gastrointestinal bleeding due to platelet dysfunction.
- In overdose, SSRIs lower the seizure threshold; seizures can be provoked even when there is no previous clinical history.
- Sudden withdrawal syndrome after long-term use, which may be most troublesome with paroxetine. It presents with gastrointestinal symptoms; headache; anxiety; dizziness; paraesthesias; electric shock sensations in the head, neck and spine; sleep disturbance; and sweating. This usually begins 24 to 72 hours after stopping treatment. To minimise these effects, the dose should be reduced gradually over at least 4 weeks.
- Increase in suicidal thoughts and self-harm in people below 25 years of age in the early stages of treatment. SSRIs should be used with care in children and adolescents.
- Serotonin syndrome is the rapid onset of neuromuscular hyperactivity, autonomic dysfunction and altered mental state due to excessive serotonin levels peripherally and in the CNS. It is rare but can be life-threatening. Symptoms usually arise after an increase in the dose of an SSRI or when two drugs with serotonergic activity are used together.

#### Drug interactions

The most serious interaction of SSRIs is with MAO inhibitors. An interval of 5 weeks is recommended after stopping fluoxetine, or 2 weeks after paroxetine or sertraline, before an MAO inhibitor (including selegiline, see Chapter 24) is taken. Fluoxetine and paroxetine inhibit hepatic CYP2D6, which can increase the plasma concentration of drugs metabolised by this enzyme.

## Serotonin and Noradrenaline Reuptake Inhibitors (SNRI)

#### **Examples**

duloxetine, venlafaxine

#### Mechanism of action and uses

Venlafaxine and duloxetine are classified as serotonin and noradrenaline reuptake inhibitors (SNRIs), although at lower doses they have a relatively greater effect on serotonin reuptake (see Table 22.1). Like the TCAs, they inhibit neuronal reuptake of both serotonin and noradrenaline but share with SSRIs a low affinity for muscarinic and histamine H<sub>1</sub> receptors and  $\alpha_1$ -adrenoceptors. Their unwanted effect profiles are therefore closer to those of the SSRIs than those of the TCAs. There is some evidence that clinical improvement with venlafaxine may begin earlier than with other antidepressant drugs.

Duloxetine is also used as an adjunctive treatment for smoking cessation (see Chapter 54) and in urinary stress incontinence (see Chapter 15).

#### **Pharmacokinetics**

Venlafaxine and duloxetine undergo extensive firstpass metabolism in the liver. The main active metabolite of venlafaxine has a long half-life (11 hours), and the half-life of duloxetine is 9 to 19 hours.

#### Unwanted effects

- Nausea, vomiting, anorexia, dyspepsia, constipation.
- Hypertension, palpitation.
- Dry mouth.
- Drowsiness, insomnia, dizziness, confusion, headache, tremor.
- Sexual dysfunction.
- QT-segment prolongation on the electroencephalogram (ECG) with venlafaxine, which predisposes to ventricular arrhythmias (see Chapter 8); venlafaxine should be avoided in people at high risk for arrhythmias.
- Sudden withdrawal symptoms are more frequent than with other antidepressants, with gastrointestinal symptoms, headache, anxiety, dizziness, paraesthesia, tremor, sleep disturbance and sweating.

### Noradrenaline Reuptake Inhibitors (NRI)

Example

reboxetine

#### Mechanism of action

Reboxetine is related to fluoxetine but is a selective noradrenaline reuptake inhibitor (NRI). Increased noradrenergic activity at somatodendritic  $\alpha_1$ -adrenoceptors enhances serotonergic neurotransmission. Reboxetine, in common with the SSRIs, has little activity at  $\alpha_1$ adrenoceptors, histamine H<sub>1</sub> receptors or muscarinic receptors. It therefore has fewer unwanted effects than TCAs.

#### Pharmacokinetics

Reboxetine is eliminated by hepatic metabolism and has a long half-life (15 hours).

#### Unwanted effects

- Nausea, anorexia, constipation.
- Palpitation, postural hypotension.
- Dry mouth, urinary retention, blurred vision.
- Sweating.
- Headache, insomnia, dizziness, paraesthesia.

#### Presynaptic α<sub>2</sub>-Adrenoceptor Antagonists

## Example

mirtazapine

#### Mechanism of action

Mirtazapine is a tetracyclic drug unrelated structurally to the TCAs. In addition to some postsynaptic 5-HT<sub>2C</sub> receptor antagonist activity in the cortex (see serotonin receptor antagonists, later), mirtazapine is an antagonist at presynaptic  $\alpha_2$ -adrenoceptors (see Fig. 22.1). This reduces negative feedback inhibition of serotonin release from raphe nucleus neurons in their terminal projections to regions such as the cortex and hippocampus. Mirtazapine is also a potent antagonist at histamine H<sub>1</sub> receptors, causing sedation, but has low affinity for muscarinic receptors and postsynaptic  $\alpha_1$ -adrenoceptors. It has minimal effects on monoamine reuptake.

#### **Pharmacokinetics**

Mirtazapine is metabolised in the liver and has a very long half-life (20–40 hours).

#### Unwanted effects

- Drowsiness, especially at lower doses, due to histamine H<sub>1</sub> receptor blockade. At higher doses, increased noradrenergic neurotransmission offsets some of the sedative effects.
- Increased appetite and weight gain.
- Fatigue, tremor, dizziness.
- Oedema.

#### Serotonin Receptor Antagonists



trazodone

#### Mechanism of action

Trazodone is a tetracyclic compound. Its most significant antidepressant action is through antagonism of postsynaptic 5-HT<sub>2</sub> receptor subtypes, which increases the activity of dopamine and noradrenaline in the frontal cortex. It also produces weak inhibition of presynaptic serotonin reuptake but does not inhibit noradrenaline reuptake. Trazodone is also a postsynaptic  $\alpha_1$ -adrenoceptor antagonist and a weak antagonist at histamine H<sub>1</sub> receptors.

#### **Pharmacokinetics**

Trazodone is well absorbed orally and metabolised in the liver. The half-life is 7 to 13 hours.

#### **Unwanted effects**

- These are similar to those of TCAs, but with fewer antimuscarinic and cardiovascular effects.
- Priapism (rare).

## Irreversible Monoamine Oxidase A and B Inhibitors

Examples

phenelzine, tranylcypromine

#### Mechanism of action

The primary mechanism of action of nonselective monoamine oxidase inhibitors (MAOIs) is irreversible inhibition of intracellular MAO, the enzyme responsible for degrading free monoamines in the presynaptic nerve terminal. MAO inhibition leads to the accumulation of monoamine neurotransmitters in the presynaptic neuron and increased release when the nerve is stimulated (see Fig. 22.1). There are two MAO isoenzymes (Fig. 22.3). MAO-B is the predominant enzyme in many parts of the brain, but MAO-A is present in noradrenergic and serotonergic neurons, especially in the locus coeruleus and other cells of the brainstem, as well as being the main enzyme in peripheral tissues. Inhibition of MAO-A in the brain produces the therapeutic effects of these drugs, but nonselective MAOIs target both isoenzymes. Inhibition of MAO in the gut wall and liver has important consequences (see later). MAOIs also inhibit various drug-metabolising enzymes in the liver, which predisposes to drug interactions but does not contribute to clinical efficacy.

#### **Pharmacokinetics**

All drugs in this class are irreversible enzyme inhibitors, so their prolonged duration of action is unrelated to their half-lives. Drug withdrawal is followed by gradual restoration of normal MAO activity over about 2 weeks as new enzyme is synthesised.



Fig. 22.3 Monoamine oxidase (MAO) isoenzymes and MAO inhibitors (MAOI). The relative selectivity of MAO isoenzymes for key monoamine substrates is shown in simplified form; for further details see Chapter 4 and Table 4.4. MAO-A is the target for drugs useful in treating depression. Nonselective inhibitors of both MAO-A and MAO-B prevent the metabolism of dietary tyramine, a sympathomimetic compound which is responsible for the adverse reaction that occurs with these drugs. Reversible inhibitors of MAO-A (RIMAs) allow tyramine still to be metabolised by MAO-B and reduce the risk of the tyramine reaction. Selective inhibitors of MAO type B enhance CNS dopamine levels and are used in treating Parkinson's disease (see Chapter 24).

#### Unwanted effects

- Dose-related postural hypotension can occur. Unlike the case with the TCAs, tolerance does not develop. The mechanism may involve conversion of tyramine (normally degraded by MAO) to octopamine, a false neurotransmitter that competes with noradrenaline at sympathetic nerve terminals.
- Because of its structural similarity to amphetamine (see Chapter 54), tranylcypromine causes CNS stimulation, leading to irritability and insomnia. Doses should be given early in the day to avoid disturbing sleep.
- Hepatitis is a rare idiosyncratic reaction to the hydrazine derivative phenelzine.
- Acute overdose produces delayed toxic effects after some 12 hours. Excessive adrenergic stimulation leads to chest pain, headache and hyperactivity, progressing to confusion and severe hypertension, with eventually profound hypotension and seizures.
- Food interactions can occur because MAO in the gut wall and liver usually prevents the absorption of natural amines, particularly *tyramine*, which is an indirect-acting sympathomimetic (see Chapter 4). If food is eaten that contains tyramine for example cheese, yeast extracts (such as Bovril, Oxo, or Marmite), pickled herrings, chianti, caviar, or broad bean pods (which contain L-3,4-dihydroxyphenylalanine, L-Dopa) the increased absorption of tyramine and consequently greater release of noradrenaline result in vasoconstriction and severe hypertension. The first indication of this is a throbbing headache. A warning card should be supplied to people who take MAOIs.

#### **Drug interactions**

As well as the tyramine reaction above, MAO inhibitors are involved in a number of drug interactions. Indirect-acting sympathomimetics in cold remedies (e.g. ephedrine, phenylpropanolamine; see Chapter 4), and levodopa used in Parkinson's disease (see Chapter 24) will be more active, with a risk of hypertensive crisis. The toxicity of the triptans (5-HT<sub>1</sub> receptor agonists) used in migraine (see Chapter 26) will be potentiated. The actions of all these drugs may continue to be affected up to 2 weeks after stopping an MAOI. The combination of MAOIs with TCAs or SSRIs can be dangerous. Other important interactions occur because MAOIs can impair the hepatic metabolism of certain drugs, especially opioid analgesics.

#### **Reversible Inhibitors of Monoamine Oxidase A**



#### Mechanism of action and effects

Moclobemide is a reversible inhibitor of MAO-A, the same isoenzyme target for the antidepressant action of nonselective MAOIs. However, moclobemide does not inhibit MAO-B, so tyramine absorbed from the gut can still be degraded and the food reaction described previously for nonselective MAOIs is unlikely to occur. In addition, because the action of moclobemide on MAO-A is reversible, high concentrations of tyramine will displace the drug from the enzyme, facilitating the degradation of tyramine. MAO-A inhibition by moclobemide lasts less than 24 hours after a single dose.

#### **Pharmacokinetics**

Oral absorption of moclobemide is subject to substantial first-pass metabolism, partially to an active metabolite. Moclobemide undergoes hepatic metabolism and has a short half-life (1–2 hours).

#### Unwanted effects

- Sleep disturbance, agitation, confusion.
- Gastrointestinal upset.
- Dizziness, headache, paraesthesia.
- Dry mouth, visual disturbances.
- Oedema.

#### Drug interactions

Inhibition of cytochrome P450 activity in the liver by cimetidine (see Chapter 33) substantially reduces the metabolism of moclobemide; smaller starting doses are recommended in this situation. Moclobemide should not be given with other antidepressants, and the recommendations for stopping these drugs before prescribing moclobemide are the same as for nonselective MAOIs.

## Melatonin Receptor Agonist and Serotonin Receptor Antagonist

Example

agomelatine

#### Mechanism of action and effects

Agomelatine is a synthetic agonist of melatonin, a naturally occurring substance, which is secreted by the pineal gland and is involved in the regulation of circadian rhythms and, therefore, sleep patterns. Agomelatine is an agonist at both melatonin  $MT_1$  receptors (attenuating alerting signals to the cortex) and  $MT_2$  receptors (producing a phase-shifting action on the circadian rhythm of sleep). Agomelatine significantly improves sleep quality when taken at night to mimic the natural rhythm of melatonin release. It is a weak antagonist at 5-HT<sub>2C</sub> receptors (see the previous discussion of serotonin receptor blockers) and increases

noradrenaline and dopamine release in the frontal cortex. The antidepressant efficacy of agomelatine is similar to that of SSRIs.

#### **Pharmacokinetics**

Agomelatine is metabolised in the liver and has a short half-life of 1 to 2 hours.

#### Unwanted effects

- Nausea, diarrhoea, constipation, abdominal pain.
- Headache, dizziness, drowsiness, insomnia, fatigue, anxiety.
- Back pain.
- Sweating.

#### MANAGEMENT OF DEPRESSION

In mild to moderate depression, psychological therapies such as improving social contact and cognitive behavioural therapy are as effective as drug treatment and should be tried first. The herbal remedy *Hypericum perforatum* (St. John's wort) has no convincing efficacy compared with placebo in mild depression. St. John's wort is usually well tolerated, but the amount of active compound in the herbal product can vary widely between batches; it can also induce cytochrome P450. It should not be taken with a prescribed antidepressant.

Drugs are usually necessary to treat moderate, severe or protracted symptoms of depression, preferably in combination with psychological therapies. SSRIs are first-line drug treatments for depression. They are no more effective than TCAs and do not work any faster, but they are better tolerated and safer than TCAs when there is a high risk of attempted suicide. Mirtazepine is an alternative to SSRIs for first-line treatment.

The TCAs are now infrequently prescribed for depression. They have serious cardiotoxic effects when taken in overdose and should usually be avoided in treating people who are at high risk of attempting suicide. Encouraging adherence to treatment with TCAs may initially be difficult because unwanted antimuscarinic effects can be troublesome before any benefit is perceived. Starting with a small dose of TCA followed by gradual dose titration can minimise unwanted effects. There is now evidence that large TCA doses do not necessarily enhance the treatment response but do increase unwanted effects; low dosages are therefore preferred.

When starting an antidepressant it is important to give information about how long it will take for treatment to help the person to start to feel better. All antidepressant drugs have a delayed onset of action; hence initial treatment should be at a stable dose for at least 2 to 4 weeks. If there is no response after this time and adherence to treatment is not a problem, the dose should be increased. If unwanted effects are troublesome, an alternative drug should be substituted. SNRIs should be considered if SSRIs are poorly tolerated. There is little evidence to guide therapy when there is failure to respond to an SSRI. Options include changing to another class of antidepressant, combining antidepressants (such as an SSRI with mirtazapine), or augmenting with the addition of lithium (see Chapter 21).

Up to 70% of depressed people will respond to drug therapy if the dosage is adequate, compared with about 30% taking placebo. However, only 50% will respond to an individual drug, and up to a further 20% of people with depression will respond if the drug is changed after failure of the initial treatment. Responders show an initial improvement in sleep pattern within a few days. Psychomotor retardation responds more gradually over several days, leading to greater involvement with everyday activities and enjoyment of life. Improvement in the depressed mood is delayed, beginning up to 2 or more weeks after commencing treatment with an adequate drug dosage. The response of most symptoms tends to be erratic, with good and bad days.

If there is a good response to treatment, the dosage of antidepressant can usually be reduced, but maintenance treatment should be continued for at least 4 to 6 months after the first episode of depression to minimise the risk of relapse. A longer period of maintenance treatment to prevent recurrence (at least a year and often longer) is often recommended for the elderly, for others who are at high risk of recurrence, and for people who have experienced two or more depressive episodes. About half of all people who experience depression only have a single episode. In individuals with recurrent illness, relapse occurs within a year in up to 65% of those who stop treatment but in only 15% of people who continue treatment. Risk factors for relapse in major depression include:

- presence of residual symptoms,
- number of previous episodes,
- severity of most recent episode,
- duration of most recent episode,
- degree of treatment resistance in previous episode. Nonselective MAOIs are usually reserved for atypi-

cal depression with hypochondriacal and phobic symptoms or when SSRIs have failed. Small doses of the phenothiazine antipsychotic drug flupentixol (see Chapter 21) are sometimes used to treat depressed older people. The evidence for a true antidepressant effect of flupentixol is slight, but some symptoms undoubtedly do improve.

Treatment is most difficult in severe depression, especially if there are psychotic features or where depression forms part of a bipolar affective disorder (see Chapter 21). Electroconvulsive therapy (ECT) is used for treatment-resistant depression as well as in older people, who are particularly likely to show a response. Overall, ECT is probably more rapidly effective than drug therapy, but does come with some unique risks. It requires general anaesthesia for each ECT session, which is associated with rare but potentially serious risks, particularly in the context of advanced age or comorbidity. It also produces some adverse cognitive effects, although most evidence suggests these are short lived. ECT should be combined with prolonged antidepressant drug treatment. Lithium (see Chapter 21) is used for people with severe recurrent depressive episodes and for the prophylaxis of bipolar affective disorder. The effect of lithium can take several months to become fully established. The treatment of depression in bipolar disorder is discussed in Chapter 21.

## ATTENTION DEFICIT HYPERACTIVITY DISORDER AND NARCOLEPSY

Several drugs with mechanisms of action similar to those of antidepressants, as well as central stimulant drugs, have limited uses in the management of attention deficit hyperactivity disorder (ADHD) and narcolepsy.

#### ATTENTION DEFICIT HYPERACTIVITY DISORDER

ADHD is the most common behavioural and cognitive disorder in children of school age, but it often remains a problem in adult life. The core symptoms are inattention, hyperactivity and impulsivity. The genetic and environmental causes are poorly understood but dysregulation of dopamine activity in the prefrontal cortex is strongly implicated. ADHD in children is highly heritable and candidate gene approaches have shown associations with dopamine and serotonin uptake transporters, with serotonin 5-HT<sub>1B</sub> and dopamine  $D_4$  and  $D_5$ receptors, and with proteins regulating angiogenesis and neurite outgrowth. There are three subtypes of ADHD:

- predominantly inattentive subtype: failing to pay attention to details, difficulty with sustained attention, disorganisation and forgetfulness;
- predominantly hyperactive-impulsive subtype: excessive fidgeting and squirming, restlessness, frequently interrupting and intruding on others;
- predominantly inattentive/hyperactive-impulsive subtype: features of both subtypes in two areas of life and causing dysfunction in at least one area.

Adults with ADHD often present with poor occupational performance, marital instability, poor selfdiscipline or self-organisation, and restlessness. Sleep disturbances may also be prominent.

#### NARCOLEPSY

Narcolepsy usually begins in adolescence and is characterised by overwhelming daytime sleepiness, even if night-time sleep has been adequate. Sudden daytime naps are frequent and there may be prolonged periods of drowsiness. Hallucinations may be troublesome on falling asleep or awaking. The condition may coexist with cataplexy, characterised by sudden loss of muscle function, ranging from weakness to collapse, which is often precipitated by laughter. People with narcolepsy have an abnormal sleep pattern, with rapid-eye-movement (REM) sleep at the onset of sleep rather than after a period of non-REM sleep (see Chapter 20). Narcolepsy has a genetic predisposition and there may be an autoimmune component. There is loss of hypocretin (orexin)-secreting neurons in the hypothalamus, possibly as a result of autoimmune-mediated cell destruction. Hypocretins are neurotransmitters that promote wakefulness by releasing dopamine and noradrenaline in the hypothalamus. The dopamine excites histaminergic tuberomammillary neurons, which are involved in control of arousal, sleep and circadian rhythms.

## DRUGS FOR ATTENTION DEFICIT HYPERACTIVITY DISORDER AND NARCOLEPSY

#### Atomoxetine Mechanism of action

Atomoxetine is a selective inhibitor of the presynaptic neuronal uptake of noradrenaline. There is a secondary increase in dopaminergic activity in the prefrontal cortex. Atomoxetine has antidepressant activity, but the mechanism of action in ADHD is not known.

#### Pharmacokinetics

Atomoxetine is metabolised in the liver; it has a halflife of 6 hours in most individuals (extensive metabolisers) but 19 hours in poor metabolisers of CYP2D6 substrates.

#### Unwanted effects

- Anorexia, dry mouth, nausea, vomiting, abdominal pain, constipation.
- Palpitation, hypertension, postural hypotension.
- Sleep disturbance, dizziness, headache, fatigue, irri-tability, depression, tremor.
- Urinary retention, sexual dysfunction.

#### Methylphenidate

#### Mechanism of action

Methylphenidate is an amphetamine derivative that activates the arousal system of the reticular formation. Its mechanism of action is not well understood, but there is evidence that the drug blocks the presynaptic reuptake of noradrenaline and dopamine and

increases dopaminergic neurotransmission in the prefrontal cortex.

## **Pharmacokinetics**

Methylphenidate is metabolised in the liver and has a short half-life of 3 hours.

## Unwanted effects

- Dry mouth, nausea, vomiting, abdominal pain.
- Palpitation, hypertension, postural hypotension.
- Sleep disturbance, dizziness, headache, fatigue, irritability, depression.
- Fever, arthralgia, rash.

## Dexamfetamine

## Mechanism of action

Dexamfetamine potently activates trace amine-associated receptor 1 (TAAR1), an action that inhibits synaptic uptake of monoamines by their transporters (NET, SERT, dopamine transporter (DAT)); dexamfetamine also competes with vesicular monoamine transporter 2 (VMAT), reducing storage of monoamines in synaptic vesicles. These actions selectively release noradrenaline, serotonin and dopamine from neurons in the mesolimbic pathway, and also from the reticular formation that regulates alertness and sleep (see Chapter 54).

## Pharmacokinetics

Dexamfetamine is partially metabolised in the liver and partially excreted unchanged. It has a half-life of 10 to 12 hours.

## **Unwanted effects**

- Sleep disturbance, dizziness, headache, fatigue, irritability, depression, tremor, seizures, psychosis.
- Anorexia, dry mouth, gastrointestinal upset.
- Palpitations, hypertension.
- Tolerance and dependence.

## Modafinil

## Mechanism of action

Modafinil blocks the presynaptic reuptake of noradrenaline and dopamine, thus increasing dopaminergic neurotransmission in the prefrontal cortex. It may also reduce inhibitory neurotransmission mediated by  $\gamma$ -aminobutyric acid (GABA) and activate hypocretin-releasing neurons in the hypothalamus.

## **Pharmacokinetics**

Modafinil is metabolised in the liver and has a long half-life of 15 hours.

## Unwanted effects

- Anorexia, dry mouth, nausea, dyspepsia, abdominal pain, diarrhoea.
- Palpitations, chest pain.

• Sleep disturbance, dizziness, confusion, agitation, depression.

## MANAGEMENT OF ATTENTION DEFICIT HYPERACTIVITY DISORDER

Behavioural management, family strategies and psychotherapy have an important role. Drug treatment is considered for severe ADHD in children who are 6 years of age and older, and can improve the three core symptoms. Methylphenidate is usually the first choice; dexamfetamine can be tried if there is no improvement with methylphenidate. Atomoxetine is used when stimulant drugs are ineffective. There is some concern that the benefits of the currently available compounds may be short-lived, with loss of efficacy after about 3 years. Treatment is usually continued until the child or parents want to try getting along without drugs.

## MANAGEMENT OF NARCOLEPSY

Planned short naps may avoid the need for drug treatment, but drowsiness may require use of a CNS stimulant. Dexamfetamine or modafinil are usually chosen, but methylphenidate may be helpful. Cataplexy can respond to an SSRI or a TCA.

## SELF-ASSESSMENT

## **TRUE/FALSE QUESTIONS**

- 1. The aetiology of depression is explained by the monoamine hypothesis.
- 2. Downregulation of serotonin 5-HT<sub>2</sub> receptors occurs during antidepressant treatment.
- 3. Most tricyclic antidepressants (TCAs) inhibit the reuptake of noradrenaline and serotonin equally.
- 4. SSRIs should be used with caution in children and adolescents.
- 5. Different types of antidepressant differ little in their clinical efficacy.
- 6. TCAs potentiate the central depressant effects of alcohol.
- 7. Lofepramine is more cardiotoxic than amitriptyline.
- 8. Coadministration of an SSRI and an MAOI can cause cardiovascular collapse.
- 9. The antidepressant activity of mirtazapine is related to the blockade of adrenoceptors.
- 10. Trazodone is a potent inhibitor of monoamine reuptake.
- 11. Venlafaxine has marked sedative and antimuscarinic actions.
- 12. Venlafaxine has a low incidence of cardiovascular toxicity.
- 13. Only 30% to 40% of people with depression improve as a direct result of antidepressant drug treatment.
- 14. Lithium is used only for the treatment of bipolar affective disorder.
- 15. Methylphenidate is an amphetamine derivative used in the management of ADHD.
- 16. Narcolepsy is associated with loss of neurons that secrete hypocretins.

#### **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Which is the most accurate statement concerning antidepressant drugs?
  - A. A TCA would be more suitable than an SSRI for a man with urinary outflow problems due to benign prostate hypertrophy.
  - B. The antidepressant action of trazodone is due mainly to inhibition of monoamine reuptake.
  - C. Moclobemide carries a high risk of unwanted interactions with food and drink.
  - D. An SSRI would be more suitable than a TCA to treat a person with suicidal tendencies.
  - E. Increases in levels of brain monoamine occur only after 2 to 3 weeks of treatment with an SSRI.

## **EXTENDED-MATCHING-ITEM QUESTIONS**

Select from the list of options A–L a drug that best matches each description 1–8 below:

- A. Citalopram
- B. Clomipramine
- C. Dexamfetamine
- D. Flupentixol
- E. Methylphenidate
- F. Mirtazepine
- G. Moclobemide
- H. Modafinil
- I. Phenelzine
- J. Reboxetine
- K. Trazodone
- L. Venlafaxine
- 1. A nonselective, irreversible MAO inhibitor.
- 2. A noradrenaline reuptake inhibitor.
- 3. A presynaptic  $\alpha_2$ -adrenoceptor antagonist.
- 4. A reversible (and selective) inhibitor of monoamine oxidase type A.
- 5. A selective serotonin reuptake inhibitor.
- 6. A serotonin and noradrenaline reuptake inhibitor.
- 7. A serotonin receptor antagonist.
- 8. A tricyclic antidepressant.

## **CASE-BASED QUESTIONS**

Darcey Ward is a 30-year-old accountant working for a commercial bank in the City of London. She recently split from her husband and there has been uncertainty about her job security, with a possible takeover and reorganisation of the company and her role. She was aware of feeling very stressed, being off her food, and sleeping poorly with vivid dreams, waking frequently, and not being able to get back to sleep after about 4 a.m. She started to feel that 'everything was on top of her' and that she was no good at her job; she found herself crying every day. She didn't enjoy her social life and was becoming short-tempered with her friends, but she thought she should force herself to 'put on a brave face'. She visited her new general practitioner (GP) who prescribed fluoxetine. After 3 months, there was little improvement in Darcey's mental health and she requested a change of medication. Her GP switched her from fluoxetine to venlafaxine and asked her to come back for a check-up in 2 weeks. As Darcey got to know her GP a little better, she said that she had not been taking her medication every day because of the effect on her sex life. Her GP took a detailed alcohol history – Darcey said that she was drinking most nights, either entertaining clients or socially after work, and they estimated a total of over 50 units per week.

- 1. What neurochemical and receptor changes are associated with depression?
- 2. What factors in Darcey's history may have contributed to her depression?
- 3. What type of antidepressant is fluoxetine? How does it act and what are the most common side effects of this group of antidepressants?
- 4. How does venlafaxine act as an antidepressant and was it a good choice to replace fluoxetine in Darcey's case?
- 5. What is the evidence for the efficacy of antidepressants and what other types of therapy could Darcey try?

## **ANSWERS**

## **TRUE/FALSE ANSWERS**

- 1. **False.** The monoamine hypothesis alone cannot fully explain the causation of depression, in which neurotrophic factors, stress hormones and other factors may also play a role, although it is the best current explanation for the clinical effectiveness of antidepressant drugs that promote monoamine neurotransmission.
- 2. **True.** Downregulation of postsynaptic 5-HT<sub>2</sub> receptors (and also presynaptic autoreceptors) is thought to parallel the time course of clinical improvement following changes in monoamine transmitter availability.
- 3. **False.** TCAs vary somewhat in their ability to inhibit noradrenaline and serotonin reuptake, with lofepramine being relatively more effective on NET, and clomipramine on SERT.
- 4. **True.** Among the SSRIs (and also venlafaxine and mirtazapine), only fluoxetine has shown efficacy for depression in clinical trials in people under 18 years of age; all SSRIs may be associated with a small risk of self-harm and suicidal behaviour in children and adolescents and should be used with caution.
- 5. **True.** Antidepressant drug groups differ more in their profiles of unwanted effects than in their clinical efficacy.
- 6. **True.** Alcohol should not be consumed by people taking TCAs.

- 7. **False.** Lofepramine is among the least cardiotoxic of the TCAs; it also causes little sedation.
- 8. **True.** An MAOI and an SSRI should not be combined. The combination can cause CNS excitation, tremor and hyperthermia (serotonin syndrome). An MAOI should not be started until 2 to 5 weeks after stopping the SSRI, depending on which SSRI has been taken.
- 9. **True.** The main action of mirtazapine is blockade of presynaptic (inhibitory)  $\alpha_2$ -adrenoceptors, leading to increased monoamine release.
- 10. **False.** Trazodone is only a weak inhibitor of serotonin reuptake and does not block noradrenaline reuptake; its main action is blockade of postsynaptic 5-HT<sub>2</sub> receptors.
- 11. **False.** Like the TCAs, the SNRI venlafaxine inhibits both noradrenaline and serotonin reuptake, but it lacks the sedative and antimuscarinic effects of TCAs.
- 12. **False.** Venlafaxine causes QT-segment prolongation and predisposes to ventricular arrhythmia in high-risk depressed people, such as those with uncontrolled blood pressure.
- 13. **True.** Depression improves in up to 70% of people taking antidepressants, but this compares with about 30% of those only on a placebo.
- 14. **False.** Although most commonly used in bipolar affective disorder, lithium is also used in people with severe recurrent depressive episodes who do not respond to other treatment.
- 15. **True.** The amphetamine derivative methylphenidate is a first-line drug treatment in ADHD. In refractory ADHD, dexamfetamine or its prodrug lisdexamfetamine may be required.
- 16. **True.** Hypocretins (orexins) promote wakefulness by releasing noradrenaline and dopamine. Loss of hypocretin-secreting neurons in narcolepsy may be due to an autoimmune process, and the action of modafinil may be to increase production of hypocretins.

## **OBA ANSWER**

## 1. Answer D is correct.

- A. Incorrect. Many TCAs have antimuscarinic activity, which could exacerbate urinary retention.
- B. Incorrect. Trazodone acts mainly by blockade of postsynaptic 5-HT<sub>2</sub> receptors.
- C. Incorrect. Moclobemide is a reversible inhibitor of MAO-A (RIMA), so MAO-B activity remains available to metabolise dietary tyramine. The 'tyramine reaction' with food and drink is much less likely to occur with moclobemide than with nonselective MAO inhibitors.
- D. **Correct**. SSRIs are first-line drugs in depression; they are no more effective than TCAs but are better tolerated and also less dangerous should a suicidal person take an overdose.

E. Incorrect. Increases in CNS monoamines occur rapidly following antidepressant treatment, but effects on mood and behaviour may depend on changes in receptor expression and neuronal growth; they may take several weeks to become apparent.

## **EXTENDED-MATCHING-ITEM ANSWERS**

The correct answers are:

- 1. **Answer I (phenelzine)** is a nonselective MAO inhibitor (MAOI).
- 2. **Answer J (reboxetine)** is a noradrenaline reuptake inhibitor (NRI).
- 3. Answer F (mirtazapine) is a presynaptic  $\alpha_2$ -adrenoceptor antagonist.
- 4. **Answer G (moclobemide)** is a reversible inhibitor of monoamine oxidase A (RIMA).
- 5. **Answer A (citalopram)** is a selective serotonin reuptake inhibitor (SSRI).
- 6. **Answer L (venlafaxine)** is a serotonin and noradrenaline reuptake inhibitor (SNRI).
- 7. Answer K (trazodone) is a serotonin receptor antagonist.
- 8. **Answer B (clomipramine)** is a tricyclic antidepressant (TCA).

## **CASE-BASED ANSWERS**

- 1. There is a biological association of depression with reduced CNS monoamines (notably noradrenaline and serotonin). Monoamine depletion may upregulate monoamine receptors, especially postsynaptic 5-HT<sub>2</sub> receptors. It is unclear if these changes are causative, but most current antidepressant drugs act to enhance monoamine availability, possibly by returning receptor expression to normal levels. Chronic depletion of monoamines may also reduce the levels of neurotrophic factors that promote neuronal health and growth. Treatment with antidepressants rapidly increases monoamine levels but takes longer to benefit depression, possibly by increasing the levels of BDNF and other neurotrophins, which promote neurogenesis.
- 2. Risk factors for Darcey include female sex and a stressful home and work life, including a marital separation and what may be impending demotion at work. A family history of depression may be relevant. Depression is a syndrome (or cluster of symptoms), several of which Darcey exhibited over a significant period. A definitive diagnosis needs careful clinical consideration, but this woman has stress, low mood, sleep disturbance, alcohol abuse and dietary changes. It is not stated whether she has had suicidal thoughts.
- 3. Fluoxetine is an SSRI; others include sertraline, citalopram and escitalopram. National Institute for Health and Care Excellence (NICE) guidelines advise that an SSRI is the drug of first choice in

mild/moderate depression seen in primary care. If this is unsuccessful, then another antidepressant drug type (discussed later) should be tried. SSRIs block the reuptake of serotonin (5-HT) by the serotonin transporter SERT in presynaptic neurons. SSRIs have fewer unwanted effects than most other antidepressants but can cause nausea, insomnia, agitation, and decreased libido and sexual dysfunction in a significant proportion of people.

- 4. Venlafaxine is a serotonin and noradrenaline reuptake inhibitor (SNRI). Venlafaxine blocks reuptake of serotonin and also of noradrenaline, but like the SSRIs, SNRIs can cause sexual dysfunction and are not quite as well tolerated overall as SSRIs. Venlafaxine may also cause cardiac arrhythmia and a sudden withdrawal syndrome. Mirtazepine might be a suitable alternative, but drowsiness can occur, particularly at lower doses.
- 5. There is good evidence for the effectiveness of antidepressants in moderate and severe depression, including reducing relapses. In people with mild depression, antidepressant therapy is difficult to distinguish from placebo, suggesting that these drugs may be overprescribed. Other antidepressant drug groups include: (a) TCAs, which inhibit NET and SERT but are dangerous in overdose because of unwanted effects at histamine, adrenergic and muscarinic receptors; (b) noradrenaline reuptake inhibitors (NRIs) such as reboxetine; (c) antagonists of presynaptic autoreceptors and/or postsynaptic 5-HT<sub>2</sub> receptors such as mirtazapine and trazodone; and (d) MAOIs, which are rarely used. Other approaches to managing depression include cognitive behavioural therapy (CBT), family therapy, and

regular exercise. In addition, this woman's chronic alcohol abuse should be addressed.

## **FURTHER READING**

## DEPRESSION

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## Compendium of Drugs Used to Treat Depression, ADHD and Narcolepsy.

DRUG	CHARACTERISTICS
Depression	
Tricyclic Antidepressants (TCAs) Given orally.	
Amitriptyline	TCA with sedative action. Hazardous in overdose. Also used for nocturnal enuresis in children, for neuropathic pain, and for prophylaxis of migraine.
Clomipramine	Relatively selective inhibitor of serotonin uptake compared to other TCAs. Sedative effect. Also used for phobic and obsessive states.
Dosulepin	Has sedative action. Hazardous in overdose; specialist use only.
Doxepin	Has sedative action.
Imipramine	Little sedative effect. Also used for nocturnal enuresis in children.
Lofepramine	Relatively selective inhibitor of noradrenaline uptake compared to other TCAs. Little sedative effect. Lower cardiotoxicity, less risk of fatality in overdose, and shorter half-life than other TCAs.
Nortriptyline	Little sedative effect. Also used for nocturnal enuresis in children and for neuropathic pain.
Trimipramine	Has sedative action.

## Compendium of Drugs Used to Treat Depression, ADHD and Narcolepsy-cont'd.

DRUG	CHARACTERISTICS		
Selective Serotonin Reuptake Inhibitors First-line drugs for depression. Better	<b>(SSRIs)</b> tolerated and safer in overdose that TCAs. Given orally.		
Citalopram	Also used for panic disorder.		
Escitalopram	Active isomer of citalopram; also used for panic disorder, generalised anxiety disorder and obsessive-compulsive disorder.		
Fluoxetine	Used for major depression, bulimia nervosa and obsessive compulsive disorder. Long duration of action.		
Fluvoxamine	Also used for obsessive compulsive disorder.		
Paroxetine	Also used for obsessive compulsive disorder, social anxiety disorder, posttraumatic stress and generalised anxiety disorder. Some antimuscarinic effects; risk of sudden withdrawal syndrome.		
Sertraline	Also used for obsessive compulsive disorder, posttraumatic stress disorder, panic disorder and social anxiety disorder.		
Serotonin and Noradrenaline Reuptake I	nhibitors (SNRIs)		
Given orally.			
Duloxetine	Also used in generalised anxiety disorder, diabetic neuropathy and stress urinary incontinence (see Chapter 15).		
Venlafaxine	Risk of ventricular arrhythmia; risk of withdrawal effects. Also used for generalised anxiety disorder, panic disorder and social anxiety disorder.		
Noradrenaline Reuptake Inhibitor (NRI) Reboxetine	Fewer unwanted effects than TCAs. Given orally.		
Presynaptic $\alpha_2$ -Adrenoceptor Antagonist	S		
Mirtazapine	Antagonist at presynaptic $\alpha_2$ -adrenoceptors and postsynaptic histamine H <sub>1</sub> receptors. Sedative action. Given orally.		
Serotonin Receptor Antagonists Mianserin	Tetracyclic antidepressant; blocks postsynaptic serotonin 5-HT <sub>2</sub> and histamine H <sub>1</sub> receptors. Particularly useful when sedation is required. Risk of neutropenia and aplastic anaemia in older people. Given orally.		
Trazodone	Tetracyclic antidepressant; antagonist of postsynaptic serotonin 5-HT <sub>2</sub> receptor subtypes, $\alpha_1$ -adrenoceptors and histamine H <sub>1</sub> receptors. Particularly useful when sedation is required. Given orally.		
Irreversible Monoamine Oxidase A and B Inhibitors (MAOIs) Given orally. Dangerous interactions with drugs and foods limit their use to specialists. Inhibition of MAO persists for weeks after withdrawal of drug.			
lsocarboxazid	Used in refractory depression; risk of tyramine reaction. Slow onset of clinical action (weeks).		
Phenelzine	Used in refractory depression; risk of tyramine reaction; risk of hepatitis. Slow onset of clinical action (weeks).		
Tranylcypromine	Used in refractory depression; risk of tyramine reaction. Faster onset of action than other MAOIs but more hazardous because of stimulant action.		
<b>Reversible Inhibitors of Monoamine Oxic</b> <i>Given orally.</i>	lase A (RIMAs)		
Moclobemide	Lower risk of tyramine reaction than with irreversible (nonselective) inhibitors of monoamine oxidase types A and B. Used for refractory depression and for social anxiety disorder.		
Other Drugs Used in Depression			
Given orally unless otherwise stated.			
Agomelatine	Agonist at melatonin MT <sub>1</sub> and MT <sub>2</sub> receptors, and weak 5-HT <sub>2</sub> receptor antagonist; also has anxiolytic properties. Risk of hepatotoxicity.		
Esketamine	Glutamate NMDA receptor antagonist (an isomer of ketamine, see Chapter 17). Specialist use for major depression. Given intranasally, with resuscitation equipment available.		
Flupentixol	Conventional phenothiazine antipsychotic (see Chapter 21); useful for depression with associated psychosis.		

## Compendium of Drugs Used to Treat Depression, ADHD and Narcolepsy-cont'd.

DRUG	CHARACTERISTICS			
Lithium	Mechanism unclear. Used for severe recurrent depressive episodes and prophylaxis of bipolar affective disorder (see Chapter 21).			
Tryptophan	Amino acid precursor of serotonin. Very restricted hospital use as an adjunct to conventional treatments for refractory depression.			
Drugs Used to Treat Attention Deficit Hyperactivity Disorder (ADHD) and Narcolepsy				
Given orally.				
Atomoxetine	Noradrenaline reuptake blocker (NRI). Used for ADHD.			
Dexamfetamine	Reduces synaptic uptake of noradrenaline, serotonin and dopamine by activating trace amine-associated receptor 1 (TAAR1), and inhibits vesicular storage of these monoamines by vesicular monoamine uptake transporter VMAT2. Used for refractory ADHD and narcolepsy.			
Lisdexamfetamine	Prodrug of dexamfetamine. Used for refractory ADHD and narcolepsy.			
Methylphenidate	Amfetamine derivative; blocks presynaptic uptake of noradrenaline and dopamine. Used for ADHD and narcolepsy.			
Modafinil	Atypical inhibitor of dopamine and noradrenaline reuptake. Used for daytime sleepiness associated with narcolepsy or sleep apnoea.			
Sodium oxybate	Sodium salt of γ-hydroxybutyric acid (GHB). Central stimulant; used for narcolepsy with cataplexy.			

# **Seizures and Epilepsy**

## **Chapter Outline**

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PATHOLOGICAL BASIS OF SEIZURES AND EPILEPSY

Seizures are sudden, transient and usually unpredictable episodes of motor, sensory, autonomic or neuropsychiatric disturbances, triggered by abnormal neuronal discharges in the brain. Epilepsy is the enduring tendency to experience seizures and affects up to 0.6% of the population. Acute unrelenting seizure activity (an individual seizure lasting more than 5 minutes, or successive seizures with incomplete recovery of consciousness) is termed status epilepticus. It is a medical emergency that requires urgent treatment.

The clinical manifestations of seizures depend on the site of the discharge in the brain:

- In *partial or focal seizures* the discharge starts in a localised area of the brain and may remain localised or may secondarily spread to affect the whole brain.
- In *generalised seizures* the abnormal discharge affects the whole of the brain from the onset.

Identification of the type of seizure is important in the selection of the most appropriate therapy (Table 23.1).

The origin of epilepsy is complex. For most people with epilepsy, the initial focus of abnormal neuronal activity arises in an area of the brain with structural damage resulting from conditions such as trauma, tumours, cerebrovascular disease or haemorrhage. In about 30% of cases of epilepsy where there is no identifiable structural or metabolic disorder (idiopathic epilepsy), there is an important genetic component. Isolated seizures can also be caused by metabolic disturbances, such as hypoglycaemia or alcohol abuse. In children, febrile seizures start within a few hours from the onset of a temperature above 38°C. They usually

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last less than 15 minutes, but complex seizures that affect one side of the body may last longer. They usually arise in children aged 6 months to 3 years, and indicate a greater risk of developing epilepsy in later life.

## **NEUROTRANSMITTERS AND SEIZURES**

Normal coordinated activity among neurons depends on a controlled balance between excitatory and inhibitory influences on electrical activity across neuronal cell membranes. Neuronal networks cooperate by oscillatory electrical activity between different parts of the brain. Generalised epilepsy involves a change from these oscillations to excessive neuronal firing and abnormally synchronised neuronal activity across large-scale networks, in particular involving both the cortex and subcortical structures such as the thalamus (the thalamocortical system). Structural changes in neuronal networks may provide a basis for the generation of abnormal discharges, with focal lesions in the neocortex and limbic structures (especially hippocampus and amygdala) promoting the formation of abnormal regional hyperexcitable circuits.

In healthy neuronal circuits, depolarising ionic currents are mainly activated by excitatory glutamate *N*-methyl-D-aspartate (NMDA) receptors. Repolarisation is initiated by  $\gamma$ -aminobutyric acid receptors (GABA<sub>B</sub> receptors), which act as a feedback inhibitory circuit in response to excitation. The activation of GABA<sub>A</sub> receptors then hyperpolarises the cell and inhibits further impulse generation. Neuropeptide Y is co-released with GABA and potentiates the inhibition. The balance between excitatory and inhibitory

SEIZURE TYPE	CHARACTERISTICS		
Partial (Focal) Seizures			
Simple partial seizures	Motor, somatosensory or psychic symptoms; consciousness is not impaired.		
Complex partial seizures	Temporal lobe, psychomotor; consciousness is impaired.		
Secondary generalised seizures	These begin as partial seizures.		
Generalised Seizures			
Affect whole brain with loss of consciousness			
Clonic, tonic or tonic-clonic	Initial rigid extensor spasm, respiration stops, defaecation, micturition and salivation occur (tonic phase, ≈1 min); violent synchronous jerks (clonic phase, 2–4 min).		
Myoclonic	Seizures of a muscle or group of muscles.		
Absence	Abrupt loss of awareness of surroundings, little motor disturbance (occur in children).		
Atonic	Loss of muscle tone/strength.		
Unclassified seizures	—		

 Table 23.1
 Simplified Classification of Epileptic Seizures.

neuronal activity is important for suppressing excessive synchronisation.

A seizure probably begins with a localised imbalance between excitatory and inhibitory neurotransmission, which leads to a focus of neuronal instability. In some forms of epilepsy there may be a defect in neuronal transmembrane currents resulting in incomplete repolarisation of the cell. This will leave the neuron closer to its threshold potential for firing and create a hyperexcitable state. Such instability could initiate the burst of firing that produces epileptiform activity. Once an electrical discharge is triggered, spontaneous repetitive firing of the focus is maintained by a feedback mechanism known as post-tetanic potentiation. The synchronisation of the electrical charge necessary to generate a seizure and subsequent generalisation of the impulse may also be enhanced by neural plasticity and the remodelling of neural connections. Following a generalised seizure, a period of confusion, fatigue and headache typically follows, known as the postictal period and lasting up to 15 minutes or longer.

Several rare inherited epilepsy syndromes have now been characterised at a molecular level, whilst others may be due to *de novo* mutation; they arise from functional changes in protein subunits of Na<sup>+</sup> and K<sup>+</sup> ion channels, GABA<sub>A</sub> receptors and nicotinic receptors, or in associated signalling proteins. Reduction in the activity of membrane-bound ATPases linked to neuronal transmembrane ion pumps has also been found in the brains of people with primary generalised epilepsy. Ion channel dysfunction may therefore provide the basis for the genesis of many types of generalised seizures, but defective GABA-mediated inhibitory neurotransmission also appears to be a key factor.

The genesis of partial seizures does not involve large neural circuits. However, there is similar underlying excessive neuronal firing and synchronisation of firing that is localised to the cortex or limbic structures such as the hippocampus or amygdala. These circuits are generated by the abnormal functional organisation of excitatory neurons in the region associated with increased synaptic connectivity. Changes in the function of voltage-gated ion channels in these neurons may also play a role.

## **ANTISEIZURE DRUGS**

Most antiseizure drugs produce their therapeutic effects either by blockade of depolarising ion channels, by an antagonist action at glutamate receptors, or by enhancing the inhibitory actions of GABA. Many drugs have multiple sites of action, so the drugs discussed here are grouped by their principal mode of action.

#### SODIUM CHANNEL BLOCKERS

## Carbamazepine, Oxcarbazepine, Eslicarbazepine Acetate

#### Mechanism of action and uses

The mechanisms of action of these drugs are incompletely understood, but the main action is use-dependent blockade of Na<sup>+</sup> channels to stabilise them in the inactive state, which inhibits repetitive neuronal firing.

Carbamazepine, oxcarbazepine and eslicarbazepine are effective in most types of epilepsy except for myoclonic epilepsy and absences, which they can exacerbate. They are also used in the management of trigeminal neuralgia (see Chapter 19), and carbamazepine is used in the management of diabetic neuropathy (see Chapter 19) and bipolar disorder (see Chapter 21).

#### **Pharmacokinetics**

Carbamazepine is metabolised in the liver to an active epoxide metabolite. The half-life of carbamazepine is initially very long, at about 1.5 days, but decreases by about a half over the first 2 to 3 weeks of treatment because of 'autoinduction' of its own metabolism in the liver. Seizure control may then require an increase in dose. Transient unwanted neurological effects occurring in association with peak plasma drug concentrations can be minimised by use of a modified-release formulation. There is a nonlinear relationship between the oral dose of carbamazepine and the plasma concentration of carbamazepine (and its active derivative). Monitoring drug plasma concentration can therefore usefully guide dose adjustment.

Eslicarbazepine acetate is a prodrug converted rapidly after oral administration on first-pass through the liver to an active metabolite that is responsible for most of its pharmacological activity. The active metabolite is also the principal metabolite of oxcarbazepine. The antiseizure activity of the two drugs is therefore similar, but they may differ in tolerability and ease of administration (eslicarbazepine acetate is taken daily, oxcarbazepine twice daily).

#### Unwanted effects

The unwanted effects of oxcarbazepine and eslicarbazepine are less frequent than those of carbamazepine.

- Nausea and vomiting (dose-related, and especially early in treatment), dry mouth.
- Oedema.
- Rashes, especially transient generalised erythema, but more severe reactions also occur. If a rash is produced by carbamazepine, oxcarbazepine can often be given without recurrence. Stevens-Johnson syndrome occasionally occurs with carbamazepine and is more frequent in people with HLA-B\*1502, who are most often of Han Chinese or Thai origin. Testing for this allele is recommended before using carbamazepine in people of these ethnic origins. Similar associations have been observed with the HLA-A\*3101 and HLA-A\*2402 alleles.
- CNS effects: diplopia, headache, dizziness, drowsiness, ataxia. These are most common early in treatment and are dose-related.
- Transient leukopenia is common, especially early in treatment; also thrombocytopenia.
- Hyponatraemia, caused by potentiation of the action of antidiuretic hormone on the kidney, can lead to confusion and decreased control of seizures.
- Teratogenicity in the form of neural tube defects is common (see later).
- Induction of hepatic CYP3A4 (see Table 2.7) by carbamazepine can lead to drug interactions. The most common interaction is with the combined oral hormonal contraceptive (see Chapter 45); here the dose of oestrogen should be increased to avoid failure of contraception. The metabolism of warfarin (see Chapter 11) and ciclosporin (see Chapter 38) is also accelerated. Inhibition of CYP3A4 by erythromycin, clarithromycin or diltiazem can increase the plasma concentration of carbamazepine. Interactions of

carbamazepine with other antiseizure drugs are discussed later. Oxcarbazepine and eslicarbazepine have little effect on cytochrome P450 and therefore have few drug interactions.

### Phenytoin and Fosphenytoin Mechanism of action and uses

Phenytoin has a broad spectrum of activity and is effective against all forms of epilepsy except absences. The major mechanism of action is use-dependent blockade of Na<sup>+</sup> channels, which reduces cell excitability. For long-term seizure prophylaxis, the use of phenytoin is diminishing due to the availability of better-tolerated alternatives. Phenytoin and its prodrug fosphenytoin, have retained a role in the treatment of status epilepticus, but there too, other agents (e.g. levetiracetam) are increasingly preferred.

#### **Pharmacokinetics**

Phenytoin is given orally for seizure prophylaxis. Intravenous infusion is effective for rapid control of seizures. Intramuscular injection of phenytoin should be avoided as absorption by this route is erratic and muscle damage can occur. Phenytoin is eliminated by hepatic metabolism, which is readily saturated, so the elimination changes from first-order (linear) kinetics to zero-order (nonlinear) kinetics (Fig. 23.1). A small change in dose then produces a large change in the plasma concentration and the elimination half-life is



Fig. 23.1 Variation in the plasma concentration of phenytoin in relation to the daily dose. Hepatic metabolism of phenytoin becomes saturated at higher steady-state plasma concentrations, leading to zero-order (nonlinear) kinetics; the plasma concentration at which saturation occurs also varies markedly between individuals. The figure illustrates the relationship between daily dose and plasma concentrations in three individuals (Patients 1, 2 and 3). The straight black line illustrates the increase in plasma phenytoin concentration in Patient 1, which would occur if the metabolism followed first-order kinetics (i.e. not saturated). Small increases in phenytoin dose above those that saturate hepatic metabolism in each patient may result in plasma concentrations that exceed the desired therapeutic range. This nonlinearity can lead to difficulties in dosage adjustment.

increased from about 12 hours to almost 2 days. This change in elimination kinetics occurs in some individuals at plasma drug concentrations below or near the lower end of the therapeutic range. Plasma phenytoin concentrations are closely correlated to the clinical effect, and their measurement is useful as a guide to dosing. When the plasma concentration is close to or within the therapeutic range, any further increase in dose should be small.

Phenytoin is highly protein-bound (about 90%), and changes in plasma protein concentration will affect the total plasma concentration but not the active free component. The concentration of phenytoin in saliva reflects the concentration of free drug in plasma. Measurement of the salivary concentration can be useful to guide dose adjustment when the plasma protein concentration is changing, as in pregnancy or nephrotic syndrome, or to avoid blood sampling in children.

Fosphenytoin is a prodrug of phenytoin for parenteral use. It can be given by intramuscular injection (absorption from this route is good, unlike that of phenytoin) or by intravenous infusion; it is completely metabolised to phenytoin.

#### Unwanted effects

Most unwanted effects of phenytoin and fosphenytoin are dose-related. Collectively, they are common, and present significant challenges to the safety and tolerability of the drugs.

- Nausea, vomiting, constipation, anorexia.
- CNS effects: transient nervousness, dizziness, drowsiness, tremor and insomnia. Nystagmus, blurred vision, ataxia and dysarthria are signs of overdosage.
- Chronic connective tissue effects: gum hypertrophy, coarsening of facial features, hirsutism and acne. It is therefore usual to avoid phenytoin in young women or adolescents.
- Rashes. Stevens-Johnson syndrome occasionally occurs with phenytoin and is more frequent in people with HLA-B\*1502, who are most often of Han Chinese or Thai origin. Testing for this allele is recommended before using phenytoin in people of these ethnic origins.
- Folic acid metabolism is increased by phenytoin and can lead to megaloblastic haemopoiesis, although anaemia with a macrocytic blood picture is rare.
- Increased vitamin D metabolism can produce vitamin D deficiency; in rare cases this results in osteomalacia.
- Teratogenicity, including facial and digital malformations, occurs in up to 10% of pregnancies.
- Induction of hepatic cytochrome P450 enzymes predisposes to several drug interactions. In particular, the metabolism of warfarin and ciclosporin are increased; interactions with other antiseizure drugs are discussed next.

#### Lacosamide

#### Mechanism of action and uses

Lacosamide is used for adjunctive treatment of refractory partial seizures with or without secondary generalisation. It acts by enhancing the slow inactivation of neuronal Na<sup>+</sup> channels, which stabilises cell membranes, and by modulating collapsin-response mediator protein 2 (CRMP-2). CRMP-2 exerts effects on N-type voltage-gated Ca<sup>2+</sup> channels and may be involved in epileptogenesis.

#### Pharmacokinetics

Lacosamide is eliminated by metabolism and also by the kidneys. It has predictable, linear kinetics with a half-life of about 13 hours. It has no major drug interactions.

#### Unwanted effects

- Lacosamide is generally well tolerated.
- CNS effects: drowsiness, fatigue, dizziness, ataxia, nystagmus, tremor, depression, impaired concentration.
- Nausea, vomiting, flatulence, constipation.
- Pruritus.

#### Lamotrigine

#### Mechanism of action and uses

Lamotrigine has a wide spectrum of activity against partial and generalised seizures. It produces use-dependent inhibition of neuronal voltage-gated Na<sup>+</sup> channels. Unlike carbamazepine and phenytoin, it selectively targets dendrites of pyramidal neurons that synthesise glutamate and aspartate; lamotrigine reduces the release of glutamate.

Lamotrigine is also used for prophylaxis of depression in bipolar disorder (see Chapter 21).

#### Pharmacokinetics

Lamotrigine is metabolised in the liver by glucuronidation and has a long half-life (15–60 hours). It is susceptible to important drug interactions. Co-administration with drugs that induce glucuronidation (including carbamazepine, phenytoin, oestrogens and rifampicin) leads to a fall in lamotrigine concentration, necessitating a dose increase to avoid treatment failure. Conversely, drugs that inhibit glucuronidation (e.g. valproate) may cause an increase in the concentration of lamotrigine and the risk of unwanted effects.

#### Unwanted effects

- Nausea, vomiting, diarrhoea, dry mouth.
- CNS effects: aggression, agitation, drowsiness, headache, fatigue, insomnia, dizziness, double vision and ataxia; tremor can be troublesome at high dosages.
- Arthralgia, back pain.
- Hypersensitivity syndrome with fever, rash, lymphadenopathy and hepatic dysfunction. This is more common if lamotrigine is used together with sodium valproate.

Rashes: some disappear despite continued treatment, but severe skin reactions, including Stevens-Johnson syndrome and toxic epidermal necrolysis, occasionally occur, particularly in children and following rapid dose escalation or with concurrent use of sodium valproate.

## Zonisamide

## Mechanism of action and uses

Zonisamide is used for adjunctive treatment of refractory partial seizures with or without secondary generalisation. Its main mechanism of action is use-dependent blockade of neuronal Na<sup>+</sup> channels, which stabilises cell membranes. It also blocks voltage-dependent T-type Ca<sup>2+</sup> channels, which contributes to cell membrane stabilisation and inhibits glutamate release.

## **Pharmacokinetics**

Zonisamide is eliminated by metabolism. It has a very long half-life in blood (about 60 hours) because of its selective binding to red blood cells.

## **Unwanted effects**

- CNS effects: agitation, drowsiness, lethargy, dizziness, confusion, ataxia, diplopia, tremor, insomnia, emotional lability, psychosis, impaired concentration.
- Anorexia, weight loss, nausea, abdominal pain, diarrhoea, constipation.
- Rash, pruritus, peripheral oedema, alopecia.

## Rufinamide

#### Mechanism of action and uses

Rufinamide prolongs inactivation of neuronal voltagegated Na<sup>+</sup> channels, but it is unclear whether this is the only mechanism of action.

It is specifically used as adjunctive treatment of seizures in Lennox-Gastaut syndrome but is also used for refractory tonic or atonic seizures.

#### **Pharmacokinetics**

Rufinamide is metabolised in the liver and has a halflife of 6–10 hours.

## **Unwanted effects**

- CNS effects: aggression, anxiety, dizziness, ataxia, tremor, blurred vision, nystagmus, drowsiness, gait disturbance, insomnia.
- Gastrointestinal upset: nausea, abdominal pain, constipation, diarrhoea, weight loss.
- Influenza-like symptoms.

## GAMMA-AMINOBUTYRIC ACID RECEPTOR AGONISTS

#### Benzodiazepines

Examples

clobazam, clonazepam, diazepam, lorazepam, midazolam

## Mechanism of action and uses

Benzodiazepines enhance the action of the inhibitory neurotransmitter GABA on GABA<sub>A</sub> receptors. Their actions are discussed in Chapter 20. Clonazepam and clobazam are used orally for prophylaxis, usually as an adjunct to other drugs. Lorazepam, diazepam or midazolam can be given intravenously to suppress individual seizures. If intravenous access is not available, rectal or buccal midazolam can be used. Intravenous diazepam is formulated as an emulsion to reduce the incidence of thrombophlebitis.

#### **Pharmacokinetics**

With the exception of midazolam, these are long-acting benzodiazepines. Midazolam has a short duration of action. Benzodiazepines are discussed in detail in Chapter 20.

### Unwanted effects

These effects are discussed in Chapter 20. Partial or complete tolerance to the antiseizure action of benzodiazepines often occurs after about 4–6 months of continuous treatment.

## **Phenobarbital and Primidone**

## Mechanism of action and effects

Phenobarbital is a barbiturate. Its major mechanism of action is activation of inhibitory postsynaptic neuronal  $GABA_A$  receptors (see also Chapter 20). This increases the duration of opening of the transmembrane Cl<sup>-</sup> channel associated with the receptor; the neuronal membrane is therefore hyperpolarised and less likely to fire. In contrast to benzodiazepines, the receptors are directly activated by phenobarbital and do not require the presence of GABA, but phenobarbital will also potentiate the effect of GABA (see Chapter 20). The action of primidone is due in part to its conversion to phenobarbital. Primidone has no advantage over phenobarbital and is generally less well tolerated. People with epilepsy who do not respond to phenobarbital or tolerate it poorly are unlikely to benefit from primidone.

Both drugs have a wide spectrum of activity and are effective in most forms of epilepsy, but the high incidence of unwanted effects means that they are infrequently used.

#### **Pharmacokinetics**

Phenobarbital is eliminated by hepatic metabolism and renal excretion. The half-life is very long, about 4 days, but with considerable interindividual variation. Primidone is converted in the liver to two active metabolites, one of which is phenobarbital.

The plasma concentrations of phenobarbital and primidone do not correlate with control of seizures; they are useful only as a guide to adherence to treatment. Control of seizures or unwanted effects should be used to determine dosages.

#### Unwanted effects

- CNS effects: sedation, fatigue and memory impairment are common in adults; paradoxical excitement, confusion and restlessness can occur in the elderly, and hyperactivity can occur in children.
- Cholestasis.
- Rashes.
- Folic acid metabolism is increased by phenobarbital, producing megaloblastic haemopoiesis, although anaemia with a macrocytic blood picture is rare.
- Increased vitamin D metabolism can produce vitamin D deficiency; in rare cases this results in osteomalacia.
- Tolerance to both unwanted and therapeutic effects occurs during long-term treatment.
- Dependence with a physical withdrawal reaction is seen after long-term treatment.
- Teratogenicity (see later).
- Induction of hepatic cytochrome P450 isoenzymes (see Chapter 2) leads to increased metabolism of phenobarbital itself and also of warfarin, ciclosporin and oestrogen (reducing the effectiveness of oral contraception). Interactions with other antiseizure drugs are considered later.

## GAMMA-AMINOBUTYRIC ACID REUPTAKE INHIBITOR

#### Tiagabine

#### Mechanism of action and uses

Tiagabine is a potent inhibitor of GABA transporter 1 (GAT-1) and decreases glial and presynaptic neuronal uptake of the inhibitory amino acid GABA. This prolongs the duration of action of GABA at its receptor. GAT-1 is the predominant transporter in the neocortex and hippocampus. Tiagabine is used as an adjunctive therapy for partial seizures with or without secondary generalisation.

#### **Pharmacokinetics**

Tiagabine is metabolised in the liver and has a half-life of 5 to 8 hours.

#### Unwanted effects

- CNS effects: dizziness, lethargy, nervousness, tremor, impaired concentration, speech impairment, emotional lability, tremor.
- Diarrhoea.

## GAMMA-AMINOBUTYRIC ACID TRANSAMINASE INHIBITOR

#### Vigabatrin

#### Mechanism of action and uses

Vigabatrin is a structural analogue of GABA and produces irreversible inhibition of GABA transaminase (GABA-T), the enzyme that inactivates GABA. The generalised increase in CNS concentrations of GABA inhibits the spread of epileptic discharges.

Vigabatrin is used only in combination with other drugs to treat epilepsy that is resistant to other drug combinations, or when they are poorly tolerated. It is effective in partial epilepsy with or without secondary generalisation but may worsen absence, myoclonic, tonic and atonic seizures. Its use is restricted because of the unacceptably high risk of visual field defects (see later).

#### Pharmacokinetics

Vigabatrin is excreted unchanged by the kidney. Irreversible drug binding to its target enzyme GABA-T means that its duration of action is determined by the time required for GABA-T synthesis rather than the half-life of elimination of the drug. GABA-T activity recovers to about 60% of baseline after 5 days. Therefore the efficacy of vigabatrin is unrelated to the plasma drug concentration and the monitoring of blood concentration is of no value.

#### Unwanted effects

- CNS effects: sedation and fatigue, aggression, dizziness, nervousness, irritability, depression, impaired concentration, headache, blurred vision, diplopia, nystagmus and tremor.
- Nausea, vomiting, abdominal pain.
- Severe peripheral visual field defects during prolonged use. These can arise from 1 month to several years after starting treatment and are usually irreversible. Monitoring of visual fields at 6-month intervals is recommended.
- Weight gain, oedema.

#### **DRUGS WITH MULTIPLE ACTIONS**

#### Sodium Valproate

#### Mechanism of action and uses

The mechanisms of action of sodium valproate are complex. One important action appears to be enhanced activity of glutamic acid decarboxylase (GAD), which increases the synthesis of GABA. The contribution of effects on ion channels is controversial, and there are other actions that are not yet well understood. Inhibition of histone deacetylase (HDAC) by valproate modulates the transcription of multiple genes encoding signalling proteins and ion channels. This may explain why the full benefit of treatment may not be apparent for several weeks.

Sodium valproate has a wide spectrum of antiseizure activity and suppresses the initial seizure discharge as well as the spread of seizure activity. It is effective for all forms of epilepsy. Valproate is also used for the management of neuropathic pain (see Chapter 19) and bipolar disorder (see Chapter 21) as well as the prophylaxis of migraine (see Chapter 26). Due to the heightened risk of birth defects (notably neural tube defects) and developmental disorders if taken during pregnancy, sodium valproate should not be prescribed for women or girls of childbearing potential. If this is unavoidable, UK regulations require that the woman is enrolled on a pregnancy prevention programme.

#### **Pharmacokinetics**

Sodium valproate is metabolised in the liver and has a half-life of 9 to 21 hours. It is highly ionised at physiological pH but is rapidly transported across the blood-brain barrier via an anion exchange transporter. Subsequent diffusion into and out of neurons is slow, partly explaining why the drug concentration in plasma does not correlate well with its therapeutic effect. Monitoring of blood concentrations is useful only to assess adherence.

### **Unwanted effects**

- Gastrointestinal upset: nausea, abdominal pain and diarrhoea. These can be minimised by gradual dosage titration.
- Weight gain caused by appetite stimulation.
- Transient hair loss, with regrown hair being curly.
- CNS effects: aggression, tremor, confusion, headache, memory impairment, sedation. These can be minimised by slow dosage titration.
- Thrombocytopenia or impaired platelet activity.
- Severe hepatotoxicity can develop but is rare and usually occurs in the first 6 months of therapy. This is most frequent in children under 3 years of age or in people with organic brain disorders who are receiving multiple drug therapy for seizures. Transiently raised liver enzymes are common but usually do not progress to more serious liver dysfunction.
- Significantly increased risk of birth defects and developmental abnormalities in babies exposed to sodium valproate in utero.
- Inhibition of hepatic drug-metabolising enzymesincluding CYP2C9, glucuronyl transferase and epoxide hydrolase – leading to interactions with other antiseizure drugs (see later).

## Topiramate

#### Mechanism of action and uses

Various mechanisms of action have been proposed for topiramate; the overall effects are probably due to a combination of actions on multiple targets. Potentially relevant actions include use-dependent blockade of neuronal Na<sup>+</sup> channels and enhanced action of GABA at a subset of GABA<sub>A</sub> receptors. It is less certain whether antagonist activity at the AMPA/kainite subtype of glutamate receptors, or inhibition of carbonic anhydrase II and IV isoenzymes, contribute to the antiseizure effects.

Topiramate is used alone or as an add-on treatment for drug-resistant partial or generalised seizures.

It is also effective for prophylaxis of migraine (see Chapter 26).

## **Pharmacokinetics**

Topiramate is eliminated unchanged by the kidney and by hepatic metabolism. It has a long half-life (21 hours).

#### Unwanted effects

- CNS effects, including impaired concentration, cognitive impairment, confusion, dizziness, ataxia, paraesthesia, sleep disturbance, tinnitus, agitation, emotional lability and depression.
- Gastrointestinal upset, with nausea, vomiting, dyspepsia, taste disturbance, abdominal pain, anorexia, dry mouth and weight loss.
- Nephrolithiasis.
- Myalgia, muscle weakness.
- Rash, pruritus, alopecia.
- Teratogenicity, with increased risk of cleft palate.

## **NEURONAL CALCIUM CHANNEL BLOCKERS**

### Ethosuximide

#### Mechanism of action and uses

Ethosuximide is a drug of choice in absence seizures and may be effective for myoclonic seizures and tonic or atonic seizures. It is ineffective in other types of epilepsy. In absence seizures, T-type Ca<sup>2+</sup> channels are believed to be responsible for generating excessive activity in thalamocortical relay neurons. Ethosuximide blocks these channels and prevents synchronised neuronal firing.

#### **Pharmacokinetics**

Absorption of ethosuximide from the gut is almost complete. Metabolism in the liver is extensive and the half-life is very long, at 40 to 60 hours, although it is shorter in children (30 hours). Plasma and salivary drug concentrations correlate well with control of seizures and can be used to monitor treatment.

#### Unwanted effects

- Gastrointestinal upset with nausea, vomiting, anorexia, abdominal pain, weight loss and diarrhoea.
- CNS effects: drowsiness, dizziness, ataxia, headache and depression.

#### Gabapentin and Pregabalin

## Mechanism of action and uses

Although designed as a structural analogue of GABA, gabapentin does not appear to work by affecting GABA-mediated inhibition in the brain. The mechanisms of action of gabapentin and pregabalin are unclear, but both bind to the  $\alpha 2\delta$  subunit of P/Q-type voltage-gated Ca<sup>2+</sup> channels in the neocortex and hippocampus and reduce Ca<sup>2+</sup> entry into neurons. This
may inhibit release of excitatory neurotransmitters such as glutamate.

Gabapentin and pregabalin are also used in the management of neuropathic pain (see Chapter 19) and pregabalin for generalised anxiety disorder (see Chapter 20).

#### **Pharmacokinetics**

Gabapentin is incompletely absorbed from the gut via a saturable transport mechanism, whereas pregabalin is better absorbed. Both drugs are excreted largely unchanged by the kidney, with half-lives of about 6 hours.

#### Unwanted effects

Unwanted effects, particularly on initiation of pregabalin or gabapentin, can be significant. Usual practice is to initiate the drug at a low dose, then gradually increase to the target dose in an effort to mitigate these effects.

- Nausea, vomiting, dry mouth, dyspepsia, diarrhoea, constipation, abdominal pain.
- CNS effects, including drowsiness, dizziness, ataxia, fatigue, headache, tremor, diplopia, dysarthria, confusion and emotional lability.
- Weight gain from stimulation of appetite.
- Rhinitis, cough, dyspnoea.
- Myalgia, arthralgia.
- Rashes.

#### SYNAPTIC VESICLE PROTEIN 2A INHIBITORS

#### Levetiracetam and Brivaracetam

#### Mechanism of action and uses

Levetiracetam binds to synaptic vesicle protein 2A (SV2A) on the presynaptic neuronal plasma membrane. The exact function of this transmembrane transporter remains unclear, but it is involved in regulating the release of GABA and other neurotransmitters. Levetiracetam produces selective inhibition of synchronised epileptiform burst firing and propagation of seizure activity in the hippocampus without affecting neuronal excitability.

Brivaracetam is similar to levetiracetam, although with higher affinity for SV2A and additional activity on voltage-gated Na<sup>+</sup> channels.

Levetiracetam and brivaracetam are used for adjunctive treatment of partial seizures with or without secondary generalisation. They are available in intravenous formulations, and levetiracetam is an option for the management of status epilepticus.

#### **Pharmacokinetics**

Both drugs are well absorbed from the gastrointestinal tract. They have linear pharmacokinetics and no significant interactions. Levetiracetam is largely eliminated unchanged by the kidneys, with a half-life of about 7 hours. Brivaracetam is metabolised to inactive metabolites. Its half-life is about 9 hours.

#### Unwanted effects

- CNS effects: drowsiness, lethargy, dizziness, ataxia, headache, tremor, insomnia, emotional lability, impaired concentration.
- Anorexia, nausea, vomiting, dyspepsia, diarrhoea, weight changes.
- Cough, nasopharyngitis.
- Myalgia.
- Rash.

#### AMPA GLUTAMATE RECEPTOR ANTAGONIST

#### Perampanel Mechanism of action and uses

Perampanel is a noncompetitive antagonist of glutamate at  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptors on postsynaptic neurons. These receptors are the main mediators of fast synaptic excitation. Perampanel is used for adjunctive treatment of partial seizures with or without secondary generalisation.

#### **Pharmacokinetics**

Perampanel is metabolised by CYP3A isoenzymes in the liver and has a very long half-life of 105 hours. When given in combination with strong inducers of CYP3A, such as carbamazepine, the half-life of perampanel is shortened to 25 hours.

#### Unwanted effects

- CNS effects: aggression, anxiety, dizziness, ataxia, blurred vision, drowsiness, dysarthria, gait disturbance, suicidal ideation.
- Nausea, changes in appetite, weight changes.
- Malaise.

#### **CANNABINOIDS**

Cannabidiol is one of many active cannabinoids present in the cannabis plant. The mechanism of its antiseizure effect is not fully understood, but the drug is thought to modulate intracellular Ca<sup>2+</sup> via G-protein–coupled receptor 55 (GPR55) and transient receptor potential vanilloid 1 (TRPV-1) channels, and adenosine-mediated signalling by inhibiting adenosine cellular uptake. These effects may reduce neuronal hyper-excitability and inflammation. It is used as adjunctive treatment (with clobazam) for seizures associated with Lennox-Gastaut and Dravet epilepsy syndromes. Common side effects include agitation, irritability and sleep disturbance.

#### INTERACTIONS AMONG ANTISEIZURE DRUGS

Many antiseizure drugs affect the activity of hepatic drug-metabolising enzymes, especially cytochrome P450 isoenzymes (see Chapter 2, Table 2.7); drug interactions are therefore frequent. Interactions when two or more antiseizure drugs are used together can have major clinical implications for seizure control and/or toxicity. However, the extent of these interactions is often variable and unpredictable. Carbamazepine, phenobarbital (and its precursor primidone), phenytoin (and fosphenytoin) induce various isoenzymes of CYP450 and lower the plasma concentrations of several other antiseizure drugs. By contrast, valproate inhibits hepatic metabolism, which increases the plasma concentrations of some antiseizure drugs. Lamotrigine is susceptible to interactions with drugs that induce or inhibit glucuronidation.

In general, the newer antiseizure drugs (e.g. oxcarbazepine, eslicarbazepine acetate, levetiracetam, brivaracetam, lacosamide) have fewer or no significant drug interactions.

#### MANAGEMENT OF EPILEPSY

#### TREATMENT OF INDIVIDUAL SEIZURES

The initial management of a seizure involves positioning the person to avoid injury. Particular attention must also be given to maintaining the airway and ensuring adequate oxygenation. A correctable cause of seizures such as hypoglycaemia should be sought and treated; intravenous thiamine should be given if alcohol abuse is suspected.

Prolonged or repetitive seizures lasting more than 5 minutes (convulsive status epilepticus) usually require urgent parenteral drug treatment. Intravenous lorazepam is the drug of choice. Diazepam can be used, but it has a shorter duration of action owing to more rapid tissue distribution and it can cause thrombophlebitis (the risk of thrombophlebitis is reduced by an emulsion formulation). If necessary, a second dose of benzodiazepine can be given after 10 minutes. If intravenous access is not available, midazolam can be given by the buccal route. Diazepam rectal solution may be particularly useful for children or for initial treatment out of hospital. Close observation for signs of drug-induced respiratory depression and hypotension should be maintained after a benzodiazepine is given.

If there is no response to a benzodiazepine after 25 minutes or seizures recur, a slow intravenous injection of phenytoin or a more rapid injection of fosphenytoin or phenobarbital should be given. If seizures are still not controlled with these measures, full anaesthesia using thiopental or propofol (see Chapter 17) with assisted respiration in an intensive care unit will be necessary.

Nonconvulsive status epilepticus does not usually require urgent treatment unless there is complete loss of awareness or failure to respond to oral therapy.

#### **PROPHYLAXIS FOR SEIZURES**

A diagnosis of epilepsy requires two or more unprovoked seizures. After a single event, up to 80% of people will have a second seizure within 3 years. If a predisposing cause cannot be identified and avoided (e.g. alcohol withdrawal, photosensitive epilepsy precipitated by viewing a television screen from too close a distance), drug treatment will usually be recommended after a

#### Table 23.2 Drug Choice in the Treatment of Epilepsy.

TYPE OF SEIZURE	FIRST-LINE DRUGS	SECOND-LINE, Adjunctive and Specialist drugs
Partial Seizures		
	Carbamazepine Lamotrigine Oxcarbazepine Sodium valproate Levetiracetam	Clobazam Eslicarbazepine Gabapentin Lacosamide Oxcarbazepine Phenytoin Pregabalin Tiagabine Topiramate Vigabatrin Zonisamide
<b>Generalised Seize</b>	ures	
Tonic-clonic	Sodium valproate Carbamazepine Oxcarbazepine Lamotrigine	Clobazam Levetiracetam Topiramate
Myoclonic	Sodium valproate Topiramate Levetiracetam	Clobazam Clonazepam Lamotrigine Piracetam Zonisamide
Absence	Ethosuximide Sodium valproate Lamotrigine	Clobazam Clonazepam Levetiracetam Topiramate Zonisamide
Atonic	Sodium valproate Lamotrigine	Rufinamide Topiramate

second seizure unless the seizures were separated by very long intervals or were mild. Occasionally treatment will be recommended after a first seizure, as when there is structural brain damage. Treatment should begin with a single drug, the choice depending on the type of epilepsy and relative toxicity of the drugs (Table 23.2).

For generalised tonic-clonic seizures or unclassifiable seizures and in the absence of factors that would lead to an alternative choice, sodium valproate is a first-line treatment because it has a wide spectrum of activity. However, it should not be prescribed for girls or women of child-bearing potential. Lamotrigine is the usual alternative choice if sodium valproate is unsuitable. Carbamazepine or oxcarbazepine can also be considered. Adjunctive therapy is added for failure to control seizures with the initial drug, and at this stage several other drugs can be considered.

Absence seizures are usually treated with ethosuximide or sodium valproate, with lamotrigine as an alternative choice. Myoclonic seizures show a variable response to treatment. Except in women of childbearing potential, sodium valproate is usually the first choice. Topiramate and levetiracetam are alternatives. Atonic seizures are usually seen in childhood and associated with cerebral damage. Treatment is with sodium valproate or lamotrigine.

Carbamazepine and lamotrigine are the drugs of first choice for partial seizures. Alternative options are oxcarbazepine, sodium valproate and levetiracetam. If monotherapy fails to control the seizures, then two of these drugs are usually given together. Adjunctive treatment with one of a number of other drugs is then considered if seizures are still not controlled.

When initiating treatment with any antiseizure drug, the starting dose should be low, with gradual titration, so as to minimise unwanted effects. If seizures continue, the maximum tolerated dose should be taken. If seizures are not controlled with the first-choice drug, it becomes more important to accurately identify the type of seizure. A second drug should then be introduced and titrated to an adequate dose before the first drug is gradually withdrawn (see Table 23.2). Seizure control is achieved with the first drug in around 50% of cases of newly-diagnosed epilepsy. An additional 30% achieve seizure control with a second drug, given either instead or in addition to the first drug. In people who continue to have seizures taking two drugs, the addition of a third agent has only marginal benefit.

#### **Refractory Epilepsy**

Refractory epilepsy can indicate poor adherence to treatment, inappropriate drug choice or dosage, or misdiagnosis – particularly of non-epileptic attack disorder (seizure-like episodes that are not caused by abnormal neuronal discharge). However, seizures can be refractory to standard treatments. Multiple drug treatment (initially with two first-line drugs or a firstand a second-line drug) should be reserved for seizures that have not been controlled by treatment with two or three first- or second-line drugs given alone.

Combination therapy at maximally tolerated doses does not control the seizures in some people with epilepsy, even when there is good adherence to treatment recommendations. Lack of seizure control is more frequent if the onset was at an early age; if there are generalised, atonic, or absence seizures; or if there is underlying structural brain damage. Some data suggest that resistance can arise from overexpression of proteins that transport drugs out of the CNS neurons, such as P-glycoprotein, but the evidence for this is conflicting. Alternatively, resistance may arise from genetic variation affecting targets for drug action. For temporal lobe epilepsy, there is now good evidence that surgical treatment should be considered if more than two consecutive antiseizure drugs fail to control the seizures. Surgery for other forms of epilepsy may provide some amelioration of seizure frequency.

#### **Therapeutic Drug Monitoring**

It is not usually necessary to monitor concentrations of antiseizure drug in plasma unless seizure control is poor or if poor adherence or drug toxicity is suspected. Good seizure control will often be achieved at plasma drug concentrations that are below the accepted therapeutic range; under such circumstances, an increase in dosage is not necessary. By contrast, people who continue to have seizures may need plasma drug concentrations above the standard therapeutic range to achieve seizure control provided that the drug is well tolerated. The only drug for which monitoring is of proven benefit for dosage adjustment is phenytoin, primarily because metabolism may be saturated at therapeutic doses and the pharmacokinetics become nonlinear (see Fig. 23.1). Adjustment of the dosages of carbamazepine, lamotrigine and ethosuximide may be easier if the plasma concentration is known; however, for other drugs, monitoring is of value only to confirm that the drug is being taken.

#### **Duration of Treatment**

In the United Kingdom, a driving licence is revoked until the person has been seizure-free for 1 year or has suffered only nocturnal seizures for 3 years. A person should be advised not to drive during withdrawal of antiseizure drugs or for 6 months afterwards. For this reason, drivers may wish to continue treatment indefinitely.

Once started, treatment should usually be continued for at least 2 to 3 years after the last seizure. Treatment should probably be lifelong if there is a continuing predisposing condition. If a decision is made to withdraw treatment, withdrawal should be gradual over at least 2 to 3 months to minimise the risk of rebound seizures. When several drugs are used, only one should be withdrawn at a time.

Severe traumatic brain injury presents a risk of seizures, particularly soon after the injury, and it is common for an antiseizure drug (e.g. levetiracetam or phenytoin) to be started preemptively. There is evidence that this can reduce the risk of early seizures, but not that it reduces the risk of developing epilepsy. Therefore it is probably best to restrict preemptive antiseizure prophylaxis to a short period of approximately 2 weeks.

#### **Childhood Febrile Seizures**

Febrile seizures occur commonly in infancy and usually do not lead to epilepsy or produce CNS damage. About 4% of children have them, and they recur in about one-third. It is important to reduce pyrexia during subsequent febrile episodes, by the removal of clothes and use of paracetamol (see Chapter 29). Routine prophylaxis with antiseizure drugs is not recommended, but rectal diazepam is sometimes given when a child who has previously had a febrile seizure becomes pyrexial.

#### ANTISEIZURE DRUGS IN PREGNANCY

No antiseizure drug has a proven safety record in pregnancy and the use of these drugs carries a risk of teratogenesis if the fetus is exposed in the first trimester (see Table 56.1). Fetal abnormalities are most frequent if more than one drug is used. Neural tube defects and other problems are particularly common with sodium valproate (malformations in about 10% of pregnancies) and to a lesser extent with carbamazepine and oxcarbazepine (2%–4%). There is also an increased risk of neurodevelopmental abnormalities, such as late achievement of developmental milestones and lower intelligence, in children born to mothers who are taking antiseizure drugs. In the case of valproate, this is as high as 30% to 40%.

In general, women of childbearing age who are taking antiseizure drugs should be given contraceptive advice. If they wish to become pregnant, they should be counselled about the risk and treatment should be slowly withdrawn if the seizure type or severity does not pose a serious threat. It is important to advise a potential mother with epilepsy that the risks of uncontrolled seizures during pregnancy, to both her and the fetus, may be greater than the risks associated with drug therapy. High-dose folic acid supplements may reduce the risk of neural tube defects and should be recommended before and during pregnancy. Additional conditions apply for the use of sodium valproate. The UK medicines regulator has stated that valproate must not be prescribed for girls or women who could become pregnant, unless there is no other option. In that case, she must be advised to enrol on the Pregnancy Prevention Programme and to read and sign an Annual Risk Acknowledgement Form. Effective contraception is essential, and pregnancy tests should be taken before starting treatment and at regular intervals during treatment.

The plasma concentration of antiseizure drugs can change during pregnancy. The dose of carbamazepine, lamotrigine and phenytoin can be adjusted on the basis of plasma drug concentration monitoring.

When the mother is taking carbamazepine, phenobarbital or phenytoin, there is an increased risk of neonatal bleeding. Prophylactic vitamin  $K_1$  should be given to the mother from 36 weeks of pregnancy and to the newborn immediately after birth.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Generalised seizures include tonic-clonic and absence seizures.
- 2. Absence seizures occur mainly in adults.
- 3. Partial seizures cause motor, sensory or psychic symptoms without loss of consciousness.
- 4. Generalised muscle contractions do not occur in partial seizures.
- 5. The excitatory neurotransmitter glutamate is increased in some seizures.
- 6. There are currently no antiseizure drugs that act by reducing excessive glutamatergic activity.

- Antiseizure drugs that stimulate GABA receptors or enhance GABA stability act by inhibiting Na<sup>+</sup> influx in neurons.
- 8. A major mechanism of antiseizure drug action is the inhibition of Na<sup>+</sup> channels.
- 9. The metabolism of carbamazepine diminishes with regular use.
- 10. Ethosuximide blocks neuronal Ca<sup>2+</sup> channels.
- 11. The plasma concentrations of phenytoin increase in a linear manner with increasing dosage of the drug.
- 12. Vigabatrin is a first-line drug for the treatment of all types of epilepsy.
- 13. Tiagabine enhances GABA levels in synapses by reducing its reuptake.
- 14. The abrupt withdrawal of antiseizure drugs should be avoided.
- 15. The antiseizure drug gabapentin is also used for neuropathic pain.

#### **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Identify the most accurate statement about antiseizure drugs.
  - A. Phenytoin causes hair loss.
  - B. The use of diazepam in epilepsy is confined to long-term prophylaxis in tonic-clonic seizures.
  - C. Valproate induces drug-metabolising enzymes in the liver.
  - D. The effectiveness of phenobarbital diminishes with time.
  - E. The risk of teratogenicity can be reduced in pregnancy by combining antiseizure drugs.

#### **CASE-BASED QUESTIONS**

#### Case 1:

A 7-year-old boy was described as 'dreamy' by his mother. He was making slow progress at school and his mother and teachers commented that he could not concentrate and had frequent episodes of staring vacantly for a few seconds, then carrying on as normal. Following an electroencephalogram (EEG), a synchronised electrical discharge characteristic of an absence form of epilepsy was demonstrated.

- 1. Which of the following would be suitable as a drug of first choice: phenytoin, phenobarbital, sodium valproate or ethosuximide?
- 2. What are the major unwanted effects of the drug you have chosen?
- 3. If control of absence seizures is inadequate with your chosen treatment, can combination therapy be given?

#### Case 2:

A 19-year-old woman has a long-term history of epilepsy of the complex partial seizure type, which often gravitate to generalised seizures. For several years her seizures have been well controlled with a stable drug regimen. She now seeks advice on contraception.

- 1. What antiseizure drugs might be effective in the type of epilepsy this woman has?
- 2. What suitable options are available for contraception in this case?
- 3. What potential problems can arise if the woman takes the combined oral hormonal contraceptive?
- 4. Would an injected progestogen contraceptive be worth considering?
- 5. If the combined oral hormonal contraceptive were the chosen method, what strategies should be adopted to ensure its efficacy?
- 6. Would the oral progestogen-only contraceptive be a suitable method of contraception?

#### **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **True.** Tonic-clonic seizures (formerly termed *grand mal*) and absence seizures (formerly *petit mal*) are two types of generalised seizure affecting the whole brain.
- 2. False. Absences, manifested by transient unawareness of surroundings and generally without motor disturbance, occur in children.
- 3. **False**. Simple partial (or focal) seizures do not cause loss of consciousness, but consciousness can be impaired in complex partial seizures and in secondary generalised seizures that arise from partial seizures.
- 4. **False**. In 'Jacksonian' epilepsy, an epileptic focus in the primary motor cortex causes jerking localised to a specific group of muscles; this gradually spreads to involve many other muscle groups.
- True. Glutamatergic overactivity is implicated in some types of epilepsy and may cause neuronal excitotoxicity. Abnormalities in the functioning of Na<sup>+</sup> channels, GABA<sub>A</sub> receptors and other neuronal proteins have also been associated with epilepsy.
- 6. **False**. Reducing glutamatergic activity may account in part or in whole for the action of antiseizure drugs such as perampanel and topiramate, which can block AMPA glutamate receptors, and others such as lamotrigine and levetiracetam, which decrease glutamate release.
- 7. **False**. GABA hyperpolarises neurons by increasing the influx of Cl<sup>-</sup> ions via GABA<sub>A</sub> receptors.
- 8. **True**. Na<sup>+</sup> channel blockade that holds the channel in an inactivated state reduces repetitive neuronal firing; this is a major mechanism of action of many antiseizure drugs.
- 9. False. The Na<sup>+</sup> channel blocker carbamazepine induces its own metabolism (autoinduction), so its elimination is accelerated with regular use and scheduled dose adjustment is required.

- 10. **True**. The action of ethosuximide in absence seizures rests on its blockade of T-type Ca<sup>2+</sup> channels in thalamocortical relay neurons.
- 11. **False**. Phenytoin plasma concentrations are dosedependent at lower doses but at higher doses, its hepatic metabolism becomes saturated; there is also substantial interindividual variability in phenytoin metabolism.
- 12. **False**. Vigabatrin inhibits the breakdown of GABA by GABA transaminase (GABAT) and is effective in all types of epilepsy, but because of the risk of irreversible narrowing of the visual field, it is reserved for specialist use for epilepsy resistant to other drugs.
- 13. **True**. Tiagabine reduces GABA reuptake by glial cells and presynaptic neurons by inhibiting the GABA transporter GAT-1.
- 14. **True**. Withdrawal of an antiseizure drug should be gradual over 2 to 3 months to prevent rebound seizures.
- 15. **True**. Gabapentin is now thought to act by blocking the  $\alpha 2\delta$  subunit of P/Q-type voltage-gated Ca<sup>2+</sup> channels; it is used for epilepsy, neuropathic pain and (unlicensed use) migraine.

#### **OBA ANSWER**

#### Answer D is correct.

- A. Incorrect. Sodium valproate may cause transient hair loss, but phenytoin causes hair growth (hirsutism or hypertrichosis); other unwanted effects include gingival hyperplasia, acne and facial coarsening.
- B. Incorrect. Diazepam is used intravenously or rectally for status epilepticus, febrile convulsions and convulsions due to poisoning.
- C. Incorrect. Sodium valproate inhibits drug-metabolising enzymes in the liver, thus increasing plasma concentrations of many other drugs.
- D. **Correct**. Tolerance to the therapeutic and unwanted effects of phenobarbital develops with time.
- E. Incorrect. The risk of teratogenesis is increased if more than one drug is given.

#### **CASE-BASED ANSWERS**

#### Case 1:

- 1. The absence seizures experienced by this boy should respond well to sodium valproate or ethosuximide; phenytoin and phenobarbital are ineffective in absence seizures.
- 2. Sodium valproate causes nausea, reversible hair loss and weight gain. Uncommonly, liver damage can occur. Ethosuximide causes nausea, anorexia and headache.
- 3. Adherence with ethosuximide or sodium valproate monotherapy should be checked before combining drugs. Clonazepam and lamotrigine are suitable alternatives.

#### Case 2:

- 1. A variety of antiseizure drugs could be used by this woman with complex partial seizures. Firstline drugs usually include carbamazepine, oxcarbazepine, lamotrigine, or (if risk of pregnancy is excluded) sodium valproate.
- 2. Nonhormonal contraceptives such as barrier methods or intrauterine devices are effective and do not carry the risk of drug interactions. However, many women will want to use a hormonal method.
- 3. Carbamazepine, phenytoin, phenobarbital and topiramate all induce liver enzymes that increase the metabolism of steroidal sex hormones and reduce the efficacy of oral contraceptives.
- 4. The metabolism of injected medroxyprogesterone acetate (MPA) is affected less than that of contraceptive steroids taken orally. The interval between MPA injections should nevertheless be reduced to 10 weeks. MPA may also reduce the incidence of seizures.
- 5. Because oestrogen metabolism is enhanced by several antiseizure drugs, it is recommended that if one of these drugs needs to be prescribed, formulations containing a high concentration of oestrogen (at least 50 µg) should be used. Sometimes

more than 100 µg oestrogen daily in split doses may be required to prevent breakthrough bleeding. The pill-free period can also be reduced. If any change in medication for the woman's epilepsy is made, additional barrier methods of contraception should be used until the medication is stabilised.

6. The progestogen-only oral contraceptive would be unsafe as its metabolism is increased.

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#### Compendium of Drugs Used to Treat Seizures and Epilepsy.

DRUG	CHARACTERISTICS
Drugs Used for the Cor	ntrol of Epilepsy
Drugs given orally un	less otherwise stated.
Acetazolamide	Carbonic anhydrase inhibitor. Low efficacy but has a specific role in epilepsy associated with menstruation; also used as adjunctive drug for partial and tonic-clonic seizures. Given orally, or by intravenous or intramuscular injection.
Brivaracetam	Synaptic vesicle protein 2A inhibitor; use for adjunctive treatment of focal seizures. Given orally or by intravenous injection or infusion.
Cannabidiol	Mechanism of antiseizure activity is unclear but not dependent on cannabinoid CB receptors; has multiple actions influencing intracellular Ca <sup>2+</sup> and adenosine signalling. Specialist use as adjunctive treatment with clobazam for seizures associated with Lennox-Gastaut epilepsy syndromes.
Carbamazepine	An active metabolite blocks neuronal sodium channels. Drug of choice for simple and complex partial (focal) seizures and as adjunctive drug when monotherapy is ineffective; also a first-line drug for generalised tonic-clonic seizures. Not recommended in tonic, atonic, absence or myoclonic seizures owing to the risk of seizure exacerbation. Also used to control neuropathic pain (see Chapter 19) and for bipolar disorder unresponsive to lithium (see Chapter 21). Given orally or rectally.
Clobazam	Benzodiazepine; GABA <sub>A</sub> receptor agonist. Used as adjunctive therapy in generalised tonic-clonic and refractory partial seizures; also specialist use for refractory absence and myoclonic seizures. Also used short term for anxiety.
Clonazepam	Benzodiazepine; GABA <sub>A</sub> receptor agonist. Specialist use for refractory absence and myoclonic seizures.
Eslicarbazepine acetate	Deacetylated derivative blocks neuronal Na <sup>+</sup> channels and is also the active metabolite of oxcarbazepine. Used for adjunctive treatment in adults with partial seizures with or without secondary generalisation.
Ethosuximide	Blocks neuronal T-type Ca <sup>2+</sup> channels. First-line monotherapy and also used as adjunctive treatment for absence seizures. Also licensed for myoclonic seizures.

#### AL GRAWANY

#### Compendium of Drugs Used to Treat Seizures and Epilepsy—cont'd.

DRUG	CHARACTERISTICS
Gabapentin	Inhibits the α2δ subunit of P/Q-type voltage-gated Ca <sup>2+</sup> channels in neocortex and hippocampus. Used as monotherapy and as an adjunct for partial seizures with or without secondary generalisation; not recommended if tonic, atonic, absence or myoclonic seizures are present. Also used for peripheral neuropathic pain (see Chapter 19) and for migraine prophylaxis (unlicensed) (see Chapter 26).
Lacosamide	Slowly inactivates voltage-gated Na <sup>+</sup> channels in active neurons; it may also affect neural connectivity through actions at collapsin-response mediator protein 2 (CRMP-2). Used as adjunctive treatment of partial seizures with or without secondary generalisation. Given orally or by intravenous infusion.
Lamotrigine	Sodium channel blocker. First-line drug for partial seizures and primary and secondary generalised tonic-clonic seizures; also used for typical absence seizures in children; has unlicensed uses as adjunctive treatment in adults if first-line treatments have failed. May exacerbate myoclonic seizures; can cause severe skin reactions. Also used in bipolar disorder (see Chapter 21).
Levetiracetam	Modulates neurotransmitter release by inhibiting synaptic vesicle protein 2A (SV2A), a transmembrane transporter on presynaptic cells. Used for partial seizures with or without secondary generalisation and as an adjunct for myoclonic seizures in juvenile myoclonic epilepsy and primary generalised tonic-clonic seizures. Unlicensed use alone or in combination for myoclonic seizures and absence seizures. Given orally or by intravenous infusion.
Oxcarbazepine	Analogue of carbamazepine; active hydroxylated metabolite is an Na <sup>+</sup> channel blocker. Used as monotherapy or adjunctive therapy for partial seizures with or without secondary generalised tonic-clonic seizures. Unlicensed use for primary generalised tonic-clonic seizures; not recommended in tonic, atonic, absence or myoclonic seizures owing to the risk of seizure exacerbation.
Perampanel	Noncompetitive antagonist of postsynaptic AMPA glutamate receptors. Used as adjunctive treatment for partial seizures with or without secondary generalised seizures.
Phenobarbital	Barbiturate; GABA <sub>A</sub> receptor agonist. Effective for all forms of epilepsy except typical absence seizures; also for status epilepticus. Causes sedation in adults, behavioural disturbances in children and drug interactions (potent CYP450 inducer). Given orally or intravenously.
Phenytoin	Sodium channel blockade and other antiseizure actions. Effective for all forms of epilepsy except absence seizures, but now little used. Potent inducer of CYP450 isoenzymes. Hepatic elimination shows interindividual variability, saturability and dose-dependence. Given orally, or intravenously in status epilepticus.
Pregabalin	Structural analogue of gabapentin; neuronal Ca <sup>2+</sup> channel blocker. Used for partial seizures with or without secondary generalisation; not recommended if tonic, atonic, absence or myoclonic seizures are present. Also used for neuropathic pain (see Chapter 19) and generalised anxiety disorder (see Chapter 20).
Primidone	Prodrug of phenobarbital. Used for all forms of epilepsy except absence seizures. Also used for essential tremor. Potent inducer of CYP450 isoenzymes.
Rufinamide	Sodium channel blocker. Used as adjunctive drug in Lennox-Gastaut epilepsy syndrome in children and adults. Also specialist use in refractory tonic or atonic seizures (unlicensed).
Stiripentol	Increases GABA possibly by blockade of synaptosomal uptake and inhibition of GABA-T. Used under expert supervision as adjunctive therapy of refractory generalised tonic-clonic seizures in Dravet epilepsy syndrome in combination with clobazam and valproate (under expert supervision).
Tiagabine	Inhibits the GABA reuptake transporter GAT-1 in neurons and glial cells. Used as adjunctive treatment for partial seizures with or without secondary generalisation not satisfactorily controlled by other antiseizure drugs. May exacerbate absence, myoclonic, tonic and atonic seizures.
Topiramate	Multiple mechanisms of action including blockade of neuronal Na <sup>+</sup> channels, activation of a subset of GABA <sub>A</sub> receptors; may also block glutamate AMPA receptors and inhibit carbonic anhydrase isoenzymes. Used alone or as adjunct in generalised tonic-clonic seizures or partial seizures with or without secondary generalisation; also used as adjunctive treatment for Lennox-Gastaut syndrome and specialist use for absence, myoclonic, tonic and atonic seizures (unlicensed). Also used in migraine.
Valproate sodium	Multiple mechanisms of action, including blockade of neuronal Na <sup>+</sup> channels, enhancement of GABA synthesis by GAD, reduction of GABA breakdown by GABA-T, and inhibition of HDACs. Drug of choice in primary generalised tonic-clonic seizures, partial seizures, generalised absences, and myoclonic seizures; also used in atypical absence seizures and as a first-line option in atonic and tonic seizures. Given orally or intravenously (see Chapter 26).
Vigabatrin	Reduces GABA breakdown by irreversibly inhibiting GABA-T. Specialist use as adjunctive treatment in refractory partial epilepsy with or without secondary generalised tonic-clonic seizures. Given orally or rectally (unlicensed route).

#### Compendium of Drugs Used to Treat Seizures and Epilepsy-cont'd.

DRUG	CHARACTERISTICS
Zonisamide	Sodium channel and T-type Ca <sup>2+</sup> channel blocker. Used as an adjunct for refractory partial seizures.
Drugs Used Primarily f	or Status Epilepticus
These drugs may als	o be used for other forms of epilepsy.
Clonazepam	Benzodiazepine; GABA <sub>A</sub> receptor agonist. Given by intravenous injection or infusion; see earlier for other information.
Diazepam	Benzodiazepine; GABA <sub>A</sub> receptor agonist. Given intravenously or rectally for status epilepticus, febrile convulsions and convulsions due to poisoning; see Chapter 20 for other details.
Fosphenytoin sodium	Ester prodrug of phenytoin; sodium channel blocker. Given intravenously or by intramuscular injection.
Lorazepam	Benzodiazepine GABA <sub>A</sub> receptor agonist. Drug of choice for seizures lasting more than 5 minutes. Given by intravenous injection; see Chapter 20.
Midazolam	Benzodiazepine; GABA <sub>A</sub> receptor agonist. Given by buccal administration; see Chapter 20.
Paraldehyde	Trimer of acetaldehyde; sedative but with little respiratory depression. Given rectally; oxidises in air and reacts with plastic and rubber.
Phenobarbital sodium	Barbiturate. Given by intravenous injection; see earlier.
Phenytoin sodium	Sodium channel blocker. Given by intravenous injection or infusion; see earlier.

AMPA, α-Amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; GABA, γ-aminobutyric acid; GABA-T, GABA transaminase; GAD, glutamic acid decarboxylase; HDAC, histone deacetylase.

## Extrapyramidal Movement Disorders and Spasticity

#### **Chapter Outline**

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## THE BASAL GANGLIA AND CONTROL OF MOTOR FUNCTION

The area of the brain known as the basal ganglia (Fig. 24.1) is part of an integrative motor circuit, the cortico-basal ganglia-thalamo-cortical loop. This neuronal loop is intimately involved in the coordination of motor function. Nuclei in the basal ganglia feed neuronal output to the cortex and receive input from the cortex.

The basal ganglia system includes several nuclei, such as the substantia nigra, the striatum, the globus pallidus and the subthalamic nucleus (see Fig. 24.1). Between these nuclei there are many complex internal neuronal loop circuits that use glutamate, dopamine, acetylcholine or  $\gamma$ -aminobutyric acid (GABA) as neuro-transmitters. In addition, there are external neuronal loop circuits that integrate neurons outside of the basal ganglia with the internal circuits of the basal ganglia. The details of the complex interplay between the neuronal circuits are beyond the scope of this book, and only general principles are given.

Degeneration of vital neurons in the basal ganglia produces disordered regulation of neuronal activity and dysfunctional motor activity. Treatment for these disorders is directed at restoring the balance among the neurotransmitters in the basal ganglia.

## PARKINSON'S DISEASE AND RELATED SYNDROMES

Parkinson's disease arises from dysfunction in the basal ganglia. It is characterised by an initial symptom triad of:

resting tremor,

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- skeletal muscle rigidity,
- bradykinesia (poverty of movement).

Later in the course of the illness, postural instability is another common feature of Parkinson's disease.

There are three clinical subtypes of Parkinson's disease: the akinetic-rigid subtype, the tremor-dominant subtype and the mixed subtype. However, it is now recognised that a variety of nonmotor symptoms of Parkinson's disease may precede the motor symptoms by several years. These include bowel dysfunction, mood disorders, sleep disorders and reduced sense of smell. A classification based on the presence of nonmotor symptoms has been proposed as this may predict progression and guide treatment.

The trigger for Parkinson's disease is unknown, but environmental toxins and specific gene mutations may be responsible in a small proportion of cases. The underlying pathology of Parkinson's disease involves loss of dopaminergic neurons in the substantia nigra pars compacta that projects to the striatum. This results from deposition of intraneuronal Lewy bodies and intraneuritic Lewy neurites, which are deposits of misfolded protein fibrillary aggregates mainly comprising  $\alpha$ -synuclein. The aggregates in conjunction with neuroinflammation, possibly involving impaired handling of free radicals generated during dopamine metabolism, produce functional changes in dopaminergic neurons of the nigrostriatal pathway. This ultimately leads to progressive neuronal death and degeneration of the nigrostriatal pathway (see Fig. 24.1). More than 50% of substantia nigra pars compacta neurons must be lost before symptoms are apparent. Degeneration of the nigrostriatal dopaminergic pathways destabilises the motor control networks.  $\alpha$ -Synuclein aggregates in



Fig. 24.1 The cortico-basal ganglia-thalamo-cortical loop. (A) Main pathways connecting the basal ganglia, the thalamus and the cortex involved in movement; (B) indicates how they are disordered in Parkinson's disease relative to (A). In Parkinson's disease the pathological changes in the basal ganglia, principally the loss of dopaminergic activity required to initiate movement, result in increased inhibitory γ-aminobutyric acid (GABA) transmission in pathways from the substantia nigra and the globus pallidus to the thalamus. Consequently, there is excessive inhibition of thalamic-cortical brainstem motor networks. Hyperactivity in the glutamatergic cortico-basal ganglia pathways and also in cholinergic pathways within the basal ganglia exacerbates the predominant inhibitory influence of the basal ganglia on movement. ACh, Acetylcholine; BG, basal ganglia; DA, dopamine; GLU, glutamate; GP, globus pallidus; SN, substantia nigra; STN, subthalamic nucleus; STR, striatum; -, inhibition; +, stimulation; dashed line, reduced activity compared to normal function in control (A).

non-dopaminergic neurons in the brainstem, olfactorylimbic region or neocortex are responsible for the nonmotor manifestations of Parkinson's disease.

The basal ganglia normally provide a persistent inhibitory influence on the initiation of movement through GABA-ergic inhibition of the thalamus. When movement is initiated, activity increases in the nigrostriatal dopaminergic pathways. Acting through  $D_1$  and  $D_2$  receptors in the striatum, dopamine releases inhibition of glutamatergic neurotransmission in thalamic and cortical motor systems. In Parkinson's disease, denervation of the substantia nigra results in reduced stimulation of the striatum and failure to remove the GABA-ergic inhibition of the thalamus. Fig. 24.1B shows functional changes in Parkinson's disease relative to normal function in Fig. 24.1A. At the same time, hyperactivity in the glutamatergic pathways projecting from the cortex to the basal ganglia reinforces the inhibitory influence of the basal ganglia on movement. Cholinergic transmission in the basal ganglia is also enhanced in Parkinson's disease, contributing particularly to tremor.

There are several parkinsonian disorders that have clinical similarities to Parkinson's disease but different underlying pathology. Examples are progressive supranuclear palsy (Steele-Richardson-Olszewski syndrome), in which parkinsonian symptoms are accompanied by oculomotor abnormalities; and multiple system atrophy, in which autonomic, bladder, cerebellar and pyramidal features are seen in variable proportions, alongside parkinsonian symptoms. In these disorders, the process also involves loss of GABA-ergic, cholinergic and catecholaminergic neurons. This explains the poor response of these conditions to treatment with dopamine-replacement therapy. Drugs that block striatal dopamine receptors, such as antipsychotic drugs (see Chapter 21), can produce a parkinsonian syndrome which also responds poorly to dopamine-replacement therapy.

#### DRUGS FOR PARKINSON'S DISEASE

The main target for drug therapy in Parkinson's disease is to enhance nigrostriatal dopaminergic activity, with a lesser role for inhibition of cholinergic activity. Glutamatergic dysregulation has not yet provided suitable targets for drug therapy.

#### DOPAMINERGIC DRUGS

#### Levodopa

#### Mechanism of action

Dopamine cannot be used to replace the underlying neurotransmitter deficiency in the basal ganglia because it does not cross the blood–brain barrier. Levodopa (L-3,4-dihydroxyphenylalanine, L-DOPA) is the immediate precursor of dopamine in its synthetic pathway and is carried by the large neutral amino acid transporter (LAT1) into the brain. It is taken up into dopaminergic neurons and converted to dopamine by L-aromatic amino acid decarboxylase, also known as DOPA decarboxylase. This provides additional dopamine for release by the surviving nigrostriatal neurons.

#### Pharmacokinetics

Levodopa is absorbed from the small intestine by the same active transport mechanism for large neutral amino acids (LAT1). Levodopa is extensively decarboxylated to dopamine in peripheral tissues such as the gut wall, liver and kidney. This reduces the amount of levodopa that reaches the brain to about 1% of an oral dose, whilst the peripheral dopamine that is generated produces unwanted effects (see next section). To minimise peripheral metabolism, levodopa is given in combination with a DOPA decarboxylase inhibitor that does not cross the blood-brain barrier. The two available inhibitors are carbidopa (combined with levodopa as co-careldopa) and benserazide (combined with levodopa as co-beneldopa). Inhibition of the peripheral metabolism of levodopa increases the amount that crosses the blood–brain barrier to 5% to 10% of the oral dose. Because the DOPA decarboxylase inhibitor itself does not cross the blood-brain barrier, it has no effect on the required conversion of levodopa to dopamine by DOPA decarboxylase within the CNS.

The half-life of levodopa is short (about 1 hour). In the early stages of Parkinson's disease, synthesis and storage of dopamine in striatal neurons are sufficient to ensure a stable response despite infrequent doses of levodopa. This becomes less reliable as the disease progresses and more neurons are lost. Modified-release formulations of levodopa are then used to provide a more continuous supply of drug to the neurons.

Poor responses to individual doses of levodopa may be due to interference with its absorption by a high-protein meal or by delayed gastric emptying, and the response can be improved by taking the drug before meals.

#### Unwanted effects

- Peripheral formation of dopamine from administered levodopa produces nausea and vomiting due to stimulation of the chemoreceptor trigger zone (CTZ) of the medullary vomiting centre, which lies outside the blood-brain barrier. Nausea and vomiting are rarely dose-limiting. Peripheral dopamine generation can also cause arrhythmias and vasodilation, which may cause postural hypotension and flushing.
- Excessive dopamine generation within the CNS produces dyskinetic involuntary movements, especially of the face and neck, or akathisia (restlessness). Psychological disturbance can also occur, including hallucinations, anxiety, abnormal dreams, confusion, impulse control disorders (such as pathological gambling and hypersexuality) and psychosis.
- Sedation, sudden onset of sleep, dizziness.

#### **Dopamine Receptor Agonists**

Examples

apomorphine, pramipexole, ropinirole, rotigotine

#### Mechanism of action

In contrast to levodopa, these drugs are direct agonists at central dopaminergic receptors (see Chapter 4). They have a longer duration of action than levodopa. Dopamine agonists have different patterns of selectivity at dopamine receptor subtypes, but activity at receptors of the D<sub>2</sub>-like family is thought to underlie their therapeutic effect in Parkinson's disease (Fig. 24.2). Bromocriptine and pergolide are dopamine agonists that are structurally related to ergot alkaloids (see Chapter 26) and have been associated with fibrotic reactions (discussed later). These drugs are now rarely used. The non-ergot dopamine receptor agonists ropinirole, pramipexole and rotigotine are used in Parkinson's disease, and also in the treatment of restless legs syndrome, hyperprolactinaemia and acromegaly (see Chapter 43).

#### **Pharmacokinetics**

Pramipexole is eliminated by hepatic metabolism and has a half-life of 8 to 12 hours. Ropinirole is eliminated by the kidneys, with a half-life of 6 hours. Rotigotine is only formulated for delivery via a transdermal patch to provide a more continuous supply of the drug.

Apomorphine is given parenterally by subcutaneous injection or continuous infusion, giving a very rapid onset of action. It has a short duration of action because of rapid hepatic metabolism with a half-life of 30 minutes.

#### Unwanted effects

Gradual dosage titration may limit unwanted effects.

- Nausea, vomiting, decreased appetite, abdominal pain, constipation.
- Dyskinesias, dizziness, nervousness, fatigue, sedation, sudden onset of sleep.
- Hallucinations and confusion, which are more frequent than with levodopa.
- Skin reactions (with rotigotine transdermal patches).
- Postural hypotension, peripheral oedema.
- Ergot-derived drugs such as bromocriptine and pergolide (now rarely used) can cause peripheral vasospasm (especially in people with Raynaud's phenomenon), pulmonary, pericardial and retroperitoneal fibrosis, and fibrotic cardiac valve lesions (leading to valve regurgitation).

#### Selective Monoamine Oxidase Type B Inhibitors

Examples

rasagiline, selegiline

#### Mechanism of action and effects

These drugs are irreversible inhibitors of the enzyme monoamine oxidase (MAO), which is responsible for the intraneuronal degradation of monoamine neurotransmitters (see Chapter 4 and Fig. 22.3). At low



**Fig. 24.2** The major effects of drugs on the dopaminergic nerve terminal in the central nervous system. Drugs act at a number of different sites to amplify dopaminergic signalling. *COMT*, Catechol-O-methyltransferase; *DA*, dopamine; *DOPAC*, 3,4-dihydroxyphenylacetic acid; *HVA*, homovanillic acid; *MAO-B*, monoamine oxidase B; +, stimulation; –, inhibition.

doses, they are relatively selective for the MAO-B isoenzyme found in the striatum. This isoenzyme is distinct from MAO-A, which is also present in the gut wall and other peripheral tissues (see Table 4.4). Interactions with drugs and foods containing tyramine, which is a problem with conventional nonselective MAO inhibitor (MAOI) antidepressants, do not occur with these MAO-B-selective drugs (see Chapter 22 for explanation). Selective MAO-B inhibitors prolong the duration of action of dopamine and reduce levodopa dosage requirement by about one-third, but only produce modest clinical benefit when used alone.

#### **Pharmacokinetics**

Selegiline and rasagiline have short half-lives (1–3 hours) due to rapid hepatic metabolism, but their duration of action is longer due to irreversible inhibition of their enzyme target. Selegiline undergoes metabolism in part to the L-isomers of amfetamine and metamfetamine, which have long half-lives and may contribute to unwanted neuropsychiatric effects.

#### Unwanted effects

• Nausea, dry mouth, dyspepsia, constipation, diarrhoea.

- Insomnia, agitation, confusion, hallucinations, headache, dizziness, vertigo.
- Arthralgia, myalgia.

#### Catechol-O-Methyltransferase Inhibitors

Examples	
entacapone, opicapone, tolcapone	

#### Mechanism of action and effects

Catechol-O-methyltransferase (COMT) is normally responsible for metabolism of 10% to 30% of levodopa, both peripherally and in the CNS, converting it to the inactive compound 3-O-methyldopa (see Chapter 4). In the presence of a peripheral DOPA decarboxylase inhibitor, COMT is responsible for most of the peripheral metabolism of levodopa. Inhibition of COMT produces a 50% increase in the motor response to levodopa combined with a peripheral decarboxylase inhibitor. The dose of levodopa may therefore need to be reduced when a COMT inhibitor is started. COMT inhibitors are generally less well tolerated than dopamine agonists and MAO-B inhibitors.

#### Pharmacokinetics

Entacapone, opicapone and tolcapone are rapidly but variably absorbed from the gut. They are metabolised in the liver and entacapone and tolcapone have short half-lives; opicapone has a slow terminal elimination phase and an extended duration of action (>24 h). Entacapone and opicapone do not cross the blood– brain barrier, and therefore only inhibit peripheral COMT. Tolcapone crosses the blood–brain barrier and has greater efficacy but causes more unwanted effects.

#### Unwanted effects

- Dry mouth, nausea, abdominal pain, diarrhoea, constipation.
- Dyskinesias, dystonias, hallucinations, confusion, insomnia.
- Reddish-brown discoloration of urine.
- Sweating.
- Risk of hepatotoxicity limits tolcapone to specialist use.

#### ANTIMUSCARINIC DRUGS

#### Examples

orphenadrine, procyclidine, trihexyphenidyl hydrochloride

#### Mechanism of action and effects

Drugs that block central muscarinic receptors (see Chapter 4) are rarely used in Parkinson's disease. They have little effect on bradykinesia and are less effective than levodopa for treating tremor and rigidity.

#### **Pharmacokinetics**

Antimuscarinic drugs used for parkinsonian symptoms undergo hepatic metabolism and have half-lives in the range of 3 to 16 hours.

#### Unwanted effects

Tolerability of the antimuscarinic drugs varies, and changing to an alternative may be helpful if there are unwanted effects.

- Blockade of peripheral muscarinic receptors (see Chapter 4) causes constipation, dry mouth, urinary retention and blurred vision. Reduced saliva production can be helpful in some people with Parkinson's disease, in whom excessive salivation (sialorrhoea) is a problem.
- Blockade of CNS muscarinic receptors can produce confusion, memory impairment and restlessness in the elderly.

#### AMANTADINE

#### Mechanism of action and effects

Amantadine is believed to act in Parkinson's disease as a weak glutamate *N*-methyl-*D*-aspartate (NMDA) receptor antagonist, reducing glutamatergic overactivity (see Fig. 24.1). It also stimulates release of dopamine stored in nerve terminals, reduces reuptake of released dopamine by the presynaptic neuron (see Fig. 24.2) and blocks muscarinic receptors. However, its effectiveness is low and there is no strong evidence that it improves motor symptoms or quality of life. It is reserved as an adjunctive option for patients with dyskinesia not adequately controlled by other treatments. Amantadine is also used as an antiviral drug (see Chapter 51).

#### **Pharmacokinetics**

Amantadine is excreted unchanged by the kidneys. It has a long half-life (10–15 hours).

#### Unwanted effects

Most are mild and dose related.

- Anorexia, nausea, dry mouth.
- Peripheral oedema, postural hypotension.
- Headache, mood changes, hallucinations, slurred speech.
- Livedo reticularis.

#### MANAGEMENT OF PARKINSON'S DISEASE AND RELATED SYNDROMES

Options for treatment of motor symptoms early in Parkinson's disease include levodopa (with a peripheral decarboxylase inhibitor), dopamine receptor agonists and selective MAO-B inhibitors. Levodopa is the most effective treatment for motor symptoms in Parkinson's disease and it should be offered to all those in whom these symptoms are impacting on quality of life. However, it causes dyskinesia more commonly than dopamine agonists. Therefore, for people with mild symptoms, dopamine agonists, which are less effective but have fewer motor complications, may offer a more favourable risk-benefit profile. However, dopamine agonists do produce more nonmotor unwanted effects, particularly confusion, which makes them less appropriate in older people and those with existing cognitive dysfunction. Monoamine oxidase inhibitors are an alternative option that are less effective than levodopa but better tolerated.

There has been concern that exposure to levodopa early in the disease may worsen later motor symptoms. However, more recent studies have indicated that initial treatment choice has little impact on long-term outcome, and that changes in response to levodopa are more likely due to progression of the disease and associated changes in levodopa pharmacodynamics. Fluctuating motor responses with prolonged use of levodopa are due to a change from a long-duration response to a short-duration response to each dose as the population of dopaminergic neurons reduces and their dopamine storage capacity is limited. There are several patterns of motor complication:

- The duration of symptomatic benefit after each dose may be reduced ('wearing off').
- The dose may take longer to work ('delayed on').
- The dose may sometimes fail to produce any improvement ('no on').
- Rapid swings between severe bradykinesia and toxic dyskinesias ('on-off').

Variations in motor performance should be treated by a reduction in total levodopa dosage and adding another drug, with the aim of maintaining more stable delivery of levodopa to the neurons. Successful combinations include:

- Levodopa and a peripheral decarboxylase inhibitor with either an MAO-B inhibitor such as selegiline or a COMT inhibitor such as entacapone.
- Adding a dopaminergic receptor agonist.
- Subcutaneous apomorphine, which can be invaluable to abort the 'off' state in advanced disease. Apomorphine is highly emetogenic and may need to be given initially with domperidone, an antiemetic dopamine receptor blocker that does not cross the blood-brain barrier (see Chapter 32). Domperidone should be taken 30 minutes before apomorphine, but it is often necessary to 'load' with domperidone for 24 hours before starting apomorphine. The risks associated with long-term use of domperidone should be discussed, and the drug discontinued as soon as possible.
- Infusion of levodopa gel into the jejunum via a gastrostomy tube can improve motor function in latestage disease.
- Addition of amantadine may reduce levodopaassociated dyskinesias.

For people who respond to levodopa but continue to have marked motor complications despite optimising therapy, high-frequency bilateral electrical stimulation of the subthalamic nuclei via implanted electrodes can be used to switch off their activity. It is preferred to surgical ablation of the nuclei because it allows the clinician to vary the site and area of the stimulation with time. Depression may be a problem with this treatment. Surgical treatment is sometimes advocated in advanced Parkinson's disease. Severe tremor may respond to stereotactic thalamotomy or pallidotomy. Pallidotomy can also be helpful for severe dyskinesias.

Antimuscarinic agents are rarely used for idiopathic Parkinson's disease, but may be given for tremor that responds inadequately to levodopa. They can also be helpful in reducing excessive salivation.

Symptomatic treatment for a variety of associated symptoms may be necessary in Parkinson's disease. These include treatment of autonomic symptoms such as postural hypotension, vomiting, constipation, urinary frequency and impotence. Parkinsonian psychosis affects 70% of those who have had the disorder for 10 years. It should be treated with an atypical antipsychotic drug, such as quetiapine (see Chapter 21).

Drugs improve symptoms and quality of life in idiopathic Parkinson's disease, but there is little evidence that they alter the underlying rate of neuronal degeneration. Levodopa therapy increases life expectancy, probably by reducing complications such as aspiration pneumonia. Several studies are underway to look at a potential neuroprotective effect of dopamine receptor agonists. However, neuroprotective strategies have so far proved disappointing.

Drug-induced parkinsonism (e.g. with antipsychotics; see Chapter 21) responds poorly to levodopa because the causative drug occupies the  $D_2$  receptors. Parkinsonism resulting from antipsychotic drug therapy responds best to withdrawal of the drug. If this is not possible, then an atypical antipsychotic drug should be used and an antimuscarinic drug used to treat residual symptoms.

#### OTHER INVOLUNTARY MOVEMENT DISORDERS (DYSKINESIAS)

Dyskinesias are abnormal involuntary movement disorders that can present in several ways.

- Tremor is a rhythmic sinusoidal movement caused by repetitive muscle contractions. Tremors are classified by the frequency of oscillations and by the context in which they occur – for example, a rest tremor (usually parkinsonian) or a postural tremor which may be worse on movement (kinetic tremor).
- Akathisia is a compulsive need to move, often in stereotyped patterns.
- *Chorea* is irregular, unpredictable, jerky and nonstereotyped movement that involves several different parts of the body.
- *Athetosis* is slow, writhing movements usually affecting the fingers, hands, toes and feet and sometimes arms, legs, neck and tongue.
- Hemiballismus is a unilateral large-amplitude flailing movement usually affecting both the proximal arm and/or leg.
- Myoclonus is rapid shock-like movements that are often repetitive.
- Tics are rapid repetitive movements that can sometimes be controlled voluntarily, but with difficulty, for short periods.
- *Dystonias* are sustained spasms of muscle contraction that distort a part of the body into a dystonic posture. Dystonias are often exaggerated by voluntary movement. Examples include spasmodic torticollis (twisted neck) and oculogyric crisis.

Involuntary movement disorders have numerous causes and can be precipitated by drug therapy. For example, a tremor can be caused by lithium, sodium valproate, tricyclic antidepressants and sympathomimetics. Antipsychotic drugs (see Chapter 21) are associated with a wide variety of movement disorders, ranging from acute dystonia to akathisia, and tardive dyskinesias (involving choreodystonic movements, often of the face and mouth). The dopamine receptor antagonist metoclopramide (see Chapter 32) can produce acute dystonias, especially in children and young adults, and occasionally tardive dyskinesias.

Some movement disorders have a genetic origin. An example is Huntington's disease, an autosomal dominant hereditary condition, which presents in adult life with progressive impairment of motor coordination, bizarre limb movements and dementia. The pathology is a loss of GABA inhibitory neurons projecting from the neostriatum to the substantia nigra. This reduces inhibitory activity on dopaminergic cells in the substantia nigra and the globus pallidus. These cells generate uncoordinated discharges that produce bursts of excess motor activity.

#### MONOAMINE DEPLETOR FOR MOVEMENT DISORDERS

#### Tetrabenazine

#### Mechanism of action

Tetrabenazine inhibits the vesicular monoamine transporter 2 protein (VMAT2) in CNS neurons that transport newly synthesised monoamines from the cytosol into synaptic vesicles for storage and later release. The cytosolic monoamines, including dopamine, are degraded prematurely by MAO. Tetrabenazine is mainly used for Huntington's disease, hemiballismus, senile chorea and related disorders.

#### **Pharmacokinetics**

Tetrabenazine is extensively metabolised by firstpass metabolism in the liver to an active derivative. The half-lives of parent drug and metabolite are 7 and 12 hours, respectively.

#### Unwanted effects

- Vomiting, dysphagia, constipation, diarrhoea.
- Postural hypotension.
- Anxiety, depression, drowsiness, insomnia, parkinsonism.

#### MANAGEMENT OF DYSKINESIAS AND DYSTONIAS

Treatment options depend on the cause. Drug-induced tremor will usually resolve rapidly on discontinuation of the medication. Some common strategies for other conditions are listed here.

- *Tardive dyskinesias* associated with antipsychotic treatment (see Chapter 21) may become worse on drug withdrawal, but then slowly improve over many months. There is no effective treatment.
- Enhanced physiological tremor (e.g. anxiety tremor or tremor of thyrotoxicosis) is a postural tremor that may respond to a nonselective β-adrenoceptor antagonist such as propranolol (see Chapter 5).

- *Essential tremor* is a postural tremor that usually presents later in life and can also affect the head and jaw. It can be suppressed by a β-adrenoceptor antagonist or by primidone (see Chapter 23). Gabapentin or topiramate are alternative second-line treatments (see Chapter 23).
- *Dystonic tremor* is a jerky tremor that affects dystonic body parts or is task-specific (such as writing or playing a musical instrument). It can respond to drugs that are effective for essential tremor.
- *Choreiform movements* sometimes respond to tetrabenazine or an atypical antipsychotic drug (see Chapter 21). Carbamazepine or valproate (see Chapter 23) are also used but are generally less effective.
- Athetoid movements are usually treated with an antipsychotic drug such as haloperidol or risperidone.
- *Hemiballismus* is usually treated with an antipsychotic drug such as haloperidol or risperidone (see Chapter 21). Baclofen (see later), valproic acid (see Chapter 23) and tetrabenazine can also be helpful.
- *Tics* that do not respond to psychological therapy can be treated with clonidine (see Chapter 6) or tetrabenazine. An atypical antipsychotic drug such as risperidone (see Chapter 21) may be effective. Injection of botulinum toxin can be helpful, or deep brain stimulation for severe disabling tics.
- Myoclonus has multiple causes which may need specific treatment. If a drug is required to suppress myoclonus, the first choices are clonazepam or sodium valproate (see Chapter 23). Alternatives are levetiracetam, phenytoin, piracetam and primidone.
- Acute dystonias often respond to an antimuscarinic drug such as trihexyphenidyl given orally, or procyclidine given by intramuscular or intravenous injection for more severe symptoms.
- Persistent dystonias may respond to an antimuscarinic drug or to enhanced inhibitory GABA neurotransmitter activity with baclofen (discussed later), sodium valproate or clonazepam (see Chapter 23). A small number of early-onset dystonias will respond to levodopa. Focal dystonias can be treated by botulinum toxin (see Chapter 27) injected into the dystonic muscles to provide temporary relief by blocking transmission at the neuromuscular junction. Spread of the paralytic effect to adjacent muscles can cause problems; for example, dysphagia after injection of neck muscles for torticollis. Deep brain stimulation is considered for refractory disabling dystonia.

#### SPASTICITY

Spasticity is a state of sustained skeletal muscle tone or tension which is often associated with an increase in stretch reflexes. The increase in muscle tone arises from continued spinal reflex activity in the absence of inhibitory input from the motor cortex, such as resulting from a stroke, spinal cord injury or multiple sclerosis. Spasticity is often associated with partial or complete loss of voluntary movement and can produce painful and deforming shortening of the muscle (contractures).

#### DRUGS FOR SPASTICITY

#### Diazepam

Diazepam and other benzodiazepines enhance spinal inhibitory pathways by facilitating GABA-mediated inhibitory neurotransmission (see Chapter 20). The main disadvantages are sedation, a result of inhibitory activity in higher centres at the doses necessary for a spasmolytic action, and dependence.

#### Baclofen

#### Mechanism of action

Baclofen is an analogue of GABA that inhibits reflex excitatory activity in the spinal cord. It is an agonist at GABA<sub>B</sub> receptors and hyperpolarises excitatory glutamatergic neurons by increasing K<sup>+</sup> conductance, which inhibits neurotransmitter release. Baclofen also has an analgesic effect, probably by inhibition of the release of substance P in pain pathways (see Chapter 19).

#### **Pharmacokinetics**

Baclofen is usually given orally, is eliminated by the kidneys and has a short half-life (3–4 hours). It can be given by intrathecal infusion using an implantable pump for severe spasticity that is resistant to oral therapy.

#### Unwanted effects

- Sedation, drowsiness, confusion, depression, dizziness, ataxia, seizure, headache.
- Nausea, gastrointestinal disturbances.
- Urinary disturbances.
- Sudden withdrawal can precipitate hyperactivity, autonomic dysfunction and seizures.

#### Cannabinoids

Cannabidiol with dronabinol.

#### Mechanisms of action, effects and uses

Cannabinoids act largely through the cannabinoid receptors 1 and 2 (CB1 and CB2). CB1 is found in the peripheral and central nervous system, particularly the hippocampus and amygdala, whereas CB2 receptors are distributed through the peripheral nervous system and lymphoid tissue. Dronabinol is a synthetic form of  $\Delta$ -9-tetrahydrocannabinol. It acts as a weak partial agonist of the CB1 receptor, whereas cannabidiol interacts with the CB1 receptor as a negative allosteric modulator. In addition to their actions at the CB1 and CB2 receptors, cannabinoids have diverse effects that include agonist activity at serotonin (5-HT<sub>1A</sub>, 5-HT<sub>2A</sub> and 5-HT<sub>3A</sub>) receptors,  $\alpha_1$  adrenoceptors and  $\mu$  opioid receptors; and to inhibit noradrenaline, dopamine,

serotonin and GABA uptake. These multiple actions on neurotransmission underlie their several effects of potential clinical relevance, including as analgesic (see Chapter 19), anticonvulsant (see Chapter 23), and antiemetic (see Chapter 32) agents, and for skeletal muscle relaxation. A combined preparation of cannabidiol and dronabinol is an option for the treatment of spasticity, but is reserved for cases in which other treatments have been insufficiently effective.

#### **Pharmacokinetics**

Cannabidiol and dronabinol is given as an oral spray. They are rapidly absorbed from the orobuccal mucosa and widely distributed, particularly to adipose tissue. They are metabolised in the liver to active metabolites, which are eliminated in urine and faeces. Their halflives are biphasic, with a prolonged terminal phase due to redistribution from adipose tissue.

#### Unwanted effects

Dizziness, particularly in the initiation phase, and fatigue are very common unwanted effects of cannabidiol. Dronabinol likely contributes most to the unwanted psychomimetic effects, including on mood, concentration, and memory.

#### Tizanidine

#### Mechanisms of action

Tizanidine is an  $\alpha_2$ -adrenoceptor and imidazoline receptor agonist that decreases activity in excitatory descending pathways in the spinal cord. This inhibits reflex activity in motor neurons.

#### **Pharmacokinetics**

Tizanidine is well absorbed from the gut but undergoes extensive first-pass metabolism in the liver. Its elimination half-life is 2 to 4 hours.

#### Unwanted effects

These are mainly dose related and can be minimised by slow dose titration. They include:

- Drowsiness, fatigue, dizziness.
- Dry mouth, nausea, gastrointestinal disturbances.
- Hypotension. Tizanidine has only 10% of the antihypertensive activity of the α<sub>2</sub>-adrenoceptor agonist clonidine (see Chapter 6).

#### Dantrolene

#### Mechanism of action and uses

Dantrolene is an antagonist at the ryanodine receptor  $(RyR_1)$  that inhibits the release of  $Ca^{2+}$  from the sarcoplasmic reticulum of skeletal muscles (see Chapter 5) and uncouples muscle excitation from activation of the contractile apparatus.

Dantrolene is also used for the treatment of malignant hyperthermia (see Chapter 17) and as an adjunctive treatment in neuroleptic malignant syndrome (see Chapter 21).

#### **Pharmacokinetics**

Dantrolene is metabolised in the liver. It has a variable and unpredictable half-life (2–24 hours).

#### **Unwanted effects**

- Drowsiness, dizziness, headache, weakness, malaise, seizures.
- Anorexia, nausea, diarrhoea, abdominal pain.
- Pericarditis, pleural effusion.
- Rash.
- Dose-related risk of hepatitis.

#### MANAGEMENT OF SPASTICITY

Mild spasticity can be useful in a weak limb as the increased tone can provide postural stability or aid function. In this situation, drug therapy can be detrimental by producing muscle hypotonia. Contractures from excessive spasticity following a stroke or in multiple sclerosis are most effectively prevented by physiotherapy and can be helped with orthoses that support the limb and correct deformities.

Drugs are most useful for deforming or painful spasticity, particularly if the person is not ambulant. Baclofen and gabapentin (see Chapter 23) are considered the drugs of first choice, and are most often required for spasticity associated with multiple sclerosis or spinal cord injury. They can be used in combination, if required. Tizanidine, dantrolene and benzodiazepines (see Chapter 23) are alternative options, with the latter of most use for nocturnal spasms. The combined preparation of cannabidiol with dronabinol is an option for spasticity in multiple sclerosis that has not responded to other treatments. Intramuscular injection of botulinum toxin (discussed previously, and in Chapter 27) produces 'chemodenervation' and is used for severe focal spasticity. It produces an effect after 24 to 72 hours, which is maximal after 2 weeks and lasts for 2 to 3 months. Severe spasticity that fails to respond to standard treatments can be treated with intrathecal baclofen infusion.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. In Parkinson's disease, there is abnormally low dopaminergic activity and increased GABA-ergic, glutamatergic and cholinergic activity.
- Symptoms of Parkinson's disease become apparent when approximately 20% of striatal dopaminergic neurons have been lost.
- 3. Glutamate receptor antagonists are being investigated for use in Parkinson's disease.
- 4. Levodopa has a half-life of over 24 hours.
- 5. Treatment with levodopa may lead to dyskinesias and on–off fluctuations.
- 6. In early Parkinson's disease, the dopamine receptor agonist ropinirole is as effective as levodopa.

- 7. Antimuscarinic drugs such as trihexyphenidyl have a low incidence of unwanted effects.
- Pramipexole is a potent agonist at dopamine D<sub>3</sub> receptors.
- Some of the movement disorder in Parkinson's disease arises from abnormalities in non-dopaminergic innervated areas of the brain.
- 10. The chemoreceptor trigger zone (CTZ) is stimulated by peripheral dopamine generated from levodopa.
- 11. Selegiline inhibits monoamine oxidase and causes the 'cheese' reaction with ingestion of tyraminecontaining foods.
- 12. Entacapone is a direct dopamine receptor agonist.
- 13. Tetrabenazine may cause depression.
- 14. Dantrolene is useful in spasticity, as it reduces the Ca<sup>2+</sup> release that contributes to contraction of skeletal muscle.
- 15. Baclofen enhances muscle tone.
- 16. Botulinum toxin has a duration of action of up to 3 months.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which is the most accurate statement about drugs used for Parkinson's disease?
  - A. Levodopa slows the progress of Parkinson's disease.
  - B. Bromocriptine can cause fibrosis.
  - C. More than 50% of oral levodopa enters the brain unaltered.
  - D. Currently used drugs for Parkinson's disease selectively stimulate D<sub>1</sub>-type dopamine receptors.
  - E. Carbidopa inhibits DOPA decarboxylase in the CNS.
- 2. Which adjunctive therapy will not increase dopamine levels in the brain when given together with levodopa?
  - A. Entacapone
  - B. Benserazide
  - C. Carbidopa
  - D. Procyclidine
  - E. Rasagiline
- 3. Which unwanted effect is unlikely to occur following levodopa administration?
  - A. Nausea and vomiting
  - B. Arrhythmias
  - C. Orthostatic hypotension in the elderly
  - D. Slowing of heart rate
  - E. Dyskinesia

#### **CASE-BASED QUESTIONS**

A 75-year-old woman has been suffering from progressive symptoms of Parkinson's disease for 5 years. From the outset she has been treated continuously with levodopa, but problems have developed in controlling the symptoms with this drug.

- 1. What is the cause of Parkinson's disease?
- 2. What symptoms is this woman likely to have?

- 3. Levodopa was given as co-beneldopa; what are the benefits of this formulation compared with levodopa alone?
- 4. What difficulties can arise in controlling symptoms with co-beneldopa in the later stages of treatment?
- 5. What changes in therapy could then be considered?

#### ANSWERS

#### **TRUE/FALSE ANSWERS**

- 1. **True.** Loss of dopaminergic neurons in the nigrostriatal pathway creates abnormal activity within GABA-ergic, glutamatergic and cholinergic pathways.
- 2. **False.** Symptoms usually develop when more than 50% of neurons have been lost.
- 3. **True.** There is overactivity of some glutamatergic neurons in parkinsonism; weak antagonism of glutamate NMDA receptors may contribute to the action of amantadine.
- 4. **False.** The short half-life of levodopa (1–2 hours) may contribute to end-of-dose deterioration; modified-release formulations provide a more continuous supply of the drug.
- 5. **True.** About 50% of people will experience these complications after 5 years of treatment with levodopa.
- 6. **True.** In very early Parkinson's disease clinical trials of up to 6 months' duration indicate ropinirole is as effective as levodopa.
- 7. **False.** Trihexyphenidyl can cause minor unwanted effects but also severe confusion, particularly in the elderly.
- 8. **True.** Pramipexole is a high-affinity  $D_3$  agonist, but also an agonist at other members of the  $D_2$ -like receptor subfamily.
- 9. **True.** Tremor and rigidity in particular may occur because of transmitters other than dopamine.
- 10. **True.** The CTZ in the area postrema of the medulla is outside the blood–brain barrier and can be activated by dopamine synthesised peripherally from levodopa.
- 11. **False.** Selegiline (and rasagiline and safinamide) selectively inhibit MAO-B, leaving MAO-A active to metabolise tyramine in cheese and other foods (see Fig. 22.3).
- 12. **False.** Entacapone is a peripheral inhibitor of catechol-O-methyltransferase (COMT), so it preserves levodopa for access to the brain and subsequent conversion to dopamine; tolcapone crosses the blood–brain barrier and also blocks COMT in the CNS.
- 13. **True.** The risk of depression with tetrabenazene is consistent with its depletion of CNS monoamines (see Chapter 22).
- 14. **True.** Dantrolene is a ryanodine receptor (RyR1) antagonist that blocks Ca<sup>2+</sup> release from

sarcoplasmic reticulum; it therefore reduces excitation–contraction coupling in skeletal muscle.

- 15. **False.** Baclofen improves spasticity by inhibiting excitatory synapses, probably acting at  $GABA_{B}$  receptors.
- 16. **True.** Botulinum toxin is used in spasticity by intramuscular injection and inhibits local acetyl-choline release for up to 3 months.

#### **OBA ANSWERS**

- 1. **Answer B** is correct.
  - A. Incorrect. Use of levodopa in the early stages of Parkinson's disease may increase the risk of dyskinesias and response fluctuations in the later stages.
  - B. **Correct.** Bromocriptine and the other ergotderived dopamine receptor agonists can cause pulmonary, retroperitoneal and pericardial fibrosis.
  - C. Incorrect. Only 1% to 2% of an oral dose of levodopa enters the brain in the absence of a peripheral decarboxylase inhibitor.
  - D. Incorrect. Drugs for Parkinson's disease are thought to act mainly by agonist activity at the  $D_2$ -like receptor family  $(D_2, D_3, D_4)$ .
  - E. Incorrect. Carbidopa is a peripheral DOPA decarboxylase inhibitor; it does not cross the bloodbrain barrier.
- 2. Answer D is correct.
  - A. Incorrect. Entacapone inhibits peripheral catechol-O-methyltransferase (COMT), increasing the amount of levodopa crossing into the brain and its subsequent conversion to dopamine.
  - B. Incorrect. Benserazide is a peripheral decarboxylase inhibitor that increases the amount of levodopa crossing into the brain and its subsequent conversion to dopamine.
  - C. Incorrect. Carbidopa is a peripheral decarboxylase inhibitor that increases the amount of levodopa crossing into the brain and its subsequent conversion to dopamine.
  - D. **Correct.** Procyclidine is a muscarinic receptor antagonist and will not affect dopamine levels.
  - E. Incorrect. Rasagiline is an irreversible selective MAO-B inhibitor that will reduce the breakdown of dopamine within the brain.
- 3. Answer D is correct.
  - A. Incorrect. Dopamine is a neurotransmitter in the chemoreceptor trigger zone and stimulates nausea and vomiting.
  - B. Incorrect. Dopamine can stimulate βadrenoceptors in the heart, increasing the likelihood of arrhythmias.
  - C. Incorrect. Orthostatic hypotension is common, particularly in the elderly.
  - D. **Correct.** Dopamine may increase myocardial contractility, but without affecting the heart rate.
  - E. Incorrect. Excessive CNS dopamine concentrations are associated with involuntary movements.

#### **CASE-BASED ANSWERS**

- Parkinson's disease results from degeneration of more than 50% of dopaminergic neurons in the substantia nigra. The cause of this degeneration is unknown, but hypotheses include the actions of reactive oxygen species, neurotoxins, immune disturbances and specific gene mutations. The consequent neurochemical disturbances include overactivity of cholinergic, GABA-ergic and glutamatergic pathways. Current therapies aim to supplement dopaminergic activity or decrease cholinergic activity.
- 2. People with Parkinson's disease have akinesia, rigidity and tremor, possibly from inhibition of the motor cortical system, whereas the descending inhibition of the brainstem locomotor areas may contribute to abnormalities of gait and posture.
- 3. Levodopa is the immediate precursor of dopamine and is transported into the CNS by the large neutral amino acid transporter and converted into dopamine, whereas dopamine itself cannot cross the blood-brain barrier. Levodopa causes nausea and vomiting because it also metabolises to dopamine in the periphery, which activates the chemoreceptor trigger zone (CTZ). Co-beneldopa is a combination of levodopa with benserazide, a peripheral decarboxylase inhibitor, which prevents the breakdown of levodopa to dopamine in the periphery. The peripheral dopamine receptor antagonist domperidone can also be used to protect against nausea and vomiting.
- 4. Levodopa remains the most effective treatment for Parkinson's disease. There is no convincing evidence that levodopa accelerates neurodegeneration, and survival is reduced if treatment is delayed until greater disability is present. In time, and despite long-term treatment with levodopa, there is an increasing incidence of dyskinesias and on-off fluctuations of effect, although most people continue to derive benefit throughout the duration of their illness. At the end of five years of treatment, approximately 50% of those treated will experience reduced effectiveness with levodopa. These motor fluctuations can be a result of unpredictable pharmacokinetic changes, such as unpredictable absorption across the blood-brain barrier or delayed gastric emptying, or because further loss of dopaminergic neurons during disease progression further reduces neuronal storage capacity for dopamine.
- 5. Resolving these problems is highly individual, but dyskinesia and on-off effects may be helped

by dosage adjustment (up or down), by shortening the dose interval or by using modified-release formulations. An antimuscarinic drug can be given with levodopa and may be useful in the treatment of tremor, but could cause confusion and hallucinations, particularly in this elderly person. Other drugs which inhibit dopamine metabolism can also be introduced. The selective MAO-B inhibitors selegiline or rasagiline are far more effective as adjuncts to levodopa than as monotherapy. The COMT inhibitor entacapone reduces the peripheral breakdown of levodopa and dopamine and prolongs the benefits of levodopa therapy. A direct dopamine receptor agonist could also be added to levodopa treatment for this woman; non-ergot derivatives including ropinirole, rotigotine (as a transdermal patch) or pramipexole are preferred. Apomorphine, a  $D_1/D_2$ receptor agonist, given subcutaneously, can also be used to counteract the 'off' periods in advanced disease, with domperidone given to reduce its emetic effects.

#### FURTHER READING

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#### Compendium of Drugs Used to Treat Extrapyramidal Movement Disorders and Spasticity.

DRUG	CHARACTERISTICS	
Given orally unless otherwise stated.		
Levodopa and DOPA Deca	arboxylase Inhibitors	
Levodopa (L-DOPA)	Metabolised by DOPA decarboxylase to dopamine. Normally given with carbidopa or benserazide to reduce peripheral metabolism.	
Benserazide	Combination of benserazide with levodopa (in ratio 1:4) is co-beneldopa.	
Carbidopa	Combination of carbidopa with levodopa (in ratio of 1:4 or 1:10) is co-careldopa.	
Dopamine Receptor Agon	ists	
The ergot-derived drugs bromocriptine, cabergoline and pergolide are considered only when symptoms are not controlled by a non-ergot dopamine receptor agonist.		
Apomorphine	D <sub>2</sub> and D <sub>1</sub> receptor agonist; opioid derivative. Given by subcutaneous injection; not effective orally.	
Bromocriptine	D <sub>2</sub> receptor agonist. Ergot derivative; risk of fibrotic reactions.	
Cabergoline	D <sub>2</sub> receptor agonist. Ergot derivative; risk of fibrotic reactions.	
Pergolide	D <sub>2</sub> and D <sub>1</sub> receptor agonist. Ergot derivative; risk of fibrotic reactions.	
Pramipexole	Selective $D_3$ receptor agonist, some activity at $D_2$ receptors.	
Ropinirole	$D_3$ and $D_2$ receptor agonist.	
Rotigotine	$D_3$ , $D_2$ and $D_1$ receptor agonist. Administered via a transdermal patch.	
Selective Monoamine Oxi	dase (MAO) Type B Inhibitors	
Rasagiline	Irreversible MAO-B inhibitor. Used alone or in combination with levodopa.	
Safinamide	Reversible inhibitor of MAO-B, with additional actions on Na <sup>+</sup> channels and glutamate receptors.	
Selegiline	Irreversible MAO-B inhibitor. Used alone or in combination with levodopa. Amfetamine metabolites may contribute to unwanted neuropsychiatric effects. Oral and buccal formulations.	
Catechol-O-Methyltransf	erase (COMT) Inhibitors	
Entacapone	Does not cross blood-brain barrier. Used as adjunct to levodopa for end-of-dose deterioration. Also available in combination formulation with levodopa and carbidopa.	
Opicapone	Does not cross blood–brain barrier. Used as adjunct to levodopa for end-of-dose deterioration. Longer duration of action than the other COMT inhibitors (>24 h).	
Tolcapone	Crosses blood-brain barrier. Specialist use as adjunct to levodopa for end-of-dose deterioration. Risk of hepatotoxicity.	
Other Dopaminergic Drug	S	
Amantadine	Enhances dopamine release, blocks dopamine reuptake; weak dopamine receptor agonist and glutamate NMDA receptor antagonist.	
Antimuscarinic Drugs		
Efficacy of the antimuso used.	carinic drugs used in Parkinson's disease is similar; they have little effect on bradykinesia and are little	
Orphenadrine	Given orally.	
Procyclidine	Given orally or by intramuscular or intravenous injection.	
Trihexyphenidyl hydrochloride	Also known as benzhexol.	
<b>Drugs Used for Essential</b>	Tremor, Chorea, Tics and Related Neurological Disorders	
Given orally.		
Chlorpromazine	Antipsychotic; see Chapter 21.	
Clonidine	$\alpha_2$ -Adrenoceptor agonist; see Chapter 6.	
Haloperidol	Antipsychotic; see Chapter 21.	
Pimozide	Antipsychotic; see Chapter 21.	
Piracetam	Mechanism uncertain; used as adjunctive treatment for cortical myoclonus.	
Primidone	Barbiturate; see Chapter 23.	
Propranolol	β-Adrenoceptor antagonist; see Chapter 8.	
Sulpiride	Antipsychotic; see Chapter 21.	
Tafamidis meglumine	Inhibits amyloid formation. Specialist use for treatment of transthyretin familial amyloid polyneuropathy (TTR-FAP) to delay peripheral neurological impairment.	

#### Compendium of Drugs Used to Treat Extrapyramidal Movement Disorders and Spasticity—cont'd.

DRUG	CHARACTERISTICS	
Tetrabenazine	Vesicular monoamine transporter (VMAT2) inhibitor; depletes monoamines from central nervous	
	system neurons.	
Trihexyphenidyl	Antimuscarinic; discussed previously.	
Drugs Used to Treat Spas	ticity	
Given orally unless otherwise stated		
Baclofen	$GABA_{B}$ receptor agonist; suppresses spinal reflexes. Given orally or by intrathecal injection.	
Cannabidiol with	Cannabinoid CB1 and CB2 receptor modulators, with additional actions at other monoamine	
dronabinol	receptors and uptake transporters. Given as an oral spray.	
Dantrolene	Ryanodine receptor antagonist; blocks excitation/contraction coupling in skeletal muscle. Also used for	
Diazepam	Benzodiazepine; see Chapter 20.	
Tizanidine	$\alpha_2$ -Adrenoceptor and imidazoline receptor agonist; inhibits polysynaptic motor neuron transmission.	
Other Drugs Affecting Movement		
Botulinum toxins A and B	Block acetylcholine release. Specialist use for torsional dystonias and other involuntary movements. Given by local intramuscular injection.	
Methocarbamol	Carbamate; centrally acting muscle relaxant. Given orally for short-term symptomatic relief of muscle	
	spasm.	
Quinine	Given orally for nocturnal leg cramps.	

# 25

## Other Neurological Disorders: Multiple Sclerosis, Motor Neuron Disease and Guillain–Barré Syndrome

#### **Chapter Outline**

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**MULTIPLE SCLEROSIS** 

Multiple sclerosis is a chronic autoimmune, inflammatory neurological disease of the central nervous system (CNS), characterised by an immunologically mediated demyelination of the myelin sheath of neurons in the CNS. The cause is unknown, but it may be initiated by exposure of genetically susceptible individuals to an infective agent with an antigenic structure similar to myelin basic protein (an example of molecular mimicry). The trigger initiates a peripheral immune response and the blood-brain barrier is then breached by primed T- and B-lymphocytes and macrophages. The inflammation in the brain has the characteristics of a Th1-cell autoimmune response (see Chapter 38), with the T-cells secreting inflammatory cytokines such as interferon-y, interleukin (IL)-17 and lymphotoxin (or tumour necrosis factor  $\beta$ , TNF  $\beta$ ).

The destruction of myelin is probably initiated by B-cell-derived autoantibodies. The T-cell cytokines activate macrophages that phagocytose myelin coated with antimyelin antibody, and destroy the myelin sheath around nerves, particularly in white matter. The immunological damage also affects oligodendrocytes, the cells that produce the myelin. The result of these processes is the generation of demyelinated plaques that disturb normal conduction of electrical impulses in the CNS. However, the long-term disability in multiple sclerosis is mainly due to secondary axonal damage, which occurs most extensively in the acute stages of the disease.

Multiple sclerosis usually begins in the second or third decades of life and, in 85% of cases, presents with relapsing and remitting symptoms, along with signs of multifocal CNS dysfunction. In this form of the condition, the usual clinical course is initially one of stepwise deterioration, but eventually there is Self-Assessment 339 Answers 340 Further Reading 340 Compendium of Drugs Used to Treat Multiple Sclerosis, Motor Neuron Disease and Guillain–Barré Syndrome 341

a transition to progressive deterioration (secondary progressive multiple sclerosis). In 10% of cases the course is slowly progressive from the outset (primary progressive multiple sclerosis). The remaining 5% have progressive-relapsing disease, with steady progression interrupted by intermittent flares. To secure the diagnosis, episodes of neurological dysfunction must be separated in both time and place (more than one episode in more than one area of the brain). A single clinical episode of demyelination with several areas of demyelination on magnetic resonance imaging (MRI) of the brain that have not caused symptoms is known as clinically isolated syndrome. The areas of the CNS most often involved in multiple sclerosis are the optic nerves, spinal cord, brainstem and cerebellum. Common clinical presentations are optic neuritis, weakness with spasticity, ataxia and bladder and bowel dysfunction.

#### **DISEASE-MODIFYING THERAPIES**

There is no cure for multiple sclerosis, but in relapsing-remitting disease there is increasing evidence that modulating the immune response as early as possible in the disease process can reduce disability. By contrast, most treatments are ineffective in primary or secondary progressive multiple sclerosis.

- Corticosteroids (see Chapter 44) are often used to treat an acute relapse (e.g. intravenous methylprednisolone for 3 days or oral prednisolone for 3 weeks). They probably shorten the duration of a relapse but have no effect on long-term outcome.
- Interferon beta-1a and -1b are recombinant forms of naturally occurring cytokines, administered by subcutaneous or intramuscular injection. The addition of a polyethylene glycol group to interferon beta-1a (peginterferon beta-1a) improves its pharmacokinetic profile, allowing less frequent

administration. Both forms of interferon beta-1 activate the interferon- $\alpha/\beta$  receptor (IFNAR), with subsequent JAK-STAT and other signalling pathways modulating the transcription of up to 2000 genes controlled by interferon-stimulated response elements (ISRE). Possible mechanisms for the clinical effect of interferon beta-1 in multiple sclerosis include reduced disruption of the blood-brain barrier and modulation of T- and B-cell and cytokine function. Interferons beta-1a and -1b are recommended for relapsing-remitting multiple sclerosis. Interferon beta-1b is also indicated for secondary progressive multiple sclerosis with continuing relapses, but it is poorly tolerated. Interferons reduce relapse rate, lesion volume on MRI scanning, and disability as measured on the Expanded Disability Status Scale over the duration of the clinical trials (about 2 years). Benefits beyond the duration of the initial trials are uncertain. The most frequent unwanted effects are influenza-like symptoms. These may persist for several months and substantially limit the tolerability of therapy. Pain or ulceration at the injection site can also occur. About 25% of those who start treatment discontinue within 1 to 2 years. Neutralising antibodies are produced during repeated administration in about 5% of people (the rate varies by formulation and route of administration) and this is associated with increased risk of treatment failure.

- Glatiramer acetate is a synthetic tetrapeptide immunomodulator that has some structural similarities to myelin basic protein. It may produce immunological tolerance by stimulating regulatory T-cells. Like the interferons, it reduces relapse rate and shortterm disability, but its effects in the longer-term are uncertain. Glatiramer acetate is mainly used when antibodies reduce the effectiveness of interferon beta. It is given by subcutaneous injection. Unwanted effects include flushing, chest pain, palpitation and dyspnoea immediately after injection, and reactions at the injection site.
- Teriflunamide is the active metabolite of the pyrimidine synthesis inhibitor leflunomide, which inhibits dihydro-orotate dehydrogenase, an enzyme required for pyrimidine synthesis (see Chapter 30). Its efficacy in multiple sclerosis is similar to interferon beta.
- Dimethyl fumarate is discussed under fumaric acid esters in Chapter 49; it is thought to suppress Th1 lymphocyte activity by blocking nuclear factor (NF)-κB. There is some evidence that it may suppress relapses to a greater extent than beta-interferon, glatiramer or teriflunamide.
- Natalizumab is a humanised monoclonal antibody that selectively inhibits  $\alpha_4$ -integrin adhesion molecules on the surface of T-cells. This prevents T-cells from interacting with receptors on the vascular

endothelium and crossing the blood-brain barrier. Natalizumab reduces the relapse rate in relapsingremitting multiple sclerosis. There are no head-tohead comparisons with interferon beta drugs or glatiramer, but indirect evidence suggests it is at least as effective. It increases the risk of infection, and there is a small risk of developing the brain disease progressive multifocal leukoencephalopathy (PML), particularly if there has been previous use of immunosuppressant drugs or if natalizumab is used for longer than 2 years. PML is caused by the John Cunningham (JC) virus, which is found dormant in up to 60% of the population. Serological testing for the virus should be carried out before and regularly during use of the drug.

- Alemtuzumab is a humanised monoclonal antibody that binds to the cell surface marker CD52; a single dose causes antibody- and complement-dependent cytolysis, depleting subsets of mature T-cells for up to a year and B-lymphocytes for 5 to 6 months (see Chapter 52). It reduces relapse rate to a greater extent than interferon beta-1a, but carries an increased risk of autoimmune thyroid disease and idiopathic thrombocytopenic purpura. There is also an increased risk of stroke, vascular lesions and viral infections, especially with the herpes virus. Alemtuzumab is indicated for highly active relapsing-remitting multiple sclerosis that has not responded to other disease-modifying therapy or is rapidly evolving.
- Ocrelizumab is a recombinant human monoclonal antibody that targets CD20 on the surface of B-cells, depleting them by antibody- and complement-dependent cytotoxicity and phagocytosis, but not affecting T-cells. It reduces relapse rate and disease progression to a greater extent than interferon beta-1a. It is indicated in primary progressive and relaps-ing-remitting multiple sclerosis.
- Cladribine (2-chloro-2'-deoxyadenosine) is an oral prodrug that is activated by lymphocytes and selectively depletes B-cells, T-cells and monocytes. It reduces relapse rate and slows the progression of disability compared with placebo in rapidly evolving severe relapsing-remitting multiple sclerosis. It has not been directly compared with other diseasemodifying treatments.
- Fingolimod and siponimod are selective modulators of sphingosine-1-phosphate (S1P) receptors on lymphocytes. Fingolimod is an oral prodrug that is activated by reversible phosphorylation. Siponimod is a second-generation oral S1P modulator with optimised selectivity for the S1P<sub>1</sub> and S1P<sub>5</sub> receptors subtypes, pharmacokinetics and safety profile. S1P receptors are essential for lymphocytes to leave lymph nodes, and S1P modulators are functional antagonists that trap the cells and reduce their migration to demyelinating lesions in the CNS.

Fingolimod and siponimod reduce relapse rate in relapsing–remitting multiple sclerosis. Siponimod is also effective in reducing disability progression in secondary progressive multiple sclerosis. It has not been compared directly with interferon beta-1b in this indication and indirect comparisons are inconclusive. Both drugs can produce bradycardia and heart block after a single dose and should not be used in people at high risk of heart block. They can also cause cough, dyspnoea and macular oedema and increase the risk of viral infections. Siponimod is extensively metabolised by the cytochrome P450 2C9 (CYP2C9) isoenzyme and contraindicated in people with the CYP2C9\*3/\*3 genotype (poor metaboliser phenotype).

#### MANAGEMENT OF MULTIPLE SCLEROSIS

The first element in the management of relapsingremitting multiple sclerosis is to modify the course of the disease: to reduce the frequency of relapses, to lower the risk of conversion from relapsing-remitting to progressive disease, and to slow the progression of disability. The second element is the management of acute relapses, aiming to shorten their duration. The third is to manage symptoms and existing disability.

Disease-modifying therapy should be started early in relapsing-remitting disease. Choice of agent depends on individual circumstances and should be determined by a specialist multidisciplinary team in partnership with the patient. Because of their wellunderstood safety profiles and body of clinical experience, the longer-established options, interferons and glatiramer, are often preferred for initial therapy of active disease. High-efficacy therapies, e.g. alemtuzumab, ocrelizumab or cladribine, can be used in highly active disease, particularly if there is inadequate response to initial disease-modifying therapy. Natalizumab is also a high-efficacy option, but with a greater risk of progressive multifocal leukoencephalopathy in susceptible individuals (e.g. immunosuppressed or JC virus antibody positive). If convenience and selfadministration are the priorities, oral therapies such as dimethyl fumarate, teriflunomide or cladribine may be preferred.

Options for the treatment of progressive disease are limited. Interferon beta-1b is recommended but poorly tolerated; siponimod may present an alternative option. In practice, clinicians may recommend trials of alternative disease-modifying drugs despite the absence of clinical evidence in this indication.

Acute relapses are new signs and symptoms caused by a new focal demyelinating lesion in the CNS. Infection should also be considered if new symptoms develop. Once infection is excluded or treated, significant relapse can be managed with a high-dose corticosteroid, such as methylprednisolone, given for 3 to 5 days. This shortens the duration of a relapse but does not affect the long-term outcome. Most recovery from a relapse occurs in the first 2 to 3 months, but with residual disability in up to 50% of cases. Fampridine is a voltage-gated potassium channel blocker that targets exposed K<sup>+</sup> channels in demyelinated axons and inhibits repolarisation of the neuron. This prolongs the nerve action potential and may improve walking speed and mobility. However, fewer than 50% of people with multiple sclerosis will respond, and it should be discontinued if there is no improvement in 2 weeks.

Numerous disabling symptoms can arise in multiple sclerosis and a multidisciplinary team approach is essential for their management. Symptomatic treatment of spasticity may be necessary, for example with baclofen (see Chapter 24) or gabapentin (see Chapter 23), and physiotherapy has an important role.

#### **MOTOR NEURON DISEASE**

Motor neuron disease is an uncommon, rapidly progressive disorder of motor neurons that occurs most often in middle-aged males. The pathophysiology involves neuronal loss among the anterior horn cells of the spinal cord, motor cortex and hypoglossal nucleus in the lower medulla. The cause is unknown, but recent evidence suggests that there is underlying dysfunction in a nuclear RNA splicing factor known as transactive response DNA-binding protein (TAR)-43, leading to aberrant mRNA splicing. There is evidence of excessive activation of excitatory glutamate receptors in the CNS, which may lead to neuronal Ca<sup>2+</sup> overload and cell death (excitotoxicity). Mutations of genes coding for the free radical-scavenging enzyme, superoxide dismutase, may also lead to excessive free radical activity.

The most common form of motor neuron disease, amyotrophic lateral sclerosis, produces both upper motor neuron signs and symptoms (hypertonia, impaired fine movement and hyperreflexia) and lower motor neuron signs and symptoms (fasciculations, muscle cramps, weakness and muscle atrophy). Other forms affect either upper or lower motor neurons. Up to half of affected people develop cognitive impairment. Death from respiratory failure usually occurs 2 to 5 years from the onset of symptoms.

#### **DRUG TREATMENT**

Riluzole is the only available agent that alters the course of motor neuron disease. It crosses the bloodbrain barrier and inhibits the release of glutamate, as well as acting as an antagonist at glutamate *N*-methylp-aspartate (NMDA) receptors on damaged neurons. These actions may inhibit glutamate-induced excitotoxicity. Treatment with riluzole does not arrest the disease, but may slow its progression to a modest extent, improving survival by an average of 3 months after 18 months of treatment. Unwanted effects of riluzole include nausea, vomiting, diarrhoea, lethargy and dizziness.

Edaravone is a free radical scavenger (antioxidant) which is understood to act by reducing excess free radical activity; it reduces the rate of functional deterioration in early motor neuron disease. Adverse effects include headache and gait disturbance. It is currently available only as an intravenous formulation, which is licensed in some regions, but not Europe. An oral suspension is in development.

Physiotherapists can help with advice on posture and exercise early in the disease, and later with passive movement to reduce musculoskeletal pain. Symptomatic treatment is often necessary for complications, particularly spasticity and associated pain. Bulbar involvement often causes dysphagia that requires enteral feeding. Late in the progression of the condition, chest wall weakness leads to type 2 respiratory failure that may require artificial ventilation.

#### **GUILLAIN–BARRÉ SYNDROME**

Guillain-Barré syndrome is an autoimmune acute inflammatory demyelinating polyradiculopathy that only affects the peripheral nervous system. It is triggered in about 40% of cases by infection, of which *Campylobacter jejuni* infection followed by cytomegalovirus are the most frequent. The most typical presentation is rapid symmetrical onset of lower limb weakness, with loss of tendon reflexes and autonomic dysfunction, accompanied by variable sensory signs. The weakness then rapidly progresses upward over hours or days. Bulbar weakness and respiratory difficulties are common with respiratory failure in 20% to 30% of cases. Symptoms usually progress for up to 2 weeks, plateau for 2 to 4 weeks, and then improve. About 5% of affected people die in the acute-illness phase, and up to 10% have incomplete recovery and are left with severe long-term disability. A variant of the disease in which ophthalmoplegia and ataxia are prominent symptoms is termed Miller Fisher syndrome.

The immunological response is probably due to shared antigens on the infecting organism and the peripheral nerve tissue. In most cases the target of immune attack is gangliosides, found in large quantities in peripheral nerves. In the Miller Fisher variant, antibodies against the ganglioside GQ1b (a component in the oculomotor nerve sheath) are commonly found and diagnostically useful. The autoantibodies fix complement, and attract lymphocytes and then macrophages, which invade the myelin sheaths (acute inflammatory demyelinating polyneuropathy). In about 5% of cases the problem arises from primary autoimmune injury to axons, known as acute motor (or motor and sensory) axonal neuropathy. Axonal degeneration frequently results in greater long-term disability.

#### EARLY MANAGEMENT

There are several aspects to the early management of Guillain–Barré syndrome:

- Supportive treatment may be life-saving and is the cornerstone of management. For example, ventilatory support is necessary for respiratory muscle weakness or paralysis in 30% of cases. Haemodynamic disturbance, including significant tachycardia or bradycardia and asystole, can result from autonomic involvement and may require cardiovascular support. Prophylaxis for deep venous thrombosis with subcutaneous heparin (see Chapter 11) should be given. Neuropathic pain may require analgesia, or the use of tricyclic antidepressants, pregabalin or carbamazepine (see Chapters 22 and 23), and can be reduced by passive limb movement.
- High-dose intravenous immunoglobulin (IgG) given within the first 2 weeks is usually the preferred treatment. It may work by neutralising the pathological antibodies and interfering with the function of both T- and B-cells. Unwanted effects include malaise, chills and fever. IgG reduces the need for supported ventilation, and the time taken to recover walking.
- Plasma exchange is an alternative to intravenous IgG. When used within 4 weeks of the onset of symptoms it improves the long-term outcome. The benefit is probably due to removal of autoantibodies.
- Corticosteroids are of no benefit either alone or in combination with IgG.

Physiotherapy and other supportive management become increasingly important to aid long-term recovery. Overall mortality is about 5%, and about 20% of people cannot walk unaided 6 months after onset. Most people are left with persistent pain and fatigue.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. The most troublesome unwanted effects of treatment with interferon beta-1 are influenza-like symptoms.
- 2. Multiple sclerosis is characterised in its early years by a steady progressive worsening of symptoms in the majority of people with the condition.
- 3. Glutamate can cause neuronal damage.
- 4. Riluzole is of benefit in motor neuron disease by blocking the release of *γ*-aminobutyric acid (GABA).
- 5. Fampridine is a potassium channel opener.
- 6. Fingolimod is a prodrug activated by phosphorylation.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which biological drug used in multiple sclerosis is directed at CD20 on B-cells?
  - A. Alemtuzumab
  - B. Interferon beta-1b
  - C. Natalizumab
  - D. Peginterferon beta-1a
  - E. Ocrelizumab
- 2. Identify the most accurate statement about the treatment of multiple sclerosis.
  - A. Interferon alfa and interferon beta are equally effective in multiple sclerosis.
  - B. Expert opinion does not support the use of glatiramer acetate as a first-line drug for use in multiple sclerosis.
  - C. Glatiramer acetate causes few unwanted effects following injection.
  - D. Corticosteroid treatment is of benefit in reducing the progression of multiple sclerosis.
  - E. Neuronal conduction is unimpaired in multiple sclerosis.

#### **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **True.** 'Flu-like symptoms (myalgia, fever, chills, sweating, headache) can occur over several months and reduce the tolerability of interferon beta-1 treatment in multiple sclerosis.
- 2. **False.** Multiple sclerosis is usually characterised by relapses and remissions over a number of years, although after about 10 years a steady decline sets in.
- 3. **True.** Glutamate is an excitatory amino acid neurotransmitter that can cause cell damage and death (excitotoxicity) by a number of mechanisms, including an uncontrolled increase in intracellular Ca<sup>2+</sup>.
- 4. **False.** Riluzole is the only drug that alters the course of motor neuron disease; it inhibits glutamate release and its action at NMDA receptors, thereby reducing its toxicity.
- 5. False. Fampridine blocks K<sup>+</sup> channels, delaying neuronal repolarisation and extending the duration of action potentials, possibly improving conduction.
- 6. **True.** Fingolimod is rapidly phosphorylated by sphingosine kinases and is a functional antagonist of sphingosine-1-phosphate (S1P) receptor antagonist. Siponimod more selectively blocks the S1P receptor subtypes found on lymphocytes.

#### **OBA ANSWERS**

- 1. Answer E is correct. All these immunobiological drugs modulate lymphocyte activity by different mechanisms. Ocrelizumab (answer E) is directed at CD20 on B-cells and selectively depletes B-cells. Alemtuzumab (answer A) is directed at CD52 on mature lymphocytes, whereas natalizumab is targeted at lymphocyte homing via  $\alpha$ 4-integrin adhesion molecules. Interferon beta-1a (and its pegylated form) and interferon beta-1b both modulate lymphocyte function via the interferon- $\alpha/\beta$  receptor.
- 2. Answer B is correct.
  - A. Incorrect. Interferon beta-1a and interferon beta-1b are both used to treat multiple sclerosis, not interferon alfa, which is used in viral hepatitis (see Chapter 36).
  - B. **Correct**. The use of glatiramer acetate is usually restricted to people who cannot tolerate interferon beta-1, or who have developed antibodies to it.
  - C. Incorrect. Glatiramer acetate can cause flushing, chest tightness, palpitations, anxiety and breathlessness.
  - D. Incorrect. Corticosteroids may shorten the duration of acute attacks but have no effect on progression or eventual disability in multiple sclerosis.
  - E. Incorrect. Long-term disability is due to demyelination of nerves and consequent further axonal damage. Demyelination of nerves results in disordered neuronal conduction.

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#### AL GRAWANY

#### Compendium of Drugs Used to Treat Multiple Sclerosis, Motor Neuron Disease and Guillain–Barré syndrome.

DRUG	CHARACTERISTICS
Many drugs have ur	ncertain mechanisms of action. Most are initiated by a specialist and used under expert supervision.
Alemtuzumab	Monoclonal antibody directed against CD52 on mature lymphocytes (see Chapter 52). Given by intravenous infusion in multiple sclerosis.
Cladribine	Chlorinated nucleotide prodrug that selectively depletes T-cells and B-cells. Given orally in adults with multiple sclerosis.
Corticosteroids	Corticosteroids such as methylprednisolone or prednisolone may be of benefit for acute relapse in people with multiple sclerosis (see Chapter 44).
Edaravone	Free radical scavenger. Given intravenously in multiple sclerosis, but not currently licensed in UK.
Fampridine	Potassium channel blocker that delays neuronal repolarisation and prolongs action potentials, potentially improving conduction. Given orally to adults with multiple sclerosis with walking disability.
Dimethyl fumarate	Fumaric acid ester (see Chapter 49). Given orally in relapsing-remitting multiple sclerosis.
Glatiramer acetate	Hydrolysed to a tetrapeptide that may act as an immunological decoy for myelin basic protein. Given by subcutaneous injection to treat multiple sclerosis.
Fingolimod	Sphingosine analogue prodrug; phosphorylated metabolite is a functional antagonist of sphingosine- 1-phosphate (S1P) receptors on lymphocytes, reducing their egress from lymph nodes. May cause heart block; also teratogenic and linked to skin cancers. Given orally for multiple sclerosis.
Interferon beta-1a and interferon beta-1b	Recombinant cytokines that activate interferon-α/β receptors (IFNAR) and modulate transcription of multiple genes in lymphocytes. Given by subcutaneous or intramuscular injection for relapsing–remitting multiple sclerosis.
Natalizumab	Monoclonal antibody against $\alpha_4$ -integrin adhesion molecules on lymphocytes. Given as an intravenous infusion for relapsing-remitting multiple sclerosis.
Ocrelizumab	Monoclonal antibody that depletes B-lymphocytes by targeting cell surface CD20 molecules. Given intravenously in relapsing–remitting multiple sclerosis.
Peginterferon beta-1a	Pegylated version of interferon beta-1a with extended persistence in blood. Given subcutaneously for relapsing-remitting multiple sclerosis.
Riluzole	Inhibits glutamate release and blocks glutamate NMDA receptors, possibly reducing neuronal excitotoxicity. Given orally for motor neuron disease.
Siponimod	Second generation sphingosine-1-phosphate (S1P) receptor modulator; functional antagonism of the S1P <sub>1</sub> and S1P <sub>5</sub> receptor subtypes impairs lymphocyte egress from lymph nodes. Given orally in multiple sclerosis. Prior testing for CYP 2C9 genotype is mandatory; siponimod is contraindicated in 0.3%–0.4% of the population with CYP 2C9*3*3 genotype, and dosage should be reduced in 10%–14% of the population with 2C9*1*3 or 2C9*2*3 genotypes. Interactions occur with drugs inducing or inhibiting CYP 2C9 or CYP 3A4.
Teriflunomide	Active metabolite of leflunomide; inhibits pyrimidine synthesis (see Chapter 30). Given orally for relapsing–remitting multiple sclerosis.

## **Migraine and Other Headaches**

#### **Chapter Outline**

Migraine 342 Pathogenesis of Migraine 342 Drugs for Migraine 343 Specific Drugs for the Acute Migraine Attack 343 Prophylactic Drugs 345 Management of Migraine and Other Headaches 346 Acute Migraine Attacks 346

Headaches have many causes; most headaches have no underlying structural abnormality or metabolic disturbance and are known as primary headaches (Box 26.1). Tension headache is by far the most common primary headache, accounting for about two-thirds of cases, whereas migraine is the second most frequent cause. When headache is present for a prolonged time, or is recurrent, secondary causes may need to be excluded by a full history and examination to elicit any associated neurological symptoms and signs. Chronic overuse of acute pain relief, particularly opioids, can exacerbate headaches in a self-perpetuating fashion (medication-overuse headache).

#### MIGRAINE

Migraine is an episodic headache, typically lasting 4 to 72 hours. The diagnostic features of migraine are listed in Box 26.2. These are the only symptoms in the majority of people who suffer with migraine. However, in about 15% of cases, the headache is preceded or accompanied by focal neurological symptoms (migraine with aura), usually visual disturbances (such as flashing or jagged lights), and occasionally sensory disturbance or more severe focal neurological episodes such as hemiparesis.

#### **PATHOGENESIS OF MIGRAINE**

The pathogenesis of migraine is incompletely understood, but it is now believed that the primary driver is neuronal dysfunction in the trigeminovascular system (Fig. 26.1). Vascular changes (vasoconstriction and vasodilation) are probably secondary to the neuronal trigger. Genetic predisposition is a factor in migraine, and abnormal function of voltage-dependent ion channels in cortical and brainstem structures may provide Prophylaxis of Migraine 346 Medication-Overuse Headache 347 Management of Other Headaches 347 Self-Assessment 347 Answers 347 Further Reading 348 Compendium of Drugs Used to Treat Migraine 348

the basis for increased glutamatergic neurotransmission and hyperexcitability in the neuronal circuits that are responsible for the headache.

A migraine aura is probably caused by a slowly propagated wave of cortical depolarisation that transiently depresses both spontaneous and evoked neuronal activity (cortical spreading depression). The depressant wave is associated with intracranial vasoconstriction, which can produce focal neurological symptoms and signs. The majority of people with migraine who do not experience an aura appear to have 'silent' cortical spreading depression, and this suppression of electrical activity may therefore be the trigger for the migraine headache. The trigger for cortical spreading depression is unknown.

Cortical spreading depression activates meningeal nociceptors in the trigeminovascular pathway, which carries nociceptive information from the meninges to the brain. The pathway is coordinated by the trigeminal ganglion, which has peripheral neuronal projections to the pia, dura and large cerebral arteries, and central projections to the trigeminal nucleus in the brainstem. The trigeminal nucleus also receives nociceptive afferents from various intracranial structures, periorbital skin and pericranial muscles. Activation of the trigeminovascular pathway may be through release of vasoactive peptides such as calcitonin gene-related peptide (CGRP) from the perivascular axons in the dura mater. CGRP promotes vasodilation and release of proinflammatory molecules such as serotonin, histamine, bradykinin and prostaglandin E<sub>2</sub>. The result is neurogenic inflammation of the dural blood vessels with plasma protein extravasation, platelet aggregation and mast cell degranulation.

Headache in migraine is associated with sensitisation of the pain pathways (decreased response threshold and increased magnitude of response) produced by the inflammation. Sensitisation occurs in the meninges

#### Box 26.1 Causes of Headache.

#### PRIMARY HEADACHE

Tension-type headache Migraine Idiopathic stabbing headache Exertional headache Cluster headache

#### SECONDARY HEADACHE

Systemic infection Head injury Drug-induced headache Vascular disorders Brain tumour

#### Box 26.2 Diagnostic Features of Migraine.

Two or more of the following features:

- unilateral pain,
- throbbing,
- aggravation on movement,
- pain of moderate or severe intensity.
- Also, one of the following:
- nausea or vomiting,
- light or noise sensitivity (photophobia or phonophobia).

(peripheral sensitisation) and also in the processing of sensory stimuli in the spinal cord and brain (central sensitisation). Sensitisation makes the pathways increasingly responsive to nociceptive stimuli but also non-nociceptive stimuli such as light, noise and smell. Nociceptive impulses are relayed through the trigeminovascular pathway to the thalamus and brainstem, leading to pain, nausea and vomiting. The trigeminovascular pathway is rich in serotonin 5-HT<sub>1B</sub> receptors on vascular smooth muscle and 5-HT<sub>1D</sub> receptors on the perivascular nerve terminals and in the dorsal horn. Stimulation of these receptors blocks the release of vasoactive peptides and inhibits neurotransmitter release.

#### **DRUGS FOR MIGRAINE**

## SPECIFIC DRUGS FOR THE ACUTE MIGRAINE ATTACK

#### Triptans

#### Examples

almotriptan, eletriptan, frovatriptan, naratriptan, rizatriptan, sumatriptan, zolmitriptan

#### Mechanisms of action and effects

The triptans are serotonin 5-HT<sub>1B/1D</sub> receptor agonists, which may alter the pathophysiology of migraine (Fig. 26.2) by:

intracranial vasoconstriction (5-HT<sub>1B</sub>),

 inhibition of neurotransmission in the trigeminovascular pathway and inhibition of release of proinflammatory and vasoactive mediators from perivascular trigeminal neurons (5-HT<sub>1B/1D</sub>).

Sumatriptan does not easily cross the blood-brain barrier as it is water-soluble, but this barrier appears to be impaired during a migraine attack. Zolmitriptan and frovatriptan penetrate the blood-brain barrier more readily. Triptans relieve both the pain and nausea associated with migraine. There is some evidence that people who do not respond to one triptan may gain benefit from a different triptan.

#### **Pharmacokinetics**

Absorption of sumatriptan from the gut is rapid but erratic, whereas other triptans have better absorption. Effective plasma concentrations of all triptans are usually reached in 30 to 60 minutes after oral administration, although the onset of action of frovatriptan may be longer. Sumatriptan can also be delivered by subcutaneous injection or intranasal spray, and zolmitriptan by nasal spray or an orally disintegrating tablet. These routes relieve symptoms within 15 minutes and can also be more effective if headache is accompanied by nausea, when gastric stasis delays oral drug absorption. Elimination of most triptans is partially by hepatic metabolism and partially by renal excretion. Most triptans have short half-lives of between 2 and 6 hours, but the half-life of frovatriptan is longer, at 26 hours.

#### Unwanted effects

The frequency and intensity of unwanted effects are highest after subcutaneous use of sumatriptan.

- Tingling, pressure, tightness, heaviness or sensation of warmth in the head, neck, chest and limbs.
- Flushing.
- Dizziness or vertigo.
- Drowsiness.
- Nausea or vomiting.
- Angina caused by coronary artery vasoconstriction (via 5-HT<sub>1B</sub> receptor stimulation).
- Pain or irritation at the injection site, or in the nose after local use.

#### Ergotamine

#### Mechanism of action

Ergotamine probably has an antimigraine action similar to the triptans by stimulating 5-HT<sub>1</sub> receptors. Unwanted effects arise from agonist activity at several other receptors, including  $\alpha_1$ -adrenoceptors and dopamine D<sub>2</sub> receptors.

#### Pharmacokinetics

Oral administration of ergotamine is often accompanied by nausea, and absorption is poor, erratic and delayed. It undergoes extensive metabolism in the



**Fig. 26.1 Proposed mechanisms associated with the processes of migraine.** The sequence and nature of neurogenic and vascular involvement in migraine are vigorously debated and may vary in different kinds of migraine-type head-ache. One scenario is that the trigger is a wave of cortical depression (1) which sensitises and stimulates the trigeminal pathway in either direction (2, 3). This results in stimulation of the trigeminocervical complex (*TCC*) (3) in the brainstem, and onward stimulation of the thalamus and other areas can cause pain and nausea. Other reflex pathways from the brainstem via the superior salivatory nucleus to the dural blood vessels, which result in vasodilation, can also be activated at this stage. The stimulation of trigeminal nerves innervating the dural blood vessels (2, 4) results in the release of mediators such as calcitonin gene-related peptide (*CGRP*), substance P (*SP*) and neurokinin A (*NKA*), which cause vasodilation and contribute to inflammation. CGRP and possibly other mediators are able to stimulate nociceptors in the trigeminal nerve endings, resulting in further activation of the pathways to the TCC and thalamus (5) and consequently further pain. The control of vascular tone in the dural blood vessels is complex, with sympathetic, parasympathetic and serotonergic systems contributing to the migraine process. Vasoconstrictor innervation of these vessels is by sympathetic nerves, and vasodilation occurs by parasympathetic innervation. Serotonergic pathways produce vasoconstriction by stimulation of 5-HT<sub>1B</sub> receptors and vasodilation via 5-HT<sub>2</sub> receptors. *TG*, Trigeminal ganglion.

liver and has a short half-life (2 hours). However, tight receptor binding produces a longer duration of action.

#### Unwanted effects

- Nausea and vomiting are caused by dopamine receptor stimulation at the chemoreceptor trigger zone (see Chapter 32).
- Abdominal cramps.
- Dizziness.

- Severe vasoconstriction as a result of  $\alpha_1$ -adrenoceptor stimulation can lead to peripheral gangrene (acute ergotism). Ergotamine should be avoided in people with known atheromatous vascular disease (including ischaemic heart disease).
- Chronic intoxication with dependence can occur after prolonged use. Withdrawal then produces nausea and headache similar to an acute migraine attack. For this reason, treatment with ergotamine should not be repeated at intervals of less than 4 days.



Fig. 26.2 Possible mechanisms of action of 5-HT receptor agonists in migraine. The trigeminocervical complex (*TCC*) is rich in 5-HT<sub>1B/1D</sub> receptors, and stimulation of these receptors by so-called second-generation triptans inhibits neurotransmission to the thalamus and cortex. Triptans also cause vasoconstriction, acting at 5-HT<sub>1B</sub> receptors on blood vessels.

#### **PROPHYLACTIC DRUGS**

#### **Beta-Adrenoceptor Antagonists**

Several  $\beta$ -adrenoceptor antagonists, such as propranolol, timolol and metoprolol, are effective for prophylaxis of migraine. Drugs with partial agonist activity that cause vasodilation (e.g. pindolol) are ineffective. Effects on central nervous system functions include reduced neuronal firing in noradrenergic neurons in the locus coeruleus and inhibition of cortical potentials evoked by auditory and visual stimuli, suggesting a modulation of neuronal excitability. Full details of  $\beta$ -adrenoceptor antagonists can be found in Chapter 5.

#### Antiseizure Drugs

Topiramate, gabapentin and sodium valproate are effective in the prophylaxis of migraine and almost certainly work by multiple mechanisms, including  $\gamma$ -aminobutyric acid (GABA)-mediated suppression of neurotransmission through the trigeminovascular pathway, and modulation of neuronal excitability through effects on voltage-gated Na<sup>+</sup> channels. Blockade of P/Q-type Ca<sup>2+</sup> channels may also contribute by reducing glutamate release. Antiseizure drugs with a predominant single mechanism of action are ineffective in treatment of migraine. Full details of antiseizure drugs can be found in Chapter 23.

#### Amitriptyline

The mechanism of action of amitryptyline in migraine is unknown but probably relates to multiple neurotransmitter–receptor interactions that modulate the processing of nociceptive impulses. Prophylactic doses of amitriptyline for migraine and tension headache are similar to those used for neuropathic pain and are lower than those used to treat depression. Other antidepressants are ineffective. Full details of amitriptyline can be found in Chapter 22.

#### Calcitonin Gene-Related Peptide (CGRP) Antagonists

Examples eptinezumab, erenumab, fremanezumab, galcanezumab

#### Mechanisms of action and effects

Erenumab is a human monoclonal antibody that binds to the CGRP receptor. Fremanezumab, galcanezumab and eptinezumab are human monoclonal antibodies that bind to the CGRP ligands. As described previously, CGRP is a proinflammatory vasodilating neuropeptide involved in the pathophysiology of migraine. Drugs that interfere with the interaction between the CGRP ligands and the CGRP receptor have been shown to reduce migraine frequency. On average, the reduction is modest (1–2 migraine days per month), although the benefit varies substantially among individuals.

#### **Pharmacokinetics**

Erenumab, fremanezumab and galcanezumab are administered by subcutaneous injection, with peak concentrations achieved about 5 to 7 days after injection. Eptinezumab is given by intravenous infusion. As with other monoclonal antibodies, they are degraded by enzymatic proteolysis into small peptides and amino acids. Their half-lives are approximately 1 month.

#### Unwanted effects

The CGRP antagonists are generally well tolerated. Injection site reaction is probably the most common treatment-related adverse effect. CGRP is one of several vasodilatory mediators released in ischaemia, which gives rise to a theoretical concern that CGRP antagonists may increase the risk of serious vascular events among susceptible individuals. This has not been found in the clinical trials, although few people with cardiovascular comorbidity or risk factors were included in the populations studied.

#### ACE Inhibitors and Angiotensin II Receptor Antagonists

There is evidence that the ACE inhibitor lisinopril, and the angiotensin receptor antagonist candesartan, may reduce headache burden in migraine. Candesartan may have similar efficacy to propranolol, but with a different (and for some people more favourable) unwanted effect profile. The mechanism of the antimigraine effect is not fully understood but presumed to be related to reducing the effects of angiotensin II in causing vasoconstriction and sympathetic activation. Full details of these drugs are found in Chapter 6.

## MANAGEMENT OF MIGRAINE AND OTHER HEADACHES

#### **ACUTE MIGRAINE ATTACKS**

Withdrawal of possible triggers such as cheese, chocolate, citrus fruits or alcoholic drinks may reduce the frequency of migraine attacks by up to 50%. The combined oral hormonal contraceptive is a potential exacerbating factor, although it can be helpful for prevention of menstrual-related migraine.

Simple analgesia with a nonsteroidal antiinflammatory drug (NSAID) such as ibuprofen (see Chapter 29) may be sufficient for the relief of a mild acute attack of migraine. Nausea frequently accompanies a migraine attack and delays gastric emptying. If this occurs, absorption of the analgesic will be more rapid if an antiemetic such as metoclopramide (see Chapter 32) is given concurrently. If the person is vomiting, rectal or intramuscular analgesia, for example with an NSAID such as diclofenac or naproxen, can be used together with rectal domperidone. Analgesics are usually more effective when given early after the onset of pain. Opioid analgesics are not recommended for treatment of migraine, as they are short-acting, produce dependence and frequent use can promote medication-overuse headache (discussed later).

If migraine attacks are poorly controlled by simple analgesics or are moderate to severe in intensity, a triptan is usually effective. Triptans can relieve pain even if taken more than 4 hours after the onset of an attack, but are less effective in those who have developed cutaneous allodynia (a form of neuropathic pain; see Chapter 19) in the trigeminal nerve distribution in association with the headache. Subcutaneous sumatriptan, intranasal sumatriptan or zolmitriptan, or an orally disintegrating tablet of zolmitriptan are useful if a rapid response is required or if nausea precludes oral therapy. The response of individuals varies to the different triptans, and it is worth changing to an alternative after two unsuccessful attempts at treatment with one drug. Headache recurs in about 40% of those who initially gain relief from a triptan, although the risk of recurrence may be less with frovatriptan, which has a long duration of action. A second dose of a triptan can be used for recurrent headache if there was a good response to the first dose. If migraine headaches are prolonged or recur frequently despite treatment with a triptan, then the combination of a triptan with an NSAID can be tried.

Ergotamine is now rarely used due to the availability of safer and more effective alternatives. Due to the risk of vasospasm and habituation it should not be used in older people (who may have cardiovascular disease) and in those with frequent attacks. It should not be used concurrently with a triptan.

#### **PROPHYLAXIS OF MIGRAINE**

Prophylaxis is usually recommended for people experiencing frequent or prolonged migraine headaches, or when the attacks are significantly impacting on quality of life. Generally, this equates to a frequency of approximately one attack per week, but each person should be assessed individually. It is essential to identify, and if present to manage, medication-overuse headache, which often coexists with migraine (see later).

Propranolol, topiramate and amitriptyline are options for first-line therapy; choice is generally dictated by the unwanted effect profile and other individual factors (for example, topiramate is contraindicated in pregnancy, so highly effective contraception is essential in girls or women of child-bearing potential). Other options such as candesartan, valproate and pizotifen (see the compendium at the end of this chapter) may be considered in selected cases.

CGRP antagonists are indicated for people who suffer at least four migraine days per month. However, because of their cost relative to their modest benefit, they are reserved for people who do not obtain sufficient relief with low-cost first-line options.

Botulinum toxin type A (see Chapter 24) given by injection into sites such as the forehead, temporalis, cervical and trapezius muscles may reduce migraine attacks for up to 3 months. The mechanism is unclear, but inhibition of peripheral sensory neurons may reduce central neuronal sensitisation. Some evidence suggests that people who describe their migraine headache as 'being crushed from the outside' or who have 'eye-popping' headaches (imploding or ocular headaches) respond better than those who describe a buildup of pressure inside the head (exploding headache). Botulinum toxin may therefore work best if there is an extracranial trigger for the pain.

The efficacy of all current prophylactic treatments is limited. Although the response to an individual drug is unpredictable, only about half of all people who suffer from migraine can expect to have a 50% reduction in the frequency of attacks.

#### **MEDICATION-OVERUSE HEADACHE**

Regular use of opioids or compound analgesic drugs, especially those containing caffeine, to treat any type of headache or regular use of triptans to treat migraine can lead to a chronic daily headache known as medication-overuse headache. It is the third most common cause of headache. The mechanisms underlying medication-overuse headache are not well understood, but probably involve downregulation of receptor or enzyme targets of the drugs, with consequent sensitisation of the pain pathways. Medication-overuse headache is most common in women aged between 30 and 60 years, and is diagnosed when headache is present for at least 15 days each month over a period of at least 3 months in someone who is taking regular medication to treat headache. The person experiencing the headache is often reluctant to consider that the drugs are the cause, but abrupt withdrawal of the causative treatment is advised to achieve resolution. NSAIDs can be used for withdrawal headaches, along with prophylactic treatment for the underlying tension or migrainous headache given prior to withdrawal.

#### MANAGEMENT OF OTHER HEADACHES

Most other primary headaches are managed in the same way as any other form of acute pain, usually using medications at step 1 of the World Health Organization (WHO) analgesic ladder (see Chapter 19). Care must be taken to avoid medication-overuse headache.

Tension-type headache is usually bilateral and not disabling. Frequent tension headaches may respond to prophylactic treatment with amitriptyline at low dosage.

Cluster headache is characterised by severe attacks of unilateral pain in the distribution of the trigeminal nerve that are associated with autonomic features on the side of the headache (such as ptosis, miosis, conjunctival injection, lacrimation, and rhinorrhoea). Cluster headache usually responds to a triptan, but if prophylaxis is needed for recurrent episodes, then the calcium channel blocker verapamil (see Chapter 5) is the drug of choice.

Suspected secondary headache requires treatment of the precipitating cause. Red flag features include thunderclap headache with peak severity achieved in seconds or minutes (usually primary headache, but subarachnoid haemorrhage must be considered), focal neurological signs or new cognitive disturbance (possible intracranial pathology), and headache that worsens on standing (possible low-pressure headache due to cerebrospinal fluid leak). Features suggesting raised intracranial pressure are headache that wakes the person from sleep and improves over the day, or headache that worsens with coughing, laughing or straining. Headache due to an intracerebral tumour that is causing raised intracranial pressure is often alleviated by dexamethasone (see Chapter 44), which reduces cerebral oedema around the tumour.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- Diet plays little part in the precipitation of migraine attacks.
- 2. Serotonin is released in a migraine attack.
- 3. Agonists at 5-HT<sub>1B/1D</sub> receptors are used for the treatment of migraine.
- 4. Ergotamine is used prophylactically for migraine.
- 5. Sumatriptan is not useful for acute migraine attacks as it is slow acting.
- 6. Sumatriptan causes chest discomfort in 40% of people as a result of coronary vasoconstriction.
- 7. Regular use of triptans can cause rebound headache.
- 8. Pizotifen is used prophylactically and blocks 5-HT<sub>2</sub> receptors.
- Tricyclic antidepressants and β-adrenoceptor antagonists may be useful in prophylaxis of migraine.
- 10. Where migraine is associated with vomiting, metoclopramide and paracetamol given together is a useful combination.
- 11. Pindolol is effective in prophylaxis of migraine.
- 12. The combined oral hormonal contraceptive reduces the frequency of migraine attacks in women.
- 13. CGRP antagonists used for prophylaxis of migraine are highly effective.
- 14. Injections of botulinum toxin may reduce migraine symptoms.

#### **ONE BEST ANSWER (OBA) QUESTION**

- 1. Which drug might be used to treat withdrawal of a treatment suspected of causing 'medication-overuse headache'?
  - A. Caffeine
  - B. Codeine
  - C. Ibuprofen
  - D. Paracetamol
  - E. Sumatriptan

#### ANSWERS

#### **TRUE/FALSE ANSWERS**

- 1. **False.** In some people, stress and dietary items like chocolate, cheese and alcohol may provoke migraine attacks.
- 2. **True.** Serotonin, histamine, nitric oxide, CGRP and other vasoactive mediators contribute to neuro-genic inflammation in migraine.
- 3. **True.** The triptans are 5-HT<sub>1B/1D</sub> agonists used in acute migraine. Stimulation of 5-HT<sub>1B/1D</sub> receptors inhibits neurotransmission in the trigeminovascular pathway and inhibits release of vasoactive and proinflammatory mediators, whereas 5-HT<sub>1B</sub> stimulation produces intracranial vasoconstriction.

- 4. **False.** Because of unwanted effects, ergotamine should not be used prophylactically, or more than twice a month for acute attacks, and is generally not recommended, especially in older people.
- 5. **False.** Oral sumatriptan acts within 30 minutes, and more quickly when given by subcutaneous or nasal routes of administration.
- 6. **False.** Although sumatriptan causes chest discomfort and is contraindicated in people with ischaemic heart disease, chest discomfort in people without ischaemic heart disease is probably caused by oesophageal spasm, not myocardial ischaemia.
- 7. **True.** Medication-overuse headache may occur with triptans, opioids and compound analgesics when used regularly for headache.
- 8. **True**. Pizotifen is not commonly used but reduces perivascular inflammation, vasodilation and pain by antagonist activity at 5-HT, receptors.
- 9. True. β-Adrenoceptor antagonists like propranolol are first-line drugs for migraine prophylaxis, with antiseizure drugs such as sodium valproate, topiramate and gabapentin also commonly used. The tricyclic antidepressant amitriptyline is useful when tension headache co-exists with migraine.
- 10. **True.** Metoclopramide is an antiemetic and increases gastric emptying, thus improving the rate of absorption of paracetamol.
- 11. **False.**  $\beta$ -Adrenoceptor antagonists with partial agonist activity like pindolol are not effective, as they may exacerbate vasodilation.

- 12. **False.** The combined oral hormonal contraceptive may exacerbate migraine, although it can be help-ful in women with menstrual-related migraine.
- 13. **False.** On average, CGRP antagonists such as fremanezumab reduce the frequency of migraine episodes only modestly, but this varies among individuals, with some deriving greater benefit.
- 14. **True.** Pericranial injections of botulinum toxin type A can reduce the frequency of migraine attacks.

#### **OBA ANSWER**

1. **Answer C** is correct. Ibuprofen (an NSAID) can help treat headache caused by *withdrawal* of a drug suspected of causing medication-overuse headache. Regular use of caffeine (answer A), opioids like codeine (answer B), or paracetamol (answer D) to treat headache, or triptans (answer E) to treat migraine, may cause medication-overuse headache.

#### **FURTHER READING**

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#### Compendium of Drugs Used to Treat Migraine.

DRUG	CHARACTERISTICS	
Analgesics		
Most migraine headaches respond to nonsteroidal antiinflammatory drugs; tolfenamic acid is licensed specifically for oral treatment of acute attacks, and diclofenac potassium and ibuprofen are also licensed for migraine; see Chapter 29.		
Antiemetics		
Antiemetics (see Chapter 32) such as metoclopramide or domperidone are often given to relieve the nausea associated with migraine attacks.		
5-HT, Receptor Agonists (Triptans)		
Act on 5-HT <sub>1B</sub> and 5-HT <sub>1D</sub> receptors. May be used during the acute headache phase; preferred treatment for those who fail to respond to analgesics. Most have short half-lives of 2–5 hours.		
Almotriptan	Given orally.	
Eletriptan	Given orally.	
Frovatriptan	Given orally; long-acting (half-life: 26 h).	
Naratriptan	Given orally.	
Rizatriptan	Given orally.	
Sumatriptan	First-generation triptan. Given orally, intranasally or by subcutaneous injection; also used for cluster headaches.	
Zolmitriptan	Given orally or intranasally; also used intranasally for cluster headaches.	

#### Compendium of Drugs Used to Treat Migraine—cont'd.

DRUG	CHARACTERISTICS
Ergot Alkaloid	
Ergotamine	5-HT <sub>1</sub> and $\alpha_1$ -adrenoceptor agonist. Given orally but not recommended.
CGRP Antagonists	
Monoclonal antibodies that block interaction of the vasodilator calcitonin gene-related peptide (CGRP) with its receptor CGRPR, and used for migraine prophylaxis.	
Erenumab	Human monoclonal antibody targeted against the CGRP receptor (CGRPR). Given subcutaneously.
Fremanezumab	Humanised monoclonal antibody targeted against CGRP. Given subcutaneously.
Galcanezumab	Humanised monoclonal antibody targeted against CGRP. Given subcutaneously.
Eptinezumab	Humanised monoclonal antibody targeted against CGRP. Given intravenously.
Other Drugs for Migraine	
ACE inhibitors and angiotensin II receptor blockers	Lisinopril and candesartan may reduce headache in migraine, probably by reducing vaso- constriction by angiotensin II (see Chapter 6).
Antiepileptic drugs	Some oral antiepileptic drugs such as topiramate, gabapentin and sodium valproate are used for migraine prophylaxis; (see Chapter 23).
β-Adrenoceptor antagonists	Some β-adrenoceptor antagonists such as propranolol and metoprolol are used for migraine prophylaxis (see Chapter 8).
Clonidine	Used orally for prophylaxis but not recommended (see Chapter 6).
lsometheptene	Indirect-acting sympathomimetic that constricts dilated cranial and cerebral arterioles. Used orally for acute attacks in combination with paracetamol, but other drugs usually preferred.
Pizotifen	5-HT <sub>2</sub> antagonist and H <sub>1</sub> antihistamine. Given orally for prophylaxis, but rarely used.
Tricyclic antidepressant	Amitriptyline is used for migraine prophylaxis (see Chapter 22).

ACE, Angiotensin-converting enzyme.

# The Neuromuscular Junction and Neuromuscular Blockade

#### **Chapter Outline**

27

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#### **NEUROMUSCULAR TRANSMISSION**

The neuromuscular junction is a specialised synapse of a somatic neuron with skeletal muscle, termed the motor endplate (Fig. 27.1A). Depolarisation of the postsynaptic membrane at the motor endplate causes contraction of the muscle fibre in an all-or-nothing response.

The neurotransmitter at the neuromuscular junction is acetylcholine (ACh), acting at postsynaptic  $N_{\lambda}$  ( $N_{M}$ or 'muscle-type') nicotinic receptors. The processes of synthesis and release of ACh are described in Chapter 4 in relation to the general properties of neurotransmitters in the nervous system. Each presynaptic nerve terminal at the neuromuscular junction contains 300,000 or more vesicles. In response to an action potential, about 60 vesicles discharge their contents (up to 10,000 molecules of ACh released from each vesicle) into the synapse over 0.5 ms; this is 10 times the amount of ACh required to depolarise the motor endplate. Nicotinic acetylcholine receptors are also present on the presynaptic neuronal membrane (mobilisation receptors), which modulate the synthesis, storage and release of ACh and augment ACh release in response to an action potential in the neuron.

Each  $N_2$  receptor has two binding sites for ACh, and the Na<sup>+</sup> channel in the centre of the receptor opens when both sites are occupied (see Fig. 1.1). This triggers an influx of Na<sup>+</sup> into the muscle cell and depolarisation of the motor endplate, generating a local current termed the endplate potential. When the endplate potential reaches a threshold, the voltage-gated Na<sup>+</sup> channels open, leading to full depolarisation of the muscle cell. This generates an action potential which is During Surgical Procedures 354 Critical Illness 354 Antagonising Neuromuscular Block 354 Self-Assessment 355 Answers 355 Further Reading 356 Compendium of Drugs Acting at the Neuromuscular Junction (NMJ) 356

propagated along the postsynaptic membrane (sarcolemma) to the T tubules, where Ca<sup>2+</sup> is released from the sarcoplasmic reticulum. The increased availability of intracellular Ca<sup>2+</sup> brings about the processes that result in muscle contraction (Fig. 27.1B). The voltagegated Na<sup>+</sup> channels close and become inactive after depolarisation, requiring the membrane potential to be reset before they can be reactivated.

The action of ACh on N<sub>2</sub> receptors is very shortlived (about 0.6 ms) because the synaptic cleft contains large amounts of acetylcholinesterase (AChE), which rapidly degrades ACh (see Chapter 4). Butyrylcholinesterase in plasma (also called pseudocholinesterase or plasma cholinesterase) hydrolyses any ACh that escapes from the synaptic cleft. Butyrylcholinesterase is important pharmacologically because of its ability to metabolise several drugs with ester bonds. Tissue esterases that break down ACh are also present in many cells, notably in the liver.

Although ACh is the neurotransmitter responsible for contraction of both skeletal muscle and most smooth muscles, the basic organisation and functioning of these neuroeffector systems are very different, as shown in Table 27.1.

## DRUGS ACTING AT THE NEUROMUSCULAR JUNCTION

### ACETYLCHOLINESTERASE (AChE) INHIBITORS (ANTICHOLINESTERASES)

AChE inhibitors such as pyridostigmine and neostigmine block the breakdown of ACh following its release in neuronal synapses and at neuroeffector junctions.
The mechanisms of action of different types of AChE inhibitor are described in Chapter 4; they are nonselective and prolong the availability and actions of ACh at all its receptors (nicotinic and muscarinic). Their main use is in the treatment of myasthenia gravis (see Chapter 28) and in reversal of neuromuscular blockage in general anaesthesia (see Chapter 17).



Fig. 27.1 Acetylcholine (ACh) at the neuromuscular junction. (A) The released ACh acts upon postsynaptic nicotinic  $N_2$  (or  $N_M$ ) receptors on the motor endplate, opening cation channels; an influx of Na<sup>+</sup> then occurs, resulting in depolarisation. (B) At rest, insignificant amounts of ACh are released and the miniature endplate potentials generated are insufficient to reach the threshold potential to cause a propagated action potential. If sufficient ACh is released, an action potential is propagated, causing muscle contraction. Competitive muscle relaxants prevent the generation of the action potential by blocking  $N_2$  ( $N_M$ ) receptors. *AChE*, Acetylcholinesterase.

Table 27.1 Comparison of Skeletal and Smooth Muscle Innervation.

#### INHIBITORS OF ACETYLCHOLINE RELEASE

Botulinum toxin from the anaerobic bacillus Clostridium botulinum decreases the release of ACh from neuronal vesicles. It binds selectively to a receptor on the presynaptic membrane of cholinergic nerve terminals and is endocytosed inside synaptic vesicles responsible for re-uptake of neurotransmitter. Inside the cell, botulinum toxin is released into the cytosol, where it cleaves cytoplasmic synaptosomal-associated protein 25 (SNAP-25) on the cell membrane, a protein that is essential for docking and fusion of vesicles with the neuronal membrane. Botulinum toxin therefore inhibits neurotransmitter release. This chemical denervation stimulates the growth of collateral axons which eventually results in the formation of a new neuromuscular junction. Botulinum toxin is extremely dangerous as evidenced by the consequences of botulinum poisoning, but it also has clinical roles when injected locally. Two toxin serotypes, A and B, are used in clinical practice as botulinum toxin-haemagglutinin complex (haemagglutinin is a neurotoxin-associated protein produced by clostridia). They are therapeutically equivalent but the doses are not interchangeable. Injection into skeletal muscles produces local muscle paralysis for 3 to 4 months until new nerve terminals develop. Botulinum toxin is used to treat involuntary movements such as blepharospasm (spasm of the eyelids), hemifacial spasm, spasmodic torticollis (wry-neck), strabismus (misalignment of the eyes that prevents binocular vision) and to relieve focal spasticity (see Chapter 24). It is also used by local injection to reduce excessive axillary sweating (hyperhidrosis) because it inhibits ACh release at sweat glands, and can be injected into muscles in the head and neck for the prophylaxis of migraine (see Chapter 26). Intravesical injection of botulinum toxin is sometimes used to treat severe overactive bladder syndrome. Botulinum toxin is frequently used by local injection for cosmetic reasons to temporarily remove frown lines and wrinkles.

PROPERTY	SKELETAL MUSCLE FIBRE	SMOOTH MUSCLE FIBRE
Nerves supplying fibre	Single	Multiple
Junction	Highly organised motor endplate	Simple
Neurotransmitter	Acetylcholine	Acetylcholine
Receptor subtype	Nicotinic $N_2$ (muscle-type, $N_M$ )	Muscarinic (mainly M <sub>3</sub> )
Receptor distribution	Only at motor endplate; only one motor endplate per muscle fibre	Widely on the muscle surface
Effects of stimulation	Single nerve contracts the whole muscle fibre (all-or-none response)	Each nerve contracts part of muscle fibre (graded response)
Effect of overdose with acetylcholin- esterase inhibitor	Flaccid paralysis	Spasticity



Fig. 27.2 Structures of pancuronium, a competitive neuromuscular blocking drug, and suxamethonium (succinylcholine), a depolarising blocker. Suxamethonium resembles two molecules of acetylcholine, each with a quaternary ammonium (N<sup>+</sup>) group, linked back-to-back. Pancuronium was designed to have a similar spatial arrangement of quaternary nitrogens but held rigidly in place by a steroid bridge that is resistant to butyrylcholinesterase.

# **NEUROMUSCULAR JUNCTION BLOCKERS**

Skeletal muscle relaxation is achieved by drugs that reversibly block the actions of ACh on nicotinic  $N_2$  (also called  $N_M$ ) receptors at the neuromuscular junction. Drugs that block the neuromuscular junction almost all resemble ACh in that they have a quaternary ammonium (N<sup>+</sup>) group that binds strongly to the anionic site of the nicotinic  $N_2$  receptor (Fig. 27.2).

A neuromuscular blocker must occupy 70% to 80% of postsynaptic N<sub>2</sub> receptors before it can start to produce neuromuscular blockade and must occupy at least 92% of receptors to produce complete blockade. The potency of a neuromuscular blocker is measured by the ED<sub>95</sub>, which is the dose required to produce 95% depression of the height of muscular twitch. About twice this dose is required for muscle relaxation adequate to permit tracheal intubation. The laryngeal muscles are more rapidly paralysed than other skeletal muscle groups, but the effect is often of shorter duration. This may reflect either the higher blood flow to laryngeal muscles or their greater density of N<sub>2</sub> receptors. Recovery of muscle action depends on the rate of clearance of the drug from the synapse.

# Competitive N<sub>2</sub> Receptor Antagonists (Nondepolarising Blockers)

Examples

aminosteroid group: pancuronium, rocuronium, vecuronium benzylisoquinolinium group: atracurium, cisatracurium, mivacurium

#### Mechanism of action and effects

Competitive N<sub>2</sub> receptor antagonists bind to the nicotinic N<sub>2</sub> receptor at the neuromuscular junction without causing depolarisation of the postsynaptic membrane. This blocks the depolarising effect of ACh and leads to muscle relaxation. These drugs also hold the receptors in their inactive state (desensitisation block). Presynaptic receptor block further contributes to their action by reducing the synthesis and mobilisation of ACh. Whereas it takes two ACh molecules to activate the N<sub>2</sub> receptor, only one molecule of a competitive neuromuscular blocker at each receptor will prevent neurotransmission. Inhibition of ACh hydrolysis by an AChE inhibitor (such as neostigmine; see Chapter 28) will prolong the action of ACh and, provided blockade is incomplete, the residual effect of the competitive neuromuscular blocker will be reversed. This principle is used at the end of an operation to aid recovery of the neuromuscular block. The aminosteroid nondepolarising neuromuscular blockers vecuronium and rocuronium can also be reversed by the selective relaxant binding agent, sugammadex (see later).

#### **Pharmacokinetics**

Competitive neuromuscular blockers are highly polar molecules and are given by intravenous injection. They have a low apparent volume of distribution and do not cross the blood–brain barrier.

Vecuronium, pancuronium and rocuronium are aminosteroids that depend at least in part on metabolism for their elimination. Vecuronium is partially metabolised in the liver but largely excreted unchanged in the bile. Pancuronium and rocuronium are eliminated by a combination of metabolism and renal excretion of unchanged drug.

MUSCLE RELAXANT	ED <sub>95</sub> (MG/KG)ª	TIME TO MAXIMUM Block (MIN) <sup>D</sup>	DURATION (MIN)°	UNWANTED EFFECTS
Pancuronium	0.06	4–5	90	Tachycardia, hypertension due to sympathetic stimulation; increase in cardiac output
Vecuronium	0.05	3–4	45	Allergy
Atracurium	0.25	3–4	40	Histamine release; increase in heart rate and decrease in systemic vascular resistance, tachycardia, bronchospasm
Cisatracurium	0.05	4.5–5.5	30–40	Low incidence of unwanted effects
Rocuronium	0.4	2–3	30	Increase in heart rate; allergy
Mivacurium	0.08	2–3	15–20	Histamine release; increase in heart rate and decrease in systemic vascular resistance, tachycardia, bronchospasm; prolonged block in people lacking butyrylcholinesterase
Suxamethonium (succinylcholine)		Fast	3–12	Muscle fasciculation; postoperative muscle pain; bradycardia, hyperkalaemia, malignant hyperthermia; prolonged block in people lacking butyrylcholinesterase

# Table 27.2 Properties of Some Neuromuscular Blocking Drugs.

<sup>a</sup>ED<sub>o5</sub> is the effective dose required to suppress muscle twitch by 95%.

<sup>b</sup>Time to maximum block following administration of the dose used for intubation (double the ED<sub>ac</sub>).

°Time taken to recover to 25% of the original twitch height after an intubation dose.

Atracurium, cisatracurium and mivacurium are benzylisoquinolinium compounds that are degraded in plasma. Atracurium (a racemic mixture of 10 isomers) and cisatracurium (a single isomer of atracurium) undergo nonenzymatic spontaneous degradation as well as hydrolysis by nonspecific esterases in the plasma, which is an advantage in hepatic or renal impairment. Mivacurium, like the depolarising blocker suxamethonium (see later), is metabolised by butyrylcholinesterase.

The speed of onset and duration of action and unwanted effects of competitive blockers differ (Table 27.2). A fast onset of action can be useful during rapid sequence induction (see later). After a bolus injection of an aminosteroid neuromuscular blocker, the action is terminated largely as a result of redistribution to tissues rather than metabolism. Redistribution lowers the plasma concentration and consequently the concentration at the motor endplate. The clinically effective duration of neuromuscular blockade will be more prolonged after repeated boluses or infusions because when equilibrium between plasma and tissue concentrations has been reached, the duration of effect becomes mainly dependent on metabolism.

# Unwanted effects

See Table 27.2.

#### **Depolarising Neuromuscular-Blocking Drugs**

Example suxamethonium (succinylcholine)

#### Mechanism of action and effects

Suxamethonium is the only agent of this class in clinical use, but it is now largely replaced by rapid-acting competitive blockers. Suxamethonium resembles two ACh molecules joined back to back (see Fig. 27.2). When two molecules of suxamethonium bind to the nicotinic N<sub>2</sub> receptor, it acts as an agonist and depolarises the motor endplate. However, suxamethonium is not hydrolysed by AChE and therefore produces more prolonged depolarisation than ACh. The voltage-gated Na<sup>+</sup> channels are kept in their inactive state, producing depolarising (phase 1) block and muscle flaccidity. Prolonged exposure to suxamethonium promotes the transition of voltage-gated Na<sup>+</sup> channels to a desensitised state, in which they are insensitive to ACh – a state called desensitisation block. Although the muscle can respond to direct electrical stimulation, it can no longer be stimulated via the neuronal release of ACh. After repeated boluses or continuous infusion, suxamethonium also produces a competitive type of blockade (phase 2 block). Phase 2 block can be partially reversed by an AChE inhibitor, although this is unreliable.

#### **Pharmacokinetics**

Suxamethonium is a highly polar molecule and is given intravenously. It has a low volume of distribution and does not cross the blood–brain barrier. Suxamethonium has an onset of action within 1 minute but is rapidly hydrolysed by butyrylcholinesterase, which results in a very short duration of action (about 3–12 minutes). A very prolonged paralysis occurs in approximately 1 in 3000 to 5000 individuals who have a genetically determined deficiency of butyrylcholinesterase. In this population the action of suxamethonium is terminated after some 2 to 3 hours by nonspecific tissue esterases.

# **Unwanted effects**

- There is an initial depolarisation of the motor endplates prior to blockade, which results in muscle fasciculation. This may in part be due to the presynaptic receptor action of suxamethonium, which stimulates ACh release.
- Postoperative muscle pain is common, especially on the day after surgery, possibly as a consequence of muscle fasciculation. It usually affects the diaphragm, intercostal muscles and interscapular muscles.
- Prolonged neuromuscular blockade and apnoea occur if there is a low circulating concentration of butyrylcholinesterase through either a genetic deficiency or a decreased synthesis of the enzyme in severe liver disease.
- The use of suxamethonium during anaesthesia is a trigger for the development of a rare but potentially fatal disorder of muscles known as malignant hyperthermia, with a rapid rise in temperature, muscle rigidity, tachycardia and acidosis. The predisposition to this condition has an autosomal dominant inheritance and is associated with mutations in the ryanodine receptor (RyR1). Treatment is with intravenous dantrolene (see Chapter 24).
- Stimulation of nicotinic N<sub>1</sub> (N<sub>C</sub>) receptors at autonomic ganglia and muscarinic receptors produces bradycardia, especially with repeated doses.
- Hyperkalaemia, probably due to persistent activation of N<sub>2</sub> receptors, results in the escape of intracellular K<sup>+</sup> ions from skeletal muscle fibres. This is especially marked in the presence of prolonged immobilisation, major tissue trauma and severe burns.
- Anaphylaxis with repeated use due to type 1 hypersensitivity.

# INDICATIONS FOR NEUROMUSCULAR-BLOCKING DRUGS

The neuromuscular-blocking drugs are used in both surgical procedures and intensive care. Their administration forms part of the achievement of balanced anaesthesia described in Chapter 17.

#### **ENDOTRACHEAL INTUBATION**

Relaxation of the head and neck muscles, and the vocal cords, facilitates visualisation of the larynx and passage of an endotracheal tube. In emergency surgery, when the stomach may contain food, a rapid onset of action is essential to minimise the risk of aspiration of gastric contents (rapid sequence induction). Preoxygenation is followed by an intravenous induction agent and neuromuscular blocker, and then rapid endotracheal intubation. This minimises insufflation of air into the stomach and regurgitation of gastric contents. Rapid sequence induction is the only current use for suxamethonium. However, because of frequent unwanted effects, suxamethonium is largely superseded by rapidly acting competitive blockers, principally rocuronium.

# **DURING SURGICAL PROCEDURES**

Neuromuscular blockade produces muscle relaxation for procedures such as abdominal incisions. Selective skeletal muscle relaxation reduces the amount of general anaesthetic needed for deep anaesthesia. It can be achieved by a single injection of a neuromuscular blocker for short procedures or either repeated bolus injections or intravenous infusion for more prolonged surgery. At the end of the operation the effect of a competitive blocker can be reversed within 1 minute by the intravenous injection of neostigmine. A muscarinic receptor blocker such as glycopyrrolate or atropine (see Chapter 4) is given before neostigmine to prevent bradycardia or the excessive salivation produced by the stimulation of muscarinic receptors. Alternatively, sugammadex (see Chapter 17) may be used to reverse the effect of rocuronium and vecuronium. It acts by forming a complex with the drug and preventing it interacting with the N<sub>2</sub> receptor.

The response to a neuromuscular blocker can be assessed by peripheral nerve stimulation, often the ulnar nerve. This may be necessary when complete paralysis is essential, such as for ophthalmic surgery or neurosurgery, and to monitor recovery of neuromuscular transmission in anticipation of emergence from anaesthesia. It is also used when the pharmacokinetic profile of the drug or the pharmacodynamic response to the drug may be abnormal.

#### **CRITICAL ILLNESS**

Except during intubation and airway procedures, neuromuscular blockade is rarely needed in intensive care. However, it may be used selectively in patients with severe respiratory failure (e.g. adult respiratory distress syndrome) to facilitate invasive ventilation. It may also be used as an adjunctive treatment to control raised intracranial pressure.

# ANTAGONISING NEUROMUSCULAR BLOCK

The term postoperative residual curarisation describes residual paralysis from neuromuscular blockade after anaesthesia. It is more common with continuous infusion of a neuromuscular blocker than with repeated boluses and with longer-acting drugs. It is detected by perioperative neuromuscular monitoring, using visual assessment of response to nerve stimulation (fade in amplitude of response to a train of four nerve stimulations), or quantitively by acceleromyography. The action of competitive neuromuscular-blocking agents can be terminated by intravenous injection of the anticholinesterase neostigmine, given with an antimuscarinic agent (usually glycopyrronium, which has a similar time course of action) to prevent bradycardia. Anticholinesterases only reverse residual neuromuscular block once recovery has started.

Sugammadex is a cyclodextrin with a hydrophilic outer surface and a lipophilic core. It is a specific antagonist for the aminosteroids rocuronium and vecuronium (but not pancuronium) by chelating them in the core and reducing their plasma concentration. The complex is then eliminated by the kidneys.

# SELF-ASSESSMENT

For a case-based question on the use of neuromuscular junction-blocking drugs in surgery, see the case-based self-assessment section of Chapter 17.

#### **TRUE/FALSE QUESTIONS**

- 1. A skeletal muscle fibre is innervated by a single motor endplate.
- 2. The nicotinic receptors at skeletal muscle and autonomic ganglia are identical.
- Suxamethonium is a competitive antagonist of nicotinic N<sub>2</sub> (N<sub>M</sub>) receptors.
- 4. Vecuronium has no haemodynamic effects.
- 5. Malignant hyperthermia is a rare, genetically determined reaction to suxamethonium.
- 6. The duration of action of all competitive neuromuscular junction-blocking drugs is limited by redistribution.
- 7. Suxamethonium is the only muscle relaxant used for tracheal intubation.
- 8. All competitive neuromuscular junction (NMJ) blockers can be used for long-term muscle relaxation in intensive care.
- 9. Botulinum toxin acts postsynaptically to block the neuromuscular junction.
- 10. Botulinum toxin can be used to treat excessive sweating.

# **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. What is the molecular target of botulinum toxin?
  - A. Acetylcholinesterase
  - B. Butyrylcholinesterase
  - C. Nicotinic  $N_1 (N_G)$  receptors
  - D. Nicotinic  $N_2$  ( $N_M$ ) receptors
  - E. SNAP-25 protein
- 2. Which statement about neuromuscular-blocking drugs is the most accurate?
  - A. Rocuronium crosses the blood–brain barrier and has direct effects on the central nervous system.
  - B. Pancuronium is the neuromuscular-blocking drug of choice for a surgical procedure that will take less than 30 minutes.

- C. Suxamethonium is the only muscle relaxant that can be used for electroconvulsive therapy.
- D. About 50% of nicotinic  $N_2 (N_M)$  receptors must be occupied by a competitive neuromuscular-blocking drug to produce complete block of an evoked twitch.
- E. Atracurium causes the release of histamine.

# ANSWERS

# **TRUE/FALSE ANSWERS**

- 1. **True.** Contraction of a skeletal muscle fibre is an all-or-none response to nerve stimulation at the motor endplate.
- 2. **False.** The nicotinic  $N_2$  ( $N_M$ ) receptor at skeletal muscle is selectively blocked by competitive and depolarising muscle relaxants, whereas the  $N_1$  ( $N_G$ ) receptor at autonomic ganglia is selectively blocked by ganglion-blocking drugs (which now have little clinical application).
- 3. **False.** Suxamethonium is a nicotinic  $N_2 (N_M)$  receptor *agonist* that causes persistent depolarisation and then desensitisation of the receptor.
- True. Unlike atracurium and mivacurium, vecuronium does not cause histamine release, so it does not have significant haemodynamic effects.
- 5. **True.** Malignant hyperthermia in response to suxamethonium and some other drugs is associated with mutations in the ryanodine receptor (RyR1) and is treated with dantrolene, a RyR1 antagonist.
- 6. **False.** Atracurium and cisatracurium undergo spontaneous hydrolysis, and mivacurium is hydrolysed by pseudocholinesterase.
- 7. **False.** Short-acting competitive neuromuscular blockers such as rocuronium can also be used for intubation. The use of suxamethonium is declining because of the occurrence of malignant hyperthermia.
- 8. **False.** Some competitive blockers (e.g. mivacurium) are too short-acting for this purpose.
- 9. **False.** Botulinum toxin acts presynaptically to cause long-lasting blockade of ACh release.
- 10. **True.** Local injections of botulinum toxin can reduce the activity of sweat glands, which are innervated by postsynaptic cholinergic fibres of the *sympathetic* nervous system.

# **OBA ANSWERS**

1. **Answer E** is correct. Botulinum toxin cleaves cytoplasmic synaptosomal-associated protein 25 (SNAP-25) (answer E); this prevents docking of vesicles with the neuronal membrane and the release of acetylcholine into the synaptic cleft. Answers A and B are cholinesterases, and answers C and D are acetylcholine receptors, but they are not the molecular target of botulinum toxin.

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- 2. **Answer E** is correct.
  - A. Incorrect. All competitive neuromuscular-blocking drugs have a quaternary ammonium in their structure and will not cross the blood–brain barrier.
  - B. Incorrect. Pancuronium is a long-acting blocking drug and is used for procedures taking longer than 90 minutes.
  - C. Incorrect. Short-acting competitive blocking drugs such as rocuronium or mivacurium are viable alternatives.
  - D. Incorrect. More than 92% of receptors must be occupied to produce a complete block of skeletal muscle contractility.
  - E. **Correct.** Atracurium is one of the most potent neuromuscular-blocking drugs, causing the release of histamine.

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Compendium of	Drugs Acting at the	Neuromuscular	Junction	(NMJ).
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DRUG	CHARACTERISTICS <sup>a</sup>
Drugs for Reversal of Neuromuscular Blockade	
Acetylcholinesterase Inhibitors	
Neostigmine	Given intravenously to reverse the effects of a competitive neuromuscular blocker. Also available in combination with the antimuscarinic drug glycopyrronium; also used orally in myasthenia gravis (see Chapter 28).
Pyridostigmine	Slower onset and longer duration of action than neostigmine. Given orally in myasthenia gravis (see Chapter 28).
Other Drugs for Reversal of Neuromuscular Blockade	
Sugammadex	A modified gamma cyclodextrin used intravenously for rapid reversal of neuromuscular blockade induced by rocuronium or vecuronium, usually in an emergency.
Neuromuscular Junction-Blocking Drugs	
Nondepolarising Competitive (Nondepolarizing) Blockers	
All are used for muscle relaxation for surgery, given by intraver	nous injection or infusion.
Atracurium	A complex mixture of 10 isomers. Short to intermediate duration, used for muscle relaxation during surgery and in intensive care. Breaks down by spontaneous hydrolysis within blood (0.3 h).
Cisatracurium	A single isomer of atracurium. Intermediate duration, used for surgery and in intensive care. Spontaneous degradation (0.5 h).
Mivacurium	Two active isomers; used for surgery. Short duration because of hydrolysis by butyrylcholinesterase (half-life: 2–60 min), but prolonged effect in rare individuals with genetic deficiency of this enzyme.
Pancuronium	Long duration; used during surgery and for long-term muscle relaxation during mechanical ventilation in intensive care.
Rocuronium	Intermediate duration, used for surgery and in intensive care; most rapid onset of action of drugs in this class (<2 min).
Vecuronium	Intermediate duration, used for surgery.
Depolarising Blocker	
Suxamethonium (succinylcholine)	Given intravenously for NMJ blockade of rapid onset and short duration of action (e.g. intubation); paralysis preceded by pain- ful fasciculations. Hydrolysed by butyrylcholinesterase (half-life: 1–2 min) but prolonged effect (hours) in 1 in 4000 individuals with genetic deficiency of this enzyme.

<sup>a</sup>See also Table 27.2 for additional drug properties of key NMJ blockers.

# **Myasthenia Gravis**

# **Chapter Outline**

Acetylcholinesterase (AChE) Inhibitors 357 Mechanism of Action and Effects 357 Pharmacokinetics and Clinical Uses 357 Unwanted Effects 358 Management of Myasthenia Gravis 358

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Myasthenia gravis is a comparatively rare autoimmune disease. In the majority of cases, there is an autoantibody to the nicotinic  $N_2$  (muscle-type or  $N_M$ ) acetylcholine receptor (AChR) system at the neuromuscular junction on skeletal muscle (see Chapter 27). Fewer functional receptors are available so acetylcholine (ACh) is less likely to depolarise the muscle cell sufficiently to reach its threshold firing potential. In healthy skeletal muscle, a larger number of receptors are depolarised than the threshold required for generation of an endplate potential. Repetitive nerve stimulation leads to a rapid reduction in the numbers of sensitive receptors, but there are sufficient remaining receptors to ensure that there is no reduction in muscle activity. However, in myasthenia gravis, with repetitive stimulation the reduction of an already small receptor pool reduces receptor availability to a level at which increasing numbers of muscle fibres fail to fire. Consequently, there is characteristic rapid muscle fatigue on exertion. If the number of available receptors falls further, there is skeletal muscle weakness at rest. About 15% of people with myasthenia gravis do not have detectable antibodies to AChRs. Many of these individuals have antibodies to other postsynaptic proteins, such as muscle-specific tyrosine kinase (MuSK), LRP4, agrin and titin. When these antibodies are present, the clinical presentation is often atypical compared with classic myasthenia gravis.

The thymus gland plays a part in the genesis of the immune response in myasthenia gravis, although its precise role remains uncertain. About 80% of people with myasthenia gravis have an abnormality in the thymus, which is usually lymphoreticular hyperplasia (especially in females and when the condition has its onset before 50 years of age) or, less commonly, a thymoma.

The earliest symptom of myasthenia gravis is often either diplopia, which arises from weakness of the extraocular muscles, or ptosis. In 85% of cases the Self-Assessment 359 Answers 359 Further Reading 360 Compendium of Drugs Used in Myasthenia Gravis and Lambert-Eaton Myasthenic Syndrome (LEMS) 360

symptoms progress to involve many other muscle groups, particularly producing bulbar, facial and proximal limb weakness. Atypical presentations of myasthenia gravis are less likely to involve the eyes.

Symptomatic treatment of the weakness in myasthenia gravis is achieved by prolongation of the action of ACh through the inhibition of acetylcholinesterase (AChE), the enzyme responsible for its hydrolysis. However, immunosuppression is also important for disease control.

# ACETYLCHOLINESTERASE (AChE) INHIBITORS

Examples

neostigmine, pyridostigmine

#### **MECHANISM OF ACTION AND EFFECTS**

AChE inhibitors block the breakdown of ACh released from presynaptic neurons. Details of their mechanisms of action are found in Chapter 4. Their therapeutic actions in myasthenia gravis are achieved by increasing the duration of action of ACh at nicotinic  $N_2$  ( $N_M$ ) receptors. However, they enhance the effect of ACh at all synaptic connections at which ACh is the neurotransmitter. Unwanted effects arise from the excessive actions of ACh at nicotinic  $N_1$  (ganglion-type or  $N_G$ ) receptors in autonomic ganglia, and at muscarinic receptors in postganglionic nerve endings in the parasympathetic nervous system and in sweat glands in the sympathetic nervous system.

#### PHARMACOKINETICS AND CLINICAL USES

Neostigmine and pyridostigmine are quaternary amines that are incompletely absorbed from the gut. As a result, oral doses must be approximately 10 times greater than parenteral doses to be effective. They have short elimination half-lives (1–2 hours) and do not readily cross the blood–brain barrier (see Chapter 9 for AChE inhibitors that cross the blood–brain barrier and are used in Alzheimer's disease). Pyridostigmine is preferred for the treatment of myasthenia gravis because it has a longer duration of action and is less likely to cause unwanted effects.

Neostigmine is given by intravenous injection to reverse the effect of competitive neuromuscular blockers (see Chapter 27).

# **UNWANTED EFFECTS**

Unwanted effects are experienced by up to one-third of people. Peripheral muscarinic effects, which can be blocked by co-administration of a muscarinic receptor antagonist such as glycopyrronium, include:

- nausea, diarrhoea, abdominal cramps, excessive salivation;
- bradycardia, hypotension (uncommon);
- miosis and lacrimation;
- bronchoconstriction.

Excessive dosage of AChE inhibitors will lead to a depolarising neuromuscular blockade by ACh. Initially, there may be muscle twitching and cramps, followed by weakness through the build-up of excess ACh (see later).

# **MANAGEMENT OF MYASTHENIA GRAVIS**

Symptomatic treatment of myasthenia gravis is with an AChE inhibitor, usually pyridostigmine, which inhibits the normal rapid breakdown of ACh and thereby enhances the activity of ACh released by nerve stimulation. The onset of action of pyridostigmine is delayed for about 15 to 30 minutes after oral dosing, with a duration of action of 3 to 6 hours. Concurrent use of an antimuscarinic agent may be necessary to block any parasympathomimetic actions of pyridostigmine (see earlier), especially if large doses are given. Some individuals do not respond well to AChE inhibitors, whereas unwanted effects may preclude the use of adequate doses in others. In addition, muscle groups do not all respond equally well to AChE inhibitors; ptosis and diplopia are the most resistant to treatment.

Excessive dosage of an AChE inhibitor can lead to prolonged stimulation of the  $N_2$  receptors by ACh, resulting in a depolarising blockade of the neuromuscular junction similar to that produced by suxamethonium (see Chapter 27). Therefore muscle weakness in myasthenia gravis can be the result of either inadequate dosage ('myasthenic crisis') or excessive dosage ('cholinergic crisis') with an AChE inhibitor. The safest way to distinguish these problems is to use assisted ventilation and temporarily withdraw the AChE inhibitor.

Generalised myasthenia is usually treated by immunosuppression with a corticosteroid, such as

prednisolone (see Chapter 44). Corticosteroids are also used for patients who are severely ill. They probably act by suppressing T-cell proliferation and reducing antibody synthesis. Initial high-dose corticosteroid therapy can make the weakness worse, particularly in the first 7 to 10 days, possibly owing to a direct effect on neuromuscular transmission. A clinical response is usually apparent after 1 month, but maximum benefit is delayed for up to 9 months. Azathioprine (see Chapter 38) is added if there is a poor response to a corticosteroid alone. Methotrexate, mycophenolate mofetil or tacrolimus (see Chapter 38) are alternatives to azathioprine. Cyclophosphamide or rituximab has been used successfully for resistant disease. Long-term immunosuppression is usually necessary as relapse frequently occurs on withdrawal of therapy.

Plasma exchange to remove circulating ACh receptor antibodies can produce a short-term response in severe disease. With repeated plasma exchanges, improvement is seen after 1 day, with a maximum response after 1 to 2 weeks that is sustained for 2 to 8 weeks. An alternative is the use of intravenous immunoglobulin, of which IgG is the active component. It produces improvement after about 4 days and an optimal response after 1 to 2 weeks that is sustained for 6 to 15 weeks. Immunoglobulin treatment is usually better tolerated than plasma exchange.

Thymectomy can induce remission in myasthenia gravis, although this can be delayed for up to 5 years. Thymectomy is most effective early in the disease in those with lymphoreticular hyperplasia and positive AChR antibodies, when it produces complete remission within 5 years in about 40% and significant improvement in a further 35%. Thymomas should be surgically removed because of the potential for malignant change, although the clinical benefit for myasthenia gravis is less clear-cut.

Some drugs can interfere with neuromuscular transmission and exacerbate the symptoms of myasthenia gravis. Those most often implicated include aminoglycoside antibiotics (see Chapter 51),  $\beta$ -adrenoceptor antagonists (see Chapter 5), phenytoin (see Chapter 23) and chloroquine and penicillamine (see Chapter 30). There is also altered sensitivity to neuromuscular junction blockers, with increased response to competitive (nondepolarising) neuromuscular junction blockers but resistance to depolarising neuromuscular junction blockers (see Chapter 27).

# LAMBERT-EATON MYASTHENIC SYNDROME

Lambert–Eaton myasthenic syndrome (LEMS) is a clinical syndrome resembling myasthenia gravis. It is often a paraneoplastic syndrome, with about 60% of cases associated with malignancy (often small-cell lung cancer). LEMS is caused by antibodies to P/Q-type voltage-gated Ca<sup>2+</sup> channels on the presynaptic

membrane of motor nerves at the neuromuscular junction. These channels are responsible for Ca<sup>2+</sup> influx into the neuron that initiates ACh release. LEMS presents with leg and arm weakness and autonomic disturbance such as postural hypotension. Although it can involve bulbar muscles, eye muscles are less often affected.

When LEMS is related to cancer, treatment of the malignancy leads to resolution. The potassium channel blocker amifampridine is the first-choice drug for symptom relief. It delays repolarisation of the nerve terminal after an action potential, giving more time for Ca<sup>2+</sup> to accumulate in the neuron. The prolonged depolarisation allows greater ACh release. Pyridostigmine can help the symptoms by prolonging the action of released ACh at the motor endplate. Immunosuppression is relatively ineffective in the treatment of LEMS.

# **SELF-ASSESSMENT**

#### **TRUE/FALSE QUESTIONS**

- 1. In myasthenia gravis, autoantibodies develop to nicotinic N<sub>1</sub> (N<sub>c</sub>) receptors.
- 2. AChE inhibitors may cause bronchoconstriction.
- 3. Pyridostigmine produces fewer muscarinic effects than neostigmine.
- 4. In myasthenia gravis, there is increased sensitivity to suxamethonium.

#### **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Which statement about myasthenia gravis is the *most accurate*?
  - A. People who have myasthenia gravis invariably have a thymoma.
  - B. AChE inhibitors used to treat myasthenia gravis increase activity in the motor cortex of the brain.
  - C. Glucocorticoids can be of benefit in myasthenia gravis because of their antiinflammatory actions.
  - D. The unwanted effects of acetylcholinesterase (AChE) inhibitors include diarrhoea, urination, miosis, bradycardia, nausea, lacrimation and salivation.
  - E. Plasmapheresis reduces plasma levels of butyrylcholinesterase, thereby reducing breakdown of acetylcholine.

# **CASE-BASED QUESTIONS**

A 35-year-old woman with no previous illness noticed that she had ptosis and occasional diplopia. Over time she became aware that she suffered from leg weakness on exertion, although her coordination was normal. Following a sustained upward gaze for 1 minute, ptosis and diplopia could be elicited. Myasthenia gravis was suspected.

1. What tests could be performed to verify the diagnosis?

- 2. What is the pathogenesis of myasthenia gravis?
- 3. If myasthenia gravis is confirmed, what principles should treatment follow?

# ANSWERS

# **TRUE/FALSE ANSWERS**

- 1. False. Skeletal muscle weakness in myasthenia gravis is due to autoimmunity to nicotinic  $N_2 (N_M)$  receptors or to other postsynaptic proteins such as MuSK in the neuromuscular junction.
- 2. **True.** Increased ACh at muscarinic receptors in the parasympathetic nervous system can cause bronchoconstriction; such effects can be blocked with antimuscarinic drugs such as glycopyrronium.
- 3. **True.** Neostigmine has greater potency and a shorter duration of action than pyridostigmine, so is more likely to cause muscarinic effects; pyridostigmine is therefore preferred in the treatment of myasthenia gravis.
- 4. False. Owing to the autoimmune loss of postsynaptic function at the neuromuscular junction, people with myasthenia gravis may be less sensitive to the depolarising neuromuscular blocker suxamethonium but more sensitive to competitive blockers such as vecuronium.

#### **OBA ANSWER**

- 1. Answer D is the most accurate.
  - A. Incorrect. About 15% have a thymoma and 60% to 80% have hyperplasia of the thymus.
  - B. Incorrect. The AChE inhibitors used in treating myasthenia gravis are quaternary amines and do not cross the blood–brain barrier; they act at the neuromuscular junction.
  - C. Incorrect. Glucocorticoids work by suppressing the production of autoantibodies to nicotinic  $N_2$  ( $N_M$ ) receptors and associated postsynaptic proteins.
  - D. **Correct.** These parasympathomimetic effects are caused by excess ACh activity at muscarinic receptors in the parasympathetic nervous system.
  - E. Incorrect. Plasmapheresis reduces the levels of circulating autoantibodies to nicotinic  $N_2$  ( $N_M$ ) receptors and associated proteins.

#### **CASE-BASED ANSWERS**

- 1. Tests for myasthenia gravis include electromyography (Jolly test), single-muscle-fibre electromyography (SFEMG), and titres of anti-AChR and anti-MuSK antibodies.
- 2. Autoantibody blocks nicotinic  $N_2$  ( $N_M$ ) receptors; receptors are destroyed by complement activation and receptors are cross-linked, which causes them to be destroyed more rapidly. The decrease in functional receptors impairs motor endplate potentials and reduces the likelihood of the muscle contracting.

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3. An AChE inhibitor, most commonly pyridostigmine, is used for symptomatic treatment; an antimuscarinic drug may be needed to reduce unwanted parasympathetic effects. Immunosuppression may be required with a corticosteroid or with immunosuppressants such as ciclosporin or azathioprine. Plasmapheresis, intravenous immunoglobulins (IVIG) or thymectomy may also be considered.

# **FURTHER READING**

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# Compendium of Drugs Used in Myasthenia Gravis and Lambert-Eaton Myasthenic Syndrome (LEMS).

DRUG	CHARACTERISTICS
AChE Inhibitors Used in Myasthe	enia Gravis
Neostigmine	Short-acting with pronounced unwanted muscarinic effects; also available in combination with the antimuscarinic drug glycopyrronium. Given orally or by subcutaneous or intravenous injection for myasthenia gravis. Used intravenously for reversal of neuromuscular block (see Chapter 27).
Pyridostigmine	Preferable to neostigmine in myasthenia gravis owing to a smoother onset and longer duration of action. Given orally.
Drug Used for LEMS	
Amifampridine	Acetylcholine release-enhancer. Specialist use for symptomatic LEMS. Given orally.

AChE, Acetylcholinesterase.

# **Nonsteroidal Antiinflammatory Drugs**

# 29

# **Chapter Outline**

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Classification of Nonsteroidal Antiinflammatory Drugs 363
Actions and Effects of Nonselective Nonsteroidal Antiinflammatory Drugs 364

THE ROLE OF CYCLOOXYGENASE ENZYMES IN THE ACTIONS OF NONSTEROIDAL ANTIINFLAMMATORY DRUGS

The major therapeutic and unwanted actions of nonsteroidal antiinflammatory drugs (NSAIDs) result from inhibition of cyclooxygenase (COX) isoenzymes. COX isoenzymes are essential in the production of the prostanoids (prostaglandins, prostacyclin and thromboxanes) from the 20-carbon, polyunsaturated ( $\omega$ -6) fatty acid arachidonic acid (Fig. 29.1). Arachidonic acid can also be converted via arachidonate 5-lipoxygenase to leukotrienes, some of the actions of which are blocked by leukotriene receptor antagonists (see Chapter 12), and via arachidonate 15-lipoxygenase to the related eoxins. The products of COX and arachidonate lipoxygenases are members of the classical eicosanoid families (oxygenated derivatives of 20-carbon fatty acids). They are local mediators that are generally synthesised and catabolised close to their site of action and have numerous physiological actions. Arachidonic acid is also the precursor of several other nonclassic eicosanoids such as lipoxins, hepoxilins and anandamide.

Arachidonic acid is obtained from poultry, meat, fish, eggs and seafood or in vegetarians via its precursor linoleic acid, which is found in nuts, fatty seeds and their derived vegetable oils such as sunflower oil. Linoleic acid is converted in the liver to arachidonic acid. Arachidonic acid is incorporated into phospholipids in cell membranes and can be released from storage along with other fatty acids by the action of phospholipase  $A_2$ .

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The action of COX on arachidonic acid generates unstable cyclic endoperoxide intermediates which are converted by cell-specific synthases and isomerases to various receptor-active prostanoids (see Fig. 29.1). The products of the COX pathway differ among tissues, depending upon the enzymes present. This ensures that prostanoids are formed with actions tailored to the individual requirements of each cell type. Most cells can form different prostanoids simultaneously and vary the amount of each that they produce, with the pattern of production modulated by regulatory influences on the cell.

There are two COX isoenzymes, COX-1 and COX-2:

- COX-1 is constitutively expressed in the endoplasmic reticulum of most tissues, but predominantly in blood vessels, smooth muscle cells and mesothelial cells. It is the only isoform expressed in platelets. Prostanoids generated via COX-1 are produced in small amounts by these cells in the resting state and contribute to the regulation of several homeostatic processes such as renal and gastric blood flow, gastric cytoprotection and platelet aggregation (Table 29.1). They mainly participate in autocrine and paracrine activities. However, there is evidence for induction of COX-1 during the lipopolysaccharide (endotoxin)-mediated inflammatory response.
- COX-2 is found mainly in the perinuclear envelope and is expressed particularly in parenchymal cells. COX-2 is a highly inducible enzyme in response to cytokines and other inflammatory stimuli, so that COX-2–derived prostanoids are formed in large amounts in response to inflammation, pain and fever. These prostanoids are mainly involved in



**Fig. 29.1 The arachidonic acid cascade of eicosanoid synthesis.** Arachidonic acid liberated from membrane phospholipids can be utilised by cyclooxygenases (*COX-1, COX-2*) to form prostanoids (prostaglandins, prostacyclin and thromboxane) or by the 5-lipoxygenase pathway to form leukotrienes. The types and amounts of these eicosanoid products that are generated depend on the relative expression of the COX isoenzymes, 5-lipoxygenase and their respective downstream synthases in different cell types. After release from the cell, the eicosanoids have a multitude of actions via their selective G-protein–coupled receptors on the surface of target cells, such as bronchial, uterine and vascular smooth muscle cells, endothelial cells, platelets and leucocytes (see Table 29.2). *FLAP*, Five-lipoxygenase activating protein; *5-HPETE*, 5-hydroperoxyeicosatetraenoic acid; *LT*, leukotriene; *PG*, prostaglandin; *TX*, thromboxane.

Ta

intracrine activities. COX-2 is also expressed constitutively in the brain, kidney, gut and female reproductive tract.

The original construct that there is only a pathological role for inducible COX-2–derived prostanoids and a 'housekeeping' physiological role for the constitutive COX-1 enzyme is too simplistic. It is now clear that either isoform may be involved in the production of 'physiological' or 'pathological' prostanoids, and that the role of each enzyme subtype may vary among different tissues (see Table 29.1). Nevertheless, there is considerable evidence that the two enzyme subtypes are not functionally interchangeable within individual cells.

The actions of prostaglandins and thromboxanes depend upon the circumstances and site of their formation, and whether they are formed in physiological or excessive amounts. This is often more important than the COX isoform responsible for their production. For example, prostaglandin  $E_2$  (PGE<sub>2</sub>) is generated in low physiological amounts in gastric mucosa, where it is important for maintaining mucosal integrity (see Chapter 33). However, damage to many tissues leads to increased PGE<sub>2</sub> synthesis, which contributes to inflammation by vasodilation and increased vascular permeability. PGE, also sensitises A\delta and C pain fibre nerve endings in peripheral tissues to the nociceptive action of bradykinin, serotonin and substance P (see Chapter 19). By contrast, following tissue damage, enhanced prostanoid generation may contribute to the processes of wound healing.

Prostanoids act via five main classes of G-proteincoupled receptors on cell surfaces (see Fig. 29.1). Some of the actions of prostaglandins are shown in Table 29.2.

bla <b>20 1</b>	Some Biological Roles of Cyclooxygenase
DIE <b>29. I</b>	(COX)-1 and COX-2.

COX-1 HOMEOSTATIC ROLES	COX-2 HOMEOSTATIC ROLES
Gastrointestinal protection	Renal function
Platelet aggregation	CNS function
Blood flow regulation	Tissue repair and healing (including gastrointestinal)
CNS function	Reproduction
	Uterine contraction
	Blood vessel dilation
	Pancreas
	Inhibition of platelet aggregation
	Airways
COX-1 PATHOLOGICAL ROLES	COX-2 PATHOLOGICAL ROLES
Chronic inflammation	Chronic inflammation
Chronic pain	Chronic pain
Raised blood pressure	Fever
	Blood vessel permeability
	Reproduction
	Alzheimer's disease
	Angiogenesis, inhibition of apoptosis
	Tumour cell growth

COX, Cyclooxygenase; CNS, central nervous system.

#### NONSTEROIDAL ANTIINFLAMMATORY DRUGS (NSAIDs)

NSAIDs have three major therapeutic actions: antiinflammatory, analgesic and antipyretic.

TISSUE	EFFECT	EICOSANOID
Platelets	Increased aggregation	TXA <sub>2</sub>
	Decreased aggregation	PGI <sub>2</sub> , PGD <sub>2</sub>
Vascular smooth muscle	Vasodilation	PGI <sub>2</sub> , PGE <sub>2</sub> , PGD <sub>2</sub>
	Vasoconstriction	TXA <sub>2</sub> , LTC <sub>4</sub> , LTD <sub>4</sub>
Other smooth muscle	Bronchodilation	PGE <sub>2</sub>
	Bronchoconstriction	LTC <sub>4</sub> , LTD <sub>4</sub> , LTE <sub>4</sub> , PGD <sub>2</sub> , PGF <sub>2</sub> , TXA <sub>2</sub>
	Gastrointestinal tract (contraction/relaxation, depends on muscle type)	PGF <sub>2</sub> , PGE <sub>2</sub> , PGI <sub>2</sub> , PGD <sub>2</sub>
	Uterine contraction	$PGE_2$ , $PGF_{2\alpha}$
Vascular endothelium	Increased permeability	LTC <sub>4</sub> , LTD <sub>4</sub> , LTB <sub>4</sub>
	Potentiates histamine/bradykinin	PGE <sub>2</sub> , PGI <sub>2</sub>
Leucocytes	Chemotaxis of neutrophils, monocytes, lymphocytes	LTB <sub>4</sub>
	Chemotaxis of eosinophils, basophils	LTE <sub>4</sub> , PGD <sub>2</sub>
Gastrointestinal mucosa	Reduced acid secretion	PGE <sub>2</sub> , PGI <sub>2</sub>
	Increased mucus secretion	PGE <sub>2</sub>
	Increased blood flow	PGE <sub>2</sub> , PGI <sub>2</sub>
Nervous system	Inhibition of noradrenaline release	PGD <sub>2</sub> , PGE <sub>2</sub> , PGI <sub>2</sub>
	Endogenous pyrogen in hypothalamus	PGE <sub>2</sub>
	Sedation, sleep	PGD <sub>2</sub>
Endocrine/metabolic	Secretion of ACTH, GH, prolactin, gonadotrophins	PGE <sub>2</sub>
	Inhibition of lipolysis	PGE <sub>2</sub>
Kidney	Increased renal blood flow	PGE <sub>2</sub> , PGI <sub>2</sub>
	Antagonism of ADH	PGE <sub>2</sub>
	Renin release	PGI <sub>2</sub> , PGE <sub>2</sub> , PGD <sub>2</sub>
Pain	Potentiates pain through bradykinin, serotonin	PGE <sub>2</sub> , PGD <sub>2</sub>

 Table 29.2
 Main Biological Actions of the Eicosanoids.

Only the main eicosanoids are shown. Inhibition of COX isoenzymes by NSAIDs reduces the synthesis only of prostanoids. Antagonists of the cysteinyl-leukotriene (LTC<sub>4</sub>, LTD<sub>4</sub>, LTE<sub>4</sub>) receptor-1 are used in asthma management (see Chapter 12).

ACTH, Adrenocorticotrophic hormone (corticotropin); ADH, antidiuretic hormone (vasopressin); GH, growth hormone; LT, leukotriene; PG, prostaglandin; TX, thromboxane.

#### **MECHANISMS OF ACTION**

Most NSAIDs reversibly bind to the site in COX that accepts arachidonic acid to inhibit its activity. By contrast, aspirin (acetylsalicylic acid) produces irreversible inactivation of COX by acetylation of a serine residue in the enzyme. This irreversible mechanism of action is important in the action of aspirin as an antiplatelet drug (discussed later and in Chapter 11).

NSAIDs are differentiated by their selectivity for inhibition of the two COX isoenzymes. The degree of COX selectivity of each NSAID will also depend on the dosage used, with less selectivity at higher doses. Traditional NSAIDs are nonselective for the COX isoenzymes, but differ in the extent to which they inhibit COX-1 and COX-2. Selective inhibitors of COX-2 (coxibs) were developed with the aim of reducing the unwanted effects associated with inhibition of COX-1, but with limited success (discussed later). Neither the nonselective NSAIDs nor the selective COX-2 inhibitors directly affect the production of leukotrienes by 5-lipoxygenase.

NSAIDs have several actions that appear to be independent of their effect on COX. These include altered expression of cell cycle regulators as well as inhibition of cytokine release and suppression of reactive oxygen species. It is unclear how these actions contribute to the antiinflammatory actions of these drugs or to their ability to reduce the risk of developing some cancers.

# CLASSIFICATION OF NONSTEROIDAL ANTIINFLAMMATORY DRUGS

Table 29.3 shows the principal chemical types of NSAIDs, with an indication of their selectivity in inhibiting COX-1 or COX-2. Many nonselective NSAIDs produce greater inhibition of COX-1 than of COX-2, although at usual doses this selectivity may not be apparent and both isoen-zymes will be effectively inhibited. The coxibs are highly selective inhibitors of COX-2 at clinically relevant doses.



# ACTIONS AND EFFECTS OF NONSELECTIVE NONSTEROIDAL ANTIINFLAMMATORY DRUGS

Some of the properties and actions of commonly used analgesic drugs are compared in Table 29.4.

# **Analgesic Effect**

The analgesic action of NSAIDs is in part a peripheral action at the site of pain and is most effective when the pain has an inflammatory origin (see Chapter 19). It is achieved predominantly through inhibition of  $PGE_2$  in inflamed or injured tissues, which reduces sensitization and stimulation of pain fibre nerve endings. There is also a central nervous system (CNS) component to the analgesic action of NSAIDs, which is due to reduction of PGE<sub>2</sub> synthesis in the dorsal horn of the spinal cord. This inhibits neurotransmitter release and reduces the excitability of neurons in the pain relay pathway. The analgesic action of NSAIDs is apparent after the first dose but does not reach its maximal effect until about 1 week with repeated dosing.

#### **Antiinflammatory Effect**

Inhibition of vasodilation and vasogenic oedema is related to a reduction in peripheral prostaglandin synthesis and probably through effects on other inflammatory processes that are independent of COX inhibition. The antiinflammatory effects of NSAIDs increase gradually over about 3 weeks.

# **Antipyretic Effect**

Fever is reduced through inhibition of hypothalamic COX-2. Circulating pyrogens such as interleukin-1 $\beta$  and interleukin-6 enhance PGE<sub>2</sub> production in the hypothalamus, which increases the hypothalamic set point temperature and increases heat production. NSAIDs do not affect normal body temperature.

#### **Reduction of Platelet Aggregation**

This action is mediated by inhibition of platelet COX-1 which reduces the synthesis of the potent platelet-aggregating agent thromboxane  $A_2$  (TXA<sub>2</sub>) (see Chapter 11). Aspirin is the most effective antiplatelet NSAID because it has an irreversible action on COX-1, and because platelets do not have nuclei, they are unable to synthesise more enzymes during their life span. Selective COX-2 inhibitors do not inhibit platelet aggregation (see Table 29.3).

# PHARMACOKINETICS

Most NSAIDs are weak acids that undergo some absorption from the stomach due to pH partitioning (see Chapter 2). This explains the relatively high drug concentration in cells of the gastric mucosa. However, most of the drug is absorbed via the larger surface area of the small bowel.

Absorption of NSAIDs from the gut is usually fairly rapid from conventional formulations. Certain

	PARACETAMOL	IBUPROFEN	NAPROXEN	DICLOFENAC	CELECOXIB
Analgesic	++	++	+	+	+
Antiinflammatory	_	+	+	+	+
Antipyretic	+	++	+	+	+
Gastrointestinal bleeding	_	Low	+	++	Low
Cardiovascular risk (MI, ischaemic stroke)	-	+	+	++	+

 Table 29.4
 Properties of Some Commonly Used Analgesic Drugs.

MI, Myocardial infarction.

NSAIDs can be given by intramuscular or intravenous injection for short-term management of postoperative pain (such as diclofenac and ketorolac), or rectally (such as diclofenac and ketoprofen). Transcutaneous delivery of several NSAIDs, usually as a gel formulation, is intended to provide high local drug concentrations while minimising systemic unwanted effects. Systemic actions will depend on the amount absorbed from the site of application.

Most NSAIDs undergo hepatic metabolism to inactive compounds. NSAIDs differ widely in their elimination half-lives, and short-acting drugs require frequent dosing or modified-release formulation to maintain continuous therapeutic effects, although synovial fluid concentrations in joint disease fluctuate less than plasma concentrations. Piroxicam undergoes enterohepatic cycling, which contributes to its long half-life.

Aspirin (acetylsalicylic acid) is initially deacetylated to a modestly active metabolite, salicylic acid, and finally inactivated by conjugation with glycine and, to some extent, glucuronic acid. Conjugation with glycine is saturable at higher doses, and the metabolism of salicylate then changes from first-order to zero-order elimination kinetics (see Chapter 2). This has important implications for aspirin overdose (see Chapter 53).

# **UNWANTED EFFECTS**

Most unwanted effects arise in part from the inhibition of prostaglandin synthesis throughout the body. They are usually dose related.

#### **Gastrointestinal Effects**

• Nausea and dyspepsia are the most frequent unwanted effects of NSAIDs, often associated with superficial gastritis. Less commonly, peptic ulceration develops which can lead to bleeding or perforation. Dyspepsia does not predict the risk of more serious upper gastrointestinal complications. Occult blood loss from the bowel is increased during regular treatment with NSAIDs, and the risk of overt gastrointestinal bleeding is also greater. The highest risk of gastrointestinal bleeding is with piroxicam and ketoprofen, whereas indometacin, diclofenac and naproxen carry an intermediate risk. Ibuprofen has the lowest risk. Gastric irritation and ulceration occur principally as a result of inhibition of mucosal production of  $PGE_2$  and  $PGI_2$  which contribute to cytoprotection in the stomach (see Chapter 33). Inhibition of both COX-1 and COX-2 is necessary for the development of much of the gastric toxicity. There are many mechanisms by which NSAIDs cause gastric irritation:

- Reduced mucus and bicarbonate secretion, while acid secretion is increased as a result of inhibition of prostaglandin production.
- Reduced mucosal blood flow due to inhibition of prostaglandin production. This probably enhances cytotoxicity by producing tissue hypoxia and enhanced local generation of free radicals.
- The mucus gel layer is rendered less hydrophobic due to the acidic nature of NSAIDs and their local concentration in gastric mucosal cells; this reduces the barrier effect of the surface layer.
- Uncoupling of cellular oxidative phosphorylation by NSAIDs increases mucosal cell permeability, with consequent back-diffusion of H<sup>+</sup> ions, which are trapped in the mucosal epithelium and lead to cytotoxicity.
- NSAIDs accumulate within gastric mucosal cells by direct absorption of the drug from the gastric lumen and also by systemic delivery of the drug to the mucosa. Therefore rectal or transdermal administration or the use of a prodrug may reduce but will not eliminate the risk of gastric damage. Prevention and management of NSAID-induced gastric damage is discussed in Chapter 33.
- Exacerbation of inflammatory bowel disease.
- Lower gastrointestinal bleeding or perforation.
- Local irritation and bleeding from rectal administration.

#### **Renal Effects**

NSAIDs can produce a reversible decline in glomerular filtration rate, with a rise in serum creatinine (acute kidney injury). Inhibition of prostaglandin production (PGE<sub>2</sub>, PGI<sub>2</sub>) reduces renal medullary blood flow (see Chapter 14). NSAIDs are more likely to impair renal function if there is underlying chronic kidney disease (as is often the case in the elderly) or concurrent acute kidney injury from another cause. There is also an increased risk in the

# presence of heart failure or cirrhosis, conditions that are associated with reduced effective circulating blood volume, when prostaglandins play a greater role in the maintenance of renal blood flow. NSAIDs are the second most common cause of drug-induced acute kidney injury after aminoglycoside antimicrobials, and this most often occurs during the first few weeks of treatment.

- NSAIDs can produce renal salt and water retention, even when renal function is normal. Reduced prostaglandin synthesis in the ascending limb of the loop of Henle increases expression of the Na<sup>+</sup>/K<sup>+</sup>/2Cl<sup>-</sup> co-transporter complex, and prostaglandins antagonise the action of vasopressin (antidiuretic hormone, ADH; see Chapter 14). Salt and water retention produced by NSAIDs can exacerbate heart failure and raises blood pressure by an average of 3 to 5 mm Hg. In addition, the efficacy of drug treatments for heart failure and hypertension (e.g. diuretics, angiotensin-converting enzyme inhibitors, β-adrenoceptor antagonists) is blunted by NSAIDs.
- Suppression of prostaglandin-mediated renin secretion by NSAIDs can lead to hypoaldosteronism and hyperkalaemia.
- Acute interstitial nephritis is a less common cause of renal impairment and can occur with any NSAID. It often becomes apparent after several months of NSAID use.

#### **Cardiovascular Effects**

The risk of myocardial infarction and ischaemic stroke is increased by most NSAIDs (and particularly diclofenac). A similar proportional increase in risk is found in people with no risk factors for coronary artery disease as in those with known ischaemic heart disease. The excess risk is apparent after just 1 week of use, peaking within the first month. It may be related to the degree of COX-2 inhibition with a differential reduction of vascular PGI<sub>2</sub> compared to inhibition of platelet thromboxane  $A_2$  production. Low-dose naproxen and low-dose ibuprofen may be associated with a lower risk than other NSAIDs.

#### Hypersensitivity

Hypersensitivity reactions occasionally produce asthma, urticaria, angioedema and rhinitis. People with nasal polyps and known allergic disorders appear to be most susceptible. NSAIDs can also precipitate a 'pseudoallergic' asthmatic attack in a subgroup of people with asthma through inhibition of PGE<sub>2</sub> production in the lung. PGE<sub>2</sub> has an inhibitory effect on leukotriene synthesis and mast cell degranulation, and reduction in PGE<sub>2</sub> leads to an increased synthesis of bronchoconstrictor cysteinyl-leukotrienes (LTC<sub>4</sub>, LTD<sub>4</sub>, LTE<sub>4</sub>; see Chapter 12). As many as one in seven people with asthma may be sensitive to NSAIDs.

#### Effects in Pregnancy

NSAIDs taken in pregnancy can delay the onset of labour, increase blood loss at delivery and cause premature closure of the ductus arteriosus in the fetus.

#### **Other Unwanted Effects**

Other unwanted effects are probably unrelated to prostaglandin inhibition and are sometimes specific for individual compounds.

- CNS unwanted effects such as headache, dizziness, drowsiness, insomnia and confusion, particularly in the elderly.
- Diarrhoea, constipation.
- Rashes.
- Aspirin can cause Reye's syndrome in children, a rare condition producing acute encephalopathy and fatty degeneration of the liver. Aspirin should be avoided in children under the age of 12 years.

# CYCLOOXYGENASE-2-SELECTIVE INHIBITORS

# **Examples**

celecoxib, etoricoxib, parecoxib

#### **Mechanism of Action**

Selective COX-2 inhibitors have less inhibitory action on COX-1, but the degree of selectivity for COX-2 varies among the drugs in this class. Selective COX-2 inhibitors have antiinflammatory actions similar to conventional nonselective NSAIDs, but there is some evidence that they may be less effective analgesics. Selective COX-2 inhibitors do not affect platelet TXA<sub>2</sub> production and therefore do not directly impair platelet aggregation. However, they suppress the production of the antiaggregatory and vasodilator PGI<sub>2</sub> by blood vessels, which may allow TXA<sub>2</sub> to exert unopposed aggregatory effects on platelets. Selective COX-2 inhibitors may also have antiinflammatory actions unrelated to their actions on prostaglandin production.

#### **Pharmacokinetics**

Celecoxib and etoricoxib are well absorbed from the gut. They are eliminated by hepatic metabolism. The halflife of celecoxib is 11 hours, whereas that of etoricoxib is longer (25 hours). Parecoxib can be given by intramuscular or intravenous injection for short-term control of postoperative pain and has a half-life of 5 to 9 hours.

#### **Unwanted Effects**

 COX-2-selective inhibitors produce fewer upper gastrointestinal unwanted effects and celecoxib, but not etoricoxib, reduces the risk of gastric ulcers and ulcer complications by up to 50%, compared with conventional NSAIDs. However, if low-dose aspirin is taken concurrently, this negates any benefits of coxibs on the gastric mucosa.

- Exacerbation of inflammatory bowel disease.
- Stomatitis or mouth ulcers.
- Fatigue, influenza-like symptoms.
- Palpitation.
- Selective COX-2 inhibitors rarely induce asthma attacks in NSAID-sensitive individuals.
- Selective COX-2 inhibitors increase the risk of myocardial infarction and ischaemic stroke. The risk is similar to that with most nonselective NSAIDs, but it was significantly higher with rofecoxib, which was withdrawn from the market in 2004. The mechanism is discussed under NSAIDs.
- Renal effects, including salt and water retention and acute kidney injury, are similar for selective COX-2 inhibitors and nonselective NSAIDs. The mechanism is discussed under NSAIDs.

#### PARACETAMOL

#### **Mechanism of Action**

Paracetamol (acetaminophen in the United States) is an analgesic and antipyretic without antiinflammatory activity. Its mechanisms of action remain incompletely understood. Paracetamol, and one of its metabolites AM404, inhibit both COX-1 and COX-2 in the brain but it is unclear whether this contributes to the analgesic action of paracetamol. It may be responsible for the antipyretic effect. In peripheral tissues, peroxides present in inflammatory lesions usually impair the action of paracetamol on COX-2, which may explain the lack of antiinflammatory activity.

Paracetamol may also modulate descending bulbospinal pain pathways by increasing the local concentration of serotonin. The paracetamol metabolite AM404 appears to have additional analgesic actions. It inhibits the degradation and neuronal reuptake of the endogenous cannabinoid, anandamide, which is an agonist at cannabinoid CB<sub>1</sub> receptors and the transient receptor potential vanilloid 1 (TRPV<sub>1</sub>) receptor. These receptors are involved in CNS transmission and modulation of pain.

#### **Pharmacokinetics**

Paracetamol is rapidly absorbed from the gut. It is metabolised mainly by conjugation, but the minor metabolite NAPQI is produced by cytochrome P450 in the liver and kidneys. NAPQI is detoxified by the limited supply of glutathione in these organs.

#### **Unwanted Effects**

- Paracetamol is usually well tolerated, and because it does not inhibit peripheral prostaglandin synthesis, it does not cause problems with homeostatic functions of prostanoids (e.g. gastrointestinal disturbances).
- Hepatic damage and renal failure in overdose due to failure to conjugate NAPQI (see Chapter 53).

# INDICATIONS FOR USING NONSTEROIDAL ANTIINFLAMMATORY DRUGS

NSAIDs are useful for pain relief, particularly for:

- Inflammatory conditions affecting joints, soft tissues, etc. Their use for inflammatory arthritis is discussed in Chapter 30 and for gout in Chapter 31. For osteoarthritis, paracetamol or topical NSAIDs (alone or in combination) should be tried before considering oral NSAIDs (see Chapter 30).
- Postoperative pain, intravenously, intramuscularly or orally.
- Renal colic.
- Headache.
- Primary dysmenorrhoea; stimulation of the uterus by prostaglandins can be responsible for the pain in this condition.
- Topical use on the cornea of the eye after cataract or corneal surgery.

About 60% of people will achieve adequate pain relief with any one NSAID, but those who fail to respond to one may derive benefit from another. Adequate time must be allowed for the full analgesic or antiinflammatory effect to develop (as discussed earlier). The initial choice of NSAID is mainly determined by unwanted effects, particularly on the stomach and the cardiovascular system. For these reasons, low-dose ibuprofen or naproxen are often the oral NSAIDs of first choice. Selective COX-2 inhibitors should be used in preference to standard NSAIDs only in people who are at high risk of developing serious gastrointestinal adverse effects, and when an NSAID is clearly indicated as part of the management. In older people or those at high risk of gastrointestinal complications, a proton pump inhibitor should be taken with an NSAID or a selective COX-2 inhibitor (see Chapter 33). Although absolute risk of cardiovascular events is low with both NSAIDs and selective COX-2 inhibitors, this should be considered when choosing a drug, particularly for those at highest risk.

NSAIDs are also used for other conditions not associated with pain:

- As an antipyretic in febrile conditions;
- To achieve closure of a patent ductus arteriosus in a neonate where patency may be inappropriately maintained by prostaglandin production. NSAIDs should not be given to a pregnant mother in the third trimester, to avoid premature closure of the ductus arteriosus.
- For modest reduction of menstrual blood loss in menorrhagia (excessive blood loss at menstruation).
- Low-dose aspirin for prevention of vascular occlusion by inhibition of platelet aggregation in atherosclerotic arterial disease (see Chapter 11).

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 In epidemiological studies, aspirin and other NSAIDs reduce the risk of developing colorectal cancer, breast cancer, head and neck cancer and prostate cancer. Aspirin also reduces the risk of metastasis from adenocarcinoma by about 50%. The effect of NSAIDs may result from inhibition of COX-2 which has a role in tumour initiation and progression, as well as actions on other nuclear transcription and cell signalling pathways that result in reduced cellular proliferation, migration and angiogenesis, and enhanced apoptosis.

# SELF-ASSESSMENT

# **TRUE/FALSE QUESTIONS**

- 1. Two cyclooxygenase (COX) isoenzymes can synthesise prostanoids.
- 2. Gastrointestinal complications are the most common unwanted effects of NSAIDs.
- 3. COX-2 is not expressed constitutively in cells.
- 4. PGE<sub>2</sub> does not cause pain directly.
- 5. NSAIDs inhibit COX-1 and COX-2 isoenzymes with equal potency.
- 6. Paracetamol is a potent analgesic and antiinflammatory drug.
- 7. Aspirin is a suitable analgesic for infants.
- 8. NSAIDs reduce gastric blood flow.
- 9. Celecoxib causes a greater incidence of gastrointestinal symptoms than naproxen.
- 10. Antiplatelet doses of aspirin (75 mg per day) can compromise renal function.
- 11. Older people have a greater risk of gastrointestinal adverse events when given NSAIDs.
- 12. Ibuprofen is an effective first-choice NSAID in severe rheumatoid arthritis.
- 13. Celecoxib is an antipyretic.
- 14. NSAIDs increase the risk of colorectal cancer.

# **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Which analgesic is thought to carry the greatest risk of myocardial infection?
  - A. Celecoxib
  - B. Diclofenac
  - C. Ibuprofen
  - D. Naproxen
  - E. Paracetamol

# EXTENDED-MATCHING-ITEM/CASE-BASED QUESTIONS

Choose the most appropriate drug from options A–E to be given initially in each case scenario (1–3) below.

- A. Aspirin
- B. Celecoxib
- C. Diclofenac plus a proton pump inhibitor
- D. Paracetamol
- E. Indometacin

- **Case 1.** An elderly man with a long history of hypertension, congestive heart failure with oedema and chronic gastritis has chronic mild knee pain due to osteoarthritic changes. The pain is interrupting his sleep.
- **Case 2.** A 38-year-old woman with poorly controlled asthma and a history of nasal polyposis and recurrent dyspepsia has rheumatoid arthritis and no history of heart disease.
- **Case 3.** A 45-year-old man who recently had a myocardial infarction is taking an angiotensin-converting enzyme (ACE) inhibitor and simvastatin.

# ANSWERS

### **TRUE/FALSE ANSWERS**

- 1. True. The two isoenzymes are COX-1 and COX-2.
- 2. **True.** Gastric irritation, ulceration and bleeding caused by inhibition of gastroprotective prostanoid synthesis are common unwanted effects of NSAID use.
- 3. **False.** COX-2 is present constitutively in some tissues such as renal arterioles, but can be markedly induced in many cell types by cytokines and inflammatory stimuli.
- 4. **True.** PGE<sub>2</sub> does not directly stimulate nociceptors but sensitises them to bradykinin and other stimuli.
- 5. **False.** There is a wide range in the selectivity of NSAIDs for inhibition of COX-1 and COX-2. Their antiinflammatory potency relates broadly to their potency in inhibiting COX-2, and their unwanted gastrointestinal effects to their potency in inhibiting COX-1.
- 6. **False.** Paracetamol is analgesic and antipyretic but has only a weak antiinflammatory effect. The reasons for this are imperfectly understood, but it may act on pain pathways within the CNS.
- 7. **False.** Aspirin can precipitate Reye's syndrome and should not be used in children under 12 years old. Other NSAIDs are also preferred to aspirin for analgesia and antiinflammatory effects in older children and adults, such as for rheumatoid disease.
- 8. **True.** Reduced blood flow contributes to the gastric damage caused by NSAIDs; they also inhibit bicarbonate and mucus secretion.
- 9. **False.** The COX-2-selective inhibitor celecoxib is associated with fewer gastrointestinal unwanted effects than the nonselective NSAID naproxen, although this difference declines with continued use.
- 10. **False.** Low doses of aspirin are safe, but long-term use of high doses can result in renal ischaemia, sodium and water retention, papillary necrosis and chronic renal failure.

- 11. **True.** Gastrointestinal unwanted effects are particularly common in those older than 75 years of age and in those with a history of peptic ulcer.
- 12. **False.** Ibuprofen is effective in mild-to-moderate arthritis, but other NSAIDs such as indometacin or diclofenac have greater antiinflammatory potential, albeit a greater propensity to cause unwanted effects.
- 13. **True.** Pyrexia is caused by elevation of PGE<sub>2</sub> levels synthesised in the CNS by COX-2.
- 14. **False.** Increasing evidence suggests that NSAIDs reduce the risk of many cancers, most probably by inhibiting PGE<sub>2</sub> synthesis by COX-2, or by COX-independent pathways.

# **OBA ANSWER**

1. **Answer B** is correct. Cardiovascular risk (of MI, ischaemic stroke) is a class effect of all NSAIDs (answers B–D) and coxibs (answer A) and not paracetamol (answer E), but the highest risk is thought to be associated with diclofenac (answer B).

# EXTENDED-MATCHING-ITEM/CASE-BASED ANSWERS

**Case 1: Answer D** is correct. Paracetamol is a good choice for this man's mild osteoarthritic pain. His hypertension and heart failure mean that he should

not be given an NSAID that may result in salt and water retention. Both the COX-2-selective and nonselective NSAIDs contribute to salt and water retention.

- **Case 2: Answer B** is correct. The selective COX-2 inhibitor celecoxib could be prescribed, as these are less likely than nonselective NSAIDs such as aspirin or diclofenac to precipitate a hypersensitive asthmatic attack. Paracetamol would not be useful, as it has little antiinflammatory action.
- **Case 3: Answer A** is correct. This man should be given low-dose aspirin (75 mg daily), as it prevents platelet aggregation by inhibiting TXA<sub>2</sub> synthesis while having a minimal effect on the production of the vasodilator and antiaggregatory PGI<sub>2</sub> (prostacyclin). This low dose has been shown to reduce the risk of another infarction.

# **FURTHER READING**

- Coxib and Traditional NSAID Trialist's (CNT) Collaboration, 2013. Vascular and upper gastrointestinal effects of nonsteroidal anti-inflammatory drugs: meta-analysis of individual participant data from randomized trials. Lancet 382, 769–779.
- Day, R.O., Graham, G.G., 2013. Non-steroidal anti-inflammatory drugs (NSAIDs). BMJ 346, f3195.

# Compendium of NSAIDs, Coxibs and Paracetamol.

DRUG	CHARACTERISTICS
NSAIDs (Including Selectiv	re COX-2 Inhibitors)
Aceclofenac	Analogue of diclofenac. Given orally for pain and inflammation in rheumatoid arthritis, osteoarthritis and ankylosing spondylitis.
Aspirin	Not suitable for children younger than 12 years due to the risk of Reye's syndrome. Aspirin is now generally restricted to antiplatelet use (see Chapter 11).
Celecoxib	Selective COX-2 inhibitor. Given orally for pain and inflammation in rheumatoid arthritis, osteoarthritis and ankylosing spondylitis.
Dexibuprofen	Active enantiomer of ibuprofen. Given orally for mild to moderate pain and inflammation, and for osteoarthritis and other musculoskeletal disorders.
Dexketoprofen	Isomer of ketoprofen. Given orally for short-term treatment of mild to moderate pain.
Diclofenac sodium	Similar in efficacy to naproxen. Given orally, rectally, by deep intramuscular injection or intravenous infusion, transdermally, or topically. Used for pain and inflammation in rheumatic disease and other musculoskeletal disorders, acute gout, postoperative pain, migraine, fever, and in ear, nose or throat infection in children. Also available in a combined formulation with misoprostol for gastric protection (see Chapter 33). Diclofenac potassium is more quickly absorbed than diclofenac sodium.
Etodolac	Similar efficacy to naproxen. Given orally for pain and inflammation in osteoarthritis and rheumatoid arthritis.
Etoricoxib	Selective COX-2 inhibitor. Given orally for pain and inflammation in osteoarthritis, rheumatoid arthritis and acute gout.
Felbinac	Given topically for musculoskeletal pain and inflammation.
Flurbiprofen	May be slightly more effective than naproxen, but there is a slightly higher risk of gastrointestinal side effects. Used for pain and inflammation in rheumatic disease and other musculoskeletal disorders, mild to moderate pain including dysmenorrhea, migraine, postoperative analgesia, sore throat. Given orally, rectally, as lozenge for sore throat, or topically to the eye.

# Compendium of NSAIDs, Coxibs and Paracetamol—cont'd.

DRUG C	CHARACTERISTICS
Ibuprofen F	Relatively weak antiinflammatory action, but low risk of adverse effects. Used for pain and inflammation in rheumatic disease and other musculoskeletal disorders; mild to moderate pain including dysmenorrhea, postoperative analgesia, migraine, dental pain, fever with discomfort and pain in children, and postimmunisation pyrexia. Given orally or transdermally.
Indometacin U	Used for pain and moderate to severe inflammation in rheumatic disease and other acute musculoskeletal disorders, acute gout, dysmenorrhea, and in premature labour (see Chapter 45). Given orally or rectally.
Ketoprofen L	Used for pain and mild inflammation in rheumatic disease and other musculoskeletal disorders, after orthopaedic surgery, in acute gout and for dysmenorrhea. Given orally, rectally, by deep intramuscular injection or transdermally; also available in oral formulation with omeprazole.
Ketorolac trometamol L	Used only in short-term management of moderate to severe acute postoperative pain. Given orally, or by intramuscular or slow intravenous injection.
Mefenamic acid V	Weak antiinflammatory action. Used for pain and inflammation in rheumatoid arthritis and osteoarthritis, postoperative pain, mild to moderate pain, dysmenorrhoea and menorrhagia.
Meloxicam (	Given orally for pain and inflammation in rheumatoid arthritis, osteoarthritis (short-term) and in ankylosing spondylitis.
Nabumetone S	Similar efficacy to naproxen. Given orally for pain and inflammation in osteoarthritis and rheumatoid arthritis.
Naproxen (	Good efficacy with low incidence of adverse effects. Used for pain and inflammation in rheumatic disease (including juvenile idiopathic arthritis) and other musculoskeletal disorders, dysmenorrhea and acute gout. Given orally or rectally; also available in combined formulation esomeprazole (see Chapter 33).
Nepafenac l	Used for postoperative pain and inflammation after cataract surgery. Given topically to the eye.
Parecoxib S	Selective COX-2 inhibitor. Licensed for short-term management of postoperative pain. Given by deep intramuscular or intravenous injection.
Piroxicam S	Similar efficacy to naproxen, but long duration of action allows once daily dosage. Restricted to specialist use because of gastrointestinal unwanted effects and serious skin reactions. Given orally, rectally or by deep intramuscular injection for rheumatoid arthritis, osteoarthritis and ankylosing spondylitis; also transdermal use.
Sulindac F	Prodrug with active metabolite. Similar profile to naproxen; given orally for pain and inflammation in rheumatic disease and other musculoskeletal disorders, and acute gout.
Tenoxicam S	Similar profile to naproxen. Given orally (once daily) or by intramuscular or intravenous injection for pain and inflammation in rheumatic disease and acute musculoskeletal disorders.
Tiaprofenic acid S	Similar profile to naproxen. Given orally for pain and inflammation in rheumatic disease and other musculoskeletal disorders. Not suitable for people with urinary tract disorders due to risk of severe cystitis.
Tolfenamic acid L	Licensed for the treatment of acute migraine (see Chapter 26). Given orally.
Related Drug	
Paracetamol 7 (acetaminophen)	The most widely used nonopioid analgesic. Given orally, rectally or intravenously. Also available in compound formulations with codeine or dihydrocodeine (see Chapter 19). Hepatotoxic in overdose (see Chapter 53).

# Rheumatoid Arthritis, Other Inflammatory Arthritides and Osteoarthritis

# **Chapter Outline**

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# RHEUMATOID ARTHRITIS

Rheumatoid arthritis is a chronic systemic inflammatory condition with an underlying genetic predisposition to immune dysregulation. Autoimmune processes contribute to the maintenance of rheumatoid arthritis, but it is not known how these are initiated. The pattern of inflammation in rheumatoid arthritis differs from that in most other immune-mediated diseases. Two autoantibodies, rheumatoid factor (typically immunoglobulin M (IgM) autoantibodies reactive with IgG) and anticyclic citrullinated peptide antibodies (ACPA), are characteristic of rheumatoid arthritis, although not present in all cases. They are often detected in plasma before symptoms arise.

The initiating antigen for the immune response in rheumatoid arthritis is unknown, although there is increasing evidence that alterations in the gut microbiome may be important. The antigen is believed to bind to Toll-like receptors (TLRs) – pattern-recognition molecules that bind to both foreign and self-structures – on dendritic cells and macrophages (antigen-presenting cells), which then activate naïve T-lymphocytes (see Chapter 38). Antigen-presenting cells express CD80 and CD86 co-stimulatory molecules which provide further signals for the activation of T-cells (Fig. 30.1).

The primary response to T-cell activation is infiltration of lymphoid cells (memory T-cells, macrophages and B-lymphocytes) into the synovium around joints, formation of new blood vessels (angiogenesis, stimulated by activated macrophages, lymphocytes and fibroblasts) and a proliferation of mesenchymal cells in the synovial membrane. The synovium becomes locally invasive at the junction of the joint cartilage and bone (forming pannus), and matrix metalloproteinases (MMPs) are released by chondrocytes, osteoclasts and synovial cells, which degrade cartilage and bone. Apart from psoriatic arthritis, other forms of inflammatory arthritis do not produce erosive changes in periarticular bone or joint destruction to the same degree.

The chronic inflammatory process in joints is initiated by T-helper 1 (Th1) lymphocytes that migrate into the joint. Failure of suppression of Th1 cells by regulatory T-cells may be important in the pathogenesis of rheumatoid arthritis. Activated T-cells produce proinflammatory cytokines, such as interferon- $\gamma$ , which stimulate B-cells, macrophages, fibroblasts, chondrocytes and osteoclasts (see Fig. 30.1). Macrophages, and to a lesser extent neutrophils, natural killer cells and activated T-cells in the inflammatory tissue, produce tumour necrosis factor  $\alpha$  (TNF $\alpha$ ). TNF $\alpha$  has a prominent role in the recruitment of immune and inflammatory cells into the joint by increasing the expression of adhesion molecules (integrins) on vascular endothelial cells. It also activates fibroblasts which in turn activate osteoclasts. Endothelial cell activation by TNFa promotes pannus formation. Monocytes and macrophages produce interleukin-1 (IL-1) which activates T-cells and induces MMP production, and IL-6 which stimulates several inflammatory and autoimmune processes. Synovial macrophages produce granulocyte macrophage colony stimulating factor (GM-CSF), which promotes activation of T-cells. The milieu of multiple inflammatory cytokines promotes differentiation of some T-cells into Th17 cells, which release IL-17. IL-17 activates the innate immune system through TLR signalling as well as releasing further proinflammatory cytokines.

B-cells play an important role in the pathology of rheumatoid arthritis. They act as antigen-presenting



Fig. 30.1 The biology of rheumatoid arthritis and sites of drug action. The affected synovial joint is characterised by inflamed and swollen synovium, with angiogenesis and increased presence of fibroblasts, osteoclasts, plasma cells, mast cells and B-lymphocytes. The synovial fluid contains increased numbers of neutrophil leucocytes, and there is erosion of cartilage and adjacent bone. The cascade of self-perpetuating inflammatory events involves many factors, including upregulation of the ubiquitous nuclear transcription factor NF- $\kappa$ B and generation of cytokines including interleukin (*IL*)-1, IL-6 and tumour necrosis factor  $\alpha$  (*TNF* $\alpha$ ). Some of the drugs shown act at multiple sites. *IFN*, interferon; *TLR*, Toll-like receptor.

cells that co-stimulate T-cells, generate inflammatory cytokines such as TNF $\alpha$  and produce rheumatoid factor antibody and ACPA. Rheumatoid factor and ACPA further potentiate inflammation by activating monocytes to produce proinflammatory cytokines. Many of the cytokines (particularly interleukins) implicated in the pathophysiology of rheumatoid arthritis produce their effects through intracellular signalling via Janusassociated tyrosine kinases (JAKs).

The plethora of cells that enter the synovium and the array of cytokines that are involved provide a large number of potential targets for disease-modifying antirheumatic drugs (DMARDs; see Fig. 30.1).

Rheumatoid arthritis usually presents as a symmetrical polyarthritis, with a peak incidence between 40 and 60 years. Symptoms usually appear gradually and most often involve the proximal interphalangeal joints of the fingers, metacarpophalangeal joints and wrists. Sometimes, inflammation of other joints such as the small joints of the feet, ankles and hips may be the presenting complaint, or they may become involved later. The affected joints are warm, swollen and painful. Stiffness is troublesome, particularly for longer than 30 minutes in the morning, as a result of an increase in extracellular fluid in and around the joint. Systemic disturbance is common, including general fatigue, malaise and weight loss. Extraarticular manifestations such as vasculitis and neuropathy can occur, especially in people who have a positive test for rheumatoid factor.

# OTHER TYPES OF INFLAMMATORY ARTHRITIS: THE SPONDYLOARTHROPATHIES

There are many types of inflammatory arthritis that have a pattern of joint involvement different from that of rheumatoid arthritis. They can affect small or large joints peripherally and may involve the sacroiliacjoints (sacroiliitis) as well as being associated with acute anterior uveitis. Collectively they are called the spondyloarthropathies, and include ankylosing spondylitis, psoriatic arthritis, enteropathic arthritis associated with inflammatory bowel disease, reactive arthritis and some juvenile idiopathic arthritis. Axial spondyloarthropathy describes a pattern of joint involvement that includes inflammation of the sacroiliac joints, often only detected on MRI scanning. About half of these will progress to radiological changes in the sacroiliac joints, when the term ankylosing spondylitis is used.

There is a genetic predisposition to spondyloarthropathies, notably possession of the human leucocyte antigen (HLA)-B27 gene in axial spondyloarthropathies, with other polygenic influences in the other disorders. It is possible that axial spondyloarthropathy is initiated by binding of an antigenic peptide to HLA-B27 on antigen-presenting cells.

Spondyloarthropathies are considered to be autoinflammatory syndromes and probably arise from activation of innate immune processes in response to as yet unknown triggers. However, the adaptive immune system is also involved in the genesis of psoriatic arthropathy. A variety of cytokines appear to be involved in the inflammatory response, including those derived from macrophages (TNF $\alpha$ , and various interleukins such as IL-1, IL-6, IL-8, IL-18), Th1 cells (IL-2, interferon  $\alpha$  (IFN $\alpha$ )), antigenpresenting cells (IL-23) and Th17 cells (IL-17). The inflammation is characteristically associated with enthesopathy (inflammation at the bone insertion of tendons and ligaments) and formation of new endochondral bone.

The presence of different pathophysiological mechanisms in rheumatoid arthritis and the spondyloarthropathies probably explains their different responses to treatments designed to modify disease progression.

# DRUGS FOR TREATMENT OF INFLAMMATORY ARTHRITIS

Nonsteroidal antiinflammatory drugs (NSAIDs; see Chapter 29) provide symptomatic relief in inflammatory arthritis but do not alter the long-term progression of joint destruction in rheumatoid arthritis. A diverse group of compounds can reduce the rate of progression of joint erosion and destruction, leading to improvement both in symptoms and in the clinical and serological markers of rheumatoid arthritis activity. These drugs produce long-term depression of the inflammatory response, even though they have little direct antiinflammatory effect. They all have a slow onset of action, with many producing little improvement until about 3 months after starting treatment. Such drugs are grouped together and known as DMARDs.

# CONVENTIONAL SYNTHETIC DISEASE-MODIFYING ANTIRHEUMATIC DRUGS

### Methotrexate

Methotrexate is one of the most effective antirheumatic drugs and is considered to be the first-line conventional DMARD in rheumatoid arthritis. Although methotrexate is a folate antagonist, co-administration of folic acid supplements does not reduce its immunomodulatory effect, but it does prevent much of the mucosal and gastrointestinal toxicity of the drug. A more important mechanism of action of methotrexate in arthritis is inhibition of the deamination of adenosine, causing its extracellular accumulation (see Chapter 38). Methotrexate is usually given orally once a week for the treatment of inflammatory arthritis. It can be given intramuscularly if oral use produces intractable gastrointestinal symptoms or if absorption by the oral route is inadequate.

#### Sulfasalazine

The action of sulfasalazine in arthritis is poorly understood. About 90% of sulfasalazine reaches the colon, where it is cleaved by bacterial enzymes to 5aminosalicylic acid and sulfapyridine. Sulfapyridine in the colon may reduce the absorption of antigens that promote joint inflammation. Some sulfasalazine and much of the sulfapyridine are absorbed from the gut and are found at similar concentrations in synovial fluid. Sulfasalazine inhibits an enzyme involved in the biosynthesis of purines, 5-aminoimidazole-4carboxamide ribonucleotide (AICAR) transformylase, in a similar manner to methotrexate (see Chapter 38) and may have an antiinflammatory effect by increasing the extracellular concentration of adenosine.

High doses of sulfasalazine are required for the treatment of rheumatoid arthritis, and these often produce gastrointestinal upset with an increased risk of insomnia and encephalopathy. These can be minimised by increasing the dose slowly and by using an enteric-coated formulation. Other problems include reversible oligospermia (and therefore sulfasalazine should be avoided in males who wish to have a family) and haematological abnormalities (especially in the first 3–6 months of treatment). Urine and tears may be coloured orange. Sulfasalazine is discussed more fully in Chapter 34.

#### Antimalarials

hydroxychloroquine

#### Mechanism of action

Hydroxychloroquine is weakly basic, which permits its uptake and concentration in a nonionised form within cells. Having entered the lysosomes inside the cell, the acidic environment traps and concentrates the drug in its ionised state. Macrophages depend on acid proteases in their lysosomes for digestion of phagocytosed protein. Hydroxychloroquine slightly increases the pH inside the macrophage lysosomes, which alters the processing of peptide antigens and reduces their subsequent presentation on the cell surface. Therefore the interaction between T-helper cells and antigen-presenting macrophages responsible for joint inflammation is reduced, with a reduction in the inflammatory response. Hydroxychloroquine also reduces the activation of antigen-presenting dendritic cells by blocking TLRs on their cell membrane. Antioxidant activity has also been demonstrated, but the relevance of this to rheumatoid disease is unknown.

#### Monitoring and prevention of unwanted effects

Retinal toxicity is a potential problem due to selective binding to melanin in photoreceptor cells in the macula and subsequent disruption of lysosomal function. It is rare with recommended doses of hydroxychloroquine, but specialist assessment of the eyes is recommended before treatment and again during treatment if there is a change in visual acuity or blurring of vision or if treatment continues for more than 5 years.

The other unwanted effects and pharmacokinetics of hydroxychloroquine can be found in Chapter 51.

#### Leflunomide

#### Mechanism of action

Leflunomide is an isoxazole derivative prodrug that is converted to an active derivative, teriflunomide. Teriflunomide inhibits dihydroorotate dehydrogenase, a key mitochondrial enzyme in the de novo synthesis of the pyrimidine ribonucleotide uridine monophosphate (rUMP). Activated lymphocytes require at least an eightfold increase in their pyrimidine pool to proliferate. Inadequate provision of rUMP increases the expression of the tumour-suppressor molecule p53 which translocates to the cell nucleus and arrests the cell cycle in the G<sub>1</sub> phase. This cytostatic action reduces the population of Th1 cells in rheumatoid arthritis. Dividing cells other than lymphocytes can obtain pyrimidines from a separate salvage pathway that reuses existing ribonucleotides, so these cells are not affected by leflunomide. Leflunomide also reduces T-cell-mediated B-cell activation by inhibition of tyrosine kinases, thereby suppressing immunoglobulin production.

# **Pharmacokinetics**

Leflunomide is a prodrug that is well absorbed from the gut and converted non-enzymatically, mainly in the intestinal mucosa and plasma, to its active metabolite teriflunomide. The metabolite is excreted via the bile, and enterohepatic circulation contributes to its very long plasma half-life (15 days).

#### Unwanted effects

- Anorexia, abdominal pain, nausea, diarrhoea.
- Increased blood pressure.
- Headache, dizziness, lethargy.
- Leucopenia, or less frequently anaemia or thrombocytopenia.
- Rash, dry skin and pruritus.
- Alopecia.
- Hepatotoxicity, which is potentially life-threatening, especially in the first 6 months of use.
- Teratogenicity: It is advised that conception should be avoided for 2 years after stopping treatment in women and for 3 months in men.

#### Monitoring and prevention of unwanted effects

Monitoring of full blood count and liver function should be carried out every 2 weeks during the first 6 months of treatment with leflunomide. Thereafter, the frequency can be reduced to every 8 weeks. If serious unwanted effects occur they can be persistent due to the long half-life of the metabolite. Elimination of the drug can be increased by the use of colestyramine (see Chapter 48) or activated charcoal to bind the active metabolite present in the gut after biliary excretion, thereby interrupting its enterohepatic circulation.

# TARGETED SYNTHETIC DISEASE-MODIFYING ANTIRHEUMATIC DRUGS

# Janus Kinase Inhibitors

Examples

baricitinib, tofacitinib

#### Mechanism of action

Janus kinases (JAKs) are a family of four non-receptor tyrosine kinases that are triggered by binding of several cytokines, hormones and growth factors to their cell surface receptors. JAK inhibitors are small molecules that bind to JAK and block the phosphorylation and activation of signal transducers and activators of transcription (STATS) that regulate intracellular activity and gene expression. Baricitinib blocks JAK1 and 2 (found in many cell types), whereas tofacitinib blocks JAK1 and 3 (the latter is restricted to haematopoietic and lymphoid cells).

#### Pharmacokinetics

Both baricitinib and tofacitinib are given orally. Baricitanib is eliminated unchanged by the kidneys, with a half-life of 13 hours. Tofacitinib is metabolised in the liver and has a half-life of 3 hours.

#### Unwanted effects

- Nausea, vomiting, gastrointestinal discomfort.
- Headache, insomnia.
- Peripheral oedema, hypertension, dyslipidaemia.
- Fatigue, fever.
- Increased risk of infection.
- Skin reactions.
- Anaemia.

# **Phosphodiesterase Type 4 Inhibitors**



# Mechanism of action and use

Phosphodiesterase 4 (PDE4) is principally found in haematopoietic cells where it generates intracellular cyclic adenosine monophosphate and influences production of several inflammatory mediators known to be involved in psoriasis and psoriatic arthropathy. Apremilast selectively inhibits PDE4 and reduces proinflammatory mediators as well as promoting antiinflammatory mediator synthesis.

# **Pharmacokinetics**

Apremilast is well absorbed from the gut and metabolised in the liver. It has a half-life of about 9 hours.

#### Unwanted effects

- Nausea, vomiting, anorexia, abdominal discomfort, diarrhoea.
- Insomnia, depression, headaches.
- Increased risk of infection.

# **BIOLOGICAL DISEASE-MODIFYING** ANTIRHEUMATIC DRUGS

Biological agents are either monoclonal antibodies or their derivatives, receptors, or other types of recombinant proteins. They have proved invaluable for the 40% of people with rheumatoid arthritis who fail to achieve control of disease activity with conventional DMARDs. They generally have greater efficacy when used together with methotrexate.

# **Tumour Necrosis Factor Alpha (TNFα) Inhibitors**

#### Examples

adalimumab, certolizumab, etanercept, golimumab, infliximab

#### Mechanism of action

TNFα stimulates several inflammatory processes (see previous discussion and Fig. 30.1). It acts by binding to one of two cell surface receptors, type 1 (TNFR1; widely expressed in many tissues) and type 2 (TNFR2; found only on cells of the immune system). There are several antibody derivatives available that block the action of TNFα:

- Adalimumab and golimumab are fully humanised monoclonal antibodies specific for  $TNF\alpha$ .
- Certolizumab pegol is a pegylated Fab fragment of a humanised monoclonal antibody specific for TNFα.
- Etanercept is a fusion protein consisting of two recombinant soluble extracellular portions of the human TNFR2, fused to the constant (Fc) domain of human immunoglobulin (IgG<sub>1</sub>). It binds to  $TNF\alpha$ and also to the cytokine lymphotoxin  $\alpha$  (also known as TNFβ).
- Infliximab is a chimaeric monoclonal antibody comprising the variable region of a murine antibody, which neutralises  $TNF\alpha$ , spliced to the constant region of a human antibody.

#### **Pharmacokinetics**

Adalimumab, certolizumab pegol, etanercept and golimumab are given by subcutaneous injection, and infliximab is given by intravenous infusion. The mechanism of elimination of these recombinant compounds is poorly defined but likely to be via the endosomal degradation pathway. Degradation is reduced by the presence of a human immunoglobulin Fc domain and can be further reduced by pegylation of the molecule. All antibodies to  $TNF\alpha$  have very long half-lives between 5 and 20 days, enabling dosing at frequencies ranging from twice weekly to monthly.

#### Unwanted effects

- All these drugs can produce gastrointestinal upset, hypertension, hypersensitivity reactions, injectionsite reactions, fever and headache. Blood disorders, including anaemia, leucopenia and thrombocytopenia, also occur.
- Worsening heart failure.
- Increased risk of thromboembolism. •
- Exacerbation of demyelinating disorders.
- Increased risk of pulmonary tuberculosis: screening for evidence of tuberculosis is recommended before initiation of therapy. Septicaemia and reactivation of hepatitis B virus occur more frequently with these drugs.

# Interleukin-1 Receptor Antagonist

Example

anakinra

#### Mechanism of action

Anakinra is a recombinant and slightly modified human IL-1 receptor antagonist (IL-Ra) (see Fig. 30.1). IL-1 is a family of cytokines, including two IL-1 receptor agonists (IL-1 $\alpha$  and IL-1 $\beta$ ) and a natural IL-1 receptor antagonist (IL-Ra). The theoretical basis for the use of anakinra is

that joint destruction arises from an imbalance between the agonists and the antagonist. IL-1 agonists are proinflammatory cytokines released by macrophages and fibroblasts in inflamed synovium, and by neutrophils in synovial fluid. The IL-1 peptides compete for occupancy of the IL-1 receptor on the membrane of synovial cells, and as little as 2% to 3% occupancy by the agonists produces maximal proinflammatory cell activation. Anakinra competitively blocks the IL-1 receptor and suppresses the inflammatory response. It is not recommended for use in adult rheumatoid arthritis in Scotland but can be used in juvenile rheumatoid arthritis throughout the UK.

# **Pharmacokinetics**

Anakinra is given by daily subcutaneous injection. Elimination is via the kidneys, and it has a short half-life (4–6 hours).

# Unwanted effects

- Injection-site reactions.
- Increased risk of serious infections, particularly in people with asthma.
- Neutropenia, thrombocytopenia.

# Interleukin-6 Receptor Antagonists

tocilizumab

# Mechanism of action

Tocilizumab is a recombinant humanised monoclonal antibody that acts as a competitive antagonist at the IL-6 receptor. IL-6 is a proinflammatory cytokine produced by a variety of cell types, including T- and B-lymphocytes, monocytes and fibroblasts. It is also produced by synovial and endothelial cells in joints affected by inflammatory processes such as rheumatoid arthritis. IL-6 is involved in T-cell activation, immunoglobulin secretion, and stimulation of hematopoietic precursor cell proliferation and differentiation.

# **Pharmacokinetics**

Tocilizumab is given by intravenous infusion every 4 weeks. It has a long half-life of 6 days and is probably cleared by proteolysis.

# **Unwanted effects**

- Abdominal pain, mouth ulceration, dyspepsia.
- Raised liver enzymes. Regular monitoring advised.
- Increased risk of infections, particularly upper respiratory tract infections.
- Skin reactions.
- Dyslipidaemia. Lipids should be measured 4 to 8 weeks after starting treatment.
- Oedema, hypertension.
- Dizziness, headache.
- Leucopenia. Regular monitoring advised.

# Interleukin-12 and Interleukin-23 Receptor Antagonist

Example

ustekinumab

# Mechanism of action and uses

Ustekinumab is a human monoclonal antibody that binds to a subunit present on both IL-12 and IL-23. These interleukins are involved in natural killer cell activation and Th cell differentiation and activation. It is used for treatment of psoriasis and psoriatic arthritis.

# **Pharmacokinetics**

Ustekinumab is given by subcutaneous injection, initially every 4 weeks, then every 12 weeks. It has a long half-life of 15 to 45 days and is probably cleared by proteolysis.

# Unwanted effects

- Oropharyngeal pain, nausea, diarrhoea.
- Increased risk of infections, including reactivation of tuberculosis.
- Injection site reactions.
- Dizziness, headache.
- Arthralgia, myalgia, back pain.
- Fatigue.

# Interleukin-17A Inhibitor

Example

secukinumab

# Mechanism of action and uses

Secukinumab is a human monoclonal antibody that binds to IL-17A and inhibits its binding to the IL-17 receptor. This reduces the release of proinflammatory cytokines and chemokines. Secukinumab is used for treatment of psoriatic arthritis and ankylosing spondylitis.

# **Pharmacokinetics**

Secukinumab is given by subcutaneous injection, initially every week for 5 weeks, then every month. It has a very long half-life of 22 to 31 days. It is probably cleared by proteolysis.

# Unwanted effects

- Diarrhoea.
- Increased risk of infection, including reactivation of tuberculosis.
- Rhinorrhoea.

# **T-Cell Activation Inhibitors**

Example

abatacept

#### Mechanism of action and uses

T-lymphocyte activation requires recognition of a specific antigen carried by an antigen-presenting cell, and a second co-stimulatory signal. A major co-stimulatory signal involves binding of CD80 and CD86 molecules on the surface of antigen-presenting cells to the CD28 receptor on T-cells. Abatacept is a monoclonal antibody that selectively binds to CD80 and CD86 and blocks the co-stimulatory signal. Abatacept therefore reduces the subsequent production of inflammatory mediators and proinflammatory cytokines.

A related co-stimulation inhibitor, belatacept, is used as an immunosuppressant in renal transplantation (see Chapter 38).

#### Pharmacokinetics

Abatacept is given by intravenous infusion. Its metabolism is unknown and it has a very long half-life of about 14 days.

#### Unwanted effects

- Headache, dizziness, fatigue.
- Nausea, stomatitis, abdominal pain, diarrhoea.
- Conjunctivitis.
- Leucopenia.
- Upper respiratory tract infection and, less commonly, other infections. It can activate latent tuberculosis or hepatitis B infection.

#### Anti-CD20 B-Cell Depleter



#### Mechanism of action and uses

Rituximab specifically depletes CD20-positive B-cells by binding to the CD20 antigen expressed on the cell surface (see Fig. 30.1). The depletion of mature and differentiating B-cells will reduce antigen presentation, stimulation of T-cells, cytokine production and production of autoantibodies. Responses in rheumatoid arthritis usually last for up to 6 months, and relapse corresponds with B-cell repopulation.

#### Pharmacokinetics

Rituximab is given by intravenous infusion, initially as two doses one week apart, then every 6 to 12 months according to symptoms. Its metabolism is unknown and it has a very long half-life of about 3 to 8 days.

#### Unwanted effects

 Cytokine release syndrome with fever, chills, nausea, vomiting and allergic reactions occurs in about one-third of people with the first infusion. Premedication with chlorphenamine, methylprednisolone and paracetamol should be given to reduce these reactions.

- Anorexia, dysphagia, abdominal pain, dyspepsia.
- Severe rashes.
- Headache, insomnia, dizziness.
- Pancytopenia.
- Exacerbation of angina, arrhythmia or heart failure can occur in people with cardiovascular disease.
- Septicaemia, reactivation of hepatitis B infection or latent tuberculosis.

# MANAGEMENT OF RHEUMATOID ARTHRITIS

Progressive joint damage is common in rheumatoid arthritis, and much of this damage occurs early in the disease. There is now a substantial body of evidence that early use of DMARDs leads to a better long-term outcome. The target should be to initiate treatment with a DMARD as soon as the diagnosis is made, with the goal of achieving remission, or at least low disease activity within six months to prevent structural damage in joints. DMARDs do not have significant antiinflammatory actions and require 2 to 3 months before an effect is established. Therefore they are almost always used initially in combination with a corticosteroid. A low dose of corticosteroid is often given for up to 6 months in combination with DMARDs. Corticosteroids also retard bone destruction and slow disease progression. Because of unwanted effects, corticosteroids should be withdrawn as soon as disease control is achieved. Intramuscular methylprednisolone is often used and is preferred over oral prednisolone, which can be difficult to withdraw (see Chapter 44). Pulsed intramuscular corticosteroid therapy can also be given for disease flares. Intraarticular injections of corticosteroid are used for individual inflamed joints (especially the knee and shoulder).

Methotrexate once a week, given with folic acid supplementation separated by 1 to 3 days, is the first-line DMARD. It is usually taken orally but subcutaneous use can be considered if gastrointestinal tolerability is a problem. If methotrexate is unsuitable or not tolerated, sulfasalazine and leflunomide are the drugs most frequently used.

If treatment with the first conventional synthetic DMARD fails to achieve remission (as occurs in up to 40% of newly diagnosed rheumatoid disease), a combination of two conventional synthetic DMARDs is not more effective than methotrexate alone. Therefore, additional treatment should be with a targeted synthetic DMARD (usually a JAK inhibitor such as baricitinib) or a biological therapy in combination with methotrexate. If a biological therapy is chosen, etanercept, abatacept or tocilizumab are often used initially. Combining biological therapy with methotrexate, or with leflunomide if methotrexate is not tolerated, produces a better response than the biological agent given alone. The combination produces remission and halts disease progression in up to 70% of people who are treated. Failure to respond to one TNF $\alpha$  inhibitor,

such as etanercept, does not predict lack of response to another. The plasma drug concentration of adalimumab or certolizumab can be measured to determine whether it is therapeutic, and the dose adjusted if it is not.

After achieving sustained remission with a combination of drugs, the biological or targeted synthetic DMARD can be gradually reduced and stopped if there is no evidence of relapse. Conventional synthetic DMARD therapy should be continued as relapse is common if it is stopped, and restarting treatment may not achieve further remission in up to 50% of cases. However, it is sometimes possible to reduce the dose of a conventional DMARD after one year of sustained remission. Up to 80% of people will maintain remission with this approach.

Cyclophosphamide is useful for the management of extraarticular manifestations of rheumatoid disease, such as vasculitis, pericarditis or pleurisy.

NSAIDs (see Chapter 29) are useful for symptomatic treatment of all types of inflammatory arthritis as they reduce both pain and stiffness. However, they do not affect the long-term course of the disease and DMARDs have superseded them as the mainstay of treatment of rheumatoid arthritis. NSAIDs should usually be stopped as soon as DMARDs are effective. There is considerable variation in responses to different NSAIDs and there is no way of predicting effectiveness in an individual. Propionic acid derivatives such as ibuprofen are often used first. They have weaker antiinflammatory activity than other classes of NSAID, but generally have fewer unwanted effects. More powerful drugs such as naproxen can be used when ibuprofen fails to control symptoms, although the increased risk of gastrointestinal irritation and cardiovascular events limit their use, especially in the elderly. Morning stiffness is often disabling in inflammatory arthritis. This is helped by giving a late-evening dose of an NSAID with a long half-life, a modified-release formulation of a compound with a short half-life, or an NSAID suppository. Topical NSAIDs applied over the affected joint(s) are not usually recommended. Selective cyclooxygenase (COX)-2 inhibitors are usually reserved for people who are intolerant of nonselective NSAIDs, or who have a higher risk of serious gastrointestinal complications with an NSAID (see Chapter 29). Physical aids such as splinting and bed rest can help acute flares of joint inflammation.

There is an increased risk of cardiovascular disease in people with rheumatoid arthritis, and attention to the conventional risk factors for prevention of atherosclerosis is important (see Chapter 5).

# MANAGEMENT OF SPONDYLOARTHROPATHIES

Pain and stiffness in the spondyloarthropathies are often helped by exercise. Stopping smoking is also important to reduce disease activity. The spondyloarthropathies often involve fewer peripheral joints than rheumatoid arthritis, and injection of a corticosteroid into inflamed joints can be particularly helpful. For persistent knee effusions, surgical synovectomy, followed by radioisotope synovectomy of residual tissue can be considered.

Although most evidence for the use of DMARDs has been obtained in the treatment of rheumatoid arthritis, there is also evidence for their efficacy in the seronegative spondyloarthropathies. Methotrexate, sulfasalazine and leflunomide give some benefit for peripheral joint disease in these forms of inflammatory arthritis, but do not improve axial joint inflammation or enthesitis. Hydroxychloroquine has no benefit in psoriatic arthritis and can exacerbate psoriasis.

TNF $\alpha$  inhibitors can produce remission of peripheral joint inflammation in ankylosing spondylitis, enteropathic arthritis and psoriatic arthritis in about 20% of those treated, with considerable symptomatic benefit in others. They are usually considered when conventional DMARDs have failed to produce remission.

Ustekinumab or secukinumab are options in psoriatic arthritis when TNF $\alpha$  inhibitors are ineffective or poorly tolerated and will also improve the skin lesions. Secukinumab is the only biological DMARD that improves axial joint inflammation, underlining the importance of IL-17 in this process. Apremilast can also be considered for psoriatic arthritis.

Biologic therapies were thought to have little effect on new bone formation in spondyloarthropathies, but recent evidence suggests that this may be improved if treatment is started early. If treatment with an anti-TNF $\alpha$  agent is stopped after remission is achieved, then relapse is common within 6 to 12 months.

NSAIDs are often used for symptom relief in spondyloarthropathies and will relieve pain and improve function. However, they should only be used for short periods, usually 2 to 4 weeks, during DMARD titration. They should be avoided in enteropathic arthritis, as they can exacerbate bowel disease.

# **OSTEOARTHRITIS**

Osteoarthritis is the clinical manifestation of joint degeneration that results from loss of articular cartilage and becomes more common with increasing age. Osteoarthritis is either age-related (when it can be localised or generalised) or premature due to a single strong risk factor such as joint injury or chondrocalcinosis. There is a strong polygenic predisposition to osteoarthritis, which accounts for up to 60% of risk.

The cardinal symptom of osteoarthritis is pain in the affected joint during physical activity, which is relieved by rest. Pain can also occur at rest with advanced disease. Whereas nociceptive pain is the principal cause of symptoms, neuropathic pain, due to altered joint innervation or changes in the spinal cord pain gating or cortical pain processing, becomes important in about a quarter of those with osteoarthritic pain. Stiffness may be troublesome in osteoarthritis for a few minutes after rest, but is not prolonged as it is in inflammatory arthritis. The knee is the joint most frequently involved in osteoarthritis, but it can affect various small joints, particularly the distal interphalangeal joints of the fingers and the carpometacarpal joint of the thumb. Other large joints such as the hip, elbow and shoulder are often asymmetrically affected.

The integrity of articular cartilage depends on the balance of synthetic and catabolic activity of the chondrocytes embedded in the cartilage matrix. Mechanical stress is the single most important factor in the development of osteoarthritis, and this can be an abnormal load on a normal joint or a normal load on a joint that has impaired protection against mechanical stress. Compression of cartilage produces many physical and biochemical stimuli that influence chondrocyte metabolism and promote matrix destruction and apoptotic chondrocyte death. Osteoarthritis is caused by excess cartilage degradation compared with synthesis of cartilage, with loss of the normal cartilage matrix. This is more common with increasing age because ageing cartilage is less able to synthesise new matrix, and has activated degradative pathways and impaired removal of damaged cells. Obesity increases mechanical load on joints but is also associated with release from adipocytes of inflammatory cytokines that degrade the matrix. Synovial inflammation results from release of cartilage debris into the joint, accompanied by catabolic mediators, which results in joint swelling.

Chondrocytes do not proliferate in adult cartilage, but promote synthesis of cartilage matrix by the expression of growth factors. Chondrocytes normally maintain cartilage homeostasis by autophagy, a housekeeping process that removes damaged cells and eliminates inflammatory cells. Autophagy is impaired with ageing and under mechanical or inflammatory stress. Chondrocytes produce matrix degradation products and proinflammatory mediators as they attempt to repair damaged cartilage, which stimulate proliferation of synoviocytes in the joint capsule. Synoviocytes also release proinflammatory mediators and promote synovial hypertrophy and increase vascularity. Aggrecanases and MMPs are synthesised by chondrocytes in response to stimulation by the proinflammatory cytokines IL-1 $\beta$  and TNF $\alpha$  released by inflammatory cells. Degradation of cartilage matrix proteins is carried out initially by aggrecanases which degrade the proteoglycan aggrecan and expose collagen to breakdown by matrix metalloproteinases (MMPs). Cartilage composition changes during the osteoarthritis process, and loss of collagen matrix leads to swelling and erosion of the surface of the cartilage and loss of surface lubrication. This progresses to deep fissures in the cartilage and expansion of the calcified cartilage zone.

Subchondral bone develops microcracks in response to mechanical stress and becomes increasingly vascular with new bone being laid down. It is uncertain whether the initiating factors for osteoarthritis originate in the articular cartilage or subchondral bone. However, recent evidence suggests that stiffening of subchondral bone, with less effective shock absorption, may be the trigger for cartilage loss.

# MANAGEMENT OF OSTEOARTHRITIS

Treatment of osteoarthritis currently remains symptomatic. Despite the role of inflammatory mediators in initiating damage to collagen, modulation of the effect of these mediators or inhibition of the enzymes involved in cartilage degradation have not shown any ability to modify the progression of osteoarthritis. Nonpharmacological therapies such as weight loss, exercise and orthotics are the mainstay of initial treatment.

Glucosamine sulfate or chondroitin sulfate supplements (over-the-counter preparations in the UK) are often used by people with osteoarthritis to limit progression, but there is little evidence of benefit.

If pain is troublesome, topical NSAIDs may be helpful for inflammatory episodes. Paracetamol is usually ineffective, and opioids provide little pain relief at the risk of promoting dependence. The risks of gastrointestinal and cardiovascular toxicity limit the value of oral NSAIDs. Duloxetine, a serotonin and noradrenaline reuptake inhibitor (see Chapter 22), can be helpful for refractory pain. Intraarticular or periarticular injection of a corticosteroid (see Chapter 44) can provide short-term symptomatic relief for a knee osteoarthritis, especially if there is clinical evidence of inflammation. Corticosteroids inhibit proinflammatory mediators in synovial tissue, such as IL-1 and TNF $\alpha$ .

Long-term management of osteoarthritis may eventually require surgical joint resurfacing or replacement.

Although there are many promising pharmacological approaches on the horizon to modify progression of osteoarthritis, none has yet been introduced into clinical practice.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. DMARDs have a slow onset of action.
- 2. NSAIDs reduce the symptoms of rheumatoid arthritis and retard its progress.
- 3. Methotrexate has a rapid onset of action compared with other DMARDs.
- During methotrexate therapy, folic acid is contraindicated.
- 5. Methotrexate has relatively few unwanted effects compared with other DMARDs.
- 6. The active component of sulfasalazine in rheumatoid disease is 5-aminosalicylic acid.
- 7. Combination therapy with DMARDs should not be used in rheumatoid arthritis.
- Leflunomide selectively inhibits pyrimidine synthesis in lymphocytes.

- 9. Intraarticular injections of corticosteroids slow the progression of erosions.
- 10. The antimalarial hydroxychloroquine is of significant benefit in psoriatic arthritis.
- 11. Apremilast is a JAK inhibitor.
- 12. Rituximab depletes B-lymphocytes.
- 13. Adalimumab is a fully humanised anti-TNF $\alpha$  monoclonal antibody.
- 14. Abatacept blocks antigen presentation to T-lymphocytes
- 15. Anakinra and tocilizumab activate cytokine receptors.
- 16. DMARDs are effective treatments for ankylosing spondylitis.

# **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Which biological DMARD is targeted against interleukin (IL)-17A?
  - A. Adalimumab
  - B. Certolizumab
  - C. Golimuab
  - D. Infliximab
  - E. Secukinumab

# **CASE-BASED QUESTIONS**

- 1. A 30-year-old woman had developed painful wrists gradually over 4 weeks; she had not experienced similar episodes of pain before. On examination, both wrists and the metacarpophalangeal joints of both hands were tender but not deformed. What course of treatment would you suggest?
- 2. There was some initial symptomatic improvement, but subsequently the pain, stiffness and swelling of the hands persisted, and 8 weeks later both knees became similarly affected. She saw a rheumatologist, who confirmed that she was suffering from rheumatoid arthritis and altered her treatment. What treatment option would now be appropriate?
- 3. She commenced treatment that required folic acid supplements to counteract folate depletion. What drug treatment had been started?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **True.** Most DMARDs take several weeks to show a clinical improvement.
- 2. **False.** NSAIDs do not slow disease progression in rheumatoid arthritis (RA).
- 3. **True.** Methotrexate is a folate antagonist and immunosuppressant often chosen as initial disease-modifying therapy for RA because of its relatively rapid onset of action (4–6 weeks).
- 4. **False.** The mode of action of methotrexate in arthritis is inhibition of the deamination of adenosine, but it also inhibits reduction of folic acid to dihydrofolate and tetrahydrofolate, which are essential for nucleotide synthesis. Folic acid can be given

daily to prevent the resulting gastrointestinal and haematological complications.

- 5. **True.** More than 50% of people who take methotrexate for rheumatoid arthritis continue taking the drug for 5 years or more, but a similar proportion have to cease treatment with most other DMARDs within 2 years.
- 6. **True.** Sulfasalazine is converted in the colon to 5-aminosalicylic acid, which is the active moiety in the treatment of inflammatory bowel disease, and to sulfapyridine, which is probably the main active moiety in rheumatoid arthritis.
- 7. **False.** The combination of methotrexate with biological DMARDs has shown significant benefit in people with severe RA.
- 8. **True.** The active metabolite of leflunomide inhibits synthesis of uridine monophosphate, and this slows the proliferation of T- and B-lymphocytes.
- 9. **False.** Corticosteroids can give relief of symptoms in RA, but there is no evidence they slow progression of the disease.
- 10. **False.** Hydroxychloroquine has no value in psoriatic arthritis, and can exacerbate plaque psoriasis.
- 11. **False**. Apremilast is a PDE4 inhibitor. JAK inhibitors include baricitinib and tofacitinib.
- 12. **True.** Rituximab depletes B-lymphocytes by binding to their CD20 surface antigen.
- 13. **True.** Adalimumab and other TNF $\alpha$  inhibitors are the most commonly used biological agents for moderate–severe rheumatoid arthritis, usually in combination with methotrexate or other DMARDs.
- 14. **True.** Abatacept blocks the CD80 and CD86 costimulatory molecules on antigen-presenting cells, preventing their interaction with CD28 on T-lymphocytes.
- 15. **False.** They are cytokine receptor antagonists; anakinra is an IL-1 receptor antagonist, and tocilizumab blocks IL-6 receptors.
- 16. **False.** There is limited evidence proving the efficacy of DMARDs in the spondyloarthropathies;  $TNF\alpha$  inhibitors may be effective in inducing remission.

# **OBA ANSWER**

1. **Answer E** is correct, as secukinumab is a monoclonal antibody directed against IL-17A. The other four biological DMARDs listed (answers A, B, C and D) are directed against TNFα.

#### **CASE-BASED ANSWERS**

- 1. The brief duration of the symptoms and their mild nature warrant the initial administration of an NSAID, such as ibuprofen, and follow-up.
- 2. The persistence of the symptoms and their spread to the knees suggest that a DMARD should be started. Guidelines now advise that DMARDs should be considered for persistent inflammatory joint disease of more than 8 weeks' duration.

3. Methotrexate is a DMARD that requires folate supplements (see the answer to the OBA question 6, given previously). Methotrexate takes 4 to 6 weeks for its onset of action. Methotrexate and an NSAID (or a corticosteroid) should be given together to cover this interim period.

# **FURTHER READING**

# RHEUMATOID ARTHRITIS AND OTHER INFLAMMATORY ARTHRITIDES

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- Van den Bosch, F., Coates, L., 2018. Clinical management of psoriatic arthritis. Lancet 391, 2285–2294.

### **OSTEOARTHRITIS**

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# Compendium of Disease-Modifying Antirheumatic Drugs (DMARDs).

DRUG	CHARACTERISTICS	
For NSAIDs see Chapter 29 and for corticosteroids see Chapter 44.		
Conventional Synthetic DMAR	)s	
This is a miscellaneous grou antiinflammatory mechanis superseded by biological methotrexate, or with leflu	p of small-molecule drugs for rheumatoid arthritis (RA) with varied immunosuppressant and sms of action; some are little used now except in specific circumstances as they have been largely DMARDs (see further below). However, biological DMARDs are often given together with nomide or sulfasalazine if methotrexate is not tolerated.	
Aurothiomalate (sodium salt)	Gold compound. Given (rarely) by deep intramuscular injection for active progressive rheumatoid arthritis (RA) and relapse; used only on expert advice. Exceptionally long half-life (250 days).	
Azathioprine	Immunosuppressant. Given orally; converted to mercaptopurine. Used for moderate to severe RA in people who have not responded to other DMARDs including methotrexate; more toxic than methotrexate. See Chapter 38 for more details.	
Chloroquine	Antimalarial drug (see Chapter 51), which reduces lysosomal processing of antigens. Given orally for moderate active RA; used only on expert advice. Long half-life (1–3 weeks).	
Ciclosporin	Calcineurin inhibitor. Given orally or intravenously for severe active RA when conventional second-line therapy is inappropriate or ineffective, and only on expert advice. See Chapter 38 for more details.	
Cyclophosphamide	Alkylating agent. Given orally for RA with severe extraarticular manifestations unresponsive to other DMARDs [ <i>unlicensed use</i> ]. Also used in cancer treatment (see Chapter 52).	
Hydroxychloroquine	Antimalarial drug (see Chapter 51), which reduces lysosomal processing of antigens. Given orally for moderate active RA and juvenile arthritis. Long half-life (18 days).	
Leflunomide	Prodrug converted to teriflunamide, a pyrimidine synthesis inhibitor. Given orally for moderate to severe RA; more toxic than methotrexate and used for people who have not responded to methotrexate.	
Methotrexate	Folate antagonist. Front-line DMARD for moderate to severe RA; given orally, subcutaneously or intramuscularly. Also used in Crohn's disease (see Chapter 34), plaque psoriasis (Chapter 49) and cancer (Chapter 52).	
Penicillamine	Chelating agent with inhibitory actions on T-lymphocytes. Given orally for active, progressive RA unresponsive to other treatments; used only on expert advice.	
Sulfasalazine	Antiinflammatory drug. Given orally for active RA; converted in the gut to 5-aminosalicylate and sulfapyridine. Used only on expert advice. Also used for ulcerative colitis (see Chapter 34).	
Targeted Synthetic DMARDs		
These are small-molecule dr or Janus-associated tyros specialist supervision.	ugs that inhibit specific targets in rheumatoid arthritis (RA), including phosphodiesterase 4 (PDE4) ine kinases (JAK). The JAK inhibitors are used in RA unresponsive to other DMARDs, under	
Apremilast	PDE4 inhibitor with antiinflammatory activity. Given orally in incremental doses over the first 6 days, as monotherapy or in combination with DMARDs in psoriatic arthritis.	
Baricitinib	Selective inhibitor of JAK1 and JAK2. Given orally for moderate-severe RA as monotherapy or in combination with methotrexate.	

# Compendium of Disease-Modifying Antirheumatic Drugs (DMARDs)-cont'd.

DRUG	CHARACTERISTICS
Tofacitinib	Selective inhibitor of JAK1 and JAK3. Given orally for moderate-severe RA as monotherapy or in combination with methotrexate.
Upadacitinib	Selective inhibitor of JAK1. Given orally.
Biological DMARDs	
Recombinant proteins given parenterally under specialist supervision. All (except anakinra) have very long half-lives, typically in the range of 1–3 weeks, leading to long dose intervals. Some are available in 'biosimilar' forms from different manufacturers.	
Abatacept	Monoclonal antibody against CD80/CD86 co-stimulatory molecules. Given by intravenous infusion in severe RA in those uncontrolled by other DMARDs, including a TNF $\alpha$ inhibitor and rituximab.
Adalimumab	Fully humanised monoclonal antibody against TNFα; used in combination with methotrexate for moderate to severe active RA when response to other DMARDs has been inadequate. Given by subcutaneous injection every 2 weeks. Also used in IBD (see Chapter 34) and psoriasis (see Chapter 49). Adalimumab is now available in several biosimilar forms from different manufacturers.
Anakinra	IL-1 receptor antagonist. Used in RA as an adjunct drug with methotrexate under expert supervision. Given by daily subcutaneous injection.
Belimumab	Inhibitor of an activating factor called B-lymphocyte stimulator (BLyS). Used as adjunctive therapy for arthritis in active, severe, poorly controlled systemic lupus erythematosus. Given by monthly intravenous infusion.
Certolizumab pegol	Pegylated Fab fragment of monoclonal antibody against TNFα; used as monotherapy or in combination with methotrexate for moderate to severe active RA. Given by subcutaneous injection every 2 or 4 weeks.
Etanercept	Fusion protein of TNFα receptor components with IgG. Used as monotherapy or with methotrexate for severe, active and progressive RA which has failed to respond to other DMARDs. Given by subcutaneous injection weekly or twice weekly. Etanercept is now available in biosimilar forms from different manufacturers.
Golimumab	Fully humanised monoclonal antibody against TNFα; used with methotrexate for moderate to severe active RA when response to other DMARDs has been inadequate. Given by monthly subcutaneous injection.
Infliximab	Monoclonal antibody against TNFα; used with methotrexate for severe, active and progressive RA which has failed to respond to at least two standard DMARDs. Given by intravenous infusion at incremental intervals of up to 8 weeks. Infliximab is now available in biosimilar forms from different manufacturers.
lxekizumab	Monoclonal antibody targets IL-17A and reduces psoriatic inflammation. Used in psoriatic arthritis with severe plaque psoriasis. Given subcutaneously at 2-week, then 4-week intervals.
Rituximab	Monoclonal anti-CD20 antibody that depletes B-lymphocyte precursors and mature B-cells; used in people with moderate–severe active RA who have an inadequate response to DMARDs and a TNFα inhibitor. Given by intravenous infusion at a 2-week interval. Rituximab is now available in biosimilar forms from different manufacturers.
Sarilumab	Monoclonal antibody against IL-6 receptors; uses are similar to tocilizumab but given subcuta- neously at 2-weekly intervals.
Secukinumab	Monoclonal antibody that targets IL-17A and reduces psoriatic inflammation. Given subcutaneously for psoriatic arthritis with severe plaque psoriasis at weekly, then monthly, intervals.
Tocilizumab	Monoclonal antibody against IL-6 receptors; used with or without methotrexate in people with moderate–severe RA when response to at least one DMARD or TNFα inhibitor has been inadequate. Given by monthly intravenous infusion or weekly subcutaneous injection.
Ustekinumab	Monoclonal antibody against a common subunit of IL-12 and IL-23; inhibits NK cell activity and T-cell differentiation. Used for psoriatic arthritis; given subcutaneously at 4-week, then 12-week intervals. Also used in plaque psoriasis and in IBD.

BLyS, B-Lymphocyte stimulator; DMARDs, disease-modifying antirheumatic drugs; IBD, inflammatory bowel disease; IFNα, interferon α; IgG, immunoglobulin G; IL, interleukin; NSAID, nonsteroidal antiinflammatory drugs; RA, rheumatoid arthritis; TNFα, tumour necrosis factor α.

# Hyperuricaemia, Gout and Pseudogout

# **Chapter Outline**

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THE PATHOPHYSIOLOGY OF GOUT

Gout is the most common inflammatory arthritis, affecting 2.5% of the UK population. It arises when monosodium urate crystals are deposited in cartilage, bone and periarticular tissues and are subsequently shed into the joint space. Gout is associated with a persistently raised plasma uric acid (urate) concentration (although the concentration may be normal during an acute attack). The major source of plasma uric acid is catabolism of the nucleic acid purine bases guanine and adenine (Fig. 31.1), with about 30% derived from dietary purines. Uric acid is relatively insoluble in water, unlike its immediate metabolic precursors, xanthine and hypoxanthine. About 70% of plasma uric acid is normally eliminated by the kidney, with about 30% lost through the gut. Uric acid is filtered at the glomerulus, but more than 90% is reabsorbed in the early proximal tubule via apical membrane urate reabsorption transporters (URAT1, OAT4, SLC2A9v2). An amount equivalent to 6% to 10% of the filtered load is normally secreted into tubular fluid by active apical membrane organic acid excretion transporters (ABCC4, NPT1, NPT4) in the second part of the proximal tubule. Additional transporters at the basolateral membrane are responsible for exchange of urate between the tubular cell and blood. Changes in the activity of these transporters are a common cause of raised plasma uric acid (hyperuricaemia).

Hyperuricaemia has many causes:

- Overproduction of uric acid due to:
  - excessive cell destruction (e.g. treatment of lymphoproliferative or myeloproliferative disorders);
  - inherited defects that increase purine synthesis;

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- high purine intake (such as red meat, fish, beer and spirits);
- metabolic syndrome (obesity, insulin resistance, hypertension and hyperlipidaemia).
- Reduced renal excretion of uric acid accounts for at least 80% of cases of gout and may be caused by:
  - reduced tubular uric acid secretion; alteration in the expression of genes encoding urate transporters is an important predisposing factor;
  - renal failure;



Fig. 31.1 The pathway for production of uric acid from purines and the sites of action of some of the drugs used in gout and hyperuricaemia.

• drugs that compete for the tubular excretion transporter for uric acid (e.g. loop and thiazidetype diuretics, ciclosporin). Low-dose aspirin also reduces uric acid excretion, but the effect is probably clinically insignificant. Alcohol is metabolised to lactic acid that competes with uric acid at reabsorption transporters.

A high plasma concentration of uric acid is often an incidental finding and frequently does not lead to symptoms; only 10% of people with hyperuricaemia will develop gout. Monosodium urate crystals form in vitro at physiological pH and temperature when the serum uric acid concentration exceeds about 408 µmol/L. At this point, deposition of urate crystals in tissues begins. Gout only develops when the crystals are shed into the joint space, where they are phagocytosed by neutrophils, macrophages, mast cells and dendritic cells within the synovium. This activates the NALP-3 inflammasome, which in turn leads to secretion of interleukin (IL)-1β, promoting endothelial adhesion of circulating inflammatory cells and endogenous pyrogen production. Activated monocytes and macrophages release other inflammatory mediators (such as IL-8, granulocyte colony-stimulating factor and the chemokines CXL-1 and CCL-2) that promote neutrophil leucocyte chemotaxis and proliferation, and recruit further macrophages and monocytes into the joint. Uric acid crystals also provide a surface on which complement component C5 is cleaved, with formation of complement membrane attack complex, which attracts neutrophil leucocytes. Neutrophils phagocytose monosodium urate crystals and release lysosomal enzymes that enhance tissue inflammation, destroy cartilage and damage the joint. Most attacks of gout are self-limiting, probably in part due to coating of the uric acid crystals with protein, which reduces their irritant properties.

Gout is more common with increasing age and is more common in men. In early disease, it usually affects a single lower limb joint. This is often the first metatarsophalangeal joint, causing podagra, but acute gout can also affect the knees, ankles, midfoot, and less commonly the wrists and elbows. Repeated acute attacks of gouty arthritis often affect additional joints if the underlying cause is not treated. Acute gout usually presents with rapid onset of severe joint pain that reaches maximum intensity within 24 hours. The affected joint is extremely tender, with erythema and swelling over and around the joint. In the elderly, a chronic arthritis affecting multiple joints with progressive joint damage can occur. A definitive diagnosis of gout requires the demonstration of monosodium urate crystals (negatively birefringent under polarized light microscopy) in the affected joint, but a clinical diagnosis is usually adequate if symptoms are classical.

After many years of recurrent acute attacks of gout, impacted uric acid crystal deposits (tophi) can

develop on the fingertips, feet and olecranon and prepatella bursae (chronic tophaceous gout). Excess uric acid can also be deposited in the interstitium of the kidney or can form stones in the renal calyces, both of which can produce progressive renal damage.

# **PSEUDOGOUT**

Pseudogout is due to calcium pyrophosphate dihydrate (CPPD) deposition in the joint space. CPPD crystals are often deposited in cartilage in degenerative joints, but pseudogout results when the crystals are shed into the synovial cavity. The triggers to crystal shedding include trauma and surgery, but there may also be underlying metabolic disturbance such as hypothyroidism, hyperparathyroidism, haemochromatosis, hypomagnesaemia or hypophosphataemia. Pseudogout has a similar, but usually less dramatic, clinical presentation to acute gout, often affecting the wrists, shoulders and ankles. In contrast to urate crystals, CPPD crystals are weakly positively birefringent under polarized light microscopy.

# DRUGS FOR THE TREATMENT OF GOUT AND PREVENTION OF HYPERURICAEMIA

There are two components of drug treatment for gout:

- treatment of an acute attack of gout;
- reduction of plasma uric acid concentration for prophylaxis against recurrent attacks of gout or to prevent kidney damage.

# DRUGS FOR THE TREATMENT OF ACUTE GOUT Colchicine

#### Mechanism of action and uses

Colchicine interferes with recruitment and function of neutrophil leucocytes in the gouty joint. It disrupts the assembly of microtubules in neutrophil leucocytes by forming a complex with tubulin in the cell. Microtubules have many functions in the cell, and the action of colchicine impairs the adhesion of neutrophils to endothelial cells, which reduces their recruitment into the inflamed joint, and also impairs phagocytosis of crystals if the neutrophil does enter the joint. In addition, if crystals are phagocytosed into the neutrophil, colchicine inhibits the subsequent release of lysosomal enzymes and free radicals that damage the joint. Colchicine also inhibits NALP-3 inflammasome activation in macrophages.

All of these actions give colchicine a specific antiinflammatory effect in the gouty joint. Colchicine is also effective in pseudogout, which has a mechanism of inflammation similar to gout, but it is ineffective in other forms of inflammatory arthritis.

Other uses of colchicine include the management of recurrent pericarditis and familial Mediterranean fever.

# Pharmacokinetics

Colchicine is well absorbed from the gut. It is usually given every 6 to 12 hours until symptomatic relief is achieved or unwanted effects occur. Pain relief usually begins after about 18 hours and is maximal by 48 hours.

# Unwanted effects

Colchicine has a low therapeutic index, so unwanted effects are common and often dose-limiting. These include:

- Gut toxicity caused by inhibition of mucosal cell division, which produces abdominal pain, nausea, vomiting and diarrhoea.
- Rash.
- Longer-term use can cause renal or liver injury or bone marrow disorders.
- Drug interactions: avoid concomitant use of strong CYP3A4 inhibitors, such as macrolide antimicrobials, or P-glycoprotein inhibitors such as verapamil.

# DRUGS FOR TREATMENT OF HYPERURICAEMIA

#### Xanthine Oxidase Inhibitors

# Examples

allopurinol, febuxostat

#### Mechanism of action

Allopurinol is an analogue of hypoxanthine, which is an intermediate in the pathway that generates uric acid. Both allopurinol and its major metabolite (oxipurinol) competitively inhibit xanthine oxidase, for which hypoxanthine is the natural substrate, thereby reducing uric acid formation (see Fig. 31.1). Febuxostat is a non-purine, selective inhibitor of xanthine oxidase. Although plasma xanthine and hypoxanthine concentrations increase when these drugs are given, they do not crystallise. Because of their greater water solubility their concentrations remain well below saturation levels, even with maximal xanthine oxidase inhibition. Xanthine and hypoxanthine are reincorporated into the purine synthetic cycle, which decreases the need for *de novo* purine formation.

#### Pharmacokinetics

Allopurinol is well absorbed from the gut and converted in the liver to an active metabolite with a long half-life, oxipurinol (alloxanthine). Both allopurinol and its metabolite undergo renal excretion. Febuxostat is well absorbed from the gut and eliminated by both metabolism and renal excretion with a variable half-life (1–15 hours).

#### Unwanted effects

• Nausea and vomiting, and also diarrhoea with febuxostat.

- An increased risk of acute gout during the first few weeks of treatment; this may be caused by fluctuations in plasma uric acid, possibly through uric acid release from tissue deposits.
- Hypersensitivity reactions with allopurinol. These reactions include serious rashes such as Stevens– Johnson syndrome or toxic epidermal necrolysis. They are more common in people with chronic kidney disease. Consider screening people of Han Chinese, Korean or Thai descent for HLA-B501 allele, because they also have increased risk of severe hypersensitivity reactions to allopurinol.
- Headache and oedema with febuxostat.
- Concern has recently been raised about a potential increase in cardiovascular mortality with intensive serum urate reduction.
- Drug interactions: allopurinol and febuxostat inhibit the metabolism of the cytotoxic drugs mercaptopurine and azathioprine (see Chapter 52) because these are also metabolised by xanthine oxidase.

# Rasburicase

# Mechanism of action

Rasburicase is a recombinant version of urate oxidase, which catalyses the oxidation of uric acid to a soluble metabolite, allantoin. This enzyme is present in mammals other than humans; the recombinant version is produced by a genetically modified strain of the fungus *Aspergillus flavus*. Rasburicase is used for prophylaxis of hyperuricaemia during treatment of malignancies with chemotherapy.

#### **Pharmacokinetics**

Rasburicase is given intravenously and is metabolised by peptide hydrolysis in plasma.

#### Unwanted effects

- Fever.
- Nausea, vomiting, diarrhoea.
- Hypersensitivity reactions: rasburicase induces antibody responses in about 10% of those treated, although allergic reactions (such as rash, bronchospasm and anaphylaxis) are rare.
- Haemolysis from the production of hydrogen peroxide as a by-product of the formation of allantoin.

# **Uricosuric Agents**

Sulfinpyrazone

#### Mechanism of action

Sulfinpyrazone competitively inhibits the urate anion transporter URAT1, which is responsible for reabsorption of uric acid in the proximal renal tubule. It increases urate excretion in urine and reduces the concentration in plasma.

#### Pharmacokinetics

Sulfinpyrazone is eliminated by hepatic metabolism and has a half-life of 4 to 5 hours.

# Unwanted effects

- Gastrointestinal upset.
- There is a risk of precipitation of uric acid crystals in the kidney with deterioration of renal function, particularly during the early stages of treatment. This can be prevented by maintaining a high fluid intake and alkaline urine (using potassium citrate or sodium bicarbonate) and by slowly titrating the dose.
- Allergic rashes.
- Drug interactions: aspirin and other salicylates should not be given with uricosuric drugs, because low doses of salicylates inhibit tubular uric acid secretion.

#### **TREATMENT OF GOUT**

# **ACUTE GOUT**

For acute attacks of gout, nonsteroidal antiinflammatory drugs (NSAIDs; see Chapter 29) are the treatment of choice, with consideration given to use of a proton pump inhibitor to reduce the risk of NSAID-related gastrointestinal complications. Cyclooxygenase 2 (COX-2)-selective antiinflammatory drugs are as effective as nonselective NSAIDs. Colchicine is an alternative first-line treatment, especially for people who are intolerant of NSAIDs, or who have a contraindication to their use. It is less effective if started more than 24 hours after the onset of symptoms. Low-dose regimens of colchicine minimise the risk of diarrhoea.

Oral corticosteroids (e.g. prednisolone; see Chapter 44) are reserved for resistant episodes of gout or if other treatments are contraindicated. A 5-day high-dose regimen is most commonly used. Intraarticular injection of a corticosteroid, such as hydrocortisone or triamcinolone, can also be very effective if a single joint is involved.

Canakinumab is a monoclonal antibody that selectively inhibits IL-1 $\beta$ . A single subcutaneous dose can be used to treat acute gout that has not responded to standard treatments or when these treatments are contraindicated.

Rest and joint cooling with ice can provide pain relief in addition to drug therapy.

# **PREVENTION OF GOUT ATTACKS**

The long-term aim of the treatment of gout is to prevent uric acid crystal formation and dissolve existing crystals by lowering the serum uric acid concentration. Efforts should always be made to identify and remove precipitating causes, particularly enquiring about diet and alcohol intake and reviewing concurrent drug therapy. Prophylaxis of hyperuricaemia is recommended if the person has had two or more attacks of gout in a year, for tophi, chronic urate arthropathy or in the presence of renal impairment. It is also given prophylactically before cytotoxic chemotherapy, when tissue breakdown releases purines which generate large amounts of uric acid. To prevent gout, the serum uric acid concentration should be reduced to less than 360 µmol/L, although it may be necessary to go below 300 µmol/L to reabsorb gouty tophi.

Allopurinol is the first-line drug for prophylaxis; febuxostat is reserved for people who do not tolerate allopurinol. Allopurinol or febuxostat are often started 2–4 weeks after an acute attack of gout to reduce the risk of exacerbating the attack, but delaying treatment is probably not necessary. To reduce the risk of provoking an attack of gout, a low dosage of colchicine or an NSAID is usually given during the first 3 to 6 months of treatment with allopurinol or febuxostat. Less commonly, a low dosage of allopurinol can be given alone with slow dose titration until the target plasma uric acid concentration is achieved.

Sulfinpyrazone has a limited role when allopurinol and febuxostat are not tolerated, or it can be used in combination with allopurinol for resistant hyperuricaemia. Low-dose NSAIDs or colchicine are sometimes used long-term to prevent gout, although good data on their efficacy are lacking.

Rasburicase is used when intravenous prophylaxis against gout is required during cancer chemotherapy.

Prophylactic treatment for chronic hyperuricaemia should usually be life-long, as recurrence of gout or tophi frequently occurs if treatment is stopped. However, during cytotoxic chemotherapy, only short-term prophylaxis is needed to prevent gout.

#### TREATMENT OF PSEUDOGOUT

Acute attacks of pseudogout can be managed similarly to gout with NSAIDs or colchicine. Joint aspiration, alone or combined with intraarticular injection of a corticosteroid, is effective for monoarthritis. Oral corticosteroid can be used for severe symptoms or when other drugs are contraindicated. Symptoms usually respond to treatment within 10 days.

There are no effective prophylactic treatments for pseudogout.

#### SELF-ASSESSMENT

# **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Identify the best description of the mechanisms of drug action in gout.
  - A. Allopurinol enhances the renal excretion of uric acid.
  - B. Canakinumab is a monoclonal antibody directed against xanthine oxidase.
  - C. Colchicine disrupts microtubule function in neutrophils that cause joint damage.
- D. Febuxostat is a purine analogue that inhibits xanthine oxidase.
- E. Rasburicase is a recombinant version of xanthine oxidase.

#### **CASE-BASED QUESTIONS**

A 56-year-old man awoke in the night with sudden severe pain in his first metatarsophalangeal joint, which lasted for 1 week. Over the next few months, he had similar acute episodes of pain in his ankles and knees, as well as his big toe. He had hypertension but no other vascular disease. His GP suspected gout and referred him to a specialist.

- 1. What treatment should the GP institute for the acute attacks, prior to the specialist diagnosis?
- 2. What test could the rheumatologist do to confirm the suspected diagnosis?
- 3. The diagnosis of gout was confirmed. What is the cause of gout?
- 4. What would you prescribe for prophylaxis to reduce recurrent attacks, and how does this agent act?
- 5. The chosen treatment was only partially effective; what additional treatment could you prescribe?
- 6. What might be the consequences of inadequate treatment of this man?

# **ANSWERS**

#### **OBA ANSWER**

- 1. **Answer C** is correct.
  - A. Incorrect. Allopurinol inhibits uric acid formation by xanthine oxidase. The renal excretion of urate is instead enhanced by the uricosuric drug sulfinpyrazone, which inhibits the URAT1 transporter responsible for its renal reabsorption.
  - B. Incorrect. Canakinumab is directed against the inflammatory cytokine IL-1β.
  - C. **Correct.** Disrupting microtubules in neutrophil leucocytes is one of the antiinflammatory mechanisms of colchicine in gout.
  - D. Incorrect. Febuxostat is a *non*-purine inhibitor of xanthine oxidase.

E. Incorrect. Rasburicase is a recombinant version of urate oxidase, which converts urate to a soluble metabolite (allantoin).

# **CASE-BASED ANSWERS**

- 1. The treatment of choice for an acute attack is an NSAID to reduce pain and inflammation. Indometacin is often used and is effective within 2 days. Colchicine or corticosteroids can be used in people intolerant to NSAIDs, but both have significant unwanted effects.
- 2. Plasma uric acid will be raised. An arthrocentesis sample will show sodium urate crystals. Infection should be excluded in an acutely inflamed joint.
- 3. Gout is caused by relatively insoluble sodium urate, a product of purine metabolism, crystallising in the joint space. People who develop gout have usually had hyperuricaemia for years. Overproduction of uric acid due to dietary purines (e.g. in meat and fish) or excessive alcohol consumption can contribute to gout, but in most people hyperuricaemia is caused by impaired renal clearance of uric acid.
- 4. Hyperuricaemia is treated after resolution of the acute attack. People who overproduce uric acid are best treated with allopurinol, which reduces plasma uric acid by inhibiting xanthine oxidase. This increases concentrations of hypoxanthine and xanthine, which are more water-soluble than urate.
- 5. A low renal excretion of uric acid may be treated with a uricosuric drug (sulfinpyrazone); this inhibits the reabsorption of uric acid in the proximal convoluted tubule.
- 6. Untreated gout can lead to chronic joint damage and the formation of kidney stones. A significant number of people with gout will have hypertension and increased risk of cardiovascular and renal disease.

#### **FURTHER READING**

- Abhishek, A., Roddy, E., Doherty, M., 2017. Gout a guide for the general and acute physicians. Clin. Med. 17, 54–59.
- Dalbeth, N., Merriman, T.R., Stamp, L.K., 2016. Gout. Lancet 388, 2039–2052.

# Drugs Used for Gout and Hyperuricaemia.

DRUG	CHARACTERISTICS
For nonsteroidal antiinflammatory drugs us	sed in gout, see Chapter 29.
Allopurinol	Purine inhibitor of xanthine oxidase. Given orally for prophylaxis of gout and of hyperuricaemia associated with cancer chemotherapy. Rapidly converted to active metabolite (oxipurinol) which has a long half-life (10–40 h).
Canakinumab	Interleukin-1β inhibitor; can be used in treatment of frequent gouty arthritis attacks when there is inadequate response to standard treatment. Given subcutaneously.
Colchicine	Antiinflammatory drug that disrupts leucocyte microtubules. Given orally for acute gout and short-term prophylaxis during initial therapy with other drugs.
Febuxostat	Non-purine inhibitor of xanthine oxidase. Given as oral prophylaxis in people who are intolerant to allopurinol or when allopurinol is contraindicated.
Rasburicase	Recombinant form of fungal urate oxidase which converts urate to soluble allantoin. Given intravenously for hyperuricaemia during initial chemotherapy of haematological malignancy.
Sulfinpyrazone	Uricosuric drug (inhibits the renal tubular URAT1 transporter that reabsorbs urate). Given orally for gout prophylaxis and hyperuricaemia.

# Nausea and Vomiting

# 32

# **Chapter Outline**

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# **NAUSEA AND VOMITING**

Nausea is an unpleasant subjective sensation that suggests the person will imminently vomit. However, there is not always a direct link between nausea and vomiting. Severe nausea can exist without subsequent vomiting, while occasionally vomiting occurs without preceding nausea.

Vomiting (emesis) is part of the body's defence against ingested toxins. Vomiting is a protective reflex that removes toxic agents from the gut before absorption. The reflex is integrated by a loose neuronal network known as the *vomiting centre* (VC) in the medulla oblongata of the brainstem. This is closely associated with the nucleus tractus solitarius which controls peristaltic activity in the upper gastrointestinal tract. Afferent input to the VC comes from several sources (Fig. 32.1):

- Proemetic pharyngeal, abdominal and cardiac vagal afferents, which are activated by mechano- or chemosensory receptors. Chemosensory receptors respond to several agonists released from enterochromaffin cells or mast cells in response to emetogenic stimuli. These include acetylcholine, dopamine, serotonin, prostaglandins, histamine and various neurokinins. Vagal afferent fibres project to the nucleus tractus solitarius in the medulla oblongata.
- The chemoreceptor trigger zone (CTZ), which is located in the area postrema in the floor of the fourth ventricle. It lies outside the blood-brain barrier and responds to stimuli from both the cerebrospinal fluid and the systemic circulation including drugs (Box 32.1), metabolic products and bacterial toxins. The CTZ has receptors for neurotransmitters such as dopamine (D<sub>2</sub>), serotonin (5-HT<sub>3</sub>) and substance P (NK<sub>1</sub>). It has numerous afferent and efferent connections with the underlying nucleus tractus solitarius.

- The vestibular nuclei, which are involved in the emetic response to motion (muscarinic and histamine H<sub>1</sub> receptors).
- Higher centres of the cortex and limbic system, which provide cognitive and emotional input to trigger both nausea and vomiting.
- Intracranial pressure receptors.

Several neurotransmitter receptors are found in the VC, including those for dopamine ( $D_2$ ), serotonin (5-HT<sub>2</sub>), acetylcholine (muscarinic) and histamine (H<sub>1</sub>) (see Fig. 32.1). The roles of these multiple receptors in the triggering of nausea and vomiting are complex. Vomiting can result from the summation of several sub-emetic stimuli – for example, in the genesis of postoperative nausea and vomiting.

Vomiting is often preceded by physiological changes including pallor, sweating, tachycardia and decreased intestinal motility. These are mediated by decreased parasympathetic and increased sympathetic tone. This autonomic imbalance is probably modulated by the central nervous system.

Efferent connections from the VC include the vagus and phrenic nerves. When stimulated, these nerves relax the fundus and body of the stomach and the lower oesophageal sphincter; then retrograde giant contractions occur in the small intestine. Contractions of the diaphragmatic and abdominal muscle compress the stomach, and together these factors produce vomiting.

# ANTIEMETIC AGENTS

The efficacy of antiemetic agents varies according to the trigger for vomiting. Several classes of drug have antiemetic properties, but they are not equally effective against all causes of vomiting. Antiemetic drugs are relatively ineffective for treating nausea and retching.



Fig. 32.1 Neuronal pathways and receptors involved in the control of nausea and vomiting. The pathways and neurotransmitter receptors involved in nausea and vomiting are complex; only those underpinning the mechanisms of action of the antiemetic drugs are shown. The chemoreceptor trigger zone (*CTZ*) has neuronal connections to the vomiting centre (*VC*), which is a collection of nuclei including the dorsal motor nucleus of the vagus and the nucleus tractus solitarius.  $5HT_{gr}$  5-Hydroxytryptamine type 3 receptor; *DA*, dopamine receptor; *ENK*, enkephalin (opioid) receptor;  $H_{rr}$  histamine type 1 receptor; *M*, muscarinic receptor (possibly M<sub>2</sub>); *NK*<sub>r</sub>, neurokinin 1 receptor; *VN*, vestibular nuclei. Other mediators such as glutamate may also be involved.

# Antihistamines

	Examples	
cycl	izine, promethazine	

# Mechanism of action and clinical use

Antihistamines prevent and treat vomiting by their antagonist action at histamine  $H_1$  receptors (see Chapter 39), and many also have antimuscarinic effects. Promethazine also blocks some 5-HT receptor subtypes. Antihistamines are effective against most causes of vomiting but, apart from the use of cyclizine for drug-induced vomiting and both cyclizine and promethazine for pregnancy-related vomiting, they are rarely treatments of choice.

# **Pharmacokinetics**

These drugs are well absorbed orally; both promethazine and cyclizine can also be given by intramuscular or intravenous injection. After oral dosing, promethazine undergoes extensive first-pass metabolism. Both drugs are metabolised in the liver; the half-life of promethazine is 7 to 14 hours and that of cyclizine 20 hours.

# Unwanted effects

- Sedation (particularly with promethazine), anxiety, dizziness and headache.
- Antimuscarinic effects (see Chapter 4), especially dry mouth, urinary retention and blurred vision.

Box <b>32.1</b>	Drugs Associated with a High Incidence of Nausea and Vomiting.		
Allopurinc	pl		
Antimicro	bials (oral use)		
Bromocri	otine		
Cytotoxic doxoru	Cytotoxic agents (especially cisplatin, cyclophosphamide, doxorubicin, nitrosoureas)		
Digoxin			
Gold (soc	lium aurothiomalate)		
Iron (oral	Iron (oral use)		
Levodopa	3		
Nonsteroi	Nonsteroidal antiinflammatory drugs		
Oestrogens (oral use)			
Opioid analgesics			
Penicillamine			
Sulfasalazine			
Theophylline			

# AL GRAWANY

#### **Antimuscarinic Agent**

hyoscine

#### Mechanism of action and clinical use

Muscarinic receptors are involved in the visceral afferent input from the gut to the VC and in the eighth cranial nerve connection from the labyrinth to the CTZ via the vestibular nucleus. Hyoscine (scopolamine in the United States) is used most often for the treatment of motion sickness. Some antihistamines such as promethazine and cyclizine (see earlier) and dopamine receptor antagonists such as prochlorperazine (see later) also have antimuscarinic activity.

#### **Pharmacokinetics**

Hyoscine is available for oral, parenteral or transdermal use. Oral absorption is good, and hyoscine is metabolised in the liver with a half-life of 8 hours. An adhesive patch for transdermal delivery is usually placed behind the ear and delivers a therapeutic dose for 72 hours.

#### Unwanted effects

- Typical antimuscarinic actions such as dry mouth, urinary retention and blurred vision (see Chapter 4).
- Sedation.

#### **Dopamine Receptor Antagonists**

#### 🔨 Examples

domperidone, levomepromazine, metoclopramide, prochlorperazine

#### Mechanism of action and clinical use

Domperidone, metoclopramide and antipsychotic drugs such as levomepromazine and prochlorperazine are antagonists at dopamine  $D_2$  receptors and inhibit dopaminergic stimulation of the CTZ (see Fig. 32.1). Antiemetic doses of antipsychotic drugs are generally less than one-third of those used to treat psychoses. The pharmacology of the antipsychotic drugs is discussed in Chapter 21.

Domperidone acts solely by dopamine receptor blockade and does not readily cross the blood–brain barrier. Metoclopramide is a dopamine receptor antagonist at usual oral doses but also acts as a 5-HT<sub>3</sub> receptor antagonist at higher doses. Metoclopramide also has prokinetic actions on the gut due to agonist activity at the 5-HT<sub>4</sub> receptor subtype in the enteric nervous system. It increases tone in the gastrooesophageal sphincter and enhances both gastric emptying and small intestinal motility.

Dopamine receptor antagonists are mainly used to reduce vomiting induced by radiotherapy, drugs and surgery. The dual receptor action of metoclopramide gives enhanced efficacy; it is used at high doses intravenously to treat delayed vomiting induced by emetogenic cytotoxic agents such as cisplatin. Levomepromazine is used to relieve vomiting in palliative care. Domperidone and metoclopramide are ineffective for vomiting arising from stimulation of the vestibular system. Antipsychotic drugs such as prochlorperazine are effective for vestibular disorders and motion sickness owing to their antimuscarinic activity.

#### **Pharmacokinetics**

Metoclopramide and domperidone are well absorbed orally and undergo extensive first-pass metabolism in the liver. Metoclopramide is also available for intravenous or intramuscular use. Metoclopramide has a shorter halflife (3–5 hours) than domperidone (12–16 hours).

#### Unwanted effects

Unwanted effects on the central nervous system (CNS) are produced by metoclopramide and the antipsychotics and to a lesser extent by domperidone as a result of its lower CNS penetration.

- Acute and chronic extrapyramidal effects from dopamine receptor blockade in the basal ganglia can lead to acute dystonias (especially in girls, young women and the very elderly), akathisia and a parkinsonianlike syndrome. Tardive dyskinesias can develop with prolonged use (see also Chapter 24). Because of the risk of neurological adverse effects, metoclopramide should not be used for more than 5 days.
- Galactorrhoea, gynaecomastia and amenorrhoea caused by hyperprolactinaemia from pituitary dopamine receptor blockade.
- Dry mouth (with domperidone), diarrhoea.
- Drowsiness.
- Domperidone can cause serious ventricular arrhythmias due to Q–T prolongation on the electrocardiogram (ECG). Its use should be limited to no more than 7 days.

#### 5-HT<sub>3</sub> Receptor Antagonists

#### Examples

granisetron, ondansetron, palonosetron

#### Mechanism of action and clinical use

The 5-HT<sub>3</sub> receptor antagonists act at receptors in the CTZ and the gut (see Fig. 32.1). They are particularly effective for preventing the acute vomiting induced by highly emetogenic chemotherapeutic agents used for treating cancer (e.g. cisplatin; see Chapter 52) and for postoperative vomiting.

#### Pharmacokinetics

Oral absorption of ondansetron is rapid; it is metabolised in the liver and has a short half-life of 3 hours. It can also be given by intravenous or intramuscular injection or by rectal suppository. Granisetron has a similar profile and is available for oral, intravenous or transdermal use. Palonosetron has a long half-life of 40 hours and is given orally or intravenously.

#### Unwanted effects

- Headache, dizziness, drowsiness.
- Constipation, probably caused by 5-HT<sub>3</sub> receptor blockade in the gut.
- Fatigue.
- Feeling hot.
- Prolongation of the Q–T interval on the ECG at high doses, which predisposes to arrhythmias.

#### **Neurokinin 1 Receptor Antagonists**

# **Examples**

aprepitant, fosaprepitant

# Mechanism of action

Aprepitant and its prodrug fosaprepitant are antagonists at NK<sub>1</sub> receptors in the CNS, inhibiting the action of substance P. They augment the effects of 5-HT<sub>3</sub> receptor antagonists and corticosteroids in preventing both the acute and delayed emetic response to moderately and highly emetogenic cancer chemotherapeutic agents, such as cisplatin.

## **Pharmacokinetics**

Aprepitant is well absorbed from the gut, is metabolised in the liver and has a long half-life of 9 to 13 hours. Fosaprepitant is rapidly converted to aprepitant in the liver and several other tissues. It is given by intravenous infusion.

# Unwanted effects

- Fatigue, headache, hiccups.
- Anorexia, abdominal discomfort, constipation.

# Cannabinoids

	Example			
nabil	lone			

# Mechanism of action and clinical use

Nabilone, a synthetic derivative of tetrahydrocannabinol (a psychoactive substance in cannabis; see Chapter 54), is effective in combating vomiting induced by cytotoxic drugs provided that it is started before chemotherapy is given. Cannabinoid  $CB_1$  receptors are found in several areas of the CNS but the antiemetic action of nabilone at these receptors may be through inhibition of neuronal serotonin release from vagal afferents in the vomiting centre.

#### **Pharmacokinetics**

Nabilone is well absorbed from the gut. It is metabolised extensively in the liver and has a short half-life, but some of its metabolites have long half-lives and may contribute to the activity.

#### Unwanted effects

- Drowsiness, dizziness, headache, ataxia, tremor, sleep disturbance.
- Dry mouth, anorexia, abdominal pain.
- Dysphoric reactions with hallucinations and disorientation are most disturbing to older people. These may be reduced by the concurrent use of prochlorperazine (see dopamine receptor antagonists, discussed previously).

#### Corticosteroids

Dexamethasone and methylprednisolone are weak antiemetics. However, they produce additive effects when given with other antiemetic drugs. High doses of dexamethasone can be given intravenously before cancer chemotherapy, with subsequent oral doses to prevent delayed emesis. The mechanism of action is unknown but may involve the reduction of prostaglandin synthesis or release of endorphins. The pharmacology of corticosteroids is discussed in Chapter 44.

#### **Benzodiazepines**

Benzodiazepines, such as lorazepam, have no intrinsic antiemetic activity. They are given orally or intravenously before cancer chemotherapy to produce sedation and amnesia. They are especially useful if there has previously been vomiting with a cytotoxic treatment, as anticipatory nausea and vomiting are then common with subsequent courses. Benzodiazepines are discussed in Chapter 20.

# MANAGEMENT OF NAUSEA AND VOMITING

Antiemetics are used in a number of situations where nausea and vomiting can be troublesome. Some specific clinical uses are considered in more detail in Table 32.1.

#### **Drug-Induced Vomiting**

It is sometimes necessary to use drugs that carry a high risk of inducing nausea and vomiting (see Box 32.1). Cyclizine, prochlorperazine and metoclopramide are often effective for prevention of opioid-induced vomiting.

More problematic are the highly emetogenic agents used for cancer treatment. Consideration must be given to the risk associated with the agent and also risk factors in the person receiving treatment. Factors increasing the probability of experiencing chemotherapy-induced nausea and vomiting include young age, female sex, history of low alcohol intake, previous motion sickness, emesis during pregnancy, impaired quality of life and previous chemotherapy.

For treatments or associated factors that predict a low risk of vomiting, routine antiemetic prophylaxis is not needed. For moderately emetogenic treatments, a 5-HT<sub>3</sub> receptor antagonist such as ondansetron, possibly combined with a corticosteroid (such as dexamethasone), is usually recommended. For highly emetogenic

# Table 32.1Common Indications for Various<br/>Antiemetic Agents.

CAUSE OF VOMITING	TREATMENT
Motion sickness	Hyoscine, cyclizine, promethazine
Postoperative vomiting	Dexamethasone, cyclizine, prochlorperazine, ondansetron
Drug-induced vomiting	Prochlorperazine, metoclopramide, cyclizine (particularly for opioid-induced vomiting)
Cytotoxic drug-induced vomiting	Ondansetron, aprepitant, olanzapine, prochlorperazine, nabilone Adjunctive treatment (e.g. dexamethasone, benzodiazepines)
Pregnancy-induced vomiting	Promethazine, cyclizine, prochlorperazine, pyridoxine

chemotherapy or when standard treatments are ineffective, a 5-HT<sub>3</sub> receptor antagonist combined with dexamethasone and a neurokinin 1 receptor antagonist such as aprepitant can achieve control in up to 80% of cases. Olanzapine is sometimes recommended as a fourth drug for refractory nausea and vomiting. Prochlorperazine, domperidone or nabilone can be used when there is intolerance of 5-HT<sub>3</sub> receptor antagonists or corticosteroids.

Delayed emesis, occurring at least 16 hours after chemotherapy, may be mediated by CNS 5-HT<sub>3</sub> and NK<sub>1</sub> receptors. Dexamethasone combined with an NK<sub>1</sub> receptor antagonist or a 5-HT<sub>3</sub> receptor antagonist is recommended for control of delayed emesis.

Anticipatory vomiting prior to cycles of chemotherapy usually occurs if previous cycles have been accompanied by nausea and vomiting. It is most effectively prevented by including a benzodiazepine such as lorazepam with the chemotherapy regimen from the start of treatment in order to produce amnesia.

#### **Postoperative Nausea and Vomiting**

Postoperative nausea and vomiting frequently occur in the first 24 hours after anaesthesia and surgery. Both are more common in women, in nonsmokers and when there has been a previous episode of postoperative nausea and vomiting. They are provoked more frequently by inhalational rather than intravenous anaesthesia (probably due to gastric insufflation); more often by abdominal procedures (possibly related to the length of the procedure), ophthalmic or ear-nose-throat procedures; by the concurrent use of opioid analgesics; and by postoperative pain, hypotension and gastric stasis.

Dexamethasone (most effective when given at induction of anaesthesia), or prochlorperazine, cyclizine and 5-HT<sub>3</sub> receptor antagonists (all given at the end of surgery) are effective for preventing postoperative vomiting in those at high risk. Combination of drugs from

more than one class is often effective when a single drug has previously failed or for those at highest risk. Prophylaxis is also given when the consequences of vomiting are dangerous, such as after eye or oesophageal surgery. For those at low risk of developing vomiting, a 5-HT<sub>3</sub> receptor antagonist is usually given as a rescue treatment.

# **Motion Sickness**

Motion sickness arises from a mismatch between sensory inputs from the visual and vestibular systems. Behavioural treatments such as habituation or coping strategies involving distraction can be effective. If a drug is needed, an antimuscarinic such as hyoscine or an antihistamine such as cyclizine are effective, with promethazine as an alternative if more sedation is desirable. Antimuscarinic unwanted effects may be troublesome with each of these agents.

#### Vomiting in Pregnancy

Nausea and vomiting are common in pregnancy, affecting up to 70% of pregnancies. High doses of pyridoxine (vitamin  $B_6$ ) or ground ginger may be effective in relieving symptoms; counselling or hypnotism can also be tried. There is a natural desire to avoid drugs whenever possible if vomiting arises in pregnancy, but cyclizine, promethazine, prochlorperazine or chlorpromazine are used for short-term treatment. All these drugs appear to be safe in early pregnancy.

Hyperemesis gravidarum, severe morning sickness which begins in the first trimester, can lead to marked maternal weight loss, dehydration, electrolyte disturbances and vitamin deficiencies which can lead to Wernicke's encephalopathy. Some women are so severely affected that they undergo termination of pregnancy. Intravenous rehydration and nutritional supplementation (particularly thiamine) may be necessary. If the first-line drugs mentioned earlier for treating vomiting in pregnancy are ineffective, then domperidone, metoclopramide or ondansetron can be tried or combinations of antiemetics used. The third-line option is a corticosteroid, initially intravenous hydrocortisone followed by prednisolone when oral treatment is tolerated.

# **VERTIGO**

Vertigo is a hallucination of motion, usually perceived as spinning, which is generated in the vestibular system of the inner ear. It is frequently accompanied by nausea and vomiting. There are several causes of vertigo (Box 32.2), but the mechanisms of vertigo are poorly understood. Treatment is empirical and involves modulation of neurotransmitters and receptors involved in the vestibular sensory pathway to the oculomotor nucleus. The neurochemistry of vertigo therefore overlaps with that of vomiting.

# Box **32.2** Causes of Vertigo.

Ménière's disease Benign positional vertigo Migraine Vestibular neuronitis Multiple sclerosis Brainstem ischaemia Temporal lobe epilepsy Cerebellopontine angle tumours

Ménière's disease is one of the causes of vertigo for which the pathogenesis is better understood. It usually presents with episodic vertigo and associated signs of vagal overactivity such as pallor, sweating, nausea and vomiting. Tinnitus and eventually sensorineural deafness are common. The underlying problem is excess endolymph in the membranous labyrinth of the middle ear. The condition may have a genetic predisposition, whilst anatomical abnormalities in the middle ear and various immunological, vascular or viral precipitating insults can be involved.

#### DRUGS FOR TREATMENT OF VERTIGO

- Antihistamines (histamine H<sub>1</sub> receptor antagonists; e.g. cyclizine, promethazine). These are the most widely used drugs for vertigo.
- Antimuscarinic agents. Vestibular suppression can be achieved with hyoscine; the mechanism of action may be similar to that involved in the treatment of motion sickness.
- Benzodiazepines. The use of these agents for short periods may help in severe attacks of vertigo.
- Cimetidine. This drug probably produces symptom relief by histamine H<sub>2</sub> receptor antagonism in the CNS (see Chapter 33).
- Histamine receptor agonists. The use of betahistine to treat Ménière's disease illustrates the paradox that both histaminergic and antihistaminic drugs have been advocated for treatment of this condition. Betahistine is an analogue of L-histidine, the metabolic precursor of histamine. It is a partial agonist at postsynaptic histamine H<sub>1</sub> receptors and an antagonist at presynaptic H<sub>3</sub> receptors, an action that facilitates central histaminergic neurotransmission. Betahistine also increases blood flow to the inner ear. It is metabolised to an active derivative in the liver. The main unwanted effects are headache and nausea.
- Dopamine receptor antagonists. Several antipsychotic drugs such as prochlorperazine are used in vertigo, mainly to treat the associated nausea.

### MANAGEMENT OF VERTIGO

Many causes of vertigo are brief and self-limiting. Acute vertigo, such as that caused by vestibular neuronitis, is often treated with antiemetic agents until vestibular compensation occurs, which is usually encouraged by maintaining activity. The antiemetic drug should usually be withdrawn as soon as the acute symptoms subside.

Benign paroxysmal positional vertigo responds poorly to drugs and is most effectively treated by vestibular exercises and the Epley manoeuvre. Drug therapy should be avoided if possible as it can blunt the effectiveness of the exercises by inhibiting vestibular compensation.

Ménière's disease is often treated initially with lifestyle changes, such as avoidance of excess caffeine and salt which may affect endolymph volume. Modification of the endolymph production in the inner ear with diuretics such as bendroflumethiazide (see Chapter 14) is advocated for persistent symptoms, although evidence of efficacy is lacking. Betahistine is often coprescribed with a diuretic, again with little evidence of benefit. Intratympanic injection of dexamethasone is the most frequently used second-line treatment. Sedative antiemetic drugs such as promethazine or prochlorperazine should only be used for very short periods as they impair vestibular rehabilitation and have potentially serious neurological unwanted effects. For refractory symptoms, the vestibular apparatus can be ablated for example, by using local delivery of gentamicin (see Chapter 51), which is toxic to the inner ear. Surgical treatment such as vestibular neurectomy is also used for refractory disease.

Several drugs can cause dizziness or a sensation similar to vertigo; recent changes in drug therapy should be considered when a person presents with a new onset of dizziness. Examples of drugs that commonly cause dizziness are antihypertensive agents, vasodilators and antiparkinsonian agents. A more serious degree of vestibular toxicity can be produced by aminoglycoside antimicrobials (see Chapter 51) and high doses of loop diuretics such as furosemide (see Chapter 14). Aminoglycoside vestibular toxicity can result in permanent disturbance of balance.

# SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Emetogenic toxins cross the blood–brain barrier to stimulate the CTZ.
- 2. Some antihistamines are useful for motion sickness.
- 3. Dopamine antagonists such as metoclopramide can cause movement abnormalities.
- 4. Metoclopramide decreases intestinal motility.
- 5. Aminoglycoside antibiotics may cause vertigo.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- Which antiemetic drug is most likely to be useful in motion sickness?
  - A. Domperidone
  - B. Hyoscine
  - C. Nabilone
  - D. Ondansetron
  - E. Rolapitant

- 2. Identify the most accurate statement concerning nausea and vomiting.
  - A. Afferents from the stomach to the vomiting centre inhibit vomiting when stimulated.
  - B. Selective 5-HT<sub>3</sub> receptor antagonists are effective against motion sickness.
  - C. Nabilone is derived from a psychoactive component of cannabis.
  - D. Stimulation of neurokinin 1 (NK<sub>1</sub>) receptors in the CTZ inhibits nausea.
  - E. Domperidone causes movement disorders.

# **CASE-BASED QUESTIONS**

A 35-year-old man was diagnosed with non-Hodgkin's lymphoma requiring many sessions of treatment with combined cytotoxic therapy, including cyclophosphamide and vincristine.

- 1. Why was this man likely to experience nausea and vomiting?
- 2. Nausea and vomiting started several hours after each course of treatment and continued for 4 to 5 days. What planned antiemetic treatments prior to the first course of chemotherapy could be beneficial, and how do they work?
- 3. This man became very distressed by the severity of the nausea and vomiting and developed intense nausea and vomiting prior to the administration of the chemotherapeutic agents. What treatment could be given to reduce the anticipatory nausea and vomiting?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **False.** Emetogenic toxins act on the CTZ (area postrema) outside the blood–brain barrier. Some toxins can also cause vomiting by stimulating vagal afferents in the stomach.
- 2. **True.** Common antihistamines like promethazine have antimuscarinic activity and inhibit activity in the VC and the vestibular nuclei. It is not certain whether the antihistamine activity plays a role.
- 3. **True.** Dopamine receptor antagonists used as antiemetics are given at lower doses than in antipsychotic treatment, but they carry a risk of extrapyramidal movement disorders, particularly in the elderly.
- 4. **False.** Metoclopramide *increases* stomach and intestinal motility (prokinetic activity), which can add to its antiemetic effects.
- 5. **True.** Aminoglycosides (such as gentamicin) and also loop diuretics (furosemide) may cause vestibular damage, leading to vertigo.

# **OBA ANSWERS**

1. **Answer B** is correct. Hyoscine (scopolamine) is an antimuscarinic drug effective in motion sickness; it reduces cholinergic pathways from the vestibular apparatus to the vomiting centre in the medulla. It can also cause sedation due to histamine H<sub>1</sub> receptor antagonism.

- 2. Answer C is correct.
  - A. Incorrect. The vagal afferents from the stomach respond to toxins and are emetogenic.
  - B. Incorrect. Selective 5-HT<sub>3</sub> receptor antagonists are not effective against motion sickness, for which a drug with antimuscarinic actions (e.g. hyoscine or promethazine) should be used.
  - C. **Correct**. Nabilone is a derivative of tetrahydrocannabinol and an agonist at cannabinoid CB<sub>1</sub> receptors.
  - D. Incorrect. The effect of stimulating NK<sub>1</sub> receptors in the CTZ is to induce vomiting.
  - E. Incorrect. Domperidone does not cross the bloodbrain barrier.

# **CASE-BASED ANSWERS**

- 1. Cyclophosphamide induces nausea and vomiting in almost all people, but vincristine is much less emetogenic. The vomiting arises from stimulation of the CTZ.
- 2. A selective 5-HT<sub>3</sub> receptor antagonist such as ondansetron, alone or together with a corticosteroid, would be beneficial. Ondansetron inhibits 5-HT<sub>3</sub> receptors in the CTZ and also in the stomach. In the stomach, some cancer chemotherapeutic agents can cause damage and the release of serotonin, which stimulates vagal afferents to the VC. It is uncertain how corticosteroids work, but they have an antiemetic effect that is additive with ondansetron.
- 3. Anticipatory nausea and vomiting is poorly treated with antiemetic drugs. Treatment with benzodiazepine anxiolytic drugs prior to the course of chemotherapy can be helpful.

# **FURTHER READING**

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# Compendium of Antiemetic Drugs.

Antibiasamines           These drugs have sedating properties.           Cinnarizine         Used for vestibular disorders and motion sickness; also used for peripheral vascular disease. Given orally.           Cyclizine         Used for a wide range of indications; given orally or rectally, or by inframuscular, suboutaneous or intravenous injection or intravenous infusion.           Doxylamine with pyri- doxine (Marini BB)         Used for nausea and vomiting in pregnancy. Given orally. doxine (Marini BB)           Promethizaine         Given orally by deep intramuscular injection or by slow intravenous injection for a wide range of indications.           Antimuscarinic Orug         Used for nausea and vomiting any or intramuscular injection.           Phonotization and feetad Orugs (see size Chapter 21)         Chiorpromazine           Chiorpromazine         Used for nausea and vomiting associated with terminal illness. Given orally rectally or by deep intramuscular injection.           Droperidol         Used for souscer nausea and vomiting.           Prochorprazine         Given orally for severe nausea and vomiting.           Tritlooperazine         Given orally for severe nausea and vomiting.           Domperidone         Used for a wide range of indications. Treatment limited to 7 days because of risk of arrhythmias. Given orally for severe nausea and vomiting.           Domperidone         Used for a wide range of indications. Treatment limited to 7 days because of risk of	DRUG	CHARACTERISTICS			
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Corticosteroids See Chapter 44.	Betahistine	Mixed actions at histamine receptors. Given orally for vertigo and tinnitus associated with Ménière's disease.			
	Corticosteroids	See Chapter 44.			

NK<sub>1</sub>, Neurokinin 1.

# Dyspepsia, Peptic Ulcer Disease and Gastrooesophageal Reflux Disease

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# THE SPECTRUM OF DISEASE

Dyspepsia is the term used for a group of symptoms that arise from the upper gastrointestinal tract. They include heartburn, abdominal pain or discomfort, fullness, bloating, early satiety, belching and nausea. Dyspepsia can occur alone (non-ulcer dyspepsia) or in association with various upper gastrointestinal disorders such as gastritis, peptic ulcer disease or gastrooesophageal reflux disease (GORD).

# **NON-ULCER (FUNCTIONAL) DYSPEPSIA**

Non-ulcer dyspepsia is probably caused by a combination of abnormalities in gastric accommodation, visceral hypersensitivity and gastric emptying. It is usually diagnosed from the combination of typical dyspeptic symptoms and a normal gastroduodenoscopy.

# PEPTIC ULCER DISEASE AND GASTRITIS

*Peptic ulceration* describes both gastric and duodenal ulcers. Peptic ulcers are breaches in the mucosa with penetration of the muscularis mucosa. Breaches less than 3 to 5 mm across without visible depth are termed erosions. Gastritis is inflammation of the gastric mucosa that does not usually result in mucosal breaches, but they may occur in the less common erosive gastritis.

The symptoms of peptic ulceration are similar to those of functional dyspepsia. Symptoms are also not a

reliable guide to the location of an ulcer, although there are some typical patterns. Pain with duodenal ulceration may be worse during fasting and at night and relieved by antacids or by food. By contrast, pain with gastric ulcer may be made worse by food and gastric ulcer is more likely than duodenal ulcer to be associated with weight loss, anorexia and nausea. Chronic ulcers at either site can also be asymptomatic and only found incidentally during endoscopy performed for another reason, or when a complication arises. Complications of peptic ulcers include bleeding, perforation and, if close to the pylorus, scarring with gastric outlet obstruction.

# Mechanisms of Protection of Gastric and Duodenal Mucosa

The stomach luminal contents are acidic, and healthy gastric mucosa is able to resist acid digestion. There is an adherent layer of viscoelastic mucus that acts as a physical barrier to acid, and  $HCO_3^-$  is secreted into the mucus to neutralise acid locally. In addition, gastric mucosal cells have a high electrical resistance with tight junctions between the cells. This makes gastric mucosa relatively impermeable to luminal contents. Gastric mucosal blood flow provides an extra layer of defence, delivering  $HCO_3^-$  to buffer any H<sup>+</sup> ions that penetrate the mucosa, and it also regulates acid secretion. Many of these protective functions are dependent

FACTORS ASSOCIATED WITH PEPTIC ULCER DISEASE	FACTORS ASSOCIATED WITH PEPTIC ULCER PROTECTION AND HEALING
Thin or breached mucus layer	Intact mucus layer
Helicobacter pylori and host immune response	Adequate blood flow
Reduced bicarbonate secretion	Bicarbonate in mucus layer
Reduced mucosal blood flow	Prostaglandins (generated by COX-1 and COX-2 isoenzymes)
Stress	Hydrophobicity of phospholipid layer of epithelial cells
Smoking	Regrowth of epithelial cell layer following damage (restitution)
Alcohol	Growth factors
Acid	Nitric oxide
Pepsin	
latrogenic (e.g. NSAIDs)	

#### Table 33.1 Factors Associated with Protection and Damage of the Intestinal Mucosa.

COX, Cyclooxygenase; NSAIDs, nonsteroidal antiinflammatory drugs.

on synthesis of the prostaglandins PGE<sub>2</sub> and PGI<sub>2</sub> by gastric mucosal cells (see Chapter 29; Table 33.1).

The duodenal mucosa is also protected by a layer of viscoelastic mucus, but the mucosal cells are highly permeable, permitting absorption of luminal nutrients. The duodenal mucosal cells secrete HCO<sub>3</sub><sup>-</sup>, which accumulates in the mucus layer and buffers the pulses of gastric acid released from the stomach.

#### Aetiology of Peptic Ulceration and Gastritis

The aetiology of peptic ulceration is not fully understood, but many contributory factors have been identified. The factors that predispose to peptic ulceration are also associated with the development of gastritis. Gastric acid is essential for ulceration to arise, although acid secretion does not have to be increased for ulceration to arise. Pepsin secretion is enhanced in people with peptic ulceration. Accelerated gastric emptying is a factor in promoting duodenal ulceration, with entry of gastric contents at a lower pH into the duodenum. Prostaglandins enhance mucosal protection against ulceration, and deficient production of prostaglandin  $E_1$  is a factor in reducing resistance to mucosal erosion in both the stomach and duodenum.

#### Helicobacter pylori and peptic ulceration

A major risk factor associated with peptic ulceration is gastric and duodenal infection with the Gram-negative bacterium *Helicobacter pylori*. The incidence of *H. pylori* colonisation in the gastric mucosa varies widely in the adult population in different countries, being highest in those who have poorer living conditions. About 10% to 15% of the UK population is infected, although the incidence of infection is declining. Infection is usually acquired in childhood and persists unless it is treated. *H. pylori* secretes the enzyme urease, which contributes to its survival during exposure to gastric acid by producing ammonia from urea. Using its flagellae, it penetrates the mucus lining of the stomach and attaches to epithelial cells using bacterial adhesins. Infection with *H. pylori* is a risk factor for gastritis, peptic ulcer, gastric cancer and mucosa-associated lymphoid tissue (MALT) lymphoma. However, only a small percentage of those who carry the bacterium develop *H. pylori*-associated disease, perhaps reflecting different host responses to the infection, environmental factors such as smoking and virulence factors associated with the infecting strain. Virulent strains produce toxins such as cytotoxin-associated gene A protein, which produces an inflammatory response in mucosal cells. An immune response to bacterial proteins also contributes to development of chronic inflammation.

#### Helicobacter pylori and gastric ulceration

Gastric ulceration is associated in up to 70% of cases with *H. pylori* infection of the corpus of the stomach or both the corpus and antrum. In contrast to duodenal ulceration, in gastric ulceration there is no excess gastric acid secretion and often a reduction in acid secretion. *H. pylori* infection of the corpus is associated with a T-helper 1 (Th1) lymphocyte immune response (see Chapter 38), leading to atrophy of acidsecreting cells and metaplasia of the gastric mucosa, which predisposes to gastric ulceration and gastric cancer.

#### Helicobacter pylori and duodenal ulceration

Infection with *H. pylori* is present in up to 90% of people with duodenal ulcer, but in contrast to gastric ulceration, it causes a nonatrophic, predominantly antral, gastritis. The infection suppresses somatostatin release and enhances secretion of gastrin from antral mucosal cells, which leads to excess acid secretion from the body of the stomach. Exposure of duodenal cells to excess acid makes them more like gastric mucosal cells (gastric metaplasia) and allows duodenal colonisation by *H. pylori*.

#### Drugs and Peptic Ulceration

About 10% of peptic ulcers, particularly gastric ulcers, are caused by nonsteroidal antiinflammatory drugs (NSAIDs) including low-dose aspirin (see Chapter 29 for mechanisms). NSAIDs are the most frequent cause of peptic ulceration in the absence of *H. pylori* infection, but also increase the risk of ulcers in *H. pylori* infected individuals. Other drugs that are much less commonly associated with peptic ulcers include bisphosphonates, selective serotonin reuptake inhibitors (SSRIs) and spironolactone.

#### Other Causes of Peptic Ulcer

There are other less common risk factors for peptic ulcer such as gastric bypass surgery, stress associated with critical illness and autoimmune diseases. However, about 20% of peptic ulcers have no known cause. Such idiopathic ulceration is becoming more common.

# GASTROOESOPHAGEAL REFLUX DISEASE (GORD)

The gastrooesophageal junction provides a reflux barrier to gastric contents through contractile tone in the lower oesophageal sphincter, and the crural diaphragm which provides an anatomical barrier and compression when it contracts during inspiration. Reflux of gastric contents into the oesophagus is more likely to occur in the presence of a hiatus hernia, as the two antireflux components are physically separated. Other risk factors for reflux include pregnancy, obesity, cigarette smoking, alcohol, raised intragastric pressure due to coughing and family history. Certain drugs also increase the risk or reflux or associated oesophagitis because they either relax the lower oesophageal sphincter, facilitate oesophageal inflammation or delay gastric emptying, such as antimuscarinics,  $\beta$ -adrenoceptor antagonists, bisphosphonates, calcium channel blockers, nitrates, NSAIDs, theophylline and tricyclic antidepressants.

Gastrooesophageal reflux is produced by the generation of transient lower oesophageal sphincter relaxations (TLOSRs) in the absence of swallowing. TLSORs arise from stimulation of gastric vagal mechanoreceptors and allow gastric acid, pepsin and bile to come into contact with the epithelium of the oesophagus, where it can cause oesophagitis via cytokine-triggered inflammation. Oesophageal hypomotility and abnormal patterns of oesophageal contractility, which reduce the clearance of refluxed material, are often found in association with GORD. There is little correlation between the extent of oesophagitis at endoscopy and the severity of symptoms, suggesting that aggravating factors such as oesophageal hypersensitivity may be important. Up to 50% of people with symptoms of GORD have no apparent oesophagitis, whereas severe oesophagitis can be asymptomatic unless complications such as stricture or anaemia arise.

The relationship of *H. pylori* infection to GORD is not straightforward. Gastric antral infection predisposes to GORD by promoting greater amounts of gastric acid secretion, whereas gastric corpus infection is protective partly because gastric atrophy reduces the acid content of the stomach.

GORD can produce heartburn from regurgitation of gastric contents into the oesophagus (reflux), pain or difficulty in swallowing and even the regurgitation of gastric contents into the mouth. Oesophagitis can produce more prolonged chest pain and even chronic bleeding. Symptoms in GORD are usually chronic and relapsing, with at least two-thirds of those diagnosed still taking continuous or intermittent treatment after 10 years. GORD can precipitate asthma through the microaspiration of gastric contents into the lungs and triggering of vagal oesophagobronchial reflexes. Microaspiration is also associated with chronic cough (reflex cough syndrome), reflux laryngitis syndrome and dental erosions.

There are three distinct clinical groups of GORD, rather than a steady progression of disease severity with time. These are possibly determined by genetic factors and the immunological response to reflux. The groups are:

- Nonerosive reflux disease.
- Erosive oesophagitis, an acute inflammatory Th1 ٠ cell immune response (see Chapter 38).
- Barrett's oesophagus (intestinal metaplasia of oesophageal mucosal cells) with increased risk of cancer; this is a Th2-type immunological response (see Chapter 38).

#### **OESOPHAGEAL SPASM**

Oesophageal spasm is a distinct disorder which causes chest pain (accounting for about 10% of presentations with noncardiac chest pain) or functional dysphagia. Symptoms arise without any evidence of reflux or change in oesophageal luminal pH. Acid can, however, induce oesophageal spasm in some people with symptoms of reflux. The pain frequently occurs without evidence of oesophageal dysmotility, when the symptoms arise from a combination of local mucosal sensory disturbances and psychological factors.

#### CONTROL OF GASTRIC ACID SECRETION

Hydrochloric acid is produced by parietal cells in the stomach, and secreted into their canaliculi. The process is initiated by the combination of water and carbon dioxide in the cell to form carbonic acid. Hydrogen ions are derived from carbonic acid (H<sub>2</sub>CO<sub>2</sub>) by carbonic anhydrase, and the residual HCO<sub>3</sub><sup>-</sup> enters the plasma in exchange for Cl<sup>-</sup> ions that enter the cell. The activity of a membrane-bound proton pump that exchanges H<sup>+</sup> and K<sup>+</sup> across the luminal cell membrane (H<sup>+</sup>/K<sup>+</sup>-ATPase) transports H<sup>+</sup> into the stomach. Chloride and K<sup>+</sup> ions are secreted into the stomach lumen via a conductance channel; the H<sup>+</sup> and Cl<sup>-</sup> combine in the stomach to form hydrochloric acid and the K<sup>+</sup> is recycled via the proton pump. The activity of the proton pump is enhanced by several mediators, including histamine (via H<sub>2</sub> receptors), gastrin (via CCK receptors) and acetylcholine (via muscarinic



**Fig. 33.1 Control of gastric acid secretion from the parietal cell.** Acid secretion from the parietal cell is stimulated by acetylcholine (*ACh*), histamine and gastrin, acting at muscarinic  $M_1$ , histamine  $H_2$  and cholecystokinin B receptors, respectively. Gastrin and ACh also reinforce acid secretion by promoting histamine release from the enterochromaffinlike (*ECL*) cells, which lie close to the parietal cells in the gastric pits. Prostaglandin  $E_2$  (*PGE*<sub>2</sub>) reduces acid secretion. The sites of action of the main drugs used to inhibit acid secretion from the parietal cell are shown. Histamine  $H_2$  antagonists reduce acid secretion by about 60%; the proton pump inhibitors reduce acid secretion by about 90%. Misoprostol is a PGE<sub>1</sub> analogue used in NSAID-associated ulcers when NSAIDs cannot be withdrawn. There are no useful inhibitors of gastrin action, and the gastric-selective muscarinic  $M_1$  receptor antagonist pirenzepine is no longer available in the UK. *NSAID*, Nonsteroidal antiinflammatory drug.

receptors) (Fig. 33.1). Somatostatin and secretin are produced by the duodenum when gastric contents come into contact with the mucosa, and they inhibit further acid secretion in the stomach.

# DRUGS FOR TREATING DYSPEPSIA, PEPTIC ULCER AND GASTROOESOPHAGEAL REFLUX DISEASE

# ANTISECRETORY DRUGS

Antisecretory drugs reduce production of gastric acid. The normal pH of gastric contents varies from 1.5 to 3.5. It is only necessary to raise intragastric pH above 3 for a few hours each day to promote healing of most peptic ulcers. However, rapid healing requires acid suppression for a minimum of 18 to 20 hours/day. The duration of acid suppression determines the rate of healing but not the eventual proportion of ulcers healed. Two classes of drug have antisecretory actions on the gastric mucosa.

# **Proton Pump Inhibitors**

Examples

#### Mechanism of action

The proton pump  $(H^+/K^+-ATPase)$  is the final common pathway for acid secretion in gastric parietal cells, and inhibition of the pump blocks acid secretion almost completely (see Fig. 33.1). Proton pump inhibitors are prodrugs that are rapidly absorbed from the small intestine. As weak bases, they are selectively concentrated from the circulation into the acid environment of the secretory canaliculi of the gastric parietal cells. The drugs are then converted to active derivatives by protonation and covalently bind to, and irreversibly inhibit the proton pump. The return of acid secretion is dependent on the synthesis of new proton pumps. Because protonation only takes place at acid pH, these drugs have a selective action on gastric parietal cells, and proton pumps elsewhere in the body are not inhibited. A single dose of a proton pump inhibitor inhibits acid production by up to 90% for approximately 24 hours.

#### **Pharmacokinetics**

Proton pump inhibitors are unstable in acid and are given orally as enteric-coated formulations. Esomeprazole, omeprazole and pantoprazole are also available as intravenous formulations. Elimination is by hepatic metabolism. They have short plasma half-lives, but because of the irreversible mechanism of action, these bear no relationship to the long duration of action.

# Unwanted effects

- Gastrointestinal upset, such as dry mouth, nausea, vomiting, abdominal pain, diarrhoea, constipation.
- Headache, insomnia.
- Skin reactions, including rarely subacute cutaneous lupus erythematosus.
- All proton pump inhibitors inhibit CYP2C9 and CYP2C19 in the liver to varying extents. This can give rise to drug interactions with other substrates of these isoenzymes – for example, decreasing the metabolism and increasing the clinical effects of warfarin, clopidogrel, phenytoin and several antiviral drugs (see Table 2.7). Esomeprazole may be less likely to cause such interactions than other proton pump inhibitors.

Concerns that substantial reductions of gastric acid, and the associated rise in gastrin secretion, might increase the risk of gastric cancer (comparable to the increased risk in atrophic gastritis with pernicious anaemia) are unfounded. Proton pump inhibitors do not completely abolish acid secretion, and for part of the day the intragastric pH can still fall below 4, the critical pH below which bacterial populations that predispose to cancer cannot become established. However, symptomatic improvement following treatment with a proton pump inhibitor can mask the symptoms of preexisting gastric cancer.

# Histamine H<sub>2</sub> Receptor Antagonists

Examples

cimetidine, ranitidine

#### Mechanism of action

Histamine  $H_2$  receptor antagonists act competitively with histamine at receptors on gastric parietal cells. They reduce basal acid secretion and pepsin production and prevent the increase in secretion that occurs in response to several secretory stimuli. Overall, acid secretion is reduced by about 60% (see Fig. 33.1). Histamine  $H_2$  receptor antagonists are much less frequently used than proton pump inhibitors.

#### Pharmacokinetics

Cimetidine and ranitidine are mainly eliminated unchanged by the kidney and have short half-lives between 1 and 4 hours.

#### Unwanted effects

- Diarrhoea, constipation and other gastrointestinal disturbances.
- Headache, dizziness.
- Fatigue, myalgia.
- Rash.
- Drug interactions: cimetidine is an inhibitor of hepatic P450 isoenzymes (see Table 2.7) and can increase the plasma concentrations and actions of drugs such as warfarin, phenytoin and theophylline. Other histamine H<sub>2</sub> receptor antagonists do not inhibit P450 isoenzymes.

# ANTACIDS

Examples

aluminium hydroxide, magnesium trisilicate

# Mechanism of action

Antacids neutralise gastric acid. They have a more prolonged effect if taken after food. If used without food, the effect lasts no more than an hour because of rapid gastric emptying. Antacids rapidly relieve symptoms in peptic ulcer disease, but large doses are required to heal ulcers. Liquid preparations work more quickly, but tablets are more convenient to use. Most antacids are relatively poorly absorbed from the gut. Simeticone is sometimes added to an antacid as an antifoaming agent. The combination may reduce flatulence or relieve hiccups in palliative care.

#### Unwanted effects

• Constipation can occur with aluminium salts and diarrhoea with magnesium salts; mixtures of aluminium and magnesium salts may have less effect on stool consistency.

- In advanced renal failure, retention of absorbed aluminium may contribute to metabolic bone disease and encephalopathy. In renal failure, magnesium salts can cause hypermagnesaemia with weakness and confusion.
- Drug interactions: aluminium salts can bind to NSAIDs and tetracyclines in the gut and reduce their absorption.

#### **Antacids with Alginic Acid**

Alginic acid is an inert substance. It is claimed that it forms a raft of high-pH foam that floats on the gastric contents and protects the oesophageal mucosa during reflux. All proprietary preparations combine alginic acid with an antacid, which is probably responsible for much of the clinical effect. Some formulations contain a high Na<sup>+</sup> concentration, which can be undesirable in people with fluid retention or hypertension.

#### **CYTOPROTECTIVE DRUGS**

#### Sucralfate

#### Mechanism of action

Sucralfate is a complex of aluminium hydroxide and sucrose octasulfate. It dissociates in the acid environment of the stomach to its anionic form, which binds to the ulcer base. This creates a protective barrier to pepsin and bile and inhibits the back-diffusion of gastric acid. Sucralfate may also stimulate the gastric secretion of mucus and prostaglandins.

#### **Pharmacokinetics**

Sucralfate is only slightly absorbed from the gut (<2%).

#### Unwanted effects

- Constipation.
- Bezoar formation (a mass trapped in the gut, usually the stomach), especially if gastric emptying is delayed.

#### **Prostaglandin Analogues**



#### Mechanism of action

Misoprostol is an analogue of  $PGE_1$  and has several actions that protect the gastric and duodenal mucosae (see Chapter 29). It is most widely used to prevent NSAID-associated ulcers and is available alone or in combination with diclofenac (see Chapter 29).

#### **Pharmacokinetics**

Misoprostol undergoes first-pass metabolism to active misoprostolic acid. Elimination is mainly by hepatic metabolism and it has a very short half-life (<1 hour).

#### Unwanted effects

- Nausea, vomiting, diarrhoea, constipation, flatulence, abdominal cramps.
- Dizziness, headache.
- Uterine contractions: therefore misoprostol should be avoided in pregnancy. It can, however, be used to induce labour (see Chapter 45).

# MANAGEMENT OF DYSPEPSIA, PEPTIC ULCER AND GASTROOESOPHAGEAL REFLUX DISEASE

#### **NON-ULCER DYSPEPSIA**

Most people with dyspepsia do not have significant underlying disease (i.e. they have non-ulcer or functional dyspepsia). In all cases, efforts should be made to remove exacerbating factors such as smoking, excess alcohol or NSAIDs. Upper gastrointestinal endoscopy may be indicated to assess the cause of symptoms. However, younger people (especially those under 55 years of age) who do not have ALARM symptoms (anaemia; loss of weight; anorexia; recent onset of progressive symptoms; melaena, haematemesis or dysphagia) are often treated initially without investigation.

A proton pump inhibitor is more effective than a histamine  $H_2$  receptor antagonist for symptom relief in non-ulcer dyspepsia. Treatment should be given for 4 to 6 weeks, followed by clinical review with the intention of reducing the dose of drug or moving to intermittent or on-demand therapy for symptom relief. Eradication of *H. pylori* does not usually reduce symptoms. On the occasions it is effective (about 15% of people with suspected non-ulcer dyspepsia), symptoms are probably due to undiagnosed peptic ulceration. Recurrent symptoms may prompt further investigation to exclude peptic ulceration or GORD.

#### **CONFIRMED PEPTIC ULCERATION**

Drugs such as NSAIDs should be stopped unless their use is essential. Eradication of *H. pylori* infection enhances ulcer healing and reduces relapse (see later). After *H. pylori* eradication, it is usually possible to stop acid-suppression treatment for peptic ulcers, although it is normally continued for up to 3 weeks if the ulcer is large, has bled or has perforated. Longer-term therapy with acid-suppressant treatment is required only if symptoms continue and after exclusion of more serious conditions, or if it is essential to continue use of an NSAID (see later).

In the absence of *H. pylori* infection, proton pump inhibitors produce the fastest rate of ulcer healing (over 90% of ulcers heal within four weeks). For gastric ulcers, 6 to 8 weeks treatment with a proton pump inhibitor is often advocated, followed by repeat endoscopy to check that the ulcer has healed. Histamine  $H_2$  receptor antagonists usually give symptomatic relief for both gastric and duodenal ulcers within a week, but healing of the ulcer is much

# Box 33.1 Indications for Eradication of *Helicobacter* pylori

#### ERADICATION RECOMMENDED

- Proven peptic ulcer
- Low-grade mucosa-associated lymphoid tissue gastric lymphoma
- Severe gastritis
- After resection of early gastric cancer

#### ERADICATION SUGGESTED (LESS CERTAIN INDICATIONS)

- Functional dyspepsia
- Family history of gastric cancer
- Nonsteroidal antiinflammatory drug therapy
- Intended long-term proton pump inhibitor therapy

slower, requiring up to 8 weeks for duodenal ulcer or 12 weeks for gastric ulcer. Other agents such as sucralfate will heal ulcers in a similar proportion of people as histamine  $H_2$  receptor antagonists, but are used less often as they do not improve symptoms as quickly.

# ERADICATION OF HELICOBACTER PYLORI INFECTION

Several indications for *H. pylori* eradication have been proposed (Box 33.1). Eradication regimens are based on acid suppression and antibacterial treatment: the most widely used is a high dose of proton pump inhibitor (or a standard dose of esomeprazole) combined with two antibacterials (to maximise efficacy and minimise resistance) given for 1 or 2 weeks. Treatment for 2 weeks has a 5% higher eradication rate than treatment for 1 week, but may be less well tolerated. The first choice antibacterials are clarithromycin together with either amoxicillin or metronidazole. It is important to avoid an antibacterial that has been used recently for treatment of other infections. The incidence of in vitro resistance of *H. pylori* to both metronidazole and clarithromycin is increasing. If in vitro clarithromycin resistance is detected, it is always reflected in a reduced ability to eliminate the bacterium clinically. By contrast, eradication may be successful even when laboratory resistance to metronidazole is demonstrated. Resistance to amoxicillin is less common, and resistance to tinidazole is currently lower than to metronidazole. Concurrent use of probiotic yoghurts can improve both tolerability and the outcome of eradication therapy.

*H. pylori* eradication with a triple regimen is successful in about 85% of cases in the UK, and reinfection is rare. Testing to confirm eradication is required only if the indication for treatment was MALT lymphoma or peptic ulcer disease. Failure of eradication usually reflects antibacterial resistance or poor adherence to treatment. However, resistance to standard triple therapy is more common in some parts of the world, with a failure to eradicate *H. pylori* in up to 20% of people despite good adherence to treatment. Further

treatment should then be guided by the results of microbiological sensitivity tests on biopsy specimens or a third antimicrobial drug may be considered as part of the regimen.

If *H. pylori* is not eradicated, 50% to 80% of ulcers will recur within a year; following successful eradication, the recurrence is less than 20%.

# **BLEEDING FROM PEPTIC ULCERS**

Active bleeding from a peptic ulcer is a medical emergency. Endoscopic treatment applied to a visible vessel in the ulcer base using diathermy, clipping, laser coagulation or injection with adrenaline may stop the bleeding. Even after achieving haemostasis, recurrent bleeding occurs in up to 20% of cases. Endoscopic treatment should be followed by intravenous infusion of a high dose of proton pump inhibitor for 72 hours before changing to oral therapy. This reduces the rebleeding rate and the need for surgery by 30% to 40%. Proton pump inhibitors reverse the deactivation of the coagulation system and impaired platelet aggregation in the gut mucosa that occurs when the local pH falls below 4.

# PEPTIC ULCERATION ASSOCIATED WITH NONSTEROIDAL ANTIINFLAMMATORY DRUGS

NSAIDs have multiple actions that predispose to peptic ulceration (see Chapter 29). Ideally, the NSAID should be stopped. If continued treatment is essential, then NSAID-associated ulcers will usually heal if an ulcer-healing agent is coprescribed. Continued use of NSAIDs can slow ulcer healing by histamine H<sub>2</sub> receptor antagonists but probably not by proton pump inhibitors. Eradication of *H. pylori* infection is recommended if an NSAID must be continued in someone who has had previous peptic ulceration. However, this may prevent further ulcers only early in treatment with NSAIDs and is less effective during long-term use.

When an NSAID is first used, careful assessment is recommended to determine whether prophylaxis against ulceration should be given in the absence of upper gastrointestinal symptoms. Those at higher risk of NSAID-induced ulceration and who are most likely to benefit from prophylaxis include people over 65 years of age, smokers, heavy alcohol users and those taking concomitant treatment with medicines that cause gastrointestinal irritation, such as corticosteroids. There is also an increased risk in people with a history of previous ulceration or those who have serious comorbidities, such as cardiovascular disease, diabetes mellitus or renal or hepatic impairment.

A proton pump inhibitor protects against both gastric and duodenal ulceration. Standard doses of a histamine H<sub>2</sub> receptor antagonist protect against NSAID-induced duodenal ulcers but not against gastric ulceration, which requires double the usual dose. Misoprostol provides effective prophylaxis against NSAID-induced gastric or duodenal ulceration. However, a high dosage is necessary for prevention of ulcer recurrence, and this is often poorly tolerated because of colic or diarrhoea. There is limited evidence to support the use of a cyclooxygenase-2 (COX-2)–selective inhibitor with a proton pump inhibitor as a strategy to further reduce the risk of peptic ulceration in people at highest risk of ulceration. The combination of a COX-2–selective inhibitor with low-dose aspirin carries the same risk of ulceration as a conventional NSAID and should be avoided.

#### GASTROOESOPHAGEAL REFLUX DISEASE

Initial measures for GORD include avoidance of tight clothing around the waist, stopping smoking, moderating the use of alcohol and caffeine and encouraging weight loss. Raising the head of the bed on wooden blocks by 15 cm can promote symptom relief and mucosal healing. For mild persistent symptoms, reduction of gastric acid with antacids, with or without the addition of an alginate to provide a physical barrier, is often helpful. Alginates should be taken after meals to reduce their clearance by rapid gastric emptying. Eradication of *H. pylori* in GORD has no effect on symptoms.

Proton pump inhibitors are the most effective treatment for severe, resistant or relapsing GORD. They will rapidly ease symptoms and heal oesophagitis within 8 weeks in up to 85% of those treated. Acid secretion can break through at night during treatment with a proton pump inhibitor. This may be important in severe erosive oesophagitis or Barrett's oesophagus; in this situation esomeprazole may be more effective than other proton pump inhibitors. Failure to heal oesophagitis with a proton pump inhibitor often indicates bile reflux rather than acid reflux.

Histamine  $H_2$  receptor antagonists usually relieve heartburn in up to 50% of people after 4 weeks of treatment. However, oesophagitis heals in only about 20% of cases. Better healing rates can be achieved by using double the standard dosages, with healing in 70% to 80% of cases by 8 to 12 weeks.

Intermittent therapy with healing agents or the use of an alginate after healing often controls recurrent symptoms. For severe or resistant reflux disease, longterm use of a proton pump inhibitor is the only effective drug treatment, although about 60% of people will need only a low maintenance dose after healing has occurred. Laparoscopic antireflux surgery is an option for resistant GORD, particularly if there is high-volume reflux. Strictures can arise in severe erosive oesophagitis and require endoscopic dilation.

#### **OESOPHAGEAL SPASM**

The frequency of oesophageal spasm can be reduced by regular use of a proton pump inhibitor when it is induced by oesophageal reflux. Pain due to oesophageal spasm, with or without associated reflux, can respond to smooth muscle relaxants such as calcium channel blockers (see Chapter 5). Injection of botulinum toxin (see Chapter 24) into the lower oesophageal sphincter has also been successful in limited studies.

# SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Histamine acts on H<sub>1</sub> receptors on the parietal cell to stimulate acid secretion.
- 2. Vagal stimulation of the parietal cell increases acid secretion.
- 3. An unwanted effect of antacids containing magnesium salts is diarrhoea.
- 4. Antacids are not effective in healing peptic ulcers.
- 5. Cimetidine can potentiate the effects of other drugs by inhibiting cytochrome P450 enzymes.
- 6. Ranitidine is associated with a lower incidence of gynaecomastia than cimetidine.
- 7. Cimetidine reduces acid secretion by more than 90%.
- 8. Esomeprazole is a prodrug.
- 9. The active metabolite of lansoprazole is a reversible proton pump inhibitor.
- 10. Omeprazole inhibits the cytochrome P450 system in the liver.
- 11. Prostacyclin reduces gastric mucosal blood flow.
- 12. Misoprostol causes constipation.
- 13. Histamine H<sub>2</sub> receptor antagonists and proton pump inhibitors are not useful for treatment of ulcers induced by NSAIDs.
- 14. Sucralfate binds to the ulcer base and promotes ulcer healing.

# **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Identify the least accurate statement about *H. pylori*.
  - A. *H. pylori* infection in the gastric antrum reduces acid secretion.
  - B. *H. pylori* infection can be found in the duodenum in people with duodenal ulcers.
  - C. If *H. pylori* is not eliminated, a duodenal ulcer is likely to recur.
  - D. *H. pylori* is a risk factor for the development of gastric cancer.
  - E. *H. pylori* frequently develops resistance to antibacterial treatment.

#### **CASE-BASED QUESTIONS**

A 56-year-old man, Mr T.K., was newly appointed as headmaster of a large comprehensive school and was experiencing some difficulties with the increasing demands of the job. He increased his smoking from 5 to 20 cigarettes a day and drank 10 units of alcohol a week. He had a good, varied diet. He had suffered intermittently from dyspepsia for some years, taking proprietary antacids when required. His symptoms then increased and the pain caused him to waken most nights. He bought a supply of ranitidine from the local chemist without consultation with the pharmacist. Following 2 weeks of treatment, his symptoms were successfully relieved and he was symptom-free for 3 months. His symptoms then returned and he took further treatment with ranitidine for 2 weeks. He was symptom-free for a further month, but when symptoms returned again, he consulted his general practitioner (GP).

- 1. Why did his symptoms return?
- 2. Would his symptoms have been less likely to return following a short course of a proton pump inhibitor?
- 3. What should be the GP's course of action? An endoscopic examination revealed a duodenal ulcer.
- 4. Why do some people infected with *H. pylori* develop gastric ulcers and some duodenal ulcers?
- 5. What eradication therapy for *H. pylori* should be given, and is a proton pump inhibitor beneficial when given with antibacterial therapy?

The eradication therapy given was 7 days with omeprazole, amoxicillin and clarithromycin. Mr T.K. was symptom-free for 6 weeks but then his symptoms returned.

- 6. What were the possible reasons for the return of the symptoms?
- 7. What treatment could be given?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **False.** The histamine receptors on parietal cells that stimulate acid secretion are H<sub>2</sub> receptors, which are selectively antagonised by ranitidine and other histamine H<sub>2</sub> receptor antagonists.
- 2. **True.** The vagal neurotransmitter acetylcholine stimulates muscarinic receptors, which increases acid secretion.
- 3. **True.** Magnesium salts may cause diarrhoea, and antacids containing aluminium salts may cause constipation. Antacids containing both magnesium and aluminium salts may mitigate these effects.
- 4. **False.** Antacids can heal peptic ulcers, but their effects are slower than those of proton pump inhibitors or histamine H, receptor antagonists.
- 5. **True.** Cimetidine but not famotidine, nizatidine or ranitidine inhibits cytochrome P450 isozymes and should be avoided in people taking warfarin, phenytoin or theophylline.
- 6. **True.** Cimetidine is more likely than other histamine H<sub>2</sub> receptor antagonists to cause galactorrhoea in women or gynaecomastia in men by inhibiting oestrogen metabolism.
- 7. **False.** Histamine H<sub>2</sub> antagonists reduce acid secretion by only about 60% because these drugs do not block other stimuli for acid secretion, such as gastrin and acetylcholine.
- 8. **True.** Like other proton pump inhibitors, esomeprazole is converted to its active form by protonation in acid conditions. It is therefore selectively active on the proton pump in the gastric parietal cell but not on proton pumps in other tissues that operate at higher pH.

- 9. **False.** The active metabolites of proton pump inhibitor prodrugs are irreversible inhibitors and fresh protein must be synthesised to replace the inhibited proton pump.
- 10. **True.** Omeprazole can inhibit the metabolism of drugs such as warfarin or phenytoin by both CYP2C9 and CYP2C19. Proton pump inhibitors differ in their inhibitory activity on cytochrome P450 isozymes, with pantoprazole and rabeprazole thought to have the least effect.
- 11. **False.** Part of the gastroprotective action of prostacyclin (PGI<sub>2</sub>) and prostaglandin (PG)E<sub>2</sub> is by increasing gastric mucosal blood flow, removing back-diffused H<sup>+</sup> and providing HCO<sub>3</sub><sup>-</sup> to buffer the H<sup>+</sup> ions. They also increase mucus secretion and decrease acid secretion.
- 12. **False.** Misoprostol is a prostaglandin analogue. Prostaglandins can increase gastrointestinal motility and secretions and cause colic and diarrhoea.
- 13. **False.** Both histamine H<sub>2</sub> antagonists and proton pump inhibitors can cause healing of NSAID-induced ulcers. Proton pump inhibitors may produce more rapid healing as this is probably related to the degree of acid suppression.
- 14. **True.** The mucosal protectants sucralfate and bismuth salts have been largely superseded, although bismuth chelates (with low bismuth content) still have a place as quadruple therapy with proton pump inhibitors and antibacterial drugs when triple therapy fails.

# **OBA ANSWER**

- 1. Answer A is the least accurate statement.
  - A. **Incorrect**. Acid secretion is *enhanced* by *H. pylori* infection in the antrum, whilst infection in the corpus is associated with reduced or unchanged acid secretion.
  - B. Correct. Increased acid secretion caused by antral infection produces changes in the duodenal mucosa that enable duodenal colonisation by *H. pylori*.
  - C. Correct. Following healing with a proton pump inhibitor, 50% to 80% of duodenal ulcers will recur within a year if *H. pylori* is not eradicated, compared to 20% after eradication.
  - D. Correct. *H. pylori* infection increases the risk of developing gastric adenocarcinoma by five- to sixfold.
  - E. Correct. In some countries *H. pylori* resistance to metronidazole is as high as 90%.

# **CASE-BASED ANSWERS**

1. Mr T.K. could have non-ulcer dyspepsia or peptic ulceration. Ranitidine for only 2 weeks of treatment is available without prescription; if it had been continued, the symptoms would probably have been suppressed longer. If he is *H. pylori*-positive and has non-ulcer dyspepsia, it is likely that he will develop peptic ulcer disease in the future. If he is *H. pylori*-positive and has peptic ulceration, failure to eradicate *H. pylori* is likely to result in a recurrence of peptic ulcer within a year.

- 2. If *H. pylori* is present, the symptoms will still recur in a high percentage of individuals.
- 3. It is recommended that any person over 55 years of age such as Mr T.K. be referred for endoscopic examination. H. pylori infection can be detected noninvasively using a blood test (using an antibody to detect urease), a stool test (to detect *H. pylori* antigens), or a breath test to detect radiolabelled CO<sub>2</sub> produced by *H. pylori* urease activity after the person takes a drink containing radiolabelled (13C- or <sup>14</sup>C-) urea. In a gastric antral biopsy, *H. pylori* infection can be detected using bacterial culture, histopathology (with haematoxylin & eosin staining), or the *Campylobacter*-like organism (CLO) test; in this rapid test the conversion of urea to ammonia and CO<sub>2</sub> by H. pylori urease within the biopsy specimen can be detected by a rise in pH observed with phenol red indicator in the culture medium. Mr T.K.'s use of NSAIDs, tobacco and alcohol should be assessed, as these are strongly contributory to ulcer disease and/or will prevent healing.
- 4. The reasons why some people develop gastric ulcers and others develop duodenal ulcers are imperfectly understood. If there is only antral inflammation and *H. pylori* is present, more gastrin and therefore excess acid is produced, resulting in duodenal ulcers. If a pangastritis exists, it is associated with corporal atrophy, lower levels of acid secretion and gastric ulcers.
- 5. Numerous treatment regimens have been evaluated. One or two weeks of therapy with a proton pump inhibitor (or ranitidine, if intolerant) plus two antimicrobials (clarithromycin and either metronidazole or amoxicillin, in a combination dictated by local sensitivities) results in an 80% to 90% eradication rate.



**Fig. 33.2 Recommended regimens for the eradication of** *H. pylori.* Many regimens exist, dictated by local patterns of sensitivity and resistance. Increasing resistance to metronidazole and clarithromycin is reducing the success rate of the triple regimen. If the proton pump inhibitor (*PPI*) is not tolerated, a histamine  $H_2$  receptor antagonist can be substituted. If initial eradication fails, quadruple therapy with a PPI (or histamine  $H_2$  antagonist), bismuth, metronidazole (or tinidazole) and tetracycline can be used, or the person can be referred for endoscopy and treatment based on the results of culture and sensitivity testing.

- 6. It is possible that the strain of *H. pylori* was resistant to the antibiotics used. Tests should be carried out to see whether *H. pylori* is still present after treatment. Culture sensitivities of the *H. pylori* in a biopsy specimen could be sought.
- 7. Quadruple therapy, which has a 93% to 98% success rate, could be used; for example, a proton pump inhibitor plus bismuth subsalicylate plus metronidazole (or tinidazole) plus tetracycline (Fig. 33.2).

#### FURTHER READING

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# Compendium of Drugs Used for Dyspepsia, Peptic Ulcer Disease and GORD.

DRUG	CHARACTERISTICS
Antisecretory Agents	
H <sub>2</sub> Receptor Antagonists	
Cimetidine	May cause drug interactions by inhibiting cytochrome P450 enzymes. Given orally.
Famotidine	Does not affect cytochrome P450 enzymes. Given orally.
Nizatidine	Does not affect cytochrome P450 enzymes. Given orally or by intravenous infusion.
Ranitidine	No effect on cytochrome P450 enzymes. Given orally, by intramuscular injection, or by slow intravenous injection or infusion.
Proton Pump Inhibitors	
Proton pump inhibitors (PPIs) ar hepatic CYP2C9 and/or CYP2	e irreversible and have long durations of action. All may cause drug interactions by inhibiting 2C19 isoenzymes (see Table 2.7).
Esomeprazole	The S-isomer of omeprazole; may cause fewer CYP450 drug interactions than omeprazole. Given orally, or by intravenous injection or infusion.
Lansoprazole	Possibly fewer CYP450 drug interactions than omeprazole. Given orally.
Omeprazole	Potent inhibition of CYP450 isoenzymes may cause drug interactions. Given orally or by intravenous infusion.
Pantoprazole	Possibly fewer CYP450 drug interactions than omeprazole. Given orally or by intravenous injection or infusion.
Rabeprazole	Fewer CYP450 drug interactions than omeprazole. Given orally.
Cytoprotective Agents	
Given orally	
Misoprostol	Prostaglandin E, analogue; prodrug of misoprostolic acid. Used to prevent NSAID-associated ulcers in the frail or very elderly from whom NSAIDs cannot be withdrawn. Also available in combination with diclofenac.
Sucralfate	Mucosal protectant that forms ulcer-adherent complex. Minimal absorption (<2%).
Bismuth subsalicylate	Mucosal protectant. Used in H. pylori eradication when initial treatment fails.
Other Drugs	
Alginic acid	Alginates form a viscous gel ('raft') that floats on the surface of the stomach contents, reducing acid reflux. Usually combined with antacids.
Antacids	Antacids include aluminium hydroxide, sodium carbonate, and magnesium trisilicate, carbonate or hydroxide. Antacids neutralise acid within the stomach. May be combined with simeticone, an antifoaming agent.
Antimicrobials	Used with a PPI to eradicate <i>H. pylori</i> infection. See Chapter 51.

# **Inflammatory Bowel Disease**

#### **Chapter Outline**

Inflammatory Bowel Disease 408 Drugs for Inflammatory Bowel Disease 409 Aminosalicylates 409 Corticosteroids 410 Cytokine Modulators (Tumour Necrosis Factor Alpha Inhibitors) 410 Antilymphocyte Antibody 410 Immunosuppressants 410 Antibacterials 411 Management of Inflammatory Bowel Disease 411 Ulcerative Colitis 411 Crohn's Disease 411 Microscopic Colitis 412 Self-Assessment 412 Answers 412 Further Reading 413 Compendium of Drugs Used in Inflammatory Bowel Disease 413

# INFLAMMATORY BOWEL DISEASE

*Crohn's disease* and *ulcerative colitis* are chronic inflammatory disorders of the gastrointestinal tract which together are termed 'inflammatory bowel disease' (IBD). Both probably arise from a complex interplay between genetic and environmental factors, but with significant differences in pathogenesis between the two conditions. It is also likely that within each category there are different phenotypes. A third, atypical, form of inflammatory bowel disease is microscopic colitis, which comprises two related conditions, collagenous colitis and lymphocytic colitis.

Ulcerative colitis is a disorder producing superficial inflammation and ulceration that is confined to the mucosa and submucosa. The condition involves the large bowel, although when the whole of the colon is affected, there can also be inflammation in the terminal ileum. The extent of colonic involvement varies, but the rectum is always involved and mucosal inflammation is continuous, not patchy. Symptoms include bloody diarrhoea with mucus in the stool, a sense of urgency, tenesmus and, if severe, fever, nausea and vomiting and weight loss (Box 34.1). Ulcerative colitis can be associated with extracolonic manifestations such as primary sclerosing cholangitis, autoimmune liver disease, uveitis, episcleritis, seronegative spondyloarthritis and skin disorders such as erythema nodosum.

Crohn's disease can involve any part of the gut from mouth to anus. The bowel involvement is discontinuous and segmental, most frequently found in the terminal ileum and colon but often sparing the rectum. Fistula formation, small-bowel strictures and perianal disease such as abscesses and fissures are common. In the gut, inflammation is transmural with granuloma formation. Clinical features of colonic involvement include diarrhoea (with or without blood), abdominal pain and weight loss. Malaise, fever and anorexia are also common. Involvement of more proximal parts of the gut produces various symptoms, depending on the site of the disease, and diarrhoea need not be present (see Box 34.1). Extraintestinal manifestations are more common when the colon is inflamed and are very similar to those found in ulcerative colitis.

Exact diagnosis of the type of IBD may be difficult at presentation if the disease is confined to the colon, as the initial histological findings may not be typical of either ulcerative colitis or Crohn's disease (indeterminate colitis).

Microscopic colitis typically presents with chronic watery diarrhoea without blood and a visually normal rectal mucosa. Several drugs, such as nonsteroidal antiinflammatory drugs, proton pump inhibitors, ranitidine, sertraline, aspirin and ticlopidine can cause the condition. The diagnosis is made on rectal or colonic biopsy.

The aetiologies of IBD are unclear, although many susceptibility genes have been identified. Some of

Box 34.1 Indicators of Severity of Ulcerative Colitis and Colonic Crohn's Disease.

ACTIVITY	MILD	MODERATE	SEVERE
Number of bloody stools/day	<4	4–6	>6
Temperature (°C)	Afebrile	Intermediate	>37.8
Pulse (beats per min)	Normal	Intermediate	>90
Haemoglobin (g/L)	>110	105–110	<105
Erythrocyte sedimentation rate (mm/h)	<20	20–30	>30



Fig. 34.1 Factors associated with inflammatory bowel disease. Investigations into the aetiology of inflammatory bowel disease have confirmed the multiplicity of factors that might be involved. The association of some potential triggers is strong, whereas others are weak. Some changes may occur as a consequence of the disease rather than being involved in the cause. *CD*, Crohn's disease; *IBD*, inflammatory bowel disease; *NSAIDs*, nonsteroidal antiinflammatory drugs; *Th*, T-helper; *UC*, ulcerative colitis.

these may disrupt the colonic epithelial barrier and expose dendritic cells to commensal colonic bacteria, whereas others alter several innate and adaptive immune responses to the bacteria (Fig. 34.1). Having breached the mucosa, colonic bacteria promote excessive activation of the host immune system. Cells involved in innate immunity contribute to the inflammation, including natural killer T-lymphocytes, neutrophil leucocytes, macrophages and dendritic cells. These secrete tumour necrosis factor alpha (TNF $\alpha$ ) and a variety of proinflammatory interleukins and chemokines. The abnormal immune response also involves an excess number of adaptive immune cells. In Crohn's disease these are T-helper type 1 (Th1) lymphocytes, whereas in ulcerative colitis they are T-helper type 2 (Th2) lymphocytes, leading to different patterns of cytokine release (see Chapter 38). T-helper type 17 (Th17) lymphocytes are involved in the pathogenesis of both conditions. Regulatory T-cells are probably deficient in IBD.

There is a close interaction between the immune system and the enteric nervous system that contributes to motility disturbance in the bowel and abdominal pain. Cigarette smoking increases the risk of acquiring Crohn's disease and the frequency of exacerbations, but slightly decreases the risk of developing ulcerative colitis.

Inflammatory bowel disease can undergo periods of relapse and remission over many years. Treatment of

both types of IBD is intended to induce and maintain remission but does not cure the condition.

# DRUGS FOR INFLAMMATORY BOWEL DISEASE

# AMINOSALICYLATES

Examples

balsalazide, mesalazine, olsalazine, sulfasalazine

#### Mechanism of Action and Effects

The active antiinflammatory constituent of all the aminosalicylates is 5-aminosalicylic acid (5-ASA). Several different compounds are available which are designed to minimise absorption of 5-ASA from the small bowel and deliver it to the lumen of the colon. Sulfasalazine, a combination of 5-ASA and sulfapyridine, was the first aminosalicylate shown to be effective in treating IBD. Sulfapyridine is responsible for many of the unwanted effects of sulfasalazine, but in contrast to its role in inflammatory arthritis (see Chapter 30), sulfapyridine has no therapeutic value in IBD. 5-ASA compounds without sulfapyridine are therefore preferred.

The mechanism of action of 5-ASA is not clear. It has many antiinflammatory actions that include inhibition of cyclooxygenase-2 (COX-2), which reduces prostanoid generation, activation of peroxisome proliferator-activated receptor  $\gamma$ , which inhibits production of monocyte and macrophage-derived cytokines, and suppression of proliferation of intestinal cells by an action on growth factors. Aminosalicylates are most effective for reducing relapse in ulcerative colitis. Their efficacy for prophylaxis in Crohn's disease is less well established, particularly for noncolonic disease. They have limited effectiveness when used alone in treatment of acute exacerbations of IBD.

#### **Pharmacokinetics**

Sulfasalazine is partially absorbed from the gut intact, but most reaches the colon where it undergoes reduction by gut bacteria to sulfapyridine and 5-ASA. Most of the remaining sulfapyridine and about 20% of the 5-ASA are absorbed from the colon and then metabolised in the liver.

There are several formulations of 5-ASA that deliver the drug directly to the mucosa of the lower gut without requiring sulfapyridine. Mesalazine (the 5-ASA molecule itself) is given as an enteric-coated or modified-release formulation to limit absorption from the small bowel. Olsalazine is two 5-ASA molecules joined by an azo bond. It is not absorbed from the upper gut and 5-ASA is released after lysis of the azo bond by colonic bacteria. Balsalazide is a prodrug in which 5-ASA is linked to a carrier molecule (4-aminobenzoyl- $\beta$ -alanine) by an azo bond, which is cleaved by bacterial reduction in the large bowel.

Mesalazine and sulfasalazine can be given rectally (by suppository or enema) to treat distal disease in the colon.

#### **Unwanted Effects**

These occur in up to 45% of people treated with sulfasalazine, but only 15% of those who take mesalazine.

- Nausea, vomiting, diarrhoea, abdominal pain.
- Headache, myalgia.
- Skin reactions, alopecia.
- Blood dyscrasias, especially neutropenia and thrombocytopenia, but rarely agranulocytosis.
- Sulfasalazine additionally causes insomnia, tinnitus, taste disturbance and oligospermia.

# CORTICOSTEROIDS

#### **Examples**

budesonide, hydrocortisone, prednisolone

Corticosteroids (see Chapter 44) are very effective for inducing remission in active IBD; however, there is little evidence that they prevent relapse when used at doses that do not produce significant unwanted effects. Budesonide used topically on the rectal mucosa is less well absorbed than prednisolone or hydrocortisone and therefore has fewer systemic unwanted effects. Liquid or foam corticosteroid enemas or suppositories are useful for localised rectal disease, but oral or parenteral administration is needed for more severe or extensive disease.

## CYTOKINE MODULATORS (TUMOUR NECROSIS FACTOR ALPHA INHIBITORS)

# Examples

adalimumab, golimumab, infliximab

#### Mechanism of Action and Uses

These are monoclonal antibodies that inhibit the binding of tumour necrosis factor alpha (TNF $\alpha$ ) to its receptors, which in turn reduces production of proinflammatory cytokines (e.g. interleukin (IL)-1 and IL-6), leucocyte migration and infiltration, and activation of neutrophils and eosinophils. Infliximab was the first monoclonal antibody to be approved for the treatment of Crohn's disease. Adalimumab and golimumab are effective when infliximab is poorly tolerated, or for those who have become refractory to treatment. Infliximab may also be useful for treatment of severe attacks of ulcerative colitis. TNF $\alpha$  inhibitors are discussed fully in Chapter 30.

#### ANTILYMPHOCYTE ANTIBODY

Example

vedolizumab

#### Mechanism of Action and Uses

Vedolizumab is a monoclonal antibody to integrin  $\alpha_4\beta_7$ , which is expressed on a subset of memory T-lymphocytes, and inhibits binding of these lymphocytes to mucosal addressin cell adhesion molecule 1 (MAdCAM1), found on gut mucosal endothelial cells. Vedolizumab does not inhibit T-cell binding to vascular cell adhesion molecules and therefore selectively reduces inflammation in the gut. It can be used to induce and maintain remission in ulcerative colitis or Crohn's disease that is refractory to conventional treatments and to TNF $\alpha$  inhibitors. Unwanted effects include infusion-related reactions, arthralgia, nausea, abdominal pain, headache, fever, night sweats, fatigue, skin reactions and increased risk of infections (including reactivation of latent tuberculosis).

#### **IMMUNOSUPPRESSANTS**

#### Examples

azathioprine, ciclosporin, mercaptopurine, methotrexate

Azathioprine and, less often, mercaptopurine, have a 'corticosteroid-sparing' action in active IBD that may enable corticosteroid doses to be reduced. Maximal efficacy is not achieved for about 6 to 12 weeks. Nausea, vomiting, rashes and a hypersensitivity syndrome affect about 10% of people during the first 6 weeks of therapy. Pancreatitis and liver toxicity are rare but serious complications.

Methotrexate is useful in Crohn's disease. It is given subcutaneously or intramuscularly and is used when azathioprine has failed. Ciclosporin can induce remission in corticosteroid-resistant acute exacerbation of ulcerative colitis but has no long-term efficacy. More details of these drugs are found in Chapters 38 and 52.

# **ANTIBACTERIALS**



Metronidazole (see Chapter 51) is moderately effective for treatment of some aspects of Crohn's disease, particularly perianal disease, although the mechanism of action is uncertain. Ciprofloxacin probably has similar efficacy.

# MANAGEMENT OF INFLAMMATORY BOWEL DISEASE

#### **ULCERATIVE COLITIS**

Nonsteroidal antiinflammatory drugs (NSAIDs) and also selective COX-2 inhibitors (see Chapter 29) can exacerbate symptoms in severe colitis and should be avoided. Opioids (see Chapter 35) should not be used in the treatment of diarrhoea in extensive colitis as they can precipitate the life-threatening complication of toxic megacolon.

The goals of treatment are control of symptoms and endoscopic mucosal healing as the latter reduces the risk of relapse or subsequent colectomy. The choice of treatment depends on the severity and extent of the colitis.

For mild symptoms, mesalazine is often the treatment of choice. Rectal delivery of mesalazine is usually successful if the disease is confined to the rectum or left side of the colon (distal colitis). Foam enemas or suppositories will treat inflammation up to 12 to 20 cm from the anus, whereas liquid enemas are effective up to 30 to 60 cm (i.e. to the splenic flexure). Oral aminosalicylate can be combined with rectal administration to improve efficacy, and oral treatment alone may be preferred by some people. Second-line treatment is with corticosteroid enemas. More extensive colitis with mild to moderate symptoms is usually treated from the outset with the combination of oral and rectal aminosalicylate.

The disadvantage of aminosalicylates is that they may not produce a response for up to 4 weeks, so for more severe disease or when there is a lack of improvement after 2 weeks, an oral corticosteroid is usually used to induce remission. To minimise unwanted effects, the dose of corticosteroid should be quickly reduced once control is achieved and then stopped as soon as possible. If remission cannot be maintained by aminosalicylate alone and repeated courses of corticosteroid are needed, then azathioprine can be effective to reduce the requirement for corticosteroid, although it has a slow onset of action over 12 to 16 weeks.

Acute severe colitis (usually presenting with more than six bloody stools a day, tachycardia, fever and anaemia) requires intensive fluid and electrolyte replacement and thromboprophylaxis. Anaemia should be corrected by transfusion, and high doses of parenteral corticosteroid should be given. Infliximab or ciclosporin can induce remission in cases that do not respond to corticosteroid within 3 days. Golimumab or vedolizumab are alternative options if there is no response to, or poor tolerability of, the first-line agents. Colectomy is required in about 10% of people with severe ulcerative colitis that does not settle with conservative treatment.

Once remission is achieved, maintenance treatment with topical mesalazine or an oral aminosalicylate should be life-long and reduces the relapse rate by twothirds. It also reduces the risk of developing colorectal cancer, a complication of long-standing extensive colitis, by up to 75%. If disease flares occur despite the regular use of an aminosalicylate, then an immunosuppressant drug such as azathioprine should be added. For disease that relapses despite an aminosalicylate and azathioprine, a TNF- $\alpha$  inhibitor such as infliximab or adalimumab, or alternatively vedolizumab, should be added. The Janus kinase (JAK) inhibitor, tofacitinib (see Chapter 30) is an oral alternative that is increasingly used for moderate to severe ulcerative colitis.

#### **CROHN'S DISEASE**

Corticosteroid therapy is the mainstay of medical treatment for active Crohn's disease, usually with oral prednisolone or budesonide. Remission occurs in over 60% of those treated, but maintenance corticosteroid therapy does not reduce the risk of relapse. Every effort should be made to withdraw the corticosteroid once the disease activity has been controlled. Disease confined to the distal colon can respond to topical therapy with a corticosteroid. An oral aminosalicylate such as mesalazine only has a modest benefit in acute colonic Crohn's disease (see the previous section on the management of ulcerative colitis). For Crohn's disease outside the colon and for maintenance therapy of colonic disease, aminosalicylates are ineffective.

Infliximab or adalimumab are used to induce remission in Crohn's disease that is resistant to corticosteroid therapy. A single infusion can induce remission for up to 3 months. Vedolizumab or ustekinumab (a monoclonal antibody against IL-12/23; see Chapter 30) should be considered if there is no response to infliximab or adalimumab, or these drugs are poorly tolerated.

Azathioprine (or mercaptopurine) should be considered for maintenance treatment if control of Crohn's disease requires more than two 6-week courses of oral corticosteroid therapy per year, or if the disease relapses as the dose of corticosteroid is reduced. Because the response to azathioprine and methotrexate can take up to 12 weeks, they are often started at the same time as the corticosteroid during an acute flare. Infliximab or adalimumab can also be used to maintain remission. Methotrexate is effective for maintaining remission in Crohn's disease but is often poorly tolerated, so it is used when there is intolerance to azathioprine or TNF $\alpha$  inhibitors. Smoking cessation reduces the risk of relapse in Crohn's disease by 65%, an effect comparable in size to that achieved with an immunosuppressant.

The antibacterial drugs ciprofloxacin and metronidazole are particularly useful for treating perianal fistulas, but rarely result in complete healing. Azathioprine or infliximab are usually required for fistulating disease.

Surgery may be necessary for disease that is refractory to medical therapy – for example, bowel resection for intestinal obstruction from fibrostenotic disease, drainage of perianal abscesses or control of penetrating disease with fistulas. A defunctioning ileostomy to 'rest' the bowel may allow active inflammation to settle with medical therapy in refractory disease, but colonic disease usually recurs after closure of the stoma. Surgery should always be an integral part of the management plan, and is required at some time in up to 50% of people with Crohn's disease.

# **MICROSCOPIC COLITIS**

Unlike ulcerative colitis and Crohn's disease, microscopic colitis can be self-limiting. Apart from stopping drugs implicated in its pathogenesis, symptomatic treatment of the diarrhoea with loperamide (see Chapter 35) is often all that is needed. A corticosteroid may be needed for severe or relapsing disease.

# SELF-ASSESSMENT

# **TRUE/FALSE QUESTIONS**

- 1. Crohn's disease lesions are transmural and confined to the small bowel.
- 2. Cigarette smoking increases the risk of Crohn's disease.
- 3. In IBD the main active constituent of sulfasalazine is sulfapyridine.
- 4. Mesalazine (5-ASA) can be given rectally.
- 5. Mesalazine is equally useful in the treatment of Crohn's disease involving the colon or the small bowel.
- 6. Infliximab can induce remission even in refractory IBD.
- 7. NSAIDs should be avoided in IBD.
- 8. Diarrhoea in IBD can be treated with the opioid loperamide.

# **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which immunobiological drug targets an adhesion molecule for lymphocytes in the gut?
  - A. Adalimumab
  - B. Golimumab
  - C. Infliximab
  - D. Vedolizumab
  - E. Ustekinumab
- 2. Choose the most accurate statement concerning IBD drugs.
  - A. 5-Aminosalicylic acid given orally is well absorbed.
  - B. Azathioprine is the first-line drug of choice in treating mild Crohn's disease.

- C. Balsalazide comprises two molecules of 5-ASA joined by an azo bond.
- D. Corticosteroids are of little benefit in Crohn's disease.
- E. Methotrexate is useful in Crohn's disease.

# **CASE-BASED QUESTIONS**

A 35-year-old man presented with a 3-week period of frequent diarrhoea with mucus but no blood in the stool. Stool analysis for infective agents was negative. Sigmoidoscopy indicated gross thickening of the mucosa, with inflammation and linear ulcers. Changes were present in restricted areas (skip lesions), with intervening normal mucosa. Histology was diagnostic of Crohn's disease, and investigation suggested that the condition was confined to the sigmoid and part of the ascending colon.

- 1. What is the cause of Crohn's disease?
- 2. How should this man be treated initially?
- 3. How do corticosteroids act in Crohn's disease?
- 4. How should the corticosteroid be given, and why?
- 5. Why should the corticosteroid dosage be reduced slowly at the end of treatment?
- 6. How can remission be maintained in this man?
- 7. What alternative therapies can be given to try to reduce the risk of corticosteroid dependence?

# **ANSWERS**

# TRUE/FALSE ANSWERS

- 1. **False.** Crohn's disease is transmural but can affect any part of the gastrointestinal tract.
- 2. **True.** There is increased risk of Crohn's disease in smokers but a slightly decreased risk of ulcerative colitis. Quitting smoking significantly reduces the risk of relapse in Crohn's disease.
- 3. **False.** Sulfasalazine is broken down in the colon to 5-ASA, which is responsible for the beneficial effects in IBD, and sulfapyridine, which is inactive in IBD but causes most of the unwanted effects.
- 4. **True.** Modified-release formulations of mesalazine are available for rectal administration to deliver the drug to the distal colonic mucosa.
- 5. False. Although mesalazine may be of some benefit in colonic Crohn's disease, it is not very effective for small-bowel Crohn's disease.
- 6. **True.** A single infusion of infliximab can induce remission for up to 3 months; remission may be maintained by further infusions at twice-monthly intervals.
- 7. **True.** NSAIDs and selective COX-2 inhibitors (coxibs) can exacerbate symptoms in severe disease.
- 8. **False.** Opioids should be avoided, as they can precipitate toxic megacolon.

# **OBA ANSWERS**

1. **Answer D** is correct. Vedolizumab (answer D) is an antibody to integrin  $\alpha_4\beta_7$  and blocks adhesion of memory T-lymphocytes to MAdCAM1 molecules found on gut mucosal cells. Adalimumab (answer A), golimumab (answer B) and infliximab (answer C) are directed against the inflammatory cytokine tumour necrosis factor alpha ( $TNF\alpha$ ), whereas ustekinumab blocks a protein subunit common to interleukins 12 and 23.

- 2. **Answer E** is the most accurate.
  - A. Incorrect. 5-ASA is poorly absorbed by either the oral or rectal routes and acts locally within the gut.
  - B. Incorrect. Azathioprine is usually given in corticosteroid-refractory disease or when people are having frequent courses of corticosteroids for treatment (more than two 6-week courses per year).
  - C. Incorrect. Olsalazine is a 5-ASA dimer; balsalazide is a molecule of 5-ASA linked to an inert carrier molecule; in both cases the active 5-ASA is released by colonic bacterial reduction.
  - D. Incorrect. Corticosteroids are the mainstay of treatment in Crohn's disease.
  - E. **Correct**. Methotrexate is an immunosuppressant used in Crohn's disease when azathioprine has failed.

# **CASE-BASED ANSWERS**

- 1. The cause of Crohn's disease is unknown, although there is a central role of Th1 lymphocytes, whilst Th2 lymphocytes predominate in ulcerative colitis. Several hypotheses have implicated a number of risk factors, including infection, altered immune response to infection and environmental factors (see Fig. 34.1).
- 2. Initial treatment is with corticosteroids. Because his Crohn's disease is confined to the distal colon, topical treatment with a corticosteroid such as budesonide could be used to limit systemic unwanted effects. If, however, there was involvement of the proximal large bowel or small bowel, it would be necessary to give an oral corticosteroid such as prednisolone.
- 3. Corticosteroids have a variety of actions. They can alter the release of inflammatory mediators such as arachidonic acid metabolites, kinins and cytokines. They can alter cell-mediated cytotoxicity, antibody

production, adhesion molecule expression, phagocytic function, leucocyte chemotaxis and leucocyte adherence.

- 4. Corticosteroids should be given until remission occurs. If possible, the corticosteroid should be administered locally to keep the plasma concentration low, but for individuals who experience systemic symptoms of IBD (such as fatigue, anorexia or weight loss), oral therapy is indicated.
- 5. Systemic corticosteroids suppress the hypothalamopituitary-adrenal axis and can reduce the circulating levels of endogenous adrenal glucocorticoids (see Chapter 44). Gradual reduction of the dose of therapeutic corticosteroid allows recovery of the production of endogenous glucocorticoids.
- 6. If the colitis is restricted to the distal colon, topical administration of mesalazine or an oral formulation that delivers 5-ASA to the colon could be used. 5-ASA is, however, less effective in Crohn's disease than ulcerative colitis, particularly if it involves the small bowel.
- 7. Continuous corticosteroid therapy for periods of 6 months or longer is eventually required in 40% to 50% of people with Crohn's disease. If more than two 6-week courses of corticosteroid per year are required to maintain control of symptoms, immuno-suppressive therapy should be considered. Immunosuppressive therapy is usually with azathioprine, or 6-mercaptopurine; prolonged treatment with these drugs is usually required (up to 6 months) before a clinical response occurs. TNF $\alpha$  inhibitor treatment is also of benefit in severe disease that is not responsive to other therapies.

# **FURTHER READING**

- Kalla, R., Ventham, N.T., Arnott, I.D., 2014. Crohn's disease. BMJ 349, g6670.
- National Institute for Health and Care Excellence, 2019. Crohn's disease: management (NICE guideline NG129). https://www.nice.org.uk/guidance/ng129.
- National Institute for Health and Care Excellence, 2019. Ulcerative colitis: management (NICE guideline NG130). https://www.nice.org.uk/guidance/ng130.
- Ungaro, R., Mehandru, S., Allen, P.B., et al., 2016. Ulcerative colitis. Lancet 389, 1756–1770.

DRUG	CHARACTERISTICS
Aminosalicylates	
Balsalazide	Prodrug cleaved by the colonic flora to 5-ASA and an inactive metabolite. Given orally for mild to moderate ulcerative colitis and maintenance of remission.
5-ASA	Used for mild to moderate ulcerative colitis and maintenance of remission. Given orally, or rectally as a foam or retention enema or suppository. Absorbed in upper intestine; may be more effective than sulfasalazine in the presence of diarrhoea because there is no requirement for reduction by the gut flora.
Olsalazine	Dimer of 5-ASA; colonic flora generates free 5-ASA. Given orally for mild ulcerative colitis and maintenance of remission.

# Compendium of Drugs Used in Inflammatory Bowel Disease.

# Compendium of Drugs Used in Inflammatory Bowel Disease-cont'd.

DRUG	CHARACTERISTICS
Sulfasalazine	Converted by colonic flora to 5-ASA, which has local actions, and sulfapyridine, which has no therapeutic action but causes many of the unwanted effects. Used for mild to moderate and severe ulcerative colitis and for Crohn's disease. Given orally, or rectally as a suppository.
Cytokine Modulators	
Other than the drugs listed be IBD.	elow, TNF $\alpha$ inhibitors used for rheumatoid arthritis (see Chapter 30) are not currently approved for
Adalimumab	Monoclonal antibody against TNFα. Given by subcutaneous injection in severe Crohn's disease and ulcerative colitis unresponsive to other treatments.
Golimumab	Monoclonal antibody against TNFα. Given by subcutaneous injection in severe ulcerative colitis unresponsive or intolerant to conventional therapy.
Infliximab	Monoclonal antibody against TNFα. Given by intravenous infusion in severe Crohn's disease and ulcerative colitis unresponsive to other treatments.
Antilymphocyte Antibody	
Vedolizumab	Monoclonal antibody against the $\alpha_4\beta_7$ integrin preferentially expressed on gut-homing T-helper lymphocytes. Given by subcutaneous injection or intravenous infusion in moderate to severe Crohn's disease and ulcerative colitis unresponsive or intolerant to conventional medication or to a TNF $\alpha$ inhibitor.
Immunosuppressants	
Azathioprine	Prodrug of 6-mercaptopurine. Given orally in resistant and frequently relapsing cases of ulcer- ative colitis or Crohn's disease (see Chapter 38).
Ciclosporin	Given intravenously for short-term treatment of severe acute ulcerative colitis (an unlicensed indication in the UK) (see Chapter 38).
Corticosteroids	Beclometasone dipropionate, budesonide, hydrocortisone and prednisolone. Given orally, by intravenous injection, and locally by enema or suppository, as adjuncts to aminosalicylates in moderate or refractory Crohn's disease and ulcerative colitis (see Chapter 44).
Mercaptopurine	A thiopurine antimetabolite; main use is as an anticancer drug (see Chapter 52). Given orally in resistant and frequently relapsing cases of ulcerative colitis or Crohn's disease.
Methotrexate	Folate analogue. Given weekly by mouth or by intramuscular injection in unresponsive or chronically active Crohn's disease (see Chapter 52).
Antibiotics	
Metronidazole	May be beneficial for the treatment of active Crohn's disease; used in people who fail to respond to sulfasalazine. Given orally (see Chapter 51).

5-ASA, Mesalazine (5-aminosalicylic acid); IBD, inflammatory bowel disease; TNFα, tumour necrosis factor α.

# Constipation, Diarrhoea and Irritable Bowel Syndrome

# **Chapter Outline**

Constipation 415

Laxatives 415 Management of Constipation 417 Diarrhoea 417 Drugs for Treating Diarrhoea 418 Management of Diarrhoea 418 Irritable Bowel Syndrome 419

# CONSTIPATION

A healthy colon utilizes peristalsis and mass movements (propulsive activity) to move contents through the colon, which then leads to defaecation. The mass movements occur primarily from high amplitude propagated contractions of colonic smooth muscle, generated by neuronal signalling via the myenteric nerve plexus. The frequency of normal defaecation ranges from three times a day to once every three days, although for some it may be less often. Maintenance of 'regular' bowel habits is a preoccupation of Western societies and is best achieved by increasing dietary fibre. Nevertheless, laxative drugs are widely prescribed or taken without prescription.

Chronic constipation is defined as the passage of hard, small stools less frequently than the person's own normal function or by failed or lengthy attempts to evacuate the rectum. It is often associated with straining and a sense of incomplete evacuation. The most common cause is functional constipation, which affects about 10% of the population and is differentiated from constipation-predominant irritable bowel syndrome (IBS; see later) mainly by the absence of abdominal pain that is relieved by defaecation. Functional constipation is usually associated with either slow colonic transit time or defaecation disorder. Slow colonic transit can be due to a variety of mechanisms, such as fewer high amplitude propagated peristaltic contractions in the colon, failure of propagation of sigmoid or rectal peristaltic activity, or retrograde propagation of peristalsis. Overexpression of progesterone receptors with impaired smooth muscle contraction may explain the higher frequency of slow colonic transit in women. Defaecation disorder is characterised by impaired rectal evacuation due to increased resistance to evacuation (resulting from high resting anal pressure, incomplete anal sphincter relaxation or Drugs for Treating Irritable Bowel Syndrome 419 Management of Irritable Bowel Syndrome 420 Self-Assessment 420 Answers 421 Further Reading 421 Compendium of Drugs Used in Constipation, Diarrhoea and Irritable Bowel Syndrome 422

paradoxical contraction of surrounding muscles) or from inadequate rectal propulsive forces.

Constipation has many other causes (Box 35.1). Underlying organic disease should be excluded when there is persistent constipation or if there has been a recent change in bowel habit.

#### LAXATIVES

Laxatives are drugs that increase the frequency of defaecation or ease the passage of stool. The mechanisms of action of common laxatives are shown in Fig. 35.1. Some drugs have more than one mechanism; they are classified by their principal action.

# **Bulk-Forming Laxatives**

# Examples

bran, ispaghula husk, methylcellulose, sterculia

Bulking agents include various natural polysaccharides, usually of plant origin, such as unprocessed wheat bran, ispaghula husk, methylcellulose and sterculia, all of which are poorly broken down by digestive processes. They have several mechanisms of action:

- a hydrophilic action causing retention of water in the gut lumen, which expands and softens the faeces,
- proliferation of colonic bacteria, which further increases faecal bulk,
- stimulation of colonic mucosal receptors by the increased faecal bulk, promoting peristalsis,
- degradation of polysaccharides in sterculia to substances that have an osmotic laxative effect.

Bulking agents take at least 24 hours after ingestion to work and are most useful if dietary fibre cannot be increased. A liberal fluid intake is important to minimise the risk of intestinal obstruction. Bulking agents

# Box 35.1 Causes of Constipation.

Diet low in fibre or fluid		
Disease-induced – e.g. colonic cancer, myxoedema,		
hypercalcaemia		
Drug-induced – frequent causes include:		
opioid analgesics (this chapter and Chapter 19)		
antimuscarinic agents – e.g. oxybutynin (Chapter 15),		
orphenadrine (Chapter 24), cyclizine (Chapter 32)		
antacids containing calcium or aluminium salts		
(Chapter 33)		
calcium channel blockers (Chapter 5)		
iron salts (Chapter 47)		
tricyclic antidepressants (Chapter 22)		
phenothiazines (Chapter 21)		
Slow gut transit, especially in young women		
Immobility		
Hypotonic colon in the elderly		



Fig. 35.1 Sites of action of the major classes of laxative drug. Some laxative drugs have more than one mechanism of action. For mechanisms of some other drugs used in constipation, see the drug compendium. *CCK*, Cholecystokinin.

are useful for establishing a regular bowel habit in chronic constipation, diverticular disease and IBS, but they should be avoided if the colon is atonic or there is faecal impaction.

Unwanted effects include a sensation of bloating, flatulence or cramping abdominal pain.

# **Osmotic Laxatives**



Osmotic laxatives draw water into stool, which becomes softer and easier to pass. Lactulose is a semisynthetic disaccharide of fructose and galactose which is not absorbed from the gut. In the colon, bacterial action releases the fructose and galactose, which are fermented to lactic and acetic acids with release of gas. The fermentation products are osmotically active. They also lower intestinal pH, which favours overgrowth of some colonic flora but inhibits the proliferation of ammonia-producing bacteria. This is useful in the treatment of hepatic encephalopathy (see Chapter 36). Unwanted effects include flatulence and abdominal cramps. Lactulose can take more than 24 hours to act.

Macrogol 3350 is a large, inert polymer of ethylene glycol that is not absorbed from the gut and exerts an osmotic effect in the colon. It is formulated alone, or in combination with sodium and potassium salts, for treatment of faecal impaction or colonic cleansing before colonoscopy. Macrogol 3350 is as effective as other osmotic agents, but the Na<sup>+</sup> content when formulated with electrolytes may be hazardous for people with impaired cardiac function.

Magnesium salts such as the sulfate (Epsom salts) and the hydroxide are poorly absorbed from the gut and act as osmotically active solutes that retain water in the colonic lumen. They may also stimulate cholecystokinin release from the small-intestinal mucosa, which increases intestinal secretions and enhances colonic motility (see Fig. 35.1). These actions result in more rapid transit of gut contents into the large bowel, where distension promotes evacuation within 2 to 4 hours. About 20% of ingested magnesium is absorbed and excreted by the kidneys. In renal failure, this can result in hypermagnesaemia with muscle weakness, hypotension and bradycardia. Magnesium hydroxide is a mild laxative, whereas the action of magnesium sulfate can be quite fierce, associated with considerable abdominal discomfort. Magnesium salts are recommended only for occasional use.

Sodium acid phosphate is an osmotic preparation given orally or as an enema, for bowel evacuation before bowel procedures or surgery.

#### **Irritant and Stimulant Laxatives**

Examples bisacodyl, dantron, senna, sodium picosulfate

Irritant and stimulant laxatives include the anthraquinones senna and dantron and the polyphenolic compounds bisacodyl and sodium picosulfate. They act by a variety of mechanisms, including stimulation of local reflexes through myenteric nerve plexuses in the gut, which enhances gut motility and increases water and electrolyte transfer into the lower gut. Stimulant laxatives are useful for more severe forms of constipation, but tolerance is common with regular use and they can produce abdominal cramps. Given orally, they stimulate defaecation after about 6 to 12 hours.

• Senna has the gentlest purgative action of this group. It contains two components, sennosides A and B. Given orally, the sennosides are hydrolysed by colonic bacteria to rheinanthrone which stimulates cyclooxygenase-2 expression in gut macrophages and production of prostaglandin  $E_2$  (PGE<sub>2</sub>). PGE<sub>2</sub> decreases aquaporin expression in mucosal cells of the colon and reduces water reabsorption from the gut. Rheinanthrone also stimulates colonic peristalsis following mucosal contact by an unknown mechanism.

- Bisacodyl stimulates myenteric nerves in the gut, which enhances gut motility and increases water and electrolyte transfer into the lower gut. It can be given orally or as a rectal suppository for a more rapid action in 15 to 30 minutes.
- Dantron stimulates enteric nerves in the gut, which enhances gut motility and increases water and electrolyte transfer into the lower gut. It is available as co-danthramer, a combination with the surface wetting agent poloxamer 188, and as co-danthrusate, a combination with the faecalsoftening agent docusate (see later). Dantron is carcinogenic at high doses in animals and therefore it is recommended that its use be limited to the elderly or terminally ill.
- Sodium picosulfate is metabolised by colonic bacteria to an active derivative that acts as a contact laxative on the colonic mucosa to increase peristalsis. It is given orally to prepare the bowel for surgery or colonoscopy. It usually acts in less than 6 hours.

The chronic use of stimulant laxatives has been suspected to cause progressive deterioration of normal colonic function, with eventual colonic atony. It is now recognised that the condition probably arises from underlying abnormalities in colonic function rather than from the treatment.

# **Faecal Softeners**



Faecal softeners are believed to act by decreasing surface tension in faecal contents which increase penetration of fluid into the faecal mass.

Arachis oil is derived from peanuts. It can be given rectally to soften impacted faeces. Other drugs with faecal-softening actions include bulk-forming laxatives and docusate sodium. Liquid paraffin can be given orally but is not recommended as it impairs the absorption of fat-soluble vitamins, can cause anal seepage with anal pruritus and carries a risk of lipoid pneumonia after accidental inhalation.

Docusate sodium has mild stimulant activity as well as detergent properties that may soften stools by increasing fluid and fat penetration into hard stool. It is a relatively ineffective laxative that is given rectally by enema or orally. It is also used in combination with dantron as co-danthrusate (see earlier).

#### MANAGEMENT OF CONSTIPATION

For functional constipation, adopting a high-fibre diet is recommended, with regular exercise and an adequate hydration. Bulk-forming laxatives are often recommended as first-line treatment but have limited efficacy. For longer-term therapy, an osmotic laxative such as macrogol 3350 is usually well tolerated and effective. A stimulant laxative such as senna or bisacodyl can be taken orally at night to give a morning bowel action.

Chronic idiopathic constipation is persistent functional constipation. It more commonly affects women in whom it often starts at a young age, or the elderly in association with a sedentary lifestyle and reduced calorie and fibre intake. Bulk-forming laxatives are usually ineffective, and a high-fibre diet often increases abdominal distension and discomfort. Biofeedback can help in up to 80% of people with the condition. Longterm use of stimulant laxatives, often at high dosage, may be necessary. Two drugs are used for chronic idiopathic constipation when other measures have failed. Prucalopride is a serotonin 5-HT, receptor agonist which acts on smooth muscle cells and myenteric plexus neurons to increase peristalsis. Lubiprostone is a fatty acid derived from PGE<sub>2</sub>. It is an epithelial cell chloride-channel activator which increases chloride and water secretion into intestinal contents. Nausea, abdominal pain and distention and headache are the most common unwanted effects with both prucalopride and lubiprostone. Surgical intervention with colectomy may be the only option for the most severely affected people with chronic idiopathic constipation.

Certain laxatives may be useful in specific situations:

- Senna, magnesium salts and docusate sodium appear to be safe in pregnancy.
- Bisacodyl, co-danthramer and co-danthrusate are suitable for the elderly or for the terminally ill with opioid-induced constipation.
- For opioid-induced constipation when other laxatives are ineffective, the peripheral opioid receptor antagonists methylnaltrexone or naloxegol can be used (see Chapter 19).
- Lactulose is useful specifically to prevent constipation in people with or at risk of hepatic encephalopathy (see Chapter 36).
- For those in whom neurological disease affecting bowel motility is the cause of constipation, a faecal softener should be used, with regular enemas or rectal washouts.

# DIARRHOEA

Diarrhoea is defined as the passage of three or more watery bowel movements per day, with or without abdominal cramping. It results when the finely balanced absorption and secretion of water and electrolytes in the gut is disturbed. The normal small intestine receives less than 2 L of oral fluid and about 7 L of gastrointestinal secretions each day. Most of this fluid is reabsorbed in the small intestine, with only 1.5 to 2 L reaching the colon, where 90% is reabsorbed. The maximum capacity for water reabsorption in the colon is 2 to 3 L per day, so if the amount of fluid reaching the colon from the small bowel is greater than this, diarrhoea will result.

The intestinal mucosa behaves as a semipermeable membrane, with absorption of solutes (especially Na<sup>+</sup>) creating the osmotic gradient for passive water movement across pores between epithelial cells. Transport of Na<sup>+</sup> from the gut lumen into mucosal cells is primarily via the sodium-glucose transporter 1, and then it is actively removed from the cell by the Na<sup>+</sup>/K<sup>+</sup> ATPase in the basal membrane.

Diarrhoea has two main mechanisms:

- Osmotic diarrhoea when excessive osmotically active particles in the lumen lead to more fluid moving down the osmotic gradient. Excessive luminal carbohydrates (such as with lactase deficiency), osmotic laxatives, mucosal inflammation and motility disorders are the usual causes. Fasting will stop the diarrhoea.
- Secretory diarrhoea when the bowel mucosa secretes excessive fluid into the lumen. The most common causes are infective. The diarrhoea will continue with fasting.

Acute diarrhoea is usually caused by gastrointestinal infection, either producing direct mucosal damage (such as with rotavirus) or from toxins produced by the infective organism. Enteropathic viruses, such as rotavirus, damage enterocytes, resulting in proliferation of immature cells with greater secretory capacity. Enterotoxins have a variety of actions on gut mucosal cells, including stimulation of intracellular cyclic adenosine monophosphate synthesis, which causes excess Cl<sup>-</sup> secretion into the bowel. Viral gastroenteritis is much more common than bacterial causes of acute diarrhoea in children, whereas viruses and also bacteria are important in adults. Traveller's diarrhoea is a particularly common problem because the person is exposed to organisms that have not been encountered before. Common causes include enterotoxin-producing Escherichia coli, Campylobacter jejuni and Salmonella and Shigella species. Parasites such as Giardia lamblia, Cryptosporidium species and Cyclospora cayetanensis are less commonly involved. Most infective diarrhoea is self-limiting over 2 to 4 days.

Drugs that can produce diarrhoea include laxatives (see earlier), cytotoxic agents (see Chapter 52),  $\alpha$ - and  $\beta$ -adrenoceptor antagonists (see Chapters 5 and 6) and broad-spectrum antibacterial drugs, which alter colonic flora (see Chapter 51). Antibacterial drug treatment can also be associated with *Clostridioides difficile* colitis.

Chronic diarrhoea requires full investigation for noninfectious causes such as carcinoma of the colon, inflammatory bowel disease, coeliac disease and cow's milk protein intolerance. IBS is often accompanied by increased frequency of defaecation, loose stool and a sensation of incomplete evacuation (see later).

# DRUGS FOR TREATING DIARRHOEA

#### Opioids

# **Examples**

#### codeine phosphate, diphenoxylate, loperamide

The antimotility action of opioids is a result of binding to µ-opioid (MOP) receptors on neurons in the submucosal neural plexus of the intestinal wall (see Chapter 19). They enhance segmental contractions in the colon, inhibit propulsive movements of the small intestine and colon and prolong the transit time of intestinal contents. Prolonged contact of intestinal contents with the gut mucosa provides the opportunity for enhanced absorption of fluid.

The opioids most often used to treat diarrhoea are codeine, loperamide and diphenoxylate (used in combination with atropine as co-phenotrope). Codeine and diphenoxylate have short half-lives (<5 hours). Loperamide has a more rapid onset of action and a longer half-life (11 hours), giving it a longer duration of action. It is relatively selective for the gut as efflux mediated by P-glycoprotein prevents loperamide crossing the blood-brain barrier. Therefore, in contrast to other opioids, dependence is not a problem. Loperamide has additional antimuscarinic activity that also inhibits peristalsis (also achieved by atropine in co-phenotrope) and increases anal tone. Morphine is licensed to treat diarrhoea in combination with kaolin (see later) but is not generally recommended. Unwanted effects of opioid drugs are discussed in Chapter 19.

#### Adsorbent and Bulk-Forming Agents

Kaolin is an adsorbent that is relatively ineffective and is not recommended for the treatment of acute diarrhoea. Ispaghula, methylcellulose and sterculia are bulking agents that can help to control faecal consistency in diarrhoea-predominant IBS or for people with an ileostomy or colostomy. They are not recommended for treatment of acute diarrhoea.

#### MANAGEMENT OF DIARRHOEA

In developed countries, most people with acute infective diarrhoea who are otherwise fit generally require only high oral fluid and electrolyte intake. Fluid and electrolyte balance are particularly important in young children and the elderly, as they can dehydrate more quickly. Infective organisms do not affect the sodiumglucose transporter, so it will continue to work when Na<sup>+</sup> and glucose are in the gut lumen. Specially formulated powders containing electrolytes (particularly Na<sup>+</sup> and K<sup>+</sup>) and glucose (to enhance electrolyte absorption) are available as oral rehydration solution (ORS). When correctly reconstituted with clean water, they provide a balanced rehydration solution that should be given rapidly over 3 to 4 hours, after which the need for further treatment should be reassessed. Intravenous fluids may be required for severe dehydration.

If drug treatment is required, an opioid such as loperamide is useful for mild to moderate diarrhoea. Opioids should be avoided in dysentery, when prolonging contact of the organism with the gut mucosa can be detrimental. In young children, ileus with severe abdominal distension can occur with opioids; it is recommended that they should not be used in this age group. Racecadotril is available as an adjunct to rehydration for symptomatic treatment of acute diarrhoea in adults and children. It is a prodrug converted to thiorphan, a peripheral enkephalinase inhibitor that reduces hypersecretion of water and electrolytes into the gut. It has similar efficacy to loperamide but with less subsequent constipation.

Antibacterial prophylaxis can be used to prevent traveller's diarrhoea in people visiting high-risk areas. Ciprofloxacin or azithromycin are most often recommended (see Chapter 51), depending on the area to which the person is travelling. Alternatively, an antibacterial can be taken at the first sign of illness, when it will usually shorten the duration of the attack to less than 24 hours. Ciprofloxacin is often recommended for empirical treatment if there is fever or bloody diarrhoea, suggesting invasive disease (such as that produced by *Campylobacter* or *Shigella* species). If these are not present, rifaximin, a nonabsorbable antimicrobial, can be used.

Diarrhoea in inflammatory bowel disease should be treated by management of the underlying condition. Antidiarrhoeals should not be used in active inflammatory bowel disease because of the risk of precipitating toxic megacolon (see Chapter 34).

#### **Clostridioides Difficile-Associated Diarrhoea**

Antibacterial-induced diarrhoea usually resolves rapidly on stopping the provoking drug. However, overgrowth of *C. difficile* produces more prolonged and severe diarrhoea. Any broad-spectrum antibacterial can promote colonisation with *C. difficile* in the colon, but cephalosporins, penicillins and quinolones are the most frequent causes. Symptoms from *C. difficile* colonisation arise from production of toxin by the bacteria; the diagnosis is confirmed by detection of toxin in the stool. *C. difficile*-associated diarrhoea fails to settle with conservative treatment in 80% of cases and can produce fatal colitis. The organism can usually be eliminated with oral metronidazole, oral vancomycin or oral fidaxomicin (see Chapter 51).

# **IRRITABLE BOWEL SYNDROME**

IBS is common, with a prevalence of about 10% of the population and most cases occurring between ages 18 to 34 years. It is characterised by abdominal pain or

discomfort, bloating and change in bowel habit. The clinical presentations include constipation-predominant (IBS-C), diarrhoea-predominant (IBS-D, the most common subtype) and mixed bowel habits (IBS-M). Abdominal discomfort may be relieved by defaecation, but there is often a sensation of incomplete evacuation and mucus is often passed per rectum. The cause is unknown, but low-grade mucosal inflammation, altered processing of visceral pain (gut hypersensitivity) and impaired bowel motility may be important. There is an association with other functional gastrointestinal disorders such as dyspepsia, noncardiac chest pain and nausea as well as nongastrointestinal disorders such as chronic pelvic pain, fibromyalgia and chronic fatigue syndrome.

Confirming the diagnosis of IBS involves exclusion of more serious bowel pathology. Screening should include inflammatory markers, coeliac disease immunology, and the exclusion of anaemia, which may indicate alternative diagnoses. Faecal calprotectin (a marker of inflammatory bowel disease) may also be part of a screening workup.

# DRUGS FOR TREATING IRRITABLE BOWEL SYNDROME

#### Antimuscarinic Drugs

Examples

dicycloverine, propantheline

#### Mechanism of action

Antimuscarinic drugs reduce colonic motility by inhibiting parasympathetic stimulation of the myenteric and submucosal neural plexuses. They also inhibit gastric emptying.

#### Pharmacokinetics

Oral absorption of dicycloverine is good and it is metabolised in the liver with a half-life of 10 hours. Propantheline is a poorly absorbed quaternary amine and most is hydrolysed in the bowel.

#### Unwanted effects

- Constipation.
- Transient bradycardia followed by tachycardia.
- Urinary retention.
- Blurred vision.
- Dry mouth.

#### Other Antispasmodic Agents

Examples alverine citrate, mebeverine, peppermint oil

#### Mechanism of action

These antispasmodic agents have direct smooth musclerelaxant properties. Alverine is a serotonin  $5-HT_{1A}$  receptor antagonist which inhibits neurotransmitter release in vagal sensory nerves in the gut, reducing the response to mechanical and chemical stimuli. Mebeverine blocks voltage-gated Na<sup>+</sup> channels in smooth muscle and inhibits cellular Ca<sup>2+</sup> uptake, which relaxes the colon. Peppermint oil acts mainly by its menthol constituent which is an L-type calcium channel blocker that inhibits Ca<sup>2+</sup> influx into smooth muscle and relaxes the colon. Antispasmodics can relieve the pain associated with gut spasm.

#### Pharmacokinetics

Oral absorption of mebeverine is rapid but it undergoes extensive first-pass metabolism, so little reaches the circulation. Alverine citrate has an active metabolite eliminated renally by active tubular secretion. Menthol and other terpene constituents of peppermint oil are rapidly absorbed and metabolised to glucuronide conjugates.

#### **Unwanted effects**

Unwanted effects are rare.

- Nausea with alverine citrate.
- Heartburn and perianal irritation with peppermint oil.
- Headache.
- Allergic reactions.

# MANAGEMENT OF IRRITABLE BOWEL SYNDROME

Reductions in tea, coffee and fizzy drinks, and taking regular meals may be helpful. In addition, modification of diet including limited intake of fermentable carbohydrates such as those found in fresh fruit, with exclusion of trigger foods, may be helpful. Drug therapy for those who do not respond to nonpharmacological measures should form only part of the treatment of IBS, supplemented by cognitive behavioural therapy, relaxation and hypnotherapy for recalcitrant symptoms.

Constipation in IBS-C can be treated with bulking agents such as ispaghula husk (avoiding insoluble fibre such as bran) or, if colonic transit time is very prolonged, an osmotic laxative may be effective. Lactulose is not recommended as it can promote bloating and stimulant laxatives can cause abdominal cramps. Prucalopride is a selective 5-HT<sub>4</sub> receptor agonist that stimulates colonic mass movements and improves colonic motility in IBS-C that has not responded adequately to standard treatments. Linaclotide is a guanylate cyclase 2C receptor agonist that activates the enzyme on the luminal surface of intestinal epithelial cells and increases anion and fluid secretion. Prucalopride and linaclotide are used to treat constipation and reduce abdominal pain in refractory IBS-C.

Diarrhoea in IBS-D is treated with loperamide as the first-choice drug because it has a rapid onset of action and enables people to control their bowels where diarrhoea would be socially disruptive. Care must be taken with using other opioids because of the risks of dependency. Regular use of small doses of an antidiarrhoeal drug may be preferable to intermittent use. Colestyramine (see Chapter 48) may reduce diarrhoea when there is terminal ileal dysfunction by chelating bile salts. Bacterial overgrowth has been demonstrated in many people with IBS; 2 weeks of treatment with the nonabsorbable antibacterial rifaximin can reduce abdominal pain and bloating. There may be benefit from antispasmodic agents to reduce pain or a low dose of a tricyclic antidepressant for analgesic effect (see Chapter 19). An antidepressant may also be useful for management of co-existing depression, which is frequently present. Selective serotonin reuptake inhibitors are recommended only if a tricyclic antidepressant is ineffective, as they have no analgesic action (see Chapter 22). Eluxadoline can be used in IBS-D if symptoms fail to respond to other treatments. It is a µ-opioid receptor agonist that is poorly absorbed from the gut and acts locally on gut opioid receptors.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Constipation is defined as defaecation occurring less often than once daily.
- 2. Most cases of simple constipation can be treated by lifestyle changes.
- 3. Chronic intake of senna causes progressive hyperactivity of colonic motility.
- 4. Antacids containing aluminium salts cause constipation.
- 5. Laxatives invariably induce bowel movements within 6 hours.
- 6. Diarrhoea is largely of psychological origin in some people.
- In infants (<2 years old) diarrhoea is mainly caused by bacterial infection.
- 8. Broad-spectrum antibacterial drugs may cause pseudomembranous colitis.
- 9. The use of antibacterial drugs to treat acute episodes of diarrhoea is rarely necessary.
- 10. Oral rehydration powders are reconstituted to give a hypertonic solution.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which drug is a stimulant laxative?
  - A. Arachis oil.
  - B. Dantron.
  - C. Lactulose.
  - D. Methylcellulose.
  - E. Sterculia.
- 2. Choose the best statement below concerning drugs used for constipation.
  - A. Use of bulk laxatives should be accompanied by ample fluid intake.
  - B. Prucalopride blocks serotonin 5-HT<sub>4</sub> receptors in the gut.
  - C. Lactulose acts by stimulating the enteric nerves.

- D. Lubiprostone is an activator of guanylate cyclase 2C.
- E. Eluxadoline is an antimuscarinic drug.
- 3. Which statement about diarrhoea is the least accurate?
  - A. Rotavirus is an uncommon cause of diarrhoea in adults.
  - B. Kaolin is not recommended for acute diarrhoea.
  - C. *Campylobacter jejuni* is a common cause of bacterial gastroenteritis in the UK.
  - D. Loperamide decreases the gut residence time of the infective organism.
  - E. Antibacterial drugs can be used prophylactically for traveller's diarrhoea.

# **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **False.** Normal bowel habit varies widely, with defaecation between three times daily and once every 3 days (or longer) regarded as normal.
- 2. **True.** Increased fibre intake and exercise help most cases of simple constipation.
- 3. **False.** Chronic use of senna has been associated with loss of colonic function and damage to the myenteric plexus ('cathartic colon'), although it is now thought to be due to the refractory constipation itself rather than to inappropriate use of stimulant laxatives.
- 4. **True.** Aluminium salts can cause constipation, as do other drugs including opioid analgesics, calcium channel blockers and some antidepressants.
- 5. **False.** Some laxatives (such as magnesium salts) can act within 6 hours, whereas others, including bulk-forming agents, lactulose and docusate, take considerably longer to exert their activity.
- 6. **True.** There is a psychological component to diarrhoea and other symptoms in irritable bowel syndrome that may respond to counselling or hypnotherapy.
- 7. **False.** Viral gastroenteritis, especially rotavirus, is the major cause of infant diarrhoea.
- 8. **True.** Broad-spectrum antibacterials may cause colitis due to overgrowth of the anaerobe *C. difficile;* it is treated with metronidazole or vancomycin.
- 9. **True.** In developed countries antibacterial drugs are rarely necessary for acute diarrhoea in otherwise healthy individuals; fluid and electrolyte replacement are appropriate.

10. **False.** The osmotic action of a hypertonic solution would draw water into the bowel, exacerbating diarrhoea; oral rehydration solution (ORS) should be isotonic or slightly hypotonic.

# **OBA ANSWERS**

- 1. **Answer B** is correct. Dantron (answer B) stimulates enteric nerves to increase gastric motility. Drugs can have more than one laxative action, but arachis oil (answer A) is classified as a faecal softener, lactulose (answer C) as an osmotic laxative, and methylcellulose (answer D) and sterculia (answer E) as bulkforming laxatives.
- 2. Answer A is correct.
  - A. **Correct.** Adequate water intake is necessary to hydrate bulk-forming laxatives.
  - B. Incorrect. Prucalopride promotes colonic motility by its highly selective *agonist* activity at serotonin 5-HT<sub>4</sub> receptors.
  - C. Incorrect. Lactulose is an osmotic laxative.
  - D. Incorrect. Lubiprostone increases intestinal secretions by activating chloride (CLCN2) channels.
  - E. Incorrect. Eluxadoline is an opioid receptor agonist.
- 3. Answer D is the least accurate.
  - A. Correct. Rotavirus causes diarrhoea in young children but very rarely in adults.
  - B. Correct. Kaolin is an adsorbent with limited effectiveness.
  - C. Correct. *C. jejuni* is one of the commonest causes of gastroenteritis in adults in developed countries.
  - D. **Incorrect.** Loperamide inhibits gut contractility by its opioid and antimuscarinic actions, and this may *increase* the residence of invasive organisms.
  - E. Correct. Ciprofloxacin or azathioprine can be used prophylactically in travellers to high-risk areas.

# FURTHER READING

- Bharucha, A.E., Wald, A., 2019. Chronic constipation. Mayo Clin Proc. 94, 2340–2357.
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- Schiller, L.R., Pardi, D.S., Sellin, J.H., 2017. Chronic diarrhoea: diagnosis and management. Clin. Gastroenterol. Hepatol. 15, 182–193.

# Compendium of Drugs Used in Constipation, Diarrhoea and Irritable Bowel Syndrome.

DRUG	CHARACTERISTICS	
Constipation		
All drugs given orally unless otherwise indicated.		
Laxatives		
Bulk-forming laxatives	Wheat bran, ispaghula husk, methylcellulose (also a faecal softener), sterculia. Generally not absorbed, but may affect the absorption of nutrients and minerals.	
Osmotic laxatives	Lactulose, macrogols, magnesium salts. Generally not absorbed but may affect the absorption of nutrients and minerals. Also phosphates and sodium citrate (rectal), for specialist use.	
Irritant and stimulant laxatives	Bisacodyl (oral or rectal), dantron, glycerol (rectal), senna, sodium picosulfate.	
Faecal-softening agents	Arachis oil (used rectally), docusate sodium (oral or rectal), and glycerol (rectal). Bulk-forming laxatives (see earlier) also have faecal-softening properties. Oral liquid paraffin is not recommended.	
Other Drugs Used for Constipation		
Linaclotide	Peptide agonist of guanylate cyclase 2C; increases intracellular cGMP in intestinal epithelial cells and stimulates fluid secretion; also desensitises colonic sensory neurons. Used orally for constipation and associated pain in irritable bowel syndrome (IBS). Minimal absorption.	
Lubiprostone	Chloride-channel (CLCN2) activator; increases intestinal fluid secretion, which improves motility. Specialist use for chronic idiopathic constipation in adults unresponsive to lifestyle changes. Not significantly absorbed.	
Methylnaltrexone	Peripherally acting opioid receptor antagonist (see Chapter 19). Used for opioid-induced constipation in terminal care when other laxatives are ineffective.	
Prucalopride	Serotonin 5-HT <sub>4</sub> receptor agonist; enhances colonic motility. Specialist use for chronic constipation in women when other laxatives fail to provide an adequate response.	
Diarrhoea		
Antimotility drugs such as opioids can be used for treatment of acute uncomplicated diarrhoea in adults but not in young children. All drugs given orally unless otherwise indicated.		
Codeine phosphate	Opioid (see Chapter 19).	
Diphenoxylate	Opioid (see Chapter 19); given as co-formulation with atropine (co-phenotrope).	
Eluxadoline	A μ-opioid and κ-opioid receptor agonist; very limited absorption from the gut. Used in IBS with diarrhoea.	
Kaolin	Adsorbs fluid within gut; not absorbed systemically.	
Loperamide	Opioid (see Chapter 19), with some antimuscarinic activity. Acts within gut with little central nervous system activity.	
Morphine	Opioid (see Chapter 19); available in combination with kaolin for short-term treatment of diarrhoea.	
Racecadotril	Prodrug converted to thiorphan, a peripheral enkephalinase inhibitor that reduces hypersecretion of water and electrolytes. Used as an adjunct to rehydration in acute diarrhoea.	
Antispasmodic and Antimuscarinic Drugs		
Some drugs listed below	are used for IBS. All drugs given orally unless otherwise indicated.	
Alverine citrate	Blocks serotonin 5-HT <sub>1A</sub> receptors, reducing vagal sensitivity to stimuli and relaxing smooth muscle. Used for IBS and as an adjunct in disorders characterised by spasm, also for dysmenorrhea.	
Atropine	Antimuscarinic used in disorders characterised by gastrointestinal spasm; also used in the eye (see Chapter 50) and as a surgical premedication (see Chapter 17); unwanted effects limit use.	
Dicycloverine	Antimuscarinic used for disorders characterised by gastrointestinal spasm, including IBS; less severe unwanted effects than atropine.	
Hyoscine	Antimuscarinic used for disorders characterised by gastrointestinal spasm and for smooth muscle spasm in genitourinary disorders; also used as surgical premedication (see Chapter 50) and for motion sickness (see Chapter 32); unwanted effects limit use.	
Linaclotide	See section above on drugs used for constipation.	
Mebeverine	Directly relaxes colonic smooth muscle in spasm by an unclear mechanism. Minimal systemic absorption. Used in disorders characterised by gastrointestinal spasm, including IBS.	
Peppermint oil	Menthol component relaxes smooth muscle by blocking Ca <sup>2+</sup> channels. Used for gastrointestinal spasm in IBS; local irritant if enteric-coated capsule not swallowed whole.	
Propantheline	Antimuscarinic used in disorders characterised by gastrointestinal spasm including IBS; also used in urinary incontinence (see Chapter 15).	

cGMP, Cyclic guanosine monophosphate.
# **Liver Disease**

#### **Chapter Outline**

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# **ACUTE LIVER FAILURE**

Acute liver failure is a rare condition that is precipitated by an acute liver injury in people who do not have preexisting liver disease. It is associated with a high mortality and progresses rapidly over hours to weeks to multisystem failure. The hallmarks are coagulation abnormalities arising from the liver damage and mental changes due to hepatic encephalopathy. Acute liver failure can arise from a number of insults to liver cells, the most frequent being viral infections or the toxic effects of drugs and chemicals. In the developed world, paracetamol poisoning (see Chapter 53) is the most common cause of acute liver failure, whereas in developing countries, it is viral hepatitis (A, B and E). Acute liver cell damage results in a massive release of inflammatory cytokines and other mediators which impair the synthetic, metabolic and immune function of the remaining liver cells, followed by multiorgan failure.

Presenting symptoms of liver failure are often nonspecific, with malaise, nausea and abdominal pain. As the syndrome progresses, signs of impairment of brain function occur (hepatic encephalopathy), with initial confusion followed by drowsiness and coma. Hepatic encephalopathy reflects alterations in neurotransmitter synthesis and increased central nervous system neuroinhibition with concurrent astrocyte swelling. The mechanisms underlying hepatic encephalopathy are incompletely understood. A major cause is failure of the liver to synthesise urea from ammonia. An alternative pathway for ammonia elimination is via astrocytes in the brain, which use it to synthesise glutamine. The excess glutamine in astrocytes leads to osmotic swelling and brain oedema. In addition, acute liver failure Management of Chronic Viral Hepatitis 427 **Complications of Decompensated Cirrhosis** 427 Chronic Hepatic Encephalopathy 427 Variceal Haemorrhage 428 Ascites in Chronic Liver Disease 428 **Self-Assessment** 429 **Further Reading** 430 **Compendium of Drugs Used in Liver Disease** 430

changes bacteria in the gut with a reduced bacterial diversity and an increase in potentially pathogenic bacteria. Gut permeability is increased and both bacteria and endotoxins can penetrate the gut wall leading to immune dysregulation. The severity of hepatic encephalopathy can be compounded by a systemic inflammatory response to infection.

Severe liver damage reduces the synthesis of coagulation factors, prolonging the prothrombin time. There is also reduction in synthesis of natural anticoagulant proteins (protein C, protein S and antithrombin) as well as increased production of endothelium-derived procoagulants. The overall effect is that there is little disturbance of coagulation. Bleeding risk is more closely related to platelet dysfunction or reduced plasma fibrinogen.

There are many metabolic derangements associated with acute liver failure. Systemic vasodilation lowers blood pressure and reduces tissue perfusion, whilst sepsis is common due to immune dysregulation. Metabolic consequences of liver failure include hypoglycaemia (from depleted glycogen stores and reduced gluconeogenesis), renal failure due to circulatory impairment, metabolic acidosis from circulatory impairment or renal failure, and adrenal insufficiency.

The syndrome of acute liver failure is usually categorised by the speed of onset of encephalopathy after the onset of jaundice. One frequently used classification, the King's College criteria, recognises three subsets:

- *Hyperacute liver failure*: Onset within 7 days; most often due to paracetamol or hepatitis A or E virus infection.
- *Acute liver failure*: Onset between 7 and 28 days; typically due to hepatitis B virus (HBV) infection.

• *Subacute liver failure*: Onset between 29 days and 12 weeks; in this form, ascites and renal failure may also be prominent. It is typically due to injury by drugs other than paracetamol and carries the worst prognosis without liver transplantation.

Disease duration greater than 28 weeks is considered to be chronic liver failure.

# MANAGEMENT OF ACUTE AND SUBACUTE LIVER FAILURE

- Acetylcysteine should be given if paracetamol was the precipitant (see Chapter 53). It has antioxidant and immunological actions that may also improve survival in acute liver failure from other causes if treatment is started early when there is only lowgrade encephalopathy. Treatment of the underlying aetiology with antiviral drugs or corticosteroids for autoimmune disease should otherwise be started.
- Restoration of intravascular volume to maintain organ perfusion with crystalloid infusion. Peripheral vasodilation with low blood pressure is common even in the absence of sepsis. Persistent hypotension can be treated with a vasoconstrictor such as terlipressin (discussed later).
- Prophylactic broad-spectrum antibacterial agents and antifungal drugs are often used to prevent sepsis in high grades of hepatic encephalopathy because functional immunosuppression is common. Active treatment of sepsis is important.
- Cerebral oedema is most marked if there is concurrent systemic infection. The risk of cerebral oedema can be reduced by avoiding hyponatraemia and the consequent plasma hypoosmolality. When the risk of cerebral oedema is high, sedation and mechanical ventilation are used to control arterial carbon dioxide concentration, and haemofiltration may be used to correct metabolic disturbance. Induced hypothermia can also be used to reduce cerebral oedema, but it increases the risk of other complications such as infection, cardiac rhythm disturbance and bleeding. Its overall effect on prognosis is uncertain. Intravenous high concentration glucose is used to correct hypoglycaemia.
- Bleeding is uncommon as synthesis of both coagulant and anticoagulant factors is impaired. If bleeding is a problem, intravenous vitamin K (see Chapter 11), fresh frozen plasma or cryoprecipitate may be necessary. However, the prothrombin time is an important guide to liver function and is used to monitor the severity of the liver failure. Therefore, correction of coagulation abnormalities should be avoided if possible.
- Emergency liver transplantation may be considered for severe liver failure.

# **CHRONIC LIVER DISEASE AND LIVER CIRRHOSIS**

There are many causes of chronic liver injury that produce hepatocyte necrosis with inflammation followed by fibrosis and nodular regeneration. These processes eventually alter the liver architecture and lead to distortion of the vasculature within the liver. This advanced structural change is called cirrhosis, and in developed countries is most often caused by alcohol, hepatitis C virus (HCV) or non-alcoholic fatty liver disease. By contrast, HBV is the most common cause in many developing countries. Other causes include autoimmune liver disease, haemochromatosis, Wilson's disease and  $\alpha_1$ -antitrypsin deficiency. The diagnosis of liver cirrhosis is often made when complications arise (often referred to as decompensated cirrhosis), which include development of ascites, variceal haemorrhage, spontaneous bacterial peritonitis, hepatic encephalopathy and renal failure (discussed later).

# AUTOIMMUNE HEPATITIS

The pathogenesis of autoimmune hepatitis (AIH) is poorly understood. It is much more common in women and probably occurs in genetically predisposed individuals (with particular HLA haplotypes and other genetic risk factors) when an as yet unidentified environmental trigger (possibly a viral infection) elicits a T-lymphocyte mediated immune response directed at liver antigens. Diagnosis is based on raised liver enzymes, the presence of circulating autoantibodies characteristic of AIH (antinuclear antibodies and smooth muscle antibodies, antibodies to liver/ kidney microsome type 1) and T-cells in the inflammatory infiltrate in the liver on biopsy. AIH usually has a fluctuant course and may be asymptomatic, present as acute hepatitis, or progress gradually to cirrhosis.

#### MANAGEMENT OF AUTOIMMUNE HEPATITIS

Immunosuppression improves symptoms and mortality; without immunosuppression, active AIH usually progresses to cirrhosis. Therefore immunosuppression is usually considered, unless there is very mild inflammation on liver biopsy when age and comorbidities may determine the likely benefit. Even advanced cirrhosis can regress, but decompensated cirrhosis should not be treated with immunosuppression.

- Corticosteroids, usually prednisolone (see Chapter 44), induce remission in 85% of people with AIH, but when used alone, up to 50% of those treated will still develop cirrhosis within 10 years.
- Azathioprine (see Chapter 38) has a corticosteroid-sparing action in AIH and is widely used in combination with corticosteroids, both to induce remission and for maintenance therapy. Up to 75% of those treated with combination therapy will attain full remission, after which the corticosteroid can be stopped. Treatment is usually life-long; when medication is withdrawn there is an 85% chance of relapse within a year.
- Mycophenolate mofetil (see Chapter 38) is used for those who do not tolerate azathioprine. Evidence for the efficacy of other immunosuppressant

drugs in disease that is resistant to standard therapy (about 5%) is limited. Ciclosporin or tacrolimus (see Chapter 38) may be effective, and infliximab has also been successful (see Chapter 30).

• Liver transplantation can be considered for acute fulminant presentations or for advanced decompensated cirrhosis.

#### **PRIMARY BILIARY CHOLANGITIS**

Primary biliary cholangitis (PBC) is characterised by interlobular bile duct destruction. Serum alkaline phosphatase and gamma-glutamyl transpeptidase are elevated. Most people with PBC have antimitochondrial antibodies in serum and many have antinuclear antibodies. An autoimmune origin seems likely, but immunosuppression is ineffective. Common symptoms include fatigue, itching, Raynaud's phenomenon, dry eyes and mouth (sicca syndrome), bone pain, arthralgia and myalgia, and in the later stages jaundice and portal hypertension.

#### MANAGEMENT OF PRIMARY BILIARY CHOLANGITIS

- Ursodeoxycholic acid, a bile acid produced by bacterial oxidation of chenodeoxycholic acid, is the most effective treatment. It retards progression of the disease possibly by reducing apoptosis of hepatocytes and suppression of the cytotoxic effects of other bile acids. The main unwanted effect is diarrhoea. About two-thirds of people treated will have a response, with reduction in serum liver enzymes and a reduced risk of either death or the need for liver transplantation.
- The bile acid-based farnesoid X receptor agonist obeticholic acid is second-line treatment. The peroxisome proliferator-activated receptor-α agonists fenofibrate and bezafibrate (see Chapter 48) are also used for failure to respond to other treatments.
- Supportive therapy is necessary to reduce the complications that can arise from malabsorption of fatsoluble vitamins. Vitamin D (see Chapter 42) and calcium supplements should be given to reduce the risk of osteomalacia and osteoporosis.
- Treatment of pruritis with colestyramine (see Chapter 48), which binds bile acids in the gut. Rifampicin (see Chapter 51) also reduces itching, possibly by pregnane X receptor agonism. Other treatments that may be helpful for resistant itching are naltrexone (see Chapter 19), gabapentin (see Chapter 23), ondansetron (see Chapter 32) or sertraline (see Chapter 22).
- Liver transplantation is considered for end-stage disease.

# **CHRONIC VIRAL HEPATITIS**

There are two important hepatic viral infections that can cause chronic hepatitis: infection with HBV (a DNA virus) or with HCV (an RNA virus). The eventual consequence of the chronic inflammation produced by the host response to these viruses is cirrhosis.

Hepatitis B virus (HBV) is transmitted by percutaneous or perinatal exposure, or direct mucosal contact with infected blood or body fluid. Serological evidence of hepatitis B infection is found in 30% of the world's population. After exposure, there is an incubation period of 1 to 6 months and then up to 50% of those exposed will develop symptoms and signs of infection. These include fever, fatigue, anorexia, vomiting, abdominal pain, dark urine, pale stools, arthralgia and jaundice. Serological testing will identify those with chronic infection (positive HB surface antigen, HBsAg, present for at least 6 months, with HBV e-antigen if there is active viral replication), or those who have developed natural immunity (positive HB surface antibody; anti-HBs).

Hepatitis C virus (HCV) is transmitted by infected blood or blood-containing body fluid and can be transmitted during sexual activity, via occupational injury, during piercing or tattooing in unregulated studios, transfusion of infected blood or perinatal transmission. An initial acute hepatitis is often mild and unrecognised, but 55% to 85% of those infected will develop chronic infection. In the remainder, HCV RNA is eliminated within 12 to 16 weeks of exposure. In 10% to 20% of those with HCV infection, it will progress to cirrhosis. Chronic HCV infection carries an annual risk of developing hepatocellular carcinoma of 1% to 5%.

## DRUGS FOR TREATMENT OF CHRONIC VIRAL HEPATITIS

#### Nucleoside and Nucleotide Analogues

**Examples** 

entecavir, ribavirin, tenofovir disoproxil fumarate, tenofovir alafenamide

#### Mechanism of action and uses

These drugs are analogues of either nucleosides or nucleotides (phosphorylated nucleosides).

Some act by inhibition of reverse transcriptase reduce DNA copies of the viral RNA and suppress viral replication (see Chapter 51). They are effective against the DNA virus HBV:

- Entecavir is a guanosine nucleoside analogue.
- Tenofovir is an adenosine 5-monophosphate nucleotide analogue given as a prodrug.

Inhibitors of RNA synthesis or transcription are effective against the RNA virus HCV:

 Ribavirin is a guanosine nucleoside analogue that inhibits viral RNA synthesis by blocking incorporation of uridine and cytidine. It also increases the production of antiviral cytokines. Ribavirin has little effect on viral replication when used alone, but it enhances the efficacy of interferon alfa against HCV. Ribavirin is also used by inhalation to treat respiratory syncytial virus infection.

#### Resistance

Viral resistance is due to emergence of mutations that interfere with the binding of the analogue to the enzyme. Resistance to reverse transcriptase inhibitors can also arise through development of hydrolytic processes that remove the drug from the DNA chain.

# Pharmacokinetics

Nucleos(t)ide analogues are inactive prodrugs that are phosphorylated intracellularly to the active nucleotide derivatives and then eliminated by the kidneys.

# **Unwanted effects**

- Anorexia, abdominal pain, nausea, vomiting, diarrhoea.
- Cough, dyspnoea.
- Headache, dizziness, insomnia, fatigue.
- Rashes.
- Lactic acidosis with hepatic steatosis.
- Accumulation of ribavirin in red cells produces haemolysis.
- Interstitial pneumonitis, palpitation, chest pain, syncope with ribavirin.

# **Direct-Acting Antivirals: HCV Protease Inhibitors**



glecaprevir, grazoprevir, paritaprevir, voxilaprevir

# Mechanism of action

HCV protease inhibitors are specific inhibitors of a serine protease (nonstructural proteins NS3/4A) responsible for the cleavage of viral polyprotein, which is an essential process in viral replication. The protease may also aid the virus in evading the normal inflammatory response of the host cell, so protease inhibitors may enhance the host response to infection.

#### Resistance

Viral resistance is due to emergence of mutations that interfere with the ligand-binding site on the protease.

#### Unwanted effects

- Nausea, vomiting, anorexia, abdominal pain, constipation, diarrhoea.
- Arthralgia, myalgia.
- Anaemia.
- Fatigue, dizziness, headache, anxiety, depression, insomnia.
- Rash.

# Direct-Acting Antivirals: Nonstructural Protein NS5A and NS5B Inhibitors

# Examples

NS5A inhibitors: daclatasvir, elbasvir, ledipasvir, ombitasvir, pibrentasvir, velpatasvir

NS5B inhibitors: dasabuvir, sofosbuvir

# Mechanism of action

HCV nonstructural protein NS5A is an RNA binding protein required for assembly of the membranous replication complex of HCV. It also has multiple roles in modulating the immune response to viral infection. Nonstructural protein NS5B is an RNA-dependent RNA polymerase. Both proteins play key roles in regulating HCV replication, and inhibition of NS5A or NS5B reduces RNA synthesis and prevents HCV replication.

#### Resistance

Viral resistance is due to emergence of mutations that interfere with the ligand-binding site on NS5A or NS5B.

#### Unwanted effects

- Nausea, vomiting, abdominal pain, diarrhoea.
- Alopecia, dry skin.
- Anaemia.
- Fatigue, headache, insomnia, anxiety.
- Arthralgia, myalgia.

# **Interferon Alfa**

# Mechanism of action and effects

Interferons are glycoprotein cytokines that are naturally produced by virus-infected cells and protect uninfected cells of the same type. When given therapeutically, interferon alfa binds to host cell surface receptors and stimulates production of enzymes that impair viral mRNA translation by host ribosomes. This inhibits HBV viral replication and augments viral clearance from infected hepatocytes (see Chapter 51). Interferons are obtained either by recombinant DNA technology or from virus-stimulated leucocytes. The forms of interferon alfa used therapeutically are pegylated interferon alfa-2a and alfa-2b, which differ by one amino acid. Interferon alfa is no longer preferred for management of HBV due to its unwanted effect profile and low probability of long-term suppression of viral replication once treatment is stopped.

#### **Pharmacokinetics**

Conjugation of a drug with polyethylene glycol (pegylation) reduces its clearance from the circulation. Pegylated interferon alfa is given by subcutaneous injection once weekly.

# Unwanted effects

- Immediate effects are almost universal and include headache, myalgia, fever and rigors, usually occurring 4 to 6 hours after injection. Tolerance to these effects occurs with repeated use.
- Delayed effects include fatigue, anorexia, nausea and diarrhoea.
- Depression, anxiety, dizziness, drowsiness, headache.
- Bone marrow suppression, especially affecting granulocytes.
- Unmasking or exacerbation of autoimmune conditions.

# MANAGEMENT OF CHRONIC VIRAL HEPATITIS

#### **Chronic Hepatitis B Infection**

There is no role for drug treatment in acute hepatitis B infection, which usually resolves spontaneously. Antiviral treatment should be used if there is evidence of active chronic infection with ongoing liver damage (persistently raised serum alanine aminotransferase) and high viral replication (high titre of HBV DNA). This is usually, but not always, associated with hepatitis B antigen (HBeAg) in plasma as a marker of active viral replication. The criteria for successful treatment are a matter of debate. Seroconversion to HBe antibody only occurs in a minority of those who are treated, and even fewer seroconvert to HBs antibody, which is the ideal outcome. Measurement of the HBV DNA titre is a sensitive way to monitor the effectiveness of treatment and detect drug resistance.

The oral nucleos(t)ide analogues are the mainstay of therapy, with tenofovir or entecavir usually recommended. Hepatitis B is rarely resistant to either of these nucleos(t)ide analogues, which achieve viral suppression in more than 95% of cases. Treatment with a nucleos(t)ide analogue is usually lifelong as relapse is high on cessation. Interferon alfa is now rarely used but remains an option.

#### **Chronic Hepatitis C Infection**

The aim of treatment for chronic HCV infection is eradication of the virus, but a sustained virological response can be followed by relapse several years later, suggesting that not all responders are 'cured'. The choice of drug, treatment duration and success depend on the viral strain, or genotype, of which there are six worldwide, with three found commonly in Europe.

Direct-acting antiviral drugs are now the mainstay of treatment, usually as a combination of an NS5A inhibitor with an NS5B inhibitor or with an NS3/ NS4A protease inhibitor. The viral nucleoside inhibitor ribavirin is sometimes added, but at the expense of a higher incidence of unwanted effects.

This is a rapidly changing area of therapy and choice of drug is partly dependent on the HCV genotype and presence or absence of cirrhosis. Examples of combination treatments are sofosbuvir combined with velpatasvir, daclatasvir or ledipasvir; elbasvir with grazoprevir or ombitasvir with paritaprevir and ritonavir (see also Chapter 51). All these combinations can be given with ribavirin. Treatment can be from 8 to 24 weeks depending on the viral genotype. It is unclear whether the drugs currently used will reduce long-term morbidity or mortality.

# **COMPLICATIONS OF DECOMPENSATED CIRRHOSIS**

Decompensation of cirrhosis can present with encephalopathy, gastrointestinal bleeding or ascites.

#### CHRONIC HEPATIC ENCEPHALOPATHY

Liver cirrhosis predisposes to a variety of neuropsychiatric disturbance known as chronic hepatic encephalopathy. Encephalopathy can also result from portal venous bypass with portosystemic shunting, including iatrogenic transjugular intrahepatic portosystemic shunting (TIPSS) procedures for treatment of portal hypertension. Overt hepatic encephalopathy is the core feature of chronic liver failure and is associated with a transplant-free 3-year survival of 23%. The clinical features of chronic hepatic encephalopathy are similar to those occurring in acute liver failure and range from subtle cognitive abnormalities to coma. Infection, including spontaneous bacterial peritonitis, is a common cause of acute deterioration of encephalopathy in previously compensated liver failure. Other precipitants include upper gastrointestinal bleeding, constipation and electrolyte disturbance.

#### Management of Chronic Hepatic Encephalopathy

Chronic hepatic encephalopathy only requires treatment if it is adversely affecting quality of life. Treatment is generally directed at shortening episodes of acute encephalopathy and preventing further events.

- Prompt treatment of infections, constipation and electrolyte disturbances (particularly hypokalaemia), and avoidance of sedative drugs will help prevent symptomatic encephalopathy. Sepsis, including spontaneous bacterial peritonitis, is often due to bowel flora and should be treated with intravenous broadspectrum antibacterial drugs, such as piperacillin with tazobactam (see Chapter 51). Prophylactic antibacterial therapy for 3 to 5 days is often given in the absence of obvious infection.
- The laxative lactulose (see Chapter 35) is given orally. It is postulated to reduce colonic production of neurotoxins (particularly ammonia) by shortening intestinal transit time and increasing nitrogen fixation by colonic bacteria. It may also reduce bacterial translocation from the colon and reduce the risk of spontaneous bacterial peritonitis. Although widely used for both treatment and prevention of acute episodes of encephalopathy, evidence supporting its use is scanty.
- Low-absorption oral antibacterials, such as rifaximin (see Chapter 51), reduce bacterial ammonia production in the colon. Rifaximin is often used together with lactulose to prevent episodes of acute encephalopathy.
- In people with chronic encephalopathy, nutritional support may be necessary. Careful attention to nutrition is required, especially a balanced carbohydrate and protein intake. Branched-chain amino acids should be consumed in preference to aromatic amino acids.
- Fat malabsorption is common if there is cholestasis. It can be treated with medium-chain triglyceride supplements, and supplements of fat-soluble vitamins

(A, D, E, K) may also be needed. Osteoporosis can result, made worse by the use of corticosteroids, and may require treatment with bisphosphonates (see Chapter 42).

#### VARICEAL HAEMORRHAGE

Portal hypertension in cirrhosis results from increased hepatic resistance to blood flow in the portal venous system so that less blood reaches the hepatic vein. Varices are large collateral venous communications that develop in portal hypertension to carry blood from the portal circulation to systemic veins. They most frequently occur at the gastrooesophageal junction but are also found in the rectum. Gastropathy (friable gastric mucosa with ectatic superficial blood vessels) is also commonly associated with raised portal pressure. Gastrooesophageal varices are found in 30% of people with compensated cirrhosis and 60% of those with decompensated cirrhosis. They carry a high risk of haemorrhage, from which mortality is 30% to 50%. The normal pressure gradient between the portal vein and the inferior vena cava is less than 5 mm Hg and varices form when the hepatic-venous pressure gradient rises above 10 mm Hg. The probability of rupture is increased when the pressure gradient reaches 12 mm Hg and is greatly increased when above 20 mm Hg.

# Management of Bleeding Gastrooesophageal Varices

- Repletion of blood volume can be carried out with colloid solution, or preferably with whole blood to maintain a haemoglobin concentration of 70 to 80 g/L. Excessive blood volume replacement increases the risk of rebleeding. Impaired coagulation and thrombocytopenia are common findings in advanced liver disease, and transfusion of platelet concentrates and fresh frozen plasma may be necessary.
- The risk of bacterial infections is high in acute variceal bleeding, and short-term antibacterial prophylaxis with an agent such as ciprofloxacin or ceftriaxone (see Chapter 51) prevents infection and improves survival.
- Terlipressin (N-triglycyl-8-lysine-vasopressin) is a • splanchnic vasoconstrictor that reduces portal pressure and limits bleeding from varices. It is a prodrug that is slowly converted to lysine-vasopressin, a synthetic vasopressin analogue (see Chapter 43). It is given intravenously by bolus injection, starting before endoscopy and continuing for 2 to 5 days. Unwanted effects are uncommon. It is mainly used as an adjunct to endoscopic band ligation of the varices. Vasopressin is rarely used as it has a shorter duration of action than terlipressin, must be given by intravenous infusion, and produces systemic vasoconstriction with ischaemic complications in up to 50% of those treated. Octreotide (see Chapter 43) and somatostatin (see Chapter 43) are splanchnic vasoconstrictors that are as effective as vasopressin

for stopping haemorrhage, but there is less convincing evidence compared with terlipressin.

- Endoscopic variceal band ligation is the gold standard technique to control bleeding oesophageal varices. Gastric varices are treated with injection of a substance such as cyanoacrylate tissue adhesive that polymerises on contact with water or blood, thrombin or fibrin glue. These interventions successfully occlude varices in up to 95% of cases. The main complications are oesophageal ulceration, increased risk of infections and pleural effusions.
- Balloon tamponade to compress the bleeding point achieves control in 80% to 90% of bleeding varices. It can be used to treat rebleeding after endoscopic therapy or as a holding measure, but is rarely used as a first-line treatment.
- A transjugular intrahepatic portosystemic shunting (TIPSS) procedure is used as rescue therapy in refractory cases when endoscopic therapy has failed.

#### Prevention of Variceal Bleeding and Rebleeding

- Splanchnic vasoconstrictors that lower portal blood flow and reduce the hepatic-venous portal pressure gradient by at least 20% will reduce the risk of bleeding. The nonselective β-adrenoceptor antagonist carvedilol also has vasodilator activity by antagonism of α<sub>1</sub>-adrenoceptors (see Chapter 5). It produces a greater reduction in the portal pressure gradient than propranolol (which was standard treatment for many years) and may be more effective for reducing bleeding.
- Endoscopic variceal ligation of oesophageal varices will reduce the risk of rebleeding but does not reduce portal pressure, and bleeding can still occur from gastropathy. In about 50% of cases, the varices will recur within 2 years. Banding should therefore be followed by drug therapy to lower portal pressure.
- A TIPSS procedure can be used when rebleeding occurs despite endoscopic band ligation, together with drug therapy to lower portal pressure.

#### **ASCITES IN CHRONIC LIVER DISEASE**

Ascites in chronic liver disease arises largely as a result of splanchnic vasodilation from increased production of nitric oxide. This reduces the effective circulating blood volume, which is initially maintained by an increase in cardiac output. Eventually activation of the renin–angiotensin system promotes salt and water retention in the kidney. The increased portal pressure leads to transudation of fluid into the peritoneal cavity. Spontaneous bacterial peritonitis due to translocation of colonic bacteria can complicate ascites associated with liver disease and make the ascites resistant to treatment. Renal vasoconstriction and systemic inflammation caused by bacterial translocation in end-stage liver disease with ascites can lead to hepatorenal syndrome and onset of acute kidney injury.

#### **Management of Ascites**

The presence of ascites in chronic liver disease is associated with a poor prognosis, with a median survival of 2 years, unless liver transplantation is carried out. Management of ascites involves several options:

- Screening for and treating underlying infection (including diagnostic aspiration of ascitic fluid).
- Reduction of sodium intake to 40 mmol/day.
- Diuretic therapy, starting with the potassium-sparing diuretic spironolactone (see Chapter 14) and adding a low dose of furosemide if necessary, with care taken to avoid hypovolaemia and consequent prerenal failure.
- Drainage by paracentesis is usually required in largevolume ascites in addition to diuretics as maintenance therapy; paracentesis should be accompanied by plasma expansion with intravenous albumin to maintain circulating blood volume when the volume drained exceeds 5 L.
- Acute kidney injury and hepatorenal syndrome can be minimised by intravascular volume expansion and paracentesis of tense ascites with albumin replacement. Terlipressin can improve renal function by counteracting splanchnic vasodilation and increasing renal perfusion. It is given together with albumin infusion.
- A TIPSS procedure can be used to lower portal pressure for refractory ascites.
- Referral for liver transplantation should be considered for all those with ascites due to liver failure and is the optimal treatment for hepatorenal syndrome.

# SELF-ASSESSMENT

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which antiviral drug is a specific inhibitor of the HCV nonstructural protein NS3/4A?
  - A. Adefovir
  - B. Boceprevir
  - C. Daclatasvir
  - D. Entecavir
  - E. Sofosbuvir
- 2. A 45-year-old woman was admitted to the emergency department with acute liver failure. Identify an inaccurate statement concerning her condition.
  - A. Paracetamol overdose should be excluded.
  - B. Paracetamol-induced hepatocellular liver damage is not reversible.
  - C. Mannitol could be used to reduce the cerebral oedema associated with acute liver failure.
  - D. Warfarin could be used to manage coagulopathy.
  - E. Terlipressin could be given as a vasoconstrictor to treat shock.

# **CASE-BASED QUESTIONS**

Mr. S.A. was a 61-year-old publican who presented 'feeling as though I am 9 months pregnant'. His abdominal swelling was caused by ascites, which was drained. A liver biopsy was performed, which showed

micronodular cirrhosis. He commenced treatment with oral spironolactone.

1. Was this a good choice of diuretic?

He remained well on this regimen for 5 years but continued to imbibe large quantities of alcohol. He re-presented as an emergency, having haematemesis and melaena. At the time he was slightly jaundiced and demonstrated signs of hepatic encephalopathy. In addition, there was gynaecomastia and testicular atrophy. The liver edge was palpable 8 cm below the right costal margin. Investigations showed a bilirubin level of 27 mmol/L (normal <17 mmol/L) and albumin of 30 g/L (normal 32–50 g/L). A gastroscopy was performed under sedation with intravenous diazepam and revealed oesophageal varices.

- 2. What evidence was there to indicate diminished hepatic reserve in this man?
- 3. Was diazepam a good choice during gastroscopy?
- 4. It has been shown that the incidence of rebleeding from oesophageal varices can be reduced by oral carvedilol (by reducing portal venous pressure). What effect is this man's liver disease likely to have on the pharmacodynamics and pharmacokinetics of carvedilol?
- 5. People with hepatic cirrhosis are often treated with colestyramine and/or lactulose. How do these drugs work, and what benefits are produced?
- 6. What would you use for pain relief in someone with established liver cirrhosis?

# ANSWERS

#### **OBA ANSWERS**

- 1. Answer **B** is correct.
  - A. False. Adefovir is a nucleotide analogue used in chronic hepatitis B viral infection.
  - B. **True**. Boceprevir specifically inhibits HCV nonstructural protein NS3/4A, as do all drugs with names ending in *-previr*.
  - C. False. Daclatasvir inhibits the HCV nonstructural protein NS5A.
  - D. False. Entecavir is a nucleoside analogue used in chronic hepatitis B viral infection.
  - E. False. Sofosbuvir inhibits HCV RNA polymerase NS5B.
- 2. Answer D is the least helpful statement.
  - A. True. Paracetamol poisoning is the most common cause of acute liver failure in the UK.
  - B. True. Liver damage induced by paracetamol is irreversible.
  - C. True. Mannitol is an osmotic diuretic effective for the reduction of cerebral oedema (see Chapter 14).
  - D. **False**. The coagulopathy is due to a reduction in vitamin K-dependent clotting factors. Warfarin, a vitamin K antagonist, would make the problem worse, and vitamin K should be given.
  - E. True. Terlipressin, an analogue of vasopressin that causes vasoconstriction, can be given to treat shock.

# **CASE-BASED ANSWERS**

- Mr. S.A. is at risk of encephalopathy/coma from electrolyte imbalances. Spironolactone, a potassium-sparing diuretic, would avoid changes in serum K<sup>+</sup> such as might be caused by loop diuretics; a loop diuretic could be given cautiously after a few days of treatment with spironolactone. There is an increase in circulating aldosterone probably contributing to fluid retention, so spironolactone is usually chosen.
- 2. Diminished hepatic reserve is indicated by (i) increased plasma bilirubin, which will be a combination of unconjugated bilirubin due to impaired glucuronidation, plus conjugated bilirubin due to impaired biliary excretion; (ii) decreased plasma albumin, caused by decreased synthesis, which will lower the osmotic pressure of blood and lead to oedema/ascites; (iii) decreased clotting factors, caused by decreased synthesis, which may contribute to oesophageal bleeding; (iv) increased oestrogenic activity, as evidenced by gynaecomastia and testicular atrophy, possibly caused by decreased sex steroid inactivation in the liver.
- 3. Reduced hepatic cytochrome P450 metabolism in liver disease might cause increased plasma levels of diazepam, a long-acting benzodiazepine. A shorteracting benzodiazepine without active metabolites, such as midazolam, would be a better choice.
- 4. Carvedilol is highly lipophilic and undergoes hepatic inactivation. The oral bioavailability of carvedilol is only 25%, but in liver disease this nearly doubles owing to reduced hepatic first-pass metabolism. Reduced hepatic CYP450 isoenzymes may also reduce its systemic elimination and extend its half-life. Carvedilol is 98% to 99% bound to plasma proteins and reduced plasma protein concentration may mean that less propranolol is bound, resulting in increased free drug fraction and an increased

response. The manufacturer recommends reducing carvedilol doses in hepatic impairment.

- 5. In liver cirrhosis, decreased bile outflow leads to accumulation of bile salts in the blood and their deposition in the skin, which causes itching. Colestyramine, an anion-exchange resin, adsorbs bile salts within the gut and reduces their enterohepatic circulation. Lactulose is a laxative (see Chapter 35), and its fermentation products in the lower gastrointestinal tract reduce microbial formation of ammonia and tyramine, which may otherwise contribute to encephalopathy.
- 6. Analgesia in cirrhosis can be difficult. Opioids should usually be avoided because of the risk of encephalopathy, but low doses could be given. Nonsteroidal antiinflammatory drugs (NSAIDs) are best avoided to reduce the risk of haemorrhage from oesophageal varices. Paracetamol is potentially hepatotoxic, but it is well tolerated in cirrhosis, probably because decreased perfusion of hepatocytes and reduced activity of cytochrome P450 outweigh the impaired inactivation by conjugation.

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DRUG	CHARACTERISTICS		
Autoimmune Liver Disease			
Azathioprine	Prodrug of 6-mercaptopurine, a thiopurine immunosuppressant (see Chapter 38). Corticosteroid-sparing action. Given orally or intravenously in combination with corticosteroids.		
Corticosteroids	Usually prednisolone is used. See Chapter 44.		
Obeticholic acid	Farnesoid X agonist; reduces circulating bile acid. Used when ursodeoxycholic acid is ineffective or poorly tolerated. Given orally.		
Ursodeoxycholic acid	Secondary bile acid. First-line drug treatment in primary biliary cirrhosis. Given orally.		
Other drugs	Ciclosporin, tacrolimus or mycophenolate (see Chapter 38) are used for autoimmune hepatitis unresponsive to corticosteroids.		
<b>Chronic Viral Hepatitis</b>			
Nucleoside and Nucleotide Analogues			
Adefovir dipivoxil	Prodrug of adefovir, a nucleotide reverse transcriptase inhibitor. Used in chronic HBV infection with either compensated liver disease with evidence of viral replication and histologically documented active liver inflammation and fibrosis, or decompensated liver disease. Given orally.		

#### Compendium of Drugs Used in Liver Disease.

# Compendium of Drugs Used in Liver Disease—cont'd.

DRUG	CHARACTERISTICS
Entecavir	Guanosine nucleoside reverse transcriptase inhibitor. Used in chronic HBV infection with compensated liver disease, evidence of viral replication and histologically documented active liver inflammation or fibrosis. Given orally.
Lamivudine	Cytosine nucleoside reverse transcriptase inhibitor. Used in treatment of chronic HBV infection when first-line treatments cannot be used, and with other antivirals for decompensated liver disease. Given orally.
Ribavirin (tribavirin)	Nucleoside analogue. Used with pegylated interferon alfa for chronic HCV infection. Given orally.
Tenofovir alafenamide	Prodrug of tenofovir; nucleotide reverse transcriptase inhibitor. Used for chronic HBV infection. Given orally.
Tenofovir disoproxil	Prodrug of tenofovir; nucleotide reverse transcriptase inhibitor. Used for chronic HBV infection. Given orally.
HCV Protease Inhibitors	
Direct-acting antivirals (DAA) cleavage of viral polyprotein	that specifically inhibit hepatitis C viral nonstructural protein NS3/4A, a serine protease involved in n. All are given orally.
Boceprevir	Used with ribavirin and peginterferon alfa for HCV genotype 1.
Glecaprevir	Used in chronic HCV infection only in combined formulation with pibrentasvir (an NS5A inhibitor).
Grazoprevir	Used in a combined formulation with elbasvir (NS5A inhibitor) in chronic HCV infection of genotypes 1 or 4, with or without ribavirin. Given orally.
Paritaprevir	Only available in combined formulation with ombitasvir and ritonavir (see Chapter 51), and used with or without dasabuvir and/or ribavirin, for chronic infection with specific HCV genotypes.
Simeprevir	Used with ribavirin and peginterferon alfa for chronic HCV infection of genotypes 1 or 4, and in combination with sofosbuvir (with or without ribavirin) for urgent treatment when intolerant to peginterferon alfa.
Voxilaprevir	Used in a combined formulation with sofosbuvir and velpatasvir in chronic HCV infection.
HCV Nonstructural Protein NS54	A or NS5B Inhibitors
Direct-acting antivirals (DAA) (an RNA-dependent RNA p	that specifically inhibit the HCV nonstructural proteins NS5A (an RNA binding protein) or NS5B polymerase), which regulate viral replication and assembly.
Daclatasvir	NS5A inhibitor. Used in permutations with sofosbuvir, ribavirin, and peginterferon alfa in chronic infection with specific HCV genotypes. Given orally.
Dasabuvir	NS5B inhibitor. Used in chronic HCV infection with other antiviral drugs (ombitasvir with paritaprevir and ritonavir), with or without ribavirin. Given orally.
Elbasvir	NS5A inhibitor. Used in a combined formulation with grazoprevir for chronic HCV infection of genotypes 1 or 4, with or without ribavirin.
Ledipasvir	NS5A inhibitor. Used only in combined formulation with sofosbuvir, with or without ribavirin, for chronic infection with specific HCV genotypes. Given orally.
Ombitasvir (with paritaprevir and ritonavir)	NS5A inhibitor. Used in combination with or without dasabuvir and/or ribavirin, for chronic infection with specific HCV genotypes. Given orally.
Pibrentasvir	NS5A inhibitor. Used only in combined formulation with glecaprevir (HCV protease NS3/4A inhibitor) in chronic HCV infection. Given orally.
Sofosbuvir	NS5B inhibitor. Used with ribavirin with or without peginterferon alfa, or with daclatasvir, or in combined formulations with lepidasvir, or with velpatasvir, or with or velpatasivir and voxilaprevir, for chronic HCV infection. Given orally.
Velpatasvir	NS5A inhibitor. Used in combined formulations with sofosbuvir, or with sofosbuvir and voxilaprevir, for chronic HCV infection.
Interferons	
Peginterferon alfa	Polyethylene glycol-conjugated form of interferon alfa, an antiviral cytokine; the pegylated form gives more prolonged duration of action. Used for chronic hepatitis B virus (HBV) infection, or in combination with ribavirin for chronic HCV infection. Given weekly by subcutaneous injection.
Drugs Used for Oesophageal Va	rices
Octreotide	Somatostatin analogue. Given by subcutaneous injection, or by intravenous injection if a more rapid response is required.
Terlipressin	Prodrug hydrolysed to active lysine-vasopressin. Given by intravenous injection.

HBV, Hepatitis B virus; HCV, Hepatitis C virus.

# **Chapter Outline**

Pathogenesis of Obesity433Drugs for Treatment of Obesity434Drugs Acting on the Gastrointestinal Tract434Centrally Acting Appetite Suppressants435Management of Obesity435

Obesity is defined as a body mass index (BMI) greater than 30 kg/m<sup>2</sup>, compared with the ideal range of 18.5 to 24.9 kg/m<sup>2</sup> (Box 37.1). The prevalence of obesity has doubled in many countries in the Western world since 1980; it varies from less than 10% in the Netherlands to about 50% in some parts of eastern Europe. In England almost 30% of adults are obese, with a further 35% of the English population being overweight. Obesity is also increasing in childhood with about 20% of children in England who are in their last year at primary school being obese.

The health consequences of obesity are considerable (Box 37.2). Recent data suggest that weight gain in early adulthood is associated with reduced life expectancy that is directly related to the level of BMI (up to 10 years of life expectancy lost at the age of 40 years), whereas weight gain after the age of 50 years carries much lower risk of premature death. BMI is not a true measure of adiposity as it does not distinguish between mass from body fat or muscle; nor does it consider the distribution of fat. Abdominal obesity is associated with greater risk to health than fat in other parts of the body, such as over the buttocks and thighs. Especially for individuals with a BMI in the range 25 to  $34.9 \text{ kg/m}^2$ , waist circumference greater than 102 cm in males or 88 cm in females is more closely correlated than BMI with the cluster of atherogenic risk factors

# Box 37.1 WHO Classification of Body Mass Index (BMI) in Adults.<sup>a</sup>

<18.5 kg/m <sup>2</sup>	underweight
18.5–24.9 kg/m <sup>2</sup>	normal weight
25–29.9 kg/m <sup>2</sup>	overweight
30–34.9 kg/m <sup>2</sup>	class I obesity
35–39.9 kg/m <sup>2</sup>	class II obesity
≥40 kg/m²	class III (extreme) obesity

aln children, BMI values are compared with age-related percentiles.

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that are found in the metabolic syndrome. Most people with a BMI greater than  $35 \text{ kg/m}^2$  will have a waist circumference greater than these figures. Metabolic syndrome is the presence of at least two of the following characteristic features in association with large waist circumference:

- abnormally raised triglyceride,
- decreased high-density lipoprotein (HDL) cholesterol,
- raised fasting blood glucose (as a result of insulin resistance),
- hypertension.

Excess dietary calories are stored as fat in adipose tissue. There are two types of adipose tissue: brown

# Box 37.2 Adverse Health Consequences of Obesity.

# METABOLIC CONSEQUENCES

Hypertension Hyperlipidaemia (raised VLDL, reduced HDL cholesterol) Hyperuricaemia Insulin resistance **CLINICAL CONSEQUENCES** Coronary artery disease and heart failure (BMI >29 kg/m<sup>2</sup> increases risk fourfold) Atrial fibrillation Type 2 diabetes mellitus (BMI >35 kg/m<sup>2</sup> increases risk 40-fold) Stroke Osteoarthritis (including non-weight-bearing joints) Sleep apnoea Gallbladder disease Non-alcoholic fatty liver disease (NAFLD) Colon, rectal and prostate cancer in men; endometrial, breast and gallbladder cancer in women (BMI >30 kg/m<sup>2</sup> increases risk two- to fivefold) Low self-esteem Maternal obesity is associated with congenital anomalies and perinatal death

*BMI*, Body mass index; *HDL*, high-density lipoprotein; *VLDL*, very-low-density lipoprotein.

adipose tissue is responsible for thermogenesis and white adipose tissue for energy storage. White adipose tissue is particularly important for sequestering triglycerides and limiting their toxicity to other tissues such as liver and muscle. White adipose tissue forms the majority of fat in the body and is found particularly under the skin and in the abdominal mesentery where venous drainage is directly into the portal circulation and the liver. It is a target for insulin, and in obese people there is resistance to the action of insulin. This impairs the ability of white adipose tissue to synthesise and store triglycerides. The resulting release of lipid metabolites into the portal circulation causes fat uptake and accumulation in the liver and increases insulin resistance in peripheral tissues.

Individuals who respond to excess calorie intake by storing fat in subcutaneous adipocytes are less likely to experience the adverse outcomes of obesity than those who store fat in visceral adipocytes. Intraabdominal (visceral) fat is most closely associated with the metabolic and atherothrombotic consequences of obesity. In obesity, there is greater infiltration of immune cells into visceral adipose tissue. The enlarged visceral adipocytes secrete excess fatty acids, which have toxic metabolic effects on peripheral tissues, as well as numerous inflammatory, proatherogenic and procoagulant cytokines such as interleukin-6, tumour necrosis factor  $\alpha$  and plasminogen activator inhibitor-1. They also secrete reduced amounts of the antiinflammatory and antiatherogenic hormone adiponectin. Adiponectin improves insulin sensitivity and vascular function.

#### PATHOGENESIS OF OBESITY

Obesity usually develops gradually when energy input exceeds output for a prolonged period. A small imbalance between energy intake and exercise or muscular work is all that is required for progressive weight gain. However, adult body weight is remarkably stable in the face of short-term changes in energy balance, even in obese people, suggesting active regulation of weight.

There is a genetic predisposition to obesity, with multiple genes each having a small effect on susceptibility to weight gain. Epigenetic modifications transmissible to future generations may also occur in offspring of mothers exposed to metabolic hardship, such as underweight, obesity or diabetes mellitus. However, the recent epidemic of obesity in the Western world suggests that environmental factors (reduced activity and dietary changes) are important in determining obesity. Many of the genes associated with obesity are highly expressed in the brain and may not only influence hypothalamic regulation of energy balance, but also interactions with an obesogenic environment, such as circuits involved in reward-based decision making, learning and memory.

Energy balance is regulated in the hypothalamus, which integrates neural, hormonal and circulating nutrient stimuli, and sends signals to higher centres to trigger feelings of satiety or hunger. The hypothalamus therefore detects shortages in supply of nutrients and triggers a behavioural response. The integrative function of the hypothalamus is influenced by corticolimbic systems that process external sensory information, which is then modified by reward circuits, memory and executive control. The hypothalamus regulates sympathetic nervous system function, which controls lipolysis to release fatty acids as an energy source and modulates thermogenesis. It also regulates pituitary hormones that help determine energy expenditure. Release of hypothalamic orexigenic (appetitestimulating) mediators such as melanin-concentrating hormone (MCH) and orexin (ORX), or anorexigenic substances such as corticotrophin releasing hormone (CRH) and thyrotropin-releasing hormone (TRH), modulate appetite.

The biochemical factors that underlie the regulation of weight are complex (Fig. 37.1). Signals to the hypothalamus that reduce food intake (satiety signals) are provided by a number of hormones produced by endocrine cells of the gut, adipose tissue and pancreas. Leptin released from adipocytes and insulin from the pancreas both signal via specific hypothalamic receptors to indicate the degree of filling of adipocytes and induce the sensation of satiety. Several gut-derived peptides act as short-term appetite regulators. Ghrelin is released by the stomach preprandially and stimulates orexigenic peptide hormones in the hypothalamus. Oxyntomodulin, glucagon-like peptide-1 (GLP-1), cholecystokinin and peptide YY (PYY) are released from the small intestine and colon in response to the presence of carbohydrates and lipids, and inhibit release of orexigenic peptides in the hypothalamus.

When energy levels are low, ghrelin release and suppression of leptin, insulin and various gut-derived peptides promote release of key hypothalamic neurotransmitters, including neuropeptide Y (NPY) and agouti-related protein (AgRP). NPY and AgRP decrease activity in the melanocortin system, which in turn permits signalling by the orexigenic hormones to stimulate appetite.

Following a meal, high plasma concentrations of insulin, glucose, cholecystokinin and leptin stimulate the hypothalamic anorexigenic substances pro-opiomelanocortin (POMC) and cocaine- and amphetamineregulated transcript (CART). These mediators increase melanocyte-stimulating hormones ( $\alpha$ -MSH,  $\beta$ -MSH) and  $\beta$ -endorphin release, which inhibit MCH/ORX activity and signal satiety.

Paradoxically, the circulating concentration of leptin is usually high in obesity. This probably reflects the combination of leptin release from excess fat deposits and hypothalamic resistance to satiety cues such as leptin. Other factors that influence appetite include the



**Fig. 37.1 Factors involved in the regulation of food intake.** Feedback loops between the periphery and the brain control food intake. Peripheral signals stimulate or inhibit transmitters in the arcuate nucleus of the hypothalamus (shown in *yellow*) that control appetite and energy expenditure. The hypothalamus sends signals to higher centres, indicating satiety or hunger. The peripheral orexigenic (appetite-stimulating) signals include ghrelin, released preprandially from the gut, and satiety signals include insulin, leptin, peptide YY<sub>3.36</sub> (*PYY*) and oxyntomodulin (*OXM*). Other hypothalamus serotonin acts on the hypothalamus to suppress appetite. \*Note: Centrally acting appetite-suppressant drugs have been withdrawn in the United Kingdom due to unwanted effects, but rimonabant and sibutramine are shown to illustrate mechanisms. Orlistat reduces fat absorption from the gut by inhibiting pancreatic lipase. *AgRP*, Agouti-related protein; *CART*, cocaine- and amphetamine-regulated transcript; *CCK*, cholecystokinin; *GLP-1*, glucagon-like peptide-1; α-MSH, α melanocyte-stimulating hormone; *NA*, noradrenaline; *NPY*, neuropeptide Y.

appetite inhibitors serotonin and dopamine, and the appetite stimulators cannabinoids, cortisol and growth hormone-releasing hormone.

Improved understanding of the biochemical signals that regulate appetite has led to a search for more effective appetite-suppressant drugs. So far there has been little translation of this research into clinical practice.

For a few individuals, obesity arises from hormonal disturbances, or from neurological conditions that lead to behavioural change. Several drugs can produce weight gain, such as antipsychotics, tricyclic antidepressants, corticosteroids, antiepileptics, antihistamines and antidiabetic drugs.

# DRUGS FOR TREATMENT OF OBESITY

#### DRUGS ACTING ON THE GASTROINTESTINAL TRACT

	Example		
orlis	stat		

#### **Mechanism of Action**

Orlistat acts by binding to pancreatic lipase in the gut and inhibiting its action. It reduces triglyceride digestion and therefore energy intake from dietary fat. However, when used as an adjunct to dietary restriction (particularly a low-fat diet) and exercise, only about 20% of people will lose more than 5% of body weight. Continuous use of orlistat for more than 2 years is not recommended.

#### **Pharmacokinetics**

Orlistat undergoes minimal absorption after oral administration and is largely excreted unchanged in the faeces.

#### **Unwanted Effects**

• Orlistat produces gastrointestinal upset, including abdominal pain, flatulence, steatorrhoea, faecal urgency and faecal soiling. These symptoms are most common if there is poor adherence to a low-fat diet while taking the drug, and result in discontinuation of treatment by one-third of people. There is also impaired absorption of fat-soluble vitamins, especially vitamin D.

#### **CENTRALLY ACTING APPETITE SUPPRESSANTS**

The centrally acting appetite suppressants dexfenfluramine, fenfluramine and sibutramine were withdrawn in the UK because of the increased risks of valvular heart disease and pulmonary hypertension. The selective cannabinoid CB<sub>1</sub> receptor antagonist rimonabant suppresses appetite by an effect on the hypothalamus, but was also withdrawn due to psychological disturbances.

Other drugs are licensed in the United States for the management of obesity. Phentermine, diethylpropion, phendimetrazine and benzphetamine are amfetamine analogues that increase hypothalamic noradrenaline concentration. Phentermine is formulated in combination with topiramate, which may reduce weight by suppressing appetite and decreasing lipogenesis. All these drugs have safety concerns and abuse potential. The combination of naltrexone with bupropion is licensed for treatment of obesity in the UK, but is associated with a high incidence of dizziness and somnolence and increased risk of seizures. Its use is not recommended.

# MANAGEMENT OF OBESITY

Weight loss reduces the morbidity associated with obesity and also prolongs life expectancy when achieved before middle age. The health risks associated with obesity decrease with age, and in those over the age of 75 years, the relative risk of death from all causes decreases with increasing BMI. Obesity is not usually caused by psychological disturbances, but these commonly arise in obese people. The social prejudice against obesity, concern about body image, and the depression and irritability that arise from dieting are all contributory factors. For many, the driver to achieve weight loss is for the cosmetic effects rather than for health gain. Hormonal and drug-related causes of obesity should be considered before embarking on a weight management programme.

Weight loss can be difficult to achieve and to maintain. The management of obesity should be carried out by a multidisciplinary team who can advise on lifestyle and other treatment options. The cornerstone of management is to reduce energy intake to 500 to 600 kcal below daily requirements (equivalent to the energy stored in about 0.5 kg of fat tissue). Adherence rather than a specific diet is most important for longterm success. However, dietary restriction alone is usually inadequate to achieve the desired weight loss, and increased exercise combined with diet is more effective than either alone. Moderate- to vigorousintensity exercise is associated with a lower BMI than low-intensity physical activity. Behaviour modification is essential for long-term maintenance of weight loss.

Drug treatment should be restricted to individuals with a BMI of more than  $30 \text{ kg/m}^2$ , or a BMI of more

than 28 kg/m<sup>2</sup> in the presence of diabetes mellitus, hypertension or hypercholesterolaemia. It should only be used after diet, exercise and behavioural change have failed to produce a realistic reduction in weight, and then only as a part of a comprehensive weight management plan. Currently, orlistat is the only licensed drug in the UK recommended specifically for obesity. A major disadvantage of its use is that weight gain often follows cessation of therapy. The use of levothyroxine to encourage weight loss by increasing metabolic rate is not recommended, due to long-term risks such as osteoporosis. Bulking agents such as methylcellulose are usually ineffective for reducing food intake. Specialist clinics may consider the use of metformin (see Chapter 40), selective serotonin reuptake inhibitors (including naltrexone with bupropion) (see Chapter 22), synthetic incretin mimetics (GLP-1 agonists) such as liraglutide (see Chapter 40), or topiramate (see Chapter 23).

Bariatric surgery to restrict the size of the stomach (laparoscopic adjustable gastric banding or vertical sleeve gastrectomy) or gastric bypass (such as Rouxen-Y) are used in extreme obesity (BMI >40 kg/m<sup>2</sup>), or those with a BMI greater than 35 kg/m<sup>2</sup> and an obesity-related medical condition.

The goal of weight loss therapy is to initially achieve a weight loss of 5% to 10% over the first 6 months, a level shown to achieve health gains. The initial rate of weight loss is the best predictor of long-term success. Current drug and lifestyle treatments for obesity can be expected eventually to produce weight loss of about 10% to 15%, which is often enough to ameliorate obesity-related metabolic disorders and their accompanying clinical manifestations. Bariatric surgery produces an average weight loss of 15% to 30%.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. The posterior pituitary is the main central nervous system site of appetite control.
- 2. Orlistat acts centrally to inhibit release of orexigenic neurotransmitters.
- 3. Vitamin D deficiency can occur with orlistat treatment.
- Orlistat treatment for obesity should be restricted to individuals with a body mass index (BMI) of more than 30 kg/m<sup>2</sup>.
- 5. Weight loss is usually sustained after 12 months of orlistat treatment.

#### **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Which peptide is orexigenic (appetite-stimulating)? A. Cholecystokinin
  - B. Ghrelin
  - C. Insulin
  - D. Leptin
  - E. Peptide YY

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **False.** The hypothalamus and higher brain centres are most important in regulating food intake.
- 2. **False.** Orlistat reduces fat absorption in the gut by inhibiting pancreatic lipase.
- 3. **True.** People taking orlistat may unduly restrict their fat intake to avoid steatorrhoea, leading to reduced absorption of fat-soluble vitamin D.
- 4. True. Drug treatment should be restricted to obese people with BMI greater than  $30 \text{ kg/m}^2$  (or >28 kg/m<sup>2</sup> in those with type 2 diabetes mellitus, hypertension or hypercholesterolaemia).
- 5. **False.** Weight loss with orlistat often reverses gradually after stopping the drug; lifestyle measures including diet and exercise are important in longterm weight control.

# **OBA ANSWER**

1. **Answer B** is correct. Ghrelin (answer B) is produced by the gut preprandially and has an orexigenic action on the hypothalamus. Anorexigenic (appetite-suppressing) signals to the hypothalamus include insulin from the pancreas (answer C), leptin from fat stores (answer D) and gut peptides such as cholecystokinin (answer A) and peptide YY (answer E).

# **FURTHER READING**

- Bray, G.A., Heisel, W.E., Jensen, M.D., et al., 2018. The science of obesity management: an Endocrine Society scientific statement. Endocrine Rev. 39, 79–132.
- Gadde, K.M., Martin, C.K., Berthoud, H.R., Heymsfield, S.B., 2018. Obesity: pathophysiology and management. J. Am. Coll. Cardiol. 71, 69–84.

# Drugs Used in Obesity.

DRUG	CHARACTERISTICS
Orlistat	Pancreatic lipase inhibitor; acts within intestine to reduce fat absorption. Taken orally before,
	during or immediately after a meal. Minimal systemic absorption of drug.

# The Immune Response and Immunosuppressant Drugs

# **Chapter Outline**

Biological Basis of the Immune Response 437 Innate Immunity 437 Adaptive Immunity 438 Unwanted Immune Reactions 440 Immunosuppressant Drugs 441 Corticosteroids 441 Calcineurin Inhibitors 441 Mechanistic Target of Rapamycin (mTOR) Inhibitors 442 Antiproliferative Agents 443 Folic Acid Antagonist 444 Interleukin-2 Receptor Antibodies 444 Selective Co-Stimulation Blocker 444 Complement Blockers 445 Antithymocyte Globulin 445 IgG Degrading Enzyme 445 Immunosuppression in Inflammatory and Autoimmune Disease 446 Immunosuppression in Organ Transplantation 446 Long-Term Effects of Immunosuppression 447 Self-Assessment 447 Answers 448 Further Reading 448 Compendium of Immunosuppressant Drugs 448

# **BIOLOGICAL BASIS OF THE IMMUNE RESPONSE**

Immunity is the balance between adequate defences to fight infection, disease and tumour cells and having sufficient tolerance to avoid inflammation, allergy and autoimmune disease. To achieve this, the immune system has the ability to distinguish between self and non-self proteins. The immune system is composed of innate (natural) and acquired (adaptive) components. Acquired immunity is further divided into humoral and cell-mediated immunity.

# **INNATE IMMUNITY**

The term *innate immunity* is used because it is an inherited system. It comprises several generally nonspecific protective mechanisms, some of which do not involve the immune system. Innate immunity provides a rapid response to pathogens and is the first line of defence. It does not require previous exposure to a pathogen to mount a response. Pattern-recognition receptors on cells involved in the innate immune system recognise all pathogens approximately equally. They identify pathogenic 'groups' such as bacterial lipopolysaccharides and bacterial DNA, rather than responding to individual antigens. The innate immune system has an important role in processing pathogens and triggering the highly selective adaptive system, which is described later.

The innate immune system incorporates the following processes:

- Physicochemical barriers provide an initial external defence – for example, skin and mucous membranes, low stomach pH, antibacterial agents (lysozyme) in skin and tear secretions, coughing, sneezing, vomiting and flushing of the urinary tract to prevent stasis.
- Macrophages and dendritic cells, particularly in lungs, liver, lymph nodes and spleen, phagocytose pathogenic material and degrade it to produce antigen fragments (short peptides of approximately 8–25 amino acids), which they then display on their surfaces within the cleft of major histocompatibility complex (MHC) class II molecules. The cells are then described as professional antigen-presenting cells (APCs). APCs are necessary for the presentation of the antigen to T lymphocytes and the subsequent triggering of the adaptive immune system.
- Phagocytosis of bacteria and parasites by neutrophils, monocytes and macrophages.
- Actions of natural killer cells (large granular lymphocytes).
- Binding of antigens to IgE antibody on mast cells and basophils and the subsequent release of inflammatory mediators from the cell.
- Attraction of immune cells to sites of inflammation by autacoids, chemokines and cytokines released from cells involved in the innate immune response.
- Complement activation by the alternate pathway, initiated by microbial carbohydrate chains. Complement produces membrane attack complexes that lyse microorganisms.

 Acute-phase proteins and interferon. Interferon is a viral-related response that is released from virally infected cells and triggers neighbouring cells to produce antiviral enzymes.

The innate immune system may be an adequate defence to deal with many pathogens but, unlike adaptive immunity, long-term specific immune protection following initial exposure to a pathogen does not occur.

# **ADAPTIVE IMMUNITY**

Adaptive immunity is superimposed upon the innate mechanisms. It differs from innate immunity in that it is slower to respond, offers long-term specific protection and has exquisite specificity in recognising individual non-self antigens. Adaptive immunity has two basic complementary and interacting mechanisms: *cell-mediated immunity* and *humoral immunity* (Figs. 38.1 and 38.2).

Essential components of the adaptive system are the two populations of lymphocytes:

*T-lymphocytes* (T-cells) are produced in the bone marrow and migrate to the thymus, where they mature, express receptors for antigens and interact with immunogenic self-peptides. T-cells are selected in

the thymus for low or high avidity for self-peptides, and those showing high avidity are destroyed. The surviving T-cells retain the potential to recognise multiple foreign non-self-antigens but not self-antigens. The T-cells then leave the thymus and spread through the body including lymph nodes.

• *B-lymphocytes* (B-cells) make up about 10% of the lymphocyte population and mature in the bone marrow. Similar selection of B-cells occurs in the bone marrow, with elimination of those B-cells that bind self-antigens strongly. B-cells mature after migrating from the bone marrow to the spleen. After maturation, they either remain in the spleen or migrate through the blood to lymph nodes, where they encounter antigen delivered by circulating lymph.

T-cells and B-cells are coated with numerous proteins which act as receptors or as ligands for other receptors. These proteins are defined by antibody typing (immunophenotyping) and are given cluster of differentiation (CD) numbers such as CD4, CD8, and so on. When T-cells leave the thymus or B-cells mature in the spleen, they have not yet been exposed to nonself-antigens, and they are considered to be naïve or uncommitted.



**Fig. 38.1 Aspects of cell-mediated immunity.** This shows in simplified form some steps in T-cell activation following antigen presentation to the T-cell receptor (*TCR*). Pathogenic antigens are presented by antigen-presenting cells to the uncommitted CD4<sup>+</sup> lymphocyte, which carries the specific receptor to the antigen, in association with major histo-compatibility complex (*MHC*) class I and costimulatory molecules. Under the influence of interleukin-2 (*IL-2*), Th1 cells undergo clonal proliferation and play a variety of roles in cell-mediated immunity, including activation of macrophages and other cells. Antigens can also be presented to CD8<sup>+</sup> lymphocytes, which mature into cytotoxic T-cells. Drugs used as immunosuppressants act at the sites shown. Corticosteroids act at many sites (see also Fig. 38.2 and Chapter 44). *Ag*, Antigen; *IL-2R*, interleukin-2 receptor; *Th*, T-helper cell.



**Fig. 38.2 Aspects of humoral immunity.** Adaptive immunity can result in production of antibodies (humoral response) or a cell-mediated response (see Fig. 38.1). Antigens on bacteria or bacterial toxins bind to immunoglobulins on B-cells. Before proliferation and antibody production can occur, the B-cell also has to be stimulated by activated T-cell cytokine production, usually of the Th2 type. Antigen fragments can be presented with major histocompatibility complex (*MHC*) class II molecules to T-cells via a T-cell receptor (*TCR*) that recognises the antigen. T-cells undergo clonal proliferation and produce cytokines that stimulate B-cells to produce humoral antibodies (IgG, IgM, IgA, IgD and IgE). In atopic individuals, the T-cells are tipped toward the Th2 type and produce interleukins IL-4, IL-5, IL-10 and transforming growth factor β (TGFβ), which induce the B-cells to produce IgE. Immunosuppressants and corticosteroids act at multiple sites to reduce proliferation and activity of T-cells and B-cells. Alemtuzumab selectively targets CD52 on mature lymphocytes, and imlifidase is a protease that degrades IgG. Eculizumab is directed against the complement C5 protein and impairs complement activation. *Ag*, Antigen; *APC*, antigen-presenting cell; *CR*, cytokine receptor; *Ig*, immunoglobulin; *P*, plasma cell; *Th*, T-helper cell.

Naïve T-cells comprise two major populations:

- T-helper (CD4<sup>+</sup>) (Th) cells have surface receptors with a high affinity for class II MHC on professional APCs. Th cells modulate responses of other immune cells.
- T-cytotoxic or killer (CD8<sup>+</sup>) (Tc) cells have an affinity for class I MHC, which displays specific antigens on the surface of infected host cells, tissue graft cells or tumour cells (see Fig. 38.1). Their action destroys cells harbouring the foreign antigen.

The Th-cell is activated when its receptors recognise and bind avidly to an antigen that has been processed and presented on an APC. This triggers a series of complex pathways which prepare the Th-cell for its immune role. Activated Th-cells secrete the T-cell growth factor interleukin-2 (IL-2), which acts in an autocrine fashion on the Th-cells and stimulates them to proliferate and mature into three cell types:

- effector Th-cells,
- memory Th-cells that retain the antigen affinity of the original cell,
- regulatory T-cells (T reg) that self-limit immune responses.

The effector Th-cells differentiate into two major subtypes: type 1 Th cell (Th1) or a type 2 Th cell (Th2), with smaller populations of other Th-cells such as Th17. The pattern of subtype differentiation may be determined by the type of antigen or possibly the concentration of antigen presented to the Th-cell. Differentiated Th-cells orchestrate the immune response by secretion of specific cytokines (see Figs. 38.1 and 38.2).

- Th1-cell responses are triggered by IL-2, and the cells secrete interferon-γ (with other cytokines), which enhances the phagocytic activity of macrophages and stimulates the proliferation of cytotoxic Tc cells. Th1-cells are the main host immunity effectors against intracellular bacteria and protozoa. Excessive Th1-cell activation against autoantigens produces type 4 delayed hypersensitivity (discussed later).
- Th2-cell responses are triggered by IL-4 and the cells secrete various interleukins. The main effector cells that respond to Th2-cell activation are eosinophils, basophils and mast cells, as well as B-cells, which initiate humoral immune responses. Th2-cells are

the main host immunity effectors against extracellular parasites, such as helminths. Excessive Th2 activation produces type 1 IgE-mediated allergy (discussed later).

Co-stimulatory signals involving cell surface CD proteins are important processes in immune cell function. For example, the CD80 and CD86 proteins on professional APCs interact with CD28 proteins on Th and Tc cells to coactivate them together with Th-cell cytokines. In parallel, *immune checkpoints* are coinhibitory mechanisms that exert a natural braking effect on T-cell activation. This limits the exuberance of the immune response and the potential damage that it may inflict on healthy cells. For example, cytotoxic T-lymphocyte-associated protein 4 (CTLA-4), an immune checkpoint receptor, competes with CD28 to bind the ligands CD80 and CD86 on professional APCs. In so doing, it attenuates positive co-stimulation by CD28, and therefore dampens T-cell activity. CTLA-4 plays an important role in maintaining tolerance to self-antigens and avoiding autoimmunity. However, tumour cells may take advantage of immune checkpoint mechanisms to evade immune attack. The use of drugs targeting immune checkpoint molecules (e.g. ipilimumab, which targets CTLA-4) in the treatment of cancer is discussed in Chapter 52.

The expression of CD20 protein on B-cells enhances differentiation of B-cells into plasma cells and enables an optimum immune response against T-cell-independent antigens (antigens that elicit a full humoral immune response without participation of T-cell cytokines). Immature B-cells can bind antigen with the cooperation of T-cells, but on subsequent exposure, antigen binds directly to specific immuno-globulins on the B-cell (see Fig. 38.2).

#### **Cell-Mediated Immunity**

Cell-mediated immunity is largely T-cell-driven, utilising Th1 (CD4<sup>+</sup>) and Tc (CD8<sup>+</sup>) cell subtypes, and is involved in responses to viral infection, graft rejection, chronic inflammation and tumour immunity. Activated T-cells can take several days to initiate a cell-mediated attack after exposure to a novel antigen, but memory T-cells respond rapidly if primed by previous exposure. Fig. 38.1 shows schematically the basic processes occurring in cell-mediated immunity. T-cells that possess the receptor for the antigen of the invasive pathogen that is presented on professional APCs are stimulated to express IL-2 and the IL-2 receptor. For clarity, the many costimulatory processes that are described in this chapter are not shown in the figure.

Stimulation of the IL-2 receptor induces the Th1-cell to:

- attract macrophages and neutrophils to the site;
- activate macrophages that phagocytose the pathogen;
- stimulate Tc cells to proliferate. Tc cells recognise foreign antigen presented on nonprofessional APCs (all nucleated cells in the body) and attack pathogens

expressing the antigen by releasing a variety of proteases or lysins to destroy the cell. In addition, Th1-cells:

- stimulate IgG-producing B-cells to proliferate,
- prepare memory B-cells that respond rapidly to the pathogen on future exposure.

#### **Humoral Immunity**

Fig. 38.2 illustrates the basic processes in humoral immunity. The foreign antigen is recognised by specific receptors to that antigen on the surface of a clone of B-cells and taken up into the cell by endocytosis. The presence of nearby Th2-cells that have been activated to secrete interleukins is required for initial antigen recognition by B-cells. Activated Th2-cells also express CD40L, a costimulatory factor for B-cell activation that binds to CD40 receptors on B-cells. Th2-cell interleukins also induce B-cell clonal proliferation and convert B-cells into active plasma cells that can secrete antibodies which bind to and destroy pathogenic antigens.

B-cells can also be activated by foreign polysaccharides independently of Th2-cells. The response to these antigens is more rapid than to T-cell–dependent activation, but the antibodies have lower affinity for the antigens and are less functionally versatile.

On encountering an antigen, the primary immune response consists of IgM, replaced later by IgG. Some B-cells that are primed to produce specific antibodies survive as memory B-cells. On a further encounter with the antigen, reactivation of the memory B-cells produces a rapid immune response with large amounts of immunoglobulin.

#### **UNWANTED IMMUNE REACTIONS**

The processes of inflammation and immunity described previously are essential to protect the host against pathogens and other damage, but excessive, inappropriately prolonged or misdirected immune responses can cause disease, including hypersensitivity reactions, graft rejection and autoimmune diseases.

It is not always easy to decide whether predominantly Th1- or Th2-mediated immune responses are involved in a particular disease. Th1-mediated immune responses are prominent in rheumatoid arthritis and in the formation of atheroma. Th2-mediated responses are important in mild to moderate asthma but with increasing participation of Th1-mediated responses in severe asthma.

#### **Hypersensitivity Reactions**

Hypersensitivity reactions were classified by Gell and Coombs in the late 1950s.

# Type 1 (allergy, immediate)

In type 1 reactions, Th2-cells secrete interleukins, which promote eosinophilic inflammation and overproduction of IgE by B-cells. IgE molecules bind to high-affinity IgE receptors on the surface of mast cells and basophils, where they can be crosslinked by normally harmless antigens (such as pollens or house dust mites), leading to the synthesis and/or release of inflammatory mediators. These include cysteinyl-leukotrienes, prostaglandins, histamine, platelet-activating factor, proteases and cytokines. Examples of type 1 reactions include anaphylaxis, hay fever and acute asthma.

#### Type 2 (cytotoxic, antibody-dependent)

Cell surface antigens, including microbial proteins and drug molecules that when conjugated with cell surface molecules can elicit an immune response (haptenisation), are recognised and bound by IgG and IgM antibodies (opsonisation). This leads to activation of complement (classic pathway) and cytolysis of the target cell by membrane attack complexes. Examples of type 2 reactions include destruction of red cells after incompatible blood transfusion. In some cases, the initiating antigen for the reaction is unknown, such as in autoimmune haemolytic anaemia, myasthenia gravis and Graves' disease.

#### Type 3 (immune complex disease)

Soluble antigens react with excess circulating antibodies to form complexes that precipitate in small blood vessels, causing a local inflammatory reaction with vasculitis and consequent organ damage. Serum sickness, systemic lupus erythematosus and the various forms of extrinsic allergic alveolitis, caused by exposure to animal or vegetable dusts, are systemic type 3 reactions, whereas the Arthus reaction is a local type 3 response to an injected antigen (e.g. nonhuman insulins).

#### Type 4 (cell-mediated, delayed-type hypersensitivity)

Inappropriate regulation of cell-mediated immunity may cause damaging chronic inflammation, leading to fibrosis and granuloma formation. Cell-mediated immunity misdirected against harmless foreign proteins (allergens) can lead to chronic allergic inflammation (such as occurs in eczema), or cause contact dermatitis in the skin in response to exposure to metals and chemicals. In contact dermatitis, the substance causing the reaction forms an antigen by haptenising with larger tissue proteins.

#### Autoimmunity

Normally the immune system is tolerant of self-antigens. T-cells that express receptors with high avidity for self-peptides are normally destroyed before release from the thymus in a process known as negative selection (discussed previously). If this self-tolerance breaks down, autoimmune disorders result. Numerous mechanisms can trigger autoimmune diseases, including viral infection of host cells, binding of drug molecules to host cells (e.g. penicillin), sharing of antigens between host cells and microbes, and sequestration of antigens liberated by cell damage. Examples of autoimmune disease include autoimmune haemolytic anaemia, type 1 diabetes mellitus, Addison's disease, rheumatoid arthritis, myasthenia gravis, systemic lupus erythematosus and Graves' disease.

#### **Blood Transfusion and Transplant Rejection**

Rejection of transfused blood usually occurs because non-self-antigens on the transfused red blood cells (ABO system) trigger a type 2 hypersensitivity reaction in the recipient. By contrast, in people with immunodeficiency, transfused T-cells react against recipient antigens (graft-versus-host reactions).

Organ transplants always contain foreign antigens and rejection will occur unless the immune response to these antigens is suppressed. The severity of the rejection reaction is dependent on how closely important antigens on the transplanted tissue match those of the recipient. Rejection can be immediate or hyperacute (minutes to hours), acute (days or weeks) or chronic (years).

- *Hyperacute rejection* is antibody-mediated, with preexisting antibodies leading to massive thrombosis in the graft. It can occur if, for example, there is ABO incompatibility.
- *Acute rejection* most commonly occurs in the first 6 months. If it occurs in the first week, it is usually antibody-mediated. Later rejection develops with formation of cellular immunity to foreign MHC molecules (human leucocyte antigens, HLA). The latter can be reduced by HLA tissue typing to increase the chance of selecting a graft that is compatible with the host tissues.
- *Chronic rejection* can be antibody- or cell-mediated and appears as fibrosis or scarring in the graft. Frequently, it is not prevented by immunosuppressant drugs.

# IMMUNOSUPPRESSANT DRUGS

The immune system presents a large number of potential molecular targets for therapeutic intervention to suppress the immune response. Most drugs currently used are nonspecific immunosuppressants with a range of unwanted effects. The following drugs are primarily used for their immunosuppressant action.

# CORTICOSTEROIDS

Corticosteroids are the mainstay of many immunosuppressant regimens. They have direct lymphotoxic effects at high dosage, and at smaller doses they have a wide range of immunosuppressive and antiinflammatory actions. Examples of drugs that are widely used for immunosuppression are methylprednisolone and prednisolone. Corticosteroids are discussed in more detail in Chapter 44.

#### **CALCINEURIN INHIBITORS**

Examples ciclosporin, tacrolimus

#### Ciclosporin

#### Mechanism of action

Ciclosporin is a fungal cyclic peptide which selectively inhibits T-cell division. It binds in the cell cytoplasm to the protein cyclophilin to form a complex that inhibits the action of calcineurin, a key component in T-cell activation (see Fig. 38.1). Calcineurin is a phosphatase produced in response to an antigenic signal at T-cell receptors (TCRs), and it dephosphorylates nuclear factor of activation in T-cells (NFAT). NFAT then enters the cell nucleus and binds to a promoter region of the IL-2 gene. Production of IL-2 stimulates T-cell division. By preventing dephosphorylation of NFAT, ciclosporin inhibits IL-2 production, and the T-cell division cycle is reversibly arrested between the  $G_0$  and  $G_1$  phases of the cell cycle.

Ciclosporin also inhibits the mitogen-activated protein kinase (MAPK) pathway, a part of the signalling cascade in T-cells connecting cell surface receptors to the cell nucleus. It is unclear how important this is in the action of the drug. An additional action of ciclosporin is increased production of transforming growth factor  $\beta$  (TGF $\beta$ ), a fibrogenic cytokine which is probably responsible for some of the nephrotoxicity that occurs with the drug.

# **Pharmacokinetics**

Oral absorption of ciclosporin is variable and incomplete, requiring initial dispersion by bile salts. To overcome this, a microemulsion formulation is used, which disperses when it comes into contact with water in the gut so that absorption is independent of bile production and more consistent. However, different microemulsion formulations do not have the same absorption characteristics and switching formulations should be avoided. Ciclosporin can also be given by intravenous infusion. It is extensively metabolised in the liver by the CYP3A4 isoenzyme and has a half-life of about 8 hours. Monitoring of plasma drug concentration is essential to guide dosage for optimal effectiveness and to minimise toxicity. For most uses a trough (predose) concentration is measured. However, after renal transplantation, a blood concentration 2 hours postdose may be a better guide to graft survival and minimising toxicity.

# Unwanted effects

- Nephrotoxicity almost always occurs, with a dosedependent increase in serum creatinine in the first few weeks of use. The acute effect is due to intrarenal vasoconstriction that may persist and contribute to the less common long-term sequelae, which include interstitial fibrosis and tubular atrophy. The decline in glomerular filtration rate is usually reversible, but permanent renal impairment can result.
- Hypertension, often associated with fluid retention, occurs in up to 50% of people, and especially after heart transplantation. It usually responds to standard antihypertensive drug treatment.

- Hepatic dysfunction.
- Tremor, headache, paraesthesia, fatigue, myalgia.
- Hypertrichosis (excessive hair growth) and gingival hyperplasia.
- Gastrointestinal disturbances, including anorexia, nausea and vomiting, abdominal pain.
- Hyperlipidaemia, hyperuricaemia, hypomagnesaemia, hyperkalaemia.
- Drug interactions can be dangerous, especially drugs that inhibit or induce hepatic CYP3A4, which can affect the plasma concentrations of ciclosporin. Caution should also be taken when ciclosporin is used with other nephrotoxic drugs.

# Tacrolimus

# Mechanism of action and effects

Tacrolimus is a macrolide antibiotic. It inhibits calcineurin, and therefore T-cell proliferation, by arresting the cell cycle between  $G_0$  and  $G_1$  in a similar manner to ciclosporin. After binding to a receptor protein called FK-binding protein-12, the complex binds to calcineurin and inhibits Ca<sup>2+</sup>-dependent calcineurin activation. Tacrolimus also inhibits the MAPK pathway in a similar manner to ciclosporin. Unlike ciclosporin, tacrolimus does not stimulate production of TGF $\beta$ .

# **Pharmacokinetics**

Tacrolimus is more water-soluble than ciclosporin and undergoes more predictable, though poor, absorption from the gut. It is metabolised by the liver and has a highly variable half-life (4–41 hours). Monitoring of the trough blood concentration of tacrolimus is essential for appropriate dose adjustment, especially early in treatment.

# Unwanted effects

These are similar to those of ciclosporin, except that tacrolimus causes less hypertension, hair growth and gingival hyperplasia. Effects that are more common with tacrolimus include:

- pleural and pericardial effusions;
- cardiomyopathy in children, who should be monitored by echocardiography.

# MECHANISTIC TARGET OF RAPAMYCIN (mTOR) INHIBITORS

Example

sirolimus

# Mechanism of Action and Effects

Sirolimus is a natural fungal fermentation product that binds to intracellular FK-binding protein-12. The complex inhibits the action of the cytoplasmic kinase mechanistic target of rapamycin (mTOR), a key step in transducing signals from the cell surface IL-2 receptor and other cytokine receptors to cell-cycle regulators that promote DNA and protein synthesis and mitogenesis. The action of sirolimus therefore differs from that of tacrolimus, despite binding to the same intracellular receptor. Sirolimus inhibits T-cell proliferation by arresting the cell between the G<sub>1</sub> and S phases.

#### **Pharmacokinetics**

Sirolimus is rapidly absorbed from the gut and is a substrate for P-glycoprotein. It is metabolised by intestinal and hepatic CYP3A4 and has a very long half-life (60 hours).

#### **Unwanted Effects**

- Oedema, ascites, pleural effusion, tachycardia, hypertension, venous thromboembolism.
- Abdominal pain, nausea, diarrhoea, stomatitis.
- Anaemia, thrombocytopenia, neutropenia.
- Hyperlipidaemia, hypokalaemia, hypophosphataemia, hyperglycaemia.
- Arthralgia, osteonecrosis.
- Lymphocele (a complication of renal transplantation that is more common if sirolimus is used; it can cause ureteric compression).
- Rash, acne.
- Drug interactions: plasma sirolimus concentrations are affected by drugs that induce or inhibit CYP3A4 or P-glycoprotein.

# **ANTIPROLIFERATIVE AGENTS**

# 🔨 Examples

azathioprine, cyclophosphamide, mycophenolate mofetil

# Azathioprine

#### Mechanism of action

Azathioprine is a prodrug converted by nonenzymatic degradation to 6-mercaptopurine in erythrocytes and various tissues, and then enzymatically to the active derivative 6-thioinosine monophosphate (TIMP), a purine analogue. TIMP is an unstable base that is incorporated into DNA and disrupts the function of endogenous purines. This particularly affects lymphocytes which depend on *de novo* purine synthesis and arrest division in the S-phase of the cell cycle (see Chapter 52). Both cell- and antibody-mediated immune reactions are suppressed (see Figs. 38.1 and 38.2).

#### **Pharmacokinetics**

Oral absorption is almost complete. The half-lives of azathioprine and its 6-mercaptopurine metabolite are short (3–5 hours). The enzyme that degrades the active metabolite, thiopurine methyltransferase (TPMT), is found mainly in haematopoietic cells. Deficiency of TPMT prolongs the duration of action and increases toxicity of azathioprine. It is often recommended that erythrocyte TPMT activity should be assessed before treatment is started and a lower dose of azathioprine used if activity is low or undetectable. Azathioprine can be given by intravenous injection, but the solution is alkaline and very irritant.

#### Unwanted effects

- Dose-dependent bone marrow suppression, especially leucopenia and thrombocytopenia. Regular monitoring of the full blood count (at least every 3 months) is essential.
- Hypersensitivity reactions, with malaise, dizziness, vomiting, diarrhoea, fever, myalgia, arthralgia, rash and hypotension. The drug should be stopped immediately if these arise.
- Increased susceptibility to infection, often with 'opportunistic' organisms (especially if used with a corticosteroid).
- Alopecia.
- Drug interactions: the most important interaction is with allopurinol. Allopurinol inhibits xanthine oxidase, which is involved in the catabolism of 6-mercaptopurine, and the dose of azathioprine should be reduced by 75% if the drugs are used together.

#### Cyclophosphamide

Cyclophosphamide is an alkylating drug that is less commonly used as an immunosuppressant. It has immunosuppressant actions at lower doses than those required to treat malignancy. Cyclophosphamide is discussed in detail in Chapter 52.

#### Mycophenolate Mofetil and Mycophenolic Acid Mechanism of action and effects

Mycophenolate mofetil is a prodrug of mycophenolic acid, which reduces purine synthesis by reversible noncompetitive inhibition of inosine monophosphate (IMP) dehydrogenase. This enzyme is involved in the conversion of IMP to guanosine triphosphate that is involved in RNA, DNA and protein synthesis. Inhibition of IMP dehydrogenase depletes the cell of guanine nucleotides, inhibits cellular DNA synthesis and therefore reduces cell proliferation. T- and B-cells rely on *de novo* purine nucleotide synthesis, unlike neutrophils and other cells, which can use preformed guanine released from the breakdown of preformed nucleic acids (the salvage pathway). Mycophenolate therefore is a selective inhibitor of lymphocyte proliferation and function.

#### **Pharmacokinetics**

Mycophenolate mofetil is a prodrug ester which is almost completely absorbed from the gut and hydrolysed rapidly to mycophenolic acid. Elimination of mycophenolic acid is via hepatic metabolism, and it has a long half-life (18 hours) due to enterohepatic circulation. Mycophenolate mofetil and mycophenolic acid can also be given by intravenous infusion.

#### Unwanted effects

- Gastrointestinal upset is very common, including nausea, vomiting, diarrhoea, abdominal cramps and, occasionally, hepatitis or pancreatitis. Tolerance to the gastrointestinal symptoms often occurs.
- Hypertension, oedema, tachycardia, chest pain.
- Dyspnoea, cough.
- Dizziness, insomnia, headache, tremor, paraesthesia, seizures, confusion, depression, anxiety.
- Bone marrow suppression resulting in leucopenia, thrombocytopenia and anaemia.
- Opportunistic infections may be increased, especially with cytomegalovirus, herpes simplex, *Aspergillus* and *Candida*, as well as bacterial urinary tract infection and pneumonia.
- Increased risk of skin cancer.

# FOLIC ACID ANTAGONIST

#### Example

methotrexate

# Mechanism of Action and Uses

Methotrexate (as polyglutamate derivatives) inhibits dihydrofolate reductase and thymidylate synthase, competing with folate for the enzyme and reducing synthesis of tetrahydrofolate (THF). THF is essential for *de novo* purine and pyrimidine synthesis, so methotrexate inhibits the synthesis of DNA, RNA and ultimately protein. It is specific for the S-phase of cell division and slows  $G_1$ - to S-phase (see Chapter 52).

In inflammatory disease, low-dose methotrexate may have a different action by inhibiting 5-aminoimidazole-4-carboxamide ribonucleotide (AICAR) transformylase, which uses THF as a substrate and participates in purine synthesis. Inhibition of this enzyme leads to the cellular release of adenosine, which acts on its specific cell surface receptors to suppress the expression of adhesion molecules on T-cells and neutrophils, thus inhibiting their proliferation. Adenosine also reduces phagocytosis by monocytes and cytokine release from a variety of inflammatory cells.

#### **Pharmacokinetics**

Methotrexate is well absorbed from the gut but can also be given intravenously, intramuscularly or subcutaneously. It is converted intracellularly to active methotrexate polyglutamates, which also aid retention of the drug in the cell. Excretion is via the kidney with a plasma half-life of about 10 hours. Methotrexate is used by intermittent administration orally, subcutaneously or intramuscularly once a week in many conditions, such as inflammatory joint disease, Crohn's disease and psoriasis. It can also be given by injection into cerebrospinal fluid (intrathecal administration) for cancers involving the leptomeninges, particularly haematological malignancies.

#### **Unwanted Effects**

- Mucositis, nausea, abdominal discomfort, anorexia, diarrhoea.
- Rash, acne, alopecia.
- Drowsiness, dizziness, mood changes, headache.
- Pneumonitis, pulmonary fibrosis.
- Myelosuppression.
- Hepatotoxicity during chronic therapy.

Toxicity is increased in the presence of reduced renal excretion and methotrexate should be avoided if there is significant renal impairment. Folic acid is frequently taken after methotrexate to reduce mucositis and myelosuppression. Nonsteroidal antiinflammatory drugs (NSAIDs) such as aspirin can reduce the renal excretion of methotrexate and increase its toxicity.

# **INTERLEUKIN-2 RECEPTOR ANTIBODIES**

#### Example

basiliximab

#### Mechanism of Action and Uses

Basiliximab is a chimaeric mouse-human monoclonal antibody that binds to the IL-2 receptor (IL-2R) on activated T-cells and prevents T-cell proliferation. It is used for initial induction therapy prior to transplantation, or for treatment of acute transplant rejection.

#### **Pharmacokinetics**

Basiliximab is given by intravenous infusion immediately before and again 4 days after surgery. It has a very long half-life of about 1 week.

#### **Unwanted Effects**

- Arrhythmias.
- Hypersensitivity reactions.

# SELECTIVE CO-STIMULATION BLOCKER

Example

belatacept

#### Mechanism of Action and Uses

Belatacept is a fusion protein that binds to the CD80 and CD86 molecules on APCs and blocks their costimulatory action with CD28 on T-cell activation. It is used to prevent rejection in adults undergoing renal transplantation who are seropositive for the Epstein–Barr virus (EBV), in combination with a corticosteroid and mycophenolate.

#### **Pharmacokinetics**

Belatacept is given by intravenous infusion and has a very long half-life (8–10 days).

#### **Unwanted Effects**

• Anaemia, neutropenia, diarrhoea, constipation, oedema, cough, headache, pyrexia.

- Increased risk of skin cancer and progressive multifocal leucoencephalopathy.
- Lymphoproliferative disorder, especially in those with no prior exposure to EBV. EBV serology should therefore be known before using the drug.

#### **COMPLEMENT BLOCKERS**

	Example
eculi	izumab

#### Mechanism of Action and Uses

Eculizumab is a humanised monoclonal IgG antibody that targets the C5 complement protein, interfering with terminal complement activation and formation of the membrane attack complex. It is used in paroxysmal nocturnal haemoglobinuria, a condition in which red cells lack a surface protein (CD59) that protects them from complement-mediated haemolysis. Eculizumab reduces haemolysis and the associated risk of thrombosis. Eculizumab is also indicated in some forms of thrombotic microangiopathy associated with dysregulated complement activation (atypical haemolytic uraemic syndrome, or complement-mediated thrombotic microangiopathy). Studies of its effects in renal transplantation suggest that it is effective in treating early active antibody mediated rejection, but in the long term confers no additional benefit over existing treatment options.

#### **Pharmacokinetics**

Eculizumab is administered by intravenous infusion. It is cleared by the reticuloendothelial system and has an elimination half-life of approximately 2 weeks.

#### **Unwanted Effects**

- Headache, dizziness, altered taste sensation.
- Infections, including serious infections such as pneumonia, are common.
- Eculizumab particularly increases susceptibility to meningococcal infection. Patients should be vaccinated against *Neisseria meningitidis* at least 2 weeks before commencing treatment. If eculizumab is commenced before vaccination, prophylactic antibiotic treatment should be given until 2 weeks after vaccination.

# ANTITHYMOCYTE GLOBULIN

#### 🔨 Examples

polyclonal: rabbit antithymocyte immunoglobulin (r-ATG) monoclonal: alemtuzumab

#### Mechanism of Action and Uses

Antithymocyte immunoglobulin is produced by injecting human thymocytes or T-cells into rabbits, and then extracting the polyclonal antibodies that are generated in response. The product is a polyclonal immunosuppressive agent that rapidly depletes CD4<sup>+</sup> T-cells. Alemtuzumab (see Chapter 52) is a humanised monoclonal antibody directed at the lymphocyte cell surface marker CD52.

Antithymocyte globulin is indicated for prevention of organ rejection in recipients of renal and heart transplants. Rabbit antithymocyte immunoglobulin is also an option for treatment of corticosteroid-resistant allograft rejection in renal transplantation.

#### **Pharmacokinetics**

Antithymocyte immunoglobulin is administered by intravenous injection or infusion. It is removed by the reticuloendothelial system and has an elimination half-life of 2 to 3 days. However, its T-cell depleting effect may last up to a year.

#### Unwanted Effects

Infusion reactions, comprising fever, hypotension, myalgia and nausea are common. They may be reduced or mitigated by preemptive administration of a corticosteroid, antihistamine and paracetamol. The risk of infection, and infection-associated mortality, is increased.

#### IgG DEGRADING ENZYME

imlifidase

#### Mechanism of Action and Uses

Imlifidase is a recombinant form of a cysteine protease and IgG endopeptidase (IdeS) expressed by *Streptococcus pyogenes*. It is an IgG degrading enzyme that cleaves human IgG, thereby neutralising its effects in mediating leucocyte recruitment, phagocytosis, complement activation and antibody dependent cell-mediated cytotoxicity.

About one-third of people awaiting renal transplant have antibodies to potential donor tissue. These may have been induced by pregnancy, blood product transfusion or previous transplantations. Those who have a high degree of reactivity to a panel of antibodies are classified as highly sensitised, and their prospects of finding an HLA-compatible donor are low. Imlifidase substantially and rapidly depletes IgG, including antibodies reactive to potential donor antigens, and reliably converts positive crossmatches to negative. Although this effect is not durable, and administration cannot generally be repeated due to the development of anti-IdeS antibodies, it may provide a window of opportunity for transplant.

#### **Pharmacokinetics**

Imlifidase is administered by intravenous infusion. It is eliminated by proteolysis with a mean half-life of 78 hours. IgG is substantially and rapidly depleted, with less than 10% remaining 6 hours after administration. Levels gradually rise over the subsequent weeks, reaching about half the preinfusion level at 2 weeks.

#### **Unwanted Effects**

The most common adverse effects are infections, which may be serious. Due to depletion of all IgG antibiotics, protection afforded by previous vaccinations is expected to be low for several weeks after administration.

# IMMUNOSUPPRESSION IN INFLAMMATORY AND AUTOIMMUNE DISEASE

Immunosuppressant therapy is used for several diseases in which autoimmunity may contribute to the pathogenesis. Examples include connective tissue diseases such as vasculitis and systemic lupus erythematosus, inflammatory arthritis, polymyalgia rheumatica, glomerulonephritis, autoimmune hepatitis, psoriasis, inflammatory bowel disease and myasthenia gravis. Immunosuppressants are effective in these conditions through modulation of the immune system and, in some cases, through their antiinflammatory properties. Corticosteroids are highly effective antiinflammatory drugs that can be used systemically to suppress type 4 hypersensitivity reactions and autoimmune diseases. They are also used topically for inflammatory skin disease, inflammatory bowel disease and allergic rhinitis, and by inhalation for asthma (e.g. beclometasone, fluticasone; see Chapter 12). The main limiting factor is the unwanted effects with prolonged use. Some conditions, such as asthma, respond to short courses of prednisolone, which can be stopped abruptly, but many conditions will relapse unless the drug is slowly tapered. Sometimes prolonged use of low doses of prednisolone will be necessary to maintain control. Azathioprine, methotrexate and cyclophosphamide are second-line immunosuppressant drugs for many conditions. These drugs can be combined with a corticosteroid for their 'steroid-sparing' effect during maintenance treatment, which permits a lower dose of the corticosteroid to be used or the corticosteroid to be withdrawn.

Ciclosporin has been used in disorders such as nephritic syndrome, inflammatory bowel disease, atopic eczema and psoriasis, with some success. Mycophenolate mofetil is effective for some forms of vasculitis and for systemic lupus erythematosus.

Biological therapies, usually immunoglobulin derivatives directed against receptors on immunologically competent cells or against cytokines released from these cells, are increasingly used in inflammatory disorders, with evidence of immunological dysregulation. Many of these are discussed in the chapter on inflammatory arthritis (see Chapter 30), but they are also used for the treatment of inflammatory bowel disease and psoriasis. In some conditions in which inflammation is triggered by an immune reaction but there is no autoimmune attack, inflammatory mediators released during the reaction can be blocked by antagonists at their receptors on target cells, or by inhibiting their synthesis. This is a useful strategy for management of allergic disorders and some inflammatory conditions. Antimediator drugs include histamine H1 receptor antagonists (antihistamines; see Chapter 39), cysteinyl-leukotriene receptor antagonists (LTRAs; see Chapter 12) and cyclooxygenase inhibitors (NSAIDs; see Chapter 29).

# IMMUNOSUPPRESSION IN ORGAN TRANSPLANTATION

Immunosuppressant drugs block solid organ transplant rejection at the steps of T-cell activation, T-cell proliferation and cytokine production (see Figs. 38.1 and 38.2). A major direction of current research is to find regimens that will induce immune tolerance and allow eventual withdrawal of immunosuppressant drugs.

Effective immunosuppression has improved the early survival of kidney, liver, pancreas, heart, heart– lung, intestinal and haematopoietic stem cell transplants. Suppression of acute rejection is more effective than prevention of chronic rejection, which usually responds poorly to immunosuppressant therapy. Regimens for immunosuppression vary among transplant units and according to the immunogenicity of the transplanted tissue. Combination therapy with a corticosteroid, calcineurin inhibitor and an antiproliferative agent is commonly used.

Kidney transplantation has some of the best outcomes and is used here as an example of immunosuppressive regimens. Induction therapy may be given at the time of transplantation to reduce initial acute rejection. Options for induction therapy include rabbit antithymocycte immunoglobulin, alemtuzumab (though it is not licensed for this indication) and the IL-2R antibody basiliximab. It is unclear which regimen is optimal. In the UK, the National Institute for Health and Care Excellence recommends basiliximab, and not rabbit antithymocycte immunoglobulin, for induction therapy. However, some experts favour rabbit antithymocycte immunoglobulin for transplants with high immunological risk of rejection. Immunosuppression is then maintained with oral agents such as the corticosteroid prednisolone (see Chapter 44), together with a calcineurin inhibitor (tacrolimus is preferred) and mycophenolate mofetil. An mTOR inhibitor (such as sirolimus) is used if calcineurin inhibitors are poorly tolerated, and azathioprine is used if there is intolerance to mycophenolate. In low-risk grafts, prednisolone is gradually withdrawn, and over the first year the doses of all immunosuppressant drugs are usually slowly reduced to maintenance regimens. There is some evidence that calcineurin inhibitors are

associated with lower rates of acute rejection than belatacept, but that belatacept may confer fewer longterm complications. With such regimens, more than 90% of cadaveric kidney grafts will survive beyond 1 year. Only half of those that fail are lost due to rejection, and the rest from thrombosis of the graft blood supply. Progressive graft loss continues after the first year, with only 43% of grafts from deceased donors surviving at 10 years. Grafts from living donors have better survival rates of 96% at 1 year and 60% at 10 years. Most of the late graft losses are as a result of chronic vascular rejection, which can be difficult to distinguish from nephrotoxicity from long-term use of calcineurin inhibitors. Chronic rejection can also result from inadequate immunosuppression. If this occurs, increasing the dosages of the primary immunosuppressant drugs may help.

Acute rejection episodes can be antibody-mediated or T-cell-mediated. Regimens to treat early (within 30 days of transplant) active antibody-mediated rejection vary. High-dose corticosteroids and immunomodulatory treatments (intravenous immunoglobulin or plasmapheresis) are the mainstay of therapy, with other options including complement inhibition (eculizumab), B-cell depletion (e.g. rituximab, see Chapter 30) and bortezomib (see Chapter 52). Late (≥30 days after transplant) and chronic active antibody-mediated rejection are managed by optimising immunosuppression (including reintroducing corticosteroid therapy if it was stopped), medical management of risk factors for graft damage (hypertension, hyperglycaemia, dyslipidaemia) and supportive care. T-cell-mediated rejection is less problematic with modern immunosuppressive treatment. Depending on clinical and histological features, it may be treated by intensification of maintenance immunosuppression (e.g. targeting higher tacrolimus concentrations), high-dose intravenous corticosteroids, and antithymocycte immunoglobulin.

Individuals who are highly sensitised to potential donor tissue may be offered treatments to improve eligibility for transplantation and to reduce risk of rejection. Imlifidase, which degrades IgG antibodies, has been developed specifically for this indication. Other treatment options include the B-cell depleting agents rituximab and bortezomib, the complement blocker eculizumab, immunomodulatory agents (e.g. intravenous immunoglobulin) and antibody removal techniques (e.g. plasmapheresis).

Immunosuppressant therapy is essential for all solid organ transplants, such as heart (70% 5-year survival), heart–lung (40% 5-year survival), liver (70% 5-year survival), intestinal (80% 5-year survival) and pancreas (60% 5-year survival). After initial use of antithymocyte immunoglobulin or an IL-2 receptor antibody (basiliximab), triple immunosuppressant regimens are widely used, but a corticosteroid is avoided in some centres unless there is acute rejection.

With haematopoietic stem cell transplantation, graft-versus-host disease (GVHD) is the major barrier.

This usually begins at least 3 months after the transplant and has three phases. The first phase involves damage to intestinal mucosa and the liver, with activation of host cells and release of inflammatory cytokines. These cytokines upregulate MHC proteins on the host cells, which are then recognised by the donor T-cells. The second phase involves activation and proliferation of donor T-cells, and the third phase includes tissue destruction by monocytes primed by inflammatory cytokines and lipopolysaccharide from T-cells and damaged intestinal mucosa. Initial induction therapy before the transplant often uses cyclophosphamide and antithymocyte immunoglobulin. GVHD can then be prevented by inhibition of the first phase, with a calcineurin inhibitor such as ciclosporin or tacrolimus, or possibly mycophenolate mofetil with methotrexate. Acute GVHD can be treated by a corticosteroid with ciclosporin.

# LONG-TERM EFFECTS OF IMMUNOSUPPRESSION

Immunosuppression increases the risk of infection. These are frequently bacterial in the first 1 to 2 months of treatment, most often affecting the lungs, renal tract or wounds. Later, opportunistic infections with viruses (particularly herpesviruses), *Pneumocystis jirovecii*, fungi and atypical mycobacteria become more common. Vaccination against influenza and pneumococcus are recommended with prolonged immunosuppression.

There is an increased risk of malignancy with prolonged immunosuppression. Early cancers are often viral-induced, especially lymphoproliferative disorders, cervical cancer and eventually skin cancer.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Immunosuppression requires higher doses of methotrexate than used for cancer chemotherapy.
- 2. Ciclosporin and tacrolimus reduce IL-2 gene transcription in lymphocytes.
- 3. Ciclosporin causes bone marrow suppression.
- 4. Careful assessment of renal function is required with ciclosporin administration.
- 5. Azathioprine suppresses antibody-mediated immune responses.
- 6. Sirolimus and tacrolimus share a common mechanism of action.
- Corticosteroids have a narrow spectrum of immunosuppressant activity.
- 8. Mycophenolate is an alternative to azathioprine for preventing acute rejection.

#### **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Which immunobiological drug is directed against a complement protein?
  - A. Alemtuzumab
  - B. Basiliximab

- C. Belatacept
- D. Eculizumab
- E. Imlifidase

# **CASE-BASED QUESTIONS**

A 35-year-old woman was about to receive her second kidney transplant. The previous transplant had lasted 5 years, but despite immunosuppression with prednisolone and ciclosporin, it was eventually rejected.

- 1. How might the chances of acute rejection of the second transplant be reduced?
- 2. What could be the long-term risks of combination chemotherapy with corticosteroids, tacrolimus and azathioprine?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **False.** Immunosuppressant doses of drugs such as methotrexate, cyclophosphamide and azathioprine are lower than those used for cancer chemotherapy.
- 2. **True**. These calcineurin inhibitors reduce the transcriptional effects of NFAT on IL-2; the loss of IL-2 suppresses T-cell maturation and proliferation.
- 3. **False.** The calcineurin inhibitors are selective suppressors of lymphocyte proliferation.
- 4. **True.** Ciclosporin is nephrotoxic and renal monitoring is necessary.
- 5. **True.** Azathioprine has a cytotoxic action by inhibiting purine metabolism, and the proliferation of lymphocytes and other immunocompetent cells is inhibited.
- 6. **False.** Sirolimus (rapamycin) binds to FK-binding protein-12, but the complex inhibits mTOR, a protein kinase involved in IL-2 signalling, unlike the calcineurin inhibitors that reduce transcription of the IL-2 gene.
- 7. **False.** Corticosteroids modulate the transcription of hundreds of immune and inflammatory genes encoding cytokines, mediators, adhesion molecules and apoptotic proteins.
- 8. **True.** Mycophenolate may have fewer toxic effects than azathioprine and is increasingly used in preventing acute rejection, but its place in preventing chronic rejection is less clear.

CUADACTEDICTICS

# **OBA ANSWER**

- 1. Answer D is the best answer.
  - A. Incorrect. Alemtuzumab targets CD52 on mature lymphocytes.
  - B. Incorrect. Basiliximab targets the interleukin-2 receptors (IL-2R).
  - C. Incorrect. Belatacept targets costimulatory factors CD80 and CD86.
  - D. **Correct.** Eculizumab targets complement C5 protein, inhibiting complement activation.
  - E. Incorrect. Imlifidase is a protease that degrades immunoglobulin G.

# **CASE-BASED ANSWERS**

- 1. Basiliximab given before and 4 days after renal transplant surgery reduces acute rejection by 35%. This is maintained typically by oral combination therapy of a corticosteroid (usually prednisolone) with a calcineurin inhibitor or mTOR inhibitor (such as ciclosporin, tacrolimus or sirolimus), and an antiproliferative immunosuppressant (such as azathioprine or mycophenolate). When used in combination, lower doses of the drugs can be administered than when giving the drugs alone. Intensive monitoring of liver and renal functions is important.
- 2. Oversuppression of the immune response brings problems of opportunistic infections. Additional 'steroid effects', as described for iatrogenic Cushing-like syndrome, may be also apparent (see Chapter 44).

# **FURTHER READING**

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Dhud	CHANAG I ENISTICS	
For the immunosuppressant activity of corticosteroids, see Chapter 44. See also the inflammatory arthritides (Chapter 30) and drugs for cancer (Chapter 52).		
Calcineurin Inhibitors		
Ciclosporin	Lipid-soluble cyclic peptide. Used for prevention of graft rejection following bone marrow, kidney, liver, pancreas, heart, lung, and heart–lung transplantation, and for prophylaxis and treatment of GVHD. Given orally or intravenously.	
Tacrolimus	Similar to ciclosporin. Used to prevent kidney and liver transplant rejection. Given orally or intravenously.	

# Compendium of Immunosuppressant Drugs.

סוומס

# Compendium of Immunosuppressant Drugs—cont'd.

DRUG	CHARACTERISTICS
mTOR Inhibitors	
Sirolimus	Potent non-calcineurin-inhibiting immunosuppressant. Used to prevent kidney transplant rejection. Given orally as an oil solution.
Antiproliferative Agents	
Azathioprine	Used for transplant recipients, for autoimmune conditions and for rheumatoid arthritis. Given orally or intravenously. Converted to active metabolite 6-mercaptopurine.
Mycophenolate mofetil	Rapidly converted to active metabolite, mycophenolic acid. Used to prevent acute transplant rejection. Given orally or intravenously.
Mycophenolic acid	Active metabolite of mycophenolate mofetil. Used to prevent acute transplant rejection. Given orally as enteric-coated formulation.
Folic Acid Antagonist	
Methotrexate	Inhibits synthesis of tetrahydrofolate required for purine and pyrimidine synthesis. See Chapter 52.
Interleukin-2 Receptor Antibody	
Basiliximab	Monoclonal IL-2 receptor antibody that prevents T-lymphocyte proliferation. Given by intravenous infusion to prevent acute kidney transplant rejection.
<b>Co-Stimulation Blocker</b>	
Belatacept	Fusion protein that blocks CD80/CD86 costimulatory molecules. Given by intravenous infusion to prevent renal transplant rejection in adults seropositive for Epstein–Barr virus.
Complement Blockers	
Eculizumab	Monoclonal IgG antibody that targets complement C5 protein, inhibiting complement activation and formation of the membrane attack complex. Used in paroxysmal nocturnal haemoglobinuria, and in some forms of thrombotic microangiopathy. Given intravenously.
Antithymocyte Globulin	
Rabbit antithymocyte lg (r-ATG)	Rabbit polyclonal antibodies raised against human thymocytes and lymphocytes. Used to deplete lymphocytes for up to a year after renal or heart transplantation to prevent organ rejection. Given intravenously.
Alemtuzumab	Monoclonal antibody; targets CD52 on mature lymphocytes. Given by intravenous infusion.
IgG Degrading Enzyme	
Imlifidase	Recombinant protease that cleaves human IgG; used in patients awaiting an HLA-compatible organ donation. Given intravenously.

GVHD, Graft-versus-host disease; IL-2, interleukin-2; mTOR, mechanistic target of rapamycin.

# **Antihistamines and Allergic Disease**

# **Chapter Outline**

Atopy, Allergic Disorders and Anaphylaxis450Histamine as an Autacoid in Host Defence451Histamine H, Receptor Antagonists(Antihistamines)(Antihistamines)451Mechanisms of Action and Effects452Pharmacokinetics452Unwanted Effects452Management of Allergic Disorders452

ATOPY, ALLERGIC DISORDERS AND ANAPHYLAXIS

Allergic responses occur in atopic individuals (people who are genetically predisposed to develop allergy), who produce antigen-specific immunoglobulin E (IgE) when exposed to common, normally harmless environmental allergens such as house dust mites, grass pollen or animal dander, usually in early life. Immunological memory takes about 7 days to develop, and subsequent re-exposure to the allergen triggers an allergic response, as explained later. Many atopic individuals have coexisting allergic diseases such as asthma, hay fever and eczema, although these are not invariably associated with atopy. Some allergies arise later in life, such as allergy to drugs.

The control of antibody production in the immune response is shown in Fig. 38.2. In people with allergies, initial challenge with allergens promotes an increase in the number of dendritic cells at the site of the challenge. In response to the presence of allergen and a costimulatory signal, such as viruses or compounds derived from viruses or bacteria, dendritic cells produce chemokines that enhance the T-helper type 2 (Th2) lymphocyte response rather than the usually dominant Th1-cell response (see Chapter 38). The release of the Th2 profile of interleukins, including IL-4, IL-5 and IL-13, stimulates B-lymphocytes to produce specific IgE rather than IgG, a phenomenon known as class *switching*. The IgE produced by these cells then binds to high-affinity IgE receptors on the surface of mast cells and basophils.

Most allergic reactions are of the type 1 (immediate) hypersensitivity category (see Chapter 38 for definitions). After the allergen cross-links allergen-specific IgE on mast cells and basophils, preformed and newly synthesised mediators of the allergic response are Anaphylaxis 452 Seasonal and Perennial Rhinitis 453 Urticaria and Angioedema 453 Allergic Conjunctivitis 454 Self-Assessment 454 Answers 454 Further Reading 455 Compendium of Antihistamine Drugs 455

released. Histamine is the predominant preformed mediator. Newly synthesised mediators include plateletactivating factor, prostaglandin  $D_2$  and the cysteinylleukotriene, LTC<sub>4</sub>, which is converted to LTD<sub>4</sub> and LTE<sub>4</sub> extracellularly (see Chapter 29). These mediators act at selective G-protein-coupled receptors on smooth muscle cells, endothelial cells, epithelial cells, mucus glands and leucocytes in various tissues. Tryptase is also released from mast cells and stimulates proteaseactivated receptors (see Chapter 1).

Immediate hypersensitivity to an allergen in a person with atopy produces a wheal-and-flare reaction in the skin, sneezing and a runny nose or wheezing within minutes. A prolonged inflammatory reaction (delayedtype hypersensitivity) may follow the initial allergic response, reaching a peak 6 to 9 hours later. Depending on the site of the reaction, this produces an oedematous, red, indurated swelling in the skin (urticarial wheals), a sustained blockage in the nose or recurrent wheezing. This delayed reaction is associated with an initial accumulation of eosinophils and neutrophils in the affected tissues, followed by T-cells and basophils.

At the most severe end of the spectrum of allergic response is anaphylaxis, a systemic allergic reaction that is life-threatening because of upper airway swelling and obstruction and/or hypotension. Severe anaphylactic reactions can occur within minutes of exposure to the allergen or may be delayed by several hours after exposure. There are several causes of anaphylaxis (Box 39.1). About 80% to 90% of people experiencing anaphylaxis will have skin symptoms and signs, such as flushing, itching, urticaria, angioedema or morbiliform rash. If the allergen exposure is via systemic injection, hypotension and shock usually predominate. Foods are more likely to cause facial and laryngeal oedema with prominent respiratory

# Box **39.1** Causes of Anaphylaxis.

Foods: especially peanuts, tree nuts, fish, shellfish, eggs, milk Drugs: especially penicillin, intravenous anaesthetic agents, aspirin and other nonsteroidal antiinflammatory drugs, intravenous contrast media, morphine Bee and wasp stings Latex rubber

problems. However, the constellation of symptoms and signs can vary between attacks in the same person.

Not all anaphylactic reactions are IgE-mediated; a few are IgG-mediated, such as anaphylaxis associated with infliximab. Other similar reactions involve direct mast-cell and basophil activation, such as episodes triggered by exposure to cold or opioids. These non-antibody-mediated reactions are often called anaphylactoid, but they present in the same way as true anaphylaxis.

Some allergic reactions, such as those that produce contact dermatitis, are driven by T-cell-mediated inflammatory processes. These reactions can result from involvement of Th1- or Th2-cells or sometimes cytotoxic T-cells. Delayed reactions can also arise without an immediate phase and may be triggered by primary activation of T-cells rather than mast cells. Chronic allergic inflammation is maintained by continuing release of several Th2-type cytokines that promote the proliferation of mast cells and eosinophils, stimulate the expression of adhesion molecules and enhance the synthesis of IgE. Eosinophils release toxic basic proteins, cysteinyl-leukotrienes and platelet-activating factor. T-cells, mast cells and eosinophils also produce neurotrophins that release neuropeptides such as substance P, calcitonin generelated peptide (CGRP) and neurokinin A from sensory neurons. These various mediators contribute to the inflammatory response by producing vasodilation with increased vascular permeability; in the lung they promote smooth muscle contraction and mucus secretion.

# **HISTAMINE AS AN AUTACOID IN HOST DEFENCE**

Histamine is a heterocyclic amine that is synthesised by decarboxylation of the dietary amino acid L-histidine in many different cells and functions as a local hormone (autacoid) and in the brain, where it acts as a neurotransmitter.

The autacoid function of histamine is important in host defence. Histamine is found in mast cells, which are prominent in tissues that come into contact with the outside world – for example, in the skin, lungs and gut they form part of the tissue defence mechanisms. Histamine is also present in circulating basophils, where it may also participate in tissue defence.

Histamine is released from mast cells and basophils by degranulation after activation of the cell either by a direct physical or chemical injury or by cross-linking of attached IgE molecules or complement proteins. After release from these cells, histamine is rapidly metabolised (see Chapter 4). The effects of histamine are mediated by at least four distinct types of G-protein– coupled receptor known as  $H_1$ ,  $H_2$ ,  $H_3$  and  $H_4$  (see the table of receptors at the end of Chapter 1). In general,  $H_1$  receptors are involved in the 'defensive' actions of histamine and contribute to immune regulation and acute and chronic allergic inflammation.

Histamine H<sub>1</sub> receptors are normally in equilibrium between the active and inactive state. At rest, even in the absence of histamine, the H<sub>1</sub> receptor exerts a basal or constitutive level of activity. Histamine preferentially binds to the receptor in its active state and shifts the equilibrium further towards the active state. Histamine H<sub>1</sub> receptors act through intracellular Ca<sup>2+</sup> as a second messenger and are coupled to inositol phospholipid intracellular signalling pathways. This activates the ubiquitous gene transcription factor nuclear factor kB (see Chapter 30), which stimulates production of proinflammatory cytokines (particularly tumour necrosis factor  $\alpha$  and interleukins IL-6 and IL-8) and increases expression of epithelial and endothelial adhesion molecules. The following are the major consequences of H<sub>1</sub> receptor stimulation:

- *Capillary and venous dilation*, which can produce marked hypotension. In the skin, histamine contributes to the wheal-and-flare response; an axon reflex via H<sub>1</sub> receptors is responsible for the spread of vasodilation or flare from the oedematous wheal.
- *Increased capillary permeability,* which produces local oedema. This can lead to urticaria, angioedema and laryngeal oedema. The consequent fluid shifts cause a substantial reduction in effective circulating blood volume, and this contributes to hypotension.
- *Smooth muscle contraction,* especially in bronchioles (producing bronchospasm) and the intestine (producing abdominal pain).
- *Skin itching* (produced by histamine in combination with kinins and prostaglandins).
- *Pain* due to stimulation of nociceptors (see Chapter 19).
- Increased antigen-presenting cell capacity, upregulation of Th1-cells and chemotaxis of eosinophils and neutrophils into affected tissues.

# HISTAMINE H<sub>1</sub> RECEPTOR ANTAGONISTS (ANTIHISTAMINES)

# **Examples**

- first-generation (sedating): chlorphenamine, clemastine, promethazine
- second-generation (nonsedating): cetirizine, desloratadine, fexofenadine, levocetirizine, loratadine, mizolastine

# MECHANISMS OF ACTION AND EFFECTS

Antihistamines are competitive inverse agonists at the  $H_1$  receptor (see Chapter 1) that have preferential affinity for the inactive state of the receptor and stabilise it in this conformation. Their designation as 'inverse agonists' reflects the fact that they reduce the basal level of constitutive activity at histamine  $H_1$  receptors as well as blocking the agonist effects of histamine. Antagonists at other histamine receptors (such as  $H_2$  receptor antagonists used in peptic ulcer disease; see Chapter 33) are traditionally not called antihistamines. Useful clinical effects of antihistamines include:

- suppression of many of the vascular effects of histamine, with a reduction of vasodilation and oedema,
- inhibition of the accumulation of inflammatory cells in tissues,

 suppression of the immune response to antigens. Antihistamines are functionally classified into two groups:

- First-generation antihistamines are lipophilic drugs that cross the blood-brain barrier, producing sedation by an action on central nervous system H<sub>1</sub> receptors. This action is employed therapeutically for relief of insomnia. They also have central antimuscarinic effects that are responsible for suppressing nausea in motion sickness (e.g. cyclizine, promethazine; see Chapter 32).
- Second-generation (nonsedating) antihistamines such as cetirizine, fexofenadine, loratadine and mizolastine are more hydrophilic. They do not cross the blood-brain barrier well and have little sedative effect. They also have little antimuscarinic action. The newest drugs in this group are active metabolites or optical isomers of secondgeneration drugs, such as desloratadine and levocetirizine.

#### PHARMACOKINETICS

Chlorphenamine is more slowly absorbed from the gut than promethazine; both undergo considerable firstpass hepatic metabolism to inactive compounds and have half-lives of 10 to 20 hours. Formulations of chlorphenamine and promethazine are available for administration by intravenous or intramuscular injection in medical emergencies.

Most second-generation antihistamines are rapidly absorbed from the gut and metabolised in the liver to active compounds with half-lives ranging from 2 to 20 hours. Cetirizine and fexofenadine undergo little metabolism and are mainly eliminated unchanged by the kidneys.

There are several topical formulations of antihistamines, including eye drops for allergic conjunctivitis and topical skin preparations for insect stings.

#### UNWANTED EFFECTS

- Drowsiness or psychomotor impairment (due to H<sub>1</sub> receptor blockade in the CNS), especially with first-generation compounds, although paradoxical stimulation can occur in children and the elderly.
- Headache.
- Dry mouth, blurred vision, urinary retention and gastrointestinal upset (antimuscarinic effects) with first-generation compounds.
- Arrhythmias are a rare complication with some antihistamines, when they arise from prolongation of the QT interval on the electrocardiogram (ECG).
- Topical antihistamines for use on the skin can cause hypersensitivity reactions.

# MANAGEMENT OF ALLERGIC DISORDERS

#### ANAPHYLAXIS

Anaphylaxis is a serious allergic reaction that is rapid in onset and can cause death. It is a medical emergency and requires rapidly acting treatments. The person should be laid flat with the feet raised if there is hypotension and, if consciousness is depressed, the airway should be protected. The trigger, such as an intravenously administered drug, should be removed immediately if possible. Adrenaline (epinephrine; see Chapter 4) is life-saving and should be given intramuscularly into the mid-outer thigh as soon as possible. Further doses can be given at 5- to 15-minute intervals if needed. Intravenous adrenaline should be given only if there is profound shock, and then in a very dilute solution with close monitoring. Intravenous use carries a risk of arrhythmias and intense coronary artery vasoconstriction with ischaemic myocardial damage. Early use of adrenaline reduces the risk of biphasic or prolonged anaphylaxis and death. Adrenaline produces vasoconstriction by its action at α1-adrenoceptors, reduces ordema and bronchodilates via  $\beta_2$ -adrenoceptors. It also attenuates further release of mediators from mast cells by binding to cell-surface  $\beta_2$ -adrenoceptors. People known to have allergies that cause anaphylaxis can carry a preloaded adrenaline syringe for emergencies, accompanied by instructions on its appropriate use.

Alongside adrenaline, isotonic crystalloid fluid (e.g. 0.9% sodium chloride) should be infused rapidly to restore effective circulating volume. Thereafter, intramuscular or slow intravenous injection of chlorphenamine can be given to relieve skin or nasal symptoms. Late relapse or prolonged symptoms can be prevented by intravenous hydrocortisone (see Chapter 44). Oxygen should be given, aiming to achieve normal oxygen saturations. If marked bronchospasm is present and unresponsive to systemically-administered adrenaline, it can be treated with an inhaled  $\beta_2$ -adrenoceptor agonist such as salbutamol (see Chapter 12). It is important to observe someone who has had anaphylaxis for 4 to 6 hours, or 8 to 10 hours if there has been respiratory or circulatory compromise, in case there is a late relapse. Recurrence of anaphylaxis triggered by venom from stinging insects can be avoided by immunotherapy to desensitise the person to the allergen.

## SEASONAL AND PERENNIAL RHINITIS

Allergic inflammation of the lining of the nose produces symptoms of rhinitis including rhinorrhoea, nasal obstruction, sneezing and itching. These result from increased glandular secretions and afferent nerve stimulation. The allergic response makes individuals more susceptible to the nasal irritant effects of other, nonallergenic stimuli, such as tobacco smoke and changes in temperature. Allergic rhinitis is classified as:

- intermittent rhinitis (symptoms for <4 days a week or <4 consecutive weeks) due to exposure to infrequently encountered allergens such as animal dander, pollens and moulds,
- persistent rhinitis (symptoms for >4 days a week or >4 consecutive weeks) due to exposure to commonly encountered allergens such as house dust mites.

For treatment of allergic rhinitis, oral nonsedating antihistamines are useful for reducing itching, sneezing and rhinorrhoea but are less effective for nasal obstruction. They can also suppress associated allergic conjunctivitis. For more severe allergic rhinitis, a topical intranasal corticosteroid spray (see Chapter 44) is the treatment of choice, providing relief from most symptoms. Topical sodium cromoglicate or nedocromil (see Chapter 12) is less effective than antihistamines or topical corticosteroids; these are no longer preferred treatments. An oral leukotriene receptor antagonist (montelukast) may be beneficial in allergic rhinitis with concomitant asthma. Topical nasal decongestants have a short-term role in treatment. These contain  $\alpha_1$ -adrenoceptor agonists such as ephedrine or xylometazoline and produce local vasoconstriction. Prolonged use should be avoided as it impairs ciliary activity in the nasal mucosa and can be associated with rebound nasal congestion. Oral corticosteroids are reserved for the most severe symptoms.

Rhinitis also has several nonallergic causes; these include acute and chronic sinus infection, for which antibacterial treatment is indicated. The antimuscarinic drug ipratropium bromide (see Chapter 12) can be used topically for relief of nonallergic rhinorrhoea. Chronic rhinosinusitis is a combination of inflammation and infection in the nasal passages and paranasal sinuses. It is distinguished from acute rhinosinusitis by symptoms that persist for at least 12 weeks. Symptoms include nasal blockage, congestion or discharge (anterior or posterior nasal drip), with either facial pain or pressure or a reduction or loss of smell for more than 12 weeks. The condition may be associated with nasal polyps that obstruct the airway. The cause of chronic rhinosinusitis is unknown; there is a link with the presence of atopy, but it is unclear whether allergy is the initial trigger. Initial treatment is with an intranasal corticosteroid, but a short course of oral corticosteroid can be used for severe disease in the presence of nasal polyps. If drugs fail, surgery for structural abnormalities such as nasal polyposis, hypertrophied inferior turbinates or a deviated nasal septum should be considered.

Aspirin and other nonsteroidal antiinflammatory drugs (NSAIDs) can produce rhinitis (as well as asthma, see Chapter 12) in sensitive individuals, probably by enhancing cysteinyl-leukotriene generation. Less frequent causes include  $\beta$ -adrenoceptor antagonists and angiotensin-converting enzyme (ACE) inhibitors.

#### URTICARIA AND ANGIOEDEMA

Urticaria is characterised by red, raised itchy lesions with a pale centre (wheal) caused by vasodilation, increased blood flow and increased vascular permeability. Mast-cell activation is prominent, with initial release of histamine, leukotrienes and prostaglandins, followed by delayed release of various other cytokines and chemokines such as  $TNF\alpha$ , IL-4 and IL-5. Angioedema is tissue swelling of the face, oropharynx, genitalia or gut due to a local increase in vascular permeability. It is frequently painful rather than itchy. Wheals usually resolve within 48 hours but angioedema often persists for a few days. Urticaria occurs alone in about 50% of cases and in combination with angioedema in 40% of cases; angioedema alone comprises the other 10% of cases. Angioedema without wheals can occur in hereditary angioedema when it is due to excess production of bradykinin. ACE inhibitors can cause isolated angioedema, which can arise months or years after starting treatment and may also be due to excess bradykinin. ACE inhibitors should be avoided in people with a history of angioedema.

The most common causes of acute urticarial reactions are medications, foods, viral or parasitic infections, insect venom and contact allergens including latex. However, in up to half of cases of acute urticaria, no trigger is identified (idiopathic urticaria). Having identified a trigger, avoidance strategies are important in management. Second-generation antihistamines are the treatment of choice, with an oral corticosteroid (see Chapter 44) for more severe episodes. Attacks of swelling in hereditary angioedema can be controlled with tranexamic acid (see Chapter 11) or the selective bradykinin antagonist icatibant.

Chronic urticaria (wheals that are present most days of the week for >6 weeks) is often nonallergic in origin. It can be provoked by physical factors such as cold, sun, scratching the skin or exercise, or it can be caused by urticarial vasculitis in association with connective tissue diseases such as systemic lupus erythematosus.

In many cases the cause may be autoimmune, with IgG autoantibodies to the IgE receptors on mast cells and basophils documented. Second-generation antihistamines can be helpful to suppress the itch from chronic urticaria but often have little effect on the wheal. Leukotriene receptor antagonists such as montelukast (see Chapter 12) are effective when the trigger is aspirin, another NSAID or a delayed response to pressure. Corticosteroids (see Chapter 44) can be used in high dosage for severe symptoms, but longterm use should be avoided because of the unwanted effects. Immunosuppression with ciclosporin, tacrolimus or mycophenolate mofetil (see Chapter 38) has been used successfully for some severe autoimmune urticarias. Omalizumab, an antibody to IgE, has also been effective in both spontaneous and autoimmune chronic urticaria.

#### **ALLERGIC CONJUNCTIVITIS**

Oral or topical treatment with antihistamines, such as azelastine or antazoline, or with mast-cell stabilisers such as sodium cromoglicate or nedocromil, can relieve the itching, erythema, tearing and swelling in response to allergen exposure. Topical formulations are effective within 15 minutes of application (see Chapter 50).

# SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Antihistamines do not reduce acid secretion from the gastric parietal cells.
- 2. Histamine is synthesised *de novo* when mast cell IgE receptors are cross-linked by allergen.
- 3. Loratadine is a nonsedating antihistamine.
- 4. Fexofenadine is associated with ECG changes.
- 5. Fexofenadine reduces the release of histamine from mast cells.
- 6. Corticosteroids are effective for treating allergic rhinitis.
- 7. Histamine is the only mediator that causes symptoms in rhinitis.
- 8. Antihistamines have a well-defined place in the management of asthma.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. What contributes to an allergic response in an atopic individual?
  - A. Increased production of cytokines from Th1-cells.
  - B. Increased production of IgM.
  - C. Stabilisation of mast cells.
  - D. Histamine acting on H<sub>1</sub> receptors.
  - E. Reduction in leukotriene production from mast cells.
- 2. Choose the most accurate statement concerning antihistamines.
  - A. Second-generation antihistamines readily cross the blood–brain barrier.

- B. Second-generation antihistamines have fewer antimuscarinic effects than first-generation antihistamines.
- C. First-generation antihistamines are the preferred first-line treatment for vomiting induced by cyto-toxic drugs.
- D. Topical antihistamines are free from unwanted effects.
- E. Antihistamines cause vasodilation.

# **CASE-BASED QUESTIONS**

A 10-year-old boy visited his doctor with his mother in the spring. His current symptoms of rhinorrhoea, nasal congestion, sneezing and itching eyes were interfering with his schoolwork. He gave a history of repeated episodes of recurrent otitis media, rhinorrhoea, nasal congestion, sneezing and itching eyes occurring over a 3-year period but predominantly in the spring and autumn. He had had three episodes of otitis media over the previous 2 years, the last being 6 months before, which were treated with antibacterials because of prolonged residence of fluid in the middle ear. The boy had atopic dermatitis as an infant. He had no history of asthma; his mother had allergic rhinitis; they had two cats. Other than antibacterial drug treatment for his otitis media, he had taken no medication. Examination of the ears revealed healthy tympanic membranes with no current otitis media. He had no hearing loss and was otherwise fit.

- 1. What was the likely diagnosis?
- 2. Were the boy's symptoms related to the history of otitis media?
- 3. Allergen skin testing showed him to be responsive to cat dander and house dust mites. What treatment would you give?

# ANSWERS

#### TRUE/FALSE ANSWERS

- 1. **True.** The term antihistamine is traditionally confined to  $H_1$  receptor antagonists; these drugs do not block  $H_2$  histamine receptors on the gastric parietal cells; selective histamine  $H_2$  receptor antagonists include cimetidine and ranitidine (see Chapter 33).
- 2. False. Histamine is synthesised and stored in mast cell granules ready for release by IgE-dependent stimuli; in contrast, lipid mediators such as leuko-trienes and prostaglandins are synthesised *de novo* after stimulation by 5-lipoxygenase and cyclooxygenase pathways respectively.
- 3. **True.** Loratadine is a second-generation antihistamine, lacking the sedative action of first-generation drugs.
- 4. **False.** The parent drug of fexofenadine, terfenadine, was associated with ventricular arrhythmias in high doses and has been withdrawn; fexofenadine retains

the antihistamine activity without the unwanted effects on the heart.

- 5. **False.** Like other antihistamines, fexofenadine reduces histamine activity only by blocking H<sub>1</sub> receptors.
- 6. **True.** Nasal corticosteroids are very effective in allergic rhinitis.
- 7. **False.** Mast cells also synthesise prostaglandins and leukotrienes that contribute to nasal symptoms.
- 8. **False.** Antihistamines are not effective in asthma and have no place in asthma management guidelines.

# **OBA ANSWERS**

- 1. Answer D is correct.
  - A. Incorrect. In atopy there is a predominantly Th2 response profile which is partly genetically determined and partly related to early life environment.
  - B. Incorrect. The Th2 response leads to increased production of IgE.
  - C. Incorrect. Mast cells degranulate following allergen cross-linking of IgE.
  - D. **Correct.** The effects of histamine in allergy are mediated by H<sub>1</sub> receptors.
  - E. Incorrect. Increased leukotriene synthesis in mast cells contributes to allergic responses.

#### 2. Answer B is correct.

- A. Incorrect. Second-generation drugs are less able to cross the blood–brain barrier than first-generation drugs and cause less sedation.
- B. **Correct**. Second-generation antihistamines have less antagonist activity at muscarinic receptors.
- C. Incorrect. Antihistamines can be effective in motion sickness but not in vomiting caused by cancer chemotherapeutic agents (see Chapter 32).
- D. Incorrect. Topical antihistamines may cause hypersensitivity reactions.

E. Incorrect. Antihistamines reduce vasodilation induced by the action of histamine at the H<sub>1</sub> receptor.

#### **CASE-BASED ANSWERS**

- 1. The family history of atopy and the child's atopic dermatitis as an infant increase the likelihood that he would have had allergies. He is likely to have had seasonal allergic rhinitis but may also have had sensitisation to cat dander and/or dust mites or other perennial allergens. His fitness and lack of current drug intake suggest that it is not nonallergic rhinitis, which may arise because of infections, drugs, etc.
- 2. Yes. As many as 50% of children older than 3 years with recurrent otitis media have confirmed allergic rhinitis.
- 3. It is important to carry out sensitivity testing, and sensitivity to cat dander and house dust mites was identified in this boy. Avoidance of exposure to allergens is advisable and should be actively pursued in the home and school environment. If pharmacological treatment is required, a nonsedating oral antihistamine should reduce rhinorrhoea, sneezing and itching but will have little effect on nasal congestion. A short course of nasal inhaled corticosteroids can be effective in controlling symptoms of allergic rhinitis, including congestion. Nasal sodium cromoglicate may also offer symptom relief but is not the preferred treatment.

# FURTHER READING

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- Rudmik, L., Soler, Z.M., 2015. Medical therapies for adult chronic sinusitis: a systematic review. JAMA 314, 926–939.
- Wheatley, L.M., Togias, A., 2015. Allergic rhinitis. N. Engl. J. Med. 372, 456–463.

# Compendium of Antihistamine Drugs.

DRUG	CHARACTERISTICS		
Antihistamines are histamine H, rec or on the skin. Drugs with antihis the treatment of vestibular disorc	Antihistamines are histamine H <sub>1</sub> receptor antagonists. They are given orally, or topically in the nose, in the eye (see Chapter 50) or on the skin. Drugs with antihistamine actions that are not used to treat allergic conditions, such as cyclizine, are used for the treatment of vestibular disorders and nausea and vomiting, especially motion sickness (see Chapter 32).		
Nonsedating Antihistamines			
Acrivastine	Used for symptomatic relief of allergy such as hay fever and chronic idiopathic urticaria.		
Azelastine	Used topically as nasal spray for allergic rhinitis and as eye drops for allergic conjunctivitis (see Chapter 50); also available in combination with fluticasone.		
Bilastine	Used for symptomatic relief of allergic rhinoconjunctivitis and urticaria.		
Cetirizine	Used for symptomatic relief of allergy such as allergic rhinitis and chronic idiopathic urticaria.		
Desloratadine	The active metabolite of loratadine. Used for symptomatic relief of allergic rhinitis and urticaria.		
Fexofenadine	Used for symptomatic relief of seasonal allergic rhinitis and chronic idiopathic urticaria.		

# Compendium of Antihistamine Drugs—cont'd.

DRUG	CHARACTERISTICS
Levocetirizine	An isomer of cetirizine. Used for symptomatic relief of allergic rhinitis and urticaria.
Loratadine	Prodrug of desloratadine. Used for symptomatic relief of allergic rhinitis and chronic idiopathic urticaria.
Mizolastine	Used for symptomatic relief of allergy such as allergic rhinitis and urticaria.
Rupatadine	Used for allergic rhinitis and urticaria. Given orally.
Sedating Antihistamines	
Alimemazine	Used for urticaria and pruritus and as a premedication before surgical anaesthesia.
Chlorphenamine (chlorpheniramine in US)	Used for symptomatic relief of allergy such as hay fever, urticaria, food allergy, drug reactions, for relief of itch associated with chickenpox and for emergency treatment of anaphylactic reactions.
Clemastine	Used for symptomatic relief of hay fever and urticaria.
Cyproheptadine	Used for symptomatic relief of hay fever, urticaria and pruritus.
Hydroxyzine	Used for pruritus.
Ketotifen	Used for allergic rhinitis, and for allergic conjunctivitis (see Chapter 50).
Promethazine	Used for symptomatic relief of hay fever, urticaria, pruritus and for emergency treatment of anaphylaxis and angioedema; also used for motion sickness.

# **Diabetes Mellitus**

#### **Chapter Outline**

Control of Blood Glucose 457 Regulation of Insulin Secretion and Effects of Insulin 457 Regulation of Glucagon Secretion and Effects of Glucagon 459 Diabetes Mellitus 459 Type 1 Diabetes Mellitus 459 Type 2 Diabetes Mellitus 459 Insulin and Insulin Analogues for Treatment of Diabetes Mellitus 460 Natural Insulin Formulations 460 Insulin Analogues 461 Therapeutic Regimens for Insulin and Insulin Analogues 462 Other Parenteral Glucose-Lowering Drugs 462 Glucagon-Like Peptide-1 Receptor Agonists 462 Oral Glucose-Lowering Drugs 463 Sulfonylureas 463

**CONTROL OF BLOOD GLUCOSE** 

Blood glucose concentration is normally maintained within a narrow range despite marked variations in the availability of glucose absorbed from the gut. Two hormones have a central role in the regulation of blood glucose concentration, insulin and glucagon, which also have actions that influence lipid and protein metabolism. Several other hormones inhibit the anabolic actions of insulin, particularly on carbohydrate metabolism, although their effects on protein metabolism vary. These include growth hormone (mediated by somatostatin), cortisol and catecholamines. Most of these hormones are released in stressful situations that require the breakdown of glycogen reserves to provide energy.

# REGULATION OF INSULIN SECRETION AND EFFECTS OF INSULIN

Insulin is a protein that is secreted rapidly from the  $\beta$ -cells of the islets of Langerhans in the pancreas in response to a small rise in blood glucose; its secretion is inhibited by a fall in blood glucose (Table 40.1). Insulin consists of two peptide chains, A and B, comprising 21

Meglitinides 464 Biguanide 464 Thiazolidinedione 465 Dipeptidyl Peptidase-4 Inhibitors 465 Sodium-Glucose Cotransporter 2 Inhibitors 465 Glucosidase Inhibitor 466 Drugs to Increase Plasma Glucose Levels 466 Glucagon 466 Management of Type 1 Diabetes Mellitus 466 Management of Type 1 Diabetes Mellitus in Special Situations 467 Management of Type 2 Diabetes Mellitus 467 Self-Assessment 469 Answers 469 Further Reading 471 Compendium of Drugs Used in Diabetes Mellitus and Hypoglycaemia 471

and 30 amino acid residues respectively, connected by two disulfide bridges. In the  $\beta$ -cell, insulin aggregates into hexamers with zinc; after release from the cell, it dissociates initially into dimers and eventually into the active monomeric form.

Glucose stimulates insulin secretion following uptake into the  $\beta$ -cell but apart from this direct secretory mechanism, there are other important regulators of insulin secretion. The presence of glucose and fat in the small intestine stimulates the release of peptide hormones, called incretins, from enteroendocrine cells. The incretins promote insulin secretion. The principal incretins are glucose-dependent insulinotropic peptide (GIP), secreted by K cells in the proximal small intestine, and glucagon-like peptide-1 (GLP-1), which is released from L cells in the distal small intestine. Release of incretins is triggered by direct interaction of glucose with the secretory cells and by neural signals from the proximal gut. Incretins have several actions that maintain glucose homeostasis, including:

 enhanced glucose-dependent insulin secretion through specific GLP-1 and GIP receptors on pancreatic islet β-cells. Incretins are responsible for about 60% of the insulin secreted in response to a meal;

ala <b>10 1</b>	Control of Insulin Release from
JIE 40.1	Pancreatic Islets of Langerhans $\beta$ -Cells.

STIMULANTS OF INSULIN RELEASE	INHIBITORS OF INSULIN Release
Parasympathetic stimulation (muscarinic receptors)	Sympathetic stimulation $(\alpha_2$ -adrenoceptors)
Increased glucose	Decreased glucose
Amino acids	Somatostatin
Fatty acids	Cortisol
Incretins (GLP-1, GIP)	
Glucagon	
Gastrin	
Cholecystokinin	
Secretin	

GIP, Glucose-dependent insulinotropic peptide; GLP-1, glucagon-like peptide-1.

inhibition of glucagon release;

Tal

• promotion of satiety by an action on the hypothalamus. Incretins also increase lipogenesis in adipose tissue. The actions of GLP-1 and GIP are brief as they have very short plasma half-lives of 1 to 2 minutes owing to their rapid degradation by dipeptidyl peptidase-4 (DPP-4). The effect of GIP on insulin secretion is lost in type 2 diabetes mellitus (see later), but that of GLP-1 is preserved. Insulin secretion is increased to a lesser extent by regulators other than glucose and incretins (see Table 40.1).

Insulin is secreted into the blood even during fasting, with pulses released every 3 to 6 minutes, thus preventing downregulation of insulin receptors in target cells. In response to a rise in plasma glucose (both the extent and the rate of change in concentration), there is a superimposed biphasic pattern of insulin release.

- The *first phase* of release occurs within seconds, peaks at 3 to 5 minutes and lasts for about 10 minutes. This is achieved by the release of a small pool of insulin stored in secretory vesicles.
- The *second phase* of release is more gradual, rising to a lower peak than in phase 1 after 2 to 3 hours, and is due to synthesis of new insulin.

Insulin secretion from pancreatic  $\beta$ -cells is modulated by K<sup>+</sup> channels in the cell membrane that are sensitive to adenosine triphosphate (ATP) ( $K_{ATP}$  channels), which have subunits known as sulfonylurea receptors (SURs). First-phase insulin release is triggered when glucose enters the  $\beta$ -cell via the insulin-independent glucose transporter 2 (GLUT2) and undergoes glycolysis and then further metabolism in the tricarboxylic acid (TCA) cycle with generation of intracellular ATP. ATP activates the SUR1 receptor isoform, which closes the  $K_{ATP}$ channel. This reduces membrane K<sup>+</sup> efflux and depolarises the  $\beta$ -cell, which opens voltage-gated L-type Ca<sup>2+</sup> channels in the cell membrane. Influx of Ca<sup>2+</sup> ions into the cell triggers second messengers, leading to exocytosis of insulin granules. (See Fig. 5.4 for a description of the  $K_{ATP}$  and  $Ca^{2+}$  channels in vascular smooth muscle.)

Peripheral tissues have glucose transporters to enable glucose entry into the cell. There are 14 known glucose transporters (GLUT), of which GLUT1 to GLUT4 are the best characterised, with different cell distributions and differing sensitivity to insulin. Insulin-dependent GLUT transporters are linked to the insulin receptor tyrosine kinase (see Chapter 1). When insulin stimulates these receptors, the GLUT glucose transporter is translocated to the cell surface, allowing glucose uptake into the tissue. GLUT4 is the insulindependent receptor on skeletal muscle, smooth muscle, cardiac muscle and adipocytes. By contrast, the GLUT receptors on pancreatic  $\beta$ -cells, red blood cells, brain, placenta and kidney are insulin-independent. Insulin also activates pathways involved in glycogen synthesis, glycolysis and fatty acid synthesis. Insulin has several metabolic effects.

- Glucose metabolism: promotion of active transport of glucose into cells, particularly in skeletal muscle and adipose tissue, accompanied by K<sup>+</sup> ions. Insulin enhances storage of glucose as glycogen in liver and muscle and inhibits the breakdown of glycogen (glycogenolysis). Insulin also inhibits gluconeogenesis from amino acids in the liver. The overall effect is to increase glycogen stores.
- Lipid metabolism: reduced plasma free fatty acids and increased adipocyte triglyceride storage. Insulin increases hydrolysis of circulating triglycerides from lipoproteins by enhancing the activity of lipoprotein lipase and promotes fatty acid uptake by adipose cells. Glucose entry into adipocytes provides glycerol phosphate for esterification of fatty acids to triglycerides, and lipolysis is reduced by inhibition of lipases, preventing triglyceride breakdown.
- Protein metabolism: inhibition of the catabolism of amino acids in the liver and increased amino acid transport into muscle, with enhanced protein synthesis.

The effects of insulin on different tissues are summarised in Table 40.2.

SITE	EFFECT
Liver	Increased glucose storage as glycogen
	Decreased gluconeogenesis
	Increased protein synthesis
	Decreased protein catabolism
Muscle	Increased glucose uptake
	Increased glycogen synthesis
	Increased amino acid uptake
	Increased protein synthesis
Adipose tissue	Increased glucose uptake
	Increased glycerol synthesis
	Increased triglyceride storage
	Decreased lipolysis

Table 40.2Metabolic Effects of Insulin.
## REGULATION OF GLUCAGON SECRETION AND EFFECTS OF GLUCAGON

Glucagon is a 29-amino acid peptide hormone. Its release from  $\alpha$ -cells in the pancreas is suppressed by amylin, a peptide hormone regulated by glucose and co-released with insulin from the pancreatic  $\beta$ -cells. When plasma glucose levels fall, the subsequent fall in amylin allows glucagon release from the  $\alpha$ -cells. Glucagon binds to its G-protein–coupled receptors on hepatocytes that activate cAMP signalling pathways to accelerate glycogenolysis and gluconeogenesis; these raise the plasma glucose concentration. Glucagon also promotes lipolysis in the liver and adipose tissues.

## **DIABETES MELLITUS**

Failure to secrete sufficient insulin to maintain blood glucose within the normal concentration range results in diabetes mellitus. The long-term consequences of diabetes mellitus include increased risk of the development of vascular and neuropathic disease (Table 40.3). Two main patterns of diabetes mellitus are recognised: type 1 and type 2. There is still dispute over whether they represent distinct entities or different manifestations of the same disease process. There is a strong genetic predisposition for both conditions.

Diabetes mellitus is diagnosed when there are symptoms such as polyuria, polydipsia and unexplained weight loss together with either fasting plasma glucose concentration above 7.0 mmol/L or random plasma glucose above 11.1 mmol/L. In the absence of symptoms, two raised plasma glucose concentrations on separate days are required to confirm the diagnosis. The diagnosis can also be confirmed in adults with suspected type 2 diabetes mellitus when the glycosylated haemoglobin (HbA1<sub>c</sub>) level is equal to or higher than 48 mmol/mol (see later).

## Table 40.3 Complications of Diabetes Mellitus.

COMPLICATION	CONSEQUENCES
Microvascular	
Nephropathy	Microalbuminuria, macroalbu- minuria, renal failure
Retinopathy	Background retinopathy, proliferative retinopathy leading to visual impairment
Peripheral neuropathy	Loss of peripheral sensation
	Pain, ulceration
Autonomic neuropathy	Impotence
	Gastrointestinal disturbance
	Orthostatic hypotension
Macrovascular	
Cardiovascular disease	Hypertension
	Ischaemic heart disease
Cerebrovascular disease	Stroke

## **TYPE 1 DIABETES MELLITUS**

Type 1 diabetes mellitus arises from severe deficiency of insulin production caused by autoimmune destruction of pancreatic  $\beta$ -cells; it usually presents in younger people. These individuals typically present with a short history of feeling tired and unwell, together with weight loss, polyuria and polydipsia. There is a high risk of ketoacidosis because of the breakdown of fatty acids and amino acids in the liver to provide an energy source to replace glucose, which generates ketone bodies.

## **TYPE 2 DIABETES MELLITUS**

Type 2 diabetes mellitus is the consequence of a relative deficiency of insulin. It more commonly presents later in life than type 1 and accounts for 90% of cases of diabetes mellitus in developed countries. In established type 2 diabetes mellitus, the first phase of insulin secretion is absent or attenuated and the second phase is slowed. Symptoms in people with type 2 diabetes mellitus. The condition is often present for many years before being recognised and may first present with complications or be identified only by screening.

People with type 2 diabetes mellitus are often overweight (the average body mass index at diagnosis is  $30 \text{ kg/m}^2$ ). This increases cellular resistance to insulin in the liver, muscles and adipose tissue, so that less glucose is transported into cells despite a normal or raised plasma insulin concentration. Target-cell insulin resistance characteristically precedes overt type 2 diabetes mellitus by 10 to 12 years, but increased basal insulin secretion by the pancreas is initially sufficient to overcome cellular resistance. However, the increase in basal insulin secretion probably exacerbates insulin resistance by downregulating target cell insulin receptors. In type 2 diabetes mellitus there is reduced or absent GLP-1 secretion in response to oral glucose and a reduced sensitivity to GIP at pancreatic  $\beta$ -cells. Over time, high circulating free fatty acids and the production of reactive oxygen species in response to sustained high plasma glucose concentration ('glucotoxicity') progressively reduce β-cell mass and therefore reduce insulin secretion. This leads to a state of  $\beta$ -cell dysfunction with further reduction in the insulin response to a glucose load and loss of basal insulin compensation for insulin resistance. In those people with type 2 diabetes mellitus who are not obese, the major defect is  $\beta$ -cell dysfunction. Overall, eight factors have been identified that are responsible for the pathophysiological disturbances in type 2 diabetes mellitus, often referred to as the 'ominous octet' (Box 40.1).

In type 2 diabetes mellitus, postprandial hyperglycaemia is the most prominent defect in blood glucose control, with excess glucose outside the cells rather than a shortage inside. The ideal approach to treatment would be an intervention that restores the early phase of insulin secretion in response to a glucose load. In

# Box 40.1Factors Responsible for the<br/>Pathophysiological Disturbances in Type 2<br/>Diabetes Mellitus (the 'Ominous Octet').

- 1. Decreased incretin effect
- 2. Decreased insulin secretion
- 3. Decreased glucose uptake by muscles
- 4. Neurotransmitter dysfunction
- 5. Increased glucagon secretion
- 6. Increased renal glucose absorption
- 7. Increased lipolysis
- Increased hepatic glucose output See also Fig. 40.1 for sites of drug action.

contrast to type 1 diabetes mellitus, most people with type 2 diabetes mellitus do not develop ketoacidosis because sufficient glucose enters cells to permit adequate energy production. However, ketosis-prone type 2 diabetes mellitus variant is a recognised subset of the condition due to transient loss of ability to secrete insulin. It is particularly prevalent in middle-aged obese black people. After an episode of ketoacidosis in people with this variant, insulin secretion characteristically recovers.

## INSULIN AND INSULIN ANALOGUES FOR TREATMENT OF DIABETES MELLITUS

Insulin secreted from the pancreas is released into the hepatic portal venous circulation, and its release is strictly regulated to meet metabolic needs. Some 60% of the insulin released from the pancreas is extracted by the liver before it reaches the systemic circulation. In contrast, therapeutic delivery of insulin is to the systemic circulation, and the relationship to metabolic needs can only be approximated by the dosages used and their timing in relation to meals.

## NATURAL INSULIN FORMULATIONS

Insulins for therapeutic use were originally extracted from either bovine or porcine pancreas. Bovine insulin differs chemically from human insulin in three amino acid residues and porcine insulin in one, but their actions are very similar to those of human insulin. These insulins have a higher immunogenicity than human insulin, and bovine insulin in particular is rarely used. Human-sequence insulin is produced either by enzymatic modification of porcine insulin or by recombinant DNA technology using bacteria or yeast. All current insulin preparations have a low impurity content and low immunogenic potential.

Insulins must be given parenterally because insulin is a protein and would be digested in the gut. Insulins (and insulin analogues) are usually formulated at a standard strength of 100 units/mL to reduce confusion about doses. However, the increasing use of very large doses in obese people with insulin resistance has led to the marketing of higher-strength formulations with 300 or 500 units/mL to reduce the volume of injection required. There are various injection devices, usually a form of prefilled syringe, to facilitate accurate dosing and for ease of use.

## **Pharmacokinetics**

Subcutaneous injection of insulin is used for routine treatment. Intravenous insulin infusions are used for some indications in the hospital setting (e.g. in perioperative glycaemic management and the treatment of diabetes emergencies). Recommended subcutaneous injection sites include the upper arms, thighs, buttocks and abdomen. Absorption is faster from the abdomen than from the limbs, although strenuous exercise can increase absorption from the limbs.

The half-life of insulin in plasma is very short (about 4–6 minutes) owing to uptake and degradation in the kidneys and liver. To avoid the need for very frequent injections during maintenance treatment, the absorption of insulin from subcutaneous injection sites must be prolonged. This is achieved by formulating insulin either as a soluble preparation which aggregates to form hexamers, or as a complex with protamine and/ or zinc (Table 40.4).

*Rapid-acting insulin:* the action of soluble insulin (also called *neutral insulin*) when given intravenously lasts less than an hour. Before absorption from a subcutaneous injection site, the hexamers must dissociate and the maximum plasma concentration of insulin is achieved about 2 hours later. To limit the increase in plasma glucose concentration generated by a meal, subcutaneous soluble insulin must be given 15 to 30 minutes before eating. Continued absorption from a subcutaneous injection site prolongs the duration of action to about 5 hours after injection.

Intermediate-acting insulin: to generate intermediateacting formulations, insulin is formulated as a complex with protamine to create the complex isophane insulin. Isophane insulin is also available mixed with a solution of soluble insulin (biphasic isophane insulin) in various ratios varying from 15% to 50% of soluble insulin, to avoid multiple injections. The most commonly used ratio is 30% soluble with 70% isophane insulin.

Intermediate- and long-acting insulins used to be formulated with zinc (to create the intermediate-acting insulin zinc suspension) or protamine and zinc (to create the long-acting protamine–zinc insulin). These are now available only as bovine insulin and only used for people who have been stabilised on treatment for many years and are unwilling to change (see Table 40.4).

## **Unwanted Effects**

All people with diabetes mellitus who take insulin should carry a card with details of their treatment ('insulin passport').

 The main problem with insulin is an excessive action producing hypoglycaemia and particularly neuroglycopenia which can cause confusion and coma. Table 40.4 Characteristics of Insulins Following Subcutaneous Administration.

ТҮРЕ	<b>ONSET OF ACTION</b>	PEAK ACTIVITY	DURATION	
Insulin Formulations				
Insulin (neutral or soluble)	30–60 min	2–5 h	5–8 h	Short-acting
Isophane insulin <sup>a</sup>	1–2 h	4–12 h	18–24 h	Intermediate-acting
Insulin zinc suspension	2 h	6–14 h	22 h	Long-acting
Protamine-zinc insulin	4 h	12–24 h	30 h	Long-acting
Insulin Analogues				
Insulin lispro	15–30 min	30–90 min	3–5 h	Rapid-acting
Insulin aspart	10–20 min	40–50 min	3–5 h	Rapid-acting
Insulin glulisine	20–30 min	30–90 min	1–2.5 h	Rapid-acting
Insulin glargine	1–1.5 h	Plateau 2–20 h	20–24 h	Long-acting
Insulin degludec	1 h	Plateau 1–40 h	>42 h	Ultra-long-acting
Insulin detemir	1 h	Plateau 6–8 h	Up to 24 h	Long-acting

<sup>a</sup>Sometimes called NPH (neutral protamine Hagedorn) insulin.

Although most people experience warning symptoms of hypoglycaemia, some do not and are prone to sudden hypoglycaemia with loss of consciousness. Frequent hypoglycaemic attacks can reduce awareness of the onset of future symptoms. If the person has symptoms consistent with hypoglycaemia, is conscious but has a blood glucose greater than 4 mmol/L, then a small carbohydrate snack such as a slice of bread is appropriate if a regular meal is not due. If blood glucose is less than 4 mmol/L, hypoglycaemia is treated with a sugary drink or glucose tablets. If the person is unconscious, an intravenous infusion of 10% or 20% glucose is used. A long-acting carbohydrate, such as biscuits, bread or milk, should be given orally as soon as consciousness is regained.

- Rebound hyperglycaemia can occur after an episode of hypoglycaemia, especially at night (Somogyi effect). This results from the compensatory release of hormones such as adrenaline. It can produce ketonuria, leading to a mistaken belief that too little insulin has been given.
- All insulins (including human insulin) are potentially immunogenic and can produce circulating antibodies, although this is less common with current, highly purified preparations. Immunological resistance to insulin is rare but can produce lipoatrophy at injection sites.
- Insulins can cause local fat hypertrophy at the injection site, which can be minimised by rotating the site of injection.
- Oedema after initiation or intensification of insulin treatment. This is more common in underweight people.

## **INSULIN ANALOGUES**

## **Examples**

short-acting: insulin aspart, insulin glulisine, insulin lispro long-acting: insulin degludec, insulin detemir, insulin glargine

## Mechanism of Action and Effects

The insulin analogues are recombinant modifications of natural insulin with one or two amino acid changes. These changes have no effect on the binding of the molecule to cellular insulin receptors.

## Rapid-acting insulin analogues

Because of the amino acid modifications in rapid-acting insulin analogues, they do not readily form dimers and hexamers. Therefore they are more rapidly absorbed from an injection site than soluble insulin, with a faster onset and a shorter duration of action.

Insulin aspart and lispro are also available as readymixed biphasic preparations in which some of the analogue is in a complex with protamine (similar to isophane insulin) to give the mixture an intermediate duration of action.

## Long-acting insulin analogues

- Insulin detemir has an amino acid modification and a fatty acid chain added to enhance formation of hexamers and increase binding to albumin. It is slowly absorbed from the injection site and, once in the circulation, insulin detemir dissociates from albumin only slowly.
- Insulin glargine has two amino acid changes that make the molecule more soluble at acid pH, and less soluble at physiological pH. It precipitates after subcutaneous injection, slowly redissolves and is then absorbed.
- Insulin degludec has a single amino acid change and is conjugated to hexadecanedioic acid, which forms multi-hexamers in subcutaneous tissue that delay absorption.

## **Pharmacokinetics**

Rapid-acting insulin analogues are absorbed faster after subcutaneous injection and have an earlier peak plasma concentration compared with soluble insulin (see Table 40.4). The duration of action is also shorter at almost 3 hours. These insulin analogues should be injected just before a meal. Although they can be injected immediately after eating, this increases the risk of postprandial hyperglycaemia and late hypoglycaemia. They can be mixed with long-acting insulins or used as premixed biphasic formulations. Rapid-acting insulin analogues can also be given by subcutaneous infusion or by intravenous injection or infusion.

Long-acting insulin analogues are slowly and uniformly absorbed after subcutaneous injection, which avoids plasma insulin peaks.

## **Unwanted Effects**

- Unwanted effects are similar to those of natural insulins. Despite the structural modifications, there is no reported excess of immunogenic reactions compared with natural insulin.
- There is a slightly reduced frequency of hypoglycaemia with rapid-acting insulin analogues compared with soluble insulin because of the shorter duration of action.

## THERAPEUTIC REGIMENS FOR INSULIN AND INSULIN ANALOGUES

The choice of regimen for insulin administration depends on the age, lifestyle, circumstances and preference of the individual. The general principle is to maintain a background (basal) level of insulin and then give insulin boluses prior to meals to deal with the glucose load (basal-bolus regimens). Options for regular insulin regimens include the following:

- Multiple-injection basal-bolus regimen is preferred in active people who require flexibility in their lifestyles. Insulin analogues are widely used as firstline treatment. The most common regimen is a long-acting insulin analogue (frequently insulin glargine or less commonly insulin detemir) once or twice daily to ensure a basal insulin concentration and then a rapid-acting insulin analogue (such as insulin aspart) before breakfast, lunch and the evening meal. The dose of both basal and rapid-acting insulin is determined by the fasting blood glucose concentration just before injection.
- *Twice-daily injections before breakfast and the evening meal* are suitable only for people who have a reasonably stable pattern of activity and eating habits. Rapid- and intermediate-acting insulins or insulin analogues are given together from the same syringe, either in fixed-ratio biphasic preparations provided by the manufacturer or in varying ratios according to individual requirements.
- Single daily injections before breakfast or at bedtime are used mainly for elderly people with type 2 diabetes mellitus who require insulin and in whom the longterm benefits of glycaemic control are smaller. An intermediate- or long-acting insulin is used, which can be combined with a rapid-acting insulin to improve control.

There are also situations in which a subcutaneous insulin regimen is not appropriate:

- *Continuous subcutaneous infusion* of soluble insulin or a rapid-acting insulin analogue via a portable syringe pump and catheter ('insulin-pump therapy') is used if there is a problem with recurrent hypoglycaemia, unpredictable daily activity or hyperglycaemia before breakfast despite optimisation of a multipleinjection insulin regimen. The rate of infusion can be programmed and boluses given before meals.
- *Intravenous infusion* is used for treatment of ketoacidotic crises, in labour, during and after surgery, or at other times when the person's usual routine cannot be adhered to. Soluble insulin or a rapid-acting insulin analogue is infused as 1 unit/mL solution, diluted in 0.9% sodium chloride or 5% glucose. A substrate infusion of glucose with sodium chloride and potassium chloride (unless there is hyperkalaemia) is usually given alongside this. If the person takes basal subcutaneous intermediate- or long-acting insulin, this should usually be continued.
- *Intraperitoneal infusion* is used for people being treated for end-stage renal disease by continuous ambulatory peritoneal dialysis; they can add their insulin to the dialysis fluid. Some implantable insulin pumps also use this route. This is the only therapeutic regimen in which insulin has direct access to the portal circulation.

## **OTHER PARENTERAL GLUCOSE-LOWERING DRUGS**

## GLUCAGON-LIKE PEPTIDE-1 RECEPTOR AGONISTS

#### Examples

short-acting: exenatide, liraglutide, lixisenatide long-acting: dulaglutide, semaglutide

## Mechanism of Action

GLP-1 receptor agonists are peptides that share part of their amino acid sequences with the naturally occurring incretin GLP-1. They bind to and activate the GLP-1 receptor, leading to an increase in glucosedependent synthesis of insulin and its secretion from  $\beta$ -cells (Fig. 40.1). They restore the first-phase insulin response to an oral glucose load and, unlike insulin, promote weight loss. In contrast to GLP-1, they are resistant to the enzymatic action of DPP-4. Dulaglutide is two GLP-1 analogue chains linked by a disulfide bond and covalently linked to an immunoglobulin heavy chain fragment.

#### **Pharmacokinetics**

Exenatide, liraglutide and lixisenatide are given by subcutaneous injection. Exenatide is eliminated by the kidney and has a short half-life of about 2 hours. It is also available as a modified-release formulation



Fig. 40.1 Metabolic dysfunctions in type 2 diabetes mellitus and sites of drug action. The metabolic dysfunctions seen in type 2 diabetes mellitus result from inadequate insulin secretion from pancreatic  $\beta$ -cells and from resistance of skeletal muscle and adipose tissue to the effects of insulin. The metabolic roles of free fatty acids (*FFAs*) are also indicated. Drugs that enhance insulin release include the sulfonylureas, meglitinides, dipeptidyl peptidase-4 (*DPP-4*) inhibitors (which inhibit breakdown of incretins) and synthetic incretin mimetics. The thiazolidinedione pioglitazone and the biguanide metformin act in tissues to enhance glucose uptake and utilisation and in the liver to reduce gluconeogenesis. Metformin also reduces glucose absorption from the gut, whilst inhibitors of sodium-glucose cotransporter 2 (*SGLT-2*) reduce renal glucose reabsorption and hence increase glucose excretion.

to prolong the duration of action. Liraglutide is eliminated by proteolysis and has a half-life of 11 to 15 hours. Liraglutide and modified-release exenatide are injected once daily, within one hour of the first meal of the day or the evening meal. The standard formulation of exenatide is injected twice daily.

Dulaglutide is long-acting because the large molecular size reduces the rate of absorption after injection, with peak plasma concentration being achieved after 2 days. It is metabolised by proteolytic pathways. Semaglutide is protected from proteolytic degradation by binding to albumin, which prolongs its duration of action. Dulaglutide and semaglutide are injected once a week.

Semaglutide has also been formulated with an absorption enhancing excipient (SNAC) that permits oral absorption (although bioavailability is very low). It is taken daily, despite a very long half-life of 1 week, to achieve a stable plasma concentration.

## **Unwanted Effects**

 Nausea, vomiting, burping, diarrhoea, constipation, abdominal pain, decreased appetite with weight loss (which can be helpful in overweight people with diabetes mellitus).

- Dizziness, headache, fatigue, agitation.
- Injection-site reactions.

## **ORAL GLUCOSE-LOWERING DRUGS**

The main sites of action of oral glucose-lowering drugs are shown in Fig. 40.1.

## SULFONYLUREAS

Examples

gliclazide, glimepiride, glipizide, tolbutamide

### **Mechanism of Action**

Sulfonylureas act mainly by increasing the release of insulin from the pancreatic  $\beta$ -cells in response to stimulation by glucose (see Fig. 40.1). They bind to the sulfonylurea receptor SUR1, which closes the K<sub>ATP</sub> channel in the  $\beta$ -cell membrane. The resultant membrane depolarisation opens voltage-gated Ca<sup>2+</sup> channels and increases both first- and second-phase insulin secretion in response to a rise in plasma glucose. Compounds with a short duration of action are usually preferred to minimise the risk of hypoglycaemia, especially overnight.

### **Pharmacokinetics**

Sulfonylureas are structurally related to sulfonamide antimicrobials. They are absorbed rapidly (although more slowly when taken with food), are highly proteinbound and are metabolised by the liver. Most sulfonylureas have half-lives of less than 10 hours and short durations of action.

## **Unwanted Effects**

- Gastrointestinal disturbance with nausea, vomiting, diarrhoea, constipation.
- Hypoglycaemia (particularly nocturnal) is most frequent with the longer-acting drugs or with excessive dosage, as the drugs continue to work at low plasma glucose concentrations.
- Weight gain is almost inevitable, due to increased appetite, unless dietary restrictions are observed.
- Hypersensitivity reactions (usually in the first 6– 8 weeks of therapy) include skin rashes and, rarely, blood disorders.
- Glipizide and glimepiride can increase renal sensitivity to antidiuretic hormone (ADH, vasopressin) and produce water retention with dilutional hyponatraemia.
- Concerns have been raised that sulfonylureas might increase cardiovascular mortality in type 2 diabetes mellitus, possibly as a result of binding to SUR2 receptors in the heart, which could lead to arrhythmias in people who have ischaemic heart disease (see Chapter 5). However, recent clinical studies have failed to confirm the original concerns about cardiovascular mortality.
- There is some evidence that sulfonylureas may accelerate the rate of pancreatic β-cell loss.

## **MEGLITINIDES**

Example	
repaglinide	

## Mechanism of Action

Meglitinides (glinides) are based on the sulfonylurea moiety of glibenclamide (a discontinued sulfonylurea). They bind to the SUR1 receptor on the  $\beta$ -cell, although with lower affinity than sulfonylureas, and stimulate insulin release in the same way. Repaglinide has a rapid onset of action and a short duration of activity and should be taken within 30 minutes of main meals.

## **Pharmacokinetics**

Repaglinide is metabolised in the liver and has a short half-life (1-2 h).

## **Unwanted Effects**

- Nausea, abdominal pain, diarrhoea.
- Hypoglycaemia is much less frequent than with sulfonylureas due to the short duration of action. This also reduces the desire to snack between meals, so weight gain is less common.

## BIGUANIDE

## Example

metformin

#### Mechanism of Action and Effects

Metformin does not affect insulin secretion. Its primary target is inhibition of mitochondrial respiratory chain complex 1, which reduces the cellular energy status. This activates the hepatic enzyme 5'-AMPactivated protein kinase (AMPK), a key regulator of the metabolism of fat and glucose, which protects cellular functions under conditions of energy restriction. Activated AMPK phosphorylates key synthetic enzymes and switches cells from an anabolic to a catabolic state by shutting down pathways that consume ATP.

The most important effect of metformin is decreased hepatic glucose production by inhibiting gluconeogenesis, an action that requires the presence of insulin. Because some gluconeogenic activity remains, the risk of hypoglycaemia is minimal. Metformin also increases fatty acid oxidation and reduces plasma triglycerides. A reduction in hepatic steatosis may be important in improving hepatic insulin sensitivity leading to the reduction in hepatic gluconeogenesis. Metformin has other actions that contribute to its ability to reduce plasma glucose. It increases cell-surface expression and activity of the membrane insulin receptor and associated tyrosine kinase activity, which facilitates glucose uptake in skeletal muscle and adipocytes. Metformin also increases synthesis of GLP-1.

Metformin can suppress appetite and causes less weight gain than the sulfonylureas, which is useful in overweight people with type 2 diabetes mellitus.

#### Pharmacokinetics

Metformin is excreted unchanged by the kidney and has a half-life of 2 to 4 hours. A modified-release formulation allows once-daily dosing.

## **Unwanted Effects**

- Gastrointestinal upset, including anorexia, nausea, taste disturbance, abdominal discomfort and diarrhoea. These can be minimised by initial use of low doses or a modified-release formulation.
- Decreased vitamin B<sub>12</sub> absorption.

 Inhibition of pyruvate metabolism encourages lactate accumulation. At high concentrations of metformin, such as in overdose or renal impairment, this effect can lead to metabolic acidosis (lactic acidosis). Metformin should be avoided when the estimated glomerular filtration rate is less than 30 mL/min per 1.73 m<sup>2</sup>.

## THIAZOLIDINEDIONE

	Example	
piog	glitazone	

## **Mechanisms of Action and Effects**

Pioglitazone has no effect on insulin secretion but is an insulin sensitiser. The effects are mediated through activation of peroxisome proliferator-activated receptor  $\gamma$  (PPAR- $\gamma$ ) on the cell nucleus. PPAR- $\gamma$ is activated by specific natural ligands such as free fatty acids and eicosanoids and then associates as a heterodimer with the retinoid X receptor (RXR) in the cell nucleus. The heterodimer activates peroxisome proliferator response elements in the promoter domains of target genes. Pioglitazone replaces the natural ligands and transactivates genes that control adipocyte differentiation; it may increase the number of small adipocytes, which are more insulin-sensitive. It is also responsible for transrepression of several proinflammatory genes.

The actions of pioglitazone include:

- Enhanced insulin sensitivity and glucose utilisation in peripheral tissues, especially in adipocytes but also skeletal muscle and hepatocytes. Adipose tissue more readily takes up triglycerides from the blood, and the reduced availability of nonesterified fatty acids improves insulin sensitivity in muscle cells. Pioglitazone also reduces synthesis of proinflammatory cytokines that interfere with the insulin signalling cascade, such as interleukin-6, and increases secretion of the insulin-sensitising and antiinflammatory cytokine adiponectin from adipose tissue.
- The effect on plasma triglycerides improves diabetic dyslipidaemia. Plasma high-density lipoprotein (HDL) cholesterol concentration is increased due to increased lipolysis of triglycerides in very-low-density lipoprotein (VLDL).
- A small reduction in blood pressure, possibly by improving endothelial function and reducing sympathetic nervous system activity. Pioglitazone also reduces microalbuminuria associated with diabetic nephropathy.

## **Pharmacokinetics**

Pioglitazone is metabolised in the liver. As the mechanism of action involves gene transcription, the onset of the hypoglycaemic effect is gradual over 6 to 8 weeks.

## **Unwanted Effects**

- Numbness, visual disturbances.
- Increased risk of infection.
- Fluid retention leading to oedema. Pioglitazone should be avoided in people with heart failure.
- Weight gain because of fat-cell differentiation.
- Increased risk of fractures, especially in women, through suppression of bone formation and increased bone resorption.
- Increased risk of bladder cancer.
- Liver dysfunction has been reported rarely, and liver function tests should be monitored during treatment.

## **DIPEPTIDYL PEPTIDASE-4 INHIBITORS**

## Examples

linagliptin, sitagliptin, saxagliptin, vildagliptin

## **Mechanism of Action**

The 'gliptins' are competitive inhibitors of DPP-4 and reduce the ability of the enzyme to inactivate the incretin hormones GLP-1 and GIP. As a consequence, insulin synthesis and secretion are increased and glucagon secretion reduced.

## **Pharmacokinetics**

Sitagliptin is excreted by the kidney and linagliptin is excreted largely unchanged in faeces. Saxagliptin is cleared mainly by CYP450 metabolism in the liver, whereas vildagliptin undergoes CYP450-independent hydrolysis in the liver and kidney. The long duration of action of DPP-4 inhibitors is unrelated to the plasma half-life due to prolonged binding to the target enzyme.

## **Unwanted Effects**

- Nausea, vomiting, dyspepsia.
- Increased risk of infection.
- Headache, dizziness, fatigue, nasopharyngitis.
- Increased risk of pancreatitis.

## SODIUM-GLUCOSE COTRANSPORTER 2 INHIBITORS

**Examples** 

canagliflozin, dapagliflozin, empagliflozin

## **Mechanism of Action**

Canagliflozin, dapagliflozin and empagliflozin are competitive reversible inhibitors of the sodiumglucose cotransporter type 2 (SGLT-2) in the proximal convoluted tubule of the kidney. Gliflozins reduce glucose absorption from the tubular filtrate and increase urinary glucose excretion. They do not block SGLT-1, so glucose absorption from the gut is not affected. Gliflozins produce modest weight loss and hypoglycaemia is unusual.

#### **Pharmacokinetics**

Canagliflozin, dapagliflozin and empagliflozin are metabolised in the liver. They have half-lives of about 13 hours.

#### **Unwanted Effects**

- Constipation.
- Thirst and polyuria with increased risk of hypovolaemia and electrolyte imbalance.
- Increased risk of minor amputations in the lower limb, especially toes. These drugs should be avoided if there are active foot ulcers or untreated peripheral vascular disease.
- Increased risk of urinary tract and genital infections and necrotising fasciitis of the genitalia or perineum.
- Increased risk of diabetic ketoacidosis when used in type 1 diabetes mellitus (outside their marketing authorisation) and, to a lesser extent, type 2 diabetes mellitus. These events may be associated with a near-normal blood glucose concentration (euglycaemic diabetic ketoacidosis).

## **GLUCOSIDASE INHIBITOR**

Example

acarbose

## Mechanism of Action and Effects

Carbohydrate digestion in the intestine involves several enzymes that sequentially degrade complex polysaccharides, such as starch into monosaccharides like glucose. Initial digestion of carbohydrates in the gut lumen is carried out by amylases from the saliva and pancreas. The final digestion of oligosaccharides is carried out by  $\beta$ -galactosidases (including lactase) and various α-glucosidase enzymes (such as maltase, isomaltase, glucoamylase and sucrase, which hydrolyse oligosaccharides) in the small-intestinal brush border. Acarbose competes with dietary oligosaccharides for  $\alpha$ -glucosidase enzymes and has a higher affinity for the enzymes. Binding to the enzymes is reversible, so that digestion and absorption of glucose after a meal is slower than usual but not prevented. As a result, the postprandial peak of blood glucose is reduced and blood glucose concentrations are more stable through the day. Acarbose has no effect on insulin secretion or its tissue action and is less effective for achieving glycaemic control than other oral hypoglycaemic agents.

#### **Pharmacokinetics**

Oral absorption of acarbose is very low, with only about 2% of the active parent drug reaching the circulation. Inactive metabolites are formed in the gut lumen by enzymic degradation.

#### **Unwanted Effects**

 Gastrointestinal effects include flatulence, abdominal distension and diarrhoea due to fermentation of unabsorbed carbohydrate in the bowel. These effects are dose-related and often transient.

## DRUGS TO INCREASE PLASMA GLUCOSE LEVELS

#### GLUCAGON

## Mechanism of Action and Use

Glucagon synthesised by the  $\alpha$ -cells of the pancreatic islets of Langerhans activates membrane-bound adenylyl cyclase and cAMP synthesis via specific glucagon receptors. The consequent inhibition of glycogen synthase blocks the effect of insulin on hepatocytes and mobilises stored liver glycogen. Glucagon is used to raise blood glucose in severe insulin-induced hypoglycaemia.

#### **Pharmacokinetics**

Glucagon must be given by intramuscular, subcutaneous or intravenous injection and acts within 10 to 20 minutes. It is degraded rapidly by enzymes in the plasma, liver and kidney.

#### **Unwanted Effects**

These are not usually troublesome with a single injection but include nausea, vomiting and diarrhoea.

## MANAGEMENT OF TYPE 1 DIABETES MELLITUS

Insulin is essential for treatment of type 1 diabetes mellitus and the aim of treatment is to maintain a plasma glucose concentration as close to normal as possible. Hyperglycaemia leads to the glycosylation of proteins which inhibits their function and may promote vascular and neurological damage. In older people with type 1 diabetes mellitus, management of cardiovascular risk factors (see management of type 2 diabetes mellitus) becomes increasingly important. Advice should be given regarding an appropriate diet with a regulated carbohydrate intake distributed throughout the day ('carbohydrate counting'). Excess dietary saturated fat should be avoided.

The complications of type 1 diabetes mellitus can be reduced by close control of the blood glucose concentration, ideally by giving insulin analogues (or human insulin) in a basal-bolus regimen (see earlier). Regular measurement of the blood glucose concentration should be carried out on a finger-prick blood specimen using a blood glucose reagent strip. The insulin dose should be adjusted to maintain fasting plasma glucose between 5 and 7 mmol/L before breakfast, between 4 and 7 mmol/L before meals at other times of the day and between 5 and 9 mmol/L at least 90 minutes after eating. Long-term control of diabetes mellitus is usually assessed by the plasma level of glycosylated haemoglobin (HbA1). An HbA1 level greater than 53 mmol/mol (the upper limit of normal is 42 mmol/mol) is associated with a higher risk of developing microvascular and neuropathic complications. Achieving an HbA1<sub>c</sub> level of 48 mmol/mol or lower is optimal for long-term vascular outcomes, but an individual target should be agreed with the person according to their preferences and circumstances.



Fig. 40.2 Pathophysiology of diabetic ketoacidosis. Diabetic ketoacidosis is an emergency arising from the lack of insulin in type 1 diabetes mellitus (or rarely in ketosis-prone type 2 diabetes mellitus). Breakdown of muscle proteins and accelerated fat metabolism produce hyperglycaemia, leading to osmotic diuresis and depletion of K<sup>+</sup> ions. Ketone bodies (acetoacetate and  $\beta$ -hydroxybutyric acid) generated from fatty acids and amino acids cause severe acidosis.

In some people with type 1 diabetes mellitus, treatment fails to achieve optimal glucose control because intensive insulin therapy produces recurrent hypoglycaemia or weight gain. There is interest in the addition of SGLT-2 inhibitors in this situation to reduce HbA1c and body weight. The increased risk of diabetic ketoacidosis when using these drugs should be considered, with strategies to minimise this risk.

The most dramatic complication of untreated or poorly controlled type 1 diabetes mellitus is diabetic ketoacidosis (Fig. 40.2), which can lead to coma and death if it is severe. This may be the presenting problem with new-onset type 1 diabetes mellitus, whereas systemic infection, dietary indiscretion or inappropriate insulin dose reduction or omission can precipitate ketoacidosis in a person with treated type 1 diabetes mellitus. Apart from the treatment of any precipitating cause, the management of ketoacidosis includes:

- Restoration of extracellular volume: hyperglycaemia leads to an osmotic diuresis with excessive urinary salt and water loss. Replacement of fluid and electrolyte loss by intravenous 0.9% sodium chloride is essential.
- Potassium replacement: the osmotic diuresis results in excessive urinary potassium loss. Potassium is also shifted from within cells into extracellular fluid in exchange for hydrogen ions due to the acidosis. Correction of the extracellular acidosis, and administration of insulin, reverses this shift and can produce profound hypokalaemia. Once a good urine flow has been established, intravenous potassium supplements are almost always required.
- Intravenous rapid-acting insulin until the ketosis is abolished and the plasma glucose is below 15 mmol/L. Long-acting insulin should be continued subcutaneously during the insulin infusion if this is part of the individual's usual treatment regimen.

• The metabolic acidosis will usually correct with treatment of the hyperglycaemia and fluid replacement. Intravenous sodium bicarbonate is occasionally required if the arterial pH is less than 7.0, but it should be used with caution.

## MANAGEMENT OF TYPE 1 DIABETES MELLITUS IN SPECIAL SITUATIONS

- Close attention to the control of diabetes mellitus is important before conception and during *pregnancy* because poor glucose control will affect the fetus, leading to increased intrauterine and perinatal mortality.
- At times of *intercurrent illness*, the dose of insulin will need to be increased, guided by blood glucose monitoring, to counteract the hyperglycaemic action of hormones released during stress reactions.
- During and immediately after *surgery*, rapid-acting insulin should be given in 10% glucose solution by intravenous infusion, dosage being guided by the blood glucose concentrations. Subcutaneous insulin can be restarted as soon as the person is able to eat and drink.

## **MANAGEMENT OF TYPE 2 DIABETES MELLITUS**

The mainstays of treatment are lifestyle and dietary modifications. As for type 1 diabetes mellitus, close control of the blood glucose concentration in type 2 diabetes mellitus reduces the risk of microvascular complications, although the effect on macrovascular complications such as myocardial infarction is less convincing.

More than 75% of people with newly diagnosed type 2 diabetes mellitus are obese. Weight reduction not only improves blood glucose levels but also has beneficial effects on other cardiovascular disease risk factors. Dietary advice should include:

- Reducing energy intake if obese, with a target weight loss of 5% to 10%. Weight loss of more than 20 kg can produce remission in up to 80% of people with type 2 diabetes mellitus. A weight loss of up to 10 kg will achieve remission in 50%.
- Eating small, regular meals.
- Ensuring that more than half the total energy intake is from carbohydrates with controlled intake of saturated and trans fatty acids.
- Encouraging high-fibre, low-glycaemic-index sources of carbohydrate and also limiting sucrose and alcohol intake. Advice should also be given about regular exercise and stopping smoking to reduce vascular risk as appropriate.

If dietary management is insufficient, then drug therapy should be offered. The target HbA1<sub>c</sub> level should be individualised, but is usually 48 mmol/mol or lower in the absence of adverse factors. A less stringent target of 53 mmol/mol or less is more appropriate in those with a longer duration of diabetes mellitus or in the presence of cardiovascular disease (in whom both the risk of hypoglycaemia and mortality are increased with intensive blood glucose control). It is also advisable when using drug combinations, or a drug with a higher risk of hypoglycaemia such as sulfonylureas.

Metformin is the oral treatment of choice, especially for overweight people, as it reduces appetite and can encourage weight loss. Metformin also reduces cardiovascular mortality. If the target HbA1<sub>c</sub> is not achieved after 3 months of treatment with metformin, a second drug should be added. Within 3 years of diagnosis, 50% of people with type 2 diabetes mellitus will need combination therapy to achieve glycaemic control. The choice is guided by an assessment of the individual, disease and expressed personal preference. Options include:

- metformin with pioglitazone (risk of weight gain but low risk of hypoglycaemia),
- metformin with a DPP-4 inhibitor, such as sitagliptin (little effect on weight and low risk of hypoglycaemia),
- metformin with a sulfonylurea, such as glipizide (risk of weight gain and moderate risk of hypoglycaemia),
- metformin with an SGLT-2 inhibitor, such as canagliflozin (little effect on weight and low risk of hypoglycaemia). This combination is often recommended if sulfonylureas are not tolerated or there is a significant risk of hypoglycaemia or from its consequences.

A second intensification of treatment with triple therapy may be necessary, with combinations of metformin and two additional drugs with a different mechanism of action. Recommended combinations include:

- metformin, a DPP-4 inhibitor and a sulfonylurea,
- metformin, pioglitazone and a sulfonylurea,
- metformin, a sulfonylurea and an SGLT-2 inhibitor.

If triple therapy with metformin and two other drugs is not effective, not tolerated or contraindicated, then metformin combined with a sulfonylurea and a GLP-1 receptor agonist should be considered. A GLP-1 receptor agonist should be continued only if there is a reduction in HbA1c of at least 11 mmol/mol and a loss of 3% of initial body weight after 6 months. As an alternative to triple therapy, insulin can be considered either alone or in combination with an oral hypoglycaemic drug if the HbA1c remains greater than 58 mmol/mol.

If standard-release metformin is poorly tolerated, then a modified-release formulation can be tried. If metformin cannot be tolerated, single drug treatment can be started with a sulfonylurea, pioglitazone or a DPP-4 inhibitor. When these are not appropriate, an SGLT-2 inhibitor can be considered. Acarbose is of limited value and rarely used. It may be more effective in early type 2 diabetes mellitus, when there is still sufficient insulin secretion for it to influence glycaemic control.

People with type 2 diabetes mellitus who are not overweight (who usually have impaired insulin synthesis and release) often require early treatment with a sulfonylurea or repaglinide. Repaglinide has a limited role as it is not licensed for use in drug combinations, necessitating a change of treatment if it is insufficient as monotherapy.

Failure of oral treatment usually implies  $\beta$ -cell 'exhaustion', and up to 30% of those with type 2 diabetes mellitus require insulin. Insulin analogues are preferred to human insulin. A long-acting insulin analogue can be used as basal-only therapy together with oral glucose-lowering drugs. Alternatively, a mixture of intermediate-acting and rapid-acting insulin analogues given twice daily, possibly with additional rapid-acting insulin analogue before the midday meal, may be preferred, especially if the HbA1<sub>c</sub> remains greater than 75 mmol/mol. There is some evidence that insulin therapy used early in type 2 diabetes mellitus may preserve  $\beta$ -cell function.

Intensive management of risk factors for cardiovascular disease is of crucial importance because the major complications of type 2 diabetes mellitus are vascular. Metformin, GLP-1 receptor antagonists and SGLT-2 inhibitors have all been shown to reduce cardiovascular event rates in type 2 diabetes mellitus. Other classes of glucose-lowering drugs do not give vascular protection. Control of raised blood pressure reduces both microvascular and macrovascular complications in diabetes mellitus. The target blood pressure is less than 140/80 mm Hg or 130/80 mm Hg if there is kidney, eye or cerebrovascular damage. First-line therapy is with an angiotensin-converting enzyme (ACE) inhibitor or an angiotensin II receptor antagonist, which reduce the progression of diabetic nephropathy (see Chapter 6). There is little to choose between other antihypertensive drugs for use in diabetes mellitus except that thiazides and β-adrenoceptor antagonists may cause hyperglycaemia and should probably be avoided in the few people who are managed with dietary control alone.

An atherogenic plasma lipid profile is common in type 2 diabetes mellitus, and statin therapy is recommended for people over the age of 40 years (see Chapter 48). Once a person with diabetes mellitus has developed coronary artery disease, management of all risk factors (see Chapter 48) will reduce the risk of subsequent myocardial infarction or death to the same extent as for someone without diabetes mellitus.

## SELF-ASSESSMENT

## **TRUE/FALSE QUESTIONS**

- 1. Oral glucose-lowering drugs are only used in type 2 diabetes mellitus.
- 2. Glipizide is the drug of choice when there is no residual insulin secretion.
- 3. Sulfonylureas should be administered in conjunction with a dietary regimen in obese people.
- 4. Glipizide can cause hypoglycaemia, particularly in the elderly.
- 5. Metformin and the sulfonylurea gliclazide cannot be taken together.
- 6. The meglitinides are structurally related to sulfonylureas.
- 7. Oral glucose-lowering drugs given to a pregnant woman can cause fetal hypoglycaemia.
- 8. Insulin lispro has a longer duration of action than isophane insulin.
- 9. Dipeptidyl peptidase-4 synthesises incretin hormones.
- 10. Synthetic incretin mimetics are given orally.
- 11. Acarbose is completely absorbed from the gut after oral administration.
- 12. Gliflozin drugs block absorption of glucose in the gut and in the renal tubule.
- 13. Glucagon mobilises glucose from glycogen in the liver.

## **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which glucose-lowering drug for type 2 diabetes mellitus would be given parenterally?
  - A. Glipizide
  - B. Linagliptin
  - C. Liraglutide
  - D. Repaglinide
  - E. Pioglitazone
- 2. A 55-year-old woman with a body mass index (BMI) of 35 kg/m<sup>2</sup> is found to have type 2 diabetes mellitus. Choose the most accurate statement relating to her treatment.
  - A. The mainstay of treatment is diet and exercise.
  - B. Diabetes presenting in this way is a medical emergency.
  - C. A sulfonylurea would be the drug of first choice.
  - D. Pioglitazone would be the drug of first choice.
  - E. Treatment with metformin may increase her risk of heart disease.

## CASE-BASED QUESTIONS

A 25-year-old man was admitted to hospital as an emergency. He had developed a sore throat a week previously. His general practitioner (GP) prescribed penicillin, but the soreness persisted and he noticed profuse white spots at the back of his throat. He drank fluids copiously and passed more urine than usual. Two days before admission, he began to vomit, and on the day before admission he became drowsy and confused. He had lost approximately 12 kg in weight despite eating more than usual. His great uncle had diabetes mellitus. On examination, he appeared dehydrated and ketones could be smelt on his breath. Results of blood tests indicated that he had diabetic ketoacidosis.

- 1. Which type of diabetes mellitus does he have?
- 2. What was the significance of his sore throat?
- 3. Was it significant that his great uncle suffered from diabetes mellitus?
- 4. Explain his polyuria and polydipsia.
- 5. What treatments should have been instituted rapidly?
- 6. After he recovered from the acute illness, what general advice should he be given about his diet?
- 7. Suggest a possible regimen to manage his type 1 diabetes mellitus and the types of insulin that could be given.
- 8. In addition to blood glucose levels, what other indicator could have been measured to signify good control in diabetes mellitus?

## ANSWERS

## **TRUE/FALSE ANSWERS**

- 1. **True.** Oral glucose-lowering drugs are used only in type 2 diabetes mellitus and act by different mechanisms to control glucose levels.
- 2. **False.** Glipizide is a sulfonylurea that stimulates insulin secretion from the islet β-cells and would be ineffective in the absence of any insulin-secreting ability.
- 3. **True.** Sulfonylureas cause weight gain partly by stimulating appetite; metformin might be a better choice.
- 4. **True.** Glipizide can cause; hypoglycaemia and although it is uncommon it is a greater problem in older people.
- 5. **False.** These drugs act in part by different mechanisms and can be combined. Unlike the sulfonylureas, metformin has a neutral or suppressive effect on appetite.
- 6. **True.** Meglitinides (glinides) chemically resemble the sulfonylurea moiety and act by a similar mechanism to enhance insulin release.
- 7. **True.** Neonates born to mothers with diabetes mellitus who are taking oral glucose-lowering drugs in pregnancy have problems with hypoglycaemia; insulin is normally substituted in pregnancy.
- 8. **False.** Isophane insulin is complexed with protamine and has a duration of action of 18 h, whereas

synthetic insulin lispro is modified structurally and has a faster onset of action and shorter duration (2–5 hours).

- False. DPP-4 breaks down the incretin GLP-1, so DPP-4 inhibitors such as sitagliptin enhance incretin activity on the pancreatic islet β-cells.
- 10. **False.** Incretin mimetics (GLP-1 receptor antagonists) are peptides administered parenterally, but semaglutide also has a formulation with an excipient (SNAC) that allows oral absorption. The incretin mimetics are usually given subcutaneously with insulin or with oral glucose-lowering drugs.
- 11. False. Acarbose is very poorly absorbed and acts within the gut to reduce the digestion of oligosaccharides to glucose by  $\alpha$ -glucosidases.
- 12. **False.** Inhibitors of SGLT-2, such as canagliflozin and dapagliflozin, reduce the reabsorption of glucose in the proximal renal tubule and increase its excretion in urine, but do not reduce glucose absorption in the gut, which is mediated by SGLT-1.
- 13. **True.** Glucagon reverses the effect of insulin on liver glycogen storage and is used to increase blood glucose in severe acute hypoglycaemia induced by insulin.

## **OBA ANSWERS**

- 1. **Answer C** (liraglutide) is the best answer.
  - A. Incorrect. Glipizide is a sulfonylurea and is given orally.
  - B. Incorrect. Linagliptin is a DPP-4 inhibitor and is given orally.
  - C. **Correct.** Liraglutide is a GLP-1 receptor agonist and is given parenterally (by subcutaneous injection).
  - D. Incorrect. Repaglinide is a meglinitide and is given orally.
  - E. Incorrect. Pioglitazone is a thiozolidinedione and is given orally.
- 2. Answer A is the best answer.
  - A. **Correct.** Diet and exercise should be tried for 3 months before suggesting other treatments.
  - B. Incorrect. Treatment, support and advice should take place over many months.
  - C. Incorrect. Sulfonylureas can stimulate appetite by increasing insulin secretion and cause further weight gain.
  - D. Incorrect. The use of pioglitazone as second-line therapy added to either metformin or a sulfonylurea is not recommended except for people who are unable to tolerate metformin and sulfonylurea combination therapy or those in whom either drug is contraindicated; in such cases, the thiazolidinedione should replace the poorly tolerated or contraindicated drug.
  - E. Incorrect. Metformin has a cardioprotective effect which is not wholly explicable by its effects on glucose and may be due to improvements in the lipid profile.

## **CASE-BASED ANSWERS**

- 1. His acute presentation with diabetic ketoacidosis in early adulthood suggests type 1 diabetes mellitus.
- 2. An upper respiratory tract infection can be all that is necessary to precipitate ketoacidosis. Aggravating factors include the candidiasis in his throat and over-breathing, causing dryness.
- 3. There is a strong familial tendency, but the common forms of type 1 (and type 2) diabetes mellitus are not single-gene disorders, so a classic pattern of inheritance is unlikely to be seen.
- 4. Once the tubular transport maximum for glucose reabsorption in the kidneys is exceeded, the glucose in the distal tubules causes an osmotic diuresis, leading to polyuria and then to thirst.
- 5. In diabetic ketoacidosis, hyperglycaemia leads to osmotic diuresis with loss of electrolytes and water. Intravenous isotonic (0.9%) sodium chloride should be used to restore the extracellular volume, with a rapid-acting insulin given intravenously to abolish the ketosis and reduce plasma glucose. Intravenous sodium bicarbonate solution used with caution may help correct the acidosis, and hypokalaemia may require intravenous potassium supplements.
- 6. A dietary regimen should be agreed to create a stable pattern of eating habits commensurate with his lifestyle. Diets low in animal fat and high in fibre are recommended, ideally with carbohydrate intake distributed throughout the day.
- 7. The choice of insulin regimen depends on the individual's age, lifestyle and preferences. He is 25 years old and describes a relatively active lifestyle with sometime irregular mealtimes. A multipleinjection basal-bolus regimen is first-line choice for active lifestyles and in newly diagnosed type 1 diabetes in adults. For the basal component, the BNF recommends the long-acting analogue insulin detemir given twice daily, or insulin glargine given once daily if insulin detemir is not tolerated or if a twice daily regimen is not acceptable to the person. A rapid-acting analogue such as insulin aspart is given as a bolus before breakfast, lunch and the evening meal, allowing some flexibility in mealtimes. The doses of both the basal and the rapid-acting insulins would be determined by the fasting blood glucose concentration just before injection. Alternatively, if the multiple-injection basal-bolus regimen described is unacceptable, a twice-daily regimen could be used, either a mixed/biphasic regimen, such as a rapid-acting insulin combined in the same injection with an intermediate-acting or longacting insulin, or just a twice-daily intermediateacting insulin.
- 8. The amount of glycosylated haemoglobin (HbA1<sub>c</sub>) can be measured, with an ideal target of 48 mmol/ mol (6.5%) or lower. Higher values, especially over 53 mmol/mol, indicate an increased risk of microvascular and neuropathic complications.

## **FURTHER READING**

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## Compendium of Drugs Used in Diabetes Mellitus and Hypoglycaemia.

DRUG	CHARACTERISTICS
Natural Insulins and Insulin	Analogues
Insulins are normally given Table 40.4). Many formu insulin and rapid-acting acting insulins include a combine short-acting ar	subcutaneously, with the onset and duration of action depending on the formulation used (see ulations are combinations containing porcine or human insulin. Short-acting insulins include soluble insulin analogues. Insulin complexes (such as isophane insulin) are intermediate-acting. Long- unalogues formulated to be slowly absorbed from the subcutaneous injection site. Biphasic insulins nd longer-acting insulins or insulin analogues to avoid multiple injections.
Rapid- and Short-Acting Insu	ulins
Insulin (neutral or soluble)	Short-acting porcine or human (or rarely bovine) insulin; may also be given by intramuscular or intravenous injection or by intravenous infusion, depending on requirements.
Insulin aspart	Rapid-onset recombinant human insulin analogue; may also be given by intravenous injection or infusion, depending on requirements.
Insulin glulisine	Rapid-onset recombinant human insulin analogue.
Insulin lispro	Rapid-onset recombinant human insulin analogue; may also be given by intravenous injection or infusion, depending on requirements.
Long-Acting Insulins	
Insulin detemir	Long-acting recombinant human insulin analogue.
Insulin degludec	Ultra-long-acting (>40 h) human insulin analogue; less risk of nocturnal hypoglycaemia than with insulin glargine.
Insulin glargine	Long-acting recombinant human insulin analogue.
Insulin Complexes	
Isophane insulin	Natural insulin complexed with protamine. Intermediate-acting.
Insulin zinc suspension	Long-acting. Rarely used.
Protamine-zinc insulin	Long-acting. Rarely used.
Glucagon-Like Peptide-1 (GL	.P-1) Receptor Agonists
Synthetic peptide mimetics mellitus (also orally for se	of the incretin GLP-1 that increase insulin release. Given by subcutaneous injection for type 2 diabetes maglutide).
Dulaglutide	Uses similar to liraglutide.
Exenatide	Used with metformin, a sulfonylurea or both, or with pioglitazone, or with both metformin and pioglitazone.
Liraglutide	Used with metformin or a sulfonylurea or both, or with pioglitazone, or with both metformin and pioglitazone.
Lixisenatide	Used in combination with oral glucose-lowering drugs (e.g. metformin, pioglitazone or a sulfonylurea) or basal insulin if adequate glycaemic control has not been achieved with those drugs.
Semaglutide	Used as monotherapy (if metformin is inappropriate) or in combination with oral glucose-lowering drugs. Given subcutaneously, or taken on an empty stomach as a high-dose oral formulation known as SNAC (semaglutide N-[8-(2-hydroxybenzoyl) amino] caprylate), achieving bioavailability of about 1%.
Oral Glucose-Lowering Drug	S
Sulfonylureas	
Sulfonylureas bind to the S of type 2 diabetes mellit	SUR1 receptor on pancreatic $\beta$ -cells and increase insulin release. All are given orally for the treatment us.
Gliclazide	Used for people who are not overweight or in whom metformin is contraindicated or not tolerated.
Glimepiride	Used for people who are not overweight or in whom metformin is contraindicated or not tolerated.

## Compendium of Drugs Used in Diabetes Mellitus and Hypoglycaemia—cont'd.

DRUG	CHARACTERISTICS
Glipizide	Used for people who are not overweight or in whom metformin is contraindicated or not tolerated.
Tolbutamide	Used for people who are not overweight or in whom metformin is contraindicated or not tolerated.
Meglitinides (Glinides)	
Increase insulin release by	similar mechanism to sulfonylureas. Given orally for the treatment of type 2 diabetes mellitus.
Repaglinide	Used alone, or in combination with metformin when metformin alone is inadequate.
Biguanide	
AMP kinase inhibitor; decre	eases hepatic glucose production, increases fatty acid oxidation and enhances glucose utilisation.
Metformin	First-line treatment for type 2 diabetes mellitus; also available in combined formulations with the thiazolidinedione pioglitazone, or with a DPP-4 inhibitor (gliptin), or with a SGLT-2 inhibitor (gliflozin) (see later). Given orally.
Thiazolidinedione (Glitazone)	
PPAR activator and insulin	sensitiser. Given orally.
Pioglitazone	Used alone or in combination with metformin or a sulfonylurea or both, or with insulin, for the treatment of type 2 diabetes mellitus.
DPP-4 Inhibitors (Gliptins)	
Inhibitors of dipeptidyl pept in type 2 diabetes mellitu	tidase-4 reduce breakdown of the incretins GLP-1 and GIP and enhance insulin release. Given orally is.
Alogliptin	Used with other glucose-lowering drugs (including insulin) if existing treatment fails to achieve adequate glycaemic control. Also available in a combined formulation with metformin.
Linagliptin	Used alone (if metformin inappropriate), or with other glucose-lowering drugs (including insulin) if existing treatment fails to achieve adequate glycaemic control. Also available in a combined formulation with metformin or empagliflozin.
Saxagliptin	Used alone (if metformin inappropriate), or with other glucose-lowering drugs (including insulin) if existing treatment fails to achieve adequate glycaemic control. Also available in a combined formulation with metformin or dapagliflozin.
Sitagliptin	Used alone (if metformin inappropriate), or with other glucose-lowering drugs (including insulin) if existing treatment fails to achieve adequate glycaemic control. Also available in a combined formulation with metformin.
Vildagliptin	Used alone (if metformin inappropriate), or with other glucose-lowering drugs (including insulin) if existing treatment fails to achieve adequate glycaemic control. Also available in a combined formulation with metformin.
Sodium-Glucose Cotransport	er-2 (SGLT-2) Inhibitors (Gliflozins)
SGLT-2 inhibitors increase orally in type 2 diabetes	glucose excretion by reducing its reabsorption in the renal proximal convoluted tubule. All are given mellitus.
Canagliflozin	Used alone or with insulin or other glucose-lowering drugs. Also available in a combined formulation with metformin.
Dapagliflozin	Used alone or with insulin or other glucose-lowering drugs. Not recommended in combination with pioglitazone. Also available in a combined formulation with metformin or saxagliptin.
Empagliflozin	Used alone or with insulin or other glucose-lowering drugs. Also available in a combined formulation with metformin or linagliptin.
Ertugliflozin	Used alone (if metformin inappropriate), or with insulin or other glucose-lowering drugs if existing treatment fails to achieve adequate glycaemic control.
Glucosidase Inhibitor	
Inhibition of $\alpha$ -glucosidase	delays absorption of glucose from the gut.
Acarbose	Given orally for diabetes mellitus inadequately controlled by diet, with or without other glucose- lowering drugs.
Drugs to Increase Plasma Glu	ucose Levels
Diazoxide	Used in chronic intractable hypoglycaemia of various causes. Given orally.
Glucagon	Used for acute insulin-induced hypoglycaemia ( <i>not</i> for chronic hypoglycaemia). Given by subcutaneous or intramuscular injection.

DPP-4, Dipeptidyl peptidase-4; GIP, glucose-dependent insulinotropic peptide; GLP-1, glucagon-like peptide 1; PPAR, peroxisome proliferator-associated receptor; SGLT-2, sodium-glucose cotransporter 2.

## The Thyroid and Control of Metabolic Rate

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**THYROID FUNCTION** 

The main functions of thyroid hormones are the control of metabolism, growth and development. The term 'basal metabolism' refers to the energy-utilising biochemical processes of the body at rest. Basal metabolic rate is controlled by thyroid hormones, acting together with other hormones such as insulin. Thyroid hormones have multiple actions:

- Stimulation of tissue oxygen consumption and regulation of energy expenditure, mainly through an increase in the metabolism of fats, carbohydrates and proteins.
- Regulation of basal metabolic rate, body composition and body weight through adaptive thermogenesis.
- Promotion of gluconeogenesis, forming glucose from amino acids in tissues such as muscle and bone.
- Facilitating the development of the nervous system, somatic growth (synergistically with growth hormone) and puberty.
- Regulation of the synthesis of proteins involved in hepatic, cardiac, neurological and muscular functions.
- Enhancing tissue sensitivity to circulating catecholamines and sympathetic nervous system activation, in particular the effects of β-adrenoceptor stimulation.

## THYROID HORMONES

There are two thyroid hormones, triiodothyronine (T3) and thyroxine (T4), both of which are synthesised in the thyroid gland (Fig. 41.1). T3 is the main active hormone, whilst T4 is considered to be a prohormone. Both hormones are partially composed of iodine. The thyroid gland actively traps inorganic iodide, which is then oxidised to iodine by thyroid peroxidase. Iodine is very reactive and attaches to tyrosyl residues of the glycoprotein thyroglobulin to form mono-iodotyrosine and di-iodotyrosine residues. Two iodotyrosine

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residues are then coupled. When two di-iodinated tyrosine molecules are conjugated, this forms the precursor to T4, and one di-iodinated molecule with one mono-iodinated tyrosine molecule conjugate to form the precursor to T3 (see Fig. 41.1). Proteolytic enzymes from thyroid lysosomes then degrade thyroglobulin, and T3 and T4 are released into the circulation.

Iodide uptake by the thyroid and the synthesis and release of thyroid hormones are controlled by the anterior pituitary hormone thyrotropin (also known as thyroid-stimulating hormone, TSH). This in turn is controlled by the hypothalamus, which secretes thyrotropin-releasing hormone (TRH). Circulating T3 and T4 exert negative feedback on both TSH and TRH (Fig. 41.2), with additional regulation by other hormones such as leptin. These regulators allow central adaptation to fasting, illness and obesity.

The thyroid releases mainly T4 and a small amount of T3. Circulating thyroid hormones are highly proteinbound, mostly to thyroxine-binding globulin (TBG) as well as transthyretin and albumin. Less than 0.03% of T4 and less than 0.3% of T3 circulate unbound and only this free fraction of hormone is available to enter cells and bind to specific intracellular receptors. Therefore free T4 and T3 are measured when assessing thyroid function.

Most T3 is derived from peripheral deiodination of T4 through the action of the D1 and D2 isoforms of iodothyronine 5'-deiodinase. These principal activating isoforms are found mainly in the brain, liver, thyroid, skeletal muscle and brown adipose tissue. About one-third of T4 is converted to T3, whilst a further third is converted to reverse T3 (a metabolically inactive isomer of T3) by the deiodinase isoform D3. The rest is inactivated by other pathways. T3 has a half-life in the circulation of 1 to 2 days, compared with about 7 days for T4. Elimination of T3 and T4 is by conjugation, mainly in the liver.







Fig. 41.1 The synthesis of thyroid hormones. lodide is oxidised to iodine by thyroid peroxidase and incorporated into tyrosine residues of thyroglobulin, the colloidal substance that fills the lumen of the thyroid follicles. Conjugation of mono-iodotyrosine (*MIT*) and di-iodotyrosine (*DIT*) residues into T3, or of two DIT residues to form T4, is followed by the release of T3 and T4 when thyroglobulin is proteolysed.

Thyroid hormones cross cell membranes via active transporters and bind to intracellular thyroid hormone receptors (TRs; see Chapter 1), which belong to the superfamily of nuclear receptors. Thyroid hormone receptors usually block gene transcription by forming a complex with corepressor molecules that binds to thyroid response elements close to genes. When thyroid hormone binds to the receptor it displaces the corepressor from the receptor/DNA complex. The complex then recruits coactivators and activates gene transcription. Thyroid hormone receptors are expressed in most tissues, with two primary isoforms TR $\alpha$  and TR $\beta$ , which differ in their tissue distribution and mediate different effects of thyroid

hormones. This allows some specificity in thyroid hormone action in different tissues. T3 also has nongenomic actions that include stimulation of cellular uptake of amino acids and glucose, and interactions with membrane receptors and cytoplasmic signal transduction pathways with activation of various kinase pathways and neural proteins.

## THYROTOXICOSIS

Thyrotoxicosis is the spectrum of symptoms and signs that arise from excess circulating thyroid hormone. Hyperthyroidism is the usual underlying cause (apart from iatrogenic excess of exogenous thyroid hormone), when there is hyperfunction of the thyroid gland. The commonest form of hyperthyroidism is Graves' disease (up to 80% of cases), an autoimmune condition in which TSH receptor antibodies bind to thyroid cells and initiate signal transduction that increases production of thyroid hormone. This is often accompanied by an immunologically mediated inflammatory reaction in the extraocular muscles in the orbit, causing swelling and the characteristic exophthalmos. Toxic multinodular goitre, thyroid adenomas (toxic or 'hot' nodules), various forms of thyroiditis and treatment with amiodarone are less common causes of hyperthyroidism. Hyperthyroidism arising from a primary abnormality of the thyroid gland is characterised by a suppressed serum TSH concentration. Rarely, hyperthyroidism arises from excess production of thyrotropin, when the serum TSH concentration will be increased or inappropriately normal in the context of a raised serum free T4 and/or T3 (see Chapter 8).

Symptoms of thyrotoxicosis include weight loss, palpitation, sweating, fatigue, irritability, heat sensitivity and tremor. These are in part mediated by the action of excess thyroid hormone, and partly by increased sensitivity of tissues to  $\beta$ -adrenoceptor stimulation. Signs are often less marked in older people, who are more likely to present with atrial fibrillation that is resistant to treatment.

## DRUGS FOR TREATMENT OF THYROTOXICOSIS Thionamides

Examples

carbimazole, propylthiouracil

## Mechanism of action

Thionamides reduce the synthesis of thyroid hormone by inhibiting thyroid peroxidase (see Figs. 41.1 and 41.2). The long half-life of T4 means that changes in the rate of synthesis take 4 to 6 weeks to lower circulating T4 and T3 concentrations to within the normal range. Thionamides also reduce the levels of TSH receptor antibody in Graves' disease. Large doses of propylthiouracil also inhibit iodothyronine 5-deiodinase and decrease peripheral conversion of T4 to T3.



Fig. 41.2 Control of thyroid hormone synthesis and release. Thyrotropin (thyroid-stimulating hormone, *TSH*) and thyrotropin-releasing hormone (*TRH*) are inhibited by circulating T3 and T4. The sites of action of drugs acting on thyroid pathways are also shown.

#### **Pharmacokinetics**

Carbimazole is a prodrug that is converted to active methimazole (thiamazole). Propylthiouracil has about one-tenth of the activity of methimazole and a shorter half-life; it is usually reserved for individuals intolerant to carbimazole. Both drugs accumulate in the thyroid, which extends their duration of action beyond that expected from their short plasma half-lives.

#### Unwanted effects

- Gastrointestinal upset (especially nausea and epigastric discomfort), headache, arthralgia and pruritic rashes are common in the first 8 weeks of treatment.
- Allergic reactions, including vasculitis, a lupuslike syndrome, myopathy, cholestatic jaundice and nephritis. Propylthiouracil in particular has a greater risk of hepatotoxicity. There is some cross-sensitivity between carbimazole and propylthiouracil.
- Bone marrow suppression, especially agranulocytosis, is an important unwanted effect and is more common with propylthiouracil than with carbimazole. A severe sore throat with fever is often the presenting complaint, and the occurrence of this, or any other infection, should be immediately reported to a doctor. The onset of agranulocytosis is sudden, and probably immunologically mediated, so that routine blood counts are unhelpful for monitoring. The blood count usually recovers about 3 weeks after drug withdrawal.

 Carbimazole, but not propylthiouracil, given to women who are pregnant has been associated with congenital defects in the fetus. Carbimazole is secreted in breast milk but rarely produces hypothyroidism in the suckling infant.

## MANAGEMENT OF THYROTOXICOSIS

#### **Graves' Disease**

Carbimazole is the drug of choice for Graves' disease; it starts to produce clinical benefit after 2 to 3 weeks and will usually decrease the thyroid hormone concentration to normal levels over 4 to 8 weeks. Treatment is started with a high dose unless the thyrotoxicosis is mild, when smaller initial doses may control symptoms. Once the thyroid hormone concentration is normal, the dose is then gradually reduced every 4 to 6 weeks to reach a maintenance dose that controls the serum free T4. Treatment should be continued for 12 to 24 months. Life-long remission rate is 30% to 50% with most recurrences apparent in the first 12 months after stopping treatment.

An alternative strategy is a 'block and replace' regimen, which involves giving a high dose of carbimazole and then adding levothyroxine replacement (see later) after 4 weeks. The dose of carbimazole is kept constant and the levothyroxine dose adjusted to maintain a normal serum free T4 concentration. The 'block and replace' regimen is given for 6 months and has a remission rate similar to the reducing regimen of carbimazole alone. Because of the risk of teratogenesis when carbimazole is used in pregnancy, propylthiouracil is preferred for treating thyrotoxicosis in the first trimester, although the safety of propylthiouracil has been questioned. Placental transfer of the active metabolite of carbimazole can produce fetal hypothyroidism, but propylthiouracil does not transfer in large enough quantities to cause problems. However, in Graves' disease the thyroid-stimulating antibody crosses the placenta and causes fetal thyrotoxicosis; therefore carbimazole is the treatment of choice in the second and third trimester for maternal Graves' disease. The higher risk of maternal hepatotoxicity of propylthiouracil also limits its use after the first trimester.

A  $\beta$ -adrenoceptor antagonist (especially propranolol because of its nonselective action; see Chapter 5) is particularly useful for symptomatic relief from tremor, anxiety or palpitation during the early period of treatment with an antithyroid drug. It has immediate effects on symptoms but does not alter the rate of thyroid hormone synthesis or secretion.

Exophthalmos associated with Graves' disease often responds poorly to treatment with antithyroid drugs. Severe thyroid eye disease can be helped by treatment with oral prednisolone if antithyroid treatment is not improving the condition. Decompressive surgery or radiotherapy may be needed for corneal exposure or visual impairment.

Radioiodine can be used as first-line treatment for Graves' disease, especially for people over 50 years of age, or for relapse after antithyroid drug treatment. Radioiodine can make thyroid ophthalmopathy in Graves' disease worse, but this can be prevented by treatment with a corticosteroid such as prednisolone for 2 to 3 months. Before radioiodine treatment, the thyrotoxicosis should be stabilised with carbimazole to reduce the risk of exacerbation of thyrotoxicosis from radiation thyroiditis immediately after isotope treatment. However, carbimazole must be stopped for at least 2 days before radioactive iodine is given (2 weeks for propylthiouracil), or it will prevent uptake of the radioiodine by the thyroid cells. A  $\beta$ -adrenoceptor antagonist can be useful in this period to prevent symptomatic relapse. The antithyroid drug can be restarted 7 days after radioiodine, to cover the period of up to 8 weeks before radioiodine is fully effective. Between 10% and 20% of those treated will require a second dose of radioiodine to achieve euthyroid status. Permanent hypothyroidism occurs in at least 10% of those treated with radioiodine in the first year following treatment, and thereafter the risk is 2% to 3% annually.

Surgery is recommended for Graves' disease if there is a poor response to antithyroid drugs, a very large goitre, for coexisting thyroid malignancy, or if the person expresses a preference for this treatment. Before surgery, carbimazole is usually used to achieve a euthyroid state. If the thyrotoxicosis is drug-resistant, then a saturated solution of potassium iodide ('Lugol's solution') can be given orally for up to 2 weeks before surgery to inhibit thyroxine synthesis and release and to reduce the vascularity of the hyperplastic thyroid gland. High doses of inorganic iodide block thyroid peroxidase for a period of a few days or weeks, after which there is an escape phenomenon with increased thyroid hormone synthesis and release. Following surgery, hypothyroidism, often delayed by several months or years, occurs in up to 80% of people.

#### **Toxic Nodular Goitre and Toxic Adenoma**

Radioiodine is also used for toxic multinodular goitre and toxic adenoma. A solitary toxic thyroid nodule can be removed surgically, but radioactive iodine is extremely effective because the isotope is taken up only by the abnormal nodule(s) (normal thyroid tissue is suppressed by the absence of thyrotropin in the circulation). Carbimazole is generally unsuitable as sole treatment for these conditions as spontaneous remission does not occur. However, some elderly people may choose to continue treatment with a low dose of carbimazole for life.

#### **Amiodarone-Induced Thyrotoxicosis**

Amiodarone is an iodine-containing drug that interferes with deiodinase activity and reduces conversion of T4 to T3. However, thyrotoxicosis can result either from the excess iodide in the drug (type I thyrotoxicosis) or from destructive thyroiditis (type 2 thyrotoxicosis). Treatment of amiodarone-induced thyrotoxicosis (see Chapter 8) depends on the clinical subtype. Type 1 responds to antithyroid drug treatment. Type 2 responds well to treatment with a corticosteroid.

#### Thyroiditis

Autoimmune and subacute thyroiditis (the latter usually triggered by a viral infection) produce thyrotoxicosis by sudden release of thyroid hormones from destroyed thyroid follicles. They produce a thyrotoxic state for 1 to 3 months followed by transient or permanent hypothyroidism. Antithyroid drugs should be avoided, but symptomatic treatment with a  $\beta$ -adrenoceptor antagonist may be helpful.

#### HYPOTHYROIDISM

Hypothyroidism is usually caused by primary thyroid failure, and the low circulating free T4 concentration is accompanied by a raised serum thyrotropin (TSH) concentration. Chronic autoimmune disease, either goitrous autoimmune thyroiditis (Hashimoto's thyroiditis) or atrophic autoimmune thyroiditis, is the most common cause, but hypothyroidism is occasionally congenital or due to iodine deficiency (the most common cause in mountainous regions of developing countries). Hypothyroidism can also follow treatment for hyperthyroidism by surgery or radioiodine. Rarely, hypothyroidism can be secondary to pituitary or hypothalamic failure, when the circulating TSH concentration will be low. Drug therapy with lithium (see Chapter 22) or amiodarone (see Chapter 8) can produce hypothyroidism.

Typical symptoms of hypothyroidism in an adult are nonspecific and include lethargy, slowing of mental processes, depression, cold intolerance, dry skin, hoarseness, weight gain, constipation and menorrhagia. Severe hypothyroidism (myxoedema) produces marked coarsening of the facial appearance and in older people may ultimately lead to a hypothermic, comatose state (hypothyroid crisis) often precipitated by infection. Maternal iodine deficiency in pregnancy can lead to permanent mental retardation in the infant. In children, hypothyroidism stunts mental and physical development.

#### MANAGEMENT OF HYPOTHYROIDISM

There are two preparations available for replacement of thyroid hormone deficiency; levothyroxine (the therapeutic equivalent of T4) and liothyronine (T3). Standard treatment is with oral levothyroxine. Although its absorption is incomplete and variable, sufficient T3 will be formed by peripheral deiodination of T4. The proportion of circulating free T3 relative to free T4 is usually lower than normal in treated hypothyroidism, so the circulating concentration of free T4 will often need to be higher than in healthy individuals to obtain a satisfactory response. In some people, particularly those with ischaemic heart disease, a rapid increase in metabolic activity with levothyroxine replacement can cause excessive cardiac stimulation, and therefore levothyroxine should be introduced gradually in those at risk of cardiac complications. In others, the anticipated weight-related maintenance dose can be given from the start. Because of its long half-life, a steadystate plasma concentration of levothyroxine will only be achieved with constant dosage after 4 to 5 weeks.

The adequacy of levothyroxine replacement therapy is best monitored by measurement of the serum TSH concentration 6 to 8 weeks after a change in levothyroxine dose. The TSH concentration should be in the lower third of the normal range, and then the plasma T4 will usually be slightly above or in the upper part of the 'normal' range. Once the dose of levothyroxine is correct, an annual check of serum TSH is sufficient, unless there are symptoms suggesting hypo- or hyperthyroidism.

Some people have persistent symptoms despite achieving a normal serum TSH with treatment. There is some evidence that polymorphisms in genes encoding thyroid hormone transporters or deiodinases may be responsible. In this situation, combination therapy with levothyroxine and liothyronine may produce a better response. When hypothyroidism is caused by drug treatment, the precipitating drug can be continued, if this is considered necessary, while levothyroxine is given. Problems with levothyroxine replacement preparations are uncommon unless excessive doses are used, but allergic reactions have been reported, and transient scalp hair loss can occur in the first few weeks of treatment.

Some drugs interfere with the absorption of levothyroxine from the gut. These include iron salts, calcium salts, mineral supplements, colestyramine (see Chapter 48) and sucralfate (see Chapter 33). The metabolism of levothyroxine is accelerated by the concurrent use of the hepatic enzyme-inducing drugs phenobarbital, phenytoin, carbamazepine (see Chapter 23) and rifampicin (see Chapter 51). The therapeutic response to levothyroxine may be impaired in these situations.

Liothyronine is given intravenously for treatment of hypothyroid crisis, when its potency, more rapid effect and shorter half-life allow more rapid attainment of a therapeutic free T3 concentration. However, even in this situation, a large dose of levothyroxine has been successfully used and may be associated with lower mortality. The oral formulation of liothyronine is sometimes used for rapid response in severe hypothyroid states.

## SELF-ASSESSMENT

## **TRUE/FALSE QUESTIONS**

- 1. Secretion of T3 and T4 is controlled by anterior pituitary and hypothalamic hormones.
- 2. Circulating T3 and T4 are highly bound to plasma albumin.
- 3. T4 has a long residence time in the body.
- 4. At target cells, T3 binds to specific nuclear receptors.
- 5. Hyperthyroidism is made worse by iodine administration.
- 6. Therapy with <sup>131</sup>I can cause hypothyroidism.
- 7. Propylthiouracil is the drug of choice for Graves' disease.
- 8. Hypothyroidism is treated with levothyroxine.
- 9. Liothyronine is used in myxoedema coma.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Choose the least accurate statement about the treatment of thyrotoxicosis with carbimazole.
  - A. Carbimazole takes several weeks to reduce circulating T4 and T3 to normal concentrations.
  - B. Carbimazole is a prodrug.
  - C. Carbimazole may cause bone marrow suppression.
  - D. Carbimazole blocks thyrotropin (TSH) receptors on the thyroid.
  - E. Carbimazole has a long duration of action.
- 2. Choose the most accurate statement about hypothyroidism and its treatment.
  - A. Low circulating free T4 concentration in hypothyroidism is accompanied by a low serum concentration of thyrotropin.
  - B. Hepatic enzyme-inducing drugs reduce the response to levothyroxine.

- C. During regular dosing, a steady-state plasma concentration of levothyroxine is reached within 7 days.
- D. No precautions are required when prescribing levothyroxine in a person with hypothyroidism and ischaemic heart disease.
- E. Oxygen consumption in metabolically active tissues is unaffected by levothyroxine.

## **CASE-BASED QUESTIONS**

A 45-year-old man had experienced weight loss, palpitations, tremor, anxiety and sweating, plus eyelid retraction and orbital and ocular inflammation. Blood tests showed increased serum concentrations of free T3 and T4 and a low serum concentration of TSH. A diagnosis of Graves' thyrotoxicosis was made. An electrocardiogram showed atrial fibrillation.

- 1. What is Graves' disease, and why are the serum free T3/T4 concentrations high and TSH concentration low?
- 2. What drug should be given to control the hyperthyroidism, and what other drugs might help control the symptoms in this man?
- 3. With treatment, he became euthyroid, but relapsed in the following year. A decision was made to treat him with <sup>131</sup>I. What treatment should be given before administering the <sup>131</sup>I, and what are the reasons for this?

## **ANSWERS**

## **TRUE/FALSE ANSWERS**

- 1. **True**. Synthesis and release of T3 and T4 are controlled by thyrotropin-releasing hormone (TRH) from the hypothalamus, by thyrotropin (thyroidstimulating hormone, TSH) from the anterior pituitary, and by uptake of plasma iodide.
- 2. **False.** T3 and T4 in the plasma are largely bound to thyroid-binding globulin (TBG) (which should not be confused with thyroglobulin in the thyroid gland), with smaller amounts bound to transthyretin and albumin.
- 3. **True.** Thyroxine has a half-life of about 7 days.
- 4. **True.** The complex of T3 and thyroid receptor (TR) modulates gene transcription and subsequent protein synthesis by binding to hormone response elements (HRE) on DNA.
- 5. **False.** Iodine is converted to iodide and inhibits T3 and T4 release.
- 6. **True.** Permanent hypothyroidism can occur after radioiodine treatment.
- 7. **False.** Propylthiouracil is usually reserved for people intolerant to carbimazole.
- 8. True. Oral levothyroxine is the standard treatment.
- 9. **True.** Liothyronine is more potent and has a more rapid effect than levothyroxine, so it is given

intravenously in severe hypothyroidism (hypothyroid crisis).

## **OBA ANSWERS**

- 1. Answer D is the least accurate statement.
  - A. Correct. The long half-life of T4 (about 7 days) means that carbimazole takes 5 to 6 weeks to reduce thyroid hormone levels to normal.
  - B. Correct. Carbimazole is converted to methimazole, which is 10-fold more active and mainly responsible for its pharmacological action.
  - C. Correct. Bone marrow suppression is a serious unwanted effect of carbimazole; it can be indicated by infection, especially fever and a severe sore throat.
  - D. **Incorrect**. Carbimazole does not block TSH receptors; it inhibits the oxidation of iodide by thyroid peroxidase and hence reduces incorporation of iodine into mono- and di-iodotyrosine.
  - E. Correct. Carbimazole accumulates in the thyroid and is given only once daily.
- 2. **Answer B** is the most accurate statement.
  - A. Incorrect. If T4 levels were low, then thyrotropin levels would be raised, as the negative-feedback effect of T4 on thyrotropin release would be reduced.
  - B. **Correct**. Hepatic metabolism of levothyroxine is accelerated by cytochrome P450 inducers such as phenobarbitone and phenytoin.
  - C. Incorrect. The half-life of levothyroxine is 6 to 7 days; therefore the steady state would not be reached until about 5 weeks of administration.
  - D. Incorrect. Levothyroxine should be introduced gradually in ischaemic heart disease, as a rapid increase in metabolic activity can cause excessive heart stimulation.
  - E. Incorrect. Levothyroxine stimulates oxygen consumption in metabolically active tissues.

## **CASE-BASED ANSWERS**

- 1. Graves' disease is an autoimmune disease in which antibodies to TSH are generated which bind to and activate TSH receptors in the thyroid, promoting thyroid hormone (T3/T4) release. Serum thyrotropin (TSH) concentration is low due to the negative feedback effect of elevated serum T3 and T4.
- 2. Carbimazole is the drug of choice to control hyperthyroidism, given in a high dose then reducing over 4 to 6 weeks. Drugs for controlling symptoms include  $\beta$ -adrenoceptor antagonists (typically propranolol), although they do not improve fatigue and muscle weakness. Propranolol should also control a high ventricular rate due to atrial fibrillation (see Chapter 8), and anticoagulation with warfarin should prevent thromboembolism, which has an increased incidence in people with both atrial fibrillation and thyrotoxicosis.

3. The clinical state should be stabilised with carbimazole and a  $\beta$ -adrenoceptor antagonist. Carbimazole is stopped at least 2 days before radioiodine is given, as it can prevent the uptake of iodine by thyroid cells. It can be restarted a week after radioiodine to cover the period of 8 weeks before radioiodine becomes effective.

## **FURTHER READING**

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- De Leo, S., Braverman, L.E., 2016. Hyperthyroidism. Lancet 388, 906–918.
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## Compendium of Thyroid and Antithyroid Drugs.

DRUG	CHARACTERISTICS
Thyroid Hormones	
Levothyroxine/thyroxine (T4)	Deiodinated in peripheral tissues to T3. Treatment of choice for maintenance therapy of hypothyroidism. Given orally.
Liothyronine (L-triiodothyronine) (T3)	More rapid onset of action than levothyroxine. Given orally, or by slow intravenous injection in hypothyroid coma.
Antithyroid Drugs	
All antithyroid drugs are given orally o used to treat the symptoms of thyr	nce daily. Beta-adrenoceptor antagonists such as propranolol (see Chapter 5) can be otoxicosis.
Carbimazole	Thionamide inhibitor of thyroid peroxidase. Rapidly converted to more active derivative, methimazole (thiamazole). First-line treatment for Graves' hyperthyroidism.
lodine and potassium iodide	Incorporated into thyroid hormones. Used as an adjunct to antithyroid drugs for 10–14 days before partial thyroidectomy, but should not be given for long-term treatment.
Propylthiouracil	Thionamide inhibitor of thyroid peroxidase. Used in people intolerant to carbimazole.

## Calcium Metabolism and Metabolic Bone Disease

## **Chapter Outline**

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## PHYSIOLOGY OF BONE TURNOVER

Bone matrix consists mainly of type I collagen, which is secreted by osteoblasts and assembled into crosslinked fibrils. Small amounts of other proteins and growth factors are also found in the matrix. This organic framework is then mineralized, giving it mechanical rigidity. The main bone mineral is a complex of Ca<sup>2+</sup> and phosphate, laid down as hydroxyapatite crystals.

Bone is constantly undergoing remodelling (bone turnover), which involves resorption and replacement of small areas of mineralized bone. Up to 10% of bone undergoes remodelling every year, with trabecular bone (mainly found in the ends of long bones, the vertebrae and the calcaneus) undergoing greater turnover than cortical bone (found in shafts of long bones and the femoral neck) which forms an envelope around the marrow cavity and has a lower surface area than trabecular bone. Due to its higher rate of remodelling, bone is lost more rapidly from sites rich in trabecular bone when there is increased bone turnover.

Fatigue loading of bone causes microdamage which allows the bone to dissipate energy and avoids major fracture. Remodelling repairs microdamage and maintains bone strength. Osteocytes are the predominant cell in bone. They are derived from osteoblasts after local formation of new bone. Microdamage causes local osteocytes to undergo apoptosis, which releases chemotactic factors that attract osteoclasts to begin bone resorption by secretion of hydrochloric acid and proteolytic enzymes through a specialised membrane called the ruffled border. Formation of the ruffled border depends on cytoskeletal organization in the osteoclast. When bone resorption is complete, the osteoclasts leave the bone Metabolic Bone Disease 485 Osteomalacia and Vitamin D Deficiency 485 Renal Bone Disease 485 Osteoporosis 485 Paget's Disease of Bone 487 Self-Assessment 487 Answers 488 Further Reading 489 Compendium of Drugs Used to Regulate Calcium Metabolism and in Metabolic Bone Disease 489

surface and undergo apoptosis. The process of resorption leaves trenches on the bone surface, and osteoblasts are recruited from mesenchymal precursors in the bone marrow to refill the trenches, in response to various coupling factors released by osteoclasts. The cycle of bone remodelling, which is essential for maintenance of Ca<sup>2+</sup> homeostasis, replacement of apoptotic osteoclasts and repair of bone microfractures, takes about 200 days.

There are many factors that regulate the balance of osteoblast and osteoclast activity. The final pathway is the balance between activity of the receptor activator of nuclear factor-κB (RANK) expressed by osteoclasts, and inhibition of osteoclast activity by osteoprotegerin (OPG) secreted by osteoblasts. RANK is activated by RANK ligand (RANKL) which is secreted by osteocytes, stromal cells and osteoblasts. OPG acts as a decoy receptor for RANKL. RANKL stimulates osteoclast activity and bone reabsorption via intracellular signalling molecules and is the major paracrine factor activating bone remodelling. OPG secreted by stromal cells and osteoblasts binds RANKL and prevents osteoclast activation.

Osteoblast proliferation and differentiation is dependent on several growth factors. Once mature, osteoblasts secrete members of the Wnt protein family. The stimulatory effect of Wnt proteins on bone formation is antagonised by sclerostin, which is secreted by osteocytes and inhibits bone formation.

The balance between osteoblast secretion of OPG and osteoclast expression of RANK is modulated by interactions among various hormones and cytokines. Bone resorption is increased by inflammatory cytokines such as interleukin-1 and tumour necrosis factor (TNF), as well as by parathyroid hormone, 1,25 hydroxyvitamin D, thyroid hormone and growth hormone. Resorption is decreased by oestrogen and testosterone.

## PLASMA CALCIUM HOMEOSTASIS

Calcium circulates in plasma partly bound to protein and the rest in the free ionised (and therefore active) form. The free Ca<sup>2+</sup> concentration in plasma is maintained within narrow limits principally by the actions of parathyroid hormone (PTH) and 1,25-dihydroxyvitamin D<sub>3</sub> (calcitriol). Calcitonin secretion (discussed later) also responds to changing plasma Ca<sup>2+</sup> concentrations, but it is less important in overall control of Ca<sup>2+</sup> homeostasis. Calcium in plasma undergoes dynamic exchange with Ca<sup>2+</sup> in the gut, renal tubules and bone. This is illustrated, with the main controlling factors, in Fig. 42.1.

## PARATHYROID HORMONE AND CALCIUM HOMEOSTASIS

Parathyroid hormone (PTH) is a polypeptide hormone and is the main physiological regulator of Ca<sup>2+</sup> in blood. It is secreted from parathyroid chief cells in response to a reduction of ionised Ca<sup>2+</sup> in plasma. PTH secretion is inhibited when the plasma Ca<sup>2+</sup> concentration rises. The main actions of PTH relating to calcium homeostasis are:

- Enhanced reabsorption of Ca<sup>2+</sup> from the kidney distal tubules with increased urinary phosphate excretion. This increases the plasma Ca<sup>2+</sup>/phosphate ratio and increases plasma free Ca<sup>2+</sup>.
- Mobilisation of Ca<sup>2+</sup> and phosphate from bone through stimulation of osteoclasts, which increases bone resorption. Osteoclasts do not have a receptor for PTH. PTH binds to stromal cells and osteoblasts and increases secretion of RANKL, and decreases secretion of OPG, which results in osteoclast activation.
- Stimulation of the synthesis of the biologically active form of vitamin D (calcitriol) in the kidney by upregulation of the enzyme responsible for 1α-hydroxylation.

The effect of PTH on the kidney occurs within minutes of PTH release, whereas that on bone begins after 1 to 2 hours.



**Fig. 42.1 Regulation of calcium metabolism.** A fall in plasma  $Ca^{2+}$  leads to increased release of parathyroid hormone *(PTH)* from the parathyroid gland, which increases calcitriol (1,25-dihydroxyvitamin D<sub>3</sub>) formation in the kidney. This in turn increases gut absorption of  $Ca^{2+}$ . PTH further increases bone mobilisation of  $Ca^{2+}$  to return plasma  $Ca^{2+}$  to normal. An increase in plasma  $Ca^{2+}$ , conversely, decreases PTH secretion. Calcitonin, secreted by the thyroid, decreases  $Ca^{2+}$  reabsorption from the kidney and decreases bone turnover. Drugs used for hypercalcaemia are indicated by *green arrows* and drugs for hypocalcaemia by *red arrows*.

#### VITAMIN D AND CALCIUM HOMEOSTASIS

Vitamin D (calciferol) is a group of compounds that have secosteroid nuclei (a steroid nucleus with one bond in the steroid ring broken). There are two precursors of active vitamin D: ergocalciferol and cholecalciferol. Ergocalciferol (vitamin D<sub>2</sub>) is derived from food and absorbed from the gut. However, given adequate ultraviolet B sunlight, the major source of vitamin D is conversion of 7-dehydrocholesterol in the skin to cholecalciferol (vitamin  $D_2$ ). Therefore vitamin D is really a skin-derived hormone rather than a vitamin, but this source was discovered after the dietary origins. Vitamins D<sub>2</sub> and D<sub>2</sub> are further metabolised in the liver to 25-hydroxyvitamin D<sub>3</sub> (calcidiol), and then in the kidney to 1,25-dihydroxyvitamin  $D_3$  (calcitriol). 1α-Hydroxylation in the kidney is an essential step for activation of vitamin D. PTH stimulates  $1\alpha$ -hydroxylase activity in the kidney, increasing the formation of calcitriol.

Calcitriol binds to specific vitamin D receptors, which belong to the nuclear receptor superfamily of steroid/thyroid hormone receptors, in the nuclei of target cells in most organs (see Chapter 1). The vitamin D–receptor complex acts as a transcription factor that activates various genes. Vitamin D increases the plasma concentration of  $Ca^{2+}$  by:

- facilitating absorption of Ca<sup>2+</sup> from the small intestine;
- enhancing Ca<sup>2+</sup> mobilisation from bone by increasing osteoclast numbers and activity.

In the kidney, vitamin D promotes phosphate retention, in contrast to the action of PTH, but does not influence Ca<sup>2+</sup> handling. Overall, vitamin D maintains the plasma concentrations of Ca<sup>2+</sup> and phosphate which in turn permits normal bone remodelling. Vitamin D has several other functions unrelated to plasma Ca<sup>2+</sup> and phosphate homeostasis, such as immune cell proliferation and differentiation.

#### CALCITONIN AND CALCIUM HOMEOSTASIS

Calcitonin is a polypeptide hormone secreted by the parafollicular cells of the thyroid when its calciumsensing receptors detect a rise in plasma Ca<sup>2+</sup>. The calcitonin receptor is found on osteoclasts and also in the kidneys and the brain, and receptor activation stimulates adenylyl cyclase. Calcitonin inhibits osteoclasts and reduces bone turnover, inhibits Ca<sup>2+</sup> absorption from the intestines, and also reduces Ca<sup>2+</sup> and phosphate reabsorption by the kidney.

## **HYPERCALCAEMIA**

The main causes of hypercalcaemia are:

increased resorption of Ca<sup>2+</sup> from bone, e.g. primary hyperparathyroidism, usually from parathyroid adenoma, which is the most common cause of hypercalcaemia; secretion of parathyroid-related hormone by cancer cells; and osteolysis, particularly in haematological malignancies;

- increased absorption of Ca<sup>2+</sup> from the gut through excessive use of vitamin D or in sarcoidosis;
- reduced renal excretion of Ca<sup>2+</sup>; for example, as caused by thiazide diuretics (see Chapter 14).

Hypercalcaemia occurs when the influx of Ca<sup>2+</sup> into the extracellular space exceeds the capacity to remove it. Prolonged moderate hypercalcaemia leads to a progressive decline in renal function, formation of renal stones and ectopic calcification (e.g. cornea, blood vessels). In primary hyperparathyroidism, osteoporosis is common and mainly affects cortical bone. Hypercalcaemia is often asymptomatic, but can cause polyuria, polydipsia, depression, peptic ulcer disease and musculoskeletal aches and pains. Severe hypercalcaemia causes anorexia, nausea, vomiting, constipation, drowsiness and confusion, eventually leading to coma. Hypercalcaemia impairs the ability of the kidney to reabsorb salt and water which, in conjunction with vomiting, can lead to depletion of plasma volume and acute kidney injury from poor kidney perfusion. Urgent treatment is indicated when the plasma Ca<sup>2+</sup> concentration rises above 3.5 mmol/L (normal <2.6 mmol/L) because sudden death from cardiac arrest can occur.

Hypercalcaemia will suppress PTH secretion and reduce plasma PTH concentration. By contrast, primary hyperparathyroidism produces hypercalcaemia associated with an elevated or inappropriately normal plasma PTH, which is almost diagnostic of the condition.

## ANTIRESORPTIVE DRUGS FOR TREATING HYPERCALCAEMIA

#### Bisphosphonates

Examples

alendronic acid, ibandronic acid, sodium clodronate, pamidronate disodium, risedronate sodium, zoledronic acid

Bisphosphonates have a major role in the treatment of osteoporosis, Paget's disease of bone, and both hypercalcaemia and pain from osteolytic bone metastases. Of the drugs considered here, sodium clodronate is only licensed in the UK for treatment of hypercalcaemia, alendronic acid is only licensed for treatment of osteoporosis, and risedronate sodium does not have a license for treatment of hypercalcaemia.

#### Mechanisms of action and effects

Bisphosphonates are pyrophosphate analogues that bind preferentially to Ca<sup>2+</sup>, the majority of which is in the bone matrix. They are mainly deposited on bone surfaces under osteoclasts, and are taken up by these cells and inhibit their resorptive action on bone. There are two different cellular actions of the drugs on osteoclasts, depending on the structure of the bisphosphonate.

 Amino-bisphosphonates (nitrogen-containing drugs: alendronic acid, disodium pamidronate, ibandronic acid, risedronate sodium, zoledronic acid) act by inhibition of the ATP-dependent enzyme farnesyl diphosphate synthase. This enzyme is in the 3-hydroxy-3-methyl-glutaryl-coenzyme A (HMG-CoA) reductase synthetic pathway that converts mevalonic acid to cholesterol. In particular, there is a reduction in farnesol and geranylgeraniol, intermediates in this pathway that are essential for connecting small proteins to the cell membrane. This inhibits subcellular protein trafficking required for normal osteoclast function. As a result, differentiation of osteoclast precursors is impaired, and mature osteoclasts have an abnormal cytoskeleton and reduced ability to form a ruffled border and reabsorb bone. Eventually, osteoclast apoptosis is increased.

 Non-nitrogen-containing drugs (sodium clodronate) affect metabolism within the osteoclast by forming a nonfunctional analogue of ATP that induces cell apoptosis. Sodium clodronate has a relatively weak antiresorptive action.

## **Pharmacokinetics**

Bisphosphonates are poorly absorbed from the gut, and oral formulations are best taken once weekly with the stomach empty to avoid binding by Ca<sup>2+</sup> in food. Alendronic acid, sodium clodronate, and risedronate sodium are only available in oral formulations, whereas pamidronate disodium and zoledronic acid are only formulated for intravenous use; ibandronic acid can be given orally or intravenously. Removal of most bisphosphonates from blood via the kidneys is rapid, but their effect is longer as a fraction remains tightly bound to Ca<sup>2+</sup> in bone for years. Oral bisphosphonates can reduce bone resorption for up to a week after a single dose. By contrast, ibandronic acid is effective for 1 to 3 months and zoledronic acid can suppress bone resorption for up to a year after a single dose.

## **Unwanted effects**

- Gastrointestinal disturbance, particularly nausea, abdominal pain, diarrhoea or constipation with the oral treatments. They can cause severe oesophagitis and oesophageal strictures, which can be minimised by swallowing the tablets intact with a full glass of water at least 30 minutes before food, followed by standing or sitting for at least 30 minutes. Onceweekly dosing reduces the risk of oesophageal damage.
- Headache, dizziness, vertigo, musculoskeletal pain.
- Transient pyrexia and influenza-like symptoms after intravenous infusion.
- Osteonecrosis of the jaw, especially after intravenous use in the treatment of cancer. Good oral hygiene will reduce the risk. Atypical femoral fractures (arising with very little trauma) and benign osteonecrosis of the external auditory canal are rare complications of prolonged treatment.

## Salmon Calcitonin (Salcatonin) Mechanism of action and effects

The actions of calcitonin on bone, gut and the kidneys to reduce plasma Ca<sup>2+</sup> concentrations have been discussed previously. Calcitonin begins to act within a few hours of administration, with a maximum effect within 12 to 24 hours. However, the hypocalcaemic effect during repeated administrations only lasts between 2 and 3 days. The loss of clinical response results from downregulation of calcitonin receptors on osteoclasts, leading to a rebound increase in bone resorption.

## **Pharmacokinetics**

Salcatonin is usually given by intramuscular or subcutaneous injection, although intravenous infusion can be used. The half-life is very short (about 20 minutes), as it is degraded to inactive fragments in the plasma and the kidneys.

## Unwanted effects

- Facial flushing occurs in most people.
- Headache, dizziness, fatigue.
- Nausea, vomiting, taste disturbance, abdominal pain, diarrhoea.

## Cinacalcet

## Mechanism of action and effects

Cinacalcet is a calcimimetic agent that increases the sensitivity of Ca<sup>2+</sup>-sensing receptors on chief cells of the parathyroid glands to extracellular Ca<sup>2+</sup>, which reduces PTH secretion. Cinacalcet reduces the serum Ca<sup>2+</sup> concentration in hyperparathyroidism but does not affect the bone or renal complications. Its main uses are in secondary hyperparathyroidism in end-stage renal failure treated by dialysis, parathyroid carcinoma and primary hyperparathyroidism when parathyroidectomy is not considered appropriate. Etelcalcetide is an alternative calcium mimetic for use in end stage renal failure.

## Pharmacokinetics

Cinacalcet has a long half-life of 30 to 40 hours and is metabolised in the liver by CYP3A4 as well as other CYP enzymes.

## Unwanted effects

- Dyspnoea, cough.
- Headache, dizziness, fatigue, paraesthesia, seizure.
- Anorexia, nausea, vomiting, abdominal pain, constipation or diarrhoea.
- Drug interactions with strong inhibitors of CYP3A4 such as erythromycin and ketoconazole which increase cinacalcet concentrations. Cinacalcet is also a strong inhibitor of CYP2D6 and will increase the plasma concentration of drugs metabolised by this enzyme such as tricyclic antidepressants and flecainide.

## TREATMENT OF HYPERCALCAEMIA

When possible, the primary cause should be corrected, for example removal of a parathyroid adenoma or treatment of myeloma. Oral Ca<sup>2+</sup> supplements, vitamin D and thiazide diuretics should be discontinued.

Mild hypercalcaemia (corrected serum Ca<sup>2+</sup> <3.0 mmol/L) is usually caused by primary hyperparathyroidism. Immediate treatment to reduce the serum Ca<sup>2+</sup> concentration is not required, but assessment of renal function and bone imaging for osteoporosis should be undertaken. Surgery to remove a parathyroid adenoma or hyperplastic parathyroid glands is indicated for symptomatic hypercalcaemia, if the person is younger than 50 years old or there is renal involvement (reduced glomerular filtration rate, nephrocalcinosis or nephrolithiasis) or osteoporosis. If serum Ca<sup>2+</sup> is only moderately elevated, and surgery is not immediately indicated, then medical management with regular monitoring of serum Ca<sup>2+</sup>, renal function and bone density is appropriate. Maintaining a high fluid intake, cautious vitamin D supplementation if there is associated vitamin D deficiency and avoidance of thiazide diuretics are important. Cinacalcet is a calcium mimetic that inhibits PTH secretion and reduces the serum Ca2+ concentration in hyperparathyroidism. Its main uses are for hypercalcaemia associated with parathyroid carcinoma, or long-term treatment of primary hyperparathyroidism when parathyroidectomy is not considered appropriate.

For treatment of moderate hypercalcaemia (3.0– 3.5 mmol/L), oral bisphosphonate may be sufficient. Corticosteroids such as prednisolone (see Chapter 44) are effective for lowering plasma Ca<sup>2+</sup> when vitamin D excess is an important factor, for example in sarcoidosis and for acute treatment of vitamin D overdose, or for hypercalcaemia associated with haematological malignancy such as myeloma or lymphoma. Corticosteroids probably act by reducing the effect of vitamin D on intestinal Ca<sup>2+</sup> transport but can take several days to work.

Most people with severe hypercalcaemia (>3.5 mmol/L) are fluid-depleted at presentation. Rehydration with large volumes of intravenous isotonic (0.9%) sodium chloride is essential; this also promotes a sodium-linked Ca<sup>2+</sup> diuresis in the proximal and distal renal tubules. Severe hypercalcaemia is usually due to increased bone resorption, and intravenous infusion of a bisphosphonate such as zoledronic acid or pamidronate disodium is the drug treatment of choice. Intravenous rehydration must be achieved before giving a bisphosphonate to avoid precipitation of calcium bisphosphonate in the kidney. Following a single intravenous infusion of bisphosphonate, the plasma Ca<sup>2+</sup> concentration usually falls gradually after 2 to 4 days, with a maximum effect after 4 to 7 days and a response that persists for 1 to 4 weeks after treatment. Because of the delay in onset of action of bisphosphonates, calcitonin can be given concurrently for an early effect. If the hypercalcaemia is refractory to bisphosphonates or they are contraindicated, such as in severe renal impairment, denosumab (see later) is an option to lower serum  $Ca^{2+}$ .

## **HYPOCALCAEMIA**

Hypocalcaemia is usually considered to be present when the serum Ca<sup>2+</sup> is less than 2.2mmol/L (when corrected for serum albumin concentration). There are two major underlying causes of hypocalcaemia:

- deficiency of PTH; for example, idiopathic hypoparathyroidism, after surgical parathyroid removal;
- deficiency of vitamin D (e.g. dietary deficiency, limited exposure to sunlight, renal failure (failure of 1α-hydroxylation)), which is associated with an increased serum PTH (secondary hyper parathyroidism).

Hypocalcaemia produces neuromuscular irritability with paraesthesia of the extremities or around the mouth, muscle twitching and cramps, carpopedal spasm and eventually tetany. When severe, it can produce seizures and cardiac dysrhythmias. Symptoms are most likely to arise when the serum Ca<sup>2+</sup> falls rapidly. Chronic hypocalcaemia, especially in congenital hypoparathyroidism, is associated with mental deficiency, seizures, intracranial calcification (e.g. choroid plexus) and ocular cataracts.

#### **DRUGS FOR HYPOCALCAEMIA**

#### Vitamin D compounds

## **Examples**

alfacalcidol (1α-hydroxycholecalciferol), calcitriol (1,25-dihydroxyvitamin D<sub>3</sub> or 1,25-dihydroxycholecalciferol), colecalciferol (vitamin D<sub>3</sub>), ergocalciferol (vitamin D<sub>3</sub>), paricalcitol

#### Mechanism of action

The mechanism of action of vitamin D was discussed earlier. A dose-related increase in Ca<sup>2+</sup> and phosphate absorption from the gut occurs at lower concentrations of vitamin D than those which stimulate bone resorption. Ergocalciferol is inactive and can only be used if 1 $\alpha$ -hydroxylation by the kidney is intact. In chronic kidney disease, the hydroxylated active forms (alfacalcidol or calcitriol) should be used. Paricalcitol is a synthetic vitamin D analogue used in chronic kidney disease; it binds to and activates the vitamin D receptor, but has less effect than natural vitamin D on the plasma Ca<sup>2+</sup> concentration.

#### **Pharmacokinetics**

The fat-soluble D vitamins are well absorbed orally in the presence of bile. They can also be given intravenously. Alfacalcidol and calcitriol have short half-lives (about 3 hours) and are metabolised and excreted mainly in the bile.

#### Unwanted effects

- Excessive dosing will produce hypercalcaemia.
- Excretion of vitamin D supplements in breast milk can cause hypercalcaemia in infants.

## **TREATMENT OF HYPOCALCAEMIA**

In addition to measures to correct hypocalcaemia, treatment should be directed to the underlying cause when possible. If there is concurrent hypomagnesaemia, it must be corrected; otherwise maintaining a normal serum Ca<sup>2+</sup> will be difficult.

Acute severe hypocalcaemia (corrected serum  $Ca^{2+}$  <1.9 mmol/L) sometimes occurs after parathyroidectomy. It should initially be treated with intravenous  $Ca^{2+}$  gluconate, followed by oral  $Ca^{2+}$  supplements. If PTH is deficient, then vitamin D supplements are given.

Mild hypocalcaemia (corrected serum  $Ca^{2+}$  1.9– 2.1 mmol/L) can be treated with oral  $Ca^{2+}$  supplements, taken between meals to avoid binding to dietary phosphate and oxalate, which forms salts that are poorly absorbed. In the absence of reversible pathology such as malabsorption due to coeliac disease, the mainstay of treatment for more severe or persistent hypocalcaemia is vitamin D supplements. Ergocalciferol or colecalciferol are given in large doses initially if there is vitamin D deficiency, and then at lower maintenance doses to maintain normocalcaemia. Oral  $Ca^{2+}$  supplements (as carbonate or citrate salts) are often used with vitamin D for the treatment of chronic hypocalcaemia.

For treatment of hypoparathyroidism, calcitriol or alfacalcidol is given, often with oral Ca<sup>2+</sup> supplements during the early phase of treatment, because there is deficient renal hydroxylation of vitamin D in hypoparathyroidism. A thiazide diuretic is sometimes used to reduce urinary Ca<sup>2+</sup> excretion during vitamin D therapy. Recombinant PTH is used by subcutaneous injection for replacement therapy when Ca<sup>2+</sup> and vitamin D supplementation do not control serum Ca<sup>2+</sup>.

## METABOLIC BONE DISEASE

#### **OSTEOMALACIA AND VITAMIN D DEFICIENCY**

Osteomalacia is the bone disease resulting from failure of adequate bone mineralisation due to prolonged lack of vitamin D. Bone pain and tenderness are prominent, and low plasma concentrations of Ca<sup>2+</sup> and phosphate produce proximal muscle weakness. In developing children whose growth plates have not fused, defective bone growth and shaping result in the bones becoming distorted (rickets). Treatment of osteomalacia is with vitamin D (ergocalciferol or colecalciferol) supplements, but it will take at least a year to achieve a normal bone structure.

Vitamin D deficiency is often present without symptoms of osteomalacia. It is most common in people with pigmented skin due to inadequate generation of cholecalciferol by ultraviolet B. In adults there is also an increased risk in the elderly who have less exposure to sunlight, obese people, those with malabsorption or renal disease, vegans or those who take certain anticonvulsants, rifampicin or antiretroviral drugs. Up to 50% of people in the UK are vitamin D deficient by the spring, but most are asymptomatic and vitamin D is restored in the summer.

The rising incidence of vitamin D deficiency in infants has led to a recommendation that vitamin D supplements should be routinely given to children under 5 years old. For adults, vitamin D replacement is usually reserved for those with symptoms potentially due to deficiency and when vitamin D deficiency might interfere with treatment of osteoporosis. Initially, loading doses of ergocalciferol or colecalciferol can be used, but lower doses are usually adequate for initiation of treatment.

## **RENAL BONE DISEASE**

Chronic renal disease, especially with an estimated glomerular filtration rate (eGFR) less than 30 mL/ minute per 1.73 m<sup>2</sup>, is associated with deficient renal activation of vitamin D and consequent hypocalcaemia. At the same time, reduced renal phosphate excretion leads to hyperphosphataemia. The low serum  $Ca^{2+}$  stimulates PTH secretion (secondary hyperparathyroidism) in order to raise the plasma  $Ca^{2+}$  concentration. The result is demineralisation of bone (renal bone disease) and soft tissue calcification from the increased plasma  $Ca^{2+}$ —phosphate product leading to calcium phosphate precipitation. Vascular calcification is associated with increased cardiovascular disease and premature death.

Treatment of renal bone disease requires a 1αhydroxylated vitamin D derivative (such as alfacalcidol or calcitriol). This will increase plasma Ca<sup>2+</sup> but does not affect the plasma phosphate, and an oral phosphate binder such as calcium acetate is used to reduce the plasma phosphate concentration to avoid tissue calcification. Alternative phosphate binders for people who are undergoing haemodialysis or ambulatory peritoneal dialysis are sevelamer, lanthanum or sucroferric oxyhydroxide.

#### **OSTEOPOROSIS**

Osteoporosis is the loss of bone mass due to reduced organic bone matrix and, consequently, mineral content, which decreases the mechanical strength of bone. It results from an imbalance between bone resorption and formation, and affects trabecular bone more than cortical bone due to its higher natural remodelling. Trabecular bone loss with osteoporosis in younger people predisposes to spontaneous vertebral fractures. In older people, cortical bone is also lost, increasing the risk of low-impact traumatic fracture, particularly of the forearm (Colles' fracture) and neck of the femur.

Peak bone mass is reached by age 25 to 35 years, and reduced bone mass is an inevitable part of the ageing process, beginning by age 40 years with loss of 1% of bone mass per year, with a marked acceleration in bone loss to 3% to 4% per year in women after the menopause. Predisposing factors to early onset osteoporosis include smoking, heavy alcohol intake (>3 units per day), malnutrition and low body weight and lack of exercise. Sometimes osteoporosis is secondary to other conditions such as myeloma or thyrotoxicosis, or occurs as a result of prolonged corticosteroid therapy (see Chapter 44).

The diagnosis of osteoporosis is usually made by estimating bone mineral density from dual-energy X-ray absorptiometry (DEXA) scanning. Bone mineral density is then compared with mean bone density in a young adult reference population, and a T-score is calculated (standard deviations of bone mineral density from the mean of the reference population):

- T-score of -1.0 or above is normal.
- T-score between -1.0 and -2.5 is called osteopenia (reduced bone mass).
- T-score of -2.5 or below indicates osteoporosis.

Once established, osteoporosis is difficult to reverse, and emphasis should be placed on prevention where possible.

#### **Drugs for Treating Osteoporosis**

These fall into two categories. Antiresorptive therapies (bisphosphonates, denosumab, raloxifene, oestrogen) decrease markers of bone formation and bone resorption. Anabolic therapies (calcitonin, teriparatide) lay down new bone and produce an increase in markers of bone formation and bone resorption.

#### **Bisphosphonates**

These have been discussed previously. Alendronic acid, ibandronic acid, risedronate sodium and zoledronic acid can all be used to prevent or treat osteoporosis.

## Denosumab

Mechanism of action. Denosumab is a human monoclonal antibody which binds specifically to RANKL and prevents activation of the RANK receptor on osteoclasts. Maturation of osteoclasts is impeded, their function is inhibited and their survival reduced. Resorption of both cortical and trabecular bone is decreased, and denosumab reduces both vertebral and nonvertebral fractures.

**Pharmacokinetics.** Denosumab has a very long halflife of about 26 days and is given subcutaneously twice a year.

#### Unwanted effects

- Abdominal discomfort, constipation.
- Dyspnoea.
- Increased frequency of urinary tract and upper respiratory tract infections.
- Limb pains, sciatica.
- Hypocalcaemia, hypophosphataemia especially in the first weeks of treatment.
- Skin reactions.
- Cataracts.
- Like bisphosphonates, denosumab can cause osteonecrosis of the jaw, particularly when used to treat bony metastases. Atypical femoral fractures and osteonecrosis of the external auditory canal are also reported.

## Raloxifene

**Mechanism of action.** Raloxifene is a selective oestrogen receptor (ER) modulator. It is a partial agonist of ER $\alpha$  (which acts as a gene activator), but also an antagonist of ER $\beta$  by recruiting corepressor molecules (which suppresses gene transcription). Raloxifene has ER agonist effects on bone and lipids (reducing lowdensity lipoprotein cholesterol) but acts as an antioestrogen on the breast and endometrium. Raloxifene reduces the risk of vertebral fractures by 40%, but has no effect on nonvertebral fractures, which may reflect the tissue distribution of ER subtypes. Raloxifene also reduces the risk of ER-positive breast cancer in postmenopausal women by 75%, but its effects on preexisting breast cancer are unknown. It does not affect menopausal vasomotor symptoms.

**Pharmacokinetics.** Raloxifene undergoes first-pass metabolism by conjugation, but systemic deconjugation creates active raloxifene. It undergoes enterohepatic cycling, giving it a long half-life of 28 hours. It is excreted in faeces.

## **Unwanted effects**

- Hot flushes.
- Leg cramps, oedema.
- Raloxifene doubles the risk of venous thromboembolism, particularly during the first 4 months of treatment, and increases the risk of fatal stroke.

#### Prevention of Osteoporosis

Preventive strategies are important in those identified as being at high risk of osteoporosis. Lifestyle modification is important, with attention to exercise, smoking, alcohol and dietary intake of Ca<sup>2+</sup>. Use of a prediction tool for calculating the risk of future fractures can help to target treatment. One such tool is FRAX (www.sheffield.ac.uk/FRAX/), developed to predict the risk of both fractures and falls. Early use of preventive treatments is particularly important if prolonged corticosteroid treatment is planned (see Chapter 44).

 Oral Ca<sup>2+</sup> supplements increase bone mineral density in the spine in postmenopausal women, but with an uncertain effect on the risk of vertebral fractures. The addition of vitamin D (ergocalciferol) confers greater benefit, with a reduction in the risk of nonvertebral fractures. There has been some concern that high-dose Ca<sup>2+</sup> supplements may increase the risk of myo-cardial infarction, but this is unlikely to be significant.

- Oral bisphosphonates (discussed previously) are the treatment of choice for prevention of corticosteroid-induced osteoporosis.
- Hormone-replacement therapy (HRT) with oestrogen (see Chapter 45) in peri- and postmenopausal women was once the mainstay of preventative treatment for osteoporosis. However, 5 to 10 years of oestrogen therapy may be required, and long-term use of HRT increases the risk of breast cancer and thromboembolic events. As a consequence, the use of HRT for this indication has declined.

## Management of established osteoporosis and fragility fractures

Management of osteoporosis includes nonpharmacological approaches, such as removing lifestyle and drug factors that increase the risk of demineralisation. Pain relief is important if there are fractures, and salmon calcitonin given subcutaneously can aid pain relief when used for up to 3 months after a vertebral fracture. Drug treatment to prevent further bone loss can reduce the risk of further fractures by up to 50%. The choice of drug treatment depends on the clinical circumstances. Calcium and vitamin D supplements reduce hip fractures in house-bound elderly people and are often used together with other drug therapy.

- Bisphosphonates are the first-line treatment for prevention and treatment of established osteoporosis in men and women. They are also first choice for the prevention and management of corticosteroid-induced osteoporosis. Intravenous bisphosphonates such as zoledronic acid once a year or ibandronic acid every 3 months are used when oral treatment is poorly tolerated. If there has been a good response in bone mineral density after 5 years (3 years for zoledronic acid), then treatment is usually stopped for 3 to 5 years while monitoring markers of bone turnover. This strategy does not increase the risk of subsequent fractures. Bisphosphonates reduce hip, vertebral and wrist fractures.
- Denosumab is used for postmenopausal osteoporosis when bisphosphonates are not tolerated. Denosumab is also used for treatment of osteoporosis in men, including those undergoing androgen-depletion therapy for prostate cancer. Unlike bisphosphonates, bone protection with denosumab is soon lost when treatment is stopped with rapid subsequent bone loss, so an alternative treatment should be started if denosumab is discontinued. Denosumab treatment should be reviewed after 3 years, but the optimal duration is currently uncertain.
- Raloxifene is used for secondary prevention of fragility fractures in postmenopausal women who cannot tolerate bisphosphonates.

- Teriparatide is a synthetic recombinant fraction of PTH (amino acids 1–34) that is used mainly for severe osteoporosis when antiresorptive therapy has failed or is not tolerated. It is given daily by subcutaneous injection for up to 2 years, after which it is ineffective. The most common unwanted effects are nausea, oesophageal reflux, postural hypotension, dyspnoea, depression and dizziness. Human recombinant PTH is an alternative option, and can also be given by subcutaneous injection.
- Calcitriol is an option when bisphosphonates are unsuitable and is given for postmenopausal and corticosteroid-induced osteoporosis.
- Testosterone (see Chapter 46) is sometimes used for prophylaxis and treatment of corticosteroidinduced osteoporosis in men with hypogonadism.

#### PAGET'S DISEASE OF BONE

Paget's disease of bone is a disturbance of bone remodelling that is characterised by both excessive bone reabsorption by osteoclasts and an increase in formation of poor-quality bone that has a mosaic appearance on microscopy. There are three phases of abnormal bone formation: the first is characterised by bone lysis by osteoclasts; the second is a mixture of lysis and rapid new bone formation; and the third is the sclerotic phase with predominant new bone formation. In the sclerotic phase, the new bone matrix is nonlamellar woven bone (haphazard organisation of the collagen matrix due to rapid bone formation) with areas of osteosclerosis, that leave bone that is structurally weakened and prone to fracture. The condition is often suspected by the incidental finding of a raised plasma alkaline phosphatase of bone origin with no obvious explanation. Paget's disease mainly affects the skull and long bones. The aetiology is unknown, but there is a genetic predisposition and a viral infection or other environmental factors may initiate the disease.

About a third of pagetic bone lesions are asymptomatic, but the remainder can produce bone pain and deformity, nerve entrapment and pathological fractures. Active treatment should be given if symptoms are present or a risk of complications is identified. Apart from symptomatic measures such as analgesics, bisphosphonates are used to inhibit bone resorption, with zoledronic acid often the first-choice treatment. Bisphosphonates achieve biochemical normality and often relieve pain, but it is unclear if they reduce bone deformity or decrease the risk of fractures.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Hypocalcaemia develops when there is a deficiency in PTH or vitamin D activity.
- 2. Vitamin D deficiency can lead to hypoparathyroidism.
- 3. Calcitonin decreases Ca<sup>2+</sup> resorption in the kidney.

- 4. Bisphosphonates rapidly lower blood Ca<sup>2+</sup> levels.
- 5. Oestrogens maintain bone density by directly enhancing Ca<sup>2+</sup> absorption from the intestine.
- 6. Raloxifene stimulates ERs on bone, breast and uterine tissue.
- 7. Denosumab binds to RANKL and reduces osteoclast activity.
- 8. Teriparatide is used in the treatment of postmenopausal osteoporosis.

## **ONE-BEST-ANSWER (OBA) QUESTIONS**

- Which nitrogen-containing bisphosphonate is formulated for use either orally or intravenously?
  A. Alendronic acid.
  - B. Ibandronic acid.
  - C. Pamidronate disodium.
  - D. Risedronate sodium.
  - E. Zoledronic acid.
- 2. Identify the accurate statement below concerning drugs and osteoporosis.
  - A. Oral prednisolone reduces the risk of osteoporosis.
  - B. Denosumab is used when bisphosphonates are not tolerated.
  - C. Bisphosphonates enhance Ca<sup>2+</sup> mobilisation in bone.
  - D. Raloxifene reduces the risk of thromboembolism.
  - E. Bisphosphonates have few adverse effects.
- 3. Identify the inaccurate statement below concerning osteomalacia and rickets.
  - A. Lack of sunlight can contribute to osteomalacia.
  - B. Renal failure reduces the effectiveness of ergocalciferol treatment in osteomalacia.
  - C. Intestinal absorption of Ca<sup>2+</sup> is decreased in osteomalacia.
  - D. Vitamin D promotes bone mineralisation.
  - E. Osteomalacia results in low circulating PTH.

## **ANSWERS**

## **TRUE/FALSE ANSWERS**

- 1. **True.** Deficiencies in the production of PTH or vitamin D, or in the responsiveness of their target tissues, cause hypocalcaemia.
- 2. False. Vitamin D deficiency leads to hyperparathyroidism, which may assist in reducing the worst effects of vitamin D deficiency. PTH increases calcitriol formation, and calcitriol has a negative feedback effect on PTH.
- 3. **True.** Calcitonin reduces Ca<sup>2+</sup> resorption and inhibits bone turnover.
- 4. **False.** Bisphosphonates inhibit bone dissolution and their effects occur slowly; plasma Ca<sup>2+</sup> concentrations fall slowly, with a maximum effect after about a week.
- 5. **False.** Oestrogens inhibit the cytokines that recruit the bone-resorbing osteoclasts. Oestrogens also inhibit the actions of PTH.

- 6. **False.** Raloxifene has been licensed to increase bone density in postmenopausal women. It is a selective oestrogen receptor modulator (SERM) active on ERs in bone but without stimulant effect on ERs in the breasts and uterus.
- 7. **True.** Denosumab prevents activation of RANK receptors on osteoclasts by RANKL, and reduces osteoclast proliferation, function and survival.
- 8. **True.** Teriparatide is a recombinant version of amino acids 1–34 of PTH and is given daily by subcutaneous injection for postmenopausal osteoporosis.

## **OBA ANSWERS**

- 1. **Answer B** is correct. Ibandronic acid (answer B) is a potent bisphosphonate formulated for oral and intravenous use. The other bisphosphonates are formulated for use either orally (answers A and C) or intravenously (answers D and E).
- 2. Answer B is the accurate statement.
  - A. Incorrect. Long-term use of high doses of systemic corticosteroids can reduce the number of osteoclasts/osteoblasts, decrease Ca<sup>2+</sup> absorption, increase renal Ca<sup>2+</sup> excretion and increase bone resorption, enhancing osteoporosis risk.
  - B. **Correct**. Denosumab is often used for treatment of established osteoporosis when bisphosphonates are contraindicated or poorly tolerated.
  - C. Incorrect. Bisphosphonates reduce bone Ca<sup>2+</sup> mobilisation and are particularly useful in corticosteroid-induced osteoporosis.
  - D. Incorrect. Raloxifene is a partial agonist at oestrogen ER $\alpha$  receptors and an antagonist at ER $\beta$  receptors; it can result in hot flushes, leg cramps and thromboembolism in some women.
  - E. Incorrect. Oral bisphosphonates can cause gastrointestinal disturbance and oesophageal damage; intravenous bisphosphonates cause transient pyrexia, and long-term use may lead to osteonecrosis of the jaw and atypical femoral fractures.
- 3. **Answer E** is the inaccurate statement.
  - A. Correct. Sunlight is involved in the formation of cholecalciferol in the skin, which is then converted to active vitamin D compounds in the liver and kidneys.
  - B. Correct. Ergocalciferol is  $1\alpha$ -hydroxylated in the kidney to calcitriol before it can exert its biological activity. In renal failure, if  $1\alpha$ -hydroxylase activity is defective, hydroxylated forms (alfacal-cidol or calcitriol) may have to be substituted.
  - C. Correct. Because of the lack of active vitamin D formed in the kidney, less Ca<sup>2+</sup> and phosphate will be absorbed from the gut.
  - D. Correct. Vitamin D promotes bone mineralisation by enhancing the laying down of hydroxyapatite on the collagen organic matrix.
  - E. **Incorrect**. Vitamin D deficiency reduces plasma Ca<sup>2+</sup> and results in higher levels of PTH (second-ary hyperparathyroidism).

## **FURTHER READING**

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## Compendium of Drugs Used to Regulate Calcium Metabolism and in Metabolic Bone Disease.

DRUG	CHARACTERISTICS
Bisphosphonates	
Bisphosphonates are tightly ac eliminated very slowly (years,	lsorbed onto hydroxyapatite crystals in bone and reduce bone turnover; the adsorbed fraction is ).
Alendronic acid (alendronate)	First-line option for the prevention and treatment of osteoporosis. Given orally.
Clodronate (sodium)	Used for hypercalcaemia of malignancy. Given orally.
Ibandronic acid	Potent bisphosphonate. Used for osteoporosis, hypercalcaemia of malignancy, and for bone metastases in breast cancer. Given orally or intravenously.
Pamidronate (disodium)	Used for hypercalcaemia of malignancy, Paget's disease and bone metastases of breast cancer or multiple myeloma. Given by slow intravenous infusion.
Risedronate sodium	Potent bisphosphonate. Given orally for the prevention and treatment of osteoporosis and Paget's disease.
Zoledronic acid	Used for prevention and treatment of osteoporosis, Paget's disease, hypercalcaemia of malignancy, and reduction of bone damage in advanced malignancies. Given by intravenous infusion (annually for osteoporosis).
Calcitonin and Parathyroid Hormo	ones
Calcitonin (salmon)/ salcatonin	Involved with parathyroid hormone in regulation of bone turnover. Used to lower plasma Ca <sup>2+</sup> in hypercalcaemia and for treatment of Paget's disease. Given intranasally, by subcutaneous or intramuscular injection or by slow intravenous infusion.
Parathyroid hormone	Human recombinant parathyroid hormone. Given by subcutaneous injection for the treatment of postmenopausal osteoporosis.
Teriparatide	A recombinant fragment (amino acids 1–34) of parathyroid hormone. Given by subcutaneous injection for postmenopausal and corticosteroid-induced osteoporosis.
Calcium Mimetic Agents	
Calcium mimetics increase the serum Ca <sup>2+</sup> levels.	sensitivity of Ca <sup>2+</sup> -sensing receptors in parathyroid chief cells and reduce PTH secretion and
Cinacalcet	Used for hyperparathyroidism. Given orally.
Etelcalcetide	Used as an alternative to cinacalcet in patients with end-stage renal disease undergoing haemodialysis. Given intravenously.
Vitamin D Compounds	
Because vitamin D requires me impairment.	etabolic activation in the kidneys, alfacalcidol or calcitriol should be used in severe renal
Alfacalcidol	1α-Hydroxycholecalciferol; oxidised by a 25-hydroxylase to active calcitriol. Given orally or by intravenous injection.
Calcitriol	$1\alpha$ ,25-Dihydroxycholecalciferol. Given orally or by intravenous injection.
Colecalciferol (vitamin $D_{3}$ )	Oxidised to 25-hydroxycholecalciferol (calcidiol), then to active 1,25-dihydroxyvitamin D <sub>3</sub> (calcitriol) in the kidney. Given orally or by intravenous injection.
Dihydrotachysterol	Synthetic analogue of vitamin $D_2$ . Given orally.
Ergocalciferol (calciferol; vitamin D <sub>2</sub> )	Metabolised to cholecalciferol, then to active 1,25-dihydroxyvitamin D <sub>3</sub> (calcitriol) in liver and kidney. Given orally or by intravenous injection.
	Continued

E.

Compendium of Drugs Used to	<b>Regulate Calcium</b>	Metabolism and in	Metabolic Bone Disease-	-cont'd.

DRUG	CHARACTERISTICS	
Paricalcitol	Synthetic vitamin D analogue (19-nor-1α-25-dihydroxyvitamin D <sub>2</sub> ); binds to vitamin D receptor and inhibits parathyroid hormone synthesis. Used for secondary hyperparathyroidism in chronic renal failure. Given by slow intravenous injection.	
Other Drugs Affecting Bone Metabolism		
Denosumab	Human monoclonal antibody that blocks interaction of RANK and RANK ligand. Used for treatment of osteoporosis. Given subcutaneously twice yearly.	
Raloxifene	SERM. Used for the treatment and prevention of postmenopausal osteoporosis; does not affect menopausal vasomotor symptoms. Given orally.	

RANK, Receptor activator of nuclear factor-κB; SERM, selective oestrogen receptor modulator.

## **Pituitary and Hypothalamic Hormones**



## **Chapter Outline**

Anterior Pituitary and Related Hypothalamic Hormones 491 Growth Hormone 491 Adrenocorticotropic Hormone 493 Prolactin 494 Gonadotropin-Releasing Hormone 494 Gonadotropins 495 Infertility 496 Posterior Pituitary Hormones 497

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## ANTERIOR PITUITARY AND RELATED HYPOTHALAMIC HORMONES

The hypothalamus produces several hormones that control the release of other hormones from the pituitary. Most are covered in this chapter except thyrotropin and thyrotropin-releasing hormone, which are considered in Chapter 41.

## **GROWTH HORMONE**

Growth hormone (GH), or somatotropin, is a peptide that is synthesised in specific somatotropic cells in the anterior pituitary. Its secretion is controlled by the hypothalamus by a balance between growth hormonereleasing hormone (GHRH) and somatostatin (SST), also called growth hormone release-inhibiting hormone (GHRIH; Fig. 43.1). The balance of GHRH and somatostatin is maintained by the stimulant effects on GH secretion of nutrition, sleep and exercise, modulated by the inhibitory effects of free fatty acids, glucose and glucocorticoids.

GH is released in pulses repeatedly throughout the day and night. Like other peptide hormones, GH binds to cell surface receptors (GHR; a class 1 cytokine receptor) and activates diverse intracellular pathways, one of the most important leading to production in the liver and secretion of insulin-like growth factor 1 (IGF-1, a somatomedin). IGF-1 mediates many, but not all, of the effects of GH.

GH stimulates cell growth and division and has anabolic actions on protein metabolism that promote growth in most tissues, increasing skeletal muscle mass and stimulating epiphyseal cartilage growth (Box 43.1). IGF-1 also binds to the insulin receptor and promotes hepatic gluconeogenesis. These actions have encouraged some athletes to misuse GH to increase muscle mass. GH is also a stress hormone that mobilises glucose by reducing liver uptake and promotes lipolysis that increases circulating free fatty acids.

## **Growth Hormone for Therapeutic Use**

Somatropin (recombinant human GH)

Somatropin has several therapeutic uses in children with growth failure or short stature. These include:

- to improve linear growth in children with proven GH deficiency, which is usually due to lack of GHRH (pituitary dwarfism);
- chronic renal insufficiency before puberty;
- the genetic conditions Turner's syndrome and Prader–Willi syndrome.

To be effective, the hormone must be given before the closure of the epiphyses in long bones. Treatment should be stopped if growth velocity does not increase by at least 50% from baseline.

Adult GH deficiency may warrant treatment with somatropin if all the following criteria are fulfilled:

- severe GH deficiency;
- impaired quality of life;
- already receiving treatment for another pituitary hormone deficiency.

A recombinant form of IGF-1 (mecasermin) is used for primary IGF-1 deficiency in children, for children with GH gene deletion or those who have developed neutralising antibodies to GH.

## **Pharmacokinetics**

Somatropin is given by daily subcutaneous injection. Intravenous somatropin has a very short half-life (0.4 hours), but when given subcutaneously its half-life is



**Fig. 43.1** Control mechanisms for the release of growth hormone, gonadotropins and prolactin from the anterior pituitary. For control of other hormones, see Chapter 41 (thyroid) and Chapter 44 (adrenocorticotropic hormones). Oestrogen effects on gonadotropin-releasing hormone (*GnRH*) are shown as both positive and negative because oestrogen suppresses luteinizing hormone (*LH*) secretion in the early follicular phase but enhances secretion around ovulation (see Chapter 45). The actions of drugs are shown by *red arrows*. Gonadorelin is a synthetic GnRH, and buserelin and goserelin are GnRH analogues. Pulsatile administration of GnRH or its analogues enhances LH/follicle-stimulating hormone (*FSH*). On continuous administration, they downregulate the GnRH receptors, inhibiting LH/FSH release, an effect produced more rapidly by GnRH antagonists (cetrorelix, ganirelix). Somatropin is a synthetic growth hormone (GH), and mecasermin is a recombinant version of human insulin-like growth factor 1 (*IGF-1*), the somatomedin that mediates most of the somatotropic effects of GH. Octreotide and lanreotide are analogues of somatostatin (*SST;* also called *GHRIH*, growth hormone release-inhibiting hormone) that suppress GH release, and pegvisomant is a GH receptor antagonist. For details of dopamine (*DA*) agonists such as cabergoline, see Chapter 24. Dopamine stimulates GH release in healthy individuals, but paradoxically in acromegaly it inhibits release. *GHRH*, Growth hormone-releasing hormone; *HCG*, human chorionic gonadotropin; *HMG*, human menopausal gonadotropins (menotrophin).

## Box **43.1** Effects of Growth Hormone.

- Anabolic effects on protein synthesis in muscle
- Increases bone growth and mineralisation
- Increases lipolysis
- Stimulates growth of most internal organs
- Reduces liver uptake of glucose and promotes gluconeogenesis
- Stimulates the immune system

2 to 3 hours due to slow absorption from the injection site.

## Unwanted effects

 Headache, which is occasionally associated with visual problems, nausea and vomiting and papilloedema from idiopathic intracranial hypertension.

- Fluid retention with peripheral oedema.
- Arthralgia, myalgia, carpal tunnel syndrome.

### Acromegaly

Acromegaly results from excessive production of GH, almost always by an adenoma in the anterior pituitary, which also secretes prolactin in one-third of cases (see Fig. 43.1). The most common clinical features arise insidiously from excessive growth of bone and soft tissue. These include dental malocclusion, carpal tunnel syndrome and large joint arthritis. Comorbidities include insulin resistance leading to type 2 diabetes mellitus, hypertension, sleep apnoea and headache.

Without treatment, people with acromegaly have a life expectancy approximately half that of the general population, due to an excess incidence of cardiovascular and respiratory disease and of carcinoma of the colon. Acromegaly is therefore usually treated actively.

## Drugs for Acromegaly Somatostatin analogues

## Examples

lanreotide, octreotide

**Mechanisms of action and uses.** The synthetic derivatives of somatostatin (SST) are both more potent and longer-acting inhibitors of GH secretion than the native compound. Like SST, they also inhibit the release of gastrointestinal peptide hormones, such as insulin, enteroglucagon, cholecystokinin, gastrin, secretin, gastric inhibitory polypeptide (GIP) and vasoactive intestinal peptide (VIP). These actions make SST analogues useful for the treatment of a variety of conditions associated with excess secretion of gut hormones.

Uses of SST analogues include:

- Management of acromegaly.
- Management of other endocrine tumours for example, carcinoid tumours (to reduce flushing and diarrhoea), VIPoma (to reduce diarrhoea) and glucagonoma (to improve the characteristic necrolytic rash).
- Management of medullary thyroid tumours (lanreotide) and prevention of complications following pancreatic surgery (octreotide).
- Octreotide is sometimes used to stop bleeding from oesophageal varices, although terlipressin is preferred (see Chapter 36).

**Pharmacokinetics.** Octreotide is given by subcutaneous injection. It has a short half-life (1–2 hours) but suppresses GH secretion for up to 8 hours so it is used three times daily. An intramuscular depot preparation can be given every 4 weeks. Lanreotide also has a short half-life (1–2 hours) and is formulated as a sustainedrelease preparation given by intramuscular injection every 1 to 4 weeks, depending on the indication.

## **Unwanted effects**

- Gastrointestinal upset is common, especially anorexia, nausea, vomiting, abdominal pain, constipation and diarrhoea. These usually resolve with continued treatment.
- Impaired glucose tolerance and diabetes mellitus.
- Gallstones, due to suppression of cholecystokinin secretion with decreased gallbladder motility. In addition, an increase in bowel transit time alters colonic flora and makes bile salts more lithogenic.
- Headache, dizziness, fatigue, myalgia.

#### Growth hormone receptor antagonist

	Example
peg	visomant

**Mechanism of action.** Pegvisomant is a pegylated synthetic analogue of GH that acts as a highly selective GH receptor antagonist.

**Pharmacokinetics.** Pegvisomant is given by daily subcutaneous injection. It is formulated with polyethylene glycol polymers which slow clearance from the blood, giving a very long half-life of 6 days.

## Unwanted effects

- Nausea, vomiting, dyspepsia, abdominal distension, diarrhoea, constipation.
- Hypertension.
- Headache, dizziness, fatigue, drowsiness, tremor, sleep disturbance.
- Influenza-like symptoms, arthralgia, myalgia.
- Weight gain, hypo- or hyperglycaemia.

## Dopamine receptor agonists

In healthy people, dopaminergic receptor stimulation increases the secretion of GH, but in acromegaly there is a paradoxical decrease. Carbergoline is most widely used. Further details of these drugs can be found in Chapter 24.

#### **Treatment of Acromegaly**

Surgery by the trans-sphenoidal route is the usual treatment of choice, sometimes followed by external radiotherapy if the tumour is large.

Three groups may be suitable for drug treatment:

- Those in whom an excess of GH persists despite surgery and radiotherapy. After radiotherapy, the plasma GH concentration can take 1 to 2 years to fall to normal.
- Those with mild acromegaly in whom surgery is not contemplated.
- The elderly.

SST analogues (octreotide or lanreotide) are the firstline drug treatment. Cabergoline is sometimes used together with an SST analogue when there is resistance to treatment. Pegvisomant is used when there is intolerance or failure to respond to an SST analogue. Pasireotide (a multi-ligand somatostatin analogue, given every 4 weeks by intramuscular injection) may also have a role in treatment failure. The effectiveness of treatment of acromegaly is monitored by the plasma concentration of IGF-1.

## ADRENOCORTICOTROPIC HORMONE

Adrenocorticotropic hormone (ACTH; corticotropin) is a single-chain polypeptide with 39 amino acids, of which the 24 that form the N-terminal region are essential for full biological activity. It acts via specific cell surface receptors to promote steroidogenesis in adrenocortical cells, producing glucocorticoids and androgens. Release of ACTH occurs in response to the hypothalamic peptide corticotropin-releasing hormone (CRH). CRH secretion is pulsatile and has a diurnal rhythm, with maximal release in the morning around the time of waking (see further details in Chapter 44). The release of CRH is also affected by other factors, including chemical (e.g. antidiuretic hormone, opioid peptides), physical (e.g. heat, cold, injury) and psychological influences. The main inhibitory influence on ACTH release is negative feedback control by

circulating glucocorticoids. This occurs at both hypothalamic and pituitary levels. Adrenal androgens, although stimulated by ACTH, play no part in feedback control.

#### Adrenocorticotropic Hormone for Therapeutic Use

1	Example		
tetra	acosactide		

Tetracosactide is a synthetic peptide analogue which consists of the active N-terminal amino acids 1–24 of the ACTH molecule.

#### **Pharmacokinetics**

There are two formulations of tetracosactide: a rapidacting formulation that can be given by intravenous or intramuscular injection, and a depot formulation given by intramuscular injection. Tetracosactide is metabolised rapidly with a very short half-life of about 10 minutes.

#### Unwanted effects

Prolonged use will produce all the features of corticosteroid excess (see Chapter 44).

### Uses of tetracosactide

- The rapid-acting form increases steroidogenesis for about an hour and is suitable for tests of adrenocortical function. In adrenal insufficiency, there is a subnormal or no rise of plasma cortisol 30 minutes after intramuscular or intravenous injection of tetracosactide.
- The depot formulation is absorbed slowly into the circulation over several hours and can be used as an alternative to oral corticosteroid therapy. However, the unpredictable corticosteroid response means that the therapeutic value is limited.

#### PROLACTIN

Prolactin is a glycoprotein similar in structure to GH but secreted by distinct lactotroph cells in the anterior pituitary (see Fig. 43.1). Release of prolactin is stimulated mainly by suckling and to a lesser extent by eating and sexual intercourse through direct neuronal stimulation of the hypothalamus. The main local control mechanism is inhibitory through dopamine released by the hypothalamus, which inhibits prolactin secretion via D<sub>2</sub> receptors on lactotrophs.

The main target tissue for prolactin is the breast, which produces milk in response to prolactin. During pregnancy, high circulating concentrations of oestrogen cause lactotroph hyperplasia and increase prolactin secretion 10- to 20-fold, but oestrogen also inhibits its actions on breast tissue. At delivery, the maternal plasma prolactin concentration remains high, but there is an abrupt drop in oestrogen production so that prolactin can induce lactation. Prolactin continues to be released as long as suckling continues. A high plasma concentration of prolactin suppresses gonadotropin releasing hormone (GnRH) secretion from the hypothalamus and leads to a failure of ovarian follicle growth. This may explain the relative subfertility of women who are breastfeeding.

Prolactin has several other functions, including sexual gratification after intercourse, contributing to production of surfactant in the fetal lung and proliferation of oligodendrocytes that form the neural myelin sheath in the central nervous system.

#### Hyperprolactinaemia

Persistent hyperprolactinaemia in the absence of pregnancy or breastfeeding is usually caused by a microadenoma of the anterior pituitary or by the action of dopamine receptor antagonist drugs such as phenothiazines (see Chapter 21). In younger women, hyperprolactinaemia can produce amenorrhoea, infertility and signs and symptoms of oestrogen deficiency (e.g. vaginal dryness and dyspareunia, galactorrhoea and osteoporosis). In men it may cause hypogonadism and impotence. The pituitary adenoma may also cause headache or visual disturbance.

Management is aimed at normalizing serum prolactin concentration to restore gonadal function and fertility. When relevant, a provoking drug should be withdrawn. For a microadenoma, a dopamine  $D_2$  receptor agonist such as cabergoline or quinagolide (see Chapter 24) can be used to suppress prolactin secretion and shrink the adenoma. Normal plasma prolactin concentration is achieved in up to 90% of cases using cabergoline or quinagolide. If serum prolactin concentrations are stable after at least 2 years of treatment and MRI scanning shows no or minimal tumour in the pituitary, then drug withdrawal can be attempted followed by close monitoring. Pituitary surgery may be considered for treatment failure, or when there is a large adenoma that is producing pressure effects.

#### **GONADOTROPIN-RELEASING HORMONE**

Gonadotropin-releasing hormone (GnRH) is a decapeptide that is synthesised in the hypothalamus. GnRH is released in pulses into the hypophyseal portal circulation and reaches the gonadotrope cells of the anterior pituitary, where it stimulates the synthesis and release of both luteinising hormone (LH) and follicle stimulating hormone (FSH) (see Fig. 43.1). In men, pulse frequency remains constant, whereas in women it varies through the menstrual cycle with a surge just before ovulation (see Chapter 45).

Receptors on gonadotrope cells are upregulated by repeated stimulation with GnRH, but pulsatile exposure is essential to maintain responsiveness. Low-frequency pulses stimulate FSH release, and high-frequency pulses stimulate LH release. There is rapid tolerance to constant-rate infusions of GnRH because of downregulation of gonadotrope cell surface receptors. Therapeutic administration of GnRH can be used to mimic pulsatile receptor stimulation or produce receptor downregulation, and these regimens have different clinical uses, as
described later. There is negative feedback control of GnRH release via several neural pathways, the hypothalamic protein kisspeptin and oestrogen (see Fig. 43.1).

#### **GnRH-Related Products for Therapeutic Use** Synthetic GnRH (gonadorelin)

Synthetic GnRH is available for assessing hypothalamic–pituitary function and is given as a subcutaneous or intravenous injection. Unwanted effects are unusual, but include nausea, headaches and abdominal pain.

#### Gonadorelin analogues

#### **Examples**

buserelin, goserelin, leuprorelin

**Mechanism of action.** Structurally similar to the natural decapeptide hormone, gonadorelin analogues (see Chapter 52) initially stimulate GnRH receptors, but with regular use rapidly promote receptor downregulation, which inhibits further gonadotropin production. The result is reduced production of oestrogen or androgen. This latter action underlies most of their clinical uses.

Clinical uses of gonadorelin analogues

- The main use is to reduce testosterone secretion to castration levels in men with prostate cancer. An initial rise in testosterone from receptor stimulation can produce tumour 'flare' in the first 1 to 2 weeks of treatment (see Chapter 52). An antiandrogen such as cyproterone acetate (see Chapter 46) is usually given to counteract this effect.
- Treatment of endometriosis by reducing oestrogen secretion (for up to 6 months only).
- Treatment of advanced breast cancer in women, by reducing oestrogen secretion.
- To reduce endometrial thickness for 5 to 6 weeks prior to intrauterine surgery.
- Preparation of women for assisted conception by methods such as in vitro fertilisation (IVF) (discussed later).
- Suppression of precocious puberty.
- 'Hormonal castration' of men with hypersexuality and severe sexual deviation is occasionally used to reduce recidivism in sexual offenders.

**Pharmacokinetics.** Buserelin can be given by either subcutaneous injection or intranasal spray and has a short half-life (1–1.5 hours). Goserelin also has a short half-life and is given as an oily depot preparation by subcutaneous injection, which inhibits gonadotropin production for up to 4 weeks after a single injection. Leuprorelin is given as a depot preparation by subcutaneous or intramuscular injection and acts for 1 to 3 months, depending on the formulation.

**Unwanted effects** 

- Menopause-like symptoms in women, with hot flushes, sweating, vaginal dryness and loss of libido.
- Orchidectomy-like effects in men, with loss of libido, gynaecomastia and vasomotor instability.
- Headache, depression, fatigue.

- Weight gain.
- Osteoporosis with prolonged use.

#### GnRH receptor antagonists

#### Examples

#### cetrorelix, ganirelix

**Mechanism of action and uses.** These drugs are competitive GnRH receptor antagonists that produce immediate, reversible suppression of gonadotropin secretion. They are used for assisted reproduction in the management of female infertility (IVF; discussed later). They have advantages compared with gonadorelin analogues in this role as there is no initial surge of LH release (which can lead to cancellation of the IVF in about 20% of cycles).

**Pharmacokinetics.** Both cetrorelix and ganirelix are given daily by subcutaneous injection and have long half-lives of greater than 12 hours.

#### Unwanted effects

- Nausea.
- Headache.
- Skin reactions.

#### GONADOTROPINS

LH and FSH are glycoproteins synthesised in the anterior pituitary. Release is stimulated by pulsatile exposure to GnRH. Negative feedback by inhibin, a protein hormone produced by the gonads, selectively inhibits FSH secretion. In addition, both gonadotropins are subject to negative feedback from gonadal steroids, including progesterone (see Chapter 45).

In males, LH acts on specific receptors on the surface of the Leydig cells in the testes, leading to the production of testosterone. FSH acts in a similar way on the Sertoli cells of the seminiferous tubules, stimulating the formation of androgen-binding proteins.

In females, LH acts on theca cells in the ovaries to produce androgens that also act as precursors for oestrogen production. Receptors for FSH and LH are found in granulosa cells of ovarian follicles, and activation in the maturing follicle triggers production of oestrogen. FSH is responsible for development of the ovarian follicle. The control of ovulation by LH and FSH is discussed in Chapter 45. Both FSH and LH, like human chorionic gonadotropin (HCG), are also produced in large quantities by the placenta during pregnancy.

Prior to puberty, the hypothalamus and pituitary are very sensitive to negative feedback from sex hormones. This sensitivity decreases during puberty to adult levels, which allows increased production of oestrogen or testosterone.

#### **Gonadotropins for Therapeutic Use**

 Human menopausal gonadotropins (HMGs, or menotrophin) are a combination of FSH and LH in a 1:1 ratio that is extracted from urine obtained from postmenopausal women.

- Human choriogonadotropin alfa (recombinant human chorionic gonadotropin), which is a synthetic version of HCG (naturally secreted by the placenta), containing more LH than FSH.
- Follitropin alfa and delta (recombinant human FSH; see Fig. 43.1).

• Lutropin alfa (recombinant human LH; see Fig. 43.1). Gonadotropins are given by intramuscular or subcutaneous injection.

#### Unwanted effects

- Nausea, vomiting, diarrhoea.
- Breast, abdominal and pelvic pain.
- Headache, fatigue.
- In women the most serious problem during assisted conception is ovarian hyperstimulation syndrome, in which the ovaries can become grossly enlarged as a result of multiple follicle stimulation, leading to considerable abdominal pain, ascites and even pleural effusions.
- In men the most common problem is gynaecomastia or oedema with prolonged use.

#### **Clinical Uses of Gonadotropins**

- Treatment of infertility in women with hypopituitarism.
- Treatment of infertility in women after failure of clomifene treatment (discussed later).
- To create superovulation prior to assisted conception (such as in vitro fertilisation).
- In men with hypogonadotropic hypogonadism and oligospermia. This requires long courses of gonadotropin injections, initially to achieve external sexual maturation and then to maintain satisfactory sperm production. Spermatozoa take 70 to 80 days to mature, and a year or more of treatment may be needed to achieve optimal response.

#### INFERTILITY

Infertility is said to be present after 1 year of unprotected sexual intercourse without conception and affects about 15% of couples. It has several causes, which require full evaluation of both partners before treatment is given or assisted conception is considered. The most common causes in women include lack of regular ovulation (caused by various conditions including polycystic ovary syndrome, anorexia, stress, extreme exercise, hyperprolactinaemia, thyrotoxicosis, or premature ovarian failure), blocked fallopian tubes and endometriosis. In men, the most common cause is poor quality semen.

#### Drugs for Inducing Ovulation Clomifene

Mechanism of action and use. Clomifene is a selective oestrogen receptor modulator (SERM) that has both oestrogenic and antioestrogenic properties. It is an antagonist at hypothalamic oestrogen receptors and inhibits the negative feedback of oestrogen on gonadotropin release. It is used to stimulate ovulation in anovulatory infertility.

**Pharmacokinetics.** Clomifene is given orally and is excreted in bile. It has a very long half-life of 5 days due to enterohepatic circulation.

#### Unwanted effects

- Reversible ovarian enlargement and cyst formation.
- Hot flushes.
- Abdominal or pelvic pain.
- Nausea, vomiting.
- Breast tenderness, weight gain.

#### **Drug Treatment of Female Infertility**

When infertility is due to hyperprolactinaemia, then a dopamine agonist such as cabergoline should suppress prolactin levels and permit ovulation in 70% to 80% of women. The management of polycystic ovary syndrome is considered later.

If the hypothalamic–pituitary axis is functioning normally, conception can often be achieved with clomifene given from day 3 to day 5 of the menstrual cycle to decrease the negative feedback on FSH secretion (see Fig. 43.1). Increased FSH will stimulate ovarian follicle growth and oestrogen production. As clomifene inhibits the negative feedback of oestrogen on the hypothalamus, more pulsatile GnRH secretion is promoted, with a relative increase in FSH which causes growth of the ovarian follicle. Ovulation usually occurs 6 to 7 days after the course of clomifene. There is a small risk of ovarian hyperstimulation, or multiple ovulation resulting in twins or triplets in about 10% of those who become pregnant.

When deficiency of gonadal stimulation by gonadotropin is the limiting factor, or when clomifene has failed, FSH (using gonadotropins such as follitropin or menotrophin) is given to encourage the development of a mature ovarian follicle (see Chapter 45). Ovarian hyperstimulation syndrome with abdominal bloating, abdominal pain, nausea, vomiting, diarrhoea and ascites can be a problem with this treatment.

### Preparation for Assisted Reproduction (In Vitro Fertilisation)

When standard treatments for infertility are ineffective or not possible, then assisted reproduction with in vitro fertilisation (IVF) of the ovum followed by embryo transfer into the uterus can be considered. There are many techniques to prepare the woman for IVF. Ovulation is usually targeted on a particular date, and a gonadorelin analogue or a GnRH antagonist is given to 'switch off' natural cyclical menstrual activity. Ovarian stimulation treatment is then begun to achieve maturation of oocytes at the time chosen for egg recovery prior to IVF. This involves giving choriogonadotropin alfa or follitropin (alone or with lutropin) to stimulate the maturation of several follicles (superovulation treatment). These ova are then 'harvested' by transvaginal aspiration of the follicles in preparation for IVF.

#### **Polycystic Ovary Syndrome**

Polycystic ovary syndrome (PCOS) is a common cause of infertility, affecting 5% to 10% of women of reproductive age. It has an autosomal dominant inheritance with variable penetrance. The syndrome gains its name from the presence of 'cysts' on the ovaries that are actually immature follicles. PCOS is characterised by abnormal ovarian function with hyperandrogenism. Menstrual disturbance, infertility, hirsutism, alopecia and acne are common. PCOS is often associated with obesity and insulin resistance in adipose and muscle tissue, conferring an increased risk of type 2 diabetes mellitus, hyperlipidaemia and cardiovascular disease in later life. Increased insulin secretion is also a factor in stimulating ovarian androgen production.

#### Management of polycystic ovary syndrome

Assessment and treatment of cardiovascular risk is an important component of management. If infertility is the main concern, weight loss (especially if the body mass index is >29 kg/m<sup>2</sup>) may restore ovulatory cycles. Metformin (see Chapter 40) can be used to improve fertility if weight loss is ineffective, as the resulting improvement in insulin sensitivity reduces the circulating androgen concentration. Metformin also encourages weight loss. Clomifene can be used to encourage ovulation if fertility is not restored.

Androgen-related symptoms are usually treated with a combined oral hormonal contraceptive if the woman does not wish to become pregnant, which can improve hirsutism and acne. Hormonal contraception will also improve menstrual irregularity. If androgenrelated symptoms persist, antiandrogen therapy with cyproterone acetate (see Chapter 46) in combination with an oestrogen can be used. The aldosterone antagonist spironolactone (see Chapter 14) also has antiandrogenic activity and can be effective. Eflornithine (an ornithine decarboxylase inhibitor) applied as a cream can slow facial hair growth by inhibiting cell division in hair follicles. Topical minoxidil (see Chapter 6) may be helpful to reverse male-pattern hair loss on the scalp.

#### **POSTERIOR PITUITARY HORMONES**

#### VASOPRESSIN (ANTIDIURETIC HORMONE)

Vasopressin is a nonapeptide, sometimes referred to as arginine-vasopressin (AVP) because human vasopressin has an arginine residue in position 8. It is also known as the antidiuretic hormone (ADH). Vasopressin is produced in neurosecretory cells of the hypothalamus and transported down the axons of the nerve cells to the posterior pituitary where it is stored in nerve endings. Vasopressin is released in response to stimulation of the hypothalamus via osmoreceptors and baroreceptors in response to increased plasma osmolality or reduced plasma volume. Vasopressin has two main target tissues, which have distinct receptor subtypes:

- Stimulation of V1 receptors in vascular smooth muscle leads to Ca<sup>2+</sup> influx and vasoconstriction. Vasoconstriction sufficient to raise blood pressure only occurs at high plasma vasopressin concentrations and becomes important in hypovolaemic shock.
- Stimulation of V2 receptors in the distal convoluted tubule and collecting ducts of the kidney nephron leads to expression of aquaporin-2 channels that allow water reabsorption down an osmotic gradient to produce more concentrated urine. Vasopressin also enhances expression of urea transporters in the connecting tubule and collecting duct, which increases urea reabsorption from the renal filtrate.

Vasopressin has a very short half-life of about 10 minutes. It is given therapeutically by subcutaneous or intramuscular injection or by intravenous infusion.

#### Vasopressin Analogues

#### Examples

desmopressin, terlipressin

Desmopressin, des-amino-D-arginine-vasopressin (DDAVP), has a much longer duration of action, increased antidiuretic potency and reduced pressor activity compared with vasopressin. An additional action of desmopressin is to increase clotting factor VIII concentration in blood (see Chapter 11).

Terlipressin is a vasopressin analogue with potent vasoconstrictor activity.

#### **Pharmacokinetics**

Desmopressin is administered via a nasal spray, orally or sublingually. It can also be given by subcutaneous, intramuscular or intravenous injection. Desmopressin has a longer half-life (0.5–2 hours) than vasopressin. Terlipressin is given intravenously. It is a prodrug that is hydrolysed to active lysine-vasopressin, which has a half-life of 0.5 hours.

#### Unwanted effects

- Excessive water retention, producing dilutional hyponatraemia.
- Headache.
- Nausea, vomiting and abdominal pain.

#### Clinical Uses of Vasopressin and its Analogues

- Desmopressin can be given intravenously, or by subcutaneous or intramuscular injection acutely for treatment of cranial diabetes insipidus (discussed later). Oral or sublingual desmopressin or intranasal spray is used for maintenance treatment.
- Desmopressin can be given orally or sublingually for primary nocturnal enuresis or primary nocturnal polyuria.

- Desmopressin, given intranasally or by intravenous infusion or subcutaneous injection, is used to boost factor VIII concentration and reduce bleeding in mild to moderate haemophilia and von Willebrand's disease.
- Desmopressin is used by intramuscular or subcutaneous injection to treat lumbar puncture-associated headache.
- Desmopressin can be given by injection or intranasally to test for urine-concentrating ability in suspected diabetes insipidus (discussed later).
- Terlipressin given intravenously can control bleeding from oesophageal varices in portal hypertension (see Chapter 36). Vasopressin is sometimes used for this indication.
- Vasopressin is given intravenously for its pressor activity in the treatment of shock, when it also increases vascular sensitivity to noradrenaline (see Chapter 7).

#### **Diabetes Insipidus**

Diabetes insipidus is usually caused by a failure of secretion of vasopressin in the hypothalamus (central diabetes insipidus). Tumours, inflammatory conditions, granulomatous conditions such as sarcoidosis, and trauma to the hypothalamus are the main causes. A distinct condition known as nephrogenic diabetes insipidus occurs when the kidney is less responsive or unresponsive to vasopressin. It can result from a hereditary deficiency of renal vasopressin receptors, or from drug therapy, particularly with lithium (see Chapter 22) or the tetracycline demeclocycline. Diabetes insipidus presents clinically with extreme thirst, polyuria and a tendency to high plasma osmolality, together with an inappropriately low urine osmolality.

#### Management of diabetes insipidus

Desmopressin is used to produce concentrated urine in people with central diabetes insipidus. Treatment of nephrogenic diabetes insipidus is more difficult, because the kidney does not respond to vasopressin. If possible, a precipitating drug should be withdrawn. Paradoxically, thiazide diuretics (see Chapter 14) can reduce the polyuria. This may be due to initial contraction in extracellular volume, with subsequent increase in proximal tubular salt and water retention. Carbamazepine (see Chapter 23) is also effective, by sensitising the renal tubule to the effect of vasopressin.

#### Syndrome of Inappropriate Antidiuresis

Syndrome of inappropriate antidiuresis (SIAD) is a condition caused by inappropriately high secretion of vasopressin, resulting in excess water retention and a dilutional hyponatraemia. There are many causes, including malignant tumours that secrete vasopressin, pulmonary disorders (including infection) and various disorders of the central nervous system. Drugs such as antidepressants (see Chapter 22), carbamazepine (see Chapter 23) and various cytotoxic agents can also produce the syndrome.

#### Vasopressin V2 receptor antagonist

#### Example

#### tolvaptan

Mechanism of action and uses. Tolvaptan is a competitive antagonist at vasopressin V2 receptors. Its major action is in the renal collecting ducts to reduce water reabsorption and produce aquaresis without sodium loss, thus increasing free water clearance and correcting dilutional hyponatraemia. Tolvaptan is effective for the treatment of hyponatraemia secondary to inappropriate ADH secretion and also for correction of diuretic-induced hyponatraemia in cirrhosis and in heart failure. It is also used to slow the growth of renal cysts in some people with adult polycystic kidney disease.

**Pharmacokinetics.** Tolvaptan is given orally, metabolised in the liver and has a half-life of about 8 hours. **Unwanted effects.** 

- Thirst, dry mouth, polyuria.
- Hypotension.
- Hypernatraemia.
- Hypoglycaemia.

Treatment of syndrome of inappropriate antidiuresis

Severe hyponatraemia can cause confusion, seizures and coma, especially if it has an acute onset. In this situation, water moves into cells in the brain down an osmotic gradient, leading to cerebral oedema. If the hyponatraemia has been present for less than 48 hours, there will not have been time for neuronal adaptation to the intracellular accumulation of water, and rapid correction of the serum sodium with hypertonic sodium chloride (e.g. 3% or 5%) is possible without causing harm. Less severe hyponatraemia may respond to fluid restriction. Isotonic (0.9%) sodium chloride can worsen hyponatraemia if it is caused by SIAD. This is because the sodium load is excreted in inappropriately-concentrated urine, with net retention of water.

Neuronal adaptation to hyponatraemia occurs over 48 hours, with loss of solutes from the neurons to limit intracellular accumulation of water. Symptoms are usually less severe with chronic hyponatraemia. Slow correction of the serum Na<sup>+</sup> concentration is then important, unless the hyponatraemia is producing severe symptoms, when more rapid initial correction may be required. With hyponatraemia that has been present for more than 48 hours, if the serum sodium is then raised to the point where extracellular fluid is more concentrated than the intracellular fluid before equilibration can occur across the cell membrane, the osmotic gradient draws water out of brain cells. The resulting cell shrinkage can produce a condition called osmotic demyelination syndrome, with symptoms that include dysarthria, spastic quadriparesis and pseudobulbar palsy. These symptoms can appear several days after the serum sodium is corrected and may be irreversible. Limiting any rise in serum Na<sup>+</sup> to 8 to 10 mmol/L in the first 24 hours will minimise the risk of osmotic demyelination.

In some situations causing SIAD, enhanced renal tubular water loss can be achieved by antagonising the effect of vasopressin on the kidney. Demeclocycline, a tetracycline antimicrobial agent, reduces the sensitivity of the collecting ducts to vasopressin and can be used to correct moderate hyponatraemia in addition to fluid restriction. The vasopressin V2 receptor antagonist tolvaptan is not suitable for urgent treatment of severe hyponatraemia, when there is a risk of significant neurological complications. It may be useful in mild to moderate hyponatraemia due to SIAD. After initiating treatment, it is important to closely monitor the rise in serum sodium to avoid rapid correction and precipitation of osmotic demyelination syndrome.

#### **OXYTOCIN**

Oxytocin is discussed in Chapter 45.

#### HYPOPITUITARISM

Pituitary insufficiency can arise from traumatic brain injury and subarachnoid haemorrhage, when a single hormonal axis is often affected. Pituitary insufficiency following pituitary irradiation or surgery, by contrast, often affects multiple hormones. Less common causes include both pituitary and nonpituitary intracranial tumours, and ischaemic damage to the pituitary. The symptoms can be insidious in onset and depend on the degree of hormone deficiency, but the condition is associated with increased morbidity and mortality. By contrast, sudden complete loss of pituitary function is a medical emergency.

Replacement of a deficiency of glucocorticoid (see Chapter 44) and thyroid (see Chapter 41) hormonal function is essential. Female sex hormone (see Chapter 45) or testosterone (see Chapter 46) replacement can be important at a later stage to restore libido and bone mass. In some individuals, deficiency of GH or vasopressin may also require replacement.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. The release of growth hormone (GH, somatotropin) is reduced by somatostatin.
- 2. Somatostatin (SST) is only produced by the hypothalamus.
- 3. Octreotide is a useful drug for the treatment of acromegaly.
- 4. Pegvisomant is a pegylated GH analogue that stimulates GH receptors.
- 5. Prolactin suppresses ovarian steroidogenesis.

- 6. Cabergoline reduces prolactin secretion.
- 7. Continuous administration of gonadorelin analogues stimulates synthesis of sex steroids.
- 8. The gonadorelin analogue buserelin stimulates gonadotropin-releasing hormone (GnRH) receptors and is used for the treatment of endometriosis, but has no clinical use in men.
- 9. Cetrorelix and ganirelix are GnRH receptor antagonists used in female infertility.
- 10. Follitropin alfa is a recombinant follicle-stimulating hormone (FSH) analogue used in preparation for in vitro fertilisation.
- 11. The production of vasopressin is impaired in nephrogenic diabetes insipidus.
- 12. Vasopressin increases expression of aquaporin channels.
- 13. Desmopressin is administered by intranasal spray in cranial diabetes insipidus.
- 14. Tolvaptan is used in the urgent treatment of severe hyponatraemia.
- 15. In nephrogenic diabetes insipidus, thiazide diuretics increase the polyuria.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which drug is an IGF-1 analogue?
  - A. Cabergoline.
  - B. Mecasermin.
  - C. Lanreotide.
  - D. Somatropin.
  - E. Tetracosactide.
- 2. Identify the inaccurate statement about GH.
  - A. The release of GH is constant over a 24-hour period.
  - B. GH acts mainly by stimulating release of insulinlike growth factor 1 (IGF-1).
  - C. In acromegaly, cabergoline reduces GH levels.
  - D. IGF-1 has a negative feedback effect on GH release.
  - E. Somatropin is used for GH deficiency in children.

#### **CASE-BASED QUESTIONS**

An assessment of a 10-year-old girl with short stature shows that she has abnormally low levels of growth hormone-releasing hormone (GHRH) and GH.

- 1. Is this girl too old to benefit from treatment?
- 2. What treatment should be recommended and how should it be administered?
- 3. What unwanted effects might occur?

#### ANSWERS

#### **TRUE/FALSE ANSWERS**

- 1. True. Somatostatin is also known as growth hormone release-inhibiting hormone (GHRIH).
- 2. False. Somatostatin is also produced from intestinal and pancreatic cells.
- 3. True. Octreotide is a long-acting analogue of somatostatin and therefore inhibits GH release.
- 4. False. Pegvisomant is a pegylated analogue of GH, but it selectively blocks GH receptors; it is used

when somatostatin analogues such as octreotide are poorly tolerated or ineffective.

- 5. **True.** Prolactin inhibits FSH release and suppresses ovarian follicle growth and steroidogenesis.
- 6. **True.** Cabergoline is a dopamine receptor agonist and inhibits prolactin release from the anterior pituitary; it can be used to improve fertility in women with hyperprolactinaemia.
- 7. **False.** Although brief administration of gonadorelin analogues stimulates sex steroid synthesis, on continued administration gonadotropin receptors are rapidly downregulated and sex steroid synthesis declines.
- 8. **False.** Gonadorelin analogues downregulate GnRH receptors and are used to inhibit testosterone synthesis in prostate cancer, as well as reducing synthesis of ovarian hormones in endometriosis.
- 9. **True.** GnRH receptor antagonists produce immediate suppression of gonadotropin secretion without the initial surge in LH caused by gonadorelin analogues.
- 10. **True.** Follitropin alfa is a synthetic FSH analogue and promotes follicle development.
- 11. **False.** In nephrogenic diabetes insipidus, the kidney is unresponsive to vasopressin; the production of vasopressin is impaired in cranial diabetes insipidus.
- 12. **True.** Vasopressin (antidiuretic hormone) acting at V2 receptors enhances water reabsorption by increasing aquaporin-2 channels in the renal collecting duct.
- 13. **True.** Desmopressin is a modified vasopressin with a longer duration of action and selectivity for V2 receptors in the kidney.
- 14. **False.** The vasopressin V2 antagonist tolvaptan is licensed for maintenance treatment of hyponatraemia caused by excessive ADH secretion, but if used for acute treatment of severe hyponatraemia, it can cause neurological complications.
- 15. **False.** Paradoxically, in diabetes insipidus, the response to thiazide diuretics is a beneficial reduction in polyuria.

#### **OBA ANSWERS**

1. **Answer B** is correct. Mecasermin (answer B) is a synthetic form of human insulin-like growth factor (IGF-1). Cabergoline (answer A) is an ergot-derived dopamine D<sub>2</sub> receptor agonist, lanreotide (answer C) is a somatostatin analogue, somatropin (answer D) is a synthetic form of growth hormone (GH), and tetracosactide (answer E) is an analogue of adrenocorticotropic hormone (ACTH).

- 2. Answer A is the inaccurate statement.
  - A. **Incorrect.** GH is released in a pulsatile manner and its production is greater during deep sleep, particularly in children.
  - B. Correct. GH stimulates release of IGF-1 from the liver, which then acts as a somatomedin on receptors in many tissues and in concert with other hormones.
  - C. Correct. In normal individuals, dopamine receptor agonists such as cabergoline stimulate GH release, but in acromegaly they paradoxically inhibit GH release.
  - D. Correct. IGF-1 inhibits GH release and also stimulates somatostatin (GHRIH) release from the hypothalamus, which further inhibits GH release.
  - E. Correct. Somatropin is a recombinant GH used in growth failure. Growth failure due to primary deficiency of IGF-1 can be treated with mecasermin (rhIGF-1).

#### **CASE-BASED ANSWERS**

- 1. Epiphyseal closure occurs much later than 10 years of age, so treatment of this girl with short stature can increase her growth.
- 2. Low levels of GHRH and GH suggest pituitary dwarfism, and therefore synthetic GH (somatropin) would be appropriate. Somatropin has a short half-life but it generates IGF-1, which is highly protein-bound, so three subcutaneous injections of somatropin a week are sufficient to maintain IGF-1 levels.
- 3. Transient insulin-like effects of somatropin can produce hypoglycaemia, and there may be pain at the site of injection. Headache and oedema can also occur.

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#### Compendium of Pituitary and Hypothalamic Hormones.

DRUG	CHARACTERISTICS
Hypothalamic Hormones and Antagonists	
Gonadotropin-Releasing Hormone (GnRH)	
Gonadorelin	Synthetic preparation identical to GnRH. Used for assessment of pituitary function. Given by subcutaneous or intravenous injection.
Gonadorelin Analogues	
Buserelin	Used for pituitary desensitisation before IVF, for endometriosis (see Chapter 45) and for prostate cancer (see Chapter 52). Given subcutaneously or intranasally.
Goserelin	Used for pituitary desensitisation before IVF, for endometriosis (see Chapter 45) and for prostate cancer or pre-/perimenopausal breast cancer (see Chapter 52). Given subcutaneously.
Leuprorelin acetate	Used for endometriosis (see Chapter 45) and for prostate and premenopausal breast cancers (see Chapter 52). Given by subcutaneous or intramuscular injection.
Nafarelin	Used for pituitary desensitisation before IVF, and for endometriosis (see Chapter 45). Given intranasally.
Triptorelin	Used for endometriosis (see Chapter 45), prostate cancer (see Chapter 52) and premenopausal breast cancer. Given by intramuscular injection.
Gonadotropin-Releasing Hormone (GnRH) A	Antagonists
Inhibit the release of gonadotropins (LH	and FSH); for degarelix used in prostate cancer, see Chapter 52.
Cetrorelix	Synthetic decapeptide. Used to inhibit premature LH surges in the treatment of female infertility (under specialist supervision). Given by subcutaneous injection.
Degarelix	Use in prostate cancer (see Chapter 52).
Ganirelix	Synthetic decapeptide. Used to inhibit premature LH surges in the treatment of female infertility (under specialist supervision). Given by subcutaneous injection.
Selective Oestrogen Receptor Modulator (S	ERM)
Used in the treatment of female infertility	/ due to ovulatory dysfunction. For SERMs used in breast cancer, see Chapter 52.
Clomifene	Given orally.
Somatostatin (Growth Hormone Release-In	hibiting Hormone, GHRIH) Analogues
Lanreotide	Used for acromegaly and for neuroendocrine and thyroid tumours. Given by intramuscular or deep subcutaneous injection.
Octreotide	Used for acromegaly and neuroendocrine tumours, and for reducing vomiting in palliative care and stopping oesophageal variceal bleeds. Given by subcutaneous or intramuscular injection.
Pasireotide	Used for the treatment of Cushing's disease, when surgery has failed or is inappropriate. Given by subcutaneous or intramuscular injection.
Anterior Pituitary Hormones and Antagonis	ts
Corticotropins	
Tetracosactide	Adrenocorticotropic hormone (ACTH) analogue. Used largely for diagnosis of adrenocortical insufficiency. Given by intravenous or intramuscular injection.
Gonadotropins (FSH, LH and HCG) and Analogues	
Mainly used in the treatment of infertility in women with proven hypopituitarism or who have not responded to clomifene, or in superovulation treatment for assisted conception.	
Choriogonadotropin alfa	Recombinant HCG. Given by subcutaneous injection.
Follitropin alfa	Recombinant human FSH. Given by subcutaneous injection. Also available in combined formulation with lutropin alfa.
Follitropin delta	Recombinant FSH. Given by subcutaneous injection.
Human menopausal gonadotropins (menotrophin)	Contains a 1 to 1 mixture of FSH and LH. Given by deep intramuscular or subcutaneous injection.
Lutropin alfa (LH)	Recombinant human luteinising hormone (LH). Given by subcutaneous injection.

Continued

#### Compendium of Pituitary and Hypothalamic Hormones-cont'd.

DRUG	CHARACTERISTICS	
Growth Hormone and Somatomedins		
Somatropin	Synthetic form of growth hormone (GH, somatotropin). Used to treat GH deficiency in children and in adults; also used in Turner's syndrome. Given by subcutaneous injection.	
Mecasermin	Recombinant version of human insulin-like growth factor 1 (IGF-1). Used to treat growth failure in children and adolescents with severe primary IGF-1 deficiency. Given by subcutaneous injection.	
Growth Hormone Receptor Antagonists		
Pegvisomant	Pegylated synthetic GH receptor antagonist. Used for acromegaly in patients with inadequate response to surgery and/or radiation, and to treatment with GHRIH (somatostatin) analogues. Given by subcutaneous injection.	
Prolactin Antagonists		
Used to suppress lactation and/or in acromegaly. The ergot-derived drugs are associated with pulmonary, retroperitoneal and pericardial fibrosis. For use in Parkinson's disease, see Chapter 24.		
Bromocriptine	Ergot-derived D <sub>2</sub> receptor agonist. Given orally.	
Cabergoline	Ergot-derived D <sub>2</sub> receptor agonist. Given orally.	
Quinagolide	Non-ergot $D_2$ receptor agonist. Given orally.	
Thyroid-Stimulating Hormone (TSH)		
Thyrotropin alfa	Recombinant TSH. Used to detect thyroid remnants post-thyroidectomy. Given by intramuscular injection.	
Posterior Pituitary Hormones and Antagonists		
Demeclocycline	Tetracycline antibiotic with anti-ADH action in renal tubule. Used for treatment of hyponatraemia resulting from inappropriate secretion of ADH. Given orally.	
Desmopressin	Vasopressin analogue. Used for treatment of cranial diabetes insipidus and nocturnal enuresis. Given orally, intranasally, or by subcutaneous, intramuscular or intravenous injection.	
Terlipressin	Prodrug hydrolysed to active lysine-vasopressin. Used for treatment of oesophageal varices. Given by intravenous injection.	
Tolvaptan	Antagonist of vasopressin V2 receptors in renal collecting ducts. Used for treating hyponatraemia resulting from inappropriate secretion of ADH. Given orally.	
Vasopressin (ADH)	Used for treatment of cranial diabetes insipidus and bleeding oesophageal varices. Given by subcutaneous or intramuscular injection or intravenous infusion.	

ACTH, Adrenocorticotropic hormone; ADH, antidiuretic hormone; FSH, follicle-stimulating hormone; GHRIH, growth hormone-release inhibiting hormone (somatostatin); GnRH, gonadotropin-releasing hormone; HCG, human chorionic gonadotropin; IGF-1, insulin-like growth factor 1; LH, luteinizing hormone.

# Corticosteroids (Glucocorticoids and Mineralocorticoids)

#### **Chapter Outline**

#### Corticosteroid Hormones 503

Control of Corticosteroid Hormone Synthesis and Release 503 Mode of Action of Corticosteroid Hormones 504 Glucocorticoids 505 Actions of Glucocorticoids 505 Pharmacokinetics of Glucocorticoids 506 Physiological Replacement Therapy for Corticosteroid Deficiency 506 Clinical Uses of Therapeutic Doses of Systemically Administered Glucocorticoids 507

CORTICOSTEROID HORMONES

Steroid hormones comprise several compounds that are synthesised mainly in the adrenal cortex and the gonads. They are derived from cholesterol and share a distinctive core structure of four conjoined rings (Fig. 44.1). The pathways of steroid hormone synthesis are shown in Fig. 44.2. The steroid hormones responsible for phenotypic gender differences are known as the sex hormones; these compounds are considered in Chapters 45 and 46.

This chapter considers steroid hormones derived predominantly from the adrenal cortex that are known as adrenal corticosteroids. They have two distinct classes of action (Table 44.1):

- glucocorticoid activity, which affects carbohydrate and protein metabolism;
- mineralocorticoid activity, which affects water and electrolyte balance.

The natural glucocorticoid is cortisol (also known as hydrocortisone), which has a hydroxyl grouping at



Fig. 44.1 The core structure of steroid hormones is derived from the cholesterol molecule shown. The four rings are identified by a letter A–D, and each carbon atom by a number.

Clinical Uses of Therapeutic Doses of Topically Administered Glucocorticoids 507 Unwanted Effects of Glucocorticoids 507 Cushing's Syndrome 508 Mineralocorticoids 508 Fludrocortisone 509 Primary Hyperaldosteronism (Conn's Syndrome) 509 Self-Assessment 509 Answers 510 Further Reading 511 Compendium of Corticosteroid Drugs 511

position 17 and approximately equal affinity in vitro for glucocorticoid and mineralocorticoid receptors (but see further discussion later in this chapter). The natural mineralocorticoid is aldosterone, which has an aldehyde grouping at position 18 and has little glucocorticoid activity. Synthetic corticosteroids that have been modified structurally to enhance either glucocorticoid or mineralocorticoid activity are widely used therapeutically.

Although hydrocortisone and various synthetic derivatives are used therapeutically for their glucocorticoid activity, they are frequently referred to as 'corticosteroids' or much less accurately as 'steroids'. In this chapter, the distinction between glucocorticoid and mineralocorticoid actions is emphasised. In the rest of the book, drugs with mainly glucocorticoid activity are usually referred to as corticosteroids.

#### CONTROL OF CORTICOSTEROID HORMONE SYNTHESIS AND RELEASE

Cortisol (hydrocortisone) is synthesised in, and released from the zona fasciculata of the adrenal cortex, and its secretion is controlled by the hypothalamo–pituitary– adrenal (HPA) axis (Fig. 44.3). Corticotropin-releasing hormone (CRH) and vasopressin (AVP) are released from the hypothalamus in response to the sleep–wake cycle, with a surge on waking. They are also increased by stress and physical activity. They are transported to the pituitary gland where they act synergistically to release adrenocorticotropic hormone (ACTH). ACTH is transported in the blood to the adrenal cortex where it stimulates synthesis of cortisol from cholesterol. Cortisol cannot be stored in the adrenal gland and is rapidly synthesised in response to ACTH stimulation. Cortisol released from the adrenal gland feeds



Fig. 44.2 Pathways of biosynthesis of steroid hormones, including progestogens, oestrogens, androgens, mineralocorticoids and glucocorticoids.

## Table 44.1Relative Glucocorticoid and Mineralocorticoid<br/>Activities of Some Natural and Synthetic<br/>Corticosteroid Hormones.

	GLUCOCORTICOID Activity	MINERALOCORTICOID Activity
Cortisol (hydro- cortisone)	1	1
Prednisolone	4	0.8
Dexamethasone	30	Negligible
Betamethasone	30	Negligible
Aldosterone	0	80
Fludrocortisone	10	125

All potencies are relative to the glucocorticoid and mineralocorticoid activities of cortisol, each assigned an arbitrary value of 1. Due to intracellular metabolism by 11β-hydroxysteroid dehydrogenase in aldosterone-sensitive cells, cortisol has about one-thousandth of the mineralocorticoid activity of aldosterone in vivo.

back negatively to the hypothalamus and pituitary to reduce the release of CRH, vasopressin and ACTH.

Aldosterone is secreted from the zona glomerulosa of the adrenal cortex in response to several factors, the most important being high plasma K<sup>+</sup>. Angiotensin II and ACTH have more modest regulatory actions. Aldosterone secretion has a diurnal rhythm.

#### MODE OF ACTION OF CORTICOSTEROID HORMONES

All steroid hormones have similar mechanisms of action via intracellular receptors, but there are distinct receptors for the different structural variants (see Chapter 1). The distribution of the various receptors among tissues gives tissue specificity to each type of steroid hormone and defines its activity. Steroids are highly lipophilic and cross cell membranes by diffusion and bind to a specific cytoplasmic receptor. In the absence of a steroid molecule, the receptor is retained in the cytoplasm associated with heat-shock proteins (HSP), which prevent it from migrating to the cell nucleus. When the steroid binds to the receptor, the complex dissociates from the HSP, and the dimeric steroid-receptor complex then enters the nucleus and binds to a steroid-response element (SRE) in the promoter region of the target genes, where it acts as a transcription factor (see Fig. 1.8). There are specific glucocorticoid (GRE) and mineralocorticoid (MRE) response elements and these can modulate the transcription of many genes. SRE binding usually involves the presence of other proteins, called chaperone proteins, and can lead to either increased or decreased transcription of genes, depending on the target cell. Some genes are activated by simple interaction of



**Fig. 44.3 Control of secretion of glucocorticoids.** Corticotropinreleasing hormone (*CRH*) and vasopressin from the hypothalamus stimulate adrenocorticotropic hormone (*ACTH*; corticotropin) release from the anterior pituitary, which increases the release of glucocorticoids from the adrenal cortex. The level of glucocorticoids in the blood feeds back negatively to control the release of CRH, vasopressin and ACTH. Synthetic glucocorticoids have the same suppressive action on the hypothalamic–pituitary–adrenal (HPA) axis. In conditions in which excess glucocorticoids are released (e.g. in ACTH-secreting tumours or adrenocortical tumours), glucocorticoid synthesis and release can be reduced by metyrapone (*red arrows*). In people with tumours that result in hormone-induced reduction in glucocorticoids, synthetic glucocorticoids can be administered.

the steroid receptor with the SRE, but the rate of gene transcription is modulated by recruitment of various intranuclear coregulator proteins and complexes. The corticosteroid receptor only interacts with the SRE for a matter of seconds before dissociating and appears to have a 'hit-and-run' effect on gene transcription.

When corticosteroids are given for a therapeutic effect, many responses are delayed by several hours, due to the time taken for modulation of protein synthesis by gene transcription and translation. However, some actions of glucocorticoids are relatively rapid in onset and do not require gene transcription (non-genomic signalling pathways). Glucocorticoids activate various kinases in the cell cytoplasm through cytosolic or membrane-bound glucocorticoid receptors, leading to effects such as vasoconstriction, relaxation of bronchial smooth muscle and regulation of cell growth. Glucocorticoid receptors are found in most tissues, giving cortisol a wide range of actions. Mineralocorticoid receptors are found in several tissues, including the kidney, colon, heart and adipose tissue. Important target cells are in the distal renal tubule and cortical collecting duct. Target cells for aldosterone, especially in the renal tubule, contain 11 $\beta$ -hydroxysteroid dehydrogenase, which metabolises cortisol to cortisone. Cortisone has very low affinity for the mineralocorticoid receptor, and this ensures that most aldosterone-responsive tissues are not stimulated by endogenous glucocorticoid.

#### **GLUCOCORTICOIDS**

#### **Examples**

betamethasone, dexamethasone, hydrocortisone, prednisolone

#### ACTIONS OF GLUCOCORTICOIDS

#### Immunosuppressant and Antiinflammatory Actions

Glucocorticoids have important immunomodulatory actions that underpin many of their therapeutic uses.

A major action of glucocorticoids is to silence proinflammatory genes (gene transrepression). Proinflammatory genes are activated by transcription factors such as nuclear factor  $\kappa B$  (NF- $\kappa B$ ) and activator protein 1 (AP-1), which are produced in response to inflammatory cytokines. NF-κB and AP-1 attach to the promoter region of the genes and recruit co-activator molecules such as cAMP-response element-binding protein (CREBbinding protein). CREB-binding protein has histone acetyltransferase (HDAC) activity and acetylates core histones at the transcription complex. Acetylated core histones activate proinflammatory gene transcription, leading to the synthesis of further inflammatory cytokines, chemokines, adhesion molecules, as well as enzymes and receptors for inflammatory mediators. When glucocorticoid receptors enter the nucleus, they bind to CREB-binding protein at the glucocorticoid response element of inflammatory genes and inhibit HDAC activity by recruiting co-repressor molecules. The glucocorticoid receptors also recruit histone deacetylase to suppress the proinflammatory genes.

Glucocorticoids also activate antiinflammatory genes (gene transactivation) by stimulation of core histone acetylation at their promoter regions.

#### Antiinflammatory Effects

As a result of the above actions, glucocorticoids:

 reduce T-lymphocyte proliferation and increase T-cell apoptosis, which impairs cell-mediated immunity (see Chapter 38). They also inhibit humoral immunity by reducing T-cell activation, B-lymphocyte proliferation and immunoglobulin production, particularly IgG (see Chapter 38).

- inhibit mononuclear cell and neutrophil leucocyte migration and their adhesion to inflamed capillary endothelium. The ability of these inflammatory cells to phagocytose and destroy microorganisms and to release oxygen free radicals is also reduced through downregulation of their surface receptors (see Chapter 38).
- reduce the synthesis of inflammatory prostaglandins by inhibition of phospholipase A<sub>2</sub> activity (via annexin-1) and by suppression of cyclooxygenase (COX) expression (see Chapter 29).
- suppress fibroblast activity with reduced collagen synthesis and inhibition of matrix metalloprotein-ases, which impairs wound repair.
- decrease capillary permeability, which has a protective effect on blood volume and raises blood pressure. They also enhance the sensitivity of vascular walls to the vasoconstrictor actions of catecholamines and angiotensin II.

#### Metabolic Effects

- Gluconeogenesis is increased, particularly in the liver, using amino acids and glycerol from triglycerides. Storage of glycogen in the liver and, to a lesser extent, in muscle is increased, and uptake and utilisation of glucose in muscle and adipose tissue are impaired. These actions promote hyperglycaemia.
- Protein is degraded, particularly in muscle, to release amino acids for synthesis of glucose while protein synthesis is inhibited. As a result, there is an overall negative nitrogen balance.
- The acute action of glucocorticoids enhances lipolysis from glucocorticoid-sensitive fat stores in the limbs, which provides an energy source and releases glycerol for glucose synthesis. With chronic exposure to excess glucocorticoid, adipogenesis is increased in the face, neck, trunk and viscera.

#### Effects on Bone Metabolism

- Osteoblast formation is decreased, and apoptosis of mature osteoblasts is increased. The function of mature osteoblasts is inhibited. Osteocyte apoptosis is increased, which stimulates osteoclast activity. Survival of osteoclasts is prolonged. These actions result in bone resorption and demineralisation.
- Inhibition of the proliferation and differentiation of chondrocytes at the epiphyseal end of the growth plate of long bones reduces bone growth in children.

#### Central Nervous System Effects

Plasma cortisol concentrations rise to a peak at the time of awakening and are lowest during sleep. In general, a high circulating concentration of cortisol is associated with alertness, but severe disturbances of mood may occur with abnormally high levels of glucocorticoid. Low concentrations produce a feeling of lethargy.

#### Mineralocorticoid Effects

Mineralocorticoids act on cells in the distal renal tubule and cortical collecting duct, where they increase the permeability of the luminal tubular membrane to Na<sup>+</sup> by increasing the number of epithelial Na<sup>+</sup> channels (ENaC). They also stimulate the Na<sup>+</sup>/K<sup>+</sup>-ATPase pump in the basolateral membrane, which leads to active Na<sup>+</sup> reabsorption and loss of K<sup>+</sup> into tubular urine (see Chapter 14). Water is passively reabsorbed with Na<sup>+</sup>, so that extracellular fluid volume and blood pressure are both increased. Natural glucocorticoids have some mineralocorticoid activity, although this has minimal impact at physiological doses (discussed previously). Synthetic glucocorticoid compounds are altered structurally to minimise the amount of mineralocorticoid activity (see Table 44.1), but this is more noticeable at higher doses.

#### PHARMACOKINETICS OF GLUCOCORTICOIDS

Both hydrocortisone and synthetic glucocorticoids are used in clinical practice. They are readily absorbed from the gut. Hydrocortisone binds to corticosteroidbinding globulin (transcortin) and to albumin in the blood; it is metabolised in the gut wall and liver by conjugation. Synthetic glucocorticoids bind to albumin but many do not bind to transcortin. They are more slowly metabolised in the liver, giving them a longer duration of action. Of the many synthetic glucocorticoids, dexamethasone is the most potent and has the least mineralocorticoid activity.

Most glucocorticoids are available in formulations for parenteral use. This does not appreciably shorten the time to onset of action as most effects are delayed by up to 8 hours while intracellular protein synthesis is modulated. Some glucocorticoids are available in formulations for topical use (e.g. beclometasone, budesonide and fluticasone by inhaler for asthma). Local delivery to the primary site of action reduces their systemic actions, although systemic unwanted effects can still occur, particularly with high doses.

The plasma half-lives of glucocorticoids vary, but because their mechanism of action depends on gene transcription and changes in protein synthesis, their biological (effective) half-lives are long (varying from 8 hours for hydrocortisone to 2 days for dexamethasone).

#### PHYSIOLOGICAL REPLACEMENT THERAPY FOR CORTICOSTEROID DEFICIENCY

Addison's disease (primary adrenocortical failure, usually autoimmune in origin) or pituitary insufficiency affecting ACTH release limits the ability of the adrenal glands to synthesise cortisol. Other conditions can also give rise to glucocorticoid deficiency (Box 44.1). Chronic hypoadrenalism produces nonspecific symptoms such as fatigue, anorexia, weight loss, muscle weakness, abdominal pain and vomiting. Acute adrenal insufficiency is life-threatening with circulatory collapse and requires immediate treatment with

### Box 44.1 Causes of Glucocorticoid Excess (Cushing's Syndrome) and Corticosteroid Deficiency.

#### CAUSES OF CUSHING'S SYNDROME

- Excessive secretion of ACTH by the anterior pituitary (pituitary adenoma; Cushing's disease)
- Excessive secretion of ACTH from an ectopic source (most commonly bronchial carcinoma)
- A tumour of the adrenal cortex secreting predominantly cortisol
- latrogenic: administration of glucocorticoid or ACTH in pharmacological doses

#### CAUSES OF CORTICOSTEROID DEFICIENCY

Primary adrenal insufficiency (Addison's disease):

- Autoimmune adrenalitis
- Infections (e.g. tuberculosis, various fungi, opportunistic infections in AIDS)
- Metastatic carcinoma
- Secondary adrenocortical failure (deficient ACTH from the anterior pituitary):
- Pituitary tumour
- Sarcoidosis
- Suppression of the HPA axis by prolonged glucocorticoid treatment at pharmacological doses
- Various enzyme defects in cortisol synthesis (congenital adrenal hyperplasia)

ACTH, Adrenocorticotropic hormone; HPA, hypothalamo-pituitary-adrenal.

high-dose intravenous hydrocortisone. Longer-term replacement of deficient cortisol is usually with oral hydrocortisone two or three times daily in doses as close as possible to the amount normally secreted by the adrenal cortex. A larger dose is taken before getting up in the morning to mimic the normal circadian rhythm. A dual-release formulation is available for once daily use. Prednisolone is sometimes used once daily if adherence is a problem, but can produce undesirable metabolic effects. The dose of corticosteroid must be increased up to fourfold in stressful situations – for example, intercurrent infection. If the cause of the corticosteroid deficiency is adrenal disease, replacement of deficient aldosterone production with fludrocortisone (discussed later) may also be necessary.

#### CLINICAL USES OF THERAPEUTIC DOSES OF SYSTEMICALLY ADMINISTERED GLUCOCORTICOIDS

The antiinflammatory and immunosuppressant effects of glucocorticoids are used for control of various inflammatory diseases (especially those which are immunologically mediated) and treatment of neoplastic conditions, particularly when they involve lymphoid tissue (Box 44.2). Powerful glucocorticoids with little mineralocorticoid activity such as prednisolone are usually chosen. Dexamethasone is used to reduce oedema around malignant tumours in the brain or compressing the spinal cord. It is also used in some antiemetic regimens during cancer chemotherapy (see Chapter 32).

### Box 44.2 Examples of Diseases for Which Systemic Glucocorticoid Therapy is Useful.

Replacement therapy in corticosteroid deficiency Acute inflammatory disease:

- Bronchial asthma
- Anaphylaxis and angioedema
- Acute fibrosing alveolitis
- Chronic inflammatory disease:
- Connective tissue disorders (e.g. systemic lupus erythematosus, polymyositis, vasculitis)
- Renal disorders (e.g. glomerulonephritis)
- Hepatic disorders (e.g. chronic active hepatitis)
- Bowel disorders (e.g. inflammatory bowel disease)
- Eye disorders (e.g. posterior uveitis)

Neoplastic disease:

- Myeloma
- Lymphomas
- Lymphocytic leukaemias
- Miscellaneous disorders:
- Bell's palsy
- Sarcoidosis
- Organ transplantation
- Antiemetic therapy (for cytotoxic chemotherapy)

Resistance to the antiinflammatory and immunosuppressant effects of glucocorticoids occurs in some individuals. The mechanisms are complex and arise from a variety of excessively activated intracellular inflammatory pathways. There are no reliable strategies to overcome glucocorticoid resistance and alternative immunosuppressant drugs may need to be used (see Chapter 38).

For uses of ACTH and its analogues, see Chapter 43.

#### CLINICAL USES OF THERAPEUTIC DOSES OF TOPICALLY ADMINISTERED GLUCOCORTICOIDS

Topical use of glucocorticoids can deliver high concentrations to a target site and reduce systemic unwanted effects. However, significant absorption into the blood occurs at higher doses. Examples of the clinical uses of topical glucocorticoids are given in Table 44.2.

#### UNWANTED EFFECTS OF GLUCOCORTICOIDS

Pharmacological doses of systemic glucocorticoids given over long periods will produce the typical features of adrenocortical overactivity (cushingoid effects). Unwanted glucocorticoid actions are shown in Box 44.3. These effects do not occur when physiological replacement doses of hydrocortisone are used.

#### **Cessation of Glucocorticoid Therapy**

When a systemic pharmacological dose of glucocorticoid is used for a long period, it suppresses pituitary ACTH production and eventually causes adrenal atrophy. Sudden withdrawal can then lead to acute adrenal insufficiency, with circulatory collapse and death. Less severe withdrawal symptoms include fever, myalgia, arthralgia, rhinitis, conjunctivitis and weight loss.

44.2	Examples of Topical Corticosteroid
	Administration.

Table

Administration.		
DISEASE	MODE OF Administration	CHAPTER IN This Book
Asthma	Aerosol	12
Vasomotor rhinitis	Aerosol	39
Eczema	Ointment or cream	49
Superficial ocular inflammation	Aqueous solution	50
Ulcerative colitis	Aqueous solution or foam enema	34
Proctitis	Suppository	34
Arthritis	Aqueous solution by intraarticular injection	30

To avoid the consequences of sudden withdrawal, gradual reduction in dose is recommended, particularly if high doses (equivalent to at least 40 mg prednisolone daily) have been used for more than 1 week, a lower therapeutic dose has been given for more than 3 weeks or repeat doses have been given in the evening. The dose can often be reduced rapidly to physiological doses (equivalent to 7.5 mg of prednisolone) and then reduced more slowly until the drug is withdrawn. Slower initial withdrawal may be desirable if there is a high risk of relapse of the condition being treated. Abrupt withdrawal is acceptable if the condition being treated is unlikely to relapse and the conditions for slow withdrawal are not met.

#### **CUSHING'S SYNDROME**

Cushing's syndrome is caused by prolonged excessive, inappropriately high circulating cortisol concentrations, and is clinically characterised by excessive glucocorticoid effects (see Box 44.3). There are four possible causes (see Box 44.1). About 80% of cases of Cushing's syndrome are ACTH-dependent, of which 80% are due to pituitary adenomas (Cushing's disease).

Surgery is the definitive treatment for excessive pituitary secretion of ACTH from an adenoma and for unilateral adrenal tumours, with subsequent radiotherapy for some pituitary tumours.

Drug treatment to reduce glucocorticoid secretion is desirable for several weeks before surgery in order to reverse the excessive tissue catabolism and correct the metabolic disturbances. This is usually achieved with metyrapone, which reduces glucocorticoid biosynthesis by competitive inhibition of 11β-hydroxylase (see Fig. 44.2). Metyrapone also inhibits cytochrome P450 in the liver, which can produce important drug interactions. Gastrointestinal upset is the main unwanted effect. The antifungal agent ketoconazole (see Chapter 51) also reduces cortisol synthesis by inhibition of 11β-hydroxylase; its onset of action is slower than that of metyrapone. In addition, it inhibits sex steroid production and therefore often causes gynaecomastia and decreased libido in men and hirsutism in

#### Box 44.3 Unwanted Effects of Glucocorticoids.

- Central obesity with a dorsocervical fat pad ('buffalo hump') and abdominal striae
- Fat accumulation in the cheeks, giving a rounder facial appearance ('moon face')
- Loss of supporting tissue in skin with skin atrophy, bruising and poor wound healing; local atrophy can be marked at the site of topical corticosteroid application
- Osteoporosis due to catabolism of protein matrix in the bone and defective mineralisation
- Proximal (i.e. shoulder and hip girdle) muscle wasting and weakness
- Hyperglycaemia, which may lead to overt diabetes mellitus (see Chapter 40)
- Peptic ulceration due to inhibition of gastrointestinal prostaglandin (see Chapter 33)
- Mood changes, including euphoria and occasionally psychosis
- Posterior capsular cataracts in the eye, and exacerbation of glaucoma
- Increased susceptibility to infection with bacteria, viruses or fungi; activation of latent infections such as tuberculosis can also occur
- Growth retardation in children, with reduced linear bone growth and premature epiphyseal closure
- After long-term treatment, sudden withdrawal can produce an acute adrenal crisis due to suppression of the hypothalamic–pituitary–adrenal (HPA) axis and adrenal atrophy. Recovery of adrenal responsiveness can take several months. Basal cortisol secretion is restored before maximal responses, leaving patients at risk during stress and intercurrent infection
- Mineralocorticoid effects (which vary among the different drugs)

women. Ketoconazole can be used alone or in combination with metyrapone prior to surgery. Both metyrapone and ketoconazole provide relatively short-lived benefit, with rapid loss of control (escape) when increased ACTH secretion is the cause of the syndrome. Pasireotide is a multireceptor somatostatin analogue that reduces ACTH secretion and is effective in about 25% of cases, although it often causes hyperglycaemia.

Mitotane is a chemotherapeutic drug (see Chapter 52) that produces more profound suppression of glucocorticoid synthesis with no escape. It can be used for long-term control of symptoms for Cushing's syndrome caused by adrenal carcinoma. Glucocorticoid replacement therapy is usually necessary during treatment with mitotane.

Ectopic ACTH secretion is not usually amenable to surgical cure, but palliative drug treatment can be helpful (see Fig. 44.3).

#### **MINERALOCORTICOIDS**



#### **FLUDROCORTISONE**

#### **Pharmacokinetics**

Aldosterone is almost completely inactivated on its first passage through the liver and is therefore unsuitable for oral use. Fludrocortisone (9 $\alpha$ -fluorohydrocortisone) is a synthetic alternative, but only about 10% escapes first-pass metabolism. Its half-life is short (0.5 hours) due to rapid hepatic metabolism.

#### **Unwanted Effects**

Excessive urinary Na<sup>+</sup> retention and K<sup>+</sup> loss can occur with pharmacological doses of fludrocortisone. Hypertension can result, but the expansion of blood volume stimulates cardiac stretch receptors, leading to secretion of natriuretic peptides. This results in an 'escape' natriuresis, which establishes a new equilibrium between Na<sup>+</sup> intake and excretion at a higher blood volume. Consequently, oedema does not usually occur.

#### **Clinical Uses of Fludrocortisone**

- Fludrocortisone is given as replacement therapy for defective aldosterone production. This is usually the result of primary adrenal pathology with destruction of all three zones of the adrenal cortex (Addison's disease).
- Expansion of blood volume by fludrocortisone can be used to raise blood pressure in postural hypotension resulting from autonomic neuropathy. However, it often produces supine hypertension without fully eliminating the postural fall in blood pressure.

### PRIMARY HYPERALDOSTERONISM (CONN'S SYNDROME)

Autonomous over-secretion of aldosterone causes hypertension and a hypokalaemic alkalosis. The diagnosis is suspected from a high plasma aldosterone:renin ratio as the excess production of aldosterone suppresses renin release. Some cases are caused by an adenoma in the zona glomerulosa of the adrenal cortex and are treated surgically. The majority are caused by hyperplasia of both zonae glomerulosae, probably as a result of various genetic mutations, which usually secrete less aldosterone and cause less marked metabolic disturbance. An aldosterone antagonist such as spironolactone or eplerenone is the treatment of choice to preserve the plasma K<sup>+</sup> concentration and reduce blood pressure (see Chapter 14).

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Glucocorticoids take many hours to produce their clinical effects.
- 2. Inhaled glucocorticoids do not cause systemic unwanted effects.
- 3. Oral fludrocortisone is a useful antiinflammatory corticosteroid in severe asthma.

- 4. Fluticasone is not used orally.
- 5. Metyrapone inhibits glucocorticoid synthesis.
- 6. Hypoglycaemia is common during glucocorticoid administration.
- 7. If prolonged administration of prednisolone results in unwanted effects, it should be withdrawn immediately.
- 8. Mineralocorticoid secretion is decreased in Addison's disease.
- 9. Aldosterone secretion is inhibited by angiotensin II.
- 10. Glucocorticoids do not affect inflammatory responses provoked by infection.
- 11. Dexamethasone causes vomiting.
- 12. Glucocorticoids delay wound healing.

#### **ONE-BEST-ANSWER (OBA) QUESTION**

- 1. Which drug is used topically in asthma?
  - A. Betamethasone
  - B. Deflazacort
  - C. Fludrocortisone
  - D. Fluticasone
  - E. Prednisolone

#### **CASE-BASED QUESTIONS**

- **Case 1:** A 75-year-old woman presented with features of cortisol excess, including centripetal obesity, muscle weakness, easy bruising and hirsutism.
- 1. What are the possible causes?
- 2. She is not taking corticosteroids or ACTH, eliminating an iatrogenic cause. The cortisol level in a 24-hour urine sample was elevated and the plasma ACTH level was also high. What do these results indicate?
- 3. A single high dose of dexamethasone was administered and resulted in only marginal suppression of plasma cortisol. What does this result indicate?
- 4. A computed tomography (CT) scan and other tests showed an inoperable carcinoma of the bronchus. What treatment could be offered to improve her symptoms of cortisol excess?
- **Case 2:** A 69-year-old man has late-onset asthma, which was poorly controlled by an inhaled  $\beta_2$ -adrenoceptor agonist. His GP prescribed a low-dose inhaled corticosteroid that helped him initially.
- 1. Which glucocorticoids could have been given by aerosol inhaler?
- 2. What are the possible unwanted effects of the lowdose inhaled glucocorticoid?
- 3. He then had a particularly severe asthma episode, which led to his admission to hospital as an emergency. Among the drugs he was given was an intravenous corticosteroid. Which drug was likely to have been given, and what was the objective of its use?
- 4. His acute exacerbation resolved and he was then prescribed a course of oral corticosteroid while in the hospital and subsequently sent home with highdose inhaled corticosteroid. Which corticosteroid could have been used for oral therapy?

- 5. His asthma was poorly controlled by a higher dose of inhaled corticosteroid and it was decided to recommence oral therapy. Why might oral therapy be more effective in controlling chronic severe asthma than inhaled therapy?
- 6. How would you have determined the dose to be used and monitored its appropriateness?
- 7. After many months of this therapy, he started to complain of apparently unrelated problems, including recurrent minor infections; minor epigastric discomfort, especially on an empty stomach; weight gain and increased appetite; a tendency to bruise easily and severe back pain after a minor fall. Examination revealed a cushingoid appearance, and investigation showed a raised plasma glucose level and decreased plasma levels of cortisol and ACTH. Discuss the reasons for his symptoms.

#### **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **True.** Glucocorticoids act principally by modulating gene transcription so most of their clinical effects are not seen for several hours.
- 2. **False.** In maximum recommended doses, inhaled glucocorticoids can cause systemic effects.
- 3. **False.** Fludrocortisone is a synthetic mineralocorticoid with weak antiinflammatory effects; glucocorticoids such as prednisolone are used orally in severe asthma.
- 4. **True.** Inhaled fluticasone is used for topical effects on the airways in the treatment of asthma.
- 5. **True.** Metyrapone inhibits synthesis of cortisol, and to a lesser extent that of aldosterone, by inhibiting steroid  $11\beta$ -hydroxylase.
- 6. **False.** Corticosteroids cause *hyperglycaemia* by reducing tissue uptake of glucose and increased gluconeogenesis.
- 7. **False.** Prolonged administration of a systemic glucocorticoid may suppress the HPA axis. The drug should be withdrawn slowly to allow the adrenals to recover their normal cortisol secretion and avoid corticosteroid deficiency.
- 8. **True.** Addison's disease can be caused by autoimmune disease or drugs such as ketoconazole.
- 9. False. Angiotensin II is produced by the action of renin, which is secreted when plasma sodium concentrations are low; angiotensin II then stimulates aldosterone release from the adrenal cortex and this increases Na<sup>+</sup> reabsorption in the renal tubule.
- 10. **False.** Glucocorticoids suppress all inflammatory responses, including those produced by infection.
- 11. **False.** Dexamethasone can inhibit vomiting and will add to the antiemetic actions of agents such as ondansetron. The combination is used for vomiting associated with cancer chemotherapy.
- 12. **True.** Glucocorticoids delay wound healing because of their catabolic effect on protein synthesis.

#### **OBA ANSWER**

1. **Answer D** is correct. Fluticasone (answer D) is a synthetic glucocorticoid used topically (by inhaler) in asthma. The glucocorticoids betamethasone (answer A) and deflazacort (answer B), and the mineralocorticoid fludrocortisone (answer C), are not used in asthma. Prednisolone (answer E) is a glucocorticoid that may be used systemically in asthma but not topically (by inhaler).

#### **CASE-BASED ANSWERS**

#### Case 1:

- 1. Possible causes include a tumour of the adrenal cortex secreting cortisol; excess secretion of ACTH by a pituitary tumour, or by a nonpituitary tumour (commonly small-cell carcinoma of the lung, medullary or thyroid carcinoma); or therapeutic administration of glucocorticoids or ACTH (iatrogenic).
- 2. The cause could not be a primary cortisol-secreting adrenocortical tumour or glucocorticoid administration, as the plasma ACTH level would then be low due to negative feedback of glucocorticoid on the anterior pituitary and hypothalamus. The possibilities are an ACTH-secreting pituitary or nonpituitary tumour.
- 3. Dexamethasone suppresses ACTH of pituitary origin but not from ectopic ACTH-producing tumours or adrenocortical tumours. The result might therefore exclude a tumour of pituitary origin.
- 4. Metyrapone, an inhibitor of adrenal corticosteroid synthesis, could be given. She would probably also show signs of excess mineralocorticoid activity, which should be treated concomitantly with spironolactone or eplerenone.

#### Case 2:

- 1. Inhaled glucocorticoids include beclometasone, budesonide and fluticasone.
- 2. Systemic unwanted effects are unlikely at low doses of inhaled glucocorticoids. Local problems such as oral candidiasis could be managed with a spacer device and good oral hygiene.
- 3. Intravenous hydrocortisone is likely to have been used to reduce airway inflammation in this acute exacerbation of asthma, although its onset of action would be delayed by several hours.
- 4. A short course (5 days) of oral prednisolone could have been used.
- 5. A high concentration of glucocorticoid is needed at inflammatory cells in the airways, and poor inhaler technique or airway obstruction by inflammation and mucus might prevent inhaled drugs from reaching their target. Systemic prednisolone reaches airway inflammatory leucocytes more effectively than after inhalation in these circumstances, and may also reduce bone marrow proliferation and airway recruitment of eosinophils.

- 6. The lowest possible doses of glucocorticoid should be used in chronic asthma management, using peak expiratory flow measurements and a symptom diary at home to monitor asthma control and to step the dosages up or down as appropriate.
- 7. Glucocorticoids have a wide range of metabolic effects in addition to their antiinflammatory and immunomodulatory actions. The cushingoid symptoms and signs described can all be attributed to actions on carbohydrate, protein and lipid metabolism, and suppression of the HPA axis.

#### **FURTHER READING**

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### Compendium of Corticosteroid Drugs.

DRUG	CHARACTERISTICS
Glucocorticoids	
See Table 44.2 for a list of	other chapters covering topical uses of corticosteroids for specific purposes.
Betamethasone	Used for suppression of inflammatory and allergic disorders, congenital adrenal hyperplasia and cerebral oedema. Given orally, or topically to the eye or skin, or by intramuscular injection, or by intravenous injection or infusion.
Deflazacort	Rapidly hydrolysed to active metabolite. Used for suppression of inflammatory and allergic disorders. Given orally.
Dexamethasone	Potent glucocorticoid with minimal mineralocorticoid activity. Used for suppression of inflammatory and allergic disorders, nausea/vomiting, congenital adrenal hyperplasia, cerebral oedema and diagnosis of Cushing's syndrome. Given orally, by eye-drop or intravitreal injection, by intramuscular injection, by intravenous injection or infusion, by soft tissue infiltration, or by intraarticular injection.
Hydrocortisone (cortisol)	Numerous antiinflammatory and antiallergic uses. Given orally, rectally, by intravenous injection or infusion, by intramuscular injection, or topically to the eye or skin. Also available combined with cinchocaine, lidocaine or pramocaine for haemorrhoids.
Methylprednisolone	Used for suppression of inflammatory and allergic disorders, cerebral oedema and connective tissue disease. Given orally, by intravenous injection or infusion, by intramuscular injection, or by intraarticular injection (also in a combined formulation with lidocaine).
Prednisolone	Used for suppression of numerous inflammatory and allergic disorders. Given orally, rectally, topically to the eye or ear, or by intramuscular injection.
Prednisone	Mostly converted rapidly to prednisolone. Given orally.
Triamcinolone	Used for suppression of inflammatory and allergic disorders. Given intranasally, or by intramuscular, intradermal or intraarticular injection.
Mineralocorticoid	
Fludrocortisone acetate	Mineralocorticoid used in combination with hydrocortisone for adrenocortical insufficiency. Given orally.
Inhibitor of Steroid Metabolism	
Metyrapone	Inhibits synthesis of cortisol by 11β-hydroxylation in the adrenal cortex. Used to control the symptoms of Cushing's syndrome, especially prior to surgery. Given orally.
Somatostatin Analogue	
Pasireotide	Peptide analogue of somatostatin analogue (see Chapter 43); inhibits pituitary synthesis of adrenocorticotropic hormone (ACTH). Given subcutaneously for Cushing's syndrome when surgery has failed or is inappropriate.

### **Female Reproduction**

#### **Chapter Outline**

Physiology of the Menstrual Cycle 512 Physiology of Pregnancy 514 Cellular Action of Oestrogens and Progestogens 514 Steroidal Contraceptives 514 Mechanisms of Hormonal Contraception 514 The Combined Hormonal Contraceptive 514 Progestogen-Only Contraceptives 516 Efficacy of Hormonal Contraception 516 Emergency Contraception 516 Pharmacokinetics of Contraceptive Sex Steroids 517 Unwanted Effects of Contraceptive Sex Steroids 517 Non-Contraceptive Uses of Hormonal Contraceptives 518 The Menopause 519 Hormone-Replacement Therapy and Related Drugs 519

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#### **PHYSIOLOGY OF THE MENSTRUAL CYCLE**

The *menstrual cycle* is responsible for producing oocytes in the ovary and prepares the uterus for pregnancy. Menstrual cycles usually begin between ages 12 and 15 years, a time called the menarche. The cycle is controlled by hormonal changes, driven by the endocrine function of the hypothalamic–pituitary–ovarian axis through a series of feedback loops (Fig. 45.1). Each menstrual cycle has phases that separately describe events in the ovary and the uterus. There are three phases of the *ovarian cycle*: the follicular phase, ovulation and the luteal phase. The *uterine cycle* also consists of three phases: menstruation, the proliferative phase and the secretory phase.

The menstrual cycle begins with *menstruation* (usually days 1 to 3–5 of the menstrual cycle), when the uterus sheds its lining. Following shedding of the endometrium, a group of five to seven follicles in the ovary that have been growing for up to a year start to mature. This is the *follicular phase* of the *ovarian cycle* (approximately days 5–13 of the menstrual cycle). Development of ovarian follicles is under the influence of follicle-stimulating hormone (FSH) secreted from the anterior pituitary. Increased secretion of FSH starts prior to menstruation and reaches a peak during the first week of the follicular phase, controlled by low-frequency pulsatile secretion of gonadotropinreleasing hormone (GnRH) from the hypothalamus (see also Chapter 43). GnRH increases because the low circulating concentration of oestrogen removes inhibitory feedback. FSH induces proliferation of the granulosa cells in the small follicles and drives them to convert androgens produced by their thecal cells into oestradiol (see Fig. 44.2) within the granulosa cells. It also increases expression of luteinizing hormone (LH) receptors on granulosa cells. The follicles also produce inhibin, which increases to the point where it attenuates FSH release. A single dominant ovarian follicle (or occasionally two) is selected and matures in preparation for ovulation at the expense of the remaining follicles, which undergo atresia. This maturation probably results in suppression of FSH prior to adequate development of the other follicles.

The small ovarian follicles produce gonadotropin surge-attenuating factors (GnSAF) that, together with oestradiol, act on the hypothalamus and pituitary to dampen FSH and LH release and provide the right environment for follicle growth. Oestradiol secretion from the follicle slowly rises as the follicle grows and matures. Eventually the plasma oestradiol reaches a critical concentration that, possibly with a decrease in GnSAF, triggers a switch from negative feedback to positive feedback of oestradiol on the pituitary and hypothalamus. Oestradiol then stimulates highfrequency pulsatile release of GnRH, which produces a mid-cycle surge of LH for about 48 hours, that is essential for ovulation. There is also a less marked rise



Fig. 45.1 Endocrine control of the menstrual cycle. FSH, Follicle-stimulating hormone; LH, luteinising hormone.

in FSH. LH matures the oocyte and weakens the wall of the ovarian follicle. It also stimulates the granulosa cells in the follicle to secrete progesterone. Granulosa cells are destined to become the corpus luteum after ovulation.

From day 5 to late in the menstrual cycle the gradually increasing plasma concentrations of oestradiol cause the lining of the uterus to grow. This is the *proliferative phase* of the *uterine* cycle, during which there is proliferation and vascularisation of endometrial cells and expression of progesterone receptors.

*Ovulation* is the second phase of the *ovarian* cycle (about day 14 of the menstrual cycle) and is triggered by the LH surge. The follicle releases proteolytic enzymes in response to LH, the follicle ruptures and releases the secondary oocyte, which is captured by the fimbrae of the fallopian tube. The oocyte will continue to mature but then degenerates after 12 to 24 hours if not fertilised. Following ovulation, the residual follicle transforms into the corpus luteum under the influence of FSH and LH.

The *luteal phase* of the *ovarian* cycle (days 15–26 of the menstrual cycle) begins after release of the oocyte. The corpus luteum produces increasing amounts of progesterone as well as some oestrogen. The increase in plasma progesterone stimulates the adrenals to produce oestrogen, but also suppresses LH and FSH production by negative feedback on the hypothalamus and pituitary, eventually leading to atrophy and

death of the corpus luteum. The corpus luteum will survive if the ovum is fertilized because implantation of the embryo leads to production of human chorionic gonadotrophin (hCG) which is structurally similar to LH and sustains the corpus luteum.

Progesterone from the corpus luteum causes the endometrial lining to enter the *secretory phase* of the *uterine* cycle (which therefore corresponds to the luteal phase of the ovarian cycle). The endometrial cells secrete a variety of fluids and nutrients that make the endometrium receptive for implantation. The temporal precision of the change in receptivity is critical if successful implantation of a fertilised oocyte is to occur.

If implantation of a fertilised ovum does not occur, the corpus luteum regresses after about 10 days, and the circulating concentrations of progesterone and oestrogen eventually fall to levels that no longer support the endometrium. Deprived of hormonal support, the endometrial spiral arteries constrict and the endometrial cells become ischaemic and die, producing digestive enzymes (days 27–28 of the menstrual cycle). As a consequence of this and other changes, the endometrium is shed during menstruation.

The cervical mucus is influenced by oestrogen and progesterone concentrations. Under the dominant influence of progesterone, cervical mucus is viscid and acts as a barrier to penetration by sperm. At ovulation, the high plasma oestradiol concentration results in thinner and more serous and elastic mucus that is readily penetrable by sperm. Progesterone also inhibits the motility of the fallopian tube and contractility of the uterine smooth muscle. Oestrogen has the opposite effect. At ovulation, oestradiol increases contraction of the fallopian tubes, which brings the fimbria of the tubes closer to the ovaries to catch the secondary oocyte. When progesterone levels rise 4 to 6 days after ovulation, the reduction in tubal motility allows passage of the ovum to the uterus by action of tubal cilia.

#### PHYSIOLOGY OF PREGNANCY

Pregnancy is accompanied by considerable hormonal changes. When a fertilised ovum implants in the uterine lining, the corpus luteum maintains the pregnancy during the first 6 to 8 weeks by the production of progesterone. After this, placental production of hormones takes over and the combined feto-placental unit produces progressively greater quantities of oestrogen and progesterone, which reach the maternal circulation. From about 9 days after fertilisation, the dividing cells of the implanted ovum start to secrete hCG, which prevents atrophy of the corpus luteum and stimulates it to continue to secrete progesterone and oestrogen. Eventually, the placenta takes over the production of hCG, progesterone and oestrogen. The placenta also produces human placental lactogen as pregnancy advances, which has metabolic actions that help to support fetal nutrition. The precise balance of sex steroids also contributes to quiescence of the uterus during pregnancy and the onset of labour at term.

### CELLULAR ACTION OF OESTROGENS AND PROGESTOGENS

In common with other steroid hormones, both oestrogens and progestogens act predominantly by influencing gene transcription (see Chapter 44 for a detailed description of steroid receptor function). They passively diffuse into the cell and bind to specific oestrogen receptors (ER) and progesterone receptors (PR) respectively in the cytoplasm. When the hormone binds to its receptor, it displaces chaperone proteins and the steroid-receptor complex is translocated to the cell nucleus. The steroid-receptor complex associates with hormone-response elements of numerous oestrogen- or progesterone-responsive genes. This leads to recruitment of co-activator molecules to the complex and produces gene transcription (co-activation). There are two oestrogen receptor subtypes (ER $\alpha$  and ER $\beta$ ), with different affinities for ligands and varying distributions in breast, brain, bone, endometrial, ovarian and other tissues. As well as ER $\alpha$  and ER $\beta$  receptor-mediated effects on gene transcription, G-protein-coupled membrane receptors for oestrogen (mER) have been identified that may mediate rapid, nongenomic actions or supplement its genomic effects. Progesterone has two receptor subtypes (PR-A and PR-B), with PR-B mediating most of its

gene transcriptional effects and PR-A antagonising the effects of PR-B activation.

#### STEROIDAL CONTRACEPTIVES

Hormonal contraceptives work by altering the delicate balance of oestrogen and progestogen and their effects on the menstrual cycle. They contain either a combination of a synthetic oestrogen with a synthetic progestogen (a C19 synthetic progesterone derivative) or a progestogen alone.

#### MECHANISMS OF HORMONAL CONTRACEPTION

Persistently elevated circulating concentrations of synthetic oestrogen and progestogen prevent the precise cyclic pattern of hormone-related events seen in the normal menstrual cycle (Fig. 45.2), and can be used for contraception.

- The combination of oestrogen and progestogen exerts its contraceptive effect mainly through suppression of FSH release, which prevents development of the follicles in the ovary. The lack of a dominant follicle means that production of oestradiol is impaired. The failure of plasma oestradiol to rise, combined with negative feedback on the hypothalamus and pituitary from progestogen, prevents the mid-cycle LH surge that is essential for ovulation to occur.
- Progestogen produces asynchronous development of the endometrium with stromal thinning, which makes it less receptive to implantation of the fertilised ovum.
- Oestrogens increase fallopian tube motility, whereas progestogens decrease motility; this may affect fertility by altering the rate of transport of the ovum.
- Progestogen reduces the amount of cervical mucus and makes it thicker, which impedes sperm penetration.

Progestogens can be used alone for contraception, when the mechanism depends on the dose of progestogen. Low-dose progestogen without oestrogen inhibits ovulation in only about 50% of cycles and contraception relies upon the other actions of the hormone. With higher doses, inhibition of follicular development and ovulation becomes more important.

#### THE COMBINED HORMONAL CONTRACEPTIVE

Combined hormonal contraceptives are preparations that are usually taken orally and contain both a synthetic oestrogen and a synthetic compound that simulates the effect of progesterone (progestogen). The oestrogen component is most often ethinylestradiol (an oestrogen alkylated at C17 to slow its metabolism). In other combinations it is mestranol, which is metabolised to ethinylestradiol, or oestradiol (as oestradiol valerate or hemihydrate).

The progestogen component of many older combined oral hormonal contraceptives is either levonorgestrel



Fig. 45.2 The main contraceptive actions of the synthetic oestrogens and progestogens in the combined oral hormonal contraceptive.

(the active isomer of norgestrel) or norethisterone. These compounds are testosterone analogues that also possess residual androgenic activity. Newer oral combined hormonal contraceptives contain progestogens that have been modified to have less androgenic activity (desogestrel, gestodene, norgestimate, nomegestrel, which are all derivatives of norgestrel) or antiandrogenic activity (dienogest or drospirenone, the latter a derivative of the aldosterone antagonist spironolactone with some antimineralocorticoid activity). Modified progestogens are preferred if there are unacceptable unwanted effects with levonorgestrel or norethisterone.

#### Monophasic Combined Contraceptive Preparations

Monophasic preparations deliver fixed amounts of oestrogen and progestogen throughout much of the menstrual cycle. Active tablets containing the hormones are taken daily, typically for the first 21 days of the menstrual cycle, followed by 7 hormone-free days when the woman takes tablets containing an inactive substance, such as lactose, or no tablets. The oestrogen concentration used should be the lowest that maintains good cycle control and produces minimal unwanted effects. There is a choice of:

- low-strength preparations that contain 20 micrograms ethinylestradiol;
- standard-strength preparations that contain 30 or 35 micrograms ethinylestradiol (or less commonly 50 micrograms mestranol, or 1.5 mg of oestradiol hemihydrate).

Monophasic oral combined hormonal contraceptives contain one of several progestogens. Unwanted effects such as acne, headache, depression, breakthrough bleeding or breast symptoms during the menstrual cycle may necessitate a change in progestogen. The degree of androgenic activity possessed by different progestogens may influence the suitability of an individual preparation for a particular woman.

A transdermal patch formulation of low-strength ethinylestradiol with the progestogen norelgestromin is also available; this is applied weekly for 3 weeks, followed by a 7-day patch-free interval. There is also a vaginal contraceptive ring that contains ethinylestradiol with the progestogen etonogestrel, which should remain in place for 3 weeks in each cycle.

### Biphasic and Triphasic Combined Contraceptive Preparations

Biphasic and triphasic preparations are designed to mimic more closely the changes in sex hormone concentrations that occur during the natural menstrual cycle. The total sex hormone intake through the cycle is no less than with monophasic preparations. Several preparations are available, which contain ethinylestradiol in combination with levonorgestrel, norethisterone or gestodene, or oestradiol valerate combined with dienogest. The dose of oestrogen is either kept constant throughout (biphasic and some triphasic preparations), or increased during days 7 to 12. Progestogen doses are increased once (biphasic) or twice (triphasic) as the menstrual cycle proceeds. The tablets are colour coded to indicate the changing hormonal content. These preparations reduce the risk of irregular bleeding, and triphasic preparations may be preferred for women with acne.

#### Tricycling, Continuous and Tailored Regimens for Combined Hormonal Contraceptives

Although not a licensed form of use, many women wish to have fewer withdrawal bleeds, which can be achieved by omitting hormone-free intervals. Such use may also be beneficial for those who have symptoms during hormone-free intervals such as headaches, skin changes, mood disturbance, tiredness, bloating or menstrual pain. These variable regimens can only be used with monophasic preparations (including patches or vaginal rings) and allow women to lengthen the active treatment to up to 9 weeks, followed by a 4- to 7-day hormone-free period ('tricycling'). The cycle length is 67 or 70 days with five withdrawal bleeds a year. Some women opt for continuous use of hormones and/or reduce the hormone-free interval to 4 days instead of 7 days (continuous/tailored regimens). There is no evidence that tricycling and continuous/tailored regimens affect safety, and they may reduce 'real world' contraceptive failures.

#### **PROGESTOGEN-ONLY CONTRACEPTIVES**

#### **Oral Progestogen-Only Contraceptives**

The oral progestogen-only contraceptive (or 'progestogen-only pill'; POP) is particularly useful for women for whom the use of oestrogen is considered to be undesirable – for example, if there is a history of thromboembolic disorders or hypertension (discussed later). Progestogens used for oral preparations include desogestrel, levonorgestrel or norethisterone. The progestogen-only contraceptive must be taken daily, without a break, and within 3 hours of the usual time every day (see the discussion of efficacy later in the chapter). Because the dose of progestogen is low, bleeding does occur at monthly intervals but may be irregular. Breakthrough bleeding occurs in up to 40% of women; this is much higher than with the combined hormonal contraceptive. Some women become amenorrhoeic while using oral progestogen-only contraception.

#### Parenteral Progestogen-Only Contraceptives

Intramuscular or subcutaneous injection of medroxyprogesterone acetate is effective for 12 to 13 weeks and can be used for long-term contraception. Norethisterone enantate (which can provide contraception for 8 weeks) is used only for short-term contraception. The higher dose of progestogen compared with the oral preparations reliably inhibits ovulation. The contraceptive effect is fully reversible, but there is a high incidence of amenorrhoea when its effect wears off. Prolonged use of medroxyprogesterone acetate can reduce bone mineral density and cause osteoporosis. The reduction of bone mineral density occurs over the first 2 to 3 years of use and then stabilises. Prolonged use of medroxyprogesterone acetate beyond 2 years, or its use in adolescents or people with other risk factors for osteoporosis, is discouraged.

A subdermal implant of etonogestrel provides contraception for up to 3 years, after which it should be replaced. The progestogen is released from a flexible rod inserted on the medial aspect of the upper arm. Local irritation is experienced by some women. The implants contain barium so they are radio-opaque and can therefore be easily located by radiography.

#### Intrauterine Progestogen-Only Device

A plastic intrauterine contraceptive system (IUS) with a silicone reservoir releasing levonorgestrel provides effective contraception, with reduced menstrual blood loss compared with copper intrauterine devices (IUDs) that do not contain progestogen. It also carries less risk of pelvic inflammatory disease. The progestogen is released from the device for a period of 3 to 5 years, depending on the device chosen. As it prevents endometrial proliferation, the IUS is also used to control menstrual bleeding in women with primary menorrhagia.

#### **EFFICACY OF HORMONAL CONTRACEPTION**

When taken reliably according to the recommended schedule ('perfect use'), the failure rate for the combined hormonal contraceptive and for progestogenonly oral contraception (as well as for the intrauterine progestogen-only device) is 0.3%. This deteriorates to 9% with 'typical use' of oral contraceptives. With the combined oral hormonal contraceptive, protection is reduced if there is a delay of more than 24 hours in taking the daily dose. In such circumstances the missed dose should be taken as soon as possible. If two doses or more are missed, then additional contraceptive measures should be used for 7 days.

With the oral progestogen-only contraceptive, if there is a delay of 3 hours or more after the normal time of taking the daily dose of oral progestogen-only contraceptive, other contraceptive precautions should be taken for 2 days.

#### **EMERGENCY CONTRACEPTION**

There are three methods of emergency contraception for use after unprotected sexual intercourse or contraceptive failure:

- oral levonorgestrel;
- oral ulipristal acetate;
- copper-containing IUD.

The oral emergency contraceptives delay ovulation for 5 days, so neither is effective after ovulation has occurred. A single large dose of levonorgestrel taken within 72 hours after unprotected sex, preferably within 12 hours, prevents pregnancy in up to 99% of cases. Levonorgestrel inhibits ovulation, but only if it is taken before the LH surge. Efficacy is greatly reduced if used between 72 and 120 hours after unprotected sex. Nausea is a frequent unwanted effect, occurring in up to 22% of women, and an antiemetic (e.g. domperidone; see Chapter 32) may be needed. Absorption takes 2 hours and vomiting after this time will not affect the efficacy of treatment. A larger dose may be required if drugs that induce drug-metabolising enzymes in the liver are being taken. Overall pregnancy rate is 2% to 6%.

Ulipristal acetate is a progesterone receptor modulator that suppresses the mature follicle up to and including the time of the LH surge. It can be effective when taken up to 120 hours after unprotected sex. The use of ulipristal acetate is associated with a lower pregnancy rate (1%–2%) than levonorgestrel.

Insertion of a copper IUD up to 5 days after unprotected sexual intercourse inhibits both fertilization of the ovum and implantation. An IUD should not be used within 21 days after childbirth. The IUD is more effective as emergency contraception than levonorgestrel, and may be more effective than ulipristal acetate with a reported pregnancy rate of less than 0.1%.

### PHARMACOKINETICS OF CONTRACEPTIVE SEX STEROIDS

The synthetic oestrogens, like the naturally occurring oestradiol-17 $\beta$  (oestradiol), and progestogens are highly lipid-soluble molecules. The synthetic sex steroids are metabolised more slowly than the natural hormones, with less first-pass metabolism and a longer half-life. For example, oestradiol has a half-life of 1 to 2 hours, whereas that of ethinylestradiol is 8 to 24 hours. Oestrogens and progestogens are eliminated by hepatic metabolism, often involving CYP3A4-mediated oxidation and/or conjugation with glucuronic acid or sulfate. Enterohepatic cycling of ethinylestradiol conjugates is responsible for maintaining effective plasma concentrations with low-dose oestrogen formulations.

#### **Drug Interactions**

The pharmacokinetics of oestrogens and progestogens can be affected by the administration of other drugs. Contraceptive failure may occur if there is concomitant treatment with drugs that induce liver cytochrome P450 enzymes (see Table 2.7), such as anticonvulsants (e.g. barbiturates, carbamazepine or phenytoin), antiretroviral drugs (e.g. nevirapine or ritonavir) or certain antibacterials (e.g. rifampicin and rifabutin; see **Chapter 51**). A higher dose of ethinylestradiol should be used during administration of these drugs and for 4 weeks after stopping. Alternatively, a form of contraception unaffected by enzyme-inducing drugs should be used (such as an IUS or parenteral progestogen).

### UNWANTED EFFECTS OF CONTRACEPTIVE SEX STEROIDS

Both oestrogens and progestogens have a number of minor unwanted effects. The risk of the major unwanted effects is relatively low for individual women, but important from a population perspective because the contraceptive sex steroids are so widely used.

• *Venous thromboembolism*: The incidence of venous thromboembolic disease is increased in women

taking the combined hormonal contraceptive, especially in the first year or after a break of four weeks or more. The mechanisms are complex but include procoagulant activity from increased production of clotting factors II and X and decreased production of antithrombin. Fibrinolysis is impaired, whilst reduced prostacyclin generation enhances platelet aggregation (see Chapter 11). The baseline risk of venous thromboembolism in women of reproductive age not taking the combined oral hormonal contraceptive is about 2 per 10,000 per year. The risk in women taking combined oral hormonal contraceptives is related to oestrogen dose and also to the progestogen component, ranging from 5 to 7 per 10,000 per year with levonorgestrel and 9 to 12 per 10,000 per year with gestodene. It is important that these risks are put into context; for example, the risk of venous thromboembolism is 6 per 10,000 pregnancies. The risk of thromboembolism in all women increases with age, and is greater in women who smoke (because smoking is thrombogenic) or who are obese, and in those with a prothrombotic coagulation disorder, such as deficiency of protein C or protein S or the presence of factor V Leiden. Risk assessment and counselling about the use of a combined oral hormonal contraceptive should consider these additional factors. There is no increase in venous thromboembolism risk with progestogenonly contraception.

- Ischaemic heart disease and ischaemic stroke: There is an increased risk of myocardial infarction and stroke in women taking the combined hormonal contraceptive who smoke, are hypertensive, have diabetes mellitus or are obese, and those over the age of 35 years. The additional risk in those over 40 years is 20 per 100,000 for smokers and 29 per 100,000 for women with hypertension. Enhanced thrombogenesis rather than premature atherogenesis is probably responsible for the excess cardiovascular risk with the combined hormonal contraceptive. The lowest possible dose of oestrogen should be given to older women who want to use the combined hormonal contraceptive. Oestrogens are not recommended for women over 35 years who smoke. They may be offered progestogenonly contraception, which does not increase the risk of stroke or myocardial infarction.
- *Increase in blood pressure*: A small increase in blood pressure, typically about 5/3 mm Hg (systolic/diastolic), is common during use of the combined hormonal contraceptive, but not with progestogen-only contraceptives. A significant rise in blood pressure can occur in about 5% of women with previously normal blood pressure and in up to 15% of women with preexisting hypertension. The mechanism is probably an increase in plasma renin substrate (see Chapter 6) produced by oestrogen. Blood pressure may remain elevated for some months after the combined hormonal contraceptive has

been stopped. Regular monitoring of blood pressure is advisable during use of the combined hormonal contraceptive, and it should be stopped if the blood pressure rises above 160 mm Hg systolic or 95 mm Hg diastolic.

- *Breast and cervical cancer*: The rate of diagnosis of breast cancer is higher in those taking a combined hormonal contraceptive and remains higher for 10 years after the contraceptive is stopped. The incidence of cervical cancer is slightly increased by combined hormonal contraceptives after 5 years of use. By contrast, the combined oral contraceptive reduces the risk of ovarian cancer by 40% and endometrial cancer by 50% after 5 years of use, and the reduction persists for up to 15 years after stopping. Progestogen-only contraception has no effect on the risk of cancer.
- Nausea, mastalgia, depression, headache, weight gain and provocation of migraine. These effects may be reduced by prescribing preparations with low oestrogen content, or by replacing levonorgestrel and norethisterone with desogestrel, drospirenone or gestodene. The combined hormonal contraceptive should be avoided in women who have migraine with aura, because of an increased risk of stroke.
- *Breakthrough intermenstrual bleeding* occurs frequently in some women, whereas in others, withdrawal bleeding fails to occur at the end of a cycle. Gestodene-containing pills or triphasic preparations probably give the best cycle control. Amenorrhoea after stopping the combined hormonal contraceptive can last beyond a few months in about 5% of women, and a small number can experience amenorrhoea for more than a year. A history of irregular periods before taking the combined hormonal contraceptive increases the chance of prolonged amenorrhoea.
- *Metabolic effects*: Oestrogens increase arterial prostacyclin and nitric oxide synthesis, inhibit platelet adhesion and suppress smooth muscle cell proliferation. Some progestogens such as norethisterone and medroxyprogesterone acetate may oppose these beneficial effects of oestrogens on the arterial wall. When oestrogen is used in combination with levonorgestrel or norethisterone, high-density lipoprotein (HDL) cholesterol is reduced. By contrast, combined hormonal contraceptives containing gestodene and desogestrel increase plasma triglycerides but increase HDL cholesterol. The clinical relevance of these small changes is uncertain.
- *Increased skin pigmentation* can occur in some women who take oestrogens. The androgenic progestogens can sometimes cause or aggravate hirsutism and acne or induce weight gain. For women with hyperandrogenaemia (such as occurs with polycystic ovary syndrome), gestodene or desogestrel, which have little androgenic activity, are preferred.
- *Cholestatic jaundice* can be produced by progestogens, and oestrogens increase the risk of gallstones.

### NON-CONTRACEPTIVE USES OF HORMONAL CONTRACEPTIVES

Combined hormonal contraceptives can be used:

- to reduce excessive blood loss from menorrhagia;
- to reduce the pain of dysmenorrhoea;
- to treat premenstrual tension;
- to treat endometriosis;
- to treat acne in women (see Chapter 49).

#### Menorrhagia

Excessive menstrual blood loss is a common problem. Coagulation disorders may need to be excluded, but drug treatment is often offered initially without further investigation in those at low risk of fibroids or adenomyosis. First-choice treatment is often a levonorgestrel IUS which can reduce menstrual blood loss by up to 90%. If this is not acceptable, then menstrual blood loss can be reduced to a variable extent by nonsteroidal antiinflammatory drugs (NSAIDs; see Chapter 29), taken only during the time of menstruation. The antifibrinolytic agent tranexamic acid (see Chapter 11) can also reduce menstrual blood loss by up to 50%. Its effect is rapid, and therapy is also only required during the time of menstruation.

Combined hormonal contraceptives and oral progestogen-only contraceptives can reduce excessive menstrual blood loss. Progestogen-only contraceptives must be taken for 3 weeks in each cycle at a fairly high dose.

Large fibroids causing menorrhagia may require interventional treatment, but drug therapy can provide interim relief.

#### **Primary Dysmenorrhoea**

The cause of primary dysmenorrhoea (pain associated with menstruation) is unknown. The pain is intermittent, cramp-like and usually starts shortly after the menstrual flow. It most often begins 6 to 12 months after the menarche, peaking at 20 to 24 years and declining thereafter. Many explanations have been proposed, including uterine hyperactivity, excessive prostaglandin or leukotriene generation, and excessive production of vasopressin. NSAIDs (see Chapter 29) relieve the pain of dysmenorrhoea in approximately 50% of women. There are differences in efficacy among the NSAIDs that do not seem to be simply related to their analgesic or antiinflammatory activity. NSAIDs with a license for this indication in the UK include ibuprofen, mefenamic acid and naproxen. If there is no response to NSAIDs after three menstrual cycles, a combined hormonal contraceptive or the progestogen-only contraceptive can be considered. The levonorgestrel IUS can reduce pain, whereas the copper IUD increases symptoms.

If symptoms do not respond to standard treatments, then laparoscopy to look for pelvic endometriosis, the most common cause of secondary dysmenorrhoea, should be considered.

#### Premenstrual Syndrome

Many women experience a variety of symptoms precipitated by ovulation that may continue up to the end of menstruation. The term *premenstrual syndrome* is used to describe the symptom complex if a woman has at least 1 week free of symptoms every cycle. Physical symptoms include joint and muscle pains, breast tenderness, abdominal bloating, headaches, weight gain and oedema of the hands or feet. These are often accompanied by increased appetite, fatigue, mood swings, irritability and sleep disturbance. Combined hormonal contraceptives containing levonorgestrel or norethisterone can produce symptoms similar to premenstrual syndrome that often resolve with a different progestogen.

Treatment of premenstrual syndrome includes cognitive behavioural therapy, aerobic exercise and vitamin B<sub>6</sub> for emotional symptoms. The diuretic spironolactone (see Chapter 14) taken in the luteal phase (days 15–28 of the menstrual cycle) can reduce abdominal bloating, breast discomfort and mood disturbance. Selective serotonin reuptake inhibitors such as citalopram (see Chapter 22) can treat both physical symptoms and mood disturbance when used either continuously or just in the luteal phase. They are often effective at lower doses than those required to treat depression. A combined hormonal contraceptive containing drospirenone is helpful if fertility is not an issue. For severe symptoms, ovulation can be suppressed with a GnRH analogue such as goserelin (see Chapter 43), but add-in hormone replacement therapy (HRT) will be required to prevent osteoporosis if treatment is continued for more than 6 months.

#### **Endometriosis**

Endometriosis is the presence and proliferation of endometrial tissue outside the uterine cavity. It may arise from retrograde menstrual flow through the fallopian tubes. The main consequences are chronic pelvic pain, dysmenorrhoea, dyspareunia, period-related gastrointestinal or urinary symptoms and infertility. It affects 10% of women of reproductive age. The diagnosis may be made by imaging, but often is only confirmed on laparoscopy.

Treatment is either medical or surgical. Simple analgesia should be tried first, and consideration given to medicines for neuropathic pain (see Chapter 19). Combined hormonal contraception or progestogen-only contraception can relieve symptoms by reducing ovarian activity but is not appropriate for women who wish to conceive.

If fertility is the major problem or if medical treatment is unsuccessful, then laparoscopic surgical excision or ablation of deposits can be considered. In vitro fertilisation may be required (see Chapter 43).

#### THE MENOPAUSE

The menopausal transition from regular periods to amenorrhoea begins at a median age of 52 years and takes place over about 4 years. It arises because there is a natural depletion of ovarian follicles, and as a result the plasma oestrogen concentration falls, with a consequent rise in plasma FSH. After the menopause the ovaries do not produce oestrogen or progesterone but continue to produce testosterone. Some oestrogen is still produced by conversion of adrenal corticosteroids to oestradiol in peripheral adipose tissue. About 25% of women will experience troublesome symptoms during the menopause. The consequences of hormonal changes during and after menopause include:

- Vasomotor instability (hot flushes and night sweats) that results from resetting of the hypothalamic temperature set-point so that it perceives that the body is warmer than it is. Vasodilation and sweating represent an attempt to disperse heat. The mechanism of hypothalamic disturbance may be either reduced oestrogen or increased FSH, leading to a reduction in noradrenergic or serotonergic neurotransmission. These symptoms can last 4 to 10 years.
- Altered sexual and urinary function. Loss of connective tissue in the vagina and trigone of the bladder and a less acidic vaginal pH produce vaginal dryness, discomfort and itching, dyspareunia, and urinary urgency, frequency and incontinence. Breast atrophy and thinning of the skin also occur.
- Irritability and depression, which are less clearly related to oestrogen deficiency and may be associated with psychosocial factors.
- Bone loss leading to osteoporosis (see Chapter 42) and an increased susceptibility to fragility fracture occur after menopause. Oestrogen deficiency increases bone turnover, with bone resorption increasing more than formation.
- Cardiovascular disease and cerebrovascular disease are increased. The cause is uncertain. Unfavourable changes in lipids may be part of the explanation, due to a reduced HDL<sub>2</sub> cholesterol subfraction and increased low-density lipoprotein (LDL) cholesterol (see Chapter 48). However, loss of the action of oestrogen in reducing plasma fibrinogen (a factor in thrombogenesis) may be more important. Stimulation of oestrogen receptors on cells of the arterial wall decreases arterial resistance and increases vessel compliance, and loss of these effects may also be relevant.

Treatment with oestrogen replacement therapy during the peri- and postmenopausal period has the potential to reverse the effects of oestrogen deficiency.

### HORMONE-REPLACEMENT THERAPY AND RELATED DRUGS

#### **Oral Oestrogens and Progestogens**

For HRT, oestrogens are given at much lower doses than are used for contraception. However, if oestrogen is given alone for more than a few weeks to a woman who has a uterus, then cystic hyperplasia of the endometrium can occur. Progestogen is given concurrently to avoid this and is used either for 12 days each calendar month (sequential HRT) or continuously (continuous combined HRT) if withdrawal bleeding is to be avoided. Oestrogen can be used alone if the woman has had a hysterectomy.

The majority of oral HRT preparations contain natural oestradiol as the oestrogen, although preparations with conjugated equine oestrogens are also available. Synthetic progestogens are used, either testosterone derived (dydrogesterone, medroxyprogesterone, drospirenone) or progesterone derived (norethisterone, norgestrel, levonorgestrel).

#### Subcutaneous Oestrogen Implants

Oestradiol can be implanted surgically as pellets that release drug for up to 6 months. The major use for this option is when tolerance of oral oestrogen is poor, perhaps because of nausea. Oral progestogen must also be taken for 10 to 12 days each month if the woman has a uterus, and continued for up to 2 years after stopping oestrogen, to prevent vaginal bleeding from persistently high oestrogen levels.

#### Transdermal Oestrogen and Oestrogen with Progestogen

A variety of transdermal patches that deliver sex steroids are available. In some preparations, oestrogen alone is delivered by patches applied twice weekly for 2 weeks, followed by patches delivering oestrogen plus progestogen for 2 weeks. In other regimens, progestogen is taken orally for at least 12 days of the cycle, while continuing with the patch-delivered oestrogen. Patches delivering continuous oestrogen plus progestogen (levonorgestrel or norethisterone) are also available. An alternative approach is oestradiol gel applied twice daily, which also requires co-administration of oral progestogen for 12 days per month in women with a uterus. It is recommended that oestrogen is applied below the waistline, and not close to the breasts, to minimise breast tenderness.

#### Unwanted Effects and Risks of Systemic Hormone-Replacement Therapy

- Breakthrough bleeding can be troublesome and regular withdrawal bleeds during cyclical progestogen therapy are common. These may be preceded by symptoms of premenstrual tension. Bleeding can be avoided with continuous progestogen.
- Headache, breast tenderness, bloating and muscle cramps are common but usually resolve over the first 3 months. Breast tenderness may respond to evening primrose oil, reduction in oestrogen dose or changing the progestogen.
- Nausea and vomiting.
- Acne or greasy skin due to progestogen. Changing the progestogen to one with less androgenic activity may help.
- Depression, irritability, loss of energy and poor concentration due to progestogen. Changing the

progestogen or the route of administration may help.

- Transdermal delivery can cause contact sensitisation.
- Increased risk of venous thromboembolism, especially in the first year and in those with other risk factors (obesity, smoking, immobility, previous thromboembolic disease). The risk after 5 years of use is increased by about 40% for combined HRT, which equates to an excess risk of 18 cases per 10,000 women per year. Transdermal oestrogen replacement does not increase risk of venous thromboembolism.
- HRT may decrease the risk of cardiovascular disease in women under 60 years old, but may produce a small increase in risk of ischaemic stroke in older women.
- Possible increased risk of dementia in women aged over 65 years.
- Increased risk of breast cancer after 5 years of use. The excess risk is lost 5 years after stopping HRT. Taking combined HRT (and especially continuous progestogen) for 10 years leads to a 40% increase in the risk of developing breast cancer in women aged 50 to 64 years (excess risk is six cases per 1000). Oestrogen-only HRT carries about one-quarter of this excess risk.
- The risk of endometrial cancer is increased by oestrogen-only HRT, which should always be combined with progestogen in women who have a uterus. Sequential HRT with progestogen reduces but does not eliminate the risk, whilst there is no risk with continuous combined HRT.
- The risk of cholecystitis is increased by oral but not transdermal oestrogen.

#### Vaginal Oestrogen

Oestrogen cream (usually oestradiol) or pessaries can be used to treat vaginal atrophy and dyspareunia, and can relieve perimenopausal urinary symptoms such as frequency and dysuria. Considerable systemic absorption occurs with some formulations, and an oral progestogen may be needed to prevent endometrial hyperplasia. Creams or pessaries are used daily for 2 to 3 weeks initially and then applied twice weekly for as long as required.

#### Tibolone

Tibolone is a synthetic compound which is metabolised to three compounds, two of which have oestrogenic activity and a third which has progestogenic and androgenic activity. The effects of tibolone are complex and tissue-specific:

- Oestrogenic metabolites have effects on bone that reduce postmenopausal bone loss.
- Oestrogenic metabolites have effects on the brain and blood vessels.
- In breast tissue, tibolone inhibits one enzyme and activates another which prevents conversion to

oestrogenic metabolites. This gives a low incidence of breast tenderness.

• In the endometrium, an effect on the same enzymes prevents formation of the oestrogenic metabolites and leaves the progestogenic metabolite, which prevents stimulation of the endometrium.

Tibolone reduces postmenopausal symptoms and prevents postmenopausal bone loss. Vaginal bleeding can occur in women who still produce some endogenous oestrogen, and therefore tibolone is not usually given to women who are within 12 months of their last period.

#### **Pharmacokinetics**

Tibolone is a prodrug that is metabolised in the liver to active metabolites with half-lives of about 8 hours.

#### Unwanted effects

- Hot flushes.
- Leg cramps.
- Pelvic pain.
- Increased risk of stroke.
- Increased risk of breast cancer and endometrial cancer, but to a lesser extent than combined hormonal HRT.

#### MANAGEMENT OF MENOPAUSAL SYMPTOMS

Nonpharmacological treatment with cognitive behavioural therapy, hypnosis or acupuncture can help vasomotor symptoms. This is helpful for those who cannot take or do not want hormonal replacement, including those who have a history of breast cancer.

Oestrogen (used together with a progestogen, unless the woman does not have a uterus) is by far the most effective treatment for menopausal symptoms, improving vasomotor symptoms and low mood. Full effect on symptoms may take 3 to 6 months. An initial low oral or transdermal dose of oestrogen will minimise the risk of venous thromboembolism. It is important to emphasise that HRT does not provide contraceptive protection and to discuss the increased risk of breast cancer. HRT reduces the risk of vertebral and hip osteoporotic fractures. However, because of the potential risks of treatment, HRT is not considered to be a first-line option to treat or prevent osteoporosis. There is a choice of continuous combined oestrogen and progestogen or sequential HRT with continuous oestrogen and added progestogen for 12 to 14 days a month. Continuous combined HRT is not suitable for women who are within 12 months of their last period, but will provide period-free HRT for older women. Unwanted effects are most likely to be troublesome in women who have been oestrogen deficient for some time, and usually resolve by 3 months of use.

Treatment for symptom relief probably should be given for at least 6 months to perimenopausal women, after which withdrawal can be attempted to see whether symptoms have resolved spontaneously. When a decision to stop HRT is made, it should be withdrawn gradually. The continued use of HRT should be reviewed annually and the woman's perception that the benefits exceed the risks will determine the duration of treatment. The risks of long-term use should be explained, but in general, for women under the age of 60 years the benefits of HRT for symptom relief outweigh the risks. If HRT is continued beyond 5 years or beyond the age of 54 years (when the woman is likely to be postmenopausal), then continuous combined HRT is desirable to avoid endometrial cystic hyperplasia that may occur with cyclical treatment. Women with premature natural or surgical menopause (before the age of 45 years) are recommended to continue treatment at least until the average age of menopause at 52 years to provide bone protection.

An alternative to an oestrogen-progestogen combination is the use of conjugated oestrogens with the selective oestrogen receptor modulator (SERM) bazedoxifene (see also Chapter 42). The SERM prevents oestrogenic stimulation of the uterus and can be used when progestogen therapy is inappropriate.

Tibolone is an option for postmenopausal women and avoids symptoms related to progestogen fluctuation. Atrophic vaginitis may respond to vaginal oestrogen, which is usually necessary long-term. There is limited evidence for the use of antidepressants that modulate monoaminergic neurotransmission, such as selective serotonin reuptake inhibitors (SSRIs) and serotonin and noradrenaline reuptake inhibitors (SNRIs; see Chapter 22) to treat vasomotor symptoms, but there is no evidence that they improve mood. Clonidine (see Chapter 6) or the anticonvulsant gabapentin (see Chapter 23) can also be used to treat hot flushes.

#### PREGNANCY AND LABOUR

#### THE ONSET AND INDUCTION OF LABOUR

Labour is the physiological process by which the fetus is expelled from the uterus (Fig. 45.3). The exact mechanisms that trigger labour in humans are not fully understood. The onset of labour is preceded by connective tissue changes in the cervix that allow it to dilate when uterine contractions start. The uterine muscle, the myometrium, must also be converted from a quiescent structure with dyssynchronous contractions to an active coordinated contractile unit, a process called activation. These events lead to spontaneous rupture of the fetal membranes.

Pregnancy is maintained by the antiinflammatory effects of progesterone which keep uterine muscle in a quiescent state. Functional withdrawal of the effect of progesterone (in part due to downregulation of progesterone receptors in the myometrium) acts together with inflammatory signalling to induce labour. The timing of the onset of labour is controlled by fetal– placental–maternal interactions.



**Fig. 45.3 Induction of labour.** The mechanisms involved in the onset of labour in humans are uncertain but likely to be multifactorial. Prostaglandins (*PG*) are synthesised by the amnion and decidua and they stimulate the uterus and soften the cervix. Fetal and maternal oxytocin and prostaglandins may be involved in the processes of labour; their role in the initiation of labour is uncertain.

Activation of the fetal hypothalamic-pituitaryadrenal (HPA) axis is the final common pathway toward labour. Placental expression of the gene for corticotropin-releasing hormone (CRH) is a crucial component of the biochemical signalling that precedes the inflammatory response. Placental CRH production is initially suppressed by progesterone, but increases exponentially at the end of pregnancy as a paradoxical response to maternal cortisol production (in contrast to suppression of maternal CRH by negative feedback). Activation of the fetal HPA axis by CRH leads to adrenocorticotropic hormone (ACTH) production and release of dehydroepiandrostenedione sulfate (DHEAS) and cortisol from the fetal adrenal glands. DHEAS is converted sequentially by the fetal liver and the placenta to oestriol. Oestrogen induces migration of immune cells into the uterus. The cortisol-dominant environment of the feto-placental unit probably contributes to downregulation of progesterone receptors. Cortisol in the fetus stimulates the fetal lung to produce surfactant protein A (SP-A) (see Chapter 13). CRH also enhances prostaglandin production in fetal membranes and the placenta.

Increased myometrial contractility is the result of an inflammatory process mediated by several chemokines. Some are produced by the myometrium in response to stretch and CRH. These lead to gene expression for receptors for the myometrial contraction inducers (uterotonins) oxytocin and prostaglandin (PG)F<sub>2α</sub>. Contraction-associated proteins are also produced, which enhance the sensitivity of the uterus to uterotonins. Together, these changes permit forceful and coordinated uterine contractions. There is also a crucial inflammatory signal from the fetus that initiates labour. This involves production of SP-A and the inflammatory phospholipid platelet-activating factor (PAF), initiated by fetal cortisol. SP-A and PAF are secreted into amniotic fluid where they activate fetal immune cells that migrate to the maternal uterus and promote an inflammatory response.

The contractility of the uterus during labour commences at the uterotubular junction and progresses through the body of the uterus to the cervix, thus promoting efficient labour. As can be seen, the interaction of hormonal and other local influences on the uterus at the onset of labour is complex. The key influences are outlined in the following sections.

#### Prostaglandins

 $PGF_{2\alpha}$  and  $PGE_2$  synthesised by the cells of the amnion and decidua are uterotonic at the end of pregnancy. Production of prostaglandins is increased near term, following induction of cyclooxygenase 2 (COX-2) by inflammatory cytokines. Myometrial sensitivity to prostaglandins is approximately 10-fold higher at term than in earlier pregnancy.  $PGE_2$  softens the cervix, an essential prerequisite for the smooth passage of labour. Prostaglandins also upregulate oxytocin receptors.

#### Oxytocin

Oxytocin is a uterotonic peptide produced by the fetal and maternal posterior pituitary (see Chapter 43) and release of oxytocin has a positive feedback on further release. Oxytocin release appears to be triggered by fetal stimulation of the cervix and vaginal distention, facilitated by the loss of inhibition by progesterone. There is a marked increase in the expression of uterine oxytocin receptors from about 35 weeks of pregnancy onward. Therefore, oxytocin has a marked uterotonic action at term but is much less effective earlier in pregnancy. Oxytocin releases prostaglandins from fetal membranes and acts synergistically with prostaglandins to release Ca<sup>2+</sup> from intracellular stores in the myometrial cells and promote muscle contraction. The oxytocin concentration in the maternal circulation does not increase until the second stage of labour when the cervix is fully dilated.

#### **Oestradiol/Oestriol**

Oestrogen production increases in the last 4 to 6 weeks of pregnancy. Oestrogens increase the synthesis of prostaglandins and upregulate receptors for prostaglandins and oxytocin, which increases the sensitivity of the uterus to their effects. They increase the number of myometrial gap junctions and promote fundal dominance of uterine contractility by an effect on the functional pacemaker at the uterotubular junction.

#### Progesterone

Progesterone is a major factor in uterine quiescence and maintaining cervical integrity. Progesterone decreases the number of myometrial gap junctions, which reduces intrinsic contractility, diminishes uterine pacemaker activity and decreases the sensitivity of the uterus to oxytocin and prostaglandins. It also suppresses prostaglandin production. At the onset of labour there is reduced responsiveness of progesterone receptors, which blocks the cellular action of the hormone.

#### STAGES OF LABOUR

Labour has three stages:

*Stage 1*: regular uterine contractions dilate the cervix in preparation for delivery. During a first pregnancy, this stage usually lasts on average 8 hours, and rarely more than 18 hours. For second and subsequent pregnancies, it lasts on average 5 hours and rarely more than 12 hours.

Stage 2: delivery of the baby.

Stage 3: delivery of the placenta.

### MYOMETRIAL RELAXANTS (TOCOLYTICS) AND PRETERM LABOUR

Preterm birth is a delivery that occurs before 37 weeks of gestation and affects 7% to 10% of pregnancies. The causes include intrauterine growth restriction, preeclampsia, placenta previa, premature rupture of the membranes, intrauterine infection and uterine stretching from multiple birth pregnancy. Preterm birth may also be spontaneous with no obvious precipitant.

Prematurity is the largest cause of neonatal morbidity and mortality, but relatively poor pharmacological tools are available currently to prevent it. This reflects our incomplete understanding of the underlying pathophysiology. Therapeutic strategies have concentrated on inhibition of myometrial contractions (tocolysis) when labour begins between 24 and 33 weeks of gestation.

#### **Calcium Channel Blockers**

Calcium channel blockers, particularly oral nifedipine (see Chapter 5), are at least as effective as other tocolytics for most outcomes in preterm labour. They reduce neonatal respiratory distress syndrome more than other tocolytics. Nifedipine is generally well tolerated in this indication.

#### Atosiban

#### Mechanism of action

Atosiban is a peptide analogue of oxytocin and a partial agonist at oxytocin receptors in the decidua and myometrium. In the presence of oxytocin, however, it acts as an oxytocin receptor antagonist, reducing the release of intracellular Ca<sup>2+</sup>.

#### Pharmacokinetics

Atosiban is given initially by intravenous bolus injection followed by an infusion; it has a short half-life (0.2–1.7 hours).

#### Unwanted effects

- Nausea, vomiting.
- Headache, dizziness.
- Tachycardia, hypotension, hot flushes.
- Hyperglycaemia.

#### **Beta**<sub>2</sub>-Adrenoceptor Agonists

 $\beta_2$ -Adrenoceptor agonists such as salbutamol inhibit uterine contractility by increasing the intracellular concentration of cyclic adenosine monophosphate.  $\beta_2$ -Adrenoceptor agonists are less effective than calcium channel blockers for most outcome measures in preterm labour, and have more unwanted effects. If considered appropriate, salbutamol is given intravenously or orally for up to 48 hours following the start of preterm labour, after which the risks to the mother increase with no benefit to the fetus. Unwanted effects include nausea, vomiting, flushing, and maternal and fetal tachycardia with hypotension (see also Chapter 12).

#### Management of Preterm Labour

Tocolytics can prolong pregnancy for up to 7 days if the membranes are intact, but do not reduce fetal mortality. However, they may provide some protection against neonatal sepsis and intraventricular haemorrhage. They may also improve gestational age at birth and provide a limited time for treatment with a corticosteroid to enhance lung maturation (see Chapter 13), or for transfer of the mother to a specialist unit. When a tocolytic drug is indicated, then nifedipine or atosiban are preferred as they have fewer unwanted effects on the mother than a  $\beta_2$ adrenoceptor agonist. Nifedipine has the advantage of oral administration.

Antibiotics are recommended to prevent early neonatal infection if the membranes rupture before labour begins. Intravenous magnesium sulfate is recommended for all women in preterm labour to reduce the risk of cerebral palsy in the infant, although it does not prolong pregnancy.

### DRUGS FOR INDUCING AND AUGMENTING LABOUR

Induction of labour may be necessary if a woman has not entered spontaneous labour by 42 weeks, gestation or if there has been spontaneous rupture of membranes after 34 weeks. Inadequate uterine contractions in the first stage of labour may respond to pharmacological augmentation.

#### Dinoprostone

Dinoprostone (the name for exogenous PGE<sub>2</sub>) produces contractions in both the nonpregnant and the pregnant uterus. The sensitivity of the uterus to prostaglandins is higher than to oxytocin prior to term. Dinoprostone can produce contractions that are indistinguishable from spontaneous labour and has the added advantage of softening ('ripening') the cervix, so it can be used for induction of labour before term. Dinoprostone is given locally as vaginal tablets, pessaries or gels.

#### Unwanted effects

- Gastrointestinal disturbances, particularly nausea, vomiting and diarrhoea.
- Uterine hypertonus, amniotic fluid embolism, placental abruption.
- Maternal hypertension.
- Bronchospasm.

#### Oxytocin

Oxytocin is given by slow intravenous infusion to augment contractions in inadequate labour. The concentration given depends upon the response, and the aim is to produce regular coordinated contractions with complete relaxation between contractions. Oxytocin is an effective uterine stimulant in women at term, and labour will usually proceed well if the cervix is partially dilated and softened prior to its use. Oxytocin, unlike prostaglandins, does not soften the cervix.

Inappropriately high concentrations of oxytocin can cause uterine hypertonus, when the uterus does not relax between contractions, and fetal distress can occur. As labour progresses and the woman's 'endogenous' induction mechanisms come into play, the concentration of oxytocin may need to be reduced. Following delivery, oxytocin can also be useful to reduce postpartum haemorrhage (discussed later). Oxytocin in high doses has a weak antidiuretic activity, as it is related to vasopressin, and large doses can cause fluid retention (see Chapter 43).

#### Pharmacological Induction and Augmentation of Labour

A membrane sweep is the initial approach to initiate labour if the membranes have not ruptured. Vaginal  $PGE_2$  (dinoprostone) is used after premature rupture of membranes without spontaneous onset of labour, but only if the pregnancy has advanced beyond 34 weeks or there is infection or fetal compromise. Vaginal dinoprostone is also the preferred method for induction in prolonged gestation. Induced labour is often more painful than natural labour and regional analgesia is more likely to be needed.

If there is delay in the first stage of labour (after the onset of regular painful contractions and progressive cervical dilation), then intravenous infusion of oxytocin can be considered to progress the delivery. Gradual incremental increase in the rate of infusion may be necessary, with the goal being to achieve four to five contractions in 10 minutes and to avoid uterine hyperstimulation.

#### PAIN RELIEF IN LABOUR

Pain in labour can be alleviated by breathing and relaxation techniques, massage and undergoing labour in water. Inhalation of a 50:50 mixture of oxygen and nitrous oxide (see Chapter 17) is often helpful, but may cause nausea and light headedness. Opioids are sometimes used, but may cause drowsiness, nausea and vomiting for the mother, and respiratory depression and drowsiness in the baby.

Regional analgesia is increasingly used with either low-dose epidural analgesia or combined spinal-epidural analgesia. A combination of bupivacaine and fentanyl is often used (see Chapter 18).

#### POSTPARTUM HAEMORRHAGE

Excessive bleeding from the uterus can arise after incomplete abortion or after a normal delivery. It is more common in women who are morbidly obese, with multiple pregnancies, aged over 35 years, or who have had pregnancy induced or required the use of oxytocin to speed up labour.

#### **Ergometrine Maleate**

Ergometrine causes hypertonic contractions of the uterus and is therefore useful after delivery to reduce bleeding. It also causes vasoconstriction by  $\alpha$ -adrenoceptor stimulation (see ergotamine, Chapter 26), which further limits haemorrhage. It is not used for induction of labour as it would result in fetal distress and poor progress in labour.

#### Pharmacokinetics

Ergometrine is given intramuscularly and works within 2 to 7 minutes.

#### Unwanted effects

- Nausea, vomiting, abdominal pain.
- Headache, dizziness, tinnitus.
- Chest pain, peripheral vasoconstriction, hypertension, dyspnoea.

### Prevention and Management of Postpartum Haemorrhage

Poor tone of uterine muscle after delivery accounts for 80% of excessive bleeding from the genital tract in the 24 hours after delivery. To minimise bleeding, a single intramuscular dose of oxytocin should be given to the mother on delivery of the anterior shoulder, unless the mother has requested a physiological birth. After placental separation, uterine hypertonus produced by oxytocin squeezes the uterine blood vessels and reduces blood loss. Use of oxytocin reduces the risk of significant postpartum haemorrhage by 55%. Initial management of significant haemorrhage involves uterine massage, intravenous fluids and controlled cord traction if the placenta has not been delivered. First-line pharmacological treatment is a bolus dose of intravenous oxytocin. In developing countries, intramuscular ergometrine is more commonly used. Ergometrine should be avoided if there is maternal hypertension and preeclampsia because of the risk of stroke. There are several options if bleeding continues, including a repeat bolus of the first-line treatment, one of the prostaglandin analogues (misoprostol vaginally or carboprost by intramuscular injection), or oxytocin infusion. Intravenous tranexamic acid (see Chapter 11) can be given as an adjuvant option.

#### **MEDICAL ABORTION**

#### Mifepristone

#### Mechanism of action and uses

Mifepristone is a potent progesterone receptor antagonist. It is given orally to soften the cervix and induce decidual necrosis, which encourages placental detachment. Mifepristone also sensitises the uterus to prostaglandin-induced contractions.

Mifepristone can be given alone to soften the cervix 36 hours before surgical termination of early pregnancy.

#### **Pharmacokinetics**

Mifepristone is metabolised in the liver and has a halflife of 18 hours.

#### Unwanted effects

- Abdominal cramps.
- Vaginal bleeding.
- Uterine pain, which can be severe.

#### **Prostaglandin Derivatives**

Prostaglandins soften the cervix and produce prolonged uterine contraction. Misoprostol can be given orally or vaginally, and gemeprost (a PGE<sub>1</sub> analogue) is given as an intravaginal pessary.

Gemeprost is also given as a pessary to ripen and soften the cervix prior to early surgical abortion.

#### Induction of Medical Abortion

For medical termination of pregnancy up to 24 weeks' gestation or following spontaneous fetal death, mifepristone is given as a single oral dose followed 6 to 48 hours later by a prostaglandin. The prostaglandin can be either vaginal gemeprost, or misoprostol (see Chapter 33) which can be given orally but is more effective given vaginally. Early administration of the prostaglandin after mifepristone is only used for gestations up to 9 weeks. The abortion may be complete 4 to 5 hours after the prostaglandin, but can take up to a few days. Antibiotics, such as metronidazole with azithromycin, are given to reduce the risk of infection and NSAIDs are given for pain relief.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Oestrogen has a negative feedback effect on luteinising hormone (LH) and follicle-stimulating hormone (FSH) secretion from the anterior pituitary throughout the follicular phase of the menstrual cycle.
- 2. In the luteal phase, the elevated level of progesterone is controlled by gonadotropins.
- Progesterone causes cervical mucus to be viscous and hostile to the passage of sperm.
- 4. The oral progestogen-only contraceptive reliably inhibits ovulation.
- 5. Both oestrogen and progesterone inhibit the motility of the fallopian tube.
- 6. The functioning corpus luteum maintains pregnancy for the first 6 to 8 weeks after implantation.
- Progestogens used in combined hormonal contraceptives do not differ in their androgenic activity.
- 8. Biphasic and triphasic formulations of combined oral hormonal contraceptives result in lower overall dosages of oestrogen and progestogen than monophasic formulations.
- 9. Effective protection is lost if there is a delay of more than 3 hours in taking the daily oral dose of a combined hormonal contraceptive.
- 10. Emergency contraception with ulipristal acetate is effective when taken up to 120 hours after unprotected sex.
- 11. An etonogestrel implant beneath the skin of the upper arm provides effective contraception for 5 years.
- 12. Antiepileptic drugs such as carbamazepine can reduce the plasma concentrations of oestrogens and progestogens.
- 13. Mortality from venous thromboembolism is increased in women using the oral combined hormonal contraceptive who smoke.
- 14. The combined hormonal contraceptive significantly increases blood pressure in most women.
- 15. Oestrogen used on its own as hormonereplacement therapy (HRT) may cause endometrial hyperplasia.
- 16. Postmenopausal women taking continuous HRT with both oestrogen and progestogens do not experience breakthrough bleeding.
- 17. Oestrogens and progestogens can be given transdermally.
- 18. Tibolone reduces bone loss in postmenopausal women.
- 19. Oxytocin is preferred to prostaglandins for the induction of labour at 34 weeks of gestation.
- 20. Progesterone increases the number of gap junctions in the uterus.
- 21. Ergometrine can be used for the induction of labour.
- 22. Nonsteroidal antiinflammatory drugs (NSAIDs) are used in treating dysmenorrhoea.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which drug reduces uterine contractility by a direct action at oxytocin receptors?
  - A. Atosiban.
  - B. Ergometrine.
  - C. Gemeprost.
  - D. Mestranol.
  - E. Tibolone.
- 2. Identify the most accurate statement concerning the contraceptive options for a 35-year-old woman who smokes 40 cigarettes a day, but who has not been able to stop smoking despite counselling and pharmacological support.
  - A. The oral combined hormonal contraceptive would be suitable for her contraception.
  - B. The oral combined hormonal contraceptive would increase her risk of endometrial cancer.
  - C. The oral combined hormonal contraceptive would have a lower failure rate than an intrauterine contraceptive device (IUD).
  - D. The oral progestogen-only contraceptive would provide adequate contraception without increasing the risk of venous thromboembolism.
  - E. An intramuscular injection of medroxyprogesterone acetate would give contraceptive protection for at least 6 months.
- 3. Identify the least accurate statement concerning drugs used during labour and abortion.
  - A. Mifepristone is a progesterone receptor antagonist used to induce abortion.
  - B. Prostaglandin  $E_2$  is preferred to oxytocin for induction of labour at 35 weeks of gestation.
  - C. Oxytocin is less likely than prostaglandins to cause uterine hypertonus.
  - D.  $\beta_2$ -Adrenoceptor agonists to prolong pregnancy do not reduce mortality in babies born preterm.
  - E. Ergometrine reduces postpartum haemorrhage by constricting uterine blood vessels.

#### **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **False.** The negative feedback in the early part of the follicular phase switches to positive feedback at an oestradiol level of approximately 200 pg/mL, resulting in the mid-cycle surge in LH and FSH.
- 2. **True.** The LH levels fall precipitously after the midcycle surge, but they are high enough to support the secretion of progesterone in the luteal phase.
- 3. **True.** The effect on cervical mucus is an important action of the oral progestogen-only contraceptive.
- 4. **False.** Ovulation occurs in up to 50% of women with the oral progestogen-only contraceptive, as other effects are responsible for its contraceptive action. Ovulation is reliably inhibited in women using parenteral progestogens.

- 5. **False.** Progesterone inhibits fallopian tube motility, and oestrogens enhance it. An imbalance may alter oocyte transport and the probability of fertilisation and implantation.
- 6. **True.** After 6 to 8 weeks of pregnancy maintained by the corpus luteum, the placenta takes over production of sex steroids under the influence of human chorionic gonadotropin (hCG).
- 7. **False.** Progestogens in second-generation combined hormonal contraceptives (such as levonorgestrel and norethisterone) have variable androgenic activity, but gestodene and desogestrel used in third-generation combined hormonal contraceptives have little or no androgenic activity.
- 8. **False.** Biphasic and triphasic formulations mimic more closely the steroidal changes in the menstrual cycle, but they do not reduce the overall administered dose of each component.
- 9. False. Protection is reduced if there is a delay of more than 12 hours in taking a daily dose of the combined oral hormonal contraceptive, but this can occur with a delay of only 3 hours in taking oral progesterone-only contraceptives.
- 10. **True.** The progesterone receptor modulator ulipristal acetate suppresses the follicle until the time of the LH surge and is effective for up to 120 hours after unprotected intercourse.
- 11. **False.** Etonogestrel implants must be replaced every 3 years.
- 12. **True.** By inducing liver CYP450 isoenzymes, carbamazepine, phenytoin, rifampicin and other drugs lower the effective concentrations of oestrogens and progestogens as their metabolism is enhanced.
- 13. **True.** The excess risk of thromboembolic disease in women taking the combined hormonal contraceptive is significantly greater in smokers; other risk factors include age over 35 years, family history, obesity and long-term immobilisation.
- 14. **False.** A small increase in blood pressure is commonly seen with the combined contraceptive, but a significant rise occurs in only 5% of previously normotensive women.
- 15. **True.** The risk of endometrial hyperplasia with oestrogen is reduced by giving progestogens concurrently; oestrogens can be used alone in women who have had a hysterectomy.
- 16. **False.** Breakthrough bleeding frequently occurs, particularly in the first 6 months of treatment.
- 17. **True.** Both oestrogens and progestogens undergo extensive first-pass hepatic metabolism, and this can be avoided by transdermal absorption from patches.
- 18. **True.** Tibolone has weak oestrogenic and progestogenic activity and reduces bone loss.
- 19. **False.** Oxytocin is less effective in earlier pregnancy compared with full term. An intravaginal pessary of prostaglandin would increase uterine contractility and also soften the cervix.

- 20. **False.** Oestrogens increase uterine gap junctions in the uterus, facilitating uterine contractility, whereas progesterone opposes this action of oestrogens.
- 21. **False.** Ergometrine is given alone or together with oxytocin at the time of delivery to reduce post-partum haemorrhage; it should not be given for labour induction, as hypertonic uterine activity would delay labour and cause fetal distress.
- 22. **True.** NSAIDs relieve dysmenorrhoea in approximately 70% of women; the combined and progestogen-only hormonal contraceptives may also be effective.

#### **OBA ANSWERS**

- 1. Answer A is correct. Atosiban (answer A) is a partial agonist of oxytocin receptors that acts as an antagonist in the presence of oxytocin, and reduces uterine contractility in preterm labour; other tocolytic drugs include calcium channel blockers and  $\beta_2$ adrenoceptor agonists. Both ergometrine (answer B) and gemeprost (answer C) produce long-lived uterine contractions. Mestranol (answer D) is an oestrogen, and tibolone (answer E) has oestrogenic and progestogenic metabolites; these drugs may modulate uterine responsiveness to contractile agents but do not produce or inhibit physiological contractions of the uterus by direct action at oxytocin receptors.
- 2. Answer D is the most accurate statement.
  - A. Incorrect. In a 35-year-old woman who smokes, the oral combined hormonal contraceptive is not a good choice due to an increased risk of cardiovascular complications.
  - B. Incorrect. The oral combined hormonal contraceptive reduces the risk of endometrial cancer.
  - C. Incorrect. The IUD is as effective as the combined hormonal contraceptive.
  - D. **Correct.** The risk of thromboembolic complications of the combined oral hormonal contraceptive is related to the oestrogen content; progestogen-only contraception does not increase thromboembolism risk.

- E. Incorrect. Intramuscular medroxyprogesterone acetate is effective for 8 to 12 weeks.
- 3. **Answer C** is the least accurate statement.
  - A. Correct. Blockade of the actions of progesterone by mifepristone results in abortion, although the precise mechanisms are uncertain.
  - B. Correct. Women should be given prostaglandins to soften the cervix prior to rupture of the membranes, and then intravenous oxytocin if required.
  - C. **Incorrect.** Both oxytocin and prostaglandins can cause uterine hypertonus and fetal distress if given in inappropriate amounts.
  - D. Correct.  $\beta_2$ -Adrenoceptor agonists may delay labour for up to 48 hours, but they have not been shown to decrease morbidity or mortality in the preterm newborn child.
  - E. Correct. Ergometrine constricts uterine blood vessels via  $\alpha_1$ -adrenoceptors, and uterine hypertonus further compresses blood vessels, reducing postpartum blood loss.

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#### Compendium of Drugs Acting on the Female Reproductive System.

DRUG	CHARACTERISTICS
Oestrogens	
Used as components of menopausal symptom oestrogen receptor an	combined oral hormonal contraceptives and also for hormone-replacement therapy (HRT) for ns and osteoporosis prophylaxis; other uses are specified. Some oestrogens, progestogens and ntagonists are used for the treatment of malignant disease (see Chapter 52).
Conjugated oestrogen (equine)	Given as sulfate conjugates of >10 equine oestrogens. Used as a component of HRT. Also available in combination with bazedoxefine acetate (a SERM).
Oestradiol (estradiol)	Converted to oestrone and oestriol. Given alone as HRT, and also (as oestradiol hemihydrate) in combined oral hormonal contraceptive (with nomegestrol).
Oestradiol valerate	Prodrug of oestradiol. Component of combined oral hormonal contraceptive (with dienogest).

Ethinylestradiol Co	omponent of combined oral hormonal contraceptive (with desogestrel, drospirenone, gestodene, levonorgestrel, norethisterone or norgestimate); also used (with norelgestromin) in once-weekly transdermal patch, and (with etonogestrel) in vaginal ring. Also used in hereditary haemorrhagic telangiectasia.
Mestranol Me	lostly converted to ethinylestradiol. Component of oral combined hormonal contraceptive (with norethisterone).
Progestogens	
Used as components of ora	al, parenteral and intrauterine contraceptives and for HRT; other uses are specified.
Desogestrel Tr	hird-generation progestogen, derived from norgestrel; converted to etonogestrel. Component of combined oral hormonal contraceptives and also as progestin-only oral contraceptive.
Dienogest Tr	hird-generation progestogen. Used (with oestradiol valerate) in combined oral hormonal contraceptive.
Drospirenone Tr	hird-generation progestogen with antimineralocorticoid and antiandrogen activity. Component of combined oral hormonal contraceptives (with ethinylestradiol) and as HRT (with oestrogens).
Dydrogesterone Pr	rogesterone analogue used in endometriosis, infertility, recurrent miscarriage, premenstrual syndrome, amenorrhoea and dysmenorrhea, and as HRT (with oestrogens).
Etonogestrel Tr	hird-generation progestogen. Slow-release etonogestrel implant inserted subdermally to give prolonged contraception; also used (with ethinylestradiol) in vaginal ring.
Gestodene Th	hird-generation progestogen, derived from norgestrel. Component of oral hormonal contraceptives (with ethinylestradiol).
Levonorgestrel Se	econd-generation progestogen; isomer of norgestrel. Used as component of oral combined hormonal contraceptive (with ethinylestradiol), and as progestin-only oral contraceptive; also used (with oestrogens) as HRT. Effective emergency contraception up to 72 h after unprotected sexual intercourse. Also used in an intrauterine device for contraception and primary menorrhagia.
Medroxyprogesterone Lo acetate	ong-acting progestogen. Given as deep intramuscular injection or subcutaneous injection for contraception; also used (with oestrogens) as HRT.
Nomegestrol Tr	hird-generation progestogen. Used (with oestradiol hemihydrate) in combined oral hormonal contraceptive.
Norelgestromin Tr	hird-generation progestogen. Used as a once-weekly transdermal patch (with ethinylestradiol); applied for three weeks in every four.
Norethisterone Te	estosterone-derived, first-generation progestogen. Used as component of oral hormonal contraceptives (with ethinylestradiol or mestranol) and as progestogen-only oral contraceptive, and (with oestrogens) as HRT. Also used for endometriosis, premenstrual syndrome, dysmenorrhoea and postponement of menstruation.
Norethisterone acetate Co	onverted to norethisterone. Component of oral hormonal contraceptives and also used as HRT.
Norethisterone Hy enantate	ydrolysed to norethisterone. Long-acting progestogen. Given as an oily depot solution by deep intramuscular injection.
Norgestimate Tr	hird-generation progestogen; derivative of norgestrel. Prodrug converted to active metabolites including norelgestromin and levonorgestrel. Component of oral hormonal contraceptives (with ethinylestradiol).
Norgestrel Se	econd-generation progestogen. Used (with oestrogens) as HRT.
Progesterone Co	onverted to pregnanediol. Given as rectal or vaginal pessaries for infertility, premenstrual syndrome and postnatal depression, or by injection for dysmenorrhea.
Ulipristal acetate Pr	rogesterone receptor modulator. Effective emergency contraception up to 120 h after unprotected sexual intercourse. Given orally.
Drugs Used Primarily for Endometriosis	
Other uses are specified.	
Danazol Ar	ntigonadotrophic drug with androgenic, antioestrogenic and antiprogestogenic effects. Also used for severe pain in benign fibrocystic breast disease and hereditary angioedema. Given orally.

### Compendium of Drugs Acting on the Female Reproductive System—cont'd.

#### Compendium of Drugs Acting on the Female Reproductive System-cont'd.

DRUG	CHARACTERISTICS
Gonadorelin Analogues	
Downregulate gonadotr endometriosis and be Chapter 52).	ropin-releasing hormone (GnRH) receptors and thereby reduce the release of gonadotropins. Used for efore intrauterine surgery, and for infertility (see Chapter 43) and for prostate and breast cancers (see
Buserelin	Given intranasally or subcutaneously for endometriosis. Also used for prostate cancer.
Goserelin	Given subcutaneously for endometriosis. Also used for prostate cancer and breast cancer.
Leuprorelin acetate	Given by subcutaneous or intramuscular injection for endometriosis or uterine fibroids. Also used for prostate cancer.
Nafarelin	Given intranasally for endometriosis and for pituitary desensitisation before IVF.
Triptorelin	Given by intramuscular injection for endometriosis and uterine fibroids. Also used for prostate cancer.
Drugs Used for Menopaus	sal Symptoms and/or Osteoporosis
Raloxifene	Selective oestrogen receptor modulator. Used in treatment of postmenopausal osteoporosis (see Chapter 42). Given orally.
Tibolone	Oestrogenic, progestogenic and weak androgenic activities. Used for the short-term treatment and prevention of menopausal vasomotor symptoms and postmenopausal osteoporosis. Given orally.
Drugs Used to Treat Mast	algia
Bromocriptine	See Chapters 24 and 43.
Danazol	See drugs for endometriosis listed previously.
Tamoxifen	See Chapter 52.
Prostaglandins, Oxytocics	s and Drugs Used to Reduce Postpartum Haemorrhage
Carbetocin	Oxytocin receptor agonist. Used for uterine atony and postpartum haemorrhage after caesarean section. Given by intravenous injection.
Carboprost	15-Methyl derivative of PGF <sub>2<math>\alpha</math></sub> . Used for severe postpartum haemorrhage due to uterine atony. Given by deep intramuscular injection.
Dinoprostone (PGE <sub>2</sub> )	Used for induction of labour. Given intravaginally (or rarely by intravenous injection).
Ergometrine (ergonovine)	Used to prevent and treat postpartum haemorrhage. Given by intramuscular injection.
Gemeprost	Prostaglandin E <sub>1</sub> analogue. Used to soften the cervix in labour induction and to induce abortion. Given intravaginally.
Oxytocin	Used for induction of labour. Given by slow intravenous injection or infusion.
Drugs Used for Effects on	Ductus Arteriosus
Alprostadil (PGE <sub>1</sub> )	Used to maintain patency of the ductus arteriosus in neonates with congenital heart defects. Given by intravenous infusion.
Indometacin	NSAID (see Chapter 29). Used to close the ductus arteriosus in premature babies. Given by intravenous injection.
Drugs Used Primarily for	Therapeutic Abortions
Gemeprost	Prostaglandin E <sub>1</sub> analogue (noted previously). Used for the medical induction of late therapeutic abortion. Given as an intravaginal pessary.
Mifepristone	Acts as a progesterone receptor antagonist. Given orally or vaginally prior to therapeutic abortion to sensitise uterus to actions of prostaglandins.
Misoprostol	Prostaglandin E <sub>1</sub> analogue. Used for the induction of second trimester abortion. Given orally or vaginally.
Myometrial Relaxant Drug	gs
Atosiban	Peptide partial agonist of oxytocin receptors that acts as antagonist in presence of oxytocin. Used for inhibition of uncomplicated premature labour at 24–33 weeks of gestation. Given by intravenous injection or infusion.
Nifedipine	Calcium channel blocker (see Chapter 5). Given orally.
Salbutamol	β <sub>2</sub> -Adrenoceptor agonist (see Chapter 12); used for inhibition of uncomplicated premature labour at 24–33 weeks of gestation. Given by intravenous infusion and then orally.
Terbutaline	β <sub>2</sub> -Adrenoceptor agonist (see Chapter 12); used for inhibition of uncomplicated premature labour at 24–33 weeks of gestation. Given orally, subcutaneously or by intravenous infusion.

HRT, Hormone-replacement therapy; IVF, in vitro fertilisation; NSAID, nonsteroidal antiinflammatory drug; PG, prostaglandin; SERM, selective oestrogen receptor modulator.

### Androgens, Antiandrogens and Anabolic Steroids

#### **Chapter Outline**

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#### ANDROGENS AND ANABOLIC STEROIDS

Naturally occurring androgens are 19-carbon steroid hormones (see Chapter 44) that are synthesised in the adrenal cortex and gonads from cholesterol (Figs. 44.1 and 44.2). They have characteristic actions on the reproductive tract and other tissues, as well as an anabolic effect on metabolism, including inhibition of fat deposition and enlargement of skeletal muscles. A number of synthetic androgenic steroids have been developed. The term *anabolic steroid* is used when the predominant action of the compound is anabolic rather than reproductive. There are a small number of medical uses for anabolic steroids, but they have achieved notoriety because of their abuse by athletes to enhance muscle development.

Testosterone is the major androgenic steroid in men, with most testosterone secreted by the Leydig cells of the testis. Its synthesis and release are stimulated by the gonadotropin luteinising hormone (LH) which is in turn under the control of gonadotropin-releasing hormone (GnRH). In many tissues testosterone is aromatised to form oestradiol, which accelerates closure of bony epiphyses and contributes to brain development. It is oestradiol rather than testosterone that inhibits the release of GnRH from the hypothalamus and LH via a negative-feedback loop (see Chapter 43). Two further androgens, dehydroepiandrosterone (DHEA) and androstenedione (which are intermediates in the synthetic pathway for testosterone), are released from the adrenal cortex in response to stimulation by adrenocorticotropic hormone (ACTH; corticotropin; Fig. 44.2), but they have a very weak action at the androgen receptor. The effects of testosterone are in part due to its metabolite dihydrotestosterone (DHT). This is produced from testosterone in the prostate, skin and reproductive tissues by the enzymatic action of  $5\alpha$ -reductase (Fig. 44.2).

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DHT has a higher affinity than testosterone for the androgen receptor and is five times more potent as an androgen. DHT is mainly responsible for the development of secondary sexual characteristics in men.

Women also produce androgens, mainly DHEA with some androstenedione and testosterone. DHEA is mainly produced by the adrenal glands (as for men) and the ovaries and can be converted in peripheral tissues to testosterone. The main role of androgens in women is conversion to oestrogens, and they are an important source of oestrogens in postmenopausal women. Androgens are also important for maintaining libido and bone density.

Like other steroids, androgens act mainly through genomic effects on protein synthesis (see Chapter 1). They form a complex with the cytoplasmic androgen receptor (AR), which then translocates to the cell nucleus and modulates gene transcription. The androgen receptor also produces rapid-onset, nongenomic actions in the cytoplasm by affecting signal transduction and ion transport. This is responsible for effects such as vasodilation (see also Chapter 44).

Circulating androgens are bound largely to a specific transport protein, sex hormone-binding globulin (SHBG), which has a greater affinity for androgens than for oestrogen, or to albumin. Only about 2% is unbound and available to bind to androgen receptors.

#### MALE HYPOGONADISM

Testosterone deficiency can be primary, due to testicular failure (associated with a raised serum LH), or less commonly secondary, due to hypothalamic or pituitary failure (with a low serum LH). Confirmation of low plasma testosterone is by early morning blood concentration on two occasions as testosterone secretion has a diurnal variation. Late-onset hypogonadism presents with nonspecific signs and symptoms such as
erectile dysfunction, loss of libido, loss of early morning or nocturnal erections, fatigue, depression, hot flushes, muscle weakness, gynaecomastia, anaemia and reduced body hair. It can also predispose to osteoporosis and visceral obesity.

#### FEMALE ANDROGEN DEFICIENCY

Deficiency of androgen production in women can produce low libido (hypoactive sexual desire disorder) and fatigue and is associated with an increased risk of osteoporosis.

#### THERAPEUTIC MALE SEX HORMONES

#### Example

testosterone

#### **Actions of Testosterone**

Actions of androgens include the following:

- Sexual differentiation in the fetus.
- Sexual development of the male testis, penis, epididymis, seminal vesicles and prostate at puberty, and maintenance of these tissues in adults.
- Spermatogenesis in adults.
- Stimulation and maintenance of sexual function and behaviour.
- Metabolic actions. Testosterone is a powerful anabolic agent, producing a positive nitrogen balance with an increase in the bulk of tissues such as muscle and bone. In the skin, sebum production is increased, which can provoke acne. Growth of axillary, pubic, facial and chest hair is stimulated. In the liver, testosterone increases the synthesis of several proteins, including clotting factors, but decreases high-density lipoprotein (HDL) synthesis (see Chapter 48). Testosterone also induces several liver enzymes, including steroid hydroxylases.
- Haematological actions. Testosterone stimulates the production of erythropoietin by the kidneys, leading to higher haemoglobin concentrations in men than in women.

#### **Pharmacokinetics**

- Oral preparations. After oral administration, testosterone is almost completely degraded by first-pass metabolism in the gut wall and liver. Oral absorption can be enhanced by esterification of testosterone to create hydrophobic compounds, such as testosterone undecanoate, which are absorbed via lacteals into the lymphatic system, thus avoiding hepatic metabolism. Oral replacement is often used initially to establish tolerability.
- Depot injection. The most widely used therapy for long-term treatment of hypogonadism in men is an intramuscular injection of a testosterone ester, usually in oily solution, given at intervals from 2 to 3 weeks up to 10 to 14 weeks, depending on the formulation. Testosterone is absorbed gradually after

ester hydrolysis at the site of injection. Examples are testosterone enantate, propionate and undecanoate.

Transdermal delivery using testosterone gel.

Testosterone is metabolised in the liver to inactive compounds which are conjugated prior to elimination. Some testosterone undergoes conversion in specific organs to dihydrotestosterone, and a small amount undergoes aromatisation to oestradiol (discussed previously).

#### **Unwanted Effects**

- In hypogonadal adolescents, initial nitrogen retention and a spurt in linear growth is followed by premature epiphyseal closure and short stature. A short course of testosterone can be used for the treatment of delayed puberty without inducing epiphyseal closure.
- Headache.
- Anxiety, depression.
- Sodium retention with oedema and hypertension.
- Hirsutism, male-pattern baldness, acne.
- Polycythaemia.
- Conversion to oestrogens by aromatase can produce gynaecomastia (see Fig. 44.2).
- Suppression of gonadotropin release with diminished testicular size and reduced spermatogenesis. Hypogonadal men will not regain fertility while taking androgens.
- Cholestatic jaundice.
- Prostate cancer.
- Local irritation from topical formulations.

#### **Clinical Uses of Testosterone**

- The main clinical use is as hormone-replacement therapy for primary hypogonadism in men. Testosterone replacement can improve quality of life in late-onset hypogonadism. The negative feedback pathway suppresses LH and FSH, with suppression of intratesticular testosterone. This will inhibit spermatogenesis, so testosterone will not restore fertility. Fertility can be helped by the addition of human chorionic gonadotrophin to increase circulating gonadotrophins (see Chapter 45) or with a selective oestrogen receptor modulator (such as clomifene; see Chapter 45), which increases gonadotrophin release by inhibiting oestrogen feedback.
- It can be used briefly in constitutionally delayed puberty, even in the absence of hypogonadism.
- For treatment of hypoactive sexual desire disorder in postmenopausal women.
- Production of physical male characteristics in female-to-male transgender change.
- Testosterone is occasionally beneficial for promoting erythropoiesis in some forms of aplastic anaemia.

## DANAZOL

#### Mechanism of Action

Danazol is an androgen derivative described as an 'impeded' androgen, which is weakly androgenic on peripheral tissues. It has no oestrogenic activity as, unlike testosterone, it is not converted into an oestrogen by aromatases. Its main action is feedback inhibition of gonadotropin and GnRH secretion. It therefore has antioestrogenic and antiprogestogenic actions.

#### **Pharmacokinetics**

Danazol is metabolised in the liver and has a short half-life of 3 hours.

#### **Unwanted Effects**

- Nausea, epigastric pain.
- Acne, hirsutism, oedema, hair loss or deepening of voice, due to androgenic effects.
- Depression, anxiety.
- Dizziness, headache.
- In women, vaginal dryness, reduction in breast size, changes in libido, amenorrhoea, hot flushes.

#### **Clinical Uses of Danazol**

- Treatment of endometriosis (see Chapter 45).
- Management of severe pain and tenderness in fibrocystic breast disease.
- Long-term management of hereditary angioedema (complement C1 esterase inhibitor deficiency) by increasing C4 concentration through an anabolic effect.

## **ANABOLIC STEROIDS**

# Example

oxymetholone

Anabolic steroids are most frequently encountered as drugs of abuse to improve athletic performance (doping). In medical practice there are few indications for these compounds, and there is little evidence for efficacy in many conditions where their use has been advocated, such as use of oxymetholone to promote erythropoiesis in aplastic anaemias.

The ability of androgens to promote an increase in muscle mass has led to their abuse to improve physical strength and endurance by athletes, weight-lifters and bodybuilders. Often, several different androgens are used for prolonged periods, perhaps with a brief 'drugfree' period. Abused compounds are numerous and include the compounds licensed for therapeutic use in man, such as testosterone, nandrolone and oxymetholone (often at doses 10–100 times the licensed dose), and at least 30 others, many of which are licensed only for veterinary use. They are taken orally, as depot injections or transdermal applications. The consequences of abuse include:

- fluid retention;
- acne;
- decreased testicular size and reduced sperm count with infertility;

- gynaecomastia;
- hepatotoxicity with cholestasis, hepatitis, or occasionally, hepatocellular tumours;
- atherogenic changes in the plasma lipids with a rise in plasma low-density lipoprotein (LDL) cholesterol and a fall in HDL cholesterol (see Chapter 48), which may predispose to premature vascular disease;
- psychological disturbance, including changes in libido, increased aggression and psychotic symptoms.

#### **ANTIANDROGENS**

#### **BICALUTAMIDE AND FLUTAMIDE**

#### **Mechanism of Action**

Bicalutamide and flutamide are nonsteroidal, relatively pure antiandrogens. They bind competitively to androgen receptors in the cell cytoplasm, producing distortion of the co-activator binding site, so that the receptor cannot initiate gene transcription.

#### **Pharmacokinetics**

Bicalutamide is metabolised in the liver and has a very long half-life of 7 to 10 days. Flutamide undergoes extensive first-pass metabolism and has a half-life of 8 hours.

#### Unwanted Effects

- Antiandrogenic effects (e.g. gynaecomastia, hot flushes, impotence, decreased libido and inhibition of spermatogenesis).
- Nausea, vomiting, diarrhoea, weight gain.
- Rash, pruritis, dry skin
- Cholestatic jaundice.

#### **CYPROTERONE ACETATE**

#### **Mechanism of Action**

Cyproterone acetate, a 21-carbon steroid, is a progestogen and a weak glucocorticoid (see Chapter 44). Its progestogenic activity produces feedback inhibition of gonadotrophin (LH) secretion (see Chapter 45). At high doses cyproterone also inhibits androgen binding to its receptors.

#### **Pharmacokinetics**

Cyproterone acetate is metabolised in the liver and has a very long half-life of 2 days.

#### **Unwanted Effects**

- Antiandrogenic effects (e.g. gynaecomastia, hot flushes, impotence, decreased libido and inhibition of spermatogenesis).
- Fatigue.
- Hepatotoxicity with long-term use, causing hepatitis and, occasionally, hepatic failure.

#### **CLINICAL USES OF ANTIANDROGENS**

- The main use of antiandrogens is in the treatment of carcinoma of the prostate (see Chapter 52), usually in conjunction with a gonadorelin analogue (see Chapter 43).
- Cyproterone acetate is occasionally used in male sexual offenders as 'chemical castration'.
- Cyproterone acetate can be given for manifestations of hyperandrogenisation in women, such as acne and hirsutism, most commonly associated with polycystic ovary syndrome. It is used in conjunction with ethinylestradiol in the combined oral hormonal contraceptive (see Chapter 45).

## $5\alpha$ -REDUCTASE INHIBITORS

## Examples

dutasteride, finasteride

#### **Mechanism of Action and Effects**

Dutasteride and finasteride reduce the formation of dihydrotestosterone by inhibiting 5 $\alpha$ -reductase. In men, finasteride and dutasteride can reduce the size of the prostate in benign prostatic hypertrophy and improve the lower urinary tract symptoms. More details are found in Chapter 15.

## **SELF-ASSESSMENT**

#### **TRUE/FALSE QUESTIONS**

- 1. Androgen deficiency in adult men may cause decreased libido.
- 2. Testosterone cannot be given orally.
- 3. Testosterone alone is used to stimulate spermatogenesis.
- 4. Nandrolone causes less virilisation in women than testosterone.
- 5. Cyproterone acetate is used as an adjunct to the treatment of prostate cancer.
- 6. Antiandrogens can cause gynaecomastia
- 7. The enzyme  $5\alpha$ -reductase inactivates dihydrotestosterone.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which drug is a  $5\alpha$ -reductase inhibitor?
  - A. Abiraterone.
  - B. Apalutamide.
  - C. Dutasteride.
  - D. Goserelin.
  - E. Oxymetholone.
- 2. Choose the most accurate statement about androgens, antiandrogens and anabolic steroids.
  - A. Anabolic steroids are abused by body-builders at clinical doses.

- B. Cyproterone acetate promotes spermatogenesis.
- C. Nandrolone reduces muscle mass.
- D. Danazol is used in the treatment of endometriosis.
- E. Dihydrotestosterone has marked antianabolic activity.

## ANSWERS

#### **TRUE/FALSE ANSWERS**

- 1. **True.** Androgen deficiency may also cause impotence, reduced muscle mass, loss of body hair and other effects.
- 2. **True.** Testosterone is ineffective when given orally, as it undergoes very extensive first-pass metabolism; it is given as implants or transdermal patches, or as testosterone ester formulations by mouth or intramuscular depot injection.
- 3. False. Other treatments are required, including human chorionic gonadotropin (hCG) and other gonadotropins.
- 4. **True.** Nandrolone has fewer androgenic effects than testosterone, but has many other unwanted effects.
- 5. **True.** Cyproterone acetate is an antiandrogen used with a gonadorelin analogue in prostate cancer.
- 6. **True.** Antiandrogens can cause gynaecomastia, inhibition of spermatogenesis and other unwanted effects.
- 7. **False.** 5α-Reductase converts testosterone to active dihydrotestosterone.

#### **OBA ANSWERS**

- 1. Answer C is correct.
  - A. Incorrect. Abiraterone acetate is a 17αhydroxylase inhibitor.
  - B. Incorrect. Apalutamide is an androgen receptor antagonist.
  - C. **Correct.** Dutasteride is a  $5\alpha$ -reductase inhibitor.
  - D. Incorrect. Goserelin is a gonadotropin analogue.
  - E. Incorrect. Oxymetholone is an anabolic steroid.
- 2. Answer D is correct.
  - A. Incorrect. Most anabolic steroids abused by athletes have few or no clinical uses and may be taken at very high doses.
  - B. Incorrect. Cyproterone is an antiandrogen; it inhibits spermatogenesis.
  - C. Incorrect. Nandrolone is an androgen and causes an increase in muscle mass.
  - D. **Correct.** Danazol has antiandrogen, antioestrogen and antiprogesterone activity and is used in the treatment of endometriosis.
  - E. Incorrect. Dihydrotestosterone is anabolic, increasing turnover and growth in many tissues and cells.

# **FURTHER READING**

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# Compendium of Androgens, Antiandrogens and Anabolic Steroids.

DRUG	CHARACTERISTICS	
Androgens		
Danazol	Feedback inhibitor of pituitary gonadotropin release. Used for endometriosis (see Chapter 45).	
Testosterone and testosterone esters	Used for androgen deficiency, and for breast cancer in women. Testosterone undergoes extensive first-pass metabolism and is also eliminated rapidly. Testosterone esters, depot formulations, implants and patches improve bioavailability. Given orally (as undecanoate), by intramuscular injection (as enantate or propionate), or transdermally (as testosterone). An intramuscular formulation is available containing a mixture of testosterone esters (decanoate, isocaproate, phenylpropionate and propionate) with a longer duration of action.	
Anabolic Steroids		
Nandrolone decanoate	Used for aplastic anaemia; not used for effects on male reproductive system. Given as a deep intramuscular injection.	
Oxymetholone	Given orally for 3–6 months for aplastic anaemia (see Chapter 47).	
Gonadorelin Analogues		
Given for advanced prostate	e cancer (see Chapter 52) and for actions on the female reproductive system (see Chapter 45)	
Buserelin	Given by subcutaneous injection followed by intranasal dosage. See Chapter 45.	
Goserelin	Given by subcutaneous implant. See Chapter 45.	
Leuprorelin acetate	Given by subcutaneous or intramuscular injection. See Chapter 45.	
Triptorelin	Given by subcutaneous or intramuscular injection. See Chapter 45.	
Antiandrogens		
Abiraterone acetate	Prodrug of abiraterone, a 17α-hydroxylase inhibitor that reduces androgen synthesis in prostatic, testicular, adrenal and prostatic tumours. Given orally for metastatic castration-resistant prostate cancer.	
Apalutamide	Androgen receptor antagonist. Given orally for prostate cancer.	
Bicalutamide	Androgen receptor antagonist. Given orally for advanced prostate cancer.	
Cyproterone acetate	Steroidal antiandrogen, progestogen and antigonadotropin. Used as an adjunct for prostate cancer, for hirsutism and acne in women, and for severe hypersexuality and sexual deviation in men. Given orally.	
Darolutamide	Androgen receptor antagonist. Given orally for prostate cancer.	
Flutamide	Rapidly hydroxylated to active derivative. Given orally for advanced or refractory prostate cancer.	
Enzalutamide	Potent inhibitor of androgen receptor binding and translocation. Given orally; used in metastatic castration-resistant prostate cancer resistant to docetaxel-containing chemotherapy.	
$5\alpha$ -Reductase Inhibitors		
Reduce conversion of testosterone to $5\alpha$ -dihydrotestosterone (DHT), the primary androgen that stimulates prostate development, by inhibiting $5\alpha$ -reductase.		
Dutasteride	Inhibitor of type I and type II 5α-reductase. Given orally for benign prostatic hyperplasia. Also available in a combined formulation with tamsulosin.	
Finasteride	Selective inhibitor of type II 5α-reductase. Given orally for benign prostatic hyperplasia and male-pattern baldness.	

# Anaemia and Haematopoietic Colony-Stimulating Factors



#### **Chapter Outline**

#### Anaemia 535

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## **ANAEMIA**

The definition of anaemia is rather arbitrary because the absolute normal ranges for haemoglobin concentration in blood vary among laboratories. Anaemia equates to a blood concentration of haemoglobin below about 130 g/L in men (normal range is about 130-170 g/L) or about 120 g/L in nonpregnant women (normal range is about 120–150 g/L). Many individuals, however, have concentrations below these ranges without apparent detriment. Lower concentrations can also be normal in children and with haemodilution during pregnancy (when it is usually above 110 g/L). Anaemia can cause many symptoms, including shortness of breath, fatigue and worsening of angina, heart failure or intermittent claudication. The degree of anaemia at which symptoms arise is partly dependent on the rapidity of onset. Compensatory mechanisms activated with a slow decline in haemoglobin concentration (such as changes in oxygen:haemoglobin affinity and increased tissue oxygen extraction) limit symptoms.

There are many causes of anaemia (Box 47.1), the forms of which are classified by average red cell size (mean cell volume or MCV) and haemoglobin content (mean cell haemoglobin or MCH) (Box 47.2).

There are three key dietary factors that are required for normal red cell synthesis, referred to as haematinics: • iron:

- folic acid;
- vitamin B<sub>12</sub>.

#### IRON

Iron is important for cell respiration, energy production, DNA synthesis and cell proliferation. Dietary iron is absorbed from the duodenum and upper jejunum. In an omnivorous diet, most iron is absorbed from meat, in which it is present as haem. Haem is the ferrous form of iron (Fe<sup>2+</sup>), complexed with a porphyrin ring, and is Neutropenia 540 Drugs for Neutropenia 540 Self-Assessment 541 Answers 542 Further Reading 543 Compendium of Drugs Used to Treat Anaemias and Neutropenia 543

#### Box 47.1 Causes of Anaemia.

Reduced red cell production:

- Defective precursor proliferation (e.g. iron deficiency, anaemia of chronic disorder, marrow aplasia or infiltration)
- Defective precursor maturation (e.g. vitamin B<sub>12</sub> or folate deficiency, myelodysplastic syndrome, toxins) Increased rate of red cell destruction:

Haemolysis

- Loss of circulating red cells:
- Bleeding

# Box 47.2 Classification of Anaemias by Red Cell Characteristics.

Hypochromic, microcytic (small-size cells):

- Genetic (e.g. thalassaemia, sideroblastic anaemia)
- Acquired (e.g. iron deficiency, sideroblastic anaemia)
- Normochromic, macrocytic (large-size cells):
- With megaloblastic marrow: vitamin B<sub>12</sub> or folate deficiency
- With normoblastic marrow: alcohol, myelodysplasia Polychromatophilic, macrocytic:
- Haemolysis

Normochromic, normocytic (normal-size cells):

- Chronic disorders (e.g. infection, malignancy, autoimmune disease)
- Renal failure
- Bone marrow failure

readily absorbed from the gut. Non-haem iron in a vegetarian diet, which is mainly in the ferric state (Fe<sup>3+</sup>), is inefficiently absorbed. Absorption of ferric iron occurs mainly in the duodenum and is facilitated by several factors:

- gastric acid, which increases its solubility;
- dietary reducing agents in the stomach such as ascorbic acid chelate with ferric iron, which remains

in this soluble form in the alkaline environment of the duodenum where it is more readily absorbed;

- conversion to ferrous iron to ferric iron by ferric reductase on the brush border of enterocytes;
- expression of the divalent metal cation transporter 1 (DMT-1), which transports iron into enterocytes. It is found in the luminal cell membrane, and the amount is increased in iron deficiency and in hereditary haemochromatosis.

After uptake into enterocytes, iron is oxidised to the ferric state and transported to the circulation by the protein ferroportin. Iron transport from enterocytes is regulated solely by the inhibitory peptide hormone hepcidin, which is an acute-phase reactant synthesised in the liver. When iron levels in the circulation and tissues are high, or in the presence of systemic inflammation or infection, hepcidin is increased and binds to ferroportin, promoting its degradation. Conversely iron deficiency, increased erythropoiesis and tissue hypoxia suppress hepcidin production and promote iron absorption.

In blood, ferric ions are bound to the globulin apotransferrin and transported as transferrin to the bone marrow and iron stores where cellular iron uptake occurs via transferrin receptors. In most cells, iron is complexed with the protein apoferritin and stored as ferritin. In some tissues iron is also found as relatively insoluble aggregates of degraded forms of ferritin, known as haemosiderin. Two-thirds of the iron in the body is present in circulating red cells, and about half of the remainder is found in macrophages, reticuloendothelial cells and hepatocytes. The rest is present in myoglobin in muscle cells or associated with various intracellular enzymes.

When ageing red cells are broken down by the reticuloendothelial system, most of the released iron is recycled via macrophages for further erythropoiesis. Iron loss from the body is normally low and occurs through shedding of mucosal, hair and skin cells containing ferritin.

#### **Iron Deficiency**

The main cause of iron deficiency in the UK is abnormal loss of blood, particularly from the gut or from exaggerated menstrual loss. Iron malabsorption can result from disease of the upper small intestine (e.g. coeliac disease) or following partial gastrectomy. Dietary deficiency is rarely a major cause in developed countries, although worldwide a vegetarian diet low in absorbable forms of iron is the commonest contributory cause to iron deficiency.

#### Therapeutic Iron Preparations Oral iron

Oral iron supplements are preferred and are given as ferrous salts (e.g. ferrous sulfate, fumarate or gluconate). Tablets are normally used, but some people find that syrup is more palatable. In the presence of iron deficiency, a daily or alternate day oral dose equivalent to 100 to 200 mg per day of elemental iron produces the maximum rate of rise of haemoglobin (200 mg of dried ferrous sulfate contains 65 mg elemental iron). Larger doses increase release of hepcidin which will inhibit iron transport. Addition of ascorbic acid (vitamin C) to oral iron preparations has a minimal effect on absorption.

#### Unwanted effects

- Gastrointestinal intolerance is common, especially nausea and dyspepsia. The prevalence of these effects depends on the dose of elemental iron and psychological factors, rather than the iron salt used. Intolerance can be minimised by taking iron supplements with food (although this reduces absorption) or by reducing the dose. Modified-release iron formulations have been developed to improve tolerability, but much of the iron is released beyond the duodenum, the site where it is best absorbed. These formulations should only be used when other methods for improving iron supplement tolerance are ineffective. Diarrhoea or constipation also occur but are not dose-related.
- Oral iron turns stools black.

#### Parenteral iron

Iron can be given by slow intravenous injection or infusion, or less commonly by deep intramuscular injection. Formulations involve complexing a ferric salt to a carrier to form:

- iron dextran (by intravenous infusion in one or two doses; can be used by deep intramuscular injection);
- iron sucrose (by intravenous infusion twice weekly for 2–10 doses);
- ferric carboxymaltose (by two short intravenous infusions);
- iron isomaltoside 1000 (by one or two short intravenous infusions).

The iron in these formulations is not bound to transferrin in plasma but accumulates in reticuloendothelial cells. When calculating the amount of iron to give, the approximate total body iron deficit (haemoglobin and body stores) is estimated from the person's size and haemoglobin concentration. Oral iron should not be given until at least 5 days after parenteral iron.

- Unwanted effects
- Nausea, vomiting, diarrhoea, abdominal pain.
- Flushing, fever.
- Rash.
- Increased risk of infection as parenteral iron promotes bacterial growth. Iron infusion should not be used during active infection.
- Anaphylactoid/anaphylactic reactions, including cardiovascular collapse, particularly with iron dextran; facilities for resuscitation should always be available.

#### Therapeutic Use of Iron

The cause of iron deficiency should always be sought when starting symptomatic treatment with iron. If this is not done, then serious disorders such as gastrointestinal malignancy can be overlooked. Oral iron supplements are adequate for most mild or moderate iron-deficiency anaemias. After an initial delay of 7 to 10 days while new red cells are formed, oral iron supplements should raise the blood haemoglobin concentration by about 20 g/L every 3 weeks. Oral iron supplements should be continued for 3 months after the haemoglobin concentration has been restored in order to replenish tissue iron stores.

Failure of oral iron to raise the blood haemoglobin adequately can be caused by several factors:

- incorrect diagnosis (e.g. anaemia of chronic disease when increased hepcidin blocks its absorption, or thalassaemia);
- poor adherence to oral iron therapy;
- inadequate iron dosage (e.g. in some modifiedrelease formulations);
- continuing excessive blood loss;
- malabsorption;
- concurrent deficiency of other substances necessary for haemoglobin synthesis.

Parenteral iron preparations are used if there are intractable unwanted effects from oral preparations, if there is severe uncorrectable malabsorption, continuing heavy blood loss, with active inflammatory bowel disease, during renal haemodialysis when epoetin is given, and when adherence to oral treatment is poor. Parenteral iron can raise the haemoglobin concentration faster than oral iron, especially in renal impairment.

Oral iron supplements are occasionally given for prophylaxis against iron deficiency at times of high demand for iron – for example, pregnancy (given together with folic acid), menorrhagia or if there is a poor dietary intake. The reduced iron absorption after subtotal or total gastrectomy can also be overcome by long-term iron supplements.

#### **FOLIC ACID**

Folate is essential for cell growth and development, including maintenance of normal erythropoiesis, through its involvement in multiple biochemical processes. Folic acid (pteroylglutamic acid) is ingested as conjugated folate polyglutamates, found mainly in fresh leaf vegetables (in which it is heat-labile) and in liver (where it is more heat-stable). Before absorption, the polyglutamates are enzymatically hydrolysed to the monoglutamate. Folate monoglutamate is absorbed principally in the duodenum and jejunum via various folate transporters, and the majority is then methylated. Dihydrofolate reductase subsequently generates 5-methyltetrahydrofolate during absorption. 5-Methyltetrahydrofolate in plasma enters cells by receptormediated endocytosis, where it is demethylated. The resulting tetrahydrofolate is a coenzyme in the synthesis of nonessential amino acids and purine and thymidylate nucleic acids, and therefore of DNA and RNA (see also Chapter 52).

#### Box 47.3 Causes of Folate Deficiency.

- Poor diet: Folate stores are adequate for a few weeks only. Lack of folate is uncommon in Western diets but may be more common in the diet of elderly people or in alcoholism.
- Increased requirements (e.g. pregnancy, malignancies, haemolytic anaemias, exfoliative dermatitis).
- Malabsorption (e.g. coeliac disease, tropical sprue).
- Drugs that interfere with folate metabolism: anticonvulsants (especially phenytoin; see Chapter 23), methotrexate (see Chapter 52), pyrimethamine (see Chapter 51).

#### **Folate Deficiency**

The most obvious consequence of folate deficiency is a macrocytic anaemia with the presence of megaloblasts in the marrow, a feature it shares with vitamin  $B_{12}$  deficiency. Folate deficiency can arise for a number of reasons (Box 47.3). Unlike iron, folate cannot be recycled from old red cells that are removed from the circulation.

#### **Therapeutic Use of Folic Acid**

Folate deficiency almost always responds to oral folic acid supplements. Folic acid is a poor substrate for dihydrofolate reductase, and is largely absorbed unchanged then converted to tetrahydrofolic acid in plasma and the liver. Most causes of folate deficiency are self-limiting, and folic acid treatment is usually given for 4 months to correct the anaemia and replace folate stores. Lifelong treatment is only necessary for people on long-term haemodialysis or with disorders of increased red cell turnover such as chronic haemolysis.

Folic acid is given prophylactically in pregnancy. It is offered in higher doses if the woman is at increased risk of having a pregnancy affected by neural tube defects. Those at higher risk include a partner with a neural tube defect, history of neural tube defect in a previous pregnancy, or if the woman has coeliac disease, diabetes mellitus, sickle-cell anaemia or is taking antiepileptic drugs (see Chapter 23). Folic acid is also given prophylactically to premature infants, during renal dialysis, and for chronic haemolytic anaemia.

Treatment of deficiency of both vitamin  $B_{12}$  and folate using only folic acid may correct anaemia but precipitate neurological damage (discussed later). Therefore vitamin  $B_{12}$  deficiency must be excluded before folic acid is used, or vitamin  $B_{12}$  given before folic acid if there is a possibility of vitamin  $B_{12}$  deficiency.

For folate deficiency produced by drugs that inhibit dihydrofolate reductase (e.g. methotrexate; see Chapter 52 and Fig. 51.4), it is necessary to bypass this enzyme blockade by giving the synthetic tetrahydrofolic acid, folinic acid (5-formyl tetrahydrofolic acid). This is the basis of 'folinic acid rescue' to reduce the toxic effects on healthy tissues of high-dose methotrexate used for treatment of malignancy (see Chapter 52). Folinic acid is formulated as a salt and given orally, usually as calcium folinate. When low-dose methotrexate is used in a once-a-week regimen for immunosuppression, folic acid can be given on a separate day to reduce toxicity. Folic acid has a number of pharmacokinetic interactions, and in particular can reduce the plasma concentration of the antiseizure drugs phenytoin, primidone and phenobarbital.

#### VITAMIN B<sub>12</sub>

Vitamin  $B_{12}$  is essential as a coenzyme in nucleic acid synthesis, and in other metabolic pathways such as fatty acid synthesis in conjunction with folate. Many functions of vitamin  $B_{12}$  can be performed by folate, but there are two enzyme families that only vitamin  $B_{12}$  can facilitate. These are responsible for homeostasis of methylmalonic acid and homocysteine.

The term *vitamin*  $B_{12}$  refers to a group of cobaltcontaining compounds, also known as cobalamins. Bacteria are the only organisms that can synthesise cobalamins de novo. Humans obtain vitamin  $B_{12}$  from meat (particularly liver), fish and shellfish, or from animal products (milk, cheese, eggs, etc.) or vegetables contaminated by bacteria. Absorption is by an unusual mechanism. Dietary vitamin B<sub>12</sub> is released by proteases from food and binds to haptocorrin (transcobalamin I), produced in salivary glands, which protects the vitamin from degradation by gastric acid. In the duodenum, haptocorrin is digested by pancreatic proteases and the released vitamin  $B_{12}$  then binds to a glycoprotein secreted by gastric parietal cells called *intrinsic factor*. The vitamin B<sub>12</sub>-intrinsic factor complex is absorbed principally from the terminal ileum, where it undergoes receptor-mediated endocytosis and then binds to transcobalamin II in the enterocytes. Most vitamin  $B_{12}$  in plasma is bound to the glycoprotein transcobalamin II, which is responsible for delivery of vitamin  $B_{12}$  to tissues where it undergoes receptormediated endocytosis into lysosomes. The transcobalamin II is then degraded and vitamin B<sub>12</sub> is transported into the cytoplasm. The liver stores about 50% of the body content of vitamin  $B_{12}$ .

#### Vitamin B<sub>12</sub> Deficiency

Impairment of vitamin  $B_{12}$ -dependent enzyme reactions affects DNA synthesis. The major organs affected by vitamin  $B_{12}$  deficiency are those with a rapid cell turnover, particularly the bone marrow and the gastrointestinal tract.

Vitamin  $B_{12}$  deficiency presents with a macrocytic anaemia and a megaloblastic bone marrow, neutropenia and thrombocytopenia. The tongue becomes smooth and painful. With severe vitamin  $B_{12}$  deficiency, demyelination of the posterior and lateral neuronal tracts in the spinal cord can also occur, leading to a condition known as subacute combined degeneration of the cord. Symptoms of the latter include motor and sensory disturbances and abnormal balance. Cognitive impairment occurs from cerebral damage. The neurological damage may not be fully reversible after correction of vitamin B<sub>12</sub> deficiency, due to axonal loss. Causes of vitamin B<sub>12</sub> deficiency include:

- diet: strict vegetarians and vegans only;
- intestinal malabsorption due to damage to the terminal ileum – for example, Crohn's disease, lymphoma;
- deficiency of intrinsic factor: pernicious anaemia (destruction of gastric parietal cells with achlorhydria and failure of intrinsic factor production), total and subtotal gastrectomy.

#### Therapeutic Use of Vitamin B<sub>12</sub>

Most people with vitamin B<sub>12</sub> deficiency have problems absorbing it from the gut, and treatment is usually by intramuscular injection of vitamin B<sub>12</sub> in aqueous solution. Hydroxocobalamin, the form of vitamin  $B_{12}$  produced by bacteria, is usually preferred for treatment of deficiency. Initial large dose injections are given on alternate days for 2 weeks to replenish stores (up to 80% of the vitamin is excreted unchanged). In severe deficiency, hypokalaemia can complicate initial therapy. Maintenance injections every 3 months for life are then adequate. High doses of oral cyanocobalamin are an alternative approach, particularly for people who cannot tolerate or do not want injections. In the rare dietary causes of vitamin B<sub>12</sub> deficiency, intramuscular hydroxocobalamin or oral cyanocobalamin supplements can be given.

If there is concurrent folate deficiency, vitamin  $B_{12}$  replacement should always be started first (as noted previously).

#### ERYTHROPOIETIN

#### Examples

darbepoetin, epoetin

Erythropoietin is a glycoprotein hormone produced mainly by the renal cortex where its synthesis is under the control of hypoxia-inducible transcription factors. Red cell precursors differentiate from haematopoietic stem cells but undergo apoptosis in the absence of erythropoetin. Erythropoetin binds to its receptor, which is found in high concentration on erythroid precursors, and enables the receptor to activate several intracellular signalling pathways. This stimulates differentiation and proliferation of erythroid progenitor cells into erythroblasts. Deficiency of erythropoietin synthesis in end-stage renal disease contributes to the anaemia that characterises this disorder.

Human erythropoietin for therapeutic use has been synthesised using recombinant DNA technology (epoetin); it is produced in three forms – epoetin alfa, beta and zeta – which have different glycosylation patterns but similar clinical effects. Erythropoietin is also available as two longer-acting derivatives: a hyperglycosylated derivative with amino acid changes, darbepoetin alfa, and methoxy polyethylene glycol (PEG)-epoetin beta.

#### **Pharmacokinetics**

Epoetin can be given intravenously or more conveniently subcutaneously, when a 25% to 50% lower dose can be used. The red cell response is more rapid after intravenous use, but ultimately greater after subcutaneous injection. Epoetin has a half-life of about 4 to 6 hours and is normally given two or three times a week. Darbepoetin has a longer half-life and is given initially once a week, then every 1 to 2 weeks. Methoxy PEG-epoetin beta is given initially every 2 weeks and for maintenance every 4 weeks. The elimination of epoetin may be largely by receptor-mediated uptake into bone marrow cells followed by proteasomal degradation.

#### **Unwanted Effects**

- Nausea, vomiting, diarrhoea.
- Headache.
- Influenza-like symptoms early in treatment, with fever, chills and myalgia.
- Hypertension, which is dose-dependent and can be severe, leading to encephalopathy with seizures.
- Severe cutaneous reactions, especially with longacting formulations.
- Thrombosis (including of arteriovenous shunts for haemodialysis) and embolism.
- Pure red cell aplasia (not affecting white cells or platelets) occurs rarely during subcutaneous administration in renal failure; this is usually associated with formation of antibodies to epoetin, necessitating discontinuation of treatment.

#### **Therapeutic Uses of Epoetin**

- Anaemia of end-stage renal disease. Other causes of anaemia should be excluded before using epoetin. Adequate iron stores are essential as erythropoiesis demands large amounts of iron. Intravenous iron supplements are often needed to maximise the response. Anaemia can be corrected in more than 90% of those treated, and treatment improves quality of life. Epoetin also modulates lipid metabolism, creating a less atherogenic plasma lipid profile, which may reduce the high cardiovascular mortality in renal failure. However, cardiovascular mortality and morbidity may be increased if the haemoglobin concentration is raised above 120 g/L.
- To increase red cell production prior to surgery. Autologous blood transfusion is an alternative to the use of banked blood. Epoetin given twice weekly for 3 weeks before surgery can increase the number of units of blood that can be obtained.
- Anaemia associated with human immunodeficiency virus (HIV) infection.

• Anaemia following cytotoxic chemotherapy of nonmyeloid malignant disease (see Chapter 52). However, thrombosis and mortality may be increased if the haemoglobin concentration is raised beyond 120 g/L or epoetin is used before chemotherapy.

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• Epoetin is sometimes abused by athletes to increase haematocrit and improve performance. This abuse is associated with an increased risk of arterial and venous thromboses.

#### DRUG TREATMENT IN OTHER ANAEMIAS

Certain other anaemias require specific drug therapy.

#### **Aplastic Anaemia**

Failure of haematopoietic stem cell production has many causes, including certain drugs (Box 47.4). Drugs do not have a major role in treatment of aplastic anaemia. The anabolic steroid oxymetholone (see Chapter 46; available in the UK on a named-patient basis only) is sometimes used, but its effectiveness is unpredictable. Antilymphocyte globulin is helpful in some acquired aplastic anaemias and is sometimes used in combination with ciclosporin (see Chapter 38).

#### Sideroblastic Anaemia

This can also be caused by drugs (Box 47.5). It is characterised by accumulation of iron in the mitochondria of erythroblasts, which lie in a ring around the nucleus. Staining for iron reveals the characteristic ring sideroblasts. Pyridoxine supplements can increase the

#### Box 47.4 Causes of Aplastic Anaemia.

Drugs:

- Cytotoxic agents
- Chloramphenicol
- Sulfonamides
- Nonsteroidal antiinflammatory drugs
- Sodium aurothiomalate
- Carbimazole
- Phenytoin
- Carbamazepine
- Phenothiazines

Radiation

Infections (e.g. hepatitis, Epstein–Barr virus) Inherited (e.g. Fanconi anaemia) Malignant (e.g. myelodysplastic syndrome)

# Moro Co

# More Common Causes of Sideroblastic Anaemia.

Congenital Acquired:

Box 47.5

- Cyulleu.
- Myelodysplastic syndrome
  Drugs and toxins:
  - Drugs and toxins:Isoniazid
  - Chloramphenicol
  - Alcoholism
  - Lead poisoning

haemoglobin concentration in idiopathic acquired and hereditary forms of the disorder. They can also be useful for reversible sideroblastic anaemia associated with pregnancy, haemolysis, alcohol dependence or during treatment with the antituberculous drug isoniazid (see Chapter 51).

#### Autoimmune Haemolytic Anaemia

This can respond to immunosuppression with corticosteroids (see Chapter 44).

#### β-Thalassaemia Major

This is a genetic disorder of haemoglobin synthesis with a hyperplastic bone marrow and refractory anaemia. Blood transfusions or excessive iron supplements lead to iron overload, with damage to the liver, heart and pancreas. Iron overload can be prevented with intravenous infusions of desferrioxamine mesilate (see Chapter 53) together with vitamin C, which enhances iron excretion. The oral iron chelators deferiprone or deferasirox are used when desferrioxamine is poorly tolerated or contraindicated.

#### **Sickle Cell Anaemia**

This homozygous inherited disorder occurs when more than 80% of the haemoglobin is HbS; fetal haemoglobin (HbF) forms the remainder. It is particularly common in Black people. The abnormal haemoglobin distorts red cells and shortens the life span of the erythrocyte, causing anaemia and leading to 'crises' due to episodes of capillary thrombosis with severe pain. Hydroxycarbamide (see Chapter 52) reduces the frequency and severity of sickle cell crises. It raises the HbF concentration and also reduces the number of young red cells, which are those most likely to adhere to endothelium and occlude blood vessels.

#### DRUGS AS A CAUSE OF ANAEMIA

- Iron deficiency: especially drugs causing bleeding from the upper gut – for example, nonsteroidal antiinflammatory drugs (NSAIDs).
- Aplastic anaemia: see Box 47.4.
- Sideroblastic anaemia: see Box 47.5.
- Haemolysis in glucose-6-phosphate dehydrogenase (G6PD) deficiency: see Box 47.6. G6PD is involved

#### Box 47.6 Drugs Causing Haemolysis in Glucose-6-Phosphate Dehydrogenase Deficiency.

- Antimalarials:
- Primaquine
- Analgesics:
- Aspirin (high dose)
   Others:
- Sulfonamides
- Nalidixic acid
- Dapsone

in generating reduced glutathione, which protects red cells against oxidative stresses. Oxidant drugs produce haemolysis in GP6D-deficient individuals, who are usually male (see Chapter 53).

#### NEUTROPENIA

Leucocytes are part of the first line of defence against pathogens. They include phagocytic cells (neutrophils, monocytes and eosinophils) and nonphagocytic cells (lymphocytes and basophils). In addition to their role in acute inflammation, all these cells participate in regulation of cellular and humoral immunity through the production of cytokines. A reduction in the number of circulating neutrophils (neutropenia) in particular increases the risk of serious infection. There are several causes of neutropenia (Box 47.7). Neutropenia does not give rise to symptoms directly, but predisposes to infection, especially if the neutrophil count falls below  $0.5 \times 10^9$ /L.

Haematopoietic growth factors are critical for proliferation, survival and differentiation of haematopoietic stem, progenitor and precursor cells that produce leucocytes. Among these, colony-stimulating factors play a critical role, especially granulocyte-colony stimulating factor (G-CSF) and granulocyte/macrophage colony-stimulating factor (GM-CSF). These colonystimulating factors are produced from many cells, such as endothelial cells, T-lymphocytes, macrophages and fibroblasts in response to antigen stimulation or activation by various cytokines.

#### DRUGS FOR NEUTROPENIA

#### Granulocyte Colony-Stimulating Factors

Granulocyte colony-stimulating factors stimulate the maturation of pluripotent haematopoietic stem cells in the bone marrow. G-CSF for therapeutic use is produced by recombinant DNA technology. Therapeutic formulations include:

- filgrastim (recombinant human G-CSF);
- lenograstim (glycosylated recombinant human G-CSF);
- pegfilgrastim (pegylated filgrastim);
- lipegfilgrastim (filgrastim pegylated via a glycan link).

#### Box 47.7 Causes of Neutropenia.

Inherited:

Congenital agranulocytosis

Cyclical neutropenia

Acquired:

- Viral infection (e.g. hepatitis, influenza, rubella, infectious mononucleosis)
- Bacterial infection
- Radiotherapy
- Drugs, especially cytotoxic drugs, carbimazole
- Autoimmune neutropenia
- Hypersplenism
- Marrow infiltration

A transient fall in circulating neutrophils occurs within minutes of the injection, followed a few hours later by a substantial rise.

#### Pharmacokinetics

G-CSFs are given by prolonged intravenous infusion or by subcutaneous infusion or injection. Daily injections of filgrastim or lenograstim are given until there is an adequate neutrophil response. Pegfilgrastim and lipegfilgrastim have a longer duration of action than filgrastim and are only given once. Filgrastim and lenograstim are eliminated both by the kidney and by neutrophil uptake. The pegylated drug pegfilgrastim and the glycopegylated drug lipegfilgrastim are not eliminated by the kidney but by uptake into neutrophils; they have a prolonged effect in neutropenia because of the low number of neutrophils available to contribute to their elimination.

#### Unwanted effects

- Arthralgia.
- Headache.
- Cutaneous vasculitis.
- Adult respiratory distress syndrome.
- Myeloproliferative disorders with long-term treatment.
- Osteoporosis with long-term treatment.

#### **Therapeutic Use of Colony-Stimulating Factors**

- *Chemotherapy-induced neutropenia*. The duration of neutropenia may be reduced, with a limitation of associated sepsis. However, with many chemotherapy regimens, there is no evidence that longterm survival is improved by G-CSF, and with some regimens the risk of acute myeloid leukaemia may be increased. G-CSF treatment is therefore reserved for those regimens that have greater than 20% historical risk of febrile neutropenia. It is also used when chemotherapy has previously been associated with a febrile neutropenic episode and the drug dosage cannot be reduced for subsequent courses.
- Severe cyclical neutropenia, idiopathic neutropenia and persistent neutropenia with HIV infection.
- *Mobilisation of progenitor cells into peripheral blood for harvesting prior to bone marrow transplantation.* The white blood cell count rises 7 to 12 days after treatment and is accompanied by an increase in haematopoietic stem cells, which are collected via a cell-separation machine. G-CSF use can be followed by the chemokine receptor antagonist plerixafor, which mobilises haematopoietic stem cells into peripheral blood.
- *Congenital neutropenia*. Survival is prolonged by G-CSF, which reduces life-threatening infection, but 10% of people develop acute myeloid leukaemia as a result of treatment.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Dietary iron is transported in the blood mostly bound to ferritin.
- 2. Pernicious anaemia is caused by reduced vitamin  $B_{12}$  absorption.
- 3. In vitamin  $B_{12}$  deficiency treatment is rarely required for more than 3 months.
- 4. The blood film in pernicious anaemia shows microcytosis.
- 5. Both vitamin  $B_{12}$  and folate are essential for DNA synthesis.
- 6. Folic acid cannot be given orally.
- 7. Folic acid in the body can be depleted by the anticonvulsant drug, phenytoin.
- Erythropoietin reduces apoptosis of red blood cell progenitors.
- 9. Filgrastim is a recombinant form of erythropoietin.
- 10. Plerixafor is a chemokine antagonist.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which is a *parenteral* formulation of iron used in iron-deficiency anaemia?
  - A. Ferric carboxymaltose.
  - B. Ferric maltol.
  - C. Ferrous gluconate.
  - D. Ferrous sulfate.
  - E. Sodium feredetate.
- 2. Identify the most accurate statement concerning erythropoietin.
  - A. Erythropoietin is mainly synthesised by the adrenal glands.
  - B. Erythropoietin can correct anaemia in end-stage renal disease.
  - C. Erythropoietin is an effective anaemia treatment even if iron levels are low.
  - D. Erythropoietin improves athletic performance with no adverse effects.
  - E. Erythropoietin can be given orally.
- 3. Identify the least accurate statement concerning folic acid and its metabolites.
  - A. Tetrahydrofolate is involved in the synthesis of the nucleotide bases in DNA.
  - B. Folic acid is often given with hydroxocobalamin.
  - C. Folate is absorbed in the stomach.
  - D. Tetrahydrofolic acid is given rather than folic acid to correct the folate deficiency caused by methotrexate.
  - E. Folic acid in pregnancy reduces the risk of neural tube defects.

#### **CASE-BASED QUESTIONS**

A 40-year-old woman complains to her GP of fatigue and heavy menstrual periods lasting 7 days and occurring every 28 days. On examination, there is conjunctival pallor. Her haemoglobin level is 67 g/L (normal haemoglobin level in women is 115-165 g/L) and mean cell volume (MCV) is 61 fL (normal MCV is 76–96 fL). Other blood measurements of platelets and white cell counts are unremarkable.

- 1. How do you interpret these data and what are the possible reasons?
- 2. What biochemical tests would help the diagnosis?
- 3. The tests confirm iron-deficiency anaemia. What pharmaceutical preparation should be given?
- 4. Several oral iron formulations are tried, as the woman felt unwell taking ferrous sulfate. What unwanted effects might she have experienced?
- 5. Where in the GI tract is oral iron absorbed?
- 6. After 2 months of oral iron therapy, the haemoglobin value was 85 g/L. Is this a sufficient response?
- 7. What factors might have modulated this response?
- 8. What alternative treatment could be administered?

#### **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **False.** Iron is transported in the blood bound to transferrin and stored in tissues as ferritin and haemosiderin.
- 2. **True.** Autoimmune loss of gastric parietal cells reduces production of intrinsic factor, which is needed for vitamin  $B_{12}$  absorption in the distal ileum.
- 3. **False.** In pernicious anaemia vitamin B<sub>12</sub> is given (as hydroxocobalamin) by intramuscular injection every 2 to 3 months for life.
- 4. **False.** Macrocytes (enlarged red cells) are found in the blood in pernicious anaemia.
- 5. **True.** Folate is necessary for synthesis of purines and pyrimidines, and vitamin  $B_{12}$  is a cofactor in their synthesis.
- 6. **False.** Folic acid is given orally each day for up to 4 months to replenish stores.
- 7. **True.** The anticonvulsant phenytoin can deplete folic acid and decrease plasma concentrations of administered folic acid. In turn, folic acid decreases the plasma concentrations of anticonvulsant drugs including phenytoin, fosphenytoin, primidone and phenobarbital, and increases the risk of toxicity with other drugs including capecitabine, fluorouracil and tegafur.
- 8. **True.** Erythropoietin increases survival of erythroid progenitor cells in the bone marrow.
- 9. **False.** Filgrastim is a recombinant form of granulocyte colony-stimulating factor (G-CSF), which promotes formation of neutrophils and other granulocytes in the bone marrow.
- 10. **True.** Plerixafor is an antagonist of the CXCR4 chemokine receptor and is used with a recombinant G-CSF to mobilise stem cells for harvesting.

#### **OBA ANSWERS**

1. **Answer A** is correct. Answers B to E are oral formulations; ferric carboxymaltose (answer A) is one of a number of parenteral formulations used when oral

formulations are not effective, for example due to poor tolerance, malabsorption or low adherence.

#### 2. Answer B is correct.

- A. Incorrect. The kidneys are the main site of erythropoietin production.
- B. **Correct**. Anaemia due to renal disease is commonly treated with erythropoietin.
- C. Incorrect. Adequate iron stores are necessary for erythropoietin to be successful.
- D. Incorrect. Erythropoietin may enhance performance by increasing haematocrit, but with an increased risk of thrombosis.
- E. Incorrect. It is a glycoprotein that can be given by intravenous or subcutaneous routes.
- 3. Answer C is the incorrect statement.
  - A. Correct. Tetrahydrofolate is a folic acid metabolite utilised in the synthesis of the purine and pyrimidine bases in DNA.
  - B. Correct. Neurological damage can be caused if folic acid is given alone when both folate and vitamin  $B_{12}$  are deficient.
  - C. **Incorrect.** Folate is absorbed in the proximal jejunum, and absorption is deficient in coeliac disease.
  - D. Correct. Methotrexate inhibits the synthesis of tetrahydrofolate by dihydrofolate reductase. Synthetic tetrahydrofolate (folinic acid) bypasses this block.
  - E. Correct. Folic acid is given prophylactically in pregnancy, and in higher amounts if there is a history of a neural tube defect in a previous pregnancy.

#### **CASE-BASED ANSWERS**

- 1. The haemoglobin concentration is below normal for a nonpregnant woman, indicating anaemia, and the MCV is also low. A common cause of low MCV is iron-deficiency anaemia, which is common in menstruating women. Another cause is gastrointestinal bleeding, including haemorrhoids, or caused by drugs such as NSAIDs.
- 2. Serum ferritin would be low and total iron-binding capacity elevated.
- 3. Oral ferrous salts (e.g. ferrous sulfate), the form most easily absorbed.
- 4. Gastrointestinal distension and loose bowel movements are common.
- 5. Iron is absorbed from the duodenum and upper jejunum.
- 6. The rise in haemoglobin is insufficient; it should be about 20 g/L every 3 weeks.
- 7. Poor response could be due to poor adherence to treatment, continued bleeding or malabsorption.
- 8. Alternative treatment could be slow intravenous injection or infusion, or deep intramuscular injection of a parenteral formulation such as iron dextran. With the new treatment regimen, her haemoglobin rose to 120 g/L over 4 weeks.

## **FURTHER READING**

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- Kaushansky, K., 2006. Lineage-specific hematopoietic growth factors. N. Engl. J. Med. 354, 2034–2046.
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#### Compendium of Drugs Used to Treat Anaemias and Neutropenia.

DRUG	CHARACTERISTICS		
Drugs Used in Iron-Deficiency Anaer	nia		
Iron			
Oral Formulations			
Ferric maltol Ferrous sulfate Ferrous fumarate Ferrous gluconate Sodium feredetate	These oral formulations have only marginal differences in the efficiency of iron absorption. Dosage is adjusted to provide similar content of elemental iron (65–100 mg daily) for treatment, with lower doses for prophylaxis. Some are available in combined formulations with folic acid for use in pregnancy.		
Parenteral Formulations			
Parenteral iron is used when oral	iron is unsuccessful due to poor adherence, tolerance or absorption.		
Ferric carboxymaltose	Ferric hydroxide core stabilised by a carbohydrate shell. Given by slow intravenous injection or infusion.		
Iron dextran	A complex of ferric hydroxide with dextran containing 5% iron. Given by slow intravenous injection or infusion.		
Iron isomaltoside 1000	Ferric hydroxide complexed with carbohydrate. Given by slow intravenous injection or infusion.		
Iron sucrose	A complex of ferric hydroxide with sucrose containing 2% iron. Given by slow intravenous injection or infusion.		
Drugs Used in Megaloblastic Anaem	ias		
Cyanocobalamin	Metabolised to cobalamin and incorporated into vitamin B <sub>12.</sub> Given orally, or by intramuscular injection at monthly intervals.		
Folic acid	Metabolised to tetrahydrofolate. Folate deficiency responds to a short course of treatment. Given orally, often with hydroxocobalamin.		
Hydroxocobalamin	Hydroxocobalamin has replaced cyanocobalamin as the drug of choice in the UK. Given by intramuscular injection at 3-month intervals.		
Drugs Used in Hypoplastic, Haemoly	tic and Renal Anaemias		
Darbepoetin alfa	Long-acting recombinant form of renal erythropoietin. Used for anaemia associated with chronic renal disease and anaemia in adults receiving chemotherapy for nonmyeloid malignancies. Given by intravenous injection or subcutaneously.		
Epoetin alfa, beta and zeta	Used for anaemia associated with chronic renal disease, to increase autologous blood in healthy people and for anaemia in adults receiving chemotherapy for malignancies. Given by intravenous or subcutaneous injection.		
Methoxy polyethylene glycol- epoetin beta	Long-acting pegylated version of epoetin beta. Used for anaemia associated with chronic renal disease; given by intravenous or subcutaneous injection.		
Oxymetholone	Anabolic steroid; used for 3–6 months for treatment of aplastic anaemia. Available only on a named-patient basis in UK. Given orally.		
Treatment of Iron Overload			
Deferasirox	Given orally for iron overload in thalassaemia major, for people requiring frequent blood transfusions and those intolerant to desferrioxamine.		
Deferiprone	Given orally for iron overload in thalassaemia major and for people intolerant to desferrioxamine.		
Desferrioxamine mesilate	Chelating agent used for iron overload in thalassaemia major (or aluminium overload in people undergoing dialysis), and for haemochromatosis in people in whom repeated venesection is contraindicated. Given by subcutaneous infusion.		

# Compendium of Drugs Used to Treat Anaemias and Neutropenia—cont'd.

DRUG	CHARACTERISTICS
Drugs Used in Neutropenia	
Recombinant colony-stimulating fa	ctors are given parenterally; for specialist use only.
Filgrastim	Unglycosylated recombinant human granulocyte colony-stimulating factor (G-CSF). Used for neutropenia, following cytotoxic chemotherapy of malignancy, and for severe congenital neutropenia. Given subcutaneously or intravenously.
Lenograstim	Glycosylated recombinant human G-CSF. Used for reduction in the duration of neutropenia, for example following cytotoxic chemotherapy of malignancy. Given subcutaneously or intravenously.
Lipegfilgrastim	Long-acting glycopegylated conjugate of filgrastim. Given subcutaneously.
Pegfilgrastim	Long-acting pegylated derivative of filgrastim. Used for neutropenia, for example following cytotoxic chemotherapy of malignancy. Given by subcutaneous injection.
Plerixafor	Chemokine receptor (CXCR4) antagonist. Used with G-CSF to improve mobilisation and yield of stem cells for transplantation. Given by subcutaneous injection.

# **Lipid Disorders**



#### **Chapter Outline**

Lipids and Lipoproteins 545 Cholesterol and Triglycerides 545 Lipoproteins 545 Processing of Lipids Absorbed from the Gut 547 Plasma Transport and Liver Processing of Lipids 547 Formation of Atheroma 548 Drugs for Hyperlipidaemias 548 3-Hydroxy-3-Methylglutaryl-Coenzyme A Reductase Inhibitors (Statins) 548 Specific Cholesterol Absorption Inhibitor 549 Bile Acid Sequestrants 550 Fibrates 550

LIPIDS AND LIPOPROTEINS

Lipids have many functions such as energy storage in adipose tissue (triglycerides), intracellular signalling (various intracellular messengers and extracellular mediators linked to G-protein-coupled receptors; steroid hormones synthesised from cholesterol) and as the main structural component of cell membranes (glycerophospholipids, sphingomyelin and cholesterol). Lipid and lipoprotein metabolism is complex and the following account is a brief summary, sufficient to establish the mechanism of action of drugs used to correct lipid abnormalities.

#### **CHOLESTEROL AND TRIGLYCERIDES**

About 70% of total cholesterol in the body is synthesised de novo in various organs. Of this, 10% of daily production of cholesterol is by the liver and 15% in the intestines, with the rest synthesised in the adrenal glands and reproductive organs. About 30% of total cholesterol is absorbed from the gut, derived from the consumption of eggs, cheese, meat or fish, or from enterohepatic cycling of bile acids. Lipogenesis can take place when glucose supplies are plentiful, as the excess acetyl CoA generated by glycolysis provides the substrate for fatty acid synthesis and subsequently synthesis of both cholesterol and triglycerides. Oxidation of fatty acids is another source of acetyl CoA.

The synthesis of cholesterol from acetyl CoA involves at least 30 enzymes, but the rate-limiting step is the conversion of 3-hydroxy-3-methylglutaryl-coenzyme A (HMG-CoA) to mevalonate, a step that is catalysed by HMG-CoA reductase. The cellular supply

Adenosine Triphosphate Citrate Lyase (ACL) Inhibitor 550 Nicotinic Acid and Derivatives 551 Omega-3 Fatty Acids 551 Proprotein Convertase Subtilisin/Kexin (PCSK) Type 9 Inhibitors 551 Small Interfering Ribonucleic Acid (siRNA) 552 Management of Hyperlipidaemias 552 Self-Assessment 554 Answers 554 Further Reading 556 Compendium of Drugs Used to Treat Hyperlipidaemias 556

of cholesterol is maintained at a steady level by regulation of HMG-CoA reductase activity. Intracellular cholesterol is a feedback inhibitor of HMG-CoA reductase activity; it suppresses gene expression to reduce synthesis of the enzyme and induces rapid proteolytic degradation of the enzyme. The relatively constant plasma concentration of cholesterol is mainly due to regulation of cholesterol synthesis.

Cholesterol leaves hepatocytes either by transport into the circulation as cholesterol esters (cholesterol complexed with fatty acid; discussed later) associated with low-density lipoprotein (LDL; discussed later), or by secretion into the bile after transformation into bile acids (Figs. 48.1 and 48.2). About 95% of bile acids are reabsorbed from the gut and taken up by the liver from the portal circulation. The 5% of bile acids lost in faeces is the major pathway for elimination of cholesterol from the body along with transintestinal cholesterol efflux.

Triglycerides (fatty acids esterified with glycerol) comprise over 95% of dietary fat and can also be synthesised from intermediary metabolites formed in the liver from excess carbohydrate in the diet. Triglycerides are stored in adipose tissue, from where they can be mobilised as nonesterified free fatty acids to act as an energy substrate during periods of fasting.

#### LIPOPROTEINS

Lipids have low water solubility and circulate in plasma as triglycerides and cholesterol esters encased in a coat of apolipoproteins within a phospholipid monolayer. Together, these create lipoproteins that are watersoluble and which allow the triglycerides and cholesterol esters to be transportable. The lipoproteins can be



**Fig. 48.1 Major pathways in lipoprotein formation and metabolism.** Dietary lipids including cholesterol *(CHOL)* and free fatty acids *(FFA)* in the gut are emulsified by bile acids and transported within chylomicrons *(CHY)* to the liver. They are then circulated as cholesterol and triglycerides *(TG)* to tissues in very-low-density lipoproteins *(VLDL)*, where endothelial lipoprotein lipase *(LPL)* liberates FFA in adipose and muscle for storage or metabolism. The resulting low-density lipoproteins *(LDL)* are returned to hepatocytes via LDL receptors, or taken up by LDL receptors in extrahepatic tissues, where they are oxidised and contribute to atherogenesis. The high-density lipoprotein *(HDL)* pool (nascent HDL) is derived from the chylomicrons following the action of LPL, and the reverse cholesterol pathway returns HDL to the liver via HDL receptors. Lipid-lowering drugs and their principal targets are shown with red arrows. *ABCA1*, ATP-binding cassette transporter A1 (also known as cholesterol efflux regulatory protein; *CHXY rem,* chylomicron remnant; *FATP*, fatty acid transport protein; *HMG-CoA reductase*, 3-hydroxyl-3-methylglutaryl-coenzyme A reductase.



Fig. 48.2 Enterohepatic cycling of cholesterol and bile acids. Bile acids are secreted via the bile duct into the duodenum, where they aid in dietary lipid absorption, and are then returned to the liver by the portal circulation. A circled minus sign indicates a negative-feedback effect. Percentages are in relation to the amount excreted in bile.

differentiated according to the triglyceride/cholesterol ratio they carry, their apolipoprotein (apo) constituents and their density (Table 48.1). They are usually classified according to their density into very-low-density (VLDL), low-density (LDL), intermediate-density (IDL) and high-density (HDL) lipoproteins and chylomicrons. There are specific cell-surface receptors for processing different apolipoproteins, and these determine where and how particular fractions of circulating cholesterol and triglyceride will be handled (see Table 48.1). In healthy individuals, about 70% of plasma cholesterol is carried by LDL and 20% by HDL. The least-dense and largest-diameter lipoproteins, known as chylomicrons, are exclusively concerned with the transport of dietary lipid from the intestine to the liver. Their low density and large size reflect their high content of triglycerides (see Table 48.1), and they are almost completely removed from blood after a 12-hour fast. VLDL carries about 60% of plasma triglyceride in the fasting state. The ratio of cholesterol to triglyceride carried is greatest in the HDL fraction.

Microsomal triglyceride transfer protein (MTP) has a central role in assembly of lipoproteins. It catalyses transport of triglyceride and cholesterol esters into lipoproteins that contain apolipoprotein B, principally

•		,		
LIPOPROTEIN	MAJOR ASSOCIATED APOLIPOPROTEINS	CHOLESTEROL (%)	TRIGLYCERIDES (%)	SOURCE
Chylomicrons	Apo A/apo B/apo B <sub>48</sub> /apo C/apo E	3	90	Intestine
VLDL	Apo C/apo B <sub>100</sub> /apo E	20	50	Liver
LDL	Apo B <sub>100</sub>	50	7	VLDL
HDL	Аро А	40	6	Chylomicrons, VLDL, liver, intestine

#### Table 48.1 Apolipoprotein and Lipid Composition of Major Lipoproteins and Their Sources.

HDL, High-density lipoproteins; LDL, low-density lipoproteins; VLDL, very-low-density lipoproteins.

chylomicrons in enterocytes (containing apoB-48) and VLDL (but also IDL and LDL; all containing apoB-100) in the liver (see Table 48.1).

#### PROCESSING OF LIPIDS ABSORBED FROM THE GUT

Cholesterol and free fatty acids are solubilised by bile acids in the gut lumen to facilitate absorption into enterocytes (see Fig. 48.1). Soluble cholesterol is transported into the enterocyte from the intestinal lumen mainly by a specific lipid transmembrane transporter called Nieman-Pick C1-like 1 protein (NPC1L1).

Dietary triglycerides are hydrolysed by pancreatic lipase to monoacylglycerols and free fatty acids, and then emulsified by bile acids in the intestine. Uptake of these lipid molecules into the enterocyte does not require a specific transporter. In the enterocyte, these lipids are resynthesized into triglyceride.

Both cholesterol and triglycerides are incorporated into chylomicrons in the enterocyte (see Table 48.1). Chylomicrons are secreted into the lymphatic system and then into the circulation. The apo C-II in chylomicrons activates endothelial lipoprotein lipase, which hydrolyses triglycerides to free fatty acids (see Table 48.1). Free fatty acids are utilised by muscle and liver as an energy source or stored as triglycerides in adipose tissue. After removal of triglycerides from the chylomicrons, the remaining surface lipoprotein and lipid fractions leave the particles to enter the HDL pool as 'nascent HDL' (see Fig. 48.1). The cholesterol-rich chylomicron remnants are removed from the circulation by hepatocytes, a process mediated by apolipoprotein E (apo E) receptors.

# PLASMA TRANSPORT AND LIVER PROCESSING OF LIPIDS

Cholesterol (as cholesterol esters) is transported to tissues by VLDL and LDL and from tissues to the liver by HDL. A high plasma concentration of LDL is associated with atheromatous disease.

Triglycerides in the liver are derived from uptake of plasma fatty acids during fasting. When there is an abundance of carbohydrate, fatty acids are synthesised de novo from glucose. Fatty acids are esterified in the liver to generate both triglycerides and cholesterol.

Triglycerides in the liver that are surplus to synthetic and oxidative requirements, along with some cholesterol, are released into the circulation as the apo-B rich VLDL. Apo C-II on the surface of VLDL activates endothelial lipoprotein lipase to break down triglycerides into free fatty acids and glycerol, a process called lipolysis. This leaves cholesterol-rich IDL, which retains apo-B (not shown in Fig. 48.1). IDL is either cleared by the liver or hepatic lipase releases free fatty acids from the remaining triglycerides, which generates LDL. LDL is the most cholesterol-rich lipoprotein (see Table 48.1). Up to 75% of LDL is removed from the circulation by uptake into liver cells via apo-B and hepatic LDL receptors. Hepatic LDL receptors are degraded by the enzyme proprotein convertase subtilisin/kexin type 9 (PCSK9), which plays a major role in cholesterol homeostasis. The circulating concentration of LDL rises if there is either excess production of LDL or deficient LDL receptor numbers on the liver. The remainder of the LDL cholesterol is taken up by peripheral LDL receptors.

LDL particles can cross the endothelium of arterial walls and in the presence of necrotic cell debris and free radicals in the endothelium, the LDL undergoes oxidative damage to oxidised LDL. Oxidised LDL is a major factor in the genesis of atheromatous plaques. It is internalized by scavenger receptors, found particularly on monocytes, macrophages and vascular smooth muscle cells. When plasma LDL rises, scavenger receptor-mediated uptake of cholesterol will increase. Circulating LDL-rich monocytes and vascular smooth muscle cells migrate into the subendothelial space of arterial walls, where they undergo a series of changes to become macrophages. Macrophages take up more oxidised LDL to create foam cells, which generate lipid-rich deposits that are the precursor of atheromatous plaques (discussed later).

The size of LDL particles varies from large and buoyant to small and dense. Small dense LDL is especially rich in cholesterol and is particularly atherogenic because it binds less efficiently to hepatic LDL receptors and is therefore exposed to scavenger receptors for longer. The production of small dense LDL is increased in metabolic disturbance such as hypertriglyceridaemia and insulin resistance.

HDL carries cholesterol mobilised from arterial wall foam cells and transports it to other cells that require cholesterol and to the liver where it is cleared (reverse cholesterol transport). The efflux of cholesterol from foam cells is mediated by ATP-binding cassette transporters A1 and G1 (ABCA1, ABCG1), which combines with apo A-I to form nascent HDL. Free cholesterol in nascent HDL is esterified by the enzyme lecithin-cholesterol acyltransferase (LCAT) to create mature HDL. HDL is believed to protect against atheroma by this reverse cholesterol transport from peripheral tissues to the liver. The enzyme cholesterol ester transfer protein (CETP) can transfer cholesterol from HDL to VLDL in exchange for triglycerides. The extent of this exchange depends on the concentration of circulating triglycerides. HDL also binds to hepatic HDL receptors (scavenger receptor B1) that selectively remove cholesterol esters.

Atherogenic patterns of circulating lipoproteins can result from the following:

- high dietary intake of saturated fat.
- primary (inherited) disorders of enzymes or receptors involved in lipid metabolism. Most inherited hyperlipidaemias are polygenic, but an important inherited defect is familial hypercholesterolaemia (FH), a single recessive gene disorder that affects 1 in 500 of the population, who have reduced synthesis of LDL receptors.
- secondary lipid disorders, when hyperlipidaemia results from diseases that affect lipid metabolism – for example, liver disease, nephrotic syndrome, hypothyroidism.

#### FORMATION OF ATHEROMA

Abnormalities of plasma lipoprotein metabolism produce excessive concentrations of circulating cholesterol and/or triglyceride. Their clinical importance lies in their relationship to the production of atheroma (mainly raised plasma LDL cholesterol with a contribution from triglycerides) and pancreatitis (plasma triglycerides >12 mmol/L).

Atheroma is focal thickening of the intima of arteries, produced by a combination of cells, elements of connective tissue, lipids and debris. Atheromatous plaques preferentially affect parts of the arterial tree where there is turbulent blood flow, such as branch points. Turbulence leads to endothelial dysfunction and inhibits production of the vasodilator molecule nitric oxide. Endothelial dysfunction is also promoted by atherogenic factors such as smoking, diabetes mellitus or hypertension. The dysfunctional endothelium is activated to express adhesion molecules and produces proinflammatory cytokines and chemokines, which attract monocytes and some T-lymphocytes that penetrate the arterial wall and accumulate in the subendothelial tissue LDL.

Oxidised LDL in monocytes, macrophages and vascular smooth muscle cells produces a cytotoxic

and chemotactic lipid that can further activate the endothelium. Monocytes and vascular smooth muscle cells differentiate into macrophages under the influence of endothelial cytokines, and the macrophages take up more oxidised LDL via scavenger receptors. The cholesterol accumulates as droplets in the cytosol, creating lipid-rich foam cells. Foam cells initiate fatty streaks that are the precursor of atheroma. T-cells in the developing atheromatous lesion recognise lipid antigens and release cytokines that attract further inflammatory cells and initiate a T-helper cell type 1 inflammatory response (see Chapter 38). Macrophage cytokines recruit smooth muscle cells that migrate from the media into the inflammatory lesion and also stimulate production of an extracellular fibrous matrix. A collagen-rich cap forms over the subendothelial plaque. The extent of the inflammatory response in the plaque determines whether the cap becomes fibrous and stable, or whether it is destabilised by infiltration of inflammatory cells that make the cap prone to rupture or surface erosion with subsequent thrombus formation. Plaque destabilisation underlies the development of acute coronary syndromes and many cases of ischaemic stroke (see Chapters 5 and 9).

#### DRUGS FOR HYPERLIPIDAEMIAS

#### 3-HYDROXY-3-METHYLGLUTARYL-COENZYME A REDUCTASE INHIBITORS (STATINS)

**Examples** 

atorvastatin, pravastatin, rosuvastatin, simvastatin

#### Mechanism of Action and Effects

HMG-CoA reductase inhibitors competitively inhibit the enzyme that catalyses the rate-limiting step in the synthesis of cholesterol (see Fig. 48.1). Their most important action is in the liver, where the fall in hepatic cholesterol levels produces a compensatory upregulation in the number of LDL receptors on hepatocytes, with increased clearance of circulating LDL cholesterol. In the liver, the cholesterol is reprocessed to form bile salts. The extent of the reduction in plasma LDL cholesterol ranges from 25% to 55%, depending on the specific statin and the dose (Table 48.2). Short-acting statins, such as simvastatin, are most effective for reducing LDL cholesterol when taken at night, which is the time when most cholesterol synthesis occurs. 'High intensity' statin therapy is recommended for secondary prevention of cardiovascular disease, which is usually achieved with atorvastatin, rosuvastatin or a high dose of simvastatin.

Statins also reduce the circulating concentration of VLDL by stimulating lipoprotein lipase, and therefore reduce circulating triglycerides. A modest increase in HDL cholesterol is usually seen, due to

DAILY DOSE	5 MG	10 MG	20 MG	40 MG	80 MG
Atorvastatin	_	37%	43%	49%	55%
Fluvastatin	_	_	21%	27%	33%
Pravastatin	_	20%	24%	29%	_
Rosuvastatin	38%	43%	48%	53%	_
Simvastatin	_	27%	32%	37%	42%

#### Table 48.2 Average Reduction in Low-Density Lipoprotein Cholesterol by Statins.

Data from NICE Clinical Guideline 181 (updated 2016), www.nice.org.uk/guidance/cg181, which classifies statins as high intensity (>40% reduction), medium intensity (31%-40% reduction) or low intensity (20%-30% reduction) by their ability to reduce LDL cholesterol.

#### Box 48.1 Non-Lipid Effects of Statins.

- Improved vascular endothelial function: increased nitric oxide (NO) and vascular endothelial growth factor (VEGF), reduced endothelin
- Stabilisation of atherosclerotic plaques: reduced smooth muscle proliferation, increased collagen synthesis
- Altered haemostasis: decreased plasma fibrinogen, enhanced fibrinolysis, reduced platelet aggregation
- Antiinflammatory action: reduced inflammatory cell infiltration into atherosclerotic plaques, reduced plasma C-reactive protein (CRP), reduced expression of adhesion molecules and cytokines
- Antioxidant activity: reduced free radical formation

increased synthesis of the constituent apo A-I. This is a result of the activation of the peroxisome proliferator-activated receptor  $\alpha$  (PPAR- $\alpha$ ; see the section on fibrates later).

Statins have several other potentially beneficial actions on the atherothrombotic process, which are unrelated to reduction in LDL cholesterol (Box 48.1). These effects may result from reduced synthesis of isoprenoid intermediates in the cholesterol synthetic pathway, which are required for the action of several G-proteins that regulate cell proliferation, differentiation, apoptosis and cytoskeleton formation. There is evidence that some of these may contribute significantly to the beneficial actions of statins in reducing clinical events in people with atherothrombotic disease. Statins are the most important class of drug for reducing plasma LDL cholesterol.

#### **Pharmacokinetics**

Simvastatin is a prodrug activated by first-pass hepatic metabolism to a hydroxy acid metabolite, which has a half-life of 1 to 2 hours. Pravastatin is a hydrophilic drug eliminated mainly by the kidneys; its half-life is 1 to 2 hours. Atorvastatin undergoes first-pass metabolism, in part to active derivatives, and has a very long half-life of over 30 hours. Rosuvastatin is eliminated mainly in the bile and has a half-life of 20 hours.

#### **Unwanted Effects**

 Gastrointestinal upset, including nausea, abdominal pain, flatulence and diarrhoea.

- Central nervous system effects, such as dizziness, blurred vision, headache and sleep disturbance.
- Transient disturbance of liver enzyme levels and, rarely, hepatitis.
- Hyperglycaemia.
- Myalgia (muscle pain) or myositis (muscle inflammation) and rarely rhabdomyolysis. In some people there is a raised serum creatine kinase concentration indicating muscle damage, but no muscle symptoms. The drug should be stopped if the serum creatine kinase is markedly raised. The mechanism of the myopathy remains uncertain, but it is likely that some people have minor metabolic abnormalities in their striated muscle that makes the muscle more susceptible to reduction of its fat substrate. There is an increased risk of muscle problems when a statin is used in combination with a fibrate, nicotinic acid, fusidic acid, ciclosporin and several other drugs.

# SPECIFIC CHOLESTEROL ABSORPTION INHIBITOR

#### Example

ezetimibe

#### **Mechanism of Action**

Ezetimibe acts at the brush border of the small intestinal mucosa to inhibit the NPC1L1 transporter and reduces cholesterol absorption from the gut by about 50%. It has no effect on the absorption of triglycerides, bile acids or fat-soluble vitamins. Given alone, ezetimibe reduces plasma LDL cholesterol by about 20%. When taken with a low dose of statin, the combination is as effective as three doublings of the statin dose in reducing plasma total cholesterol. It is not recommended as a first-line treatment for prevention of cardiovascular disease.

#### **Pharmacokinetics**

Ezetimibe undergoes enterohepatic circulation, which gives it a long half-life of about 22 hours.

#### **Unwanted Effects**

- Diarrhoea, abdominal pain.
- Headache, fatigue.

#### **BILE ACID SEQUESTRANTS**

Examples

colesevelam, colestipol, colestyramine

#### Mechanism of Action

Bile acids are synthesised from cholesterol in the liver and are secreted into the duodenum to aid absorption of dietary fat. They are then reabsorbed in the terminal ileum and returned to the liver in the portal circulation (see Fig. 48.2). Bile acid-sequestrants are insoluble, nonabsorbable polymers that bind bile salts in the gut and prevent enterohepatic circulation of bile acids.

The size of the hepatic bile acid pool is tightly regulated via binding of bile salts to the farnesoid X receptor (FXR), a nuclear receptor that acts as a gene regulator. Depletion of intrahepatic bile acids releases FXR to stimulate conversion of cholesterol to bile acids. This reduces intrahepatic cholesterol, which promotes compensatory upregulation of hepatic LDL receptors in order to replenish liver cholesterol. LDL cholesterol is then cleared more rapidly from plasma, with a fall in circulating LDL levels of 15% to 20%. Reduced binding of bile acids to FXR also produces a small rise in plasma triglycerides through activation of enzymes involved in fatty acid synthesis and increased triglyceride clearance by stimulating lipoprotein lipase.

Bile acid sequestrants are not recommended as treatments for prevention of cardiovascular disease.

#### **Unwanted Effects**

- Unpalatability. Sachets of colestyramine or colestipol containing several grams of powder have to be taken, usually mixed with food. The taste and texture limit acceptability. Colesevelam is formulated as tablets, but four to six must be taken daily.
- Nausea, constipation, abdominal discomfort.
- Headache.
- Bile acid sequestrants may interfere with the absorption of fat-soluble vitamins (A, D, E, K) and interact with certain drugs for example, digoxin, warfarin and levothyroxine. Drugs known to be affected or for which no data are available should be given at least 4 hours before (1 hour in the case of colestipol) or 4 hours after taking the sequestrant.

#### FIBRATES

#### **Examples**

ciprofibrate, fenofibrate

#### Mechanism of Action

The main mechanism of fibrate drugs is activation of gene transcription factors known as peroxisome proliferator-activated receptors (PPARs), particularly PPAR- $\alpha$ , which regulate the expression of genes that control lipoprotein metabolism. Fibrates are related to the PPAR activators thiazolidinediones, and the mechanisms of PPAR-mediated actions are described in Chapter 40. PPAR- $\alpha$  is expressed in several tissues, including the liver, heart and kidney. There are several consequences of PPAR- $\alpha$  activation, which reduce circulating LDL cholesterol and triglycerides and increase HDL cholesterol:

- increased free fatty acid uptake by the liver due to induction of the fatty acid transporter protein in the cell membrane. In the liver, fatty acids are esterified as a result of increased acyl-CoA synthase activity. The esterified fatty acids are less available for hepatic triglyceride synthesis.
- increased lipoprotein lipase activity, which enhances the clearance of triglycerides from lipoproteins in the plasma (see Fig. 48.1).
- formation of larger, more buoyant LDL with increased affinity for LDL receptors, thus removing it more readily from the circulation.
- increased plasma HDL because of enhanced apo A-I production and increased ABCA1 activity.

Fibrates are not recommended as first-line treatment for prevention of atheromatous arterial disease.

#### Pharmacokinetics

Fenofibrate is a prodrug that undergoes complete first-pass metabolism to the active form. Excretion is primarily by the kidney with a half-life of 20 hours. Ciprofibrate is metabolised in the liver and has a very long half-life of more than 2 days.

#### **Unwanted Effects**

- Nausea, gastrointestinal discomfort, diarrhoea.
- Rash or pruritus.
- Dizziness, headache, drowsiness, fatigue.
- Increased lithogenicity of bile theoretically increases the risk of gallstones.
- Myalgia and myositis when there is impaired renal function or the fibrate is used in combination with a statin.
- Drug interactions include increased anticoagulant effect of warfarin (see Chapter 11).

#### ADENOSINE TRIPHOSPHATE CITRATE LYASE (ACL) INHIBITOR

#### Example

bempedoic acid

#### **Mechanism of Action**

Bempedoic acid inhibits ACL. ACL is involved in cholesterol synthesis upstream from HMG-CoA reductase, and inhibition reduces cholesterol synthesis and lowers circulating LDL cholesterol through upregulation of hepatic LDL receptors. The reduction in LDL cholesterol and triglycerides is additional to that produced by statins or ezetemibe when used in combination. Bempedoic acid also reduces serum C-reactive protein (CRP). The role of bempedoic acid in prevention of atherosclerotic disease is unclear.

#### **Pharmacokinetics**

Bempedoic acid is a prodrug that undergoes hepatic metabolism to an active derivative that is eliminated after conjugation. It has a long half-life of 15 to 24 hours.

#### **Unwanted Effects**

- Anaemia.
- Hyperuricaemia and gout.
- Muscle spasms and limb pain.
- Nausea and diarrhoea.

#### NICOTINIC ACID AND DERIVATIVES

#### Examples

nicotinic acid (niacin), acipimox

#### **Mechanism of Action**

Nicotinic acid (niacin) is a B vitamin which has effects on lipids at pharmacological doses. It is an agonist at the hydroxycarboxylic acid receptor 2 (HCA2), also known as niacin receptor 1 (NIACR1), on adipocytes and macrophages. Activation of these receptors reduces the release of fatty acids from adipocytes. Niacin also inhibits hepatic diacylglycerol acyltransferase-2, which is a key enzyme for triglyceride synthesis. As a result of reduced availability of triglycerides from plasma and by de novo hepatic synthesis, there is reduced hepatic secretion of VLDL and LDL. HDL cholesterol is increased by up to 25%, possibly as a result of reduced hepatic transfer of cholesterol esters and triglycerides from VLDL and LDL. Nicotinic acid also acts at HCA2 receptors in the skin. Nicotinic acid reduces circulating triglycerides by up to 35% and LDL cholesterol modestly by up to 15%. Acipimox is a synthetic derivative of nicotinic acid that is longer acting and better tolerated, but less effective for lowering LDL cholesterol. Nicotinic acid and acipimox are not recommended as treatments for prevention of cardiovascular disease.

#### **Pharmacokinetics**

Nicotinic acid undergoes hepatic metabolism, with the generation of metabolites thought to be responsible for the hepatotoxicity that can occur with high doses. Acipimox is rapidly absorbed, and eliminated unchanged in the urine with a half-life of about 2 hours.

#### **Unwanted Effects**

Nicotinic acid is often poorly tolerated, but unwanted effects can be reduced by increasing the dosage gradually.

• Cutaneous vasodilation is particularly troublesome and causes flushing and itching. The action of nicotinic acid on HCA2 receptors in the skin increases the production of prostaglandin (PG) D<sub>2</sub> and PGE<sub>2</sub>, which cause the flushing. The flushing can be reduced by taking a small dose of aspirin 30 minutes before nicotinic acid.

- Nausea, abdominal pain and diarrhoea.
- Hepatotoxicity.

#### **OMEGA-3 FATTY ACIDS**

Omega-3 fatty acids are long-chain polyunsaturated acids such as  $\alpha$ -linolenic acid, which is found in plants, and eicosapentaenoic acid (EPA) and docosahexaenoic acid (DHA), which are found in high quantities in oily fish such as mackerel and sardines. Although there is little evidence that they reduce the risk of cardiovascular events, they have several potential cardioprotective effects:

- PPAR-α activation (see the section on fibrates earlier in this chapter), with reduction in plasma triglyceride.
- reduction of plasma fibrinogen, decreasing thrombogenesis.
- they substitute for arachidonic acid in membrane phospholipids, resulting in increased production of the prostanoid thromboxane A<sub>3</sub> in platelets. This has a lower ability to induce platelet aggregation compared with thromboxane A<sub>2</sub> formed from arachidonic acid (see Chapter 11).
- membrane stabilisation in heart muscle, with reduced susceptibility to ventricular arrhythmias and sudden cardiac death.

Omega-3 fatty acids are not recommended for prevention of cardiovascular disease.

#### **Unwanted Effects**

- Gastrointestinal upset with nausea, belching, diarrhoea or constipation.
- Prolonged bleeding time.

#### PROPROTEIN CONVERTASE SUBTILISIN/KEXIN (PCSK) TYPE 9 INHIBITORS

🔨 Examples

evolocumab, alirocumab

#### Mechanism of Action

PCSK9 inhibitors are monoclonal antibodies that inhibit the enzyme proprotein convertase subtilisin/ kexin type 9 and prevent it from degrading LDL receptors on the surface of liver cells. The increased LDL receptor expression reduces circulating LDL cholesterol by 45% to 70%.

#### **Pharmacokinetics**

Evolocumab and alirocumab are injected subcutaneously every 2 weeks. Metabolism is by proteolysis, and they have very long half-lives of 11 to 20 days.

#### **Unwanted Effects**

- Nasopharyngitis with alirocumab.
- Arthralgia, back pain with evolocumab.
- Rash.

# SMALL INTERFERING RIBONUCLEIC ACID (siRNA)

Example

inclisiran

#### **Mechanism of Action**

The small interfering or silencing RNA (siRNA) system consists of two strands, namely the guide RNA and the passenger RNA. The guide RNA carries the sequence information essential to target the gene of interest, whereas the passenger strand is involved in loading the RNA-induced silencing complex (RISC). Inclisiran is an siRNA that binds to receptors on hepatocytes, enters the cell and inhibits PCSK9 gene expression in the liver. This increases LDL receptor expression on hepatocytes and enhances LDL clearance from plasma. Incliseran reduces plasma LDL cholesterol by 30% to 50% and also reduces plasma triglycerides. It has no effect on plasma CRP. Its role in prevention of cardiovascular disease is not yet established.

#### **Pharmacokinetics**

Inclisiran has a very short plasma half-life, but a very prolonged duration of action due to resistance to degradation once inside cells. It is given by subcutaneous injection every 6 months.

#### **Unwanted Effects**

- Diarrhoea.
- Headache, fatigue, dizziness.
- Muscle aches, back pain.
- Hypertension.

#### MANAGEMENT OF HYPERLIPIDAEMIAS

Cardiovascular disease is the major risk associated with raised plasma LDL cholesterol. The relationship with raised LDL cholesterol is strongest for coronary atherosclerosis and peripheral vascular atherosclerosis, and to a lesser extent for cerebrovascular disease and atherothrombotic stroke. The greatest risk is present when there is familial hypercholesterolaemia (FH), a dominantly inherited genetic defect that affects up to 1 in 250 people in the UK and predisposes to premature coronary heart disease, even in the absence of other risk factors. Heterozygous FH is usually associated with reduced numbers or impaired function of LDL receptors on liver cells. The total serum cholesterol is usually greater than 7.5 mmol/L, and LDL cholesterol greater than 5 mmol/L in adult life. There is often a family history of premature cardiovascular disease. In heterozygous FH, men typically develop cardiovascular disease before the age of 55 years and women before the age of 60 years. In homozygous FH, LDL receptors are almost absent, the plasma total cholesterol in adults is greater than 13 mmol/L and cardiovascular disease usually presents before the age of 20 years.

HDL cholesterol is protective against atherosclerosis, and HDL cholesterol less than 1.0 mmol/L is associated with an exponential increase in the risk of atheromatous disease. The ratio of total cholesterol to HDL cholesterol provides a much more sensitive indicator of the relative risk of developing cardiovascular disease than total cholesterol alone. Although a high ratio of total cholesterol to HDL cholesterol predicts the relative risk of cardiovascular disease, the absolute risk (i.e. the overall number of individuals in the population who will develop disease in a particular time period) will be determined by the presence of FH or the coexistence of other risk factors (discussed later).

Raised plasma triglycerides are an independent predictor of the risk of atherosclerosis, but less so than raised plasma cholesterol. Nevertheless, when raised triglycerides coexist with an atherogenic cholesterol profile, the overall risk is enhanced. A markedly raised plasma triglyceride concentration (>12 mmol/L) also confers an increased risk of acute pancreatitis. Isolated hypertriglyceridaemia should be treated intensively for this reason alone.

Secondary causes of hyperlipidaemia, such as diabetes mellitus, hypothyroidism and nephrotic syndrome, should be excluded or treated before embarking on other aspects of management.

# Lipid Lowering for Primary Prevention of Cardiovascular Disease

Atherothrombotic disease has a multifactorial aetiology, and any strategy for primary prevention must consider all relevant treatable factors. Drug treatment of hyperlipidaemia for primary prevention should be considered only if there is a sufficiently high absolute risk of disease, and should not be based on the cholesterol level alone. Important factors to consider in risk management include the following:

- *Smoking*: smoking doubles the risk of coronary artery disease, while stopping smoking reduces the risk close to that of someone who has never smoked in 3 to 5 years (see Chapter 5).
- *Physical activity*: a physically active lifestyle reduces the risk of myocardial infarction by up to 50% compared with a sedentary lifestyle.
- *Maintaining ideal body weight*: obesity increases the risk of myocardial infarction by up to 50%.
- *Mild-to-moderate alcohol consumption*: a modest alcohol intake (see Chapter 54) can reduce the risk of myocardial infarction by about one-third. A high alcohol intake increases blood pressure, and thus increases cardiovascular risk.
- *Treating hypertension* (see Chapter 6): although this is more effective for the prevention of stroke, it also reduces the risk of myocardial infarction, especially in older people.
- *Control of diabetes mellitus*: there is conflicting evidence on whether close control of plasma glucose reduces vascular events, but the risk of ischaemic

heart disease in diabetes mellitus is at least twice that of people without diabetes mellitus. In people aged over 40 years with diabetes mellitus, intensive management of coexistent risk factors should be undertaken.

- Modifying the diet: dietary management should be advised for all people with hypercholesterolaemia, with a reduction in saturated fat intake (saturated fat decreases hepatic LDL receptors) and an increase in monounsaturated fats (which increases hepatic LDL cholesterol receptors). Eating a diet containing fresh fruit and vegetables reduces oxidation of LDL, and therefore makes it less atherogenic.
- *Chronic kidney disease*: CKD increases the risk of premature cardiovascular disease.

When lipid-lowering treatment is considered, the primary goal is to reduce the plasma LDL cholesterol. LDL cholesterol is a powerful predictor of future cardiovascular disease, especially in young people. Lipidlowering therapy in heterozygous FH, usually with a statin, should normally begin before the age of 10 years, with the goal of reducing plasma LDL cholesterol by 50%. The addition of ezetemibe or a PCSK9 inhibitor can be considered if the LDL cholesterol has not fallen by at least 50% with a high dose of statin. Homozygous FH is a condition with LDL receptor activity of less than 2% and very high circulating LDL cholesterol. Most drugs are ineffective for reducing plasma cholesterol in homozygous FH, and treatment is usually by LDL aphoresis using an extracorporeal circulation to remove LDL cholesterol. Lomitapide, which inhibits microsomal triglyceride transfer protein (MTP) and reduces apo B formation and VLDL production, is a recent option for treating homozygous FH either used alone, with a statin or with aphoresis.

For other forms of multigenic and acquired hypercholesterolaemia, the risk of cardiovascular disease should first be estimated using risk tables that assess the contribution of the total cholesterol:HDL ratio, systolic blood pressure, smoking, body mass index, family history and other risk factors such as rheumatoid arthritis, renal disease and socioeconomic deprivation (such as QRISK3 in the UK; https://qrisk.org/three/). As part of a multiple risk factor intervention strategy, drug therapy for raised LDL cholesterol has a role for those at higher absolute risk, usually because several other risk factors coexist or there is a history of premature coronary artery disease in a first-degree relative. In the UK and Europe, statins are the treatment of choice and are recommended if the predicted 10-year cardiovascular disease risk (a combination of coronary heart disease and stroke risk) is greater than 10%. The target is a reduction in LDL cholesterol of 40%. The ability of statins to prevent ischaemic heart disease has been demonstrated in many trials. Reducing plasma total cholesterol by 25% to 30% (with a reduction in LDL cholesterol of 30%–35%) with a statin reduces the subsequent risk of myocardial infarction or vascular death by about 30%.

#### Secondary Prevention of Cardiovascular Disease

Once cardiovascular disease is clinically apparent, the subsequent risk of death from vascular events is increased compared with the general population. Clinical evidence of vascular disease confers much greater absolute risk of a further event than a similar, or even higher, plasma cholesterol concentration in those without coronary artery disease. A recent episode of acute coronary syndrome confers the highest risk. At slightly lower absolute risk are those with stable angina pectoris, peripheral vascular disease or ischaemic stroke. Reduction of even 'normal' plasma cholesterol concentrations in people with established cardiovascular disease reduces the subsequent risk of both fatal and nonfatal cardiovascular events.

A 'high intensity' statin should be used as first-line therapy. Trials with fibrates have shown much less benefit, unless the major lipid abnormality is low HDL cholesterol (see also Chapter 5). The target cholesterol concentration is total cholesterol below 4.0 mmol/L (LDL cholesterol <2.0 mmol/L) or a 40% reduction in LDL cholesterol, whichever is greater. When this is not achieved with a statin alone, then combinations of drugs, such as a statin with ezetimibe may be used, although there is little evidence of improved clinical outcomes. There is also the option of adding a PCSK9 inhibitor to a statin, which can produce a marked reduction in LDL cholesterol. There is evidence that the combination reduces the risk of subsequent myocardial infarction and cardiovascular death. It is possible that inclisiran may also be useful in this context if trials show evidence of improved outcomes. Omega-3 fatty acids after myocardial infarction may have a modest cardioprotective effect, not entirely related to their effects on plasma lipids, but the evidence is unconvincing and their use is not recommended.

Lowering plasma cholesterol for secondary prevention of coronary artery disease should be only one aspect of a comprehensive strategy for improving prognosis (see Chapter 5).

#### Mechanisms of Prevention of Coronary Events by Lipid-Lowering Drugs

There is a close relationship between the degree of LDL cholesterol reduction and the reduced risk of coronary events, especially when it is achieved with a statin. Overall, there is a 20% reduction in risk for every 1.0 mmol/L reduction in plasma total cholesterol concentration. Reducing plasma cholesterol probably stabilises existing atheromatous plaques by preventing lipid accumulation in their core, and therefore reduces the risk of plaque rupture. Statins prevent the growth of existing coronary artery plaques and reduce the formation of new plaques. High doses of a statin may even produce some regression of existing plaque. An antiinflammatory effect of statins may be important, measured by a reduction in plasma high-sensitivity CRP. Other actions of statins, such as reduction in

thrombogenicity of blood and inhibition of smooth muscle proliferation in atheromatous plaques, may contribute to the clinical benefit, but their roles remain speculative.

Although there is less evidence that lipid-lowering drugs other than statins and PCSK9 inhibitors have the same ability to reduce cardiovascular events, it is widely accepted that lowering LDL cholesterol should provide some protection against atheroma, however it is achieved.

#### Management of Hypertriglyceridaemia

When triglycerides are markedly raised, control of diabetes mellitus, weight loss and reduction of alcohol intake should be considered when appropriate. When drug therapy is necessary, modest hypertriglyceridaemia in association with hypercholesterolaemia will usually respond to a statin. Extremely high plasma triglyceride concentrations usually respond well to a fibrate or to nicotinic acid. Combination therapy with a statin and a fibrate may be necessary in some high-risk individuals to achieve an acceptable lipid profile.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. The risk of coronary disease is strongly related to the plasma triglyceride concentration.
- 2. Genetic factors contribute to the development of hypercholesterolaemia.
- 3. Bile acid sequestrants enhance the absorption of bile acids from the gut.
- 4. Statins inhibit HMG-CoA reductase.
- 5. Decreased hepatic cholesterol synthesis results in increased numbers of HDL receptors.
- 6. Pravastatin lowers plasma LDL cholesterol by about 10%.
- 7. Coadministration of statins and fibrates has no greater effect than giving each drug separately.
- 8. Ezetimibe blocks cholesterol absorption in the intestinal mucosa.
- Fenofibrate inhibits lipoprotein lipase by activating PPAR-α.
- 10. Nicotinic acid reduces triglyceride synthesis in the liver.
- 11. Skin flushing is a common unwanted effect of nicotinic acid.
- 12. Arachidonic acid is an omega-3 fatty acid.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which drug blocks the breakdown of hepatic LDL receptors?
  - A. Acipimox.
  - B. Atorvastatin.
  - C. Ciprofibrate.
  - D. Evolocumab.
  - E. Ezetimibe.

- 2. Identify the most accurate statement concerning lipids and cardiovascular disease.
  - A. A high total plasma cholesterol/HDL cholesterol ratio reduces cardiovascular risk.
  - B. VLDL has a greater percentage of cholesterol than HDL.
  - C. The outer coat of lipoproteins is a phospholipid bilayer.
  - D. Reducing dietary saturated fat reduces coronary disease risk.
  - E. Even moderate alcohol consumption increases the risk of myocardial infarction.
- 3. Identify the inaccurate statement concerning the actions of statins.
  - A. Statins alter smooth muscle proliferation in atherosclerotic plaques.
  - B. Statins may cause myalgia.
  - C. Statins reduce plasma CRP.
  - D. Statins suppress lipoprotein lipase activity.
  - E. Statins decrease plasma HDL concentrations.

#### **CASE-BASED QUESTIONS**

A 62-year-old man has a fasting plasma total cholesterol of 6.8 mmol/L and asks his GP about his risk of having a heart attack. He admits to disliking exercise and struggling to control his weight (BMI 31.3). He has type 2 diabetes mellitus managed with metformin and his moderate-to-severe hypertension is well-controlled with an ACE inhibitor and a calcium antagonist. He is a nonsmoker, drinks moderately, and has no family history of premature cardiovascular disease. Entering his full lipid profile, BMI and other clinical and socioeconomic data in the QRISK3 risk calculator (https:// qrisk.org/three/index.php) produces an estimate for 10-year cardiovascular disease risk of 14.2%.

- 1. What advice should be offered about his cardiovascular disease risk?
- 2. What drug should be recommended for primary prevention of cardiovascular disease?
- 3. What lifestyle measures should be discussed?
- 4. What reduction in blood lipids should be the target and when should a response be expected?

#### ANSWERS

#### **TRUE/FALSE ANSWERS**

- 1. **False.** Cardiovascular risk is most closely associated with high LDL cholesterol, which leads to lipid peroxidation and formation of foam cells in atheromatous plaque. Hypertriglyceridaemia is a secondary risk factor.
- 2. **True.** The best understood genetic risk is familial hypercholesterolaemia (FH), in which a recessive disorder causes low LDL receptor expression and predisposes to premature coronary disease.
- 3. **False.** Bile acid sequestrants such as colestipol sequester bile acids within the gut, decreasing the absorption of dietary cholesterol. They also

increase incorporation of hepatic cholesterol into bile acids, leading to further loss of cholesterol in bile secretions into the gut.

- 4. **True.** HMG-CoA reductase is the rate-limiting enzyme for cholesterol synthesis in the liver.
- 5. **False.** Reducing cholesterol synthesis in the liver results in increased LDL receptor expression and hence increased LDL clearance from the plasma.
- 6. **False.** Statins reduce plasma LDL cholesterol by 25% to 55%, depending on the drug and the dose (see Table 48.2).
- 7. **False.** Statins and fibrates act mainly by different mechanisms and their coadministration can help achieve target plasma cholesterol concentrations, although the additional benefit on clinical outcomes is unclear.
- 8. **True.** Ezetimibe reduces cholesterol absorption by blocking the intestinal NPC1L1 cholesterol transporter.
- 9. **False.** Fibrates activate PPAR-α, but this increases lipoprotein lipase activity and clears triglycerides from the plasma.
- 10. **True.** Nicotinic acid acts at HCA2 receptors to reduce fatty acid release and to inhibit triglyceride synthesis by diacylglycerol acyltransferase-2 in the liver, hence reducing production of VLDL and LDL.
- 11. **True.** Nicotinic acid may cause skin flushing and itching by stimulating prostaglandin synthesis, and it can be reduced by a nonsteroidal antiinflammatory drug (NSAID).
- 12. **False.** Arachidonic acid is an omega-6 fatty acid and the precursor of prothrombotic thromboxane  $A_2$ . Omega-3 fatty acids include EPA and DHA found in fish oils, which are postulated to have cardioprotective effects.

#### **OBA ANSWERS**

- 1. **Answer D** is correct. Evolocumab (and alirocumab) prevent the degradation of LDL receptors on hepatocytes by inhibiting PCSK9; the enhanced expression of LDL receptors clears up to 70% of LDL cholesterol from the circulation. Acipimox (answer A) is a nicotinic acid derivative, atorvastatin (answer B) is an HMG CoA reductase inhibitor, ciprofibrate (answer C) activates PPAR, and ezetimibe (answer E) blocks the cholesterol uptake transporter NPC1L1 in the gut.
- 2. **Answer D** is correct.
  - A. Incorrect. A low ratio of total cholesterol to HDL cholesterol is associated with lower cardiovascular risk.
  - B. Incorrect. VLDL carries a greater load of triglycerides, so the proportion of cholesterol is lower in VLDL (20%) than in HDL (40%).
  - C. Incorrect. The lipoprotein coat is a phospholipid monolayer, in which apolipoproteins are embedded, and provides a lipophilic interior for the transport of triglycerides.

- D. **Correct.** High dietary intake of saturated fat is associated with coronary disease, with other risk factors such as obesity, diabetes mellitus, smoking and family history also playing important roles.
- E. Incorrect. Moderate alcohol consumption reduces myocardial infarction risk by about 30%.
- 3. **Answer E** is the inaccurate statement.
  - A. Correct. The effect on smooth muscle proliferation may improve plaque stability.
  - B. Correct. Statins can cause muscle pain and, rarely, rhabdomyolysis.
  - C. Correct. The reduced plasma CRP reflects an antiinflammatory action of statins.
  - D. Correct. This action on lipoprotein lipase reduces plasma triglycerides.
  - E. **Incorrect**. Statins increase plasma HDL concentration by 5% to 15%.

#### **CASE-BASED ANSWERS**

- 1. NICE provides guidelines on cardiovascular disease risk assessment and reduction (www.nice.org. uk/guidance/cg181), including advice on how to communicate information about this man's absolute risk of cardiovascular disease over a 10-year period. The GP should use everyday language to describe the potential harms and benefits of interventions to reduce the risk. A management plan should be agreed that takes into account the man's readiness to change his lifestyle and take long-term medication.
- 2. NICE guidelines recommend offering high-intensity statin therapy (atorvastatin 20 mg) for primary prevention when 10-year cardiovascular disease risk is above 10%. Fibrates, nicotinic acid, bile acid sequestrants, omega-3 fatty acids, plant stanols/sterols and ezetimibe should *not* be used for primary prevention. The decision to offer atorvastatin should be made in the context of a wider clinical assessment and alongside optimising the management of comorbidities and secondary risk factors, including this man's hypertension and type 2 diabetes mellitus. He should be advised to seek medical advice if he develops muscle symptoms (pain, tenderness or weakness) after starting the statin.
- 3. Lifestyle measures that should be discussed include a cardioprotective diet, physical activity and weight management. He should aim to reduce saturated fat intake and increase the proportion of unsaturated fats in his diet, to avoid refined or added sugars, and to eat five portions of fruit or vegetables daily and two portions of fish and 4 to 5 portions of unsalted nuts, seeds and legumes per week. The NHS Eat Well website provides advice on healthy diets (www.nhs.uk/live-well/eat-well/). Advice about increasing this man's physical activity should take account of his expressed preferences and other

circumstances, but he should be encouraged to exercise to his maximum safe capacity; an exercise referral scheme may be an option (www.nice.org.uk/ guidance/ph54) and the UK Chief Medical Officers provide general guidance for health professionals on promoting physical activity to improve health (www.gov.uk/government/collections/physical -activity-guidelines). This man's BMI (31.3) indicates obesity so he should be offered advice and support on achieving a healthy weight in line with NICE guidelines (www.nice.org.uk/guidance/cg189).

4. Total cholesterol, HDL cholesterol and non-HDL cholesterol should be measured at follow-up 3 months after starting the high-intensity statin. The target should be a greater than 40% reduction in non-HDL cholesterol. If this is not achieved, adherence to medical and lifestyle measures should be explored and optimised, and an increase in atorvastatin dose can be considered based on the man's

risk score and comorbidities. Annual medication reviews should follow.

#### **FURTHER READING**

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#### Compendium of Drugs Used to Treat Hyperlipidaemias.

DRUG	CHARACTERISTICS		
HMG-CoA Reductase Inhibitors			
Statins are first-line dru people at increased	Statins are first-line drugs for lowering LDL cholesterol. Used in symptomatic cardiovascular disease and in asymptomatic people at increased cardiovascular risk. Given orally.		
Atorvastatin	High-intensity statin. Used for primary hypercholesterolaemia, heterozygous familial hypercholesterolaemia or mixed hyperlipidaemia.		
Fluvastatin	Low- to medium-intensity statin. Used as adjunct to diet in primary hypercholesterolaemia and mixed hyperlipidaemias and for prophylaxis of coronary atherosclerosis.		
Pravastatin	Low-intensity statin. Used as adjunct to diet in primary hypercholesterolaemia and for prophylaxis of coronary atherosclerosis. Mainly renal excretion.		
Rosuvastatin	High-intensity statin. Used in primary hypercholesterolaemia, mixed dyslipidaemia and homozygous familial hypercholesterolaemia. Minimal hepatic metabolism.		
Simvastatin	Low- to medium-intensity statin. Prodrug converted rapidly to active analogue. Used as an adjunct to diet in primary hypercholesterolaemia and mixed hyperlipidaemias, and for prophylaxis of coronary atherosclerosis. Also available in combined formulations with ezetimibe or fenofibrate.		
Specific Cholesterol Abs	orption Inhibitor		
Ezetimibe	Prodrug converted to active drug in liver and intestine. Inhibits the intestinal cholesterol transporter NPC1L1. Used as adjunct to dietary measures and a statin in primary and homozygous familial hypercholesterolaemia. Given orally. Also available in combined formulations with bempedoic acid or ezetimibe.		
Bile Acid Sequestrants			
Bile acid sequestrants act within the gut to reduce bile acid reabsorption; they reduce plasma LDL cholesterol but can aggravate hypertriglyceridaemia. Given orally, but not absorbed.			
Colesevelam	A water-insoluble polymer that binds bile acids; used for primary hypercholesterolaemia as an adjunct to dietary measures, given alone or with a statin.		
Colestipol	Anion-exchange resin that binds bile acids. Used for hyperlipidaemias not responding to diet and other measures.		
Colestyramine	Anion-exchange resin that binds bile acids. Used for hyperlipidaemias, and for primary hypercholesterolaemia in men aged 35–59, and to treat pruritus associated with biliary obstruction, and for diarrhoea associated with Crohn's disease or radiotherapy.		

# Compendium of Drugs Used to Treat Hyperlipidaemias—cont'd.

DRUG	CHARACTERISTICS
Fibrates	
Act mainly by reducing serum triglycerides with variable effects on LDL cholesterol; used as first-line treatment for hypertriglyceridaemia, or in people intolerant of statins. Given orally.	
Bezafibrate	Used as adjunct to lifestyle measures in mixed hyperlipidaemia if statin is contraindicated or not tolerated, or in severe hypertriglyceridaemia.
Ciprofibrate	Used as adjunct to lifestyle measures in mixed hyperlipidaemia if statin is contraindicated or not tolerated, or in severe hypertriglyceridaemia.
Fenofibrate	Prodrug of fenofibric acid. Used in mixed hyperlipidaemia if statin contraindicated or not tolerated, or in severe hypertriglyceridaemia; also as adjunct to statin in mixed hyperlipidaemia in people at high cardiovascular risk. Also available in a combined formulation with simvastatin.
Gemfibrozil	Used as adjunct to lifestyle measures in mixed hyperlipidaemia or primary hypercholesterolaemia if statin is contraindicated or not tolerated, or in severe hypertriglyceridaemia; also used in primary prevention of cardiovascular disease in men with hyperlipidaemias.
Nicotinic Acid and Deriva	atives
Used in combination w	vith statins or as monotherapy in patients intolerant to statins. Given orally.
Acipimox	Less effective for hypertriglyceridaemias than nicotinic acid, but with fewer unwanted effects.
Nicotinic acid (niacin)	Hydroxycarboxylic acid receptor 2 (HCA2) agonist. Reduces both cholesterol and triglycerides, but use limited by unwanted effects (flushing, itching, hypotension).
PCSK9 Inhibitors	
Alirocumab	Monoclonal antibody; prevents proprotein convertase subtilisin/kexin type 9 (PCSK9) degrading LDL receptors on hepatocytes. Given subcutaneously.
Evolocumab	Monoclonal antibody; prevents PCSK9 degrading LDL receptors on hepatocytes. Given subcutaneously.
Other Treatments	
Bempedoic acid	Adenosine triphosphate citrate lyase (ACL) inhibitor; reduces hepatic cholesterol synthesis and lowers LDL-cholesterol. Used as an adjunct to other lipid-lowering drugs. Given orally. Also available in a combined formulation with ezetimibe.
Lomitapide	Inhibitor of microsomal triglyceride transfer protein (MTP); reduces lipoprotein secretion and circulating lipids. Specialist use for treatment of homozygous familial hypercholesterolaemia. Given orally.
Omega-3 acid ethyl esters	Used orally as adjunct for treating hypertriglyceridaemia, but little evidence for effectiveness in reducing risk of cardiovascular disease.

HMG-CoA reductase, 3-hydroxyl-3-methylglutaryl-coenzyme A reductase; LDL, low-density lipoprotein; NPC1L1, Niemann-Pick C1-like protein 1; PCSK9, proprotein convertase subtilisin/kexin type 9.

# 49

# **Skin Disorders**

#### **Chapter Outline**

Vehicles for Topical Skin Applications 558 Atopic and Contact Dermatitis 558 Atopic Eczema (Atopic Dermatitis) 558 Contact Dermatitis 559 Other Types of Dermatitis 559 Treatment of Atopic Eczema 559 Treatment of Contact Dermatitis 560 Psoriasis 560 Drugs for Treatment of Psoriasis 560 Choice of Treatment for Psoriasis 562 Acne Vulgaris 562 Drugs for Treatment of Acne 563 Choice of Treatment for Acne 563 Self-Assessment 563 Answers 564 Further Reading 565 Compendium of Drugs Used in Skin Disorders 565

# **VEHICLES FOR TOPICAL SKIN APPLICATIONS**

Topical treatment of skin disease reduces the risk of systemic unwanted effects, but adherence may be adversely affected by inconvenience if they are time-consuming to apply or messy or if the regimen is complex. In general, thin skin absorbs more drug than thick skin, but penetration will also be affected if the skin surface is disrupted such as in dermatitis. Occlusion using an adhesive dressing, especially over thickened skin, will also enhance absorption. Small molecules cross the epidermis more readily than large molecules, and lipophilic compounds are more easily absorbed than hydrophilic compounds. Higher concentrations of active ingredient may also penetrate more effectively. In addition, the vehicle that carries the drug can affect absorption.

Vehicles for topical skin application have different properties and choosing an appropriate vehicle for the condition to be treated is important. In addition, the effectiveness of a topical drug depends on its ability to penetrate the epidermis, which is influenced by the vehicle, or base, in which the drug is carried. Ageing skin absorbs drugs more readily, and skin hydration aids drug penetration. Four types of vehicle are used:

*ointments*, which are greases such as white or yellow soft paraffin, vegetable oils, macrogols or wool fat, that contain little or no water. They are more occlusive on the skin than creams, and this keeps the skin hydrated and enhances drug absorption. They are generally too occlusive for skin folds. Preservatives are not needed. Suspension of a fine powder in an ointment creates a *paste* which will stay where it is placed on the skin. The main use of pastes is to apply noxious chemicals that should be confined to one area of the skin.

- *creams*, which are emulsions of water with grease that have an emollient (moisturising) and cooling effect. They are less greasy than ointments and are absorbed more quickly into the skin. Preservatives, which can lead to allergic contact dermatitis, are used to prevent growth of bacteria and fungi and extend the shelf life.
- *lotions*, which are watery suspensions that may also contain oil as well as alcohol. They are less messy for use on wet areas and hairy skin, and they have a drying and cooling effect on the skin.
- *gels*, which have a high water content and carry suspensions of insoluble drugs such as corticosteroids and retinoids. The gelling agents aid drug absorption, and they can be particularly useful for application to the face or scalp. They often include preservatives.

#### ATOPIC AND CONTACT DERMATITIS

Dermatitis is a term used to describe eczematous inflammation of the skin. It has a significant underlying genetic predisposition but is triggered by external factors.

## ATOPIC ECZEMA (ATOPIC DERMATITIS)

Atopic eczema affects 10% to 20% of children and up to 10% of adults. It frequently appears in the first year of life, but in 70% of cases remits by the age of 11 years.

There is an association with the other atopic disorders, asthma and hay fever. The pathogenesis of atopic eczema is determined by a combination of genetic and environmental factors. Mutations in the gene that codes for filaggrin (a protein that binds to keratin in epithelial cells) lead to impairment of the barrier function of the skin, which may allow increased exposure to irritants and allergens. In atopic individuals, circulating mononuclear cells have a reduced ability to produce interferon  $\gamma$ , which normally inhibits both IgE production and the proliferation of T-helper type 2 (Th2) lymphocytes. Allergens can then trigger IgEmediated sensitisation, with increased eosinophils in the peripheral blood and a raised plasma IgE concentration (see Chapter 39). In affected skin, keratinocytes produce cytokines that stimulate eosinophil activation and adhesion to vascular walls. The consequence of these processes is that both Th2 cells and eosinophils proliferate in the eczematous lesions.

Exposure to microbial antigens in early life promotes the normal Th1-cell dominance and suppresses the development of Th2 cells. It is possible that the increasing use of antibacterial drugs and reduced exposure to environmental allergens in childhood may partially explain the rise in atopic dermatitis.

Affected skin is erythematous with oedema in the epidermis, leading to weeping and vesicles in the acute stages. The lesions are intensely itchy, and repeated scratching causes further erosion of the skin barrier. In the chronic stage, the permeability barrier function of the skin is impaired, resulting in increased transepidermal water loss. The skin becomes scaly and extremely dry, and scratching produces excoriation and thickening with accentuation of skin crease lines in the flexures (lichenification). In infancy, eczema affects the face and scalp first, then spreads to extensor surfaces of the body. By the age of 2 to 3 years, it changes to a primarily flexor pattern. The lesions in atopic eczema usually follow a relapsing and remitting course.

#### **CONTACT DERMATITIS**

There are two main triggers:

- An external agent producing direct irritation (irritant contact dermatitis), usually affecting the hands and caused by surgical gloves, sweating, frequent handwashing or use of antiseptics such as chlorhexidine.
- Immunological sensitisation involving a delayed hypersensitivity response (allergic contact dermatitis; see Chapter 39). Once the skin has been sensitised, the potential for further reaction persists indefinitely. Sensitisation can arise in response to the topical application of drugs.

#### **OTHER TYPES OF DERMATITIS**

These include nummular (discoid) eczema, photosensitive dermatitis, stasis dermatitis (due to venous hypertension in the legs) and seborrhoeic dermatitis (in the mesolabial folds and scalp).

#### TREATMENT OF ATOPIC ECZEMA

Treatment for atopic eczema is not curative, and management aims to prevent and control flares.

- Emollients (substances that moisturize and soften the skin) are a fundamental part of treatment. They leave an occlusive layer that seals the surface of the skin and reduces water loss; the addition of humectants (hygroscopic substances) such as urea and glycerol can further enhance water retention. They should be applied every 2 to 4 hours and can be used for bathing and washing. Ointments are the most effective emollients, but are greasy and not well accepted by most people. Creams are more cosmetically acceptable, but aqueous cream (once widely used) should be avoided, as it weakens the epidermal barrier with increased transdermal water loss due to its sodium lauryl sulfate content.
- Avoidance of irritants, such as soaps, detergents, alcohols and astringents.
- Identification of allergens and their subsequent elimination.
- Topical corticosteroid ointment (see Chapter 44) applied once daily is effective when an emollient is insufficient. A burst of 5 to 7 days of treatment with a potent corticosteroid such as betamethasone valerate (Table 49.1) can gain control, but less potent corticosteroids should be used for less severe flares. The antiinflammatory effect of a corticosteroid diminishes with prolonged regular use (tachyphylaxis). Potent corticosteroid should not be applied to the face or genitals because of the risk of skin thinning. In these areas, a mild corticosteroid such as hydrocortisone should be used. Application of a mild corticosteroid twice a week to an eczema-prone site will reduce frequency and severity of flares. Topical corticosteroids are applied once or twice a day, using the 'fingertip unit' (an amount of cream or ointment the length of an adult fingertip is enough to treat 300 cm<sup>2</sup> of skin) to determine the quantity to be applied. Topical corticosteroid should not be applied at the same time as emollients.
- Topical calcineurin inhibitors, such as tacrolimus (see Chapter 38), directly or indirectly reduce activation of many of the inflammatory cells involved in the pathogenesis of the dermatitic lesions, including T-cells, dendritic cells, mast cells and keratinocytes. Local burning is the major unwanted effect, and there is a theoretical increased risk of skin cancer. Tacrolimus is as effective as a potent corticosteroid for moderate to severe eczema and is preferred for

#### Table 49.1Potency of Topical Corticosteroids.

Mild	Hydrocortisone
Moderately potent	Clobetasone butyrate
Potent	Betamethasone valerate
	Hydrocortisone butyrate
Very potent	Clobetasol propionate

delicate areas such as groin, axilla, head and neck. Twice weekly maintenance application of topical tacrolimus at susceptible sites can reduce relapses.

- Sedative antihistamines such as chlorphenamine (see Chapter 39) taken at night assist sleep, although they have little effect on itching.
- Immunosuppressant therapy with ciclosporin, azathioprine, methotrexate or mycophenolate mofetil (see Chapter 38) is used for treatment-resistant eczema. The main limitation is rapid relapse when treatment is stopped.
- Dupilumab is a recombinant human monoclonal antibody that inhibits interleukin (IL-) 4 and IL-13 signalling through blocking the IL-4 receptor alpha subunit. These interleukins are predominantly associated with a Th2-lymphocyte response and inhibit production of proteins that are essential for effective skin barrier function. Dupilumab is useful for moderate to severe atopic eczema that requires systemic treatment. Unwanted effects include eye inflammation, headache and oral herpes infection.
- Phototherapy with narrow-band ultraviolet B (UVB) radiation can be helpful but increases the future risk of skin cancer.
- Cotton or silk fitted clothing is often advocated to reduce scratching, although there is little evidence of benefit. Paste bandages on the limbs can be useful to occlude excoriated areas and reduce scratching.
- Systemic antibacterial drugs are given for secondary infection. Antistaphylococcal agents such as flucloxacillin (see Chapter 51) are often helpful if the skin lesions are poorly controlled with other treatments.

#### TREATMENT OF CONTACT DERMATITIS

- Provision of a barrier to an irritant (e.g. wearing gloves or removing an allergen) may be sufficient.
- Mild topical corticosteroid ointment (see Table 49.1).
- Potassium permanganate soaks can help to dry up exudative lesions.

#### **PSORIASIS**

Psoriatic skin lesions are produced by a very rapid proliferation of epidermal keratinocytes. Cell turnover time is decreased from about 40 days to as little as 3 to 4 days, which prevents adequate cell maturation. Instead of producing a normal keratinous surface layer, the skin thickens (acanthosis) in ridges, with increased numbers of acanthocytes forming a silvery scale with dilated upper dermal capillaries. The dermis and epidermis are also infiltrated by neutrophils, lymphocytes, macrophages and dendritic antigen-presenting cells.

There is a genetic component to psoriasis, which interacts with unknown environmental factors to produce an autoimmune reaction in the dermis. Psoriasis can be provoked or exacerbated by several drugs, notably lithium, chloroquine, hydroxychloroquine and  $\beta$ -adrenoceptor antagonists.

The pathogenesis of psoriasis begins with antigen-presenting cells in the dermis, which mature and migrate to regional lymph nodes where they activate T-cells. T-cells then proliferate and enter the circulation and extravasate into the skin at sites of inflammation, assisted by local chemokine production. In the dermis a Th1-cell immune response triggers secretion of cytokines such as interferon  $\gamma$ , tumour necrosis factor (TNF)  $\alpha$ , and IL-2, IL-12, IL-17 and IL-23. These cytokines stimulate cell proliferation and impair maturation of keratinocytes, and produce the vascular changes in the skin.

Chronic plaque psoriasis (psoriasis vulgaris) accounts for 90% of cases. Plaques are most often found on the elbows, knees, shins, sacrum, genitalia and scalp. Various subtypes of psoriasis present with different clinical manifestations, such as guttate psoriasis and pustular psoriasis. Inflammatory arthritis occurs in up to 25% of people with psoriasis (see Chapter 30).

#### DRUGS FOR TREATMENT OF PSORIASIS

There are several treatments for the skin lesions, both topical and systemic, but none produce long-term remission.

#### **Topical Therapy**

#### Emollients

These reduce scaling and itching and may be sufficient in mild psoriasis (see the previous section on atopic dermatitis). They can also be used with a keratolytic.

#### **Keratolytics**

Keratolytics such as salicylic acid break down keratin and soften skin, which improves penetration of other treatments. Salicylic acid ointment is most frequently used.

#### Vitamin D analogues

Vitamin D regulates epidermal proliferation and differentiation. It also has immunosuppressant properties. Vitamin D analogues (e.g. calcipotriol, calcitriol) are clean and simple to apply, and are particularly useful for chronic plaque psoriasis, although complete clearing of the plaques is unusual. Calcipotriol should be avoided on the face and in flexures, where it often causes irritation. Calcitriol is better tolerated in sensitive areas, but short-term use of a mild or moderately potent topical corticosteroid (see Table 49.1) is usually preferred for treatment of these sites. Excessive use of vitamin D analogues can lead to hypercalcaemia, but this is not a problem at recommended dosages. The ease of use of vitamin D analogues makes them a popular choice if a keratolytic is insufficient, but short remissions when they are stopped necessitate continuous treatment.

#### Topical retinoid

Retinoids are discussed more fully under systemic treatments. Tazarotene gel can be applied to plaque psoriasis and has minimal systemic absorption. Unlike

other retinoids, tazarotene is selective for retinoic acid receptor (RAR) proteins, with no affinity for retinoid X receptors (RXR; discussed later). This may reduce unwanted effects, which are mainly local irritation of healthy skin with pruritus. Tazarotene should be avoided for 1 month before conception because of potential teratogenic effects.

#### Topical calcineurin inhibitors

These are infrequently used for psoriasis but may be helpful to avoid use of topical corticosteroid on the face. They include tacrolimus, as noted previously with the treatment of atopic eczema.

#### Dithranol

Dithranol is an anthracene derivative that impairs DNA replication and decreases cell division and is effective for healing psoriatic plaques. In hospital (or when attending outpatient treatment), it is applied in a stiff paste to the plaque so that the dithranol does not burn normal skin, and is left in contact with the plaque for 24 hours. At home, dithranol is used as a cream that is applied to the plaque for 30 minutes and then washed off. The oxidation products of dithranol stain the skin brown, leaving discoloration of healed areas for a few days. They also stain clothes and bedding a mauve colour that will not wash out. As dithranol irritates normal skin, it should not be used in flexures.

#### Coal tar preparations

Crude coal tar is a mixture of a large number of phenols, hydrocarbons and heterocyclic compounds that have a cytostatic action. It enhances the healing effect of UVB radiation on psoriatic lesions. The main disadvantages are messiness and modest efficacy when used alone. More refined tar preparations have greater acceptability but are even less effective.

#### Phototherapy

Ultraviolet light produces improvement in psoriasis by inhibiting DNA synthesis and depleting intraepidermal T-cells. It should not be used on individuals with very fair skin who are prone to sunburn. Narrow-band UVB (311–313 nm) given two to three times a week for 15 to 30 treatments once a year as needed produces a useful response in 80% of cases of mild to moderate chronic plaque psoriasis. Long-wavelength UVA (320-400 nm) requires more specialised equipment and prior administration of an oral psoralen as a photosensitising drug, a process called photochemotherapy, or psoralen and ultraviolet A (PUVA) therapy. Psoralen (given as 5- or 8-methoxypsoralen) probably intercalates between pyrimidine base pairs in the DNA helix and inhibits cell replication. Psoralen can produce nausea, vomiting and headache acutely. These systemic effects can be avoided for treatment of limited areas such as palms and soles by using topical PUVA, in which the area to be treated is soaked in a

bath of psoralen solution for 20 minutes before UVA exposure. The long-term risks of phototherapy, but especially PUVA, include accelerated skin ageing and an increased incidence of skin cancer. PUVA is more effective than treatment with UVB and is used for severe, resistant psoriasis, but systemic treatments are increasingly preferred.

#### Topical corticosteroid preparations

These should be used sparingly on limited areas as unwanted effects can be troublesome (see Chapter 44). Mild to moderate potency corticosteroid can be used on the face, neck, flexures and genitalia, whereas potent topical corticosteroid can be used on the scalp, palms and soles. Withdrawal of a high-potency corticosteroid (see Table 49.1) can produce a rebound exacerbation and even generalised pustular psoriasis. Depending on potency, topical corticosteroid should be used for no longer than 4 to 8 weeks.

#### Systemic Treatments

Systemic treatment is used for the more severe forms of psoriasis.

#### Methotrexate

This is a very effective treatment at low dosages for resistant and widespread psoriasis. Its main actions are cytostatic and immunosuppressant (see Chapter 38). Either oral or intramuscular treatment is used once a week. Bone marrow depression and hepatotoxicity with liver fibrosis are the main complications; blood counts and serum procollagen III to identify liver toxicity must be checked every 3 months.

#### Retinoids

This term covers vitamin A (retinol) and therapeutically useful synthetic vitamin A derivatives, such as acitretin. Given orally, they are antiinflammatory and cytostatic. Vitamin A, and its metabolites all-transretinoic acid and 9-cis-retinoic acid, are involved in epithelial cell growth and differentiation. Retinoids enter cells by endocytosis and interact with two forms of retinoic acid nuclear receptor, RARs and RXRs, which are related to steroid/thyroid hormone receptors (see Chapter 1). The retinoid–receptor complex initiates gene transcription and affects cell growth and differentiation by modulation of growth factors and their receptors. The response of psoriatic lesions is delayed by up to 2 months. Unwanted effects are almost universal, dose-dependent and reversible. These include dry lips and nasal mucosa, dryness of the skin with localised peeling over the digits, and transient thinning of hair. Longer-term problems include ossification of ligaments and increased plasma triglycerides and cholesterol. There is a high risk of teratogenesis, and women must use adequate contraception during treatment, and stop treatment for 3 years before conception.

#### Ciclosporin

Ciclosporin (see Chapter 38) is highly effective in psoriasis at lower doses than those required for prevention of allograft rejection. Improvement is seen within 2 weeks, but remissions are usually short-lived.

#### **Biologic agents**

Adalimumab, infliximab or certolizumab (see Chapter 30) are therapies that block TNF $\alpha$  and are effective in treatment-resistant psoriasis and for psoriatic arthropathy. Infliximab produces the more rapid response, sometimes within 3 weeks, but certolizumab is the most effective overall. Various interleukin inhibitors are also used. Ustekinumab is an inhibitor of IL-12 and IL-23 (see Chapter 30); tildrakizumab and gusel-kumab are selective IL-23 inhibitors; secukinumab and ixekizumab are IL-17A inhibitors (see Chapter 30). The interleukin inhibitors usually produce greater improvement in skin lesions and quality of life than the anti-TNF $\alpha$  agents. For most biological agents, response should be assessed after 12 to 16 weeks of treatment.

#### Dimethyl fumarate

This is an oral treatment for severe psoriasis unresponsive to other systemic treatments. It probably interacts with reduced glutathione to reduce activation of nuclear factor  $\kappa B$  (NF- $\kappa B$ ), promoting a Th2cell response in place of the Th1-dominant response found in psoriasis, which enhances T-cell apoptosis. Its active metabolite monomethyl fumarate is eliminated by complete oxidation to water and CO<sub>2</sub>, which is exhaled, with a half-life of 3.5 to 9 hours. Unwanted effects include gastrointestinal upset in more than twothirds of people, and flushing in one-third, but these usually subside with continued treatment. Improvement of psoriasis can take 6 to 8 weeks.

#### CHOICE OF TREATMENT FOR PSORIASIS

Topical treatments are preferred, with phototherapy or systemic treatments used when topical treatment is inadequate. Combinations of treatments are often more effective than a single agent, and continued treatment is usually necessary to avoid relapse. Stress can aggravate psoriasis, and smoking and alcohol should be reduced.

For less severe chronic plaque psoriasis, topical vitamin D (or analogues) is often combined with short-term use of a mild or moderately potent topical corticosteroid (1–2 weeks a month, especially for sensitive areas) as first-line treatment. The combination produces a response within 2 to 4 weeks. If topical corticosteroid is needed continuously, a topical calcineurin inhibitor should be considered as an alternative. An emollient containing urea or salicylic acid can reduce plaque thickness and scale, which will improve absorption of other topical drugs. Dithranol is as effective as vitamin D analogues, but is less easily applied and less well tolerated.

In more severe psoriasis, a vitamin D analogue and topical corticosteroid can be used together with phototherapy. If these are not successful, or poorly tolerated, then systemic agents usually allow doses of systemic drugs to be reduced. Conventional systemic therapy with methotrexate or ciclosporin is used first, with both tried before further therapy is considered. Acitretin and dimethyl fumarate are alternative options. Newer small molecule drugs apremilast and tofacitinib (see Chapter 30) are also options. Biologic agents are usually reserved for failure to respond to these drugs and are particularly useful for severe psoriasis in 'high-impact' sites such as the face, hands, genital area and nails. Anti-interleukin agents may be more effective than anti-TNFa drugs, but long-term treatment is needed to reduce relapses. Narrowband UVB can also be used in combination with oral or biological therapies.

#### **ACNE VULGARIS**

Acne is very common in adolescence. Although it often regresses in the late teens or early twenties, half of all those affected continue to experience symptoms into adult life. Acne affects areas of skin with large numbers of sebaceous glands, especially the face, neck, back and chest. Acne has a genetic background, which determines the number and size of sebaceous glands and the rate of sebum production, particularly in response to androgens. Androgens, especially dihydrotestosterone, are produced at puberty and induce hypertrophy of sebaceous glands, and the excess secretion rate in predisposed individuals triggers the acne. Acne can also be produced by systemic corticosteroids or anabolic steroids (see Chapter 46). In women, it can be a manifestation of polycystic ovary syndrome (see Chapter 43). Smoking and a diet high in dairy products or with a high glycaemic load increase the risk of acne.

Increased production of sebum in response to androgenic stimulation distends the pilosebaceous duct. Proliferation of keratinocytes causes hyperkeratosis at the mouth of the hair follicle, which blocks the duct, producing a small closed papule (comedo) called a whitehead. If the duct then opens, compacted follicular cells and oxidized melanin at the tip give comedones the appearance of a blackhead. Sebum promotes growth of a resident anaerobic bacterium on the skin, *Propionibacterium acnes*, which degrades triglycerides in sebum to free fatty acids and glycerol. P. acnes also releases chemotactic factors and inflammatory mediators including IL-8, IL-12 and TNF- $\alpha$ . The combination of released mediators with the irritant free fatty acids produces inflammatory lesions (papules, pustules and nodules) which can coalesce to form multilocular cysts. These inflammatory lesions can scar, leaving permanent disfigurement.

Mild acne is confined to the face and consists of papules and pustules with few inflammatory lesions. Moderate acne usually also involves the trunk and has increased numbers of inflammatory lesions. Severe acne presents with nodules and cysts, which are usually widespread.

#### DRUGS FOR TREATMENT OF ACNE

There are several effective treatments for acne. The choice will depend on the nature of the lesions and their severity. Topical treatments do not influence the rate of production of sebum.

#### **Topical Treatments**

- *Retinoids* (such as isotretinoin, adapalene) are vitamin A derivatives with a keratolytic action that unblocks the pilosebaceous follicles and allows the flow of sebum to extrude the plug (comedolytic action). Some retinoids also have an antiinflammatory action. The cellular basis of these actions is similar to that of acitretin (discussed further in the section on psoriasis). Topical retinoids can cause erythema and scaling, which can be minimised by starting with a low concentration. Adapalene is an extensively modified retinoid that has a faster onset of action than isotretinoin and produces less skin irritation.
- *Benzoyl peroxide* has antibacterial activity against *P. acnes* by generating reactive oxygen species in the follicle and also has weak antiinflammatory and keratolytic actions. It is usually used when inflammatory lesions are present. Benzoyl peroxide produces scaling and skin irritation, especially with higher concentrations, although this may be transient. It can bleach clothing and hair, and degrades isotretinoin (but not adapalene), which should be applied separately.
- *Topical antibacterials*, for example clindamycin and erythromycin (see Chapter 51), are less effective than oral antibacterials but have fewer unwanted effects. They have weak antiinflammatory and comedolytic action in addition to their direct action against *P. acnes*. Bacterial resistance arises with regular use, so topical antibiotics should be used in combination with benzoyl peroxide or a topical retinoid to improve antibacterial penetration into lesions, as well as for their synergistic actions on the lesions. Topical antibacterials should ideally not be used for more than 12 weeks.
- *Azelaic acid* has an antibacterial action against *P. acnes* and is effective against bacteria that have become resistant to erythromycin and tetracycline. It also inhibits the division of keratinocytes, which may reduce follicular plugging and prevent the development of comedones. The most frequent unwanted effects are local burning, scaling or itching, whilst hypopigmentation can be a problem with darker skin.

#### **Systemic Treatments**

• *Oral antibacterials* (especially lymecycline and doxycycline) have an antibacterial action against *P. acnes* and also an antiinflammatory action and are used for inflammatory acne (papules/pustules). Treatment should be given for no longer than 12 weeks, and they should be combined with a topical retinoid or benzoyl peroxide to improve efficacy. Ciprofloxacin and trimethoprim (see Chapter 51) can also be used, but widespread resistance to tetracycline and erythromycin make these less suitable choices.

- *Hormonal therapies* such as ethinyloestradiol or the antiandrogen cyproterone acetate (see Chapter 46) are useful in women with moderate or severe acne. They are given in combination with a nonandrogenic progestogen (such as norgestimate or desogestrel) as a combined oral hormonal contraceptive (see Chapter 45). They reduce sebum flow by 40%, but improvement can take 3 to 6 months. Progestogen only contraceptives can exacerbate acne.
- *Isotretinoin* is used orally in severe acne and gives an almost 100% probability of complete remission. High doses can produce prolonged remission. Unwanted effects include dry lips, nose and eyes; increased plasma triglycerides; and less commonly, myalgia. Teratogenesis is a problem and, although the half-life of the metabolites is less than 2 days, conception should be avoided during treatment and for 1 month after stopping treatment.

#### **CHOICE OF TREATMENT FOR ACNE**

Initially, management of noninflammatory comedones should be with topical treatment. Topical retinoids are increasingly used for all but severe acne or as maintenance treatment, with benzoyl peroxide as an alternative option. For early inflammatory lesions a topical antibacterial is often added. Azelaic acid is a secondline option if other topical treatments are not suitable or not tolerated.

Oral antibacterials are used for moderately severe acne, with oestrogen or antiandrogen therapy (as combined oral hormonal contraceptives) being alternatives for women. Systemic treatment with isotretinoin is used for severe unresponsive acne, but is also an option for moderately severe acne. The most common reason for treatment failure is probably nonadherence to the recommended regimen.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Atopic dermatitis is the most common form of dermatitis.
- 2. Oral corticosteroids are the mainstay of treatment of atopic dermatitis.
- 3. Oral antihistamines reduce itching in atopic dermatitis.
- 4. Th2-lymphocytes are the dominant immune cells in psoriasis.
- 5. Immunosuppressant drugs are contraindicated in severe psoriasis.
- 6. Retinoids reduce cell growth and differentiation.

- 7. Severe inflammatory acne should be treated with topical or systemic antibacterials.
- 8. Antibacterial drug resistance in *P. acnes* is rare.

#### **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which biological drug is used in severe eczema?
  - A. Brodalumab.
  - B. Dupilumab.
  - C. Guselkumab.
  - D. Ixekizumab.
  - E. Secukinumab.
- 2. Choose the accurate statement about psoriasis and its treatment.
  - A. Psoriasis may be improved by atenolol.
  - B. Psoriasis may be exacerbated by exposure to sunshine.
  - C. Methotrexate has few unwanted effects in the treatment of psoriasis.
  - D. Calcipotriol is a vitamin D analogue applied topically for psoriasis.
  - E. Ustekinumab is a monoclonal antibody directed against  $\text{TNF}\alpha.$

#### **CASE-BASED QUESTIONS**

A 7-year-old girl with a history of atopic asthma developed a red, scaly and dry rash in her knee and elbow flexures, and on her arms and cheeks. The rash was extremely itchy, and she was scratching the affected areas, causing excoriation and weeping. Her mother had had atopic dermatitis when she was young.

- 1. What was the possible diagnosis?
- 2. What treatments should be tried initially?
- 3. What other factors should be considered?

## **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **True.** Atopic dermatitis is an inflammatory condition with a familial tendency.
- 2. **False.** Corticosteroids are used topically in atopic dermatitis for up to 4 weeks.
- 3. **True.** A sedative antihistamine (e.g. chlorphenamine) may be of value, although direct effects on itching are limited.
- 4. **False.** Psoriasis is thought to be driven mainly by Th1-lymphocytes, with production of TNFα, interleukin (IL)-12 and IL-23.
- 5. **False.** The immunosuppressants ciclosporin or methotrexate can be used in severe psoriasis resistant to other drugs.
- 6. **True.** Oral retinoids such as vitamin A and its derivatives reduce cell growth and can be used in psoriasis, but may be teratogenic. Oral and topical retinoids are also useful in acne.

- 7. **True.** A topical retinoid is used together with oral or topical antibacterials; suitable topical antibacterials are erythromycin and clindamycin.
- 8. **False.** Resistance of *P. acnes* to antibacterials is increasing, including cross-resistance to erythromycin and clindamycin; when possible, drugs with an antibacterial action should be used, such as benzoyl peroxide or azelaic acid.

#### **OBA ANSWERS**

- 1. **Answer B** is correct. Dupilumab targets the alpha subunit of the shared receptor for interleukin (IL-) 4 and IL-13 and is used in moderate-severe eczema (or in severe asthma, see Chapter 12). Bro-dalumab (answer A), ixekizumab (answer D) and secukinumab (answer E) are IL-17A inhibitors, and guselkumab (answer C) is an IL-23 inhibitor, all of which are used in moderate-severe plaque psoriasis.
- 2. Answer D is correct.
  - A. Incorrect. β-Adrenoceptor antagonists such as atenolol can exacerbate psoriasis.
  - B. Incorrect. Regular short exposures to sunlight or ultraviolet light benefit psoriasis by increasing production of vitamin D and slowing skin cell proliferation.
  - C. Incorrect. Methotrexate can produce bone marrow depression and hepatoxicity, and regular monitoring is required.
  - D. **Correct**. Calcipotriol is a topical vitamin D analogue with beneficial actions in psoriasis, including inhibition of T-cell proliferation and cytokine release.
  - E. Incorrect. Ustekinumab is an inhibitor of IL-12 and IL-23 and is used in drug-resistant psoriasis; modulators of TNF $\alpha$  (e.g. infliximab, etanercept) are also used.

#### **CASE-BASED ANSWERS**

- 1. Atopic dermatitis is possible because of the appearance of the rash, the child's atopy, and her mother's history of the condition.
- 2. Initial management approaches should include good skin hygiene with regular bathing (but avoiding soaps), and the use of emollients in bath water and applied topically to moisturise the skin. Drug treatments should include short courses of topical hydrocortisone, and a topical antihistamine or oral sedative antihistamine if the itch is severe, although there is no consensus that antihistamines are beneficial. A course of topical corticosteroid may also help reduce itch.
- 3. Contributory factors such as allergies to food and other allergens, psychological factors, and removal of irritants and allergens should be assessed. Severe acute exacerbations may require rigorous topical measures and antibacterial treatment.

# **FURTHER READING**

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# Compendium of Drugs Used in Skin Disorders.

DRUG	CHARACTERISTICS	
Corticosteroids		
Given topically for inflammatory	y skin conditions. See Chapter 44 for further details.	
Alclometasone dipropionate	Synthetic corticosteroid used for inflammatory skin disorders such as eczemas.	
Beclometasone dipropionate	Use restricted to severe conditions, such as eczema unresponsive to less potent drugs, and psoriasis. Metabolised to active monopropionate.	
Betamethasone esters	Potent. Use restricted to severe conditions, such as eczema unresponsive to less potent drugs, and psoriasis.	
Clobetasol propionate	High potency. Use restricted to short-term treatment of severe resistant conditions, such as eczema unresponsive to less potent drugs, and psoriasis.	
Clobetasone butyrate	Moderately potent. Used for eczema and dermatitis of all types and for maintenance between courses of more potent corticosteroids.	
Diflucortolone valerate	Use restricted to severe conditions, such as eczema unresponsive to less potent drugs, and for psoriasis.	
Fludroxycortide	Used for inflammatory skin disorders such as eczema.	
Fluocinolone acetonide	Used for inflammatory skin disorders such as eczema, and psoriasis.	
Fluocinonide	Use restricted to severe conditions, such as eczema unresponsive to less potent drugs, and for psoriasis.	
Fluocortolone	Use restricted to severe conditions, such as eczema unresponsive to less potent drugs, and for psoriasis.	
Fluticasone propionate	Used for severe inflammatory skin disorders such as eczema unresponsive to less potent corticosteroids.	
Hydrocortisone	Low potency. Used for mild inflammatory skin disorders such as eczema and nappy rash. Can be used for perioral inflammatory lesions.	
Hydrocortisone butyrate	Potent. Use of the butyrate ester is restricted to severe conditions, such as eczema unresponsive to less potent drugs, and for psoriasis.	
Mometasone furoate	Used for severe inflammatory skin disorders such as eczema unresponsive to less potent corticosteroids, and for psoriasis.	
Triamcinolone acetonide	Used for severe inflammatory skin conditions, such as eczema unresponsive to less potent drugs, and psoriasis.	
Drugs for Eczema and/or Seborrh	ioeic Dermatitis	
Given topically. Topical corticos	steroids may also be given.	
Dupilumab	Monoclonal antibody directed against interleukin (IL)-4 receptor subunit; blocks actions of IL-4 and IL-13. Given subcutaneously for moderate-severe eczema. Also used in severe asthma (see Chapter 12).	
Ichthammol	A sulfonated shale oil. Used for chronic lichenified eczema.	
Pimecrolimus	Calcineurin inhibitor (see Chapter 38). Used for short-term treatment of mild to moderate atopic eczema when topical corticosteroids cannot be used, and for short-term treatment of facial, flexural or genital psoriasis unresponsive to, or intolerant of, other topical therapy.	
Tacrolimus	Calcineurin inhibitor (see Chapter 38). Used for moderate to severe atopic eczema unresponsive to other treatment.	
Drugs for Psoriasis		
See also topical corticosteroids (above and Chapter 44). For biological drugs directed against tumour necrosis factor α (TNFα), including adalimumab, certolizumab and infliximab, see Chapter 30.		
Acitretin	Retinoid. Given orally for severe, extensive and resistant psoriasis.	
Brodalumab	IL-17A inhibitor. Given subcutaneously for moderate-severe plaque psoriasis at weekly, then 2-weekly, intervals.	
Calcipotriol	Vitamin D analogue. Used topically for plaque psoriasis. Also available in a combined formulation with betamethasone.	

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# Compendium of Drugs Used in Skin Disorders—cont'd.

DRUG	CHARACTERISTICS
Calcitriol	Vitamin D analogue (see Chapter 42). Used topically for mild to moderate plaque psoriasis.
Ciclosporin	Calcineurin inhibitor (see Chapter 38). Given orally for short-term treatment of severe atopic dermatitis and severe psoriasis.
Coal tar	Contains polycyclic aromatic hydrocarbons; applied topically for chronic atopic eczema.
Dimethyl fumarate	Prodrug of monomethyl fumarate. Given orally for severe psoriasis that has not responded to other systemic therapies.
Dithranol (anthralin)	Applied topically for subacute and chronic plaque psoriasis.
Guselkumab	Selective IL-23 inhibitor. Given subcutaneously for moderate-severe plaque psoriasis at 4-week then 8-week intervals.
lxekizumab	IL-17A inhibitor (see Chapter 30).
Methotrexate	Folate antagonist used in the treatment of severe uncontrolled psoriasis, rheumatoid arthritis (see Chapter 30) and malignant disease (see Chapter 52). Given orally or by intravenous or intramuscular injection.
Salicylic acid	Used with coal tar and dithranol preparations as a keratolytic in scaly psoriasis. Applied topically. See Chapter 29.
Secukinumab	IL-17A inhibitor (see Chapter 30).
Tacalcitol	Vitamin $D_3$ analogue (1,24-dihydroxy-vitamin $D_3$ ). Used topically for plaque psoriasis.
Tazarotene	Retinoid. Applied topically for mild to moderate plaque psoriasis.
Tildrakizumab	Selective IL-23 inhibitor. Given subcutaneously for moderate-severe plaque psoriasis at 4-week then 12-week intervals.
Ustekinumab	Monoclonal antibody directed against IL-12 and IL-23 (see Chapter 30).
Drugs for Acne and Rosacea	
In addition to the topical prepar oxytetracycline, tetracycline a	rations listed here, antibacterials such as clindamycin, doxycycline, erythromycin, minocycline, and trimethoprim (see Chapter 51) can be used in the treatment of acne.
Adapalene	Retinoid-like drug with keratolytic action. Used topically for mild to moderate acne. Also available in a combined formulation with benzoyl peroxide.
Azelaic acid	Anticomedonal and antibacterial actions. Used topically for acne and rosacea.
Benzoyl peroxide	Powerful oxidising agent with antibacterial activity. Used topically for acne.
Co-cyprindiol	Coformulation of cyproterone acetate (see Chapter 46) and ethinylestradiol (see Chapter 45). Used for moderate-severe acne unresponsive to antibiotics and for hirsutism in women.
Isotretinoin	Retinoid (13- <i>cis</i> -retinoic acid); an isomer of tretinoin. Used topically for mild to moderate acne. Applied topically, or given orally.
Tretinoin	Retinoid (all-trans-retinoic acid). Used topically for mild to moderate acne.
Preparations for Warts and Callus	es
Preparations not suitable for appl	ication to face or anogenital areas
All compounds used topically to	o produce localised tissue destruction.
Formaldehyde	Used for warts, particularly plantar warts.
Glutaraldehyde	Used for warts, particularly plantar warts.
Salicylic acid	Used for plantar and mosaic warts, corns, verrucas and calluses.
Silver nitrate	Used for warts, verrucas, umbilical granulomas and overgranulation tissue.
Preparations suitable for applicat	ion to anogenital areas
Used topically.	
Imiquimod	Licensed for external keratinised or nonkeratinised anogenital warts; also licensed for the treatment of superficial basal cell carcinoma and actinic keratosis.
Podophyllotoxin	Used for soft, nonkeratinised external anogenital warts.
# The Eye



#### **Chapter Outline**

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## Regulation of visual acuity, pupil size and aqueous humour

Vision depends upon the eye converting light that falls on the retina into an electrical signal by photoreceptor cells, that is carried to the brain through the optic nerve. To see clearly, the eye must focus objects sharply on the retina through a process called refraction (a change in direction of the light wave when it passes between different mediums). Most refraction is provided by the curvature of the cornea (innate refraction), but sharp focus on the retina requires fine adjustment of the refraction of light rays by the lens of the eve. The shape of the lens must be changed to adjust focus for objects that are at different distances from the eye, a process called accommodation. The lens must become more curved to refract light from near objects so that it is focused on the retina. Accommodation is achieved by the ciliary muscle, which affects tension on the lens and can adjust its curvature.

The amount of light entering the eye is determined by the size of the pupil. The size of the pupil is controlled by the iris, which dilates the pupil when it is dark, and constricts the pupil in response to bright light and when focussing on near objects.

The autonomic nervous system innervates both the ciliary muscles and the iris.

#### Accommodation

Accommodation is determined by the ciliary muscle, which is a circular (constrictor) smooth muscle that is attached to the lens by suspensory ligaments. The ciliary muscle has only parasympathetic innervation (mediated by acetylcholine acting through muscarinic receptors; see Chapter 4). In the absence of parasympathetic stimulation, the ciliary muscle remains relaxed and exerts radial tension on the suspensory ligaments. This pulls on the lens capsule, which flattens the lens, producing less refraction, and adjusts visual acuity for distant vision. When the ciliary muscle contracts in response to parasympathetic stimulation, this reduces tension on the suspensory ligaments, and the capsule of the lens is relaxed. The lens bulges in its capsule, becomes more curved and accommodates for viewing near objects.

Drugs that are agonists at muscarinic receptors produce ciliary muscle contraction and adjust the lens for viewing near objects, blurring distant vision. Drugs that are antagonists at muscarinic receptors prevent ciliary muscle contraction and adjust the lens for viewing distant objects, with blurring of near vision, a state called cycloplegia (Fig. 50.1).

#### Pupil Size

The diameter of the pupil is determined by the relative tone in the two smooth muscle layers of the iris. The circular (constrictor) muscle is the more powerful and is under the control of parasympathetic nervous innervation (mediated by acetylcholine acting through muscarinic receptors). The radial (dilator) muscle is innervated by the sympathetic nervous system (mediated by noradrenaline acting on  $\alpha_1$ -adrenoceptors).

• Pupillary constriction is known as miosis and is caused by contraction of the circular muscle of the iris. Miosis can be produced by muscarinic receptor agonists.



Fig. 50.1 The route of drainage of aqueous humour from the eye and the sites of action of drugs used in the treatment of glaucoma. The mechanisms by which some drugs benefit glaucoma are still uncertain but are thought to include the following: (a) in angle-closure glaucoma, muscarinic agonists (e.g. pilocarpine) facilitate aqueous humour drainage (1, 2) by constricting the iris (5), which widens the iridocorneal angle; (b) carbonic anhydrase inhibitors (e.g. acetazolamide) decrease aqueous humour production (4); (c) β-adrenoceptor antagonists (e.g. timolol) reduce aqueous humour production (4) and increase drainage (1); (d) selective α<sub>2</sub>-adrenoceptor agonists (e.g. brimonidine) decrease aqueous humour production (4); (e) prostaglandin F<sub>2α</sub> analogues (e.g. latanoprost) enhance aqueous humour outflow (1, 2, 3) and may improve ocular blood flow.

• Dilation of the pupil is called mydriasis and is caused by contraction of the radial muscle of the iris. Mydriasis can be produced either by muscarinic receptor antagonists (which paralyse the circular muscle leaving unopposed action of the radial muscle) or by  $\alpha_1$ -adrenoceptor agonists (which contract the radial muscle).

The light reflex is the primary determinant of pupil size, with increased light causing the pupil to constrict and reduce the amount of light that reaches the retina. Pupillary constriction also accompanies accommodation for near vision. This increases the depth of focus by reducing light scatter from the peripheral cornea and therefore reduces the amount of accommodation needed to focus the image on the retina. Dilation of the pupil also has the effect of moving the iris toward the cornea and narrowing the anterior angle between the iris and the cornea. This can reduce aqueous humour outflow through Schlemm's canal (see later).

#### Drainage of Aqueous Humour

The space between the cornea at the front of the eye and the iris is the anterior chamber, and that between the iris and the lens is the posterior chamber (see Fig. 50.1). Both are filled with a clear liquid known as aqueous humour. Aqueous humour is continuously secreted into the posterior chamber by the epithelium of the ciliary body. It then flows via the cleft between the anterior surface of the lens and the iris, through the pupil to the anterior chamber. Most aqueous humour leaves the eye through the trabecular meshwork that drains via Schlemm's canal into the episcleral veins (see Fig. 50.1). About 10% of the aqueous humour drains through the sclera (uveoscleral outflow).

A major function of the aqueous humour is maintenance of intraocular pressure which keeps the eyeball roughly spherical. Pressure within the eye is normally about 15 mm Hg above atmospheric pressure as a result of a balance between the production of aqueous humour and its drainage. Intraocular pressure rises if drainage of the aqueous humour is impaired. High intraocular pressure can damage retinal ganglion cells and is one of the factors that lead to progressive loss of vision in the disease known as glaucoma.

Production of aqueous humour is influenced by innervation from the sympathetic nervous system. It is reduced by  $\alpha_2$ -adrenoceptor stimulation of the ciliary body and increased by  $\beta_2$ -adrenoceptor stimulation. If the anterior chamber of the eye is abnormally shallow, there will be a narrow angle between the iris and cornea (iridocorneal angle; see Fig. 50.1). When the circular muscle of the iris relaxes and the radial muscle contracts to dilate the pupil, it thickens the muscle in the region of the iridocorneal angle and can impede drainage of aqueous humour through the trabecular meshwork. This can produce an acute rise in pressure in the eye. Conversely, constriction of the circular muscle of the iris makes the pupil smaller and moves the iris away from the trabecular meshwork, widening the anterior angle and facilitating aqueous humour drainage. Uveoscleral flow is enhanced by prostaglandins.

#### **TOPICAL APPLICATION OF DRUGS TO THE EYE**

Drugs are most often applied to the anterior surface of the eye in solution because the formulations are usually less complex, but suspensions or ointments can also be used. Topical drugs can act locally on the corneal surface, but also can penetrate to the anterior chamber and the ciliary muscle, principally via paracellular routes through the cornea. There is little diffusion from the surface of the eye to the more posterior structures. Absorption of drug into the anterior chamber requires a low-molecular-weight compound. The high water content of the cornea makes lipid solubility less important for adequate penetration of a drug than is the case for transdermal drug delivery, but formulation of the carrier (mainly pH buffers, preservatives and viscosity-modifying agents) is important to avoid irritation of the conjunctiva.

Local application of drug to the eye can achieve a higher concentration at the site of action than oral administration, but local retention is limited by spillage onto the face or drainage via the nasolacrimal duct into the nose. Poor absorption into the eye means that the concentration of drug in the topical formulation must be high. Systemic absorption of the drug following application to the surface of the eye can occur either via conjunctival vessels or from the nasal mucosa after drainage of the excess drug, which means that topical therapy can produce systemic effects. Drainage through the nasolacrimal duct can be reduced by shutting the eye for at least one minute after putting in drops and by compressing the nasolacrimal duct at the medial corner of the eye with a finger. Both eye drops and ointments are usually administered into the pocket which can be formed by gently pulling the lower eyelid downward (the lower fornix).

Microbial contamination is a potential problem once eye preparations have been opened. Multipleapplication containers have preservative added to reduce the risk, but it is not advisable to use any eye preparation more than a month after it has been opened. Preparations free of preservatives reduce the risk of intolerance and allergy to the preservative.

#### MYDRIATIC AND CYCLOPLEGIC DRUGS

Mydriatic drugs dilate the pupil, either by producing paralysis of the circular muscle of the iris, or by contracting the radial muscle. Cycloplegic drugs paralyse the ciliary muscle and prevent accommodation for near vision. Drugs that cause cycloplegia also produce mydriasis, but not all mydriatic drugs cause cycloplegia.

Mydriasis is useful prior to fundoscopy, some other diagnostic procedures or certain types of eye surgery and in anterior uveitis. Cycloplegia will enable the true refractive error of the eye to be determined.

#### **ANTIMUSCARINIC DRUGS**

**Examples** 

atropine, cyclopentolate, homatropine, tropicamide

Antimuscarinic drugs (muscarinic receptor antagonists) are both mydriatic (dilating the pupil) and cycloplegic (paralysing the ciliary muscle). Dark irises are more resistant to pupillary dilation with these drugs as the pigments adsorb the drug.

- Tropicamide is weak and short-acting (about 4– 6 hours), which makes it useful for dilating the pupil for fundal examination.
- Cyclopentolate is longer-acting (up to 24 hours) and has a rapid onset of action.

• Homatropine and atropine have actions up to 3 and 7 days, respectively.

The longer-acting compounds are used mainly in anterior uveitis to prevent adhesions (posterior synechiae).

The degree of cycloplegia will depend on the dose of drug; small doses produce pupil dilation, but diffusion is insufficient to reach the ciliary muscle. Many people find that cycloplegia impairs their vision for several hours. When inviting individuals to appointments in which a mydriatic drug is likely to be given, advice should be given to avoid driving. Care must be taken when using these drugs in individuals predisposed to acute angle-closure glaucoma (discussed previously). Local irritation in the eye is the most common unwanted effect, but systemic effects occasionally occur in neonates or the elderly (see Chapter 4).

#### SYMPATHOMIMETICS

Example

phenylephrine

Phenylephrine is a relatively selective  $\alpha_1$ -adrenoceptor agonist that stimulates the radial muscle of the iris and produces mydriasis (pupil dilation) within 60 to 90 minutes of application, lasting 5 to 7 hours. It does not affect the ciliary muscle, and therefore does not affect accommodation. The main use of phenylephrine when given alone is to create mydriasis for diagnostic procedures. It can also be given together with tropicamide to produce preoperative pupil dilation for procedures such as cataract surgery. Phenylephrine is a vasoconstrictor and can decrease vascular congestion of the conjunctiva and oedema of the eyelid in allergic conjunctivitis. It is often combined with an antihistamine in over-the-counter preparations. Local irritation is the most common unwanted effect (which can be reduced by prior use of a topical local anaesthetic). Systemic vasoconstriction with hypertension or coronary artery spasm can occur if high doses are used.

#### **GLAUCOMA**

Glaucoma is a group of disorders that produce cupping of the optic nerve head and visual field defects. It is the most common cause of irreversible blindness worldwide and, after age-related macular degeneration (see later), is the second most common cause of visual impairment in the UK. The diagnosis of glaucoma requires the presence of both structural and functional changes in the eye. Evidence of optic disc damage is found with deepening and widening of the depression or cup of the optic disc. Progressive visual defects occur, initially as scotomas (blind spots) in the peripheral visual field. These scotomas enlarge, resulting in tunnel vision and finally total blindness.

Glaucoma is characterised by loss of retinal ganglion cells and is a form of optic neuropathy. In many cases the intraocular pressure is raised, and ischaemia of the optic nerve head may be the main cause. However, when ocular hypertension is detected, only a small proportion of those affected will develop glaucoma. In up to 50% of cases of glaucoma, the pressure in the anterior chamber of the eye is normal, and retinal cell loss may be related to deprivation of neurotrophic factors in the ganglion cells, glutamate excitotoxicity or an abnormal immune response. The site of damage to the axons from retinal cell ganglia is often at the collagenous lamina cribrosa, the point at which the axons leave and blood vessels enter the intraocular compartment. When intraocular pressure is raised, changes in the lamina cribrosa impair the function of the axons as they pass through. The mechanisms underlying optic nerve damage when intraocular pressure is normal are less clear. Risk factors for glaucoma include a genetic predisposition and increasing age.

There are two forms of glaucoma:

- *Open-angle glaucoma:* in primary open-angle glaucoma, there are several mechanisms underlying the retinal damage, which can be associated with raised or normal intraocular pressure. In secondary open-angle glaucoma, the trabecular meshwork or Schlemm's canal is obstructed, such as in pigmentary glaucoma or exfoliative glaucoma, leading to an increase in intraocular pressure.
- Angle-closure glaucoma is less common but may have a worse prognosis for visual loss. It results from the peripheral iris tissue physically obstructing the drainage angle and therefore the trabecular meshwork. A shallow anterior chamber of the eye increases the risk of this form of glaucoma. The condition is usually chronic and asymptomatic, with a risk of acute attacks of high intraocular pressure with pain and sudden visual loss.

#### DRUGS FOR GLAUCOMA

Prevention of progression of optic atrophy in glaucoma is currently achieved only by reducing intraocular pressure.

#### **Prostaglandin Analogues**



latanoprost, travoprost

These drugs are analogues of prostaglandin  $F_{2\alpha}$  and act at the prostanoid FP receptor. Their efficacy in glaucoma is mainly from increased uveoscleral outflow of aqueous humour, which may be in part due to a reduction in collagen in the uveal meshwork (the outermost part of the trabecular meshwork). Prostaglandin analogues also increase blood flow to the optic nerve, and this may contribute to neuroprotection in the retina. The reduction in intraocular pressure is greater than that achieved by  $\beta$ -adrenoceptor antagonists (see later) which makes them the first-line treatment for open-angle glaucoma. The prostaglandin analogues have a long duration of action of 1 to 2 days, well in excess of their much shorter half-lives, and are used once daily in the evening. One disadvantage of prostaglandin analogues is an increase in brown pigmentation of the iris, which may be permanent, and increased growth of eyelashes. Dry eye, eye discomfort and headache also occur.

A novel compound that is available in several countries is latanoprostene bunod, which is hydrolysed to latanoprost and butanediol mononitrate, which is further metabolised to release nitric oxide (NO). NO reduces intracellular Ca<sup>2+</sup> concentration and inhibits Rho kinase (ROCK), which promotes actin cytoskeleton reorganisation in the trabecular meshwork and enhances aqueous humour drainage.

#### β-Adrenoceptor Antagonists

#### **Examples**

betaxolol, timolol

 $\beta$ -Adrenoceptor antagonists reduce the formation of aqueous humour by the ciliary body. They are used once or twice daily. Systemic absorption can produce the typical unwanted effects associated with these compounds, particularly bronchospasm, bradycardia and worsening of uncontrolled heart failure. The contraindications for topical use in the eye are the same as those for oral use (see Chapter 5). Timolol is a nonselective  $\beta$ -adrenoceptor antagonist, whereas betaxolol is  $\beta_1$ -adrenoceptor selective, but they have similar efficacy in the eye.

#### Sympathomimetics

Examples

#### apraclonidine, brimonidine

Apraclonidine and brimonidine are selective  $\alpha_2$ adrenoceptor agonists that reduce aqueous humour production and increase uveoscleral outflow. They can cause dry mouth, gastrointestinal disturbances, taste disturbance, dizziness and headache. Local allergic reactions are common, especially for apraclonidine, such as conjunctivitis and contact blepharitis.

#### **Carbonic Anhydrase Inhibitors**

#### Examples

acetazolamide, brinzolamide, dorzolamide

The intracellular mechanism of action of carbonic anhydrase inhibitors is discussed in Chapter 14. The action of carbonic anhydrase in the eye is responsible for about 70% of the Na<sup>+</sup> that enters the anterior chamber, which is accompanied by water to maintain isotonicity. The enzyme therefore plays a key role in aqueous humour production, and its inhibition reduces aqueous humour production.

Acetazolamide is taken orally. Dorzolamide and brinzolamide are topical preparations for the eye, with fewer systemic unwanted effects (see Chapter 14). Local eye discomfort and taste disturbance are common with topical formulations. Their duration of action in the eye is 6 to 12 hours and the topical formulations are used 2 to 3 times a day.

#### **Rho Kinase (ROCK) Inhibitor**

Example

netarsudil

ROCK is a serine/threonine protein kinase that promotes assembly of actin stress fibres and focal adhesions within the trabecular meshwork of the eye. Netarsudil is a ROCK inhibitor, and also inhibits the noradrenaline transporter. It reduces intraocular pressure mainly by relaxation of the trabecular meshwork which increases aqueous humour outflow. There is also a lesser effect in reducing aqueous humour production. It has similar efficacy to  $\beta$ -adrenoceptor antagonists. The main unwanted effect is redness of the eye.

#### Miotic Drugs (Muscarinic Agonists)

Example pilocarpine

Pilocarpine is a muscarinic receptor agonist that contracts the circular sphincter muscle of the iris to produce miosis, and opens the drainage channels in the anterior chamber of the eye. The miotic effect lasts for about 4 hours, so pilocarpine must be used four times daily. It can be used for angle-closure glaucoma but is not a treatment of choice as there is a risk of ciliary muscle spasm, which produces blurred vision and an ache over the eye (especially in younger people). Nausea, vomiting and diarrhoea are also common.

#### TREATMENT OF GLAUCOMA

In open-angle glaucoma, reducing intraocular pressure (the only modifiable risk factor) can slow the rate of disease progression sufficiently to prevent significant visual impairment. However, many cases present with established visual loss. The target pressure reduction is individually determined, but is usually a reduction of 20% to 50% from pretreatment levels. Treatment of ocular hypertension without evidence of visual loss may prevent the onset of glaucoma.

In primary open-angle glaucoma, a topical prostaglandin analogue is the treatment of choice because of the relative lack of ocular unwanted effects. If this produces an inadequate reduction in intraocular pressure, then combined use with a topical  $\beta$ -adrenoceptor antagonist can give an additive effect. Fixed combination formulations are frequently used to improve adherence. If these agents cannot be used, then the choice of a second-line drug lies between a topical carbonic anhydrase inhibitor or a sympathomimetic. The role of netarsudil is yet to be established. Surgery may also be considered at this point. Laser burns applied to the trabecular meshwork (trabeculoplasty) can produce an increase in aqueous humour outflow, but drug treatment may still be required. Drainage surgery (trabeculectomy) or glaucoma implant drainage devices are an alternative primary treatment and can often bring about long-term pressure control.

Angle-closure glaucoma is treated by laser peripheral iridotomy or surgical peripheral iridectomy to provide a channel for aqueous humour to flow through the iris. If drug therapy is required for acute angle-closure glaucoma or after surgery, then topical treatment with pilocarpine, a prostaglandin analogue, a  $\beta$ -adrenoceptor antagonist or a sympathomimetic can be used.

# OTHER DRUGS ADMINISTERED TOPICALLY TO THE EYE

Several other drugs are used topically in the eye.

#### ANTIBACTERIAL AGENTS

Antibacterial agents (see Chapter 51) are given topically for local infections such as blepharitis, conjunctivitis or trachoma (caused by chlamydial infection). Aqueous solutions are diluted rapidly or flushed away by lacrimation and should initially be used every 1 to 2 hours; ointments are often given for longer action, especially at night. Examples of broad-spectrum antibacterial agents for topical use are gentamicin, chloramphenicol, ciprofloxacin, fusidic acid and (for trachoma) azithromycin.

#### ANTIVIRAL AGENTS

Topical aciclovir or ganciclovir are used for herpes simplex infection, which causes dendritic corneal ulcers (see also Chapter 51).

#### CORTICOSTEROIDS

Local inflammatory conditions of the anterior part of the eye, such as uveitis and scleritis, are treated with topical corticosteroids – for example, dexamethasone or prednisolone (see Chapter 44). Care must be taken to exclude a viral dendritic ulcer and glaucoma before using them as these conditions can be exacerbated by corticosteroids. Prolonged use of corticosteroids can lead to thinning of the sclera or cornea, or formation of a 'corticosteroid cataract'.

#### ANTIALLERGIC AGENTS

Several subsets of ocular allergy have been described. The most common are immunoglobulin (Ig)E mediated, such as seasonal allergic conjunctivitis, perennial allergic conjunctivitis and vernal conjunctivitis (which affects children and young adults, especially in warm climates). Non-IgE (T-cell)-mediated allergies include some forms of vernal conjunctivitis, contact blepharoconjunctivitis and atopic keratoconjunctivitis. Symptoms include itching and redness, tearing and, in severe forms, visual disturbance.

Topical antihistamines are widely used for allergic conjunctivitis. First-generation drugs, such as antazoline, can cause a burning sensation in the eye and are usually given in combination with the  $\alpha$ -adrenoceptor agonist xylometazoline to produce vasoconstriction and reduce redness of the eye and oedema. Secondgeneration drugs such as azelastine are longer-acting and better tolerated (see Chapter 39). Oral antihistamines are effective and may be preferred if there are also symptoms from allergic rhinitis. The topical mast cell stabiliser sodium cromoglicate (see Chapter 12) is generally less effective than antihistamines and must be given for up to 2 weeks before symptoms are relieved. The nonsteroidal antiinflammatory drug diclofenac is sometimes used topically for seasonal allergic conjunctivitis. Topical corticosteroids are used for the non-IgE-mediated forms of ocular allergy.

#### LOCAL ANAESTHETICS

Oxybuprocaine or lidocaine eye drops (see Chapter 18) provide surface anaesthesia for measurements of pressure in the anterior chamber (tonometry). For minor surgical procedures, such as removal of cataracts, tetracaine gives more profound anaesthesia and may be combined with injection of a small amount of lidocaine into the anterior chamber.

#### NONSTEROIDAL ANTIINFLAMMATORY DRUGS

Diclofenac, flurbiprofen, ketorolac trometamol and nepafenac (see Chapter 29) can be applied topically for pain following surgery or laser treatment in the eye. Diclofenac is also used to treat allergic conjunctivitis (see earlier).

#### **DRY EYE SYNDROME**

Tears are a complex layered fluid. The hydrophobic outer surface layer is secreted by meibomian glands. The aqueous middle layer is secreted by the lacrimal glands; it contains antibodies for control of infectious agents and also acts as an osmotic regulator. The hydrophilic inner layer is produced by conjunctival goblet cells. Dry eye syndrome can be associated with Sjögren syndrome, in which there is an autoimmune response directed against the lacrimal glands, or occur independently, but in both types the underlying mechanism is usually chronic inflammation of the ocular surface mediated by T-lymphocytes. It results in discomfort (dryness, grittiness), blurred vision, photophobia and potentially damage to the surface of the eye. In some people it can paradoxically be associated with periods of excess tears after a period of very dry eyes.

Medication such as antihistamines, oral hormonal contraceptives and  $\beta$ -adrenoceptor antagonists can

decrease tear production and should be withdrawn if possible. The most common treatment is with artificial tear substitutes such as hypromellose (hydroxypropylmethylcellulose), but it may need to be reapplied every hour. The surface mucin in the eye is often abnormal when there is tear deficiency, and the mucolytic agent acetylcysteine is then effective when added to hypromellose. Carbomers, synthetic high-molecular-weight polymers of acrylic acid, cling better to the surface of the eye than hypromellose and only need to be applied four times a day. They may be particularly useful when applied before sleep. Local corticosteroid is used for short periods to treat inflammation resulting from the lack of tears. Severe keratitis in dry eye syndrome can be treated with ciclosporin eye drops, which improve tear production after 3 to 6 months of regular use.

#### AGE-RELATED MACULAR DEGENERATION

Age-related macular degeneration (ARMD) is the main cause of irreversible visual loss in the developed countries. A hallmark of ARMD is deposition of lipid deposits under the retina, called drusen. Drusen do not cause visual loss but increase the risk of developing ARMD. About half of cases have a defect in the complement factor H gene. Factor H is the main inhibitor of the alternative complement pathway. A further 30% have another identified genetic predisposition. These genetic defects may predispose to chronic inflammation in the retina, activation of innate immune responses with complement activation and oxidative damage specifically to macular cells. Smoking, hypertension and obesity are additional important risk factors. There are two main types of ARMD:

- Dry (non-exudative) ARMD involves proliferative changes in the retinal pigment epithelium under the macula with cell migration into the photoreceptor layer, and also formation of drusen. Between 85% and 90% of cases are dry ARMD, associated with minor visual disturbance and is currently untreatable.
- Wet (exudative) ARMD shows similar changes to dry ARMD, but with additional development of new blood vessels (choroidal neovascularisation) under the macula, which leak blood and protein. Wet ARMD produces severe macular visual loss in 70% of affected eyes within 2 years.

Retinal hypoxia promotes synthesis of vascular endothelial growth factor (VEGF-A) by retinal pigment epithelial cells. VEGF-A is an angiogenic protein that induces growth of new blood vessels and increases vascular permeability. Uncontrolled expression of VEGF-A appears to contribute to the pathogenesis of wet ARMD.

# TREATMENT OF AGE-RELATED MACULAR DEGENERATION

Treatment is only available for wet ARMD. Options include:

- High-dose combinations of antioxidants lutein, zeaxanthin and vitamins C and E with copper and zinc may retard the progression of ARMD, although the evidence is equivocal. Advice should be given on stopping smoking to slow progression.
- Intravitreal injection of a VEGF-A inhibitor such as the monoclonal antibodies ranibizumab or bevacizumab (unlicensed use), or the recombinant fusion protein aflibercept, which acts as a soluble decoy receptor. Treatment of early exudative ARMD with VEGF-A inhibitors significantly improves visual acuity in about 30% of eyes, with some improvement in a further 45%, compared with no treatment.
- Laser photocoagulation of neovascular tissue is now less commonly used, as it is less effective than VEGF-A inhibitors, although it can avert severe visual loss.
- Photodynamic therapy using the photosensitising agent verteporfin is less often used. Verteporfin is infused intravenously and activated in the eye by nonthermal red light, producing cytotoxic derivatives. This injures vascular endothelial cells and leads to vessel thrombosis in the laser-activated choroidal neovascular membrane. Photodynamic therapy is less effective than VEGF-A inhibitor therapy.

#### **DIABETIC RETINOPATHY**

Diabetic retinopathy is caused by damage to the retinal microcirculation that occurs in the presence of prolonged hyperglycaemia, and is exacerbated by other conditions that cause vascular damage, such as hypertension.

There are two types of retinopathy:

- Nonproliferative diabetic retinopathy (NPDR) with microaneurysms, blot haemorrhages and cotton wool spots, which initially spares the macula (background retinopathy). This type of retinopathy does not affect visual acuity.
- Proliferative diabetic retinopathy (PDR) is the response to capillary occlusion which causes retinal ischaemia. Formation of fragile new blood vessels (neovascularisation) that grow out of retinal capillaries into the vitreous is promoted by several angiogenic factors, including VEGF-A. Vision can be impaired if the new blood vessels produce preretinal or vitreous haemorrhage or retinal detachment.

VEGF-A also promotes increased vascular permeability; localised damage to the retina, especially the macula, can result from breakdown of the blood–retina barrier, causing macular oedema. Diabetic macular oedema can arise at any stage of retinopathy.

#### TREATMENT OF DIABETIC RETINOPATHY

Background diabetic retinopathy does not require specific treatment, but progression to proliferative retinopathy can be reduced by control of blood glucose, blood pressure reduction, and lowering of low-density lipoprotein (LDL) cholesterol and triglycerides. More advanced forms of retinopathy require treatment to preserve sight.

- Panretinal laser photocoagulation is still the mainstay of treatment for PDR and is continued until there is regression of new vessels. Focal laser treatment may be appropriate for focal macular oedema.
- Intravitreal corticosteroid injections with fluocinolone or dexamethasone are effective for diabetic macular oedema, but increase the risk of ocular hypertension and cataracts. Sustained-release formulations avoid the need for repeated injections.
- Intravitreal injection of an anti–VEGF-A agent (see the previous section on ARMD) is effective for both diabetic macular oedema and PDR, but requires repeated injections over several years. It is the preferred treatment for diffuse macular oedema and can be combined with laser photocoagulation.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. The production of aqueous humour is enhanced in glaucoma.
- 2. Brimonidine reduces aqueous humour secretion and causes mydriasis.
- 3. Stimulation of β-adrenoceptors in the ciliary body reduces aqueous humour production.
- 4. Tropicamide should be avoided in people with glaucoma.
- 5. Accommodation of the lens is controlled by the sympathetic autonomic nervous system.
- 6. Lidocaine causes mydriasis when administered topically to the eye.
- 7. Cyclopentolate is a short-acting mydriatic drug used for fundal examination.
- 8. Pilocarpine causes accommodation for near vision.
- 9. Dry (nonexudative) age-related macular degeneration (ARMD) produces severe visual loss.
- 10. Ranibizumab may be given by intravitreal injection in wet ARMD.

#### **EXTENDED-MATCHING-ITEM QUESTIONS**

Answer each question 1–4 with the appropriate drug from options A–H.

- A. Atropine
- B. Phenylephrine
- C. Pilocarpine
- D. Ranibizumab
- E. Tetracaine
- F. Timolol
- G. Tropicamide
- H. Verteporfin
- 1. Which drug is an antagonist of receptors in the ciliary body that will reduce aqueous production in glaucoma but with no effect on pupil size?

- 2. Which drug dilates the pupil without affecting accommodation or production of aqueous humour?
- 3. Which relatively short-acting drug dilates the pupil and is weakly cycloplegic.
- 4. Which is a photosensitiser used in photodynamic therapy of ARMD?

#### **CASE-BASED QUESTIONS**

During a routine eye examination, the optician noted a raised intraocular pressure with optic nerve changes in a 56-year-old woman. Further tests revealed she had open-angle glaucoma.

- 1. What is the most common cause of open-angle glaucoma?
- 2. What drugs could be used for this condition?
- 3. What precautions should be taken when using these drugs?

### **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **False.** Glaucoma is due to reduced outflow of aqueous humour, resulting from obstruction of the trabecular meshwork or closure of the anterior angle, not due to altered synthesis of aqueous humour.
- 2. **False.** The selective action of brimonidine on  $\alpha_2$ -adrenoceptors reduces aqueous humour production in the ciliary body but does not cause mydriasis or reduce the anterior angle.
- 3. **False.** Aqueous humour production in the ciliary body is reduced by *antagonists* of β-adrenoceptors, such as timolol.
- 4. **True.** Tropicamide blocks muscarinic receptors in the circular muscle of the iris, causing mydriasis, which narrows the anterior angle and may reduce aqueous drainage in angle-closure glaucoma.
- 5. **False.** The ciliary muscle of the lens is innervated only by parasympathetic autonomic nerves, with muscarinic receptor stimulation causing ciliary muscle contraction and accommodation for near vision.
- 6. **False.** Lidocaine is used as a local anaesthetic and does not cause mydriasis. Mydriasis is caused by cocaine, which was formerly used as an ocular local anaesthetic, because it blocks the reuptake of nor-adrenaline, which contracts the iris radial muscle.
- 7. **False.** Cyclopentolate acts for 12 to 24 hours; tropicamide acts for about 4 hours and is therefore more useful for fundal examination.
- 8. **True.** Pilocarpine is a muscarinic receptor agonist; it contracts the ciliary muscle, causing the lens to accommodate for near vision, and the iris circular muscle, causing miosis.
- 9. False. Dry ARMD causes only minor visual disturbance. Wet (exudative) ARMD produces severe visual loss in 70% of affected eyes within 2 years.

10. **True.** Ranibizumab and bevacizumab are monoclonal antibodies that target VEGF-A, whereas aflibercept is a decoy receptor for VEGF-A and VEGF-B. These biological drugs reduce angiogenesis and hence neovascularisation in wet ARMD.

#### **EXTENDED-MATCHING-ITEM ANSWERS**

- 1. **Answer F**. Timolol is a  $\beta$ -adrenoceptor antagonist and reduces aqueous production but does not affect the pupil size, which is controlled by muscarinic and  $\alpha_1$ -adrenergic receptors on the iris circular and radial muscles, respectively.
- 2. **Answer B**. Phenylephrine will dilate the pupil by  $\alpha_1$ -adrenoceptor stimulation of the iris, but not the ciliary muscle (muscarinic receptors).
- 3. **Answer G**. Tropicamide is a relatively short-acting muscarinic receptor antagonist with only a weak blocking action on muscarinic receptors on the ciliary muscle.
- 4. **Answer H**. Verteporfin is a photosensitising agent for photodynamic therapy of some patients with wet ARMD, although this treatment has been largely superseded by VEGF inhibitors.

#### **CASE-BASED ANSWERS**

- 1. The most common cause of open-angle glaucoma is reduced drainage of aqueous humour through the trabecular meshwork into Schlemm's canal and the episcleral veins.
- 2. Prostaglandin analogues or are the first-line drugs for open-angle glaucoma.  $\beta$ -Adrenoceptor antagonists,  $\alpha_2$ -adrenoceptor agonists, carbonic anhydrase inhibitors or muscarinic agonists could also be used.
- 3.  $\beta$ -Adrenoceptor antagonists should be avoided in asthma, bradycardia, heart block and heart failure, and  $\alpha_2$ -adrenoceptor agonists should be avoided in severe cardiovascular disease. Prostaglandin analogues should be avoided in pregnancy and asthma. Carbonic anhydrase inhibitors should be avoided in pregnancy as they can cause hypokalaemia and electrolyte imbalance. Muscarinic receptor agonists should be avoided when there is conjunctival or corneal damage, cardiac disease and asthma.

#### **FURTHER READING**

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## Compendium of Drugs Used in the Eye.

DRUG	CHARACTERISTICS				
Treatment of Infections					
Antibacterials					
Mostly topical (eye drop	e drops or ointments), but systemic treatment may be necessary in some cases (see Chapter 51).				
Azithromycin	Macrolide. Used for purulent bacterial conjunctivitis and trachomatous conjunctivitis.				
Cefuroxime	Given by intracameral injection to prevent endophthalmitis after cataract surgery.				
Chloramphenicol	Broad-spectrum antibacterial. Drug of choice for superficial eye infections.				
Ciprofloxacin	Quinolone; broad-spectrum antibacterial. Used for a wide range of infections, including <i>Pseudomonas aeruginosa</i> ; also used for corneal ulcers.				
Fusidic acid	Used for staphylococcal infections.				
Gentamicin	Aminoglycoside; broad-spectrum antibacterial. Used for a wide range of infections, including <i>P. aeruginosa</i> .				
Levofloxacin	Quinolone; broad-spectrum antibacterial. Active against Gram-positive and especially Gram-negative organisms.				
Moxifloxacin	Quinolone; broad-spectrum antibacterial. Used for a wide range of infections.				
Neomycin	Aminoglycoside; broad-spectrum antibacterial. Used for a wide range of infections.				
Ofloxacin	Quinolone; broad-spectrum antibacterial. Used for a wide range of infections, including <i>P. aeruginosa</i> .				
Polymyxin B	Used for Gram-negative organisms, including <i>P. aeruginosa</i> .				
Propamidine isetionate	Specialist use in treatment of the rare but sight-threatening condition Acanthamoeba keratitis.				
Tobramycin	Aminoglycoside; broad-spectrum antibacterial. Used for a wide range of infections, including <i>P. aeruginosa</i> .				
Antivirals					
Aciclovir	Used for local treatment of herpes simplex infections (see Chapter 51).				
Ganciclovir	Used as slow-release implants inserted surgically for sight-threatening cytomegalovirus retinitis (see Chapter 51).				
Treatment of Inflammatio	on de la constante de la const				
Corticosteroids					
The drugs below are us anterior segment infla	sed locally for short-term treatment of inflammation. Oral corticosteroids (see Chapter 44) are given for ammation.				
Betamethasone	Used topically. Also available in coformulation with neomycin for inflammation associated with bacterial infection.				
Dexamethasone	Given as eye-drops for short-term inflammation, or as intravitreal implant for treatment of macular oedema following branch retinal vein occlusion.				
Fluocinolone	Given only as an intravitreal implant for chronic diabetic macular oedema and posterior uveitis.				
Fluorometholone	Only used topically.				
Loteprednol etabonate	Used topically for postoperative inflammation following ocular surgery.				
Prednisolone Used topically.					
Other Antiinflammatory and Antiallergic Preparations					
Drugs below include histamine H, receptor antagonists given topically to the eye (see also Chapter 39), antiallergic drugs ('mast cell stabilisers'; see Chapter 12) used topically in allergic conjunctivitis, and topical NSAIDs (see Chapter 29) used for short-term inflammation and pain following ocular surgery and laser therapy.					
Antazoline (with xylometazoline)	Histamine H, receptor antagonist. Used for allergic conjunctivitis, in a combination formulation with xylometazoline (α-adrenoceptor agonist).				
Azelastine hydrochloride	Histamine H <sub>1</sub> receptor antagonist. Used for seasonal allergic conjunctivitis.				
Diclofenac sodium	NSAID used topically for postoperative pain and for seasonal allergic conjunctivitis.				
Epinastine hydrochloride	Histamine $H_1$ receptor antagonist. Used for seasonal allergic conjunctivitis.				
Flurbiprofen	NSAID used topically after ocular surgery or laser treatment when corticosteroids are contraindicated.				
Ketorolac tremetamol	NSAID used topically for moderate-severe postoperative pain.				

## Compendium of Drugs Used in the Eye—cont'd.

DRUG	CHARACTERISTICS			
Ketotifen	Antihistamine and mast cell stabiliser. Used for seasonal allergic conjunctivitis.			
Lodoxamide	Mast cell stabiliser. Used for allergic conjunctivitis.			
Nepafenac	NSAID used for pain and inflammation after cataract surgery.			
Olopatadine	Antihistamine and mast cell stabiliser. Used for seasonal allergic conjunctivitis.			
Sodium cromoglicate	Mast cell stabiliser. Used for allergic conjunctivitis and seasonal keratoconjunctivitis.			
Mydriatics and Cycloplegics				
Given topically.				
Atropine	Long-acting antimuscarinic (up to 7 days). Used for refraction procedures in children and for anterior uveitis.			
Cyclopentolate	Intermediate-acting antimuscarinic (up to 24 h). Used for refraction procedures in children.			
Homatropine	Long-acting antimuscarinic (up to 3 days). Used for treatment of anterior uveitis.			
Phenylephrine hydrochloride	Sympathomimetic $\alpha_1$ -selective adrenoceptor agonist. Used for mydriasis in diagnostic or therapeutic procedures.			
Tropicamide	Short-acting antimuscarinic (3–6 h). Used to facilitate examination of the fundus.			
Local Anaesthetics				
Given topically for pain	during ocular procedures. See Chapter 18.			
Lidocaine hydrochloride	Also used for eyelid surgery.			
Oxybuprocaine (benoxinate, BNX)	Widely used.			
Proxymetacaine hydrochloride	Causes less initial stinging and is useful for children.			
Tetracaine hydrochloride	Widely used. Produces more profound anaesthesia and is suitable for removing corneal sutures.			
<b>Treatment of Glaucoma</b>				
<b>Prostaglandin Analogues</b>				
Used topically to reduce combination formulat	e ocular pressure in ocular hypertension and open-angle glaucoma. All drugs are also available in ions with timolol.			
Bimatoprost	Prostamide analogue of prostaglandin (PG)F $_{2\alpha}$ . Used alone or as adjunctive therapy.			
Latanoprost	Synthetic analogue of $PGF_{2\alpha}$ ; prostanoid FP receptor agonist.			
Tafluprost	Fluorinated analogue of PGF <sub>2α</sub> . Prodrug hydrolysed to tafluprost acid, a prostanoid FP receptor agonist. Preservative-free to reduce irritation.			
Travoprost	Synthetic analogue of PGF <sub>2<math>\alpha</math></sub> . Prodrug hydrolysed to travoprost acid, a prostanoid FP receptor agonist.			
β-Adrenoceptor Antagonists				
Given topically for prima	ary open-angle glaucoma.			
Betaxolol	Selective $\beta_1$ -adrenoceptor antagonist.			
Levobunolol hydrochloride	Nonselective $\beta$ -adrenoceptor antagonist.			
Timolol	Nonselective β-adrenoceptor antagonist.			
Sympathomimetics				
Apraclonidine	Selective $\alpha_2$ -adrenoceptor agonist. Used short term to delay laser treatment or surgery in glaucoma poorly controlled by other therapy; also used after anterior segment laser surgery.			
Brimonidine	Selective $\alpha_2$ -adrenoceptor agonist. Used alone for open-angle glaucoma or ocular hypertension when $\beta$ -adrenoceptor antagonists are inappropriate, or as adjunctive therapy if other antiglaucoma therapy is ineffective. Also available in combined formulations with brinzolamide or timolol.			
Carbonic Anhydrase Inhibitors				
Acetazolamide	Used for open-angle, and secondary and preoperative angle-closure glaucoma. Given orally or by intravenous injection as an adjunct to other treatments; not recommended for long-term use.			
Brinzolamide	Used topically alone or in combination with a $\beta$ -adrenoceptor antagonist for ocular hypertension and open-angle glaucoma if $\beta$ -adrenoceptor antagonists are inappropriate or ineffective; can also be used as an adjunct to a prostaglandin analogue. Also available in combined formulations with brimonidine or timolol.			

## Compendium of Drugs Used in the Eye—cont'd.

DRUG	CHARACTERISTICS			
Dorzolamide	Used topically alone or in combination with a β-adrenoceptor antagonist for ocular hypertension and open-angle glaucoma if β-adrenoceptor antagonists are inappropriate or ineffective. Also available in a combined formulation with timolol.			
Miotic Drugs (Muscarinic Agonists)				
Pilocarpine	Muscarinic receptor agonist. Used in the treatment of primary angle-closure glaucoma and in some secondary glaucomas.			
Drugs for Neovascular (Wet) ARMD				
VEGF Inhibitors				
Biological drugs that inl	hibit the angiogenic action of vascular endothelial growth factor (VEGF). Specialist use only.			
Aflibercept	Recombinant decoy receptor that binds VEGF-A and VEGF-B and prevents VEGF receptor activation. Given by intravitreal injection for wet ARMD, and for macular oedema secondary to central retinal vein occlusion, and for diabetic macular oedema.			
Bevacizumab	Monoclonal antibody targeted against VEGF-A. Given by intravitreal injection (unlicensed use).			
Brolucizumab	Monoclonal antibody targeted against VEGF-A. Given by intravitreal injection.			
Ranibizumab	Monoclonal antibody targeted against VEGF-A. Given by intravitreal injection for wet ARMD, for diabetic macular oedema, for macular oedema secondary to branch or central retinal vein occlusion, and for choroidal neovascularisation secondary to pathologic myopia. Can be given concomitantly with laser photocoagulation.			
Photosensitiser				
Verteporfin	Photosensitising agent used intravenously in the photodynamic treatment of wet ARMD (specialist use).			
Drugs for Tear Deficiency	r (Dry Eye)			
All given topically as eye	e drops or ointments. Oral pilocarpine can also be used.			
Acetylcysteine	Mucolytic.			
Hypromellose	Hydroxypropyl-methylcellulose. May need hourly application. Often used with acetylcysteine.			
Carbomers	Cross-linked polymers of acrylic acid. Longer-acting than hypromellose.			
Other drugs for tear deficiency, and ocular lubricants	Include macrogols (polyethylene glycols), polyvinyl alcohol, carmellose, hydroxyethylcellulose, soft or liquid paraffin, soybean oil, sodium hyaluronate solution, and sodium chloride solution.			
Other Drugs				
Cenegermin	Recombinant form of human nerve growth factor. Used topically in moderate-severe neurotrophic keratitis (specialist use).			

ARMD, Age-related macular degeneration; NSAID, nonsteroidal antiinflammatory drug; PG, prostaglandin; VEGF, vascular endothelial growth factor.

# **Drugs for Infections**

#### **Chapter Outline**

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Antimicrobial drugs are chemical substances of natural or synthetic origin that suppress the growth of, or destroy, microorganisms including bacteria, fungi, helminths, protozoa and viruses. The term *antibiotic* is widely used but should be reserved for those antimicrobial drugs that are themselves derived from microorganisms. The term *antimicrobial* or the more specific terms *antibacterial*, *antifungal*, *antihelminthic*, *antiprotozoal* and *antiviral* are used in this book.

Effective antimicrobial drugs have certain key attributes. To minimise unwanted effects in humans, most are designed to act selectively on processes that are distinctive or unique to the target pathogen. They must also be able to penetrate human tissues to reach the site of infection. Microorganisms can acquire resistance to various antimicrobial drugs and will then be less affected by them, so there is a continuing effort to discover and develop antimicrobial drugs that avoid or overcome the evolving mechanisms of resistance.

#### **BACTERIAL INFECTIONS**

#### **CLASSIFICATION OF ANTIBACTERIAL DRUGS**

Antibacterial drugs can be classified in several overlapping ways.

Firstly, they can be *bacteriostatic* or *bactericidal*. This categorisation depends largely on the concentration of drug that can be achieved safely in plasma without causing significant toxicity in the person who takes the drug. Bacteriostatic antibacterials inhibit bacterial

growth but do not destroy the bacteria at plasma concentrations that are safe for humans; inhibition of bacterial growth instead allows natural immune mechanisms to eliminate the bacteria. Such drugs will be less effective in immunocompromised individuals or when the bacteria are dormant and not dividing. In contrast, bactericidal antibacterials kill bacteria at plasma concentrations safe for humans, but immune mechanisms still play a role in the final elimination of the bacteria. Some bactericidal drugs are more effective when bacterial cells are actively dividing and may therefore be less effective if taken together with a bacteriostatic drug. For antibacterials to be bactericidal, they must be present at adequate concentration; too low a concentration may render them only bacteriostatic.

Secondly, antibacterials can be grouped according to their *mechanisms of action* (Fig. 51.1):

- inhibition of the synthesis of bacterial cell wall peptidoglycans or activation of enzymes that disrupt the cell wall (e.g. β-lactams).
- increased permeability of the bacterial cell phospholipid membrane, leading to leakage of intracellular contents (e.g. polymyxins).
- impaired bacterial ribosome function, producing a reversible inhibition of protein synthesis (e.g. aminoglycosides, macrolides). Such drugs can show selectivity because bacterial 70S ribosomes differ structurally from the 80S ribosomes in humans.
- selective block of bacterial metabolic pathways (e.g. trimethoprim).



Fig. 51.1 Mechanisms of action of the main classes of antibacterial drugs. See text for mechanisms of antibacterial drugs used in tuberculosis.

• interference with replication of bacterial DNA or RNA (e.g. quinolones).

Thirdly, antibacterials may be classified according to whether their *spectrum of activity* against bacteria is limited (narrow-spectrum drugs) or extensive (broadspectrum drugs).

Finally, antibacterials can be classified by *chemical structure*. In the following text, the antimicrobial drugs are grouped according to their mechanism of action and then by their chemical structure. Cross-referencing to other methods of classification may be necessary.

#### ANTIMICROBIAL RESISTANCE

Antimicrobial resistance is the ability of microbes to grow in the presence of a drug that would normally kill them or limit their growth. Resistance to antibacterial drugs can be intrinsic to the bacterium (innate resistance) or can be acquired by modification of its genetic structure (acquired resistance).

Resistance is a major problem in treating infections with bacteria and also for many protozoa (e.g. malaria) and viruses (e.g. human immunodeficiency virus (HIV)), but is less significant in fungal infections (unless the person is immunocompromised).

#### ANTIBACTERIAL DRUG RESISTANCE

There are four general processes by which a bacterium can acquire resistance to antibacterial drugs (Fig. 51.2):

 modification of the bacterium such that it produces enzymes that inactivate the drug; examples are β-lactamase enzymes, which inactivate some penicillins, and acetylating enzymes, which can inactivate aminoglycosides,

- modification of the bacterium so that penetration of the drug is reduced; an example is the absence of the membrane protein D2 porin in resistant *Pseudomonas aeruginosa*, which prevents penetration of the β-lactam antibacterial imipenem,
- acquisition of efflux pumps that remove the antibacterial drug from the cell faster than it can enter; an example is quinolone efflux pumps in *Staphylococcus aureus*,
- structural change in the target molecule for the antibacterial drug; examples are mutated penicillinbinding proteins in resistant enterococci that have a low affinity for binding of cephalosporins and mutated dihydrofolate reductase that is not inhibited by trimethoprim.

The major mechanisms by which bacteria develop an acquired resistance to antibacterial drugs are spontaneous mutation, conjugation, transduction and transformation.

#### **Spontaneous Mutation**

In this process, a single-step genetic mutation in a bacterial population leads to resistant organisms that selectively survive and grow while sensitive bacteria are killed by an antibacterial drug. This is termed *vertical evolution*.

The other three mechanisms involve acquisition from other resistant organisms of genetic material that confers resistance. This is termed *horizontal evolution*.

#### Conjugation

Direct cell-to-cell contact is a way of exchanging genetic material that confers antibacterial resistance. It usually involves the transfer of self-replicating circular fragments of DNA (plasmids), which can contain multiple





resistance genes. A transposon (a DNA sequence that can change its relative position within the genome) may facilitate transfer of sections of DNA from one organism to another by jumping to plasmid DNA. Transfer of the plasmid occurs via a connecting structure called a *pilus*. The plasmid can remain outside the genome of the bacterium or can be incorporated into it, when it is more stable but less transmissible. Conjugation is by far the most important source of extrinsic DNA transfer between bacteria.

#### Transduction

Bacteria are susceptible to infection by viruses known as *bacteriophages*. During replication of the bacteriophages, the host bacterial cell's DNA (containing resistance genes) may be replicated along with bacteriophage DNA and taken into the virus. The phage carrying the resistance genes can then infect other bacterial cells and spread resistance. This method of acquired resistance is rare.

#### **Transformation**

Uptake of DNA from dead bacteria by live bacteria can spread resistance genes.

#### ANTIBACTERIAL DRUGS

The antibacterial drugs in this section are grouped by their mechanism of action and then by their chemical structure.

# Drugs Affecting the Cell Wall: $\beta$ -Lactam Antibacterials

The drugs in this class all have a  $\beta$ -lactam ring, which must be intact for them to be active (Fig. 51.3). The  $\beta$ -lactam antibacterials include penicillins, cephalosporins, monobactams and carbapenems. Some are susceptible to attack by bacterial  $\beta$ -lactamases, also known as penicillinases, that split the  $\beta$ -lactam ring, but others have structural modifications that confer resistance to  $\beta$ -lactamase inactivation.

#### Mechanism of action of $\beta$ -lactam antibacterials

Beta-lactam antibacterials bind to several penicillinbinding proteins in bacteria. Some of these proteins are transpeptidases, which are required for cross-linking of the peptidoglycan layer of the cell wall surrounding certain bacteria and are essential for their survival. Inhibition of transpeptidase activity by  $\beta$ -lactam antibacterials prevents the bacterium from synthesising an intact cell wall when it divides. The transmembrane osmotic gradient then leads to swelling, rupture and death of the bacterium.

Some bacterial cells also contain enzymes that cause cell lysis when activated. The binding of  $\beta$ -lactam antibacterials to other specific penicillin-binding proteins within these bacteria reduces the production of natural inhibitors of lysis-inducing enzymes, promoting lysis of the bacterial cell wall.

#### Penicillins

#### **Examples**

penicillins: benzylpenicillin, phenoxymethylpenicillin aminopenicillins: amoxicillin, ampicillin, flucloxacillin ureidopenicillins: piperacillin amidinopenicillin: pivmecillinam carboxypenicillin: ticarcillin

**Spectrum of activity.** Penicillins consist of a thiazolidine ring connected to a  $\beta$ -lactam ring, to which is attached a side chain that determines many of the





Fig. 51.3 The structural backbones of some  $\beta$ -lactam antibacterial drugs. The  $\beta$ -lactamase inhibitor clavulanic acid is also shown.

antibacterial and pharmacological characteristics of particular penicillins (see Fig. 51.3 and Table 51.1).

Benzylpenicillin (penicillin G) and phenoxymethylpenicillin (penicillin V) are active against many aerobic Gram-positive bacteria, a more limited range of Gram-negative bacteria (e.g. cocci – gonococci and meningococci) and many anaerobic microorganisms. Gram-negative bacilli are not sensitive to these drugs. Benzylpenicillin and phenoxymethylpenicillin are effective only against organisms that do not produce  $\beta$ -lactamases (see later).

Flucloxacillin has an acyl side chain attached to the  $\beta$ -lactam ring, which prevents access of  $\beta$ -lactamase to the ring and makes the drug resistant to inactivation by the enzyme. Flucloxacillin is generally less effective

than benzylpenicillin against bacteria that do not produce  $\beta$ -lactamase and is usually reserved for treating  $\beta$ -lactamase-producing staphylococci.

Ampicillin and amoxicillin are aminopenicillins that have an identical spectrum of activity, which is extended to include many Gram-negative bacilli. However, they are less effective than benzylpenicillin against Gram-positive cocci. Both drugs are inactivated by  $\beta$ -lactamase. Amoxicillin is better absorbed from the gastrointestinal tract than ampicillin, and generally preferred in clinical practice.

Other extended-spectrum penicillins include ureidopenicillins (e.g. piperacillin), which are active against *P.aeruginosa*, and amidinopenicillins (e.g. pivmecillinam), which are active mainly against Gram-negative bacteria. Carboxypenicillins (e.g. ticarcillin) are not widely used but have activity against *Pseudomonas* species, *Proteus* species and *Bacteroides fragilis*.

Clavulanic acid is a potent inhibitor of several  $\beta$ -lactamases. It is structurally related to the  $\beta$ -lactam antibiotics, although it has little intrinsic antibacterial activity (see Fig. 51.3). It is available in combined formulations with penicillins that are destroyed by  $\beta$ -lactamase, such as amoxicillin (as co-amoxiclav) or ticarcillin (see Table 51.1); the combination drugs can be used to treat infections caused by some  $\beta$ -lactamase-producing organisms that would otherwise be resistant to the antibacterial. Tazobactam has similar properties to clavulanic acid and is used in combination with piperacillin.

Resistance. Resistance to penicillins is most often due to the production of  $\beta$ -lactamases that hydrolyse the  $\beta$ -lactam ring (see Fig. 51.3). There are hundreds of  $\beta$ -lactamases, many of which have structures that are closely related to penicillin-binding proteins, but some are structurally different metalloenzymes. The  $\beta$ -lactamases produced by various organisms have widely differing spectra of activity. Some bacteria, particularly *S. aureus*, release extracellular  $\beta$ -lactamases. In Gram-negative bacteria the  $\beta$ -lactamases are located between the inner and outer cell membranes in the periplasmic space. Extended-spectrum  $\beta$ -lactamases (ESBLs) are β-lactamases that also hydrolyse extendedspectrum 'third-generation' cephalosporins, such as cefotaxime and ceftriaxone, and monobactams such as aztreonam (see later). ESBLs are most often produced by enterobacteria. The genetic information for β-lactamase production is often encoded in a plasmid, and this may be transferred to other bacteria by conjugation. By contrast, the broader-spectrum β-lactamases are often encoded by chromosomal genes.

An alternative type of penicillin resistance occurs in gonococci and in meticillin-resistant *S. aureus* (MRSA), which develop mutated penicillin-binding proteins that do not bind  $\beta$ -lactam antibacterials. Meticillin has now been discontinued as an antimicrobial but the name MRSA is still used.

	GRAM-POSITIVE STAINING		GRAM-NEGATIVE STAINING			
		STAPHYLOCO	CCUS AUREUS			
	STREPTOCOCCI	β-LACTAMASE NEGATIVE	β-lactamase Positive	ENTEROBACTERIACEAE (COLIFORMS)	PSEUDOMONAS AERUGINOSA	BACTEROIDES FRAGILIS
Benzylpenicillin/ phenoxymethylpenicillin	+	+	0	0	0	++
Broader Spectrum						
Amoxicillin/ampicillin	+	+	Oa	++	0	0
Beta-Lactamase-Resistant	t					
Flucloxacillin	+	+	+ <sup>b</sup>	0	0	0
Antipseudomonal						
Ticarcillin	+	+	Oc	+	+	+/0
Piperacillin	+	+	Oc	+	+	+/0

#### Table 51.1 Examples of Penicillins and Their Properties.

<sup>a</sup>Can be used combined with a  $\beta$ -lactamase inhibitor, e.g. amoxicillin plus clavulanic acid (co-amoxiclav).

<sup>b</sup>Resistance is increasing.

Ticarcillin available only with clavulanic acid. Piperacillin is combined with the  $\beta$ -lactamase inhibitor tazobactam.

+, Active; +/0, variable activity; 0, inactive or poor activity.

**Pharmacokinetics.** Only about one-third of an oral dose of benzylpenicillin (penicillin G) is absorbed; the rest is destroyed by acid in the stomach, so benzylpenicillin is restricted to intramuscular or intravenous administration. The phenoxymethyl derivative (penicillin V) is more acid-stable and better absorbed from the gut; it has a similar spectrum of activity to benzylpenicillin but is generally less active. Flucloxacillin and amoxicillin are rapidly and almost completely absorbed from the gut, but ampicillin is incompletely absorbed. These drugs can also be given intramuscularly or intravenously. Penicillins are widely distributed through the body, although transport across the meninges is poor unless they are acutely inflamed (e.g. in meningitis). The half-lives of most penicillins are short (typically 1–2 hours) because they are rapidly eliminated by the kidneys, mainly by active secretion at the proximal tubule. The amidinopenicillin pivmecillinam is a prodrug for oral use, which is hydrolysed after absorption to mecillinam. The carboxypenicillin ticarcillin is available only in combination with clavulanic acid, which inhibits  $\beta$ -lactamase, for intravenous use. The ureidopenicillin piperacillin is given intravenously in combination with the  $\beta$ -lactamase inhibitor tazobactam.

**Unwanted effects.** Penicillins are normally well tolerated and have a high therapeutic index.

- Nausea, vomiting.
- Allergic reactions in 1% to 10% of exposed individuals. Penicillins and their breakdown products can act as haptens, meaning that when they bind with larger molecules (cell-bound or serum proteins), they can elicit an immune response. This may involve the production of antibodies or activation of T-cells (see Chapters 38 and 53). Manifestations of antibodymediated allergy to penicillins include urticaria, wheeze and anaphylaxis (IgE-mediated reactions);

and vasculitis and serum sickness (immune complex-mediated reactions). T-cell-mediated penicillin allergy is responsible for the common nonspecific maculopapular exanthems, and the rare but serious Stevens–Johnson syndrome and drug reaction with eosinophilia and systemic symptoms (DRESS). Cross-allergenicity is widespread among the various penicillins. Cross-allergenicity with cephalosporins is less than 2%; with carbapenems it is less than 1%; and there is no cross-allergenicity with monobactams.

- Aminopenicillins (e.g. amoxicillin) frequently produce a nonallergic maculopapular rash in people with glandular fever. This does not recur if another type of penicillin is given.
- Reversible neutropenia and eosinophilia with prolonged high doses.
- Encephalopathy with excessively high concentrations of penicillin in the cerebrospinal fluid (CSF). This occurs in severe renal failure or after inadvertent intrathecal injection (which should never be given).
- Diarrhoea or *Clostridioides difficile*-related colitis, as a result of disturbance of normal colonic flora, especially with broad-spectrum penicillins.
- Cholestatic jaundice, especially with flucloxacillin or clavulanic acid.

#### Cephalosporins

#### Examples

first generation: cefadroxil, cefalexin second generation: cefaclor, cefuroxime third generation: cefotaxime, cefixime, ceftazidime, ceftriaxone fourth generation: cefepime fifth generation: ceftaroline fiosamil

Spectrum of activity. Like penicillins, cephalosporins have a  $\beta$ -lactam group, but this is fused to a

dihydrothiazine ring, which makes cephalosporins more resistant to hydrolysis by  $\beta$ -lactamases (see Fig. 51.3). Cephalosporins are often classified by generations, the members within each generation sharing similar antibacterial activity. Successive generations tend to have increased activity against Gram-negative bacilli. As a rule of thumb, in the progression from the first to third generations, this came at the expense of some Gram-positive activity. Progressing from third to fifth generations, Gram-positive activity was progressively reinstated (Table 51.2).

- First-generation cephalosporins (e.g. cefadroxil or cefalexin) have activity against staphylococci and most streptococci but not enterococci.
- Second-generation cephalosporins (e.g. cefuroxime) have additional activity against some Gramnegative bacteria, such as *Haemophilus influenzae* and *Neisseria gonorrhoeae*. They are able to penetrate the blood-brain barrier, though the concentrations achieved at standard doses may not be high enough for a reliable therapeutic effect.
- Third-generation cephalosporins have improved β-lactamase stability and are able to penetrate the CSF in useful quantities. They also have greater Gramnegative activity than the other two generations, including against Enterobacteriaceae (e.g. *Escherichia coli, Proteus* species, some strains of *Klebsiella* and *Enterobacter), H. influenzae* and *Neisseria meningitides*. Ceftazidime has good activity against *Pseudomonas* species, whereas cefotaxime and ceftriaxone do not. Although most *Streptococcus pneumoniae* strains are sensitive to cefotaxime and ceftriaxone, as a class, the third-generation cephalosporins generally have less activity against Gram-positive organisms than other generations of cephalosporins.
- Fourth-generation cephalosporins are active against both Gram-negative and Gram-positive bacteria, including sensitive strains of *S. aureus*, but not MRSA. Cefepime has activity against strains of *Enterobacter* that are resistant to third-generation cephalosporins. Its activity against *Pseudomonas* species is similar to that of ceftazidime.
- Fifth-generation cephalosporins are active against both Gram-negative and Gram-positive bacteria. Ceftaroline has a similar spectrum to that of ceftriaxone, but with enhanced activity against Grampositive bacteria including MRSA. Like ceftriaxone, its activity against pseudomonas is limited. It does not cross the blood-brain barrier to a useful extent.

**Resistance.** The later generations of cephalosporins are more resistant to  $\beta$ -lactamase hydrolysis of the  $\beta$ -lactam ring than the earlier generations (see Table 51.2). However, ESBLs can be acquired by *E. coli* and other enterobacteria, which confers resistance to third-generation cephalosporins.

**Pharmacokinetics.** First-generation oral cephalosporins are well absorbed from the gut. Several secondto fifth-generation drugs (e.g. cefuroxime, cefotaxime, cefepime) are acid-labile and must be given by a parenteral route. Cefuroxime has been formulated as a prodrug for oral use (cefuroxime axetil). Most cephalosporins are excreted primarily by the kidneys and have short half-lives (<3 hours), but cefixime is mainly eliminated by biliary excretion. Ceftriaxone has a longer half-life (6–9 hours), probably as a result of extensive plasma protein binding.

#### **Unwanted effects**

- Nausea, vomiting, abdominal discomfort.
- Headache.
- Rashes, including erythema multiforme and toxic epidermal necrolysis.
- Cephalosporins can produce allergic reactions similar to those observed with the penicillins. Fewer than 2% of people who are allergic to penicillins show cross-allergy to cephalosporins, but a history of an IgE-mediated reaction to penicillin (e.g. anaphylaxis, wheeze, urticaria) generally precludes the use of cephalosporins.
- Diarrhoea or *C. difficile*-related colitis can be caused by disturbance of normal bowel flora. This is more common with oral cephalosporins and is more frequent than with many other antimicrobials.

#### Monobactams

Example aztreonam

Aztreonam is a  $\beta$ -lactam antibacterial related to the penicillins but with a single ring structure ('monocyclic  $\beta$ -lactam') (see Fig. 51.3). It has no cross-allergenicity with the penicillins and has been successfully given to people with proven penicillin allergy. Its spectrum of activity is limited to Gramnegative bacteria, including P. aeruginosa, Neisseria meningitidis, N. gonorrhoeae and H. influenzae, with no activity against Gram-positive bacteria or anaerobes. Aztreonam is given intramuscularly or intravenously and is resistant to most  $\beta$ -lactamases. However, ESBLs that confer resistance to aztreonam can be acquired by E. coli and other enterobacteria. Aztreonam is excreted by the kidneys and has a half-life of about 1.7 hours. Unwanted effects are similar to those of other  $\beta$ -lactam antibacterials.

#### Carbapenems

**Examples** 

#### ertapenem, imipenem, meropenem

Imipenem is a  $\beta$ -lactam drug with an extremely broad spectrum of bactericidal activity. It has potent activity against Gram-positive cocci, including some  $\beta$ -lactamase-producing pneumococci (see Table 51.2), Gram-negative bacilli – including *P. aeruginosa*, *Neisseria suppurans* and *Bacteroides* species – and also many

IAUIE 31.2 EXAILIPIES UI D-LACI			I opecita ul Activity.				
	STAPHYLOCOCCUS Aureus	HAEMOPHILUS INFLUENZAE	ENTEROBACTERIACEAE (Coliforms)	PSEUDOMONAS AERUGINOSA	BACTEROIDES FRAGILIS	ABILITY TO CROSS Blood-brain barrier	RESISTANCE TO β-LACTAMASE
Cephalosporins							
First Generation							
Cefadroxil/cefradine (oral)	+	0	0/+	0	0	0/+	+
Cefalexin (oral)	+	0	0/+	0	0	0/+	+
Second Generation							
Cefuroxime axetil (oral)	+	+	+	0	+	+	+
Cefuroxime (parenteral)	+	+	+	0	+	+	+
Third Generation							
Cefixime (oral)	0	+	+	0	0	+	+
Cefotaxime (parenteral)	+	+	+	0	+	+	+
Ceftazidime (parenteral)	0	0	+	+	0	+/0 <sup>a</sup>	+
Fourth Generation							
Cefepime (parenteral)	+	+	+	+	0	+	+
Fifth Generation							
Ceftaroline fosamil (parenteral)	+	+	+	0	0	0	+
Monobactams							
Aztreonam	0	+	0	+	+	+	0/+
Carbapenems							
Imipenem	+	+	+	+	+	+	+
Meropenem	+	+	+	+	+	+	+

Evamples of B-I actams Other Than Denicillins and Their Snectra of Activity c Tu

"Some cephalosporins penetrate better into the central nervous system in the presence of inflamed meninges. This table is a general guide to selected drugs and susceptibilities of organisms can vary widely. Staphylococcus aureus is a Gram-positive-staining organism. Other illustrative bacteria are Gram-negative-staining.

anaerobic bacteria. Imipenem can penetrate the bloodbrain barrier and is resistant to  $\beta$ -lactamases. Narrowspectrum resistance to imipenem in *P. aeruginosa* occurs from a mutation that results in loss of a specific cell membrane uptake pathway.

Meropenem and ertapenem are structurally related and have broad spectra of activity against Grampositive and Gram-negative bacteria, but ertapenem is inactive against *Pseudomonas* species. Imipenem, meropenem and ertapenem are given intravenously; imipenem can also be given by deep intramuscular injection. Imipenem is rapidly hydrolysed by dihydropeptidases in the kidneys so is always given in combination with the dihydropeptidase inhibitor cilastatin. Meropenem and ertapenem are not inactivated by the renal dihydropeptidase and can be given alone. Meropenem is also available in a coformulation with the novel  $\beta$ -lactamase inhibitor vaborbactam, which improves its activity against carbapenemaseproducing *Enterobacteriaceae*.

Carbapenems are mainly excreted by the kidney and have short half-lives (1–5 hours). Unwanted effects are similar to those of other  $\beta$ -lactam antibacterials except for neurotoxicity with seizures, which is more common with imipenem than with other carbapenems.

#### Other Drugs Affecting the Cell Wall Glycopeptides

#### Examples

teicoplanin, vancomycin, dalbavancin

**Mechanism of action.** Vancomycin and teicoplanin are high-molecular-weight glycopeptide compounds that inhibit bacterial cell wall synthesis by preventing the linking of peptidoglycan constituents (see Fig. 51.1). Glycopeptides are bactericidal. Dalbavancin is a second generation semisynthetic lipoglycopeptide that has the same mechanism of action as vancomycin and teicoplanin, but a much longer half-life. It is used in acute bacterial skin and skin structure infections, where single-dose treatment is desired (e.g. in people who need parenteral treatment, but do not otherwise need to be in hospital).

**Spectrum of activity.** The glycopeptides are active only against Gram-positive bacteria, particularly meticillinresistant staphylococci. They do not penetrate the cell wall of Gram-negative bacteria. They are usually reserved for the treatment of serious Gram-positive bacterial infection or for bacterial endocarditis that is not responding to other treatments. The glycopeptides are not absorbed from the gastrointestinal tract so need to be given parenterally (usually intravenously) for these indications. However, vancomycin can be given orally for treatment of *C. difficile* colitis, and it is more effective than metronidazole (see later) for this indication.

**Resistance.** Acquired resistance to the glycopeptides is uncommon, but increasing. In *S. aureus* it arises as a

result of a multistep genetic acquisition of a thickened peptidoglycan cell wall. This traps the drug and prevents it reaching its target on the cytoplasmic membrane. For other bacteria, plasmid-mediated resistance involves incorporation of D-lactate into the cell wall in place of D-alanine. This modification prevents binding of the glycopeptide.

**Pharmacokinetics.** The glycopeptides are poorly absorbed orally and are given by intravenous infusion for systemic infection. Teicoplanin can also be given by intramuscular injection. Oral vancomycin is used only for treating *C. difficile*-related colitis. Vancomycin and teicoplanin are mainly excreted by the kidneys. Vancomycin has a half-life of 5 to 11 hours and needs to be administered every 8 to 12 hours, or by continuous infusion. Teicoplanin has a longer half-life (32–176 hours) and can be given once daily after an initial loading dose. Dalbavancin has dual routes of elimination, via the kidneys and gastrointestinal tract. It has a very long terminal half-life of approximately 2 weeks, making it suitable for administration as a single large dose, or two doses a week apart.

**Unwanted effects.** Dose adjustment based on monitoring of the trough plasma concentrations of vancomycin and teicoplanin may reduce the risk of toxic effects.

- Nephrotoxicity, which may be enhanced if used in combination with an aminoglycoside.
- Thrombophlebitis at the site of infusion.
- Rashes, including Stevens–Johnson syndrome and toxic epidermal necrolysis. Rapid intravenous injection or infusion of vancomycin may produce upper body flushing, the 'red man' syndrome.
- Blood disorders, including neutropenia, thrombocytopenia.
- Ototoxicity is uncommon. It usually starts with tinnitus.
- Nausea and diarrhoea.

Dalbavancin is generally well tolerated and no plasma concentration monitoring is required. The most common unwanted effects are mild or moderate nausea, diarrhoea and headache. Nephrotoxicity and ototoxicity have not been observed.

#### Daptomycin

**Mechanism of action.** Daptomycin is a cyclic lipopeptide antibacterial with a unique mode of action. It binds to the cell wall of Gram-positive bacteria and creates transmembrane channels that allow leakage of intracellular ions, destroying the membrane potential across the cell.

**Spectrum of activity.** Daptomycin does not penetrate the membrane of Gram-negative bacteria. It is bactericidal against a similar spectrum of organisms as vancomycin and is used to treat complicated skin and soft tissue infections.

**Resistance.** Resistance may occur when the bacterial membrane structure changes to prevent binding of the drug.

**Pharmacokinetics.** Daptomycin is given intravenously and eliminated unchanged by the kidneys, with a half-life of about 8 hours.

#### **Unwanted effects**

- Gastrointestinal upset.
- Injection-site reactions.

#### Polymyxins



**Mechanism of action.** Polymyxins bind to the cell wall of susceptible bacteria and alter the permeability of the outer and inner membranes to K<sup>+</sup> and Na<sup>+</sup> ions. The cell's osmotic barrier is lost and the bacteria are killed by lysis (see Fig. 51.1).

**Spectrum of activity.** Polymyxins have bactericidal action against Gram-negative bacteria, including *Pseudomonas* species, but are inactive against Grampositive bacteria.

**Resistance.** Acquired resistance is rare.

**Pharmacokinetics.** Colistimethate sodium is very poorly absorbed from the gut and is usually given by inhalation or topically to the skin. Penetration into joint spaces and the CSF is poor. It is excreted unchanged by the kidneys and has a half-life of 4 to 8 hours.

**Unwanted effects.** Substantial toxicity limits the systemic administration of polymyxins.

- Nephrotoxicity with dose-related reversible renal impairment.
- Neurotoxicity produces dizziness, confusion, and circumoral and peripheral paraesthesias. Rarely, neuromuscular blockade produces respiratory paralysis with apnoea.
- Bronchospasm or sore throat after inhalation.
- Local irritation at the injection site.

#### **Drugs Affecting Bacterial Nucleic Acids** *Quinolones (fluoroquinolones)*

#### Examples

ciprofloxacin, moxifloxacin, levofloxacin, delafloxacin

**Mechanism of action.** Quinolones inhibit replication of bacterial DNA by blocking the ligase domain of bacterial DNA gyrase (topoisomerase II); some also inhibit topoisomerase IV. These enzymes relax DNA supercoils and enable DNA replication and repair (see Fig. 51.1). The effect of quinolones is bactericidal.

**Spectrum of activity.** Ciprofloxacin has a broad spectrum of activity and is active against many microorganisms resistant to penicillins, cephalosporins and aminoglycosides. Its spectrum includes Gram-positive bacteria, but it has only moderate activity against *S. pneumoniae* and *Enterococcus faecalis*. It is active against most Gramnegative bacteria, including *H. influenzae*, *P. aeruginosa*, *N. gonorrhoeae* and *Enterobacter* and *Campylobacter* 

species. Its spectrum extends to chlamydia and some mycobacteria but not anaerobes.

Moxifloxacin and levofloxacin have broad spectra of activity against Gram-positive and Gramnegative bacteria but are inactive against *P. aeruginosa*. However, they are both active against bacteria commonly responsible for respiratory infections, including *S. pneumoniae* and *H. influenzae*. Delafloxacin is unusual among the quinolones in being active against MRSA. It is indicated in acute bacterial skin and skin structure infections.

**Resistance.** Resistance to quinolones is relatively uncommon but can arise from mutations that alter key sites in DNA topoisomerase II or IV and reduce quinolone binding to their target enzymes, or that produce alterations in proteins that bind to topoisomerase II and protect it from the action of quinolones. Increased active efflux of the drugs from the cell can also occur (see Fig. 51.2).

**Pharmacokinetics.** Oral absorption of ciprofloxacin is variable but adequate. Ciprofloxacin is widely distributed but CSF penetration is poor unless there is meningeal inflammation. Ciprofloxacin is eliminated mainly by the kidney and has a half-life of 4 to 7 hours. Moxifloxacin is well absorbed from the gut; it is metabolised in the liver by glucuronidation and has a longer half-life (12 hours). Intravenous formulations of some quinolones are available, including ciprofloxacin and moxifloxacin. Delafloxacin and levofloxacin are eliminated mainly by the kidneys as unchanged drug or a glucuronide metabolite.

#### **Unwanted effects**

- Nausea, vomiting, abdominal pain, diarrhoea.
- CNS effects: dizziness, headache, tremor, seizures (especially in persons with a history of epilepsy). Delafloxacin appears less likely to cause unwanted CNS effects.
- Ear pain, tinnitus.
- Rashes.
- Pain and inflammation in tendons (particularly the Achilles tendon), occasionally with tendon rupture. The risk of this is increased in older people (over 60 years), those with renal impairment, and recipients of solid organ transplants.
- Moxifloxacin prolongs the Q–T interval on the electrocardiogram (ECG) and predisposes to ventricular arrhythmias. The risk is greater if it is used in combination with other proarrhythmic drugs (see Chapter 8).
- Drug interactions: inhibition of hepatic cytochrome P450 by ciprofloxacin increases the plasma concentrations of theophylline (see Chapter 12), warfarin (see Chapter 11) and ciclosporin (see Chapter 38), which can produce toxicity. Moxifloxacin, levofloxacin and delafloxacin are not metabolised by cytochrome P450 and do not cause these interactions. The absorption of quinolones from the gut is decreased by oral iron salts.

#### Metronidazole and tinidazole

**Mechanism of action.** Metronidazole and tinidazole are nitroimidazoles; they are bactericidal only after they have been converted to an intermediate transient toxic metabolite, which inhibits bacterial DNA synthesis and degrades existing DNA. Only some anaerobes and some protozoa contain the oxidoreductase enzyme which converts these drugs to their toxic derivatives; they are not produced in human cells or in aerobic bacteria. These drugs are equally active against dividing and non-dividing cells.

**Spectrum of activity.** Metronidazole and tinidazole are active mainly against anaerobic bacteria and protozoa, including *B. fragilis, Clostridium* species, *Gardnerella vaginalis* and *Giardia lamblia*. Metronidazole can be used in the treatment of *C. difficile*-related colitis. However, oral vancomycin (and fidaxomicin; see later) is more effective, and increasingly preferred in severe cases. Metronidazole or tinidazole are important constituents of the triple or quadruple therapy used for eliminating *Helicobacter pylori* (see Chapter 33). They are also amoebicidal, with activity against *Entamoeba histolytica*.

**Resistance.** Acquired resistance is becoming more common. For example, in some countries a significant percentage of strains of *H. pylori* are resistant to metronidazole, as are increasingly prevalent strains of *C. difficile*. Resistance results most commonly from reduced uptake of the drug and the development of altered oxidoreductases that convert metronidazole less efficiently to its active product. Resistance to tinidazole is less common.

**Pharmacokinetics.** Metronidazole is well absorbed orally and can also be given intravenously or by rectal suppositories. Metronidazole penetrates well into body fluids, including vaginal and pleural fluids and the CSF, and it can cross the placenta. Tinidazole is given orally and distributes widely into tissues including the brain. Metronidazole and tinidazole are eliminated mainly by metabolism in the liver and have half-lives of 6 to 9 hours and 12 to 14 hours, respectively.

#### Unwanted effects

- Nausea, vomiting, metallic taste, decreased appetite.
- Intolerance to alcohol can occur by a mechanism similar to the disulfiram reaction (see Chapter 54).
- Rashes.

#### Nitrofurantoin

**Mechanism of action.** Nitrofurantoin is activated inside bacteria by reduction via the flavoprotein nitrofurantoin reductase to unstable metabolites, which disrupt ribosomal RNA, DNA and other intracellular components. It is bactericidal, especially to bacteria present in acid urine.

**Spectrum of activity.** Nitrofurantoin is active against most Gram-positive cocci and *E. coli. Pseudomonas* species are naturally resistant, as are many *Proteus* species.

Its use is confined to infections of the lower urinary tract.

**Resistance.** Chromosomal resistance occurs but is uncommon and due to reduced nitrofurantoin reductase activity.

**Pharmacokinetics.** Nitrofurantoin is well absorbed from the gut. Its half-life in plasma is very short (<1 hour) and therapeutic plasma concentrations are not achieved. It is excreted largely unchanged in the urine, giving urinary concentrations high enough to treat lower urinary tract infections, but the low tissue concentrations are inadequate for the treatment of acute pyelonephritis.

#### Unwanted effects

- Gastrointestinal upset is common, including anorexia, nausea and vomiting.
- Pulmonary toxicity with long-term use produces acute allergic pneumonitis or chronic interstitial fibrosis.
- Hepatotoxicity (rare).
- Peripheral neuropathy.

#### Macrocyclic antibiotic

#### Example

fidaxomicin

**Mechanism of action and use.** Fidaxomicin is a macrocyclic antibiotic, sharing some structural similarities with macrolide antibiotics (see later), but with a distinct mechanism of action. Fidaxomicin binds with the DNA template–RNA polymerase (RNAP) complex prior to transcription and acts as an RNA polymerase inhibitor. It is bactericidal.

Fidaxomicin is indicated for the treatment of *C. difficile* infection, in which its effectiveness is similar to that of vancomycin and superior to metronidazole.

**Spectrum of activity.** Fidaxomicin has a narrow spectrum of antimicrobial activity that is relatively specific for *C. difficile.* It has little activity against other bacteria that make up the normal colonic flora.

**Resistance.** *C. difficile* strains vary in their susceptibility to fidaxomicin in the presence of certain RNA polymerase mutations, but no transferable elements conferring resistance to fidaxomicin have been identified.

**Pharmacokinetics.** Fidaxomicin is administered orally and acts locally in the gastrointestinal tract. There is negligible systemic absorption.

**Unwanted effects.** Common unwanted effects are nausea, vomiting and constipation. It is otherwise generally well tolerated.

#### **Drugs Affecting Bacterial Protein Synthesis** *Macrolides*

Examples

azithromycin, clarithromycin, erythromycin

**Mechanism of action.** Macrolides interfere with bacterial protein synthesis by binding reversibly to the 50S subunit of the bacterial ribosome, where they inhibit peptidyl transferase activity and block translocation of the aminoacyl-transfer RNA (tRNA) from the A site to the P site, preventing elongation of the polypeptide chain. The action is primarily bacteriostatic (see Fig. 51.1).

**Spectrum of activity.** Erythromycin has a similar spectrum of activity to broad-spectrum penicillins and may be used to treat infections in people who are allergic to penicillin. It is effective against Gram-positive bacteria and gut anaerobes but has poor activity against *H. influenzae*. It is also used for infections caused by *Legionella*, *Mycoplasma*, *Chlamydia*, *Mycobacterium* and *Campylobacter* species and for *Bordetella pertussis*. Although erythromycin is primarily bacteriostatic, it is bactericidal at high concentrations for some Gram-positive species, such as group A streptococci and pneumococci.

Azithromycin has less activity than erythromycin against Gram-positive bacteria but enhanced activity against *H. influenzae*. Clarithromycin has slightly greater activity than erythromycin and is also used as part of the multidrug treatment of *H. pylori* (see Chapter 33).

**Resistance.** Bacteria become resistant to macrolides by protective methylation or mutation of their ribosomal targets, by activation of efflux pumps that export the drugs or by drug inactivation.

**Pharmacokinetics.** Erythromycin is destroyed at acid pH and is therefore given orally as an enteric-coated tablet or an acid-stable prodrug (erythromycin ethyl succinate); it can also be administered intravenously. Clarithromycin is acid-stable and well absorbed from the gut. Both erythromycin and clarithromycin are metabolised in the liver with short half-lives (1–3 hours). Azithromycin is poorly absorbed from the gut and can be given orally or intravenously. It is widely distributed and released slowly from the tissues and then excreted unchanged in the bile; it has a long half-life of about 2 days.

**Unwanted effects** 

- Epigastric discomfort, nausea, vomiting and diarrhoea are common with the oral preparation of erythromycin. Other macrolides are better tolerated.
- Rashes.
- Cholestatic jaundice with erythromycin, usually if treatment is continued for more than 2 weeks.
- Prolongation of the Q–T interval on the ECG, with a predisposition to ventricular arrhythmias (see Chapter 8).
- Drug interactions: erythromycin and clarithromycin inhibit P450 drug-metabolising enzymes (CYP3A4, CYP2D6) and can increase the plasma concentration of other drugs metabolised by these enzymes, including carbamazepine (see Chapter 23), ciclosporin (see Chapter 38) and simvastatin (see Chapter 48).

#### Aminoglycosides

Examples

gentamicin, amikacin, streptomycin, tobramycin

**Mechanism of action.** Aminoglycosides inhibit protein synthesis in bacteria by binding irreversibly to the 30S ribosomal subunit (see Fig. 51.1). This inhibits transfer of aminoacyl-tRNA to the peptidyl site, causing premature termination of the peptide chain; it also increases the frequency of misreading of mRNA. Aminoglycosides may also damage bacterial cell membranes, causing leakage of intracellular contents. They are bactericidal. Although aminoglycosides share common properties, they have some important differences that can be exploited in particular clinical circumstances.

**Spectrum of activity.** Aminoglycosides are active against many Gram-negative bacteria (including Pseudomonas species) and some Gram-positive bacteria. They are inactive against anaerobes, which are unable to take up the drugs. Aminoglycosides are particularly useful for serious Gram-negative infections, when they have a synergistic action with drugs that disrupt cellwall synthesis (e.g. penicillins). Gentamicin is the most widely used aminoglycoside. Tobramycin usually has greater activity against P. aeruginosa, but less against Serratia species and Enterococcus faecium. Amikacin has greater activity against Enterobacteriaceae and, depending on local resistance patterns, may be preferred for empirical treatment in some geographical areas. Amikacin, tobramycin and streptomycin are occasionally used in the treatment of resistant Mycobacterium tuberculosis infections (see later).

**Resistance.** Resistance to aminoglycosides is transferred by plasmids and is an increasing problem. It can occur by several mechanisms, the most frequent being the production of transferase enzymes that acetylate, phosphorylate or adenylate aminoglycosides in the bacterial periplasmic space, with poor uptake of the modified drug (see Fig. 51.2). Changes in lipopolysaccharide phenotype can also reduce drug penetration. Such resistance can be overcome by the coadministration of antibacterials that disrupt cellwall synthesis, such as penicillins. Changes in a number of bacterial ribosomal proteins can reduce drug binding and antibacterial effectiveness, particularly for streptomycin.

**Pharmacokinetics.** Aminoglycosides are poorly absorbed from the gut and are only given parenterally. They are rapidly excreted by the kidneys and have short half-lives (1–4 hours). They do not cross the blood–brain barrier but do cross the placenta. Blood concentrations of aminoglycosides should always be measured to guide dosing. With multiple daily doses, the peak plasma concentration (measured 1 hour after dosing) is important to ensure bactericidal efficacy, and the trough concentration (immediately before the next dose) should be low enough to minimise the risk

of toxic effects due to drug accumulation. Once-daily dosage regimens for aminoglycosides are increasingly used and are no more toxic than multiple daily dosages and are monitored using the trough plasma concentration.

Tobramycin is available in powder form for inhalation and in a preservative-free solution for administration by nebuliser for the management of bronchiectasis (including cystic fibrosis) if the respiratory tract is colonised by *P. aeruginosa*.

**Unwanted effects.** Most unwanted effects of aminoglycosides are dose-related and many are reversible; they are most closely related to high trough concentrations of the drug or to total drug exposure.

- Ototoxicity can lead to both vestibular and auditory dysfunction. Prolonged treatment or high plasma drug concentrations lead to accumulation of the aminoglycoside in the inner ear, resulting in disturbances of balance or deafness that are often irreversible. Mutations in the human gene encoding mitochondrial 12S ribosomal RNA predispose to ototoxicity. Older age, preexisting hearing problems, renal insufficiency and concurrent use of loop diuretics are also risk factors.
- Renal damage occurs through retention of aminoglycosides in the proximal tubular cells of the kidney. It is usually reversible and is manifest initially by a defect in the concentrating ability of the kidney, with mild proteinuria followed by a reduction in the glomerular filtration rate.
- Acute neuromuscular blockade can occur, usually if the aminoglycoside is used with anaesthetic drugs (see Chapter 17), and aminoglycosides can enhance the effects of other neuromuscular-blocking drugs (see Chapter 27). This action is the result of inhibition of prejunctional acetylcholine release and also reduced postsynaptic sensitivity. It is reversed by intravenous Ca<sup>2+</sup> salts.

#### **Tetracyclines**

#### Examples

doxycycline, minocycline, oxytetracycline

**Mechanism of action.** Tetracyclines enter bacteria mainly by an active uptake mechanism that is not found in human cells. They are bacteriostatic and inhibit protein synthesis by binding reversibly to the 30S subunit of bacterial ribosomes, inhibiting the binding of aminoacyl-tRNAs.

**Spectrum of activity.** Tetracyclines have a broad spectrum of activity against many Gram-positive and Gram-negative bacteria and in infections caused by rickettsiae, amoebae, *Chlamydia psittaci*, *Chlamydia trachomatis*, *Coxiella burnetii*, *Vibrio cholerae* and *Mycoplasma*, *Legionella* and *Brucella* species. They are useful in acne (see Chapter 49). Minocycline is active against *N. meningitidis*, unlike other tetracyclines. **Resistance**. Resistance is carried by plasmids. Mechanisms include increased export of the tetracycline drug from the bacterium, modification of the drug by bacterial enzymes or decreased binding of the drug to the bacterial 30S ribosomal subunit; this last may be due to changes in ribosomal RNA structure or to protection of the ribosomal binding site by bacterial proteins (see Fig. 51.2). Resistance to the tetracyclines develops slowly, but in the UK, it is now widespread among most Gram-positive and several Gram-negative bacteria. Microorganisms that have developed resistance to one tetracycline frequently display resistance to the others.

**Pharmacokinetics.** Tetracyclines are incompletely absorbed from the gut, particularly if taken with food. Absorption of oxytetracycline is further impaired by milk, antacids (see Chapter 33), iron and increased intestinal pH. Tetracyclines bind to divalent and trivalent cations, forming inactive chelates (see Chapter 56). The tetracyclines diffuse reasonably well into sputum, urine, and peritoneal and pleural fluid; they cross the placenta but penetrate the CSF poorly.

Tetracyclines are concentrated in the liver and are to some extent excreted via the bile into the small intestine, from where they are partially reabsorbed. Drug concentrations in the bile may be three to five times higher than in the plasma. Tetracyclines are mainly eliminated unchanged in the urine with the exception of doxycycline, which is largely eliminated in the bile. All of the tetracyclines have half-lives within the range of 8 to 22 hours.

#### Unwanted effects

- Nausea, vomiting, epigastric discomfort and diarrhoea.
- In children, tetracyclines produce permanent yellow-brown discoloration of growing teeth by chelating with Ca<sup>2+</sup>; they can also cause dental hypoplasia. Tetracyclines should be avoided during the latter half of pregnancy and in children during the first 12 years of life.
- Antianabolic effects can occur in human cells from inhibition of protein synthesis (not seen with doxycycline or minocycline). If there is preexisting impairment of renal function, it can lead to uraemia.
- Idiopathic intracranial hypertension, with headache and visual disturbances.

#### Tigecycline

**Mechanism of action.** Tigecycline is a glycylcycline with structural similarities to the tetracyclines and also binds to the 30S subunit of bacterial ribosomes to impair protein synthesis.

**Spectrum of activity.** Tigecycline has a broad spectrum of activity against Gram-positive and Gramnegative bacteria, including some anaerobes. It is used for complicated skin and soft tissue infections and for complicated abdominal infections caused by resistant bacteria. Tigecycline is active against MRSA, vancomycin-resistant enterococci, *Proteus* species and *P. aeruginosa*.

**Resistance.** Tigecycline is less susceptible than tetracyclines to bacterial resistance caused by ribosomal mutation and ribosomal protection proteins, but resistance occurs due to modification of the drug by bacterial enzymes and increased efflux pump activity.

**Pharmacokinetics.** Tigecycline is given intravenously and is well distributed in tissues; it is not known whether it crosses the blood–brain barrier. It is excreted largely unchanged in the bile and urine and has a very long half-life (about 27–42 hours).

**Unwanted effects.** The most common unwanted effects are similar to those of tetracyclines.

#### Chloramphenicol

**Mechanism of action.** Chloramphenicol inhibits protein synthesis in bacteria by binding irreversibly to the 50S subunit of bacterial ribosomes (see Fig. 51.1), where it blocks peptide chain elongation by inhibiting peptidyl transferase activity. The effect is mainly bacteriostatic but can be bactericidal in some bacteria.

**Spectrum of activity.** Chloramphenicol is a broadspectrum antibacterial, active against many Grampositive cocci (both aerobic and anaerobic) and Gramnegative bacteria. The sensitivities of all these bacteria are variable, but the drug has a bactericidal effect on *E. coli, Streptococcus pneumoniae, H. influenzae, N. meningitidis, B. pertussis, V. cholerae* and *Salmonella, Shigella* and *Bacteroides* species. It is bacteriostatic for some streptococci and staphylococci.

Because of its toxicity, chloramphenicol is reserved for life-threatening infections, particularly with *H. influenzae* or *Salmonella typhi*. It is also used topically to treat conjunctivitis (see Chapter 50).

**Resistance.** Resistance is transferred by plasmids and most commonly involves the production of acetyltransferases that acetylate the drug, preventing it from binding to the ribosome. The acetyltransferases are produced by many Gram-negative bacteria but can also be induced in Gram-positive bacteria. Resistant bacteria may also show reduced uptake of the drug or mutation of the 50S ribosomal subunit.

**Pharmacokinetics.** Chloramphenicol is well absorbed orally and can also be given intravenously. It is widely distributed, including into the CSF and the biliary tree; it crosses the placenta and is present in breast milk. Chloramphenicol is metabolised in the liver and has a half-life of 1.5 to 4 hours.

#### **Unwanted effects**

- The most important unwanted effect of systemic use is bone marrow toxicity. Reversible anaemia, thrombocytopenia or neutropenia can occur, particularly in those receiving high or prolonged dosing. Aplastic anaemia is rare but usually fatal.
- Peripheral neuritis, optic neuritis, headache.

- Rashes.
- Blurred vision and transient burning or stinging sensations when used as eye-drops.
- Premature infants and babies less than 2 weeks old have immature glucuronyl transferase and reduced drug elimination. Chloramphenicol can accumulate in neonates, causing the 'grey baby syndrome'. Initial symptoms include vomiting and cyanosis, followed by hypothermia, vasomotor collapse and an ashen grey discoloration of the skin. There is a high mortality.
- Drug interactions: chloramphenicol inhibits the cytochrome P450 isoforms CYP2C19 and CYP3A4, increasing the plasma concentrations of other drugs including warfarin and clopidogrel (see Chapter 11) and phenytoin (see Chapter 23).

#### Lincosamides

#### Example

#### clindamycin

**Mechanism of action.** Clindamycin acts on the 50S subunit of bacterial ribosomes to inhibit protein synthesis in a similar manner to the macrolide antibacterials.

**Spectrum of activity.** Clindamycin is active against Gram-positive cocci, including streptococci and penicillin-resistant staphylococci and also against many anaerobes, especially *B. fragilis*. It is used for staphylococcal bone infection such as osteomyelitis and sometimes for soft tissue infections.

**Resistance.** Resistance develops by modification of the 50S ribosomal binding site, increased drug efflux and drug modification. Cross-resistance may occur with macrolide antibacterials.

**Pharmacokinetics.** Clindamycin is well absorbed orally. It is widely distributed, including across the placenta. It appears in breast milk but not in the CSF. It is eliminated largely by hepatic metabolism and has a half-life of 2 to 3 hours.

#### Unwanted effects

- Nausea, vomiting, abdominal discomfort, diarrhoea and rarely *C. difficile*-related colitis.
- Rashes.
- Jaundice and abnormal liver function tests.
- Neutropenia, thrombocytopenia.

#### Fusidic acid

**Mechanism of action.** Fusidic acid is a steroid compound that inhibits bacterial protein synthesis. It inhibits elongation of the peptide chain by interacting with elongation factor G (EF-G) in the ribosome.

**Spectrum of activity.** Fusidic acid is a narrow-spectrum antibacterial mainly active against Gram-positive bacteria. It is most commonly used to treat penicillin-resistant *S. aureus*, especially in cases of osteomyelitis. It is bactericidal.

**Resistance.** Resistance occurs and involves chromosomal mutations in ribosomal EF-G that reduce drug binding. Resistance develops rapidly when fusidic acid is used alone, so it is usually given in combination with another drug.

**Pharmacokinetics.** Oral absorption is complete but an intravenous formulation is available. Distribution into synovial fluid and soft tissues is good, and the drug concentrates in bone. Fusidic acid is metabolised in the liver and excreted in bile; it has a half-life of 9 hours.

#### **Unwanted effects**

- Thrombophlebitis with intravenous infusions.
- Cholestatic jaundice.
- Drowsiness, dizziness.
- Nausea, vomiting.

#### Oxazolidinones

## Examples

linezolid, tedizolid

**Mechanism of action.** The oxazolidinones are active against nonreplicating bacteria. They have a unique mechanism of action, binding to a 23S ribosomal RNA in the 50S ribosomal subunit and preventing the initiation of protein synthesis, unlike many other antibacterials that inhibit chain elongation. They are bacteriostatic.

**Spectrum of activity.** Linezolid and tedizolid are active against a range of Gram-positive organisms, including MRSA and vancomycin-resistant *E. faecium*.

**Resistance**. Resistance is due to point mutations in the 23S ribosomal RNA target for the drug and to changes in ribosomal proteins. This can develop with prolonged treatment or with inadequate doses. Acquisition of the mobile gene *cfr*, generating a methyl-transferase that modifies the 23S ribosomal RNA target, confers resistance to linezolid but not tedizolid.

**Pharmacokinetics.** Linezolid is well absorbed orally and distributes widely, including into the CSF. It is mainly metabolised in the liver and has a half-life of 5 to 7 hours. Tedizolid is administered as a prodrug, tedizolid phosphate, which is well absorbed after oral administration. It is rapidly converted to active tedizolid by plasma and tissue phosphatases. It is 70% to 90% protein bound and distributes widely. It is eliminated via the liver as a sulfate conjugate and has a half-life of approximately 12 hours.

- Unwanted effects
- Headache.
- Fungal infections, candidiasis.
- Nausea, vomiting, taste disturbances, diarrhoea.
- Myelosuppression with anaemia, neutropenia and thrombocytopenia. Myelosuppression may occur less frequently with tedizolid than linezolid.

- Optic neuropathy and peripheral neuropathy with prolonged use (over 28 days) of linezolid.
- Hepatotoxicity (abnormal liver function tests).
- Linezolid is associated with an increased risk of lactic acidosis, which increases with duration of therapy. This has not been observed with tedizolid.
- Linezolid is a weak nonselective monoamine oxidase (MAO) inhibitor, and may cause serotonin syndrome if co-administered with other MAO inhibitors (see Chapter 22). Tedizolid appears less likely to cause clinically significant MAO inhibition.

#### Drugs Affecting Bacterial Metabolism Sulfonamides

#### Examples

#### sulfadiazine, sulfamethoxazole

The therapeutic importance of the sulfonamides has diminished because of the spread of resistance; there are now only a few, nonetheless important, situations in which they are first-choice antibacterial drugs. Sulfamethoxazole is used only in combination with the dihydrofolate reductase inhibitor trimethoprim, as cotrimoxazole (see later).

**Mechanism of action.** Folate is essential for cell growth and is used to manufacture thymidine and also purines for incorporation into DNA. Unlike humans, who obtain folate from the diet, bacteria cannot utilise preformed folate and must synthesise it from *p*-aminobenzoic acid (PABA). Sulfonamides are structurally similar to PABA and inhibit dihydropteroate synthetase in the synthetic pathway for folic acid (Fig. 51.4).

**Spectrum of activity.** Sulfonamides have a bacteriostatic action against a wide range of Gram-positive and Gram-negative bacteria and are also active against *Toxoplasma, Chlamydia* and *Nocardia* species. Because of the frequency of resistance in many of these microorganisms, sulfonamides are given as sole therapy only for the treatment of nocardiosis or toxoplasmosis.

**Resistance**. Resistance is common and occurs through the production of a mutated dihydropteroate synthetase with reduced affinity for sulfonamide binding (see Figs. 51.2 and 51.4). Resistance is transmitted among Gram-negative bacteria by plasmids. Resistance in *S. aureus* occurs as a result of excessive synthesis of PABA. Some resistant bacteria have reduced uptake of sulfonamides.

**Pharmacokinetics.** Sulfonamides are well absorbed orally. They are widely distributed and cross the blood–brain barrier and placenta. A topical formulation of sulfadiazine is available for treatment of burns.

Sulfonamides are metabolised in the liver initially by acetylation, which shows genetic polymorphism. The acetylated products have no antibacterial action but retain a risk of toxicity, particularly in slow acetylators. The parent drugs and their *N*-acetyl metabolites are excreted by the kidneys. Most sulfonamides have half-lives of about 12 hours.

Unwanted effects

- Nausea, vomiting, and diarrhoea.
- Rashes, including toxic epidermal necrolysis and Stevens–Johnson syndrome.
- Haemolysis in individuals with glucose-6-phosphate dehydrogenase deficiency (see Chapters 47 and 53).
- Neutropenia, thrombocytopenia.
- Sulfonamides should not be used in the last trimester of pregnancy or in neonates because the drug competes for bilirubin-binding sites on albumin; this can raise the concentration of unconjugated bilirubin and increases the risk of kernicterus.

#### Trimethoprim

Trimethoprim can be used alone or, less commonly, is combined with the sulfonamide sulfamethoxazole as co-trimoxazole.

**Mechanism of action.** Trimethoprim inhibits dihydrofolate reductase, which converts dihydrofolate to tetrahydrofolate (see Fig. 51.4). The bacterial enzyme is inhibited at much lower concentrations of trimethoprim than its mammalian counterpart. The combination of trimethoprim with sulfamethoxazole (as co-trimoxazole) acts synergistically to prevent folate synthesis by bacteria. However, resistance to the sulfamethoxazole component and the incidence of unwanted effects limit the value of this combination.

**Spectrum of activity.** Trimethoprim has broadspectrum bacteriostatic activity against Gram-positive and Gram-negative bacteria. In many urinary and respiratory tract infections trimethoprim alone gives results similar to the combination with sulfamethoxazole. Co-trimoxazole is effective against the protozoan

#### The Folic Acid Pathway:



Fig. 51.4 Sites of action of sulfonamides and dihydrofolate (DHF) reductase inhibitors in the folic acid pathway. Selective inhibitors of bacterial, plasmodial and human DHF reductase isozymes are used respectively as antibacterial (trimethoprim), antimalarial (proguanil, pyrimethamine) and anticancer (methotrexate) drugs (see Chapter 52).

*Pneumocystis jirovecii*, which causes pneumonia in people with late stage HIV infection or other immunodeficiencies, and this is now its major indication (see later).

**Resistance.** Resistance to trimethoprim is common and occurs in a variety of ways, including the production of mutated dihydrofolate reductase, which is insensitive to the drug.

**Pharmacokinetics.** Trimethoprim is well absorbed from the gut and most is eliminated unchanged by the kidney; it has a half-life of 9 to 17 hours. Co-trimoxazole is available for intravenous use.

#### **Unwanted effects**

- Nausea, vomiting, diarrhoea and sore mouth, all of which are usually mild.
- Rashes.
- Bone marrow depression.
- Folate deficiency, leading to megaloblastic changes in the bone marrow, is rare except in people with depleted folate stores.

#### **Drugs Used for Tuberculosis**

Tuberculosis is usually treated with a multidrug regimen because of the rapid development of resistance. Some drugs used to treat mycobacterial infections also have other clinical uses.

#### Rifamycins

#### Examples

rifabutin, rifampicin, rifaximin

Mechanism of action and spectrum of activity. Rifamycins inhibit bacterial DNA-dependent RNA polymerase and prevent the initiation of mRNA transcription, particularly in mycobacteria. They have a bactericidal action. Rifampicin (rifampin) has a broad spectrum of activity and is used in combination with other drugs for the treatment of mycobacterial infections (*M. tuberculosis* and *Mycobacterium leprae*), brucellosis, Legionella infections, serious staphylococcal infections and endocarditis. In the UK, rifampicin is considered an essential drug for the treatment of tuberculosis. Rifampicin is also used for prophylaxis against meningococcal meningitis and *H. influenzae* type B infection. Rifabutin is used for the treatment of tuberculosis and for prophylaxis against infection with Mycobacterium avium complex (which most commonly occurs in people infected with HIV) and other mycobacterial infections. Rifaximin is a nonabsorbable rifamycin used to treat traveller's diarrhoea (see Chapter 35).

**Resistance.** Resistance to rifamycins develops rapidly if used alone, which limits the wider use of rifampicin and rifabutin as antibacterial drugs other than as part of combination treatment for tuberculosis. Resistance to the rifamycins arises from genetic mutation of the bacterial DNA-dependent RNA polymerase. **Pharmacokinetics.** Oral absorption of rifampicin is good; an intravenous formulation of rifampicin and oral formulations combined with other antituberculosis drugs are available. The bioavailability of rifabutin is low (20%) compared with rifampicin. Rifampicin and rifabutin are metabolised in the liver and have half-lives of 1 to 6 hours and 35 to 40 hours, respectively. Oral bioavailability of rifaximin is less than 1%.

#### **Unwanted effects**

- Nausea and anorexia.
- Diarrhoea and *C. difficile* colitis with rifampicin.
- Hepatotoxicity, usually producing only a transient rise in plasma transaminases but occasionally more severe; regular monitoring is recommended.
- Rifampicin and rifabutin may cause orange discoloration of tears, sweat and urine.
- Leucopenia, thrombocytopenia or anaemia with rifabutin.
- Influenza-like symptoms (myalgia, pyrexia), respiratory symptoms, renal failure, shock, disseminated intravascular coagulation and acute haemolytic anaemia.
- Drug interactions of rifamycins: induction of cytochrome P450 isoenzymes (including CYP2C9, CYP3A4) and other drug-metabolising enzymes in the liver can reduce plasma concentrations of oestrogen in those taking oral contraceptives (see Chapter 45) and of many other drugs including warfarin (see Chapter 11), phenytoin (see Chapter 23) and sulfonylureas (see Chapter 40).

#### Isoniazid

**Mechanism of action.** Isoniazid is an important and specific drug for the treatment of *M. tuberculosis.* It is a prodrug activated by catalase–peroxidase activity within mycobacteria. The active product inhibits enzymes involved in the synthesis of long-chain mycolic acids, which are unique to the cell wall of mycobacteria, and also inhibits enzymes required for nucleic acid synthesis. Isoniazid is bactericidal against dividing mycobacteria, but bacteriostatic on resting mycobacteria. In the UK it is considered an essential drug, along with rifampicin, for the treatment of tuberculosis.

**Resistance.** Resistance occurs rapidly if isoniazid is used alone. It is due to mutations that reduce the activity of the catalase-peroxidase and related enzymes responsible for activation of the prodrug. Resistance is currently uncommon in developed countries but can be troublesome in developing countries.

**Pharmacokinetics.** Oral absorption of isoniazid is good but is reduced by food. Intravenous and intramuscular formulations are also available. Isoniazid is metabolised by acetylation in the liver, which is subject to genetic polymorphism. Rapid acetylators show extensive first-pass metabolism; their plasma isoniazid concentrations are half of those in slow acetylators. The half-life is 0.5 to 2 hours in rapid acetylators and 2 to 6.5 hours in slow acetylators.

#### Unwanted effects

- Nausea, vomiting, constipation, dry mouth.
- Peripheral neuropathy with high doses. This can be prevented by prophylactic use of oral pyridoxine supplements in people at high risk – for example, those with diabetes mellitus, alcoholism, chronic renal failure, malnutrition or HIV infection. Neuropathy is more common in slow acetylators.
- Hepatitis is rare, but regular monitoring with liver function tests is recommended.
- Rashes.
- Systemic lupus erythematosus-like syndrome. Positive antinuclear antibodies are found in 20% of people during long-term treatment, but fewer develop symptoms.

#### Pyrazinamide

**Mechanism of action.** Pyrazinamide is a prodrug converted to pyrazinoic acid by pyrazinamidase, an enzyme found in *M. tuberculosis*. In acid conditions, liberated pyrazinoic acid re-enters *M. tuberculosis* cells and is trapped owing to the weak efflux activity of this mycobacterial species for the compound. The antimycobacterial mechanism of pyrazinoic acid is unclear, but it may inactivate a key enzyme in fatty acid synthesis; also altered membrane potential due to low intracellular pH may disrupt other cellular pathways. Pyrazinamide is unusual among antibacterial drugs by being selectively bactericidal for dormant *M. tuberculosis* cells and less active in dividing cells.

**Resistance.** Resistance results from mutations in the gene which codes for pyrazinamidase. It develops rapidly if pyrazinamide is used as a sole treatment for tuberculosis.

**Pharmacokinetics.** Oral absorption of pyrazinamide is good and it crosses inflamed meninges, so it is active in tuberculous meningitis. Metabolism occurs in the liver; it has a long half-life (9–10 hours).

#### Unwanted effects

- Hepatotoxicity: a rise in plasma bilirubin usually requires cessation of treatment; regular monitoring of liver function is recommended.
- Nausea and vomiting.
- Arthralgia.
- Sideroblastic anaemia.

#### Ethambutol

**Mechanism of action.** Ethambutol probably functions as an arabinose analogue and inhibits arabinosyl transferase, resulting in impaired synthesis of the mycobacterial cell wall. Ethambutol is primarily bacteriostatic. It is effective against *M. tuberculosis* and several other mycobacteria, including *M. avium* complex.

**Resistance.** Resistance may be due to gene mutations that reduce the binding of ethambutol to arabinosyl transferase. It develops slowly but is common during prolonged treatment of tuberculosis if ethambutol is used alone. **Pharmacokinetics.** Oral absorption of ethambutol is good; it distributes into the brain and across the placenta. It is mainly eliminated unchanged by the kidney. The half-life is long (10–15 hours).

**Unwanted effects** 

- Visual disturbances: optic neuritis produces initial redgreen colour blindness, then reduced visual acuity; these effects are dose-related but usually reversible.
- Peripheral neuritis.
- Hyperuricaemia, gout.
- Nephrotoxicity.

#### Other drugs used in the treatment of tuberculosis

Other antituberculosis drugs can be used as additional treatments in drug-resistant tuberculosis (defined as resistance to one or more antituberculosis drugs); multi-drug-resistant tuberculosis (MDR-TB, defined as resistance to isoniazid and rifampicin); and extensively drug-resistant TB (XDR-TB, where there is also resistance to quinolones and either an aminoglycoside or capreomycin). Depending on the resistance profile, options include the newer macrolides (azithromycin, clarithromycin), quinolones (moxifloxacin), the aminoglycoside amikacin, and the oxazolidione linezolid. Para-aminosalicylic acid, bedaquiline, capreomycin, cycloserine, delamanid and streptomycin are also occasionally used (see the drug compendium at the end of this chapter). Drugs used in countries other than the UK include thiacetazone and protionamide.

#### **Drugs Used for Leprosy**

The drugs recommended for the treatment of leprosy, which is caused by *M. leprae*, are rifampicin (see earlier), dapsone and clofazimine.

#### Dapsone

**Mechanism of action and use.** Dapsone is a sulfone related to the sulfonamides and acts similarly by inhibiting folate synthesis by dihydropteroate synthase. It is the most active drug against *M. leprae.* Dapsone is also used to treat *Pneumocystis* pneumonia and dermatitis herpetiformis.

**Resistance.** Resistance can develop, as for sulfonamides (see earlier).

**Pharmacokinetics.** Dapsone is well absorbed from the gut and widely distributed. It is metabolised in the liver and undergoes enterohepatic cycling which gives it a long half-life (10–80 hours).

**Unwanted effects** 

- Blood disorders: haemolysis and methaemoglobinaemia (see Chapter 53). These are rare at the doses used for the treatment of leprosy, but the risk is higher in people with glucose-6-phosphate dehydrogenase deficiency.
- 'Dapsone syndrome': rash, fever and eosinophilia may occur after 3 to 6 weeks of treatment, leading to exfoliative dermatitis, hepatitis and psychosis if treatment is not stopped.
- Neuropathy.

- Anorexia, nausea, vomiting.
- Skin hypersensitivity reactions.

#### Clofazimine

**Mechanism of action and use.** Clofazimine is an iminophenazine dye that interferes with guanine bases during mycobacterial DNA replication. It is used as a second-line drug in the event of dapsone intolerance in people with leprosy. It is given orally.

**Pharmacokinetics.** Clofazimine has a variable oral bioavailability and is eliminated slowly in the bile. It has a very long half-life (70 days) and can accumulate in the body.

#### Unwanted effects

- Gastrointestinal upset (common), abdominal pain.
- Brownish-black discoloration of the skin, hair, faeces and body fluids is very common. Discoloration of soft contact lenses may also occur.
- Acne, rash, pruritus, photosensitivity.
- Corneal pigmentation, dimmed vision.

#### PRINCIPLES OF ANTIBACTERIAL THERAPY

Antibacterial therapy is widely misused, which encourages the selection of resistant organisms. In particular, the use of antibacterials for viral illnesses such as the common cold or sore throat is to be discouraged. The following guidelines outline the principles that should be considered in the choice of a safe and effective antibacterial therapy.

#### **Empirical Treatment**

Most antibacterial therapy is started without prior identification of the organism and its antibacterial drug sensitivities. Such treatment should be guided by the clinical diagnosis and knowledge of the most common pathogenic bacteria responsible for the infection to be treated. Local information about patterns of antibacterial resistance is an important consideration.

#### Spectrum of Antibacterial Activity

A drug with a narrow spectrum of activity should be used in preference to a broad-spectrum drug whenever possible in nonsevere infections. The unnecessary use of broad-spectrum antibacterials encourages the development of resistant bacteria. This can present problems for the person treated owing to the selection of resistant pathogens or colonisation by resistant bacteria from the environment. For the community, the selection of resistant pathogens can create problems by rendering standard antibacterial therapy less reliable. Broadspectrum antibacterial cover is sometimes appropriate – for example, in a seriously ill person when the infecting bacterium is unknown and a variety of bacteria could be causing the condition being treated.

#### **Combination Therapy**

Treatment with more than one antibacterial drug should not be used routinely. It may, however, be valuable to provide broad-spectrum cover in serious illness when the organism is unknown, for example the combination of cefotaxime and metronidazole to cover aerobic and anaerobic organisms in suspected Gram-negative septicaemia. When resistance is likely to develop readily to the first-choice drug during prolonged treatment, the use of combination therapy can minimise that risk – for example, in the treatment of infective endocarditis or tuberculosis.

#### **Bactericidal Versus Bacteriostatic Drugs**

In some situations, bactericidal drugs are preferred to bacteriostatic drugs – for example, for the treatment of infective endocarditis (when bacteria divide infrequently) or when the person being treated is immunocompromised (and host defences are ineffective for assisting eradication). In most other situations the choice is not important.

#### Site of Infection

This may determine the choice of drug; for example, some antibacterials achieve only low concentrations in the biliary tree, urine, bone or CSF.

#### Mode of Administration

Oral therapy is usually preferred to parenteral treatment. Exceptions include the treatment of serious infections for which reliable plasma drug concentrations are essential, when the drug is available only in parenteral formulation, or when gastrointestinal absorption may be unreliable – for example, after abdominal surgery.

#### **Duration of Therapy**

This should be as short as is compatible with adequate treatment of the infection. The decision is often arbitrary - for example, 7 to 10 days in many infections. Some infections can be effectively treated over much shorter periods; for example, courses of 1 to 3 days are usually adequate for uncomplicated lower urinary tract infections in women. There is evidence that the conventional longer courses of treatment for many other infections may be unnecessary. For a few infections, long periods of treatment may be essential to eliminate semidormant organisms or those in 'privileged sites' where antibacterial drug penetration is poor. Examples include infective endocarditis, osteomyelitis and tuberculosis. Some antibacterials produce a 'postantibiotic effect', in which there is delayed regrowth of surviving bacteria following exposure to the drug. This is most marked with aminoglycosides such as gentamicin, but it also occurs with other drugs, including  $\beta$ -lactam antibacterials.

#### Chemoprophylaxis

The use of chemoprophylaxis to prevent infection is important in many situations. Common examples include prevention of meningococcal meningitis, *H. influenzae* type B infection or pertussis in close contacts of an infected person, and preoperative prophylaxis before many surgical procedures. More prolonged prophylaxis is used to prevent pneumococcal infection after splenectomy or in people with sickle cell disease.

## TREATMENT OF SELECTED BACTERIAL INFECTIONS

This section is not intended to be comprehensive. It outlines the approach to antibacterial therapy in several common bacterial infections. The choice of antibacterial drug for these infections will depend on factors such as local patterns of bacterial resistance or the risk of *C. difficile* infection, which make universal recommendations impossible.

#### Upper Respiratory Tract Infections

Most upper respiratory tract infections are caused by viruses, producing symptoms of the common cold. Symptomatic treatment is all that should be offered, with an antihistamine (e.g. chlorphenamine; see Chapter 39) or an antimuscarinic nasal spray (e.g. ipratropium; see Chapter 12) to reduce rhinorrhoea and sneezing. An  $\alpha$ -adrenoceptor agonist (e.g. xylometazoline) given orally or nasally can reduce nasal congestion, but prolonged use can provoke a rebound effect (rhinitis medicamentosa) (see Chapter 39). A nonsteroidal antiinflammatory drug (see Chapter 29) can be used to reduce associated headache and malaise. Antibacterial drugs are widely prescribed for upper respiratory tract symptoms but have no benefit.

#### Sinusitis and Otitis Media

Sinusitis and otitis media accompany catarrhal conditions in childhood and frequently follow an upper respiratory tract infection. Sinusitis produces headache, facial pain, fever and purulent rhinorrhoea. A nasal decongestant such as an  $\alpha$ -adrenoceptor agonist can be helpful, in conjunction with an analgesic. An antibacterial is often not beneficial in acute sinusitis unless there is marked facial swelling and pain or failure of symptoms to resolve after 7 days. The most common infecting organisms are *H. influenzae* (which often produces β-lactamase), S. pneumoniae and Moraxella catarrhalis. Suitable antibacterial drugs include amoxicillin (or amoxicillin with clavulanic acid – coamoxiclav - if there is no improvement after 48 hours), doxycycline and clarithromycin. Chronic sinusitis usually requires correction of an anatomical obstruction in the nose.

Otitis media is very common in childhood. When associated with an effusion in the middle ear, increased pressure causes pain and perforation of the eardrum. The organisms responsible are similar to those causing acute sinusitis. In more than 80% of affected children, the condition is self-limiting over 2 to 3 days without treatment. An antibacterial such as amoxicillin or clarithromycin should be offered immediately if there are systemic symptoms. An antibacterial prescription may also be considered if there is otorrhoea (indicating perforation of the eardrum) or if the child is under 2 years of age and has bilateral infection. In these cases, options include an immediate antibacterial, or a prescription for delayed antibacterial therapy if there is no improvement in 3 days. Surgery is occasionally necessary for recurrent infections.

#### Lower Respiratory Tract Infections

#### Acute bronchitis

This is characterised by new-onset, often productive cough without evidence of pneumonia. It is usually caused by a viral infection and the cough often takes 2 to 4 weeks to resolve without treatment. Antibacterial treatment is inappropriate and does not alter the course of the illness. Even if there is underlying chronic obstructive airways disease, the evidence for benefit from antibacterial drugs is small, although they may slightly shorten the duration of symptoms. In such cases, S. pneumoniae (pneumococcus), H. influenzae or M. catarrhalis are commonly found in the sputum, but these microorganisms are often isolated in remissions as well. If an antibacterial drug is used, treatment for 5 days with amoxicillin, doxycycline or clarithromycin will be effective against the most likely pathogens. Co-amoxiclav (amoxicillin with clavulanic acid) can be used for resistant H. influenzae.

#### Pneumonia

Primary community-acquired pneumonia is most commonly caused by *S. pneumoniae* and less commonly by *H. influenzae* and staphylococci. 'Atypical' microorganisms – such as *Legionella* species, *Mycoplasma pneumoniae* or *Chlamydia pneumoniae* – can also cause pneumonia. Appropriate antibacterial treatment will be dictated by the most likely infecting agent.

Amoxicillin or doxycycline are appropriate firstline treatments for nonsevere pneumonia. Doxycycline is often preferred because it has activity against atypical organisms; it is associated with a lower risk of C. difficile-related colitis; and it can be given to people who are allergic to penicillin. Oral amoxicillin combined with clarithromycin or doxycycline is often used for community-acquired pneumonia requiring admission of the person to hospital. Severe community-acquired pneumonia (defined by the CURB-65 score; Table 51.3) is usually treated with intravenous therapy comprising benzylpenicillin with intravenous clarithromycin or oral doxycycline. Co-amoxiclav is often used in place of benzylpenicillin for life-threatening pneumonia or when Gram-negative organisms are suspected, to cover  $\beta$ -lactamase-producing organisms. A quinolone with activity against pneumococci, such as levofloxacin, is an alternative. Adjunctive treatment of pneumonia may include supplemental oxygen via a face mask and intravenous fluid resuscitation if there are features of sepsis.

Secondary pneumonias occur in people with other concurrent diseases, often during a stay in hospital

Table <b>51.3</b>	CURB-65 Score for Predicting Mortality in Community-Acquired Pneumonia.			
Confusion of new onset (abbreviated mental test score ≤8/10)				
Blood urea nitrogen >7 mmol/L (>19 mg/dL)				
Respiratory rate ≥30 breaths per minute				
Blood pressure: systolic <90 mm Hg or diastolic ≤60 mm Hg				
Age ≥ <b>65</b> years				

Each risk factor scores one point. Mortality at 30 days is predicted by total score: zero, mortality 0.7%; score 1–2, 3.1%; score 3, 17%; score 4, 41.5% and score 5, 57%.

(nosocomial or hospital-acquired pneumonia). A wide range of pathogens may be involved and parenteral drug treatment is usually necessary. Co-amoxiclav is often used, with an aminoglycoside such as gentamicin added in severe infections. Co-amoxiclav may be replaced with an antipseudomonal penicillin (e.g. piperacillin with tazobactam) if *P. aeruginosa* infection is suspected.

#### Suppurative lung disease

This encompasses lung abscess, empyema and bronchiectasis. The pathogens in lung abscesses vary according to the immune status of the individual. Ideally, treatment should be directed by isolation and sensitivity testing of the bacteria. Empyema requires drainage and then specific antibacterial therapy directed at the cultured pathogen.

Bronchiectasis is most frequently associated with colonisation by *H. influenzae*, and less often *Pseudo-monas* species or *S. pneumoniae*. A quinolone such as moxifloxacin or a macrolide such as azithromycin are suitable empirical treatment choices. Increasing use is being made of inhaled nebulised antibacterial drugs, such as tobramycin, to treat frequent exacerbations. Adjunctive treatment with bronchodilators, mucolytics and physiotherapy may be useful (see also cystic fibrosis, Chapter 13).

#### **Urinary Tract Infections**

Urinary tract infections are more common in women than men because of the shorter female urethra. Infections can occur in structurally normal urinary tracts or in association with a structural genitourinary abnormality that impairs drainage of urine or acts as a focus for infection, such as a stone in the kidney or bladder. An indwelling urinary catheter is often associated with bacterial colonisation of the urine that is almost impossible to eradicate but often does not cause any symptoms.

The most frequent bacterial cause of urinary tract infection is *E. coli.* Hospital-acquired infections are often caused by *Klebsiella*, *Enterobacter* and *Serratia* species or by *P. aeruginosa* because these organisms can be selected as resistant bacteria following antibacterial drug usage. *Proteus mirabilis* is often found if there are stones in the urinary tract. Less commonly, staphylococci, especially *Staphylococcus saprophyticus*, are responsible.

Uncomplicated urinary tract infection is confined to the bladder (cystitis) and in women can be treated by a short course (3 days) of nitrofurantoin or trimethoprim. Alternative drugs include trimethoprim, a penicillin (e.g. pivmecillinam), a first-generation cefalosporin (e.g. cefalexin), or fosfomycin (a phosphonic acid antibacterial; see compendium at the end of this chapter). A quinolone such as ciprofloxacin can be useful for *P. aeruginosa* infections. Men should be treated for longer than women (usually 7 days) because men are at higher risk of complicated infection.

Complicated urinary tract infections also involve the kidney (pyelonephritis), or the prostate in men, and require longer courses of treatment. For pyelonephritis, initial intravenous therapy is usually started with a broad-spectrum drug such as co-amoxiclav, usually with a single dose of gentamicin; treatment is usually continued for 10 to 14 days. For acute prostatitis, oral treatment with ciprofloxacin or trimethoprim for at least 4 weeks is recommended.

Treatment of infection associated with an indwelling urinary catheter is recommended only if there are systemic symptoms of infection, such as fever or rigors.

Long-term antibacterial prophylaxis against urinary tract infections may be necessary to prevent recurrent infection if there are underlying urinary tract abnormalities. Suitable drugs, usually given at low dosage, include trimethoprim, nitrofurantoin and cefalexin.

#### **Gastrointestinal Infection**

In the UK, infectious diarrhoea is usually caused by viruses and is self-limiting. However, gastroenteritis (a syndrome that includes nausea, vomiting, diarrhoea and abdominal discomfort) can result from ingestion of bacterial pathogens.

'Food poisoning' of bacterial origin can occur from ingestion of a preformed bacterial toxin (e.g. from *Clostridium botulinum* or *S. aureus*), with onset of symptoms usually within hours, or it can be delayed when caused by ingested bacteria infecting the bowel. Severe bacterial infection of the large intestine can cause dysentery, an inflammatory disorder often associated with fever, abdominal pain, and blood and pus in the faeces. The most common cause of bacterial diarrhoea (especially in children in developing countries) is *E. coli*, which produces powerful enterotoxins. In other circumstances, *Salmonella* species, *Campylobacter* species, *V. cholerae*, *Shigella* species or various other organisms are responsible.

If diarrhoea is severe, fluid replacement is often necessary. Antibacterial treatment is not usually recommended even if bacterial infection is suspected unless there are systemic symptoms such as fever, rigors and hypotension. Ciprofloxacin and clarithromycin are effective for *Campylobacter* enteritis and shigellosis. *Salmonella* infections can be treated with ciprofloxacin unless *S. typhi* is suspected, when cefotaxime is preferred.

Antibacterial drugs can cause diarrhoea due to alteration of bowel flora; it usually resolves rapidly when the drug is withdrawn. However, if it is complicated by colonisation with *C. difficile*, oral metronidazole, vancomycin or fidaxomicin will be necessary to eliminate the pathogen.

#### **Biliary Tract Infection**

Acute cholecystitis and cholangitis are often caused by *E. coli* and most often occur if there is biliary obstruction. Supportive treatment with intravenous fluid is usually required. Antibacterial therapy using co-amoxiclav (amoxicillin with clavulanic acid) together with gentamicin, or ciprofloxacin monotherapy, is usually effective. Treatment is usually given for 7 to 10 days.

#### Osteomyelitis

Infection of bone produces necrotic tissue and generates an avascular privileged site for bacteria that antibacterial drugs penetrate to only a limited extent. Organisms involved include *S. aureus*, which adheres readily to bone matrix, various streptococci, *Serratia* species, *P. aeruginosa* and enteric Gram-negative rods.

Early antibacterial treatment is essential and surgical intervention may be necessary to remove necrotic tissue. The choice of drug depends on the suspected organisms. First-line treatment is often with flucloxacillin or clindamycin; if a prosthesis is present or the infection is severe, the drug should be combined with fusidic acid or rifampicin for the first 2 weeks. Amoxicillin or cefuroxime is usually used if *H. influenzae* is identified, or vancomycin for MRSA. Acute infections are treated with intravenous antimicrobials for 6 weeks, but chronic infections are treated for at least 12 weeks. If long-term therapy is necessary for chronic refractory osteomyelitis, an oral quinolone such as ciprofloxacin may be an alternative option. However, quinolones are associated with rare but serious unwanted effects (discussed earlier), particularly in older people.

#### Septic Arthritis

The most common organism is *S. aureus;* less frequently streptococci are found. The standard treatment is with flucloxacillin or clindamycin for individuals who are penicillin-allergic. Vancomycin is used for MRSA. Treatment should be continued for 4 to 6 weeks.

#### Cellulitis

This usually complicates a wound, ulcer or dermatosis. In most cases the infecting organisms are *S. aureus* or streptococci. Treatment is usually with a  $\beta$ -lactam antibacterial that is active against  $\beta$ -lactamase-producing *S. aureus*. Flucloxacillin is normally used. Clarithromycin or clindamycin is used for individuals who

are penicillin-allergic. Intravenous therapy may be required for at least 5 to 7 days in people with severe infection. This may be administered at home or in ambulatory care if the person is systemically well. Alternatively, dalbavancin, a lipoglycopeptide with a very long half-life, may be given as a single large intravenous dose.

#### Sepsis

Sepsis is a life-threatening condition in which infection provokes a dysregulated and potentially damaging host immune response, which causes organ dysfunction. It is often, but not always, associated with viable bacteria in the blood (bacteraemia). The organ dysfunction manifestations are diverse and may include respiratory failure, cardiovascular instability, reduced consciousness, acute kidney injury, liver dysfunction or abnormal haemostasis. Hypotension occurs as a result of vasodilation, capillary leak and impaired myocardial contractility. Septic shock, a form of distributive shock (see Chapter 7), exists where sepsis is accompanied by hypotension that persists (sufficient to require a vasopressor) despite adequate fluid resuscitation.

Gram-positive organisms are a more frequent cause of sepsis than Gram-negative organisms, with about 60% of infections arising from respiratory, intraabdominal and urinary tract sources. Sepsis is a medical emergency requiring early, effective antimicrobial therapy, intravenous fluid resuscitation and, if there is also septic shock, vasopressor therapy. Noradrenaline (norepinephrine) is the most commonly used vasopressor (see Chapter 7). There have been many advances in our understanding of the pathogenesis of sepsis and the associated immune activation but our ability to manage the complications of sepsis has been little improved.

If the source of the infection is not clinically apparent, empirical antibacterial therapy is given to cover as wide a range of potential infecting organisms as possible. The prognosis is much worse if first-line drugs are ineffective. Suitable treatment would be with a broad-spectrum penicillin (e.g. co-amoxiclav) and an aminoglycoside such as gentamicin. An antipseudomonal penicillin (e.g. piperacillin with tazobactam) or cefalosporin (e.g. ceftazidime) may be used if pseudomonal infection is suspected. A carbapenem such as meropenem or imipenem (with cilastatin) can be used if a resistant organism is suspected, for example if the infection was acquired in hospital. Metronidazole is added if anaerobic infection is suspected, or vancomycin or teicoplanin if MRSA is suspected.

Immunocompromised and neutropenic individuals are at particularly high risk from sepsis. They should receive broad-spectrum antimicrobial treatment with activity against *Pseudomonas* species (e.g. piperacillin with tazobactam and an aminoglycoside). Metronidazole is usually added if anaerobic infection is suspected; vancomycin or teicoplanin is added if Gram-positive infection is suspected. Failure to respond to broad antibacterial therapy within 48 hours may indicate a fungal infection, for which amphotericin (see later) can be added.

#### **Infective Endocarditis**

The majority of cases of infective endocarditis are caused by bacterial pathogens, most commonly oral streptococci, followed by enterococci, *S. aureus* and coagulase-negative staphylococci. Endocarditis usually arises on the endothelial surface of a preexisting heart defect (e.g. valvular heart defect, ventricular septal defect) or on a prosthetic heart valve. It arises when microorganisms enter the bloodstream and become established on the endocardium, where they may adhere to preexisting fibrin–platelet vegetations. Bacteria enter the blood during dental procedures, vigorous teeth cleaning or some surgical procedures.

Untreated infection can destroy the infected heart valve and produce severe haemodynamic disturbance. Systemic complications can also arise from embolisation of vegetation from the valve, from bacteraemia, or through immune complexes that form in response to the infection.

When infection is suspected, blood cultures must be taken and empirical antimicrobial treatment started. Prior to identification of the organism, treatment is usually started with intravenous flucloxacillin and benzylpenicillin (or amoxicillin) combined with lowdose gentamicin. If the organism is sensitive to penicillin, the benzylpenicillin is continued for 4 weeks and the gentamicin stopped after 2 weeks. Vancomycin may be substituted for the penicillins in people with penicillin allergy when there is infection on a prosthetic heart valve or if MRSA is suspected. Rifampicin may be added in selected cases.

Antibacterial prophylaxis is no longer recommended prior to procedures such as dental treatment as there is no good evidence that it prevents endocarditis.

#### Meningitis

Bacterial meningitis is a medical emergency. The most likely organism depends on the age of the person (Table 51.4). Empirical selection of therapy is usually necessary and treatment should be started at the first suspicion of bacterial meningitis. A single dose of benzylpenicillin can be given if the person is outside hospital, but cefotaxime (with the addition of amoxicillin for those over 50 years old) is the preferred treatment in hospital. In people with a history suggestive of mild IgE-mediated penicillin allergy (e.g. urticaria, see Chapter 39), meropenem may be an option. Chloramphenicol is an option for those who have severe β-lactam allergy (e.g. anaphylaxis, Stevens–Johnson syndrome). Treatment is given for 7 days for meningococcus and 10 days for *H. influenzae* or *S. pneumoniae*. Rifampicin is given for 2 to 4 days before hospital discharge if the meningitis was caused by meningococcus

abla <b>51 /</b>	Organisms Commonly Causing Bacterial
	Meningitis.

AGE	ORGANISM
<1 month	Group B streptococci
1 month to 4 years	Haemophilus influenzae
>4 years to young adult	Neisseria meningitidis (meningococcus)
Older adults	<i>Streptococcus pneumoniae</i> (pneumococcus)

or *H. influenzae*. Close contacts of people with meningococcal or *H. influenzae* type B meningitis are usually given rifampicin as prophylaxis against infection.

Dexamethasone should be considered immediately and no later than 12 hours after starting the antibacterial. There is some evidence that this may reduce the frequency of neurological complications in meningitis caused by *S. pneumoniae*.

#### **Tuberculosis**

*M. tuberculosis* readily develops resistance to singledrug therapy. Three or four drugs are used for the first 2 months ('initial phase') to rapidly reduce the bacterial population prior to information on bacterial sensitivities becoming available, following which treatment is continued with two drugs for a further 4 months ('continuation phase') to achieve a cure. In some cases, more prolonged treatment may be necessary, especially for tuberculous meningitis or resistant mycobacteria.

A standard regimen in the UK includes rifampicin, isoniazid, ethambutol and pyrazinamide for the initial phase (or until bacterial sensitivities are known), followed by rifampicin and isoniazid (preferably in a combination preparation) for the continuation phase. More prolonged treatment is sometimes necessary for cavitating lung disease or slow clearance of bacteria from the sputum. Ethambutol is not used to treat young children because of the difficulty in monitoring for eye toxicity.

Streptomycin is used in some countries in the initial phase of treatment or if resistance to isoniazid is known. Thiacetazone is often used with isoniazid and initially streptomycin in countries that cannot afford rifampicin. Adherence to the treatment regimen can be a major problem in the treatment of tuberculosis; combination tablets are often used to maximise adherence. In developed countries, directly observed treatment (DOT) has been instituted to improve adherence. This can result in major improvements in mycobacterial eradication rates.

MDR-TB (with resistance to at least rifampicin and isoniazid) is becoming more common, and new treatment strategies are needed to deal with emerging strains of *M. tuberculosis*. There are also increasing problems with the treatment of tuberculosis in people with HIV infection. This reflects the propensity for interactions

among the antiretroviral drugs and antituberculous therapy as well as overlapping unwanted effects.

#### FUNGAL INFECTIONS

Fungi (including yeasts) usually infect skin or superficial mucous membranes but less commonly can involve internal organs. Most fungal infections occur because of an underlying defect in host immunity. Fungi grow more readily in immunocompromised individuals or following the suppression of normal flora by antibacterial drugs. Good hygiene and the avoidance of sources of infection are important complementary approaches to the use of antifungal drugs.

Compared with antibacterials, fewer drugs have been developed that have activity against fungi, and many of these are toxic to humans. A simplified outline of the mechanisms of action of antifungal drugs is shown in Fig. 51.5.

#### ANTIFUNGAL DRUGS

#### Drugs That Impair Fungal Cell Membrane Barrier Function Polyenes

Examples

#### amphotericin, nystatin

**Mechanism of action.** Ergosterol regulates cell membrane permeability in yeast and fungal cells, analogous to the function of cholesterol in animal cell membranes. Polyenes bind to ergosterol in fungal cell membranes and form aqueous pores that promote leakage of small intracellular molecules including Na<sup>+</sup> and K<sup>+</sup> ions, thus disrupting active transport mechanisms dependent on membrane potential. They can be fungistatic or fungicidal.

**Spectrum of activity.** Nystatin is particularly effective for infections with *Candida* species. Amphotericin is active against all common fungi that cause systemic infection (*Candida, Aspergillus, Mucor* and *Cryptococcus* species).

**Resistance.** Acquired resistance to polyene antifungals is rare but can occur in immunosuppressed people. Fungi develop mutations that enhance synthetic pathways for alternative sterols that replace ergosterol within the cell membrane and are unaffected by polyenes.

**Pharmacokinetics.** Nystatin is too toxic for systemic use and is not absorbed from the gastrointestinal tract. It is therefore used topically for *Candida albicans* infections – for example, as creams for skin infection, as vaginal pessaries or orally for buccal and bowel infections.

Amphotericin is poorly absorbed from the gut and is given intravenously for the treatment of serious systemic fungal infections. Amphotericin binds to steroids in human tissues and is released slowly and eliminated via the biliary tract and kidneys. It has a very long halflife (about 2 days).



Fig. 51.5 Sites of action of antifungal drugs. Polyenes, imidazoles, triazoles and terbinafine target the synthesis or function of ergosterol, which is found in fungal cell membranes in place of cholesterol; the echinocandins inhibit fungal cell wall synthesis, flucytosine inhibits RNA and DNA synthesis, and griseofulvin impairs the polymerisation of fungal microtubules.

Lipid delivery vehicles for amphotericin have been developed to reduce its nephrotoxicity. These formulations alter drug distribution and help to concentrate the drug at the site of infection, with the lipid component probably being cleared from the blood by mononuclear phagocytes. Lipid formulations include liposomal spheres (in which the drug is dissolved in phospholipid membrane vesicles) and lipid complexes (in which the phospholipid components exist in ribbons interspersed with amphotericin).

**Unwanted effects.** Nystatin is virtually free of both toxic and allergic unwanted effects when used topically. Used buccally for oral and perioral infection, it can cause nausea. Host toxicity with amphotericin is due to binding of the drug to cholesterol rather than ergosterol. Intravenous infusion of amphotericin is commonly associated with several unwanted effects.

- Fever and rigors during the first week of therapy.
- Anorexia, nausea, vomiting, diarrhoea.
- Headache, muscle and joint pain.
- Anaphylaxis, which makes a test dose advisable.
- Anaemia.
- Dose-related nephrotoxicity, which is the major limiting factor in treatment. It presents with reduced glomerular filtration rate and produces hypokalaemia and hypomagnesaemia through tubular leakage of K<sup>+</sup> and Mg<sup>2+</sup>. Lipid formulations substantially reduce the risk of nephrotoxicity and are particularly useful to treat people with preexisting renal impairment.
- Arrhythmias.
- Hearing loss, diplopia, convulsions, peripheral neuropathy.

#### Drugs That Inhibit Fungal Cell Membrane or Cell Wall Synthesis Imidazoles

#### **Examples**

#### clotrimazole, econazole, miconazole

**Mechanism of action.** The imidazoles inhibit lanosterol 14 $\alpha$ -demethylase, a form of cytochrome P450 that performs an essential enzymatic step in the conversion of lanosterol to ergosterol. Reduced ergosterol synthesis alters fungal cell membrane fluidity, reduces the activity of membrane-associated enzymes and increases cell-wall permeability. Accumulation of ergosterol precursors in the cell causes growth arrest.

**Spectrum of activity.** Imidazoles are generally used in topical formulations for superficial fungal infections, for example of the mouth, skin and vagina. They are active against a wide variety of filamentous fungi including many *Candida* species, but they are less active against *Candida krusei*. Clotrimazole, econazole and miconazole are used for vaginal candidiasis and for dermatophyte infections such as ringworm (tinea); the causative fungi of the latter vary geographically but generally are *Trichophyton*, *Microsporon* or *Epidermophyton* species. Miconazole is also used topically for oral infections and can be given orally for intestinal infections as it is poorly absorbed from the gut.

**Resistance.** The development of resistance to imidazole antifungals is rare except during long-term use in people with late stage HIV infection. The mechanisms of resistance include structural mutations in the target enzyme, lanosterol  $14\alpha$ -demethylase, that reduce binding of the drug, or promoter mutations that increase transcriptional expression of the enzyme; they also include increased expression of active efflux transporters that remove the drug from the cell, and increased uptake of exogenous sterols by influx transporters.

**Pharmacokinetics.** Absorption of imidazoles from the skin, mucosal surfaces and gastrointestinal tract is poor, but the absorbed fractions are metabolised in the liver with half-lives of 8 to 30 hours.

**Unwanted effects.** These are unusual with topical formulations, although local irritation can occur. Oral miconazole can cause gastrointestinal upset.

#### Triazoles

#### **Examples**

fluconazole, itraconazole, voriconazole

**Mechanism of action and spectrum of activity.** The triazoles have a similar mechanism of action and spectrum of activity to the imidazoles (see earlier). Fluconazole is used for candidiasis and for cryptococcal infection. Itraconazole is used for mucocutaneous candidiasis and for dermatophyte infections, such as pityriasis versicolor (caused by an organism known as *Malassezia furfur* or *Pityrosporum orbiculare*) and tinea corporis or pedis (ringworm). Voriconazole is an 'extended-spectrum' triazole used for invasive aspergillosis, and serious infections caused by *Scedosporium* species, *Fusarium* species, or invasive fluconazole resistant *C. krusei* and *Candida glabrata*.

**Resistance.** As with imidazoles, the development of resistance to triazoles is uncommon except during long-term use in people with late stage HIV infection. Resistance to fluconazole is more prevalent than for the other triazoles. The mechanisms of resistance are similar to those of the imidazoles, including alterations in lanosterol 14 $\alpha$ -demethylase structure and expression, and enhanced drug efflux.

**Pharmacokinetics.** Oral absorption of triazoles is good. Formulations are also available for intravenous use. The triazoles are metabolised in the liver and have long half-lives (6–35 hours). Fluconazole penetrates well into the CSF, which is useful for the treatment of cryptococcal meningitis.

#### **Unwanted effects**

- Nausea, abdominal pain and diarrhoea.
- Headache, dizziness.
- Photosensitivity with voriconazole.
- Abnormalities of liver function and, occasionally, hepatitis or cholestasis. These are more common during prolonged treatment with itraconazole. Monitoring of liver function during systemic treatment is essential.
- Increased risk of heart failure with itraconazole. The mechanism of its negative inotropic effect is not known.
- Rashes, including Stevens–Johnson syndrome.

#### Terbinafine

**Mechanism of action and use.** Terbinafine is an allylamine compound that inhibits squalene epoxidase (or monooxygenase), the enzyme that converts squalene to lanosterol before its conversion by lanosterol 14 $\alpha$ -demethylase to ergosterol for incorporation into the fungal cell membrane. Squalene epoxidase also occurs in animal cells but the drug is selective for the fungal form of the enzyme. Terbinafine therefore impairs fungal cell membrane function, and the intracellular accumulation of squalene is probably cytotoxic (see Fig. 51.5). It is used topically for the treatment of dermatophyte infections of the nails and systemically for other ringworm infections.

**Resistance**. Resistance is rare, but may occur owing to enhanced efflux pump activity or mutations in squalene epoxidase.

**Pharmacokinetics.** Terbinafine penetrates well into the stratum corneum and hair follicles after topical use. After oral administration, it is metabolised in the liver and has a long half-life (11–17 hours).

**Unwanted effects.** These are unlikely with topical use of the drug, but oral use may cause:

- nausea, taste disturbance, anorexia, abdominal discomfort and diarrhoea;
- headache;
- rashes, which are occasionally severe;
- fatigue, myalgia, arthralgia.

#### Echinocandins

#### Examples

anidulafungin, caspofungin, micafungin

**Mechanism of action.** The fungal cell wall is composed of a primary layer of chitin closest to the phospholipid cell membrane, surmounted by a secondary layer of  $\beta(1,3)$ -D-glucan and  $\beta(1,6)$ -D-glucan polymers linked by glycosidic bonds, and by an outer mannoprotein layer. Echinocandins inhibit the fungal enzyme  $\beta(1,3)$ -D-glucan synthase and prevent production of  $\beta(1,3)$ -Dglucan in the cell wall, leading to osmotic instability and cell death (see Fig. 51.5). Echinocandins are used to treat invasive candidiasis; caspofungin is also used as a second-line drug in invasive aspergillosis.

**Resistance.** This is uncommon at present, but in some *Candida* species resistance can develop from mutations encoding structural changes in  $\beta(1,3)$ -D-glucan synthase, which no longer binds the drug, or from enhanced activity of efflux transporters for the drugs, or from strengthening of the cell wall by increased production of chitin.

**Pharmacokinetics.** Oral absorption of echinocandins is limited and they are given only by intravenous infusion. They have high affinity for plasma proteins and their distribution into the CSF is poor. Elimination is by hepatic metabolism or slow spontaneous degradation with very long half-lives up to 40 to 50 hours.

#### **Unwanted effects**

- Nausea, vomiting, abdominal pain, diarrhoea.
- Dyspnoea.
- Flushing, fever.
- Headache.
- Rashes.
- Hypokalaemia, hypomagnesaemia.
- Hepatobiliary disturbance.

#### Drugs That Inhibit Macromolecule Synthesis Flucytosine

**Mechanism of action and use.** Flucytosine is taken up by cytosine permease and converted to 5-fluorouracil selectively in fungal cells by cytosine deaminase. 5-Fluorouracil is an antimetabolite that competes with uracil for incorporation into fungal RNA. Flucytosine is also metabolised by uracil phosphoribosyltransferase (UPRTase) to fluorodeoxyuridine monophosphate, which inhibits thymidylate synthase and impairs DNA synthesis (see Fig. 51.5).

Flucytosine is active only against yeasts such as *Candida, Aspergillus* and *Cryptococcus* species. For systemic infections, including refractory invasive candidiasis and cryptococcal meningitis, it is used in combination with amphotericin.

**Resistance.** Intrinsic (primary) or acquired resistance of yeast strains to flucytosine is due to impaired uptake of the drug by cytosine permease. Acquired resistance also arises readily from mutations that produce a deficiency of cytosine deaminase or UPRTase, reducing conversion of the drug to the metabolites that impair RNA and DNA synthesis. Increased export of the drug and increased production of uracil, which competes with the drug, also occur.

**Pharmacokinetics.** Flucytosine is available only for intravenous use. It is mainly eliminated unchanged in the urine and has a short half-life (3 hours).

#### **Unwanted effects**

- Nausea, abdominal pain, diarrhoea.
- Rashes.
- Bone marrow suppression.

#### Drugs That Interact with Microtubules Griseofulvin

**Mechanism of action and use.** Griseofulvin binds persistently to keratin in skin and nails and makes them resistant to dermatophyte infection. It inhibits dermatophyte mitosis by impairing the polymerisation of microtubule proteins, thereby preventing mitotic cell division and leading to the formation of giant multinucleated cells (see Fig. 51.5). Griseofulvin is orally active against dermatophytes such as *Microsporum*, *Epidermophyton* and *Trichophyton* species; it is not used topically. Although effective for refractory or widespread dermatophyte infections, it has been largely superseded by newer antifungals, particularly for nail infections. However, it is still used for fungal scalp infections in children. **Resistance.** Intrinsic resistance to griseofulvin is related to greater energy-dependent export of the drug from the fungal cell. Acquired resistance may occur due to development of thick cell walls that limit griseofulvin uptake.

**Pharmacokinetics.** Griseofulvin shows variable absorption from the gut. It is selectively concentrated in skin and nail beds; only low concentrations are found in plasma. Elimination of the unbound fraction is by hepatic metabolism and the half-life is long (10–21 hours).

#### **Unwanted effects**

- Nausea, vomiting, diarrhoea.
- Headache.
- Skin rashes, urticaria.

#### TREATMENT OF SPECIFIC FUNGAL INFECTIONS

#### Aspergillus

Aspergillus species can cause an invasive fungal infection that most commonly affects the lung. However, in immunocompromised individuals it can invade more widely and infect the heart, brain, sinuses and skin. The treatment of choice is voriconazole. Anidulafungin, or another echinocandin, may be added in severe cases. A liposomal formulation of amphotericin may be used if voriconazole is not tolerated. Conventional formulations of amphotericin should be avoided due to high toxicity and poor treatment outcomes.

#### Candida

Amphotericin or nystatin is often used for oral candidiasis. If there is oropharyngeal disease that is refractory to topical treatment, an absorbed drug such as fluconazole or itraconazole is used orally. Vulvovaginal infection is treated with cream and pessaries; imidazole drugs such as clotrimazole are usually the first choice. Nystatin is an alternative for vulvovaginal candidiasis, but it stains clothing yellow. For recurrent vulvovaginal infections, oral fluconazole or itraconazole should be taken once a week for 6 months. Superficial candidal infections of the skin are treated topically with cream, usually containing an imidazole. Terbinafine or nystatin can also be used topically.

Invasive candidiasis occurs mainly as a hospitalacquired infection, particularly in people who have had abdominal surgery, parenteral nutrition, multiple antibacterial drugs or central vascular lines. *C. albicans* is still the single most common organism, but *C. krusei*, *C. glabrata* and *C. parapsilosis* are increasingly common. A liposomal formulation of amphotericin is the treatment of choice, sometimes combined with fluconazole. Caspofungin is an alternative.

#### Cryptococcus

Infection with *Cryptococcus* species usually occurs in people who are immunocompromised. It can cause life-threatening meningitis and is treated with intravenous
liposomal amphotericin together with flucytosine. Fluconazole is an alternative and can also be given orally for prophylaxis against relapse.

#### **Skin and Nail Infections**

Topical therapy is usually suitable for infections with most dermatophytes. Fungal infection of the scalp (tinea capitis), body (tinea corporis), groin (tinea cruris), hand (tinea manuum), foot (tinea pedis) or nail (tinea unguium) will respond to most azoles. Griseofulvin is usually reserved for treatment of scalp infections. Nail infection usually requires systemic treatment with terbinafine or itraconazole.

Pityriasis versicolor can be treated topically or orally with itraconazole or with oral fluconazole.

### Prophylaxis of Fungal Infection in Immunocompromised Individuals

Individuals who are immunocompromised are at greater risk of fungal infection. Prophylaxis is often given with oral fluconazole as this has better oral absorption than most azoles.

# **VIRAL INFECTIONS**

Viruses are small infective particles consisting of either DNA or RNA inside a protein coating (capsule), which in some viruses may be further surrounded by a lipoprotein coating. The proteins can have antigenic properties. Viruses lack any inherent metabolic machinery and must use the host's metabolic processes to replicate. Viruses access host cells after binding to recognition sites that are endogenous receptors for normal cellular constituents – for example, adrenoceptors, cytokine receptors, glycoproteins and so on (Fig. 51.6). Drugs that interfere with host cell membrane cytokine receptors, such as chemokine (C-C motif) receptor 5 (CCR5) (see later) and surface glycoproteins, will inhibit entry of viruses that dock with those receptors, interrupting viral replication.

The host will normally eliminate the virus by killing the infected cell. Cytotoxic T-lymphocytes recognise the viral surface proteins that are expressed by infected cells. The host can also produce antibodies that bind to and inactivate virus particles extracellularly. Vaccination is designed to generate this response.

Because viruses utilise the host's metabolic processes, it is difficult to damage the virus without also damaging the host. Importantly, antiviral drugs are effective only while the virus is replicating, so the earlier they are given in the course of the infection the more likely they are to be effective. An outline of the replication of RNA and DNA viruses is shown in Fig. 51.7 (see also Fig. 51.6). As the replicative mechanisms involved may be distinctive to one type of virus, some antiviral drugs are specific for a particular class of virus.



Fig. 51.6 Principles of RNA virus replication and sites of action of antiviral drugs. Drugs used for human immunodeficiency virus (HIV) infection are nucleoside/nucleotide inhibitors of HIV reverse transcriptase, or target other HIV proteins (integrase, protease) or the entry of HIV into host cells.



Fig. 51.7 Principles of DNA virus replication and sites of actions of antiviral drugs. Drugs used for infection with herpes viruses and cytomegalovirus are nucleoside or non-nucleoside inhibitors of viral DNA polymerase.

New antiviral drugs are being introduced into clinical practice at an increasing rate. Drugs are available to treat infection by RNA viruses (e.g. HIV, hepatitis C, coronaviruses and influenza viruses) and DNA viruses (e.g. herpesviruses, cytomegalovirus and hepatitis B virus). Most of these drugs work by disturbing various steps in the replicative pathways of the virus. Because of the development of resistance and the variability in viral sensitivity to drugs, it is sometimes necessary to use drug combinations that target different processes in the virus replication.

Resistance to antiviral drugs occurs readily. This relates to the high rate of natural occurrence of mutations in the viral genome and production of quasispecies of the virus. Viral polymerases have a high inherent error rate (especially RNA viruses), and viruses tolerate a large number of nucleoside mutations without losing their infectivity. This allows for rapid viral adaptation in response to the selective pressure applied by antiviral drug therapy.

Most RNA viruses are unable to correct mistakes during replication, but coronaviruses encode a 3'-to-5'exoribonuclease (ExoN) that has a proofreading function. This can prevent or correct incorporation of nucleoside analogues, conferring natural resistance to many antiviral drugs.

#### **ANTIVIRAL DRUGS**

### Drugs Active Against HIV Nucleoside/nucleotide reverse transcriptase inhibitors

#### 📈 Examples

abacavir, emtricitabine, lamivudine, tenofovir disoproxil, zidovudine

**Mechanism of action.** Nucleoside/nucleotide reverse transcriptase inhibitors (NRTIs) are active against HIV, a retrovirus. The drugs inhibit RNA virus replication by reversible inhibition of viral HIV reverse transcriptase, which reverse transcribes viral RNA into DNA for insertion into the host DNA sequence (see Fig. 51.6). The NRTIs are activated by phosphorylation in two steps to the 5'-triphosphate form by cellular kinases. Inhibition of viral replication is achieved by competitive binding of the activated drug to the enzyme–template–primer complex in place of the natural 5'-deoxynucleoside triphosphates. The drugs lack the 3'-hydroxyl group on the deoxyribose moiety required for normal formation of a phosphodiester bond with the next nucleotide, so they terminate further DNA chain elongation.

The NRTIs are analogues of precursors of the natural purines and pyrimidines involved in DNA transcription initiated by the virus. Zidovudine is the prototypical NRTI, though now uncommonly used. It is an analogue of thymidine. Emtricitabine and lamivudine are analogues of cytidine, and abacavir is an analogue of deoxyguanosine. Tenofovir disoproxil is a prodrug converted to tenofovir, a nucleotide analogue of adenosine that requires only diphosphorylation for activation, but otherwise it shares the mechanism of action of the nucleoside analogues.

**Resistance.** Resistant quasi-species emerge within weeks or months by mutations affecting the drugbinding site on reverse transcriptase, resulting in an increase in the affinity for the natural substrate compared with the drug. A second mechanism of resistance is enhanced excision of the incorporated drug from the DNA chain by pyrophosphorolysis, allowing elongation to continue normally. Because of the rapid development of resistance, combination drug therapy is used to treat HIV infection.

**Pharmacokinetics.** These drugs are almost completely absorbed from the gut. Elimination of abacavir and zidovudine is mainly by hepatic metabolism and the half-lives are short (1–2 hours). Zidovudine needs to be taken twice daily, whereas abacavir can be taken once daily. Emtricitabine, lamivudine and tenofovir are mainly eliminated unchanged by the kidneys, with half-lives in the range of 1 to 17 hours. They can be taken once daily. The NRTIs are usually taken in pairs, and are available in coformulated tablets to improve convenience and adherence. Commonly used examples are tenofovir–emtricitabine and abacavir–lamivudine, both of which can be taken once daily.

**Unwanted effects.** Abacavir, emtricitabine and lamivudine are generally well tolerated, though they may cause headache, and abdominal and constitutional symptoms. Rarely, lamivudine is associated with pancreatitis, which may be serious. Abacavir can incite serious hypersensitivity reactions, almost universally in people who carry the HLA-B\*5701 allele. Early features of the reaction include fever, malaise, rash and abdominal symptoms, commencing usually in the first 1 to 2 weeks after initiation. It is crucial to recognise the reaction and stop abacavir immediately and permanently. People should be tested for HLA-B\*5701 before initiation and the drug should not be offered to those who carry it.

As compared to newer NRTIs, zidovudine is poorly tolerated. Headache, malaise and nausea occur in the majority of people who take the drug. Neutropenia and anaemia are the most frequent treatment-limiting unwanted effects, occurring more commonly in individuals with late stage HIV infection. Zidovudine therapy is a risk factor for HIV-associated lipodystrophy syndrome, in which both fat accumulation and lipoatrophy can occur. This may be due to inhibition of regulatory proteins in adipocytes. Severe, potentially life-threatening hepatomegaly with steatosis and lactic acidosis can occur with zidovudine treatment. This is probably related to inhibition of host mitochondrial enzymes, with impaired generation of intracellular adenosine triphosphate (ATP) leading to multiple organ disorders. The risk is much lower with the newer NRTIs.

# Non-nucleoside reverse transcriptase inhibitors

# **Examples**

#### efavirenz, nevirapine, rilpivirine

**Mechanism of action.** The non-nucleoside reverse transcriptase inhibitors (NNRTIs) bind directly to HIV-1 reverse transcriptase at a hydrophobic site remote from the enzyme's active site to produce a conformational change that prevents substrate binding. They have greater antiviral activity than the NRTIs and are better tolerated. NNRTIs are inactive against HIV-2, which is mainly found in West Africa.

**Resistance.** Resistance emerges rapidly by single point mutations in reverse transcriptase that reduce binding or access of the drugs to the hydrophobic binding site, unless they are used in combination with at least two other antiretroviral drugs.

**Pharmacokinetics.** Oral absorption of nevirapine and rilpivirine is good, whereas that of efavirenz is variable and incomplete. They are metabolised by hepatic CYP3A4 and CYP2B6, and also induce these enzymes. They have very long half-lives of about 2 days and can be taken once daily.

#### Unwanted effects

- Rashes (severe in 10% of individuals), especially with nevirapine.
- Nausea, vomiting, abdominal pain, diarrhoea.
- Headache, drowsiness, fatigue.
- Depression and other psychiatric disturbances with efavirenz and rilpivirine.
- Hepatotoxicity with nevirapine, which can cause potentially fatal fulminant hepatitis.
- Multiple interactions with drugs metabolised by hepatic CYP3A4 and CYP2B6, including HIV protease inhibitors, antifungal drugs and proton pump inhibitors.

#### HIV protease inhibitors

#### Examples

atazanavir, darunavir, fosamprenavir, lopinavir, ritonavir

**Mechanism of action.** In HIV infection there are some steps in viral replication that differ from the mRNA translation processes in host cells. In the virus, RNA is translated into inert precursor polyproteins rather than the functional proteins that are the products of host cells. Viral HIV-1 protease cleaves these precursor polyproteins to the structural proteins and enzymes required by the virus for budding from the host membrane (see Fig. 51.6). HIV protease inhibitors block the active site of the viral protease and cause the production of defective virus particles that are less infectious. They do not affect virus activity in host cells that are already infected.

**Resistance.** Resistance occurs by mutations affecting the amino acid sequence of the HIV protease target. Multiple mutations are required for high-level resistance, but over one-third of the amino acid residues in HIV protease can be changed without diminishing viral capacity for replication. High plasma drug concentrations delay the onset of resistance, as does the combination of a protease inhibitor with two reverse transcriptase inhibitors. Sequential use of more than one protease inhibitor encourages high-level resistance.

**Pharmacokinetics.** Oral absorption varies between the protease inhibitors. All are metabolised by CY-P3A4 in the liver, and have half-lives in the range of 2 to 10 hours. They all inhibit CYP3A4, and ritonavir is particularly potent in this respect. Ritonavir is no longer used for its antiretroviral effect, but may be taken in low dose to inhibit CYP3A4 and prolong the half-life of a co-administered antiretroviral drug.

#### Unwanted effects

- Nausea, vomiting, abdominal pain, diarrhoea.
- Lipodystrophy syndrome (see nucleoside HIV reverse transcriptase inhibitors).
- Hepatic dysfunction.
- Pancreatitis.
- Circumoral and peripheral paraesthesiae with ritonavir.
- Drug interactions (see Chapter 56): the concurrent use of inducers of CYP3A4 can lower plasma concentrations of the protease inhibitor and encourage viral resistance. Due to inhibition of CYP3A4, unwanted effects of concurrently administered drugs that are CYP3A4 substrates, such as warfarin (see Chapter 11) and carbamazepine (see Chapter 23), may be increased. The use of protease inhibitors with simvastatin (see Chapter 48) should be avoided because of an increased risk of myopathy.

# HIV fusion-entry inhibitor

	Example		
enfu	virtide		

**Mechanism of action.** To enter a host cell, HIV fuses with the host cell membrane. This fusion is facilitated by a conformational change in a viral glycoprotein, gp41, in the viral cell membrane. Enfuvirtide is a 36-amino-acid peptidomimetic that binds to gp41 and prevents the conformational change, blocking HIV fusion and entry into host cells. Enfuvirtide is used when there is resistance or intolerance to other antiretroviral drugs.

**Resistance.** This occurs by gene mutation that modifies the gp41 glycoprotein target of the drug.

**Pharmacokinetics.** Enfuvirtide is given twice daily by subcutaneous injection. It is metabolised by hydrolysis to its constituent amino acids and has a half-life of 4 hours.

#### **Unwanted effects**

- Injection-site reactions.
- Hypersensitivity reactions: rash, fever, nausea, vomiting, chills, rigors, low blood pressure, respiratory distress, glomerulonephritis, and raised liver enzymes.
- Pancreatitis, gastrooesophageal reflux disease, anorexia, weight loss.
- Peripheral neuropathy, tremor, anxiety, nightmares, vertigo.
- Diabetes mellitus.

# Chemokine (C-C motif) receptor 5 co-receptor antagonist

#### Example

#### maraviroc

Mechanism of action. CCR5 is a receptor for several chemokines, including RANTES ('regulated upon activation, normal T-cell expressed and secreted') and macrophage inflammatory proteins MIP-1 $\alpha$  and MIP-1 $\beta$ . It is expressed on many cells, including T-cells, macrophages, dendritic cells and microglia. CCR5 also acts as a viral co-receptor that facilitates entry of HIV into the cell. Some HIV strains use other chemokine receptors such as CXCR4, or both CXCR4 and CCR5, to access cells. HIV strains which use CCR5 are predominant early in the infection. Maraviroc selectively binds to CCR5 and prevents interaction with CCR5-tropic strains of HIV, inhibiting their entry into the cell. It has no effect on viruses that use CXCR4 or are dual-tropic for CXCR4 and CCR5. Maraviroc is used in combination with other antiretroviral drugs for individuals who have already received other antiretroviral treatment. A viral tropism assay must be performed prior to initiation to confirm that only CCR5-tropic HIV-1 is detectable.

**Resistance.** Resistance is uncommon but may arise from changes in the viral envelope glycoproteins that interact with CCR5.

**Pharmacokinetics.** Maraviroc is only partially absorbed from the gut. It is metabolised in the liver and has a long half-life (14–18 hours).

#### **Unwanted effects**

- Nausea, diarrhoea, abdominal pain, anorexia, malaise.
- Upper respiratory tract infections, cough.
- Rashes.
- Depression, insomnia, headache.

#### HIV integrase inhibitors

# Examples

bictegravir, elvitegravir, dolutegravir, raltegravir

Mechanism of action. Once inside a host cell, HIV integrates its DNA into the host genome by the action of a specific viral integrase. Integrase inhibitors (INIs) inhibit the viral integrase and prevent DNA strand transfer from the viral genome. They are used in combination with other antiretroviral drugs, particularly for viral strains resistant to other drugs. Cobicistat, which has no intrinsic antiretroviral activity but boosts the activity of elvitegravir by inhibiting its breakdown by hepatic CYP3A4, may be added to prolong the INI's half-life. Bictegravir is only available in a combined formulation with the NRTIs emtricitabine and tenofovir.

**Resistance.** This arises from mutations in the viral integrase drug target and currently occurs most commonly to raltegravir. Resistance to one integrase inhibitor confers cross-resistance to other integrase inhibitors.

**Pharmacokinetics.** The integrase inhibitors are well absorbed from the gut. They are excreted in the bile after glucuronide conjugation and also unchanged in the urine. They have half-lives of 9 to 14 hours.

**Unwanted effects** 

- Nausea, vomiting, diarrhoea, abdominal pain.
- Headache, dizziness, insomnia, abnormal dreams.
- Rashes, sometimes with fever, arthralgia, myalgia, angioedema and hepatitis.

# Drugs for Herpesvirus and Cytomegalovirus Infections

Nucleoside inhibitors of viral DNA polymerase

#### 🕂 Examples

aciclovir, ganciclovir, valaciclovir, valganciclovir

**Mechanism of action.** Aciclovir and ganciclovir are guanosine analogues that are active against many DNA viruses by inhibiting the synthesis of viral DNA (see Fig. 51.7). They are activated by phosphorylation to a monophosphate by viral thymidine kinase; this initial dependence on a viral enzyme prevents cytotoxic effects in human cells. The monophosphorylated drug is then converted to a triphosphate by host intracellular kinases. The triphosphate derivatives of aciclovir and ganciclovir are potent inhibitors of viral DNA polymerase; this terminates viral DNA synthesis and thus inhibits viral replication (see Fig. 51.7).

**Spectrum of activity.** Aciclovir is most active against herpesviruses (both simplex and zoster). It is active against cytomegalovirus (CMV) only at high doses. Ganciclovir is also active against herpesviruses and has much greater activity than aciclovir against CMV, possibly because it is a better substrate for the CMV protein kinase which activates it.

**Resistance.** Viral mutants arise that are deficient in thymidine kinase or have alterations in viral DNA polymerase. Thymidine kinase-deficient mutants of herpesvirus usually develop in immunocompromised individuals – for example, those with late-stage HIV infection or after bone marrow transplantation, when resistance rates average 5% to 10%.

**Pharmacokinetics.** Aciclovir can be given orally, intravenously or applied topically to the skin or eye. Absorption from the gut is poor. The drug is widely distributed, but concentrations in the CSF are low compared with those in plasma. Most is eliminated by the kidneys, and the half-life is 3 hours. Valaciclovir is an ester prodrug of aciclovir with higher oral bioavailability.

Ganciclovir is given intravenously for acute infections as it is poorly absorbed from the gut; it penetrates the CSF moderately well. It is eliminated by the kidney and has a half-life of 4 hours. Valganciclovir is an ester prodrug of ganciclovir with better oral absorption.

**Unwanted effects.** Most unwanted effects occur with intravenous use and are much more frequent with ganciclovir than with aciclovir.

- Severe local phlebitis at an infusion site.
- Nausea, vomiting, abdominal pain, diarrhoea.
- Rashes, including photosensitivity.
- Headache, dizziness, confusion, convulsions.
- Nephrotoxicity is caused by crystallisation of aciclovir in the kidney. It can be limited by a high fluid intake.
- Bone marrow suppression is the most frequent serious unwanted effect with ganciclovir, with neutropenia occurring in up to 40% of people and thrombocytopenia less frequently.
- Valganciclovir is teratogenic and carcinogenic. Healthcare staff administering valganciclovir must take care when handling the drug, particularly if the oral solution is used or tablets need to be broken, to avoid direct contact or inhalation.

#### Non-nucleoside inhibitors of viral DNA polymerase

#### Example

#### foscarnet sodium

**Mechanism of action.** Foscarnet is an inorganic pyrophosphate compound that binds to the pyrophosphatebinding sites of viral DNA polymerase, inhibiting its role in DNA chain elongation. Affinity for the viral DNA polymerase is a hundred times greater than for the host cell DNA polymerase. Foscarnet does not rely on intracellular activation for its antiviral activities (see Fig. 51.7). It inhibits replication of CMV and herpes simplex virus.

**Resistance**. Resistance to foscarnet is due to mutations in the gene that encodes viral DNA polymerase, altering its amino acid structure.

**Pharmacokinetics.** Because foscarnet is a highly polar molecule, it is given only intravenously. Foscarnet is eliminated by the kidneys and has a half-life of about 5 hours.

#### **Unwanted effects**

- Nausea, vomiting, diarrhoea.
- Neutropenia.
- Headache, tremor, dizziness, mood disturbances.
- Nephrotoxicity, causing a rise in plasma creatinine; good hydration reduces kidney damage.

#### **Drugs for Treating Hepatitis Viruses**

Drugs for treating hepatitis B and C virus infections include nucleoside/nucleotide analogues (entecavir, lamivudine, ribavirin, tenofovir disoproxil), protease inhibitors (bocepravir, telaprevir and others), inhibitors of other viral proteins (such as daclatasvir), and the cytokine interferon alpha. Drug treatment of hepatitis virus infection is discussed in Chapter 36. Ribavirin is also used to treat respiratory syncytial virus (RSV; see drug compendium at end of chapter).

# **Drugs for Treating Influenza Virus** *M*<sub>2</sub> ion channel inhibitors

	Example			
amai	ntadine			

Amantadine is active only against influenza A and inhibits the transmembrane  $M_2$  ion channel, which permits  $H^+$  entry into the viral particle. This is required for uncoating of the virus once it has penetrated the host cell, so viral replication is inhibited. Amantadine is no longer recommended for influenza A; its use in Parkinson's disease is discussed in Chapter 24.

#### Neuraminidase inhibitors

#### Examples

#### oseltamivir, zanamivir

**Mechanism of action.** Influenza viruses carry two surface glycoproteins, a haemagglutinin and a neuraminidase. The haemagglutinin mediates entry of the virus into the host cell. Neuraminidase cleaves cellularreceptor sialic acid residues, to which newly formed viruses are attached as they bud from the infected cell. The released virions can then infect new cells. Neuraminidase inhibitors inhibit the neuraminidases of both influenza A and B and are effective against isolates resistant to amantadine.

**Pharmacokinetics.** Zanamivir is administered by inhalation; only 2% of the inhaled drug is absorbed; it is then excreted unchanged with a half-life of 2 to 5 hours. Oseltamivir is a more lipophilic molecule that is taken orally and is converted by hepatic esterases to the active oseltamivir carboxylate, which is excreted by the kidneys and has a half-life of 6 to 10 hours.

**Unwanted effects** 

- Gastrointestinal disturbance with oseltamivir.
- Headache, fatigue, insomnia, dizziness with oseltamivir.
- Bronchospasm with inhaled zanamivir.

#### Cap-dependent endonuclease inhibitor



Mechanism of action. Cellular mRNA contains a cap structure (a 'molecular tag') that is essential for initiation of protein synthesis. Viral mRNA, transcribed by viral RNA polymerase, cannot be read by host cell ribosomes without this cap structure. Therefore, to enable translation of its genome, the influenza virus polymerase complex 'snatches' a cap from host cell mRNA. The viral polymerase binds to and then cleaves the host cell pre-messenger RNA, acquiring a capped RNA primer, which it can then extend using viral genes. The resulting capped viral mRNA is then read and translated by host cell ribosomes to produce viral proteins.

Cleavage of the host cell pre-messenger RNA is performed by the cap-dependent endonuclease in the polymerase acidic protein (PA) subunit of the polymerase complex. Baloxavir selectively inhibits this enzyme, blocking viral protein synthesis.

**Pharmacokinetics.** Baloxavir marboxil is administered as a single oral dose. It is a prodrug that is rapidly hydrolysed by arylacetamide deacetylase in the intestine, blood and liver to its active form, baloxavir acid. It has a long half-life of approximately 80 hours. It is eliminated primarily by glucuronidation.

**Unwanted effects.** Baloxavir marboxil is well tolerated with few unwanted effects. Diarrhoea occurs in a small minority.

# Drugs for Treating Respiratory Syncytial Virus Palivizumab

**Mechanism of action and use.** Palivizumab is a humanised monoclonal antibody with potent neutralising and fusion-inhibiting activity against respiratory syncytial virus (RSV). RSV is a common cause of mild respiratory illness in infants but can produce more severe illness in premature infants or those with congenital heart disease or bronchopulmonary dysplasia. Palivizumab reduces the ability of RSV to replicate and infect cells by binding to an antigenic site on the surface of the virus particle. It can be given to at-risk children under the age of 2 years prior to commencement of the RSV season (October to April in the northern hemisphere) and monthly thereafter.

**Pharmacokinetics.** Palivizumab is given intramuscularly into the anterolateral aspect of the thigh. The routes of elimination are unknown; it has a very long half-life of about 20 days.

Unwanted effects

- Fever.
- Injection-site reactions.

# Drug for Treating Coronaviruses Nucleotide analogue

📈 Example

remdesivir

Coronaviruses (CoV) are RNA viruses that commonly cause colds and pneumonia. Additionally, zoonotic coronaviruses have a propensity for emergence into new hosts, which may cause disease outbreaks in immunologically naïve humans. Coronaviruses from a zoonotic source are responsible for the severe acute respiratory syndromes (SARS) that emerged in 2002 (caused by SARS-CoV), 2012 (Middle East respiratory syndrome coronavirus, MERS-CoV) and 2019 (SARS-CoV-2; causing coronavirus disease 2019, COVID-19). Coronaviruses encode a unique proofreading 3'–5' exoribonuclease (ExoN), which makes them insensitive to many nucleoside analogues that are effective against other viruses.

Mechanism of action. Remdesivir is an antiviral nucleotide prodrug that has some capacity to evade proofreading by ExoN. It is incorporated into the nascent viral RNA chain, causing it to terminate prematurely. It thus interferes with viral RNA synthesis and replication. It has a broad spectrum of in vitro activity against RNA viruses including SARS-CoV, SARS-CoV-2, Ebola virus, MERS-CoV, and RSV. In COVID-19, there is some evidence that it speeds recovery in people admitted to hospital with nonsevere disease. At the time of writing, it has not been shown to improve survival in COVID-19, and its benefits in people with more severe disease appear limited. This may be because viral replication peaks early in the COVID-19 disease course, and the subsequent development of severe illness in a minority is due primarily to the host immune response.

**Pharmacokinetics.** Remdesivir is administered by intravenous infusion. It is hydrolysed by esterases within cells to its active metabolite, remdesivir triphosphate. Remdesivir is eliminated mostly as the active metabolite, which is excreted in urine with a half-life of 27 hours. It is given once daily, with an initial loading dose.

**Unwanted effects.** Common unwanted effects include nausea, headache, rash and elevations in transaminase enzyme levels. Serious unwanted effects are uncommon.

#### **Other Drugs for Viral Infections**

#### Immunomodulators

**Interferon alpha.** Interferon alpha is most often used in the treatment of chronic hepatitis B infection and is discussed in Chapter 36. Other clinical uses of interferon alpha include the treatment of:

- condylomata acuminata,
- HIV-related Kaposi's sarcoma,
- hairy cell leukaemia,
- recurrent or metastatic renal cell carcinoma (see Chapter 52).

**Baricitinib.** Baricitinib is a Janus kinase inhibitor used in rheumatoid arthritis, discussed in Chapter 30. It selectively binds with the Janus-associated tyrosine kinases JAK1 and JAK2, causing reversible inhibition. In addition, it was predicted, through artificial intelligence algorithms, to have antiviral activity against SARS-CoV-2. At the time of writing, there is emerging evidence that it may have additive effects over remdesivir and dexamethasone in speeding recovery and reducing mortality and adverse events.

**IL-6 pathway inhibitors.** The interleukin (IL)-6 receptor antagonists tocilizumab and sarilumab are used in inflammatory conditions including rheumatoid arthritis and giant cell arteritis (see Chapter 30). IL-6 is a proinflammatory cytokine that has a central role in the hyper-inflammatory state (cytokine-release syndrome) that occurs in severe COVID-19. At the time of writing, evidence suggests that tocilizumab reduces mortality in people with confirmed COVID-19 whose condition is severe enough to require hospital admission and supplemental oxygen. Sarilumab has a similar effect, but currently, less evidence is available to support its clinical benefit.

**Corticosteroids.** Corticosteroids improve outcomes, including mortality, in severe COVID-19. The magnitude of benefit varies as a function of illness severity. In the pivotal RECOVERY trial, which was conducted during the first surge of the disease in the UK, the greatest reduction in mortality was seen in people who required invasive mechanical ventilation. A lesser but still significant mortality benefit was observed in people who required supplemental oxygen. There was no apparent benefit in less severe disease.

Dexamethasone is the corticosteroid with most supporting evidence in COVID-19, although similar findings have been observed with hydrocortisone and it is plausible that this is a class effect. The mechanism of benefit is likely to be modulation of the host immune response, at a time in the illness when this is the primary cause of organ dysfunction. Corticosteroids are discussed in detail in Chapter 44.

# TREATMENT OF SPECIFIC VIRAL INFECTIONS

#### **HIV Infection**

Multidrug therapy is essential for the treatment of HIV infection because of the rapid emergence of resistant strains. The most frequently used treatment regimens include two nucleoside analogue reverse transcriptase inhibitors (e.g. tenofovir with emtricitabine or abacavir with lamivudine) combined with either a ritonavirboosted protease inhibitor (e.g. atazanavir), an NNRTI (e.g. efavirenz) or an integrase inhibitor.

Wherever possible, combination antiretroviral therapy (ART) should be offered soon after diagnosis to all individuals with detectable HIV-1 infection, regardless of their CD4 count. Randomised controlled trials indicate that there is benefit from ART at all stages of the disease, and earlier initiation is associated with better outcomes. Choice of ART drugs should be guided by resistance testing, and to that end a genotypic resistance test should be performed soon after diagnosis.

Suboptimal viral load response is defined as a less than 1-log<sub>10</sub> reduction in the first 4 weeks of ART, and virological failure is defined as a viral load greater than 200 copies/mL, confirmed on two samples, while on ART. These may occur due to poor adherence with therapy, altered absorption or metabolism (including due to drug–drug and drug–food interactions), or the development of resistance. Resistance testing should be performed in all individuals who have suboptimal viral load response or virological failure, and should ideally be performed while they are still taking ART, or otherwise as soon as possible after stopping. The regimen should then be modified as soon as possible to avoid exacerbating resistance. Intensification of ART with a single agent should be avoided. Choice of ART is complex, particularly in the context of inadequate treatment response or resistance, and should be determined by a specialist multidisciplinary team.

Prophylaxis may be required after accidental exposure to HIV. Three-drug regimens are preferred in most cases. This should be started urgently; for healthcare workers exposed to HIV, this should ideally be within 1 hour. For people at high risk of sexually-acquired HIV infection, preexposure prophylaxis using emtricitabine– tenofovir is effective in reducing the rate of infection.

#### Varicella Zoster Virus Infections

Varicella zoster virus (VZV) is a herpesvirus responsible for both chickenpox and shingles (herpes zoster). Shingles arises from reactivation of the virus, which lies dormant in a dorsal root ganglion after the primary chickenpox infection. Chickenpox is rarely treated with antiviral therapy, although the use of oral aciclovir reduces lesion formation and results in quicker healing.

Herpes zoster is most commonly found in the elderly and in immunosuppressed people. The rash is often preceded by pain for 1 to 4 days. Complications of infection occur in 15% to 20% of people who develop herpes zoster and include meningoencephalitis, motor nerve paralysis, ocular complications and postherpetic neuralgia. Oral antiviral drug therapy reduces pain and accelerates healing. It must be given while the virus is still replicating and therefore started within 72 hours of the onset of the rash. Oral aciclovir or valaciclovir are often used and are particularly indicated for those aged over 50 years (who are at greater risk of complications), for ophthalmic infections or in immunosuppressed people. The use of aciclovir has little effect on the risk of developing postherpetic neuralgia but does reduce the risk of motor nerve damage. Corticosteroids such as prednisolone used as an adjunctive treatment to antiviral therapy reduce pain and produce more rapid healing of lesions but do not prevent the development of postherpetic neuralgia. Analgesics are often required in the early phases of zoster, and postherpetic neuralgia may require specific therapy (see Chapter 19).

#### Herpes Simplex Virus Infections

Herpes simplex virus exists in two forms: type 1 produces either oral or genital ulceration and type 2 produces genital ulceration. Oral herpes simplex infection will respond to early topical application of aciclovir. Primary genital herpes produces multiple painful lesions and responds to oral aciclovir or valaciclovir given for 7 to 10 days. Recurrent lesions occur from

reactivation of latent virus in the dorsal root ganglia, producing symptoms that are usually less severe than with primary episodes. After initial therapy with aciclovir or valaciclovir for up to 3 days, continuous suppressive therapy can be given to prevent further relapses.

#### **Cytomegalovirus Infection**

CMV infection is common and usually produces mild symptoms. However, it can be devastating in immunosuppressed individuals. Troublesome complications in this group include retinitis (which can threaten sight), gastrointestinal manifestations (including oesophagitis, gastritis, cholecystitis or colitis), pneumonia and CNS involvement.

Intravenous ganciclovir is the treatment of choice for severe manifestations of CMV infection. Foscarnet can be used as an alternative to ganciclovir. For CMV retinitis, oral valganciclovir is used both for treatment and to prevent relapse. Oral valganciclovir or valaciclovir are given for the prevention of CMV infection, especially in renal transplant and bone marrow transplant recipients in whom CMV pneumonia is a major potential complication. Combined therapy with ganciclovir and CMV immunoglobulin may be more effective than ganciclovir alone for treatment of pneumonia in this situation.

#### Influenza

The use of a neuraminidase inhibitor, such as zanamivir or oseltamivir, reduces the duration of uncomplicated influenza by about 1 day. Treatment must be started within 48 hours of the onset of an influenza-like illness. People who are at greater risk of complications of influenza include those with chronic respiratory disease, significant cardiovascular disease, chronic renal disease, diabetes mellitus or those who are immunocompromised. However, there is little evidence that neuraminidase inhibitors prevent the complications of influenza.

Neuraminidase inhibitors are effective for the prevention of influenza during an epidemic, reducing the likelihood of developing the illness by 70% to 90%. They should be considered for high-risk individuals who have not been vaccinated and who can be treated within 48 hours of contact with someone who has an influenza-like illness. Such prophylaxis is not a substitute for an effective vaccination campaign.

The cap-dependent endonuclease inhibitor baloxavir marboxil similarly reduces the duration of uncomplicated influenza symptoms by about 1 day, compared with placebo. It appears to produce a more rapid decline in viral load than oseltamivir, but the clinical significance of this is uncertain. Baloxavir has also been shown to reduce the frequency of infection in household contacts of people with influenza.

### Coronavirus Disease 2019 (COVID-19)

The majority of people who acquire SARS-CoV-2 have no symptoms or experience mild disease. However, a substantial minority develop a severe respiratory illness that may progress to a hyperinflammatory state with multiple organ dysfunction.

At the time of writing, the management of COVID-19 is still evolving. The mainstay of treatment is physiological support with supplemental oxygen and, in more severe disease, invasive organ support including mechanical ventilation. For individuals with COVID-19 who are admitted to hospital and require supplemental oxygen, corticosteroids and remdesivir are offered. As remdesivir has not been shown to be beneficial in more severe disease, it is stopped if the person requires mechanical ventilation and has a trajectory of continued deterioration in the days that follow. Tocilizumab or sarilumab are offered to those who require supplemental oxygen and have biochemical evidence of an inflammatory state, or require advanced respiratory support. It should not be given if there is any evidence of co-existing infection, due to the risk that this may be worsened.

Severe COVID-19 appears to be associated with a high risk of venous thromboembolism and in situ pulmonary thrombosis, which may contribute to respiratory failure. Pharmacological prophylaxis, usually with a low-molecular-weight heparin (see Chapter 11) is offered to people admitted to hospital with COVID-19, unless contraindicated. The dosage may be increased for those who have a more severe illness, although the evidence for this is currently evolving.

#### **Viral Hepatitis**

This is discussed in Chapter 36.

#### **Respiratory Syncytial Virus**

RSV most commonly causes respiratory infections in children under 2 years old. It causes bronchiolitis and increased airway reactivity with wheezing. Treatment is usually symptomatic, but severe infection is sometimes treated with ribavirin. Palivizumab is given to prevent serious lower respiratory tract disease from RSV in children under 2 years old who are at high risk of complications.

# **PROTOZOAL INFECTIONS**

#### MALARIA

Five species of the protozoan *Plasmodium* produce malaria in humans: Plasmodium vivax, Plasmodium ovale (two subspecies), Plasmodium knowlesi, Plasmodium malariae and *Plasmodium falciparum.* The motile infective forms of the parasite, sporozoites, are generated by repeated division of oocysts in the body of the infected female Anopheles mosquito (the vector) and transferred in the mosquito's saliva into the host's circulation during a blood meal (only female mosquitoes feed on blood). The parasite is rapidly sequestered in the host's liver and matures to tissue schizonts, which divide asexually to form merozoites (Fig. 51.8). When this pre-erythrocytic (liver) cycle is complete after 5 to 16 days, 10,000 to 40,000 merozoites escape into the blood and invade erythrocytes. P. vivax and P. ovale may continue to multiply in the liver, but *P. malariae*, *P. knowlesi* and *P. falciparum* do not.

Merozoites released from the liver then undergo the erythrocytic cycle, multiplying asexually in erythrocytes



Fig. 51.8 Life-cycle of the malarial parasite. Most antimalarial drugs kill the *Plasmodium* parasites that cause repeated cycles of red blood cell (*RBC*) lysis and fever (erythrocytic stage). Primaquine kills parasites of *Plasmodium vivax* and *Plasmodium ovale* (hypnozoites) dormant in the liver (pre-erythrocytic stage).

and using haemoglobin as the main source of nutrition. Infected erythrocytes rupture (haemolyse) and release merozoites to invade other erythrocytes. Some merozoites in the plasma differentiate into male and female gametocytes. A mosquito biting an infected individual ingests gametocytes, which then go through a sexual development cycle in the mosquito to form sporozoites.

Release of merozoites from erythrocytes every 2 or 3 days causes repeated bouts of tertian or quartan fever in humans. Common premonitory clinical symptoms of malaria include chills, nausea, vomiting and headache. A fever follows, and the attack concludes with sweating. *P. falciparum* produces the most severe symptoms (malignant tertian malaria) because high levels of parasitaemia cause agglutination of red cells, which produces capillary thrombosis, especially in the brain, leading to cerebral malaria.

Because *P. vivax* and *P. ovale* can continue to multiply in the liver as hypnozoites, they form a reservoir of parasites that are difficult to eradicate and can emerge to produce relapses months or years after the initial infection. Drugs that treat only the erythrocytic phase will not produce a radical cure (elimination of all parasites), and relapsing infection can occur. Although *P. falciparum, P. malariae* and *P. knowlesi* do not have persistent liver forms, disease can recrudesce if parasites are not completely eliminated from the blood.

# Antimalarial Drugs

# Chloroquine

**Mechanism of action and resistance.** Chloroquine is a 4-aminoquinoline compound that interferes with the haem detoxification pathway in malarial parasites. Toxic haem monomers from plasmodial digestion of haemoglobin within infected erythrocytes are normally polymerised into inert biocrystals of haemozoin. Chloroquine, a basic drug, becomes trapped by the low pH within the digestive vacuoles of the parasite and is further concentrated 100-fold by binding to haematin, a stable dimer of haem. This prevents the further polymerisation of haem monomers, the accumulation of which increases membrane permeability and kills the parasite.

Chloroquine, and its close relative hydroxychloroquine, also possess slow-onset antiinflammatory activity, which is useful in the treatment of rheumatoid arthritis (see Chapter 30). They may also have antiviral activity.

Resistance of *P. falciparum* to chloroquine is very common, particularly in Southeast Asia, and is due to increased expression of a putative efflux transporter in the digestive vacuole membrane. It also confers cross-resistance to mefloquine (see later).

**Pharmacokinetics.** Chloroquine is completely absorbed from the gut or can be given intravenously. It is selectively concentrated in melanin-containing tissues – for example, the retina of the eye and in the liver, spleen and kidney. Approximately half is converted in the liver to active metabolites and the rest is excreted unchanged by the kidneys. The half-life is very long during chronic

dosing; an initial half-life of up to 6 days is followed by a slow phase of tissue elimination with a half-life of greater than 1 month.

#### Unwanted effects

- Nausea, vomiting, diarrhoea and abdominal pain.
- Cardiovascular depression after intravenous use, with hypotension and heart block.
- Seizures.
- Tinnitus and deafness.
- Retinopathy with cumulative doses, producing retinal pigmentation and visual field defects. Visual function should be monitored during prolonged treatment.
- Rashes, pruritus, hair loss and skin depigmentation.

### Mefloquine

**Mechanism of action and resistance.** Mefloquine is a 4-aminoquinoline compound and may have a similar mode of action to that of chloroquine. Resistance to mefloquine is less common but increasing; it arises from increased activity of the same efflux transporter.

**Pharmacokinetics.** Mefloquine is well absorbed from the gut and has a high affinity for lung, liver and lymphoid tissue. Extensive metabolism occurs in the liver, but the elimination half-life is very long (2–4 weeks).

#### Unwanted effects

- CNS effects: dizziness, vertigo, headache, sleep disorders. Less commonly, severe neuropsychiatric disturbances can occur, including nightmares, anxiety, depression, auditory and visual hallucinations and suicidal ideation. Mefloquine should be discontinued if neuropsychiatric symptoms appear, and it should not be given to people with a previous history of psychiatric disorder.
- Gastrointestinal effects, similar to those seen with chloroquine.
- Myalgia, arthralgia.
- Chest pain, tachycardia, hypotension.

#### Primaquine

**Mechanism of action and resistance.** Unlike the structurally related drugs chloroquine and mefloquine, primaquine affects only the exoerythrocytic parasite. It enters the parasite in the liver and may act by inhibiting mitochondrial respiration. It is used after treatment with chloroquine or mefloquine, to eradicate *P. vivax* or *P. ovale* from the liver (a radical cure). Resistance is unusual.

**Pharmacokinetics.** Primaquine is completely absorbed from the gut and rapidly metabolised in the liver, producing active compounds. The half-life is 4 to 10 hours. **Unwanted effects** 

 Intravascular haemolysis in people with glucose-6-phosphate dehydrogenase (G6PD) deficiency (see Chapter 47). G6PD activity in erythrocytes produces reduced nicotinamide adenine dinucleotide phosphate (NADPH), which keeps glutathione in the reduced state, thereby maintaining cell wall integrity and preventing haemolysis (see Chapter 53). G6PD activity should be checked before treatment with primaquine is initiated.

• Gastrointestinal effects similar to those seen with chloroquine.

#### Quinine

**Mechanism of action and resistance**. The antimalarial properties of quinine, derived from the bark of the cinchona tree, have been known for centuries. It is similar to chloroquine in its mechanism of action. The mechanism of resistance to quinine is unclear but has been associated with changes in three transporter proteins. Quinine resistance is relatively rare, enabling the use of quinine with other drugs in the treatment of acute drug-resistant malaria.

**Pharmacokinetics.** Quinine is well absorbed from the gut but can also be given by intravenous infusion. Metabolism in the liver is extensive, and the half-life is about 6 hours in healthy people, becoming much longer in those with severe malaria.

#### Unwanted effects

- 'Cinchonism': tinnitus, headache, nausea, flushing, visual disturbances with vertigo and, if severe, hearing loss.
- Stimulation of insulin secretion, producing hypoglycaemia.
- Bradycardias, heart block or ventricular tachycardia, most often with intravenous loading doses.

#### Pyrimethamine with sulfadoxine

**Mechanism of action and resistance.** Pyrimethamine selectively inhibits the plasmodial form of dihydro-folate reductase, reducing the production of folic acid required for nucleic acid synthesis in the malarial parasite (see Fig. 51.4). Because of the widespread emergence of resistance, pyrimethamine should be given only in combination with the sulfonamide sulfadox-ine. It is used to treat acute malaria (with other drugs) but not for prophylaxis. Resistance to sulfadoxine and pyrimethamine arises from changes in their respective targets, dihydropteroate synthetase and dihydrofolate reductase.

**Pharmacokinetics.** Pyrimethamine is well absorbed from the gut and undergoes extensive hepatic metabolism. Its half-life is approximately 2 to 6 days.

**Unwanted effects.** Pyrimethamine is usually well tolerated; occasional effects are:

- photosensitive rashes,
- insomnia,
- megaloblastic anaemia, due to inhibition of human folate metabolism with high doses.

#### Proguanil

**Mechanism of action and resistance**. Proguanil inhibits plasmodial dihydrofolate reductase (see Fig. 51.4) mainly through cycloguanil, its active metabolite, which inhibits folate production in both pre-erythrocytic and

erythrocytic parasites. It is often used for malarial prophylaxis alone or in combination with chloroquine. It can also be used in the prophylaxis and treatment of *P. falciparum* in combination with atovaquone (see later). Resistance is caused by changes in the plasmodial dihydrofolate reductase.

**Pharmacokinetics.** Absorption of proguanil from the gut is good and extensive metabolism occurs in the liver to cycloguanil, its potent active derivative. Cycloguanil has a short half-life (2 hours), but its plasma profile reflects its slow formation from proguanil (12–24 hours).

- Unwanted effects
- Mouth ulcers.
- Epigastric discomfort, diarrhoea.

#### Artemether with lumefantrine

**Mechanism of action and resistance.** Artemether is a semisynthetic derivative of artemisinin extracted from the herb *Artemisia annua*. It is activated by complexing with iron in the haem ingested by the malarial parasite. The resulting compound produces carboncentred free radicals and reactive oxygen species that disrupt Ca<sup>2+</sup> transport and other cellular functions in the parasite. It is used with lumefantrine, which may work by inhibiting the haem detoxification pathway in the parasite. The combination reduces the emergence of resistance, but monotherapy with artemisinin derivatives in some countries has generated plasmodial resistance, arising in part owing to increased efflux transporter activity.

**Pharmacokinetics.** Artemether is well absorbed from the gut and rapidly hydrolysed to an active metabolite that has a short half-life of 2 to 3 hours. Lumefantrine absorption from the gut is greatly enhanced by a highfat meal; it undergoes hepatic metabolism and has a half-life of 2 to 6 days.

#### Unwanted effects

- Abdominal pain, nausea, anorexia, diarrhoea.
- Headache, dizziness, sleep disturbance, fatigue.

### **Treatment of Malaria**

Chemotherapy of malaria falls into three categories:

- rapid-acting blood schizonticides (to kill schizonts in acute malaria): chloroquine, mefloquine, quinine, artemether with lumefantrine,
- slow-acting blood schizonticides (to suppress blood infections): pyrimethamine with sulfadoxine, proguanil (alone or with atovaquone),
- tissue schizonticide (to eliminate liver parasites): primaquine.

The recommended drug to use within each category depends on the type of parasite and the pattern of resistance where the infection was acquired. If the infecting organism is unknown, it is assumed to be *P. falciparum*, which carries the greatest risk. The latest drug recommendations should be obtained from tropical disease advisory centres.

Following are examples for current recommended treatments for acute attacks of high- and low-risk malaria.

For *P. falciparum*, chloroquine and mefloquine resistance is common. Oral quinine (or intravenous quinine for serious infections) is given for 5 to 7 days. This is given together with, or followed by, a 7-day course of doxycycline or clindamycin (see antibacterials, discussed earlier). Pyrimethamine with sulfadoxine is an alternative to doxycycline or clindamycin if the parasite is likely to be sensitive, and has the advantage that it can be given as a single dose. Proguanil with atovaquone, or artemether with lumefantrine, are alternatives to quinine and do not need to be followed by clindamycin, doxycycline, or pyrimethamine with sulfadoxine.

For uncomplicated non-falciparum malaria, chloroquine is taken for 3 days, unless the person has visited an area in which chloroquine-resistant *P. vivax* is prevalent. For *P. vivax* and *P. ovale*, primaquine is then taken for 14 days to destroy hepatic parasites.

#### Prophylaxis Against Malaria

The recommendations for prophylaxis depend on patterns of resistance in the area to be visited. Chloroquine or proguanil is often recommended for areas where resistance is low. For many areas a combination of both drugs is desirable. Mefloquine, doxycycline, or proguanil with atovaquone are recommended in some areas where there is a high risk of chloroquine-resistant malaria. Prophylaxis must also take into account the unwanted effects of the drugs and other factors such as pregnancy and renal or hepatic impairment. Prophylaxis with chloroquine or proguanil must be started 1 week before travel (or 2–3 weeks for mefloquine, or 1–2 days for proguanil with atovaquone or for doxycycline) and continued for 4 weeks after leaving a malarial area (or 1 week for proguanil with atovaquone) to protect against infection acquired immediately prior to departure.

# **OTHER PROTOZOAL INFECTIONS**

Details of the natural history of other protozoal infections are not given in this book. Important drugs available in the UK for these conditions are discussed later. An outline of therapeutic uses is given in Table 51.5.

#### Atovaquone

#### Mechanism of action and uses

Atovaquone acts on cytochrome proteins in the inner mitochondrial membrane. It inhibits mitochondrial electron transport required for pyrimidine synthesis and is selective for protozoa that cannot utilise preformed pyrimidines.

Atovaquone is used as a second-line drug for the treatment of *P. jirovecii* and *Toxoplasma gondii* infections. It is also active against *Plasmodium* species (used in combination with the folate inhibitor proguanil), *E. histolytica* and *Trichomonas vaginalis*.

#### **Pharmacokinetics**

Oral absorption of atovaquone is poor. The absorbed fraction is excreted in the urine and bile, which results in enterohepatic cycling, giving it a long half-life of about 1 to 3 days.

#### Unwanted effects

- Diarrhoea, nausea, vomiting.
- Rashes.
- Headache, insomnia.
- Neutropenia.

#### Pentamidine

#### Mechanism of action and uses

Pentamidine isetionate undergoes rapid active uptake into the protozoal cell, where it binds avidly to transfer RNA and inhibits the ribosomal synthesis of protein, with additional actions on the synthesis of nucleic acids and phospholipids.

PROTOZOA	DISEASE	DRUG EXAMPLES
Plasmodium species	Malaria	Chloroquine, mefloquine, primaquine, quinine, proguanil, pyrimethamine with sulfadoxine, atovaquone, artemether with lumefantrine
Entamoeba histolytica	Amoebic dysentery	Metronidazole, tinidazole,
Trichomonas vaginalis	Vaginitis	Metronidazole, tinidazole
Giardia lamblia	Gastrointestinal dysfunction	Metronidazole, tinidazole, mepacrine
Leishmania species	Cutaneous or visceral (kala-azar) leishmaniasis	Stibogluconate, pentamidine, amphotericin
Trypanosoma species	Trypanosomiasis (sleeping sickness), Chagas' disease	Suramin, <sup>a</sup> nifurtimax, <sup>a</sup> benznidazole, <sup>a</sup> eflornithine, pentamidine, melarsoprol <sup>a</sup>
Toxoplasma gondii	Encephalomyelitis, toxoplasmosis	Pyrimethamine plus sulfadiazine, trimetrexate <sup>a</sup>
Pneumocystis jirovecii	Pneumocystis pneumonia	Co-trimoxazole, pentamidine, atovaquone, trimetrexate <sup>a</sup>

# Table 51.5 Selected Protozoan Infections and Antiprotozoal Drugs.

<sup>a</sup>Not available or used in the UK for protozoal infection.

Pentamidine is used in *P. jirovecii* pneumonia, leishmaniasis and trypanosomiasis. It is cytotoxic to *P. jirovecii* in the nonreplicating state; because of its toxicity, however, it is usually reserved for people who are intolerant of co-trimoxazole.

# **Pharmacokinetics**

Pentamidine is given intravenously or inhaled as an aerosol for *P. jirovecii* pneumonia. It can be given by deep intramuscular injection for leishmaniasis or try-panosomiasis. Pentamidine is metabolised in the liver and has a very long half-life (10–14 days).

#### **Unwanted effects**

- Inhaled pentamidine produces bronchial irritation with cough and bronchospasm.
- Intravenous pentamidine is nephrotoxic and can produce irreversible hypoglycaemia and life-threatening arrhythmias such as ventricular tachycardia. It can also cause injection-site reactions, dizziness, severe hypotension, syncope, rash, gastrointestinal disturbances, abnormal liver function tests and acute renal failure.

#### Sodium Stibogluconate

#### Mechanism of action and use

Sodium stibogluconate is an organic antimony derivative. It is used to treat visceral leishmaniasis. Its mechanism is unclear but it may act by binding to thiol groups in the parasite and inhibiting the formation of high-energy phosphates (ATP and GTP).

# Pharmacokinetics

Sodium stibogluconate must be given parenterally, either by intramuscular injection or by slow intravenous infusion. It is eliminated by the kidneys and has a half-life of 6 hours.

#### Unwanted effects

- Anorexia, nausea, vomiting, abdominal pain, diarrhoea.
- Headache.

HELMINTH	COMMON NAME	DRUG EXAMPLES <sup>a</sup>
Enterobius vermicularis	Threadworm	Mebendazole
Ascaris lumbricoides	Roundworm	Mebendazole, levamisole
Toxocara canis	Dog roundworm	Albendazole, mebendazole
Taenia species	Tapeworm	Niclosamide, praziquantel
Ancylostoma species, Necator species	Hookworm	Mebendazole, albendazole
Microfilariae (e.g. Loa loa, Wuchereria bancrofti, Brugia malayi)		Diethylcarbamazine, ivermectin
Strongyloides stercoralis		Ivermectin, albendazole
Echinococcus granulosa	Hydatid disease	Albendazole

# Table **51.6** Helminth Infections.

<sup>a</sup>Some are available in the UK only on a 'named patient' basis (see text).

- Lethargy.
- Myalgia, arthralgia.
- Cough and substernal pain during intravenous infusion.

# **HELMINTHIC INFECTIONS**

Details of the natural history of helminthic infections are not given here, but an outline of the more commonly encountered conditions and drug treatments is given in Table 51.6. Drugs specifically for helminthic infections are discussed here.

#### **ANTIHELMINTHIC DRUGS**

#### Ivermectin

#### Mechanism of action and use

Ivermectin is an avermectin compound used to treat filariasis (especially onchocerciasis, for which it is the drug of choice), hookworm and *Strongyloides stercoralis* infection. It inhibits influx of Cl<sup>-</sup> ions via an action on glutamategated membrane ion channels, producing muscle hyperpolarisation and paralysis of the filariae. Treatment with a single dose reduces microfilarial levels for several months and can be repeated every 6 to 12 months if necessary.

#### **Pharmacokinetics**

Ivermectin is well absorbed from the gut and excreted mainly in the faeces. It undergoes some hepatic metabolism and has a long half-life (18 hours).

#### Unwanted effects

• Burning sensation on the skin, itching.

#### Diethylcarbamazine

#### Mechanism of action and use

Diethylcarbamazine is a piperazine derivative and a first-line treatment for filariasis. Its mechanism of action is not well understood. It may inhibit arachidonic acid metabolism in the filariae and also triggers exposure of antigens on the surface coat, rendering the parasites more susceptible to immune attack by antibody-mediated phagocytosis. Treatment is usually required for 2 to 3 weeks to eliminate the microfilariae. Diethylcarbamazine is not licensed in the UK but is available on a 'named patient' basis.

# **Pharmacokinetics**

Oral absorption is good and approximately half the drug is metabolised in the liver; the rest is excreted unchanged by the kidneys. The half-life is 12 to 14 hours.

# Unwanted effects

Most problems are caused by release of antigens from dying filariae. The onset is about 2 hours after dosing and is almost diagnostic of the disease. The reaction is occasionally severe and life-threatening. The reaction includes:

- fever,
- headache,
- nausea,
- muscle and joint pains,
- itching,
- postural hypotension.

# **Benzimidazoles**

Examples

albendazole, mebendazole

# Mechanism of action and uses

The benzimidazoles all bind selectively to tubulin in helminths, preventing its polymerisation into cytoskeletal microtubules and impairing parasite motility and other functions. Albendazole also inhibits parasite-specific fumarate reductase, reducing energy production by the Krebs' cycle and impairing glucose uptake. The drugs are active against the adults, larvae and eggs. Albendazole is available in the UK only on a 'named patient' basis.

Uses include (see also Table 51.6):

- mebendazole: threadworm, roundworm, whipworm, hookworm;
- albendazole: hydatid cysts, *S. stercoralis*, hookworm (including cutaneous larva migrans).

# **Pharmacokinetics**

The oral absorption of mebendazole and albendazole is poor (<10%); these drugs act principally within the gut.

# Unwanted effects

The most common unwanted effects of mebendazole and albendazole are gastrointestinal disturbance.

# Niclosamide

# Mechanism of action and use

Niclosamide is given orally to treat tapeworm infection. It inhibits the generation of ATP by preventing phosphorylation of adenosine diphosphate (ADP) in mitochondria. It is ineffective against larval worms. Purgatives are usually given after niclosamide to remove viable ova from the gut. Niclosamide is available in the UK only on a 'named patient' basis.

# **Pharmacokinetics**

Oral absorption is poor (up to 15%).

### Unwanted effects

- Gastrointestinal upset, nausea, abdominal pain.
- Light-headedness.
- Pruritus.

# Praziquantel

# Mechanism of action and uses

Praziquantel is given orally to treat tapeworm infection and schistosomiasis. It is known to increase permeability of the cell membrane of sensitive helminths to Ca<sup>2+</sup> ions, probably causing muscular contraction and paralysis and allowing expulsion or digestion of the parasite. It may also reduce cellular uptake of adenosine, which cannot be synthesised by the parasites. Praziquantel is available in the UK only on a 'named patient' basis.

# **Pharmacokinetics**

Praziquantel is well absorbed from the gut but extensive first-pass metabolism limits its plasma concentrations. It has a plasma half-life of 1 hour.

#### Unwanted effects

- Dizziness, headache, lassitude.
- Gastrointestinal upset.

# SELF-ASSESSMENT

# **TRUE/FALSE QUESTIONS**

- 1. Resistance to antibacterials may be due to mutations in bacterial ribosomes.
- 2. Benzylpenicillin has a short half-life as it is rapidly excreted by the kidneys.
- 3. Broad-spectrum penicillins can promote colonic infection with *C. difficile*.
- 4. Penicillins act by inhibiting peptidyl transferase activity.
- 5. The antipseudomonal penicillin ticarcillin is resistant to β-lactamase.
- 6. Cefotaxime is a third-generation cephalosporin.
- 7. Individuals allergic to penicillins are also allergic to cephalosporins.
- 8. Imipenem is rapidly metabolised in the kidney.
- 9. Meropenem is bacteriostatic at normal doses.
- 10. Ciprofloxacin is ineffective for *P. aeruginosa* infections in cystic fibrosis.
- 11. Moxifloxacin increases the toxicity of theophylline and warfarin.

- 12. Erythromycin commonly causes gastrointestinal disturbances.
- 13. Gentamicin has a low incidence of unwanted effects.
- 14. Gentamicin is not active when given orally.
- 15. Metronidazole can be used for eradicating H. pylori.
- 16. Antibacterials are effective for the majority of people with sore throat.
- 17. Tetracyclines should be avoided during pregnancy and in young children.
- 18. Vancomycin is active against β-lactamaseproducing Gram-positive bacteria.
- 19. Rifampicin is a front-line drug for the treatment of tuberculosis.
- 20. Isoniazid is active against a wide range of bacteria.
- 21. Co-trimoxazole is the drug of choice for uncomplicated urinary tract infection.
- 22. Trimethoprim administration can result in folate deficiency.
- 23. Imidazoles and triazoles have the same mechanism of antifungal action.
- 24. The antifungal action of griseofulvin is due to inhibition of squalene epoxidase.
- 25. Amphotericin can be given in liposomal formulations.
- 26. Ivermectin is the drug of choice for onchocerciasis.

# **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Choose the most accurate statement about HIV:
  - A. Binding of HIV by the chemokine receptor CCR5 on the host cell membrane inhibits entry of the virus.
  - B. Low doses of ritonavir reduce the activity of other protease inhibitors.
  - C. Once resistance of HIV has developed, it persists indefinitely.
  - D. Zidovudine acts in HIV by preventing viral entry to the host cells.
  - E. The non-nucleoside reverse transcriptase inhibitors (NNRTI) treat HIV by preventing insertion of the viral DNA into the host genome.
- 2. Identify the *least* accurate statement regarding the treatment of malaria:
  - A. Primaquine kills parasites in the liver (hypnozoites) in *P. falciparum* infections.
  - B. Chloroquine concentrates in infected erythrocytes and suppresses the erythrocytic stage of the malarial parasite's reproduction.
  - C. In many areas, prophylaxis against malaria requires the administration of two drugs.
  - D. Primaquine can induce severe anaemia in people with glucose-6-phosphate dehydrogenase (G6PD) deficiency.
  - E. Proguanil acts to inhibit malarial parasite folate production.

# **CASE-BASED QUESTIONS**

- **Case 1:** Mr J.W., age 40 years, lives at home and was previously healthy. He saw his GP in August, 5 days after returning from a conference abroad, where he had stayed in a large hotel and indulged his passion for frequent whirlpool baths. He had characteristic symptoms of pneumonia, including pleuritic chest pain and the sudden development of fever and cough, producing yellow sputum. He was disorientated. Physical examinations and chest radiography supported the diagnosis.
- 1. Before the results of the microbiological test were available, what treatment would you have commenced and by what route of administration?
- 2. How do the drugs that you are proposing to give work?
- **Case 2:** Mr R.H., age 80 years, had influenza and was admitted to hospital when he developed symptoms similar to those of Mr J.W. (see previous case) and became seriously ill. A chest radiograph showed multiple abscesses.
- 1. What treatment would you have commenced before microbiological results were available?
- 2. What antibacterial could be used if the organism was resistant to first-line options?
- **Case 3:** A 31-year-old man who has late stage HIV infection was admitted with shortness of breath, cough and generalised chest discomfort. Chest radiography revealed diffuse bilateral opacities and a blood gas analysis demonstrated an arterial partial oxygen pressure ( $P_aO_2$ ) of 8.0 kPa (normal range 11.0–14.0 kPa). Sputum culture was uninformative. A bronchoalveolar lavage was performed and transbronchial biopsies taken.
- 1. What was the likely clinical diagnosis?
- 2. What microscopic investigation could have been useful and what might it have shown?
- 3. What antimicrobial treatment could have been given, and what factors needed to be considered?
- 4. What other drug treatment should be considered in this infection?
- 5. What prophylactic treatment could be offered?
- **Case 4:** Twenty-four hours after attending a convention, a 36-year-old man became ill with a temperature, abdominal pain, vomiting and diarrhoea. Faeces were collected and inoculated onto culture plates with several different types of culture media. Pale-coloured non-lactose-fermenting colonies were identified.
- 1. What organisms might have been causing this infection?
- 2. *Salmonella enteritidis* phage type 4 was eventually identified. How should this man be managed?
- 3. What was most likely to have caused this infection?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **True.** Mutations affecting bacterial ribosomal RNA and proteins can reduce binding of antibacterials that inhibit protein synthesis, such as macrolides and tetracyclines. As well as target modification, other mechanisms of resistance to antimicrobial drugs include reduced uptake, increased export or biochemical modification of the drug and the development of alternative metabolic pathways to bypass its action.
- 2. **True.** Benzylpenicillin is actively secreted into the proximal tubule by the organic acid transporter OAT1 (see Table 2.1).
- 3. **True.** Broad-spectrum penicillins may alter the balance of gut flora and allow overgrowth of pathogenic bacteria such as *C. difficile*, producing colitis.
- 4. **False.** Penicillins disrupt the synthesis of bacterial cell-wall peptidoglycans, not ribosomal synthesis of proteins.
- 5. **False.** Ticarcillin is broken down by β-lactamase, so it is given with the β-lactamase inhibitor clavulanic acid.
- 6. **True.** Cefotaxime is a third-generation cephalosporin that penetrates the CNS and is resistant to β-lactamases.
- 7. **False.** Only about 10% of penicillin-allergic individuals show cross-sensitivity to cephalosporins, but a history of severe allergic reaction to penicillin precludes use of cephalosporins.
- 8. **True.** Unlike other carbapenems, imipenem is rapidly metabolised by renal dihydropeptidase and is always given in combination with cilastatin, a dihyhdropeptidase inhibitor.
- 9. **False.** Like all β-lactam antibacterials given in correct doses, meropenem is bactericidal.
- 10. **False.** The quinolones have good activity against *Pseudomonas* species.
- 11. **False.** The quinolone ciprofloxacin, but not delafloxacin, moxifloxacin or levofloxacin, inhibits hepatic cytochrome P450 and increases plasma concentrations of theophylline, warfarin and other drugs.
- 12. **True.** Erythromycin often causes nausea and diarrhoea. Azithromycin is better tolerated.
- 13. **False.** Gentamicin is nephrotoxic and ototoxic; its plasma concentrations should be monitored.
- 14. **True.** Gentamicin is poorly absorbed from the gastrointestinal tract and is given only parenterally.
- 15. **True.** Metronidazole is commonly used in triple therapy with a proton pump inhibitor and other antibacterials to eliminate *H. pylori*.

- 16. **False.** Most sore throats in the UK are caused by viruses; those with bacterial causes usually resolve without antibacterials.
- 17. **True.** Tetracyclines can chelate with Ca<sup>2+</sup> and form permanent yellow–brown deposits on developing teeth if given during pregnancy or to young children.
- 18. **True.** Vancomycin is reserved for MRSA and metronidazole-resistant *C. difficile*, which causes colitis.
- 19. **True.** Rifampicin is used in the UK in both the initiation and continuation phases of tuberculosis treatment in combination with other antituberculous drugs. It is a broad-spectrum antibacterial that is also used in other serious diseases caused by Gram-negative bacteria such as *Legionella* and mycobacteria, and for MRSA.
- 20. **False.** Isoniazid is a highly selective inhibitor of the production of mycolic acids, which are unique to the cell wall of *Mycobacterium* species.
- 21. **False.** Uncomplicated urinary tract infections are usually treated with nitrofurantoin. Alternatives include a trimethoprim, fosfomycin (a phosphonic acid antibacterial) and pivmecillinam (a penicillin). Trimethoprim alone is usually preferred to co-trimoxazole in urinary tract infections owing to the lower risk of severe unwanted effects compared with the combination drug.
- 22. **True.** Trimethoprim inhibits the synthesis of folate required for the production of nucleotides; folate deficiency can result in megaloblastic anaemia, which is preventable during long-term treatment by giving folinic acid.
- 23. **True.** Imidazoles and triazoles suppress ergosterol synthesis by inhibiting lanosterol  $14\alpha$ -demethylase; they also share a similar spectrum of antifungal activity.
- 24. **False.** Griseofulvin inhibits dermatophyte mitosis by impairing microtubule formation. Squalene epoxidase synthesises ergosterol and is the target of the antifungal terbinafine.
- 25. **True.** Lipid delivery vehicles such as liposomal formulations reduce the nephrotoxicity of amphotericin.
- 26. **True.** Ivermectin is the drug of choice for onchocerciasis (river blindness) and is also used for other helminth infections.

# **OBA ANSWERS**

- 1. **Answer C** is the most accurate.
  - A. Incorrect. Binding of HIV to the chemokine receptor CCR5 (and/or CXCR4) *facilitates* its entry into host cells.
  - B. Incorrect. Ritonavir inhibits breakdown of other protease inhibitors by CYP3A4 enzymes and is

used to *enhance* their activity (boosted protease inhibition).

- C. **Correct.** When resistance of HIV arises, it persists indefinitely and drug treatment should be modified.
- D. Incorrect. Zidovudine is a nucleoside reverse transcriptase inhibitor (NRTI) and prevents the formation of DNA from viral RNA.
- E. Incorrect. NNRTIs act directly on HIV reverse transcriptase to prevent formation of DNA from viral RNA. Insertion of viral DNA into the host genome is blocked by HIV integrase inhibitors such as elvitegravir.
- 2. Answer A is the least accurate.
  - A. **Incorrect.** Only infections by *P. vivax* and *P. ovale* result in dormant parasites (hypnozoites) remaining in the liver; they require primaquine for their eradication.
  - B. Correct. Chloroquine concentrates 100-fold in red cells and interferes with the ability of the parasite to detoxify digestion products of haemoglobin.
  - C. Correct. In areas where resistance is a problem, prophylaxis with chloroquine plus proguanil, or with atovaquone plus either mefloquine, doxycycline or proguanil is recommended.
  - D. Correct. Toxic metabolites of primaquine can induce haemolysis in people with G6PD deficiency.
  - E. Correct. Proguanil inhibits dihydrofolate (DHF) reductase in plasmodia.

# **CASE-BASED ANSWERS**

# Case 1 answers

- 1. The most common cause of community-acquired infection is *S. pneumoniae*, but other 'atypical' organisms could be involved. In Mr J.W., who was previously well, a recent stay in a hotel abroad might indicate the involvement of *Legionella* species, which multiply in warm water for example, in the tanks of air-conditioning systems. The incubation time is 5 to 10 days. Co-amoxiclav (amoxicillin and clavulanic acid) plus a macrolide (e.g. levofloxacin) or tetracycline (e.g. doxycycline) should be given orally before the diagnosis is confirmed. The treatment should be reviewed as soon as the microbiology sensitivities are known.
- 2. Amoxicillin is bactericidal and acts by interfering with bacterial cell wall peptidoglycan synthesis. It also allows greater activity of enzymes that lyse bacterial cells. Clavulanic acid inhibits  $\beta$ -lactamase, thus extending the spectrum of activity of amoxicillin. Macrolides inhibit bacterial protein synthesis by acting on the bacterial ribosome. Tetracyclines inhibit protein synthesis by binding to the 30S ribosomal subunit.

#### Case 2 answers

- 1. *S. aureus* is a likely cause of acute pneumonia following an attack of influenza. Depending on local resistance patterns, options for initial treatment include co-amoxiclav (amoxicillin with clavulanic acid) and quinolones (e.g. levofloxacin). *S. aureus* commonly produces abscesses in the lungs. A Gram stain of the sputum would demonstrate Gram-positive cocci in clusters, typical of staphylococci. The production of coagulase and DNase would identify the organism as *S. aureus*. Many different species of coagulasenegative staphylococci exist and are found as part of the normal skin flora. The coagulase-negative staphylococci are typical causes of prosthetic valve endocarditis, prosthetic joint infection and infected venous catheters.
- 2. Other second-choice antibacterials effective against  $\beta$ -lactamase-producing *S. aureus* might be useful. For example, a third-generation cephalosporin (e.g. ceftriaxone) may be effective. If MRSA infection is suspected, vancomycin a bactericidal glycopeptide that inhibits cell-wall synthesis may be used. Linezolid, an oxazolidinone, is an alternative.

# Case 3 answers

- 1. Clinically, the man is likely to have *P. jirovecii* (formerly known as *Pneumocystis carinii*) pneumonia (PCP), which is the predominant respiratory illness in people with late stage HIV infection. The causative organism, which is a protozoan, is believed to be acquired at a young age and reactivates with waning immunity. The organism is endemic in the community and multiplies within the lungs, causing symptoms. There is often a seasonal prevalence of PCP. Symptoms can be scant and, if present, consist of breathlessness and cough. Induced sputum or bronchoalveolar lavage specimens should be sent to the laboratory for the detection of *P. jirovecii* and routine culture.
- 2. *P. jirovecii* can be detected in sputum or lavage by staining with methenamine silver stain for typical casts. It can also be detected by use of polymerase chain reaction (PCR)-based tests and on lung biopsy.
- 3. The antimicrobial treatment of choice is high-dose co-trimoxazole. Many people with late stage HIV infection have hypersensitivity reactions to sulphonamides and are taking multiple drug combinations. Alternative treatment regimens for severe PCP are clindamycin with primaquine, and intravenous pentamidine.
- 4. Treatment with a glucocorticoid (e.g. prednisolone) is recommended for people with severe PCP ( $P_aO_2$  <9.3 kPa or SpO<sub>2</sub> <92% when breathing air).
- 5. Secondary prophylaxis against PCP should be offered after resolution of the acute infection.

Primary prophylaxis should be offered to people at risk, including those with HIV infection and a low CD4 count (<200 cells/ $\mu$ L) or percentage (<14%), and people taking long-term glucocorticoid therapy. Low-dose co-trimoxazole is preferred.

### **Case 4 answers**

- 1. *Salmonella, Shigella, Proteus* and *Pseudomonas* species are non-lactose-fermenting and produce pale-coloured colonies on this medium. All were contenders.
- 2. Antibacterials have no role in the management of the majority of cases of *Salmonella* gastroenteritis. Exceptions are when the gastroenteritis occurs in an individual who is immunocompromised or if there is evidence of systemic invasion. Ciprofloxacin would be the antibacterial of choice; it can be given orally and is cheaper than intravenous preparations. Dehydration and electrolyte imbalance should be corrected by fluid replacement. Control of the diarrhoea by antidiarrhoeal drugs is contraindicated because of the risk of inducing paralytic ileus and causing septicaemia.
- 3. Food poisoning, as this case would seem to involve from the history, is a notifiable condition and should be reported to the Consultant in Communicable Disease Control. Because the man has attended a convention, it is possible that this is part of an outbreak and all persons attending the convention should be contacted to find out if they have been symptomatic and to collect faecal specimens for culture. Specimens of food, if still available, should also be collected for culture.

# **FURTHER READING**

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DRUG	CHARACTERISTICS			
Antibacterial Drugs Affecting the Cell Wall				
Penicillins Penicillins are $\beta$ -lactam antibacterials that disrupt cell wall synthesis.				
Amoxicillin	Broad-spectrum aminopenicillin. Used for urinary tract infections, otitis media, sinusitis, bronchitis, uncomplicated community-acquired pneumonia, <i>H. influenzae</i> infections, invasive salmonellosis and listerial meningitis. Given orally, by intramuscular injection, or by intravenous injection or infusion. Also given combined with clavulanic acid (β-lactamase inhibitor) as co-amoxiclav.			
Ampicillin	Broad-spectrum aminopenicillin. Uses similar to amoxicillin. Given orally, by intramuscular injection, or by intravenous injection or infusion.			
Benzylpenicillin (penicillin G)	Used for throat infection, otitis media, streptococcal endocarditis, meningococcal disease, pneumonia and anthrax. Given by intramuscular injection, slow intravenous injection or intravenous infusion.			
Co-amoxiclav	See amoxicillin.			
Co-fluampicil	See flucloxacillin.			
Flucloxacillin (floxacillin)	Beta-lactamase-resistant aminopenicillin. Used for infections caused by β-lactamase-producing staphylococci. Given orally, by intramuscular injection or by intravenous injection or infusion. Also given combined with ampicillin as co-fluampicil.			
Phenoxymethyl penicillin V)	Used for tonsillitis, otitis media, erysipelas, rheumatic fever and pneumococcal infection prophylaxis. Given orally.			
Piperacillin	Antipseudomonal ureidopenicillin. Used for infections of lower respiratory tract, urinary tract, abdomen and skin. Given by intravenous infusion; available only in combination with tazobactam (β-lactamase inhibitor).			
Pivmecillinam hydrochloride	Prodrug rapidly hydrolysed to mecillinam. Antipseudomonal amidinopenicillin. Active against many Gram-negative bacteria including <i>E. coli</i> , <i>Klebsiella</i> , <i>Enterobacter</i> and salmonellae. Used for urinary tract infections. Given orally.			
Temocillin	Beta-lactamase-resistant carboxypenicillin. Used for infections caused by Gram-negative bacteria. Given by intramuscular or intravenous injection or by intravenous infusion.			
Ticarcillin	Antipseudomonal carboxypenicillin; also active against <i>Proteus</i> species. Given by intravenous infusion in combination with clavulanic acid.			
<b>Cephalosporins</b> Cephalosporins are β-lac generations, each with	ctam compounds that disrupt bacterial cell wall synthesis and are classified informally into five h common antibacterial properties.			
Cefaclor	Second-generation cephalosporin. Used for sensitive Gram-negative or Gram-positive infections of urinary tract (unresponsive to other drugs), respiratory tract and soft tissues and for otitis media and sinusitis. Given orally.			
Cefadroxil	First-generation cephalosporin. Uses similar to cefaclor. Given orally.			
Cefalexin	First-generation cephalosporin. Uses similar to cefaclor. Given orally.			
Cefixime	Third-generation cephalosporin. Uses similar to cefaclor but for acute infections only; also used for gonorrhoea. Given orally.			
Cefotaxime	Third-generation cephalosporin. Uses similar to cefaclor; also used for gonorrhoea, surgical prophylaxis, <i>Haemophilus epiglottitis</i> and meningitis. Given by deep intramuscular injection, or by intravenous injection (over 3–5 min) or infusion.			
Cefradine	First-generation cephalosporin. Uses similar to cefaclor; also used for surgical prophylaxis. Given orally, by deep intramuscular injection or by intravenous injection (over 3–5 min) or infusion.			
Ceftaroline fosamil	Prodrug converted to ceftaroline. Fifth-generation cephalosporin; similar to cefotaxime but extended activity against Gram-positive bacteria including MRSA. Used for community-acquired pneumonia and complicated skin and soft-tissue infections. Given by intravenous infusion.			
Ceftazidime	Third-generation cephalosporin. Uses similar to cefaclor; also used for surgical prophylaxis. Given by deep intramuscular injection or by intravenous injection or infusion.			
Ceftriaxone	Third-generation cephalosporin. Uses similar to cefaclor; also used for surgical prophylaxis and prophylaxis of meningococcal meningitis. Given by deep intramuscular injection, or by intravenous injection or infusion.			
Cefuroxime	Second-generation cephalosporin. Uses similar to cefaclor; also used for surgical prophylaxis. Given orally (as cefuroxime axetil prodrug), by deep intramuscular injection or by intravenous injection or infusion.			

DRUG	CHARACTERISTICS	
Monobactams and Carbapenems These β-lactam compounds disrupt bacterial cell wall synthesis, but generally with a broader spectrum of activity than most penicillins and cephalosporins. Usually reserved for severe or drug-resistant infections.		
Aztreonam	Monocyclic β-lactam ('monobactam'). Active only against Gram-negative bacteria and used for infections by <i>P. aeruginosa</i> , <i>H. influenzae</i> and <i>N. meningitides</i> . Given by deep intramuscular injection, or by intravenous injection or infusion.	
Ertapenem	Carbapenem. Broad-spectrum activity against both Gram-negative and Gram-positive organisms. Used for abdominal and acute gynaecological infections and for community-acquired pneumonia. Given by intravenous infusion.	
lmipenem (with cilastatin)	Carbapenem. Active against aerobic and anaerobic Gram-negative and Gram-positive organisms. Used for hospital-acquired septicaemia and for surgical prophylaxis. Always given with cilastatin (to inhibit renal dihydropeptidase) by deep intramuscular injection or intravenous infusion.	
Meropenem	Carbapenem. Used for aerobic and anaerobic Gram-negative and Gram-positive infections. Given by intravenous injection or intravenous infusion. Also available in combined formulation with the β-lactamase inhibitor vaborbactam.	
Beta-Lactamase Inhibitors	m antihactorial drugs suscentible to hydrolysis by 8-lactamase (penicillinase)	
Clavulanic acid	Given in combination with amoxicillin (co-amoxiclay) or ticarcillin	
Tazobactam	Given in combination with piperacillin	
Vaborbactam	Given in combination with meropenem.	
<b>Glycopeptides</b> Glycopeptide antibacterio	als inhibit formation of peptidoglycan links in bacterial cell walls.	
Dalbavancin	Similar to vancomycin, but much longer duration of action. Given by intravenous infusion.	
Teicoplanin	Used for Gram-positive infections, including endocarditis, peritonitis, and for prophylaxis in orthopaedic surgery. Given by intramuscular injection, or by intravenous injection or infusion.	
Telavancin	Used for hospital-acquired pneumonia in adults caused by MRSA when other antibacterials are unsuitable. Given by intravenous infusion.	
Vancomycin	Used for prophylaxis and treatment of endocarditis and other serious Gram-positive cocci infections and for <i>C. difficile</i> -related colitis. Given orally (for colitis) or by intravenous infusion.	
Other Drugs That Affect Ba	acterial Cell Walls	
Colistin (colistimethate sodium)	Polymyxin; bactericidal by solubilising bacterial cell membrane. Used for infections by Gram-negative organisms, including <i>P. aeruginosa</i> . Given orally (for bowel sterilisation only), by intravenous injection or infusion or in cystic fibrosis by inhalation (nebuliser).	
Daptomycin	Cyclic lipopeptide; bactericidal action by disruption of cell membrane function. Used for complicated skin and soft tissue infections by Gram-positive bacteria. Given by intravenous infusion.	
Fosfomycin	Phosphonic acid; inhibits the synthesis of bacterial cell wall peptidoglycans. Used for acute osteomyelitis, complicated urinary tract infections, hospital-acquired lower respiratory tract infections and bacterial meningitis when first-line treatments are inappropriate or ineffective. Given orally or by intravenous infusion.	
Antibacterial Drugs That A	ffect Nucleic Acids	
Quinolones (Fluoroquinolon Quinolones are bactericio	<b>nes)</b> dal drugs that inhibit DNA replication and repair by actions on DNA gyrases and topoisomerases.	
Ciprofloxacin	Active against Gram-positive and especially Gram-negative organisms, including Salmonella, Shigella, <i>Campylobacter, Neisseria</i> and <i>Pseudomonas</i> species. Used for respiratory (not pneumococcal pneumonia), urinary and gastrointestinal tract infections, chronic prostatitis, gonorrhoea and septicaemia. Given orally or by intravenous infusion.	
Delafloxacin	Used for acute bacterial skin and skin structure infections. Active against MRSA. Given orally or by intravenous infusion.	
Levofloxacin	Similar activity to ciprofloxacin but more active against pneumococci. Used for acute sinusitis, acute exacerbations of chronic bronchitis, and community-acquired pneumonia when first-line treatment is contraindicated or ineffective. Given orally or by intravenous infusion.	

DRUG	CHARACTERISTICS
Moxifloxacin	Similar activity to ciprofloxacin but more active against pneumococci and inactive against <i>P. aeruginosa</i> or MRSA. Used for treatment of sinusitis, community-acquired pneumonia, exacerbations of chronic bronchitis, pelvic inflammatory disease or complicated skin and soft-tissue infections unresponsive to other antibacterials. Given orally.
Ofloxacin	Used for infections of urinary tract and lower respiratory tract and for gonorrhoea, non-gonococcal urethritis and cervicitis. Given orally or by intravenous infusion.
Nitroimidazoles Nitroimidazole antibacter to toxic metabolites th	ials are converted in some anaerobic bacteria and protozoa (but not in human cells or aerobic bacteria) at degrade DNA.
Metronidazole	Used for surgical and gynaecological sepsis, antibiotic-associated colitis and eradication of <i>H. pylori</i> . Given orally, rectally or by intravenous infusion.
Tinidazole	Actions and uses similar to those of metronidazole. Given orally.
Other Drugs Affecting Bact Fidaxomicin	Arial Nucleic Acids Novel macrocyclic antibiotic; inhibits bacterial RNA polymerase. Very low oral absorption and narrow spectrum against Gram-positive bacteria, especially clostridia. Used for <i>C. difficile</i> infection. Given orally.
Nitrofurantoin	Active metabolites disrupt bacterial DNA and RNA, and also affect ribosomal and other proteins. Given orally for urinary tract infections.
Rifaximin	Nonabsorbable rifamycin compound; inhibits bacterial RNA synthesis. Used for uncomplicated traveller's diarrhoea. Given orally.
Antibacterial Drugs That A	ffect Protein Synthesis
Macrolides Macrolides inhibit proteir penicillins; they are use	n synthesis by binding to the 50S ribosomal subunit. Their antibacterial spectrum is similar to that of ed as alternatives in people who are penicillin-allergic.
Azithromycin	Used for respiratory tract infections, otitis media, skin and soft tissue infections, uncomplicated chlamydial infections, non-gonococcal urethritis and moderate typhoid due to multiple antibacterial-resistant organisms. Given orally.
Clarithromycin	Used for respiratory tract infections, otitis media, mild-to-moderate skin and soft tissue infections and for <i>H. pylori</i> eradication. Given orally or by intravenous infusion.
Erythromycin	Used for <i>Campylobacter</i> enteritis, pneumonia, Legionnaires' disease, syphilis, non-gonococcal urethritis, chronic prostatitis, diphtheria and whooping cough prophylaxis and for acne vulgaris and rosacea. Given orally or by intravenous infusion.
Spiramycin	Used on a 'named patient' basis for toxoplasmosis.
Aminoglycosides	
Aminoglycosides inhibit p active against Gram-n used for serious infect	protein synthesis by binding irreversibly to the 30S ribosomal subunit. They are bactericidal drugs egative and Gram-positive organisms. Gentamicin is the aminoglycoside of choice in the UK and is ions.
Amikacin	Used for serious Gram-negative infections resistant to gentamicin. Given by intramuscular injection, slow intravenous injection or intravenous infusion.
Framycetin sulfate	Used for bacterial infection in otitis media. Applied topically to the ear.
Gentamicin	Used for septicaemia and neonatal sepsis, CNS infections (including meningitis), biliary tract infections, acute pyelonephritis and prostatitis, endocarditis, pneumonia in hospital and as adjunct in listerial meningitis. Given by intramuscular injection, slow intravenous injection, intravenous infusion or by intrathecal injection. Also available in combined formulation with hydrocortisone.
Neomycin	Toxicity restricts use to skin infections and for bowel sterilisation before surgery. Given orally. Also available in combined formulation with chlorhexidine.
Streptomycin	See drugs for tuberculosis below.
Tobramycin	Uses similar to those of gentamicin. Given by intramuscular injection, slow intravenous injection or intravenous infusion.

Continued

DRUG	CHARACTERISTICS	
Tetracyclines		
Tetracyclines are broad-s limited by increasing re salpingitis, urethritis an Borrelia burgdorferi (Ly exacerbations, and for	spectrum antibacterials that inhibit protein synthesis by binding to the 30S ribosomal subunit. Use is esistance but they remain drugs of choice for infections caused by Chlamydia (trachoma, psittacosis, ad lymphogranuloma venereum), Rickettsiae (including Q-fever), Brucella and the spirochaete orme disease); also used in mycoplasmal infections, refractory periodontal disease, chronic bronchitis leptospirosis in penicillin hypersensitivity. All except tigecycline are given orally.	
Demeclocycline	Main uses given above.	
Doxycycline	Main uses given above; also for chronic prostatitis, sinusitis, syphilis, pelvic inflammatory disease, anthrax, malaria, rosacea and acne vulgaris; also used with quinine in the treatment of malaria.	
Lymecycline	Main uses given above.	
Minocycline	Broader spectrum than other tetracyclines. Main uses given above; also used for acne vulgaris.	
Oxytetracycline	Main uses given above; also used for acne vulgaris and rosacea.	
Tetracycline	Main uses given above; also used for acne vulgaris and rosacea.	
Tigecycline	Glycylcycline structurally related to tetracyclines, with similar unwanted effects. Used for complicated intraabdominal, skin or soft tissue infections. Given by intravenous infusion.	
Lincosamides Inhibit bacterial protein sy	ynthesis by a mechanism similar to macrolide antibacterials.	
Clindamycin	Toxicity limits use to staphylococcal bone and joint infections and for peritonitis; also used for MRSA in bronchiectasis, bone and joint infections, and for skin and soft-tissue infections. Given orally, by deep intramuscular injection or by intravenous infusion.	
<b>Oxazolidinones</b> Bind to the bacterial 50S	ribosomal subunit and block initiation of the peptide chain.	
Linezolid	Used for pneumonia and complicated skin and soft tissue infections caused by Gram-positive organisms. Given orally or by intravenous infusion.	
Tedizolid	Given as phosphate prodrug. Used for acute bacterial skin and skin structure infections caused by Gram-positive organisms. Given orally or by intravenous infusion.	
Other Drugs Affecting Bact Chloramphenicol	<b>Bacteriostatic antibiotic;</b> inhibits peptidyl transferase in the bacterial 50S ribosomal subunit. Potent but toxic broad-spectrum activity. Systemic use limited to life-threatening infections, especially <i>H. influenzae</i> and typhoid fever. Given orally or intravenously; also used topically for eye and ear infections.	
Fusidic acid (sodium fusidate)	Steroid; inhibits peptide chain elongation in bacterial ribosome. Narrow-spectrum antibacterial. Use restricted to penicillin-resistant staphylococcal infections. Given orally or by intravenous infusion.	
Methenamine hippurate	Heterocyclic compound that degrades to formaldehyde and ammonia, which are bactericidal. Used for prophylaxis and long-term treatment of lower urinary tract infections. Given orally.	
Mupirocin	Reduces bacterial protein synthesis by inhibiting isoleucyl-tRNA synthetase. Used topically for bacterial skin infections, and intranasally for eradication of nasal carriage of staphylococcus (including MRSA).	
Antibacterial Drugs That Affect Cellular Metabolism		
Sulfonamides and Trimethoprim		
Sulfonamide antibacterial increasing resistance a	ls and trimethoprim are inhibitors of folate synthesis. Their importance has declined owing to and the availability of better alternatives.	
Sulfadiazine	Sulfonamide. Used for prevention of rheumatic fever recurrence. Given orally or by intravenous infusion; silver sulfadiazine cream is available for topical use.	
Sulfamethoxazole	Sulfonamide. Used only in combination with trimethoprim (as co-trimoxazole) for <i>P. jirovecii</i> (where it is the drug of choice), toxoplasmosis, nocardiasis and for other susceptible acute infections. Given orally or by intravenous infusion.	
Trimethoprim	Dihydrofolate reductase inhibitor. Used with sulfamethoxazole (as co-trimoxazole), and alone for urinary tract infections and bronchitis (when it is given orally).	

DRUG	CHARACTERISTICS
Antibacterial Drugs Used	for Tuberculosis
Tuberculosis is typically phase (2 months) and may be needed if resi indications for these c	treated in the UK with a combination of isoniazid, rifampicin, pyrazinamide and ethambutol in the initial I with isoniazid and rifampicin in the continuation phase (4 months). Longer treatment and other drugs istance or nonrespiratory complications occur and in people who are immunocompromised. Other drugs are also listed.
Aminosalicylic acid (4-aminosalicylate)	Used in combination with other antituberculous drugs when resistance to first-line drugs occurs. Given orally.
Bedaquiline	Quinolone, but with novel action to inhibit the proton pump for mycobacterial ATP synthase. Used for pulmonary tuberculosis in combination with other drugs when resistance to first-line drugs occurs. Given orally. Very long half-life (5 months).
Capreomycin	Protein synthesis inhibitor. Used in combination with other drugs when resistance to first-line drugs occurs. Given by deep intramuscular injection.
Cycloserine	Amino acid analogue; inhibits cell wall synthesis. Used in combination with other drugs when resistance to first-line drugs occurs. Given orally.
Delamanid	Novel inhibitor of the synthesis of mycolic acids in <i>M. tuberculosis</i> cell wall. Used for pulmonary tuberculosis in combination with other drugs when resistance to first-line drugs occurs. Given orally.
Ethambutol hydrochloride	Arabinose analogue; inhibits mycobacterial cell wall synthesis. First-line drug (initial phase only) included in regimen if resistance to isoniazid is suspected. Given orally.
Isoniazid	Prodrug; active product inhibits mycolic acid synthesis. First-line antituberculous drug. Given orally or by intramuscular or intravenous injection.
Pyrazinamide	Prodrug of pyrazinoic acid, which lowers mycobacterial pH and inhibits fatty acid synthesis. First-line drug (initial phase only), particularly useful in tuberculous meningitis. Given orally.
Rifabutin	Rifamycin compound; inhibits DNA-dependent RNA polymerase. Used for prophylaxis against <i>M. avium</i> complex infections and for treatment of nontuberculous mycobacterial disease and pulmonary tuberculosis. Also used with other drugs in eradication of <i>H. pylori</i> (see Chapter 33). Given orally.
Rifampicin (rifampin)	Rifamycin compound; inhibits DNA-dependent RNA polymerase. Key first-line antituberculous drug and available in combination formulations with isoniazid, or with isoniazid and pyrazinamide, or with isoniazid, pyrazinamide and ethambutol. Also used for brucellosis, Legionnaires' disease, leprosy and serious staphylococcal infections. Given orally or by intravenous infusion.
Streptomycin	Aminoglycoside; inhibits protein synthesis at 30S ribosomal subunit. Use is mainly restricted to tuberculosis resistant to other drugs. Also used as in treatment of brucellosis and enterococcal endocarditis. Given by deep intramuscular injection.
Antibacterial Drugs Used i	in Leprosy
These drugs are often u	sed in combination. Other drugs including ofloxacin, minocycline and clarithromycin are less active.
Clofazimine	Dye that disrupts DNA function. Second-line drug for leprosy. Given orally. Very long half-life (70 days).
Dapsone	Sulfone; inhibits folate synthesis. Key first-line drug for leprosy. Given orally.
Rifampicin	See drugs for tuberculosis listed previously.
Antifungal Drugs	
Polyenes Polyenes interact direct	ly with ergosterol to form pores in fungal cell membranes, leading to lysis and cell death.
Amphotericin B	Active against most fungi. Available as conventional, liposomal and lipid-complex formulations. Given by intravenous infusion for systemic infections.
Nystatin	Used principally for <i>C. albicans</i> infections of skin and mucous membranes. Given topically; not absorbed from skin or gut.
Imidazoles and Triazoles	
Imidazoles and triazoles membrane function.	inhibit the conversion of lanosterol to ergosterol by lanosterol $14\alpha$ -demethylase, impairing fungal cell
Clotrimazole	Imidazole. Used topically for fungal skin infections and vaginal candidiasis. Applied as a cream, powder or spray. Minimal absorption. Also available in combined formulations with betamethasone or hydrocortisone.

DRUG	CHARACTERISTICS	
Econazole nitrate	Imidazole. Used for fungal skin infections and vaginal candidiasis; applied as a cream. Negligible absorption.	
Fluconazole	Triazole. Used for local and systemic fungal infections, including fungal meningitis. Given orally or by intravenous infusion.	
Isavuconazole	Triazole. Used for invasive aspergillosis, and for mucormycosis when amphotericin B is inappropriate. Given orally or by intravenous infusion.	
Itraconazole	Triazole. Used for numerous local and systemic fungal infections. Given orally.	
Ketoconazole	Imidazole. Used topically for skin, scalp and vaginal infections; oral use no longer recommended for systemic mycoses.	
Miconazole	Imidazole. Used topically for oral skin and vaginal infections, or orally for intestinal infections.	
Posaconazole	Triazole. Used in invasive infections unresponsive to amphotericin or other suitable treatments. Given orally.	
Tioconazole	Imidazole. Used for fungal nail infections. Applied as a solution; negligible absorption.	
Voriconazole	Triazole. Used for invasive aspergillosis and other serious fungal infections. Given orally or by intravenous infusion.	
Squalene Epoxide Inhibitor Allylamine compound that membrane ergosterol.	at selectively inhibits fungal conversion of squalene to lanosterol, the precursor for synthesis of cell	
Terbinafine	Drug of choice for fungal nail infections; also used for ringworm infection. Given orally or topically.	
Echinocandins Echinocandins inhibit syn	nthesis of beta-glucans in fungal cell walls.	
Anidulafungin	Used in invasive candidiasis. Given by intravenous infusion.	
Caspofungin	Used for invasive aspergillosis unresponsive to amphotericin or itraconazole, and for invasive candidiasis. Given by intravenous infusion.	
Micafungin	Used to treat invasive candidiasis and to prevent candidiasis in people with neutropenia. Given by intravenous infusion.	
<b>Other Drugs That Affect Fu</b> Amorolfine	ngal Ergosterol Synthesis Morpholine compound; depletes ergosterol in fungal cell membranes by inhibiting Δ <sup>14</sup> -sterol reductase and cholestenol Δ-isomerase, leading to accumulation of toxic sterols. Used for fungal infections of the nails, applied as a cream or nail lacquer.	
Antimetabolites Flucytosine is converted selectively in fungal cells to 5-fluorouracil and fluorodeoxyuridine monophosphate, which inhibit RNA synthesis and DNA synthesis respectively.		
Flucytosine	Used for systemic fungal infections in combination with amphotericin or fluconazole. Given by intravenous infusion.	
Microtubule Inhibitors Interference with microtu	bule function blocks fungal mitosis.	
Griseofulvin	Used for widespread or intractable dermatophyte infections of the skin, scalp and nails where topical treatment has been ineffective. Given orally.	
Antiviral Drugs Used in HIV Infection		
<b>Reverse Transcriptase Inhibitors</b> These include nucleoside/nucleotide reverse transcriptase inhibitors (NRTI) and non-nucleoside reverse transcriptase inhibitors (NNRTI) used for treatment of HIV infection, usually combined with other antiretroviral drugs and in combination formulations; other indications are listed under individual drugs.		
Abacavir	NRTI. Given orally. Also available in combined formulations with lamivudine, and with lamivudine plus dalutegravir or zidovudine.	
Efavirenz	NNRTI. Given orally. Also available in combined formulations with emtricitabine and tenofovir disoproxil.	
Emtricitabine	NRTI. Given orally. Also available in combined formulations with tenofovir (alafenamide or disoproxil), either with or without rilpirivine, and with efavirenz and tenofovir disoproxil.	
Etravirine	NNRTI. Used in regimens containing a boosted protease inhibitor for HIV infection resistant to other NNRTIs and protease inhibitors. Given orally.	

DRUG	CHARACTERISTICS
Lamivudine	NRTI. Given orally. Also available in combined formulations with abacavir, dolutegravir, tenofovir disoproxil (with or without doravirine) or zidovudine, and in other permutations with two drugs. Lamivudine is also used for chronic hepatitis B infection with evidence of viral replication (see Chapter 36).
Nevirapine	NNRTI; used in advanced disease in combination with at least two other drugs. Given orally.
Rilpivirine	NRTI. Given orally. Also available in combined formulations with dolutegravir, or with emtricitabine and tenofovir (alafenamide or disoproxil).
Tenofovir alafenamide, tenofovir disoproxil	Prodrugs of tenofovir, an NRTI. Given orally. Also available in many combined formulations with other antiretroviral drugs, as listed in compendium.
Zidovudine	NRTI; the first anti-HIV drug to be introduced. Also used for prevention of maternal–fetal HIV transmission. Given orally or by intravenous infusion. Also available in combined formulations with lamivudine, or with lamivudine and abacavir.
HIV Protease Inhibitors Protease inhibitors are us	sed for treatment of HIV infection in combination with other antiretroviral drugs.
Atazanavir	Used with low-dose ritonavir or cobicistat in individuals treated with other antiretroviral drugs. Given orally.
Darunavir	Given orally with ritonavir or cobicistat. Also available in combined formulations with cobicistat, emtricitabine and tenofovir alafenamide.
Fosamprenavir	Phosphate ester prodrug converted to amprenavir in gut mucosa. Used with low-dose ritonavir in individuals treated with other antiretroviral drugs. Given orally.
Lopinavir (with ritonavir)	Lopinavir available only in combination with ritonavir. Given orally.
Ritonavir	Used (in low doses) to enhance activity of other protease inhibitors by inhibiting their CYP450-dependent metabolism (see pharmacokinetic boosters below).
Saquinavir	The first HIV protease inhibitor to be introduced. Used with low-dose ritonavir. Given orally.
Tipranavir	Used with low-dose ritonavir for HIV infection resistant to other protease inhibitors and in those treated previously with other antiretroviral drugs. Given orally.
HIV Fusion-Entry Inhibitor Binds to a viral glycoprot	tein (gp41) to prevent HIV fusion and entry into host cells.
Enfuvirtide	Used with other antiretroviral drugs for resistant HIV infection or in people intolerant to other drugs. Given by subcutaneous injection.
<b>Chemokine Receptor 5 (CC</b> Blocks the chemokine re	<b>R5) Antagonist</b> ceptor CCR5 used by HIV to enter host cells, predominantly in early stages of infection.
Maraviroc	Used with other antiretroviral drugs for treatment of HIV infection inadequately controlled by other drugs.
HIV Integrase Inhibitors (IN Inhibit the HIV integrase	II) that inserts viral DNA into the host cell genome. Used in combination with other antiretroviral drugs.
Bictegravir	Available only in a combined formulation with emtricitabine and tenofovir alafenamide.
Dolutegravir	Available in combined formulations with rilpivirine, with lamivudine, and with abacavir and lamivudine. Given orally.
Elvitegravir	Also available in combined formulation with emtricitabine, tenofovir (alafenamide or disoproxil) and the pharmacokinetic booster cobicistat. Given orally.
Raltegravir	Used for the treatment of HIV infection resistant to other antiretroviral drugs. Given orally.
Pharmacokinetic Boosters Cobicistat	Pharmacokinetic booster that inhibits the elimination of atazanavir, darunavir, or elvitegravir by CYP3A4 metabolism. Given orally.
Ritonavir	Low doses increase effects of several other protease inhibitors by blocking CYP3A4 metabolism. Given orally.

Continued

DRUG	CHARACTERISTICS			
Antiviral Drugs Used for Herpesvirus and Cytomegalovirus Infections				
Inhibitors of Viral DNA Poly	merase			
Nucleoside analogue and cytomegalovirus (CMV	d non-nucleoside drugs that inhibit viral DNA polymerase; used in herpes simplex, varicella zoster and ) infections.			
Aciclovir	Nucleoside analogue. Used for herpes simplex and varicella zoster infections. Given orally, topically or by intravenous infusion.			
Cidofovir	Nucleoside inhibitor of human CMV DNA polymerase. Used for CMV retinitis in severe HIV infection. Given by intravenous infusion.			
Famciclovir	Nucleoside analogue prodrug converted to penciclovir. Used for treatment of herpes zoster, acute genital herpes simplex and suppression of recurrent genital herpes infections. Given orally.			
Foscarnet sodium	Non-nucleoside inhibitor. Used for CMV retinitis in severe HIV infection and for mucocutaneous herpes simplex infections unresponsive to aciclovir in immunocompromised people. Given by intravenous infusion.			
Ganciclovir	Nucleoside analogue. Related to aciclovir but more active against CMV. Used for life-threatening or sight-threatening CMV infections in immunocompromised people only. Given by intravenous infusion.			
Inosine pranobex	Nucleoside analogue inosine in combination with dimepranol acedoben. Used for mucocutaneous herpes simplex, genital warts and the measles complication subacute sclerosing panencephalitis. Given orally.			
Letermovir	Novel non-nucleoside inhibitor of the CMV terminase complex; interferes with CMV genome packaging and virion maturation. Used to prevent CMV reactivation following stem cell transplantation. Given orally.			
Valaciclovir	Ester prodrug of aciclovir; uses similar to those of aciclovir. Given orally.			
Valganciclovir	Ester prodrug of ganciclovir. Used for CMV retinitis in people with severe HIV infection and for prevention of CMV infection following transplantation from an infected donor. Given orally.			
Antiviral Drugs for Hepatiti	s Virus Infections			
See Chapter 36.				
Antiviral Drugs Used for Inf	fluenza Infections			
M <sub>2</sub> lon Channel Inhibitor Blocks the transmembrai	ne $M_2$ ion channel required for uncoating of influenza A virus.			
Amantadine	Licensed for prophylaxis and treatment of influenza A, but no longer recommended; for use in Parkinson's disease, see Chapter 24. Given orally.			
Neuraminidase Inhibitors				
Block the neuraminidase	glycoprotein on influenza A and B viruses required for viral budding.			
Oseltamivir	Prodrug activated in liver. Used to treat influenza in at-risk individuals if started within 48 h of onset of symptoms. Given orally.			
Zanamivir	Used to treat influenza in at-risk individuals if started within 48 h of onset of symptoms. Given by inhalation.			
Antiviral Drugs and Immun	omodulators Used for Coronavirus Infections			
The emerging place of th	ese drugs in treating COVID-19 is discussed in the main text of the chapter.			
Baricitinib	Janus kinase inhibitor; for use in rheumatoid arthritis, see Chapter 30.			
Corticosteroids	Immunomodulatory and antiinflammatory drugs. See Chapter 44.			
Remdesivir	Nucleoside analogue inhibitor of RNA-dependent RNA polymerase in RNA viruses in vitro, including SARS-CoV-2, causing RNA chain termination. Given by intravenous infusion for COVID-19 in adults with pneumonia requiring supplemental oxygen.			
Tocilizumab	Interleukin-6 receptor antagonist; for use in rheumatoid arthritis and other inflammatory conditions, see Chapter 30.			
Drugs Used for RSV Infections				
Infection with respiratory under 2 years old.	syncytial virus (RSV), also known as human orthopneumovirus, can cause bronchiolitis in children			
Palivizumab	Monoclonal antibody directed against RSV antigen. Used for prevention of serious lower respiratory tract infection by RSV in neonates and children under 2 years. Given by intramuscular injection.			

#### DRUG **CHARACTERISTICS** Ribavirin Used by inhalation for severe RSV bronchiolitis in infants and children, and by intravenous infusion in life-threatening infections with RSV, parainfluenza virus or adenovirus; also given orally in permutations with other drugs (e.g. peginterferon alpha) for chronic hepatitis C virus infection (see Chapter 36). Antiprotozoal Drugs Antimalarials Artemether with Used for the treatment of acute uncomplicated P. falciparum malaria. Given orally. lumefantrine Artenimol with Used for treatment of acute uncomplicated P. falciparum malaria. Given orally. piperaquine phosphate Atovaquone Used with proguanil for the prophylaxis or treatment of *P. falciparum* malaria; also used for mild to moderate pneumocystis pneumonia. Given orally. Chloroquine Used for chemoprophylaxis and treatment of malaria and also for rheumatoid arthritis and lupus erythematosus. Given orally or by intravenous infusion. Used for chemoprophylaxis and treatment of uncomplicated P. falciparum and chloroquine-resistant Mefloquine P. vivax malaria. Given orally. Used only for eradication of liver stages of P. vivax and P. ovale malaria. Given orally. Primaquine Proguanil Folate inhibitor; prodrug of cycloguanil. Used for chemoprophylaxis of malaria. Given orally (alone or with atovaquone). Pyrimethamine Folate inhibitor. Used for malaria treatment only in combination with sulfadoxine (as Fansidar). Given orally. Quinine Used for treatment but not prophylaxis of malaria. Given orally or by intravenous infusion. Sulfadoxine Sulfonamide. Used only in combination with pyrimethamine (as Fansidar) for treatment of P. falciparum malaria. Given orally. **Other Antiprotozoals** Eflornithine Ornithine decarboxylase inhibitor. Given intravenously for trypanosomiasis (sleeping sickness); also used topically for facial hirsutism in women. Mepacrine (quinacrine) Unlicensed uses for giardiasis and for discoid lupus erythematosus. Given orally. Metronidazole Nitroimidazole antibacterial (see earlier) and amoebicidal drug. Used for intestinal and extraintestinal amoebiasis and for urogenital trichomoniasis and giardiasis. Given orally. Pentamidine isetionate Used for pneumocystis pneumonia, leishmaniasis and trypanosomiasis. Given by inhalation (nebuliser), by deep intramuscular injection or by intravenous infusion. Sodium stibogluconate Pentavalent antimony compound. Used for visceral or cutaneous leishmaniasis. Given by intramuscular injection or slow intravenous infusion. Tinidazole Nitroimidazole antibacterial (see earlier) and antiprotozoal drug. Uses similarly to metronidazole. Given orally. **Antihelminthic Drugs** Albendazole Used on a 'named patient' basis either alone or as an adjunct to surgery for echinococcal infections and for cutaneous hookworm larval infections. Given orally. Diethylcarbamazine First-line drug for use against filariae. Given orally. Used on a 'named patient' basis as the drug of choice for onchocerciasis; also used for cutaneous Ivermectin hookworm larval infections and for chronic Strongyloides infections. Given orally. Levamisole Used for roundworm infection. Given orally. Mebendazole Used for threadworm, roundworm, whipworm and hookworm infections. Given orally. Niclosamide Used on a 'named patient' basis for tapeworm infections. Given orally; not used in the USA. Praziquantel Used on a 'named patient' basis for tapeworm infections and bilharziasis. Given orally. Tiabendazole Used on a 'named patient' basis as the drug of choice for Strongyloides infection; also for cutaneous hookworm larval infections. Given orally.

Compendium of Drugs Used in Infections-cont'd.

CMV, Cytomegalovirus; CYP, cytochrome P450 isozyme; HIV, human immunodeficiency virus; INI, HIV integrase inhibitor; MRSA, meticillin-resistant Staphylococcus aureus; NRTI, nucleoside reverse transcriptase inhibitor; NNRTI, non-nucleoside reverse transcriptase inhibitor; RSV, respiratory syncytial virus; S; Svedberg centrifugation coefficient.

# **Drugs for Cancer**

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Approximately 20% to 25% of people in developed countries die from cancer. Surgery and radiotherapy are valuable for treating localised cancers but are less effective in prolonging life once the tumour has spread to produce metastases. The introduction of cytotoxic chemotherapy to kill rapidly proliferating neoplastic cells had a major impact on the treatment of malignant disease, especially diffuse tumours. More recently, targeted drug therapy has revolutionized treatment for specific cancers. Successful treatment of cancer frequently involves a multidisciplinary approach, which also includes psychological and social support.

A wide range of different drugs to treat cancer has been introduced into clinical practice since the 1970s, with a variety of mechanisms and sites of action within cancer cells. Although the drugs differ in their specific cellular targets, most cytotoxic anticancer drugs preferentially target dividing cells. Traditional cancer

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chemotherapy relies on the rapid rate of growth and division of cancer cells to provide a degree of selectivity between normal and malignant tissue. Recent developments in molecular biology have resulted in the discovery of new targets for drug action and a resurgence of anticancer drug development. The ability of molecular biological approaches to define the mechanisms of cellcell communication, apoptosis and angiogenesis has also provided a major stimulus for the production of new drugs with greater selectivity for cancer cells. Most recently, immunotherapy for the treatment of cancer has become mainstream for some tumours.

There are a number of in vivo animal tests for detecting anticancer activity, but these frequently overpredict the likely effectiveness of a compound in clinical use. This occurs because the animal tumours used as models have a much higher proportion of vulnerable cells undergoing division (a higher growth fraction) than human tumours. A placebo-controlled clinical trial in humans of a new anticancer drug given as sole treatment is unethical if an effective drug is already available. The efficacy of any new drug is therefore usually assessed by adding it to the best available current therapy. A successful new drug would have to show a clinically significant benefit above that of the optimal current treatment. As a result, some advances in cancer chemotherapy have arisen from the more effective use of existing drugs by optimising drug combinations and regimens and by minimising toxicity rather than through the introduction of novel compounds.

# THE BIOLOGY OF CANCER

Cancer is a genetic disease that arises when the information in cellular DNA is changed by mutation, resulting in abnormal gene expression. Cancers develop as a result of abnormal control of cell function. Genes that control cell growth, survival and motility are enhanced, whilst those that suppress these functions are repressed. Most cancers probably arise from multiple cumulative genetic mutations in a cell, with sequential gene defects resulting in progressive changes through initial metaplastic and dysplastic phases, progressing to invasive and ultimately metastatic cancer. The importance of epigenetic (nonmutational) influences in carcinogenesis is also well recognised.

About 85% of cancers arise from exposure to environmental factors such as viruses, radiation and chemicals, with inherited genetic mutations accounting for the remainder. The environmental factors produce DNA damage, which in the normal cell can be repaired before the cell completes its cycle of division. A second protective mechanism is apoptosis (programmed cell death) of severely damaged cells. Cancer is the result of abnormalities in one of two classes of gene:

- inactivation of tumour suppressor genes or changes in microRNA genes (encoding RNA molecules which regulate gene expression) will promote unregulated cell proliferation,
- mutation of proto-oncogenes to growth-promoting oncogenes. Proto-oncogenes are normal gene sequences that control cell proliferation, survival and motility. They can transform into oncogenes by mutation that enhances their biological activity, amplification that increases the number of gene copies or by gene translocation to another chromosomal location where they have enhanced activity. Oncogenes code for regulators such as growth factor receptorassociated tyrosine kinases and other intracellular signalling transducers, nuclear transcription factors, chromatin remodellers and apoptosis regulators. Gene mutations that activate oncogenes allow cell growth in the absence of stimulation by an external regulator.

There are several fundamental changes in cancer cells that contribute to their ability to replicate, survive and invade. Among these are:

- Growth factor independence, leading to sustained signalling in pathways controlling cellular functions. This may be due to enhanced response to external growth factors or activation of the signalling pathway independently of receptor stimulation.
- Loss of sensitivity to antigrowth signals, normally mediated by ligands such as transforming growth factor β.
- Loss of apoptotic pathway signalling with reduced cell death. Defective function of the tumour suppressor gene *p*53 or the oncogene Bcl-2 may be a factor in preventing DNA repair, reducing apoptosis and permitting uncontrolled cell proliferation in more than 50% of tumours.
- Cellular immortalisation. Activation of telomerase and lengthening of chromosomal telomeres may also be important. Telomeres are the regions at the ends of chromosomes; their progressive shortening as healthy cells divide eventually arrests further cell division as the cell becomes senescent. In cancer cells, telomerase activation and chromosomal recombination can protect or extend the telomeres, immortalising the cell for continued growth and division.
- Sustained angiogenesis. Upregulation of angiogenic growth factors such as vascular endothelial growth factor (VEGF) and downregulation of antiangiogenic factors such as angiostatin increase blood supply to the tumour.
- Invasion and metastasis. Cancer cells upregulate molecules that digest extracellular matrix, and they can then penetrate blood or lymphatic vessels with embolisation of the tumour. Tumour cells also express chemokine receptors that allow them to attach to specific tissues expressing high levels of the ligand, conferring specific patterns of metastasis.
- Evading immune destruction. Selection of less immunogenic cancer cells or recruitment of immune checkpoint pathways, particularly on T-lymphocytes, help cancer cells to evade immune detection and destruction.
- Reprogrammed energy metabolism with preferential use of glycolysis to generate substrates for cell growth and division.

# CYTOTOXIC ANTICANCER DRUGS

For many years, the major component of anticancer drug therapy has been cytotoxic chemotherapy, which affects cellular mitosis.

# MECHANISMS OF ACTION

There are three main mechanisms by which cytotoxic anticancer drugs affect cellular mitosis:

• The majority of cytotoxic anticancer drugs act on the process of DNA synthesis within the cancer cell, as summarised in Fig. 52.1. Selectivity of these drugs for cancer cells compared with normal tissues is determined by the rate of DNA synthesis and cell



Fig. 52.1 Molecular sites of action of the main groups of cytotoxic anticancer drugs.



Fig. 52.2 Sites of action of key examples of cell cycle-specific anticancer drugs.

division. Resting cells in the  $G_0$  phase are resistant to many anticancer drugs (Fig. 52.2). These are *cell cycle-specific cytotoxic anticancer drugs*, such as the antimetabolites, which work effectively only when the cells are in the appropriate phase of the cell cycle at the time of treatment.

 Disruption of DNA structure. These are non-cell cyclespecific cytotoxic anticancer drugs, such as the alkylating drugs, nitrosoureas and platinum agents, which have a 'hit-and-run' action on DNA. It is not critical when the cell is exposed because the drug effect becomes apparent when the cells attempt to divide.

• Disruption of microtubules, by stabilisation (taxanes) or preventing their formation (vinca alkaloids).

The sensitivity of a cancer to cytotoxic drug chemotherapy depends on its growth fraction, which is the fraction of cells undergoing mitosis at any given time. For example, in Burkitt's lymphoma, almost 100% of neoplastic cells are undergoing division simultaneously, and they are very sensitive to cytotoxic chemotherapy, showing a dramatic response to a single dose of cyclophosphamide. In contrast, the growth fraction in a carcinoma of the colon is less than 5% of cells, resulting in its relative resistance to chemotherapy. However, metastases from colonic carcinoma deposited in the liver and elsewhere initially have a high growth fraction and are more sensitive to cytotoxic anticancer drugs.

The following have been shown using in vitro cancer cell lines and other approaches:

- Essentially, complete eradication of tumour cells is necessary to prevent regrowth.
- Anticancer drugs produce a proportional cell kill; in other words, a proportion such as 95% of the cells present may be eliminated during a single course of treatment. Consequently, multiple treatments may be necessary to eradicate the cancer, with successive

treatments producing an exponential decrease in the number of viable cancer cells remaining.

• Efficacy of chemotherapy with cell cycle-specific drugs in vitro is increased if treatment is timed to coincide with the appropriate phase of cell division within the cell population.

In vivo, the immune system probably contributes to the final removal of residual malignant cells; however, most cytotoxic anticancer drugs compromise immunoresponsiveness by killing proliferating immune cells, which will reduce this removal process. The periodicity of doses is probably less critical in vivo because cancer cell division cycles are not synchronised within the target cell population between treatments. In clinical practice, dose intervals are often established to allow recovery of healthy cells from toxic effects of the treatment. Therefore, although these concepts are useful when planning in vivo cancer treatment, risk-benefit considerations may change with successive treatments and preclude complete eradication of the tumour.

# RESISTANCE

Resistance to cytotoxic anticancer drugs may develop in a number of ways, many of which are analogous to the mechanisms that generate the resistance of pathogenic microbes to antimicrobial drugs (see Chapter 51). These are explained later in the text for individual drugs, but include a number of general mechanisms:

- Reduced cellular drug uptake. For example, the folate synthesis inhibitor methotrexate enters cells by the high-affinity transport system (the reduced folate carrier) for tetrahydrofolic acid (THF), and downregulation of the transporter in cancer cells limits the uptake of methotrexate and confers resistance to the drug.
- Use of alternative metabolic pathways and salvage mechanisms to circumvent a blocked biochemical process in cancer cells. Such mechanisms are usually drug-specific. For example, induction of asparagine synthesis in cells exposed to crisantaspase (asparaginase).
- Mutation of intracellular drug targets. For example, production of topoisomerase II with reduced sensitivity to the inhibitory effects of anthracyclines.
- Increased inactivation of the drug compound within the cancer cell. For example, high intracellular levels of glutathione S-transferase isozymes inactivate cisplatin and alkylating drugs, and increased activity of thiopurine S-methyltransferase increases the metabolism of mercaptopurine and tioguanine.
- Reduced activation of prodrugs. For example, low intracellular levels of deoxycytidine kinase reduce the activation of cytarabine (cytosine arabinoside).
- Increased removal of the drug from the cancer cell due to increased expression of protein carriers for the elimination of complex foreign chemicals from the cell (see Fig. 2.2), including a number of cytotoxic compounds. There are several such proteins (see

Table 2.1), including P-glycoprotein and the multidrug resistance-related proteins (MRPs). Increased production of the carrier protein confers multidrug resistance to a number of compounds, including vinca alkaloids, etoposide, taxanes, anthracyclines, dactinomycin, mitomycin C and mitoxantrone. Because some carriers can be inhibited by calcium channel blockers (such as nifedipine or verapamil), ciclosporin or tamoxifen, these drugs may be added to cytotoxic drug regimens to reduce resistance.

# **DRUG COMBINATIONS**

It is common practice to treat many cancers with a combination of different cytotoxic anticancer drugs simultaneously. Potential combinations of drugs are investigated using in vitro and in vivo experiments before they are subjected to clinical evaluation in humans. The most successful combinations are those that show synergistic activity on cancer cells, rather than a simple additive effect, while showing no increase in their systemic toxicity. Criteria for selecting ideal combinations are as follows:

- Each drug should target a different phase in the cell cycle to achieve maximum cell death and reduce resistance.
- Each drug should have a different mechanism of action within the cancer cell; this will maximize the chance of additive or synergistic effects.
- Each drug should have activity against the cancer when used alone; a second drug would not be given simply to increase the formation of an active metabolite of the first, although sometimes drugs are given to reduce the development of toxicity or resistance to another drug.
- Each drug should have a different toxicity; some common toxicity is almost inevitable because nearly all these drugs affect tissues with a high growth fraction.

# **UNWANTED EFFECTS**

Cytotoxic anticancer drugs are among the most toxic compounds given to humans. Because drug action is usually greater in tissues with a high growth fraction, a number of normal, rapidly dividing nonmalignant tissues are also affected, including bone marrow, gut mucosa and hair follicles. As a result, many cytotoxic anticancer drugs have a therapeutic index of approximately 1, as the therapeutic dose is essentially the same as the toxic dose.

In addition to effects that occur in all rapidly dividing tissues, some cytotoxic anticancer drugs have specific toxic effects on other tissues, such as cardiotoxicity (particularly with anthracycline drugs) and ototoxicity (with platinum compounds). Many cytotoxic anticancer drugs are hepatotoxic or nephrotoxic. Dosage regimens are usually designed so that normal tissues, especially bone marrow and gut, can recover between doses (Fig. 52.3). Appropriate measures may



**Fig. 52.3 Hypothetical dosing schedule of anticancer drugs to allow recovery of normal tissues.** At least 10<sup>9</sup> tumour cells are usually present when tumours are first detectable. The malignant cells show a greater proportional kill than normal cells because a larger fraction is in division at any given time. Theoretically, the response of the malignant cells to dose 2 would be greater than for dose 1 if cell cycles became synchronised and dose 2 were given during the correct phase of the growth cycle. A typical dose interval is 3 to 4 weeks.

be required to reduce potentially harmful exposure of healthcare staff who handle cytotoxic anticancer drugs.

Some specific tissue toxicities will now be discussed in more detail.

#### **Gastrointestinal Tract**

Mucosal cells have a rapid turnover. Cytotoxic anticancer drugs can produce anorexia, mucosal ulceration or diarrhoea. A sore mouth (oral mucositis) is most common with fluorouracil, methotrexate and the anthracyclines. Nausea, vomiting, diarrhoea and loss of appetite are all common, especially with alkylating drugs and cisplatin; this may limit an individual's ability to tolerate an optimal dosage regimen (see Chapter 32).

#### **Bone Marrow**

Myelosuppression is a serious consequence of cytotoxic anticancer drug treatment and can lead to severe neutropenia, thrombocytopenia and sometimes anaemia. It often occurs 7 to 10 days after a cycle of chemotherapy but is delayed with drugs such as melphalan and lomustine. These haematological consequences may limit the drug dosage that the person is able to tolerate. There is a high risk of both infection (neutropenic sepsis) and haemorrhage following cytotoxic anticancer chemotherapy.

#### **Hair Follicle Cells**

Partial or complete alopecia may occur, but this is usually temporary. Scalp cooling can reduce hair loss with some cytotoxic anticancer chemotherapy agents.

#### **Reproductive Organs**

Both sexes are affected and sterility can result from cytotoxic anticancer chemotherapy, particularly with cyclophosphamide or cytarabine. Because of the mechanisms of action of cytotoxic drugs, most have teratogenic activity. Pregnant women should not be exposed to cytotoxic anticancer drugs for treatment or as members of the healthcare team. Alkylating agents or procarbazine can cause permanent male infertility. Drugs that mimic or affect the activity of sex hormones are frequently used for the treatment of breast or prostate cancer; these inevitably produce adverse effects on sexual function.

#### Growing Tissues in Children

Of particular concern in children is the possibility that intensive cytotoxic anticancer chemotherapy can impair growth. Children treated with cytotoxic drugs for malignancy also have an increased risk (approximately 10%) of developing a second malignancy, which is often leukaemia.

#### **Extravasation of Intravenous Drug**

If cytotoxic anticancer drugs leak from a vein into the surrounding tissues, they can cause severe local tissue necrosis.

#### **Tumour Lysis Syndrome**

Rapid breakdown of malignant cells and the release of their intracellular contents can produce hyperuricaemia (from breakdown of nucleic acids), hyperkalaemia, hyperphosphataemia and hypocalcaemia (due to precipitation of calcium phosphate), with consequent renal damage or arrhythmias. The tumour lysis syndrome is most common with treatment of non-Hodgkin lymphoma, Burkitt's lymphoma and acute leukaemias. Tumour lysis syndrome can be ameliorated by good hydration (>2.5 L/day) and by use of allopurinol (a xanthine oxidase inhibitor) to reduce production of uric acid, or in those at highest risk rasburicase (recombinant urate oxidase) to enhance uric acid breakdown (see Chapter 31).

#### **Peripheral Neuropathy**

Pain, tingling, numbness and cold sensations may occur in the hands and feet due to damage to sensory nerves, although motor and autonomic nerves can also be affected. Cytotoxic anticancer chemotherapy-induced peripheral neuropathy occurs most commonly with vinca alkaloids, taxanes and platinum compounds. It is progressive and may be irreversible.

# SPECIFIC CYTOTOXIC ANTICANCER DRUGS

The drug compendium at the end of this chapter outlines the licensed uses of individual drugs and notes any unusual or limiting toxicity.

#### DRUGS AFFECTING NUCLEIC ACID FUNCTION

# Alkylating Drugs

# **Examples**

busulfan, chlorambucil, cyclophosphamide, melphalan

#### Mechanism of action and uses

Sulfur mustard gases were used as chemical warfare agents in World War I, their name deriving from their distinctive odour. These gases caused bone marrow suppression in addition to the respiratory toxicity for which they were developed. Replacement of the divalent sulfur atom by trivalent nitrogen allowed the introduction of a complex side chain, which resulted in a range of more stable, nonvolatile nitrogen mustard compounds that could be given therapeutically under controlled conditions. The side chain of alkylating drugs undergoes a metabolic activation step that involves loss of part of the molecule (e.g. the Cl is lost from –CH<sub>2</sub>CH<sub>2</sub>Cl) and yields a highly reactive product that binds to DNA or proteins. Many alkylating drugs are bifunctional (i.e. have two reactive groups). The reactive alkylating group(s) in the molecule may be:

- nitrogen mustard: N–CH<sub>2</sub>CH<sub>2</sub>Cl (with Cl being the leaving group); for example, chlorambucil, chlormethine, cyclophosphamide, ifosfamide, melphalan;
- sulfonate ester: -CH<sub>2</sub>OSO<sub>2</sub>CH<sub>3</sub> (with SO<sub>2</sub>CH<sub>3</sub> being the leaving group); for example, busulfan, treosulfan;
- nitrosourea: –NNO; for example, carmustine, lomustine;
- cyclic nitrogen derivative (ethylenimines); for example, thiotepa.

Covalent binding of nitrogen mustards, sulfonate esters and cyclic nitrogen compounds to DNA prevents DNA replication and mRNA transcription. Covalent binding of nitrosoureas to proteins blocks DNA repair processes and other cellular functions.

When alkylating drugs bind to DNA nucleotides, such as guanine, the alkylated nucleotide may either be repaired, in which case the cell survives, or it may interfere with DNA replication by:

- being misread;
- undergoing further metabolism via ring opening;
- cross-linking to another guanine molecule via a second reactive group (with bifunctional drugs).

Because of the covalent binding of the product, these effects are not cell cycle-specific (see Fig. 52.2). Alkylating agents are used to treat a wide variety of leukaemias, lymphomas and solid tumours.

#### Pharmacokinetics

The pharmacokinetic characteristics of the alkylating drugs depend on the nature of the reactive group(s) and the third nonreactive substituent on the N atom. Cyclophosphamide is an orally active prodrug that is activated to two toxic metabolites, acrolein and phosphoramide mustard. Ifosfamide metabolism is similar to that of cyclophosphamide, and toxic metabolites of both cyclophosphamide and ifosfamide are excreted in the urine. Melphalan and chlorambucil, which have an aromatic substituent, undergo rapid metabolism. Most alkylating agents have half-lives of less than 6 hours, but the duration of action on DNA is very long.

#### Unwanted effects

- Alkylating drugs are highly cytotoxic and cause bone marrow suppression and neutropenia. Amifostine is used to reduce the severity of neutropenia induced by cyclophosphamide (or cisplatin; see later). It is a prodrug that is metabolised in neutrophils by alkaline phosphatase to a free thiol metabolite that binds to the reactive metabolites of these cytotoxic drugs.
- Fertility is reduced through impaired gametogenesis.
- Long-term use of alkylating drugs can cause the development of acute myeloid leukaemia, especially if combined with radiotherapy.
- Busulfan, carmustine and treosulfan can cause pulmonary fibrosis.
- Busulfan and treosulfan commonly cause skin pigmentation.
- Cyclophosphamide and ifosfamide cause bladder toxicity with haemorrhagic cystitis due to the formation of acrolein; it can be prevented by prior treatment with mesna (mercaptoethanesulfonic acid; see Chapter 53), which detoxifies acrolein in the bladder. Bladder cancer can develop years after cyclophosphamide therapy; it is not known if this is prevented by mesna.

### Cytotoxic Antibiotics

#### Examples

anthracyclines: doxorubicin, epirubicin other antibiotics: bleomycin, dactinomycin, mitomycin, mitoxantrone

#### Mechanisms of action and uses

The cytotoxic antibiotics have diverse chemical structures.

- The anthracyclines are all quinone-containing planar four-ringed structures that contain an amino sugar group.
- Mitoxantrone is an anthracycline derivative with a three-ringed planar quinone structure and aminocontaining side chains, and mitomycin is a nonplanar tricyclic quinone.
- Bleomycin and dactinomycin are complex peptide or glycopeptide derivatives.

Cytotoxic antibiotics have several possible mechanisms of action:

 Intercalation: this is shown particularly by the anthracyclines, with the planar ring system intercalating between DNA bases and the amino sugar part binding to the deoxyribose phosphate groups. Intercalation blocks reading of the DNA template during replication and transcription and prevents the action of topoisomerase II, the enzyme that relaxes DNA supercoils by creating double-stranded breaks.

- Histone eviction: doxorubicin and other anthracyclines displace histone proteins from the chromatin structure, disrupting the processes that detect and repair damaged DNA.
- Free radical attack: metabolism of the drugs gives rise to superoxide and hydroxyl radicals and hydrogen peroxide, which cause DNA damage and cytotoxicity.
- Membrane effects: interference with membrane function can occur either directly or via oxidative damage by free radicals.

In general, the mechanisms of action are not cell cycle-specific, although some members of the class show greatest activity at certain phases of the cycle – for example, S phase (doxorubicin, mitoxantrone),  $G_1$  and early S phase (mitomycin) and  $G_2$  phase and mitosis (bleomycin).

Cytotoxic antibiotics have a wide spectrum of activity and are used to treat several leukaemias and lymphomas as well as some solid tumours.

# **Pharmacokinetics**

The cytotoxic antibiotics are poorly absorbed from the gut and are given intravenously. They are eliminated by metabolism and some have long or very long half-lives (mostly 12 hours or longer).

#### Unwanted effects

Many of these drugs have radiomimetic properties and should not be used at the same time as radiotherapy, as toxicity can be greatly increased.

- General cytotoxicity (see earlier).
- Doxorubicin, epirubicin and mitoxantrone produce dose-related, irreversible myocardial damage leading to cardiomyopathy. This is due to free radical formation and oxidative stress as well as nuclear cytotoxicity. The cardiomyopathy may not become apparent until several years after treatment. Liposomal formulations of doxorubicin and longer infusion times may reduce the cardiac toxicity, as does concurrent infusion of the iron chelator dexrazoxane.
- Painful skin eruptions with liposomal doxorubicin.
- Bleomycin often causes skin pigmentation.
- Bleomycin and mitomycin produce dose-related pulmonary fibrosis.
- Tissue extravasation during infusion of anthracyclines produces severe necrosis, which can be minimised by subsequent intravenous infusion of dexrazoxane.

# Platinum Compounds



# Mechanism of action and uses

The platinum drugs generate a reactive complex that creates cross-links between guanine nucleotides within the same DNA strand or bridging complementary strands of DNA and also between DNA and proteins. The result is similar to the effect of alkylating drugs by blocking gene replication and transcription and is not cell cycle specific. Cisplatin and carboplatin are used for ovarian and lung tumours. Cisplatin is also used for several other solid tumours. Oxaliplatin is used with fluorouracil for advanced colorectal cancer.

## **Pharmacokinetics**

These drugs are given by intravenous infusion and are mainly excreted by the kidneys as platinum compounds. Cisplatin and oxaliplatin have very long halflives (24–60 hours), largely owing to extensive protein binding.

#### Unwanted effects

- Severe nausea, vomiting, anorexia and diarrhoea.
- Nephrotoxicity with irreversible renal impairment; hydration is important to minimise the risk.
- Hypokalaemia, hypomagnesaemia.
- Ototoxicity with hearing loss and tinnitus.
- Visual disturbances.
- Peripheral neuropathy (especially with oxaliplatin).
- Myelosuppression (more marked for carboplatin).

Most toxic effects are more marked for cisplatin than for carboplatin. Amifostine (see earlier) is used to reduce the severity of cisplatin-induced neutropenia in advanced ovarian cancer. It also reduces the nephrotoxicity of cisplatin.

# Antimetabolites Folic acid antagonists

### Example

# methotrexate

**Mechanism of action and uses.** An astute clinical observation that the administration of folic acid to children with leukaemia exacerbated their condition led to the development of methotrexate, a folate antagonist. This represented an important landmark in cytotoxic cancer chemotherapy.

Folic acid in its reduced form, tetrahydrofolic acid (THF), is an important biochemical intermediate. It is essential for reactions that involve the addition of a single carbon atom, such as the introduction of the methyl group into thymidylate and the synthesis of the purine ring system. During such reactions, THF is oxidised to dihydrofolic acid (DHF), which must be reduced back to THF by dihydrofolate reductase before it can accept a further one-carbon group and be reused.

Methotrexate has a very high affinity for mammalian dihydrofolate reductase and inhibits its active site. This blocks purine and thymidylate synthesis



Fig. 52.4 The structures of some antimetabolite drugs, illustrating their similarity to normal bases and nucleotides. The structural changes are highlighted.

and inhibits the synthesis of DNA, RNA and protein. It may show selectivity for cancer cells because these rely more on de novo synthesis of purines and pyrimidines, whereas normal tissues use salvage pathways that reutilise preformed purines and pyrimidines to a greater extent. The action of methotrexate is specific for the S phase of the cell cycle and slows  $G_1$  to S phase.

Methotrexate is given for acute lymphoblastic leukaemia, non-Hodgkin lymphomas and various solid tumours. It is also used as an immunosuppressant at lower doses in nonmalignant conditions such as inflammatory joint diseases and psoriasis. The mechanism of its immunosuppressant effect differs from its anticancer actions (see Chapter 38).

**Pharmacokinetics.** Methotrexate is well absorbed from the gut but can also be given intravenously or intrathecally. It is eliminated by renal excretion.

#### **Unwanted effects**

- Toxicity to normal, rapidly dividing tissues, especially the bone marrow.
- Hepatotoxicity can follow chronic therapy as an immunosuppressant (see Chapter 38).

Toxicity is increased when renal excretion is reduced, and methotrexate should be avoided if there is significant renal impairment. Folinic acid (leucovorin) is frequently administered shortly after high-dose methotrexate to reduce mucositis and myelosuppression. Nonsteroidal antiinflammatory drugs such as aspirin can reduce the renal excretion of methotrexate and increase its toxicity.

#### Base analogue antimetabolites

# 🔨 Examples

purine antagonists: fludarabine, gemcitabine, mercaptopurine, tioguanine

pyrimidine antagonists: capecitabine, cytarabine, fluorouracil, raltitrexed, tegafur

Mechanism of action and uses. Several useful cytotoxic anticancer chemotherapeutic drugs have been produced by simple modifications to the structures of normal purine and pyrimidine bases (Fig. 52.4). These act in a number of ways to interfere with DNA synthesis, typically following intracellular phosphorylation and the incorporation of the triphosphate product into DNA or RNA. Detailed mechanisms are given for each drug in the compendium at the end of this chapter. Base analogue antimetabolites are used for a wide variety of leukaemias, lymphomas and solid tumours.

**Pharmacokinetics.** Base analogues are mainly absorbed and metabolised by the same pathways as the corresponding unmodified base. However, oral absorption is often erratic and most of these drugs are given intravenously. Most half-lives are in the range 1 to 8 hours. Tegafur is a prodrug of fluorouracil which is given in combination with gimeracil, which inhibits the breakdown of fluorouracil, and oteracil, which reduces its gastric toxicity. Polymorphism of enzymes involved in fluorouracil metabolism can result in increased toxicity. Testing for dihydropyrimidine dehydrogenase (DPD) variants will identify individuals with impaired ability to metabolise the drug. Dosage reduction can then reduce the risk of bone marrow suppression and neurotoxicity.

#### Unwanted effects

- Typical cytotoxic effects are common; myelosuppression, in particular, can be severe and prolonged after cladribine, cytarabine, fludarabine and tioguanine.
- Neurotoxicity.
- Drug interaction: allopurinol (see Chapter 31) inhibits the metabolism of mercaptopurine; the dose of mercaptopurine should be reduced if these drugs are used concurrently.

#### **DRUGS THAT INHIBIT MITOSIS**

#### Vinca Alkaloids

Examples

vinblastine, vincristine, vindesine, vinorelbine

# Mechanism of action and uses

The vinca alkaloids are complex natural chemicals isolated from the periwinkle plant (*Vinca rosea*). Vinca alkaloids bind to tubulin; they inhibit polymerisation and therefore assembly of microtubules which are essential for cell division, thus producing M-phase arrest of mitosis. Because microtubules are essential for numerous other cellular functions – including maintenance of cell shape, motility and transport between organelles – the vinca alkaloids also affect nonmitotic cell functions.

Vinca alkaloids are used to treat various lymphomas and acute leukaemias. They are also effective in some solid tumours.

#### **Pharmacokinetics**

Vinca alkaloids are usually given intravenously. Elimination is largely by metabolism with little renal excretion. They have very long half-lives.

### **Unwanted effects**

The spectrum of unwanted effects differs among various drugs despite their close structural similarities.

- General cytotoxicity. Myelosuppression is doselimiting for vinblastine, vindesine and vinorelbine but unusual with vincristine.
- Neurotoxicity, usually a sensory neuropathy, is dose-limiting with vincristine. It causes peripheral paraesthesiae, loss of tendon reflexes, abdominal pain and constipation. Motor weakness and autonomic neuropathy occasionally accompany the sensory neuropathy.
- Severe tissue damage if the drugs extravasate from the infusion site.

# Taxanes

Examples

docetaxel, paclitaxel

#### Mechanism of action and uses

The drugs used clinically are produced from taxane, a diterpenoid extracted from the bark of the Pacific yew tree (*Taxus brevifolia*). Taxanes promote the assembly of microtubules but inhibit their depolymerisation, leading to the formation of stable and nonfunctional microtubular bundles in the cell. They bind to a different site to that targeted by vinca alkaloids. The cell is inhibited during the  $G_2$  and M phases of the cell cycle. Taxanes are also radiosensitisers as cells in the  $G_2$  and M phases are more sensitive to radiation.

Taxanes are used to treat ovarian, breast and prostate cancer and a variety of other solid tumours.

#### **Pharmacokinetics**

Taxanes are given intravenously because of poor oral absorption. They are metabolised in the liver and have half-lives of 10 to 20 hours.

#### Unwanted effects

- General cytotoxicity.
- Severe hypersensitivity reactions can occur, with hypotension, angioedema and bronchospasm. Routine premedication with histamine (H<sub>1</sub> and H<sub>2</sub>) receptor antagonists (see Chapters 33 and 39) combined with a corticosteroid (see Chapter 44) is recommended for paclitaxel and premedication with a corticosteroid for docetaxel.
- Neutropenia is dose-limiting.
- Arthralgia/myalgia syndrome.
- Paclitaxel causes peripheral sensory neuropathy, with motor neuropathy at high dosages.
- Docetaxel causes persistent leg oedema due to fluid retention.

# Camptothecin Analogues (Topoisomerase I Inhibitors)

Examples

irinotecan, topotecan

#### Mechanism of action and uses

These drugs are semisynthetic derivatives of a cytotoxic alkaloid isolated from the Chinese tree *Camptotheca acuminata*. They inhibit topoisomerase I, which is important in DNA transcription and translation. The enzyme relieves the torsional strain in DNA by producing single-strand breaks that, under normal cell conditions, are then re-ligated. The drugs bind to the DNA–topoisomerase I complex and prevent religation. Although this binding is readily reversible, the consequences are irreversible because cell death occurs when a double-strand break is produced at the DNA replication fork during S phase. Inhibition of DNA repair increases the sensitivity of the cell to ionising radiation.

Irinotecan is given as second-line treatment for metastatic colorectal cancer. Topotecan is used for lung, cervical or ovarian cancer.

### **Pharmacokinetics**

They are large complex molecules that are given by intravenous infusion and eliminated mainly by hepatic metabolism.

#### Unwanted effects

- General cytotoxicity, with dose-limiting myelosuppression.
- Severe diarrhoea: cholinergic stimulation produces early diarrhoea, but other toxicity can result in delayed onset of diarrhoea.

# Podophyllotoxins (Topoisomerase II Inhibitors)

etoposide
#### Mechanism of action and uses

Etoposide is a synthetic derivative of a compound extracted from the mandrake root (*Podophyllum peltatum*). It is active during the  $G_2$  phase and binds to the complex of DNA and topoisomerase II, an enzyme that regulates DNA supercoiling by introducing double-stranded breaks. The etoposide-bound complex inhibits DNA replication by preventing re-ligation of the DNA and leads to cell apoptosis.

Etoposide is used for small-cell lung cancer, lymphomas and testicular cancer.

#### **Pharmacokinetics**

Etoposide can be given orally or intravenously. Elimination is largely by metabolism.

#### Unwanted effects

- General cytotoxicity.
- Severe tissue damage if the drug extravasates from the infusion site.

# **TARGETED ANTICANCER DRUGS**

Targeted therapies for cancer differ from cytotoxic anticancer drugs by affecting specific cellular mechanisms that promote cancer cell survival and proliferation. They can affect one of three key pathways: growth factor receptor-mediated tyrosine kinases, hormonal axes or the cellular immune system. Targeted therapies can be used alone or in combination with cytotoxic chemotherapy or radiotherapy.

# DRUGS AFFECTING TYROSINE KINASES AND OTHER PROTEIN KINASES

The products of activated oncogenes include various cell-surface receptors; these activate a range of intracellular tyrosine kinases that autophosphorylate the receptor (see Chapter 1). Autophosphorylation of the receptor triggers a series of intracellular pathways that stimulate the proliferation of cancer cells and block apoptosis. Tyrosine kinases act as on-off switches for many cellular functions. The protein can become mutated in cancer cells and stuck in the 'on' position which leads to unregulated cell growth. There are about 20 families of tyrosine kinase receptors. Amongst these are VEGF receptors, the human epidermal growth factor (EGF) receptors HER1, HER2, HER3 and HER4; platelet-derived growth factor (PDGF) receptors; and fibroblast growth factor (FGF) receptors.

Drugs acting on these pathways can be subdivided into monoclonal antibodies that block the receptor on the cell surface or act as decoy receptors by binding to its natural ligand (growth factor inhibitors), and small organic molecules that act on the receptorassociated tyrosine kinase or on other intracellular protein kinases involved in the signalling pathways that control cell division, gene transcription, motility and apoptosis. The latter include Bruton's tyrosine kinase (BTK), Janus-associated tyrosine kinases (JAK), phosphoinositide 3-kinases, mechanistic (mammalian) target of rapamycin (mTOR) and B-raf kinase.

#### **Growth Factor Inhibitors**

Jun	Examples
	слатрібо

aflibercept, bevacizumab, cetuximab, trastuzumab

#### Mechanism of action and uses

VEGF is a circulating protein that initiates angiogenesis by binding to its receptor. Tumour cells promote a hypoxic microenvironment and release VEGF which creates a disorganized vascular network that inhibits immune cell responses. Bevacizumab is a monoclonal antibody and aflibercept is a fusion protein; both bind to VEGF and prevent angiogenesis. They are given by intravenous infusion as part of the first-line treatment of metastatic colorectal cancer, and for bevacizumab also breast cancer, renal cell carcinoma and advanced ovarian, fallopian tube and peritoneal cancers. Unwanted effects include mucocutaneous bleeding, neutropenia and gastrointestinal disturbances.

Cetuximab is a monoclonal antibody that binds to the extracellular domain of the EGF receptor HER1 and blocks ligand-induced activation of tyrosine kinase. EGF receptors are overexpressed by many colorectal cancers, which enhances cell growth and metastatic spread. It is given by intravenous infusion for colorectal tumour expressing epidermal growth factor receptor (EGFR) and in combination with radiotherapy for locally advanced squamous cell cancer of the head and neck. Unwanted effects may occur with the infusion, including chills, fever and hypersensitivity reactions. Severe keratitis leading to blindness has also been reported.

Trastuzumab is a monoclonal antibody used for metastatic breast cancer when the tumour overexpresses HER2 receptors. It binds to HER2 and prevents activation of tyrosine kinases which normally promote cell survival and cycle progression. Unwanted effects may occur with the infusion, including chills, fever and hypersensitivity reactions. Cardiotoxicity can occur if trastuzumab is used with anthracyclines, leading to heart failure.

# **Protein Kinase Inhibitors**

#### Examples

dasatinib, erlotinib, imatinib, osimertinib, larotrectinib, sorafenib, sunitinib

These drugs represent a rapidly growing group of small-molecule compounds that block the intracellular activity of protein kinases, including tyrosine kinases. In doing so, they prevent transduction of signals from a variety of tyrosine kinase-linked receptors or inhibit other intracellular protein kinases. Some have multiple kinase targets (see drug compendium at the end of this chapter for details). The drugs inhibit cell proliferation and enhance apoptosis.

- Dasatinib inhibits multiple tyrosine kinases, including those associated with VEGF and PDGF receptor families and the translocated fusion protein Bcr-Abl. Bcr-Abl is constitutively expressed in leukaemias associated with the Philadelphia chromosome. Dasatinib is used for chronic myeloid leukaemia and acute lymphoblastic leukaemia.
- Erlotinib and osimertinib inhibit EGFR signalling. They are used to treat non-small cell lung cancers, showing particular efficacy in tumours that have an activating EGFR mutation. Erlotinib is also used in metastatic pancreatic cancer.
- Imatinib inhibits signals from the PDGF receptor family and Bcr-Abl. It is used to treat chronic myeloid leukaemia, acute lymphoblastic leukaemia and a variety of rare tumours.
- Larotrectinib targets a neurotrophic tyrosine receptor kinase (*NTRK*) gene fusion. This causes unregulated kinase activity that drives tumour growth. *NTRK* gene fusions are rare but widely distributed across many common tumour types, and they are common among some rare tumour types (e.g. infantile fibrosarcoma). As such, the indication for larotrectinib is not dependent on the histology of the tumour, but rather the presence of a targetable genetic mutation (it is 'tumour-agnostic').
- Sunitinib and sorafenib inhibit signalling by several receptor tyrosine kinases, including the VEGF and PDGF receptor families. They are used to treat renal cell carcinoma; sunitinib is also used for gastrointestinal stromal tumours and sorafenib also for hepatocellular cancer.

#### **Pharmacokinetics**

These drugs are generally well absorbed from the gut and most are metabolised in the liver, sometimes to active metabolites. The half-lives range from a few hours to several days.

#### Unwanted effects

- Cytotoxic effects.
- Gastrointestinal upset.
- Dizziness, headache, insomnia.
- Oedema with dasatinib and imatinib.

# POLY ADP RIBOSE POLYMERASE (PARP) INHIBITORS

## Examples

niraparib, olaparib, rucaparib, talazoparib

#### Mechanism of action

Poly ADP ribose polymerase (PARP) enzymes are a family of enzymes involved in DNA transcription, cell cycle regulation and DNA repair. PARP1 is important for repairing single strand breaks in DNA. If these breaks are unrepaired when DNA replicates prior to cell division, then double strand breaks can form. Tumour suppressor gene BRCA1, BRCA2 or PALB2 mutations result in production of faulty proteins that produce errors in repair of double-strand breaks in DNA and these cells are more dependent on PARP than healthy cells. PARP inhibition will therefore result in death of the cell. Olaparib, niraparib and rucaparib inhibit several PARP enzymes, including PARP1and PARP2 and are used for ovarian, fallopian tube or peritoneal cancer. Talazoparib is used for breast cancer.

#### **Pharmacokinetics**

PARP inhibitors are absorbed from the gut and are metabolised in the liver.

### Unwanted effects

- Gastrointestinal disturbances (taste disturbance, anorexia, nausea, vomiting, abdominal discomfort and constipation).
- Cough, dyspnoea which can be due to pneumonitis.
- Dizziness, headache.
- Neutropenia.
- Rash.
- Secondary cancers, especially myelodysplastic syndrome and acute myeloid leukaemia.

# **PROTEASOME INHIBITORS**

#### Example

bortezomib

#### Mechanism of action

Proteasomes are large cellular protein complexes that degrade ubiquitinated proteins. Damaged, misfolded or unneeded proteins are labelled by enzymatic conjugation with the protein ubiquitin. The ubiquitinated complex is then destroyed in the proteasome by proteolysis. This pathway degrades various proteins that are critical for reducing cell survival. The pathway is dysregulated in some malignant cells leading to increased proteasome activity and resistance of the cell to apoptosis. Bortezomib is a boron-containing peptide that inhibits the catalytic site of the 26S proteasome. This probably reduces the degradation of pro-apoptotic proteins such as cyclins, tumour suppressors, Bcl-2 and cyclin-dependent kinase inhibitors, leading to programmed cell death. Multiple myeloma cells are particularly sensitive to proteasome inhibition, which delays tumour growth.

#### Pharmacokinetics

Bortezomib is given intravenously. It is metabolised in the liver and has a half-life of 9 to 15 hours.

## **Unwanted effects**

- Gastrointestinal disturbances (nausea, vomiting, diarrhoea, anorexia).
- Cytotoxic effects.
- Peripheral neuropathy, fatigue.
- Visual and auditory disturbances.
- Mood disorders (anxiety), dizziness, hallucinations.
- Pyrexia.
- Postural hypotension, vertigo, syncope.

# **B-CELL LYMPHOMA-2 (Bcl-2) INHIBITORS**

# Example

venetoclax

# Mechanism of action

Bcl-2 is an antiapoptotic protein which is overexpressed in some lymphoid malignancies and reduces programmed cell death. This can produce resistance to chemotherapy. Venetoclax binds selectively to Bcl-2 and frees proteins that normally bind to Bcl-2 to initiate apoptosis. It is used to treat chronic lymphocytic leukaemia.

### **Pharmacokinetics**

Venetoclax is well absorbed from the gut and is metabolised in the liver by CYP3A4.

#### **Unwanted effects**

- Gastrointestinal disturbances (nausea, vomiting, diarrhoea, constipation).
- Fatigue.
- Neutropenia.
- Tumour lysis syndrome.

# **HEDGEHOG PATHWAY INHIBITORS**

	Example		
vism	nodegib		

## Mechanism of action

The Hedgehog pathway is critical to fetal cell growth and differentiation, but is then silenced in adults. In basal cell carcinoma, the pathway is reactivated, leading to malignant progression. Vismodegib is a selective inhibitor of the Hedgehog pathway, binding to Smoothened homologue protein (Smo).

#### **Pharmacokinetics**

Vismodegib is well absorbed from the gut and is excreted unchanged by the kidneys.

#### **Unwanted effects**

• Gastrointestinal disturbances (nausea, vomiting, taste alteration, gastrointestinal discomfort, anorexia, diarrhoea, constipation).

- Alopecia, rashes.
- Amenorrhoea.
- Arthralgia, muscle pain.

# MISCELLANEOUS ANTICANCER DRUGS

There are many other anticancer drugs with a wide variety of mechanisms of action. Further details (including therapeutic uses and serious adverse effects) are given in the drug compendium at the end of this chapter. Examples include the following:

- Removal of asparagine required for protein synthesis (crisantaspase to treat acute lymphoblastic leukaemia).
- Inhibition of incorporation of thymidine and adenine into DNA (procarbazine to treat Hodgkin lymphoma).
- Inhibition of adenosine deaminase, which causes a build-up of deoxyadenosine triphosphate (dATP), inhibiting the formation of other deoxyribonucleotide triphosphates (pentostatin to treat hairy cell leukaemia).
- Inhibition of reduction of ribonucleotides to deoxyribonucleotides (hydroxycarbamide to treat various myeloproliferative disorders).
- Inhibition of histone deacetylase (HDAC) (panobinostat to treat multiple myeloma).
- Inhibition of DNA repair by an alkylating action, especially on thiol groups (dacarbazine to treat melanoma, sarcomas and Hodgkin disease and temozolomide to treat glioblastoma).
- Superoxide production, causing DNA backbone cleavage and cell apoptosis (trabected in to treat sarcomas and ovarian cancer).
- Increased cell differentiation and inhibition of proliferation by action on retinoic acid receptors (RAR) and retinoid X receptors (RXR) (bexarotene to treat cutaneous T-cell lymphoma and tretinoin to treat acute promyelocytic leukaemia) (see Chapter 49).
- Photodynamic activation in superficial tumours by laser light to produce cytotoxic oxygen free radicals (porfimer sodium to treat non-small cell lung cancer and oesophageal cancer and temoporfin to treat advanced head and neck squamous cell cancer).

# HORMONAL ANTICANCER DRUGS

Some drugs used in cancer therapy suppress cell division by actions at intracellular steroid receptors or by influencing the metabolism of steroidal hormones; examples include corticosteroids and drugs that control the division of cells sensitive to sex hormones. Cancers that arise from cell lines possessing steroid receptors that promote their growth and cell division are frequently susceptible to inhibitory steroids.

#### Glucocorticoids

Glucocorticoids (see Chapter 44) suppress lymphocyte mitosis and are used to treat leukaemia and lymphoma; they are also helpful in reducing oedema around a tumour.

#### Oestrogens

Oestrogens such as ethinylestradiol (see drug compendium at end of this chapter and also Chapter 45) suppress prostate cancer cells, both locally and in metastases, and provide symptomatic improvement; gynaecomastia is a common unwanted effect.

#### Progestogens

Progestogens such as medroxyprogesterone acetate (see drug compendium in this chapter and Chapter 45) suppress endometrial cancer cells and kidney cancer metastases.

#### **Oestrogen Receptor Antagonists**

Breast cancer can be suppressed by oestrogen receptor antagonists such as tamoxifen. Tamoxifen is active orally and is a selective oestrogen receptor modulator. It shows both oestrogenic effects (on bone) and antioestrogenic effects (on breast tissue). Tamoxifen inhibits oestrogen-regulated genes and reduces the secretion of growth factors by tumour cells. Tumour cells are affected mainly in the G<sub>2</sub> phase of the cell cycle. Tamoxifen is metabolised in the liver and has active metabolites with long half-lives; therefore several weeks of treatment are necessary to achieve steady-state concentrations. Unwanted effects include hot flushes and amenorrhoea in premenopausal women and vaginal bleeding in postmenopausal women. Tamoxifen inhibits CYP3A4 and thus reduces the metabolism of other enzyme substrates, such as warfarin.

#### **Aromatase Inhibitors**

Aromatase is the enzyme that converts androgens to oestrogens. Inhibitors of aromatase may be nonsteroidal (e.g. anastrazole and letrozole) or steroids (e.g. exemestane). They reduce oestrogen production in postmenopausal women, who produce oestrogen mainly from androstenedione and testosterone in many tissues such as adipose tissue, skin, muscle and liver. Aromatase is also present in the cells of two-thirds of breast cancers. Aromatase inhibitors are used in postmenopausal women to treat breast cancers that are oestrogen-dependent.

#### **Androgen Receptor Antagonists**

These drugs (e.g. flutamide; see drug compendium in this chapter and Chapter 46) suppress prostate cancer cells.

#### **Gonadorelin Analogues**

Gonadorelin is synthetic gonadotrophin-releasing hormone (GnRH) (see drug compendium in this chapter and Chapter 43). Gonadorelin analogues (e.g. buserelin) suppress prostate cancer cells.

#### **IMMUNE CHECKPOINT INHIBITORS**

These drugs target regulatory points in the cellular immune system to facilitate recognition and destruction of cancer cells.

# Examples

atezolizumab, ipilimumab, nivolumab, pembrolizumab

#### Mechanism of action

Immune checkpoints are regulators of the immune system that are crucial for self-tolerance and prevention of autoimmune diseases. Some cancers protect themselves by stimulating immune checkpoint proteins to suppress the immune response to the tumour cells. Key targets are cytotoxic T-lymphocyte-associated protein 4 (CTLA-4) and programmed cell death protein 1 (PD-1). Activation of immune checkpoint proteins downregulates T-cell inflammatory activity, promotes apoptosis of antigen-specific T-cells and reduces apoptosis in regulatory T-cells. Ipilimumab inhibits the CTLA-4 receptor, whereas nivolumab and pembrolizumab inhibit the PD-1 receptor. Atezolizumab binds to the PD-1 ligand (PD-L1). Ipilimumab is used for melanoma and renal cell carcinoma; nivolumab and pembrolizumab are used for melanoma and non-small cell lung cancer; atezolizumab is used for urothelial carcinoma, nonsmall cell and small cell lung cancer and breast cancer.

#### **Pharmacokinetics**

Immune checkpoint inhibitors are given intravenously. They are degraded by the same pathways as immunoglobulins.

#### Unwanted effects

- Gastrointestinal disturbances (nausea, vomiting, abdominal pain, taste disturbance, diarrhoea, anorexia).
- Hypothyroidism.
- Infusion reactions.
- Rashes.
- Fatigue, dizziness, headache.
- Dyspnoea, cough.
- Oedema.

# OTHER IMMUNOTHERAPY FOR SPECIFIC TUMOURS

A variety of other drugs that modify the immune response or target lymphocytes are used. Examples include:

- Immunomodulation by inhibition of tumour necrosis factor α and other proinflammatory chemokines and inhibition of angiogenesis (lenalidomide, pomalidomide and thalidomide to treat multiple myeloma).
- Activation of cytotoxic killer cells (see Chapter 38) by a recombinant T-lymphocyte cytokine, interleukin-2 (aldesleukin to treat metastatic renal cell carcinoma).
- Antilymphocytic monoclonal antibodies such as:
  - Alemtuzumab, an anti-CD52 antibody, which produces lysis of B lymphocytes in treatment-resistant or rapidly relapsing chronic lymphocytic leukaemia.

• Rituximab and obinutuzumab which are anti-CD20 antibodies which produces lysis of B lymphocytes. Rituximab is used in chemotherapy-resistant non-Hodgkin lymphoma and both are used in chronic lymphocytic leukaemia (see also Chapter 49).

# **CLINICAL USES OF ANTICANCER DRUGS**

Localised cancer is best treated by surgical excision, which can be curative. However, many cancers require a combination of treatments that may include surgery, radiotherapy or systemic drug therapy. Treatment may be curative, induce remission or be palliative.

Different forms of cancer vary in their sensitivity to cytotoxic anticancer chemotherapy. The most responsive include lymphomas, leukaemias, choriocarcinoma and testicular carcinoma, whereas solid tumours such as sarcomas, adrenocortical and non-small cell lung cancers generally have a poor response. An intermediate response is shown by other cancers – for example, those of the bowel, bladder, head and neck, smallcell lung cancer and hormone-related cancers (breast, ovary, endometrium and prostate). In addition, the sensitivity of an individual tumour can change during treatment with anticancer drugs because of the development of resistance.

Cytotoxic anticancer chemotherapy can be used alone to treat cancer or in combination with surgery or with radiation (chemoradiotherapy). Cytotoxic chemotherapy may be given as a curative or a palliative treatment or to reduce the risk of relapse after tumour removal. *Adjuvant chemotherapy* refers specifically to treatment following a surgical procedure that appears to have removed all tumour, with the intention of preventing relapse from occult disease. *Neoadjuvant chemotherapy* is given before surgery to reduce tumour size.

Cytotoxic anticancer chemotherapy is supplemented or replaced in many cancer types by the use of targeted therapies, which often require the presence of a particular gene mutation that enhances a cellular process for effect. These are excellent examples of the increasing personalisation of cancer treatments, and such drugs have converted some solid cancers from rapidly fatal conditions to diseases that can remain well controlled or radiologically resolved, sometimes for many years. It is now recognised that different parts of a tumour or different metastases may have different gene mutations. Tumour cells can also develop resistance to therapies as a result of new gene mutations. Personalised therapy needs to consider these possibilities, and the development of biomarkers and analysis of tumour DNA carry the prospect of greater specificity of targeted drug therapy.

A major new development in systemic therapy over recent years is immunotherapy, which targets the cancer by enhancing immune attack. Examples of drugs that have become mainstream treatments are the immunostimulatory antibodies ipilimumab in melanoma and pembrolizumab in melanoma and nonsmall cell lung cancer. Another promising approach is the development of chimeric antigen receptor T-cells (CAR T-cells). These are T-cells engineered to express receptors that target specific proteins on cancer cells. The receptor is designed to both recognise antigens and activate the T-cell. This approach has been particularly useful in treatment of leukaemias, lymphomas and multiple myeloma. Oncolytic immunotherapy derived from viruses may be helpful for some tumours, such as talimogene laherparepvec (derived from herpes simplex virus-1) which causes tumour lysis in metastatic melanoma.

# ANTICANCER DRUG THERAPY FOR SPECIFIC MALIGNANCIES

The following discussion selects some important cancers and outlines the role of chemotherapeutic drugs in their management. The choice of specific regimens is a complex process involving an assessment of the person's frailty and prognosis, his or her wishes, and the drug's toxicity. Clinical trials are producing a continuing flow of improved therapeutic options, and this is a field of medicine that changes rapidly.

#### **OESOPHAGEAL CANCER**

Oesophageal cancer usually presents with advanced disease, with 50% being unresectable or having radiological metastases at presentation. Two common forms are recognised. Squamous cell carcinoma is more common with increasing age, in smokers and with alcohol use but is increasingly caused by human papillomavirus (especially HPV16). Squamous cell carcinoma mainly affects the upper oesophagus. Adenocarcinoma accounts for more than half of cases in the UK and is associated with gastrooesophageal reflux, obesity and Barrett's metaplasia. Adenocarcinoma predominantly involves the lower oesophagus.

For squamous cell cancers, if the disease is localised, neoadjuvant chemoradiotherapy followed by surgical resection or radical chemoradiotherapy is used. Combination chemotherapy with cisplatin and fluorouracil is a typical chemotherapy regimen. Five-year survival is less than 25%. Chemoradiotherapy is also used for unresectable cancers, often using epirubicin, oxaliplatin and capecitabine. Oesophageal dilation or stenting may be helpful in palliation when swallowing is difficult.

Treatment of adenocarcinoma is by endoscopic resection for localised tumours or surgical resection if there are no metastases. Neoadjuvant chemotherapy, such as with epirubicin, oxaliplatin and fluorouracil (or capecitabine), is now often combined with radiotherapy. Median survival after surgery is 4 years. For metastatic disease, chemotherapy or radiotherapy can improve quality of life.

# **GASTRIC CANCER**

The most common gastric cancer is adenocarcinoma, commonly associated with Helicobacter pylori when in the distal stomach and obesity in the cardia. Surgery alone can be curative for early disease (although 80% of tumours recur locally or with metastases after resection), but 65% present with more advanced, unresectable disease. For individuals considered suitable for radical surgical resection, adjuvant chemoradiotherapy using a combination of epirubicin, oxaliplatin and capecitabine improves survival. Fluorouracil or capecitabine combined with either docetaxel and oxaliplatin, or with epirubicin and cisplatin can be palliative in advanced disease (response rate of 65%). Approximately 10% to 15% of tumours are HER-2 positive, and trastuzumab can be added to the chemotherapy regimen. Advanced VEGFR-2-positive tumours show some response to the targeted antibody ramucirumab. Overall 5-year survival for gastric cancer is about 20%.

#### PANCREATIC CANCER

Pancreatic ductal adenocarcinoma usually presents late, and 5-year survival is low because of metastatic progression. For operable tumours (15%–20% of all pancreatic cancers), surgery followed by adjuvant chemotherapy with gemcitabine and capecitabine is the treatment of choice. For inoperable locally advanced tumours, chemoradiotherapy with gemcitabine is used. Metastatic disease can be treated with a combination of fluorouracil, oxaliplatin and irinotecan with folinic acid. A biliary stent may be necessary for obstructive jaundice. Overall median survival is about 3 years.

# **COLORECTAL CANCER**

Colorectal cancer is more common with increasing age, and often begins as an adenomatous polyp. Smoking, obesity and inflammatory bowel disease are aetiological factors. Surgery is the mainstay of treatment for people with colorectal cancer without metastatic disease. If there is a high risk of relapse (particularly locally advanced rectal cancer), neoadjuvant chemoradiotherapy using a combination of oxaliplatin with fluorouracil or capecitabine improves survival by 10% to 15%. Preoperative chemoradiotherapy reduces metastatic spread and, for rectal cancer, reduces local recurrence. Once a person has survived for 5 years, life expectancy is similar to that of the general population.

In advanced and metastatic colorectal cancer, fluorouracil or capecitabine, alone or with either oxaliplatin or irinotecan, improve survival and quality of life. The EGFR-targeted monoclonal antibodies cetuximab or panitumumab can be added to oxaliplatin or irinotecan-containing regimens for tumours with the nonmutated wild type KRAS gene (responsible for synthesis of a protein involved in signalling from cell surface receptors to the cell nucleus), leading to increased response rates. Bevacizumab, a monoclonal antibody targeting VEGF, can also enhance the effect of standard chemotherapy irrespective of KRAS status, and is widely used in combination with cytotoxic chemotherapy. Other targeted therapies are under investigation for specific biological tumour variants. Five-year survival for localised colorectal cancer is 90%, falling to 15% when there are distant metastases.

#### LUNG CANCER

There are four principal types of lung cancer. Nonsmall cell cancers (adenocarcinoma, squamous cell cancer and large-cell cancer) account for about threequarters of cases, with small-cell cancer responsible for the remainder. Smoking is the most common risk factor for all lung cancers.

For non-small cell lung cancer, superficial lesions are amenable to several treatments, including photodynamic therapy with porfimer sodium. Surgical resection, usually lobectomy, can be curative for early stage disease. Radiotherapy or chemoradiotherapy is used after surgery when the tumour is not fully resectable or for palliation of metastases. Chemotherapy has a limited place for advanced or recurrent disease and is mainly palliative. The current gold standard is the combination of a platinum compound (carboplatin or cisplatin) with one of several drugs (docetaxel, paclitaxel, vinorelbine, gemcitabine).

About 15% of non-small cell lung cancers, mainly adenocarcinomas, carry an activating mutation in the EGFR gene. These tumours are responsive to treatment with tyrosine kinase inhibitors such as erlotinib and osimertinib, which have maintained remissions for years in some cases. Tumours with the cMET/ anaplastic lymphoma kinase (ALK) translocation, which accounts for about 20% of nonsquamous cell carcinomas, can be treated with the tyrosine kinase inhibitor crizotinib. The most transformational change in recent treatment is the use of immune checkpoint inhibitors. Tumours that express PD-L1 respond well to pembrolizumab, either alone or with chemotherapy.

Small-cell lung cancer is more sensitive to chemotherapy and has an initial response rate of 60% to 70%, with complete remission in 20% to 30% of cases. Examples of regimens are cisplatin combined with etoposide or cyclophosphamide with doxorubicin and vincristine. Radiotherapy is also given for limited-stage disease. Topotecan can be considered for relapsed disease.

Overall 5-year lung cancer survival for localised disease is 55%, falling to 5% when there are distant metastases.

#### **MELANOMA**

Survival in melanoma is related to tumour thickness, with 5-year survival falling from more than 95% with superficial tumours to less than 50% survival if the depth is greater than 4 mm. Wide surgical excision is the

treatment of choice. Postsurgical adjuvant chemotherapy does not improve survival or disease-free outcome.

For advanced (inoperable) disease, chemotherapy with dacarbazine, temozolamide or the vinca alkaloids (vincristine or vinblastine) produces tumour responses in 5% to 15% of people, but without any clear effect on survival. Combination chemotherapy increases toxicity with no improvement in response.

Immune checkpoint inhibitors such as ipilimumab and pembrolizumab, or immunotherapy with talimogene laherparepvec injected directly into lesions, give better tumour response rates than chemotherapy (25%–40%). Targeted therapies can be considered, determined by the presence or absence of gene mutations in the mitogen activated protein kinase (MAPK) pathway. About 50% of tumours have a mutation in the BRAF gene (mutation V600E) and can be treated with vemurafenib, a B-Raf tyrosine kinase inhibitor, with initial disease control in about 80% of cases. However, the effect is sometimes short-lived, which appears to be mainly due to the unmasking of mutations in other signalling networks. To overcome this, combination with cobimetinib (an inhibitor of MEK protein, another intracellular signalling protein) can be used. Mutations in c-KIT protein (about 5% of tumours) favour a response to imatinib.

# **RENAL CANCER**

Nephrectomy is the treatment of choice for early-stage renal cancer. However, up to one-third of people have metastases at the time of diagnosis. In people with significant primary tumours and metastatic disease of modest volume, cytoreductive nephrectomy, radiofrequency ablation or tumour embolisation was generally offered and improves survival, with a small proportion of metastases spontaneously regressing after surgery. With the advent of more active systemic agents, the role of nephrectomy in the presence of metastatic disease is less clear.

Most renal cancers are resistant to cytotoxic chemotherapy. The most common renal cell cancer is the clear cell variant, which is associated with high expression of VEGF. Agents that target this pathway are effective in the treatment of metastatic disease.

- Drugs such as sorafenib, sunitinib or pazopanib that inhibit multiple intracellular tyrosine kinases are used as the first-line treatment for advanced disease. They produce high response rates, with an average duration of response of around 1 year.
- Both the mTOR inhibitor everolimus and the VEGFtargeted tyrosine kinase inhibitors axitinib or pazopanib are used after failure of first-line therapy. By sequencing first-, second- and sometimes third-line treatment for advanced kidney cancer, the disease can now be controlled for several years.
- Immunotherapy with interferon alpha combined with bevacizumab or aldesleukin (interleukin-2) produces responses in about 15% of cases. The toxicity of treatment can be high, but for a small

proportion of those treated an extremely durable response can be achieved.

Immune checkpoint inhibitors, such as nivolumab and ipilimumab, may be helpful in advanced renal cell cancer that has not been previously treated.

# **BLADDER CANCER**

Superficial bladder tumours are removed surgically, but recurrence rates are high. If there is a low or moderate risk of recurrence, intravesical mitomycin as a single dose or over a 6-week period is usually given. Intravesical immunotherapy with repeated instillations of bacillus Calmette–Guérin (BCG) vaccine can be used to limit recurrence in high-risk, superficial disease. For more advanced disease, neoadjuvant chemotherapy with gemcitabine and cisplatin or carboplatin improves survival. A bladder-sparing approach using transurethral tumour resection followed by concurrent chemotherapy (methotrexate, vinblastine, doxorubicin and cisplatin) and irradiation has given promising results for those who do not want cystectomy.

### **PROSTATE CANCER**

Treatment is largely determined by the extent of spread of the cancer and there are several options.

- 'Watchful waiting' for localised disease confined to the prostate. This is usually used for individuals with a life expectancy of less than 10 years as many tumours do not progress in this time.
- Radical prostatectomy for localised disease, usually in men under 70 years, in whom the risk of subsequent metastases is reduced from 25% to 15%. Impotence is a common sequela, occurring in 35% to 60% of cases.
- Radiotherapy for localised disease or locally advanced disease in older men. Impotence follows therapy in 40% to 60% of cases.
- Interstitial implantation of radioactive pellets for localised disease or locally advanced disease (brachytherapy). This can be combined with external radiotherapy for intermediate- or high-risk localised disease.
- Hormonal therapy for lymph node involvement or distant metastases. Prostate cancer is hormonedependent for growth. Androgen deprivation therapy (to reduce testosterone to castration levels) can be achieved by GnRH analogues such as leuprolide or goserelin (see Chapter 43). Tumour flare reactions are prevented by the use of antiandrogen therapy (e.g. with flutamide or cyproterone acetate; see Chapter 46) for the first few weeks to block adrenal androgen activity. Androgen deprivation therapy is combined with radiotherapy for intermediate- or high-risk localised disease.
- Castration-resistant disease can be treated by chemotherapy with drugs such as docetaxel or cabazitaxel given with prednisolone. Response rates of about 50% can be achieved. Painful metastatic deposits can

be treated with radiotherapy or with strontium-89, which is taken up by sclerotic metastases.

• A new class of hormonal therapies has shown promising activity in advanced prostate cancer. Abiraterone is a 17- $\alpha$  hydroxylase inhibitor that prevents extratesticular synthesis of androgens (see Chapter 46). Given with prednisolone, it has low toxicity and increases survival when used in combination with androgen deprivation therapy for tumours that have become resistant to castration levels of testosterone inhibition. New androgen receptor antagonists with enhanced potency, such as enzalutamide (see Chapter 46), also increase survival in people with castration-resistant disease.

# **TESTICULAR CANCER**

Testicular tumours are either seminomas or non-seminomatous germ cell tumours, depending on the tissue of origin. Cure rates are now greater than 95%. For *seminomas*, treatment choices include:

- orchidectomy then follow-up for recurrence or chemotherapy with carboplatin if the recurrence risk is high.
- for locally advanced disease, surgery is followed by radiotherapy, perhaps combined with carboplatin.
- for metastatic disease, chemotherapy with bleomycin, etoposide and cisplatin (BEP) is one example of the combinations used. If the tumour recurs, treatment with paclitaxel, ifosfamide and cisplatin is an option. High-dose chemotherapy can be combined with stem cell transplant.

For *non-seminomatous* germ cell tumours, treatment choices include orchidectomy for early disease, which may be followed by chemotherapy with a regimen containing cisplatin. For more advanced or recurrent disease, combination chemotherapy with BEP produces an 85% complete remission rate when combined with surgery. For germ cell tumour spread to the central nervous system, combination chemotherapy with cisplatin, vincristine, methotrexate, bleomycin, actinomycin, cyclophosphamide and etoposide may be considered.

#### **OVARIAN CANCER**

Initial surgery for ovarian cancer is followed by chemotherapy for all disease that is not localised to the ovary (which occurs in 80% of cases). About 70% of these women respond to chemotherapy, with complete remission in 10% to 20%. Carboplatin with paclitaxel is often used. Radiotherapy and bevacizumab are among the options for more advanced disease. Epithelial ovarian cancer has a 5-year survival rate of over 90% for localised disease, falling to 30% with distant spread.

#### **CERVICAL CANCER**

Surgery or radiotherapy is the mainstay for local disease, but chemoradiotherapy is used if there are poor prognostic predictors or in the case of advanced disease. Cisplatin is most frequently used and improves survival by 30%. For recurrent disease, the combination of carboplatin and paclitaxel has a small advantage over cisplatin alone. Overall 5-year survival is 60%.

# **ENDOMETRIAL CANCER**

Surgery is the usual initial treatment for endometrial cancer. Adjuvant radiotherapy can be given to the pelvis, and radiotherapy is also used for extrauterine metastases. Chemotherapy with drugs such as carboplatin plus paclitaxel has a role as neoadjuvant therapy prior to debulking surgery or as palliative treatment in advanced disease. Survival is dependent on age, but in those under 40 years, 5-year survival is 95% for localised disease, falling to 15% for those with distant metastases.

#### **BREAST CANCER**

Breast-conserving surgery is the treatment of choice for very early disease and for oestrogen receptor-positive tumours; it is usually followed by local radiotherapy. The risk of invasive recurrence is low; if this occurs, it is treated by mastectomy followed by chemotherapy. Chemotherapy or hormonal therapy is used for larger locally invasive tumours or distant spread, or as neoadjuvant treatment for recurrence.

Determination of the hormone receptor status of the tumour is an important guide to the most appropriate hormonal therapy or chemotherapy.

#### **Oestrogen Receptor-Positive Tumours**

About 80% of breast tumours express the oestrogen receptor. For *premenopausal* women with oestrogen receptor-positive tumours:

- tamoxifen remains the cornerstone of treatment, with or without chemotherapy. Aromatase inhibitors are ineffective before the menopause.
- tamoxifen can be combined with ovarian ablation using a GnRH analogue such as goserelin.

In early disease, hormonal treatment is usually given for 10 years. Tamoxifen reduces breast cancer mortality by more than 30%, with continuing benefit after stopping treatment for 15 years. The 10% to 20% of women whose tumour becomes unresponsive to one hormonal treatment may still respond to the use of an alternative class of drug.

Options for adjuvant hormonal therapy for *postmenopausal* women with oestrogen receptor-positive tumours include the following:

- nonsteroidal aromatase inhibitors such as anastrazole or letrozole or the steroidal aromatase inhibitor exemestane; these are more effective than tamoxifen. They can also be used as neoadjuvant therapy to reduce the extent of surgical resection. It is important to monitor bone density with the use of these drugs.
- antioestrogen therapy (e.g. with tamoxifen) is considered second-line treatment for hormoneresponsive cancer. If tamoxifen is used, switching to an aromatase inhibitor after 5 years further improves disease-free survival.

- the selective oestrogen receptor degrader (SERD) fulvestrant is an alternative second-line treatment for locally advanced or metastatic disease.
- progestogens such as megestrol acetate are used as a third-line treatment.
- GnRH analogues such as goserelin (see Chapter 43) are a fourth-line treatment.

Advanced disease can also be treated with hormonal therapy. If resistance develops, the combination of everolimus and exemestane may control the disease. Advanced breast cancer that is hormone receptor positive and HER2 negative can be treated with a tyrosine kinase inhibitor such as neratinib.

#### **Oestrogen Receptor-Negative Tumours**

Chemotherapy (treatment that does not involve hormonal manipulation) is used for oestrogen receptor-negative tumours, HER2-positive tumours, and younger women (especially under 35 years but also up to 70 years of age). Various regimens are used, such as doxorubicin or epirubicin with cyclophosphamide, or docetaxel with cyclophosphamide for node-positive disease, which produces response rates of up to 40%.

Trastuzumab can be used for cancers that express HER2. After 1 year of treatment it reduces early recurrence and improves survival by 35%. Trastuzumab can be combined with pertuzumab (an inhibitor of HER2 protein dimerisation) for locally advanced disease.

#### ACUTE MYELOID LEUKAEMIAS

The acute myeloid leukaemias are a heterogeneous group of disorders (Box 52.1) that are differentiated on morphological grounds. Acute myeloid leukaemia is responsible for up to 15% of childhood leukaemias and is the commonest leukaemia of adult life. Complications usually result from bone marrow failure, and the management of serious infection or bleeding are important issues in supportive care. The risk of infection is amplified by chemotherapy. The initial aim of chemotherapy is to reduce 'blast' cells in the marrow to below 5% of the total cell population (remission) with induction therapy and then to eradicate the leukaemic cells with consolidation therapy, usually involving at least two cycles of additional treatment.

Intravenous chemotherapy with two or more drugs is used in the induction phase to reduce the development of resistance. A typical regimen consists of

# Box 52.1 Simplified Classification of Acute Myeloid Leukaemias.

Acute myeloid leukaemia Acute myeloblastic leukaemia Acute promyelocytic leukaemia Acute myelomonocytic leukaemia Acute monocytic/monoblastic leukaemia Acute erythroleukaemia Acute megakaryoblastic leukaemia daunorubicin with cytarabine, which produces remission in 60% to 80% of individuals under 60 years of age; older people have a less favourable response. Consolidation is achieved with high dose cytarabine. Antibody treatment with gemtuzumab ozogamicin (a cytotoxic anti-CD33 antibody linked to a cytotoxic antibiotic) is an option if the initial blood white cell count is high. Haematopoietic stem cell transplantation may be considered after remission is achieved. In children, treatment for the central nervous system is also given with intrathecal methotrexate. Salvage treatment is used for failure to enter remission or for relapse, with high-dose cytarabine alone or combined with fludarabine.

For acute promyelocytic leukaemia, the best initial response is obtained with arsenic trioxide combined with idarubicin. Coagulopathy is a common manifestation of this condition.

## ACUTE LYMPHOBLASTIC LEUKAEMIA

Acute lymphoblastic leukaemia is most common in children under 10 years of age, with a few cases occurring after age 40 years. Supportive therapy is similar to that for acute myeloid leukaemia. Entry into a treatment trial of new therapeutic regimens will usually be offered, as the treatment of this type of leukaemia is rapidly advancing.

Remission induction (eradication of 99% of leukaemic cell burden) is typically achieved with combinations of three or more drugs. In children, vincristine and prednisolone or dexamethasone with crisantaspase, doxorubicin or daunorubicin is often used. Four or more drugs are used for children with high-risk disease and for most adults. Cyclophosphamide is often used for T-cell leukaemias, and imatinib or bosutinib added if the cells are Philadelphia chromosome-positive (the major indicator of poor prognosis). Consolidation therapy is initially implemented with at least two multidrug intensification modules, using various combinations of a corticosteroid with vincristine, crisantaspase, methotrexate and mercaptopurine. Continuation therapy is used after the first 5 months with mercaptopurine and methotrexate for at least 2 years. Eradication of cranial disease is important, using intrathecal methotrexate, cytarabine and hydrocortisone; cranial irradiation is less commonly used. Rituximab is an option for CD20-positive and Philadelphia chromosome-negative leukaemia. Selective use of haematopoietic stem cell transplantation can further improve outcome.

The results of treatment in childhood are excellent, with about 80% cure rate compared with 30% if the disease occurs in adult life.

## CHRONIC MYELOID LEUKAEMIA

Chronic myeloid leukaemia occurs in all age groups but is rare in children. Most disease follows an initial chronic course lasting 3 to 4 years, with subsequent transformation to an accelerated phase, when survival is just 3 to 6 months. The cytoreductive drug hydroxycarbamide is initially given if there is a very high white cell count. Imatinib is standard treatment for the chronic phase and achieves cytogenetic remission in up to 90% of people. Nilotinib or dasatinib are more potent tyrosine kinase inhibitors that are options after the failure of imatinib. Ponatinib is used when cells have the T3151 kinase domain mutation. In younger people, allogeneic stem cell transplantation is the treatment of choice after failed chemotherapy.

For advanced disease with blast crisis, combination chemotherapy can be considered, such as the regimen used for acute myeloid leukaemia or acute lymphoblastic leukaemia, depending on the type of transformation. Five-year survival for chronic phase disease is up to 95%, but median survival for blast-phase disease is less than a year.

# CHRONIC LYMPHOCYTIC LEUKAEMIA

Chronic lymphocytic leukaemia is predominantly a disease of older people. Cure is unusual and median survival is 5 to 8 years, but treatment is given to control symptoms due to the disease. Treatment may not be necessary if the disease is causing few problems. Transformation of the disease to a more aggressive form can occur after several years, with increasing disease bulk, lymphoma-related symptoms or bone marrow failure. Fludarabine, cyclophosphamide and rituximab are used for individuals who are fit enough to tolerate more intensive treatment, as the combination can produce a prolonged remission. For those who are not fit enough for intensive chemotherapy, either bendamustine with rituximab or chlorambucil with obinutuzumab can be used, with the goal of reducing leukaemic cells in the marrow to below 30%.

# **MALIGNANT LYMPHOMAS**

The malignant lymphomas are a diverse group of disorders comprising Hodgkin lymphoma and a variety of non-Hodgkin lymphomas, which are classified by histopathological and cytochemical techniques. Lowgrade non-Hodgkin lymphomas are managed in a similar way to chronic lymphocytic leukaemia and have a similar prognosis. Non-Hodgkin lymphomas of intermediate grade are curable in about 40% of cases, using courses of combination chemotherapy with rituximab, cyclophosphamide, doxorubicin, vincristine and prednisolone ('R-CHOP' therapy - an acronym based on the generic and proprietary names of the drugs). Radiotherapy is sometimes used as adjunctive treatment or for relapsed disease. More frequent intensive therapy is required for high-grade, aggressive non-Hodgkin lymphomas. Overall survival for non-Hodgkin lymphomas is about 70% at 5 years.

For Hodgkin lymphoma, radiotherapy is curative if the tumour is localised; combination chemotherapy is the usual approach for more extensive disease. The most frequently used regimen is doxorubicin, bleomycin, vinblastine and dacarbazine (ABVD), followed by radiotherapy. More advanced disease is treated with other chemotherapy regimens, such as chlormethine, vincristine, procarbazine and prednisolone (MOPP). Pembrolizumab is used in relapsed or refractory disease. Cure rates in Hodgkin lymphoma approach 85%.

# **MULTIPLE MYELOMA**

Multiple myeloma is mainly a disorder of older people. Treatment is aimed at suppressing the monoclonal protein in the blood. Supportive therapy is often required to treat hypercalcaemia, renal impairment and infection. A bisphosphonate is often given together with chemotherapy to prevent hypercalcaemia (see Chapter 42). Rehydration and analgesia for bone pain are often required. Radiotherapy may be used to treat localised areas of disease for pain control, lytic bone lesions or fractures.

Autologous stem cell transplantation is increasingly used as primary therapy after intensive chemotherapy and can produce 30% to 50% complete remission. Induction chemotherapy is with three drugs, using regimens such as prednisolone in combination with bortezomib and melphalan. After consolidation therapy with high dose melphalan, stem cell transplantation is carried out. For individuals who are not eligible for transplantation, maintenance therapy with bortezomib or lenalidomide can be considered. This reduces the myeloma protein in blood by more than 50% in half of those treated. Median survival with this treatment is 3 years.

# SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. Cancer cells are not subject to the normal feedback mechanisms that restrict cell multiplication.
- 2. Cells resting in G<sub>0</sub> phase are most susceptible to cytotoxic anticancer drugs.
- 3. Adverse effects of cytotoxic anticancer drugs include secondary carcinogenesis.
- 4. Alkylating agents interfere with normal DNA synthesis.
- 5. Methotrexate competitively inhibits deoxythymidylate kinase.
- 6. Folinic acid reverses the action of methotrexate.
- 7. Fluorouracil is a purine antagonist.
- 8. The effects of cytotoxic antibiotics are due to their intercalation between DNA bases.
- 9. Most base analogue antimetabolites are prodrugs activated by dephosphorylation.
- 10. Vinca alkaloids and taxanes share a common mechanism of action.
- 11. Tyrosine kinase inhibitors block signalling by growth factors.
- 12. Tamoxifen blocks oestrogen receptors on bone cells, causing osteoporosis.

## **ONE-BEST-ANSWER (OBA) QUESTIONS**

- 1. Which drug is a proteasome inhibitor?
  - A. Bendamustine.
  - B. Bevacizumab.
  - C. Bleomycin.
  - D. Bortezomib.
  - E. Bosutinib.
- 2. How does irinotecan act as an anticancer drug?
  - A. It alkylates base pairs and blocks DNA polymerase.
  - B. It blocks conversion of ribonucleotide to deoxyribonucleotide.
  - C. It inhibits depolymerisation of microtubules.
  - D. It inhibits folic acid-dependent thymidine formation.
  - E. It prevents re-ligation of DNA, leaving strand breaks.

# **CASE-BASED QUESTIONS**

- 1. What are the criteria for combination chemotherapy of cancer? How well do each of the three treatment regimens A to C meet the criteria?
  - A. Acute lymphoblastic leukaemia (initial phase for induction of remission): intravenous vincristine, subcutaneous crisantaspase (asparaginase) and oral prednisolone.
  - B. Non-Hodgkin lymphoma: rituximab, cyclophosphamide, doxorubicin, vincristine and prednisolone (R-CHOP regimen).
  - C. Testicular teratoma in an adult: intravenous bleomycin, etoposide and cisplatin.
- 2. Why are doses of some anticancer drugs corrected to body surface area rather than weight? Does the use of surface area correction result in higher or lower doses for children compared with simple correction for body weight? Taking the example in Table 52.1 of a man (body weight 72.1 kg) given 100 mg of a drug, what doses would a 1-year-old child weighing 9.9 kg be given if corrected for body weight or for surface area?

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AGE (YEARS)	BODY WEIGHT (KG)	HEIGHT (M)	BODY SURFACE Area (M²)
Children			
0.5	7.4	0.658	0.350
1.0	9.9	0.747	0.434
3	14.5	0.96	0.613
6	21.5	1.168	0.835
Adults			
Male	72.1	1.753	1.874
Female	60.3	1.676	1.681

# ANSWERS

# **TRUE/FALSE ANSWERS**

- 1. **True.** Dysfunction in the mechanisms that normally regulate cell multiplication is a characteristic of cancer cells.
- 2. **False.** Although some anticancer drugs affect the resting phase, cancer cells are typically most susceptible when they are actively dividing; the sensitivity of a tumour therefore depends on its growth fraction.
- 3. **True**. Secondary cancers can occur, such as bladder cancer with cyclophosphamide (due to urinary excretion of toxic metabolites) and lymphoma with alkylating agents.
- 4. **True.** The alkylated bases produce various effects on DNA function, including misreading.
- 5. **False.** Methotrexate inhibits dihydrofolate reductase, a key enzyme in the folate pathway required for synthesis of purines and thymidine.
- 6. **True.** Folinic acid (and levofolinic acid) are used to rescue normal tissues when given after methotrexate; methotrexate has a preferential effect on cancer cells, and giving folinic acid 24 hours afterwards overcomes its unwanted actions in normal tissues such as bone marrow and gut mucosa.
- 7. **False.** Fluorouracil is a pyrimidine analogue (fluorinated uracil).
- 8. **True.** Along with DNA intercalation, shown particularly by anthracyclines, cytotoxic antibiotics such as bleomycin also generate superoxide and hydrogen peroxide that cleave DNA.
- 9. **False.** Many base analogues such as cytarabine and gemcitabine are activated by intracellular phosphorylation to triphosphate derivatives, which are incorporated into DNA.
- 10. **False.** Vinca alkaloids prevent mitosis by inducing microtubule disassembly, whereas taxanes inhibit mitosis by promoting formation of stable but non-functional microtubules.
- 11. **True.** Drugs such as dasatinib, erlotinib and imatinib inhibit the receptor tyrosine kinase families associated with growth factors including EGF, VEGF and PDGF; some also block kinases in downstream signalling pathways.
- 12. False. Tamoxifen is a selective oestrogen receptor modulator (SERM) that blocks oestrogen receptors on breast cancer cells but is an agonist at oestrogen receptors on bone cells, preventing osteoporosis.

# **OBA ANSWERS**

1. **Answer D** is correct. Bortezomib (answer D) is a proteasome-inhibiting peptide. Bendamustine (answer A) is an alkylating agent, bevacizumab (answer B) is an anti-VEGF antibody, bleomycin (answer C) is a cytotoxic antibiotic, and bosutinib (answer E) is a tyrosine kinase inhibitor. 2. Answer E is correct. Topoisomerase I unwinds DNA by creating single-strand breaks that are then religated; irinotecan inhibits topoisomerase I and prevents DNA transcription and replication (answer E). Answer A is the mechanism of action of alkylating agents (such as cyclophosphamide) and answer B is that of the ribonucleotide reductase inhibitor hydroxycarbamide. Prevention of microtubule depolymerisation (answer C) is the mechanism of taxanes such as docetaxel, whereas the folate antagonist methotrexate impairs the synthesis of thymidine and purines (answer D).

# **CASE-BASED ANSWERS**

- 1. The criteria for combination therapy in cancer treatment are as follows:
  - Each drug should have a different target within the cell; this increases cell kill and decreases drug resistance.
  - Each drug should show different unwanted effects; ideally this will produce additive efficacy but not toxicity and hence an increase in therapeutic index.
  - Each drug should be active as a single agent; the ethics of clinical trials means that new drugs are not usually tested for this criterion in clinical studies.

The sites of action and unwanted effects of the drugs in each regimen are shown in Table 52.2. All three regimens use drugs with different mechanisms of action (meeting the first criterion), although regimen C is targeted only at DNA function. Regimen B contains four drugs that produce bone marrow toxicity, contravening the second criterion, and this will need careful

monitoring during therapy. For each drug regimen, the third criterion can be assumed to be met because all the agents are well-used drugs.

2. Because many of the cytotoxic drugs used in cancer therapy are toxic at therapeutic doses, it is important to tailor the dosage to the individual. Children have a higher cardiac output and greater hepatic and renal blood flows than adults on a bodyweight basis. The elimination of drugs therefore tends to be faster in children than in adults and proportionally higher doses are necessary to give the same blood levels. Hepatic and renal blood flows are related to body weight to the power of approximately 0.7. As body surface area is also proportional to body weight to the power of 0.7, it is usual to correct the drug doses by surface area to take better account of the rate of elimination. Surface area may be estimated from a nomogram; using the approximation that it correlates with weight to the power of 0.7; or with an equation. For example, the widely-used Du Bois equation estimates body surface area as:

Surface area =  $71.84 \times \text{weight}^{0.425} \times \text{height}^{0.725}$ 

where surface area is in metres squared, weight is in kilograms and height is in metres. In the example, the adult man (body weight 72.1 kg) is given 100 mg of a drug. Simple correction for body weight of the 1-year-old child (9.9 kg) would suggest 13.7 mg (= 100 mg × 9.9 kg/72.1 kg) is the appropriate dose. However, using the surface area values in Table 52.1 (derived from the Du Bois formula), the dose would be 100 mg × 0.434 m<sup>2</sup>/1.874 m<sup>2</sup> = 23.2 mg. An approximation using surface area estimated as weight<sup>0.7</sup> gives a dose of 100 mg × 9.9<sup>07</sup>/72.1<sup>0.7</sup> = 24.9 mg, close to that obtained using the Du Bois formula.

	MECHANISM OF ACTION	PRINCIPAL TOXICITY
(A) Acute Lymphoblastic Le	ukaemia	
Vincristine	Binds to tubulin/metaphase arrest	Bone marrow suppression, peripheral neuropathy
Crisantaspase	Depletes asparagine in blood	Decreased clotting factors, albumin and insulin
Prednisolone	Reduces DNA transcription of cytokines	Glucocorticoid actions
(B) Non-Hodgkin Lymphoma	a (R-CHOP Regimen)	
Cyclophosphamide	Alkylates DNA	Bone marrow suppression, nausea/vomiting
Doxorubicin	Intercalation into DNA, oxygen free radicals	Bone marrow suppression, cardiotoxicity
Vincristine	Binds to tubulin/metaphase arrest	Bone marrow suppression, peripheral neuropathy
Prednisolone	Reduces DNA transcription of cytokines	Glucocorticoid actions
(C) Testicular Teratoma		
Etoposide	Increases DNA cleavage by topoisomerase II	Bone marrow suppression, nausea, alopecia
Bleomycin	Oxidative damage to DNA	Pulmonary fibrosis
Cisplatin	Cross-links DNA	Nausea, bone marrow suppression, nephrotoxicity, ototoxicity

# Table 52.2 Mechanisms of Action and Toxic Effects of Three Treatment Regimens.

## **FURTHER READING**

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DRUG	CHARACTERISTICS
Unwanted effects that an characteristics; for ger	re severe and dose-limiting or not shared by other drugs in the same class are listed under neral unwanted effects of drug class, see text.
Drugs That Affect Nucleic	Acid Function
Alkylating Agents	
Widely used drugs; act b	by damaging DNA and thereby interfering with cell division.
Bendamustine	Used for treatment of chronic lymphocytic leukaemia, non-Hodgkin lymphoma and multiple myeloma. Risk of teratogenesis. Given intravenously.
Busulfan	Mainly used for effects on the bone marrow (e.g. chronic myeloid leukaemia). Risk of myelosuppression and irreversible bone marrow aplasia; rarely pulmonary fibrosis. Given orally or by intravenous infusion.
Carmustine (BCNU)	Alkylates DNA and the nitroso function inactivates DNA repair. Used for multiple myeloma, lymphoma and brain tumours. Risk of renal damage and progressive pulmonary fibrosis. Given intravenously.
Chlorambucil	Used mainly in lymphocytic leukaemia, non-Hodgkin lymphoma and Hodgkin disease. Risk of vomiting. Given orally.
Chlormethine	Applied to the skin for mycosis fungoides (cutaneous T-cell lymphoma). Risk of skin reactions.
Cyclophosphamide	Widely used for leukaemias, lymphomas and solid tumours. Risk of haemorrhagic cystitis (mesna is antidote). Given orally or by intravenous injection.
Dacarbazine	Prodrug of active alkylating agent. Used for metastatic melanoma and soft tissue sarcomas. Risk of myelosuppression, intense nausea and vomiting. Given intravenously.
Estramustine	Oestrogen molecule linked to a nitrogen mustard group; acts as alkylating agent, especially on microtubule proteins, and increases circulating oestrogen levels. Used for prostate cancer. Given orally.
lfosfamide	Uses similar to cyclophosphamide. Risk of cystitis (see mesna antidote). Given by intravenous injection.
Lomustine (CCNU)	Similar to carmustine. Mainly used for Hodgkin disease and some solid tumours. Risk of permanent bone marrow damage. Given orally.
Melphalan	Used mainly for multiple myeloma, ovarian adenocarcinoma, advanced breast cancer and neuroblastoma. Given orally or by intravenous injection.
Streptozotocin	Nitrosourea alkylating agent. Used in pancreatic tumours of neuroendocrine origin. Risk of acute kidney injury. Given by intravenous infusion.
Temozolomide	Related to dacarbazine. Used for glioblastoma multiforme and malignant glioma. Wide range of unwanted effects. Given orally.
Thiotepa	Used for bladder cancer. Wide range of unwanted effects. Given by intravesical injection.
Treosulfan	Used mainly for ovarian cancer. Risk of allergic alveolitis and pulmonary fibrosis. Given orally or by intravenous injection.

DRUG	CHARACTERISTICS	
Cytotoxic Antibiotics		
Widely used drugs; mar	ny act as radiomimetics and should be avoided if treatment includes radiotherapy.	
Bleomycin	Used for non-Hodgkin lymphoma, squamous cell carcinoma and metastatic germ cell cancer. Risk of dermatological effects and progressive pulmonary fibrosis. Given by intramuscular injection, by intravenous injection or infusion, or by intraarterial infusion, or by local infiltration.	
Dactinomycin (actinomycin D)	Mainly used for paediatric solid tumours. Risk of bone marrow toxicity, gastrointestinal toxicity. Given intravenously.	
Daunorubicin	Anthracycline. Used for acute leukaemias and AIDS-related Kaposi's sarcoma. Risk of bone marrow toxicity. Given by intravenous infusion. Liposomal formulation available. Also available in a combined formulation with cytarabine.	
Doxorubicin	Anthracycline. Widely used for leukaemias, lymphomas and some solid tumours. Risk of myelosuppression, cardiotoxicity. Given by intravenous or intravesical routes. Liposomal formulation available.	
Epirubicin	Anthracycline. Uses are similar to doxorubicin. Risk of myelosuppression; less cardiotoxic than doxorubicin. Given intravenously.	
Idarubicin	Anthracycline. Used mainly for acute leukaemias and advanced breast cancer unresponsive to first-line treatments. Risk of myelosuppression. Given orally or intravenously.	
Mitomycin	Used intravenously for upper gastrointestinal, breast, pancreatic and non-small cell lung cancers, and by bladder instillation for superficial bladder tumours. Risk of myelosuppression, nephrotoxicity, lung fibrosis. Given intravenously.	
Mitoxantrone	Anthracenedione. Used to treat metastatic breast cancer, non-Hodgkin lymphoma and non- lymphocytic leukaemia. Risk of myelosuppression, cardiotoxicity. Given by intravenous infusion.	
Pixantrone	Used as fifth-line monotherapy for refractory or multiply relapsed aggressive non-Hodgkin B-cell lymphomas. Wide range of unwanted effects. Given intravenously.	
Platinum Compounds		
Carboplatin	Active form produced by interaction with water. Used for ovarian cancer and some other solid tumours. Risk of myelosuppression; nausea and vomiting (less than with cisplatin). Given by intravenous infusion.	
Cisplatin	Active form produced by interaction with water; used for solid tumours such as ovarian cancer, metastatic seminoma and testicular teratoma. Risk of nausea and vomiting, nephrotoxicity, myelosuppression, ototoxicity. Given by intravenous infusion.	
Oxaliplatin	Used for metastatic colorectal cancer. Risk of neurotoxicity. Given by intravenous infusion.	
Antimetabolites		
Incorporated into nuclei	c acids or combine irreversibly with cellular enzymes essential for normal cell division.	
Azacitadine	Pyrimidine analogue; inhibits DNA methyltransferase. Used in the treatment of myelodysplastic syndromes, chronic myelomonocytic leukaemia and acute myeloid leukaemia in adults. Given by subcutaneous injection.	
Capecitabine	Prodrug rapidly hydrolysed to fluorouracil. Used for metastatic colorectal cancer and gastric cancer. Given orally.	
Cladribine	Purine analogue; the triphosphate is incorporated into DNA and blocks DNA polymerase and DNA ligase. Used for hairy cell leukaemia. Risk of myelosuppression, neurotoxicity. Given by intravenous infusion or subcutaneous injection.	
Clofarabine	Purine analogue; phosphorylated form inhibits ribonucleotide reductase and DNA polymerase, terminating DNA chain elongation. Used for refractory or relapsed acute lymphoblastic leukaemia in people aged 1–21 years. Given by intravenous infusion.	
Cytarabine (cytosine arabinoside)	Pyrimidine analogue; triphosphate incorporated into DNA and blocks DNA polymerase and DNA ligase; mostly active in S phase. Used for inducing remission in acute myeloblastic leukaemia. Risk of myelosuppression. Given intravenously, subcutaneously or intrathecally.	
Decitabine	Mechanism similar to that of azacitadine. Used for newly diagnosed acute myeloid leukaemia in people over 65 years who are not candidates for standard induction chemotherapy. Risk of anaemia, thrombocytopaenia. Given by intravenous infusion.	
Fludarabine phosphate	Prodrug of fludarabine, a purine analogue; the triphosphate is incorporated into DNA and blocks DNA polymerase and DNA ligase; mostly active in S-phase. Used for B-cell chronic lymphocytic leukaemia. Risk of myelosuppression. Given orally or by intravenous injection or infusion.	

DRUG	CHARACTERISTICS
Fluorouracil	Fluorinated pyrimidine analogue (fluoropyrimidine); phosphorylated derivatives are incorporated into DNA and RNA and inhibit thymidylate synthase; some selectivity for G <sub>2</sub> and S phases. Used for cancers of the gastrointestinal tract and malignant and premalignant skin lesions. Relatively low toxicity. Given by intravenous injection or infusion.
Gemcitabine	Purine analogue; triphosphate incorporated into DNA and blocks elongation and promotes apoptosis; specific for S phase. Used for palliative treatment of non-small cell lung cancer, and for pancreatic cancer, bladder and ovarian cancers. Limited toxicity. Given intravenously.
Mercaptopurine	Sulfur-substituted purine; the monophosphate inhibits de novo purine synthesis; triphosphate incorporated into DNA and/or RNA, giving cytotoxicity; specific for S phase. Limited toxicity. Used for maintenance therapy for acute leukaemias and chronic myeloid leukaemia; also used in inflammatory bowel disease. Given orally.
Methotrexate	Folate analogue, inhibits dihydrofolate reductase. Used for maintenance therapy for childhood acute lymphoblastic leukaemia, choriocarcinoma, non-Hodgkin lymphoma and some solid tumours; also used for rheumatoid arthritis and psoriasis. Risk of myelosuppression (folinic acid is antidote). Given orally, intravenously, intramuscularly or intrathecally.
Nelarabine	Purine analogue; triphosphate selectively inhibits DNA synthesis in T-cells. Used for T-cell acute lymphoblastic leukaemia and T-cell lymphoblastic lymphoma in relapsing disease or those refractory to previous regimens. High risk of neurotoxicity. Given by intravenous infusion.
Pemetrexed	Substituted purine compound; inhibits dihydrofolate reductase, thymidylate synthase and other folate- dependent enzymes. Used with cisplatin for malignant pleural mesothelioma. Given by intravenous infusion.
Raltitrexed	Folate analogue; inhibits thymidylate synthase. Used for palliation of metastatic colon cancer when fluorouracil cannot be used. Risk of myelosuppression. Given intravenously.
Tegafur (with gimeracil and oteracil)	Prodrug of fluorouracil (a fluoropyrimidine). Used with gimeracil (which enhances fluorouracil activity by blocking its breakdown) and oteracil (which reduces fluorouracil toxicity in gut). Given orally with cisplatin in advanced gastric cancer.
Tioguanine (thioguanine)	Tioguanine metabolites inhibit de novo purine synthesis and purine nucleotide interconversions and are incorporated into DNA; active in G <sub>1</sub> and S phases. Used for acute leukaemia and chronic myeloid leukaemia. Risk of myelosuppression. Given orally.
Trifluridine with tipiracil	Trifuridine is a nucleoside antimetabolite; tipiracil slows its breakdown by thymidine phosphorylase. Used when other drugs have failed or are unsuitable in metastatic colorectal cancer. Risk of neutropenia and other common unwanted effects. Given orally.
Drugs That Inhibit Mitosis	
Vinca alkaloids and taxa with DNA replication o	nes inhibit mitotic cell division by actions on microtubules. Inhibitors of topoisomerase I and II interfere during cell division.
Vinca Alkaloids	
Vinca alkaloids inhibit as	sembly of microtubules in mitosis; used for a variety of cancers.
Vinblastine	Used for variety of acute leukaemias, lymphomas and some solid tumours (e.g. breast and lung). Risk of myelosuppression. Given by intravenous injection.
Vincristine	Used for variety of acute leukaemias, lymphomas and some solid tumours (e.g. breast and lung). Risk of peripheral and autonomic neuropathy. Given by intravenous injection.
Vindesine	Used for variety of acute leukaemias, lymphomas and some solid tumours (e.g. breast and lung). Risk of myelosuppression. Given by intravenous injection.
Vinflunine	Used for advanced or metastatic transitional cell carcinoma of the urothelial tract after failure of a platinum-containing regimen. Risk of myelosuppression. Given intravenously.
Vinorelbine	Semisynthetic vinca alkaloid made from vinblastine. Used for advanced breast and non-small cell lung cancer. Risk of myelosuppression. Given intravenously.
Topoisomerase I Inhibitors	3
Topoisomerase I is invol	ved in maintaining the structure of DNA during transcription and replication.
Irinotecan	Used for metastatic colorectal cancer and pancreatic adenocarcinoma. Risk of myelosuppression, gastrointestinal effects. Given by intravenous infusion. Liposomal formulation also available.
Topotecan	Used for metastatic ovarian cancer and relapsed small cell lung cancer when first-line treatment has failed. Risk of myelosuppression, gastrointestinal effects. Given by intravenous infusion.

DRUG	CHARACTERISTICS		
Topoisomerase II Inhibitor	Topoisomerase II Inhibitor		
Topoisomerase II is involved in the breaking and re-ligating of DNA strands during cell division.			
Etoposide	Used for small cell carcinoma of the bronchus, lymphomas and testicular cancer. Risk of myelosuppression, alopecia. Given orally or by slow intravenous infusion.		
Taxanes			
Taxanes inhibit microtub	ule depolymerisation and prevent mitosis.		
Cabazitaxel	Used with a corticosteroid for hormone-refractory metastatic prostate cancer in people previously treated with docetaxel; high risk of hypersensitivity reactions. Given by intravenous infusion.		
Docetaxel	Used for advanced or metastatic anthracycline-resistant breast cancer. Risk of hypersensitivity reactions; myelosuppression; peripheral neuropathy; fluid retention. Given by intravenous infusion.		
Paclitaxel	Used for advanced ovarian cancer and as secondary treatment for breast and non-small cell lung cancer. Risk of hypersensitivity reactions, myelosuppression, peripheral neuropathy. Given by intravenous infusion.		
Drugs That Affect Functior	n of Tyrosine Kinases and Other Protein Kinases		
Tyrosine Kinase Receptor	Inhibitors		
Bevacizumab	VEGF receptor-inhibiting antibody. Used as part of first-line treatment of metastatic colorectal cancer. Risk of mucocutaneous bleeding and arterial thromboembolism. Given by intravenous infusion.		
Cetuximab	EGF receptor HER1 antibody. Used for metastatic colorectal cancer expressing EGFR. Risk of hypersensitivity reactions (rash, airway obstruction). Given by intravenous infusion.		
Necitumumab	Monoclonal antibody against the epidermal growth factor receptor (EGFR). Used in EGFR-positive squamous non-small cell lung cancer. Risk of severe hypomagnesaemia, conjunctivitis, infection and skin reactions. Given by intravenous infusion.		
Panitumumab	EGF receptor HER1 antibody. Used for metastatic colorectal cancer. Risk of severe skin reactions. Given by intravenous infusion.		
Pertuzumab	Inhibits dimerization of HER2 with other EGF receptors. Used in advanced HER2-positive breast cancer in combination with other drugs. Wide range of unwanted effects. Given intravenously.		
Ramucirumab	Monoclonal antibody directed against vascular endothelial growth factor receptor-2 (VEGFR-2); inhibits angiogenesis. Used in gastric cancers, colorectal cancer and non-small cell lung cancer, in combination with other anticancer drugs. Risk of infusion-related hypersensitivity reactions. Given by intravenous infusion.		
Trastuzumab	EGF receptor HER2 antibody; causes cell-cycle arrest. Used for HER2-positive metastatic breast cancer. Risk of cardiotoxicity, especially if used with anthracyclines (see earlier). Given by intravenous infusion.		
Trastuzumab emtansine	Trastuzumab conjugated covalently with mertansine (DM1), a cytotoxic microtubule inhibitor. Used for HER2-positive metastatic breast cancer. Given by intravenous infusion.		
Inhibitors of Tyrosine Kina	ses and Other Protein Kinases		
Inhibit tyrosine kinases a with cell growth and a	ssociated with receptors for growth factors (e.g. EGF, PDGF, VEGF) or other protein kinases associated poptosis (e.g. mTOR, BRAF, Bcr-Abl). Given orally unless otherwise stated.		
Abemaciclib	Selective inhibitor of cyclin-dependent kinases 4 and 6. Used in breast cancer. Reduce doses if used with potent CYP3A4 inhibitors.		
Afatinib	Inhibits EGFR and HER2 tyrosine kinases. Used for metastatic non-small cell lung cancer with activating EGFR mutations. Risk of ocular adverse reactions.		
Alectinib	Tyrosine kinase inhibitor. Used for anaplastic lymphoma kinase (ALK)-positive advanced non-small cell lung cancer. Risk of eye disorders.		
Axitinib	Inhibits VEGF and PDGF tyrosine kinases. Used for advanced renal cell carcinoma following failure of previous treatment with sunitinib or a cytokine (aldesleukin or interferon alfa). Risk of aneurysm or artery dissection.		
Binimetinib	Blocks mitogen-activated extracellular kinases MEK1 and MEK2 in the mitogen-activated protein kinase (MAPK) pathway. Used (with encorafenib) in BRAF V600-positive melanoma.		
Bosutinib	Inhibits Bcr-Abl tyrosine kinase. Used for unresponsive Philadelphia chromosome-positive chronic myeloid leukaemia.		
Brigatinib	Tyrosine kinase inhibitor. Used for ALK-positive advanced non-small cell lung cancer previously treated with crizotinib.		

DRUG	CHARACTERISTICS
Cabozantib	Multiple protein kinase inhibitor. Used for unresectable locally advanced or metastatic medullary thyroid carcinoma. Risk of fatigue.
Ceritinib	Tyrosine kinase inhibitor selective for ALK. Front-line used for ALK-positive advanced non-small cell lung cancer. Risk of Q–T interval prolongation on the ECG.
Cobimetinib	Mitogen-activated protein kinase (MAPK) inhibitor. Used in BRAF V600-positive metastatic melanoma (with vemurafenib).
Crizotinib	Inhibits a constitutive fusion product of ALK. Used for ALK-positive advanced non-small cell lung cancer. Risk of cardiac failure.
Dabrafenib	B-Raf kinase inhibitor; causes apoptosis. Used as monotherapy for unresectable or metastatic melanoma with a BRAF V600 mutation. Risk of ocular unwanted effects.
Dacomitinib	Inhibitor of EGF receptor (EGFR) tyrosine kinase. Used for non-small cell lung cancer with activating EGFR mutations. Risk of interstitial lung disease or pneumonitis.
Dasatinib	Multiple tyrosine kinase inhibitor. Used for chronic myeloid leukaemia in those resistant to or intolerant of imatinib. Risk of pulmonary arterial hypertension.
Encorafenib	Inhibits BRAF kinase in the MAP kinase pathway. Used (with binimetinib) for unresectable or metastatic melanoma with a BRAF V600 mutation. Risk of Q–T interval prolongation and many common unwanted effects.
Erlotinib	Selective inhibitor of EGF receptor HER1 tyrosine kinase. Used for advanced small cell lung cancer after failure of previous therapy. Risk of gastrointestinal unwanted effects.
Everolimus	Protein kinase (mTOR) inhibitor. Used for advanced renal cell carcinoma, neuroendocrine pancreatic tumours, giant cell astrocytoma, and HER2-negative advanced breast cancer. Risk of hypersensitivity reactions, Q–T interval prolongation on the ECG.
Gefitinib	EGFR tyrosine kinase inhibitor; used for advanced or metastatic non-small cell lung cancer with activating mutations of EGFR. Risk of interstitial lung disease, hepatotoxicity.
Gilteritinib	FMS-like tyrosine kinase-3 (FLT3) inhibitor. Used in FLT3-positive acute myeloid leukaemia. Risk of Q-T interval prolongation on the ECG.
lbrutinib	Inhibits BTK; reduces B lymphocyte migration and survival. Used for mantle cell lymphoma, Waldenström's macroglobulinaemia and for chronic lymphocytic leukaemia. Risk of CYP3A4 drug interactions.
Idelalisib	Inhibits phosphoinositide 3-kinase; inhibits cell growth and induces apoptosis. Used with rituximab for chronic lymphocytic leukaemia. Risk of hepatotoxicity and infections.
Imatinib	PDGF receptor inhibitor. Used for newly diagnosed chronic myeloid leukaemia (under special circumstances). Risk of gastrointestinal unwanted effects.
Lapatinib	Dual EGF (HER1 and HER2) receptor tyrosine kinase inhibitor. Used for advanced or metastatic HER2-positive breast cancer. Risk of pulmonary toxicity, hepatotoxicity.
Larotrectinib	Tropomyosin receptor kinase inhibitor. Used for solid tumours with neurotrophic tyrosine receptor kinase gene fusion.
Lenvatinib	Multireceptor tyrosine kinase inhibitor. Used in advanced renal cell carcinoma, thyroid carcinoma and hepatocellular carcinoma. Risk of aneurysm and artery dissection.
Lorlatinib	Inhibitor of ALK and proto-oncogene tyrosine-protein kinase ROS. Used in ALK-positive advanced non-small cell lung cancer.
Midostaurin	Inhibitor of multiple tyrosine kinases. Used in acute myeloid leukaemia.
Neratinib	Tyrosine kinase inhibitor. Used in HER-positive breast cancer. Risk of gastrointestinal disorders.
Nilotinib	Multiple tyrosine kinase inhibitor. Used for chronic myeloid leukaemia. Risk of myelosuppression, Q-T interval prolongation on the ECG.
Nintedanib	Tyrosine kinase inhibitor; blocks VEGF, PDGF and FGF receptors. Used with docetaxel in advanced non-small cell lung cancer. Risk of aneurysm or artery dissection.
Osimertinib	Tyrosine kinase inhibitor. Used in advanced non-small cell lung cancer with EGF receptor T790M mutation. Risk of infection and nail disorders.
Palbociclib	Selective inhibitor of cyclin-dependent kinases 4 and 6. Used in advanced breast cancer.
Pazopanib	Inhibitor of multiple tyrosine kinases, including VEGF receptor family and PDGF receptors. Used for advanced renal cell carcinoma. Risk of hepatotoxicity, hypertension, cardiac dysfunction.
Ponatinib	Inhibits Bcr-Abl tyrosine kinase. Used for chronic myeloid leukaemia and Philadelphia chromosome- positive acute lymphoblastic leukaemia in people with the T315I mutation. Risk of vascular occlusion.

DRUG	CHARACTERISTICS
Regorafenib	Inhibits multiple tyrosine kinase activities, including VEGF receptor tyrosine kinase. Used for metastatic colorectal cancer and gastrointestinal stromal tumours unresponsive to previous treatment or individuals intolerant of previous treatment.
Ribociclib	Inhibitor of cyclin-dependent kinases 4 and 6. Used in advanced breast cancer. Risk of interactions with potent CYP3A4 inhibitors.
Ruxolitinib	Inhibits Janus-associated tyrosine kinases JAK1 and JAK2. Used in myelofibrosis and polycythaemia vera.
Sorafenib	Inhibits VEGF and PDGF receptor tyrosine kinases and other kinases. Used for advanced renal cell carcinoma. Risk of gastrointestinal unwanted effects.
Sunitinib	Inhibits VEGF and PDGF receptor tyrosine kinases. Used for malignant gastrointestinal stromal tumours. Risk of gastrointestinal unwanted effects.
Temsirolimus	Prodrug of sirolimus; inhibits protein kinase mTOR. Used for advanced renal cell carcinoma and for relapsed or refractory mantle cell lymphoma. Risk of life-threatening hypersensitivity reactions. Given by intravenous infusion.
Tivozanib	VEGF receptor tyrosine kinase inhibitor. Used in advanced renal cell carcinoma. Risk of aneurysm or artery dissection.
Trametinib	Inhibits MAPK kinases MEK1 and MEK2. Used in melanoma and non-small cell lung cancer with BRAF V600 mutation. Risk of gastrointestinal perforation and colitis.
Vandetanib	Inhibitor of multiple tyrosine kinase receptors, including VEGF and HER1. Used for aggressive and symptomatic medullary thyroid cancer. Risk of Q–T interval prolongation on the ECG, encephalopathy, skin reactions.
Vemurafenib	B-Raf kinase inhibitor; causes apoptosis in melanoma cells. Used for BRAF V600 mutation-positive unresectable or metastatic melanoma. Risk of Q–T interval prolongation on the ECG, skin reactions, photosensitivity.
Poly ADP Ribose Polymera	se (PARP) Inhibitors
Inhibition of PARP select	ively blocks DNA repair in tumour cells.
Niraparib	Used for ovarian, fallopian tube or peritoneal cancer. Risk of hypertension. Given orally.
Olaparib	Used for ovarian, fallopian tube or peritoneal cancer. Risk of medication error due to differences in formulations. Given orally.
Rucaparib	Used for ovarian, fallopian tube or peritoneal cancer. Given orally.
Talazoparib	Used in breast cancer. Given orally.
Proteasome Inhibitors	
Inhibit the proteasome a	nd prevent cell turnover.
Bortezomib	Boron-containing peptide. Used for progressive multiple myeloma. Risk of nausea, vomiting and diarrhoea. Given by intravenous injection.
Carfilzomib	Irreversible proteasome inhibitor. Used for multiple myeloma. Risk of potentially fatal cardiac events and reactivation of hepatitis B virus. Given by intravenous infusion.
Ixazomib	Used for multiple myeloma. Risk of herpes zoster reactivation. Given orally.
B-Cell Lymphoma-1 (Bcl-2	) Inhibitor
Venetoclax	Used in chronic lymphocytic leukaemia. Given orally.
Hedgehog Pathway Inhibit	ors
Small molecule inhibitors	of the Smoothened membrane receptor (Smo) in the Hedgehog signalling pathway.
Glasdegib	Used in acute myeloid leukaemias. Risk of muscle complaints. Given orally.
Vismodegib	Used in basal cell carcinoma. Risk of severe birth defects. Given orally.
Immune Checkpoint Inhibi	tors
Inhibit programmed cell	death receptor (PD-1) or its ligand (PD-L1), potentiating the immune response to tumour cells.
Atezolizumab	Monoclonal antibody inhibitor of PD-1 receptor ligand (PD-L1). Used in lung cancers, breast cancer and urothelial cancer. Risk of infusion-related reactions. Given by intravenous infusion.
Avelumab	Monoclonal antibody inhibitor of PD-1 receptor. Used in metastatic Merkel cell carcinoma and advanced renal cell carcinoma. Risk of infusion-related reactions. Given by intravenous infusion.
Cemiplimab	Human IgG <sub>4</sub> monoclonal antibody inhibitor of PD-1 receptor. Used in cutaneous squamous cell carcinoma. Given by intravenous infusion. Risk of thyroid unwanted effects.

DRUG	CHARACTERISTICS
Durvalumab	Monoclonal antibody inhibitor of PD-1 receptor ligand (PD-L1). Used for non-small cell lung cancer. Risk of gastrointestinal disorders and skin reactions. Given by intravenous infusion.
lpilimumab	Antibody that potentiates T-cells by blocking inhibitory signals from the CTLA-4 checkpoint protein. Used for metastatic melanoma. Risk of fatal immune-mediated adverse reactions, particularly gastrointestinal, due to T-cell activation. Given by intravenous infusion.
Nivolumab	Monoclonal antibody inhibitor of PD-1 receptor. Used for melanoma, non-small cell lung cancer, squamous cell carcinoma of the head or neck, advanced renal cell carcinoma, urothelial carcinoma and classic Hodgkin lymphoma. Risk of organ transplant rejection or cytomegalovirus (CMV) infection or reactivation. Given by intravenous infusion.
Pembrolizumab	Monoclonal antibody inhibitor of PD-1 receptor. Used for melanoma, non-small cell lung cancer, squamous cell carcinoma of the head or neck, advanced renal cell carcinoma, urothelial carcinoma and classic Hodgkin lymphoma. Risk of organ transplant rejection. Given by intravenous infusion.
Drugs for Breast Cancer	
See also miscellaneous	anticancer drugs listed later.
Anastrozole	Nonsteroidal aromatase inhibitor. Used as adjunct for oestrogen receptor-positive early breast cancer and for advanced metastatic breast cancer in postmenopausal women. Risk of hot flushes, vaginal bleeding, gastrointestinal unwanted effects. Given orally.
Eribulin	Inhibits growth of microtubules and blocks mitosis. Used for metastatic breast cancer unresponsive to other drugs. Risk of myelosuppression, peripheral neuropathy and Q–T interval prolongation on the ECG. Given intravenously.
Exemestane	Irreversible steroid inhibitor of aromatase. Used for advanced breast cancer in postmenopausal women in whom antioestrogen therapy has failed. Risk of hot flushes and gastrointestinal unwanted effects. Given orally.
Fulvestrant	Selective oestrogen receptor degrader (SERD). Used for oestrogen receptor-positive breast tumours. Risk of hot flushes, gastrointestinal unwanted effects. Given by deep intramuscular depot injection.
Letrozole	Nonsteroidal aromatase inhibitor. Used for advanced metastatic breast cancer unresponsive to other antioestrogens in postmenopausal women. Risk of hot flushes, gastrointestinal unwanted effects. Given orally.
Megestrol acetate	Progestogen. Used in breast cancer. Given orally.
Tamoxifen	Nonsteroidal antioestrogen. Used for oestrogen receptor-positive breast cancer. Risk of exacerbated pain from bone metastases. Given orally.
Toremifene	Nonsteroidal oestrogen receptor antagonist. Used for hormone-dependent metastatic breast cancer in postmenopausal women. Risk of hot flushes; vaginal bleeding/discharge and numerous other unwanted effects. Given orally.
Trastuzumab	Used for metastatic breast cancer; see earlier.
Drugs for Prostate Cancer	
See also miscellaneous antiandrogens see Ch	anticancer drugs listed later. For further details of gonadorelin analogues see Chapter 43, and for napter 46.
Abiraterone	Inhibitor of 17-α hydroxylase that prevents extratesticular synthesis of androgens. Used for metastatic castration-resistant prostate cancer. Given orally.
Apalutamide	Antiandrogen. Used for prostate cancer. Risk of arthralgia, autoimmune thyroiditis, bone fractures and skin reactions. Given orally.
Bicalutamide	Antiandrogen. Used for advanced prostate cancer to cover the 'flare' associated with administration of gonadorelin analogues. Risk of hot flushes, pruritus, gynaecomastia, rare serious hepatic and cardiovascular unwanted effects. Given orally.
Buserelin	Gonadorelin analogue. Used for advanced prostate cancer. Risk of tumour 'flare' leading to spinal cord compression, ureteric obstruction and bone pain. Given by subcutaneous injection for 7 days and then intranasally.
Cyproterone acetate	Antioestrogen. Used for prostate cancer and to cover 'flare' of gonadorelin analogues. Unwanted effects similar to those of bicalutamide. Given orally.
Degarelix	Gonadotrophin-releasing hormone inhibitor. Used to treat advanced hormone-dependent prostate cancer. Unlike gonadorelin analogues (see Chapter 43), does not induce a testosterone surge or tumour flare. Risk of Q–T interval prolongation on the ECG. Given by subcutaneous injection.
Enzalutamide	Antiandrogen; similar to bicalutamide. Risk of acute coronary syndrome, bone fractures, hypertension and skin reactions. Used in castration-resistant prostate cancer. Given orally.

DRUG	CHARACTERISTICS
Flutamide	Antiandrogen; similar to bicalutamide. Used for advanced prostate cancer and to cover 'flare' of gonadorelin analogues. Given orally.
Goserelin	Gonadorelin analogue; similar to buserelin. Used for prostate cancer and advanced breast cancer. Given by subcutaneous implant into anterior abdominal wall.
Leuprorelin acetate (leuprolide)	Gonadorelin analogue; similar to buserelin. Used for advanced prostate cancer. Given by subcutaneous or intramuscular injection.
Triptorelin	Gonadorelin analogue; similar to buserelin. Used for advanced prostate cancer (and endometriosis). Given by intramuscular injection.
Miscellaneous Anticancer	Drugs
This section includes dr antibodies to tumour growth; retinoids; rec	ugs of widely diverse mechanisms including antilymphocyte monoclonal antibodies used in leukaemias; cells conjugated with a cytotoxic agent; asparaginases that deplete L-asparagine required for tumour ombinant cytokines; and immunostimulants of a variety of mechanisms of action and uses.
Aflibercept	Soluble decoy receptor that traps VEGF and prevents binding to receptors; reduces angiogenesis. Used in combination with other drugs in metastatic colorectal cancer resistant to other drugs. Given intravenously; also intravitreal preparation used for age-related macular degeneration (see Chapter 50).
Aldesleukin (interleukin-2)	Recombinant interleukin-2. Use restricted to metastatic renal cell carcinoma. Risk of pulmonary oedema, hypotension, and bone marrow, hepatic, renal, thyroid and CNS toxicity. Given by subcutaneous injection.
Alemtuzumab	Antibody against CD52 antigen; causes lysis of B-lymphocytes. Used for chronic lymphocytic leukaemia unresponsive to an alkylating agent. Risk of cytokine release syndrome (characterised by severe dyspnoea, fever, chills, nausea, and allergic reactions). Given by intravenous infusion.
Amsacrine	May intercalate in DNA or inhibit topoisomerase. Used in acute leukaemia. Given by intravenous infusion.
Arsenic trioxide	Mechanism of action not defined. Used for acute promyelocytic leukaemia unresponsive to other treatment. Risk of leucocyte activation syndrome. Given by intravenous infusion.
Asparaginase	Enzyme from <i>Escherichia coli</i> bacteria; depletes L-asparagine required by tumour cells. Used as an adjunct in acute lymphoblastic anaemia. Given by intravenous infusion.
Bacillus Calmette- Guérin (BCG)	Live attenuated strain of <i>Mycobacterium bovis</i> . Immunostimulant that produces a nonspecific localised immune reaction with histocyte and leucocyte infiltration. Used for bladder carcinoma. Given by bladder instillation.
Bexarotene	Retinoid RXR agonist. Used for cutaneous T-cell lymphoma. Risk of leucopenia. Given orally.
Blinatumomab	Antilymphocyte monoclonal antibody targeted against cell-surface CD19. Used for Philadelphia chromosome-negative acute lymphoblastic leukaemia. Risk of pancreatitis and potentially fatal cytokine release syndrome. Given by intravenous infusion.
Brentuximab vedotin	Monoclonal anti-CD30 antibody conjugated with monomethyl auristatin E (MMAE, a mitosis inhibitor). Used for refractory or relapsed CD30-positive Hodgkin lymphoma and anaplastic large cell lymphoma. Risk of hyperglycaemia and tumour lysis syndrome. Given by intravenous infusion.
Crisantaspase (asparaginase)	Enzyme from <i>Erwinia chrysanthemi</i> ; depletes circulating L-asparagine required by tumour cells. Used for acute lymphoblastic leukaemia. Risk of anaphylaxis, CNS depression, hyperglycaemia. Given by intramuscular or subcutaneous injection.
Daratumumab	Monoclonal antibody targeted at cell-surface CD38. Used for multiple myeloma. Risk of reactivation of hepatitis B virus. Given by intravenous infusion.
Diethylstilbestrol	Oestrogen; inhibits hypothalamic-pituitary axis by negative feedback. Used rarely for prostate cancer or breast cancer. Given orally.
Dinutuximab beta	Monoclonal antibody targeted at disialoganglioside, a glycolipid over-expressed on neuroblastoma cells. Wide range of unwanted effects. Given by intravenous infusion.
Elotuzumab	Monoclonal antibody targeted at the signalling lymphocytic activation molecule family member 7 (SLAMF7). Used in multiple myeloma. Risk of secondary primary malignancies. Given by intravenous infusion.
Gemtuzumab ozogamicin	Monoclonal antibody against cell-surface CD33 conjugated with a cytotoxic calicheamicin compound. Used in CD33-positive acute myeloid leukaemia. Given by intravenous infusion. Risk of serious infusion-related reactions.
Hydroxycarbamide (hydroxyurea)	Inhibits conversion of ribonucleotides to deoxyribonucleotides by ribonucleotide reductase. Used for chronic myeloid leukaemia. Given orally.

DRUG	CHARACTERISTICS
Inotuzumab ozogamicin	Monoclonal antibody against cell-surface CD22 conjugated with a cytotoxic calicheamicin compound. Used in CD22-positive B-cell precursor acute lymphoblastic leukaemia. Risk of tumour lysis syndrome or Q–T interval prolongation on the ECG. Given by intravenous infusion.
Interferon alfa	Cytokine; used for certain lymphomas and solid tumours. Risk of nausea, lethargy, ocular effects, depression, myelosuppression and cerebrovascular, liver and kidney problems. Given by subcutaneous or intravenous injection.
Lenalidomide	Immunomodulator and antiangiogenic actions similar to those of thalidomide. Used in multiple myeloma unresponsive to other therapies. Risk of thromboembolism, severe neutropenia, teratogenesis. Given orally.
Medroxyprogesterone acetate	Progestogen. Used for endometrial and breast cancer, rarely for prostate and renal cancer (see Chapter 45). Given orally or by deep intramuscular injection.
Mifamurtide	Synthetic derivative of muramyl dipeptide (MDP), which has immunostimulant actions. Used in osteosarcoma. Wide range of common unwanted effects. Given by intravenous infusion.
Mitotane	Inhibits adrenal corticosteroid synthesis and causes adrenal atrophy. Used for advanced or inoperable adrenocortical carcinoma. Risk of gastrointestinal and CNS unwanted effects; toxic to adrenal cortex, producing hypercortisolism. Given orally.
Mogamulizumab	Monoclonal antibody against cell-surface CCR4 chemokine receptor. Used for mycosis fungoides (cutaneous T-cell lymphoma). Risk of hepatitis B virus reactivation and organ transplant-related complications. Given by intravenous infusion.
Norethisterone (norethindrone)	Progestogen. Used for endometrial cancer and also for renal and breast cancer. Given orally.
Obinutuzumab	Anti-CD20 antibody; inhibits B-cell activation. Used for chronic lymphocytic leukaemia in patients for whom fludarabine is unsuitable. Risk of hepatitis B reactivation. Given intravenously.
Ofatumumab	Anti-CD20 antibody; inhibits B-cell activation. Used in chronic lymphocytic leukaemia refractory to fludarabine and alemtuzumab. Risk of pneumonia. Given intravenously.
Panobinostat	Histone deacetylase (HDAC) inhibitor. Use in refractory multiple myeloma (in combination with bortezomib and dexamethasone). Risk of Q–T interval prolongation on the ECG. Risk of drug interactions with CYP3A4 inhibitors. Given orally.
Pegaspargase	Pegylated version of asparaginase, which depletes L-asparagine required by tumour cells. Used in acute lymphoblastic anaemia. Risk of serious or life-threatening hypersensitivity reactions. Given by intramuscular injection.
Pentostatin	Adenosine deaminase inhibitor. Used for hairy cell leukaemia. Risk of myelosuppression, immunosuppression. Given intravenously.
Polatuzumab vedotin	Given by intravenous infusion. Monoclonal anti-CD79B antibody conjugated with the mitosis inhibitor monomethyl auristatin E (MMAE). Used in refractory or relapsed diffuse large B-cell lymphoma (with bendamustine and rituximab). Risk of infusion-related reactions and tumour lysis syndrome. Given by intravenous infusion.
Pomalidomide	Immunomodulator and antiangiogenic actions; similar to thalidomide. Used with dexamethasone for multiple myeloma unresponsive to other treatments. Given orally.
Porfimer sodium	Porphyrin oligomer; accumulates in tumour and is activated by laser light. Used in photodynamic treatment of small cell lung cancer and for oesophageal cancer. Risk of photosensitivity. Given by intravenous injection.
Prednisolone	Glucocorticoid (see Chapter 44). Used for marked antitumour effect in acute lymphoblastic leukaemia, Hodgkin disease and non-Hodgkin lymphoma; also used in palliative care. Given orally, topically and by intramuscular injection.
Procarbazine	Cytotoxic mechanism unclear, but inhibits incorporation of adenosine and thymidine into DNA. Used in Hodgkin disease. Ingestion with alcohol may produce a disulfiram-like effect. Given orally.
Ramucirumab	Monoclonal antibody directed against vascular endothelial growth factor receptor-2 (VEGFR-2); inhibits angiogenesis. Used in gastric cancers, colorectal cancer and non-small cell lung cancer, in combination with other anticancer drugs. Risk of aneurysm and artery dissection. Given by intravenous infusion.
Rituximab	Anti-CD20 antibody that lyses B lymphocytes. Used for chemotherapy-resistant advanced follicular lymphoma. Risk of cytokine release syndrome and hepatitis B virus reactivation. Given by intravenous infusion.
Siltuximab	Monoclonal antibody that blocks the inflammatory cytokine interleukin-6. Used in multicentric Castleman's disease. Risk of infusion-related reactions. Given by intravenous infusion.

DRUG	CHARACTERISTICS
Talimogene laherparepvec	Oncolytic immunotherapeutic; genetically-engineered herpes simplex virus type-1 causes tumour lysis and the release of tumour-derived antigens. Used for unresectable metastatic melanoma. Risk of ulceration or necrosis at tumour site. Given by intralesional injection.
Temoporfin	Porphyrin-derived photosensitiser; accumulates in tumour and is activated by laser light. Used in photodynamic treatment of small cell lung cancer and for oesophageal cancer. Risk of photosensitivity. Given by intravenous injection.
Temozolomide	Analogue of dacarbazine converted to same active product. Used as second-line treatment for malignant glioma. Given orally.
Thalidomide	Immunomodulator with antiangiogenic action. Used with an alkylating drug and a corticosteroid in multiple myeloma. Risk of thromboembolism, peripheral neuropathy, teratogenesis. Given orally.
Trabectedin	Inhibits an oncogene transcription factor. Used for advanced soft tissue sarcoma and with doxorubicin for ovarian cancer. Risk of hepatotoxicity, myelosuppression. Given intravenously.
Tretinoin (all- <i>trans-</i> retinoic acid)	Retinoid RAR and RXR agonist. Used for remission of acute promyelocytic leukaemia. Highly teratogenic. Given orally.
Antidotes	
Chemoprotectants are u	used to reduce the toxicity of one or more anticancer drugs.
Dexrazoxane	Iron chelator; protects against anthracycline-induced free radical damage. Used for anthracycline- induced extravasation. Risk of myelosuppression. Given by intravenous infusion.
Folinic acid (leucovorin) and levofolinate	Given 24 hours after methotrexate to speed recovery from myelosuppression. Given orally or by intramuscular or intravenous injection.
Mesna (mercaptoethane sulfonic acid)	Used orally before cyclophosphamide or ifosfamide treatment or intravenously afterwards to prevent urothelial toxicity from acrolein metabolite.

ALK, Anaplastic lymphoma kinase; BRAF, gene for B-raf kinase; BTK, Bruton's tyrosine kinase; CNS, central nervous system; CTLA-4, cytotoxic T-lymphocyte antigen 4; EGF, epidermal growth factor; EGFR, epidermal growth factor receptor; FGF, fibroblast growth factor; mTOR, mammalian target of rapamycin; PDGF, platelet-derived growth factor; RAR, retinoic acid receptor; RXR, retinoid X receptors; SERD, selective oestrogen receptor degrader; VEGF, vascular endothelial growth factor.

# **Drug Toxicity and Overdose**

#### **Chapter Outline**

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Most therapeutic drugs produce their beneficial responses by altering human homeostatic mechanisms; only antimicrobial agents and parasiticides are designed to produce a therapeutic response without direct action on human metabolic or physiological processes. Some therapeutic agents have pharmacological properties that were first recognised as a result of either accidental or intentional poisonings; these include atropine (belladonna), tubocurarine (curare), ergot alkaloids (causing St. Anthony's fire), digoxin (digitalis) and dicoumarol (causing haemorrhagic disease in cattle). It is hardly surprising that all drugs are capable of producing adverse effects if the dosage is high enough. One type of relationship between a potentially beneficial drug and a poison was recognised five centuries ago when Paracelsus stated 'All things are toxic and it is only the dose which makes something a poison.'

Some of the medicines prescribed today were first used as relatively crude plant extracts; for example, digitalis glycosides and opium extracts. It was the identification and isolation of the active chemical entities that allowed the dose and purity of the active ingredient to be controlled sufficiently to optimise the ratio between benefit and risk. In this respect, the current vogue for poorly characterised herbal remedies could be considered to represent a backward step.

Drug toxicity can arise at normal therapeutic doses of a drug or as a result of an acute overdose. In some cases, toxicity occurs in the majority of treated individuals because of the nature of the drug (e.g. cytotoxic agents used for anticancer therapy), but significant toxicity is rare with the majority of commonly prescribed drugs when used at recommended dosages. There is Reducing Toxicity 671 Some Common Specific Poisonings 672 Self-Assessment 674 Answers 675 Further Reading 675 Compendium of Drugs Used to Treat Drug Toxicity and Overdose, and Toxicity Due to Environmental Chemicals 676

considerable interindividual variability in both the nature and severity of adverse reactions, and toxicity can be reduced when selecting the drug and the dosage by taking into account factors that are known to increase susceptibility, such as age, concurrent disease or body weight. Genetic factors may also be taken into account for some drugs (see Chapters 1 and 2). Usually a reduction in dosage or a change of drug during chronic treatment will reduce the severity of adverse effects (but see the discussion on immunological mechanisms later in this chapter).

Toxicity following an acute overdose usually produces predictable adverse reactions, which may be lifethreatening and/or prejudice long-term health. Rapid treatment is then required, and this may be aimed at preventing further drug absorption, increasing drug elimination/inactivation and managing the adverse effects produced.

This chapter is therefore divided into two main sections:

- Drug toxicity and adverse effects, which discusses mechanisms of adverse effects produced both during normal drug therapy and after an overdose.
- Self-poisoning and drug overdose, which is concerned with the management of intentional or accidental drug overdose.

## DRUG TOXICITY AND ADVERSE EFFECTS

There is no consistent use of terminology in describing effects of drugs that were not intended when the drug was prescribed. Adverse effects of a drug are those that can occur when the drug is used at therapeutic doses; these have also been referred to as collateral effects. Drug toxicity is more commonly applied to effects that occur at supratherapeutic doses. This section provides a framework for classifying adverse and toxic effects of drugs, which are referred to in the individual drug monographs as unwanted effects. The term *side effect* is a widely used alternative, although more strictly it refers to unwanted effects that are unrelated to the principal mechanism of action of the drug.

The beneficial effects of a drug in one situation (e.g. the antidiarrhoeal effect of opioids) may be an adverse effect in other circumstances (e.g. constipation, when an opioid is used for pain relief). Therefore even classification of the nature of effect into beneficial or adverse may depend on the condition being treated. The adverse effects caused by different drugs are listed for UK readers in the British National Formulary (BNF; https://bnf.nice.org.uk) and more fully in the summary of product characteristics (SPC) for each drug, accessible in the electronic medicines compendium (www.medicines.org.uk). It is apparent that for most drugs, potential adverse effects are more numerous than beneficial properties. Drug manufacturers are encouraged to list all potential adverse effects, but such lists are dependent on the limits to current knowledge and may not always be exhaustive, so prescribers should be alert to both predicted and unexpected reactions to medicines. The prescriber should consider the risk-benefit ratio for each individual and the suitability of alternative drugs and/or treatments, and people who are prescribed drugs should be informed of the risk-benefit balance inherent in their treatment (see Chapter 55). The patient information leaflet (PIL) included with the dispensed medicine represents a useful but not complete way of providing such advice. Prescribers should also be aware of the risks of adverse and toxic effects arising from interactions with other drugs, alternative medicines and foods.

It should be appreciated that all drugs are associated with some risk of adverse effects and toxicity, although both the severity and incidence differ widely among drugs. In general, the acceptability of a risk of an unwanted effect is related to the severity of the disease being treated; for example, serious idiosyncratic reactions with incidences of 1 in 10,000 have led to the withdrawal of some nonsteroidal antiinflammatory drugs (NSAIDs), whereas some cancer chemotherapeutic agents can cause significant toxicity in nearly all individuals.

A useful indication of the safety margin available for a drug is given by the therapeutic index (TI):

Therapeutic index =  $\frac{\text{Dose resulting in toxicity}}{\text{Dose giving therapeutic response}}$ 

Penicillin has a TI of over 100 and carries a low risk of toxicity even in a substantial overdose. In contrast, digoxin has a TI of only about 2 and toxicity may be precipitated by relatively small changes in dosage regimen or in the clearance of the drug from the body. The TI relates to serious toxicity and does not indicate the potential for minor adverse effects, which may inconvenience the person enough for him or her to stop treatment but are not considered to represent drug toxicity.

# **TYPES OF UNWANTED EFFECT**

Adverse drug reactions (ADRs) are traditionally classified into two main types:

- Type A ('augmented'): These effects are usually dose-related and largely predictable from the known pharmacological and biochemical effects of the drug or its metabolites, whether these are related or unrelated to the therapeutic actions of the drug.
- Type B ('bizarre'): These effects are not obviously dose-related, at least not within the range of doses used clinically. They appear idiosyncratic and unpredictable from the known pharmacology of the drug; they are often immunological in nature.

This classification makes no allowance for timedependency of some adverse events or for the underlying susceptibility of the individual. Other classifications of adverse reactions have been described, such as continuing (type C), delayed (type D), end-of-use (type E), failure of therapy (type F) or genetic/genomic (type G). These classifications are not mutually exclusive and classification of an adverse event along multiple axes, such as <u>do</u>se-relatedness, <u>time-relatedness and <u>s</u>usceptibility (the DoTS classification, see Further Reading), may be more meaningful.</u>

### PHARMACOLOGICAL TOXICITY

In 'pharmacological toxicity', the toxic reaction is a predictable extension of the known pharmacology of the drug at its site(s) of action (Table 53.1) and should be readily recognisable when monitoring the individual's response to treatment. There are numerous examples in this book in which the adverse effect is really an excessive therapeutic action.

For many drug effects, the response increases with increase in dose, with low subtherapeutic doses giving an inadequate response, therapeutic doses giving the desired response, but very high doses giving an excessive response that can be regarded as a form of toxicity (response 1 in Fig. 53.1). A good example is warfarin,

Table **53.1** 

Examples of Drugs with Adverse Effects Related to Their Primary Therapeutic Properties.

DRUG	ADVERSE EFFECT
Acetylcholinesterase inhibitors	Muscle weakness
β-Adrenoceptor antagonists	Heart block when used as an antiarrhythmic
Insulin	Hypoglycaemia
General anaesthetics	Medullary depression
Loop diuretics	Hypokalaemia
Warfarin	Haemorrhage

inadequate doses of which are associated with a lack of the desired antithrombotic effect, whereas at modestly excessive doses there is a risk of haemorrhage due to excessive anticoagulation. This gives rise to the concept of the therapeutic window, which is the range of doses or plasma concentrations within which most individuals should show a beneficial response with minimal risk



**Fig. 53.1 Dose-response relationships in relation to toxicity.** Response 1 is the primary therapeutic effect, the magnitude of which increases with dose from subtherapeutic through therapeutic to potentially toxic. Response 2 is an unwanted effect seen at a dose only slightly greater than those producing the therapeutic effect. Response 3 is an adverse effect normally seen only in overdose. of adverse effects (illustrated by response 1 in Fig. 53.1). The concept is particularly valuable in the interpretation of measurements of drug concentrations in plasma, which can be used to monitor adherence to treatment and to assess likely response (Table 53.2).

In many other cases, the toxic reaction may be unrelated to the primary therapeutic effect (Table 53.3) and caused by a secondary effect that is not the primary aim of the treatment (response 2 in Fig. 53.1). This toxicity will often be present to a limited extent in some people at appropriate therapeutic doses.

The degree of separation of the therapeutic and toxic dose–response curves is a measure of the TI. If these are very close (e.g. responses 1 and 2 in Fig. 53.1), then there is a low safety margin (low TI) and most individuals will show some degree of toxicity at normal therapeutic doses; for example, myelosuppression with cytotoxic anticancer drugs. For drugs with high TIs (e.g. responses 1 and 3 in Fig. 53.1), toxicity would not be seen at normal doses; for example a  $\beta$ -adrenoceptor antagonist would be very unlikely to cause myocardial

# Table 53.3Examples of Drugs with Adverse Effects Not<br/>Related to Their Primary Therapeutic Use.

DRUG	ADVERSE EFFECTS
β-Adrenoceptor agonists	Increase in heart rate when used in asthma
β-Adrenoceptor antagonists	Reduction in heart rate when used for hypertension
Aminoglycosides	Deafness
Anticancer drugs	Myelosuppression
Anticonvulsants	Sedation when used for epilepsy
Antipsychotics	Dystonias or parkinsonism
Corticosteroids	Glaucoma
Drugs for Parkinson's disease	Hallucinations and confusion
Opioid analgesics	Respiratory depression
Paracetamol	Liver failure
Statins	Rhabdomyolysis
Thalidomide	Birth defects
Thiazides	Glucose intolerance

#### Table 53.2 Examples of Therapeutic Windows Based on Plasma Concentrations.

	THERAPEUTIC CONCENTRATION RANGE (TYPICAL VALUES)		
DRUG	MINIMUM	MAXIMUM <sup>a</sup>	TOXIC RESPONSE
Aspirin (analgesia) (µg/mL)	20	300	Tinnitus, metabolic acidosis
Carbamazepine (µg/mL)	4	10	Drowsiness, visual disturbances
Digoxin (ng/mL)	0.8	3	Bradycardia, nausea
Gentamicin (µg/mL)	2	12	Ototoxicity, renal toxicity
Phenytoin (µg/mL)	10	20	Nystagmus, lethargy
Theophylline (µg/m)	10	20	Tremor, nervousness

<sup>a</sup>The maximum concentration may be limited by toxicity related to the primary therapeutic response (e.g. carbamazepine) or to an unrelated effect (e.g. gentamicin).

depression and heart failure in people with normal left ventricular function. However, the toxic effect may occur in individuals who are unusually sensitive because of their genetics or their physical condition; for example, standard doses of a  $\beta$ -adrenoceptor antagonist can precipitate heart failure in people with preexisting impaired left ventricular function.

Pharmacological toxicity is the most common cause of adverse effects and is minimised by assessing the risk-benefit balance for the individual to be treated. This should take into account factors that may influence both target-organ sensitivity and pharmacokinetics, including age, physiological status (e.g. renal function), concurrent medication, comorbidities (including drug allergies) and environmental factors (e.g. smoking).

Because of the predictable nature of pharmacological toxicity, it is usual for some treatments to be coprescribed with drugs that reduce the possibility of toxic effects; examples include antiemetics given with cancer chemotherapy, vitamin  $B_6$  given with isoniazid, and leucovorin (folinic acid) given after high-dose methotrexate.

#### **BIOCHEMICAL TOXICITY**

In 'biochemical toxicity', the toxicity or tissue damage is caused by an interaction of the drug or an active metabolite with cell components, especially macromolecules such as structural proteins and enzymes. A generalised scheme is given in Fig. 53.2. For most licensed drugs, this form of toxicity is identified and characterised during preclinical studies in animals and monitored in clinical trials (see Chapter 3), for example by measuring changes in serum levels of liver or muscle enzymes.

In some situations, an understanding of the mechanism of toxicity has allowed the development of appropriate treatments or antidotes. An example is the key observation that the thiol (–SH) group of the endogenous tripeptide glutathione can prevent hepatocyte damage caused by a toxic metabolite of paracetamol (discussed below and in Fig. 53.3). The nature of the cell damage is related to the stability of the toxic reactive chemical; extremely unstable metabolites may bind covalently to and inactivate the enzyme that forms them, whereas more stable species may be able to diffuse to a distant site (e.g. DNA) and initiate changes such as cancer. Important examples of biochemical toxicity are given in the following sections.

#### Paracetamol

Paracetamol-induced hepatotoxicity represents the result of an imbalance between metabolic detoxification of paracetamol via conjugation with glucuronic acid and sulfate, and metabolic activation to an unstable toxic metabolite, *N*-acetyl-*p*-benzoquinone imine (NAPQI), via oxidation by cytochrome P450. Low doses of paracetamol are safe because they are eliminated mainly by conjugation, and any NAPQI created by cytochrome P450 oxidation is inactivated by a cytoprotective pathway involving glutathione. However, in overdose, the sulfate conjugation reaction is saturated, and there is increased cytochrome P450-mediated



Fig. 53.2 Metabolism and cytotoxicity. In this scheme, the propensity of the reactive metabolite of a cytotoxic drug to cause adverse biochemical effects on cellular DNA and proteins depends on the balance between formation of the reactive metabolite from the parent drug, and the rate of elimination of both the reactive metabolite and the parent drug by alternative metabolic routes. Therapeutic interventions are aimed at either increasing elimination of the parent drug or enhancing cytoprotective pathways to reduce the cytotoxic effects.



**Fig. 53.3 Pathways of paracetamol metabolism.** In overdose, the concentrations of 3'-phosphoadenosine 5'-phosphosulfate (*PAPS*; for sulfation) and glutathione (for cytoprotection) are depleted, and extensive macromolecular binding leads to hepatocellular necrosis. *N*-Acetylcysteine (and, historically, methionine) replenish glutathione to conjugate the toxic metabolite. *NAPQI, N*-Acetyl-*p*-benzoquinone imine; *UDPGA,* uridine diphosphate glucuronic acid.

oxidation of paracetamol to NAPQI (see Fig. 53.3). Once the hepatic glutathione is depleted, this toxic metabolite binds covalently to proteins and causes oxidative stress and cell necrosis. This biochemical mechanism explains:

- the site of toxicity (centrilobular necrosis in the liver because of the large amounts of cytochrome P450 present);
- the increased toxicity seen in individuals treated with inducers of cytochrome P450 (especially alcohol-related induction of CYP2E1);
- the increased toxicity seen in individuals with low hepatic stores of glutathione due to poor nutrition;
- the successful treatment of paracetamol overdose with acetylcysteine, which provides an additional source of thiol groups for inactivation of NAPQI (see the discussion of the treatment of specific drug poisonings later in the chapter).

#### Cyclophosphamide

Cyclophosphamide and ifosfamide are anticancer drugs. Their highly toxic metabolites bind to DNA as part of their mechanism of action, but they are eliminated in the urine and cause haemorrhagic cystitis due to cell damage in the bladder (see Chapter 52). This adverse effect can be prevented by prior treatment with mesna (mercaptoethane sulfonic acid), which possesses both a thiol group for cytoprotection and a highly polar sulfonic acid group, which results in high renal excretion and efficient delivery to the bladder epithelium. Mesna is usually given either orally 2 hours before the cyclophosphamide or ifosfamide or intravenously at the same time, in order to cover the period of maximum urinary excretion of their toxic metabolites. It is not known whether mesna also protects against bladder cancer, which can arise after initial treatment with cyclophosphamide with a peak incidence after 3 to 9 years.

#### Isoniazid

Isoniazid, an antibacterial drug used for the treatment and prevention of tuberculosis (see Chapter 51), causes hepatitis in about 1% to 3% of treated individuals. This is believed to result from the formation of a reactive metabolite, *N*-acetylhydrazine, which is produced by acetylation followed by oxidative metabolism. The biochemical basis for the susceptibility of some individuals to the hepatotoxic metabolite is not known. Fast acetylators (see Chapter 2) form more *N*-acetylhydrazine than slow acetylators, but unexpectedly they are not more sensitive to isoniazid hepatotoxicity. Susceptibility may be related to the balance between further activation of *N*-acetylhydrazine (by oxidation) and its detoxification (by further acetylation); if this is so, then fast acetylators may produce more active metabolite but also inactivate it more rapidly.

#### Spironolactone

Spironolactone is a potassium-sparing diuretic that competes with aldosterone for its mineralocorticoid receptor (see Chapter 14). It is oxidised by cytochrome P450 in the testes and the metabolite formed binds to and destroys testicular cytochrome P450; this decreases the cytochrome P450-dependent metabolism of progesterone to testosterone. This effect, combined with an antiandrogenic action at receptor sites (pharmacological toxicity), can result in gynaecomastia and decreased libido. The biochemical and pharmacological toxicity of spironolactone is not shared by eplerenone; this is a more selective mineralocorticoid receptor antagonist, and unlike spironolactone, it does not produce a metabolite that interferes with the cytochrome P450-dependent metabolism of progesterone to testosterone. Eplerenone therefore does not share the risk of gynaecomastia and reduced libido seen with spironolactone.

#### Aromatic Amines and Nitrites

Aromatic amines, such as the antileprosy drug dapsone and some antimalarials (e.g. primaquine), are oxidised in the liver to active metabolites, which are released into the circulation, where they can affect erythrocytes, causing methaemoglobinaemia and/or haemolysis.

#### Methaemoglobinaemia

In the erythrocyte, the active metabolite interacts with molecular oxygen (O<sub>2</sub>), which then oxidises haemoglobin (Fe<sup>2+</sup>) to methaemoglobin (Fe<sup>3+</sup>) and also oxidises the active metabolite itself (Fig. 53.4A). Because of the large amounts of haemoglobin, compared with the amount of drug given, this would be inconsequential were it not for the fact that the oxidised active metabolite can be recycled back to the active metabolite by reduction with nicotinamide adenine dinucleotide phosphate (reduced NADPH) in the erythrocyte. Consequently, each molecule of the metabolite undergoes repeated redox cycling and can oxidise many molecules of haemoglobin. NADPH is formed during the metabolism of glucose 6-phosphate by glucose-6-phosphate dehydrogenase (G6PD; see Fig. 53.4A). The activity of G6PD and hence the amounts of NADPH are determined genetically. There is a high incidence of G6PD deficiency in people of African ancestry and a very high incidence in people of Mediterranean ancestry, such as Kurdish people. Such individuals have limited NADPH reserves and a low ability to reduce the oxidised active drug metabolite back to the active metabolite. As a consequence there is limited redox cycling of the active drug metabolite and they are less susceptible



Fig. 53.4 Mechanisms of methaemoglobinaemia and haemolysis. In methaemoglobinaemia (A), the active drug metabolite oxidises haemoglobin (*Hb*) to methaemoglobin (*MetHb*). The oxidised active metabolite is repeatedly recycled to the active metabolite by reduced nicotinamide adenine dinucleotide phosphate (*NADPH*) generated by glucose-6-phosphate dehydrogenase (*G6PD*). In (B), the depletion of NADPH as a result of methaemoglobinaemia depletes levels of the reduced glutathione (*GSH*) necessary for maintaining erythrocyte cell membrane integrity; the build-up of oxidised glutathione dimers (*GS–SG*) causes membrane damage and haemolysis. The active metabolite may also react directly with glutathione to lower GSH concentrations.

to drug-induced methaemoglobinaemia, but they are more susceptible to haemolysis.

#### Haemolysis

This arises from an increase in erythrocyte membrane permeability associated with accumulation of oxidised glutathione (GS–SG in Fig. 53.4B) in the erythrocyte. Oxidised glutathione accumulates because redox cycling of the drug metabolite linked to the formation of methaemoglobin (see Fig. 53.4A; along with the previous discussion) results in depletion of NADPH, which is the cofactor essential for maintaining glutathione in the reduced state. Individuals with G6PD deficiency are very susceptible to haemolysis caused by aromatic amines and nitrites because the low endogenous levels of NADPH are rapidly depleted and oxidised glutathione cannot then be reduced. The geographical distribution of G6PD deficiency is probably related to the protection it confers against severe malaria, so it is ironic that the amino groups associated with this form of toxicity are often present in drugs used to treat tropical infections, such as primaguine for malaria (see Chapter 51 and Box 47.6).

# **IMMUNOLOGICAL TOXICITY**

Immunological toxicity is frequently referred to as 'drug allergy' and is the form of toxicity with which people may be most familiar (e.g. penicillin allergy). Immunological mechanisms are implicated in many common adverse effects such as rashes and fever, but may also be involved in organ-directed toxicity. The term *allergy* may not be strictly correct for all forms of immunologically mediated toxicity, but it is probably better than 'hypersensitivity', which has also been used to describe an elevated sensitivity to any mechanism or effect.

Low-molecular-weight compounds (<1100 Da) are not able to elicit an allergic response directly but can do so after the compound or a metabolite has formed a stable or covalent bond with a macromolecule (haptenization). Covalent binding to a normal protein produces a hapten–protein conjugate that is recognised as foreign by the immune system and can act as an antigen (Fig. 53.5).

Immunologically mediated toxicity can show a wide range of characteristics:

- Once the antibody has been produced, even very small amounts of antigen can trigger a reaction. A maximal adverse reaction may therefore be generated even at the lower limit of the clinical dosage range, giving the appearance that the reaction is unrelated to dose.
- There is normally a delay of at least 3 days between initial exposure and the development of symptoms; the first dose of a subsequent treatment may then give an immediate reaction.
- Cross-reactivity is possible among different compounds that share the same antigenic determinant

or structural component involved in antibody recognition, such as the penicilloyl group of the penicillin family.

- The incidence varies widely among different drugs; for example from about 1 in 10,000 people for phenylbutazone-induced agranulocytosis to 1 in 20 for ampicillin-related skin rashes.
- The response is idiosyncratic but genetically controlled. Individual responsiveness cannot be predicted, but individuals who have a history of atopic disease are more likely to develop a 'drug allergy'.

The effects produced may be subdivided into the classic four types of allergic reaction, of which types 1 and 4 are the most common (see Chapter 38).

- *Type 1: immediate or anaphylactic reactions.* These are mediated by IgE antibodies bound to their high-affinity receptors on the surface of basophils and mast cells; the release of stored mediators (e.g. histamine) and the de novo synthesis of lipid mediators (e.g. prostanoids and leukotrienes) produces effects that include urticaria, bronchoconstriction, hypotension, oedema and shock. A skin-prick challenge test usually produces an acute inflammatory response. Examples of drugs having this type of effect are penicillins and peptide drugs, such as crisantaspase (asparaginase).
- *Type 2: cytotoxic reactions.* The antigen is formed by the drug binding to a cell membrane; subsequent interaction of this antigen with circulating IgG, IgM or IgA antibodies activates complement and initiates cell lysis. Depending on the carrier cell to which the drug is bound, cell lysis can result in thrombocytopenia (e.g. cephalosporins, quinine), neutropenia (e.g. metronidazole) or haemolytic anaemia (e.g. penicillins, rifampicin and possibly methyldopa).
- *Type 3: immune-complex reactions.* The antigen–antibody interaction occurs in serum, and the complex formed is deposited on endothelial cells, basement membranes and elsewhere to initiate a more localised inflammatory reaction, such as arteritis or nephritis. Examples include serum sickness (urticaria, angioedema, fever) with penicillins, lupus erythematosus-like syndrome with hydralazine and procainamide (especially in slow acetylators), and possibly NSAID-related nephropathy.
- *Type 4: cell-mediated delayed-type reactions.* Reaction to the eliciting exposure is delayed. The reactions occur mostly in skin through the formation of an antigen between the drug (hapten) and skin proteins. This is followed by an infiltration of sensitised T-lymphocytes, which recognise the antigen and release cytokines to produce local inflammation, oedema and irritation (e.g. contact dermatitis).

In addition to true immunologically mediated toxicity, there are examples of 'pseudoallergic' reactions to drugs such as aspirin-intolerant asthma, which shows many of the characteristics listed previously



Fig. 53.5 Mechanisms of drug allergy. The drug or drug metabolite binds as a hapten to an endogenous macromolecule. The initial exposure produces an antigenic hapten–protein complex, which results in the production of antibodies via B-cell clonal expansion and differentiation, regulated by cytokines from activated T-helper cells. The eliciting exposure occurs later (usually at least 3 days later, during which time therapy may or may not be continuing); antigen–antibody interaction then exposes a complement-binding site, which triggers the reaction. The nature of the immunological reaction depends on the nature of the antibody and/or localisation of the antigen. Treatment is with immunosuppressant drugs (see Chapter 38).

(e.g. induction of asthma, rhinitis or conjunctivitis only in susceptible individuals), but for which a true immunological basis has not been demonstrated. In aspirin-intolerant people, cross-sensitivity to a variety of NSAIDs with widely differing chemical structures in fact suggests a pharmacological mechanism of intolerance based on their common inhibition of cyclooxygenase-1 (see Chapter 29).

It has been estimated that drug allergy accounts for about 10% of ADRs, but that severe reactions are rare. For example, only about 1 in 2000 individuals develop an anaphylactic reaction to penicillins, but about a half of these are sufficiently serious to warrant hospital treatment (see Chapter 39). However, given the large numbers of people taking drugs such as penicillins, drug allergy is an important source of iatrogenic morbidity.

#### THE YELLOW CARD SCHEME

Reporting suspected ADRs is an important part of the postmarketing safety monitoring of drugs newly licensed for clinical use, and also that of wellestablished drugs used in particular patient groups (see Chapter 3). Healthcare professionals in the United Kingdom should report suspected ADRs or problems with defective drugs or medical devices to the Medicines and Healthcare Products Regulatory Agency (MHRA) using the Yellow Card scheme. Reports are most conveniently submitted online (www.mhra.gov .uk/yellowcard) or with the free smartphone app (IOS and Android). They can also be submitted by telephone (UK freephone number 0800 731 6789, office hours only) or by post using the cards included in the print editions of the BNF, the BNF for Children and the Nurse Prescribers' Formulary. It is not necessary to be certain

# Box 53.1 Important Categories of Suspected Adverse Drug Reactions Requiring Yellow Card Reporting to the Medicines and Healthcare Products Regulatory Agency.

- ADRs to black triangle (▼) products (new drugs being intensively monitored to confirm their risk–benefit profile)
- ADRs to new combinations of drugs, new routes of administration, new formulations or new indications for established drugs
- Serious ADRs with established medicines (fatal, life-threatening, disabling, incapacitating, congenital abnormality, requiring or extending hospitalisation)
- ADRs in children
- ADRs in elderly people
- Delayed drug effects
- Congenital anomalies
- ADRs to herbal remedies
- · Adverse incidents or problems with medical devices
- Problems with drugs or medical devices suspected to be defective or counterfeit
- Safety concerns with e-cigarettes or their e-liquid refills

ADR, Adverse drug reactions; MHRA, Medicines and Healthcare Products Regulatory Agency (www.mhra.gov.uk).

about the relationship with the drug to report a suspected ADR and reporting an ADR does not breach patient confidentiality. The MHRA is particularly interested in receiving Yellow Card reports when suspected ADRs are serious; appear in children, older people or in pregnancy; or are associated with new drugs (typically within 5 years of first marketing, identified by the black triangle  $\checkmark$  symbol) or with new indications or formulations, or with drugs or medical devices suspected of being defective or counterfeit (Box 53.1). The minimum information which must be completed on a Yellow Card is:

- at least one piece of patient information, which can be any of: age, sex, weight, initials, height, or a local identification number;
- the name(s) of the suspected drug(s) or medical device(s);
- a brief description of the adverse reaction or problem;
- contact details of the reporter.

Currently about 20,000 Yellow Card reports are made each year, with about 10% from members of the general public, and the MHRA now publishes details of Yellow Card reports online in interactive Drug Analysis Profiles (iDAP) (http://yellowcard.mhra.gov.uk/iDAP/).

# SELF-POISONING AND DRUG OVERDOSE

Self-poisoning can be either accidental or deliberate. Approximately 200,000 episodes are recorded in hospital each year in England and Wales, although many more are managed in the community. Accidental poisoning is common in children under 5 years of age, when it often involves household products as well as medicines. A second peak of self-poisoning occurs in the teens and early twenties, when it is more frequent in women. The highest incidence is currently in the 40 to 49 age-group, then it progressively falls with increasing age. Most deliberate self-poisoning represents 'parasuicide' or attention-seeking behaviour. Deaths from intentional self-poisoning and accidental overdose average about 4400 each year in England and Wales, with about two-thirds due to drug misuse, primarily of nonprescribed opioids (mostly heroin or morphine), although commonly more than one drug is implicated. About 60% to 80% of drug-related deaths are accidental, with true suicide attempts by self-poisoning accounting for about 1000 deaths a year. It is important to recognise that the severity of poisoning bears little relationship to suicidal intent and that deliberate drug overdose is a relatively rare method of suicide.

The drugs most frequently used for intentional self-poisoning are benzodiazepines, analgesics and antidepressants, often taken together with alcohol. It is important to attempt to identify the cause of the poisoning because it may determine the most suitable treatment. However, it should be remembered that information from the person about which drug was taken, the amount taken and the time of overdosing is frequently unreliable. TICTAC (www.tictac.org.uk) is a searchable online database of the shapes, colours and markings of tablets and capsules. It can enable registered users to identify the drug tablet or capsule (and its strength), which can be helpful in determining what and how much may have been taken.

# MANAGEMENT PRINCIPLES

The emergency treatment of poisoning is described in the BNF. Additional sources of information in the UK include the National Poisons Information Service (www. npis.org) and its electronic database TOXBASE (www. toxbase.org), which has information on household products and on industrial and agricultural chemicals as well as drugs, and is available to registered users.

The management of drug overdose outlined in the following sections has a number of principal aims (Fig. 53.6).

# MANAGING ADVERSE EFFECTS

#### **Immediate Measures**

There are certain immediate measures required when someone presents with a possible drug overdose or poisoning:

- Remove the person from contact with the poison if appropriate (e.g. gases, corrosives).
- Assess vital signs (i.e. pulse, respiration and pupil size) and inspect the person for injury.
- Ensure a clear airway. If breathing but unconscious, place in the coma position.
- Obtain a clear history, if possible.
- Preserve any evidence (e.g. tablets, bottles, written notes, etc.).



Fig. 53.6 Principles underlying the management of drug overdose.



Fig. 53.7 Main effects of overdose requiring supportive measures.

# **Supportive Measures**

Examples of unwanted effects seen in drug overdose are shown in Table 53.4. A number of the effects will require supportive measures (Fig. 53.7).

# Cardiac or respiratory arrest

This may result from a toxic effect of the drug on the heart, from depression of the respiratory centre or from metabolic disturbance. Assisted ventilation and airway protection, ranging from simple airway manoeuvres to endotracheal intubation and ventilation, may be required. In some circumstances, recovery is possible even after prolonged resuscitation.

#### Hypotension

Low blood pressure (BP) is common in severe poisoning with central nervous system (CNS) depressants. A systolic BP below 70 mm Hg can cause irreversible brain damage, but any degree of low BP should be treated if it is accompanied by poor tissue perfusion or low urine output. Depression of the vasomotor centre can cause arterial dilation and peripheral venous pooling, reducing cardiac preload. Intravenous fluid resuscitation, using an isotonic crystalloid solution such as 0.9% sodium chloride, should be given. A vasoconstrictor such as noradrenaline (norepinephrine; Chapter 4) may rarely be required. If hypotension occurs in association with a normal or raised cardiac preload, this suggests myocardial depression. A positive inotropic drug such as the  $\beta_1$ -adrenoceptor agonist dobutamine (Chapter 7) may then be necessary.

## Arrhythmias

Disturbances of cardiac rhythm are most common during poisoning with tricyclic antidepressants, certain antipsychotic drugs and some antihistamines. They should only be treated if severe. Ventricular

COMPLICATION	CAUSE	EXAMPLES OF POISONS
Cardiac arrest	Direct cardiotoxicity	Many
	Hypoxia	Many
	Electrolyte/metabolic disturbance	Many
CNS depression		Many
Seizures	Direct neurotoxicity	Tricyclic antidepressants, theophylline
	Hypoxia	Many
Hypotension	Myocardial depression	β-Adrenoceptor antagonists, tricyclic antidepressants
	Peripheral vasodilation	Many
Arrhythmia	Direct cardiotoxicity	β-Adrenoceptor antagonists, tricyclic antidepressants, verapamil, digoxin
	Hypoxia	Many
	Electrolyte/metabolic disturbance	Many
Renal failure	Hypotension	Many
	Rhabdomyolysis	Opioids, hypnotics, ethanol, carbon monoxide
	Direct nephrotoxicity	Paracetamol, heavy metals
Hepatic failure	Direct hepatotoxicity	Paracetamol, carbon tetrachloride
Respiratory depression	Direct neurotoxicity	Sedatives, hypnotics, opioids

 Table 53.4
 Complications of Acute Poisonings.

arrhythmias causing hypotension often require intervention, but caution should be exercised if there is a long QT interval on the electrocardiogram as the tachycardia often fails to respond to standard antiarrhythmic drugs. It is essential to correct metabolic derangements that predispose to arrhythmias; for example, hypothermia, hypoxia, hypercapnia, hypokalaemia, hyperkalaemia and acidosis. If there is widening of the QRS complex after overdose of a tricyclic antidepressant, then intravenous sodium bicarbonate should be given to reduce the risk of serious arrhythmias.

#### Seizures

These may be caused by a treatable underlying cause such as hypoxia, hypoglycaemia or hypocalcaemia, or they may be a direct toxic effect of the drug on neuronal function. The initial treatment of choice is lorazepam or diazepam intravenously, or intramuscular or buccal midazolam if the intravenous route is unavailable (see Chapter 20). General anaesthesia and invasive ventilation may be required if seizures cannot be controlled. Phenytoin is not recommended for seizures caused by drug toxicity.

#### Renal failure

Kidney damage is usually a consequence of prolonged hypotension. Other causes include a direct nephrotoxic effect of the drug and renal damage produced by the products of toxic muscle necrosis (rhabdomyolysis).

#### Hepatic failure

This usually results from the direct toxic effects of specific agents, such as paracetamol.

#### Impaired temperature regulation

Hypothermia is common and can be caused by depression of the metabolic rate with reduced heat production, and by increased heat loss from cutaneous vasodilation. It is common with phenothiazine antipsychotics and barbiturates but is seen with any prolonged coma. Controlled rewarming reduces the risk of serious ventricular arrhythmias. In contrast, CNS stimulants such as ecstasy can produce hyperthermia, as can aspirin, which uncouples cellular oxidative phosphorylation.

#### **REDUCING TOXICITY**

The adverse effects can be reduced by:

- minimising further drug absorption;
- maximising drug elimination;
- negating effects with antidotes, etc.

#### **Prevention of Absorption of Poisons**

Activated charcoal is the main method of preventing further absorption of drug from the gut. Gastric aspiration and lavage, or inducing emesis with an irritant such as ipecacuanha, are not recommended as they have little effect on drug absorption and increase the risk of aspiration of gastric contents.

#### Table 53.5 Drug Adsorption Onto Activated Charcoal.

DRUGS/COMPOUNDS NOT ADSORBED	DRUGS/COMPOUNDS Adsorbed
Acids	Aspirin
Alkalis	Benzodiazepines
Cyanide	Carbamazepine
DDT (insecticide)	Calcium channel blockers
Ethanol	Dapsone
Ethylene glycol (antifreeze)	Digoxin
Ferrous salts	Ecstasy (MDMA)
Lead	Paraquat (herbicide)
Lithium	Phenobarbital
Mercury	Quinine
Methanol	Theophylline
Organic solvents	Tricyclic antidepressants

MDMA, Methylenedioxymetamfetamine.

#### Activated charcoal

This formulation of charcoal has a large adsorbent area and is given as a suspension in water. Activated charcoal adsorbs or binds the drug and retains it in the gastrointestinal lumen. Not all drugs are adsorbed onto charcoal (Table 53.5). About 10 g of charcoal is required for every 1 g of poison, which makes it impractical for poisons that may be ingested in large quantities. For suitable drugs, an initial dose of 50 g of charcoal for adults can prevent absorption if given within 1 hour of drug ingestion (later after poisoning with modified-release preparations, or drugs with antimuscarinic properties that delay gastric emptying). Charcoal should not be given to drowsy or comatose persons because of the risk of aspiration into the lungs. Constipation is the major unwanted effect of charcoal; charcoal should not be given in the absence of bowel sounds because of the risk of bowel obstruction.

#### **Elimination of Poisons**

There are three principal methods of enhancing elimination of the drug: multiple-dose activated charcoal, renal elimination, and extracorporeal clearance (haemodialysis or haemoperfusion).

#### Multiple-dose activated charcoal (MDAC)

Repeated administration of 50 g of activated charcoal every 4 hours for up to 24 to 36 hours achieves further retention of the adsorbed drug in the small intestine. The drug is continuously being transferred in both directions across the gut wall, with the concentration gradient normally favouring net absorption owing to the high concentration free in solution within the gut lumen. When drug in the bowel binds onto the charcoal, the free concentration is lowered and this can result in net transfer from the body into the gut, thereby enhancing elimination of the compound. MDAC may be useful in severe overdose with certain drugs, including phenobarbital, carbamazepine, dapsone, quinine and theophylline.

#### Renal elimination

Altering urine pH while maintaining normal urine flow can be effective in increasing the renal elimination of drugs that are weak electrolytes. Modification of urine pH to increase the extent of ionisation of the drug will reduce reabsorption from the renal tubule (see Chapter 2). Weak acids such as salicylates are excreted more readily when urine is alkalinised (alkaline diuresis, achieved by giving intravenous sodium bicarbonate).

Forced diuresis with intravenous infusion of large quantities of fluid was advocated in the past for drugs or toxic metabolites that are mostly eliminated unchanged by the kidney. However, serious disturbances of fluid or electrolyte balance can occur and it is no longer recommended.

#### Haemodialysis and haemoperfusion

These are reserved for the most severely poisoned individuals. The techniques are most successful if a large proportion of the body burden of the drug is retained in the plasma and available for removal (i.e. the drug has a low volume of distribution). Haemodialysis relies on diffusion of free drug from the blood plasma across a semipermeable membrane into the dialysis fluid; it is used for barbiturates, metformin, salicylates, methanol, ethylene glycol, sodium valproate and lithium. In haemoperfusion, the blood is passed through a cartridge containing charcoal or an adsorbent resin. This technique is better suited to drugs that are either highly bound to plasma proteins or lipophilic; it is used for digoxin, theophylline, paracetamol, phenytoin and others.

### **Specific Antidotes**

Antidotes are available for only a minority of the drugs commonly involved in poisoning cases. Some examples are given in the following sections.

#### Competitive receptor antagonists

- Atropine acts at muscarinic receptors to block the parasympathetic effects of excess acetylcholine caused when organophosphate insecticides irreversibly inhibit acetylcholinesterase. It is given by intravenous or intramuscular injection.
- Naloxone acts at opioid receptors to reverse the effects of opioid analgesics. Its short half-life, compared with those of most opioids, means that repeated injections or preferably an infusion is usually needed. Naloxone can precipitate acute withdrawal in someone who is dependent on opioids.
- Flumazenil is an antagonist of benzodiazepine action on GABA<sub>A</sub> receptors. It can be given intravenously in benzodiazepine overdose, but it is rarely

needed or appropriate. Pure benzodiazepine overdose is best managed with supportive care. As part of a mixed overdose with proconvulsant drugs (e.g. tricyclic antidepressants), and in people with benzodiazepine dependence, flumazenil can induce seizures which may be difficult to treat.

#### Chelating agents

Chelating agents act by forming a complex with the drug or chemical, thereby reducing its free (active) concentration:

- for poisoning with iron salts, desferrioxamine mesilate is given by intravenous infusion;
- for cyanide poisoning, dicobalt edetate is given by intravenous injection, or if unavailable, sodium nitrite together with sodium thiosulfate are given by intravenous injection;
- for lead poisoning, sodium calcium edetate is given by intravenous infusion.

#### Compounds that affect drug metabolism

- Fomepizole (unlicensed in the UK, but available on a named-patient basis) is a competitive inhibitor of alcohol dehydrogenase given by intravenous injection as the treatment of choice in methanol or ethylene glycol poisoning; it blocks the formation of their toxic metabolites formaldehyde and glycolaldehyde, respectively. Alternatively, with caution, their formation can be slowed with oral or intravenous ethanol, which is a preferential substrate for alcohol dehydrogenase with less toxic metabolites.
- Acetylcysteine provides a substrate for conjugation of the cytotoxic metabolite of paracetamol (NAPQI), when the natural conjugating ligand, glutathione, is depleted (discussed later).

#### **Antibodies**

Digoxin can be neutralised in severe poisoning by specific antibody fragments. The antibodies are raised in sheep and cleaved to remove the antigenic crystalline (Fc) portion of the molecule while retaining the specific antigen-binding fragment (Fab).

#### SOME COMMON SPECIFIC POISONINGS

#### Paracetamol

Paracetamol overdose can be fatal, with about 150 deaths occurring each year in England and Wales. Metabolism of paracetamol takes place in the liver, mainly producing nontoxic conjugates (see Fig. 53.3). A small amount is oxidised by the cytochrome P450 system to the reactive intermediate NAPQI, which is normally inactivated by conjugation with the thiol group of glutathione. When hepatic glutathione is depleted by paracetamol overdose, oxidative stress coupled with NAPQI-mediated denaturation of proteins produces hepatic necrosis. Similar processes in the kidney can cause renal tubular necrosis.

In the first 24 hours following an overdose there are few symptoms, apart from nausea, vomiting, abdominal pain and sweating, which usually resolve. Liver damage begins within 24 hours of a large overdose, producing right upper quadrant pain and tenderness. Jaundice is apparent by 36 to 48 hours, and liver damage is maximal by 3 to 4 days. Severe liver failure, requiring transplantation for survival, can ensue. The most sensitive measures of liver damage are the prothrombin time, or the international normalised ratio (INR), and the plasma unconjugated bilirubin. Renal failure is seen in about a quarter of cases with severe liver damage.

Activated charcoal in large doses is recommended within 2 hours of a potentially serious paracetamol overdose. Because antidotes are most effective when given early, blood should be analysed for paracetamol if there is any suspicion of poisoning. Blood should be taken at 4 hours or more after the suspected overdose. Earlier sampling is not informative because a low plasma level at that time could reflect incomplete absorption of a large overdose, rather than ingestion of a small overdose. The antidote acetylcysteine is used in paracetamol poisoning to replace glutathione as a thiol donor in the liver; glutathione itself is not used because it cannot enter liver cells from the blood. When started within 8 hours of overdose, acetylcysteine prevents serious hepatotoxicity.

The decision to start acetylcysteine is guided by the nature and timing of the paracetamol overdose and, in some cases, the plasma paracetamol concentration. For people who present within 8 hours of ingesting a potentially toxic dose of paracetamol (>150 mg/kg), acetyl-cysteine should be offered if their plasma paracetamol concentration is on or above the treatment line on the paracetamol treatment graph (Fig. 53.8). If there is likely to be a delay of more than 8 hours in obtaining

the plasma paracetamol concentration, or if the person presents 8 to 24 hours after ingestion of a potentially toxic dose, acetylcysteine should be started immediately. If the person presents more than 24 hours after a potentially toxic paracetamol overdose, acetylcysteine should be started immediately if there is any clinical or biochemical evidence of liver injury, or if paracetamol is still detectable in the plasma.

The plasma paracetamol concentration is uninformative if the timing of ingestion is uncertain, or if the overdose occurred in a staggered fashion (defined as a period of more than 1 hour). In these cases, acetylcysteine should be offered immediately if more than 75 to 150 mg/kg has been taken in any 24-hour period.

#### Salicylates

Salicylate poisoning is now uncommon in the UK. Aspirin is hydrolysed rapidly to salicylic acid after absorption, but further metabolism by conjugation with glycine is rate-limited. Symptoms of toxicity are nausea, vomiting, abdominal pain, tinnitus, deafness, hyperventilation and sweating. Agitation frequently occurs in adults, but children become comatose. The chain of metabolic events produced by aspirin is shown in Fig. 53.9.

Activated charcoal is recommended for reducing absorption if given early (within 1 hour). Correction of fluid, electrolyte and acid–base balance is fundamental to successful management; a fluid deficit of 3 to 4 L is not unusual in severe poisoning. Forced alkaline diuresis is no longer advocated to enhance salicylate elimination (discussed previously); simple



Fig. 53.8 Paracetamol plasma concentration and time since overdose. Individuals with paracetamol plasma concentrations above the treatment line require intravenous acetylcholine.



Fig. 53.9 The metabolic consequences of salicylate overdose.

alkalinisation of the urine with intravenous sodium bicarbonate solution to raise the urinary pH to 7.5–8.5 is effective and safer. Haemodialysis is the treatment of choice in severe poisoning, especially if there is severe metabolic acidosis.

#### **Antidepressants**

Deaths in England and Wales from poisoning with tri*cyclic antidepressants* (TCA) have fallen from a peak of nearly 500 per year in the 1990s to under 200 in 2019. Oral activated charcoal is recommended if the person presents within 1 hour of ingestion. The antimuscarinic effects of TCA delay gastric emptying, suggesting that it may also be useful for later presentations (up to 4 hours after ingestion), although this is not supported by clinical trial evidence. Drowsiness and confusion are followed by seizures, respiratory failure and coma in more severe poisoning. Cardiac depression can produce hypotension. Serious arrhythmias such as ventricular tachycardia can occur, and ECG monitoring is recommended for at least 24 hours. If there is widening of the QRS complex on the ECG, then intravenous infusion of sodium bicarbonate can prevent or treat arrhythmias, but antiarrhythmic drugs should usually be avoided. Seizures can be controlled with intravenous lorazepam or diazepam.

Poisoning with a *selective serotonin reuptake inhibitor* (SSRI) may produce nausea, vomiting, tremor, tachycardia, drowsiness and convulsions. Oral activated charcoal within the first hour may reduce absorption. Rarely, serotonin syndrome occurs in severe poisoning, with symptoms including neuropsychiatric disturbance, muscle rigidity, diarrhoea and hyperthermia. Management is supportive with intravenous lorazepam or diazepam for convulsions.

#### **Opioid Analgesics**

The triad of signs characteristic of opioid overdose are:

- respiratory depression;
- pinpoint pupils;
- impaired consciousness.

They can be reversed rapidly by administration of naloxone (see Chapter 19), which is a competitive antagonist at opioid µ-receptors. After an initial intravenous bolus dose of naloxone, it is often necessary to give repeated boluses or a continuous infusion because the half-life of naloxone is very short compared with those of most opioids. In poisoning with buprenorphine, the effect of naloxone is often incomplete, and assisted ventilation may also be needed.

Acute poisoning with organophosphorus insecticides or chemical nerve agents can produce signs that are similar to those with opioids (including pinpoint pupils, impaired consciousness and respiratory failure), but naloxone will have no effect. Sweating, hypersalivation and lacrimation are distinguishing features (the 'wet opioid toxidrome').

#### **Beta-Adrenoceptor Antagonists**

Poisoning by  $\beta$ -adrenoceptor antagonists usually presents with bradycardia and hypotension. More severe effects, including coma and convulsions, can occur with some drugs in this class. Treatment of the bradycardia is with intravenous atropine, which may increase the blood pressure. Cardiogenic shock that does not respond to atropine should initially be treated with intravenous glucagon, which has a positive inotropic effect. In severe poisoning, high-dose insulin may also be used to increase cardiac output. The mechanism of this effect is not fully understood, but may involve improving the supply of metabolic substrates to cardiac myocytes. Glucose is given as needed to prevent insulin-induced hypoglycaemia.

#### Ecstasy

Ecstasy (3,4-methylenedioxymetamfetamine, MDMA) toxicity is characterised by tachycardia, hyperreflexia, hyperpyrexia and initial hypertension followed by hypotension. In severe cases, delirium, seizures, coma and cardiac dysrhythmias may occur. MDMA is metabolised by CYP2D6, and genetic differences in this enzyme may result in wide interindividual differences in susceptibility to the toxic effects of MDMA. Some people may present with hyponatraemia, possibly as a result of drinking excessive water as a precaution to prevent dehydration. Treatments include activated charcoal, but only for up to 1 hour after ingestion as MDMA is absorbed rapidly, and diazepam for agitation or seizures.

#### SELF-ASSESSMENT

## **TRUE/FALSE QUESTIONS**

- 1. The therapeutic index (TI) is the ratio between the dose of a drug that results in significant toxicity and the dose that provides a therapeutic response.
- 2. Mesna reduces the cytotoxic effects of ifosfamide on the bladder.
- 3. Acidification of the urine (acid diuresis) enhances excretion of aspirin.
- 4. Flumazenil is a GABA<sub>A</sub> receptor antagonist.
- 5. Fomepizole accelerates the breakdown of methanol.
- 6. Deaths from overdose with tricyclic antidepressants are rising in England and Wales.

#### **CASE-BASED QUESTIONS**

A 70-year-old man with a history of depressive illness and alcohol abuse was prescribed a compound analgesic containing 30 mg codeine phosphate and 500 mg paracetamol (co-codamol 30/500) for back pain. Eight hours after collecting his prescription, he was seen as
an emergency by his GP, who suspected that the man had taken an overdose and sent him to hospital.

- 1. What features might be seen soon after the overdose and during the subsequent 24 to 48 hours?
- 2. Outline suitable pharmacological treatments that should be offered.
- 3. Would co-dydramol have been a safer alternative to prescribe?

# **ANSWERS**

# **TRUE/FALSE ANSWERS**

- 1. **True.** TI is usually taken as the ratio of the dose required to produce a toxic effect in 50% of patients divided by the dose required to produce the therapeutic effect in 50% of patients. A high TI is a desirable property of a drug as it indicates that significant toxicity is unlikely at therapeutic doses.
- 2. **True.** Mesna (mercaptoethane sulfonic acid) is a thiol donor which protects against haemorrhagic cystitis when administered with the cytotoxic drugs ifosfamide or cyclophosphamide.
- 3. **False.** Acidification of the urine would enhance excretion of weak bases. Weak acids such as aspirin are ionised by alkalinisation of the urine (with intravenous sodium bicarbonate) so less is reabsorbed from the renal tubule.
- 4. **True.** Flumazenil is a competitive GABA<sub>A</sub> receptor antagonist that can be given intravenously in benzodiazepine overdose.
- 5. **False.** Fomepizole inhibits the metabolism of methanol (and ethylene glycol) by alcohol dehydrogenase, slowing the formation of toxic metabolites.
- 6. **False.** Deaths from poisoning with tricyclic antidepressants (TCA) in England and Wales have fallen since the 1990s in line with falling prescription rates of TCA.

# **CASE-BASED ANSWERS**

1. Initial features would be those of opioid overdosage caused by the codeine, with possible symptoms of respiratory depression, pinpoint pupils, coma and cardiovascular collapse. Later symptoms of nausea, abdominal pain and sweating (and, if untreated, jaundice) are due to liver damage caused by the toxic metabolite of paracetamol.

- 2. Naloxone is a rapid reversible antagonist of opioids at  $\mu$ -,  $\kappa$ - and  $\delta$ -receptors. It has a short half-life and may have to be given repeatedly or by infusion. Acetylcysteine conjugates with the hepatotoxic metabolite of paracetamol (NAPQI) and is most effective when given early after an overdosage; the risk of liver damage is related to the time of ingestion before treatment and the plasma paracetamol concentrations. Chronic alcohol consumption would increase the toxic effects of codeine and paracetamol; alcohol enhances the central depressant actions of the opioid and also induces cytochrome P450 enzymes, increasing formation of NAPQI and causing toxicity at lower paracetamol doses. The toxicity of paracetamol would also be increased by other cytochrome P450-inducing drugs.
- Co-dydramol contains paracetamol with the opioid dihydrocodeine and is likely to produce similar unwanted effects to co-codamol in overdose.

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DRUG	CHARACTERISTICS
Drugs Used to Treat Dru	g Toxicity and Drug Overdose
Acetylcysteine	N-Acetylated amino acid. Used for paracetamol overdose. Given by intravenous infusion.
Charcoal (activated)	Adsorbent. Taken as oral suspension for a range of drug overdoses (see Table 53.5); adsorbs drug within gut and reduces its absorption.
Ethanol	Can be used with caution for methanol or ethylene glycol poisoning.
Fomepizole	Alcohol dehydrogenase inhibitor. Given by intravenous injection for methanol or ethylene glycol poisoning; blocks formation of toxic metabolites formaldehyde and glycolaldehyde, respectively. Variable half-life. Ethanol can also be used, with caution.
Flumazenil	GABA <sub>A</sub> receptor antagonist. Used for benzodiazepine overdose. Given intravenously.
Naloxone	Opioid antagonist with rapid onset and short duration of action. Used to treat opioid overdose. Given by injection.
Drugs Used to Treat Toxi	icity Due to Environmental Chemicals
Iron Poisoning/Overload	
Desferrioxamine (deferoxamine) mesilate	Chelating agent. Given by continuous intravenous infusion. See also Chapter 47.
Cyanide Poisoning	
Dicobalt edetate	Chelating agent. Given by intravenous injection. Used only in cases of confirmed cyanide poisoning.
Hydroxocobalamin	Binds cyanide to form cyanocobalamin. Given by intravenous injection.
Sodium nitrite	Used (with sodium thiosulfate) if dicobalt edetate is unavailable. Given by intravenous injection.
Sodium thiosulfate	Converts cyanide to thiocyanate. Given by intravenous injection (with sodium nitrite) if dicobalt edetate is unavailable.
Heavy Metals	
Dimercaprol	Forms complexes with heavy metals. Largely superseded by other chelating agents. Given by intramuscular injection.
Sodium calcium edetate	Chelating agent. Used for poisoning by various metals, especially lead. Given by intravenous infusion.
Organophosphate Pestic	ides
Atropine sulphate	Muscarinic antagonist; reduces cholinergic effects of organophosphates. Also used in overdose with β-adrenoceptor antagonists. Given intravenously.
Pralidoxime	'Reactivates' acetylcholinesterase (see Chapters 4 and 27). Given by slow intravenous injection.

# Compendium of Drugs Used to Treat Drug Toxicity and Overdose, and Toxicity Due to Environmental Chemicals.

# **Substance Abuse and Dependence**

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# **Chapter Outline**

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Substance abuse is characterised by compulsive drugseeking and drug-taking behaviour and an inability to control intake (addiction); there may also be psychological craving and physiological symptoms of withdrawal when the drug becomes unavailable (dependence).

Dependence-inducing drugs are mind-modifying (psychotropic) substances. They may be taken recreationally, initially because of the pleasurable effect they produce, but later to avoid unpleasant withdrawal symptoms. Dependence produces different degrees of need for the drug, from mild desire to an intense craving. In some cases, individuals can become dependent on a drug that is initially prescribed to treat a medical problem.

# THE BIOLOGICAL BASIS OF DEPENDENCE

The mechanisms of drug dependence are relatively poorly understood but involve complex dysfunctional adaptations of the neurocircuits in the brain that subserve physiological motivation and reward processes. The mesocorticolimbic dopaminergic reward pathway is central to these processes and, depending upon the particular stimulus and the functional status of the individual, its activation can result in a spectrum of responses from slight mood elevation to intense pleasure or euphoria. Stimulation of the mesocorticolimbic reward pathway can result from a plethora of factors; for example, food intake, sexual activity and the controlled and occasional use of lifestyle drugs such as alcohol and nicotine.

# THE MESOCORTICOLIMBIC REWARD PATHWAY

The mesocorticolimbic reward pathway consists of several structures:

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- the ventral tegmental area, which projects to the nucleus accumbens via the medial forebrain bundle;
- the nucleus accumbens (involved in motivation);
- the amygdala (mediates association of reward with cues and negative reinforcement);
- the hippocampus (associated with memory and learning);
- the prefrontal cortex (involved in regulation of limbic reward systems and executive function such as self-control, salience attribution and awareness).

The reward pathway is activated by impulses arising in the ventral tegmental area, which are relayed through the medial forebrain bundle via the nucleus accumbens to the prefrontal cortex (Fig. 54.1). Activation of the ventral tegmental area results in dopamine release in the nucleus accumbens. Occasional and limited administration of most drugs of abuse causes release of dopamine either directly in the nucleus accumbens (e.g. cannabinoids, alcohol) or as a consequence of increased neural activity in the ventral tegmental area (e.g. cocaine, amfetamines), whereas opioids appear to cause dopamine release at both sites. Key cells in the nucleus accumbens are the medium spiny neurons that selectively express either dopamine D<sub>1</sub> receptors, stimulation of which increases intracellular cAMP, or dopamine D<sub>2</sub> receptors, stimulation of which reduces cAMP generation. Changes in the balance of  $D_1$  and D<sub>2</sub> receptor signalling within the nucleus accumbens may initiate processes that result in drug dependence, because with repeated use, the drug-related dopamine release becomes essential to maintain a 'normal' level of activity in the pathway. Other neurotransmitters are also important, including GABA acting at GABA receptors and glutamate acting at AMPA and NMDA receptors. Alterations in AMPA receptor subunit structure that enhance cell permeability to Ca<sup>2+</sup> ions



Fig. 54.1 The role of dopamine in reward pathways in the mesocorticolimbic system and the effects of drugs that cause dependence. The diagram illustrates only a small part of the complex mechanisms involved in the processes of reward and the ways that drugs can lead to dependence. Dopaminergic neurons in the ventral tegmental area release dopamine (DA) at the nucleus accumbens, increasing cAMP in medium spiny neurons and other cells via dopamine D<sub>1</sub> receptors. Drugs of abuse potential such as alcohol, amfetamines, cannabinoids, cocaine and opioids increase dopamine in the nucleus accumbens by acting locally on dopaminergic, glutamatergic, GABAergic, enkephalinergic and other pathways, or indirectly via the ventral tegmental area, or at both sites; the increased dopamine generation with chronic drug use causes gene transcriptional changes mediated by cAMP response element-binding protein (*CREB*) and other transcription factors, including ΔFosB. Transcription of brain-derived neurotrophic factor (*BDNF*) and other growth factors causes neuroadaptive changes in the mesocorticolimbic reward pathway and in other brain regions including the dorsal striatum, amygdala, hippocampus and frontal cortex. These neuroplastic changes are believed to underlie the dependence and withdrawal effects of the drugs. *cAMP*, Cyclic adenosine monophosphate; *GABA*, γ-aminobutyric acid.

increase the efficiency of transmission and are implicated in long-term potentiation of synaptic strength. Chronic exposure of the mesocorticolimbic system to dependence-inducing drugs eventually leads to neuroadaptive changes. Upregulation of intraneuronal cAMP in D<sub>1</sub>-type medium spiny cells causes expression of transcription factors including cAMP response element-binding protein (CREB), nuclear factor (NF)- $\kappa$ B and  $\Delta$ FosB. In animal models  $\Delta$ FosB activity is both necessary and sufficient for neuroplastic changes in response to dependence-inducing drugs. These changes may be mediated by gene transcription of brain-derived neurotrophic factor (BDNF), which can regulate the number of dendrites on various neurons. Changes in the reward and other pathways may therefore become neurally 'imprinted', even if the causative drug is stopped for long periods, which might explain the vulnerability to relapse after detoxification. There are probably genetic and epigenetic influences on the neurochemical events involved in the reward pathways that also increase susceptibility to addiction.

Neuroplastic changes triggered by drugs occur in many structures associated with the mesocorticolimbic pathway, such as the dorsal striatum (a region implicated in habits, routines and conditioned responses), the amygdala, the hippocampus and the prefrontal cortex. Drug craving is influenced by the amygdala, particularly the anxiety and negative affect involved in acute withdrawal. Conditioned responses provide powerful cues to drug-taking in specific social circumstances, and this conditioning is reinforced by aspects of the drug-taking process. Eventually, becoming conditioned to fear withdrawal effects that can be relieved by taking the drug may lead to any source of stress or frustration becoming a cue for drug use. Dependence is associated with recruitment of stress systems in the brain on drug withdrawal, with an elevation of corticotropin-releasing hormone (CRH) and noradrenaline, and suppression of the antistress neuropeptide Y.

In contrast, physical dependence on a drug is unrelated to activity in the mesocorticolimbic system and arises from excessive noradrenergic output from the locus coeruleus, a structure in the base of the brain that is involved in arousal and vigilance.

This chapter covers drugs that are encountered in clinical practice primarily because of their abuse, such as ecstasy and cannabis, or because of their potential to cause dependence, such as nicotine and ethanol (Box 54.1).

# Box 54.1 Common Drugs of Abuse.

Psychomotor stimulants

- Cocaine
- Amfetamines and derivatives
- Nicotine
- Psychotomimetic agents
- Hallucinogens (e.g. lysergic acid diethylamide (LSD), mescaline, psilocybin)
- Cannabis and synthetic cannabinoids
- Dissociative anaesthetics (e.g. ketamine, phencyclidine (PCP))

Central nervous system depressants

- Alcohol (ethanol)
- Benzodiazepines
- γ-Hydroxybutyric acid (GHB), γ-butyrolactone (GBL) and 1,4-butanediol
- Inhaled solvents
- Opioids (see Chapter 19)
- Novel psychoactive substances (NPS)
- Numerous synthetic derivatives of amfetamines, cannabis, cathinones and opioids

# **DRUGS OF ABUSE**

# **PSYCHOMOTOR STIMULANTS**

Several drugs that have central stimulant properties are abused and produce dependence. Those more commonly encountered are considered here.

#### Cocaine

Cocaine is an alkaloid obtained from the leaves of the coca plant and is usually taken as the hydrochloride salt. 'Crack' cocaine contains the free base form in relative low purity and is named after the crackling sound produced when it is smoked.

#### Mechanism of action and effects

The psychomotor effects of cocaine are due to inhibition of reuptake transporters for monoamines in presynaptic nerve terminals, particularly the dopamine reuptake transporter (DAT), and to a lesser extent the noradrenaline transporter (NET) and the serotonin transporter (SERT). This in turn may activate opioid systems in the brain, with upregulation of µ-receptors (see Chapter 19). Increased dopaminergic activity in the reward pathway promotes dependence. Changes in various pituitary neuroendocrine functions occur with more prolonged use; in particular, the release of corticotropin and luteinising hormone (LH) are enhanced. Tolerance to the psychomotor effects of cocaine is limited.

Effects of cocaine include:

- intense euphoria.
- alertness and wakefulness.
- increased confidence and strength.
- heightened sexual feelings.
- indifference to concerns and cares.
- anxiety, paranoia, restlessness and tactile hallucinations, especially with habitual use.

- severe psychological, but not physical, dependence, brought about by the reinforcing effect of the rapid onset and brief duration of action; this develops particularly rapidly with 'crack' cocaine.
- tolerance, which occurs rapidly, requiring escalating doses to achieve the same effect.
- despondency and despair rapidly following withdrawal (the 'crash' or 'come down'); after chronic use, withdrawal can produce a dysphoric mood with fatigue, vivid dreams, insomnia, exhaustion, increased appetite and either psychomotor retardation or agitation, irritability and aggressive and stereotyped behaviour.
- toxic paranoid psychosis, with delusions of great stamina, occurs with chronic use.
- in overdose, excessive catecholamine concentrations produce convulsions, hypertension, cardiac rhythm disturbances and hyperthermia (due to excessive muscle activity and reduced heat loss); if severe, death can occur from respiratory depression and circulatory collapse. The cardiovascular toxicity can be treated with combined  $\alpha$  and  $\beta$ -adrenoceptor blockade, and seizures by intravenous diazepam.
- cocaine snuff produces necrosis of the nasal septum through its indirect vasoconstrictor action, mediated by noradrenaline at vascular α,-adrenoceptors.
- exposure *in utero* leads to impaired brain development and other teratogenic effects.

#### Pharmacokinetics

The hydrochloride salt of cocaine is used orally, intranasally (cocaine snuff) or by intravenous injection; the intravenous route gives an intense and rapid onset of effect. 'Crack' cocaine is prepared by mixing cocaine hydrochloride with sodium bicarbonate or with ammonia and water, then heating to volatilise the free base. The product is a relatively low-purity form of the free base, but when smoked, it produces intense effects similar to intravenous use. Cocaine is metabolised by plasma and liver esterases and its half-life is very short.

#### Management of cocaine dependence

There are no established drug treatments for cocaine dependence. Prolonged psychosocial treatments remain the main approach. Dopamine agonists (e.g. dexamfetamine), used in a manner analogous to methadone in opioid dependence, have shown promising effects in small trials but there is not yet enough evidence to recommend their use in routine practice. Tricyclic antidepressants are sometimes advocated for the severe depression that can occur on withdrawal.

#### Amfetamine and Derivatives

Amfetamine, dexamfetamine, metamfetamine and 3,4-methylenedioxymetamfetamine (MDMA, 'ecstasy') have little medical value. Dexamfetamine is sometimes used as a treatment for attention deficit hyperactivity disorder and narcolepsy (see Chapter 22) and, as noted earlier, is under evaluation for cocaine dependence.

#### Mechanism of action and effects

Amfetamine and related drugs have indirect sympathomimetic effects, releasing monoamines from central nervous system (CNS) neurons (see Chapter 4). They are taken up into presynaptic nerve terminals, where they block vesicular uptake of dopamine, serotonin (5-HT) and noradrenaline by the vesicular monoamine transporters (VMAT), increasing their concentrations in the cytoplasm. This induces release of the monoamines into the synapse by reversing the respective reuptake transporters for dopamine (DAT), serotonin (SERT) and noradrenaline (NET) in the neuronal membrane. CNS stimulation by amfetamine is most marked in the reticular formation, although it also occurs in many other areas of the brain, including the reward pathway. The *D*-isomer (dexamfetamine) is twice as potent as the L-isomer of amfetamine in its central stimulant activity. Effects of amfetamine include:

- euphoria similar to that experienced with cocaine; this is particularly intense after intravenous use.
- increased self-confidence, reduced fatigue and increased alertness for repetitive tasks.
- anorexia.
- irritability, agitation.
- psychotic behaviour during repeated use over a few days or with acute intoxication, causing hallucinations, delusions of grandiosity, paranoia and aggressive behaviour and repetitive actions.
- acute intoxication causing hyperthermia, panic, tremor, confusion, hallucinations and aggressiveness.
- peripheral sympathomimetic effects leading to hypertension (sometimes with cerebral haemor-rhage) and cardiac arrhythmias.
- tolerance develops rapidly to some of the central effects of amfetamines, such as anorexia, presumably through central monoamine depletion; tolerance to the euphoric effects and motor stimulation is slower.
- withdrawal leads to prolonged sleep, followed by fatigue, depression and increased appetite; other symptoms include anxiety, craving, headaches, restlessness and vivid dreams.

MDMA (ecstasy) is more selective than amfetamine for serotonin release and produces euphoria similar to that of amfetamine, but with less stimulant activity. Direct agonist activity at serotonin 5-HT<sub>1</sub> or 5-HT<sub>2</sub> receptors may contribute to its effects, including release of oxytocin associated with the euphoric action. Disturbance of thermoregulatory homeostasis occurs, leading to a syndrome resembling heat stroke with hyperthermia and dehydration, usually after exertion in hot environments. Stimulation of antidiuretic hormone (ADH) release can cause thirst and water retention with subsequent water intoxication and hyponatraemia. The toxic effects of MDMA include cardiac arrhythmias, seizures, muscle damage and severe metabolic acidosis, which may be fatal. The long-term toxicity may include memory impairment, anxiety or depression. Most recreational use is intermittent, and dependence on MDMA is unusual, although cravings can occur with regular use.

# **Pharmacokinetics**

Although amfetamine is sometimes used intravenously or via nasal inhalation, absorption from the gut is rapid and complete and it readily crosses the bloodbrain barrier. About half is excreted unchanged in the urine and the rest is metabolised in the liver. Amfetamine is a basic drug and its half-life varies according to urine flow and pH; at low urine pH, greater ionisation of amfetamine increases its excretion. Metabolites of amfetamine are believed to contribute to the psychotic effects seen with long-term use.

Ecstasy is usually taken orally. It undergoes hepatic metabolism via CYP2D6 and polymorphism of this enzyme may explain some of the serious intoxication that occurs with the drug, although the half-life does not differ much between poor and extensive metabolisers.

#### Management of amfetamine dependence

There are no established drug treatments for amfetamine dependence, and management relies primarily on psychosocial interventions. Investigational treatments include mirtazapine, a presynaptic  $\alpha_2$ -adrenoceptor antagonist that increases serotonin release from terminal projections of raphe nucleus neurons (see Chapter 22).

# Cathinone Stimulants Mechanism of action

Cathinone and cathine are derived from the khat plant, which is used recreationally by people in the Arabian Peninsula and Horn of Africa. The drugs probably stimulate release of monoamines from neuronal vesicles and inhibit their reuptake. Their psychoactive and physical effects are relatively weak but resemble those of amfetamines. They are used intranasally or buccally, although they can be injected, smoked or taken rectally.

#### **Pharmacokinetics**

Cathinones are metabolised in the liver, but there is little information about their pharmacokinetics. They have short half-lives in animal studies.

# Nicotine and Tobacco

# Mechanism of action

Over 300 chemical compounds are present in tobacco smoke, but the actions of nicotine are central to the addictive pharmacological effects of smoking. Nicotine has dose-related peripheral actions. At low doses, stimulation of aortic and carotid chemoreceptors enhances sympathetic nervous system activity, and at higher doses there is direct stimulation of the nicotinic N<sub>1</sub>  $(N_{c})$  receptors in autonomic ganglia (see Chapter 4). At even higher doses, nicotine acts as a ganglion-blocking agent. Initial stimulation of autonomic nervous tissue is therefore followed by depression. Effects on the CNS are mediated by presynaptic nicotinic receptors that are structurally distinct from those in the periphery. Stimulation of CNS nicotinic receptors increases neuronal permeability to Na<sup>+</sup> or Ca<sup>2+</sup> and enhances the release of glutamate, which promotes dopamine release. With prolonged use, nicotine inhibits the release of  $\gamma$ -aminobutyric acid (GABA). Nicotinic receptors are found in the mesocortical and mesocorticolimbic dopaminergic systems, in projections from the ventral forebrain to the cortex that mediate arousal, and in hippocampal projections where stimulation enhances learning and short-term memory. Tolerance to the CNS effects of nicotine is rapid due to receptor desensitisation.

# Effects of nicotine and tobacco

Tobacco components, including nicotine, have effects on a number of organ systems.

**Respiratory effects.** The lungs are the first area to be in contact with the chemical components of tobacco smoke and are also exposed to particles and gases. Tars and other irritants, rather than nicotine, are responsible for the chronic damage to the lungs.

- An increase in blood carboxyhaemoglobin concentration (from carbon monoxide in tobacco smoke) decreases oxygen-carrying capacity. This may be important in ischaemic heart disease, increasing the chance of provoking angina.
- Increased mucus secretion, with reduced activity of bronchial cilia and consequent decreased clearance of lung secretions, leads to chronic bronchitis.
- Progressive destruction of the supporting tissue in the bronchioles produces emphysema and chronic obstructive pulmonary disease (COPD). Smoking is the major cause of this condition in developed countries.
- The risk of lung cancer is increased to about 20 times that of a nonsmoker. Inhalation of tobacco smoke is a major contributory factor and explains the greater risk in cigarette smokers. Giving up smoking reduces the risk progressively over about 10 years of abstinence. The constituent of tobacco smoke responsible for altering DNA structure and initiating the cancer process remains controversial, but the relationship between smoking and lung cancer has been confirmed by numerous epidemiological studies.

#### **Cardiovascular effects**

- Stimulation of the autonomic nervous system and sensory receptors in the heart increases heart rate, blood pressure and cardiac output.
- The risk of cardiovascular disease is increased by smoking cigarettes, but not by pipe and cigar

smoking, and it occurs at a younger age. The overall risk of death from coronary artery disease is doubled in smokers compared with nonsmokers, and the magnitude of the effect is related to the numbers of cigarettes smoked. Peripheral vascular disease and stroke are also increased. The major reason for the excess of events is accelerated formation of atheromatous plaques and enhanced platelet aggregability. The risk of vascular disease falls over the first 3 to 5 years after stopping smoking to a level close to that of people who have never smoked.

**Psychological effects.** The psychological effects of smoking are substantial, as indicated by the difficulties experienced by those attempting to quit.

- Decreased appetite, with weight gain on stopping smoking.
- Emotional dependence on nicotine and the physical act of smoking is powerful. Physical withdrawal is less marked than psychological withdrawal but includes restlessness, irritability, anxiety, depression, difficulty concentrating, sleep disturbance and increased appetite.

**Other effects.** Nicotine and smoking have a number of other effects.

- Peptic ulceration is twice as common in smokers.
- Smoking is a risk factor for osteoporosis.
- Smoking in pregnancy, especially during the second half, has several effects. The most important are an increased risk of a low-birth-weight child and increased perinatal mortality. The vasoconstrictor effects of nicotine are responsible. Both physical and mental development are slowed in children born to mothers who smoke during pregnancy.
- Smoking induces several hepatic cytochrome P450 isoenzymes and increases the clearance of CYP1A2 substrates such as theophylline (see Chapter 12) and imipramine (Chapter 22).

#### Pharmacokinetics of nicotine

Nicotine can be absorbed from the mouth in its nonionised form, which is found in the less acidic environment of cigar and pipe tobacco smoke. Cigarette smoke, which is acidic, ionises nicotine, which can only be absorbed in significant amounts from the lungs. About 10% of the nicotine from a cigarette is absorbed, but at a faster rate than from cigars or a pipe, owing to the larger surface area of the lungs, and results in a higher but less prolonged peak plasma concentration. Nicotine can also be absorbed transdermally. It is metabolised in the liver; the major metabolite, cotinine, has a much longer halflife (about 10–40 hours) than nicotine (0.5–2 hours), and its concentration in plasma, saliva or urine can be used as a surrogate marker of smoking behaviour.

#### Dependence on and withdrawal from nicotine

Withdrawal is often difficult to achieve unless motivation is high. Smokers should be supported by counselling about the health benefits of quitting and advice on overcoming problems such as weight gain. Behavioural therapy as an aid to quitting has a success rate of 20% at 1 year. Pharmacotherapy is often used to reduce the intensity of withdrawal symptoms.

Nicotine replacement therapy. Smokers usually adjust their smoking habit to maintain plasma nicotine concentrations just above a threshold that averts withdrawal symptoms. The plasma concentration of nicotine falls rapidly within 1 to 2 hours of the last cigarette, and rather more slowly after smoking a cigar or pipe. The resultant craving can be reduced by nicotine replacement, delivered via transdermal patches, sublingual tablets, chewing gum, an inhaler (with most absorption occurring in the mouth) or a nasal spray. Electronic cigarettes (e-cigarettes) also help smokers quit smoking. They use a battery-operated heating element to deliver a chemical-filled aerosol that, in addition to nicotine, may include flavourings, colourings and other chemicals. Although these avoid exposure to the tars, irritants and carbon monoxide contained in tobacco smoke, there is controversy over the health risks associated with nicotine exposure from e-cigarettes, and the other potentially toxic constituents of e-cigarette vapour.

The delivery method for nicotine determines the rate at which plasma nicotine concentrations increase. It is most rapid after the nasal spray. The individual can choose the most appropriate vehicle for his or her needs and preferences. Established cardiovascular disease is a caution for, but not a contraindication to, nicotine replacement therapy. The use of nicotine replacement therapy delivered by a patch doubles the chance of achieving abstinence, when used together with behavioural therapy. Combining a nicotine patch with a short-acting form of nicotine delivery is more successful than using a patch alone. Increasing emphasis is now also placed on the benefits of reducing the numbers of cigarettes used by smokers unable or unwilling to quit completely.

**Bupropion.** Bupropion is an atypical antidepressant. It is a weak inhibitor of neuronal reuptake of noradrenaline and dopamine and probably works by enhancing mesocorticolimbic dopaminergic activity; it also has nicotine receptor antagonist activity. Most antidepressants are ineffective for smoking cessation, but the use of bupropion gives smoking cessation rates equal to nicotine-replacement therapy using a patch. Treatment should be started 1 to 2 weeks before a 'quit date'. Used together with nicotine-replacement therapy, bupropion produces a modest increase in the chance of stopping. An additional benefit is that smokers who use bupropion as an aid to quitting are less likely to gain weight. It is given as a modified-release formulation and has a long half-life (24 hours). Elimination is by hepatic metabolism, which also generates active metabolites. Unwanted effects include anxiety, headache, insomnia and dry mouth. There is an increased risk of epileptic seizures and bupropion should be avoided if there is a history of seizures. Nortriptyline, a tricyclic antidepressant, is probably as effective as bupropion for smoking cessation.

**Varenicline.** This is a partial agonist at nicotine receptors in the CNS with high selectivity for the receptor subtype involved in addiction. It produces about 30% to 45% of the response expected from nicotine and blocks the effect of added nicotine. The modest release of dopamine reduces craving and nicotine withdrawal symptoms. Treatment should be started 1 to 2 weeks before a 'quit date' and combined with behavioural support. Success rates are greater than for nicotine delivered by a patch or with bupropion. Varenicline is excreted unchanged by the kidney and has a half-life of 24 hours. Unwanted effects include gastrointestinal disturbances, dry mouth, headache, dizziness, drowsiness and sleep disturbance. Depression with suicidal thoughts has also been reported, but the validity of the association is unclear.

# **PSYCHOTOMIMETIC AGENTS**

#### Hallucinogens

Lysergic acid diethylamide (LSD), psilocybin ('magic mushrooms'), mescaline (from peyote cactus) and dimethyltryptamine (DMT) from ayahuasca (a South American herbal drink) are adrenergic hallucinogens that have structural similarities to monoamine neurotransmitters. LSD is the most potent hallucinogen.

#### Mechanism of action and effects

The actions of hallucinogens on the brain are probably related to postsynaptic 5-HT<sub>2</sub> receptor stimulation in the cerebral cortex and locus coeruleus, a region of the midbrain that receives sensory signals. LSD also produces presynaptic 5-HT<sub>1A</sub> receptor blockade in the dorsal raphe neurons, inhibiting firing of neuronal projections to the forebrain. Tolerance to LSD occurs rapidly and appears to be related to downregulation of these receptors. The actions of LSD, psilocybin and mescaline are similar and they share several properties, including cross-tolerance.

- Visual hallucinations are frequent, especially with high doses, and auditory acuity is accentuated. There may be an overlap of sensory impressions such that music is 'seen' or colours 'heard' (synaesthesia), which can produce severe anxiety. Time appears to pass slowly. Emotions are altered, with either elation or depression, and rapid mood swings can occur. The overall experience can produce a good or a bad 'trip' and can vary in the same individual on different occasions.
- Serious psychotic reactions occasionally occur and long-term psychotic disorders can be precipitated. The other unpleasant persistent effect in some individuals is 'flashback', seeing bright flashes, or halos or trails attached to moving objects.
- Physical consequences of CNS stimulation include dizziness, weakness, drowsiness and paraesthesiae.

- Excessive sympathetic nervous system stimulation with large doses produces nausea, salivation, lacrimation, dizziness, mydriasis, tremor, hyperthermia, tachycardia and hypertension.
- Tolerance can occur within 5 days of regular use.
- Emotional dependence is frequent, but physical dependence is not seen.

#### **Pharmacokinetics**

Oral absorption of these drugs is good. Physical effects begin after about 20 minutes, but psychoactive effects are delayed for 2 to 4 hours and then last up to 12 hours. DMT has a rapid onset of hallucinogenic action within 15 to 30 minutes, but the duration is only 1 to 2 hours. Elimination is by hepatic metabolism and the half-lives are short.

# Cannabis

Cannabis can be smoked as marijuana, which consists of dried leaves or flowers of the *Cannabis sativa* (hemp) plant, or as a resin extracted from the leaves of the plant and then dried, known as hashish. Solvent extraction of the resin produces cannabis oil, which can be added to tobacco. Sinsemilla cannabis (skunk) is produced from the unfertilised female plant only, usually cultivated in indoor 'cannabis farms'. Its psychomotor effect is generally greater than that of traditional cannabis, and it is now the predominant form of cannabis used recreationally in the UK.

The hallucinogenic effects of cannabis are much less marked than those of the aminergic hallucinogens such as LSD.

#### Mechanism of action and effects

The constituent compounds are cannabinoids including  $\Delta 8$ - and  $\Delta 9$ -tetrahydrocannabinol (THC) and cannabidiol (CBD) that interact with specific CB1 receptors in the brain. These receptors are coupled to  $G_i$  proteins that reduce intracellular cAMP production and inhibit cell membrane Ca<sup>2+</sup> and K<sup>+</sup> channels. The natural ligands are the arachidonic acid derivatives anandamide, 2-arachidonylglycerol and noladin ether. CB1 receptors are found in greatest density in areas of the brain involved in cognition and pain recognition (cerebral cortex), memory (hippocampus), reward (mesocorticolimbic system) and motor coordination (substantia nigra and cerebellum).

 The psychomotor effects result largely from THC and one of its metabolites, 11-hydroxy-THC. Cannabis produced from unfertilised female plants only (sinsemilla cannabis or skunk) has a higher THC content and less CBD than other cannabis products. THC and its metabolite together produce euphoria, heightened intensity of sensations, and relaxation. Occasionally panic reactions, hallucinations and depersonalisation can occur. Psychotic reactions are rare except in predisposed individuals, but the use of cannabis increases the risk of developing schizophrenia. Recent memory is markedly impaired, and complex mental tests are executed less well, although the user may perceive that their performance is enhanced. Motor incoordination may affect driving ability.

- Effects on the cardiovascular system include tachycardia and increased systolic blood pressure with a postural fall.
- The tars inhaled during chronic use of cannabis predispose to heart disease, chronic bronchitis and lung cancer.
- Tolerance to the psychomotor effects of cannabis occurs with regular use, and there is evidence of dependence.

#### Uses of cannabinoids

- The synthetic cannabinoid nabilone (see Chapter 32) may be used as an add-on treatment for adults with chemotherapy-induced nausea and vomiting resistant to other antiemetics.
- A 4-week trial of a combination of THC and CBD can be offered to treat moderate to severe spasticity in adults with multiple sclerosis (National Institute for Health and Care Excellence (NICE) guidance, 2019).
- CBD is used (with clobazepam) as an adjunct treatment in some severe, treatment-resistant epilepsy syndromes (see Chapter 23).
- NICE guidelines recommend that cannabinoids including THC, CBD, nabilone and dronabinol are *not* used in the management of chronic pain in adults.

#### **Pharmacokinetics**

Metabolism of THC is extensive, with some active metabolites being produced. The high lipid solubility of THC means that absorption from the lung or gut is high; it is rapidly metabolised with a short half-life (1.5 hours). The psychomotor effects last for 2 to 3 hours after inhalation.

#### **Dissociative Anaesthetics**

Phencyclidine (PCP) and ketamine differ from adrenergic hallucinogens in their mode of action. Both drugs were developed as anaesthetics, but PCP was withdrawn because of severe adverse effects (hallucinations, mania, delirium and disorientation).

#### Mechanism of action and effects

Both drugs block the excitatory effects of glutamate at glutamate *N*-methyl-D-aspartate (NMDA) receptors. These receptors are abundant in the cortex, basal ganglia and sensory pathways of the CNS. PCP also releases dopamine from nerve terminals in a manner similar to amfetamine. The term dissociative anaesthesia refers to the feelings of detachment (dissociation) from the environment and self that are produced by the drugs.

- Acute effects include euphoria, decreased inhibition, a feeling of immense power, analgesia, altered perception of time and space, and depersonalisation.
- Catatonic rigidity can occur, followed by ataxia and slurring of speech.
- Adverse experiences include confusion, restlessness, disorientation and impaired judgement. Irritability, paranoia, depression and anxiety are also common. Psychotic reactions are precipitated in susceptible people.
- Ketamine can produce hallucinations, near-death experiences and flashbacks. When used clinically (see Chapter 17), coadministration of a benzodiaz-epine (most commonly midazolam) reduces the frequency of these effects.
- Persistent abuse of PCP leads to memory loss, speech and thought difficulties, and depression that persist for months after the last use.
- Tolerance is unusual, but psychological dependence occurs.

# Pharmacokinetics

PCP is rapidly absorbed from the gut, nose or lungs after smoking. Effects are seen within minutes of ingestion and usually last 4 to 6 hours. It is a weak base that is excreted in the urine. It is also excreted into the stomach and reabsorbed by the small intestine. The half-life is variable and can be up to 2 days. Ketamine is abused intravenously. It is metabolised in the liver and has a short half-life (see Chapter 17).

# **CENTRAL NERVOUS SYSTEM DEPRESSANTS**

# Alcohol (Ethyl Alcohol, Ethanol) Mechanism of action and effects

Alcohol has multiple actions on the CNS. Nonspecific actions such as increased fluidity of neuronal cell membranes (cf. general anaesthetics) may be important by reducing  $Ca^{2+}$  flux across the cell membrane, but several other actions have been described (Box 54.2). Overall, alcohol facilitates central inhibitory neurotransmission, particularly enhancing the effects of GABA, and

# Box 54.2 Possible Mechanisms of Action of Alcohol.

- Activation of GABA<sub>A</sub> receptors
- Inhibition of glutamate NMDA receptors
- Activation of serotonin and opioid receptors
- Inhibition of monoamine oxidase B in neurons
- Inhibition of Na<sup>+</sup>/K<sup>+</sup>-ATPase in neuronal membranes
- Increased neuronal adenylyl cyclase activity
- Decreased intracellular phosphatidylinositol system activity, leading to reduced Ca<sup>2+</sup> availability

therefore it is a general CNS depressant. After acute alcohol intake, there is an initial depression of inhibitory neurons, particularly in the mesocorticolimbic system, which produces a sense of relaxation, but this is followed by progressive depression of all CNS functions. Mental processes that are modified by education, training and previous experience are affected first, whereas relatively 'mechanical' tasks are less impaired. Despite subjective impressions, there is no increase in mental or physical capabilities, unless anxiety has previously reduced performance. All effects are closely related to blood alcohol concentration (Table 54.1). In people who regularly use large amounts of alcohol, tolerance is seen to many of its psychological effects.

In the nucleus accumbens, alcohol acts as an allosteric modulator on presynaptic GABA receptors that normally inhibit GABA release, thus reducing its suppressive effects on dopamine release. This is explained by the different alpha subunits on the presynaptic receptors that are sensitive to alcohol, whereas the postsynaptic receptors are not. Long-term use of alcohol produces long-lasting adaptive changes in the NMDA receptor that enhance their function. Opioid and serotonin receptor stimulation is also involved in the reinforcing effects of alcohol on the brain.

Alcohol intake is usually measured in units; in the UK 1 unit is equivalent to 8 g (or 10 mL) of pure alcohol (Box 54.3).

# Other effects of alcohol

Alcohol has a range of effects on many body systems.

PLASMA CONCENTRATION (MG/ML)	EFFECTS
30	Mild euphoria owing to suppression of social inhibitory pathways in the cortex; the individual is more talkative and emotionally labile with loss of self-control; the risk of accidental injury is increased.
80	Delayed reactions and reduced comprehension; memory impairment; the risk of serious injury in a road accident is more than doubled. The legal limit of blood alcohol is 80 mg/100 mL for driving in England, Wales and Northern Ireland; 50 mg/100 mL is the limit in Scotland and many European countries.
100–200	Speech becomes slurred and motor coordination is impaired.
>300	Often produces loss of consciousness.
>400	Frequently fatal as a result of respiratory and vasomotor centre depression.

 Table 54.1
 The Effects of Alcohol at Various Plasma Concentrations.

# Box 54.3 Alcoholic Content of Alcoholic Drinks.

One UK unit of pure alcohol is 8 g (or 10 mL) and is found in:

- 1/2 pint of normal-strength beer, lager, cider
- 1/3 pint of strong beer, lager, cider
- 1/5 pint of extra-strong beer, lager, cider
- 1 glass of wine (8–10 units per 75 cL bottle)
- 1 small measure of sherry (13 units per bottle)
- 1 standard measure of spirits (30 units per bottle)
- 2/3 bottle of 'alcopop'

# **Cardiovascular effects**

- A modest alcohol intake may have protective effects on the circulation by inhibiting platelet aggregation and increasing high-density lipoprotein cholesterol. The form in which the alcohol is taken is probably not important. The extent of this beneficial effect is probably greatest at one unit per day and is lost when intake exceeds 3 to 4 units per day.
- Higher intake of alcohol has pressor effects that raise blood pressure, possibly through increased vascular sensitivity to catecholamines. This increases the risk of coronary artery disease and stroke.
- Cardiac arrhythmias can be provoked by high alcohol intake, particularly atrial fibrillation. This can occur after an alcoholic binge ('holiday heart' syndrome) or following more chronic abuse (see Chapter 8).
- Alcoholic cardiomyopathy is a dilated cardiomyopathy that is only partially reversible with abstinence and can lead to heart failure. An average intake of 10 units of alcohol daily for 8 to 10 years can produce this condition.

# Liver

- Hypoglycaemia occurs as a consequence of the metabolism of alcohol in the liver. The metabolic process generates excess protons, which enhance the conversion of glucose via pyruvate to lactate and predisposes to lactic acidosis. People with a high alcohol intake often have a low-carbohydrate diet, which compounds hypoglycaemia. Hypoglycaemia tends to occur several hours after heavy alcohol intake and can contribute to seizures on alcohol withdrawal.
- The lactic acidosis created by alcohol metabolism in the liver impairs the renal excretion of uric acid, which predisposes to gout.
- Lactic acidosis also facilitates the synthesis of saturated fatty acids, which accumulate in the liver, leading to a fatty liver, possibly with altered liver function. Plasma triglycerides are also increased.
- Alcoholic hepatitis is usually a consequence of short-term heavy alcohol abuse. It can be fatal.
- Cirrhosis of the liver occurs with prolonged alcohol abuse, but individual susceptibility varies widely. On average, consumption of more than 8 units per day for at least 10 years is required for cirrhosis to occur in men. About two-thirds of this amount

creates the same risk for women. Established cirrhosis reduces the first-pass metabolism and clearance of drugs eliminated by the liver (see Chapter 56).

- Chronic high intake of alcohol induces hepatic drugmetabolising enzymes, especially CYP2E1, which decreases the effectiveness of some therapeutic drugs (e.g. warfarin, phenytoin and carbamazepine). Other gastrointestinal consequences
- Erosive gastritis can occur as a result of stimulation of gastric secretions.
- Pancreatitis is probably caused by raised triglycerides or by pancreatic duct obstruction by proteinaceous secretions induced by alcohol. Sexual function
- Sexual desire is often increased by alcohol, but the ability to sustain penile erection is reduced, possibly because of the vasodilator actions of alcohol.
- Direct damage to the Leydig cells of the testis reduces the circulating testosterone, leading to reduced libido, infertility and a loss of the male distribution of body hair. Altered steroid metabolism in the liver leads to an increase in circulating oestrone in males, which causes gynaecomastia. **Neuropsychiatric effects**
- A combination of alcohol toxicity with deficiencies of vitamin B<sub>6</sub> and thiamine in the diet of alcoholics predisposes to peripheral neuropathy and dementia. Specific midbrain damage can result and produces the syndromes of Wernicke's encephalopathy and Korsakoff's psychosis. This is a particular risk during acute withdrawal in people dependent on alcohol because of the high metabolic demand for thiamine.
- Alcohol has anticonvulsant properties and withdrawal predisposes to seizures, even in individuals without a history of epilepsy.
- Alcohol can disturb sleep patterns, with decreased rapid eye movement (REM) sleep and increased stage 4 sleep during intoxication. Withdrawal increases REM sleep, with associated nightmares (see Chapter 20).
- Dose-related memory impairment can be caused by suppressed hippocampal function.
- Subdural haematoma is more common after head injury in heavy drinkers, perhaps as a consequence of cerebral atrophy.
- Depression or anxiety states are more common in heavy drinkers.

# **Carcinogenesis and teratogenesis**

- Cancers of the mouth, oesophagus and liver are more common with heavy alcohol use. Colon and breast cancer may also be increased.
- The fetal alcohol syndrome is believed to be caused by the effects of alcohol on neuronal adhesion molecules that regulate neuronal migration. Heavy maternal drinking during pregnancy leads to impaired learning and memory in the child. Genetic factors may be involved in the susceptibility of the fetus to these problems.

#### Pharmacokinetics

Although ethanol is absorbed from the stomach, the majority is absorbed from the small intestine due to its larger surface area. High concentrations of alcohol (above 20%) and large volumes inhibit gastric emptying and delay absorption, as do foods high in fat or carbohydrate. Peak blood alcohol concentrations therefore depend on the amount and concentration of the alcohol and on whether or not it was taken with food. Following absorption, alcohol undergoes substantial first-pass metabolism in the liver, with the extent being related to the speed of absorption; thus with slower absorption, such as when alcohol is taken with food, less alcohol will reach the systemic circulation. Distribution of alcohol is fairly uniform and the ready passage across the blood-brain barrier and high cerebral blood flow ensure rapid access to the CNS. The effects on the brain are more marked when the concentration is rising, indicating a degree of acute tolerance. Metabolism occurs mainly in the liver (Fig. 54.2), more than 90% being oxidised, mainly by alcohol dehydrogenase, whereas the rest is removed unchanged in expired air in direct proportion to the blood concentration, which is the basis of the alcohol breath test, or in the urine. Alcohol metabolism shows saturation kinetics due to the limited supply of nicotine adenine nucleotide (NAD<sup>+</sup>), which is the cofactor for the oxidative process. The maximum rate of alcohol metabolism averages 8 g/hour (or 1 unit/hour). The initial metabolic reaction mediated by alcohol dehydrogenase produces acetaldehyde, which is subsequently metabolised by aldehyde dehydrogenase to acetic acid (see Fig. 54.2). Genetic variability in alcohol and aldehyde dehydrogenases occurs among ethnic groups, leading to different capacities for alcohol or aldehyde metabolism. Accumulation of acetaldehyde in the circulation is responsible for many of the unpleasant effects of a

hangover, and also the flushing reaction to alcohol seen in people with reduced aldehyde dehydrogenase activity. This genetic variation is more common in individuals of Asian family origin. Small amounts of alcohol are metabolised via the microsomal ethanol oxidising system (CYP2E1), the activity of which is increased by enzyme inducers such as alcohol itself (which does not affect the activity of alcohol dehydrogenase; see Chapter 36).

Some drugs such as metronidazole (see Chapter 51) inhibit aldehyde dehydrogenase, leading to acetaldehyde accumulation if alcohol is taken with them. Typical 'hangover' effects of flushing, sweating, headache and nausea may then occur after even small amounts of alcohol.

#### Alcohol abuse and dependence

There are no reliable estimates of the number of people in the UK with alcohol-related medical problems, although it has been suggested that 1% to 2% of the population are affected. The distribution curve for alcohol consumption is continuous but skewed at higher alcohol intakes. The risk of alcohol-related problems rises with the average alcohol intake. There is no 'safe' level of alcohol intake, but *hazardous drinking* is defined as a level or pattern of alcohol intake that will probably eventually cause harm. It applies to anyone drinking more than the recommended limits of 14 units per week. *Harmful drinking* is at a level that is already causing damage to physical or mental health. *Dependent drinking* is identified by features common to all drug dependence.

Alcohol is the primary cause or related to a secondary diagnosis in approximately 7% of hospital admissions in England, but the contribution of alcohol in other admissions may be unrecognised. Initial screening for harmful alcohol consumption can be carried



Fig. 54.2 The metabolism of alcohol. Alcohol dehydrogenase is responsible for 80% to 90% of the metabolism of ethanol. The microsomal oxidase is a minor pathway dependent on CYP2E1, the activity of which is increased by enzyme inducers such as alcohol itself. *NAD*<sup>+</sup>, Nicotinamide adenine dinucleotide (oxidised); *NADH*, nicotinamide adenine dinucleotide (reduced); *NADP*, nicotinamide adenine dinucleotide phosphate.

Box <b>54.4</b>	The Fast Alcohol Screening Test for A	Icohol Problems. <sup>a</sup>		
1. Men: H Womer	ow often do you have <i>eight</i> or more drinks : How often do you have <i>six</i> or more drink	s on one occasion? (s on one occasion?		
Never (	D) Less than monthly (1)	Monthly (2)	Weekly (3)	Daily (or almost daily) (4)
Stop he	ere if the answer is Weekly (3) or Daily (4) ('	FAST positive'). Oth	erwise proceed to que	stions 2–4.
2. How of drinking	ten during the last year have you been una g?	able to remember wh	nat happened the nigh	t before because you had been
Never (	D) Less than monthly (1)	Monthly (2)	Weekly (3)	Daily (or almost daily) (4)
3. How often during the last year have you failed to do what was normally expected of you because of drinking?				
Never (	D) Less than monthly (1)	Monthly (2)	Weekly (3)	Daily (or almost daily) (4)
4. In the la you cut	ast year has a relative or friend, or a doctor down?	r or other health wor	ker, been concerned a	bout your drinking or suggested
No (0)	Yes, on one occasion (2)	Yes, on more the	an one occasion (4)	

<sup>a</sup>One drink = half-pint of beer or 1 glass of wine or 1 single spirits. On question 1, if the answer is Never (score = 0), then the patient is not misusing alcohol. If the answer is Weekly (3) or Daily/Almost daily (4), then the patient is a hazardous, harmful or dependent drinker. If the answer is Less than monthly (1) or Monthly (2), then questions 2, 3 and 4 should be considered. A total score of 3 or more is 'FAST positive' and should prompt further evaluation. (Based on Hodgson, et al, 2002. The FAST Alcohol Screening Test. Alcohol Alcoholism. 37, 61-66.)

out by obtaining a complete history of alcohol intake and using the Fast Alcohol Screening Test (FAST; Box 54.4). If this is positive, it may be followed up with the more comprehensive Alcohol Use Disorders Identification Test (AUDIT) to stratify the potential level of harm. Abnormal measurements of both the mean corpuscular volume (MCV) of red cells (which is raised with increasing alcohol intake because of an effect of alcohol on the cell membrane) and the liver enzyme  $\gamma$ -glutamyl transpeptidase ( $\gamma$ GT) will identify about 75% of people with problematic alcohol consumption.

Psychological dependence on alcohol is common, but physical dependence also occurs. Withdrawal symptoms occur 6 to 24 hours after the last drink in dependent persons. If mild, these are related to autonomic hyperactivity and include anxiety, agitation, tremor, sweating, anorexia, nausea and retching. Convulsions can occur through neuronal excitation. Insomnia, tachycardia and hypertension are common with more severe withdrawal reactions. The most severe form of withdrawal is *delirium tremens*, with confusion, paranoia and visual and tactile hallucinations. Delirium tremens can cause death from respiratory and cardiovascular collapse.

If an individual is drinking excessively, controlled drinking may be an option. However, if there is alcohol dependence or alcohol-related medical problems, then abstinence is usually preferable.

Controlled detoxification is usually undertaken with a sedative agent such as a benzodiazepine (see Chapter 20) to attenuate withdrawal symptoms. Chlordiazepoxide or diazepam is usually used, decreasing the dose over 7 to 10 days. Clonidine (a presynaptic  $\alpha_2$ -adrenoceptor agonist at the vasomotor centre in the brain; see Chapter 6) can be useful by reducing the excessive sympathetic stimulation that accompanies withdrawal.  $\beta$ -Adrenoceptor antagonists (see Chapter 5) may be helpful for the same reason. Multivitamin preparations containing an adequate amount of thiamine should be given intravenously for acute withdrawal and orally for 1 month to prevent Wernicke–Korsakoff syndrome. Relapse is common after withdrawal from alcohol.

Three drugs are licensed in the UK to assist in the management of chronic alcohol dependence. Acamprosate can be used to reduce the craving for alcohol. It may activate GABA, receptors and block glutamate NMDA receptors, although several other contributory effects have been suggested. It has few unwanted effects and is nonaddictive. Nalmefene is a selective opioid antagonist that is licensed for reducing alcohol consumption by reducing the reinforcing effects of alcohol on the mesocorticolimbic system. Naltrexone, a long-acting opioid receptor antagonist, can also reduce the craving associated with alcohol withdrawal, but is not licensed for this indication in the UK. Disulfiram, an inhibitor of acetaldehyde dehydrogenase, causes unpleasant hangover symptoms after small amounts of alcohol. Given alone, or with psychosocial rehabilitation, it can help to maintain abstinence. Other potential agents to reduce the urge to drink include ondansetron (see Chapter 32), topiramate and gabapentin (see Chapter 23).

# Gamma-Hydroxybutyric Acid Mechanism of action and effects

 $\gamma$ -Hydroxybutyric acid (GHB) was originally introduced as a general anaesthetic, but is now used illegally as an intoxicant, as a 'date rape' drug or as a performance enhancer by athletes. Its precursors  $\gamma$ -butyrolactone (GBL) and 1,4-butanediol (1,4-BD) are also abused. GHB acts as an agonist at a specific inhibitory GHB receptor in the cortex and hippocampus of the brain and also as an agonist at GABA<sub>B</sub> receptors, which mediate its sedative effects. GHB receptor activation stimulates dopamine release. GHB receptor stimulation also increases growth hormone release, which is the basis of its abuse by athletes and bodybuilders. In a similar manner to alcohol, GHB produces euphoria, increased libido and increased sociability. At high doses it produces nausea, dizziness, drowsiness, agitation, visual disturbances, amnesia and coma. Both psychological and physical dependence occur. In people with GHB dependence, withdrawal symptoms occur within hours of cessation of intake due to the drug's short half-life (<1 hour). Withdrawal reactions are managed primarily with benzodiazepines (see Chapter 20), with baclofen added in selected cases (see Chapter 24).

#### Pharmacokinetics

GHB is usually taken orally and occasionally intravenously. It has low oral bioavailability, is metabolised in the liver and has a short half-life of 30 minutes. The clinical effect lasts for 1.5 to 3 hours and longer if taken with alcohol. GBL is more lipid soluble, so absorption is more rapid and bioavailability is higher. It is rapidly converted to GHB by lactonase enzymes in the blood. The onset of its effect after oral administration is more rapid than that of GHB.

# **Inhaled Solvents**

Various organic solvents are abused as recreational drugs. Examples include butane, toluene and diethyl ether. Inhalation via a plastic bag held over the mouth or from an open container produces rapid intoxication resembling that produced by alcohol. These compounds probably act in a similar way to volatile general anaesthetics (see Chapter 17). Death can occur from asphyxiation during inhalation, whilst long-term use produces brain damage by increasing neuronal apoptosis.

#### NEW PSYCHOACTIVE SUBSTANCES

In recent years there has been an explosion in the number of new psychoactive substances (NPS), also known as 'designer' drugs or 'cognitive enhancers' (nootropes), and formerly as 'legal highs'. Some have been linked to the deaths of recreational users. NPS include synthetic compounds based mostly upon the chemical structures of older drug groups such as amfetamines, ecstasy, cannabis, cathinones and opioids. Many do not have formal drug names and are known instead by a variety of chemical or street names.

NPS include:

- Psychotomimetics: Derivatives of lysergic acid, mescaline, tryptamines (such as DMT), benzofurans, phenethylamines, and others.
- Synthetic cannabinoids, many with greater potency at cannabinoid CB1 receptors than the main active compound in cannabis (THC).
- Stimulants: Derivatives of cathinones (mephedrone, methylone, naphyrone, and flephedrone); numerous amfetamine derivatives.
- Sedatives: Methylated and fluorinated derivatives of fentanyl and other opioids; benzodiazepine derivatives.

• Dissociatives: Derivatives of PCP and ketamine; methoxetamine (MXE) is more potent and has a longer duration of action than ketamine.

NPS are developed in clandestine laboratories and sold in the 'grey market' to evade legal restrictions on controlled drugs, but because they cannot be marketed legally for human consumption they may be sold ostensibly as incense, bath salts, plant food or room freshener. They are used recreationally, mainly by young people, for their psychoactive properties, many of which are poorly understood. NPS may be harmful as they have not undergone testing on animals or humans and there is little information available on their effects. The Psychoactive Substances Act (2016) prohibits the production, distribution, sale and supply of all psychoactive substances in the United Kingdom, with exemptions for those in everyday use such as alcohol, caffeine, prescription medicines and drugs already controlled under the Misuse of Drugs Act (1971).

#### CONTROLLED DRUGS

The UK Misuse of Drugs Act (1971) defines the classes of 'controlled' drugs and lays down the criminal penalties for offences, including possession, sale, offering to supply or providing premises for the production or supply of controlled drugs. The act also licenses controlled drugs such as opioids for medical use. There are three main classes of controlled substance:

- Class A: Includes heroin, cocaine, MDMA (ecstasy), metamfetamine, LSD, DMT and psilocybin mushrooms.
- Class B: Includes amfetamines, cannabis (and synthetic cannabinoids), codeine, ketamine, MXE, methylphenidate and naphyrone.
- Class C: Includes γ-hydroxybutyrate (GHB), benzodiazepines, khat, and anabolic steroids.

Penalties vary for offences involving substances in each class. Drugs in class B that are formulated for injection become class A substances. Individual drugs can be reclassified based on advice from the Advisory Council on the Misuse of Drugs (ACMD).

# SELF-ASSESSMENT

# **TRUE/FALSE QUESTIONS**

- 1. Cocaine causes mydriasis by inhibiting the reuptake of noradrenaline into nerve terminals.
- 2. 'Crack' cocaine is the free-base form of cocaine.
- Cocaine use has little damaging effect on the cardiovascular system.
- 4. Tolerance to the euphoric and anorexic effects of cocaine develops rapidly.
- 5. MDMA (ecstasy) blocks the release of serotonin (5-HT) from nerve endings.
- 6. MDMA causes hyperthermia and dehydration.
- 7. The effects of MXE are similar to those of ketamine.
- 8. Amfetamines are used to treat ADHD.

- 9. Cannabis impairs driving ability.
- 10. The euphoria caused by cannabis lasts for 24 hours.
- 11. THC, the main active ingredient of cannabis, causes nausea and vomiting.
- 12. Cannabis acts on specific receptors in the brain.
- 13. Nicotine causes tachycardia and reduced gut motility.
- 14. Tolerance to the effects of nicotine develops slowly.
- 15. Varenicline is a partial agonist of nicotine receptors in the CNS.
- 16. Cotinine has a long half-life and can be measured in serum to determine smoking habits.
- 17. Nicotine patches given alone are the optimum method for someone giving up smoking.
- 18. A physical withdrawal symptom does not occur when giving up smoking.
- 19. Alcohol is initially metabolised in the liver to acetaldehyde.
- 20. Chronic intake of alcohol induces hepatic drugmetabolising enzymes.
- 21. Even moderate alcohol intake increases the incidence of cardiovascular disease.
- 22. Some individuals have a genetically determined low ability to metabolise alcohol.
- 23. Disulfiram produces acute sensitivity to alcohol by blocking its conversion to acetaldehyde.
- 24. Acamprosate, which is used to encourage abstinence, acts on alcohol metabolism in a similar way to disulfiram.
- 25. The symptoms of alcohol withdrawal (detoxification) cannot be controlled by pharmacological means.
- 26. Alcohol decreases secretion of antidiuretic hormone (ADH).
- 27. Heavy alcohol intake depresses plasma levels of  $\gamma$ -glutamyl transpeptidase ( $\gamma$ GT).
- 28. New psychoactive substances (NPS) can be taken legally in the UK but may be harmful.
- 29. Cathinone derivatives in the khat plant produce sedation.
- 30. GHB may be abused by athletes.

# **ONE BEST ANSWER (OBA) QUESTIONS**

- 1. Which is a Class A controlled drug in the UK?
  - A. Amfetamine
  - B. Benzodiazepine
  - C. Cannabis
  - D. Ketamine
  - E. Ecstasy
- 2. At a University freshers' event a new medical student arrives very late and consumes two standard glasses of wine, two standard measures of spirits and two pints of normal-strength lager in very quick succession, before feeling rather unwell. Approximately how many UK units of alcohol has she drunk and after how many hours is the alcohol likely to be metabolised?

- A. 4 units and 8 hours
- B. 6 units and 6 hours
- C. 6 units and 12 hours
- D. 8 units and 8 hours
- E. 8 units and 16 hours

# **ANSWERS**

# TRUE/FALSE ANSWERS

- True. Cocaine blocks reuptake of noradrenaline, dopamine and serotonin; in the eye, the resulting increase in noradrenaline causes mydriasis (pupil dilation) by contraction of α-adrenoceptors in the radial pupillary muscles.
- 2. **True.** Unlike the salt form of cocaine, the free base can be illicitly smoked.
- 3. **False.** Acute effects include cardiac arrhythmias, and chronic use can lead to heart failure.
- 4. **True.** Tolerance develops to euphoria and appetite suppression in only a few days.
- 5. **False.** MDMA (ecstasy) is an indirect sympathomimetic drug that increases release of serotonin and other monoamines by preventing their uptake into synaptic vesicles and promoting their release from the cytoplasm into the synapse. MDMA may also be an agonist at serotonin receptors.
- 6. **True.** Malignant hyperthermia resembling heat stroke and dehydration is observed in some individuals after ingesting MDMA.
- 7. **True.** MXE is a class B controlled drug with dissociative effects resembling those of ketamine, but it has greater potency and longer duration.
- 8. **True.** Amfetamines can be used to treat ADHD (but not an approved use in the UK).
- 9. **True.** Cannabis impairs driving ability and the performance of complex mental tasks, and may give rise to psychotic reactions in predisposed individuals.
- 10. False. The euphoric effects last only 2 to 3 hours.
- 11. **False.** The related cannabinoid, nabilone, is used to inhibit nausea and vomiting in patients taking cytotoxic drugs.
- 12. **True.** Cannabis acts on cannabinoid receptors CB1 in the brain and periphery. The natural ligands for these receptors include anandamide. Synthetic cannabinoids may have greater affinity for CB1 receptors than THC found in cannabis.
- 13. **True.** These effects are caused by stimulation of nicotinic  $N_1$  ( $N_c$ ) receptors in autonomic ganglia.
- 14. False. Tolerance to nicotine develops rapidly.
- 15. **True.** Varenicline reduces tobacco cravings by partial agonism at CNS nicotinic receptors, and it partially blocks the additional effect of nicotine if tobacco is smoked.
- 16. **True.** Cotinine is an inactive nicotine metabolite with a half-life of 10 to 40 hours; it can be measured in saliva, serum or urine.

- 17. **False.** Nicotine-replacement therapy should be supplemented with counselling.
- 18. **False.** Irritability, sleep disturbances and reduced psychomotor test performance occur on giving up smoking.
- 19. **True.** Alcohol is mainly metabolised to acetaldehyde (by alcohol dehydrogenase) and then to acetic acid (by aldehyde dehydrogenase).
- 20. **True.** The induction of CYP2E1 by alcohol can decrease the effectiveness of some drugs such as warfarin and phenytoin.
- 21. **False.** Moderate alcohol intake (below 3–4 units per day for men) has cardiovascular protective effects.
- 22. **True.** Some individuals have a genetically determined variant of alcohol dehydrogenase that has a reduced capacity to metabolise alcohol. Its incidence is low in Caucasian people but higher in people from some Far Eastern countries.
- 23. **False.** Disulfiram blocks conversion of acetaldehyde to acetic acid by aldehyde dehydrogenase; the accumulation of acetaldehyde causes sickness, headache and hangover symptoms, following even a small amount of alcohol intake.
- 24. **False.** Acamprosate acts to reduce the craving for alcohol, not by inhibiting its metabolism, although its precise mechanism of action is unclear.
- 25. **False.** Benzodiazepines can attenuate withdrawal symptoms, but there is a risk of dependence to these agents.
- 26. **True.** The diuresis resulting from alcohol intake is partly caused by increased release of ADH.
- 27. **False.** Plasma  $\gamma$ GT is elevated in heavy alcohol intake.
- 28. **False.** Their production, sale, distribution and supply in the UK were banned by the Psychoactive Substances Act (2016).
- 29. **False.** Cathinone and its derivatives have amfetamine-like properties and cause wakefulness and insomnia.
- 30. **True.** GHB has sedative activity but also increases growth hormone release, which is the basis of its abuse by athletes and bodybuilders.

# **OBA ANSWERS**

1. **Answer E** is correct. Ecstasy (MDMA) is a Class A controlled drug in the UK. Amfetamines, cannabis and ketamine are Class B controlled drugs, and benzodiazepines are Class C.

2. Answer D is correct. Normal-strength beer has about 2 units of alcohol per pint, and a single standard glass of wine or a standard measure of spirits each has 1 unit, so she has drunk 8 units of alcohol in total  $((2 \times 1) + (2 \times 1) + (2 \times 2)$  units). As firstorder hepatic metabolism is approximately one unit per hour, and assuming that all the drinks were consumed in a very short time, the alcohol they contain would be metabolised after approximately 8 hours.

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DRUG	CHARACTERISTICS
Drugs of Abuse	
Alcohol (ethyl alcohol, ethanol)	Intoxicant. Oxidation by alcohol dehydrogenase is saturated at normal alcohol intakes, produc- ing zero-order kinetics.
Amfetamine	Dexamfetamine is active form, sometimes used for treatment of hyperactivity in children (espe- cially in USA). Numerous amfetamine derivatives are abused recreationally.
Anabolic steroids	Anabolic steroids such as nandrolone are abused by athletes and bodybuilders. See Chapter 46.
Benzodiazepines	See Chapter 20.
Betel nut	Seed of the <i>Areca</i> palm from Indian subcontinent, SE Asia and Pacific region. Betel nut contains arecoline, arecaidine and guvacine alkaloids and is chewed for CNS stimulant effect, sometimes with tobacco. Carcinogenic with or without tobacco.
Cannabis (∆-9-tetrahydro- cannabinol, THC)	Delta-9-tetrahydrocannabinol is the main active constituent; the 11-hydroxy metabolite is also active.
Cathinone stimulants (khat)	Cathinone and cathine found in khat plant; amfetamine-like actions. Numerous cathinone de- rivatives are abused recreationally.
Cocaine	Stimulant. Limited use as a local anaesthetic. Abuse involves nonoral routes (mostly nasal or inhalation).
Dimethyltryptamine (DMT)	Psychotomimetic tryptamine compound, related to serotonin (5-HT), psilocybin (4-PO-DMT) and psilocin (4-HO-DMT). Found in a South American vine used in ayahuasca drink.
'Ecstasy' (3,4-methylene- dioxy-metamfetamine; MDMA)	Stimulant. The amfetamine analogue (MDA) and ethylamfetamine analogue (MDE, 'Eve') show similar properties. Numerous derivatives and analogues also abused recreationally.
γ-Hydroxybutyric acid (GHB)	Sedative. Abused recreationally for euphoric and aphrodisiac effects; also abused by athletes due to enhanced growth hormone release. Its precursors GBL (γ-butyrolactone) and 1,4-butanediol are also abused.
Lysergic acid diethylamide (LSD)	Hallucinogen. Low dependence potential. Many lysergic acid derivatives are also abused.
Ketamine	Dissociative anaesthetic. See Chapter 17.
Mescaline	Hallucinogen derived from peyote cactus; numerous derivatives also developed.
Metamfetamine	Stimulant.
Methylphenidate	Given orally for attention deficit hyperactivity disorder (ADHD) and narcolepsy (see Chapter 22); used intranasally by recreational abusers.
Methoxetamine (MXE)	Ketamine analogue; sedative, euphoric and dissociative effects are more potent and of longer duration than with ketamine.
Modafinil	Atypical dopamine uptake inhibitor; promotes wakefulness. Used for ADHD (see Chapter 22), and also recreationally and for fatigue.
Nicotine	Exposure to nicotine can be assessed by measuring cotinine in saliva, blood or urine, which has a longer half-life (10–40 hours) than nicotine. See below for nicotine replacement therapy.
Novel psychoactive sub- stances (NPS)	Wide variety of compounds, usually derivatives of amfetamines, ecstasy, lysergic acid, cannabi- noids, cathinone or opioids.
Opioids	See Chapter 19.
Phencyclidine (PCP)	Dissociative anaesthetic.
Psilocybin	Found in <i>Psylocybe</i> ('magic') mushrooms; prodrug converted rapidly to active hallucinogen psilocin.
Drugs Used to Treat Drug Depen	Idence
Cigarette Smoking	
Bupropion	Atypical antidepressant. Used as an adjunct to smoking cessation. Given orally.
Nicotine	Nicotine replacement therapy. Used as an adjunct to smoking cessation. Given sublingually as chewing gum, transdermally as a patch, or by inhaler or nasal spray.
Varenicline	Partial agonist of CNS nicotine receptors. Used as an adjunct to smoking cessation. Given orally.

# Compendium of Drugs of Abuse and Drugs Used to Treat Drug Dependence.

Continued

# Compendium of Drugs of Abuse and Drugs Used to Treat Drug Dependence—cont'd.

DRUG	CHARACTERISTICS	
Alcohol Dependence		
The drugs below are used as adjuncts to psychosocial support.		
Acamprosate calcium	Used for the maintenance of abstinence. Given orally.	
Disulfiram	Acetaldehyde dehydrogenase inhibitor. Used in the treatment of chronic alcohol dependence when acamprosate or naltrexone are not suitable. Given orally.	
Nalmefene	Opioid receptor antagonist. Used in chronic alcohol dependence. Given orally. See also naltrexone later.	
Opioid Dependence		
Opioid dependence is discuss	sed in Chapter 19.	
Buprenorphine	Used as an adjunct to treatment of dependence.	
Lofexidine	Used for management of symptoms of withdrawal.	
Methadone	Used as an adjunct to treatment of dependence.	
Naltrexone	Opioid receptor antagonist. Used to prevent relapse in detoxified formerly opioid-dependent individuals; also unlicensed use in alcohol dependence. Given orally.	

# Prescribing, Adherence and Information About Medicines

# **Chapter Outline**

Duties of the Prescriber 693 Generic Prescribing 693 Dosage 695 Dose 695 Frequency and Times of Administration 695 The Route of Administration 695 The Quantity to Be Supplied or the Duration of Therapy 696 Other Items on a Prescription 696

About 80% of drug prescribing occurs in general practice (primary care). On average, men visit their general practitioners three to four times each year and women visit five times, and about two-thirds of these consultations end with the issuing of a prescription. Prescribing is particularly frequent for older people, who are likely to continue treatment for long periods of time and to receive prescriptions for multiple drugs concomitantly (polypharmacy). For these reasons, regular review of prescribed treatment should take place to determine whether it is still appropriate or necessary, and to ensure that important drug interactions and unwanted effects are not overlooked. In some cases, this may involve measurement of physiological or laboratory markers of efficacy (e.g. haemoglobin A<sub>1c</sub> for antidiabetic drugs) or safety (e.g. renal function and electrolyte monitoring for angiotensin-converting enzyme inhibitors), or the plasma concentrations of the drug (e.g. lithium) to guide dosage adjustment.

# **DUTIES OF THE PRESCRIBER**

There are certain legal requirements that must be met when a medicine is prescribed. The information to be recorded is:

- the name of the person for whom the drug is prescribed (surname and initial) and address; in the case of children up to 12 years, the person's age must be specified,
- drug name (without abbreviation),
- dose,

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- route of administration (usually given on the manufacturer's product information rather than the prescription),
- frequency of administration (with minimum doseinterval and/or maximum frequency for preparations to be taken 'as required'),
- either the quantity to be supplied or the duration of therapy,
- the prescriber's name, address and signature,
- the date.

# **GENERIC PRESCRIBING**

In most prescribing situations the generic name of a drug should be used rather than a proprietary name (a 'brand' or trade name approved for use by a specific pharmaceutical company). The generic name usually preferred is the international nonproprietary name (INN) from the nomenclature system devised by the World Health Organisation (WHO) since 1953 to provide unambiguous drug names recognised internationally. In contrast, different pharmaceutical companies may market the same generic drug under different proprietary names, often with variations in formulation or dose, and the proprietary name(s) for the drug may also vary between countries. Another advantage of the generic name (INN) is that it may directly indicate the class to which the drug belongs. For example, all β-adrenoceptor antagonist drug names end with -lol, such as atenolol, bisoprolol and labetalol, but the proprietary names for these drugs (e.g. Tenormin,

INN STEM	EXAMPLE	DRUG CLASS OR TYPE
-afil	Sildenafil	PDE5 inhibitors
-anserin	Mianserin	Serotonin 5-HT <sub>2</sub> receptor antagonists
-ant	Pitolisant	Receptor antagonists (various classes)
-ase	Alteplase	Enzyme-based drugs (various classes)
-astine	Azelastine	H <sub>1</sub> antihistamines
-azepam	Diazepam	Benzodiazepines
-caine	Lidocaine	Local anaesthetics
-cain-	Procainamide	Class I antiarrhythmics
-capone	Entacapone	COMT inhibitors
-cef-	Cefalexin	Cefalosporins
-cept	Etanercept	Receptor-based drugs (various classes)
-cillin(am)	Amoxicillin	Penicillin-derived antibacterials
-coxib	Celecoxib	COX-2 inhibitors
-cur(on)ium	Vecuronium	Curare derivatives
-dil(ol) or -dyl	Carvedilol	Vasodilators
-dipine	Amlodipine	CCB (dihydropyridines)
-ectin	Ivermectin	Antiparasitic drugs
-fiban	Tirofiban	Fibrinogen receptor (gpllb/llla) antagonists
-fibrate	Fenofibrate	Clofibrate derivatives
-fylline	Enprofylline	Methylxanthines
-gest or -gest-	Etonogestrel	Progestins
-ifene	Clomifene	Oestrogen receptor modulators
-lukast	Montelukast	Cysteinyl-leukotriene receptor antagonists
-mab	Rituximab	Monoclonal antibodies
-micin	Gentamicin	Antibacterials derived from streptomycin
-navir	Ritonavir	Antiretroviral protease inhibitors
-0101	Propranolol	Beta blockers
-onium	Suxamethonium	Quaternary ammonium compounds
-parin	Dalteparin	Heparin derivatives
-platin	Cisplatin	Platinum-derived anticancer drugs
-pril	Perindopril	ACE inhibitors
-sartan	Candesartan	Angiotensin II receptor (AT1) antagonists
-stat or -stat-	Febuxostat	Enzyme inhibitors
-tidine	Ranitidine	H <sub>2</sub> antihistamines
-tinib	Imatinib	Tyrosine kinase inhibitors
-trexate	Methotrexate	Folic acid synthesis inhibitors
-vastatin	Atorvastatin	HMG-CoA reductase inhibitors
-vir	Aciclovir	Antivirals
-vin or -vin-	Vincristine	Vinca alkaloids

 Table 55.1
 Examples of Stems Recommended by the WHO for International Nonproprietary Drug Names (INN).

ACE, Angiotensin-converting enzyme; CCB, calcium channel blockers; COMT, catechol-O-methyltransferase; COX-2, cyclooxygenase-2; HMG-CoA, hydroxymethylglutaryl-coenzyme A; PDE5, phosphodiesterase 5.

See World Health Organisation (WHO), 2017, in the Further Reading section for further examples and information about drug INNs. Many older drugs do not follow these naming conventions.

Cardicor, Trandate) give no clue to the active ingredient or drug class. Table 55.1 lists examples of common name stems for other drug groups.

Another problem with proprietary names is that they rarely give any indication of when there is more than one active ingredient; the generic name for a compound preparation often has this indicated by the prefix 'co-' added to a suffix formed from elements of the names of both ingredients; for example, the generic name of Tenoret is co-tenidone, reminding the prescriber that it is a *combination* of *aten*olol and chlortal*idone*. Another advantage of generic prescribing is that National Health Service (NHS) and commercial pharmacists can dispense any product that meets the necessary specifications, rather than having to buy in a specific brand. This helps simplify stock holding and avoids unnecessary costs and delays when dispensing. Different generic preparations of the same drug may nevertheless differ in the tablet size, shape, colour, or packaging, so to avoid confusion it is important to inform the person taking the drug if a different generic make is dispensed. Generic prescribing also provides important opportunities for cost saving, although the difference depends on pack size and other commercial factors.

One potential hazard of generic prescribing involves drugs with a narrow therapeutic index. Stringent controls have largely eliminated the problem of variations in bioavailability from different brands, except for some modified-release formulations of drugs. Different release characteristics from the formulation can influence the plasma concentration profile of the drug and affect efficacy and safety, and in these situations prescribing by proprietary brand is recommended. Examples are modified-release formulations of lithium salts, theophylline and some antiepileptic drugs.

# DOSAGE

The total exposure to a medicine during a course of treatment is related to the size of the individual dose, its frequency of administration and the duration of therapy. The route of administration may also be important.

# DOSE

This is an essential item on all prescriptions. Doses specified in mass should be written in grams (g), milligrams (mg), micrograms (which should not be abbreviated, because µg and mcg are easily mistaken for mg when handwritten) or mmol (millimoles). Quantities of less than 1 g should be written in milligrams (e.g. 400 mg, rather than 0.4 g), and quantities less than 1 mg should be written in micrograms (e.g. 500 micrograms, rather than 0.5 mg). For fluid doses, L (for litre) and mL (for millilitre) are acceptable abbreviations; a capital L is preferred over the lower case because of the risk of misreading the lower-case letter l as the numeral 1. Decimal points should be avoided wherever possible, but if unavoidable, a zero should precede the decimal point when there is no figure (e.g. 0.5 mL, not .5 mL). Where doses are expressed in units of biological activity (e.g. for insulin and heparin), 'units' should be written in full. The abbreviations U and IU (for international unit) must not be used, because of the risk of misreading these as 0 or 10, respectively. In all prescriptions, it is good practice to separate the number and the dosage unit with a space (e.g. 10 units, rather than 10units).

	and Frequency of Dosing in Prescriptions. <sup>a</sup>
blo <b>FF 2</b>	Accomyms and Appreviations for the mining

ACRONYM/ Abbreviation	LATIN TERM	ENGLISH Equivalent
a.c.	ante cibum	Before food
b.d. (or b.i.d.)	bis (in) die	Twice daily
nocte	nocte	At night
o.d.	omni die	Every day
o.m.	omni mane	Every morning
o.n.	omni nocte	Every night
р.с.	post cibum	After food
p.r.n.	pro re nata	When required
q.d.s.	quater die sumendum	four times daily
q.q.h.	quarta quaque hora	Every 4 hours
stat.	statim	Immediately
t.d.s.	ter die sumendum	three times daily
t.i.d.	ter in die	three times daily

<sup>a</sup>The Latin acronyms and abbreviations listed in the table remain acceptable but the English equivalents (without abbreviation) are preferred.

#### FREQUENCY AND TIMES OF ADMINISTRATION

Sometimes drugs are taken on one occasion only, whereas others must be given on a recurring basis, in which case the frequency or times of administration should be specified. Such directions were traditionally given using a range of Latin acronyms and abbreviations, such as *b.d.* (for *bis die*), meaning 'twice daily'; some Latin acronyms remain in use and are listed in the British National Formulary (BNF), but the English equivalents (without abbreviation) are preferred (Table 55.2). For drugs taken at symmetrical (equal) dosage intervals, it is usually most convenient to specify the frequency as the time interval between doses (e.g. 12-hourly). For drugs taken at asymmetric intervals, the instruction should be tailored accordingly (e.g. 'twice daily, taken with morning and evening meals').

# THE ROUTE OF ADMINISTRATION

The route should be identified if there is any possibility of confusion. Abbreviations for routes of administration are widely accepted, including *p.o.*, oral; *i.v.*, intravenous; *i.m.*, intramuscular; *s.c.*, subcutaneous; and *p.r.*, rectal. Others, such as intrathecal, must not be abbreviated, because of the potential seriousness of inappropriate administration; for example, deaths have been caused by inappropriate intrathecal administration of vincristine. Confusion can arise with intravenous administration of drugs as there are numerous methods for delivery: drugs can be given by manual injection (either as a bolus or by slow injection) into a vein, or they can be infused, for example through the side arm of a continuously running intravenous drip, via a motor-driven pump or by addition to the intravenous infusion fluid reservoir. It is particularly important when prescribing drugs for intravenous administration to make clear the precise intentions.

# THE QUANTITY TO BE SUPPLIED OR THE DURATION OF THERAPY

Duration of therapy can be specified in a number of ways. Most general practice and outpatient prescriptions specify the amount to be dispensed (e.g. the total number of tablets or capsules). The duration of therapy will then be determined by the amount dispensed and the frequency of dosing. When the medicine is to be administered in a professional care setting (e.g. hospital or care home), the duration can be specified on the prescription sheet. Alternatively, it can be written on the prescription to be dispensed by a pharmacist. Medicines are now dispensed in original packs, with tablets individually packed in foil strips by the pharmaceutical company. Specifying the duration of therapy is essential in the case of controlled drugs such as opioids (see Chapter 54), for which there is a legal requirement that the total amount to be dispensed must be written in both figures and words.

# **OTHER ITEMS ON A PRESCRIPTION**

Other essential items on prescriptions include the prescriber's signature and the address of his or her place of work. The latter is effectively waived for hospital prescriptions as it is assumed that the prescriber is based at the hospital in question. The prescription must be dated. Electronic prescribing is now almost universal in primary care, and increasingly common in hospital care. The specific requirements for this are essentially similar to those outlined previously. Use of electronic prescriptions avoids handwriting problems and assists in record-keeping and in data collection and analysis, but may introduce different problems such as misselection of drugs with similar names. The NHS Electronic Prescription Service (EPS) enables GP practices to send electronic prescriptions to a pharmacy nominated by the person for whom it has been issued.

# ADHERENCE, CONCORDANCE AND COMPLIANCE

The term 'compliance' describes the extent to which a person takes his or her medicine, but other terms such as adherence or concordance are now preferred because they emphasise the partnership between the person and health professions in the process of taking medicines, rather than simply following instructions. It is frequently assumed that once a prescription has been given, the recipient will automatically follow the prescriber's instructions. However, there is abundant evidence that this is often not the case. Indeed, many prescribed medicines are not even collected. This may be because of cost or because the prescriber failed to discuss the 'hidden agenda', a term used to describe the situation in which one problem is used, consciously or unconsciously, as a mechanism to seek help about a different one. In addition, a very substantial proportion of medicines collected are not taken in the manner intended.

The degree of adherence is affected by many factors, which include the duration of treatment. Fewer than 50% of people adhere fully during long-term therapy, such as that for high blood pressure or psychotic illness. There is increasing evidence that adherence to prescribed therapy can determine the outcome of treatment. For example, in treating hypertension the control of blood pressure is substantially inferior when adherence falls below 80% of prescribed doses.

The frequency of dosing has a major influence on adherence. Few people like taking their medicines with them to school, university or work, so adherence with twice-daily regimens tends to be much better than that for more frequent administration. There is a further improvement in adherence with once-daily rather than twice-daily dosing. The formulation of a drug may also affect adherence; for example, young children and older people may be unable to operate metered dose inhalers (MDI) for respiratory disease properly.

Unwanted effects can also reduce the likelihood of a person complying with therapy, but at times this can be turned to an advantage. For example, giving the entire dose of a tricyclic antidepressant at night means that the sedation it produces can be used to aid sleep. Giving the person advanced warning of likely unwanted effects such as dry mouth with this compound may earn the person's trust and encourage continuation of the therapy.

A proportion of nonadherence is caused by people forgetting whether they have taken their medicine on a particular day. The use of prepacked dispensing ('dosette') boxes or blister packs can be helpful for some people.

An individual's health beliefs are also particularly important. Adherence can be improved by involving the person in monitoring his or her disease and its control by therapy; for example, home monitoring of blood pressure, blood glucose checking in diabetes mellitus or peak flow measurements by people with asthma. Supplying accurate information about medicines can improve the level of satisfaction, and satisfied people are more likely to take their medicines.

# **INFORMING PEOPLE ABOUT THEIR MEDICINES**

It is almost incredible to think that at one time doctors were reluctant to allow the name of a medicine to be shown on the container in which it was dispensed. However, paternalistic attitudes among the medical profession have been slow to disappear. Several surveys carried out since the early 1980s have shown that most people felt that neither doctors nor pharmacists gave sufficient explanations about medicines. People are particularly keen to know:

- the name of the medicine;
- the purposes of treatment;
- when, how and for how long to take their medicine;
- what to do if a dose is missed;
- unwanted effects and what to do about these;
- any necessary precautions to take, such as possible effects on driving;
- any problems with alcohol or with other drugs.

Conversely, studies have shown that the greater the amount of drug information that is provided verbally, the smaller the proportion that is remembered. Pharmaceutical manufacturers produce a printed leaflet about each medicine (the patient information leaflet or PIL), which is included in the original pack. These can also be viewed online, along with the detailed Summary of Product Characteristics (SPC), within the Electronic Medicines Compendium (emc; www.medicines .org.uk). However, leaflets are complementary to, and not a substitute for, discussion with the medical practitioner, pharmacist or practice nurse. The internet provides a rich source of information for people about their medicines and the variety of treatments available for their condition(s). However, advertising and the lack of peer review of most patient-orientated websites means that, in many cases, information the individual may have acquired before they first see their doctor may be incorrect and/or misunderstood.

# **RATIONAL PRESCRIBING**

A definition of good prescribing has been proposed that encompasses four goals. These are to:

- maximise effectiveness,
- minimise harms,
- avoid wasting healthcare resources,
- respect the person's autonomy.

Irrational prescribing can take several forms, such as the use of antibacterial drugs for viral infections, statin therapy for someone with late-stage malignancy, using expensive drugs when there are equally effective but cheaper alternatives, using too high a drug dose in renal or hepatic impairment, or underdosage with an appropriate drug.

The standards against which rational prescribing can be judged will depend on locally or nationally agreed treatment protocols or an agreed list of therapeutic alternatives. Ideally, prescribing should follow evidence-based guidelines, but it is often necessary to extrapolate these guidelines to situations not covered by the evidence. In the absence of evidence from clinical trials, it may be appropriate to use consensus guidelines produced by experts and derived from a relevant evidence base.

Drugs are typically classified based on a shared mechanism of action, but drugs within a single class often show quantitative or qualitative differences. There has been considerable debate about whether it is reasonable to extrapolate a 'class effect' from a clinical study with one drug to others within the same class. Class effects may be related to clinical outcome (such as death or risk of stroke), effects on surrogate endpoints (such as reduction in blood pressure) or specific unwanted effects. Many consensus guidelines assume that drug efficacy is related to a class effect when there is a large body of information about several drugs in a class that suggests similar outcomes.

The sequence of events leading to a rational prescription involves initially making a diagnosis and determining prognosis. This may not always be possible, and it may be necessary to substitute differential diagnoses and rank these in order of probability and importance of treatment. The goal of treatment must then be determined. This may be curative, symptom relief, prevention or occasionally an aid to the diagnostic process. The prescriber should consider whether any treatment is necessary and, if so, should then offer an appropriate first choice. The process is completed by monitoring the outcome and reaching a decision to stop, modify or continue treatment. The British Pharmacological Society (BPS) has laid down ten principles of good prescribing:

- 1. Be clear about the reasons for prescribing.
- 2. Take into account the patient's medication history before prescribing.
- 3. Take into account other factors that might alter the benefits and risks of treatment.
- 4. Take into account the patient's ideas, concerns, and expectations.
- 5. Select effective, safe, and cost-effective medicines individualised for the patient.
- 6. Adhere to national guidelines and local formularies where appropriate.
- 7. Write unambiguous legal prescriptions using the correct documentation.
- 8. Monitor the beneficial and adverse effects of medicines.
- 9. Communicate and document prescribing decisions and the reasons for them.
- 10. Prescribe within the limitations of your knowledge, skills and experience.

(These principles are elaborated at: www.bps .ac.uk/education-engagement/teaching-pharmacology/ten-principles-of-good-prescribing).

The sequence of events in rational prescribing is also described in the prescribing competence framework devised by the National Institute for Health and Care Excellence (NICE) and the Royal Pharmaceutical Society to support the education, training and clinical governance of prescribers; the 10 dimensions of the framework are shown in Box 55.1. Aspects of prescribing that ensure safe and effective outcomes of drug treatment are covered by dimensions 1 to 6 (consultation). Wider issues concerning the duty of healthcare professionals to prescribe within legal and

# Box 55.1 The Ten Dimensions of the Prescribing Competency Framework.

Consultation:

- 1. Assess the patient (including drug history, differential diagnosis and investigations)
- 2. Consider the options (including nonpharmacological options, risks, comorbidities, patient factors, adherence and drug resistance)
- 3. Reach a shared decision (with patients and/or carers)
- Prescribe (with awareness of benefits, risks, unwanted effects, interactions, contraindications and cautions)
- 5. Provide information (with clear advice on actions if concerns arise)
- Monitor and review (establishing, maintaining and adapting a management plan, and monitoring effectiveness and adverse reactions)

Prescribing Governance:

- 7. Prescribe safely (recognising self-limitations, risks and benefits, and reporting prescribing errors)
- Prescribe professionally (based on the interests of the patient and with knowledge of the appropriate legal and regulatory frameworks)
- 9. Improve prescribing practice (using appropriate reflection, feedback and prescribing analysis tools)
- 10. Prescribe as part of a team (based on relationships with other healthcare professionals)

regulatory frameworks are covered by dimensions 7 to 10 (governance) (https://www.rpharms.com/resources/frameworks/prescribers-competency-framework).

# THE PRESCRIBING SAFETY ASSESSMENT (PSA)

Good understanding of clinical pharmacology is essential but not sufficient to become a safe and effective prescriber. Prescribing is a key component of undergraduate and postgraduate medical education reflected in many aspects of General Medical Council (GMC) guidance on good medical practice, including 'Outcomes for Graduates' (2018) and 'Good Practice in Prescribing and Managing Medicines and Devices' (2013; both available at www.gmc-uk.org). Concerns about the quality of teaching of prescribing nevertheless arose in the UK and several studies demonstrated the extent and types of poor prescribing practice within the NHS. The majority of UK medical students and new graduates lack confidence in their prescribing education and postgraduate skills.

These factors contributed to the development of a national assessment of undergraduate prescribing skills by the UK Medical Schools Council (MSC) and the clinical section of the BPS. The Prescribing Safety Assessment (PSA) was piloted in 2013 and has the primary aim of improving patient safety. In its present form, it is an invigilated online assessment taken by all UK medical students in their final year of study, with a pass remaining valid for 2 years. Depending on medical school, a student who fails the PSA in his or her

# Box 55.2 The UK Prescribing Safety Assessment (PSA).

The PSA comprises 60 questions totalling 200 marks across eight domains:

- Prescribing (8 items, 80 marks)
- Prescription review (8 items, 32 marks)
- Planning management (8 items, 16 marks)
- Providing information (6 items, 12 marks)
- Calculation skills (8 items, 16 marks)
- Adverse drug reactions (8 items, 16 marks)
- Drug monitoring (8 items, 16 marks)
- Data interpretation (6 items, 12 marks)

final year either may not be able to graduate or may be required to pass the PSA at a further attempt during Foundation training.

The PSA consists of 60 questions across eight domains worth a total of 200 marks (Box 55.2) to be completed normally within 2 hours. Most questions are case-based and aligned with the clinical areas of medicine, surgery, elderly care, paediatrics, psychiatry, obstetrics and gynaecology, and general practice. Open access to the BNF and BNF for Children (https:// bnf.nice.org.uk/) is provided to candidates sitting the PSA. Further information on the PSA is available online (http://prescribingsafetyassessment.ac.uk/), including details on question types and sample questions, and (after registration as a candidate) some PSA practice papers.

Other online resources for e-learning of prescribing, such as the SCRIPT Safe Prescriber Toolkit (www.safeprescriber.org) are used by medical schools and Foundation schools. The Further Reading section that follows includes a selection of texts and workbooks tailored to help medical students prepare for the PSA and for their careers as safe and effective prescribers.

# FURTHER READING

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# **Drug Therapy in Special Situations**

# **Chapter Outline**

Prescribing in Pregnancy 700

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PRESCRIBING IN PREGNANCY

Guidelines for prescribing during pregnancy are set out in the British National Formulary (BNF; https://bnf .nice.org.uk/). Pregnancy can be associated with medical problems that require treatment. Pregnant women may also require continued treatment for chronic conditions not related to the pregnancy, such as asthma, diabetes, depression, epilepsy and hypertension. Many drugs cross the placenta. Exposure of the fetus to any unnecessary drug is undesirable, particularly in the first trimester between the 3rd and 11th weeks of pregnancy, because of the risk of teratogenicity. In the second and third trimesters, drugs may affect the growth or functional development of the fetus, whereas drugs given at full term may influence labour or affect the neonate after delivery. The magnitude of the potential problem is illustrated by the fact that about 50% of women take prescribed medication during pregnancy, although this occurs most often late in pregnancy, and an unrecorded proportion will take over-the-counter medications, including herbal and homeopathic remedies.

Unequivocal teratogenic activity of drugs in humans is limited to a relatively small number of compounds, but their effects are irreversible and affect the whole Nausea and Vomiting 708 Anorexia/Cachexia/Fatigue Syndrome 708 Constipation 708 Breathlessness 708 Hiccups 709 Continuous Subcutaneous Infusions 709 **Drug Interactions 709** Pharmacodynamic Interactions 709 Pharmacokinetic Interactions 709 Self-Assessment 710 Answers 711 Further Reading 711

life of the offspring. In the United States, drugs are assessed for risk in pregnancy by the Food and Drug Administration (FDA), based on evidence from animal and human studies. Since 2015, information about potential risks of drug exposure in pregnancy, and the evidence on which this is based, has been conveyed as a structured narrative, replacing the previous lettered categories. In Australia, a nonhierarchical categorisation system is used, based on the likely risk and the level of evidence supporting this. In the UK, the BNF identifies drugs which may have harmful effects in pregnancy and indicates the trimester(s) of risk. This advice is generally based on human data, but information from animal studies is included if this makes an important contribution to understanding. Evidence of risk in pregnancy is currently lacking for up to 40% of licensed drugs.

The potential catastrophic consequences of the administration of a teratogenic drug were highlighted by the thalidomide tragedy in the 1960s. Thalidomide was introduced as a sedative and hypnotic and used for the treatment of pregnancy-associated morning sickness. Following its introduction, there was a dramatic increase in the incidence of phocomelia (abnormal or absent development of limb buds). The drug



**Fig. 56.1 Sales of thalidomide and the incidence of phocomelia.** Thalidomide sales and phocomelia incidence are each expressed as a percentage of the reported maximum.

was banned once the association was recognised and this resulted in the incidence of phocomelia decreasing to previous levels (Fig. 56.1). The teratogenic potential of thalidomide in humans is extremely high (affecting 30% of fetuses exposed to the drug in the first trimester), but it was not detected in animal testing because the drug is not teratogenic in rodents, and teratogenic effects are seen in rabbits only at 100-fold higher doses than in humans or other primates. This observation resulted in the legal requirement for two or more animal species in preclinical teratogenicity testing. The thalidomide tragedy led to a significant reduction in the proportion of women taking any prescription drug during pregnancy, particularly in the first trimester. Thalidomide continues in use as a treatment for multiple myeloma and for leprosy; the drug should never be given to women with childbearing potential.

The list of drugs known to be teratogenic is relatively short but includes thalidomide, many anticonvulsants, some chemotherapeutic drugs (e.g. alkylating agents and antimetabolites), warfarin, androgens, danazol, diethylstilbestrol (DES), lithium and retinoids (Table 56.1). Because of their long half-lives, some retinoids can result in teratogenesis even if treatment for the mother is stopped several weeks before pregnancy occurs. Although teratogenesis is commonly thought of in terms of structural defects or dysfunctional growth in utero, it also refers to long-term functional deficits. For example, maternal consumption of alcohol during pregnancy may cause behavioural and cognitive abnormalities in childhood, despite the birth of a seemingly unaffected infant. Some drugs may exhibit a long latency period. DES, which was given during pregnancy between the 1940s and early 1970s in the mistaken belief that it reduced the risk of miscarriage, resulted in abnormalities in the offspring when they

reached adulthood, including hypogonadism in males and vaginal adenocarcinoma in females.

In addition to known teratogens, a much larger number of drugs should be avoided or used with caution in pregnancy because of their potential to produce detrimental effects in the fetus. Examples include warfarin-induced anticoagulation (see Chapter 11), which may cause the abnormalities associated with fetal warfarin syndrome and predispose the fetus to cerebral haemorrhage during delivery; in contrast, heparin is an effective anticoagulant in the mother and does not cross the placenta. Nonsteroidal antiinflammatory drugs (NSAIDs; see Chapter 29) can produce premature closure of the ductus arteriosus before delivery. Adverse effects produced at therapeutic doses, such as tachycardia with tricyclic antidepressants and growth restriction with corticosteroids, may also affect the fetus or neonate.

Whenever a drug is given to a pregnant woman, or a woman who has childbearing potential, an assessment should be made of the risks and benefits of treatment for both the mother and baby. For example, treatment with antiseizure drugs or antimalarials may be essential for the mother, and by extension her baby, whose development is inextricably linked to her health. The overall benefits of treatment may therefore outweigh the teratogenicity risk. The risk should be minimised whenever possible by selecting the drug with the least potential for adverse pregnancy outcomes, by prescribing the lowest effective dose and by avoiding unnecessary concurrent use of multiple drugs. Limiting exposure during critical periods of the pregnancy may also be beneficial and, in some circumstances, other treatment may be given to mitigate teratogenic risks. For example, if treatment of urinary tract infection is necessary in pregnancy, nitrofurantoin is generally recommended, but not at term when it may produce neonatal haemolysis. Conversely, trimethoprim (a folate antagonist, see Chapter 51) is associated with increased risk of teratogenicity if given in the first trimester, but its use may be justifiable later in pregnancy. If its use in the first trimester is unavoidable, the teratogenicity risk can be mitigated with intensified folic acid supplementation (5 mg daily).

Little information is available on teratogenic risk for many drugs. Wherever possible, drugs that have been used extensively in pregnancy and appear not to increase the risk of adverse outcomes should be preferred to new or untried drugs. Absence of evidence of teratogenicity does not imply that a risk does not exist. In this context, it is important to appreciate that all pregnancies are associated with background risks of miscarriage (up to 1 in 5 pregnancies), birth defects (1 in 40) and other adverse outcomes. The BNF provides detailed information on potential adverse drug effects on the fetus and neonate, and guidance for healthcare professionals is also available from the UK Teratology Information Service (UKTIS; www.uktis.org). UKTIS

# Table 56.1 Examples of Drug-Induced Teratogenicity and Fetal/Neonatal Toxicity.

THERAPEUTIC DRUG	TERATOGENIC AND ADVERSE EFFECTS IN FETUS AND NEONATE
ACE inhibitors	Affect fetal and neonatal blood pressure control and renal function; oligohydramnios
Alcohol	Fetal alcohol syndrome; growth restriction (see Chapter 54)
Aminoglycosides	Auditory or vestibular nerve damage
Amiodarone	Neonatal goitre
Androgens	Virilisation of female fetus
Anticancer drugs	Carcinogenic and teratogenic effects (also avoid before pregnancy)
Barbiturates	Fetal abnormalities; withdrawal effects in neonates
Benzodiazepines	Withdrawal effects in neonates
β-Adrenoceptor antagonists	Intrauterine growth restriction, neonatal hypoglycaemia and bradycardia
Carbamazepine	Neural tube defects
Carbimazole	Neonatal goitre
Corticosteroids	Intrauterine growth suppression (with prolonged treatment)
Dapsone	Neonatal haemolysis and methaemoglobinaemia
Diethylstilbestrol	Hypogonadism in male offspring and vaginal cancer in female offspring
Fibrinolytics	Premature separation of placenta in first 18 weeks
Finasteride	Genital abnormalities in male fetus
Lamotrigine	Teratogenicity
Leflunomide	Teratogenic in animals; effective contraception necessary for at least 2 years after end of treatment for women and 3 months for men
Lithium salts	Teratogenicity; cardiac abnormalities
NSAIDs	Premature closure of ductus arteriosus; pulmonary hypertension
Opioids	Neonatal respiratory depression and risk of withdrawal syndrome if the mother is habituated
Oral anticoagulants	Malformations; fetal or neonatal haemorrhage
Oxcarbazepine	Neural tube defects
Phenytoin	Congenital malformations; risk of neonatal haemorrhage due to vitamin K deficiency
Primaquine	Neonatal haemolysis and methaemoglobinaemia
Retinoids and retinoid-like drugs	Teratogenic, craniofacial malformations; some have long half-lives and effective contraception is essential for prolonged periods after stopping treatment and before pregnancy
Ribavirin	Teratogenic in animals; effective contraception necessary for at least 6 months after treatment for both women and men
Statins	Decreased cholesterol synthesis affects fetal development
Sulfonamides	Neonatal haemolysis and methaemoglobinaemia
Sulfonylureas	Neonatal hypoglycaemia
Thiazide diuretics	Growth retardation; electrolyte disturbance
Valproate	Congenital malformations and developmental delay in offspring

ACE, Angiotensin-converting enzyme; NSAIDs, nonsteroidal antiinflammatory drugs.

See the BNF for detailed advice. Manufacturers of most of these drugs advise that they should be taken in pregnancy only if the potential benefit outweighs the possible risk; many also recommend that prescribing to women of childbearing age should be carried out with pregnancy in mind and contraception should be adequate before, during and after treatment.

also provides information for the public in its Best Use of Medicines in Pregnancy ('Bump') leaflets (www. medicinesinpregnancy.org).

# PHARMACOKINETICS IN PREGNANCY

The placenta provides a potential barrier to the transfer of macromolecules such as heparin from the maternal circulation, but essentially all low-molecular-weight drugs can cross the placenta. Lipid-soluble drugs cross the placenta most readily, but hydrophilic drugs also will equilibrate with the fetal circulation over time. Limited drug metabolism can occur in the placenta, which may restrict fetal exposure of some drugs. Irrespective of direct exposure to the drug, the fetus may be affected by a drug that constricts placental blood vessels, impairing gas and nutrient exchange; or that causes uterine hypertonia, resulting in anoxic injury; or that alters maternal physiology in other ways, such as by causing hypotension.

The fetal liver and kidneys have only modest abilities to eliminate drugs, so drugs that reach the fetal circulation are usually cleared by the maternal routes of elimination. The fetus therefore represents a slowly equilibrating maternal compartment, with transfer across the placenta being determined by the concentration gradient between fetal and maternal circulations.

Maternal pharmacokinetics are affected by a number of physiological changes, especially in late pregnancy. Compared with nonpregnant women, these include:

- increased drug metabolism, due to hepatic blood flow that increases by up to 60%, and increased hepatocyte expression of cytochrome P450 isoenzymes including CYP2C9, CYP2D6 and CYP3A4, although some others, such as CYP2C19, are reduced;
- increased renal perfusion and glomerular filtration rate (GFR), enhancing the excretion of hydrophilic drugs and drug metabolites;
- increased plasma volume, resulting in reduced concentrations of albumin and other plasma proteins and potentially decreased protein binding of many drugs.

These changes mean that maternal drug concentrations are often lower than those in a nonpregnant woman given the same dose, so drug doses may need to be increased in pregnancy to compensate. Decisions on changes in drug dosage are nevertheless hampered by the lack of detailed pharmacokinetic information in pregnancy for most relevant drugs.

# DRUGS AND BREASTFEEDING

Almost any compound present in the maternal circulation will enter breast milk and be ingested by the suckling baby. However, with a few exceptions, there is little evidence that drug intake via breastfeeding is of concern because most drugs enter breast milk in quantities too small to affect the baby. In general, drugs licensed for use in children can be safely given to the nursing mother, whereas drugs known to have serious toxic effects in adults, or that affect lactation (such as bromocriptine), should be avoided, including:

- cytotoxic drugs that may interfere with cellular metabolism of the infant (e.g. cyclophosphamide, ciclosporin, doxorubicin, methotrexate);
- drugs of abuse, for which adverse effects on the infant during breastfeeding have been reported (e.g. amfetamine, cannabis, cocaine, heroin);
- radioactive compounds that require temporary cessation of breastfeeding (e.g. radioiodine);
- drugs that have been associated with significant effects on some infants; these should be given to nursing mothers only with close monitoring (e.g. acebutolol, atenolol, bromocriptine, aspirin, ergotamine, lithium, phenindione, phenobarbital, primidone).

The absence of safety information for many drugs in lactation means that only essential drugs should be given to mothers during breastfeeding. The reader should refer to the up-to-date information in the BNF for detailed advice. Information on drug compatibility with breastfeeding is also available from the National Health Service Specialist Pharmacy Service (www.sps .nhs.uk).

# PHARMACOKINETICS IN LACTATION

Several factors influence drug transfer from the maternal circulation into breast milk, including the characteristics of the milk, the physicochemical properties of the drug and the amount of drug in the maternal circulation. Water-soluble drugs diffuse from plasma into milk, and the concentration in breast milk is similar to the free fraction in the maternal plasma. Lipid-soluble compounds also diffuse into breast milk and may concentrate because of the high fat content in milk.

The effects of drugs in breast milk depend on the extent of absorption, distribution and elimination of the drug in the neonate or infant (discussed later). Drugs may also have different pharmacodynamic properties in neonates or infants, compared with older children and adults. If drugs are given during breastfeeding, compounds with short half-lives are preferred because they are less likely to accumulate in neonates, who have lower drug clearance. The World Health Organization (WHO) nevertheless recommends that the health and developmental benefits of breastfeeding are usually greater than any likely risk from drugs in breast milk.

# PRESCRIBING FOR CHILDREN

Both the pharmacokinetics and responses to drugs may differ in the young compared with adults, and there are also differences between neonates (<1 month), infants (1–12 months) and children because many metabolic and physiological processes are immature at birth and develop rapidly in the first months of life. Particular care is needed in prescribing drugs that may affect growing or maturing organ systems such as the bones, teeth and the reproductive system. Box 56.1 shows some of the pharmacokinetic differences between the young and adults.

# Box 56.1 Developmental Changes in the Young that May Alter Drug Handling Compared with Adults.

- Low production of gastric acid and erratic gastric emptying in first year of life.
- Smaller ratio of gut surface area to body mass, but greater gut permeability to larger molecules.
- Greater proportion of body fat and larger extracellular volume may alter the volumes of distribution of some drugs.
- Maturation of drug-metabolising enzyme pathways in the liver occurs at different rates over the first year.
- GFR and tubular secretion are relatively low in the first year of life.
- Lower populations and reduced function of some gut flora.

GFR, Glomerular filtration rate.

Although medicines should usually be used within the terms of the product licence, many drugs given to children have not undergone formal clinical evaluation in this age group and are not specifically licensed for paediatric use. It is recognised that 'off-label' prescribing may be necessary and the UK Medicines Act (1968) does not prohibit such unlicensed use. Clinical trials are needed in the paediatric population, but such studies raise significant ethical issues. The BNF for Children (https://bnfc.nice.org.uk/) gives specific guidance on prescribing for children in the UK, often based on expert opinion in the absence of trial evidence.

# PHARMACOKINETICS IN NEONATES AND CHILDREN

In neonates, inefficient metabolism and renal clearance mean that lower doses of some drugs are needed even after allowing for body weight, and doses need to be calculated with special care. The processes of drug elimination are largely mature by a few weeks of age, after which drug clearance adjusted to body weight is similar to or higher than that in adults (discussed later). However, children may be more susceptible to effects on growing or maturing tissues and organs. Generalisations are difficult and each drug needs to be considered in its own right.

#### Absorption

Slow rates of gastric emptying and intestinal transit may slow the rate of drug absorption in neonates, but total absorption of poorly absorbed drugs may eventually be more complete because of longer contact with the intestinal mucosa. In the neonate, gastric pH is neutral, and this can reduce the absorption of weak acids but increase the absorption of weak bases.

#### Distribution

Neonates and young children have a lower body fat content and higher total body water than adults; this influences the distribution of both lipid- and watersoluble drugs. Neonates have a lower plasma albumin concentration and higher concentrations of free fatty acids and bilirubin, which may compete with drugs for plasma protein-binding sites. The overall effect is reduced plasma protein binding, which may increase the proportion of drug able to cross the blood–brain barrier. Drugs that are strongly bound to albumin should not be used during neonatal jaundice, because the drugs may displace bilirubin (which is mostly in the unconjugated form) from protein-binding sites and increase the risk of kernicterus.

#### Metabolism

The drug-metabolising enzyme systems are immature in the neonatal liver, and first-pass metabolism and hepatic drug clearances are low, especially for substrates of CYP1A2, CYP3A4 and glucuronidation. The clearances of substrates for these enzymes are two- to sixfold lower in neonates compared with adults. However, drug clearance is often higher in young children than in adults because of their higher relative liver mass and greater hepatic blood flow per kilogram of body weight.

#### **Renal Elimination**

Renal function in the neonate and infant is much less developed than in children or adults. The GFR in the newborn is about 40% of the adult level, and tubular secretory processes are poorly developed. Elimination of drugs such as digoxin, gentamicin and penicillin will therefore be slower until about 6 to 8 months of age.

In children, the faster hepatic elimination means that doses of metabolised drugs need to be higher than in adults after correcting for the difference in body weight. Prescribed doses are most accurately judged by considering both age and body surface area. In children, body surface area is a better guide to appropriate drug dosage than body weight. It can be estimated from a nomogram or by using the Du Bois formula:

Body surface area = 
$$71.84 \times \text{weight}^{0.425} \times \text{height}^{0.725}$$

where body surface area is in square metres, weight in kilograms and height in metres. The drug dose for a child can be then approximated as:

$$\frac{\text{Adult dose \times surface area of child (in m2)}}{1.8}$$

where 1.8 m<sup>2</sup> is the average body surface area of a 70 kg adult.

In the BNF for Children, drug doses are given by body weight, body surface area or age range as appropriate.

# PRESCRIBING FOR OLDER PEOPLE

Older people (usually defined as those over 70 years old) comprise a heterogeneous group who show considerable variation in 'biological' age. Changes occur in both the pharmacodynamics and pharmacokinetics of drugs with increasing age.

The density or numbers of receptors may be reduced with age; for example  $\beta$ -adrenoceptors decrease in number, reducing the response to agonist drugs. Older people are often more susceptible to the effects of sedatives, hypnotics and antipsychotic drugs, possibly because of changes in receptor numbers and/or reduced efficiency of the blood–brain barrier. They are also more susceptible to the unwanted effects of NSAIDs on the gut.

Altered structure and function of target organs can influence the effects of drugs in older people. For example, baroreceptor function is impaired and vasodilator drugs are more likely to provoke postural hypotension. The high peripheral resistance and less distensible arterial tree found with increasing age also respond less well to arterial vasodilators.

These changes reflect the ageing process itself, but they are often complicated by the presence of chronic disease and comorbidity. The risks of unwanted effects are higher in the elderly as a consequence of these changes. Significant numbers of hospital admissions in the elderly are due to adverse drug reactions (see Chapter 53), and the risk of adverse reactions and drug interactions is highly correlated with polypharmacy. For these reasons, it is usual to start drug treatment in older people with the smallest effective dose. Prescribers should also seek to minimise the numbers of drugs used, with clear explanations of usage instructions and regular review of drug regimens. The BNF has a section of advice on prescribing in the elderly.

#### PHARMACOKINETICS IN OLDER PEOPLE

#### Absorption and Distribution in Older People

Drug absorption across the gut wall is not greatly affected by ageing, although bioavailability may be increased due to reduced first-pass metabolism. Older people tend to have a lower lean body mass and a relative increase in body fat compared with younger adults. The apparent volume of distribution ( $V_d$ ) of water-soluble drugs such as digoxin may therefore be lower in older people, and a smaller loading dose would be needed. Conversely, lipid-soluble drugs may be eliminated more slowly because of their increased  $V_d$  resulting from increased body fat.

#### Metabolism in Older People

The size of the liver and its blood flow decrease with age. Although enzyme activity per hepatocyte probably shows little change, the overall capacity for drug metabolism, particularly phase 1 metabolic reactions (see Chapter 2), is reduced. This is particularly important for lipid-soluble drugs such as nifedipine or propranolol, which undergo extensive firstpass metabolism, because lower hepatic metabolism increases oral bioavailability and reduces systemic clearance, raising plasma drug concentrations.

#### **Renal Elimination in Older People**

Increasing age is also associated with a progressive reduction in GFR, so the elimination of hydrophilic drugs and metabolites is slower. This can produce toxicity when renally eliminated drugs with a low therapeutic index are prescribed (e.g. lithium, digoxin or gentamicin). Creatinine clearance is used as an estimate of GFR, but reduced muscle mass in older people results in reduced creatinine production. Plasma creatinine in older people therefore frequently remains within the normal laboratory reference range, even when renal function is substantially reduced, because the decreased creatinine production balances its reduced elimination. The Cockcroft and Gault equation, which relates plasma creatinine to creatinine clearance, contains elements reflecting sex- and agedependent differences in muscle mass.

Creatinine clearance (mL/min) for males equals:

$$1.23 \times (140 - age in years) \times weight (in kg)$$
  
plasma creatinine ( $\mu$ mol·L<sup>-1</sup>)

and for females it equals:

$$\frac{1.04 \times (140 - \text{age in years}) \times \text{weight (in kg)}}{\text{plasma creatinine } (\mu \text{mol} \cdot \text{L}^{-1})}$$

As an alternative, the estimated glomerular filtration rate (eGFR; discussed later) is widely used to approximate GFR. The commonly-used eGFR equations also incorporate age and sex as variables.

# PRESCRIBING IN RENAL FAILURE

Individuals with renal failure show increased responses to many drugs, especially when the drug or any active metabolites are eliminated predominantly or exclusively in the urine. Dose adjustment depends on the extent of renal impairment and on the proportion of total drug clearance that is due to renal excretion. The eGFR is often reported with laboratory estimations of serum creatinine. This is a useful guide to renal function but does not consider weight as a variable that affects GFR. Nevertheless, for most drugs that are excreted by the kidney, it is an adequate guide for dosage adjustment. It should be remembered that the eGFR is not an accurate guide to renal function when it is rapidly changing, such as in acute kidney injury.

There are also pharmacodynamic changes in renal failure; for example, there are altered responses to drugs in people with uraemia, and drugs acting on the central nervous system in particular produce enhanced responses. This may be because of increased permeability of the blood–brain barrier.

The BNF gives advice on drug prescribing in those with renal impairment. Renal impairment may produce abnormal drug responses due to one or more of the following factors:

- failure to excrete the drug or its metabolites may produce toxicity;
- increased sensitivity, even if elimination is unaltered;
- unwanted effects being poorly tolerated in such individuals.

#### PHARMACOKINETICS IN RENAL FAILURE

The activity of approximately 70% to 80% of current drugs is not affected by impaired renal function because they are eliminated predominantly by hepatic metabolism, but the kidneys provide the major route of elimination for water-soluble drugs and water-soluble metabolites (see Chapter 2). Renal elimination of drugs can be affected indirectly by abnormal renal perfusion, such as might occur in shock, or directly by changes in the kidney (e.g. renal tubular necrosis). Accumulation of drugs or their metabolites due to reduced renal function may increase the risk of toxicity.

There are several other ways in which renal impairment may influence the handling of drugs:

- Metabolism in the liver can be impaired in people with uraemia.
- The kidney has important metabolic activities, such as the 1-α-hydroxylation of vitamin D and the degradation of insulin, both of which can be impaired in renal failure.
- The distribution of drugs can be affected by changes in fluid balance in renal failure and also by altered plasma protein binding (discussed later). The circulating concentration of albumin is decreased in severe renal failure with proteinuria. In addition, retained endogenous metabolites, such as the tryptophan metabolite indican, may compete for drugbinding sites on plasma proteins. The increased concentrations of free drug can lead to an enhanced response or to its increased elimination by glomerular filtration or metabolism.
- Tissue binding of digoxin is reduced in renal failure, so a lower loading dose should be given to account for the reduced volume of distribution.

The elimination of most drugs by the kidney is significantly impaired only when the GFR is reduced below 60 mL/minute (at least stage 3 chronic kidney disease). For some drugs, clinically important accumulation does not occur until much lower filtration rates. Changes in renal tubular secretion of drugs in renal disease are less well understood.

A reduction in dosage of renally eliminated drugs in renal failure is usually necessary only when the compound has a low therapeutic index. Maintenance dosage may be lowered either by reducing the dose or by extending the dose interval (see Chapter 2, equation 2.21). Loading doses do not usually require any modification. For the purposes of dosage adjustment in renal impairment, the BNF provides advice based on eGFR values (in mL/min per 1.73 m<sup>2</sup>). The thresholds typically align with those used to classify chronic kidney disease:

- G1 (normal or high GFR): eGFR greater than 90 (with other evidence of kidney damage, such as proteinuria);
- G2 (mildly impaired GFR relative to a young adult): eGFR 60–89 (with other evidence of kidney damage);
- G3a (mild–moderate): eGFR 45–59;
- G3b (moderate-severe): eGFR 30-44;
- G4 (severe): eGFR 15–29;
- G5 (established renal failure): eGFR less than 15.

For some drugs, only severe impairment (G4) or established renal failure (G5) needs to be considered

(e.g. a reduction in dosage is recommended for amoxicillin in severe renal impairment). For other drugs even mild impairment (stage 2) may be important (e.g. dosage reduction is recommended for carboplatin, and cisplatin should be avoided). Conversely, some drugs such as thiazide diuretics that depend on renal function for their mechanism of action lose efficacy in renal impairment.

A further important consideration in renal impairment is the avoidance, if possible, of drugs that can have toxic effects on the kidney, including NSAIDs, aminoglycosides, methotrexate and warfarin. Use of these in renal impairment can sometimes produce an irreversible decline in renal function.

# PRESCRIBING IN LIVER DISEASE

Changes in both drug responses and pharmacokinetics can occur in liver disease. The BNF lists six main potential problems in prescribing for individuals with liver disease:

- impaired drug metabolism;
- hypoproteinaemia;
- reduced blood coagulation;
- hepatic encephalopathy;
- fluid overload;
- hepatotoxic drugs.

The severity of the liver disease is important, as is whether chronic liver disease is decompensated (including jaundice, hypoproteinaemia or encephalopathy; see also Chapter 36). Liver metabolic capacity is large so liver disease has to be relatively severe before drug metabolism is affected. Many of the pharmacodynamic and pharmacokinetic changes in liver failure arise from decreased hepatic synthesis of proteins that perform essential functions within the hepatocyte, or which are released into the blood, such as albumin and clotting factors. The reduced ability to synthesise vitamin K-dependent clotting factors makes people with chronic liver disease prone to clotting problems; they would be very sensitive to anticoagulant drugs such as warfarin. Fluid overload in chronic liver disease (oedema, ascites) may be exacerbated by drugs that cause fluid retention e.g. NSAIDs, COX-2 inhibitors and corticosteroids.

CNS depressant drugs such as morphine and chlorpromazine have an enhanced effect in people with liver failure. This is caused by increased sensitivity of neuronal tissue and can provoke encephalopathy in susceptible people. Benzodiazepines used during investigational procedures in individuals with liver failure can produce more intense and longer-lasting effects. They should be avoided if possible, or otherwise used at lower dosage.

Encephalopathy may be triggered by drugs that cause constipation (which increases the formation of potentially toxic metabolites, such as ammonia, by the intestinal bacteria). Diuretics that produce



Fig. 56.2 Drug uptake from the sinusoid of a normal healthy liver.



- All NSAIDs
- All COX-2 inhibitors
- Atorvastatn
- Budesonide
- Cimetidine
- Lansoprazole, pantoprazole
- Nebivolol
- Nicardipine

COX, Cyclooxygenase; NSAIDs, nonsteroidal antiinflammatory drugs. Other drugs within the same drug families as those above may be safe in chronic liver disease, whereas others may be unsafe depending on disease severity. For further information see Weersink, R.A., et al., 2018. Evidence-based recommendations to improve the safe use of drugs in patients with liver cirrhosis. Drug Saf. 41, 603-613.

hypokalaemia can also precipitate hepatic encephalopathy in chronic liver disease. Therefore where diuresis is needed, spironolactone (potassium sparing) is usually given in combination with furosemide (potassium losing) to minimise changes in serum potassium concentration.

People with existing liver disease are likely to be more susceptible to hepatotoxic drugs. This raises a problem for pain relief, as paracetamol is hepatotoxic at high doses, whereas NSAIDs can increase the risk of gastrointestinal bleeding and cause fluid retention, whilst opioids can precipitate encephalopathy. In practice, lower doses of paracetamol are usually given, taking care that the amounts do not exceed the reduced threshold for hepatotoxicity shown by such individuals (see Chapter 53). Examples of drugs thought to be unsafe in chronic liver disease are shown in Box 56.2.

# PHARMACOKINETICS IN LIVER DISEASE

The rate of absorption of drugs from the gut lumen is not greatly affected in liver disease. Distribution of drugs may be affected if synthesis of albumin is reduced, resulting in a higher percentage of free drug



Fig. 56.3 Drug uptake from the sinusoid of a liver showing characteristic features of cirrhosis. The cirrhotic changes reduce the uptake and metabolism of drugs by hepatocytes.

in plasma and possibly a greater risk of toxicity; examples of highly protein-bound drugs are phenytoin and prednisolone. Free drug concentrations may also be increased by elevated plasma bilirubin, which can displace drugs such as lidocaine and propranolol from their plasma protein-binding sites.

The liver has characteristics that facilitate the rapid and extensive uptake and metabolism of lipid-soluble drugs (Fig. 56.2). These include:

- fenestrations in the endothelium, allowing ready access to extracellular fluid;
- rapid diffusion across the space of Disse (which is a matrix consisting primarily of type 4 collagen);
- a brush border on hepatocytes, allowing rapid uptake;
- high intracellular enzyme activity for both phase 1 and phase 2 metabolism.

Chronic liver disease can produce a number of changes that reduce the capacity of the liver to metabolise drugs (Fig. 56.3):

- fenestrations in the endothelium are lost;
- diffusion across the space of Disse may be reduced in fibrosis/cirrhosis as type 4 collagen is replaced by type 1 and type 3 collagen (which can form dense fibrils);
- the brush border on hepatocytes is lost;
- intracellular enzyme activity is reduced;
- intrahepatic vascular shunts may reduce the perfusion of hepatocytes.

Reduced hepatic elimination of drugs may result in a greater proportion of the drug or its metabolites being eliminated by other routes, such as the urine.

First-pass metabolism may be considerably reduced in conditions such as liver cirrhosis; the consequences are most apparent with drugs that normally undergo extensive hepatic first-pass metabolism. In liver failure, their bioavailability may increase considerably from less than 20% to almost 100%. Biliary excretion of drugs that are normally eliminated unchanged in bile, such as rifampicin and fusidic acid, is impaired in conditions causing impaired formation of bile. Reduced biliary elimination of drug metabolites could affect enterohepatic circulation (see Chapter 2).

Prescribing in liver disease should be undertaken with care and drugs that are extensively metabolised by the liver should be given in smaller doses. The need for dose reduction arises primarily from a possible increase in bioavailability and a likely decrease in systemic clearance for many drugs, both of which increase the average steady-state plasma concentration.

# PRESCRIBING IN PALLIATIVE CARE

Symptom relief in palliative care often presents challenges to the prescriber, and the evidence to guide choice of treatments is often derived from experience rather than controlled trials. Palliative care services produce comprehensive guidelines for symptom control, often advising the use of drugs for unlicensed indications. Awareness of psychological, emotional and social contributors to symptoms will help to guide strategies for management. The following synopsis is not comprehensive but covers an approach to some key symptoms.

#### PAIN

Accurate diagnosis of the cause of pain is essential for a rational approach to therapy and the principles of good pain management apply (see Chapter 19). Analgesics should be given regularly, preferably by mouth with additional methods of pain control considered in all cases. These may include coanalgesics for neuropathic pain (see Chapter 19), surgery, radiotherapy, nerve blocks, transcutaneous electrical nerve stimulation (TENS), acupuncture and psychological support.

If a strong opioid is needed, then immediaterelease morphine every 4 hours is preferred initially, increasing the dose by 30% to 50% every 2 to 3 days as required. When pain control is achieved, modifiedrelease morphine every 12 hours can be used (giving the same total daily dose) for background analgesia, with additional immediate-release morphine given as required for breakthrough pain. The dose of opioid for breakthrough analgesia is usually one-sixth of the total daily background opioid dose. Continuing pain despite persisting unwanted effects such as drowsiness suggests that the pain is not fully opioidresponsive. A laxative should always be offered with a strong opioid.

Alternative opioids for palliative care include oxycodone or hydromorphone (which have slightly different unwanted effect profiles), methadone (particularly for neuropathic pain) or fentanyl (for transcutaneous use or in severe chronic kidney disease). Transdermal delivery of an opioid can be helpful if there is vomiting, intractable constipation or other unwanted effects in the presence of opioid-responsive pain. A continuous subcutaneous opioid infusion (usually with other drugs to provide overall symptom control; discussed later) is also an option, which may be especially useful in the last few days of life. Care must be taken to give equivalent doses when changing between opioid drugs and routes of administration. Guidance on equivalent doses is given in the BNF. Due to wide interindividual variation, a dosage reduction should be considered to reduce the risk of toxicity when switching between drugs or routes of administration.

# NAUSEA AND VOMITING

It is important to identify the cause if possible, as this will guide treatment. Drugs should always be considered as a cause of vomiting, and the responsible drug should be stopped or the dose reduced if possible. If antiemetic drug therapy is required, then the choice will depend on the predominant contributory causes (see Chapter 32). Examples include dexamethasone for raised intracranial pressure, cyclizine for vestibular pathology, haloperidol for drugrelated vomiting, metoclopramide for gastric stasis, and levomepromazine if the causes are multiple or unknown.

#### ANOREXIA/CACHEXIA/FATIGUE SYNDROME

This syndrome arises in terminal cancer, in heart failure and with chronic infection or inflammation. There is usually profound loss of weight and muscle bulk. It is often due to a combination of reversible and irreversible factors. Reversible causes should be identified and addressed if possible, and nonpharmacological management such as paced exercise offered. Dexamethasone may be offered as a short-term measure to suppress inflammation, increase appetite and improve general feelings of wellbeing. Methylphenidate or modafanil (see Chapter 22) are occasionally used.

#### CONSTIPATION

Constipation may arise from drug therapy (e.g. opioids, antidepressants, antispasmodics, ondansetron), inactivity, dehydration, hypercalcaemia or concurrent disease. Adequate fluid intake is important, and if the underlying cause cannot be addressed, symptomatic treatment should be offered (see Chapter 35). Stimulants such as senna are commonly used for opioid-induced constipation, with softening and osmotic agents added in selected cases.

#### BREATHLESSNESS

Breathlessness is often multifactorial, and specific treatments may be successful. If there is no treatable cause, then nebulised saline can help to loosen secretions. Morphine, sometimes together with a benzodiazepine such as diazepam, can reduce the subjective sensation of breathlessness.

# **HICCUPS**

Hiccups can have a peripheral cause such as gastric distention, diaphragmatic irritation, liver enlargement or intrathoracic tumour. A variety of treatments have been advocated, indicating that all have limited efficacy. Options include metoclopramide, a proton pump inhibitor, dexamethasone, baclofen and nifedipine. Central causes include raised intracranial pressure and uraemia, and treatment options include dexamethasone, levomepromazine, haloperidol and diazepam.

# **CONTINUOUS SUBCUTANEOUS INFUSIONS**

Near the end of life, drugs may need to be given by subcutaneous infusion using a small battery-powered pump ('syringe driver'). Maintaining steady plasma drug concentrations may aid symptom control or give relief in someone who cannot swallow. Examples of drugs given by this route are:

- cyclizine, haloperidol or metoclopramide for vomiting;
- dexamethasone for neuropathic pain, raised intracranial pressure or vomiting;
- morphine, oxycodone or diamorphine for pain control;
- glycopyrronium to reduce respiratory secretions;
- hyoscine to relieve intestinal colic and to reduce secretions;
- levomepromazine for vomiting or as a sedative;
- midazolam for vomiting or seizures.

# **DRUG INTERACTIONS**

Many people take more than one drug during a course of treatment because:

- combination therapy may be preferable or necessary to produce an adequate effect or response; important examples are the chemotherapy of malignant disease and the treatment of hypertension.
- a single condition or pathology may give rise to a variety of symptoms that are controlled by different drugs.
- the person may have more than one condition or pathology, requiring treatment with drugs that are unrelated pharmacologically.

The term 'interaction' implies that the response to the combination of drugs is different to that which could be predicted from a simple aggregation of the effects of each drug given singly. Drug interactions may produce additive, greater-than-additive (synergistic or multiplicative) or antagonist outcomes, and may be beneficial or potentially harmful because of altered clinical response or toxicity. Beneficial interactions are usually well characterised and have clear advantages; for example, combinations of anticancer drugs, and are the basis of prescribing recommendations. This section therefore focuses on adverse interactions, which are of greatest importance for drugs that have a narrow therapeutic index and for groups of people at increased risk, such as the elderly, who are the most likely to be taking several drugs concurrently. About 5% of hospital admissions in the UK are estimated to be caused by adverse drug reactions, with drug interactions accounting for about one-sixth of these.

Interactions between drugs may arise at their common site of action (pharmacodynamic interactions) or from altered delivery of a drug to its site of action due to interference at its sites of absorption, distribution, metabolism or excretion by another drug (pharmacokinetic interactions).

# PHARMACODYNAMIC INTERACTIONS

Pharmacodynamic interactions are usually predictable based on the known mechanisms of action of the drugs. Interactions may relate to the principal site of action of the drug, or to secondary sites of action that are responsible for unwanted effects. In principle, drugs that are highly selective for a single site of action are less likely to produce pharmacodynamic interactions than drugs that show low selectivity. An example of a serious adverse synergistic interaction is between an angiotensin-converting enzyme (ACE) inhibitor, such as enalapril (see Chapter 6) and the potassiumsparing diuretic spironolactone (see Chapter 14); the ACE inhibitor reduces the production of aldosterone, thereby reducing the excretion of K<sup>+</sup>, an effect which is exaggerated by the action of spironolactone, and the combination can cause potentially life-threatening hyperkalaemia.

#### PHARMACOKINETIC INTERACTIONS

#### Absorption

Coadministration of two drugs could give an interaction if one drug affects the rate or extent of absorption of the other drug. Such interactions are relatively uncommon in clinical practice, but there are some important examples. Changes in the rate of absorption (e.g. by increasing or decreasing gastric emptying or intestinal motility) will affect the peak concentration, but not usually the extent of absorption. Interactions affecting the extent of absorption may be more important; examples include the retention of drugs in the gut lumen (e.g. tetracycline antibiotics and levothyroxine bind to divalent or trivalent metals, such as Ca<sup>2+</sup> or Fe<sup>3+</sup>, to form complexes that are not absorbed).

#### Distribution

Interactions affecting drug distribution may arise from competition for the nonspecific binding sites on plasma proteins, such as albumin (see Table 2.3). Drugs that bind to plasma proteins are usually more than 90% bound, but the low plasma concentration of most drugs compared with the concentrations of plasma proteins means that the availability of binding sites is rarely limiting and displacement by other drugs is uncommon. Interactions affecting plasma protein binding may be important when the displaced drug has a narrow therapeutic index, so that a change in free drug concentration increases the risk of drug toxicity.

# Metabolism

Perhaps surprisingly, the simultaneous administration of two drugs that share a common pathway of metabolism rarely causes an interaction. This is because with therapeutic drug doses the plasma concentrations are usually far below the  $K_m$  values of drug-metabolising enzymes. The enzymes therefore do not become saturated and first-order kinetics (see Chapter 2) still apply. An exception is the ingestion of ethanol in recreational doses that are orders of magnitude higher than those of most therapeutic drugs. The metabolism of ethanol by alcohol dehydrogenase is therefore saturated and follows zero-order kinetics. This allows ethanol to be used clinically to slow the metabolism by alcohol dehydrogenase of methanol or ethylene glycol and reduce the generation of their highly toxic products (see Chapter 53).

Important interactions can occur, however, when one drug induces or inhibits the enzymes involved in the metabolism of another therapeutic drug. This is most commonly seen with drugs affecting the cytochrome P450 system because of the large number of P450 isoenzymes and their importance for the elimination of most drugs (Table 2.7). Induction or inhibition of hepatic enzymes can affect both systemic clearance and first-pass metabolism after oral dosage.

Enzyme *inhibition* occurs as soon as the inhibiting drug concentration is sufficiently high. It can occur after a single dose (e.g. cimetidine). Either one or both of the interacting drugs may be metabolised more slowly, resulting in higher plasma concentrations. In contrast, enzyme *induction* (e.g. by carbamazepine, phenytoin) requires a few days, as it results from gene transcription and protein translation of additional enzyme. The increased enzyme activity may then reduce the plasma concentrations of other drugs normally metabolised by that isoenzyme. This may decrease the clinical response to the affected compound, if it is an active drug, or it could increase the bioactivation of a prodrug to an active metabolite. When the enzyme-inducing drug is stopped, the enzyme activity usually declines over a period of 2 to 3 weeks. If the dosage of the second drug has been optimised for the drug combination, its plasma concentration may then increase markedly, giving a risk of toxicity. Most drugs that undergo cytochrome P450 metabolism are substrates for more than one P450 isoenzyme and this reduces the risk of interactions. The risk is highest for drugs that are metabolised predominantly by a single P450 isoenzyme. The risk of interactions increases greatly when several drugs are taken concurrently.

#### Excretion

Each process involved in the renal elimination of drugs (glomerular filtration, pH-dependent reabsorption and renal tubular secretion) can be a site for drug interactions.

- Glomerular filtration depends on renal perfusion and only removes free drug (not protein-bound). In consequence, drugs affecting renal perfusion or plasma protein binding can give rise to interactions.
- pH-dependent reabsorption could be altered by drugs that affect urine pH, either directly or via metabolic effects; for example, the pH changes associated with aspirin overdose can affect the excretion of drugs taken concurrently.
- Renal tubular secretion can give rise to interactions when there is competition for the transporter system. Aspirin can interfere with the transport of both endogenous compounds (e.g. uric acid) and drugs (e.g. methotrexate).

The biliary excretion of drugs is not an important site for drug interactions, but the enterohepatic cycling of drugs can be affected by the coadministration of poorly absorbed broad-spectrum antibacterials, which affect the hydrolysis of drug conjugates in the lower bowel (Fig. 2.13).

Some important examples of drug interactions are included among unwanted effects of drugs in this book. However, this is far from comprehensive. The BNF has extensive information listing pharmacodynamic and pharmacokinetic drug interactions. Many interactions are not clinically important, but significant interactions may require dose adjustment or drug substitution, or, if unavoidable, careful monitoring of plasma drug concentrations, biochemical markers or drug responses.

#### SELF-ASSESSMENT

#### **TRUE/FALSE QUESTIONS**

- 1. The highest risk of teratogenicity is during the final trimester of pregnancy.
- 2. Drug doses may need to be increased in pregnancy.
- 3. After correction for body weight, drug doses in children are the same as for adults.
- 4. The bioavailability of lipid-soluble drugs is increased in older people.
- 5. Most drugs are not affected by impaired renal function.
- 6. Drugs that cause constipation can trigger encephalopathy in people with chronic liver disease.
- 7. Drugs that delay gastric emptying reduce the extent of absorption of other drugs.
- 8. Enzyme inducers can enhance clinical responses to prodrugs.
#### **ONE BEST ANSWER (OBA) QUESTION**

- 1. Which factor in liver disease is most likely to directly affect blood concentrations of many prescribed drugs?
  - A. Encephalopathy
  - B. Decreased drug metabolism
  - C. Hepatoxicity
  - D. Hypoproteinaemia
  - E. Reduced blood coagulation

#### **ANSWERS**

#### **TRUE/FALSE ANSWERS**

- 1. **False.** The greatest risk of teratogenicity is during organogenesis in the first trimester.
- 2. **True.** Although only the lowest effective doses of essential drugs should be used in pregnancy, these may need to be higher than in nonpregnant women, due to the increased volume of distribution and higher clearance.
- 3. **False.** Correction for body weight may underestimate drug doses in children due to their relatively high hepatic clearance; correction by body surface area is a better guide.
- 4. **True.** Lipid-soluble drugs are typically cleared by hepatic metabolism; lower hepatic blood flow in older people can reduce first-pass metabolism and increase oral bioavailability.
- 5. **True.** About 75% of drugs are eliminated by hepatic metabolism, so the plasma concentration of the parent drug is not affected by impaired renal function.
- 6. **True.** In chronic liver disease, constipation can increase the risk of encephalopathy from the generation of ammonia and other toxic products by the gut flora.
- 7. **False.** Delayed gastric emptying will slow the rate of absorption of most drugs and reduce their peak plasma concentrations, but the extent of absorption is not usually affected.
- 8. **True.** Bioconversion of a prodrug to its active derivative may be enhanced by an enzyme inducer.

#### **OBA ANSWER**

1. **Answer B** is the best answer. Reduced clearance in severe liver disease is most likely to affect plasma concentrations of many drugs, and may also increase the bioavailability of those drugs that normally undergo extensive first-pass hepatic metabolism. Reduced plasma proteins (answer D) might increase free plasma concentrations of drugs, but in most cases there is an excess of plasma protein binding sites available even for those drugs that are highly protein-bound. Encephalopathy (answer A), hepatoxicity (answer C) and reduced coagulation (answer E) may make people with liver disease more sensitive to drug action and require dose adjustment, but they are less likely to directly affect plasma drug concentrations.

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